

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

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# Housing Authority of DeKalb County, Georgia

## PHA Plan

5 Year Plan for Fiscal Years 2001 - 2005  
Annual Plan for Fiscal Year 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN  
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

## PHA Plan Agency Identification

**PHA Name:** Housing Authority of DeKalb County, Georgia

**PHA Number:** GA 237

**PHA Fiscal Year Beginning: (mm/yyyy)** 07/01/2001

### Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

### Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

**5-YEAR PLAN**  
**PHA FISCAL YEARS 2001 - 2005**  
[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low -income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

The PHA's mission is:

**To Serve the County of DeKalb and its citizens by focusing on Affordable Housing and related economic development, employing creative endeavors and practicing sound financial management**

**B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

PHA Goal: Expand the supply of assisted housing

Objectives:

Apply for additional rental vouchers:

Reduce public housing vacancies:

Leverage private or other public funds to create additional housing opportunities:

Acquire or build units or developments

Other (list below)

PHA Goal: Improve the quality of assisted housing

Objectives:

Improve public housing management: (PHAS score)

Improve voucher management: (SEMAP score)

- Increase customer satisfaction:
- Concentrate on efforts to improve specific management functions:  
(list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other:

**Provide a quality living environment within the Authority owned properties**

- **Identify Annual Capital Improvements needs and implement** 6/30/2005
- **Review safety needs and related activities** ongoing
- **Promote self-sufficiency/independence** 6/30/2005
- **Review Authority Policies & Procedures** ongoing
- **Establish clear resident responsibility policy** 6/30/2003
- **Review current Environmental issues as defined by regulatory agencies** ongoing
- **Maximize involvement/partnership with other entities to help enhance the quality of life** 6/30/2004
- **Study & consider redevelopment/reconfiguring/upgrading of Johnson Ferry East on an on-going basis**

**Explore development of additional affordable housing in DeKalb County and expand supply, as feasible, through a variety of initiatives**

- **Explore resources available (financial, land, cooperative ventures)** 06/30/2005
- **Encourage diversity in housing developed** ongoing
- **Develop a blend of housing types to meet the needs identified within the resources identified as available**

PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

**HUD Strategic Goal: Improve community quality of life and economic vitality**

PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- Other: (list below)

**Other PHA Goals and Objectives: (list below)**

**1. Manage the Authority's programs in an effective and efficient manner (to meet high performer status)**

- **Develop Staff Training plan for ongoing improvements** ongoing
- **Improve/enhance computer systems** 6/30/2002
- **Develop procedural manuals** 6/30/2003
- **Develop performance standards for staff** 6/30/2002
- **Improve communications (staff, residents, phone)** 6/30/2002
- **Review and develop records management system** 6/30/2005
- **Review Organizational structure and salary plan** 6/30/2002
- **Review and Maintain waiting list in an updated status** Ongoing
- **Review Budget Development and monitoring System** 6/30/2002

**2. Expand affordable housing choices and ability to choose in DeKalb County**

- **Recruit landlords in non-traditional areas** 06/30/2003
- **Review and determine the variety of future housing needs** 06/30/2002
- **Develop and deliver training and education on housing choices and how to prepare oneself to choose** 06/30/2004

**3. Develop marketing strategies for the Authority's affordable housing programs**

- **Identify target populations** 12/31/2001
- **Perform a market analysis (rent, quality, who served)** 06/30/2003
- **Develop marketing tools/method** 06/30/2003
- **Train staff in "market" skills** 06/30/2005
- **Encourage diversity of residents/recipients in programs assisted through the Authority** Ongoing

**Annual PHA Plan**  
**PHA Fiscal Year 2001**  
[24 CFR Part 903.7]

**i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

**Standard Plan**

**Streamlined Plan:**

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

**Troubled Agency Plan**

**ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Housing Authority of the County of DeKalb, GA has prepared this Agency Plan in compliance with Section 511 of the Quality Housing and Work Responsibility Act of 1998 and the ensuing HUD requirements.

We have adopted the following mission statement to guide the activities of the Housing Authority of the County of DeKalb, GA.

**Housing Authority of the County of DeKalb, GA**  
**Mission Statement**

**To Serve the County of DeKalb, GA and  
Its citizens by focusing on Affordable  
Housing and related economic development  
Employing creative endeavors and practicing sound  
Financial management**



### **iii. Annual Plan Table of Contents**

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection

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#### **Attachments**

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

#### Required Attachments:

- Admissions Policy for Deconcentration
- FY 2001 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

#### Optional Attachments:

- PHA Management Organizational Chart
- FY 2001 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan

- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

### Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
√	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
√	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
√	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
√	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
√	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
√	Public Housing Admissions and Continued Occupancy Policy (ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
√	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
√	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing	Annual Plan: Rent Determination

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
√	ACOP Policy	
√	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing ACOP Policy	Annual Plan: Rent Determination
√	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
√	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
√	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing ACOP Policy	Annual Plan: Grievance Procedures
√	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
√	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
√	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
√	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
√	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
√	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
√	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

## **1. Statement of Housing Needs**

[24 CFR Part 903.7 9 (a)]

### **A. Housing Needs of Families in the Jurisdiction/s Served by the PHA**

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

<b>Housing Needs of Families in the Jurisdiction by Family Type (Section 8)</b>							
<b>Family Type</b>	<b>Overall</b>	<b>Afford-ability</b>	<b>Supply</b>	<b>Quality</b>	<b>Access-ibility</b>	<b>Size</b>	<b>Loca-tion</b>
Income <= 30% of AMI	1535	5	5	5	3	4	3
Income >30% but <=50% of AMI	453	4	4	4	3	4	3
Income >50% but <80% of AMI	76	3	3	3	3	2	3
Elderly	51	4	3	3	3	2	2
Families with Disabilities	433	4	4	4	3	3	3
Race/Ethnicity White	51	4	3	3	3	3	1
Race/Ethnicity Black	2440	4	3	3	3	3	3
Race/Ethnicity Asian	0	4	3	3	3	3	3

Housing Needs of Families in the Jurisdiction by Family Type (Section 8)							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion
Race/Ethnicity White	51						

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s  
Indicate year:
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data  
Indicate year:
- Other housing market study Databank  
Indicate year:
- Other sources: (list and indicate year of information)  
MTCS Resident Characteristics Report

**B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists**

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input checked="" type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover

<b>Housing Needs of Families on the Waiting List</b>			
Waiting list total	2164	100%	
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)			
Families with children	1786	82.6%	
Elderly families	69	3.1%	
Families with Disabilities	309	14.3%	
Caucasian			
African American			
Native American			
Hispanic			
Asian			
Characteristics by Bedroom Size (Public Housing		Elderly	Disabled
1BR	597	55	147
2 BR	846	12	87
3 BR	573	2	63
4 BR	112	0	7
5 BR	36	0	5
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

<b>Housing Needs of Families on the Waiting List</b>
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<b>Housing Needs of Families on the Waiting List</b>			
Waiting list type: (select one)			
<input checked="" type="checkbox"/>	Section 8 tenant-based assistance		
<input type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/sub-jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	2343		
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)			
Families with children	1048	44	
Elderly families	103	4	
Families with Disabilities	1192	51	
Caucasian	46	19	
African American	2274	97	
Native American & Asian	11	.0046	
Hispanic	12	.005	
Characteristics by Bedroom Size (Public Housing)			
0-1BR	1460		
2 BR	591		
3 BR	258		
4 BR	26		
5 BR	7		
5+ BR	1		

### Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)?  No  Yes

If yes:

How long has it been closed (# of months)? 112 months since May 1990

Does the PHA expect to reopen the list in the PHA Plan year?  No  Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?  No  Yes

### C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### **(1) Strategies**

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**



Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community

- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

## **2. Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2001 grants)</b>		
a) Public Housing Operating Fund	1,037,560.00	Operating Subs.
b) Public Housing Capital Fund	N/A	
c) HOPE VI Revitalization	N/A	
d) HOPE VI Demolition	N/A	
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$18,385,795.00	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	171,493.00	
g) Resident Opportunity and Self-Sufficiency Grants	N/A	
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
<b>2. Prior Year Federal Grants (unobligated funds only) (list below) Comp Grant</b>		
1998	0	
1999	137,778.10	
2000	1,320,658.00	

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>3. Public Housing Dwelling Rental Income</b>		
Johnson Ferry East	875,680.00	
Tobie Grant Manor	361,230.00	
<b>4. Other income (list below)</b>		
Investment Interest	96,030.00	
<b>Other</b>	14,900.00	
<b>4. Non-federal sources (list below)</b>		
<b>Total resources</b>	<b>22,401,124.10</b>	

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 (c)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (1-5, depending on the number of units that are vacant and forecasted at the time)
- When families are within a certain time of being offered a unit: (state time)
- Other: (When time allows for staff to verify at time of application)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (Credit)

- c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

**(2)Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?

3.  Yes  No: May families be on more than one list simultaneously  
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply

Other (list below)

**(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b.  Yes  No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

**(4) Admissions Preferences**

a. Income targeting:

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

Involuntary Displacement (Disaster, Government Action, Action of Housing

- Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
  - Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
  - Victims of Domestic Violence

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

#### 1 Date and Time

Other preferences (select all that apply)

- 3  Working families and those unable to work because of age or disability
- Veterans and veterans' families
- 4  Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- 2  Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers

- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease  
 The PHA's Admissions and (Continued) Occupancy policy  
 PHA briefing seminars or written materials  
 Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal  
 Any time family composition changes  
 At family request for revision  
 Other (list)

**(6) Deconcentration and Income Mixing**

a.  Yes  No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b.  Yes  No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site based waiting lists  
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments  
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments



If selected, list targeted developments below:

Other (list policies and developments targeted below)

d.  Yes  No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

## **B. Section 8**

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### **(1) Eligibility**

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation

- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)
  
- b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
  
- c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
  
- d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
  
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
  - Criminal or drug-related activity
  - Other (Provide Past Landlord contact information upon request)

**(2) Waiting List Organization**

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
  - None
  - Federal public housing
  - Federal moderate rehabilitation
  - Federal project-based certificate program
  - Other federal or local program (list below)
  
- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
  - PHA main administrative office
  - Other (list below)

**(3) Search Time**

- a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Applicants are routinely given 120 days

**(4) Admissions Preferences**

a. Income targeting

- Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Special Purpose Section 8 Assistance Programs**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices  
 Other (Through Referral Agencies such as Veterans Association and Dept. of Family and Children's Services)

#### **4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

##### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

##### **(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0  
 \$1-\$25  
 \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?
2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously (*in excess of 12 months*) unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)  
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent-setting policy)  
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents/Flat Rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)
  - Yes for all developments (Flat Rents Only – No Ceiling Rents)
  - Yes but only for some developments
  - No
2. For which kinds of developments are ceiling rents in place? (select all that apply)
  - For all developments (Flat Rents Only – No Ceiling Rents)
  - For all general occupancy developments (not elderly or disabled or elderly only)

- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)\_\_\_\_\_
- Other (list below)

g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

**(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper

- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

## **B. Section 8 Tenant-Based Assistance**

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### **(1) Payment Standards**

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)



e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

**(2) Minimum Rent**

a. What amount best reflects the PHA’s minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

**5. Operations and Management**

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

**A. PHA Management Structure**

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

**B. HUD Programs Under PHA Management**

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

<b>Program Name</b>	<b>Units or Families Served at Year Beginning</b>	<b>Expected Turnover</b>
Public Housing	622	7%
Section 8 Vouchers	2025	15%
Section 8 Certificates	543	15%

Section 8 Mod Rehab	45	1%
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)	622	7%
Other Federal Programs(list individually)		
CGP	622	7%

### C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (Admissions and Continued Occupancy Policy and individual maintenance policies)
- (2) Section 8 Management: (Administrative Plan)

## 6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

### A. Public Housing

1.  Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

**B. Section 8 Tenant-Based Assistance**

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
- Other (list below)

**7. Capital Improvement Needs**

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

**A. Capital Fund Activities**

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

**(1) Capital Fund Program Annual Statement**

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

See Attachment ga237av01.doc

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) ga237av01.doc

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

**(2) Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

See Attachment ga237av01.doc

- a.  Yes  No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

- The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state namega237av01

-or-

- The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

**B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes  No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)  
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
  - Revitalization Plan under development
  - Revitalization Plan submitted, pending approval
  - Revitalization Plan approved

Activities pursuant to an approved Revitalization Plan underway

Yes  No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

Yes  No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:

Yes  No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:  
Comprehensive Grant Modernization efforts

**8. Demolition and Disposition**

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes  No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

<b>Demolition/Disposition Activity Description</b>	
1a. Development name:	
1b. Development (project) number:	
2. Activity type: Demolition <input type="checkbox"/>	
Disposition <input type="checkbox"/>	
3. Application status (select one)	
Approved <input type="checkbox"/>	
Submitted, pending approval <input type="checkbox"/>	
Planned application <input type="checkbox"/>	

4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected:
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

<b>Designation of Public Housing Activity Description</b>
1a. Development name:
1b. Development (project) number:
2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>

<p>3. Application status (select one)</p> <p>Approved; included in the PHA's Designation Plan <input type="checkbox"/></p> <p>Submitted, pending approval <input type="checkbox"/></p> <p>Planned application <input type="checkbox"/></p>
<p>4. Date this designation approved, submitted, or planned for submission: <u>(DD/MM/YY)</u></p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input type="checkbox"/> New Designation Plan</p> <p><input type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>6. Number of units affected:</p> <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development</p> <p><input type="checkbox"/> Total development</p>

**10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

**A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1.  Yes  No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

<b>Conversion of Public Housing Activity Description</b>	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway	
<input type="checkbox"/> Assessment results submitted to HUD	
<input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next	

<p>question) <input type="checkbox"/> Other (explain below)</p>
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: ) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: ) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

**B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937**

**11. Homeownership Programs Administered by the PHA**

[24 CFR Part 903.7 9 (k)]

**A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.



1.  Yes  No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

<b>Public Housing Homeownership Activity Description (Complete one for each development affected)</b>
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

**B. Section 8 Tenant Based Assistance**

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- Yes  No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants  
 26 - 50 participants  
 51 to 100 participants  
 more than 100 participants

b. PHA-established eligibility criteria

- Yes  No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

## **12. PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

### **A. PHA Coordination with the Welfare (TANF) Agency**

1. Cooperative agreements:

- Yes  No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 26/12/91

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

**B. Services and programs offered to residents and participants**

**(1) General**

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )

<b>Services and Programs</b>				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Sisters on Success	36		Womens Resource Center	PH
Teens In Action	36		Community Centers	PH
Drug Education	165		Community Centers	PH
Employment Training Workshops	150		Community Centers	PH/S8
Job Fairs	300		Community Centers	PH/S8
After School Tutoring	150		Community Centers	PH
Life Enrichment Classes	36		Community Centers	PH

## **(2) Family Self Sufficiency program/s**

### a. Participation Description

<b>Family Self Sufficiency (FSS) Participation</b>		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	0	0
Section 8	0	9

- b.  Yes  No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?  
If no, list steps the PHA will take below:

## **C. Welfare Benefit Reductions**

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies

- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

**D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937**

See Attachment ga237c01.doc

**13. PHA Safety and Crime Prevention Measures**

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

**A. Need for measures to ensure the safety of public housing residents**

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports

- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)  
Allen Wilson Terrace and Swanton Heights

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

**Increased community policing efforts**

2. Which developments are most affected? (list below)  
Allen Wilson Terrace and Swanton Heights

**C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)  
Allen Wilson Terrace and Swanton Heights

**D. Additional information as required by PHDEP/PHDEP Plan**

PHAs eligible for FY 2001 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

Yes  No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

Yes  No: Has the PHA included the PHDEP Plan for FY 2001 in this PHA Plan? Not applicable

Yes  No: This PHDEP Plan is an Attachment.

See Attachment ga237fv01.doc

#### **14. RESERVED FOR PET POLICY**

[24 CFR Part 903.7 9 (n)]

See Attachment ga237b01.doc

#### **15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

#### **16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

1.  Yes  No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)

2.  Yes  No: Was the most recent fiscal audit submitted to HUD?

3.  Yes  No: Were there any findings as the result of that audit?

4.  Yes  No: If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain? \_\_\_\_\_

5.  Yes  No: Have responses to any unresolved findings been submitted to HUD? If not, when are they due (state below)?

#### **17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1.  Yes  No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating,

capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)

3.  Yes  No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

### **18. Other Information**

[24 CFR Part 903.7 9 (r)]

#### **A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
  - Attached at Attachment (File name)
  - Provided below:
3. In what manner did the PHA address those comments? (select all that apply)
  - Considered comments, but determined that no changes to the PHA Plan were necessary.
  - The PHA changed portions of the PHA Plan in response to comments  
List changes below:
  - Other: (list below)

#### **B. Description of Election process for Residents on the PHA Board**

1.  Yes  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)



2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations  
 Candidates could be nominated by any adult recipient of PHA assistance  
 Self-nomination: Candidates registered with the PHA and requested a place on ballot  
 Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance  
 Any head of household receiving PHA assistance  
 Any adult recipient of PHA assistance  
 Any adult member of a resident or assisted family organization  
 Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)  
 Representatives of all PHA resident and assisted family organizations  
 Other (Representation of public housing & section 8 residents)

**C. Statement of Consistency with the Consolidated Plan**

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (County of DeKalb, Georgia)
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.  
 The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.  
 The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.  
 Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

**D. Other Information Required by HUD**

Use this section to provide any additional information requested by HUD.

## **Attachments**

Use this section to provide any additional attachments referenced in the Plans.

**PHA Plan  
Table Library**

**Component 7  
Capital Fund Program Annual Statement  
Parts I, II, and II**

See Attachment ga237av01.doc

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**Annual Statement  
Capital Fund Program (CFP) Part III: Implementation Schedule**

See Attachment ga237av01.doc

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)

### Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>				

### Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>

**DEKALB HOUSING AUTHORITY 5-YEAR PLAN**  
**MISSION & GOALS PROGRESS REPORT**

**FY 2001**

**A. Mission Statement**

The PHA's mission is to serve the County of Dekalb and its citizens by focusing on Affordable Housing and related economic development, employing creative endeavors and practicing sound financial management.

**B. PHA Goals**

**Status:**

To expand the supply of assisted housing:

- Apply for additional rental vouchers Ongoing
- Reduce public housing vacancies Ongoing
- Leverage private or other public funds to create additional housing opportunities Ongoing

To improve the quality of assisted housing:

- Improve public housing management Ongoing
- Improve voucher management Ongoing
- Increase customer satisfaction Ongoing
- Renovate or modernize public housing units In progress

Provide a quality living environment within the Authority owned properties:

- Identify Annual Capital Improvements needs and implement 6/30/05
- Review safety needs, activities Ongoing
- Promote self-sufficiency/independence 6/30/05
- Review Authority Policies Ongoing
- Establish clear resident responsibility policy 6/30/03
- Review current Environmental issues as defined by regulatory agencies Ongoing

Increase assisted housing choices:

- Provide voucher mobility counseling Ongoing
- Conduct outreach efforts to potential voucher landlords Ongoing
- Increase voucher payment standards Ongoing
- Implement voucher homeownership program Ongoing
- Implement public housing or other homeownership programs Ongoing

Provide an improved living environment:



- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments Ongoing
- Implement public housing security improvements In progress

**PHA Goals (cont.)**

**Status:**

Promote self-sufficiency and asset development of assisted households:

- Provide or attract supportive services to improve assistance recipients' employability Ongoing
- Provide or attract supportive services to increase independence for the elderly or families with disabilities Ongoing

Ensure equal opportunity and affirmatively further fair housing:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status and disability Ongoing
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status and disability Ongoing
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of the unit size required Ongoing

Manage the Authority's programs in an effective and efficient manner (to meet high performer status):

- Develop Staff Training plan for ongoing improvements Ongoing
- Improve/enhance computer systems 6/30/02
- Develop procedural manuals 6/30/03
- Develop performance standards for staff Progress continues
- Improve communications (staff, residents, phone) Progress continues
- Review and develop records management system 6/30/05
- Review Organizational structure and salary plan 6/30/02
- Maintain waiting list in an updated status Ongoing
- Review Budget Development and monitoring System 06/30/02

Expand affordable housing choices and ability to choose in Dekalb:

- Explore resources available to choose in Decatur 6/30/05
- Recruit landlords in non traditional areas 6/30/03
- Review the variety of future housing needs 6/30/02
- Develop and deliver training and education on housing choices and how to prepare oneself to choose 6/30/04

Develop marketing strategies for the Authority's affordable housing programs:

- Identify target populations 12/31/01
- Perform a market analysis (rent, quality, who served) 6/30/03
- Develop marketing tools/method 6/30/03
- Train staff in "market" skills 6/30/05

**CAPITAL FUND PROGRAM TABLES START HERE**

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary</b>					
<b>PHA Name:</b> <b>Housing Authority of the County of DeKalb, GA</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>GA06P23750101</b> Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> <b>2001</b>
<input checked="" type="checkbox"/> <b>Original Annual Statement</b> Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: ) Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	.00			
2	1406 Operations	.00			
3	1408 Management Improvements Soft Costs	21,000.00			
	Management Improvements Hard Costs	140,000.00			
4	1410 Administration	132,066.00			
5	1411 Audit	.00			
6	1415 Liquidated Damages	.00			
7	1430 Fees and Costs	17,733.00			
8	1440 Site Acquisition	.00			
9	1450 Site Improvement	346,000.00			
10	1460 Dwelling Structures	601,289.00			
11	1465.1 Dwelling Equipment—Nonexpendable	32,900.00			
12	1470 Nondwelling Structures	59,459.00			
13	1475 Nondwelling Equipment	.00			
14	1485 Demolition	.00			
15	1490 Replacement Reserve	.00			
16	1492 Moving to Work Demonstration	.00			
17	1495.1 Relocation Costs	.00			
18	1499 Development Activities	.00			
19	1502 Contingency	.00			
	<b>Amount of Annual Grant: (sum of lines 1-19)</b>	<b>1,350,447.00</b>			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary**

<b>PHA Name:</b> <b>Housing Authority of the County of Dekalb, GA</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>GA06P23750101</b> Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> <b>2001</b>
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**Original Annual Statement** Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: )  
**Performance and Evaluation Report for Period Ending:** **Final Performance and Evaluation Report**

<b>Line No.</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>		<b>Total Actual Cost</b>	
	Amount of line XX Related to LBP Activities	.00			
	Amount of line XX Related to Section 504 compliance	25,250.00			
	Amount of line XX Related to Security - Soft Costs	125,000.00			
	Amount of Line XX Related to Security - Hard Costs	100,000.00			
	Amount of Line XX Related to Energy Conservation Measures	427,259.00			
	Collateralization Expenses or Debt Service	.00			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: <b>Housing Authority of the County of Dekalb, GA</b>		Grant Type and Number Capital Fund Program Grant No: <b>GA06P23750101</b> Replacement Housing Factor Grant No:				Federal FY of Grant: <b>2001</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost		Status of Work
GA 237-1	Install new HVAC Systems to total electric at Community Center / Office (conservation) PHASE 1		1470		\$ 19,459			
	Upgrade Community Center / Office Entrance		1470		20,000			
	Management Office Interior Improvements		1470		15,000			
	Install Perimeter Fence and Retaining Wall -west end (security soft cost)		1450		100,000			
<b>Tobie</b>	Roof Replacement		1460	15 bldgs	52,500			
	Foundation repair		1460	10 bldgs	20,000			
<b>Grant</b>	Install Vanity in Bath		1460	50 units	10,000			
	Resurface Tubs		1460	20 units	5,000			
<b>Manor</b>	Interior Painting		1460	30 units	24,000			
	Replace 2 windows in 3 BR units		1460	15 units	5,000			
<b>Apts.</b>	Range Replacement		1465.1	10 units	3,000			
	Refrigerator Replacement		1465.1	10 units	3,500			
	Sewer Replacement		1450	22 units	29,789			
	Sidewalk Replacement (100% handicap)		1450		20,000			
	Install Driveways – Parking Pads (25% handicap)		1450		21,000			
	Playground Improvements		1450		10,000			
	Misc. Grading needed, Walls, etc.		1450		45,000			
	Clear and Improve Storm Drainage		1450		20,000			
	New Trees & Tree Pruning		1450		20,000			
	Landscape & upgrade Site		1450		25,000			
	Upgrade Community Garden		1450		31,600			
<b>Physical Needs</b>				TGM total	\$ 499,848			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: <b>Housing Authority of the County of Dekalb, GA</b>		Grant Type and Number Capital Fund Program Grant No: <b>GA06P23750101</b> Replacement Housing Factor Grant No:				Federal FY of Grant: <b>2001</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost		Status of Work
GA 237-4	Install new HVAC Systems (conservation)		1460	60 units	\$ 360,000			
	Hot Water Heaters (conservation)		1465.1	60 units	7,800			
<b>Johnson</b>	Range Replacement		1465.1	60 units	12,000			
	Roof Replacement / gutters		1460	10 bldgs	55,000			
<b>Ferry</b>	Install metal insulated security doors front & back (conservation)		1460	50 units	40,000			
<b>East</b>	Interior Office Improvement		1470		5,000			
	Tree Planting & Preservation		1450		30,000			
<b>Apts.</b>	Landscaping, site improvements & Grading		1450		30,000			
<b>Physical Needs</b>				JFE total	\$ 539,800			
	<b>Subtotal</b>					<b>\$1,039,648</b>		
PHA – WIDE	Community Policing Program provided by Dekalb County Police (soft cost)		1408		\$ 125,000			
<b>Management</b>	Resident Training		1408		10,000			
	Employee Skills Training		1408		6,000			
<b>Improvements</b>	Record keeping Improvements		1408		5,000			
	Computer System Upgrades		1408		15,000			
	<b>Subtotal</b>					<b>\$ 161,000</b>		
	Percentage of salaries of Mod Director, Construction Inspectors,							

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: <b>Housing Authority of the County of Dekalb, GA</b>		Grant Type and Number Capital Fund Program Grant No: <b>GA06P23750101</b> Replacement Housing Factor Grant No:				Federal FY of Grant: <b>2001</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost		Status of Work
PHA – WIDE <b>Administration</b>	Percentage of salaries of Mod director, Construction Inspectors, Contracts Admin Asst, Special Programs Supervisor, support staff and related fringe benefits		1410		\$ 129,066			
	Travel (including mileage) advertising, printing, resident CGP Involvement activities, etc for administrative services		1410		3,000			
	<b>Subtotal</b>				<b>\$ 132,066</b>			
<b>Fees &amp; Costs</b>	A & E Contract Assistance and Inspection		1430		\$ 15,000			
	Sundry Expenses		1430		2,733			
	<b>Subtotal</b>				<b>\$ 17,733</b>			
	<b>GRAND TOTAL</b>				<b>\$1,350,447</b>			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: <b>Housing Authority of the County of Dekalb, GA</b>			Grant Type and Number Capital Fund Program No: <b>GA06P2350101</b> Replacement Housing Factor No:			Federal FY of Grant: <b>2001</b>	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
GA237-1 Tobie Grant Manor	9/30/03			9/30/04			
GA237-4 Johnson Ferry East	9/30/03			9/30/04			
Management Improvements	9/30/03			9/30/04			
Community Policing Program	9/30/03			9/30/04			
Preventive Maintenance Program	9/30/03			9/30/04			
Operational Improvement Training	9/30/03			9/30/04			
Professional Training in Maintenance Skills and Services	9/30/03			9/30/04			
Resident Responsibility Training	9/30/03			9/30/04			



### Capital Fund Program Five-Year Action Plan

#### Part I: Summary

PHA Name <b>Housing Authority of the County of Dekalb, GA</b>		<b>GA06P23750101</b>		<input checked="" type="checkbox"/> <b>Original 5-Year Plan FY2001</b> Revision No:	
Development Number/Name/HA-Wide	Year 1 FFY Grant: PHA FY: <b>2001</b>	Work Statement for Year 2 FFY Grant: PHA FY: <b>2002</b>	Work Statement for Year 3 FFY Grant: PHA FY: <b>2003</b>	Work Statement for Year 4 FFY Grant: PHA FY: <b>2004</b>	Work Statement for Year 5 FFY Grant: PHA FY: <b>2005</b>
GA 237-1 TOBIE GRANT MANOR GA 237-4 JOHNSON FERRY EAST	See Annual Statement	430,289 599,360	271,789 747,860	218,789 790,860	294,789 704,860
Physical Improvements Subtotal		1,029,649	1,019,649	1,009,649	999,649
Management Improvements Subtotal		166,000	171,000	176,000	181,000
Administration Subtotal		132,065	132,065	132,065	132,065
Fees & Costs Subtotal		22,733	27,733	32,733	37,733
Total CFP Funds (Est.)	<b>1,350,447</b>	<b>1,350,447</b>	<b>1,350,447</b>	<b>1,350,447</b>	<b>1,350,447</b>
Total Replacement Housing Factor Funds					

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages—Work Activities**

**Housing Authority of the County of Dekalb, GA**

Activities for Year 1 <b>2001</b>	Activities for Year : <b>2</b> FFY Grant: PHA FY: <b>2002</b>			Activities for Year: <b>2</b> FFY Grant: PHA FY: <b>2002</b>		
	Work Categories	Quantity	Estimated Cost	Work Categories	Quantity	Estimated Cost
<b>SEE</b>	<b>GA237-1 TOBIE GRANT MANOR</b>			<b>GA237-4 JOHNSON FERRY EAST</b>		
<b>ANNUAL</b>	GA237-1: Tobie Grant Manor - Install/Upgrade HVAC to total electric – Office / Community Bldg – PHASE II	1 bldg	20,000	GA237-4: Johnson Ferry East – Install new HVAC and Water Heater systems	68 units	408,000
<b>STATEMENT</b>	GA237-1: Tobie Grant Manor - Replace Roofs	15 bldgs	89,000	GA237-4: Johnson Ferry East – Replace Roofs & Gutters	8 bldgs	80,000
	GA237-1: Tobie Grant Manor - Grading & Landscaping	25 units	50,000	GA237-4: Johnson Ferry East – Grading & Landscaping	10 bldgs	48,400
	GA237-1: Tobie Grant Manor- Foundation Repairs	10 bldgs	32,000	GA237-4: Johnson Ferry East- Replace Siding (Elderly Community Center)	1 bldg	36,000
	GA237-1: Tobie Grant Manor- Interior Painting	40 units	40,000	GA237-4: Johnson Ferry East- Furnish Water Heaters	68 units	8,840
	GA237-1: Tobie Grant Manor - Install perimeter Fence (Final)		70,000	GA237-4: Johnson Ferry East – Replace Stoves	68 units	14,620
	GA237-1: Tobie Grant Manor- replace bathroom floor, 2nd floor	12 units	14,000	GA237-4: Johnson Ferry East – Refrigerators	10 units	3,500
	GA237-1: Tobie Grant Manor - Upgrade Parking	25 units	60,000			
	GA237-1: Tobie Grant Manor - Upgrade Playgrounds	2	20,000			
	GA237-1: Tobie Grant Manor - Replace Stoves	10 units	2,000			
	GA237-1: Tobie Grant Manor - Replace Refrigerators	10 units	3,500			
	GA237-1: Tobie Grant Manor – Sewer Replacement	22 units	29,789			
		Subtotal	430,289		Subtotal	599,360
				<b>TOTAL</b>		<b>1,029,649</b>

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

**Housing Authority of the County of DeKalb, GA**

Activities for Year 1 <b>2001</b>	Activities for Year : <b>2</b> FFY Grant: PHA FY: <b>2002</b>			Activities for Year: <b>2</b> FFY Grant: PHA FY: <b>2002</b>		
	Work Categories		Estimated Cost	Work Categories		Estimated Cost
<b>SEE</b>						
	<b>Management Improvements:</b>			<b>Administration:</b>		
<b>ANNUAL</b>	Community Policing Program provided by Dekalb County Police (soft cost)		130,000	Percentage of salaries of Mod director, Construction Inspectors, Contracts Admin Asst, Special Programs Supervisor, support staff and related fringe benefits		129,065
	Resident Training		10,000	Travel (including mileage) advertising, printing, resident CGP Involvement activities, etc for administrative services		3,000
<b>STATEMENT</b>	Employee Skills Training		11,000	<b>Subtotal</b>		132,065
	Record keeping Improvements & Computer system upgrades		15,000			
	<b>Subtotal</b>		166,000	<b>Fees &amp; Costs:</b>		
				A & E Contract Assistance and Inspection		20,000
				Sundry Expenses		2,733
				<b>Subtotal</b>		22,733
				<b>GRAND TOTAL</b>		<b>1,350,447</b>

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

**Housing Authority of the County of Dekalb, GA**

Activities for Year 1 <b>2001</b>	Activities for Year : <b>3</b> FFY Grant: PHA FY: <b>2003</b>			Activities for Year: <b>3</b> FFY Grant: PHA FY: <b>2003</b>		
	Work Categories	Quantity	Estimated Cost	Work Categories	Quantity	Estimated Cost
<b>SEE</b>	GA237-1 TOBIE GRANT MANOR			GA237-4 JOHNSON FERRY EAST		
<b>ANNUAL</b>	GA237-1: Tobie Grant Manor - Replace Roofs	20 bldgs	60,000	GA237-4: Johnson Ferry East – Install new HVAC and water heater systems	66 units	396,000
<b>STATEMENT</b>	GA237-1: Tobie Grant Manor - Grading & Landscaping	25 units	40,000			
				GA237-4: Johnson Ferry East – Replace Roofs & Gutters	10 bldgs	80,000
	GA237-1: Tobie Grant Manor- Parking Lot Pads	10	35,000			
				GA237-4: Johnson Ferry East - Site work & Landscaping	17 bldgs	28,760
	GA237-1: Tobie Grant Manor- Landscape & upgrade site		50,000			
				GA237-4: Johnson Ferry East – Install Exterior Doors and rear screen doors	70 units	70,000
	GA237-1: Tobie Grant Manor - Replace Appliances	10 units	7,000			
				GA237-4: Johnson Ferry East – Furnish Water Heaters	66 units	8,580
	GA237-1: Tobie Grant Manor - Cabinet Replacement	80 units	10,000			
				GA237-4: Johnson Ferry East – Replace Stoves	66 units	14,520
	GA237-1: Tobie Grant Manor- Sewer Replacement	50 units	69,789			
				GA237-4: Johnson Ferry East- Apt. Unit Renovation interior	70 units	150,000
			Subtotal	271,789	Subtotal	747,860
					<b>TOTAL</b>	<b>1,019,649</b>

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

**Housing Authority of the County of DeKalb, GA**

Activities for Year 1 <b>2001</b>	Activities for Year : <b>3</b> FFY Grant: PHA FY: <b>2003</b>			Activities for Year: <b>3</b> FFY Grant: PHA FY: <b>2003</b>		
	Work Categories		Estimated Cost	Work Categories		Estimated Cost
<b>SEE</b>						
	<b>Management Improvements:</b>			<b>Administration:</b>		
<b>ANNUAL</b>	Community Policing Program provided by Dekalb County Police (soft cost)		135,000	Percentage of salaries of Mod director, Construction Inspectors, Contracts Admin Asst, Special Programs Supervisor, support staff and related fringe benefits		129,065
<b>STATEMENT</b>	Resident Training		10,000	Travel (including mileage) advertising, printing, resident CGP Involvement activities, etc for administrative services		3,000
	Employee Skills Training		11,000	<b>Subtotal</b>		132,065
	Computer system upgrades		15,000			
	<b>Subtotal</b>		171,000	<b>Fees &amp; Costs:</b>		
				A & E Contract Assistance and Inspection		25,000
				Sundry Expenses		2,733
				<b>Subtotal</b>		27,733
				<b>GRAND TOTAL</b>		<b>1,350,447</b>

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

**Housing Authority of the County of Dekalb, GA**

Activities for Year 1 <b>2001</b>	Activities for Year : <b>4</b> FFY Grant: PHA FY: <b>2004</b>			Activities for Year: <b>4</b> FFY Grant: PHA FY: <b>2004</b>		
	Work Categories	Quantity	Estimated Cost	Work Categories	Quantity	Estimated Cost
<b>SEE</b>	GA237-1 TOBIE GRANT MANOR			GA237-4 JOHNSON FERRY EAST		
<b>ANNUAL</b>	GA237-1: Tobie Grant Manor- Repave Parking Area		10,000	GA237-4: Johnson Ferry East – Install new HVAC and water heater systems	62 units	390,040
<b>STATEMENT</b>	GA237-1: Tobie Grant Manor – Grading & Landscaping	30 units	47,000			
				GA237-4: Johnson Ferry East – Install new siding and paint trim	12 bldgs	60,000
	GA237-1: Tobie Grant Manor- Interior Painting	30 units	24,000	GA237-4: Johnson Ferry East – Upgrade Landscaping	20 bldgs	55,000
	GA237-1: Tobie Grant Manor – Replace Appliances	12 units	8,000	GA237-4: Johnson Ferry East – Install Exterior Doors (front and rear)	80 units	80,000
	GA237-1: Tobie Grant Manor – Upgrade Parking	20	70,000	GA237-4: Johnson Ferry East – Install new Screen Doors (family units)	70 units	30,000
	GA237-1: Tobie Grant Manor – Sewer Replacement	22	29,789	GA237-4: Johnson Ferry East – Furnish Water Heaters	62 units	8,370
	GA237-1: Tobie Grant Manor- Replace sidewalks		30,000	GA237-4: Johnson Ferry East – Replace Stoves	62 units	13,950
				GA237-4 Johnson Ferry East- Refrigerator	10 units	3,500
				GA237-4: Johnson Ferry East- Apt. Unit Renovation interior	70 units	150,000
			Subtotal	218,789	Subtotal	790,860
				<b>TOTAL</b>		<b>1,009,649</b>

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

**Housing Authority of the County of Dekalb, GA**

Activities for Year 1 <b>2001</b>	Activities for Year : <b>4</b> FFY Grant: PHA FY: <b>2004</b>			Activities for Year: <b>4</b> FFY Grant: PHA FY: <b>2004</b>		
	Work Categories		Estimated Cost	Work Categories		Estimated Cost
<b>SEE</b>						
	<b>Management Improvements:</b>			<b>Administration:</b>		
<b>ANNUAL</b>	Community Policing Program provided by Dekalb County Police (soft cost)		140,000	Percentage of salaries of Mod director, Construction Inspectors, Contracts Admin Asst, Special Programs Supervisor, support staff and related fringe benefits		129,065
<b>STATEMENT</b>	Resident Training		10,000	Travel (including mileage) advertising, printing, resident CGP Involvement activities, etc for administrative services		3,000
	Employee Skills Training		11,000	<b>Subtotal</b>		132,065
	Computer system upgrades		15,000			
	<b>Subtotal</b>		176,000	<b>Fees &amp; Costs:</b>		
				A & E Contract Assistance and Inspection		30,000
				Sundry Expenses		2,733
				<b>Subtotal</b>		32,733
				<b>GRAND TOTAL</b>		<b>1,350,447</b>





**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

**Housing Authority of the County of Dekalb, GA**

Activities for Year 1 <b>2001</b>	Activities for Year : <b>5</b> FFY Grant: PHA FY: <b>2005</b>			Activities for Year: <b>5</b> FFY Grant: PHA FY: <b>2005</b>		
	Work Categories		Estimated Cost	Work Categories		Estimated Cost
<b>SEE</b>						
	<b>Management Improvements:</b>			<b>Administration:</b>		
<b>ANNUAL</b>	Community Policing Program provided by Dekalb County Police (soft cost)		145,000	Percentage of salaries of Mod director, Construction Inspectors, Contracts Admin Asst, Special Programs Supervisor, support staff and related fringe benefits		129,065
<b>STATEMENT</b>	Resident Training		10,000	Travel (including mileage) advertising, printing, resident CGP Involvement activities, etc for administrative services		3,000
	Employee Skills Training		11,000	<b>Subtotal</b>		132,065
	Computer system upgrades		15,000			
	<b>Subtotal</b>		181,000	<b>Fees &amp; Costs:</b>		
				A & E Contract Assistance and Inspection		35,000
				Sundry Expenses		2,733
				<b>Subtotal</b>		37,733
				<b>GRAND TOTAL</b>		<b>1,350,447</b>

Annual Statement / Performance and Evaluation Report  
 Comprehensive Grant Program (CGP) Part 1: Summary

U.S. Department of Housing  
 and Urban Development  
 Office of Public and Indian Housing

OMB Approval No. 2577-0157

HA Name <b>HOUSING AUTHORITY OF THE COUNTY OF DEKALB, GEORGIA</b>	Comprehensive Grant Number <b>GA06P237708</b>	FFY of Grant Approval <b>1999</b>
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Original Annual Statement     Reserve for Disasters/Emergencies     Revised Annual Statement/Revision Number\_\_     Performance and Evaluation Report for Program Year Ending \_\_\_12  
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations (May not exceed 10% of line 19)				
3	1408 Management Improvements	\$126,000.00	\$126,000.00	\$120,000.00	\$23,102.99
4	1410 Administration	\$109,545.00	\$109,545.00	\$52,590.00	\$41,744.68
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$33,526.00	\$33,526.00	\$13,925.00	\$925.00
8	1440 Site Acquisition				
9	1450 Site Improvement	\$391,392.00	\$449,593.00	\$447,328.55	\$414,428.04
10	1460 Dwelling Structures	\$682,604.00	\$649,403.00	\$603,283.73	\$587,969.20
11	1465.1 Dwelling Equipment-Nonexpendable	\$27,384.00	\$27,384.00	\$20,544.82	\$8,353.40
12	1470 Nondwelling Structures	\$25,000.00		\$0.00	\$0.00
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1495.1 Relocation Costs				
17	1498 Mod Used for Development				
18	1502 Contingency (may not exceed 8% of line 19)				
19	Amount of Annual Grant (Sum of lines 2-18)	\$1,395,451.00	\$1,395,451.00	\$1,257,672.10	\$1,076,523.31
20	Amount of line 19 Related to LBP Activities	\$75,000.00	0.00		
21	Amount of line 19 Related to Section 504 Compliance				
22	Amount of line 19 Related to Security	\$125,000.00	\$120,000.00		
23	Amount of line 19 Related to Energy Conservation Measures				

Signature of Executive Director and Date  
  
**4/16/01**

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
 2 To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report  
 Part II: Supporting Pages  
 Comprehensive Grant Program (CGP)

U.S. Department of Housing  
 and Urban Development  
 Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
1. GA 237-1  Tobie  Grant  Manor	Install new HVAC systems	1460	36 units	162,000	167,055.00	167,055.00	167,055.00	Completed
	Hot water heaters	1460	36 units	72,000	5,603.22	5,603.22	5,603.22	Completed
	Apliance replacement (46 stoves & 10 refrig)	1465.1	46	16,180	13,618.96	7,838.82	4,116.20	In Progress
	Install perimeter fencing/Misc Site Improvement	1450	20 % of comple	50,000	416,392.00	414,127.55	381,327.04	In Progress
	Replacement of roofs	1460	20 bldgs	60,000	56,247.78	55,899.00	54,187.00	In Progress
	Interior painting	1460	50 units	15,000	29,740.00	26,991.49	14,146.20	In Progress
	Replace/upgrade sanitary sewer system	1460	30 units	75,000	41,799.00	-	-	Under review
	Parking Spaces	1450	10	21,500	33,201.00	33,201.00	33,201.00	Completed
	Signage @ Avg \$6.250 each	1470	4 signs	10,950			-	Funds Reprogrammed
	Landscape/upgrade Office & Community Center Entrance	1450		10,000	-	-	-	Funds Reprogrammed
	Site Improvement (grading, tree trimming, retaining walls, etc)	1450		20,000	-	-	-	Funds reprogrammed
	Lead based paint removal	1460	2	75,000	1,650.00	1,650.00	1,650.00	Completed
<b>Subtotal</b>				<b>587,630</b>	<b>765,307</b>	<b>712,366</b>	<b>661,286</b>	

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement

(2) To be completed for the Performance and Evaluation Report

Signature of Executive Director and Date

4/16/01

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Annual Statement/Performance and Evaluation Report  
 Part II: Supporting Pages  
 Comprehensive Grant Program (CGP)

U.S. Department of Housing  
 and Urban Development  
 Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 7)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
1. GA 237-4  Johnson  Ferry  East	Install new HVAC systems	1460	65 units	325,000	307,500.00	307,500.00	307,500.00	Completed
	Hot water heaters	1460	60 units	13,000	8,900.00	7,677.02	6,819.78	In progress
	Roof replacement/gutters	1460	10 bldgs	50,000	30,908.00	30,908.00	30,908.00	Completed
	Appliances - stoves	1465.1	65	21,450	13,765.05	12,706.00	4,237.20	In progress
	landscaping/sit improvements (grading, foundation improvement, retaining walls)	1450		25,000	-	-	-	Funds Reprogrammed
	Install metal insulated security doors (front & r	1460	50 units	43,300	-	-	-	Funds reprogrammed; Operating funds use
	Carpet Exercise room	1470		1,000	-	-	-	Funds reprogrammed; Operating funds use
	<b>Subtotal</b>				<b>478,750</b>	<b>361,073</b>	<b>358,791</b>	<b>349,465</b>
<b>SUBTOTAL</b>				<b>1,066,380.00</b>	<b>1,126,380.01</b>	<b>1,071,157.10</b>	<b>1,010,750.64</b>	

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement

(2) To be completed for the Performance and Evaluation Report

Signature of Executive Director and Date

4/16/01

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

**Annual Statement/Performance and Evaluation Report**  
**Part II: Supporting Pages**  
Comprehensive Grant Program (CGP)

**U.S. Department of Housing**  
**and Urban Development**  
Office of Public and Indian Housing

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
3. PHA Wide Management Improvements	Community Policing Program Provided by DeKalb County	1408	4	125,000.00	120,000.00	120,000.00	23,102.99	Contract in Place
	Resident Responsibility Training	1408	2	5,000.00	-			Funds reprogrammed
	Employee Skills Training	1408	5	6,000.00	-			Funds reprogrammed
	Record Keeping Improvements	1408		5,000.00	-			Funds reprogrammed
	Computer System Upgrades	1408		15,000.00	6,000.00			Under review
	<b>Subtotal</b>			<b>156,000.00</b>	<b>126,000.00</b>	<b>120,000.00</b>	<b>23,102.99</b>	
4. PHA-Wide Admin	Percentage of salaries of Mod Coordinator (=>25%), Construction Inspector(35%), Special Programs Supervisor (35%), Contracts Administrative Assistant, (50%), support staff (%Time based) and related fringe benefits	1410	5	136,545.00	106,545.00	52,590.00	41,744.68	Staff in place
	Travel (including milage) advertising, resident CGP involvement activities, printing, etc for administrative services	1410		3,000.00	3,000.00			No activity yet
	<b>Subtotal</b>			<b>139,545.00</b>	<b>109,545.00</b>	<b>52,590.00</b>	<b>41,744.68</b>	
4. FEES	A & E Contract Assistance & Inspection	1430		30,000.00	30,000.00	13,925.00	925.00	In Progress
AND	Sundry Expenses	1430		3,526.00	3,526.00			No Activity yet
	<b>SUBTOTAL</b>			<b>33,526.00</b>	<b>33,526.00</b>	<b>186,515.00</b>	<b>65,772.67</b>	
	<b>GRAND TOTAL</b>			<b>1,395,451.00</b>	<b>1,395,451.01</b>	<b>1,257,672.10</b>	<b>1,076,523.31</b>	

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement

(2) To be completed for the Performance ar 999

Signature of Executive Director and Date 4/16/01

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

**Annual Statement/  
Performance and Evaluation**

**Part III:** Implementation Schedule  
Comprehensive Grant Program (CGP)

**U.S. Department of Housing  
and Urban Development**  
Office of Public and Indian Housing

OMB Approval No. 2577-0157 (exp 7/31/98)

Development Number/Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
GA 237 - 1 Tobie Grant Manor	12/31/01			12/31/02			
GA 237-4 Johnson Ferry East	12/31/01			12/31/02			
PHA-Wide Management Improvements	12/31/01			12/31/02			
Community Policing Program	12/31/01			12/31/02			
Preventive Maintenance Program	12/31/01			12/31/02			
Operations Improvements Trainin	12/31/01			12/31/02			
professional Training in Maintenance Skills and Services	12/31/01			12/31/02			
Resident Responsibility Trainin	12/31/01			12/31/02			

(1) to be completed for the Performance and Evaluation Report or a Revised Annual. (2) To be completed for the Performance and Evaluation Report

Signature of Executive Director and Date

4/16/01

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

**DEKALB HOUSING AUTHORITY**  
**PET POLICY AND PROCEDURES**

FY 2001

DHA Public Housing residents, who desire to keep a pet (as later defined in this Pet Policy) in his or her apartment, may only do so in strict accordance with the procedures set forth in this Pet Policy. All other Public Housing residents are prohibited from keeping pets in their apartments, in their yards, around their apartment or in or around any DHA property.

**I. APPLICABILITY:**

This policy (“Policy”) is applicable for all DHA Public housing communities that have been approved for common household pets, including those which have units designated as elderly, disabled and family communities. The provisions set forth in this policy, as it relates to restrictions to the number of pets, is not applicable to existing residents that are in compliance with the previous Pet Policy.

**II. DEFINITION OF COMMON HOUSEHOLD PET:**

**“Common household pet” or “pet”** means a DHA recognized domesticated animal which, for the purpose of this Policy, shall be confined to a dog, cat, bird or fish that is kept in the home for pleasure. The pet can not be used for any commercial purposes, and each pet must otherwise meet the requirement of this Policy.

*Notwithstanding the foregoing, the term “Common household pets” or “pets” exclude:*

- A. Birds of prey with an overly aggressive nature.
- B. Any cat with an overly aggressive nature or any cat with a known or suspected propensity, tendency or disposition to unprovoked attacks, or any cat, which actually attacks, or threatens to attack, a resident, visitor, guests or DHA staff member.
- C. Any dog owned or harbored primarily or in part for the purpose of dog fighting, or any dog trained or training for dog fighting; or
- D. Any vicious and/or intimidating dogs. The terms “vicious” or “intimidating dog shall mean:
  1. Any dog with a known or suspected propensity, tendency or disposition to unprovoked attacks that cause injury or any dog that otherwise endanger the safety of human beings or domestic animals.
  2. Any dog which approaches any person in an apparent attitude of attack upon the streets, sidewalk or any community grounds or places.
  3. Any dog which, when unprovoked, in a vicious or terrorizing manner approaches any person in an apparent attitude of attack upon the streets, sidewalks or any public grounds or places;

4. Any dog which bites, inflicts injury, assaults or otherwise attacks a human being or domestic animal with or without provocation on public or private property, including but not limited to, a bite which occurs inside of the resident’s apartment.
5. Any dog which actually or may reasonably endanger or threaten to endanger the safety of any resident, staff member, visitor or guest of or to a DHA community.

**III. APPLICATION FOR PET PERMIT:**

- A. Prior to housing any pet in a DHA apartment, in a resident’s yard, or on or about any DHA community, the resident shall apply to the management office of the community where the resident lives for a Pet Permit. The application must be accompanied by:
  1. A current animal or pet license or tag issued by the appropriate authority;
  2. Evidence that the pet has been spayed or neutered as applicable; and
  3. Current shot record from the resident’s veterinarian or the DeKalb County Humane Society or other applicable Humane Society evidencing that the pet has received current rabies and distemper inoculations or boosters, as applicable.
  4. Such other evidence or documents as DHA may require to ensure that the keeping of the proposed pet is in accordance with applicable state and local public health, animal control, and animal anti-cruelty laws and regulations.
  5. Such other evidence or documents as DHA may require to ensure that the keeping of the proposed pet is in accordance with DHA’s Pet Policy and Procedures for DHA residents.
  6. Each pet application shall be submitted with a non-refundable pet application fee of twenty-five dollars (\$25.00). The fee will be used to offset processing the pet application, a photo, or otherwise distinct identification of the pet and the additional administrative requirements undertaken by DHA to administer this pet policy. Upon receipt of the twenty-five (\$25.00) application fee, a member of the management staff will take a photo of the pet along with the pet’s owner and place the photo or otherwise distinct identification in the resident’s file.
- B. The Resident shall indicate the tag with an identifying number by the Humane Society in DeKalb County or other applicable county Humane Society, or by a licensed veterinarian. Such tag is generally provided after a pet has received the appropriate inoculations. The pet’s tag number along with the shot inoculation record shall be recorded at the management office and, if all other requests and conditions have been responsible for securing the identifying tag to the pet in such a way that the pet wears the tag at all times.



- C. Any pet found outside of the dwelling unit unattended by the pet’s owner or keeper will be considered a stray animal and Decatur Animal Control will be contacted to remove the pet from the property.
- D. The Pet Permit shall be completed as prescribed by the form and signed by both the Resident and the Property Manager.

**IV. PET DEPOSIT:**

**A. Pet Deposit Requirements:**

- 1. Any resident making application to register a pet with DHA must either pay the required pet deposit in full fifteen (15) days prior to bringing the pet onto DHA property or fifty percent (50%) of the required deposit fifteen days (15) days prior to bringing the pet onto DHA property and the balance to be paid over a period not to exceed six (6) months.

**B. A pet deposit shall be required in the amount indicated below:**

- 1. \$300.00 for each cat or dog;  
This fee is collected in the event it is necessary to clean/replace carpets and/or floors, fumigating apartment and common areas, replace tile, paint, repair window treatments, walls or doors, or other such repairs or replacements which are attributable to the pet being in the unit. The amounts for each of these charges are contained on DHA Authority Wide Maintenance/Resident Charges Listing.
- 2. \$150.00 for all birds in the same cage;  
This fee is collected in the event it is necessary to clean/replace carpet, fumigating apartment, paint, repair window treatments, walls and doors, or other such repairs or replacements which are attributable to the pet being in the unit. The amounts for each of these charges are contained on DHA Authority Wide Maintenance/Resident Charges Listing.
- 3. \$150.00 for all fish in a single aquarium over thirty (30) gallon capacity;  
This fee is collected in the event it is necessary to clean/replace carpet, paint, repair water damage if the aquarium should fall or break, or other such repairs or replacements which are attributable to the pet being in the unit. The amounts of these charges are contained on the DHA Authority wide Maintenance/Resident Charges Listing.

- C. DHA shall refund the unused portion of the pet deposit plus any accrued interest, if required, after subtracting any damages to the apartment, to the resident within a reasonable time after the resident moves from the community or a reasonable time after the resident no longer owns or keeps a pet in the apartment.

- D. Current pet owners who: (i) already reside in DHA property; (ii) have a current Pet Permit; and (iii) are in lease compliance must submit the appropriate pet deposit and fee within twelve (12) months from the date of notification by the property management office. Resident must submit twenty-five percent (25%) of the appropriate pet deposit within sixty (60) days of the date the resident received notification of the pet deposit with the balance due in equal payments over the next ten (10) months.
- E. DHA shall amend the Pet Policy in accordance with HUD regulations periodically. If an increase in the amount of the Pet Deposit is warranted, residents shall be notified, by letter, as to the amount and the effective date of the increase. The increase amount may be paid in full or in two monthly installments, depending upon the amount and as stipulated by the Authority.
- F. DHA shall amend the Pet Policy in accordance with HUD regulations periodically. If an increase in the amount of the Pet Deposit is warranted, residents shall be notified, by letter, as to the amount and the effective date of the increase. The increase amount may be paid in full or in two monthly installments, depending upon the amount and as stipulated by the Authority.
- G. When the pet is no longer in or about DHA property, or upon termination of Resident’s lease, DHA will use the pet deposit to pay reasonable expenses directly attributable to the presence of the pet in the community, including, but not limited to the cost of repairs and replacement to, and fumigation of, the resident’s apartment or DHA common areas or grounds, and the cost of removing or disposing of the pet pursuant to this Policy.
- V. **RULES AND REGULATIONS:**
- A. **Density of Pets and Pet Limitations:**  
The following rules shall govern the number and size of pets in each apartment:
1. No resident shall own or keep more than one four-legged, warm-blooded pet, or more than one aquarium, or more than one bird cage in the apartment unit.
  2. A birdcage can be no larger than 18 inches by 18 inches by 30 inches (18” x 18” x 30”).  
For the purpose of this Policy, fish in a single approved aquarium constitute one (1) pet. Also no more than two (2) birds in a single cage constitute one (1) pet.
- B. **Applicability of Rules and Regulations to Service Animals:**

**There shall be no charge for the registration of service animals while used as such by any person, provided: (i) that an affidavit or statement from a duly licensed and recognized physician certifying, in writing, that the service animal is required by the disabled person; (ii) that such service animal shall be vaccinated in compliance with the applicable local Animal Control Ordinance; (iii) that such animal is not “vicious”, “intimidating”, or “threatening” as is set forth under section II of this Policy. All such “service animals”, although, not technically a “pet” shall adhere to all of the Rules and Regulations set forth in this Policy with exception of the rule regarding weight limitations of the “pet”.**

**C. Rules and Regulations Related to all Pets:**

1. The only permitted pets in and about DHA communities are DHA recognized domesticated dogs, cats, birds who are kept in approved bird cages, and fish who are kept in approved aquariums.
2. Residents shall not allow their pet to disturb, interfere or diminish the peaceful enjoyment of other residents. The term “disturb, interfere and diminish” shall include but not be limited to barking, howling, chirping, biting, scratching and other like activities. Moreover, residents shall not permit their pets to become a nuisance. Any dog is declared a public nuisance, if such dog:
  - a) Seriously disturbs a person or neighborhood by loud, frequent barking or howling. Generally, no management action will be taken against any person unless the complainant executes an affidavit indicating that he or she will testify in municipal court concerning such disturbance when notified of the date and time the matter is set for trial or if the Police initiate action, including issuing a ticket or carrying them to court under local laws.
  - b) Threatens or causes a condition which endangers public health.
3. If the pet(s) has been left unattended for a period of twenty-four (24) hours or more, DHA shall contact the responsible party or parties listed on the pet application required in paragraph III, A and require that said party or parties remove the pet. If the responsible party or parties are unwilling or unable to remove the pet(s), or the Authority, despite reasonable efforts, DHA shall contact the appropriate State or Local authority (or designated agent of such and authority) and request the removal of the pet(s). DHA may permit entrance to the dwelling unit in order for the pet(s) to be removed by the proper authorities.
4. Resident shall not alter their apartment, patio or apartment area in order to accommodate a pet or to create an enclosure for a pet without the expressed written permission of DHA.

5. Residents are responsible for all damages caused by their pets, including the cost of cleaning or replacement of carpets, floors, doors, cabinets, window coverings and/or the fumigation of units.
6. Residents are prohibited from feeding or harboring stray animals. The feeding of stray animals shall constitute having a pet without the written permission of DHA and may subject the resident to lease termination.
7. Residents are not permitted to keep a pet not owned by the resident temporarily or allow a pet visitor.
8. Each resident who has an approved pet must identify an alternate custodian of the pet in the event of resident illness or other significant absence from the dwelling unit. The identification of an alternate custodian must occur prior to DHA’s issuance of a Pet Permit.
9. Pets must not be allowed to go onto the property of others and shall not constitute a nuisance to the pet owner’s neighbors or neighbors to DHA communities.
  
10. Pets must not hinder, interfere with, threaten or harass DHA staff members, agents, contractors or service providers in the performance of their duties including but not limited to: (i) routine, non-routine and emergency maintenance in and about resident’s unit; (ii) energy upgrade; (iii) building improvements; and (iv) routine and non-routine pest extermination or control activities. In no event may resident refuse to allow DHA to perform such services due to the presence of a pet.

**D. Rules and Regulations Related to Dogs and Cats:**

1. The weight of a dog or cat shall not exceed 30 pounds (adult size). Service animal dogs, which are actually used as a service animal, pursuant to Section VI (B), are exempt from this rule.
2. All dogs and cats must be spayed or neutered, as applicable.
3. Any dog that bites, attacks or endangers the safety of humans without provocation is classified as a potentially dangerous dog. The owner of such a dog is subject to revocation of his/her permit, a fine, and/or legal action. A dog is **not** considered potentially dangerous or dangerous if it bites a human:
  - a) When its owner is being attacked;
  - b) Who is a willful trespasser on the property of the owner or who is committing another tort or crime; or
  - c) Who has tormented or abused the dog and such torment or abuse is documented.
4. Dogs and cats shall remain inside the resident’s unit. No animals shall be permitted to be loose in hallways, lobby areas, Laundromats, community rooms, yards or other common areas of the development.

5. Residents may not allow dogs to go outside unless such dog is securely leashed with a leash no longer than four to six feet in length. It shall be the absolute responsibility of every owner, possessor or person who keeps any dog to prevent it from running at large upon sidewalks, vacant lots, or anywhere in the community. A dog shall be deemed to be running at large when off or away from the premises of the owner or keeper, or under the actual physical control by means of a leash, cord or chain. Dogs may not be leashed to inanimate objects such as trees, posts, buildings, cars, etc.
6. Dogs and cats, and as applicable, birds, must be licensed yearly by a licensed veterinarian or the DeKalb County Humane Society or other county Humane Society. Residents must show proof of annual rabies and distemper booster inoculations.
7. Residents must provide litter boxes for cat waste, which must be kept in the dwelling unit. Residents shall neither allow refuse from litter boxes to accumulate nor to become unsightly or unsanitary.
8. Residents are solely responsible for cleaning up pet droppings, if any, inside and outside the apartment and/or on the development grounds. Droppings must be picked up immediately and must be disposed of by being placed in a bag and then placed in a dumpster/container outside the development building. Residents in violation will be subject to a maintenance fee charge of twenty-five (\$25.00) for each offense. Multiple violations may also subject the resident to a revocation of the pet permit and/or lease termination.
9. Residents *may not* dispose of pet waste by any other ways other than those listed in this Policy.

**E. Pet Permit Renewal:**

**The Pet Permit shall be renewed at the annual recertification for each resident that keeps a pet in his or her dwelling unit. Copies of the current pet inoculations shall be required during recertification. DHA Management will bring expired pet permits to the attention of the pet owners by written notice allowing the pet owner a grace of fifteen days to provide the management office with a current certificate of appropriate inoculations. Residents pet owners who do not have a current Pet Permit after being extended a fifteen (15) day grace period are in violation of their dwelling lease and must remove the pet immediately or be subject to lease termination.**

**F. Annual Pet Inspections:**

**At least once each year, each apartment which has a pet will be subject to an inspection by DHA to determine what damage, if any, has occurred in the apartment as a result of the pet or pet’s presence in the apartment. Should DHA determine that damage to the apartment has occurred, DHA shall provide a listing of damages and charge the resident for the repair of damages. The resident shall pay such charges when due, generally the first day of the second month after notification of the charges. Should the resident fail or refuse to pay the charges when due, such failure or refusal shall be grounds for revocation of the pet permit and for lease termination.**

**VI. REVOCAION OF PET PERMIT:**

The privilege of maintaining a pet in a DHA apartment shall be subject to the rules set forth above. This privilege shall be revoked at any time, subject to DHA’s Grievance Procedures, if the animal becomes destructive, creates a nuisance, represents a threat to the health, safety and/or security of other residents or causing damage to the apartment, grounds or any DHA property.

**VII. AMENDMENTS TO THE PET POLICY:**

DHA reserves the right to amend this pet policy as it deems necessary to protect and enhance the DHA communities.

**Annual Statement / Performance and Evaluation Report**  
**Comprehensive Grant Program (CGP) Part 1: Summary**

**U.S. Department of Housing and Urban Development** OMB Approval No. 2577-0157  
 Office of Public and Indian Housing

HA Name <b>HOUSING AUTHORITY OF THE COUNTY OF DEKALB, GA</b>	Comprehensive Grant Number GA06P23750100	FFY of Grant Approval 2000
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Original Annual Statement  Reserve for Disasters/Emergenci  Revised Annual Statement/Revision Number\_\_  Performance and Evaluation Report for Program Year ending 12/31/00  
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations (May not exceed 10% of line 19)				
3	1408 Management Improvements	\$156,000.00			
4	1410 Administration	\$139,545.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$17,733.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	\$45,000.00			
10	1460 Dwelling Structures	\$902,800.00			
11	1465.1 Dwelling Equipment-Nonexpendable	\$37,630.00			
12	1470 Nondwelling Structures	\$21,950.00			
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1495.1 Relocation Costs				
17	1498 Mod Used for Development				
18	1502 Contingency (may not exceed 8% of line 19)				
19	Amount of Annual Grant (Sum of lines 2-18)	\$1,320,658.00	\$0.00	\$0.00	\$0.00
20	Amount of line 19 Related to LBP Activities	\$5,000.00			
21	Amount of line 19 Related to Section 504 Compliance				
22	Amount of line 19 Related to Security	\$136,000.00			
23	Amount of line 19 Related to Energy Conservation Measures				

Signature of Executive Director: **PAUL A. PIERCE** 4/16/01 Signature of Public Housing Director/Office of Native American Program

**Annual Statement/Performance and Evaluation Report**  
**Part II: Supporting Pages**  
 Comprehensive Grant Program (CGP)

U.S. Department of Housing  
 and Urban Development  
 Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 7/31/98)

**DEKALB HOUSING AUTHORITY**

**FY 2000**

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
1. GA 237-1	Install new HVAC Systems	1460	36 units	162,000				
	Hot Water Heaters	1460	36 units	72,000				
TOBIE	Appliance Replacement	1465.1	46	16,180				
GRANT	Install Perimeter Fence	1460	20% complex	61,000				
MANOR	Roof Replacement	1460	20 bldgs	60,000				
	Interior Painting	1460	30 units	15,000				
	Replace/Upgrade Sewer System	1460	30 units	75,000				
	Parking Spaces	1460	10	21,500				
	Signage @ \$3,650 each	1470	3 signs	10,950				
	Landscape & upgrade Office & Community Center Entrance	1470		10,000				
	Site Improvements: grading, tree trimmi retaining walls, etc.	1450		20,000				
	Lead based paint removal	1460		5,000				
<b>SUBTOTAL THIS PAGE</b>				<b>528,630.00</b>				
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement				(2) To be completed for the Performance and Evaluation Report				
Signature of Executive Director: <b>PAUL A. PIERCE</b>				4/16/01	Signature of Public Housing Director/Office of Native American Programs Administrator			



**Annual Statement/Performance and Evaluation Report**  
**Part II: Supporting Pages**  
 Comprehensive Grant Program (CGP)

U.S. Department of Housing  
 and Urban Development  
 Office of Public and Indian Housing

OMB Approval No. 2577-0157 (E)

**DEKALB HOUSING AUTHORITY**

**FY 2000**

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
2. GA 237-4	Install new HVAC Systems	1460	65 units	325,000				
	Hot Water Heaters	1460	65 units	13,000				
JOHNSON	Roof Replacement/gutters	1460	10 bldgs	50,000				
FERRY	Appliance Replacement	1465.1	65	21,450				
EAST	Landscaping & site Improvements: gradin	1459		25,000				
	Install metal insulated security doors (front & back)	1460	50 units	43,300				
	Carpet Exercise room in community cente	1470		1,000				
<b>SUBTOTAL THIS PAGE</b>				<b>478,750.00</b>				
<b>TOTAL</b>				<b>#####</b>	-	-	-	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement					(2) To be completed for the Performance and Evaluation Report			
Signature of Executive Director: <b>PAUL A. PIERCE</b> 4/16/01					Signature of Public Housing Director/Office of Native American Programs Administrator			

**Annual Statement/Performance and Evaluation Report**  
**Part II: Supporting Pages**  
 Comprehensive Grant Program (CGP)

U.S. Department of Housing  
 and Urban Development  
 Office of Public and Indian Housing

OMB Approval No. 2577-0157 (E)

**DEKALB HOUSING AUTHORITY**

**FY 2000**

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
3. PHA-WIDE MANAGEMENT IMPROVEMENTS	Community Policing Program provided by DeKalb County Police	1408	4	125,000.00				
	Resident Responsibility Training	1408	2	5,000.00				
	Employee Skills Training	1408	5	6,000.00				
	Record Keeping Improvements	1408		5,000.00				
	Computer System Upgrades			15,000.00				
	<b>Subtotal</b>			<b>156,000.00</b>				
4. PHA-WIDE ADMINISTRATION	Percentage of salaries of Mod Coordinator, Construction Inspector, Contracts Admin	1410	5	136,545.00				
	Special Programs Supervisor, support staff and related fringe benefits Travel (including mileage) advertising, printing, resident CGP involvement activities, etc administrative services	1410		3,000.00				
	<b>Subtotal</b>			<b>139,545.00</b>				
5. FEES & COSTS	A & E Contract Assistance & Inspection	1430		15,000.00				
	Sundry Expenses	1430		2,733.00				
	<b>Subtotal</b>			<b>17,733.00</b>				
<b>SUBTOTAL THIS PAGE</b>				<b>313,278.00</b>				
<b>GRAND TOTAL</b>				<b>#####</b>	-	-	-	

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement

(2) To be completed for the Performance and Evaluation Report

Signature of Executive Director: **PAUL A. PIERCE** 4/16/01

Signature of Public Housing Director/Office of Native American Programs Administrator

**Annual Statement/  
Performance and Evaluation**

**Part III:** Implementation Schedule  
Comprehensive Grant Program (CGP)

**U.S. Department of Housing  
and Urban Development**  
Office of Public and Indian Housing

OMB Approval No. 2577-0157 (exp 7/31/98)

**DEKALB HOUSING AUTHORITY**  
**FY 2000**

Development Number/Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
GA 237-1 Tobie Grant Manor	12/31/01			12/31/02			
GGA 237-4 Johnson ferry East	12/31/01			12/31/02			
PHA-Wide: Management Improvements	12/31/01			12/31/02			
Community Policing Program	12/31/01			12/31/02			
Preventive Maintenance Program	12/31/01			12/31/02			
Operational Improvements Training	12/31/01			12/31/02			
Professional Training in Maintenance Skills and Services	12/31/01			12/31/02			
-Resident Responsibility Training	12/31/01			12/31/02			
(1) to be completed for the Performance and Evaluation Report or a Revised Annual. (2) To be completed for the Performance and Evaluation Report							
Signature of Executive Director: <b>PAUL A. PIERCE</b> AMENDED 7-12-2000				Signature of Public Housing Director/Office of Native American Programs Administration			

**DEKALB HOUSING AUTHORITY**  
**COMMUNITY SERVICE REQUIREMENT POLICY**

FY 2001

1) **General**

In order to be eligible for continued occupancy, each adult family member (18 or over) must either (1) contribute eight hours per month of community service (not including political activities) within the community or (2) participate in an economic self-sufficiency program unless they are exempt from this requirements. Failure to comply with the community service requirement will result in ineligibility for continued occupancy at the time of any subsequent annual reexamination.

2) **Exemptions**

The following adult family members of resident families are exempt from this requirement:

- a. Family members who are 62 or older
- b. Family members who are blind or disabled
- c. Family members who are the primary care giver for someone who is blind or disabled
- d. Family members engaged in work activity
- e. Family members who are exempt from work activity under part A title IV of the Social Security Act or under any other State welfare program, including the welfare-to-work program
- f. Family members receiving assistance under a State program funded under part A title IV of the Social Security Act or under any other State welfare program, including welfare-to-work and who are in compliance with that program
- g. Family member enrolled in school or training program

3) **Notification of the Requirement**

The Dekalb Housing Authority shall notify the head of household of the community service requirement and the categories of individuals who are exempt from the requirement. The notification will provide the opportunity for family members to claim and explain an exempt status. The Dekalb Housing Authority shall verify, such claims and shall notify the family of the exemption is approved.

The notification will advise families that their community service obligation will begin upon the effective date of their first annual reexaminations on or after July 01, 2001. For families paying a flat rent, the obligation begins on the date their annual reexamination would have been effective had an annual reexamination taken place. All proof must be turned in at re-certification time.

**4) Volunteer Opportunities**

Community service includes performing work or duties in the public benefit that serve to improve the quality of life and/or enhance resident self-sufficiency, and/or increase the self-responsibility of the resident within the community. An economic self-sufficiency program is one that is designed to encourage, assist, train or facilitate the economic independence of participants and their families or to provide work for participants. These programs may include programs for job training, work placement, basic skills training, education, English proficiency, financial or household management, apprenticeship, and any program necessary to ready a participant to work (such as substance abuse or mental health treatment).

**5) The Process**

At the first annual reexamination on or after March 01, 2001, and each annual re-examination thereafter, the Dekalb Housing Authority will do the following:

- a. Provide a list of volunteer opportunities to the head of household who will be responsible for providing it to the appropriate family member(s).
- b. Provide information about obtaining suitable volunteer positions.
- c. Provide a volunteer time sheet(s). Instructions for the time sheet will require the resident to complete the form, have a supervisor date and sign for each period of work and return the form to the Dekalb Housing Authority.
- d. Thirty (30) days before the family’s next lease anniversary date, the Housing Authority will determine whether each applicable adult family member is in compliance with the community service requirement.

**6) Notification of Non-Compliance with Community Service Requirement**

The Dekalb Housing Authority will notify any family found to be in non-compliance of the following:

- a. The family member(s) has been determined to be in non-compliance;
  - 1.) That the determination is subject to the grievance procedure; and
  - 2.) That, unless the family member(s) enter into an agreement to comply, the lease will not be renewed or will be terminated;

**7) Agreement Opportunity**

The Dekalb Housing Authority will offer the family member(s) the opportunity to enter into an agreement prior to the anniversary of the lease. The agreement shall state that the family member(s) agrees to enter into an economic self-sufficiency program or agrees to contribute to community service for as many hours as needed to comply with the requirement over the past 12-month period. This shall occur over the 12-month period beginning with the date of the agreement and the resident shall at the same time stay current with that year’s community service requirement. The first hours a resident earns goes toward the current commitment until the current year’s commitment is made.

If any applicable family member does not accept the terms of the agreement, does not fulfill their obligation to participate in an economic self-sufficiency program, or falls behind in their obligation under the agreement to perform community service, Dekalb Housing Authority shall take action to terminate the lease.

THE END

## Chapter 1

### STATEMENT OF POLICIES AND OBJECTIVES

#### INTRODUCTION

The U.S. Housing Act of 1937 created the Low Rent Public Housing Program.

Administration of the Public Housing Program and the functions and responsibilities of the Public Housing Authority (PHA) staff shall be in compliance with the Housing Authority of the City of Decatur's and the Housing Authority of the County of DeKalb's, Georgia referred to as "DHA", Personnel Policy, and this Admissions and Continued Occupancy Policy. The administration of DHA's housing program will also meet the requirements of the Department of Housing and Urban Development. Such requirements include any Public Housing Regulations, Handbooks, and applicable Notices. All applicable Federal, State and local laws, including Fair Housing Laws and regulations also apply. Changes in applicable Federal laws or regulations shall supersede provisions in conflict with this policy. Federal regulations shall include those found in Volume 24 CFR, Parts V, VII and IX. (Code of Federal Regulations).

#### A. HOUSING AUTHORITY MISSION STATEMENT

To serve the City of Decatur, DeKalb County and their citizens by focusing on affordable housing and related economic development, employing creative endeavors and practicing sound financial management.

#### B. LOCAL OBJECTIVES

This Admissions and Continued Occupancy Policy for the Public Housing Program is designed to demonstrate that DHA is managing its program in a manner that reflects its commitment to improving the quality of housing available to the public, and its capacity to manage that housing in a manner that demonstrates its responsibility to the public trust. In addition, this Admissions and Continued Occupancy Policy is designed to achieve the following objectives:

1. To provide improved living conditions for very low and low income families while maintaining their rent payments at an affordable level.
2. To operate a socially and financially sound public housing agency that provides decent, safe, and sanitary housing within a drug free, suitable living environment for residents and their families.
3. To avoid concentrations of economically and socially deprived families in any one or all of the HA's public housing developments.

4. To lawfully deny the admission of applicants, or the continued occupancy of residents, whose habits and practices reasonably may be expected to adversely affect the health, safety, comfort or welfare of other residents or the physical environment of the neighborhood, or create a danger to DHA employees.
5. To attempt to house a tenant body in each development that is composed of families with a broad range of incomes and rent-paying abilities that are representative of the range of incomes of low-income families in DHA's jurisdiction.
6. To provide opportunities for upward mobility of families who desire to achieve self-sufficiency.
7. To facilitate the judicious and efficient management of DHA inventory and DHA staff.
8. To ensure compliance with Title VI of the Civil Rights Act of 1964 and all other applicable Federal laws and regulations so that the admissions and continued occupancy are conducted without regard to race, color, religion, creed, sex, national origin, handicap or familial status.

#### C. PURPOSE OF THE POLICY

The purpose of this Admission and Continued Occupancy Policy (ACOP) is to establish guidelines for DHA staff to follow in determining eligibility for admission and continued occupancy. These guidelines are governed by the requirements of the Department of Housing and Urban Development (HUD) with latitude for local policies and procedures. These policies and procedures for admissions and continued occupancy are binding upon applicants, residents, and DHA.

#### D. FAIR HOUSING POLICY

It is the policy of the Housing Authority to comply fully with all Federal, State, and local nondiscrimination laws and with rules and regulations governing Fair Housing and Equal Opportunity in housing and employment. DHA will comply with all laws relating to Civil Rights, including:

Title VI of the Civil Rights Act of 1964  
Title VIII of the Civil Rights Act of 1968 (as amended by the Community Development Act of 1974 and the Fair Housing Amendments Act of 1988)  
Executive Order 11063  
Section 504 of the Rehabilitation Act of 1973  
The Age Discrimination Act of 1975  
Title II of the Americans with Disabilities Act (to the extent that it applies, otherwise Section 504 and the Fair Housing Amendments govern)  
Other federally imposed laws or regulations



Any applicable State laws or local ordinances and any legislation protecting the individual rights of tenants, applicants or staff that may subsequently be enacted.

DHA shall not discriminate because of race, color, sex, religion, familial status, disability, national origin, marital status, or sexual orientation in the leasing, rental, or other disposition of housing or related facilities, including land, that is part of any project or projects under DHA's jurisdiction covered by a contract for annual contributions under the United States Housing Act of 1937, as amended, or in the use or occupancy thereof.

To further its commitment to full compliance with applicable Civil Rights laws, DHA will provide Federal/State/local information to public housing residents regarding "discrimination" and any recourse available to them if they believe they are victims of discrimination. Such information will be made available to them during the resident orientation session.

DHA's office at 325 Swanton Way, Decatur, GA 30031 is accessible to persons with disabilities. Accessibility for the hearing impaired is provided by the TDD/TTY telephone number (404) 377-0489.

DHA shall not, on account of race, color, sex, religion, familial status, disability, national origin, marital status, or sexual orientation:

1. Deny to any family the opportunity to apply for housing, nor deny to any qualified applicant the opportunity to lease housing suitable to its needs;
2. Provide housing that is different from that provided to others;
3. Subject a person to segregation or disparate treatment;
4. Restrict a person's access to any benefit enjoyed by others in connection with the housing program;
5. Treat a person differently in determining eligibility or other requirements for admission; or
6. Deny a person access to the same level of services.

DHA shall not automatically deny admission to a particular group or category of otherwise qualified applicants (e.g., families with children born to unmarried parents).

#### E. SERVICE AND ACCOMMODATIONS POLICY

This policy is applicable to all situations described in this Admissions and Continued Occupancy Policy when a family initiates contact with DHA, when DHA initiates contact with a family including when a family applies, and when the DHA schedules or reschedules appointments of any kind.

It is the policy of DHA to be service-directed in the administration of our housing programs, and to exercise and demonstrate a high level of professionalism while providing housing services to the families within our jurisdiction.

DHA's policies and practices will be designed to provide assurances that all persons with disabilities will be provided reasonable accommodation so that they may fully access and utilize the housing program and related services. The availability of specific accommodations will be made known by including notices on DHA forms and letters to all families, and all requests will be verified so that DHA can properly accommodate the need presented by the disability.

### Federal Americans with Disabilities Act of 1990

With respect to an individual, the term "disability," as defined by the 1990 Act means:

- A physical or mental impairment that substantially limits one or more of the major life activities of an individual;
- A record of such impairment; or
- Being regarded as having such impairment.

### Undue Hardship

Requests for reasonable accommodation from persons with disabilities will be granted upon verification that they meet the need presented by the disability and they do not create an "undue financial and administrative burden" for DHA, meaning an action requiring "significant difficulty or expense."

In determining whether accommodation would create an undue hardship, the following guidelines will apply:

The nature and cost of the accommodation needed;

The overall financial resources of the facility or facilities involved in the provision of the reasonable accommodation; and

The number of persons employed at such facility, the number of families likely to need such accommodation, the effect on expenses and resources, or the likely impact on the operation of the facility as a result of the accommodation.

### Verification of a Request for Accommodation

All requests for accommodation or modification of a unit will be verified with a reliable, and knowledgeable professional.

Requests for reasonable accommodation from persons with disabilities will be granted upon verification that they meet the need presented by the disability and are within the reasonable financial ability of DHA and are both feasible and practical within the scope of the program.

DHA will require verification from a knowledgeable professional (case manager, physician, etc.) when a request for a home visit is submitted.

### Reasonable Accommodation

Reasonable accommodation will be made for persons with a disability who require an advocate or accessible offices. A designee will be allowed to provide some information, but only with the permission of the person with the disability.

All DHA mailings will be made available in an accessible format upon request, as a reasonable accommodation.

### Recertification by Mail

DHA will permit the accommodated family to submit annual and interim recertification forms through the mail, when DHA has determined that the request is necessary as a reasonable accommodation.

The mail-in packet will include notice to the accommodated family of DHA's deadline for returning the completed forms to DHA.

If there is more than one adult member in the household, but only one is disabled, recertifications will not be processed through the mail. In such cases, the able adult family members will be required to come in for the appointment, but will be allowed to take the necessary forms home to the member with a disability for completion and signature.

### Home Visits

When requested and where the need for reasonable accommodation has been established, the DHA will conduct home visits to accommodate residents to conduct annual and interim recertifications.

Requests for home visit recertifications must be received by the DHA at least 5 working days before the scheduled appointment date in order for the request to be considered.

DHA, at its discretion, may consider home visit recertifications for missed scheduled appointment.

### Other Accommodations

The Housing Authority (HA) will utilize organizations that provide assistance for hearing- and sight-impaired persons when needed.

The HA will refer families who have persons with disabilities to agencies in the community that offer services to persons with disabilities.

#### F. PUBLIC HOUSING MANAGEMENT ASSESSMENT OBJECTIVES

DHA operates its public housing program with efficiency and can demonstrate to HUD or independent auditors that DHA is using its resources in a manner that reflects its commitment to quality and service. DHA policies and practices are consistent with the new Public Housing Assessment System (PHAS) outlined in the 24 CFR Parts 901 and 902 final published regulations.

DHA is continuously assessing its program and consistently strives to make improvements. DHA acknowledges that its performance ratings are important to sustaining its capacity to maintain flexibility and authority. DHA intends to diligently manage its current program operations and continuously make efforts to be in full compliance with PHAS. The policies and procedures of this program are established so that the standards set forth by PHAS are demonstrated and can be objectively reviewed by an auditor whose purpose is to evaluate performance.

#### G. FAMILY OUTREACH

DHA will publicize and disseminate information to make known the availability of housing units and housing-related services for low and very low-income families on a periodic basis. When DHA's waiting list is opened, DHA will publicize the availability and nature of housing assistance for low and very low-income families in a newspaper of general circulation and other suitable means.

DHA will communicate the status of housing availability to other service providers in the community. DHA will advise them of housing eligibility factors and guidelines in order that they can make proper referrals for those who seek housing.

#### H. PRIVACY RIGHTS

Applicants and participants, including all adults in their households, are required to sign the form HUD-9886, "Authorization for Release of Information and Privacy Act Notice." This document incorporates the Federal Privacy Act Statement and describes the conditions under which HUD will release family information.

DHA's policy regarding release of information is in accordance with State and local laws that may restrict the release of family information.

Any and all information which would lead one to determine the nature and/or severity of a person's disability must be kept in a separate folder and marked "confidential." The personal information in this folder must not be released except on an "as needed" basis in cases where an accommodation is under consideration. All requests for access and granting of accommodations based on this information must be approved by the Assisted Housing Director.

DHA's practices and procedures are designed to safeguard the privacy of applicants and program participants. All applicant and participant files will be stored in a secure location which is only accessible by authorized staff.

The staff person who retrieves a tenant file is responsible for its security.

DHA staff will not discuss family information contained in files unless there is a business reason to do so. Inappropriate discussion of family information, or improper disclosure of family information by staff will result in disciplinary action.

#### I. EQUAL EMPLOYMENT OPPORTUNITY

Position vacancies are advertised. All DHA job postings will display the affirmative action/equal employment opportunity slogan prominently.

DHA takes seriously any complaints of discrimination with regard to race, religion, ethnicity, sex, disability or familial status.

#### J. POSTING OF REQUIRED INFORMATION

DHA will maintain a bulletin board in the lobby of the Central office and site offices which will contain:

1. Statement of policies and procedures governing Admission and Continued Occupancy Policy (ACOP).
2. Open Occupancy Notice (Status of application taking)
3. Directory of DHA's housing sites including names, address of offices, number of units by bedroom size, accessible or adaptable units, and office hours at each facility.
4. Income limits for Admission
5. Current schedule of routine maintenance charges
6. A copy of the lease
7. DHA's grievance procedures
8. A Fair Housing Poster
9. An Equal Opportunity in Employment poster
10. Current Resident Notices
11. Security Deposit Charges

## K. TERMINOLOGY

The Housing Authority of the City of Decatur and the Housing Authority of the County of DeKalb, Georgia is referred to as "DHA" or "Housing Authority (HA)" throughout this document.

"Family" is used interchangeably with "Applicant," "Resident" or "Participant", and can refer to a single-person family.

"Tenant" is used to refer to participants in terms of their relation as a lessee to the DHA as the landlord.

"Landlord" refers to the DHA.

"Disability" is used where "handicap" was formerly used.

"Noncitizens Rule" refers to the regulation effective June 19, 1995, restricting assistance to U.S. citizens and eligible immigrants.

See Glossary for other terminology.

## Chapter 2

### ELIGIBILITY FOR ADMISSION

#### INTRODUCTION

This Chapter defines both HUD's and DHA's criteria for admission and denial of admission to the program. The policy of this DHA is to strive for objectivity and consistency in applying these criteria to evaluate the qualifications of families who apply. DHA staff will review all information provided by the family carefully and without regard to factors other than those defined in this Chapter. Families will be provided the opportunity to explain their circumstances, to furnish additional information, if needed, and to receive an explanation of the basis for any decision made by DHA pertaining to their eligibility.

#### A. GENERAL POLICY ON SCREENING FOR SUITABILITY

In developing its admission policies, the aim of DHA is to attain a tenant body composed of families with a broad range of incomes and to avoid concentrations of the most economically deprived families and families with serious social problems. Therefore, it is the policy of DHA to deny admission to applicants whose habits and practices may reasonably be expected to have a detrimental effect on the operations of the development or neighborhood, or on the quality of life for its residents.

As a part of the final eligibility determination, DHA will screen each applicant household to assess their suitability as renters.

Some of the factors to be considered in the screening are housekeeping habits, rent paying habits and credit records, prior history as a tenant, criminal records and the ability of the applicant to maintain the responsibilities of tenancy.

In determining qualifications for tenancy, DHA will consider the following items:

1. Whether the conduct of the applicant in present or prior housing has been such that admission to the program would adversely affect the health, safety or welfare of other residents, or the physical environment, or the financial stability of the project.

DHA shall rely upon sources of information which may include, but not be limited to: Housing Authority records, personal interviews with the applicant or tenant, home visits, interviews with previous landlords, employers, family social workers, parole officers, criminal and court records, clinics, physicians or the police department.

This will be done in order to determine whether the individual attributes, prior conduct, and behavior of a particular applicant or tenant is likely to interfere with other tenants in such a manner as to diminish their enjoyment of the premises by adversely affecting their health, safety or welfare.

2. An authorized representative of DHA shall document any pertinent information relative to the following:
  - a. Criminal Activity - includes the activities listed in the definition of criminal activity in this Chapter.
  - b. Pattern of Violent Behavior - includes evidence of repeated acts of violence on the part of an individual, or a pattern of conducts constituting a danger to peaceful occupancy of neighbors.
  - c. Pattern of Drug Use - includes a determination by DHA that the applicant has exhibited a pattern of illegal use of a controlled substance which might interfere with the health, safety, or right to peaceful enjoyment of the premises by other residents.
  - d. Drug Related Criminal Activity - includes a determination by DHA that the applicant has been involved in the illegal manufacture, sale, distribution, use or possession of a controlled substance.



- e. Pattern of Alcohol Abuse - includes a determination by DHA that the applicant's pattern of alcohol abuse might interfere with the health, safety or right to peaceful enjoyment of the premises by other residents.
  
- f. Initiating Threats - includes behaving in a manner indicating an intent to assault or otherwise threaten employees or other residents.
  
- g. Abandonment of a Public Housing Unit – Vacating a unit without advising DHA officials so that staff may secure the unit and protect its property from vandalism.
  
- h. Non-Payment of Rightful Obligations - including rent and/or utilities and other charges owed to DHA or any other PHA.
  
- i. Intentionally Falsifying an Application for Occupancy - including uttering or otherwise providing false information about family income and size, using an alias on the application for housing, or making any other material false statement or omission intended to mislead.
  
- j. Record of Serious Disturbances of Neighbors, Destruction of Property or Other Disruptive or Dangerous Behavior - consists of patterns of behavior which endanger the life, safety, or welfare of other persons by physical violence, gross negligence or irresponsibility; which damage the equipment or premises in which the applicant resides, or which are seriously disturbing to neighbors or disrupt sound family and community life, indicating the applicant's inability to adapt to living in a multi-family setting. Includes judicial termination of tenancy in previous housing on the grounds of nuisance or objectionable conduct, or frequent loud parties, which have resulted in serious disturbances of neighbors.

- k. Grossly Unsanitary or Hazardous Housekeeping - includes the creation of a fire hazard through acts such as hoarding rags, papers, or other materials; severe damages to premises and equipment, if it is established that the family is responsible for the condition; seriously affecting neighbors by causing infestation, foul odors, depositing garbage on porches, patios, balconies, or stoops; or serious neglect of the premises; or accumulation of items that affect the health of the resident, other residents or the property. This category does not include families whose housekeeping is found to be superficially unclean or due to lack of orderliness, where such conditions do not create a problem for neighbors.
  
  - l. Destruction of Property -from previous rentals.
  
  - m. Whether Applicant or Tenant is Capable of Maintaining the Responsibilities of Tenancy - In the case of applicants for admission, the person's present living arrangements and a statement obtained from applicant's physician or social worker will be among factors considered in making this determination. The availability of a live-in attendant will be considered in making this determination.
3. In the event of the receipt of unfavorable information with respect to an applicant, consideration shall be given to the time, nature, and extent of the applicant's conduct and to factors which might indicate a reasonable probability of favorable future conduct or financial prospects.
4. DHA may waive the policies prohibiting admission in these circumstances if the person demonstrates to DHA's satisfaction that the person is no longer engaging in illegal use of a controlled substance or abuse of alcohol and has successfully completed a supervised drug or alcohol rehabilitation program

## B. QUALIFICATIONS FOR ADMISSION

It is DHA's policy to admit qualified applicants only. An applicant is qualified if he or she meets the following criteria:

1. A family as defined in this chapter;
2. Heads a household where at least one member of the household is either a citizen or eligible non-citizen.
3. Has an Annual Income at the time for admission that does not exceed the low or very low-income limits for occupancy established by HUD.
4. Provides a Social Security Card for all family members, or will provide written certification that they do not have Social Security numbers;
5. Meets or exceeds the Tenant Selection and Suitability Criteria as set forth in this policy, (including attending pre-occupancy class or lease-up orientation.)
6. Is not currently adequately housed in a DHA dwelling unit. Applicants who are listed on a current DHA dwelling lease (or are part of the household as verified by DHA records) and reside in a unit meeting the occupancy standards for the family size are not qualified for admission and will not be placed on the waiting list for new applicants.

DHA may make exception to this policy due to emergency conditions including but not limited to severe harassment, hate crimes, and witness protection, as authorized by DHA's Executive Director or designee.

### Timing for the Verification of Qualifying Factors

The qualifying factors of eligibility will not be verified until the family is in a position on the waiting list to be offered a housing unit.

The applicant must qualify as a Family. A Family may be a single person or a group of persons. Discrimination on the basis of familial status is prohibited, and a group of persons may not be denied solely on the basis that they are not related by blood, marriage or operation of law.

### Definition of Family

Two or more persons who are not related by blood, marriage, adoption, guardianship or operation of law, but are regularly living together, can verify shared income or resources, and will live together in DHA housing.

The term “Family” also includes, but is not limited to:

A family with or without children;

An elderly family;

A near elderly family (50-61 years of age)

A disabled family;

A displaced family;

The remaining member of a tenant family;

A single person who is not elderly, displaced, or a person with disabilities, or the remaining member of a tenant family

Two or more elderly or disabled persons living together, or one or more elderly or disabled persons living with one or more live-in aides are a family.

Two or more near-elderly persons living together, or one or more near-elderly persons living with one or more live-in aides.

The temporary absence of a child from the home due to placement in foster care shall not be considered in determining the family composition and family size.

### Head of Household

The head of household is the adult member of the household who is designated by the family as head, is wholly or partly responsible for paying the rent, and has the legal capacity to enter into a lease under State/local law.

A family may designate an elderly or disabled family member as head of household solely to qualify the family as an Elderly Household, provided that the person is at least partially responsible for paying the rent.

### Spouse of Head

Spouse means the husband or wife of the head.

For proper application of the Noncitizens Rule, the definition of spouse is: the marriage partner who, in order to dissolve the relationship, would have to be divorced. Georgia Law no longer recognizes common law marriage. The term "spouse" does not apply to boyfriends, girlfriends, significant others, or co-heads.

### Co-head

An individual in the household who is equally responsible for the lease with the Head of Household. A household may have either a spouse or co-head, but not both. A co-head never qualifies as a dependent.

### Live-In Aides

A Family may include a live-in aide provided that such live-in aide:

Is determined by DHA to be essential to the care and well being of an elderly person, a near-elderly person, or a person with disabilities,

Is not obligated for the support of the person(s), and

Would not be living in the unit except to provide care for the person(s).

A live-in aide is not considered to be an assisted family member and has no rights or benefits under the program:

1. Income of the live-in aide will not be counted for purposes of determining eligibility or level of benefits.
2. Live-in aides are not subject to Non-Citizen Rule requirements.
3. Live-in aides may not be considered as a remaining member of the tenant family.

Relatives are not automatically excluded from being live-in aides, but they must meet all of the elements in the live-in aide definition described above.

Family members of a live-in attendant may also reside in the unit, providing doing so does not increase the unit size and that the presence of the family member(s) does not overcrowd the unit.

A Live-in Aide may only reside in the unit with the approval of DHA. Written verification will be required from a reliable, knowledgeable professional, such as a doctor, social worker, or caseworker. The verification provider must certify that a live-in aide is needed for the care of the family member who is elderly, near-elderly (50-61) or disabled.

Verification must include the hours the care will be provided.

DHA has the right to disapprove a request for a live-in aide based on the criteria spelled out in this Chapter, Section f4.

### C. MANDATORY SOCIAL SECURITY NUMBERS

**Families are required to provide verification of Social Security Numbers for all family members prior to admission, if they have been issued a number by the Social Security Administration. This requirement also applies to persons joining the family after admission to the program.**

Failure to furnish verification of social security numbers is grounds for denial of admission or termination of tenancy.

#### D. CITIZENSHIP/ELIGIBLE IMMIGRATION STATUS

In order to receive assistance, a family member must be a U.S. citizen or eligible immigrant. Individuals who are neither may elect not to contend their status. Eligible immigrants are persons who are in one of the six immigrant categories as specified by HUD.

For the Citizenship/Eligible Immigration requirement, the status of each member of the family is considered individually before the family's status is defined.

Mixed Families. A family is eligible for assistance as long as at least one member is a citizen or eligible immigrant. Families that include eligible and ineligible individuals are called "mixed". Such applicant families will be given notice that their assistance will be pro-rated and that they may request a hearing if they contest this determination.

No eligible members. Applicant families that include no eligible members will be ineligible for assistance. Such families will be denied admission and offered an opportunity for a hearing.

Non-citizen students defined by HUD in the noncitizen regulations are not eligible for assistance.

#### E. APPLICANT SELECTION CRITERIA



All applicants will be processed in accordance with HUD's regulations and sound management practices. Applicants will be required to demonstrate ability to comply with essential provisions of the lease as summarized below.

1. Ability to Comply With Essential Lease Provisions

All applicants must demonstrate through an assessment of current and past behavior the ability:

- a. to pay rent and other charges as required by the lease in a timely manner;
- b. to care for and avoid damaging the unit and common areas;
- c. to use facilities, appliances and equipment in a reasonable way;
- d. to create no health or safety hazards, and to report maintenance needs in a timely manner;
- e. not to interfere with the rights and peaceful enjoyment of others and to avoid damaging the property of others;
- f. not to engage in criminal activity that threatens the health, safety or right to peaceful enjoyment of other residents or staff; and not to engage in drug-related criminal activity on or near DHA premises;
- g. to comply with necessary and reasonable rules and program requirements of HUD and DHA; and,

- h. to comply with local health and safety codes.

## 2. Denial of Admission for Previous Debts

Previous outstanding debts to this HA or any HA resulting from a previous tenancy in the Public Housing, Section 8, other federally subsidized housing programs, must be paid in full prior to admission. No repayment agreement will be accepted unless approved by the Assisted Housing Director or Designee.

At the time of initial application, the applicant must execute an agreement to pay any previous debt prior to being placed on the waiting list and must be in full compliance with the payment agreement prior to admission.

## 3. Denial of Admission for Drug-Related and/or Other Criminal Activity

*To be determined eligible, the family must not engage in drug-related or other criminal activity including violent criminal activity by any Family member. No member of the applicant's family may have engaged in drug related or violent criminal activity within the past five (5) years.*

DHA will not be obligated to seek out information concerning a family's criminal activities as part of the processing of an application for assistance. Initial screening will be limited to routine inquiries of the family and any other information provided to DHA regarding this matter. The inquiries will be standardized and directed to all applicants by inclusion in the application form.

If as a result of the standardized inquiry, or the receipt of a verifiable referral, there is indication that the family or any family member is engaged in drug-related criminal activity or violent criminal activity, DHA will conduct closer inquiry to determine whether the family should be denied admission.

If the screening indicates that any family member has been arrested or convicted within the prior 5 years for drug-related or violent criminal activity, DHA shall obtain verification through police/court records.

DHA will check criminal history for all adults in the household to determine whether any member of the family has engaged in violent or drug-related criminal activity.

Verification of any past activity will be done prior to final eligibility and will include a check of appropriate records.

a. Drug-Related Criminal Activity

Drug-related criminal activity is the illegal manufacture, sale, distribution, use or possession with intent to manufacture, sell, distribute or use a controlled substance.

Drug-related criminal activity means *on or off* the premises, not just *on or near* the premises.

Persons evicted from public housing, Indian housing, Section 23, or any Section 8 program because of serious criminal activities including any arrest and/or conviction of a felony nature are ineligible for admission to Public Housing. For felony arrests/convictions the ineligible period will be ten (10) years and all other arrests/convictions will be five (5) years. The PHA may waive this requirement if the person demonstrates to the PHA's satisfaction, successful completion of a rehabilitation program approved by the PHA, or circumstances leading to the Eviction no longer exist.

DHA will waive this requirement if:

The person demonstrates successful completion of a certified rehabilitation program, or the circumstances leading to the eviction no longer exist. For example, the individual involved in drugs is no longer in the household because the person is incarcerated.

b. Illegal Drug Use and Alcohol Abuse.

DHA will prohibit admitting any person to public housing in cases where DHA determines that there is reasonable cause to believe that the person is illegally using a controlled substance, or abuses alcohol in a way that may interfere with the health, safety, or right to peaceful enjoyment of the premises by other residents. This includes cases where DHA determines that there is a *pattern* of illegal use of a controlled substance or *pattern* of alcohol abuse.

DHA will not waive this policy.

c. Other Criminal Activity

Other criminal activity means a history of criminal activity involving crimes of actual or threatened violence to persons or property, or a history of other criminal acts, conduct or behavior which would adversely affect the health, safety, or welfare of other residents.

*No family member may have engaged in or threatened abusive or violent behavior toward DHA personnel at any time.*

No family member may have committed fraud, bribery, or any other corrupt or criminal act in connection with any federal housing program in the last five (5) years and in the past 10 (ten ) years if it is a felony. The law requires HAs to prohibit admission to federal housing programs for any household that includes an individual “subject to a lifetime registration requirement under a State sex offender registration program.” The HA will conduct criminal background checks on applicants that include a check to determine whether the applicant is subject to the lifetime registration.

d. Confidentiality of Criminal Records

DHA will ensure that any criminal record received is maintained confidentially and, not misused or improperly disseminated.

e. Disclosure of Criminal Records to Family.

When DHA denies admittance based on a criminal conviction record, the applicant will be provided an opportunity to dispute the record at an informal hearing.

4. Ability to Meet DHA's Admission Criteria

Suitability screening resources can include, but are not limited to:

Previous DHA records

Personal interviews with applicant and family members

Interviews with current or previous landlords, employers, credit bureaus, family social workers, parole officers

Police records

Home visits

Credit reports

DHA's examination of relevant information pertaining to past and current habits or practices will include, but is not limited to, an assessment of:

The applicant's past performance in meeting financial obligations, especially rent.

The Applicant Family must have no record of disturbance of neighbors, destruction of property, unsafe living habits, unsanitary housekeeping practices, substance abuse, sexual deviation, or any other history which may be reasonably expected to adversely affect:

- a. The health, safety, or welfare of other residents;
- b. The peaceful enjoyment of the neighborhood by other residents; and
- c. The physical environment and fiscal stability of the neighborhood.

Any history of criminal activity on the part of any applicant family member involving crimes of physical violence to persons or property, sexual deviation, and other criminal acts, including drug-related criminal activity.

A record of eviction from housing or involuntary termination from residential programs, taking into account date and circumstances.

An applicant's ability and willingness to comply with the terms of DHA's lease.

The ability and willingness of an applicant to comply with the essential lease requirements will be verified and documented by DHA. The information to be considered in the screening process shall be reasonably related to assessing the conduct of the applicant and other family members listed on the application in present and prior housing.

- a. The history of applicant conduct and behavior must demonstrate that the applicant family can reasonably be expected not to:
  - (1) Interfere with other residents in such a manner as to diminish their peaceful enjoyment of the premises by adversely affecting their health, safety, or welfare.
  - (2) Adversely affect the physical environment or financial stability of the project.
  - (3) Violate the terms and conditions of the lease.
  - (4) Require services from DHA staff that would alter the fundamental nature of DHA's program

- b. DHA will conduct a detailed interview of all applicants. The interview form will contain questions designed to evaluate the qualifications of applicants to meet the essential requirements of tenancy. Answers will be subject to third party verification.
- c. DHA will complete a credit check and possibly a rental history check on all applicants.
- d. DHA will complete a criminal background check on all adult members of the applicant's household, or any member for which criminal records are available.
- e. DHA may complete a home visit at the current residence of any applicant who has DHA landlord verifications returned to the DHA with unfavorable comments concerning their housekeeping habits.

Applicants shall have at least three (3) day's advance written notice of home visits.

- f. All applicants are required to attend and successfully complete DHA's pre-occupancy classes or lease-up orientation.
- g. An applicant's intentional misrepresentation of any information related to eligibility, award of preference for admission, housing history, allowances, family composition or rent will result in denial of admission.
- h. The Applicant Family must be able to demonstrate capacity to discharge all lease obligations. This determination shall be made on a case-by-case basis and shall not be used to exclude a particular group by age, disability, etc...In determining the Applicant Family's capacity to discharge all lease obligations DHA must consider the family's ability to secure outside assistance in meeting those obligations.
- i. DHA's minimum age for admission as head of household is 18.

Prohibited Criteria for Denial of Admission: Applicants will NOT be rejected because they:

- Have no income;
- Are not employed;
- Do not participate in a job training program;
- Will not apply for various welfare or benefit programs;
- Have children;
- Have children born out of wedlock;
- Are on welfare;
- Are students.

#### 5. Rent Paying Habits

DHA will examine any Housing Authority records from a prior tenancy, and may request written references from the applicant's current landlord and may request written references from former landlords for up to the past 3 years.

Based upon these verifications, DHA will determine if the applicant was chronically late with rent payments, was evicted at any time during the past 3 years for nonpayment of rent, or had other legal action initiated against him/her for debts owed. Any one of these circumstances could be grounds for an ineligibility determination, depending on the control the applicant had over the situation.

Applicants will not be considered to have a poor credit history when they were occasionally late paying bills or rent in the past, fewer than 3 times per year; were delinquent in rent because they were withholding rent due to substandard housing conditions in a manner consistent with local ordinance; or had a poor rent paying history clearly related to a rent excessive relative to their income (using more than 50% of their gross income as a guide,) and responsible efforts were made to resolve the nonpayment problem.



The lack of a credit history will not disqualify a family, but a poor credit history will, with the exceptions noted above.

## 6. Applicants Who Claim Mitigating Circumstances

Mitigating circumstances are facts relating to the applicant's record of unsuitable rental history or behavior, which, when verified would indicate both: (1) the reason for the unsuitable rental history and/or behavior; and (2) that the reason for the unsuitable rental history and behavior is no longer in effect or is under control, and the applicant's prospect for lease compliance is an acceptable one, justifying admission.

a. If unfavorable information is received about an applicant, consideration shall be given to the time, nature, and extent of the applicant's conduct and to factors that might indicate a reasonable probability of favorable future conduct. In order to be factored into DHA's screening assessment of the applicant, mitigating circumstances must be verifiable.

b. If the mitigating circumstances claimed by the applicant relate to a change in disability, medical condition or course of treatment, DHA shall have the right to refer such information to persons who are qualified and knowledgeable to evaluate the evidence and to verify the mitigating circumstance. DHA shall also have the right to request further information reasonably needed to verify the mitigating circumstance, even if such information is of a medically confidential nature. Such inquiries will be limited to the information necessary to verify the mitigating circumstances or, in the case of a person with disabilities, to verify a reasonable accommodation.

c. Examples of mitigating circumstances might include:

- (1) Evidence of successful rehabilitation;
- (2) Evidence of the applicant family's participation in social service or other appropriate counseling service;

- (3) Evidence of successful and sustained modification of previous disqualifying behavior.
- d. Consideration of mitigating circumstances does not guarantee that the applicant will qualify for admission. DHA will consider such circumstances in light of:
- (1) The applicant's ability to substantiate through verification the claim of mitigating circumstances and his/her prospects for improved future behavior; and
  - (2) The applicant's overall performance with respect to all the screening requirements; and,
  - (3) The nature and seriousness of any criminal activity, especially drug related or violent criminal activity, that appears in the applicant's record.

#### 7. Qualified and Unqualified Applicants

- a. Information which has been verified by DHA will be analyzed and a determination will be made with respect to:
- (1) The eligibility of the applicant as a family;
  - (2) The eligibility of the applicant with respect to income limits for admission;
  - (3) The eligibility of the applicant with respect to citizenship or eligible immigration *status*;
  - (4) Preference category (if any) to which the family is entitled.

- b. Assistance to a family may not be delayed, denied or terminated on the basis of the family's ineligible immigration status unless and until the family completes all the verification and appeals processes to which they are entitled under both INS and DHA procedures, except for a pending DHA hearing.
  
- c. DHA will make every effort to accurately estimate an approximate date of occupancy. However, the date given by DHA does not mean that applicants should expect to be housed by that date. The availability of a suitable unit to offer a family is contingent upon factors not directly controlled by DHA, such as turnover rates, and market demands as they affect bedroom sizes and project location.
  
- d. Applicants who are determined to be unqualified for admission will be promptly notified with a Notice of Denial of Admission stating the reason for the denial. DHA shall provide applicants an opportunity for an informal hearing, if requested.
  
- e. Applicants known to have a disability and who have been determined eligible, but fail to meet the Applicant Selection Criteria, will be offered an opportunity for a second meeting to have their cases examined to determine whether mitigating circumstances or reasonable accommodations will make it possible for them to be housed in accordance with the screening procedures.

## Chapter 3

### APPLYING FOR ADMISSION

#### INTRODUCTION

The policy of DHA is to ensure that all families who express an interest in housing assistance are given an equal opportunity to apply, and are treated in a fair and consistent manner. This Chapter describes the policies and procedures for completing an initial application for assistance, placement and denial of placement on the waiting list, and limitations on who may apply. The primary purpose of the intake function is to gather information about the family, but DHA will also utilize this process to provide information to the family so that an accurate and timely decision of eligibility can be made. Applicants will be placed on the waiting list in accordance with this Policy.

#### A. HOW TO APPLY

Families who wish to apply for any of DHA's programs must complete a pre-application form when application-taking is open. Applications will be made available in an accessible format upon request from a person with a disability.

Individuals who have a physical impairment that would prevent them from making application in person may call DHA to make special arrangements to complete their preapplication.

Pre-application will be mailed to interested families upon request.

The application process will involve two phases.

1. The first is the "initial" application for admission (referred to as a preapplication). This first phase is to determine the family's eligibility for, and placement on, the waiting list.

The preapplication will be dated, time-stamped, and referred to DHA's office where tenant selection and assignment is processed.

2. The second phase is the "final determination of eligibility for admission"

(referred to as the full application). The full application takes place when the family reaches the top or near the top of the waiting list. At this time DHA ensures that verification of all HUD and DHA eligibility factors is current in order to determine the family's eligibility for an offer of a suitable unit.

## B. "INITIAL" APPLICATION PROCEDURES

DHA will utilize a preliminary-application form (pre-application) when the wait for a unit opening appears to be at least 12 months. If the wait is anticipated to be less, DHA will complete a full application. The information is to be filled out by the applicant whenever possible. To provide specific accommodation to persons with disabilities, a staff person may complete the information over the telephone.

The purpose of the preapplication is to permit DHA to preliminarily assess family eligibility or ineligibility and to determine placement on the waiting list. The preapplication will contain questions designed to obtain the following information:

- Names of adult members and age of all members
- Sex and relationship of all members
- Street address and phone numbers
- Mailing address
- Amount(s) and source(s) of income received by household members
- Information regarding disabilities relating to program requirements (i.e., deductions)
- Information related to qualification for preferences, if any
- Social Security Numbers
- Race/ethnicity
- Arrests/Convictions for Drug Related or Violent Criminal Activity
- Request for Specific Accommodation needed to fully utilize program and services
- Previous address

Names and addresses of current and previous landlords

Emergency contact person and address

Questions regarding previous participation in HUD programs

Duplicate applications, including applications from a segment of an applicant household, will not be accepted.

Preapplications will not require interviews. Information on the application will not be verified until the applicant has been selected for final eligibility determination. Final eligibility will be determined when the full application process is completed and all information is verified.

Applicants are required to inform DHA in writing within ten (10) days of changes in family composition, income, and address, as well as any changes in their Preference status. Applicants are also required to respond to requests from DHA to update information on their application, or to determine their continued interest in assistance.

Failure to provide information or to respond to mailings will result in the applicant being removed from the waiting list. See Chapter on Complaints and Appeals.

### C. NOTIFICATION OF APPLICANT STATUS

If, after a review of the preapplication, the family is determined to be preliminarily eligible, they will be notified in writing (in an accessible format upon request, as a reasonable accommodation). The notice will contain the approximate date that their name will be reached to be offered a unit. DHA will further explain that the estimated date is subject to factors such as turnover and preference determination which are beyond DHA's control.

This written notification of preliminary eligibility will be mailed to the applicant by first class mail or distributed to the applicant in the manner requested as a specific accommodation.

If the family is determined to be ineligible based on the information provided in the preapplication, DHA will notify the family in writing (in an accessible format upon request as a reasonable accommodation), state the reason(s), and inform them of their right to an informal conference. Persons with disabilities may request to have an advocate attend the informal conference as an accommodation. See Chapter on “Complaints and Appeals.”

#### D. COMPLETION OF A FULL APPLICATION

All Preferences claimed on the preapplication or while the family is on the waiting list will be verified:

After the family is selected from the waiting list, and prior to completing the full application.

The qualification for preference must exist at the time the preference is verified regardless of the length of time an applicant has been on the waiting list because the preference is based on current status.

After the preference is verified, when DHA is ready to select applicants, applicants will be required to:

Participate in a full application interview with a DHA representative during which the applicant will be required to furnish complete and accurate information verbally as requested by the interviewer. DHA interviewer will complete the full application form with answers supplied by the applicant. The applicant will sign and certify that all information is complete and accurate.

The full application will be communicated as requested as an accommodation to a person with a disability or mailed to the applicant in advance to complete.

#### Requirement to Attend Interview

DHA utilizes the full application interview to discuss the family’s circumstances in greater detail, to clarify information which has been provided by the family, and to ensure that the information is complete. The interview is also used as a vehicle to meet the informational needs of the family by providing information about the application and verification process, as well as to advise the family of other DHA services or programs which may be available.

All adult family members including spouse must attend the interview and sign the housing application.

Exceptions may be made for students attending school out of state/for members for whom attendance would be a hardship.

It is the applicant's responsibility to reschedule the interview if he/she misses the appointment. If the applicant does not reschedule or misses two scheduled meeting(s), DHA will reject the application.

Applicants who fail to appear and want to reschedule a missed appointment must make the request to reschedule no later than three (3) days from the original appointment date. (The request must be made to the staff person who scheduled the appointment.)

If an applicant fails to appear for a pre-scheduled appointment, DHA will automatically schedule a second appointment. If an applicant misses the second appointment, the application is denied.

Reasonable accommodation will be made for persons with a disability who requires an advocate or accessible offices. A designee will be allowed to provide some information, but only with permission of the person with a disability.

If an application is denied due to failure to attend the full application interview, the applicant will be notified in writing and offered an opportunity to request an informal confidence. (See Chapter on, "Complaints and Appeals.")

All adult members must sign Form HUD-9886, "Authorization for the Release of Information," the application form and all supplemental forms required by DHA, the declarations and consents related to citizenship/immigration status and any other documents required by DHA. Applicants will be required to sign specific verification forms for information which is not covered by the form HUD-9886. Failure to do so will be cause for denial of the application, for failure to provide necessary certifications and releases, as required by DHA.



Information provided by the applicant will be verified, including information related to family composition, income, allowances and deductions, assets, eligible immigration status, full time student status and other factors related to preferences, eligibility and rent calculation.

If DHA determines at or after the interview that additional information or document(s) are needed, DHA will request the document(s) or information in writing. The family will be given five (5) working days to supply the information.

If the information is not supplied in this time period, DHA will provide the family a notification of denial of assistance. (See Chapter on “Complaints and Appeals.”)

### E. PROCESSING APPLICATIONS

As families approach the top of the waiting list, the following items will be verified to determine qualification for admission to DHA’s housing:

1. Family composition and type (elderly/non elderly)
2. Annual Income
3. Assets and Asset Income
4. Allowance information
5. All preference claims
6. Social Security Numbers of all family members
7. Information used in applicant screening

8. Citizenship or eligible immigration status.

## F. FINAL DETERMINATION AND NOTIFICATION OF ELIGIBILITY

After the verification process is completed, DHA will make a final determination of eligibility. This decision is based upon information provided by the family, the verifications completed by DHA, and tenant suitability. (See Chapter on Applicant Screening and Final Eligibility Determination).

Because HUD can make changes in rules or regulations and family circumstances may have changed during the review process that affect an applicant's eligibility, it is necessary to make this final eligibility determination.

The household is not actually eligible for a unit offer until this final determination has been made, even though they may have been preliminarily determined eligible and may have been listed on the waiting list.

## Chapter 4

### **ESTABLISHING PREFERENCES AND MANAGING THE WAITING LIST**

#### INTRODUCTION

It is DHA's objective to ensure that the families are placed in the proper order on the waiting list so that the offer of a unit is not delayed to any family unnecessarily, or made to any family prematurely. This chapter explains the policies for the management of the waiting list.

This chapter defines the eligibility criteria for local preferences and explains DHA's system for applying them.

By maintaining an accurate waiting list, DHA will be able to perform the activities which ensure that an adequate pool of qualified applicants will be available to fill unit vacancies in a timely manner.

The order of all applicants in the pool will be based upon their preferences.

The term application is used herein to refer to both the pre-application and the application.

#### A. MANAGEMENT OF THE WAITING LIST

The waiting list will be maintained in accordance with the following guidelines:

The application will be a permanent file.

All applicants in the pool will be maintained in order of preference.

Applications equal in preference will be maintained by date and time sequence.

All applicants must meet applicable income eligibility requirements as established by HUD.

##### 1. Opening and Closing the Waiting Lists

DHA, at its discretion, may restrict application intake, suspend application intake, and close waiting lists in whole or in part. DHA may open or close the list by local preference category.

The decision to close the waiting list will be based on the number of applications available for a particular size and type of unit, the number of applicants who qualify for a local preference, and the ability of DHA to house an applicant in an appropriate unit within a reasonable period of time.

When DHA opens the waiting list, DHA will advertise through public notice in the local paper of record. The notice will contain:

The dates, times, and the locations where families may apply.

The programs for which applications will be taken.

A brief description of the program.

A statement that Section 8 participants must submit a separate application if they want to apply for Public Housing.

Limitations, if any, on whom may apply.

The notices will be made in an accessible format if requested. DHA will provide potential applicants with information that includes DHA address and telephone number, how to submit an application, information on eligibility requirements and the availability of local preferences.

Upon request from a person with a disability, additional time will be given as an accommodation for submission of an application after the closing deadline. This accommodation is to allow persons with disabilities the opportunity to submit an application in cases when a social service organization provides inaccurate or untimely information about the closing date.

2. When Application Taking is Suspended

DHA may suspend the acceptance of applications if there are enough local preference holders to fill anticipated openings for the next 24 months.

The waiting list may not be closed if it would have a discriminatory effect inconsistent with applicable civil rights laws.

During the period when the waiting list is closed, DHA will not maintain a list of individuals who wish to be notified when the waiting list is open.

Suspension of application taking is announced in the same way as opening the waiting list.

The open period shall be long enough to achieve a waiting list adequate to cover projected turnover over the next 24 months. DHA will give at least three (3) day's notice prior to closing the list. When the period for accepting applications is over, DHA will add the new applicants to the list by:

Separating the new applicants into groups based on local preferences and unit size and ranking applicants within each group by date and time of application.

DHA will update the waiting list at least approximately every two (2) years by removing the names of those families who are no longer interested, no longer qualify for housing, or cannot be reached by mail. At the time of initial intake, DHA will advise families of their responsibility to notify DHA when their mailing address changes.

3. Re-opening the List

If the waiting list is closed and DHA decides to open the waiting list, DHA will publicly announce the opening in the local paper of record.

Any reopening of the list will be done in accordance with HUD requirements

#### 4. Limits on Who May Apply

When the waiting list is open, any family asking to be placed on the waiting list for Public Housing will be given the opportunity to complete a pre-application during days and times and in the method that pre-applications and applications are being accepted.

Depending upon the composition of the waiting list with regard to family types and preferences and to better serve the needs of the community, at times the DHA may only accept applications from:

Any family claiming local preferences.

If there are sufficient applications from elderly families, disabled families, near elderly families and displaced singles, applications will not be accepted from other Singles.

When the application is received by DHA:

It establishes the family's date and time of application for placement order on the waiting list, unless DHA is utilizing a lottery method to establish the order of date and time received.

Applicants found to be owing monies to DHA other Housing Authorities, private landlords or utility companies will not be placed on DHA waiting list. They will be sent a letter advising them of the reason for the rejection of their application. When the applicant provides a valid receipt showing payment of any outstanding balance, they will be placed on the appropriate waiting list using the date they provided verification that the debt was paid.

#### 5. Time of Selection

When appropriate units are available, families will be selected from the waiting list in their preference-determined sequence.

Based on DHA's turnover and the availability of appropriate sized units, groups of families will be selected from the waiting list to form a final eligibility "pool." Selection from the pool will be based on waiting list sequence.

## 6. Changes Prior to Unit Offer

Changes that occur during the period between placement on the waiting list and an offer of a suitable unit may affect the family's eligibility or Total Tenant Payment. The family will be responsible for notifying DHA, in writing, of any changes in their household that may affect their eligibility or Total Tenant Payment.

- a. Split Households. When a family on the waiting list splits into two otherwise eligible families due to a divorce or legal separation, and the new families both claim the same placement on the waiting list, and there is no court determination, DHA will make the decision taking into consideration the following factors:

Which family member applied as head of household;

Which family unit retains the children or any disabled or elderly members;

Restrictions that were in place at the time the family applied;

Role of domestic violence in the split;

Recommendations of social service agencies or qualified professionals, such as children's protective services.

Documentation of these factors is the responsibility of the applicant families. If either or both of the families do not provide the documentation, they may be denied placement on the waiting list for failure to supply information requested by DHA.

In cases where domestic violence played a role, the standard used for verification will be the same as that required for the "displaced due to domestic violence" preference.

- b. Multiple Families in Same Household. When families apply that consist of two families living together, (such as a mother and father, and a daughter with her own husband or children), if they apply as a family unit, they will be treated as a family unit.

- c. Joint Custody of Children

Children who are subject to a joint custody agreement but live with one parent at least fifty-one percent (51%) of the time will be considered members of the household. Fifty-one percent of the time is defined as 183 days of the year, which do not have to run consecutively.

When both parents are on the waiting list and both try to claim the child(ren) the parent whose address is listed in the school records will be allowed to claim the school-age child(ren) as a dependent.

## B. WAITING LIST PREFERENCES

A preference does not guarantee admission to the program. Preferences are used to establish the order of placement on the waiting list. Every applicant must still meet DHA's Selection Criteria as defined in this policy.

An applicant will not be granted any preference if any member of the family has been evicted from any Federally assisted housing during the past five years because of any drug use or drug-related criminal activity.

DHA will grant an exception to such a family if:

The responsible member has successfully completed a rehabilitation program and remained drug free at least one year after completion of the rehabilitation program.

The evicted person was not involved in the drug-related activity that occasioned the eviction.

The evicted person is no longer involved in any drug related criminal activity.

If an applicant makes a false statement in order to qualify for a Local preference, DHA will deny the preference.

### Types of Applicants With Preference Over "Other Singles"

Applicants who are elderly, disabled, near-elderly or displaced households of no more than two persons will be given a selection priority over all "Other Single" applicants regardless of preference status.



“Other Singles” denotes a one-person household in which the individual member is neither elderly, disabled, nor displaced by government action. Such applicants will be placed on the waiting list in accordance with their preferences, but cannot be selected for assistance before any *one or two person* elderly, disabled or displaced family regardless of local preferences.

### C. LOCAL PREFERENCE CATEGORIES

Local preferences will be used to select among applicants on the waiting list.

DHA uses the following Local Preferences:

Involuntarily displaced by Governmental Action and persons in the Witness Protection Program.

Involuntarily displaced due to physical violence.

Families with at least one adult who is employed. This preference is extended equally to elderly or disabled families or families whose head or spouse is receiving income based on their inability to work.

Families who are participants in educational and training programs designed to prepare the individual for the job market.

Resident of DeKalb County

All applications will be filed first by unit size required and within unit size by the preferences and order set forth below:

Preference Code

Preference Category

1.A Involuntary Displacement by Government Action-Resident of DeKalb County.  
This category applies to an activity carried on by an agency of the United States or by any State or local government in connection with a public improvement or development program in DeKalb County; or to a disaster declared by an agency of the United States or local code enforcement activity in connection with the condemnation of a unit due to substandard housing conditions. This preference will also include any person in the Witness Protection Program.

1.B Involuntary Displacement due to Physical Violence-Resident of DeKalb County.  
Applicants claiming this preference must be a victim of either actual or threatened physical violence against the applicant or the applicant's family by a spouse or other household member who lives in the unit with the family. (The actual or threatened physical violence must be documented in writing by a qualified governmental agency with responsibilities in this area). The actual or threatened violence must have occurred within the past thirty (30) days or be of a continuing nature. To qualify for this preference, the abuser must still reside in the unit from which the victim was displaced. The applicant must certify that the abuser will not reside with the applicant unless DHA gives prior written approval. If the family is admitted, DHA may deny or terminate assistance to the family for breach of this certification.

2.A Working Family and Elderly/Disabled-Resident of DeKalb County. An applicant where the head of household or spouse is considered working in a full-time or long-term part-time capacity or an approved job training program. An applicant is considered elderly or disabled if the head or spouse, or sole member is sixty-two (62) years of age or older or are considered disabled pursuant to these policies.

2.B Working Family and Elderly/Disabled-Not a Resident of DeKalb County.

4.A Family-Resident of DeKalb County. A group of two or more people related by blood, marriage or legal adoption that will regularly live together in the same dwelling unit. (This also includes foster children and a member(s) of the family who is temporarily absent).

4.B Family-Not a Resident of DeKalb County.

5.A Working Single (non-elderly)-Resident of DeKalb County.

A person who lives alone or intends to live alone, who does not qualify as an elderly family or displaced person or a remaining member of a resident family who is considered working in a full-time, long term part-time capacity or an approved job training program.

5.C Working Single (non-elderly) –Not a Resident of DeKalb County.

7.A Near Elderly Family-Resident of DeKalb County. Families whose heads or spouses or whose sole members are between the ages of fifty (50) and sixty-two (62) years of age.

7.B Near Elderly Family-Not a Resident of DeKalb County.

7.C Single (non-elderly)-Resident of DeKalb County. A person who lives alone or intends to live alone, who does not qualify as an elderly family or a displaced person or a remaining member of a resident family.

7.D Single (non-elderly)-Not a resident of DeKalb County.

The Housing Authorities goal is to maintain an occupancy of forty (40) percent of the residents below thirty (30) percent of the area median income and sixty (60) percent of the residents between thirty (30) percent and eighty (80) percent of area median income. In order to meet this goal the Authorities may pass over otherwise qualified applicants below thirty (30) percent of income in order to move to its goal of a wide distribution of incomes of families in occupancy.

Descriptions of these Preferences and their “definitional elements” (or sub-categories) follows.

1. Involuntary Displacement

Involuntarily Displaced applicants are applicants who have been involuntarily displaced and are not living in standard, permanent replacement housing, or will be involuntarily displaced within no more than six months from the date of preference status certification by the family.

Families are considered to be involuntarily displaced if they are required to vacate housing as a result of:

- a. Federal, state or local government action related to code enforcement, public improvement or development within DeKalb County.
- b. Actual or threatened physical violence directed against the applicant or the applicant's family by a spouse or other household member who lives in the unit with the family.

The actual or threatened violence must have occurred within the past 30 days or be of a continuing nature.

An applicant who lives in a violent neighborhood or is fearful of other violence outside the household is not considered involuntarily displaced.

To qualify for this preference, the abuser must still reside in the unit from which the victim was displaced. The applicant must certify that the abuser will not reside with the applicant unless DHA gives prior written approval.

DHA will approve the return of the abuser to the household under the following conditions:

A counselor, therapist or other knowledgeable professional recommends in writing that the individual be allowed to reside with the family.

If the abuser returns to the family without approval of DHA, DHA will initiate eviction proceedings for breach of the certification.

DHA will take precautions to ensure that the new location of the family is concealed in cases of domestic abuse.

- c. To avoid reprisals because the family provided information on criminal activities to a law enforcement agency and, after a threat assessment, the law enforcement agency recommends rehousing the family to avoid or reduce risk of violence against the family.

The family must be part of a Witness Protection Program, or the HUD Office or law enforcement agency must have informed DHA that the family is part of a similar program.

DHA will take precautions to ensure that the new location of the family is concealed in cases of witness protection.

- d. Due to HUD disposition of a multifamily project under Section 203 of the Housing and Community Development Amendments of 1978.

### Definition of Standard Replacement Housing

In order to receive the displacement preference, applicants who have been displaced must not be living in “standard, permanent replacement housing.”

Standard replacement housing is defined as housing that is decent, safe and sanitary according to local housing code, that is adequate for the family size according to Housing Quality Standards, and that the family is occupying pursuant to a written or oral lease or occupancy agreement.

Standard replacement housing does not include transient facilities, hotels, motels, temporary shelters, and (in the case of Victims of Domestic Violence) housing occupied by the individual who engages in such violence. It does not include any individual imprisoned or detained pursuant to State Law or an Act of Congress. Shared housing with family or friends is not considered temporary and is considered standard replacement housing.

### 2. Substandard Housing

Applicants who live in substandard housing are families whose dwelling meets one or more of the following criteria provided that the family did not cause the condition:

- a. Is dilapidated, as cited by officials of a code enforcement office and does not provide safe, adequate shelter; has one or more critical defects or a combination of defects requiring considerable repair; endangers the health, safety, and well-being of family.
- b. Does not have operable indoor plumbing.
- c. Does not have usable flush toilet in the unit for the exclusive use of the family.
- d. Does not have usable bathtub or shower in unit for exclusive family use.
- e. Does not have adequate, safe electrical service.
- f. Does not have an adequate, safe source of heat.
- g. Should, but does not, have a kitchen. (Single Room Occupancy [SRO] Housing is not substandard solely because it does not contain sanitary and/or food preparation facilities in the unit).
- h. Has been declared unfit for habitation by a government agency.

Applicants living in Public Housing or publicly assisted housing shall not be denied this preference if the unit meets the criteria for the substandard preference.

D. INITIAL DETERMINATION OF LOCAL PREFERENCE QUALIFICATION

At the time of application, an applicant's entitlement to a local preference may be made on

the basis that:

An applicant's certification that they qualify for a preference will be accepted without verification. When the family is selected from the waiting list for the final determination of eligibility, the preference will be verified.

If the preference verification indicates that an applicant does not qualify for the preference, the applicant will be returned to the waiting list and ranked without the Local Preference.

If at the time the family applied, the preference claim was the only reason for placement of the family on the list and the family cannot verify their eligibility for the preference as of the date of application, the family will be removed from the list.

1. Change in Circumstances

Changes in an applicant's circumstances while on the waiting list may affect the family's entitlement to a preference. Applicants are required to notify DHA in writing when their circumstances change. When an applicant claims an additional preference, s/he will be placed on the waiting list in the proper order of their newly claimed preference.

2. Retention of Preference for Public Housing Residents

If an applicant for Section 8 resides in DHA's low rent public housing and was on the Section 8 waiting list when admitted to public housing, the applicant's entitlement to a preference is based on the preference status applicable after being assisted in public housing.

E. ORDER OF SELECTION

The order of selection is based on DHA's system for weighing preferences and HUD requirement that one or two person elderly, disabled, or displaced families will always be selected before other singles.

DHA will use local preferences to prioritize applicants on the waiting list.

Among applicants with equal preference status, the waiting list will be organized by date and time.

Under the singles rule, elderly and disabled families and displaced singles will always be selected before other singles without regard to preference status.

F. FACTORS OTHER THAN PREFERENCES THAT AFFECT SELECTION OF APPLICANTS

Before applying its preference system, DHA will first match the characteristics of the available unit to the applicants available on the waiting lists. Factors such as unit size, accessible features, or units in housing designated for the elderly or disabled. DHA will limit the admission of families to those characteristics that match the characteristics and features of the vacant unit available.

By matching unit and family characteristics, it is possible that families who are lower on the waiting list may receive an offer of housing ahead of families with an earlier date and time of application. For example, the next unit available is an accessible unit and the only applicant family needing such features is in the non-preference pool.

The factors for selection of applicants are described below:

When selecting a family for a unit with accessible features, DHA will give a preference to families that include persons with disabilities who can benefit from the unit's features.

If no family can be found for a unit with accessible features, DHA will house a family not needing the unit features subject to the procedures described in the Tenant Selection and Assignment Plan. Under this policy a non-disabled family in an accessible unit can be required to move so that a family needing the unit features can take advantage of the unit.

When selecting a family for a unit in housing designated for elderly families or housing designated for disabled families, DHA will give a preference to elderly or disabled families as described later in this Chapter.



When selecting a family for a unit in a mixed population housing (property houses both elderly and disabled families), DHA will give a preference to elderly families and disabled families as described later in this Chapter.

When selecting a single person for a unit in a mixed population-housing site, elderly or disabled single persons have a preference over singles that are neither elderly nor disabled.

DHA's preference system will work in combination with requirements to match the characteristics for the family to the type of unit available, including units with targeted populations. When such matching is required or permitted by current law, DHA will give preference to the families described below.

Units designated for the elderly. In accordance with the 1992 Housing Act, elderly families with a head, spouse or sole member at least 62 years of age will receive a preference for admission to such units or buildings covered by a HUD-approved Allocation Plan.

- (1) When there are insufficient elderly families on the waiting list, near-elderly families (head or spouse ages 50-61) may receive a preference for this type of unit.
- (2) When there are insufficient elderly or near-elderly families, and units are ready for leasing more than 60 days, DHA may consider other family types eligible for such units.
- (3) Families with members who require a unit with accessible features will receive preference for such units over families who do not require such features.

Units designated for the disabled. In accordance with the 1992 Housing Act, disabled families with a head, spouse or sole member who qualifies as a person with disabilities as defined in 24 CFR 945.105 will receive a preference for admission to units that are covered by a HUD-approved Allocation Plan.

Families with members who require a unit with accessible features will receive preference for such units over families who do not require such features.

Mixed Population Units. In accordance with the 1992 Housing Act, elderly families whose head spouse or sole member is at least 62 years of age, and disabled families whose head, co-head or spouse or sole member is a person with disabilities, will receive equal preference for admission to such units. No limit will be established on the number of elderly or disabled families that may occupy a mixed population property.

#### G. FINAL VERIFICATION OF PREFERENCES

Preference information on applications will be updated as applicants are selected from the waiting list. At that time, DHA will:

Obtain necessary verifications of preference at the interview and by third party verification.

#### H. PREFERENCE DENIAL

If DHA denies a preference, DHA will notify the applicant in writing of the reasons why the preference was denied and offer the applicant an opportunity for an informal meeting. If the preference denial is upheld, as a result of the meeting, or the applicant does not request a meeting, the applicant will be placed on the waiting list without benefit of the preference. Applicants may exercise other rights if they believe they have been discriminated against.

If the applicant falsifies documents or makes false statements in order to qualify for any preference, they will be removed from the Waiting List.

## I. REMOVAL FROM WAITING LIST AND PURGING

If an applicant fails to respond to a mailing from DHA within the time prescribed they will be removed from the waiting list. An extension will be considered an accommodation if requested by a person with a disability. If a letter is returned by the Post Office without a forwarding address, the applicant will be removed without further notice and the envelope and letter will be maintained in the file. If a letter is returned with a forwarding address, it will be re-mailed to the address indicated.

The waiting list will be purged approximately every two (2) years by a mailing to all applicants to ensure that the waiting list is current and accurate. The mailing will ask for current information and confirmation of continued interest.

The same guidelines will be used for failure to respond to this mailing. Notices will be made available in accessible format upon the request of a person with a disability.

**Chapter 5**  
**OCCUPANCY GUIDELINES**  
INTRODUCTION

The Occupancy Guidelines are established by DHA to ensure that units are occupied by families of the appropriate size. This policy maintains the maximum usefulness of the units, while preserving them from excessive wear and tear or underutilization. This Chapter explains the Occupancy Guidelines used to determine minimum and maximum unit sizes for various sized families when they are selected from the waiting list, or when a family's size changes, or when a family requests an exception to the occupancy guidelines.

A. DETERMINING UNIT SIZE

DHA does not determine who shares a bedroom/sleeping room, but there must be at least one person per bedroom. DHA's Occupancy Guideline standards for determining unit size shall be applied in a manner consistent with Fair Housing guidelines.

For occupancy guidelines, an adult is a person eighteen (18) years or older.

All guidelines in this section relate to the number of bedrooms in the unit. Dwelling units will be so assigned that:

1. One bedroom is assigned to two people within the following guidelines:

The Head of Household and his/her spouse (unless medical reasons dictate) are assigned to one (1) bedroom.

Persons of different generations, persons of the opposite sex (other than spouses), and unrelated adults should not be required to share a bedroom.

Separate bedrooms should be allocated for persons of the opposite sex (other than adults who have a spousal relationship and children under six).

Foster children will be included in determining unit size only if they will be in the unit for more than six months.

Live-in attendants will generally be provided a separate bedroom. No additional bedrooms are provided for the attendant's family.

Space may be provided for a child who is away at school but who lives with the family during school recesses.

Space will not be provided for a family member who will be absent most of the time, such as a member who is away in the military.

Single person families shall be allocated one bedroom.

The living room will not be used as a bedroom except at the request of the family.

### GUIDELINES FOR DETERMINING UNIT SIZE

Unit Size	Persons in Household (Minimum #)	Persons in Household (Maximum #)
1 Bedroom	1	2
2 Bedrooms	2	4
3 Bedrooms	3	6
4 Bedrooms	4	8
5 Bedrooms	6	10

These standards regarding the minimum and maximum number of persons who will occupy a unit will be applied within the restraints of financial solvency and program stability. DHA will also assign units based on the type of unit needed by the individual applicant or applicant family. This refers primarily to the family's ability to use stairs or their status as an Elderly family, including accessibility needs. DHA will give preference in assigning a unit that has special accessibility features to families that include persons with disabilities who can benefit from those features of the unit. When it is found that the size of the unit is no longer suitable for the family in accordance with these standards, the family will be required to transfer as soon as a unit of appropriate size becomes available. These families will be transferred in accordance with the current Transfer Policy of DHA.

DHA in its sole discretion may grant exceptions from the standards if it is determined that an exception is justified by the relationship, age, sex, health, disability of family members or other extreme circumstances. A family desiring an exception must request same in writing and provide DHA with any and all certifications, affidavits, statements, etc., that DHA deems necessary in order to make a determination on the request.

#### B. CHANGES IN UNIT SIZE

DHA will grant exceptions from the guidelines in cases where the family requests a change and DHA determines the exceptions are justified by the relationship, age, sex, health or disability of Family members or other individual circumstances and there is a vacant unit available. If an applicant requests a change in unit size, the following guidelines will apply:

1. The family may request to be placed on a larger bedroom size waiting list than indicated by DHA's occupancy guidelines. The request must explain the need or justification for a larger bedroom size, and must be verified by DHA before the family is placed on the larger bedroom size list. Examples are:

Elderly persons or persons with disabilities who may require a live-in attendant

Persons who would ordinarily occupy one bedroom, but cannot because of a verified medical or health reason, addition of a live-in aide, or need for medical equipment.

A request based on health related reasons must be verified by a doctor and confirmed by DHA.

The members of the family residing in the unit must be approved by DHA. The family must obtain approval of any additional family member before the person occupies the unit except for additions by birth, adoption, or court-awarded custody, in which case the family must inform DHA within 10 days.

DHA will not assign a larger bedroom size due to additions of family members other than by birth, adoption, marriage, or court-awarded custody.

In final determination of applicable unit size, DHA will consider the size of the unit and the size of the bedrooms, as well as the number of bedrooms.

### C. ACCESSIBLE UNITS

DHA has units designed for persons with mobility, sight and hearing impairments. These units were designed, constructed or rehabilitated specifically to meet the needs of persons requiring the use of wheelchairs and persons requiring other modifications.

Preference for occupancy of these units will be given to families with disabled family members who require the modifications or facilities provided in the units.

No non-mobility-impaired families will be offered these units until all eligible mobility-impaired applicants have been considered.

Accessible units will be offered and accepted by non-mobility impaired applicants only with the understanding that such applicants must accept a transfer to a non-accessible unit at a later date if a person with a mobility impairment requiring the unit applies for housing and is determined eligible.

### D. FAMILY MOVES

When a change in the circumstances in a tenant family requires another unit size, the family's move depends upon the availability of a suitable size and type of unit. If the unit is not available at the time it is requested, the family will be placed on the Transfer List.

The unit considerations in this chapter should be used as a guide to determine whether and when the bedroom size should be changed. If an unusual situation occurs, which is not currently covered in DHA's Transfer Policy, the case should be taken to the Executive Director or his designee, who will review the situation and make a decision depending on the individual circumstances and the verification provided.

## Chapter 6

### DETERMINATION OF TOTAL TENANT PAYMENT

#### INTRODUCTION

The accurate calculation of Annual Income and Annual Adjusted Income will ensure that families are not paying more or less money for rent than their obligation under the regulations.

This Chapter defines the allowable deductions from Annual Income and how the presence or absence of household members may affect the Total Tenant Payment (TTP). Income and TTP are calculated in accordance with 24 CFR Part 5, Subpart F and further instructions set forth in HUD Notices, Memoranda and Addenda. The formula for the calculation of TTP is specific and not subject to interpretation. The DHA's policies in this Chapter address those areas which allow the DHA discretion to define terms and to develop standards in order to assure consistent application of the various factors that relate to the determination of TTP.

#### A. MINIMUM RENT

The minimum rent for this DHA is [insert amount at least \$0, but not more than \$50].

The Total Tenant Payment is the greater of:

30% of the adjusted monthly income

10% of the monthly income

Welfare rent in as-paid states

The Minimum rent as established by the DHA

The Total Tenant Payment does not include charges for excess utility consumption or other charges.



## B. INCOME AND ALLOWANCES

Income: The types of money which are to be used as income for purposes of calculating the TTP are defined by HUD in Federal regulations. In accordance with this definition, income from all sources of each member of the household is documented. (See Income Inclusions and Income Exclusions in the Glossary of Terms of this policy.)

Annual Income is defined as the gross amount of income anticipated to be received by the family during the 12 months after certification or recertification. Gross income is the amount of income prior to any HUD allowable expenses or deductions, and does not include income which has been excluded by HUD. Annual income is used to determine whether or not applicants are within the applicable income limits. (24 CFR 5.607)

Adjusted Income is defined as the Annual income minus any HUD allowable deductions.

The DHA does not adopt any additional exclusions or adjustments to annual income of tenants.

The DHA adopts the following additional exclusions from annual income:

All of the family's earned income will be excluded.

[State amount] of the family's earned income will be excluded.

Exclusion will be applied only to [new sources of earned income/increases in earned income].

Exclusion will be applied to earned income of [head/ spouse/other family members age 18 or older].

Exclusion will be applied only to earned income of persons other than primary earner.

Exclusion will be applied to [applicants/newly admitted families/existing tenants/ persons joining the family].

The stipulated exclusion(s) will be permanent for the [DHA/family/affected family member].

The stipulated exclusion(s) will be temporary for the [DHA/family/affected family member], and will be applied during [state length of time].

The exclusion(s) will be graduated so that [State amount] of earned income is excluded during the first [state period], decreasing to [state amount] after [state period].

[All/Part (state amount)] of uncompensated costs incurred in order to go to work (such as special tools, equipment, or clothing, etc.) will be excluded from earned income.

[All/Part (state amount)] of costs that result from earning income (such as social security taxes, other payroll deductions- list) will be excluded from earned income.

[All/Part (state amount)] of earned income that is not available to meet the family's needs (such as amounts paid to person outside the family for child support or alimony) will be excluded from earned income.

[All/Part (state amount)] of earned income necessary to replace benefits lost because a family member becomes employed (such as amounts family pays for medical costs or for medical insurance) will be excluded from earned income.

HUD has five allowable deductions from Annual Income:

1. Dependent allowance: \$480 each for family members (other than the head or spouse), who are minors, and for family members who are 18 and older who are full-time students or who are disabled.
2. "Elderly" allowance: \$400 per household for families whose head or spouse is 62 or over or disabled.
3. Allowable medical expenses for all family members are deducted for elderly and disabled families.
4. Childcare expenses for children under 13 are deducted when child care is necessary to allow an adult member to work, attend school, or actively seek employment.
5. Expenses for attendant care or auxiliary apparatus for persons with disabilities if needed to enable the individual or an adult family member to work.

### C. TRAINING INCOME EXCLUSIONS

The DHA believes that training income exclusions are an important factor in helping public housing participants move from welfare and dependence to greater self-sufficiency.

The DHA will share information regarding new policies governing training income derived from qualifying employment training programs with applicants, participants and local social service providers. The DHA's objective is to encourage families to move toward self-sufficiency by excluding from their annual income certain amounts earned through participation in various qualifying training programs. These training programs are aimed at offering the resident gainful employment skills. The exclusion of training income, in the calculation of annual income, is meant to be an incentive. It is the DHA's hope that welfare agencies will adopt or modify their programs so that welfare recipients living in Public Housing will receive the maximum benefits from these income exclusions.

In order to be eligible for the exclusion the resident must actually receive training under the provisions of the program. For purposes of this exclusion, it is not enough for the resident to merely be enrolled.

There are two types of training programs that are eligible for one or more type of income exclusion.

1. Training Income Exclusions in Accordance with 24 CFR 5.609(c)(8)(v)

The first type of training program is in accordance with and has features that allow the training income of assisted housing residents to be excluded only while the resident is actively enrolled in the training program.

A training program qualifying under is defined as one with goals and objectives designed to lead to a higher level of proficiency, and one which enhances the individual's ability to obtain employment. The training program may have performance standards to measure proficiency. Training may include, but is not limited to:

Classroom training in a specific occupational skill;

On-the-job training with wages subsidized by the program, or

Basic education

For this purpose Annual Income does not include the following:

Incremental earnings and benefits resulting to any family member from participation in qualifying State or local employment training programs.

2. Training Income Exclusions in Accordance with 24 CFR 5.609(c) (13)

This training program is specific to public housing residents only and the regulation requires it to include specific features. This training program offers public housing residents the exclusion of incremental income while in the training program and for 18 months following the start of their first job.

The DHA will determine that this training program has all five components required by HUD. It must:

- be a program providing employment training and supportive services;
- be authorized by a Federal, State or local law;
- be funded by the Federal, State or local government;
- be operated or administered by a public agency; and
- have its objective to assist participants in acquiring employment skills.

A qualifying job-training program may be one that is established by the government but implemented by a private company for and on behalf of the government.

In addition, to qualify as a 5.609 (c)(13) program, the employment training program must offer the resident at least one of the following supportive services:

- child care;
- transportation;
- personal welfare counseling (family/parental development counseling, parenting skills training for adult and teenage parents, substance/alcohol abuse treatment and counseling, self-development counseling);

- health care services (including outreach and referrals);
- youth leadership skills; youth mentoring.

For purposes of the 5.609 (c) (13) exclusion and public housing particularly, Annual Income does not include the following:

Incremental earnings and benefits resulting to any family member resulting from participation in a program providing employment training and supportive services in accordance with the Family Support Act or any comparable Federal, State, or local law during the exclusion period.

#### Exclusion Period

The exclusion period is defined as the period during which the family member participates in a program described in this section, plus 18 months from the date the family member begins the first job acquired by the family member after completion of such program, provided the training program is not funded by public housing assistance under the 1937 Housing Act.

Where applicable, the 18-month exclusion period runs continuously from the date the first job begins. If the resident switches to a second job during the 18-month period the exclusion continues.

If the resident has a break in employment during the 18-month exclusion, any other income the person has during the break will be counted.

A person admitted into public housing after the completion of a qualifying employment training program, and who began employment while still an applicant and before coming into public housing, is entitled to the 18-month exclusion beginning with the date he/she became employed until the remainder of the 18 months.

If the family member is terminated from employment with good cause, the exclusion period shall end.

#### Training Programs Funded by HUD

All training income from a HUD sponsored or funded training program, whether incremental or not, is excluded from the resident's annual income while the resident is in training. Income from a Resident Services training program, which is funded by HUD, is excluded.

Upon employment with the DHA, the full amount of employment income received by the person is counted. There is no 18-month exclusion of income for wages funded under the 1937 Housing Act Programs, which includes public housing and Section 8.

#### Components Applicable to All Training Programs

In either program and at all times the income to be excluded is the incremental income only.

*Incremental income* is defined by HUD as the increase between the total amount of welfare and earnings of a family member *prior* to enrollment in the training program and welfare and earnings of the family member *after* enrollment in the training program.

All other amounts, (such as child support and alimony), are treated in the usual manner in determining annual income. Child support, or other income that is not *earnings or benefits*, is not a factor and will not be considered in regard to training income exclusions, regardless of whether they have increased or decreased.

#### *Who is Eligible for the Exclusion*

Any member of the resident's family is eligible for the exclusion, provided the individual is enrolled in the qualifying employment training program.

If a family has members who enroll in training programs at different times, the exclusion may be taken at different periods. The rules will be applied individually to each member based on which type of program they are enrolled in.

#### *Verification*

Upon verification, residents who are actively enrolled in a qualifying training program will have the incremental income from the training program excluded from their annual income.

#### Employment Before Completion of Training Program

A resident who leaves a training program before completion, to accept a job offer, will be eligible for the 18-month exclusion of income.

A resident who has substantially completed a training program in order to accept a job offer will be eligible for the 18-month exclusion of income.

Substantial completion of a training program will be completion of [state number in percent] of the program

If a resident has completed that portion of the training program necessary to get a job and continues simultaneously with the training program, the 18-month exclusion period will begin on the date the resident started the new job, not the date they complete the training program.

The resident is not required to get a job that is directly related to the training program to be eligible for the exclusion.

*Other Factors to be Considered*

The 18-month exclusion also applies to residents with an FSS escrow account. A decrease in attributable income may mean that the FSS escrow account would be decreased or eliminated for a period of time.

For self-employed residents, the DHA will exclude only the net income of a resident when factoring the earnings.

If a resident has no income the day they enter a training program, but has a history of employment in the past, the DHA will review the resident's wages for the past [6 months/12 /18 months] and average the income. That averaged income will become the resident's base amount for determining incremental earnings.

If more than [state time in months] goes by before the resident starts their first job, the earnings from that job will be counted in full.

If the resident has no income and enrolls in a welfare program which requires participants to be enrolled in a job training program, the base pay for that resident will be zero.

The resident is required to notify the DHA within [number of days] of enrolling in a qualifying training program.

Residents who have a decrease in income as a result of enrolling in a training program may request an interim examination. The DHA will determine the decrease in incremental income as a result of the training program and adjust the resident's rent accordingly.

Residents who do not notify the DHA within [number] days of starting a training program, and have a decrease in income, will not have their rent adjusted retroactively.

The DHA will review the total income of the resident's family when notified of the income changes. If the DHA determines that an adjustment is necessary due to incremental income, the family will have their rent adjusted accordingly.

D. DEFINITION OF TEMPORARILY/PERMANENTLY ABSENT

The DHA must compute all applicable income of every family member who is on the lease, including those who are temporarily absent. In addition, the DHA must count the income of the spouse or the head of the household if that person is temporarily absent.

Income of persons permanently absent will not be counted. If the spouse is temporarily absent and in the military, all military pay and allowances (except hazardous duty pay when exposed to hostile fire and any other exceptions to military pay HUD may define) is counted as income.

It is the responsibility of the head of household to report changes in family composition. The DHA will evaluate absences from the unit in accordance with this policy.

Absence of Entire Family

These policy guidelines address situations when the family is absent from the unit, but has not moved out of the unit. In cases where the family has moved out of the unit, the DHA will terminate tenancy in accordance with the appropriate lease termination procedures contained in this Policy.

Families are required to notify the DHA before they move out of a unit in accordance with the lease and to give the DHA information about any family absence from the unit.

Families must notify the DHA if they are going to be absent from the unit for more than [number of] consecutive days.

If the entire family is absent from the assisted unit for more than [suggest that number not exceed 180] consecutive days, the unit will be considered to be vacated and the assistance will be terminated.

"Absence" means that no family member is residing in the unit.

In order to determine if the family is absent from the unit, the DHA may:

- Conduct home visit
- Write letters to the family at the unit
- Telephone the family at the unit
- Interview neighbors
- Verify if utilities are in service



A person with a disability may request an extension of time as an accommodation.

If the absence which resulted in termination of assistance was due to a person's disability, and the DHA can verify that the person was unable to notify the DHA in accordance with the lease provisions regarding absences, and if a suitable unit is available, the DHA may reinstate the family as an accommodation if requested by the family.

#### Absence of Any Member

Any member of the household will be considered permanently absent if s/he is away from the unit for 1 month consecutive months 30 except as otherwise provided in this Chapter.

#### Absence due to Medical Reasons

If any family member leaves the household to enter a facility such as hospital, nursing home, or rehabilitation center, the DHA will seek advice from a reliable qualified source as to the likelihood and timing of their return. If the verification indicates that the family member will be permanently confined to a nursing home, the family member will be considered permanently absent. If the verification indicates that the family member will return in less than 30 consecutive days, the family member will not be considered permanently absent.

If the person who is determined to be permanently absent is the sole member of the household, assistance will be terminated in accordance with the DHA's "Absence of Entire Family" policy.

#### Absence due to Incarceration

If the sole member is incarcerated for more than number not to exceed 30 consecutive days, s/he will be considered permanently absent. Any member of the household, other than the sole member, will be considered permanently absent if s/he is incarcerated for [number of] consecutive months [or number of days in a twelve month period].

The DHA will determine if the reason for incarceration is for drug-related or violent criminal activity.

#### Foster Care and Absences of Children

If the family includes a child or children temporarily absent from the home due to placement in foster care, the DHA will determine from the appropriate agency when the child/children will be returned to the home.

If the time period is to be greater than [number] of months from the date of removal of the child(ren), the unit size will be reduced. If all children are removed from the home permanently, the unit size will be reduced in accordance with the DHA's occupancy guidelines.

#### Absence of Adult

If neither parent remains in the household and the appropriate agency has determined that another adult is to be brought into the assisted unit to care for the children for an indefinite period, the DHA will treat that adult as a visitor for the first [number of] days.

If by the end of that period, court-awarded custody or legal guardianship has been awarded to the caretaker, and the caretaker qualifies under Tenant Suitability criteria, the lease will be transferred to the caretaker.

If the appropriate agency cannot confirm the guardianship status of the caretaker, the DHA will review the status at [number of days] intervals.

If custody or legal guardianship has not been awarded by the court, but the action is in process, the DHA will secure verification from social services staff or the attorney as to the status.

\* The caretaker will be allowed to remain in the unit, as a visitor, until a determination of custody is made.

\* The DHA will transfer the lease to the caretaker, in the absence of a court order, if the caretaker qualifies under the Tenant Suitability criteria and has been in the unit for more than [number of] days/months and it is reasonable to expect that custody will be granted.

When the DHA approves a person to reside in the unit as caretaker for the child(ren), the income should be counted pending a final disposition. The DHA will work with the appropriate service agencies to provide a smooth transition in these cases.

If a member of the household is subject to a court order that restricts him/her from the home for more than [number of] months, the person will be considered permanently absent.

If an adult family member leaves the household for any reason, the family must report the change in family composition to the DHA within [number of] days.

\* The family will be required to notify the DHA in writing within [number of] days when an adult family member moves out. The notice must contain a certification by the family as to whether the adult is temporarily or permanently absent.

The family member will be determined permanently absent if verification is provided.

Time extension will be granted as an accommodation upon request by a person with a disability.

If an adult child goes into the military and leaves the household, they will be considered permanently absent.

Full time students who attend school away from the home will be treated in the following manner:

\* A student (other than head of household or spouse) who attends school away from home but lives with the family during school recesses may, at the family's choice, be considered either temporarily or permanently absent. If the family decides that the member is permanently absent, income of that member will not be included in total household income, the member will not be included on the lease, and the member will not be included for determination of unit size.

\* Full time students who attend school away from the home and live with the family during school recess will be considered temporarily absent from the household.

\* Full time students who attend school away from the home and live with the family during school recess will be considered permanently absent from the household.

### Visitors

Any adult not included on the HUD 50058 who has been in the unit more than [number of] consecutive days, or a total of [number of] days in a 12-month period, will be considered to be living in the unit as [a/an unauthorized] household member.

\* Absence of evidence of any other address will be considered verification that the visitor is a family member.

\* Statements from neighbors and/or DHA staff will be considered in making the determination.

\* Use of the unit address as the visitor's current residence for any purpose that is not explicitly temporary shall be construed as permanent residence.

\* The burden of proof that the individual is a visitor rests on the family. In the absence of such proof, the individual will be considered an unauthorized member of the family and the DHA will evict the family since prior approval was not requested for the addition.

Minors and college students who were part of the family but who now live away from home during the school year and are not considered members of the household may visit for up to [number of] days per year without being considered a member of the household.

In a joint custody arrangement, if the minor is in the household less than [number of] days per year, the minor will be considered to be an eligible visitor and not a family member.

#### Reporting Additions to the DHA

Reporting changes in household composition to the DHA must be made in compliance with the lease provision requirements regarding adding household members. [List your lease provision regarding adding family members here.]

\* If the family does not obtain prior written approval from the DHA, any person the family has permitted to move in will be considered an unauthorized household member.

\* In the event that a visitor continues to reside in the unit after the maximum allowable time, the family must report it to the DHA in writing within [number of] days of the maximum allowable time.

\* Families are required to report any additions to the household in writing to the DHA within [number of] days of the move-in date.

An interim reexamination will be conducted for any additions to the household.

#### Reporting Absences to the DHA

If a family member leaves the household, the family must report this change to the DHA, in writing, within [number of] days of the change and certify as to whether the member is temporarily absent or permanently absent.

The DHA will conduct an interim evaluation for changes which affect the TTP in accordance with the interim policy.

E. AVERAGING INCOME

When Annual Income cannot be anticipated for a full twelve months, the DHA may:

- \* Average known sources of income that vary to compute an annual income.
- \* Annualize current income and conduct an interim reexamination if income changes.

If there are bonuses or overtime which the employer cannot anticipate for the next twelve months, bonuses and overtime received the previous year will be used.

Income from the previous year may be analyzed to determine the amount to anticipate when third-party or check-stub verification is not available.

If by averaging, an estimate can be made for those families whose income fluctuates from month to month, this estimate will be used so that the housing payment will not change from month to month.

The method used depends on the regularity, source and type of income.

F. MINIMUM INCOME

There is no minimum income requirement. Families who report zero income are required to complete a written certification every [number of] days.

\* Families that report zero income will be required to provide information regarding their means of basic subsistence, such as food, utilities, transportation, etc.

\* The HA will request credit checks for all adult members of families that report zero income.

\*Where credit reports show credit accounts open and payments current, the HA will take action to investigate the possibility of fraud or program abuse.

G. INCOME OF PERSON PERMANENTLY CONFINED TO NURSING HOME

If a family member is permanently confined to a hospital or nursing home and there is a family member left in the household, the DHA will calculate the Total Tenant Payment.

\* 1. (a) Exclude the income of the person permanently confined to the nursing home and give the family no deductions for medical expenses of the confined family member.

(b) Include the income of the person permanently confined to the nursing home and give the family the medical deductions allowable on behalf of the person in the nursing home.

\* 2. (a) Exclude the income and deductions of the member if his/her income goes directly to the facility.

(b) Include the income and deductions of the member if his/her income goes to a family member.

\* 3. Calculate the income by using the following methodology and use the income figure which would result in a lower payment by the family:

(a) Exclude the income of the person permanently confined to the nursing home and give the family no deductions for medical expenses of the confined family member.

(b) Include the income of the person permanently confined to the nursing home and give the family the medical deductions allowable on behalf of the person in the nursing home.

#### H. REGULAR CONTRIBUTIONS AND GIFTS [24 CFR 5.609(a)(7)]

Regular contributions and gifts received from persons outside the household are counted as income for calculation of the Total Tenant Payment.

Any contribution or gift received every [number of] months or more frequently will be considered a "regular" contribution or gift, unless the amount is less than [amount] per year. This includes rent and utility payments made on behalf of the family and other cash or non-cash contributions provided on a regular basis. It does not include casual contributions or sporadic gifts. (See Chapter on "Verification Procedures," for further definition.)

\* If the family's expenses exceed its known income, the DHA will question the family about contributions and gifts.

I. ALIMONY AND CHILD SUPPORT [24 CFR 5.609(a)(7)]

Regular alimony and child support payments are counted as income for calculation of Total Tenant Payment.

If the amount of child support or alimony received is less than the amount awarded by the court, the DHA must use the amount awarded by the court unless the family can verify that they are not receiving the full amount.

The DHA will accept as verification that the family is receiving an amount less than the award if:

- \* The DHA receives verification from the agency responsible for enforcement or collection.

- \* The family furnishes documentation of child support or alimony collection action filed through a child support enforcement/collection agency, or has filed an enforcement or collection action through an attorney.

It is the family's responsibility to supply a [certified] copy of the divorce decree.

J. LUMP-SUM RECEIPTS [24 CFR CFR 5.609(b)(5), (c)]

Lump-sum additions to Family assets, such as inheritances, insurance payments (including payments under health and accident insurance and worker's compensation), capital gains, and settlement for personal or property losses, are not included in income but may be included in assets.

Lump-sum payments caused by delays in processing periodic payments (unemployment or welfare assistance) are counted as income. Lump sum payments from Social Security or SSI are excluded from income, but any amount remaining will be considered an asset. Deferred periodic payments which have accumulated due to a dispute will be treated the same as periodic payments which are deferred due to delays in processing.

In order to determine amount of retroactive tenant rent that the family owes as a result of the lump sum receipt:

- \* The DHA uses a calculation method which calculates retroactively or prospectively depending on the circumstances.
- \* The DHA will calculate prospectively if the family reported the payment within [number of days] and retroactively to date of receipt if the receipt was not reported within that time frame.
- \* The DHA will always calculate prospectively.
- \* The DHA will always calculate retroactively to date of receipt.
- \* The DHA will calculate retroactively if the receipt was not reported for [number of] recertifications.

### Prospective Calculation Methodology

*INSTRUCTION: Include if using any prospective calculation of lump sum receipts.*

If the payment is reported on a timely basis, the calculation will be done prospectively and will result in an interim adjustment calculated as follows:

1. The entire lump-sum payment will be added to the annual income at the time of the interim.
2. The DHA will determine the percent of the year remaining until the next annual recertification as of the date of the interim (three months would be 25% of the year).
3. At the next annual recertification, the DHA will apply the percentage balance (75% in this example) to the lump sum and add it to the rest of the annual income.
4. The lump sum will be added in the same way for any interims which occur prior to the next annual recertification.

\* If amortizing the payment over one year will cause the family to pay more than [percent] of the family's adjusted income (before the lump sum was added) for Total Tenant Payment, the DHA and family may enter into a Repayment Agreement, with the approval of [job title], for the balance of the amount over the [percent] calculation. The beginning date for this Repayment Agreement will start as soon as the one year is over.

### Retroactive Calculation Methodology



*INSTRUCTION: Include if using any retroactive calculation of lump sum receipts.*

1. The DHA will go back to the date the lump-sum payment was received, or to the date of admission, whichever is closer.
2. The DHA will determine the amount of income for each certification period, including the lump sum, and recalculate the tenant rent for each certification period to determine the amount due the DHA.

\* The family [must pay] [has the choice of paying] this "retroactive" amount to the DHA in a lump sum.

\* At the DHA's option, the DHA may enter into a Repayment Agreement with the family.

The amount owed by the family is a collectible debt even if the family becomes unassisted.

#### Attorney Fees

The family's attorney fees may be deducted from lump-sum payments when computing annual income if the attorney's efforts have recovered a lump-sum compensation, and the recovery paid to the family does not include an additional amount in full satisfaction of the attorney fees.

### K. CONTRIBUTIONS TO RETIREMENT FUNDS - ASSETS

Contributions to company retirement/pension funds are handled as follows:

1. While an individual is employed, count as assets only amounts the family can withdraw without retiring or terminating employment.
2. After retirement or termination of employment, count any amount the employee elects to receive as a lump sum.

L. ASSETS DISPOSED OF FOR LESS THAN FAIR MARKET VALUE

The DHA must count assets disposed of for less than fair market value during the two years preceding certification or reexamination. The DHA will count the difference between the market value and the actual payment received in calculating total assets.

Assets disposed of as a result of foreclosure or bankruptcy are not considered to be assets disposed of for less than fair market value. Assets disposed of as a result of a divorce or separation are not considered to be assets disposed of for less than fair market value.

The DHA's minimum threshold for counting assets disposed of for less than Fair Market value is [amount]. If the total value of assets disposed of within the two-year period is less than [amount], they will not be considered an asset.

M. CHILD CARE EXPENSES

Child care expenses for children under 13 may be deducted from annual income if they enable an adult to work, attend school full time, or actively seek employment.

In the case of a child attending private school, only after-hours care can be counted as child care expenses.

\* Child care expenses cannot be allowed as a deduction if there is an adult household member capable of caring for the child who can provide the child care. Examples of those adult members who would be considered *unable* to care for the child include:

\* The abuser in a documented child abuse situation, or

\* A person with disabilities or older person unable to take care of a small child, as verified by a reliable knowledgeable source.

Child care expenses must be reasonable. Reasonable is determined by what the average child care rates are in the HA's jurisdiction.

Allowability of deductions for child care expenses is based on the following guidelines:

Child care to work: The maximum child care expense allowed must be less than the amount earned by the person enabled to work.  
\* The "person enabled to work" will be the adult member of the household who earns the least amount of income from working.

\* Child care for school: The number of hours claimed for child care may not exceed the number of hours the family member is attending school (including one hour travel time to and from school).

\* Amount of Expense: The DHA will survey the local care providers in the community to determine what is reasonable. The HA will use the collected data as a guideline. If the hourly rate materially exceeds the guideline, the DHA may calculate the allowance using the guideline.

N. MEDICAL EXPENSES [24 CFR 5.603]

\* When it is unclear in the HUD rules as to whether or not to allow an item as a medical expense, IRS Publication 502 will be used as a guide.

\* Nonprescription medicines must be doctor-recommended in order to be considered a medical expense.

\* Nonprescription medicines will be counted toward medical expenses for families who qualify if the family furnishes legible receipts.

\* Accupressure, acupuncture and related herbal medicines, and chiropractic services [will/will not] be considered allowable medical expenses.

O. PRORATION OF ASSISTANCE FOR "MIXED" FAMILIES [24 CFR 5.520]

Applicability

Proration of assistance must be offered to any "mixed" applicant or participant family. A "mixed" family is one that includes at least one U.S. citizen or eligible immigrant and any number of ineligible members.

"Mixed" families that were participants on June 19, 1995, and that do not qualify for continued assistance must be offered prorated assistance. (See Chapter titled "Recertifications.") Applicant mixed families are entitled to prorated assistance. Families that become mixed after June 19, 1995, by addition of an ineligible member are entitled to prorated assistance.

Prorated Assistance Calculation

Prorated assistance will be calculated by subtracting the Total Tenant Payment from the applicable Maximum Rent for the unit the family occupies to determine the Family Maximum Subsidy. The family's TTP will be calculated by:

1. Dividing the Family Maximum Subsidy by the number of persons in the family to determine Member Maximum Subsidy.
2. Multiplying the Member Maximum Subsidy by the number of eligible family members to determine Eligible Subsidy.
3. Subtracting the amount of Eligible Subsidy from the applicable Maximum Rent for the unit the family occupies to get the family's Revised Total Tenant Payment.

P. REDUCTION IN BENEFITS

If the family's benefits, such as social security, SSI or AFDC, are reduced through no fault of the family, the DHA will use the net amount of the benefit.

If the family's benefits were reduced due to family error, omission, or misrepresentations, the DHA will use the gross amount of the benefit.

Q. UTILITY ALLOWANCE AND UTILITY REIMBURSEMENT PAYMENTS

If the cost of utilities (excluding telephone) is not included in the Tenant Rent, a utility allowance will be deducted from the total tenant payment. The Utility allowance is intended to help defray the cost of utilities not included in the rent. The allowances are based on the monthly cost of reasonable consumption utilities in an energy conservative household, *not* on a family's actual consumption.

When the Utility Allowance exceeds the family's Total Tenant Payment, the DHA will provide a Utility Reimbursement Payment for the family each month. The check will be made out directly to the tenant [unless the tenant has agreed, in writing, to a payment to the utility company].

\* Resident-Paid Utilities

The following requirements apply to residents living in developments with resident-paid utilities or applicants being admitted to such developments:

\* When the supplier of utilities offers a "budget" or level payment plan, it shall be suggested to the resident to pay his/her bills according to this plan. This protects the resident from large seasonal fluctuations in the cost of utilities and ensures adequate heat in the winter. If the family is receiving AFDC, the DHA will encourage the family to consider a vendor payment plan for rent and utilities.

\* When a resident makes application for utility service in his/her own name, he or she must sign a third party notification agreement so that the DHA will be notified if the resident fails to pay the utility bill.

\* If a resident or applicant is unable to get utilities connected because of a previous balance owed to the utility company, the resident/applicant will not be permitted to move into a unit with resident paid utilities. This may mean that a current resident cannot transfer to a scattered site or that an applicant cannot be admitted to a unit with resident-paid utilities.

\* Paying the utility bill is the resident's obligation under the lease. Failure to pay utilities is grounds for eviction.

#### R. EXCESS UTILITY PAYMENTS

Residents in units where the DHA pays the utilities may be charged for excess utilities if additional appliances or equipment are used in the unit. This charge shall be applied as specified in the lease. [24CFR 966.4(b)(2)]

#### S. CEILING RENTS

*INSTRUCTION:* The Continuing Resolution authorizes DHAs to adopt ceiling rents that reflect reasonable market value of the housing unit, but are not less than the sum of the monthly per-unit operating costs. Pending publication of HUD's implementing rule, the Continuing Resolution authorizes a "Transition Rule" which DHAs may put into effect. If the DHA want to adopt ceiling rents based on either a) Fair Market Rents or b) 95th percentile rents, the DHA must pass a resolution describing the basis for the ceiling rent(s), the effective date of the new policy, and an explicit statement that the policy automatically expires on September 30, 1996, absent additional legislation. (This resolution must be promptly forwarded to HUD).

\* The DHA does not utilize ceiling rents

\* The DHA utilizes ceiling rents as follows: [insert rents]

## Chapter 7

### VERIFICATION PROCEDURES

#### INTRODUCTION

HUD regulations require that the factors of eligibility and Total Tenant Payment be verified by DHA. Applicants and program tenants must furnish proof of their statements whenever required by DHA, and the information they provide must be true and complete. DHA's verification procedures are designed to meet HUD requirements and to maintain program integrity. This Chapter explains DHA's procedures and standards for verification of preferences, income, assets, allowable deductions, family status, and when there are changes in family members. DHA will ensure that proper authorization for release of information has been obtained from the family before making verification inquiries.

#### A. METHODS OF VERIFICATION AND TIME ALLOWED

DHA will verify information through the four methods of verification acceptable to HUD in the following order:

1. Third-Party Written: DHA's first choice is a written third party verification to substantiate claims made by an applicant or resident.
2. Third-Party Oral: DHA may also use telephone verifications.
3. Review of Documents: DHA will review documents, when relevant, to substantiate the claim of an applicant or resident.
4. Certification/Self-Declaration: A notarized certification will be accepted when no other form of verification is available.

For applicants, verifications may not be more than ninety (90) days old at the time of a unit offer. For tenants, they are valid for ninety (90) from date of receipt.

### Third-Party Written Verification

Third-party verification is used to verify information directly with the source. Third-party written verification forms will be sent and returned via first class mail. The family will be required to sign an authorization for the information source to release the specified information.

Verifications received electronically directly from the source are not considered third party written verifications.

DHA will accept verifications from the following sources delivered by the family:

- Drivers License, that displays SSN
- Identification Card issued by a Federal, State or Local Agency
- Earning statements or payroll stubs
- Bank statements
- Retirement benefit letter
- Social Security Administration
- Veterans Administration
- Welfare Assistance
- Unemployment Compensation Board
- City or County Courts
- Pharmacies for prescription drugs



### Third-Party Oral Verification

Oral third-party verification will be used when written third-party verification is delayed or not possible. When third-party oral verification is used, staff will be required to complete a Certification of Document Viewed or Person Contacted Form, noting with whom they spoke, the date of the conversation, and the facts provided. If oral third party verification is not available, DHA will compare the information to any documents provided by the Family. If provided by telephone, DHA must originate the call or verify the authenticity of the call.

### Review of Documents

In the event that third-party written or oral verification is unavailable, or the information has not been verified by the third party within eight (8) weeks, the DHA will notate the file accordingly and utilize documents provided by the family as the primary source if the documents provide complete information.

All such documents, excluding government checks, will be photocopied and retained in the applicant file. In cases where documents are viewed which cannot be photocopied, staff viewing the document(s) will complete a Certification of Document Viewed or Person Contacted Form.

DHA will accept the following documents from the family provided that the document is such that tampering would be easily noted:

Printed wage stubs

Computer print-outs from the employer

Signed letters (provided that the information is confirmed by phone)

Other documents noted in this Chapter as acceptable verification

DHA will accept Faxed documents.

DHA will accept photo copies.

If third-party verification is received after documents have been accepted as provisional verification, and there is a discrepancy, DHA will utilize the third party verification.

### Self-Certification/Self-Declaration

When verification cannot be made by third-party verification or review of documents, families will be required to submit a self-certification.

Self-certification means a notarized statement that must be witnessed.

### B. RELEASE OF INFORMATION

The family will be required to sign specific authorization forms when information is needed that is not covered by the HUD Form 9886, Authorization for Release of Information.

Each member requested to consent to the release of information will be provided with a copy of the appropriate forms for their review and signature.

It is a family obligation under tenancy to supply any information requested by the DHA or HUD.

Family refusal to cooperate with the HUD prescribed verification system will result in denial of admission or termination of tenancy.

C. COMPUTER MATCHING

Where allowed by HUD and/or other State or local agencies, computer matching will be done.

D. ITEMS TO BE VERIFIED

All income not specifically excluded by the regulations, including but not limited to:

Zero-income status of household.

Zero income applicants and residents will be required to complete a family expense form at each certification or recertification interview.

Full-time student status, including High School students, who are 18 or over.

Current assets including assets disposed of for less than fair market value in preceding two years.

Child care expense where it allows an adult family member to be employed or to further his/her education.

Total medical expenses of all family members in households whose head or spouse is elderly or disabled.

Disability assistance expenses to include only those costs associated with attendant care or auxiliary apparatus which allow an adult family member to be employed.

Legal Identity

U.S. citizenship/eligible immigrant status.

Social Security Numbers for all family members 2 years of age or older.

“Preference” status, based upon Local preferences.

Familial status when needed for head or spouse definition.

Disability for determination of preferences, allowances or deductions.

#### E. VERIFICATION OF INCOME

This section defines the typical methods DHA will use to verify various types of income.

##### Employment Income

Verification forms requesting the employer to specify the:

Dates of employment

Amount and frequency of pay

Date of the last pay increase

Likelihood of change of employment status and effective date of any known salary increase during the next 12 months

Year-to-date earnings

Estimated income from overtime, tips, bonus pay expected during next 12 months

Acceptable methods of verification include, in this order:

1. Employment verification form completed by the employer.
2. Check stubs or earning statements which indicate the employee's gross pay, frequency of pay or year-to-date earnings.
3. W-2 forms plus income tax return forms 1040 E or 1040EZ.
4. Income tax returns signed by the family may be used for verifying self-employment income, or income from tips and other gratuities.

In cases where there are questions about the validity of information provided by the family, DHA can/will require the most recent federal income tax statements.

Social Security, Pensions, Supplementary Security Income (SSI), Disability Income

Acceptable methods of verification include, in this order:

1. Benefit verification form completed by agency providing the benefits.
2. Award or benefit notification letters prepared and signed by the providing agency.

### Unemployment Compensation

Acceptable methods of verification include, in this order:

1. Verification form completed by the unemployment compensation agency.
2. Computer printouts from unemployment office stating payment dates and amounts.
3. Payment stubs.

### Welfare Payments or General Assistance

Acceptable methods of verification include, in this order:

1. DHA verification form completed by payment provider.
2. Written statement from payment provider indicating the amount of grant/payment, start date of payments, and anticipated changes in payment in the next 12 months.

### Alimony or Child Support Payments

Acceptable methods of verification include, in this order:

1. Copy of a separation or settlement agreement or a divorce decree stating amount, type of support and payment schedules.

2. A notarized letter from the person paying the support, stating amount, type of support, and payment schedule
  
3. Copy of latest check and/or payment stubs from Court Trustee. DHA must record the date, amount, and number of the check.
  
4. Family's notarized self-certification of amount received and of the likelihood of support payments being received in the future, or that support payments are not being received.
  
5. If payments are irregular, the family must provide:

A copy of the separation or settlement agreement, or a divorce decree stating the amount and type of support and payment schedules.

A statement from the agency responsible for enforcing payments to show that the family has filed for enforcement.

A notarized affidavit from the family indicating the amount(s) received.

A welfare notice of action showing amounts received by the welfare agency for child support.

A written statement from an attorney certifying that a collection or enforcement action has been filed

Net Income from a Business

In order to verify the net income from a business, DHA will view IRS and financial documents from prior years and use this information to anticipate the income for the next 12 months.

Acceptable methods of verification include:

1. IRS Form 1040, including:
  - Schedule C (Small Business)
  - Schedule E (Rental Property Income)
  - Schedule F (Farm Income)
  
2. If accelerated depreciation was used on the tax return or financial statement, an accountant's calculation of depreciation expense, computed using straight-line depreciation rules.
  
3. Audited or unaudited financial statement(s) of the business.
  
4. Family's self-certification as to net income realized from the business during previous years.

### Child Care Business

If an applicant/tenant is operating a licensed day care business, income will be verified as with any other business.

If the applicant/tenant is operating a "cash and carry" operation (licensed or not), DHA will require the applicant/tenant to complete a form for each customer giving: name of person(s) whose child(ren) is/are being cared for, phone number, number of hours child is being cared for, method of payment (check/cash), amount paid, and signature of person.



If the family has filed a tax return, the family will be required to provide it.

If child care services were terminated, a third-party verification will be sent to the parent whose child was cared for.

### Recurring Gifts

The family must furnish a self-certification which contains the following information:

The person who provides the gifts

The value of the gifts

The regularity (dates) of the gifts

The purpose of the gifts

### Zero Income Status

Families claiming to have no income will be required to execute verification forms to determine that forms of income such as unemployment benefits, TANF, SSI, etc. are not being received by the household.

DHA will request information from the State Labor Department.

DHA will request all adult members to execute a HUD Form 9886, Authorization for the release of spelling Information.

DHA may request information from the IRS.

### Full-Time Student Status

Only the first \$480 of the earned income of full time students 18 years of age or older, other than head or spouse, will be counted towards family income.

Financial aid, scholarships and grants received by full time students are not counted towards family income.

Verification of full time student status includes:

1. Written verification from the registrar's office or other school official.
2. School records indicating enrollment for sufficient number of credits to be considered a full-time student by the educational institution.

### F. INCOME FROM ASSETS

Acceptable methods of verification include, in this order:

#### Savings Account Interest Income and Dividends

Will be verified by:

1. Account statements, passbooks, certificates of deposit, or DHA verification forms completed by the financial institution.
2. Broker's statements showing value of stocks or bonds and the earnings credited the family. Earnings can be obtained from current newspaper quotations or oral broker's verification.
3. IRS Form 1099 from the financial institution, provided that the DHA must adjust the information to project earnings expected for the next 12 months.

#### Interest Income from Mortgages or Similar Arrangements

1. A letter from an accountant, attorney, real estate broker, the buyer, or a financial institution stating interest due for next 12 months. (A copy of the check paid by the buyer to the family is not sufficient unless a breakdown of interest and principal is shown.)
2. Amortization schedule showing interest for the 12 months following the effective date of the certification or recertification.

#### Net Rental Income from Property Owned by Family

1. IRS Form 1040 with Schedule E (Rental Income).
2. Copies of latest rent receipts, leases, or other documentation of rent amounts.
3. Documentation of allowable operating expenses of the property: tax statements, insurance invoices, bills for reasonable maintenance and utilities, and bank statements or amortization schedules showing monthly interest expense.

4. Lessee's written statement verifying rent payments to the family and family's self-certification as to net income realized.

## G. VERIFICATION OF ASSETS

### Family Assets

The DHA will require the necessary information to determine the current cash value, (the net amount the family would receive if the asset were converted to cash) as follows:

1. Verification forms, letters, or documents from a financial institution or broker.
2. Passbooks, checking account statements, certificates of deposit, bonds, or financial statements completed by a financial institution or broker.
3. Quotes from a stock broker or real estate agent as to the net amount the family would receive if they liquidated securities or real estate.
4. Real estate tax statements if the approximate current market value can be deduced from assessment.
5. Financial statements for business assets.
6. Copies of closing documents showing the selling price and the distribution of the sales proceeds.
7. Appraisals of personal property held as an investment.

Assets Disposed of for Less than Fair Market Value (FMV) during two years preceding effective date of certification or recertification

1. For all Certifications and Recertifications, DHA will obtain the Family's certification as to whether any member has disposed of assets for less than fair market value during the two years preceding the effective date of the certification or recertification.
2. If the family certifies that they have disposed of assets for less than fair market value (FMV), verification [or certification] is required that shows: (a) all assets disposed of for less than FMV, (b) the date they were disposed of, (c) the amount the family received, and (d) the market value of the assets at the time of disposition. Third party verification will be obtained wherever possible.

H. VERIFICATION OF ALLOWABLE DEDUCTIONS FROM INCOME

Child Care Expenses

1. Written notarized verification from the person who receives the payments is required. If the child care provider is an individual, he/she must provide a statement of the amount and frequency of payment they are charging the family for their services.
2. Verifications must specify the child care provider's name, address, telephone number, Social Security Number, the names of the children cared for, the number of hours the child care occurs, the rate of pay, and the typical yearly amount paid, including school and vacation periods.
3. Family's certification as to whether any of those payments have been or will be paid or reimbursed by outside sources.

Medical and Handicapped Assistance Expenses

Families who claim medical expenses or expenses to assist a person(s) with disability will be required to submit a certification as to whether or not any expense payments have been, or will be, reimbursed by an outside source. All expense claims will be verified by one or more of the methods listed below:

1. Written verification by a doctor, hospital or clinic personnel, dentist, pharmacist, of (a) the anticipated medical costs to be incurred by the family and regular payments due on medical bills; and (b) extent to which those expenses will be reimbursed by insurance or a government agency.
2. Written confirmation by the insurance company or employer of health insurance premiums to be paid by the family.
3. Written confirmation from the Social Security Administration of Medicare premiums to be paid by the family over the next 12 months. A computer printout will be accepted.
4. For attendant care:
  - a. A reliable and knowledgeable professional's certification that the assistance of an attendant is necessary as a medical expense and a projection of the number of hours the care is needed for calculation purposes.
  - b. Attendant's written confirmation of hours of care provided and amount and frequency of payments received from the family or agency (or copies of canceled checks the family used to make those payments) or stubs from the agency providing the services.
5. Receipts, canceled checks, or pay stubs that verify medical costs and insurance expenses likely to be incurred in the next 12 months.

6. Copies of payment agreements or most recent invoice that verify payments made on outstanding medical bills that will continue over all or part of the next 12 months.
  
7. Receipts or other record of medical expenses incurred during the past 12 months that can be used to anticipate future medical expenses. DHA may use this approach for “general medical expenses” such as non-prescription drugs and regular visits to doctors or dentists, but not for one-time, nonrecurring expenses from the previous year.
  
8. DHA will use mileage at the IRS rate, or cab, bus fare, or other public transportation cost for verification of the cost of transportation directly related to medical treatment.

Assistance to Persons with Disabilities

1. In All Cases:

- (a) Written certification from a reliable and knowledgeable professional that the person with disabilities requires the services of an attendant and/or the use of auxiliary apparatus to permit him/her to be employed or to function sufficiently independently to enable another family member to be employed.
- (b) Family's certification as to whether they receive reimbursement for any of the expenses of disability assistance and the amount of any reimbursement received.

2. Attendant Care:

- (a) Attendant's written certification of amount received from the family, frequency of receipt, and hours of care provided.
- (b) Certification of family and attendant and/or copies of canceled checks family used to make payments.

3. Auxiliary Apparatus:

- (a) Receipts for purchases or proof of monthly payments and maintenance expenses for auxiliary apparatus.
- (b) In the case where the person with disabilities is employed, a statement from the employer that the auxiliary apparatus is necessary for employment.

I. VERIFYING NON-FINANCIAL FACTORS



### Verification of Legal Identity

In order to prevent program abuse, DHA will require applicants to furnish verification of legal identity for all family members.

The documents listed below will be considered acceptable verification of legal identity for adults. If a document submitted by a family is illegible or otherwise questionable, more than one of these documents may be required.

Certificate of Birth, naturalization papers  
Church issued baptismal certificate  
Current, valid Driver's license  
U.S. military discharge (DD 214)  
U.S. passport  
Voter's registration  
Company/agency Identification Card  
Department of Motor Vehicles Identification Card  
Hospital records  
Drivers License including the Social Security Number

Documents considered acceptable for the verification of legal identity for minors may be one or more of the following:

Certificate of Birth  
Adoption papers  
Custody agreement  
Health and Human Services ID

School records

Verification of Marital Status

Verification of divorce status will be a certified copy of the divorce decree, signed by a Court Officer.

Verification of a separation may be a copy of court-ordered maintenance or other records.

Verification of marriage status is a marriage certificate.

Familial Relationships

Certification will normally be considered sufficient verification of family relationships. In cases where reasonable doubt exists, the family may be asked to provide verification.

The following verifications will always be required if applicable:

Verification of relationship:

Official identification showing names

Birth Certificates

Baptismal certificates

Verification of guardianship is:

Court-ordered assignment

Affidavit of parent

Verification from social services agency

School records

Evidence of a stable family relationship:

Joint bank accounts or other shared financial transactions

Leases or other evidence of prior cohabitation

### Verification of Permanent Absence of Adult Member

If an adult member, who was formerly a member of the household, is reported permanently absent by the family, DHA will consider any of the following as verification:

1. Husband or wife institutes divorce action.
2. Husband or wife institutes legal separation.
3. Order of protection/restraining order obtained by one family member against another.
4. Proof of another home address, such as utility bills, canceled checks for rent, drivers license, or lease or rental agreement, if available.
5. Statements from other agencies such as social services or a written statement from the landlord or manager that the adult family member is no longer living at that location.
6. If the adult family member is incarcerated, a document from the Court or prison should be obtained stating how long they will be incarcerated.

### Verification of Change in Family Composition

DHA may verify changes in family composition (either reported or unreported).

### Verification of Disability

Verification of disability must be receipt of SSI or SSA disability payments under Section 223 of the Social Security Act or 102(7) of the Developmental Disabilities Assistance and Bill of Rights Act (42 U.S.C. 6001(7) or verified by appropriate diagnostician such as physician, psychiatrist, psychologist, therapist, rehabilitation specialist, or licensed social worker, using the HUD language as the verification format.

### Verification of Citizenship/Eligible Immigrant Status

To be eligible for assistance, individuals must be U.S. citizens or eligible immigrants. Individuals who are neither may elect not to contend their status. Eligible immigrants must fall into one of the categories specified by the regulations and must have their status verified by Immigration and Naturalization Service (INS). Each family member must declare their status once. Assistance cannot be delayed, denied, or terminated while verification of status is pending except that assistance to applicants may be delayed while DHA hearing is pending.

- (a) Citizens or Nationals of the United States are required to sign a declaration under penalty of perjury.
- (b) Eligible Immigrants who were tenants and 62 or over on June 19, 1995, are required to sign a declaration of eligible immigration status and provide proof of age.
- (c) Noncitizens with eligible immigration status must sign a declaration of status and verification consent form and provide their original immigration documents which are copied front and back and returned to the family. DHA verifies the status through the INS SAVE system. If this primary verification fails to verify status, DHA must request within ten (10) days that the INS conduct a manual search.
- (d) Family members who do not claim to be citizens or eligible immigrants must be listed on a statement of non-contending family members signed by the head of household or spouse.

(e) Noncitizen students on student visas are ineligible members even though they are in the country lawfully. They must provide their student visa but their status will not be verified and they do not sign a declaration but are listed on the statement of non-contending members.

Failure to Provide. If an applicant or tenant family member fails to sign required declarations and consent forms or provide documents as required, they must be listed as an ineligible member. If the entire family fails to provide and sign as required, the family may be denied or terminated for failure to provide required information.

Time of Verification. For applicants, verification of U.S. citizenship/eligible immigrant status occurs at the same time as verification of other factors of eligibility for final eligibility determination. For tenant families, it is done at the first regular recertification after June 19, 1995. For family members added after other members have been verified, the verification occurs at the first recertification after the new member moves in. Once verification has been completed for any covered program, it need not be repeated.

Extensions of Time to Provide Documents. DHA will grant an extension of thirty (30) days for families to submit evidence of eligible immigrant status.

Acceptable Documents of Eligible Immigration. The regulations stipulate that only the following documents are acceptable unless changes are published in the Federal Register.

Resident Alien Card (I-551)

Alien Registration Receipt Card (I-151)

Arrival-Departure Record (I-94)

Temporary Resident Card (I-688)

Employment Authorization Card (I-688B)

Receipt issued by the INS for issuance of replacement of any of the above documents that shows individual's entitlement has been verified

A birth certificate is not acceptable verification of status. All documents in connection with U.S. citizenship/eligible immigrant status must be kept five years.

DHA will verify the eligibility of a family member at any time such eligibility is in question, without regard to the position of the family on the waiting list.

### Verification of Social Security Numbers

Social security numbers must be provided as a condition of eligibility for all family members six and over if they have been issued a number. Verification of Social Security numbers will be done through a Social Security Card issued by the Social Security Administration.

If a family member cannot produce a Social Security Card, only the documents listed below showing his/her Social Security Number may be used for verification. The family is also required to certify in writing that the document(s) submitted in lieu of the Social Security Card information provided is/are complete and accurate:

A valid driver's license

Identification card issued by a Federal, State or local agency

Identification card issued by a medical insurance company or provider (including Medicare and Medicaid)

An identification card issued by an employer or trade union

An identification card issued by a medical insurance company

Earnings statements or payroll stubs

Bank Statements

IRS Form 1099

Benefit award letters from government agencies

Retirement benefit letter

Life insurance policies

Court records (real estate, tax notices, marriage, divorce, judgment or bankruptcy records)

Verification of benefits or SSN from Social Security Administration

New family members ages two and older will be required to produce their Social Security Card or provide the substitute documentation described above together with their certification that the substitute information provided is complete and accurate. This information is to be provided at the time the change in family composition is reported to DHA.

If an applicant or tenant is able to disclose the Social Security Number but cannot meet the documentation requirements, the applicant or tenant must sign a certification to that effect provided by DHA. The applicant/tenant or family member will have an additional sixty (60) days to provide proof of the Social Security Number. If they fail to provide this documentation, the family's tenancy will be terminated.

In the case of an individual at least 62 years of age, DHA may grant an extension for an additional sixty (60) days to a total of one hundred twenty (120) days. If, at the end of this time, the elderly individual has not provided documentation, the family's tenancy will be terminated.

If the family member states they have not been issued a number, the family member will be required to sign a certification to this effect or show proof of application.

#### Medical Need for Larger Unit

A written certification that a larger unit is necessary must be obtained from a reliable, knowledgeable medical professional in the field of the origin of the request.

#### J. VERIFICATION OF SUITABILITY FOR ADMISSION

Applicants who have a history, due to a lack of willingness to meet financial obligations of previous tenancies will be denied admission.



Applicants who show a history of disturbance of neighbors or the inability to abide by house rules will be denied admission.

Applicants who have a history of drug related or criminal activity within the past ten (10) years will be denied admission.

Applicants who have a record of eviction from housing or involuntary termination from residential programs, taking into account date and circumstances, will be denied admission.

Applicants must be able to demonstrate the ability and willingness to comply with the terms of the DHA's lease, either alone or with assistance which they can demonstrate that they have or will have at the time of admission.

Applicants who have a history of damage or destruction of property, or unsanitary housekeeping habits at present or prior residences that may adversely affect the health safety, or welfare of other tenants or neighbors will be denied admission.

1. Ability to meet financial obligations under the lease

All applicants will be subject to the following procedures to ensure their ability to meet financial obligations under the lease:

All applicants will be interviewed and asked questions about the basic elements of tenancy.

DHA will conduct a detailed interview of all applicants during which an evaluation will be made between income and expenditures

DHA may access a Credit Report on head of household prior to selection.

DHA will determine if applicants owe any monies to previous tenancy or from participation in any HUD housing program.

2. Drug-Related or Violent Criminal Activity

DHA will complete a criminal background check of all adult members in the household.

3. Housekeeping Habits

DHA will obtain references from prior landlords for the previous three (3) years to determine acceptable housekeeping standards.

DHA may conduct a home visit prior to admission.

Applicants are required to attend pre-occupancy or leasing orientation classes as a condition of admission.

K. VERIFICATION OF WAITING LIST PREFERENCES

*Local Preferences:*

1. Residency Preference: For families who live, work or have been hired to work in the jurisdiction of DHA. Families who are unable to work due to age or disability automatically qualify for this preference.

In order to verify that an applicant is a resident, DHA will require any combination of the following documents: rent receipts, leases, utility bills, employer or agency records, school records, drivers licenses, voters registration records, credit reports, or statement from household with whom the family is residing.

For families who have been hired to work in the jurisdiction of DHA, a statement from the employer will be required.

2. Working Preference: This preference is available for families with at least one adult member who is employed. DHA will require a statement from the employer.
  
3. Educational/Training Tenants: This preference is available for families who are residents residing in DeKalb County and participating graduates of or tenants in educational or training programs designed to prepare the individual for the job market. DHA will require a statement from the agency or institution providing the education or training.
  
4. Involuntary Displacement
  - a. Family residing in Dekalb County who has been displaced due to either a disaster or government action: written verification by the displacing unit or agency of government, (or by a service agency such as the Red Cross) will be required.
  
  - b. Families who claim they are being or have been displaced due to domestic violence:

Written verification from police, social service agency, court, clergyperson, physician, and/or public or private facility giving shelter and/or counseling to victims will be required.

The family must certify that the abuser will not return to the household without the advance written approval of the DHA. Before giving approval, DHA will require verification of the following:

That the family members involved have been through a counseling program and the service provider believes that a reconciliation is likely.

Statement from social worker, psychologist, or other professional familiar with the abuser that he/she has received counseling/treatment and is unlikely to continue the abuse.

## Chapter 8

### TENANT SELECTION AND ASSIGNMENT PLAN

#### INTRODUCTION

It is DHA's policy that each applicant shall be assigned an appropriate place on the waiting list. Applicants will be listed in sequence based upon date and time the application is received, the size and type of unit they require, and factors of preference or priority. In filling an actual or expected vacancy, DHA will offer the dwelling unit to an applicant in the appropriate sequence until it is accepted. This Chapter describes DHA's policies with regard to the number of unit offers that will be made to applicants selected from the waiting list.

#### A. METHOD OF SELECTION

##### 1. Preference Selections

There will be Local Preference Admissions.

Every fiscal year, each HA must reserve a minimum of 40 percent (40%) of its public housing new admissions for families "whose incomes do not exceed 30 percent (30%) of the area median income.

## 2. Plan for Unit Offers

The plan for selection of applicants and assignment of dwelling units to assure equal opportunity and non-discrimination on grounds of race, color, sex, disability, religion, or national origin is:

Under this plan the first qualified applicant in sequence on the waiting list will be made an offer of a unit of the appropriate size.

If more than one unit of the appropriate type and size is available, the first unit to be offered will be the first unit that is ready for occupancy.

DHA will maintain a record of units offered, including location, date offered, circumstances of each offer and each acceptance or rejection, including the reason for the rejection.

Upon offer of a unit, the Applicant will have three (3) working days from the date of the letter sent to accept or reject the unit. If the Applicant refuses the unit offered, the application will be deadfiled and the Applicant will have to reapply. Failure to give an answer within the prescribed time period shall be counted as refusal of the offer and treated accordingly.

### 3. Acceptance of Unit

Applicants must accept a unit offer within three (3) working days of the date the offer is made. Offers made over the telephone will be documented in the applicant file. If unable to contact an applicant by telephone, DHA will send a letter via regular mail.

Upon acceptance of the offer, the Applicant will be assigned a deadline to move-in. Before the end of this period, the Applicant must complete all outstanding pre-occupancy requirements, such as a joint unit inspection, establishment of utility service, leasing interview and lease execution. Normally, this deadline will be within three (3) working days of acceptance of the offer, but may be extended a maximum of five (5) additional days at the discretion of DHA when necessary to alleviate hardship. Failure to complete move in requirements within the assigned period will result in withdrawal of the offer and the application will be deadfiled and the Applicant will have to reapply.

If the Applicant presents satisfactory evidence that acceptance of any offered unit will result in undue hardship not related to race, color, or national origin, the refusal shall not count as a refusal of the offered unit.

A Security Deposit is required from each Applicant upon move-in. The amount and method of payment is set by DHA and revised at DHA's discretion. Security Deposits are handled in accordance with the requirements of State Law, however, if an Applicant pays a Security Deposit on a unit and, later, does not move into the unit, the DHA shall charge the Applicant the thirty (30) day "Notice to Vacate" that is required of Residents.

A Pet Deposit is required from each Resident who has an approved pet, in accordance with the DHA's adopted Pet Policies. All residents are not permitted to have pets. Pet Deposits are handled in accordance with the PHA's Pet Policies.

#### 4. Applicants Unable to Take Occupancy

If an applicant is willing to accept the unit offered, but is unable to take occupancy at the time of the offer for "*good cause*," the applicant will not be removed from the waiting list.

Examples of "*good cause*" reasons for refusal to take occupancy of a housing unit include, but are not limited to:

- Applicant's inability to pay security deposit and first month's rent within the time limit for acceptance of unit.
- Inaccessibility to source of employment or children's day care such that an adult household member must quit a job, drop out of an educational institution or a job training program;
- Mid year school transfer.
- A qualified, knowledgeable, health professional verifies that temporary hospitalization or recovery from illness exists for the principal household member or other household members, or if a live-in aide is necessary to care for the principal household member;
- The unit is inappropriate for the applicant's disabilities.
- An elderly or disabled family makes the decision not to occupy or accept occupancy in designated housing.

#### 5. Applicants with a Change in Family Size or Status



Reporting changes in family composition, status, or income between the time of the interview and the offer of a unit are the responsibility of the applicant. DHA shall not lease a unit to a family whose occupancy will overcrowd or underutilized the unit.

## **Chapter 9**

### **LEASING**

#### **INTRODUCTION**

It is DHA's policy that all units must be occupied pursuant to a dwelling lease agreement that complies with HUD's regulations [24 CFR Part 966]. This Chapter describes pre-leasing activities and the DHA's policies pertaining to lease execution, security deposits, other charges, and additions to the lease.

#### *A. LEASE ORIENTATION*

Prior to execution of the lease a DHA representative(s) will provide a lease orientation to the family head and spouse, where applicable. The orientation may be conducted with more than one family.

The family must attend a lease orientation session before taking occupancy of the unit. Where the head of household and all family members 18 and up will listen to the lease on audio tape.

#### **Orientation Agenda**

When families attend the lease orientation, they will be provided with:

A copy of DHA's lease and grievance procedure

A copy of DHA's House Rules

Topics to be discussed will include, but are not limited to:

Applicable deposits and other charges;

Provisions of the Lease;

Orientation to the community;

Unit maintenance and work orders give emergency number for after hour maintenance emergencies list of what are considered emergencies;

Housekeeping Standards(s)

Explanation of occupancy forms;

Terms of occupancy

Resident Services provided services

#### B. EXECUTION OF LEASE

The lease shall be executed by the head of household and spouse and all other adult members of the household, and by an authorized representative of DHA, prior to admission.

The head of household is the person who assumes legal and financial responsibility for the household and is listed on the application as head.

An appointment will be scheduled for the parties to execute the lease. One executed copy of the lease will be given to the resident, and one will be retained by DHA in the resident's file. The lease is incorporated into this policy by reference. The lease document will reflect current DHA policies as well as applicable Federal, State and Local law.

The following provisions govern lease execution and amendments:

1. A lease is executed at the time of admission for all new residents.
2. A new lease or addendum is executed at the time of the transfer of a resident from one Housing Authority unit to another.

3. Lease signers must be persons legally eligible to execute contracts.
4. The names of all household members are listed on the lease at initial occupancy and on the Application for Continued Occupancy each subsequent year. Only those persons listed on the most recent certification shall be permitted to occupy a dwelling unit.
5. Changes to resident rents are made upon the preparation and execution of a "Notice of Rent Adjustment" by DHA, which becomes an attachment to the lease. Documentation will be included in the resident file to support proper notice.
6. Households which include a Live-In Attendant are required to execute a lease addendum authorizing the arrangement and describing the status of the attendant.

DHA may modify its form of lease from time to time, giving residents an opportunity to comment on proposed changes and advance notice of the implementation of any changes unless mandated for immediate implementation by HUD. A resident's refusal to accept permissible and reasonable lease modifications, or those modifications required by HUD is grounds for termination of tenancy.

C. ADDITIONS TO THE LEASE

Requests for the addition of a new member of the household must be approved by DHA, prior to the actual move-in by the proposed new member.

Following receipt of a family's request for approval, DHA will conduct a pre-admission screening of the proposed new member. Only new members approved by DHA will be added to the household.

1. Household additions subject to screening:

Resident plans to marry and wishes to add their prospective spouse;

Resident desires to add a new family member to the lease, employ a live-in aide; or take in a foster child(ren).

A family member previously on the lease who has been absent from the unit for more than ninety (90) days.

2. Factors determining household additions which are not subject to screening

Children born to a family member or whom a family member legally adopts are exempt from the pre-screening process.

3. Factors determining household additions which may be subject to screening, depending on DHA discretion:

Children below the age under which Juvenile Justice records are made available, who are added through a kinship care arrangement are not exempt from the pre-screening process.

DHA may request that the public housing resident provide HA with a signed consent form from the parent(s) or legal guardian allowing DHA to check the juvenile records of the child. Sources to be checked may include any of the following:

School Records (attendance/behavior)  
Juvenile Probation/Court Records  
Police Records

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## Medical Records

ACOP  
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4. In cases where the addition of a new member who has not been born, married, or legally adopted into the family, and the addition will affect the bedroom size required by the family, according to DHA occupancy standards, DHA will not approve the addition unless the addition is a reasonable accommodation for an elderly, handicapped, or disabled person.
  
5. DHA will not approve adding a family consisting of more than one member to the lease. Such applicants will be encouraged to apply to the waiting list.
  
6. Residents who fail to notify DHA of additions to the household, or who permit persons to join the household without undergoing screening, are in violation of the lease. Such persons are considered to be unauthorized occupants by DHA, and the entire household will be subject to eviction.
  
7. Family members age 18 years and over who move from the dwelling unit to establish new households shall be removed from the lease. The resident must notify DHA of the move-out within 14 days of its occurrence.

DHA, in readmitting an individual to the unit, will consider medical hardship or other extenuating circumstances.

8. The family must request DHA approval prior to visitors arriving who will be residing in the unit more than fourteen (14) consecutive days or thirty (30) total days in a twelve (12) month period.

Visitors who remain beyond this period shall constitute a breach of the lease.

9. Boarders and lodgers are not permitted to occupy a dwelling unit, nor are they permitted to move in with any family occupying a dwelling unit.

Residents are not permitted to allow a former resident of DHA who has been evicted, or for which eviction action was initiated, to occupy the unit for any period of time.

Residents must advise DHA when they will be absent from the unit for more than thirty (30) days and provide a means for DHA to contact the resident in the event of an emergency.

#### D. LEASING UNITS WITH ACCESSIBLE OR ADAPTABLE FEATURES

Before offering a vacant accessible unit to a non-disabled applicant, DHA will offer such units:

First, to a current occupant of another unit of the same development, or other public housing developments under DHA's control, who has a disability that requires the special features of the vacant unit.

Second, to an eligible qualified applicant on the waiting list having a disability that requires the special features of the vacant unit.

When offering an accessible/adaptable unit to a non-disabled applicant, DHA will require the applicant to agree to move to an available non-accessible unit within 30 days when either a current resident or an applicant needs the features of the unit and there is another unit available for the applicant. This requirement will be a provision of the lease agreement.



E. UTILITY SERVICES

Residents responsible for direct payment of utilities must abide by any and all regulations of the specific utility company, including regulations pertaining to advance payments of deposits.

Failure to maintain uninterrupted utility services during tenancy is a lease violation and grounds for eviction.

Non-payment of excess utility charge payments to DHA is a violation of the lease and is grounds for eviction.

F. SECURITY DEPOSITS

New residents must pay a security deposit to DHA at the time of admission. Residents with pets must pay a pet deposit.

The amount of the security and/or pet deposit required is specified in the lease.

DHA may permit installment payments of security deposits when a new resident demonstrates a financial hardship to the satisfaction of DHA. However, no less than 50% of the required deposit must be paid before occupancy.

The remainder of the deposit must be paid within 60 days of move-in. A minimum of 25% must be paid each month or thirty day period.

DHA will hold the security deposit for the period the resident occupies the unit.

DHA will refund to the Resident the amount of the security deposit, less any amount needed to pay the cost of:

Unpaid Rent;

Damages listed on the Move-Out Inspection Report that exceed normal wear and tear;

Other charges under the Lease.

DHA will refund the Security Deposit less any amounts owed, within 30 days after move out and resident's notification of new address.

DHA will refund the Security and/or Pet Deposit to the resident, less any damage caused by the pet to the dwelling unit, upon removal of the pet or the owner from the unit.

DHA will provide the resident or designee identified above with a written list of any charges against the security or pet deposits. If the resident disagrees with the amount charged to the security or pet deposits, DHA will provide a meeting to discuss the charges.

The resident must leave the dwelling unit in a clean and undamaged (beyond normal wear and tear) condition and must furnish a forwarding address to DHA . All keys to the unit must be returned to the Management upon vacating the unit.

DHA will not use the security deposit for payment of rent or other charges while the resident is living in the unit.

If the resident transfers to another unit, DHA will transfer the security deposit to the new unit. The resident will be billed for any maintenance or other charges for the unit they transfer from.

#### G. RENT PAYMENTS

The resident's rent is due and payable at DHA-designated locations on the 1st of every month. No later than the 5<sup>th</sup> business day. Rent left in the drop box after the close of business on the 5<sup>th</sup> business day will be accepted, but will be considered late and a \$10 late fee will be applied.

If DHA does not receive payment by the agreed-upon date, the resident's file will be forwarded to the Eviction Review Board for review.

#### *H. FEES AND NONPAYMENT PENALTIES*

Any check which is given for payment and which is returned for insufficient funds shall be returned to the resident and shall be considered delinquent rent and the late fee of \$10 for delinquent rent shall apply. A charge as established periodically by the Authority will be assessed against the resident for checks which are returned for non-sufficient funds (NSF), or checks written on a closed account. Late fees and return check fees shall be considered as additional rent due and payable under the lease. Returned checks must be redeemed by cashier's check or money order within three (3) days of Notice of returned check. Management will reserve the right to request all future rental payment to be made by cashier's check or money order.

I. SCHEDULES OF SPECIAL CHARGES

Schedules of special charges for services, repairs, and utilities and rules and regulations which are required to be incorporated into the lease by reference shall be publicly posted in a conspicuous manner in the project office, and they will be provided to applicants and residents upon request.

J. MODIFICATIONS TO THE LEASE

Schedules of special charges and rules and regulations are subject to modification or revision. Residents will be provided at least thirty (30) days written notice of the reason(s) for any proposed modifications or revisions, and they will be given an opportunity to present written comments. Comments will be taken into consideration before any proposed modifications or revisions become effective.

A copy of such notice shall be posted in the central office, and:

Hand delivered to the dwelling unit

Posted in conspicuous places by the modifications or revisions are located.

Any modifications of the lease must be accomplished by a written addendum to the lease.

K. CANCELLATION OF THE LEASE

Cancellation of the resident's lease is to be in accordance with the provisions contained in the lease agreement and as stated in this policy.

## L. INSPECTIONS OF PUBLIC HOUSING UNITS

### 1. Initial Inspections

DHA and the family will inspect the premises prior to occupancy of the unit in order to determine the condition of the unit and equipment in the unit. A copy of the initial inspection, signed by DHA and the resident, will be kept in the resident file.

Only the head of household may sign the inspection form.

### 2. Vacate Inspections

DHA will perform a move-out inspection when the family vacates the unit, and will encourage the family to participate in the move-out inspection.

The purpose of this inspection is to determine necessary maintenance and whether there are damages that exceed normal wear and tear. DHA will determine if there are resident caused damages to the unit. Resident caused damages may affect return of part or all of the family's security deposit.

The move-out inspection also assists DHA in determining the time and extent of the preparation and repairs necessary to make the unit ready for the next resident.

The resident is encouraged to participate in the move-out inspection. Failure to participate by the resident waives all rights to appeal any damages listed on the move-out inspection form.

### 3. Annual Inspections

DHA will inspect all units annually using HUD's Housing Quality Standards (HQS) as a guideline (or similar standards adopted by HUD).

### 4. Preventive Maintenance Inspections

Preventive maintenance inspections will be performed by DHA on a regular basis depending on funding for the inspections being included in the appropriate budget(s).

### 5. Management Inspections

The Property Manager may conduct periodic inspections to determine the condition of the unit and to identify problems or issues in which DHA can be of service to the family.

### 6. Housekeeping Inspections

The Property Manager may conduct periodic housekeeping inspections to determine the condition of the unit and to identify problems or issues in which DHA can be of service to the family.

## 7. Special Inspections

HUD representatives or local government officials may review DHA operations periodically and as a part of their monitoring may inspect a sampling of DHA's inventory.

## 8. Emergency Inspections

If any employee and/or agent of DHA has reason to believe that an emergency exists in the unit, an emergency inspection will be done without notification to the family. The person(s) who enters the unit will leave a written notice to the resident explaining the reason the unit was entered and the date and time.

The following items are to be considered emergency in nature and require correction within 24 hours by either DHA or the resident:

- a. broken lock on entry door
- b. broken window with shattered glass
- c. escaping gas
- d. plumbing leaks which have the capacity to create flooding or cause damage
- e. natural gas leaks or the smell of fumes
- f. electrical situation which could result in shock or fire
- g. refrigerator, toilet or smoke alarm inoperative

9. Entry of Premises Notices

DHA will not give prior written notice for emergency inspections.

Non-emergency entries to the unit will be made during reasonable hours of the day.

DHA will give the family at least 48 hours written notice before entering the unit for reasons other than the annual inspection. Reasons DHA will enter the unit are:

Inspections and maintenance

To make improvements and repairs (unless the repairs are requested by the resident through a work order)

To show the premises for leasing

In cases of emergency

Where DHA is conducting regular annual examinations of its housing units, the family will receive adequate notice of the inspection to allow the family to prepare for the inspection.

A written notice specifying the purpose for non-emergency entry into the unit will be delivered to the premises at least 2 days before entry.

10. Family Responsibility to Allow Inspection

DHA must be allowed to inspect the unit at reasonable times with reasonable notice. Forty eight (48) hour written notice will be considered reasonable in all cases.

The resident is notified of the inspection appointment by mail. The family must call DHA at least twenty four (24) hours before the inspection date to reschedule the inspection, if necessary.



DHA will reschedule the inspection no more than twice unless the resident has a verifiable medical reason which has hindered the inspection. DHA may request verification.

If the resident refuses to allow the inspection, the resident will be in violation of the lease and DHA will begin lease termination procedures giving the resident thirty (30) days notice to vacate the premises.

## Chapter 10

### PET POLICY AND PROCEDURES FOR PUBLIC HOUSING RESIDENTS

DHA Public Housing residents, who desire to keep a pet (as later defined in this Pet Policy) in his or her apartment, may only do so in strict accordance with the procedures set forth in this Pet Policy. All other Public Housing residents are prohibited from keeping pets in their apartments, in their yards, around their apartment or in or around any DHA property.

#### I. APPLICABILITY:

This policy (“Policy”) is applicable for all DHA Public housing communities that have been approved for common household pets, including those which have units designated as elderly, disabled and family communities. The provisions set forth in this policy, as it relates to restrictions to the number of pets, is not applicable to existing residents that are in compliance with the previous Pet Policy.

#### II. DEFINITION OF COMMON HOUSEHOLD PET:

“Common household pet” or “pet” means a DHA recognized domesticated animal which, for the purpose of this Policy, shall be confined to a dog, cat, bird or fish that is kept in the home for pleasure. The pet can not be used for any commercial purposes, and each pet must otherwise meet the requirement of this Policy.

*Notwithstanding the foregoing, the term “Common household pets” or “pets” exclude:*

- A. Birds of prey with an overly aggressive nature.
- B. Any cat with an overly aggressive nature or any cat with a known or suspected propensity, tendency or disposition to unprovoked attacks, or any cat, which actually attacks, or threatens to attack, a resident, visitor, guests or DHA staff member.

C. Any dog owned or harbored primarily or in part for the purpose of dog fighting, or any dog trained or training for dog fighting; or

D. Any vicious and/or intimidating dogs. The terms “vicious” or “intimidating dog shall mean:

1. Any dog with a known or suspected propensity, tendency or disposition to unprovoked attacks that cause injury or any dog that otherwise endanger the safety of human beings or domestic animals.

**PET POLICY AND PROCEDURES**

**FOR DDHA RESIDENTS**

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2. Any dog which approaches any person in an apparent attitude of attack upon the streets, sidewalk or any community grounds or places.
3. Any dog which, when unprovoked, in a vicious or terrorizing manner approaches any person in an apparent attitude of attack upon the streets, sidewalks or any public grounds or places;
4. Any dog which bites, inflicts injury, assaults or otherwise attacks a human being or domestic animal with or without provocation on public or private property, including but not limited to, a bite which occurs inside of the resident's apartment.
5. Any dog which actually or may reasonably endanger or threaten to endanger the safety of any resident, staff member, visitor or guest of or to a DHA community.

**III. APPLICATION FOR PET PERMIT:**

- A. Prior to housing any pet in a DHA apartment, in a resident's yard, or on or about any DHA community, the resident shall apply to the management office of the community where the resident lives for a Pet Permit. The application must be accompanied by:
1. A current animal or pet license or tag issued by the appropriate authority;
  2. Evidence that the pet has been spayed or neutered as applicable: and
  3. Current shot record from the resident's veterinarian or the DeKalb County Humane Society or other applicable Humane Society evidencing that the pet has received current rabies and distemper inoculations or boosters, as applicable.
  4. Such other evidence or documents as DHA may require to ensure that the keeping of the proposed pet is in accordance with applicable state and local public health, animal control, and animal anti-cruelty laws and regulations.
  5. Such other evidence or documents as DHA may require to ensure that the keeping of the proposed pet is in accordance with DHA's Pet Policy and Procedures for DHA residents.

**PET POLICY AND PROCEDURES**

**FOR DHA RESIDENTS**

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6. Each pet application shall be submitted with a non-refundable pet application fee of twenty-five dollars (\$25.00). The fee will be used to offset processing the pet application, a photo, or otherwise distinct identification of the pet and the additional administrative requirements undertaken by DHA to administer this pet policy. Upon receipt of the twenty-five (\$25.00) application fee, a member of the management staff will take a photo of the pet along with the pet's owner and place the photo or otherwise distinct identification in the resident's file.
- 
- B. The Resident shall indicate the tag with an identifying number by the Humane Society in DeKalb County or other applicable county Humane Society, or by a licensed veterinarian. Such tag is generally provided after a pet has received the appropriate inoculations. The pet's tag number along with the shot inoculation record shall be recorded at the management office and, if all other requests and conditions have been responsible for securing the identifying tag to the pet in such a way that the pet wears the tag at all times.
  - C. Any pet found outside of the dwelling unit unattended by the pet's owner or keeper will be considered a stray animal and Decatur Animal Control will be contacted to remove the pet from the property.
  - D. The Pet Permit shall be completed as prescribed by the form and signed by both the Resident and the Property Manager.

#### **IV. PET DEPOSIT:**

##### **A. Pet Deposit Requirements:**

1. Any resident making application to register a pet with DHA must either pay the required pet deposit in full fifteen (15) days prior to bringing the pet onto DHA property or fifty percent (50%) of the required deposit fifteen days (15) days prior to bringing the pet onto DHA property and the balance to be paid over a period not to exceed six (6) months.

##### **B. A Pet deposit shall be required in the amount indicated below:**

1. \$300.00 for each cat or dog;

This fee is collected in the event it is necessary to clean/replace carpets and/or floors, fumigating apartment and common areas, replace tile, paint,

repair window treatments, walls or doors, or other such repairs or replacements which are attributable to the pet being in the unit. The amounts for each of these charges are contained on DHA Authority Wide Maintenance/Resident Charges Listing.

## **PET POLICY AND PROCEDURES**

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2. \$150.00 for all birds in the same cage;

This fee is collected in the event it is necessary to clean/replace carpet, fumigating apartment, paint, repair window treatments, walls and doors, or other such repairs or replacements which are attributable to the pet being in the unit. The amounts for each of these charges are contained on DHA Authority Wide Maintenance/Resident Charges Listing.

3. \$150.00 for all fish in a single aquarium over five (5) gallon capacity;

This fee is collected in the event it is necessary to clean/replace carpet, paint, repair water damage if the aquarium should fall or break, or other such repairs or replacements which are attributable to the pet being in the unit. The amounts of these charges are contained on the DHA Authority wide Maintenance/Resident Charges Listing.

- C. DHA shall refund the unused portion of the pet deposit plus any accrued interest, if required, after subtracting any damages to the apartment, to the resident within a reasonable time after the resident moves from the community or a reasonable time after the resident no longer owns or keeps a pet in the apartment.
- D. Current pet owners who: (i) already reside in DHA property; (ii) have a current Pet Permit; and (iii) are in lease compliance must submit the appropriate pet deposit and fee within twelve (12) months from the date of notification by the property management office. Resident must submit twenty-five percent (25%) of the appropriate pet deposit within sixty (60) days of the date the resident received notification of the pet deposit with the balance due in equal payments over the next ten (10) months.
- E. DHA shall amend the Pet Policy in accordance with HUD regulations periodically. If an increase in the amount of the Pet Deposit is warranted, residents shall be notified, by letter, as to the amount and the effective date of the increase. The increase amount may be paid in full or in two monthly installments, depending upon the amount and as stipulated by the Authority.

- F. When the pet is no longer in or about DHA property, or upon termination of Resident's lease, DHA will use the pet deposit to pay reasonable expenses directly attributable to the presence of the pet in the community, including, but not limited to the cost of repairs and replacement to, and fumigation of, the resident's apartment or DHA common areas or grounds, and the cost of removing or disposing of the pet pursuant to this Policy.

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## **V. RULES AND REGULATIONS:**

### **A. Density of Pets and Pet Limitations:**

The following rules shall govern the number and size of pets in each apartment:

1. No resident shall own or keep more than one four-legged, warm-blooded pet, or more than one aquarium, or more than one bird cage in the apartment unit.
2. An aquarium can be no larger than thirty (30) gallons and must be watertight.
3. A birdcage can be no larger than 18 inches by 18 inches by 30 inches (18" x 18" x 30").

For the purpose of this Policy, fish in a single approved aquarium constitute one (1) pet. Also no more than two (2) birds in a single cage constitute one (1) pet.

### **B. Applicability of Rules and Regulations to Service Animals:**

There shall be no charge for the registration of service animals while used as such by any person, provided: (i) that an affidavit or statement from a duly licensed and recognized physician certifying, in writing, that the service animal is required by the disabled person; (ii) that such service animal shall be vaccinated in compliance with the applicable local Animal Control Ordinance; (iii) that such animal is not “vicious”, “intimidating”, or “threatening” as is set forth under section II of this Policy. All such “service animals”, although, not technically a “pet” shall adhere to all of the Rules and Regulations set forth in this Policy with exception of the rule regarding weight limitations of the “pet”.

C. Rules and Regulations Related to all Pets:

1. The only permitted pets in and about DHA communities are DHA recognized domesticated dogs, cats, birds who are kept in approved bird cages, and fish who are kept in approved aquariums.
  
2. Residents shall not allow their pet to disturb, interfere or diminish the peaceful enjoyment of other residents. The term “disturb, interfere and diminish” shall include but not be limited to barking, howling, chirping, biting, scratching and other like activities. Moreover, residents shall not  
  
permit their pets to become a nuisance. Any dog is declared a public nuisance, if such dog:

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- a) Seriously disturbs a person or neighborhood by loud, frequent barking or howling. Generally, no management action will be taken against any person unless the complainant executes an affidavit indicating that he or she will testify in municipal court concerning such disturbance when notified of the date and time the matter is set for trial or if the Police initiate action, including issuing a ticket or carrying them to court under local laws.
  
- b) Threatens or causes a condition which endangers public health.



3. If the pet(s) has been left unattended for a period of twenty-four (24) hours or more, DHA shall contact the responsible party or parties listed on the pet application required in paragraph III, A and require that said party or parties remove the pet. If the responsible party or parties are unwilling or unable to remove the pet(s), or the Authority, despite reasonable efforts, DHA shall contact the appropriate State or Local authority (or designated agent of such and authority) and request the removal of the pet(s). DHA may permit entrance to the dwelling unit in order for the pet(s) to be removed by the proper authorities.
4. Resident shall not alter their apartment, patio or apartment area in order to accommodate a pet or to create an enclosure for a pet without the expressed written permission of DHA.
5. Residents are responsible for all damages caused by their pets, including the cost of cleaning or replacement of carpets, floors, doors, cabinets, window coverings and/or the fumigation of units.
6. Residents are prohibited from feeding or harboring stray animals. The feeding of stray animals shall constitute having a pet without the written permission of DHA and may subject the resident to lease termination.
7. Should a resident's pet give birth to a litter or offspring, the resident shall remove all animals except one. Should the resident choose to keep one of the offspring, the resident must show a current license and shot record for the newly retained pet.
8. Residents are not permitted to keep a pet not owned by the resident temporarily or allow a pet visitor.

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**FOR DHA RESIDENTS**

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9. Each resident who has an approved pet must identify an alternate custodian of the pet in the event of resident illness or other significant absence from the dwelling unit. The identification of an alternate custodian must occur prior to DHA's issuance of a Pet Permit.
10. Pets must not be allowed to go onto the property of others and shall not constitute a nuisance to the pet owner's neighbors or neighbors to DHA communities.
11. Pets must not hinder, interfere with, threaten or harass DHA staff members, agents, contractors or service providers in the performance of their duties including but not limited to: (i) routine, non-routine and emergency maintenance in and about resident's unit; (ii) energy upgrade; (iii) building improvements; and (iv) routine and non-routine pest extermination or control activities. In no event may resident refuse to allow DHA to perform such services due to the presence of a pet.

D. Rules and Regulations Related to Dogs and Cats:

1. The weight of a dog or cat shall not exceed 30 pounds (adult size). Service animal dogs, which are actually used as a service animal, pursuant to Section VI (B), are exempt from this rule.
2. All dogs and cats must be spayed or neutered, as applicable.
3. Any dog that bites, attacks or endangers the safety of humans without provocation is classified as a potentially dangerous dog. The owner of such a dog is subject to revocation of his/her permit, a fine, and/or legal action. A dog is **not** considered potentially dangerous or dangerous if it bites a human:
  - a) When its owner is being attacked;
  - b) Who is a willful trespasser on the property of the owner or who is committing another tort or crime; or
  - c) Who has tormented or abused the dog and such torment or abuse is documented.

4. Dogs and cats shall remain inside the resident's unit. No animals shall be permitted to be loose in hallways, lobby areas, Laundromats, community rooms, yards or other common areas of the development.

**PET POLICY AND PROCEDURES**

**FOR DHA RESIDENTS**

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5. Residents may not allow dogs to go outside unless such dog is securely leashed with a leash no longer than four to six feet in length. It shall be the absolute responsibility of every owner, possessor or person who keeps any dog to prevent it from running at large upon sidewalks, vacant lots, or anywhere in the community. A dog shall be deemed to be running at large

when off or away from the premises of the owner or keeper, or under the actual physical control by means of a leash, cord or chain. Dogs may not be leashed to inanimate objects such as trees, posts, buildings, cars, etc.

6. Dogs and cats, and as applicable, birds, must be licensed yearly by a licensed veterinarian or the DeKalb County Humane Society or other county Humane Society. Residents must show proof of annual rabies and distemper booster inoculations.
7. Residents must provide litter boxes for cat waste, which must be kept in the dwelling unit. Residents shall neither allow refuse from litter boxes to accumulate nor to become unsightly or unsanitary.
8. Residents are solely responsible for cleaning up pet droppings, if any, inside and outside the apartment and/or on the development grounds. Droppings must be picked up immediately and must be disposed of by being placed in a bag and then placed in a dumpster/container outside the development building. Residents in violation will be subject to a maintenance fee charge of twenty-five (\$25.00) for each offense. Multiple violations may also subject the resident to a revocation of the pet permit and/or lease termination.

9. Residents **may not** dispose of pet waste by any other ways other than those listed in this Policy.

E. Pet Permit Renewal:

The Pet Permit shall be renewed at the annual recertification for each resident that keeps a pet in his or her dwelling unit. Copies of the current pet inoculations shall be required during recertification. DHA Management will bring expired pet permits to the attention of the pet owners by written notice allowing the pet owner a grace of fifteen days to provide the management office with a current certificate of appropriate inoculations. Residents pet owners who do not have a current Pet Permit after being extended a fifteen (15) day grace period are in violation of their dwelling lease and must remove the pet immediately or be subject to lease termination.

**PET POLICY AND PROCEDURES**

**FOR DHA RESIDENTS**

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F. Annual Pet Inspections:

At least once each year, each apartment which has a pet will be subject to an inspection by DHA to determine what damage, if any, has occurred in the apartment as a result of the pet or pet's presence in the apartment. Should DHA determine that damage to the apartment has occurred, DHA shall provide a listing of damages and charge the resident for the repair of damages. The resident shall pay such charges when due, generally the first day of the second month after notification of the charges. Should the resident fail or refuse to pay the charges when due, such failure or refusal shall be grounds for revocation of the pet permit and for lease termination.

**VI. REVOCAION OF PET PERMIT:**

The privilege of maintaining a pet in a DHA apartment shall be subject to the rules set forth above. This privilege shall be revoked at any time, subject to DHA's Grievance Procedures, if the animal becomes destructive, creates a nuisance, represents a threat to the health, safety and/or security of other residents or causing damage to the apartment, grounds or any DHA property.

**VII. AMENDMENTS TO THE PET POLICY:**

DHA reserves the right to amend this pet policy as it deems necessary to protect and enhance the DHA communities.

**Chapter 11**

**RECERTIFICATIONS**

**INTRODUCTION**

HUD requires that DHA recertify all families at least annually. At the annual recertification families must report their current household composition, income, deductions and allowances. Between regular annual recertifications, HUD requires that families report all changes in household composition. This Chapter defines DHA's policy for conducting annual recertifications. It also explains the interim reporting requirements for families, and the standards for timely reporting.

**A. ELIGIBILITY FOR CONTINUED OCCUPANCY**

Resident who meet the following criteria will be eligible for continued occupancy:

1. Qualify as a family as defined in this policy;
2. Are in full compliance with the obligations and responsibilities described in the dwelling lease;
3. Whose family members, age 2 years and older, each have submitted their social security number or have certifications on file that they do not have a social security number;

### Eligibility for Continued Assistance

Families will be eligible for continued assistance who are citizens or have eligible immigration status. Every member of a family must either provide evidence of citizenship or eligible immigration status.

### B. ANNUAL RECERTIFICATIONS

The terms *annual recertification* and *annual reexamination* are synonymous within this policy.

In order to be recertified, families are required to provide current and accurate information on income, assets, allowances and deductions, and family composition.

For families who move in on the first of the month, the annual recertifications will be completed within twelve (12) months of the anniversary of the move-in date. [Example: If family moves in August 1, the annual recertification will be conducted to be effective by or before August 1, the following year].

For families who move in during the month , the annual recertifications will be completed by or before the first of the month in which the family moved in, the following year. [Example: If family moves in August 15<sup>th</sup>, the effective date of the next annual recertification will be by or before August of the following year.].

At the first annual recertification on or after June 19, 1995, family members must report and verify their U.S. citizenship/eligible immigrant status.

When families move to another dwelling unit:

Depending on location of unit moving to, per recertification schedule.

An interim recertification will be conducted.

The annual recertification date may not change.

1. Reexamination Notice to the Family

All families will be notified of their obligation to recertify by first class mail. The notification shall be sent at least 90 days in advance of the anniversary date. If requested as an accommodation by a person with a disability, DHA will provide the notice in an accessible format. DHA will also mail the notice to a third party, if requested as reasonable accommodation for a person with disabilities. These accommodations will be granted upon verification that they meet the need presented by the disability.

- a. Methodology DHA's method for conducting annual recertifications will be:

To schedule the specific date and time of appointments in the written notification to the family.

- b. Persons with Disabilities, who are unable to come to DHA's office will be granted an accommodation of conducting the interview at the person's home or by mail, upon verification that the accommodation requested meets the need presented by the disability.

2. Collection of Information

The family is required to complete the annual recertification form prior to the scheduled interview.

The family is required to complete a *Personal Declaration* form during the interview process or bring it completed to the interview.

3. Requirements to Attend

The following family members will be required to attend the recertification interview and sign the application for continued occupancy:

All adult household members (18 years and older)

If the head of household is unable to attend the interview:

The appointment will be rescheduled.

4. Failure to Respond to Notification to Recertify

The written notification will explain which family members are required to attend the recertification interview. The family may call to request another appointment date up to five (5) days prior to the interview.

If the family does not appear for the recertification interview and has not rescheduled or made prior arrangements with DHA, DHA will schedule a second appointment.

If the family fails to appear for the second appointment and has not rescheduled or made prior arrangements, DHA will:

Place resident on temporary rent and begin the termination process.

Exceptions to these policies may be made by the Property Manager if the family is able to document an emergency situation that prevented them from canceling or attending the appointment.

5. Documents Required from the Family

In the notification letter to the family, DHA will include instructions for the family to bring the following:

Documentation of income for all family members  
Documentation of liquid and non-liquid assets  
Documentation to substantiate any deductions or allowances  
Personal Declaration Form completed by head of household  
Other – All adult members of the household

6. Verification of Information



All information which affects the family's continued eligibility for the program, and the family's Total Tenant Payment (TTP) will be verified in accordance with the verification procedures and guidelines described in the Policy. Verifications used for recertification must be less than 120 days old. All verifications will be placed in the file which has been established for the family.

When the information has been verified, it will be analyzed to determine:

The continued eligibility of the resident as a *family* or as the remaining member of a family;

The unit size;

The amount of rent the family should pay (in excess of \$50.00 minimum rent);

#### 7. Changes In the Tenant Rent

If there is any change in rent, the lease will be amended, or a new lease will be executed, or a Notice of Rent Adjustment will be issued.

##### a. Tenant Rent Increase

If tenant rent increases a notice of increase notice will be mailed to the family thirty (30) days prior to the effective date of recertification.

If less than thirty (30) days are remaining before the anniversary date, the tenant rent increase will be effective on the first of the month following the thirty (30) day notice.

If there has been a misrepresentation or a material omission by the family or if the family causes a delay in the reexamination processing, there will be a retroactive increase in rent to the scheduled effective date.

##### b. Tenant Rent Decreases:

If tenant rent decreases, it will be effective on the scheduled effective date.

If the family causes a delay so that the processing of the reexamination is not complete by the scheduled effective date, rent change will be effective on the first day of the month following completion of the reexamination processing by DHA.

#### 8. Temporary Rents

If at the time of regular reexamination it is not possible to make an estimate of annual income for the ensuing twelve (12) month period with any reasonable degree of accuracy due to conditions such as:

- a. Resident is unemployed and there are no anticipated prospects of employment or other types of income.
- b. The condition of employment or their sources of income are so unstable as to invalidate usual and normal standards for determination, a special reexamination will be scheduled within the next 30, 60, 90, or 120 days, depending upon DHA's estimate of the time required for the family's circumstances to stabilize. If at the time of such special reexamination it is still not possible to make a reasonable estimate of annual income, a special reexamination will continue to be scheduled until such time as a reasonable estimate of annual income can be made. Rent is to be effective the first of the month following completion of the special reexamination.
- c. If it is impossible to determine a rent from the information available or if Resident refuses or fails to provide information for that purpose, then the rent shall be established at sixty percent (60%) of the Section 8 Fair Market Rent in effect for that bedroom size or at the previous rent, which-ever is greater. The Resident will be notified by a "Notice of Temporary Rent" of the amount of rent to be paid pending verification and calculation of rent.

### C. REPORTING INTERIM CHANGES

Families must report all changes in household composition to DHA between annual reexaminations. This includes additions due to birth, adoption and court-awarded custody. The family must obtain DHA approval prior to all other additions to the household.

The U.S. citizenship/eligible immigrant status of additional family members must be declared and verified as required at the first interim or regular recertification after moving into the unit.

#### Interim Reexamination Policy

##### 1. Increase in Income to be Reported:

Families must report all increase in income/assets of all household members to DHA in writing within ten (10) calendar days of the occurrence.

Families are only required to report the following increase in income:

Increase in earned income from the employment of a current household member;

Increases in income because a person with income joins the household;

Increases in household income which come as a result of a *new* income source.

DHA will process rent adjustments for all increases in income, that collectively exceeds 100.00 per month, which are reported between regularly scheduled recertifications or should have been reported.

DHA will not process rent adjustments resulting from an increase in *earned* income (raises) until the next regularly scheduled recertification; unless earned amount exceeds \$100.00 per month.

## 2. Increases In Income and Rent Adjustments

Rent increases (except those due to misrepresentation) require thirty (30) days notice. Therefore, interim rent increases are made effective the first of the second month following when the change in income should have been reported.

## 3. Decreases in Income and Rent Adjustment

Residents may report a decrease in income and other changes, such as an increase in allowances or deductions which would reduce the amount of the total tenant payment.

DHA will process the rent adjustment unless DHA confirms that the decrease in income will last less than thirty (30) calendar days.

Decrease in rent will be effective the first of the month following when the resident reports the decreased income and provides documentation of the change.

## 4. Other Interim Reporting Issues

Changes from zero (0) income to work or other form of income (earned or unearned): however, all families with zero income will be required to report their status every one hundred twenty (120) days.

In the following circumstances, DHA may conduct the interim recertification by mail:

Changes that will not result in a change in tenant rent.

Changes in income that are normal for the family, such as seasonal employment.

As a reasonable accommodation when requested. (See Chapter titled “Statement of Policies and Objectives”)

Any changes reported by residents other than those listed in this section (will be noted in the file by the staff person) but will not be processed between regularly-scheduled annual recertifications.

#### 5. DHA Errors

If DHA makes a calculation error at admission to the program or at an annual reexamination, an interim reexamination will be conducted to correct the error, and the change will be made effective as prescribed in these policies, but the family will not be charged retroactively.

### D. TIMELY REPORTING OF CHANGES IN INCOME (AND ASSETS)

#### 1. Standard for Timely Reporting of Changes

DHA requires that families report interim changes to DHA within ten (10) days of when the change occurs. Any information, document or signature needed from the family which is needed to verify the change must be provided within thirty (30) days of the change.

An exception will be made for TANF recipients who obtain employment. In such cases, families will have to report within ten (10) days of receipt of the Notice of Action from DFACS ( Department of Family and Children Services) that shows the full adjustment for employment income.

If the change is not reported within the required time period, or if the family fails to provide signatures, certifications or documentation, (in the time period requested by DHA), it will be considered untimely reporting.

#### 2. Procedures When Changes are Reported in a Timely Manner

The DHA will notify the family of any change in Tenant Rent to be effective according to the following guidelines:

Increases in the Tenant Rent - are effective on the first of the month following at least thirty (30) days notice.

Decreases in the Tenant Rent - are effective the first of the month following the month in which the change is reported.

The change may be implemented based on documentation provided by the family, pending third-party written verification.

The change will not be made until the third party verification is received.

### 3. Procedures when the Changes are not Reported by the Tenant in a Timely Manner

If the family does not report the changes as described under Timely Reporting, the family will have caused an unreasonable delay in the interim reexamination processing and the following guidelines will apply:

Increase in Tenant Rent -will be effective retroactive to the date it would have been effective had it been reported on a timely basis. The family will be liable for any underpaid rent, and may be required to sign a Repayment Agreement or make a lump sum payment.

Decrease in Tenant Rent -will be effective on the first of the month following completion of processing by DHA and not retroactively.

### 4. Procedures when Changes are not Processed by DHA in a Timely Manner

“Processed in a timely manner” means that the change goes into effect on the date it should when the family reports the change in a timely manner. If the changes cannot be made effective on that date, the change is not processed by DHA in a timely manner.

Therefore, an increase will be effective after the required thirty (30) days’ notice prior to the first of the month after completion of processing by DHA.

If the change resulted in a decrease, the overpayment by the family will be calculated retroactively to the date it should have been effective and the family will be credited for the amount.

## E. REPORTING OF CHANGES IN FAMILY

All changes in family composition must be reported within ten (10) days of the occurrence in writing.

### Increases in Family Size

Increases other than by birth, adoption or court-awarded custody must have the prior approval of DHA. All additions to the household are subject to DHA's suitability criteria.

If an addition would result in overcrowding according to maximum occupancy guidelines:

DHA will not approve an addition other than birth, marriage, adoption or court-awarded custody.

DHA will consider a unit transfer (if needed under the Occupancy Guidelines) for additions to the family in the following cases:

Addition by marriage

Addition of minor who is a member of the nuclear family who had been living elsewhere.

Addition of a DHA-approved live-in attendant.

Addition due to birth, adoption or court-awarded custody.

Families who need a larger sized unit because of voluntary additions will have lower priority on the Transfer List than other families who are required to change unit size.

If a change due to birth, adoption, court-awarded custody, or need for a live-in attendant requires a larger size unit due to overcrowding, the change in unit size shall be made effective upon availability of an appropriately sized unit.

#### F. CONTINUANCE OF ASSISTANCE FOR "MIXED" FAMILIES

Under the Non-citizens Rule, "Mixed" families are families that include at least one citizen of eligible immigrant status and any number of ineligible members.

"Mixed" families who were participants on June 19, 1995, shall continue receiving full assistance if they meet the following criteria:

1. The head of household, co-head or spouse is a U.S. citizen or has eligible immigrant status; AND
2. The family does not include any ineligible immigrants other than the head or spouse, or parents or children of the head, co-head or spouse.

Mixed families who qualify for continued assistance after November 29, 1996, may receive prorated assistance only.

If they do not qualify for continued assistance, the member(s) that cause the family to be ineligible for continued assistance may move, the family may choose prorated assistance. DHA may no longer offer temporary deferral of Termination (See Chapter on “Lease Terminations”).

## **Chapter 12**

### **LEASE TERMINATIONS**

#### INTRODUCTION

DHA may terminate tenancy for a family because of the family's action or failure to act in accordance with HUD regulations, and the terms of the lease. The lease and the Grievance Procedures describes the DHA's policies for notification of lease termination and reasons for termination. The lease and the Grievance Procedures, are incorporated by references and attached as Exhibit to this ACOP Plan.

#### A. TERMINATION BY TENANT

The tenant may terminate the lease by providing DHA with a written thirty (30) days notice as defined in the lease agreement.

B. TERMINATION BY DHA

In accordance with the provisions of the Dwelling Lease.

C. TERMINATIONS DUE TO INELIGIBLE IMMIGRATION STATUS

Families who were participants on June 19, 1995, but are ineligible for continued assistance due to the ineligible immigration status of all members of the family, or because a “mixed” family chooses not to accept proration of assistance, were eligible for temporary deferral of termination of assistance if necessary to permit the family additional time for transition to affordable housing.

Deferrals may have been granted for intervals not to exceed six (6) months, up to an aggregate maximum of:

- 3 years for deferrals granted prior to 11/29/96, or
- 18 months for deferrals granted after 11/29/96

However, due to the timeframe applicable to the deferral period, current families are no longer

eligible for deferral of termination of assistance.

Families will be notified in writing at least sixty (60) days in advance of the expiration of the deferral period that termination of assistance will not be deferred because:

- a) granting another deferral will result in an aggregate deferral period of longer than the statutory maximum (three years for deferrals granted before 11/29/96; 18 months for deferrals granted after 11/29/96), or



- b) a determination has been made that other affordable housing is available.

If DHA determines that a family member has knowingly permitted an ineligible individual to reside in the family's unit on a permanent basis, the family's lease will be terminated for a period not less than 24 months.

**Chapter 13**  
**COMPLAINTS, GRIEVANCES AND APPEALS**

INTRODUCTION

The informal hearing requirements defined in HUD regulations are applicable to participating families who disagree with an action, decision, or inaction of DHA. This Chapter describes the policies to be used when families disagree with a DHA decision. It is the policy of the DHA to ensure that all families have the benefit of all protections due to them under the law.

Grievances shall be handled in accordance with DHA's approved Grievance Procedures. The written grievance procedure is incorporated into this document by reference and is the guideline to be used for grievances and appeals.

A. COMPLAINTS

DHA will respond promptly to complaints from families. Each complaint regarding physical condition of the units may be reported by phone to the Management Office. Anonymous complaints are checked whenever possible. DHA does require that complaints be put in writing.

Complaints from families. If a family disagrees with an action or inaction of DHA,

Complaints from families will be referred to the Property Manager.

Complaints from staff. If a staff person reports a family is violating or has violated a lease provision or is not complying with program rules:

Complaints from staff will be referred to the Property Manager.

Complaints from the general public. Complaints or referrals from persons in the community in regard to DHA or a family:

Complaints from the general public related to the property or its residents will be referred to the Property Manager.

B. APPEALS BY APPLICANTS

Applicants who are determined ineligible, who do not meet DHA's admission standards, or where the DHA does not have an appropriate size and type of unit in its inventory will be given written notification promptly, including the reason for the determination.

Ineligible applicants will be promptly provided with a letter detailing their individual status, stating the reason for their ineligibility, and offering them an opportunity for an informal conferences.

Applicants must submit their request for an informal conferences in writing to DHA within five (5) working days from the date of the notification of their ineligibility.

If the applicant requests an informal conferences, DHA will provide an informal conferences within five (5) working days of receiving the request. DHA will notify the applicant of the place, date, and time.

Informal conferences will be conducted by an impartial person. The person who is designated to hear the appeal cannot be the person who made the determination of ineligibility or a subordinate of that person.

The applicant may bring to the conference any documentation or evidence he/she wishes and the evidence along with the data compiled by DHA will be considered.

The person conducting the conference will make a determination based upon the merits of the evidence presented by both sides. Within ten (10) working days of the date of the conference, the person conducting the conference will mail a written decision to the applicant and have placed in the applicant's file a copy of the decision.

The grievance procedures for Public Housing tenants do not apply to DHA determinations that affect applicants.

### C. APPEALS BY TENANTS

Grievances or appeals concerning the obligations of the tenant or DHA under the provisions of the lease shall be processed and resolved in accordance with the Grievance Procedure of DHA, which is in effect at the time such grievance or appeal arises.

D. HEARING AND APPEAL PROVISIONS FOR "RESTRICTIONS ON ASSISTANCE TO NON-CITIZENS"

Assistance to the family may not be delayed, denied or terminated on the basis of immigration status at any time prior to the receipt of the decision on the INS appeal.

Assistance to a family may not be terminated or denied while DHA hearing is pending but assistance to an applicant may be delayed pending DHA hearing.

INS Determination of Ineligibility

If a family member claims to be an eligible immigrant and the INS SAVE system and manual search do not verify the claim, DHA notifies the applicant or participant within ten (10) days of their right to appeal to the INS within thirty (30) days or to request an informal hearing with the DHA either in lieu of or subsequent to the INS appeal.

If the family appeals to the INS, they must give DHA a copy of the appeal and proof of mailing or DHA may proceed to deny or terminate. The time period to request an appeal may be extended by DHA for good cause.

The request for a DHA hearing must be made within fourteen (14) days of receipt of the notice offering the hearing or, if an appeal was made to the INS, within fourteen (14) days of receipt of that notice.

After receipt of a request for an informal hearing, the hearing is conducted as described in the "Grievance Procedures" section of this chapter for both applicants and participants. If the hearing officer decides that the individual is not eligible, and there are no other eligible family members DHA will:

Deny the applicant family.

Defer termination if the family is a participant and qualifies for deferral.

Terminate the participant if the family does not qualify for deferral.

If there are eligible members in the family, DHA will offer to prorate assistance or give the family the option to remove the ineligible members.

All other complaints related to eligible citizen/immigrant status:

If any family member fails to provide documentation or certification as required by the regulation, that member is treated as ineligible. If all family members fail to provide, the family will be denied or terminated for failure to provide.

Participants whose termination is carried out after temporary deferral may not request a hearing since they had an opportunity for a hearing prior to the termination.

Participants whose assistance is pro-rated (either based on their statement that some members are ineligible or due to failure to verify eligible immigration status for some members after exercising their appeal and hearing rights described above) are entitled to a hearing based on the right to a hearing regarding determinations of Tenant Rent and Total Tenant Payment.

Families denied or terminated for fraud in connection with the non-citizens rule are entitled to a review or hearing in the same way as terminations for any other type of fraud.

## E. GRIEVANCE PROCEDURES

### 1. Definitions

a. Grievance. Any dispute which a tenant may have with respect to a Housing Authority action or failure to act in accordance with the individual tenant's lease or DHA regulations which adversely affect the individual tenant's rights, duties, welfare, or status.

b. Complainant: Any tenant whose grievance is presented to DHA or at the Site/Management Office informally or as part of the informal hearing process.

c. Hearing Officer/Hearing Panel. A person or persons selected in accordance with this grievance procedure to hear grievances and render a decision with respect thereto.

d. Tenant. A lessee or the remaining head of household of any tenant family residing in housing accommodations owned or leased by DHA.

e. Elements of Due Process. An eviction action or a termination of tenancy in a State or local court in which the following procedural safeguards are required; or:

(1) Adequate notice to the tenant of the grounds for terminating the tenancy and for eviction;

(2) Opportunity for the tenant to examine all relevant documents, records, and regulations of DHA prior to the trial for the purpose of preparing a defense;

(3) Right of the tenant to be represented by counsel;

(4) Opportunity for the tenant to refute the evidence presented by DHA including the right to confront and cross-examine witnesses and to present any affirmative legal or equitable defense which the tenant may have;

(5) A decision on the merits of the case:

## 2. Applicability

This Grievance Procedure applies to all individual grievances, except any grievance concerning a termination of tenancy or eviction that involves:

- a. Any activity, not just criminal activity, that threatens the health, safety, or right to peaceful enjoyment of the premises of other residents or DHA employees, or
- b. Any drug-related criminal activity *on* or *off* such premises.

## 3. Housing Authority Eviction Actions

a. If a tenant has requested a hearing in accordance with these duly adopted Grievance Procedures on a complaint involving a DHA notice of termination of tenancy, and DHA action is upheld, the DHA shall not commence an eviction action until it has served a notice to vacate on the tenant.

b. In no event shall the notice to vacate be issued prior to the decision of the panel having been mailed or delivered to the complainant.

- b. Such notice to vacate must be in writing and specify that if the tenant fails to quit the premises within the applicable statutory period, or on the termination date as stated in the notice of termination, whichever is later, appropriate action will be brought against the complainant. The complainant may be required to pay court costs and attorney fees.



## Chapter 14

### FAMILY DEBTS TO THE DHA

#### INTRODUCTION

This Chapter describes DHA's policies for the recovery of monies which have been underpaid by families. It describes the methods that will be utilized for collection of monies and the guidelines for different types of debts. It is DHA's policy to meet the informational needs of families, and to communicate the program rules in order to avoid family debts. Before a debt is assessed against a family, the file must contain documentation to support DHA's claim that the debt is owed. The file must further contain written documentation of the method of calculation, in a clear format for review by the family or other interested parties.

When families owe money to DHA, DHA will make every effort to collect it. DHA will use a variety of collection tools to recover debts including, but not limited to:

Requests for lump sum payments

Civil suits

Payment agreements

Collection agencies

Credit bureaus

Income tax set-off programs

#### A. PAYMENT AGREEMENT FOR FAMILIES

A Payment Agreement as used in this Plan is a document entered into between DHA and a person who owes a debt to DHA. It is similar to a promissory note, but contains more details regarding the nature of the debt, the terms of Payment, any special provisions of the agreement, and the remedies available to DHA upon default of the agreement.

The maximum amount for which the DHA will enter into a payment agreement with a family is three thousand dollars (\$3,000.00) unless there are extenuating circumstances.

The maximum length of time DHA will enter into a payment agreement with a family is eighteen (18) months.

The minimum monthly amount of monthly payment for any payment agreement is twenty-five dollars (\$25.00).

DHA will determine the monthly payment by simply dividing the total owed by the number of months DHA will allow the resident to pay the debt off.

#### Late Payments

A payment will be considered to be in arrears if:

The payment has not been received by the close of the business day on which the payment was due. If the due date is on a weekend or holiday, the due date will be at the close of the next business day.

If the family's Payment agreement is in arrears, DHA will:

Require the family to pay the balance in full

Pursue civil collection of the balance due

Initiate eviction action

If the family requests a transfer to another unit and has a payment agreement in place and the payment agreement is not in arrears:

The family will be permitted to transfer.

Payment Schedule for Monies Owed to the DHA

Initial Payment Due

<u>(% of Total Amount)</u>	<u>Amount Owed</u>	<u>Maximum Term</u>
25 % to 50 %	0 - \$500	3 - 6 months
25% to 50 %	\$501 - \$1,000	6 - 10 months
25 %	\$1,001 - \$3,000	12 - 18 months

There are some circumstances in which DHA will not enter into a payment agreement. They are:

If the family already has a Payment Agreement in place or owes money to DHA for any reason.

If DHA determines that the family has previously committed program fraud.

### Guidelines for Payment Agreements

Payment Agreements will be executed between DHA and the head of household only.

Monthly payments may be decreased in cases of hardship with the prior notice of the family, verification of the hardship, and the approval of the Property Management Coordinator.

No transfer will be approved until the debt is paid in full unless the transfer is the result of the following causes, and the Payment Agreement is current:

Family size exceeds the maximum occupancy guidelines

A natural disaster

### Additional Monies Owed

DHA will not enter into more than one Payment Agreement at a time with the same family.

## B. DEBTS DUE TO FRAUD/NON-REPORTING OF INFORMATION

HUD's definition of program fraud and abuse is a single act or pattern of actions that constitutes false statement, omission, or concealment of a substantive fact, made with intent to deceive or mislead.

### Family Error/Late Reporting

Families who owe money to DHA due to the family's failure to report increases in income will be required to repay in a lump sum within sixty (60) days or a time frame prescribed by the Authority. If the family pays the amount in full within this time period, DHA may continue assistance to the family.

### Program Fraud

Families who owe money to DHA due to program fraud will be required to repay the amount in full within sixty (60) days. If the full amount is paid within this time period, and the family is still eligible, DHA may continue assistance to the family.

If a family owes an amount which exceeds three thousand dollars (\$3,000.00) as a result of program fraud, the case will be referred to the Inspector General. Where appropriate, DHA will refer the case for criminal prosecution.

#### Payment Procedures for Program Fraud

Families who commit program fraud or untimely reporting of increases in income will be subject to the following procedures:

The maximum time period for a Payment Agreement will be two (2) months unless specifically approved at the sole discretion of the DHA.

The family will be required to pre-pay 25% of the amount owed prior to or upon execution of the Payment Agreement.

Reserved

## Chapter 15

### GLOSSARY

#### I. TERMS USED IN DETERMINING RENT

##### A. ANNUAL INCOME

Annual income is the anticipated total income from all sources, including net income derived from assets, received by the family head and spouse (even if temporarily absent) and by each additional adult family member including all net income from assets for the 12 month period following the effective date of initial determination or reexamination of income. It does not include income that is temporary, non-recurring, or sporadic as defined in this section, or income that is specifically excluded by other federal statutes. Annual income includes, but is not limited to:

1. The full amount before any payroll deductions, of wages and salaries, overtime pay, commissions, fees, tips and bonuses, and other compensation for personal services.
2. The net income from operation of a business or profession, including any withdrawal of cash or assets from the operation of the business. Expenditures for business expansion or amortization of capital indebtedness shall not be used as deductions in determining the net income from a business. An allowance for the straight line depreciation of assets used in a business or profession may be deducted as provided in IRS regulations. Withdrawals of cash or assets will not be considered income when used to reimburse the family for cash or assets invested in the business.
3. Interest, dividends, and other net income of any kind from real or personal property. Expenditures for amortization of capital indebtedness shall not be used as deductions in determining net income. An allowance for the straight line depreciation of real or personal property is permitted. Withdrawals of cash or assets will not be considered income when used to reimburse the family for cash or assets invested in the property.

When the family has net family assets in excess of \$5,000, Annual Income shall include the greater of the actual income derived from all net family assets, or a percentage of the value of such assets based on the current passbook savings rate as determined by HUD.

4. The full amount of periodic payments received from social security, annuities, insurance policies, retirement funds, pensions, disability or death benefits, and other similar types of periodic receipts.
5. Payments in lieu of earnings, such as unemployment and disability compensation, worker's compensation, and severance pay.
6. All welfare assistance payments received by or on behalf of any family member.
7. Periodic and determinable allowances, such as alimony and child care support payments, and regular cash contributions or gifts received from persons not residing in the dwelling.

B. EXCLUSIONS FROM ANNUAL INCOME

Annual income does not include the following:

1. Income from the employment of children (including foster children) under the age of 18 years;
2. Payments received for the care of foster children or foster adults (usually individuals with disabilities, unrelated to the resident family, who are unable to live alone);
3. Lump sum additions to family assets, such as inheritances, insurance payments (including payments under health, and accident insurance, and worker's compensation) capital gains, and settlement for personal property losses;
4. Amounts received by the family that are specifically for, or in reimbursement of the cost of medical expenses for any family member.
5. Income of a live-in aide, provided the person meets the definition of a live-in aide.
6. The full amount of student financial assistance paid directly to the student or the educational institution.



7. The special pay to a family member serving in the Armed Forces who is exposed to hostile fire.
8. Amounts received under HUD funded training programs (e.g. Step-up program); excludes stipends, wages, transportation payments and child care vouchers for the duration of the training.
9. Amounts received by a person with disabilities that are disregarded for a limited time for purposes of Supplemental Security Income and benefits that are set aside for use under a Plan to Attain Self Sufficiency (PASS).
10. Amounts received by a participant in other publicly assisted programs which are specifically for, or in reimbursement of, out of pocket expenses incurred for items such as special equipment, clothing, transportation and child care, to allow participation in a specific program.
11. Resident services stipend is a modest amount (not to exceed \$200 per month) received by a public housing resident for performing a service for the DHA, on a part-time basis, that enhances the quality of life in public housing. Such services may include but are not limited to , fire patrol, hall monitoring, lawn maintenance, and resident initiatives coordination. No resident may receive more than one such stipend during the same period of time.
12. Compensation from State or local employment training programs and training of family members as Resident Management staff. Amounts excluded by this provision must be received under employment training programs with clearly defined goals and objectives, and are excluded only for a limited period as determined in advance by the DHA.
13. Temporary, non-recurring, or sporadic income (including gifts).
14. Reparation payments paid by foreign governments pursuant to claims filed under the laws of that government by persons who were persecuted during the Nazi era. (For all initial determinations and reexaminations of income on or after April 23, 1993.)
15. Earnings in excess of \$480 for each full-time student 18 years old or older, (excluding the head of household and spouse).
16. Adoption assistance payments in excess of \$480 per adopted child.
17. The earnings and benefits to any resident resulting from the participation in a program providing employment training and supportive services in accordance with the Family Support Act of 1988 (42U.S.C. 1437 et seq.), or any comparable Federal, State or local law during the exclusion period. For purposes of this paragraph the following definitions apply:

- a. Comparable Federal, State or local law means a program providing employment training and supportive services that: (1) is authorized by a Federal, State or local law; (2) is funded by the Federal, State or local government; (3) is operated or administered by a public agency; and (4) has as its objective to assist participants in acquiring job skills.
- b. Exclusion period means the period during which the resident participates in a program as described in this section plus 18 months from the date the resident begins the first job acquired by the resident after completion of such program that is not, funded by public housing assistance under the U.S. Housing Act of 1937. If the resident is terminated from employment without good cause, the exclusion period shall end.
- c. Earnings and benefits means the incremental earnings and benefits results from a qualifying employment training program or subsequent job.

18. Deferred periodic payments of supplemental security income and social security benefits that are received in a lump sum payment.
19. Amounts paid by a State agency to a family with a developmentally disabled family member living at home to offset the cost of services and equipment needed to keep the developmentally disabled family member at home.
20. Amounts specifically excluded by any other Federal Statute from consideration as income for purposes of determining eligibility or benefits under a category of assistance programs that includes assistance under the United States Housing Act of 1937.(A notice will be published by HUD in the Federal Register identifying the benefits that qualify for this exclusion).

The following benefits are currently excluded:

- a. The value of the allotment provided to an eligible household for coupons under the Food Stamp Act of 1977;
- b. Payments to volunteers under the Domestic Volunteer Service Act of 1973;

Examples of programs under this Act include but are not limited to:

The Retired Senior Volunteer Program (RSVP)

Foster Grandparent Program (FGP)

Senior Companion Program (SCP)

Older American Committee Service Program

National Volunteer Antipoverty Programs such as:

VISTA

Peace Corps

Service Learning Program

Americorp

Special Volunteer Programs

Small Business Administration Programs such as:

National Volunteer Program to Assist Small Businesses

Service Corps of Retired Executives

- c. Payments received under the Alaska Native Claims Settlement Act. [43 USC 1626 (a)]
- d. Income derived from certain submarginal land of the United States that is held in trust for certain Indian tribes: [25 USC 459(e)]
- e. Payments or allowances made under the Department of HHS' Low Income Home Energy Assistance Program: [42 USC 8624 (f)]
- f. Payments received under programs funded in whole or in part under the Job Training Partnership Act (29 USC 1552 (b):
- g. Income derived from the disposition of funds of the Grand River Band of Ottawa Indians (Pub. L. 94-540):
- h. The first \$2,000 of per capita shares received from judgment funds awarded by the Indian Claims Commission or the Court of Claims (25 USC. 1407-08), or from funds held in trust for an Indian Tribe by the Secretary of Interior:
- i. Amounts of scholarships funded under Title IV of the Higher Education Act of 1965 including awards under the Federal work-study program or under the Bureau of Indian Affairs student assistance programs. [20 USC 1087 uu] Examples: Basic Educational Opportunity Grants (Pell Grants), Supplemental Opportunity Grants, State Student Incentive Grants, College-Work Study, and Byrd Scholarships:
- j. Payments received under programs funded under Title V of the Older Americans Act of 1965 [42 USC 3056 (f)] Examples include Senior Community Services Employment Program, National Caucus Center on the Black Aged, National Urban League; Association National Pro Personas Mayores, National Council on Aging, American Association of Retired Persons, National Council on Senior Citizens, and Green Thumb.
- k. Payments received after January 1, 1989 from the Agent Orange Settlement Fund or any other fund established in the *In-Re Orange* Product Liability litigation.
- l. The value of any child care provided or arranged (or any amount received as payment for such care or reimbursement for costs of incurred in such care) under the Child Care and Development Block Grant Act of 1990 (42 USC 9858q):

m. Earned income tax credit refund payments received on or after January 1, 1991. (26 USC 32 )(j):

21. Child support payments up to \$480 per child made by a member of the family for the support and maintenance of any child who does not reside in the household.
22. Spousal support (alimony) expenses: any payment made by a member of the family for the support and maintenance of any spouse or former spouse who does not reside in household, except that amount excluded under this provision; cannot exceed the lesser of the amount the family member has as a legal obligation to pay or \$550 for each individual on whose behalf the payment is being made.

C. ADJUSTED INCOME

Annual income, less allowable HUD deductions.

All Families are eligible for the following:

1. Child Care Expenses: A deduction of amounts anticipated to be paid by the family for the care of children under 13 years of age for the period for which the Annual Income is computed. Child care expenses are only allowable when such care is necessary to enable a family member to be gainfully employed or to further his/her education. Amounts deducted must be unreimbursed expenses and shall not exceed: (1) The amount of income earned by the family member released to work, or (2) an amount determined to be reasonable by the DHA when the expense is incurred to permit education.
2. Dependent Deduction: An exemption of \$480 for each member of the family residing in the household (other than the head or spouse, live-in aide, foster child) who is under eighteen years of age or who is eighteen years of age or older and disabled, handicapped, or a full-time student.

3. Handicapped Expenses: A deduction of unreimbursed amounts paid for attendant care or auxiliary apparatus expenses for handicapped family members where such expenses are necessary to permit a family member(s), including the handicapped/disabled member to be employed. In no event may the amount of the deduction exceed the employment income earned by the family member(s) freed to work.

Equipment and auxiliary apparatus may include, but are not limited to: wheelchairs, lifts, reading devices for visually handicapped, and equipment added to cars and vans to permit their use the handicapped or disabled family member.

- a. For Non-Elderly Families and Elderly Families without Medical Expense: The amount of the deduction equals the cost of all unreimbursed expenses for handicapped care and equipment less three percent of Annual Income, provided the amount so calculated does not exceed the employment income earned.

- b. For Elderly Families with Medical Expenses: The amount of the deduction equals the cost of all unreimbursed expenses for handicapped care and equipment less three percent of Annual Income, (provided the amount does not exceed earnings) plus medical expenses as defined below.

For Elderly and Disabled Families Only:

Medical Expenses: A deduction of unreimbursed medical expenses, including insurance premiums anticipated for the period for which Annual Income is computed. Medical expenses include, but are not limited to: services of physicians and other health care professionals, services of health care facilities; insurance premiums, including the cost of Medicare, prescription and non-prescription medicines, transportation to and from treatment, dental expenses, eyeglasses, hearing aids and batteries, attendant care (unrelated to employment of family members), and payments on accumulated medical bills. To be considered by the DHA for the purpose of determining a deduction from the income, the expenses claimed must be verifiable.

- (1) For Elderly Families without Handicapped Expenses: The amount of the deduction shall equal total medical expenses less 3% of annual income. (Provided the amount does not exceed income)

(2) For Elderly Families with both Handicapped and Medical Expenses: The amount of handicapped assistance is calculated first, then medical expenses are added.

4. Elderly/Disabled Household Exemption: An exemption of \$400 per household.

## II. GLOSSARY OF HOUSING TERMS

**ACCESSIBLE DWELLING UNITS.** When used with respect to the design, construction or alteration of an individual dwelling unit, means that the unit is located on an accessible route, and when designed, constructed, or altered, can be approached, entered, and used by individuals with physical disabilities. A unit that is on an accessible route and is adaptable and otherwise in compliance with the standards set forth in by HUD, (the Uniform Federal Accessibility Standards) is “accessible” within the meaning of this paragraph.

**ACCESSIBLE FACILITY.** All or any portion of a facility other than an individual dwelling unit used by individuals with physical disabilities.

**ACCESSIBLE ROUTE.** For persons with a mobility impairment, a continuous, unobstructed path that complies with space and reach requirements of the Uniform Federal Accessibility Standards (UFAC). For persons with hearing or vision impairments, the route need not comply with requirements specific to mobility.

**ADAPTABILITY.** Ability to change certain elements in a dwelling unit to accommodate the needs of disabled and non-disabled persons; or ability to meet the needs of persons with different types and degrees of disability.

**ANNUAL INCOME.** The anticipated total income from all sources received by the Family head or spouse (even if temporarily absent) and by each additional member of the family including all net income derived from assets, for the 12 month period following the effective date of initial determination or reexamination of income, exclusive of income that is temporary, non-recurring, sporadic and exclusive of certain other types of income specified in this policy.

**ALLOCATION PLAN.** The plan submitted by a HA and approved by HUD under which the DHA is permitted to designate a building, or portion of a building, for occupancy by Elderly Families or Disabled Families.

**ADJUSTED INCOME.** The Annual Income (see item I) less the HUD-approved allowances.

**APPLICANT (or applicant family).** A family that has applied for admission to a program, but is not yet a participant in the program.

**ASSETS.** (See Net Family Assets.)

**AUXILIARY AIDS.** Services or devices that enable persons with impaired sensory, manual, or speaking skills to have an equal opportunity to participate in and enjoy the benefits of programs and activities.

**CEILING RENT.** An amount established by the Authority that reflects reasonable market value of the housing unit, but not less than the sum of the monthly per-unit operating costs and a deposit to a replacement reserve.

**CHILD.** A member of the family other than the family head or spouse who is under 18 years of age.



**CHILD CARE EXPENSES.** Amounts anticipated to be paid by the family for the care of children under thirteen (13) years of age during period for which Annual Income is computed, but only where such care is necessary to enable a family member to be gainfully employed or to further his/her education and only to the extent such amounts are not reimbursed. The amount deducted shall reflect reasonable charges for child care and in the case of child care necessary to permit employment, the amount deducted shall not exceed the amount of income received from such employment. The DHA will not normally determine child care expenses as necessary when the household contains an additional unemployed adult who is physically capable of caring for children.

**CITIZEN.** A citizen or national of the United States.

**CONTRACT RENT:** The rent HUD authorizes the HA to collect for a unit occupied by a family being assisted.

**DEKALB COUNTY RESIDENT.** Any adult who resides within DeKalb County prior to admission into a Public Housing unit.

**DEPENDENT.** A member of the family household (excluding foster children) other than the family head or spouse, who is under 18 years of age or is a Disabled Person or is a full-time student 18 years of age or over.

**DESIGNATED FAMILY.** The category of family for whom the DHA elects to designate a project (e.g. elderly family in a project designated for elderly families) in accordance with the 1992 housing Act.

**DISABLED FAMILY.** A family whose head, spouse, or sole member is a person with disabilities; or two or more persons with disabilities living together or one or more persons with disabilities living with one or more live-in aides.

**DISABLED PERSON.** A person who is any of the following:

- (1) A person who has a disability as defined in section 223 of the Social Security Act. (42 U.S.C.423).

- (2) A person who has a physical, mental, or emotional impairment that:
  - (i) Is expected to be of long-continued and indefinite duration;
  - (ii) Substantially impedes his or her ability to live independently; and
  - (iii) Is of such a nature that ability to live independently could be improved by more suitable housing conditions.
  
- (3) A person who has a developmental disability as defined in section 102(7) of the Developmental Disabilities Assistance and Bill of Rights Act (42 U.S.C. 6001(7)).

**DISPLACED FAMILY.** A family in which each member, or whose sole member, is a person displaced by governmental action, or a person whose dwelling has been extensively damaged or destroyed as a result of a disaster declared or otherwise formally recognized pursuant to Federal Disaster relief laws.

**DOMICILE.** The legal residence of the household head or spouse as determined in accordance with State and local law.

**DRUG-RELATED CRIMINAL ACTIVITY.** Term includes, but is not limited to:

- (1) Drug-trafficking; or
  
- (2) Illegal use, or possession for personal use of a controlled substance (as defined in section 102 of the Controlled Substances Act (21 U.S.C. 802)).

**DRUG TRAFFICKING.** The illegal manufacture, sale, distribution, use, or possession with intent to manufacture, sell, distribute or use, of a controlled substance (as defined in section 102 of the Controlled Substances Act (21 U.S.C. 802)).

**DWELLING UNIT (UNIT):** An apartment that is suitable for habitation.

**ELDERLY HOUSEHOLD.** A family whose head or spouse or whose sole member is at least 62 years, or two or more persons who are at least 62 years of age living together; or one or more persons 62 years of age living with one or more live-in aides.

**ELDERLY PERSON.** A person who is at least 62 years old.

**EVIDENCE.** Evidence of citizenship or eligible immigration status means the documents which must be submitted to evidence citizenship or eligible immigration status.

**EXCESS MEDICAL EXPENSES.** Any medical expenses incurred by elderly families in excess of 3% of Annual Income which are not reimbursable from any other source.

**FAMILY.** The applicant must qualify as a family as defined by the DHA.

**FAMILY OF VETERAN OR SERVICEPERSON.** A family is a “family of a veteran or serviceperson” when:

1. The veteran or serviceperson (a) is either the head of household or is related to the head of the household; or (b) is deceased and was related to the head of the household, and was a family member at the time of death.
2. The veteran or serviceperson, unless deceased, is living with the family or is only temporarily absent unless s/he was; (a) formerly the head of the household and is permanently absent because of hospitalization, separation, or desertion, or is divorced; provided, the family contains one or more persons for whose support s/he is legally responsible and the spouse has not remarried; or (b) not the head of the household but is permanently hospitalized; provided that s/he was a family member at the time of hospitalization and there remain in the family at least two related persons.

**FAMILY SELF-SUFFICIENCY PROGRAM (FSS PROGRAM).** The program established by a HA to promote self-sufficiency of assisted families, including the provision of supportive services.

**FOSTER CHILD CARE PAYMENT.** Payment to eligible households by state, local, or private agencies appointed by the State, to administer payments for the care of foster children.

**FULL-TIME STUDENT.** A person who is carrying a subject load that is considered full time for day students under the standards and practices of the educational institution attended. An educational institution includes a vocational school with a diploma or certificate program, as well as an institution offering a college degree.

**HANDICAPPED ASSISTANCE EXPENSES.** Anticipated costs for care attendants and auxiliary apparatus for handicapped or disabled family members which enable a family member (including the handicapped family member) to work.

**HANDICAPPED PERSON.** [Referred to as a Person with a Disability]. A person having a physical or mental impairment which:

1. Is expected to be of long-continued and indefinite duration;
2. Substantially impedes his or her ability to live independently; and
3. Is of such a nature that such ability could be improved by more suitable housing conditions.

**HEAD OF HOUSEHOLD.** The head of household is the person who assumes legal and financial responsibility for the household and is listed on the application as head.

**HOUSING AGENCY (HA).** A state, country, municipality or other governmental entity or public body authorized to administer the program. (“DHA” and “HA” mean the same thing.)

**HOUSING AND COMMUNITY DEVELOPMENT ACT OF 1974.** Act in which the U.S. Housing Act of 1937 (sometimes referred to as the Act) was certified, and which added the Section 8 Programs.

**HOUSING QUALITY STANDARDS (HQS).** The HUD minimum quality standards for housing assisted under the tenant-based programs.

**HUD REQUIREMENTS.** HUD requirements are issued by HUD as regulations or as Federal Register notices or other binding program directives.

**IMPUTED ASSET.** Asset disposed of for less than Fair Market Value during two years preceding examination or reexamination.

**IMPUTED INCOME.** HUD determined passbook rate x total cash value of assets. The calculation used is when assets exceed \$5,000.

**INCOME.** Income from all sources of each member of the household as determined in accordance with criteria established by HUD.

**INCOME FOR ELIGIBILITY.** Annual Income.

**INDIAN.** Any person recognized as an Indian or Alaska Native by an Indian Tribe, the Federal Government, or any State.

**INS.** The U.S. Immigration and Naturalization Service.

LEASE. A written agreement between an owner and an eligible family for the leasing of a housing unit.

LIVE-IN AIDE. A person who resides with an elderly person or disabled person and who:

- (1) Is determined to be essential to the care and well-being of the person.
- (2) Is not obligated for the support of the person.
- (3) Would not be living in the unit except to provide necessary supportive services.

LOCAL PREFERENCE. A preference used by the DHA to select among applicant families.

LOW-INCOME FAMILY. A family whose annual income does not exceed 80 percent of the median income for the area, as determined by HUD, with adjustments for smaller and larger families. For admission to the certificate program, HUD may establish income limits higher or lower than 80 percent of the median income for the area on the basis of its finding that such variations are necessary because of the prevailing levels of construction costs or unusually high or low family incomes.

MARKET RENT. The rent HUD authorizes the owner of FHA insured/subsidized multi-family housing to collect from families ineligible for assistance. For unsubsidized units in an FHA-insured multi-family project in which a portion of the total units receive project-based rental assistance, under the Rental Supplement or Section 202/Section 8 Programs, the Market Rate Rent is that rent approved by HUD and is the Contract Rent for a Section 8 Certificate holder. For BMIR units, Market Rent varies by whether the project is a rental or cooperative.

MEDICAL EXPENSES. Those total medical expenses, including medical insurance premiums, that are anticipated during the period for which Annual Income is computed, and that are not reimbursable. A deduction for Elderly Households only. This allowance is given for medical expenses in excess of 3% of Annual Income when calculating adjusted income.

**MINIMUM RENT.** An amount established by the DHA of at least \$25.00, but not more than \$50.00. Minimum Rent. DHA's minimum rent is \$50.00

**MINOR.** A member of the family household (excluding foster children) other than the family head or spouse who is under 18 years of age.

**MIXED FAMILY.** A family whose members include those with citizenship or eligible immigration status and those without citizenship or eligible immigration status.

**MONTHLY ADJUSTED INCOME.** 1/12 of the Annual Income after Allowances.

**MONTHLY INCOME.** 1/12 of the Annual Income.

**NATIONAL.** A person who owes permanent allegiance to the United States, for example, as a result of birth in a United States territory or possession.

**NEAR-ELDERLY FAMILY.** A family whose head, spouse, or sole member is at least 50, but less than 62 years of age. The term includes two or more near-elderly persons living together and one or more such persons living with one or more live-in aides.

**NET FAMILY ASSETS.** The net cash value of equity in savings, checking, IRA and Keogh accounts, real property, stocks, bonds, and other forms of capital investment. The value of necessary items of personal property such as furniture and automobiles is excluded from the definition.

**NONCITIZEN.** A person who is neither a citizen nor nation of the United States.

**OCCUPANCY STANDARDS.** Standards established by the HA to determine the appropriate number of bedrooms for families of different sizes and compositions.

**PUBLIC ASSISTANCE.** Welfare or other payments to families or individuals, based on need, which are made under programs funded, separately or jointly, by Federal, state, or local governments.

**PUBLIC HOUSING AGENCY (DHA).** Any State, County, Municipality or other government entity or public body (or agency or instrumentality thereof) that is authorized to engage in or assist in the development or operation of housing for lower income families.

**RECERTIFICATION.** The process of securing documentation to show that Residents meet the eligibility requirements for Continued Occupancy and to determine rent and other matters.

**REMAINING MEMBER OF TENANT FAMILY.** The person of legal age left in the Public Housing Unit after all other family members have left and who may or may not normally qualify for assistance based on their own circumstances.

**RESPONSIBLE ENTITY.** The person or entity responsible for administering the restrictions on providing assistance to noncitizens with ineligible immigration status (the DHA).

**SECRETARY.** The Secretary of Housing and Urban Development.

**SECTION 214.** Section 214 restricts HUD from making financial assistance available for noncitizens unless they meet one of the categories of eligible immigration status specified in Section 214.

**SECURITY DEPOSIT.** An amount paid by a resident which will be applied to unpaid rent, damages or other amounts due to the owner under the lease.



**SERVICEPERSON.** A person in the active military or naval service (including the active reserve) of the United States.

**SINGLE PERSON.** A person living alone or intending to live alone who is not disabled, elderly, or displaced, or the remaining member of a tenant family..

**SPOUSE.** The husband or wife of the head of the household.

**TENANT RENT.** The amount payable monthly by the Family as rent to the PHA. Where all utilities (except telephone) and other essential housing services are supplied by the DHA, tenant Rent equals Total Tenant Payment (TTP). Where some or all utilities (except telephone) and other essentials are not supplied by the DHA and the cost thereof is not included in the amount paid as rent, Tenant Rent equals Total Tenant Payment (TTP) less the Utility Allowance. (Tenant Rent is term established and defined by and as such is occasionally awkward in ordinary usage. For this reason, the term “Tenant Rent” is used interchangeably with “rent” elsewhere in this Policy to refer to the net monthly payment by the Family to the DHA. The only exception is the term “rent” as defined in this policy in reference to Admission Priorities based on an Applicant’s rent as a percentage of monthly income).

**TOTAL TENANT PAYMENT (TTP).** The total amount the HUD rent formula requires the tenant to pay toward rent and utilities.

**UNIT.** Residential space for the private use of a family.

**UTILITIES.** Utilities means water, electricity, gas, other heating, refrigeration, cooking fuels, trash collection and sewage services. Telephone service is not included as a utility.

**UTILITY ALLOWANCE.** If the cost of utilities (except telephone) and other housing services for an assisted dwelling unit is not included in the Resident's rent, but is the responsibility of the Family occupying the dwelling unit, then the utility/ allowance is an amount equal to the estimate made by the DHA of the monthly cost of a reasonable consumption of such utilities and other services for the dwelling unit by an energy-conservative household of modest circumstances consistent with the requirement of a safe, sanitary and healthful living environment and in accordance with HUD requirements.

**UTILITY REIMBURSEMENT.** The amount, if any, by which the Utility Allowance for the unit, if applicable, exceeds the Total Tenant Payment for the family occupying the unit.

**VERY LOW INCOME FAMILY.** A Lower-Income Family whose Annual Income does not exceed 50% of the median income for the area, as determined by HUD, with adjustments for smaller and larger families. HUD may establish income limits higher or lower than 50% of the median income for the area on the basis of its finding that such variations are necessary because of unusually high or low family incomes.

**VETERAN.** A person who has served in the active military or naval service of the United States at any time and who shall have been discharged or released therefrom under conditions other than dishonorable.

**WAITING LIST.** A list of families organized according to HUD regulations and DHA policy who are waiting for subsidy to become available.

**WELFARE ASSISTANCE.** Welfare or other payments to families or individuals, based on need, that are made under programs funded, separately or jointly, by Federal, state, or local governments.

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**WELFARE RENT.** This concept is used **ONLY** for Section 8 Certificate tenants who receive welfare assistance on an “AS-PAID” basis. It is not used for the Housing Voucher Program.

Reserved

## Chapter 16

### PROGRAM INTEGRITY ADDENDUM

#### INTRODUCTION

The U.S. Department of Housing and Urban Development conservatively estimates that two hundred million (\$200,000,000) dollars is paid annually to program participants who falsify or omit material facts in order to gain more rental subsidy than they are entitled to under the law. HUD further estimates that twelve percent (12%) of all HUD-assisted families are either totally ineligible, or are receiving benefits that exceed their legal entitlement. DHA is committed to assure that the proper level of benefits is paid to all residents, and that housing resources reach only income-eligible families so that program integrity can be maintained.

DHA will take all steps necessary to prevent fraud, waste, and mismanagement so that program resources are utilized judiciously.

This Chapter outlines DHA's policies for the prevention, detection and investigation of program abuse and resident fraud.

#### A. FRAUD

The *Official Code of Georgia Annotated*, Section 16-9-55, currently provides that:

“Any person obtains or attempts to obtain or who establishes or attempts to establish eligibility for, and any person who knowingly or intentionally aids or abets such person in obtaining or attempting to obtain or in establishing or attempting to establish eligibility for any Public Housing or a reduction in Public housing rental charges or any rent subsidy or payment from a tenant in connection with Public Housing to which such person would not otherwise be entitled, by means of a false statement, failure to disclose information, impersonation, or other fraudulent scheme or device shall be guilty of a misdemeanor.”

All applications and Applicants for Continued Occupancy for lower income Public Housing used by the DHA will contain a warning that falsification is against the law. Poster notices will also be displayed in the Offices of DHA. All such notices will describe the maximum penalty for a misdemeanor in and/or fine of one thousand dollars \$1,000.00. In addition, the warning will be read and explained to applicants and residents undergoing annual, special and interim reexaminations.

DHA considers the misrepresentation of income and family circumstances to be serious lease and policy violation as well as a crime and will take appropriate action if apparent fraud is discovered. Specifically:

1. An applicant family who has misrepresented income or family circumstances maybe declared ineligible for housing assistance;
2. If any examination of the Resident's file discloses that the resident made any misrepresentation (at the time of admission or any previous reexamination date) which resulted in his/her being classified as eligible when in fact he/she was ineligible, the Resident maybe required to vacate the apartment even though he/she may be currently eligible;
3. A resident family who has made misrepresentation of income or family circumstances is subject to both eviction and being declared ineligible for future housing assistance;
4. If it is found that the Resident misrepresentations result in his/her paying a lower Tenant Rent than he/she should have paid, he/she will be required to pay the difference between rent owed and the amount that should have been paid. This amount shall be paid whether or not the resident remains in occupancy, but failure to pay under the terms established by DHA shall always result in immediate termination of the Lease. DHA reserves the right to demand full payment within seven (7) days;
5. The DHA shall report apparent cases of Tenant or Applicant fraud to the appropriate government agency. It shall be the policy of DHA to press state and Federal authorities for prosecution of cases which, in the DHA's judgment, appear to constitute willful and deliberate misrepresentation;

B. INVESTIGATION OF SUSPECTED ABUSE AND FRAUD

Under no circumstances will DHA undertake an inquiry or an audit of a resident family arbitrarily. DHA's expectation is that resident families will comply with HUD requirements, provisions of the lease, and other program rules. DHA staff will make every effort (formally and informally) to orient and educate all families in order to avoid unintentional violations. However, DHA has a responsibility to HUD, to the Community, and to eligible families in need of housing assistance, to monitor residents' lease obligations for compliance and, when indicators of possible abuse come to DHA's attention, to investigate such claims.

DHA will initiate an investigation of a resident family in the event, including but not limited to, one or more of the following circumstances:

1. Referrals, Complaints, or Tips: DHA will follow up on referrals from other agencies, companies or persons which are received by mail, by telephone or in person, which allege that a resident family is in non-compliance with, or otherwise violating the lease or the program rules. Such follow-up will be made providing that the referral contains at least one item of information that is independently verifiable. A copy of the allegation will be retained in the resident file.
2. Internal File Review: A follow-up will be made if DHA staff discovers (as a function of a [re]certification, an interim redetermination, or a quality control review), information or facts which conflict with previous file data, DHA's knowledge of the family, or with statements made by the family.
3. Verification or Documentation: A follow-up will be made if DHA receives independent verification or documentation which conflicts with representations in the resident file (such as public record information or credit bureau reports, reports from other agencies).

#### C. STEPS TAKEN TO PREVENT PROGRAM ABUSE AND FRAUD

The Management and Occupancy staff will utilize various methods and practices (listed below) to prevent program abuse, non-compliance, and willful violations of program rules by applicants and resident families. This policy objective is to establish confidence and trust in the management by emphasizing education as the primary means to obtain compliance by resident families.

1. Program Orientation Session: Mandatory orientation sessions will be conducted by the Site Manager for all prospective residents either prior to or upon execution of the lease. At the conclusion of all Program Orientation Sessions, the family representative will be required to sign a "Program Briefing Certificate" to confirm that all rules and pertinent regulations were explained to them.

2. Resident Counseling: DHA will routinely provide resident counseling as a part of every recertification interview in order to clarify any confusion pertaining to program rules and requirements.
3. Review and explanation of Forms: Staff will explain all required forms and review the contents of all (re)certification documents prior to signature.
4. Use of Instructive Signs and Warnings: Instructive signs will be conspicuously posted in common areas and interview areas to reinforce compliance with program rules and to warn about penalties for fraud and abuse
5. Resident Certification: All family representatives eighteen or older will be required to sign a “Resident Certification” form, as contained in HUD’s Resident Integrity Program Manual.

#### D. STEPS TAKEN TO DETECT PROGRAM ABUSE AND FRAUD

DHA Staff will maintain a high level of awareness to indicators of possible abuse and fraud by assisted families.

1. Quality Control File Reviews: Prior to initial certification, and at the completion of all subsequent recertifications, each resident file will be reviewed. Such reviews shall include, but are not limited to:

Changes in reported Social Security Numbers or dates of birth.

Authenticity of file Documents.

Ratio between reported income and expenditures.

Review of signatures for consistency with previously signed file documents.

2. Observation: DHA Management and Occupancy Staff (to include maintenance personnel) will maintain high awareness of circumstances which may indicate program abuse or fraud, such as unauthorized persons residing in the household and unreported income.

3. State Wage Data Record Keepers: Inquiries to State Wage and Employment record keeping agencies as authorized under Public Law 100-628, the Stewart B. McKinley Homeless Assistance Amendments Act of 1988, may be made annually in order to detect unreported wages or unemployment compensation benefits
4. Credit Bureau Inquiries: Credit Bureau inquiries may be made (with proper authorization by the resident) in the following circumstances:

At the time of final eligibility determination

E. DHA'S HANDLING OF ALLEGATIONS OF POSSIBLE ABUSE AND FRAUD

DHA staff will encourage all resident families to report suspected abuse to the Administrative Assistant of the Assisted Housing Department. All such referrals, as well as referrals from community members and other agencies, will be thoroughly documented and placed in the resident file. All allegations, complaints and tips will be carefully evaluated in order to determine if they warrant follow-up. The Administrative Assistant will not follow up on allegations which are vague or otherwise non-specific. Only allegations which contain one or more independently verifiable facts will be reviewed.

1. File Review: An internal file review will be conducted to determine:  
  
If the subject of the allegation is a resident of DHA and, if so, to determine whether or not the information reported has been previously disclosed by the family.  
  
It will then be determined if DHA is the most appropriate authority to do a follow-up (more so than police or social services). Any file documentation of past behavior as well as corroborating complaints will be evaluated.
2. Conclusion of Preliminary Review: If at the conclusion of the preliminary file review there is/are fact(s) contained in the allegation which conflict with file data, and the fact(s) are independently verifiable, the Administrative Assistant will initiate an investigation to determine if the allegation(s) is/are true or false.



F. HOW DHA WILL INVESTIGATE ALLEGATIONS OF ABUSE AND FRAUD

If DHA determines that an allegation or referral warrants follow-up, the Administrative Assistant will conduct the investigation. The steps taken will depend upon the nature of the allegation and may include, but are not limited to, the items listed below:

1. Credit Bureau Inquiries. In cases involving previously unreported income sources, a CBI inquiry may be made to determine if there is financial activity which conflicts with the reported income of the family.
2. Georgia Labor Department: GDL may be contacted to verify wages which may have been previously undisclosed or misreported.
3. Neighbors/Witnesses: Neighbors and/or other witnesses may be interviewed who are believed to have direct or indirect knowledge of facts pertaining to DHA's review.
4. Other Agencies: Investigators, case workers or representatives of other benefit agencies may be contacted.
5. Public Records: If relevant, DHA will review public records kept in any jurisdictional courthouse. Examples of public records which may be checked are: real estate, marriage, divorce, uniform commercial code financing statements, voter registration, judgments, court or police records, state wage records, utility records and postal records.

G. PLACEMENT OF DOCUMENTS, EVIDENCE AND STATEMENTS OBTAINED BY DHA

Documents and other evidence obtained by DHA during the course of an investigation will be considered "work product" and will be kept in the resident file.

#### H. CONCLUSION OF DHA'S INVESTIGATIVE REVIEW

At the conclusion of the investigative review, the reviewer will report the findings to the Assisted Housing Director and/or Property Management Coordinator. It will then be determined whether a violation has occurred, or if the facts are inconclusive.

#### I. EVALUATION OF THE FINDINGS

If it is determined that a program violation has occurred, DHA will review the facts to determine:

The type of violation. (Procedural, non-compliance, fraud.)

Whether the violation was intentional or unintentional.

What amount of money (if any) is owed by the resident.

Is the family eligible for continued occupancy.

#### J. ACTION PROCEDURES FOR VIOLATIONS WHICH HAVE BEEN DOCUMENTED

Once a program violation has been documented, DHA will propose the most appropriate remedy based upon the type and severity of the violation.

1. Procedural Non-compliance

This category applies when the resident “fails to” observe a procedure or requirement DHA , but does not misrepresent a material fact, and there is no retroactive rent Owed by the family. Examples of non-compliance violations are:

Failure to appear at a pre-scheduled appointment.

Failure to return verification in the time period specified by DHA .

Failure to appear for Recertification appointment. In such cases a second appointment will be made for the family. If the second appointment is missed, the resident will go on temporary rent (resident pays full rent) until such time as the Recertification is completed.

2. Procedural Non-compliance – Overpaid Assistance

When the resident owes money to DHA for failure to report changes in income or assets, DHA will issue a Notification of Overpaid Assistance. This Notice will contain the following:

A description of the violation and the date(s).

Any amounts owed to DHA .

Give ten (10)days to respond.

The right to disagree and to request an informal hearing with instructions for the request of such hearing.

- a. Resident Fails to Comply with DHA’s Notice: If the Resident fails to comply with DHA’s notice, and a material provision of the lease has been violated, DHA will initiate termination of tenancy.

- b. Resident Complies with DHA's Notice: When a resident complies with DHA's notice, the staff person responsible will meet with him/her to discuss and explain the obligation or lease provision which was violated.

### 3. Intentional Misrepresentations

When a resident falsifies, misstates, omits or otherwise misrepresents a material fact which

results (or would have resulted) in an underpayment of rent by the resident, DHA will evaluate whether or not:

the resident had knowledge that his/her actions were wrong, and that the resident willfully violated the lease or the law.

Knowledge that the action or inaction was wrong: This will be evaluated by determining if the resident was made aware of program requirements and prohibitions. The resident's signature on various certification, lease, and Personal Declaration and are adequate to establish knowledge of wrong-doing.

The resident willfully violated the law. Any of the following circumstances will be considered adequate to demonstrate willful intent:

- a. An admission by the resident of the misrepresentation.
- b. That the act was done repeatedly.
- c. If a false name or Social Security Number was used.
- d. If there were admissions to others of the illegal action or omission.
- e. That the resident omitted material facts which were known to them (e.g., employment of self or other household member).
- f. That the resident falsified, forged or altered documents.
- g. That the resident uttered and certified to statements at a rent (re)determination which were later independently verified to be false.

- h. Any additional circumstances determined by DHA to constitute conference willful intent.

#### 4. The Informal Conference for Serious Violations and Misrepresentations.

When DHA has established that material misrepresentation(s) have occurred, an Informal Conference will be scheduled with the family representative.

This conference will take place prior to any action by DHA. The purpose of such conference is to review the information and evidence obtained by DHA with

the resident, and to provide the resident an opportunity to explain any documented findings which conflict with representations in the resident file. Any documents or mitigating circumstances presented by the resident will be taken into consideration by DHA.

A secondary purpose of the Informal Conference is to assist DHA in determining the course of action most appropriate for the case. Prior to the final determination of the proposed action, DHA will consider:

- The duration of the violation and number of false statements.

- The resident's ability to understand the rules.

- The resident's willingness to cooperate, and to accept responsibility for actions.

- The amount of money involved.

- The resident's past history.

- Whether or not criminal intent has been established.

#### 5. Disposition of Cases

In all cases of misrepresentation involving efforts to recover monies owed, DHA may pursue, depending upon its evaluation of the criteria stated above, one or more of the following actions:

- a. Criminal Prosecution: If DHA has established criminal intent, and the case meets the criteria for prosecution, DHA may:

Refer the case to the Local State or District Attorney, notify HUD's RIGI, and terminate rental assistance.

Refer the case to HUD's RIGI, and terminate rental assistance.

b. Administrative Remedies: DHA may:

Terminate tenancy and demand payment of restitution in full.

Terminate tenancy and pursue restitution through civil litigation.

Continue assistance at the correct rent upon repayment of restitution in full sixty (60) days.

Permit continued occupancy at the correct rent and execute an administrative repayment agreement in accordance with DHA's repayment policy.

6. Notification to Resident of Proposed Action

DHA will notify the resident of the proposed action no later than ten (10) days after the resident conference by mail.

## Chapter 17

### DECONCENTRATION POLICY

#### A. DECONCENTRATION POLICY

The Decatur Housing Authority has high expectations aim to significantly reduce any persistent levels of racial segregation and poverty concentration to make the communities not segregated by factors of income and race. The Housing Authority will focus on specific income mixing and fair housing obligations. We are committed to meeting the critical housing needs of the residents of the City of Decatur and their families to expand opportunities through housing assistance. To encourage income mixing the housing authority has created ceiling and flat rents. The Housing Authority will work hard to bring higher income residents into any relatively lower income communities/buildings and lower income tenants into relatively higher income sites/communities. The Decatur Housing Authority will study classified buildings and prospective residents according to their income levels and then make lease-up decisions ~~that~~ would gradually improve the income mixing under public housing agency management.

**DEKALB HOUSING AUTHORITY**  
**PHA GOVERNING BOARD**

FY 2001

**RESIDENT MEMBER of the PHA GOVERNING BOARD:**

The Executive Director has requested the local county commission to appoint a resident member to the PHA governing board. No decision has been made at this time.



**DEKALB HOUSING AUTHORITY**  
**RESIDENT ADVISORY BOARD**

FY 2001

**RESIDENT ADVISORY BOARD MEMBERS:**

- 1) Mary Betty Banks, Public Housing Resident and Resident Association President
- 2) Harietta Kennedy, Section 8 Participant
- 3) Robin Allen, Section 8 Participant

# Public Housing Drug Elimination Program Plan

**DEKALB HOUSING AUTHORITY**  
**GRANT # GA06DEP2370101**

**REVISED 4/11/01**

**Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.**

## Annual PHDEP Plan Table of Contents:

1. **General Information/History**
2. **PHDEP Plan Goals/Budget**
3. **Milestones**
4. **Certifications**

## Section 1: General Information/History

- A. **Amount of PHDEP Grant** \$ 171,493.00
- B. **Eligibility type (Indicate with an "x")** N1 \_\_\_\_\_ N2 \_\_\_\_\_ R X
- C. **FFY in which funding is requested** FY 2001

## **D. Executive Summary of Annual PHDEP Plan**

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Housing Authority's programs will use a drug and drug-related crime elimination approach that consists of prevention, intervention, resident programming, community policing and management practices throughout the Dekalb Housing Authority's public housing complexes to reduce and eliminate crime in our community. A few examples of the Prevention Activities are the STAR Program (Successfully Teaching Academic Readiness) that includes daily after-school tutoring activities for the public housing youth, assistance with homework, computer based academic mentoring to help children either catch up with their schoolwork and to teach them new computer skills. In addition, our staff provides for the children in our community the opportunity to enroll in Youth Organizations such as the Boy Scouts, Girl Scouts, 4-H and Teens in Action along with other Educational Programs that teach community responsibility and personal empowerment that appear to help children in the fight to refrain from involvement in illegal activities that are often drug related. The Housing Authority's Program and Plan have successfully met the challenge of drug related crime reduction over the past 10 years and with continued funds we can continue to keep the level of drug related crime lower than before the outset of PHDEP.

## **E. Target Areas**

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Johnson Ferry East	498	755
Tobie Grant Manor	200	310
<b>Total</b>	<b>698</b>	<b>1065</b>

**F. Duration of Program**

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an “x” to indicate the length of program by # of months. For “Other”, identify the # of months).

**6 Months** \_\_\_\_\_ **12 Months** \_\_\_\_\_ **18 Months**   X   **24 Months** \_\_\_\_\_ **Other** \_\_\_\_\_

**G. PHDEP Program History**

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1992	\$250,000	GA01DEP23792			CLOSED
FY 2000	159998	GA06DEP23700			JUNE 2002

**Section 2: PHDEP Plan Goals and Budget**

**A. PHDEP Plan Summary**

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

Our Comprehensive Drug Elimination strategy incorporates enforcement and security techniques, a combination of drug abuse prevention, intervention, referral programs, management practices and program evaluation. The Drug Education Programs and Policing activities will reach and/or assist approximately 1,065 residents of whom 25% are disabled, 33% are elderly and 42% are part of a family unit, 54% are single head of household and 31% are the associated youth. The Housing Authority and the Resident Services staff will continue to provide drug prevention and drug intervention programs collaboratively with other community and health agencies, the local school system, recreation departments and human service agencies to promote academic, social, physical, career and environmental awareness in the community and to effectively provide police presence. We will also provide assistance to residents of all demographic groups including children, young adults, parents, families, senior citizens and the physically challenged. The Dekalb Housing Authority will contract with the Dekalb Police Department for the services of four police officers for additional security and protection that is needed in our public housing developments to help curtail drugs and drug-related crime. The officers will be responsible for on-site law enforcement and will collect data on crime activities as well as promoting other aspects of the Housing Authority's Drug Elimination Program through community outreach efforts. A full range of educational programs, cultural and social activities, athletics, summer programs/camp, family support services, skill development, and economic empowerment activities will be offered year-round, but will vary due to school schedules, etc. Attendance records and participation records are maintained on a monthly basis as well as a Monthly Report detailing the progress of each of the 121 drug prevention/crime reduction and/or self-sufficiency programs provided.

**B. PHDEP Budget Summary**

Enter the total amount of PHDEP funding allocated to each line item.

<b>FY 2001 PHDEP Budget Summary</b>	
<b>Budget Line Item</b>	<b>Total Funding</b>
9110 – Reimbursement of Law Enforcement	\$ 45,760.00
9120 - Security Personnel	\$ .00
9130 – Employment of Investigators	\$ .00
9140 – Voluntary Tenant Patrol	\$ .00
9150 - Physical Improvements	\$ .00
9160 - Drug Prevention	\$119,180.00
9170 - Drug Intervention	\$ 6,403.00
9180 - Drug Treatment	\$ 150.00
9190 - Other Program Costs	\$ .00
<b>TOTAL PHDEP FUNDING</b>	<b>\$ 171,493.00</b>

### C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

<b>9110 – Reimbursement of Law Enforcement</b>					<b>Total PHDEP Funding: \$ 45,760</b>		
Goal(s)	To provide additional security and protection that is needed in our public housing developments to help curtail drugs and drug-related crime.						
Objectives	To contract with the County of DeKalb Police Dept. for the services of 4 police officers.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.Contractual -Direct Labor			12/1/2001	6/01/2003	\$45,760	\$137,280.00 Operational/CGP	
2.Supervising Personnel			12/1/2001	6/01/2003	0	\$20,000.00 Operational/CGP	
3.Travel Expenses			12/1/2001	6/01/2003	0	\$5,667.00 Operational/CGP	
4.Equipment			12/1/2001	6/01/2003	0	0	
5. Supplies			12/1/2001	6/01/2003	0	\$10,944.00 Operational/CGP	
<b>Total</b>					<b>\$45,760</b>	<b>\$173,891.00</b>	

<b>9120 – Security Personnel</b>		<b>None</b>		<b>Total PHDEP Funding \$ .00</b>	
Goal(s)					
Objectives					

<b>9130 – Employment of Investigators</b>		<b>None</b>		<b>Total PHDEP Funding: \$ .00</b>	
Goal(s)					

Objectives	
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<b>9140 – Voluntary Tenant Patrol</b>	<b>Total PHDEP Funding: \$ .00</b>
Goal(s)	
Objectives	

<b>9150 – Physical Improvements</b>	<b>None</b>	<b>Total PHDEP Funding: \$ .00</b>
Goal(s)		
Objectives		

<b>9160 – Drug Prevention</b>	<b>Total PHDEP Funding: \$ 119,180</b>						
Goal(s)	To prevent youth and adults from succumbing to the allure of drugs and drug related criminal activities.						
Objectives	To provide educational programs, cultural and social activities, athletics, summer camp & enrichment, family support services and skill development that provide alternatives to drugs and drug-related crime.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Personnel – Youth & Family Advisors/Aides			12/1/2001	6/1/2003	\$58,773	\$92,278.30 Operational/CGP	
2. Fringe Benefits			12/1/2001	6/1/2003	\$12,900	\$25,923.41 Operational/CGP	
3. Travel			12/1/2001	6/1/2003	\$5,445	\$2,695.00 Operational/CGP	
4. Equipment			12/1/2001	6/1/2003	\$23,401	\$1,000.00 Operational/CGP	
5. Supplies			12/1/2001	6/1/2003	\$5,981	\$14,601.00 Operational/CGP	
6. Contractual			12/1/2001	6/1/2003	\$12,680	\$20,950.00 Operational/CGP	
<b>Total</b>					<b>\$119,180</b>	<b>\$157,447.71</b>	

<b>9170 – Drug Intervention</b>	<b>Total PHDEP Funding: \$6,403</b>						
Goal(s)	To encourage the Youth to talk openly and freely about peer pressure to use drugs and encourage Parents to teach their children how to say “no” and how to better communicate as a family.						
Objectives	To provide Programs for the youth that teach leadership skills, independence and self-sufficiency and educate Parents on the importance of drug free living and the serious negative consequences of drugs.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Personnel –Family & Youth Advisors			12/1/2001	6/1/2003	\$4,133	\$7,825.31 Operational/CGP	
2. Fringe Benefits			12/1/2001	6/1/2003	\$2,270	\$1,282.00 Operational/CGP	
3. Travel					0	\$1,000.00 Operational/CGP	
4. Supplies					0	\$3,250.00 Operational/CGP	
<b>Total</b>					<b>\$6,403</b>	<b>\$13,357.31</b>	

<b>9180 – Drug Treatment</b>					<b>Total PHDEP Funding: \$ 150</b>		
Goal(s)	To sponsor and encourage the utilization of the 12-step Recovery Programs by residents in need.						
Objectives	To offer referrals to treatment and recovery programs and work with various agencies to tailor programs to the needs of the residents.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Personnel			12/1/2001	6/1/2003	0	\$8,300 Operational/CGP	
2. Supplies			12/1/2001	6/1/2003	\$150	0	
<b>Total</b>					<b>\$150</b>	<b>\$8,300</b>	

<b>9190 – Other Program Costs</b>		<b>None</b>	<b>Total PHDEP Funds: \$ .00</b>	
Goal(s)				
Objectives				

### **Section 3: Expenditure/Obligation Milestones**

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

<b>Budget Line Item #</b>	<b>25% Expenditure of Total Grant Funds By Activity #</b>	<b>Total PHDEP Funding Expended (within 12 months)</b>	<b>50% Obligation of Total Grant Funds by Activity #</b>	<b>Total PHDEP Funding Obligated (within 12 months)</b>
9110	Activity 1, 2, 3, 4, 5	\$11,440.00	Activity 1, 2, 3, 4	\$22,880.00
9120	None	.00	None	.00
9130	None	.00	None	.00
9140	None	.00	None	.00
9150	None	.00	None	.00
9160	Activity 1, 2, 3, 4, 5, 6	\$29,795.00	Activity 1, 2, 3, 4, 5, 6	\$59,590.00
9170	Activity 1, 2, 3, 4	\$1,600.75	Activity 1, 2, 3, 4	\$3,201.50
9180	Activity 1, 2	\$37.50	Activity 1, 2	\$75.00
9190	None	.00	None	.00
<b>TOTAL</b>		<b>\$ 42,873.25</b>		<b>\$ 85,746.50</b>

### **Section 4: Certifications**

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

**Hard copy and Certifications mailed to Atlanta HUD Office.**

**HOUSING AUTHORITY OF THE COUNTY OF DEKALB, GEORGIA**

**Component 3, (6) Deconcentration and Income Mixing**

- a.  Yes  No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b.  Yes  No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

<b>Deconcentration Policy for Covered Developments</b>			
<b>Development Name:</b>	<b>Number of Units</b>	<b>Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]</b>	<b>Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]</b>

The Dekalb Housing Authority has high expectations aim to significantly reduce any persistent levels of racial segregation and poverty concentration to make the communities not segregated by factors of income and race. The Housing Authority will focus on specific income mixing and fair housing obligations. We are committed to meeting the critical housing needs of the residents of the County of Dekalb and their families to expand opportunities through housing assistance. To encourage income mixing the housing authority has created flat rents. The Housing Authority will work hard to bring higher income residents into any relatively lower income communities/buildings and lower income tenants into relatively higher income sites/communities. The Dekalb Housing Authority will study classified buildings and prospective residents according to their income levels and then make lease-up decisions that would gradually improve the income mixing under public housing agency management.