

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2002

ROCKFORD HOUSING AUTHORITY IL-022
223 S. WINNEBAGO ST.
ROCKFORD, ILLINOIS 61102

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**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Rockford Housing Authority

PHA Number: IL06P022

PHA Fiscal Year Beginning: 10/2002

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

The PHA's mission is: (state mission here)

Contribute to human growth and dignity through the effective use of available resources by providing a defined quality living environment to eligible people within the Rockford Community while creating opportunities for an improved quality of life.

This will be accomplished through the mutual effort and contribution of residents, employees, Commissioners, and the community.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

PHA Goal: Expand the supply of assisted housing
Objectives:

Apply for additional rental vouchers: (*Up to 250 vouchers contingent upon RHA Board approval*) (*Increased by 521 vouchers since 1999*)

Reduce public housing vacancies: (*100 units*)
(*Reduced by 68-units since 2000*)

Leverage private or other public funds to create additional housing opportunities:

Acquire or build units or developments

Other (list below)

- PHA Goal: Improve the quality of assisted housing
Objectives:
 - Improve public housing management: (PHAS score) 90
 - Improve voucher management: (SEMAP score) 90
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections) *(Reduce public housing unit turnaround time and improve PHAS financial scores)*
 - Renovate or modernize public housing units: *(350 Units)*
(131 units modernized since 2000)
 - Demolish or dispose of obsolete public housing: *(Up to 502 units contingent on review / authorization of RHA Board & approval of HOPE VI funding)*
 - Provide replacement public housing: *(150 to 200 units)*
 - Provide replacement vouchers: *(Up to 250 vouchers Contingent upon RHA Board review and approval)*
 - Other: (list below)

- PHA Goal: Increase assisted housing choices
Objectives:
 - Provide voucher mobility counseling: *(300 people)*
(Counseled approximately 400 people since 2000)
 - Conduct outreach efforts to potential voucher landlords *(25 new landlords)*
(Have added approximately 330 landlords since 2000)
 - Increase voucher payment standards
 - Implement voucher homeownership program: *(100 Units)*
(Have 2 homeownership participants utilizing the voucher program)
 - Implement public housing or other homeownership programs: *(89-units pending RHA Board review and approval to convert 3-public housing Scattered Site Projects to Section (5)(h) Homeownership)*
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment
Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments: *(increase to 10% of each development)*
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements: *(security lighting under contract)*
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities) *(designate up to 2 high rises as elderly only pending RHA Board Review and Approval)*
- Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:

- Increase the number and percentage of employed persons in assisted families: *(increase to 30% of Households) (Increased from 14% to 18% in FY-2001)*
- Provide or attract supportive services to improve assistance recipients' employability: *(Contract \$60,000 per year to provide employment training and placement obligated in CFP 50100 (709) & CFP 50101 (710) plus \$500,000 Resident Opportunity and Supportive Services (ROSS) Grant)*
- Provide or attract supportive services to increase independence for the elderly or families with disabilities. *(Contract \$80,000 per year for Service Coordinator Service) obligated in CFP 50100 (709) & CFP 50101 (710)*
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability: *(Addressed in fair housing policies in the Admissions and Occupancy Policy)*
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability: *(Addressed in fair housing policies in the Admissions and Occupancy Policy)*
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required: *(Addressed in fair housing policies in the Admissions and Occupancy Policy)*
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Annual PHA Plan
PHA Fiscal Year 2000

[24 CFR Part 903.7]

i. Annual Plan Type:

Select which brief type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

Rockford Housing Authority (RHA) Profile – The RHA currently manages 2004 units of low-income public housing in 15 Development Projects. RHA is also the parent organization of the Rockford Housing Development Corporation (RHDC), which involves the management of two Project Based Section 8 projects consisting of 216 units at Concord Commons and 20 units at River North. The RHA also administers the Section - 8 Tenant Based Program within the City of Rockford which currently serves about 1,700 families through the Voucher Program and various Mod Rehab and Special Use Certificates.

Overall, RHA/RHDC Programs serve about 3.25% of Rockford’s total population and makes up about 9.5% of the City’s rental housing stock.

Low-Income and Affordable Housing Needs of the Community – The unemployment rate in Rockford is currently 7.4 %, which is about 2% above the national average and 1.5% above the Illinois Average. According to the National Home Builders Association, Rockford has the most affordable housing market in the nation with the average home costing \$90,000 and Rockford-area families earning the Average Median Income (AMI) of \$55,300 a year can afford to buy 89.3% of the local homes on the market. However, for the 1 in 5 families who are earning minimum wage, it is not affordable at all. There is a great need for affordable housing and home ownership assistance programs in the City.

A study by the National Low-Income Housing Coalition shows that full-time workers who earn the minimum wage cannot afford a modest 2-bedroom apartment. In the

Rockford area, the median income for renter households is \$29,708. If they spent 30% of their income on rent, those families could reasonably afford \$743 a month. Apartments for families in the middle range are easily in reach – where a two-bedroom apartment averages \$574 a month. However, minimum wage earners, spending 30% of their income on rent, have few options. The renter could reasonably afford \$268 a month, more than \$300 below the average rent for a 2-bedroom apartment and more than \$200 less than the average 1-bedroom apartment at \$471. There are few apartments available at less than \$300 per month. Most rent for \$500 and up.

Through an assessment of RHA housing stock we have endeavored to establish long-term treatment plans for all 15 of the public housing developments and both of the RHDC project based Section 8 projects. While RHA has a steady market for family and scattered site units, many of these developments suffer from age, histories of and/or perceptions of crime, and inherent design issues which cumulatively make managing some of the developments more difficult and diminish their chances of long-term viability.

Resident / Community Participation - To facilitate the development of the FFY 2002 Annual PHA Plan we divided the HUD-50075 PHA Plan Template Contents among five Review Teams comprised of RHA Resident Council Officers and Staff. This process provided Residents with a more tangible resource for accessing the Plan contents and assured their meaningful participation in the development and eventual implementation of the Plan Goals and Strategies.

Utilizing recent capital needs assessments, property viability assessments, market analysis and feedback from the Review Teams; meetings were held with Residents, Staff and the Community at each Development Site to establish the PHA Plan Annual Statement and 5-Year Plan work priorities.

Over the next 5 years the RHA/RHDC, through a Limited Partnership with Cornerstone Residential Group plans to redevelop Concord Commons, a 216 unit Project Based Section 8 Development. Concord is over 30-years old, consists of twelve identical 3-story brick walk-up buildings and is in serious need of revitalization. The new project will be called Washington Parkview Homes and will be completed in 2-phases. First Phase of the Washington Parkview Homes redevelopment will involve renovation of 108 of the existing 216 units. RHA proposes to purchase 6-Public Housing units and to Project Base 50-Section 8 Vouchers. The remaining 52-units will be unassisted tax credit units affordable to families at or below 60% of the Rockford AMI. Long-term affordability will be secured through an extended use agreement of 40-years.

The Second Phase will include development of approximately 104-units of for sale housing, low-density affordable and market rate rental housing in newly constructed duplex and triplex units throughout the 16-acre site and on 10-acres of adjacent vacant land.

We are studying the viability of creating a Section 5 (h) Homeownership Program involving 89 Public Housing units through conversion of 3-Scattered Sites Projects (IL22-012, 016 & 017), exploring possibilities for HOPE VI Funds for Fairgrounds Valley (IL22-007) and working closely with the City of Rockford in preparing a HOPE VI Application for Brewington Oaks and Jane Addams Village (IL22-005) high-rise/multifamily project.

RHA's Primary Objectives

- A) Increase the availability of Decent, Safe and Affordable Housing by reducing the number of unit turnarounds from 500 to 400 and the average number of vacant units to less than 60.
- B) Improve Community Quality of Life and Economic Vitality through implementation of Neighborhood Revitalization programs
- C) Increase the number of working families in Public Housing from 18% to 30% by networking with City of Rockford Human Services, Work Incentive Board, State of Illinois Health & Human Services Department and through Employment Training and Placement Programs
- D) Ensure Equal Opportunity in Housing for all Americans through monitoring and adherence to Local, State and Federal Regulations

Through the Family Self-Sufficiency (FSS) Program for the last fiscal year, eleven families achieved their economic independence from public funding sources and have purchased their first home. As of the end of the fiscal year 2001, there was \$526,848 in escrow for the current FSS participants.

New Objectives Under Consideration

- Develop a designated housing plan that establishes North Main Manor and Olesen Plaza as elderly only and Park Terrace as a mixed population development.
- Conduct selected density reduction at Fairgrounds Valley and consider a HOPE VI Demolition Application to cover costs.
- Apply for HOPE VI funding for Jane Addams Village and Brewington Oaks and develop housing suitable for the market and neighborhood that addresses simultaneously the housing needs of the current resident population.
- Develop plans for improving curb appeal at the remaining developments.

- Develop a marketing plan that will include the development of a longer waiting list for seniors and more working families for the family developments.
- Consider the development of targeted unit or development amenity upgrades, which will have a stronger appeal in today's rental market.
- Convert 3-Scattered Site Projects (IL22-012, 016 & 017) to Section (5) (h) Homeownership.
- Acquire and rehab or build a new Central Inventory and Maintenance Facility.
- Pursue leveraging of private or other public funds to create additional housing opportunities.

Coordination - RHA proposes to combine more resources with the City's Community Development Programs and plans to utilize the Low-Income Housing Tax Credit Program and Illinois Trust Fund in mixed-finance projects to redevelop Concord Commons (Washington Parkview Homes) and for proposed HOPE VI Projects at IL22-005 (502-units) Brewington Oaks and Jane Addams Village and IL22-007 (210-units) Fairgrounds Valley.

The overall coordination of RHA activities has been improved through relationships with support service agencies and local community and economic development departments. Examples include RHA staff participation on the Rockford Area Affordable Housing Coalition. This organization is primarily made up of non-profits and was formed to allow organizations associated with housing the opportunity to come together to coordinate the planning and development of strategies to improve Rockford's neighborhoods and increase the availability of affordable, decent housing for lower-income families.

RHA staff also participates on numerous boards and steering committees including:

- West State Street Corridor Planning Committee
- Morgan Street Bridge Planning Committee
- Joint Purchasing Committee
- Fair Housing Board Representation
- Advisory Council of Shelter Care Ministries
- Mayor's Homeless Task Force
- Homeless Provider's Committee

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment’s name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration A
- FY 2002 Capital Fund Program Annual Statement B
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY) C

Optional Attachments:

- ...PHA Management Organizational Chart..... D
- ...FY 2002 Capital Fund Program 5 Year Action Plan..... E
- ...Public Housing Drug Elimination Program (PHDEP) Plan N/A
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)Included in PHA Plan
- Other (List below, providing each attachment name)
 - Option Public Housing Asset Management Table Attachment* F
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P&E Report IL06P022708 as of March 31, 2002..... K
P&E Report IL06P02250100 as of March 31, 2002..... L
P&E Report IL06R02250100 as of March 31, 2002..... M
P&E Report IL2250101 as of March 31, 2002 N
P&E Report IL06R02250101 as of March 31, 2002..... O
Section 3 Report as of March 31, 2002.....P

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
XX	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
XX	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
XX	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
XX	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
XX	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
XX	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
XX	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
XX	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
XX	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
XX	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
XX	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
XX	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
XX	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
XX	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
XX	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open	Annual Plan: Safety and Crime Prevention

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	grant and most recently submitted PHDEP application (PHDEP Plan)	
XX	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion
Income <= 30% of AMI	4,853	3	2	3	3	5	2
Income >30% but <=50% of AMI	3,142	3	3	3	3	3	2
Income >50% but <80% of AMI	2,338	3	5	3	3	3	2
Elderly	3,374	3	2	3	3	1	4
Families with Disabilities	6,385	5	4	5	5	4	5
Race/Ethnicity-W	69,883	2	2	5	3	3	2
Race/Ethnicity-B	7,749	3	3	3	3	3	2
Race/Ethnicity-I	2,472	3	3	3	3	3	2
Race/Ethnicity-A	1,204	3	3	3	3	3	2

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2000 - 2002
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/sub-jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	481		
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)			
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity –White	155	32%	
Race/ethnicity-Black	287	60%	
Race/ethnicity-Asian	38	8%	
Race/ethnicity-Other	1	0%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	185	38%	
2 BR	175	36%	
3 BR	86	18%	
4 BR	32	7%	
5 BR	4	1%	
5+ BR	0	0%	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	1,259		
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)			
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity –White	292	23%	
Race/ethnicity-Black	946	75%	
Race/ethnicity-Asian	19	2%	
Race/ethnicity-Other	2	0%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	296	24%	
2 BR	554	44%	
3 BR	325	26%	
4 BR	70	6%	
5 BR	13	1%	
5+ BR	1	0%	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 7 months			
Does the PHA expect to reopen the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance. (*conversion to Section 5 (h) Homeownership*)
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly (*N. Main & Olesen*)
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)
Local preference for disabled.

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs - *Flyers to LaVoz Latina, etc.*
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance (*opened wait list for Milestone*)
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups (*homeless shelter, stepping stones*)
- Other: (list below)

Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year.

Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2002 grants)		
a) Public Housing Operating Fund FY02	7,023,198	
b) Public Housing Capital Fund 50101	3,915,049	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance FY 02	8,136,236	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds) FY 01	493,840	
g) Resident Opportunity and Self-Sufficiency Grants FFY 00	500,000	
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
FSS Coordinator	116,651	Section 8 supportive services
ROSS Service Coord Grant FY01	57,570	Public Housing supportive services
ROSS Service Coord Grant FY00	56,442	Public Housing supportive services
2. Prior Year Federal Grants (unobligated funds only) (list below)		
3. Public Housing Dwelling Rental Income	1,723,000	
4. Other income (list below)		
4. Non-federal sources (list below)		
Total resources	\$22,021,986	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)

Based on availability of units and the unit turnover rate.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

Reasonably be expected to comply with the lease agreement.

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

Last 4 years did 7,401 Background checks, 1,111 FBI checks, 66% had criminal history, 567 withdrew application, 892 were denied housing.

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously?
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

A) Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Elderly, near elderly and persons with disabilities

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

5 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Elderly, near elderly, and persons with disabilities

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA’s Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)
Resident Handbook
Orientation Video

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site based waiting lists
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
 - IL022-001 Blackhawk
 - IL022-007 Fairgrounds
 - IL022-005 Brewington Oaks & Jane Addams

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

IL022-001 Blackhawk

IL022-007 Fairgrounds

IL022-005 Brewington Oaks & Jane Addams

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

Criminal or drug-related activity only to the extent required by law or regulation

Criminal and drug-related activity, more extensively than required by law or regulation

More general screening than criminal and drug-related activity (list factors below)

The HA may apply the following criteria, in addition to the HUD eligibility criteria, as grounds for denial of admission to the program. When the HA denies assistance to an applicant with a disability, the applicant may request a review of the family obligation that was violated, if the violation was a result of the disability.

1. *No family member may have committed fraud, bribery, or any other corrupt or criminal act in connection with any federal housing program within the last thirty-six (36) months.*

2. *Family must have paid any outstanding debt owed the HA or another HA as a result of prior participation in any federal housing program. No repayment Agreement will be accepted. If the family's payments are current at the time of final eligibility determination, the family will be issued a certificate or voucher. The HA reserves the right in the case of extreme hardship to waive the policy in regards to Repayment Agreements. Waiver of the "No Repayment Agreement Provision will be at the sole discretion of the HA and will require full documentation of the hardship and the approval of the Executive Director. In no case will the debt be forgiven.*

B) *The HA will screen all applicants for criminal activity as part of the processing of an application for assistance. Applicants or applicant family members who are found to have engaged in drug related or violent criminal activity within 36 (36 months) of the date of the initial application will be denied admission.*

Drug related criminal activity is defined as: "The illegal manufacture, sale, distribution, use, or possession with intent to manufacture, sell, distribute, or use as a controlled substance." Violent criminal activity is defined as: "Any criminal activity that has as one of its elements the use, attempted use or threatened use of physical force against a person or property of another."

4. *No family member may have been evicted from public housing or any project based Section 8 program for any reason during the last twelve months.*

5. *No member of the family may have engaged in behavior so that there is reason to believe the person abuses alcohol in a manner, which may interfere with the health, safety, or right of peaceful enjoyment by other residents or neighbors.*

Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

Criminal or drug-related activity

Other (describe below)

Name of previous/current landlord

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

Community locations – Mass sign-up throughout the City. Wait list is usually closed.

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Extenuating circumstances such as hospitalization or a family emergency. – Verification is required.

Upon submittal of appropriate documentation that the family has made a reasonable effort to locate a unit..

The family was prevented from finding a unit due to disability accessibility requirements or large size bedroom unit requirement.

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Elderly, near elderly, and persons with disabilities

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Elderly, near elderly, and persons with disabilities

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one) *N/A*

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)
Newspapers, Flyers, Community Agencies, Churches, Postings & RHA Website

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)
Fair Market Rent

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard?
(select all that apply) *N/A*

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level?
(select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	1808	500
Section 8 Vouchers	1344	120
Section 8 Certificates		
Section 8 Mod Rehab	323	120
Special Purpose Section 8 Certificates/Vouchers (list individually)	60	12
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs (list individually)		
ROSS	100	50
CFP	1925	100
Service Coordinator	100	10
Section 8 FSS Coordinator	100	15

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

Admissions and Occupancy Policy
RHA Lease Agreement
Resident Handbook
Pest Control Policy
Emergency Handbook
SPM Handbook
LBP Guidebook

(2) Section 8 Management: (list below)

Administrative Plan
Section Eight Lease Agreement
HAP Contract

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
 PHA development management offices - *resident*
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- PHA main administrative office
- Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) Attachment B

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

- a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)
- b. If yes to question a, select one:
 - The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment E
 - or-
 - The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No:
 - a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
 - b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

- 1. Development name:
- 2. Development (project) number:
- 3. Status of grant: (select the statement that best describes the current status)
 - Revitalization Plan under development
 - Revitalization Plan submitted, pending approval
 - Revitalization Plan approved
 - Activities pursuant to an approved Revitalization Plan underway

- Yes No:
 - c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
 - If yes, list development name/s below:
IL022 – 005 Brewington Oaks & Jane Addams Village

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:

In a redevelopment project at Concord Commons, RHA proposes to purchase 6-Public Housing units. The project will also include 50-Section 8 Project Based Vouchers and 52-units of unassisted tax credit units affordable to families at or below 60% of the Rockford AMI.

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name:
1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected:
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD

FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	Blackhawk Courts
1b. Development (project) number:	IL22-001
2. What is the status of the required assessment?	<input checked="" type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No:	Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status)	<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input checked="" type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

Conversion of Public Housing Activity Description	
1a. Development name: Orton Keyes	
1b. Development (project) number: IL22-003	
2. What is the status of the required assessment?	
<input checked="" type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input checked="" type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below).	

Conversion of Public Housing Activity Description	
1a. Development name: Park Terrace	
1b. Development (project) number: IL22-004	
2. What is the status of the required assessment?	
<input checked="" type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

Units addressed in a pending or approved demolition application (date submitted or approved:)

Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:)

Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:)

Requirements no longer applicable: vacancy rates are less than 10 percent

Requirements no longer applicable: site now has less than 300 units

Other: (describe below).

Conversion of Public Housing Activity Description
1a. Development name: Brewington Oaks / Jane Addams Village
1b. Development (project) number: IL22-005
2. What is the status of the required assessment?
<input type="checkbox"/> Assessment underway
<input checked="" type="checkbox"/> Assessment results submitted to HUD
<input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question)
<input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status)
<input type="checkbox"/> Conversion Plan in development
<input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY)
<input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY)
<input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:)
<input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:)
<input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:)
<input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent
<input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units
<input checked="" type="checkbox"/> Other: (describe below) Cost analysis concludes the Development is more economical to operate as public housing vs. tenant based Section 8. RHA is working with City of Rockford in the development of a Revitalization Plan under HOPE VI.

Conversion of Public Housing Activity Description
1a. Development name: North Main Manor
1b. Development (project) number: IL22-006
2. What is the status of the required assessment?
<input checked="" type="checkbox"/> Assessment underway
<input type="checkbox"/> Assessment results submitted to HUD
<input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question)
<input type="checkbox"/> Other (explain below)

3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input checked="" type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

Conversion of Public Housing Activity Description
1a. Development name: Fairgrounds Valley 1b. Development (project) number: IL22-007
2. What is the status of the required assessment? <input checked="" type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input checked="" type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

Conversion of Public Housing Activity Description	
1a. Development name: Scattered Sites - Rehab	
1b. Development (project) number: IL22-008	
2. What is the status of the required assessment?	
<input checked="" type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input checked="" type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)	

Conversion of Public Housing Activity Description	
1a. Development name: Olesen Plaza	
1b. Development (project) number: IL22-009	
2. What is the status of the required assessment?	
<input checked="" type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

Units addressed in a pending or approved demolition application (date submitted or approved:)

Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:)

Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:)

Requirements no longer applicable: vacancy rates are less than 10 percent

Requirements no longer applicable: site now has less than 300 units

Other: (describe below)

Conversion of Public Housing Activity Description	
1a. Development name:	Scattered Sites
1b. Development (project) number:	IL22-0012
2. What is the status of the required assessment?	<input checked="" type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input checked="" type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

Conversion of Public Housing Activity Description	
1a. Development name:	Scattered Sites – Solar
1b. Development (project) number:	IL22-013
2. What is the status of the required assessment?	<input checked="" type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	

4. Status of Conversion Plan (select the statement that best describes the current status)

Conversion Plan in development

Conversion Plan submitted to HUD on: (DD/MM/YYYY)

Conversion Plan approved by HUD on: (DD/MM/YYYY)

Activities pursuant to HUD-approved Conversion Plan underway

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

Units addressed in a pending or approved demolition application (date submitted or approved:)

Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:)

Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:)

Requirements no longer applicable: vacancy rates are less than 10 percent

Requirements no longer applicable: site now has less than 300 units

Other: (describe below)

Conversion of Public Housing Activity Description
1a. Development name: LowRises Disabled
1b. Development (project) number: IL22-014
2. What is the status of the required assessment?
<input checked="" type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status)
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input checked="" type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

Conversion of Public Housing Activity Description	
1a. Development name: Scattered Sites – New White	
1b. Development (project) number: IL22-016	
2. What is the status of the required assessment?	
<input checked="" type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input checked="" type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)	

Conversion of Public Housing Activity Description	
1a. Development name: Scattered Sites - Rehab	
1b. Development (project) number: IL22-017	
2. What is the status of the required assessment?	
<input checked="" type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

Units addressed in a pending or approved demolition application (date submitted or approved:)

Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:)

Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:)

Requirements no longer applicable: vacancy rates are less than 10 percent

Requirements no longer applicable: site now has less than 300 units

Other: (describe below)

Conversion of Public Housing Activity Description
1a. Development name: Scattered Sites - Rehab 1b. Development (project) number: IL22-019
2. What is the status of the required assessment? <input checked="" type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input checked="" type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

Conversion of Public Housing Activity Description
1a. Development name: Scattered Sites - Rehab 1b. Development (project) number: IL22-020
2. What is the status of the required assessment? <input checked="" type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)

3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input checked="" type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description: *The homeownership option is used to assist a family residing in a home purchased and owned by one or more members of the family. The RHA will limit the number of families assisted with homeownership. The RHA will offer the homeownership option only to participating families who:
Are currently enrolled in the Rockford Housing Authority's Family Self-Sufficiency (FSS) program and are in compliance with the FSS contract.*

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria below:

Minimum income of \$10,300

Currently employed (not less than 30 hours per week)

Employed at least one year (continuously)

First time home buyer

Attended Pre and Post Counseling

Signed statement of homeownership

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)? *In process of getting signatures from state officials.*

If yes, what was the date that agreement was signed? DD/MM/YY – 06/05/02

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe) *In kind seminars for staff and residents.*

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
<i>Pre-Family Magic</i>	<i>2xs a yr</i>	<i>Voluntary</i>	<i>Developments</i>	<i>Both</i>
Family Magic	24/yr	Voluntary	West Middle School	Both
Employment Resource Center	Part Time Represent	Voluntary	Employment Training Center	Both
Job Fairs	Once yr	Voluntary	Developments	Both
Step-Up Force Account	6 per yr	Voluntary	YouthBuild	Both
Employment Training & Placement	20 year	Voluntary	Abilities Center	LIPH
Child Care Training	10 year	Voluntary Need GED	Abilities Center	LIPH
Transportation	As needed	Voluntary	Blackhawk	Both
Choices-drug & gang prevention	32 year	Voluntary	Orton Keyes & Fairgrounds	Both
Emotional & physical wellness workshops	1 per year	Voluntary	Developments	Both
Promote Arts Programs		Voluntary	Orton Keyes & Fairgrounds	Both
Outreach Services	80 month	Voluntary	High & Low Rises	LIPH
Home Ownership Classes	40 year	Voluntary	Main office	Both
Reach	50 month	Voluntary	Development	LIPH
Housekeeping	300 year	Mandatory	High Rises	LIPH
Resident Counsel Training	24 year	Voluntary	Main office	LIPH

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2002 Estimate)	Actual Number of Participants (As of: 02/28/02)
Public Housing	60	70
Section 8	120	122

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:
(N/A – The caseload is double the minimum program size.)

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

- IL022-001 Blackhawk Courts*
- IL022-003 Orton Keyes*
- IL022-004 Park Terrace*
- IL022-005 Brewington Oaks & Jane Addams*

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

- IL022-001 Blackhawk Courts*
- IL022-003 Orton Keyes*
- IL022-004 Park Terrace*
- IL022-005 Brewington Oaks & Jane Addams*
- IL022-006 North Main Manor*
- IL022-007 Fairgrounds Valley*
- IL022-009 Olesen Plaza*

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

Rockford Housing Authority Police operate under a community oriented policing (COP) format by being assigned to one Family Development and one High Rise per officer. They regularly attend Resident Council meetings, and have offices at each of the family developments

2. Which developments are most affected? (list below)

- IL022-001 Blackhawk Courts*
- IL022-003 Orton Keyes*
- IL022-005 Brewington Oaks & Jane Addams*
- IL022-007 Fairgrounds Valley*

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2002 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

RHA PET POLICY

In compliance with the Quality Housing and Work Responsibility Act of 1998, the Rockford Housing Authority will permit residents, to own and keep common household pets in apartments. The pet policy is not applicable to trained animals that are used to assist persons with disabilities as defined in 24 CFR Part 942.2. The RHA will provide formal applications to residents or applicants who desire to have common household pets.

Common household pets are defined as follows:

Birds - Includes canaries, parakeets, finches, and other species that are normally kept caged; birds of prey are not permitted. CFR 942.20b1

Fish - In tanks or aquariums, not to exceed 20 gallons in capacity; poisonous or dangerous fish are not permitted. CFR 942.20b1

Dog - Not to exceed 20 pounds. weight at maturity. CFR 942.20b1

Cat - Species commonly used for household pets, felis catus. CFR 942.20b1

Rabbit CFR 942.20b1

Turtle CFR 942.20b1

RULES FOR OWNERS OF PETS

The Rockford Housing Authority may designate specific locations or floors at each development as areas in which dogs or cats are not permitted for health reasons. A listing of eligible pet areas will be kept at each site. Areas may be adjusted to accommodate or to meet the changing needs of existing residents and the Rockford Housing Authority. The Rockford Housing Authority will direct and approve such initial

moves as may be necessary to establish pet and no pet areas or to meet changing needs of existing residents.

All pets must be registered with the Rockford Housing Authority. Residents must receive a written permit to keep any animal on or about the premises. (CFR 942.2bII) This privilege may be revoked at any time subject to the Housing Authority grievance procedure if the animal becomes destructive or a nuisance to others, or if the resident/owner fails to comply with the following:

A) A maximum number of one four-legged common household pet is allowed per dwelling. Residents, however, may keep a bird or aquarium in addition. CFR 942.02b2

Dogs are to be licensed yearly with the City of Rockford, and residents must show proof of yearly distemper, rabies, boosters, and any other required vaccinations. CFR 942.20b6I

B) All cats are to be neutered prior to issuance of the written permit. CFR 942.20a2

C) No pet may be kept in violation of humane or health laws of the City, County or State. CFR 942.20b6I

D) Resident must identify an alternate custodian for their pet(s) in the event of absence from the unit including employed residents, or resident's illness that would prevent the resident from properly caring for the pet. CFR 942.20a2

Cancellation of the pet permit will result if the pet becomes a documented nuisance or health threat. (CFR 942.20b5II) The resident will then be given two written notices to enable him/her to correct the situation. The third notice will require removal of the pet or eviction of the resident. In emergency situations, cancellation of a pet permit may result without issuance of the warning notices.

If pets are left unattended for twenty-four (24) hours or more, the Housing Authority may, at its discretion, enter to remove the pet and transfer it to the proper authorities subject to the provisions of Chapter 8, paragraphs 703 and 704 of the Human Care for Animals Act of Illinois.

The Housing Authority accepts no responsibility for the pet under such circumstances. CFR 942.20b5II

Residents shall not permit any disturbance by their pet which would interfere with the quiet enjoyment of other residents; whether by loud barking, howling, biting, scratching or other such activities. CFR 942.20b5II

Residents shall not alter their unit, patio or unit area to create an enclosure for the animal. CFR 942.20b4

Resident is responsible for all damages caused by their pet including the cost of fumigation necessitated as a result of their pet. CFR 942.20b4

Dogs and cats shall remain inside a resident's unit unless they are on a leash and directly controlled by an adult. Pets are not permitted in public areas of the building except while directly entering or exiting the building or apartment. Birds must be confined to a cage at all times. Dogs and cats must be identifiable by an identification collar. CFR 942.20b5II

Cats are to use litter boxes kept in tenant's premises. The Resident is not allowed to let waste accumulate. CFR 942.20b5I

Only one pet (dog or cat) will be permitted on an elevator at any time. CFR 942.20b5II

The owner of a dog or cat must properly remove and dispose of all waste caused by animals in building interiors and on grass and paved areas of the development (this includes washing and disinfecting affected areas in building interiors following "accident"). If no area is designated as a pet exercise or waste deposit area, the pet owner must remove the pet from the premises for such purposes. Manager will notify pet owner of building plan for disposal of animal waste. CFR 942.20b5I

Resident shall take adequate precautions to eliminate any pet odors within or around unit and maintain unit in a sanitary condition at all times. CFR 942.20b5II

Residents are prohibited from feeding stray animals. The feeding of stray animals shall constitute having a pet without permission of the Housing Authority. CFR 942.20a2

Resident shall pay an additional security deposit for each pet as follows: a dog, \$100.00; a cat \$100.00; fish or bird, none. This deposit shall be paid in advance or upon the acceptance of said pets by the tenant. This deposit is refundable if no damage is done, as determined by the Housing Authority, after resident disposes of the pet(s), or moves. The resident is responsible for damage in excess of the combined total security deposits. The security deposit may be paid in up to three approximately equal payments under auto-billing procedures. CFR 942.20b4

Housing Authority Staff Member

Date

I have received written permission from the Rockford Housing Authority to keep the following pet/pets in my residence as a resident of the Housing Authority:

Resident

Apartment

My alternate pet custodian is:

Name

Address

Phone

I fully understand the rules/regulations in regard to this privilege and will abide by same.

Resident

Housing Authority Staff Signature

Date

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD? *N/A*
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 - Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
 Attached at Attachment (File name)
 Provided below:

Public Meeting

June 19, 2002
Rockford Housing Authority
PHA Annual Plan

The meeting was convened with introductions of Department Supervisors who gave an overview of their Departments primary responsibility and how their work relates to Residents and the Community as identified in the PHA Plan.

In attendance were representatives from the community, staff and residents.

The following was the agenda used and the questions and comments that were received.

Steve Anderson

Greetings

Statement of Purpose

How to Read the PHA Plan Availability of Supporting Documents Executive Summary

Statement of Housing Needs

Introductions of Staff

Carol Washington

Section 8 Programs

Waiting List - Eligibility & Screening
Goals & Performance - SEMAP
Increase the Availability of Decent, Safe
and Affordable Housing
Improving the Quality of Assisted Housing
Increasing Assisted Housing Choices
Promotion of Self-Sufficiency
Homeownership Opportunities

Arson Rayford & Chuck Doyle

Public Housing Program

Waiting List - Eligibility & Screening
Goals & Performance – PHAS – SPM - UPCS

Increase the Availability of Decent, Safe and Affordable Housing
Unit Turnarounds
Improving the Quality of Public Housing
Work Orders
Designation of Elderly & Disabled
Pet Policy
Rent Structure – Ceiling & Flat Rents

Paul Hackerson

Safety & Crime Prevention Measures
Need for Measures to Ensure Safety
Crime & Drug Prevention Activities
Coordination Between RHA & RPD
Services & Programs

Ann Higgins

Community Service & Self-Sufficiency

RHA Coordination with Dept of Human Services
Services & Programs
Service Coordination
Family Self-Sufficiency

Marlon Pool & Lori Hinueber

Financial Resources

Asset Management – PHAS

Barry Long

Capital Improvement Needs

Performance & Evaluation Report
Annual Statement
5-Year Plan
HOPE VI Revitalization
Concord Commons Revitalization

All Staff

Questions & Comments

Steve Anderson

Closing Comments & Dismissal

The following comments and questions were received during the presentation:

- Q: If someone is already residing in an RHA development and they receive their Section 8 voucher, does RHA do another background check? *(Yes we do.)*
- Q: What is the lease term? *(12 months and then the family is recertified, income and family composition is verified.)*

- Q: If a family size increases and they need an apartment with more bedrooms, how long will it be before they are transferred? *(Underhoused families are a priority and they will be transferred when a unit becomes available. These transfers are based upon availability units.)*
- Q: Is it RHA's goal to create mixed income developments? *(HUD encourages deconcentration of poverty. In this respect, RHA is trying to move working families into the lower income developments.)*
- Q: If the family size is reduced, can RHA force the family to move to an apartment with less bedrooms? *(Yes we do move families to an appropriate unit size, and we do get criticized for doing so. We are currently reviewing the families that are over-housed and will make our decision to relocate them based on availability of units, and our unit turnaround costs. Every time we move a family, there are unit turnaround costs associated with that move.)*
- Q: If a person is over housed in a 2-bedroom apartment and someone else needs a 2-bedroom apartment, are you going to move that person to a 1-bedroom apartment, and does that person have options about where they want to move? *(Yes, again this is based upon the availability of the units.)*
- Q: Do you have a list of services available to residents? I live in a scattered site and we don't always hear about all these programs you mentioned. *(We do have a brochure, and if you will give me your name, we will send you one.)*
- Q: When talking about the inventory you have, you say you have all doors. Are they for Section 8, Scattered Sites, or the developments only? *(They are for the developments and scattered sites only. We do not do repairs for Section 8.)*
- Q: How come people in Scattered Sites never see any doors? One person has lived there for 5 years and her back door is caved in and she never gets a new one. *(If you will give your name and address to Arson, he will investigate the situation.)*
- Q: The CFP budgets that you have for the last three years, are the monies held in reserve? *(Yes they are, but they are held by HUD. We have to requisition the monies from HUD as it is spent.)*

Arson made a presentation regarding the HUD survey. A flyer regarding the survey was distributed.

Meeting adjourned at 7:05 p.m.

These additional questions were submitted after the meeting:

- Q: Doesn't RHA have a cooperative agreement with TANF? Why is that not shown as one of the supporting documents? *(The RHA, on June 5, 2002, received notice that DHS has signed the agreement. We included that information under section 12 but forgot to change the list of supporting documents available for review. This will be corrected.)*
- Q: Under Section 3 PHA Policies Governing Eligibility, Selection, and Admissions, Part B Section 8 part 1 Eligibility question on screening, there is a duplication of number 4 & 5 in the answer. *(Thank you, we will correct that.)*
- Q: I noticed that under admissions preferences for public housing the veterans and veterans' families were listed as a preference, but it was not checked for Section 8. Shouldn't they also be given a preference for Section 8? *(No, that is a decision that was made when we set up the preferences. We are not required to have any preferences.)*

- Q: Under Section 4 PHA Rent Determination Policies Part A Public Housing question 1 Income Based Rent Policies, do we or do we not have a minimum rent. *(If you will notice the answer to the first part, it does include a part about minimum rent. The box is only to be checked for minimum rent if we had also checked the box above stating that we employ discretionary policies for determining income based rent, which we do not.)*
- Q: Under Section 12 PHA Community Service and Self-sufficiency Programs, Part B Services and programs offered to residents and participants, #1 General part a self-sufficiency policies, why isn't the box check for Preference in admission to section 8 for certain public housing families, and Preference/eligibility for public housing homeownership option participation? *(We do not have a preference for public housing residents for the Section 8 program. I am not sure about the other question but we do not have a public housing homeownership program.)*
- Q: Does this plan include Concord Commons? *(Yes. Concord is a project based Section 8 development and is covered under the Executive Summary of the PHA Plan. We have also planned use of Capital Funds to build public housing units under a revitalization plan for Concord. The new project will be called Washington Park View Homes and will be implemented in two phases. Please see the Executive Summary for more details.)*

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:

Q: Doesn't RHA have a cooperative agreement with TANF? Why is that not shown as one of the supporting documents? *(The RHA, on June 5, 2002, received notice that DHS has signed the agreement. We included that information under section 12 but forgot to change the list of supporting documents available for review. This will be corrected.)*

Q: Under Section 3 PHA Policies Governing Eligibility, Selection, and Admissions, Part B Section 8 part 1 Eligibility question on screening, there is a duplication of number 4 & 5 in the answer. *(Thank you, we will correct that.)*

Other: (list below)

B. Description of Election process for Residents on the PHA Board

- 1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

- 2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

- 1. Consolidated Plan jurisdiction: (provide name here)
City of Rockford, Illinois

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

- Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

**PHA Plan
Attachment A**

Component 7
Capital Fund Program Annual Statement
Parts I, II, and II

Annual Statement

Rockford Housing Authority IL022

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number 50102 FFY of Grant Approval: (10/2002)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement

Rockford Housing Authority IL022

Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years				

Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>

PHA Plan Attachment A

DECONCENTRATION OF POVERTY

The “deconcentration of poverty” rule is designed to bring “higher income” residents into developments that are predominantly comprised of “lower income” residents and “lower income” residents into developments that are predominantly comprised of “higher income” residents. This rule applies to general occupancy, low-income public housing family developments.

Developments that are not subject to the deconcentration rule are:

- A) *Developments that house only elderly persons, or persons with disabilities, or both;*
- B) *Developments approved for demolition or for conversion to tenant based assistance; and*
- C) *Developments that include public housing units operated in accordance with a HUD approved mixed-finance plan using HOPE VI or public housing funds that were awarded prior to the effective date of this rule.*

In implementing this rule the Rockford Housing Authority shall:

- A) *Determine on an annual basis the average income of all families residing in developments that are subject to the deconcentration requirement.*
- B) *Determine the average income of all families residing in each covered development.*
- C) *Determine whether each covered development falls above, within, or below the “Established Income Range” requirement. (The “EIR” is 85% to 115% of the PHA wide average income for covered developments.)*
- D) *Determine whether any developments that are above or below the “Established Income Range” are consistent with local goals and strategies in the Rockford Housing Authority’s Agency Plan*

For Rockford Housing Authority developments that require implementation of the deconcentration of poverty rule the following steps are applied:

The Rockford Housing Authority may “pass” a family on the waiting list in order to reach another qualifying family in order to further the goals of the deconcentration of poverty requirement.

A family has the sole discretion whether to accept an offer of a unit under the Rockford Housing Authority’s deconcentration policy. Any eligible family that chooses not to accept an offer of a unit made under the Rockford Housing Authority’s deconcentration

policy will remain on the waiting list. If the family refuses a second offer under the Rockford Housing Authority's deconcentration policy the family will remain on the waiting list but will go to the bottom of the list.

The RHA has committed to a proactive plan of action in order to implement HUD's deconcentration of poverty requirements in its "family" low-income public housing developments. Attachment "H" lists the average income and the distribution of income levels for each of these developments.

In order to achieve these goals the RHA is taking or has taken the following actions.

- A) Revised the "Admissions and Occupancy Policy" to establish a local preference for working families.*
- B) Is developing plans for the selective demolition of some of the buildings in targeted family developments for the purpose of lowering resident density and increasing the viability and attractiveness of the developments and their surrounding neighborhoods.*
- C) Through the RHA's security program has encouraged the development of "neighborhood watch" programs on each of its developments.*
- D) Is developing a marketing plan that targets working families.*
- E) Utilizes Public Housing Drug Elimination Program funding and Capital Fund "Management Improvement" funding to maintain a security presence in the RHA's developments.*
- F) Revised the Admissions and Occupancy Policy to allow police officers to live on RHA sites.*
- G) Encouraged the development of resident economic self-sufficiency through RHA programs such as "Family Magic" and "Family Self Sufficiency".*
- H) Continues to modernize RHA's housing stock through the "Capital Fund".*

The RHA desires that all of its developments attain a higher percentage of working families in order to achieve its deconcentration goals. The strategies detailed above are intended to increase the percentage of working families from 25% to 40% over a five-year period and are consistent with the strategies and goals established in the RHA's Five Year Plan as submitted to HUD

PHA Plan Attachment B

Component 7 Capital Fund Program Annual Statement Parts I, II, and II

Annual Statement
Rockford Housing Authority IL022
Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number 50102 FFY of Grant Approval: (10/2002)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	388,000
3	1408 Management Improvements	751,000
4	1410 Administration	388,000
5	1411 Audit	0
6	1415 Liquidated Damages	0
7	1430 Fees and Costs	100,000
8	1440 Site Acquisition	0
9	1450 Site Improvement	170,000
10	1460 Dwelling Structures	1,646,766
11	1465.1 Dwelling Equipment-Nonexpendable	237,000
12	1470 Nondwelling Structures	70,000
13	1475 Nondwelling Equipment	114,000
14	1485 Demolition	0
15	1490 Replacement Reserve	0
16	1492 Moving to Work Demonstration	0
17	1495.1 Relocation Costs	21,000
18	1498 Mod Used for Development	0
19	1502 Contingency	0
20	Amount of Annual Grant (Sum of lines 2-19)	3,885,766
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	360,000
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement Rockford Housing Authority IL022 Capital Fund Program (CFP) Part II: Supporting Table			
Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
HA Wide	Grounds and Maintenance Equipment	1475	10,000
	Vehicles	1475	49,000
	Management & Resident Council Offices	1470	5,000
	Computers & Office Equipment	1475	55,000
	Maintenance / Inventory Facility	1440	0
	Sub Total		
IL06-P022-001 Blackhawk	Site Improve – Sidewalks, Concrete, Parking	1450	20,000
	Site Improve – Earthwork, Drainage, Signage	1450	50,000
	Exterior Rehab – Windows – Doors F/A	1460	15,000
	Appliances	1465.1	80,000
	Community & Day Care Buildings	1470	15,000
	Sub Total		
IL06-P022-003 Orton Keys	Exterior Rehab – Siding – Trim – Gutters/Downspouts	1460	10,000
	Exterior Rehab – Windows & Doors	1460	20,000
	Building Systems-Electrical Distributions	1460	10,000
	Community & Day Care Buildings	1470	15,000
	Sub Total		
IL06-P022-004 Park Terrace	Site Improve– Concrete – Sidewalks - Parking	1450	10,000
	Exterior Rehab – Doors – Roofing	1460	10,000
	Interior Rehab – Force Account	1460	345,000
	Plumbing Distributions – Force Account	1460	80,000
	Building Systems-Electrical Distributions	1460	30,000
	Relocation	1495.1	16,000
	Sub Total		
IL06-P022-005 Brewington Oaks & Jane Addams	Site Improve– Concrete – Sidewalks - Parking	1450	10,000
	Exterior Rehab – Doors – Roofing	1460	20,000
	Interior Rehab – Force Account	1460	292,766
	Plumbing Distributions-Force Account	1460	50,000
	Building Systems-Electrical Distributions	1460	43,000
	Relocation	1495.1	5,000
	Sub Total		

Annual Statement Rockford Housing Authority IL022 Capital Fund Program (CFP) Part II: Supporting Table			
Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
L06-P022-006 North Main Manor	Site Improve– Concrete – Sidewalks - Parking	1450	5,000
	Exterior Rehab – Windows – Doors	1460	40,000
	Interior Rehab – Force Account	1460	85,000
	Building Systems – HVAC-Fire & Life Safety	1460	75,000
	Building Systems – Electrical Distributions	1460	10,000
	Appliances	1465.1	20,000
	Sub Total		235,000
IL06-P022-007 Fairgrounds	Exterior Rehab-Roofing, Ventilation, Siding	1460	75,000
	Interior Rehab – Force Account	1460	40,000
	Appliances	1465.1	55,000
	Community & Day Care Buildings	1470	35,000
	Sub Total		205,000
IL06-P022-008 Scattered Sites Duplexes	Exterior Rehab-Windows-Doors – Force Account	1460	20,000
	Interior Rehab – Force Account	1460	25,000
	Appliances	1465.1	20,000
	Sub Total		65,000
IL06-P022-009 Olesen Plaza	Interior Rehab – Force Account	1460	5,000
	Building Systems – HVAC	1460	20,000
	Building Systems – Electrical Distributions	1460	10,000
	Sub Total		35,000
IL06-P022-012 Scattered Sites	Site Improve– Concrete – Sidewalks - Parking	1450	5,000
	Site Improve-Earthwork-Drainage-Signage	1450	10,000
	Sub Total		15,000
IL06-P022-013 Scattered Sites	Exterior Rehab - Siding - Gutters & Downspouts F/A	1460	21,000
	Exterior Rehab – Windows – Doors F/A	1460	20,000
	Interior Rehab – Force Account	1460	25,000
	Building Systems – HVAC	1465.1	62,000
	Sub Total		128,000

Annual Statement Rockford Housing Authority IL022 Capital Fund Program (CFP) Part II: Supporting Table			
Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
IL022-014 Low Rises	Exterior Rehab - Siding - Trim- Gutters & Downspouts F/A	1460	10,000
	Ext. Rehab - Windows - Doors – Decks F/A	1460	20,000
	Interior Rehab - Floors - Force Account	1460	200,000
	Sub Total		230,000
IL022-016 Scattered Sites	Interior Rehab – Force Account	1460	20,000
	Sub Total		20,000
IL022-017 Scattered Sites	Site Improve– Concrete – Sidewalks - Parking	1450	20,000
	Sub Total		20,000
IL022-019 Scattered Sites	Site Improve– Concrete – Sidewalks - Parking	1450	20,000
	Sub Total		20,000
IL022-020 Scattered Sites	Site Improve– Concrete – Sidewalks - Parking	1450	20,000
	Sub Total		20,000
IL022 Central Office	None Planned this year		-0-
	Sub Total		-0-
IL022 Management Improvements	Resident & Staff Training, HTVN	1408	40,000
	Service Coordinators @ High Rise	1408	165,000
	Computer Software Upgrades	1408	136,000
	Resident Outreach & Referral Case Mgmt.	1408	50,000
	Security Coordinator	1408	60,000
	Security	1408	300,000
	Sub Total		751,000

Annual Statement Rockford Housing Authority IL022 Capital Fund Program (CFP) Part III: Implementation Schedule		
Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
HA-Wide	09-30-04	09-30-06
IL06-P022-001 Blackhawk Courts	09-30-04	09-30-06
IL06-P022-003 Orton Keyes	09-30-04	09-30-06
IL06-P022-004 Park Terrace	09-30-04	09-30-06
IL06-P022-005 Brewington Oaks & Jane Addams	09-30-04	09-30-06
IL06-P022-006 North Main Manor	09-30-04	09-30-06
IL06-P022-007 Fairgrounds Valley	09-30-04	09-30-06
IL06-P022-008 Scattered Sites	09-30-04	09-30-06
IL06-P022-009 Olesen Plaza	09-30-04	09-30-06
IL06-P022-012 Scattered Sites	09-30-04	09-30-06
IL06-P022-013 Scattered Sites	09-30-04	09-30-06
IL06-P022-014 Low Rises	09-30-04	09-30-06
IL06-P022-016 Scattered Sites	09-30-04	09-30-06
IL06-P022-017 Scattered Sites	09-30-04	09-30-06
IL06-P022-019 Scattered Sites	09-30-04	09-30-06
IL06-P022-020 Scattered Sites	09-30-04	09-30-06
Management Improvements	09-30-04	09-30-06

Component 7

**Capital Fund Program Replacement Housing Factor
Annual Statement
Parts I, II, and II**

Annual Statement		
Rockford Housing Authority IL022		
Capital Fund Program Replacement Housing Factor (CFP/RHF) Part I: Summary		
Capital Fund Replacement Housing Grant Number 50102 FFY of Grant Approval: <u>10/2002</u>)		
<input checked="" type="checkbox"/> Original Annual Statement		
Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	0
3	1408 Management Improvements	0
4	1410 Administration	0
5	1411 Audit	0
6	1415 Liquidated Damages	0
7	1430 Fees and Costs	0
8	1440 Site Acquisition	0
9	1450 Site Improvement	0
10	1460 Dwelling Structures	0
11	1465.1 Dwelling Equipment-Nonexpendable	0
12	1470 Nondwelling Structures	0
13	1475 Nondwelling Equipment	0
14	1485 Demolition	0
15	1490 Replacement Reserve	29,283
16	1492 Moving to Work Demonstration	0
17	1495.1 Relocation Costs	0
18	1498 Mod Used for Development	0
19	1502 Contingency	0
20	Amount of Annual Grant (Sum of lines 2-19)	29,283
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement
Rockford Housing Authority IL022
Capital Fund Program Replacement Housing Factor (CFP/RHF) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
IL06-P022-016 Scattered Sites	Replacement Fund Reserve	1490	29,283

Annual Statement
Rockford Housing Authority IL022
Capital Fund Program Replacement Housing Factor (CFP/RHF) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
IL06-P022-016 Scattered Sites	2 year period begins upon approval of a development proposal	4 year period begins upon approval of a development proposal

**PHA Plan
Attachment C**

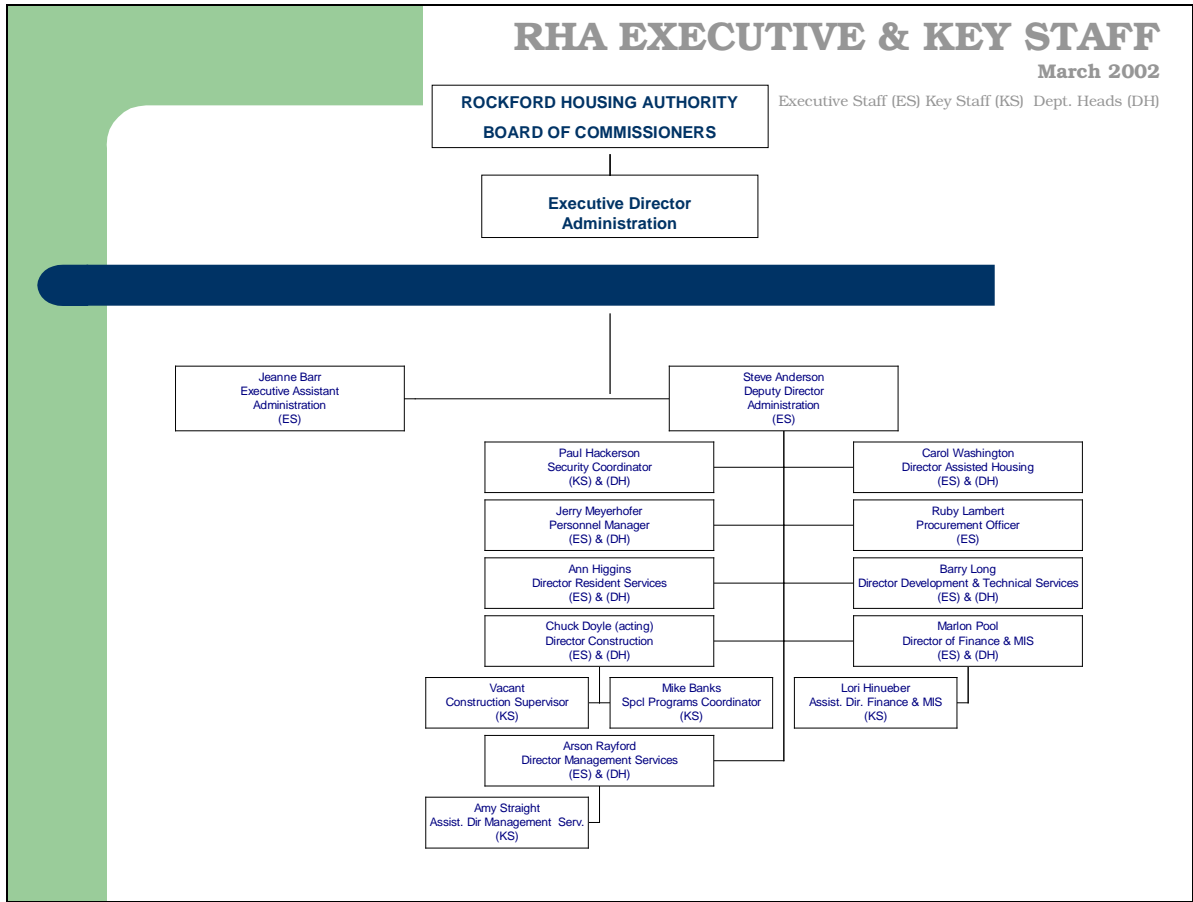
**Rockford Housing Authority
FY 2002
Operating Budget**

		FY2002 Budget
Revenue:		
Rental Income		1,760,000
Interest Income		20,000
Maintenance Charges		55,000
Late Fee Income		15,000
Mgmt Fee Income		87,000
Other Income		21,000
	Total Income	<u>1,958,000</u>
HUD Contributions-LIPH Subsidy		7,023,198
HUD Contributions-Section 8 Contribution		9,288,650
	Total HUD Contribution	<u>16,311,848</u>
	Total Revenue	<u><u>18,269,848</u></u>
Expense:		
Admin Salaries		2,304,600
Legal		100,000
Training		25,000
Mileage		7,000
Auditing		37,000
Sundry		367,000
Resident Services		152,100
Utilities		1,165,100
Labor		2,100,000
Materials		375,000
Contract Costs		475,000
Trash Removal		175,000
Insurance		468,000
Payments in Lieu of Taxes		35,000
Terminal Leave		34,000
Employee Benefits		1,850,000
Collection Loss Write Off		50,000
Other General Exp		8,000
Casualty Loss Net		30,000
S8 Landlord Payments		8,286,700
	Total Expense Before Depreciation	<u>18,044,500</u>
	Net Income (Loss) Before Depreciation	<u>225,348</u>
Depreciation Expense		3,160,000
	Total Expense	<u>21,204,500</u>
	Net Income (Loss)	<u><u>(2,934,652)</u></u>

**PHA Plan
Attachment D**

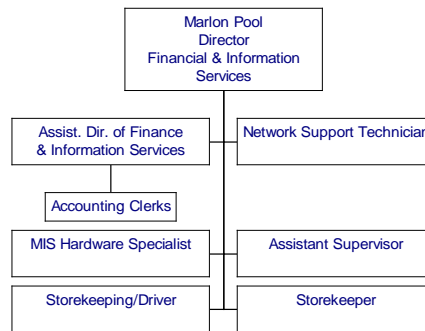
RHA Organizational Chart 2002

- Administration
- Finance/MIS
- Development & Technical Services
- Personnel Services
- Security Services
- Family/Elderly/Disabled Services
- Management Services
- Assisted Housing
- Construction

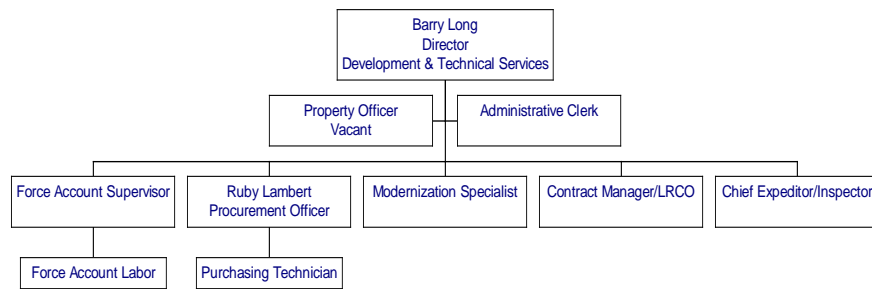


FINANCIAL & INFORMATION SYSTEMS

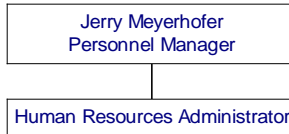
March 2002

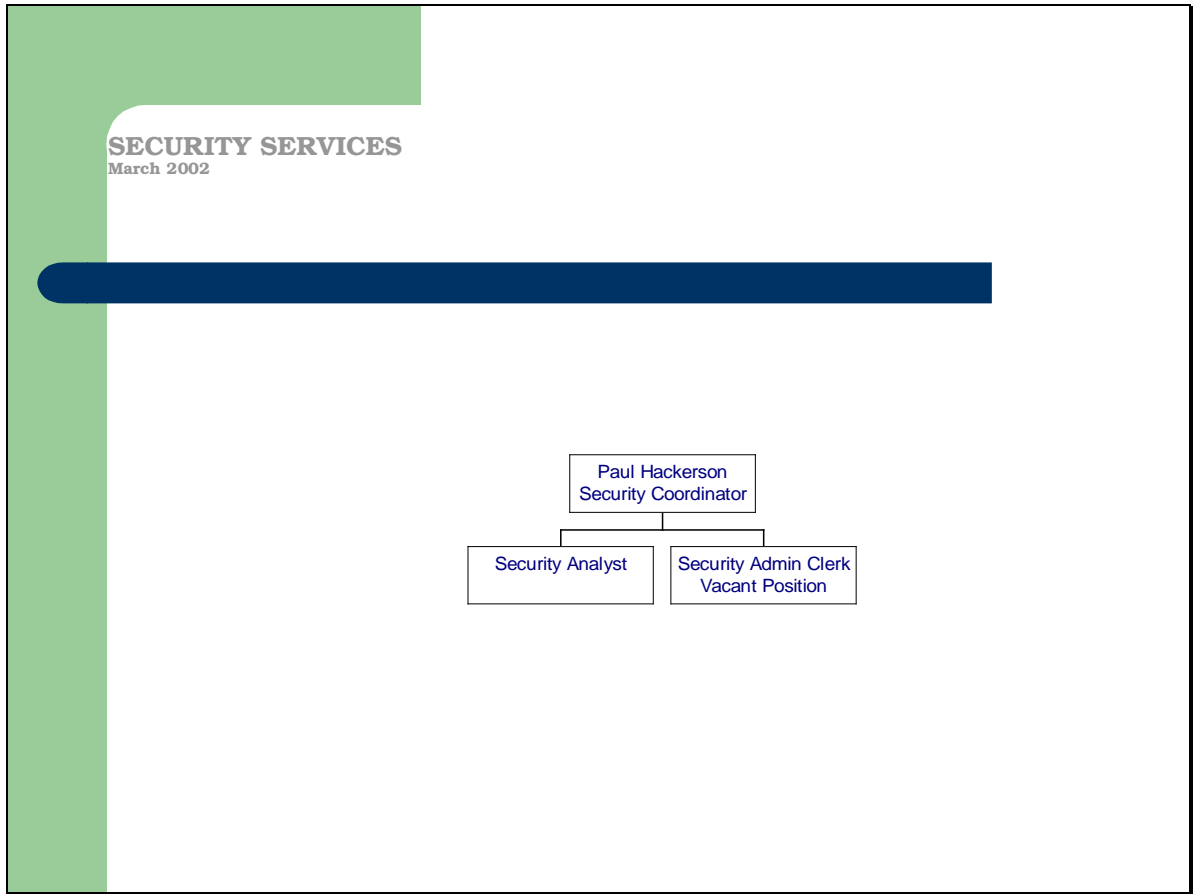


DEVELOPMENT & TECHNICAL SERVICES
March 2002

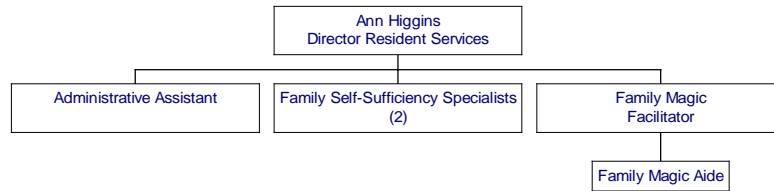


PERSONNEL SERVICES
March 2002

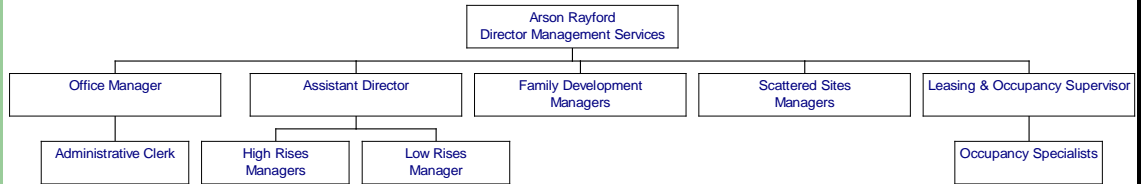




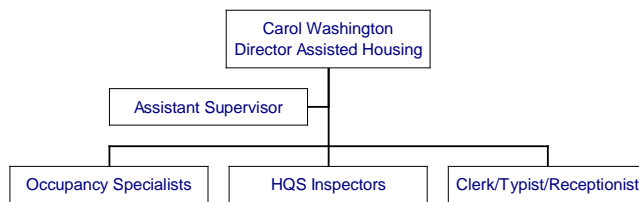
RESIDENTS SERVICES
Family/Elderly/Disabilities Services
March 2002



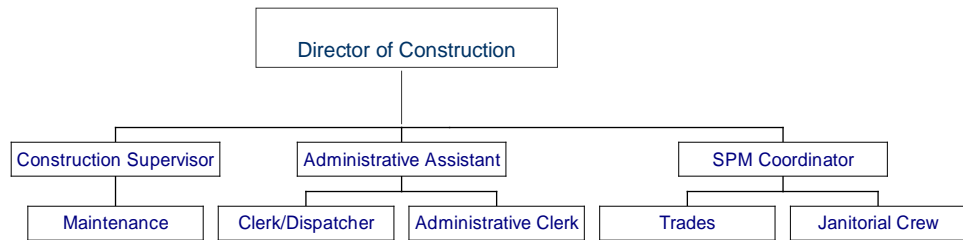
MANAGEMENT SERVICES
March 2002



ASSISTED HOUSING SERVICES
March 2001



CONSTRUCTION
March 2001



Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-001	Blackhawk Courts	8	4%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior Rehab – Roofing & Ventilation			130,000	FY06
Site Improvements-Earthwork-Drainage-Signage			70,000	FY04
Exterior Rehab – Doors & Windows			370,000	FY07
Interior Rehab – Force Account			200,000	FY05
Appliances			130,000	FY05
Day Care Facilities			10,000	FY05
Gymnasium			60,000	FY05
Total estimated cost over next 5 years			970,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.
Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022-003	Orton Keyes	6	3%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements – Concrete – Sidewalks – Parking		50,000	FY07
Site Improvements – Earthwork – Drainage – Signage		50,000	FY04
Exterior Rehab – Roofing – Ventilation		220,000	FY05
Interior Rehab		790,000	FY04
Building Systems - Electrical		100,000	FY04
Building Systems – Plumbing Distributions		125,000	FY06
Community Room Furnishings		15,000	FY04
Improvements – Day Care Facilities		50,000	FY07
Exterior Rehab – Siding – Trim- Gutters		0	FY04
Exterior Rehab - Windows & Doors		0	
Total estimated cost over next 5 years		1,400,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-004	Park Terrace	6	3%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements-Concrete – Sidewalks – Parking			5,000	FY06
Exterior Rehab – Water Proofing			75,000	FY06
Interior Rehab – Force Account			727,000	FY04
Building Systems- Electrical			-0-	
Building Systems – Plumbing Distribution			260,000	FY04
Appliances – Air Conditioners			100,000	FY04
Relocation			48,000	FY04
Trash Room			-0-	
Total estimated cost over next 5 years			1,215,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.
Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-005	Brewington Oaks & Jane Addams	29	6%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements – Concrete – Sidewalks – Signage-BO			-0-	
Site Improvements – Security - Lighting			-0-	
Exterior Rehab – Roofing – Ventilation			-0-	
Exterior Rehab – Siding – Trim– Gutters – Downspouts			-0-	
Exterior Rehab– Windows – Doors			-0-	
Exterior Rehab – Water Proofing-BO			-0-	
Interior Rehab – Force Account - BO			-0-	
Building Systems - HVAC-Life Safety-BO			-0-	
Building Systems – Plumbing Distributions – Force Account			-0-	
Building Systems – Electrical			-0-	
Appliances – Air Conditioning			-0-	
Relocation			-0-	
Community Room Furnishings			-0-	
Security Cameras			-0-	
HOPE VI Reconstruction			-0-	
Total estimated cost over next 5 years			-0-	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.
Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-006	North Main Manor	3	2%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements –Concrete – Sidewalks – Signage			5,000	FY05
Site Improvements – Security – Lighting			15,000	FY05
Exterior Rehab – Windows - Doors			180,000	FY04
Interior Rehab – Kitchen – Cabinets - Fixtures			40,000	FY06
Interior Rehab – Bath – Cabinets – Fixtures			40,000	FY06
Interior Rehab – Floors – Ceilings – Walls			125,000	FY04
Interior Rehab – Windows – Doors			180,000	FY05
Building Systems – HVAC – Fire & Life Safety			-0-	
Building Systems – Electrical			-0-	
Building Systems –Plumbing Distributions			90,000	FY05
Building Systems – Mail Boxes			20,000	FY06
Appliances			-0-	
Appliances – Air Conditioning			100,000	FY04
Community Room Furnishings			15,000	FY07
Hallways-Laundry Rooms – Trash Rooms			25,000	FY04
Total estimated cost over next 5 years			835,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.
Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-007	Fairgrounds Valley	6	3%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements – Concrete – Sidewalks - Parking			81,000	FY04
Exterior Rehab – Roofing – Ventilation			-0-	
Exterior Rehab – Siding – Trim– Gutters – Downspouts			-0-	
Exterior Rehab – Windows – Doors – Force Account			120,000	FY04
Interior Rehab – Force Account			110,000	FY04
Building Systems – HVAC			105,000	FY05
Building Systems – Plumbing Distributions			40,000	FY07
Community Buildings – Rooms			-0-	
Community Buildings - Furnishings			15,000	FY07
Site Improvements – Concrete - Sidewalks			-0-	
HOPE VI Reconstruction				
Total estimated cost over next 5 years			471,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-008	Scattered Sites – Duplexes	2	2%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements – Concrete – Sidewalks – Parking			5,000	FY04
Site Improvements – Earthwork – Drainage – Signage			5,000	FY04
Exterior Rehab – Siding – Trim – Gutters/Downspouts			40,000	FY05
Interior Rehab – Force Account			260,000	FY04
Building Systems - HVAC			45,000	FY07
Relocation			5,000	FY04
Appliances			60,000	FY07
Total estimated cost over next 5 years			420,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-009	Olesen Plaza	3	2%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements – Concrete – Sidewalks			10,000	FY05
Site Improvements – waterproofing – roofing			40,000	FY06
Interior Rehab – Kitchen – Cabinets - Fixtures			200,000	FY05
Interior Rehab – Bath – Cabinets – Fixtures			160,000	FY05
Interior Rehab – Floors – Ceilings – Walls			145,000	FY04
Interior Rehab – Doors			79,000	FY05
Building Systems – HVAC			25,000	FY04
Building Systems – Electrical Distributions			20,000	FY05
Building Systems – Plumbing Distributions			55,000	FY05
Appliances – Air Conditioners			70,000	FY04
Community Room			60,000	FY05
Community Room Furnishings			10,000	FY05
Total estimated cost over next 5 years			874,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.
Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-012	Scattered Sites – Rehab	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements – Concrete – Sidewalks – Parking			10,000	FY04
Site Improvements – Earthwork – Drainage – Signage			2,000	FY04
Exterior Rehab – Roofing – Ventilation			-0-	
Exterior Rehab – Siding – Trim– Gutters - Downspouts			15,000	FY06
Interior Rehab – Force Account			43,000	FY04
Building Systems – Electrical Distributions			3,000	FY05
Appliances			15,000	FY07
Relocation			-0-	
Total estimated cost over next 5 years			88,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022-013	Scattered Sites – Rehab	0	0%
Description of Needed Physical Improvements or Management Improvements			Estimated Cost
			Planned Start Date (HA Fiscal Year)
Site Improvements – Concrete – Sidewalks – Parking			50,000
Site Improvements – Earthwork – Drainage – Signage			20,000
Exterior Rehab – Siding – Trim– Gutters – Downspouts			-0-
Exterior Rehab – Windows – Doors – Force Account			-0-
Interior Rehab – Force Account			75,000
Building Systems – HVAC			-0-
Relocation			
Total estimated cost over next 5 years			145,000

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-014	Low Rises	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	
			Planned Start Date (HA Fiscal Year)	
Site Improvements – Concrete – Sidewalks - Parking			75,000	FY07
Exterior Rehab – Siding - Trim – Gutters/Downspouts			-0-	
Interior Rehab – Floors – Ceilings – Walls – Force Account			30,000	FY06
Interior Rehab – Doors & Windows – Force Account			100,000	FY04
Building Systems – HVAC			115,000	FY06
Building Systems – Electrical Distributions			10,000	FY06
Building Systems – Plumbing Distributions			30,000	FY04
Building Systems - Elevators			30,000	FY04
Building Systems – Water Softener			-0-	
Community Rooms – Carpeting			20,000	FY04
Community Room Furnishings			20,000	FY05
Hallways – Laundry Rooms – Trash Rooms – Force Account			80,000	FY04
Power Door Operators			10,000	FY04
Total estimated cost over next 5 years			520,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.
Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-016	Scattered Sites – New	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements – Concrete – Sidewalks - Parking			10,000	FY04
Site Improvements – Earthwork – Drainage – Signage			10,000	FY04
Exterior Rehab – Siding -Trim– Gutters\Downspouts			40,000	FY06
Interior Rehab – Force Account			100,000	FY04
Building Systems – HVAC			80,000	FY05
Storage Sheds			40,000	FY05
Total estimated cost over next 5 years			280,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-017	Scattered Sites – Rehab	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior Rehab – Windows & Doors – Force Account			20,000	FY04
Interior Rehab – Force Account			90,000	FY04
Building Systems – HVAC			30,000	FY06
Total estimated cost over next 5 years			140,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.
Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-019	Scattered Sites	1	5%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements – Concrete – Sidewalks – Parking			5,000	FY05
Site Improvements – Earthwork – Drainage - Signage			5,000	FY05
Exterior Rehab – Roofing – Ventilation			10,000	FY04
Exterior Rehab – Siding – Trim– Gutters\Downspouts			14,000	FY05
Interior Rehab – Force Account			75,000	FY04
Building Systems – HVAC			50,000	FY05
Appliances – Stove & Refrigerator			38,000	FY04
Total estimated cost over next 5 years			197,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-020	Scattered Sites	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements – Concrete – Sidewalks – Parking			10,000	FY04
Site Improvements – Earthwork – Drainage - Signage			10,000	FY04
Exterior Rehab – Roofing – Ventilation			20,000	FY04
Exterior Rehab – Siding – Trim– Gutters\Downspouts			26,000	FY04
Interior Rehab – Force Account			65,000	FY05
Building Systems – HVAC			20,000	FY05
Appliances – Stove & Refrigerator			38,000	FY04
Total estimated cost over next 5 years			189,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022	PHA Wide		
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Maintenance Vehicles		200,000	FY04
Grounds Maintenance Equipment		20,000	FY04
Snow Removal Equipment		20,000	FY04
Sweeper & Bobcat		60,000	FY05
Management & Resident Council Offices		20,000	FY04
Communications Equipment		20,000	FY04
Computers and Equipment		200,000	FY04
Mod Used For Development and HOPE VI		1,411,000	
Inventory / Maintenance Facility		-0-	
Total estimated cost over next 5 years		1,951,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022	PHA Wide			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Management Improvements				
Computer Upgrades			80,000	FY04
Resident & Staff Training & HTVN			160,000	FY04
Resident Services Dept. Outreach & Case Mgt.			* 200,000	FY04
Service Coordinator – High Rise			660,000	FY04
Coordinate Drug Referral and Intervention Services - (CHOICES Program)			0	FY04
Security – Rockford Police			0	FY04
Security – High Rises & Low Rises			1,200,000	FY04
Resident Education and Employment Training			0	FY04
PHAS – Security Coordinator			240,000	FY04
Total estimated cost over next 5 years			2,540,000	

*** Resident Services Dept. Outreach & Case Mgt. Is a new work item to assist with proposed HOPE VI Planning and Implementation**

Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>
IL22-001 Blackhawk Courts	196	Attachment B	NA	NA	NA	Completed	NA	
IL22-003 Orton Keys	175	Attachment B	NA	NA	NA	Completed	NA	
IL22-004 Park Terrace	183	Attachment B	NA	NA	NA	Completed	NA	
IL22-005 Brewington Oaks & Jane Addams Village	502	Attachment B	HOPE VI Application 2002	HOPE VI Application 2002	NA	Reported to HUD 04-01-99	NA	
IL22-006 North Main Manor	187	Attachment B	NA	NA	#1 Elderly Only	Completed	NA	
IL22-007 Fairgrounds Valley	210	Attachment B	HOPE VI Application	HOPE VI Application	NA	Completed	NA	
IL22-008 Scattered Sites	120	Attachment B	NA	NA	NA	Completed	NA	
IL22-009 Olesen Plaza	151	Attachment B	NA	NA	#2 Elderly Only	Completed	NA	
IL22-012 Scattered Sites Rehab	10	Attachment B	NA	NA	NA	Completed	Planned 2003	
IL22-013 Scattered Sites	46	Attachment B	NA	NA	NA	Completed	NA	
IL22-014 Low-Rises	100	Attachment B	NA	NA	NA	NA	NA	
IL22-016 Scattered Sites – New	49	Attachment B	NA	NA	NA	Completed	Planned 2003	
IL22-017 Scattered Sites – Rehab	30	Attachment B	NA	NA	NA	Completed	Planned 2003	
IL22-019 Scattered Sites – FSS	20	Attachment B	NA	NA	NA	Completed	NA	
IL22-020 Scattered Sites	20	Attachment B	NA	NA	NA	Completed	NA	

**PHA Plan
Attachment G
Resident Advisory Board**

Name of the Resident Association	Contact Person	Address, City, & State
H.O.M.E. Board	Ben Miller	515 Seminary St. Apt. 1102B, Rockford, IL 61104
H.O.M.E. Board	Tauhidah El-Amin	601 Ranger St. Rockford, IL 61103
H.O.M.E. Board	Liza Romero	626 Ranger St. Rockford, IL 61103
H.O.M.E. Board	Joe Latin	1000 Chamberlain Apt 1007 Rockford, IL 61107
H.O.M.E. Board	Michael Nasti	1000 Chamberlain Apt 1409 Rockford, IL 61107
H.O.M.E. Board	Richard Johnson	1000 Chamberlain Apt 304 Rockford, IL 61107
H.O.M.E. Board	Terrica Purifoy	524 Seminary St. Rockford, IL 61103
H.O.M.E. Board	Mike McDonald	505 N. Main St. Apt 1112 Rockford, IL 61103
H.O.M.E. Board	Edward Johnson	505 N. Main St. Apt 904 Rockford, IL 61103
H.O.M.E. Board	Robert Ingram	505 N. Main St. Apt 404 Rockford, IL 61103
H.O.M.E. Board	Bettie Foster	345 Underwood St. Rockford, IL 61103
H.O.M.E. Board	Alice Jenkins	511 N. Church St. Apt 202 Rockford, IL 61103
H.O.M.E. Board	Jim Yowler	511 N. Church St. Apt 704 Rockford, IL 61103
H.O.M.E. Board	Paula Sue Thompson	4124 Harrison Ave Apt 110 Rockford, IL 61108
H.O.M.E. Board	Rose Juliano	4124 Harrison Ave Apt 313 Rockford, IL 61108
H.O.M.E. Board	Mildrene Wright	1514 East State St. Apt 209 Rockford, IL 61108
Orton Keyes Resident Council	Tauhidah El-Amin	601 Ranger St. Rockford, IL 61103
Orton Keyes Resident Council	Rhonda Mayweather	608 Ranger St. Rockford, IL 61103
Orton Keyes Resident Council	Malinda Morris	639 Score St. Rockford, IL 61103
Orton Keyes Resident Council	Chantinia Sinclair	2822 Echo St. Rockford, IL 61103
Park Terrace Resident Council	Joe Latin	1000 Chamberlain Apt 1007 Rockford, IL 61107
Park Terrace Resident Council	Eva Hicks	1000 Chamberlain Apt 1001 Rockford, IL 61107
Park Terrace Resident Council	Cindy Taylor	1000 Chamberlain Apt 1403 Rockford, IL 61107
Jane Addams Resident Council	Sederia Bryant	519 S. 3 rd St. Rockford, IL 61104
Jane Addams Resident Council	Jacklon Caruthers	535 S. 3 rd St. Rockford
Jane Addams Resident Council	Giovanni Mitchell	402 College Ave. Rockford

Rockford Housing Authority
IL022

Name of the Resident Association	Contact Person	Address, City, & State
Brewington Oaks Resid Council	Goldie Carpenter	515 Seminary St. Apt 1117B Rockford, Il61104
Brewington Oaks Resid Council	Marcie Hobson	505 Seminary St. Apt 1217A Rockford, Il61104
Brewington Oaks Resid Council	Larry Hobson	505 Seminary St. Apt 1217A Rockford, Il61104
Brewington Oaks Resid Council	Dale Carter	515 Seminary St. Apt 511B Rockford, Il61104
North Main Manor Res Council	John Gallagher	505 N. Main St. Apt 505 Rockford, Il 61103
North Main Manor Res Council	Gordy Johnson	505 N. Main St. Apt 1102 Rockford, Il 61103
North Main Manor Res Council	Donna Surette	505 N. Main St. Apt 1213 Rockford, Il 61103
North Main Manor Res Council	Patti Lindsay	505 N. Main St. Apt 215 Rockford, Il 61103
Fairgrounds Resident Council	Bettie Foster	345 Underwood St. Rockford, Ill 61103
Fairgrounds Resident Council	Marlyn Watts	329 Underwood St. Rockford, Ill 61103
Fairgrounds Resident Council	Nicole Konitski	730 Lee St. Rockford, Ill 61103
Fairgrounds Resident Council	Marcia Townsend	341 Underwood St. Rockford, Ill 61103
Olesen Plaza Resident Council	Vivian Gallagher	511 N. Church St. Apt 1001 Rockford, Il 61103
Olesen Plaza Resident Council	Bill McAfee	511 N. Church St. Apt 1006 Rockford, Il 61103
Olesen Plaza Resident Council	Jeannette Cychosz	511 N. Church St. Apt 906 Rockford, Il 61103
Olesen Plaza Resident Council	Chad Hatton	511 N. Church St. Apt 307 Rockford, Il 61103
Buckbee Resident Council	Paula Sue Thompson	4124 Harrison Ave Apt 110 Rockford, Il 61108
Buckbee Resident Council	Rose Juliano	4124 Harrison Ave Apt 313 Rockford, Il 61108
Buckbee Resident Council	Bob Green	4124 Harrison Ave Apt 201 Rockford, Il 61108
Buckbee Resident Council	Robert Curry	4124 Harrison Ave Apt 214 Rockford, Il 61108
Summit Green Resident Council	Eric Gustin	1514 East State St. Apt 105 Rockford, Il 61108
Summit Green Resident Council	Darrell Hines	1514 East State St. Apt 102 Rockford, Il 61108
Summit Green Resident Council	Caroline Seabaugh	1514 East State St. Apt 304 Rockford, Il 61108
Midvale Resident Council	John Berti	5410 Midvale Drive Apt 112 Rockford, Il61108
Midvale Resident Council	Linda Brown	5410 Midvale Drive Apt 203 Rockford, Il61108
Midvale Resident Council	Diane Berti	5410 Midvale Drive Apt 112 Rockford, Il61108
Midvale Resident Council	Nancy McWhirter	5410 Midvale Drive Apt 210 Rockford, Il61108

The Resident Advisory Board consists of all Residents on the HOME Board and Resident Councils. These Residents are elected by developments on the third Tuesday of September, every odd year, with the exception of the Low-Rises. They hold their election on the third Tuesday of September, every year.

Attachment H
Rockford Housing Authority
Component 10 (B) Voluntary Conversion Initial Assessments

- a. How many of the PHA’s developments are subject to the Required Initial Assessments? Eleven
- b. How many of the PHA’s developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)? Four
- c. How many Assessments were conducted for the PHA’s covered developments?
 Fifteen
- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments: None

Development Name	Number of Units
Blackhawk Courts IL-022-001	196
Orton Keyes IL-022-003	175
Park Terrace IL-022-004	183
Brewington Oaks & Jane Addams IL022-005	502
North Main Manor IL-022-006	187
Fairgrounds Valley IL-022-007	210
Scattered Sites IL-022-008	127
Olesen Plaza IL-022-009	151
Scattered Sites IL-022-012	10
Scattered Sites IL-022-013	46
Low-Rises IL-022-014	100
Scattered Sites IL-022-016	49
Scattered Sites IL-022-017	30
Scattered Sites IL-022-019	20
Scattered Sites IL-022-020	20

d. If the PHA has not completed the Required Initial Assessments, describe the status of these assessments:

PHA Plan Attachment I

Statement of Progress in Meeting Five-Year Plan Mission and Goals

As the attached chart indicates, we have made some significant advances towards our original 5-year goals, in some instances exceeding our predictions.

Increase the availability of decent, safe, and affordable housing

RHA has applied for and received an additional 521 vouchers. With the new vouchers, all new recipients are counseled regarding the voucher mobility, exceeding our projection of 300. Also, we proposed to bring 25 new landlords into the program and have recruited 330 new landlords. The RHA has exceeded its predictions in all three areas.

The RHA has reduced vacancies by 68 units from an average of 169, making credible progress to our goal of a 100-unit reduction in vacancies. Staff has had several meetings to determine how to retain residents (especially in the elderly/disabled high-rises), thus slowing the rate of unit turnover. They have also been working on procedures where the mod-rehab staff will occasionally help the unit-turnover staff when they start to get behind.

Staff is meeting with local agencies in regards to applying for HOPE VI funding for Jane Addams Village and Brewington Oaks and develop housing suitable for the market and neighborhood that addresses simultaneously the housing needs of the current resident population.

The RHA has been involved with the voucher homeownership program since Oct 1, 2001. We have over 100 people in section 8 that are part of the Family Self Sufficiency Program. Two participants are utilizing the voucher homeownership program. This program is new, and staff will receive training in the rules and regulations. Also, other participants are reaching the maximum income level allowed and continue on their own to purchase a home.

Improve community quality of life and economic vitality

Security lighting has been contracted for under the Capital Fund Program.

The RHA has begun discussion with residents on designating two high-rises as elderly only to help stabilize them. Both buildings are located in prime near- downtown locations.

Implementing measures to deconcentrate poverty is proving more difficult than imagined. With the resident training program, residents are encouraged to participate in Family

Self-Sufficiency. Residents are following thru and moving out when they purchase a home. The new person moving in has little or no income, thus the average income level increases very slowly. Marketing has not been an effective means of attracting higher income families. We are searching for ways to keep families in place longer so as their income rises, so will the average income for the development. We have .3% increase and our target is 10%.

Promote self-sufficiency and asset development of families and individuals

The RHA contracts with YouthBuild and Goodwill Abilities Center. Both agencies have a high level of accomplishment with those residents who are motivated to change their lifestyle. The RHA contracts with Lifescape Community Services, Inc. to provide Service Coordinator Services in our 4 high-rises and 3 low rises for the elderly and people with disabilities.

The number of residents receiving TANF has decreased by 2%. The number of residents that are employed has increased by 2%.

Ensure equal opportunity in housing for all Americans

The RHA has addressed the affirmative measures in fair housing in their Occupancy Policy.

The attached chart gives a brief description of our goals and compares our starting point (Oct 1, 1999) to where we were at the end of the second year of the plan (Sept 30, 2001).

**ROCKFORD HOUSING AUTHORITY PHA PLAN GOALS FOR FFY 2001 – 2005
YEAR 2 OF THE 5 YEAR PLAN**

Increase the availability of decent, safe, and affordable housing

Goal Values	Responsible Department	Beginning Data	Ending Data	Progress
		FY 2000 10/01/1999	FY 2001 09/30/2001	

Expand the supply of assisted housing

Apply for additional rent vouchers	250 Vouchers	Sect 8	1206	1727	521
Reduce LIPH Vacancies by	100 Units	Const & Mgmt	237	169	-68

Improve the quality of assisted housing

Improve PHAS Score to	90	Total Agency	92.5		
Improve SEMAP score to	90	Sect 8	None		
Renovate public housing units	350 Units	Development	0	131	131
Demolish or dispose of units	294 Units	Development	0	0	0
Provide replacement housing	147 Units	Development	0	16	16
Provide replacement vouchers	250 Vouchers	Sect 8	0	0	0

Increase assisted housing choices

Provide voucher mobility counseling	300 Residents	Sect 8	0	400	400
Recruit new landlords	25 Landlords	Sect 8	300	630	330
Implement voucher homeownership	100 Units	Sect 8	0	2	2
Implement PHA homeownership program	90 Units	Development	0	0	0

Improve community quality of life and economic vitality

Provide improved living environment

Implement deconcentration of poverty	10% Increase	Management	\$8,469	\$8,494	0.30%
Implement security improvements	Add security lighting	Development		Under Contract	

Promote self-sufficiency and asset development of families and individuals

Promote self-sufficiency

Increase number of employed families	30% Increase	Resident Serv	14%	18%	4%
Improve recipients' employability	\$60,000 Year training program	Resident Serv	\$60,000	\$60,000	
Increase independence elderly & disabled	\$80,000 Year service coordinator	Resident Serv	\$80,000	\$80,000	

Ensure equal opportunity in housing for all Americans

Undertake affirmative measures to assure access		Addressed in fair housing in ACOP
Undertake affirmative measures to assure suitable living environment		Addressed in fair housing in ACOP
Undertake affirmative measures to assure accessibility		Addressed in fair housing in ACOP

**PHA Plan
Attachment J**

**Resident Membership of the PHA Governing Board
Rockford Housing Authority, Board of Commissioners**

The City of Rockford's Mayor Douglas Scott appointed Virginia Williams, resident of Jane Addams Village Development, to the Rockford Housing Authority Board of Commissioners on March 2002.

Her term will expire July 31, 2003.

ATTACHMENT K – P & E REPORT CGP - IL06P022708 AS OF MARCH 31, 2002

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022708 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2002 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations	0	0	0	0
3	1408 Management Improvements	996,000	874,500	874,500	872,019
4	1410 Administration	410,900	410,930	410,931	410,931
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	72,421	72,421	72,421	68,228
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	420,000	359,624	359,623	359,623
10	1460 Dwelling Structures	1,549,000	2,009,159	2,009,160	1,945,334
11	1465.1 Dwelling Equipment—Nonexpendable	170,000	17,170	17,170	17,170
12	1470 Nondwelling Structures	130,000	6,650	6,650	6,650
13	1475 Nondwelling Equipment	320,000	338,600	338,601	335,040
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	41,000	20,267	20,267	20,267
18	1499 Development Activities	0	0	0	0
19	1501 Collaterization or Debt Service	0	0	0	0
20	1502 Contingency	0	0	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	4,109,321	4,109,321	4,109,323	4,035,261
22	Amount of line 21 Related to LBP Activities	0	0	0	
23	Amount of line 21 Related to Section 504 compliance				0
24	Amount of line 21 Related to Security – Soft Costs	250,000	395,000	395,000	395,000
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures	200,000	197,073	197,073	197,073

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022708 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA WIDE	1D Improve Security in 5 Elderly & Disabled Developments by contracting Private Security Services	1408		250,000	395,000	395,000	395,000	Per Mar Security Cont 707
	1G Salary and Benefits for PHMAP / Security Coordinator (F/A)	1408		60,000	60,000	60,000	60,000	Completed Feb 2001
	1A Contract Computer Services to assess, develop, and implement information system, upgrade network, and train key operators.	1408		160,000	0	0	0	Reduced to zero
	1L Service Coordinator Salary	1408		0	15,000	15,000	15,000	Stepping Stones
	1J Utilize Force Acct Labor to develop an Automated Facilities Management Program HA-Wide.	1408		95,000	95,000	95,000	95,000	Completed Dec 2000
	1E Provide Technical Assistance to Resident Councils.	1408		10,000	9,500	9,500	7,019	McGladry & Pullen
	1F Contract Resident Education and Employment Training Programs.	1408		56,000	0	0	0	Reduced to zero
	1I PHDEP Application Preparation	1408		30,000	0	0	0	Reduced to zero
	1K Contract Review and development of policies and procedures.	1408		35,000	0	0	0	Reduced to zero
	1H Salary & Benefits of Staff for Property Officer, Energy Management, Contract Inspections, Lead Based Paint Management Programs, and Training Coordinator . (F/A)	1408		200,000	200,000	200,000	200,000	Completed Dec 2000
	1C Provide Staff Traing in order to maintain a high quality of service	1408		50,000	50,000	50,000	50,000	HTVN, Training

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Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022708 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	1B MIS Salary and Benefits (F/A).	1408		50,000	50,000	50,000	50,000	Completed Apr 2001
	2A Salaries – Dev & Tech Serv Dir; Modernization Specialist; Force Account Coor; Chief Expediter/Inspector; Administrative Assistant (Adm. Cler; & Sp.Prog Coor.@ 50%)	1410		246,900	302,585	302,585	302,585	Completed Aug 2001
	2B Employee Benefits.	1410		130,000	94,971	94,971	94,971	Completed Aug 2001
	2C Other Admin. Costs of printing, advertising & postage for CGP materials.	1410		34,000	13,374	13,375	13,375	Printing, Legal Ads
	3A Annual A/E & Consulting Contract to assist with preparation of plans and specifications for work identified in the five year action plan to include: 1. Site improvements & utility surveys 2. Energy Conservation-HA-Wide 4. Building Exteriors, Windows,Doors & Roof Inspection Report-HA-Wide 5. Computerized Maintenance System-HA-Wide 6. Building Systems-HA-Wide	1430		72,421	72,421	72,421	68,228	Per Mar Public Health & Safety, Gen Energy
	20B Grounds Maintenance Equipment – Replace existing mowers, snow removal equipment, and tractors / Gators.	1475		20,000	21,166	21,166	21,166	
	20D Skid Loader and trailer, or the Green Machine, or Mad Vac.	1475		50,000	25,413	25,413	25,413	Completed May 2000
	20C Management and Resident Council Offices, Modernization of Areas (F/A), Year 3 of a 5 yr. Plan	1475		140,000	142,836	142,836	142,836	Completed Oct 2001
	20E Computer and peripheral equipment.	1475		45,000	80,511	80,511	76,950	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022708 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	20A Maintenance Vehicles – 2 trucks and 2 Vans to replace existing worn out vehicles to maintain improvements in the proposed plan.	1475		65,000	68,674	68,674	68,674	Completed Oct 2000
	SUBTOTAL			1,799,321	1,696,451	1,696,453	1,686,218	
IL22-001 Blackhawk	4A Site Improvements – Concrete, Sidewalks, Parking	1450		50,000	50,000	50,000	50,000	Completed July 00
	4B Site Improvements – Earthwork, Drainage, Signage.	1450		50,000	50,000	50,000	50,000	Completed Sept 00
	4C Site Improvements – Utilities.	1450		100,000	97,073	97,073	97,073	Completed June 01
	4D Roofing- Day Care, Gymnasium, Maint. & Community Building	1470		130,000	6,650	6,650	6,650	Completed Sept 01
	SUBTOTAL			330,000	203,723	203,703	203,723	
IL22-003 Orton Keys	5A Site Improvements – Concrete, Sidewalks, Parking	1450		50,000	0	0	0	Reduced to zero
	5B Site Improvements – Earthwork, Drainage, Signage.	1450		50,000	41,001	41,001	41,001	Completed June 01
	5D Site Improvements – Utilities.	1450		100,000	100,000	100,000	100,000	Completed Feb 01
	5C Exterior Rehab – Siding, Trim, Gutters/Downspouts (F/A) Year 2 of a 4 year plan.	1460		100,000	169,557	169,557	169,557	Completed June 01
	SUBTOTAL			300,000	310,558	310,558	310,558	
IL22-004 Park Terrace	6C Building Systems – HVAC, Fire & Safety.	1460		50,000	50,000	50,000	50,000	Completed May 00
	6D Exterior Water Proofing	1460		86,000	51,395	51,395	51,395	Completed July 00

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022708 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	6A Interior Rehab – Kitchens, Baths and Floors. (F/A) Year 2 of a 5 year plan.	1460		210,000	260,000	260,000	237,484	Work in Progress
	6B Building Systems – Plumbing Distribution (F/A) Year 2 of 5 year plan	1460		80,000	80,000	80,000	52,877	Work in Progress
	6E Relocation	1495		16,000	7,600	7,600	7,600	Obligated
	SUBTOTAL			442,000	448,995	448,995	399,356	
IL22-006 N. Main Manor	9A Building Systems – HVAC, Fire & Life Safety.	1460		35,000	35,000	35,000	35,000	Completed May 00
	9B Exterior Rehab – Windows & Doors (North Entrance).	1460		15,000	36,825	36,825	36,825	Completed March 01
	9C Water Proofing	1460		25,000	62,772	62,772	62,772	Completed July 00
	SUBTOTAL			75,000	134,597	134,597	134,597	
IL22-007 Fairgrounds	10A Exterior Rehab – Doors, Windows. (F/A) Year 2 of a 3 year plan.	1460		80,000	6,098	6,098	6,098	Completed July 00
	SUBTOTAL			80,000	6,098	6,098	6,098	
IL22-008 Scattered Sites	11A Exterior Rehab – Roofing Ventilation. Year 1 of a 2 year plan.	1460		50,000	4,500	4,500	4,500	Completed April 01
	11B Exterior Rehab – Siding, Trim, gutters /downspouts. (F/A) Year 2 of a 5 Year plan	1460		50,000	80,000	80,000	68,964	Work in Progress
	11C Interior Rehab – Kitchen, Baths, and floors. (F/A) Year 2 of a 5 year plan.	1460		100,000	110,000	110,000	106,852	Work in Progress
	SUBTOTAL			200,000	194,500	194,500	180,316	
IL22-009 Olesen Plazaa	12 A Building Systems – HVAC.	1460		25,000	585	585	585	Completed April 00
	12 B Exterior Rehab – Water Proofing	1460		70,000	65,767	65,767	65,767	Completed Aug 00

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022708 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	SUBTOTAL			70,000	66,352	66,352	66,352	
IL22-013 Scattered Sites - Rental	14A Exterior Rehab – Siding, Trim, Gutters/Downspouts. (F/A) Year 1 of a 4 Year plan	1460		35,000	35,000	35,000	35,000	Force Account
	14B Exterior Rehab – Windows, Doors (F/A)	1460		35,000	35,000	35,000	35,000	Force Account
	SUBTOTAL			70,000	70,000	70,000	70,000	
IL22-014 Handicap/Disa bld Low Rises	15A Exterior Rehab – Windows, Doors. (F/A)	1460		70,000	92,337	92,337	92,337	Force Account
	15B Interior Rehab-Floors, Ceilings, Walls. (F/A)	1460		50,000	156,361	156,361	156,361	Force Account
	15C Appliances – Refrigerators, Ranges and Ovens.	1465		70,000	14,820	14,820	14,820	Completed Nov 01
	15D Relocation	1595		5,000	1,998	1,998	1,998	Obligat 5/00
	SUBTOTAL			195,000	265,516	265,517	265,517	
IL22-016 Scattered Sites Original Constr	16A Appliances	1465		40,000	0	0	0	Reduced to zero
	SUBTOTAL			40,000	0	0	0	
IL22-051 Jane Addams	8A Appliance Replacement	1465		60,000	2,350	2,350	2,350	Completed March 01
	SUBTOTAL			60,000	2,350	2,350	2,350	
IL22-052 Brewington Oaks Building A	7A Site Improvements – Patio & Parking Lot.	1450		20,000	21,550	21,550	21,550	Completed Mar 01

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022708 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	7D Building Systems – HVAC, Fire & Safety	1460		100,000	105,569	105,569	105,569	Completed Sept 01
	7B Interior Rehab – Kitchens, Baths & Floors. (F/A) Year 4 of a 6 year plan	1460		193,000	378,474	378,474	378,474	Force Account
	7C Building Systems – Plumbing Distributions (F/A) Year 4 of 6 year plan	1460		90,000	193,919	193,919	193,919	Force Account
	7E Relocation – Year 3 of a 5 year plan	1495		20,000	10,669	10,669	10,669	Obligated 5/00
	SUBTOTAL			423,000	710,181	710,181	710,181	
	GRAND TOTALS			4,109,321	4,109,321	4,109,323	4,035,264	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name Rockford Housing Authority			Grant Type and Number Capital Fund Program No: IL06P022708 Replacement Housing Factor No:				Federal FY of Grant: 1999
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
IL22-001 Blackhawk	06/30/01		06/30/01	06/30/02		03/31/02	
IL22-003 Orton Keyes	06/30/01		06/30/01	06/30/02		03/31/02	
IL22-004 Park Terrace	06/30/01		06/30/01	06/30/02			
IL22-006 N.Main Manor	06/30/01		06/30/01	06/30/02		03/31/02	
IL22-007 Fairgrounds	06/30/01		06/30/01	06/30/02		03/31/02	
IL22-008 Scattered Sites	06/30/01		06/30/01	06/30/02			
IL22-009 Olesen Plaza	06/30/01		06/30/01	06/30/02		03/31/02	
IL22-013 Scattered Sites Rental	06/30/01		06/30/01	06/30/02		03/31/02	
IL22-014 Handicap / Disabled Low Rises	06/30/01		06/30/01	06/30/02		03/31/02	
IL22-016 Scattered Sites Original Construction	06/30/01		06/30/01	06/30/02		03/31/02	
IL22-051 Jane Addams	06/30/01		06/30/01	06/30/02		03/31/02	
IL22-052 Brewington Oaks Building A	06/30/01		06/30/01	06/30/02		03/31/02	

Attachment L - CAPITAL FUND PROGRAM IL06P02250100 P&E Report as of March 31, 2002

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: ROCKFORD HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: IL07P02250100 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2002 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations	412,623	412,623	412,623	409,782
3	1408 Management Improvements	649,223	561,319	561,318	204,547
4	1410 Administration	412,623	409,295	409,295	314,276
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	337,030	316,395	316,395	161,784
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	151,000	166,000	166,000	4,702
10	1460 Dwelling Structures	1,214,000	1,825,580	1,825,580	925,605
11	1465.1 Dwelling Equipment—Nonexpendable	81,000	45,131	45,132	45,132
12	1470 Non-dwelling Structures	90,000	207,847	207,847	93,992
13	1475 Non-dwelling Equipment	140,731	152,294	152,294	141,735
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	38,000	22,723	22,723	136
18	1499 Development Activities	600,000	7,024	7,024	7,024
19	1501 Collateralization or Debt Service	0	0	0	0
20	1502 Contingency	0	0	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	4,126,230	4,126,231	4,126,230	2,308,714
22	Amount of line 21 Related to LBP Activities	0	0	0	0
23	Amount of line 21 Related to Section 504 compliance	0	0	0	0
24	Amount of line 21 Related to Security – Soft Costs	0	0	0	0
25	Amount of Line 21 Related to Security – Hard Costs	0	0	0	0
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0	0

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: ROCKFORD HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: IL06P02250100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-WIDE	MOD FUNDS USED FOR OPERATIONS	1406		412,623	412,623	412,623	409,782	
	SECURITY	1408		280,000	280,000	280,000	86,015	PER MAR
	SECURITY COORDINATOR	1408		60,000	60,000	60,000	60,000	HACKERSON
	COMPUTER SOFTWARE UPGRADES	1408		50,000	51,976	51,976	42,198	FUTURECOM
	SERVICE COORDINATOR @ HIGH RISES	1408		80,000	80,000	80,000	0	LIFESCAPES
	RESIDENT EDUCATION & EMPLOYMENT TRAINING	1408		60,000	60,000	60,000	0	YOUTHBUILD
	PHMAP/UNIT TURNAROUND	1408		79,223	0	0	0	
	RESIDENT & STAFF TRAINING, HTVN	1408		40,000	29,343	29,343	16,334	HOPEVI SEMINAR
	SALARIES & EBC	1410		392,623	392,623	392,623	297,722	
	SUNDRY	1410		20,000	16,672	16,672	16,554	
	FEES AND COSTS	1430		337,030	316,395	316,395	161,784	
	GROUNDS MAINTENANCE EQUIPMENT	1475		30,000	41,582	41,582	41,032	
	SNOW REMOVAL EQUIPMENT	1475		9,731	0	0	0	
	COMPUTRS	1475		60,000	78,475	78,475	68,466	
	MOD USED FOR DEVELOPMENT	1498		600,000	7,024	7,024	7,024	
	SUBTOTAL			2,511,230	1,826,713	1,826,712	1,206,910	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: ROCKFORD HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: IL06P02250100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL22-001 BLACKHAWK	SITE IMPROV – SECURITY LIGHTING	1450		36,000	36,000	36,000	4,408	IMAGE SIGNS
	EXTERIOR REHAB-WINDOWS & DOORS F/A	1460		25,000	37,153	37,153	0	
	BLDG SYSTEM – PLUMBING DISTRIBUTIONS	1460		15,000	15,000	15,000	0	SKYLINE DESIGN
	Roofing-Day Care, Gymnasium, Maint.	1470		0	117,847	117,847	90,163	Christiansen
	SUBTOTAL			76,000	206,000	206,000	95,571	
IL22-003 ORTON KEYES	SITE IMPROVEMENT-SECURITY LIGHTING	1450		50,000	50,000	50,000	0	SKYLINE DESIGN
	EXT REHAB –SIDING –TRIM – GUTTERS F/A	1460		20,000	50,000	50,000	49,224	WORK IN PROGRESS
	COMM BLDGS/ROOMS-F/A	1470		5,000	5,000	5,000	2,112	WORK IN PROGRESS
	SUBTOTAL			75,000	105,000	105,000	51,336	
IL22-004 PARK TERRACE	BLDG SYSTEMS - HVAC	1460		0	8,000	8,000	0	SKYLINE DESIGN
	INTERIOR REHAB – F/A	1460		210,000	266,663	266,663	29,708	
	PLUMBING DISTRIBUTIONS –F/A	1460		80,000	80,000	80,000	3,344	SKYLINE
	RELOCATION	1495		16,000	9,254	9,254	0	UNIVERSAL
	SUBTOTAL			306,000	363,917	363,917	33,052	
IL22-006 NORTH MAIN MANOR	SITE IMPROVEMENTS –SECURITY LIGHTING	1450		15,000	15,000	15,000	295	SKYLINE DESIGN
	EXTERIOR REHAB-WINDOWS & DOORS	1460		10,000	20,000	20,000	0	SKYLINE DESIGN
	COMM.BLDGS/ROOMS F/A	1470		30,000	20,000	20,000	1,716	
	BLDG SYSTEMS –PLUMBING DIST.	1470		50,000	50,000	50,000	0	
	COMMUNITY ROOM FURNISHINGS	1475		5,000	4,954	4,954	4,954	COMPLETE

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: ROCKFORD HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: IL06P02250100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	SUBTOTAL			110,000	109,954	109,954	6,965	
IL22-007 FAIRGROUNDS	BUILDING SYSTEMS - HVAC	1460		60,000	59,345	59,345	7,345	
	SUBTOTAL			60,000	59,345	59,345	7,345	
IL22-008 SCATTERED SITES - DUPLEXES	SITE IMPROVE -CONCRETE- SIDEWALK	1450		20,000	20,000	20,000	0	SKYLINE DESIGN
	SITE IMPROVE-EARTHWORK - DRAINAGE	1450		30,000	30,000	30,000	0	SKYLINE DESIGN
	EXTERIOR REHAB -ROOFING- VENTILATION	1460		50,000	50,000	50,000	0	SKYLINE DESIGN
	EXT REHAB -SIDING-TRIM- GUTTERS F/A	1460		50,000	50,000	50,000	5,102	
	INTERIOR REHAB - F/A	1460		100,000	100,000	100,000	9,196	
	SUBTOTAL			250,000	250,000	250,000	14,298	
IL22-009 OLESEN PLAZA	EXTERIOR REHAB-WATER PROOFING, CANOPY	1470		5,000	15,000	15,000	0	SKYLINE DESIGN
	SECURITY CAMERAS	1475		10,000	0	0	0	
	SUBTOTAL			15,000	15,000	15,000	0	
IL22-012 SCATTERED REHAB SITES	EXTERIOR REHAB- SIDING -TRIM -GUTTERS	1460		80,000	80,000	80,000	17,153	CHRISTEN- SON ROOF- ING
	SUBTOTAL			80,000	80,000	80,000	17,153	
IL22-013 SCATTERED REHAB SITES	EXTERIOR REHAB-ROOFING	1460		13,000	13,000	13,000	13,000	
	EXT. REHAB -SIDING-TRIM- GUTTER	1460		50,000	50,138	50,138	8,904	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: ROCKFORD HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: IL06P02250100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	EXT. REHAB –WINDOWS –DOORS F/A	1460		50,000	49,000	49,000	3,359	WORK IN PROGRESS
	SUBTOTAL			113,000	112,138	112,138	25,263	
IL22-014 HANDICAP/DIS ABLED LOW RISES	EXTERIOR REHAB – F/A	1460		0	40,141	40,141	40,141	COMPLETE
	INTERIOR REHAB –F/A	1460		85,000	395,137	395,137	382,957	WORK IN PROGRESS
	APPLIANCES	1465		81,000	45,131	45,132	45,132	
	WATER SOFTENER	1475		20,000	18,785	18,785	18,785	KINETICA
	RELOCATIONS	1495		4,000	3,087	3,087	86	UNIVERSAL RELOCATION
	SUBTOTAL			190,000	502,281	502,281	487,100	
IL22-005 BREWINGTON OAKS	SITE IMPROV- CONCRETE SIDEWALK PKG	1450		0	15,000	15,000	0	SKYLINE DESIGN
	BUILDING SYSTEMS -HVAC	1460		25,000	54,941	54,941	13,941	
	INTERIOR REHAB -FA	1460		191,000	352,628	352,628	291,168	
	PLUMBING DISTRIBUTION F/A	1460		100,000	54,434	54,434	51,063	
	SECURITY CAMERAS	1475		6,000	8,498	8,498	8,498	HEART TECH.
	RELOCATION	1495		18,000	10,382	10,382	51	
	SUBTOTAL			340,000	495,883	495,882	364,720	
	GRAND TOTALS			4,126,230	4,126,231	4,126,230	2,308,714	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name Rockford Housing Authority		Grant Type and Number Capital Fund Program No: IL06PO225010 Replacement Housing Factor No:					Federal FY of Grant: 2000	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
PHA-WIDE PHA WIDE	03/31/02		03/31/02	06/30/03				
IL22-001 BLACKHAWK	03/31/02		03/31/02	09/30/03				
IL22-003 ORTON KEYES	03/31/02		03/31/02	06/30/03				
IL22-004 PARK TERRACE	03/31/02		03/31/02	09/30/03				
IL22-006 N. MAIN MANOR	03/31/02		03/31/02	06/30/03				
IL22-007 FAIRGROUNDS	03/31/02		03/31/02	09/30/03				
IL22-008 SCATTERED SITE	03/31/02		03/31/02	09/30/03				
IL22-009 OLESEN PLAZA	03/31/02		03/31/02	09/30/03				
IL22-012 SCATTERED REHAB SIES	03/31/02		03/31/02	09/30/03				
IL22-013 SCATTERED SITE-RENTAL	03/31/02		03/31/02	09/30/03				
IL22-014 LOW RISES	03/31/02		03/31/02	09/30/03				
IL22-005 BREWINGTON	03/31/02		03/31/02	09/30/03				

Attachment M - Capital Fund Program Replacement Housing Factor IL06R02250100 as of March 31, 2002

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R02250100			Federal FY of Grant: 2000
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: March 31, 2002 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs				
	Management Improvements Hard Costs				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment— Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve	28,347	28,347	-0-	-0-
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				
	Amount of Annual Grant: (sum of lines.....)	28,347	28,347	-0-	-0-

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1:
Summary**

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R02250100	Federal FY of Grant: 2000
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: March 31, 2002 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R02250100	Federal FY of Grant: 2000
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Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
IL06-P022-013 Scattered Sites	Retain in Replacement Reserve until adequate funds are accumulated to build a replacement unit.	1490		28,347	28,347	-0-	-0-	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R02250100			Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part III: Implementation Schedule**

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: IL06R02250100					Federal FY of Grant: 2000
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
IL06-P022-013 Scattered Sites	2 year period begins upon approval of a development proposal			2 year period begins upon approval of a development proposal	2 year period begins upon approval of a development proposal		

Attachment N - CAPITAL FUND PROGRAM IL2250101 P&E Report as of March 31, 2002

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: ROCKFORD HOUSING AUTHORITY			Grant Type and Number		Federal FY of Grant:
			Capital Fund Program Grant No: IL22 50101		2001
			Replacement Housing Factor Grant No:		
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2002 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations	420,732	420,732	311,498	290,183
3	1408 Management Improvements	649,000	570,000	446,017	27,155
4	1410 Administration	420,732	420,732	400,732	0
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	250,000	250,000	12,543	0
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvements	100,000	105,500	4,702	0
10	1460 Dwelling Structures	1,555,000	1,507,847	1,182,355	19,787
11	1465.1 Dwelling Equipment—Nonexpendable	0	35,000	0	0
12	1470 Nondwelling Structures	15,000	27,153	0	0
13	1475 Nondwelling Equipment	170,857	749,357	56,522	33,776
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	26,000	21,000	0	0
18	1499 Development Activities	500,000	0	0	0
19	1501 Collateralization or Debt Service	0	0	0	0
20	1502 Contingency	100,000	100,000	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	4,207,321	4,207,321	2,414,369	370,902
22	Amount of line 21 Related to LBP Activities	0	0	0	0
23	Amount of line 21 Related to Section 504 compliance	0	0	0	0
24	Amount of line 21 Related to Security – Soft Costs	340,000	340,000	301,408	18,543
25	Amount of Line 21 Related to Security – Hard Costs	0	0	0	0
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0	0

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: ROCKFORD HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: IL02250101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-WIDE	MOD FUNDS USED FOR OPERATIONS	1406		420,732	420,732	311,498	290,183	
	SECURITY	1408	100 %	280,000	280,000	248,149	0	
	SECURITY COORDINATOR	1408	100 %	60,000	60,000	53,259	18,543	HACKERSON
	COMPUTER SOFTWARE UPGRADES	1408		50,000	50,000	4,608	8,612	
	HIGH RISE SERVICE COORDINATOR	1408		80,000	80,000	80,000	0	LIFESCAPE
	RESIDENT TRAINING & EMPLOYMENT TRAINING	1408		60,000	60,000	60,000	0	ABILITIES CENTER
	PHAS-UNIT TURNAROUND & FINANCE	1408		79,000	0	0	0	
	STAFF & RESIDENT TRAINING - HTVN	1408		40,000	40,000	0	0	
	SALARIES & EBC	1410		400,732	400,732	400,732	0	
	SUNDRY	1410		20,000	20,000	0	0	
	ANNUAL A/E & CONSULTING CONTRACTS	1430		250,000	250,000	12,543	0	ENERGY MASTERS
	GROUNDS & MAINTENANCE EQUIP	1475		30,000	30,000	4,246	4,246	
	COMM. ROOM FURNITURE	1475		0	79,000	0	0	
	COMPUTERS & EQUIPMENT	1475		90,857	90,857	36,276	29,530	NEXUS
	VEHICLES	1475		50,000	50,000	0	0	GMAC
	MOD FUNDS USED FOR DEVELOPMENT	1498		500,000	0	0	0	
	CONTINGENCY	1502		100,000	100,000	0	0	
	SUBTOTAL			2,511,321	2,011,321	1,211,312	351,115	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: ROCKFORD HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: IL02250101 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL22-001	SECURITY LIGHTING	1450		0	5,000	4,408	0	SKYLINE DESIGN
BLACKHAWK	BUILDING SYSTEMS - HVAC	1460		100,000	87,847	0	0	
	INTERIOR REHAB -PAINTING F/A	1460		100,000	95,000	95,000	0	
	BUILDING SYSTEMS - PLUMBING DISTRIBUTION	1460		30,000	30,000	0	0	
	BLDG SYSTEMS/ DAY CARE HVAC	1470		0	12,153	0	0	
	SUBTOTAL			230,000	230,000	99,408	0	
IL22-003	SITE IMPROV CONCRETE - EROSION -PARKING	1450		80,000	80,000	0	0	
	BUILDING SYSTEMS - HVAC	1460		50,000	50,000	0	0	
	INTERIOR REHAB - F/A	1460		0	42,000	42,000	0	
	SUBTOTAL			130,000	172,000	42,000	0	
IL22-004	INTERIOR REHAB F/A	1460		210,000	89,000	89,000	110	WORK IN PROGRESS
	PLUMBING DISTRIBUTIONS -F/A	1460		80,000	80,000	80,000	0	
	BUILDING SYSTEMS - ELEVATOR	1460		20,000	20,000	0	0	
	RELOCATION	1495		16,000	16,000	0	0	
	SUBTOTAL			326,000	205,000	169,000	110	
IL22-006	SECURITY LIGHTING	1450		0	500	295	0	SKYLINE DESIGN

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: ROCKFORD HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: IL02250101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NORTH MAIN MANOR	PLUMBING DISTRIBUTIONS F/A	1460		20,000	65,000	65,000	0	
	BLDG SYSTEMS - ELEVATOR	1460		20,000	20,000	0	0	
	BOILER & HVAC UPGRADES	1475		0	349,500	8,000	0	SKYLINE
	SUBTOTAL			40,000	435,000	73,295	0	
IL022-007 FAIRGROUNDS	EXTERIOR REHAB WINDOWS - DOORS F/A	1460		28,000	28,000	14,202	14,202	WORK IN PROGRESS
	INTERIOR REHAB F/A	1460		0	37,000	37,000	0	
	COMM. BUILDING - ROOMS	1470		15,000	15,000	0	0	
	SUBTOTAL			43,000	80,000	51,202	14,202	
IL022-009	INTERIOR REHAB - F/A	1460		135,000	179,000	179,000	0	
OLESEN PLAZA	BUILDING SYSTEMS - ELEVATOR	1460		20,000	20,000	0	0	
	BOILER & HVAC UPGRADES	1475		0	150,000	8,000	0	SKYLINE
	SUBTOTAL			155,000	349,000	187,000	0	
IL022-012 SCATTERED SITE REHAB	EXTERIOR REHAB SIDING TRIM GUTTERS	1460		0	4,500	4,153	0	SKYLINE
	INTERIOR REHAB - F/A	1460		0	1,500	1,500	0	
	SUBTOTAL			0	6,000	5,653	0	
IL022-013 SCATTERED SITES -RENTAL	EXTERIOR REHAB - ROOFING VENTILATION	1460		37,000	37,000	0	0	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: ROCKFORD HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: IL02250101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	EXTERIOR REHAB SIDING – TRIM- GUTTER F/A	1460		115,000	115,000	115,000	0	
	EXTERIOR REHAB WINDOWS & DOORS F/A	1460		115,000	115,000	115,000	0	
	INTERIOR REHAB – F/A	1460		0	36,000	36,000	0	
	SUBTOTAL			267,000	303,000	266,000	0	
IL022-014	BUILDING SYSTEM - HVAC	1460		0	20,000	16,000	0	SKYLINE
LOW RISES	INTERIOR REHAB – F/A	1460		40,000	27,500	27,500	5,475	WORK IN PROGRESS
	BUILDING SYSTEMS - ELEVATOR	1460		0	7,500	0	0	
	APPLIANCES	1465		0	35,000	0	0	
	RELOCATION	1495		5,000	5,000	0	0	
	SUBTOTAL			45,000	95,000	43,500	5,475	
IL022-016 SCATT SITES ORIG.CONST.	SITE IMPROVEMENTS CONCRETE - BLACKTOP	1450		20,000	20,000	0	0	
	INTERIOR REHAB – F/A	1460		0	28,000	28,000	0	
	SUBTOTAL			20,000	48,000	28,000	0	
IL022-008 SCATTERED SITES - DUPLEXES	INTERIOR REHAB – F/A	1460		100,000	188,000	188,000	0	
	SUBTOTAL			100,000	188,000	188,000	0	
IL022-005 JANE ADDAMS	INTERIOR REHAB – F/A	1460		0	50,000	50,000	0	
	SUBTOTAL			0	50,000	50,000	0	
IL022-005BREW-	INTERIOR REHAB – F/A	1460		200,000	0	0	0	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: ROCKFORD HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: IL02250101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	PLUMBING DISTRIBUTIONS -F/A	1460		100,000	0	0	0	
	BLDG. SYSTEMS - ELEVATOR	1460		35,000	35,000	0	0	
	RELOCATION	1495		5,000	0	0	0	
	SUBTOTAL			340,000	35,000	0	0	
	GRAND TOTALS			4,207,321	4,207,321	2,414,369	370,902	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name Rockford Housing Authority		Grant Type and Number Capital Fund Program No: IL02250101 Replacement Housing Factor No:			Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-WIDE PHA WIDE	03/31/03			09/30/04			
IL22-001 BLACKHAWK	03/31/03			09/30/04			
IL22-003 ORTON KEYES	03/31/03			09/30/04			
IL22-004 PARK TERRACE	03/31/03			09/30/04			
IL22-006 N. MAIN MANOR	03/31/03			09/30/04			
IL022-007 FAIRGROUNDS	03/31/03			09/30/04			
IL022-009 OLESEN PLAZA	03/31/03			09/30/04			
IL022-013SCATTEREDSITES -RENTAL	03/31/03			09/30/04			
IL022-014 LOW RISES	03/31/03			09/30/04			
IL022-016 SCATT SITES ORIGINAL CONST.	03/31/03			09/30/04			
IL022-008 SCATTERED DUPLEXES	03/31/03			09/30/04			
IL022-005 BREWINGTON OAKS BLDG A	03/31/03			09/30/04			

Attachment O - CGP Replacement Housing Factor IL06R02250101 P&E as of March 31 2002

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: Rockford Housing Authority			Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R02250101		Federal FY of Grant: 2001
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: March 31, 2002 LOW RISES <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs				
	Management Improvements Hard Costs				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve	31,537	31,537	-0-	-0-
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				
	Amount of Annual Grant: (sum of lines.....)	31,537	31,537	-0-	-0-
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R02250101				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
IL06-P022-013 Scattered Sites	Retain in Replacement Reserve until adequate funds are accumulated to build a replacement unit.	1490		31,537	31,537	-0-	-0-	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: IL06R02250101					Federal FY of Grant: 2001
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
IL06-P022-013 Scattered Sites	2 year period begins upon approval of a development proposal			2 year period begins upon approval of a development proposal			

Attachment P – Section 3 Report – Rockford Housing Authority – March 31, 2002
Section 3 Summary Report
 Economic Opportunities for
 Low- and Very Low-Income Persons

**U.S. Department of Housing
 and Urban Development**

 Office of Fair Housing
 and Equal Opportunity

OMB Approval No. 2529-0043
 (exp. 4/30/2001)

HUD Field Office:

See back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip) Rockford Housing Authority 223 S. Winnebago St. Rockford, Ill 61102		2. Federal Identification: (contract/award no.) IL06-PO22- 708+50100+50101	3. Dollar Amount of Award:
		4. Contact Person: Carol Albrecht	5. Phone: (include area code) 815-987-3244
		6. Reporting Period: 7-1-2001 thru 3-31-2002	7. Date Report Submitted: July 17, 2002

8. Program Code: *	C	(Use a separate sheet for each program code)	9. Program Name: Comprehensive Grant Program
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Part I: Employment and Training (Include New Hires in columns E & F.)**

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E** % of Total Staff Hours for Section 3 Employees and Trainees	F** Number of Section 3 Employees and Trainees
Professionals	0	0	0		0
Technicians					
Office/Clerical					
Construction by Trade (List Trade)					
Trade – Carpenter	4	0	0		0
Trade – Plumber	0	0	0		0
Trade – Painter	0	0	0		0
Trade					
Other (List)					
Pre-Apprenticeship Building Maintenance Repairer	1	1	100%		1
Pre-Employment Training	25	25	100%		25
Total	30	26			26

***Program Codes**
 1 = Flexible Subsidy
 2 = Section 202/811

3 = Public/Indian Housing
 A = Development
 B = Operation
 C = Modernization

4 = Homeless Assistance
 5 = HOME
 6 = HOME-State Administered
 7 = CDBG-Entitlement

8 = CDBG-State Administered
 9 = Other CD Programs
 10 = Other Housing Programs

Attachment P – Section 3 Report – Rockford Housing Authority – March 31, 2002

Part II: Contracts Awarded

1. Construction Contracts: %

A. Total dollar amount of all contracts awarded on the project	\$.1,062,772
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 158,518
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	15 %
D. Total number of Section 3 businesses receiving contracts	1

2. Non-Construction Contracts:

A. Total dollar amount of all non-construction contracts awarded on the project/activity	\$ 1,112,897
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ -0-
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Contracted an employment training and job placement program for the RHA Residents through the Goodwill Abilities Center.

There was a total of 55 Residents Referred for Job Training
 4 White 51 Black 0 Hispanic
 Of these 55 – 25 enrolled in the training program.
 4 White 21 Black
 Of these 25 – 7 graduated from the training program.
 0 White 7 Black
 Of these 7 – 5 are working and have held a job in the community for over 30 days.
 0 White 5 Black

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u., mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as a self-monitoring tool. The data is entered into a data base and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Attachment P – Section 3 Report – Rockford Housing Authority – March 31, 2002

Form HUD-60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.

Instructions: This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian Housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/ training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F) or the number of new hires utilized on the Section 3 covered project (columns B, C and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' efforts to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.* A recipient of Section 3 covered assistance shall submit two copies of this report to the local HUD Field Office. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

HUD Field Office: Enter the Field Office name forwarding the Section 3 report.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
- 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

Submit two (2) copies of this report to the HUD Field Office of Fair Housing and Equal Opportunity, Program Operations and Compliance Center Director, at the same time the performance report is submitted to the program office. For those programs where such a report is not required, the Section 3 report is submitted by January 10. Include only contracts executed during the reporting period specified in item 8. PHAs/HAs are to report all contracts/subcontracts.

* The terms "low-income persons" and "very low-income persons" have the same meanings given the terms in section 3(b)(2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area, as determined by the Secretary, with

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of the HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities

Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e., supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

Column B: Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New Hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column C: Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

Column F: Enter the number of Section 3 residents that were employed and trained in connection with this award.

Part II: Contract Opportunities

Block 1: Construction Contracts

Item A: Enter the total dollar amount of all contacts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Block 2: Non-Construction Contracts

Item A: Enter the total dollar amount of all contacts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Part III: Summary of Efforts - Self-explanatory

adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income for the area, as determined by the Secretary with adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per centum of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.