

11/14/2000

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

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# PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004

Annual Plan for Fiscal Year 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN  
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

## PHA Plan Agency Identification

**PHA Name:** Housing Authority of the City of Hartford

**PHA Number:** CT003

**PHA Fiscal Year Beginning:** 01/2001

### Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- Main administrative office of the PHA – 475 Flatbush Avenue, Hartford, CT
- PHA development management offices
- PHA local offices

### Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA – 475 Flatbush Avenue, Hartford, CT
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website (Plans only )
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA – 475 Flatbush Avenue, Hartford, CT
- PHA development management offices
- Other (list below)

**5-YEAR PLAN**  
**PHA FISCAL YEARS 2000 - 2004**  
[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

*The Hartford Housing Authority's Mission is to continue changing public housing in Hartford, Connecticut as we know it today by providing high quality affordable rental and homeownership opportunities while being a positive catalyst for family independence and diverse communities.*

**For more information regarding mission and activities visit**

**<http://www.hartnet.org>**

Housing Authority Low Income Public Housing Developments:	
CT001	Nelton Court
CT002	Dutch Point
CT003	Mary Shepard Place
CT004	Charter Oak Terrace (TEC Community)
CT005	Stowe Village
CT006	Mary Mahoney Village (Sr)
CT007	Kent Apartments (Sr)
CT008	Smith Towers (Sr)
CT011	Knox Apartments (Sr)
CT010,15,16 17	Scattered Sites

## **B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

### **HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- PHA Goal: Expand the supply of assisted housing  
Objectives:
  - Apply, when available, for additional rental vouchers:
  - Reduce public housing vacancies:
  - Seek projects that can leverage private or other public funds to create additional housing opportunities:
  - See opportunities to acquire or build units or developments
  - Other (list below)
  
- PHA Goal: Improve the quality of assisted housing  
Objectives:
  - Maintain "High Performing" status on PHAS scores
  - Improve voucher management: (SEMAP score) (Scores not available yet)
  - Maintain high level of customer satisfaction:
  - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
  - Renovate or modernize public housing units:
  - Demolish or dispose of obsolete public housing:
  - Provide replacement public housing as funds can be secured
  - Provide replacement vouchers as vouchers can be secured
  - Other: (list below)
  
- PHA Goal: Increase assisted housing choices  
Objectives:
  - Continue to provide voucher mobility counseling:
  - Continue to conduct outreach efforts to potential voucher landlords
  - Increase voucher payment standards
  - Implement voucher homeownership program:
  - Continue implementing public housing homeownership programs:
  - Implement public housing site-based waiting lists:
  - Convert public housing to vouchers:
  - Other: (list below)

**HUD Strategic Goal: Improve community quality of life and economic vitality**

- PHA Goal: Provide an improved living environment  
Objectives:
- Per QHWRRA, implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
  - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
  - Continue to implement public housing security improvements:
  - Designate developments or buildings for particular resident groups (elderly, persons with disabilities) - 5 year goal
  - Other: (list below)

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

- PHA Goal: Promote self-sufficiency and asset development of assisted households  
Objectives:
- Increase the number and percentage of employed persons in assisted families:
  - Provide or attract supportive services to improve assistance recipients' employability:
  - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
  - Other: (list below)

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing  
Objectives:
- Continue affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
  - Continue affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
  - Continue affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
  - Other: (list below)

**Other PHA Goals and Objectives: (list below)**

**GOAL I**

**Build families in their quest for economic self-sufficiency, self – respect and homeownership.**

**OBJECTIVES:**

1. HHA will mandate, as a condition of providing housing, that all public housing residents access TEC [Twenty / 20 Education Community] services that support economic opportunity and quality of life, by December 31, 2003
2. The HHA's Family Reunification program shall be expanded to reunite 250 fathers with their families by December 31, 2004.
3. The HHA's A / B Club will expand to a maximum membership of 250 youth by December 31, 2003
4. HHA will collaborate with the State Department of Social Services and other partners to expand its Family Investment Center to include space for additional DSS offices accessible to all of its housing developments, in order to enhance welfare to work related services to our residents, by July 1, 2004
5. HHA will apply to at least two appropriate foundations for grant funds within the next two years. These funds will allow us to expand our Resident Initiatives programs.
6. The HHA's community centers shall be more effectively utilized to provide resident services as measured by increasing their utilization to 75% of the time by March 31, 2004
7. HHA shall assist 100 families voluntarily move from assisted to unassisted housing by December 31, 2003.
8. Through the TEC Community Program, HHA shall ensure that all of its school age children are encouraged and rewarded for doing well in school.

**GOAL II**

**Rebuild each community to achieve high quality of life expectations through lower densities and modern housing quality standards.**

**Objectives:**

1. HHA will apply for demolition funds through HOPE VI to deconstruct 263 units at Stowe Village by December 31, 2002
2. HHA shall assist 200 families move from renting to homeownership by 12/31/04.
3. Depending on funding, HHA shall build or acquire 171 units to be used either as homeownership, lease with the option to own, or rental by December 31, 2004. These units shall be low maintenance and utilize the most recent technology for energy conservation and cost effectiveness.
4. HHA shall locate at least two partners, non-profit or for-profit, locally or nationally based. These partners will work with us on the acquisition, improvement and / or development of additional housing opportunities for public housing income eligible families in a mixed financing mode.
5. HHA will work cooperatively with the City of Hartford and the U.S. Department of Labor to locate a Job Corps Center in the ABC Section of Charter Oak Terrace.
6. HHA, in year 2 or later of this plan, will explore the possibility of converting all or part of one of its Senior Developments to accommodate 1BR demand as evidenced by the Wait List.

**Annual PHA Plan**  
**PHA Fiscal Year 2001**  
[24 CFR Part 903.7]

**i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

**Standard Plan**

**Streamlined Plan:**

- High Performing PHA**
- Small Agency (<250 Public Housing Units)
- Administering Section 8 Only

**Troubled Agency Plan**

**ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Hartford Housing Authority has a well deserved reputation as an innovative Public Housing Authority due to the advanced status of physical redevelopment and tenant initiatives currently under way within the Authority thanks to earlier plans that were made and implemented.

Therefore, the Authority was pleased to engage in QHWRA planning as required by HUD. The Authority considers it a continuation of a process that has been used locally and which is deemed essential and urgent for the transformation of public housing into a program which will advance families and communities in the 21<sup>st</sup>. Century.

Many of the changes reflected in the QHWRA Plans and Attachments are responses to congressional mandates. Other changes which are being proposed as local options are designed to encourage families in their own search for self- sufficiency. The plans also indicate the informal linkage between the State's Welfare Department (DSS) and the benefits that accrue to families while living in public housing.

The Hartford Housing Authority considers the planning process under QHWRA as a continuation of an on-going and successful effort to identify needs in the community and to respond effectively to those needs. The Authority has worked closely with the City of Hartford and its several departments in designing previous plans and actually garnering the resources to implement those earlier plans. The Authority will continue to work closely with the City well over 100 partners to refine these plans and to implement the plans as they are approved.

### **iii. Annual Plan Table of Contents**

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection

#### **Table of Contents**

##### **Annual Plan**

Executive Summary

Table of Contents

1. Housing Needs
  2. Financial Resources
  3. Policies on Eligibility, Selection and Admissions
  4. Rent Determination Policies
  5. Operations and Management Policies
  6. Grievance Procedures
  7. Capital Improvement Needs
  8. Demolition and Disposition
  9. Designation of Housing
  10. Conversions of Public Housing
  11. Homeownership
  12. Community Service Programs
  13. Crime and Safety
  14. Pet Policy
  15. Civil Rights Certifications (included with PHA Plan Certifications)
  16. Audit
  17. Asset Management
  18. Other Information
- Community Service Summary**  
**Pet Policy Summary**  
**Statement of progress in meeting mission and goals outlined in its current 5 Year Plan**

##### **Attachments**

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration- *Attachment CT003a01.doc*
- FY 2001 Capital Fund Program Annual Statement - *Attachment CT003b01.doc*
- Most recent board-approved operating budget (*Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY*)

Optional Attachments:

- PHA Management Organizational Chart
- FY 2001 Capital Fund Program 5 Year Action Plan– *Attachment CT003c01.doc*



- Public Housing Drug Elimination Program (PHDEP) Plan– Attachment  
**CT003f01.doc**
- Comments of Resident Advisory Board or Boards (must be attached if not  
**included in PHA Plan text**)
- Other (List below, providing each attachment name)

### Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA together with the City of Hartford has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program - see: <b>Statement of Financial Resources– Item # 2)</b>	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP] <b>CT003e01.doc</b>	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan <b>CT003d01.doc</b>	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: <b>CT003a01.doc</b> 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Sec 8 Administrative plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents,	Annual Plan: Operations and

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
	including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Sec 8 Administrative Plan	Annual Plan: Informal reviews, formal hearings
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement for the active grant year <b>CT003b01.doc</b>	Annual Plan: Capital Needs
N / A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program <b>CT003c01.doc</b>	Annual Plan: Capital Needs
X	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N / A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
X	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N / A	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
<b>Under discussion</b>	Any cooperative agreement between the PHA and the TANF agency + description of cooperative programs	
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N / A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
<b>X</b>	Pet Policy	ACOP
<b>X</b>	Community Service	ACOP
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

# 1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

## A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	<b>16,101</b>	NA	NA	NA	NA	4	City
Income >30% but <=50% of AMI	<b>8,264</b>	NA	NA	NA	NA	4	City
Income >50% but <80% of AMI	<b>6,824</b>	NA	NA	NA	NA	4	City
Elderly	<b>6,151</b>	NA	NA	NA	NA	NA	NA
Families Individuals with Disabilities**	8,460	NA	NA	NA	NA	NA	NA
<b>White/Not Hispanic</b>	<b>42,614</b>	NA	NA	NA	NA	NA	NA
<b>Black / Not Hispanic</b>	<b>50,255</b>	NA	NA	NA	NA	NA	NA
<b>Hispanic</b>	<b>44,137</b>	NA	NA	NA	NA	NA	NA
<b>Other, Not Hispanic</b>	<b>2,763</b>	NA	NA	NA	NA	NA	NA

NA = Not available Data: Planning Department, City of Hartford 8/99

CHAS Table 1 C All Households– Jurisdiction, Hartford, City, CT

\*\* (Non-institutionalized persons 16– 64 years old with a mobility or other limitation)

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction  
Indicate year: 1995 + Updates
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data  
Indicate year:
- Other housing market study: *HHA Wait list / CHAS Table 1 C*  
1990 Census Total Population 139,739  
Indicate year: 1990

Other sources: (list and indicate year of information) *Income estimates are based upon 1998 updated demographic data compared against the 1999 HOME Program Income Limits for a household size of 4*

**B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists**

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

<b>Housing Needs of Families on the Waiting List – PUBLIC HOUSING</b>			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance [Sec 8 WL closed. All Certs and Vouchers currently available are being held for relocation at Stowe Village]		
<input checked="" type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	<b>1189</b>		35%
Extremely low income <=30% AMI	<b>929</b>	<b>78.13</b>	
Very low income (>30% but <=50% AMI)	<b>191</b>	<b>16.07</b>	
Low income (>50% but <80% AMI)	<b>69</b>	<b>5.80</b>	
Families with children	<b>596</b>	<b>50.12</b>	
Elderly families	<b>10</b>	<b>.84</b>	
Families with Disabilities	<b>115</b>	<b>9.67</b>	
Race/ethnicity W	<b>20</b>	<b>1.68</b>	
Race/ethnicity B	<b>455</b>	<b>38.27</b>	
Race/ethnicity His	<b>708</b>	<b>59.55</b>	
Race/ethnicity Other	<b>6</b>	<b>.50</b>	

Characteristics by Bedroom Size (Public Housing Only)			
1BR	<b>593</b>	<b>49.87</b>	
2 BR	<b>200</b>	<b>16.83</b>	
3 BR	<b>255</b>	<b>21.44</b>	
4 BR	<b>141</b>	<b>11.86</b>	
5 BR	<b>0</b>	<b>0</b>	
5+ BR	<b>0</b>	<b>0</b>	
<p>Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes (<b>except 2BR &amp; ELDERLY</b>)</p> <p>If yes:</p> <p>How long has it been closed (# of months)? <b>18</b></p> <p>Does the PHA expect to reopen the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes</p> <p>Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes (e.g. Senior, 2BR see above)</p>			

### Housing Needs of Families on the Waiting List– SECTION 8 - 2001

Waiting list type: (select one)

- Section 8 tenant-based assistance
- Public Housing
- Combined Section 8 and Public Housing
- Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	<b>3006</b>		
Extremely low income <=30% AMI	<b>2315</b>	<b>77.01</b>	
Very low income (>30% but <=50% AMI)	<b>660</b>	<b>21.96</b>	
Low income (>50% but <80% AMI)	<b>31</b>	<b>1.03</b>	
Families with children	<b>2329</b>	<b>77.48</b>	
Elderly families	<b>134</b>	<b>4.56</b>	
Families with Disabilities	<b>588</b>	<b>19.56</b>	
1BR Non Eld or Dis	<b>402</b>	<b>13.37</b>	
Race/ethnicity W	<b>132</b>	<b>4.39</b>	
Race/ethnicity B	<b>1148</b>	<b>38.19</b>	
Race/ethnicity His	<b>1464</b>	<b>48.70</b>	
Race/ethnicity Other	<b>262</b>	<b>8.72</b>	
Characteristics by Bedroom Size ( )			
1BR	<b>656</b>	<b>21.82</b>	
2 BR	<b>1213</b>	<b>40.35</b>	
3 BR	<b>971</b>	<b>32.30</b>	
4 BR	<b>144</b>	<b>4.79</b>	
5 BR	<b>20</b>	<b>.66</b>	
5+ BR	<b>2</b>	<b>.08</b>	

Is the waiting list closed (select one)?  No  Yes

If yes:

How long has it been closed (# of months)? **6**

Does the PHA expect to reopen the list in the PHA Plan year?  No  Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?  No  Yes

### C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### (1) Strategies

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units— *as compared to 1998 PHMAP performance measures*
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing *where possible*
- Pursue housing resources other than public housing or Section 8 tenantbased assistance *where possible*
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed** HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed** HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below) *Elderly developments under management by HHA are experiencing vacancies. Cooperative programs utilizing existing resources from the City of Hartford and partnering agencies will be developed to enhance marketability.*

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- Continue to carry out and complete* the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**



**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Continue to counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units– (*cf. Regional Opportunity Counseling Program ROC*)
- Continue to market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

## 2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year.

Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2001 grants)</b>		
a) Public Housing Operating Fund	\$4,900,000	
b) Public Housing Capital Fund	<b>\$4,690,906</b>	See 5 year Plan CT003c01.doc
<b>c) HOPE VI Revitalization Dutch Pt Planning activities currently underway.</b>	<b>\$20,000,000 est</b>	Partners: City; neighborhood corporation; others
d) HOPE VI Demolition	Included in above	
e) Annual Contributions for Section 8 Tenant-Based Assistance	<b>\$9,250,000</b>	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	<b>\$518,503</b>	
g) Resident Opportunity and Self- Sufficiency Grants	<b>\$250,000</b>	
h) Community Development Block Grant	\$20,000	PH Supportive Serv.
i) HOME		
Other Federal Grants (list below)		
Hope I	<b>\$0</b>	
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
Comp Grant '99	<b>\$0</b>	PH Capital improv
Development Grant – <b>Stowe Village</b>	<b>\$13,910,210</b>	PH Capital improv
<b>3. Public Housing Dwelling Rental Inc.</b>		
Rental Income	<b>\$3,000,000 (est)</b>	PH Operations
<b>4. Other income (list below)</b>		
5 (h) Homeownership proceeds	<b>\$100,000 (est)</b>	PH Supportive Serv.
<b>4. Non-federal sources (list below)</b>		
Interest on Investments	\$150,000	PH Operations
<b>Total resources</b>	<b>\$56,789,619</b>	

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 (c)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (varies—approx. 25)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe) (Credit checks and past-due balances to PHAs)

c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

##### **(2) Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below) Disabled and Elderly families may be sent applications by mail.

- c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, **skip to subsection (3) Assignment Not Applicable**

1. How many site-based waiting lists will the PHA operate in the coming year?
2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously HUD-approved site based waiting list plan)?  
If yes, how many lists?
3.  Yes  No: May families be on more than one list simultaneously  
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
  - PHA main administrative office
  - All PHA development management offices
  - Management offices at developments with site-based waiting lists
  - At the development to which they would like to apply
  - Other (list below)

**(3) Assignment**

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
- One
  - Two
  - Three or More
- b.  Yes  No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

**(4) Admissions Preferences**

- a. Income targeting:  
 Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer policies:  
In what circumstances will transfers take precedence over new admissions? (list below)
- Emergencies
  - \* Overhoused \* (Vacancy rate >3%: Can transfer but at ratio 1trans : 3 new admit)

- (Vacancy <3%: Can transfer at ratio 1:1)
- \* Underhoused \*(Vacancy rate >3%: Can transfer but at ratio 1 trans :3 new admit)  
(Vacancy <3%: Can transfer at ratio 1:1)
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below) **To achieve DECONCENTRATION and INCOME MIX**

c. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection (5) Occupancy)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)  
Elderly / Disabled (Singles)  
Families desiring TEC Community (Twenty/20 Education Communities)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

1 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 1 Working families and those unable to work because of age or disability  
Veterans and veterans' families
- 1 Residents who live and/or work in the jurisdiction  
Those enrolled currently in educational, training, or upward mobility programs  
Households that contribute to meeting income goals (broad range of incomes)
- 1 Households that contribute to meeting income requirements (targeting)  
Those previously enrolled in educational, training, or upward mobility programs  
Victims of reprisals or hate crimes  
Other preference(s) (list below)
- 1 Elderly / Disabled (Singles)
- 1 Families desiring TEC Communities (Twenty/20 Education Communities)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing**

a.  Yes  No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b.  Yes  No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site based waiting lists  
If selected, list targeted developments below:

Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments  
If selected, list targeted developments below: **ALL LIPH**

Employing new admission preferences at targeted developments  
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d.  Yes  No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income mixing
- Other (list below) (working preference)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below: **ALL LIPH FAMILY DEVELOPMENTS – see page 1 of Five year plan (above) for listing**

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

## **B. Section 8**

Exemptions: PHAs that do not administer section 8 are not required to complete subcomponent 3B.

**Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### **(1) Eligibility**

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)
- b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
- Other (describe below)
- Current Address
  - Name & Address of family's current / prior landlord(s) if available

### **(2) Waiting List Organization**

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)
- Relocation of families from Obsolete Public Housing Units
- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)



- PHA main administrative office  
 Other (list below)  
 A third party under contract to HHA will perform advertising, mailing and lottery functions

**(3) Search Time**

- a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

*Up to 120 Days to for reasonable accommodations, household emergency, natural disasters, hospitalization or sickness of a household member, extraordinary family size, or need for barrier free housing..*

**(4) Admissions Preferences**

- a. Income targeting

- Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

- b. Preferences

1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent(5) Special purpose section 8 assistance programs)

**Elderly disabled singles over non elderly disabled singles**

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)  
 Victims of domestic violence  
 Substandard housing  
 Homelessness  
 High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability  
 Veterans and veterans' families  
 Residents who live and/or work in your jurisdiction  
 Those enrolled currently in educational, training, or upward mobility programs  
 Households that contribute to meeting income goals (broad range of incomes)

- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)  
Elderly / Disabled Singles

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

2 Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes

- Other preference(s) (list below)  
1 **Elderly / Disabled Single**

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers

- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Special Purpose Section 8 Assistance Programs**

- a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan  
 Briefing sessions and written materials  
 Other (list below)  
Applications for Vouchers  
Rental Assistance for Non-elderly persons with disabilities  
Information packet given to relocation candidates

- b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices  
 Other (list below)  
Public Service Announcements  
HHA Web Site

**4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

**A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 4A.

**(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

- a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

- b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25 (\$0 if hardship can be proven)
- \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

Long term / short term hardship determination can include temporary or permanent loss of income, death of a family member with wages, etc

c. Rents set at less than 30% than adjusted income

1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

- A. **Flat Rent or "30% option" – family's choice**
- B. Minimum rent (\$0.00 - \$25) will be assessed based on proven need or circumstances

**FLAT RENTS:**

- A. Developments Newly Constructed (TEC)+ New Stowe 90% FMR
- B. Developments Recently Reconstructed (Mary Shepard 70 % FMR
- C. All other LIPH Developments (Family & Senior) 60% FMR

TYPE	A	B	C
<b>BRs/% FMR</b>	<b>90%</b>	<b>70%</b>	<b>60%</b>
<b>Efficiency</b>	<b>394.00</b>	<b>307.00</b>	<b>263.00</b>
<b>1</b>	<b>491.00</b>	<b>382.00</b>	<b>327.00</b>
<b>2</b>	<b>627.00</b>	<b>488.00</b>	<b>418.00</b>
<b>3</b>	<b>788.00</b>	<b>613.00</b>	<b>525.00</b>
<b>4</b>	<b>956.00</b>	<b>743.00</b>	<b>637.00</b>
<b>5</b>	<b>1098.00</b>	<b>855.00</b>	<b>733.00</b>

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member (until next annual recertification)
- For increases in earned income (until next annual recertification)
- Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)  
If yes, state percentage/s and circumstances below:

- For household heads  
 For other family members  
 For transportation expenses  
 For the non-reimbursed medical expenses of non-disabled or non-elderly families (*In excess of 3% of the gross annual income*)  
 Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income)  
(select one)

- Yes for all developments  
 Yes but only for some developments  
 No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments  
 For all general occupancy developments (not elderly or disabled or elderly only)  
 For specified general occupancy developments  
 For certain parts of developments; e.g., the high-rise portion  
 For certain size units; e.g., larger bedroom sizes  
 Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study  
 Fair market rents (FMR)  
 95<sup>th</sup> percentile rents  
 75 percent of operating costs  
 100 percent of operating costs for general occupancy (family) developments  
 Operating costs plus debt service  
 The "rental value" of the unit  
 Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)\_\_\_\_\_
- Other (list below)

However, must recertify when change of family composition occurs due to marriage, death or at time of transfer.

g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

**(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

City of Hartford Assessor's Office  
U.S. Department of HUD – Published FMRs

**B. Section 8 Tenant-Based Assistance**

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

**(1) Payment Standards**

Describe the voucher payment standards and policies

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard?  
(select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level?  
(select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

## **(2) Minimum Rent**

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25 (\$0.00 - \$25)
- \$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)  
Loss of income, loss of life

## **5. Operations and Management**

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5:

High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

## A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached – **CT003k01.doc**
- A brief description of the management structure and organization of the PHA follows:  
Under a Board of Commissioners which includes 2 Tenants, the Executive Director manages principal divisions including: Operations, Housing Fiscal, Human Services and Modernization.

## B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	1,671	30%
Section 8 Vouchers	1,574	30%
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)	1,671	30%
Other Federal Programs(list individually)		
Regional Opportunity Counseling (ROC)	150	N/A
ROSS	600	30%
Elderly Services Coordinator (ROSS)	400	20%

## C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management:



Admissions and Continued Occupancy Plan  
Rules and Regulations  
Maintenance Manual  
Policy & Procedures Manual

- (2) Section 8 Management: (list below)  
Section 8 Administrative Plan

## **6. PHA Grievance Procedures**

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6:

High performing PHAs are not required to complete component 6 Section 8-Only PHAs are exempt from sub-component 6A.

### **A. Public Housing**

1.  Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office  
 PHA development management offices  
 Other (list below)

### **B. Section 8 Tenant-Based Assistance**

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office  
 Other (list below)

## **7. Capital Improvement Needs**

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

## A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

### (1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure longterm physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, **by completing and attaching a properly updated HUD-52837.**

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment **(Capital Fund Program Annual Statement) CT003b01.doc**

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

### (2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD52834.

a.  Yes  No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at **Attachment (Optional Table for 5-Year Action Plan for Capital Fund (Component 7)) CT003c01.doc**

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

## B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes  No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name: Stowe Village
2. Development (project) number: CT003 05
3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes  No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

**Dutch Point**

Yes  No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below: Possibly Stowe Village, Dutch Point, Nelton Court and / or Economic Development initiatives at Charter Oak or Stowe Village

Yes  No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

Charter Oak Terrace Economic Development Park

**Scattered Site Replacement Housing**

## **8. Demolition and Disposition**

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes  No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

<b>Demolition/Disposition Activity Description</b>
--

1a. Development name: Stowe Village / Charter Oak Terrace ABC
1b. Development (project) number: CT003 05 / CT003 04
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) <b>Approved <input checked="" type="checkbox"/> / Stowe Village Demolition</b> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/> / <b>Charter Oak Terrace ABC / Stowe Village / Econ Dev.</b>
4. Date application approved, submitted, or planned for submission: <b><u>( 5/01/00) / 2000/1</u></b> <b>respectively)</b>
5. Number of units affected:
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 30 days after application approval b. Projected end date of activity: 18 Months from start date

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the US. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

<b>Designation of Public Housing Activity Description</b>	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one)	Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission:(DD/MM/YY)	
5. If approved, will this designation constitute a (select one)	<input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:	
7. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

## **10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

### **A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1.  Yes  No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

#### 2. Activity Description

- Yes  No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

<b>Conversion of Public Housing Activity Description</b>	
1a. Development name: COT ABC Section	
1b. Development (project) number: CT003 04	
2. What is the status of the required assessment?	<input type="checkbox"/> Assessment underway

<input type="checkbox"/> Assessment results submitted to HUD <input checked="" type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input checked="" type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: 09/30/95) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: ) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: ) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

**B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937**

**11. Homeownership Programs Administered by the PHA**

[24 CFR Part 903.7 9 (k)]

**A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1.  Yes  No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under **section 5(h)**, the HOPE I program, or section 32 of the U.S.

Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to small PHA or high performing PHA status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

<b>Public Housing Homeownership Activity Description (Complete one for each development affected)</b>
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input checked="" type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: May, 1998
5. Number of units affected: 130 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

**B. Section 8 Tenant Based Assistance**

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. High performing PHAs may skip to component 12.)

2. Program Description:

a. Size of Program

Yes  No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants  
 26 - 50 participants  
 51 to 100 participants  
 more than 100 participants

b. PHA-established eligibility criteria

Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

## **12. PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12:

High performing and small PHAs are not required to complete this component Section 8-Only PHAs are not required to complete sub-component C.

See summary description at the end of this document and in the Admissions and Continued Occupancy Policy which is also an attachment – CT003e01.doc

### **A. PHA Coordination with the Welfare (TANF) Agency**

1. Cooperative agreements:

Yes  No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed?DD/MM/YY

[This matter is under discussion with CT Department of Social Services at this time](#)

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals  
 Information sharing regarding mutual clients (for rent determinations and otherwise)  
 Coordinate the provision of specific social and self-sufficiency services and programs to eligible families  
 Jointly administer programs  
 Partner to administer a HUD Welfare-to-Work voucher program



- Joint administration of other demonstration program
- Other (describe)  
Special DSS unit established in Stowe Village 1996- 1999  
Family Reunification Program

**B. Services and programs offered to residents and participants**  
**(1) General**

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )

<b>Services and Programs</b>				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
ROSS	600	TANF or recent TANF families	PHA Main Office	Public Housing
Drug Elimination Grant	1671	All	PHA Main Office	Public Housing
Elderly Services Coordinator	400	Senior Communities	Senior Community Offices	Senior Communities


**(2) Family Self Sufficiency program/s**

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2001 Estimate)	Actual Number of Participants (As of: 09/01/1999)
Public Housing		
Section 8	5	5

- b.  Yes  No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?  
If no, list steps the PHA will take below:

**C. Welfare Benefit Reductions**

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

**D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937**

**See ACOP Section 13.12 and summary following**

**13. PHA Safety and Crime Prevention Measures**

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to subcomponent D.

**A. Need for measures to ensure the safety of public housing residents**

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed“in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)  
Weed & Seed program reports / Meetings

3. Which developments are most affected? **All Family Developments / Stowe Village, Mary Shepard Place/ Dutch Point / Nelton Court / New Community**

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken orplans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below) **ALL**

### C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)  
A Community Service Officer dedicated to the Housing Authority and funded by the PHDEP Program.

2. Which developments are most affected? (list below) ALL

### D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2001 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes  No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes  No: Has the PHA included the PHDEP Plan for FY 2001 in this PHA Plan?
- Yes  No: This PHDEP Plan is an Attachment. **CT003f01.doc**

## **14. RESERVED FOR PET POLICY**

[24 CFR Part 903.7 9 (n)]

**See ACOP Section 22.0 – And summary that follows**

## **15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

## **16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

1.  Yes  No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?  
(If no, skip to component 17.)
2.  Yes  No: Was the most recent fiscal audit submitted to HUD?
3.  Yes  No: Were there any findings as the result of that audit?
4.  Yes  No: If there were any findings, do any remain unresolved?  
If yes, how many unresolved findings remain? \_\_\_\_\_
5.  Yes  No: Have responses to any unresolved findings been submitted to HUD?  
If not, when are they due (state below)?

## **17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component.  
High performing and small PHAs are not required to complete this component

1.  Yes  No: Is the PHA engaging in any activities that will contribute to the long term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have not been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
  - Not applicable
  - Private management
  - Development-based accounting
  - Comprehensive stock assessment
  - Other: (list below)
3.  Yes  No: Has the PHA included descriptions of asset management activities in the optional Public Housing Asset Management Table?

## **18. Other Information**

[24 CFR Part 903.7 9 (r)]

### **A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
  - Attached at Attachment (File name)
  - Provided below:

*Resident and Advisory Board and Greater Hartford Legal Assistance comments were received at 8 public meetings, including two Public Hearings. Special efforts were made to include Section 8 residents. Of the 8 meetings, one was specifically directed at Section 8 families. Invitations to the Section 8 meetings were announced in the Monthly Newsletter. The low turnout at the Public Hearing prompted the Authority to sponsor information sessions at each of the LIPH developments at the convenience of the residents and with the participation of resident advisory board members in the respective developments. In this manner the Agency Planning Process was delivered to each development. Most discussion centered around the institution of the Community Service Requirement and the Pet Policy. Changes resulting from these comments to the ACOP and Section 8 Administrative Plan can be distinguished by red type in the electronic attachments.*

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments  
 List changes below: **Changes can be seen in colored text on electronic versions**  
**Agency Plan : Restated Strategy 1 under Need: Specific Family Types**  
**ACOP Changed wording in ACOP Sections 11.1, 13.4, 13.8, 15.0,**  
**LIPH Dwelling Lease – Sections III, IV.C, X.C.17**  
**Sec8 Admin Section. 14.2, 15.5**

Other: (list below)

**B. Description of Election process for Residents on the PHA Board**

1.  Yes  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.) **[HHA has two Tenant Commissioners – they are appointed and confirmed by the City Manager and Court of Common Council]**

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe) Nominated by City Manager, Confirmed by Court of Common Council – Currently, there are two resident commissioners.

b. Eligible candidates: (select one)

- Any recipient of PHA assistance

- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list) See process above

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list) See process above

**C. Statement of Consistency with the Consolidated Plan**

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (CITY OF HARTFORD)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be continued by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
  - Homeownership
  - Revitalization of distressed public housing developments including density reduction
  - Public Safety in and around public housing developments
- Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

See "Public Housing" Pages 28–40 "Hartford at Work" Year Five Annual Action Plan – City of Hartford Consolidated Community Development Plan" May 12, 1999

**D. Other Information Required by HUD**

Use this section to provide any additional information requested by HUD.

## **SUMMARY – PET POLICY**

The Hartford Housing Authority will allow for pet ownership in its developments with written pre-approval of the Housing Authority. Residents are responsible for any damage caused by their pets, including the cost of fumigating, or cleaning their units. In exchange for this right, resident assumes full responsibility and liability for the pet and agrees to the Hartford Housing Authority harmless from any claims caused by action or inaction of the pet.

This policy does not apply to animals that are used to assist persons with disabilities. Assistive animals are allowed in all public housing facilities with no restrictions other than those imposed on all tenants to maintain their units and associated facilities in a decent, safe, and sanitary manner and to refrain from disturbing their neighbors.

## **SUMMARY – COMMUNITY SERVICE POLICY**

The **regulation** states that any adult family member, who is a resident of public housing and is not exempt, must:

- 1) Contribute 8 hours per month of community or
- 2) Participate in an economic self-sufficiency program for 8 hours per month or
- 3) Perform 8 hours per month of combined community service and self sufficiency activities.

**Exemptions are as follows:** An adult who

- 1) Is 62 years or older
- 2) Is blind or disabled individual and who certifies that because of this disability she or he is unable to comply with the service provisions.
- 3) Is a primary caretaker of such individual
- 4) Is engaged in work activities
- 5) Meets the requirements for being exempted from having to engage in a work activity under the State program funded under part A of title IV of the Social Security Act., or under any other welfare program of the State in which the PHA is located, including a State administered welfare-to-work program or
- 6) Is a member of a family receiving assistance, benefits or services under a State program funded under part A of title IV of the Social Security Act or under any other welfare program of the State in which the PHA is located, including a State administered welfare-to-work program, and has not been found by the State or other administering entity to be in noncompliance with such a program.

How HHA will Administer this requirement

### **PROCESS**

At the first annual re-certification on or after January 1, 2001 and each annual re-certification thereafter, the Hartford Housing Authority's Housing Department will do the following:



- A. Identify all adult family members who are not exempt from the community service and self-sufficiency requirement.
- B. A “Notification Letter” will be sent to the Head-of-Household as well as all other adult members in the family informing them that they must fulfill the community service/self-sufficiency requirement effective upon the date of their first annual re-certification on or after January 1, 2001. This letter will inform them that they will hear from a HHA Human Services Employee and will provide them with the direct number for the Human Services Division, in the event that they wish to contact some one in advance of being contacted.

## **Attachments**

- |  |                     |
|--|---------------------|
| • Admissions Policy for Deconcentration                      | <b>CT003a01.doc</b> |
| • HUD Form - Capital Improvements - Annual Plan              | <b>CT003b01.doc</b> |
| • HUD Form – Capital Improvements - Five Year Action Plan    | <b>CT003c01.doc</b> |
| • Section 8 Administrative Plan                              | <b>CT003d01.doc</b> |
| • Public Housing Admissions and (Continued) Occupancy Policy | <b>CT003e01.doc</b> |
| • Public Housing Drug Elimination Plan– FY 2001              | <b>CT003f01.doc</b> |
| • Hartford Housing Authority Low Income Public Housing Lease | <b>CT003g01.doc</b> |
| • Resident Advisory Board Letter                             | <b>CT003h01.doc</b> |
| • Consolidated Plan Consistency Certification                | <b>CT003i01.doc</b> |
| • <b>Progress report re: 5 year Plan Goals</b>               | <b>CT003j01.doc</b> |
| • <b>Organization Chart</b>                                  | <b>CT003k01.doc</b> |
| • <b>Resident Membership on Governing Board</b>              | <b>CT003l01.doc</b> |

## **Certifications sent with printed copy to HUD field Office.**

- I. HUD 50075 Certification of Compliance with the PHA Plans and Related Regulations / Board Resolution to Accompany the PHA Plan
- II. HUD 50070 Certification for a Drug-Free Workplace
- III. SF – LLL Disclosure of Lobbying Activities
- IV. SF - LLL-A Disclosure of Lobbying Activities
- V. HUD 2880 Applicant / Recipient Disclosure / Update Report
- VI. HUD 50071 – Certification of Payments to Influence Federal Transactions

## **Supporting Documents on display and available for Review**

See Page 3 Above

# HOUSING AUTHORITY OF THE CITY OF HARTFORD

Resolution NO. 99-09

## DECONCENTRATION POLICY

WHEREAS, An Action Notice (Federal Register/Volume 64. No. 32 / Thursday February 18, 1999 / Notices) was published on February 18, 1999 by the Office of the Assistant Secretary for Public and Indian Housing, HUD, regarding the Quality Housing and Work Responsibility Act of 1998, and

WHEREAS, That notice instructs that Section 513 amends Section 16 of the USHA to establish, among other things, public housing deconcentration requirements; and

WHEREAS, That same notice provides Action Guidance for the Public Housing Program; and

WHEREAS, The Action Guidance states "through this notice and consistent with the immediate effective date of this section of the USHA the U. S. Department of Housing and Urban Development (HUD), is requiring Public Housing Authorities (PHAs) to begin implementing this public housing deconcentration policy; and

WHEREAS, Public Housing Authorities must immediately develop this policy and have passed by the PHA's Board of Commissioners by June 18, 1999 indicating that the necessary changes will be made in the PHA's admission policy; and

WHEREAS, The Board of Commissioners of the Housing Authority of the City of Hartford desires to be in compliance with said Action Guidance of HUD; NOW, THEREFORE, BE IT

RESOLVED, That the Housing Authority of the City of Hartford affirms the policy of deconcentration i.e., to deconcentration poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Toward this end, we will slap families on the waiting list to reach other families with a lower or higher income. We will accomplish this in a uniform and non-discriminating manner,-

The Housing Authority of the City of Hartford will affirmatively market its housing to all eligible income groups. Lower income residents will not be steered toward lower income developments and higher income people will not be steered toward higher income developments;

Prior to the beginning of each fiscal year, we will analyze the income levels of families residing in each of our developments, the income levels of census tracts in which our developments are located, and the income levels of the families on the waiting list. Based on this analysis, we will determine the level of marketing strategies and deconcentration incentives to implement; and

WHEREAS, The above cited Action Notice states: "the admissions policy to promote deconcentration of poverty will also be a part of the PHA ( 5 Year) plan process from its inception ( P 8200 FR / Vol. 64, No. 32 / Thursday February 18, 1999 / Notices)"; NOW, BE IT FURTHER

RESOLVED, That the Executive Director be directed to take reasonable and necessary steps to implement this deconcentration policy in concept on a temporary basis; and to insure that a permanent deconcentration policy be included, as appropriate, in the Housing Authority of the City of Hartford Five Year Comprehensive Plan which is due to be submitted to HUD by October 15, 1999.

June 17, 1999

Date

SIGNED

Charles W. Groce Jr. Chairman

# PHA Plan Table Library

## Component 7 Capital Fund Program Annual Statement Parts I, II, and II

### Annual Statement Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number : FFY of Grant Approval: ( 2001)

Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	269,090.00
3	1408 Management Improvements	370,000.00
4	1410 Administration	469,000.00
5	1411 Audit	10,000.00
6	1415 Liquidated Damages	
7	1430 Fees and Costs	205,104.00
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	3,317,712.00
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	50,000.00
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	<b>Amount of Annual Grant (Sum of lines 2-19)</b>	<b>4,690,906.00</b>
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

**Annual Statement**  
**Capital Fund Program (CFP) Part II: Supporting Table**

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
CT 3-1 Nelton Court	Renovate units that are currently off line /replace interior doors and various site improvements	1460	1,712,422
CT 3-10 Adams Street	Replace boilers/bathroom tubs and vanities	1460	40,510
CT 3-15, 3-16, 3-17 Scattered Sites I,II,III	Replace boilers/bathroom tubs and vanities	1460	1,564,780
PHA Wide	Operations needs	1406	269,090
PHA Wide	Management Improvement		
	Technical Assistance for HUD NOFA's and Grants	1408	90,000
	Computer System/Software & Training	1408	170,000
	Modernization Construction Software and Computers & Printers	1408	40,000
	Authority Staff Training	1408	40,000
	Lead Paint Insurance	1408	30,000
PHA Wide	Admin. Funds for Modernization & Admin. Staff, Salaries and Benefits	1410	469,000
PHA Wide	Purchase Four Wheel Drive Pick-ups	1475	50,000
PHA Wide	Architect & Engineering Fees	1430	205,104
PHA Wide	Audit of Comp Grants for Closeout	1411	10,000

**Annual Statement  
Capital Fund Program (CFP) Part III: Implementation Schedule**

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
CT 3-1 Nelton Court	3/30/2003	9/30/2004
CT 3-10 Adams Street	3/30/2003	9/30/2004
CT 3-15 Scattered Site I	3/30/2003	9/30/2004
CT 3-16 Scattered Site II	3/30/2003	9/30/2004
CT 3-17 Scattered Site III	3/30/2003	9/30/2004
PHA Wide Management Improvements	3/30/2003	9/30/2004

**Table Library**

### Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHAwide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
CT 3-1	Nelton Court			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>				

### Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
CT 3-2	Dutch point Colony			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>				



### Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHAwide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. **Not:** PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

<b>Optional 5-Year Action Plan Tables</b>				
<b>Development Number</b>	<b>Development Name (or indicate PHA wide)</b>	<b>Number Vacant Units</b>	<b>% Vacancies in Development</b>	
CT 3-7	Kent Apartments			
<b>Description of Needed Physical Improvements or Management Improvements</b>			<b>Estimated Cost</b>	<b>Planned Start Date (HA Fiscal Year)</b>
Carpeting for Common Areas (Elderly Housing)			25,000.00	2002
<b>Total estimated cost over next 5 years</b>			<b>25,000.00</b>	

### Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5Year cycle, because this information is included in the Capital Fund Program Annual Statement.

<b>Optional 5-Year Action Plan Tables</b>				
<b>Development Number</b>	<b>Development Name (or indicate PHA wide)</b>	<b>Number Vacant Units</b>	<b>% Vacancies in Development</b>	
CT 3-11	Betty Knox Apartments			
<b>Description of Needed Physical Improvements or Management Improvements</b>			<b>Estimated Cost</b>	<b>Planned Start Date (HA Fiscal Year)</b>
Carpeting for Common Areas (Elderly Housing)			100,000.00	2002
<b>Total estimated cost over next 5 years</b>			<b>100,000.00</b>	

## Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHAwide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5Year cycle, because this information is included in the Capital Fund Program Annual Statement.

<b>Optional 5-Year Action Plan Tables</b>			
<b>Development Number</b>	<b>Development Name (or indicate PHA wide)</b>	<b>Number Vacant Units</b>	<b>% Vacancies in Development</b>
<b>PHA Wide</b>			
<b>Description of Needed Physical Improvements or Management Improvements</b>		<b>Estimated Cost</b>	<b>Planned Start Date (HA Fiscal Year)</b>
<b>Operation Needs</b>		<b>296,090.00</b>	<b>2002 - 2005</b>
<b>Management Improvements</b>			
-Technical Assistance for HUD NOFA's & Grants		90,000.00	2002 - 2005
-Computer System/Software & Training		170,000.00	2002 - 2005
-Modernization Construction Software, Computers & Computer Hardware		40,000.00	2002 - 2005
-Authority Staff Training		40,000.00	2002 - 2005
-Lead Paint Insurance		30,000.00	2002 - 2005
<b>Admin. Funds for Modernization &amp; Admin. Staff, Salaries &amp; Benefits</b>		<b>469,000.00</b>	<b>2002 - 2005</b>
<b>Purchase Four Wheel Drive Pick-up Trucks</b>		<b>50,000.00</b>	<b>2002 - 2005</b>
<b>Architect &amp; Engineering Fees</b>		<b>205,104.00</b>	<b>2002 - 2005</b>
<b>Audit of Comp Grants for Close-out</b>		<b>10,000.00</b>	<b>2002 - 2005</b>
<b>Total estimated cost over next 5 years</b>		<b>5,600,776.00</b>	

**Table Library**

**Optional Table for 5-Year Action Plan for Capital Fund (Component 7)**

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHAwide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5Year cycle, because this information is included in the Capital Fund Program Annual Statement.

<b>Optional 5-Year Action Plan Tables</b>			
<b>Development Number</b>	<b>Development Name (or indicate PHA wide)</b>	<b>Number Vacant Units</b>	<b>% Vacancies in Development</b>
CT 3-3	Bellevue Square		
<b>Description of Needed Physical Improvements or Management Improvements</b>		<b>Estimated Cost</b>	<b>Planned Start Date (HA Fiscal Year)</b>
<b>Total estimated cost over next 5 years</b>			

### Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
CT 3-4	Charter Oak Terrace			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>				

### Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHAwide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
CT 3-5	Stowe Village			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>				

## Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHAwide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
CT 3-6	Mary Mahoney Village			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>				

### Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
CT 3-8	Smith Tower			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>				



### Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHAwide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
CT 3-10	Adams Street			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>				

## Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHAwide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
CT 3-15	Scattered Site I			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>				

### Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
CT 3-16	Scattered Site II			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>				

### Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHAwide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
CT 3-17	Scattered Site III			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>				

## Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHAwide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5Year cycle, because this information is included in the Capital Fund Program Annual Statement.

<b>Optional 5-Year Action Plan Tables</b>				
<b>Development Number</b>	<b>Development Name (or indicate PHA wide)</b>	<b>Number Vacant Units</b>	<b>% Vacancies in Development</b>	
<b>PHA Wide</b>				
<b>Description of Needed Physical Improvements or Management Improvements</b>			<b>Estimated Cost</b>	<b>Planned Start Date (HA Fiscal Year)</b>
Modernization Funds used to Develop New Units to Replacement Units Lost to Previous Demolition (24 Units)			3,165,712.00	2002
Modernization Funds used to Develop New Units to Replacement Units Lost to Previous Demolition (25 Units)			3,290,712.00	2003
Modernization Funds used to Develop New Units to Replacement Units Lost to Previous Demolition (25 Units)			3,290,712.00	2004
Modernization Funds used to Develop New Units to Replacement Units Lost to Previous Demolition (25 Units)			3,290,712.00	2005
<b>Total estimated cost over next 5 years</b>			<b>13,037,848.00</b>	

**HARTFORD HOUSING AUTHORITY  
ADMISSIONS AND CONTINUED  
OCCUPANCY POLICY 2001**

**HARTFORD HOUSING AUTHORITY  
ADMISSIONS AND CONTINUED  
OCCUPANCY POLICY 2001**

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**John D. Wardlaw**  
Executive Director

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**Charles W. Groce, Chairperson**  
Board of Commissioners

**LOW INCOME PUBLIC HOUSING PROGRAM  
ANNUAL PROGRAM COMPLIANCE CERTIFICATION**

This certifies that the Admissions and Occupancy Policy is in conformance with HUD regulations and applicable PIH notices. Additionally, the Low Income Public Housing Program is being administered in accordance with The Hartford Housing Authority's adopted written Admissions and Occupancy Policy, and the local policies established therein.

The Admissions and Occupancy Policy Plan was formally adopted by the Authority's Board of Commissioners on December 15, 1999, since that date all proposed amendments to local policy, as listed in the Admissions and Occupancy Policy Amendment Log, have been submitted to the Board of Commissioners for approval prior to their implementation. A copy was given to the Hartford office of HUD on December 16, 1999.

The Admissions and Occupancy Plan was revised on November 14, 2000 to incorporate all new HUD regulations and applicable PIH notices. The changes to the Admissions and Continued Occupancy Plan and effective dates of the required implementation are recorded in Appendix I, Administrative Plan Amendment Log and Appendix II, PIH Notices Log. A copy of the revised plan was given to the Hartford office of HUD on \_\_\_\_\_ 2000.

**DIRECTOR, ADMISSIONS AND OCCUPANCY**

**DATE**

**Certification of Plan Approval**

**WHEREAS,** The Authority must follow the U.S. Department of Housing and Urban Development regulations in the administration of the Low Income Public Housing Program Rental Assistance Programs under the 1937 Housing Act;

**WHEREAS,** The U.S. Department of Housing and Urban Development has issued new Conforming Rule regulations for Low Income Public Housing Program;

**WHEREAS,** The Authority is required to adopt a written Admissions and Occupancy Policy that establishes local policies for administration of the program in accordance with HUD requirements;

**AND WHEREAS,** The regulations require that the Admissions and Occupancy Policy be formally adopted by the Board of Commissioners;

**THEREFORE BE IT RESOLVED THAT:** The Authority Board of Commissioners adopts the Admissions and Occupancy Policy as written.

**DATE ADOPTED:**

**SECRETARY**

**CHAIRMAN**



## **Certificate Of Equal Opportunity And Fair Housing Compliance**

This certifies that the Authority fully complies with and administers its housing programs in accordance with Federal equal opportunity and fair housing laws. Specifically, such laws are the Fair Housing Act (implementing regulations (ir): 24 CFR parts 100); Title VI of the Civil Rights Act (ir: 24 CFR part 1); Age Discrimination Act (ir: 24 CFR 146); Equal Opportunity Executive Orders (ir:24 CFR 107); Section 504 of the Rehabilitation Act (ir:24 CFR part 8); and Title II of the Americans with Disabilities Act.

In fulfillment of its certification the Authority acknowledges, upholds and acts in accordance with procedures that accomplish the following equal opportunity in housing objectives:

- Objective I: Outreach to Low Income Families
- Objective II: Housing Opportunities for Families Outside Areas of Low Income and Minority Concentration.
- Objective III: Taking Applications and Selecting Participants
- Objective IV: Serving and Assisting Families Alleging Discrimination
- Objective V: Using a Fair Housing Organization or Organization Serving the Handicapped.
- Objective VI: Equal Employment Opportunity
- Objective VII: Training, Employment and Contracting Opportunities for Businesses and Lower-Income Persons.
- Objective VIII: Recordkeeping

**DATE CERTIFIED:**

**SECRETARY**

**CHAIRMAN**

## **Adherence To Program Requirements**

The Admissions and Occupancy Policy has been written in accordance with the HUD regulations and applicable PIH notices. The Hartford Housing Authority has adopted this written Admissions and Occupancy Policy and has established local policies for the administration of the lowincome public housing program on matters for which it has discretion. The Authority has submitted to the Hartford Office of HUD a copy of the Admissions and Occupancy Policy on December 16, 1999. The Admissions and Occupancy Policy was formally adopted by the Authority's Board of Commissioners on December 15, 1999. The Authority will revise the Admissions and Occupancy Policy if needed to comply with HUD requirements, and present the changes before the Board for formal adoption.

The Admissions and Continued Occupancy Plan has been revised to incorporate all HUD regulations and applicable PIH notices as of November 14, 2000. The Authority has submitted a copy of the revised version of the Admissions and Continued Occupancy Plan to the Hartford Office of HUD on \_\_\_\_\_ 2000.

## Preface

This Admissions and Occupancy Policy establishes the basis for administering admissions and occupancy into its *Low Income Public Housing Programs* defined in the United States Housing Act of 1937 and as prescribed by the Department of Housing and Urban Development (HUD). The Admissions and Occupancy Policy constitutes the HHA's Tenant Selection and Assignment Plan as required under 24 CFR 1.4(b)(2)(ii). The Admissions and Occupancy Policy has been drafted in accordance with the admissions and occupancy requirements contained in:

- The Housing Act of 1937 (Act), as amended through the Housing and Community Development Act of 1974, and The Quality Housing & Work Responsibility Act Of 1998.
- HUD regulations at 24 CFR Parts 5, 912, 960 and 966
- Public Housing Occupancy Handbook 7465.1 Rev-2
- Title VI of the Civil Rights Act of 1964, (See 24 CFR Part 1)
- Title VIII of the Civil Rights Act of 1968, as amended by the Community Development Act of 1974 and the Fair Housing Amendments Act of 1988 (See 24 CFR Part 100)
- Executive Order 11063, Section 504 of the Rehabilitation Act of 1973, (See 24 CFR Part 8)
- The Age Discrimination Act of 1975, (See 24 CFR Part 146)
- Applicable State laws or local ordinances.

This document incorporates applicable HUD PIH Notices for operating a low income public housing program that have been issued through the stated effective date. Many of the PIH Notices reflect the changes recommended in the Proposed Rules for Streamlining the Public Housing Admissions and Occupancy Regulations approved on December 15, 1999.

The Hartford Housing Authority has adopted this Admissions and Occupancy Policy, which contains local policies for the administration of admissions and occupancy into its low income public housing program. This Admissions and Occupancy Policy constitutes the HHA's Tenant Selection and Assignment Plan as required under 24 CFR 1.4(b)(2)(ii). The Authority has submitted to the Hartford Office of HUD a copy of this low-income public housing *Admissions and Occupancy Policy* on December 16, 1999. The Policy was formally adopted by the Authority's Board of Commissioners on December 15, 1999. The Authority will revise this document as needed to comply with HUD requirements. Changes that reflect local policy will be presented to the Board for formal adoption and submitted to HUD, as required.

**Hartford Housing Authority  
Admission and Occupancy Policy  
Table of Contents**

		<b>Page #</b>
1.0	Admissions and Occupancy Policies.....	1-0
1.1	Statutory and Regulatory Compliance Policy.....	1-1
1.2.	Fair Housing and Equal Opportunity Compliance Policy.....	1-2
1.3	Fair Housing and Equal Opportunity Objectives.....	1-3
1.4	Accessibility and Language.....	1-4
1.5	Reasonable Accommodations .....	1-5
1.6	Communication.....	1-6
1.7	Questions To Be Asked In Granting The Accommodation.....	1-7
1.8	Privacy Rights And Verification.....	1-8
1.9	Jurisdiction.....	1-9
1.10	Local Objectives.....	1-10
1.11	Administrative Responsibilities.....	1-11
2.0	Application for Admissions.....	2-0
2.1	Outreach.....	2-1
2.2	Opening and Closing of Application Wait List.....	2-2
2.3	How Families Apply.....	2-3
2.4	Standard Public Housing Application Form.....	2-4
2.5	Steps Processing Of Pre-Applications.....	2-5
2.6	Required Postings.....	2-6
3.0	Eligibility Criteria for Admissions.....	3-0
3.1	Definition of a Family.....	3-1
3.2	Family Composition Determination.....	3-2
3.3	Income Determination.....	3-3
3.4	Proof of Citizenship or Legal Immigration Status.....	3-4
3.5	Social Security Number .....	3-5
3.6	Signing Consent Forms.....	3-6
3.7	Grounds For Denial.....	3-7

**Hartford Housing Authority  
Admission and Occupancy Policy  
Table of Contents**

3.8	Special Eligibility Provision for Live-In Aids.....	3-8
3.9	Initial Eligibility Notification .....	3-9
3.10	Exemption From Eligibility Requirements for Police Officers.....	3-10
4.0	Preferences .....	4-0
4.1	Types of Preferences .....	4-1
5.0	Unit Size and Occupancy Standards.....	5-0
5.1	Determining the Family Unit Size.....	5-1
5.2	Exceptions to Normal Unit Size Assignment.....	5-2
6.0	Administering the Wait List.....	6-0
6.1	Wait List Maintenance .....	6-1
6.2	Purging the Waiting List.....	6-2
6.2	Deconcentration Policy .....	6-3
6.3	Deconcentration Initiatives.....	6-4
7.0	Verification for Admissions .....	7-0
7.1	Eligibility Documentation Requirements & Methods.....	7-1
7.2	Records Management For Criminal, Drug Treatment, And Registered Sex Offender Classification Documentation.....	7-2
7.3	Acquisition .....	7-3
7.4	Maintenance .....	7-4
7.5	Disposition .....	7-5
8.0	Income and Tenant Payment Determination.....	8-0
8.1	Calculation of Annual Income.....	8-1
8.2	Calculation of Adjusted Income.....	8-2
8.3	Temporary And Permanent Absence.....	8-3
8.4	Income Determination- Special Calculations.....	8-4
8.5	Tenant Payment -Special Considerations.....	8-5
8.6	Family Choice.....	8-6

**Hartford Housing Authority  
Admissions and Occupancy Policy  
Table of Contents**

8.7	Flat Rent.....	8-7
8.8	Formula Method .....	88
8.9	Ceiling Rent.....	89
8.10	Minimum Rent/ Hardship.....	810
8.11	Rent of Families who Transition From Welfare to Work.....	8-11
8.12	Utility Allowance... ..	815
9.0	Unit Assignment And Screening.....	90
9.1	Application Selection Procedure.....	91
9.2	Tenant Management, Screening And Selection.....	9-2
9.3	Accessible Unit Selection Procedures.....	93
9.4	Pre-Determination Screening.....	94
9.5	Offer Of A Unit.....	95
9.6	Acceptance Of A Unit.....	96
9.7	Rejection Of A Unit.....	9-7
9.8	Recordkeeping.....	98
10.0	Resident Debriefing .....	10-0
10.1	Obligations Of The Household.....	10-1
10.2	Obligations of the HHA .....	10-2
10.3	Information Packet.....	103
11.0	Housing Quality Standards and Inspections.....	11-0
11.1	Inspections.....	11-1
11.2	Assignment of Responsibility.....	11-2
11.3	Performance Standards and Acceptance Criteria.....	11-3
12.0	Lease.....	12-0
12.1	Lease Execution .....	12-1
12.2	Changes To The Lease.....	12-2
12.3	Security Deposits.....	12-3

**Hartford Housing Authority  
Admission and Occupancy Policy  
Table of Contents**

	12.4	Special Charges And Posting Policies .....	12-4
	12.5	Visitors and Additions to the Household Not On Lease.....	12-5
13.0		Reexaminations for Continued Occupancy .....	13-0
	13.1	Annual Reexaminations .....	13-1
	13.2	Treatment of Income Resulting From Welfare Program Requirements..	13-2
	13.3	Verification.....	13-3
	13.4	Failure to Cooperate & Report Changes.....	13-4
	13.5	Misrepresentations .....	13-5
	13.6	Interim Reexaminations .....	13-6
	13.7	Continued Assistance for Mixed Families.....	13-7
	13.8	Changes In Family Size And Composition.....	13-8
	13.9	Additions to the Household.....	13-9
	13.10	Effective Date of Rent Adjustment.....	13-10
	13.11	Procedures When Changes Aren't Processed Due To Authority Error...	13-11
	13.12	Community Service /Self Sufficiency Requirements.....	13-12
14.0		Family Debt .....	14-0
	14.1	Assessment of Debt .....	14-1
	14.2	Repayment Agreements.....	14-2
	14.3	Debts Due to Fraud / Non-Reporting Of Information.....	14-3
15.0		Transfers.....	15-0
	15.1	Types of Transfers.....	15-1
	15.2	Processing Transfer Requests.....	15-2
	15.3	Eligibility for Transfers.....	15-3
	15.4	Extended Family and Scattered Site Transfers.....	15-4
	15.5	Suspension of Transfer Wait List.....	15-5
16.0		Discretion to Consider Violation Circumstances.....	16-0
	16.1	Eviction Procedure .....	16-1
	16.2	Termination due to Ineligible Immigration Status.....	16-2
	16.3	Termination due to Abandonment.....	16-3
	16.4	Record keeping.....	16-4
	16.5	Families Permanently Ineligible.....	16-5
17.0		Informal Review for Applicant/ Informal Hearing for INS.....	17-0
18.0		Grievance Procedure.....	18.0

**Hartford Housing Authority  
Admission and Occupancy Policy  
Table of Contents**

19.0	Decision Of The Hearing Officer.....	190
20.0	Accommodations For Persons With Disabilities.....	200
21.0	Recordkeeping, Posting And Revisions.....	210
	21.1 Posting Requirement.....	211
22.0	Pet Policy .....	220
	22.1 Pets in Public Housing.....	21-1
	22.2 Exclusions.....	222
	22.3 Types and Number of Pets.....	22.3
	22.4 Approval.....	22.4
	22.5 Inoculations.....	22.5
	22.6 Pet Deposit.....	22.6
	22.7 Financial Obligations of Residents.....	22.7
	22.8 Removal of Pets.....	22.8
	22.9 Rules and Regulations.....	22.9
	22.10 Visiting Pets.....	22.10
23.0	Computer Matching Income Discrepancy Resolution.....	23.0
	23.1 Computer Matching Process.....	23.1
	23.2 Computer Matching Requirements.....	23.2
24.0	Lead Based Paint.....	24.0
	24.1 Lead Based Paint Process.....	24.1
	24.2 Lead Based Paint Reporting Requirements.....	24.2



**Hartford Housing Authority  
Admissions and Occupancy Policy  
Table of Appendices**

- Appendix I: Low Income Public Housing Administrative Documents  
Board Certification of Plan Approval  
Certificate of Equal Opportunity and Fair Housing Compliance  
Annual Program Compliance Certificate and Amendment Log
- Appendix II: Program Rules, Regulations and Notices  
Rules and Regulations  
PIH Low Income Housing Notices Log
- Appendix III: Glossary of Low Income Public Housing Program Terms
- Appendix IV: Low Income Public Housing Plan Document Control  
Distribution Control Procedures and Log  
Update Instructions and Log  
Plan and Appendices Diskette

## **1.0 Admissions And Occupancy Policies**

It is the Policy of the Hartford Housing Authority (HHA) to administer admission and occupancy to its low-income public housing program in accordance with applicable statutes, regulations, Federal, State and local laws. This section identifies general policies, objectives and responsibilities of the HHA with respect to administering admissions and occupancy within its low income public housing program.

The purpose for establishing these low income housing policies are to provide a basis for implementing practices that successfully promote the program goal of providing decent, safe and sanitary housing to eligible households. To that end, the following precepts underlie the admission and occupancy policies of the Hartford Housing Authority:

- All households who meet the eligibility criteria should have equal access to available subsidized housing units.
- Once a family is housed, every effort should be made to guarantee that the unit and development will be maintained in a way so that the family will have decent, safe and sanitary housing.
- The Authority and the tenants share responsibilities for the quality of their apartments and their neighborhoods, and follow procedures that reflect these mutual responsibilities.
- In providing housing to families in need, the Authority's functions of property management go beyond traditional services to incorporate resident programs, supportive services, grievance procedures, and financial counseling (to avoid evictions for non-payment of rent).
- Criminal activity that threatens the health, safety or right to peaceful enjoyment of the Authority's public housing premises is not tolerated.

## **1.1 Statutory and Regulatory Compliance Policy**

The HHA operates its low-income public housing program under an annual contribution contract (ACC) with the Department of Housing and Urban Development (HUD). As such it is required to operate its program in accordance with the U.S. Housing Act of 1937 as amended by the Housing and Community Development Act of 1974.

Principal regulations guiding the HHA's admissions and occupancy policy are found in 24 CFR Part 5 regarding definition of eligibility of families; 24 CFR 913 regarding income eligibility and calculation; 24 CFR 960 regarding admissions; and 24 CFR 966 regarding lease and grievance procedures. Together these regulations set out basic requirements for program eligibility and continued occupancy. Guidance on the implementation of these regulations are found in *The Public Housing Occupancy Handbook* 7465.1 REV 2. It is furthermore the HHA policy to implement Public and Indian Housing Notices (PIH Notices) and other changes promulgated by HUD in a timely and accurate fashion.

## 1.2 Fair Housing and Equal Opportunity Compliance Policy

It is the HHA's policy to administer admissions and occupancy such that it:

- Shall comply with all applicable laws relating to Civil Rights.
- Shall not discriminate because of race, color, national origin, ancestry, sex, religion, familial status, age, marital status, lawful source of income, sexual orientation, learning disability or physical or mental disability in the leasing, rental, or other disposition of housing or related facilities, including land, that is part of any project or projects under The HHA's jurisdiction covered by a contract for annual contributions under the United States Housing Act of 1937, as amended, or in the use or occupancy thereof. (24 CFR 100.5)
- Shall not discriminate on account of race, color, creed, national origin, ancestry, sex, sexual orientation, marital status, age, religion, familial status, marital status, lawful source of income or learning disability physical or mental disability:
  - Deny to any family the opportunity to apply for housing, nor deny to any qualified applicant the opportunity to lease housing suitable to its needs.
  - Provide housing which is different from that provided others.
  - Subject a person to segregation or disparate treatment.
  - Restrict a person's access to any benefit enjoyed by others in connection with the housing program.
  - Treat a person differently in determining eligibility or other requirements for admission.
  - Deny a person access to the same level of services.
  - Deny a person the opportunity to participate in a planning or advisory group which is an integral part of the housing program.
- Shall not automatically deny admission to a particular group or category of otherwise qualified applicants (e.g., families with children born to unmarried parents, elderly families with pets, or families whose head or spouse is a student). Each applicant in a particular group or category must be treated on an individual basis in the normal processing routine ( 24 CFR 960.205).

## **1.2 Fair Housing and Equal Opportunity Compliance Policy (Continued)**

- Shall identify and eliminate situations or procedures that create a barrier to equal housing opportunity for all. In accordance with Section 504, and the Fair Housing Amendments Act of 1988, the HHA will make structural modifications to its housing and non-housing facilities ( 24 CFR 8.21, 8.23, 8.24, and 8.25) and make reasonable accommodations ( 24 CFR 100.204), or combinations of the structural modifications and reasonable accommodations, to permit people with disabilities to take full advantage of the housing program.
- Shall not permit its policies to be subverted to do personal or political favors such that it will not offer units in an order different from that prescribed by this policy, since doing so violates the policy, Federal law, and the civil rights of the other families on the Wait List (24 CFR 906.204(a)(3)(ii)).

## **1.3 Fair Housing and Equal Opportunity Objectives**

In fulfillment of its policies on admissions and occupancy, the HHA acknowledges, upholds and acts in accordance with procedures that accomplish the following Fair Housing and Equal Opportunity in housing objectives:

### **Objective I: Outreach to Low-Income Families**

Ensure sufficient and appropriate means to bring information on the HHA's low-income public housing program to a full cross section of eligible population. Specific actions for implementing this policy are contained in Section 3: Application for Admissions. Procedures identify the media and other suitable means that will convey information about the program to eligible families. Furthermore, procedures describe the special outreach actions that will inform persons that are less likely to apply.

### **Objective II: Taking Applications and Selecting Participants**

Ensure sufficient and appropriate means for all applicants to receive equal opportunity in the application taking and selection process. It is the objective of this policy to maintain consistency in accepting, processing and selecting applicants in a manner that assures equal opportunity to all applicants regardless of race, creed, color, national origin, ancestry, sex, sexual orientation, marital status, age, religion, familial status, learning disability, physical or mental handicap and lawful source of income. Specific procedures for implementing the HHA's policy and attaining the stated objectives are described in Sections 2: Application for Admission, Section 4 Preferences and Section 9: Selection. Those chapters set out procedures that include, but are not limited to, advance notification of the dates on which the HHA will take applications, special outreach to families less likely to apply (including the mailing of applications), and preferences and priority categories.

### **Objective III: Using a Fair Housing Organization or Organization Serving the Handicapped**

Ensure that no otherwise eligible individuals with disabilities are denied housing assistance opportunity or are excluded participation in, or are otherwise subject to discrimination because the HHA's facilities are inaccessible to or are not useable by persons with disabilities. In implementing this policy the HHA shall:

- Provide assurance that all persons with disabilities receive reasonable accommodations to fully access and utilize the housing services administered by the HHA.
- Provide special accommodations for persons with a disability who requires an advocate or accessible offices.
- Make available assistance for hearing and sight impaired persons upon reasonable notification.

### **1.3 Fair Housing and Equal Opportunity Objectives (Continued)**

- Make available a list of handicapped accessible units upon request.
- Coordinate the administration of its housing program with other local advocates and service organizations that include:

Hartford Easter Seal  
 Director of Disability Issues  
 550 Main Street  
 Hartford, CT. 06103  
 (860) 722-6676

Rehabilitation Center, Inc.  
 22 Tompkins Street  
 Hartford, CT. 06105  
 (860) 243-9741

Director  
 Independence Unlimited  
 900 Asylum Avenue  
 Hartford, CT 06105  
 (860) 549-1330

North Central Connecticut  
 Agency on Agency on Aging  
 80 Coventry Street  
 Hartford, CT 06105  
 (860) 243-2044

Board of Education/Services  
 170 Ridge Road  
 Wethersfield, CT 06109  
 (860) 566-5800

Commission on Deaf & For The Blind Hearing Impaired  
 40 Woodland Street  
 Hartford, CT. 06105  
 (860) 566-7414

Capitol Region Mental Health  
 Center  
 410 Capitol Avenue  
 Hartford, CT. 06106  
 (860) 418-7000

State Office for Protection &  
 Advocacy for the Handicapped  
 60 B Weston Street  
 Hartford, CT.  
 (860) 297-4300 or 1-800-842-7303

Connecticut Fair Housing Center  
 221 Main Street  
 Hartford, CT. 06106  
 (860) 247-4400

**Objective IV: Equal Employment Opportunity**

Adhere to the objectives of equal employment opportunity by implementing the HHA’s policies requiring that promotion and hiring practices do not discriminate on the basis of age, race, color, creed, religion, sex, sexual orientation, handicap or national origin, and moreover, embrace the principals of affirmative action.

**1.3 Fair Housing and Equal Opportunity Objectives (Continued)**

**Objective V: Training, Employment and Contracting Opportunities for Businesses and Lower-Income Persons**

Provide equal opportunity for low-income public housing participants to become aware of and participate in training, employment and contracting opportunities. The HHA will ensure that minority businesses owned by low income public housing families or Resident Organizations are made aware of contracting opportunities that become available through its Section 3 initiatives. Low-income public housing residents will be given a first preference regarding Section 3 opportunities.

**Objective VI: Recordkeeping**

Ensure the maintenance of well organized, complete records to facilitate HUD’s monitoring and review of the HHA’s low income public housing operations, particularly as they relate to HHA actions to fulfill the objectives of its equal opportunity housing policy.

Carry out procedures that provide HUD with timely, complete and accurate compliance reports that contain such information as the HUD Office may determine to be necessary to ascertain compliance with Title VI.

Annually certify compliance with Title VI, Title VIII and Executive Order 11063, which requires that the HHA maintain records on the following:

All newspaper and paper advertisements relative to the availability of the program.  
All applications received; nature and date of eligibility determination; and date applicant is selected as a low-income public housing participant or maintain records pertaining to ineligible applicants for a three-year period, beginning with the date of determination of ineligibility.

Contacts made with community organizations, employers, union halls, etc., that are part of special outreach efforts to attract persons identified as “less likely to apply” and “expected to reside”.

Materials used to brief applicants on locations and characteristics of neighborhoods in which suitable units may be found and significant aspects of Federal, State and local fair housing laws.

**1.4 Accessibility and Language**



Application, management, and hearing offices are to be available for use by residents with a full range of disabilities. For those facilities that are not already accessible (and located on accessible routes), the HHA will identify alternate facilities that will permit a person to use an accessible facility (24 CFR 8.20 and 8.21).

Documents intended for use by applicants and residents will be made available in formats accessible for those with vision or hearing impairments (24 CFR 8.6). Equally important, the documents will be written simply and clearly to enable applicants with learning or cognitive disabilities to understand as much as possible. Unless prohibited by local law, and as determined by the HHA, documents are to be translated into languages other than English as needed.

Some of the concepts that must be described relative to eligibility, rent computation, applicant screening, reasonable accommodations, and lease compliance are complicated. Offering examples will help applicants and residents understand the issues involved. In preparing materials to be used by applicants and residents, the HHAs' staff must keep in mind that some persons may have disabilities that affect the applicant's ability to read or understand- so rules and benefits may have to be explained verbally. (24 CFR 8.6).

At the point of initial contact the HHA staff will ask all applicants whether they need some form of communication other than plain language paperwork. Alternative forms of communication might include: sign language interpretation, having materials explained orally by staff, either in person or by phone, large type materials, information on tape, and having someone (friend, relative or advocate) accompany the applicant to receive, interpret and explain housing materials (24 CFR 8.6).

Some applicants will not be able to read (or to read English), so intake staff must be prepared to read and explain anything that they would normally hand to an applicant to be read or filled out. Applicants who read or understand little English may furnish an interpreter who can assist. The HHA is not obligated to pay the costs associated with having a foreign language interpreter (as they are for a sign language interpreters for the hearing impaired (24 CFR 8.6) because the Fair Housing Act makes no such requirement (although, in some, localities, State or local law might do so).

### **1.3 Accessibility and Language (Continued)**

The authority will prepare the following information in clearly written and accessible formats:

- Marketing and informational materials
- Information about the application process
- The application form
- All form letters, notices, to applicants and residents
- General statement about reasonable accommodation
- Orientation materials for new residents
- The lease and house rules (if any)
- Guidance or instructions on care of the housing unit
- Information about opening, updating or closing the Wait List
- All information related to applicant's rights (to informal hearings, etc.)

## **1.5 Reasonable Accommodations**

Individuals with disabilities may require reasonable accommodation in order to take full advantage of the Housing Authority's housing programs and related services. When such accommodations are granted, they do not confer special treatment or advantage for the person with a disability; rather, they make the program accessible to them in a way that would otherwise not be possible due to their disability. This policy clarifies how people can request accommodations and the guidelines the Hartford Housing Authority will follow in determining whether it is reasonable to provide a requested accommodation. Because disabilities are not always apparent, the Authority will ensure that all applicants/tenants are aware of the opportunity to request reasonable accommodations.

## **1.6 Communication**

Anyone requesting an application will also receive a Request for Reasonable Accommodation form.

Management notifications of reexamination, inspection, appointment, or eviction will include information about requesting a reasonable accommodation. Any notification requesting action by the tenant will include information about requesting a reasonable accommodation.

All decisions granting or denying requests for reasonable accommodations will be in writing.

## **1.7 Questions To Be Asked In Granting The Accommodation**

- A. Is the requestor a person with disabilities? For this purpose the definition of person with disabilities is different than the definition used for admission. The Fair Housing definition used for this purpose is:

A person with a physical or mental impairment that substantially limits one or more major life activities, has a record of such impairment, or is regarded as having such impairment. (The disability may not be apparent to others, i.e., a heart condition).

If the disability is apparent or already documented, the answer to this question is yes. It is possible that the disability for which the accommodation is being requested is a disability other than the apparent disability. If the disability is not apparent or documented, the Hartford Housing Authority will obtain verification that the person is a person with a disability.

- B. Is the requested accommodation related to the disability? If it is apparent that the request is related to the apparent or documented disability, the answer to this question is yes. If it is not apparent, the Hartford Housing Authority will obtain documentation that the requested accommodation is needed due to the disability. The Authority will not inquire as to the nature of the disability.
- C. **Is the requested accommodation reasonable? In order to be determined reasonable, the accommodation must meet two criteria:**
1. Would the accommodation constitute a fundamental alteration? The Hartford Housing Authority's business is housing. If the request would alter the fundamental business that the Authority conducts, that would not be reasonable. For instance, Hartford Housing Authority would deny a request to have the Housing Authority do grocery shopping for a person with disabilities.
  2. Would the requested accommodation create an undue financial hardship or administrative burden? Frequently, the requested accommodation costs little or nothing. If the cost would be an undue burden, the Hartford Housing Authority may request a meeting with the individual to investigate and consider equally effective alternatives.
- D. Generally the individual knows best what it is they need; however, the Housing Authority retains the right to be shown how the requested accommodation enables the individual to access or use the Authority's programs or services.

## 1.7 Questions To Be Asked In Granting The Accommodation (Continued)

If more than one accommodation is equally effective in providing access to Hartford Housing Authority's programs and services, the Housing Authority retains the right to select the most efficient or economic choice.

The cost necessary to carry out approved requests, including requests for physical modifications, will be borne by Hartford Housing Authority if there is no one else willing to pay for the modifications. If another party pays for the modification, the Housing Authority will seek to have the same entity pay for any restoration costs.

If the tenant requests, as a reasonable accommodation that they be permitted to make physical modifications at their own expense, The Hartford Housing Authority will generally approve such request if it does not violate codes or affect the structural integrity of the unit.

Any request for an accommodation that would enable a tenant to materially violate essential lease terms will not be approved, i.e. allowing nonpayment of rent, destruction of property, disturbing the peaceful enjoyment of others, etc.

## **1.8 Privacy Rights and Verification**

All adult members of applicant families and participant households are required to sign the HUD Form 9886: Authorization for Release of Information. Failure to sign the consent form will result in the denial of eligibility or termination of low income housing benefits.

The release form permits the HHA to request verification of salary and wages from current or previous employers, request wage and unemployment compensation claim information from the State agency responsible for keeping that information, and request certain tax return information from the U.S. Social Security Administration and the U.S. Internal Revenue Service. The release also permits the HHA to request information from financial institutions to verify eligibility and level of benefits.

The release form describes the conditions under which the HHA will release such family information. Information which would lead one to determine the nature and/or severity of a person's disability will be marked "confidential" and not released except on an "as needed" basis for cases where accommodations is under consideration.

The HHA provides the highest level of performance through program management and administration. It provides program integrity through verification, monitoring and, if appropriate, investigation. The goal of the program integrity process is to ensure that the proper level of benefits are made available to eligible families.

The HHA's primary tool for ensuring program integrity is through the proper discharge of their program administration duties and responsibilities. A key factor in ensuring program integrity is to adequately communicate the program requirement and rules to potential participants. Critical points of contact with candidates are during application, screening, and debriefings. The HHA maintains program integrity among participants through its monitoring and verification responsibilities.

At such time that the HHA is made aware of program abuse it will investigate the circumstance or allegation. In situations where documents are required, the HHA will obtain the appropriate clearances from participant families to conduct the investigation. Once the information is obtained the HHA will follow the procedures defined in this Plan to close out the investigation.

## **1.9 Jurisdiction**

The Charter jurisdiction of the HHA is limited to the area of the City of Hartford. The jurisdiction of the HHA for the purpose of determining maximum income eligibility for the program is the City of Hartford.

## **1.10 Local Objectives**

The authority operates its Low Income Housing Programs to achieve the following local objectives:

- To supply safe, decent, and sanitary housing to lower, very low income and elderly families living within its jurisdiction.
- To aid in efforts to upgrade and stabilize the housing stock in the community by maintaining safe, decent and affordable housing units.
- To administer the low income housing program in a fair and impartial manner and on an equal opportunity basis.
- To protect the rights of its tenants by enforcing strict lease provisions and “one strike” measures.
- To facilitate self-sufficiency of participating families through awareness and referral to counseling and employment opportunities under Section 3.
- To provide transition opportunities to homeownership by seeking eligible candidates for its affordable homeownership program.
- To assist families who subscribe to the Twenty/20 Education Community resources that would allow them to fulfill the terms of the service plans and achieve success.

## 1.11 Administrative Responsibilities

The HHA operates its Low Income Public Housing Program in compliance with its application, the ACC, HUD regulations, state and city laws other requirements (e.g., PIH Notices), and this Policy. In fulfilling its program obligations, the HHA acknowledges that it must establish certain program policies and carry out specific administrative responsibilities. The HHA annually certifies adherence to the policies contained in this document and to the responsibilities identified in the following table.

**Low Income Public Housing Program Responsibilities**

<b>Administrative Responsibility</b>	<b>Section Reference</b>
Publish and disseminate information about the availability and nature of housing assistance under the program.	2 Application for Admission
Explain the program to families.	10 Resident Debriefing
Affirmatively further fair housing goals and comply with equal opportunity requirements.	1 Admissions and Occupancy Policy
Accommodate disabled persons with satisfactory housing.	5.0 Unit Size and Occupancy Standards 1.5 Reasonable Accommodations
Receive applications from families, determine eligibility, maintain the Wait List, select applicants, issue leases to tenants, and provide housing information to families selected.	Sections 2 - 12
Determine who can live in the public housing unit, at admission and during the family's participation in the program.	3 Eligibility Criteria Admissions 13 Reexamination for Continued Occupancy
Obtain and verify evidence of citizenship and eligible immigration status in accordance with 24 CFR part 812.	3 Eligibility Criteria for Admissions
Inspect the unit before occupancy and at least annually during tenancy.	11 HQS & Inspections

<b>(1.11 Administrative Responsibilities Continued)</b>	
Examine family income, size and composition, at admission and during the family's participation in the program. The examination includes verification of income and other family information.	3 Eligibility Criteria for Admission 13 Reexamination for Continued Occupancy
Determine Total Tenant Payment for households.	8 Income and Tenant Payment Determination
Determine whether to terminate a lease to a participant family for violation of family lease obligations.	16 Lease Termination and Eviction
Conduct informal or grievance hearings on certain HA decisions concerning participant families.	17-20 Informal Review and Grievance Procedures
Provide sound financial management of the program, including engaging an independent public accountant to conduct audits.	21 Monitoring, Record Keeping and Reporting

## **2.0 Application For Admissions**



Application for program admissions responsibility of the HHA are to provide adequate outreach to prospective applicants, issue and receive applications, ensure completion of applications and request of supporting documentation, and explain the program requirements. The application process is followed by an eligibility verification process and, finally, a selection process. In the execution of these responsibilities, the HHA is to ensure that all applicants are served in accordance with the HHA's stated fair housing and equal opportunity policy.

The application for admissions process is presented in this section. This section describes how families are notified and apply for the low-income public housing program, and presents the Low Income Public Housing Application.

## **2.1 Outreach**

It is the policy of the HHA to conduct outreach/marketing as needed to maintain an adequate application pool representative of the eligible population in the area. Outreach efforts will take into consideration the level of vacancy, availability of units through turnover, and Wait List characteristics. The Admissions and Occupancy Department will periodically assess these factors in order to determine the need for and scope of any marketing efforts. For outreach procedures refer to the Marketing section of the HHA Procedures Manual, p. 26 including the following:

- A. The Hartford Housing Authority will publicize the availability and nature of the Public Housing Program for extremely low-income, very low and low-income families in a newspaper of general circulation, minority media, and by other suitable means.
- B. To reach people, who cannot or do not read the newspapers, the Hartford Housing Authority will distribute fact sheets to the broadcasting media and initiate personal contacts with members of the news media and community service personnel. The Hartford Housing Authority will also try to utilize public service announcements.
- C. The Hartford Housing Authority will communicate the status of housing availability to other service providers in the community and inform them of housing eligibility factors and guidelines so they can make proper referrals to the Public Housing Programs.

### **Outreach and informational materials will be subject to the following:**

- All outreach materials must comply with Fair Housing Act requirements with respect to wording, logo, and size of type, etc. (24 CFR 109.30(a)) as well as affirmative fair housing outreach requirements.
- Outreach will describe the housing units, application process, and Wait List and preference structure accurately.

## **2.1 Outreach (Continued)**

- Outreach will be written in simple, clear language and will use more than strictly English-language print media.
- An effort will be made to target all agencies that serve and advocate for potentially qualified applicants (e.g. persons with disabilities, to ensure that accessible/adaptable units are used by people who can best take advantage of their features).
- Outreach materials will make clear who is eligible: low income individuals and families, people with both physical and mental disabilities.
- HHA's responsibility to provide reasonable accommodations to people with disabilities will be clearly stated.

## 2.2 Opening and Closing of Application Wait List

HHA will maintain an adequate pool of families to ensure that families are available for housing as openings occur. The HHA will open application intake by giving public notice that families may apply for public housing admission. The public notice will identify and briefly describe the program, limitations on who may apply for assistance, the location or other methods (e.g., phone, mail) on how the family may apply, the dates and times for application and the equal opportunity statement. Public notice will be provided through the following media:

- **Newspaper(s) of general circulation**

Hartford Courant Legal Ads  
285 Broad Street  
Hartford, CT 06115  
(860) 241-6200  
(860) 241-3866 Fax

The Hartford News  
191 Franklin Avenue  
Hartford, CT 06114-2517  
(860) 296-6128  
(860) 296-8769

Northend Agent  
PO Box 2308  
Hartford, CT 06146  
(860) 522-1888  
(860) 423-7641 Fax

The Hartford Inquirer  
Box 1260  
Hartford, CT 06143  
(860) 522-1462  
(860) 522-3014

## 2.2 Opening and Closing of the Waiting List (Continued)

### • Newspaper(s) of minority circulation

Northeast Minority News  
PO Box 4159  
Hartford, CT 06147-4159  
(860) 249-6065 or (860) 249-5955

El Extra – News  
PO Box 34822  
Hartford, CT 06134

West Indian American News  
PO Box 320536  
Hartford, CT 06132  
(860) 247-0123 Fax

Panorama  
66 Julius Street 5<sup>th</sup> Flr.  
Hartford, CT 06114  
(860) 244-2432, Fax 527-5179

El Tiempo/Record-Journal  
Patrick Bremer  
11 Crown St. Meriden, CT 06450  
(203) 317-2328 Fax (203) 235-4040

La Voz Hispana De Connecticut  
35 Elm Street  
New Haven, CT 06450  
Fax (203) 787-4023 or (203) 865-2272

## 2.2 Opening and Closing of Application Intake (Continued)

### Equal Opportunity Plan adherence:

Fair Housing Office  
Local Department of Health and Human Services  
Local Shelters

The Wait List will remain open for a period long enough to fill the Wait List with applicants that will meet the projected existing supply and new allocations over the next 12 months. The HHA reserves the right to open its' Wait List to specific preference holders or other categories of candidates to ensure that there is an adequate pool of qualified applicants. For instance, the HHA may elect to open the Wait List to working families or to elderly/disabled if it finds that conditions exist where such categories of candidates are needed to fulfill goals of broad ranges of incomes or suitable families to occupy units.

Decisions about closing the Wait List will be based on the number of applications available for a particular size and type of unit, the number of applicants who qualify for a preference, and the ability of the HHA to house an applicant in an appropriate unit within a reasonable period of time. A decision to close the Wait List, restricting intake, or opening the Wait Lists will be publicly announced.

It is the HHA's policy to close or suspend application intake when it determines that the existing pool of applicants equals 20% of the existing housing stock, or the highest Wait List preference category has sufficient applications to fill anticipated vacancies for the coming 12 months. In accordance with 24 CFR Part 1.4 and HUD Handbook 7465.1 Rev2, Chapter 2 the HHA may elect to:

- Close the Wait List completely
- Close the list during certain times of the year
- Restrict intake by preference, type of project, or by size and type of dwelling unit

During the period when the Wait List is closed, the HHA will not maintain a list of individuals who wish to be notified when the Wait List is reopened.

### **2.3 How Families Apply**

Families that pick-up applications will be encouraged to complete the applications on the premises. The HHA will make space available for applicants to fill out the application. The intake representative will explain admission preferences. An application intake representative for legibility and completeness will review applications that are filled out on the premises. The application intake representative will provide assistance to the applicant to legibly and completely fill out the application. To provide accommodation to a person with disabilities, an application may be filled out by the application intake representative. However, the application must be signed by the applicant or designated representative that furnishes a copy of a valid power of attorney statement. Persons with disabilities who require reasonable accommodations in completing an application may call the Hartford Housing Authority to make special arrangements. A telecommunication device for the Deaf (TDD) is available the telephone number is 1-800-545-1833 extension 242.

If required information to complete the application cannot be provided by the applicant during the application process, the intake representative will define the information requirement and inform the applicant that the “completed and signed” application can be delivered or mailed to the HHA. The applications intake representative will provide written mailing address to all applicants that leave the premises with an application.

Mail or phone requests for applications will be sent to the applicant within two-business day of the request. The mailed application will contain the written notification of the return mailing address for the application and a notice reminding the applicant that the application must be signed. Applicants who mail in incomplete or illegible applications will be contacted by phone or mail and will be required to complete the application on the premise. Exceptions to the mail-in requirement may be granted if the applicant is handicapped or lives outside the county, and there is a reasonable expectation that the applicant will be able to remedy the information requirement.

All pre-applications will be dated and time stamped by the intake specialist and a copy will be provided to the applicant as a receipt of submission. The authority does not acknowledge eligibility, neither proper completion of the pre-application by the family at the time of submission. Providing a copy of the dated and time stamped preapplication merely serves as a receipt of submission. Completed pre-applications will be listed on the Wait List then filed until determination of eligibility. Incomplete applications will remain open for a period of 30 calendar days. After 30 days the open application file will be purged and the applications will be shredded. The applicant will be required to restart the application process.

### **2.3 How Families Apply (Continued)**

Families apply for the low income public housing program by obtaining and completing a standard Low Income Housing Pre-Application Form. The purpose of the application is to facilitate placement on the Wait List and permit the HHA to determine program eligibility. A written application must be completed before placing the applicant on the Wait List. If requested by the applicant, the HHA will provide the applicant assistance in completing the application. Unless the Wait List is closed, the HHA is to give the applicant an opportunity to submit a written application, even if informal discussion suggests that the applicant is not eligible.

The HHA Pre-application is designed to provide sufficient information to make a preliminary determination of the applicant's eligibility, type and size of dwelling requirement, and rent. Therefore, applicants must provide the following information:

- Designation of family head of household
- Names, social security numbers, sex and ages of all family members to be listed on the lease, place and time of birth.
- Proof of eligible immigration status
- Current address and phone number
- Amount and sources of income received by each adult person to be listed on the lease
- Information regarding need for special accommodations
- Information regarding selection preferences
- Race and ethnicity
- Convictions for illegal drug related or violent crime activity during the last three years.
- Prior eviction from public housing programs during the last three years/and or debt owed.
- Previous addresses during the last three years
- Current landlord name and address
- Assets, properties owned if any

All applications must be signed by the applicant (i.e., designated family head of household). The applicant's signature certifies the truthfulness of the information and their understanding that the HHA may deny program admission or participant termination as a result of application fraud resulting from eligibility verification.

Applications are available from the HHA. Families can pick-up applications at the HHA's central office or by contacting the Admissions and Occupancy Department during normal business hours and requesting an application to be mailed.

**Hartford Housing Authority 475 Flatbush Avenue ● Hartford, CT 06106**

Vox: (860) 275-8400 TDD (800) 543-1833x242 ● Fax (860) 232-1804

Name	Relation to Head	Sex	Soc Sec Number	Date& Place of Birth	U.S. Citizen Y/N RACE	Income Source & amount (TANF,SS,SSI, Wages) etc.
HD						
CO						
3						
4						
5						
6						

If there are more members in your household, check here ( ) and list on back of this form.

Current address: \_\_\_\_\_  
 street number and name apt.# city/state zip code

Your phone: \_\_\_\_\_ Phone of someone who could contact you:

Present Employer \_\_\_\_\_ StreetAddress \_\_\_\_\_ City \_\_\_\_\_ ST \_\_\_\_\_ Zip \_\_\_\_\_

Phone : \_\_\_\_\_ Fax: \_\_\_\_\_ Length of employment at present job? \_\_\_\_\_

Do you own any property? \_\_\_YES\_\_\_NO. List family Assets (such as Savings, Checking, Stocks, Bonds, and Certificates Deposit) \_\_\_\_\_.

Check any of the following if applicable. A response of "YES" to any of the following statements does not automatically determine family ineligible.

- Do you live in Hartford and work in Hartford?
- Are you willing to participate in a Twenty/20 Education Community Program? \_\_\_\_yes \_\_\_\_no
- Do you live in Hartford and work outside of Hartford?
- Do you live in Hartford?
- Do you work in Hartford or have a verifiable offer to do so?
- Have you been advised by the court or public agency that, if you find adequate housing, you may be reunited with your children?
- Have you graduated from a job readiness program within the past 18 months?
- Have you lived in public or assisted housing during the last 3 years?
- Have you or any member of your family that will be residing with you been evicted from public or assisted housing? State reason, date, & name of authority \_\_\_\_\_
- Have you or any member of your family been convicted of a drug or alcohol related crime? \_\_\_\_yes \_\_\_\_no

Are you or any of your relatives employed by the HHA? Name \_\_\_\_\_

**Prior Landlord Information: (3 references use reverse side of this form)**

Name: \_\_\_\_\_ Phone #: \_\_\_\_\_ Fax #: \_\_\_\_\_

Address:

- Incomplete applications will be shredded after 30 calendar days.
- Eligibility is contingent upon results from criminal records check.
- Eligibility is contingent upon a credit check to help determine your ability to pay the rent and utilities.
- You will undergo a screening process, which includes an interview by panel.
- You may receive a home visit as part of the screening process.

KNOWING THE PENALTY OF LAW FOR MAKING FALSE STATEMENTS UNDER THE U.S. CRIMINAL CODE, I HEREBY CERTIFY THE ABOVE AS A TRUE AND FULL STAEMENT. I/WE ALSO GRANT THE HOUSING AUTHORITY OF THE CITY OF HARTFORD PERMISSION TO ATTAIN A COPY OF MY/OUR CREDIT HISTORY REPORT \$).

Signature (s): \_\_\_\_\_ Date \_\_\_\_\_

Signature (s): \_\_\_\_\_ Date \_\_\_\_\_

Receipt of pre-application does not constitute proper completion of preapplication or a determination of eligible for State or Federal HousingProgram.



## **2.5 Steps Processing of Pre-Application.**

- Determination made based on criteria established in Section 3.1-3.10, 4.0-4.1, 5.0-5.2
- Receipt Eligible / Ineligible Notification Letter is sent to family.
- If applicant receives Ineligible Receipt Letter Informal Review Hearing may be requested (see Section 17.0-20.0)
- If applicant is determined Eligible they will be placed on Wait List, See Sections 3.0-3.04.0 – 4.1, and 5.0 – 5.2 for criteria utilized to establish placement on list.

## **2.6 Required Postings**

In each of its offices, the Hartford Housing Authority will post, in a conspicuous place and at a height easily read by all persons including persons with mobility disabilities, the following information:

- A. Statement of Policies and Procedures governing Admission and Continued Occupancy
- B. Notice of the status of the Wait List (opened or closed)
- C. A listing of all the developments by name, address, number of units, units designed with special accommodations, address of all project offices, office hours, telephone numbers, TDD numbers, and Resident Facilities and operation hours
- D. Income Limits for Admission
- E. Excess Utility Charges
- F. Utility Allowance Schedule
- G. Current Schedule of Routine Maintenance Charges
- H. Dwelling Lease
- I. Grievance Procedure
- J. Fair Housing Poster
- K. Equal Opportunity in Employment Poster
- L. Any current Hartford Housing Authority Notices

### 3.0 Eligibility Criteria for Admissions

This section presents the rules for admission and denial in the low income public housing program which are primarily subject to the rules issued by HUD in 24 CFR 960: Admissions and Occupancy, 24 CFR 966: Lease and Grievance Procedures. It is the policy of the HHA to ensure that participant eligibility is determined in accordance with HUD rules and procedures and consistent application of such rules. Initial eligibility will be determined based on information collected on the standard low-income application form. All applicants will receive written notification of initial eligibility determination based on the information supplied by the applicant.

To meet the initial eligibility requirements to be placed on the Wait List for the low income public housing program, applicants must provide documentation that supports the following initial eligibility criteria:

- Meets the HUD definition of a “family” and be extremely low, very low or low income for the jurisdiction.
- Possess a social security number or provide certification that a SSN has not been assigned and furnishes proof of citizenship/legal immigration status.
- Has not been evicted from a housing program for fraud, is not indebted to the HHA or any other Federal Housing Agency for prior program participation, has no history of illegal drug use or alcohol abuse that interferes with the health, safety or right to peaceful enjoyment of the premises by other residents.
- Applicant is ninety 90 days delinquent in paying a debt owed or loans guaranteed by the Federal Government.
- Denied for Life: Any family member who has been convicted of manufacturing or producing methamphetamine (speed) in a public housing development or in a Section 8 assisted property.
- Denied for Life: Any individual who has a lifetime registration under a State sex offender registration program.

Initial eligibility is determined based on the information supplied by the applicant during the application process. The application will be reviewed for completeness and the data contained in the application will be reviewed for initial eligibility (i.e., responses on the application are compatible with the definitions of the criteria used to determine initial program eligibility). Key information supplied by the applicant (i.e., identification of the head of household, citizenship or legal immigration status, and social security identification) will be reviewed for validity. Screening the HHA’s tenant history records for eviction and/or program abuse must be completed before notification of initial eligibility and placement on the Wait List.

### **3.0 Eligibility Criteria for Admissions (Continued)**

The HHA emphasizes the fact that initial application review is made only to place an applicant on the Wait List. Final determination for eligibility is made after verification of the information provided on the application is updated to reflect the current status of the applicant. The final determination that is required, prior to program admission, must be conducted within a period of 60 days before admission to the program.

To complete the requirements for eligibility, applicants must meet each of the criteria that follows:

- Meets the HUD definition of a “family” as defined in this section.
- Has an Annual Income at the time of admission that does not exceed the income limits for occupancy established by the Department of Housing and Urban Development for the City of Hartford.
- Heads a household in which all members of the household who receive assistance are either citizens or eligible immigrants (24 CFR 200, Part 5).
- Provides a Social Security number for all family members, including all children, or can document and certify that they do not have Social Security numbers (24 CFR Part 5).
- Has not been evicted from Public Housing, Indian Housing, Section 23, or any Section 8 program because of drug related criminal activity within the past 3 years.
- Has not committed fraud in connection with any Federally Assisted Program.
- Provides the appropriate documentation and acknowledgments required for application eligibility determination.

### 3.1 Definition of A Family

HUD broadly defines the term “Family”. The following describes what constitutes a family (58 FR 39658). Virtually any person(s) can be considered a family. Note: the definition of a family does not include nonimmigrant student aliens.

While the Hartford Housing Authority follows HUD’s definition of a family, it stipulates that for the purposes of its program a family must have a designated head of household. The HHA defines a head of household as an adult member of the family emancipated minor or other person who has the legal capacity to enter into the housing lease, and is wholly or partially responsible for paying the rent.

#### A. Family status.

1. **A family with or without children** Such a family is defined as a group of people related by blood, marriage, adoption or affinity that live together in a stable family relationship.
  - a. Children temporarily absent from the home due to placement in foster care are considered family members.
  - b. Unborn children and children in the process of being adopted are considered family members for the purpose of determining bedroom size but are not considered family members for determining income limit. Note: Prior to housing placement, court documents must be provided regarding the placement of adopted children with family.
2. An **elderly family**, which is:
  - a. A family whose head, spouse, or sole member is a person who is at least 62 years of age;
  - b. Two or more persons who are at least 62 years of age living together; or
  - c. One or more persons who are at least 62 years of age living with one or more live-in aides.

### 3.1 Definition of A Family (Continued)

3. A **near elderly family**, which is:
  - a. A family whose head, spouse, or sole member is a person who is at least 50 years of age but below the age of 62;
  - b. Two or more persons, who are at least 50 years of age but below the age of 62, living together; or
  - c. One or more persons, who are at least 50 years of age but below the age of 62, living with one or more live-in aides.

4. A **disabled family**, which is:
  - a. A family whose head, spouse, or sole member is a person with disabilities;
  - b. Two or more persons with disabilities living together; or
  - c. One or more persons with disabilities living with one or more live-in aides.

5. A **displaced family**, which is a family in which each member, or whose sole member, has been displaced by governmental action, or whose dwelling has been extensively damaged or destroyed as a result of a disaster declared or otherwise formally recognized pursuant to Federal disaster relief laws.

6. A **remaining member of a tenant family**.

7. A **single person** who is not an elderly or displaced person, a person with disabilities, or the remaining member of a tenant family. A family may not be admitted to the public housing program from another assisted housing program (e.g., tenant-based Section 8) or from a public housing program operated by another housing authority without meeting the income requirements of the Hartford Housing Authority.

### **3.2 Family Composition Determination**

The HHA's determination of family composition (i.e., size), is the primary factor in assigning suitable living accommodation for the applicant. The intake specialist should assure that all family member information contained on the application is complete and legible. In the determination of family composition, the HHA will include the following special types of family members:

- A child who is temporarily away from the home because of placement in foster care so long as the absence is temporary in nature (i.e., return date specific).
- A live-in aid if the applicant is elderly, near elderly (i.e., 50-61) or disabled.

The applicant will not have to evidence the family composition at the time of application. However, the applicant must be told that final determination of family composition will be subject to eligibility verification and special documentation is required for validating temporary absences for family members and for approving live-in aids. Required documentation for family composition determination is contained in Section 7: Verifications for Admissions & Method.

### **3.3 Income Determination**

Income eligibility for public housing will be based on the following, items discussed in this section. Applicants must be eligible under the income criteria established by HUD.

For purposes of applying the following criteria on families in residence, the Hartford Housing Authority defines continuously assisted as a family that (1) is already receiving housing assistance under a 1937 Housing Act program or (2) has received housing assistance under any State or Federal program within 30 calendar days prior to selection for admissions into the low income housing program).

HHA determines income eligibility by comparing the family's annual income (gross income) with the HUD established income limits. The appropriate income limit for assistance is the highest income limit, based on family size determination, for areas in the HHA's jurisdiction.

Family income will not be verified at the time of initial application since income eligibility to the program must be determined based on the families' income within 60 days of program admission.

#### **1. Income eligibility**

1. To be eligible for admission to developments or scattered-site units that were available for occupancy before 10/1/81, the family's annual income must be within the low-income limit set by HUD. This means the family income cannot exceed 80 percent of the median income for the area.
2. To be eligible for admission to developments or scattered-site units that became available on or after 10/1/81, the family's annual income must be within the very low-income limit set by HUD, unless HUD grants an exception. This means that without a HUD exception, the family income cannot exceed 50 percent of the median income for the area.
3. Income limits apply only at admission and are not applicable for continued occupancy.
4. A family may not be admitted to the public housing program from another assisted housing program (e.g., tenant based Section 8) or from a public housing program operated by another public housing authority with meeting the income requirements of the Hartford Housing Authority.
5. If the Hartford Housing Authority acquires a property for federal public housing purposes, the families living there must have incomes within the low-income limit in order to be eligible to remain as public housing tenants.

### **3.3 Income Determination (Continued)**

6. Income limit restrictions do not apply to families transferring within our Public Housing Program.
7. In an effort to reach its income mixing goals as declared in HHA Resolution 99-\_\_\_\_. The Authority may skip families who have extremely low or very low incomes to place families who have low incomes in developments ahead of poorer families.

If there are no eligible families on the Wait List and the Hartford Housing Authority has published a 30-day notice of available units in at least one newspaper of general circulation, families above the applicable income limit may be housed.



### **3.4 Proof of Citizenship or Legal Immigration Status**

On March 20, 1995, HUD issued its final rule implementing Section 214 of the Housing and Community Development Act of 1980, as amended. The minimum requirement for public housing eligibility is that at least one member of the family is a citizen or an eligible alien. It is incumbent on the family to provide proof of eligibility and on the HHA to validate citizenship or proof of legal immigration status. Standards for proof of citizenship and legal immigration status are provided in Section 214 of the Act. The primary method for determining legal immigration status is through contact with the Office of Immigration and Naturalization.

HHA will require both current residents and applicants to establish citizenship or eligible immigration status documentation for every household member to receive or continue to receive housing assistance. Citizenship or eligible immigration documentation will be required at time of application, during recertification of existing tenants and when a family adds a member to its household, if in residence prior to the final rule.

For families that include eligible and ineligible members, the family composition will be determined in accordance with the definition of a family and the subsidy will be prorated to reflect assistance for only eligible members.

Standards and procedures for determining eligibility are contained in Section 214 of the Housing and Community Development Act of 1980. Certification of family member citizenship is required to be made by the head of household under penalty of perjury and denial of admittance for assistance.

#### **Certification of citizenship/legal resident will take the following form:**

- For families claiming U.S. Citizenship, each applicant will sign a Declaration of Citizenship Form, which will become part of the permanent file. Adults will be required to sign on behalf of children under the age of 18 years.
- Non-citizens declaring eligible immigration status must sign a declaration of eligible immigration status provide the required U.S. Immigration and Naturalization Service documents, and signs a verification consent form.

The HHA has the right to deny or terminate housing assistance if members of any household are found to be non-citizens or illegal residents. However, this determination will not take place until all appeal rights (e.g., informal hearing) are requested and exercised by the household. Assistance to a family may not be delayed, denied or terminated on the basis of the family's ineligible immigration status unless and until the family completes all the verification and appeals processes to which they are entitled under both INS and the HHA procedures (24 CFR, Part 5). The HHA may grant time extensions to provide appropriate information, if the household demonstrates diligent effort in obtaining immigration status documents.

### **3.5 Social Security Number**

The HHA is to obtain evidence of the social security number of all program applicants and adult members of the family as part of the initial review. All children will be required to submit social security identification for verification, if social security identification has been issued. Social security verification is required for all persons who join the family subsequent to admission.

A driver's license, identification card issued by a Federal, State or local agency, documents issued by an employer, or Federal, State or local agencies containing the legal name and social security number of the applicant is acceptable proof.

Validation of family members social security identification is required as part of final validation. Program eligibility cannot be determined without social security validation of all members, over six years on the application.

### **3.6 Signing Consent Forms:**

1. In order to be eligible, each member of the family who is at least 18 years of age, and each family head and spouse regardless of age, shall sign one or more consent forms.
2. The consent form must contain, at a minimum, the following:
  - a. A provision authorizing HUD or the Hartford Housing Authority to obtain from State Wage Information Collection Agencies (SWICAs) any information or materials necessary to complete or verify the application for participation or for eligibility for continued occupancy; and
  - b. A provision authorizing HUD or the Hartford Housing Authority to verify with previous or current employers income information pertinent to the family's eligibility for or level of assistance;
  - c. A provision authorizing HUD to request income information from the IRS and the SSA for the sole purpose of verifying income information pertinent to the family's eligibility or level of benefits; and
  - d. A statement that the authorization to release the information requested by the consent form expires 15 months after the date the consent form is signed.

### **3.7 Grounds For Denial**

It is the policy of the authority to deny admissions to any applicant who has committed fraud or has outstanding debt within an existing public housing program. Payment of funds owed to the authority is part of eligibility verification. Payment of outstanding balances is an opportunity for the applicant to demonstrate an improved track record. The HHA will consider a repayment option of any past balances owed by the applicant for any program that it operates, or proof from any other federally subsidized housing that the debt has been satisfied. The HHA expects these balances to be paid in full (either a lump sum or over time) before initiating the admissions process. The HHA will not admit families who owe back balances; neither activate them on the wait list.

The HHA will complete a criminal background check on all applicants including other adult members in the household or any member for which criminal records are available (24 CFR 960.205 (b)(3)). It is the policy of the HHA that persons evicted from any public or assisted housing program for drug related criminal activity are ineligible for admission for a three year period from the date of the criminal activity. The HHA may waive this criteria if the person demonstrates successful completion of a rehabilitation program approved by the HHA; or the circumstances leading to the eviction no longer exist (e.g., the individual involved with drugs is no longer in the household because the person is incarcerated).

The HHA will implement this policy by requiring that each applicant acknowledge on the application form the eviction of any family member listed in the application due to drug related criminal activity from any public or assisted housing program within the past three years from the date of the application. Furthermore, the HHA will maintain a data base containing the name, social security number and reference to file containing a record of the eviction circumstances of all evicted applicants. All applicants, and listed family members, will be validated against the eviction file for initial eligibility purposes. The HHA will notify the applicant family of an admissions denial for eviction due to drug related criminal activity in a standard denial letter. The applicant may challenge the accuracy of the admission denial or identify the "good cause" for program admissions based on the waiver issuance as provided in the above policy statement. However, no waiver will be granted if the applicant's acknowledgment was falsified.

### 3.7 Grounds For Denial (Continued)

The Hartford Housing Authority is not required or obligated to assist applicants who:

- A. Do not meet any one or more of the eligibility criteria;
- B. Do not supply information or documentation required by the application process;
- C. Have failed to respond to a written request for information or a request to declare their continued interest in the program;
- D. Have a history of not meeting financial obligations, especially rent;
- E. Do not have the ability to maintain (with assistance) their housing in a decent and safe condition where such habits could adversely affect the health, safety, or welfare of other tenants;
- F. Have a history of criminal activity by any household member involving crimes of physical violence against persons or property and any other criminal activity including drug-related criminal activity that would adversely affect the health, safety, or well being of other tenants or staff or cause damage to the property;
- G. Have a history of disturbing neighbors or destruction of property;
- H. Currently owes rent or other amounts to any housing authority in connection with their public housing or Section 8 programs;
- I. Have committed fraud, bribery or any other corruption in connection with any Federal housing assistance program, including the intentional misrepresentation of information related to their housing application or benefits derived there from;
- J. Were evicted from assisted housing within three years of the projected date of admission because of drug-related criminal activity involving the personal use or possession for personal use;
- K. Were evicted from assisted housing within three years of the projected date of admission because of drug-related criminal activity involving the illegal manufacture, sale, distribution, or possession with the intent to manufacture, sell, distribute a controlled substance as defined in Section 102 of the Controlled Substances Act, 21 U.S.C. 802;

### 3.7 Grounds For Denial (Continued)

- L. Are illegally using a controlled substance or are **abusing alcohol** in a way that may interfere with the health, safety, or right to peaceful enjoyment of the premises by other residents. The Hartford Housing Authority may waive this requirement if the person:
  - 1. Demonstrates to the Housing Authority's satisfaction that the person is no longer engaging in drug-related criminal activity or abuse of alcohol;
  - 2. Has successfully completed a supervised drug or alcohol rehabilitation program;
  - 3. Has otherwise been rehabilitated successfully; or
  - 4. Is participating in a supervised drug or alcohol rehabilitation program.
- M. Have engaged in or threatened abusive or violent behavior towards any Housing Authority staff or residents;
- N. Have a household member who has been evicted from public housing;
- O. Have a family household member who has been terminated under the certificate or voucher program;
- P. **Denied for Life:** If any family member has been convicted of manufacturing or producing methamphetamine (speed) in a public housing development or in a Section 8 assisted property;
- Q. **Denied for Life:** Has a lifetime registration under a State sex offender registration program.
- R. Applicant is ninety 90 days delinquent in paying a debt owed or loans guaranteed by the Federal Government.
- S. Previous violation of "**One Strike Policy**" resulting in eviction for illegal drug related and Criminal activity that threatened the well being of the public housing community.

### **3.8 Special Eligibility Provisions for Live-In Aids**

Live-in Aide - a person who resides with an elderly person(s) or person(s) with disabilities and who: (a) is determined to be essential to the care and well being of the person(s); (b) is not obligated to support the family member (s) ; and (c) would not be living in the unit except to provide the necessary supportive services (24 CFR 5.403 (b)).

HHA policy on Live-in Aides stipulates that:

- Before a Live-in Aide may be moved into a unit, a third-party verification must be supplied that establishes the need for such care and the fact that the person cared for will be able to remain in the unit and comply with the lease terms as the result of such care:
- Move-in of a Live-in Aide must not result in overcrowding of the existing unit except in extraordinary and temporary circumstances although, a reasonable accommodation for a resident with a disability may be to move the family to a larger unit:
- Live-in Aides have no right to the unit as a remaining member of a resident family:
- Relatives who satisfy the definitions and stipulations above may qualify as Live-in Aide but only if they sign a statement prior to moving in relinquishing all rights to the unit as the remaining member of a resident family.
- A live-in aide can be a single person. A live-in aide with a family may be also be considered for admission to the unit provided that the addition of the Live-in Aide's family does not result in overcrowding of the existing unit. The adult members of the live-in aides' family must meet HHA's screening criteria.
- A Live-in Aide will be required to meet HHA's screening requirements with respect to past behavior, especially:
  - A record of disturbance of neighbors, destruction of property, or living or housekeeping habits at present or prior residences which may adversely affect the health, safety, or welfare of other tenants or neighbors; and
  - Criminal activity such as crimes of physical violence to persons or property and other criminal acts including drug-related criminal activity which would adversely affect the health, safety, or welfare of other residents or staff or cause damage to the unit or the development.

### **3.9 Initial Eligibility Notification**

The HHA will notify the applicant in writing upon completion of initial eligibility determination based on a review of the information contained on the preapplication. Accepted pre-applications will be placed on the Wait List and ranked in accordance with the HHA's preference policy. The initial notice will indicate the Wait List for the programs for which they have indicated an interest. The initial notice will also contain specific applicant instructions for submitting changes to the application. The instructions incorporated in the initial receipt letter will state that the applicant must submit all changes to the preapplication in writing via mail or hand deliver to the authority. All correspondence requesting changes be made to the pre-application must include the social security number as it is the application number. Changes that must be submitted that will impact the status of the preapplication are family composition, address, and source of income. With regard to family composition change, adding and deleting of family members will affect the bedroom list the family is placed on. Each wait list depending on bedroom need and availability will impact the time period the family will be required to wait prior to placement. Failure to submit change of address will result in the withdrawal of the Pre-Application, since correspondence mailed to the applicant will be returned to the authority. Information change regarding Source of income may entitle the applicant to a Local Preference of working that could result in expediting the placement of the family. The receipt letter also states that the HHA will contact the family for final eligibility determination and verification prior to admittance into the low-income program without any effort on behalf of the family.

Final eligibility will be determined based on verification of the information provided by the applicant to reflect the current status of the applicant. Final eligibility is dependent on meeting the criteria identified in this section. Note: if applied for final eligibility verification process will include validating the applicant's preference status.

If an applicant is denied either initial or final eligibility, the HHA will notify the applicant in writing within 5 calendar days of determination. The notice will state the reason(s) for program denial. All program denial letters will include information on applicant's rights to an informal review and state how to arrange for such a review.

### **3.10 Exemption From Eligibility Requirements For Police Officers**

In accordance with 24 CFR 960.501, the HHA reserves the right to permit the admission to public housing police officers and other security personnel, who are not otherwise eligible for such housing under any other admission requirement or procedure, in accordance with the plan submitted by the HHA and approved by HUD. The HHA may elect to exercise its right in accordance with the following conditions:

- The Officer would not be eligible under any other admissions requirement or procedure.
- The exemption is given under a properly submitted plan that satisfies the requirements set out in 24 CFR 960. 507.
- The HHA has received written approval from HUD.



## 4.0 Preferences

As with other HUD housing programs, the low-income public housing program laws establishes the requirements for statutory eligibility. However, the law does not assure assistance for every eligible family. Unlike entitlement programs, in which assistance is provided to any eligible person, the availability of low-income public housing is constrained by the amount of development funding appropriated by Congress and by the number of units under contract by the HHA. Many families may apply, but the HHA can only assist the number of families that can be housed through its existing housing stock.

It is with this basic concept in mind that program eligibility boundaries are set by HUD to create a pool of eligible families. Within that general pool eligibility is further refined by criteria established by HUD and/or as a result of local the HHA policy. Once applicants are accepted, they are placed on a list to await verification based on order of preference.

It is the primary responsibility of the Admissions and Occupancy Department to ensure that eligible applicants are listed on the Wait List first, in an order consistent with the preference assigned to that family and second, in an order based on the application date and time stamp. By maintaining an accurately sequenced Wait List the Housing Department ensures that program admissions are assigned in a legal, consistent and non-discriminatory manner.

Preferences are used to establish the order of placement on the Wait List for final eligibility verification and selection. Preference assignment does not guarantee program admission. Additional factors come into play as part of the family selection that includes unit suitability, and passing the HHA's screening criteria before being offered a unit. Families who refuse an offer of housing will not be permitted to apply for the same preference for a period of twelve months, as of the date of the last refusal.

## **4.1 Types of Preferences**

Applications that are accepted are assigned a sequence for selection on the Wait List based on Statutory and Local Preferences. A general discussion of each preference category is presented in the following paragraphs.

There is a statutory requirement that a minimum of 40% of the families admitted to Public Housing annually, have incomes at or below 30% of the Median area Income: or extremely low income families. Very low-income families are given preference over low-income families to occupy units available for occupancy before 10/1/81. HUD may provide a waiver to allow up to 10% fungibility between the Public Housing and Section 8 Wait Lists in order to satisfy this requirement of 40% / 60% of such units to be occupied by lower income families.

The Housing Authority of the City of Hartford has adopted the following Local Preferences

- 1) Residency
- 2) Working Family
- 3) Elderly/ Disabled Family
- 4) TEC Twenty/20 Education Communities

The following outlines the criteria for each Local Preference enumerated above:

### **Residency Preference**

Residency Preference will be given to families who:

- A. Live in Hartford and work in Hartford
- B. Live in Hartford and work outside of Hartford
- C. Work in Hartford
- D. Live in Hartford

HUD is very specific on the terms and conditions of the Residency Preference. The definition of residency includes families with a member who works or has been hired to work (but may not live) in the specified area. Authorities may not adopt a residency preference for an area smaller than a county or municipality. A residency preference may not be based on how long the applicant has resided or worked in the designated area. A residency preference may be used as a local preference.

The establishment and/or change to a local and residency preferences must be approved by the HHA Board. Residency preferences must be submitted to HUD for approval prior to implementation

## 4.1 Types of Preferences (Continued)

### **Working Family Preference**

Families, including elderly disabled families whose head of household or spouse meets the Employment criteria as outlined in the following section will be assigned to the top of the Wait List ahead of families who do not work.

### **Elderly or Disabled Family**

Hartford Housing Authority will admit elderly, disabled families on a preferred basis over single families who are not elderly or disabled. Elderly families or disabled families with additional preferences will be admitted before non-elderly and non-disabled families that do qualify for additional preferences. Elderly or disabled families may also claim the *Working Family Preference*.

If there are no elderly or disabled families on the list, preference will then be given to near elderly families. If there are no near-elderly families on the Wait List, units will be offered to families who qualify for the appropriate bedroom size using these priorities. Preference Holders will have equal weight, the controlling factor that determines sequence will be date and time of application. Evidence of employment will be required upon applicant reaching the top of the waiting list. All such families will be selected from the Wait List using the preferences as outlined above.

### **Employment**

- (1) While the family is on the Wait List, employment by a previously unemployed head of household or spouse. The employer must provide a minimum of 35 hours of work per week for the family member claiming the preference.
- (2) Employment at the time of the offer. To receive the working family preference, the applicant family head of household or spouse must have been employed for a minimum of 35 hours per week for the 90-day period prior to the offer of housing.
- (3) Employment periods may be interrupted, but to claim the preference a family must have had the eligible member employed at the time of offer of housing as described above.
- (4) The eligible family member that leaves a job after receiving benefit of the preference will be asked to document the reasons for the termination. Someone who quits work (as opposed to layoff, or leaving one job to take a new one) will have his/her Preference terminated.

#### 4.1 Types of Preferences (Continued)

(5) The amount earned shall not be a factor in granting this local preference. This local preference will be granted to a family if head of household, spouse, or sole member is 62 or older, or is receiving social security disability, SSI disability benefits, or any other payments based on the individual's inability to work.

#### **Twenty/20 Education Communities (TEC) Formerly Known As The Campus of Learners Families**

Upon application for housing, families who enter into a Service Plan that specifies clear goals and timeframes for each family member to achieve his personal and professional goals under TEC shall be placed on the Wait List ahead of families who do not enter into such a plan. The Head of Household and every member of the household is committed, either by declaration of the Head or by execution of youth plans for members who are 13 years of age or older.

Preference families must meet all other eligibility criteria.

The preference system described herein will work in combination with requirements that match the characteristics of the family to the type of unit available including units with targeted populations. When such matching is required or permitted by current law, HHA will give preference to families in accordance with the policies as listed. The ability to provide preferences for some family types will depend on unit type availability.

When selecting a family for a unit with accessible features, HHA will give a preference to families that include persons with disabilities who can benefit from the unit's features. If no family requiring the accessibility features of the unit can be found, the authority will house a family not needing the unit features subject to the procedures described in the Tenant Selection and Assignment Plan requiring the accessibility features of the unit later in this policy. Under this policy, a non-disabled family in an accessible unit can be required to move so that a family needing the unit with the features can receive full benefit.

**Accessible Units:** Accessible units will be first offered to families who may benefit from the accessible features. Applicants for these units will be selected utilizing the same preference system as outlined above. If there are no applicants who would benefit from the accessible features, the units will be offered to other applicants in the order that their names come to the top of the waiting list. Such applicants, however, must sign a release form stating they will accept a transfer (at their own expense) if, at a future time, a family requiring an accessible feature applies. Any family required to transfer will be given a 30-day notice.

## **5.0 Unit Size And Occupancy Standards**

HUD determined that the HHA is responsible for assigning the appropriate number of bedrooms (i.e., family unit size) to families of different sizes and compositions. The size of a family refers to the number of family members. The composition of the family deals with the characteristics of the family members. The combination of the size and characteristics determines the appropriate number of bedrooms or family unit size, and the appropriate type of dwelling.

The Authority establishes the appropriate number of bedrooms (i.e., family unit size) for each family individually, based on the family size and composition. The family unit size must provide for the smallest number of bedrooms needed to house a family without overcrowding. Housing quality standards suggest that overcrowding exist when there is not at least one bedroom or living/sleeping room for each two persons.

This section explains the unit size, unit type, assignment process and what to do when the family size changes.

### **5.1 Determining the Family Unit Size**

The following general principles will be used to determine the proper family unit size based on the number of family members:

- Family members are assigned to bedrooms on the basis of two persons of the same sex per bedroom.
- Adults who have a spousal relationship shall occupy the same bedroom, unless medical documentation is submitted and acceptable to the HHA.
- Only one person may be assigned to each living room/bedroom combination.
- A live in aide will get a separate bedroom.

## 5.1 Determining the Family Unit Size (Continued)

The following principles are used to determine the family unit size based on the characteristics of the family members:

- The head-of-household and co-tenant (including a live-in aid) may be assigned a separate bedroom.
- A family member with a documented medical need can be assigned a separate room. Medical documentation must be acceptable to HHA.
- A child under two (2) years of age may share a bedroom with a single parent.
- Two children of different sex under the age of 3 years may to share a bedroom.
- A child who is temporarily away from home because of placement in a foster home is considered a member of the family.
- Children away at school will be considered a member of the family.
- Single Pregnant Individual/or couple expecting a child may be considered for a larger apartment with physician's documentation of pregnancy.
- Children who are in the process of being adopted /or whose custody is being obtained will be counted for purposes of determining bedroom size. Documentation of actual placement will be required prior to admission.

The assignment of family to the unit size will meet the following parameters:

<b>Range of Family Members</b>	<b>Unit Size</b>
1	Zero Bedroom
1-2	One Bedroom
2-4	Two Bedroom
3-6	Three Bedroom
4-8	Four Bedroom
8-10	Five Bedroom
10-12	Six Bedroom

## 5.2 Exceptions to Normal Unit Size Assignment

The standard unit size assignment may be altered as a result of changes in family size or composition. Exceptions may be granted for circumstances that are not covered under the Authority's published standard. Changes based on exceptions to the standard must be documented and approved by the Director of Occupancy or Deputy Director.

1. A family at their discretion may request a smaller unit other than specified in the guidelines. The HHA will allow the smaller unit as long as generally no more than two (2) people per bedroom are assigned. Exceptions may be granted if a petition is presented for cultural consideration and with a/ the requirement of a waiver prior to being granted housing. The family will sign a waiver stating that they understand they will be ineligible to be placed on a transfer list for a larger unit for a period of two years from the initial date of occupancy unless deemed necessary due to the birth of a child. Please note the two-year wait period for transfers is applied to all families as of initial date of application.
2. A family may request a larger unit size than the guidelines allow, if a documented medical condition exist. The Hartford Housing Authority requires medical documentation be provided by their attending physician. Documentation must be acceptable to the authority.

### Single Pregnant Individuals

A single pregnant individual with no other children who is pregnant at the time of application, and an individual or couple who is in the process of securing legal custody of a child under the age of 17, will be housed in a two bedroom unit. If the pregnancy does not result in a birth applicant/tenant will no longer qualify for the extra bedroom and may be moved at the family's expense, depending upon the demand for the unit. Adoptees' must be placed with families prior to the actual housing into the requested accommodation.

## **6.0 Administering The Wait List**

The HHA maintains one Wait List for admissions to its low income public housing program (i.e., single, jurisdiction-wide Wait List).

Except under special circumstances, that are specified in this section, admissions to the low-income public housing program are made from the Wait List in accordance with the following policy:

Each applicant is assigned a ranking on a single jurisdiction-wide Wait List in sequence based upon date and time the application is received, type and size of unit needed and selected by the family and factors affecting statutory priorities and approved local preferences that are consistent with the objectives of Titles VI of the Civil Rights Act of 1964 and Title VIII of the Civil Rights Act of 1968 and the HUD regulations and requirements pursuant thereto (24 CFR 1.4(b) (2) (ii) and 100).

Exceptions to single citywide Wait Lists will be permitted only to comply with Court Orders, Settlement Agreements, or when approved in advance by the Assistant Secretary for Fair Housing and Equal Opportunity. Court ordered new admissions will be made in accordance with the Court Order or Consent Decree.



## 6.1 Wait List Maintenance

The HHA must maintain a Wait List that contains the following information for each applicant:

- Applicant name
- Family unit size and bedroom requirement.
- Date and time of application
- Local Preference as described in 4.0 & 4.1.
- Racial designation of the head of household.

The Wait List contains the applicants that have fully completed the preapplication and appear to be initially eligible for program participation based on the information provided by the applicant. Applicants initially placed on the Wait List will be removed from the list for the following reasons:

- The applicant does not respond to the requested information or cannot provide the information necessary for the authority to verify the applicants' status for program selection within a 30 day period, provided that the applicant's failure to respond is not caused by the applicants' disability.
- The applicant is no longer eligible for the low income public housing program
- The HHA determines that fraud has been committed in completing the application.
- The applicant has refused 2 offers of suitable housing units.
- The applicant has been admitted into the low-income public housing program.
- The applicant has requested to be removed from the Wait List.

The HHA may not take any of the following actions solely because an applicant has applied for, received, or if refused other housing assistance:

- Refuse to list the applicant on the HHA's Wait List for the remaining type of housing assistance.

## **6.1 Waiting List Maintenance (Continued)**

- Deny admission preference for which the applicant is otherwise qualified. If the preference is not claimed more than once in a 12 month period.
- Remove the applicant from other Housing Programs. For example Moderate Housing Wait List, Section 8 Wait List.

Its opening and closing manages the size of the Wait List in addition to purging the list on an annual basis. As each applicant moves within 60 days of anticipated placement, the application will be reviewed, verified and processed in accordance with the HHA's procedures for administering the Wait List. During the ongoing verification process the HHA will update the Wait List by removing the names of those families who are no longer interested, no longer qualify for housing, or cannot be reached by mail. At the time of initial intake, the HHA will advise families of their responsibility to notify the HHA when their circumstances, mailing address or phone number has changed.

If additional information is required for verification purposes, a request will be mailed to the applicant. If an applicant fails to respond, or, if the mailing is returned the applicant will be removed from the Wait List. Returned mail will be maintained in file.

Applicants that are determined eligible through the HHA verification will be approved for selection and occupancy screening. Families that do not meet the requirements will be removed from the Wait List. Notification will be sent to applicants that pass or fail the verification process. Applicants who dispute the verification results will be advised to follow the process for resolving program disputes through an informal review.

## **6.2 Purging the Waiting List**

The Authority will update and purge its waiting list at least annually to ensure that the pool of applicants reasonably represents the interested families for whom the authority has current information, i.e. applicant's address, family composition, income, and preferences.

## **6.3 Deconcentration Policy**

It is Hartford Housing Authority's policy to provide for deconcentration of poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Toward this end, we will skip families on the Wait List to reach families that meet these criteria of a lower or higher income. We will accomplish this in a uniform and non discriminatory manner.

### **6.3 Deconcentration Policy (Continued)**

The Hartford Housing Authority will affirmatively market our housing to all eligible income groups. Lower income residents will not be steered toward lower income developments and higher income people will not be steered toward higher income developments.

Prior to the beginning of each fiscal year, we will analyze the income levels of families residing in each of our developments, the income levels of census tracts in which our developments are located, and the income levels of the families on the Wait List. Based on this analysis, we will determine the level of marketing strategies and deconcentration incentives for implementation. The worksheet for the analysis can be found in **Appendix 1**.

### **6.4 Deconcentration Initiatives**

The Hartford Housing Authority may offer one or more incentives to encourage applicant families whose income classification would assist the HA to meet the deconcentration goals of a particular development.

Various incentives may be used at different times, or under different conditions, but will always be provided in a consistent and non-discriminatory manner.

## **7.0 Verification for Admissions**

All families who are admitted to the HHA's low-income public housing program must have been determined to be eligible in accordance with program requirements. To be placed on the Wait List families must have properly completed a written pre-application and met all required initial eligibility requirements, including having provided evidence of head of household, legal identity, social security number, citizenship or legal alien status, birth and marital status.

Before admittance into the program, the current status of the applicant must be updated and the application requirements verified. No applicant will receive final eligibility approval without a thorough investigation of income, family composition and all other factors pertaining to the applicant's eligibility, rent, unit size and type preference, etc. Complete and accurate verification documentation will be maintained for each applicant and resident.

Misrepresentation of income, family composition or any other information affecting eligibility, rent, unit size, etc. will result in the family being declared ineligible. In the event misrepresentation is discovered after admission, the family will be terminated from the program.

The updating and verification process for eligibility and preference determination begins within a period of 60 days prior to the expected admittance into the program. The verification process is initiated with a contact letter sent to the applicant to update the application, to reflect changes and provide specific documentation necessary for verification. The applicant must telephone the HHA to schedule an appointment to provide the requested documentation within five business days. Failure to provide the necessary documents within the timeframe delineated will result in the withdrawal of the applicant from the wait list.

Applicants reporting zero income will be asked to complete a family expense form. This form will be the first form completed in the interview process. The form will require that applicants estimate how much they spend on food, beverages, transportation, health care, childcare, debts, household items, etc. The applicants must provide documentation of the status of any application or benefits through TANF or other similar program. (If a "zero income" family is admitted, quarterly redeterminations of income will be performed. See Section 13.6.)

HHA will notify the applicant via telephone or by mail if deemed necessary upon completion of final eligibility determination and of eligible status. Applicants will be placed on the Wait List and ranked in accordance with verified preference status, if claimed.

## **7.0 Verification for Admissions (Continued)**

Final eligibility will be determined based on verification of the information provided by the applicant as updated to reflect the current status of the applicant. Final eligibility is dependent on satisfying the criteria identified in this section. HHA will obtain written third party verification to substantiate applicant or resident claims. HHA may also use phone verifications with the results recorded in the file and followed up with written verification. Information via telephone must be dated and signed by HHA Staff, and, if no other form of verification is available, the applicant at last resort may provide a sworn affidavit confirming his/her particular situation. Applicants must sign releases and otherwise cooperate fully in obtaining or providing the necessary verifications.

A statement made by an applicant is considered by the authority to be verified when the available evidence indicates that it is more likely to be true than not. The authority requires verification of information when specifically required by federal or state law or regulation or when the authority considers it necessary to corroborate an applicant's statements pertaining to an essential factor of eligibility. However, the Authority does not require applicants to provide documentary evidence to verify the nonexistence of any factor, including lack of income, lack of bank accounts or other assets.

Affidavits will be accepted for review by the Authority, in addition to any documentary evidence or verification, when documentary proof is required but the applicant cannot provide it after good faith efforts or when submitted as proof of nonexistence of an eligibility factor. When an Affidavit is reviewed by the Authority, it will be evaluated along with all other evidence relative to the applicant's eligibility factor. The Authority uses all available evidence, including the Affidavit, to determine whether it is more likely than not that the applicant's eligibility has been established.

The penalty for failure to provide required verification depends upon the nature of the factor or circumstance for which verification is required. If the eligibility of the applicant depends directly upon a factor or circumstance for which verification is required, failure to provide verification results in denial to the applicant. Factors on which the applicant's eligibility depends directly include, but are not limited to, income amounts and asset amounts.

Applicants that request a preference at the time of initial application must be able to verify their preference status as part of the final eligibility review. Applicants that cannot verify current preference status will lose their preference qualification and their standing on the Wait List.

Families that lose their original preference, but still qualify for another preference, will be placed on the Wait List in accordance with their current preference status. Families that do not qualify for any preference will be moved into a non-preference category, on the Wait List based on date and time of application. A Family with a preference that refuses an offer of a unit may not apply for the same preference for a period of twelve months.

## **7.0 Verification for Admissions**

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A statement made by an applicant is considered by the authority to be verified when the available evidence indicates that it is more likely to be true than not. The authority requires verification of information when specifically required by federal or state law or regulation or when the authority considers it necessary to corroborate an applicant's statements pertaining to an essential factor of eligibility. However, the Authority does not require applicants to provide documentary evidence to verify the nonexistence of any factor, including lack of income, lack of bank accounts or other assets.

Affidavits will be accepted for review by the Authority, in addition to any documentary evidence or verification, when documentary proof is required but the applicant cannot provide it after good faith efforts or when submitted as proof of nonexistence of an eligibility factor. When an Affidavit is reviewed by the Authority, it will be evaluated along with all other evidence relative to the applicant's eligibility factor. The Authority uses all available evidence, including the Affidavit, to determine whether it is more likely than not that the applicant's eligibility has been established.

The penalty for failure to provide required verification depends upon the nature of the factor or circumstance for which verification is required. If the eligibility of the applicant depends directly upon a factor or circumstance for which verification is required, failure to provide verification results in denial to the applicant. Factors on which the applicant's eligibility depends directly include, but are not limited to, income amounts and asset amounts.

Applicants that request a preference at the time of initial application must be able to verify their preference status as part of the final eligibility review. Applicants that cannot verify current preference status will lose their preference qualification and their standing on the Wait List.

Families that lose their original preference, but still qualify for another preference, will be placed on the Wait List in accordance with their current preference status. Families that do not qualify for any preference will be moved into a non-preference category, on the Wait List based on date and time of application. A Family with a preference that refuses an offer of a unit may not apply for the same preference for a period of twelve months.

## **7.0 Verification for Admissions (Continued)**

In all the following six categories information must be verified to determine program eligibility. The authority will verify the application in following sequence:

- Verification of the family size and composition.
- Verification of applicant income as qualified for extremely low, very low or low income admittance.
- Verification of preference status.
- Verification that family members are not using illegally controlled substances, and that a members' pattern of illegal use of a controlled substance or pattern of abuse of alcohol may interfere with the health, safety or rights of other family members, or has been evicted from a public or assisted housing program for such activities.
- Verification that no family member has financial indebtedness to any federally assisted housing program.
- Verification of the State's lifetime sex offender registration program for each adult household member, including live-in aides. No individual registered with this program will be admitted to public housing.

If an applicant is denied final eligibility, HHA will notify the applicant in writing within 5 calendar days of determination. The notice will state the reason(s) for program denial. All program denial letters will include information on applicants' rights to an informal review and state how to arrange for such a review.

## 7.1 Eligibility Documentation Requirements

The authority will utilize the following methods of verification:

- The authority will verify earned income electronically via the State Department of Labor. Receipt of Social Security Benefits and SSI will also be verified electronically through the Social Security Administration.
- Written correspondence via the mail or fax are accepted forms of verification
- The authority will accept telephone confirmation. The results will be recorded in the file and must be followed up by written documentation from the entity confirming the data. Information received via telephone must be dated and signed by the authority staff in receipt of the information.
- Documentation presented by the applicant / tenant will be accepted in the event that the third party and oral verifications were attempted and unsuccessful.
- Verbal verification will be utilized only after requested written verification efforts have been exhausted and unsuccessful. The entity being asked to provide the documentation will be given a two-week timeframe in which to respond to the request. Prior to accepting the oral verification the authority will first determine the status of the written request and ask that the representative agency fax written verification in addition to an oral confirmation verifying the amount of income rather than providing the stated amount for confirmation.
- The authority will accept a certification or self-declaration (affidavit) from the applicant/tenant family members as verification.
- Existing documents is the least preferred method for family verification. Prior to relying on this method of verification the authority employee must ensure documentation is recorded in the file that supports failed attempts of obtaining written and oral verification.

The table on the following pages identify each category of eligibility, the verification requirement and verification documentation. The last column in the table identifies with a check mark “ ” what information must be verified at the time of application.



## 7.1 Eligibility Documentation Requirements & Method (Continued)

<b>Information Regarding Eligibility and Verification</b>			
<b>Information Category/Type</b>	<b>Verification Requirement</b>	<b>Verification Documentation</b>	<b>*</b>
Family Size and Composition			
Legal Identity	Proof of all family members	Current Drivers License, Birth or Baptismal certificate, U.S. Passport Child: Birth or Baptismal Certificate Adoption papers, HHS ID, etc.	<input type="checkbox"/>
Citizenship/Eligible Immigrations Status	Proof of all family members. Ineligible members must be identified and subsidy must be prorated for Ineligible members.	Citizen: Birth Certificate, Citizenship Certificate, U.S. Passport or declaration of citizenship grant: Immigration or Naturalization Service (INS SAVE phone system validation), or official INS document (e.g., Resident Alien Card or Registration card, temporary resident card,). Individuals 62 years or older are exempt but must provide proof of age.	<input type="checkbox"/>
Social Security Numbers	Numbers for all adults and If available for children over 6 years.	Social Security Card issued by the Social Security Administration, Federal, or State verification on letterhead.	
Disability	Proof of all disabled members	Receipt from SSI or SSA payment signed letter and attesting to disability on official letterhead from doctor or licensed social worker acceptable to the HHA.	
Elderly	Proof of all elderly members	Current Drivers License, Birth or Baptismal certificate, U.S. Passport	
Marital Status	Proof from Head of Household	Executed Marriage Certificate, Divorce decree, Court ordered separation decree.	

**Information Regarding Eligibility and Verification**

<b>Information Category/Type</b>	<b>Verification Requirement</b>	<b>Verification Documentation</b>	<b>*</b>
Permanent Absence of Adult Member	Proof of permanent absence	Divorce or separation Decree, protection/restraining order, proof of another address, order of incarceration, self-certification. (sworn affidavit)	
Live-in Aid	Proof of provided assistance to disabled individual residence and non support obligation	Written verification from qualified source and declaration of aid status to prospective resident, care delivery, non-spouse and non-support obligation.	

\* “□” must be verified before placing applicant on Wait List. Although Citizenship/Immunization Status may be verified at the time application, it may not be wise to delay placing a name on the waiting list if there is a long INS approval process.

## 7.1 Eligibility Documentation Requirements & Method (Continued)

Information Category/Type	Verification Requirement	Verification Documentation	*
Income/ Deductions			
Employment Income	All members of family over 17 years of age. Verification from adult members that they are not employed.	Employer verification, or check stubs W-2 less than 60 days old and 1040 Income Tax Return Dept of Labor.	
SC, SSI, Disability income, pension, Unemployment Compensation, Welfare	All adult or other family member receiving benefits must provide Benefits verification from agency(s) providing the benefit:	SS/SSI and Pension verified by Agency on Letterhead or Computerized print out Unemployment- Notice of Eligibility Determination Welfare Computerized print out of benefits are secondarily acceptable.	
Income from business	All members of family over 17 years of age.	IRS form 1040, company books, financial statement for the period ending less than 60 days old. Quarterly reporting statements to IRS/State.	
Income from Assets	Determination of projected income from Assets	IRS form 1040	
Child care expense	Average monthly payment	Signed notarized statement from provider describing the monthly amount, hours worked, child (ren) served, location and phone number and canceled checks or receipts for services rendered Social Security number of provider required.	
Medical Expenses	For all assisted persons whose medical expenses are not being reimbursed.	Record of premiums paid, canceled checks or other receipts, bills, etc. during the past 12 months along with medical insurance statements.	

### 7.1 Eligibility Documentation Requirements & Method (Continued)

Information Category/Type	Verification Requirement	Verification Documentation	*
<b>Preference</b>			
TEC Twenty/20 Education Community	For all assisted family members over 12 years of age.	Certification from human services that service contract has been executed.	
Residency	Live or work or hired to work within the city limits of the City of Hartford.	Valid lease, current post marked letters or letter verifying from address employment.	<input type="checkbox"/>
Working Family	Determination of employment, elderly, disabled or job training status.	Data collected above or letter of enrollment in job training or certificate of completion.	<input type="checkbox"/>
Multi-member, or elderly, disabled,	Determination of family status	Based on above data.	
<b>Denial of Eligibility</b>			
Methamphetamine manufacture or sale of Publicly funded property or in unit	Denied for life.	Verify with Public Safety	
Sex Offender	Denied for life	Verify with State of CT Offender Registration Program	<input type="checkbox"/>
Illegal drug activity	No eviction for drug abuse during the last 3 years from a Federal funded property.	Verify against the HHA records and other HA records if listed on application.	<input type="checkbox"/>
Screening out illegal drug users and alcohol abusers.	Applicant with a pattern of illegal use of a controlled substance or pattern of abuse of alcohol will not be admitted.	Check with RX Rehabilitation Centers/ or attending physician. Prior proof of residence of each adult member.	

\* “” must be verified before placing applicant on Wait List.

## **7.2 Records Management For Criminal, Drug Treatment, And Registered Sex Offender Classification Documentation**

In the course of its regular operations, the Authority comes into possession of criminal records as well as records of drug treatment or registered sex offender status of both residents and applicants. While necessary to accomplish Housing Authority business, these records must be maintained securely and kept from improper use.

The Authority may also be called upon to perform criminal record checks regarding applicants for, or tenants of, housing that receive projectbased assistance in the jurisdiction of the Housing Authority. The authority shall maintain the records received for these residents or applicants in the manner outlined herein.

## **7.3 Acquisition**

All adult applicants shall complete Hartford Housing Authority's Authorization for Release of Police Records and Authorization of Release of Medical Records when they apply for housing. Through its cooperative agreement with the Hartford Police Department, the Housing Authority will request a check of local records as well as a National Crime Information Center check for criminal history of any applicant. This check is done for the purpose of screening applicants for housing.

All requests for criminal records or records of drug treatment or registered sex offender status will be sent to the Director of Occupancy. The Deputy Director, or Executive Director shall have access to the records received. These records will be discussed with other Authority employees only as required to make a housing decision.

## **7.4 Maintenance**

The Hartford Housing Authority will keep all criminal records or records of drug treatment or sex offender status that are received confidentially. These records will be used only to screen applicants for housing or to pursue evictions. The records will not be disclosed to any person or other entity except for official use in the application process or in court proceedings. No copies will be made of the records, except as required for official or court proceedings.

Criminal records or records of drug treatment or registered sex offender status will be kept in a file separate from other applications or with eviction information. These files will be maintained in a different cabinet that is locked and kept in a secure location. Only specified employees shall have access to this cabinet.

## **7.5 Disposition**

The record shall be destroyed once action is taken on the application for housing and any grievance hearing or court proceeding has been completed and the action is finalized. A notification of destruction will be maintained.

## **8.0 Income And Tenant Payment Determination**

Care must be exercised in the determination of the adjusted gross income of the applicant to ensure accurate calculation of tenant payments, as adjusted gross income is determined differently under the various housing assistance programs. The correct source for tenant payment determination in the low-income public housing program is 24 CFR –5 Subpart F, Income Limits, Annual Income, Adjusted Income, Rent and examination for the Public Housing and Section 8 Programs.

Under the low income public housing program the monthly tenant rent payment is either the flat rent or calculated as the greater of 30% of monthly income adjusted for certain types of expense and family conditions; 10% of monthly income; the monthly portion of welfare payments specified for housing expenses or the Hartford Housing Authority's minimum rent of \$25. In the low-income housing program the tenant rent payment is equal to the Total Tenant Payment where all utilities (except telephone) and other essential housing expenses are paid by the HHA. If utilities are paid by the tenant, the tenant rent equals the Total Tenant Payment less the applicable utility allowance.

This chapter discusses the factors and process for tenant payment determination under the low income-housing program. Monthly tenant rent is always rounded to the nearest dollar amount.

### **8.1 Calculation of Annual Income**

It is the responsibility of the Authority to ensure that all income received by an assisted family is accounted for in determining adjusted income. The application specialist is to discuss and explore all potential income sources that may be received by the family. Claims of income sources, amounts and no income situations must be documented and verified. Families claiming no income must return for re-determination on a quarterly basis (i.e., from program enrollment date) on a continuous basis to remain eligible for continued assistance.

Families that report no income, or are subject to minimum rents are required to complete a continued occupancy form on a quarterly basis for income re-examination. Families claiming zero income must identify their living means and submit an expense form that estimate their expenses of food beverages, transportation, healthcare, childcare, debt on household items, utilities, amenities, etc.

Annual income is defined in 24 CFR 5.609 as the anticipated total income from all sources received by the family head and spouse (even if temporally absent) and by each additional member of the family, including all net income derived from assets for the 12 month period following the effective date of certification of income. If it is not feasible to anticipate income over a 12-month period, the income anticipated

## 8.1 Calculation of Annual Income (Continued)

for a shorter period may be annualized, subject to re-determination at the end of the shorter period. If determination of rent is lower than anticipated due to failure to report income the family will be subject to repayment of the rent. Unless amounts are specifically excluded, income is determined based on the gross amount(s) (e.g., gross wages) of the income source(s).

If residents do not comply with reporting of income annually the authority will average the last four quarters of DOL records to project anticipated income, apply deductions and allowances and compute the rent. Families will be notified of the projected rent and be given the opportunity to present up to date information if amounts are contested

Annual Income is defined and delineated in 24 CFR 5.609 and includes amounts from the following categories of income:

### **Annual income means all amounts, monetary or not, that:**

- A. Go to (or on behalf of) the family head or spouse (even if temporarily absent) or to any other family member; or
- B. Are anticipated to be received from a source outside the family during the 12 month period following admission or annual reexamination effective date; and
- C. Are not specifically excluded from annual income.

### **Annual income includes, but is not limited to:**

- A. The full amount, before any payroll deductions, of wages and salaries, overtime pay, commissions, fees, tips and bonuses, and other compensation for personal services.
- B. The net income from the operation of a business or profession. Expenditures for business expansion or amortization of capital indebtedness are not used as deductions in determining net income. An allowance for depreciation of assets used in a business or profession may be deducted, based on straight-line depreciation, as provided in Internal Revenue Service regulations. Any withdrawal of cash or assets from the operation of a business or profession is included in income, except to the extent the withdrawal is a reimbursement of cash or assets invested in the operation by the family.
- C. Interest, dividends, and other net income of any kind from real or personal property. Expenditures for amortization of capital indebtedness are not used as deductions in determining net income. An allowance for depreciation of assets used in a business or profession may be deducted, based on straight line depreciation, as provided in Internal Revenue Service regulations. Any



## 8.1 Calculation of Annual Income (Continued)

withdrawal of cash or assets from an investment is included in income, except to the extent the withdrawal is reimbursement of cash or assets invested by the family. Where the family has net family assets in excess of \$5,000, annual income includes the greater of the actual income derived from all net family assets or a percentage of the value of such assets based on the current passbook savings rate, as determined by HUD.

- D. The full amount of periodic amounts received from Social Security, annuities, insurance policies, retirement funds, pensions, disability or death benefits, and other similar types of periodic receipts, including a lump sum amount or prospective monthly amounts for the delayed start of a periodic amount. (However, deferred periodic amounts from supplemental security income and Social Security benefits that are received in a lump sum amount or in prospective monthly amounts are excluded.)
- E. Payments in lieu of earnings, such as unemployment and disability compensation, worker's compensation, and severance pay. (However, lump sum additions such as insurance payments from worker's compensation are excluded.)
- F. Welfare assistance.
  - 1. If the welfare assistance payment includes an amount specifically designated for shelter and utilities that is subject to adjustment by the welfare assistance agency in accordance with the actual cost of shelter and utilities, the amount of welfare assistance income to be included as income consists of:
    - a. The amount of the allowance or grant exclusive of the amount specifically designated for shelter or utilities; plus
    - b. The maximum amount that the welfare assistance agency could in fact allow the family for shelter and utilities. If the family's welfare assistance is ratably reduced from the standard of need by applying a percentage, the amount calculated under this requirement is the amount resulting from one application of the percentage.
  - 2. If the amount of welfare is reduced due to an act of fraud by a family member or because of any family member's failure to comply with requirements to participate in an economic self-sufficiency program

## 8.1 Calculation of Annual Income (continued)

or work activity, the amount of rent required to be paid by the family will not be decreased. In such cases, the amount of income attributable to the family will include what the family would have received had they complied with the welfare requirements and/or had not committed an act of fraud.

3. If the amount of welfare assistance is reduced as a result of a lifetime time limit, the reduced amount is the amount that shall be counted as income.
  4. The authority will utilize as income the amount of the imputed welfare income to offset the amount of additional income a family reports that commences after the time the sanction was imposed.
- G. Periodic and determinable allowances, such as alimony, child support payments, and regular contributions or gifts received from organizations or from persons not residing in the dwelling.
- H. All regular pay, special pay, and allowances of a member of the Armed Forces. (Special pay to a member exposed to hostile fire is excluded.)

**Annual income does not include the following:**

- A. Income from employment of children (including foster children) under the age of 18 years;
- B. Payments received for the care of foster children or foster adults (usually persons with disabilities, unrelated to the tenant family, who are unable to live alone);
- C. Lump-sum additions to family assets, such as inheritances, insurance payments (including payments under health and accident insurance and worker's compensation), capital gains, and settlement for personal or property losses;
- D. Amounts received by the family that are specifically for, or in reimbursement of, the cost of medical expenses for any family member;
- E. Income of a live-in aide;
- F. The full amount of student financial assistance paid directly to the student or to the educational institution;
- G. The special pay to a family member serving in the Armed Forces who is exposed to hostile fire;

**8.1 Calculation Of Annual Income (Continued)**

- H. The amounts received from the following programs:
1. Amounts received under training programs funded by HUD;
  2. Amounts received by a person with a disability that are disregarded for a limited time for purposes of Supplemental Security Income eligibility and benefits because they are set aside for use under a Plan to Attain Self-Sufficiency (PASS);
  3. Amounts received by a participant in other publicly assisted programs that are specifically for or in reimbursement of out-of-pocket expenses incurred (special equipment, clothing, transportation, child care, etc.) and that are made solely to allow participation in a specific program;
  4. Amounts received under a resident service stipend. A resident service stipend is a modest amount (not to exceed \$200 per month) received by a resident for performing a service for the Housing Authority or owner, on a part-time basis, that enhances the quality of life in the development. Such services may include, but are not limited to, fire patrol, hall monitoring, lawn maintenance, and resident initiatives coordination. No resident may receive more than one such stipend during the same period of time;
  5. Incremental earnings and benefits resulting to any family member from participation in qualifying State or local employment training programs (including training programs not affiliated with a local government) and training of a family member as resident management staff. Amounts excluded by this provision must be received under employment training programs with clearly defined goals and objectives and are excluded only for the period during which the family member participates in the employment training program;
  6. Temporary, nonrecurring or sporadic income (including gifts);
  7. Reparation payments paid by a foreign government pursuant to claims filed under the laws of that government by persons who were persecuted during the Nazi era;
  8. Earnings in excess of \$480 for each full-time student 18 years old or older (excluding the head of household and spouse);

## 8.1 Calculated of Annual Income (Continued)

9. Adoption assistance payments in excess of \$480 per adopted child;
10. For family members who enrolled in certain training programs prior to 10/1/99, the earnings and benefits resulting from the participation if the program provides employment training and supportive services in accordance with the Family Support Act of 1988, Section 22 of the 1937 Act (42 U.S.C. 1437t), or any comparable Federal, State, or local law during the exclusion period. For purposes of this exclusion the following definitions apply:
  - a. Comparable Federal, State or local law means a program providing employment training and supportive services that:
    - i. Is authorized by a Federal, State or local law;
    - ii. Is funded by the Federal, State or local government;
    - iii. Is operated or administered by a public agency; and
    - iv. Has as its objective to assist participants in acquiring employment skills.
  - b. Exclusion period means the period during which the family member participates in a program described in this section, plus 18 months from the date the family member begins the first job acquired by the family member after completion of such program that is not funded by public housing assistance under the 1937 Act. If the family member is terminated from employment with good cause, the exclusion period shall end.
  - c. Earnings and benefits means the incremental earnings and benefits resulting from a qualifying employment training program or subsequent job.
11. The incremental earnings due to employment during the 12-month period following date of hire shall be excluded. This exclusion (paragraph 11) will not apply for any family who concurrently is eligible for exclusion #10. Additionally, this exclusion is only available to the following families:
  - a. Families whose income increases as a result of employment of a family member who was previously unemployed for one or more years.

## 8.1 Calculation of Annual Income (Continued)

- b. Families whose income increases during the participation of a family member in any family self-sufficiency program.
- c. Families who are or were, within 6 months, assisted under a State TANF program.

(While HUD regulations allow for the housing authority to offer an escrow account in lieu of having a portion of their income excluded under this paragraph, it is the policy of this housing authority to provide the exclusion in all cases.)

- 12. Deferred periodic amounts from supplemental security income and Social Security benefits that are received in a lump sum amount or in prospective monthly amounts;
- 13. Amounts received by the family in the form of refunds or rebates under State or local law for property taxes paid on the dwelling unit;
- 14. Amounts paid by a State agency to a family with a member who has a developmental disability and is living at home to offset the cost of services and equipment needed to keep the developmentally disabled family member at home; or
- 15. Amounts specifically excluded by any other Federal statute from consideration as income for purposes of determining eligibility or benefits. These exclusions include:
  - a. The value of the allotment of food stamps
  - b. Payments to volunteers under the Domestic Volunteer Services Act of 1973
  - c. Payments received under the Alaska Native Claims Settlement Act
  - d. Income from submarginal land of the U.S. that is held in trust for certain Indian tribes
  - e. Payments made under HHS's Low-Income Energy Assistance Program
  - f. Payments received under the Job Training Partnership Act

## 8.1 Calculation of Annual Income (Continued)

- g. Income from the disposition of funds of the Grand River Band of Ottawa Indians
- h. The first \$2000 per capita received from judgment funds awarded for certain Indian claims
- i. Amount of scholarships awarded under Title IV including Work Study
- j. Payments received under the Older Americans Act of 1965
- k. Payments from Agent Orange Settlement
- l. Payments received under the Maine Indian Claims Act
- m. The value of child care under the Child Care and Development Block Grant Act of 1990
- n. Earned income tax credit refund payments
- o. Payments for living expenses under the Americorps Program
- p. Additional income exclusions provided by and funded by the Hartford Housing Authority

The Hartford Housing Authority will not provide exclusions from income in addition to those already provided for by HUD.

The annual income derived from the sources identified above must be calculated for the family head of household and the spouse, and for each additional member of the family listed on the lease 18 years or older. Income of family members permanently absent are not counted for purposes of family size or income determination. When the Authority is notified of a permanent absence, after the unit is leased, the event may result in an income re-determination and unit size modification. See Section 8.4. Permanent and Temporary Absence for further information.

## 8.2 Calculation of Adjusted Income

Adjusted income is defined as annual income less allowable deductions determined in accordance with HUD instructions. Information delineating each allowance is contained 24 CFR 5.611.

Per HUD instruction the Authority will use the following formula to calculate adjusted income.

**Adjusted Income = (Annual Income - Exclusions to Annual Income) - (Allowances)**

There are 5 statutory allowances that must be granted in determining adjusted income as follows:

- For each dependent family member-an amount of \$480.
- For each elderly family-an amount of \$400.
- Handicap assistance expense in excess of 3% on Annual Income Reasonable expenses that are anticipated, during the period for which Annual Income is computed, for attendant care and auxiliary apparatus for a Handicapped or Disabled Family member and that are necessary to enable a Family Member (including the Handicapped or Disabled member) to be employed, provided that the expenses are neither paid to a member of the family nor reimbursed by an outside source. The allowance may not exceed the employment incomereceived by all family members 18 years of age as a result of assistance to the handicapped or disabled person.
- For any elderly family: Medical expenses (of all family members whose head or spouse or sole member is an elderly, disabled or handicap person), includes medical insurance premiums, that are anticipated during the period for which annual income is computed and that are not covered by insurance. (24 CFR 5.503(d). These expenses include, but are not limited to, prescription drugs, costs for doctors, dentist, therapists, medical facilities, care for service animals, transportation costs (documented and not reimbursed) for medical purposes.
- An amount that equal the expenses for child care of child (ren) 12 years of age and under provided the expense is necessary to enable the wage earner to work or attend school. The expenses incurred may not be reimbursed by an outside agency or individual outside of the household and may not exceed the amount earned and must be reasonably and customary.

### **8.3 Temporary and Permanent Absence**

Permanent and temporary absences affect numerous admissions and occupancy aspects of the low income-housing program. Some aspects discussed thus far are referenced in Section 5.0.

The Authority's policy regarding permanent and temporary absences is as follows:

- Non-head of household family members will be considered temporarily absent for purpose of unit size assignment and income determination if the member is expected to return to reside in the unit within a 180-day period.
- Head of household will be considered permanently absent if absent for a period of more than 60 days. After the 60 day period has elapsed the remaining family members may be considered for continued occupancy and listed as head of household if it is determined they meet established criteria and eligibility requirements.
- A family member subject to court ordered restraint for a period of more than 60 days will be considered permanently absent for purpose of unit size assignment and income determination.
- A live in aide, (i.e., as a result of permanent absence of the head of household and spouse) the absence may be considered temporary for a period of 180 days. In the event of such an absence, a live-in aide may be allowed to reside alone in the unit during the temporary absence if there are no lease violations resulting from the occupancy. The Authority, will maintain the status of a temporarily absent adult for purposes of income determination, for a period not to exceed 180 days, in the absence of the lease holder, as a live in aide is not part of the lease agreement therefore has no rights to remain in the unit.
- Family members absent as a result of incarceration will be considered permanently absent and removed from the lease agreement.
- Family members enlisted in the military that are not Head of Household or Tenant will not otherwise remain on the lease agreement unless they have left a spouse or a child as part of the remaining family.



## **8.4 Income Determination - Special Considerations**

Averaging Income- The Authority will use income averaging to annualize lump sum, periodic payment, gifts, and other similar types of income. This is accomplished by summing all such anticipated income and dividing that amount by 12. If it is not feasible to anticipate income over a 12-month period, the income anticipated for a shorter period may be annualized, subject to redetermination at the end of that shorter period.

Prospective Calculation- This method of annual income determination is activated when the Authority becomes aware of a change that would decrease the adjusted income of the family. In these situations, the Authority is to document and verify the adjustment request, ensure that it is a permanent absence if the reduction is based on a family member leaving, and calculate the new adjusted income. It is the responsibility of the tenant to inform the Authority of income reductions. Retroactive credits are not permissible. The effective date of the adjustment will be the first day of the month following the month in which the Authority was notified of the change, if received by the cut off date.

Retroactive Calculation- This method of annual income determination is activated when the Authority becomes aware of a change that would have increased the adjusted income of the assisted family. In this situation, the Authority first determines whether the change in income is grounds for program termination. If the change does not result in program termination action (i.e., fraud, not reporting additional family members), annual income is calculated to cover the entire period during which the change should have taken place. The result of the retroactive calculation will be a collectible debt to the family and will increase future tenant payments. If the change results in termination, the result of the calculation is a collectible debt.

Net Family Assets- Net income derived from assets for the 12-month period following the effective date of certification is the period used in determining annual income. Where the family has net family assets in excess of \$5,000, annual income shall include the greater of the annual income derived from all Net Family Assets, or a percentage of the value of such assets based on the current passbook savings rate or the actual interest earned.

## **8.5 Tenant Payment: Special Considerations**

This subsection describes special consideration when calculating tenant payment. These areas are ceiling rents, calculation of minimum rents, and utility allowances. Each topic is discussed in the following sections.

## **8.6 Family Choice**

At admission and each year in preparation for their annual reexamination, families will be given the choice of having their rent determined under the formula method or have their rent set at the flat rent amount.

- A. Families who opt for the flat rent will be required to be subject to the income reexamination process every three years, rather than the annual review.
- B. Families who opt for the flat rent may request to have a reexamination and return to the formula based method at any time for any of the following reasons:
  - 1. The family's income has decreased.
  - 2. The family's circumstances have changed that result in increased expenses for childcare, medical care, etc.
  - 3. Other circumstances creating a hardship on the family such that the formula method would be more financially feasible for the family.

## 8.7 Flat Rent

The Hartford Housing Authority has set a Flat Rent for each Low Rent public housing unit. In doing so, it considered the size and type of unit, as well as its condition, amenities, services, and neighborhood. The Authority has determined to use the Fair Market Rent as the basis for establishing its' Flat Rents in all developments. The New Community formerly known as Charter Oak Terrace D Section and Mary Shepard Place, formerly known as Bellevue Square which represent new construction and substantial rehabilitation respectively.

For the New Community, the flat rent will be 90% of the published Fair Market Rent. For Mary Shepard Place, the Flat Rent will be 70% of the Fair Market Rent. For the remaining Low Rent Developments the Flat Rent will be 60% of the published Fair Market Rent.

Families choosing the Flat Rent option will be subject to Recertification once every three years on the Anniversary date of their contract. The family choosing the Flat Rent option will be required to sign a waiver on the anniversary date of their contract each year that states they have waived their option to re-certify. It will also state that the family has the option to return to the Formula rent of 30% of monthly-adjusted income at any time. The effective date on an Interim decrease must be submitted by the 15<sup>th</sup> of any given month for the rent reduction to take effect the following month after the change was submitted.

The amount of the Flat Rent will be reevaluated annually and adjustments applied. Affected families will be given a 30-day notice of any rent change. Adjustments are applied on the anniversary date for each affected family.

The Hartford Housing Authority will post the Flat Rents at each of the developments and at the central office. <b>Development Name</b>	<b>Flat Rent</b>
<b>Charter Oak Terrace</b>	
Two Bedrooms	627
Three Bedrooms	788
Four Bedrooms	956
<b>Mary Shepard Place</b>	
One Bedroom	382
Two Bedrooms	488
Three Bedrooms	613
Four Bedrooms	743
<b>Nelton Court, Dutch Point, Stowe Village, Scattered Site, Betty Knox, Smith Towers, Mary Mahoney, Kent Apts, Adam St.</b>	
Zero Bedroom	263
One Bedrooms	327
Two Bedrooms	418
Three Bedrooms	525
Four Bedrooms	637

## **8.8 The Formula Method**

The total tenant payment is equal to the highest of:

- A. 10% of monthly income;
- B. 30% of adjusted monthly income; or
- C. The welfare rent.
- D. The \$25 minimum rent.

The family will pay the greater of the total tenant payment or the minimum rent of \$25, but never more than the ceiling rent if or when adopted or the Flat Rent established at this time.

In the case of a family who has qualified for the income exclusions upon the expiration of the 12-month period described in that section, an additional rent benefit accrues to the family. If the family member's employment continues, then for the 12 month period following the 12-month period of disallowance, the resulting rent increase will be capped at 50 percent of the rent increase the family would have otherwise received.

## **8.9 Ceiling Rents**

The authority reserves the right to implement ceiling rents in accordance with a HUD regulations for families whose incomes have increased to the point that Total Tenant Payment has become unreasonable for the housing being provided. HHA retains the option to establish ceiling rents for all dwelling units in a particular development, for one or more classes of units or authority-wide. The authority does not assign ceiling rents to particular families or family circumstances. At present the ceiling rents are not in existence with the authority.

Ceiling rents will be the lessor of:

- The current Section 8 Fair Market Rent for a comparably sized housing unit
- The average operating cost for units at that development

The authority may retain ceiling rents instead of flat rents for a period of three years from [the effective date of the final rule]. After this three-year period, the authority will adjust the ceiling rents to the same level of the flat rents. The authority at present has no ceiling rents established.

## **8.10 Minimum Rent/ Hardship**

The Authority has set the minimum rent at \$25.00 dollars. However if the family requests a hardship exemption, the Hartford Housing Authority will immediately suspend the minimum rent for the family until the authority can determine whether the hardship exists and whether the hardship is of a temporary or longterm nature.

- A. A hardship exists in the following circumstances:
  - 1. When the family has lost eligibility for or is waiting an eligibility determination for a Federal, State, or local assistance program;
  - 2. When the family would be evicted as a result of the imposition of the minimum rent requirement;
  - 3. When the income of the family has decreased because of changed circumstances, including loss of employment;
  - 4. When the family has an increase in expenses because of changed circumstances, for medical costs, childcare, transportation, education, or similar items;
  - 5. When a death has occurred in the family.
- B. No hardship. If the Housing Authority determines there is no qualifying hardship, the minimum rent will be reinstated, including requiring back payment of minimum rent for the time of suspension.
- C. Temporary hardship. If the Housing Authority reasonably determines that there is a qualifying hardship but that it is of a temporary nature, the minimum rent will not be imposed for a period of 90 days from the date of the family's request. At the end of the 90-day period, the minimum rent will be imposed retroactively to the time of suspension. The Housing Authority will offer a repayment agreement in accordance with this Section 25.0 of this policy for any rent not paid during the period of suspension. During the suspension period the Housing Authority will not evict the family for nonpayment of the amount of tenant rent owed for the suspension period.
- D. Long-term hardship. If the Housing Authority determines there is a long-term hardship, the family will be exempt from the minimum rent requirement until the hardship no longer exists.
- E. Appeals. The family may use the grievance procedure to appeal the Housing Authority's determination regarding the hardship. No escrow deposit will be required in order to access the grievance procedure.

## **8.11 Rents Of Families Who Transition From Welfare To Work**

Families who have members who transition from Welfare to Work will have the wages of the members disregarded in the calculation of Total Tenant Payment according to the following:

- A. 100% in the first year
- B. 50% in the second year
- C. 50% in the third year

Commencing the fourth year, all of the member's income from wages will be considered.

## **8.12 Utility Allowance**

The Authority provides a utility allowance if the cost of utilities (except telephone) are not provided for under the lease agreement by the authority. If the cost of the utilities is the responsibility of the family occupying the unit, an amount equal to the estimate made by the authority of the monthly costs of reasonable consumption of such utilities is allowed. The utility allowance is determined based on a schedule maintained by the authority

The utility allowance will be subtracted from the family's formula or flat rent to determine the amount of the Tenant Rent. The utility allowance is based on a schedule that is maintained by the authority. The Tenant Rent is the amount the family owes each month to the Authority. The amount of the utility allowance is then still available to the family to pay the cost of their utilities. Any utility cost above the allowance is the responsibility of the tenant. Any savings resulting from utility costs below the amount of the allowance belongs to the tenant.

For Authority paid utilities, the Authority will monitor the utility consumption of each household. Any consumption in excess of the allowance established by the Authority will be billed to the tenant monthly.

Where the family provides their own utilities and the utility allowance exceeds the families 30% of monthly income the Authority will reimburse the family each month for the difference between the families 30% of monthly income and the allowed utility allowance if greater. The check will be made out in the name of the leaseholder.

The utility schedule is based on actual rates and average consumption. On an annual basis the utility schedule is revised. The tenants are notified by mail when the utility allowance is revised.

## **9.0 Unit Assignment And Screening**

Selection from the Wait List for unit assignment is limited to eligible applicants based on the family ranking on the Wait List, subject to unit characteristics (i.e., unit access, bedroom size, designated housing). Eligible applicants selected from the Wait List must undergo the HHA's screening process before they are offered a unit.

In selecting an applicant the HHA will determine the characteristics of the vacant available unit. Upon establishing the characteristics, the admission of families in need of the special features are given priority to these vacant units that provide special accommodations.

By giving priority to the family with special needs it is possible, that families with a Lower Wait

List number, that applied prior to the family requiring the unit due to special needs may receive an offer of housing ahead of the aforementioned family even though the application has a more recent date and time, and ahead of families with a higher preference status (i.e., the next unit available is an accessible unit and the only applicant family needing such features is in the non-preference pool). Families requiring special accommodations will not be placed at the bottom of the list as a result of a refusal of an apartment that does not accommodate its family needs.

## 9.1 Applicant Selection Procedure

The HHA will use the following procedures for selecting applicants for admissions to the low-income housing program:

The authority will establish the characteristics of the unit available to determine the appropriate applicant pool (e.g., disabled, elderly, unit requirements), including any priorities for admission required for designated or mixed population housing. Further, in the selection of a family for a unit with accessible features, the authority will give preference to families that include a person with disabilities who can benefit from the unit features. Once the appropriate pool of eligible applicants has been identified, the highest ranked person in the appropriate pool will be selected for applicant screening and admissions. Note: applicable preferences as described earlier in this policy will have already been applied in the applicant ranking or Wait List.

In selecting applicants for offers of units, the authority will alternate among preference pools as described earlier in this policy.

- Certain types of transfers will also be processed with new admissions. See Section for the transfers procedures.
- The authority plan for selection of applicants and assignment of dwelling units assures equal opportunity and non-discrimination on grounds of race, color, sex, religion, or national origin. Under the plan each qualified applicant first in sequence on the Wait List is made an offer of a unit of appropriate size. The applicant must accept the vacancy offered or be dropped to the bottom of the qualified applicant list, with a new application time and date, unless the applicant has good cause (defined below) to reject the offer. The applicant once placed to the bottom of the wait list upon reaching the top is given a second offer. If the applicant rejects the second offer, the applicant is then withdrawn from the wait list at which time the family will be required to re-apply.
- The applicant must accept the vacancy offered within 48 Hours of the date the offer is communicated or, be dropped to the bottom of the qualified applicant list and assigned a new date and time of application. (See good cause discussion below.)

If more than one unit of the appropriate size and type is available, the first unit to be offered will be the unit that was ready for occupancy first.

If the selected family is a requested transfer, and that family is unable to move at the time of the offer and presents to the satisfaction of the HHA clear evidence (“good cause”) that acceptance of the offer of a suitable vacancy will result in undue hardship not related to considerations of race, color, sex, religion or national origin, the applicant will not be dropped to the bottom of the transfer list (24 CFR 1.4 (b)(2)(ii) & (iii)).



## 9.1 Applicant Selection Procedure (Continued)

**Examples of good cause** reasons for the refusal of an offer of housing (public housing or alternative housing opportunity) include, but are not limited to:

- Inaccessibility to source of employment, education, or job training, children's day care, or educational program for children with disabilities when the applicant has a child participating in such a program; that would require the adult household member to quit a job, drop out of an educational institution or job training program; or take a child out of day care or an educational program for children with disabilities.
- Presence of lead paint in the unit offered when the applicant has children under the age specified by current law.
- The family demonstrates to the Authority's satisfaction that accepting the offer will place a family member's life, health, or safety in jeopardy. The family must offer specific and compelling documentation such as restraining orders, other court orders, or risk assessments related to witness protection from a law enforcement agency or a threat assessment that verifies criminal activity. Reasons offered must be specific to the family (including the race of the family). Refusals due to location alone are not good cause.
- The unit is inappropriate for the applicant's disabilities, or the family does not need the accessible features in the unit offered and does not want to be subject to a 30 day notice to move.
- Elderly or disabled family makes the decision not to occupy or accept occupancy in designated housing (24 CFR 945.303 (d)).

**Good cause** related to an applicant's willingness to accept an offer but inability to move at the time of the offer include:

- A health professional verifies temporary hospitalization or recovery from illness of the principal household member, other household members (each as listed on final application) or live-in aide necessary to the care of the principal household member.
- The family head, spouse or sole member is servicing on an empanelled jury.
- Death of an immediate family member.

In all cases the applicant must be able to document that the hardship claimed is good cause for refusing an offer of housing. When good cause is verified to the authority's satisfaction, the refusal of the offer shall not require that the applicant be dropped to the bottom of the Wait List or otherwise affect the family's position on the Wait List (24 CFR § 85.42).

## **9.1 Applicant Selection Procedure (Continued)**

The authority will maintain a record of units offered, including location, date, and circumstances of each offer, and each acceptance or rejection, including the reason for the rejection. The HHA's records with respect to applications for admission to any low-income housing assisted under the United States Housing Act of 1937, amended, shall indicate for each application the date and time of receipt; the determination by The HHA as to eligibility or ineligibility of the applicant; when eligible the unitsize for which eligible, the preference rating, if any, and the date, location, identification, and the circumstances of each vacancy offered that is accepted or rejected.

## 9.2 Tenant Management, Screening And Selection

Hartford Housing Authority has two developments currently under management by the Hartford Tenants' Rights Federation. Nelton Court, with 156 units and the Substantially Rehabilitated Mary Shepard Place, 127 units are under the auspices of the tenant management. The process is as follows:

1. The Housing Department reviews each application for eligibility (see sections 3.0 through 3.10). Criminal requests are submitted to the safety department and forwarded to the Executive Director for approval prior to housing into Twenty /20 Education Community.
2. At the request of HTRF active low rent applicants are scheduled for interviews with a select panel of residents and management.
3. Upon selection a list of candidates is sent to the Housing Department.
4. If applicants meet all criteria, they are scheduled for an appointment to be assigned to an apartment and are sent by the Housing Department to view the unit.
5. If the applicant accepts the offer, the applicant must telephone the Housing Department to schedule a time for the execution of the lease.
6. Tenant Management informs the applicant of their acceptance or rejection. Followed through by a written confirmation to the Housing Department as to the reason for the refusal and/or acceptance of the applicant. The Housing Department in turn will communicate the decision made by HTRF in a written confirmation to the applicant.
7. The Housing Department as of the results of the screenings wills adjustment the wait list accordingly.
8. Applicants, who are ineligible due to failure to meet the eligibilty criteria, will be withdrawn from the wait list and notified by the Housing Department.

Applicants who are not accepted by HTRF due to unavailability of the proper size unit who express in interest in residing in the tenant managed development, and who meet the eligibility criteria will remain on the Wait List according to the original date and time of application.

### **9.3 Accessible Unit Selection Procedures**

Before offering a vacant accessible unit to an applicant without a disability, the HHA will offer such units:

- First, to a current occupant of another unit of the same development, or other public housing developments under the Authority's control, having a disability that requires the special features of the vacant unit (in effect, a transfer of the occupant with disabilities from a non-adapted unit to the vacant accessible/adapted unit).
- Second, to an eligible qualified applicant on the Wait List having a disability that requires the special features of the vacant unit.

When offering an accessible/adaptable unit to a non-disabled applicant, the authority will require the applicant to agree to move at their own expense to an available non-accessible unit within 30 days when either a current resident or an applicant needs the features of the unit. This requirement will be reflected in the lease agreement signed with the applicant.

### **9.4 Pre-Determination Screening**

It is the HHA's policy that all applicants will be screened in accordance with HUD's regulations (24 CFR Part 960) and sound management practices. During screening the HHA will require applicants to demonstrate ability to comply with essential provisions of the lease and requirements contained in (24 CFR 960.205):

- To pay rent and other charges as required by the lease in a timely manner
- To care for and avoid damaging the unit, facilities, common areas and equipment
- To use facilities, equipment, and utilities for reasonable purposes
- To create no health or safety hazards and to report maintenance needs
- Not to interfere with other residents in such a manner as to diminish their peaceful enjoyment of the premises by adversely affecting their health, safety, or welfare(b))
- To comply with health and safety codes

#### **9.4 Pre-Determination Screening (Continued)**

Applicant ability and willingness to comply with the essential lease requirements will be checked and documented in accordance with the HHA's Applicant Screening Procedures. Information to be considered in completing applicant screening shall be reasonably related to assessing the conduct of the applicant and other family members listed on the application, and prior housing record. Any costs incurred to complete the application process and screening must be paid by the HHA.

The HHA will complete a credit check, a rental history check and a criminal records check on all applicants. Evidence of a poor rental history and previous evictions will be considered in the determination of eligibility if it occurred three years prior to housing and therefore resulting in denial of placement and withdrawal from the wait list.

Families determined to be qualified will be notified by the HHA of the approximate date of occupancy insofar as that date can be reasonably determined. The HHA will make every effort to accurately estimate an approximate date of occupancy. However, the date given by the HHA does not mean that applicants should expect to be housed by that date. The availability of a suitable unit to offer a family is contingent upon factors not directly controlled by the HHA, such as turnover rates, and market demands as they affect bedroom sizes and project location (24 CFR 960.207 (b)).

Applicants determined unqualified for admission will be promptly notified. These applicants will receive a Notice of Rejection from the HHA, stating the basis for such determination. The HHA shall provide such applicants with an opportunity for informal review of the determination as described in Section 17. The informal review for applicants should not be confused with the resident grievance process. Applicants are not entitled to use of the resident grievance process (24 CFR § 960.207(a)).

## **9.5 Offer of A Unit**

When the Hartford Housing Authority's Housing Department is informed by management that a unit will become available, they will contact the first family on the Wait List who has the highest priority for this type of unit or development and whose income category would help to meet the deconcentration goal and/or the income targeting goal.

The Authority will contact the family by mail. The family will be given five (5) business days from the date the contact letter was mailed to telephone The Hartford Housing Authority and schedule an appointment with the Housing Department to determine the eligibility of the family. If the family is determined eligible the family is given the offer in person and confirmation of the offer is placed on an Apartment Assignment Form.

The family will be instructed to view the unit. During the visit to the unit the family must determine if the unit is satisfactory. The family must then contact the Housing Department to inform us of their decision. If the unit is acceptable to the family the family will be permitted two (2) business days to make payment. Initial payment must be in the form of a money order. The unit-offer and the family's decision will be documented in the applicant computer file.

## **9.6 Acceptance of A Unit**

The family must sign a lease within two business days upon acceptance of the unit. Payment for the unit will be required at this time in the form of a money order.

The execution of the lease must transpire prior to admission. All family members over eighteen (18) years of age must be present to sign necessary documents. An executed copy of the lease will be furnished to the head of household. The Hartford Housing Authority will retain the original lease in the tenant's file and forward a copy to the development.

The acceptance of the offer will therefore result in the applicants' name being removed from the Low Rent wait list.

## **9.7 Rejection Of A Unit**

If in making the offer to the family, the Authority skipped over other families on the Wait List in order to meet its deconcentration goal, or offered the family any other deconcentration incentive and the family rejects the unit, the family will not lose its place on the wait list but the family also, will not receive a second offer to establish the goals of deconcentration.

If Hartford Housing Authority did not skip over other families on the Wait List to reach this family, and did not offer any other deconcentration incentive, and the family rejects the unit without good cause, the family will forfeit its application's date and time. The date and time of application will be changed to the date and time the unit was rejected.

If the family rejects with good cause any unit offered, it will not lose its place on the Wait List. Good cause includes reasons related to illness or death in the family. The family will be offered the right to an informal review if the decision alters the family's application status.

## **9.8 Record Keeping**

The HHA is to keep a copy of each complete application received and the following information is to be maintained in the computer system file.

- Documentation of its eligibility/ineligibility determination.
- Application Assignment program eligible for by bedroom required and the date and reason for removal.
- Dwelling unit(s) offered, including the location, date and circumstances of the offer, acceptance or rejection.

A copy of each application will become a part of the resident's file during their participation in the program. Inactive/rejected files will be maintained for a period of three years from the date of final action.

## **10.0 Resident Briefing**

Eligible applicants selected for admission and residents that have not previously been formally orientated to the low income-housing program will participate in a HHA orientation briefing. The briefing will explain key responsibilities, deliver documents, and to provide responses to questions. The Authority will use the orientation briefing to explain the terms of the lease and to discuss relevant issues including, but not limited to, the following:

- The Lease Agreement
- Drug Free Housing Addendum
- Addendum for Accessibility Unit Availability
- Notification for lead-based paint
- Maintenance procedures
- Services provided by the HHA, including supportive services.
- Resident rights, responsibilities and obligations
- Rent Collection Policy
- Operation of appliances, thermostats and smoke detectors
- Standards for tenancy ratified by the Resident Council, if appropriate

Briefings may be conducted in groups or in individual household meetings, as scheduled by the Authority by the appropriate departments, i.e., Occupancy, Management, etc.



## 10.1 Obligations of the Households

Program participants are required to conduct themselves in an appropriate manner according to the rules and regulations of the Authority throughout the term of the lease. The following text outlines the conditions that must have been met, and the statutory requirements that must be followed for initial and continued occupancy.

The households must:

- Supply any information that the Authority determines to be necessary including evidence of citizenship or eligible immigration status, and information for use in a regularly scheduled reexamination or interim reexamination of household income and composition.
- Disclose social security number, and sign and submit consent forms annually for obtaining information.
- Supply pertinent information requested by the Authority to verify that the household is living in the unit or information related to household absence from the unit.
- Promptly notify the Authority in writing when the household is away from the unit for an extended period of time in accordance with Authority's policies.
- Allow the Authority to inspect the unit at reasonable times and after reasonable notice.
- Notify the Authority of changes in family composition and income.
- Notify the Authority in writing 30 days before moving out of the unit when terminating the lease.
- Allow only those household members listed on the lease agreement to occupy the unit.
- Use of the unit residence by residents of the household listed on the lease agreement.
- Promptly notify the Authority in writing if any household member no longer lives in the unit.
- Comply with the **One Strike** "Zero Tolerance" policy that does not permit illegal drug related activity and other criminal activity that threatens the well being of the public housing community.
- Resident must comply with the Community Service Requirement unless exempt.

## 10.1 Obligations of the Households (Continued)

- Pay utility bills and supply appliances that the Authority is not required to supply under the lease.
- Keep the dwelling unit and such other areas as may be assigned to the tenant for the tenant's exclusive use in a clean and safe condition.
- Dispose of all garbage, rubbish, and other waste from the dwelling unit in a sanitary and safe manner.
- To use only in a reasonable manner all electrical, plumbing, sanitary, heating, ventilating, air conditioning, and other major system components.
- Not Permit Pit Bulls in household.

In addition to the above mentioned responsibilities, the household (including each household member) may not:

- Commit any serious or repeated violation of the lease.
- Commit fraud, bribery or any other corrupt or criminal act in connection with the program.
- Participate in illegal drug or violent criminal activity
- Sublease or let the unit or assign the lease or transfer the unit.
- Damage the unit or premises (other than from ordinary wear and tear) or permit any guest to damage the unit or premises.
- Participate in alcohol abuse that results in behavior that threatens the health, safety or right to peaceful enjoyment of the premises by other residents or employees.
- Cause damage to grounds by stationing their motor vehicle on unpaved and undesignated areas.

## **10.2 Obligations of HHA**

The HHA is responsible for performing the obligations dictated by the AAC and local housing statutes. The lease sets forth the HHA obligations, which include the following:

- Maintain the dwelling units and the project in a decent, safe and sanitary manner.
- Comply with the requirements of local housing codes, and HUD regulations affecting health and safety.
- Perform all selection and occupancy rental functions.
- Prepare the unit for occupancy and ensure the unit is maintained in accordance with HQS, including performance of emergency, routine and corrective maintenance.
- Comply with equal opportunity requirements.
- Collect from the household any security deposit; tenant payments (i.e., the part of rent not covered by the housing subsidy payment), and any charges for unit damage by the household.
- Enforce tenant obligations under the lease.
- Pay for utilities and services, unless paid by the household and covered by a utility allowance credit by the HHA under the lease.
- Supply running water and reasonable amount of hot water and heat at appropriate times of the year to each dwelling unit.
- Provide reasonable accommodations for units to be occupied by a disabled person, see 24 CFR §100.203.

## **10.3 Information Packet**

In addition to the orientation meeting, the Authority will provide the resident with a briefing packet addressing four categories of information: Admissions and Continued Occupancy Policy, amount of subsidy and tenant payment, terms of the lease and enforcement, supportive and self sufficiency services, and statutory provisions.

## **11.0 Housing Quality Standards and Inspections**

This chapter details the types of inspections that the Authority conducts to ensure that its housing stock meets HQS for occupancy. The Authority is responsible for ensuring that public housing units meet HQS standards prior to lease up and during the period of the lease. The Authority is responsible for correcting all infractions except the following:

- Failure of the tenant to pay utilities for which the tenant is responsible.
- Failure to provide or maintain family-supplied appliances.
- Damage to unit or property by any household member or guest beyond normal wear and tear.

The Authority is responsible for ensuring that the unit is free of vermin or insect infestation, even if the infestation is a direct result of the living habits of the tenant.

### **11.1 Inspections**

Operations/Management will, prior to leasing the unit, [perform unit pre-occupancy inspections with the prospective tenant present, it will be done concurrent with the move in whenever possible](#). Completed inspection forms will be maintained as a permanent record in the tenant's file and the Authority and the tenant will receive a copy of the inspection form. The following table identifies additional inspections conducted by the Authority to ensure HQS and lease enforcement.

## 11.1 Inspections (Continued)

**Inspection Reference Table**

<b>Inspection Type</b>	<b>Reason for Inspection</b>	<b>Frequency</b>	<b>Inspection Time Standard</b>
Initial HQS	Determine that the unit meets HQS, establish a baseline of “wear and tear,” and document rent reasonableness.	One time	Within three days prior to unit offer.
Annual HQS	To ensure the unit is still in compliance with HQS.	Annually	Must be conducted within 12 months of the previous annual HQS inspection.
Initial Housekeeping Inspection	Ensure new tenant can properly operate appliances in unit and is complying with good housekeeping practices	One-time	Second month of Occupancy
Annual Housekeeping Inspection	Ensure new tenant can properly operate appliances in unit and is complying with good housekeeping practices	Annually	Must be conducted within 12 months of the previous annual Housekeeping inspection.
Quality Control	To ensure that all inspections are being completed accurately, and to maintain a performance standard among the inspectors.	5% of all units inspected	Completed by the Site Manager or designee within 2 days of annual HQS inspection.
Move out/Vacate	Document damages beyond normal wear and tear.	Notification	Within seven days of notification.

## **11.1 Inspections (Continued)**

All utilities and HHA provided appliances (i.e., refrigerator, stove and oven or range, and garbage cans.) must be installed by the initial inspection date.

The Authority will notify the family in writing at least fourteen days prior to annual inspections. All other types of inspections will be confirmed by phone. If a representative of the household is unable to attend, they must reschedule the inspection within five business days from the initial date of inspection. If the family fails to reschedule or respond, they will be sent a letter stating that they are violating their family obligations and their lease could be terminated.

Move out inspections will be conducted with the head of household present and be done concurrent with the move-out whenever possible upon the tenants request.

## **11.2 Assignment of Responsibility**

The responsible party must correct infractions of the HQS or Housekeeping within a designated period based on the severity of the infraction. Emergency infractions that may threaten the life of the tenants (e.g., natural gas leak, carbon monoxide pollution, etc.) must be corrected in within 24 hours. Deficiencies that pose no immediate danger to the health or welfare of the tenants must be corrected within 15 calendar days.

If the family is responsible for the repairs, and fails to complete them by the scheduled re inspection date, the Authority will make the repairs and bill the resident for services provided. Continued noncompliance will result in eviction.

## **11.3 Performance Standards and Acceptance Criteria**

The following table outlines the categories, statutory requirements, and local codes that comprise the overall HQS criteria. The Category/Description column identifies the part of the unit or the environment in question, the Statutory Requirements outline the performance standard that is acceptable to HUD, and the Authority/Local Codes column details performance standards as dictated by the Hartford Housing Code, or the Authority.

### 11.3 Performance Standards and Acceptance Criteria

#### HQS Categories and Performance Standards

Category/Description	Statutory Requirements	Authority/Local Code
<p><b><u>Sanitary Facilities:</u></b> lavatory area.</p>	<ul style="list-style-type: none"> <li>· Must be in a separate private room.</li> <li>· Must have a flush toilet and fixed sink basin, both in working condition.</li> <li>· Must have a shower or tub and hot and cold running water.</li> <li>· Must utilize approvable public or private disposal system.</li> </ul>	<ul style="list-style-type: none"> <li>• Must have ventilation window or shower fan.</li> <li>• Must be <u>located</u> such that access does not have to be solely through a bedroom.</li> </ul>
<p><b><u>Food Preparation and Refuse:</u></b> kitchen and dining area.</p>	<ul style="list-style-type: none"> <li>· Must have a working oven and a stove, or range, and a refrigerator of appropriate size for the family.</li> <li>· Required unit has a kitchen sink in proper operating condition with hot and cold running water and a sink trap.</li> <li>· Facilities must be provided for the sanitary disposal of food, including temporary storage facilities.</li> <li>· Unit must have space for the sanitary storage, preparation and service of food.</li> </ul>	
<p><b><u>Space and Security:</u></b> adequate space and security for the family.</p>	<ul style="list-style-type: none"> <li>· Dwelling must have a living room, kitchen and a bathroom.</li> <li>· Unit must have one bedroom or living/sleeping room for each two persons.</li> <li>· Windows accessible from the outside must be appropriately secured.</li> <li>· Exterior doors must be lockable.</li> </ul>	<ul style="list-style-type: none"> <li>• Dead bolts</li> <li>• Peep holes</li> </ul>

### 11.3 Performance Standards and Acceptance Criteria (Continued)

<p><b><u>Thermal Environment:</u></b> heating and cooling of the unit.</p>	<ul style="list-style-type: none"> <li>· Must have a safe system for heating the unit, or cooling the unit if applicable.</li> <li>· Dwelling must not contain unventilated room heaters that burn gasoline, kerosene, or oil.</li> </ul>	<ul style="list-style-type: none"> <li>• Must conform to state minimum temperature standards for families and elderly.</li> </ul>
<p><b><u>Illumination and Electricity:</u></b> artificial and natural light, and electrical services.</p>	<ul style="list-style-type: none"> <li>· One window must be present in the living room and each bedroom.</li> <li>· Kitchen and bathroom must have permanent light fixture in good working order. Kitchen must also have one working electric outlet.</li> <li>· Living room and bedrooms must each have at least two working electrical outlets. Permanent light fixtures may be substituted for one of the electrical outlets.</li> </ul>	
<p><b><u>Structure and Materials:</u></b> the physical condition of the interior and exterior of the structure.</p>	<ul style="list-style-type: none"> <li>· Ceilings, walls and floors must not have any serious defects (e.g., large holes, bulging, etc.)</li> <li>· Roof must be structurally sound and weather tight.</li> <li>· Stairs, porches, etc. must be in good condition and present no danger of tripping or falling.</li> </ul>	
<p><b><u>Interior Air Quality:</u></b> cleanliness of air and effectiveness of ventilation system.</p>	<ul style="list-style-type: none"> <li>· Air must be free of dangerous levels of carbon monoxide, fuel gas, sewer gas, etc.</li> <li>· Unit must have adequate air circulation.</li> <li>· Bathroom must have openable window or working exhaust fan.</li> <li>· Bedrooms must have at least one window.</li> </ul>	



### 11.3 0Performance Standards and Acceptance Criteria (Continued)

<p><b><u>Water Supply:</u></b> acceptable quality of water supply.</p>	<ul style="list-style-type: none"> <li>· Dwelling must be served by an approvable private or public water supply that is sanitary and free of contamination.</li> </ul>	
<p><b><u>Lead Paint:</u></b> elimination of hazardous lead paint, which may cause poisoning.</p>	<ul style="list-style-type: none"> <li>· Refer to 24 CFR §982.401(j), implementation of Section 302 of the Lead-Based Paint Poisoning Prevention Act.</li> </ul>	
<p><b><u>Access Performance Requirement:</u></b> convenience of accessing and exiting the unit.</p>	<ul style="list-style-type: none"> <li>· Dwelling must be able to be used and maintained without unauthorized use of other private property.</li> <li>· The building must have more than one entrance as an alternate means of exit in case of fire.</li> </ul>	
<p><b><u>Site and Neighborhood Requirements:</u></b> quality of the area and housing surrounding the dwelling.</p>	<ul style="list-style-type: none"> <li>· The site and neighborhood must be reasonably free from disturbing noise, reverberations, and serious adverse conditions, natural or manmade, that would threaten the health, safety, or general welfare of the occupants.</li> </ul>	
<p><b><u>Sanitary Conditions:</u></b> cleanliness of the unit and surrounding area.</p>	<ul style="list-style-type: none"> <li>· The unit and its equipment must be free of vermin or infestation.</li> </ul>	
<p><b><u>Smoke Detector Performance:</u></b> ensures there are a sufficient number of working smoke detectors within the dwelling unit.</p>	<ul style="list-style-type: none"> <li>· Each dwelling must have an operational battery-powered or hard-wired smoke detector on each level of the dwelling unit. If the unit was assisted prior to 4/24/93, smoke detectors are only required in areas used for living purposes.</li> </ul>	

## **12.0 Lease**

It is the HHA's policy that all units must be occupied pursuant to a lease that complies with HUD regulations in 24 CFR 966, The Quality Housing and Work Responsibility now known as the Public Housing Reform Act and are consistent with the HHA's published occupancy procedures. A Lease can only be executed with an eligible family, and after the applicant has inspected and accepted the unit offered.

The HHA will provide 30 days notice to tenants and resident organization as to proposed changes to the Lease form in order that such parties may have an opportunity to present written comment. The HHA will consider all such submitted comments before formal adoption of new Lease form.

The HHA shall not include or incorporate clauses of the following nature in its' Lease:

- Prior consent of tenant for bringing a lawsuit
- Agreement by the tenant that the HHA may take or hold tenant property as a pledge against a tenant obligation that the landlord has determined the tenant has failed to perform.
- Tenant exculpatory clauses, waiver of legal notice or to appeal judicial error.

## **12.1 Lease Execution**

At admission, a Lease along with other applicable documents is executed between the HHA and each tenant family. The Lease identifies the parties and the dwelling unit, payments due, terms for re-determination, tenant's rights to use and occupy, the HHA and tenant obligations (refer to Section 10.1), tenant maintenance, authority to inspect units, termination of tenancy and eviction, grievance procedures, provisions for modifications, and signature clauses. The dwelling lease, any addenda and notices are to be kept current at all times.

The lease is completed in triplicate form and must be signed by the head, spouse, and all other adult members of the household, and duly authorized by the representative of the authority prior to actual admission. The original lease is kept on file at the main office. Copies are dispersed to the tenant head of Household and to the Housing Coordinator at the designed site.

## **12.2 Changes To The Lease**

If a change in family status composition occurs, the Authority will:

- Execute a new lease
- Execute a Notice of Rent Adjustment; and or
- Prepare an appropriate rider or appropriate insertions that will be made part of the lease. All copies of such riders or insertions to be dated and signed by the household head and the HHA authorized lease representative. (Refer to Section 966.4(o).

If any signer of the lease ceases to be a family member, the lease will be canceled. A new lease and addenda will be executed and signed by the remaining members of the family who qualify if determined to be eligible for continued occupancy. Management must make recommendation for a new lease and changes to the current lease upon review of family comportment.

If a tenant family transfers to another dwelling unit operated by the HHA, the existing lease is to be canceled. A new lease is to be executed for the dwelling unit that the family is to occupy.

If at any time during the life of the lease, a change in the tenant's status or an HHA circumstance results in a need for changing or amending any provision of the lease, the HHA may execute a new lease, prepare a rider or indicate the proper insertion.

A tenant who refuses to sign a new or amended lease properly executed by the HHA will be subject to eviction.

## **12.3 Security Deposits**

The HHA requires a security deposit from all tenant families in accordance with the requirements of the lease and State law. The security deposit may not be used by the tenant to pay charges during occupancy.

Pet security deposits will be paid in accordance with the HHA Pet Policy.

### **12.3 Security Deposits (Continued)**

Security deposits are to be paid in full, unless agreed upon, to be paid in installments as dictated by the amount and according to a schedule that is reasonable for families, and acceptable to the Housing Authority. Security Deposits may be paid in full immediately upon execution of the lease. The HHA will maintain the tenant's security deposit in accordance with State law and credit the tenant's security deposit account with interest annually at the rate required by State law.

HHA may use the security deposit for damages and repairs (beyond normal wear and tear), for rent owed, or for late and other charges levied by the Authority.

Security deposits will be returned to the tenant after move-out if the following conditions are met:

- The tenant family is paid-up to date with regard to rent and miscellaneous charges.
- The dwelling unit passes move-out inspection or inspection deficiencies have been remedied by the tenant family prior to the end of the lease.

If tenant rent balance and/or charges are assessed, they will be deducted from the security deposit. The balance shall be returned to the tenant within 30 days of the termination of the lease.

### **12.4 Special Charges and Posting Policies**

Schedules of special charges for services, repairs utilities, and rules and regulations that are required to be incorporated in the lease are posted (publicly posted in a conspicuous manner) at each Site Office. The tenant, upon request, at the Site Offices can obtain copies of all such documents.

All schedules of special charges, and associated rules and regulations are subject to change, by the authority. In accordance with 24 CFR 966.5, the HHA will give at least a 30 day written notice to each affected tenant setting forth the proposed modification, the reason therefor, and provide the tenant an opportunity to present a written comment that will be taken into consideration by the HHA prior to the proposed modification becoming effective. Notice will be considered given if the HHA (1) delivers the notice directly or through the mail to each affected tenant (including on the monthly rent bill); or (2) posts such a notice in three conspicuous places within each structure or building in which the affected dwelling units are located as well as within the Site Office.

All such modifications will be given to the tenant with proper written notice. Upon the authority receiving board approval, change or modification will be effective upon notification to resident.

## **12.5 Visitors and Additions to the Household Not On Lease**

In accordance with the lease visitors beyond 30 days and, roomers and lodgers shall not be permitted to move in with a family occupying the dwelling unit. Violation of this provision is grounds for lease termination and eviction.

Residents will not be permitted under any circumstances to allow former residents of the HHA who have been evicted to occupy the unit for any period of time. Violation of this provision is grounds for lease termination and eviction.

### **13.0 Re-Examination For Continued Occupancy**

The following chapter details the annual re-examination, the interim re-examination and issues concerning the information gathered throughout the process.

Residents who meet the following criteria will be eligible for continued occupancy in the HHA's low income housing program:

- Qualify as a family as defined by HUD (see Section 3.1)
- Are in full compliance with the resident obligations and responsibilities as described in the dwelling lease (see Section 10.1).
- Family members who have not used illegally controlled substances or engaged in drug-related criminal activities on or off the premises; and have not interfered with the health, safety, or right to peaceful enjoyment of the premises by other residents due to abuse of alcohol.
- Are in compliance with the **One Strike** "Zero Tolerance" policy that does not permit illegal drug related activity and other criminal activity that threatens the well-being of the public housing community.
- Family members must supply social security numbers or have certifications on file indicating that they have no Social Security number. If under the age of six.
- Whose members receiving assistance are citizens or have eligible immigration status.

### **13.1 Annual Re-examination**

The Authority will conduct an annual re-examination on all households participating in its low-income public housing program who choose the Formula Method of rent determination. For those who choose the Flat Rent, the Reexamination will take place once every three years on the anniversary date of the move-in. Each type of Reexamination will follow the same procedure described as follows.

Each household will receive a written notification of its re-examination date at minimum 30 days prior to its move-in anniversary date of the contract. The notice will inform the head of household of the date that he or she is required to participate in an interview, provide specific information, and sign the required recertification forms, Authorization for Release of Information and the Addendum for Drug-Free Housing and other documents depending on the resident's circumstances. The Authority will require the family head, and all adult members (i.e., residents older than 18 years of age) to be present during the reexamination meeting. Members of the Site Management staff will interview each adult household member, and record their information onto the re-examination form. The household members will be required to provide any information that the Authority deems necessary to determine eligibility. All documents related to income must represent the gross amount of income (i.e., wages plus tips, gratuities, commissions, etc.). Failure to supply or cooperate in the verification process concerning income, family composition and eligibility, unit inspection, and the refusal to execute required documents will lead to the family's lease termination.

Each household will be re-examined in accordance to HUD regulations with respect to the following criteria:

- Family eligibility.
- Income, Total Tenant Payment and Net Assets.
- Size of dwelling unit required.
- Compliance with program requirements.

The Authority will provide the results of the re-examination to each household in writing, and advise the households of their right to a hearing if they disagree with Authority's actions. Additionally, the Authority will complete the HUD 50058 form and transmit it to HUD as appropriate.

A dated receipt of submission will be given to the family upon submission of the Continued Occupancy Form. The authority does not acknowledge accuracy of the data submitted, only receipt of it. Upon review the authority will inform the tenant in writing as to any additional needed documents that substantiates the need for a change of the lease agreement and or rent payment.

### **13.2 Treatment of Income Resulting From Welfare Program Requirements**

Effective immediately the Hartford Housing Authority, as mandated by HUD, will not lower the rent for a family whose welfare benefits are reduced due to fraud, family's failure to participate in an economic self-sufficiency program, or because of failure to comply with work activity requirements. The Hartford Housing Authority will deny a reduction in rent after obtaining written verification from the welfare agency of their noncompliance. The prohibition against reduction of rent will not apply in situations where the family's time limit for eligibility of welfare benefits has expired or where the family has complied with the welfare requirements but has been unsuccessful in attaining a job.

If the amount of welfare assistance is reduced as a result of a lifetime limit, the reduced amount is the amount that shall be counted as income.

The authority will offset the amount of additional income a family receives that commences after the time the sanction was imposed. When such additional income from other sources is at least equal to the imputed welfare income, the imputed welfare income is reduced to zero.

The Denial of Reduction of rent will not take place until all administrative hearing opportunities at the welfare level have been completed.

### **13.3 Verification**

A statement made by a tenant is considered by the authority to be verified when the available evidence indicates that it is more likely to be true than not. The authority requires verification of information when specifically required by federal or state law or regulation or when the authority considers it necessary to corroborate a tenant's statements pertaining to an essential factor of eligibility. However, the Authority does not require tenant to provide documentary evidence to verify the nonexistence of any factor, including lack of income, lack of bank accounts or other assets.

Affidavits will be accepted for review by the Authority, in addition to any documentary evidence or verification, when documentary proof is required but the tenant cannot provide it after good faith efforts or when submitted as proof of nonexistence as a Continued Eligibility factor. When an Affidavit is reviewed by the Authority, it will be evaluated along with all other evidence relative to the tenants Continued Eligibility factor. The Authority uses all available evidence, including the Affidavit, to determine whether it is more likely than not that the tenant's Continued Eligibility has been established.



### **13.4 Failure to Cooperate & Report Changes**

If the household members are unable to attend the scheduled reexamination meeting, they are required to contact the site office prior to the re-examination date to reschedule. **The Authority Staff will make reasonable efforts to schedule meetings that do not conflict with the head of household's schedule.** In the case where the family fails to appear at the meeting or promptly submit all necessary information, the family will be given 15 days from the date of the scheduled meeting to comply. In the event that the household is found not to comply with the re-examination process, the HHA will establish the tenant's rent at a level no less than the cost to operate the HHA unit, the Flat Rent, Ceiling Rent, Fair Market Rent or any rent currently in effect the year the failure of the re-examination occurs. **In addition the authority will average the last four quarters of DOL reported income and project anticipated income, apply applicable deductions and allowances and compute a rent. The resident will be notified of the projected rent and be given the opportunity to present up to date information to contest the income utilized to determine the rent. The authority will base the rent on the greater of the Flat Rent, Ceiling Rent, Fair Market Rent or rent based on the last four quarters of DOL reported income.** This rent will be in effect until the matter has been resolved or action has been initiated for eviction due to non-compliance.

Acceptable reasons for missing appointments or failing to meet deadlines are medical emergencies, family emergencies, death of a family member, or a serious family illness. All of these occurrences must be documented. Documentation must be acceptable to the Housing Authority.

If a tenant fails to keep an appointment, or fails to supply information required by an established deadline without notifying the Authority or attempting to reschedule, the Authority will send the household a Notice to Quit and commence the summary process.

The family may contact the Authority and correct the breach. If the breach is corrected within 10 days, the notice may be rescinded with approval of the Site Manager. However, if the family has a history of noncompliance, or if the family has not corrected the breach, the Authority will terminate the lease.

### **13.5 Misrepresentations**

If the re-examination reveals that the tenant, at the time of re-examination, made a misrepresentation which resulted in the client's classification as eligible, when in fact the client was ineligible, the manager will notify the tenant and the lease will be terminated.

If the Authority determines that the client made a misrepresentation that resulted in a lower rent, the client will pay the difference to the Authority in full. If it is determined that the household has committed fraud, the lease may be terminated.

In the event that the falsification of income or family composition is verified by Authority, a re-examination will be immediately scheduled. If it is determined that any of the household members has falsified their income, the household will be required to pay the full amount of back rent owed, and the lease may be terminated.

### **13.6 Interim Re-examination**

The Authority requires residents to complete Interim Recertifications when there is a change of income.

Upon the Authority completing the interim re-examination, it will calculate any changes accordingly. Families that report no income or are subject to minimum rents are required to complete a continued occupancy form on a quarterly basis (once every 90 days) for income re-examination along with the submission of an expense form (Financial Affidavit) delineating the families' monthly living expenses substantiated by the evidence of bills paid out for cable, telephone, utilities, etc.

The Authority reserves the right to perform interim re-examination at any time; however two re-examinations of the same household will not be conducted within a 30-day period. A dated receipt of the Interim Re-examination will be given upon submission (see 13.1).

### **13.7 Continued Assistance for Mixed Families**

Generally speaking, housing assistance is only provided to family members that are citizens or eligible immigrants. However, "mixed families," (i.e., families that include at least one citizen or eligible immigrant and any number of ineligible members) who were program participants prior to June 19, 1995 can receive full assistance if both of the following continuous assistance conditions exists:

- The head of household or spouse is an U.S. Citizen or is an eligible immigrant.
- All members of the family other than that the head, or spouse, parents of head or spouse, and children of the head or spouse are citizens or eligible immigrants.

If they do not qualify for continued assistance, the member(s) that cause the family to be ineligible for continued assistance may move, the family may choose prorated assistance, or the Authority may offer temporary deferral of termination.

If the family chooses prorated assistance the amount of assistance is determined by subtracting the total tenant payment from a HUD-supplied public housing rent, and multiplying the difference by the proportion of eligible to noneligible immigrants in the household.

### **13.7 Continued Assistance for Mixed Families (Continued)**

Method of Prorating Assistance for Public Housing Only. The authority shall prorate the family's assistance by [912.11 (b)]

- a. Step 1. Determining total tenant payment in accordance to 24 CFR 913.107 (a). Annual Income includes income of all family members, including any family member who has not established eligible immigration status.
- b. Step 2. Subtracting the total tenant payment from a HUD-supplied "public housing maximum rent" applicable to the unit or the housing authority. Public housing maximum rent shall be determined by HUD using the 95<sup>th</sup> percentile rent for the housing authority.

The result is the maximum subsidy for which the family could qualify if all members were eligible ("family maximum subsidy").

- c. Step 3. Dividing the family maximum subsidy by the number of persons in the family (all persons) to determine the maximum subsidy per each family member who has citizenship or eligible immigration status ("eligible family member"). The subsidy per eligible family member is the member maximum subsidy."
- d. Step 4. Multiplying the member maximum subsidy by the number of "eligible" family members.
- e. Step 5. The product of steps 1-4, as set forth in this paragraph is the amount of subsidy for which the family is eligible ("eligible subsidy"). The family's rent is the "public subsidy". The family's rent is the "public housing maximum rent" minus the amount of the eligible subsidy.

If the family qualifies for prorated assistance and does not qualify for continued assistance, the family may be eligible for a temporary deferral of termination of assistance. (See Section 3.1, Termination Due to Ineligible Immigration Status.) The Deferral period will be given in six-month increments, not to exceed an aggregate time period of three years. The Authority will notify the tenant in writing 60 days prior to the expiration of the deferral to notify them as to whether the termination will be deferred again, or if assistance will be terminated.

### **13.8 Changes in Family Size and Composition**

Family composition changes that result in an over or under housed situation determination will be made by the Authority or upon the request of the participant or as a result of the recertification-process. The unit size determination will be made in accordance with the Authority's occupancy standards. Over and under housed families will be placed on a Wait List for transfer in accordance with transfer procedures presented in the next section. Families will not be placed on the transfer list for a period of two years as of the date of initial move-in. Please see Transfer Section 15 of this policy for details.

Increases in family size, (other than birth) but as a result of adoption, or court awarded custody must be reported. If the additional family member will cause overcrowding, the Authority will place the family on the transfer Wait List.

Family members over the age of 17 or emancipated minors who move away from the dwelling unit to establish new households will be removed from the lease in accordance with 24 CFR 966.4(f) (3). The head of household has the responsibility to report the moveout within 30 days of the occurrence and complete the process that will result in a change of the lease agreement.

These individuals may not be admitted to the unit and must apply as new applicant households for placement on the Wait List. The authority in making determinations under this paragraph shall consider medical hardship or other extenuating circumstances. (This practice is in affect in order to address the conditions of over/under-housed tenant families).

### **13.9 Additions to the Household**

It is the HHA policy to permit only those persons listed on the most recent certification form to occupy a dwelling unit. Except for family member births, any family seeking to add a new member must request approval in writing prior to the new member occupying the unit.

Following the receipt of a family request to add a member to the lease, the HHA will conduct a pre-admission screening of the proposed new member. The results of the screening will be used to determine whether the addition will be approved. Additions that result from birth or children (under 13) adopted or added through a kinship care arrangement are exempt from the screening process, however, additions of children other than by birth must be reported to Authority.

Residents who fail to notify the authority of additions to the household or permit persons to occupy a dwelling unit without undergoing notification and prescreening are in violation of the lease. Such persons are considered unauthorized occupants and the entire household is subject to eviction.

## **13.10 Effective Date of Rent Adjustment**

### Effective Date of Rent Changes for Annual Reexaminations

The new rent will generally be effective upon the anniversary date with thirty- (30) day's notice of any rent increase to the family.

If the rent determination is delayed due to reason beyond the control of the family, then any rent increase will be effective the first month of the month in which the family receives a 30-day notice of the amount.

If the family failed to comply within the time frame allowed for the submission of the Annual Recertification the increase will be made effective on the anniversary date of the lease agreement even if it results in a retroactive increase of rent back to the date of anniversary. If the new rent determination results in a decrease the rent will be effective the following month in which the change was reported if submitted prior to the 15<sup>th</sup> day of any given month.

### *Special Reexaminations*

If a family's income is too unstable to project for twelve (12) months, including families that temporarily have no income or have a temporary decrease in income, the authority may schedule special reexaminations every ninety (90) days until the income stabilizes and an annual income can be determined.

### *Effective Date of Rent Changes Due to Interim or Special Reexaminations*

Unless there is a delay in reexamination processing caused by the family, any rent increase will be effective the first of the second month after the month in which the family receives notice of the new rent amount. If the family causes a delay, then the rent increase will be effective on the date it would have been effective had the process not been delayed (even if this means a retroactive increase).

If the new rent is a reduction and any delay is beyond the control of the family, the reduction will be effective the first of the month after the interim reexamination should have been completed.

If the new rent is a reduction and the family caused the delay or did not report the change in a timely manner, the change will be effective the first of the month after the rent amount is determined.

Decreases in rents are effective the first day of the month following the date the change was reported provided the change was reported within thirty (30) days of its occurrence and by the 15<sup>th</sup> day of any given month and the family complied with the verification requirements and re-exam process.

**13.10 Effective Date Of Rent Adjustment (Continued)**

Increases in rent that have not been submitted in a timely manner are retroactively effective to the day the increase should have occurred. Increases in rent that have not been reported in a timely manner are subject to a late rentpayment penalty for each month the increase was not reported.

Decreases in rent that have not been reported on a timely basis will be effective the first month subsequent to reporting if the change is submitted prior to the 15<sup>th</sup> day of any given month.

**13.11 Procedures When Changes Are Not Processed Due to Authority Errors**

If the Authority makes a calculation error during the recertification or the interim examination, an additional examination will be conducted to correct the error. The household will not be charged retroactively for payments they would have owed, but will receive credit for overpayments.

Additionally, if the Authority fails to process the changes in a timely manner when submitted, for any other reason, increases in tenant’s rent will not take effect until the Authority completes processing the change, and a 30-day notice has been granted. If a decrease in rent occurs, the overpayment by the family will be calculated retroactively to the date when the Authority should have had the processing completed, if the family submitted the change prior to the 15<sup>th</sup> day of the month the family will be credited for that amount.

**13.11 Procedures When Changes Are Not Processed Due to Authority Errors**

	<b>Changes Cause Increase in Tenant’s Rent</b>	<b>Changes Cause Decrease in Tenant’s Rent</b>
<b>Household Reports Changes within 30 days</b>	Effective on the first of the month following a 30-day notice.	Decrease in tenant rent will be effective on the first of the month following completion of processing.
<b>Household Fails to Report Changes within 30 days.</b>	Increased tenant rent will be effective retroactive to the date it would have been effective had it been reported on a timely basis. The family will be liable for any under payment and may be required to make a lump sum payment to the Authority.	Decrease in tenant rent will be effective on the first of the month following completion of processing by the Authority. If in that time, the family pays the former rent amount, there will be no credit to the tenant’s account.

## **13.12 COMMUNITY SERVICE / SELF-SUFFICIENCY REQUIREMENT**

The regulation requires that any adult family member, who is a resident of public housing and is not exempt, must:

- 1) Contribute 8 hours per month of community service (not including political activities) or
- 2) Participate in an economic self-sufficiency program for 8 hours per month or
- 3) Perform 8 hours per month of combined community service and self-sufficiency activities.

**Exemptions are as follows:** An adult who

- 1) Is 62 years or older
- 2) Is blind or disabled individual and who certifies that because of this disability she or he is unable to comply with the service provisions.
- 3) Is a primary caretaker of such individual
- 4) Is engaged in work activities
- 5) Meets the requirements for being exempted from having to engage in a work activity under the State program funded under part A of title IV of the Social Security Act., or under any other welfare program of the State in which the PHA is located, including a State administered welfare-to-work program or
- 6) Is a member of a family receiving assistance, benefits or services under a State program funded under part A of title IV of the Social Security Act or under any other welfare program of the State in which the PHA is located, including a State administered welfare-to-work program, and has not been found by the State or other administering entity to be in noncompliance with such a program.

### **HOW HHA WILL ADMINISTER THE SERVICE AND SELF-SUFFICIENCY REQUIREMENT**

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#### **PROCESS**

At the first annual re-certification on or after January 1, 2001 and each annual re-certification thereafter, the Hartford Housing Authority's Housing Department will do the following:

- A. Identify all adult family members who are not exempt from the community service and self-sufficiency requirement.
- B. A "Notification Letter" will be sent to the Head-of-Household as well as all other adult members in the family informing them that they must fulfill the community service/self-sufficiency requirement effective upon the date of their first annual re-certification on or after January 1, 2001. This letter will inform them that they will hear from a HHA Human Services Employee and will provide them with the direct number for the Human Services Division, in the event that they wish to contact some one in advance of being contacted.

## **13.12 COMMUNITY SERVICE / SELF-SUFFICIENCY REQUIREMENT**

**(Continued)**

C. Human Services will meet with the resident to determine whether they are interested in a Community Service Program or a Self-Sufficiency Program as described in the following paragraphs.

- 1) **Community Service Program:** To ensure that the community service requirement is met, we will work collaboratively with the Community Renewal Team of Greater Hartford (CRT).
  - 2) CRT will establish an Individual Service Plan for each individual and will assign them to community service projects, which will identify what community service they will be involved in, and what is expected of them.
  - 3) CRT will provide HHA's Human Services with a monthly written progress report on each individual's performance and will certify when an individual has met the service requirement.
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- 1) **Self-Sufficiency Program:** To ensure that the self-sufficiency requirement is met, residents who choose this option will be connected to our Resident Opportunities and Self-Sufficiency (ROSS) Grant.
  - 2) Residents will be assigned to one of two "Client Brokers" who will establish an Individual Services and Training Plan for each individual which will identify what self-sufficiency programs they must be involved in. They will be connected with specific agencies that provide such services.
  - 3) The Client Brokers will maintain monthly contact with the various agencies that they refer residents to for individual progress reports, and the residents themselves.
  - 4) The Client Brokers will provide monthly written progress reports on each individual's performance and will certify when an individual has met the self-sufficiency requirement.

Those residents interested in both the Community Service Program and Self-Sufficiency requirements will be referred to both CRT and the ROSS Grant. They will have an Individual Training and Services Plan that include both community service and self-sufficiency requirements.

**ASSURING RESIDENT COMPLIANCE**

- 1) The lease shall specify that it shall be renewed automatically for all purposes unless the family fails to comply with the community service/self-sufficiency requirement. Violation of the community service/self-sufficiency requirement is grounds for the non-renewal of the lease at the end of the twelve-month lease term.
- 2) Certifications will be required from third parties that a resident has complied with the community service/self-sufficiency requirement.

**13.12 COMMUNITY SERVICE / SELF-SUFFICIENCY REQUIREMENT**



**(Continued)**

**D) NOTIFICATION OF NON-COMPLIANCE WITH COMMUNITY SERVICE/SELF SUFFICIENCY REQUIREMENT**

The Hartford Housing Authority's Human Services Division will notify any resident in writing who is not in compliance with the Community Service/Self Sufficiency Requirement. The notice to the resident will:

- 1) Briefly describe the noncompliance
- 2) State that the HHA will not renew the lease at the end of the twelve month term unless:
  - a) The tenant and any other non-compliant resident, enter into a written agreement with the HHA to cure the non-compliance.
  - b) The family provides written assurance satisfactory to the HHA that the tenant or other non-compliant resident no longer resides in the unit.
- 3) State that the tenant may request a grievance hearing on the HHA determination not to renew the lease and that the tenant may exercise any available judicial remedy to seek timely redress for the HHA's nonrenewal of the lease because of such determination.

## **14.0 Family Debts**

This chapter discusses the Authority's policies regarding the collection of debts and the specific guidelines that will be followed to secure payment. Specifically, it discusses the assessment of debts, repayment agreements, and the penalties incurred for debts due to fraud.

### **14.1 Assessment of Debt**

Before a debt is assessed to a household, the Authority must obtain documentation to support that the debt is owed. The Authority must also document the method of the debt's calculation, in a clear format.

The Authority will make every effort to collect outstanding debts including the following means:

- Request a lump-sum payment
- Civil suits
- Repayment agreements
- Reductions
- Use of collection agencies
- Credit bureaus
- Income tax set-off programs

## **14.2 Repayment Agreements**

A repayment agreement is a formal document signed by the head of the household or the spouse executed between the family and the Executive Director of the Authority acknowledging a debt in a specific amount, and agreeing to repay the amount due over a specific period of time. Additionally, the agreement details special provisions of the agreement, and the remedies available to the Authority upon default.

Repayments will be considered late if the Authority does not receive them before the close of business on the last day of the month in which the payment was due. The Authority has the option of terminating, or granting an extension based on the reason for the late payment. If the household is habitually late with its payment, the Authority will initiate lease termination proceedings.

If a household and the Authority enter a repayment agreement, the amount of the monthly repayment will be determined according to the household's current income. The minimum monthly repayment amount will be \$10.00. The maximum time period for the repayment agreement will be 12 months unless the Executive Director or his designee grants an exemption. If the household requests to transfer to another unit, it must pay the balance of the repayment agreement in full before receiving approval for transfer. The Authority will not enter into a repayment agreement if the household already has a repayment agreement in place, or if the Authority determines that fraud has been committed.

## **14.3 Debts Due to Fraud/Nonreporting of Information**

The Authority's definition of program fraud and abuse is a single act or pattern of actions that constitutes false statement, omission, or concealment of substantive fact, made with intent to deceive or mislead, that results in the overpayment of subsidy on behalf of the family.

Households who owe money to the Authority as a result of willful program fraud will be subject to termination of their lease agreement.

## 15.0 Transfers

Transfers are defined as mandatory or voluntary movement of families within or between public housing sites. The HHA permits transfers for emergency, administrative and good cause and incentive purposes. Emergency and administrative transfers are mandatory and take precedence over new admissions. Good cause transfers can be mandatory or voluntary depending on the nature of the transfer reason. Incentive transfers are voluntary. All transfers are made without regard to race, color national origin, sex, religion, or family status, or to enforce non-discriminatory practices.

Generally speaking, residents will not be transferred to a dwelling unit of equal size, except to alleviate hardship of the resident or other undesirable condition as determined by the Site Manager (i.e., residents can be transferred, at their request, to a same size unit to accommodate a disability).

Residents will receive one offer of transfer. In the case of Mandatory transfers, a refusal of an offer of a suitable unit will result in lease termination. In the case of Voluntary transfers, refusal of an offer of a suitable unit will result in the removal of the household from the transfer list. The suitable occupancy standard for new admissions is applicable for transfers.

Families eligible for transfer must be in compliance with the terms and conditions of the lease agreement prior to consideration for transfer. Transfer from one development to another development must be accompanied with a letter of recommendation from the Site Manager.

Residents will bear the cost of transfers. Transfers requested by the authority will be at no cost to the resident, except were the tenant has signed a waiver agreeing to be responsible for the transfer costs.

Resident families will be eligible to be placed on the transfer list upon completing two years of residency or as of the initial date the authority is informed of changes in family composition or other acceptable reasons as listed in this policy that will require a transfer. Determination of the family to be placed on the transfer list will be made once all supporting documentation is received that justifies the need.

Resident transfers should be distinguished from temporary or permanent relocation. Relocation resulting from modernization or revitalization action of the HHA. Relocation may or may not result in a transfer, depending on the options made available to and accepted by the resident (i.e., offer of a Section 8 certificate, temporary market housing, displacement payment). Should a resident be subject to relocation (temporary or permanent) will be offered a public housing unit as part of a relocation option, the resident will be placed on the transfer Wait List. Once placed on the transfer Wait List, the standard offer and acceptance procedures apply to the family being relocated.

## 15.1 Types of Transfers

HHA's transfer policy identifies types of transfers, to establish the priority by which families will be placed on the Wait List and transfer units will be offered. Emergency and administrative transfers will take priority over new admission occupancy. Good cause will be made on a one by three ratio for each new admission. Each type of transfer and priority status is discussed in this section. Families housed under section 5.2 (exception to normal size unit assignment) must comply with specified provisions or emergencies when deemed necessary by authority.

Emergency transfers are necessitated when the unit or building conditions poses an immediate threat to resident life, health or safety, as determined by the authority. Emergency transfers take precedence over new admissions. Emergency transfers may be made to:

- Permit repair of unit defects hazardous to life, health or safety.
- Alleviate verified medical problems of a life threatening nature.
- Protect members of the household from attack by criminal element, upon threat assessment by a law enforcement agency.

Administrative transfers are necessitated when the unit or building conditions pose safety concerns; family circumstances pose hardship or safety concerns, or as a result of over/under housed conditions. Administrative transfers for good cause are mandatory and take precedence over new admissions. Administrative transfers may be made to:

- Remove; to safety residents that have witnessed a crime and are faced with reprisals, victims of hate crimes.
- Alleviate medical problems of serious nature of a resident family that requires a unit with accessible features.
- Permit modernization of units.

## 15.1 Types of Transfers (Continued)

Good cause transfers are made to maintain the occupancy standards of the authority, address hardship conditions of families and to preserve the social and economic balance within communities. Good cause transfers initiated by the authority are mandatory. Good cause transfers do not take precedence over new admissions. For every three new admission a good cause transfer will be completed on a one to three ratio as deemed necessary by the authority. Good cause transfers may be made to:

- Correct over/under housed conditions in accordance with occupancy standards. Family must be in residency at least two years from the initial date of occupancy for placement on the transfer list.
- Correct and avoid concentrations of economically or socially deprived families or eliminate pockets of segregation.
- Address certain hardship conditions of families not related to safety or medical circumstances.
- Address families who have documented a need for reasonable accommodation due to a disability.
- Address Domestic Violence documented by the police department verifying the claim may be considered upon submission of evidence. Family will be required to sign a document stating they will not release the location of their new residence, to the party responsible for the abuse and threats. As a result, if the aforementioned occurs the family will not be eligible for a second transfer if it is determined that the family was responsible for the release of their whereabouts.
- Other good cause transfers may be made at the discretion of the HHA.

Incentive transfers are requested or offered to residents who have exemplary rental histories. Incentive transfers take precedence over new admissions for scattered site and recently modernized units. New admission will not be housed directly into a scattered site unit. Incentive transfers into recently modernized units will be conducted on a three to one ratio for each new applicant.

Resident requests for incentive transfers must be made to the site manager. The site managers may also make recommendation for incentive transfers, should such a designated unit become available. In order to be considered for an incentive transfer the following conditions must be met:

- Resident in good standing in a public housing unit for at least three consecutive years.

### **15.1 Types of Tranfers (Continued)**

- No repayment agreement of unpaid balance for the last two years.
- No history of neighborhood disturbance that resulted in corrective action or lease violation.
- Good housekeeping record

The above criteria are applicable to all transfer.

## 15.2 Processing Transfer Requests

All transfer requests are placed on a Master Transfer Wait List by development by priority (i.e., Emergency, Administrative or Good Cause). Within each priority type, assignment is made in order of the date the request was initiated. Residents are notified in writing of transfer determinations. Resident transfer requests are screened and verified by the Site Manager. If the request is approved the application for transfer is placed on the transfer Wait List. If an available unit is not available within the development the family may be transferred to another complex at the discretion of the Authority.

The transfer request process may be initiated by:

- HHA staff following an annual or interim re-examination as a result of a change in family composition or other administrative good cause reason.
- HHA staff as a result of housing unit inspection, and as a result of hardship or safety considerations.
- As a result of relocation needs due to modernization or revitalization activity, that includes a transfer offer within or between public housing developments.
- At tenants request when a change in household composition or an acceptable documented medical situation occurs.

A tenant that has received a formal transfer offer is to be given (7) days to move personal belongings. If the transfer has not been completed and keys to the former unit are not returned within the (7) day period, a per diem rent for the former unit will be charged in addition to the charge for their present unit. Keys must be returned to the appropriate Site Managers' Office.

If the tenant refuses a suitable unit offer, the authority may terminate the tenants' lease in accordance with the lease provision. In the case of a resident requested transfer, if the tenant refuses a unit offer, the tenant will be removed from the Wait List and the family will not be permitted to request placement on the transfer list for a period of two years unless change of family composition mandates the transfer.



### **15.3 Eligibility for Transfer**

Unless otherwise required by law (i.e., court ordered transfer), only tenants in good standing are eligible for tenant requested transfer approval. To qualify for good standing, a family must not be delinquent in rent, have demonstrated a pattern of late payments, or not have outstanding charges remaining on its account. The family must not have poor housekeeping habits or have not caused excessive damage to the current unit it occupies.

Tenant eligible for transfers between developments are limited to:

- Families requiring unit size or type changes that do not exist in their current development.
- Accommodating modernization or revitalization activity.
- For alleviating hardships once receipt of documented evidence is reviewed and accepted by the management.
- To address emergency transfer situations.
- To promote achieving desired levels of income mixing.

### **15.4 Extended Family and Scattered Site Transfers**

Members of an extended family (e.g., mother, daughter, granddaughter, etc.) may not be separated into two dwelling units through the transfer application process. However, separation of extended families into two units may proceed as a result of a modernization or revitalization “relocation to transfer”. Specific family members may apply for a separate unit using the new admissions application process. Members of such a family are not eligible for a preference status.

In filling vacancies for scattered site units, transfers will take precedence over new admissions.

## **15.5 Suspension of Transfer Wait List**

The HHA reserves the right to revise or suspend its transfer policy because of its efforts to increase or decrease vacancies, or other management initiatives. Transfer requests during times of revision or suspension will be treated on a case by case basis at the discretion of the Executive Director. (Assignments will be reviewed by category and based on time of application.

When the HHA intends to rehabilitate or revitalize a development and such activities require temporary or permanent relocation; a Relocation Plan will be prepared in collaboration with the affected residents. The plan will delineate relocation options, preferences, and timeframes. Such preferences may affect the order of selection for transfer applicants. Any such Relocation Plan in conflict with the transfer policy will serve as an amendment to the HHA transfer policy.

## **16.0 Discretion to Consider Violation Circumstances**

The Authority will use its discretion to consider all of the circumstances in each case of denial/termination. These circumstances will include the following:

- The seriousness of the case.
- The extent of participation or culpability of individual household members.
- The effects of denial or termination of assistance on other household members who were not involved in the action or inaction in question.

Additionally, the Authority will attempt to identify the members of the household who participated or culpable, and impose as a condition of continued assistance that these individuals no longer reside in the assisted unit. An interim recertification based on the change of household composition will be completed.

## **16.1 Eviction Procedure**

### **A. Non-Payment of Rent Evictions**

The attached eviction procedures represent the current policies and management methods employed by the Hartford Housing Authority to evict tenants from public housing. From time to time, it may be necessary to add or modify certain procedures. Effort will be made to develop these changes in cooperation with the Hartford Tenants Rights Federation, Superior Court Housing Session and management personnel.

The Authority will make every effort to work with the tenants to resolve any obstacles to the tenant's fulfillment of their rental payment obligations under the terms of their leases.

If a tenant believes he/she has special circumstances or needs which prevented them from paying their rent, they should contact their Hartford Tenants Rights Federation representative before they are served with any eviction papers. Every effort will be made to work with the Federation and the tenant to address the particular circumstances of the tenant.

In the absence of an agreement with the Hartford Tenants Rights Federation the following is a general outline of the steps to be taken and time frame for evictions of tenants who have not paid their rent.

The times given are only approximate because the specific dates will vary from month to month.

## **16.1 Eviction Procedure (Continued)**

The Rent Collection office is located at 180 Overlook Terrace, Hartford. The office hours are Monday, Tuesday, and Wednesday from 8:30 a.m. to 12:00 p.m., Thursday and Friday from 1:00 p.m. to 4:30 p.m. through the 10<sup>th</sup> of each month. The mail slot is open 24 hours a day every day. After the termination date on the Notice to Quit, all payments made to the Authority must be made by money order, cashier's check or certified check. No cash payments will be accepted.

## 16.1 Eviction Procedure (Continued)

AT ANY STAGE IN THE PROCESS, ANY TENANT MAY PAY ALL THAT IS DUE.

Step 1. On or about the 11<sup>th</sup> day of any given month, the termination notices are to be prepared.

Step 2. The sheriff is to serve the termination notices on or about the 15<sup>th</sup> day of the month.

Step 3. Between the time the notices are served and the vacate date (near the end of the month) check to see if any payments have been made.

Step 4. Within two (2) days of the termination date all writs will be prepared.

Step 5. Approximately the first of the month the sheriff will serve the writs. The writs will be returnable on or about the 15<sup>th</sup> of the month.

Step 6. Tenants will have approximately eight (8) days to come and pay all that they owe: past month's rent, current month's rent and costs.

Step 7. If no payments have been made, the writs will be returned to court four (4) days before the return date.

Step 8. The case will be withdrawn if the tenant pays all rent, fees and charges to this point unless the tenant is a repeat offender, has other outstanding debts to the Hartford Housing Authority or within the last six months has received written notice of an adverse decision against them, which has not been overturned by a grievance hearing. If a tenant has had a stipulated judgment entered into court at least once in any 12 month period. The tenant shall be considered a repeat offender.

Step 9. At this time the tenants may enter into a written stipulations (agreements) to pay off all that they owe. The stipulations will be the same for all tenants. The tenant must pay their average in full within three months of the time the stipulation is entered.

Step 10. If no stipulation is entered into, the attorney will proceed to obtain judgment. If a tenant wants to pay after a judgment is obtained, the attorney will reopen the case provided the tenant pays any court fee for reopening the judgment and enter into a stipulation as provided for in Step 9. If other problems exist as defined in Step 8., HHA is not obligated to reopen the judgment and enter into a stipulation.

Step 11. If a tenant defaults on a stipulation, the accounting department will prepare an affidavit to enable the attorney to obtain execution on the judgment.

## **16.1 Eviction Procedure (Continued)**

Step 12. After judgment is rendered and/or the tenant has defaulted on a stipulation the attorney will obtain an execution to the tenant.

Step 13. The sheriff and the property manager will, at least three (3) days prior to the actual eviction, physically go to the tenant and deliver a HHA notice notifying the tenant of the day the sheriff is coming to evict. The Systems Management Department will verify the name on the lease as the same name on the execution. The accounting department will verify the amount the tenant owes.

Step 14. The day before the sheriff is to evict the tenant he will verify with the accounting department that no changes have occurred and prepare the movers.

Step 15. Sheriff evicts the tenant.

### **The Schedule of Charges for Eviction Services**

The charges imposed on tenants for the eviction procedure are sheriff's fees, attorney's fees, court costs, and Authority's fees. The sheriff's fees will not exceed the specified statutory rates. Court costs and attorney's fees will be based upon the actual cost to the Authority. The Authority will impose a \$20.00 late fee for rental payments that are made after the tenth of the month. Tenants will also be charged a return check fee, which is the actual cost imposed upon the Authority by the servicing financial institution.

## **16.1 Eviction Procedure (Continued)**

### **B. Serious Nuisance Evictions**

For the purposes of this section “serious nuisance” means (A) inflicting bodily harm upon another tenant or the landlord, or threatening to inflict such harm with the present ability to effect the harm, and under circumstances which would lead a reasonable person to believe that such threat will be carried out; (B) substantial and willful destruction of part of the dwellings; (C) immediate and serious danger to safety of other tenants or the landlord; or (D) using the premises for prostitution or the illegalsale of drugs.

If Management determines that serious nuisance activities of the type described above are involved, s (he) will document this, prepare a memorandum to the Deputy Director of Operations, and make an appointment to discuss the situation to which s (he) will bring the memorandum, documentation and the tenant file.

If the Deputy Director of Operations concurs, s (he) will so recommend eviction to the Executive Director, and if approved, the tenant file will be sent by the Deputy Director of Operations to the Authority’s attorney, requesting that eviction be commenced.

The tenant is not entitled to the use of the Grievance Procedure to forestall an eviction under serious nuisance.

### **C. All Other Evictions**

In all other cases of actions by the tenant covered under this Procedure, the Housing Coordinator and staff shall attempt to hold a conference with the tenant, where adequate written notice is given, and the Hartford Tenants Rights Federation is notified of the conference date.

If the matter cannot be settled at the conference to the satisfaction of management, or the tenants fails to attend the conference without notice, Management shall send the tenant a certified letter, with a copy to the Hartford Tenants Rights Federation.

If the problem is not corrected to Management’s satisfaction within the 15day period, Management will schedule a meeting with the Deputy Director of Operations and bring a memorandum recommending the eviction, along with the tenant file and all documentation. If the Deputy Director of Operations concurs, s (he) will recommend eviction to the Executive Director, and if approval is given, he will transmit all materials to the Housing Authority Attorney, requesting that eviction commence.

## **16.2 Termination Due to Ineligible Immigration Status**

The termination of families who are participants in the low income housing program as of June 19, 1995, and are subject to termination due to ineligible immigration status of all members of the family, or because a “mixed” family chooses not to accept proportion of assistance, may be temporarily deferred for up to three years to provide the family time to make a transition into low income affordable housing. The Authority will not delay, deny, or terminate assistance to an applicant because of immigration status until the primary and secondary hearings are completed. If the assistance contract is denied or terminated, the Authority will follow the procedures established in this Section. Deferral will be granted in six-month increments. The family will be notified in writing at least 60 days in advance of the expiration of the deferral period. Termination will not be deferred if granting another deferral that will result in an aggregate deferral of more than three years or a determination has been made that other affordable housing is available.

## **16.3 Termination Due to Abandonment**

“Abandonment” means the occupants have vacated the premises without notice to the Authority and do not intend to return, which intention may be evidenced by the removal by the occupants or their agent of substantially all of the possessions and personal effects from the premises and either (1) nonpayment of rent for more than two months or (2) an express statement by the occupants that they do not intend to occupy the premises after a specific date.

If all the occupants abandon the dwelling unit, the Authority may send notice to each occupant at their last-known address both by regular mail, postage prepaid, and certified mail, return receipt requested, stating that (1) the Authority has reason to believe that the occupant has abandoned the dwelling unit, (2) the Authority intends to reenter and take possession of the dwelling unit unless the occupant contacts the Authority within ten days of the receipt of the notice, (3) if the occupant does not contact the Authority the Authority intends to remove any possessions and personal effects remaining in the premises and to rerent the premises, and (4) if the occupant does not reclaim such possessions and personal effects within thirty days after the notice, they will be disposed of as permitted by this section. The notice shall be clear and simple language and shall include a telephone number and a mailing address at which the Authority can be contacted. If the notices are returned as undeliverable, or the occupant fails to contact the Authority within ten days of receipt of the notice, the Authority may reenter and take possession of the dwelling unit, at which time any rental agreement or lease still in effect shall be deemed to be terminated.

The Authority shall inventory any possessions and personal effects of the occupant in the premises and shall remove and keep them for not less than thirty days. The occupant may reclaim such possessions and personal effects from the Authority within said thirtyday period. If the occupant does not reclaim such possessions and personal effects by the end of said thirtyday period, the Authority may dispose of them, as the Authoritydeems appropriate.



## **16.4 Record Keeping**

A written record of every termination and/or eviction will be maintained by the Site Management Office, and contain the following information:

- Name of resident, and number and identification of the unit.
- Date of the Notice of Lease Termination and any other notices required by law to initiate lease termination and eviction.
- Specific reasons for Notice issuance, terms of lease breached and other pertinent facts.
- Date and method of notifying tenant.
- Summaries of any conferences held with the residents, including dates, times, participants and conclusions.

## **16.5 Families Permanently Ineligible**

- Families with members who have been convicted of manufacturing methamphetamine on the premises of an assisted housing unit or project will be terminated.
- Families with members registered as sex offenders will be terminated.

## **17.0 Informal Review for Applicant / Informal Hearing Procedure for INS**

## **Informal Review For Applicants**

The informal review applies to applicants and focuses on factors related to eligibility and admissions.

If the authority notifies the applicant that they do not meet the established criteria for eligibility and admissions the applicant may request an Informal Review. The applicant must outline and submit in writing the reason(s) for such a request.

Reasons for requesting an informal review are limited to the following:

- Denying placement on the Wait List.
- Denying participation in any Public Housing Program.
- Denying a preference in determining the eligibility for the Public Housing Program.

The HHA is not required to provide an opportunity for informal review for the following:

- To review discretionary administrative determinations by the HHA, or to consider general policy issues or regarding applicants.
- To review the HHA determination of the number of bedrooms determined under the standards established by the HHA in accordance with HUD regulations.
- Any crime or drug related criminal activity committed by the applicant.
- Applicant determined to be ineligible due to over-income.

The authority will respond to the applicant within 15 days of receipt of the request for an Informal Review.

## **Informal Hearing Procedures For Denial Of Assistance On The Basis of Ineligible Immigration Status**

The family may request that the Hartford Housing Authority provide for an Informal Hearing after the family has notification of the INS decision on appeal, or in lieu of request of appeal to the INS. The family must make this request within 30 days of receipt of the Notice of Denial or Termination of Assistance, or within 30 days of receipt of the INS appeal decision. After the request is received by the Authority with regard to an Informal Hearing, they will follow the procedures detailed in Section 18.3(G).

## **18.0 Grievance Procedure**

## 1. Definitions

- a. The terms “we”, “us”, and “our” mean the Housing Authority of the City of Hartford.
- b. The terms “you” and “your” mean the adult person(s), other than live-in-aides, who reside in the unit, and who executed the lease with us as lessee of the dwelling unit; if no such person resides in the unit, the remaining adult of the household members whose rights, duties, welfare, or status are or may be adversely affected by our act or failure to act. If you file a grievance with us, you are a Complainant.
- c. The term resident organization means the Hartford’s Tenant Rights Federation or any similar organization to benefit the tenant’s rights or resident management corporations.
- d. Grievance means a dispute with respect to our act or failure to act as required by the lease. It also means a dispute as to interpretation or application of our regulations or policies.
- e. Proposed adverse action means any of the following proposed decisions by us concerning you:
  - (1) A proposed decision to require you to move to another dwelling unit;
  - (2) A proposed decision determining:
    - (A) The amount of the Tenant Rent payable by you to us or the amount of utility reimbursement by you to us;
    - (B) The amount of HHA charges in addition to Rent or;
    - (C) The amount you owe us for Tenant Rent or HHA charges;
  - (3) If we do not conduct a recertification of Family income and composition:
    - (A) For more than a year after the last recertification, or
    - (B) After receiving information concerning a change in Family income or composition between regularly scheduled recertifications;
  - (4) A proposed decision to take other specific, concrete, and affirmative individualized action contrary to your interests;
  - (5) Any alleged failure by us to take individualized action requested by you.
- f. Deadline means that we must receive your grievance within fifteen (15) calendar days of your receipt of your notice of proposed adverse action. We may grant you an exception from the deadline if we determine that the exception is justified by individual circumstances.

## 18. Grievance Procedure (Continued)

### 1. When Opportunity for Hearing Available

- a. You shall have an opportunity for a hearing on any proposed adverse action by us, as defined in Section 1.
- b. You will not have an opportunity for a grievance hearing if the Authority elects to terminate your tenancy or evict you for serious nuisance as defined in Connecticut Law.
- c. Action or failure to act by us concerning general policy issues which apply to a broad group or groups of tenants (including determinations of the HHA's schedules of fees or utility allowances) does not constitute adverse action by us, and we are not required to provide the opportunity for a hearing to consider such issues or grievances.

2. **Notice of Proposed Adverse Action**

- a. We shall give you written notice of a proposed adverse action. The notice shall:
  - (1) Contain a specific statement which describes the proposed adverse action and the reasons for the proposed adverse action;
  - (2) State that you may request a hearing under our grievance procedures;
  - (3) State how to request a hearing and the deadline for requesting a hearing.

3. **Filing of Grievance**

- a. **If we take any adverse action against you as defined in (1d) above and you are not satisfied with our action, you, your lawyer or other representative may file a grievance.**
- b. Your grievance must be in writing and state:
  - (1) (A) What you object to and why;
  - (1) (C) What action you request HHA to take.
  - (2) You must sign your grievance.
  - (3) You must file your grievance before the deadline, which is fifteen (15) calendar days after notice of our proposed adverse action, which is the basis of the grievance.
  - (4) You or your representative must file your grievance in person, if possible at the management office of the project where you live or at our office at 475 Flatbush Avenue, Hartford. If you cannot deliver your notice in person, you may mail your grievance, certified mail return receipt requested.
  - (5) You shall keep a copy of your grievance. You must request that the HHA date stamp all copies of your grievance when we receive them.

**18.0 Grievance Procedure (Continued)**

- (6) You should discuss the grievance informally with us, to try to settle it without a hearing.

- (7) If you do not request a hearing in the time allowed in Paragraph 4. b (3), you will waive your right to a hearing. Our decision as stated in our answer will become final but you will not have waived any rights to contest our decision in court.

5. **Continued Payment of Rent**

- a. You must pay us the full amount of your rent and continue to make such payments promptly until completion of the grievance hearing.

Failure to make escrow deposit of your rent will terminate the grievance procedure.

No escrow deposit will be required in order to access the grievance process by a family seeking a hardship exemption (see # 8.13 E)

- b. If you are disputing an increased rent, you must pay the amount of your old rent in effect before the notice of increase until a decision has been made on your grievance.
- c. If you are disputing charges other than rent, you will not be denied an opportunity for a grievance hearing because you have not paid the disputed charges in full, but you must file for a grievance hearing within fifteen (15) calendar days of receipt of the notification letter of the charge.
- d. Any increase or decrease will be retroactive to the date of notice of increase.
- e. There will not be any hearing fees or cost imposed upon you.

6. **Right to a Hearing**

- a. **If you file a written request as set forth in paragraph 4.b above, you are entitled to a hearing. The hearing will be held before the hearing officer.**

7. **Requesting a Grievance Hearing**

- (1) We shall deliver or mail to you a written answer to your grievance in a reasonable time, generally fifteen (15) calendar days. We shall file a copy with your grievance in our office. The answer will be dated and signed.

**18.0 Grievance Procedure (Continued)**

- (2) The answer will state:

- (A) Our decision as to what we propose to do about your grievance, and our reasons;
- (B) Your right to a hearing;
- (C) The steps you must take to obtain a hearing;
- (D) Your right to have a lawyer or other representative at the hearing.

## 8. **The Hearing Officer**

- a. A hearing under the grievance procedure shall be conducted by a person or persons designated by us who may be our employee or officer.
- b. The hearing officer shall be someone other than the person who made or approved the decision for the proposed adverse action under review or a subordinate of such person.
- c. The hearing officer may regulate the conduct of the grievance hearing in accordance with our grievance procedures.
- d. We shall consult the resident organization before appointment of each hearing officer or panel member. Any comments or recommendations submitted by the tenant organizations shall be considered by us before the appointment.
- e. At your own expense, you may be represented at the hearing by a person of your choice.

## 9. **The Hearing**

- a. We shall proceed with the hearing within fifteen (15) calendar days and in accordance with our grievance procedures.
- b. The hearing must be a fair hearing. Any party may be represented at the hearing by an attorney or other person.
- c. The hearing shall be private unless you request that it be public, but any person who has valid interest in the hearing may attend.
- d. We shall consult the resident organization before appointment of each hearing officer or panel members. Any comment or recommendations submitted by the tenant organizations shall be considered by us before the appointment.

## 18.0 **Grievance Procedure (Continued)**

- e. Before the hearing, you may, at your expense, inspect and copy all of our documents, records, and regulations regarding your grievance. If you asked us to make a document available to you before the hearing, and we did not do so, we cannot use the document at the hearing. You may, at your own expense, make a record of the hearing by

stenographer or other means.

- f. If you fail to appear at a grievance hearing, the hearing officer may postpone the hearing for five (5) business days. The hearing officer may also find that you waived your right to a grievance hearing.
- g. You may present evidence and arguments in support of your grievance. You may contest all evidence on which we rely. You may cross examine each witness on whose testimony we rely. The hearing officer shall receive all oral and written proof which applies to the grievance without regard to the rules of evidence for judicial proceedings.

#### **10. Decisions of the Hearing Officer**

- a. The hearing officer shall base his decision only on facts presented at the hearing, and on HHA, HUD and State regulations. We will be obligated to follow the decision of the hearing officer if it is consistent with the law, the Annual Contributions Contract, State regulations and to the extent set forth in Paragraph f below.
- b. We may agree to a stipulated decision. If so, we shall submit it in writing to the hearing officer.
- c. The hearing officer shall prepare his written decision, which shall include his findings and conclusions. The decision shall also state the reasons for his findings and conclusions. The hearing officer shall mail or deliver copies of the decision to you, your representative, and HHA within fifteen (15) calendar days of the grievance hearing.
- d. We shall keep the decision in our files. We shall delete all names and identifying references. We will make the decision available for a prospective complainant or his representative to inspect.
- e. We will keep on file any court decision, or related settlement arising out of the hearing officer decision. We will make this available for inspection.
- f. We will not follow the hearing officer's decision if our Board of Commissioners determines:
  - (1) The decision concerns a matter for which an administrative grievance hearing is not required;
  - (2) The hearing officer acted arbitrarily or exceeded his authority;

#### **18.0 Grievance Procedure (Continued)**

- (3) The decision is contrary to HUD or state regulations or requirements, or otherwise contrary to Federal, State or local law.
- g. If our Board of Commissioners so determines that it will not follow the decision of the

hearing officer, they will promptly notify you in writing in thirty (30) days of the determination, and of the reasons for the determination.

11. **Tenant Non-Use of Grievance Process**

- a. Your failure to use the grievance procedures will not remove any right(s) that you may have to a court hearing on your grievance.

12. **Accommodation of Persons with Disabilities**

- a. We shall provide reasonable accommodation including qualified sign language interpreters, readers, accessible locations or attendants for persons with disabilities to participate in the hearing. If you are visually impaired, any notice to you will be in an accessible format.



## 19.0 Decision of The Hearing Officer

The Hearing Officer shall prepare a written decision, together with the reasons therefor, within fifteen (15) calendar days after the hearing. A copy of the decision shall be sent to the resident and the HARTFORD Housing Authority. The Authority shall retain a copy of the decision in the resident's folder. A copy of such decision with all names and identifying references deleted shall also be maintained on file by the HARTFORD Housing Authority and made available for inspection by a prospective complainant, his or her representative, or the Hearing Officer.

The decision of the Hearing Officer shall be binding on the HARTFORD Housing Authority who shall take all actions, or refrain from any actions, necessary to carry out the decision unless the HARTFORD Housing Authority's Board of Commissioners determines within reasonable time, and promptly notifies the complainant of its determination, that:

- A. The grievance does not concern HARTFORD Housing Authority action or failure to act in accordance with or involving the resident's lease or Authority regulations, which adversely affect the resident's rights, duties, welfare or status;
- B. The decision of the Hearing Officer is contrary to applicable Federal, State, or local law, Authority regulations, or requirements of the Annual Contributions Contract between the Authority and the U.S. Department of Housing and Urban Development.

A decision by the Hearing Officer in favor of the HARTFORD Housing Authority or which denies the relief requested by the resident in whole or in part shall not constitute a waiver of, nor affect in any manner whatsoever, any rights the resident may have to a trial do novo or judicial review in any judicial proceedings, which may thereafter be brought in the matter.

It is the policy of the Authority that all tenants are treated fairly, and have the benefit of all protections that are granted to them under Federal, state and local laws. This chapter describes the Authority's policies and procedures concerning the hearing process. It discusses tenant notification, procedures for filing hearings, the hearing process; consequences for failing to appear for scheduled hearings, and accommodations for the handicapped.

Grievance procedures deal with program participants and focus on factors related to continued participation in the program and level of assistance. The grievance procedure is incorporated by reference in all tenants dwelling leases. The grievance procedure is in place to provide tenants with due process before eviction from a dwelling unit. However, the HHA excludes tenant grievances concerning a termination of tenancy or eviction that involves:

- If the authority determines that it has reasonable cause to believe that the person's abuse or pattern of *abuse of alcohol* may interfere with the health, safety or rights to peaceful enjoyment of the premises by other residents or employees.

## **19.0 Decision of The Hearing Officer (Continued)**

- Any drug-related criminal activity on or near the HHA premises.

Refer to the HHA Grievance Procedure to notify the HHA of a grievance.

## **20.0 Accommodations For Persons with Disabilities**

The Authority will provide reasonable accommodations, including accessible locations or attendants for persons with disabilities to participate in the hearing. If the applicant or tenant is visually impaired all notices and pertinent documents will be provided in an accessible form.

## **21.0 Recordkeeping, Posting And Revisions**

In accordance with its consolidated ACC, the HHA must maintain complete and accurate accounts and other records for the program in accordance with HUD requirements, in a manner that permits a speedy and effective audit. In addition, the Authority must furnish to HUD accounts and other records, reports, documents and other information as required by HUD.

The HHA will maintain the following information for at least three years:

- Records that provide income, racial, ethnic, gender, and disability status data on program applicants and participants.
- An application from each eligible/ineligible family.
- Unit inspection reports.
- Lead-based paint inspection records.
- Latest reexamination forms.
- Income verification forms.
- Copies of relevant correspondence.
- Interim re-examination forms and related records.
- Records concerning denial of assistance, or termination of assistance.
- All Authority records and determinations concerning informal reviews or hearings affecting an applicant.
- Applicable records supporting and documenting the eviction process.
- List of families that have been evicted.
- Family Service Record that identifies all agreements the Authority has had with the family or others on behalf of the family, information and support services supplied at the briefing session(s), referrals provided, and response to complaints or requests for assistance.

## **21.0 Recordkeeping, Posting And Revisions (Continued)**

The Authority will develop internal statistical reports and other program data that reflect program statistics on a current basis. This report should include both current and cumulative statistics for the following:

- Percentage of minority families assisted.
- Percentage of single-parent head-of-household families assisted.
- Percentage of assisted families on welfare.
- Percentage of assisted families with earned income.
- Percentage of assisted families who have been evicted.
- Percentage of assisted handicapped or disabled families.
- Percentage of assisted elderly families.
- Number of families who applied for the program but were not assisted.
- Number of units accessible to non-elderly handicapped or disabled assisted families.
- Number of assisted families in each census tract (or enumeration district) in the Authority's jurisdiction.
- Number of families receiving disciplinary action of program abuse.

### **21.1 Posting Requirement**

All schedules of special charges, and associated rules and regulations are subject to change by the HHA. In accordance with 24 CFR 966.5, the HHA will give at least a 30 day written notice to each affected tenant setting forth the proposed modification, the reason therefor, and provide the tenant an opportunity to present a written comment that will be taken into consideration by the HHA prior to the proposed modification to become effective. Notice will be considered given if the HHA (1) delivers the notice directly or through the mail to each affected tenant (including on the monthly rent bill); or (2) posts such a notice in three conspicuous places within each structure or building in which the affected dwelling units are located as well as within the Site Office.

## **22.0 PET POLICY**

### **22.1 PETS IN PUBLIC HOUSING**

The Hartford Housing Authority will allow for pet ownership in its developments with written pre-approval of the Housing Authority. Residents are responsible for any damage caused by their pets, including the cost of fumigating, or cleaning their units. In exchange for this right, resident assumes full responsibility and liability for the pet and agrees to the Hartford Housing Authority harmless from any claims caused by action or inaction of the pet.

### **22.2 EXCLUSIONS**

This policy does not apply to animals that are used to assist persons with disabilities. assistive animals are allowed in all public housing facilities with no restrictions other than those imposed on all tenants to maintain their units and associated facilities in a decent, safe, and sanitary manner and to refrain from disturbing their neighbors.

### **22.3 Types and Number of Pets**

The Hartford Housing Authority will allow only common household pets such as domesticated dogs, cats, birds, rodents and fish in aquariums in units. All pets must be spayed and neutered.

- ◆ Only one (1) pet per unit allowed.
- ◆ Pit bulls or any other animal deemed to be potentially harmful to the health or safety of others will not be allowed.
- ◆ Reptiles are not allowed except turtles.
- ◆ No animal may exceed forty (40) pounds in weight.

### **22.4 Approval**

Residents must have the prior written approval of the Housing Authority before moving a pet into their unit. Residents must request approval on the Dog Registration Form that must be fully completed before the Housing Authority will approve the request. The form must be accompanied with:

## **22.4 Approval (Continued)**

- ◆ A picture of the dog.
- ◆ A current dog license. Proof of annual physical examination performed by a license veterinarian.
- ◆ Inoculation record.

## **22.5 Inoculations**

In order to be registered, pets must be appropriately inoculated against rabies and other conditions prescribed by local ordinances.

## **22.6 Pet Deposit**

- ◆ The Hartford Housing Authority may or will require a refundable pet deposit in the amount of one months rent for residents under 62 years of age. The Housing Authority may use the pet deposit only to pay reasonable expenses directly attributed to the presence of the pet in the development, including but not limited to, the costs of repairs, replacement, fumigation of the unit, etc.
- ◆ Senior citizens will be exempted from the requirement of this section.

## **22.7 Financial Obligation of Residents**

Residents shall pay for the costs of repairs, any and all damages caused by the pet to the building, grounds, flooring, trim, finish, tiles, carpeting, and other appurtenances. If damage is in the nature of stains or chemical requiring the removal of stains and such damage cannot be restored to the original condition, residents shall pay the full cost and expense of replacing the item.

## **22.8 REMOVAL OF PETS**

If in the judgment of the Hartford Housing Authority the pet has become objectionable by reason of noises, odor, animal waste, barking and damage to the building or apartment, the Authority at its discretion at any time require residents to remove the pet from the premises.

## **22.9 RULES AND REGULATIONS**

- ◆ Pets must be kept in the owner's apartment or on a leash at all times when outside (no outdoor cages/dog houses may be constructed).

## 22.9 RULES AND REGULATIONS (continued)

The pet and its living quarters must be maintained in a manner to prevent odors and any other unsanitary conditions in the owner's unit and surrounding areas.

- ◆ The pet owner must feed, bath and care for his/her dog in accordance to established anti cruelty laws.
- ◆ Pets shall not be allowed out of the apartment unless in the custody of an adult resident and on a leash. If the pet is a bird, it shall be caged at all times.
- ◆ Pets will be allowed only in designated areas on the grounds of the projects.
- ◆ Pets shall under no circumstances be permitted on the playgrounds, swimming areas, recreational facilities, community rooms, building hallways or tied to a clothes line poles or window grills.
- ◆ Pet owners must clean up after their pets and are responsible for disposing of pet waste.
- ◆ Residents must take appropriate action to protect their pets from fleas and ticks.
- ◆ All dogs must wear a tag bearing the residents' name, phone number and date of the latest rabies inoculation.
- ◆ Pets cannot be bred or used for any commercial purpose.
- ◆ A pet owner must physically control or confine his/her pet during the times when
- ◆ Housing Authority employees, agents of the Housing Authority or others must enter the pet's owner apartment to conduct business, provide services, enforce lease terms, etc.
- ◆ Residents owning cats shall maintain waterproof litter boxes for cat waste. Refuse from litter boxes shall not accumulate or become unsightly or unsanitary. Litter shall be disposed of in an appropriate manner.
- ◆ If a pet causes harm to any person, the pet's owner shall be required to permanently remove the pet from the Hartford Housing Authority property within 24 hours of written notice from the Housing Authority. The pet owner may be subject to termination of his/her dwelling lease.



## **22.9 RULES AND REGULATIONS (continued)**

- ◆ A pet owner who violated any other conditions of this policy may be required to remove his/her pet from the development within 10 days of written notice from the Hartford Housing Authority. The pet owner may also be subject to termination of his/her dwelling lease.
- ◆ In the event of illness or death of pet owner, or in case of emergency, which would prevent the pet owner from properly caring for the pet, the Hartford
- ◆ Housing Authority has permission to call the City of Hartford Animal Control Officer to take the pet away from the premises. The pet owner assumes all responsibility for all expenses incurred.

## **22.10 Visiting Pets**

Pets that meet the size and type criteria outlined above may visit the projects/buildings where pets are allowed for up to two weeks without Hartford Housing Authority approval. Tenants who have visiting pets must abide by the conditions of this policy regarding health, sanitation, nuisances, and peaceful enjoyment of others. If visiting pets violate this policy or cause the tenant to violate the lease, the tenant will be required to remove the visiting pet.

## **23.0 Computer Matching for Income Discrepancy Requirement**

Public Housing Authorities are required in accordance with the Privacy Act of 1971 to review and submit quarterly reports on discrepancies being identified by the U.S. Department of Housing And Urban Housing Development (HUD) through computer matching. The Public Housing entities will receive information with regard to income discrepancies that appear between the information reported through MTCS the Internal Revenue Service and Social Security Administration. The authority will not receive the amount of the discrepancy of income but only notification that a discrepancy exists. The law does not permit that information submitted to the IRS be released to anyone but the person reporting the data. Public Housing Authorities are required to submit on a quarterly basis.

Please note even if the tenant vacated the authority must follow through on recovering the rental assistance. The Income Discrepancy Resolution Guide will assist you in understanding HUD's new requirements in addition to providing sample letters and calculation discrepancy forms needed to establish the over-payment of rental assistance the tenant received.

### **Programs affected will be as follows:**

- PHA Public Housing
- Section 8 Tenant-Based
- Section 8 Project Based
- Rent Supplement
- Rental Assistance Program
- 202 and 811 Project Rental Assistance Contract
- 202/162 Project Assistance Contract

## **23.1 Computer Matching Process**

Residents of public housing will receive from the Real Estate Assessment Center (REAC) notification of income discrepancies. The authority is therefore required to contact the resident forty (40) days of receipt of the income discrepancy letter via telephone or by letter and document the resident file. To assist the authority HUD has provided a 1-800-298-0289 that the resident may telephone for translation, the resident will hear a recorded translation of the discrepancy letter and the fact sheet describing HUD's income verification program.

The authority will receive notification of the income discrepancy letters sent to tenants by REAC. Notifications of tenant income discrepancies are sent in the form of a list, by development.

Residents are required to telephone their manager and make arrangements to present themselves at the manager's office with the correspondence received from the Real Estate Assessment Center (REAC).

## **23.1 Computer Matching Process (Continued)**

The Managers must review the list of names and establish if each tenant listed is still in residence, therefore in receipt of rental assistance. The following information must be reviewed:

- Head of Household Name and SSN;
- Tenant Name and SSN;
- Total annualized tenant income computed by HUD based on data in MTCS or TRACS

The information collected from these updates will be used by REAC to determine if the authority has complied with the following:

- Resolving income discrepancies and identifying false positive discrepancies;
- Identifying excess rental assistance;
- Recovering excess rental assistance; and
- Terminating assistance for those tenants who fail to report.

Managers must verify the tenants that have vacated since the tenant is no longer in receipt of Rental Assistance. Tenants that have vacated must be identified as a false/ Positive.

If the resident does not telephone the authority to schedule an appointment within forty (40) days from the date of notice then the Manager is to contact the TASS Technical Assistance Center at 1-888-708-TASS (8277) to request that REAC send a second letter. The PHA is required to verify the address of the resident in question when placing the call to TASS. A second letter will be sent to the resident within one week of the call being received by TASS. If after forty days has elapsed from the date of the second letter sent and the resident fails to contact the authority then the authority must then place a third telephone call to TASS for a third letter to be sent. The third letter is sent via certified mail. If after the third letter the resident does not respond the authority is to send a letter to the Head of Household requesting disclosure of the letter. The authority must indicate that three letters were sent by REAC to one or more family members with regard to their rental assistance and that they were directed to contact the authority upon receipt of the letter. The authority must also inform the resident in the letter that termination proceedings will commence if they do not contact the authority within one week.

If the tenant again states that they did not receive the third letter the tenant must come in for a meeting to complete IRS forms 4506 and 8821. This form will permit the authority to receive the tenant's tax return.

If the resident does not respond after receiving the letter from the authority the Authority must send the resident a letter stating the following.

- Inform resident that they did not attend the scheduled meeting. Therefore the resident did not comply with the requirement of signing IRS forms 4506 & 8821.
- Notify tenant that termination of their contract will commence within one week.

## **23.1 Computer Matching Process (Continued)**

If the resident complies, and schedules a meeting to resolve the tenant discrepancy then a determination is made as to whether the tenant agrees or disagrees with the notification. The tenant must provide evidence to substantiate their case. Authority staff must check watermark on the notification letter sent to the tenant.

During the meeting the authority must check the notification letter received by tenant to establish the following:

1. verify head of household
2. Tenant name and SSN and
3. Total annualized income computed by HUD based on the data in MTCS and tracs.

Determine whether the discrepancy was a false/positive by reviewing the following information. Upon determining if it is a false/ positive the information must be submitted to HUD REAC Center via the Internet. Please review the following to determine if the Income Discrepancy is a false/ positive:

- Tenant not on housing assistance rolls
- Tenant did not receive assistance for the full match year
- The authority identified the discrepancy prior to HUD notification
- The tenant was not required to report interim increase of income during the match year
- Tenant reported income correctly. Additionally the income reported in HUD's tenant letter was correctly reported during the appropriate/relevant recertification affecting match year rental assistance
- Tenant reported income but the HUD data shown in the notification was incorrect
- Tenant income shown in HUD letter is excluded in calculation based on program requirements. This also includes tenants subjects to market or ceiling rents
- Tenant was required to report changes of income but the difference reported was less \$1,000.
- Income identified in HUD letter was incorrect and the tenant showed proof
- Tenant reported correct income and no discrepancy exist

*Questions that the authority must review to determine the accuracy of the income discrepancy amount or if there exist a discrepancy.*

1. Did the family receive assistance for the entire year or did they move out at any time during the year in question? How many months did the tenant remain in residence for that calendar year?
2. Were any family members removed justifying the reduction of income for that family member within that calendar year?

### **23.1 Computer Matching Process (Continued)**

3. Determine if more than one family member received an income discrepancy letter.
4. Review policy in place to establish if the authority had in place a ceiling or market rent for the year in question.
5. If the tenant vacated in the year in question the authority must establish the actual move out date, since at times the MTCS database is incorrect.
6. Authority must check effective date of re-certification, as it could be possible that the resident was not up for re-certification. This will result in a **false/ positive**. Authority must submit data to HUD on a quarterly basis of the determination of a false/ positive along with an explanation.
7. Does the authority have in place an Interim reporting policy therefore requiring tenant to submit all changes of income.
8. The authority must determine if the income for the year in question encompasses part of the prior years certification. Therefore a monthly calculation must be completed to determine the monthly Rental Payment Assistance.
9. The authority must establish actual date of employment so they can correctly calculate the income for the year in review.

### **Exclusions of Income**

The authority must determine if the tenant was eligible for the exclusions listed below are examples of exclusions of income. Please also review 24 CFR Part 5.609 for additional exclusions of income. In determination of income Discrepancies the following income is not counted to determine the tenant rent:

- **Training Program exception for 18 months;**
- **Lump-Sum additions to family assets, such as inheritances or insurance settlements**
- **Addition to assets;**
- **income received under certain training programs;**
- **Green Thumb program that provides near elderly families or elderly families with employment income is excluded since it is funded with federal funds.**
- **Payments received for the care of foster children**
- **Payments for student financial assistance**
- **Payments received under certain training programs**
- **Payments for adoption assistance**
- **Temporary or sporadic income payments**
- **The income of a live-in Aid (not a family member)**
- **Reimbursements for medical expenses**
- **Income of family members under the age of 18.**

## **23.1 Computer Matching Process (Continued)**

The authority must complete the worksheet (provided in the Income Discrepancy Resolution Guide) along with reviewing Income Discrepancy Letter and third party income verification to establish the monthly amount of rental subsidy the tenant was entitled too. Please note if the amount of the income discrepancy is less than \$ 1,000 for that calendar year then the authority is to report the case as False/Positive as the income is not required to be reported. For calculation information please see pages 15 & 16 of the Income Discrepancy Resolution Guide. Samples of the calculations are provided on pages 13, 14, & 15.

### **Obtaining Repayment of Excess Rental Assistance**

Once the authority determines that a household received excess rental assistance payments they must attempt to recover the over-payment.

Repayment options may include the following:

- Tenant repayment of excess assistance in full;
- Tenant repayment of excess through the use of a repayment agreement;
- Authority may decrease prospective rental assistance without the use of a formal repayment agreement therefore increase the rent to market rent;
- Repayment through legal action (such as garnishment).

### **Current HUD rules permit PHA's to retain 100% of the recovered excess rental assistance of tenants under the Public Housing Program**

### **Initiating Legal Action**

When the tenant refuses to comply by virtue of signing the repayment agreement and or the authority does not increase the rent to the market rent the authority can begin the legal proceedings to obtain payment. **The tenant must be given due process** The authority's decision to pursue legal action may depend on the following factors:

- The likelihood that funds could be recovered;
- The cost to recover funds are not excessive and does not offset the amount of recovery; or
- The willingness of courts to uphold the claim.

If the authority decides to pursue legal action they must contact TASS to request copies of materials related to the receipt or non-receipt of certified mail.

### **23.1 Computer Matching Process (Continued)**

## **Definition of Due Process**

In accordance with the Computer Matching and Privacy Act of 1988, Public Law 100503, no recipient agency, non-Federal agency, or source agency may suspend, terminate, reduce or make a final denial of any financial assistance or payment under a Federal benefit program to such individual, or take other adverse action against such individual as a result of information produced by such matching programs, until an officer or employee of such agency has independently verified such information.

## **Referral to Inspector General**

In cases of egregious abuse the authority can choose to send the case to the inspector general. The following information must be sent along with the request:

- Summary of fraudulent act and how it occurred;
- All relevant applications and certification forms that contain false statements;
- Public records and documents obtained during verification and research efforts that show tenant falsified their application or reexamination forms;
- Potential witness list (to include names, addresses, telephone numbers, and summary of information each has relevant to the case);
- The calculations of fraudulent subsidy received, including comparison of subsidy calculations based on what the tenant reported and what the actual amount of income received;
- A chronology of events and summary by the authority of the efforts made on the case;
- Copies of material related to certified mail sent to tenants as described in the Income Resolution Discrepancy Guide.

## **Initiating Termination of Assistance /Tenancy under Housing Program**

The authority can pursue legal action to terminate tenancy, i.e., evict a tenant, due to material noncompliance which include failure to supply all required household income on a timely basis. If the authority decides to evict they must give the tenant written notice. Please note the Tenant must be given due process.

## **23.2 Computer Matching Reporting Requirements**

The authority is required to submit information with regard to the discrepancy resolution, of each case, via the Internet to the Real Estate Assessment Center (REAC) on a quarterly basis.

## **24.0 Lead Based Paint Introduction**

The purpose of the Lead Based provision 24CFR, Part 35 is to establish procedures to eliminate

as far as practicable lead-based paint hazards in residential property assisted under the U.S. Housing Act of 1937. In addition the provision is to insure that a leadbased hazard does not exist in buildings, which house young children under the age of six.

## **24.1 Lead Based Paint Process**

### **REQUIREMENTS FOR NOTIFICATION, EVALUATION AND REDUCTION OF LEAD BASED PAINT HAZARDS IN FEDERALLY OWNED RESIDENTIAL PROPERTY AND HOUSING RECEIVING FEDERAL ASSISTANCE**

The provisions included in 24 CFR 35, et al will be incorporated to the Admissions and Occupancy Plan for Public Housing and Tenant Based Rental Assistance programs and will be thoroughly adhered to. The following narrative highlights the areas of importance in the regulation.

#### **PUBLIC HOUSING**

##### **PURPOSE:**

The purpose of this regulation is to insure that housing receiving Federal assistance does not pose lead based paint hazard to young children.

##### **BACKGROUND:**

Childhood Lead poisoning causes reduced intelligence, low attention span, reading and learning disabilities and many other adverse health effects. Nearly one million children still have excessive levels of lead in their blood, making lead poisoning a major environmental disease. Lead based paint in housing is the major remaining source of exposure and is responsible for most cases of childhood lead poisoning today.

Title X of the Housing and Community Development Act of 1992 states that a lead based paint hazard is any condition that causes exposure to lead from lead contaminated dust, lead contaminated soil, or lead contaminated paint that is deteriorated or present in chewable surfaces, friction surfaces or impact surfaces that would result in adverse human health effects.

## **24.1 Lead Based Paint Process (Continued)**

There are two methods of evaluating lead based paint hazards or lead based paint.



1. One method, risk assessment includes dust wipe sampling and other environmental sampling to identify lead based paint hazard.
2. The other inspection (or lead based paint inspection) determines only the presence of lead based paint.

## **DISCLOSURE RULE**

The rule requires that, before completing a transaction, sellers and lessors of applicable housing (housing built prior to 1978) must:

1. Provide purchasers and lessees with the lead hazard information pamphlet approved by EPA.
2. Disclose all known information about the presence of lead based paint or lead paint hazards.
3. Provide lessees with any available records or reports pertaining to the presence of lead based paint or lead based paint hazards.
4. Provide lessees a warning statement about the dangers of lead based paint.

## **METHODOLOGY**

1. The Housing Authority must complete a lead based paint inspection for all pre1978 units.
2. If a lead based paint inspection has found the presence of lead based paint, or if no lead inspection has been conducted the Housing Authority must conduct a risk assessment in accordance to the following schedule. Housing built before 1960, risk assessment must be completed by March 15, 2001; housing built after 1959 by March 15, 2002.
3. The five-year funding request plan for CIAP and CGP should be amended to include the schedule and funding for lead based paint activities.
4. Each PHA must abate all lead based paint and hazards identified in the evaluations.
5. The PHA must abate lead-based paint and hazards in accordance with 35:1325 during the course of physical improvements under the modernization.

### **24.1 Lead Based Paint Process (Continued)**

6. Interim control of dwelling units in which any child who is less than 6 years of age resides and common areas servicing those dwelling units shall be completed within 90 days after the

completion of the evaluation conducted under 35.1115.

7. Interim control of dwelling units not occupied by families with one or more children under 6 years of age, common areas servicing those dwelling units shall be completed within 12 months after the completion of the evaluation conducted under 35.1115.
8. Properties built before 1978 and propose to be acquired for a family project; a lead based paint inspection and risk assessment will be conducted in accordance to 35.1320. If lead is found, abatement is required before occupancy.
9. The provisions of 35.1120 relative child with an environmental intervention bloodlevel will be thoroughly adhered to by the Housing Authority

## **TENANT BASE RENTAL ASSISTANCE**

### **PURPOSE:**

Establish procedures to eliminate as far as practicable lead based paint hazards in housing occupied by families receiving tenant base rental assistance

### **APPLICABILITY:**

The regulation only applies to dwelling units occupied or to be occupied by families or households that have one or more children of less than 6 years of age, common areas servicing such dwelling units, and exterior painted surfaces associated with such dwelling units.

### **NOTICES AND PAMPHLETS:**

1. The owner shall notify resident in cases where evaluation and paint stabilization is undertaken.
2. The owner must provide the lead hazard pamphlet in accordance with 35.130.

## **24.1Lead Based Paint Process (Continued)**

### **INITIAL AND PERIODIC INSPECTIONS:**

1. Visual assessment for deteriorated surfaces in accordance to HUD procedures will be conducted of all painted surfaces to identify deteriorated paint.
2. The owner must stabilize each deteriorated-painted surface in accordance to 35.1330.
3. If unit is occupied the stabilization efforts must take place within 30 days.
4. The owner must provide notice to occupants in accordance with 35.125. The owner must
5. Disclose the results of the clearance inspection
6. Owner must continue maintenance activities under 35.1355.
7. The provisions of 35.1225 relative child with an environmental intervention blood level will be thoroughly adhered to by the Housing Authority.

## **24.2 Lead Based Paint Reporting Requirements**

The authority is required to report the name and address of a child identified as having environmental intervention blood level to the Public Health Department in addition to reporting the information to the HUD field office.

### **ACRONYMS**

ACC Annual Contributions Contract

CFR Code of Federal Regulations

FSS Family Self Sufficiency (program)  
HCDA Housing and Community Development Act  
HQS Housing Quality Standards  
HUD Department of Housing and Urban Development  
IHA Indian Housing Authority  
INS (U.S.) Immigration and Naturalization Service  
NAHA (Cranston-Gonzalez) National Affordable Housing Act  
NOFA Notice of Funding Availability  
OMB (U.S.) Office of Management and Budget  
PHA Public Housing Agency  
QHWR Quality Housing and Work Responsibility Act of 1998  
SSA Social Security Administration  
TTP Total Tenant Payment

## ADMINISTRATIVE PLAN UPDATE LOG INSTRUCTION

The Administrative Plan Update Log is a tool that will allow the user to determine if the Administrative Plan is current, and when the last update was completed. It has been designed to provide the user with specific information including the update number, a description of the changes, the page (s) that were changed, the source document that authorized the change, the effective date and duration of the change, and Board resolution number that amends the Administrative Plan (if applicable).

The log is divided into eight columns. The following is a description of each column and how it is to be used:

**Update Number:** The update number is a five digit code (XX-XXX) used to track the number of annual updates. The first two digits represent the year the update was completed. The last three numbers are sequential beginning with 001.

**Description:** The column will contain a short description of the policy changes.

**Pages Changed:** This column identifies the pages that have been removed or replaced due to the policy change and updates

## **PURPOSE, CONTENTS AND MAINTENANCE**

This Administrative Plan has been designed to be used as a governance document to ensure local policies comply with HUD program rules; as a user manual to assist Authority staff to operate the program in compliance with prescribed rules; and as a reference document to ensure the program procedures are up to date and program practices consistently applied. The next paragraphs further describe each of these uses. The narrative then introduces the contents of the document and finally sets out the requirement for maintenance and control of the Plan.

The primary purpose of this document is to evidence that the Authority has adopted a written plan that establishes local policies for the administration of the program in accordance with HUD requirements. The second use is to provide administrative and procedural guidance for staff to operate the program in accordance with program rules and Authority policy. In this regard the document is an operations manual containing an organized presentation and clear delineation of the LIPH Program components and procedures used to operate the program.

The third use of this document is that of a reference guide. Here the document contains updated regulations, rules and notices that have been issued by HUD, and cross reference notations and tables to assist in policy interpretations.

The Authority's Administrative Plan is framed in terms of the LIPH Program components. Each program component contains a discussion of the HUD requirements, references to applicable HUD rules and notices, Authority policy decisions regarding the local administration of the program, procedures to implement the program components, and forms and other tools used in the operation of the program.

Numerous appendices accompany the Administrative Plan. Appendix I contains program certifications, acknowledgments and policy statements. Appendix II provides cross-reference tables to program rules and regulation, and logs to document program currency. Appendix III is a program glossary of terms. Appendix IV contains documents to control document updates and distribution.

Maintenance of the Administrative Plan is to be conducted in accordance with HUD regulation for Board approval of all policy changes and Submission of Plan revisions to HUD. The Director of the Occupancy Department must certify the integrity of the Administrative Plan on an annual basis.

**Appendix I  
Low Income Public Housing Amendment Log**

<b>Description</b>	<b>Date Submitted</b>	<b>Date Approved</b>	<b>Resolution Number</b>	<b>Effective Date</b>
Process of Verification /Eligibility Documentation Requirements 7.1	November 14, 2000	November 14, 2000	2000- 10	1/1/2001
Annual Agency Plan & HQWRA/ Public Housing Reform Act (Final Rule)	November 14, 2000	November 14, 2000	2000- 10	1/1/2001
New Pet Policy	November 14, 2000	November 14, 2000	2000- 10	1/1/2001
Computer Matching Income Verification via Real Estate Assessment Center (REAC)	November 14, 2000	November 14, 2000	2000- 10	9/7/2000
Lead Based Paint Disclosure & Requirements	November 14, 2000	November 14, 2000	2000-10	September 15, 2000
Memorandum of Understanding between PHA & TANF Agency	In Process			
One Strike (Zero Tolerance) Policy	2/11/1997	2/11/1997	97-05	2/11/97
Changes to the Lease	November 14, 2000	November 14, 2000	2000-10	1/1/2001

**Appendix II**  
**PIH NOTICES LOG**

<b>PIH</b>	<b>DESCRIPTION Admissions &amp; Continued Occupancy Plan Section Changes</b>
Public Law 100-503	Delinquent debts guaranteed by Federal Government 3.7 (R)
PIH 96-16 (HAs)	“One Strike and You’re Out” screening and eviction guidelines for PHA/ 3.7 (S) Grounds for Denial/ 10.1 Obligations of Household, 13.0 Re-examination for Continued Occupancy.
24 CFR Part 5 et al “One Strike Screening” 960.203	Abuse of Alcohol that results in behavior that interferes with peaceful enjoyment of premises by other residents. /10.1 Obligations of the Household/13.0 Reexamination for Continued Occupancy
24 CFR Parts 5, 880.et al. Changes to Admissions & Occupancy Requirements	Imputed Welfare Income/ 13.2 Treatment of Income Resulting from Welfare Program Requirements
24 CFR SubpartF, Changes to Admissions & Occupancy Requirements	Community Service Requirement/10.1 Obligations of the Household/ 13.12 Community Service / Self Sufficiency Requirements
24 CFR Admissions & Continued Occupancy Requirements	Failure to establish Escrow Deposit will terminate Grievance Procedure unless claiming Hardship/ 18.0 Grievance Procedure, 5 (a) Continued Payment of Rent.
24 CFR Admissions Part 5 & Continued Occupancy 966 Part C	Denial of Grievance if it involves a pattern of abuse of alcohol that interferes with health, safety, rights to peaceful enjoyment of residents. 19.0 Decision of Hearing Officer.
24 CFR Part VI / Part 960 Pet Ownership in Public Housing	Policy of Pet Ownership in Public Housing /22.0 Pet Policy
24 CFR 5.240 Family Disclosure of Income Information to the Responsible Entity and Verification	Computer Matching of Income/ 23.0 Computer Matching for Income Discrepancy Requirement
24 CFR Part 35 Dept. of HUD, PIH 96-92(HAs) Environmental Protection Agency	Lead Requirements for Disclosure of Known Lead Based Paint and/or Lead Based Paint Hazards in Housing. / 24.0 Lead Based Paint
CFR Parts 5, 880,et al Admissions & Continued Occupancy & PIH 2000-11 (HA) MOU	Memorandum of Understanding Between PHAs & TANF Agencies In process.



<b>PIH</b>	<b>DESCRIPTION Admissions &amp; Continued Occupancy Plan Section Changes</b>
24 CFR Parts 5, 880, et al Admissions & Continued Occupancy	Changes to Lease, added One Strike & You're Out, Behavior due to Abuse of Alcohol, Community Service Requirement & Pet Policy.
24 CFR Parts 5,880, et al Admissions & Continued Occupancy	Changes made to Glossary to incorporate additional Terminology & definitions.

## Appendix III

### GLOSSARY

**50058 Form:** The HUD form that housing authorities are required to complete for each assisted household in public housing to record information used in the certification and recertification process and, at the option of the housing authority, for interim reexaminations.

**1937 Housing Act:** The United States Housing Act of 1937 (42 U.S.C. 1437 et seq.) (24 CFR 5.100).

**Abatement:** Any set of measures designed to eliminate lead hazards including, but not limited to, the encapsulation, replacement, removal enclosure or covering of paint, plaster, soil or other material containing toxic levels of lead and all preparation, cleanup disposal and reoccupancy clearance testing.

**Administrative and Operating Plans-** the plans required by HUD for Public Housing and Section 8 Tenant-Based administrators (Public Housing Agencies) that detail the administrator's policies and procedures.

**Adjusted Annual Income:** The amount of household income, after deductions for specified allowances, on which tenant rent is based. (24 CFR 5.611)

**Adult:** A household member who is 18 years or older or who is the head of the household, or spouse, or co-head.

**Allowances:** Amounts deducted from the household's annual income in determining adjusted annual income (the income amount used in the rent calculation). Allowances are given for elderly families, dependents, medical expenses for elderly families, disability expenses, and child care expenses for children under 13 years of age. Other allowance can be given at the discretion of the housing authority.

**Annual Contributions Contract (ACC):** The written contract between HUD and a housing authority under which HUD agrees to provide funding for a program under the 1937 Act, and the housing authority agrees to comply with HUD requirements for the program. (24 CFR 5.403)

## GLOSSARY (CONTINUED)

**Annual Income:** All amounts, monetary or not, that:

- A. Go to (or on behalf of) the family head or spouse (even if temporarily absent) or to any other family member; or
- B. Are anticipated to be received from a source outside the family during the 12-month period following admission or annual reexamination effective date; and
- C. Are not specifically excluded from annual income.

Annual Income also includes amounts derived (during the 12-month period) from assets to which any member of the family has access. (1937 Housing Act; 24 CFR 5.609)

**Applicant (applicant family):** A person or family that has applied for admission to a program but is not yet a participant in the program. (24 CFR 5.403)

**Architectural Element:** A single building component with a discrete function. Examples include a windowsill, window apron, window sash, door, etc.

**Architectural System:** A group of architectural elements that are related in function and/ or proximity. Architectural systems include the following: door systems (e.g., doors, door casing, doorjamb, thresholds); window systems; cabinet systems; including shelves; drawer systems; wall systems; chair rail/baseboard systems; staircase and associated railing systems; shelving systems; fireplace systems; and radiator/baseboard heater systems. All other miscellaneous elements that may be found in a housing unit, such as a cast iron tub, should be considered an individual element that does not belong to a "system".

**As-Paid States:** States where the welfare agency adjusts the shelter and utility component of the welfare grant in accordance with actual housing costs. Currently, the four aspaid States are New Hampshire, New York, Oregon, and Vermont.

**Assets:** The value of equity in savings, checking, IRA and Keogh accounts, real property, stocks, bonds, and other forms of capital investment. The value of necessary items of personal property such as furniture and automobiles are not counted as assets. (Also see "net family assets.")

**Asset Income:** Income received from assets held by family members. If assets total more than \$5,000, income from the assets is "imputed" and the greater of actual asset income and imputed asset income is counted in annual income. (See "imputed asset income" below.)

## GLOSSARY (CONTINUED)

**Ceiling Rent:** Maximum rent allowed for some units in public housing projects.

**Certification:** The examination of a household's income, expenses, and family composition to determine the family's eligibility for program participation and to calculate the family's share of rent.

**Certified Lead Abatement Supervisor:** Any person who completes an appropriate approved training course and obtains a certificate as a lead abatement supervisor from the department. A lead abatement supervisor oversees lead abatement activities.

**Certified Lead Abatement:** Any person who completes an appropriate approved training course and obtains a certificate as a lead abatement worker from the department. A lead abatement worker performs lead abatement activities.

**Certified Lead Abatement Inspector** – Any lead consultant who completes an appropriate approved training course and obtains a certificate as a lead inspector from the department. A certified lead inspector conducts inspections to determine the presence of lead in paint, other surface coverings and various environmental media.

**Certified Lead Inspector Risk Assessor** – Any lead consultant who completes an appropriate approved training course and obtains a certificate as a lead inspector risk assessor from the department. A certified lead inspector risk assessor conducts inspections and collects and interprets information to assess the level of risk from lead hazards.

**Chalking**- “The formation on a pigmented coating of a powder evolved from the film itself or just beneath the surface” (ASTM D4214-89). In practical terms, chalking is the formation of a fine dust like that commonly found on a blackboard.

**Chewable Surface**- An interior or exterior surface painted with lead-based paint that a young child can mouth or chew. Hard metal substrates and other materials that cannot be dented by the bite of a child, are not considered chewable.

**Child:** For purposes of citizenship regulations, a member of the family other than the family head or spouse who is under 18 years of age. (24 CFR 5.504(b))

## GLOSSARY (CONTINUED)

**Child Care Expenses:** Amounts anticipated to be paid by the family for the care of children under 13 years of age during the period for which annual income is computed, but only where such care is necessary to enable a family member to actively seek employment, be gainfully employed, or to further his or her education and only to the extent such amounts are not reimbursed. The amount deducted shall reflect reasonable charges for childcare. In the case of childcare necessary to permit employment, the amount deducted shall not exceed the amount of employment income that is included in annual income. (24 CFR 5.603(d))

**Citizen:** A citizen or national of the United States. (24 CFR 5.504(b))

**Clearance Examination-** An activity conducted following lead-based paint hazard reduction activities to determine that the hazard reduction activities are complete and that no soil-lead hazards or settled dust-lead hazards as defined in CFR 35 et al exist in the dwelling unit or worksite. The clearance process includes a visual assessment and collection and analysis of environmental samples.

**Community Service-** The performance of voluntary work or duties that are a public benefit, and that serve to improve the quality of life, enhance residency self sufficiency, or increase resident self responsibility in the community. Community Service is not employment and may not include political activities.

**Community Service Requirement-** The obligation of each adult resident, other than an exempt individual, to perform community service or participate in an economic self sufficiency program **required** in accordance with 960.603.

**Composite Sample-** is a collection of more than one sample of the same medium (e.g., dust, soil, paint) from the same type of surface (e.g., floor, interior window sill, or window trough) such that multiple samples can be analyzed as a single sample.

**Computer Matching Agreements-** the agreements between HUD and the Internal Revenue Service (IRS) and Social Security Administration (SSA) that allow the matching of data between each entities databases.

**Computer Matching Income Verification (CMIV)-** the process that uses the matching of data between HUD, the IRS, and the SSA to identify potentially unreported tenant income.

**Contract Rent-** the rent HUD, a Public Housing Agency (PHA) or Contract Administrator authorizes an owner to collect for a unit (not public housing) occupied by a family receiving rental assistance.

## GLOSSARY (CONTINUED)

**Consent Form:** Any consent form approved by HUD to be signed by assistance applicants and participants for the purpose of obtaining income information from employers and SWICAs, return information from the Social Security Administration, and return information for unearned income from the Internal Revenue Service. The consent forms may authorize the collection of other information from assistance applicants or participant to determine eligibility or level of benefits. (24 CFR 5.214)

**Decent, Safe, and Sanitary:** Housing is decent, safe, and sanitary if it satisfies the applicable housing quality standards.

**Department:** The Department of Housing and Urban Development. (24 CFR 5.100)

**Dependent:** A member of the family (except foster children and foster adults), other than the family head or spouse, who is under 18 years of age or is a person with a disability or is a fulltime student. (24 CFR 5.603(d))

**Dependent Allowance:** An amount, equal to \$480 multiplied by the number of dependents, that is deducted from the household's annual income in determining adjusted annual income.

**Disability Assistance Expenses:** Reasonable expenses that are anticipated, during the period for which annual income is computed, for attendant care and auxiliary apparatus for a disabled family member and that are necessary to enable a family member (including the disabled member) to be employed, provided that the expenses are neither paid to a member of the family nor reimbursed by an outside source. (24 CFR 5.603(d))

**Disability Assistance Expense Allowance:** In determining adjusted annual income, the amount of disability assistance expenses deducted from annual income for families with a disabled household member.

**Disabled Family:** A family whose head, spouse, or sole member is a person with disabilities; two or more persons with disabilities living together; or one or more persons with disabilities living with one or more live-in aides. (24 CFR 5.403(b)) (Also see "person with disabilities.")

**Disabled Person:** See "person with disabilities."

**Discrepancy Letter** – the letter that HUD sends to a tenant indicating that a potential discrepancy exists between a tenant's reported income and a tenant's income identified by Federal tax data. The letter directs the tenant to provide the letter to the tenant's Public Owner Agent (POA).

## GLOSSARY (CONTINUED)

**Discrepancy Notification-** the notification that HUD sends to a POA with a listing of tenants who were sent discrepancy letters. The notification directs POAs to contact tenants and resolve the potential income discrepancies identified in the tenant letters.

**Displaced Family:** A family in which each member, or whose sole member, is a person displaced by governmental action (such as urban renewal), or a person whose dwelling has been extensively damaged or destroyed as a result of a disaster declared or otherwise formally recognized pursuant to Federal disaster relief laws. (24 CFR 5.403(b))

**Displaced Person:** A person displaced by governmental action or a person whose dwelling has been extensively damaged or destroyed as a result of a disaster declared or otherwise formally recognized pursuant to Federal disaster relief laws [1937 Act]

**Drug-Related Criminal Activity:** Drug trafficking or the illegal use, or possession for personal use, of a controlled substance as defined in Section 102 of the Controlled Substances Act (21 U.S.C. 802).

**Dust Lead Hazard-** is surface dust that contains a dust-lead loading (area concentration of Lead) at or exceeding the levels promulgated by the EPA pursuant to section 403 of the Toxic Substances Control Act or if such levels are not in effect, the standards in 245 CFR 35.1320.

**Elderly Family:** A family whose head, spouse, or sole member is a person who is at least 62 years of age; two or more persons who are at least 62 years of age living together; or one or more persons who are at least 62 years of age living with one or more live-in aides. (24 CFR 5.403)

**Elderly Family Allowance:** For elderly families, an allowance of \$400 is deducted from the household's annual income in determining adjusted annual income.

**Elderly Person:** A person who is at least 62 years of age. (1937 Housing Act)

**Eligible Families-** Low Income families who are eligible for admission to the public housing programs.

**Excess Rental Assistance-** the difference between the amount of rental assistance received by a tenant based on reported income and the amount of rental assistance the tenant was entitled to receive.

**Extremely low-income families:** Those families whose incomes do not exceed 30% of the median income for the area, as determined by the Secretary with adjustments for smaller and larger families.

## GLOSSARY (CONTINUED)

**Fair Housing Act:** Title VIII of the Civil Rights Act of 1968, as amended by the Fair Housing Amendments Act of 1988 (42 U.S.C. 3601 et seq.) (24 CFR 5.100)

**Fair Market Rent** – The rent, including the cost of utilities (except telephone), that would be required to be paid in the housing market area to obtain privately owned, existing, decent, safe and sanitary rental housing of modest (non-luxury) nature with suitable amenities. Fair market rents for existing housing are established by HUD for housing units of varying sizes (number of bedrooms), and are published in the Federal Register in accordance 24 CFR, part 888.

**False Positive Discrepancy-** a potential income discrepancy identified from Federal Tax Information matching that upon POA review and/or investigation did not result in unreported income or excess rental assistance or if the discrepancy was less than \$ 1,000.

**Family** includes but is not limited to:

- A. A family with or without children;
- B. An elderly family;
- C. A near-elderly family;
- D. A disabled family;
- E. A displaced family;
- F. The remaining member of a tenant family; and
- G. A single person who is not an elderly or displaced person, a person with disabilities, or the remaining member of a tenant family. (24 CFR 5.403)

**Family Members:** All members of the household other than live-in aides, foster children, and foster adults. All family members permanently reside in the unit, though they may be temporarily absent. All family members are listed on the lease.

**Family Self-Sufficiency Program (FSS Program):** The program established by a housing authority to promote self-sufficiency among participating families, including the coordination of supportive services. (24 CFR 984.103(b))



## GLOSSARY (CONTINUED)

**Family Unit Size-** The appropriate number of bedrooms for a family. Family dwelling unit size is determined by the HA under the HA Occupancy Standards.

**Federal Preference Holder-** An applicant that qualifies for a federal Preference.

**Flat Rent:** A rent amount the family may choose to pay in lieu of having their rent determined under the formula method. The flat rent is established by the housing authority set at the lesser of the market value for the unit or the cost to operate the unit. Families selecting the flat rent option have their income evaluated once every three years, rather than annually.

**Federal Tax Information-** The earned and unearned income data provided to HUD by the IRS and SSA under a Computer Matching Agreement.

**Formula Method:** A means of calculating a family's rent based on 10% of their monthly income, 30% of their adjusted monthly income, the welfare rent, or the minimum rent. Under the formula method, rents may be capped by a ceiling rent. Under this method, the family's income is evaluated at least annually.

**Friction Surface-** an interior or exterior surface that is subject to abrasion or friction, including, but not limited to, certain window, floor and stair surfaces.

**Full-Time Student:** A person who is carrying a subject load that is considered fulltime for day students under the standards and practices of the educational institution attended. An educational institution includes a vocational school with a diploma or certificate program, as well as an institution offering a college degree. (24 CFR 5.603(d))

**Head of Household:** The adult member of the family who is the head of the household for purposes of determining income eligibility and rent. (24 CFR 5.504(b))

**Household Members:** All members of the household including members of the family, live-in aides, foster children, and foster adults. All household members are listed on the lease, and no one other than household members are listed on the lease.

**Housing Agency-** (HA) A state, county, municipality or other governmental entity or public body (agency or instrumentality thereof) authorized to engage or assist in the development or operation of Low Income Public Housing including Indian Housing authority (IHA) ("PHA") and HA which mean same thing.

**Housing Assistance Plan:** A housing plan that is submitted by a unit of general local government and approved by HUD as being acceptable under the standards of 24 CFR 570.

## GLOSSARY (CONTINUED)

**Imputed Income:** For households with net family assets of more than \$5,000, the amount calculated by multiplying net family assets by a HUD-specified percentage. If imputed income is more than actual income from assets, the imputed amount is used as income from assets in determining annual income.

**Imputed Welfare Income-**The amount of actual income not actually received by a family, as a result of a specified welfare benefit reduction, that is nonetheless included in the family's annual income for purposes of determining rent.

**Income Based Rent-**An income based rent is a tenant rent that is based on the family's income and the PHA's rent policies for determination of such rents.

**Income Discrepancy-**The difference between the tenant income data maintained in HUD's Tenant databases and Federal tax information.

**Income Limits-** HUD established extremely low, very-low and low- income limits that are used to determine if assisted housing programs applicants qualify for admission to HUD assisted programs. These income limits are based on HUD estimates for area median family income (using Metropolitan Statistical Areas as defined by the office of management and Budget (OMB) and the bureau of Census definition of family) with specific statutorily permissible adjustments. If the income limits based on this approach would be less than if based on the relevant State non-metropolitan median family income level, income limits are based on the State. Primary Metropolitan Statistical Areas (PMSAs) applies to Westchester County, New York, for which median income and income limit are computed as if Westchester County is included for purposes of establishing the income limits for the primary Metropolitan Statistical Area in which it is located.

**Flat Rent-** The flat rent is based on the market rent charged for comparable units in the private unassisted rental market. It is equal to the estimated rent for which he PHA could promptly lease the public housing unit after preparation for occupancy.

**In-Kind Payments:** Contributions other than cash made to the family or to a family member in exchange for services provided or for the general support of the family (e.g. groceries provided on a weekly basis, baby sitting provided on a regular basis).

**Interim (examination):** A reexamination of a family income, expenses, and household composition conducted between the regular annual recertifications when a change in a household's circumstances warrants such a reexamination.

## GLOSSARY (CONTINUED)

**Interim Controls-** is a set of measures designed to reduce temporarily human exposure or likely exposure to lead-based paint hazards. Interim controls include, but are not limited to, repairs, painting, temporary containment, specialized cleaning, clearance, on going leadbased paint maintenance activities, and the establishment and operation of management and resident education programs.

**Interim Recertification Policy-** the policy that determines when a tenant should report income increases. This policy also identifies of a POA recalculates rental assistance and, if so, when rental assistance will be increased

**Jurisdiction-** The area in which the HA has authority under State and Local law to administer the program.

**Lead Based Paint-** is any condition that causes exposure to lead from dustlead hazards, soil-lead hazards, or lead-based paint that is deteriorated or present in chewable surfaces, friction surfaces or impact surfaces, and that would result in adverse human health effects.

**Live-In Aide:** A person who resides with one or more elderly persons, nearelderly persons, or persons with disabilities and who:

- A. Is determined to be essential to the care and well-being of the persons;
- B. Is not obligated for the support of the persons; and
- C. Would not be living in the unit except to provide the necessary supportive services.  
(24 CFR 5.403(b))

**Local Preference-** A preference used by the HA to select among applicantsfamilies without regard to their federal preference status.

**Low-Income Families:** Those families whose incomes do not exceed 80% of the median income for the area, as determined by the Secretary with adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 80% of the median for the area on the basis of the Secretary's findings that such variations are necessary because of prevailing levels of construction costs or unusually high or low family incomes. (1937Act)

## GLOSSARY (CONTINUED)

**MSA.** Metropolitan Statistical Area. 1937 Housing Act. The United State Housing Act of 1937 (42 U.S. C. 1437 and following sections). The HUD tenantbased program is authorized by LIPH of the 1937 Housing Act (42 U.S. C. 1437f.)

**Market Rent** – HUD’s determination of the rent, including utilities (except telephone), range and refrigerator, parking, and all maintenance and other essential housing services, which would be required to obtain privately owned rental housing of modest design with suitable amenities in a particular market area.

**Medical Expenses:** Medical expenses (of all family members of an elderly or disabled family), including medical insurance premiums that are anticipated during the period for which annual income is computed and that are not covered by insurance. (24 CFR 5.603(d)). These expenses include, but are not limited to, prescription and non-prescription drugs, costs for doctors, dentists, therapists, medical facilities, care for a service animals, transportation for medical purposes.

**Mixed Family:** A family whose members include those with citizenship or eligible immigration status and those without citizenship or eligible immigration status. (24 CFR 5.504(b))

**Monthly Adjusted Income:** One twelfth of adjusted income. (24 CFR 5.603(d))

**Monthly Income:**One twelfth of annual income. (24 CFR 5.603(d))

**Multiply Tenant Characteristics System (MTCS)** HUS’S database for PH programs.

**Notice of Funding Availability (NOFA).** For funding (contract or budget authority) that HUD headquarters invites HA applications by publishing a NOFA in the Federal Register. The NOFA explains how to apply for assistance, and the criteria for awarding the funding.

**National:** A person who owes permanent allegiance to the United States, for example, as a result of birth in a United States territory or possession. (24 CFR 5.504(b))

**Near-Elderly Family:** A family whose head, spouse, or sole member is a person who is at least 50 years of age but below the age of 62; two or more persons, who are at least 50 years of age but below the age of 62, living together; or one or more persons who are at least 50 years of age but below the age of 62 living with one or more live-in aides. (24 CFR 5.403(b))

## GLOSSARY (CONTINUED)

### Net Family Assets:

- A. Net cash value after deducting reasonable costs that would be incurred in disposing of real property, savings, stocks, bonds, and other forms of capital investment, excluding interests in Indian trust land and excluding equity accounts in HUD homeownership programs. The value of necessary items of personal property such as furniture and automobiles shall be excluded.
- B. In cases where a trust fund has been established and the trust is not revocable by, or under the control of, any member of the family or household, the value of the trust fund will not be considered an asset so long as the fund continues to be held in trust. Any income distributed from the trust fund shall be counted when determining annual income.
- C. In determining net family assets, housing authorities or owners, as applicable, shall include the value of any business or family assets disposed of by an applicant or tenant for less than fair market value (including a disposition in trust, but not in a foreclosure or bankruptcy sale) during the two years preceding the date of application for the program or reexamination, as applicable, in excess of the consideration received therefor. In the case of a disposition as part of a separation or divorce settlement, the disposition will not be considered to be for less than fair market value if the applicant or tenant receives important consideration not measurable in dollar terms. (24 CFR 5.603(d))

**Non-Citizen:** A person who is neither a citizen nor national of the United States. (24 CFR 5.504(b))

**O/A** –owner or agent that administers HUD’s Section 8 Project Based and other Housing rental assistance programs.

**Occupancy Standards:** The standards that a housing authority establishes for determining the appropriate number of bedrooms needed to house families of different sizes or composition

**One Strike Policy-** “Zero Tolerance” does not permit illegal drug related activity and other criminal activity that threatens the well being of the public housing community.

## GLOSSARY (CONTINUED)

**Paint Stabilization-** is repairing any physical defect in the substrate of a painted surface that is causing paint deterioration, removing loose paint and other material from the surface to be treated, and applying a new protective coating or paint.

**Person with Disabilities:** A person who:

- A. Has a disability as defined in Section 223 of the Social Security Act, which states:  
  
"Inability to engage in any substantial, gainful activity by reason of any medically determinable physical or mental impairment that can be expected to result in death or that has lasted or can be expected to last for a continuous period of not less than 12 months, or  
  
In the case of an individual who attained the age of 55 and is blind and unable by reason of such blindness to engage in substantial, gainful activity requiring skills or ability comparable to those of any gainful activity in which he has previously engaged with some regularity and over a substantial period of time."  
  
B. Is determined, pursuant to regulations issued by the Secretary, to have a physical, mental, or emotional impairment that:
  - 1. Is expected to be of long-continued and indefinite duration;
  - 2. Substantially impedes his or her ability to live independently; and
  - 1. Is of such a nature that such ability could be improved by more suitable housing conditions, or  
C. Has a developmental disability as defined in Section 102(7) of the Developmental Disabilities Assistance and Bill of Rights Act, which states:  
"Severe chronic disability that:
  - 1. Is attributable to a mental or physical impairment or combination of mental and physical impairments;
  - 2. Is manifested before the person attains age 22;
  - 3. Is likely to continue indefinitely;

## GLOSSARY (CONTINUED)

4. Results in substantial functional limitation in three or more of the following areas of major life activity: (1) self care, (2) receptive and responsive language, (3) learning, (4) mobility, (e) self-direction, (6) capacity for independent living, and (7) economic self-sufficiency; and
5. Reflects the person's need for a combination and sequence of special, interdisciplinary, or generic care, treatment, or other services that are of lifelong or extended duration and are individually planned and coordinated."

This definition does not exclude persons who have the disease of acquired immunodeficiency syndrome or any conditions arising from the etiologic agent for acquired immunodeficiency syndrome. (1937 Act)

No individual shall be considered to be a person with disabilities for purposes of eligibility solely based on any drug or alcohol dependence.

**POA-** the term used to refer collectively to Public Housing Agencies and Owners/Agents administering HUD's Public Housing, Section 8 Tenant Based, Section 8 Project-Based and other rental assistance programs.

**Proration of Assistance:** The reduction in a family's housing assistance payment to reflect the proportion of family members in a mixed family who are eligible for assistance. (24 CFR 5.520)

**Public Housing Agency (PHA):** Any State, county, municipality, or other governmental entity or public body (or agency or instrumentality thereof), which is authorized to engage in or assist in the development or operation of low-income housing under the 1937 Housing Act. (24 CFR 5.100)

**Public Housing Program** – the same as a Low Rent Program, which is administered by a Public Housing Agency.

**Real Estate Assessment Center (REAC)** the entity established to assess HUD'S housing portfolio and the entities that administer the portfolio (public housing agencies, owner, and agents).

**Recertification:** The annual reexamination of a family's income, expenses, and composition to determine the family's rent.

**Residency preference**-a preference for admission of persons who reside in a specified geographic area.

## GLOSSARY (CONTINUED)

**Resident Service Stipend-** A resident service stipend is a modest amount (not to exceed \$200 per month) received by a resident for performing a service for the PHA or owner, on a parttime basis, that enhances the quality of life in the development. No resident may receive more than one stipend during the same period of time.

**Remaining Member of a Tenant Family:** A member of the family listed on the lease who continues to live in the public housing dwelling after all other family members have left. (Handbook 7565.1 REV 2, 3-5b.)

**RISK ASSESSMENT** 1) an on-site investigation to determine the existence, nature, severity and location of lead-based paint hazards; and 2) the provision of a report by the individual or firm conducting the risk assessment explaining the results of the investigation and options for reducing lead-based paint hazards.

**Self-Declaration:** A type of verification statement by the tenant as to the amount and source of income, expenses, or family composition. Self-declaration is acceptable verification only when third-party verification or documentation cannot be obtained.

**Shelter Allowance:** That portion of a welfare benefit (e.g., TANF) that the welfare agency designates to be used for rent and utilities.

**Single Person:** Someone living alone or intending to live alone who does not qualify as an elderly family, a person with disabilities, a displaced person, or the remaining member of a tenant family. (Public Housing: Handbook 7465.1 REV-2, 3-5)

**Soil-Lead Hazard-** Bare soil on residential property that contains lead equal to or exceeding levels promulgated by the EPA pursuant to section 403 of the Toxic Substances Control Act or, if such levels are not in effect, the following levels: ug/g in play areas; and 2000 ug/g in other areas with bare soil that total more than 9 square feet (0.8 square meters) per residential property.

**State Wage Information Collection Agency (SWICA):** The State agency receiving quarterly wage reports from employers in the State or an alternative system that has been determined by the Secretary of Labor to be as effective and timely in providing employment-related income and eligibility information. (24 CFR 5.214)

## GLOSSARY (CONTINUED)



**Substrate-** The material directly beneath the painted surface out of which the components are constructed, including wood, drywall, plaster, concrete, brick or metal.

**Tenant assessment System- (TASS)-** the automated system used by HUD to conduct computer matching, creates tenant discrepancy letters, POA discrepancy notifications, and track case resolution.

**Tenant Identifier's** – the tenant's name, social security number, and date of birth.

**Tenant Rental Assistance Certification System (TRAC)** a suite of database systems for programs under the Office of Housing. TRACS Tenants is the database, which processes tenant certifications; stores complete data and is the location form which Housing households are drawn for the Computer Matching Income Verification process.

**Tenant Rent-** The amount payable monthly by the family as rent to the unit owner (Section 8 or PHA in public Housing).

**Temporary Assistance to Needy Families (TANF):**The program that replaced the Assistance to Families with Dependent Children (AFDC) that provides financial assistance to needy families who meet program eligibility criteria. Benefits are limited to a specified time period.

**Tenant:** The person or family renting or occupying an assisted dwelling unit. (24 CFR 5.504(b))

**Tenant Rent:** The amount payable monthly by the family as rent to the housing authority. Where all utilities (except telephone) and other essential housing services are supplied by the housing authority or owner, tenant rent equals total tenant payment. Where some or all utilities (except telephone) and other essential housing services are supplied by the housing authority and the cost thereof is not included in the amount paid as rent, tenant rent equals total tenant payment less the utility allowance. (24 CFR 5.603(d))

**Tenant Repayment Agreement-** the agreement signed between the POA and a tenant in which the tenant agrees to repay excess rental assistance based on the terms specified in the agreement.

**Third-Party (verification):** Written or oral confirmation of a family's income, expenses, or household composition provided by a source outside the household.

**Total Tenant Payment (TTP):**

- A. Total tenant payment for families whose initial lease is effective on or after August 1, 1982:

## **GLOSSARY (CONTINUED)**

1. Total tenant payment is the amount calculated under Section 3(a)(1) of the 1937 Act, which is the higher of:
  - a. 30% of the family's monthly adjusted income;
  - b. 10% of the family's monthly income; or
  - c. If the family is receiving payments for welfare assistance from a public agency and a part of such payments, adjusted in accordance with the family's actual housing costs, is specifically designated by such agency to meet the family's housing costs, the portion of such payments which is so designated.

If the family's welfare assistance is ratably reduced from the standard of need by applying a percentage, the amount calculated under section 3(a)(1) shall be the amount resulting from one application of the percentage.

2. Total tenant payment for families residing in public housing does not include charges for excess utility consumption or other miscellaneous charges.

- B. Total tenant payment for families residing in public housing whose initial lease was effective before August 1, 1982: Paragraphs (b) and (c) of 24 CFR 913.107, as it existed immediately before November 18, 1996), will continue to govern the total tenant payment of families, under a public housing program, whose initial lease was effective before August 1, 1982.

**Unreported Income-** the difference between the amount of income a tenant reports and the amount of income a tenant is required to report (minus income exclusions).

**Utility Allowance:** If the cost of utilities (except telephone) and other housing services for an assisted unit is not included in the tenant rent but is the responsibility of the family occupying the unit, an amount equal to the estimate made by a housing authority of the monthly cost of a reasonable consumption of such utilities and other services for the unit by an energyconservative household of modest circumstances consistent with the requirements of a safe, sanitary, and healthful living environment. (24 CFR 5.603)

**Utility Reimbursement:** The amount, if any, by which the utility allowance for the unit, if applicable, exceeds the total tenant payment for the family occupying the unit.(24 CFR 5.603)

### **GLOSSARY (CONTINUED)**

**Valid Discrepancy-** the situation in which a tenant's rental assistance is decreased based on Federal tax information provided in HUD's discrepancy letter to a tenant.

**Verification-** The confirmation with the tenant of Federal Tax Information that the tenant discloses to the Housing Authority. Confirmation of the federal tax information with employers or financial institutions is essential only if the tenant contests the accuracy of the information.

**Very Low-Income Families:** Low-income families whose incomes do not exceed 50% of the median family income for the area, as determined by the Secretary with adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50% of the median for the areas on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes. Such ceilings shall be established in consultation with the Secretary of Agriculture for any rural area, as defined in Section 520 of the Housing Act of 1949, taking into account the subsidy characteristics and types of programs to which such ceilings apply. (1937 Act)

**VISUAL ASSESSMENT** is looking for: 1) Deteriorated paint; 2) Visible surface dust, debris and residue as part of a risk assessment or clearance examination; or 3) the completion or failure of a hazard reduction measure.

**Welfare Assistance:** Welfare or other payments to families or individuals, based on need, that are made under programs funded by Federal, State or local governments. (24 CFR 5.603(d))

**Welfare Rent:** In "as-paid" welfare programs, the amount of the welfare benefit designated for shelter and utilities.

## Appendix IV

### Low Income Public Housing Procedure Log

PIH	DESCRIPTION	Admissions & Continued Occupancy Plan Section Changes
Public Law	Reason for Denial due to Delinquent Debts Guaranteed by Federal Government	3.7 Grounds for Denial part R
PIH 96-16 (HAS)	"One Strike & You're Out"	3.7 Grounds for Denial part S 10.1 Obligations of Household 13.0 Re-Examination for Continued Occupancy
24 CFR Parts 5, 880 et. al., Changes to Admissions & Occupancy Requirements	Abuse of Alcohol	10.1 Obligations of the Household 13.0 Re-examination for Continued Occupancy
24 CFR Parts 5, 80,880.et. al., Changes to Admissions & Occupancy Requirements	Imputed Welfare Income	13.2 Treatment of income Resulting from Welfare Program Requirements
24 CFR Subpart F, Changes to Admissions & Occupancy Requirements	Community Service Requirements	10.1 Obligations of the Household 13.12 Community Service /Self Sufficiency Requirement
24 CFR Admissions & Continued Occupancy Requirements	Failure to Establish Escrow For rent	18.0 Grievance Procedure
24 CFR Admissions Part 5 & Continued Occupancy 966 Part C	Denial of Grievance due to Alcohol Abuse Behavior	19.0 Decision of Hearing Officer
24 CFR Part VI/Part960 Pet Ownership in Public Housing	Policy of Pet Ownership In Public Housing	22.0 Pet Policy
24 CFR 5.240 Family Disclosure of Income Information to the Responsible Entity and Verification	Computer Matching of Income	23.0 Computer Matching Income Discrepancy Requirements
24CFR Part 35 Dept.of HUD, PIH 96-92(Has) Environmental Protection Agency	Lead Requirements for Disclosure & Hazards in Public Housing	24.0 Lead-Based Paint
25 CFR Part 5, 880, et Al. Admissions & Continued Occupancy Requirements	Changes to Glossary	Addendum III
PIH	Description	Admissions & Continued Occupancy Plan Section Changes

	Process of Verification & Method of Determining Income	7.1 Eligibility Documentation Requirements & Methods
	Damage to Grounds due to Stationing Vehicle on Grass	10.1 Obligations of the Household
	Projected Calculation of Annual Income using DOL when Residents that do not comply with Re-certification Process	8.1 Calculation of Annual Income
	Method of establishing rent if Resident does not comply with The Re-certification Process	13.4 Failure to Cooperate & Report Changes

# Public Housing Drug Elimination Program Plan

**Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.**

## Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

## Section 1: General Information/History

- A. Amount of PHDEP Grant \$ 518,503
- B. Eligibility type (Indicate with an "x") N1 \_\_\_\_\_ N2 X R \_\_\_\_\_
- C. FFY in which funding is requested 2001
- D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Hartford Housing Authority will continue its successful multiyear PHDEP program to reduce crime and especially drug related crime in and around Low Income Public Housing communities in the City of Hartford. The number of Part I crimes on public housing properties has dropped from 390 in 1996 to 154 in 1998 (most recent available year's statistics)- some 60%! This has been accomplished primarily with PHDEP funding and the involvement of multiple partners, primarily the Hartford Police Department, the Weed & Seed program under the Department of Justice and the U.S. Attorney for the State of Connecticut, and the Office of Inspector General of HUD. The Authority intends to obligate monies represented in line item 9110 "Law Enforcement Reimbursement" to the Hartford Police Department under an existing interagency agreement documented in the 1999 PHDEP submission. With the exception of line item 9190 (Coordinator and Evaluation), it is the intent of HHA to obligate most of the remaining funds (line item 1960) to the City of Hartford Department of Human Services and Eastern Connecticut State University under similar interagency agreements. The former will act as client broker for families in need of social services and employment related support, the latter will address the educational needs of high school youth, underemployed adults, and life-long enrichment.

## **E. Target Areas**

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
ALL LIPH Developments Including: Nelton Court, Dutch Point, Mary Shepard Place, Charter Oak Terrace, Stowe Village, Mary Mahoney Village, Kent Apartments, Smith Towers, Knox Apartments, Adams Street, SSI, SSII, and SSIII	1,671	5,001

## **F. Duration of Program**

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

6 Months \_\_\_\_\_ 12 Months \_\_\_\_\_ 18 Months \_\_\_\_\_ 24 Months X Other \_\_\_\_\_

### G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995	729,750	CT26DEP0030195	0		
FY 1996	729,750	CT26DEP0030196	0		
FY 1997	724,360	CT26DEP0030197	0		
FY 1998	469,500	CT26DEP0030198	0		
FY 1999	497,505	CT26DEP0030199	0		
FY 2000	518,503	CT26DEP0030100	\$410,000		

### Section 2: PHDEP Plan Goals and Budget

#### A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

#### B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY <u>2000</u> PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	70,000
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	
9160 - Drug Prevention	388,503
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	
Coordinator / Evaluation	60,000
<b>TOTAL PHDEP FUNDING</b>	<b>518,503</b>

#### C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement	Total PHDEP Funding: \$60,000
Goal(s)	

Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1. Community Service Officer for public housing			7/1/01	7/30/02	70000		Part I crime reduced 10% on LIPH property

<b>9120 - Security Personnel</b>					<b>Total PHDEP Funding: \$</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							

<b>9130 - Employment of Investigators</b>					<b>Total PHDEP Funding: \$</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							

<b>9140 - Voluntary Tenant Patrol</b>					<b>Total PHDEP Funding: \$</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							

<b>9150 - Physical Improvements</b>					<b>Total PHDEP Funding: \$</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							

<b>9160 - Drug Prevention</b>					<b>Total PHDEP Funding: \$388,503</b>		
Goal(s)							
Objectives							
Proposed Activities	# of	Target Population	Start	Expected	PHEDE	Other	Performance Indicators



	Persons Served		Date	Complete Date	P Funding	Funding (Amount /Source)	
1 Family Reunification	100	Fathers of children in public housing	7/1/01	6/30/02	65,000	15,000	Employment for 50 and taking children off welfare for 25
2. A / B Club	100	Jr & Sr in High School	7/1/01	6/30/02	60,000		Leadership development and mentoring
3Employment Support	100	At risk teens and young adults	7/1/01	6/30/02	15,000	multiple	Welfare to Work Jobs for all 100
4.LEAP	160	7 – 14 yo	7/1/01	6/30/02	50,000	350,000	Computer Literacy for all
5Boy Scouts	100	Teen age youth	7/1/01	6/30/02	41,205	20,000	Rank advance for 30%
6Youth Sports	900	School age children	7/1/01	6/30/02	92,298	Multiple	Reducing Part I Crime on LIPH properties by 10%
7. Natl Ctr Neigh Enter	100	At risk Teens	7/1/01	6/30/02	50,000		Violence Free Zone DP
8. Hogar Crea	20	Female Substance Abusers Assmt	7/1/01	6/30/02	15,000	50,000	2 Referrals / Month

<b>9170 - Drug Intervention</b>						<b>Total PHDEP Funding: \$</b>	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							

<b>9180 - Drug Treatment</b>						<b>Total PHDEP Funding: \$</b>	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

<b>9190 - Other Program Costs</b>						<b>Total PHDEP Funds: \$60,000</b>	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.Co-ordinator			7/1/01	6/30/02	53,000		Successfully manage all programs per specifications
2.Evaluation			7/1/01	7/30/02	7,000		Measure achievements against objectives

### **Section 3: Expenditure/Obligation Milestones**

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

<b>Budget Line Item #</b>	<b>25% Expenditure of Total Grant Funds By Activity #</b>	<b>Total PHDEP Funding Expended (sum of the activities)</b>	<b>50% Obligation of Total Grant Funds by Activity #</b>	<b>Total PHDEP Funding Obligated (sum of the activities)</b>
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110			Activity 1	<b>35,000</b>
9120				
9130				
9140				
9150				
9160			Activities 1-5	<b>200,252</b>
9170				
9180				
9190			Activity 1	<b>28,500</b>
<b>TOTAL</b>		\$		<b>\$263,752</b>

### **Section 4: Certifications**

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations”

*Sent under separate cover to the HUD Field Office, Hartford, CT.*

HOUSING AUTHORITY OF THE CITY OF HARTFORD  
HARTFORD, CONNECTICUT  
DWELLING LEASE  
11/15/2000

ACCOUNT NO. \_\_\_\_\_

I. DEFINITION:

- A. The terms "we", "us", and "our" mean the Housing Authority of the City of Hartford.
- B. The terms "you" and "your" mean \_\_\_\_\_.
- C. The term "apartment" means the dwelling unit at \_\_\_\_\_.

\_\_\_\_\_                  \_\_\_\_\_                  Project  
# of rooms                  Pro rata

II. PARTIES AND PREMISES:

We hereby lease to \_\_\_\_\_ and \_\_\_\_\_ who accept(s) possession for you and the members of your Household shown in Section III under the terms and conditions stated therein.

III. MEMBERS OF YOUR HOUSEHOLD WHO WILL LIVE IN UNIT:

<u>Name</u>	<u>Relationship</u>	<u>Date of Birth</u>	<u>Social Security Number</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Any additions to the household members listed above require our advance written approval. This includes Live-in Aids and foster children or adults, but excludes natural births and adoptions of children under thirteen years of age. We shall approve the additions if they pass the screening and an appropriate size unit is available. Deletions from the household shall be reported to us within thirty (30) days.

IV. TERM:

LEASE TERM: This Lease shall begin on \_\_\_\_\_. The term shall be one year and shall renew automatically for another year, unless terminated as provided by this Lease.

V. RENT:

- A. Rents are established and revised by us under applicable federal and state laws and regulations.
  - B. Each month, until revised, you will pay the amount of \$\_\_\_\_\_ for rent. You will pay the rent on the first day of each month, starting on the first day of the month after you occupy the apartment.
  - C. You must pay your rent on or before the tenth day of each month. If you fail to pay your rent on or before the tenth day of each month, or at such times as provided by governmental regulations, we may terminate this lease. A \$20.00 late charge will be imposed by us which will become due and collectible a part of the rent.
  - D. Check One:
    - \_\_\_\_\_ This rent is based on the Authority-determined flat rent for this unit.
    - \_\_\_\_\_ This rent is based on the income and other information reported by the Resident.
- If a family is paying the minimum rent and its circumstances change creating an inability to pay the rent, the family may request suspension of the minimum rent because of a recognized hardship.
- E. If a check with which you have paid your rent is not honored by the bank on which it is drawn, a charge based on the actual amount charged by will be added to all sums due from you.
  - F. Acceptance of rent after your default of this Lease shall not constitute a waiver of our right to terminate this Lease.

VI. SECURITY DEPOSIT:

- A. If your apartment is in a federal low rent project, you will pay \$50.00 or the gross monthly rent, whichever is greater, as a security deposit. You will pay at least one-half of this when you occupy the apartment. You will pay the balance with and as part of the rent that is due the following month.
- B. If your apartment is in a state moderate rent project, you will pay one month's rent as a security deposit. You will pay at least one-half of this when you occupy the apartment. You will pay the balance with and as part of the rent that is due for the following month.
- C. When your lease is at an end, we may use the security deposit to pay for repairs of damage to the apartment caused by you or any person who was in the apartment with your permission. We may also use the security deposit for any rent or charges in addition to rent which you owe us when you vacate the apartment. We will tell you, in writing, of all charges which we paid out of your security deposit when you vacate.
- D. We will not use the security deposit to pay for rent or other charges while you occupy the apartment.
- E. Your security deposit will be \$\_\_\_\_\_.

VII. UTILITIES:

- A. Utilities provided by us are determined in accordance with federal and state laws and regulations.
  - B. ( ) We will provide the apartment with heat and hot water.  
( ) We will not provide the apartment with heat and hot water.
  - C. ( ) We will provide the apartment with gas, but no more than \_\_\_\_\_ cubic feet every three months. You will pay for the use of gas in excess of this amount at the prevailing rate.  
( ) We will not provide the apartment with gas.
  - D. ( ) We will provide the apartment with limited electricity. The amount is as follows:
    - January through March \_\_\_\_\_ KWH
    - April through June \_\_\_\_\_ KWH
    - July through September \_\_\_\_\_ KWH
    - October through December \_\_\_\_\_ KWH
- You will pay for the use of electricity in excess of these amounts at the prevailing rate.

You will pay for excess utility use in addition to and as part of the rent in the month after we determine the amount which you owe.

( ) We will not provide the apartment with electricity. If we do not provide any of the above (AC) at any time, you will be notified, in writing.

E. We may change the amount of utilities stated in this lease at any time during the lease, provided you are given written notice of the change.

F. We are not responsible if we fail to furnish utilities for any cause beyond our control.

#### VIII. CHARGE(S) IN ADDITION TO RENT:

A. Charge(s) in addition to rent shall be determined in accordance with federal and state laws and regulations.

B. You will be charged by us for the cost of maintenance and repairs beyond normal wear and tear which shall not be collectable until two weeks after we give you written notice of the charge(s).

C. Maintenance and repair costs shall be determined by a schedule of charges. A copy of the fee schedule for charges in addition to rent is posted and available at the project office for your review.

D. If you disagree with a charge(s) in addition to rent, you are entitled to request a grievance hearing in accordance with Section XIV of this lease.

#### IX. REDETERMINATION OF RENT; APARTMENT SIZE; ELIGIBILITY:

A. Periodically and at our request, you agree to furnish such information and certifications regarding family composition and income as may be necessary for us to make determinations with respect to rent, eligibility, and appropriateness of dwelling size. Failure to provide such information is grounds for eviction.

B. We will use this information to decide if your rent should be changed, if your apartment size is right for you and if you are still eligible for public housing. We will decide in accordance with the approved schedule of rent and the statement of income and occupancy limits which are posted in your project office.

C. (1) Income reviews will be held every third year for Residents choosing the flat rent option. Residents who have chosen this option will be notified at the appropriate time for their recertification. At the time of the review the Resident may elect to change his or her rent choice option. We will have rental determinations each year for Residents who are paying rent based on their income. If you are in a low rent project, your rent may be changed before the next regular rent determination for any of the following reasons:

(a) Your circumstances change and have continued for at least one month and seem likely to continue for some time so that a decrease in rent is justified under the schedule of rents or to avoid a hardship.

(b) You begin to get public assistance, or your public assistance ends. You must report the change to us in ten days.

(c) You misrepresented the facts to us upon which your rent is based so that your rent has been less than what you should have been paying. In this case, the rent will be raised retroactively.

(d) By governmental law or regulations.

(2) Residents must promptly report to us any of the following changes in household circumstances when they occur between Annual Rent recertification:

(a) A member has been added to the family through birth, adoption, or court-awarded custody.

(b) A household member is leaving or has left the family unit.

In addition, Residents paying rent based on a percentage of income may report the following activities that occur between the Annual Rent Recertifications:

(a) A decrease in annual income;

(b) Childcare expenses for children under the age of 13 that are necessary to enable a member of the household to be employed or to go to school;

- (c) Handicapped assistance expenses, which enable a family member to work;
- (d) Medical expenses of elderly, disabled, or handicapped-headed households that are not covered by insurance; or
- (e) Other family changes that impact their adjusted income.

Notwithstanding the provisions listed above, a Resident's rent shall not be reduced if the decrease in the family's annual income is caused by a reduction in the welfare or public assistance benefits received by a family that is a result of the Resident's failure to comply with the conditions of the assistance program requiring participation in an economic self-sufficiency program or other work activities. In addition, if the decrease in the family's annual income is caused by a reduction in welfare or public assistance benefits received by the family that is a result of an act of fraud, such decrease in income shall not result in a rent reduction. In such cases, the amount of income to be attributed to the family shall include what the family would have received had they complied with the welfare requirements or had not committed an act of fraud.

For the purposes of rent adjustments, the reduction of welfare or public assistance benefits to a family that occurs as a result of the expiration of a time limit for the receipt of assistance will not be considered a failure to comply with program requirements. Accordingly, a Resident's rent will be reduced as a result of such a decrease.

(3) If we change your rent, we will mail or deliver to you a "Notice of Rent Adjustment".

- (a) The notice shall state the new amount, the date from which the new amount takes effect, and the deadline to request a grievance hearing.
- (b) You may ask us for an explanation stating the specific grounds for the rental redetermination. If you do not agree with the rental redetermination, you have the right to request a grievance hearing, if you give us notice in accordance with Section XIV of this lease.
- (c) If we decrease your rent, the change will have effect from the first of the following month, if you give us written notice with written verification by your employer or other source by the 15th of the previous month. If we increase your rent, the change will have effect from the first day of the second following month, unless the increase results from your misrepresentation of the facts to us.

D. If you are in a moderate rent project, we will change your rent as approved by the Department of Economic and Community Development of the State of Connecticut.

E. If we decide that your apartment is no longer the right size for you, we shall notify you of this. Then, you must sign a new lease in the same form as this lease, for another apartment. You must transfer to the right sized apartment within seven days after you receive our notice that a unit is available. You may ask for an explanation stating the specific grounds for the transfer determination. If you do not agree with the transfer determination you have the right to request a grievance hearing if you give us notice in accordance with Section XIV of this lease.

## X. OCCUPANCY:

### A. Your Right to Use and Occupancy:

- (1) You shall have the right to exclusive use and occupancy of the apartment for residence by your Household.
- (2) You also have the right to reasonably accommodate guests or visitors. "Guest" means a person in the apartment with the consent of a household member. Household members shall comply with our rules on use of the dwelling unit by guests or visitors.
- (3) With our consent, in writing, you may accommodate foster children and live in care of a member of your family, or engage in legal profit-making activities that are incidental to the primary use of the apartment.

### B. Our Obligations:

Our obligations under the lease shall include the following:

- (1) To provide services and maintenance for the dwelling unit, equipment, and for the common areas and facilities, which are needed to keep the housing in decent, safe, sanitary, free of hazardous conditions, and in good repair. In addition, to provide a stove and refrigerator in the low rent program only.

(2) To comply with the requirements of applicable state and local building or housing codes concerning matters materially affecting the health or safety of the occupants.

(3) To maintain in good and safe working order and condition electrical, plumbing, sanitary, heating, ventilating, and other facilities and appliances, including elevators.

(4) To provide and maintain appropriate receptacles and facilities, excluding exclusive use containers of the individual household, for the deposit of ash, garbage, rubbish, and other waste.

(5) To supply running water and reasonable amount of hot water and reasonable amounts of heat at the appropriate times of the year.

(6) To notify you of the specific grounds for any lease termination or any proposed adverse action by us including but not limited to: material non-compliance with the lease, transfer to another unit, imposition of charges for maintenance and repair, or for excess consumption of utilities.

(a) The notice of lease termination or proposed adverse action shall inform you of the right to request a grievance hearing; excluding, lease termination for any criminal activity that threatens the health, safety, or right to peaceful enjoyment of the premises of other residents or our employees, or any drug-related criminal activity on or near our premises.

(b) In the case of a proposed adverse action other than lease termination, we shall not take the proposed adverse action until the time for you to request a grievance hearing has expired and if a grievance hearing was timely requested, until the grievance process is completed.

(7) If the apartment is damaged to the extent that conditions are created which are hazardous to life, health or safety of the occupants:

(a) We shall repair the unit in a reasonable time, provided that if the damage was caused by you, your household or guests, the reasonable cost of the repairs shall be charged to you.

(b) Where repairs cannot be made within a reasonable time, we shall offer alternative accommodations similar to the damaged unit, if available.

(c) If we fail to abide by paragraphs b or c of this section, your rent may be abated in proportion to the seriousness of the damage and loss in value of the unit or if alternate accommodations are not provided, except that no abatement of rent shall occur if you request the alternative accommodations or if the damage was caused by you, your household or guests.

#### C. Your Obligations:

The obligations of you and your Household under the lease shall include the following:

(1) Shall use the dwelling unit (A) solely for residence by the Household, and (B) as your only place of residence, and not use or permit its use for any other purpose.

(2) Shall not sublease or assign the lease, or provide accommodations for boarders or lodgers.

(3) If the apartment is damaged to the extent that the conditions are created which are hazardous to life, health or safety of occupants, you shall immediately notify the project management of the damage.

(4) Shall supply any certification, release information or documentation which we, HUD or the State Department of Economic and Community Development determine to be necessary, including submissions required by us for an annual reexamination or interim reexamination of Family income and composition in accordance with HUD and State regulations.

(5) Shall move from the dwelling unit in either of the following circumstances:

(A) We determine the Household is residing in a unit which is larger or smaller than appropriate for the Household size and composition under our unit size standards, or determine that the character of the unit is otherwise inappropriate for the Household size and composition, or determine that the unit requires substantial repairs, is scheduled for modernization, or is not in decent, safe and sanitary condition, and we offer you another dwelling unit. The offered unit shall be decent, safe and sanitary and of appropriate size under our unit size standards.

(B) The dwelling unit is hazardous to the health or safety of the occupants, and we offer you another dwelling unit if available.

- (6) Shall abide by necessary and reasonable regulations promulgated by us for the benefit and well being of the housing project and the tenants, which shall be posted in the project office.
- (7) Shall comply with all obligations imposed upon you by applicable provisions of building and housing codes materially affecting health and safety.
- (8) Shall keep the dwelling unit and such other areas as may be assigned to you for your exclusive use in a clean and safe condition.
- (9) Shall dispose of all ashes, garbage, rubbish, and other waste from the dwelling unit in a sanitary and safe manner.
- (10) Shall use only in a reasonable manner all electrical, plumbing, sanitary, heating, ventilating, air conditioning and other facilities and appurtenances including elevators.
- (11) Shall refrain from, and to cause the household and guests to refrain from destroying, defacing, damaging, or removing any part of the dwelling unit or project.
- (12) Shall pay reasonable charges (other than for wear and tear) for the repair of damages to the dwelling unit, or the project (including damages to project buildings, facilities or common areas) caused by you, a member of the household or a guest.
- (13) Shall act, and cause household members or guests to act, in a manner that will not disturb other resident's peaceful enjoyment of their accommodations and will be conducive to maintaining the project in a decent, safe and sanitary condition.
- (14) Shall not engage in criminal activity in the dwelling unit or premises, and shall prevent criminal activity in the unit or premises by household member or guests. Any of the following criminal activities by a Household member, on the premises, shall be a violation of the lease a cause for termination of tenancy and for eviction from the unit: (A) any crime or threat of physical violence to persons or property or alcohol abuse that threatens the health, safety or right to peaceful enjoyment of our premises by other residents or employees; (B) illegal use, sale manufacture or distribution of narcotics, or possession with the intent to use, sell, manufacture, or distribute controlled substances.
- (15) Shall not commit any fraud in connection with any Federal housing assistance program.
- (16) Shall not receive assistance for occupancy of any other unit assisted under the Federal housing assistance program during the term of the lease.
- (17) **We have adopted a one strike or, "Zero Tolerance" policy. Any violation of the "Zero Tolerance" policy constitutes a serious material violation of the lease and is grounds for termination of the lease and eviction from the premises.**
- (18) Every adult public housing resident is required to contribute eight (8) hours per month in community service or to participate in a self-sufficiency program. The following persons are exempt from the Community Work/ Self-Sufficiency Requirement: Persons 62 or older, Blind or Disabled, Employed, Section 8 recipient, Welfare Work program participant or complying with welfare to work. We will determine tenant compliance with the Community Work/ Self-Sufficiency Requirement on an annual basis. Failure to comply with the Community Work/Self-Sufficiency Requirement, unless you are exempt, is a breach of this lease.
- (19) Shall comply with our Pet Policy.

#### XI. PRE-OCCUPANCY AND POST-TERMINATION INSPECTIONS; ENTRY:

- A. Before you move into your apartment we both shall inspect it and note, in writing, the condition of the apartment and equipment. You may have a representative join in the inspection.
- B. After you move in, we may enter your apartment at reasonable times for the purpose of performing routine inspections and maintenance or for making improvements or repairs. We will give you at least 48 hours prior notice of the date and purpose of our entry.
- C. We may enter your apartment without notice if we have reasonable cause to believe there is an emergency.



- D. If we enter the unit while your and your household members are absent, we will notify you, in writing, at once of the date, time and purpose of entry prior to leaving the unit.
- E. When you vacate, we will inspect the apartment and tell you, in writing, of any charge which you must pay. You and your representatives may join in our inspection.

## XII. LEGAL NOTICE:

- A. Any notice shall be given in accordance with federal and state laws and regulations.
- B. Any notice which we must give you under this lease will be in writing. Unless the law requires otherwise, we can give sufficient notice in any one of the following ways:
  - (1) Notice may be delivered to you by first class mail.
  - (2) Notice may be mailed to you by certified mail, return receipt requested, postage paid.
  - (3) Notice may be hand delivered to you or any adult who answers your door.
  - (4) Notice may be delivered by any other means reasonably likely to give you actual notice.
  - (5) If you are visually impaired, all notices will be in an accessible format.
- C. Any Notice you must give us under this lease will be in writing. You can give sufficient notice in any one of the following ways:
  - (1) Notice may be delivered to our office in the project of your apartment.
  - (2) Notice may be mailed by certified mail, postage paid, to Housing Authority of the City of Hartford, 475 Flatbush Avenue, Hartford, Connecticut 06106.
- D. Either you or we can give notice on any day of the month.

## XIII. TERMINATION OF LEASE:

- A. You may terminate this lease any time by giving us 15 days notice. You will leave your apartment in clean and good condition. You will return all keys to us.
- B. We may terminate your lease for serious or repeated violations of the lease or other good cause by giving you notice as required by law. Serious violation of the lease or other good cause includes, but is not limited to the following cases:
  - (1) Your failure to timely supply to us any certification, release information or documentation on Family income or composition;
  - (2) Your non-payment of rent or charges;
  - (3) You caused, (a) physical violence to other tenants or employees, or threaten the health, safety or right to peaceful enjoyment of our premises by other residents or employees; (b) alcohol abuse that threatens the health, safety or right to peaceful enjoyment of our premises by other residents or employees; (c) illegal use, sale, manufacture or distribution of a controlled substance, or possession with the intent to use, sell, manufacture, or distribute controlled substances, on or near the premises; and/or
  - (4) You failed to comply with the rules and regulations or obligations referred to in your obligations, Section X C;
  - (5) Your failure to comply with provisions of the pet policy;
  - (6) Your failure to perform required Community Work/Self Sufficiency Service, unless exempted therefrom as provided for in Article X.C.18 of this Lease.
- C. You have the right to a grievance hearing except for conduct which is a threat to the health and safety of other tenants and our personnel or the illegal use, sale, manufacture or distribution of a controlled substance, or possession with the intent to use, sell, manufacture, or distribute controlled substances on or near the premises. Your tenancy shall not terminate until the time for you to request a grievance hearing has expired, and if a grievance hearing was timely requested, after the grievance process has been completed.

XIV. GRIEVANCE PROCEDURE:

We have posted a Grievance Procedure in your project and in central office. Its terms are part of this lease. You must follow this procedure if you wish to resolve any grievance or appeal arising from this lease.

XV. LEGAL COSTS:

In the event we sue you for any sum due under this lease, and judgment is rendered against you, we shall be entitled to collect that sum together with the costs of collection such as attorney's fees as allowed under the law.

XVI. MODIFICATION:

Modification of this lease must be accomplished by a written rider, executed by both parties, except those that are posted in accordance with 24 CFR 966.5 or adopted by the Authority and approved by HUD as part of the annual plan submission.

XVII. CERTIFICATION:

A. You certify that you and other members of the Household have not committed any fraud in connection with any Federal and State moderate housing assistance programs, unless any such fraud was fully disclosed to us before execution of this lease or our approval for occupancy of the unit by Household members.

B. You certify all information or documentation submitted by you and other Household members to us in connection with your application for or continued occupancy of any Federal housing assistance program or any State moderate rental program are true and complete to the best of your knowledge and belief.

IN WITNESS WHEREOF, we, through our duly authorized officer or representative, and you, have executed this lease this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

HOUSING AUTHORITY OF THE CITY OF HARTFORD

Signed, Sealed and Delivered  
in the presence of:

\_\_\_\_\_  
Tenant

\_\_\_\_\_  
Duly Authorized

\_\_\_\_\_  
Tenant

\_\_\_\_\_  
Co-Tenant  
(Relationship to first Tenant)

\_\_\_\_\_  
Co-Tenant  
(Relationship to first Tenant)

Hartford Tenants Rights Federation, Inc.  
26-26A Nelton Court  
Hartford, Connecticut 06120  
246-7291

Lucinda S. Thomas  
Executive Director

October 31, 2000

Mr. John D. Wardlaw,  
Executive Director  
Hartford Housing Authority  
475 Flatbush Avenue  
Hartford, CT 06106

Dear Mr. Wardlaw:

**RE: AGENCY PLAN 2001**

The Hartford Tenants Rights Federation and its Federation members have reviews the Agency Plan on several occasions since the Public Hearing on September 12, 2000.

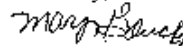
The reviews have been held at each of the developments for which HTRF has oversight.

At these meetings the changes to the Agency Plan, as well as to the Continued Occupancy Plan and Section 8 Administrative Plan were reviewed and discussed.

We believe that the final draft which is being presented to the Board of Commissioners for their approval includes all the required changes in a manner consistent with the needs and aspirations of the tenants of Public Housing in Hartford.

Should you have any questions regarding our role in this process, please do not hesitate to call.

Sincerely,



Mary Gouch,  
President/HTRF

To Improve Living Conditions Of All Residents In Public Housing  
"An Equal Opportunity Employer"

SMITH TOWER

KENT APARTMENTS

NELTON COURT

BONVILLES PARK

RICE HEIGHTS

WEST BROOK VILLAGE

STONE VILLAGE

DUTCH POINT

BELLEVIEW SQUARE

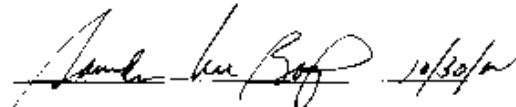
BETTY KNORR

CHARTER OAK TERRACE

MARSHNEY VILLAGE

**Certification by State or Local Official of PHA Plans Consistency with  
the Consolidated Plan**

I, Sandra Kee Borges the City Manager of the City of Hartford certify  
that the Five Year and Annual PHA Plan of the Housing Auth. of the City of Hartford is  
consistent with the Consolidated Plan of The City of Hartford prepared  
pursuant to 24 CFR Part 91.

  
Signed / Dated by Appropriate State or Local Official

# PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004

Annual Plan for Fiscal Year 2001

## PROGRESS ON GOALS AND OBJECTIVES— REQUIRED STATEMENT

The Hartford Housing Authority during the course of the first year of its five year plan has made steady progress on its several goals.

### **HUD Strategic Goal Increase availability of decent, safe, and affordable housing**

Applied for and received 156 additional rental vouchers

#### **PHA Goal**

#### **Improve the quality of assisted housing**

Demolished obsolete public housing in Stowe Village

Transferred public housing funds to complete Stowe Village

#### **PHA Goal**

#### **Increase assisted housing choices**

Continued to provide voucher mobility counseling through ROC

Continued to conduct outreach efforts to potential voucher landlords— mailing to landlord associations

Continued to implement public housing homeownership programs— sold another 10 homes under 5 (h) program

#### **PHA Goal**

#### **Provide improved living environment**

The New Community succeeded in raising income levels within public housing households

Continued to implement public housing security improvements through redesign

Attracted supportive services: City Human Services Department provided elderly services

Continued affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required through expanding collaboration with City Department of Human Services and Hebrew Home and Hospital

Other

#### **PHA Goal I**

#### **Build families in their quest for economic self— sufficiency, self – respect and homeownership**

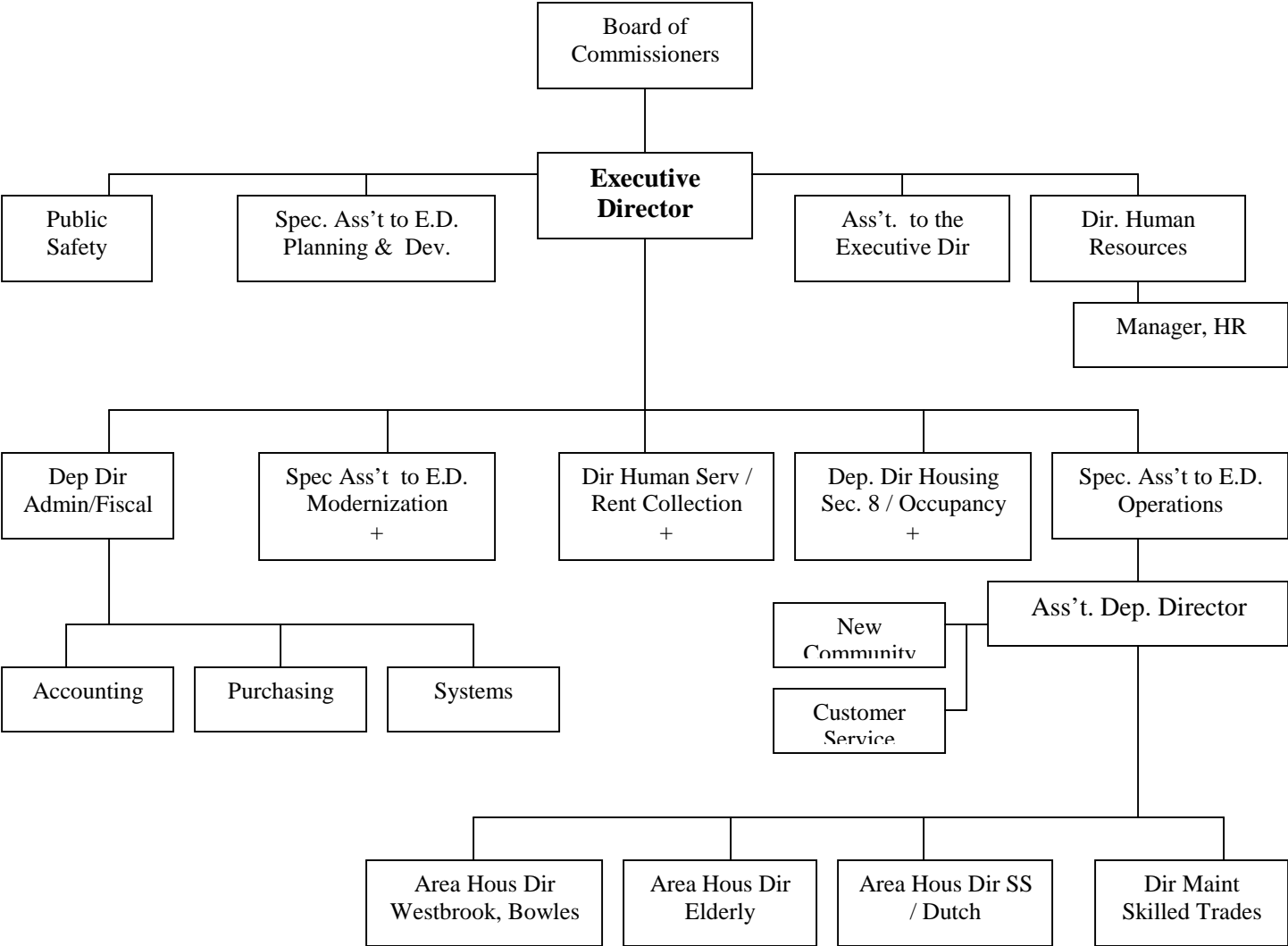
Progress being made on all 8 sub-goals

#### **PHA Goal II**

#### **Rebuild each community to achieve high quality of life expectations through lower densities and modern housing quality standards**

Progress made includes obtaining funds to complete demolition of Stowe Village, transfer of funds to Stowe Village to finish this HOPE VI project; and the other 5 sub goals.

# HARTFORD HOUSING AUTHORITY ORGANIZATION CHART 11/2000



**DECEMBER 26, 2000**

Ms. Phyllis Smelkinson, Acting Coordinator  
U.S. Department of Housing and Urban Development  
Connecticut State Office Program Center  
Office of Public Housing  
One Corporate Center, Suite 19  
Hartford, CT 06103-3220

**HAND DELIVER**

Dear Ms. Smelkinson:

**RE: PUBLIC HOUSING AGENCY (PHA) PLAN- YOUR LETTER 12/19/2000  
Technical Deficiency Remedy**

This letter is presented as the Remedy to the Technical Deficiency described in your letter of 12/19/2000. It is being sent **two ways**:

- Through this letter, see below.
- In an electronic file (floppy disc) as attachment CT003101.doc along with the entire Agency Plan as previously submitted. We continue to have difficulty reaching the HUD web site that is designed to receive these submissions. Because we are nearing the deadline for re-submission, could you have one of your staff directly load same into the system? Please let us know when this has been accomplished.

What follows is a listing of the Tenant Officers of the umbrella organization, HTRF and of the participating Tenant Associations

<b>NAME</b>	<b>President</b>	<b>Vice President</b>	<b>Secretary</b>	<b>Treasurer</b>
<b>HTRF</b>	Mary Gouch	Santa Davila	Lillian Smith	Cleve Brown
<b>Nelton Court</b>	Lillian Smith	Ann Timmons	Lori Parks	Clementine Vargas
<b>Dutch Point</b>	Sylvia Ledbetter	Maritza Pelletier	Iris Llera	Luz Marrero
<b>Mary Shepard</b>	Mary Gouch	Santa Davila	Theodora Delacruz	Deborah Anderson
<b>C. O. T.</b>	Carmen Lozada			
<b>MarMahoney</b>	Willie Mae Gulley	Genevive Johnson	Arthur Hodges	Mattie Reynolds
<b>Kent Apart.</b>	Cleve Brown	Lawrence Goboume	Mildred Tennant	Wilfred Corrodus
<b>Smith Towers</b>	Wesley Stewart			Josephine Kluzinski
<b>Betty Knox</b>	Van Long	Silas Woodward	Edna Lewis	
<b>Scattered Site</b>	Mattlyn Little	Carol Lawrence	Ruth Lebron	
<b>Tenant Comm</b>	Margarita Ortiz	Mollie Shelton		

Should there be any further questions, please feel free to contact me directly.

Sincerely,

John D. Wardlaw  
Executive Director

cc: Paul Capra, HHA  
Juan Colon, HHA