City of Tucson & Pima County Consortium PHA Plan
Annual Plan for Fiscal Year 2001

NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES
PHA Plan
Agency Identification

This is a joint plan for the City of Tucson & Pima County Consortium

PHA Name: City of Tucson Community Services Department
          Pima County Community Services Department

PHA Number: AZ004 (City of Tucson) – Public Housing Program
            (operating & capital funds); Section 8 Voucher Programs; Section 8
            Moderate Rehabilitation Program, including Single Room Occupancy
            (SROs) units; Drug Elimination Program
            AZ033 (Pima County) – Section 8 Voucher Program; HOPWA

PHA Fiscal Year Beginning: (mm/yyyy) 07/2001

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by
contacting: (select all that apply)
✓ Main administrative office of the PHA
☐ PHA development management offices
☐ PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all
that apply)
✓ Main administrative office of the PHA
☐ PHA development management offices
☐ PHA local offices
☐ Main administrative office of the local government
☐ Main administrative office of the County government
☐ Main administrative office of the State government
☐ Public library
☐ PHA website
✓ Other (list below)
  • Five Zone Management Offices
PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- [x] Main business office of the PHA
- [ ] PHA development management offices
- [x] Other (list below)
  - Five Zone Management Offices
Annual PHA Plan
PHA Fiscal Year 2001
[24 CFR Part 903.7]

i. Annual Plan Type:
Select which type of Annual Plan the PHA will submit.

☒ Standard Plan

Streamlined Plan:
☐ High Performing PHA
☐ Small Agency (<250 Public Housing Units)
☐ Administering Section 8 Only

☐ Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan
[24 CFR Part 903.7 9 (r)]
Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The City of Tucson Community Services Department, acting as lead agency for the City of Tucson and Pima County PHA Plans Consortium, has prepared this Annual Plan in compliance with Section 511 of the Quality Housing and Work Responsibility Act of 1998, hereto referred to as the Public Housing Reform Act (PHRA), and the ensuing HUD requirements. The plan reflects the collaborative efforts of the City of Tucson and Pima County PHA staff, residents of public housing, and clients of the Section 8 program. Various agencies such as the Metropolitan Housing Commission, Southern Arizona Legal Aid, and Southern Arizona People’s Law Center were specifically asked to provide input. We have adopted the following mission statement to guide the activities of the City of Tucson/Pima County PHA Plans Consortium:

To improve the quality of life of the citizens of Tucson and Pima County through housing and community service programs that strengthen and enhance the social, economic, and physical environment, especially for those of lower income.

We have also adopted the following goals and supporting objectives to increase the availability of decent, safe and affordable housing for the next five years by:
- Preserving the number of low income households served in the community
- Expanding the supply and availability of assisted housing
- Improving the quality of assisted housing
- Increasing assisted housing choices
• Increasing homeownership opportunities
• Providing an improved living environment
• Promoting self-sufficiency and resident responsibility
• Ensuring equal opportunity in housing for all Americans

Our Annual Plan therefore outlines a comprehensive approach towards our goals and objectives, and is consistent with the Consolidated Plan. Here are just a few highlights of our Annual Plan:

• The Board of Commissioners from the City of Tucson (Mayor & Council) and Pima County (Board of Supervisors) approved three admission preferences: a) involuntary displacement due to disaster or government action, b) working families or those currently enrolled in educational, training, or upward mobility programs, and c) elderly and disabled persons.
• Federal regulations also require all Public Housing Authorities to target 40% of all new public housing admissions and 75% of all new Section 8 Program admissions to families at or below 30% of median income ($13,550 for a family of four).
• The plan maintains the $25 minimum tenant rent.
• Public housing residents are offered a choice of income based rent set at 30% of adjusted monthly income or flat rent set at fair market rent for the area.
• For qualified residents that transition from welfare to work, the PHA will phase in rent increases due to changes in earned income with no increases in rent for the first year, 50% of the increase added in the second year, and the full rent increase reflected in the third year.
• The implementation of the Community Service requirement.

In summary, we are on course to improve the condition of affordable housing in the City of Tucson and Pima County.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]
Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Table of Contents

<table>
<thead>
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<th>Annual Plan</th>
<th>Page #</th>
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</tr>
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<td>3. Policies on Eligibility, Selection and Admissions</td>
<td>17</td>
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<td>27</td>
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5. Operations and Management Policies  
6. Grievance Procedures  
7. Capital Improvement Needs  
8. Demolition and Disposition  
9. Designation of Housing  
10. Conversions of Public Housing  
11. Homeownership  
12. Community Service Programs  
13. Crime and Safety  
14. Pets (Inactive for January 1 PHAs)  
15. Civil Rights Certifications (included with PHA Plan Certifications)  
16. Fiscal Audit  
17. Asset Management  
18. Other Information

- Resident Advisory Board Recommendations
- Residents on the PHA Board
- Statement of Consistency with the Consolidated Plan

**Attachments**

Indicate which attachments are provided by selecting all that apply. Provide the attachment’s name (A, B, etc.) in the space to the left of the name of the attachment. **Note:** If the attachment is provided as a SEPARATE file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

**Required Attachments:**

<table>
<thead>
<tr>
<th>#</th>
<th>Attachment Description</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Brief Statement of Progress in Meeting Five-Year Mission and Goals</td>
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<td>B</td>
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<td>D</td>
<td>Implementation of Public Housing Resident Community Service Requirement</td>
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<td>E</td>
<td>Deconcentration of Poverty and Income Mixing in Public Housing</td>
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<td>FY 2000 Capital Fund Program Annual Statement and Five Year Action Plan (az004a02)</td>
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</tr>
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<td>H</td>
<td>Public Housing Drug Elimination Program (PHDEP) Plan (az004b02)</td>
<td>63</td>
</tr>
<tr>
<td>I</td>
<td>Plan Approval and Required Certifications</td>
<td>65</td>
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<td>J</td>
<td>Section 8 PHA Project-Based Vouchers</td>
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<td>K</td>
<td>Resident Advisory Board(s) Membership</td>
<td>67</td>
</tr>
<tr>
<td>L</td>
<td>Resident Membership of the Governing Board</td>
<td>67</td>
</tr>
</tbody>
</table>

**Optional Attachments:**

<table>
<thead>
<tr>
<th>#</th>
<th>Attachment Description</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>M</td>
<td>Most recent board-approved operating budget (az004c02)</td>
<td>67</td>
</tr>
<tr>
<td>N</td>
<td>Comments of Resident Advisory Board or Boards</td>
<td>69</td>
</tr>
</tbody>
</table>
Other (List below, providing each attachment name)

**Supporting Documents Available for Review**

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| X | PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations | 5 Year and Annual Plans |
| X | State/Local Government Certification of Consistency with the Consolidated Plan | 5 Year and Annual Plans |
| X | Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement. | 5 Year and Annual Plans |
| X | Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction | Annual Plan: Housing Needs |
| X | Most recent board-approved operating budget for the public housing program | Annual Plan: Financial Resources; |
| X | Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP] | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X | Section 8 Administrative Plan | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X | Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 Quality Housing and Work Responsibility Act Initial Guidance; Notice and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis | Annual Plan: Eligibility, Selection, and Admissions Policies |
## List of Supporting Documents Available for Review

<table>
<thead>
<tr>
<th>Applicable &amp; On Display</th>
<th>Supporting Document</th>
<th>Applicable Plan Component</th>
</tr>
</thead>
<tbody>
<tr>
<td>X</td>
<td>Public housing rent determination policies, including the methodology for setting public housing flat rents</td>
<td>Annual Plan: Rent Determination</td>
</tr>
<tr>
<td></td>
<td>☑ check here if included in the public housing A &amp; O Policy</td>
<td></td>
</tr>
<tr>
<td>X</td>
<td>Schedule of flat rents offered at each public housing development</td>
<td>Annual Plan: Rent Determination</td>
</tr>
<tr>
<td></td>
<td>☑ check here if included in the public housing A &amp; O Policy</td>
<td></td>
</tr>
<tr>
<td>X</td>
<td>Section 8 rent determination (payment standard) policies</td>
<td>Annual Plan: Rent Determination</td>
</tr>
<tr>
<td></td>
<td>☑ check here if included in Section 8 A &amp; O Policy</td>
<td></td>
</tr>
<tr>
<td>X</td>
<td>Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)</td>
<td>Annual Plan: Operations and Maintenance</td>
</tr>
<tr>
<td>X</td>
<td>Public housing grievance procedures</td>
<td>Annual Plan: Grievance Procedures</td>
</tr>
<tr>
<td></td>
<td>☑ check here if included in the public housing A &amp; O Policy</td>
<td></td>
</tr>
<tr>
<td>X</td>
<td>Section 8 informal review and hearing procedures</td>
<td>Annual Plan: Grievance Procedures</td>
</tr>
<tr>
<td></td>
<td>☑ check here if included in Section 8 A &amp; O Policy</td>
<td></td>
</tr>
<tr>
<td>X</td>
<td>The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year</td>
<td>Annual Plan: Capital Needs</td>
</tr>
<tr>
<td>X</td>
<td>Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant</td>
<td>Annual Plan: Capital Needs</td>
</tr>
<tr>
<td>X</td>
<td>Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)</td>
<td>Annual Plan: Capital Needs</td>
</tr>
<tr>
<td>X</td>
<td>Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing</td>
<td>Annual Plan: Capital Needs</td>
</tr>
<tr>
<td>X</td>
<td>Approved or submitted applications for demolition and/or disposition of public housing</td>
<td>Annual Plan: Demolition and Disposition</td>
</tr>
<tr>
<td>X</td>
<td>Approved or submitted applications for designation of public housing (Designated Housing Plans)</td>
<td>Annual Plan: Designation of Public Housing</td>
</tr>
<tr>
<td>X</td>
<td>Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act</td>
<td>Annual Plan: Conversion of Public Housing</td>
</tr>
<tr>
<td>X</td>
<td>Approved or submitted public housing homeownership programs/plans</td>
<td>Annual Plan: Homeownership</td>
</tr>
<tr>
<td>X</td>
<td>Policies governing any Section 8 Homeownership program</td>
<td>Annual Plan: Homeownership</td>
</tr>
<tr>
<td></td>
<td>☑ check here if included in the Section 8 A &amp; O Policy</td>
<td></td>
</tr>
<tr>
<td></td>
<td>☑ check here if included in the Section 8 Administrative Plan</td>
<td></td>
</tr>
<tr>
<td>Applicable &amp; On Display</td>
<td>Supporting Document</td>
<td>Applicable Plan Component</td>
</tr>
<tr>
<td>-------------------------</td>
<td>--------------------------------------------------------------------------------------</td>
<td>-------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>X</td>
<td>Any cooperative agreement between the PHA and the TANF agency</td>
<td>Annual Plan: Community Service &amp; Self-Sufficiency</td>
</tr>
<tr>
<td>X</td>
<td>FSS Action Plan/s for public housing and/or Section 8</td>
<td>Annual Plan: Community Service &amp; Self-Sufficiency</td>
</tr>
<tr>
<td>X</td>
<td>Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports</td>
<td>Annual Plan: Community Service &amp; Self-Sufficiency</td>
</tr>
<tr>
<td>X</td>
<td>The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)</td>
<td>Annual Plan: Safety and Crime Prevention</td>
</tr>
<tr>
<td>X</td>
<td>The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA’s response to any findings</td>
<td>Annual Plan: Annual Audit</td>
</tr>
<tr>
<td>Consortium Agreement</td>
<td></td>
<td>5-Year and Annual Plan</td>
</tr>
<tr>
<td>Troubled PHAs: MOA/Recovery Plan</td>
<td></td>
<td>Troubled PHAs</td>
</tr>
<tr>
<td>Other supporting documents (optional) (list individually; use as many lines as necessary)</td>
<td></td>
<td>(specify as needed)</td>
</tr>
</tbody>
</table>
1. Statement of Housing Needs
[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA
Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the “Overall” Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact.” Use N/A to indicate that no information is available upon which the PHA can make this assessment.

<table>
<thead>
<tr>
<th>Family Type</th>
<th>Overall</th>
<th>Affordability</th>
<th>Supply</th>
<th>Quality</th>
<th>Accessibility</th>
<th>Size</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>Income &lt;= 30% of AMI</td>
<td>26,499</td>
<td>5</td>
<td>4</td>
<td>3</td>
<td>4</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>Income &gt;30% but &lt;=50% of AMI</td>
<td>20,043</td>
<td>5</td>
<td>4</td>
<td>3</td>
<td>4</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>Income &gt;50% but &lt;80% of AMI</td>
<td>26,637</td>
<td>4</td>
<td>3</td>
<td>2</td>
<td>3</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>Elderly</td>
<td>20,410</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Families with Disabilities</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>White</td>
<td>73,561</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Black</td>
<td>4,116</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Hispanic</td>
<td>20,353</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Native American</td>
<td>2,081</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Asian</td>
<td>2,091</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

☑ Consolidated Plan of the Jurisdiction/s
   Indicate year: 2001-2005

☑ U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset

☐ American Housing Survey data
   Indicate year:

☐ Other housing market study
   Indicate year:

☐ Other sources: (list and indicate year of information)
B. Housing Needs of Families on the Public Housing and Section 8 Tenant-Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

<table>
<thead>
<tr>
<th>Waiting list type: (select one)</th>
<th># of families</th>
<th>% of total families</th>
<th>Annual Turnover</th>
</tr>
</thead>
<tbody>
<tr>
<td>Section 8 tenant-based assistance</td>
<td>1286</td>
<td></td>
<td>360</td>
</tr>
<tr>
<td>Public Housing</td>
<td>1003</td>
<td>78</td>
<td></td>
</tr>
<tr>
<td>Combined Section 8 and Public Housing</td>
<td>244</td>
<td>19</td>
<td></td>
</tr>
<tr>
<td>Public Housing Site-Based or sub-jurisdictional waiting list (optional)</td>
<td>39</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>Waiting list total</td>
<td>1119</td>
<td>87</td>
<td></td>
</tr>
<tr>
<td>Extremely low income &lt;=30% AMI</td>
<td>347</td>
<td>27</td>
<td></td>
</tr>
<tr>
<td>Very low income (&gt;30% but &lt;=50% AMI)</td>
<td>195</td>
<td>15.2</td>
<td></td>
</tr>
<tr>
<td>Low income (&gt;50% but &lt;80% AMI)</td>
<td>678</td>
<td>52.7</td>
<td></td>
</tr>
<tr>
<td>Families with children</td>
<td>51</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>Elderly families</td>
<td>141</td>
<td>11</td>
<td></td>
</tr>
<tr>
<td>Families with Disabilities</td>
<td>347</td>
<td>27</td>
<td></td>
</tr>
<tr>
<td>White</td>
<td>195</td>
<td>15.2</td>
<td></td>
</tr>
<tr>
<td>Black</td>
<td>678</td>
<td>52.7</td>
<td></td>
</tr>
<tr>
<td>Hispanic</td>
<td>44</td>
<td>3.4</td>
<td></td>
</tr>
<tr>
<td>Native American</td>
<td>17</td>
<td>1.3</td>
<td></td>
</tr>
<tr>
<td>Asian</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Characteristics by Bedroom Size (Public Housing Only)</td>
<td>1BR</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

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### Housing Needs of Families on the Waiting List

<table>
<thead>
<tr>
<th>Room Size</th>
<th>Number of Families</th>
</tr>
</thead>
<tbody>
<tr>
<td>2 BR</td>
<td></td>
</tr>
<tr>
<td>3 BR</td>
<td></td>
</tr>
<tr>
<td>4 BR</td>
<td></td>
</tr>
<tr>
<td>5 BR</td>
<td></td>
</tr>
<tr>
<td>5+ BR</td>
<td></td>
</tr>
</tbody>
</table>

Is the waiting list closed (select one)?  
- No  
- Yes  

If yes:

- How long has it been closed (# of months)? 5
- Does the PHA expect to reopen the list in the PHA Plan year?  
  - No  
  - Yes
- Does the PHA permit specific categories of families onto the waiting list, even if generally closed?  
  - No  
  - Yes
### Housing Needs of Families on the Waiting List

**Waiting list type:** (select one)

- [ ] Section 8 tenant-based assistance
- [x] Public Housing
- [ ] Combined Section 8 and Public Housing
- [ ] Public Housing Site-Based or sub-jurisdictional waiting list (optional)
  If used, identify which development/subjurisdiction:

<table>
<thead>
<tr>
<th>Waiting list total</th>
<th># of families</th>
<th>% of total families</th>
<th>Annual Turnover</th>
</tr>
</thead>
<tbody>
<tr>
<td>Extremely low income &lt;=30% AMI</td>
<td>989</td>
<td>83</td>
<td></td>
</tr>
<tr>
<td>Very low income (&gt;30% but &lt;=50% AMI)</td>
<td>178</td>
<td>14.9</td>
<td></td>
</tr>
<tr>
<td>Low income (&gt;50% but &lt;80% AMI)</td>
<td>25</td>
<td>2.1</td>
<td></td>
</tr>
<tr>
<td>Families with children</td>
<td>996</td>
<td>84</td>
<td></td>
</tr>
<tr>
<td>Elderly families</td>
<td>61</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>Families with Disabilities</td>
<td>135</td>
<td>11</td>
<td></td>
</tr>
<tr>
<td>White</td>
<td>289</td>
<td>24.2</td>
<td></td>
</tr>
<tr>
<td>Black</td>
<td>132</td>
<td>11.1</td>
<td></td>
</tr>
<tr>
<td>Hispanic</td>
<td>727</td>
<td>61</td>
<td></td>
</tr>
<tr>
<td>Native American</td>
<td>34</td>
<td>2.9</td>
<td></td>
</tr>
<tr>
<td>Asian</td>
<td>10</td>
<td>.8</td>
<td></td>
</tr>
</tbody>
</table>

**Characteristics by Bedroom Size (Public Housing Only)**

<table>
<thead>
<tr>
<th>Bedroom Size</th>
<th># of families</th>
<th>% of total families</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 BR</td>
<td>230</td>
<td>17.25</td>
</tr>
<tr>
<td>1BR</td>
<td>360</td>
<td>27</td>
</tr>
<tr>
<td>2 BR</td>
<td>252</td>
<td>18.9</td>
</tr>
<tr>
<td>3 BR</td>
<td>336</td>
<td>25.2</td>
</tr>
<tr>
<td>4 BR</td>
<td>134</td>
<td>10.05</td>
</tr>
<tr>
<td>5 BR</td>
<td>18</td>
<td>1.35</td>
</tr>
<tr>
<td>5+ BR</td>
<td>3</td>
<td>.22</td>
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</tbody>
</table>

FY 2001 Annual Plan, Page 10
### Housing Needs of Families on the Waiting List

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Is the waiting list closed (select one)?</td>
<td>☒ No  ☐ Yes</td>
</tr>
<tr>
<td>If yes:</td>
<td></td>
</tr>
<tr>
<td>How long has it been closed (# of months)?</td>
<td></td>
</tr>
<tr>
<td>Does the PHA expect to reopen the list in the PHA Plan year?</td>
<td>☐ No  ☐ Yes</td>
</tr>
<tr>
<td>Does the PHA permit specific categories of families onto the waiting list, even if generally closed?</td>
<td>☐ No  ☐ Yes</td>
</tr>
</tbody>
</table>

---

### C. Strategy for Addressing Needs

Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency’s reasons for choosing this strategy.

### (1) Strategies

**Need:** Shortage of affordable housing for all eligible populations

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- ☒ Employ effective maintenance and management policies to minimize the number of public housing units off-line
- ☒ Reduce turnover time for vacated public housing units
- ☒ Reduce time to renovate public housing units
- ☐ Seek replacement of public housing units lost to the inventory through mixed finance development
- ☐ Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- ☒ Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- ☒ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- ☒ Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- ☒ Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- ☒ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- ☐ Other (list below)
Strategy 2: Increase the number of affordable housing units by:
Select all that apply

- Apply for additional section 8 vouchers should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)
  - Seek to expand opportunities in the County for elderly and displaced individuals

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30% of AMI
Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)
  - Apply for special purpose vouchers, should they become available, targeted to welfare-to-work recipients.
  - Make available supportive services to families through family self-sufficiency, PHDEP, and other collaborative efforts with community agencies
  - Meet HUD federal targeting requirements for families at or below 30% of AMI in public housing
  - Meet HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI
Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)
  - Apply for special purpose vouchers, should they become available, that are targeted to welfare-to-work recipients
• Make available supportive services to families through family self-sufficiency, PHDEP, and other collaborative efforts with community agencies

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:
Select all that apply

☐ Seek designation of public housing for the elderly
☑ Apply for special-purpose vouchers targeted to the elderly, should these vouchers become available
☐ Other: (list below)
  • Employ admissions strategies targeting elderly persons
  • Support other entities who support or serve the elderly
  • Support entities whose mission is to expand the number of existing housing units for low-income elderly persons
  • Employ special outreach measures targeted to elderly persons

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:
Select all that apply

☐ Seek designation of public housing for families with disabilities
☑ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
☑ Apply for additional special-purpose vouchers targeted to families with disabilities, should these vouchers become available
☑ Affirmatively market to local non-profit agencies that assist families with disabilities
☐ Other: (list below)
  • Employ admissions strategies targeting disabled persons
  • Support other entities who support or serve disabled persons
  • Support entities whose mission is to expand the number of existing housing units for low-income disabled persons
  • Employ special outreach measures targeted to disabled persons
  • Incorporate visitability design principles (e.g. wider door and hallways) when developing new or significantly rehabilitating public housing units
Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:
Select if applicable
- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)
  - Conduct outreach to owners to increase opportunity for a greater geographic distribution of races and ethnicities

Strategy 2: Conduct activities to affirmatively further fair housing
Select all that apply
- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)
  - Educate clients as to services available in the community to mitigate fair housing complaints
  - Deny program participation to owners and/or clients whose behaviors lead to abuse, discrimination or harassment of clients, thereby denying a suitable living environment for families living in assisted housing

Other Housing Needs & Strategies: (list needs and strategies below)

Need: Specific Family Types: Rural Families

Strategy 1: Allocate resources to conduct activities that expand affordable rental housing opportunities in rural areas:
Select if applicable
- Conduct outreach to owners so as to increase opportunity for greater geographic distribution
- Conduct rent reasonableness studies, as needed, to ensure Section 8 payment standards are sufficiently competitive in rural areas
- Identify funding sources to develop affordable rental housing in rural areas
(2) **Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA’s selection of the strategies it will pursue:

- [x] Funding constraints
- [x] Staffing constraints
- [x] Limited availability of sites for assisted housing
- [x] Extent to which particular housing needs are met by other organizations in the community
- [x] Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- [x] Influence of the housing market on PHA programs
- [x] Community priorities regarding housing assistance
- [x] Results of consultation with local or state government
- [x] Results of consultation with residents and the Resident Advisory Board
- [x] Results of consultation with advocacy groups
- [ ] Other: (list below)

2. **Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<table>
<thead>
<tr>
<th>Financial Resources: Planned Sources and Uses</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Sources</strong></td>
</tr>
<tr>
<td>1. Federal Grants (FY 2000 grants)</td>
</tr>
<tr>
<td>a) Public Housing Operating Fund</td>
</tr>
<tr>
<td>b) Public Housing Capital Fund</td>
</tr>
<tr>
<td>c) HOPE VI Revitalization</td>
</tr>
<tr>
<td>d) HOPE VI Demolition</td>
</tr>
<tr>
<td>e) Annual Contributions for Section 8 Tenant-Based Assistance</td>
</tr>
<tr>
<td>f) Public Housing Drug Elimination Program (including any Technical Assistance funds)</td>
</tr>
<tr>
<td>g) Resident Opportunity and Self-Sufficiency Grants</td>
</tr>
</tbody>
</table>

FY 2001 Annual Plan, Page 15
## Financial Resources:
### Planned Sources and Uses

<table>
<thead>
<tr>
<th>Sources</th>
<th>Planned $</th>
<th>Planned Uses</th>
</tr>
</thead>
<tbody>
<tr>
<td>h) Community Development Block Grant</td>
<td>$10,000</td>
<td>Public Housing Supportive Services</td>
</tr>
<tr>
<td>i) HOME</td>
<td>$0</td>
<td></td>
</tr>
<tr>
<td>Other Federal Grants (list below)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Shelter Plus Care Service Fee</td>
<td>$4,570</td>
<td>Section 8 Other</td>
</tr>
<tr>
<td><strong>2. Prior Year Federal Grants (unobligated funds only) (list below)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>HOPE VI Revitalization</td>
<td>$14,608,320</td>
<td>Public Housing Capital Improvements</td>
</tr>
<tr>
<td>Public Housing Capital Fund</td>
<td>$2,334,510</td>
<td>Public Housing Capital Improvements</td>
</tr>
<tr>
<td>Public Housing Drug Elimination Program (including any Technical Assistance funds)</td>
<td>$420,680</td>
<td>Public Housing Supportive Services</td>
</tr>
<tr>
<td>Shelter Plus Care</td>
<td>$2,132,790</td>
<td>Section 8 Other</td>
</tr>
<tr>
<td>Housing Opportunities for People with AIDS</td>
<td>$68,360</td>
<td>Section 8 Other</td>
</tr>
<tr>
<td>Economic Development &amp; Supportive Services</td>
<td>$29,000</td>
<td>Public Housing Supportive Services</td>
</tr>
<tr>
<td>Elderly Service Coordinator</td>
<td>$25,500</td>
<td>Public Housing Supportive Services</td>
</tr>
<tr>
<td>Continuum of Care Homeless Assistance</td>
<td>$217,990</td>
<td>Section 8 Other</td>
</tr>
<tr>
<td><strong>3. Public Housing Dwelling Rental Income</strong></td>
<td>$1,847,860</td>
<td>Public Housing Operations</td>
</tr>
<tr>
<td><strong>4. Other income</strong> (list below)</td>
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<td></td>
</tr>
<tr>
<td>Public Housing Program Interest/ Parking/ Laundry</td>
<td>$94,760</td>
<td>Public Housing Operations</td>
</tr>
<tr>
<td><strong>5. Non-federal sources</strong> (list below)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>City General Fund Contribution</td>
<td>$446,090</td>
<td>Public Housing Operations</td>
</tr>
<tr>
<td>Federal Home Loan Bank</td>
<td>$800,000</td>
<td>Public Housing Capital Improvements</td>
</tr>
<tr>
<td>HOPE VI Developers Fee</td>
<td>$1,000,000</td>
<td>Public Housing Capital Improvements</td>
</tr>
<tr>
<td>Misc. Rents/O&amp;M Reimbursements</td>
<td>$79,120</td>
<td>Public Housing Operations</td>
</tr>
</tbody>
</table>
Financial Resources:
Planned Sources and Uses

<table>
<thead>
<tr>
<th>Sources</th>
<th>Planned $</th>
<th>Planned Uses</th>
</tr>
</thead>
<tbody>
<tr>
<td>Property Tax Refund</td>
<td>$126,330</td>
<td>Public Housing Operations</td>
</tr>
<tr>
<td>Youth Opportunities Coord. Grant</td>
<td>$225,000</td>
<td>Public Housing/Section 8 Supportive Services</td>
</tr>
<tr>
<td>Total resources</td>
<td>$52,037,896</td>
<td></td>
</tr>
</tbody>
</table>

3. PHA Policies Governing Eligibility, Selection, and Admissions
[24 CFR Part 903.7 9 (c)]

A. Public Housing
Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)
- ☒ When families are within a certain number of being offered a unit: (state number)
- ☐ When families are within a certain time of being offered a unit: 90 days
- ☐ Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?
- ☒ Criminal or Drug-related activity
- ☒ Rental history
- ☒ Housekeeping
- ☒ Other (describe)
  - Housing Agency debt

c. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
d. ☐ Yes ☒ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
e. ☒ Yes ☐ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
(2) Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)
   - Community-wide list
   - Sub-jurisdictional lists
   - Site-based waiting lists
   - Other (describe)

b. Where may interested persons apply for admission to public housing?
   - PHA main administrative office
   - PHA development site management office
   - Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) Assignment

   1. How many site-based waiting lists will the PHA operate in the coming year? One

   2. Yes ☒ No: Are any or all of the PHA’s site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)? If yes, how many lists?

   3. Yes ☒ No: May families be on more than one list simultaneously
      If yes, how many lists? Four

   4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
      - PHA main administrative office
      - All PHA development management offices
      - Management offices at developments with site-based waiting lists
      - At the development to which they would like to apply
      - Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
   - One
   - Two
   - Three or More
b. ☒ Yes ☐ No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:
☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:
In what circumstances will transfers take precedence over new admissions? (list below)
☒ Emergencies
☒ Overhoused
☒ Underhoused
☒ Medical justification
☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)
☐ Resident choice: (state circumstances below)
☐ Other: (list below)

c. Preferences
1. ☒ Yes ☐ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection (5) Occupancy)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:
☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
☐ Victims of domestic violence
☐ Substandard housing
☐ Homelessness
☐ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)
☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans’ families
Residents who live and/or work in the jurisdiction
Those enrolled currently in educational, training, or upward mobility programs
Households that contribute to meeting income goals (broad range of incomes)
Households that contribute to meeting income requirements (targeting)
Those previously enrolled in educational, training, or upward mobility programs
Victims of reprisals or hate crimes
Other preference(s) (list below)
  • Involuntary Displacement (Disaster or Government Action)
  • Working families
  • Elderly/Disabled

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

3 Date and Time

Former Federal preferences:
  Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
  Victims of domestic violence
  Substandard housing
  Homelessness
  High rent burden

Other preferences (select all that apply)
  Working families and those unable to work because of age or disability
  Veterans and veterans’ families
  Residents who live and/or work in the jurisdiction
  Those enrolled currently in educational, training, or upward mobility programs
  Households that contribute to meeting income goals (broad range of incomes)
  Households that contribute to meeting income requirements (targeting)
  Those previously enrolled in educational, training, or upward mobility programs
  Victims of reprisals or hate crimes
  Other preference(s) (list below)
    1 Involuntary Displacement (Disaster or Government Action)
    2 Working families
    2 Elderly/Disabled

4. Relationship of preferences to income targeting requirements:
☐ The PHA applies preferences within income tiers
☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)
☒ The PHA-resident lease
☒ The PHA’s Admissions and (Continued) Occupancy policy
☐ PHA briefing seminars or written materials
☐ Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)
☒ At an annual reexamination and lease renewal
☒ Any time family composition changes
☒ At family request for revision
☒ Other (list)
- Change in citizenship/eligible immigrant status

(6) Deconcentration and Income Mixing

(Current Plan Template has been disregarded by Notice PIH 99-51. Attachment E (filename: AZ004e02) includes questions in final rule.

a. ☐ Yes ☐ No: Did the PHA’s analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. ☐ Yes ☐ No: Did the PHA adopt any changes to its admissions policies based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)
☐ Adoption of site based waiting lists
If selected, list targeted developments below:

☐ Employing waiting list “skipping” to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:

☐ Employing new admission preferences at targeted developments
If selected, list targeted developments below:
d. Yes □ No: Did the PHA adopt any changes to other policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)
  - Housing Agency debt
b. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. ☒ Yes ☐ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

☐ Criminal or drug-related activity
☒ Other (describe below)
  • Previous address and landlord name if available (written request only)
  • Previous public housing/Section 8 eviction history (written request only)

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

☐ None
☐ Federal public housing
☐ Federal moderate rehabilitation
☐ Federal project-based certificate program
☒ Other federal or local program (list below)
  • City of Tucson and Pima County waiting lists are merged.

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

☒ PHA main administrative office
☒ Other (list below)
  • Applicants can apply by phone at a central intake (applications) office or at outlying or remote locations as advertised throughout the jurisdiction, such as community centers.

(3) Search Time

a. ☒ Yes ☐ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:
The initial term of the voucher will be 60 days and will be stated on the Housing Choice Voucher. The Housing Authority may grant one or more extensions of the term, but the initial term plus any extensions will never exceed 120 calendar days from the initial date of issuance. To obtain an extension, the family must make a request prior to the expiration date. Documentation which demonstrates their inability to find a unit must accompany the request. A form for recording their search efforts will be included in the family’s briefing packet. If the family documents their efforts and additional time can reasonably be expected to result in success, the Housing Authority will grant the extension sought by the family or 60 days, whichever is less.

If the family includes a person with disabilities and the family requires an extension due to the disability, the Housing Authority will grant an extension allowing the family the full 120 days search time. If the Housing Authority determines that additional search time beyond the 120 days would be appropriate as a reasonable accommodation, the PHA may grant an extension beyond 120 days but not exceeding a maximum of 180 days total search time.

Upon submittal of a completed Request for Tenancy Approval (RTA) form, the Housing Authority will suspend the term of the voucher. The term will be in suspension until the date the Housing Authority provides notice that the request has been approved or denied. This policy allows families the full term (60 days, or more with extensions) to find a unit, not penalizing them for the period during which the Housing Authority is taking action on their request. A family may not submit a second request for approval of tenancy before the Housing Authority finalizes action on the first request.

(4) Admissions Preferences

a. Income targeting

☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent (5) Special purpose section 8 assistance programs)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)
Former Federal preferences

☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
☐ Victims of domestic violence
☐ Substandard housing
☐ Homelessness
☐ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans’ families
☐ Residents who live and/or work in your jurisdiction
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)
☐ Households that contribute to meeting income requirements (targeting)
☐ Those previously enrolled in educational, training, or upward mobility programs
☐ Victims of reprisals or hate crimes
☐ Other preference(s) (list below)
  • Involuntary Displacement (Disaster or Government Action)
  • Working families
  • Elderly/Disabled

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences

   Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
   Victims of domestic violence
   Substandard housing
   Homelessness
   High rent burden

Other preferences (select all that apply)

☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans’ families
 Residents who live and/or work in your jurisdiction
  Those enrolled currently in educational, training, or upward mobility programs
  Households that contribute to meeting income goals (broad range of incomes)
  Households that contribute to meeting income requirements (targeting)
  Those previously enrolled in educational, training, or upward mobility programs
  Victims of reprisals or hate crimes
Other preference(s) (list below)
  1 Involuntary Displacement (Disaster or Government Action)
  2 Working families
  2 Elderly/Disabled

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)
   Date and time of application
   Drawing (lottery) or other random choice technique
   NOTE: The PHA will apply skip-over policies as needed, regardless of preference, to comply with federally mandated income target requirements specifying that at least 75% of all new admissions be at or below 30% of AMI.

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)
   This preference has previously been reviewed and approved by HUD
   The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)
   The PHA applies preferences within income tiers
   Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)
   The Section 8 Administrative Plan
   Briefing sessions and written materials
   Other (list below)
b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)
  - Through non-profit organizations and appropriately related social service agencies

4. PHA Rent Determination Policies
[24 CFR Part 903.7 9 (d)]

A. Public Housing
Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies
Describe the PHA’s income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA’s minimum rent? (select one)
   - $0
   - $1-$25
   - $26-$50

2. Yes □ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:
The Housing Authority has set the minimum rent at $25. However, if a family at minimum rent requests a hardship exemption and provides documentation to the Housing Authority that one or more of the following circumstances exists, the Housing Authority may grant an exemption.

A. A hardship exists in the following circumstances:

1. When the family has lost eligibility for or is waiting an eligibility determination for a Federal, State, or local assistance program, unless the family’s reduction in welfare benefits is due to fraud by a member of the family or the family’s failure to comply with welfare to work requirements

2. When the family would be evicted as a result of the imposition of the minimum rent requirement

3. When the income of the family has decreased because of changed circumstances, including sustained medical costs or loss of employment; this does not include a voluntary loss of employment

4. When a death has occurred in the family resulting in a decrease in family income

B. If the Housing Authority determines there is no qualifying hardship, the minimum rent will be reinstated, including requiring back payment of minimum rent for the time of suspension.

C. If the Housing Authority determines there is a hardship, the family will be exempt from the minimum rent requirement until the hardship no longer exists. Any hardship exemptions will be reviewed at the next reexamination.

D. The family may use the grievance procedure to appeal the Housing Authority’s determination regarding the hardship. No escrow deposit will be required in order to access the grievance procedure.

c. Rents set at less than 30% than adjusted income

1. ☐ Yes ☒ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:
d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)
  
  If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)
  
  If yes, state percentage/s and circumstances below:

- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
  
  For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
75 percent of operating costs
100 percent of operating costs for general occupancy (family) developments
Operating costs plus debt service
The “rental value” of the unit
Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)
   - Never
   - At family option
   - Any time the family experiences an income increase
   - Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
   - Other (list below)
     - Any time the family experiences a decrease in income

   g. Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)
   - The section 8 rent reasonableness study of comparable housing
   - Survey of rents listed in local newspaper
   - Survey of similar unassisted units in the neighborhood
   - Other (list/describe below)
     - Survey of rents listed in weekly circulars

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Payment Standards
Describe the voucher payment standards and policies.

a. What is the PHA’s payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard?
   (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA’s segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level?
   (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA’s segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)
  - PHA efforts to successfully deconcentrate poverty in the jurisdiction
(2) Minimum Rent

a. What amount best reflects the PHA’s minimum rent? (select one)
   - $0
   - $1-$25
   - $26-$50

b. Yes □ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

The Housing Authority has set the minimum rent at $25. However, if a family at minimum rent requests a hardship exemption and provides documentation to the Housing Authority that one or more of the following circumstances exists, the Housing Authority may grant an exemption.

1. A hardship exists in the following circumstances:
   a. When the family has lost eligibility for or is waiting an eligibility determination for a Federal, State, or local assistance program, unless the family’s reduction in welfare benefits is due to fraud by a member of the family or the family’s failure to comply with welfare to work requirements
   b. When the family would be evicted as a result of the imposition of the minimum rent requirement
   c. When the income of the family has decreased because of changed circumstances, including sustained medical costs or loss of employment; this does not include a voluntary loss of employment
   d. When a death has occurred in the family resulting in a decrease in family income

2. If the Housing Authority determines there is no qualifying hardship, the minimum rent will be reinstated, including requiring back payment of minimum rent for the time of suspension.

3. If the Housing Authority determines there is a hardship, the family will be exempt from the minimum rent requirement until the hardship no longer exists. Any hardship exemptions will be reviewed at the next reexamination.

4. The family may use the grievance procedure to appeal the Housing Authority’s determination regarding the hardship. No escrow deposit will be required in order to access the grievance procedure.
5. Operations and Management
[24 CFR Part 903.79 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure
Describe the PHA’s management structure and organization.

(select one)

☐ An organization chart showing the PHA’s management structure and organization is attached.
☒ A brief description of the management structure and organization of the PHA follows:

The PHA is comprised of two Divisions, the Housing Management Division and the Housing Assistance (Section 8) Division, within the Community Services Department of the City of Tucson. These two divisions of the Community Services Department serve as the PHA. The Department Director serves as the PHA’s Executive Director and the Mayor and Council of the City of Tucson serve as the City of Tucson PHA’s Board of Directors.

The County Board of Supervisors serves as the Board for the Pima County Housing Authority. The City of Tucson PHA implements the Section 8 Program on a county-wide basis. Authority to do so is granted from the County to the City in the form of an Intergovernmental Agreement (IGA). Within the scope of the Five Year Plan, the County may elect to assume responsibility for the administration of the Section 8 program in the County.

The City of Tucson and the Pima County Housing Authorities have agreed to form a PHA Plan Consortium. The City of Tucson is designated as lead agency in the collaboration of the joint PHA Plan and its respective programs.

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

<table>
<thead>
<tr>
<th>Program Name</th>
<th>Units or Families Served at Year Beginning</th>
<th>Expected Turnover</th>
</tr>
</thead>
<tbody>
<tr>
<td>Public Housing</td>
<td>1,469</td>
<td>220</td>
</tr>
<tr>
<td>Section 8 Vouchers (City of Tucson)</td>
<td>3208</td>
<td>320</td>
</tr>
</tbody>
</table>
The City of Tucson and Pima County are negotiating an intergovernmental agreement, which will allow the PHA to acquire public housing units outside of the City limits, but within the Pima County limits. This will disperse the public housing unit portfolio even further and will aid in furthering our deconcentration efforts. Selection criteria for the acquisition process has been established and includes:

- Access to public transportation
- Close to shopping, schools, services, recreation, and employment opportunities
- Low concentration of minorities and low income families
- Low concentration of assisted housing units

The first phase of acquisition is the HOPE VI replacement homes in which the City intends to purchase single family homes in newly developed subdivisions. In this program, a general guideline is that less than 5% of a neighborhood would be public housing.

C. Management and Maintenance Policies
List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

The Admissions and Continued Occupancy Plan (ACOP) state the policies that govern management and maintenance of public housing. The policies also
include prevention measures such as pest control and inspections. The ACOP outlines the procedures used to prioritize and complete work orders.

Additional information on these policies is found in the lease agreement.

(2) Section 8 Management: (list below)

The Section 8 Administrative Plan states the policies which govern the management of the Section 8 Program.

### 6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

#### A. Public Housing

1. □ Yes ☑ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

   If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
   - ☑ PHA main administrative office
   - □ PHA development management offices
   - ☑ Other (list below)
     - Five Zone Management Offices

#### B. Section 8 Tenant-Based Assistance

1. □ Yes ☑ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

   If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
   - ☑ PHA main administrative office
   - □ Other (list below)
7. Capital Improvement Needs

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities
Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template OR, at the PHA’s option, by completing and attaching a properly updated HUD-52837.

Select one:

☒ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment F (FY 2000 Capital Fund Program Annual Statement and Five Year Action Plan – az004f02)

-or-

☐ The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template OR by completing and attaching a properly updated HUD-52834.

a. ☒ Yes ☐ No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

☒ The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment F (FY 2000 Capital Fund Program Annual Statement and Five Year Action Plan – az004f02)

-or-

☐ The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)
B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

[ ] Yes [ ] No:  
  a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
  b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name: Connie Chambers
2. Development (project) number: AZ004002
3. Status of grant: (select the statement that best describes the current status)
   [ ] Revitalization Plan under development
   [ ] Revitalization Plan submitted, pending approval
   [ ] Revitalization Plan approved
   [ ] Activities pursuant to an approved Revitalization Plan underway

1. Development name: Kennedy Homes
2. Development (project) number: AZ004006
3. Status of grant: (select the statement that best describes the current status)
   [ ] Revitalization Plan under development
   [ ] Revitalization Plan submitted, pending approval
   [ ] Revitalization Plan approved
   [ ] Activities pursuant to an approved Revitalization Plan underway

[ ] Yes [ ] No:  
  c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?  
     If yes, list development name/s below:

[ ] Yes [ ] No:  
  d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?  
     If yes, list developments or activities below:
Yes ☒ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☒ Yes ☐ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes ☒ No ☐ Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

<table>
<thead>
<tr>
<th>Demolition/Disposition Activity Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a. Development name: Connie Chambers</td>
</tr>
<tr>
<td>1b. Development (project) number: AZ004002</td>
</tr>
<tr>
<td>2. Activity type: Demolition ☒ Disposition ☐</td>
</tr>
<tr>
<td>3. Application status (select one)</td>
</tr>
<tr>
<td>☒ Approved</td>
</tr>
<tr>
<td>☐ Submitted, pending approval</td>
</tr>
<tr>
<td>☐ Planned application</td>
</tr>
<tr>
<td>4. Date application approved, submitted, or planned for submission: (10/01/1997)</td>
</tr>
<tr>
<td>5. Number of units affected: 200</td>
</tr>
<tr>
<td>6. Coverage of action (select one)</td>
</tr>
<tr>
<td>☒ Total development</td>
</tr>
<tr>
<td>☐ Part of the development</td>
</tr>
<tr>
<td>7. Timeline for activity:</td>
</tr>
<tr>
<td>☐ Actual or projected start date of activity: 02/01/99</td>
</tr>
<tr>
<td>☒ Projected end date of activity: 10/01/01</td>
</tr>
</tbody>
</table>
9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.79 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes ☒ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes ☐ No ☒ Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

<table>
<thead>
<tr>
<th>Designation of Public Housing Activity Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a. Development name:</td>
</tr>
<tr>
<td>1b. Development (project) number:</td>
</tr>
<tr>
<td>2. Designation type:</td>
</tr>
<tr>
<td>Occupancy by only the elderly ☐</td>
</tr>
<tr>
<td>Occupancy by families with disabilities ☐</td>
</tr>
<tr>
<td>Occupancy by only elderly families and families with disabilities ☐</td>
</tr>
<tr>
<td>3. Application status (select one)</td>
</tr>
<tr>
<td>Approved; included in the PHA’s Designation Plan ☐</td>
</tr>
<tr>
<td>Submitted, pending approval ☐</td>
</tr>
<tr>
<td>Planned application ☐</td>
</tr>
<tr>
<td>4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)</td>
</tr>
<tr>
<td>5. If approved, will this designation constitute a (select one)</td>
</tr>
<tr>
<td>☐ New Designation Plan</td>
</tr>
<tr>
<td>☐ Revision of a previously-approved Designation Plan?</td>
</tr>
</tbody>
</table>
6. Number of units affected:

7. Coverage of action (select one)
   - [ ] Part of the development
   - [ ] Total development

10. Conversion of Public Housing to Tenant-Based Assistance

   [24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. [ ] Yes [ ] No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description
   - [ ] Yes [ ] No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

<table>
<thead>
<tr>
<th>Conversion of Public Housing Activity Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a. Development name:</td>
</tr>
<tr>
<td>1b. Development (project) number:</td>
</tr>
<tr>
<td>2. What is the status of the required assessment?</td>
</tr>
</tbody>
</table>
   - [ ] Assessment underway
   - [ ] Assessment results submitted to HUD
   - [ ] Assessment results approved by HUD (if marked, proceed to next question)
   - [ ] Other (explain below)
| 3. [ ] Yes [ ] No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.) |
### 4. Status of Conversion Plan (select the statement that best describes the current status)

- [ ] Conversion Plan in development
- [ ] Conversion Plan submitted to HUD on: (DD/MM/YYYY)
- [ ] Conversion Plan approved by HUD on: (DD/MM/YYYY)
- [ ] Activities pursuant to HUD-approved Conversion Plan underway

### 5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

- [ ] Units addressed in a pending or approved demolition application (date submitted or approved: ___)
- [ ] Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: ___)
- [ ] Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: ___)
- [ ] Requirements no longer applicable: vacancy rates are less than 10 percent
- [ ] Requirements no longer applicable: site now has less than 300 units
- [ ] Other: (describe below)

---

### B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

### C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

### 11. Homeownership Programs Administered by the PHA

#### [24 CFR Part 903.7 9 (k)]

#### A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. [ ] Yes  [x] No:  Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to small PHA or high performing PHA status. PHAs completing streamlined submissions may skip to component 11B.)
2. Activity Description

Yes ☐  No ☐ Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

<table>
<thead>
<tr>
<th>Public Housing Homeownership Activity Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Complete one for each development affected)</td>
</tr>
<tr>
<td>1a. Development name:</td>
</tr>
<tr>
<td>1b. Development (project) number:</td>
</tr>
<tr>
<td>2. Federal Program authority:</td>
</tr>
<tr>
<td>☐ HOPE I</td>
</tr>
<tr>
<td>☐ 5(h)</td>
</tr>
<tr>
<td>☐ Turnkey III</td>
</tr>
<tr>
<td>☐ Section 32 of the USHA of 1937 (effective 10/1/99)</td>
</tr>
<tr>
<td>3. Application status: (select one)</td>
</tr>
<tr>
<td>☐ Approved; included in the PHA’s Homeownership Plan/Program</td>
</tr>
<tr>
<td>☐ Submitted, pending approval</td>
</tr>
<tr>
<td>☐ Planned application</td>
</tr>
<tr>
<td>4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)</td>
</tr>
<tr>
<td>5. Number of units affected:</td>
</tr>
<tr>
<td>6. Coverage of action: (select one)</td>
</tr>
<tr>
<td>☐ Part of the development</td>
</tr>
<tr>
<td>☐ Total development</td>
</tr>
</tbody>
</table>

**B. Section 8 Tenant Based Assistance**

1. ☐ Yes ☒ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program
□ Yes □ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- □ 25 or fewer participants
- □ 26 - 50 participants
- □ 51 to 100 participants
- □ more than 100 participants

b. PHA-established eligibility criteria

□ Yes □ No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]
Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

□ Yes □ No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 05/13/98

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

□ Yes □ No: Client referrals
□ Information sharing regarding mutual clients (for rent determinations and otherwise)
□ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
□ Jointly administer programs
□ Partner to administer a HUD Welfare-to-Work voucher program
□ Joint administration of other demonstration program
□ Other (describe)

- The Program Manager for the TANF agency and several of her staff serve on the PHA’s Self-Sufficiency Advisory Board.
B. Services and programs offered to residents and participants

**(1) General**

a. Self-Sufficiency Policies
Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- [ ] Public housing rent determination policies
- [ ] Public housing admissions policies
- [ ] Section 8 admissions policies
- [ ] Preference in admission to section 8 for certain public housing families
- [ ] Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- [ ] Preference/eligibility for public housing homeownership option participation
- [ ] Preference/eligibility for section 8 homeownership option participation
- [ ] Other policies (list below)

b. Economic and Social self-sufficiency programs

- [ ] Yes □ No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

<table>
<thead>
<tr>
<th>Services and Programs</th>
<th>Estimated Size</th>
<th>Allocation Method</th>
<th>Access</th>
<th>Eligibility</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program Name &amp; Description (including location, if appropriate)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Family Self-Sufficiency</td>
<td>200</td>
<td>Criteria</td>
<td>PHA main office/PHA Wide</td>
<td>PH &amp; Section 8</td>
</tr>
<tr>
<td>Recreational Services</td>
<td>500</td>
<td>None</td>
<td>Tucson Park &amp; Recreation Department</td>
<td>Elderly/Disabled Public Housing Sites</td>
</tr>
<tr>
<td>Survival Wilderness Training</td>
<td>18 youth</td>
<td>Criteria</td>
<td>Tucson Parks and Recreation Department</td>
<td>Public Housing PHDEP Sites</td>
</tr>
</tbody>
</table>
(2) Family Self Sufficiency program/s

a. Participation Description

<table>
<thead>
<tr>
<th>Family Self Sufficiency (FSS) Participation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program</td>
</tr>
<tr>
<td>-----------------</td>
</tr>
<tr>
<td>Public Housing</td>
</tr>
<tr>
<td>Section 8</td>
</tr>
</tbody>
</table>

b. ☒ Yes ☐ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size? If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

☒ Adopting appropriate changes to the PHA’s public housing rent determination policies and train staff to carry out those policies
☒ Informing residents of new policy on admission and reexamination
☒ Actively notifying residents of new policy at times in addition to admission and reexamination.
☒ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
Establishing a protocol for exchange of information with all appropriate TANF agencies

Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

- See Attachment D - Implementation of Public Housing Resident Community Services Requirement.

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

☐ High incidence of violent and/or drug-related crime in some or all of the PHA's developments

☐ High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments

☒ Residents fearful for their safety and/or the safety of their children

☒ Observed lower-level crime, vandalism and/or graffiti

☐ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime

☐ Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

☒ Safety and security survey of residents

☒ Analysis of crime statistics over time for crimes committed “in and around” public housing authority

☐ Analysis of cost trends over time for repair of vandalism and removal of graffiti

☒ Resident reports

☑ PHA employee reports

☒ Police reports

☒ Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs

☒ Other (describe below)
• Address needs of residents as identified in resident survey (safety and services)

3. Which developments are most affected? (list below)
• Two developments (AZ004002 and AZ004006) have two police officers assigned to patrol areas.
• In accordance with 24 CFR Parts 950 and 960 titled “Public and Indian Housing Exemption From Eligibility Requirements for Police Officers and Security Personnel”, the PHA has had 10 public housing units occupied by Tucson Police Officers located at various multi-unit family sites throughout the community.

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)
   □ Contracting with outside and/or resident organizations for the provision of crime-and/or drug-prevention activities
   ✗ Crime Prevention Through Environmental Design
   ✗ Activities targeted to at-risk youth, adults, or seniors
   ✗ Volunteer Resident Patrol/Block Watchers Program
   ✗ Other (describe below)
   Applied for Weed and Seed Grant for development AZ004006

2. Which developments are most affected? (list below)
   AZ004006, AZ004002

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)
   ✗ Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
   ✗ Police provide crime data to housing authority staff for analysis and action
   ✗ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
   ✗ Police regularly testify in and otherwise support eviction cases
   ✗ Police regularly meet with the PHA management and residents
   ✗ Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
   ✗ Other activities (list below)
• PHA has had 10 public housing units occupied by Tucson Police Department officers located at various multi-unit family sites throughout the community.

2. Which developments are most affected? (list below)
   AZ004002, AZ004006, AZ004003, AZ004004, AZ004015

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

☐ Yes ☐ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
☐ Yes ☐ No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
☒ Yes ☐ No: This PHDEP Plan is at Attachment H. (Filename: az004h02)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]
Per PIH 2000-43, the Pet Policy is at Attachment C

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. ☒ Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 US.C. 1437c(h))? (If no, skip to component 17.)
2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☒ Yes ☐ No: Were there any findings as the result of that audit?
4. ☒ Yes ☐ No: If there were any findings, do any remain unresolved?
   If yes, how many unresolved findings remain?
5. ☒ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD?
   If not, when are they due (state below)?
17. PHA Asset Management
[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. ☒ Yes ☐ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have not been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)
   - ☐ Not applicable
   - ☐ Private management
   - ☒ Development-based accounting
   - ☒ Comprehensive stock assessment
   - ☐ Other: (list below)

3. ☒ Yes ☐ No: Has the PHA included descriptions of asset management activities in the optional Public Housing Asset Management Table?

18. Other Information
[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. ☒ Yes ☐ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA MUST select one)
   - ☒ Attached at Attachment N
   - ☐ Provided below:

3. In what manner did the PHA address those comments? (select all that apply)
   - ☒ Considered comments, but determined that no changes to the PHA Plan were necessary.
   - ☐ The PHA changed portions of the PHA Plan in response to comments
     List changes below:

   - ☐ Other: (list below)
B. Description of Election process for Residents on the PHA Board

1. ☑ Yes ☐ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

   **NOTE:** PHA is continuing to pursue recognition of our exemption with HUD.

2. ☐ Yes ☐ No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

   a. Nomination of candidates for place on the ballot: (select all that apply)
      - Candidates were nominated by resident and assisted family organizations
      - Candidates could be nominated by any adult recipient of PHA assistance
      - Self-nomination: Candidates registered with the PHA and requested a place on ballot
      - Other: (describe)

   b. Eligible candidates: (select one)
      - Any recipient of PHA assistance
      - Any head of household receiving PHA assistance
      - Any adult recipient of PHA assistance
      - Any adult member of a resident or assisted family organization
      - Other (list)

   c. Eligible voters: (select all that apply)
      - All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
      - Representatives of all PHA resident and assisted family organizations
      - Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: City of Tucson and Pima County

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.

The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.

The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.

Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

- Provide homeownership opportunities for first-time buyers, particularly for low and moderate incomes with families
- Provide assisted rental housing opportunities to extremely low, very low and low income elderly, families, homeless, and other persons with special needs
- Promote supportive services and facilities for frail elderly, disabled persons, low-income families (renters) and those persons with special needs
- Other: (list below)

3. (Omitted from template)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.
Attachments

Use this section to provide any additional attachments referenced in the Plans.

Attachment A – Brief Statement of Progress in Meeting Five Year Mission and Goals

The City of Tucson/Pima County PHA Plan Consortium demonstrated significant progress in meeting its Five-Year Mission and Goals. In the first year of the Agency Plan, the City of Tucson/Pima County PHA Plan Consortium successfully implemented key projects and actions supporting City/County goals. These initiatives include the following:

- Increasing the availability of decent, safe and affordable housing
  
  - The Housing Authority was awarded 230 new housing choice vouchers through a Fair Share grant. These included 178 vouchers for the City of Tucson and 52 vouchers for Pima County
  - The Housing Authority also added 99 preservation “enhanced” vouchers to its inventory. The Department of Housing and Urban Development provides tenant-based assistance to eligible residents where the owner of a “236” property prepays the mortgage or voluntarily terminates the mortgage insurance. The purpose of these special “enhanced” vouchers is to preserve the rent affordability of the existing residents.
  - Pima County was awarded 50 Section 8 vouchers for five-year funding under the Mainstream Housing Opportunities for Persons with Disabilities. The Housing Authority will use the special vouchers to address the housing needs for its eligible disabled applicants. The City of Tucson and Pima County PHAs will develop a plan for outreach with rural-base providers. Their commitment to the community along with their strong partnership with non-profits will foster the development of key resources while expanding accessible housing opportunities in the county.

- Promoting self-sufficiency and resident responsibility.
  
  - Phase-In Rents: Phase-in rents were instituted, whereby someone moving from welfare to working income was not penalized in the first year for newly earned income, only 50% of new income charged in the second or follow-on year, and then 0% on or after the third year.
  - Community Service: The Housing Authority has reviewed this initiative and has finalized a plan to encourage all public housing residents to furnish at least eight (8) hours of community service beginning July 1, 2001.
• Improve quality of life and economic vitality of the community
  • Outreach briefings to local landlords to encourage deconcentration of poverty.

Other initiatives for both Public Housing and Section 8 Program have been the implementation of the Minimum Rent Hardship Exemption to assist public housing and Section 8 families experiencing temporary or long-term economic hardship in retaining their housing.

**Attachment B – Definition of Substantial Deviation and Significant Amendment**

It is the intent of the City of Tucson/Pima County PHA Plan Consortium to adhere to the mission, goals and objectives outlined in the Five-Year strategic plan. The plan, however, will be modified and re-submitted to HUD should a substantial deviation from program goals and objectives occur. Substantial deviations are defined by the Housing Authority as:

• Any change in the planned or actual use of federal funds for activities that would prohibit or redirect the Housing Authority’s strategic goals of increasing the availability of decent, safe and affordable housing for the citizens of the City of Tucson and Pima County.
• Any single or cumulative annual change in the planned or actual use of federal funds as identified in the Five-Year plan that exceeds 20% of the City of Tucson/Pima County Consortium’s annual program budgets for Section 8 or public housing activities.
• A need to respond immediately to Acts of God beyond the control of the Housing Authority, such as earthquakes, civil unrest, or other unforeseen significant event.
• A mandate from local government officials, specifically the governing board of the Housing Authority, to modify, revise, or delete the long-range goals and objectives of the program.

A substantial deviation does not include: any changes in HUD rules and regulations which require or prohibit changes to activities listed herein.

A Significant Amendment or Modification to the Annual Plan and Five-Year Plans is defined as:

• Changes of a significant nature to the rent or admissions policies, or the organization of the waiting list not required by federal regulatory requirements as to effect a change in the Section 8 Administrative Plan or the Public Housing Admissions and Continued Occupancy Policy (ACOP).
• A change in the planned or use of replacement reserve funds under the Capital Fund that exceeds 20% of the City of Tucson’s annual budget.
• The addition of activities effecting more than 10% of annual PHDEP grants.
Attachment C – Pet Policy

This policy and procedures apply to the keeping of pets in all units in the Conventional Public Housing program. This policy shall be applied to all new tenants, to all existing tenants currently having pets as their leases are renewed, and to all existing tenants wishing to get a pet.

The Area Housing Manager shall meet with each pet owner to explain specific procedures in the development related to proper pet management, review applicable pet rules, and provide tenant with a copy of this appendix. Authorization of a Pet Permit provides pet owner(s) with the privilege to keep, harbor and maintain pet(s) on Conventional Public Housing premises. The Area Manager will ensure the pet owner understands that violation of the Pet Policy may result in revocation of the pet owner’s Pet Permit and removal of the pet from the premises.

If the complaints are not resolved informally and if there have been three violations of the pet rules requiring housing management involvement, management shall inform the tenant that procedures will be initiated to revoke the Pet Permit. Upon revocation of this Permit, the TENANT must permanently remove the pet(s) from the premises within seven (7) days from the date of the notice. Failure to do so may result in termination of the Dwelling Lease. Revocation of the pet permit is not grievable, but termination of the tenant’s lease for failure to remove the pet after the permit is revoked may be the subject of a grievance by the tenant under established grievance procedures.

A person with a disability may keep an assistive/service animal that is needed as a reasonable accommodation to his/her disability. In general, animals used to assist persons with disabilities are not covered by the rules indicated below. The PHA will require proof that residents are eligible for this exclusion. An animal needed as a reasonable accommodation is subject to the PHA’s pet policy but is exempt from additional deposit. An approved exception shall be noted on the lease amendment. See Rule #18 below.

The PHA may change these rules at any time, providing new rules instituted are reasonable and tenants are given at least 30 days advance notice and an opportunity to comment.

RULES

1. All residents who either currently have or desire to obtain a pet shall request permission in writing to the Area Housing Manager and submit a photo of the pet and other required documentation.

2. A Pet Permit and Agreement, (Amendment of Dwelling Lease) shall be signed immediately by the tenant, with original to the tenant file. This Dwelling Lease Amendment (see attached) contains the rights and responsibilities of the tenant and management with respect to pet ownership. A pet deposit of $100 will be required for each dog or cat. The lease amendment must be signed and the pet deposit must be paid before the pet is allowed on the premises. (Note: Existing Tenants with
3. Only common household pets are permitted. Common "household" pets shall include domesticated dogs, cats, rodents, fish, birds and turtles that are traditionally kept in the home rather than for commercial or other purposes. Snakes, iguanas or other large lizards, pot-belly pigs, and ferrets are not allowed, nor are any poisonous animals.

4. The number and size of pets are limited as follows:

   a. Dogs and cats -- limit of one each per household. Dogs may not exceed 14 inches at the shoulder or 20 lbs. when fully grown. Note: An exception to the size and weight restrictions may be granted only for tenants residing in single family units having a private yard with a secured fence. This exception is voided if the household transfers to a non-single family unit.

   b. Birds -- limit of two per household, no larger than a parakeet. Birds must be kept in a cage at all times.

   c. Fish -- limit of one aquarium per household with a maximum capacity of 10 gallons.

   d. Turtles -- no more than two per household, small in size. Turtles must be kept in a cage or other container at all times.

   e. Rodents -- no more than two of each type per household. Authorized rodents include mice, chinchillas, guinea pigs, hamsters and white rats. Rodents must be kept in a cage or other secure container at all times.

5. The tenant must be able to maintain control over their pets.

6. Dogs and cats must remain within the unit and not be allowed outside, unattended, at any time. An exception would apply to tenants living in housing with secure (fenced) yards.

7. No chaining of unattended dog is permitted at anytime.

8. Dogs must be walked while on a leash and all droppings must be removed and disposed of by the person walking the animal. Units, patios and yards must be kept free of odors, insect infestation and pet feces, urine, waste and litter.

9. We strongly encourage dogs and cats to be neutered or spayed. We insist, however, on the owner providing proof of licensing and inoculations and the name of the veterinarian. Owners must provide a certification each year at the time of their annual reexamination that the pet continues to be in good health and has all required vaccinations. A copy of this documentation will be maintained in the tenant file.

10. Any dog that is used to threaten either people or other animals will be deemed "vicious" and barred from the development.

11. The PHA, at its sole discretion, may randomly and periodically inspect the units of pet owners with appropriate notice to ensure compliance.

12. Pets must be restrained and prevented from digging, gnawing, chewing, scratching or otherwise defacing doors, walls, windows, floor coverings, other units, common areas, buildings, landscaping
13. Pet owners shall be liable for damage caused by their pets. PHA shall require of the tenant, payment of a pet deposit of $100. Arrangement may be made to allow the tenant to pay the deposit over a period of two months. If the tenant's pet deposit does not cover the damages, management and the tenant will agree on a payment plan to pay for the damage as well as replacement of the pet deposit. The pet deposit is separate from, and in addition to, any security deposit held on behalf of the tenant by the PHA. The pet deposit will be refunded to the pet owner within 30-60 days of the day the pet is removed or within 30-60 days of the day the tenant vacates the unit, whichever comes first, less deductions detailed in writing and reasonably related to the regulation of pets.

14. Tenants must board their pets away from the development or make other arrangements for the care of their pets when they intend to leave their unit for 24 hours or more. The Pet Permit and Agreement requires tenants to provide the PHA with the name and phone number of relative or friend who has agreed to assume responsibility for the pet in the event of sudden illness or death of the tenant. The PHA reserves the right to consider the presence of an unattended pet an emergency, and will enter the unit to remove the pet.

15. PHA staff, including maintenance personnel, reserve the right to refuse to enter a unit to perform work where there is an unattended animal.

16. Pet owners are expected to exercise responsible and courteous behavior so that the presence of their pet on the property in no way violates the rights of others to peaceful enjoyment of the premises. Tenants with pets will either “shorten” the leash or carry their pets to keep them from disturbing other tenants, particularly in confined spaces like elevators and lobbies.

17. The PHA may impose fines upon tenants for the violation of any pet rule contained herein. At the time a pet owner first violates any rule, the PHA will send the owner a written warning and no fine will be assessed. The second time that an owner violates the same rule, or any other, the PHA will fine the tenant $25.00. The PHA may assess additional $25.00 fines for subsequent violations, and may request the tenant to remove the pet or be subject to eviction after three violations.

18. Reasonable Accommodation: Applicants/residents who claim that a particular animal is used to assist persons with disabilities and who want to be exempt from the provisions of these Pet Rules must provide the PHA with:

   a. A certification that the tenant or prospective tenant or a member of his or her family is a person with a disability;

19. Exceptions: Reasonable exceptions to the pet policy will be made only upon written request by the tenant/applicant. Exceptions to size limitations will be made for assistive/service animals. An approved exception shall be noted on the lease amendment. Any such exception must be recommended by the Housing Management Administrator and approved by the Community Services Director.

20. These Pet Rules are posted in the management office of each development and are incorporated by reference into the Lease.

Attachment D - Implementation of Public Housing Resident Community Service Requirement
In order to be eligible for continued occupancy, each adult family member must either contribute eight hours per month of community service (not including political activities) within the community in which the public housing development is located, or (2) participate in an economic self-sufficiency program (includes attending school) unless they are exempt from this requirement. This requirement will be effective 07/01/01.

The following adult family members of tenant families are exempt from this requirement:
A. Family members who are 62 or older
B. Family members who are blind or disabled
C. Family members who are the primary care giver for someone who is blind or disabled
D. Family members engaged in work activity
E. Family members who are exempt from work activity under Part A Title IV of the Social Security Act or under any other State welfare program, including the welfare-to-work program
F. Family members receiving assistance under a State program funded under Part A Title IV of the Social Security Act or under any other State welfare program including welfare-to-work and who are in compliance with that program

The PHA will administer the program. All public housing families have received a notification regarding the community service requirement. The notification advises the family that the community service obligation will begin upon the effective date of their first annual reexamination starting with those families having July 2001 lease renewal dates. For family’s playing a flat rent, the obligation begins on the date their annual reexamination would have been effective had an annual reexamination taken place. Families are also receiving information regarding this requirement at the time of their lease renewal appointment. New families are receiving information during the eligibility process. New families are required to comply as of the date they move into the program. Families have been advised that failure to comply with the community service requirement will result in ineligibility for continued occupancy at the time of any subsequent annual reexamination.

PHA staff will identify all adult family members who are not exempt. Family members who will be required to contribute eight hours of community service per month will also be notified monthly. Staff will keep records as to who has complied. Before the next lease renewal appointment, the Zone Manager will be notified if the family member has complied.

Family members that must comply will receive a list of volunteer opportunities and a compliance form to be signed by the volunteer agency.
Attachment E – Deconcentration of Poverty and Income Mixing in Public Housing

Component 3, (6) Deconcentration and Income Mixing

a.  _X_ Yes ___No  Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to next question.

b.  _X_ Yes ___No  Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

<table>
<thead>
<tr>
<th>PROJECT</th>
<th># OF UNITS</th>
<th>EXPLANATION</th>
<th>DECONCENTRATION POLICY</th>
</tr>
</thead>
<tbody>
<tr>
<td>4-4</td>
<td>58</td>
<td>PHA promotes deconcentration through scattered site portfolio. Development consists of small complexes from two to twenty units. To meet federal requirements regarding income targeting.</td>
<td></td>
</tr>
<tr>
<td>4-26</td>
<td>31</td>
<td>PHA promotes deconcentration through scattered site portfolio. Development consists of small complexes from two to twenty units. To meet federal requirements regarding income targeting.</td>
<td></td>
</tr>
<tr>
<td>4-49</td>
<td>22</td>
<td>PHA promotes deconcentration through scattered site portfolio. All 22 units are single family homes. Admission preference targets working families.</td>
<td></td>
</tr>
</tbody>
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Attachment F – FY 2000 Capital Fund Program Annual Statement and Five-Year Action Plan

- See attached (filename: az004a02)
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Attachment G – Section 8 Homeownership Capacity

The PHA Consortium is reviewing the Section 8 Homeownership Program as proposed by HUD on September 2000. Should the Consortium decide to pursue this option, the PHA will demonstrate its capacity to administer the program by satisfying one of the following criteria listed in the September 12, 2000 Final Register:

a) Establishing a minimum homeowner downpayment requirement of at least 3 percent and requiring that at least 1 percent of the downpayment come from the family’s resources; or

b) Requiring that financing for purchase of a home under its section 8 homeownership program will: be provided, insured, or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.

Attachment H – Public Housing Drug Elimination Program (PHDEP) Plan

- See attached (filename: az004b02)
Attachment I – Plan Approval and Required Certifications

• Plan Approval
  • Resolution – City of Tucson PHA Board of Commissioners (on file)
  • Resolution – Pima County PHA Board of Commissioners (on file)

• Required Certifications (see attached)
  • PHA Certification of Compliance with the PHA Plans and Related Regulations
  • Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan
  • Certification of a Drug-Free Workplace
  • Certification of Payments to Influence Federal Transactions
Attachment J – Section 8 PHA Project-Based Vouchers

The PHA plans to project-base 15 units at Miracle Square, located at 2601 N. Oracle. The Miracle Square complex provides supportive services to facilitate independent living for elderly and disabled adults who would otherwise be institutionalized. We want to restore the affordability for eligible families living at the complex and increase the availability of housing that is appropriate for the unique needs of the elderly and the disabled.

The Miracle Square units were previously funded through the Moderate Rehabilitation Program contracts that expired in 1997, and were not renewed. This action is consistent with the Five-Year Agency Plan as well as the Section 8 Administrative Plan.

Attachment K – Resident Advisory Board(s) Membership

The PHA has conducted reasonable outreach activities to encourage broad public participation in the PHA Annual Plan. The membership of the following boards consists of individuals who adequately reflect and represent the representatives assisted by the PHA:

- Capital Fund Committee
- Martin Luther King Apartments Resident Council
- Tucson House Resident Council
- Craycroft Towers Resident Council
- Section 8 Resident Advisory Board

Attachment L – Resident Membership of the PHA Governing Board

PHA is continuing to pursue an Arizona exemption from the Resident on the Board requirement with HUD.

Attachment M – Most Recent Board Approved Operating Budget

- See attached (filename: az004c02)
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Attachment N – Comments of Resident Advisory Board(s)

The Resident Advisory Groups met several times and provided timely input on the PHA Annual Plan. Additional comments were also generated during the 45-day public comment period. The following comments were expressed:

- The $25 minimum rent is good.
- HUD should continue to fund programs that help families such as the Family Self-Sufficiency and the HOPE VI program.
- The Minimum Rent Hardship Exemption was a good idea to assist tenants during difficult periods.
- A separate Service Animal Policy is needed, especially at the Tucson House.
- One of the tenant’s expressed concern regarding her rental search difficulties on the City’s eastside last year. All the rents at that time seem to be just above the 40% maximum rent burden she could afford. Although she finally located a suitable rental unit, she was pleased to hear that the payment standard was increased to 100% of Fair Market Rates to allow more rental opportunities throughout the City.
- The PHA needs to review the decision that increased the pet deposit from $50.00 to $100.00.
- There was a recommendation to have more handicap parking spaces at Tucson House.
- There was a recommendation to add one space at Craycroft Towers for short-term parking, such as deliveries.
- The Tucson Police Department (TPD) needs to work with Tucson House residents to promote safety. TPD at one point had a liaison officer assigned to the building.
- There was a recommendation that residents get a copy of work order they called in, so that they would be able to track until completed.
- Discussion was held regarding problems with the current Security company at the Tucson House. Residents asked to report any and all problems immediately to the Management office. Zone Manager will follow up with supervisor of security guards.
- There was recommendation to start resident meetings at Lander Garden Apartments. All 47 units are now complete which means that many new residents to the program are now moved in. Now would be a good time to review rules and regulations.
- There was agreement with the three local preferences identified in the Annual Plan. There was concern, however, that we periodically review whether a preference is needed to assist the housing needs of female heads of household with children who choose to remain home with children during their formative years.
- Housing Management needs to look at ways to more effectively schedule recreational and social excursions for elderly/disabled residents of public housing through the EDSS grant. Arrange times which better accommodate the residents and then schedule trips to maximize the time residents have to enjoy the services offered.
### Capital Fund Program (CFP) and Urban Development

#### Part I: Summary (50101)

**PHA Name:** City of Tucson - Community Services Department

<table>
<thead>
<tr>
<th>Line No.</th>
<th>Summary by Development Account</th>
<th>Original Total Estimated Cost</th>
<th>Revised (1) Total Estimated Cost</th>
<th>Obligated Total Actual Cost</th>
<th>Expended Total Actual Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total Non-CGP Funds</td>
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<td>2</td>
<td>1406 Operations (May not exceed 10% of line 20)</td>
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<td>3</td>
<td>1408 Management Improvements</td>
<td>68,000</td>
<td></td>
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<td></td>
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<tr>
<td>4</td>
<td>1410 Administration</td>
<td>221,932</td>
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</tr>
<tr>
<td>5</td>
<td>1411 Audit</td>
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<tr>
<td>6</td>
<td>1415 Liquidated Damages</td>
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<tr>
<td>7</td>
<td>1430 Fees and Costs</td>
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<td>8</td>
<td>1440 Site Acquisition</td>
<td>0</td>
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<td></td>
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<td>9</td>
<td>1450 Site Improvement</td>
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<td>10</td>
<td>1460 Dwelling Structures</td>
<td>808,000</td>
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<td>11</td>
<td>1465.1 Dwelling Equipment - Nonexpendable</td>
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<td>12</td>
<td>1470 Nondwelling Structures</td>
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<td>13</td>
<td>1475 Nondwelling Equipment</td>
<td>60,000</td>
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<td>14</td>
<td>1485 Demolition</td>
<td>0</td>
<td></td>
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<td></td>
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<tr>
<td>15</td>
<td>1490 Replacement Reserve</td>
<td>0</td>
<td></td>
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<td>16</td>
<td>1492 Moving to Work Demonstration</td>
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<td>17</td>
<td>1495.1 Relocation Costs</td>
<td>0</td>
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<td>18</td>
<td>1499 Development Activities</td>
<td>450,000</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>19</td>
<td>1502 Contingency (may not exceed 8% of line 20)</td>
<td>100,388</td>
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<td></td>
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<tr>
<td>20</td>
<td>Amount of Annual Grant (Sum of lines 2 - 19)</td>
<td>2,219,320</td>
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<td></td>
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<tr>
<td>21</td>
<td>Amount of line 20 Related to LBP Activities</td>
<td>0</td>
<td></td>
<td></td>
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<td>22</td>
<td>Amount of line 20 Related to Section 504 Compliance</td>
<td>0</td>
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<td>23</td>
<td>Amount of line 20 Related to Security - Soft Cost</td>
<td>10,000</td>
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<td></td>
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<tr>
<td>24</td>
<td>Amount of line 20 Related to Security - Hard Cost</td>
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<td>25</td>
<td>Amount of line 20 Related to Energy Conservation Measures</td>
<td>50,000</td>
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<td></td>
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<tr>
<td>26</td>
<td>Collateralization Expenses or Debt Service</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Signature of Executive Director & Date**

**Signature of Public Housing Director/Office of Native American Programs Administrator & Date**

---

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for Performance & Evaluation Report.
Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:
1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History
A. Amount of PHDEP Grant $347,675
B. Eligibility type (Indicate with an x) N1________ N2_______ R____X______
C. FFY in which funding is requested JULY 1, 2001
D. Executive Summary of Annual PHDEP Plan
In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long.

Tucson’s Housing Authority has proposed six programs that are designed to address an array of problems affecting families at risk for drug abuse, i.e., lack of education and employment skills, unstable family environments, inadequate recreational activities for youth, low self-esteem, delinquency and school drop out rates. A focused, preventative approach will be undertaken utilizing a variety of existing programs offered by the City of Tucson Parks and Recreation and Community Services Departments, Sahuaro Girl Scouts, Inc., CODAC Behavioral Health Services, Inc., and Compass Health Care, Inc. The PHA proposes to reduce or eliminate drug related crime at ten scattered sites.

E. Target Areas
Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

<table>
<thead>
<tr>
<th>PHDEP Target Areas (Name of development(s) or site)</th>
<th>Total # of Units Within The PHDEP Target Areas(s)</th>
<th>Total Population to be Served within the PHDEP Target Area(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>AZ4-3, Estrella, Fairmount, 3rd St.</td>
<td>41</td>
<td>127</td>
</tr>
<tr>
<td>AZ4-4, Edith, Pastime, Park</td>
<td>48</td>
<td>158</td>
</tr>
<tr>
<td>AZ4-15, Del Moral, Navajo,</td>
<td>29</td>
<td>80</td>
</tr>
<tr>
<td>AZ4-21, Southland</td>
<td>16</td>
<td>43</td>
</tr>
<tr>
<td>AZ4-24, Norris, Edith</td>
<td>16</td>
<td>38</td>
</tr>
<tr>
<td>AZ4-25, Irwin Place, Edith</td>
<td>22</td>
<td>41</td>
</tr>
<tr>
<td>AZ4-26, Southland</td>
<td>12</td>
<td>32</td>
</tr>
<tr>
<td>Total:</td>
<td>184</td>
<td>519</td>
</tr>
</tbody>
</table>

F. Duration of Program
Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an x to indicate the length of program by # of months. For Other, identify the # of months).

6 Months_____ 12 Months_____ 18 Months_X____ 24 Months_____ Other ______
### G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an x by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place iGEi in column or iW/ii for waivers.

<table>
<thead>
<tr>
<th>Fiscal Year of Funding</th>
<th>PHDEP Funding Received</th>
<th>Grant#</th>
<th>Fund Balance as of Date of this Submission</th>
<th>Grant Extensions/Waivers</th>
<th>Anticipated Completion Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY 1995</td>
<td>X $374,892</td>
<td>AZ20DEP0040195</td>
<td>-0-</td>
<td>GE</td>
<td>Completed</td>
</tr>
<tr>
<td>FY 1996</td>
<td>X $375,000</td>
<td>AZ20DEP0040196</td>
<td>-0-</td>
<td>GE</td>
<td>Completed</td>
</tr>
<tr>
<td>FY 1997</td>
<td>X $384,540</td>
<td>AZ20DEP0040197</td>
<td>-0-</td>
<td>-</td>
<td>Completed</td>
</tr>
<tr>
<td>FY 1998</td>
<td>X $385,320</td>
<td>AZ20DEP0040198</td>
<td>-0-</td>
<td>-</td>
<td>12/00</td>
</tr>
<tr>
<td>FY 1999</td>
<td>X $320,333</td>
<td>AZ20DEP0040199</td>
<td>274,059</td>
<td>-</td>
<td>2/02</td>
</tr>
<tr>
<td>FY 2000</td>
<td>X $347,675</td>
<td>AZ20DEP0040100</td>
<td>347,675</td>
<td>-</td>
<td>11/02</td>
</tr>
</tbody>
</table>

### Section 2: PHDEP Plan Goals and Budget

#### A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

- **SURVIVAL WILDERNESS ADVENTURE TRAINING PROGRAM**
  - **Broad Goals and Objectives:** Promote life skills, leadership traits, and outdoor skills for 4864 public housing youth, ages 10-17, through high adventure outdoor activities. **Role of Plan Partners:** Tucson Parks and Recreation to provide a Recreation Program Coordinator and two part-time Recreation Program Assistants. They are responsible for planning, recruiting, training and measuring the results of the program. **System for Monitoring and Evaluating PHDEP Activities:** Youth surveys are conducted to determine if the program can be attributed to changes in leadership, communication, decision-making and coping skills.

- **GIRL SCOUT TROOP PROGRAM**
  - **Broad Goals and Objectives:** To serve 25 at risk girls, ages 5-17 with value centered educational and recreational activities. **Role of Plan Partners:** Sahuaro Girl Scout Council, Inc. provides one part-time staff member to deliver scouting programs for 25 girls at one site. Heavy emphasis placed on motivating and training parent volunteers to play leadership roles in program activities. **System for Monitoring and Evaluating PHDEP Activities:** Registration records, attendance records, hours in program, pre-and post testing of contemporary issues knowledge and participant surveys.

- **WELFARE TO WORK PROGRAM**
  - **Broad Goals and Objectives:** To offer intensive case management, as well as, education, transportation, and child care assistance to assist 50 head of households in obtaining living wage employment and freedom from welfare. **Role of Plan Partners:** The Housing Authority will provide one full-time Case Manager and a Resident Initiatives Coordinator to oversee this program as well as all PHDEP supported activities. The Case Manager will perform outreach and case management services to motivate and assist participants in meeting goals leading to self-sufficiency. **System for Monitoring and Evaluating PHDEP Activities:** Participant milestones such as attaining a GED or certificate from institute of higher learning, obtaining a first-time job or higher paying job and becoming welfare free are tracked through a departmental database program. In addition, client satisfaction surveys are conducted yearly.

- **PREVENTION PROGRAM**
  - **Broad Goals and Objectives:** To assist 40 at risk children in developing and experiencing developmental assets needed to become healthy, competent, and caring. **Role of Plan Partners:** Compass Health Care, Inc. will provide one Prevention Specialist, and/or other individuals and entities such as university students, volunteers, guest speakers and representatives of community organizations to contribute educational information on prevention topics and activities. **System of Monitoring and Evaluating PHDEP Activities:** Children are administered questionnaires to determine if positive changes in social competencies, self-identity, family cohesion and diffusion in family conflict occur.
**COUNSELING/EDUCATION**

**Broad Goals and Objectives:** To increase knowledge and skills in family management and conflict resolution in 12 families, assist 30 youth with homework help for the purpose of improving their ability to maintain at least a C average and promote to the next grade level, and to decrease delinquent behavior in 8 families. **Role of Plan Partners:** CODAC Behavioral Health, Inc. will provide a prevention and early intervention program for 60 public housing adults and children. One full-time Community Development Specialist and a part-time Prevention Trainer to offer activities to reduce drug abuse, delinquency, and school dropout and violence rates. **System for Monitoring and Evaluation PHDEP Activities:** School records are requested to determine the number of youth who remain in school and are promoted to the next grade level, surveys are conducted to determine the number of families experiencing less family conflicts and improved attitudes, as well as increases in knowledge regarding the dangers of drug abuse.

**TEEN CLUB PROGRAM**

**Broad Goals and Objectives:** To increase leadership skills and positive behavior/attitudes among 35 at-risk teens through the use of recreational and educational activities as an alternative to drugs and other illegal activities. **Role of Plan Partners:** Tucson Parks and Recreation will provide two Recreation Assistants and a part-time sport league coach to lead the teens in wholesome alternative leisure activities. **System for Monitoring and Evaluating PHDEP Activities:** Surveys are conducted to measure changes in at-risk behavior, i.e., dropping out of school, sexual activity, drug experimentation, and participation in gangs.

**B. PHDEP Budget Summary:**
Enter the total amount of PHDEP funding allocated to each line item.

### FY 01 PHDEP Budget Summary

<table>
<thead>
<tr>
<th>Budget Line Item</th>
<th>Total Funding</th>
</tr>
</thead>
<tbody>
<tr>
<td>9110 - Reimbursement of Law Enforcement</td>
<td>0</td>
</tr>
<tr>
<td>9120 - Security Personnel</td>
<td>0</td>
</tr>
<tr>
<td>9130 - Employment of Investigators</td>
<td>0</td>
</tr>
<tr>
<td>9140 - Voluntary Tenant Patrol</td>
<td>0</td>
</tr>
<tr>
<td>9150 - Physical Improvements</td>
<td>0</td>
</tr>
<tr>
<td>9160 - Drug Prevention</td>
<td>$347,675</td>
</tr>
<tr>
<td>9170 - Drug Intervention</td>
<td>0</td>
</tr>
<tr>
<td>9180 - Drug Treatment</td>
<td>0</td>
</tr>
<tr>
<td>9190 - Other Program Costs</td>
<td>0</td>
</tr>
</tbody>
</table>

**TOTAL PHDEP FUNDING** | **$347,675**
C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—no to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

**9160 - Drug Prevention**

<table>
<thead>
<tr>
<th>Proposed Activities</th>
<th># of Persons Served</th>
<th>Target Population</th>
<th>Start Date</th>
<th>Expected Complete Date</th>
<th>PHDEP Funding</th>
<th>Other Funding Amount/Source</th>
<th>Performance Indicators</th>
</tr>
</thead>
<tbody>
<tr>
<td>Survival Wilderness Adventure Training</td>
<td>48</td>
<td>Youth, 10-17</td>
<td>July 2002</td>
<td>December 2003</td>
<td>$51,200</td>
<td>$16,528</td>
<td>Skills in Decision-making, Coping, Leadership &amp; Communication</td>
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<tr>
<td>Girl Scout Troop</td>
<td>25</td>
<td>Girls, 5-17</td>
<td>July 2002</td>
<td>December 2003</td>
<td>$10,900</td>
<td>$5,600</td>
<td>Skills in Self-Esteem, Team Building, &amp; Leadership</td>
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<tr>
<td>Welfare to Work Prevention Program</td>
<td>80</td>
<td>Adults</td>
<td>July 2002</td>
<td>December 2003</td>
<td>$167,455</td>
<td>$24,000</td>
<td>Attainment of Self-Sufficiency</td>
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<td>Prevention Program</td>
<td>50</td>
<td>Adults and Youth</td>
<td>July 2002</td>
<td>December 2003</td>
<td>$26,190</td>
<td>$24,000</td>
<td>Social Competencies/Life Skills</td>
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<tr>
<td>Counseling/Education</td>
<td>40</td>
<td>Teens and Adults</td>
<td>July 2002</td>
<td>December 2003</td>
<td>$54,710</td>
<td>$10,029</td>
<td>Decrease risk factors that lead to Juvenile Delinquency, Family Conflicts, and Increase Knowledge about the Dangers of drugs.</td>
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<td>Teen Club Program</td>
<td>35</td>
<td>Teens</td>
<td>July 2002</td>
<td>December 2003</td>
<td>$37,020</td>
<td>$18,900</td>
<td>Reductions in at-risk behavior.</td>
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## Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

<table>
<thead>
<tr>
<th>Budget Line Item #</th>
<th>25% Expenditure of Total Grant Funds By Activity #</th>
<th>Total PHDEP Budget Line Funding Expended (sum of the activities)</th>
</tr>
</thead>
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<tr>
<td>e.g. Budget Line Item 9120</td>
<td>Activities 1, 3</td>
<td>$86,920</td>
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<td>9110</td>
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<tr>
<td>9120</td>
<td></td>
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<td>9130</td>
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<td>9150</td>
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<td>9190</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TOTAL</td>
<td>$86,920</td>
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</table>

<table>
<thead>
<tr>
<th>50% Obligation of Total Grant Funds by Activity #</th>
<th>Total PHDEP Funding Obligated (sum of the activities)</th>
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<tr>
<td>Activity 2</td>
<td>$260,755</td>
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## Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the iPHA Certifications of Compliance with the PHA Plan and Related Regulations.
### Part I - Maximum Operating Reserve - End of Current Budget Year

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>PHA/IHA Estimates</th>
<th>HUD Modifications</th>
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</thead>
<tbody>
<tr>
<td>740</td>
<td>2821 PHA/IHA-Leased Housing - Section 23 or 10(c)</td>
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<td></td>
</tr>
<tr>
<td></td>
<td>50% of Line 480, column 5, form HUD-52564</td>
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<td></td>
</tr>
</tbody>
</table>

### Part II - Provision for and Estimated or Actual Operating Reserve at Fiscal Year End

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Operating Reserve at End of Previous Fiscal Year - Actual for FYE (6/30/00) (1,172,372)</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>780</td>
<td>Operating Reserve at End of Previous Fiscal Year - Actual for FYE (6/30/00)</td>
<td>1,172,372</td>
<td></td>
</tr>
<tr>
<td>790</td>
<td>Provision for Operating Reserve - Current Budget Year (check one)</td>
<td>96,832</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Estimated for FYE 2001</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Actual for FYE</td>
<td></td>
<td></td>
</tr>
<tr>
<td>800</td>
<td>Operating Reserve at End of Current Budget Year (check one)</td>
<td>1,269,204</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Estimated for FYE 2001</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Actual for FYE</td>
<td></td>
<td></td>
</tr>
<tr>
<td>810</td>
<td>Provision for Operating Reserve - Requested Budget Year Estimated for FYE 2001</td>
<td>102,801</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Enter Amount from line 700</td>
<td></td>
<td></td>
</tr>
<tr>
<td>820</td>
<td>Operating Reserve at End of Requested Budget Year Estimated for FYE 2001</td>
<td>1,372,005</td>
<td></td>
</tr>
<tr>
<td></td>
<td>(Sum of lines 800 and 810)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>830</td>
<td>Cash Reserve Requirement - 20% of line 480</td>
<td>1,094,854</td>
<td></td>
</tr>
</tbody>
</table>

Comments:

---

**PHA/IHA Approval**

<table>
<thead>
<tr>
<th>Name</th>
<th>Karen Thoreson</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title</td>
<td>Director</td>
</tr>
<tr>
<td>Signature</td>
<td>Date</td>
</tr>
</tbody>
</table>

**Field Office Approval**

<table>
<thead>
<tr>
<th>Name</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Title</td>
<td></td>
</tr>
<tr>
<td>Signature</td>
<td>Date</td>
</tr>
</tbody>
</table>

Previous editions are obsolete

Page 3 of 4

form HUD-52564 (3/95)

ref. Handbook 7475.1

Public reporting burden for this collection of information is estimated to average 116 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number. This information is required by Section 602(A) of the U.S. Housing Act of 1937. The information is the operating budget for the low-income housing program.
Instruction for Preparing Form HUD-52564, Operating Budget

Separate Operating Budgets must be prepared for each separate Annual Contributions Contract (ACC). However, the supporting documentation can be combined for each Turnkey III project within an ACC, provided it clearly separates the cost by program and/or ACC number. Prepare all of the supporting documentation (Forms HUD-52573, HUD-52566, and HUD-52571) prior to finalizing the Operating Budget.

The heading for items a. through m. are self-explanatory.

Columns:
Column (2): Obtain actual P.U.M. amounts from the Statement(s) of Operating Receipts and Expenditures (Form HUD-52599) for the year preceding the current budget year.

Column (3): Include the actual (if available) or estimated PUM amounts for the current budget year.

Columns (4) and (5): Enter amounts on applicable lines from HUD Schedules and/or HA worksheets in column (5). After completing column (5) compute the P.U.M. amounts for Column (4) by dividing each figure in Column (5) by the No. of Unit Months of Availability, item k.

Columns (6) and (7): Leave blank. If HUD modifies the HA estimates as a condition for approval, HUD will complete these columns and return a copy to the HA.

Line Items
Lines 010 through 060 are specific to the Turnkey III Owned Homeownership Program. These lines correspond to accounts 7710 through 7790, see Accounting Handbook 7510.1.

Line 460: Use this line, if applicable, for showing estimated interest on Administrative and Sundry Loans.

Line 490: This line is specific to the Section 23, Leased Rental Program.

Line 560: Use this line, if applicable, only in conjunction with budget revisions.

Line 570: Use this line, if applicable, for such items as carry-overs of unabsorbed deficiencies in residual receipts from prior years.

Line 630: Operating Subsidy Eligibility for the requested year before year-end adjustments.

Line 640 to 660: Year-end adjustments to be funded in the requested budget year.

Line 700: An estimated decrease cannot be more than the amount available in the operating reserve at the beginning of the requested budget year (line 800).

Special Instruction, Budget Revisions

Budget revisions must be approved by the end of the PHA fiscal year.

When using this form for budget revisions, the following additional instructions are applicable:

No changes are to be made in Column (2) or Column (3).

No changes are to be made in the amount for Operating Subsidy Eligibility before year-end adjustments (Line 630, or in Part I - Maximum Operating Reserve-End of Current Budget Year.

Operating Reserves

Operating reserves are calculated by individual Annual Contributions Contract except that the operating reserves for Section 23 Leased Housing Projects, Turnkey III Homeownership Project.

Line 760: Enter amount as of the last previous fiscal year (year preceding current budget year).

Line 790:

a. Enter negative amount, if original budget, or actual amount, if revised budget.

b. Enter negative balance in parentheses. (The negative balance may not exceed the amount on line 780.)

Line 800: Enter sum of lines 780 and 790.

Line 810:

a. Enter estimated amount.

b. Enter negative balance in parentheses. (The negative balance may not exceed the amount on line 800.)

Line 820: Enter sum of lines 800 and 810.

Line 830: Enter percent of routine operating expenses (or minimum dollar amount) currently used by HUD as a performance measure to evaluate the cash requirements and/or operating reserve adequacy.
## Calculation of Performance
### U.S. Department of Housing and Urban Development
#### Office of Public and Indian Housing

**PHA/IHA-Owned Rental Housing**

**Calculation of Performance**
**Funding System Operating Subsidy**

**OMB Approval No. 2577-0029 (exp. 7/31/96)**

Public Reporting Burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden of  the Reports Management Officer, Office of Information Policies and Systems, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600 and to the Office of Management and Budget, Paperwork Reduction Project (2577-0029), Washington, D.C. 20503. Do not send this completed form to either of the above addresses.

Name and Address of Public Housing Authority: (PHA/IHA)

**City of Tucson, Community Services Department**

**P.O. Box 27210**

**Tucson, AZ 85726-7210**

Number of HA Units

<table>
<thead>
<tr>
<th>Line No.</th>
<th>Description</th>
<th>Requested by PHA/IHA (PUM)</th>
<th>HUD Modifications (PUM)</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Previous allowable expense level (line 07 of form HUD-52723 for previous fiscal year)</td>
<td>245.05</td>
<td></td>
</tr>
<tr>
<td>02a</td>
<td>Line 01 multiplied by .005</td>
<td>1.23</td>
<td></td>
</tr>
<tr>
<td>02b</td>
<td>Delta from form HUD-52720-B, if applicable (see instructions)</td>
<td>0.00</td>
<td></td>
</tr>
<tr>
<td>03</td>
<td>&quot;Requested&quot; year units from latest form HUD-52720-A (see instructions)</td>
<td>1,516</td>
<td></td>
</tr>
<tr>
<td>04</td>
<td>Add-ons to allowable expense level from previous fiscal year (see instructions)</td>
<td>0.00</td>
<td></td>
</tr>
<tr>
<td>05</td>
<td>Total of lines 01, 02a, 02b, and 04</td>
<td>246.28</td>
<td></td>
</tr>
<tr>
<td>06</td>
<td>Inflation factor</td>
<td>1.033</td>
<td></td>
</tr>
<tr>
<td>07</td>
<td>Revised allowable expense level (AEL) (line 05 times line 06)</td>
<td>254.41</td>
<td></td>
</tr>
<tr>
<td>07a</td>
<td>Transition Funding</td>
<td>0.00</td>
<td></td>
</tr>
<tr>
<td>07b</td>
<td>Increase to AEL</td>
<td>0.00</td>
<td></td>
</tr>
<tr>
<td>08</td>
<td>Allowable utilities expense level from form HUD-52722-A</td>
<td>59.13</td>
<td></td>
</tr>
<tr>
<td>09</td>
<td>X Actual or</td>
<td>Estimated PUM cost of Independent Audit (IA) during subject fiscal year</td>
<td>0.00</td>
</tr>
<tr>
<td>10</td>
<td>Costs attributable to deprogrammed units</td>
<td>0.00</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>Total Allowable Expenses and Additions (sum of lines 07 thru 10)</td>
<td>313.54</td>
<td></td>
</tr>
</tbody>
</table>

### Part B. Dwelling Rental Income

<table>
<thead>
<tr>
<th>Line No.</th>
<th>Description</th>
<th>Requested by PHA/IHA (PUM)</th>
<th>HUD Modifications (PUM)</th>
</tr>
</thead>
<tbody>
<tr>
<td>12</td>
<td>Total rent roll (as of Mar 1, 2001)</td>
<td>$152,137</td>
<td></td>
</tr>
<tr>
<td>13</td>
<td>Number of occupied units as of rent roll date</td>
<td>1,325</td>
<td></td>
</tr>
<tr>
<td>14</td>
<td>Average monthly dwelling rental charge per unit (line 12 divided by line 13)</td>
<td>114.82</td>
<td></td>
</tr>
<tr>
<td>15</td>
<td>Change factor</td>
<td>1.03</td>
<td></td>
</tr>
<tr>
<td>16</td>
<td>Projected average monthly dwelling rental charge per unit (line 14 times line 15)</td>
<td>118.26</td>
<td></td>
</tr>
<tr>
<td>17</td>
<td>Projected occupancy percentage (see instructions)</td>
<td>0.97 %</td>
<td></td>
</tr>
<tr>
<td>18</td>
<td>Projected average monthly dwelling rental income per unit (line 16 times line 17)</td>
<td>114.72</td>
<td></td>
</tr>
</tbody>
</table>

### Part C. Non-dwelling income

<table>
<thead>
<tr>
<th>Line No.</th>
<th>Description</th>
<th>Requested by PHA/IHA (PUM)</th>
<th>HUD Modifications (PUM)</th>
</tr>
</thead>
<tbody>
<tr>
<td>19</td>
<td>Estimated Investment Income (EII)</td>
<td>0.00</td>
<td></td>
</tr>
<tr>
<td>20</td>
<td>Other Income</td>
<td>0.00</td>
<td></td>
</tr>
<tr>
<td>21</td>
<td>Total non-dwelling income (line 19 plus line 20)</td>
<td>0.00</td>
<td></td>
</tr>
<tr>
<td>22</td>
<td>Total operating receipts (line 18 plus line 21)</td>
<td>114.72</td>
<td></td>
</tr>
<tr>
<td>23</td>
<td>PUM deficit or (Income) (line 11 minus line 22)</td>
<td>196.82</td>
<td></td>
</tr>
</tbody>
</table>

### Part D. Add-ons for changes in Federal law or regulation and other eligibility

<table>
<thead>
<tr>
<th>Line No.</th>
<th>Description</th>
<th>Requested by PHA/IHA (Whole dollars)</th>
<th>HUD Modifications (Whole dollars)</th>
</tr>
</thead>
<tbody>
<tr>
<td>24</td>
<td>Deficit or (Income) before add ons (line 23 times UMA shown in heading)</td>
<td>3,492,880</td>
<td></td>
</tr>
</tbody>
</table>

### Part E. Add-ons for changes in Federal law or regulation and other eligibility

<table>
<thead>
<tr>
<th>Line No.</th>
<th>Description</th>
<th>Requested by PHA/IHA (PUM)</th>
<th>HUD Modifications (PUM)</th>
</tr>
</thead>
<tbody>
<tr>
<td>25</td>
<td>FICA contributions</td>
<td>2,896</td>
<td></td>
</tr>
<tr>
<td>26</td>
<td>Unemployment compensation</td>
<td>1,432</td>
<td></td>
</tr>
<tr>
<td>27</td>
<td>Flood insurance premiums</td>
<td>83,309</td>
<td></td>
</tr>
<tr>
<td>28</td>
<td>Total Other (specify in Remarks section)</td>
<td>3,012</td>
<td></td>
</tr>
<tr>
<td>28a</td>
<td>Add-on for Family Self Sufficiency Program</td>
<td>52,780</td>
<td></td>
</tr>
<tr>
<td>28b</td>
<td>Other Add-ons for Federal law or regulations</td>
<td>0.00</td>
<td></td>
</tr>
<tr>
<td>28c</td>
<td>Unit reconfiguration</td>
<td>0.00</td>
<td></td>
</tr>
<tr>
<td>28d</td>
<td>Non-dwelling units (10 POLICE)</td>
<td>30,529</td>
<td></td>
</tr>
<tr>
<td>28e</td>
<td>Other approved, not Federal law or regulation</td>
<td>0.00</td>
<td></td>
</tr>
<tr>
<td>29</td>
<td>Total add-ons (sum of lines 25 thru 28)</td>
<td>87,637</td>
<td></td>
</tr>
</tbody>
</table>

Previous edition is obsolete for PHA/IHA Fiscal Years beginning 1/1/95 and thereafter

Page 1

Requested by PHA/IHA

HUD Modifications

Previous edition is obsolete for PHA/IHA Fiscal Years beginning 1/1/95 and thereafter

Page 1

form HUD-52723 (5/96)

ref. Handbook 7475.13
### Part E. Calculation of Operating Subsidy Eligibility Before Year-End Adjustments

<table>
<thead>
<tr>
<th>No.</th>
<th>Description (PUM) (PUM)</th>
</tr>
</thead>
<tbody>
<tr>
<td>30</td>
<td>Deficit or (income) before year-end adjustments (total of lines 24 and 25)</td>
</tr>
<tr>
<td>31</td>
<td>$</td>
</tr>
<tr>
<td>32</td>
<td>PFS operating subsidy eligibility before year-end adjustments (greater of line 30 or line 31) (If less than zero, enter zero (0))</td>
</tr>
</tbody>
</table>

### Part F. Calculation of Operating Subsidy Approvable for Subject Fiscal Year (Note: Do not revise after the end of the subject FY)

<table>
<thead>
<tr>
<th>No.</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>33</td>
<td>Prior years’ net year-end adjustments (identify individual FYs and amounts under “Remarks”)</td>
</tr>
<tr>
<td>34</td>
<td>Additional subject fiscal year operating subsidy eligibility (specify)</td>
</tr>
<tr>
<td>35</td>
<td>Overobligations from prior fiscal years to be recovered in subject fiscal year</td>
</tr>
<tr>
<td>36</td>
<td>Unfunded eligibility in prior fiscal years to be obligated in subject fiscal year</td>
</tr>
<tr>
<td>37</td>
<td>Other (specify) Optional PFS Incentive Adjustment</td>
</tr>
<tr>
<td>38</td>
<td>Other (specify)</td>
</tr>
<tr>
<td>39</td>
<td>Other (specify)</td>
</tr>
<tr>
<td>40</td>
<td>Unfunded portion due to proration (98.5%)</td>
</tr>
<tr>
<td>41</td>
<td>Operating subsidy approvable for subject fiscal year (total of lines 32 thru 40)</td>
</tr>
</tbody>
</table>

**HUD Use Only (Note: Do not revise after the end of the subject FY)**

<table>
<thead>
<tr>
<th>No.</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>43</td>
<td>Amount of operating subsidy approvable for subject fiscal year not funded</td>
</tr>
<tr>
<td>44</td>
<td>Amount of funds obligated in excess of operating subsidy approvable for subject fiscal year</td>
</tr>
<tr>
<td>45</td>
<td>Funds obligated in subject fiscal year (total of lines 41 thru 44) (Must be the same as line 690 of the operating Budget form HUD-52564 for the subject fiscal year)</td>
</tr>
</tbody>
</table>

### Part G. Memorandum of Amounts Due HUD, Including Amounts on Repayment Schedules

<table>
<thead>
<tr>
<th>No.</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>46</td>
<td>Total amount due in previous fiscal year (line 49 of form HUD-52723 for previous fiscal year)</td>
</tr>
<tr>
<td>47</td>
<td>Total amount to be collected in subject fiscal year (identify individual amounts under “Remarks”)</td>
</tr>
<tr>
<td>48</td>
<td>Total additional amount due HUD (include any amount entered on line 44 identify individual amounts under “Remarks”)</td>
</tr>
<tr>
<td>49</td>
<td>Total amount due HUD to be collected in future fiscal year(s) (Total of lines 46 thru 48 identify individual amounts under “Remarks”)</td>
</tr>
</tbody>
</table>

### Part H. Calculation of Year-end Adjustment for Subject Fiscal Year

*This part is to be completed only after the subject fiscal year has ended*

1. Select the types of adjustments that have been reflected on this form:
   - [ ] Utility Adjustment
   - [ ] Unit Months Available (UMAs)
   - [ ] Target Investment Income (TII) Adjustment
   - [ ] Dwelling Rental Income
   - [ ] Adjustment of Independent Audit (IA) Cost
   - [ ] Add-ons
   - [ ] Other (specify under “Remarks”)

<table>
<thead>
<tr>
<th>No.</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>50</td>
<td>Estimated Investment Income (EII)</td>
</tr>
<tr>
<td>51</td>
<td>Target Investment Income (TII)</td>
</tr>
<tr>
<td>52</td>
<td>TII adjustment (line 51 minus line 52)</td>
</tr>
<tr>
<td>53</td>
<td>Utility adjustment (line 22, form HUD-52722-B)</td>
</tr>
<tr>
<td>54</td>
<td>Combined utility and TII adjustment (total of lines 53 and 54)</td>
</tr>
<tr>
<td>55</td>
<td>Deficit or (income) after year-end adjustments (total of lines 53 and 55)</td>
</tr>
<tr>
<td>56</td>
<td>PFS operating subsidy eligibility after year-end adjustments (total of lines 30 and 55)</td>
</tr>
<tr>
<td>57</td>
<td>Line 32 of latest form HUD-52723 approved during subject FY (Do not use line 32 of this revision)</td>
</tr>
<tr>
<td>58</td>
<td>Net year-end adjustment for subject fiscal year (line 57 minus line 58)</td>
</tr>
<tr>
<td>59</td>
<td>Unfunded portion due to proration</td>
</tr>
<tr>
<td>60</td>
<td>Prorated net year-end adjustment for subject fiscal year</td>
</tr>
</tbody>
</table>

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.


Signature of Authorized HA Representative & Date: 
Signature of Authorized Field Office Representative & Date: 

Remarks

Previous edition is obsolete for PHA/IHA Fiscal Years beginning 1/1/95 and thereafter

Page 2 of 5

form HUD-52723 (5/96)

ref. Handbook 7475.13
**ESTIMATED INVESTMENT INCOME**

<table>
<thead>
<tr>
<th>MONTH</th>
<th>A/C 1000, A/C 1010</th>
<th>A/C 1012, A/C 1014</th>
<th>SECURITY</th>
<th>DEPOSITS</th>
<th>INVESTMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jan-00</td>
<td>1,702,807.73</td>
<td>321,276.24</td>
<td></td>
<td></td>
<td>1,381,531.49</td>
</tr>
<tr>
<td>Feb-00</td>
<td>1,618,877.92</td>
<td>317,340.07</td>
<td></td>
<td></td>
<td>1,301,537.85</td>
</tr>
<tr>
<td>Mar-00</td>
<td>1,624,956.41</td>
<td>318,844.56</td>
<td></td>
<td></td>
<td>1,306,111.85</td>
</tr>
<tr>
<td>Apr-00</td>
<td>1,679,877.00</td>
<td>321,436.22</td>
<td></td>
<td></td>
<td>1,358,440.78</td>
</tr>
<tr>
<td>May-00</td>
<td>1,722,300.02</td>
<td>324,156.26</td>
<td></td>
<td></td>
<td>1,398,143.76</td>
</tr>
<tr>
<td>Jun-00</td>
<td>1,599,726.07</td>
<td>321,061.26</td>
<td></td>
<td></td>
<td>1,278,664.81</td>
</tr>
<tr>
<td>Jul-99</td>
<td>2,074,432.98</td>
<td>276,866.69</td>
<td></td>
<td></td>
<td>1,797,566.29</td>
</tr>
<tr>
<td>Aug-99</td>
<td>2,058,241.77</td>
<td>309,669.26</td>
<td></td>
<td></td>
<td>1,748,572.51</td>
</tr>
<tr>
<td>Sep-99</td>
<td>1,929,100.27</td>
<td>311,539.26</td>
<td></td>
<td></td>
<td>1,617,561.01</td>
</tr>
<tr>
<td>Oct-99</td>
<td>1,851,190.23</td>
<td>313,526.26</td>
<td></td>
<td></td>
<td>1,537,663.97</td>
</tr>
<tr>
<td>Nov-99</td>
<td>1,841,994.36</td>
<td>314,877.92</td>
<td></td>
<td></td>
<td>1,527,116.44</td>
</tr>
<tr>
<td>Dec-99</td>
<td>1,796,363.18</td>
<td>315,209.58</td>
<td></td>
<td></td>
<td>1,481,153.60</td>
</tr>
<tr>
<td></td>
<td>$21,499,867.94</td>
<td>$3,765,803.58</td>
<td>$17,734,064.36</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**COMPUTATION:**

- **AVG MONTHLY CASH BALANCE:** $1,477,838.70
- **LESS (1464 UNITS * $10):** ($14,640.00)
- **EST AVAIL FOR INVESTMENT:** $1,463,198.70

**TIMES T-BILL RATE (5.08%)**: $74,330.49

**PUM (17568 UMA):** $4.23

Update with June 2002 Rate when available. CRT 1/19/01
Calculation of Allowable Utilities Expense Level
PHA/IHA-Owned Rental Housing
Performance Funding System

Public Housing Agency/Indian Housing Authority
City of Tucson, Community Services
Department

<table>
<thead>
<tr>
<th>Line No.</th>
<th>Description</th>
<th>Unit Months Available</th>
<th>Sewerage and Water Consumption</th>
<th>Electricity Consumption</th>
<th>Gas Consumption</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>UMA and actual consumption for old projects for 12 month period which ended 12 months before the Requested Budget Year.</td>
<td>16,632</td>
<td>81,780</td>
<td>4,259,508</td>
<td>109,353</td>
</tr>
<tr>
<td>02</td>
<td>UMA and actual consumption for old projects for 12 month period which ended 24 months before the Requested Budget Year.</td>
<td>16,632</td>
<td>98,184</td>
<td>5,237,922</td>
<td>80,707</td>
</tr>
<tr>
<td>03</td>
<td>UMA and actual consumption for old projects for 12 month period which ended 36 months before the Requested Budget Year.</td>
<td>17,445</td>
<td>114,175</td>
<td>6,787,043</td>
<td>116,055</td>
</tr>
<tr>
<td>04</td>
<td>Accumulated UMA and actual consumption of old projects (sum of lines 01, 02, 03).</td>
<td>50,709</td>
<td>294,139</td>
<td>16,284,473</td>
<td>306,115</td>
</tr>
<tr>
<td>05</td>
<td>Estimated Unit Months available for old projects for Requested Budget Year.</td>
<td>16,473</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>06</td>
<td>Ratio of Unit months available for old projects (line 04 divided by line 05 of column 3)</td>
<td></td>
<td></td>
<td>3.078</td>
<td></td>
</tr>
<tr>
<td>07</td>
<td>Estimated UMA and consumption for old projects for Requested Budget Year (Each figure on line 04 divided by line 06).</td>
<td>16,473</td>
<td>95,552</td>
<td>5,290,069</td>
<td>99,443</td>
</tr>
<tr>
<td>08</td>
<td>Estimated UMA and consumption for new projects.</td>
<td>1,098</td>
<td>6,369</td>
<td>352,607</td>
<td>6,628</td>
</tr>
<tr>
<td>09</td>
<td>Total estimated UMA and consumption for old and new projects for Requested Budget Year (line 07 + line 08).</td>
<td>17,571</td>
<td>101,921</td>
<td>5,642,676</td>
<td>106,071</td>
</tr>
<tr>
<td>10</td>
<td>Estimated cost of consumption on line 09 for Requested Budget Year (see instructions).</td>
<td></td>
<td></td>
<td>258,880</td>
<td>677,121</td>
</tr>
<tr>
<td>11</td>
<td>Total estimated cost for Requested Budget Year (sum of all columns of line 10).</td>
<td></td>
<td></td>
<td>1,038,889</td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>Est. PUM Cost of consumption for Requested Budget Year (Allowable Utilities Expense Level)(Line 11 divided by line 09, col. 3)</td>
<td></td>
<td></td>
<td>59.13</td>
<td></td>
</tr>
<tr>
<td>12a</td>
<td>Rate</td>
<td></td>
<td>2.54</td>
<td>0.12</td>
<td>0.97</td>
</tr>
<tr>
<td>12b</td>
<td>Unit of Consumption</td>
<td></td>
<td>CCF</td>
<td>KWH</td>
<td>BTU</td>
</tr>
</tbody>
</table>