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| PHA 5-Year and Annual Plan | U.S. Department of Housing and Urban Development Office of Public and Indian Housing | OMB No. 2577-0226 Expires 4/30/2011 |
|-----------------------------------|---|--|

| 1.0 | PHA Information PHA Name: <u>Springfield Housing Authority</u> PHA Code: <u>IL004</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>01/2012</u> | | | | | | | | | | | | |
|------------|--|----------|--------------------------------------|-------------------------------|--|----|-----|--------|--|--------|--|--------|--|
| 2.0 | Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>742</u> Number of HCV units: <u>2039</u> | | | | | | | | | | | | |
| 3.0 | Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only | | | | | | | | | | | | |
| 4.0 | PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.) | | | | | | | | | | | | |
| | Participating PHAs | PHA Code | Program(s) Included in the Consortia | Programs Not in the Consortia | No. of Units in Each Program <table border="1"> <thead> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> </tr> </tbody> </table> | PH | HCV | PHA 1: | | PHA 2: | | PHA 3: | |
| PH | HCV | | | | | | | | | | | | |
| PHA 1: | | | | | | | | | | | | | |
| PHA 2: | | | | | | | | | | | | | |
| PHA 3: | | | | | | | | | | | | | |
| 5.0 | 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update. | | | | | | | | | | | | |
| 5.1 | Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: Attachment a | | | | | | | | | | | | |
| 5.2 | Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. Attachment b | | | | | | | | | | | | |
| 6.0 | PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: Attachment c Revisions to Admissions and Continued Occupancy Plan Attachment d Submission of Section 32 Homeownership Plan (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. Springfield Housing Authority, 200 N. 11th Street, Springfield, IL 62703 | | | | | | | | | | | | |
| 7.0 | Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i> Attachment e | | | | | | | | | | | | |
| 8.0 | Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable. | | | | | | | | | | | | |
| 8.1 | Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. Attachments f thru w | | | | | | | | | | | | |
| 8.2 | Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. Attachments x and y | | | | | | | | | | | | |
| 8.3 | Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. | | | | | | | | | | | | |
| 9.0 | Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. Attachment z | | | | | | | | | | | | |

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| 9.1 | Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. Attachment aa |
| 10.0 | <p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. Attachment ab</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" Attachment ac</p> |
| 11.0 | <p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p> |

SECTION 5.1
MISSION STATEMENT

The Springfield Housing Authority is the primary leader in providing quality affordable housing to individuals and families while encouraging partnerships necessary for residents to develop self-sufficiency and to be productive members of the community.

SECTION 5.2
GOALS & OBJECTIVES

| GOALS | PROGRESS IN 2011 |
|--|--|
| Apply for additional rental vouchers as available. | The SHA applied for and was awarded 50 Family Unification Vouchers and 10 NED Vouchers. |
| Reduce public housing vacancies; meet HUD's 100% occupancy goals. Explore options to prevent a decline in occupancy. | As of July 31, 2011, SHA's occupancy across all programs was 97.4% which was an increase of 13.4 percent over the previous year. The SHA has an open waiting list and advertises if necessary to prevent declines in occupancy. |
| Leverage private or other public funds to create additional housing opportunities and acquire or build units or developments to assist SHA in neighborhood and redevelopment of older housing units. | The SHA leveraged state Trust Fund funds along with Capital Funds, Homeownership Sales Proceeds, CDBG funds and state grant initiatives to develop 41 units known as Genesis Place. Construction began in November 2010 and is expected to be complete by December 2011. The SHA will continue to seek public and private resources to rehabilitate homes surrounding Madison Park Place, North Park Place and Genesis Place and offer affordable housing options for families in need. |
| Improve the quality of assisted housing; SHA objectives are to become a high performer in both public housing management (PHAS) and voucher management (SEMAP). | For fiscal year 2010, the SHA achieved high performer status through the SEMAP scoring process for its Housing Choice Voucher program. The SHA will strive to increase its status from standard performer to high performer within its Public Housing program. |
| To increase customer satisfaction by quality maintenance service responsiveness. | In 2011, the SHA implemented a web-based customer service satisfaction survey amongst all departments for customers to report back on satisfaction with services provided. The SHA also included a tracking system for tenant generated work orders to ensure completion times meet the requirements as set forth in PHAS III for tenant generated work orders. |
| GOALS | PROGRESS IN 2011 |

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| <p>Continue to update and modernize units.</p> | <p>The SHA successfully closed out CFP 2007, 2008, ARRA 2009 through July 31, 2011. Modernization activities completed include the following: Electrical Upgrades; Roof Replacements; Siding and Windows; ADA Compliant Public Bathrooms Bonansinga and Hildebrandt; Parking lots; Vacant Unit Painting; Cycle Painting, Emergency Generator for Bonansinga; Carbon Monoxide Detectors; Playground Equipment, Vehicles; HVAC upgrades; completion of HVAC at Bonansinga Hi Rise.</p> |
| <p>Pursue demolition/disposition of aged hi-rise buildings and provide replacement public housing and vouchers.</p> | <p>The SHA submitted a demolition application to SAC for Sankey hi-rises in November 2010 that was approved in June 2011. Residents were relocated to other public housing as available and the SHA requested and received 91 replacement vouchers for the remaining residents who could not be placed in public housing units.</p> |
| <p>To increase assisted housing choices, SHA will conduct outreach efforts to potential voucher landlords and continue to expand the Section 8 Homeownership program.</p> | <p>In 2010 and 2011, the SHA Section 8 Management met with area Realtor and Landlord associations to provide outreach regarding the Housing Choice Voucher program as well as engage in opportunities to recruit new landlords on the program. Additionally, the SHA staff held monthly new landlord orientation sessions. Finally, the SHA hosted its 24th Annual Landlord Workshop in September 2010.</p> <p>The Section 8 Homeownership Program is expanded by ten (10) new vouchers each calendar year.</p> |
| <p>Continue to administer homeownership programs at Madison Park Place and North Park Place.</p> | <p>The SHA has seven (7) units leased through the lease-to-purchase program at Madison Park Place. A total of thirty-seven (37) units have been sold to date.</p> <p>The SHA has two (2) units leased through the lease-to-purchase program at North Park Place. A total of three (3) units have been sold to date.</p> |
| <p>Apply for Section 32 approval for five (5) units developed as Genesis Place. Administer Section 32 Homeownership Program upon</p> | <p>The SHA has entered the five (5) units in the PIC system and will submit the Section 32 Homeownership Plan to SAC for approval in</p> |

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| receipt of necessary SAC approvals. | September 2011. |
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| GOALS | PROGRESS IN 2011 |
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| To improve community quality of life and economic vitality SHA has implemented measures to promote income mixing in public housing to assuring access for lower income families into higher income developments such as Madison Park Place, Genesis Place and the planned Sankey Redevelopment. | The SHA has removed minimum income requirements for its developments as well as added preferences for working families to balance needs of the community with asset management requirements. |
| Implement public housing security improvements to designated developments and buildings. | <p>The SHA made use of ARRA funds to install functional, web-based security camera systems in Hildebrandt Hi-Rise and Brandon Court.</p> <p>The SHA has contracted out security services and provides 24/7 coverage to all of its properties through on-site staff during work hours and contracted security services during evenings, weekends and holidays.</p> <p>The SHA intends to use future Capital Funds to continue installing web-based security camera systems to designated developments and buildings.</p> |
| To promote self-sufficiency and asset development of families and individuals SHA will increase the number of employed persons in assisted housing units through the FSS Program. | Through the FSS program SHA has increased 7 public housing participants and 23 housing choice voucher participants in employment. |
| Provide and attract supportive services to improve residents' employability and to increase independence for the elderly or families with disabilities. | <p>The SHA has partnered with local social service providers to provide services with hi-rise residents to maintain their independent living skills.</p> <p>In 2011, the SHA applied for Service Coordinator funds from HUD to specifically recruit additional services and coordinate amongst the residents, however was not successful.</p> <p>The SHA will continue to apply for applicable funds to support this goal.</p> |

| GOALS | PROGRESS IN 2011 |
|---|---|
| <p>To ensure equal opportunity in housing for all Americans, SHA will undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, marital status and disability.</p> | <p>The SHA entered into a Voluntary Compliance Agreement in January 2011 with the HUD FHEO Office and has completed significant tasks within the Agreement to ensure equal opportunity in housing.</p> <p>The SHA will continue to implement and monitor the activities as outlined in the Voluntary Compliance Agreement.</p> |
| <p>SHA will undertake affirmative measures to provide a suitable living environment for families living in assisted housing regardless of race, color, religion, national origin, sex, marital status and disability.</p> | |
| <p>The SHA will undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required.</p> | |
| <p>To encourage and support the youth of today to become the leaders of tomorrow.</p> | <p>The SHA partnered with the Springfield Urban League's Youthbuild Program by providing opportunities for student workers to work on-site at the construction of Genesis Place.</p> <p>The SHA will continue to partner with Youthbuild activities by providing locations to conduct training (i.e. Sankey abatement, demolition and redevelopment and neighborhood revitalization initiatives).</p> |
| <p>To be the best landlord in the Springfield community and provide the most quality housing stock.</p> | |
| <p>To equip residents with skills to become self-sufficient.</p> | <p>The SHA operates an voluntary FSS Program for both the Section 8 and Public Housing programs as all of the mandatory slots have been completed.</p> <p>The SHA has 416 participants currently enrolled in the FSS Program.</p> <p>The SHA has 205 participants that have graduated from the program and has disbursed \$789,991.25 in escrow payments to eligible graduates.</p> |

| GOALS | PROGRESS IN 2011 |
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| To achieve and maintain 97% occupancy rate amongst all programs. | The SHA has extensive waiting lists to pull eligible families for assisted housing and has implemented policies and procedures for lease up of available Public Housing units and Section 8 Vouchers. |
| To improve the overall image of the SHA | |
| To establish an income stream apart from that generated by rental income. | <p>The SHA has entered into Management Agreements to manage properties for small not-for-profit agencies to sell its services/knowledge/skills/abilities to those entities without the capacity to manage properties.</p> <p>The SHA will continue to seek such partnerships and expand its services to not-for-profit groups developing affordable housing in the community.</p> |
| Apply for Emergency Capital Funds to demolish 401 and 415 West Allen (Sankey Hi-Rises); utilize CFFP, CFP, RHF and other public and private resources to redevelop the site. | <p>The SHA applied for Emergency Capital Funds for the abatement/demolition of 401 and 415 West Allen (Sankey Hi-Rises) in 2011. SHA is still awaiting approval of funding request from HUD Headquarters.</p> <p>The SHA will identify and pursue funding options in 2012 to redevelop the site inclusive of, but not limited to CFFP, CFP, RHF, CDBG, LIHTC, Trust Funds, etc.</p> |
| Develop retail space at Madison Park Place | <p>The SHA previously conducted a resident survey on the types of business they would like to see at the commercial site.</p> <p>Based upon the results, the SHA has been working with Victory Pharmacy (an independent pharmacy) to develop a long-term land lease for the site.</p> <p>Once the terms are agreed upon, the SHA will submit application to SAC for approval of the long-term land lease for the site and execute necessary documents to support the development of the commercial property.</p> |
| Develop additional affordable housing for those in need. | The SHA will apply for available Housing Choice Vouchers to expand housing |

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| | opportunities for those in need. |
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| GOALS | PROGRESS IN 2011 |
|--|---|
| Continue implementing HUD required Asset Management and project-based accounting. | |
| Complete Genesis Place by constructing 41 new units and achieving 100% occupancy. | Construction began in November 2010. Expected construction completion is December 2011. Single family homes have been turned to the SHA for leasing. The SHA has executed leases with six families as of August 31, 2011. |
| To meet and exceed expectations under PHAS III and SEMAP. | |
| To implement a neighborhood revitalization plan by acquiring properties in low income neighborhoods and either rehabilitating or developing new units. | |
| To increase availability of rental and homeownership units. | |
| Dispose of obsolete vacant land owned by the SHA, but not included in any development plans. | Over the years, the SHA acquired vacant land on which housing units were never constructed. The SHA has received independent appraisals on the lots and will request approval from SAC to sell at a minimum of fair market value with sales proceeds placed into the AMP operations. |
| Review public housing designation options for hi-rises and develop designation plan accordingly. | |

Attachment B

Section 6.0 -- PHA Plan Elements. (24 CFR 903.7)

Instructions:

*For each Element below that **HAS** changed since the last PHA Plan, using the HUD 50075 instructions, enter the “changed” text in column 3.*

*For each Element below that **HAS NOT** changed since the last PHA Plan, enter “No Change” in column 3.*

| Housing Authority # | Housing Authority Name | Fiscal Year Begin Date |
|---------------------|-------------------------------|------------------------|
| IL004 | Springfield Housing Authority | 2010 |

| | Plan Element | Column #3 |
|-----|---|--|
| 1. | Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures. | Change –ACOP Only Summary of changes Attachment |
| 2. | Financial Resources. | No Change |
| 3. | Rent Determination. | No Change |
| 4. | Operation and Management. | No Change |
| 5. | Grievance Procedures. | No Change |
| 6. | Designated Housing for Elderly and Disabled Families. | No Change |
| 7. | Community Service and Self-Sufficiency. | No Change |
| 8. | Safety and Crime Prevention. | No Change |
| 9. | Pets. | No Change |
| 10. | Civil Rights Certification. | No Change |
| 11. | Fiscal Year Audit. | Change – 2011 Audit |
| 12. | Asset Management. | No Change |

Attachment B

Section 6.0 -- PHA Plan Elements. (24 CFR 903.7)

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|-----|------------------------------------|-----------|
| 13. | Violence Against Women Act (VAWA). | No Change |
|-----|------------------------------------|-----------|

SPRINGFIELD HOUSING AUTHORITY

Public Housing

**SUMMARY OF PROPOSED REVISIONS
TO THE ADMISSIONS and CONTINUED OCCUPANCY PLAN**

| Specific Changes Through Policy | Page Number | Previous Language | Proposed Language |
|--|--------------------|---|--|
| Amend AMP 7 as Genesis Place | 15 | AMP 7 Genesis Place | AMP 8 Genesis Place |
| Increase time limit for working family preference | 16 | Employed for at least 90 days | Employed for at least 12 months |
| Distinction of alcohol abuse and relation to disruptive behavior. | 22 | | That such addiction is the cause of disruptive behavior. |
| Remove redundant language about reasonable accommodation | 23 | Applicants who do not have the ability in terms of physical or mental impairment to meet the normal and usual requirements for residency in Springfield Housing Authority property subject to the provision of reasonable a accommodations... | Similar language already exists in same section of ACOP |
| Remove specific language referring to applicant/resident ability to live independently | 33 | ...ability to live independently... | |
| Change “Housing Manager” to “Appropriate Occupancy Specialist” | 53 | Housing Manager | Appropriate Occupancy Specialist |
| Change reporting timeframe for Interim Re-examinations | 53 | 10 calendar days | 1 month |
| Change Interim Re-examination schedule | 53 | Within 10 calendar days of occurrence | During walk-in hours of the first week of the month following change in income |
| Specific Changes Through Policy | Page Number | Previous Language | Proposed Language |

| | | | |
|--|-----|----------------------|---|
| Include certified service animals as exempted “pets” in policy | 85 | | Exception of Medically Verified Service Animals |
| Change pet weight limit | 85 | 50 pounds | 40 pounds |
| Update Income Limits with most recent HUD published figures | 127 | Outdated HUD figures | Most Recent Published Figures |
| Include certified service animals as exempted “pets” in policy | 85 | | Exception of Medically Verified Service Animals |
| | | | |
| | | | |
| | | | |

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.

a. HOPE VI or Mixed Finance Modernization or Development.

Springfield Housing Authority submitted a Mixed-Finance Development Plan to HUD Washington, to build 41 units, known as Genesis Place, to replace the Major Byrd units that were demolished in 2006. This Mixed-Finance Development Plan was approved, and construction started in November 2010. The project has been broken down to include 5 straight Public Housing units and 36 mixed finance units (total of 41 units). The 5 straight Public Housing units will be ready for occupancy in August 2011. The SHA will submit a Section 32 HO Plan to SAC for these units.

The Springfield Housing Authority intends to submit a Mixed Finance Development Plan to HUD to redevelop the Sankey Hi-Rise site. The SHA will utilize CFFP, CFP, RHF, and any other available financing to redevelop the property.

b. Demolition and/or Disposition

Springfield Housing Authority contracted with Hurst Rosche Engineers to review the options of renovating or demolishing the Sankey Hi-Rise Towers. Results came back favoring demolition due to the cost comparisons of renovation vs. demolition. The SHA submitted a demolition application to SAC in November 2010. In June 2011, the SHA has received approval from the Special Applications Center to demolish the property. The timeline for resident relocation was expedited when Hurst Rosche Engineers inspected the building again in April 2011 and found that the structural integrity of the building was compromised. It was their recommendation that residents be relocated as soon as possible. As of July 31, 2011, SHA has moved all residents out of the hi-rise building and has applied for an Emergency Capital Fund Grant in the amount of \$1,700,000.00 for the abatement and demolition expenses.

Springfield Housing Authority submitted a disposition application to SAC in September 2010 for 12 units at 1111 S. 19th Street that were being leased to M.E.R.C.Y. Communities for transitional housing for homeless women and children, per HUD's letter dated February 11, 2009, instructing the SHA to dispose or return units to on-line status. The SHA received necessary approvals from the Special Applications Center and disposed of the property.

Springfield Housing Authority will be submitting an application for disposition of Madison Park Place commercial site through a long-term lease.

The SHA will further submit a disposition application for obsolete vacant lots that are not included in the neighborhood revitalization and redevelopment plans.

c. Conversion of Public Housing

d. Homeownership

SHA has previously developed homeownership units through Madison Park Place and North Park Place totaling 49 homes. Of these, 9 remain to be sold and are currently leased through an approved 5(h) Homeownership Program.

Through the development of Genesis Place, the SHA has constructed 5 Public Housing units and apply to SAC to sell them through an approved Section 32 Homeownership Program.

e. Project-based Vouchers

Springfield Housing Authority has 101 Project Based Vouchers through competitive proposal processes. The breakdown is as follows:

| | | |
|---------------------|----|----------------------|
| Timberlake Estates | 25 | Seniors |
| Union Baptist Plaza | 24 | Seniors/Near Elderly |
| Cook Street | 19 | Individuals |
| M.E.R.C.Y. Comm. | 2 | Families |
| Nehemiah I | 4 | Families |
| Haven Apts. | 8 | Families |
| Genesis Place | 5 | Families |
| Nehemiah II | 6 | Families |
| Hope Springs | 8 | Individuals |

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | Grant Type and Number | FFY of Grant: 2006 | |
|---|---|--|--------------------------------|------------|
| PHA Name: Springfield Housing Authority | | Capital Fund Program Grant No: IL06P004501-06 Replacement Housing Factor Grant No: Date of CFFP: | FFY of Grant Approval: 2006 | |
| Type of Grant | Revised Annual Statement (revision no:) | | | |
| <input type="checkbox"/> Original Annual Statement | <input type="checkbox"/> Final Performance and Evaluation Report | | | |
| <input type="checkbox"/> Performance and Evaluation Report for Period Ending: | <input checked="" type="checkbox"/> Final Performance and Evaluation Report | | | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost ¹ | |
| | | Original | Revised ² | |
| | | | Obligated | |
| | | | Expended | |
| 1 | Total non-CFP Funds | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 145,414.00 | 145,414.00 | 145,414.00 |
| 3 | 1408 Management Improvements | 290,828.00 | 290,828.00 | 290,828.00 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 145,414.00 | 145,414.00 | 145,414.00 |
| 5 | 1411 Audit | 2,500.00 | 2,500.00 | 2,500.00 |
| 6 | 1415 Liquidated Damages | | | |
| 7 | 1430 Fees and Costs | 40,000.00 | 40,000.00 | 40,000.00 |
| 8 | 1440 Site Acquisition | | | |
| 9 | 1450 Site Improvement | 26,864.50 | 26,864.50 | 26,864.50 |
| 10 | 1460 Dwelling Structures | 638,785.50 | 638,785.50 | 638,785.50 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 7,834.00 | 7,834.00 | 7,834.00 |
| 12 | 1470 Non-dwelling Structures | | | |
| 13 | 1475 Non-dwelling Equipment | 7,200.00 | 7,200.00 | 7,200.00 |
| 14 | 1485 Demolition | 20,000.00 | 20,000.00 | 20,000.00 |
| 15 | 1492 Moving to Work Demonstration | | | |
| 16 | 1495.1 Relocation Costs | | | |
| 17 | 1499 Development Activities ⁴ | 150,000.00 | 150,000.00 | 150,000.00 |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| | | | |
|---|---|---|----------------------|
| Part I: Summary | | FFY of Grant: 2006 FFY of Grant Approval: 2006 | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: IL06P004501-06 Replacement Housing Factor Grant No: Date of CFFP: | | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 7-13-2010 | | <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report | |
| Summary by Development Account | | Total Estimated Cost | |
| Line | | Original | Revised ² |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | Obligated |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | Expended |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | 1,474,840.00 | 1,474,840.00 |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | 570,409.64 | 570,409.64 |
| Signature of Executive Director | | Signature of Public Housing Director | |
| Date | | Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | Federal FFY of Grant: 2006 | | | | | |
|---|---|---|----------|----------------------|----------------------|---------------------------------|--------------------------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-06 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | Total Actual Cost | Status of Work | |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² |
| PHA Wide | Operations | 1406 | | 145,414.00 | 145,414.00 | 145,414.00 | 145,414.00 |
| | Security Salaries | 1408 | | 105,414.00 | 105,414.00 | 105,414.00 | 105,414.00 |
| | Security Benefits | 1408 | | 105,414.00 | 105,414.00 | 105,414.00 | 105,414.00 |
| | Staff Training/Travel | 1408 | | 49,995.99 | 49,995.99 | 49,995.99 | 49,995.99 |
| | Computer Upgrade | 1408 | | 6,102.79 | 6,102.79 | 6,102.79 | 6,102.79 |
| | Maintenance Agreements | 1408 | | 5,000.00 | 5,000.00 | 5,000.00 | 5,000.00 |
| | Marketing | 1408 | | 14,901.22 | 14,901.22 | 14,901.22 | 14,901.22 |
| | Energy Conservation | 1408 | | 4,000.00 | 4,000.00 | 4,000.00 | 4,000.00 |
| | FM&C Salaries | 1410 | | 71,625.90 | 71,625.90 | 71,625.90 | 71,625.90 |
| | FM&C Benefits | 1410 | | 73,788.10 | 73,788.10 | 73,788.10 | 73,788.10 |
| | Audit | 1411 | | 2,500.00 | 2,500.00 | 2,500.00 | 2,500.00 |
| | A&E Fees and Costs | 1430 | | 40,000.00 | 40,000.00 | 40,000.00 | 40,000.00 |
| AMP 1 | Landscape | 1450 | | 10,260.00 | 10,260.00 | 10,260.00 | 10,260.00 |
| | Roof Replacement | 1460 | | 227,468.60 | 227,468.60 | 227,468.60 | 227,468.60 |
| | HVAC | 1460 | | 64,426.44 | 64,426.44 | 64,426.44 | 64,426.44 |
| | Door Jamb Reinforces | 1460 | | 2,173.28 | 2,173.28 | 2,173.28 | 2,173.28 |
| | Vehicle | 1475 | | 3,600.00 | 3,600.00 | 3,600.00 | 3,600.00 |
| AMP 2 | Landscape | 1450 | | 11,486.00 | 11,486.00 | 11,486.00 | 11,486.00 |
| | HVAC | 1460 | | 48,291.59 | 48,291.59 | 48,291.59 | 48,291.59 |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | Grant Type and Number | | FFY of Grant: 2007 |
|---|---|--|----------------------|--------------------------------|
| PHA Name: Springfield Housing Authority | | Capital Fund Program Grant No: IL06P004501-07 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant Approval: |
| Type of Grant | <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: | <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Final Performance and Evaluation Report | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ |
| | | Original | Revised ² | Obligated |
| | | | | Expended |
| 1 | Total non-CFP Funds | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 158,103.10 | 158,103.10 | 158,103.10 |
| 3 | 1408 Management Improvements | 316,206.20 | 316,206.20 | 306,213.06 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 158,103.10 | 158,103.10 | 158,103.10 |
| 5 | 1411 Audit | 2,500.00 | 2,500.00 | 2,500.00 |
| 6 | 1415 Liquidated Damages | | | |
| 7 | 1430 Fees and Costs | 45,000.00 | 45,000.00 | 45,000.00 |
| 8 | 1440 Site Acquisition | | | |
| 9 | 1450 Site Improvement | 16,781.00 | 16,781.00 | 16,781.00 |
| 10 | 1460 Dwelling Structures | 699,077.63 | 699,077.63 | 699,077.63 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 8,204.00 | 8,204.00 | 8,204.00 |
| 12 | 1470 Non-dwelling Structures | | | |
| 13 | 1475 Non-dwelling Equipment | 27,055.97 | 27,055.97 | 27,055.97 |
| 14 | 1485 Demolition | | | |
| 15 | 1492 Moving to Work Demonstration | | | |
| 16 | 1495.1 Relocation Costs | | | |
| 17 | 1499 Development Activities ⁴ | 150,000.00 | 150,000.00 | 150,000.00 |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| | | | |
|--|--|--|----------------------|
| Part I: Summary | | FFY of Grant: 2007 | |
| PHA Name: Springfield Housing Authority | | FFY of Grant Approval: | |
| Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 Replacement Housing Factor Grant No: Date of CFPP: | | | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: | | <input type="checkbox"/> Revised Annual Statement (revision no: Close Out) <input checked="" type="checkbox"/> Final Performance and Evaluation Report | |
| Summary by Development Account | | Total Estimated Cost | |
| Line | | Original | Revised ² |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | 1,581,031.00 | 1,581,031.00 |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director | | Signature of Public Housing Director | |
| Date | | Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFPP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part II: Supporting Pages | | Federal FFY of Grant: 2007 | | | | | | |
|---|---|---|----------|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 CFPP (Yes/ No): Replacement Housing Factor Grant No: | | | | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| PHA Wide | Operations | 1406 | | 158,103.10 | 158,103.10 | 158,103.10 | 158,103.10 | |
| PHA Wide | Marketing | 1408 | | 30,000.00 | 30,000.00 | 30,000.00 | 30,000.00 | |
| | Staff Training/Travel | 1408 | | 52,306.30 | 52,306.30 | 52,306.30 | 52,306.30 | |
| | Upgrade Computers | 1408 | | 110,577.44 | 110,577.44 | 110,577.44 | 110,577.44 | |
| | Preventive Maintenance | 1408. | | 109,947.46 | 109,947.46 | 109,947.46 | 109,947.46 | |
| | Salary Comparability Study | 1408 | | 13,375.00 | 13,375.00 | 13,375.00 | 13,375.00 | |
| PHA Wide | FM&C Salaries | 1410 | | 79,051.55 | 79,051.55 | 79,051.55 | 79,051.55 | |
| | FM&C Benefits | 1410 | | 79,051.55 | 79,051.55 | 79,051.55 | 79,051.55 | |
| PHA Wide | Audit | 1411 | | 2,500.00 | 2,500.00 | 2,500.00 | 2,500.00 | |
| PHA Wide | Architectural Fees & Costs | 1430 | | 40,050.00 | 40,050.00 | 40,050.00 | 40,050.00 | |
| | Asbestos Study | 1430 | | 4,950.00 | 4,950.00 | 4,950.00 | 4,950.00 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

| Part II: Supporting Pages | | Federal FFY of Grant: 2007 | | | | | |
|---|---|--|----------|----------------------|----------------------|---------------------------------|--------------------------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 CFEP (Yes/No): Replacement Housing Factor Grant No: | | | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | Total Actual Cost | Status of Work | |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² |
| AMP 1 | Water Heaters | 1460 | | 12,249.40 | 12,249.40 | 12,249.40 | 12,249.40 |
| | Interior/Exterior Outlets | 1460 | | 2,513.96 | 2,513.96 | 2,513.96 | 2,513.96 |
| | Carbon Monoxide Detectors | 1460 | | 9,923.85 | 9,923.85 | 9,923.85 | 9,923.85 |
| | HVAC Phase 1 | 1460 | | 51,040.20 | 51,040.20 | 51,040.20 | 51,040.20 |
| | Replace Siding & Soffits | 1460 | | 82,500.00 | 82,500.00 | 82,500.00 | 82,500.00 |
| | Cycle Painting | 1460 | | 12,578.61 | 12,578.61 | 12,578.61 | 12,578.61 |
| | Latches for Storm Doors | 1460 | | 760.00 | 760.00 | 760.00 | 760.00 |
| | | | | | | | |
| | Reseal Parking Areas | 1450 | | 6,144.00 | 6,144.00 | 6,144.00 | 6,144.00 |
| | | | | | | | |
| | Stoves & Refrigerators | 1465 | | 4,510.00 | 4,510.00 | 4,510.00 | 4,510.00 |
| | | | | | | | |
| AMP 2 | Water Heaters | 1460 | | 0.00 | 0.00 | 0.00 | 0.00 |
| | Replace HVAC | 1460 | | 53,290.21 | 53,290.21 | 53,290.21 | 53,290.21 |
| | Interior/Exterior Outlets | 1460 | | 0.00 | 0.00 | 0.00 | 0.00 |
| | Replace Siding/Soffits | 1460 | | 47,606.01 | 47,606.01 | 47,606.01 | 47,606.01 |
| | Carbon Monoxide Detectors | 1460 | | 5,871.78 | 5,871.78 | 5,871.78 | 5,871.78 |
| | Cycle Painting | 1460 | | 5,751.97 | 5,751.97 | 5,751.97 | 5,751.97 |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

| Part II: Supporting Pages | | Federal FFY of Grant: 2007 | | | | | |
|--|---|--|----------|----------------------|----------------------|---------------------------------|--------------------------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number | | | | | |
| Capital Fund Program Grant No: IL06P004501-07 | | Capital Fund Program Grant No: IL06P004501-07 | | | | | |
| CHFP (Yes/No): | | CHFP (Yes/No): | | | | | |
| Replacement Housing Factor Grant No: | | Replacement Housing Factor Grant No: | | | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | Total Actual Cost | Status of Work | |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² |
| AMP 2 | AMP 2 Wide Lock Changes | 1460 | | 48,921.06 | 48,921.06 | 48,921.06 | 48,921.06 |
| | Reseal Parking Lots | 1450 | | 3,072.00 | 3,072.00 | 3,072.00 | 3,072.00 |
| | Stove & Refrigerators | 1465 | | 3,694.00 | 3,694.00 | 3,694.00 | 3,694.00 |
| AMP 3 | A/C Installation Bonansinga | 1460 | | 263,709.24 | 263,709.24 | 263,709.24 | 263,709.24 |
| | Replace Risers & Shut Off Valves | 1460 | | 5,163.00 | 5,163.00 | 5,163.00 | 5,163.00 |
| | Carbon Monoxide Detectors | 1460 | | 23,402.93 | 23,402.93 | 23,402.93 | 23,402.93 |
| | Cycle Painting | 1460 | | 12,741.17 | 12,741.17 | 12,741.17 | 12,741.17 |
| | Trash Chute Door | 1460 | | 960.00 | 960.00 | 960.00 | 960.00 |
| | Trash Bin Enclosure Bonansinga | 1460 | | 19,400.00 | 19,400.00 | 19,400.00 | 19,400.00 |
| | Electrical work 1111 S. 19 th Street | 1460 | | 1,675.00 | 1,675.00 | 1,675.00 | 1,675.00 |
| | Mini Blind Installation | 1460 | | 11,038.88 | 11,038.88 | 11,038.88 | 11,038.88 |
| | Clean Sanitize Trash Chutes | 1460 | | 5,473.00 | 5,473.00 | 5,473.00 | 5,473.00 |
| | Bonansinga Lobby Paint | 1460 | | 2,695.00 | 2,695.00 | 2,695.00 | 2,695.00 |
| | ADA Bathroom Hi-Rises | 1460 | | 1,400.00 | 1,400.00 | 1,400.00 | 1,400.00 |
| | Reseal Parking Areas | 1450 | | 4,075.00 | 4,075.00 | 4,075.00 | 4,075.00 |
| | Maintenance Equipment | 1475 | | 3,365.74 | 3,365.74 | 3,365.74 | 3,365.74 |
| AMP 4 | Carbon Monoxide Detectors | 1460 | | 1,172.00 | 1,172.00 | 1,172.00 | 1,172.00 |
| AMP 6 | Carbon Monoxide Detectors | 1460 | | 720.00 | 720.00 | 720.00 | 720.00 |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program


U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | Grant Type and Number | | FFY of Grant: 2008 | |
|--|--|---|----------------------------|-------------------------------|--------------------------------------|
| PHA Name: Springfield Housing Authority | | Capital Fund Program Grant No: IL06P00450108 | | FFY of Grant Approval: | |
| Replacement Housing Factor Grant No: | | Date of CFFP: | | | |
| Type of Grant | | <input type="checkbox"/> Reserve for Disasters/Emergencies | | | |
| <input type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2011 | | | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2011 | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | Revised² | Obligated | Total Actual Cost¹ |
| | Original | | | | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 148,932.00 | 148,932.00 | 148,932.00 | 148,932.00 |
| 3 | 1408 Management Improvements | 30,000.00 | 30,000.00 | 30,000.00 | 30,000.00 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 121,184.11 | 121,184.11 | 121,184.11 | 121,184.11 |
| 5 | 1411 Audit | 2,500.00 | 2,500.00 | 2,500.00 | 2,500.00 |
| 6 | 1415 Liquidated Damages | 0.00 | 0.00 | 0.00 | 0.00 |
| 7 | 1430 Fees and Costs | 55,000.00 | 55,000.00 | 55,000.00 | 44,479.02 |
| 8 | 1440 Site Acquisition | 0.00 | 0.00 | 0.00 | 0.00 |
| 9 | 1450 Site Improvement | 84,190.15 | 83,360.97 | 83,360.97 | 83,360.97 |
| 10 | 1460 Dwelling Structures | 796,872.02 | 797,701.20 | 797,701.20 | 797,701.20 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 0.00 | 0.00 | 0.00 | 0.00 |
| 12 | 1470 Non-dwelling Structures | 30,000.00 | 25,634.00 | 25,634.00 | 25,634.00 |
| 13 | 1475 Non-dwelling Equipment | 75,013.72 | 75,013.72 | 75,013.72 | 75,013.72 |
| 14 | 1485 Demolition | 0.00 | 0.00 | 0.00 | 0.00 |
| 15 | 1492 Moving to Work Demonstration | 0.00 | 0.00 | 0.00 | 0.00 |
| 16 | 1495.1 Relocation Costs | 0.00 | 0.00 | 0.00 | 0.00 |
| 17 | 1499 Development Activities ⁴ | 150,000.00 | 150,000.00 | 150,000.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| | | | |
|---|--|---|--------------------------------|
| Part I: Summary | | FFY of Grant: 2008 | |
| PHA Name: Springfield Housing Authority | | FFY of Grant Approval: | |
| Grant Type and Number Capital Fund Program Grant No: IL06P00450108 Replacement Housing Factor Grant No: Date of CFFP: | | | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2011 | | <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Final Performance and Evaluation Report | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost ¹ |
| | | Original | Revised ² |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | 0.00 | 0.00 |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | 0.00 | 0.00 |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | 0.00 | 0.00 |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | 1,489,326.00 | 1,489,326.00 |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director  | | Signature of Public Housing Director | |
| Date 6/29/11 | | Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | Federal FFY of Grant: 2008 | | | | | |
|---|---|--|----------|----------------------|----------------------|---------------------------------|--------------------------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P00450108 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | Total Actual Cost | Status of Work | |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² |
| PHA Wide | Operations | 1406 | | 148,932.00 | | 148,932.00 | 148,932.00 |
| PHA Wide | TOTAL 1406 | 1406 | | 148,932.00 | | 148,932.00 | 148,932.00 |
| PHA Wide | Marketing | 1408 | | 10,000.00 | | 10,000.00 | 10,000.00 |
| | Preventive Maintenance | 1408 | | 0.00 | | 0.00 | 0.00 |
| | Upgrade Computer Systems | 1408 | | 20,000.00 | | 20,000.00 | 20,000.00 |
| PHA Wide | TOTAL 1408 | 1408 | | 30,000.00 | | 30,000.00 | 30,000.00 |
| PHA Wide | FM&C Salaries | 1410 | | 70,000.00 | | 70,000.00 | 70,000.00 |
| PHA Wide | FM&C Benefits | 1410 | | 51,184.11 | | 51,184.11 | 51,184.11 |
| PHA Wide | TOTAL 1410 | 1410 | | 121,184.11 | | 121,184.11 | 121,184.11 |
| PHA Wide | Audit | 1411 | | 2,500.00 | | 2,500.00 | 2,500.00 |
| PHA Wide | TOTAL 1411 | 1411 | | 2,500.00 | | 2,500.00 | 2,500.00 |
| PHA Wide | Fees and Costs | 1430 | | 48,290.50 | 48,290.50 | 48,290.50 | 37,769.52 |
| PHA Wide | Asbestos Study | 1430 | | 6,709.50 | 6,709.50 | 6,709.50 | 6,709.50 |
| PHA Wide | TOTAL 1430 | 1430 | | 55,000.00 | 55,000.00 | 55,000.00 | 44,479.02 |
| PHA Wide | Computer Hardware | 1475 | | 20,759.66 | 20,759.66 | 20,759.66 | 20,759.66 |
| PHA Wide | Non Passenger Vehicle | 1475 | | 19,240.34 | 19,240.34 | 19,240.34 | 19,240.34 |
| PHA Wide | TOTAL 1475 | 1475 | | 40,000.00 | | 12,680.46 | 12,680.46 |
| PHA Wide | Vacant Unit Painting | 1460 | | 53,361.35 | 53,361.35 | 53,361.35 | 53,361.35 |
| AMP I | Landscape | 1450 | | 10,616.23 | 10,616.23 | 10,616.23 | 10,616.23 |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

| Part II: Supporting Pages | | Federal FFY of Grant: 2008 | | | | |
|---|---|---|----------|--|--|----------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P00450108 CFFP (Yes/ No): No Replacement Housing Factor Grant No: | | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost Original Revised ¹ | Total Actual Cost Funds Obligated ² Funds Expended ² | Status of Work |
| AMP 1 | Playground Equipment | 1475 | | 26,960.56 | 26,960.56 | 26,960.56 |
| | Smoke Detectors | 1460 | | 9,550.00 | 9,550.00 | 9,550.00 |
| | Roof Replacements | 1460 | | 171,008.70 | 171,008.70 | 171,008.70 |
| | Power Washing | 1460 | | 690.00 | 690.00 | 690.00 |
| AMP 2 | Sidewalks/Landscape | 1450 | | 25,000.00 | 24,170.82 | 24,170.82 |
| | Power Wash | 1460 | | 10,310.00 | 10,310.00 | 10,310.00 |
| | Smoke Detectors | 1460 | | 4,431.20 | 4,431.20 | 4,431.20 |
| | Siding/Stanton Ave/ Seven Pines | 1460 | | 68,940.71 | 70,219.88 | 70,219.88 |
| | Lock Changes | 1460 | | 20,059.29 | 19,609.30 | 19,609.30 |
| | Playground Equipment | 1475 | | 8,053.16 | 8,053.16 | 8,053.16 |
| AMP 3 | Landscape/Parking Lots | 1450 | | 48,573.92 | 48,573.92 | 48,573.92 |
| | Sprinkler System | 1460 | | 5,090.08 | 5,090.08 | 5,090.08 |
| | Smoke Detectors | 1460 | | 0.00 | 0.00 | 0.00 |
| | HVAC/Bonansinga | 1460 | | 365,869.89 | 365,869.89 | 365,869.89 |
| | Plumbing Lines | 1460 | | 87,560.80 | 87,560.80 | 87,560.80 |
| | Exit Signage All Hi Rises | 1470 | | 3,574.00 | 3,574.00 | 3,574.00 |
| | Abate Maint Room Bonansinga | 1470 | | 22,060.00 | 22,060.00 | 22,060.00 |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | Grant Type and Number | | FFY of Grant: 2009 FFY of Grant Approval: | |
|--|--|---|----------------------|--|------------|
| PHA Name: Springfield Housing Authority | | Capital Fund Program Grant No: IL06P00450109 Replacement Housing Factor Grant No: Date of CFFP: | | | |
| Type of Grant | | Reserve for Disasters/Emergencies | | | |
| <input type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Reserve for Disasters/Emergencies | | | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2011 | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 200,000.00 | 200,000.00 | 200,000.00 | 200,000.00 |
| 3 | 1408 Management Improvements | 75,000.00 | 75,000.00 | 75,000.00 | 75,000.00 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 147,693.00 | 147,693.00 | 147,693.00 | 147,693.00 |
| 5 | 1411 Audit | 3,000.00 | 3,000.00 | 3,000.00 | 3,000.00 |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 67,507.00 | 67,507.00 | 67,507.00 | 14,625.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 11,303.80 | 18,503.80 | 18,503.80 | 18,503.80 |
| 10 | 1460 Dwelling Structures | 393,402.99 | 386,212.79 | 386,212.79 | 338,672.48 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 60,210.71 | 60,524.81 | 60,524.81 | 60,524.81 |
| 12 | 1470 Non-dwelling Structures | 26,000.00 | 25,572.14 | 25,572.14 | 25,572.14 |
| 13 | 1475 Non-dwelling Equipment | 79,062.50 | 79,062.50 | 78,899.00 | 78,899.00 |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | 413,750.00 | 413,750.00 | 413,750.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| | | | |
|---|---|---|--------------------------------------|
| Part I: Summary | | FFY of Grant: 2009 FFY of Grant Approval: | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: IL06P00450109 Replacement Housing Factor Grant No: Date of CFFP: | | |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 | | <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost¹ |
| | | Original | Obligated Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | 0.00 | |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | 1,476,930.00 | 1,479,930.00 |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Activities | 358.50 | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | 589,560.00 | |
| Signature of Executive Director | | Signature of Public Housing Director | |
| <i>[Signature]</i> | | <i>[Signature]</i> | |
| Date | | Date | |
| | | | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | Federal FFY of Grant: 2009 | | | | | | |
|---|---|---|----------|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P00450109 CFFP (Yes/ No): No Replacement Housing Factor Grant No: | | | | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| AMP 1 | Appliances | 1465.1 | 37 | 20,915.95 | 20,915.95 | 20,915.95 | 20,915.95 | |
| | Landscape/Parking Areas/Fences | 1450 | | 5,700.00 | 5,700.00 | 5,700.00 | 5,700.00 | |
| | Entry Doors | 1460 | 60 | 1,909.00 | 1,909.00 | 1,909.00 | 1,909.00 | |
| | Roof Replacements | 1460 | 10 | 21,588.64 | 21,588.64 | 21,588.64 | 21,588.64 | |
| | HVAC | 1460 | 40 | 58,321.37 | 58,321.37 | 58,321.37 | 58,321.37 | |
| | Flush Valves | 1460 | 342 | 2,264.38 | 2,264.38 | 2,264.38 | 2,264.38 | |
| | Safe T Elements for Electric Ranges | 1460 | 142 | 2,085.00 | 2,085.00 | 2,085.00 | 2,085.00 | |
| | Vinyl Siding/Kitchen Update | 1460 | 2 | 6,302.08 | 8,302.08 | 8,302.08 | 8,302.08 | |
| | Installation of Playground Equipment | 1470 | 1 | 18,378.75 | 18,378.75 | 18,378.75 | 18,378.75 | |
| AMP 2 | Appliances | 1465.1 | 37 | 15,000.00 | 21,380.16 | 21,380.16 | 21,380.16 | |
| | Landscape | 1450 | | 5,603.80 | 5,603.80 | 5,603.80 | 5,603.80 | |
| | Roof Replacements | 1460 | 11 | 76,150.22 | 76,150.22 | 76,150.22 | 76,150.22 | |
| | Siding Seven Pines | 1460 | | 0.00 | 2,170.82 | 2,170.82 | 2,170.82 | |
| | Safe T Elements for Electric Ranges | 1460 | 114 | 6,060.02 | 6,060.02 | 6,060.02 | 3,808.00 | |
| | Flush Valves | 1460 | 142 | 1,256.00 | 153.20 | 153.20 | 0.00 | |
| | Installation of Playground Equipment | 1470 | 1 | 7,621.25 | 7,193.39 | 7,193.39 | 7,193.39 | |
| | Vehicle for AMP Manager | 1475 | 1 | 17,879.70 | 17,879.70 | 17,879.70 | 17,716.20 | |
| AMP 1 | Furnace Room Locks | 1460 | 76 | 5,237.78 | 5,237.78 | 5,237.78 | 5,237.78 | |
| AMP 2 | HVAC Johnson Park | 1460 | | 3,744.00 | 3,744.00 | 3,744.00 | 3,744.00 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

| Part II: Supporting Pages | | Federal FFY of Grant: 2009 | | | | | |
|---|---|--|----------|----------------------|----------------------|---------------------------------|--------------------------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P00450109 CFFP (Yes/No): No Replacement Housing Factor Grant No: | | | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | Total Actual Cost | Status of Work | |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² |
| AMP 3 | Curbs Parking Lot | 1450 | | 0.00 | 7,200.00 | 7,200.00 | 7,200.00 |
| PHA Wide | Vacant Unit Painting | 1450 | | 0.00 | 6,638.65 | 6,638.65 | 6,638.65 |
| | HVAC | 1460 | | 108,574.24 | 108,574.24 | 108,574.24 | 108,574.24 |
| | Section 504 Compliance | 1460 | | 358.50 | 358.50 | 358.50 | 358.50 |
| | Safe T Elements for Electric Ranges | 1460 | 372 | 14,000.00 | 2,809.80 | 2,809.80 | 2,809.80 |
| | Paint Hallways, Bonansinga/Vacant Unit | 1460 | | 41,138.65 | 34,580.00 | 34,580.00 | 34,580.00 |
| | Window Replacement Hildebrandt | 1460 | | 44,413.11 | 44,413.11 | 44,413.11 | 0.00 |
| | Appliances | 1465.1 | 15 | 18,505.00 | 18,505.00 | 18,505.00 | 18,505.00 |
| | Vehicle for AMP Manager | 1475 | 1 | 18,166.20 | 18,166.20 | 18,166.20 | 18,166.20 |
| PHA WIDE | 4 x 4 Snow Removal Vehicle/Const Mgr | 1475 | 2 | 43,016.60 | 43,016.60 | 43,016.60 | 43,016.60 |
| | Operations | 1406 | | 200,000.00 | 200,000.00 | 200,000.00 | 200,000.00 |
| | Staff Training | 1408 | | 25,000.00 | 30,200.00 | 30,200.00 | 30,200.00 |
| | Marketing | 1408 | | 10,000.00 | 13,000.00 | 13,000.00 | 13,000.00 |
| | Preventive Maintenance | 1408 | | 15,000.00 | 3,000.00 | 3,000.00 | 3,000.00 |
| | Computer Improvements | 1408 | | 25,000.00 | 28,800.00 | 28,800.00 | 28,800.00 |
| | Administration | 1410 | | 147,693.00 | 147,693.00 | 147,693.00 | 147,693.00 |
| | Audit | 1411 | | 3,000.00 | 3,000.00 | 3,000.00 | 3,000.00 |
| | Fees and Costs | 1430 | | 52,507.00 | 52,507.00 | 52,507.00 | 0.00 |
| | Asbestos | 1430 | | 15,000.00 | 15,000.00 | 15,000.00 | 15,000.00 |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | Grant Type and Number Capital Fund Program Grant No: IL06S00450109 Replacement Housing Factor Grant No: Date of CFFP: | FFY of Grant: 2009 ARRA FFY of Grant Approval: |
|--|--|--|---|
| PHA Name: Springfield Housing Authority | | | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: | | | |
| <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) | | <input type="checkbox"/> Final Performance and Evaluation Report | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost ¹ |
| | | Original | Revised ² |
| | | Obligated | Expended |
| 1 | Total non-CFP Funds | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | |
| 3 | 1408 Management Improvements | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 200,000.00 | 200,000.00 |
| 5 | 1411 Audit | | |
| 6 | 1415 Liquidated Damages | | |
| 7 | 1430 Fees and Costs | 93,081.64 | 93,081.64 |
| 8 | 1440 Site Acquisition | | |
| 9 | 1450 Site Improvement | 64,885.00 | 64,885.00 |
| 10 | 1460 Dwelling Structures | 1,559,071.36 | 1,559,071.36 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 103,669.00 | 103,669.00 |
| 12 | 1470 Non-dwelling Structures | | |
| 13 | 1475 Non-dwelling Equipment | | |
| 14 | 1485 Demolition | | |
| 15 | 1492 Moving to Work Demonstration | | |
| 16 | 1495.1 Relocation Costs | | |
| 17 | 1499 Development Activities ⁴ | 0.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| | | | |
|---|--|--|--------------------------------|
| Part I: Summary | | FFY of Grant: 2009 ARA | |
| PHA Name: 20Springfield Housing Authority | | FFY of Grant Approval: | |
| Grant Type and Number Capital Fund Program Grant No: IL06S00450109 Replacement Housing Factor Grant No: Date of CFFP: | | | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Performance and Evaluation Report for Period Ending: | | <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 4) <input type="checkbox"/> Final Performance and Evaluation Report | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost ¹ |
| | | Original | Revised ² |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | Obligated |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | Expended |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | 2,020,707.00 | 2,020,707.00 |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director | | Signature of Public Housing Director | |
| Date | | Date | |

[Handwritten Signature]
 Date 9/29/11

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | Federal FFY of Grant: 2009 ARRA | | | | | | |
|---|---|---|----------|----------------------------------|----------------------|--|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06S00450109 CFFP (Yes/ No): NO Replacement Housing Factor Grant No: | | | | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost Original | Revised ¹ | Total Actual Cost Funds Obligated ² | Funds Expended ² | Status of Work |
| PHA Wide | Administration | 1410 | | 200,000.00 | 200,000.00 | 200,000.00 | 200,000.00 | |
| | Physical Needs Assessment | 1430 | | 2,426.64 | 2,426.64 | 2,426.64 | 2,426.64 | |
| AMP 1 | HVAC Scattered Sites | 1460 | | 121,620.25 | 121,620.25 | 121,620.25 | 121,620.25 | |
| | Electrical Upgrade-Brandon | 1460 | 76 | 52,652.43 | 52,652.43 | 52,652.43 | 52,652.43 | |
| | Security Screen Doors/Front and Back | 1460 | 152 | 47,761.63 | 47,761.63 | 47,761.63 | 47,761.63 | |
| | Flush Valves - All AMP 1 | 1460 | | 6,585.00 | 6,585.00 | 6,585.00 | 6,585.00 | |
| | Safe T Elements All AMP 1 | 1460 | | 420.00 | 420.00 | 420.00 | 420.00 | |
| | Vinyl Siding Brandon | 1460 | 76 | 208,572.49 | 208,572.49 | 208,572.49 | 208,572.49 | |
| | HVAC for Brandon | 1460 | 76 | 324,895.24 | 324,895.24 | 324,895.24 | 324,895.24 | |
| | Security Equipment Brandon | 1465 | | 47,734.00 | 47,734.00 | 47,734.00 | 47,734.00 | |
| | Vinyl Siding/Windows/Doors | 1460 | 22 | 241,050.00 | 241,050.00 | 241,050.00 | 241,050.00 | |
| AMP 2 | Electrical Upgrades Johnson Park | 1460 | 56 | 56,436.00 | 56,436.00 | 56,436.00 | 56,436.00 | |
| | HVAC | 1460 | | 230,135.96 | 230,135.96 | 230,135.96 | 230,135.96 | |
| | Flush Valves All AMP 2 | 1460 | | 4,390.00 | 4,390.00 | 4,390.00 | 4,390.00 | |
| | Safe T Elements All AMP 2 | 1460 | | 3,990.00 | 3,990.00 | 3,990.00 | 3,990.00 | |
| | Parking Lot - Stanton Ave | 1465 | | 0.00 | 0.00 | 0.00 | 0.00 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

| Part II: Supporting Pages | | Grant Type and Number | | Federal FFY of Grant: 2009 ARRA | | | | |
|---|---|--|----------|--|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | Capital Fund Program Grant No: IL06S00450109 | | | | | | |
| | | CFFP (Yes/No): No | | | | | | |
| | | Replacement Housing Factor Grant No: | | | | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| AMP 3 | ADA Bathrooms -Hi Rises | 1460 | 3 | 72,800.00 | 72,800.00 | 72,800.00 | 72,800.00 | |
| | Flush Valves All AMP 3 | 1460 | | 10,975.00 | 10,975.00 | 10,975.00 | 10,975.00 | |
| | Safe T Elements All AMP 3 | 1460 | | 21,900.00 | 21,900.00 | 21,900.00 | 21,900.00 | |
| | Electrical Upgrade Hilde/Bonansinga | 1460 | | 81,336.72 | 81,336.72 | 81,336.72 | 81,336.72 | |
| | Generator for Bonansinga | 1460 | | 42,583.00 | 42,583.00 | 42,583.00 | 42,583.00 | |
| | Mini Blinds High Rises | 1460 | | 10,500.00 | 10,500.00 | 10,500.00 | 10,500.00 | |
| | Lock Changes Units Bonansinga | 1460 | 100 | 20,467.64 | 20,467.64 | 20,467.64 | 20,467.64 | |
| | Upgrade parking Lots Hilde/Bonansinga | 1450 | | 64,885.00 | 64,885.00 | 64,885.00 | 64,885.00 | |
| | A&E Servies -Sankey | 1430 | | 90,655.00 | 90,655.00 | 90,655.00 | 90,655.00 | |
| | Security Equipment Hilde/Bonansinga | 1465 | | 55,935.00 | 55,935.00 | 55,935.00 | 55,935.00 | |
| Major Byrd Redevelopment | Noise Attenuation Genesis Place | 1499 | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

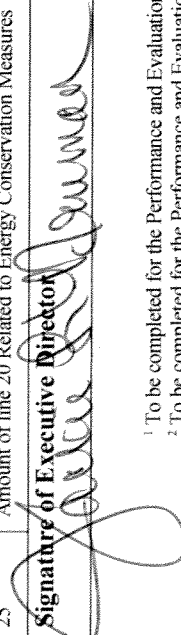

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | Grant Type and Number | | FFY of Grant: 2010 | |
|---|--|--|---|------------------------|--------------------------------|
| PHA Name: Springfield Housing Authority | | Capital Fund Program Grant No: IL06P004501-10 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant Approval: | |
| Type of Grant | <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2011 | | <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report | | |
| Line | Summary by Development Account | Total Estimated Cost | | Obligated | Total Actual Cost ¹ |
| | | Original | Revised ² | | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 200,000.00 | 200,000.00 | 200,000.00 | 200,000.00 |
| 3 | 1408 Management Improvements | 47,000.00 | 47,000.00 | 39,427.43 | 39,427.43 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 147,194.80 | 147,194.80 | 147,194.80 | 147,194.80 |
| 5 | 1411 Audit | 3,000.00 | 3,000.00 | 0.00 | 0.00 |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 105,000.00 | 105,000.00 | 71,368.92 | 36,499.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 115,790.75 | 115,790.75 | 104,290.75 | 100,790.75 |
| 10 | 1460 Dwelling Structures | 428,374.84 | 428,374.84 | 227,063.09 | 201,494.95 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 89,899.47 | 89,899.47 | 89,899.47 | 89,899.47 |
| 12 | 1470 Non-dwelling Structures | 44,000.00 | 44,000.00 | 0.00 | 0.00 |
| 13 | 1475 Non-dwelling Equipment | 68,502.52 | 68,502.52 | 67,923.72 | 67,923.72 |
| 14 | 1485 Demolition | 200,000.00 | 200,000.00 | 0.00 | 0.00 |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| | | | |
|--|--|--|--------------------------------------|
| Part I: Summary | | FFY of Grant: 2010 | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: IL06P004501-10 Replacement Housing Factor Grant No: Date of CFFP: | FFY of Grant Approval: | |
| Type of Grant | | <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| <input type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Final Performance and Evaluation Report | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2011 | | | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost¹ |
| | | Original | Obligated Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | 23,185.62 | 0.00 |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | 1,471,948.00 | 947,168.18 |
| 21 | Amount of line 20 Related to LBP Activities | | 883,230.12 |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | 214,753.20 | 270,511.48 |
| Signature of Executive Director | | Signature of Public Housing Director | |
|  | |  | |
| Date | | Date | |
| 9/29/11 | | | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | Federal FFY of Grant: 2010 | | | | | | |
|---|---|---|----------|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| PHA Wide | Operations | 1406 | | 200,000.00 | 200,000.00 | 200,000.00 | 200,000.00 | |
| | Marketing/Advertisement/E-Procurement | 1408 | | 12,000.00 | 12,000.00 | 5,801.07 | 5,801.07 | |
| | Upgrade Computers | 1408 | | 10,000.00 | 10,000.00 | 8,887.61 | 8,887.61 | |
| | Staff Training/Travel | 1408 | | 25,000.00 | 25,000.00 | 24,738.75 | 24,738.75 | |
| | FM&C Salaries/Benefits | 1410 | | 147,194.80 | 147,194.80 | 147,194.80 | 147,194.80 | |
| | Audit | 1411 | | 3,000.00 | 3,000.00 | 0.00 | 0.00 | |
| | Fees & Costs | 1430 | | 20,000.00 | 20,000.00 | 19,999.00 | 19,999.00 | |
| | Asbestos Services | 1430 | | 15,000.00 | 15,000.00 | 15,000.00 | 15,000.00 | |
| | Vehicle Purchase | 1475 | 2 | 61,089.00 | 61,089.00 | 61,089.00 | 61,089.00 | |
| | Demolition | 1485 Sankey | | 200,000.00 | 200,000.00 | 0.00 | 0.00 | |
| | Contingency | 1502 | | 23,185.62 | 23,185.62 | 0.00 | 0.00 | |
| PHA Wide | Mold Testing/Remediation | 1430 | | 10,000.00 | 10,000.00 | 9,086.40 | 0.00 | |
| AMP 1 | Parking Lot | 1450 Brandon | | 99,380.75 | 99,380.75 | 99,380.75 | 99,380.75 | |
| | Unit Rehab | 1460 Walnut Va | 1 | 67,549.76 | 67,549.76 | 67,549.76 | 67,549.76 | |
| | HVAC Replacement | 1460 Scattered | 8 | 39,613.59 | 39,613.59 | 39,613.59 | 39,613.59 | |
| | Appliances/Security Equipment | 1465 | | 22,529.18 | 22,529.18 | 22,529.18 | 22,529.18 | |
| | Renovation #9 Brandon | 1470 | 1 | 44,000.00 | 44,000.00 | 0.00 | 0.00 | |
| | AMP Vehicle | 1475 | 1 | 3,413.52 | 3,413.52 | 3,413.52 | 3,413.52 | |
| AMP 2 | Vinyl Siding | 1460 | | 23,262.48 | 23,262.48 | 23,262.48 | 23,262.48 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

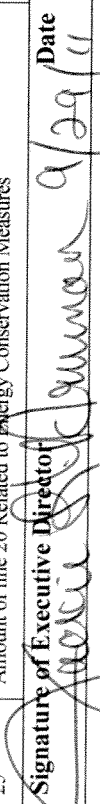
U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

| Part I: Summary | | Grant Type and Number | FFY of Grant: 2011 |
|---|--|---|--------------------------------|
| PHA Name: Springfield Housing Authority | | Capital Fund Program Grant No: IL06P00450111 Replacement Housing Factor Grant No: Date of CFFP: | FFY of Grant Approval: |
| Type of Grant | <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Final Performance and Evaluation Report | | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost ¹ |
| | | Original | Obligated |
| | | Revised ² | Expended |
| 1 | Total non-CFP Funds | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 150,000.00 | 0.00 |
| 3 | 1408 Management Improvements | 50,000.00 | 0.00 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 123,536.00 | 0.00 |
| 5 | 1411 Audit | 4,000.00 | 0.00 |
| 6 | 1415 Liquidated Damages | | |
| 7 | 1430 Fees and Costs | 180,000.00 | 0.00 |
| 8 | 1440 Site Acquisition | | |
| 9 | 1450 Site Improvement | 15,000.00 | 0.00 |
| 10 | 1460 Dwelling Structures | 609,222.00 | 0.00 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 32,400.00 | 0.00 |
| 12 | 1470 Non-dwelling Structures | | |
| 13 | 1475 Non-dwelling Equipment | | |
| 14 | 1485 Demolition | 31,200.00 | 0.00 |
| 15 | 1492 Moving to Work Demonstration | | |
| 16 | 1495.1 Relocation Costs | 40,000.00 | 0.00 |
| 17 | 1499 Development Activities ⁴ | | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| | | | |
|---|--|---|--------------------------------|
| Part I: Summary | | FFY of Grant: 2011 | |
| PHA Name: Springfield Housing Authority | | FFY of Grant Approval: Replacement Housing Factor Grant No: Date of CFFP: | |
| Grant Type and Number Capital Fund Program Grant No: IL06P00450111 Replacement Housing Factor Grant No: Date of CFFP: | | | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2011 <input type="checkbox"/> Reserve for Disasters/Emergencies | | | |
| <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report | | | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost ¹ |
| | | Original | Revised ² |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | Obligated |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | Expended |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 1,235,358.00 | 0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director  | | Signature of Public Housing Director Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part II: Supporting Pages | | Federal FFY of Grant: 2011 | | | | | | |
|---|---|---|----------|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P00450111 | | | | | | |
| Development Number Name/PHA-Wide Activities | | CFPP (Yes/ No): Replacement Housing Factor Grant No: | | | | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| PHA Wide | Operations | 1406 | | 150,000.00 | 0.00 | 0.00 | 0.00 | |
| | Marketing/Advertisement | 1408 | | 10,000.00 | 0.00 | 0.00 | 0.00 | |
| | Employee Training | 1408 | | 30,000.00 | 0.00 | 0.00 | 0.00 | |
| | Computer Improvements | 1408 | | 10,000.00 | 0.00 | 0.00 | 0.00 | |
| | FM&C Salaries/Benefits | 1410 | | 123,536.00 | 0.00 | 0.00 | 0.00 | |
| | Audit | 1411 | | 4,000.00 | 0.00 | 0.00 | 0.00 | |
| | Fees & Costs | 1430 | | 50,000.00 | 0.00 | 0.00 | 0.00 | |
| | Asbestos Mediation | 1430 | | 30,000.00 | 0.00 | 0.00 | 0.00 | |
| | Mold Mediation | 1430 | | 100,000.00 | 0.00 | 0.00 | 0.00 | |
| | Vacant Unit Painting | 1460 | | 50,000.00 | 0.00 | 0.00 | 0.00 | |
| | HVAC for Support Services Building | 1460 | | 25,000.00 | 0.00 | 0.00 | 0.00 | |
| AMP 1 | Parking Lots/Landscape/Trees | 1450 | | 5,000.00 | 0.00 | 0.00 | 0.00 | |
| | Roof Replacement/Lincolnwood | 1460 | 24 | 96,000.00 | 0.00 | 0.00 | 0.00 | |
| | Lock Changes – AMP WIDE | 1460 | 228 | 125,022.00 | 0.00 | 0.00 | 0.00 | |
| | Dryer Vent Replacement | 1460 | 37 | 18,500.00 | 0.00 | 0.00 | 0.00 | |
| | Brandon #9 Building | 1460 | | 31,200.00 | 0.00 | 0.00 | 0.00 | |
| | Appliances | 1465 | 24 | 10,800.00 | 0.00 | 0.00 | 0.00 | |
| AMP 2 | Parking Lots/Landscape/Trees | 1450 | | 5,000.00 | 0.00 | 0.00 | 0.00 | |
| | Roof Replacement Johnson Park | 1460 | 28 | 112,000.00 | 0.00 | 0.00 | 0.00 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
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| Part I: Summary | | FFY of Grant: 2003 FFY of Grant Approval: | | |
|--|--|---|----------------------|--------------------------------|
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R00450203 Date of CFFP: | | | |
| Type of Grant | <input type="checkbox"/> Reserve for Disasters/Emergencies | <input type="checkbox"/> Revised Annual Statement (revision no:) | | |
| <input type="checkbox"/> Original Annual Statement | <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-11 | <input type="checkbox"/> Final Performance and Evaluation Report | | |
| Line | Summary by Development Account | Total Estimated Cost | Obligated | Total Actual Cost ¹ |
| | | Original | Revised ² | Expended |
| 1 | Total non-CFP Funds | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | |
| 3 | 1408 Management Improvements | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | |
| 5 | 1411 Audit | | | |
| 6 | 1415 Liquidated Damages | | | |
| 7 | 1430 Fees and Costs | | | |
| 8 | 1440 Site Acquisition | | | |
| 9 | 1450 Site Improvement | | | |
| 10 | 1460 Dwelling Structures | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | |
| 12 | 1470 Non-dwelling Structures | | | |
| 13 | 1475 Non-dwelling Equipment | | | |
| 14 | 1485 Demolition | | | |
| 15 | 1492 Moving to Work Demonstration | | | |
| 16 | 1495.1 Relocation Costs | | | |
| 17 | 1499 Development Activities ⁴ | 643,611.00 | 643,611.00 | 643,611.00 |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

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 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

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 OMB No. 2577-0226
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| | | | |
|--|--|---|---|
| Part I: Summary | | FFY of Grant: 2003 FFY of Grant Approval: | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R00450203 Date of CFFP: | | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-11 | | <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost ¹ |
| | | Original | Revised ² Obligated Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | 643,611.00 | 643,611.00 |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director | | Signature of Public Housing Director | |
| Date | | Date | |

[Handwritten Signature] 9/29/11

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | Federal FFY of Grant: 2003 | | | | | | |
|---|---|---|----------|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/No): Replacement Housing Factor Grant No: IL06R00450203 | | | | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| 1499 | Development Activites Genesis Place Major Byrd Redevelopment | 1499 | | 643,611.00 | 643,611.00 | 643,611.00 | 643,611.00 | |
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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
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| Part III: Implementation Schedule for Capital Fund Financing Program | | | | | | Federal FFY of Grant: 2003 | |
|---|---|-------------------------------|---|--------------------------------|---|-----------------------------------|--|
| PHA Name: Springfield Housing Authority | | | | | | | |
| Development Number Name/PHA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | All Funds Expended (Quarter Ending Date) | | Reasons for Revised Target Dates ¹ | | |
| | Original Obligation End Date | Actual Obligation End Date | Original Expenditure End Date | Actual Expenditure End Date | | | |
| 1499-Development Activities | 1-9-2011 | 1-9-2011 | 9-27-2011 | 6-30-2011 | | | |
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¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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 Expires 4/30/2011

| Part I: Summary | | Grant Type and Number | | FFY of Grant: 2004 | |
|---|--|---|---|------------------------|--------------------------------|
| PHA Name: Springfield Housing Authority | | Capital Fund Program Grant No: IL06R004502-04 Replacement Housing Factor Grant No: IL06R004502-04 Date of CFFP: | | FFY of Grant Approval: | |
| Type of Grant | <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 | | <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report | | |
| Line | Summary by Development Account | Original | Revised ² | Obligated | Total Actual Cost ¹ |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | 753,143.00 | 753,143.00 | 753,143.00 | 753,143.00 |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
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| | | | |
|--|---|---|--------------------------------------|
| Part I: Summary | | FFY of Grant: 2004 FFY of Grant Approval: | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: 1106R004502-04 Date of CFFP: | | |
| <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost¹ |
| | | Original | Obligated Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 753,143.00 | 753,143.00 |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director | | Signature of Public Housing Director | |
| <i>[Signature]</i> | | <i>[Signature]</i> | |
| Date | | Date | |
| 9/29/11 | | | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHIF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

| Part I: Summary | | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-05 Date of CFFP: | FFY of Grant: 2005 FFY of Grant Approval: |
|-----------------|---|---|--|
| Type of Grant | <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: | | <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Final Performance and Evaluation Report |
| Line | Summary by Development Account | Total Estimated Cost | |
| | | Original | Obligated |
| | | Revised ² | Total Actual Cost ¹ Expended |
| 1 | Total non-CFP Funds | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | |
| 3 | 1408 Management Improvements | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | |
| 5 | 1411 Audit | | |
| 6 | 1415 Liquidated Damages | | |
| 7 | 1430 Fees and Costs | | |
| 8 | 1440 Site Acquisition | | |
| 9 | 1450 Site Improvement | | |
| 10 | 1460 Dwelling Structures | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | |
| 12 | 1470 Non-dwelling Structures | | |
| 13 | 1475 Non-dwelling Equipment | | |
| 14 | 1485 Demolition | | |
| 15 | 1492 Moving to Work Demonstration | | |
| 16 | 1495.1 Relocation Costs | | |
| 17 | 1499 Development Activities ⁴ | 901,100.00 | 901,100.00 |
| | | 901,100.00 | 901,100.00 |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
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| | | | |
|---|--|---|--------------------------------|
| Part I: Summary | | FFY of Grant: 2005 | |
| PHA Name: Springfield Housing Authority | | FFY of Grant Approval: | |
| Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-05 Date of CFFP: | | | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 | | <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Final Performance and Evaluation Report | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost ¹ |
| | | Original | Revised ² |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | Obligated |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | Expended |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | 901,100.00 | 901,100.00 |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director | | Signature of Public Housing Director | |
| Date | | Date | |

9/29/11

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

| Part I: Summary | | FFY of Grant: 2006 FFY of Grant Approval: | | |
|---|--|--|-----------|---|
| PHA Name: Springfield Housing Authority Grant Type and Number: Capital Fund Program Grant No: IL06R004501-06 Replacement Housing Factor Grant No: IL06R004501-06 Date of CFFP: | | | | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 <input type="checkbox"/> Reserve for Disasters/Emergencies | | | | |
| Line | Summary by Development Account | Total Estimated Cost Revised ² | Obligated | Total Actual Cost ¹ Expended |
| 1 | Total non-CFP Funds | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | |
| 3 | 1408 Management Improvements | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | |
| 5 | 1411 Audit | | | |
| 6 | 1415 Liquidated Damages | | | |
| 7 | 1430 Fees and Costs | | | |
| 8 | 1440 Site Acquisition | | | |
| 9 | 1450 Site Improvement | | | |
| 10 | 1460 Dwelling Structures | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | |
| 12 | 1470 Non-dwelling Structures | | | |
| 13 | 1475 Non-dwelling Equipment | | | |
| 14 | 1485 Demolition | | | |
| 15 | 1492 Moving to Work Demonstration | | | |
| 16 | 1495.1 Relocation Costs | | | |
| 17 | 1499 Development Activities ⁴ | 1,925.00 | 1,925.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | FFY of Grant: 2006 FFY of Grant Approval: | |
|---|--|--|---|
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-06 Date of CFFP: | | |
| Type of Grant | <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report | | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost ¹ |
| | | Original | Revised ² Obligated Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | 1,925.00 | 1,925.00 |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director | | Signature of Public Housing Director | |
| Date | | Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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 Expires 3/31/2014

| Part I: Summary | | Grant Type and Number | | FFY of Grant: 2006 | |
|---|---|--|--|------------------------|--------------------------------|
| PHA Name: Springfield Housing Authority | | Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-06 Date of CFFP: | | FFY of Grant Approval: | |
| Type of Grant | <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 | | <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Final Performance and Evaluation Report | | Total Actual Cost ¹ |
| Line | Summary by Development Account | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | 754,325.00 | 754,325.00 | 754,325.00 | 754,325.00 |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | FFY of Grant: 2006 FFY of Grant Approval: | |
|---|---|---|---|
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-06 Date of CFFP: | | |
| Type of Grant | | | |
| <input type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 | | <input checked="" type="checkbox"/> Final Performance and Evaluation Report | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost ¹ |
| | | Original | Revised ² Obligated Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | 754,325.00 | 754,325.00 |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director | | Signature of Public Housing Director | |
| <i>[Signature]</i> | | <i>[Signature]</i> | |
| Date | | Date | |
| 4/29/11 | | | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

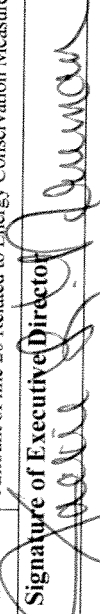

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

| Part I: Summary | | Grant Type and Number | | FFY of Grant: 2007 | |
|---|--|--|-----------|--------------------------------|------|
| PHA Name: Springfield Housing Authority | | Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-07 Date of CFFP: | | FFY of Grant Approval: | |
| Type of Grant | Original | Revised ² | Obligated | Total Actual Cost ¹ | |
| Line | Summary by Development Account | Total Estimated Cost | | Expended | |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | 1,985.00 | 1,985.00 | 1,985.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

| | | | |
|--|---|---|--------------------------------------|
| Part I: Summary | | FFY of Grant: 2007 FFY of Grant Approval: | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-07 Date of CFFP: | | |
| <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report | |
| Type of Grant | Summary by Development Account | Total Estimated Cost | Total Actual Cost¹ |
| | | Original | Obligated |
| | | | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | 1,985.00 | 1,985.00 |
| 21 | Amount of line 20 Related to LBP Activities | | 0.00 |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director  | | Signature of Public Housing Director  | |
| | | Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFF Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

| Part I: Summary | | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-07 Date of CFFP: | FFY of Grant: 2007 FFY of Grant Approval: |
|-----------------|--|---|---|
| Type of Grant | <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 | | <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report |
| Line | Summary by Development Account | Total Estimated Cost | |
| | | Original | Obligated |
| | | Revised ² | Total Actual Cost ¹ Expended |
| 1 | Total non-CFP Funds | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | |
| 3 | 1408 Management Improvements | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | |
| 5 | 1411 Audit | | |
| 6 | 1415 Liquidated Damages | | |
| 7 | 1430 Fees and Costs | | |
| 8 | 1440 Site Acquisition | | |
| 9 | 1450 Site Improvement | | |
| 10 | 1460 Dwelling Structures | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | |
| 12 | 1470 Non-dwelling Structures | | |
| 13 | 1475 Non-dwelling Equipment | | |
| 14 | 1485 Demolition | | |
| 15 | 1492 Moving to Work Demonstration | | |
| 16 | 1495.1 Relocation Costs | | |
| 17 | 1499 Development Activities ⁴ | 731,379.00 | 731,379.00 |
| | | | 0.00 |

¹ To be completed for the Performance and Evaluation Report.



² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| | | | |
|---|--|---|----------------------|
| Part I: Summary | | FFY of Grant: 2007 FFY of Grant Approval: | |
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-07 Date of CFFP: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 | | <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| Summary by Development Account | | Total Estimated Cost | |
| Line | | Original | Revised ² |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 731,379.00 | 731,379.00 |
| 21 | Amount of line 20 Related to LBP Activities | | 0.00 |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director  | | Signature of Public Housing Director  | |
| Date | | Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

| Part I: Summary | | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-08 Date of CFFP: | FFY of Grant: 2008 FFY of Grant Approval: |
|--|--|--|---|
| PHA Name: Springfield Housing Authority | | | |
| Type of Grant | | <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Final Performance and Evaluation Report | |
| <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 <input type="checkbox"/> Reserve for Disasters/Emergencies | | | |
| Line | Summary by Development Account | Total Estimated Cost Revised ² | Obligated Total Actual Cost ¹ Expended |
| Original | | | |
| 1 | Total non-CFFP Funds | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | |
| 3 | 1408 Management Improvements | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | |
| 5 | 1411 Audit | | |
| 6 | 1415 Liquidated Damages | | |
| 7 | 1430 Fees and Costs | | |
| 8 | 1440 Site Acquisition | | |
| 9 | 1450 Site Improvement | | |
| 10 | 1460 Dwelling Structures | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | |
| 12 | 1470 Non-dwelling Structures | | |
| 13 | 1475 Non-dwelling Equipment | | |
| 14 | 1485 Demolition | | |
| 15 | 1492 Moving to Work Demonstration | | |
| 16 | 1495.1 Relocation Costs | | |
| 17 | 1499 Development Activities ⁴ | 107,058.00 | 0.00 |
| | | 107,058.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | FFY of Grant: 2008 | |
|---|--|--------------------------------------|-----------------------------------|
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-08 Date of CFFP: | FFY of Grant Approval: | |
| Type of Grant | <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 <input type="checkbox"/> Reserve for Disasters/Emergencies | | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost ¹ |
| | | Original | Revised ² Obligated |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 107,058.00 | 0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director | | Signature of Public Housing Director | |
| Date | | Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

| Part I: Summary | | Grant Type and Number | | FFY of Grant: 2009 | |
|---|--|---|--|------------------------|--------------------------------|
| PHA Name: Springfield Housing Authority | | Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-09 Date of CFFP: | | FFY of Grant Approval: | |
| Type of Grant | <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 | <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 | Revised Annual Statement (revision no:) | | Total Actual Cost ¹ |
| Line | Summary by Development Account | Total Estimated Cost | Revised ² | Obligated | Expended |
| | Original | | | | |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | 114,260.00 | 114,260.00 | 114,260.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | FFY of Grant: 2009 FFY of Grant Approval: | |
|---|--|---|----------------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-09 Date of CFFP: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 | | <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| Summary by Development Account | | Final Performance and Evaluation Report | |
| Line | | Original | Revised ² |
| | | Total Estimated Cost | |
| | | Obligated | Expended |
| | | Total Actual Cost ¹ | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 114,260.00 | 114,260.00 |
| 21 | Amount of line 20 Related to LBP Activities | | 0.00 |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director | | Signature of Public Housing Director | |
| Date | | Date | |

[Handwritten Signature]
 9/29/11

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

| Part I: Summary | | Grant Type and Number | | FFY of Grant: 2010 | |
|--|---|--|----------------------|--------------------------------|----------|
| PHA Name: Springfield Housing Authority | | Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-10 Date of CFFP: | | FFY of Grant Approval: | |
| Type of Grant | <input type="checkbox"/> Revised Annual Statement (revision no:) | | | | |
| <input type="checkbox"/> Original Annual Statement | <input type="checkbox"/> Final Performance and Evaluation Report | | | | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 | <input type="checkbox"/> Reserve for Disasters/Emergencies | | | | |
| Line | Summary by Development Account | Total Estimated Cost | Obligated | Total Actual Cost ¹ | Expended |
| | | Original | Revised ² | | |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | 113,891.00 | 113,891.00 | 0.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| | | | |
|---|---|---|--------------------------------|
| Part I: Summary | | FFY of Grant: 2010 | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-10 Date of CFFP: | FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2011 <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost ¹ |
| | | Original | Revised ² |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | Obligated |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | Expended |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | 113,891.00 | 0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director | | Signature of Public Housing Director | |
| Date | | Date | |

[Handwritten Signature]
 Date: *[Handwritten Date]*

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

| Part I: Summary | | Grant Type and Number | | FFY of Grant: 2011 | |
|--|--|--|---|---|---|
| PHA Name: Springfield Housing Authority | | Capital Fund Program Grant No: IL06R00450111 | | FFY of Grant Approval: | |
| Type of Grant | | Reserve for Disasters/Emergencies | | Revised Annual Statement (revision no:) | |
| <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: | | <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report | | <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report | |
| Line | Summary by Development Account | Original | Total Estimated Cost Revised ² | Obligated | Total Actual Cost ¹ Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | 96,382.00 | 0.00 | 0.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| | | | |
|---|--|---|---|
| Part I: Summary | | FFY of Grant: 2011 | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R00450111 Date of CFFP: | FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2011 <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report | |
| Line | Summary by Development Account | Total Estimated Cost | Total Actual Cost ¹ |
| | | Original | Revised ² Obligated Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 96,382.00 | 0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director | | Signature of Public Housing Director | |
| Date | | Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | Grant Type and Number | | FFY of Grant: 2012 | |
|---|--|--|---|--------------------------------|----------|
| PHA Name: Springfield Housing Authority | | Capital Fund Program Grant No: IL06P004501-12 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant Approval: 2012 | |
| Type of Grant | <input checked="" type="checkbox"/> Original Annual Statement and Evaluation Report for Period Ending: | <input type="checkbox"/> Reserve for Disasters/Emergencies | <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report | | |
| Line | Summary by Development Account | Total Estimated Cost | Obligated | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 210,000.00 | | | |
| 3 | 1408 Management Improvements | 170,000.00 | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 210,000.00 | | | |
| 5 | 1411 Audit | 5,000.00 | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 600,000.00 | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 275,000.00 | | | |
| 10 | 1460 Dwelling Structures | 2,100,000.00 | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 145,000.00 | | | |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | 105,000.00 | | | |
| 14 | 1485 Demolition | 500,000.00 | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | 300,000.00 | | | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| | | | |
|---|--|---|--------------------------------------|
| Part I: Summary | | FFY of Grant: 2012 FFY of Grant Approval: 2012 | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: IL06P004501-12 Replacement Housing Factor Grant No: Date of CFFP: | | |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Performance and Evaluation Report for Period Ending: | | | |
| Type of Grant | | <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| <input type="checkbox"/> Summary by Development Account | | <input type="checkbox"/> Final Performance and Evaluation Report | |
| Line | Description | Total Estimated Cost | Total Actual Cost¹ |
| | | Original | Obligated |
| | | Revised² | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 4,620,000.00 | |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | |
| Signature of Executive Director | | Signature of Public Housing Director | |
| <i>[Signature]</i> | | <i>[Signature]</i> | |
| Date 9/30/2011 | | Date | |
| | | | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
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| Part II: Supporting Pages | | Federal FFY of Grant: 2012 | | | | | | |
|---|---|--|-----------|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-12 CFPP (Yes/No): Replacement Housing Factor Grant No: | | | | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| PHA Wide | Operations | 1406 | | 210,000.00 | | | | |
| | Marketing/Advertisement | 1408 | | 15,000.00 | | | | |
| | Upgrade Computers | 1408 | | 30,000.00 | | | | |
| | Staff Training/Travel | 1408 | | 25,000.00 | | | | |
| | FM&C Salaries/Benefits | 1410 | | 150,000.00 | | | | |
| | Audit | 1411 | | 5,000.00 | | | | |
| | Fees & Costs/PNA | 1430 | | 200,000.00 | | | | |
| | Asbestos Services | 1430 | | 100,000.00 | | | | |
| | Maint Equipment (Lawn Equipment) | 1475 | | 30,000.00 | | | | |
| | Vehicle Purchase | 1475 | 2 | 50,000.00 | | | | |
| | Mod Use for Development | 1499 | | 300,000.00 | | | | |
| AMP 1 | Parking Lots | 1450 | 4 | 50,000.00 | | | | |
| | Tree/Landscape/Fences/Power Washing | 1450 | AMP wid | 80,000.00 | | | | |
| | Outside Lighting | 1450 | Scattered | 30,000.00 | | | | |
| | Roofs | 1460 | As needed | 100,000.00 | | | | |
| | Siding/Windows/Doors | 1460 | 20 | 200,000.00 | | | | |
| | HV AC | 1460 | 16 | 75,000.00 | | | | |
| | Appliances | 1465 | 30 | 15,000.00 | | | | |
| | Rehab Basket Ball Court | 1475 | 1 | 25,000.00 | | | | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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| Part II: Supporting Pages | | Grant Type and Number | | Federal FFY of Grant: 2012 | | | |
|---|-------------------------|---|----------------------|--------------------------------------|------------------------------|-----------------------------|----------------|
| PHA Name: Springfield Housing Authority | | Capital Fund Program Grant No: IL06P004501-12 | | CFPP (Yes/ No): | | | |
| Development Number Name/PHA-Wide Activities | | General Description of Major Work Categories | | Replacement Housing Factor Grant No: | | | |
| Development Number Name/PHA-Wide Activities | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| AMP 1 Continued | 1460 | AMP wid | 50,000.00 | | | | |
| AMP 2 | 1450 | AMP wid | 25,000.00 | | | | |
| | 1450 | AMP wid | 40,000.00 | | | | |
| | 1460 | J. Park | 20,000.00 | | | | |
| | 1460 | 56 | 150,000.00 | | | | |
| | 1460 | 22 | 100,000.00 | | | | |
| | 1460 | 15 | 150,000.00 | | | | |
| | 1460 | AMP Wid | 100,000.00 | | | | |
| | 1460 | AMP wid | 25,000.00 | | | | |
| | 1465 | 30 | 15,000.00 | | | | |
| AMP 3 | 1430 | | 300,000.00 | | | | |
| | 1485 | | 500,000.00 | | | | |
| | 1450 | AMP wid | 75,000.00 | | | | |
| | 1460 | | 500,000.00 | | | | |
| | 1465 | Bonansinga | 200,000.00 | | | | |
| | 1460 | Hi-Rises | 450,000.00 | | | | |
| | 1460 | Hi-Rises | 50,000.00 | | | | |
| | 1460 | AMP wid | 30,000.00 | | | | |
| | 1465 | 30 | 15,000.00 | | | | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

SECTION 9.1

STRATEGY FOR ADDRESSING HOUSING NEEDS

Strategy for addressing housing needs is as follows:

- Springfield Housing Authority will employ effective maintenance and management policies to minimize the number of public housing units off-line, reduce turnover time for vacated public housing units and reduce time to renovate public housing units.
- SHA will seek replacement of public housing units lost to the inventory through mixed finance development and Section 8 Replacement Housing resources.
- SHA will maintain or increase Section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction.
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required.
- SHA will market the Section 8 program to owners to help maintain and/or increase this program and participate in the Consolidated Plan development process to ensure coordination with broader community strategies.
- To increase the number of affordable housing units, SHA will apply for additional Section 8 units should they become available; Leverage affordable housing resources in the community through the creation of mixed-finance housing; and to pursue housing resources other than public housing or Section 8 tenant-based assistance.
- To specific target families at or below 30% of the AMI, SHA will adopt rent policies to support and encourage work.
- To specific target families at or below 50% of the AMI, SHA will again adopt rent policies and encourage work.
- For the elderly, SHA will seek designation of public housing for the elderly, apply for special-purpose vouchers targeted to the elderly, should they be available, and develop and promote additional amenities in elderly developments, by creating additional elderly mixed income developments.
- Carry out modifications to public housing based on the section 504 review and assessment for public housing through the Voluntary Compliance Agreement.
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available and implement the mainstream housing opportunities for persons and disabilities with grants awarded to Springfield Housing Authority.
- Also, further develop partnerships with agencies that work with disabled populations.
- For specific family types, SHA will affirmatively market to races/ ethnicities shown to have disproportionate housing needs.

10 (a) Progress in Meeting Mission and Goals

| GOALS | PROGRESS IN 2011 |
|--|--|
| Apply for additional rental vouchers as available. | The SHA applied for and was awarded 50 Family Unification Vouchers and 10 NED Vouchers. |
| Reduce public housing vacancies; meet HUD's 100% occupancy goals. Explore options to prevent a decline in occupancy. | As of July 31, 2011, SHA's occupancy across all programs was 97.4% which was an increase of 13.4 percent over the previous year. The SHA has an open waiting list and advertises if necessary to prevent declines in occupancy. |
| Leverage private or other public funds to create additional housing opportunities and acquire or build units or developments to assist SHA in neighborhood and redevelopment of older housing units. | The SHA leveraged state Trust Fund funds along with Capital Funds, Homeownership Sales Proceeds, CDBG funds and state grant initiatives to develop 41 units known as Genesis Place. Construction began in November 2010 and is expected to be complete by December 2011. The SHA will continue to seek public and private resources to rehabilitate homes surrounding Madison Park Place, North Park Place and Genesis Place and offer affordable housing options for families in need. |
| Improve the quality of assisted housing; SHA objectives are to become a high performer in both public housing management (PHAS) and voucher management (SEMAP). | For fiscal year 2010, the SHA achieved high performer status through the SEMAP scoring process for its Housing Choice Voucher program. The SHA will strive to increase its status from standard performer to high performer within its Public Housing program. |
| To increase customer satisfaction by quality maintenance service responsiveness. | In 2011, the SHA implemented a web-based customer service satisfaction survey amongst all departments for customers to report back on satisfaction with services provided. The SHA also included a tracking system for tenant generated work orders to ensure completion times meet the requirements as set forth in PHAS III for tenant generated work orders. |
| GOALS | PROGRESS IN 2011 |
| Continue to update and modernize units. | The SHA successfully closed out CFP 2007, |

| | |
|---|--|
| | <p>2008, ARRA 2009 through July 31, 2011. Modernization activities completed include the following: Electrical Upgrades; Roof Replacements; Siding and Windows; ADA Compliant Public Bathrooms Bonansinga and Hildebrandt; Parking lots; Vacant Unit Painting; Cycle Painting, Emergency Generator for Bonansinga; Carbon Monoxide Detectors; Playground Equipment, Vehicles; HVAC upgrades; completion of HVAC at Bonansinga Hi Rise.</p> |
| <p>Pursue demolition/disposition of aged hi-rise buildings and provide replacement public housing and vouchers.</p> | <p>The SHA submitted a demolition application to SAC for Sankey hi-rises in November 2010 that was approved in June 2011. Residents were relocated to other public housing as available and the SHA requested and received 91 replacement vouchers for the remaining residents who could not be placed in public housing units.</p> |
| <p>To increase assisted housing choices, SHA will conduct outreach efforts to potential voucher landlords and continue to expand the Section 8 Homeownership program.</p> | <p>In 2010 and 2011, the SHA Section 8 Management met with area Realtor and Landlord associations to provide outreach regarding the Housing Choice Voucher program as well as engage in opportunities to recruit new landlords on the program. Additionally, the SHA staff held monthly new landlord orientation sessions. Finally, the SHA hosted its 24th Annual Landlord Workshop in September 2010.</p> <p>The Section 8 Homeownership Program is expanded by ten (10) new vouchers each calendar year.</p> |
| <p>Continue to administer homeownership programs at Madison Park Place and North Park Place.</p> | <p>The SHA has seven (7) units leased through the lease-to-purchase program at Madison Park Place. A total of thirty-seven (37) units have been sold to date.</p> <p>The SHA has two (2) units leased through the lease-to-purchase program at North Park Place. A total of three (3) units have been sold to date.</p> |
| <p>Apply for Section 32 approval for five (5) units developed as Genesis Place. Administer Section 32 Homeownership Program upon receipt of necessary SAC approvals.</p> | <p>The SHA has entered the five (5) units in the PIC system and will submit the Section 32 Homeownership Plan to SAC for approval in September 2011.</p> |

| GOALS | PROGRESS IN 2011 |
|--|---|
| <p>To improve community quality of life and economic vitality SHA has implemented measures to promote income mixing in public housing to assuring access for lower income families into higher income developments such as Madison Park Place, Genesis Place and the planned Sankey Redevelopment.</p> | <p>The SHA has removed minimum income requirements for its developments as well as added preferences for working families to balance needs of the community with asset management requirements.</p> |
| <p>Implement public housing security improvements to designated developments and buildings.</p> | <p>The SHA made use of ARRA funds to install functional, web-based security camera systems in Hildebrandt Hi-Rise and Brandon Court.</p> <p>The SHA has contracted out security services and provides 24/7 coverage to all of its properties through on-site staff during work hours and contracted security services during evenings, weekends and holidays.</p> <p>The SHA intends to use future Capital Funds to continue installing web-based security camera systems to designated developments and buildings.</p> |
| <p>To promote self-sufficiency and asset development of families and individuals SHA will increase the number of employed persons in assisted housing units through the FSS Program.</p> | <p>Through the FSS program SHA has increased 7 public housing participants and 23 housing choice voucher participants in employment.</p> |
| <p>Provide and attract supportive services to improve residents' employability and to increase independence for the elderly or families with disabilities.</p> | <p>The SHA has partnered with local social service providers to provide services with hi-rise residents to maintain their independent living skills.</p> <p>In 2011, the SHA applied for Service Coordinator funds from HUD to specifically recruit additional services and coordinate amongst the residents, however was not successful.</p> <p>The SHA will continue to apply for applicable funds to support this goal.</p> |

| GOALS | PROGRESS IN 2011 |
|---|---|
| <p>To ensure equal opportunity in housing for all Americans, SHA will undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, marital status and disability.</p> | <p>The SHA entered into a Voluntary Compliance Agreement in January 2011 with the HUD FHEO Office and has completed significant tasks within the Agreement to ensure equal opportunity in housing.</p> <p>The SHA will continue to implement and monitor the activities as outlined in the Voluntary Compliance Agreement.</p> |
| <p>SHA will undertake affirmative measures to provide a suitable living environment for families living in assisted housing regardless of race, color, religion, national origin, sex, marital status and disability.</p> | |
| <p>The SHA will undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required.</p> | |
| <p>To encourage and support the youth of today to become the leaders of tomorrow.</p> | <p>The SHA partnered with the Springfield Urban League's Youthbuild Program by providing opportunities for student workers to work on-site at the construction of Genesis Place.</p> <p>The SHA will continue to partner with Youthbuild activities by providing locations to conduct training (i.e. Sankey abatement, demolition and redevelopment and neighborhood revitalization initiatives).</p> |
| <p>To be the best landlord in the Springfield community and provide the most quality housing stock.</p> | |
| <p>To equip residents with skills to become self-sufficient.</p> | <p>The SHA operates an voluntary FSS Program for both the Section 8 and Public Housing programs as all of the mandatory slots have been completed.</p> <p>The SHA has 416 participants currently enrolled in the FSS Program.</p> <p>The SHA has 205 participants that have graduated from the program and has disbursed \$789,991.25 in escrow payments to eligible graduates.</p> |

| GOALS | PROGRESS IN 2011 |
|--|--|
| To achieve and maintain 97% occupancy rate amongst all programs. | The SHA has extensive waiting lists to pull eligible families for assisted housing and has implemented policies and procedures for lease up of available Public Housing units and Section 8 Vouchers. |
| To improve the overall image of the SHA | |
| To establish an income stream apart from that generated by rental income. | <p>The SHA has entered into Management Agreements to manage properties for small not-for-profit agencies to sell its services/knowledge/skills/abilities to those entities without the capacity to manage properties.</p> <p>The SHA will continue to seek such partnerships and expand its services to not-for-profit groups developing affordable housing in the community.</p> |
| Apply for Emergency Capital Funds to demolish 401 and 415 West Allen (Sankey Hi-Rises); utilize CFFP, CFP, RHF and other public and private resources to redevelop the site. | <p>The SHA applied for Emergency Capital Funds for the abatement/demolition of 401 and 415 West Allen (Sankey Hi-Rises) in 2011. SHA is still awaiting approval of funding request from HUD Headquarters.</p> <p>The SHA will identify and pursue funding options in 2012 to redevelop the site inclusive of, but not limited to CFFP, CFP, RHF, CDBG, LIHTC, Trust Funds, etc.</p> |
| Develop retail space at Madison Park Place | <p>The SHA previously conducted a resident survey on the types of business they would like to see at the commercial site.</p> <p>Based upon the results, the SHA has been working with Victory Pharmacy (an independent pharmacy) to develop a long-term land lease for the site.</p> <p>Once the terms are agreed upon, the SHA will submit application to SAC for approval of the long-term land lease for the site and execute necessary documents to support the development of the commercial property.</p> |
| Develop additional affordable housing for those in need. | The SHA will apply for available Housing Choice Vouchers to expand housing |

| | |
|--|----------------------------------|
| | opportunities for those in need. |
|--|----------------------------------|

| GOALS | PROGRESS IN 2011 |
|--|---|
| Continue implementing HUD required Asset Management and project-based accounting. | |
| Complete Genesis Place by constructing 41 new units and achieving 100% occupancy. | Construction began in November 2010. Expected construction completion is December 2011. Single family homes have been turned to the SHA for leasing. The SHA has executed leases with six families as of August 31, 2011. |
| To meet and exceed expectations under PHAS III and SEMAP. | |
| To implement a neighborhood revitalization plan by acquiring properties in low income neighborhoods and either rehabilitating or developing new units. | |
| To increase availability of rental and homeownership units. | |
| Dispose of obsolete vacant land owned by the SHA, but not included in any development plans. | Over the years, the SHA acquired vacant land on which housing units were never constructed. The SHA has received independent appraisals on the lots and will request approval from SAC to sell at a minimum of fair market value with sales proceeds placed into the AMP operations. |
| Review public housing designation options for hi-rises and develop designation plan accordingly. | |

Significant Amendment and Substantial Deviation/Modification to the PHA Annual Plan

The Springfield Housing Authority defines the significant amendment and substantial deviation/modification to the PHA Annual Plan as follows:

- Changes to rent or admissions policies or organization of the waiting list;
- Changes to the Public Housing Admissions and Continued Occupancy Policy;
- Changes to the Section 8 Housing Choice Voucher Program Administrative Plan;
- Additions of non-emergency work items (items not included in the current Annual Statement or Five-year Action Plan) or change in the use of replacement reserve funds under the Capital Fund; and
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

Requirements for Significant Amendments to the PHA Plan

Any significant amendment or substantial deviation/modification to the PHA Plan is subject to the same requirements as the original PHA Plan (including time frames). Following are the requirements:

- The PHA must consult with the Resident Advisory Board (RAB) (as defined in 24 CFR 903.13);
- The PHA must ensure consistency with the Consolidated Plan of the jurisdiction(s) (as defined in 24 CFR 903.15); and
- The PHA must provide a review of the amendments/modifications by the public during a 45-day public review period (as defined in 24 CFR 903.17).
- The PHA may not adopt the amendment or modification until the PHA has duly called a meeting of its Board of Directors (or similar governing body). This meeting, at which the amendment or modification is adopted, must be open to the public.
- The PHA may not implement the amendment or modification until notification of the amendment or modification is provided to HUD and approved by HUD in accordance with HUD's plan review procedures (as defined at 24 CFP 903.23).

CARBON MONOXIDE ALARM DETECTOR ACT
SPRINGFIELD HOUSING AUTHORITY
COMPLIANCE STATEMENT

The Illinois State Legislative Act 094-0741 became effective on January 1, 2007.

To comply with this Public Act, Springfield Housing Authority researched different carbon monoxide detectors and received three competitive prices on said detectors to locate the best detector for the safety of our residents and that was most cost effective.

Springfield Housing Authority Staff recommended purchase of the Pro Tech 7030-SL Lithium Battery Powered Carbon Monoxide Detector with Secure Lock, which has a 5 year warranty on either the battery or the detector. At the end of November, Springfield Housing Authority ordered and purchased 1008 of these detectors from Allied Sales. Allied Sales was the lowest most responsible bidder for this carbon monoxide detector.

The Springfield Housing Authority received the shipment of all 1008 detectors in the beginning of December, 2006. Residents were provided a notice the Springfield Housing Authority's Maintenance personnel would be entering dwelling units to install the Carbon Monoxide Detectors, (notice attached), and also a statement of responsibility for the detector, (statement attached). The residents were informed of their responsibility for any damage to the detector as well as replace the batteries as necessary.

Springfield Housing Authority is pleased to state that 100% of its dwelling units had the carbon monoxide detectors installed prior to the January 1, 2007 deadline. It was hard work and commitment of Springfield Housing Authority Staff with the residents' cooperation that made this possible.

RAB MEETING AND COMMENTS

**A RAB Meeting was held on September 23, 2010 at 2:00 PM at
Springfield Housing Authority's main office at 200 N. 11th
Street, Springfield, IL.**

The following were in attendance:

**Kimeka Robinson – S. 13th Street – AMP 1
Janet Heard – Johnson Park – AMP 2
Sharon Scharf – Bonansinga Hi-Rise – AMP 3**

**Only comments about the PHA Plan were for more tree work
and the clearing of bushes, etc., around fencing and properties.
This will be taken care of with the 1450 Budget Line Item
budgeted for Landscaping.**

**VIOLENCE AGAINST WOMEN AND DEPARTMENT OF JUSTICE
REAUTHORIZATION ACT OF 2005, PUBLIC LAW 109-162, AND THE
UNITED STATES HOUSING ACT OF 1937, AS AMENDED:
SPRINGFIELD HOUSING AUTHORITY
PLAN OF ACTION**

VAWA 2005, signed into law on January 5, 2006 provides for protection for victims of abuse in the Public Housing Program and is incorporated into the Lease Addendum:

- An incident or incidents of actual or threatened domestic violence, dating violence, or staling will not be construed as serious or repeated violations of the lease or other “good cause” for termination of the assistance, tenancy, or occupancy rights of a victim of abuse. (Section 8(o)(7)(C) of the U.S. Housing Act of 1937.)
- Criminal activity directly relating to abuse, engaged in by a member of a tenant’s household or any guest or other person under the tenant’s control, shall not be cause for termination of assistance, tenancy, or occupancy rights if the tenant or an immediate member of the tenant’s family is the victim or threatened victim of that abuse. (Section 8(o)(7)(D)(i) of the U.S. Housing Act of 1937.)
- Notwithstanding the VAWA restrictions on admission, occupancy, or terminations of occupancy, or terminations of occupancy or assistance, or any Federal, State or local law to the contrary, a PHA may terminate assistance to or an owner or manager may “bifurcate” a lease, or otherwise remove a household member from the lease, without regard to whether a household member is a signatory to the lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful family members or others. This action may be taken without evicting, removing, terminating assistance to, or otherwise penalizing the victim of the violence who is also a tenant or lawful occupant. Such eviction, removal, termination of occupancy rights or termination of assistance shall be effected in accordance with the procedures prescribed by Federal, State, and local law for the termination of leases or assistance under the housing choice voucher program. (Section 8(o)(7)(D)(ii) of the U.S. Housing Act of 1937.)
- Nothing in Section 8(o)(7)(D)(i) may be construed to limit the authority of a public housing agency, owner, or manager, when notified, to honor court orders addressing rights of access or control of the property, including civil protection orders issued to protect the victim and issued to address the distribution or possession of property among the household members in cases where a family breaks up. (Section 8(o)(7)(D)(iii) of the U.S. Housing Act of 1937.)
- Nothing in Section 8(o)(7)(D)(i) limits any otherwise available authority of an owner or manager to evict or the public housing agency to terminate assistance to a tenant for any violation of lease not premised on the act or acts of violence in question against the tenant or a member of the tenant’s household, provided that the owner, manager, or public housing agency does not subject an individual who is or has been

a victim of domestic violence, dating violence, or stalking to a more demanding standard than other tenants in determining whether to evict or terminate. (Section 8(o)(7)(D)(iv) of the U.S. Housing Act of 1937.)

- Nothing in Section 8(o)(7)(D)(i) may be construed to limit the authority of an owner or manager to evict, or the public housing agency to terminate assistance, to any tenant if the owner, manager, or public housing agency can demonstrate an actual and imminent threat to other tenants or those employed at or providing service to the property if the tenant is not evicted or terminated from assistance. (Section 8(o)(7)(D)(v) of the U.S. Housing Act of 1937.)
- Nothing in Section 9(o)(7)(D)(i) shall be construed to supersede any provision of any Federal, State, or local law that provides greater protection than Section 8(o)(7)(D)(i) for victims of domestic violence, dating violence, or stalking. (Section 8(o)(7)(D)(vi) of the U.S. Housing Act of 1937.)

RAB MEETING AND COMMENTS

A RAB Meeting was held on September 23, 2010 at 2:00 PM at Springfield Housing Authority's main office at 1910 Truman Road, Springfield, IL.

The following were in attendance:

Sharon Scharf - Bonansinga Hi-Rise – AMP 3

Kimeka Robinson – Scattered Site – AMP 1

There were no comments or questions about the 5 year plan.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

Part I: Summary

| PHA Name/Number Springfield Housing Authority IL004 | | Locality (City/County & State) Springfield, Sangamon, IL | | | | <input checked="" type="checkbox"/> Original 5-Year Plan | <input type="checkbox"/> Revision No: |
|---|--|---|--|--|--|--|---------------------------------------|
| A. | Development Number and Name | Work Statement for Year 1 FFY <u>2012</u> | Work Statement for Year 2 FFY <u>2013</u> | Work Statement for Year 3 FFY <u>2014</u> | Work Statement for Year 4 FFY <u>2015</u> | Work Statement for Year 5 FFY <u>2016</u> | |
| B. | Physical Improvements Subtotal | Annual Statement | 2,150,000.00 | 2,625,000.00 | 2,450,000.00 | 2,625,000.00 | |
| C. | Management Improvements | | 180,000.00 | 200,000.00 | 220,000.00 | 240,000.00 | |
| D. | PHA-Wide Non-dwelling Structures and Equipment | | 325,000.00 | 75,000.00 | 75,000.00 | 105,000.00 | |
| E. | Administration | | 215,000.00 | 220,000.00 | 225,000.00 | 230,000.00 | |
| F. | Other | | 710,000.00 | 705,000.00 | 755,000.00 | 805,000.00 | |
| G. | Operations | | 220,000.00 | 230,000.00 | 240,000.00 | 250,000.00 | |
| H. | Demolition | | 500,000.00 | 300,000.00 | 300,000.00 | 300,000.00 | |
| I. | Development | | 300,000.00 | 300,000.00 | 300,000.00 | 300,000.00 | |
| J. | Capital Fund Financing – Debt Service | | | | | | |
| K. | Total CFP Funds | | 4,600,000.00 | 4,655,000.00 | 4,565,000.00 | 4,855,000.00 | |
| L. | Total Non-CFP Funds | | | | | | |
| M. | Grand Total | | 4,600,000.00 | 4,655,000.00 | 4,565,000.00 | 4,855,000.00 | |

Capital Fund Program—Five-Year Action Plan

| Work Statement for Year FFY <u>2012</u> | Work Statement for Year <u>2013</u> FFY 2013 | | | Work Statement for Year: <u>2014</u> FFY 2014 | | |
|---|---|----------------------------|----------------|---|----------------------------|----------------|
| | Development Number/Name General Description of Major Work Categories | Quantity | Estimated Cost | Development Number/Name General Description of Major Work Categories | Quantity | Estimated Cost |
| See Annual Statement | AMP 1 Paving | 4 Lots | 50,000.00 | AMP 1 Parking Areas | 4 Lots | 50,000.00 |
| | Tree/Landscape/Fence | AMP wide as needed | 50,000.00 | Tree/Landscape/Fence | AMP wide as needed | 50,000.00 |
| | Outside Lighting | Brandon Court | 30,000.00 | Outside Lighting | Brandon Court | 30,000.00 |
| | Roofs | Johnson Park | 100,000.00 | HVAC | As needed | 100,000.00 |
| | HVAC | 16 | 150,000.00 | Roof Replacement | 16 | 100,000.00 |
| | Kitchen/Bath Upgrades | 40 | 200,000.00 | Kitchen Upgrades | 40 | 300,000.00 |
| | ADA Compliance | AMP Wide | 100,000.00 | Bathroom Upgrades | 40 | 300,000.00 |
| | Siding/Windows/Doors | 20 | 200,000.00 | Vacant Unit Painting | AMP wide as needed | 45,000.00 |
| | Vacant Unit Painting | AMP wide as needed | 50,000.00 | Powerwashing | AMP Wide | 30,000.00 |
| | Power Washing | AMP Wide as needed | 30,000.00 | ADA Compliance | AMP Wide | 100,000.00 |
| | Appliances | 100 | 50,000.00 | Siding/Windows/Doors | AMP Wide as needed | 200,000.00 |
| | Vehicle | 1 | 25,000.00 | Appliances | 100 | 50,000.00 |
| | AMP 2 | | | Vehicle | 1 | 25,000.00 |
| | Tree/Landscape/Fence | AMP wide as needed | 25,000.00 | AMP 2 | | |
| | Paving/Sidewalks | AMP wide as needed | 25,000.00 | Parking Areas | AMP wide as needed | 30,000.00 |
| | Bathroom Upgrades | 30 | 150,000.00 | Tree/Landscape/Fence | AMP wide as needed | 30,000.00 |
| | Kitchen Upgrades | 30 | 150,000.00 | Bathroom/Kitchen Upgrades | 30 | 500,000.00 |
| | Siding/Windows/Doors | 15 | 150,000.00 | Roof Replacement | 16 | 100,000.00 |
| | ADA Compliance | AMP Wide | 100,000.00 | Vinyl | 15 | 100,000.00 |
| | Vacant Unit Painting | AMP wide as needed | 25,000.00 | Siding/Windows/Doors | AMP Wide | 20,000.00 |
| | Power Washing | AMP wide as needed | 15,000.00 | Vacant Unit Painting | AMP wide as needed | 20,000.00 |
| | Appliances | 50 | 25,000.00 | ADA Compliance | AMP wide | 100,000.00 |
| | Vehicle | 1 | 25,000.00 | HVAC | 20 | 100,000.00 |
| | | | | Appliances | 50 | 30,000.00 |
| | | Subtotal of Estimated Cost | \$1,725,000.00 | | Subtotal of Estimated Cost | \$2,410,000.00 |

Capital Fund Program—Five-Year Action Plan

| Work Statement for Year 1 FFY 2012 | Work Statement for Year 2015 FFY 2015 | | | Work Statement for Year: 2016 FFY 2016 | | |
|------------------------------------|--|--------------------|----------------|--|--------------------|----------------|
| | Development Number/Name General Description of Major Work Categories | Quantity | Estimated Cost | Development Number/Name General Description of Major Work Categories | Quantity | Estimated Cost |
| See | AMP 1 | | | AMP 1 | | |
| Annual Statement | Parking Areas | 4 Lots | 50,000.00 | Parking Areas | 4 Lots | 50,000.00 |
| | Tree/Landscape/Fence | AMP wide as needed | 50,000.00 | Tree/Landscape/Fence | AMP wide as needed | 50,000.00 |
| | Outside Lighting | Brandon Court | 30,000.00 | Outside Lighting | Brandon Court | 30,000.00 |
| | HVAC | As Needed | 150,000.00 | HVAC | As Needed | 150,000.00 |
| | Roof Replacements | 16 | 150,000.00 | Roof Replacements | 16 | 150,000.00 |
| | ADA Compliance | AMP Wide | 200,000.00 | ADA Compliance | AMP Wide | 200,000.00 |
| | Electrical Upgrades | AMP Wide | 100,000.00 | Electrical Upgrades | AMP Wide | 100,000.00 |
| | New Windows | 20 | 100,000.00 | New Windows | 20 | 100,000.00 |
| | Powerwashing | AMP Wide | 30,000.00 | Powerwashing | AMP Wide | 30,000.00 |
| | Vacant Unit Painting | AMP wide as needed | 45,000.00 | Vacant Unit Painting | AMP wide as needed | 45,000.00 |
| | Siding/Windows/Doors | AMP Wide as needed | 200,000.00 | Siding/Windows/Doors | AMP Wide as needed | 200,000.00 |
| | Appliances | 100 | 50,000.00 | Appliances | 100 | 50,000.00 |
| | Vehicle | 1 | 25,000.00 | Vehicle | 1 | 25,000.00 |
| | AMP 2 | | | AMP 2 | | |
| | Parking Areas | AMP wide as needed | 30,000.00 | Parking Areas | AMP wide as needed | 30,000.00 |
| | Tree/Landscape/Fence | AMP wide as needed | 30,000.00 | Tree/Landscape/Fence | AMP wide as needed | 30,000.00 |
| | Bathroom/Kitchen Upgrades | 30 | 500,000.00 | Bathroom/Kitchen Upgrades | 30 | 500,000.00 |
| | Roof Replacement | 30 | 100,000.00 | Roof Replacement | 30 | 100,000.00 |
| | Vinyl Siding/Windows/Doors | 15 | 100,000.00 | Vinyl Siding/Windows/Doors | 15 | 100,000.00 |
| | Power Washing | AMP Wide | 20,000.00 | Power Washing | AMP Wide | 20,000.00 |
| | Vacant Unit Painting | AMP wide as needed | 20,000.00 | Vacant Unit Painting | AMP wide as needed | 20,000.00 |
| | ADA Compliance | AMP wide | 100,000.00 | ADA Compliance | AMP wide | 100,000.00 |
| | HVAC | 50 | 100,000.00 | HVAC | 50 | 100,000.00 |
| | Appliances | 1 | 30,000.00 | Appliances | 1 | 30,000.00 |
| | Subtotal of Estimated Cost | | \$2,210,000.00 | Subtotal of Estimated Cost | | \$2,210,000.00 |

SECTION 9.0 HOUSING NEEDS

With information provided by the Consolidated Plan of the Jurisdiction of the City of Springfield, and the U.S. Census data, the following information identifies the housing needs:

- Family Type with <+30% of AMI, the housing need is 4,289, with affordability, supply, location and quality as being the highest rate of impact for this group. Accessibility and size having little to no impact.
- Family Type with >30% but <=50% of AMI the housing need is 3,429 with affordability, supply, location and quality being the highest rate of impact for this group. Again accessibility and size having little to no impact.
- Elderly housing needs is as follows: Affordability being the highest rate for this group, with supply, quality, accessibility and size being the least impact.
- Families with Disabilities are slightly impacted by supply and location. Their highest rate of impact is affordability, quality, accessibility and size.