

PHA Plans

5-Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2001

ROCKFORD HOUSING AUTHORITY IL-022
223 S. WINNEBAGO ST.
ROCKFORD, ILLINOIS 61102

RHA BOARD OF COMMISSIONERS

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**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: *Rockford Housing Authority*

PHA Number: *1106-PO22*

PHA Fiscal Year Beginning: (mm/yyyy) *10/2001*

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

Contribute to human growth and dignity through the effective use of available resources by providing a defined quality living environment to eligible people within the Rockford Community while creating opportunities for an improved quality of life.

This will be accomplished through the mutual effort and contribution of residents, employees, Commissioners, and the community.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers: (250 vouchers)
 - Reduce public housing vacancies: (100 units) *Customer Retention, Market*
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing Objectives:

- Improve public housing management: (PHAS score) 90
- Improve voucher management: (SEMAP score) 90
- Increase customer satisfaction: (*Improve PHAS Score*)
- Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections) (*Reduce Public Housing Unit Turnaround Time and improve PHAS financial scores*)
- Renovate or modernize public housing units: (*350 Units*)
- Demolish or dispose of obsolete public housing: (*294 Units*)
- Provide replacement public housing: (*147 Units*)
- Provide replacement vouchers: (*250 vouchers*)
- Other: (list below)

PHA Goal: Increase assisted housing choices Objectives:

- Provide voucher mobility counseling: (*300 People*)
- Conduct outreach efforts to potential voucher landlords (*25 New Landlords*)
- Increase voucher payment standards
- Implement voucher homeownership program: (*100 Units*)
- Implement public housing or other homeownership programs: (*90 Units*)
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments: (*Monitor Targeted Developments and increase average by 10%*)
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements: (*Security Lighting*)
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:
 - Increase the number and percentage of employed persons in assisted families: *(Increase to 30% of Targeted Development Households)*
 - Provide or attract supportive services to improve assistance recipients' employability: *(Contract \$60,000 per year to provide Employment Training & Placement Services)*
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities. *(Contract \$80,000 per year for Service Coordinator Activities)*
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability: *(Addressed in Fair Housing Policies of the Admissions and Occupancy Plan)*
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability: *(Addressed in Fair Housing Policies of the Admissions and Occupancy Plan)*
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required: *(Addressed in Fair Housing Policies of the Admissions and Occupancy Plan)*
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

**Annual PHA Plan
PHA Fiscal Year 2000**

[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Rockford Housing Authority (RHA) receives over \$21 million in annual budgets to serve more than 3,700 families per year at a cost of approximately \$5,810 per family unit or \$502 per family unit month. The RHA employs 140 regular fulltime positions and 2 Step Up participants who maintain the 2,004 units of affordable Low Income Public Housing (LIPH), 216 units of Project-Based Section 8 and administer more than 1,560 Section 8 vouchers, certificates and various other Assisted Housing Contracts.

Over the next 5 years the RHA, through a Limited Partnership with Urban Residential Group plans to develop 104 units of new single and multifamily housing in the Concord Commons Area Community through a mixed finance process. This Neighborhood Revitalization Project will include demolition of 108 of the 216 units at Concord Commons. RHA currently manages Concord Commons, a 216 unit 221 (d) (3) Substantial Rehab Project Based Section 8 Development. It is 30 years old and in serious need of revitalization.

We also intend to implement an Energy Conservation Performance Contract for the Public Housing Developments, create a Section 5 (h) Homeownership Program involving 90 Public Housing Units through conversion of 3 - Scattered Sites Projects (IL22-012, 016 & 017), apply for HOPE VI Funds for Fairgrounds Valley and Jane Addams Village Multifamily Projects (IL22-007 & 005), designate specific floors in 5-High Rise Buildings for Elderly Only in Projects (IL22-004, 005A & B, 006 & 009), work closely with the City of Rockford to improve Community Standards and update RHA policies and procedures.

The RHA's primary objectives include:

- A) Increase the availability of Decent, Safe and Affordable Housing by reducing the average annual number of vacant units by 100*
- B) Improve Community Quality of Life and Economic Vitality through implementation of Neighborhood Revitalization programs*
- C) Increase the number of working families in Public Housing from 15% to 30% by networking with City of Rockford Human Services, Work Incentive Board, State of Illinois Health & Human Services Department and by providing Employment Training and Placement Programs*
- D) Ensure Equal Opportunity in Housing for all Americans through monitoring and adherence to Local, State and Federal Regulations*

Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Annual Plan	Page#
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ii. Table of Contents	
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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- | | | |
|-------------------------------------|--|-----|
| <input checked="" type="checkbox"/> | Admissions Policy for Deconcentration - Attachment A | A 1 |
| <input checked="" type="checkbox"/> | FY 2001 Capital Fund Program Annual Statement – Attachment B | B 1 |
| <input type="checkbox"/> | Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)–Attachment C | C 1 |

Optional Attachments:

- | | | |
|-------------------------------------|---|-----|
| <input type="checkbox"/> | PHA Management Organizational Chart - Attachment D..... | D 1 |
| <input checked="" type="checkbox"/> | FY 2001 Capital Fund Program 5-Year Action Plan – Attachment E | E 1 |
| <input checked="" type="checkbox"/> | Public Housing Drug Elimination Program (PHDEP) Plan- Attachment F | F 1 |
| <input type="checkbox"/> | Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text) (<i>Included in plan</i>) | |
| <input checked="" type="checkbox"/> | Other (List below, providing each attachment name)
Option Public Housing Asset Management Table – Attachment G..... | G 1 |

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
XX	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
XX	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
XX	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
XX	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
XX	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
XX	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
XX	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
XX	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
XX	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
IL22-501 XX	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
XX	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
XX		Service & Self-Sufficiency
XX	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
XX	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
XX	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	4,853	3	2	3	3	5	2
Income >30% but <=50% of AMI	3,142	3	3	3	3	3	2
Income >50% but <80% of AMI	2,338	3	5	3	3	3	2
Elderly	3,374	3	2	3	3	1	4
Families with Disabilities	6,385	5	4	5	5	4	5
Race/Ethnicity	69,883	2	2	5	3	3	2
Race/Ethnicity	7,749	3	3	3	3	3	2
Race/Ethnicity	2,472	3	3	3	3	3	2
Race/Ethnicity	1,204	3	3	3	3	3	2

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 00
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development / sub-jurisdiction:			
	# Of families	% Of total families	Annual Turnover
Waiting list total	632		
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)			
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity	158	25%	
Race/ethnicity	470	75%	
Race/ethnicity	1		
Race/ethnicity	3		
Characteristics by Bedroom Size (Public Housing Only)			
1BR	N/A		
2 BR	N/A		
3 BR	N/A		
4 BR	N/A		
5 BR	N/A		
5+ BR	N/A		
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 24			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/sub-jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	376		
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)			
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity – White	120	32%	
Race/ethnicity- Black	244	65%	
Race/ethnicity-Asian	11	3%	
Race/ethnicity	1		
Characteristics by Bedroom Size (Public Housing Only)			
1BR	195	52%	
2 BR	63	17%	
3 BR	91	24%	
4 BR	23	6%	
5 BR	3	1%-	
5+ BR	1		
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line– *Customer Retention Strategies*
- Reduce turnover time for vacated public housing units – *Customer Retention Strategies*
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance. – *Conversion to Section (5) (h) Home-Ownership*
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities

- Other: (list below) – *Local Preference for Persons with Disabilities*

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs – *Customer Retention Strategies*
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year.

Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2000 grants)		
a) Public Housing Operating Fund	6,262,697	
b) Public Housing Capital Fund	4,238,858	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	8,266,463	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	493,840	
g) Resident Opportunity and Self-Sufficiency Grants	500,000	
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
Family Self Sufficiency Coordinator	46,404	Section 8 supportive services
Service Coordinator Program	56,442	Public Housing supportive services
2. Prior Year Federal Grants (un-obligated funds only) (list below)		
3. Public Housing Dwelling Rental Income	1,723,000	
4. Other income (list below)		
4. Non-federal sources (list below)		
Total Resources	21,587,704	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

- a. When does the PHA verify eligibility for admission to public housing? (select all that apply)
- When families are within a certain number of being offered a unit: (state number)
 - When families are within a certain time of being offered a unit: (state time)
 - Other: (describe) – *When the full application is submitted*
- b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?
- Criminal or Drug-related activity
 - Rental history
 - Housekeeping
 - Other (describe)
- c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2) Waiting List Organization

- a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)
- Community-wide list
 - Sub-jurisdictional lists
 - Site-based waiting lists
 - Other (describe)
- b. Where may interested persons apply for admission to public housing?
- PHA main administrative office
 - PHA development site management office
 - Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? 0

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists? 4 - Section 8; LIPH; Scattered Sites & Concord Commons

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)? N/A

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (Select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Over-housed
- Under-housed
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

A) Which of the following admission preferences does the PHA plan to employ in the coming year? (Select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below) – *Elderly & Near Elderly*

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

4 Date and Time

Former Federal preferences:

- (1) Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- (1) Victims of domestic violence
- (1) Substandard housing
- (1) Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below) – *Elderly & Near Elderly*

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income-targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA’s Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (Select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

Component 3, (6) Deconcentration and Income Mixing

a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]
IL22-001-Blackhawk	196	Promote Self-Sufficiency	
IL22-007-Fairgrounds	209	Promote Self-Sufficiency	
IL22-012-Scat Sites	10	Bring in lower income tenants	
IL22-013-Scat Sites	46	Bring in lower income tenants	
IL22-016-Scat Sites	48	Bring in lower income tenants	
IL22-017-Scat Sites	30	Bring in lower income tenants	
IL22-019-Scat Sites	20	Bring in lower income tenants	
IL22-020-Scat Sites	20	Bring in lower income tenants	
IL22-008-Scat Sites	120	Bring in lower income tenants	
Totals	699		

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.
Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)

The HA may apply the following criteria, in addition to the HUD eligibility criteria, as grounds for denial of admission to the program. When the HA denies assistance to an applicant with a disability, the applicant may request a review of the family obligation that was violated, if the violation was a result of the disability.

1. *No family member may have committed fraud, bribery, or any other corrupt or criminal act in connection with any federal housing program within the last thirty-six (36) months.*
2. *Family must have paid any outstanding debt owed the HA or another HA as a result of prior participation in any federal housing program. No repayment Agreement will be accepted.*

If the family's payments are current at the time of final eligibility determination, the family will be issued a certificate or voucher. The HA reserves the right in the case of extreme hardship to waive the policy in regards to Repayment Agreements. Waiver of the "No Repayment Agreement Provision will be at the sole discretion of the HA and will require full documentation of the hardship and the approval of the Executive Director. In no case will the debt be forgiven.

- A) *The HA will screen all applicants for criminal activity as part of the processing of an application for assistance. Applicants or applicant family members who are found to have engaged in drug related or violent criminal activity within 36 (36 months) of the date of the initial application will be denied admission.*

Drug related criminal activity is defined as: "The illegal manufacture, sale, distribution, use, or possession with intent to manufacture, sell, distribute, or use as a controlled substance." Violent criminal activity is defined as: "Any criminal activity that has as one of its elements the use, attempted use or threatened use of physical force against a person or property of another."

4. *No family member may have been evicted from public housing or any project based Section 8 program for any reason during the last twelve months.*
5. *No family member may have been evicted from public housing or any project based Section 8 program for any reason during the last twelve months.*
6. *No member of the family may have engaged in behavior so that there is reason to believe the person abuses alcohol in a manner, which may interfere with the health, safety, or right of peaceful enjoyment by other residents or neighbors.*

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

Criminal or drug-related activity

Other (describe below) – *Name of previous / current landlord*

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

None

Federal public housing

Federal moderate rehabilitation

Federal project-based certificate program

Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

PHA main administrative office

Other (list below) – *Community Locations – Mass sign-up locations throughout the City, wait list is usually closed but is scheduled to open in 2001*

(3) Search Time

- a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Extenuating circumstances such as hospitalization or a family emergency. – Verification is required

Upon submittal of appropriate documentation that the family has made a reasonable effort to locate a unit.

The family was prevented from finding a unit due to disability accessibility requirements or large size bedroom unit requirement.

(4) Admissions Preferences

- a. Income targeting

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

- b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
 Victims of domestic violence
 Substandard housing
 Homelessness
 High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
 Veterans and veterans' families
 Residents who live and/or work in your jurisdiction

- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below) – *Elderly / Near Elderly & Persons with Disabilities*

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

(1) Date and Time

Former Federal preferences

- (1) Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- (2) Victims of domestic violence
- (1) Substandard housing
- (1) Homelessness
- (3) High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below) – *Elderly / Near Elderly & Persons with Disabilities*

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

Newspapers, Flyers, Community Agencies, Churches & Posting on RHA Website

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below: - *Admissions & Continued Occupancy Plan*

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)
- If yes, state percentage/s and circumstances below:

- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below) - *Child Support for a Child that does not reside at home*

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income)
(Select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____
- Other (list below)

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below) – *No Flat Rents at this time*

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA’s payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (Select all that apply) – *N/A*

- FMRs are adequate to ensure success among assisted families in the PHA’s segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or sub-market
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (Select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA’s segment of the FMR area
- Reflects market or sub-market
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below) -

<i>Success Rate</i>	<i>Leased Units</i>	<i>10/01/00</i>	<i>10/01/99</i>
<i>Vouchers</i>		<i>944</i>	<i>285</i>
<i>Certificates</i>		<i>307</i>	<i>858</i>
<i>Mod-Rehab</i>		<i>315</i>	<i>308</i>

6.9% Lease up increase over the year

Payment standards raised in October '98 allowed the participants the opportunity to find decent units in all areas of the city.

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	1767	550
Section 8 Vouchers	285	120
Section 8 Certificates	858	0
Section 8 Mod Rehab	315	120
Special Purpose Section 8 Certificates/Vouchers (list individually)	60	12
Public Housing Drug Elimination Program (PHDEP)	640	200
Other Federal Programs (list individually)		
ROSS	640	200
CFP	1925	550
Service Coordinator	514	151
Section 8 FSS Coordinator	100	15

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

Admissions and Occupancy Policy

RHA Lease Agreement

Resident Handbook

Pest Control Policy

Emergency Handbook

SPM Handbook

LBP Guidebook

(2) Section 8 Management: (list below)

Administrative Plan

Section Eight Lease Agreement

HAP Contract

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (Select all that apply)
- PHA main administrative office - *Applicants*
 - PHA development management offices - *Residents*
 - Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- PHA main administrative office – *Responses are required to be in written form*
 - Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) (*Attachment - E*)

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

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HUD 50075

OMB Approval No: 2577-0226

Expires: 03/31/2002

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
 - Revitalization Plan under development
 - Revitalization Plan submitted, pending approval
 - Revitalization Plan approved
 - Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

IL022-007 Fairgrounds Valley & IL022-005 Brewington Oaks & Jane Addams Village

- Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

New Development at RHA site east of Concord Commons 44-single, 18-duplex & 8-threplex buildings mixed income planned residential development Partnership with Urban Residential Group. Some of these units will be public housing.

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>	
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>	
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)	
5. Number of units affected:	
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:	

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one)	Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)	
5. If approved, will this designation constitute a (select one)	<input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:	
7. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

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HUD 50075

OMB Approval No: 2577-0226

Expires: 03/31/2002

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway <input checked="" type="checkbox"/> Assessment results submitted to HUD – 04/01/99 <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units	

Other: (describe below)

Did cost analysis with the result of the development being more economical to have as Public Housing than Section 8 vouchers.

*Plan to submit a HOPE VI Revitalization Plan & Application
By 2002 Deadline.*

Contracting Urban Residential Group, American Marketing & Tag Associates to complete Marketing Analysis, and Viability Review & Capital Assessments in 2001.

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)	
1a. Development name: <i>Scattered Sites</i>	
1b. Development (project) number: <i>IL22-012, 016 & 017</i>	
2. Federal Program authority:	
<input type="checkbox"/> HOPE I <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)	
3. Application status: (select one)	
<input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY) – <i>30/11/2001</i>	
5. Number of units affected: <i>90</i>	
6. Coverage of action: (select one)	
<input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development	

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 More than 100 participants

Pre and post home ownership workshops
Case Management
Home Buying program
Follow-up/Tracking of homeowners

b. PHA-established eligibility criteria

- Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

Minimum income of \$10,300
Currently employed (not less than 30 hours per week)
Employed at least one year (continuously)
First Time Home Buyer
Attended Pre and Post Counseling
Signed Statement of Homeownership

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY
(The agreement is in negotiation)

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe) – *In-kind seminars for Staff & Residents*

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
<i>Pre-Family Magic</i>	<i>2 Xs- a yr</i>	<i>Voluntary</i>	<i>Developments</i>	<i>Both</i>
<i>Family Magic</i>	<i>24/yr</i>	<i>Voluntary</i>	<i>West Middle School</i>	<i>Both</i>
<i>Employment Resource Ctr.</i>	<i>Part time Represent.</i>	<i>Voluntary</i>	<i>Employ & Train Ctr.</i>	<i>Both</i>
<i>Job Fairs</i>	<i>Once a yr</i>	<i>Voluntary</i>	<i>Developments</i>	<i>Both</i>
<i>Step-up Construction</i>	<i>6/yr</i>	<i>YouthBuild Graduate</i>	<i>PHA main office & Youth Build</i>	<i>LIPH</i>
<i>Step Up- Other</i>	<i>18/yr</i>	<i>Voluntary</i>	<i>PHA Main Office & Abilities Center</i>	<i>LIPH</i>
<i>CDA (if funds are available) ROSS Grant</i>	<i>14 yr</i>	<i>Voluntary/ H.S. Diploma</i>	<i>Abilities Center</i>	<i>LIPH</i>
<i>Transport-GED & Family Magic</i>	<i>As needed</i>		<i>Blackhawk</i>	<i>Both</i>
<i>Choices-drug & gang prevention</i>	<i>32/ yr</i>		<i>Orton Keyes & Fairgrounds</i>	<i>Both</i>
<i>Emotional & physical wellness Workshops / Speakers / Services (FM)</i>	<i>1/ yr at Each site</i>		<i>All Sites</i>	<i>Both</i>
<i>Promote Arts Programs</i>			<i>Orton Keyes & Fairgrounds</i>	<i>Both</i>
<i>Outreach Services</i>			<i>Low rises & High rises</i>	<i>LIPH</i>

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: 30/03/01)
Public Housing	95	104
Section 8	125	131

--	--	--

b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

N/A – The caseload is double the minimum program size

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA’s public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below) – *The agreement is in negotiation*

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

COMMUNITY SERVICE REQUIREMENT POLICY

INTRODUCTION

Section 512 of QHWRA, Public Housing Community Service Requirements, imposes a requirement on all adult public housing residents, with important exceptions, to

*participate for at least 8 hours per month in community service or in an economic self-sufficiency program. The RHA may not renew or extend any lease, or provide any new lease, for a dwelling unit in public housing for any household that includes an adult member who was subject to the requirement and failed to comply with his\her requirement. **Notwithstanding any other provision of law, this requirement is effective 10/01/00.***

REQUIREMENTS

All adult public housing household members shall contribute:

- 1. Eight hours (8) per month of community service (not including political activities) within the local community in which that adult resides; or*
- 2. Participate in an economic self-sufficiency program approved by the RHA for eight (8) hours per month.*

The resident will be responsible for providing management with monthly certification of community service hours in a timely manner in an acceptable format.

TYPES OF COMMUNITY SERVICE

Community Service is defined as any volunteer activity designed to improve the quality of life in the City of Rockford, Illinois. Such activities may include but are not limited to the following:

- 1. Resident Council membership activities;*
- 2. Participation in local community clean-up activities;*
- 3. Participation in PTA, church, school activities or other local community service organization or governmental body;*
- 4. Any other local community service activity approved by the Rockford Housing Authority.*

EXEMPTIONS

Exemptions are given to any individual who:

- 1. Is 62 years of age or older, or*
- 2. Is a blind or disabled individual, as defined in Section 216 or 1614 of the Social Security Act, and who is unable to comply with this section, or who is a primary caretaker of such individual; or*
- 3. Is working or exempted from work by the State of Illinois*
- 4. Is receiving assistance and is in compliance with State requirements.*

A. ANNUAL DETERMINATIONS

The RHA shall review and determine compliance of the resident's household for this requirement 30 days before expiration of each lease term (annually).

B. NONCOMPLIANCE

If the RHA determines that a resident subject to the requirement has not complied with the community service requirement, the RHA may not renew or extend the resident's lease upon expiration of the lease term and shall take such action as is necessary to terminate the tenancy of the household. Nothing in the Act shall prevent a resident from seeking timely redress in court for failure to renew based on such noncompliance.

The RHA shall notify the head of household

- 1. of the noncompliance;*
- 2. that the determination of noncompliance is subject to the RHA Grievance Procedure; and*
- 3. that, unless the resident enters into an agreement to remedy the noncompliance, the lease will not be renewed.*

C. REMEDY OF THE NONCOMPLIANCE

In order for the resident to remedy the noncompliance, the resident must enter into an agreement, before the expiration of the lease term, to complete the community service requirement by contributing as many additional hours as the resident needs to comply in aggregate with such requirement over the 12 month term of the lease.

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents - *Safe Home Survey (95- Arrest Warrants)*
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports - *Work Orders & Private Contract Security Reports*
- PHA employee reports - *Unusual Occurrence Reports*
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs - *Crime Status Report (Criminal Activity Down 5%)*
- Other (describe below)

3. Which developments are most affected? (list below)

IL022-001 Blackhawk Courts
IL022-003 Orton Keyes
IL022-005 Brewington Oaks & Jane Addams
IL022-007 Fairgrounds Valley

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime-and/or drug-prevention activities; - *Boys & Girls Club Activities*
- Crime Prevention Through Environmental Design; - *Addition of Security Fence and other Improvements @ Brewington Oaks.*
- Activities targeted to at-risk youth, adults, or seniors; - *FSS & Boys & Girls Club*
- Volunteer Resident Patrol/Block Watchers Program

Other (describe below)

2. Which developments are most affected? (list below)

IL022-001 Blackhawk Courts
IL022-003 Orton Keyes
IL022-005 Brewington Oaks & Jane Addams
IL022-007 Fairgrounds Valley

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

Rockford Housing Authority Police operate under a community oriented policing (COP) format by being assigned to one Family Development and one High Rise per officer. They regularly attend Resident Council meetings, and have offices at each of the family developments

1. Which developments are most affected? (List below)

IL022-001 Blackhawk Courts
IL022-003 Orton Keyes
IL022-005 Brewington Oaks & Jane Addams
IL022-007 Fairgrounds Valley

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: *Attachment F*)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

RHA PET POLICY

In compliance with the Quality Housing and Work Responsibility Act of 1998, the Rockford Housing Authority will permit residents, to own and keep common household pets in apartments. The pet policy is not applicable to trained animals that are used to assist persons with disabilities as defined in 24 CFR Part 942.2. The RHA will provide formal applications to residents or applicants who desire to have commons household pets.

Common household pets are defined as follows:

Birds - Includes canaries, parakeets, finches, and other species that are normally kept caged; birds of prey are not permitted. CFR 942.20bI

Fish - In tanks or aquariums, not to exceed 20 gallons in capacity; poisonous or dangerous fish are not permitted. CFR 942.20bI

Dog - Not to exceed 20 pounds. weight at maturity. CFR 942.20bI

Cat - Species commonly used for household pets, felis catus. CFR 942.20bI

Rabbit CFR 942.20bI

Turtle CFR 942.20bI

RULES FOR OWNERS OF PETS

The Rockford Housing Authority may designate specific locations or floors at each development as areas in which dogs or cats are not permitted for health reasons. A listing of eligible pet areas will be kept at each site. Areas may be adjusted to accommodate or to meet the changing needs of existing residents and the Rockford Housing Authority.

The Rockford Housing Authority will direct and approve such initial moves as may be necessary to establish pet and no pet areas or to meet changing needs of existing residents.

All pets must be registered with the Rockford Housing Authority. Residents must receive a written permit to keep any animal on or about the premises. (CFR 942.2bII) This privilege may be revoked at any time subject to the Housing Authority grievance procedure if the animal becomes destructive or a nuisance to others, or if the resident/owner fails to comply with the following:

A) A maximum number of one four-legged common household pet is allowed per dwelling. Residents, however, may keep a bird or aquarium in addition. CFR 942.02b2

Dogs are to be licensed yearly with the City of Rockford, and residents must show proof of yearly distemper, rabies, boosters, and any other required vaccinations. CFR 942.20b6I

B) All cats are to be neutered prior to issuance of the written permit. CFR 942.20a2

C) No pet may be kept in violation of humane or health laws of the City, County or State. CFR 942.20b6I

D) Resident must identify an alternate custodian for their pet(s) in the event of absence from the unit including employed residents, or resident's illness that would prevent the resident from properly caring for the pet. CFR 942.20a2

Cancellation of the pet permit will result if the pet becomes a documented nuisance or health threat. (CFR 942.20b5II) The resident will then be given two written notices to enable him/her to correct the situation. The third notice will require removal of the pet or eviction of the resident. In emergency situations, cancellation of a pet permit may result without issuance of the warning notices.

If pets are left unattended for twenty-four (24) hours or more, the Housing Authority may, at its discretion, enter to remove the pet and transfer it to the proper authorities subject to the provisions of Chapter 8, paragraphs 703 and 704 of the Human Care for Animals Act of Illinois.

The Housing Authority accepts no responsibility for the pet under such circumstances. CFR 942.20b5II

Residents shall not permit any disturbance by their pet which would interfere with the quiet enjoyment of other residents; whether by loud barking, howling, biting, scratching or other such activities. CFR 942.20b5II

Residents shall not alter their unit, patio or unit area to create an enclosure for the animal. CFR 942.20b4

Resident is responsible for all damages caused by their pet including the cost of fumigation necessitated as a result of their pet. CFR 942.20b4

Dogs and cats shall remain inside a resident's unit unless they are on a leash and directly controlled by an adult. Pets are not permitted in public areas of the building except while directly entering or exiting the building or apartment. Birds must be confined to a cage at all times. Dogs and cats must be identifiable by an identification collar. CFR 942.20b5II

Cats are to use litter boxes kept in tenant's premises. The Resident is not allowed to let waste accumulate. CFR 942.20b5I

Only one pet (dog or cat) will be permitted on an elevator at any time. CFR 942.20b5II

The owner of a dog or cat must properly remove and dispose of all waste caused by animals in building interiors and on grass and paved areas of the development (this includes washing and disinfecting affected areas in building interiors following "accident"). If no area is designated as a pet exercise or waste deposit area, the pet owner must remove the pet from the premises for such purposes. Manager will notify pet owner of building plan for disposal of animal waste. CFR 942.20b5I

Resident shall take adequate precautions to eliminate any pet odors within or around unit and maintain unit in a sanitary condition at all times. CFR 942.20b5II

Residents are prohibited from feeding stray animals. The feeding of stray animals shall constitute having a pet without permission of the Housing Authority. CFR 942.20a2

Resident shall pay an additional security deposit for each pet as follows: a dog, \$100.00; a cat \$100.00; fish or bird, none. This deposit shall be paid in advance or upon the acceptance of said pets by the tenant. This deposit is refundable if no damage is done, as determined by the Housing Authority, after resident disposes of the pet(s), or moves. The resident is responsible for damage in excess of the combined total security deposits. The security deposit may be paid in up to three approximately equal payments under auto-billing procedures. CFR 942.20b4

Housing Authority Staff Member

Date

I have received written permission from the Rockford Housing Authority to keep the following pet/pets in my residence as a resident of the Housing Authority:

Resident

Apartment

My alternate pet custodian is:

Name

Address

Phone

I fully understand the rules/regulations in regard to this privilege and will abide by same.

Resident

Housing Authority Staff Signature

Date

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? 0
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 - Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
 - Attached at Attachment (File name)
 - Provided below:
 - A) *Can the low-rises for the disabled have front load washers installed? It is too difficult for someone in a wheelchair to do their laundry in a top loader. (We will contact the Vendor and inform them the front loaders are required under ADA.)*
 - B) *Is the Rockford Housing Authority looking into an elderly only building? (At this time we will not be designating a building as Elderly Only).*
 - C) *Will new windows be installed at the Low Rises? (Bids were taken for the material, and the Board of Commissioners is expected to approve this purchase at their April meeting. Installation is expected to begin in mid-June.)*
 - D) *Will Rockford Housing be changing the Floor Tile and Carpeting in first floor units in the Low Rise Apartments? (At this time we are only doing flooring in the second and third floor units until such time we are informed of a severe problem on the first floor.)*
 - E) *When will the closet doors at the Low Rises be replaced? (Rockford Housing is installing folding type closet doors in the prototype unit at #101 Summit for review of staff and residents.)*
 - F) *Why do we have singles in 2-bedroom units? Why are they not moved to 1 bedroom? (A change in family composition does not automatically require a move or transfer.)*
 - G) *Why isn't there a better mix of elderly and disabled in all of the developments? (This is based on the applications and a diminished wait list; a soft market.)*
 - H) *What is the deadline for getting an MOA with TANF – Human Services? (Ann Higgins will follow-up with Human Services. Ann explained the process to eliminate double services and fraud.)*
3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:
- Other: (list below)

B. Description of Election process for Residents on the PHA Board

- 1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
- 2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates may be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

- 1. Consolidated Plan jurisdiction: *(City of Rockford, Illinois)*

- 2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Other: (list below)

- 4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below) - *None*

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

Attachment A

DECONCENTRATION OF POVERTY

The “deconcentration of poverty” rule is designed to bring “higher income” residents into developments that are predominantly comprised of “lower income” residents and “lower income” residents into developments that are predominantly comprised of “higher income” residents. This rule applies to general occupancy, low-income public housing family developments.

Developments that are not subject to the deconcentration rule are:

- A) Developments that house only elderly persons, or persons with disabilities, or both;*
- B) Developments approved for demolition or for conversion to tenant based assistance; and*
- C) Developments that include public housing units operated in accordance with a HUD approved mixed-finance plan using HOPE VI or public housing funds that were awarded prior to the effective date of this rule.*

In implementing this rule the Rockford Housing Authority shall:

- A) Determine on an annual basis the average income of all families residing in developments that are subject to the deconcentration requirement.*
- B) Determine the average income of all families residing in each covered development.*
- C) Determine whether each covered development falls above, within, or below the “Established Income Range” requirement. (The “EIR” is 85% to 115% of the PHA wide average income for covered developments.)*
- D) Determine whether any developments that are above or below the “Established Income Range” are consistent with local goals and strategies in the Rockford Housing Authority’s Agency Plan*

For Rockford Housing Authority developments that require implementation of the deconcentration of poverty rule the following steps are applied:

The Rockford Housing Authority may “pass” a family on the waiting list in order to reach another qualifying family in order to further the goals of the deconcentration of poverty requirement.

A family has the sole discretion whether to accept an offer of a unit under the Rockford Housing Authority’s deconcentration policy. Any eligible family that chooses not to

accept an offer of a unit made under the Rockford Housing Authority's deconcentration policy will remain on the waiting list. If the family refuses a second offer under the Rockford Housing Authority's deconcentration policy the family will remain on the waiting list but will go to the bottom of the list.

The RHA has committed to a proactive plan of action in order to implement HUD's deconcentration of poverty requirements in its "family" low-income public housing developments. Attachment "H" lists the average income and the distribution of income levels for each of these developments.

In order to achieve these goals the RHA is taking or has taken the following actions.

- A) Revised the "Admissions and Occupancy Policy" to establish a local preference for working families.*
- B) Is developing plans for the selective demolition of some of the buildings in targeted family developments for the purpose of lowering resident density and increasing the viability and attractiveness of the developments and their surrounding neighborhoods.*
- C) Through the RHA's security program has encouraged the development of "neighborhood watch" programs on each of its developments.*
- D) Is developing a marketing plan that targets working families.*
- E) Utilizes Public Housing Drug Elimination Program funding and Capital Fund "Management Improvement" funding to maintain a security presence in the RHA's developments.*
- F) Revised the Admissions and Occupancy Policy to allow police officers to live on RHA sites.*
- G) Encouraged the development of resident economic self-sufficiency through RHA programs such as "Family Magic" and "Family Self Sufficiency".*
- H) Continues to modernize RHA's housing stock through the "Capital Fund".*

The RHA desires that all of its developments attain a higher percentage of working families in order to achieve its deconcentration goals. The strategies detailed above are intended to increase the percentage of working families from 25% to 40% over a five-year period and are consistent with the strategies and goals established in the RHA's Five Year Plan as submitted to HUD.

Optional Public Housing Asset Management Table – Attachment G

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / Disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>
IL22-001 Blackhawk Courts	196	Attachment B	NA	NA	NA	NA	NA	
IL22-003 Orton Keyes	175	Attachment B	NA	NA	NA	NA	NA	
IL22-004 Park Terrace	183	Attachment B	NA	NA	NA	NA	NA	
IL22-005 Brewington Oaks & Jane Addams Village	502	Attachment B	HOPE VI Application 2002	HOPE VI Application 2002	NA	Reported to HUD 04-01-99	NA	
IL22-006 North Main Manor	187	Attachment B	NA	NA	NA	NA	NA	
IL22-007 Fairgrounds Valley	210	Attachment B	HOPE VI Application 2002	HOPE VI Application 2002	NA	NA	NA	
IL22-008 Scattered Sites	120	Attachment B	NA	NA	NA	NA	NA	
IL22-009 Olesen Plaza	151	Attachment B	NA	NA	NA	NA	NA	
IL22-012 Scattered Sites Rehab	10	Attachment B	NA	NA	NA	NA	Planned 2002	
IL22-013 Scattered Sites	46	Attachment B	NA	NA	NA	NA	NA	
IL22-014 Low-Rises	100	Attachment B	NA	NA	NA	NA	NA	
IL22-016 Scattered Sites – New	49	Attachment B	NA	NA	NA	NA	Planned 2002	
IL22-017 Scattered Sites – Rehab	30	Attachment B	NA	NA	NA	NA	Planned 2002	
IL22-019 Scattered Sites – FSS	20	Attachment B	NA	NA	NA	NA	NA	
IL22-020 Scattered Sites	20	Attachment B	NA	NA	NA	NA	NA	

Attachment A

DECONCENTRATION OF POVERTY

The “deconcentration of poverty” rule is designed to bring “higher income” residents into developments that are predominantly comprised of “lower income” residents and “lower income” residents into developments that are predominantly comprised of “higher income” residents. This rule applies to general occupancy, low-income public housing family developments.

Developments that are not subject to the deconcentration rule are:

- A) Developments that house only elderly persons, or persons with disabilities, or both;*
- B) Developments approved for demolition or for conversion to tenant based assistance; and*
- C) Developments that include public housing units operated in accordance with a HUD approved mixed-finance plan using HOPE VI or public housing funds that were awarded prior to the effective date of this rule.*

In implementing this rule the Rockford Housing Authority shall:

- A) Determine on an annual basis the average income of all families residing in developments that are subject to the deconcentration requirement.*
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- C) Determine whether each covered development falls above, within, or below the “Established Income Range” requirement. (The “EIR” is 85% to 115% of the PHA wide average income for covered developments.)*
- D) Determine whether any developments that are above or below the “Established Income Range” are consistent with local goals and strategies in the Rockford Housing Authority’s Agency Plan*

For Rockford Housing Authority developments that require implementation of the deconcentration of poverty rule the following steps are applied:

The Rockford Housing Authority may “pass” a family on the waiting list in order to reach another qualifying family in order to further the goals of the deconcentration of poverty requirement.

A family has the sole discretion whether to accept an offer of a unit under the Rockford Housing Authority’s deconcentration policy. Any eligible family that chooses not to accept an offer of a unit made under the Rockford Housing Authority’s deconcentration policy will remain on the waiting list. If the family refuses a second offer under the Rockford Housing Authority’s deconcentration policy the family will remain on the waiting list but will go to the bottom of the list.

The RHA has committed to a proactive plan of action in order to implement HUD's deconcentration of poverty requirements in its "family" low-income public housing developments. Attachment "H" lists the average income and the distribution of income levels for each of these developments.

In order to achieve these goals the RHA is taking or has taken the following actions.

- A) Revised the "Admissions and Occupancy Policy" to establish a local preference for working families.*
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- C) Through the RHA's security program has encouraged the development of "neighborhood watch" programs on each of its developments.*
- D) Is developing a marketing plan that targets working families.*
- E) Utilizes Public Housing Drug Elimination Program funding and Capital Fund "Management Improvement" funding to maintain a security presence in the RHA's developments.*
- F) Revised the Admissions and Occupancy Policy to allow police officers to live on RHA sites.*
- G) Encouraged the development of resident economic self-sufficiency through RHA programs such as "Family Magic" and "Family Self Sufficiency".*
- H) Continues to modernize RHA's housing stock through the "Capital Fund".*

The RHA desires that all of its developments attain a higher percentage of working families in order to achieve its deconcentration goals. The strategies detailed above are intended to increase the percentage of working families from 25% to 40% over a five-year period and are consistent with the strategies and goals established in the RHA's Five Year Plan as submitted to HUD.

Attachment B
Component 7A
Capital Fund Program Annual Statement
Parts I, II, and III

Annual Statement

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number IL06P02250101 FFY of Grant Approval: (10/2001)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	420,732
3	1408 Management Improvements	649,000
4	1410 Administration	420,732
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	250,000
8	1440 Site Acquisition	
9	1450 Site Improvement	100,000
10	1460 Dwelling Structures	1,555,000
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	15,000
13	1475 Nondwelling Equipment	170,857
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	26,000
18	1498 Mod Used for Development	500,000
19	1502 Contingency	100,000
20	Amount of Annual Grant (Sum of lines 2-19)	4,207,321
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	340,000
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement

Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
HA Wide	Grounds and Maintenance Equipment	1475	30,000
	Vehicles	1475	50,000
	Computers & Office Equipment	1475	90,857
	Sub Total		170,857
IL06-P022-001 Blackhawk	Interior Rehab – Interior Painting F/A	1460	100,000
	Building Systems-HVAC	1460	100,000
	Building Systems – Plumbing Distributions	1460	30,000
	Sub Total		230,000
IL06-P022-003 Orton Keys	Site Improvements-Concrete-Erosion-Parking Areas	1450	80,000
	Building Systems-HVAC	1460	50,000
	Sub Total		130,000
IL06-P022-004 Park Terrace	Interior Rehab – Force Account	1460	210,000
	Plumbing Distributions – Force Account	1460	80,000
	Building Systems-Elevator	1460	20,000
	Relocation	1495.1	16,000
	Sub Total		326,000
IL06-P022-005 Brewington Oaks & Jane Addams	Interior Rehab – Force Account	1460	200,000
	Plumbing Distributions-Force Account	1460	100,000
	Building Systems-Elevators	1460	35,000
	Relocation	1495.1	5,000
	Sub Total		340,000
IL06-P022-006 North Main Manor	Plumbing Distributions–Force Account	1460	20,000
	Building Systems – Elevators	1460	20,000
	Sub Total		40,000

Annual Statement

Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
IL06-P022-007 Fairgrounds	Exterior Rehab-Windows-Doors-Force Acct Community Buildings- Rooms Sub Total	1460	28,000
		1470	15,000
			43,000
IL06-P022-008 Scattered Sites Duplexes	Interior Rehab – Force Account Sub Total	1460	100,000
			100,000
IL06-P022-009 Olesen Plaza	Building Systems-Elevators Interior Rehab – Closet Doors F/A Sub Total	1460	20,000
		1460	135,000
			155,000
IL06-P022-012 Scattered Sites	None Planned this Year Sub Total		0
			0
IL06-P022-013 Scattered Sites	Exterior Rehab – Roofing-Ventilation Exterior Rehab – Siding – Trim – Gutters & Downspouts Exterior Rehab – Windows & Doors – Force Account Sub Total	1460	37,000
		1460	115,000
		1460	115,000
			267,000
IL022-014 Low Rises	Interior RehabFloors -Force Account Relocation Sub Total	1460	40,000
		1495.1	5,000
			45,000
IL022-016 Scattered Sites	Site Improvements-Concrete-Blacktop Sub Total	1450	20,000
			20,000
IL022-017 Scattered Sites	None Planned this Year Sub Total		0
			0

Annual Statement

Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
IL022-019 Scattered Sites	None Planned this year Sub Total		0
			0
IL022-020 Scattered Sites	None Planned this year Sub Total		0
			0
IL022 Central Office	None Planned this year Sub Total		0
			0
IL022 Management Improvements	Resident & Staff Training, HTVN	1408	40,000
	Service Coordinator @ High Rise	1408	80,000
	Security	1408	280,000
	Computer Software Upgrades	1408	50,000
	Resident Education & Employment Training	1408	60,000
	Security Coordinator	1408	60,000
	PHAS / Unit Turnaround/Finance	1408	79,000
	Sub Total		649,000
IL022 Mod Used For Development	Mixed Finance Development of 54 units Sub Total	1498	500,000
			500,000
IL022 Contingency	Contingency for Adjustments to Other Items Sub Total	1502	100,000
			100,000

Annual Statement**Capital Fund Program (CFP) Part III: Implementation Schedule**

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
HA-Wide	09-30-03	09-30-04
IL06-P022-001 Blackhawk Courts	09-30-03	09-30-04
IL06-P022-003 Orton Keyes	09-30-03	09-30-04
IL06-P022-004 Park Terrace	09-30-03	09-30-04
IL06-P022-005 Brewington Oaks & Jane Addams	09-30-03	09-30-04
IL06-P022-006 North Main Manor	09-30-03	09-30-04
IL06-P022-007 Fairgrounds Valley	09-30-03	09-30-04
IL06-P022-008 Scattered Sites	09-30-03	09-30-04
IL06-P022-009 Olesen Plaza	09-30-03	09-30-04
IL06-P022-012 Scattered Sites	09-30-03	09-30-04
IL06-P022-013 Scattered Sites	09-30-03	09-30-04
IL06-P022-014 Low Rises	09-30-03	09-30-04
IL06-P022-016 Scattered Sites	09-30-03	09-30-04
IL06-P022-017 Scattered Sites	09-30-03	09-30-04
IL06-P022-019 Scattered Sites	09-30-03	09-30-04
IL06-P022-020 Scattered Sites	09-30-03	09-30-04
Management Improvements	09-30-03	09-30-04
Mod Used For Development	09-30-03	09-30-04

Component 7B
Capital Fund Program Annual Statement
Replacement Housing Factor
Parts I, II, and II

Annual Statement

Capital Fund Program (CFP) Part I: Summary

Replacement Housing Factor (RHF) 2001 FFY of Grant Approval: (10/2001)

IL06R02250101

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	31,537
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)	31,537
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Attachment C
Most Recent Board Approved Operating Budget

Rockford Housing Authority	Budget
	Amount
OPERATING RECEIPTS	
Grant Income	
Dwelling Rentals	1,723,000
Excess Utilities	
Nondwelling Rentals	
Total Rental Income	1,723,000
Interest on General Fund Investments	24,000
Other Operating Receipts	171,000
TOTAL OPER. RECEIPTS. EXCL. HUD CONTRIB.	1,918,000
OPERATING EXPENDITURES:	
ADMINISTRATION:	
Administrative Salaries	1,864,055
Administrative Expense Other Than Salary	531,690
TOTAL ADMINISTRATIVE EXPENSE	2,395,745
TENANT SERVICES:	
Salaries – AFSCME	56,556
Recreation, Publications and Other Services	1,000
Contract Costs	4,900
Total Tenant Services Expenses	62,456
UTILITIES:	
Total Utilities Expenses	1,046,500
ORDINARY MAINTENANCE AND OPERATION:	
Labor	1,805,148
Materials	342,470
Contract Costs	604,850
Total Ordinary Maintenance and Operation Exp.	2,752,468
GENERAL EXPENSES:	
Insurance	497,000
Payments in Lieu of Taxes	41,200
Terminal Leave Payments	19,000
Employee Benefit Contributions	1,605,670
Collection Losses	40,000
Other General Expenses	48,000
Total General Expenses	2,250,870
TOTAL ROUTINE EXPENSES	8,508,039
NONROUTINE EXPENDITURES:	
Extraordinary Maintenance	
Replacement of Equipment	0
Betterments and Additions	0
Other Non-routine Expenditures	0
TOTAL NONROUTINE EXPENDITURES	0
OTHER EXPENDITURES:	
Prior Year Adjustments	
Other Deductions	0
Total Other Expenditures	
TOTAL OPERATING EXPENDITURES	8,508,039
RESID. RECPTS (OR DEFICIT) BEFORE HUD CONTRIB.	
AND PROVISION FOR OPERATING RESERVE:	(6,590,039)
OTHER HUD CONTRIBUTIONS	
Operating Subsidy	6,262,697

Attachment E

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.
 Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-001	Blackhawk Courts	6	3%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior Rehab – Doors & Windows			165,000	FY03
Site Improvements-Earthwork-Drainage-Signage			70,000	FY04
Community Room Furnishings			10,000	FY05
Storage Area			5,000	FY05
Security Lighting			44,000	FY05
Exterior Rehab - Roofing			130,000	FY06
Interior Rehab-Tile Floors			150,000	FY06
Day Care Facilities			10,000	FY06
Gymnasium			60,000	FY06
Total estimated cost over next 5 years			644,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

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Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-003	Orton Keyes	5	2%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior Rehab – Siding – Trim- Gutters			10,000	FY03
Exterior Rehab - Windows & Doors			100,000	FY03
Building Systems - Electrical			10,000	FY03
Site Improvements – Earthwork – Drainage – Signage			50,000	FY04
Building Systems – Plumbing Distributions			125,000	FY04
Improvements – Day Care Facilities			60,000	FY04
Exterior Rehab – Roofing – Ventilation			200,000	FY05
Interior Rehab			200,000	FY05
Building Systems - Electrical			100,000	FY06
Building Systems - Electrical				FY06
Total estimated cost over next 5 years			855,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-004	Park Terrace	31	16%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements-Concrete			5,000	FY03
Site Improvements-Signage			5,000	FY03
Interior Rehab-Doors			10,000	FY03
Interior Rehab – Force Account			170,000	FY03
Building Systems- Electrical			30,000	FY03
Building Systems – Plumbing Distribution – Force Account			80,000	FY03
Relocation			16,000	FY03
Trash Room Fan			5,000	FY03
Interior Rehab – Force Account			150,000	FY04
Building Systems – Plumbing Distribution – Force Account			80,000	FY04
Appliances – Air Conditioners			100,000	FY04
Relocation			16,000	FY04
Interior Rehab – Force Account			150,000	FY05
Building Systems – Plumbing Distribution – Force Account			80,000	FY05
Relocation			16,000	FY05
Site Improvements-Concrete			5,000	FY06
Exterior Rehab – Water Proofing			75,000	FY06
Interior Rehab – Force Account			147,000	FY06
Building Systems – Plumbing Distribution – Force Account			80,000	FY06
Relocation			16,000	FY06
Total estimated cost over next 5 years			1,236,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-005	Brewington Oaks & Jane Addams	125	24%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Building Systems – Electrical - JA			8,000	FY03
Site Improvements – Concrete – Sidewalks – Signage-BO			10,000	FY03
Exterior Rehab– Windows – Doors -BO			20,000	FY03
Interior Rehab – Force Account - BO			175,000	FY03
Building Systems - HVAC-Life Safety-BO			10,000	FY03
Building Systems – Plumbing Distributions – Force Account- BO			100,000	FY03
Building Systems – Electrical - BO			35,000	FY03
Relocation –BO			5,000	FY03
Interior Rehab – Force Account - BO			175,000	FY04
Building Systems – Plumbing Distributions – F/Account			100,000	FY04
Appliances – Air Conditioning			65,000	FY04
Relocation - BO			5,000	FY04
Exterior Rehab – Siding – Trim– Gutters – Downspouts			20,000	FY04
Exterior Rehab– Windows – Doors -BO			20,000	FY05
Exterior Rehab – Water Proofing-BO			80,000	FY05
Interior Rehab – Force Account - BO			175,000	FY05
Building Systems - HVAC-Life Safety-BO			20,000	FY05
Building Systems – Plumbing Distributions – Force Account- BO			50,000	FY05
Site Improvements – Concrete – Sidewalks – Signage-BO			10,000	FY06
Interior Rehab – Force Account - BO			175,000	FY06
Building Systems – Plumbing Distributions – Force Account- BO			50,000	FY06
Community Room Furnishings			20,000	FY06
Security Cameras			10,000	FY06
Exterior Rehab – Windows – Doors- JA			151,000	FY06
Total estimated cost over next 5 years			1,489,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-006	North Main Manor	1	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements –Concrete – Sidewalks – Signage			5,000	FY03
Exterior Rehab - Doors			10,000	FY03
Interior Rehab – Kitchen – Cabinets- Fixtures			45,000	FY03
Interior Rehab – Bath – Cabinets – Fixtures			45,000	FY03
Interior Rehab – Floors – Ceilings - Walls			10,000	FY03
Building Systems – HVAC – Fire & Life Safety			100,000	FY03
Building Systems – Electrical			10,000	FY03
Appliances – Range Hoods			20,000	FY03
Hallways-Laundry Rooms – Trash Rooms			25,000	FY03
Interior Rehab – Force Account			100,000	FY04
Appliances – Air Conditioning			100,000	FY04
Hallways-Laundry Rooms – Trash Rooms			25,000	FY04
Site Improvements –Concrete – Sidewalks – Signage			5,000	FY05
Site Improvements – Security – Lighting			15,000	FY05
Exterior Rehab - Doors			10,000	FY05
Interior Rehab – Force Account			100,000	FY05
Interior Rehab – Windows – Doors			100,000	FY05
Building Systems –Plumbing Distributions			50,000	FY05
Interior Rehab – Force Account			105,000	FY06
Interior Rehab – Windows – Doors			100,000	FY06
Building Systems –Plumbing Distributions			50,000	FY06
Building Systems – Mail Boxes			20,000	FY06
Total estimated cost over next 5 years			1,050,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-007	Fairgrounds Valley	19	9%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior Rehab – Roofing – Ventilation			150,000	FY03
Interior Rehab – Doors – Windows – Force Account			20,000	FY03
Community Buildings – Rooms			35,000	FY03
Site Improvements – Concrete- Sidewalks			81,000	FY04
Exterior Rehab – Soffit – Windows – Doors – Force Account			100,000	FY04
Building Systems – HVAC			135,000	FY05
Total estimated cost over next 5 years			521,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-008	Scattered Sites – Duplexes	5	3%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Interior Rehab – Force Account			485,000	FY03
Relocation			15,000	FY04
Exterior Rehab – Siding –Trim– Gutters/Downspouts			40,000	FY05
Site Improvements			40,000	FY06
Total estimated cost over next 5 years			580,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022-009	Olesen Plaza	5	3%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Building Systems – HVAC		25,000	FY03
Building Systems – Electrical Distributions		10,000	FY03
Building Systems - HVAC		25,000	FY04
Appliances – Air Conditioners		75,000	FY04
Site Improvements – Concrete – Sidewalks		10,000	FY05
Interior Rehab – Kitchen – Cabinets- Fixtures		70,000	FY05
Interior Rehab – Bath – Cabinets – Fixtures		70,000	FY05
Interior Rehab – Floors – Ceilings – Walls		60,000	FY05
Interior Rehab – Doors		20,000	FY05
Building Systems – Electrical Distributions		20,000	FY05
Building Systems – Plumbing Distributions		35,000	FY05
Community Room		30,000	FY05
Community Room Furnishings		5,000	FY05
Exterior Rehab – Waterproofing		40,000	FY06
Interior Rehab – Kitchen – Cabinets- Fixtures		70,000	FY06
Interior Rehab – Bath – Cabinets – Fixtures		70,000	FY06
Interior Rehab – Floors – Ceilings – Walls		60,000	FY06
Interior Rehab – Doors		20,000	FY06
Building Systems – Plumbing Distributions		20,000	FY06
Community Room		30,000	FY06
Community Room Furnishings		5,000	FY06
Total estimated cost over next 5 years		770,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022-012	Scattered Sites – Rehab	0	0%
Description of Needed Physical Improvements or Management Improvements			Estimated Cost
			Planned Start Date (HA Fiscal Year)
Site Improvements – Concrete – Sidewalks – Signage			5,000
Site Improvements – Earthwork – Drainage – Signage			10,000
Exterior Rehab – Roofing – Ventilation			40,000
Interior Rehab – Force Account			57,000
Relocation			4,000
Interior Rehab – Force Account			20,000
Building Systems – Electrical Distributions			3,000
Site Improvements – Concrete – Sidewalks			5,000
Exterior Rehab – Siding – Trim- Gutters			15,000
Total estimated cost over next 5 years			159,000

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-013	Scattered Sites – Rehab	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior Rehab – Siding – Trim– Gutters – Downspouts			38,000	FY03
Exterior Rehab – Windows – Doors – Force Account			37,000	FY03
Interior Rehab – Force Account			330,000	FY03
Building Systems – HVAC			80,000	FY03
Relocation			25,000	FY03
Site Improvements – Concrete – Sidewalks – Parking			50,000	FY05
Site Improvements – Earthwork – Drainage – Signage			25,000	FY05
Total estimated cost over next 5 years			585,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-014	Low Rises	13	13%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior Rehab – Siding - Trim– Gutters/Downspouts			10,000	FY03
Interior Rehab – Floors			10,000	FY03
Building Systems – Water Softener			10,000	FY03
Community Rooms - Carpeting			20,000	FY03
Interior Rehab – Door & Windows – Force Account			180,000	FY04
Building Systems – Plumbing Distributions			30,000	FY04
Building Systems - Elevators			30,000	FY04
Hallways – Laundry Rooms – Trash Rooms – Force Account			80,000	FY04
			10,000	FY04
Power Door Operators			20,000	FY05
Community Room Furnishings			140,000	FY06
Building Systems – HVAC			10,000	FY06
Building Systems – Electrical Distributions				
Total estimated cost over next 5 years			550,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

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Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-016	Scattered Sites – New	2	4%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Interior Rehab – Force Account			70,000	FY04
Building Systems – HVAC			80,000	FY05
Storage Sheds			50,000	FY05
Exterior Rehab – Siding -Trim– Gutters \Downspouts			30,000	FY06
Total estimated cost over next 5 years			230,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-017	Scattered Sites – Rehab	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Interior Rehab – Force Account			37,154	FY03
Building Systems – HVAC			30,000	FY06
Total estimated cost over next 5 years			67,154	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

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Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-019	Scattered Sites	1	5%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior Rehab – Roofing – Ventilation			15,000	FY04
Appliances – Stove & Refrigerator			18,000	FY04
Exterior Rehab – Siding – Trim– Gutters \Downspouts			34,000	FY05
Interior Rehab – Force Account			30,000	FY05
Building Systems – HVAC			20,000	FY05
Total estimated cost over next 5 years			117,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-020	Scattered Sites	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior Rehab – Roofing – Ventilation			15,000	FY04
Exterior Rehab – Siding – Trim– Gutters – Downspouts			26,000	FY04
Appliances – Stove & Refrigerator			18,000	FY04
Building Systems – HVAC			20,000	FY05
Interior Rehab – Force Account			30,000	FY06
Total estimated cost over next 5 years			109,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022	PHA Wide		
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Maintenance Vehicles		135,000	FY03
Snow Removal Equipment		42,072	FY03
Computers and Equipment		120,000	FY03
Grounds Maintenance Equipment		30,000	FY04
Sweeper		40,000	FY05
Communications Equipment		20,000	FY05
Total estimated cost over next 5 years		387,072	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7C)

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Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022	PHA Wide		
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Management Improvements			
Computer Upgrades		69,316	FY03
MIS Technical Assistance		42,772	FY03
Resident & Staff Training & HTVN		120,000	FY03
Service Coordinator – High Rise		320,000	FY03
Security – High Rises & Low Rises		1,200,000	FY03
Resident Education and Employment Training		200,000	FY03
PHAS – Security Coordinator		240,000	FY03
Technical Assistance to Resident Councils		40,000	FY03
PHAS – Unit Turnaround Time		328,896	FY03
Sal & Ben – Energy-SPM-LBP Management		740,000	FY03
Total estimated cost over next 5 years		3,300,984	

Attachment F

Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

- 1. General Information/History**
- 2. PHDEP Plan Goals/Budget**
- 3. Milestones**
- 4. Certifications**

Section 1: General Information/History

- A. Amount of PHDEP Grant \$ 493,840**
- B. Eligibility type (Indicate with an "x") N1 _____ N2 XX R _____**
- C. FFY in which funding is requested FFY 2001**
- D. Executive Summary of Annual PHDEP Plan**

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Rockford Housing Authority (RHA) will use holistic approach incorporating suppression, prevention, and intervention. This approach, Rockford Eliminating Alcohol and Drugs for Youth (READY) is based on a combination of law enforcement activities and a continuum of care model.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
High Rise Developments	Units	Population
Brewington Oaks A	209	124
Brewington Oaks B	209	192
Olesen Plaza	151	149
North Main Manor	187	188
Park Terrace	183	155
TOTALS	939	808
Family Developments	Units	Population
Blackhawk	196	409
Fairgrounds Valley	210	702
Jane Addams	84	260
Orton Keys	175	558
TOTALS	665	1929

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an “x” to indicate the length of program by # of months. For “Other”, identify the # of months).

6 Months _____ **12 Months** _____ **18 Months** _____ **24 Months** **XX** **Other** _____

G. PHDEP Program History Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1996	0.0	N/A	-0-		02-97
FY 1997	523,380	IL06DEP0220197	-0-		02-99
FY 1998	546,780	IL06DEP0220198	-0-		02-00
FY1999	442,123	IL06DEP0220199	28,642		02-01
FY 2000	460,051	IL06DEP0220000	374,103		02-02
FY 2001	493,840	IL06DEP0220001	493,840		02-03

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

The Rockford Housing Authority has partnered with the City of Rockford Police Department with a Housing Police Unit consisting of 11 Officers. The core of this unit is using Community Oriented Policing (COP) to get to know the tenants in the high-rise and family developments. The Officers use this knowledge to eradicate drug dealing, and make referrals to drug counseling. The Northern Illinois Center for Governmental Studies evaluates the program on an annual basis.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY <u>01</u> PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	340,000
9120 - Security Personnel	-0-
9130 - Employment of Investigators	-0-
9140 - Voluntary Tenant Patrol	1,200
9150 - Physical Improvements	14,000
9160 - Drug Prevention	37,000
9170 - Drug Intervention	6,000
9180 - Drug Treatment	-0-
9190 - Other Program Costs	95,640
TOTAL PHDEP FUNDING	493,840

PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement				Total PHDEP Funding: \$ 325,000 + \$15,000			
Goal(s)	To operate a Community Oriented Policing Program on Housing Property						
Objectives	To assign each officer to 1 high rise and 1 family development so they can work hand in hand to eradicate drug use and trafficking with the tenants living in those developments.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/ Source)	Performance Indicators
1. Community Policing			10-01-01	09-30-02	299,981		Provide 8,500 hours of law enforcement
2. Attendance of Resident Council Meetings.			10-01-01	09-30-02			Attend all Resident Council Meetings
3. Attendance of Neighborhood Watch Meetings.			10-01-01	09-30-02			Attend all Neighborhood Watch Meetings.
4. Walking Patrols in both the family and high rise developments.			10-01-01	09-30-02			Provide 30 hours per month Vertical Patrol to the High-rises. Provide 30 hours per month to Bicycle Patrolling in Family Developments from May thru Sept.
5. Gun Buyback			10-01-01	09-30-02	14,000		To get 436 guns off the streets

9120 - Security Personnel				Total PHDEP Funding: \$ 00			
Goal(s)	To provide security at 5 high rise and 1 family development.						
Objectives	To provide Residents with a sense of security. To provide a means of identifying those persons entering the property.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Identify and sign in guests	318 160	Brewington Park Terrace	10-01-01	09-30-02	-0-	CFP \$273,022	Decrease crime in high rise developments
2.							
3.							

9130 - Employment of Investigators				Total PHDEP Funding: \$ 00			
Goal(s)	N/A						
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
N/A							
1.							
2.							
3.							

9140 - Voluntary Tenant Patrol				Total PHDEP Funding: \$ 1,200			
Goal(s)	To reduce criminal activity in the high-rise and family developments.						
Objectives	To empower the tenants to become more involved in improving their community.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Develop Neighborhood Watch Organizations			10-01-01	9-30-02	1,200	0	To organize 2- neighborhood watch programs.
2.							Orton Keyes/Park Terrace
3.							

9150 - Physical Improvements				Total PHDEP Funding: \$14,000			
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Gun Buyback	400			05-30-02	14,000		Transferred to Gun Buyback Program
2.							
3.							

9160 - Drug Prevention				Total PHDEP Funding: \$ 37,000			
Goal(s)	To reduce the use of alcohol and narcotics among Resident youth.						
Objectives	To provide education regarding drugs and alcohol and provide recreational activities.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Provide early prevention and education in the area of gang activity, drug and alcohol resistance through the C.H.O.I.C.E.S. (Children Having Options in Choosing Environments) program.	100	5-8 year old children	10-01-01	9-30-02	22,000		5 Sessions provided per year, up to 20 children per session. On Going via Ann Higgins PHDEP Funded
2. Provide recreational activities and computer training through the Rockford Boys & Girls Club.		7-18 year old children	10-01-01	9-30-02	15,000	United Way	Provide year long program

9170 - Drug Intervention				Total PHDEP Funding: \$ 6,000			
Goal(s)	To provide education & training to RHA Police Officers and Staff.						
Objectives	To educate the RHA Police Officers and Security Staff in the latest techniques for drug eradication and identification						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Educational Training regarding drug eradication and identification.	11	RHA Police Officers and Staff	10-01-01	9-30-02	6,000	Rockford Police Department	Send 11 RHA Police Officers to training regarding latest techniques for drug eradication and identification.
2.							

9180 - Drug Treatment				Total PHDEP Funding: \$ 00			
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
N/A							
1.							
2.							

9190 - Other Program Costs				Total PHDEP Funds: \$ 95,640			
Goal(s)		To provide logistical data.					
Objectives		To provide support staff and equipment to maintain data.					
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Computer Upgrades and Equipment			10-01-01	9-30-02	2,640		
1. PHAS/Security Coordinator			10-01-01	9-30-02	-0-	60,000 – CGP	
1. Security Analyst Wages & Fringe			10-01-01	9-30-02	47,000		
2. Administrative Clerk Wages & Fringe			10-01-01	9-30-02	-0-		
3. Program Evaluation			9-30-02	3-31-03	20,000		

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line 09-30-01 Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g. Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110		313,981	1 – 2 – 3 – 4	26,019
9120				
9130				
9140	Activity 1			1,200
9150		14,000		
9160	Activity 2	2,000	Activity 1	35,000
9170	Activity 1			6,000
9180				
9190		95,640	Activity 3	
TOTAL		\$ 425,621		\$ 68,219

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”