

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004

Annual Plan for Fiscal Year 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

HUD 50075
OMB Approval No: 2577-0226
Expires: 03/31/2002

PHA Plan Agency Identification

PHA Name: Housing Authority of Hazard

PHA Number: KY 024

PHA Fiscal Year Beginning: (mm/yyyy) 10/2000

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices at Gorman Hollow only

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices at Gorman Hollow only
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)
PHA Gorman Hollow Office

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)
PHA Gorman Hollow Office

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004

[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
Move eligible residents to homeownership by working with the Hazard Perry County Housing Development Alliance, Inc. Provide replacement units for the Walkertown development as feasible.
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Improve public housing management: (PHAS score) by at least 5 points/year

- Improve voucher management: (SEMAP score)
- Increase customer satisfaction:
- Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units: utilize our Force Account
Modernization Labor force to continue to renovate public housing units.
- Demolish or dispose of obsolete public housing
- Provide replacement public housing
- Provide replacement vouchers
- Other: (list below)

- PHA Goal: Increase assisted housing choices
Objectives:
 - Provide voucher mobility counseling
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs: by working with
our partner the Hazard Perry County Housing Development Alliance, Inc.
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below) Work with local partner organizations and make referrals to
other potentially available housing when public housing is not available.

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public
housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring
access for lower income families into higher income developments:
 - Implement public housing security improvements: by working closely with the
Hazard Police Department and with the Housing Authority's Residents Advisory Board
 - Designate developments or buildings for particular resident groups (elderly,
persons with disabilities)
 - Other: (list below) Work to assist residents in formation of Resident's Councils
or committees such as the Liberty Street Committee.

Perkins Tower and Gorman Tower were previously designated as Elderly housing with some provisions for residents with disabilities.

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:
 - Increase the number and percentage of employed persons in assisted families: as a part of our 1999 ROSS grant programs; funded for our family developments until December 2001 or until all funds expended. Additionally, HAH was applied for a 2001 ROSS grant to continue this program.
 - Provide or attract supportive services to improve assistance recipients' employability: as a part of the 1999 ROSS grant programs
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities. By working with our existing partners and increasing services to the elderly as funding allows.
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Annual PHA Plan
PHA Fiscal Year 2001

[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Housing Authority of Hazard is dedicated to the re-establishment of public trust in this agency. We are regaining this trust by instituting new management practices as well as providing increased levels of service to our residents. One of these new practices is an aggressive modernization program utilizing Force Account Labor as a money saving device while maintaining high quality standards for the work. Increased awareness of our residents and their desires and needs is apparent in our new pursuit of grants such as the ROSS grants for our other family developments. This ROSS grant was funded as a 1999 grant in a total amount of \$75,000.00 from HUD and local matching funds and in-kind contributions. This grant will be closed out in December of 2001 or whenever all funds are expended. The Housing Authority submitted a new ROSS grant application in June 2001 to extend these services to more of our family residents.

Through the efforts of our Contracting Officer and the case manager working with our ROSS grant program, we have begun to establish resident committees in our developments. At this time, July 2001, we are anticipating our first election of a Board of Directors for the Liberty Street Committee in early August. We anticipate that the

other family developments may begin to work toward the formation of their own residents committees within the next year.

The Housing Authority working with our partner organizations, the City of Hazard and numerous community leaders have begun the development of a five-year housing plan through a detailed housing study of all types of housing issues within the Greater Hazard area. This plan is being developed by the Greater Hazard Housing Task Force as this group has chosen to be known. One of the key aspects of this housing study is a detailed analysis of affordable housing, its current condition, availability, and the possibility for additional affordable housing of all types. The Housing Authority is looking at the available options to replace its oldest and most undesirable units in the Walkertown development.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration
- FY 2001 Capital Fund Program Annual Statement: Attachment B
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled **ONLY**) (hard copy: Budget for Fiscal Year 2000)
- X Membership of Resident Advisory Board: Attachment E
- X Definitions of "Substantial Deviation" and "Significant Amendment": Attachment F

Optional Attachments:

- PHA Management Organizational Chart (hard copy only)
- FY 2001 Capital Fund Program 5 Year Action Plan: Attachment C
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)
- A. Residents Survey Follow-up Plan: Attachment A
- B. FY 2000 Annual Statement/Performance and Evaluation Report: Attachment B
- C. Resident Comments on the Capital Funds Program: Attachment D

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is	5 Year and Annual Plans

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
	Section 8 informal review and hearing procedures	Annual Plan: Grievance

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	<input type="checkbox"/> check here if included in Section 8 Administrative Plan	Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the “Overall” Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact.” Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Affordability	Supply	Quality	Access-ability	Size	Loca-tion
Income <= 30% of AMI		5	5	5	5	4	5
Income >30% but <=50% of AMI		5	5	4	5	4	5
Income >50% but <80% of AMI		5	5	4	5	4	5
Elderly		4	4	3	5	4	3
Families with Disabilities		5	5	5	5	4	2
Caucasian		4	5	3	5	3	3
African-American		5	5	5	5	3	5
Asian		1	3	3	5	3	3
Others		5	5	5	5	4	5

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: Interim Consolidated Plan: 200-2002
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset Perry County
- American Housing Survey data
Indicate year:
- Other housing market study: “Need and Demand Analysis for the Proposed Residential Redevelopment in Hazard, Kentucky” by John Wall and Associates, September, 2000
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) <input type="checkbox"/> Section 8 tenant-based assistance <input checked="" type="checkbox"/> Public Housing <input type="checkbox"/> Combined Section 8 and Public Housing <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	32		73
Extremely low income <=30% AMI	22	69%	
Very low income (>30% but <=50% AMI)	8	25%	
Low income (>50% but <80% AMI)	2	6%	
Families with children	26	81%	
Elderly families	6	19%	
Families with Disabilities	0	0	
Caucasian	30	94%	
African- American	2	6%	
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	19	59%	
2 BR	8	25%	
3 BR	5	16%	
4 BR	None		

Housing Needs of Families on the Waiting List			
5 BR	None		
5+ BR	NONE		
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies

Other (list below) Working with other agencies within the community, who also have housing programs, to try to increase our abilities to provide housing or suggest housing alternatives to applicants.

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)
Working with our community partners, including the City of Hazard, the Hazard Perry County Community Ministries, Inc., the Hazard Perry County Housing Development Alliance, Inc., pursue all available means of financing successful mixed-finance housing development programs including CDBG, ARC, Tax Credits, bonds, HOME funds, etc.

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing Currently 62% of our residents qualify as extremely low income families and 64% of our residents in our family developments qualify as extremely low income.
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)
Through our ROSS grant applications, we are developing self-sufficiency education, job training, and employment opportunities for our residents.

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Through our ROSS grant applications, we are developing self-sufficiency education, job training, and employment opportunities for our residents.

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Through future ROSS grant applications we intend to develop special programs to provide increased services to our elderly residents.
We are currently working with our local partners to provide available services to all our elderly residents.

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Work with our community partners, the Hazard Perry County Community Ministries, Inc. and the Hazard Perry County Housing Development Alliance, Inc., in providing affordable housing for the disabled.

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)
Work with our partner agencies to provide fair housing for all applicants.

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA’s selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2001 grants)		
a) Public Housing Operating Fund	\$325,650.00	
b) Public Housing Capital Fund	\$446,422.00	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance		
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants	2001 application pending	Educational and jobs training program with supportive services.
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
2000 Capital Funds	148,600.74 **	Modernization program
3. Public Housing Dwelling Rental Income	475,256.51	Operating expenses
4. Other income (list below)		
4. Non-federal sources (list below)		
Entrepreneurial income	\$1,200.00 estimated	Operating expenses
Total resources	\$1,397,129.25 estimated*	

*This estimate does not include any building, plant, or equipment nor any grant other than the 2000 and 2001 Capital Funds, which has been awarded to HAH. The 1999 ROSS grant is NOT included since all funds are obligated.

** Current unobligated funds as of June 28, 2001.

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number) 1
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe) When families first apply their eligibility is verified and then when come to the top of the waiting list they must reconfirm their eligibility.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)
Preferences as detailed within the ACOP.

c. Yes No: Does the PHA request criminal records from local enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income? **Not by design, but due to the nature of our clients and the large local demand for affordable housing in particular from the extremely low income families within our area.**

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
 Overhoused
 Underhoused
 Medical justification
 Administrative reasons determined by the PHA (e.g., to permit modernization work)
 Resident choice: (state circumstances below)
 Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
 Victims of domestic violence
 Substandard housing
 Homelessness
 High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
 Veterans and veterans' families
 Residents who live and/or work in the jurisdiction
 Those enrolled currently in educational, training, or upward mobility programs
 Households that contribute to meeting income goals (broad range of incomes)
 Households that contribute to meeting income requirements (targeting)
 Those previously enrolled in educational, training, or upward mobility programs

Victims of reprisals or hate crimes

Other preference(s) (list below)

Referrals from other governmental and quasi-governmental agencies and our partner organizations.

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

8 Date and Time

Former Federal preferences:

- 3 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 5 Victims of domestic violence
- 4 Substandard housing
- 4 Homelessness
- 6 High rent burden

Other preferences (select all that apply)

- 7 Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- 1 Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- 7 Households that contribute to meeting income goals (broad range of incomes)
- 7 Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

2. Referrals from other public and quasi-public agencies and from our partners.

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income-targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]

Liberty Street/Liberty Village	40(9 units under mod)	<p>Several different community characteristics contribute to the 131% weighted average income for the Liberty Street development. These are:</p> <ol style="list-style-type: none"> 1. By agreement for selling their homes and property to the Housing Authority in 1960 several of the higher income residents were guaranteed units for as long as they desired to live on Liberty Street. 2. The small size of the Liberty Street developments, 10 and 30 units, contribute to this weighted average income. 3. Overall, 61% of the residents of Liberty Street have incomes below 30% of the Area Median Income and 78% have incomes at or below 50% of AMI. 	

B. Section 8 NOT APPLICABLE

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
 - Criminal and drug-related activity, more extensively than required by law or regulation

- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
- Other (describe below)

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

Flat rents are available to all applicants and residents subject to their option at all developments.

Rent charges for minimum rent hardship cases are set by the regulations; if a true hardship is found to exist. These hardship rents are \$0.00/ month.

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)
- If yes, state percentage/s and circumstances below:

- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)
None

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)
Elderly developments only; Perkins Tower and Gorman Tower

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)
Any time the family experiences a change in family income or composition.

- g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
 Survey of rents listed in local newspaper
 Survey of similar unassisted units in the neighborhood
 Other (list/describe below)
Hud's published "*Fair Market Rents*"
Surveys of rents from other local rental properties.

B. Section 8 Tenant-Based Assistance NOT APPLICABLE

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

- a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
 100% of FMR
 Above 100% but at or below 110% of FMR
 Above 110% of FMR (if HUD approved; describe circumstances below)

- b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
 The PHA has chosen to serve additional families by lowering the payment standard
 Reflects market or submarket
 Other (list below)

- c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.79 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.

☒ A brief description of the management structure and organization of the PHA follows: A five (5) member Board of Commissioners, appointed by the Mayor, governs the Housing Authority of Hazard. The Board provides supervision through the Executive Director, who is the Chief Executive Officer of the organization. The Executive Director is ultimately responsible for all activities of the Housing Authority and provides direct supervision of the administrative staff and the Assistant Director. The Assistant Director is responsible for all field activities and provides direct supervision for the Maintenance Director and the seven (7) member Maintenance staff and the Modernization crew. The Administrative staff is composed of a Contracting Officer who also serves as the Grant Administrator and Project Coordinator for the 1999 ROSS grant, a Financial Manager who supervises an Assistant Financial Manager, and an Occupancy Specialist/Resident Initiative Coordinator who supervises a Receptionist/Clerk.

B. HUD Programs Under PHA Management

?? List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	273	73
Section 8 Vouchers	NA	
Section 8 Certificates	NA	
Section 8 Mod Rehab	NA	
Special Purpose Section 8 Certificates/Vouchers (list individually)	NA	
Public Housing Drug Elimination Program (PHDEP)	NA	
Other Federal Programs(list individually)		
Resident Opportunities and Self Sufficiency (ROSS) 1999 grant	24	2-3

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public

housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)
The BOCA National Property Maintenance Code
Performance Standards and Goals of the Housing Authority of Hazard
- (2) Section 8 Management: (list below)

1. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
 - PHA main administrative office
 - PHA development management offices
 - Other (list below)

B. Section 8 Tenant-Based Assistance NOT APPLICABLE

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
- Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment B (Capital Fund Program Annual Statement)

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

- a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

- The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment C (Five Year Action Plan for Capital Fund)

-or-

- The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)
1. Development name:
 2. Development (project) number:
 3. Status of grant: (select the statement that best describes the current status)
 - Revitalization Plan under development
 - Revitalization Plan submitted, pending approval
 - Revitalization Plan approved
 - Activities pursuant to an approved Revitalization Plan underway
- Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:
- Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:
- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:
Walkertown/Highland Heights Development, KY 24-01: The Housing Authority will work with the Kentucky State of Public Housing and Kentucky Housing Corporation as well as local partners and consultants to attempt to fund phased replacement of this development over the next several years. However at this time there are no definite plans to begin these replacement activities, until such time as funding can be solidified.

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

- Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Activity type: Demolition <input type="checkbox"/>	
Disposition <input type="checkbox"/>	
3. Application status (select one)	
Approved <input type="checkbox"/>	
Submitted, pending approval <input type="checkbox"/>	
Planned application <input type="checkbox"/>	
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)	
5. Number of units affected:	
6. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	
7. Timeline for activity:	
a. Actual or projected start date of activity:	
b. Projected end date of activity:	

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name:	Carl D. Perkins Tower
1b. Development (project) number:	KY 24-04
2. Designation type:	Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input checked="" type="checkbox"/>
3. Application status (select one)	Approved; included in the PHA’s Designation Plan <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission:	<u>1975</u>
5. If approved, will this designation constitute a (select one)	<input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:	55
7. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

Designation of Public Housing Activity Description	
1a. Development name:	Allie Daniels Gorman Tower
1b. Development (project) number:	KY24-05

<p>2. Designation type:</p> <p>Occupancy by only the elderly <input type="checkbox"/></p> <p>Occupancy by families with disabilities <input type="checkbox"/></p> <p>Occupancy by only elderly families and families with disabilities <input checked="" type="checkbox"/></p>
<p>3. Application status (select one)</p> <p>Approved; included in the PHA's Designation Plan <input checked="" type="checkbox"/></p> <p>Submitted, pending approval <input type="checkbox"/></p> <p>Planned application <input type="checkbox"/></p>
<p>4. Date this designation approved, submitted, or planned for submission: 1980</p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input type="checkbox"/> New Designation Plan</p> <p><input type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>7. Number of units affected: 60</p> <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development</p> <p><input checked="" type="checkbox"/> Total development</p>

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name:
1b. Development (project) number:
2. What is the status of the required assessment?

<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any

homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance NOT APPLICABLE

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for

each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY
07/11/2001

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
 Information sharing regarding mutual clients (for rent determinations and otherwise)

- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

Preference in homeownership program administered by our partner agency; the Hazard Perry County Housing Development Alliance, Inc.

b. Economic and Social self-sufficiency programs including educational opportunities and job training programs for participating residents.

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method	Access (development office /	Eligibility (public housing or

		(waiting list/random selection/specific criteria/other)	PHA main office / other provider name)	section 8 participants or both)
<i>New Directions: 1999 ROSS grant for family developments.</i>	<i>20 to 25 families</i>	<i>All families must be effected by welfare reform</i>	<i>HAH main office; HAH Gorman Hollow office; Hazard Perry County Community Ministries; Hazard Perry County Housing Development Alliance; Kentucky River Community Care; Appalachian Lifelong Learning Center; Hazard Technical College; and the Hazard Community College</i>	<i>Public Housing</i>

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	NONE	
Section 8		

b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
 If no, list steps the PHA will take below:

HAH is no operating a FSS program and has never participated from available records.

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

SECTION XXX. COMMUNITY SERVICE

1. Introduction: The Quality Housing and Work Responsibility Act of 1998 mandates Housing Authorities to require that adults living in public housing comply with community service requirements. On March 29, 2000, the Changes to Admission and Occupancy Requirements in the Public Housing and Section 8 Housing Assistance Program Final Rule was published in the Federal Register and instructions issued on June 29 2000 in PIH 2000-22. Community Service requirements are effective October 1, 2000 for Housing Authorities with fiscal years that start on October 1, 2000. The Housing Authority of Hazard starts its fiscal year on October 1, 2000 and therefore the Housing Authority and its residents are subject to these requirements on that date.
2. Requirement: Each adult resident of the Housing Authority of Hazard shall:
 - ?? Contribute 8 hours per month of community service (not including political activities) within the City of Hazard or Perry County; or
 - ?? Participate in an economic self-sufficiency program for 8 hours per month; or
 - ?? Perform 8 hours of combined activities (community service and economic self-sufficiency program)Unless otherwise exempted from community service.
3. Exemptions: The Housing Authority of Hazard shall provide exemptions from the community service requirement for any individual who:
 - ?? Is 62 years of age or older;

- ?? Is a blind or disabled individual and certifies that because of their disability he/she is unable to comply with the requirements of the QHWRA for community service.
- ?? Is the primary caretaker of an individual who is blind or disabled.
- ?? Is engaged in a work activity as herein defined.
- ?? Meets the requirements for being exempted from having to engage in a work activity under the State program funded under part A of title IV of the Social Security Act, or under any other welfare program of the State in which the Housing Authority is located, including a State-administered welfare-to-work program; or
- ?? Is in a family receiving assistance under a State program funded under part A of title IV of the Social Security Act, or under any other State welfare or welfare-to-work program, and has not been found by the State or other administering entity to be in noncompliance with such program.

The Housing Authority will re-certify exemption status annually except in the case of residents who are 62 years of age or older. The Housing Authority will permit residents to change exemption status during the year if status changes.

4. Definition of Economic Self-sufficiency Program: HUD has defined a self-sufficiency program as any program designed to encourage, assist, train or Facilitate economic independence of assisted families or to provide work for such families. These economic self-sufficiency programs can include job training, employment counseling, work placement, basic skills training, education, English proficiency, workfare, financial or household management counseling or training, apprenticeship, or any program necessary to ready a participant to work (such as substance abuse or mental health treatment).

In addition to the HUD definition above, the Housing Authority of Hazard definition includes any other activities as approved by the Housing Authority of Hazard on a case-by-case basis. The Housing Authority of Hazard will consider a wide range of self-sufficiency opportunities. These may include, but are not limited to: participation in Parenting Classes, Family Counseling, Resident Advisory Board, any program sponsored by the Housing Authority through the ROSS grant, any program of the Lifelong Learning Center, and any program of the Hazard Community College or the Hazard Technical College providing additional education or job training activities.

4. Definition of Work Activity: For the purposes of the Housing Authority, a work activity is defined as the following:
 - ?? Employment, which may be subsidized or unsubsidized.
 - ?? Work experience, if regular employment is not available.
 - ?? On-the-job training or an apprenticeship.

- ?? Job-search, job-readiness or job skills training or assistance, which directly relates to employment or potential employment.
- ?? Community service programs.
- ?? Attendance and participation in an educational program, which can include any such program from literacy and GED training, secondary school, vocational training, and/or higher education at a post secondary school or college.
- ?? The provision of childcare services to an individual who is participating in a community service program.

5. Community Service Options: It is the resident's responsibility to determine what type of community service activity in which he or she wishes to participate, or if he or she wishes to participate in a self-sufficiency program. The Housing Authority of Hazard will give residents the greatest choice possible in identifying community service opportunities.

These choices may include but are restricted to volunteering at or participating at:

- ?? Any one of several self-sufficiency programs operated by such agencies as Kentucky DCBS, LKLP, HPPCM, KRCC, and the Housing Authority
- ?? Hazard Perry County Community Ministries, Inc. (HPCCM)
- ?? Kentucky River Community Care, Inc. (KRCC)
- ?? Hazard Perry County Housing Development Alliance, Inc. (HPCHDA)
- ?? Appalachian Service Project (located in Chavies)
- ?? Appalachian Regional Medical Center (ARH)
- ?? Hazard City Schools or the Perry County Schools
- ?? Hospice
- ?? The Perry County Public Library
- ?? The Perry County Senior Citizens Program
- ?? The Pride organization
- ?? Local Churches; or
- ?? The Hazard Perry County Humane Society.

The Housing Authority will consider any other group or organization. Resident must obtain a Community Service Form from the Housing Authority to be filled out by the community service organization to verify participation. Residents may confirm the approval of their choice of community service by contacting the Housing Authority.

6. Annual Determination: For each public housing resident subject to the community service requirement, the Housing Authority of Hazard shall, thirty (30) days prior to the expiration of their Lease, review and determine the compliance of the resident with the community service requirement. Such determination shall be made in accordance with the principles of due process and on a nondiscriminatory basis.

The Housing Authority will verify compliance annually. If the qualifying activities are administered by an agency other than the Housing Authority of Hazard, the Housing Authority must be provided verification of family or individual compliance from the third party. The Housing Authority of Hazard will provide forms for this purpose.

7. Noncompliance: If the Housing Authority determines that the resident is not in compliance, the Housing Authority will notify the resident of this determination. The resident's lease will not be renewed unless the resident enters into an agreement with the Housing Authority to make up the missed hours by participating in a self-sufficiency program or contributing to community service. All these actions and determinations are subject to the Housing Authority's grievance procedures and/or resolution in court. Should the resident fail to enter into such agreement or fails to abide by such agreement; the lease shall be violated and the Housing Authority shall begin eviction procedures immediately.

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)
- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
 - High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
 - Residents fearful for their safety and/or the safety of their children
 - Observed lower-level crime, vandalism and/or graffiti
 - People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
 - Other (describe below)
Resident survey conducted during the 1999 and 2000 PHAS indicated concern with safety; therefore, the Resident Survey Follow-up Plan is included as an Attachment.
2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)
Resident Survey conducted during 1999 and 2000 PHAS indicated concern by the residents over safety issues.

3. Which developments are most affected? (list below)

All developments: Walkertown/Highland Heights; Liberty Street/Liberty Village; Gorman Hollow; Perkins Tower and Gorman Tower.

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)
Working with the Hazard Police Department in crime detection and prevention activities. Working with the Kentucky River Community Care, Inc. for the provision of substance abuse treatment and prevention for residents and spouse abuse treatment and prevention.

2. Which developments are most affected? (list below)

Walkertown/Highland Heights; Liberty Street/Liberty Village; and Gorman Hollow

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

Walkertown/Highland Heights; Liberty Street/Liberty Village; and Gorman Hollow

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

PET POLICY

The Housing Authority of Hazard has established this pet policy to protect our residents and to comply with the requirements of the Quality Housing and Work Responsibility Act of 1998.

Common household pets as defined below will be allowed in all developments and may be kept within any unit provided that the resident abides by the regulations contained within this Pet Policy. Common household pet is defined as a domesticated animal, such as a dog, cat, bird, rodent, fish or turtle, which is traditionally kept in the home for pleasure rather than for commercial purposes. Reptiles (except turtles) and spiders are excluded from this definition.

YOU WILL BE HELD RESPONSIBLE FOR ANY DAMAGES CAUSED BY YOUR PET!

If you have a pet, you must abide by the rules and regulations listed below:

1. All pets must be registered with the Housing Authority and inoculated. A certificate stating that the pet has been inoculated as required by state and local law must be provided to the Housing Authority from a licensed veterinarian.

2. Litter must be changed twice weekly; yards and other areas must be scooped daily, it is the owners responsibility to keep all exterior areas were your pet is exercised and allowed to relieve themselves clean. The owner shall pick up all solid bodily waste and enclose them in a self-sealing plastic bag and then dispose of the bag in an appropriate waste/trash container.

3. Household pets only with a maximum of two pets per household.

4. A recent photograph must be provided to the housing office of the pets to be maintained in your tenant file.

5. All cats and dogs must be leashed and under the control of a responsible individual " while on the common areas", including shared hallways, elevators, stairwells, parking lots, lawn areas, etc. All leash laws and pet ordinances as ordained by the City of Hazard, Perry County and the Commonwealth of Kentucky must be followed.

6. Dogs and cats must be "lap sized" or small enough to be carried, not to exceed 25 pounds for dogs and 15 pounds for cats. Maximum size for aquariums shall be twenty (20) gallons or less. However, nothing in this Policy shall limit the size of service animal for the blind or handicapped.

7. A pet deposit will not be required.

8. Pets must not be left unattended for more than 24 hours. All residents/pet owners shall be responsible for adequate care, exercise, nutrition and medical attention for his/her pet(s). Pet owners must recognize that other residents may have chemical sensitivities or allergies related to pets, or may be easily frightened or disoriented by animals. Pet owners must agree to exercise courtesy with respect to other residents.

9. The Housing Authority reserves the right to remove any pet that becomes a nuisance and/or threat to the health and safety of the tenants and employees. This includes viciousness, severe illness and behavior that constitute a serious threat. Pet owners must agree to control the noise of pets so that such noise does not constitute a nuisance to other residents or interrupt their peaceful enjoyment of their dwelling unit or premises. This includes, but is not limited to loud or continuous barking, howling, whining, scratching, chirping, or other such activities.

10. Tenant shall be responsible for the infestation of fleas or other problems related to the pet. Tenant shall be responsible for all costs if unit has to be treated for fleas and all other units

and other common areas if infested due to their pet. All units will be fumigated after a pet is removed at the tenant's/pet owners expense.

12. Tenant is responsible for any and all liability exclusively relating to pet's care and control.

13. The Housing Authority assumes neither responsibility nor liability, whatsoever, for any pet.

14. The tenant is responsible for any disturbances caused by animals as per the terms of the lease and this Pet Policy. Violations of the lease and/or this Pet Policy may be subject to punitive actions as detailed within the lease and/or the ACOP including termination of the tenancy of the resident and/or removal of the pet(s).

15. The resident/pet owner will be required to designate two responsible parties for the care of the pet(s) if the health or safety of the pet is threatened by the death or incapacity of the pet owner, or by other factors that render the pet owner unable or unavailable to care for the pet(s).

16. The Housing Authority may, after proper notification to the tenant during normal business hours, enter and inspect the premises, in addition to other inspections allowed. Should the Housing Authority receive written notice alleging that the conduct or condition of the pet(s) in a dwelling unit constitutes a nuisance or threat to the health or safety of other tenants or other residents of the community, the Housing Authority shall inspect the unit to determine the validity of any such allegation.

17. Pet Policy Violation Notice: If a determination is made on objective facts supported by written statements that a resident/pet owner has violated the Pet Policy written notice will be served. The Notice will contain a brief statement of the factual basis for the determination and the pet rule(s) that have been violated. The notice will also state:

- ?? That the resident/pet owner has five (5) days from the effective date of the service of notice to correct the violation or make written request for a meeting to discuss the violation.
- ?? That the resident/pet owner is entitled to be accompanied by another person of his/her choice at the meeting.
- ?? That the resident/pet owner's failure to correct the violation, request a meeting, or appear at a requested meeting may result initiation of procedures to terminate the pet owner's tenancy.
- ?? If the resident/pet owner request a meeting within the five (5) day period, The Housing Authority will schedule a meeting, no later than five (5) calendar days from the date of the resident/pet owner's request.

18. Notice for Pet Removal: If the resident/pet owner and the Housing Authority are unable to resolve the violation at the meeting or if the pet owner fails to correct the violation

within the time period allotted by the Housing Authority, the Housing Authority may serve notice to remove the pet(s). The Notice shall contain:

- ?? A brief statement of the factual basis for the Housing Authority's determination to require the removal of the pet(s).
- ?? The requirement that the resident/pet owner must remove the pet(s) with five (5) days of the notice.
- ?? A statement that failure to remove the pet(s) may result in the initiation of termination of tenancy procedures.

19. Pet Removal: If the death or incapacity of the resident/pet owner threatens the health or safety of the pet(s), or other factors occur that render the resident/pet owner unable to care for the pet(s), the situation will be reported to the Responsible Party designated by the resident/pet owner. Pet removal will include pets that are poorly cared for or have been left unattended for over 24 hours.

If the Responsible Party is unwilling or unable to care for the pet(s), or if the Housing Authority is unable to contact the Responsible Party, the Housing Authority may contact the appropriate State or local agency and request the removal of the pet(s).

If the pet(s) is (are) removed as a result of any aggressive act on the part of the pet(s), the pet(s) will not be allowed to return to the premises.

20. Emergencies: The Housing Authority will take all necessary steps to insure that pets that become vicious, display symptoms of severe illness, or demonstrate behavior that constitutes an immediate threat to the health and safety of others, are referred to the appropriate State or local agency authorized to remove such animals. If it is necessary for the Housing Authority to place the pet(s) in a shelter facility, the cost will be the responsibility of the resident/pet owner.

Note:

Nothing in this policy limits or impairs the rights of persons with disabilities.

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? 1
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)? These response will be submitted to the State Office within two weeks.

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 - Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at Attachment (File name)

Provided below:

The Resident Advisory Board reviewed the new additions to the Annual Plan and the changes that have been made since last years Plan was submitted. They had no questions about these items other than a great deal of interest in the average income information for the various developments. They were interested and questioned several items in the 2000 P & E report, the 2001 Capital Fund budget and the Five year Plan. Chester Rose asked why the changes in the funding for Walkertown were made in the 2000 Capital Fund budget reflected by the P&E report. Mr. Rose also wondered why the Housing Authority did not replace the Walkertown development with duplexes like those in the Liberty Street development. Mr. Francis was glad to see the foundation replacement work on Liberty Street was begun and would continue until all these foundations were replaced. Ms Watts was curious as to why the roof was being replaced on Perkins Tower and why it was so expensive.

Mr Richie, the Housing Authority's representative at these meetings, explained that Walkertown would be replaced at some point in the future when the Housing Authority could work out the funding for this multi-million dollar project and find a suitable property for the first phase of the work, which be on another site. Mr. Rose was assured that the Housing Authority was proposing to use only smaller type units primarily single family and duplexes. Mr. Richie also explained that some funds originally designated for Walkertown were being used to made repair and renovations on Liberty Street and that these repairs were necessary due to recent damage resulting from the weather. Mr. Richie explained that the roof on Perkins Tower was being replaced for the first time since its construction in 1975; he explained that "built-up" roofs such as the one on Perkins Tower had a live expectance of approximately 25 years. Therefore, its was scheduled for replacement before any substantial damage occurred. The cost was explained due to the proposed type of roofing system to be used on the Perkins Tower roof and the large size of the building.

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments

List changes below:

Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

The resident member of the Board of Commissioners, Ms Bobbie Jean Adams of 317A Liberty Street, was appointed by the Mayor, as were all the other members of the Board. Ms Adams current term expires on April 8, 2005.

3. Description of Resident Election Process NOT APPLICABLE

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
 Candidates could be nominated by any adult recipient of PHA assistance
 Self-nomination: Candidates registered with the PHA and requested a place on ballot
 Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
 Any head of household receiving PHA assistance
 Any adult recipient of PHA assistance
 Any adult member of a resident or assisted family organization
 Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
 Representatives of all PHA resident and assisted family organizations
 Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

Commonwealth of Kentucky, the Consolidated Plan is developed and maintained by the Kentucky Housing Corporation.

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.

- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Revitalization of public housing developments; resident self sufficiency programs; and homeownership program under the direction and control of our partner agency, the Hazard Perry County Housing Development Alliance, Inc.

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The State Consolidated Plan is not location specific, but addresses most of the Commonwealth of Kentucky outside of the major cities such as Louisville, Lexington, Covington, etc. Therefore, it does not address the needs of Hazard individually but as part of the Kentucky River Area Development District. The Consolidated plan does identify a large and growing need for more affordable housing in all areas of the state as well as the need for rental housing within the affordable housing market. Additionally, the Consolidated plan states that not only is new construction needed but also extensive revitalization of existing affordable housing.

The Consolidated Plan also recognizes the need for increased employment and "livable" wages for all people. This is seen as the only means by which the poor can achieve self-sufficiency and escape the poverty of the welfare system.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

Attachment A. Resident Survey Follow-up Plan

RESIDENT COMMENT FOLLOW UP PLAN

1. Communications:

The Housing Authority of Hazard makes a conscious effort to establish and maintain communications with our residents. However, generally speaking the residents do not seem to respond to our communications. The Housing Authority tries to communicate with residents in a

variety of ways including flyers distributed door to door; legal advertisements; and letters sent directly to residents through the mail.

Maintenance and repair information is reported to the residents, normally by a memo or flyer distributed door to door, prior to planned or scheduled interruption of service whether the effected utility is owned by the Housing Authority, the City of Hazard, or other supplier. Other suppliers own almost all utilities that service our developments. These suppliers will generally use public service announcements on local radio and television to advise the local population of plan service interruptions.

The Housing Authority does give residents advanced notice of such annual events as the checking of furnaces and the lighting of boilers. Residents are advised of other such planned disturbances that might cause an interruption of normal activities.

Each resident is provided a copy of their lease when they initially are admitted to public housing. Residents are notified of all changes to the lease and provided a copy of any changes when such revisions are approved by the Board of Commissioners after the 45 day public comment period and the public hearing on said revisions. Any substantial change will require the Housing Authority to provide each resident with a new copy of the revised lease; such changes will normally be place into effect with the recertification process.

Residents are provided information about meetings by several methods. Public hearing are advertised in the local newspaper with the largest circulation in legal advertisements as well as by the use of flyers and/or memos handed out door to door. Other notices are distributed door to door or to individual resident's units. Some notices, such as eviction notices, are generally mailed to the resident.

The management of the Housing Authority of Hazard tries extremely hard to communicate with our residents and recognizes that the resident is our ultimate consumer. However, it is impossible to address all of the concerns and wishes of the residents. Financial and physical constraints limit the ability of management to provide all the desires of the resident. But to the extent feasible, the management of the Housing Authority is responsive to the resident, however the response is not always the one that the individual resident may be seeking.

The Board of Commissioners and the management of the Housing Authority of Hazard demand that all employees of the Housing Authority are courteous to residents. The "Personnel Policy" of the Housing Authority states that being discourteous to residents is grounds for disciplinary action. Residents are encouraged to notify the Executive Director or any member of the Board of Commissioners when any employee is discourteous or abusive to a resident.

The lack of a functioning resident organization at each of the developments of the Housing Authority has long been a problem that management has tried to address. Residents have not been responsive to the attempts of management to begin such resident organizations. The only success that the Housing Authority can claim is the formation of the Resident Advisory Board, which is composed of appointed residents. However, as a result of the work of the ROSS Project Coordinator and the case manager, the Liberty Street/Liberty Village development has begun the process to formally initiate a Residents' Committee. Elections of the Board of Directors for the Liberty Street Committee will be held on August 6, 2001 at the Liberty Street Community Center.

2. Safety:

How do you make anyone feel safe in his or her home or his or her rented apartment? This thought never crosses most people's mind; we take for granted that the police are available to patrol our neighborhood and to protect us. We believe that crime in or around our home is unlikely and therefore we do not even normally think about such things. But in public housing, I would think, that feeling safe is a function of knowing and trusting your neighbors; understanding that the local police are patrolling your neighborhood and ready to respond to your call for assistance; and feeling that the locks on your doors are adequate to deter someone trying to break into your home.

Obviously, it maybe harder to feel safe and secure in an area that is dark dilapidated and run down. The Housing Authority is working to remove these conditions at our developments, as funds are available. We have only limited Capital Funds available each year for modernization work, which must address such issues. Additionally, The Housing Authority is working with the Hazard Police Department to increase the resident's feeling of a police presence in their neighborhoods.

The Housing Authority recognizes that we have some problems or potential problems in the area of bad lighting at several of our developments, Walkertown and Liberty Street in particular. We will strive to rectify these problems, as funds become available. However, at the present, no funds are available to address these problems.

The Maintenance Department or the Force Account Modernization crew routinely addresses broken locks and vacant units. Generally speaking, broken locks are replaced within twenty-four hours of being reported. Vacant units are returned to line for rental within thirty to sixty days depending on the damages and the number of units which become vacant within a given time; some times of the year seem to produce more move out than others.

Resident screening has become more thorough over the last year by the addition of the criminal background check. All applicants are now routinely processed through the NCIC check by our local police department.

3. Neighborhood Appearance:

Neighborhood appearance is affected by a wide variety of issues ranging from age; original or modified building design and construction; site grading and landscaping; maintenance; etc. Likewise, what some people in one location might find attractive would be hideous or perhaps even heinous to someone else in the same or another location.

The Housing Authority has concentrated on several areas that reflect on neighborhood appearance over the past year. Pest control and trash and litter clean up are two areas of increased awareness and added emphasis for the Maintenance Department. Abandoned or broken down vehicles have been an area of increase attention and cooperation between the Hazard Police Department and the Housing Authority. Two of our developments have only on-street parking due to terrain and the age of the developments, Walkertown and Liberty Street. Parking in these developments is therefore always a source of potential problems for residents and their visitors.

Some problems areas associated with Neighborhood Appearance are combined management and maintenance problems. The appearance of interior hallways is normally a

maintenance problem but may become a management and/or police problem when an area has been vandalized. The exterior of building and the presence of graffiti are also similar problems, which must be addressed by both management and the maintenance personnel or in some instances the modernization crew. These problems are repaired and cleaned up by the Maintenance Department, but management when appropriate can and will begin eviction and criminal procedures against identified persons responsible for such damages. Vacant units may become an appearance problem when coupled with vandalism, the Maintenance Department or the modernization crew generally addresses problem units of this type.

Noise can become a problem when people living in close proximity to one another such as in public housing. The Hazard Police Department and the Housing Authority are working together to alleviate these problems through warnings, arrest, and evictions as necessary to protect residents right to enjoy their homes. While residents may feel that our actions are not swift enough, both the Housing Authority and the Police Department are acting to solve and/or eliminate these occurrences as seems appropriate and prudent.

Attachment B: Capital Fund Program Annual Statement

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of Hazard	Grant Type and Number Capital Fund Program Grant No: KY36P02450100 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 3)
 Performance and Evaluation Report for Period Ending: 03/31/01 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	73925.00	52936.12	33210.00	11687.67
3	1408 Management Improvements	38192.55	35692.55	50.20	50.20
4	1410 Administration	26244.45	26244.45	3666.00	1851.72
5	1411 Audit	2750.00	2750.00	2750.00	2750.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	10000.00	30000.00	25150.00	11531.00
8	1440 Site Acquisition				
9	1450 Site Improvement	3500.00	0		
10	1460 Dwelling Structures	227359.00	229935.50	85436.94	78898.73
11	1465.1 Dwelling Equipment—Nonexpendable	28500.00	24700.00	6200.00	6200.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	27000.00	35212.38	32036.42	32036.42
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of Hazard	Grant Type and Number Capital Fund Program Grant No: KY36P02450100 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 3)
 Performance and Evaluation Report for Period Ending: 03/31/01 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	437471.00	437471.00	188499.56	145005.74
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of Hazard		Grant Type and Number Capital Fund Program Grant No: KY36P02450100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 24-01 Walkertown	Unit Renovations	1460	4	81507.50	28040.00	28040.00	21501.79	
	Roof Replacement	1460	1	30000.00	17500.00			
	Replace Boilers and Hot Water Heaters in 4 Bldgs.	1465	4	21500.00	18500.00			
KY 24-02 Liberty Street	Roof Replacement 423 Bldg.	1460	1	0	17500.00			
	Unit Renovations	1460	7	0	41509.00	12935.44	12935.44	
KY 24-03 Liberty Street	Unit Renovations Foundation Replacement: 409 Bldg	1460	6	65851.50	44461.50	44461.50	44461.50	
		1460	1	0	16590.00			
KY 24-04 Perkins Tower	Repair, Seal, & Restripe Parking Lot	1450	1	1500.00	0			
KY 24-05 Gorman Tower	Repair, Seal, & Restripe Parking Lot	1450	1	2000.00	0			
HAH Wide Activities	Vehicle for Asst. Director/Mod. Supervisor	1460	1	0	14335.00			

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Housing Authority of Hazard		Grant Type and Number Capital Fund Program Grant No: KY36P02450100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Security	1408		500.00	0			
	Reimburse General Fund for Contracting Officer Salary: FY 1999 & 2000	1408		30692.55	30692.55			
	RIC	1408		7000.00	5000.00			
	Administration: Reimburse General Fund for Contracting Officer's Salary: FY 1999 & 2000	1410		22644.45	22644.45			
	Rental of Storage Facility	1410		3600.00	3600.00			
	Audit	1411	1	2400.00	2750.00	2750.00	2750.00	
	Professional Services Reimbursement for Workman's Compensation Payments for 1998, 1999 & 2000	1430 1460		10000.00 50000.00	30000.00 50000.00	25150.00	11531.00	
	Replacement Stoves and Refrigerators	1465		7000.00	6200.00	6200.00	6200.00	Complete
	Tools for Force Account Labor Crew	1475		3000.00	5509.90	2333.94	2333.94	
	Computer Hardware	1475		24000.00	29702.48	29702.48	29702.48	Complete

Operations to be transferred to HAH 1406 Account # 3690 for Replacement Reserves & FY 2001 Salary for	73925.00	52936.12	33210.00	11687.67
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Contracting Officer

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Housing Authority of Hazard			Grant Type and Number Capital Fund Program No: KY36P02450100 Replacement Housing Factor No:			Federal FY of Grant: 2000	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
KY 24-01 Walkertown	09/30/01	12/31/01		03/31/02	03/31/02		
KY 24-02 Liberty Street	09/30/01	12/31/01		12/31/01	06/30/02		
KY 24-03 Liberty Street	09/30/01	12/31/01		06/30/02	06/30/02		
KY 24-04 Perkins Tower	06/30/01			09/30/01			Moved to FY 2001
KY 24-05 Gorman Tower	06/30/01			09/30/01			Moved to FY 2001
HAH Wide Activities	12/31/01	03/31/02		12/31/02	12/31/02		

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of Hazard	Grant Type and Number Capital Fund Program Grant No: KY36P02450101 Replacement Housing Factor Grant No:	Federal FY of Grant: 2001
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	269194.40			
3	1408 Management Improvements	4000.00			
4	1410 Administration	5200.00			
5	1411 Audit	3000.00			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	8500.00			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	147527.60			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	8500.00			
13	1475 Nondwelling Equipment	500.00			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of Hazard	Grant Type and Number Capital Fund Program Grant No: KY36P02450101 Replacement Housing Factor Grant No:	Federal FY of Grant: 2001
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Original Annual Statement **Reserve for Disasters/ Emergencies** **Revised Annual Statement (revision no:**
 Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report**

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	446422.00			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of Hazard		Grant Type and Number Capital Fund Program Grant No: KY36P02450101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 24-01 Walkertown/ Highland Heights	Bldg. Exterior Repair	1460	1	15000.00				
KY 24-02 Liberty Street	Unit Renovations	1460	6	50000.00				
KY 24-03 Liberty Street/Liberty Village	Unit Renovations	1460	4	62527.60				
	Foundation Replacement: Modular Bldg.	1460	1	20000.00				
KY 24-05 Gorman Tower	Replace Rooftop Heating Unit for Common Areas	1470	1	8500.00				
HAH Wide Activities	Operating Funds: Replacement Reserves and Salary for Grant Administrator and 1/2 of Assist. Director's Salary, including fringe benefits	1406		269194.40				
	RIC	1408		4000.00				
	Administration	1410		5200.00				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of Hazard		Grant Type and Number Capital Fund Program Grant No: KY36P02450101 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Audit	1411	1	3000.00				
	Professional Services	1430		8500.00				
	Tools for Force Account Labor Crew	1475		500.00				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Housing Authority of Hazard	Grant Type and Number Capital Fund Program No: KY36P02450101 Replacement Housing Factor No:	Federal FY of Grant: 2001
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Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
KY 24-01 Walkertown/Highland Heights	12/31/02			12/31/03			
KY 24-03 Liberty Street/Liberty Village	12/31/02			12/31/03			
KY 24-05 Gorman Tower	12/31/01			3/31/02			
HAH Wide Activities							
Operating Funds: 1406	06/30/03			12/31/03			
Management Improvements	12/31/02			12/31/03			
Administration	12/31/02			12/31/03			
Audit	06/30/03			12/31/03			
Professional Services	12/31/02			12/31/03			
Dwelling Equipment	12/31/02			12/31/03			
Nondwelling Equipment	06/30/03			12/31/03			

Attachment C: Capital Fund Program Five-Year Action Plan

Part I: Summary

A Name Housing Authority of
zard

Original 5-Year Plan
 Revision No:

Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2002 PHA FY: 2002	Work Statement for Year 3 FFY Grant: 2003 PHA FY:2003	Work Statement for Year 4 FFY Grant: 2004 PHA FY:2004	Work Statement for Year 5 FFY Grant: 2005 PHA FY:2005
24-01 Walkertown	Annual Statement	\$ 108,000.00	\$128,000.00	\$108,000.00	\$ 70,000.00
24-03 Liberty		68,000.00	68,000.00	52,000.00	52,000.00
24-04 Perkins		12,900.00	69,500.00	35,000.00	45,000.00
24-05 Gorman		3,100.00	0	35,000.00	45,000.00
24-06 Gorman		32,000.00	13,000.00	39,400.00	47,950.00
HA Wide Activities		222,422.00	167,922.00	177,022.00	186,472.00
Total CFP Funds (t.)		\$446,422.00	\$446,422.00	\$446,422.00	\$446,422.00
Total Replacement using Factor					
nds					

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : 2 FFY Grant: 2002 PHA FY: 2002			Activities for Year: 3 FFY Grant: 2003 PHA FY:2003		
	KY 24-01 Walkertown	Unit Renovations	\$48,000.00	KY 24-01 Walkertown	Unit Renovations	\$ 48,000.00
		Roof Replacements	\$60,000.00		Roof Replacements	\$ 60,000.00
ee	Subtotal		\$108,000.00		Deck Replacements	\$ 20,000.00
	KY 24-03 Liberty Street	Unit Renovations	\$36,000.00	Subtotal		\$128,000.00
nual		Foundation Replacements	\$32,000.00	KY 24-03 Liberty Street	Foundation Replacements	\$32,000.00
	Subtotal		\$68,000.00		Unit Renovations	\$36,000.00
an	KY 24-04 Perkins Tower	Carpet Replacement in Common Areas	\$12,900.00	Subtotal		\$68,000.00
				KY 24-04 Perkins Tower	Roof Replacement	\$69,500.00
	KY 24-05 Gorman Tower	Paint Common Areas	\$3,100.00	KY 24-06 Gorman Hollow	Roof Replacement	\$13,000.00
				HAH Wide Activities	Security	\$500.00
	KY 24-06 Gorman Hollow	Roof Replacements	\$32,000.00		RIC	\$7,000.00
	HAH Wide Activities	Reimbursement for Unemployment and Workman's Compensation	\$55,000.00		Reimbursement for Unemployment and Workman's Compensation	\$55,000.00
		RIC	\$7,000.00			
Total CFP Estimated Cost	<i>Operating Funds</i>		\$160,422.00	<i>Operating Funds</i>		\$105,422.00
			\$446,422.00			\$446,422.00

Activities for Year: 4
FFY Grant: 2004

Activities for Year: 5
FFY Grant: 2005

PHA FY: 2004			PHA FY: 2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
Y 24-01 alkertown	Unit Renovations	\$ 48,000.00	KY 24-01 Walkertown	Deck Repairs	\$ 70,000.00
	Roof Replacements	\$ 60,000.00	KY 24-03 Liberty Street	Unit Renovations	\$ 36,000.00
btotal		\$108,000.00		Foundation Replacement	\$ 16,000.00
			Subtotal		\$ 52,000.00
24-03 Liberty Street	Foundation Replacements	\$ 16,000.00	KY 24-04 Perkins Tower	Unit Renovations	\$ 45,000.00
	Unit Renovations	\$ 36,000.00	KY 24-05 Gorman Tower	Unit Renovations	\$ 45,000.00
Subtotal		\$ 52,000.00	KY 24-06 Gorman Hollow	Unit Renovations	\$ 47,950.00
24-04 Perkins Tower	Unit Renovations	\$ 35,000.00	HAH Wide Activities	Security	\$500.00
24-05 Gorman Tower	Unit Renovations	\$ 35,000.00		RIC	\$7,000.00
24-06 Gorman Hollow	Unit Renovations	\$ 39,400.00		Reimbursement for Unemployment and Workman's Compensation	\$ 55,000.00
HAH Wide Activities	Security	\$500.00		Operating Funds	\$123,972.00
	RIC	\$7,000.00	Subtotal		\$186,472.00
	Reimbursement for Unemployment and Workman's Compensation	\$ 55,000.00			
	Operating Funds	\$114,522.00			
Subtotal		\$177,022.00			

Total CFP Estimated Cost	\$446,422.00			\$446,422.00

Attachment D: Residents Comments on Capital Funds

As in past years, the Housing Authority of Hazard used several methods to ascertain the residents comments on our proposed Capital Funds budget for the next year and for the next five years. The public hearing to discuss these Capital Funds as well as the Annual and Five Year Plan was held on July 2, 2001 at the Hazard City Hall and was properly advertised in the Hazard Herald newspaper. However, no residents attended this public hearing.

Additionally, we utilized a survey tailored to each development and individual discussions with residents to try to develop a representative idea of their thoughts on our Capital Funds program. While the response to the surveys was not huge, we did receive comments from all developments. These comments were also very similar to the comments obtained by one-on-one discussions with residents.

The residents of the two elderly developments, Perkins Tower and Gorman Tower, were the most diligent in responding to the survey and they expressed similar wishes. These were interested in the following improvements:

- ?? The creation of an exercise room or area at each complex.
- ?? The provision of increased security measures.
- ?? Parking lot repairs and designation of parking spaces, one per resident.
- ?? Landscaping and lawn care.
- ?? Paint and cleaning of hallways and common areas.
- ?? An area or room designated for crafts, music, and games.
- ?? Replace floor coverings in common areas and in units.
- ?? Unit renovations, particularly the kitchen and bathrooms.

These various desires of the residents will be addressed as is feasible and funds are available after the more critical problems of these and other developments are addressed. However, several of these desires are beyond the capabilities of the buildings and/or grounds to support; such as parking spaces are limited at both facilities and it is not feasible to designate one space per resident since most residents do not own vehicles. Also, build floor space is very limited and therefore it is impossible to create a single purpose exercise room or a crafts/game room within the buildings. The Housing Authority is working with the Hazard Police Department to address the security concerns of our elderly residents and will continue to work toward a solution to these concerns. Other repairs and renovations are planned in Five Year Plan and a new roof for Perkins Tower is included in the FY 2003 Five Year Plan budget.

The Walkertown development residents indicated that they were concerned with the conditions of the roofs on several buildings, the renovation of units, the cleanliness of the overall site and landscaping. The concerns about the roofs and the unit renovations are being addressed by the FY 2001 Capital Funds budget and the Five Year Plan. Several other work items at the Walkertown development will also be addressed within these budgets including deck repairs. The other item that was mentioned several times is the addition of washer and dryer hookups in the units, typically washer hookups are provided during renovations, however the electrical service to the units will not allow the inclusion of dryer loads on the electrical circuits. It should

be noted that the Housing Authority is working towards ultimately replacing these units when funding can be obtained while maintaining the housing units and their associated subsidy.

The Liberty Street and Gorman Hollow residents did not respond to the survey in general, only one response from each development was received. Individual discussion of residents' needs and desires indicated that unit renovations and landscaping were the primary desires of residents in both locations. Gorman Hollow residents also expressed a desire to have additional washers and dryers in the laundry room and assigned parking spaces. Unit renovations as well as other work items are being addressed over the next five years at both developments.

Attachment E: Membership of the Resident Advisory Board

Mr. Chester Rose: Walkertown Housing Development

Mr. Orville Francis: Liberty Street Housing Developments

Ms Mable Watts: Perkins Tower

Ms Sandra Price: Gorman Hollow Development

?? The Gorman Tower Development is currently not represented until the Mayor appoints another member of the Advisory Board from that development. The former member from Gorman Tower, Mr. Nicholas Poppas recently moved to Ohio.

Attachment F: Definition of Significant Amendment and Substantial Deviation

Significant Amendment is defined as any meaningful change in the Mission of the Housing Authority of Hazard.

Substantial Deviation from the 5 Year Plan is defined as any changes which result in an increase in excess of 15% in cost to any proposed line item other than 1460 and which results in an increase in excess of 25% in the 1460 line items.