

PHA Plans

5 Year Plan for Fiscal Years 2000-2004

Annual Plan for Fiscal Year 2001

NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED
IN ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH
NOTICES

HUD 50075
OMB Approval No: 2577-0226
Expires: 03/31/2002

PHA Plan

Agency Identification

PHA Name City of Tyler

PITA Number: TX-456

PHA Fiscal Year Beginning: (mmlyyyy) 10/200 1

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- XE]** Main administrative office of the PHA
- I]** PHA development management offices
- LI** PHA local offices

Display Locations For PITA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- x Main administrative office of the PHA **Li** PHA development management offices
- X PHA local offices
- LI** Main administrative office of the local government
- Li** Main administrative office of the County government
- E]** Main administrative office of the State government
- X Public library
- Li** PHA website
- X Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- x Main business office of the PHA **Li** PHA development management offices
- LI** Other (list below)

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

X The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

X The PHA's mission is: (state mission here) To assist organization and individuals through financial resources to provide services which benefit low and moderate income persons. To provide affordable housing and fund activities which improve public facilities and services for low/moderate person, and instill a sense of community pride and purpose to initiate neighborhood revitalization in our city.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.

(Quantifiable measures would include targets such as: numbers of families served or PHA scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

X PHA Goal: Expand the supply of assisted housing
Objectives:

X Apply for additional rental vouchers:

Li Reduce public housing vacancies:

X Leverage private or other public funds to create additional housing opportunities:

X Acquire or build units or developments

Li Other (list below)

X PHA Goal: Improve the quality of assisted housing
Objectives:

Li Improve public housing management: (PHAS score)

X Improve voucher management: (SEMAP score)

X Increase customer satisfaction:

X Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)

Li Renovate or modernize public housing units:

- LI Demolish or dispose of obsolete public housing:
 - Li Provide replacement public housing:
 - [I] Provide replacement vouchers:
 - LI Other: (list below)
- LI PHA Goal: Increase assisted housing choices

Objectives:

- XE] Provide voucher mobility counseling:
- XLI Conduct outreach efforts to potential voucher landlords Li Increase voucher payment standards
- XE] Implement voucher homeownership program:
- Li Implement public housing or other homeownership programs:
- [I Implement public housing site-based waiting lists:
- [E] Convert public housing to vouchers:
- Li Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

XLI PHA Goal: Provide an improved living environment

Objectives:

- XLI Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Li Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Li Implement public housing security improvements:
- LI Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Li Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

XLI PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- XLI Increase the number and percentage of employed persons in assisted families:
- XLI Provide or attract supportive services to improve assistance recipients' employability:
- XLI Provide or attract supportive services to increase independence for the elderly or families with disabilities.

Li Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

XE] PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

XLI Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:

XLI Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:

XLI Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:

Li Other: (list below)

Other PHA Goals and Objectives: (list below)

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Annual PHA Plan
PITA **Fiscal Year 2000**
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PH/i will submit.

LI Standard Plan

Streamlined **Plan:**

LI High Performing PHA

Li Small **Agency (<250 Public Housing** Units)

XE] Administering Section 8 Only

LI Troubled Agency Plan

ii. Executive Summary of the Annual PITA Plan

[24 CFR Part 903.79(r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PH/i has included in the Annual Plan.

The Housing Program will continue to further Fair Housing, while working under the rules and regulations of the Young vs Como lawsuit. We will continue to further our clients' knowledge of fair housing. Further, the City Housing program will increase the number of families participating in the family self-sufficiency program to encourage clients to update their skills. Some bebfits such as child card, tuition and some travel voucher.

iii. Annual Plan Table of Contents

[24 CFR Part 903.79(r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Table of Contents

| | <u>Page #</u> |
|---|----------------------------|
| Annual Plan | |
| i. Executive Summary | |
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| 4. Rent Determination Policies | |
| 5. Operations and Management Policies | |
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| 9. Designation of Housing | |
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| 11. Homeownership | |
| 12. Community Service Programs | |
| 13. Crime and Safety | |
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| 15. Civil Rights Certifications (included with PHA Plan Certifications) | |
| 16. Audit | |
| 17. Asset Management | Admin. Plan |
| 18. Other Information | |

Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A,

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B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a SEPARATE file submission from the PH/i Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

XE] Admissions Policy for Deconcentration Young vs Como 121 FY 2000 Capital Fund Program Annual Statement

LI Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

XE] PHA Management Organizational Chart

LI FY 2000 Capital Fund Program 5 Year Action Plan

LI Public Housing Drug Elimination Program (PHDEP) Plan

XE] Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)

XLI Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PH/i.

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X

Fair Housing Documentation:

Records reflecting that the PH/i has examined its programs or proposed programs, identified any impediments to fair housing **choice in those programs**, addressed or is **addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working**

with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PH/i's involvement.

- X **Consolidated Plan** for the jurisdiction/s in which the PH/i is located (which includes the **Analysis of Impediments to Fair Housing Choice (AI))** and any **additional backup data** to support statement of housing needs in the jurisdiction
Annual Plan: **Housing Needs**
- X Most recent board-approved operating budget for the public housing program
Annual Plan: **Financial Resources; Annual Plan: Eligibility, Selection, and Admissions Policies**
- X **Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan (TSAP)**
Annual Plan: **Eligibility, Selection, and Admissions Policies**
- X **Section 8 Administrative Plan**
Annual Plan: **Eligibility, Selection, and Admissions Policies**
- Public Housing Deconcentration and Income Mixing Documentation:**
- 1. PH/i board certifications of compliance with deconcentration requirements (section 16(a) of the US methodology for setting public housing flat rents
 - check here if included in the public housing A & O Policy
 - Schedule of flat rents offered at each public housing development
Annual Plan: **Rent Determination**
 - check here if included in the public housing A & O Policy
- X **Section 8 rent determination (payment standard) policies**
 - check here if included in Section 8 Administrative Plan
Annual Plan: **Rent Determination**
 - Public housing management and maintenance policy** documents, including policies for the **prevention or eradication of pest infestation** (including cockroach infestation)
Annual Plan: **Operations and Maintenance**
- X **Public housing grievance procedures**
Annual Plan: **Grievance**
- The MUD-approved **Annual Plan: C-i-a-J-ds**
Program Annual Statement (HUD 52837) for the active grant **OMB Appmv- No 2577-0226**
ear **Ex ircs. 03/31/2002**
- Most recent CIAP Budget/Progress Report (HUD 52825) for** Annual Plan: **Capital Needs**

1. Statement of Housing Needs

(24 CFR Part 903.79 (a))

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact" Use N/A to indicate that no information is available upon which the PH/i can make this assessment.

Housing Needs of Families in the Jurisdiction

| Family Type | bFaTe | | | | | | Location |
|------------------------------|--------------|------------------|--------|---------|------------------|------|----------|
| | Overall | Afford- abili | Supply | Quality | Access- ibili | Size | |
| Income <= 30% of AMI | 850 | | | | | | |
| Income >30% but <=50% of AMI | | | | | | | |
| Income >50% but <80% of AMI | | | | | | | |
| Elderl | | | | | | | |
| Families with Disabilities | | | | | | | |
| Race/Ethnicit | | | | | | | |
| Race/Ethnicit | | | | | | | |
| Race/Ethnicit | | | | | | | |

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

XE] Consolidated Plan of the Jurisdiction/s

Indicate year:

XE] U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset

LI American Housing Survey data

Indicate year:

Li Other housing market study

Indicate year:

Li Other sources: (list and indicate year of information)

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B. Housing Needs of Families on the Public Housing and Section 8 Tenant-Based Assistance Waiting Lists

State the housing needs of the families on the PH/i's waiting list/s. **Comple**t. one table for each type of **PEA-wide waiting list** administered by the PEA. PH/is may provide separate tables for site-based or sub-

jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/sub-jurisdiction:

| | # of families | % of total families | Annual Turnover |
|--------------------------------------|---------------|---------------------|-----------------|
| Waiting list total | 825 | | 60 |
| Extremely low income <=30% AMI | 625 | 75% | |
| Very low income (>30% but <=50% AMI) | 200 | 25% | |
| Low income (>50% but <80% AMI) | NA | | |
| Families with children | NA | | |
| Elderly families | NA | | |
| Families with Disabilities | NA | | |
| Race/ethnicity | NA | | |
| Race/ethnicity | NA | | |
| Race/ethnicity | NA | | |
| Race/ethnicity | N/A | | |
| Characteristics by Bedroom Size | NA | | |

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Housing Needs of Families on the Waiting List

(Public Housing Only) _____

1BR _____

2BR _____

3BR _____

4BR _____

5BR _____

5+BR _____

Is the waiting list closed (select one)? X[]

If yes:

4=

t

No Li Yes

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? [] No LI Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? XF1 No LI Yes

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list IN THE UPCOMING YEAR, and the Agency's reasons for choosing this strategy.

(11 Strategies)

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize **the number of affordable units available to the PHA within its current resources by:**

Select all that apply

Li Employ effective maintenance and management policies to minimize the number of public housing units off-line

Li Reduce turnover time for vacated public housing units Eli Reduce time to renovate public housing units

Li Seek replacement of public housing units lost to the inventory through mixed finance development

LI Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources

XE] Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction

XE] Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required

XE] Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration

- XE] Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- XE] Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- LI Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- XE] Apply for additional section 8 units should they become available
- LI Leverage affordable housing resources in the community through the creation of mixed finance housing
- XE] Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- XE] Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI Select all that apply

- XE] Exceed HUD **federal** targeting requirements **for families at or below 30% of AMI in public** housing
- xE] Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- LI Employ admissions preferences aimed at families with economic hardships
- XE] Adopt rent policies to support and encourage work
- LI Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI Select all that apply

- LI Employ admissions preferences aimed at families who are working
- XE] Adopt rent policies to support and encourage work
- EI Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target **available** assistance **to the elderly:**

Select all that apply

- EI Seek designation of public housing for the elderly

- XE] Apply for special-purpose vouchers targeted to the elderly, should they become available

0 Other: (list below)

Need: Specific Family Types: Families **with** Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy **1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(21 Reasons for Selectin2 Strateaias

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing

- Li** Extent to which particular housing needs are met by other organizations in the community
- XE]** Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- XE]** Influence of the housing market on PHA programs
- XE]** Community priorities regarding housing assistance
- XE]** Results of consultation with local or state government
- XE]** Results of consultation with residents and the Resident Advisory Board
- XE]** Results of consultation with advocacy groups
- [1** Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.79 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. *Note:* the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

**Financial Resources:
Planned Sources and Uses**

| Sources | Planned \$ | Planned Uses |
|--|----------------|----------------|
| i. Federal Grants FY 2000 totals | \$3,893,412.00 | \$3,893,412.00 |
| a Public Housing Operating Fund | | |
| b Public Housing Capital Fund | | |
| c HOPE VI Revitalization | | |
| d HOPE VI Demolition | | |
| e) Annual Contributions for Section 8 Tenant-Based Assistance | \$3,893,412.00 | |
| t) Public Housing Drug Elimination Program (including any Technical Assistance funds | | |
| g) Resident Opportunity and Self-Sufficiency Grants | | |
| h) Community Development Block Grant | | |
| i HOME | | |
| Other Federal Grants list below | | |

2. Prior Year Federal Grants (unobligated funds only) (list below)

| | | |
|----------------|---------------------------------|---------------------|
| | Planned Sources and Uses | |
| Sources | Planned \$ | Planned Uses |

3. Public Housing Dwelling Rental Income

4. Other income list below

4. Non-federal sources list below

Total resources

3. PHA Policies Governin2 Eli2ibility. Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(11 Elialbilty)

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

Li When families are within a certain number of being offered a unit: (state number)

Li When families are within a certain time of being offered a unit: (state time) **Li** Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

[1 Criminal or Drug-related activity

Li Rental history

E] Housekeeping

Li Other (describe)

- c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC authorized source)

(21 Waitlist Organization)

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) Assignment

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously? If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply

Other (list below)

(31 AssitEnment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

One

Two

Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(41 Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

Emergencies

Overhoused

Underhoused

Medical justification

Administrative reasons determined by the PHA (e.g., to permit modernization work)

Resident choice: (state circumstances below)

Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection (5) Occupancy)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes

Li Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

Li The PHA applies preferences within income tiers

Li Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(51 OccuDsncv)

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

O The PHA-resident lease

O The PHA's Admissions and (Continued) Occupancy policy

5 PHA briefing seminars or written materials

(E) Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

Li At an annual reexamination and lease renewal

Li Any time family composition changes

Li At family request for revision

Li Other (list)

(61 Deconcentration and Income Mixinff

a. **Li** Yes **Li** No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. **Li** Yes **Li** No: Did the PHA adopt any changes to its admissions policies based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply) **Li** Adoption of site-based waiting lists

If selected, list targeted developments below:

Li Employing waiting list “skipping” to achieve deconcentration of poverty or income mixing goals at targeted developments

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If selected, list targeted developments below:

Li Employing new admission preferences at targeted developments If selected, list targeted developments below:

Li Other (list policies and developments targeted below)

d. Yes **Li** No: Did the PHA adopt any changes to other policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

Li Additional affirmative marketing

Li Actions to improve the marketability of certain developments

Li Adoption or adjustment of ceiling rents for certain developments

Li Adoption of rent incentives to encourage deconcentration of poverty and income-mixing

Li Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply) **Li** Not applicable: results of analysis did not indicate a need for such efforts **Li** List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts **Li** List (any applicable) developments below:

B. Section 8

Exemptions PI{As that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, **nil questions in this** section apply only to the tbbant-based section 8 assistance program (vouchers, and until completely merged into the **voucher** program, certificates).

(11 Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply) XE] Criminal or drug-related activity only to the extent required by law or regulation

0 Criminal and drug-related activity, more extensively than required by law or regulation

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xO More general screening than criminal and drug-related activity (list factors below) **LI** Other (list below)

b. XE] Yes **Li** No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. XE] Yes **Li** No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. **XE] Yes Li** No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCTC authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

XE] Criminal or drug-related activity

Li Other (describe below)

(21 Waitinff **List** Or2anization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

XE] None

Li Federal public housing

Li Federal moderate rehabilitation

Li Federal project-based certificate program

Li Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

XE] PLIA main administrative office

Li Other (list below)

(31 Search Time

a. **XLi** Yes **Li** No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

(41 Admissions Preferences)

a. Income targeting

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XE] Yes **Li** No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. **Li** Yes XE] No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent (5) Special purpose **section 8 assistance** programs)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

XE] Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

XE] Victims of domestic violence

XE] Substandard housing

XE] Homelessness

XE] High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

XE] Working families and those unable to work because of age or disability

XE] Veterans and veterans' families

XE] Residents who live and/or work in your jurisdiction

XE] Those enrolled currently in educational, training, or upward mobility programs

XE] Households that contribute to meeting income goals (broad range of incomes)

XE] Households that contribute to meeting income requirements (targeting)

XE] Those previously enrolled in educational, training, or upward mobility programs

XE] Victims of reprisals or hate crimes

XE] Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences

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- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- 1 High rent burden

Other preferences (select all that apply)

- XE] Working families and those unable to work because of age or disability
- XE] Veterans and veterans' families
- XE] Residents who live and/or work in your jurisdiction
- XE] Those enrolled currently in educational, training, or upward mobility programs
- XE] Households that contribute to meeting income goals (broad range of incomes)
- XE] Households that contribute to meeting income requirements (targeting)
- XE] Those previously enrolled in educational, training, or upward mobility programs
- XE] Victims of reprisals or hate crimes
- XE] Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- XE] Date and time of application
- L1 Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction"

(select one)

xfl This preference has previously been reviewed and approved by HUD

Li The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

L1 The PHA applies preferences within income tiers

XE] Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

XE] The Section 8 Administrative Plan

XE] Briefing sessions and written materials

0 Other (list below)

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b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

XE] Through published notices

Li Other (list below)

4. PITA Rent Determination Policies

[24 CFR Part 903.79(d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component

4A.

(11 Income Based Rent Policies)

Describe the PRAS based rent setting policy/ins for public housing using, including discretion (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

Li The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

Li The PHA employs discretionary policies for determining income based rent (If selected,

continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

E]so

XE] \$1-\$25

Li \$26-\$50

2. **XLi** Yes **Li** No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

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3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. **Li** Yes **XE]** No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

Li For the earned income of a previously unemployed household member

XE] For increases in earned income

Li Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Li Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

XE] For household heads

XE] For other family members

XE] For transportation expenses

XE] For the non-reimbursed medical expenses of non-disabled or non-elderly families

Li Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Li** Yes for all developments
- XLi** Yes but only for some developments
- LiNo**

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- Li** For all developments
- Li** For all general occupancy developments (not elderly or disabled or elderly only)

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- XE]** For specified general occupancy developments
- Li** For certain parts of developments; e.g., the high-rise portion
- Li** For certain size units; e.g., larger bedroom sizes
- Li** Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- XE]** Market comparability study
- XE]** Fair market rents (FMR)
- Li** 95~ percentile rents
- Li** 75 percent of operating costs
- Li** 100 percent of operating costs for general occupancy (family) developments
- Li** Operating costs plus debt service
- XE]** The "rental value" of the unit
- E]** Other (list below)

f. Rent re-determinations:

I. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Li** Never
- Li** At family option
- x[]** Any time the family experiences an income increase
- Li** Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____

Li Other (list below)

g. **Li** Yes **XLi** No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(21 Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)
- XE] The section 8 rent reasonableness study of comparable housing
 - XE] Survey of rents listed in local newspaper
 - XE] Survey of similar unassisted units in the neighborhood

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Li Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete this question. In this section **apply only to the tenant-based** program (vouchers, until completely merged into the voucher program, certificates).

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- Li** At or above 90% but below 100% of FMR
- Li** 100% of FMR
- XE] Above 100% but at or below 110% of FMR
- Li** Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- Li** FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Li** The PHA has chosen to serve additional families by lowering the payment standard
- Li** Reflects market or submarket
- Li** Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- Li** FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Li** Reflects market or submarket
- XE]** To increase housing options for families
- Li** Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

XE] Annually

Li Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

XE] Success rates of assisted families

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XE]

Li

Rent burdens of assisted families

Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

Li so

XE] \$1-\$25

Li \$26-\$50

b. **XLi** Yes **Li** No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.79(e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's **management structure** and organization.

(select one)

XE] An organization chart showing the PHA's management structure and organization is attached.

XE] A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not

| Program Name | Units or Families Served at Year | Expected Turnover |
|------------------------|----------------------------------|-------------------|
| Public Housing | N/A | |
| Section 8 Vouchers | 849 | |
| Section 8 Certificates | N/A | |
| Section 8 Mod Rehab | N/A | |

Special Purpose Section 8 Certificates/Vouchers (list individually)

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Public Housing Drug Elimination Program PHDEP N/A

Other Federal Programs(list individually) N/A

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that describe the policies and procedures that govern the PHA and management activities, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) anti-pest policies Section 8 Management and Maintenance

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)
Housing Quality Standards

6. PHA Grievance Procedures (24 CFR Part **903.79(01**

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section &. Only PHAs are exempt from sub-component 6A

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
 PHA development management offices
 Other (list below)

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B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

(24 CFR Part 903.79(g))

Exemptions from Component 7: Section 8 only PHAs are not required to complete this

component and
may skip to Component 8.

A. Capital Fund Activities

Exemptions *from* sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 713. All other PHAs must complete 7A as instructed.

(11 Capital Fund Program Annual Statement)

Using parts h IL and III of the Annual Statement for the Capital Fund Program (CPP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CPP Annual Statement tables provided in the table library at the end of the PEA Plan template **O*** at the PHA's option, by completing and attaching a properly updated HIJU-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(21 Optional 5-Year Action Plan)

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Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed using the 5-Year Action Plan provided in the table library at the end of the PEA Plan template by copying and attaching a properly updated library 52SM.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities

(NonCapital Fund)

Applicability of sub-component 8: All PHAs administering public housing. [Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Li** Yes **Li** No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

- 1. Development name:
- 2. Development (project) number:
- 3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

- Li** Yes **Li** No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

- Li** Yes **Li** No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

- Li** Yes **Li** No:
e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition
124 CFR Part 903.79 (i)

Applicability of component 8: Section 8 only PHAs are **not** required to complete this section.

1. **Li** Yes **Li** No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of **1937 (42 U.S.C. 1437p)) in the plan Fiscal Year?** (If "No", skip to component 9; if "yes", complete one activity description for each development.)

2. Activity Description

- Li** Yes **Li** No:
Has the PHA provided the activities description information in the **optional Public Housing Asset Management Table? (If "yes", skip to component 9. If "No", complete the Activity Description table below.)**

Demolition/Disposition Activity Description

- 1a. Development name:
1b. Development project number:
2. Activity type: Demolition/Disposition **El**
3. Application status (select one)
 Approved **fl**
 Submitted, pending approval **Li**
 Planned a location **Li**
4. **Date a location approved**, submitted, or planned for submission: DD/MM/JYY
5. Number of units affected:
6. Coverage of action (select one)
fl Part of the development
ii] Total development
7. Timeline for activity:

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9. **Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

1124 CFR Part 903.79 (I)
Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

- i.Li** Yes **Li** No:
Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly

families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Li Yes **Li** No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 10. If "No", complete the Activity Description table below.

Designation of Public Housing Activity Description

1a. Development name:

1b. Development project number:

2. Designation type:

Occupancy by only the elderly **Li**

Occupancy by families with disabilities **El**

Occur and only elderly families and families with disabilities **Li** 3. Application status

(select one)

Approved; included in the PHA's Designation Plan **Li**

Submitted, pending approval **Li**

Planned a location **E**

4. Date this designation approved, submitted, or planned for submission: DD/MM/YY

5. If approved, will this designation constitute a (select one)

New Designation Plan

Revision of a previous approved Designation Plan?

6. Number of units affected:

7. Coverage of action (select one)

Part of the development

I U Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.79(j)]

Exemptions from component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 BUD Appropriations Act

1. **Li** Yes **Li** No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

- Li** Yes **Li** No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description

Ia. Development name:

Ib. Development project number:

2. What is the status of the required assessment? **fl** Assessment underway

II] Assessment results submitted to HUD

Li Assessment results approved by HUD (if marked, proceed to next question)

Li Other (explain below)

3. Yes No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)

4. Status of Conversion Plan (select the statement that best describes the current status)

Li Conversion Plan in development

El Conversion Plan submitted to HUD on: (DD/MM/YYYY)

Li Conversion Plan approved by HUD on: (DD/MM/YYYY)

El Activities pursuant to HUD-approved Conversion Plan underway

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5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

Li Units addressed in a pending or approved demolition application (date submitted or approved:)

Li Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:)

Li Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:)

Li Requirements no longer applicable: vacancy rates are less than 10 percent

Li Requirements no longer applicable: site now has less than 300 units

El Other: (describe below)

B. Resented for Corn~i*us pul'rniant to Section 22 oithe U.S. aousliig Act o% 1937

C. Reserved Ibr Corn eM~rns pprsuant Ic, S~ectIoji \$3 of the US. flotts~iig Act of 1931

11. HomeownershiD Pro2rams Administered by the PHA

[24 CFR Part 903.79 (Ic)]

“Yes”

A. Public Housing

Exemptions from Component I IA: Section 8 only FHAs are not required to complete I IA.

1. **Li** Yes **Li** No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component I IB; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to small PHA or **high performing** PHA status. PHAs completing streamlined submissions may skip to component 1 IB.)

2. Activity Description

0 Yes No: Has the PHA provided all required activity description information for this component in the optional Public Housing

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Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description

Corn lete one for each develo ment affected

- la. Development name:
lb. Development (project) number:
2. Federal Program authority:

HOPE I

5(h)

F

Turnkey III

Section 32 of the USHA of 1937 (effective 10/1/99)

Application status: (select one)

Approved; included in the PHA's Homeownership Plan/Program

Submitted, pending approval

Planned application

4. Date Homeownership Plan/Program approved, submitted, or planned for submission:

I DD/MMLYYYY)

5. Number of units affected:

6. Coverage of action: (select one)

Part of the development

Total development

B. Section 8 Tenant Based Assistance

LXLi Yes No:

Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. High performing PHAs may skip to component 12.)

2. Program Description:

a. Size of Program Yes No:

Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the

number of participants? (select one)

25 or fewer participants

26-50 participants

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3.

51 to 100 participants

more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PITA Community Service and Self-sufficiency Profframs

(24 CFR Part 903.79(1))

Exemptions from Couiponant 12~ High performtitzlt and small PHA* are 'not te~uired tG compl~t tbis component. Section 8~OnIy Pl?As ~re not required to~ complete sub-compnnt C

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MMIYY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

Client referrals

Information sharing regarding mutual clients (for rent determinations and otherwise)

Coordinate the provision of specific social and self-sufficiency services and programs **to eligible** families

Jointly administer programs

Partner to administer a HUD Welfare-to-Work voucher program

Joint administration of other demonstration program

Other (describe)

B. Services and programs offered to residents and participants (11 General)

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

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- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes No:

Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

| Services and Programs | | | |
|---|-----------------|---|--|
| Program Name & Description Estimated (including location, if appropriate) | Allocation Size | Access Method (public housing or waiting list/random) | Eligibility (development office / PHA main office / section 8 other provider name) participants or |
| | | | |

selection/specific
criteria/other

both)

(21 Family Self Sufficiency Droffram/s

a. Participation Description

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| Program Participants | Initial & Final Line Item Number of Participants | Participation Actual Number of Participants | Actual Number of Participants |
|--------------------------|--|---|-------------------------------|
| | Start of FY 2000 - 6 Months | As of 6/30/00 | DI |
| Public Housing Section S | 25 | 25 | |

b. Yes/No:

If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies

Informing residents of new policy on admission and reexamination

Actively notifying residents of new policy at times in addition to admission and

- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA rual year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services

C] Other activities (list below)
2. Which developments are most affected? (list below)

D. Additional information as required **b~ PHDEP/PHDEP Plan PI~**
~1i~ib1~ f~r PY ~DUO ~ll)~? ~zmI~ mast pro~ad~ ~ PH1~)HP Pl~tt
m~tlwj Sp~cifiC~l requirements

C] **Yes Li** No: Is the PHA eligible to participate in the
PHDEP in the fiscal year covered by this PHA Plan?
C] **Yes C]** No: Has the PHA included the PHDEP Plan
for FY 2000 in this PHA Plan?
[I] **Yes C]** No: This PHDEP Plan is an Attachment. (Attachment
Filename:)

—I—

[24 CFR Part 903.79(n)]

15. Civil Rights Certifications

[24 CFR Part 903.79(o)]

Civil rights certifications are included in the PHA Plan Certifications of
Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

(24 CFR Part 903.79 (p)I

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i.xC] YesC]

2.XEJ YesC]

3.E1 YesXEI

4.C] YesXC]

5.C] YesXC]

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No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937(42 U.S.C. 1437c(h))?
(If no, skip to component 17.)

No: Was the most recent fiscal audit submitted to HUD?

No: Were there any findings as the result of that audit?

No: If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain? _____

No: Have responses to any unresolved findings been submitted to HUD?

If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.79 (ci)]

~exemption~ from component 17: Section 501(c)(3) PHAs are not required to complete this component. When performing a M small PEAs are not required to complete this component.

1. **Li** Yes **Li** No: Is _____ the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have not been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

Not applicable

Private management

Development-based accounting

Comprehensive stock assessment

Other: (list below)

3. **C]** Yes **C]** No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

(24 CFR Part 903.79(r))

A. Resident Advisory Board Recommendations

1. Yes No: **Did** the PHA receive any comments on the PHA Plan from the Resident Advisory Boards?

2. If yes, the comments are: (if comments were received, the PHA MUST select one) FY 2000 Annual Plan Page 37

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Attached at Attachment (File name) Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.
 The PHA changed portions of the PHA Plan in response to comments List changes below:

Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

Candidates were nominated by resident and assisted family organizations
 Candidates could be nominated by any adult recipient of PHA assistance
 Self-nomination: Candidates registered with the PHA and requested a place on ballot
 Other: (describe)

b. Eligible candidates: (select one)

Any recipient of PHA assistance
 Any head of household receiving PHA assistance

- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

1. Consolidated Plan jurisdiction: (provide name here)
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below) To continue to seek other sources of funding, necessary to reduce the housing shortage.

D. Other Information Required by HUD

Also this section to provide any additional information required by HUD.

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Attachments

Use this section to provide any additional attachments referenced in the Plans.

PITA Plan
 Table Library

Component 7
Capital Fund Program Annual Statement
 Parts I, II, and II

Annual Statement
Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number
 FFY of Grant Approval: (MMIYYYYY)
 Original Annual Statement

| Line No. | Summary by Development Account | Total Estimated Cost |
|-------------|--------------------------------|-------------------------|
| 1 | Total Non-COP Funds | |
| 2 | 1406 | |
| i | 1408 | |
| <u>~74~</u> | 1410 | |
| 5 | 1411 | |
| ≈ | 1415 | |
| 7 | 1430 | |
| | 1440 | |
| ≈ | 1450 | |

10 1460
 ~ii 1470
 ~iI~ **1475**
 ~i7i7 1485
 ~ 1490
 ~ 1492
 ~ **1495.1**
 ~ 1498
 ~ 1502

Operations

Management Improvements
 Administration

Liquidated Damages
 Fees and Costs
 Site Acquisition
 Site Improvement
 Dwelling Structures
 Dwelling Equipment-Nonexpendable
 Nondwelling Structures
 Nondwelling Equipment
 Demolition
 Replacement Reserve
 Moving to Work Demonstration
 Relocation Costs
 Mod Used for Development

Contingency

20 **Amount of Annual Grant Sum of lines 2-19)**
 21 Amount of line 20 Related to LBP Activities
 22 Amount of line 20 Related to Section 504 Compliance
 23 Amount of line 20 Related to Security
 24 Amount of line 20 Related to Energy Conservation
 Measures

Table Library

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development

Number/Name HA-Wide Activities
General Description of Major Work Categories
Development
Account
Number

Total Estimated
Cost

Table Library

Annual **Statement**
Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name
HA-Wide Activities
All Funds Obligated (Quarter Ending Date)
All Funds Expended (Quarter Ending Date)

Table Library

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U.S. Department of Housing and Urban Development Office of Public and Indian Housing

PITA Certifications of Compliance with the PITA Plans and Related Regulations Board Resolution to Accompany the PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year Plan and Annual Plan for PHA fiscal year beginning 10/01/01h~nn~ referred to as the Plan of which this document is a part and make the following certifications and agreements with the Department of Housing Development (HHD) in connection with the submission of the Plan and implementation thereof

- 1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.**
- 2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.**
- 3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PItA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PItA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the**

- manner in which the Plan addresses these recommendations.
4. **The PitA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.**
 5. **The PitA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.**
 6. **The PitA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PitA's involvement and maintain records reflecting these analyses and actions.**
 7. **For PHA Plan that includes a policy for site based waiting lists:**
 - **The PHA regularly submits required data to HUD's MTCS in an accurate, complete and timely manner (as specified in Flit Notice 99-2);**
 - **The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;**
 - **Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;**
 - **The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;**
 - **The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903 .7(c)(l).**

PHA Certifications of Compliance with the PHA Plans and Related Regulations

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U.S. Department of Housing and Urban Development Office of Public and Indian Housing

8. **The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.**
9. **The PitA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.**
10. **The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1963, Employment Opportunities for Low- or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.**
11. **The PitA has submitted with the Plan a certification with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.**
12. **The PitA has submitted with the Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.**
13. **For PitA Plan that includes a PHDEP Plan as specified in 24 CFR 761.21: The PHDEP Plan is consistent with and conforms to the "Plan Requirements" and "Grantee Performance Requirements" as specified in 24 CFR 761.21 and 761.23 respectively and the PitA will maintain and have available for review/inspection (at all times), records or documentation of the following:**
 - **Baseline law enforcement services for public housing developments assisted under the PHDEP plan;**
 - **Consortium agreement/s between the PitA, participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15);**
 - **Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities;**
 - **Coordination with other law enforcement efforts;**

- **Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and**
 - **All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan.**
14. **The PitA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.**
 15. **The PitA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).**
 16. **The PitA will provide HLJD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.**
 17. **With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.**
 18. **The PitA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.**
 19. **The PitA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.**
 20. **The PitA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments.).**
 21. **The PitA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.**

PitA Certifications of Compliance with the PitA Plans and Related Regulations

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U.S. Department of Housing and Urban Development Office of Public and Indian Housing

22. **All attachments to the Plan have been and will continue to be available at all times and all locations that the PitA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and attachments at the primary business office of the PitA and at all other times and locations identified by the PHA in its PitA Plan and will continue to be made available at least at the primary business office of the PitA.**

City of Tyler, Housing

PHA Name

TX456

PHA Number

Signed/Dated by PHA Board Chair **or** other authorized PHA official

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

**Certification by State or Local Official of PHA Plans Consistency with
the consolidated Plan**

I,

Andy F. Davis
the

that the **Five Year and Annual PHA Plan of** the consistent with the Consolidated Plan of
pursuant to **24 CFR** Part **91**.

Signed / **Dated by** Appropriate State **or Local** Official
Housing Service Manager

City of Tyler Housing

Smith County
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is
Certification **by** State and Local Official **of** PItA Plans Consistency with the Consolidated Plan to ~~by~~ the HUD 50075 OMB
Approval No. 2577-0226

Expires 03/31/2002
(7/99)
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