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| <b>PHA 5-Year and Annual Plan</b> | U.S. Department of Housing and Urban Development<br>Office of Public and Indian Housing | OMB No. 2577-0226<br>Expires 4/30/2011 |
|-----------------------------------|---|--|

|     |  |          |                                      |                               |                              |
|-----|--|----------|--------------------------------------|-------------------------------|------------------------------|
| 1.0 | <b>PHA Information</b><br>PHA Name: <u>PROVIDENCE HOUSING AUTHORITY</u> PHA Code: <u>RI 001</u><br>PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input checked="" type="checkbox"/> HCV (Section 8)<br>PHA Fiscal Year Beginning: (MM/YYYY): <u>07/2012</u>  |          |                                      |                               |                              |
| 2.0 | <b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above)<br>Number of PH units: <u>2,606</u> Number of HCV units: <u>2,493</u>   |          |                                      |                               |                              |
| 3.0 | <b>Submission Type</b><br><input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only   |          |                                      |                               |                              |
| 4.0 | <b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)  |          |                                      |                               |                              |
|     | Participating PHAs   | PHA Code | Program(s) Included in the Consortia | Programs Not in the Consortia | No. of Units in Each Program |
|     | PHA 1:   |          |                                      |                               | PH HCV                       |
|     | PHA 2:   |          |                                      |                               |                              |
|     | PHA 3:   |          |                                      |                               |                              |
| 5.0 | <b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.   |          |                                      |                               |                              |
| 5.1 | <b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:<br><br><b><u>NOT APPLICABLE: 5-Year Plan only</u></b>   |          |                                      |                               |                              |
| 5.2 | <b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.<br><br><b><u>NOT APPLICABLE: 5-Year Plan only</u></b> |          |                                      |                               |                              |

**PHA Plan Update**

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

**SMOKE-FREE HOUSING POLICY**

The Providence Housing Authority (PHA) was awarded \$80,000 in order to support the Rhode Island Department of Health and the Mayor's Substance Abuse Prevention Campaign in a city-wide initiative to prevent tobacco use called the Tobacco-Free Providence Campaign (TFC). The PHA implemented a Smoke-Free Policy in five elderly and disabled public housing high-rises on April 31, 2011, reaching more than 1,000 residents in 5 buildings.

The goals of the policy are:

1. To reduce tobacco use and second hand smoke exposure in the PHA's five high-rise properties; and,
2. Reduce/prevent fires caused by careless smoking; and,
3. Reduce the costs for unit turnovers

The policy states that residents and their guests are no longer able to smoke in their apartments. Smokers are directed to smoke at exterior designated smoking areas only. The Smoke-Free Lease Addendum has become a permanent feature of the PHA's resident dwelling lease for Carroll Tower, Dexter Manor, Dominica Manor, Kilmartin Plaza, and Parenti Villa. Additional bilingual documents were designed such as the Smoke-Free Policy, the 3-strike Violation Policy, and Complaint Form.

In conjunction with the Providence Community Health Center (another sub-awardee of the TFC), FREE on-site smoking cessation classes and nicotine replacement therapy were offered to PHA residents and families who are interested in quitting smoking.

Various outreach activities were conducted such as pre and post implementation surveys and focus groups, various community meetings as well as numerous bilingual printed materials were designed and distributed throughout the buildings via meetings, mailings, postings, newsletters, posters, and pamphlets.

The Board of Commissioners of the Providence Housing Authority resolved on September 30, 2010 that it accepted and approved the PHA's intentions to implement a Smoke-Free Public Housing Policy at its high-rise developments.

**FAMILY SUCCESS CENTER**

The PHA received Social Innovation Funds from the Local Initiative Support Corporation (LISC) to establish the PHA Family Success Center (FSC) at the Hartford Park family development. The overall goal of this program is to assist low-income families of Hartford and Olneyville neighborhoods in raising their net worth. The FSC, one of five such Centers in the State of RI, bundles three key service areas: access to income supports/public benefits screening, one-on-one financial counseling, and employment support services under one roof. Participating residents must agree to participate in the program for three years. The service model has proven successful at other FSCs across the country and research shows that a family's likelihood of economic success is greater when they receive these bundled services at one location.

LISC staff continually provides professional development opportunities for PHA staff delivering services through the one-stop Family Success Center. LISC staff also provided guidance and technical assistance with two additional grant applications (funding was awarded in both cases) that supported the start-up costs of the FSC. The Family Success Center was officially launched in May 2011, and continues to attract PHA participants who are interested in improving the financial health and future of their family.

**EDUCATION AND TRAINING CENTER**

In September of FY2010, the Resident Services Department increased its efforts to provide opportunities for residents to access skills training and preparation for job placement by planning a centralized location for such services. By September of the following year, the PHA opened the Education & Training Center (ETC), with funding from the Rhode Island Department of Education (RIDE). The ETC currently serves 150 adult learners in English as a Second Language (ESL), Adult Basic Education (ABE) and GED preparation programs.

The ETC is now home to the existing Groundwork Providence's Green Collar Job Training program, which provides PHA residents with the ability to compete and succeed in the emerging "green economy". To date, 260 participants have been trained in areas of Sustainable Urban Landscaping and Brownfield Remediation.

The Providence Green Pathways (PGP) "Green Jobs" training program is an initiative of the City of Providence in partnership with the PHA, Amos House, and five additional agencies. This collaborative offers residents weatherization and deconstruction training necessary to obtain certifications such as OSHA, HAZWOPER, CPR/First Aid and Lead Abatement.

The ETC's training calendar also includes the Home Work Painter Training Program (in partnership with the Sherwin Williams Company). The training provides three days of classroom instruction on paint basics and safety, HUD lead-safe work practices and a workshop on how to find employment. Upon successful completion, graduates of the Home Work program receive a RI Remodeler/Renovator certification, which may help them be more competitive in gaining employment.

6.0

**LIVE WELL, VIVA BIEN PROGRAM**

National research shows that in many urban areas access to nutritious food is not always available. The lack of local access to fresh produce can make it difficult for families who live in low-income, urban communities to maintain a well-balanced, nutritious diet. In an effort to diminish this "grocery gap," researchers from Brown University have partnered with the PHA to conduct the Live Well, Viva Bien program. The Live Well, Viva Bien program studies the effects of healthier living in five PHA elderly/disabled high-rises and two family developments over a one year period. Research activities include: extensive surveying campaigns (with incentives), on-site fresh fruits and vegetable markets (by way of a truck), physical activity promotions, and hiring seven PHA Resident Assistants.

6.0

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

The PHA's main administrative offices located at **100 BROAD STREET, PROVIDENCE, RI 02903** and the PHA's website - **WWW.PHA-PROVIDENCE.COM**.

Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. *Include statements related to these programs as applicable.*

### DEMOLITION

During FY2011, Choice Neighborhood Initiative Planning Grant Principals (PHA, Olneyville Housing Corporation, LISC, and City of Providence Planning Department) meet monthly. During this fiscal year, the subject of the demolition of various units and/or the voluntary conversion of public housing units to fair market rent units at the PHA's Manton Heights family development (AMP004) have been discussed frequently. At times, as a result of the current neighborhood Transformation Plans and also when discussing the requirements/competitiveness of the newly released Choice Neighborhoods Implementation Grant. At this time, no definite plans have been made.

### HOMEOWNERSHIP PROGRAMS

#### SECTION 32

The Providence Housing Authority is actively updating its Homeownership Section 32 Plan for approval.

#### SECTION 8

Effective July 1, 2006, residents who plan to use their Housing Choice Voucher toward purchasing a home are required to participate in PHA's Family Self Sufficiency (FSS) program in order to be eligible. Elderly and disabled heads-of-household are exempt from this requirement, but are welcome to participate in the FSS program if they are employed or have an employment goal.

The PHA was awarded over \$37,000 in Housing Counseling grant funds through the Department of Urban Housing and Development (HUD) for pre-purchase services and the expansion of capacity in pre-purchase service delivery. To date, over 45 PHA and community members received financial literacy group training while 57 received 1 on 1 credit and pre-purchase counseling. Since 2004, the PHA facilitated 39 home purchases, of which 34 were Section 8 residents and 5 were non-Section 8 (2 non-PHA residents, 1 public housing resident, and 2 previous Section 8 residents who did not use vouchers).

7.0

The PHA uses funds from its ROSS Family/Homeownership grant to cover any and all course fees for each screened, eligible resident who is enrolled in the Section 8 Homeownership Program.

In FY 2011, seven community partners (such as Olneyville Housing Corp. and SWAP) participated in our sixth annual Homebuyer Information Fair which was attended by 47 PHA and Section 8 residents.

We currently have 32 homeowners receiving subsidies ranging from \$127-\$1,016. The average Housing Assistance Payment is \$542.

#### Public Housing Homeownership Activity Description (Complete one for each development affected)

1a. Development Name: **Scattered Sites**

1b. Development Number: **AMP 02**

2. Federal Program Authority:

HOPE VI

5(h)

Turnkey III

Section 32 of the USHA of 1937 (effective 10/1/99)

3. Application Status:

Approved; included in the PHA's Homeownership Plan/Program

Submitted, pending approval

Planned application

4. Date Homeownership Plan/Program approved, submitted or planned for submission: **9/27/94 and 4/2011**

5. Number of units affected: **5-8**

6. Coverage of action: (select one)

Part of the development

Total development

| 7.0                  | <p><b>PROJECT-BASED VOUCHERS</b></p> <p>The PHA has 21 units using Project-based Vouchers at University Heights in the East Side neighborhood. This program ensures that affordable housing remains throughout the city including the more expensive East Side.</p> <p>The PHA has 11 VASH vouchers that are Project Based Assistance located at the Sergeant Belanger House (the first Project Based Assistance program in the Veterans Affairs Supportive Housing (VASH) Program). Additionally, the PHA will be receiving 20 competitive, VASH, project-based vouchers for a facility located on Douglas Avenue in Providence.</p> <p>The PHA also has 97 units of Project-based Assistance using Certificates listed in the table below. These units were under contract prior to 2000 when everything switched to vouchers.</p> <table border="1" data-bbox="240 386 1513 531"> <thead> <tr> <th>Development</th> <th>Units</th> <th>Neighborhood</th> <th>Funding Source</th> </tr> </thead> <tbody> <tr> <td>Historic West End II</td> <td>7</td> <td>West End</td> <td>Certificate</td> </tr> <tr> <td>Elmwood Commons</td> <td>30</td> <td>Elmwood</td> <td>Certificate</td> </tr> <tr> <td>Sutterfield Apts.</td> <td>60</td> <td>Wanskuck</td> <td>Certificate</td> </tr> <tr> <td><b>TOTAL</b></td> <td><b>97</b></td> <td></td> <td></td> </tr> </tbody> </table>  | Development  | Units          | Neighborhood | Funding Source | Historic West End II | 7 | West End | Certificate | Elmwood Commons | 30 | Elmwood | Certificate | Sutterfield Apts. | 60 | Wanskuck | Certificate | <b>TOTAL</b> | <b>97</b> |  |  |
|----------------------|---|--------------|----------------|--------------|----------------|----------------------|---|----------|-------------|-----------------|----|---------|-------------|-------------------|----|----------|-------------|--------------|-----------|--|--|
| Development          | Units   | Neighborhood | Funding Source |              |                |                      |   |          |             |                 |    |         |             |                   |    |          |             |              |           |  |  |
| Historic West End II | 7   | West End     | Certificate    |              |                |                      |   |          |             |                 |    |         |             |                   |    |          |             |              |           |  |  |
| Elmwood Commons      | 30  | Elmwood      | Certificate    |              |                |                      |   |          |             |                 |    |         |             |                   |    |          |             |              |           |  |  |
| Sutterfield Apts.    | 60  | Wanskuck     | Certificate    |              |                |                      |   |          |             |                 |    |         |             |                   |    |          |             |              |           |  |  |
| <b>TOTAL</b>         | <b>97</b>   |              |                |              |                |                      |   |          |             |                 |    |         |             |                   |    |          |             |              |           |  |  |
| 8.0                  | <p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.</p>   |              |                |              |                |                      |   |          |             |                 |    |         |             |                   |    |          |             |              |           |  |  |
| 8.1                  | <p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>See Attachments A.1-A.8</p>   |              |                |              |                |                      |   |          |             |                 |    |         |             |                   |    |          |             |              |           |  |  |
| 8.2                  | <p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>See Attachment B</p>  |              |                |              |                |                      |   |          |             |                 |    |         |             |                   |    |          |             |              |           |  |  |
| 8.3                  | <p><b>Capital Fund Financing Program (CFFP).</b></p> <p><input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>   |              |                |              |                |                      |   |          |             |                 |    |         |             |                   |    |          |             |              |           |  |  |
| 9.0                  | <p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>Using the City of Providence's Consolidated Plan (2011-2013), the PHA's Rental Survey and the U.S. Census Data of 2000 20,027 families were identified as extremely low-income, 10,065 families are very low-income and 11,900 families are low-income. The majority of Providence residents are White, with 20,347 or 48.45% identifying as such. The next largest racial categories are Some Other Race with 11,015 or 26.23% and Black or African American with 6,085 or 14.49%. Additionally, 15,807 or 37.6% of Providence's population identified themselves as of Hispanic/Latino descent.</p> <p>The elderly population in Providence consists of 18,155 or 12.4% of residents and approximately, 26,189 or 22% of the Providence population has limited mobility or a work disability. Additionally almost 4,000 adult, Rhode Island residents have a developmental disability. Of the 4,000, an estimated 2,000 residents live with their families. In analyzing family types and the overall breakdown of the Providence population, the Providence Housing Authority (PHA) recognizes the scarcity of affordable housing and once families or individuals are housed, some stay in public housing for longer periods of time. This decreases the number of vacant units, thus increasing the average wait for new applicants. The average wait time for families applying for family developments can be as long as 5 years. Those applying for elderly or disabled housing wait a period of six months to 2 years depending upon the unit size required.</p> <p>One thousand and sixty five (89%) families were identified as extremely low-income on the public housing waiting list, 113 families (9%) are very low-income and 24 families (2%) are low-income. The public housing waiting list contains 108 elderly families (10%), 502 (47%) families with children, 410 (38%) families with disabilities. Approximately, 875 or 73% are White families, 280 or 23% are African-American families, 26 or 2% are Asian families and 22 or 2% are Native American families. There are 766 (64.5%) Hispanic families (including both White and African-American) and 426 (35%) Non-Hispanic families on the waiting list. Efficiency units have been identified as the highest demand on the public housing waiting list with 29% of the demand; followed by two bedroom units with 27% and one bedroom units with 15% of the demand.</p> <p>The Section 8 waiting list has 109 families. Eighty one percent or 88 families on the waitlist are considered extremely low-income, 12 families or 11% are very low-income and no families on the waiting list are low income. Families with children account for 85% (93) of the waitlist, families with disabilities account for 5% (6) of the list and families with elderly members account for 7% (8) of the waiting list. Eighty three percent or 90 families on the Section 8 waiting list are White, 16% or 18 families are African-American and 1% or 1 family is Native American.</p> |              |                |              |                |                      |   |          |             |                 |    |         |             |                   |    |          |             |              |           |  |  |

**Strategy for Addressing Housing Needs.** Provide brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

**Strategic Plans for Expansion of Affordable Housing:**

The Providence Housing Authority (PHA) will maximize the number of affordable units available through the reduction of turnover time for vacated public housing units and a decrease in the time needed to renovate a public housing unit.

Additionally, Section 8 lease-up rates will be increased using the following strategies:

- Establishing payment standards that will enable families to rent throughout the jurisdiction
- Marketing to owners, particularly those outside areas of minority and poverty concentration
- Effectively screening Section 8 applicants to increase homeowner acceptance of the program
- Participating in the City's Consolidated Plan development process to ensure coordination with broader community strategies

\*The PHA will be receiving an additional 20 VASH vouchers that will be used for a project-based facility for veterans located on Douglas Avenue in Providence. The additional VASH vouchers will bring the total to 125 VASH vouchers.

\*The PHA is working with the Olneyville Housing Corporation, a non-profit organization, to create new, affordable housing by purchasing 2-3 of their newly constructed units.

**Choice Neighborhood Initiative Grant**

In March 2011, the PHA, in partnership with Olneyville Housing, the Local Initiative Support Corporation (LISC) and the City of Providence, was awarded the Choice Neighborhood Initiative (CNI) Planning Grant in the amount of \$250,000. The CNI grant requires that a Transformation Plan be prepared that addresses three main areas:

- Housing
- People
- Neighborhood

A Schedule of Activities has been prepared, Quarterly Reports are submitted to HUD in a timely manner, and the Principals' Committee meet regularly. The Principal partners will eventually become a multi-member partnership consisting of many organizations that are located in the Olneyville/Manton Heights neighborhood, or organizations that currently/may provide services in the area. Important and required partnerships must involve education providers, security (police), job and workforce development. The final Transformation Plan will include: (1) the "reconnection of the Manton Heights development to the surrounding neighborhood", (2) feasibility studies for geothermal system/green roofs at Manton Heights, (3) the rehabilitation of the Riverside Mills building, and (4) research regarding real property (Imperial Knife building) to potential build a child day care center and adult training facility.

The PHA hopes to apply for the CNI Implementation Grant in 24 months.

**Additional Strategies**

The PHA seeks to achieve the subsequent:

- Exceed HUD's targeting requirements for families at or below 30% of the AMI
- Increase admissions preferences aimed at working families
- Pursue designation of public housing for the elderly
- Achieve modifications needed in public housing based on the section 504 Needs Assessment for public housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Counsel Section 8 tenants and owners regarding the location of units outside the areas of poverty or minority concentration

**Additional Information.** Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5- Year Plan.

See Attachment C

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification".

The PHA has defined a "substantial deviation/modification" and a "significant amendment" as:

- Changes to rent or admissions policies or organization of the waiting list
- Additions of non-emergency work items (items not included in the current Annual Statement of Five-Yr Action Plan) or change in the use of replacement reserve funds under the Capital Fund
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities

This definition excludes changes in policy and programming required by Congress, the Department of Housing & Urban Development or any other local, state or federal agencies for which the PHA has no discretionary authority.

9.1

10.0

|      |   |
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| 11.0 | <p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> <li>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</li> <li>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</li> <li>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</li> <li>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</li> <li>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</li> <li>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. <b>See Attachment D</b></li> <li>(g) Challenged Elements <b>See Attachment D</b></li> <li>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</li> <li>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</li> </ul> |
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## Instructions form HUD-50075

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### 1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### 2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### 3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### 4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

### 5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

#### PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.



9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)  
**Note:** This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

**8.2 Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

# **Attachment A.1**

**Annual Statement 50112**

**CFP Annual Statement/Performance and Evaluation Report**

**Annual Plan Section 8.1**

**Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program**

**U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226**

**Expires 4/30/2011**

**Part I: Summary**

|  |   |                           |
|--|---|---------------------------|
| <b>PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI</b> | <b>Grant Type and Number</b>                    | <b>FFY of Grant: 2012</b> |
|  | Capital Fund Program Grant No: RI 43 P001 50112 | <b>FFY of Grant</b>       |
|  | Replacement Housing Factor Grant No:            | <b>Approval: 2012</b>     |
|  | Date of CFP: 1/07/12                            |                           |

**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: 1)       -  
**Performance and Evaluation Report for Period Ending:**       Final Performance and Evaluation Report

| Line | Summary by Development Account                      | Total Estimated Cost |          | Total Actual Cost 1 |          |
|------|---|----------------------|----------|---------------------|----------|
|      |   | Original             | Revised2 | Obligated           | Expended |
| 1    | Total non-CFP Funds                                 |                      |          |                     |          |
| 2    | 1406 Operations (may not exceed 20% of line 21)     | \$ 681,913           | \$ -     | \$ -                | \$ -     |
| 3    | 1408 Management Improvements                        | \$ 140,000           | \$ -     | \$ -                | \$ -     |
| 4    | 1410 Administration (may not exceed 10% of line 21) | \$ 340,957           | \$ -     | \$ -                | \$ -     |
| 5    | 1411 Audit  | \$ -                 | \$ -     | \$ -                | \$ -     |
| 6    | 1415 Liquidated Damages                             | \$ -                 | \$ -     | \$ -                | \$ -     |
| 7    | 1430 Fees and Costs                                 | \$ 9,195             | \$ -     | \$ -                | \$ -     |
| 8    | 1440 Site Acquisition                               | \$ -                 | \$ -     | \$ -                | \$ -     |
| 9    | 1450 Site Improvement                               | \$ 55,696            | \$ -     | \$ -                | \$ -     |
| 10   | 1460 Dwelling Structures                            | \$ 930,865           | \$ -     | \$ -                | \$ -     |
| 11   | 1465.1 Dwelling Equipment—Nonexpendable             | \$ 20,000            | \$ -     | \$ -                | \$ -     |
| 12   | 1470 Non-dwelling Structures                        | \$ -                 | \$ -     | \$ -                | \$ -     |
| 13   | 1475 Non-dwelling Equipment                         | \$ 82,016            | \$ -     | \$ -                | \$ -     |
| 14   | 1485 Demolition                                     |                      |          |                     |          |
| 15   | 1492 Moving to Work Demonstration                   |                      |          |                     |          |
| 16   | 1495.1 Relocation Costs                             |                      |          |                     |          |
| 17   | 1499 Development Activities 4                       |                      |          |                     |          |

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 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226  
 Expires 4/30/2011

Part I: Summary

|   |   |                    |
|---|---|--------------------|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI | Grant Type and Number                           | FFY of Grant: 2012 |
|   | Capital Fund Program Grant No: RI 43 P001 50112 | FFY of Grant       |
|   | Replacement Housing Factor Grant No:            | Approval: 2012     |
|   | Date of CFP: 1/07/12                            |                    |

Type of Grant  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: 1)  
 Performance and Evaluation Report for Period Ending:       Final Performance and Evaluation Report

| Line | Summary by Development Account   | Total Estimated Cost |         | Total Actual Cost |          |
|------|--|----------------------|---------|-------------------|----------|
|      |  | Original             | Revised | Obligated         | Expended |
| 18a  | 1501 Collateralization or Debt Service paid by the PHA                   | \$ 1,148,925         | \$ -    | \$ -              | \$ -     |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment |                      |         |                   |          |
| 19   | 1502 Contingency (may not exceed 8% of line 20)                          |                      |         |                   |          |
| 20   | Amount of Annual Grant: (sum of lines 2-19)                              | <b>\$ 3,409,567</b>  | 0       | 0                 | 0        |
| 21   | Amount of line 20 Related to LBP Activities                              | \$ -                 |         |                   |          |
| 22   | Amount of line 20 Related to Section 504 Activities                      | \$ 23,000            |         |                   |          |
| 23   | Amount of line 20 Related to Security - Soft Costs                       | \$ 140,000           |         |                   |          |
| 24   | Amount of line 20 Related to Security-Hard Costs                         | \$ 86,000            |         |                   |          |
| 25   | Amount of line 20 Related to Energy Conservation Measures                | \$ 113,000           |         |                   |          |

|  |                     |                                      |      |
|--|---------------------|--------------------------------------|------|
| Signature of Executive Director <i>Stephen G. O'Rourke</i> | Date <i>3-16-12</i> | Signature of Public Housing Director | Date |
|--|---------------------|--------------------------------------|------|

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PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P00150112  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:  
 Federal FFY of Grant: 2012

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |           | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|-----------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1 | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| RI001000001                                    | Operations                                      | 1406                       | N/A      | 49,063               |           |                     |                    |                |
| Chad Brown                                     | Police  | 1408                       | N/A      | 9,353                |           |                     |                    |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 3,598                |           |                     |                    |                |
|  | Re-Caulk/Repaint Windows                        | 1460                       | 50 units | 10,000               |           |                     |                    |                |
|  | Upgrade Kitchens                                | 1460                       | 10 units | 15,000               |           |                     |                    |                |
|  | Repair/Replace Roofs                            | 1460                       |          | 25,000               |           |                     |                    |                |
|  | Mold Remediation                                | 1460                       | N/A      | 5,000                |           |                     |                    |                |
|  | Mold Testing                                    | 1460                       | 30 units | 5,000                |           |                     |                    |                |
|  | Appliance Purchases                             | 1465                       | 10 units | 10,000               |           |                     |                    |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 51,633               |           |                     |                    |                |
| <b>Total</b>                                   |   |                            |          | <b>183,647</b>       |           |                     |                    |                |
| Admiral Terrace                                | Operations                                      | 1406                       | N/A      | 49,063               |           |                     |                    |                |
|  | Police  | 1408                       | N/A      | 9,353                |           |                     |                    |                |

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PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number: Capital Fund Program Grant No: RI 43 P00150112  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:  
 Federal FFY of Grant: 2012

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |           | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|-----------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1 | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| Admiral Terrace                                | A&E Fees and Costs                              | 1430                       | N/A      | 7,195                |           |                     |                    |                |
| (continued)                                    | Tree Pruning                                    | 1450                       | N/A      | 3,598                |           |                     |                    |                |
|  | Upgrade Kitchens                                | 1460                       | 10 units | 15,000               |           |                     |                    |                |
|  | Re-Caulk/Repaint Windows                        | 1460                       | 50 units | 10,000               |           |                     |                    |                |
|  | Heating System Repairs                          | 1460                       | N/A      | 7,500                |           |                     |                    |                |
|  | Repair/Replace Roofs                            | 1460                       | 20 Units | 25,000               |           |                     |                    |                |
|  | Mold Remediation                                | 1460                       | N/A      | 5,000                |           |                     |                    |                |
|  | Mold Testing                                    | 1460                       | 30 units | 5,000                |           |                     |                    |                |
|  | Appliance Purchases                             | 1465                       | 10 units | 10,000               |           |                     |                    |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 51,632               |           |                     |                    |                |
| <b>Total</b>                                   |   |                            |          | <b>198,341</b>       |           |                     |                    |                |
| Sunset Village                                 | Insulate piping                                 | 1460                       | 24 Units | 10,000               |           |                     |                    |                |
| <b>Total</b>                                   |   |                            |          | <b>10,000</b>        |           |                     |                    |                |
| <b>RI001000001 Total</b>                       |   |                            |          | <b>391,988</b>       |           |                     |                    |                |

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 Grant Type and Number: Capital Fund Program Grant No: RI 43 P00150112  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No: Federal FFY of Grant: 2012

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |           | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|-----------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1 | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| RI001000002                                    | Repair/Replace Entrance Doors                   | 1460                       | 4 Units  | 15,000               |           |                     |                    |                |
| Roger Williams                                 | Repair/Replace Basement Doors                   | 1460                       |          | 15,000               |           |                     |                    |                |
| <b>Total</b>                                   |   |                            |          | <b>30,000</b>        |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
| Codding Court                                  | Operations                                      | 1406                       | N/A      | 52,857               |           |                     |                    |                |
|  | Police  | 1408                       | N/A      | 10,077               |           |                     |                    |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 5,000                |           |                     |                    |                |
|  | Mold Remediation                                | 1460                       |          | 5,000                |           |                     |                    |                |
|  | Mold Testing                                    | 1460                       |          | 5,000                |           |                     |                    |                |
|  | Replace Domestic Water Heaters                  | 1460                       | 10       | 10,000               |           |                     |                    |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 55,626               |           |                     |                    |                |
| <b>Total</b>                                   |   |                            |          | <b>143,560</b>       |           |                     |                    |                |



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 Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P001 50112  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:  
 Federal FFY of Grant: 2012

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |           | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|-----------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1 | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| Scattered Sites                                | Operations                                      | 1406                       | N/A      | 52,857               |           |                     |                    |                |
| 15, 17, 18, 21, 28,                            | Police  | 1408                       | N/A      | 10,077               |           |                     |                    |                |
| 29, 30, 31, 32, 33, 34, 35,                    | Tree Pruning                                    | 1450                       | N/A      | 5,000                |           |                     |                    |                |
| 34, 35, 36, 37, 38,                            | Install CO2/Smoke Detectors                     | 1460                       | N/A      | 18,000               |           |                     |                    |                |
| 39, 40, 41, 42, 43                             | <i>Building Repairs (All Projects)</i>          | 1460                       | N/A      | 135,521              |           |                     |                    |                |
|  | Paint Buildings, Rebuild Porches                |                            | N/A      |                      |           |                     |                    |                |
|  | Replace DHW Tanks, Boilers                      |                            |          |                      |           |                     |                    |                |
|  | Repair/Seal Foundation Cracks                   |                            |          |                      |           |                     |                    |                |
|  | Install Vinyl Siding, Vinyl Floor Tile          |                            |          |                      |           |                     |                    |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 55,625               |           |                     |                    |                |
| <b>Total</b>                                   |   |                            |          | <b>277,080</b>       |           |                     |                    |                |
| <b>RI001000002 Total</b>                       |   |                            |          | <b>450,640</b>       |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |

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PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number: Capital Fund Program Grant No: RI 43 P001 501 12  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No: Federal FFY of Grant: 2012

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |           | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|-----------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1 | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| RI001000003                                    | Operations                                      | 1406                       | N/A      | 132,929              |           |                     |                    |                |
| Hartford Park                                  | Police  | 1408                       | N/A      | 25,341               |           |                     |                    |                |
|  | A&E Fees and Costs                              | 1430                       | N/A      | 2,000                |           |                     |                    |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 10,000               |           |                     |                    |                |
|  | Exterior Building Repairs                       | 1460                       | 5 bldg   | 59,944               |           |                     |                    |                |
|  | High Rise walkway repairs                       | 1460                       | 1 bldg   | 100,000              |           |                     |                    |                |
|  | Mold Testing                                    | 1460                       | N/A      | 5,000                |           |                     |                    |                |
|  | Utility Survey                                  | 1460                       | 1        | 1,000                |           |                     |                    |                |
|  | Trash Chute Cleaning/Repairs                    | 1460                       | N/A      | 650                  |           |                     |                    |                |
|  | Mold Remediation                                | 1460                       | N/A      | 5,000                |           |                     |                    |                |
|  | Heating/Domestic HW System Repairs              | 1460                       | N/A      | 10,000               |           |                     |                    |                |
|  | Maintenance Vehicles/Equipment                  | 1475                       | N/A      | 50,000               |           |                     |                    |                |
|  | Bond Repayment (FM/Res Svs Bldgs)               | 1501                       | N/A      | 431,300              |           |                     |                    |                |
|  | Bond Repayment (CFFP)                           | 1501                       | N/A      | 139,890              |           |                     |                    |                |
| <b>RI001000003 Total</b>                       |   |                            |          | <b>973,054</b>       |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |

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PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number: Capital Fund Program Grant No: RI 43 P001 50112  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:  
 Federal FFY of Grant: 2012

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |           | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|-----------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1 | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| RI001000004                                    | Operations                                      | 1406                       | N/A      | 86,352               |           |                     |                    |                |
| Manton Heights                                 | Police  | 1408                       | N/A      | 16,462               |           |                     |                    |                |
|  | Upgrade Exit Signs/Egress lighting              | 1450                       | N/A      | 5,000                |           |                     |                    |                |
|  | Repair/Replace Gas/Water Lines                  | 1450                       | N/A      | 10,000               |           |                     |                    |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 7,000                |           |                     |                    |                |
|  | Mold Testing                                    | 1460                       | N/A      | 5,000                |           |                     |                    |                |
|  | Mold Remediation                                | 1460                       | N/A      | 5,000                |           |                     |                    |                |
|  | Repair/Replace Roof on Brick Bldgs              | 1460                       | N/A      | 225,000              |           |                     |                    |                |
|  | Repair/Replace Doors and Hardware               | 1460                       | N/A      | 10,000               |           |                     |                    |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 90,873               |           |                     |                    |                |
| <b>RI001000004 Total</b>                       |   |                            |          | <b>460,687</b>       |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |

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 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226  
 Expires 4/30/2011

**Part II: Supporting Pages**

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P001 50112  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:  
 Federal FFY of Grant: 2012

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |           | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|-----------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1 | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| RI001000005                                    | Operations                                      | 1406                       | N/A      | 76,146               |           |                     |                    |                |
| Dexter Manor                                   | Police  | 1408                       | N/A      | 14,516               |           |                     |                    |                |
|  | Security Guards                                 | 1408                       | N/A      | 10,000               |           |                     |                    |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 2,500                |           |                     |                    |                |
|  | Replace Roof Exhaust Fan                        | 1460                       | N/A      | 10,000               |           |                     |                    |                |
|  | Trash Chute Cleaning                            | 1460                       | N/A      | 650                  |           |                     |                    |                |
|  | Maintenance Vehicles/Equipment                  | 1475                       | N/A      | 32,016               |           |                     |                    |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 80,134               |           |                     |                    |                |
| <b>RI001000005 Total</b>                       |   |                            |          | <b>225,962</b>       |           |                     |                    |                |
| RI001000006                                    | Operations                                      | 1406                       | N/A      | 53,381               |           |                     |                    |                |
| Dominica Manor                                 | Police  | 1408                       | N/A      | 10,176               |           |                     |                    |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 4,000                |           |                     |                    |                |
|  | Replace Roof Exhaust Fan                        | 1460                       | N/A      | 15,000               |           |                     |                    |                |
|  | Replace Shower/Tub Mixing Valve                 | 1460                       | 30 Units | 4,500                |           |                     |                    |                |
|  | Trash Chute Cleaning                            | 1460                       |          | 1,350                |           |                     |                    |                |
|  | Asbestos Abatement                              | 1460                       | N/A      | 10,000               |           |                     |                    |                |

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|---|---|---|----------|----------------------|----------------------------|---------------------|--------------------|----------------|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE |   | Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P001 50112<br>CFFP (Yes/ No):<br>Replacement Housing Factor Grant No: |          |                      | Federal FFY of Grant: 2012 |                     |                    |                |
| Development Number<br>Name/PHA-Wide Activities            | General Description of Major Work<br>Categories | Development<br>Account No.  | Quantity | Total Estimated Cost |                            | Total Actual Cost   |                    | Status of Work |
|   |   |   |          | Original             | Revised 1                  | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| Dominica Manor  | Replace Floor Tiles                             | 1460  | N/A      | 10,000               |                            |                     |                    |                |
| (continued)   | Bond Repayment                                  | 1501  | N/A      | 56,176               |                            |                     |                    |                |
| RI001000006 Total   |   |   |          | 164,583              |                            |                     |                    |                |
| RI001000007   | Operations                                      | 1406  | N/A      | 50,764               |                            |                     |                    |                |
| Carroll Tower   | Police  | 1408  | N/A      | 9,678                |                            |                     |                    |                |
|   | Replace Plumbing Riser Piping                   | 1460  | N/A      | 54,000               |                            |                     |                    |                |
|   | Trash Chute Cleaning                            | 1460  | N/A      | 1,150                |                            |                     |                    |                |
|   | Asbestos Abatement                              | 1460  | N/A      | 15,000               |                            |                     |                    |                |
|   | Replace Floor Tiles                             | 1460  | N/A      | 15,000               |                            |                     |                    |                |
|   | Bond Repayment                                  | 1501  | N/A      | 53,423               |                            |                     |                    |                |
| RI001000007 Total   |   |   |          | 199,015              |                            |                     |                    |                |
|   |   |   |          |                      |                            |                     |                    |                |
|   |   |   |          |                      |                            |                     |                    |                |
|   |   |   |          |                      |                            |                     |                    |                |

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PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number: Capital Fund Program Grant No: RI 43 P001 50112  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No: Federal FFY of Grant: 2012

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |           | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|-----------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1 | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| RI001000008                                    | Operations                                      | 1406                       | N/A      | 27,737               |           |                     |                    |                |
| Kilmartin Plaza                                | Police  | 1408                       | N/A      | 5,288                |           |                     |                    |                |
|  | Replace Roof Exhaust Fans                       | 1460                       | N/A      | 5,000                |           |                     |                    |                |
|  | Trash Chute Cleaning                            | 1460                       | N/A      | 450                  |           |                     |                    |                |
|  | Replace Floor Tiles                             | 1460                       | N/A      | 5,000                |           |                     |                    |                |
|  | Asbestos Abatement                              | 1460                       | N/A      | 5,000                |           |                     |                    |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 29,190               |           |                     |                    |                |
| <b>RI001000008 Total</b>                       |   |                            |          | <b>77,665</b>        |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
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|  |   |                            |          |                      |           |                     |                    |                |
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PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P001 50112  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:  
 Federal FFY of Grant: 2012

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |           | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|-----------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1 | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| RI001000009                                    | Operations                                      | 1406                       | N/A      | 50,764               |           |                     |                    |                |
| Parenti Villa                                  | Police  | 1408                       | N/A      | 9,679                |           |                     |                    |                |
|  | Asbestos Abatement                              | 1460                       | N/A      | 5,000                |           |                     |                    |                |
|  | Trash Chute Cleaning                            | 1460                       | N/A      | 1,150                |           |                     |                    |                |
|  | Replace Floor Tiles                             | 1460                       | N/A      | 5,000                |           |                     |                    |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 53,423               |           |                     |                    |                |
| RI001000009 Total                              |   |                            |          | 125,016              |           |                     |                    |                |
| COCC   | CFP Administrative Costs                        | 1410                       | N/A      | 340,957              |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |
|  |   |                            |          |                      |           |                     |                    |                |





# **Attachment A.2**

**Replacement Housing Factor 50112**

**CFP Annual Statement/Performance and Evaluation Report**

**Annual Plan Section 8.1**

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
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|  |   |   |
|--|---|---|
| <b>Part I: Summary</b>   |   |   |
| <b>PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI</b> | <b>Grant Type and Number</b><br>Capital Fund Program Grant No:<br>Replacement Housing Factor Grant No: RI 43 R001 50212<br>Date of CFP: 1/07/12 | <b>FFY of Grant: 2012</b><br><br><b>FFY of Grant</b><br><br><b>Approval: 2012</b> |

Type of Grant  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:       Final Performance and Evaluation Report

| Line | Summary by Development Account                      | Total Estimated Cost |               | Total Actual Cost 1 |          |
|------|---|----------------------|---------------|---------------------|----------|
|      |   | Original             | Revised2      | Obligated           | Expended |
| 1    | Total non-CFP Funds                                 |                      |               |                     |          |
| 2    | 1406 Operations (may not exceed 20% of line 21)     |                      |               |                     |          |
| 3    | 1408 Management Improvements                        |                      |               |                     |          |
| 4    | 1410 Administration (may not exceed 10% of line 21) |                      |               |                     |          |
| 5    | 1411 Audit  |                      |               |                     |          |
| 6    | 1415 Liquidated Damages                             |                      |               |                     |          |
| 7    | 1430 Fees and Costs                                 |                      |               |                     |          |
| 8    | 1440 Site Acquisition                               |                      |               |                     |          |
| 9    | 1450 Site Improvement                               |                      |               |                     |          |
| 10   | 1460 Dwelling Structures                            |                      |               |                     |          |
| 11   | 1465.1 Dwelling Equipment—Nonexpendable             |                      |               |                     |          |
| 12   | 1470 Non-dwelling Structures                        |                      |               |                     |          |
| 13   | 1475 Non-dwelling Equipment                         |                      |               |                     |          |
| 14   | 1485 Demolition                                     |                      |               |                     |          |
| 15   | 1492 Moving to Work Demonstration                   |                      |               |                     |          |
| 16   | 1495.1 Relocation Costs                             |                      |               |                     |          |
| 17   | 1499 Development Activities 4                       |                      | <b>43,475</b> |                     |          |

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
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 Expires 4/30/2011

**Part I: Summary**

|  |   |   |
|--|---|---|
| <b>PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI</b> | <b>Grant Type and Number</b><br>Capital Fund Program Grant No:<br>Replacement Housing Factor Grant No: RI 43 R001 50212<br>Date of CFP: 1/07/12 | <b>FFY of Grant: 2012</b><br><b>FFY of Grant Approval: 2012</b> |
|--|---|---|

**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:       Final Performance and Evaluation Report

| Line | Summary by Development Account   | Total Estimated Cost |         | Total Actual Cost |          |
|------|--|----------------------|---------|-------------------|----------|
|      |  | Original             | Revised | Obligated         | Expended |
| 18a  | 1501 Collateralization or Debt Service paid by the PHA                   |                      |         |                   |          |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment |                      |         |                   |          |
| 19   | 1502 Contingency (may not exceed 8% of line 20)                          |                      |         |                   |          |
| 20   | <b>Amount of Annual Grant: (sum of lines 2-19)</b>                       | <b>43,475</b>        |         |                   |          |
| 21   | Amount of line 20 Related to LBP Activities                              |                      |         |                   |          |
| 22   | Amount of line 20 Related to Section 504 Activities                      |                      |         |                   |          |
| 23   | Amount of line 20 Related to Security - Soft Costs                       |                      |         |                   |          |
| 24   | Amount of line 20 Related to Security-Hard Costs                         |                      |         |                   |          |
| 25   | Amount of line 20 Related to Energy Conservation Measures                |                      |         |                   |          |

|  |  |
|--|--|
| Signature of Executive Director <i>Stephan G. O'Rourke</i> Date <i>3/16/12</i> | Signature of Public Housing Director _____      Date _____ |
|--|--|





# **Attachment A.3**

**P & E 50111**

**CFP Annual Statement/Performance and Evaluation Report**

**Annual Plan Section 8.1**

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226

Expires 4/30/2011

Part I: Summary

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI  
 Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P001 50111  
 Replacement Housing Factor Grant No:  
 Date of CFP: 1/07/11  
 FFY of Grant: 2011  
 FFY of Grant  
 Approval: 2011

Type of Grant  
 - Original Annual Statement  
 Performance and Evaluation Report for Period Ending: 12/31/11  
 - Reserve for Disasters/Emergencies  
 - Revised Annual Statement (revision no.):  
 - Final Performance and Evaluation Report  
 X

| Line | Summary by Development Account                      | Total Estimated Cost |          |           | Total Actual Cost 1 |  |
|------|---|----------------------|----------|-----------|---------------------|--|
|      |   | Original             | Revised2 | Obligated | Expended            |  |
| 1    | Total non-CFP Funds                                 |                      |          |           |                     |  |
| 2    | 1406 Operations (may not exceed 20% of line 21)     | \$ 699,924           | 699,924  | 0         | 0                   |  |
| 3    | 1408 Management Improvements                        | \$ 140,000           | 140,000  | 140,000   | 0                   |  |
| 4    | 1410 Administration (may not exceed 10% of line 21) | \$ 349,962           | 349,962  | 349,962   | 349,962             |  |
| 5    | 1411 Audit  | \$ -                 | -        |           |                     |  |
| 6    | 1415 Liquidated Damages                             | \$ -                 | -        |           |                     |  |
| 7    | 1430 Fees and Costs                                 | \$ 65,195            | 65,195   | \$ -      | \$ -                |  |
| 8    | 1440 Site Acquisition                               | \$ -                 | -        |           |                     |  |
| 9    | 1450 Site Improvement                               | \$ 62,443            | 62,443   | 0         | 0                   |  |
| 10   | 1460 Dwelling Structures                            | \$ 992,674           | 992,299  | \$ -      | \$ -                |  |
| 11   | 1465.1 Dwelling Equipment—Nonexpendable             | \$ 9,500             | 9,500    | 0         | 0                   |  |
| 12   | 1470 Non-dwelling Structures                        | \$ -                 | -        |           |                     |  |
| 13   | 1475 Non-dwelling Equipment                         | \$ 31,000            | 31,000   | \$ -      | \$ -                |  |
| 14   | 1485 Demolition                                     |                      |          |           |                     |  |
| 15   | 1492 Moving to Work Demonstration                   |                      |          |           |                     |  |
| 16   | 1495.1 Relocation Costs                             |                      |          |           |                     |  |
| 17   | 1499 Development Activities 4                       |                      |          |           |                     |  |

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 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
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Part I: Summary

Grant Type and Number: FFY of Grant: 2011  
 Capital Fund Program Grant No: RI 43 P001 50111  
 Replacement Housing Factor Grant No:  
 Date of CFP: 1/07/11  
 Approval: 2011

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI

Type of Grant: Original Annual Statement  
 X Performance and Evaluation Report for Period Ending: 12/31/11  
 Reserve for Disasters/Emergencies

Revised Annual Statement (revision no: )  
 Final Performance and Evaluation Report

| Line | Summary by Development Account   | Total Estimated Cost |           | Total Actual Cost |          |
|------|--|----------------------|-----------|-------------------|----------|
|      |  | Original             | Revised   | Obligated         | Expended |
| 18a  | 1501 Collateralization or Debt Service paid by the PHA                   | \$ 1,148,925         | 1,149,300 | 1,140,750         | 0        |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment |                      |           |                   |          |
| 19   | 1502 Contingency (may not exceed 8% of line 20)                          |                      |           |                   |          |
| 20   | Amount of Annual Grant: (sum of lines 2-19)                              | \$ 3,499,623         | 3,499,623 | 1,630,712         | 349,962  |
| 21   | Amount of line 20 Related to LBP Activities                              | \$ -                 |           |                   |          |
| 22   | Amount of line 20 Related to Section 504 Activities                      | \$ 23,000            |           |                   |          |
| 23   | Amount of line 20 Related to Security - Soft Costs                       | \$ 140,000           |           |                   |          |
| 24   | Amount of line 20 Related to Security-Hard Costs                         | \$ 86,000            |           |                   |          |
| 25   | Amount of line 20 Related to Energy Conservation Measures                | \$ 113,000           |           |                   |          |

Signature of Executive Director: *Stephen J. O'Keefe* Date: 3/7/12  
 Signature of Public Housing Director: \_\_\_\_\_ Date: \_\_\_\_\_



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Part II: Supporting Pages  
 PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number: Capital Fund Program Grant No: RI 43 P00150111  
 CFFP (Yes/ No): Replacement Housing Factor Grant No:

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
|  |   |                            |          |                      |                |                     |                    |                |
| RI001000001                                    | Operations                                      | 1406                       | N/A      | 50,359               | 50,359         | 0                   | 0                  |                |
| Chad Brown                                     | Police  | 1408                       | N/A      | 9,353                | 9,353          | 9,353               | 0                  |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 3,598                | 3,598          | 0                   | 0                  |                |
|  | Repair/Replace Gutters and Guards               | 1460                       | N/A      | 5,875                | 5,875          | 0                   | 0                  |                |
|  | Re-Caulk/Repaint Windows                        | 1460                       | 50 units | 25,000               | 24,625         | 0                   | 0                  |                |
|  | Upgrade Kitchens                                | 1460                       | 10 units | 10,000               | 10,000         | 0                   | 0                  |                |
|  | Repair/Replace roofs                            | 1460                       |          | 50,000               | 50,000         | 0                   | 0                  |                |
|  | Mold Remediation                                | 1460                       | N/A      | 5,000                | 5,000          | 0                   | 0                  |                |
|  | Mold Testing                                    | 1460                       | 30 units | 5,000                | 5,000          | 0                   | 0                  |                |
|  | Appliance Purchases                             | 1465                       | 10 units | 5,000                | 5,000          | 0                   | 0                  |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 51,633               | 51,659         | 51,659              | 0                  |                |
| <b>Total</b>                                   |   |                            |          | <b>220,818</b>       | <b>220,469</b> | <b>61,012</b>       | <b>0</b>           |                |
| Admiral Terrace                                | Operations                                      | 1406                       | N/A      | 50,359               | 50,359         | 0                   | 0                  |                |
|  | Police  | 1408                       | N/A      | 9,353                | 9,353          | 9,353               | 0                  |                |

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Part II: Supporting Pages

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P00150111  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| Admiral Terrace<br>(continued)                 | A&E Fees and Costs                              | 1430                       | N/A      | 7,195                | 7,195          | 0                   | 0                  |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 3,598                | 3,598          | 0                   | 0                  |                |
|  | Upgrade Kitchens                                | 1460                       | 10 units | 11,000               | 11,000         | 0                   | 0                  |                |
|  | Re-Caulk/Repaint Windows                        | 1460                       | 50 units | 20,000               | 20,000         | 0                   | 0                  |                |
|  | Heating System Repairs                          | 1460                       | N/A      | 7,500                | 7,500          | 0                   | 0                  |                |
|  | Repair/Replace roofs                            | 1460                       | 20 Units | 50,000               | 50,000         | 0                   | 0                  |                |
|  | Mold Remediation                                | 1460                       | N/A      | 5,000                | 5,000          | 0                   | 0                  |                |
|  | Mold Testing                                    | 1460                       | 30 units | 5,000                | 5,000          | 0                   | 0                  |                |
|  | Appliance Purchases                             | 1465                       | 10 units | 4,500                | 4,500          | 0                   | 0                  |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 51,632               | 51,660         | 51,660              | 0                  |                |
| <b>Total</b>                                   |   |                            |          | <b>225,137</b>       | <b>225,165</b> | <b>61,013</b>       | <b>0</b>           |                |
| Sunset Village                                 | Insulate piping                                 | 1460                       | 24 Units | 10,000               | 10,000         | 0                   | 0                  |                |
| <b>Total</b>                                   |   |                            |          | <b>10,000</b>        | <b>10,000</b>  | <b>0</b>            | <b>0</b>           |                |
| <b>RI001000001 Total</b>                       |   |                            |          | <b>455,955</b>       | <b>455,634</b> | <b>122,025</b>      | <b>0</b>           |                |

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Part II: Supporting Pages

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P00150111  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| RI001000002<br>Roger Williams                  | Repair/Replace Smoke Hatches                    | 1460                       | 4 Units  | 10,000               | 10,000         | 0                   | 0                  |                |
| <b>Total</b>                                   |   |                            |          | <b>10,000</b>        | <b>10,000</b>  | <b>0</b>            | <b>0</b>           |                |
| Codding Court                                  | Operations                                      | 1406                       | N/A      | 54,253               | 54,253         | 0                   | 0                  |                |
|  | Police  | 1408                       | N/A      | 10,077               | 10,077         | 10,077              | 0                  |                |
|  | Exterior Security Lighting                      | 1450                       | N/A      | 5,000                | 5,000          | 0                   | 0                  |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 3,876                | 3,876          | 0                   | 0                  |                |
|  | Mold Remediation                                | 1460                       |          | 5,000                | 5,000          | 0                   | 0                  |                |
|  | Mold Testing                                    | 1460                       |          | 5,000                | 5,000          | 0                   | 0                  |                |
|  | Replace Domestic Water Heaters                  | 1460                       | 10       | 10,000               | 10,000         | 0                   | 0                  |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 55,626               | 55,655         | 55,655              | 0                  |                |
| <b>Total</b>                                   |   |                            |          | <b>148,832</b>       | <b>148,861</b> | <b>65,732</b>       | <b>0</b>           |                |

Federal FFY of Grant: 2011

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U.S. Department of Housing and Urban Development  
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| Part II: Supporting Pages                                 |   |                            |          |                      |                |                     |                    |                |                            |  |  |
|---|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|----------------------------|--|--|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE |   |                            |          |                      |                |                     |                    |                |                            |  |  |
| Grant Type and Number                                     |   |                            |          |                      |                |                     |                    |                |                            |  |  |
| Capital Fund Program Grant No: RI 43 P001 50111           |   |                            |          |                      |                |                     |                    |                |                            |  |  |
| CFFP (Yes/ No):   |   |                            |          |                      |                |                     |                    |                |                            |  |  |
| Replacement Housing Factor Grant No:                      |   |                            |          |                      |                |                     |                    |                |                            |  |  |
| Development Number<br>Name/PHA-Wide Activities            | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work | Federal FFY of Grant: 2011 |  |  |
|   |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |                            |  |  |
| Scattered Sites   |   |                            |          |                      |                |                     |                    |                |                            |  |  |
| 15, 17, 18, 21, 28,                                       | Operations                                      | 1406                       | N/A      | 54,253               | 54,253         | 0                   | 0                  |                |                            |  |  |
| 29, 30, 31, 32, 33, 34, 35,                               | Police  | 1408                       | N/A      | 10,077               | 10,077         | 10,077              | 0                  |                |                            |  |  |
| 34, 35, 36, 37, 38,                                       | A&E Fees and Costs                              | 1430                       | N/A      | 7,751                | 7,751          | 0                   | 0                  |                |                            |  |  |
| 39, 40, 41, 42, 43  | Tree Pruning                                    | 1450                       | N/A      | 3,880                | 3,880          | 0                   | 0                  |                |                            |  |  |
|   | Building Repairs (All Projects)                 | 1460                       | N/A      | 100,000              | 100,000        | 0                   | 0                  |                |                            |  |  |
|   | Paint Buildings, Rebuild Porches                |                            | N/A      |                      |                |                     |                    |                |                            |  |  |
|   | Replace DHW Tanks, Boilers                      |                            |          |                      |                |                     |                    |                |                            |  |  |
|   | Repair/Seal Foundation Cracks                   |                            |          |                      |                |                     |                    |                |                            |  |  |
|   | Install Vinyl Siding, Vinyl Floor Tile          |                            |          |                      |                |                     |                    |                |                            |  |  |
|   | Bond Repayment                                  | 1501                       | N/A      | 55,625               | 55,654         | 55,654              | 0                  |                |                            |  |  |
| <b>Total</b>  |   |                            |          | <b>231,586</b>       | <b>231,615</b> | <b>65,731</b>       | <b>0</b>           |                |                            |  |  |
| <b>RI001000002 Total</b>                                  |   |                            |          | <b>390,418</b>       | <b>390,476</b> | <b>131,463</b>      | <b>0</b>           |                |                            |  |  |

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 Grant Type and Number: Capital Fund Program Grant No: RI 43 P001 50111  
 CFFP (Yes/ No): Replacement Housing Factor Grant No:

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                  | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|------------------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1        | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| R1001000003<br>Hartford Park                   | Operations                                      | 1406                       | N/A      | 136,440              | 136,440          | 0                   | 0                  |                |
|  | Police  | 1408                       | N/A      | 25,341               | 25,341           | 25,341              | 0                  |                |
|  | A&E Fees and Costs                              | 1430                       | N/A      | 2,000                | 2,000            | 0                   | 0                  |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 9,745                | 9,745            | 0                   | 0                  |                |
|  | Exterior Building Repairs                       | 1460                       | 5 bldg   | 90,000               | 90,000           | 0                   | 0                  |                |
|  | High Rise walkway repairs                       | 1460                       | 1 bldg   | 100,000              | 100,000          | 0                   | 0                  |                |
|  | Handicapped Unit Renovations                    | 1460                       | N/A      | 41,825               | 41,825           | 0                   | 0                  |                |
|  | Mold Testing                                    | 1460                       | N/A      | 5,000                | 5,000            | 0                   | 0                  |                |
|  | Utility Survey                                  | 1460                       |          | 1,000                | 1,000            | 0                   | 0                  |                |
|  | Trash Chute Cleaning/Repairs                    | 1460                       | N/A      | 5,000                | 5,000            | 0                   | 0                  |                |
|  | Heating/Domestic HW System Repairs              | 1460                       | N/A      | 10,000               | 10,000           | 0                   | 0                  |                |
|  | Maintenance Vehicles/Equipment                  | 1475                       | N/A      | 20,000               | 20,000           | 0                   | 0                  |                |
|  | Bond Repayment (FM/Res Svs Bldgs)               | 1501                       | N/A      | 431,300              | 431,300          | 422,750             | 0                  |                |
|  | Bond Repayment (CFFP)                           | 1501                       | N/A      | 139,890              | 139,963          | 139,963             | 0                  |                |
| <b>R1001000003 Total</b>                       |   |                            |          | <b>1,017,541</b>     | <b>1,017,614</b> | <b>588,054</b>      | <b>0</b>           |                |

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 PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE

Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P001 50111  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
|  |   |                            |          |                      |                |                     |                    |                |
| RI001000004                                    | Operations                                      | 1406                       | N/A      | 88,632               | 88,632         | 0                   | 0                  |                |
| Manton Heights                                 | Police  | 1408                       | N/A      | 16,462               | 16,462         | 16,462              | 0                  |                |
|  | A&E Fees and Costs                              | 1430                       | N/A      | 10,300               | 10,300         | 0                   | 0                  |                |
|  | Upgrade Exit Signs/Egress lighting              | 1450                       | N/A      | 10,000               | 10,000         | 0                   | 0                  |                |
|  | Repair/Replace Gas/Water Lines                  | 1450                       | N/A      | 10,000               | 10,000         | 0                   | 0                  |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 6,332                | 6,332          | 0                   | 0                  |                |
|  | Mold Testing                                    | 1460                       | N/A      | 5,000                | 5,000          | 0                   | 0                  |                |
|  | Mold Remediation                                | 1460                       | N/A      | 5,000                | 5,000          | 0                   | 0                  |                |
|  | Repair/Replace Roof on Brick Bldgs              | 1460                       | N/A      | 150,000              | 150,000        | 0                   | 0                  |                |
|  | Repair/Replace Doors and Hardware               | 1460                       | N/A      | 25,000               | 25,000         | 0                   | 0                  |                |
|  | Computer Hardware                               | 1475                       |          | 2,500                | 2,500          | 0                   | 0                  |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 90,873               | 90,921         | 90,921              | 0                  |                |
| <b>RI001000004 Total</b>                       |   |                            |          | <b>420,099</b>       | <b>420,147</b> | <b>107,383</b>      | <b>0</b>           |                |

Federal FFY of Grant: 2011

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 Grant Type and Number: Capital Fund Program Grant No: RI 43 P001 50111  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

Federal FFY of Grant: 2011

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| RI001000005                                    | Operations                                      | 1406                       | N/A      | 78,157               | 78,157         | 0                   | 0                  |                |
| Dexter Manor                                   | Police  | 1408                       | N/A      | 14,516               | 14,516         | 14,516              | 0                  |                |
|  | Security Guards                                 | 1408                       | N/A      | 10,000               | 10,000         | 10,000              | 0                  |                |
|  | A&E Fees and Costs                              | 1430                       | N/A      | 11,166               | 11,166         | 0                   | 0                  |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 2,500                | 2,500          | 0                   | 0                  |                |
|  | Replace Roof Exhaust Fan                        | 1460                       | N/A      | 10,000               | 10,000         | 0                   | 0                  |                |
|  | Install sliding entrance door                   | 1460                       | 1 Unit   | 25,000               | 25,000         | 0                   | 0                  |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 80,134               | 80,176         | 80,176              | 0                  |                |
| <b>RI001000005 Total</b>                       |   |                            |          | <b>231,473</b>       | <b>231,515</b> | <b>104,692</b>      | <b>0</b>           |                |
| RI001000006                                    | Operations                                      | 1406                       | N/A      | 54,791               | 54,791         | 0                   | 0                  |                |
| Dominica Manor                                 | Police  | 1408                       | N/A      | 10,176               | 10,176         | 10,176              | 0                  |                |
|  | A&E Fees and Costs                              | 1430                       | N/A      | 7,828                | 7,828          | 0                   | 0                  |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 3,914                | 3,914          | 0                   | 0                  |                |
|  | Replace Roof Exhaust Fan                        | 1460                       | N/A      | 10,000               | 10,000         | 0                   | 0                  |                |
|  | Replace Shower/Tub Mixing Valve                 | 1460                       | 30 Units | 4,500                | 4,500          | 0                   | 0                  |                |
|  | Asbestos Abatement                              | 1460                       | N/A      | 10,000               | 10,000         | 0                   | 0                  |                |
|  | Upgrade Handicap unit                           | 1460                       | 1 Unit   | 38,974               | 38,974         | 0                   | 0                  |                |

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|---|--|--|---|--|--|---------------------|--------------------|----------------|----------------------------|--|--|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE   |  |  |   |  |  |                     |                    |                |                            |  |  |
| Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P001 50111<br>CFFP (Yes/ No):<br>Replacement Housing Factor Grant No: |  |  |   |  |  |                     |                    |                |                            |  |  |
| Development Number<br>Name/PHA-Wide Activities  | General Description of Major Work<br>Categories  | Development<br>Account No.                           | Quantity                                      | Total Estimated Cost   |  | Total Actual Cost   |                    | Status of Work | Federal FFY of Grant: 2011 |  |  |
|   |  |  |   | Original   | Revised 1  | Funds<br>Obligated2 | Funds<br>Expended2 |                |                            |  |  |
| Dominica Manor<br>(continued)   | Replace Floor Tiles<br>Computer Hardware<br>Bond Repayment   | 1460<br>1475<br>1501                                 | N/A<br><br>N/A                                | 10,000<br>2,500<br>56,176  | 10,000<br>2,500<br>56,206  | 0<br>0<br>56,206    | 0<br>0<br>0        |                |                            |  |  |
| <b>RI001000006 Total</b>  |  |  |   | <b>208,859</b>   | <b>208,889</b>   | <b>66,382</b>       | <b>0</b>           |                |                            |  |  |
| RI001000007<br>Carroll Tower  | Operations<br>Police<br>A&E Fees and Costs<br>Replace Plumbing Riser Piping<br>Asbestos Abatement<br>Replace Floor Tiles<br>Bond Repayment | 1406<br>1408<br>1430<br>1460<br>1460<br>1460<br>1501 | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A | 52,105<br>9,678<br>7,444<br>27,000<br>17,500<br>17,500<br>53,423 | 52,105<br>9,678<br>7,444<br>27,000<br>17,500<br>17,500<br>53,450 |                     |                    |                |                            |  |  |
| <b>RI001000007 Total</b>  |  |  |   | <b>184,650</b>   | <b>184,677</b>   | <b>63,128</b>       | <b>0</b>           |                |                            |  |  |









# **Attachment A.4**

**P & E 50110**

**CFP Annual Statement/Performance and Evaluation Report**

**Annual Plan Section 8.1**

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**Part I: Summary**  
 Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P001 50110  
 Replacement Housing Factor Grant No:  
 Date of CFP: 1/07/10  
 FFY of Grant: 2010  
 FFY of Grant  
 Approval: 2010

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF  
 PROVIDENCE, RI

Type of Grant  
 - Original Annual Statement - Reserve for Disasters/Emergencies  
 Performance and Evaluation Report for Period Ending: 12/31/11  
 - Revised Annual Statement (revision no: )  
 - Final Performance and Evaluation Report  
 X

| Line | Summary by Development Account                      | Total Estimated Cost |          |            | Total Actual Cost 1 |
|------|---|----------------------|----------|------------|---------------------|
|      |   | Original             | Revised2 | Obligated  |                     |
| 1    | Total non-CFP Funds                                 |                      |          |            |                     |
| 2    | 1406 Operations (may not exceed 20% of line 21)     | \$ 817,099           | 817,099  | 817,099    | 817,099             |
| 3    | 1408 Management Improvements                        | \$ 145,000           | 145,000  | 140,000    | 65,200              |
| 4    | 1410 Administration (may not exceed 10% of line 21) | \$ 408,560           | 408,560  | 408,560    | 408,560             |
| 5    | 1411 Audit  |                      |          |            |                     |
| 6    | 1415 Liquidated Damages                             |                      |          |            |                     |
| 7    | 1430 Fees and Costs                                 | \$ 119,997           | 105,066  | 0          | 0                   |
| 8    | 1440 Site Acquisition                               |                      |          |            |                     |
| 9    | 1450 Site Improvement                               | \$ 396,764           | 393,954  | 0          | 0                   |
| 10   | 1460 Dwelling Structures                            | \$ 891,875           | 819,841  | 32,682     | 32,682              |
| 11   | 1465.1 Dwelling Equipment—Nonexpendable             | \$ 9,500             | 9,500    | 0          | 0                   |
| 12   | 1470 Non-dwelling Structures                        | \$ 80,000            | 80,000   | 30,437     | 14,525              |
| 13   | 1475 Non-dwelling Equipment                         | \$ 69,500            | 159,275  | \$ 133,533 | \$ 131,981          |
| 14   | 1485 Demolition                                     |                      |          |            |                     |
| 15   | 1492 Moving to Work Demonstration                   |                      |          |            |                     |
| 16   | 1495.1 Relocation Costs                             |                      |          |            |                     |
| 17   | 1499 Development Activities 4                       |                      |          |            |                     |

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Part I: Summary

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI

Grant Type and Number  
 Capital Fund Program Grant No. RI 43 P001 50110  
 Replacement Housing Factor Grant No.  
 Date of CFP: 1/07/10  
 FFY of Grant: 2010  
 FFY of Grant  
 Approval: 2010

Original Annual Statement  
 X Performance and Evaluation Report for Period Ending: 12/31/11  
 Summary by Development Account  
 Reserve for Disasters/Emergencies  
 Revised Annual Statement (revision no.: )  
 Final Performance and Evaluation Report

| Line | Description  | Total Estimated Cost |           | Total Actual Cost |           |
|------|--|----------------------|-----------|-------------------|-----------|
|      |  | Original             | Revised   | Obligated         | Expended  |
| 18a  | 1501 Collateralization or Debt Service paid by the PHA                   | \$ 1,147,200         | 1,147,200 | 1,147,200         | 943,450   |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment |                      |           |                   |           |
| 19   | 1502 Contingency (may not exceed 8% of line 20)                          |                      |           |                   |           |
| 20   | Amount of Annual Grant: (sum of lines 2-19)                              | \$ 4,085,495         | 4,085,495 | 2,709,511         | 2,413,497 |
| 21   | Amount of line 20 Related to LBP Activities                              | \$ -                 |           |                   |           |
| 22   | Amount of line 20 Related to Section 504 Activities                      | \$ 23,000            |           |                   |           |
| 23   | Amount of line 20 Related to Security - Soft Costs                       | \$ 140,000           |           |                   |           |
| 24   | Amount of line 20 Related to Security-Hard Costs                         | \$ 86,000            |           |                   |           |
| 25   | Amount of line 20 Related to Energy Conservation Measures                | \$ 113,000           |           |                   |           |

Signature of Executive Director: *Stephen J. O'Rourke* Date: 3/7/12  
 Signature of Public Housing Director: \_\_\_\_\_ Date: \_\_\_\_\_

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| Part II: Supporting Pages                                 |  | Grant Type and Number                          |          | Federal FFY of Grant: 2010 |                   |                  |                 |
|---|--|--|----------|----------------------------|-------------------|------------------|-----------------|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE |  | Capital Fund Program Grant No: RI 43 P00150110 |          |                            |                   |                  |                 |
| Replacement Housing Factor Grant No:                      |  |  |          |                            |                   |                  |                 |
| Development Number Name/PHA-Wide Activities               | General Description of Major Work Categories | Development Account No.                        | Quantity | Total Estimated Cost       | Total Actual Cost | Status of Work   |                 |
|   |  |  |          | Original                   | Revised 1         | Funds Obligated2 | Funds Expended2 |
| RI001000001   | Operations                                   | 1406   | N/A      | 58,529                     | 58,529            | 58,529           | 58,529          |
| Chad Brown  | Police                                       | 1408   | N/A      | 9,353                      | 9,353             | 9,353            | 4,691           |
|   | A & E Fees and Costs                         | 1430   | N/A      | 7,195                      | 7,195             | 0                | 0               |
|   | Landscaping/Site Improvements                | 1450   | N/A      | 77,671                     | 77,671            | 0                | 0               |
|   | Tree Pruning                                 | 1450   | N/A      | 3,598                      | 3,598             | 0                | 0               |
|   | Repair/Replace Gutters and Guards            | 1460   | N/A      | 5,875                      | 5,875             | 0                | 0               |
|   | Re-Caulk/Repaint Windows                     | 1460   | 50 units | 25,000                     | 5,935             | 0                | 0               |
|   | Upgrade Kitchens                             | 1460   | 10 units | 10,000                     | 10,000            | 0                | 0               |
|   | Card Access/Security Cameras                 | 1460   |          | 25,000                     | 20,431            | 20,431           | 20,431          |
|   | Mold Remediation                             | 1460   | N/A      | 15,000                     | 15,000            | 0                | 0               |
|   | Mold Testing                                 | 1460   | 30 units | 5,000                      | 5,000             | 0                | 0               |
|   | Appliance Purchases                          | 1465   | 10 units | 5,000                      | 5,000             | 0                | 0               |
|   | Computer Hardware                            | 1475   |          | 2,500                      | 0                 | 0                | 0               |
|   | Sever Virtualization Project                 | 1475   |          | 0                          | 3,649             | 3,649            | 3,538           |
|   | Maint. Vehicles/Equipment                    | 1475   | N/A      | 25,000                     | 25,000            | 19,258           | 19,258          |
|   | Bond Repayment                               | 1501   | N/A      | 51,660                     | 51,660            | 51,660           | 37,000          |
| <b>Total</b>  |  |  |          | <b>326,381</b>             | <b>303,896</b>    | <b>162,880</b>   | <b>143,447</b>  |
| Admiral Terrace   | Operations                                   | 1406   | N/A      | 58,528                     | 58,528            | 58,528           | 58,528          |
|   | Police                                       | 1408   | N/A      | 9,353                      | 9,353             | 9,353            | 4,691           |

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|--|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|----------------------------|--------------------|--|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  |   |                            |          |                      |                |                     |                    |                |                            |                    |  |
| Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P00150110<br>CFPP (Yes/ No):<br>Replacement Housing Factor Grant No: |   |                            |          |                      |                |                     |                    |                |                            |                    |  |
| Development Number<br>Name/PHA-Wide Activities   | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work | Federal FFY of Grant: 2010 |                    |  |
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                | Funds<br>Obligated2        | Funds<br>Expended2 |  |
| Admiral Terrace  | A&E Fees and Costs                              | 1430                       | N/A      | 7,195                | 7,195          | 0                   | 0                  |                |                            |                    |  |
| (continued)  | Landscaping/Site Improvements                   | 1450                       | N/A      | 80,355               | 80,355         | 0                   | 0                  |                |                            |                    |  |
|  | Tree Pruning                                    | 1450                       | N/A      | 3,598                | 3,598          | 0                   | 0                  |                |                            |                    |  |
|  | Repair/Replace Gutters/ add Guards              | 1460                       | N/A      | 5,000                | 5,000          | 0                   | 0                  |                |                            |                    |  |
|  | Upgrade Kitchens                                | 1460                       | 10 units | 11,000               | 11,000         | 0                   | 0                  |                |                            |                    |  |
|  | Re-Caulk/Repaint Windows                        | 1460                       | 50 units | 20,000               | 20,000         | 0                   | 0                  |                |                            |                    |  |
|  | Heating System Repairs                          | 1460                       | N/A      | 7,500                | 7,500          | 0                   | 0                  |                |                            |                    |  |
|  | Card Access/Security Cameras                    | 1460                       |          | 25,000               | 0              | 0                   | 0                  |                |                            |                    |  |
|  | Mold Remediation                                | 1460                       | N/A      | 20,000               | 20,000         | 0                   | 0                  |                |                            |                    |  |
|  | Mold Testing                                    | 1460                       | 30 units | 5,000                | 5,000          | 0                   | 0                  |                |                            |                    |  |
|  | Appliance Purchases                             | 1465                       | 10 units | 4,500                | 4,500          | 0                   | 0                  |                |                            |                    |  |
|  | Fire System - Health Ctr/Mgr Ofc                | 1470                       |          | 40,000               | 40,000         | 30,437              | 14,525             |                |                            |                    |  |
|  | Server Virtualization Project                   | 1475                       |          | 0                    | 3,648          | 3,648               | 3,538              |                |                            |                    |  |
|  | Bond Repayment                                  | 1501                       | N/A      | 51,660               | 51,660         | 51,660              | 37,000             |                |                            |                    |  |
| <b>Total</b>   |   |                            |          | <b>348,689</b>       | <b>327,337</b> | <b>153,626</b>      | <b>118,282</b>     |                |                            |                    |  |
| Sunset Village   | Upgrade Fire Protection System                  | 1460                       |          | 40,000               | 40,000         | 0                   | 0                  |                |                            |                    |  |
| <b>Total</b>   |   |                            |          | <b>40,000</b>        | <b>40,000</b>  | <b>0</b>            | <b>0</b>           |                |                            |                    |  |
| <b>RI001000001 Total</b>   |   |                            |          | <b>715,070</b>       | <b>671,233</b> | <b>316,506</b>      | <b>261,729</b>     |                |                            |                    |  |



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| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE               |   |                            |          |                      |                |                |                     |                    |                |  |  |
| Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P00150110 |   |                            |          |                      |                |                |                     |                    |                |  |  |
| CFPP (Yes/ No):   |   |                            |          |                      |                |                |                     |                    |                |  |  |
| Replacement Housing Factor Grant No:                                    |   |                            |          |                      |                |                |                     |                    |                |  |  |
| Federal FFY of Grant: 2010  |   |                            |          |                      |                |                |                     |                    |                |  |  |
| Development Number<br>Name/PHA-Wide Activities                          | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Revised 1      | Total Actual Cost   |                    | Status of Work |  |  |
|   |   |                            |          | Original             | Revised 1      |                | Funds<br>Obligated2 | Funds<br>Expended2 |                |  |  |
| R1001000002   | Repair/Replace Smoke Hatches                    | 1460                       | 4 Units  | 10,000               | 10,000         | 10,000         | 0                   | 0                  |                |  |  |
| Roger Williams  | Card Access/Cameras/Sec System                  | 1460                       | N/A      | 10,000               | 7,050          | 7,051          | 7,051               | 7,051              |                |  |  |
|   | Server Virtualization Project                   | 1475                       |          | 0                    | 3,649          | 3,649          | 3,649               | 3,538              |                |  |  |
| <b>Total</b>  |   |                            |          | <b>20,000</b>        | <b>20,699</b>  | <b>20,699</b>  | <b>10,700</b>       | <b>10,589</b>      |                |  |  |
|   |   |                            |          |                      |                |                |                     |                    |                |  |  |
| Codding Court   | Operations                                      | 1406                       | N/A      | 62,963               | 62,963         | 62,963         | 62,963              | 62,963             |                |  |  |
|   | Police  | 1408                       | N/A      | 10,077               | 10,077         | 10,077         | 10,077              | 5,054              |                |  |  |
|   | A&E Fees and Costs                              | 1430                       | N/A      | 7,751                | 7,751          | 7,751          | 0                   | 0                  |                |  |  |
|   | Exterior Security Lighting                      | 1450                       | N/A      | 5,000                | 5,000          | 5,000          | 0                   | 0                  |                |  |  |
|   | Tree Pruning                                    | 1450                       | N/A      | 3,876                | 3,876          | 3,876          | 0                   | 0                  |                |  |  |
|   | Card Access/Cameras/Sec System                  | 1460                       |          | 25,000               | 0              | 0              | 0                   | 0                  |                |  |  |
|   | Mold Remediation                                | 1460                       |          | 15,000               | 15,000         | 15,000         | 0                   | 0                  |                |  |  |
|   | Mold Testing                                    | 1460                       |          | 5,000                | 5,000          | 5,000          | 0                   | 0                  |                |  |  |
|   | Replace Domestic Water Heaters                  | 1460                       | 10       | 10,000               | 10,000         | 10,000         | 0                   | 0                  |                |  |  |
|   | Computer Hardware                               | 1475                       |          | 3,500                | 0              | 0              | 0                   | 0                  |                |  |  |
|   | Server Virtualization Project                   | 1475                       |          | 0                    | 3,648          | 3,648          | 3,648               | 3,538              |                |  |  |
|   | Bond Repayment                                  | 1501                       | N/A      | 55,654               | 55,654         | 55,654         | 55,654              | 39,861             |                |  |  |
| <b>Total</b>  |   |                            |          | <b>203,821</b>       | <b>178,969</b> | <b>178,969</b> | <b>132,342</b>      | <b>111,416</b>     |                |  |  |

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| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  |  |                            |                   |                           |                           |                       |                      |                |                            |                    |                     |                    |
| Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P001 50110   |  |                            |                   |                           |                           |                       |                      |                |                            |                    |                     |                    |
| CFFP (Yes/ No):<br>Replacement Housing Factor Grant No:  |  |                            |                   |                           |                           |                       |                      |                |                            |                    |                     |                    |
| Development Number<br>Name/PHA-Wide Activities   | General Description of Major Work<br>Categories  | Development<br>Account No. | Quantity          | Total Estimated Cost      |                           | Total Actual Cost     |                      | Status of Work | Federal FFY of Grant: 2010 |                    |                     |                    |
|  |  |                            |                   | Original                  | Revised 1                 | Funds<br>Obligated2   | Funds<br>Expended2   |                | Funds<br>Obligated2        | Funds<br>Expended2 | Funds<br>Obligated2 | Funds<br>Expended2 |
| Scattered Sites<br>15, 17, 18, 21, 28,<br>29, 30, 31, 32, 33, 34, 35,<br>34, 35, 36, 37, 38,<br>39, 40, 41, 42, 43 | Operations<br>Police<br>A&E Fees and Costs<br>Tree Pruning<br>Building Repairs (All Projects)<br>Paint Buildings, Rebuild Porches<br>Replace DHW Tanks, Boilers<br>Repair/Seal Foundation Cracks<br>Install Vinyl Siding, Vinyl Floor Tile<br>Bond Repayment | 1406<br>1408<br>1430       | N/A<br>N/A<br>N/A | 62,964<br>10,077<br>7,751 | 62,964<br>10,077<br>7,751 | 62,964<br>10,077<br>0 | 62,964<br>5,054<br>0 |                |                            |                    |                     |                    |
| <b>Total</b>   |  |                            |                   | <b>240,326</b>            | <b>240,326</b>            | <b>128,695</b>        | <b>107,880</b>       |                |                            |                    |                     |                    |
| <b>RI001000002 Total</b>   |  |                            |                   | <b>464,147</b>            | <b>439,994</b>            | <b>271,737</b>        | <b>229,885</b>       |                |                            |                    |                     |                    |

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| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE   |   |                            |          |                      |                  |                     |                    |  |                |  |  |  |
| Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P001 50110<br>CFPP (Yes/ No):<br>Replacement Housing Factor Grant No: |   |                            |          |                      |                  |                     |                    |  |                |  |  |  |
| Development Number<br>Name/PHA-Wide Activities  | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                  |                     | Total Actual Cost  |  | Status of Work |  |  |  |
|   |   |                            |          | Original             | Revised 1        | Funds<br>Obligated2 | Funds<br>Expended2 |  |                |  |  |  |
| RI001000003   | Operations                                      | 1406                       | N/A      | 156,390              | 156,390          | 156,390             | 156,390            |  |                |  |  |  |
|   | Police  | 1408                       | N/A      | 25,341               | 25,341           | 25,341              | 12,710             |  |                |  |  |  |
|   | A&E Fees and Costs                              | 1430                       | N/A      | 19,493               | 12,698           | 0                   | 0                  |  |                |  |  |  |
|   | Digitize Building Plans                         | 1430                       |          | 10,000               | 10,000           | 0                   | 0                  |  |                |  |  |  |
|   | Tree Pruning                                    | 1450                       | N/A      | 9,745                | 9,745            | 0                   | 0                  |  |                |  |  |  |
|   | Landscaping/Site Improvements                   | 1450                       | N/A      | 80,357               | 80,357           | 0                   | 0                  |  |                |  |  |  |
|   | Exterior Building Repairs                       | 1460                       | 5 bldg   | 90,000               | 90,000           | 0                   | 0                  |  |                |  |  |  |
|   | Handicapped Unit Renovations                    | 1460                       | N/A      | 50,000               | 50,000           | 0                   | 0                  |  |                |  |  |  |
|   | Mold Testing                                    | 1460                       | N/A      | 5,000                | 5,000            | 0                   | 0                  |  |                |  |  |  |
|   | Utility Survey                                  | 1460                       |          | 1,000                | 1,000            | 0                   | 0                  |  |                |  |  |  |
|   | Trash Chute Cleaning/Repairs                    | 1460                       | N/A      | 5,000                | 5,000            | 650                 | 650                |  |                |  |  |  |
|   | Mold Remediation                                | 1460                       | N/A      | 20,000               | 20,000           | 0                   | 0                  |  |                |  |  |  |
|   | Heating/Domestic HW System Repairs              | 1460                       | N/A      | 10,000               | 10,000           | 0                   | 0                  |  |                |  |  |  |
|   | Computer Hardware                               | 1475                       | N/A      | 3,500                | 0                | 0                   | 0                  |  |                |  |  |  |
|   | Maint Vehicles/Equipment                        | 1475                       |          | 0                    | 6,019            | 6,019               | 6,019              |  |                |  |  |  |
|   | Server Virtualization Project                   | 1475                       |          | 0                    | 25,776           | 25,776              | 25,776             |  |                |  |  |  |
|   | Bond Repayment (FM/Res Svs Bldgs)               | 1501                       | N/A      | 429,200              | 429,200          | 429,200             | 429,200            |  |                |  |  |  |
|   | Bond Repayment (CFPP)                           | 1501                       | N/A      | 139,963              | 139,963          | 139,963             | 100,245            |  |                |  |  |  |
| <b>RI001000003 Total</b>  |   |                            |          | <b>1,054,989</b>     | <b>1,076,489</b> | <b>783,339</b>      | <b>730,990</b>     |  |                |  |  |  |

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 Grant Type and Number: Capital Fund Program Grant No. RI 43 P001 50110  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No.:

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| RI001000004                                    | Operations                                      | 1406                       | N/A      | 103,302              | 103,302        | 103,302             | 103,302            |                |
|  | Police  | 1408                       | N/A      | 16,462               | 16,462         | 16,462              | 8,256              |                |
|  | A&E Fees and Costs                              | 1430                       | N/A      | 12,663               | 12,663         | 0                   | 0                  |                |
|  | Upgrade Exit Signs/Egress Lighting              | 1450                       | N/A      | 12,500               | 12,500         | 0                   | 0                  |                |
|  | Remove Underground Fuel Tank                    | 1450                       | N/A      | 10,000               | 10,000         | 0                   | 0                  |                |
|  | Repair/Replace Gas/Water Lines                  | 1460                       | N/A      | 10,000               | 10,000         | 0                   | 0                  |                |
|  | Landscaping/Site Improvements                   | 1450                       | N/A      | 80,355               | 77,545         | 0                   | 0                  |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 6,332                | 6,332          | 0                   | 0                  |                |
|  | Repair/Replace exterior window                  | 1460                       | N/A      | 10,000               | 10,000         | 0                   | 0                  |                |
|  | Mold Testing                                    | 1460                       | N/A      | 5,000                | 5,000          | 0                   | 0                  |                |
|  | Mold Remediation                                | 1460                       | N/A      | 20,000               | 20,000         | 0                   | 0                  |                |
|  | Repair/Replace Roof on Brick Bldgs              | 1460                       | N/A      | 30,000               | 30,000         | 0                   | 0                  |                |
|  | Repair/Replace Doors and Hardware               | 1460                       | N/A      | 25,000               | 25,000         | 0                   | 0                  |                |
|  | Fire System - Community Center                  | 1470                       | N/A      | 40,000               | 40,000         | 0                   | 0                  |                |
|  | Server Virtualization Project                   | 1475                       | N/A      | 0                    | 7,298          | 7,298               | 7,076              |                |
|  | Maintenance Vehicles/Equip                      | 1475                       | N/A      | 0                    | 2,810          | 2,810               | 2,810              |                |
|  | Computer Hardware                               | 1475                       | N/A      | 2,500                | 0              | 0                   | 0                  |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 90,921               | 90,921         | 90,921              | 65,120             |                |
| <b>RI001000004 Total</b>                       |   |                            |          | <b>475,035</b>       | <b>479,833</b> | <b>220,793</b>      | <b>186,564</b>     |                |

Federal FFY of Grant: 2010

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 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                |                     | Total Actual Cost  |  | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|--|----------------|
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |  |                |
|  |   |                            |          |                      |                |                     |                    |  |                |
| RI001000005<br>Dexter Manor                    | Operations                                      | 1406                       | N/A      | 91,379               | 91,379         | 91,379              | 91,379             |  |                |
|  | Computer System Software                        | 1408                       | N/A      | 5,000                | 5,000          | 0                   | 0                  |  |                |
|  | Police  | 1408                       | N/A      | 14,516               | 14,516         | 14,516              | 7,280              |  |                |
|  | Security Guards                                 | 1408                       | N/A      | 10,000               | 10,000         | 10,000              | 0                  |  |                |
|  | A&E Fees and Costs                              | 1430                       | N/A      | 11,166               | 9,740          | 0                   | 0                  |  |                |
|  | Digitize Bldg. Plans                            | 1430                       | N/A      | 10,000               | 10,000         | 0                   | 0                  |  |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 5,583                | 5,583          | 0                   | 0                  |  |                |
|  | Replace Roof Exhaust Fan                        | 1460                       | N/A      | 10,000               | 10,000         | 0                   | 0                  |  |                |
|  | Trash Chute Cleaning/Repairs                    | 1460                       | N/A      | 0                    | 650            | 650                 | 650                |  |                |
|  | Computer Hardware                               | 1475                       | N/A      | 2,500                | 0              | 0                   | 0                  |  |                |
|  | Server Virtualization Project                   | 1475                       | N/A      | 0                    | 25,776         | 25,776              | 25,776             |  |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 80,176               | 80,176         | 80,176              | 57,424             |  |                |
| <b>RI001000005 Total</b>                       |   |                            |          | <b>240,320</b>       | <b>262,820</b> | <b>222,497</b>      | <b>182,509</b>     |  |                |
| RI001000006<br>Dominica Manor                  | Operations                                      | 1406                       | N/A      | 64,780               | 64,780         | 64,780              | 64,780             |  |                |
|  | Police  | 1408                       | N/A      | 10,176               | 10,176         | 10,176              | 5,104              |  |                |
|  | A&E Fees and Costs                              | 1430                       | N/A      | 7,828                | 6,678          | 0                   | 0                  |  |                |
|  | Tree Pruning                                    | 1450                       | N/A      | 3,914                | 3,914          | 0                   | 0                  |  |                |
|  | Replace Roof Exhaust Fan                        | 1460                       | N/A      | 10,000               | 10,000         | 0                   | 0                  |  |                |
|  | Trash Chute Cleaning/Repairs                    | 1460                       |          | 0                    | 1,150          | 1,150               | 1,150              |  |                |
|  | Replace Shower/Tub Mixing Valve                 | 1460                       | 30 Units | 4,500                | 4,500          | 0                   | 0                  |  |                |
|  | Asbestos Abatement                              | 1460                       | N/A      | 15,000               | 15,000         | 0                   | 0                  |  |                |

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**Grant Type and Number: Capital Fund Program Grant No. RI 43 P001 50110**  
**CFFP (Yes/ No): Replacement Housing Factor Grant No. Federal FFY of Grant: 2010**

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|-------------------|----------------|
|  |   |                            |          | Original             | Revised 1      |                   |                |
| Dominica Manor<br>(continued)                  | Replace Floor Tiles                             | 1460                       | N/A      | 15,000               | 15,000         | 0                 | 0              |
|  | Maintenance Vehicles/Equipment                  | 1475                       | N/A      | 20,000               | 20,000         | 0                 | 0              |
|  | Server Virtualization Project                   | 1475                       |          | 0                    | 7,298          | 7,298             | 7,076          |
|  | Computer Hardware                               | 1475                       |          | 2,500                | 0              | 0                 | 0              |
|  | Bond Repayment                                  | 1501                       | N/A      | 56,206               | 56,206         | 56,206            | 40,256         |
| <b>RI001000006 Total</b>                       |   |                            |          | <b>209,904</b>       | <b>214,702</b> | <b>139,610</b>    | <b>118,366</b> |
| RI001000007                                    | Operations                                      | 1406                       | N/A      | 61,723               | 61,723         | 61,723            | 61,723         |
| Carroll Tower                                  | Police  | 1408                       | N/A      | 9,678                | 9,678          | 9,678             | 4,854          |
|  | A&E Fees and Costs                              | 1430                       | N/A      | 7,444                | 3,484          | 0                 | 0              |
|  | Replace Plumbing Riser Piping                   | 1460                       | N/A      | 27,000               | 27,000         | 0                 | 0              |
|  | Install entrance awning                         | 1460                       | N/A      | 15,000               | 15,000         | 0                 | 0              |
|  | Trash Chute Cleaning/Repairs                    | 1460                       |          | 0                    | 1,150          | 1,150             | 1,150          |
|  | Asbestos Abatement                              | 1460                       | N/A      | 20,000               | 20,000         | 0                 | 0              |
|  | Replace Floor Tiles                             | 1460                       | N/A      | 20,000               | 20,000         | 0                 | 0              |
|  | Computer Hardware                               | 1475                       |          | 2,500                | 0              | 0                 | 0              |
|  | Maint Vehicles/Equip                            | 1475                       |          | 0                    | 2,810          | 2,810             | 2,810          |
|  | Server Virtualization Project                   | 1475                       |          | 0                    | 7,298          | 7,298             | 7,076          |
|  | Bond Repayment                                  | 1501                       | N/A      | 53,450               | 53,450         | 53,450            | 38,282         |
| <b>RI001000007 Total</b>                       |   |                            |          | <b>216,795</b>       | <b>221,593</b> | <b>136,109</b>    | <b>115,895</b> |

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 Capital Fund Financing Program**

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**Part II: Supporting Pages**

PHA Name: **THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE**  
 Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P001 50110  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

Federal FFY of Grant: 2010

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost               |                                | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|---------------------------------|--------------------------------|----------------|
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated <sup>2</sup> | Funds<br>Expended <sup>2</sup> |                |
| RI001000008                                    | Operations                                      | 1406                       | N/A      | 34,818               | 34,818         | 34,818                          | 34,818                         |                |
| Kilmartin Plaza                                | Police  | 1408                       | N/A      | 5,288                | 5,288          | 5,288                           | 2,652                          |                |
|  | A&E Fees and Costs                              | 1430                       | N/A      | 4,067                | 3,617          | 0                               | 0                              |                |
|  | Replace Roof Exhaust Fans                       | 1460                       | N/A      | 10,000               | 10,000         | 0                               | 0                              |                |
|  | Install sliding entrance door                   | 1460                       | 1 Unit   | 25,000               | 25,000         | 0                               | 0                              |                |
|  | Trash Chute Cleaning/Repairs                    | 1460                       |          | 0                    | 450            | 450                             | 450                            |                |
|  | Replace Floor Tiles                             | 1460                       | N/A      | 5,000                | 5,000          | 0                               | 0                              |                |
|  | Asbestos Abatement                              | 1460                       | N/A      | 5,000                | 5,000          | 0                               | 0                              |                |
|  | Computer Hardware                               | 1475                       |          | 2,500                | 0              | 0                               | 0                              |                |
|  | Server Virtualization Project                   | 1475                       |          | 0                    | 7,298          | 7,298                           | 7,076                          |                |
|  | Bond Repayment                                  | 1501                       | N/A      | 29,205               | 29,205         | 29,205                          | 20,917                         |                |
| <b>RI001000008 Total</b>                       |   |                            |          | <b>120,878</b>       | <b>125,676</b> | <b>77,059</b>                   | <b>65,913</b>                  |                |
|  |   |                            |          |                      |                |                                 |                                |                |
|  |   |                            |          |                      |                |                                 |                                |                |
|  |   |                            |          |                      |                |                                 |                                |                |
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**Part II: Supporting Pages**  
 PHA Name: THE  
 HOUSING AUTHORITY OF  
 THE CITY OF  
 PROVIDENCE

Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P001 50110  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity   | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|------------|----------------------|----------------|---------------------|--------------------|----------------|
|  |   |                            |            | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| RI001000009                                    | Operations                                      | 1406                       | N/A        | 61,723               | 61,723         | 61,723              | 61,723             |                |
| Parenti Villa                                  | Police  | 1408                       | N/A        | 9,679                | 9,679          | 9,679               | 4,854              |                |
|  | A&E Fees and Costs                              | 1430                       | N/A        | 7,444                | 6,294          | 0                   | 0                  |                |
|  | Install entrance Awning                         | 1460                       | N/A        | 15,000               | 15,000         | 0                   | 0                  |                |
|  | Trash Chute Cleaning/Repairs                    | 1460                       |            | 0                    | 1,150          | 1,150               | 1,150              |                |
|  | Asbestos Abatement                              | 1460                       | N/A        | 15,000               | 15,000         | 0                   | 0                  |                |
|  | Replace Floor Tiles                             | 1460                       | N/A        | 15,000               | 15,000         | 0                   | 0                  |                |
|  | Computer Hardware                               | 1475                       |            | 2,500                | 0              | 0                   | 0                  |                |
|  | Sever Virtualization Project                    | 1475                       |            | 0                    | 7,298          | 7,298               | 7,076              |                |
|  | Bond Repayment                                  | 1501                       | N/A        | 53,451               | 53,451         | 53,451              | 38,283             |                |
| <b>RI001000009 Total</b>                       |   |                            |            | <b>179,797</b>       | <b>184,595</b> | <b>133,301</b>      | <b>113,086</b>     |                |
| <b>COCC</b>                                    | <b>CFP Administrative Costs</b>                 | <b>1410</b>                | <b>N/A</b> | <b>408,560</b>       | <b>408,560</b> | <b>408,560</b>      | <b>408,560</b>     |                |
|  |   |                            |            |                      |                |                     |                    |                |
|  |   |                            |            |                      |                |                     |                    |                |
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| Part III: Implementation Schedule for Capital Fund Financing Program |   |                                  |   |                                |                                  |  |  |
|--|---|----------------------------------|---|--------------------------------|----------------------------------|--|--|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI        |   |                                  |   |                                |                                  |  |  |
| Development Number<br>Name/PHA-Wide<br>Activities                    | All Fund Obligated<br>(Quarter Ending Date) |                                  | All Funds Expended<br>(Quarter Ending Date) |                                | Reasons for Revised Target Dates |  |  |
|  | Original<br>Obligation End<br>Date          | Actual<br>Obligation End<br>Date | Original Expenditure<br>End Date            | Actual Expenditure End<br>Date |                                  |  |  |
|  | 9/30/2012                                   |                                  | 9/30/2014                                   |                                |                                  |  |  |
|  |   |                                  |   |                                |                                  |  |  |
|  |   |                                  |   |                                |                                  |  |  |
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|  |   |                                  |   |                                |                                  |  |  |
|  |   |                                  |   |                                |                                  |  |  |

# **Attachment A.5**

**P & E 50109**

**CFP Annual Statement/Performance and Evaluation Report**

**Annual Plan Section 8.1**

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226

**Part I: Summary** Expires 4/30/2011  
**PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI**  
 Grant Type and Number: Capital Fund Program Grant No: RI 43 P001 50109 FFY of Grant: 2009  
 Replacement Housing Factor Grant No: FFY of Grant  
 Date of CFP: 9/15/09 Approval: 2009

Type of Grant  
 - Original Annual Statement - Reserve for Disasters/Emergencies  
 X Performance and Evaluation Report for Period Ending: 12/31/11 - Revised Annual Statement (revision no: )  
 Summary by Development Account - Final Performance and Evaluation Report

| Line | Summary by Development Account                      | Total Estimated Cost |            |            | Total Actual Cost 1 |
|------|---|----------------------|------------|------------|---------------------|
|      |   | Original             | Revised2   | Obligated  |                     |
| 1    | Total non-CFP Funds                                 |                      |            |            |                     |
| 2    | 1406 Operations (may not exceed 20% of line 21)     | \$ 796,734           | 818,431    | 818,431    | 818,431             |
| 3    | 1408 Management Improvements                        | \$ 165,000           | \$ 153,955 | \$ 153,955 | \$ 143,955          |
| 4    | 1410 Administration (may not exceed 10% of line 21) | \$ 398,367           | 409,216    | 409,216    | 409,216             |
| 5    | 1411 Audit  |                      |            |            |                     |
| 6    | 1415 Liquidated Damages                             |                      |            |            |                     |
| 7    | 1430 Fees and Costs                                 | \$ 142,997           | 778        | 778        | 778                 |
| 8    | 1440 Site Acquisition                               |                      |            |            |                     |
| 9    | 1450 Site Improvement                               | \$ 210,154           | 1,225,346  | 1,225,136  | 1,159,614           |
| 10   | 1460 Dwelling Structures                            | \$ 1,110,216         | \$ 329,961 | \$ 317,863 | \$ 214,284          |
| 11   | 1465.1 Dwelling Equipment--Non-expendable           | \$ 9,500             | 0          | 0          | 0                   |
| 12   | 1470 Non-dwelling Structures                        | \$ 55,000            | \$ 5,280   | \$ 5,280   | \$ 5,280            |
| 13   | 1475 Non-dwelling Equipment                         | \$ 55,000            | 0          | 0          | 0                   |
| 14   | 1485 Demolition                                     |                      |            |            |                     |
| 15   | 1492 Moving to Work Demonstration                   |                      |            |            |                     |
| 16   | 1495.1 Relocation Costs                             |                      |            |            |                     |
| 17   | 1499 Development Activities 4                       |                      |            |            |                     |

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U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226  
 Expires 4/30/2011

Part I: Summary

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI

Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P001 50109  
 Replacement Housing Factor Grant No:  
 Date of CFP: 9/15/09

FFY of Grant: 2009  
 FFY of Grant  
 Approval: 2009

Type of Grant  
 - Original Annual Statement  
 X Performance and Evaluation Report for Period Ending: 12/31/11  
 Summary by Development Account  
 - Revised Annual Statement (revision no: )  
 - Final Performance and Evaluation Report

| Line                            | Description  | Total Estimated Cost                 |           |           | Total Actual Cost |  |
|---------------------------------|--|--------------------------------------|-----------|-----------|-------------------|--|
|                                 |  | Original                             | Revised   | Obligated | Expended          |  |
| 18a                             | 1601 Collateralization or Debt Service paid by the PHA               | \$ 1,149,188                         | 1,149,188 | 1,149,188 | 1,149,188         |  |
| 18ba                            | 9000 Collateralization or Debt Service paid Via System of Direct Pmt |                                      |           |           |                   |  |
| 19                              | 1502 Contingency (may not exceed 8% of line 20)                      |                                      |           |           |                   |  |
| 20                              | Amount of Annual Grant: (sum of lines 2-19)                          | \$ 4,092,155                         | 4,092,155 | 4,079,847 | 3,900,746         |  |
| 21                              | Amount of line 20 Related to LBP Activities                          | \$ -                                 |           |           |                   |  |
| 22                              | Amount of line 20 Related to Section 504 Activities                  | \$ 23,000                            |           |           |                   |  |
| 23                              | Amount of line 20 Related to Security - Soft Costs                   | \$ 140,000                           |           |           |                   |  |
| 24                              | Amount of line 20 Related to Security-Hard Costs                     | \$ 86,000                            |           |           |                   |  |
| 25                              | Amount of line 20 Related to Energy Conservation Measures            | \$ 113,000                           |           |           |                   |  |
| Signature of Executive Director |  | Stephen J. O'Leary                   |           |           | Date 3/7/12       |  |
|                                 |  | Signature of Public Housing Director |           |           | Date              |  |

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| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
|  |   |                            |          |                      |                |                     |                    |                |
| RI001000001                                    | Operations                                      | 1406                       | N/A      | 57,324               | 58,529         | 58,529              | 58,529             | N/A            |
| Chad Brown                                     | Police  | 1408                       | N/A      | 9,353                | 9,997          | 9,997               | 9,997              | N/A            |
|  | A & E Fees and Costs                            | 1430                       | N/A      | 7,195                | 150            | 150                 | 150                | N/A            |
|  | Utility Surveys                                 | 1430                       | N/A      | 5,000                | 0              | 0                   | 0                  | N/A            |
|  | UPCS Inspection                                 | 1430                       | N/A      | 2,014                | 0              | 0                   | 0                  | complete       |
|  | Handicapped Access/Ramps                        | 1450                       | N/A      | 20,000               | 0              | 0                   | 0                  | cancelled      |
|  | Repair/Replace Gas/Water Lines                  | 1450                       | N/A      | 20,000               | 11,014         | 11,014              | 11,014             | cancelled      |
|  | Fencing   | 1450                       | N/A      | 7,350                | 0              | 0                   | 0                  | complete       |
|  | Tree Pruning                                    | 1450                       | N/A      | 3,598                | 0              | 0                   | 0                  | cancelled      |
|  | Repair/Replace Gutters and Guards               | 1460                       | N/A      | 5,875.50             | 0              | 0                   | 0                  | cancelled      |
|  | Re-Caulk/Repaint Windows                        | 1460                       | 50 units | 25,000               | 0              | 0                   | 0                  | cancelled      |
|  | Upgrade Kitchens                                | 1460                       | 5 units  | 10,000               | 0              | 0                   | 0                  | cancelled      |
|  | Re-Key/Locks                                    | 1460                       | N/A      | 15,000               | 0              | 0                   | 0                  | cancelled      |
|  | Bathroom Renovations                            | 1460                       | 20 units | 8,500                | 0              | 0                   | 0                  | cancelled      |
|  | Appliance Purchases                             | 1465                       | 10 units | 5,000                | 0              | 0                   | 0                  | cancelled      |
|  | Maint. Vehicles/Equipment                       | 1475                       | N/A      | 25,000               | 0              | 0                   | 0                  | cancelled      |
|  | Bond Repayment                                  | 1501                       | N/A      | 50,820.50            | 50,820         | 50,820              | 50,820             | cancelled      |
| <b>Total</b>                                   |   |                            |          | <b>277,030</b>       | <b>130,510</b> | <b>130,510</b>      | <b>130,510</b>     | <b>N/A</b>     |
| Admiral Terrace                                | Operations                                      | 1406                       | N/A      | 57,324               | 58,528         | 58,528              | 58,528             | N/A            |
|  | Police  | 1408                       | N/A      | 9,353                | 9,997          | 9,997               | 9,997              | N/A            |

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U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
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| Part II: Supporting Pages<br>PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE | Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P00150109<br>Replacement Housing Factor Grant No: | Development Account No. | Quantity | Total Estimated Cost                         |                | Total Actual Cost |                  | Status of Work   |                 |
|--|---|-------------------------|----------|--|----------------|-------------------|------------------|------------------|-----------------|
|  |   |                         |          | General Description of Major Work Categories | Original       | Revised 1         | Funds Obligated2 |                  | Funds Expended2 |
|  |   |                         |          |  |                |                   |                  |                  |                 |
| Admiral Terrace (continued)  | A&E Fees and Costs  | 1430                    | N/A      | 7,195  | 0              | 0                 | 0                | cancelled        |                 |
|  | UPCS Inspection   | 1430                    | N/A      | 2,015  | 0              | 0                 | 0                | cancelled        |                 |
|  | Repair/Replace Gas/Water Lines  | 1450                    | N/A      | 20,000                                       | 0              | 0                 | 0                | cancelled        |                 |
|  | Fencing   | 1450                    | N/A      | 7,350  | 0              | 0                 | 0                | cancelled        |                 |
|  | Tree Pruning  | 1450                    | N/A      | 3,598  | 0              | 0                 | 0                | cancelled        |                 |
|  | Repair/Replace Gutters/add Guards   | 1460                    | N/A      | 5,000  | 0              | 0                 | 0                | cancelled        |                 |
|  | Upgrade Kitchens  | 1460                    | 6 units  | 11,000                                       | 0              | 0                 | 0                | cancelled        |                 |
|  | Re-Caulk/Repair Windows   | 1460                    | 50 units | 20,000                                       | 0              | 0                 | 0                | cancelled        |                 |
|  | Heating System Repairs  | 1460                    | N/A      | 7,500  | 0              | 0                 | 0                | cancelled        |                 |
|  | Re-Key/Locks  | 1460                    | N/A      | 15,000                                       | 0              | 0                 | 0                | cancelled        |                 |
|  | Bathroom Renovations  | 1460                    | 30 units | 10,000                                       | 0              | 0                 | 0                | cancelled        |                 |
|  | Appliance Purchases   | 1465                    | 10 units | 4,500  | 0              | 0                 | 0                | cancelled        |                 |
|  | Bond Repayment  | 1501                    | N/A      | 51,695                                       | 51,695         | 51,695            | 51,695           | cancelled        |                 |
| <b>Total</b>   |   |                         |          | <b>231,530</b>                               | <b>120,220</b> | <b>120,220</b>    | <b>120,220</b>   | <b>N/A</b>       |                 |
| Sunset Village   | Reinsulate Crawl Space Bays   | 1460                    | N/A      | 15,000                                       | 0              | 0                 | 0                | cancelled        |                 |
|  | Install Metal Access Doors  | 1460                    | N/A      | 5,000  | 0              | 0                 | 0                | cancelled        |                 |
|  | Repaint Exterior Doors/Trim   | 1460                    | N/A      | 15,000                                       | 0              | 0                 | 0                | cancelled        |                 |
| <b>Total</b>   |   |                         |          | <b>35,000</b>                                | <b>0</b>       | <b>0</b>          | <b>0</b>         | <b>cancelled</b> |                 |
| <b>RI001000001 Total</b>   |   |                         |          | <b>543,560</b>                               | <b>250,730</b> | <b>250,730</b>    | <b>250,730</b>   |                  |                 |

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|---|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|----------------------------|--|--|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE               |   |                            |          |                      |                |                     |                    |                |                            |  |  |
| Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P00150109 |   |                            |          |                      |                |                     |                    |                |                            |  |  |
| CFFP (Yes/ No):<br>Replacement Housing Factor Grant No:                 |   |                            |          |                      |                |                     |                    |                |                            |  |  |
| Development Number<br>Name/PHA-Wide<br>Activities                       | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work | Federal FFY of Grant: 2009 |  |  |
|   |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |                            |  |  |
| RI001000002   | Install Lo-Flo Toilets                          | 1460                       | N/A      | 10,000               | 0              | 0                   | 0                  |                |                            |  |  |
| Roger Williams  | Repair/Replace Smoke Hatches                    | 1460                       | 4 Units  | 10,000               | 0              | 0                   | 0                  | cancelled      |                            |  |  |
|   | Floor/Upgrade                                   | 1460                       | N/A      | 20,000               | 0              | 0                   | 0                  | cancelled      |                            |  |  |
|   | Card Access/Cameras/Sec-Maint Shop              | 1470                       | N/A      | 15,000               | 0              | 0                   | 0                  | cancelled      |                            |  |  |
| <b>Total</b>  |   |                            |          | <b>55,000</b>        | <b>0</b>       | <b>0</b>            | <b>0</b>           |                |                            |  |  |
| Codding Court   | Operations                                      | 1406                       | N/A      | 61,758               | 62,963         | 62,963              | 62,963             | N/A            |                            |  |  |
|   | Police  | 1408                       | N/A      | 10,077               | 10,771         | 10,771              | 10,771             | N/A            |                            |  |  |
|   | A&E Fees and Costs                              | 1430                       | N/A      | 7,751                | 0              | 0                   | 0                  | cancelled      |                            |  |  |
|   | UPCS Inspection                                 | 1430                       | N/A      | 2,171                | 0              | 0                   | 0                  | cancelled      |                            |  |  |
|   | Exterior Security Lighting                      | 1450                       | N/A      | 5,000                | 0              | 0                   | 0                  | cancelled      |                            |  |  |
|   | Tree Pruning                                    | 1450                       | N/A      | 3,876                | 0              | 0                   | 0                  | cancelled      |                            |  |  |
|   | Upgrade Hallway Lighting                        | 1460                       | 5 Bldgs  | 20,000               | 0              | 0                   | 0                  | cancelled      |                            |  |  |
|   | Replace Domestic Water Heaters                  | 1460                       | 5        | 10,000               | 0              | 0                   | 0                  | cancelled      |                            |  |  |
|   | Re-Key/Locks                                    | 1460                       | N/A      | 20,000               | 0              | 0                   | 0                  | cancelled      |                            |  |  |
|   | Bond Repayment                                  | 1501                       | N/A      | 55,693               | 55,693         | 55,693              | 55,693             | cancelled      |                            |  |  |
| <b>Total</b>  |   |                            |          | <b>196,326</b>       | <b>129,427</b> | <b>129,427</b>      | <b>129,427</b>     |                |                            |  |  |

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|---|--|-------------------------|----------|----------------------|----------------|-------------------|-----------------|----------------|----------------------------|--|--|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE   |  |                         |          |                      |                |                   |                 |                |                            |  |  |
| Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P001 50109<br>CHFP (Yes/ No):<br>Replacement Housing Factor Grant No: |  |                         |          |                      |                |                   |                 |                |                            |  |  |
| Development Number<br>Name/PHA-Wide Activities  | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost |                 | Status of Work | Federal FFY of Grant: 2009 |  |  |
|   |  |                         |          | Original             | Revised 1      | Funds Obligated2  | Funds Expended2 |                |                            |  |  |
| Scattered Sites   | Operations                                   | 1406                    | N/A      | 61,758               | 62,964         | 62,964            | 62,964          | N/A            |                            |  |  |
| 15, 17, 18, 21, 28,   | Police                                       | 1408                    | N/A      | 10,077               | 10,771         | 10,771            | 10,771          | N/A            |                            |  |  |
| 29, 30, 31, 32, 33, 34, 35,   | A&E Fees and Costs                           | 1430                    | N/A      | 7,751                | 0              | 0                 | 0               | cancelled      |                            |  |  |
| 34, 35, 36, 37, 38,   | UPCS Inspection                              | 1430                    | N/A      | 2,171                | 0              | 0                 | 0               | cancelled      |                            |  |  |
| 39, 40, 41, 42, 43  | Tree Pruning                                 | 1450                    | N/A      | 3,880                | 0              | 0                 | 0               | cancelled      |                            |  |  |
|   | Building Repairs (All Projects)              | 1460                    | N/A      | 125,916              | 0              | 0                 | 0               | cancelled      |                            |  |  |
|   | Paint Buildings, Rebuild Porches             |                         |          |                      |                |                   |                 |                |                            |  |  |
|   | Replace DHW Tanks, Boilers                   |                         |          |                      |                |                   |                 |                |                            |  |  |
|   | Repair/Seal Foundation Cracks                |                         |          |                      |                |                   |                 |                |                            |  |  |
|   | Install Carb Monoxide/Smoke                  |                         |          |                      |                |                   |                 |                |                            |  |  |
|   | Replace Windows, Deferred Painting           |                         |          |                      |                |                   |                 |                |                            |  |  |
|   | Install Vinyl Siding, Vinyl Floor Tile       |                         |          |                      |                |                   |                 |                |                            |  |  |
|   | Bond Repayment                               | 1501                    | N/A      | 55,693               | 55,693         | 55,693            | 55,693          | N/A            |                            |  |  |
| <b>Total</b>  |  |                         |          | <b>267,246</b>       | <b>129,428</b> | <b>129,428</b>    | <b>129,428</b>  |                |                            |  |  |
| <b>RI001000002 Total</b>  |  |                         |          | <b>518,572</b>       | <b>258,855</b> | <b>258,855</b>    | <b>258,855</b>  |                |                            |  |  |



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| Part II: Supporting Pages<br>PHA Name: THE<br>HOUSING AUTHORITY OF<br>THE CITY OF<br>PROVIDENCE | Development Number<br>Name/PHA-Wide<br>Activities | Grant Type and Number<br>Capital Fund Program Grant No: R143 P001 50109<br>CFPP (Yes/ No):<br>Replacement Housing Factor Grant No: | Development<br>Account No. | Quantity | Total Estimated Cost |                |                     | Total Actual Cost  |                            | Status of Work |
|---|---|--|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------------------|----------------|
|   |   |  |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                            |                |
|   |   |  |                            |          |                      |                |                     |                    | Federal FFY of Grant: 2009 |                |
| R1001000003   | Operations  |  | 1406                       | N/A      | 157,722              | 157,722        | 157,722             | 157,722            | N/A                        |                |
| Hartford Park   | Police  |  | 1408                       | N/A      | 25,341               | 27,087         | 27,087              | 27,087             | N/A                        |                |
|   | Resident Self Sufficiency                         |  | 1408                       | N/A      | 0                    | 5,000          | 5,000               | 5,000              | complete                   |                |
|   | A&E Fees and Costs                                |  | 1430                       | N/A      | 19,493               | 0              | 0                   | 0                  | cancelled                  |                |
|   | UPCS Inspection                                   |  | 1430                       | N/A      | 5,458                | 0              | 0                   | 0                  | cancelled                  |                |
|   | LBP/Asbestos Testing                              |  | 1430                       | N/A      | 5,000                | 0              | 0                   | 0                  | cancelled                  |                |
|   | Tree Pruning                                      |  | 1450                       | N/A      | 9,745                | 0              | 0                   | 0                  | cancelled                  |                |
|   | Fencing   |  | 1450                       | N/A      | 8,750                | 0              | 0                   | 0                  | cancelled                  |                |
|   | Exterior Building Repairs                         |  | 1460                       | 5 bldg   | 90,000               | 10,700         | 10,700              | 0                  | cancelled                  |                |
|   | LBP Abatement                                     |  | 1460                       | N/A      | 5,000                | 0              | 0                   | 0                  | underway                   |                |
|   | Install Sliding Doors                             |  | 1460                       | N/A      | 20,000               | 0              | 0                   | 0                  | cancelled                  |                |
|   | Trash Chute Cleaning/Repairs                      |  | 1460                       | N/A      | 5,000                | 0              | 0                   | 0                  | cancelled                  |                |
|   | Re-Key/Locks                                      |  | 1460                       | N/A      | 35,000               | 0              | 0                   | 0                  | cancelled                  |                |
|   | Repair Replace Backflow Devices                   |  | 1460                       | N/A      | 0                    | 4,918          | 4,918               | 0                  | cancelled                  |                |
|   | Heating/Domestic HW System Repairs                |  | 1460                       | N/A      | 10,000               | 0              | 0                   | 0                  | underway                   |                |
|   | Radios/Telecommunications/Alarms                  |  | 1475                       | N/A      | 10,000               | 0              | 0                   | 0                  | cancelled                  |                |
|   | Bond Repayment (FM/Res Sys Bldgs)                 |  | 1501                       | N/A      | 431,563              | 431,563        | 431,563             | 431,563            | cancelled                  |                |
|   | Bond Repayment (CFPP)                             |  | 1501                       | N/A      | 139,761              | 139,761        | 139,761             | 139,761            | N/A                        |                |
| <b>R1001000003 Total</b>  |   |  |                            |          | <b>975,422</b>       | <b>776,751</b> | <b>776,751</b>      | <b>761,133</b>     |                            |                |

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| Part II: Supporting Pages<br>PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE |  | Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P001 50109<br>CFFP (Yes/ No):<br>Replacement Housing Factor Grant No: |          | Federal FFY of Grant: 2009                 |   |                  |                  |
|--|--|---|----------|--|---|------------------|------------------|
| Development Number<br>Name/PHA-Wide Activities   | General Description of Major Work Categories | Development Account No.   | Quantity | Total Estimated Cost<br>Original Revised 1 | Total Actual Cost<br>Obligated2 Expended2 | Status of Work   |                  |
| RI001000004  | Operations                                   | 1406  | N/A      | 100,891                                    | 103,302                                   | 103,302          | N/A              |
| Manton Heights   | Police                                       | 1408  | N/A      | 16,462                                     | 17,596                                    | 17,596           | N/A              |
|  | A&E Fees and Costs                           | 1430  | N/A      | 12,663                                     | 0   | 0                | N/A              |
|  | Utility Survey                               | 1430  | N/A      | 5,000                                      | 0   | 0                | cancelled        |
|  | UPCS Inspection                              | 1430  | N/A      | 3,546                                      | 0   | 0                | cancelled        |
|  | Remove Underground Fuel Tank                 | 1450  | N/A      | 18,000                                     | 0   | 0                | cancelled        |
|  | Site Improvements                            | 1450  | N/A      | 0  | 1,183,672                                 | 1,118,150        | cancelled        |
|  | Repair/Replace Gas/Water Lines               | 1450  | N/A      | 35,000                                     | 30,660                                    | 30,450           | underway         |
|  | Fencing                                      | 1450  | N/A      | 6,700                                      | 0   | 0                | complete         |
|  | Tree Pruning                                 | 1450  | N/A      | 6,332                                      | 0   | 0                | cancelled        |
|  | Repair/Replace exterior window               | 1460  | N/A      | 10,000                                     | 0   | 0                | cancelled        |
|  | Upgrade Exit Signs/Egress lighting           | 1460  | N/A      | 12,500                                     | 0   | 0                | cancelled        |
|  | Plumbing/Heating Repair Replacement          | 1460  | N/A      | 20,000                                     | 0   | 0                | cancelled        |
|  | ReKey/Locks                                  | 1460  | N/A      | 30,000                                     | 0   | 0                | cancelled        |
|  | Repair/Replace Roof on Brick Bldgs           | 1460  | N/A      | 30,000                                     | 229,200                                   | 154,800          | cancelled        |
|  | Repair Replace Backflow Devices              | 1460  | N/A      | 0  | 13,561                                    | 0                | underway         |
|  | Repair/Replace Doors and Hardware            | 1460  | N/A      | 25,000                                     | 0   | 0                | underway         |
|  | Exterior Repairs to Mgt Office               | 1470  | N/A      | 30,000                                     | 0   | 0                | cancelled        |
|  | Security System - Maint. & Mgt. Office       | 1470  | N/A      | 10,000                                     | 0   | 0                | cancelled        |
|  | Bond Repayment                               | 1501  | N/A      | 90,984                                     | 90,984                                    | 90,984           | cancelled        |
| <b>RI001000004 Total</b>   |  |   |          | <b>463,078</b>                             | <b>1,668,975</b>                          | <b>1,668,765</b> | <b>1,515,282</b> |

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|---|----------------------------------|---|----------|-------------------------|--|--------------------------------------|----------------|----------------------|-----------|-------------------|-----------------|----------------|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE |                                  | Grant Type and Number                           |          | Development Account No. |  | Quantity                             |                | Total Estimated Cost |           | Total Actual Cost |                 | Status of Work |
| Development Number Name/PHA-Wide Activities               |                                  | Capital Fund Program Grant No: RI 43 P001 50109 |          | CFFP (Yes/ No):         |  | Replacement Housing Factor Grant No: |                | Original             | Revised 1 | Funds Obligated2  | Funds Expended2 |                |
| General Description of Major Work Categories              |                                  |   |          |                         |  |                                      |                |                      |           |                   |                 |                |
| RI001000005   | Operations                       | 1406  |          | N/A                     |  | 88,968                               | 91,379         | 91,379               | 0         | 91,379            | 0               | N/A            |
| Dexter Manor  | Computer System Software         | 1408  |          | N/A                     |  | 25,000                               | 0              | 0                    | 0         | 0                 | 0               | cancelled      |
|   | Police                           | 1408  |          | N/A                     |  | 14,516                               | 15,516         | 15,516               | 0         | 15,516            | 0               | N/A            |
|   | Security Guards                  | 1408  |          | N/A                     |  | 10,000                               | 10,000         | 10,000               | 0         | 10,000            | 0               | N/A            |
|   | A&E Fees and Costs               | 1430  |          | N/A                     |  | 11,166                               | 0              | 0                    | 0         | 0                 | 0               | cancelled      |
|   | UPCS Inspection                  | 1430  |          | N/A                     |  | 3,127                                | 0              | 0                    | 0         | 0                 | 0               | cancelled      |
|   | Underground Tanks Repair/Removal | 1450  |          | N/A                     |  | 12,000                               | 0              | 0                    | 0         | 0                 | 0               | cancelled      |
|   | Tree Pruning                     | 1450  |          | N/A                     |  | 5,583                                | 0              | 0                    | 0         | 0                 | 0               | cancelled      |
|   | Install Entrance Awning          | 1460  |          | N/A                     |  | 0                                    | 1,289          | 1,220                | 0         | 1,220             | 0               | cancelled      |
|   | Install sliding entrance door    | 1460  |          | N/A                     |  | 20,000                               | 0              | 0                    | 0         | 0                 | 0               | underway       |
|   | Bond Repayment                   | 1501  |          | N/A                     |  | 80,232                               | 80,232         | 80,232               | 0         | 80,232            | 0               | cancelled      |
| <b>RI001000005 Total</b>                                  |                                  |   |          |                         |  | <b>270,592</b>                       | <b>198,416</b> | <b>198,347</b>       |           | <b>188,347</b>    |                 | <b>N/A</b>     |
| RI001000006   | Operations                       | 1406  |          | N/A                     |  | 62,369                               | 64,780         | 64,780               | 0         | 64,780            | 0               | N/A            |
| Dominica Manor  | Police                           | 1408  |          | N/A                     |  | 10,176                               | 10,877         | 10,877               | 0         | 10,877            | 0               | N/A            |
|   | A&E Fees and Costs               | 1430  |          | N/A                     |  | 7,828                                | 0              | 0                    | 0         | 0                 | 0               | cancelled      |
|   | UPCS Inspection                  | 1430  |          | N/A                     |  | 2,192                                | 0              | 0                    | 0         | 0                 | 0               | cancelled      |
|   | Tree Pruning                     | 1450  |          | N/A                     |  | 3,914                                | 0              | 0                    | 0         | 0                 | 0               | cancelled      |
|   | Install sliding entrance door    | 1460  |          | N/A                     |  | 25,000                               | 0              | 0                    | 0         | 0                 | 0               | cancelled      |
|   | Replace Shower/Tub Mixing Valve  | 1460  | 30 Units |                         |  | 4,500                                | 0              | 0                    | 0         | 0                 | 0               | cancelled      |
|   | Asbestos Abatement               | 1460  |          | N/A                     |  | 15,000                               | 0              | 0                    | 0         | 0                 | 0               | cancelled      |

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| PHA Name: IHE<br>HOUSING AUTHORITY OF<br>THE CITY OF<br>PROVIDENCE  |  |  |   |  |   |   |   |   |                |  |  |
| Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P001 50109<br>CFPP (Yes/ No):<br>Replacement Housing Factor Grant No: |  |  |   |  |   |   |   |   |                |  |  |
| Federal FFY of Grant: 2009  |  |  |   |  |   |   |   |   |                |  |  |
| Development Number<br>Name/PHA-Wide<br>Activities   | General Description of Major Work<br>Categories  | Development<br>Account No.   | Quantity  | Total Estimated Cost   |   |   | Total Actual Cost   |   | Status of Work |  |  |
|   |  |  |   | Original   | Revised 1   | Funds<br>Obligated2   | Funds<br>Expended2  |   |                |  |  |
| Dominica Manor<br>(continued)   | Replace Floor Tiles<br>Maintenance Vehicles/Equipment<br>Bond Repayment  | 1460<br>1475<br>1501   | N/A<br>N/A<br>N/A   | 15,000<br>20,000<br>56,245   | 0<br>0<br>56,245  | 0<br>0<br>56,245  | 0<br>0<br>56,245  | cancelled<br>cancelled<br>N/A   |                |  |  |
| <b>RI001000006 Total</b>  |  |  |   | <b>222,224</b>   | <b>131,902</b>  | <b>131,902</b>  | <b>131,902</b>  |   |                |  |  |
| RI001000007<br>Carroll Tower  | Operations<br>Police<br>A&E Fees and Costs<br>UPCS Inspection<br>Tree Pruning<br>Install sliding entrance door<br>Replace Plumbing Riser Piping<br>Install entrance awning<br>Asbestos Abatement<br>Replace Floor Tiles<br>Replace Flooring in Common Hallways<br>Bond Repayment | 1406<br>1408<br>1430<br>1430<br>1450<br>1460<br>1460<br>1460<br>1501 | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A | 59,312<br>9,678<br>7,444<br>2,085<br>3,722<br>20,000<br>27,000<br>15,000<br>20,000<br>20,000<br>20,000<br>53,788 | 61,723<br>10,345<br>314<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>53,788 | 61,723<br>10,345<br>314<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>53,788 | 61,723<br>10,345<br>314<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>53,788 | N/A<br>N/A<br>complete<br>cancelled<br>cancelled<br>cancelled<br>cancelled<br>cancelled<br>cancelled<br>cancelled<br>cancelled<br>N/A |                |  |  |
| <b>RI001000007 Total</b>  |  |  |   | <b>258,029</b>   | <b>126,170</b>  | <b>126,170</b>  | <b>126,170</b>  |   |                |  |  |

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| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE                |  |                         |          |                      |               |                   |                 |                |                            |                 |                  |                 |
| Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P001 50109 |  |                         |          |                      |               |                   |                 |                |                            |                 |                  |                 |
| CHFP (Yes/ No): Replacement Housing Factor Grant No:                     |  |                         |          |                      |               |                   |                 |                |                            |                 |                  |                 |
| Development Number<br>Name/PHA-Wide Activities                           | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost |               | Total Actual Cost |                 | Status of Work | Federal FFY of Grant: 2009 |                 |                  |                 |
|  |  |                         |          | Original             | Revised 1     | Funds Obligated2  | Funds Expended2 |                | Funds Obligated2           | Funds Expended2 | Funds Obligated2 | Funds Expended2 |
| R1001000008  | Operations                                   | 1406                    | N/A      | 32,407               | 34,818        | 34,818            | 34,818          | N/A            |                            |                 |                  |                 |
| Kilmartin Plaza  | Police                                       | 1408                    | N/A      | 5,288                | 5,652         | 5,652             | 5,652           | N/A            |                            |                 |                  |                 |
|  | A&E Fees and Costs                           | 1430                    | N/A      | 4,067                | 0             | 0                 | 0               | cancelled      |                            |                 |                  |                 |
|  | UPCS Inspection                              | 1430                    | N/A      | 1,139                | 0             | 0                 | 0               | cancelled      |                            |                 |                  |                 |
|  | Tree Pruning                                 | 1450                    | N/A      | 2,034                | 0             | 0                 | 0               | cancelled      |                            |                 |                  |                 |
|  | Upgrade Fire Reporting System                | 1460                    | N/A      | 108,484              | 0             | 0                 | 0               | cancelled      |                            |                 |                  |                 |
|  | Install sliding entrance door                | 1460                    | 1 Unit   | 25,000               | 0             | 0                 | 0               | cancelled      |                            |                 |                  |                 |
|  | Replace Floor Tiles                          | 1460                    | N/A      | 10,000               | 0             | 0                 | 0               | cancelled      |                            |                 |                  |                 |
|  | Elevator Improvements/Repairs                | 1460                    | 2 Units  | 13,940               | 0             | 0                 | 0               | cancelled      |                            |                 |                  |                 |
|  | Asbestos Abatement                           | 1460                    | N/A      | 10,000               | 143           | 0                 | 0               | cancelled      |                            |                 |                  |                 |
|  | Card Access/Cameras/Security                 | 1470                    | N/A      | 0                    | 5,280         | 5,280             | 5,280           | complete       |                            |                 |                  |                 |
|  | Bond Repayment                               | 1501                    | N/A      | 29,225               | 29,226        | 29,226            | 29,226          | N/A            |                            |                 |                  |                 |
| <b>R1001000008 Total</b>   |  |                         |          | <b>241,584</b>       | <b>75,119</b> | <b>74,976</b>     | <b>74,976</b>   |                |                            |                 |                  |                 |

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| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE   |  |                         |            |                      |                |                              |                             |                |                            |  |  |  |
| Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P001 50109<br>CFPP (Yes/ No):<br>Replacement Housing Factor Grant No: |  |                         |            |                      |                |                              |                             |                |                            |  |  |  |
| Development Number<br>Name/PHA-Wide Activities  | General Description of Major Work Categories | Development Account No. | Quantity   | Total Estimated Cost |                | Total Actual Cost            |                             | Status of Work | Federal FFY of Grant: 2009 |  |  |  |
|   |  |                         |            | Original             | Revised 1      | Funds Obligated <sup>2</sup> | Funds Expended <sup>2</sup> |                |                            |  |  |  |
| R1001000009   | Operations                                   | 1406                    | N/A        | 59,312               | 61,723         | 61,723                       | 61,723                      | N/A            |                            |  |  |  |
| Parenti Villa   | Police                                       | 1408                    | N/A        | 9,679                | 10,346         | 10,346                       | 10,346                      | N/A            |                            |  |  |  |
|   | A&E Fees and Costs                           | 1430                    | N/A        | 7,444                | 314            | 314                          | 314                         | complete       |                            |  |  |  |
|   | UPCS Inspection                              | 1430                    | N/A        | 2,082                | 0              | 0                            | 0                           | cancelled      |                            |  |  |  |
|   | Tree Pruning                                 | 1450                    | N/A        | 3,722                | 0              | 0                            | 0                           | cancelled      |                            |  |  |  |
|   | Install entrance Awning                      | 1460                    | N/A        | 15,000               | 0              | 0                            | 0                           | cancelled      |                            |  |  |  |
|   | Asbestos Abatement                           | 1460                    | N/A        | 15,000               | 5,000          | 0                            | 0                           | cancelled      |                            |  |  |  |
|   | Replace Floor Tiles                          | 1460                    | N/A        | 15,000               | 5,000          | 0                            | 0                           | cancelled      |                            |  |  |  |
|   | Handicap Upgrade                             | 1460                    | N/A        | 0                    | 60,150         | 58,264                       | 58,264                      | underway       |                            |  |  |  |
|   | Install entrance sliding door                | 1460                    | N/A        | 20,000               | 0              | 0                            | 0                           | cancelled      |                            |  |  |  |
|   | Bond Repayment                               | 1501                    | N/A        | 53,488               | 53,488         | 53,488                       | 53,488                      | N/A            |                            |  |  |  |
| <b>R1001000009 Total</b>  |  |                         |            | <b>200,727</b>       | <b>196,021</b> | <b>184,135</b>               | <b>184,135</b>              |                |                            |  |  |  |
| <b>COCC</b>   | <b>CFP Administrative Costs</b>              | <b>1410</b>             | <b>N/A</b> | <b>398,367</b>       | <b>409,216</b> | <b>409,216</b>               | <b>409,216</b>              | <b>N/A</b>     |                            |  |  |  |
|   |  |                         |            |                      |                |                              |                             |                |                            |  |  |  |
|   |  |                         |            |                      |                |                              |                             |                |                            |  |  |  |
|   |  |                         |            |                      |                |                              |                             |                |                            |  |  |  |
|   |  |                         |            |                      |                |                              |                             |                |                            |  |  |  |
|   |  |                         |            |                      |                |                              |                             |                |                            |  |  |  |
|   |  |                         |            |                      |                |                              |                             |                |                            |  |  |  |



# **Attachment A.6**

**P & E 50109 (Security Gant)**

**CFP Annual Statement/Performance and Evaluation Report**

**Annual Plan Section 8.1**



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226  
 Expires 4/30/2011

**Part I: Summary**

**Grant Type and Number** FFY of Grant: 2009  
**Capital Fund Program Grant No:** RI 43 E001 50109  
**Replacement Housing Factor Grant No:** FFY of Grant  
**Date of CFP:** 4/14/09 **Approval:** 2009

**Type of Grant**  
 \_ Original Annual Statement \_ Reserve for Disasters/Emergencies \_ Revised Annual Statement (revision no: )  
 X Performance and Evaluation Report for Period Ending: 12/31/11 \_ Final Performance and Evaluation Report

| Line | Summary by Development Account                      | Total Estimated Cost |            |            | Total Actual Cost 1 |  |
|------|---|----------------------|------------|------------|---------------------|--|
|      |   | Original             | Revised2   | Obligated  | Expended            |  |
| 1    | Total non-CFP Funds                                 |                      |            |            |                     |  |
| 2    | 1406 Operations (may not exceed 20% of line 21) 3   | 0                    | 0          | 0          | 0                   |  |
| 3    | 1408 Management Improvements                        | 0                    | 0          | 0          | 0                   |  |
| 4    | 1410 Administration (may not exceed 10% of line 21) | 0                    | 0          | 0          | 0                   |  |
| 5    | 1411 Audit  |                      |            |            |                     |  |
| 6    | 1415 Liquidated Damages                             |                      |            |            |                     |  |
| 7    | 1430 Fees and Costs                                 | 0                    | 0          | 0          | 0                   |  |
| 8    | 1440 Site Acquisition                               |                      |            |            |                     |  |
| 9    | 1450 Site Improvement                               | 0                    | 0          | 0          | 0                   |  |
| 10   | 1460 Dwelling Structures                            | 0                    | 0          | 0          | 0                   |  |
| 11   | 1465.1 Dwelling Equipment--Nonexpendable            | 0                    | 0          | 0          | 0                   |  |
| 12   | 1470 Non-dwelling Structures                        | 0                    | 0          | 0          | 0                   |  |
| 13   | 1475 Non-dwelling Equipment                         | \$ 250,000           | \$ 250,000 | \$ 248,799 | \$ 241,510          |  |
| 14   | 1485 Demolition                                     |                      |            |            |                     |  |
| 15   | 1492 Moving to Work Demonstration                   |                      |            |            |                     |  |
| 16   | 1495.1 Relocation Costs                             |                      |            |            |                     |  |
| 17   | 1499 Development Activities 4                       |                      |            |            |                     |  |

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226  
 Expires 4/30/2011

Part I: Summary

Grant Type and Number: FFY of Grant: 2009  
 Capital Fund Program Grant No: RI 43 E001 50109  
 Replacement Housing Factor Grant No: FFY of Grant  
 Date of CFFP: 4/14/09 Approval: 2009

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI

Type of Grant  
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no: )  
 X Performance and Evaluation Report for Period Ending: 12/31/11 Final Performance and Evaluation Report

| Line | Summary by Development Account   | Total Estimated Cost |         |           | Total Actual Cost |  |
|------|--|----------------------|---------|-----------|-------------------|--|
|      |  | Original             | Revised | Obligated | Expended          |  |
| 18a  | 1501 Collateralization or Debt Service paid by the PHA                   | 0                    | 0       | 0         | 0                 |  |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment |                      |         |           |                   |  |
| 19   | 1502 Contingency (may not exceed 8% of line 20)                          |                      |         |           |                   |  |
| 20   | Amount of Annual Grant:: (sum of lines 2-19)                             | \$ 250,000           | 250,000 | 248,799   | 241,510           |  |
| 21   | Amount of line 20 Related to LBP Activities                              | \$ -                 |         |           |                   |  |
| 22   | Amount of line 20 Related to Section 504 Activities                      |                      |         |           |                   |  |
| 23   | Amount of line 20 Related to Security - Soft Costs                       |                      |         |           |                   |  |
| 24   | Amount of line 20 Related to Security-Hard Costs                         | \$ 250,000           | 250,000 | 248,799   | 241,510           |  |
| 25   | Amount of line 20 Related to Energy Conservation Measures                |                      |         |           |                   |  |

Signature of Executive Director: *Stephen J. O'Keefe* Date: 3/7/12 Signature of Public Housing Director: \_\_\_\_\_ Date: \_\_\_\_\_

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226  
 Expires 4/30/2011

| Part II: Supporting Pages  |   |                            |          |                      |               |                     |                    |                |                            |  |  |
|--|---|----------------------------|----------|----------------------|---------------|---------------------|--------------------|----------------|----------------------------|--|--|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  |   |                            |          |                      |               |                     |                    |                |                            |  |  |
| Grant Type and Number<br>Capital Fund Program Grant No: RI 43 E00150109<br>CFPP (Yes/ No):<br>Replacement Housing Factor Grant No: |   |                            |          |                      |               |                     |                    |                |                            |  |  |
| Development Number<br>Name/PHA- Wide Activities  | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |               | Total Actual Cost   |                    | Status of Work | Federal FFY of Grant: 2009 |  |  |
|  |   |                            |          | Original             | Revised 1     | Funds<br>Obligated2 | Funds<br>Expended2 |                |                            |  |  |
| RI001000001<br>Chad/Ad/Sunset  | Security Cameras & Cabling                      | 1475                       | N/A      | 0                    | 5,985         | 5,985               | 5,985              |                |                            |  |  |
| <b>RI001000001 Total</b>   |   |                            |          | <b>0</b>             | <b>5,985</b>  | <b>5,985</b>        | <b>5,985</b>       |                |                            |  |  |
| RI001000002<br>Coddling/RW/Scat Site   | Security Cameras & Cabling                      | 1475                       | N/A      | 70,000               | 64,015        | 34,500              | 34,500             |                |                            |  |  |
| <b>RI001000002 Total</b>   |   |                            |          | <b>70,000</b>        | <b>64,015</b> | <b>34,500</b>       | <b>34,500</b>      |                |                            |  |  |
| RI001000003<br>Harford Park  | Security Cameras & Cabling                      | 1475                       | N/A      | 11,667               | 50,756        | 50,756              | 50,756             |                |                            |  |  |
| <b>RI001000003 Total</b>   |   |                            |          | <b>11,667</b>        | <b>50,756</b> | <b>50,756</b>       | <b>50,756</b>      |                |                            |  |  |
| RI001000004<br>Manton Heights  | Security Cameras & Cabling                      | 1475                       | N/A      | 110,000              | 70,909        | 46,750              | 46,750             |                |                            |  |  |
| <b>RI001000004 Total</b>   |   |                            |          | <b>110,000</b>       | <b>70,909</b> | <b>46,750</b>       | <b>46,750</b>      |                |                            |  |  |
| RI001000005<br>Dexter Manor  | Security Cameras & Cabling                      | 1475                       | N/A      | 11,667               | 11,667        | 44,275              | 44,275             |                |                            |  |  |
| <b>RI001000005 Total</b>   |   |                            |          | <b>11,667</b>        | <b>11,667</b> | <b>44,275</b>       | <b>44,275</b>      |                |                            |  |  |

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226  
 Expires 4/30/2011

| Part II: Supporting Pages  |   |                            |          |                      |               |                     |                    |                |  |  |  |  |
|--|---|----------------------------|----------|----------------------|---------------|---------------------|--------------------|----------------|--|--|--|--|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE                |   |                            |          |                      |               |                     |                    |                |  |  |  |  |
| Grant Type and Number<br>Capital Fund Program Grant No: RI 43 E001 50109 |   |                            |          |                      |               |                     |                    |                |  |  |  |  |
| CFPP (Yes/ No):<br>Replacement Housing Factor Grant No:                  |   |                            |          |                      |               |                     |                    |                |  |  |  |  |
| Development Number<br>Name/PHA-Wide Activities                           | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |               | Total Actual Cost   |                    | Status of Work |  |  |  |  |
|  |   |                            |          | Original             | Revised 1     | Funds<br>Obligated2 | Funds<br>Expended2 |                |  |  |  |  |
| RI001000006  | Security Cameras & Cabling                      | 1475                       | N/A      | 11,667               | 11,667        | 1,180               | 1,180              |                |  |  |  |  |
| Dominica Manor   |   |                            |          |                      |               |                     |                    |                |  |  |  |  |
| <b>RI001000006 Total</b>   |   |                            |          | <b>11,667</b>        | <b>11,667</b> | <b>1,180</b>        | <b>1,180</b>       |                |  |  |  |  |
| RI001000007  | Security Cameras & Cabling                      | 1475                       | N/A      | 11,667               | 11,667        | 25,680              | 25,680             |                |  |  |  |  |
| Carroll Tower  |   |                            |          |                      |               |                     |                    |                |  |  |  |  |
| <b>RI001000007 Total</b>   |   |                            |          | <b>11,667</b>        | <b>11,667</b> | <b>25,680</b>       | <b>25,680</b>      |                |  |  |  |  |
| RI001000008  | Security Cameras & Cabling                      | 1475                       | N/A      | 11,666               | 11,667        | 38,493              | 31,204             |                |  |  |  |  |
| Kilmartin Plaza  |   |                            |          |                      |               |                     |                    |                |  |  |  |  |
| <b>RI001000008 Total</b>   |   |                            |          | <b>11,666</b>        | <b>11,667</b> | <b>38,493</b>       | <b>31,204</b>      |                |  |  |  |  |
| RI001000009  | Security Cameras & Cabling                      | 1475                       | N/A      | 11,666               | 11,667        | 1,180               | 1,180              |                |  |  |  |  |
| Parenti Villa  |   |                            |          |                      |               |                     |                    |                |  |  |  |  |
| <b>RI001000009 Total</b>   |   |                            |          | <b>11,666</b>        | <b>11,667</b> | <b>1,180</b>        | <b>1,180</b>       |                |  |  |  |  |

Annual Statement/Performance and Evaluation Report

U.S. Department of Housing and Urban Development

Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Office of Public and Indian Housing

OBM no. 2577-0226

Expires 4/30/2011

**Part III: Implementation Schedule for Capital Fund Financing Program**

**PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI** **Federal FFY of Grant: 2009**

| Development Number<br>Name/PHA-Wide<br>Activities | All Fund Obligated<br>(Quarter Ending Date) |                                  | Original Expenditure<br>End Date | Actual Expenditure<br>End Date | All Funds Expended<br>(Quarter Ending Date) | Reasons for Revised Target Dates |
|---|---|----------------------------------|----------------------------------|--------------------------------|---|----------------------------------|
|   | Original<br>Obligation End<br>Date          | Actual<br>Obligation End<br>Date |                                  |                                |   |                                  |
| PHA Wide  | 6/30/2011                                   |                                  | 6/30/2013                        |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |
|   |   |                                  |                                  |                                |   |                                  |

# **Attachment A.7**

**P & E 50108**

**CFP Annual Statement/Performance and Evaluation Report**

**Annual Plan Section 8.1**

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226  
 Expires 4/30/2011

**Part I: Summary**

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI  
 Grant Type and Number: Capital Fund Program Grant No: RI 43 P001 50108  
 Replacement Housing Factor Grant No: FFY of Grant: 2008  
 Date of CFP: 6/16/08 Approval: 2008

Type of Grant: Original Annual Statement - Reserve for Disasters/Emergencies  
 X Performance and Evaluation Report for Period Ending: 12/31/11  
 Line Summary by Development Account - Revised Annual Statement (revision no: )  
 - Final Performance and Evaluation Report

| Line | Summary by Development Account                      | Total Estimated Cost |          |           | Total Actual Cost 1 |  |
|------|---|----------------------|----------|-----------|---------------------|--|
|      |   | Original             | Revised2 | Obligated | Expended            |  |
| 1    | Total non-CFP Funds                                 |                      |          |           |                     |  |
| 2    | 1406 Operations (may not exceed 20% of line 21)     | 796,734              | 796,734  | 796,734   | 796,734             |  |
| 3    | 1408 Management Improvements                        | 165,000              | 185,032  | 179,032   | 176,716             |  |
| 4    | 1410 Administration (may not exceed 10% of line 21) | 398,367              | 398,367  | 398,367   | 398,367             |  |
| 5    | 1411 Audit  |                      |          |           |                     |  |
| 6    | 1415 Liquidated Damages                             |                      |          |           |                     |  |
| 7    | 1430 Fees and Costs                                 |                      |          |           |                     |  |
| 8    | 1440 Site Acquisition                               | 142,997              | 34,257   | 34,257    | 30,607              |  |
| 9    | 1450 Site Improvement                               |                      |          |           |                     |  |
| 10   | 1460 Dwelling Structures                            | 325,150              | 583,164  | 582,451   | 582,451             |  |
| 11   | 1465.1 Dwelling Equipment--Nonexpendable            | 865,804              | 738,009  | 710,416   | 706,391             |  |
| 12   | 1470 Non-dwelling Structures                        | 9,000                | 0        | 0         | 0                   |  |
| 13   | 1475 Non-dwelling Equipment                         | 26,000               | 21,140   | 21,140    | 21,140              |  |
| 14   | 1485 Demolition                                     | 110,000              | 82,349   | 82,322    | 82,322              |  |
| 15   | 1492 Moving to Work Demonstration                   |                      |          |           |                     |  |
| 16   | 1495.1 Relocation Costs                             |                      |          |           |                     |  |
| 17   | 1499 Development Activities 4                       |                      |          |           |                     |  |

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-022  
 Expires 4/30/201

**Part I: Summary**

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI  
 Grant Type and Number: FFY of Grant: 2008  
 Capital Fund Program Grant No: RI 43 P001 50108  
 Replacement Housing Factor Grant No: FFY of Grant  
 Date of CFP: 6/16/09 Approval: 2008

Original Annual Statement  
 X Performance and Evaluation Report for Period Ending: 12/31/11  
 Reserve for Disasters/Emergencies  
 Revised Annual Statement (revision no: )  
 Final Performance and Evaluation Report

| Line | Summary by Development Account   | Total Estimated Cost |           | Total Actual Cost 1 |           |
|------|--|----------------------|-----------|---------------------|-----------|
|      |  | Original             | Revised   | Obligated           | Expended  |
| 18a  | 1501 Collateralization or Debt Service paid by the PHA                   | 1,144,619            | 1,144,619 | 1,144,619           | 1,144,619 |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment |                      |           |                     |           |
| 19   | 1502 Contingency (may not exceed 8% of line 20)                          |                      |           |                     |           |
| 20   | Amount of Annual Grant: (sum of lines 2-19)                              | 3,983,671            | 3,983,671 | 3,949,338           | 3,939,347 |
| 21   | Amount of line 20 Related to LBP Activities                              | 0                    |           |                     |           |
| 22   | Amount of line 20 Related to Section 504 Activities                      | 23,000               |           |                     |           |
| 23   | Amount of line 20 Related to Security - Soft Costs                       | 140,000              |           |                     |           |
| 24   | Amount of line 20 Related to Security-Hard Costs                         | 86,000               |           |                     |           |
| 25   | Amount of line 20 Related to Energy Conservation Measures                | 113,000              |           |                     |           |

Signature of Executive Director: *Stephen J. Cilone* Date: 3/7/12  
 Signature of Public Housing Director



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing

**Part II: Supporting Pages**

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number: Capital Fund Program Grant No: RI 43 P00150108  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

OBM no. 2577-022  
 Expires 4/30/201

| Development Number<br>Name/PHA-Wide<br>Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |
|---|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|
|   |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
|   |   |                            |          |                      |                |                     |                    |                |
| RI001000001                                       | Operations                                      | 1406                       | N/A      | 57,324               | 57,324         | 57,324              | 57,324             | N/A            |
| Chad Brown  | Police  | 1408                       | N/A      | 9,353                | 10,068         | 10,068              | 10,068             | N/A            |
|   | Security Guards                                 | 1408                       | N/A      | 0                    | 500            | 500                 | 343                | N/A            |
|   | A&E Fees and Costs                              | 1430                       | N/A      | 7,195                | 826            | 826                 | 826                | N/A            |
|   | Utility surveys                                 | 1430                       | N/A      | 5,000                | 0              | 0                   | 0                  | N/A            |
|   | UPCS Inspection                                 | 1430                       | N/A      | 2,014                | 0              | 0                   | 0                  | planned        |
|   | Repair Steam Lines                              | 1450                       | N/A      | 15,000               | 0              | 0                   | 0                  | planned        |
|   | Repair/Replace Gas Lines                        | 1450                       | N/A      | 5,000                | 0              | 0                   | 0                  | planned        |
|   | Site Improvements                               | 1450                       |          | 0                    | 12,150         | 12,150              | 12,150             | planned        |
|   | Lawn Maintenance                                | 1450                       | N/A      | 7,350                | 0              | 0                   | 0                  | planned        |
|   | Tree Pruning                                    | 1450                       | N/A      | 3,598                | 6,396          | 6,396               | 6,396              | planned        |
|   | Exterior Security Lighting                      | 1450                       | N/A      | 5,000                | 713            | 0                   | 0                  | planned        |
|   | Repair/Replace Gutters and Guards               | 1460                       | N/A      | 5,500                | 7,500          | 7,500               | 7,500              | planned        |
|   | Upgrade Security DVR                            | 1460                       | N/A      | 0                    | 4,287          | 4,287               | 4,287              | planned        |
|   | Re-Caulk/Repaint Windows                        | 1460                       | 50 units | 10,000               | 0              | 0                   | 0                  | planned        |
|   | Upgrade Kitchens                                | 1460                       | 10 units | 11,000               | 1,588          | 1,588               | 1,588              | underway       |
|   | Bathroom Renovations                            | 1460                       | 30 units | 7,500                | 3,990          | 3,990               | 3,990              | planned        |
|   | Appliance Purchases                             | 1465                       | 10 units | 4,500                | 0              | 0                   | 0                  | planned        |
|   | Computer Hardware                               | 1475                       |          | 0                    | 2,596          | 2,569               | 2,569              | planned        |
|   | Maintenance Vehicles/Equipment                  | 1475                       | N/A      | 20,000               | 13,298         | 13,298              | 13,298             | planned        |
|   | Bond Repayment                                  | 1501                       | N/A      | 51,088               | 51,088         | 51,088              | 51,088             | N/A            |
| <b>Total</b>                                      |   |                            |          | <b>226,422</b>       | <b>172,324</b> | <b>171,584</b>      | <b>171,427</b>     |                |

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Developer  
 Office of Public and Indian Housing  
 OBM no. 2577-022  
 Expires 4/30/201

**Part II: Supporting Pages**

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P00150108  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

| Development Number<br>Name/PHA-Wide<br>Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |
|---|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|
|   |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| Admiral Terrace                                   | Operations                                      | 1406                       | N/A      | 57,324               | 57,324         | 57,324              | 57,324             | N/A            |
|   | Police  | 1408                       | N/A      | 9,353                | 10,068         | 10,068              | 10,068             | N/A            |
|   | A&E Fees and Costs                              | 1430                       | N/A      | 7,195                | 0              | 0                   | 0                  | N/A            |
|   | UPCS Inspection                                 | 1430                       | N/A      | 2,015                | 0              | 0                   | 0                  | planned        |
|   | Repair Steam Lines                              | 1450                       | N/A      | 10,000               | 0              | 0                   | 0                  | planned        |
|   | Repair/Replace Gas Lines                        | 1450                       | N/A      | 15,000               | 0              | 0                   | 0                  | underway       |
|   | Site Improvements                               | 1450                       |          | 0                    | 0              | 0                   | 0                  |                |
|   | Lawn Maintenance                                | 1450                       | N/A      | 7,350                | 0              | 0                   | 0                  | planned        |
|   | Tree Pruning                                    | 1450                       | N/A      | 3,598                | 0              | 0                   | 0                  | planned        |
|   | Exterior Security Lighting                      | 1450                       | N/A      | 5,000                | 0              | 0                   | 0                  | planned        |
|   | Repair/Replace Gutters/ add Guards              | 1460                       | N/A      | 5,000                | 6,000          | 6,000               | 6,000              | planned        |
|   | Upgrade Kitchens                                | 1460                       | 10 units | 11,000               | 0              | 0                   | 0                  | planned        |
|   | Re-Caulk/Repair Windows                         | 1460                       | 50units  | 5,000                | 0              | 0                   | 0                  | planned        |
|   | Heating System Repairs                          | 1460                       | N/A      | 5,000                | 0              | 0                   | 0                  | planned        |
|   | Bathroom Renovations                            | 1460                       | 30 units | 7,500                | 0              | 0                   | 0                  | planned        |
|   | Appliance Purchases                             | 1465                       | 10 units | 4,500                | 0              | 0                   | 0                  | planned        |
|   | Security System - Maint. & Mgt. Office          | 1470                       | N/A      | 10,000               | 0              | 0                   | 0                  | planned        |
|   | Bond Repayment                                  | 1501                       | N/A      | 51,588               | 51,588         | 51,588              | 51,588             | N/A            |
| <b>Total</b>                                      |   |                            |          | <b>216,423</b>       | <b>124,980</b> | <b>124,980</b>      | <b>124,980</b>     |                |

Federal FFY of Grant: 2008

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
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| Part II: Supporting Pages  |   |                            |          |                      |                |                     |                    |                |         |                            |         |
|--|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|---------|----------------------------|---------|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  |   |                            |          |                      |                |                     |                    |                |         |                            |         |
| Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P00150108<br>CHFP (Yes/ No):<br>Replacement Housing Factor Grant No: |   |                            |          |                      |                |                     |                    |                |         |                            |         |
| Development Number<br>Name/PHA-Wide<br>Activities  | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |         | Federal FFY of Grant: 2008 |         |
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 | cancelled      | planned |                            |         |
| Sunset Village   | Reinsulate Crawl Space Bays                     | 1460                       | N/A      | 10,000               | 0              | 0                   | 0                  |                |         |                            |         |
|  | Repaint Exterior Doors/Trim                     | 1460                       | N/A      | 10,000               | 0              | 0                   | 0                  |                |         |                            |         |
| <b>Total</b>   |   |                            |          | <b>20,000</b>        | <b>0</b>       | <b>0</b>            | <b>0</b>           |                |         |                            |         |
| <b>RI001000001 Total</b>   |   |                            |          | <b>462,845</b>       | <b>297,304</b> | <b>296,564</b>      | <b>296,407</b>     |                |         |                            |         |
| RI001000002  | A & E Fees and Costs                            | 1430                       | N/A      | 0                    | 1900           | 1900                | 1,900              |                |         |                            |         |
| Roger Williams   | Pave/Sealcoat Parking Lot                       | 1450                       | N/A      | 5,000                | 0              | 0                   | 0                  |                |         |                            |         |
|  | Site Improvements                               | 1450                       |          | 0                    | 2,810          | 2,810               | 2,810              |                |         |                            | planned |
|  | Repair/Replace Smoke Hatches                    | 1460                       | 4 Units  | 16,000               | 0              | 0                   | 0                  |                |         |                            | planned |
|  | Upgrade Electrical Panel                        | 1460                       | N/A      | 30,000               | 0              | 0                   | 0                  |                |         |                            | planned |
|  | Card Access/Cameras/Security                    | 1470                       | N/A      | 8,000                | 21,140         | 21,140              | 21,140             |                |         |                            | planned |
| <b>Total</b>   |   |                            |          | <b>59,000</b>        | <b>25,850</b>  | <b>25,850</b>       | <b>25,850</b>      |                |         |                            |         |
| Codding Court  | Operations                                      | 1406                       | N/A      | 61,758               | 61,758         | 61,758              | 61,758             |                |         |                            | N/A     |
|  | Police  | 1408                       | N/A      | 10,077               | 10,848         | 10,848              | 10,848             |                |         |                            | N/A     |
|  | A&E Fees and Costs                              | 1430                       | N/A      | 7,751                | 0              | 0                   | 0                  |                |         |                            | N/A     |
|  | UPCS Inspection                                 | 1430                       | N/A      | 2,171                | 0              | 0                   | 0                  |                |         |                            | planned |
|  | Exterior Security Lighting                      | 1450                       | N/A      | 5,000                | 0              | 0                   | 0                  |                |         |                            | planned |
|  | Tree Pruning                                    | 1450                       | N/A      | 3,876                | 2,100          | 2,100               | 2,100              |                |         |                            | planned |
|  | Repaint/Seal Exterior Masonry                   | 1460                       | 5 Bldgs  | 20,000               | 0              | 0                   | 0                  |                |         |                            | planned |
|  | Upgrade Security DVR                            | 1460                       | N/A      | 0                    | 4,287          | 4,287               | 4,287              |                |         |                            | planned |

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**Part II: Supporting Pages**  
 PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number: Capital Fund Program Grant No: RI 43 P00150108  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

| Development Number<br>Name/PHA-Wide<br>Activities | General Description of Major Work<br>Categories     | Development<br>Account No. | Quantity   | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work     |
|---|---|----------------------------|------------|----------------------|----------------|---------------------|--------------------|--------------------|
|   |   |                            |            | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                    |
|   |   |                            |            |                      |                |                     |                    |                    |
| Coding Court<br>(continued)                       | Replace Domestic Water Heaters<br>Computer Hardware | 1460<br>1475               | 9 Units    | 9,000<br>0           | 0<br>4,529     | 0<br>4,529          | 0<br>4,529         | planned            |
|   | Maintenance Vehicles/Equipment<br>Bond Repayment    | 1475<br>1501               | N/A<br>N/A | 20,000<br>55,577     | 0<br>55,577    | 0<br>55,577         | 0<br>55,577        | planned<br>planned |
| <b>Total</b>                                      |   |                            |            | <b>195,210</b>       | <b>139,099</b> | <b>139,099</b>      | <b>139,099</b>     |                    |
| Scattered Sites                                   | Operations  | 1406                       | N/A        | 61,758               | 61,758         | 61,758              | 61,758             | N/A                |
| 15, 17, 18, 21, 28,                               | Police  | 1408                       | N/A        | 10,077               | 10,848         | 10,848              | 10,848             | N/A                |
| 29, 30, 31, 32, 33, 34, 35,                       | Security Guards                                     | 1408                       |            | 0                    | 1,206          | 1,206               | 1,206              |                    |
| 34, 35, 36, 37, 38,                               | A&E Fees and Costs                                  | 1430                       | N/A        | 7,751                | 0              | 0                   | 0                  | N/A                |
| 39, 40, 41, 42, 43                                | UPCS Inspection                                     | 1430                       | N/A        | 2,171                | 0              | 0                   | 0                  | planned            |
|   | Tree Pruning  | 1450                       | N/A        | 3,876                | 3,608          | 3,608               | 3,608              | planned            |
|   | Pave/Sealcoat Parking Area                          | 1450                       |            | 0                    | 11,787         | 11,787              | 11,787             |                    |
|   | Building Repairs (All Projects)                     | 1460                       | N/A        | 87,156               | 33,864         | 6,271               | 6,271              | planned            |
|   | Paint Buildings, Rebuild Porches                    |                            |            |                      |                |                     |                    |                    |
|   | Replace DHW Tanks, Boilers                          |                            |            |                      |                |                     |                    |                    |
|   | Repair/Seal Foundation Cracks                       |                            |            |                      |                |                     |                    |                    |
|   | Install CO2/Smoke Detectors                         |                            |            |                      |                |                     |                    |                    |
|   | Replace Windows, Deferred Painting                  |                            |            |                      |                |                     |                    |                    |
|   | Install Vinyl Siding, Vinyl Floor Tile              |                            |            |                      |                |                     |                    |                    |
|   | Bond Repayment                                      | 1501                       | N/A        | 55,577               | 55,577         | 55,577              | 55,577             | N/A                |
| <b>Total</b>                                      |   |                            |            | <b>228,366</b>       | <b>178,648</b> | <b>151,055</b>      | <b>151,055</b>     |                    |
| <b>RI001000002 Total</b>                          |   |                            |            | <b>482,576</b>       | <b>343,597</b> | <b>316,004</b>      | <b>316,004</b>     |                    |

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**Part II: Supporting Pages**

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number: Capital Fund Program Grant No: RI 43 P001 50108  
 Development Number: Replacement Housing Factor Grant No:

| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost |           | Total Actual Cost |                 | Status of Work |
|---|--|-------------------------|----------|----------------------|-----------|-------------------|-----------------|----------------|
|   |  |                         |          | Original             | Revised 1 | Funds Obligated2  | Funds Expended2 |                |
|   |  |                         |          |                      |           |                   |                 |                |
| RI001000003                                 | Operations                                   | 1406                    | N/A      | 155,311              | 155,311   | 155,311           | 155,311         | N/A            |
| Hartford Park                               | Police                                       | 1408                    | N/A      | 25,341               | 27,279    | 27,279            | 27,279          | N/A            |
|   | Computer System Software                     | 1408                    |          | 0                    | 15,000    | 15,000            | 15,000          | N/A            |
|   | Security Guards                              | 1408                    |          | 0                    | 1,511     | 1,511             | 1,511           |                |
|   | A&E Fees and Costs                           | 1430                    | N/A      | 19,493               | 971       | 971               | 971             | N/A            |
|   | Digitize Building Plans                      | 1430                    | N/A      | 0                    | 7,430     | 7,430             | 7,430           |                |
|   | Utility Survey                               | 1430                    |          | 0                    | 248       | 248               | 248             |                |
|   | UPCS Inspection                              | 1430                    | N/A      | 5,458                | 0         | 0                 | 0               | planned        |
|   | LBP/Asbestos Testing                         | 1430                    | N/A      | 5,000                | 0         | 0                 | 0               | planned        |
|   | Tree Pruning                                 | 1450                    | N/A      | 9,745                | 2,506     | 2,506             | 2,506           | planned        |
|   | Site Improvements                            | 1450                    |          | 0                    | 497,569   | 497,569           | 497,569         |                |
|   | Lawn Maintenance                             | 1450                    | N/A      | 8,750                | 0         | 0                 | 0               | planned        |
|   | Exterior Building Repairs                    | 1460                    | 5 bldg   | 75,000               | 80,800    | 80,800            | 76,775          | planned        |
|   | LBP Abatement                                | 1460                    | N/A      | 5,000                | 0         | 0                 | 0               | planned        |
|   | Deferred Painting                            | 1460                    | N/A      | 15,000               | 0         | 0                 | 0               | planned        |
|   | Trash Chute Cleaning/Repairs                 | 1460                    | N/A      | 5,000                | 650       | 650               | 650             | planned        |
|   | Upgrade Security DVR                         | 1460                    | N/A      | 10,000               | 12,361    | 12,361            | 12,361          | planned        |
|   | Bathroom Renovations                         | 1460                    |          | 0                    | 2,990     | 2,990             | 2,990           |                |
|   | Heating/Domestic HW System                   | 1460                    | N/A      | 10,000               | 31,836    | 31,836            | 31,836          | planned        |
|   | Computer Hardware                            | 1475                    | N/A      | 20,000               | 17,862    | 17,862            | 17,862          | underway       |
|   | Radios/Telecommunications/Alarms             | 1475                    | N/A      | 5,000                | 0         | 0                 | 0               | planned        |
|   | Maintenance Vehicles/Equipment               | 1475                    | N/A      | 20,000               | 24,753    | 24,753            | 24,753          | planned        |

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 PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number: Capital Fund Program Grant No: RI 43 P001 50108  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost |                  | Total Actual Cost |                  | Status of Work |
|---|--|-------------------------|----------|----------------------|------------------|-------------------|------------------|----------------|
|   |  |                         |          | Original             | Revised 1        | Funds Obligated2  | Funds Expended2  |                |
| Harford Park (continued)                    | Bond Repayment (FM/Res Svs Bldg)             | 1501                    | N/A      | 428,119              | 428,119          | 428,119           | 428,119          | N/A            |
|   | Bond Repayment (CFFP)                        | 1501                    | N/A      | 139,769              | 139,769          | 139,769           | 139,769          | N/A            |
| <b>RI001000003 Total</b>                    |  |                         |          | <b>961,986</b>       | <b>1,446,965</b> | <b>1,446,965</b>  | <b>1,442,940</b> |                |
| RI001000004                                 | Operations                                   | 1406                    | N/A      | 100,891              | 100,891          | 100,891           | 100,891          | N/A            |
| Manton Heights                              | Police                                       | 1408                    | N/A      | 16,462               | 17,721           | 17,721            | 17,721           | N/A            |
|   | Security Guards                              | 1408                    | N/A      | 0                    | 30               | 30                | 30               | N/A            |
|   | A&E Fees and Costs                           | 1430                    | N/A      | 12,663               | 3,541            | 3,541             | 3,541            | N/A            |
|   | Utility Survey                               | 1430                    | N/A      | 5,000                | 0                | 0                 | 0                | planned        |
|   | UPCS Inspection                              | 1430                    | N/A      | 3,546                | 0                | 0                 | 0                | planned        |
|   | Spill Protection - Underground Tanks         | 1450                    | N/A      | 10,000               | 32,806           | 32,806            | 32,806           | planned        |
|   | Repair/Replace Gas Lines                     | 1450                    | N/A      | 47,000               | 0                | 0                 | 0                | planned        |
|   | Site Improvements                            | 1450                    | N/A      | 0                    | 355              | 355               | 355              | planned        |
|   | Lawn Maintenance                             | 1450                    | N/A      | 6,700                | 0                | 0                 | 0                | planned        |
|   | Tree Pruning                                 | 1450                    | N/A      | 6,332                | 1,522            | 1,522             | 1,522            | planned        |
|   | Resurface Common Hallway Floors              | 1460                    | N/A      | 25,000               | 0                | 0                 | 0                | planned        |
|   | Upgrade Security DVR                         | 1460                    | N/A      | 0                    | 4,287            | 4,287             | 4,287            | planned        |
|   | Repaint/Seal Exterior                        | 1460                    | N/A      | 50,000               | 0                | 0                 | 0                | planned        |
|   | Repair/Replace Roof on Wood Bldgs            | 1460                    | N/A      | 10,000               | 0                | 0                 | 0                | planned        |
|   | Repair/Replace Roof on Brick Bldgs           | 1460                    | N/A      | 10,000               | 0                | 0                 | 0                | planned        |
|   | Repair/Replace Doors and Hardware            | 1460                    | N/A      | 10,000               | 0                | 0                 | 0                | planned        |
|   | Security System - Maint. & Mgt. Office       | 1470                    | N/A      | 8,000                | 0                | 0                 | 0                | planned        |

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|---|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|--|----------------------------|-----------|
| Grant Type and Number                             |   |                            |          |                      |                |                     |                    |                |  |                            |           |
| Capital Fund Program Grant No: RI 43 P001 50108   |   |                            |          |                      |                |                     |                    |                |  |                            |           |
| CFFP (Yes/ No):                                   |   |                            |          |                      |                |                     |                    |                |  |                            |           |
| Replacement Housing Factor Grant No:              |   |                            |          |                      |                |                     |                    |                |  |                            |           |
| Development Number<br>Name/PHA-Wide<br>Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |  | Federal FFY of Grant: 2008 |           |
|   |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |  |                            |           |
| Manton Heights<br>(continued)                     | Computer Hardware                               | 1475                       |          | 0                    | 2,569          | 2,569               | 2,569              |                |  |                            |           |
|   | Maintenance Vehicles/Equipment                  | 1475                       | N/A      | 0                    | 6,999          | 6,999               | 6,999              |                |  |                            |           |
|   | Bond Repayment                                  | 1501                       | N/A      | 90,794               | 90,794         | 90,794              | 90,794             |                |  |                            | N/A       |
| <b>RI001000004 Total</b>                          |   |                            |          | <b>412,388</b>       | <b>261,515</b> | <b>261,515</b>      | <b>261,515</b>     |                |  |                            |           |
| RI001000005                                       | Operations                                      | 1406                       | N/A      | 88,968               | 88,968         | 88,968              | 88,968             |                |  |                            | N/A       |
| Dexter Manor                                      | Computer System Software                        | 1408                       | N/A      | 25,000               | 12,302         | 12,302              | 12,302             |                |  |                            | planned   |
|   | Police  | 1408                       | N/A      | 14,516               | 15,626         | 15,626              | 15,626             |                |  |                            | N/A       |
|   | Security Guards                                 | 1408                       | N/A      | 10,000               | 10,000         | 4,000               | 1,991              |                |  |                            | N/A       |
|   | A&E Fees and Costs                              | 1430                       | N/A      | 11,166               | 5,323          | 5,323               | 1,673              |                |  |                            | N/A       |
|   | UPCS Inspection                                 | 1430                       | N/A      | 3,127                | 0              | 0                   | 0                  |                |  |                            | planned   |
|   | Upgrade Elec. Panels/ Generator                 | 1450                       | N/A      | 43,000               | 0              | 0                   | 0                  |                |  |                            | planned   |
|   | Tree Pruning                                    | 1450                       | N/A      | 5,583                | 1,190          | 1,190               | 1,190              |                |  |                            | underway  |
|   | Upgrade Kitchens                                | 1460                       | 4        | 4,800                | 0              | 0                   | 0                  |                |  |                            | planned   |
|   | Asbestos Abatement                              | 1460                       | N/A      | 21,783               | 0              | 0                   | 0                  |                |  |                            | planned   |
|   | Replace Roof Exhaust Fan                        | 1460                       | N/A      | 0                    | 1,466          | 1,466               | 1,466              |                |  |                            | planned   |
|   | Replace Floor Tiles                             | 1460                       | N/A      | 21,783               | 0              | 0                   | 0                  |                |  |                            | planned   |
|   | Upgrade Security DVR                            | 1460                       | N/A      | 10,000               | 15,687         | 15,687              | 15,687             |                |  |                            | planned   |
|   | Trash Chute Cleaning/Repairs                    | 1460                       |          | 0                    | 650            | 650                 | 650                |                |  |                            | cancelled |
|   | Upgrade Handicapped Units                       | 1460                       | 1 unit   | 23,000               | 0              | 0                   | 0                  |                |  |                            | planned   |
|   | Computer Hardware                               | 1475                       | N/A      | 25,000               | 5,979          | 5,979               | 5,979              |                |  |                            | planned   |
|   | Bond Repayment                                  | 1501                       | N/A      | 80,064               | 80,064         | 80,064              | 80,064             |                |  |                            | N/A       |
| <b>RI001000005 Total</b>                          |   |                            |          | <b>387,790</b>       | <b>237,255</b> | <b>231,255</b>      | <b>225,596</b>     |                |  |                            |           |

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PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P001 50108  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

| Development Number<br>Name/PHA-Wide<br>Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost       |                | Total Actual Cost   |                    | Status of Work |
|---|---|----------------------------|----------|----------------------------|----------------|---------------------|--------------------|----------------|
|   |   |                            |          | Original                   | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
|   |   |                            |          | Federal FFY of Grant: 2008 |                |                     |                    |                |
| RI001000006                                       | Operations                                      | 1406                       | N/A      | 62,369                     | 62,369         | 62,369              | 62,369             | N/A            |
|   | Police  | 1408                       | N/A      | 10,176                     | 10,954         | 10,954              | 10,954             | N/A            |
|   | Security Guards                                 | 1408                       |          | 0                          | 1,216          | 1,216               | 1,216              | N/A            |
|   | A&E Fees and Costs                              | 1430                       | N/A      | 7,828                      | 7,281          | 7,281               | 7,281              | N/A            |
|   | UPCS Inspection                                 | 1430                       | N/A      | 2,192                      | 0              | 0                   | 0                  | planned        |
|   | Tree Pruning                                    | 1450                       | N/A      | 3,914                      | 3,852          | 3,852               | 3,852              | underway       |
|   | Repair/Replace Balcony Railings                 | 1460                       | N/A      | 25,000                     | 0              | 0                   | 0                  | planned        |
|   | Upgrade Kitchens                                | 1460                       | N/A      | 895                        | 895            | 895                 | 895                | complete       |
|   | Trash Chute Cleaning/Repairs                    | 1460                       |          | 0                          | 1,150          | 1,150               | 1,150              |                |
|   | Repair/Replace Balcony Drains                   | 1460                       | N/A      | 15,000                     | 0              | 0                   | 0                  | planned        |
|   | Replace Shower/Tub Mixing Valve                 | 1460                       | 30 Units | 4,500                      | 15,584         | 15,584              | 15,584             | cancelled      |
|   | Heating System Repairs                          | 1460                       | N/A      | 0                          | 2,914          | 2,914               | 2,914              |                |
|   | Roof Repairs                                    | 1460                       | N/A      | 0                          | 1,800          | 1,800               | 1,800              |                |
|   | Asbestos Abatement                              | 1460                       | N/A      | 22,545                     | 0              | 0                   | 0                  | planned        |
|   | Replace Floor Tiles                             | 1460                       | N/A      | 22,545                     | 0              | 0                   | 0                  | planned        |
|   | Upgrade Security DVR                            | 1460                       | N/A      | 10,000                     | 12,957         | 12,957              | 12,957             | planned        |
|   | Elevator Improvements/Repairs                   | 1460                       | N/A      | 0                          | 110,906        | 110,906             | 110,906            |                |
|   | Flooring in Common Hallways                     | 1460                       | N/A      | 20,000                     | 0              | 0                   | 0                  | planned        |
|   | Computer Hardware                               | 1475                       | N/A      | 0                          | 1,589          | 1,589               | 1,589              |                |
|   | Bond Repayment                                  | 1501                       | N/A      | 56,127                     | 56,127         | 56,127              | 56,127             | N/A            |
| <b>RI001000006 Total</b>                          |   |                            |          | <b>263,091</b>             | <b>289,594</b> | <b>289,594</b>      | <b>289,594</b>     |                |



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PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number: Capital Fund Program Grant No: RI 43 P001 50108  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost |                 | Status of Work |
|---|--|-------------------------|----------|----------------------|----------------|-------------------|-----------------|----------------|
|   |  |                         |          | Original             | Revised 1      | Funds Obligated2  | Funds Expended2 |                |
| RI001000007                                 | Operations                                   | 1406                    | N/A      | 59,312               | 59,312         | 59,312            | 59,312          | N/A            |
| Carroll Tower                               | Police                                       | 1408                    | N/A      | 9,678                | 10,418         | 10,418            | 10,418          | N/A            |
|   | Security Guards                              | 1408                    | N/A      | 0                    | 1,275          | 1,275             | 1,275           | N/A            |
|   | A&E Fees and Costs                           | 1430                    | N/A      | 7,444                | 2,484          | 2,484             | 2,484           | N/A            |
|   | UPCS Inspection                              | 1430                    | N/A      | 2,085                | 0              | 0                 | 0               | planned        |
|   | Tree Pruning                                 | 1450                    | N/A      | 3,722                | 0              | 0                 | 0               | planned        |
|   | Upgrade Fire Alarm System                    | 1460                    | N/A      | 25,000               | 11,515         | 11,515            | 11,515          | underway       |
|   | Trash Chute Cleaning/Repairs                 | 1460                    | N/A      | 0                    | 1,150          | 1,150             | 1,150           |                |
|   | Upgrade Handicap Unit                        | 1460                    | N/A      | 0                    | 66,893         | 66,893            | 66,893          |                |
|   | Heating DHW Upgrade                          | 1460                    | N/A      | 0                    | 2,025          | 2,025             | 2,025           |                |
|   | Repair/Paint Stairwells & Steps              | 1460                    | N/A      | 10,000               | 0              | 0                 | 0               | planned        |
|   | Upgrade Security DVR                         | 1460                    | N/A      | 10,000               | 6,875          | 6,875             | 6,875           | planned        |
|   | Asbestos Abatement                           | 1460                    | N/A      | 16,389               | 0              | 0                 | 0               | planned        |
|   | Replace Floor Tiles                          | 1460                    | N/A      | 16,389               | 1,219          | 1,219             | 1,219           | planned        |
|   | Replace Flooring-Common Hallways             | 1460                    | N/A      | 20,000               | 0              | 0                 | 0               | planned        |
|   | Computer Hardware                            | 1475                    | N/A      | 0                    | 725            | 725               | 725             |                |
|   | Bond Repayment                               | 1501                    | N/A      | 53,376               | 53,376         | 53,376            | 53,376          | N/A            |
| <b>RI001000007 Total</b>                    |  |                         |          | <b>233,395</b>       | <b>217,267</b> | <b>217,267</b>    | <b>217,267</b>  |                |
| RI001000008                                 | Operations                                   | 1406                    | N/A      | 32,407               | 32,407         | 32,407            | 32,407          | N/A            |
| Kilmartin Plaza                             | Police                                       | 1408                    | N/A      | 5,288                | 5,692          | 5,692             | 5,692           | N/A            |
|   | Security Guards                              | 1408                    |          | 0                    | 1,000          | 1,000             | 850             |                |

Capital Fund Financing Program

OBM no. 2577-022  
Expires 4/30/2011

**Part II: Supporting Pages**

PFA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
Grant Type and Number: Capital Fund Program Grant No: RI 43 P001 50108  
CFFP (Yes/ No):  
Replacement Housing Factor Grant No:

Federal FFY of Grant: 2008

Development Number

Name/PHA-Wide Activities

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost |               | Total Actual Cost |                 | Status of Work |
|--|--|-------------------------|----------|----------------------|---------------|-------------------|-----------------|----------------|
|  |  |                         |          | Original             | Revised 1     | Funds Obligated2  | Funds Expended2 |                |
| Kilmartin Plaza (continued)                    | A&E Fees and Costs                           | 1430                    | N/A      | 4,067                | 4,103         | 4,103             | 4,103           | N/A            |
|  | UPCS Inspection                              | 1430                    | N/A      | 1,139                | 0             | 0                 | 0               | planned        |
|  | Replace/Upgrade Generator                    | 1450                    | 1 Unit   | 80,000               | 0             | 0                 | 0               | moved to 5010  |
|  | Tree Pruning                                 | 1450                    | N/A      | 2,034                | 3,800         | 3,800             | 3,800           | planned        |
|  | Upgrade Security DVR                         | 1460                    | N/A      | 10,000               | 4,287         | 4,287             | 4,287           | planned        |
|  | Trash Chute Cleaning/Repairs                 | 1460                    |          | 0                    | 450           | 450               | 450             |                |
|  | Heating/DHW Repairs                          | 1460                    |          | 0                    | 7,088         | 7,088             | 7,088           |                |
|  | Replace Floor Tiles                          | 1460                    | N/A      | 5,895                | 0             | 0                 | 0               | planned        |
|  | Elevator Improvements/Repairs                | 1460                    | 2 Units  | 15,000               | 0             | 0                 | 0               | planned        |
|  | Asbestos Abatement                           | 1460                    | N/A      | 5,895                | 0             | 0                 | 0               | planned        |
|  | Computer Hardware                            | 1475                    |          | 0                    | 725           | 725               | 725             |                |
|  | Bond Repayment                               | 1501                    | N/A      | 29,164               | 29,164        | 29,164            | 29,164          | N/A            |
| <b>RI001000008 Total</b>                       |  |                         |          | <b>190,889</b>       | <b>88,716</b> | <b>88,716</b>     | <b>88,566</b>   |                |
| RI001000009                                    | Operations                                   | 1406                    | N/A      | 59,312               | 59,312        | 59,312            | 59,312          | N/A            |
| Parenti Villa                                  | Police                                       | 1408                    | N/A      | 9,679                | 10,419        | 10,419            | 10,419          | N/A            |
|  | Security Guards                              | 1408                    |          | 0                    | 1,051         | 1,051             | 1,051           |                |
|  | A&E Fees and Costs                           | 1430                    | N/A      | 7,444                | 150           | 150               | 150             | N/A            |
|  | UPCS Inspection                              | 1430                    | N/A      | 2,082                | 0             | 0                 | 0               | planned        |
|  | Tree Pruning                                 | 1450                    | N/A      | 3,722                | 0             | 0                 | 0               | planned        |
|  | Repair/Paint Stairwells & Steps              | 1460                    | N/A      | 10,000               | 0             | 0                 | 0               | planned        |
|  | Upgrade Kitchens                             | 1460                    | N/A      | 1,953                | 1,953         | 1,953             | 1,953           | complete       |
|  | Elevator Improvements/Repairs                | 1460                    | N/A      | 0                    | 259,200       | 259,200           | 259,200         |                |

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OBM no. 2577-022

**Part II: Supporting Pages**

Expires 4/30/201

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number: Capital Fund Program Grant No: RI 43 P001 50108  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

Federal FFY of Grant: 2008

| Development Number<br>Name/PHA-Wide<br>Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity   | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |
|---|---|----------------------------|------------|----------------------|----------------|---------------------|--------------------|----------------|
|   |   |                            |            | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| Parenti Villa<br>(continued)                      | Asbestos Abatement                              | 1460                       | N/A        | 16,388               | 0              | 0                   | 0                  | planned        |
|   | Trash Chute Cleaning/Repairs                    | 1460                       |            | 0                    | 1,150          | 1,150               | 1,150              |                |
|   | Replace Floor Tiles                             | 1460                       | N/A        | 16,388               | 1,219          | 1,219               | 1,219              | planned        |
|   | Upgrade Security DVR                            | 1460                       | N/A        | 10,000               | 14,536         | 14,536              | 14,536             | complete       |
|   | Computer Hardware                               | 1475                       |            | 0                    | 725            | 725                 | 725                |                |
|   | Bond Repayment                                  | 1501                       | N/A        | 53,376               | 53,376         | 53,376              | 53,376             | N/A            |
| <b>RI001000009 Total</b>                          |   |                            |            | <b>190,344</b>       | <b>403,091</b> | <b>403,091</b>      | <b>403,091</b>     |                |
| <b>COCC</b>                                       | <b>CFP Administrative Costs</b>                 | <b>1410</b>                | <b>N/A</b> | <b>398,367</b>       | <b>398,367</b> | <b>398,367</b>      | <b>398,367</b>     | <b>N/A</b>     |



# **Attachment A.8**

**P & E 50107**

**CFP Annual Statement/Performance and Evaluation Report**

**Annual Plan Section 8.1**

**Part I: Summary** FFY of Grant: 2007  
 Grant Type and Number FFY of Grant  
 Capital Fund Program Grant No: RI 43 P001 50107 Approval: 2007  
 Replacement Housing Factor Grant No:  
 Date of CFP: 9/13/07

Type of Grant Revised Annual Statement (revision no: )  
 Original Annual Statement X Final Performance and Evaluation Report  
 Performance and Evaluation Report for Period Ending:

| Line | Summary by Development Account                      | Total Estimated Cost |          |           | Total Actual Cost 1 |  |
|------|---|----------------------|----------|-----------|---------------------|--|
|      |   | Original             | Revised2 | Obligated | Expended            |  |
| 1    | Total non-CFP Funds                                 | 813,791              | 813,791  | 813,791   | 813,791             |  |
| 2    | 1406 Operations (may not exceed 20% of line 21) 3   | 220,102              | 219,230  | 219,230   | 219,230             |  |
| 3    | 1408 Management Improvements                        | 406,895              | 406,895  | 406,895   | 406,895             |  |
| 4    | 1410 Administration (may not exceed 10% of line 21) |                      |          |           |                     |  |
| 5    | 1411 Audit  |                      |          |           |                     |  |
| 6    | 1415 Liquidated Damages                             | 159,337              | 58,245   | 58,245    | 58,245              |  |
| 7    | 1430 Fees and Costs                                 |                      |          |           |                     |  |
| 8    | 1440 Site Acquisition                               | 331,538              | 303,948  | 303,948   | 303,948             |  |
| 9    | 1450 Site Improvement                               | 903,585              | 945,918  | 945,918   | 945,918             |  |
| 10   | 1460 Dwelling Structures                            | 10,749               | 10,749   | 10,749    | 10,749              |  |
| 11   | 1465.1 Dwelling Equipment—Nonexpendable             | 150,255              | 141,492  | 141,492   | 141,492             |  |
| 12   | 1470 Non-dwelling Structures                        | 282,032              | 378,016  | 378,016   | 378,016             |  |
| 13   | 1475 Non-dwelling Equipment                         |                      |          |           |                     |  |
| 14   | 1485 Demolition                                     |                      |          |           |                     |  |
| 15   | 1492 Moving to Work Demonstration                   |                      |          |           |                     |  |
| 16   | 1495.1 Relocation Costs                             |                      |          |           |                     |  |
| 17   | 1499 Development Activities 4                       |                      |          |           |                     |  |



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226  
 Expires 4/30/2011

**Part II: Supporting Pages**

Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P00150107  
 PHA Name: THE HOUSING  
 AUTHORITY OF THE CITY OF  
 PROVIDENCE  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

Federal FFY of Grant: 2007

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| RI001000001                                    | Operations                                      | 1406                       | N/A      | 58,552               | 58,552         | 58,552              | 58,552             | N/A            |
| Chad Brown                                     | Police  | 1408                       | N/A      | 12,465               | 12,465         | 12,465              | 12,465             | N/A            |
|  | A & E Fees & Costs                              | 1430                       | N/A      | 30,222               | 3,347          | 3,347               | 3,347              | N/A            |
|  | Tree Pruning                                    | 1450                       | N/A      | 5,049                | 5,560          | 5,560               | 5,560              | completed      |
|  | Exterior Security Lighting                      | 1450                       | N/A      | 0                    | 5,018          | 5,018               | 5,018              | completed      |
|  | Bathroom Renovations                            | 1460                       | 30 units | 1,000                | 0              | 0                   | 0                  | cancelled      |
|  | Heating/Dom. Hot Water Upgrade                  | 1460                       | N/A      | 0                    | 6,746          | 6,746               | 6,746              | completed      |
|  | Appliance Purchases                             | 1465                       | 10 units | 10,169               | 10,169         | 10,169              | 10,169             | completed      |
|  | Upgrade Telecommunications                      | 1475                       | N/A      | 10,000               | 8,900          | 8,900               | 8,900              | completed      |
|  | Bond Repayment CFFP                             | 1501                       | N/A      | 25,632               | 25,632         | 25,632              | 25,632             | N/A            |
| <b>Total</b>                                   |   |                            |          | <b>153,089</b>       | <b>136,389</b> | <b>136,389</b>      | <b>136,389</b>     |                |
| Admiral Terrace                                | Operations                                      | 1406                       | N/A      | 58,552               | 58,552         | 58,552              | 58,552             | N/A            |
|  | Police  | 1408                       | N/A      | 12,465               | 12,465         | 12,465              | 12,465             | N/A            |
|  | A & E Fees & Costs                              | 1430                       | N/A      | 19,674               | 0              | 0                   | 0                  | N/A            |
|  | Repair/Replace Gas Lines                        | 1450                       | N/A      | 77,185               | 73,375         | 73,375              | 73,375             | completed      |
|  | Fencing   | 1450                       | N/A      | 1,927                | 0              | 0                   | 0                  | cancelled      |
|  | Repair/Replace Roofs                            | 1460                       | N/A      | 0                    | 23,975         | 23,975              | 23,975             | completed      |
|  | Security System - Maint. & Mgt. Office          | 1470                       | N/A      | 135,000              | 135,000        | 135,000             | 135,000            | completed      |



Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

**Part II: Supporting Pages**

Grant Type and Number  
Capital Fund Program Grant No: RI 43 P00150107  
PHA Name: THE HOUSING  
AUTHORITY OF THE CITY OF  
PROVIDENCE  
CFPP (Yes/ No):  
Replacement Housing Factor Grant No:

Federal FFY of Grant: 2007

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost               |                                | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|---------------------------------|--------------------------------|----------------|
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated <sup>2</sup> | Funds<br>Expended <sup>2</sup> |                |
| Admiral Terrace                                | Upgrade Telecommunications                      | 1475                       | N/A      | 10,000               | 0              | 0                               | 0                              | cancelled      |
| (continued)                                    | Bond Repayment CFPP                             | 1501                       | N/A      | 25,632               | 25,632         | 25,632                          | 25,632                         | N/A            |
| <b>Total</b>                                   |   |                            |          | <b>340,435</b>       | <b>328,999</b> | <b>328,999</b>                  | <b>328,999</b>                 |                |
| Sunset Village                                 | N/A   |                            |          | 0                    | 0              | 0                               | 0                              | N/A            |
| <b>Total</b>                                   |   |                            |          | <b>0</b>             | <b>0</b>       | <b>0</b>                        | <b>0</b>                       |                |
| <b>RI001000001 Total</b>                       |   |                            |          | <b>493,524</b>       | <b>465,388</b> | <b>465,388</b>                  | <b>465,388</b>                 |                |
| RI001000002                                    | A & E Fees & Costs                              | 1430                       | N/A      | 2,467                | 2,467          | 2,467                           | 2,467                          | N/A            |
| Roger Williams                                 | Card Access/Cameras/Security                    | 1470                       | N/A      | 8,000                | 0              | 0                               | 0                              | cancelled      |
| <b>Total</b>                                   | Upgrade Telecommunications                      | 1475                       | N/A      | 2,746                | 0              | 0                               | 0                              | cancelled      |
|  |   |                            |          | <b>13,213</b>        | <b>2,467</b>   | <b>2,467</b>                    | <b>2,467</b>                   |                |
| Coddling Court                                 | Operations                                      | 1406                       | N/A      | 63,080               | 63,080         | 63,080                          | 63,080                         | N/A            |
|  | Police  | 1408                       | N/A      | 13,430               | 13,430         | 13,430                          | 13,430                         | N/A            |
|  | A & E Fees & Costs                              | 1430                       | N/A      | 7,533                | 367            | 367                             | 367                            | completed      |
|  | Tree Pruning                                    | 1450                       | N/A      | 6,240                | 6,240          | 6,240                           | 6,240                          | completed      |
|  | Site Improvements/Dumpster                      | 1450                       | N/A      | 21,096               | 0              | 0                               | 0                              | cancelled      |
|  | Replace Domestic Water Heaters                  | 1460                       | 9 Units  | 9,000                | 0              | 0                               | 0                              | cancelled      |

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

**Part II: Supporting Pages**

Grant Type and Number  
Capital Fund Program Grant No: RI 43 P00150107  
PHA Name: THE HOUSING  
AUTHORITY OF THE CITY OF  
PROVIDENCE  
Replacement Housing Factor Grant No:

Federal FFY of Grant: 2007

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| Codding Court<br>(continued)                   | Upgrade Telecommunications                      | 1475                       | N/A      | 17,437               | 22,904         | 22,904              | 22,904             | completed      |
|  | Bond Repayment CFFP                             | 1501                       | N/A      | 27,616               | 27,616         | 27,616              | 27,616             | N/A            |
| <b>Total</b>                                   |   |                            |          | <b>165,432</b>       | <b>133,637</b> | <b>133,637</b>      | <b>133,637</b>     |                |
| Scattered Sites                                | Operations                                      | 1406                       | N/A      | 63,080               | 63,080         | 63,080              | 63,080             | N/A            |
|  | Police  | 1408                       | N/A      | 13,430               | 13,430         | 13,430              | 13,430             | N/A            |
|  | A & E Fees & Costs                              | 1430                       | N/A      | 2,411                | 1,303          | 1,303               | 1,303              | N/A            |
|  | Landscaping and Fencing                         | 1450                       | N/A      | 35,179               | 23,962         | 23,962              | 23,962             | completed      |
|  | Building Repairs (All Projects)                 | 1460                       | N/A      | 532,527              | 503,548        | 503,548             | 503,548            | completed      |
|  | Paint Buildings, Rebuild Porches                |                            | N/A      |                      |                |                     |                    |                |
|  | Replace DHW Tanks, Boilers                      |                            | N/A      |                      |                |                     |                    |                |
|  | Repair/Seal Foundation Cracks                   |                            | N/A      |                      |                |                     |                    |                |
|  | Install Carbon Monoxide Detectors               |                            | N/A      |                      |                |                     |                    |                |
|  | Replace Windows, Def Painting                   |                            | N/A      |                      |                |                     |                    |                |
|  | Install Vinyl Siding, Vinyl Floor Tile          |                            | N/A      |                      |                |                     |                    |                |
|  | Maintenance Vehicles & Equipment                | 1475                       | N/A      | 2,865                | 2,865          | 2,865               | 2,865              | completed      |
|  | Bond Repayment CFFP                             | 1501                       | N/A      | 27,616               | 27,616         | 27,616              | 27,616             | N/A            |
| <b>Total</b>                                   |   |                            |          | <b>677,108</b>       | <b>635,804</b> | <b>635,804</b>      | <b>635,804</b>     |                |
| <b>RI001000002 Total</b>                       |   |                            |          | <b>855,753</b>       | <b>771,908</b> | <b>771,908</b>      | <b>771,908</b>     |                |

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

**Part II: Supporting Pages**

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number: RI 43 P00150107  
 Capital Fund Program Grant No.: RI 43 P00150107  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No.:

Federal FFY of Grant: 2007

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                  | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|------------------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1        | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| RI001000003                                    | Operations                                      | 1406                       | N/A      | 158,636              | 158,636          | 158,636             | 158,636            | N/A            |
| Hartford Park                                  | Police  | 1408                       | N/A      | 33,772               | 33,772           | 33,772              | 33,772             | N/A            |
|  | A & E Fees & Costs                              | 1430                       | N/A      | 23,649               | 11,579           | 11,579              | 11,579             | N/A            |
|  | Digitize Building Plans                         | 1430                       | N/A      | 15,000               | 0                | 0                   | 0                  | cancelled      |
|  | Site Improvements                               | 1450                       |          | 0                    | 6,160            | 6,160               | 6,160              | completed      |
|  | Repair/Replace Gas Lines                        | 1450                       | N/A      | 25,393               | 25,393           | 25,393              | 25,393             | completed      |
|  | Exterior Building Repairs                       | 1460                       | 1 bldg   | 118,419              | 40,009           | 40,009              | 40,009             | completed      |
|  | Heating Domestic HW Upgrade                     | 1460                       | N/A      | 51,614               | 56,283           | 56,283              | 56,283             | completed      |
|  | Trash Chute Cleaning/Repairs                    | 1460                       | N/A      | 2,295                | 2,295            | 2,295               | 2,295              | completed      |
|  | Maintenance/Vehicles/Equipment                  | 1475                       | N/A      | 44,964               | 117,711          | 117,711             | 117,711            | completed      |
|  | Computer Hardware                               | 1475                       | N/A      | 12,266               | 26,599           | 26,599              | 26,599             | completed      |
|  | Upgrade Telecommunications                      | 1475                       | N/A      | 28,031               | 17,998           | 17,998              | 17,998             | completed      |
|  | Bond Repayment (FM/Res Svs Bldgs)               | 1501                       | N/A      | 434,406              | 434,406          | 434,406             | 434,406            | N/A            |
|  | Bond Repayment CFFP                             | 1501                       | N/A      | 69,447               | 69,447           | 69,447              | 69,447             | N/A            |
| <b>RI001000003 Total</b>                       |   |                            |          | <b>1,017,892</b>     | <b>1,000,288</b> | <b>1,000,288</b>    | <b>1,000,288</b>   |                |
|  | Operations                                      | 1406                       | N/A      | 103,051              | 103,051          | 103,051             | 103,051            | N/A            |
|  | Police  | 1408                       | N/A      | 21,939               | 21,939           | 21,939              | 21,939             | N/A            |
| Mannton Heights                                | A & E Fees & Costs                              | 1430                       | N/A      | 15,587               | 1,273            | 1,273               | 1,273              | N/A            |

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

**Part II: Supporting Pages**

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number: Capital Fund Program Grant No: RI 43 P00150107  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

Federal FFY of Grant: 2007

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| Mannton Heights<br>(continued)                 | Tree Pruning                                    | 1450                       | N/A      | 13,304               | 17,728         | 17,728              | 17,728             | completed      |
|  | Heating/Dom Hot Water Upgrade                   | 1460                       |          | 0                    | 7,236          | 7,236               | 7,236              | completed      |
|  | Repair/Replace Doors & Hardware                 | 1460                       |          | 16,375               | 16,375         | 16,375              | 16,375             | completed      |
|  | Repair/Replace Roofs                            | 1460                       | N/A      | 28,583               | 0              | 0                   | 0                  | cancelled      |
|  | Appliance Purchases                             | 1465                       |          | 580                  | 580            | 580                 | 580                | completed      |
|  | Security System - Maint. & Mgt. Office          | 1470                       | N/A      | 7,255                | 6,492          | 6,492               | 6,492              | completed      |
|  | Upgrade Telecommunications                      | 1475                       | N/A      | 17,437               | 7,404          | 7,404               | 7,404              | completed      |
|  | Bond Repayment CFFP                             | 1501                       | N/A      | 45,114               | 45,114         | 45,114              | 45,114             | N/A            |
| <b>RI001000004 Total</b>                       |   |                            |          | <b>269,225</b>       | <b>227,192</b> | <b>227,192</b>      | <b>227,192</b>     |                |
| RI001000005<br>Dexter Manor                    | Operations                                      | 1406                       | N/A      | 90,872               | 90,872         | 90,872              | 90,872             | N/A            |
|  | Police  | 1408                       | N/A      | 19,345               | 19,345         | 19,345              | 19,345             | N/A            |
|  | Security Guards                                 | 1408                       | N/A      | 5,171                | 0              | 0                   | 0                  | cancelled      |
|  | Computer System Software                        | 1408                       | N/A      | 41,679               | 45,978         | 45,978              | 45,978             | completed      |
|  | A & E Fees & Costs                              | 1430                       | N/A      | 11,416               | 10,098         | 10,098              | 10,098             | completed      |
|  | LBP/Asbestos Testing                            | 1430                       | N/A      | 150                  | 200            | 200                 | 200                | completed      |
|  | Fencing   | 1450                       | N/A      | 3,275                | 0              | 0                   | 0                  | cancelled      |
|  | Exterior Security Lighting                      | 1450                       | N/A      | 0                    | 1,950          | 1,950               | 1,950              | completed      |
|  | Replace/Upgrade Generator                       | 1450                       | N/A      | 54,240               | 54,240         | 54,240              | 54,240             | completed      |
|  | Trash Chute Cleaning/Repairs                    | 1460                       | N/A      | 1,105                | 1,105          | 1,105               | 1,105              | completed      |
|  | Computer Hardware                               | 1475                       | N/A      | 7,041                | 21,876         | 21,876              | 21,876             | completed      |
|  | Maintenance Vehicles/Equipment                  | 1475                       | N/A      | 0                    | 4,725          | 4,725               | 4,725              | completed      |

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

| <b>Part II: Supporting Pages</b>  |   |                            |          |                      |                |                     |                    |                |                            |  |  |
|---|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|----------------------------|--|--|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE               |   |                            |          |                      |                |                     |                    |                |                            |  |  |
| Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P00150107 |   |                            |          |                      |                |                     |                    |                |                            |  |  |
| CFPP (Yes/ No):<br>Replacement Housing Factor Grant No:                 |   |                            |          |                      |                |                     |                    |                |                            |  |  |
| Development Number<br>Name/PHA-wide Activities                          | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work | Federal FFY of Grant: 2007 |  |  |
|   |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |                            |  |  |
|   | Upgrade Telecommunications                      | 1475                       | N/A      | 17,437               | 23,703         | 23,703              | 23,703             | completed      |                            |  |  |
|   | Bond Repayment CFFP                             | 1501                       | N/A      | 39,781               | 39,781         | 39,781              | 39,781             | N/A            |                            |  |  |
| <b>RI001000005 Total</b>  |   |                            |          | <b>291,512</b>       | <b>313,873</b> | <b>313,873</b>      | <b>313,873</b>     |                |                            |  |  |
|   | Operations                                      | 1406                       | N/A      | 63,704               | 63,704         | 63,704              | 63,704             | N/A            |                            |  |  |
| RI001000006   | Police  | 1408                       | N/A      | 13,562               | 13,562         | 13,562              | 13,562             | N/A            |                            |  |  |
| Dominica Manor  | A & E Fees & Costs                              | 1430                       | N/A      | 10,000               | 1,992          | 1,992               | 1,992              | N/A            |                            |  |  |
|   | LBP/Asbestos Testing                            | 1430                       | N/A      | 1,704                | 1,830          | 1,830               | 1,830              | completed      |                            |  |  |
|   | Concrete Exterior Repairs                       | 1460                       | N/A      | 31,977               | 0              | 0                   | 0                  | cancelled      |                            |  |  |
|   | Antenna Conversion                              | 1460                       | N/A      | 7,575                | 7,575          | 7,575               | 7,575              | completed      |                            |  |  |
|   | Install Exterior Sliding Doors                  | 1460                       | N/A      | 27,000               | 29,327         | 29,327              | 29,327             | completed      |                            |  |  |
|   | Trash Chute Cleaning/Repairs                    | 1460                       | N/A      | 2,295                | 2,295          | 2,295               | 2,295              | completed      |                            |  |  |
|   | Maintenance/Vehicles/Equipment                  | 1475                       | N/A      | 25,638               | 23,638         | 23,638              | 23,638             | completed      |                            |  |  |
|   | Computer Hardware                               | 1475                       | N/A      | 878                  | 3,616          | 3,616               | 3,616              | completed      |                            |  |  |
|   | Upgrade Telecommunications                      | 1475                       | N/A      | 21,087               | 12,583         | 12,583              | 12,583             | completed      |                            |  |  |
|   | Bond Repayment CFFP                             | 1501                       | N/A      | 27,888               | 27,888         | 27,888              | 27,888             | N/A            |                            |  |  |
| <b>RI001000006 Total</b>  |   |                            |          | <b>233,308</b>       | <b>188,010</b> | <b>188,010</b>      | <b>188,010</b>     |                |                            |  |  |
|   | Operations                                      | 1406                       | N/A      | 60,582               | 60,582         | 60,582              | 60,582             | N/A            |                            |  |  |
| RI001000007   | Police  | 1408                       | N/A      | 12,898               | 12,898         | 12,898              | 12,898             | N/A            |                            |  |  |
| Carroll Tower   | A & E Fees & Costs                              | 1430                       | N/A      | 1,093                | 4,662          | 4,662               | 4,662              | N/A            |                            |  |  |

| <b>Part II: Supporting Pages</b>  |   |                            |          |                      |                |                     |                    |                |                |  |  |
|---|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|----------------|--|--|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE               |   |                            |          |                      |                |                     |                    |                |                |  |  |
| Grant Type and Number<br>Capital Fund Program Grant No: RI 43 P00150107 |   |                            |          |                      |                |                     |                    |                |                |  |  |
| CFFP (Yes/ No):<br>Replacement Housing Factor Grant No:                 |   |                            |          |                      |                |                     |                    |                |                |  |  |
| Development Number<br>Name/PHA-Wide Activities                          | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |                |  |  |
|   |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |                |  |  |
| Carroll Tower<br>(continued)  | LBP/Asbestos Testing                            | 1430                       | N/A      | 1,000                | 840            | 840                 | 840                | completed      |                |  |  |
|   | Fencing   | 1450                       | N/A      | 3,650                | 3,650          | 3,650               | 3,650              | completed      |                |  |  |
|   | Trash Chute Cleaning/Repairs                    | 1460                       | N/A      | 1,105                | 1,105          | 1,105               | 1,105              | completed      |                |  |  |
|   | Install Exterior Sliding Doors                  | 1460                       | N/A      | 22,500               | 28,443         | 28,443              | 28,443             | completed      |                |  |  |
|   | Computer Hardware                               | 1475                       | N/A      | 878                  | 2,837          | 2,837               | 2,837              | completed      |                |  |  |
|   | Upgrade Telecommunications                      | 1475                       | N/A      | 17,437               | 23,704         | 23,704              | 23,704             | completed      |                |  |  |
|   | Maintenance Vehicles/Equipment                  | 1475                       | N/A      | 2,995                | 2,995          | 2,995               | 2,995              | completed      |                |  |  |
|   | Bond Repayment CFFP                             | 1501                       | N/A      | 26,522               | 26,522         | 26,522              | 26,522             | N/A            |                |  |  |
| <b>RI001000007 Total</b>  |   |                            |          | <b>150,660</b>       | <b>168,238</b> | <b>168,238</b>      | <b>168,238</b>     |                | <b>168,238</b> |  |  |
| RI001000008   | Operations                                      | 1406                       | N/A      | 33,100               | 33,100         | 33,100              | 33,100             | N/A            |                |  |  |
| Kilmartin Plaza   | Police  | 1408                       | N/A      | 7,047                | 7,047          | 7,047               | 7,047              | N/A            |                |  |  |
|   | A & E Fees & Costs                              | 1430                       | N/A      | 10,410               | 10,410         | 10,410              | 10,410             | N/A            |                |  |  |
|   | LBP/Asbestos Testing                            | 1430                       | N/A      | 1,000                | 677            | 677                 | 677                | completed      |                |  |  |
|   | Replace/Upgrade Generator                       | 1450                       | 1 Unit   | 85,000               | 80,672         | 80,672              | 80,672             | completed      |                |  |  |
|   | Trash Chute Cleaning/Repairs                    | 1460                       | N/A      | 2,295                | 2,295          | 2,295               | 2,295              | completed      |                |  |  |
|   | Fire Alarm System Replacement                   | 1460                       | N/A      | 0                    | 157,500        | 157,500             | 157,500            | completed      |                |  |  |
|   | Install Exterior Sliding Doors                  | 1460                       | N/A      | 22,500               | 28,443         | 28,443              | 28,443             | completed      |                |  |  |
|   | Upgrade Telecommunications                      | 1475                       | N/A      | 17,437               | 7,404          | 7,404               | 7,404              | completed      |                |  |  |
|   | Computer Hardware                               | 1475                       | N/A      | 878                  | 1,858          | 1,858               | 1,858              | completed      |                |  |  |
|   | Bond Repayment CFFP                             | 1501                       | N/A      | 14,492               | 14,492         | 14,492              | 14,492             | N/A            |                |  |  |
| <b>RI001000008 Total</b>  |   |                            |          | <b>194,159</b>       | <b>343,898</b> | <b>343,898</b>      | <b>343,898</b>     |                | <b>343,898</b> |  |  |

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226  
**Expires 4/30/2011**

**Part II: Supporting Pages**

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE  
 Grant Type and Number: Capital Fund Program Grant No: RI 43 P00150107  
 CFFP (Yes/ No):  
 Replacement Housing Factor Grant No:

Federal FFY of Grant: 2007

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost               |                                | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|---------------------------------|--------------------------------|----------------|
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated <sup>2</sup> | Funds<br>Expended <sup>2</sup> |                |
| RI001000009                                    | Operations                                      | 1406                       | N/A      | 60,582               | 60,582         | 60,582                          | 60,582                         | N/A            |
| Parenti Villa                                  | Police  | 1408                       | N/A      | 12,899               | 12,899         | 12,899                          | 12,899                         | N/A            |
|  | A & E Fees & Costs                              | 1430                       | N/A      | 3,673                | 4,852          | 4,852                           | 4,852                          | N/A            |
|  | LBP/Asbestos Testing                            | 1430                       | N/A      | 2,348                | 2,348          | 2,348                           | 2,348                          | completed      |
|  | Concrete Exterior Repairs                       | 1460                       | N/A      | 1,815                | 1,815          | 1,815                           | 1,815                          | completed      |
|  | Trash Chute Cleaning/Repairs                    | 1460                       | N/A      | 1,105                | 1,105          | 1,105                           | 1,105                          | completed      |
|  | Install Exterior Sliding Doors                  | 1460                       | N/A      | 22,500               | 28,443         | 28,443                          | 28,443                         | completed      |
|  | Computer Hardware                               | 1475                       | N/A      | 4,148                | 6,108          | 6,108                           | 6,108                          | completed      |
|  | Upgrade Telecommunications                      | 1475                       | N/A      | 17,437               | 35,593         | 35,593                          | 35,593                         | completed      |
|  | Maintenance Vehicles/Equipment                  | 1475                       | N/A      | 2,995                | 2,995          | 2,995                           | 2,995                          | completed      |
|  | Bond Repayment CFFP                             | 1501                       | N/A      | 26,525               | 26,525         | 26,525                          | 26,525                         | N/A            |
| <b>RI001000009 Total</b>                       |   |                            |          | <b>156,027</b>       | <b>183,265</b> | <b>183,265</b>                  | <b>183,265</b>                 |                |
| COCC   | CFP Administrative Costs                        | 1410                       | N/A      | 406,895              | 406,895        | 406,895                         | 406,895                        | N/A            |
| <b>COCC Totals</b>                             |   |                            |          | <b>406,895</b>       | <b>406,895</b> | <b>406,895</b>                  | <b>406,895</b>                 |                |
|  |   |                            |          |                      |                |                                 |                                |                |
|  |   |                            |          |                      |                |                                 |                                |                |
|  |   |                            |          |                      |                |                                 |                                |                |
|  |   |                            |          |                      |                |                                 |                                |                |
|  |   |                            |          |                      |                |                                 |                                |                |
|  |   |                            |          |                      |                |                                 |                                |                |
|  |   |                            |          |                      |                |                                 |                                |                |

| Part III: Implementation Schedule for Capital Fund Financing Program |  |                            |  |                             |                                  |
|--|--|----------------------------|--|-----------------------------|----------------------------------|
| PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI        |  |                            |  |                             |                                  |
| Development Number Name/PHA-Wide Activities                          | All Fund Obligated (Quarter Ending Date) |                            | All Funds Expended (Quarter Ending Date) |                             | Reasons for Revised Target Dates |
|  | Original Obligation End Date             | Actual Obligation End Date | Original Expenditure End Date            | Actual Expenditure End Date |                                  |
| PHA Wide   | 9/12/2009                                |                            | 9/12/2011                                |                             |                                  |
|  |  |                            |  |                             |                                  |
|  |  |                            |  |                             |                                  |
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|  |  |                            |  |                             |                                  |
|  |  |                            |  |                             |                                  |



# **Attachment A.9**

**CFFP Bond**

**CFP Annual Statement/Performance and Evaluation Report**

**Annual Plan Section 8.1**

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226

Expires 4/30/2011

**Part I: Summary**

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI  
 Grant Type and Number: Capital Fund Program Grant No: CFFP 2007 Replacement Housing Factor Grant No: \_\_\_\_\_  
 Date of CFFP: 4/30/09  
 FFY of Grant: 2007  
 Approval: 2007

Original Annual Statement Reserve for Disasters/Emergencies  
 X Performance and Evaluation Report for Period Ending: 12/31/11 Revised Annual Statement (revision no: )  
 Summary by Development Account Final Performance and Evaluation Report

| Line | Summary by Development Account                      | Total Estimated Cost |           |           | Total Actual Cost |
|------|---|----------------------|-----------|-----------|-------------------|
|      |   | Original             | Revised   | Obligated |                   |
| 1    | Total non-CFP Funds                                 |                      |           |           |                   |
| 2    | 1406 Operations (may not exceed 20% of line 21)     |                      |           |           |                   |
| 3    | 1408 Management Improvements                        |                      |           |           |                   |
| 4    | 1410 Administration (may not exceed 10% of line 21) |                      |           |           |                   |
| 5    | 1411 Audit  |                      |           |           |                   |
| 6    | 1415 Liquidated Damages                             |                      |           |           |                   |
| 7    | 1430 Fees and Costs                                 | 591,522              | 875,891   | 774,115   | 764,615           |
| 8    | 1440 Site Acquisition                               |                      |           |           |                   |
| 9    | 1450 Site Improvement                               | 2,883,871            | 3,507,212 | 3,479,717 | 3,478,253         |
| 10   | 1460 Dwelling Structures                            | 4,681,169            | 3,807,746 | 3,229,246 | 2,939,905         |
| 11   | 1465.1 Dwelling Equipment--Nonexpendable            |                      |           |           |                   |
| 12   | 1470 Non-dwelling Structures                        |                      |           |           |                   |
| 13   | 1475 Non-dwelling Equipment                         | 120,000              | 85,713    | 32,808    | 32,808            |
| 14   | 1485 Demolition                                     |                      |           |           |                   |
| 15   | 1492 Moving to Work Demonstration                   |                      |           |           |                   |
| 16   | 1495.1 Relocation Costs                             |                      |           |           |                   |
| 17   | 1499 Development Activities 4                       |                      |           |           |                   |

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226  
 Expires 4/30/2011

Part I: Summary

PHA Name: THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI

Grant Type and Number  
 Capital Fund Program Grant No: RI 43 P001 50107  
 Replacement Housing Factor Grant No:  
 Date of CFFP: 4/14/09

FFY of Grant: 2007  
 FFY of Grant  
 Approval: 2007

Type of Grant  
 Original Annual Statement - Reserve for Disasters/Emergencies  
 X Performance and Evaluation Report for Period Ending: 12/31/11  
 Revised Annual Statement (revision no: )  
 Final Performance and Evaluation Report

| Line | Summary by Development Account   | Total Estimated Cost |           | Total Actual Cost |           |
|------|--|----------------------|-----------|-------------------|-----------|
|      |  | Original             | Revised   | Obligated         | Expended  |
| 18a  | 1501 Collateralization or Debt Service paid by the PHA                   | 838,438              | 838,438   | 838,438           | 0         |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment |                      |           |                   |           |
| 19   | 1502 Contingency (may not exceed 8% of line 20)                          |                      |           |                   |           |
| 20   | Amount of Annual Grant: (sum of lines 2-19)                              | 9,115,000            | 9,115,000 | 8,354,324         | 7,215,581 |
| 21   | Amount of line 20 Related to LBP Activities                              | 10,000               | 10,000    |                   |           |
| 22   | Amount of line 20 Related to Section 504 Activities                      | 58,000               | 58,000    |                   |           |
| 23   | Amount of line 20 Related to Security - Soft Costs                       | 140,000              | 140,000   |                   |           |
| 24   | Amount of line 20 Related to Security-Hard Costs                         | 36,000               | 36,000    |                   |           |
| 25   | Amount of line 20 Related to Energy Conservation Measures                | 148,000              | 148,000   |                   |           |

Signature of Executive Director *Stephen G. O'Rourke* Date *3/7/10*  
 Signature of Public Housing Director \_\_\_\_\_ Date \_\_\_\_\_

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226  
 Expires 4/30/2011

Part II: Supporting Pages

Grant Type and Number  
 PHA Name: THE HOUSING  
 Capital Fund Program Grant No: RI 43 P00150107  
 AUTHORITY OF THE CITY OF  
 CFFP (Yes/ No): Yes  
 PROVIDENCE  
 Replacement Housing Factor Grant No:

Federal FFY of Grant: 2007

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories    | Development<br>Account No. | Quantity | Total Estimated Cost |                  | Total Actual Cost   |                    | Status of Work |
|--|--|----------------------------|----------|----------------------|------------------|---------------------|--------------------|----------------|
|  |  |                            |          | Original             | Revised 1        | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| RI001000001                                    | A&E Fees and Costs                                 | 1430                       | N/A      | 161,648              | 161,648          | 118,651             | 118,651            | complete       |
| Chad Brown                                     | Striping/seal coat parking lots                    | 1450                       | 20 Lots  | 30,000               | 19,437           | 19,437              | 19,437             | complete       |
|  | Trip Hazard Removal                                | 1450                       |          | 0                    | 10,905           | 10,905              | 10,905             | complete       |
|  | Landscaping, fencing, benches, walkways, sidewalks | 1450                       | N/A      | 340,660              | 1,437,449        | 1,437,449           | 1,437,449          | complete       |
|  | Repair/replace roofs                               | 1460                       | 6        | 58,231               | 90,053           | 31,425              | 31,425             | ongoing        |
|  | Re-key Apartment Locks                             | 1460                       |          | 0                    | 89,870           | 74,604              | 74,604             | ongoing        |
|  | Repoint/seal exteriors                             | 1460                       | 10       | 50,000               | 74,300           | 42,928              | 42,928             | ongoing        |
|  | Upgrade/repair interiors                           | 1460                       | 8        | 33,320               | 32,013           | 2,000               | 2,000              | ongoing        |
|  | Install New Vinyl Flooring                         | 1460                       | N/A      | 14,507               | 47,107           | 47,107              | 47,107             | complete       |
|  | Installation of New Bath tub Surfaces              | 1460                       |          | 0                    | 1,495            | 1,495               | 1,495              | complete       |
|  | Install Exterior Basement Doors                    | 1460                       |          | 3,250                | 3,250            | 3,250               | 3,250              | complete       |
|  | Lead Encapsulation                                 | 1460                       | N/A      | 0                    | 27,137           | 27,137              | 27,137             | complete       |
|  | Upgrade Telephone System                           | 1475                       | N/A      | 10,000               | 0                | 0                   | 0                  | cancelled      |
| <b>Total</b>                                   |  |                            |          | <b>701,616</b>       | <b>1,994,664</b> | <b>1,816,388</b>    | <b>1,816,388</b>   |                |
| Admiral Terrace                                | A&E Fees and Costs                                 | 1430                       | N/A      | 0                    | 0                | 0                   | 0                  |                |
|  | Striping/seal coat parking lots                    | 1450                       | 20       | 15,000               | 0                | 0                   | 0                  | cancelled      |
|  | Landscaping, fencing, benches,<br>walkways         | 1450                       | N/A      | 428,118              | 0                | 0                   | 0                  | in Chad        |
|  | Repair/replace roofs                               | 1460                       | 6        | 62,500               | 3,455            | 0                   | 0                  | planned        |
|  | Repoint/seal exteriors                             | 1460                       | 10       | 50,000               | 24,300           | 0                   | 0                  | planned        |
|  | Upgrade/repair interiors                           | 1460                       | 8        | 33,320               | 14,920           | 0                   | 0                  | planned        |
|  | Upgrade Telephone System                           | 1475                       | N/A      | 10,000               | 10,000           | 0                   | 0                  | planned        |
| <b>Total</b>                                   |  |                            |          | <b>598,938</b>       | <b>52,675</b>    | <b>0</b>            | <b>0</b>           |                |

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 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
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U.S. Department of Housing and Urban Development  
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|--|---|----------------------------|----------|----------------------|------------------|---------------------|--------------------|----------------|---------------------------|--|--|
| Grant Type and Number                          |   |                            |          |                      |                  |                     |                    |                |                           |  |  |
| PHA Name: THE HOUSING                          |   |                            |          |                      |                  |                     |                    |                |                           |  |  |
| Capital Fund Program Grant No: RI 43 P00150107 |   |                            |          |                      |                  |                     |                    |                |                           |  |  |
| AUTHORITY OF THE CITY OF                       |   |                            |          |                      |                  |                     |                    |                |                           |  |  |
| PROVIDENCE                                     |   |                            |          |                      |                  |                     |                    |                |                           |  |  |
| Replacement Housing Factor Grant No:           |   |                            |          |                      |                  |                     |                    |                |                           |  |  |
| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                  | Total Actual Cost   |                    | Status of Work | Federal FY of Grant: 2007 |  |  |
|  |   |                            |          | Original             | Revised 1        | Funds<br>Obligated2 | Funds<br>Expended2 |                |                           |  |  |
| Sunset Village                                 | Landscaping, fencing, benches,<br>walkways      | 1450                       | N/A      | 30,000               | 0                | 0                   | 0                  | In Chad        |                           |  |  |
| <b>Total</b>                                   |   |                            |          | <b>30,000</b>        | <b>0</b>         | <b>0</b>            | <b>0</b>           |                |                           |  |  |
| <b>RI001000001 Total</b>                       |   |                            |          | <b>1,330,554</b>     | <b>2,047,339</b> | <b>1,816,388</b>    | <b>1,816,388</b>   |                |                           |  |  |
| RI001000002                                    | A&E Fees and Costs                              | 1430                       | N/A      | 5,333                | 5,333            | 5,333               | 5,333              | complete       |                           |  |  |
| Roger Williams                                 | Striping/seal coat parking lot                  | 1450                       | N/A      | 20,000               | 550              | 550                 | 550                | complete       |                           |  |  |
|  | Walkway repairs/grading                         | 1450                       | N/A      | 50,000               | 3,402            | 3,402               | 3,402              | complete       |                           |  |  |
|  | Upgrade site lighting                           | 1450                       | N/A      | 26,114               | 0                | 0                   | 0                  | cancelled      |                           |  |  |
|  | Landscaping, fencing, benches                   | 1450                       | N/A      | 55,000               | 0                | 0                   | 0                  | In Coddling Ct |                           |  |  |
|  | Exterior repairs/Siding/Repoint                 | 1460                       | N/A      | 418,310              | 409,138          | 409,138             | 409,138            | complete       |                           |  |  |
|  | Upgrade Electric Distribution                   | 1460                       |          | 33,800               | 33,800           | 33,800              | 33,800             | complete       |                           |  |  |
|  | Repair/replace exterior doors                   | 1460                       | 8        | 25,000               | 2,264            | 661                 | 661                | ongoing        |                           |  |  |
|  | Install Vents in Storage Sheds                  | 1460                       |          | 600                  | 947              | 947                 | 947                | complete       |                           |  |  |
|  | Upgrade hallways/flooring                       | 1460                       | 5 Floors | 50,000               | 0                | 0                   | 0                  | cancelled      |                           |  |  |
|  | Upgrade Telephone System                        | 1475                       | N/A      | 10,000               | 0                | 0                   | 0                  | cancelled      |                           |  |  |
| <b>Total</b>                                   |   |                            |          | <b>694,157</b>       | <b>455,434</b>   | <b>453,831</b>      | <b>453,831</b>     |                |                           |  |  |
| Coddling Court                                 | Striping/seal coat parking lot                  | 1450                       | N/A      | 20,000               | 0                | 0                   | 0                  | cancelled      |                           |  |  |
|  | Message Center with Posts                       | 1450                       |          | 0                    | 1,464            | 1,464               | 0                  | ongoing        |                           |  |  |
|  | Landscaping, fencing, benches                   | 1450                       | N/A      | 25,000               | 92,379           | 92,379              | 92,379             | complete       |                           |  |  |
|  | Repair/Replace roof                             | 1460                       | N/A      | 141,608              | 327,414          | 326,012             | 144,012            | ongoing        |                           |  |  |
|  | Re-Point/seal exterior                          | 1460                       | N/A      | 26,261               | 0                | 0                   | 0                  | cancelled      |                           |  |  |
|  | Repair stairwells/fire escapes                  | 1460                       | 10       | 15,000               | 0                | 0                   | 0                  | cancelled      |                           |  |  |

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|--|---|----------------------------|----------|----------------------|------------------|---------------------|--------------------|----------------|----------------------------|--------------------|-----------|
| Grant Type and Number                          |   |                            |          |                      |                  |                     |                    |                |                            |                    |           |
| PHA Name: THE HOUSING                          |   |                            |          |                      |                  |                     |                    |                |                            |                    |           |
| Capital Fund Program Grant No: RI 43 P00150107 |   |                            |          |                      |                  |                     |                    |                |                            |                    |           |
| AUTHORITY OF THE CITY OF                       |   |                            |          |                      |                  |                     |                    |                |                            |                    |           |
| PROVIDENCE                                     |   |                            |          |                      |                  |                     |                    |                |                            |                    |           |
| Replacement Housing Factor Grant No:           |   |                            |          |                      |                  |                     |                    |                |                            |                    |           |
| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                  | Total Actual Cost   |                    | Status of Work | Federal FFY of Grant: 2007 |                    |           |
|  |   |                            |          | Original             | Revised 1        | Funds<br>Obligated2 | Funds<br>Expended2 |                | Funds<br>Obligated2        | Funds<br>Expended2 |           |
| Codding Court                                  | Repair/replace exterior doors                   | 1460                       | 10       | 12,917               | 0                | 0                   | 0                  | 0              | 0                          | 0                  | cancelled |
|  | Install CCTV Security Cameras                   | 1460                       | 3        | 2,083                | 2,083            | 2,083               | 2,083              | 2,083          | 2,083                      | 2,083              | complete  |
|  | Replace entrance tiles/flooring                 | 1460                       | 10       | 20,000               | 24,453           | 24,453              | 24,453             | 24,453         | 24,453                     | 24,453             | complete  |
|  | Upgrade Telephone System                        | 1475                       | N/A      | 10,000               | 0                | 0                   | 0                  | 0              | 0                          | 0                  | cancelled |
| <b>Total</b>                                   |   |                            |          | <b>272,869</b>       | <b>447,793</b>   | <b>446,391</b>      | <b>262,927</b>     |                |                            |                    |           |
| Scattered Sites                                | Landscaping /Fencing/Concrete Repairs           | 1450                       | N/A      | 122,419              | 0                | 0                   | 0                  | 0              | 0                          | 0                  | cancelled |
|  | <b>Building Repairs (All Projects)</b>          |                            |          |                      |                  |                     |                    |                |                            |                    |           |
|  | Paint Buildings, Rebuild Porches                | 1460                       | 20       | 360,000              | 270,000          | 239,618             | 239,618            | 239,618        | 239,618                    | 239,618            | ongoing   |
|  | Repair/Replace Roofs                            | 1460                       | 12       | 72,000               | 0                | 0                   | 0                  | 0              | 0                          | 0                  | cancelled |
|  | Repair/Seal Foundation Cracks                   | 1460                       | 10       | 30,000               | 0                | 0                   | 0                  | 0              | 0                          | 0                  | cancelled |
|  | Install Carb Monoxide/Smoke Det.                | 1460                       | 244      | 30,500               | 0                | 0                   | 0                  | 0              | 0                          | 0                  | cancelled |
|  | Replace Windows, Deferred Painting              | 1460                       | 4        | 7,500                | 0                | 0                   | 0                  | 0              | 0                          | 0                  | cancelled |
|  | Repair/replace exterior doors                   | 1460                       | N/A      | 25,000               | 0                | 0                   | 0                  | 0              | 0                          | 0                  | cancelled |
|  | Install Vinyl Sliding, Vinyl Floor Tile         | 1460                       | 10       | 366,001              | 22,819           | 22,819              | 22,819             | 22,819         | 22,818                     | 22,818             | complete  |
|  | Repair gutters/downspouts/column                | 1460                       | 20       | 100,000              | 8,771            | 8,771               | 8,771              | 8,771          | 8,771                      | 8,771              | complete  |
|  | Repair/upgrade bathroom vents                   | 1460                       | N/A      | 15,000               | 1,972            | 1,972               | 1,972              | 1,972          | 1,972                      | 1,972              | complete  |
|  | Upgrade Telephone System                        | 1475                       | N/A      | 10,000               | 0                | 0                   | 0                  | 0              | 0                          | 0                  | cancelled |
| <b>Total</b>                                   |   |                            |          | <b>1,138,420</b>     | <b>303,562</b>   | <b>273,180</b>      | <b>273,179</b>     |                |                            |                    |           |
| <b>RI001000002 Total</b>                       |   |                            |          | <b>2,105,446</b>     | <b>1,206,789</b> | <b>1,173,402</b>    | <b>989,937</b>     |                |                            |                    |           |

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| Grant Type and Number                          |   |                            |          |                      |                  |                     |                    |                |                            |  |  |
| PHA Name: THE HOUSING                          |   |                            |          |                      |                  |                     |                    |                |                            |  |  |
| Capital Fund Program Grant No: RI 43 P00150107 |   |                            |          |                      |                  |                     |                    |                |                            |  |  |
| AUTHORITY OF THE CITY OF                       |   |                            |          |                      |                  |                     |                    |                |                            |  |  |
| PROVIDENCE                                     |   |                            |          |                      |                  |                     |                    |                |                            |  |  |
| Replacement Housing Factor Grant No:           |   |                            |          |                      |                  |                     |                    |                |                            |  |  |
| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                  | Total Actual Cost   |                    | Status of Work | Federal FFY of Grant: 2007 |  |  |
|  |   |                            |          | Original             | Revised 1        | Funds<br>Obligated2 | Funds<br>Expended2 |                |                            |  |  |
| RI001000003                                    | A&E Fees and Costs                              | 1430                       | N/A      | 19,876               | 126,370          | 116,879             | 107,379            | ongoing        |                            |  |  |
| Hartford Park                                  | Landscaping, fencing, benches                   | 1450                       | N/A      | 659,845              | 1,605,227        | 1,603,652           | 1,603,652          | complete       |                            |  |  |
|  | Striping/seal coat parking lot                  | 1450                       | N/A      | 75,000               | 600              | 600                 | 600                | complete       |                            |  |  |
|  | Upgrade exterior lighting                       | 1450                       | N/A      | 37,148               | 24,065           | 24,065              | 24,065             | complete       |                            |  |  |
|  | Playground areas                                | 1450                       | 8        | 68,963               | 68,963           | 68,963              | 68,963             | complete       |                            |  |  |
|  | Repair/replace roofs                            | 1460                       | 10       | 73,715               | 81,155           | 81,155              | 81,155             | complete       |                            |  |  |
|  | Foundation repairs                              | 1460                       | 15       | 68,958               | 0                | 0                   | 0                  | cancelled      |                            |  |  |
|  | Install Decorative Panel-Bldg Exterior          | 1460                       |          | 0                    | 3,359            | 3,359               | 3,359              | complete       |                            |  |  |
|  | Exterior paint/caulking                         | 1460                       | 9        | 186,647              | 198,156          | 198,156             | 198,156            | complete       |                            |  |  |
|  | Replace windows                                 | 1460                       | 125      | 73,647               | 0                | 0                   | 0                  | cancelled      |                            |  |  |
|  | Repair gutters/downspouts/column                | 1460                       | 20 Bldgs | 50,000               | 11,250           | 11,250              | 11,250             | complete       |                            |  |  |
|  | Re-surface concrete buildings                   | 1460                       | 10       | 100,000              | 0                | 0                   | 0                  | cancelled      |                            |  |  |
|  | Upgrade flooring                                | 1460                       | 25       | 70,640               | 73,144           | 73,144              | 73,144             | complete       |                            |  |  |
|  | Bathroom shower/tub surrounds                   | 1460                       | 30       | 20,000               | 16,641           | 12,740              | 12,740             | ongoing        |                            |  |  |
|  | Handicapped Lever Lock-Trash Rm                 | 1460                       | N/A      | 0                    | 3,780            | 3,780               | 3,780              | complete       |                            |  |  |
|  | Install CCTV Security Cameras                   | 1460                       | 3        | 2,083                | 2,083            | 2,083               | 2,083              | complete       |                            |  |  |
|  | Repairs - Hot Water Circulation Lines           | 1460                       |          | 0                    | 610              | 610                 | 610                | complete       |                            |  |  |
|  | Repair/replace bathroom flooring                | 1460                       | 30       | 60,000               | 0                | 0                   | 0                  | cancelled      |                            |  |  |
|  | Maintenance Vehicles/Equipment                  | 1475                       |          | 0                    | 5,713            | 5,713               | 5,713              | complete       |                            |  |  |
|  | Upgrade Telephone System                        | 1475                       | N/A      | 10,000               | 10,000           | 9,455               | 9,455              | complete       |                            |  |  |
| <b>RI001000003 Total</b>                       |   |                            |          | <b>1,576,522</b>     | <b>2,231,116</b> | <b>2,215,604</b>    | <b>2,206,104</b>   |                |                            |  |  |

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Grant Type and Number  
 PHA Name: THE HOUSING  
 Capital Fund Program Grant No: RI 43 P00150107  
 AUTHORITY OF THE CITY OF  
 PROVIDENCE  
 Replacement Housing Factor Grant No:  
 Federal FFY of Grant: 2007

| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |
|--|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |
| RI001000004                                    | A&E Fees and Costs                              | 1430                       | N/A      | 23,523               | 119,023        | 114,545             | 114,545            | ongoing        |
| Manton Heights                                 | Striping/seal coat parking lot                  | 1450                       | N/A      | 2,184                | 2,184          | 750                 | 750                | complete       |
|  | Replace Concrete Sidewalk                       | 1450                       | N/A      | 15,700               | 15,700         | 15,700              | 15,700             | complete       |
|  | Trip Hazard Removal                             | 1450                       |          | 0                    | 10,410         | 10,410              | 10,410             | complete       |
|  | Landscaping, fencing, benches                   | 1450                       | N/A      | 458,594              | 30,720         | 29,798              | 29,798             | complete       |
|  | Upgrade Dumpsters/enclosures                    | 1450                       | 15       | 15,000               | 0              | 0                   | 0                  | cancelled      |
|  | Playground areas                                | 1450                       | 8        | 48,226               | 48,226         | 48,226              | 48,226             | complete       |
|  | Repair/replace roofs                            | 1460                       | 20       | 271,738              | 271,738        | 270,734             | 270,734            | complete       |
|  | Repair gutters/downspouts/columns               | 1460                       | N/A      | 1,790                | 1,790          | 1,790               | 1,790              | complete       |
|  | Exterior Repairs/Siding/Repainting              | 1460                       | N/A      | 139,204              | 139,204        | 139,204             | 139,204            | complete       |
|  | Remove & Install Metal Door                     | 1460                       |          | 9,377                | 9,377          | 9,377               | 9,377              | complete       |
|  | Repair Vinyl Flooring-Bldg Entrance             | 1460                       | N/A      | 31,435               | 31,435         | 31,435              | 31,435             | complete       |
|  | Install Vinyl Flooring                          | 1460                       | N/A      | 40,927               | 55,680         | 55,680              | 55,680             | complete       |
|  | Replace 14 Glass Blocks                         | 1460                       | 14       | 0                    | 2,289          | 2,289               | 2,289              | complete       |
|  | Install CCTV Security Cameras                   | 1460                       |          | 2,083                | 2,083          | 2,083               | 2,083              | complete       |
|  | Repair/replace windows                          | 1460                       | 85       | 7,697                | 0              | 0                   | 0                  | cancelled      |
|  | Upgrade Telephone System                        | 1475                       | N/A      | 10,000               | 10,000         | 0                   | 0                  | cancelled      |
| <b>RI001000004 Total</b>                       |   |                            |          | <b>1,077,478</b>     | <b>749,859</b> | <b>732,021</b>      | <b>732,021</b>     |                |
| RI001000005                                    | A&E Fees and Costs                              | 1430                       | N/A      | 4,260                | 5,259          | 5,258               | 5,258              | complete       |
| Dexter Manor                                   | Striping/seal coat parking lot                  | 1450                       | N/A      | 39,965               | 39,965         | 39,965              | 39,965             | complete       |



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| Grant Type and Number                          |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| PHA Name: THE HOUSING                          |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| Capital Fund Program Grant No: RI 43 P00150107 |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| AUTHORITY OF THE CITY OF                       |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| PROVIDENCE                                     |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| Replacement Housing Factor Grant No:           |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| Federal FFY of Grant: 2007                     |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |  |  |  |  |
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |  |  |  |  |
| Dexter Manor<br>(continued)                    | Upgrade exterior lighting                       | 1450                       | N/A      | 35,287               | 0              | 0                   | 0                  | cancelled      |  |  |  |  |
|  | Trip Hazard Removal                             | 1450                       |          | 0                    | 2,181          | 2,181               | 0                  | complete       |  |  |  |  |
|  | Landscaping, fencing, benches                   | 1450                       | N/A      | 70,862               | 0              | 0                   | 0                  | cancelled      |  |  |  |  |
|  | Repair/replace roof                             | 1460                       | 1 Bldg   | 44,433               | 44,433         | 37,180              | 37,180             | complete       |  |  |  |  |
|  | Exterior repaint/seal                           | 1460                       | 1 Bldg   | 347,198              | 369,612        | 369,612             | 369,612            | complete       |  |  |  |  |
|  | Exterior paint/caulk                            | 1460                       | 1 Bldg   | 24,796               | 2,035          | 0                   | 0                  | planned        |  |  |  |  |
|  | Remove & Install New Metal Door                 | 1460                       |          | 4,892                | 4,892          | 4,892               | 4,892              | complete       |  |  |  |  |
|  | Asbestos Tile Removal                           | 1460                       |          | 10,000               | 20,000         | 5,243               | 5,243              | ongoing        |  |  |  |  |
|  | Install Vent in Storage Shed                    | 1460                       |          | 600                  | 947            | 947                 | 947                | complete       |  |  |  |  |
|  | Vinyl Flooring                                  | 1460                       |          | 10,000               | 20,714         | 7,951               | 7,951              | ongoing        |  |  |  |  |
|  | Upgrade Telephone System                        | 1475                       | N/A      | 7,360                | 7,360          | 1,250               | 1,250              | complete       |  |  |  |  |
| <b>RI001000005 Total</b>                       |   |                            |          | <b>599,653</b>       | <b>517,398</b> | <b>474,479</b>      | <b>474,479</b>     |                |  |  |  |  |
| RI001000006                                    | A&E Fees and Costs                              | 1430                       | N/A      | 77,383               | 140,821        | 120,504             | 120,504            | complete       |  |  |  |  |
| Dominica Manor                                 | Roof Inspection/Analysis                        | 1430                       | N/A      | 975                  | 975            | 975                 | 975                | complete       |  |  |  |  |
|  | Striping/seal coat parking lot                  | 1450                       | N/A      | 6,822                | 0              | 0                   | 0                  | cancelled      |  |  |  |  |
|  | Trip Hazard Removal                             | 1450                       |          | 0                    | 1,601          | 1,601               | 1,601              | complete       |  |  |  |  |
|  | Landscaping, fencing, benches                   | 1450                       | N/A      | 44,336               | 20,067         | 5,384               | 5,384              | complete       |  |  |  |  |
|  | Upgrade electric distribution                   | 1460                       | N/A      | 65,000               | 8,230          | 8,230               | 8,230              | complete       |  |  |  |  |
|  | Concrete exterior repair                        | 1460                       | N/A      | 517,687              | 232,941        | 8,271               | 8,271              | ongoing        |  |  |  |  |
|  | Upgrade Handicapped Units                       | 1460                       |          | 0                    | 110,000        | 110,000             | 35,820             | ongoing        |  |  |  |  |
|  | Asbestos Tile Removal                           | 1460                       |          | 9,995                | 32,815         | 31,307              | 31,307             | ongoing        |  |  |  |  |
|  | Re-Hang Exterior Doors                          | 1460                       |          | 648                  | 643            | 643                 | 643                | complete       |  |  |  |  |
|  | Balcony Repairs                                 | 1460                       |          | 168,800              | 203,307        | 203,307             | 203,307            | complete       |  |  |  |  |

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| Grant Type and Number                          |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| PHA Name: THE HOUSING                          |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| Capital Fund Program Grant No: RI 43 P00150107 |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| AUTHORITY OF THE CITY OF                       |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| PROVIDENCE                                     |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| Replacement Housing Factor Grant No:           |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| Federal FFY of Grant: 2007                     |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |  |  |  |  |
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |  |  |  |  |
| Dominica Manor<br>(continued)                  | Handicapped Lever Lock-Trash Rm                 | 1460                       |          | 0                    | 3,780          | 3,780               | 3,780              | complete       |  |  |  |  |
|  | Repairs - Hot Water Circulation Lines           | 1460                       |          | 0                    | 610            | 610                 | 610                | complete       |  |  |  |  |
|  | Install Vent in Storage Shed                    | 1460                       |          | 600                  | 947            | 947                 | 947                | complete       |  |  |  |  |
|  | Vinyl Flooring                                  | 1460                       |          | 10,000               | 20,714         | 18,398              | 18,398             | ongoing        |  |  |  |  |
|  | Upgrade Telephone System                        | 1475                       | N/A      | 12,640               | 12,640         | 12,640              | 12,640             | complete       |  |  |  |  |
| <b>RI001000006 Total</b>                       |   |                            |          | <b>914,886</b>       | <b>790,091</b> | <b>526,597</b>      | <b>452,417</b>     |                |  |  |  |  |
| RI001000007                                    | A&E Fees and Costs                              | 1430                       | N/A      | 1,833                | 4,433          | 2,871               | 2,871              | complete       |  |  |  |  |
| Carroll Tower                                  | Roof Inspection/Analysis                        | 1430                       |          | 975                  | 975            | 975                 | 975                | complete       |  |  |  |  |
|  | Landscaping, fencing, benches                   | 1450                       | N/A      | 41,111               | 6,895          | 6,895               | 6,895              | complete       |  |  |  |  |
|  | Trip Hazard Removal                             | 1450                       |          | 0                    | 1,785          | 1,785               | 1,785              | complete       |  |  |  |  |
|  | Striping/Seal Coat Parking Lot                  | 1450                       |          | 600                  | 600            | 600                 | 600                | complete       |  |  |  |  |
|  | Upgrade/repair windows                          | 1460                       | 35       | 3,764                | 0              | 0                   | 0                  | cancelled      |  |  |  |  |
|  | Drain piping                                    | 1460                       | N/A      | 58,431               | 36,703         | 27,800              | 27,800             | complete       |  |  |  |  |
|  | Asbestos Tile Removal                           | 1460                       |          | 10,750               | 21,187         | 16,898              | 16,898             | ongoing        |  |  |  |  |
|  | Install Vent in Storage Shed                    | 1460                       |          | 600                  | 947            | 947                 | 947                | complete       |  |  |  |  |
|  | Handicapped Lever Lock-Trash Rm                 | 1460                       |          | 0                    | 3,780          | 3,780               | 3,780              | complete       |  |  |  |  |
|  | Repairs - Hot Water Circulation Lines           | 1460                       |          | 0                    | 610            | 610                 | 610                | complete       |  |  |  |  |
|  | Replace Leaking DHW tank                        | 1460                       |          | 0                    | 38,230         | 34,205              | 34,205             | complete       |  |  |  |  |
|  | Exterior Door Repairs                           | 1460                       |          | 0                    | 648            | 648                 | 648                | complete       |  |  |  |  |
|  | Vinyl Flooring                                  | 1460                       |          | 10,000               | 20,277         | 8,145               | 8,145              | ongoing        |  |  |  |  |
|  | Upgrade Telephone System                        | 1475                       | N/A      | 10,000               | 10,000         | 1,250               | 1,250              | complete       |  |  |  |  |
| <b>RI001000007 Total</b>                       |   |                            |          | <b>138,064</b>       | <b>147,070</b> | <b>107,409</b>      | <b>107,409</b>     |                |  |  |  |  |

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226  
 Expires 4/30/2011

| Part II: Supporting Pages                      |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
|--|---|----------------------------|----------|----------------------|----------------|---------------------|--------------------|----------------|--|--|--|--|
| Grant Type and Number                          |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| PHA Name: THE HOUSING                          |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| Capital Fund Program Grant No: RI 43 P00150107 |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| AUTHORITY OF THE CITY OF                       |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| PROVIDENCE                                     |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| Replacement Housing Factor Grant No:           |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| Federal FY of Grant: 2007                      |   |                            |          |                      |                |                     |                    |                |  |  |  |  |
| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                | Total Actual Cost   |                    | Status of Work |  |  |  |  |
|  |   |                            |          | Original             | Revised 1      | Funds<br>Obligated2 | Funds<br>Expended2 |                |  |  |  |  |
| RI001000008                                    | A&E Fees and Costs                              | 1430                       |          | 8,883                | 10,882         | 9,217               | 9,217              | complete       |  |  |  |  |
| Kilmartin Plaza                                | Roof Inspection/Analysis                        | 1430                       |          | 3,525                | 3,525          | 3,525               | 3,525              | complete       |  |  |  |  |
|  | Landscaping, fencing, benches                   | 1450                       | N/A      | 21,755               | 10,165         | 10,165              | 10,165             | complete       |  |  |  |  |
|  | Striping/Seal Coat Parking Lot                  | 1450                       |          | 3,200                | 3,200          | 3,200               | 3,200              | complete       |  |  |  |  |
|  | Trip Hazard Removal                             | 1450                       |          | 0                    | 464            | 464                 | 464                | complete       |  |  |  |  |
|  | Remove/Relocate Existing Bldg Sign              | 1450                       |          | 750                  | 750            | 750                 | 750                | complete       |  |  |  |  |
|  | Upgrade Dumpsters/Enclosures                    | 1450                       | 4        | 18,020               | 16,021         | 7,140               | 7,140              | complete       |  |  |  |  |
|  | Interior Fire Doors/Closure Materials           | 1460                       | 3        | 3,220                | 3,220          | 3,220               | 3,220              | complete       |  |  |  |  |
|  | Entrance Canopy                                 | 1460                       | 1        | 2,480                | 2,480          | 2,480               | 2,480              | complete       |  |  |  |  |
|  | Asbestos Tile Removal                           | 1460                       |          | 10,000               | 20,000         | 2,865               | 2,865              | ongoing        |  |  |  |  |
|  | Re-Hang Exterior Doors                          | 1460                       |          | 643                  | 1,938          | 1,938               | 1,938              | complete       |  |  |  |  |
|  | Install Vent in Storage Shed                    | 1460                       |          | 600                  | 947            | 947                 | 947                | complete       |  |  |  |  |
|  | Upgrade Handicapped Units                       | 1460                       |          | 0                    | 58,000         | 58,000              | 24,840             | ongoing        |  |  |  |  |
|  | Handicapped Lever Lock-Trash Rm                 | 1460                       |          | 0                    | 3,780          | 3,780               | 3,780              | complete       |  |  |  |  |
|  | Repairs - Hot Water Circulation Lines           | 1460                       |          | 0                    | 610            | 610                 | 610                | complete       |  |  |  |  |
|  | Vinyl Flooring                                  | 1460                       |          | 10,000               | 20,714         | 393                 | 393                | ongoing        |  |  |  |  |
|  | Upgrade Telephone System                        | 1475                       | N/A      | 10,000               | 10,000         | 1,250               | 1,250              | complete       |  |  |  |  |
| <b>RI001000008 Total</b>                       |   |                            |          | <b>93,076</b>        | <b>166,696</b> | <b>109,944</b>      | <b>76,784</b>      |                |  |  |  |  |
| RI001000009                                    | A&E Fees and Costs                              | 1430                       |          | 8,883                | 13,485         | 9,920               | 9,920              | complete       |  |  |  |  |
| Parenti Villa                                  | Roof Inspection/Analysis                        | 1430                       |          | 975                  | 975            | 975                 | 975                | complete       |  |  |  |  |
|  | Landscaping, fencing, benches                   | 1450                       | N/A      | 57,542               | 31,078         | 31,078              | 31,078             | complete       |  |  |  |  |

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OBM no. 2577-0226  
 Expires 4/30/2011

| Part II: Supporting Pages                      |   |                            |          |                      |                  |                     |                    |                |                |  |  |
|--|---|----------------------------|----------|----------------------|------------------|---------------------|--------------------|----------------|----------------|--|--|
| Grant Type and Number                          |   |                            |          |                      |                  |                     |                    |                |                |  |  |
| PHA Name: THE HOUSING                          |   |                            |          |                      |                  |                     |                    |                |                |  |  |
| Capital Fund Program Grant No: RI 43 P00150107 |   |                            |          |                      |                  |                     |                    |                |                |  |  |
| AUTHORITY OF THE CITY OF                       |   |                            |          |                      |                  |                     |                    |                |                |  |  |
| PROVIDENCE                                     |   |                            |          |                      |                  |                     |                    |                |                |  |  |
| Replacement Housing Factor Grant No:           |   |                            |          |                      |                  |                     |                    |                |                |  |  |
| Federal FY of Grant: 2007                      |   |                            |          |                      |                  |                     |                    |                |                |  |  |
| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work<br>Categories | Development<br>Account No. | Quantity | Total Estimated Cost |                  | Total Actual Cost   |                    | Status of Work |                |  |  |
|  |   |                            |          | Original             | Revised 1        | Funds<br>Obligated2 | Funds<br>Expended2 |                |                |  |  |
| Parenti Villa<br>(continued)                   | Trip Hazard Removal                             | 1450                       |          | 0                    | 109              | 109                 | 109                | complete       |                |  |  |
|  | Striping/Seal Coat Parking Lot                  | 1450                       |          | 650                  | 650              | 650                 | 650                | complete       |                |  |  |
|  | Repair stairwells/fire escapes                  | 1460                       | 15       | 14,600               | 12,598           | 9,100               | 9,100              | ongoing        |                |  |  |
|  | Interior Painting of Stairwells                 | 1460                       |          | 20,400               | 20,400           | 20,400              | 20,400             | complete       |                |  |  |
|  | Upgrade/repair windows                          | 1460                       | 56       | 7,093                | 0                | 0                   | 0                  | cancelled      |                |  |  |
|  | Drain piping                                    | 1460                       | N/A      | 24,025               | 0                | 0                   | 0                  | cancelled      |                |  |  |
|  | Asbestos Tile Removal                           | 1460                       |          | 12,665               | 22,665           | 10,188              | 10,188             | ongoing        |                |  |  |
|  | Install Vent in Storage Shed                    | 1460                       |          | 600                  | 951              | 951                 | 951                | complete       |                |  |  |
|  | Handcapped Lever Lock-Trash Rm                  | 1460                       |          | 0                    | 3,780            | 3,780               | 3,780              | complete       |                |  |  |
|  | Repairs - Hot Water Circulation Lines           | 1460                       |          | 0                    | 610              | 610                 | 610                | complete       |                |  |  |
|  | Vinyl Flooring                                  | 1460                       |          | 10,000               | 20,716           | 6,544               | 6,544              | ongoing        |                |  |  |
|  | Upgrade Telephone System                        | 1475                       | N/A      | 10,000               | 10,000           | 1,250               | 1,250              | complete       |                |  |  |
| <b>RI001000009 Total</b>                       |   |                            |          | <b>167,433</b>       | <b>138,017</b>   | <b>95,555</b>       | <b>95,555</b>      |                | <b>95,555</b>  |  |  |
| <b>Authority-Wide</b>                          |   |                            |          |                      |                  |                     |                    |                |                |  |  |
|  | Loan Issuance Fees & Costs                      | 1430                       | N/A      | 273,450              | 282,187          | 264,487             | 264,487            | N/A            |                |  |  |
|  | Capitalized Interest                            | 1501                       | N/A      | 113,938              | 113,938          | 113,938             | 0                  | N/A            |                |  |  |
|  | Debt Service Reserve Fund                       | 1501                       | N/A      | 724,500              | 724,500          | 724,500             | 0                  | N/A            |                |  |  |
| <b>COCC Total</b>                              |   |                            |          | <b>1,111,888</b>     | <b>1,120,625</b> | <b>1,102,925</b>    | <b>264,487</b>     |                | <b>264,487</b> |  |  |



# **Attachment B**

**CFP 5-YR Action Plan**

**Capital Fund Program Five-Year Action Plan**

**Annual Plan Section 8.2**

Capital Fund Program-Five Year Action Plan

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

| Part I: Summary  |  | Providence, RI                     |                                    | Original 5-Year Plan               |                                    | Revision No: |  |
|--|--|------------------------------------|------------------------------------|------------------------------------|------------------------------------|--------------|--|
| THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI RI 43 P001 50112 |  |                                    |                                    |                                    |                                    |              |  |
| Development Number and Name  | Work Statement for Year 1 FFY 2012             | Work Statement for Year 2 FFY 2013 | Work Statement for Year 3 FFY 2014 | Work Statement for Year 4 FFY 2015 | Work Statement for Year 5 FFY 2016 |              |  |
| A.   | Annual Statement                               | 1,116,643                          | 1,083,630                          | 1,116,630                          | 1,075,630                          |              |  |
| B.   | Physical Improvements Subtotal                 | 159,044                            | 159,044                            | 159,044                            | 159,044                            |              |  |
| C.   | Management Improvements                        |                                    |                                    |                                    |                                    |              |  |
| D.   | PHA-Wide Non-dwelling Structures and Equipment |                                    |                                    |                                    |                                    |              |  |
| E.   | Administration                                 | 15,000                             | 50,000                             | 15,000                             | 55,000                             |              |  |
| F.   | Other  | 349,962                            | 349,962                            | 349,962                            | 349,962                            |              |  |
| G.   | Operations                                     | 10,000                             | 10,000                             | 10,000                             | 10,000                             |              |  |
| H.   | Demolition                                     | 699,924                            | 699,924                            | 699,924                            | 699,924                            |              |  |
| I.   | Development                                    |                                    |                                    |                                    |                                    |              |  |
| J.   | Capital Fund Financing - Debt Service          |                                    |                                    |                                    |                                    |              |  |
| K.   | Total CFP Funds                                | 1,149,050                          | 1,147,063                          | 1,149,063                          | 1,150,063                          |              |  |
| L.   | Total Non-CFP Funds                            |                                    |                                    |                                    |                                    |              |  |
| M.   | Grand Total                                    | \$ 3,499,623                       | \$ 3,499,623                       | \$ 3,499,623                       | \$ 3,499,623                       | \$ 3,499,623 |  |

Capital Fund Program-Five Year Action Plan

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

| Part II: Supporting Pages - Physical Needs Work Statement(s) |  | Work Statement for Year 2013<br>FFY 2013 |                   |  | Work Statement for Year 2014<br>FFY 2014 |                   |  |
|--|--|--|-------------------|--|--|-------------------|--|
| Work Statement for Year 1 FFY 2011                           | Development Number/Name General Description of Major Work Categories | Quantity                                 | Estimated Cost    | Development Number/Name General Description of Major Work Categories | Quantity                                 | Estimated Cost    |  |
| See Annual Statement   | R1001000001<br>Chad Brown  |  |                   | R1001000001<br>Chad Brown  |  |                   |  |
|  | Operations   |  |                   | Operations   |  |                   |  |
|  | Tree Pruning   |  | 50,359            | Tree Pruning   |  | 50,359            |  |
|  | Mold Remediation   |  | 3,598             | Mold Remediation   |  | 3,598             |  |
|  | Mold Testing   |  | 5,000             | Mold Remediation   |  | 5,000             |  |
|  | Repair/Replace Roofs   |  | 5,000             | Mold Testing   |  | 5,000             |  |
|  | Repair/Replace Gutters and Guards                                    |  | 25,000            | Exterior Repair/Paint  |  | 5,000             |  |
|  | Re-Caulk/Repaint Windows   |  | 10,000            | Repair/Replace Gas/Water Lines                                       |  | 14,349            |  |
|  | Upgrade Kitchens   |  | 8,000             | Re-Caulk/Repaint Windows   |  | 13,727            |  |
|  | Appliance Purchases  |  | 7,000             | Upgrade Kitchens   |  | 10,000            |  |
|  | Bond Repayment   |  | 5,000             | Appliance Purchases  |  | 10,000            |  |
|  |  |  | 51,642            | Repair/Replace Roofs   |  | 5,000             |  |
|  |  |  |                   | Bond Repayment   |  | 15,000            |  |
|  |  |  |                   |  |  | 51,480            |  |
|  | <b>Total</b>   |  | <b>170,599</b>    | <b>Total</b>   |  | <b>183,513</b>    |  |
|  | <b>Subtotal of Estimated Cost</b>                                    |  | <b>\$ 170,599</b> | <b>Subtotal of Estimated Cost</b>                                    |  | <b>\$ 183,513</b> |  |



Capital Fund Program-Five Year Action Plan

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

| Part II: Supporting Pages - Physical Needs Work Statement(s) |   | Work Statement for Year 2013<br>FFY 2013 |                |   | Work Statement for Year 2014<br>FFY 2014 |                |  |
|--|---|--|----------------|---|--|----------------|--|
| Work Statement for Year 1 FFY 2011                           | Development Number/Name<br>Description of Major Work Categories | Quantity                                 | Estimated Cost | Development Number/Name<br>Description of Major Work Categories | Quantity                                 | Estimated Cost |  |
| See Annual Statement   | Admiral Terrace<br>Operations                                   |  | 50,359         | Admiral Terrace<br>Operations                                   |  | 50,359         |  |
|  | Tree Pruning  |  | 3,609          | Tree Pruning  |  | 3,609          |  |
|  | Mold Remediation  |  | 5,000          | Repair/Replace Roofs  |  | 20,000         |  |
|  | Mold Testing  |  | 5,000          | Repair Gutters/Add Guards                                       |  | 10,000         |  |
|  | Repair/Replace Gas/Water Lines                                  |  | 15,000         | Upgrade Kitchens  |  | 10,000         |  |
|  | Upgrade Kitchens  |  | 7,000          | Repair/Replace Gas/Water Lines                                  |  | 15,000         |  |
|  | Re-Caulk/Repaint Windows  |  | 5,000          | Re-Caulk/Repaint Windows  |  | 5,000          |  |
|  | Heating System Repairs  |  | 7,500          | Mold Remediation  |  | 5,000          |  |
|  | Appliance Purchases   |  | 5,000          | Mold Testing  |  | 5,000          |  |
|  | Repair/Replace Roofs  |  | 20,000         | Appliance Purchase  |  | 4,500          |  |
|  | Bond Repayment  |  | 51,642         | Repaint/Seal Exterior   |  | 10,000         |  |
|  |   |  |                | Bond Repayment  |  | 51,480         |  |
|  |   |  |                |   |  |                |  |
|  | <b>Total</b>  |  | <b>175,110</b> | <b>Total</b>  |  | <b>189,948</b> |  |
|  |   |  |                |   |  |                |  |
|  | Roof Repairs  |  | 15,000         | Sunset Village<br>Reinsulate Crawl Space Bays                   |  | 15,000         |  |
|  |   |  |                | Install Metal Access Doors                                      |  | 5,000          |  |
|  | <b>Total</b>  |  | <b>15,000</b>  | <b>Total</b>  |  | <b>20,000</b>  |  |
|  |   |  |                |   |  |                |  |
|  | <b>R1001000001 Total</b>  |  | <b>360,709</b> | <b>R1001000001 Total</b>  |  | <b>393,461</b> |  |
|  |   |  |                |   |  |                |  |
|  | <b>Subtotal of Estimated Cost</b>                               |  | <b>190,110</b> | <b>Subtotal of Estimated Cost</b>                               |  | <b>209,948</b> |  |



Capital Fund Program-Five Year Action Plan

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
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| Work Statement for Year 1 FFY 2011 |  | Work Statement for Year 2013<br>FFY 2013 |                |  | Work Statement for Year 2014<br>FFY 2014 |                |  |
|------------------------------------|--|--|----------------|--|--|----------------|--|
| See Annual Statement               | Development Number/Name<br>Description of Major Work Categories<br>Scattered Sites | Quantity                                 | Estimated Cost | Development Number/Name<br>Description of Major Work Categories<br>Scattered Sites | Quantity                                 | Estimated Cost |  |
|                                    | Operations   |  | 54,253         | Operations   |  | 54,253         |  |
|                                    | Tree Pruning   |  | 3,880          | Tree Pruning   |  | 3,880          |  |
|                                    | Building Repairs (All Projects)  |  | 150,000        | Building Repairs (All Projects)  |  | 150,000        |  |
|                                    | Paint Buildings, Rebuild Porches   |  |                | Paint Buildings, Rebuild Porches   |  |                |  |
|                                    | Replace DHW Tanks, Boilers   |  |                | Replace DHW Tanks, Boilers   |  |                |  |
|                                    | Repair/Seal Foundation Cracks  |  |                | Repair/Seal Foundation Cracks  |  |                |  |
|                                    | Carb Monoxide/Smoke Detectors  |  |                | Carb Monoxide/Smoke Detectors  |  |                |  |
|                                    | Replace Windows, Deferred Painting   |  |                | Replace Windows, Deferred Painting   |  |                |  |
|                                    | Install Vinyl Siding, Vinyl Floor Tile   |  |                | Install Vinyl Siding, Vinyl Floor Tile   |  |                |  |
|                                    | Bond Repayment   |  | 55,635         | Bond Repayment   |  | 55,461         |  |
|                                    | <b>Total</b>   |  | <b>263,768</b> | <b>Total</b>   |  | <b>263,594</b> |  |
|                                    | <b>R1001000002 Total</b>   |  | <b>442,811</b> | <b>R1001000002 Total</b>   |  | <b>502,184</b> |  |
|                                    | <b>R1001000003 Hartford Park</b>   |  |                | <b>R1001000003 Hartford Park</b>   |  |                |  |
|                                    | Operations   |  | 136,440        | Operations   |  | 136,440        |  |
|                                    | A & E Fees and Costs   |  | 10,000         | A & E Fees and Costs   |  | 10,000         |  |
|                                    | Walkway Repairs  |  | 75,000         | Tree Pruning   |  | 9,745          |  |
|                                    | Mold Remediation   |  | 10,000         | LBP/Asbestos Testing   |  | 5,000          |  |
|                                    | Mold Testing   |  | 5,000          | Utility Survey   |  | 1,000          |  |
|                                    | Tree Pruning   |  | 9,745          | Walkway repairs  |  | 50,000         |  |
|                                    | <b>Subtotal of Estimated Cost</b>  |  | <b>263,768</b> | <b>Subtotal of Estimated Cost</b>  |  | <b>263,594</b> |  |







Capital Fund Program-Five Year Action Plan

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

Expires 4/30/2011

| Part II: Supporting Pages - Physical Needs Work Statement(s) |  | Work Statement for Year 2013<br>FFY 2013 |                    | Work Statement for Year 2014<br>FFY 2014                             |          |                    |
|--|--|--|--------------------|--|----------|--------------------|
| Work Statement for Year 1 FFY 2011                           | Development Number/Name General Description of Major Work Categories | Quantity                                 | Estimated Cost     | Development Number/Name General Description of Major Work Categories | Quantity | Estimated Cost     |
| See Annual Statement   | R1001000008 Kilmartin Plaza  |  |                    | R1001000008 Kilmartin Plaza  |          |                    |
|  | Operations   |  | 28,470             | Operations   |          | 28,470             |
|  | Tree Pruning   |  | 2,034              | Interior repairs Community Room                                      |          | 20,000             |
|  | Trash Chute Cleaning/Repairs   |  | 450                | Tree Pruning   |          | 2,034              |
|  | Replace Floor Tiles  |  | 5,000              | Trash Chute Cleaning/Repairs   |          | 450                |
|  | Asbestos Abatement   |  | 5,000              | Replace Floor Tiles  |          | 5,000              |
|  | Bond Repayment   |  | 29,195             | Asbestos Abatement   |          | 5,000              |
|  |  |  |                    | Bond Repayment   |          | 29,103             |
|  | <b>R1001000008 Total</b>   |  | <b>70,149</b>      | <b>R1001000008 Total</b>   |          | <b>90,057</b>      |
|  | R1001000009 Parenti Villa  |  |                    | R1001000009 Parenti Villa  |          |                    |
|  | Operations   |  | 52,105             | Operations   |          | 52,105             |
|  | Tree Pruning   |  | 3,722              | Tree Pruning   |          | 3,722              |
|  | Hallway Paint/Repairs  |  | 10,000             | Upgrade Fire Doors   |          | 15,000             |
|  | Asbestos Abatement   |  | 5,000              | Asbestos Abatement   |          | 5,000              |
|  | Replace Floor Tiles  |  | 5,000              | Replace Floor Tiles  |          | 5,000              |
|  | Repair/replace locks   |  | 8,000              | Trash Chute Cleaning/Repairs   |          | 1,150              |
|  | Trash Chute Cleaning/Repairs   |  | 1,150              | Bond Repayment   |          | 53,264             |
|  | Bond Repayment   |  | 53,432             |  |          |                    |
|  | <b>R1001000009 Total</b>   |  | <b>138,409</b>     | <b>R1001000009 Total</b>   |          | <b>135,241</b>     |
|  | <b>CFP Administrative Costs</b>                                      |  | <b>349,962</b>     | <b>CFP Administrative Costs</b>                                      |          | <b>349,962</b>     |
|  | <b>Subtotal of Estimated Cost</b>                                    |  | <b>\$3,340,579</b> | <b>Subtotal of Estimated Cost</b>                                    |          | <b>\$3,340,579</b> |





| Part II: Supporting Pages - Physical Needs Work Statement(s) |   | Work Statement for Year 2015<br>FFY 2015 |                |   | Work Statement for Year 2016<br>FFY 2016 |                |  |
|--|---|--|----------------|---|--|----------------|--|
| Work Statement for Year 1 FFY 2011                           | Development Number/Name<br>Description of Major Work Categories | Quantity                                 | Estimated Cost | Development Number/Name<br>Description of Major Work Categories | Quantity                                 | Estimated Cost |  |
| See  | Admiral Terrace   |  |                | Admiral Terrace   |  |                |  |
|  | Operations  |  | 50,359         | Operations  |  | 50,359         |  |
| Annual Statement   | Repair/Replace Roofs  |  | 10,000         | Repair/Replace Roofs  |  | 10,000         |  |
|  | Tree Pruning  |  | 3,609          | Tree Pruning  |  | 4,000          |  |
|  | Upgrade Kitchens  |  | 10,000         | Repair Gutters/add Guards                                       |  | 10,000         |  |
|  | Re-Caulk/Repaint Windows  |  | 5,000          | Upgrade Kitchens  |  | 10,000         |  |
|  | Mold Remediation  |  | 5,000          | Re-Caulk/Repaint Windows  |  | 5,000          |  |
|  | Mold Testing  |  | 5,000          | Mold Remediation  |  | 5,000          |  |
|  | Appliance Purchase  |  | 4,500          | Mold Testing  |  | 5,000          |  |
|  | Repaint/Seal Exterior   |  | 10,630         | Appliance Purchase  |  | 5,000          |  |
|  | Bond Repayment  |  | 51,624         | Repaint/Seal Exterior   |  | 10,000         |  |
|  |   |  |                | Bond Repayment  |  | 51,695         |  |
|  | <b>Total</b>  |  | <b>155,722</b> | <b>Total</b>  |  | <b>166,054</b> |  |
|  | Sunset Village  |  |                | Sunset Village  |  |                |  |
|  | Repaint Exterior Doors/Trim                                     |  | 16,417         | Repaint Exterior Doors/Trim                                     |  | 10,000         |  |
|  | <b>Total</b>  |  | <b>16,417</b>  | <b>Total</b>  |  | <b>10,000</b>  |  |
|  | R1001000001 Total   |  | 371,318        | R1001000001 Total   |  | 366,108        |  |
|  | <b>Subtotal of Estimated Cost</b>                               |  | <b>172,139</b> | <b>Subtotal of Estimated Cost</b>                               |  | <b>176,054</b> |  |

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| Part II: Supporting Pages - Physical Needs Work Statement(s) |  | Work Statement for Year 2015<br>FFY 2015 |                | Work Statement for Year 2016<br>FFY 2016                             |          |                |
|--|--|--|----------------|--|----------|----------------|
| Work Statement for Year 1 FFY 2011                           | Development Number/Name General Description of Major Work Categories | Quantity                                 | Estimated Cost | Development Number/Name General Description of Major Work Categories | Quantity | Estimated Cost |
| See Annual Statement   | R1001000002 Roger Williams Repair/Replace Windows                    |  | 10,000         | R1001000002 Roger Williams Repair/Replace Windows                    |          | 10,000         |
|  | Roof Repair  |  | 10,000         | Roof Repair  |          | 10,000         |
|  | <b>Total</b>   |  | <b>20,000</b>  | <b>Total</b>   |          | <b>20,000</b>  |
|  | <b>Codding Court</b>   |  |                | <b>Codding Court</b>   |          |                |
|  | Operations   |  | 54,253         | Operations   |          | 54,253         |
|  | Tree Pruning   |  | 3,876          | Tree Pruning   |          | 4,000          |
|  | Mold Remediation   |  | 5,000          | Mold Remediation   |          | 5,000          |
|  | Mold Testing   |  | 5,000          | Mold Testing   |          | 5,000          |
|  | Replace Domestic Water Heaters                                       |  | 5,000          | Replace Domestic Water Heaters                                       |          | 10,000         |
|  | Repair/Replace Roofs   |  | 150,000        | Repair/Replace Roofs   |          | 35,100         |
|  | Bond Repayment   |  | 55,616         | Bond Repayment   |          | 55,694         |
|  | <b>Total</b>   |  | <b>278,745</b> | <b>Total</b>   |          | <b>169,047</b> |
|  | <b>Subtotal of Estimated Cost</b>                                    |  | <b>298,745</b> | <b>Subtotal of Estimated Cost</b>                                    |          | <b>189,047</b> |

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| Part II: Supporting Pages - Physical Needs Work Statement(\$) |   | Work Statement for Year 2015<br>FFY 2015 |  | Work Statement for Year 2016<br>FFY 2016  |          |  |
|---|---|--|--|---|----------|--|
| Work Statement for Year 1 FFY 2011                            | Development Number/Name<br>Description of Major Work Categories   | Quantity                                 | Estimated Cost                               | Development Number/Name<br>Description of Major Work Categories   | Quantity | Estimated Cost                               |
| See Annual Statement  | Scattered Sites<br>Operations<br>Tree Pruning<br><i>Building Repairs (All Projects)</i><br>Paint Buildings, Rebuild Porches<br>Replace DHW Tanks, Boilers<br>Repair/Seal Foundation Cracks<br>Carb Monoxide/Smoke Detectors<br>Replace Windows, Deferred Painting<br>Install Vinyl Siding, Vinyl Floor Tile<br>Bond Repayment |  |  | Scattered Sites<br>Operations<br>Tree Pruning<br><i>Building Repairs (All Projects)</i><br>Paint Buildings, Rebuild Porches<br>Replace DHW Tanks, Boilers<br>Repair/Seal Foundation Cracks<br>Carb Monoxide/Smoke Detectors<br>Replace Windows, Deferred Painting<br>Install Vinyl Siding, Vinyl Floor Tile<br>Bond Repayment |          |  |
|   | <b>Total</b>  |  | <b>55,616</b>                                | <b>Total</b>  |          | <b>55,693</b>                                |
|   | <b>R1001000002 Total</b>  |  | <b>259,824</b>                               | <b>R1001000002 Total</b>  |          | <b>263,946</b>                               |
|   | <b>R1001000003 Hartford Park</b>  |  |  | <b>R1001000003 Hartford Park</b>  |          |  |
|   | Operations<br>A&E Fees and Costs<br>Utility Survey<br>LBP/Asbestos Testing<br>Tree Pruning  |  | 136,440<br>10,000<br>1,000<br>5,000<br>9,745 | Operations<br>A&E Fees and Costs<br>Utility Survey<br>LBP/Asbestos Testing<br>Tree Pruning  |          | 136,440<br>10,000<br>1,000<br>5,000<br>9,745 |
|   | <b>Subtotal of Estimated Cost</b>   |  | <b>259,824</b>                               | <b>Subtotal of Estimated Cost</b>   |          | <b>263,946</b>                               |

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|--|--|--|----------------|--|--|----------------|--|
| Work Statement for Year 1 FFY 2011                           | Development Number/Name General Description of Major Work Categories | Quantity                                 | Estimated Cost | Development Number/Name General Description of Major Work Categories | Quantity                                 | Estimated Cost |  |
| See  | Hartford Park (continued)  |  |                | Hartford Park (continued)  |  |                |  |
| Annual Statement   | Exterior Building Repairs  |  | 150,000        | Exterior Building Repairs  |  | 167,083        |  |
|  | LBP Abatement  |  | 5,000          | LBP Abatement  |  | 5,000          |  |
|  | Trash Chute Cleaning/Repairs   |  | 650            | Trash Chute Cleaning/Repairs   |  | 650            |  |
|  | Heat/Domestic HW System Repairs                                      |  | 15,000         | Heat/Domestic HW System Repairs                                      |  | 15,000         |  |
|  | Maintenance Vehicles/Equipment                                       |  | 15,000         | Maintenance Vehicles/Equipment                                       |  | 25,000         |  |
|  | Bond Repayment (FM/Res Svs Bldgs)                                    |  | 431,563        | Bond Repayment (FM/Res Svs Bldgs)                                    |  | 431,563        |  |
|  | Bond Repayment (CFPP)  |  | 139,866        | Bond Repayment (CFPP)  |  | 140,061        |  |
|  | <b>R1001000003 Total</b>   |  | <b>919,264</b> | <b>R1001000003 Total</b>   |  | <b>946,542</b> |  |
|  |  |  |                |  |  |                |  |
|  | R1001000004 Manton Heights   |  |                | R1001000004 Manton Heights   |  |                |  |
|  | Operations   |  | 88,632         | Operations   |  | 88,632         |  |
|  | Tree Pruning   |  | 6,332          | Tree Pruning   |  | 6,332          |  |
|  | Mold Remediation   |  | 15,000         | Mold Remediation   |  | 15,000         |  |
|  | Mold Testing   |  | 5,000          | Mold Testing   |  | 5,000          |  |
|  | Exterior Building Repairs  |  | 25,000         | Exterior Building Repairs  |  | 25,000         |  |
|  | Upgrade Exterior lighting  |  | 12,500         | Upgrade Exterior lighting  |  | 12,500         |  |
|  | Repair/Replace Gas/Water Lines                                       |  | 10,000         | Repair/Replace Gas/Water Lines                                       |  | 10,000         |  |
|  | Exterior Repairs/Paint   |  | 15,000         | Exterior Repairs/Paint   |  | 15,000         |  |
|  | Interior Repairs Mgmt Office   |  | 15,000         | Interior Repairs Mgmt Office   |  | 15,000         |  |
|  |  |  |                |  |  |                |  |
|  |  |  |                |  |  |                |  |
|  |  |  |                |  |  |                |  |
|  | <b>Subtotal of Estimated Cost</b>                                    |  | <b>919,264</b> | <b>Subtotal of Estimated Cost</b>                                    |  | <b>946,542</b> |  |

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| Part II: Supporting Pages - Physical Needs Work Statement(s) |  | Work Statement for Year 2015<br>FFY 2015 |                |  | Work Statement for Year 2016<br>FFY 2016 |                |  |
|--|--|--|----------------|--|--|----------------|--|
| Work Statement for Year 1 FFY 2011                           | Development Number/Name General Description of Major Work Categories | Quantity                                 | Estimated Cost | Development Number/Name General Description of Major Work Categories | Quantity                                 | Estimated Cost |  |
| See Annual Statement   | Manton Heights (continued)<br>Repair/Replace Roof on Brick Bldgs     |  | 75,000         | Manton Heights (continued)<br>Repair/Replace Roof on Brick Bldgs     |  | 150,000        |  |
|  | Repair/Replace Doors and Hardware                                    |  | 25,000         | Repair/Replace Doors and Hardware                                    |  | 25,000         |  |
|  | Bond Repayment   |  | 90,858         | Bond Repayment   |  | 90,984         |  |
|  | <b>R1001000004 Total</b>   |  | <b>383,322</b> | <b>R1001000004 Total</b>   |  | <b>458,448</b> |  |
|  | R1001000005 Dexter Manor   |  |                | R1001000005 Dexter Manor   |  |                |  |
|  | Operations   |  | 78,157         | Operations   |  | 78,157         |  |
|  | Tree Pruning   |  | 4,000          | Tree Pruning   |  | 4,000          |  |
|  | Upgrade Windows  |  | 12,000         | Upgrade Windows  |  | 10,000         |  |
|  | Trash Chute Cleaning   |  | 650            | Trash Chute Cleaning   |  | 650            |  |
|  | Bond Repayment   |  | 80,120         | Maintenance Vehicles/Equipment                                       |  | 30,000         |  |
|  |  |  |                | Bond Repayment   |  | 80,232         |  |
|  | <b>R1001000005 Total</b>   |  | <b>174,927</b> | <b>R1001000005 Total</b>   |  | <b>203,039</b> |  |
|  | <b>Subtotal of Estimated Cost</b>                                    |  | <b>558,249</b> | <b>Subtotal of Estimated Cost</b>                                    |  | <b>661,487</b> |  |

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| Part II: Supporting Pages - Physical Needs Work Statement(s) |   | Work Statement for Year 2015<br>FFY 2015 |                | Work Statement for Year 2016<br>FFY 2016                        |          |                  |
|--|---|--|----------------|---|----------|------------------|
| Work Statement for Year 1 FFY 2011                           | Development Number/Name<br>Description of Major Work Categories | Quantity                                 | Estimated Cost | Development Number/Name<br>Description of Major Work Categories | Quantity | Estimated Cost   |
| See Annual Statement   | R1001000006 Dominica Manor<br>Operations                        |  | 54,791         | R1001000006 Dominica Manor<br>Operations                        |          | 54,791           |
|  | Tree Pruning  |  | 3,914          | Tree Pruning  |          | 3,914            |
|  | Trash Chute Cleaning  |  | 1,150          | Trash Chute Cleaning  |          | 1,150            |
|  | Replace Shower/Tub Mixing Valve                                 |  | 10,000         | Replace Shower/Tub Mixing Valve                                 |          | 10,000           |
|  | Asbestos Abatement  |  | 20,000         | Asbestos Abatement  |          | 15,000           |
|  | Replace Floor Tiles   |  | 20,000         | Replace Floor Tiles   |          | 15,000           |
|  | Bond Repayment  |  | 56,166         | Bond Repayment  |          | 56,245           |
|  | <b>R1001000006 Total</b>  |  | <b>166,021</b> | <b>R1001000006 Total</b>  |          | <b>156,100</b>   |
|  | R1001000007 Carroll Tower<br>Operations                         |  | 52,105         | R1001000007 Carroll Tower<br>Operations                         |          | 52,105           |
|  | Upgrade/Paint Hallway   |  | 15,000         | Upgrade/Paint Hallway   |          | 10,000           |
|  | Asbestos Abatement  |  | 10,000         | Asbestos Abatement  |          | 10,000           |
|  | Replace Floor Tiles   |  | 10,000         | Replace Floor Tiles   |          | 10,000           |
|  | Trash Chute Cleaning  |  | 1,150          | Trash Chute Cleaning  |          | 1,150            |
|  | Upgrade Kitchens  |  | 20,000         | Upgrade Kitchens  |          | 10,000           |
|  | Bond Repayment CFFP   |  | 53,413         | Replace drain pipes<br>Bond Repayment CFFP                      |          | 25,000<br>53,488 |
|  | <b>R1001000007 Total</b>  |  | <b>161,668</b> | <b>R1001000007 Total</b>  |          | <b>171,743</b>   |
|  | <b>Subtotal of Estimated Cost</b>                               |  | <b>327,689</b> | <b>Subtotal of Estimated Cost</b>                               |          | <b>327,843</b>   |

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| Part II: Supporting Pages - Physical Needs Work Statement(s) |  | Work Statement for Year 2015<br>FFY 2015 |                  | Work Statement for Year 2016<br>FFY 2016                             |          |                  |
|--|--|--|------------------|--|----------|------------------|
| Work Statement for Year 1 FFY 2011                           | Development Number/Name General Description of Major Work Categories | Quantity                                 | Estimated Cost   | Development Number/Name General Description of Major Work Categories | Quantity | Estimated Cost   |
| See  | R1001000008 Kilmartin Plaza  |  |                  | R1001000008 Kilmartin Plaza  |          |                  |
| Annual Statement   | Operations   |  | 28,470           | Operations   |          | 28,470           |
|  | Repair/Replace Windows   |  | 25,000           | Repair/Replace Windows   |          | 15,000           |
|  | Tree Pruning   |  | 2,034            | Tree Pruning   |          | 2,034            |
|  | Trash Chute Cleaning   |  | 450              | Trash Chute Cleaning   |          | 450              |
|  | Replace Floor Tiles  |  | 10,000           | Replace Floor Tiles  |          | 10,000           |
|  | Asbestos Abatement   |  | 10,000           | Asbestos Abatement   |          | 10,000           |
|  | Bond Repayment   |  | 29,184           | Bond Repayment   |          | 29,225           |
|  | <b>R1001000008 Total</b>   |  | <b>105,138</b>   | <b>R1001000008 Total</b>   |          | <b>95,179</b>    |
|  | R1001000009 Parenti Villa  |  |                  | R1001000009 Parenti Villa  |          |                  |
|  | Operations   |  | 52,105           | Operations   |          | 52,105           |
|  | Tree Pruning   |  | 3,722            | Tree Pruning   |          | 3,722            |
|  | Upgrade Entrance Lobby   |  | 10,000           | Asbestos Abatement   |          | 10,000           |
|  | Asbestos Abatement   |  | 10,000           | Replace Floor Tiles  |          | 10,000           |
|  | Replace Floor Tiles  |  | 10,000           | Trash Chute Cleaning   |          | 1,150            |
|  | Trash Chute Cleaning   |  | 1,150            | Elevator Improvements/Repairs  |          | 10,000           |
|  | Elevator Improvements/Repairs  |  | 10,000           | Bond Repayment   |          | 53,488           |
|  | Bond Repayment   |  | 53,413           |  |          |                  |
|  | <b>R1001000009 Total</b>   |  | <b>150,390</b>   | <b>R1001000009 Total</b>   |          | <b>140,465</b>   |
|  | <b>CFP Administrative Costs</b>                                      |  | <b>349,962</b>   | <b>CFP Administrative Costs</b>                                      |          | <b>349,962</b>   |
|  | <b>Subtotal of Estimated Cost</b>                                    |  | <b>3,340,579</b> | <b>Subtotal of Estimated Cost</b>                                    |          | <b>3,340,579</b> |

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| Part III: Supporting Pages - Management Needs Work Statement(s) |  | Work Statement for Year: 2014<br>FFY 2014 |  |
|---|--|---|--|
| Work Statement for Year 1 FFY 2012                              | Development Number/Name General Description of Major Work Categories | Estimated Cost                            | Development Number/Name General Description of Major Work Categories |
| See Annual Statement  | R1001000001 Chad Brown<br>Police                                     | 9,353                                     | R1001000001 Chad Brown<br>Police                                     |
|   | Admiral Terrace<br>Police  | 9,353                                     | Admiral Terrace<br>Police  |
|   | R1001000002 Codding Court<br>Police                                  | 10,077                                    | R1001000002 Codding Court<br>Police                                  |
|   | Scattered Sites<br>Police  | 10,077                                    | Scattered Sites<br>Police  |
|   | R1001000003 Hartford Park<br>Police                                  | 25,341                                    | R1001000003 Hartford Park<br>Police                                  |
|   | R1001000004 Manton Heights<br>Police                                 | 16,462                                    | R1001000004 Manton Heights<br>Police                                 |
|   | R1001000005 Dexter Manor<br>Computer System Software                 | 25,000                                    | R1001000005 Dexter Manor<br>Computer System Software                 |
|   | Police   | 14,516                                    | Police   |
|   | Security Guards<br>R1001000006 Dominica Manor                        | 10,000                                    | Security Guards<br>R1001000006 Dominica Manor                        |
|   | Police   | 10,176                                    | Police   |
|   | R1001000007 Carroll Tower<br>Police                                  | 3,722                                     | R1001000007 Carroll Tower<br>Police                                  |
|   | R1001000008 Kilmartin Plaza<br>Police                                | 5,288                                     | R1001000008 Kilmartin Plaza<br>Police                                |
|   | R1001000009 Parenti Villa<br>Police                                  | 9,679                                     | R1001000009 Parenti Villa<br>Police                                  |
|   |  |   |  |
|   | <b>Subtotal of Estimated Cost</b>                                    | <b>159,044</b>                            | <b>Subtotal of Estimated Cost</b>                                    |
|   |  |   | <b>159,044</b>   |



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| Part III: Supporting Pages - Management Needs Work Statement(s) |  | Work Statement for Year: 2016<br>FFY 2016 |  |
|---|--|---|--|
| Work Statement for Year 1 FFY 2012                              | Development Number/Name General Description of Major Work Categories | Estimated Cost                            | Development Number/Name General Description of Major Work Categories |
| See Annual Statement  | R1001000001 Chad Brown<br>Police                                     | 9,353                                     | R1001000001 Chad Brown<br>Police                                     |
|   | Admiral Terrace<br>Police  | 9,353                                     | Admiral Terrace<br>Police  |
|   | R1001000002 Codding Court<br>Police                                  | 10,077                                    | R1001000002 Codding Court<br>Police                                  |
|   | Scattered Sites<br>Police  | 10,077                                    | Scattered Sites<br>Police  |
|   | R1001000003 Hartford Park<br>Police                                  | 25,341                                    | R1001000003 Hartford Park<br>Police                                  |
|   | R1001000004 Manton Heights<br>Police                                 | 16,462                                    | R1001000004 Manton Heights<br>Police                                 |
|   | R1001000005 Dexter Manor<br>Computer System Software                 | 25,000                                    | R1001000005 Dexter Manor<br>Computer System Software                 |
|   | Police   | 14,516                                    | Police   |
|   | Security Guards<br>R1001000006 Dominica Manor                        | 10,000                                    | Security Guards<br>R1001000006 Dominica Manor                        |
|   | Police   | 10,176                                    | Police   |
|   | R1001000007 Carroll Tower<br>Police                                  | 3,722                                     | R1001000007 Carroll Tower<br>Police                                  |
|   | R1001000008 Kilmartin Plaza<br>Police                                | 5,288                                     | R1001000008 Kilmartin Plaza<br>Police                                |
|   | R1001000009 Parenti Villa<br>Police                                  | 9,679                                     | R1001000009 Parenti Villa<br>Police                                  |
|   | <b>Subtotal of Estimated Cost</b>                                    | <b>159,044</b>                            | <b>Subtotal of Estimated Cost</b>                                    |
|   |  |   | <b>159,044</b>   |

# **Attachment D**

## **Resident Advisory Board Comments & PHA Analysis Challenged Elements**

**Annual Plan Section 11.0 (f) (g)**

## **Section 11.0 (f) Resident Advisory Board (RAB) Comments and PHA Analysis**

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After participating in three meetings on the Providence Housing Authority's (PHA) Annual and Five-Year Plan that included mini-presentations on each department and/or office and having significant amount of time to review all supporting documentation defined in Section 6.0 of the Annual and Five-Year Plan template, the RAB had the following comments for inclusion regarding the implemented Smoke-Free Policy:

***Smoke-Free Policy:*** The Providence Housing Authority (PHA) was awarded \$80,000 in order to support the Rhode Island Department of Health and the Mayor's Substance Abuse Prevention Campaign in a city-wide initiative to prevent tobacco use called the Tobacco-Free Providence Campaign (TFC). The PHA implemented a Smoke-Free Policy in five elderly and disabled public housing high-rises on April 31, 2011, reaching more than 1,000 residents in 5 buildings.

The goals of the policy are: (1) Reduce tobacco use and second hand smoke exposure in the PHA's five high-rise properties; and, (2) Reduce/prevent fires caused by careless smoking; and, (3) Reduce the costs for unit turnovers.

The policy states that residents and their guests are no longer able to smoke in their apartments. Smokers are directed to smoke at exterior designated smoking areas only. The Smoke-Free Lease Addendum has become a permanent feature of the PHA's resident dwelling lease for Carroll Tower, Dexter Manor, Dominica Manor, Kilmartin Plaza, and Parenti Villa. Additional bilingual, legal documents were designed such as the Smoke-Free Policy, the 3-strike Violation Policy, and Complaint Form.

In conjunction with the Providence Community Health Center (another sub-awardee of the TFC), FREE on-site smoking cessation classes and nicotine replacement therapy were offered to PHA residents and families who are interested in quitting smoking.

Various outreach activities were conducted such as pre and post implementation surveys and focus groups, various community meetings as well as numerous bilingual printed materials were designed and distributed throughout the buildings via meetings, mailings, postings, newsletters, posters, and pamphlets.

The Board of Commissioners of the Providence Housing Authority resolved on September 30, 2010 that it accepted and approved the PHA's intentions to implement a Smoke-Free Public Housing Policy at its high-rise developments. The TFC grant period ends in March 2012.

### **RAB COMMENTS:**

1. RAB members were concerned with the number of residents who, while smoking outside of the buildings, discard their cigarettes on the ground, even though there are exterior ash urns available. RAB members felt that there should be consequences for this behavior.
2. RAB members were mainly concerned with the possible loss of FREE, on-site smoking cessation services and nicotine replacement therapy provided by PCHC. Their concerns arise from a recent announcement by the PCHC Tobacco Specialists that they will no longer be providing their services to the PHA high-rise communities. (3 of the 8 present RAB members currently participate in the smoking cessation sessions).

### **PHA RESPONSE TO COMMENT 1:**

The RAB's concern regarding the blatant disregard of cigarette butts on PHA grounds highlights an implementation challenge that the PHA has encountered in the execution of the Smoke-Free Policy. Various high-rise maintenance staff has reported that in the morning shifts, they typically find a large amount of discarded cigarette butts on the ground.

The PHA anticipated various challenges with compliance with the newly implemented Smoke-Free Policy rules and regulations. The PHA viewed the maintenance reports and the recent RAB comments a direct result of the recent Smoke-Free Policy which asks residents to smoke outside in the designated smoking area, where residents are not using the smoking areas or the ashtrays. The PHA addressed this issue by posting aluminum, bilingual, NO SMOKING signs in areas where discarded cigarette butts were found by maintenance: one at Dexter Manor at the Broad Street entrance, one at Dominica Manor in back terrace, and one at Parenti Villa's front gazebo.

PHA security guards have been properly informed of the Smoke-Free Policy and violations. Only one of the five high-rise buildings is supplied with 24 hour security guard coverage. The remaining four buildings have security guards covering the first shift of the day only. The RAB was informed of the PHA Security Operation Department's Enforcement Policy regarding the smoking ban which is listed below:

#### ***The Enforcement and Reporting Role of Security***

While on patrol the security officers will enforce the Smoke Free Policy. In the above named five high-rises, residents and guests are prohibited from smoking in any and all common areas such as the lobby, elevators, hallways, and entrance ways and in their apartments. Smoking is only allowed in the designated smoking areas. The enforcement in the common areas will consist of informing a violator of their infraction and requesting that they immediately stop. The security officer will then note the incident on their security report with the heading "Smoking Violation". Enforcement of the Smoke Free Policy in an individuals' apartment will take place only when a security officer has obtained access to that apartment while in the regular performance of their duty. For instance if a Smoke Free violation is observed in an apartment while on a call to that apartment the security officer will notify the resident of the infraction and note the incident in their activity report.

The security reports are viewed daily by the Security Operations Manager or his immediate staff and all Smoke Free Violations noted on the activity reports will be entered in the Security Database. A SV code will be utilized to indicate Smoke Free Violations so that the incidents and the individuals who are in violation can be tracked and monitored for future offenses. The information on the individuals in violation will be referred to management for their follow-up disciplinary actions.

Security officers will not confront Smoke Free violators in a confrontational manner but in an informative manner. If you encounter resistance from a violator note their behavior and remarks in your security report. You must remember that in some cases this policy will be a life changing experience for some, especially long time smokers, and you will probably encounter some resistance.

RAB members were informed of the security guard's limited hours, their training regarding the Smoke-Free Policy, and noted that the guards do have other daily responsibilities. They acknowledged that discarded cigarette butts may not be the security staff's priority.

**PHA RESPONSE TO COMMENT 2:**

The Providence Community Health Center (PCHC), as a sub-awardee of the Tobacco Free Providence Campaign (TFC), provided smoking cessation services and nicotine replacement therapy (including patch, gum, and lozenges) to the 5 high-rise buildings where the Smoke-Free Policy was implemented. From April 2011 through March 2012, the PCHC conducted three 10-week sessions or *thirty weeks*, providing quit services to more than 72 residents and community members.

The PCHC Tobacco Specialists who conduct the smoking cessation sessions announced to the participating residents that the quit services are ending immediately or by March 18, 2012. The announcement to the PHA and their resident communities was made at the final moment since the PCHC was being considered for an extension of their role in the PHA high-rises and to some family developments until September 2013. Unfortunately, the extension was recently denied.

The RAB members were informed that although a new health facility, Tri-Town Health Center, was assigned to provide smoking cessation services to the Providence Community and the PHA, the details of their contract are unknown at this time. Once the information is available, the RAB members will be informed through memos, flyers, and various meetings at each site. The PHA and the RAB members are well aware of how important these services are to PHA communities.

**RESIDENT ADVISORY BOARD  
OF THE  
PROVIDENCE HOUSING AUTHORITY  
March 14, 2012**

**RESOLUTION**

---

**WHEREAS,** the Providence Housing Authority is required to prepare an Annual Plan for submission to the Department of Housing & Urban Development in April of each year; and,

**WHEREAS,** the Providence Housing Authority has prepared its twelfth episode of the Annual Plan for the fiscal year of 2012; and,

**WHEREAS,** the Resident Advisory Board has participated in the planning and review of this Annual Plan FY 2012.

**NOW, THEREFORE,** may it be resolved that the Resident Advisory Board of the Providence Housing Authority hereby approves the Providence Housing Authority's Annual Plan FY 2012.

| Resolution Action                |                          |
|----------------------------------|--------------------------|
| Moved By: Gilberta (J.T.) Taylor | Second: Dolores Cascella |
| Vote                             |                          |
| Ayes: 8                          | Nays: 0                  |
| Resolution Status                |                          |
| Passed (X)                       | Failed ( )               |

## **Section 11.0 (g) Challenged Elements**

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There were no elements of the PHA Plan that were challenged during the public review hearing held on April 4, 2012 at the PHA administrative offices located at 100 Broad Street, Providence, RI 02903.

## Certifications



**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year and/or x Annual PHA Plan for the PHA fiscal year beginning 07/2012, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Providence Housing Authority

RI 001

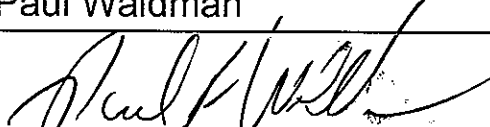
PHA Name

PHA Number/HA Code

       5-Year PHA Plan for Fiscal Years 20   - 20  

  X   Annual PHA Plan for Fiscal Years 20  <sub>12</sub> - 20  <sub>13</sub>

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

|  |   |
|--|---|
| Name of Authorized Official<br><br>Paul Waldman  | Title<br><br>Chairman, Board of Commissioners |
| Signature<br> | Date<br><br>03/22/2012                        |

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Providence Housing Authority

Program/Activity Receiving Federal Grant Funding

Capital Fund Program (CFP)

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Stephen J. O'Rourke

Title

Executive Director

Signature

*Stephen J. O'Rourke*

Date

03/22/2012

X

**Certification by State or Local  
Official of PHA Plans Consistency  
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011**

**Certification by State or Local Official of PHA Plans Consistency with the  
Consolidated Plan**

I, Gary Bliss the Director of Compliance certify that the Five Year and  
Annual PHA Plan of the Providence Housing Authority is consistent with the Consolidated Plan of  
City of Providence prepared pursuant to 24 CFR Part 91.

 4.2.2012

Signed / Dated by Appropriate State or Local Official

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

Providence Housing Authority

Program/Activity Receiving Federal Grant Funding

Capital Fund Program (CFP)

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Stephen J. O'Rourke

Title

Executive Director

Signature

*Stephen J. O'Rourke*

Date (mm/dd/yyyy)

03/22/2012

**Civil Rights Certification**

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

**Civil Rights Certification**

**Annual Certification and Board Resolution**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

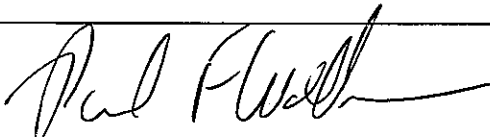
Providence Housing Authority

RI 001

PHA Name

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

|                             |   |       |                                  |
|-----------------------------|---|-------|----------------------------------|
| Name of Authorized Official | Paul F. Waldman   | Title | Chairman, Board of Commissioners |
| Signature                   |  | Date  | 03/22/2012                       |

## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

|  |   |  |
|--|---|--|
| <b>1. Type of Federal Action:</b><br><input type="checkbox"/> a. contract<br><input type="checkbox"/> b. grant<br><input type="checkbox"/> c. cooperative agreement<br><input type="checkbox"/> d. loan<br><input type="checkbox"/> e. loan guarantee<br><input type="checkbox"/> f. loan insurance  | <b>2. Status of Federal Action:</b><br><input type="checkbox"/> a. bid/offer/application<br><input type="checkbox"/> b. initial award<br><input type="checkbox"/> c. post-award | <b>3. Report Type:</b><br><input type="checkbox"/> a. initial filing<br><input type="checkbox"/> b. material change<br><b>For Material Change Only:</b><br>year _____ quarter _____<br>date of last report _____ |
| <b>4. Name and Address of Reporting Entity:</b><br><input type="checkbox"/> Prime <input type="checkbox"/> Subawardee<br>Tier _____, if known:<br><br>Congressional District, if known: 1st and 2nd  | <b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b><br><br>Congressional District, if known:   |  |
| <b>6. Federal Department/Agency:</b>   | <b>7. Federal Program Name/Description:</b><br><br>CFDA Number, if applicable: _____  |  |
| <b>8. Federal Action Number, if known:</b>   | <b>9. Award Amount, if known:</b><br>\$ _____   |  |
| <b>10. a. Name and Address of Lobbying Registrant</b><br>(if individual, last name, first name, MI):   | <b>b. Individuals Performing Services</b> (including address if different from No. 10a)<br>(last name, first name, MI):   |  |
| <b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. | Signature: <u>Stephen J. O'Rourke</u><br>Print Name: <u>Stephen J. O'Rourke</u><br>Title: <u>Executive Director</u><br>Telephone No.: <u>401-709-1101</u> Date: <u>03/22/12</u> |  |
| <b>Federal Use Only:</b>   |   | Authorized for Local Reproduction<br>Standard Form LLL (Rev. 7-97)   |