

U.S.DepartmentofHousingandUrbanDevelopment
OfficeofPublicandIndianHousing

PHAPlan

5-YearPlanforFiscalYears2002 -2006
AnnualPlanforFiscal -Year2002

**NOTE:THISPHAPLANSTEMPLATE(HUD50075)ISTOBECOMPLETEDIN
ACCORDANCEWITHINSTRUCTIONSLOCATEDINAPPLICABLEPIHNOTICES**

**PHAPlan
AgencyIdentification**

PHAName: HousingAuthorityoftheCityofNewLondon

PHANumber: CT022

PHAFiscalYearBeginning:(mm/yyyy) 01/2002

PublicAccessstoInformation

Informationregardinganyactivitiesoutlinedinthisplanacanbeobtainedby contacting:(selectallthatapply)

- MainadministrativeofficeofthePHA
- PHAdevelopmentmanagementoffices
- PHAlocaloffices

DisplayLocationsForPHAPlansandSupportingDocuments

ThePHAPlans(includingattachments)areavailableforpublicinspectionat:(selectall thatapply)

- MainadministrativeofficeofthePHA
- PHAdevelopment managementoffices
- PHAlocaloffices
- Mainadministrativeofficeofthelocalgovernment
- MainadministrativeofficeoftheCountygovernment
- MainadministrativeofficeoftheStategovernment
- Publiclibrary
- PHAwebsite
- Other(listbelow)

PHAPlanSupportingDocumentsareavailableforinspectionat:(selectallthatapply)

- MainbusinessofficeofthePHA
- PHAdevelopmentmanagementoffices
- Other(listbelow)

5-YEAR PLAN
PHAF ISCAL YEARS 2002 –2006
[24CFR Part 903.5]

A.Mission

State the PHA's mission for serving the needs of low -income, very low income, and extremely low -income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

B.Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD -suggested objectives or their own, **PHAS ARE STRONGLY EN COURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS .** (Quantifiable measures would include target sets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the space to the right of the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
 - Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:
 - Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)

- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

- PHA Goal: Increase assisted housing choices
Objectives:
 - Provide voucher mobility counseling:
 - Conduct outreach effort to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site -based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designated developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

Provide alternative housing opportunities to residents of Thames River Apartments.

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistancerecipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other:(list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing for persons with all varieties of disabilities regardless of unit size required:
 - Other:(list below)

Other PHA Goals and Objectives:(list below)

AnnualPHAPlan
PHAFiscalYear2002
 [24CFRPart903.7]

i. AnnualPlanType:

SelectwhichtypeofAnnualPlanthePHAwillsubmit.

StandardPlan

StreamlinedPlan:

- HighPerformingPHA**
- SmallAgency(<250PublicHousingUnits)**
- AdministeringSection8Only**

TroubledAgencyPlan

ii. ExecutiveSummaryoftheAnnualPHAPlan

[24CFRPart903.79(r)]

TheNewLondonHousingAuthoritywillbepursuingcontinuedreorganizationandcapacitybuildingin ordertoimproveitsperformanceunderPHAS.TheAuthoritycontinuestoworktoimproveitsSEMAP scoreandPHASscore.Further,theNewLondonHousingAuthorityisbeginningtheprocessof demolition/dispositionforthehigh-risefamilydevelopmentCT -022-001.Thenewexecutivedirector is intheprocess ofbuildingcoalitionswithseveralcollegesintheNewLondonareatoprovideeconomic andsocialopportunitiesfortheNHLAresidents.

iii. AnnualPlanTableofContents

[24CFRPart903.79(r)]

ProvideatableofcontentsfortheAnnualPlan ,including attachments,andalistofsupporting documentsavailableforpublicinspection .

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Attachments

Indicatewhichattachmentsareprovidedbyselectingallthatapply.Providetheattachment'sname(A, B,etc.)inthespacetotheleftofthenameoftheattachment.Note:Iftheattachmentisprovidedasa **SEPARATE**filesubmissionfromthePHAPlansfile,providethefilenameinparenthesesinthespace totherightofthetitle.

RequiredAttachments:

- AdmissionsPolicyforDeconcentration:page42
- FY2002CapitalFundProgramAnnualStatement:page49
- Mostrecentboard -approvedoperatingbudget(RequiredAttachmentforPHAs thataretroubledoratriskofbeingdesignatedtroubledONLY):page57

OptionalAttachments:

- PHAManagementOrganizationalChart:page43
- FY2002CapitalFundProgram5YearActionPlan:ct022a01
- PublicHousingDrugEliminationProgram(PHDEP)Plan
- CommentsofResidentAdvisoryBoardorBoards(mustbeattachedifnot includedinPHAPlantext)
- Other(Listbelow,providingeachattachmentname)

SupportingDocumentsAvailableforReview

Indicatewhichdocumentsareavailableforpublicreviewbyplacingamarkinthe“Applicable& Display”columnintheappropriaterows.Alllisteddocumentsmustbeondisplayifapplicabletothe programactivitiesconductedbythePHA.

ListofSupportingDocumentsAvailableforReview		
Applicable & OnDisplay	SupportingDocument	ApplicablePlan Component
X	PHAPlanCertificationsofCompliancewiththePHAPlans andRelatedRegulations	5YearandAnnualPlans
X	State/LocalGovernmentCertificationofConsistencywith theConsolidatedPlan	5YearandAnnualPlans
X	FairHousingDocument ation: RecordsreflectingthatthePHAhasexamineditsprograms	5YearandAnnualPlans

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdiction to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board -approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certification of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures	Annual Plan: Grievance Procedures

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	<input checked="" type="checkbox"/> check here if included in the public housing A&O Policy	
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD - approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPEVI applications or, if more recent, approved or submitted HOPEVI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing home ownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
N/A	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self - Sufficiency
N/A	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self - Sufficiency
N/A	Most recent self - sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self - Sufficiency
N/A	The most recent Public Housing Drug Elimination Program (PHDEP) semi - annual performance report for an open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
X	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component

1. Statement of Housing Needs

[24CFR Part 903.79(a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion
Income ≤ 30% of AMI	1668	5	5	4	4	3	3
Income > 30% but ≤ 50% of AMI	1098	5	5	4	4	3	3
Income > 50% but < 80% of AMI	1695	3	2	3	4	3	3
Elderly	1160	4	3	4	4	3	3
Families with Disabilities	802	5	4	4	4	3	3
Race/Ethnicity B	4505	4	4	4	4	3	3
Race/Ethnicity A	875	4	4	4	4	3	3
Race/Ethnicity AI	194	4	4	4	4	3	3
Race/Ethnicity H	3459	4	4	4	4	3	3
Race/Ethnicity W	19773	3	3	3	4	3	3

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s: City of New London
Indicate year: 2000 - 2004

- U.S.Censusdata:theComprehensiveHousingAffordabilityStrategy (“CHAS”)dataset
- AmericanHousingSurveydata
Indicateyear:
- Otherhousingmarketstudy
Indicateyear:December1999
- Othersources:(listandindicateyearofinformation)

**B. HousingNeedsofFamiliesonthePublicHousingandSection8
Tenant-BasedAssistanceWaitingLists**

StatethehousingneedsofthefamiliesonthePHA’swaitinglist/s .Completeone tableforeachtype ofPHA -widewaitinglistadministeredbythePHA. PHAsmayprovideseperatetablesforsite - basedorsub -jurisdictionalpublichousingwaitinglistsattheiroption.

HousingNeedsofFamiliesontheWaitingList			
Waitinglisttype :(selectone)			
<input type="checkbox"/> Section8tenant -basedassistance			
<input checked="" type="checkbox"/> PublicHousing			
<input type="checkbox"/> CombinedSection8andPublicHousing			
<input type="checkbox"/> PublicHousingSite -Basedorsub -jurisdictionalwaitinglist (optional)			
Ifused,identifywhichdevelopment/subjurisdiction:			
	#offamilies	%oftotalfamilies	AnnualTurnover
Waitinglisttotal	96		
Extremelylow income<=30%AMI	172	63.5%	
Verylowincome (>30%but<=50%AMI)	54	20%	
Lowincome (>50%but <80%AMI)	45	16.5%	
Familieswith children	64	66.7%	
Elderlyfamilies	0	1%	
Familieswith Disabilities	6	.6%	
Race/ethnicityW	32	32.3%	
Race/ethnicityB	34	35.4%	
Race/ethnicityAI	2	2.0%	

HousingNeedsofFamiliesontheWaitingList			
Race/ethnicityA	1	1.0%	
Race/ethnicityH	27	28.1%	
Characteristicsby BedroomSize (PublicHousing Only)			
1BR	32	33.3%	
2BR	30	31.3%	
3BR	29	30.2%	
4BR	5	5.2%	
5BR	0	0%	
5+BR	N/A		
Isthewaitinglistclosed(selectone)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
Ifyes: Howlonghasit beenclosed(#ofmonths)?			
DoesthePHAexpectreopentheListinthePHAPlanyear? <input type="checkbox"/> No <input type="checkbox"/> Yes			
DoesthePHApermitspecificcategoriesoffamiliesontothewaitinglist,evenif generallyclosed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

C. HousingNeedsofFamiliesonthePublicHousingandSection8 Tenant-BasedAssistanceWaitingLists

StatethehousingneedsofthefamiliesonthePHA'swaitinglist/s **.Completeonetableforeachtype
ofPHA -widewaitinglistadministeredbythePHA.** PHAsmayprovideseperatetablesforsite -
basedorsub -jurisdictionalpublichousingwaitinglistsattheiroption.

HousingNeedsofFamiliesontheWaitingList			
Waitinglisttype:(selectone)			
<input checked="" type="checkbox"/> Section8tenant -basedassistance			
<input type="checkbox"/> PublicHousing			
<input type="checkbox"/> CombinedSection8andPublicHousing			
<input type="checkbox"/> PublicHousingSite -Basedorsub -jurisdictionalwaitinglist(optional)			
Ifused,identif ywhichdevelopment/subjurisdiction:			
	#offamilies	%oftotalfamilies	AnnualTurnover
Waitinglisttotal	57	90%	0
Extremelylow			

HousingNeedsOfFamiliesontheWaitingList			
income<=30% AMI			
Verylowincome (>30%but<=50% AMI)			
Lowincome (>50%but<80% AMI)			
Familieswith children	45	8%	
Elderlyfamilies	10	1.7%	
Familieswith Disabilities	2	.3%	
Race/ethnicityW			
Race/ethnicityB			
Race/ethnicityAI			
Race/ethnicityA			
Race/ethnicityH			
Characteristicsby BedroomSize (PublicHousing Only)			
1BR			
2BR			
3B R			
4BR			
5BR			
5+BR			
Isthewaitinglistclosed(selectone)? <input type="checkbox"/> NoXYes			
Ifyes:			
Howlonghasitbeenclosed(#ofmonths)?36			
DoesthePHAexpectreopentheListinthePHAPlanyear? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
DoesthePHApermitspecificcategoriesoffamiliesontothewaitinglist,evenif generallyclosed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

C.StrategyforAddressingNeeds

ProvideabriefdescriptionofthePHA'sstrategyforaddressingthehousingneedsoffamiliesinthe jurisdictionandonthewaitinglist **INTHEUPCOMINGYEAR**,andtheAgency'sreasonsfor choosingthisstrategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1: Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed-finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30% of AMI

Select all that apply

- ExceedHUDfederaltargetingrequirementsforfamiliesatorbelow30%of AMIinpublichousing
- ExceedHUDfederaltargetingrequirementsforfamiliesatorbelow30%of AMIintenant -basedsection8assistance
- Employadmissionspreferencesaimedatfamilieswitheconomichardships
- Adoptrentpoliciestosupportandencouragework
- Other:(listbelow)

Need:SpecificFamilyTypes:Familiesatorbelow50%ofmedian

Strategy1: Targetavailableassistanceto familiesatorbelow50%ofAMI

Selectallthatapply

- Employadmissionspreferencesaimedatfamilieswhoareworking
- Adoptrentpoliciestosupportandencouragework
- Other:(listbelow)

Need:SpecificFamilyTypes:TheElderly

Strategy1: Targetavailableassistancetotheelderly:

Selectallthatapply

- Seekdesignationofpublichousingfortheelderly
- Applyforspecial -purposevoucherstargetedtotheelderly,shouldtheybecome available
- Other:(listbelow)

Need:SpecificFamilyTypes:FamilieswithDisabilities

Strategy1: TargetavailableassistancetoFamilieswithDisabilities:

Selectallthatapply

- Seekdesignationofpublichousingforfamilieswithdisabilities
- Carryoutthomodificationsneededinpublichousingbasedonthesection504 NeedsAssessmentforPublicHousing
- Applyforspecial -purposevoucherstargetedtofamilieswithdisabilities, shouldtheybecomeavailable
- Affirmativelymarkettolocalnon -profitagenciesthatassistfamilieswith disabilities
- Other:(listbelow)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities show to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty/minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24CFR Part 903.79(b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant -based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant -based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2002 grants)		
a) Public Housing Operating Fund	310,401.00	Operating Expenses
b) Public Housing Capital Fund/2002	361,829.00	Modernization
c) HOPE VI Revitalization	0-	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant -Based Assistance	770,512.00	Rents
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	0-	
a) Resident Opportunity and Self - Sufficiency Grants	0-	
b) Community Development Block Grant	0	
c) HOME	0	
Other Federal Grants (list below)	0	
2. Prior Year Federal Grants (unobligated funds only) (list below) 2001		
CIAP 2000	264,816.02	As Defined in CIAP
6-J	170,731.02	As Defined by TARC
2001	356,648.49	
3. Public Housing Dwelling Rental Income	347,763.00	Operating expenses
4. Other income (list below)		
Interest Income	3,923.00	

OtherIncome	45,500.00	
4.Non -federalsources (listbelow)		
Totalresources	2,632,123.53	

3.PHAPoliciesGoverningEligibility, Selection,andAdmissions

[24CFRPart903.79(c)]

A.PublicHousing

Exemptions:PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1)Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (90 Days)
- Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe) Landlord References

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through NCIC - authorized source)

(2) Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plan to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

Two, one for its state income development and one for the federal occupancy properties

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously HUD-approved site-based waiting list plan)? If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously? If yes, how many lists? Section 8, Moderate Rental and Public Housing

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3)Assignment

a.Howmanyvacantunitchoicesareapplicantsoordinarilygivenbeforetheyfalltothe bottomoforareremovedfromthewaitinglist?(selectone)

- One
- Two
- ThreeorMore

b. Yes No:Isthispolicyconsistentacrossallwaitinglisttypes?

c.Ifanswertobisno,listvariationsforanyotherthantheprimarypublichousing waitinglist/sforthePHA:

(4)AdmissionsPreferences

a.Incometargeting:

- Yes No:DoesthePHAplantoexceedthefederaltargetingrequirementsby targetingmorethan40%ofallnewadmissionstopublichousing tofamiliesatorbelow30%ofmedianareaincome?

b.Transferpolicies:

Inwhatcircumstanceswilltransferstakeprecedenceovernewadmissions?(list below)

- Emergencies
- Overhoused
- Underhoused
- Medicaljustification
- AdministrativereasonsdeterminedbythePHA(e.g.,topermitmodernization work)
- Residentchoice:(statecircumstancesbelow)
- Other:(listbelow)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Household that contribute to meeting income goals (broad range of incomes)
- Household that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
- Natural Disaster
- Public Action
- Public Condemnation
- Severe Medical Emergency

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a points system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Household that contributes to meeting income goals (broad range of incomes)
- Household that contributes to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below) Natural disaster, Public action, Public condemnation, severe medical emergency

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA - resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition?

(select all that apply)

- At an annual reexamination and lease renewal
- Anytime family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site -based waiting lists
If selected, list targeted developments below:

Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below: CT -022-1

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and development targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher -income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:
CT022-001
CT022-002

B. Section 8

Exemptions: PHA that do not administer section 8 are not required to complete sub-section 3B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below):

Credit and Eviction Records through a Third Party Verifying

Agency

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity with permission from applicant/tenant
- Other (describe below)

(2)WaitingListOrganization

a. With which of the following program waiting lists is the section 8 tenant -based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project -based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant -based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

(3)SearchTime

a. Yes No: Does the PHA give extensions on standard 60 -day period to search for a unit?

If yes, state circumstances below: If they do not find a suitable unit within 60 days

(4)AdmissionsPreferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5)Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

FormerFederalpreferences

- InvoluntaryDisplacement(Disaster,GovernmentAction,ActionofHousing Owner,Inaccessibility,PropertyDisposition)
- Victimsofdomesticviolence
- Substandardhousing
- Homelessness
- Highrentburden(rentis>50percentofincome)

Otherpreferences(selectallthatapply)

- Workingfamiliesandthoseunabletoworkbecauseofageordisability
- Veteransandveterans'families
- Residentstholiveand/orworkinyourjurisdiction
- Thoseenrolledcurrentlyineducational,training,orupwardmobilityprograms
- Householdsthatcontributeincomegoals(broadrangeofincomes)
- Householdsthatcontributeincomerequirements(targeting)
- Thosepreviouslyenrolledineducational,training,orupwardmobility programs
- Victimsofreprisalsorhatecrimes
- Otherpreference(s)(listbelow)

3.IfthePHAwillemployadmissionspreferences,pleaseprioritizebyplacinga“1”inthespacethatrepresentsyourfirstpriority,a“2”intheboxrepresentingyoursecondpriority,andsoon.Ifyougiveequalweighttooneormoreofthese choices(eitherthroughanabsolutehierarchyorthroughapointsystem),placethesamenumbernexttoeach.Thatmeansyoucanuse“1”morethanonce,“2”more thanonce,etc.

2DateandTime

FormerFederalpreferences

- InvoluntaryDisplacement(Disaster,GovernmentAction,ActionofHousing Owner,Inaccessibility,PropertyDisposition)
- Victimsofdomesticviolence
- Substandardhousing
- Homelessness
- Highrentburden

Otherpreferences(selectallthatapply)

- Workingfamiliesandthoseunabletoworkbecauseofageordisability
- Veteransandveterans'families
- Residentstholiveand/orworkinyourjurisdiction

- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with the equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admission to any special -purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special -purpose section 8 program to the public?

- Through published notices
- Other (list below)

4. PHA Rent Determination Policies

[24CFR Part 903.79(d)]

A. Public Housing

Exemptions: PHA that do not administer public housing are not required to complete sub -component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent -setting policies for income based rent in public housing. Income -based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub -component (2))

---or---

The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below: If family has lost assistance through no fault of their own, family would be evicted due to implementation of minimum rent, income of family decreased due to loss of employment, death of family member, other circumstances reviewed on a case by case basis by the HANL.

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent -setting policy)
If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent -setting policy)
If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No, no longer applicable.

2. For which kinds of developments are ceiling rents in place? (select all that apply)

No longer applicable

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent determination:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Anytime the family experiences an income increase
- Anytime a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) 10%
- Other (list below)
Any Change in Family Composition

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2)FlatRents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- This section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)
Fair Market Rents

B. Section 8 Tenant -Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1)PaymentStandards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket

- To increase housing options for families
- Other (list below)

d. How often are repayment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24CFR Part 903.79(e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C (2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.: page 43
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

ProgramName	UnitsorFamilies ServedatYear Beginning	Expected Turnover
PublicHousing	225	60
Section8Vouchers	114	5
Section8Certificates	N/A	
Section8ModRehab	N/A	
SpecialPurposeSection 8Certificates/Vouchers (listindividually)	58	3
PublicHousingDrug EliminationProgram (PHDEP)	N/A	
OtherFederal Programs(list individually)	N/A	

C.ManagementandMaintenancePolicies

ListthePHA’spublichousingmanagementandmaintenancemaintenancepolicydocuments,manualsandhandbooks thatcontaintheAgency’srules,standards,andpoliciesthatgovernmaintenanceandmanagemntof publichousing,includingadescriptionofanymeasuresnecessaryfortheventionoreradicationof pestinfestation(whichincludescockroachinfestation)andthepoliciesgoverningSection8 management.

- 1) PublicHousingMaintenanceandManagement :(listbelow)
 - AdmissionsandContinuedOccupancyPolicy,HANL
 - OccupancyLease
 - ProcurementPolicy
 - RentCollectionsPolicy,DispositionPolicy
 - PersonnelPolicy
 - PublicHousingManagerManual(NAHRO)
 - FinancialManagementforLocalHousingAuthorities (NAHRO)OccupancyManual(NAHRO)
 - PetPolicy
 - MaintenancePlan,RefusePolicy
- 2) Section8Management:(listbelow)
 - Section8AdministrativePlan
 - Section8HousingChoiceVoucherManual(NanMcKay)

Hapcontract,briefingmaterial,section8voucher

6. PHA Grievance Procedures

[24CFRPart903.79(f)]

Exemptionsfromcomponent6:HighperformingPHAsarenotrequiredtocompletecomponent6.
Section8 -OnlyPHAsareexemptfromsub -component6A.

A. PublicHousing

1. Yes No:Has thePHAestablishedanywrittengrievanceproceduresin additiontofederalrequirementsfoundat24CFRPart966, SubpartB,forresidentsofpublichousing?

Ifyes,listadditionstofederalrequirementsbelow:

- 2.WhichPHAofficeshouldresidentesor applicantstopublichousingcontactto initiate thePHAgrievanceprocess?(selectallthatapply)

- PHAmainadministrativeoffice
 PHAdevelopmentmanagementoffices
 Other(listbelow)

B.Section8Tenant-BasedAssistance

1. Yes No:Has thePHAestablishedinformalreviewproceduresforapplicantsto theSection8tenant -basedassistanceprogramandinformal hearingproceduresforfamiliesassistedbytheSection8tenant-basedassistanceprograminadditiontofederalrequirements foundat24CFR982?

Ifyes,listadditionstofederalrequirementsbelow:

- 2.WhichPHAofficeshouldapplicantSORassistedfamiliescontacttoinitiate the informalreviewandinformal hearingprocesses?(selectallthatapply)

- PHAmainadministrativeoffice
 Other(listbelow)

7.CapitalImprovementNeeds

[24CFRPart903.79(g)]

ExemptionsfromComponent7:Section8onlyPHAsarenotrequiredto completethiscomponentand mayskiptoComponent8.

A.CapitalFundActivities

2002FiscalYear, 27

HUD50075
OMBApprovalNo:2577 -0226
Expires:03/31/2002

Exemptions from sub -component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed .

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long -term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD -52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) Component 7: page 49

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5 -Year Action Plan

Agencies are encouraged to include a 5 -Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD -52834.

a. Yes No: Is the PHA providing an optional 5 -Year Action Plan for the Capital Fund? (if no, skip to sub -component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5 -Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name): Attachment ct022a01

-or-

The Capital Fund Program 5 -Year Action Plan is provided below: (if selected, copy the CFP Optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non -Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPEVI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPEVI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPEVI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
 Revitalization Plan submitted, pending approval
 Revitalization Plan approved
 Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPEVI revitalization grant in the Plan year?
If yes, list development name/s below:

- Yes No: d) Will the PHA be engaging in any mixed -financed development activities for public housing in the Plan year?
If yes, list developments or activities below:

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24CFR Part 903.79(h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No",

skiptocomponent9;if“yes”,completeoneactivitydescription
foreachdevelopment.)

The Housing Authority has a plan to begin evaluating Thames River property for demolition. The HANL plan to submit a demolition application either within this fiscal year or next. A group of concerned citizens, residents and local politicians will be assembled to assist the HANL in this endeavor.

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skiptocomp onent9.If“No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name: Thames River Development	
1b. Development (project) number: CT -26-22-1	
2. Activity type: Demolition <input checked="" type="checkbox"/>	Disposition <input type="checkbox"/>
3. Application status (select one)	
Approved <input type="checkbox"/>	
Submitted, pending approval <input type="checkbox"/>	
Planned application <input checked="" type="checkbox"/>	
4. Date application approved, submitted, or planned for submission: (11/03)	
5. Number of units affected: 125	
6. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input checked="" type="checkbox"/> Total development	
7. Timeline for activity:	
a. Actual or projected start date of activity: 2003	
b. Projected end date of activity: 2003	

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.79(i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 10. If "No", complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	
Occupancy by only the elderly <input type="checkbox"/>	
Occupancy by families with disabilities <input type="checkbox"/>	
Occupancy by only elderly families and families with disabilities <input type="checkbox"/>	
3. Application status (select one)	
Approved; included in the PHA's Designation Plan <input type="checkbox"/>	
Submitted, pending approval <input type="checkbox"/>	
Planned application <input type="checkbox"/>	
4. Date this designation approved, submitted, or planned for submission:	
5. If approved, will this designation constitute a (select one)	
<input type="checkbox"/> New Designation Plan	
<input type="checkbox"/> Revision of a previously approved Designation Plan?	
6. Number of units affected:	
7. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	

10. Conversion of Public Housing to Tenant -Based Assistance

[24CFR Part 903.79(j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessment of Reasonable Revitalization Pursuant to Section 202 of the HUD FY1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete as streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD -approved Conversion Plan underway	

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

Units addressed in a pending or approved demolition application (date submitted or approved: _____)

Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____)

Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____)

Requirements no longer applicable: vacancy rates are _____ less than 10 percent

Requirements no longer applicable: site now has less than 300 units

Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24CFR Part 903.79(k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z -4). (If "No", skip to component 11B; if "yes", complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: HasthePHAprovidedallrequiredactivitydescription informationforthiscomponentinthe **optionalPublicHousing AssetManagementTable?**(If“yes”,skiptocomponent12.If “No”,completetheActivityDescriptiontable below.)

PublicHousingHomeownershipActivityDescription (Completeoneforeachdevelopmentaffected)
1a.Developmentname: 1b.Development(project)number:
2.FederalProgramauthority: <input type="checkbox"/> HOPEI <input type="checkbox"/> 5(h) <input type="checkbox"/> TurnkeyIII <input type="checkbox"/> Section32oftheUSHAof1937(effective10/1/99)
3.Applicationstatus:(selectone) <input type="checkbox"/> Approved;includedinthePHA’sHomeownershipPlan/Program <input type="checkbox"/> Submitted,pendingapproval <input type="checkbox"/> Plannedapplication
4.DateHomeownershipPlan/Programapproved,submitted,orplannedforsubmission: (DD/MM/YYYY)
5. Numberofunitsaffected: 6.Coverageofaction:(selectone) <input type="checkbox"/> Partofthe development <input type="checkbox"/> Totaldevelopment

B.Section8TenantBasedAssistance

1. Yes No: DoesthePHAplantoadministeraSection8Homeownership programpursuanttoSection8(y)oftheU.S.H.A.of1937, as implementedby24CFRpart982?(If“No”,skiptocomponent 12;if“yes”,describeeachprogramusingthetablebelow(copy andcompletequestionsforeachprogramidentified),unlessthe PHAiseligibletocompleteastreamlinedsubmissiondueto highperformerstatus. **HighperformingPHAs** mayskipto component12.)

TheHANLplansandisdiscussingwithappropriateagenciesintheCityof NewLondonthepossibilityofofferingsection8applicantstheopportunityofhome ownership.OncetheHANLi soffthetroubledlistmoretimewillbepursedinoffering thisoptiontoresidentsandapplicants.

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26- 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA - established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below: participants must be in good standing with the HANL

Participants will be required to attend homeownership classes and seminars

Participants will attend informational seminar on mortgages.

12. PHA Community Service and Self -sufficiency Programs

[24CFR Part 903.79(l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8 - Only PHAs are not required to complete sub -component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)

- Coordinatetheprovisionofspecificsocialandself -sufficiencyservicesand programstoeligiblefamilies
- Jointlyadministerprograms
- PartnertoadministeraHUDWelfare -to-Workvoucherprogram
- Jointadministrationofotherdemonstrationprogram
- Other(describe)

B. Servicesandprogramsofferedtoresidentsand participants

(1)General

a. Self -SufficiencyPolicies

Which,ifanyofthefollowingdiscretionarypolicieswillthePHAemployto enhancetheeconomicandsocialself -sufficiencyofassistedfamiliesinthe followingareas?(selectallthatapply)

- Publichousingrentdeterminationpolicies
- Publichousingadmissionspolicies
- Section8admissionspolicies
- Preferenceinadmissiontosection8forcertainpublichousingfamilies
- Preferencesforfamiliesworkingorengagingintrainingoreducation programsfornon -housingprogramsoperatedorcoordinatedbythe PHA
- Preference/eligibilityforpublichousinghomeownershipoption participation
- Preference/eligibilityforsection8homeownershipoptionparticipation
- Otherpolicies(listbelow):theHANLisintheprocessofdeveloping partnershipswithseveralcollegesinNewLondonthatcanprovide programstoreresident stoencetheirsocialandeconomicself - sufficiency.

b. EconomicandSocialself -sufficiencyprograms

- Yes No: DoesthePHAcordinate,promoteorprovideany programstoencetheeconomicandsocialself - sufficiencyofresidents?(If“yes”,completethefollowing table;if“no”skiptosub -component2,FamilySelf SufficiencyPrograms.Thepositionofthetablemaybe alteredtofacilitateitsuse.)

ServicesandPrograms

ProgramName&Description (includinglocation,ifappropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (developmentoffice/ PHAmainoffice/ otherprovidername)	Eligibility (publichousingor section8 participantsor both)
<i>ComputerLearningCenter</i>	<i>15-30</i>	<i>Random</i>	<i>DevelopmentOffice</i>	<i>PublicHousing andOthers</i>
<i>AmateurBoxingClub</i>	<i>8-12</i>	<i>Random</i>	<i>DevelopmentOffice</i>	<i>PublicHousing andOthers</i>

(2)FamilySelfSufficiencyprogram/s

theHAN Ldoesnothaveasef -sufficiencyprogram

a.ParticipationDescription

FamilySelfSufficiency(FSS)Participation		
Program	RequiredNumberofParticipants (startofFY2001Estimate)	ActualNumberofParticipants (Asof:DD/MM/YY)
PublicHousing	None	
Section8	None	

b. Yes No: IfthePHAisnotmaintainingtheminimumprogramsizerequiredbyHUD,doesthemostrecentFSSActionPlanaddressthestepthePHAplanstotaketoachieveatleasttheminimumprogramsiz?

Ifno,liststepthePHAwilltakebelow:

- 1) GetBoardapprovalfortheEconomicSelf -Sufficiency Policy.
- 2) HiringofaResidentServicesCoordinatortohandle residentissues.
- 3) Surveytheresidentstofindthoseissueshatneedtoberectified.
- 4) EstablishResidentOutreachprogram.
- 5) Establishasocialprogramoutreachschedule.
- 6) Developatrackingprocedurefortheprogram.

- 7) JoininCooperationAgreementswithoutside
Agenciestodeveloptheprogram.

C.WelfareBenefitReductions

1.ThePHAiscomp lyingwiththestatutoryrequirements ofsection12(d)oftheU.S.
HousingActof1937(relatingtothetreatmentofincomechangesresultingfrom
welfareprogramrequirements)by:(selectallthatapply)

- Adoptingappropriatechangestot hePHA'spublichousingrentdetermination
policiesandtrainstafftocarryoutthosepolicies
- Informingresidentsofnewpolicyonadmissionandreexamination
- Activelynotifyingresidentsofnewpolicyattimesinadd itiontoadmissionand
reexamination.
- EstablishingorpursuingacooperativeagreementwithallappropriateTANF
agenciesregardingtheexchangeofinformationandcoordinationofservices
- Establishingaprotocolforexc hangeofinformationwithallappropriateTANF
agencies
- Other:(listbelow)

D.ReservedforCommunityServiceRequirementpursuanttosection12(c)of theU.S.HousingActof1937

13.PHASafetyandCrimePreventionMeasures

[24 CFRPart903.79(m)]

ExemptionsfromComponent13:HighperformingandsmallPHAsnotparticipatinginPHDEP
andSection8OnlyPHAsmaykiptocomponent15.HighPerformingandsmallPHAs
thatareparticipatinginPHDEPandareshsubmittingaPHDEPPlan withthisPHAPlan
maykiptosub - componentD.

A.Needformeasurestoensurethesafetyofpublichousingresidents

1.Describetheneedformeasurestoensurethesafetyofpublichousingresidents
(selectallthatapply)

- High incidenceofviolentand/ordrug -relatedcrimeinsomeorall
ofthePHA's developments
- Highincidenceofviolentand/ordrug -relatedcrimeintheareassurrounding
oradjacenttothePHA'sdevelopments
- Residentsfearful forth theirsafetyand/orthesafetyoftheirchildren
- Observedlower -levelcrime,vandalismand/orgraffiti

- People on waiting list unwilling to move into one or more developments due to perceived and/or actual level of violent and/or drug -related crime
- Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anti crime/anti drug programs
- Other (describe below)

2. Which development is most affected? (list below)

CT-022-01

B. Crime and Drug Prevention activities the PHA has undertaken or plan to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plan to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime-and/or drug -prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (Provide security force and improve relationship with local police department)

2. Which developments are most affected? (list below)

CT022-01

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Policeinvolvementindevelopment,implementation,and/orongoing evaluationofdrug -eliminationplan
- Policeprovidocrimedatatohousingauthoritystaffforanalysisandaction
- Policehaveestablishedaphysicalpresenceon housingauthorityproperty(e.g., communitypolicingoffice,officerinresidence)
- Policeregularlytestifyinandotherwisepupportevictioncases
- PoliceregularlymeetwiththePHAmangementandresidents
- AgreementbetweenPHAandlocallawenforcementagencyforprovisionof above-baselinelawenforcementservices
- Otheractivities(listbelow)

2.Whichdevelopmentsaremostaffected?(listbelow)

CT022-001

D.AdditionalinformationasrequiredbyPHDEP/PHDEPPlan

PHAeligibleforFY2000PHDEPfundsmustprovideaPHDEPPlanmeetingspecifiedrequirements priortoreceiptofPHDEPfunds.

- Yes No: IsthePHAeligibletoparticipateinth ePHDEPinthefiscalyear coveredbythisPHAPlan?
- Yes No: HasthePHAincludedthePHDEPPlanforFY2000inthisPHA Plan?
- Yes No: ThisPHDEPPlanisanAttachment.(AttachmentF ilename:___)

14.RESERVEDFORPETPOLICY: (SeeAttachments)

[24CFRPart903.79(n)]

15.CivilRightsCertifications

[24CFRPart903.79(o)]

CivilrightscertificationsareincludedinthePHAPlanCertificationsofCompliance withthePHAPlan sandRelatedRegulations.

16.FiscalAudit

[24CFRPart903.79(p)]

1. Yes No: IsthePHArequiredtohaveanauditconductedundersection 5(h)(2)oftheU.S.HousingActof1937(42US.C.1437c(h))? (Ifno,skiptocomponent17.)
2. Yes No: WasthemostrecentfiscalauditsubmittedtoHUD?

3. Yes No: Werethereanyfindingsastheresultofthataudit?
4. Yes No: Iftherewereanyfindings,doanyremainunresolved?
Ifyes,howmanyunresolvedfindingsremain ?1
5. Yes No: Haveresponsestoanyunresolvedfindingsbeensubmittedto
HUD?
Ifnot,whenthey due(statebelow)?
TheHANLisintheprocessofresolvingthefinding.

17.PHAAssetManagement

[24CFRPart903.79(q)]

Exemptionsfromcomponent17:Section8OnlyPHAsarenotrequiredtocompletethiscomponent.
Highperformingandsmall PHAsarenotrequiredtocompletethiscomponent.

1. Yes No: IsthePHAengaginginanyactivitiesthatwillcontributetothe
long-termassetmanagementofitspublichousingstock,
includinghowtheAgencywillplan forlong -termoperating,
capitalinvestment,rehabilitation,modernization,disposition,and
otherneedsthathave **not**beenaddressedelsewhereinthisPHA
Plan?
2. WhattypesofassetmanagementactivitieswillthePHAundertake?(selectallthat
apply)
 Notapplicable
 Privatemanagement
 Development-basedaccounting
 Comprehensivestockassessment
 Other:(listbelow)
Implementingacomputerizedinventorystem
3. Yes No: HasthePHAincludeddescriptionsofassetmanagementactivities
inth~~o~~**optional** PublicHousingAssetManagementTable?

18.OtherInformation

[24CFRPart903.79(r)]

1) ResidentAdvisoryBoardRecommendations

TheNewLondonHousingAuthorityhastriedforalongtimetostartaResidentAdvisoryBoardat ThamesRiverApartments(CT -022-1)tonoavail.Residentswerenotifiedofthemeetingaboutthe PHAPlanbuttherewasnointerestonthepartofresidents. TheNLHAhashiredaResident ServiceCoordinator.TheCoordinatorwillbeworkingtoestablisharesidentorganizationat ThamesRiver.

TheNLHAhasaresidentorganizationandadvisorycommitteeWilliamsParkApartments (CT-022-2).TheNLHAcouldnotkeeptheRABrunningwithoutthededicationoftheresidents. AresidenthasbeenappointedtotheBoardofCommissionersoftheHousingAuthorityforthepast fewyearsbuttheonlyresidentswhoexpressanyinterestinthehousingauthoritylive onthestate propertiesthatHousingAuthorityalsomanages.MargaretReyesistheresidentBoardof Commissioners.

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at Attachment (Filename)

Provided below:

Residents wanted a better security camera system. The NLHA pointed out that is covered under the FY2000 grant that is being implemented now. One resident suggested having more materials in Spanish since many residents are Spanish speaking. The NLHA noted that this is a very good suggestion. A resident discussed the need to upgrade handicapped accessible units. The NLHA pointed out that this upgrade is in the 2000 Capital Fund grant. Hopefully these items will be started soon. The residents discussed meeting in May or June to start the review for the NHLA on the fiscal year's 2003 annual plan.

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments
List changes below:

Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided in section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
 Candidates could be nominated by any adult recipient of PHA assistance
 Self-nomination: Candidates registered with the PHA and requested a place on ballot
 Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
 Any head of household receiving PHA assistance
 Any adult recipient of PHA assistance
 Any adult member of a resident or assisted family organization
 Other (list)
The city manager appoints the board member to the NHLA Board of Commissioners

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant based assistance)
 Representatives of all PHA resident and assisted family organizations
 Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: City of New London, Conn.

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
 The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.

- Activities to be undertaken by the ePHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Continued maintenance of public housing stock
 - Improve security
 - Improve turnaround of vacant units
 - Outreach to local agencies
 - Expand services available for residents
- Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The Plan supports the HANL effort to provide additional housing for low income persons, the need to provide assistance to first time home buyers especially those with low income, to expand job opportunities for those with low income, Health Department is committed to providing education, outreach efforts and lead paint testing to residents of the City.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

The Public Hearing for the Agency and Annual Plan was held on March 21, 2002 at the New London Housing Authority main office, 78 Walden Ave., New London. Three people attended besides Lisa Royce, Deputy Director. Those that attended live at 127 Hempstead Street, Williams Park Development.

**Robert J. Payne, Jr.
Madeline C. Cairns
Fran Davis**

Comments made: discussed funding that will be available to increase security through upgrade of cameras; automatic door closures for wheel chair units for the handicap discussed which is in this year's CIAP Grant for Hempstead, and it was suggested the NLHA translate more material to Spanish.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

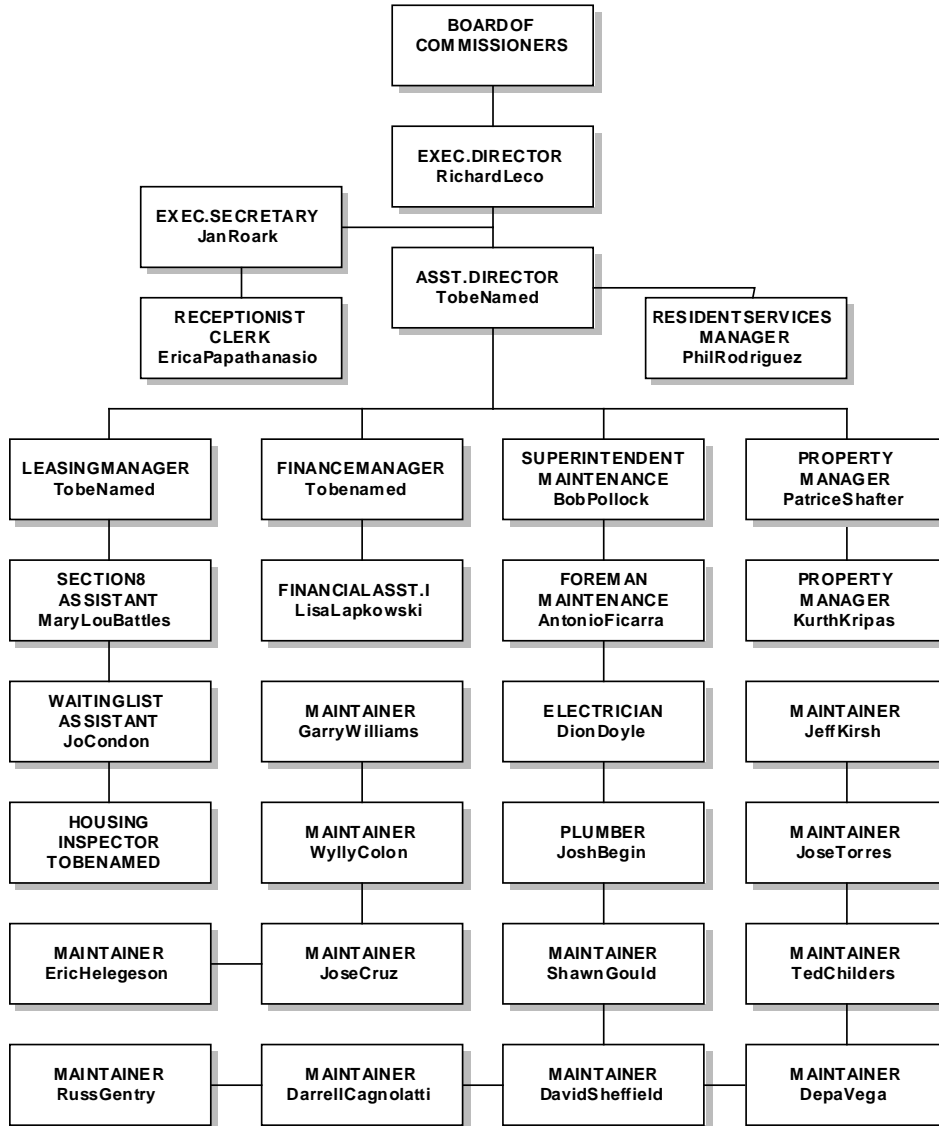
1) Deconcentration Policy

It is the New London Housing Authority's policy to provide for deconcentration of poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Toward this end, we will skip families on the waiting list to reach other families with lower or higher income. We will accomplish this in a uniform and non-discriminating manner.

The New London Housing Authority will affirmatively market our housing to all eligible income groups. Lower income residents will not be steered toward lower income developments and higher income people will not be steered toward higher income developments.

Prior to the beginning of each fiscal year, we will analyze the income levels of families residing in each of four developments and the income levels of the families on the waiting list. Based on this analysis, we will determine the level of marketing strategies and deconcentration incentives to implement.

OrganizationalChart NEWLONDONHOUSINGAUTHORITY



ImplementationofResidentCommunityServiceRequirement

- 1) DevelopmentofaWrittenDescriptionoftheCommunityService Requirement
- 2) ScheduledchangesintheLease
- 3) WrittenNotificationtoResidentsofExemptstatustoeachadult memberofthehousehold
- 4) EstablishCooperativeAgreementswithTANF
- 5) Evaluatetheprogrammaticaspects oftheCommunityService Requirement.

Pet Policy

Exclusions

This policy does not apply to animals that are used to assist persons with disabilities. Assistive animals are allowed in all public housing facilities with no restrictions other than those imposed on all tenants to maintain their units and associated facilities in a decent, safe, and sanitary manner and refrain from disturbing their neighbors.

Approval

Residents living in housing that has been designed for occupancy by elderly, near elderly, handicapped or disabled families may keep pets on the premises if they have executed a Pet Ownership and Responsibility Addendum to the Lease.

Size and Type of Pets

Residents are permitted to own one common household pet in accordance with the following rules for keeping pets:

- 1) The types of pets shall be limited as follows:

One cat or dog per apartment at any one time.

Two small caged birds (canaries, parakeets, finches, etc.) per apartment at any one time. Birds must be confined to a cage at all times.

Aquariums may be no larger than 20 gallons and must be sealed against leakage.

No birds of prey or other dangerous species may be kept. No gerbils, hamsters, or other rodents and reptiles are permitted. Dog breeds that are allowed are those that are no more than 20 lbs. Full grown no more than 12" at full height.

Pet Deposit

An Addendum to the Lease shall be executed and applicable pet deposit paid prior to the allowance of the pet on the premises. A pet deposit of \$300 shall be required of all residents wishing to have a pet residing on the premises. The deposit is to cover potential damage by the pet and will be returned in part or in full depending on the cost

of the damages incurred as a direct result of pet, as assessed by management at the time the resident vacates the premises.

Requirements

- 1) Residents shall be required to show proof that his or her pet has been properly vaccinated for rabies, and that all local licensing requirements have been met. No pet shall be allowed on the premises if it has not been properly vaccinated. Resident must have his or her pet checked by a recognized veterinarian at least once a year to insure proper vaccination. Resident must bring license and proof of vaccination to the management office to be duly filed.
- 2) The resident will be solely responsible for maintenance of pet in healthy environment and shall insure that pet receives proper standard care and humane treatment. The pet shall be licensed and wear a collar which displays an identification tag. Pets shall be neutered or spayed prior to being allowed in the property.
- 3) All pets must be boarded in the unit and will not be allowed outdoors unless, it is accompanied by a resident or adult member of the household. The pet, when outdoors, shall be on a leash, no container. If pet is a bird, it shall be caged at all times both in the dwelling unit and outside. At no time will pets be allowed in the lobbies or common areas, except to enter or exit the premises.
- 4) A litter box will be utilized for cats. The litter box shall be changed twice weekly and disposed of in the area designated by the Authority. Litter must be separated daily. No animal waste may be disposed of within the unit.
- 5) No pet shall be left unattended in the unit for a period of time in excess of sixteen (16) hours. If a pet is left unattended in excess of sixteen hours in the unit, then management reserves the right to enter the unit and remove the pet, and transfer it to the proper authorities at the expense of the resident or resident sponsor. Management is held harmless in such circumstances.
- 6) Pet owners are responsible for any disturbances on a consistent basis as a result of constant barking, whining, and scratching on the part of their pet.
- 7) Pets of family members and guests will not be allowed on the premises without prior written approval of management.
- 8) The resident indemnifies management for all claims regarding loss or personal injury caused by the resident's pet to any other resident, guest or employee in the building(s) or on the premises.

- 9) Any violation of the provisions contained herein will be construed as a health and safety violation and therefore be considered a breach of the Lease Agreement and subject to pet rule violation procedures.
- 10) If the resident becomes incapable of caring for the pet as outlined above, because of illness, incapacitation or death, management reserves the right to remove the pet from the premises.
- 11) Each resident requesting permission to bring a pet onto the premises must furnish two (2) sponsors to management. The sponsors will be responsible for the removal of the pet in case of emergency, illness or death of the resident. The sponsor shall be responsible for any expenses. Inability to furnish two sponsors shall result in the ineligibility of the resident to have a pet on the premises.
- 12) Management shall enter each unit where a pet is kept within sixty (60) days after the signature of the Lease Addendum to determine that the pet and unit are being properly cared for. Residents shall not alter the unit in any way so as to accommodate the pet.

Pet Rule Violation Procedures

- 1) Notice of pet rule violation. If the Authority determines on the basis of objective facts supported by written statements that a pet owner has violated a rule governing the owning and keeping of pets, the Authority may serve a written notice of pet rule violation on the pet owner. The notice must:
 - a) Contain a brief statement of the factual basis for the determination and the pet rule or rule alleged to be violated.
 - b) State that the pet owner has twenty (20) calendar days from the effective date of service of the notice to correct the violation or make a written request for a meeting to discuss the violation.
 - c) State that the pet owner is entitled to be accompanied by another person of his/her choice at the meeting.
 - d) State that the pet owner's failure to correct the violation, to request a meeting, or to appear at a requested meeting may result in initiation of procedures to terminate the pet owner's tenancy.
- 2) Pet rule violation meeting. If the pet owner makes a timely request for a meeting to discuss the alleged pet rule violation (within five days of the date of notice), the Authority shall establish a mutually agreeable date and location for the meeting within 15 calendar days of notification of the alleged violation. At

the meeting, the pet owner and the Authority shall discuss any alleged pet rule violation and attempt to correct it.

- 3) Notice of Pet Removal. If the pet owner and the Authority are unable to resolve the pet rule violation at the meeting, or if the Authority determines that the pet owner has failed to correct the violation, the Authority may serve written notice to the pet owner to remove the pet. This notice shall contain a brief statement of the factual basis for the determination and the pet owner must remove the pet within ten (10) calendar days of the effective date of service of the notice of pet removal and state that failure to remove the pet may result in initiation of the procedure to terminate the pet owner's tenancy.
- 4) Initiation of the procedure to remove a pet or terminate the pet owner's tenancy. The Authority shall not initiate procedure to terminate a pet owner's tenancy based on a pet rule violation unless: the pet owner has failed to remove the pet or correct a violation within the stated time period; and the pet rule violation is sufficient to begin procedure to terminate tenancy under the terms of the Lease Agreement and applicable regulations.

Agenda Item # 7

HOUSING AUTHORITY OF THE CITY OF NEW LONDON
BOARD OF COMMISSIONERS MEETING OF March 26, 2002

RESOLUTION # 010-02

"Approve 12/31/02 Operating Budget for Federal Developments"

BE IT RESOLVED: That the Board of Commissioners of the New London Housing Authority approves FYE 12/31/02 Operating Budget for CT-022-001 and CT-022002.

Motion: Commissioner

Second: Commissioner

U.S. Department of Housing and Urban Development
Office of Public and Economic Affairs

Operating Budget Schedule of Activities and Expenses

Activity	2001		2002		2001/2002	2002/2001	2002/2001 %	2002/2001 Ratio	2002/2001 %	2002/2001 Ratio	2002/2001 %	2002/2001 Ratio
	Actual	Budget	Actual	Budget								
1. Administration	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
2. Community Development	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
3. Economic Development	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
4. Energy Conservation	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
5. Environmental	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
6. Financial	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
7. General	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
8. Health	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
9. Housing	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
10. Information	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
11. Legal	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
12. Management	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
13. Other	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
14. Planning	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
15. Public	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
16. Real Estate	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
17. Research	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
18. Safety	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
19. Technical	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
20. Training	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
21. Transportation	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
22. Utility	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
23. Welfare	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
24. Youth	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100
TOTAL	201,200	201,200	201,200	201,200	0	0	100	100	100	100	100	100

Operating Budget
 Schedule of All Positions and Salaries

U.S. Department of Housing
 and Urban Development
 Office of Public Housing Administration

HUD Form 101-101 (11-01-00)

2002 Fiscal Year

Agency	Position Title	2001		2002		2003		2004		Total	FTE	Rate	Total
		12/01	12/02	12/03	12/04	12/05	12/06						
Public Housing Administration	Director	1	1	1	1	1	1	1	1	5	1	\$150,000	\$750,000
	Assistant Director	1	1	1	1	1	1	1	1	5	1	\$120,000	\$600,000
	Chief Financial Officer	1	1	1	1	1	1	1	1	5	1	\$100,000	\$500,000
	Chief of Staff	1	1	1	1	1	1	1	1	5	1	\$90,000	\$450,000
	Director of Operations	1	1	1	1	1	1	1	1	5	1	\$80,000	\$400,000
	Director of Maintenance	1	1	1	1	1	1	1	1	5	1	\$70,000	\$350,000
	Director of Health and Safety	1	1	1	1	1	1	1	1	5	1	\$60,000	\$300,000
	Director of Administration	1	1	1	1	1	1	1	1	5	1	\$50,000	\$250,000
	Director of Community Development	1	1	1	1	1	1	1	1	5	1	\$40,000	\$200,000
	Director of Tenant Services	1	1	1	1	1	1	1	1	5	1	\$30,000	\$150,000
	Director of Energy Services	1	1	1	1	1	1	1	1	5	1	\$20,000	\$100,000
	Director of Information Systems	1	1	1	1	1	1	1	1	5	1	\$10,000	\$50,000
	Director of Legal Affairs	1	1	1	1	1	1	1	1	5	1	\$8,000	\$40,000
	Director of Public Affairs	1	1	1	1	1	1	1	1	5	1	\$6,000	\$30,000
	Director of Research and Evaluation	1	1	1	1	1	1	1	1	5	1	\$4,000	\$20,000

Approved: _____ Date: _____

HUD Form 101-101 (11-01-00)

U.S. Department of Housing and Urban Development
 Department of Administration
 Office of Management and Enterprise Services
 Office of Financial Management
 Office of Budget and Finance
 Office of Information Management
 Office of Legal Affairs
 Office of Policy and Planning
 Office of Research and Statistics
 Office of Technical Assistance
 Office of the Inspector General
 Office of the Chief Financial Officer
 Office of the Chief Information Officer
 Office of the Chief of Staff
 Office of the Deputy Chief of Staff
 Office of the General Counsel
 Office of the Secretary
 Office of the Assistant Secretary for Administration
 Office of the Assistant Secretary for Community Development
 Office of the Assistant Secretary for Economic Development
 Office of the Assistant Secretary for International Affairs
 Office of the Assistant Secretary for Policy and Planning
 Office of the Assistant Secretary for Research and Statistics
 Office of the Assistant Secretary for Technical Assistance
 Office of the Assistant Secretary for the Inspector General
 Office of the Assistant Secretary for the Chief Financial Officer
 Office of the Assistant Secretary for the Chief Information Officer
 Office of the Assistant Secretary for the Chief of Staff
 Office of the Assistant Secretary for the Deputy Chief of Staff
 Office of the Assistant Secretary for the General Counsel
 Office of the Assistant Secretary for the Secretary
 Office of the Assistant Secretary for the Assistant Secretary for Administration
 Office of the Assistant Secretary for the Assistant Secretary for Community Development
 Office of the Assistant Secretary for the Assistant Secretary for Economic Development
 Office of the Assistant Secretary for the Assistant Secretary for International Affairs
 Office of the Assistant Secretary for the Assistant Secretary for Policy and Planning
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 Office of the Assistant Secretary for the Assistant Secretary for the Inspector General
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 Office of the Assistant Secretary for the Assistant Secretary for the Chief Information Officer
 Office of the Assistant Secretary for the Assistant Secretary for the Chief of Staff
 Office of the Assistant Secretary for the Assistant Secretary for the Deputy Chief of Staff
 Office of the Assistant Secretary for the Assistant Secretary for the General Counsel
 Office of the Assistant Secretary for the Assistant Secretary for the Secretary

Fund	Account	Description	Fiscal Year 2001		Fiscal Year 2002		Total	Change	Percent Change	Comments
			Actual	Budget	Actual	Budget				
100	100	Operating Expenses	11,222,000	12,000,000	11,222,000	12,000,000	778,000	6.6%		
100	100	Salaries and Benefits	7,500,000	7,500,000	7,500,000	7,500,000	0	0%		
100	100	Travel	1,000,000	1,000,000	1,000,000	1,000,000	0	0%		
100	100	Printing	500,000	500,000	500,000	500,000	0	0%		
100	100	Telephone	200,000	200,000	200,000	200,000	0	0%		
100	100	Utilities	1,000,000	1,000,000	1,000,000	1,000,000	0	0%		
100	100	Supplies	1,000,000	1,000,000	1,000,000	1,000,000	0	0%		
100	100	Professional Fees	1,000,000	1,000,000	1,000,000	1,000,000	0	0%		
100	100	Contractual Services	1,000,000	1,000,000	1,000,000	1,000,000	0	0%		
100	100	Capital Expenses	1,000,000	1,000,000	1,000,000	1,000,000	0	0%		
100	100	Debt Service	1,000,000	1,000,000	1,000,000	1,000,000	0	0%		
100	100	Other	1,000,000	1,000,000	1,000,000	1,000,000	0	0%		
100	100	TOTAL OPERATING EXPENSES	11,222,000	12,000,000	11,222,000	12,000,000	778,000	6.6%		
100	100	TOTAL OPERATING REVENUES	11,222,000	12,000,000	11,222,000	12,000,000	778,000	6.6%		
100	100	TOTAL OPERATING DEFICIT	0	0	0	0	0	0%		

LINE	ACCOUNT SUBJECT TITLE	Jan 2001	Feb 2001	Mar 2001	Apr 2001	May 2001	Jun 2001	Jul 2001	Aug 2001	Sep 2001	Oct 2001	Nov 2001	Dec 2001	Total
1	1111 Cash-General Fund	12,222	12,000	172,000	172,000	172,000	172,000	172,000	172,000	172,000	172,000	172,000	172,000	2,254,333
2	1112 Grants/Proj/Fund													
3	1113 Public-Cash Fund	200	200	200	200	200	200	200	200	200	200	200	200	2,400
4	1114 Grants-Feed	0	0	0	0	0	0	0	0	0	0	0	0	0
5	1162 Investment-General Fund	8,120	5,025	5,025	1,103	5,221	8,150	5,722	3,200	5,285	3,200	5,253	4,275	97,222
6	Budgeted Cash Balance Year 1 (1,2,3,4,5)	17,342	17,225	177,225	177,200	177,200	177,200	177,200	177,200	177,200	177,200	177,200	177,200	2,254,255
7	Balance at the end of the Period													
8	Average End of the Month Cash Balance													
9	Total Available for													
10	Excess of Cash Available over Budget													
11	Total Available for													
12	Total Available for													
13	Total Available for													

NewLondonHousingAuthorityFY2002PHAPlan

Operating Budget
 Office of Administration
 Expenses Other Than Salary

U.S. Department of Housing
 and Urban Development
 Office of Management for Housing

Attachment B - Operating Budget

The following information is provided for informational purposes only. It is not intended to be used for budgetary or financial reporting purposes. The information is provided for informational purposes only and should not be used for budgetary or financial reporting purposes. The information is provided for informational purposes only and should not be used for budgetary or financial reporting purposes. The information is provided for informational purposes only and should not be used for budgetary or financial reporting purposes.

DESCRIPTION	01	02	03	04	05	Fiscal Year to Date	
						01/01/02	% Change
1. Local Business (from Special Admin. activities)	100,000	1,450	0%	100,000	0%	100,000	0%
2. Training (for and provided to staff members)	45,000	12,000	26%	45,000	0%	45,000	0%
3. Travel (includes transportation, meetings, hotel and airfare only)	40,000	1,200	3%	40,000	0%	40,000	0%
4. Justice Fees of Jurisdiction	4,000	4,000	100%	4,000	0%	4,000	0%
5. Maintenance of Equipment	4,000	500	12%	4,000	0%	4,000	0%
6. Total Travel	51,000	6,400	12%	51,000	0%	51,000	0%
7. Accounting	30,000	3,000	10%	30,000	0%	30,000	0%
8. Printing	20,000	10,000	50%	20,000	0%	20,000	0%
9. Laundry	20,000	20,000	100%	20,000	0%	20,000	0%
10. Insurance	20,000	20,000	100%	20,000	0%	20,000	0%
11. Medical and Health Insurance (for staff only)	10,000	10,000	100%	10,000	0%	10,000	0%
12. Telephone, Fax, Electronic Services (for staff)	5,000	5,000	100%	5,000	0%	5,000	0%
13. Contractor Agent Fees and Court Costs	5,000	5,000	100%	5,000	0%	5,000	0%
14. Administrative Services (for staff only)	40,000	40,000	100%	40,000	0%	40,000	0%
15. Office Supplies and Office Repairs	40,000	40,000	100%	40,000	0%	40,000	0%
16. Other (for staff) expenses (for staff only)	40,000	40,000	100%	40,000	0%	40,000	0%
17. Total Study	300,000	300,000	100%	300,000	0%	300,000	0%
18. Other (for staff) expenses (for staff only)	100,000	100,000	100%	100,000	0%	100,000	0%
TOTAL OPERATING BUDGET (OTHER THAN SALARY)	1,000,000	1,000,000	100%	1,000,000	0%	1,000,000	0%

The information above is for informational purposes only and should not be used for budgetary or financial reporting purposes. The information is provided for informational purposes only and should not be used for budgetary or financial reporting purposes.

WARNING: HUD will not make any commitment, nor will it make any representation, without the express written approval of HUD. HUD will not make any commitment, nor will it make any representation, without the express written approval of HUD.

[Signature]
 Date: 5/20/02

HUD-2002-01-001
 HUD-2002-01-001

Operating Budget

Summary of Budget Data
and Financial Data

U. S. Department of Housing
and Urban Development
Office of Public and Financial Housing

Informational purposes only

This document provides information on the financial performance of the Housing Authority for the fiscal year ending December 31, 2001. It includes a summary of the operating budget and financial data. The information is presented in a format that is consistent with the HUD Financial Reporting Manual. The information is presented in a format that is consistent with the HUD Financial Reporting Manual. The information is presented in a format that is consistent with the HUD Financial Reporting Manual.

Operating Budget	1,000,000.00	December 31, 2001
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Operating Budget

The operating budget is the financial plan for the Housing Authority for the fiscal year ending December 31, 2001. It includes a summary of the operating budget and financial data. The information is presented in a format that is consistent with the HUD Financial Reporting Manual. The information is presented in a format that is consistent with the HUD Financial Reporting Manual.

Monthly Rent Collection	1,000,000.00	1,000,000.00	1,000,000.00	1,000,000.00
Operating Expenses	1,000,000.00	1,000,000.00	1,000,000.00	1,000,000.00

The operating budget is the financial plan for the Housing Authority for the fiscal year ending December 31, 2001. It includes a summary of the operating budget and financial data. The information is presented in a format that is consistent with the HUD Financial Reporting Manual. The information is presented in a format that is consistent with the HUD Financial Reporting Manual.

Operating Expenses	1,000,000.00	1,000,000.00	1,000,000.00	1,000,000.00
--------------------	--------------	--------------	--------------	--------------

Operating Expenses estimated in the amount of: **1,000,000.00**

The operating budget is the financial plan for the Housing Authority for the fiscal year ending December 31, 2001. It includes a summary of the operating budget and financial data. The information is presented in a format that is consistent with the HUD Financial Reporting Manual. The information is presented in a format that is consistent with the HUD Financial Reporting Manual.

Operating Expenses	1,000,000.00	1,000,000.00	1,000,000.00	1,000,000.00
--------------------	--------------	--------------	--------------	--------------

Operating Expenses estimated in the amount of: **1,000,000.00**

Operating Expenses	1,000,000.00	1,000,000.00	1,000,000.00	1,000,000.00
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NewLondonHousingAuthorityFY2002PHAPlan

Interest on General Fund Investments:				
<p>Column (1) shows the amount of interest on general fund investments and the percentage of the General Fund investment. Column (2) shows the amount of interest on general fund investments on the General Fund investment. Column (3) shows the amount of interest on general fund investments on the General Fund investment. Column (4) shows the amount of interest on general fund investments on the General Fund investment. Column (5) shows the amount of interest on general fund investments on the General Fund investment.</p>				
Estimated Cash Avail. for Investment of	\$170,325	Times Estimated Average T-Bill Rate of	2.20%	
equals	\$3,747	which is	\$1.43 PUM times	2.20% Limit Monthly Available
Estimated Cash Avail. for Investment of	\$4,290	Times Estimated Average T-Bill Rate of	2.20%	
equals	\$196	which is	50.07 PUM times	2.20% Limit Monthly Available
TOTAL Interest on Investments	\$3,943.00		\$1.50	

Operating Expenditures
Summary of Staffing and Salary Data
 Complete information based on the following information: HUD Form 4235, Schedule of Positions and Salaries, and other relevant information.

Quantity of Working Positions	Salary Rate	Salary Rate Through	Rate	Rate
100	\$15,000	\$15,000	\$15,000	\$15,000
100	\$15,000	\$15,000	\$15,000	\$15,000
	\$0			
				\$15,000
				\$15,000

Operating Expenditures
Summary of Staffing and Salary Data
 Complete information based on the following information: HUD Form 4235, Schedule of Positions and Salaries, and other relevant information.

Column (1) shows the amount of interest on general fund investments and the percentage of the General Fund investment. Column (2) shows the amount of interest on general fund investments on the General Fund investment. Column (3) shows the amount of interest on general fund investments on the General Fund investment. Column (4) shows the amount of interest on general fund investments on the General Fund investment. Column (5) shows the amount of interest on general fund investments on the General Fund investment.

Column (1) shows the amount of interest on general fund investments and the percentage of the General Fund investment. Column (2) shows the amount of interest on general fund investments on the General Fund investment. Column (3) shows the amount of interest on general fund investments on the General Fund investment. Column (4) shows the amount of interest on general fund investments on the General Fund investment. Column (5) shows the amount of interest on general fund investments on the General Fund investment.

Column (1) shows the amount of interest on general fund investments and the percentage of the General Fund investment. Column (2) shows the amount of interest on general fund investments on the General Fund investment. Column (3) shows the amount of interest on general fund investments on the General Fund investment. Column (4) shows the amount of interest on general fund investments on the General Fund investment. Column (5) shows the amount of interest on general fund investments on the General Fund investment.

Account Line	Total Number of Positions	HUD Form 4235, Schedule of Positions and Salaries				Total Program Cost
		Regular Full-time Positions	Management	Senior Staff	Contract Positions	
Administrative/Technical Salaries (1)	10	10	\$15,000		\$150,000	\$1,500,000
Administrative/Technical Salaries (2)	0	0				
Administrative/Technical Salaries (3)	10	10	\$15,000		\$150,000	\$1,500,000
Administrative/Technical Salaries (4)	0	0				
Administrative/Technical Salaries (5)	2	2	\$15,000		\$30,000	\$300,000
Administrative/Technical Salaries (6)	0	0				
Administrative/Technical Salaries (7)	0	0				

NewLondonHousingAuthorityFY2002PHAPlan

Special Projects - The following information is provided for the information of the Board of Directors. The following information is provided for the Board of Directors. The following information is provided for the Board of Directors.

Project Name	Project Description
Project A	Project Description A
Project B	Project Description B
Project C	Project Description C
Project D	Project Description D
Project E	Project Description E
Project F	Project Description F
Project G	Project Description G
Project H	Project Description H
Project I	Project Description I
Project J	Project Description J

Summary of the Board of Directors' Living Expenses (COLA) - FY 2002

The following table provides a summary of the Board of Directors' Living Expenses (COLA) for the fiscal year 2002. The amounts are based on the Board of Directors' approved budget and are subject to change based on the Board of Directors' final decision.

Category	Item	Amount	Description	Amount
Board of Directors' Living Expenses (COLA)	Board of Directors' Living Expenses (COLA)	1,000.00	Board of Directors' Living Expenses (COLA)	1,000.00
	Board of Directors' Living Expenses (COLA)	2,000.00	Board of Directors' Living Expenses (COLA)	2,000.00
	Board of Directors' Living Expenses (COLA)	3,000.00	Board of Directors' Living Expenses (COLA)	3,000.00
	Board of Directors' Living Expenses (COLA)	4,000.00	Board of Directors' Living Expenses (COLA)	4,000.00
	Board of Directors' Living Expenses (COLA)	5,000.00	Board of Directors' Living Expenses (COLA)	5,000.00
	Board of Directors' Living Expenses (COLA)	6,000.00	Board of Directors' Living Expenses (COLA)	6,000.00
	Board of Directors' Living Expenses (COLA)	7,000.00	Board of Directors' Living Expenses (COLA)	7,000.00
	Board of Directors' Living Expenses (COLA)	8,000.00	Board of Directors' Living Expenses (COLA)	8,000.00
	Board of Directors' Living Expenses (COLA)	9,000.00	Board of Directors' Living Expenses (COLA)	9,000.00
	Board of Directors' Living Expenses (COLA)	10,000.00	Board of Directors' Living Expenses (COLA)	10,000.00
Board of Directors' Living Expenses (COLA) - Total	Board of Directors' Living Expenses (COLA) - Total	100,000.00	Board of Directors' Living Expenses (COLA) - Total	100,000.00
	Board of Directors' Living Expenses (COLA) - Total	200,000.00	Board of Directors' Living Expenses (COLA) - Total	200,000.00
	Board of Directors' Living Expenses (COLA) - Total	300,000.00	Board of Directors' Living Expenses (COLA) - Total	300,000.00
	Board of Directors' Living Expenses (COLA) - Total	400,000.00	Board of Directors' Living Expenses (COLA) - Total	400,000.00
	Board of Directors' Living Expenses (COLA) - Total	500,000.00	Board of Directors' Living Expenses (COLA) - Total	500,000.00
	Board of Directors' Living Expenses (COLA) - Total	600,000.00	Board of Directors' Living Expenses (COLA) - Total	600,000.00
	Board of Directors' Living Expenses (COLA) - Total	700,000.00	Board of Directors' Living Expenses (COLA) - Total	700,000.00
	Board of Directors' Living Expenses (COLA) - Total	800,000.00	Board of Directors' Living Expenses (COLA) - Total	800,000.00
	Board of Directors' Living Expenses (COLA) - Total	900,000.00	Board of Directors' Living Expenses (COLA) - Total	900,000.00
	Board of Directors' Living Expenses (COLA) - Total	1,000,000.00	Board of Directors' Living Expenses (COLA) - Total	1,000,000.00

The following table provides a summary of the Board of Directors' Living Expenses (COLA) for the fiscal year 2002. The amounts are based on the Board of Directors' approved budget and are subject to change based on the Board of Directors' final decision.

Summary of the Board of Directors' Living Expenses (COLA) - FY 2002

The following table provides a summary of the Board of Directors' Living Expenses (COLA) for the fiscal year 2002. The amounts are based on the Board of Directors' approved budget and are subject to change based on the Board of Directors' final decision.

Board of Directors' Living Expenses (COLA)	100,000.00
--	------------

The following table provides a summary of the Board of Directors' Living Expenses (COLA) for the fiscal year 2002. The amounts are based on the Board of Directors' approved budget and are subject to change based on the Board of Directors' final decision.

Board of Directors' Living Expenses (COLA)	100,000.00	Board of Directors' Living Expenses (COLA)	100,000.00
Board of Directors' Living Expenses (COLA)	200,000.00	Board of Directors' Living Expenses (COLA)	200,000.00
Board of Directors' Living Expenses (COLA)	300,000.00	Board of Directors' Living Expenses (COLA)	300,000.00
Board of Directors' Living Expenses (COLA)	400,000.00	Board of Directors' Living Expenses (COLA)	400,000.00
Board of Directors' Living Expenses (COLA)	500,000.00	Board of Directors' Living Expenses (COLA)	500,000.00
Board of Directors' Living Expenses (COLA)	600,000.00	Board of Directors' Living Expenses (COLA)	600,000.00
Board of Directors' Living Expenses (COLA)	700,000.00	Board of Directors' Living Expenses (COLA)	700,000.00
Board of Directors' Living Expenses (COLA)	800,000.00	Board of Directors' Living Expenses (COLA)	800,000.00
Board of Directors' Living Expenses (COLA)	900,000.00	Board of Directors' Living Expenses (COLA)	900,000.00
Board of Directors' Living Expenses (COLA)	1,000,000.00	Board of Directors' Living Expenses (COLA)	1,000,000.00
TOTAL CONTRACTS	10,000,000.00	TOTAL CONTRACTS	10,000,000.00

The following table provides a summary of the Board of Directors' Living Expenses (COLA) for the fiscal year 2002. The amounts are based on the Board of Directors' approved budget and are subject to change based on the Board of Directors' final decision.

NewLondonHousingAuthorityFY2002PHAPlan

Insurance - Coverage for the City of New London, Connecticut, for the fiscal year ending on the 31st day of December, 2002, as shown on the General Fund of the City of New London, Connecticut, for the year 2002.

Fire and Extended Coverage	\$10,510
General Liability	\$7,200
Automobile	\$1,700
Workman's Compensation	\$7,200
Fidelity Bond	\$20
Public Officials Liability	\$500
Boiler and Machinery	\$2,100
TOTAL INSURANCE:	\$27,230

Employee Health and Retirement - The City of New London, Connecticut, for the fiscal year ending on the 31st day of December, 2002, as shown on the General Fund of the City of New London, Connecticut, for the year 2002.

PCA	75% of Total Payroll	\$20,000	expense	\$27,270	per year
Health, Health & Life and Accidental Death			expense	\$20,000	per year
Retirement	1.7% of Total Payroll	\$4,500	expense	\$10,000	per year
Unemployment	1.7% of Total Payroll	\$4,500	expense	\$8,000	per year
TOTAL BENEFITS:				\$65,270	

Estimated Salary - The City of New London, Connecticut, for the fiscal year ending on the 31st day of December, 2002, as shown on the General Fund of the City of New London, Connecticut, for the year 2002.

Estimated at \$7,000 for the Requested Budget Year.

City of New London, Connecticut, and the State of Connecticut, for the fiscal year ending on the 31st day of December, 2002, as shown on the General Fund of the City of New London, Connecticut, for the year 2002.

See HUD 52957 (Schedule of Nonroutine Expenditures)

Department of Public Works, for the fiscal year ending on the 31st day of December, 2002, as shown on the General Fund of the City of New London, Connecticut, for the year 2002.

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U.S. Department of Housing and Urban Development
 Office of Assistant Secretary for Housing

OMB Approval No. 4220-0142
 HUD-99-1003
 Page 1 of 3

Calculation of Allowable Utilities Expense Level
 HUD-Covered Rental Housing at Opening Fund

Line	Description	Utilities Available	Utilities Used (Based on Gross Square Footage)	Percentage of Utilities Available Used	Allowable Utilities Expense Level
01	Electricity	150,000	120,000	80%	120,000
02	Gas	120,000	96,000	80%	96,000
03	Water	80,000	64,000	80%	64,000
04	Sewer	60,000	48,000	80%	48,000
05	Trash	40,000	32,000	80%	32,000
06	Telephone	20,000	16,000	80%	16,000
07	Cable TV	10,000	8,000	80%	8,000
08	Internet	5,000	4,000	80%	4,000
09	Other	5,000	4,000	80%	4,000
10	Subtotal	520,000	414,000	79.6%	414,000
11	Other Utilities	100,000	0	0%	0
12	Other Utilities	50,000	0	0%	0
13	Other Utilities	50,000	0	0%	0
14	Other Utilities	50,000	0	0%	0
15	Other Utilities	50,000	0	0%	0
16	Other Utilities	50,000	0	0%	0
17	Other Utilities	50,000	0	0%	0
18	Other Utilities	50,000	0	0%	0
19	Other Utilities	50,000	0	0%	0
20	Other Utilities	50,000	0	0%	0
21	Other Utilities	50,000	0	0%	0
22	Other Utilities	50,000	0	0%	0
23	Other Utilities	50,000	0	0%	0
24	Other Utilities	50,000	0	0%	0
25	Other Utilities	50,000	0	0%	0
26	Other Utilities	50,000	0	0%	0
27	Other Utilities	50,000	0	0%	0
28	Other Utilities	50,000	0	0%	0
29	Other Utilities	50,000	0	0%	0
30	Other Utilities	50,000	0	0%	0
31	Other Utilities	50,000	0	0%	0
32	Other Utilities	50,000	0	0%	0
33	Other Utilities	50,000	0	0%	0
34	Other Utilities	50,000	0	0%	0
35	Other Utilities	50,000	0	0%	0
36	Other Utilities	50,000	0	0%	0
37	Other Utilities	50,000	0	0%	0
38	Other Utilities	50,000	0	0%	0
39	Other Utilities	50,000	0	0%	0
40	Other Utilities	50,000	0	0%	0
41	Other Utilities	50,000	0	0%	0
42	Other Utilities	50,000	0	0%	0
43	Other Utilities	50,000	0	0%	0
44	Other Utilities	50,000	0	0%	0
45	Other Utilities	50,000	0	0%	0
46	Other Utilities	50,000	0	0%	0
47	Other Utilities	50,000	0	0%	0
48	Other Utilities	50,000	0	0%	0
49	Other Utilities	50,000	0	0%	0
50	Other Utilities	50,000	0	0%	0
51	Other Utilities	50,000	0	0%	0
52	Other Utilities	50,000	0	0%	0
53	Other Utilities	50,000	0	0%	0
54	Other Utilities	50,000	0	0%	0
55	Other Utilities	50,000	0	0%	0
56	Other Utilities	50,000	0	0%	0
57	Other Utilities	50,000	0	0%	0
58	Other Utilities	50,000	0	0%	0
59	Other Utilities	50,000	0	0%	0
60	Other Utilities	50,000	0	0%	0
61	Other Utilities	50,000	0	0%	0
62	Other Utilities	50,000	0	0%	0
63	Other Utilities	50,000	0	0%	0
64	Other Utilities	50,000	0	0%	0
65	Other Utilities	50,000	0	0%	0
66	Other Utilities	50,000	0	0%	0
67	Other Utilities	50,000	0	0%	0
68	Other Utilities	50,000	0	0%	0
69	Other Utilities	50,000	0	0%	0
70	Other Utilities	50,000	0	0%	0
71	Other Utilities	50,000	0	0%	0
72	Other Utilities	50,000	0	0%	0
73	Other Utilities	50,000	0	0%	0
74	Other Utilities	50,000	0	0%	0
75	Other Utilities	50,000	0	0%	0
76	Other Utilities	50,000	0	0%	0
77	Other Utilities	50,000	0	0%	0
78	Other Utilities	50,000	0	0%	0
79	Other Utilities	50,000	0	0%	0
80	Other Utilities	50,000	0	0%	0
81	Other Utilities	50,000	0	0%	0
82	Other Utilities	50,000	0	0%	0
83	Other Utilities	50,000	0	0%	0
84	Other Utilities	50,000	0	0%	0
85	Other Utilities	50,000	0	0%	0
86	Other Utilities	50,000	0	0%	0
87	Other Utilities	50,000	0	0%	0
88	Other Utilities	50,000	0	0%	0
89	Other Utilities	50,000	0	0%	0
90	Other Utilities	50,000	0	0%	0
91	Other Utilities	50,000	0	0%	0
92	Other Utilities	50,000	0	0%	0
93	Other Utilities	50,000	0	0%	0
94	Other Utilities	50,000	0	0%	0
95	Other Utilities	50,000	0	0%	0
96	Other Utilities	50,000	0	0%	0
97	Other Utilities	50,000	0	0%	0
98	Other Utilities	50,000	0	0%	0
99	Other Utilities	50,000	0	0%	0
100	Other Utilities	50,000	0	0%	0

HUD-99-1003

NewLondonHousingAuthorityFY2002PHAPlan

NEW LONDON HOUSING AUTHORITY
 Form HUD-52723--Part D--Add-Ons
 for the Fiscal Year Ending: DECEMBER 31, 2002

Line 25 - FICA Contributions

Base Yr. Rate	5.85%	Base Year Base	\$44,100 (e.g., Maximum Wage)
2002 Rate	7.65%	2002 Base	\$60,000 (e.g., Maximum Wage)

Wages Subject to FICA:

Salary Category	Using 2002 Base	Using Base Yr. Base
Administration	\$121,983	\$ 110,000
Tenant Services	\$7,377	\$ 0
Utility Labor	\$0	\$ 0
Maintenance	\$226,705	\$ 228,000
TOTAL:	\$356,065	\$ 336,000

Wages	X	Rate	=	FICA
2002	\$356,065	X	7.65%	= \$27,239
Base	\$336,000	X	6.85%	= \$22,896
				\$7,583

LINE 25, HUD-52723 = \$7,583

Line 26 - Unemployment Compensation

2002 Rate	1.90%	2002 Maximum Wage of	\$16,000
-----------	-------	----------------------	----------

Wages Subject to SUTA:

Salary Category	2002 Budget
Administration	122,572
Tenant Services	\$ 7,413
Utility Labor	\$ 0
Maintenance	\$ 227,535
TOTAL:	\$357,540 (Check this total, and see 52573 pg. 1)

Wages	X	Rate	=	Unemployment
2002	\$357,540	X	1.90%	= \$6,793
				\$6,783

LINE 26, HUD-52723 = \$6,783

**Operating Fund
Calculation of Operating Subsidy
PHA-Owned/Rentals Housing**

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 4220-0045

42 CFR 200.303 (b) Public Housing Agency

Section 1

NEW LONDON HOUSING AUTHORITY
70 Water Ave.
NEW LONDON CT

PHAPROG: 04-000000-0000
 Yes No

PHASE of PHAPROG:
 In progress
 Completed

No. of Units	Initial Home Number (HAC)	Fiscal Year	PHAPROG	PHAPROG Number	PHAPROG Description	PHAPROG Status
225	9	2001	04-000000-0000	04-0000		

Section 2

Line No.	Description	Amount (\$)	PHAPROG Status
Part A. Allowable Expenses and Additions			
01	Net out allowable expense flow (Part A, Line 04) with HUD 50220 (negative only)	\$275.49	
02	Part A, Line 01 multiplied by 0.05	\$1.27	
03	Less: non HUD 50220-B, F applicable (see instruction)		
04	Required (positive) or (HUD Form HUD-50220-A (see instruction))		
05	Adjusted Net out allowable expense flow (previous line) (see instruction)		
06	Total of Part A, Lines 01, 02, 03, and 05	\$276.76	
07	PHAPROG factor	1.0170	
08	Required a PHAPROG expense flow (PHAPROG, Line 06 times line 07)	\$280.88	
09	PHAPROG factor		
10	PHAPROG factor		
11	Allowable PHAPROG expense flow from Form HUD-50220-A	\$275.49	
12	Less: PHAPROG of Independent (Part A, Line 03) through 05	\$1.27	
13	Total allowable to dwelling unit		
14	Total Allowable Expenses and Additions (Sum of Part A, Line 08 and 13)	\$279.22	
Part B. Dwelling Rental Income			
15	Total number of units	225	
16	Number of occupied units (see instruction)	225	
17	Average monthly dwelling rental charge per unit for current budget year (Part B, Line 01 divided by Line 16)	\$124.14	
18	Average monthly dwelling rental charge per unit for other budget year	\$101.87	
19	Average monthly dwelling rental charge per unit for budget year 2 (see instruction)	\$106.35	
20	Three-year average monthly dwelling rental charge per unit (Part B, Line 01 + Line 04 + Line 05) divided by 3	\$115.66	
21	PHAPROG factor (Part B, Line 02 + Line 06) divided by 2	\$145.60	
22	Average monthly dwelling rental charge per unit (lesser of Part B, Line 01 or Line 07)	\$124.14	
23	PHAPROG income adjustment factor	1.05	
24	Projector working utility and/or PHAPROG charge per unit (Part B, Line 08 times Line 23)	\$130.28	
25	PHAPROG occupancy charge (see instruction from HUD-50220-B (PHAPROG Form HUD-50220-B))	\$25.00	
26	PHAPROG average monthly dwelling rental income per unit (Part B, Line 10 times Line 21)	\$152.32	
Part C. Non-dwelling Income			
27	Other income	\$17.31	
28	Total non-dwelling receipts (Part C, Line 27 plus Part C, Line 01)	\$17.31	
29	PHAPROG deficit or (income) (Part C, Line 14 minus Part C, Line 01)	\$222.27	
30	PHAPROG factor		
31	Total PHAPROG deficit or (income) (Part C, Line 02 times Part C, Line 29)	\$225.49	

Form HUD-50220-B (2-00) (New Budget Year)
beginning 10/1/2001 and thereafter

Page 1

Issued: 10/01/00 HUD-50220-B (10/00)

NewLondonHousingAuthorityFY2002PHAPlan

Line	Description	Separate Line Item (Check, Dollar)	HUD Maximum (Check, Dollar)
Part D: Add-ons for changes in federal law or regulation and other eligibility			
01	Energy Conservation		
02	Unemployment Compensation	\$2,800	
03	Public Safety Education Program	\$5,700	
04	Energy Add-On for conservation		
05	Additional regulated		
06	Maximum IPHY allowed to subsidy		
07	Long-term contract unit		
08	Energy System for Development		
09	Energy Efficiency (Advanced) Participation		
10	Occupant Energy System, Line 02	200	
11	Energy Add-On		
12	Energy Efficiency (Advanced) Participation (Total of Part D, Lines 09-11)	200	
13	Energy Efficiency (Advanced) Participation (Total of Part D, Lines 02-12)	\$2,800	
14	Other approved funding not included in HUD Part D	\$0	
15	Total add-on items of Part D (Lines 01, 02, 03, 04, 05, 06, 07, 08, 09, 10, 11, 12, 13, 14)	\$2,800	
Part E: Calculation of Operating Subsidy Eligibility Before Year-Over Adjustments			
01	Permitted (before) before adjustments (Total of Part D, Lines 01-15)	\$2,800	
02	Capital cost of independent investment	\$5,864	
03	Operating subsidy eligibility before adjustments (Total of Part E, Lines 01-02)	\$804,622	
Part F: Calculation of Operating Subsidy Approvable for Subject Fiscal Year (note: Do not include the total of the subpart F)			
01	Operating subsidy eligible for prior year	\$0	
02	Add back for prior year operating subsidy (if applicable)	\$0	
03	Additional eligible for prior fiscal year to be obligated in subject fiscal year	\$0	
04	HUD approved independent investment	\$0	
05	Other projects	\$0	
06	Other projects	\$0	
07	Other projects	\$0	
08	Other projects	\$0	
09	Operating subsidy approvable for subject fiscal year (Total of Part F, Lines 01-08)	\$804,622	
HUD-Only (Note: Do not include the total of the subpart F)			
10	Operating subsidy approvable for subject fiscal year (Total of Part F, Lines 01-08)		
11	Operating subsidy approvable for subject fiscal year (Total of Part F, Lines 01-08)		
12	Operating subsidy approvable for subject fiscal year (Total of Part F, Lines 01-08)		
Part G: Memorandum of Accounts Due HUD, Including Amounts on Payment Schedule			
01	Total amount due to HUD for subject fiscal year (Total of Line 01 of Form HUD-52722 for previous fiscal year)		
02	Total amount to be collected by subject fiscal year (Total of Line 01 of Form HUD-52722 for subject fiscal year)		
03	Total amount due to HUD (Total of Line 01 of Form HUD-52722 for subject fiscal year minus Total of Line 02 of Form HUD-52722 for subject fiscal year)	\$0	

NewLondonHousingAuthorityFY2002PHAPlan

Line No	Description	Forward by FHA (Whole Dollars)	FY 02 Modified Line (Whole Dollars)
Part 4. Calculation of Adjustments for Subject Fiscal Year			
This part is to be completed only after the subject fiscal year has ended.			
0	Indicate the type of adjustment that has been reflected on this form: <input type="checkbox"/> Utility Adjustment <input type="checkbox"/> HUD discretionary adjustment (Specify under Section 3)		
02	Utility adjustment from form HUD-52722-B		(\$5,500)
03	Deficit or (income) after adjustment total of Part E, Line 01 and Part H, Line 02		\$228,152
04	Operating subsidy eligibility after year-end adjustments (greater of Part E, Line 03 or Part H, Line 03)		\$228,152
05	As of Line 04 answer from HUD-52722 approved during subject FY (Do not use Part E, Line 03 of this revision)		\$485,500
06	Net adjustment or surplus for subject year (Part H, Line 04 minus Part H, Line 05)		\$222,652
07	Utility adjustment (per contract) as of Part H, Line 07		(\$5,500)
08	Total HUD discretionary adjustment (Part H, Line 06 minus Line 07)		\$228,152
09	Unfunded portion of utility adjustment due to proration		50
10	Unfunded portion of HUD discretionary adjustment due to proration		50
11	Adjusted utility adjustment (Part E, Line 07 plus Line 09)		(\$5,500)
12	Adjusted HUD discretionary adjustment (Part H, Line 08 plus Line 10)		\$228,152

Section 3

Footnote (provide name and title in Part 3)

I hereby certify that the information provided herein is true and accurate. I understand that any false information provided may result in a civil penalty under the False Information Act (18 U.S.C. 1014, 1015, 1512, 31 U.S.C. 3729, 3852).

Print name of the Federal or Passover representative: _____
 Signature of the Federal or Passover representative: _____
 Date: _____

NewLondonHousingAuthorityFY2002PHAPlan

Operating Budget

U.S. Department of Housing
and Urban Development
Office of Public and Public Housing

DATE: 03/05/2001 10:00:00 AM

The page for this budget is one of the pages supporting the main budget.

A. Fiscal Year		B. Fiscal Year Ending	C. Fiscal Year	D. Type of Budget	
2002		09/30/2001	2002	01	02
E. Agency		F. Division		G. Program	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
H. Major Activity		I. Sub Activity		J. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
K. Major Activity		L. Sub Activity		M. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
N. Major Activity		O. Sub Activity		P. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
Q. Major Activity		R. Sub Activity		S. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
T. Major Activity		U. Sub Activity		V. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
W. Major Activity		X. Sub Activity		Y. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
Z. Major Activity		AA. Sub Activity		AB. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
AC. Major Activity		AD. Sub Activity		AE. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
AF. Major Activity		AG. Sub Activity		AH. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
AI. Major Activity		AJ. Sub Activity		AK. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
AL. Major Activity		AM. Sub Activity		AN. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
AO. Major Activity		AP. Sub Activity		AQ. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
AR. Major Activity		AS. Sub Activity		AT. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
AU. Major Activity		AV. Sub Activity		AW. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
AX. Major Activity		AY. Sub Activity		AZ. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
BA. Major Activity		BB. Sub Activity		BC. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
BD. Major Activity		BE. Sub Activity		BF. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
BG. Major Activity		BH. Sub Activity		BI. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
BJ. Major Activity		BK. Sub Activity		BL. Object Class	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	
BL. Total		BM. Total		BN. Total	
NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY		NEW LONDON HOUSING AUTHORITY	

Print in black ink only

Page 1 of 2

Date: 03/05/2001

HUD-50075-000

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

NewLondonHousingAuthorityFY2002PHAPlan

Name of PHA/LEA NEW LONDON HOUSING AUTHORITY		Fiscal Year Ending DECEMBER 31, 2002	
		Reporting Category	P-WHA Category
201	202	Part I - Available Operating Reserves - End of Current Budget Year Part I - Available Operating Reserves - End of 2002 Part I - Line 190, when P Form 100-2002	HUD Modification
			\$430,000

Part I - Previous Fiscal Carried-over Actual Operating Reserves at FY End			
700	Operating Reserves at End of Previous Fiscal Year - Actual for FY 2000	DECEMBER 31, 2000	\$1,114,976
701	Provision for Operating Reserves - Carried-over from previous year		
	X Estimated for FY	DECEMBER 31, 2001	\$64,914
	Actual for FY	DECEMBER 31, 2001	
800	Operating Reserves at End of Current Budget Year (Projected)		\$210,986
	X Estimated for FY	DECEMBER 31, 2001	
	Actual for FY	DECEMBER 31, 2001	
810	Provision for Operating Reserves - Reserve at Budget Year End value for FY	DECEMBER 31, 2000	\$5,000
811	Operating Reserves at End of Previous Budget Year (Projected for FY)	DECEMBER 31, 2002	\$210,986
820	Check Reserve Requirements	Part I, Line 400	\$20,000

Continued

PHO/PHO Approval:

Signature: _____
 Title: _____
 Name: *William Lane*

Date: *3/28/02*

PHO/PHO Approval:

Signature: _____
 Title: _____
 Name: _____

Date: _____

PHO/PHO Approval:

Page 2 of 4

PHO/PHO Approval:

HUD-5234 (Rev. 11/99)

**PHAPlan
TableLibrary**

**Component7
CapitalFundProgram AnnualStatement
PartsI,II,andII**

**AnnualStatement
CapitalFundProgram(CFP)PartI:Summary**

CapitalFundGrantNumber CT26P02250201FFYofGrantApproval: (10/2000)

OriginalAnnual StatementXRevision

LineNo.	SummarybyDevelopmentAccount	TotalEstimated Cost
1	TotalNon -CGPFunds	-0-
2	1406Operations	
3	1408ManagementImprovements	37,400-
4	1410Administration	33,000
5	1411Audit	-0-
6	1415 LiquidatedDamages	-0-
7	1430FeesandCosts	27,000
8	1440SiteAcquisition	-0-
9	1450SiteImprovement	0
10	1460DwellingStructures	220,291
11	1465.1DwellingEquipment -Nonexpendable	26,345
12	1470NondwellingStructures	-0-
13	1475NondwellingEquipment	-30,000-
14	1485Demolition	-0-
15	1490ReplacementReserve	-0-
16	1492MovingtoWorkDemonstration	-0-
17	1495.1RelocationCosts	-0-
18	1498ModUsedforDevelopment	-0-
19	1502Contingency	0
20	AmountofAnnualGrant(Sumoflines2 -19)	374,036
21	Amountofline20RelatedtoLBPActivities	-0-
22	Amountofline20RelatedtoSection504Compliance	127,176
23	Amountofline20RelatedtoSecurity	30000-
24	Amountofline20Relatedto EnergyConservation Measures	-61115

**AnnualStatement
CapitalFundProgram(CFP)PartII:SupportingTable**

2000FYGrantRevision

Development Number/Name HA-WideActivities	GeneralDescriptionofMajor WorkCategories	Development AccountNumber	Total Estimate Cost
PHAWide	Administration	1410	\$33,000
PHAWide	ManagementImprove.	1408	\$37,400
PHAWide	FeesandCosts	1430	\$27,000
CT-022-2	AccessibleApartments	1460	\$57,176
CT-022-2	AccessibleRouteADA	1460	\$10,000
CT-022-2	RepairBalconiesADA	1460	\$25,000
CT-022-2	ElevatorUpgradeADA (toslowtherunnerdowntoallow moretimeforentrancetolevator)	1465.1	\$5,000
CT-022-1	Trash RoomDoors	1465.1	\$11,345
CT-022-1	RoofRepair	1460	\$6,000
CT-022-1	PurchaseGasBurner	1460	\$15,000
CT-022-1	NewTrashCompactors	1465.1	\$10,000
CT-022-2	RepairBalconies	1460	\$16,000
CT-022-2	MakeupAirUnit/Boiler	1460	\$10,000
CT-022-2	ADA Door Hardware(entry doors)	1460	\$30,000
CT-022-2	Domestic Boiler, New	1460	\$51,115
CT-022-2	Digital Camera System	1475	\$30,000

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**AnnualStatement
CapitalFundProgram(CFP)PartIII:ImplementationSchedule
2000FYGrantRevision**

Development Number/Name HA-WideActivities	AllFundsObligated (QuarterEndingDate)	AllFundsExpended (QuarterEndingDate)
CT-022-2	09/30/2002	06/30/03
CT-022-1	09/30/2002	06/30/03
PHA-Wide Activities	09/30/2002	06/30/03

Optional Table for 5 - Year Action Plan for Capital Fund (Component 7) Attachment A

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5 - Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5 - Year Action Plan Tables			
			Planned Start Date (HA Fiscal Year)
			2004

NewLondonHousingAuthorityFY2002PHAPlan

Totalestimatedcostovernext5years		

OptionalPublicHousingAssetManagementTable

SeeTechnicalGuidanceforinstructions ontheuseofthistable,includinginformationtobeprovided .

PublicHousingAssetManagement								
Development Identification		ActivityDescription						
Name, Number, and Location	Numberand Typeofunits	CapitalFundProgram PartsIIandIII Component7a	Development Activities Component7b	Demolition/ disposition Component8	Designated housing Component9	Conversion Component10	Home-ownership Component 11a	Other (describe) Component 17

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary						
PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT026PO2250201 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total Non -CFP Funds					
2	1406 Operations	\$38,000				
3	1408 Management Improvements	\$38,000				
4	1410 Administration	\$35,000				
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	\$25,000				
8	1440 Site Acquisition					
9	1450 Site Improvement	\$50,000				
10	1460 Dwelling Structures	\$194,115				
11	1465.1 Dwelling Equipment — Nonexpendable					
12	1470 Nondwelling Structures					
13	1475 Nondwelling Equipment					
14	1485 Demolition					
15	1490 Replacement Reserve					
16	1492 Moving to Work Demonstration					
17	1495.1 Relocation Costs					
18	1499 Development Activities					
19	1501 Collateralization or Debt Service					

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHAName: New London Housing Authority	Grant Type and Number Capital Fund Program Grant No: CT026PO2250201 Replacement Housing Factor Grant No:	Federal FY of Grant: 2002
---------------------------------------	--	------------------------------

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 - 20)	\$380,115			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security - Soft Costs				
25	Amount of Line 21 Related to Security - Hard Costs	\$50,000			
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHAName: NewLondonHousingAuthority		GrantTypeandNumber CapitalFundProgramGrantNo: CT026PO2250201 ReplacementHousingFactorGrantNo:				FederalFYofGrant: 2002		
Development Number Name/HA-Wide Activities	GeneralDescriptionofMajorWork Categories	Dev.AcctNo.	Quantity	TotalEstimatedCost		TotalActualCost		Statusof Work
				Original	Revised	Funds Obligated	Funds Expended	
CT-022-2	NewPerimeterFencing	1450	1	50,000		06/03	12/03	
CT -022-2	ElevatorUpgrade	1460	100	\$75,000		03/03	12/03	
CT-022-2	Abatement&ReplacementofAsbestos Floors(partial)	1460	109	\$100,000		09/03	03/04	
CT-022-2	UpgradeofBalconies/LoungeAreas	1460	10	\$25,000		06/03	03/04	

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part III: Implementation Schedule**

PHAName: New London Housing Authority		Grant Type and Number Capital Fund Program No: CT026PO2250201 Replacement Housing Factor No:			Federal FY of Grant: 2002		
---------------------------------------	--	---	--	--	---------------------------	--	--

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
CT-022-2	09/03			03/04			

CapitalFundProgramFive -YearActionPlan
PartI:Summary

PHAName: NewLondonHousing Authority		<input checked="" type="checkbox"/> Original5 -YearPlan <input type="checkbox"/> RevisionNo:			
Development Number/Name/HA-Wide	Year1	WorkStatementforYear2 FFYGrant: PHAFY:2003	WorkStatementforYear3 FFYGrant: PHAFY:2004	WorkStatementforYear4 FFYGrant: PHAFY:2005	WorkStatementforYear5 FFYGrant: PHAFY:2006
	Annual Statement				
CT-022-02		NewKitchens	CleanExhaustDuctwork& Repair/ReplaceFans	HallwayCeiling(lightning) Replace	ReplaceApartment Windows
CT-022-02		AbatementandReplacementoffloors (complete)	ReplaceBalances(stairwaywindow)	ReplaceDamagedCallfor AidEquipment&Wiring	ReplaceTrashCompactors
CT-022-02		RepairExteriorofBuilding	ReplaceElectricalSystem	ReplaceShowerValves	SiteUpgrades
CT-022-02				SiteImprovement(Walls andLandscaping)	
CT-022-02				RepairFountain	
CFPFundsListedfor 5-yearplanning		380,115	3 80,115	380,115	380,115
ReplacementHousing FactorFunds					

CapitalFundProgramFive-YearActionPlan
PartII:SupportingPages —WorkActivities

ActivitiesforYear :4__ FFYGrant:2005 PHAFY:2005			ActivitiesforYear: 5__ FFYGrant:2006 PHAFY:2006		
Development Name/Number	MajorWork Categories	EstimatedCost	Development Name/Number	MajorWork Categories	EstimatedCost
022-2HampsteadSt	HallwayLighting	\$75,000	022-2HampsteadSt	ReplaceallWindows	\$200,000
AsAbove	ReplaceDamagedCall forAidEquipment& Wiring	\$24,000	AsAbove	ReplaceTrash Compactors	\$20,000
AsAbove	ReplaceShowerValves	\$24,000	AsAbove	SiteUpgrades	\$30,000
AsAbove	SiteImprove.(walls& Landscaping)	\$100,000			
	RepairFountain	\$20,000			
Subtotal		\$243,000			250,000
Subtotal		\$380,115			\$380,115
TotalCFPEstimatedCost		\$380,115			\$380,115

CapitalFundProgramFive -YearActionPlan
PartI:Summary

SAMPLE

PHAName <i>AnytownHousing Authority</i>						<input type="checkbox"/> Original5 -YearPlan <input type="checkbox"/> RevisionNo:
Development Number/Name/HA-Wide	Year1	WorkStatementforYear2 FFYGrant: 2002 PHAFY: 2002	WorkStatementforYear3 FFYGrant: 2003 PHAFY: 2003	WorkStatementforYear4 FFYGrant: 2004 PHAFY: 2004	WorkStatementforYear5 FFYGrant: 2005 PHAFY: 2005	
	Annual Statement					
<i>10-01/MainStreet</i>		<i>\$80,000</i>	<i>\$36,000</i>	<i>\$65,000</i>	<i>\$55,000</i>	
<i>10-02/Broadway</i>		<i>\$90,000</i>	<i>\$40,900</i>	<i>\$40,000</i>	<i>\$43,000</i>	
<i>HA-wide</i>		<i>\$100,000</i>	<i>\$50,000</i>	<i>\$35,000</i>	<i>\$27,000</i>	
CFPFundsListedfor 5-yearplanning		<i>\$270,000</i>	<i>\$162,900</i>		<i>125,000</i>	
ReplacementHousing FactorFunds		<i>\$40,000</i>				

Capital Fund Program Five - Year Action Plan
Part II: Supporting Pages — Work Activities

SAMPLE (continued)

Activities for Year: <u>4</u> <u> </u> FFY Grant: 2004 PHAFY: 2004			Activities for Year: <u>5</u> <u> </u> FFY Grant: 2005 PHAFY: 2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
10-01/Main Street	Storage sheds and landscaping	\$65,000	10-01/Main Street	Replace bathroom tile	\$55,000
10-02/Broadway	Tub/shower replacement	\$40,000	10-02/Broadway	New gutters and interior doors	\$43,000
HA-wide	Lead-based paint abatement	\$35,000	HA-wide	Office Furniture	\$27,000
Total CFPEstimatedCost		\$140,000			\$125,000