

<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
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<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Asheboro Housing Authority</u> PHA Code: <u>NC081</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>04/2012</u>				
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>200</u> Number of HCV units: <u>783</u>				
<b>3.0</b>	<b>Submission Type</b> <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
					PH      HCV
	PHA 1:				
	PHA 2:				
	PHA 3:				
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update. NA				
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:  NA				
<b>5.2</b>	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.  NA				

**PHA Plan Update**

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

- **Plan Element 1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures:** No revisions.
- **Plan Element 2. Financial Resources.** Anticipated sources and uses of funds are listed below.

Financial Resources Planned Sources and Uses		
SOURCES	Planned \$	Planned Uses
<b>1. Federal Grants</b>		
a) Public Housing Operating Fund	734,438	PH Operations
b) Public Housing Capital Fund (FY 2011)	278,565	PH Operations, Capital and Management Improvements
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	3,635,534	HAP/UAP and Section 8 HCV Operations
f) Public Housing Drug Elimination Program (Including any Technical Assistance Funds)	0	
g) Resident Opportunity and Self-Sufficiency Grants	0	
h) Community Development Block Grant	0	
i) HOME	0	
<b>Other Federal Grants:</b>		
a) HOPWA (Section 8)	50,440	Targeted Rental Assistance
b) FSS Program Coordinator (Section 8)	0	
c) Resident Participation Grant (LIPH)	4,900	Resident Activities
<b>2. Prior Year Federal Grants (unobligated funds)</b>		
a) Capital Fund FFY 2010	185,972	Capital & Mgmt. improvements and PH Operations
<b>3. Public Housing Dwelling Rental Income</b>	281,472	PH Operations and Supportive Services
<b>4. Other Income</b>		
a) Non-dwelling Rent	26,880	PH Operations
b) Interest Income	4,906	PH Operations
c) Revenue-producing Business (Laundry, other)	250	PH Operations
d) Maintenance & Charges	59,468	PH Operations
<b>5. Non-federal Sources</b>		
a) Management Fees	40,141	Business Activities & Commissioner Training
<b>TOTAL RESOURCES</b>	<b>5,302,966</b>	

6.0

- **Plan Element 3. Rent Determination:** No revisions.
- **Plan Element 4. Operations and Management:** AHA has revised its pest management policy to utilize Integrated Pest Management (IPM) programs and procedures as a way to prevent or eradicate pest infestations. (nc081j01).
- **Plan Element 5. Grievance Procedures:** No revisions.
- **Plan Element 6. Designated Housing for Elderly and Disabled Families :** AHA's Elderly Designation Plan was renewed by the Greensboro Field Office of HUD on September 15, 2011 for 2 years beginning December 8, 2011 and ending December 7, 2013.
- **Plan Element 7. Community Service and Self-Sufficiency:** No revisions have been made to the Community Service requirement.
- **Plan Element 8. Safety and Crime Prevention:** No revisions.
- **Plan Element 9. Pets.** No revisions.
- **Plan Element 10. Civil Rights Certification.** No revisions.
- **Plan Element 11. Fiscal Year Audit.** The latest audit was conducted for FY 2011 and is on file in the Asheboro Housing Authority's main office. There were no findings reported in the audit.
- **Plan Element 12. Asset Management.** Not applicable.
- **Plan Element 13. Violence Against Women Act (VAWA):** A goal of the Asheboro Housing Authority is to fully comply with the Violence against Women Act. It is our objective to work with others to prevent offenses covered by VAWA to the extent feasible.

AHA refers child or adult victims of domestic violence, dating violence, sexual assault, or stalking to appropriate service providers. AHA lacks the staff capacity to independently provide formal services or programs targeting victims of domestic violence.

6.0	<p>AHA cooperates with organizations and entities, both private and governmental, which provide shelter and/or services to victims of domestic violence. If AHA staff becomes aware that an assisted individual is a victim of domestic violence, dating violence or stalking, the victim will be referred to such providers of shelter. Some such agencies are: Family Crisis Center, Christians United Outreach Center, Department of Social Services' Adult and Child Protective Services.</p> <p>AHA also provides or offers the following activities, services, or programs that help child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing: If appropriate, in both Section 8 and Public Housing programs victims are allowed to apply for transfer, to remove the offending member from the household, and/or have the perpetrator barred from all housing authority property, regardless of whether the perpetrator was ever a member of the household.</p> <ul style="list-style-type: none"> <li>✓ City of Asheboro police cooperate with AHA to enforce North Carolina trespassing statutes.</li> </ul> <p>The AHA provides or offers the following activities, services, or programs to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families:</p> <ul style="list-style-type: none"> <li>✓ Public housing victims of domestic violence may request the perpetrator be removed from the household and/or be placed on the off-limits list.</li> <li>✓ A transfer will be granted when suitable alternative vacant units are available and if there is reason to believe this action would eliminate the recurrence of violence.</li> </ul> <p>Section 8 assisted tenants will not be denied portability to a unit located in another jurisdiction (notwithstanding the term of the existing lease, or if the family has not occupied the unit for 12 months) so long as the family has complied with all other requirements of the program and desires to move from the unit in order to protect the health or safety of a family member who is a victim of domestic or dating violence or stalking. The AHA has the following procedures in place to assure applicants and residents are aware of their rights under the Violence against Women Act:</p> <ul style="list-style-type: none"> <li>✓ In accordance with board-approved policy, AHA provides written notification to applicants, tenants, and Section 8 owners and managers, concerning the rights and obligations created under VAWA relating to confidentiality, denial of assistance, and termination of tenancy or assistance.</li> </ul> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see section 6.0 of the instructions.</p> <ul style="list-style-type: none"> <li>• Main business office of the AHA, 338 W. Wainman Avenue, Asheboro, NC 27203</li> </ul>
7.0	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p> <p>NA</p>
8.0	<p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p><b>Annual statements for CFP NC19P08150110, CFP NC19P08150111, and CFP NC19P08150112 are attached (nc081f01; nc081g01; nc081h01)</b></p>
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p><b>See Attachment nc081i01</b></p>
8.3	<p><b>Capital Fund Financing Program (CFFP).</b></p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> <p>NA</p>
9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>NA</p>

9.1	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p>NA</p>
10.0	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>NA</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>NA</p>
11.0	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) <b>(nc081a01)</b></p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) <b>(nc081b01)</b></p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) <b>(nc081c01)</b></p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) <b>(nc081d01)</b></p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) NA</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. <b>(nc081e01)</b></p> <p>(g) Challenged Elements NA</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) <b>(nc081f01; nc081g01; nc081h01)</b></p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only) <b>(nc081i01)</b></p> <p>(j) Form HUD-50077-CR, <i>Civil Rights Certification</i> NA</p>

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## Instructions form HUD-50075

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### 1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### 2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### 3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### 4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

### 5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

#### PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)  
**Note:** This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

**8.2 Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

RESOLUTION 12-30

**PHA Certifications of Compliance  
with PHA Plans and Related  
Regulations**

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the \_\_\_ 5-Year and/or  Annual PHA Plan for the PHA fiscal year beginning April 1, 2012, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. ~~The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.~~
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.



13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

ASHEBORO HOUSING AUTHORITY

NC081-1

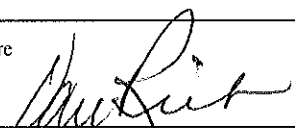
PHA Name

PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 20\_\_ - 20\_\_

Annual PHA Plan for Fiscal Years 20<sup>12</sup> - 20<sup>13</sup>

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official  VAN RICH	Title  CHAIRMAN
Signature 	Date  JANUARY 9, 2012

nc 081 b 01

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

ASHEBORO HOUSING AUTHORITY

Program/Activity Receiving Federal Grant Funding

CAPITAL FUND

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

NC081-1

SITE I - INDEPENDENCE AVENUE AND STARR COURT (ELDERLY)

SITE II - MORGAN AVENUE

SITE III - DUNLAP STREET AND MARTIN LUTHER KING, JR., DRIVE

SITE IV - FARR STREET, TIPTON DRIVE, TABOR COURT, E. PRESNELL STREET, AND VANCE STREET

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official  
FRANK L. CURRY

Title  
EXECUTIVE DIRECTOR

Signature  
*Frank L. Curry*

Date  
Jan 10, 2012

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 01/31/2014)

*mc 08/10/12*

Applicant Name

ASHEBORO HOUSING AUTHORITY

Program/Activity Receiving Federal Grant Funding

CAPITAL FUND

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all sub recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.  
**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

FRANK L. CURRY

Title

EXECUTIVE DIRECTOR

Signature



Date (mm/dd/yyyy)

*01/10/2012*

mc081d01

### DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
(See reverse for public burden disclosure.)

Approved by OMB  
0348-0046

<b>1. Type of Federal Action:</b> <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance		<b>2. Status of Federal Action:</b> <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award		<b>3. Report Type:</b> <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____	
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:			<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>  NA		
<b>6. Federal Department/Agency:</b> Department of Housing and Urban Development			<b>7. Federal Program Name/Description:</b> Capital Fund CFDA Number, if applicable: _____		
<b>8. Federal Action Number, if known:</b>			<b>9. Award Amount, if known:</b> \$		
<b>10. a. Name and Address of Lobbying Registrant</b> (if individual, last name, first name, MI):  NA			<b>b. Individuals Performing Services</b> (including address if different from No. 10a) (last name, first name, MI):		
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.			Signature: <u></u> Print Name: <u>FRANK L. CURRY</u> Title: <u>EXECUTIVE DIRECTOR</u> Telephone No.: <u>(336) 629-4146</u> Date: <u>01/10/2012</u>		
<b>Federal Use Only:</b>				Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)	

NC081e01

**Public Housing Agency Plan for FY 2012  
Membership of the Resident Advisory Board or Boards**

List members of the Resident Advisory Board or Boards

**Public Housing Members**

Betty Hurley  
Ella Jones  
Sandra Williams

**Section 8 Members**

Carol Hawks  
Edward Martin  
Reginald LaLande

**Other Attendees**

Frank Curry, Executive Director  
Kendria Eckard, Social Worker

**RESIDENT ADVISORY BOARD MEETING  
October 26, 2011**

Frank Curry, Executive Director, Asheboro Housing Authority, convened the Resident Advisory Board (RAB) meeting at 5:00 p.m., October 26, 2011 at the Asheboro Housing Authority Main Office, 338 W. Wainman Avenue, Asheboro, NC.

Mr. Curry handed out a copy and explained the purpose of the Agency Plan to members. Mr. Curry went into the details about capital improvement and what the money is used for. Mr. Curry also pointed out the breakdown of each item in the handout. Mr. Curry explained in the Agency Plan that there is a working preference for public housing waiting list. There is no preference for section 8 waiting list. Public Housing residents stated that they were pleased with the tile that was replaced in the bathrooms and would like to have that type of tile throughout the entire apartment. Mr. Curry explained once again how capital funds were going to be used, and that projects were completed in a priority manner. Residents also stated that they liked having the additional parking, but would like to see lines put for parking. Mr. Curry stated that this should be called into maintenance. Board members were told that they should feel free to contact Mr. Curry if they had any future questions or comments.

As there were no further comments or questions, Mr. Curry thanked the RAB for its concern and interest.

The meeting was adjourned at 6:15 p.m.

  
Frank L. Curry, Executive Director

  
Kendria Eckard, Social Worker

me 08/1/01

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Part I: Summary		FFY of Grant: 2010	
PHA Name:		FFY of Grant Approval:	
Asheboro Housing Authority			
Grant Type and Number			
Capital Fund Program Grant No: NC19P08150110			
Replacement Housing Factor Grant No:			
Date of CFFP:			
Type of Grant		Revised Annual Statement (revision no: 2)	
<input type="checkbox"/> Original Annual Statement		<input checked="" type="checkbox"/> Final Performance and Evaluation Report	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/11			
Summary by Development Account		Total Estimated Cost	Total Actual Cost <sup>1</sup>
Line	Original	Revised <sup>2</sup>	Obligated
1			Expended
2	Total non-CFP Funds		
3	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	70,000.00	792.41
4	1408 Management Improvements	17,000.00	558.36
5	1410 Administration (may not exceed 10% of line 21)	3,000.00	0.00
6	1411 Audit	0.00	0.00
7	1415 Liquidated Damages	0.00	0.00
8	1430 Fees and Costs	1,000.00	450.00
9	1440 Site Acquisition	0.00	0.00
10	1450 Site Improvement	28,000.00	26,437.00
11	1460 Dwelling Structures	153,439.00	154,498.25
12	1465.1 Dwelling Equipment—Nonexpendable	28,000.00	36,494.05
13	1470 Non-dwelling Structures	16,000.00	1,322.72
14	1475 Non-dwelling Equipment	9,000.00	8,546.70
15	1485 Demolition	0.00	0.00
16	1492 Moving to Work Demonstration	0.00	0.00
17	1495.1 Relocation Costs	0.00	0.00
18	1499 Development Activities <sup>4</sup>	0.00	0.00

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

**OBLIGATION START DATE: July 15, 2010**  
**OBLIGATION END DATE: July 14, 2012**  
**DISBURSEMENT END DATE: July 14, 2014**

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>		FFY of Grant: <b>2010</b>	
<b>PHA Name:</b> Asheboro Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No. NC19P08150110 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant Approval:	
<b>Type of Grant</b>	<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/11	<input type="checkbox"/> Revised Annual Statement (revision no: 2) <input type="checkbox"/> Final Performance and Evaluation Report	
Summary by Development Report		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>
		Total Estimated Cost	
		Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0.00	0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0.00	0.00
19	1502 Contingency (may not exceed 8% of line 20)	0.00	0.00
20	Amount of Annual Grant: (sum of lines 2 - 19)	325,439.00	229,099.49
21	Amount of line 20 Related to LBP Activities		144,679.39
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs	10,000.00	
25	Amount of line 20 Related to Energy Conservation Measures		
<b>Signature of Executive Director</b> <i>Thando K. Lewis</i>		<b>Signature of Public Housing Director</b>	
<b>Date</b> 11/10/2012		<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFF Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

Part II: Supporting Pages		Federal FFY of Grant:					
PHA Name:		2010					
Asheboro Housing Authority		Capital Fund Program Grant No: NC19P08150110					
Development Number Name/PHA - Wide Activities		CFFP (Yes/ No): NO					
General Description of Major Work Categories		Replacement Housing Factor Grant No:					
Development Number Name/PHA - Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised <sup>1</sup>	Funds Obligated	Funds Expended <sup>2</sup>
NC081-HA Wide	Operations	1436		70,000.00	70,000.00	792.41	0.00
NC081-HA Wide	Management Improvements	1408		17,000.00	17,000.00	558.36	558.36
NC081-HA Wide	Administration	1410		3,000.00	3,000.00	0.00	0.00
NC081-HA Wide	Fees & Costs	1430		1,000.00	1,000.00	450.00	450.00
NC081-HA Wide	Grounds & Landscaping	1450	3 Sites	25,000.00	25,000.00	24,227.00	17,372.00
NC081-HA Wide	Walks & Parking	1450	400 s.f.	2,000.00	2,000.00	2,210.00	2,210.00
NC081-HA Wide	Exterior Plumbing, Site 2	1450	9 Units	1,000.00	1,000.00	0.00	0.00
NC081-HA Wide	Water Heaters	1460	20	6,500.00	3,900.72	3,900.72	3,900.72
NC081-HA Wide	Exterior Steps, Stairs & Rails	1460	3 Bldg.	17,860.00	9,436.58	9,436.58	9,436.58
NC081-HA Wide	Building Exterior, Door Hardware	1460	200 Units	5,000.00	0.00	0.00	0.00
NC081-HA Wide	Roofs, Trim & Gutters	1460	1	1,000.00	0.00	0.00	0.00
NC081-HA Wide	Electric Service	1460	9 Bldg.	9,000.00	0.00	0.00	0.00
NC081-HA Wide	Exterior Painting	1460	1	1,000.00	0.00	0.00	0.00
NC081-HA Wide	Furnace Equipment	1460	56 Units	80,615.00	119,438.57	119,438.57	61,950.00
NC081-HA Wide	Interior Plumbing	1460	200 Units	13,125.00	14,758.81	14,758.81	0.00
NC081-HA Wide	Interior Doors	1460	6 Units	1,000.00	0.00	0.00	0.00
NC081-HA Wide	Cabinets/Countertops	1460	5 Units	5,000.00	3,879.00	3,879.00	3,879.00
NC081-HA Wide	Interior Repairs	1460	200 Units	3,239.00	0.00	0.00	0.00
NC081-HA Wide	Range Hoods	1460	1	100.00	0.00	0.00	0.00
NC081-HA Wide	Floors	1460	20 Units	5,000.00	3,084.57	3,084.57	3,084.57
NC081-HA Wide	Interior Drywall	1460	1 Unit	5,000.00	0.00	0.00	0.00

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.





mc 081201

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: NC19P08150111 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2011 FFY of Grant Approval:
PHA Name: Asheboro Housing Authority			
Type of Grant	<input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09/30/2011		
Line	Summary by Development Account	Total Estimated Cost Revised <sup>2</sup>	Total Actual Cost <sup>1</sup>
		Obligated	Expended
1	Total non-CFP Funds		
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	65,965	
3	1408 Management Improvements	7000	
4	1410 Administration (may not exceed 10% of line 21)	3000	
5	1411 Audit	0	
6	1415 Liquidated Damages	0	
7	1430 Fees and Costs	2,000	
8	1440 Site Acquisition	0	
9	1450 Site Improvement	47,000	
10	1460 Dwelling Structures	140,500	
11	1465.1 Dwelling Equipment—Non-expendable	2,000	
12	1470 Non-dwelling Structures	3,000	
13	1475 Non-dwelling Equipment	8,100	
14	1485 Demolition	0	
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs		
17	1499 Development Activities <sup>4</sup>		

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>		FFY of Grant: 2011	
PHA Name: Ashboro Housing Authority	Grant Type and Number Capital Fund Program Grant No: NC19P08150111 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant Approval:	
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09/30/2011		<input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Final Performance and Evaluation Report	
Type of Grant		Total Estimated Cost	
Line	Summary by Development Account	Original	Revised <sup>2</sup>
18a	1501 Collateralization or Debt Service paid by the PHA	0	
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	
19	1502 Contingency (may not exceed 8% of line 20)	0	
20	Amount of Annual Grant: (sum of lines 2 - 19)	278,565	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Total Actual Cost <sup>1</sup>	
<i>Franko</i>		Obligated	
Date 1/10/2012		Expended	
Signature of Public Housing Director		Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Obligation Start: August 3, 2011  
 Obligation End: August 2, 2013  
 Disbursement End Date: August 2, 2015

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Part II: Supporting Pages		Federal FFY of Grant:						
PHA Name:		2011						
Asheboro Housing Authority		Grant Type and Number Capital Fund Program Grant : NC19P08150111 CFFP (Yes/No): NO Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
NC081-HA Wide	Operations	1406		65,965				Pending
NC081-HA Wide	Management Improvements	1408		7000				Pending
NC081-HA Wide	Administration	1410		3000				Pending
NC081-HA Wide	Fees & Costs	1430		2,000				Pending
NC081-HA Wide	Grounds & Landscaping	1450		15,000				Pending
NC081-HA Wide	Walks & Parking Pads	1450	170 Yd.	25,000				Pending
NC081-HA Wide	Exterior Plumbing	1450	11 Bldgs.	7,000				Pending
NC081-HA Wide	Water Heaters	1460	40 Units	15,000				Pending
NC081-HA Wide	Exterior Steps, Stairs, & Rails	1460	1 Bldg.	1,000				Pending
NC081-HA Wide	Building Exterior	1460		1,000				Pending
NC081-HA Wide	Roof, Trim & Gutters	1460		1,000				Pending
NC081-HA Wide	Furnace Rooms	1460	106 Units	40,000				Pending
NC081-HA Wide	Exterior Painting	1460		1,000				Pending
NC081-HA Wide	Unit HVAC	1460	8 Units	5,000				Pending
NC081-HA Wide	Interior Plumbing	1460		1,000				Pending
NC081-HA Wide	Interior Doors	1460	25	1,000				Pending
NC081-HA Wide	Cabinets/Countertops	1460	2 Units	1,000				Pending
NC081-HA Wide	Interior Repairs	1460		1,000				Pending
NC081-HA Wide	Electrical Service Box (Exterior)	1460	62 Bldgs.	70,000				Pending
NC081-HA Wide	Floor Repair	1460	44 Units	2,000				Pending
NC081-HA Wide	Interior Drywall	1460		500				Pending

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.



nc 08/10/01

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Part I: Summary		FFY of Grant: 2012	
PHA Name:	Grant Type and Number	FFY of Grant Approval:	
Asheboro Housing Authority	Capital Fund Program Grant No: NC19P08150112 Replacement Housing Factor Grant No: Date of CFFP:		
Type of Grant	Revised Annual Statement (revision no: )		
<input checked="" type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Revised Annual Statement (revision no: )		
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:	<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost <sup>1</sup>
		Original	Revised <sup>2</sup> Obligated Expended
1	Total non-CFF Funds		
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	65,000	
3	1408 Management Improvements	10,000	
4	1410 Administration (may not exceed 10% of line 21)	4,000	
5	1411 Audit	0	
6	1415 Liquidated Damages	2,000	
7	1430 Fees and Costs	0	
8	1440 Site Acquisition	0	
9	1450 Site Improvement	60,000	
10	1460 Dwelling Structures	183,000	
11	1465.1 Dwelling Equipment—Nonexpendable	10,000	
12	1470 Non-dwelling Structures	7,000	
13	1475 Non-dwelling Equipment	17,000	
14	1485 Demolition	0	
15	1492 Moving to Work Demonstration	0	
16	1495.1 Relocation Costs	0	
17	1499 Development Activities <sup>4</sup>	0	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>		FFY of Grant: 2012		
PHA Name: Ashboro Housing Authority	Grant Type and Number Capital Fund Program Grant No: NC19P08150112 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant Approval:		
Type of Grant	<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Summary by Development Account <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Expended
18a	1501 Collateralization or Debt Service paid by the PHA			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment			
19	1502 Contingency (may not exceed 8% of line 20)			
20	Amount of Annual Grant: (sum of lines 2 - 19)	358,000		
21	Amount of line 20 Related to LBP Activities			
22	Amount of line 20 Related to Section 504 Activities			
23	Amount of line 20 Related to Security - Soft Costs			
24	Amount of line 20 Related to Security - Hard Costs			
25	Amount of line 20 Related to Energy Conservation Measures	1,000		
Signature of Executive Director		Date		Date
<i>Mark A. King</i>		1/10/2012		
		Signature of Public Housing Director		

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant:		
PHA Name:		Capital Fund Program Grant No: NC19P08150112		2012		
Asheboro Housing Authority		CFFP (Yes/No): NO				
		Replacement Housing Factor Grant No:				
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work
				Original	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>
NC081-HA Wide	Operations	1406		65,000		
NC081-HA Wide	Management Improvements	1408		10,000		
NC081-HA Wide	Administration	1410		4,000		
NC081-HA Wide	Fees and Costs	1430		2,000		
NC081-HA Wide	Grounds and Landscaping	1450		10,000		
NC081-HA Wide	Walks, Parking Pads	1450	10 Pads	40,000		
NC081-HA Wide	Exterior Plumbing	1450		10,000		
NC081-HA Wide	Water Heaters	1460	10	4,000		
NC081-HA Wide	Exterior Steps, Stairs, Rails	1460	50 Units	25,000		
NC081-HA Wide	Building Exterior	1460		1,000		
NC081-HA Wide	Roof, Trim, Gutters	1460		1,000		
NC081-HA Wide	Gas Furnaces	1460	53 Units	80,000		
NC081-HA Wide	Exterior Painting	1460		1,000		
NC081-HA Wide	HVAC Units	1460	30	24,000		
NC081-HA Wide	Interior Plumbing	1460		1,000		
NC081-HA Wide	Interior Doors	1460		1,000		
NC081-HA Wide	Exterior Doors	1460		1,000		
NC081-HA Wide	Cabinets & Countertops	1460		1,000		
NC081-HA Wide	Electrical Service Boxes	1460	10	1,000		

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program  
 U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226

Part II: Supporting Pages		Federal FFY of Grant:		Status of Work		
PHA Name:		2012				
Asheboro Housing Authority		Grant Type and Number				
		Capital Fund Program Grant No: NC19P08150112				
		CFFP (Yes/No): NO				
		Replacement Housing Factor Grant No:				
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>
						Funds Expended <sup>2</sup>
NC081-HA Wide	Interior Unit Floors	1460	20 Units	40,000		
NC081-HA Wide	Interior Walls	1460		2,000		
NC081-HA Wide	Refrigerators/Ranges	1465.1	20	10,000		
NC081-HA Wide	Non-dwelling Building	1470		5,000		
NC081-HA Wide	Energy Saving Devices	1470		1,000		
NC081-HA Wide	Heating & Cooling Equipment	1470		1,000		
NC081-HA Wide	Non-dwelling Equipment Replacement	1475		5,000		
NC081-HA Wide	Maintenance Replacements	1475		12,000		

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/20011

Part I: Summary		PHA Name/Number Asheboro Housing Authority NC081		Locality (City/county & State) Asheboro/Randolph County, North Carolina		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY <u>2012</u>	Work Statement for Year 2 FFY <u>2013</u>	Work Statement for Year 3 FFY <u>2014</u>	Work Statement for Year 4 FFY <u>2015</u>	Work Statement for Year 5 FFY <u>2016</u>	
	NC081, PHA Wide	Annual Statement	Asheboro Housing Authority NC081	Asheboro Housing Authority NC081	Asheboro Housing Authority NC081	Asheboro Housing Authority NC081	
	Physical Improvements Subtotal		277,000	270,000	239,000	267,000	
	Management Improvements		8,000	8,000	8,000	9,000	
	PHA-Wide Non-dwelling Structures and Equipment		29,000	33,000	53,000	40,000	
	Administration		4,000	4,000	4,000	4,000	
	Other		2,000	2,500	3,000	2,500	
	Operations		70,000	71,000	72,000	65,000	
	Demolition		0	0	0	0	
	Development		0	0	0	0	
	Capital Fund Financing – Debt Service		0	0	0	0	
	Total CFP Funds		390,000	388,500	379,000	387,500	
	Total Non-CFP Funds		0	0	0	0	
	Grand Total		390,000	388,000	379,000	387,500	





Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY <u>2012</u>	Work Statement for Year <u>2</u> FFY <u>2013</u>		Work Statement for Year: <u>3</u> FFY <u>2014</u>	
	Development Number/Name: NC081, PHA Wide General Description of Major Work Categories	Estimated Cost	Development Number/Name: NC081, PHA Wide General Description of Major Work Categories	Estimated Cost
See Annual Statement	Main Office, Operations	70,000	Main Office, Operations	71,000
	Main Office, Computer Software	4,000	Main Office, Computer Software	4,000
	Main Office, Computer Hardware	5,000	Main Office, Computer Hardware	3,500
	Main Office, Contracted Studies	2,000	Main Office, Administration (Training, Seminars, etc.)	4,000
	Main Office, Administration (Training, Seminars, etc.)	4,000	Main Office, Contracted Studies	2,500
		Subtotal of Estimated Cost	\$85,000	Subtotal of Estimated Cost



NC 081301

**ASHEBORO HOUSING AUTHORITY  
INTEGRATED PEST MANAGEMENT POLICY  
June 6, 2011**

The Asheboro Housing Authority is committed to maintaining a safe living and working environment for its residents and employees while also protecting the physical condition of its units and facilities. To this end, the AHA will utilize Integrated Pest Management (IPM) programs or procedures into the maintenance program conducted by the Authority. Pests are significant problems for families, employees, and property. Successful pest control requires a partnership between management, maintenance, pest management professionals (PMP), and residents.

**Maintenance/Management Responsibilities**

A concerted effort will be made by Maintenance and Management to *prevent* pest infestations by establishing a reporting system. Unit inspections will ensure that sanitation standards are maintained, and identify cracks and crevices that provide harborages. The inspections will also identify holes in walls, floors, and ceilings, water leaks, water damage, and clutter and rubbish on the premises. The inspector will pay special attention to storage and trash disposal areas with regard to monitoring, cleaning, and preventing clutter.

AHA will respond to residents' reports of pest problems as promptly as possible.

If physical interventions fail to prevent pest infestations, AHA will contact its certified and licensed pest management professional who will use a targeted application of pesticides, beginning with the least toxic first. Whenever possible, use of spray or liquid pesticides will be avoided.

AHA personnel are not licensed to use pesticides in family units and will not apply any pesticide in any manner.

AHA will provide all residents with written notice of intention to apply pesticides in or around their unit, at least 24 hours prior to application.

Unit leases specify housekeeping standards for living spaces and consequences for residents who repeatedly fail to follow these guidelines.

Resident apartments are to be inspected no less than annually, more frequently if required, and IPM guidelines for housekeeping will be incorporated into the inspection reports.

Management will educate residents about the importance and effectiveness of IPM and will work with residents to ensure that they prepare their living units properly for visits by pest management professionals.

**Residents**

Residents will prepare their living units for visits by pest management professionals (PMP) according to guidelines supplied to them by Management and/or the PMP.

If asked, residents will participate in pest monitoring by placing monitors, collecting them when full, and reporting on monitored pest activity. Residents will promptly report other pests they notice to management.

Residents will promptly report plumbing problems and other water leaks to management.

Residents will keep common areas clean of rubbish, food, and beverages.

To the extent possible, residents will keep their homes free of trash, clutter, dust, sitting water, food, and crumbs.

Gel baits can be deactivated by other pesticides. To avoid this, residents will not use pesticides without the express approval of AHA Management or the PMP during implementation of the IPM program.

#### **Bats and Other Pests**

Eradication treatment for bats is an issue that may not be treatable by the contracted PMP. This problem requires more specialized expertise and poses far more potential danger to residents because of the possibility of rabies transmission. AHA will handle bat infestation and eradication on a case by case basis, separately from other pest control contracts. Only Professional Licensed Bat Eradicators will be contracted for advice and services needed to prevent and/or abate bat infestation in any unit or facility owned or managed by AHA with paramount consideration given to the safety and well-being of our residents.

Other pests, such as snakes or rodents that may not be specifically covered in a pest control contract will be handled appropriately by in-house maintenance personnel or pest eradicators, depending on the urgency of the situation. Normally, non-poisonous snakes are environmentally friendly and should not be harmed.

#### **Recordkeeping**

Records of all pest management activities, including inspection records, must be maintained in an Operations Manual at the main AHA office. Records will include the authorized pesticide products used during each treatment. MSDS sheets for each different pesticide used will also be maintained.

#### **Applicability**

The contents of this policy will apply equally to all properties owned or managed by the Asheboro Housing Authority. These properties are; Wainman Homes, Inc., Brenlin Homes, Inc., and CASPN Homes.