

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2001 - 2005
Annual Plan for Fiscal Year 2001

NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH

HUD 50075

**OMB Approval No: 2577-0226
Expires: 03/31/2002**

INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES

HUD 50075

OMB Approval No: 2577-0226
Expires: 03/31/2002

**PHA Plan
Agency Identification**

PHA Name: Aurora Housing Authority

PHA Number: IL090

PHA Fiscal Year Beginning: (mm/yyyy) 04/2001

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

HUD 50075
OMB Approval No: 2577-0226
Expires: 03/31/2002

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004

[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

The PHA mission is: (state mission here)

Aurora Housing Authority
Mission Statement

It is the mission of the Aurora Housing Authority to promote the original philosophy of public housing as a temporary helping hand by providing housing assistance to those in need with understanding, respect and professionalism without discrimination.

The primary focus of our mission is to provide and maintain quality, affordable housing within a safe, stable environment while promoting available educational, employment, economic development and self sufficiency programs and initiatives for the residents we serve to enhance their lives and increase opportunities for upward mobility and independent lifestyles.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

PHA Goal: Expand the supply of assisted housing

Objectives:

Apply for additional rental vouchers:

Reduce public housing vacancies:

Leverage private or other public funds to create additional housing opportunities:

Acquire or build units or developments

_____ Other (list below)

PHA Goal: Improve the quality of assisted housing

Objectives:

Improve public housing management: (PHAS score)

Improve voucher management: (SEMAP score)

Increase customer satisfaction:

_____ Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)

Renovate or modernize public housing units:

_____ Demolish or dispose of obsolete public housing:

_____ Provide replacement public housing:

_____ Provide replacement vouchers:

_____ Other: (list below)

PHA Goal: Increase assisted housing choices

Objectives:

Provide voucher mobility counseling:

Conduct outreach efforts to potential voucher landlords

_____ Increase voucher payment standards

_____ Implement voucher homeownership program:

_____ Implement public housing or other homeownership programs:

_____ Implement public housing site-based waiting lists:

_____ Convert public housing to vouchers:

_____ Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment

Objectives:

_____ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:

Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments: This action is not necessary at this time because all developments are fairly equal based on current income levels. However, if it becomes necessary in the future, the AHA will adhere to this goal.

Implement public housing security improvements:

- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or **attract** supportive services to improve assistance recipients? employability:
- Provide or **attract** supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- Other: (list below)

Other PHA Goals and Objectives: (list below)

Annual PHA Plan
PHA Fiscal Year 2000

[24 CFR Part 903.7]

Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

High Performing PHA

Small Agency (<250 Public Housing Units)

Administering Section 8 Only

Troubled Agency Plan

Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Table of Contents

	<u>Page #</u>
Annual Plan	
Executive Summary	
i. Table of Contents	
Housing Needs	6
1. Financial Resources	12
2. Policies on Eligibility, Selection and Admissions	13
3. Rent Determination Policies	23
4. Operations and Management Policies	31
5. Grievance Procedures	33
6. Capital Improvement Needs	34
7. Demolition and Disposition	45
8. Designation of Housing	46
9. Conversions of Public Housing	47
10. Homeownership	48
11. Community Service Programs	50

12. Crime and Safety	52
13. Pets (Inactive for January 1 PHAs)	54
14. Civil Rights Certifications (included with PHA Plan Certifications)	59
15. Audit	59
16. Asset Management	60
17. Other Information	62

Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration
- FY 2000 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart (included in plan)
- FY 2000 Capital Fund Program 5 Year Action Plan (included in plan)
- Public Housing Drug Elimination Program (PHDEP) Plan (**included in attachment file IL090PHDEPv1**)
- Comments of Resident Advisory Board or Boards (included in plan)
- Other (List below, providing each attachment name)

Included in Section 18D "Other Information required by HUD:

1. **Community Service Policy** (included in plan);
2. **Progress In Meeting The Five Year Plan And Mission Goals** (included in plan);
3. **AHA Resident Board Of Commissioner Member Information** (included in plan);
4. **AHA Resident Advisory Board Member Information** (included in plan);
5. **AHA Resident Satisfaction Survey Follow Up Plan** (included in plan);
6. **AHA Definition Of "Substantial Deviation" And Significant Amendment Or Modification** (included in plan); and
7. **Public Hearing Comments and Recommendations** (included in plan).
8. **Admissions Policy for Deconcentration**

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies

Applicable & On Display	Supporting Document	Applicable Plan Component
X	Public Housing Deconcentration and Income Mixing Documentation: PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 18. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents X Check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development X check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies X check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures X check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures X check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing

Applicable & On Display	Supporting Document	Applicable Plan Component
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7.9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Affordability	Supply	Quality	Accessibility	2. Size	Location
Income <= 30% of AMI	4,499	2,416	N/A	1,894	N/A	189	N/A
Income >30% but <=50% of AMI	2,392	978	N/A	1,225	N/A	189	N/A
Income >50% but <80% of AMI	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Elderly	2,027	1,180	N/A	846	N/A	1	N/A
Families with Disabilities	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

Consolidated Plan of the Jurisdiction/s

Indicate year: **2000 - 2002**

U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset

American Housing Survey data

Indicate year: _____

Other housing market study

Indicate year: _____
 _____ Other sources: (list and indicate year of information)

A. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
_____ Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
_____ Combined Section 8 and Public Housing			
_____ Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	464		211
Extremely low income <=30% AMI	420	90.5	
Very low income (>30% but <=50% AMI)	40	8.6	
Low income (>50% but <80% AMI)	4	.9	
Families with children	272	58.6	
Elderly families	118	25.4	
Families with Disabilities	83	17.9	
Race/ethnicity B*	331	71.3	
Race/ethnicity W*	129	27.8	
Race/ethnicity H*	62	13.4	
Race/ethnicity O*	4	.9	
Race/ethnicity - Non Hispanic	402	86.6	
* B: Black			

* W: White			
* H: Hispanic			
* O: Other			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	121	26%	Varies
2 BR	196	42%	Varies
3 BR	132	28%	Varies
4 BR	11	3%	Varies
5 BR	4	1%	Varies
5+ BR			Varies
Is the waiting list closed (select one)? No Yes			
If yes:			
B. How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? No Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	590		545
Extremely low income <=30% AMI	530	89.8	

Very low income (>30% but <=50% AMI)	57	9.7	
Low income (>50% but <80% AMI)	3	.5	
Families with children	470	79.7	
Elderly families	70	11.9	
Families with Disabilities	54	9.2	
Race/ethnicity B*	449	76.1	
Race/ethnicity W*	136	23.1	
Race/ethnicity H*	68	11.5	
Race/ethnicity O*	5	.8	
Race/ethnicity - Non Hispanic	522	88.5	
* B: Black			
* W: White			
* H: Hispanic			
* O: Other			
Is the waiting list closed (select one)? No Yes			
If yes:			
B. How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? No Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- X Employ effective maintenance and management policies to minimize the number of public housing units off-line
- X Reduce turnover time for vacated public housing units
- X Reduce time to renovate public housing units
- _____ Seek replacement of public housing units lost to the inventory through mixed finance development
- _____ Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- _____ Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- _____ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- _____ Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- _____ Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- X Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- _____ Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- _____ Apply for additional section 8 units should they become available
- _____ Leverage affordable housing resources in the community through the creation of mixed - finance housing
- _____ Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- _____ Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- _____ Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- _____ Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- _____ Employ admissions preferences aimed at families with economic hardships
- X Adopt rent policies to support and encourage work
- _____ Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

B. Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)
Provide reasonable accommodations to persons with disabilities as requested (i., e., audio/visual assistance devices, ramps, grab bars, etc.).

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

Recommendations made by Resident Advisory Board (prior year)

Statement of Financial Resources

[24 CFR Part 903.79 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

**Financial Resources:
Planned Sources and Uses**

Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2000 grants)		
a) Public Housing Operating Fund	1,460,000	
b) Public Housing Capital Fund	1,397,936	
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant- Based Assistance	4,686,000	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	150,600	
g) Resident Opportunity and Self- Sufficiency Grants	0	
h) Community Development Block Grant	0	
i) HOME	0	
Other Federal Grants (list below)	0	
2. Prior Year Federal Grants (unobligated funds only) (list below)		
Capital Fund FY2000	1,397,936	Approved Capital Fund Items
PHDEP Grant FY2000	150,600	Approved PHDEP Fund Items
3. Public Housing Dwelling Rental Income	900,000	Operation of Agency
4. Other income (list below)		
	30,000	
Interest	85,000	

Sources	Planned \$	Planned Uses
4. Non-federal sources (list below)	0	
Total resources	10,258,072	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

When families are within a certain number of being offered a unit: (state number)

When families are within a certain time of being offered a unit: (state time)

Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

Criminal or Drug-related activity

Rental history

Housekeeping

Other (describe)

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source) When there are circumstance whereby neither local or State law enforcement agencies can provide conclusive records for an individual applicant or family and effort is made to

obtain criminal records from the FBI. However, this process is very difficult and time consuming. There should be some consideration given to improving and expediting this process.

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

NO Yes **NO** Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below) Case by case basis at the discretion of the AHA based on circumstances.

a. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If ?no? is selected, skip to subsection **(5) Occupancy**)

1. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other

preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing
- Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans? families
- Residents who live and/or work in the jurisdiction or attend school full time
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a ?1? in the space that represents your first priority, a ?2? in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use ?1? more than once, ?2? more than once, etc.

1 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing
- Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans? families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs

- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Weight 1: Local residency: live, work or attend school full time in Aurora.

Weight 2: High rent burden.

Weight 3: Both preferences listed above.

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHAs Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHAs analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing? All developments are fairly equal based on current income levels.

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site-based waiting lists

If selected, list targeted developments below:

Employing waiting list ? skipping? to achieve deconcentration of poverty or income mixing goals at targeted developments

If selected, list targeted developments below: **All Developments**

Employing new admission preferences at targeted developments

If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

Additional affirmative marketing

Actions to improve the marketability of certain developments

Adoption or adjustment of ceiling rents for certain developments

Adoption of rent incentives to encourage deconcentration of poverty and income-mixing

Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
 List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
 Criminal or drug-related activity only to the extent required by law or regulation
 Criminal and drug-related activity, more extensively than required by law or regulation
 More general screening than criminal and drug-related activity (list factors below)
 Other (list below)
- b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source) When there are circumstance whereby neither local or State law enforcement agencies can provide conclusive records for an individual applicant or family and effort is made to obtain criminal records from the FBI. However, this process is very difficult and time consuming. There should be some consideration given to improving and expediting this process.
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
 Criminal or drug-related activity
 Other (describe below)

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

At the discretion of the AHA on a case by case basis based on the circumstances.

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner,

- Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans? families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Weight 1: Local residency: live, work or attend school full time in Aurora.

Weight 2: High rent burden.

Weight 3: Both preferences listed above.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans? families
- Residents who live and/or work in your jurisdiction

- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Weight 1: Local residency: live, work or attend school full time in Aurora.

Weight 2: High rent burden.

Weight 3: Both preferences listed above.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for residents who live and/or work in the jurisdiction? (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

The AHA has no Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

a. How does the PHA announce the availability of any special-purpose section 8 programs

- to the public?
- Through published notices
- Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

MINIMUM RENT

The AHA has set the minimum rent at **\$25.00**. However if the family requests a hardship exemption, the AHA will immediately suspend the minimum rent for the family until the AHA can determine whether the hardship exists and whether the hardship is of a temporary or long-term nature.

- A. A hardship exists in the following circumstances:
1. When the family has lost eligibility for or is waiting for an eligibility determination for a Federal, State, or local assistance program;
 2. When the family would be evicted as a result of the imposition of the minimum rent requirement;
 3. When the income of the family has decreased because of changed circumstances, including loss of employment;
 4. When the family has an increase in expenses because of changed circumstances, for medical costs, child care, transportation, education, or similar items;
 5. When a death has occurred in the family.
- B. No hardship. If the AHA determines there is no qualifying hardship, the minimum rent will be reinstated, including requiring back payment of minimum rent for the time of suspension.
- C. Temporary hardship. If the AHA reasonably determines that there is a qualifying hardship but that it is of a temporary nature, the minimum rent will be not be imposed for a period of 90 days from the date of the family's request. At the end of the 90-day period, the minimum rent will be imposed retroactively to the time of suspension. The AHA will offer a repayment agreement in accordance with the Section 19 of this policy for any rent not paid during the period of suspension. During the suspension period the AHA will not evict the family for nonpayment of the amount of tenant rent owed for the suspension period.
- D. Long-term hardship. If the AHA determines there is a long-term hardship, the family will be exempt from the minimum rent requirement until the hardship no longer exists.

E. Appeals. The family may use the grievance procedure to appeal the AHA's determination regarding the hardship. No escrow deposit will be required in order to access the grievance procedure.

a. Rents set at less than 30% than adjusted income

1. ___ Yes ___X___ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

___ For the earned income of a previously unemployed household member

___ For increases in earned income

___ Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

___ Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

___ For household heads

___ For other family members

___ For transportation expenses

___ For the non-reimbursed medical expenses of non-disabled or non-elderly families

___ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

___ Yes for all developments

- Yes but only for some developments
 No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
 For all general occupancy developments (not elderly or disabled or elderly only)
 For specified general occupancy developments
 For certain parts of developments; e.g., the high-rise portion
 For certain size units; e.g., larger bedroom sizes
 Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
 Fair market rents (FMR)
 95th percentile rents
 75 percent of operating costs
 100 percent of operating costs for general occupancy (family) developments
 Operating costs plus debt service
 The "rental value" of the unit
 Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
 At family option
 Any time the family experiences an income increase
 Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) \$100.00 per month (increase or decrease)
 Other (list below)

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the

next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

_____ The section 8 rent reasonableness study of comparable housing

_____ Survey of rents listed in local newspaper

_____ Survey of similar unassisted units in the neighborhood

X Other (list/describe below)

40TH percentile of Fair Market Rents (FMR's) for existing housing in this geographic area established by HUD

FLAT RENTS

The annual letter to flat rent payers regarding the reexamination process will state the following:

- A. Each year at the time of the annual reexamination, the family has the option of selecting a flat rent amount in lieu of completing the reexamination process and having their rent based on the formula amount.
- B. The amount of the flat rent.
- C. A fact sheet about formula rents that explains the types of income counted, the most common types of income excluded, and the categories of allowances that can be deducted from income.
- D. Families who opt for the flat rent will be required to go through the income reexamination process every three years, rather than the annual review they otherwise would undergo.
- E. Families who opt for the flat rent may request to have a reexamination and return to the formula-based method at any time for any of the following reasons:
 - 1. The family's income has decreased.
 - 2. The family's circumstances have changed increasing their expenses for child care, medical care, etc.

3. Other circumstances creating a hardship on the family such that the formula method would be more financially feasible for the family.
- F. The dates upon which the AHA expects to review the amount of the flat rent, the approximate rent increase the family could expect, and the approximate date upon which a future rent increase could become effective.
 - G. The name and phone number of an individual to call to get additional information or counseling concerning flat rents.
 - H. A certification for the family to sign accepting or declining the flat rent.

Each year prior to their anniversary date, AHA will send a reexamination letter to the family offering the choice between a flat or a formula rent. The opportunity to select the flat rent is available only at this time. At the appointment, the AHA may assist the family in identifying the rent method that would be most advantageous for the family. If the family wishes to select the flat rent method without meeting with the AHA representative, they may make the selection on the form and return the form to the AHA. In such case, the AHA will cancel the appointment.

Aurora Housing Authority Flat Rent Schedule

The following is the flat rent amount for each bedroom size unit owned by the Aurora Housing Authority (AHA). This flat rent amount is based on the Fair Market Rent Schedule established by HUD for the Chicago Metropolitan area which includes Kane County.

The Flat Rent Schedule will be adjusted annually as necessary based on the update of the HUD Fair Market Rent Schedule. Adjustments in rent are applied on the anniversary date for each affected family.

Flat Rent Schedules are posted at each development and at the central office of the AHA.

Bedroom Sized Unit	Monthly Flat Rent Amount
Studio (0 bedroom)	\$ 533.00
1 bedroom	\$ 640.00

2 bedroom	\$ 762.00
3 bedroom	\$ 953.00
4 bedroom	\$1,066.00
5 bedroom	\$1,225.00
6 bedroom	\$1,385.00

Families who opt for the flat rent may request to have a reexamination and return to the formula based method at any time for any of the following reasons:

1. The family's income has decreased.
2. The family's circumstances have changed increasing their expenses for child care, medical care, etc.
3. Other circumstances creating a hardship on the family such that the formula method would be more financially feasible for the family.

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

At or above 90% but below 100% of FMR

100% of FMR

Above 100% but at or below 110% of FMR

Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
 The PHA has chosen to serve additional families by lowering the payment standard
 Reflects market or submarket
 Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
 Reflects market or submarket
 To increase housing options for families
 Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
 Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
 Rent burdens of assisted families
 Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

MINIMUMRENT

The AHA has set the minimum rent at **\$25.00**. However if the family requests a hardship exemption, the AHA will immediately suspend the minimum rent for the family until the AHA can determine whether the hardship exists and whether the hardship is of a temporary or long-term nature.

- A. A hardship exists in the following circumstances:
 - 1. When the family has lost eligibility for or is waiting for an eligibility determination for a Federal, State, or local assistance program;
 - 2. When the family would be evicted as a result of the imposition of the minimum rent requirement;
 - 3. When the income of the family has decreased because of changed circumstances, including loss of employment;
 - 4. When the family has an increase in expenses because of changed circumstances, for medical costs, child care, transportation, education, or similar items;
 - 5. When a death has occurred in the family.
- B. No hardship. If the AHA determines there is no qualifying hardship, the minimum rent will be reinstated, including requiring back payment of minimum rent for the time of suspension.
- C. Temporary hardship. If the AHA reasonably determines that there is a qualifying hardship but that it is of a temporary nature, the minimum rent will be not be imposed for a period of 90 days from the date of the family's request. At the end of the 90-day period, the minimum rent will be imposed retroactively to the time of suspension. The AHA will offer a repayment agreement in accordance with the Section 19 of this policy for any rent not paid during the period of suspension. During the suspension period the AHA will not evict the family for nonpayment of the amount of tenant rent owed for the suspension period.
- D. Long-term hardship. If the AHA determines there is a long-term hardship, the family will be exempt from the minimum rent requirement until the hardship no longer exists.
- E. Appeals. The family may use the grievance procedure to appeal the AHA's determination regarding the hardship. No escrow deposit will be required in order to access the grievance procedure.

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization. (select one)

An organization chart showing the PHA management structure and organization is attached.

A brief description of the management structure and organization of the PHA follows:

The Aurora Housing Authority operates under the direction of a seven member Board of Commissioners appointed to five year terms by the Mayor of Aurora. There is currently one resident Board of Commissioner member. The agency is directly operated by the executive director. The AHA currently has thirty eight employees. Management staff consists of a deputy executive director, director of housing management and special operations and the director of business operations. The maintenance department, modernization and contracting functions, procurement, inventory and resident initiative coordinator are under the deputy executive director. Four property managers, four section 8 specialists, two occupancy specialists and a clerk work under the director of housing management and special operations. A bookkeeper, receptionist and part time accountant work under the director of business operations. A compliance manager works under the direction of the executive director.

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use ?NA? to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	496	Varies
Section 8 Vouchers	372	Varies
Section 8 Certificates	261	Varies
Section 8 Mod Rehab	37	Varies
Special Purpose Section 8 Certificates/Vouchers (list individually)	N/A	
Public Housing Drug Elimination Program (PHDEP)	461	None
Other Federal Programs(list individually)		
Comp Grant	656	None

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)
 - Admissions and Continued Occupancy Policy;
 - Public Housing Lease;
 - Routine and Preventive Maintenance Policy; and
 - Pest Control Policy (includes cockroach extermination).

- (2) Section 8 Management: (list below)
 - Section 8 Administrative Plan;
 - HAP Contract.

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

PHA main administrative office
 PHA development management offices
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

**Component 7
Capital Fund Program Annual Statement
Parts I, II, and II**

**Annual Statement
Capital Fund Program (CFP) Part I: Summary**

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	0
2	1406 Operations	0
3	1408 Management Improvements	25,000
4	1410 Administration	139,793
5	1411 Audit	0
6	1415 Liquidated Damages	0
7	1430 Fees and Costs	75,000
8	1440 Site Acquisition	0
9	1450 Site Improvement	40,000
10	1460 Dwelling Structures	985,143
11	1465.1 Dwelling Equipment-Nonexpendable	35,000
12	1470 Nondwelling Structures	0
13	1475 Nondwelling Equipment	88,000
14	1485 Demolition	0
15	1490 Replacement Reserve	0
16	1492 Moving to Work Demonstration	0
17	1495.1 Relocation Costs	10,000
18	1498 Mod Used for Development	0
19	1502 Contingency	0
20	Amount of Annual Grant (Sum of lines 2-19)	1,397,936
21	Amount of line 20 Related to LBP Activities	350,000
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	35,000

Annual Statement

Capital Fund Program (CFP) Part 11: Supporting Table Total

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Estimated Cost
---	--	----------------------------	----------------

IL090-1 Maple Terrace	- Exterior, interior and major system replacement/repairs (187 units)	1460	30,000
IL090-3 Jericho Circle	- Exterior, interior and major system replacement/repairs (146 units)	1460	75,000
	- Landscape improvements	1450	20,000
IL090-4 Eastwood, Southwind & Indian Trail	- A&E Fees/LBP Assessment/Clearance Consultant/Project Management Fees	1430	40,000
	- LBP Abatement	1460	60,000
	- Comp. modernization (15 units)	1460	340,143
	- Exterior, interior and major system replacement/repairs (128 units)	1460	30,000
	- Landscape improvements	1450	20,000
	- Relocation	1495	10,000
IL090-5 & IL090-6 Centennial House Centennial House Annex	- Exterior, interior and major system replacement/repairs (81 units)	1460	30,000
	- Exterior, interior and major system replacement/repairs (46 units)	1460	20,000
IL090-7, 8 & 9 Scattered Sites	- A&E Fees/LBP Assessment/Clearance Consultant/Project Management Fees	1430	35,000
	- LBP Abatement	1460	290,000
	- Exterior, interior and major system replacement/repairs		
	- 90-7 (20 units)	1460	35,000
	- 90-8 (39 units)	1460	60,000
	- 90-9 (8 units)	1460	15,000
<u>Agency Wide</u> Management Improvements	-Staff training to administer Capital Fund, training to sustain management improvements and physical improvements and software	1408	25,000
Administration	- Administration of Capital Fund - 2 staff	1410	139,793
Appliances	- Replacement appliances	1465	35,000
Non-Dwelling Equipment	- Computer system upgrade, vehicles to sustain physical improvements, etc.	1475	88,000

Table Library

Annual Statement

Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
IL90-001	9-30-2003	9-30-2004
IL90-003	9-30-2003	9-30-2004
IL90-004	9-30-2003	9-30-2004
IL90-005	9-30-2003	9-30-2004
IL90-006	9-30-2003	9-30-2004
IL90-007	9-30-2003	9-30-2004
IL90-008	9-30-2003	9-30-2004
IL90-009	9-30-2003	9-30-2004
Agency Wide	9-30-2003	9-30-2004

Table Library

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-PO90-001	Maple Terrace			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start (HA Fiscal

IL90-1 Maple Terrace		
- FY 2001	\$ 30,000	2001 Year 1
- A&E Fees Site wide 1430	\$ 10,000	2002 Year 2
- Exterior, interior and major system replacement/repairs 2 buildings 1460	\$ 30,000	2002
- Landscape improvements Site wide 1450	\$ 20,000	2002
- Exterior, interior and major system replacement/repairs 2 buildings 1460	\$ 20,000	2003 Year 3
- A&E	\$ 5,000	
- Exterior, interior and major system replacement/repairs 2 buildings 1460	\$ 50,000	2003 Year 4
- Exterior, interior and major system replacement/repairs 2 buildings 1460	\$ 50,000	2005 Year 5
Total estimated cost over next 5 years	\$215,000	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-PO90-003	Jericho Circle		
Description of Needed Physical Improvements or Management Improvements			Estimated Cost
IL90-3 Jericho Circle			
- FY2001			\$ 95,000
- Exterior, interior and major system replacement/repairs - 146 units 1460			\$ 60,000
- Exterior, interior and major system replacement/repairs - 146 units 1460			\$ 41,515
- A&E			\$ 5,000
- Exterior, interior and major system replacement/repairs - 146 units 1460			\$ 50,000
- Exterior, interior and major system replacement/repairs - 146 units 1460			\$ 60,000
Total estimated cost over next 5 years			\$311,515
Description of Needed Physical Improvements or Management Improvements			Estimated Cost
IL90-3 Jericho Circle			
- FY2001			\$ 95,000
- Exterior, interior and major system replacement/repairs - 146 units 1460			\$ 60,000
- Exterior, interior and major system replacement/repairs - 146 units 1460			\$ 41,515
- A&E			\$ 5,000
- Exterior, interior and major system replacement/repairs - 146 units 1460			\$ 50,000
- Exterior, interior and major system replacement/repairs - 146 units 1460			\$ 60,000
Total estimated cost over next 5 years			\$311,515

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development

IL06-PO90-004	Eastwood, Southwind and Indian Trail		
Description of Needed Physical Improvements or Management Improvements			Estimated Cost
IL90-4 Eastwood, Southwind & Indian Trail - FY 2001			2001 Year 1
- A&E Fees 15 units 1430			2002 Year 2
- Modernization Update 15 units 1460/1465			2002
- Landscaping 3 Sites 1450			2002
- A&E Fees 1430			2003 Year 3
- Modernization Update 15 units 1460/1465			2003
- A&E Fees 1430			2004 Year 4
- Modernization Update 24 units 1460/1465			2004
- A&E Fees 1430			2005 Year 5
- Modernization Update 24 units 1460/1465			2005
Total estimated cost over next 5 years			\$2,587,128

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-PO90-005	Centennial House		
Description of Needed Physical Improvements or Management Improvements			Estimated Cost
IL90-5 - FY 2001			2001 Year 1
- A&E Fees - replace parking lot/landscaping 1430			2002 Year 2
- Replace parking lot/landscaping 1450			2002
- Replace 1 W/H's 1460			2002
- Replace 1 W/H/Boiler Module 1460			2003 Year 3
- Exterior, interior and major system replacement/repairs - 1460			2004 Year 4
- Exterior, interior and major system replacement/repairs - 1460			2005 Year 5
Total estimated cost over next 5 years			\$313,000

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-PO90-006	Centennial House Annex			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start (HA Fiscal)
IL90-6				
- FY 2001			\$ 20,000	2001 Year 1
- A&E Fees - replace parking lot/landscaping 1430			\$ 10,000	2002 Year 2
- Replace parking lot/landscaping 1450			\$150,000	2002
- Replace 1 W/H's 1460			\$ 10,000	2002
- Replace 1 W/H/Boiler Module 1460			\$ 8,000	2003 Year 3
- Exterior, interior and major system replacement/repairs - 1460			\$ 20,000	2004 Year 4
- Exterior, interior and major system replacement/repairs - 1460			\$ 50,000	2005 Year 5
Total estimated cost over next 4 years			\$268,000	

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-PO90-007	Scattered Site			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start (HA Fiscal)

IL06-PO90-007 Scattered Site		
- FY 2001	\$290,000	2001 Year 1
- A&E Fees/LBP Abatement	\$ 20,000	2002 Year 2
- LBP Abatement	\$ 75,000	2002
- Exterior, interior and major system replacement/repairs 1460/1465	\$ 25,000	2002
- Landscape & site improvements 1450	\$ 10,000	2002
-A&E Fees	\$ 18,000	2003 Year 3
- Exterior, interior and major system replacement/repairs 1460/1465	\$170,000	2003
-A&E Fees	\$ 4,000	2004 Year 4
- Exterior, interior and major system replacement/repairs 1460/1465	\$ 40,000	2004
- Exterior, interior and major system replacement/repairs 1460/1465	\$ 45,000	2005 Year 5
- Landscape & site improvements 1450	\$ 20,000	2005
Total estimated cost over next 5 years	\$717,000	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development

IL06-PO90-008	Scattered Site			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start (HA Fiscal)
IL06-PO90-008 Scattered Site				
- FY 2001			\$130,000	2001 Year 1
- A&E Fees			\$ 8,000	2002 Year 2
- Exterior, interior and major system replacement/repairs 1460/1465			\$ 80,000	2002
- Landscape & site improvements 1450			\$ 20,000	2002
-A&E Fees			\$ 12,000	2003 Year 3
- Exterior, interior and major system replacement/repairs 1460/1465			\$140,000	2003
-A&E Fees			\$ 21,000	2004 Year 4
- Exterior, interior and major system replacement/repairs 1460/1465			\$271,270	2004
- A&E Fees			\$ 26,270	2005 Year 5
- Exterior, interior and major system replacement/repairs 1460/1465			\$285,000	2005
- Landscape & site improvements 1450			\$ 50,000	2005
Total estimated cost over next 5 years			\$1,043,540	

Optional 5-Year Action Plan Tables

Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-PO90-009	Scattered Sites			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start (HA Fiscal)
IL06-PO90-009 Scattered Site				
FY2001			\$ 15,000	2001 Year 1
- A&E Fees			\$ 1,000	2002 Year 2
- Exterior, interior and major system replacement/repairs 1460/1465			\$ 15,000	2002
- Landscape & site improvements 1450			\$ 8,000	2002
- Exterior, interior and major system replacement/repairs 1460/1465			\$ 20,000	2003 Year 3
- Exterior, interior and major system replacement/repairs 1460/1465			\$ 30,000	2004 Year 4
- A&E Fees			\$ 4,000	2005 Year 5
- Exterior, interior and major system replacement/repairs 1460/1465			\$ 50,000	2005
- Landscape & site improvements 1450			\$ 25,000	2005
Total estimated cost over next 5 years			\$168,000	

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-PO90	Agency Wide			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned St (HA Fiscal
Agency Wide Improvements				
- FY2001			\$287,793	2001 Year 1
- Management Improvements 1408			\$ 25,000	2002 Year 2
- Administration 1410			\$139,793	2002
- Appliances 1465			\$ 36,143	2002
- Non-Dwelling Equipment 1475			\$ 50,000	2002
- Management Improvements 1408			\$ 25,000	2003 Year 3
- Administration 1410			\$139,793	2003
- Appliances 1465			\$ 55,937	2003
- Non-Dwelling Equipment 1475			\$ 40,000	2003
- Management Improvements 1408			\$ 25,000	2004 Year 4
- Administration 1410			\$139,793	2004
- Appliances 1465			\$ 50,000	2004
- Non-Dwelling Equipment 1475			\$ 87,452	2004
- Management Improvements 1408			\$ 25,000	2005 Year 5
- Administration 1410			\$139,793	2005
- Appliances 1465			\$ 50,000	2005
- Non-Dwelling Equipment 1475			\$ 50,000	2005
Total estimated cost over next 5 years			\$1,366,497	

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes,

provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

Revitalization Plan under development

Revitalization Plan submitted, pending approval

Revitalization Plan approved

Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: **Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If ?No?, skip to component 9; if ?yes?, complete one activity description for each development.)**

2. Activity Description

Yes No: **Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If ?yes?, skip to component 9. If ?No?, complete the Activity Description table below.)**

Demolition/Disposition Activity Description
--

1a. Development name:
1b. Development (project) number:
2. Activity type: <input type="checkbox"/> Demolition <input type="checkbox"/> Disposition
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units affected: Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: **Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If ?No?, skip to component 10. If ?yes?, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)**

2. Activity Description

Yes No: **Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If ?yes?, skip to component 10. If ?No?, complete the Activity Description table below.**

<u>Designation of Public Housing Activity Description</u>
1a. Development name:
1b. Development (project) number:

2. Designation type: <input type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input type="checkbox"/> Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
1. Number of units affected: 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If ?No?, skip to component 11; if ?yes?, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If ?yes?, skip to component 11. If ?No?, complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name:
1b. Development (project) number:

2. What is the status of the required assessment?

Assessment underway

Assessment results submitted to HUD

Assessment results approved by HUD (if marked, proceed to next question)

Other (explain below)

3. Yes No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)

4. Status of Conversion Plan (select the statement that best describes the current status)

Conversion Plan in development

Conversion Plan submitted to HUD on: (DD/MM/YYYY)

Conversion Plan approved by HUD on: (DD/MM/YYYY)

Activities pursuant to HUD-approved Conversion Plan underway

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

Units addressed in a pending or approved demolition application (date submitted or approved: _____)

Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____)

Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____)

Requirements no longer applicable: vacancy rates are less than 10 percent

Requirements no longer applicable: site now has less than 300 units

Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S.

Housing Act of 1937 (42 U.S.C. 1437z-4). (If ?No?, skip to component 11B; if ?yes?, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

___ Yes ___ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If ?yes?, skip to component 12. If ?No?, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: ___ HOPE I ___ 5(h) ___ Turnkey III ___ Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) ___ Approved; included in the PHA's Homeownership Plan/Program ___ Submitted, pending approval ___ Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) ___ Part of the development ___ Total development

B. Section 8 Tenant Based Assistance

1. ___ Yes X No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If ?No?, skip to component 12; if ?yes?, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.79 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 12/13/99

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families (Section 8 FSS Program)
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies (Flat Rent)
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If ? yes?, complete the following table; if ? no? skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Section 8 FSS Program	34	volunteer	PHA Main Office	Section 8

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8	34	34 06/01/00

b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
 If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
 - Informing residents of new policy on admission and reexamination
 - Actively notifying residents of new policy at times in addition to admission and reexamination.
 - Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
 - Establishing a protocol for exchange of information with all appropriate TANF agencies
 - Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)
- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
 - High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
 - Residents fearful for their safety and/or the safety of their children
 - Observed lower-level crime, vandalism and/or graffiti
 - People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
 - Other (describe below)
To continue the reduction of drug-related and other violent crime in all developments to improve the safety and quality of life for residents.

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed in and around public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)
Jericho Circle, Eastwood, Indian Trail, Southwind and Maple Terrace

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)
- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
 - Crime Prevention Through Environmental Design
 - Activities targeted to at-risk youth, adults, or seniors
 - Volunteer Resident Patrol/Block Watchers Program

Other (describe below)

1. Increased law enforcement services above and beyond baseline services to provide safety and security for residents.
2. Physical security improvements.
3. Resident activities to provide positive alternatives to drug-related crime, other crime and gang activity.

2. Which developments are most affected? (list below)

Jericho Circle, Eastwood, Indian Trail, Southwind and Maple Terrace

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan

Police provide crime data to housing authority staff for analysis and action

Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)

Police regularly testify in and otherwise support eviction cases

Police regularly meet with the PHA management and residents

Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services

Other activities (list below)

Weekly meetings with local law enforcement agency to develop strategies to decrease drug-related and other criminal activities at all developments and to obtain copies of police reports that involve AHA residents, criminal activity on AHA owned property or Section 8 participants. The AHA uses subpoena power to obtain copies of such police reports.

2. Which developments are most affected? (list below)

Jericho Circle, Eastwood, Indian Trail, Southwind and Maple Terrace

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?

Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: IL090PHDEPv1)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

20.7 PET POLICY

DEFINITION

For the purpose of these rules, “pet” is defined as a domesticated small animal traditionally kept in the home for pleasure rather than for utility or commercial purposes. Pet is understood to be limited to: dogs, litter box-trained cats, birds (specifically parakeets, canaries, or finches), small caged rodents (i.e., hamsters, gerbils, guinea pigs), fish and turtles. Exotic animals are not considered “pets”. These rules, in compliance with federal regulations, distinguish cats and dogs from other pets as “fur-bearing” animals. Except where otherwise indicated, these rules apply principally to dogs and cats.

PET RESTRICTIONS

No more than one fur-bearing pet is permitted in an apartment. There may be no more than two birds or small caged animals per apartment. No limit is placed on the number of fish; however, the size of fish tank may not exceed 10 gallons. Guests are not permitted to bring any type of pet onto the premises.

LOCATION OF PETS WITHIN THE BUILDING

Pets shall not be brought into public lobbies, laundry rooms, storage areas, dining areas or other public gathering spaces. When dogs or cats are moved through the building, they must be moved from the resident’s apartment to the outside exit. One elevator will be specified for pet use; pets will be limited to that one elevator.

SIZES

Dogs shall weight no more than 10 pounds at the time of maturity and stand no more than 18 inches at the shoulder. Pets acquired as puppies shall be understood to mature at the height and weight not to exceed these height and weight restrictions. American Kennel Club’s standards shall determine the height and weight after maturity of the breed. A non-documented animal will be assumed to mature to that size which has been determined by veterinarian evidence to the Management in a signed letter.

LICENSURE AND TAGS

Every dog and cat must wear the appropriate local animal license, a valid rabies tag and a tag bearing the owner’s name, address and telephone number. All licenses and tags must be current.

ADMISSION/REGISTRATION

Every dog and cat must be registered with management upon admission and, thereafter, annually on the resident lease

expiration and recertification date. Registration of dogs and cats requires proof of current dog or cat licensure, including, but not limited to feline distemper shots. Dogs shall have certifications of appropriate inoculations for heartworm, parvo and rabies, unless otherwise specified by a veterinarian. A verification letter that a cat or dog has been spayed or neutered is required prior to admission. Proof of liability insurance, evidence of a flea control program and verification of alternate care-takers are also required as discussed below.

Prior to admittance of a pet into the facility, residents will be required to complete the pet registration form and sign the Lease Addendum pertaining to pets. All pets must be registered.

As part of the application the applicant shall acknowledge and agree that Management has the right to refer cases of pet abuse or abandonment to the appropriate Humane Society or other agency and the AHA and its representatives shall be held harmless for such referral made in good faith.

Applicants are encouraged to review and complete the appropriate form for estimating the costs of pet ownership prior to acquiring a pet.

Any change in pet will be treated as a new pet, and the permission procedure must be initiated and approval obtained once again before the new pet is allowed on the property.

ALTERING

All cats must be declawed prior to occupancy. Female dogs and cats over six months must be spayed, and males over eight months must be neutered. Dogs and cats cannot be admitted until they are old enough to be declawed, spayed or neutered.

LIABILITY

Residents owning pets shall be liable for the entire amount of all damages caused by their pet and all cleaning, defleaing and deodorizing required because of such pet. Pet owners shall be strictly liable for the entire amount of any injury to the person or property of other residents, staff or visitors of the Development caused by their pet. (Resident's will hold AHA, owners of the development and its employees harmless and indemnify them from any and all claims arising directly and indirectly from any injury or damage as a result of pet ownership.) Pet owners are encouraged to obtain liability insurance. Pet liability insurance can be obtained through most insurance agents and companies. It can also be included in renter's policies.

PET DEPOSIT

Each dog and cat owner must provide a pet security deposit in the amount of \$200.00 in addition to the standard rental security deposit. This deposit shall be maintained in a separate account as provided for by state law and HUD regulations for the maintenance of security deposits. The amount of pet deposit is established to reflect the potential cost of replacing carpeting and other furnishings as a result of pet odors, stains and damage. This fee also reflects the average pet deposit required by apartment facilities in the market area that permit pet ownership.

SANITATION

Dogs and cats are required to be “house-broken”. Cats must be litter-box trained. Dogs must be able to exercise outside the building. Management shall designate a space or spaces to be used exclusively for the purpose of exercising dogs. Pet owners shall be responsible for the immediate clean up of feces after the exercise of their dog. Resident dog owners must place the waste feces in a plastic bag, securely tie and deposit it in designated outdoor trash receptacles. Cat owners shall place “kitty litter” waste in a plastic bag, tie securely, and drop it in specified outdoor trash receptacles. “Kitty-litter” waste may not be disposed of down any garbage chute.

FLEA CONTROL

Upon admission of a pet, the pet owner shall file with Management, proof that a flea control program acceptable to Management will be maintained for a fur bearing pet. Thereafter, the owner of the fur-bearing pet shall file at intervals determined by Management proof that the pet and/or the apartment is being sprayed for fleas by an accredited exterminator or as recommended by an exterminator.

NOISE

No pet may make noise which disrupts other residents. Barking and/or whining dogs and crying or “caterwauling” cats will not be considered acceptable pets.

PET BEHAVIOR

No pet that bites, attacks, or demonstrates other aggressive behavior toward humans or other domesticated animals may be kept.

LEASHES

Dogs and cats shall be on hand-held leashes no longer than 5 feet in length under the control of a responsible individual at all times outside the confines of the pet owner’s apartment.

ALTERNATE CARETAKER

The pet owner must supply the name of a pet sponsor who will be willing to assume immediate responsibility for the pet in case of an emergency (i.e., when the pet owner is absent or unable to adequately maintain the pet). Written verification of the willingness of these persons to assume alternate caretaker responsibility is required. It is the responsibility of the pet owner to inform the management of any change in the name, address or telephone number of the person designated as alternate caretaker. Any expenses relating to alternate caretaker are the responsibility of the pet owner.

In cases of emergency, when management is unable to reach the alternate caretaker, the pet owner agrees to allow management to place the pet in an appropriate boarding facility with all fees and cost borne by the pet owner. Within

five days of such an emergency, the resident, his agent, family or estate must make arrangements with the holder of said pet as to its disposition and shall be responsible for all obligations, financial and otherwise, in such disposition.

The resident pet owner absolves Management and/or its agents of any and all liability, financial or otherwise, for actions taken on behalf of the pet owner, or the well-being of the pet.

SICK OR INJURED ANIMALS

No sick or injured pet will be accepted for occupancy without consultation and written acknowledgment of a veterinarian as to the condition of the pet's ability to live in an apartment situation. Acceptance, regardless of documentation and consultation, is the prerogative of the Management. Admitted pets which suffer illness or injury must be immediately taken for veterinarian care at the resident pet owner's expense.

RULE ENFORCEMENT

Any tenant who receives three letters of violation of these pet rules and a letter of intent describing these violations from Management may be required, after private conference, to remove the pet from the premises and provide management with a signed affidavit stating that the pet is no longer on the premises and will not return in the future. Misrepresentation of this affidavit will be grounds for eviction of the resident.

Management exercises the right to act immediately in insisting that an offending pet be removed forthwith in situations deemed to be of an emergency nature. In such instances, Management will act as specified in the section on "Alternate Caretaker" in removing a sick, diseased, or injured and/or aggressive animal.

SPECIALLY-TRAINED ANIMALS

Specially-trained animals to assist the visually and/or hearing impaired and other handicapped persons will not be required to meet the limitations as to pet size, limitations on overall number within the complex, location of pets, or pet deposit, but will be required to meet all other aspects of these rules.

COURTESY

Pets can be therapeutic for those who enjoy, own and care for them. However, pets can be threatening to others who, for whatever reason, are fearful of or allergic to animals. Please exercise common courtesy to other residents and AHA staff in dealing with your pet.

COMPLAINT PROCESS

Management has established a system for handling complaints regarding pet ownership. Management will give the pet owner written notification of a pet rule violation or complaint and will give the owner an opportunity to correct the violation.

The pet owner has the opportunity to meet with management within ten days of written notice to discuss the violation. If the violation is not adequately resolved, management may initiate action to remove the pet or terminate tenancy.

VISITING ANIMALS

These rules pertain only to residents and resident pet owners. No visiting pets are allowed, with the exception of animals through an approved pet therapy program or a disabled visitor requiring a specially-trained animal.

MANAGEMENT'S DETERMINATION FORM

Management will be required to complete the management's determination form. This form will be used to determine whether the pet should be admitted into the building. Management reserves the right to prohibit the admission of any pet in cases where it determines the pet or pet owner will not be able to meet the requirements of these pet rules.

LEGAL OBLIGATIONS

The State of Illinois and the City of Aurora have many laws relating to dogs and cats. The following is a list of main requirements.

1. All dogs and cats must wear a license (available at the City/Village/County Buildings).
2. All dogs and cats must wear a valid rabies tag (available at your veterinarian's office).
3. All dogs and cats must wear a tag with the owner's name and address on it (available through a pet store).
4. All dogs and cats must be leashed and accompanied by the owner or another person at all times when the pet is off the owner's property.
5. You may not knowingly keep a vicious animal.
6. You may not permit your dog to defecate on the public highway.
7. You may not tie your dog or cat so that it can stand on a sidewalk. This means you cannot tie your dog or cat up in your yard so that the pet can reach the sidewalk. Nor can you leave your pet tied outside a store or other building while you go inside.
8. If your dog or cat should bite a person, it must be isolated at a veterinarian's office for ten days for rabies observation.
9. You must provide veterinary care, food and shelter for your pet.

PET ADMISSION REQUEST FORM

I hereby request permission to have a pet reside in my premises located at:

_____ Apartment Number _____

I have read and understand the Pet Rules as are attached and the Lease Addendum pertaining to pets, and by signing this document, evidence my unconditional acceptance of these rules. I understand that the failure to comply with these rules will result in revocation of permission to own a pet. I also understand the failure to remove a pet for not complying with the house rules will be considered by the owner to be material noncompliance with the lease terms and can be used as grounds for eviction.

Upon receipt of the permission to own a pet, within fourteen days, I will provide to you the following information regarding the proposed pet:

1. Type of animal and name of pet
2. Shoulder height
3. Weight
4. Age
5. Sex
6. Color
7. Proof of sterilization
8. Receipt of current paid license
9. Proof of current vaccination
10. Color photograph

Residents Signature

Date

Signature of Witness

Date

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)

2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain?
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for or long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)

3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at Attachment (File name)

Provided below:

1. Mary McEntee recommended that the period for accepting rent without a late rent charge be extended from the 1st of the month through the 5th of the month. She believes this will provide residents more time to pay rent in case of a weekend or holiday. She recommended one to two days additional time.

2. Mary Taylor recommended that the late rent payment charge be reduced (currently \$20.00). Suggestions included \$1.00 per day up to a certain date, \$3.00 per day up to a certain date, etc., up to a set maximum amount.

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
- List changes below:
- Other: (list below)

B. Description of Election process for Residents on the PHA Board

- 1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
- 2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe) Residents sent letters of interest to the Mayor of Aurora stating that they were interested in serving on the Board of Commissioners of the AHA. The Mayor of Aurora appoints all AHA Board of Commissioner members in compliance with Illinois State law. A public housing resident from Centennial House (James Cofield) was appointed as an AHA Board of Commissioner member on August 22, 2000.

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply) **N/A**

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

- 1. Consolidated Plan jurisdiction: (provide name here) City of Aurora, Illinois

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.

The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.

The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.

Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

1. The Consolidated Plan recommends that the AHA and the City of Aurora continue open communication to work out problems and offer solutions to ongoing circumstances.

2. The City of Aurora encourages the continued cooperation between the AHA and the Aurora Police Department to ensure the safety of public housing residents and the reduction of crime in and around public housing sites.

3. As the majority of residents eventually shall depart the umbrella of public housing, the City of Aurora encourages the AHA to embark on a cooperative education program for public housing residents that would instruct them in terminology and nuance of appropriate credit, house searching financing and home maintenance. The AHA coordinates activities with various service providers in the area to provide assistance to residents that are considering leaving public housing and renting or purchasing their own home.

_____ Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

None received (beyond above activities to be undertaken detailed above)

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

1. Community Service Policy

In order to be eligible for continued occupancy, each adult family member must either (1) contribute eight hours per month of community service (not including political activities) within the community in which the public housing development is located, or (2) participate in an economic self-sufficiency program unless they are exempt from this requirement. The Public Housing Lease has been changed to include this requirement. At the first annual reexamination on or after October 1, 1999, all residents will execute the new lease and be required to comply with the Community Service Policy. The AHA has entered into a cooperation agreement with the local TANF agency to assist the AHA in verifying residents' status.

EXEMPTIONS

The following adult family members of tenant families are exempt from this requirement.

- A. Family members who are 62 or older
- B. Family members who are blind or disabled
- C. Family members who are the primary care giver for someone who is blind or disabled
- D. Family members engaged in work activity as defined in Section 407(d) of the Social Security Act (42 U.S.C. 607(d) as in effect on and after July 1, 1997
- E. Family members who are exempt from work activity under Part A Title IV of the Social Security Act or under any other State welfare program, including the welfare-to-work program
- F. Family members receiving assistance under a State program funded under Part A Title IV of the Social Security Act or under any other State welfare program, including welfare-to-work and who are in compliance with that program

NOTIFICATION OF THE REQUIREMENT

The AHA shall identify all adult family members who are apparently not exempt from the community service requirement. The AHA shall notify all such family members of the community service requirement and of the categories of individuals who are exempt from the requirement. The notification will provide the opportunity for family members to claim and explain an exempt status. The AHA shall verify such claims.

The notification will advise families that their community service obligation will begin upon the effective date of their first annual reexamination on or after 10/1/99. For family's paying a flat rent, the obligation begins on the date their annual reexamination would have been effective had an annual reexamination taken place. It will also advise them that failure to comply with the community service requirement will result in ineligibility for continued occupancy at the time of any subsequent annual reexamination.

THE PROCESS

At the first annual reexamination on or after October 1, 1999, and each annual reexamination thereafter, the AHA will do the following:

- A. Provide a list of volunteer opportunities to the family members (by phone, in person ,etc.)
- B. Provide information about obtaining suitable volunteer positions.
- C. Provide a volunteer time sheet to the family member. Instructions for the time sheet require the individual to complete the form and have a supervisor date and sign for each period of work.
- D. At the time of the family's next lease anniversary date or recertification, the family will meet with their Property Manager, review each applicable family member's community service time sheet and determine if each applicable adult family member is in compliance with the community service requirements.

2. Aurora Housing Authority Progress In Meeting The 5 Year Plan Mission And Goals

HUD Goal: Increase the availability of decent, safe and affordable housing.

AHA Goal 1: Expand the supply assisted housing.

Objective: Reduce public housing vacancies.

Result: The AHA has reduced the number of vacant units by 3% over the prior fiscal year.

AHA Goal 2: Improve the quality of assisted housing.

Objectives: Improve PHAS score, improve voucher management (SEMAP), increase customer satisfaction and

renovate or modernize public housing units.

Results: This years advisory PHAS score was 76.4. We will strive to improve the PHAS score by next year. We have not received a SEMAP score as of this time. However, the independent auditors have reviewed our SEMAP data and voucher management and believe our SEMAP score would be good. Our customer satisfaction rating was 8.4. We will strive to improve that score by next year. There are several ongoing modernization programs that will improve the existing public housing stock.

AHA Goal 3: Increase Assisted Housing Choices.

Objectives: Provide voucher mobility counseling and conduct outreach efforts to landlords.

Results: The AHA provides mobility counseling to participants and conducts outreach efforts to landlords in Aurora and surrounding communities through education, mailings and other outreach efforts.

HUD Goal: Improve community quality of life and economic vitality

AHA Goal: Provide an improved living environment.

Objectives: Implement measures to promote income mixing and implement security improvements.

Results: Income mixing measures are in place awaiting the final rule. The AHA has reduced crime by an average of 80% at all sites over the past four years and will continue in this effort.

HUD Goal: Promote self-sufficiency and asset development of families.

AHA Goal: Promote self-sufficiency and asset development of assisted households.

Objectives: Attract support services for assisted households, elderly and disabled residents.

Results: The AHA promotes self-sufficiency and asset development of assisted households by providing basic computer training classes to provide job skills for entry level positions, attracting support services to improve assistance recipients' employability and by attracting support services to increase independence for elderly or families with disabilities.

HUD Goal: Ensure Equal Opportunity in Housing for all Americans.

AHA Goal: Ensure equal opportunity and affirmatively further fair housing.

Objectives: Undertake affirmative measures to assure access to assisted housing.

Results: The AHA promotes access to assisted housing by undertaking affirmative measures in all policies and program areas to ensure fair access to assisted housing regardless of race, color, religion, national origin, sex, familial status and/or disability. The AHA also contacts appropriate agencies or will provide referral information when it is reported that access to fair housing is denied due to race, color, religion, national origin, sex, familial status and/or disability, or for other questionable reasons.

3. Aurora Housing Authority Resident Board of Commissioner Member

Resident Board Member Name : James Cofield

Method Of Selection: Appointment by David Stover, Mayor of the City of Aurora

Term: 8-22-00 through 9-30-04

4. Aurora Housing Authority Resident Advisory Board Members

1. Mr. & Mrs. John Willis (2)
2. Marilyn O'Neil
3. June LeCompte
4. James Cofield
5. Mary McEntee
6. Mary Taylor
7. Diane Russell
8. Dee Brians
9. Felicia Thomas

5. Aurora Housing Authority (AHA) Resident Satisfaction Survey Follow Up Plan

The following is a description of the follow up plan to address problem areas identified by aggregate Resident Satisfaction Survey results. Follow up plan information for the REAC system will also be completed when the system is available.

Problem Area #1: Communication (Score: 61.3%)

In an ongoing effort to improve communications and relations between AHA staff and residents, the following corrective steps have been taken:

- AHA staff will maintain regularly scheduled office hours and make certain these hours are clearly posted at each site;
- AHA staff will promptly return all phone calls, voice mail calls, etc.;
- AHA staff will respond to special resident requests when possible;
- AHA staff will generate work orders when maintenance problems are reported; and
- AHA staff will schedule requested meetings when possible and generally facilitate resident requests within reason dependent on the scope of daily work activities and other related duties and responsibilities.

It is believed that the primary reason for this low score is that residents feel they should have immediate, in person access to staff during the working hours of 8:00 A.M. to 5:00 P.M., Monday through Friday. Due to the workload of the four Property Managers, it is not possible for them to be in the office at all times to meet with residents, take work orders,

accept rent and otherwise meet in person with residents. In addition, there are no longer clerks at each site office to speak with residents due to staff changes within the agency.

In order to meet residents' needs, Property Managers have designated set office hours scheduled each week. These office hours are clearly posted at each site. If residents want to personally meet with their Property Manager, they need to meet with them during these scheduled office hours or schedule an appointment in advance of a desired meeting date and time.

All AHA phone systems have voice mail so a resident may report maintenance problems (work order requests), leave a message or request the Property Manager or other staff person to call them at any time. Although this is not personal communication, the voice mail system does provide residents with the opportunity to contact a Property Manager or other AHA staff person and leave a message to report maintenance problems, report changes required by program regulations or request a call back to answer questions, schedule an appointment, etc..

All residents are provided with written notification (usually hand delivered), to inform them of scheduled site meetings or other meetings that pertain to residents, programs or policies, contract work that may be beginning or ongoing or for other reasons deemed necessary. This effort certainly meets the intent of open and clear communication between the AHA and residents.

Problem Area #2: Safety (Score: 55.6%)

In an ongoing effort to improve resident safety, as well as resident perception of their safety, the following corrective steps have been taken:

- The AHA will continue to diligently and aggressively strive to make all of our sites as safe and secure as possible for our residents, their family members, guests and the surrounding community;
- The AHA will continue to work with the Aurora Police Department and assigned Community Oriented Policing (COP) officers to provide additional law enforcement services as needed to address criminal activity;
- The AHA will continue to analyze site safety and undertake physical security and other security improvements as identified by AHA staff, COP officers or residents to improve resident safety; and
- The AHA will provide information to residents related to present crime rates and statistics at their respective sites and prior year crime rates to emphasize the reduction in the overall crime rates over the past several years. Perhaps this will enlighten residents and modify their perception as to their safety.

It is quite unusual that the AHA scored low in this area since reported crime at all of our sites has dropped by approximately 80% over the past four years. Calls for service have been reduced by approximately 50% and most calls for service involve domestic disputes or other nonviolent calls that are not criminal in nature. It seems apparent that the perception by residents that they are not safe in their unit, building or parking lot is exactly that, a perception, not necessarily a reality.

The AHA works very closely with the Aurora police Department, in particular with Community Oriented Policing (COP) officers that work at our sites. We meet weekly to obtain copies of police reports involving AHA residents or

sites, discuss security issues and resident safety, develop strategy to address problems as they arise and discuss and consider pro-active approaches to prevent possible violent, drug-related or other crime from our sites.

There have been a great deal of physical security improvements at all sites intended to reduce criminal activity that include security lighting, security fences, site reconfiguration, trimming or removal of bushes and trees that provide hiding areas, installation of security camera systems, installation of security hardware to prevent illegal entry to secure buildings, etc.. These physical improvements combined with additional law enforcement services, strict screening and lease enforcement and swift eviction action for violent or drug-related criminal activity and other management activity have greatly increased the safety and security of our residents and have greatly decreased the crime rate at all AHA sites.

In addition to these physical, law enforcement and management improvements in this area, the AHA has also adopted an Excluded Persons Policy that bans individuals that have been involved in criminal or drug-related activity on AHA owned property or have received two trespass notices for trespassing on AHA owned property.

Problem Area #3: Neighborhood Appearance (Score: 63.5%)

In an ongoing effort to improve communications and relations between AHA staff and residents, the following corrective steps have been taken:

- More time has been allocated to pick up garbage, debris and litter at each problem site and around dumpster enclosures;
- Signs have been installed at each dumpster location requesting that residents help to keep their neighborhood clean by properly bagging garbage and placing garbage in the dumpster instead of throwing it on the ground;
- The AHA is working to educate residents as to the importance of their part in helping to keep sites clean;
- The AHA is performing landscape improvements to help beautify each site in order to encourage better resident participation in keeping each site clean and improving the overall curb appeal of each site; and
- The AHA will continue to work with neighborhood groups and the City of Aurora Inspections Department to improve neighborhood appearance around AHA developments.

There are three areas that need to be considered in this category. They are the development site property, landscaping at each development and the surrounding neighborhood.

There is no doubt that there are times when certain development sites have garbage and debris on the ground, by dumpster enclosures or blowing around the site. This problem is directly related to residents, their family members and guests carelessly disposing of debris and garbage with no concern for others or their neighborhood. There is little that the AHA or anyone can do except pick up litter and garbage after the fact which is costly and time consuming. If residents complain about this type of problem, they are the basic cause. It will take resident participation and cooperation to reduce this problem.

The AHA has undertaken some landscape improvements to help beautify each site in order to encourage better resident participation in keeping each site clean and improve the overall curb appeal of each site (for residents and the general public).

The third possible problem is the surrounding neighborhood. Since the AHA only owns and manages each development, there is little we can do to control areas outside each development. The AHA works with various neighborhood groups to help improve the overall neighborhood appearance around sites and reports problem areas to the City of Aurora Inspections Department who have the power to make owners comply with code requirements related to neighborhood appearance.

6. AHA Definition of “Substantial Deviation” and “Significant Amendment or Modification” from the Annual Plan

The Aurora Housing Authority (AHA) adopted Resolution 00-06 on March 22, 2000, which defines a “Substantial Deviation” and/or “Significant Amendment or Modification” to the Annual Plan as follows:

“Discretionary changes in the plans or policies of the AHA that fundamentally change the mission, goals, objectives or plans of the agency and which require formal approval of the Board of Commissioners.”

7. Annual Plan Public Hearing Information.

A public hearing for the AHA Annual Plan was conducted on Thursday, November 16, 2000, at 5:00 P.M., at the central office of the AHA, 1630 West Plum Street, Aurora, Illinois.

There were no attendees at the public hearing, therefore, no comments or recommendations were received. The AHA did not receive any written or other type of comments or recommendations related to this plan.

This public hearing was advertised in the Legal Notice section of the Aurora Beacon News on September 24, 2000 and again on October 1, 2000, in compliance with HUD regulations.

8. Admissions Policy for Deconcentration

10.4 DECONCENTRATION POLICY

It is AHA's policy to provide for deconcentration of poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Toward this end, the AHA will skip families on the waiting list to reach other families with a lower or higher income. The AHA will accomplish this in a uniform and non-discriminatory manner.

The AHA will affirmatively market our housing to all eligible income groups. Lower income residents will not be steered toward lower income developments and higher income people will not be steered toward higher income developments.

Prior to the beginning of each fiscal year, the AHA will analyze the income levels of families residing in each of our developments, the income levels of census tracts in which the AHA developments are located, and the income levels of the families on the waiting list. Based on this analysis, the AHA will determine the level of marketing strategies and deconcentration incentives it will implement.

10.5 DECONCENTRATION INCENTIVES

The AHA may offer one or more incentives to encourage applicant families whose income classification would help to meet the deconcentration goals of a particular development.

Various incentives may be used at different times, or under different conditions, but will always be provided in a consistent and nondiscriminatory manner.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

ATTACHMENT: il06PHDEPv01.doc PHDEP Grant Template

**PHA Plan
Table Library**

Optional Public Housing Asset Management Table

Table Library

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management					
Development Identification		Activity Description			
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Design / Construction <i>Component 9</i>
IL090-001	187 units Low Rise Senior Building	See Capital Fund Program Parts II & III included in this plan	N/A	N/A	N/A
IL090-003	146 units Row House Family	See Capital Fund Program Parts II & III included in this plan	N/A	N/A	N/A
IL090-004	128 units Row House Family	See Capital Fund Program Parts II & III included in this plan	N/A	N/A	N/A
IL090-005	81 units Low Rise Senior Building	See Capital Fund Program Parts II & III included in this plan	N/A	N/A	N/A
IL090-006	46 units Low Rise Senior Building	See Capital Fund Program Parts II & III included in this plan	N/A	N/A	N/A
IL090-007	20 units Scattered Site	See Capital Fund Program Parts II & III included in this plan	N/A	N/A	N/A
IL090-008	41 units Scattered Site	See Capital Fund Program Parts II & III included in this plan	N/A	N/A	N/A
IL090-009	8 units Scattered Site	See Capital Fund Program Parts II & III included in this plan	N/A	N/A	N/A

Table Library

Public Housing Drug Elimination Program Plan

Aurora Housing Authority

IL090

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

- A. Amount of PHDEP Grant \$ 150,600
- B. Eligibility type (Indicate with an ?x?) N1 _____ N2 _____ R X
- C. FFY in which funding is requested 2001
- D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Aurora Housing Authority (AHA) will use FY2001 PHDEP funds to continue ongoing successful strategies that have proven effective in reducing drug-related and violent crime in and around the five public housing target sites in Aurora, Illinois. These sites include Jericho Circle, Eastwood, Southwind, Indian Trail and Maple Terrace. Major activities that will directly benefit approximately 1400 residents include installing security lights, fencing security screens and entry door camera monitoring systems, site reconfiguration, additional law enforcement services including community policing officer (COP) dedicated patrols combined with educational, recreational, cultural, sports youth activities and drug education, prevention and counseling programs for young residents and adults. Activities, programs and crime reduction strategies are developed through a partnership process with residents, resident councils, local Community Oriented Police (COP) officers and AHA staff.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Jericho Circle	146	700
Maple Terrace	187	300
Eastwood	58	300

Indian Trail	36	160
Southwind	34	125

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an 'x' to indicate the length of program by # of months. For 'Other', identify the # of months).

6 Months _____ 12 Months _____ 18 Months _____ 24 Months X Other _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an 'x' by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place 'GE' in column or 'W' for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995					
FY 1996	\$250,000	IL06-DEP09001-96	0	No	Completed
FY 1997	\$197,100	IL06-DEP09001-97	0	No	Completed
FY1998	\$197,400	IL06-DEP09001-98	0	No	Completed
FY 1999	\$144,721	IL06-DEP09001-99		N/A	9-30-2001

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

The four main strategies that have been effective in reducing drug-related crime at our sites include:

- ! Physical security enhancements designed to improve the overall security of the target sites;
- ! Increased law enforcement presence at peak criminal times intended to arrest persons involved with drug-related and other criminal activities, reduce the number of uninvited persons from congregating at the sites and increase the safety and security of the sites;
- ! Drug education and prevention classes and seminars; and
- ! Educational, cultural, recreational and sports programs and other activities to provide productive alternatives for younger residents to drug-related crime and gangs.

The broad goals and objectives of the PHDEP strategy are to reduce drug-related and violent crime in and around the

five target sites, increase resident involvement and participation in reporting and maintaining crime reduction efforts and enhancing the overall quality of life for residents and the surrounding community by creating a safe and stable environment for everyone to live in.

All activities, programs and crime reduction strategies are developed through a partnership process with residents, resident councils, local Community Oriented Policing (COP) officers and AHA staff.

Program monitoring and evaluation will be based on information and crime statistics provided by the Aurora Police Department, resident participation and satisfaction in activities and programs, reduction in vandalism, etc.. Goals will be assessed by percentage of reduction in crime and vandalism and increase in resident participation.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY 2001 PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	40,000
9120 - Security Personnel	0
9130 - Employment of Investigators	0
9140 - Voluntary Tenant Patrol	0
9150 - Physical Improvements	55,000
9160 - Drug Prevention	20,000
9170 - Drug Intervention	0
9180 - Drug Treatment	0
9190 - Other Program Costs	35,600
TOTAL PHDEP FUNDING	150,600

A. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise?not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement					Total PHDEP Funding: \$ 40,000		
Goal(s)	Improve security to reduce drug -related and violent crime in and around the target sites.						
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1. Directed patrols, safety checks and special details. Goal and objective: Reduction of drug -related and violent crime in and around the target site. Reduction of vandalism and increased resident safety and security. Enforcement of excluded persons policy.			12-2001	12-2003	\$40,000 for all activities in this category	\$ 4,000 AHA staff time	-Maintain and sustain prior years crime reduction at all target sites. - Reduce drug-related and violent crime compared to prior years. - Increase number of arrests made by the Aurora Police Department. - Reduce vandalism compared to prior years.

<p>2. COP officer involvement in educational, cultural, recreational and sports activities, as well as other resident activities. Goal and objective: Reduction of drug -related and violent crime in and around the target site. Reduction of vandalism and increased resident safety and security. Provide positive role models for young residents. Gain trust and respect of residents and increase resident participation in crime prevention efforts.</p>							<ul style="list-style-type: none"> -Maintain and sustain prior years crime reduction at all target sites. - Reduce drug-related and violent crime compared to prior years. - Provide positive alternatives for young residents to drug-related and violent crime. - Increase resident participation in preventing drug-related and violent crime. - Increase resident trust and respect of law enforcement officers.
<p>3. Meetings with police, crime data collection, court time to prosecute drug-related and violent criminal acts and coordination of efforts. Goal and objective: Reduction of drug -related and violent crime in and around the target site. Reduction of vandalism and increased resident safety and security. Provision of statistical data to support crime prevention/reduction efforts and increase success rate for evictions and criminal prosecutions.</p>							<ul style="list-style-type: none"> - Reduction of drug -related and violent crime in and around the target site. - Provision of statistical data to support crime prevention/reduction efforts and increase success rate for evictions and criminal prosecutions.

9120 - Security Personnel						Total PHDEP Funding: \$ 0	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators

1.							
2.							
3.							

9130 - Employment of Investigators						Total PHDEP Funding: \$ 0	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9140 - Voluntary Tenant Patrol						Total PHDEP Funding: \$ 0	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9150 - Physical Improvements						Total PHDEP Funding: \$ 55,000	
Goal(s)							
Improve physical security to reduce drug -related and violent crime in and around the target sites.							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators

<p>1.Improved physical security of target sites. This includes lighting, fencing, security screens, camera systems, security/safety systems, signage, site re-configurations, etc..</p> <p>Goal and objectives: Reduction of drug -related and violent crime in and around the target site. Reduction of vandalism and increased resident safety and security.</p>			12-2001	12-2003	\$55,000 for all activities in this category	\$ 4,000 AHA staff time	<ul style="list-style-type: none"> - Reduction of drug -related and violent crime in and around the target sites over prior years. - Reduction of vandalism over prior years. - Increased resident safety and security.
2.							
3.							

9160 - Drug Prevention					Total PHDEP Funding: \$ 20,000		
Goal(s)	Educate residents about illegal drugs in order to reduce illegal drug use and improve quality of life.						
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators

1. Drug education/ prevention classes at 3 target sites on a weekly or semi-weekly basis/ provide access to counseling services for other drug-related problems. Objectives: coordinate drug education, drug prevention and other counseling services/ distribute drug education information/ provide classes on substance abuse, how to avoid peer pressure, etc./ increase resident accessibility to drug counseling and treatment services/ provide services designed for youth and adult substance abusers (i.e., prenatal care and postpartum care, family and individual counseling services, domestic violence counseling, parenting classes, anger management, conflict resolution, etc./ reduce drug-related crime in and around in and around the target sites.	125-250	young residents & interested adult residents	12-2001	12-2003	\$ 20,000	\$ 4,000 AHA staff time	<ul style="list-style-type: none"> - Increase resident participation in drug education classes over prior year. - Increase resident awareness of the health hazards and legal ramifications of illegal drug use over prior year. - Increase young resident's desire and ability to avoid peer pressure to use illegal drugs. - Influence young residents to totally avoid illegal drug usage.
2.							
3.							

9170 - Drug Intervention						Total PHDEP Funding: \$ 0	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9180 - Drug Treatment					Total PHDEP Funding: \$ 0		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9190 - Other Program Costs					Total PHDEP Funds: \$35,600		
Goal(s)							
Provide young residents with positive alternatives to drug-related and violent crime.							
Objectives							

Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
<p>1.Youth Activities: Summer, after school and weekend programs that include educational, recreational and cultural activities, as well as some semi-structured sports activities. Objectives: develop self confidence and a strong sense of self worth, and elevate self esteem; develop leadership skills; provide a positive alternative to illegal drugs and gangs; experience new and different ideas, surroundings and viewpoints in order to demonstrate that there are many opportunities available for those that stay in school, work hard and avoid drug-related and violent crime. These activities may include the use of computers, televisions, VCR's, cooking equipment, sports equipment, league fees, uniforms, travel expenses, supervision, etc.. These costs are included in this proposed activity.</p>			12-2001	12-2003	All Youth Activities total \$20,000	\$ 4,000 AHA staff time	<ul style="list-style-type: none"> - Reduce drug-related and violent crime involving young residents over the prior year. - Reduce gang involvement and association by young residents over the prior year. - Increase resident participation in various activities and programs over the prior year. - Improve school attendance and performance by young residents.

<p>2.Computer Lab: training, equipment and support. Objectives: basic and advanced computer training on modern equipment using current business software that will provide participants with work skills. These activities may include the use of computers, televisions, VCR's, etc.. These costs are included in this proposed activity.</p>			12-2001	12-2003	\$10,000	\$ 1,000 AHA staff time	<ul style="list-style-type: none"> - Improve overall self esteem of residents through intermediate and advanced computer education and training. - Improve resident job skills, training and qualifications so they are well prepared for entry level or other available job opportunities. - Increase overall employability of residents. - Reduce drug-related and violent crime involving young residents over the prior year. - Reduce gang involvement and association by young residents over the prior year.
<p>3.Art, dance and music classes. Objectives: positive alternative to illegal drugs and gangs; develop self confidence and a strong sense of self worth and improve self esteem. Costs for materials and other items necessary to support these activities are included in this proposed activity.</p>			12-2001	12-2003	\$2,000	\$1,000 AHA staff time	<ul style="list-style-type: none"> - Provide opportunities for young residents to develop self confidence and a strong sense of self worth and improve self esteem. - Increase resident participation in various activities and programs in order to reduce drug-related and violent crime involving young residents over the prior year; - Reduce gang involvement and association by young residents over the prior year

<p>4. After school homework program. Objectives: Provide young residents with a quiet, structured location to complete homework, provide needed assistance to complete homework, improve school attendance and performance and provide a positive alternative to drug-related and violent crime and gangs. Costs for materials and other items necessary to support these activities are included in this proposed activity.</p>			12-2001	12-2003	\$1,600	\$1,000 AHA staff time	<ul style="list-style-type: none"> - Improve school attendance and performance by young residents over prior year. - Reduce drug-related and violent crime involving young residents over prior year; - Reduce gang involvement and association by young residents over prior year.
<p>5. Saturday sports program/other activities. Objectives: provide positive alternative to illegal drugs and gangs; develop self confidence and a strong sense of self worth; develop leadership skills. Costs for materials and other items necessary to support these activities are included in this proposed activity (i.e., sports equipment, league fees, uniforms, travel expenses, supervision, etc.).</p>			12-2001	12-2003	\$ 2,000	\$1,000 AHA staff time	<ul style="list-style-type: none"> - Increase resident participation in various activities and programs over prior year. - Develop self confidence and a strong sense of self worth; develop leadership skills. - Reduce drug-related and violent crime involving young residents over prior year. - Reduce gang involvement and association by young residents over prior year.

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110	Activities 1, 2 & 3	\$10,000	Activities 1, 2 & 3	\$20,000
9120				
9130				
9140				
9150	Activity 1	\$13,750	Activity 1	\$27,500
9160	Activity 1	\$ 5,000	Activity 1	\$10,000
9170				
9180				
9190	Activities 1-5	\$8,900	Activities 1-5	\$17,800
TOTAL		\$37,650		\$75,300

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the PHA Certifications of Compliance with the PHA Plan and Related Regulations.

Other required certifications will be submitted by mail to the HUD Chicago Field Office.

