PHA 5-Year and	U.S. Department of Housing and Urban	OMB No. 2577-0226
Annual Dian	Development	Expires 4/30/2011
Annual Plan	Office of Public and Indian Housing	

	I						
1.0	PHA Information						
	PHA Name: Housing Aut	hority of	the City of Stamfor	r <b>d</b> F	PHP	Code:	CT-07
	PHA Type: Small	High	Performing			HCV (Se	ection 8)
	PHA Fiscal Year Beginning:	July 1, 20	)12	<del></del>		,	•
2.0	Inventory (based on ACC un	its at time	e of FY beginning in	1.0 above)			
	Number of PH units: 503		N	umber of HCV ur	nits:	1,343	
						,	
3.0	Submission Type						
			Annual Plan Only	v	<b>5-</b>	Year Pla	n Only
4.0	DIIA Compositio DIIA Com	mt: (O	-				•
	PHA Consortia PHA Con	soma: (C	neck box if submitting a	a joint Plan and cor	mpiet	e table be	∋iow.)
		PHA	Program(s)	Drograma Noti	5	No. of U	Jnits in
	Participating PHAs		Included in the	Programs Not i	n	Each P	rogram
		Code	Consortia	the Consortia		PH	HCV
	PHA 1:						
	PHA 2:						
	PHA 3:						
5.0	5-Year Plan. Complete items	5.1 and	5.2 only at 5-Year Pla	an update.			
	, , , , , , , , , , , , , , , , , , , ,		,				
5.1	Mission. State the PHA's Mi	ssion for	serving the needs of	low-income, very	v Iow	/-income	and
	extremely low income families						,
					· .		
	The HA shall at all times	develor	and onerate each	nroject solely	, for	the nu	rnosa
	of providing decent, safe						
	that promotes serviceable				t tne	e projec	rs, and
	the economic and social	well-bei	ing of the tenants.				
5.2	Goals and Objectives. Iden						
	PHA to serve the needs of lov						
	for the next five years. Include			PHA has made	in m	eeting th	e goals
	and objectives described in the	ne previou	us 5-Year Plan.				
			See Attachment A				

### **PHA Plan Update**

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

6.0

- No other major Board of Commissioner approved policies covered by the Agency Plan have been modified or are planned to be modified by Board Action during the fiscal year ending 6/30/2012
- (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

Copies of the Housing Agency Plan are available for public review at the Authority's Main Office located at 22 Clinton Avenue, Stamford, Connecticut. This location is wheelchair accessible.

The Authority does not Based on a review of orward on refinancin	RAD and an	alysis of PIH Admin	Notice 12-7, the CO	C may mov
oriorities in sequence Lawn Avenue Townho orogram that the COC	are Stamfo ouses, Sheri determines	rd Manor, Ursula Pa dan Mews and our s	rk Townhouses, Con cattered sites An	necticut A y refinanci
application under 24 ( The HACS may develon ollowing properties s	op and subn		າ for Designated Hoເ	ısing for tl
1a. Development na				
<ul><li>1b. Development (pr</li><li>2. Designation type:</li></ul>	oject) numb	oers: C1 7-4/8		
Occupancy b				
		ith disabilities   y families and famil	os with disabilities	
3. Application status			es with disabilities	
		PHA's Designation	Plan 🗌	
Submitted, pe Planned app	· · ·	ovai 🔝		
1. Date this desi		proved, submitted, o	r planned for submis	ssion: <b>Not</b>
Known 5. If approved, will t	his designa	tion constitute a (sel	ect one)	
New Designation	n Plan	`	,	
<ul><li>Revision of a pre</li><li>Number of units</li></ul>		proved Designation	Plan?	
<ol> <li>Coverage of acti</li> </ol>		ne)		
<ul><li>☐ Part of the devel</li><li>☐ Total developm</li></ul>				
The Authority anticipa edevelopment activit		ed use of Project-ba	sed Section 8 (PBV)	in suppor
•		CT-BASED VOUCHE		
airfield Commons:	13	Existing Utilization Clinton Manor	88	
Quntard Mano	60 10	Post House Fairgate	50 <u>22</u>	
avlor Street	. •	TOTAL	<del>==</del> 243	
aylor Street		IOIAL		

8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the Capital Fund Program Annual Statement/Performance and Evaluation Report, form HUD-50075.1, for each current and open CFP grant and CFFP financing.
	See Attachment B for the Annual Statement and Attachment C for the CFP Performance and Evaluation Reports Attachment G for the RHF Performance and Evaluation Reports
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan,</i> form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.
	See Attachment D for copy of Five-Year Action Plan
8.3	Capital Fund Financing Program (CFFP).  Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/ Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.
9.0	<b>Housing Needs</b> . Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.
	For an integrated presentation of needs and strategies to address these needs; See Section 10.0 below.

Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.

### Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

- a. Reduce turnover time for vacated public housing units
- b. Seek replacement of public housing units lost to the inventory through mixed finance development
- c. Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- d. Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- e. Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- f. Participate in the Consolidated Plan development process to ensure coordination with broader community strategies

### Strategy 2: Increase the number of affordable housing units by:

- a. Apply for additional section 8 units should they become available
- b. Leverage affordable housing resources in the community through the creation of mixed finance housing
- c. Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- d. Implement Project-based Section 8 Voucher Program consistent with revised statutes.
- e. Amend Section 8 Admin Plan to allow and promote use of project-based vouchers in neighborhoods that are under a comprehensive revitalization and at other mixed-finance developments.

### Need: Specific Family Types: Families at or below 50% of median

a. Adopt rent policies to support and encourage work

### Other Housing Needs & Strategies:

The Authority will continue to implement a Project-based Section 8 Program (PBV) track designed to work in tandem with other sources of affordable housing finance.

Given the historic under-funding of modernization, the Authority will be looking at ways to leverage resources to redevelop its public housing portfolio. Re-establishing a stable and viable federal housing portfolio is a major priority for the Authority over the development of incremental units but will not preclude supporting opportunities to assist other entities in the expansion of affordable housing in Stamford.

9.1

**Additional Information**. Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a statement of the PHA's progress in meeting the mission and goals described in the 5- Year Plan.

### See Attachment E

1. Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

The Housing Authority of the City of Stamford considers a major change in the content of the HAP for the fiscal year starting 7/1/2012 to consist of one or more the following:

10.0

- 1. Implementation of program incentives to increase the number of working households in the program.
- 2. A decision to request a voluntary conversion of public housing to Section 8 Vouchers.

For this fiscal year, the following actions are <u>NOT</u> to be considered major changes. These matters have been discussed with the RAB as part of the process resulting in the baseline Housing Agency Plan for 2012.

- 1. Submission of an Allocation Plan for Designated Housing.
- 2. Submission of an Application for inclusion in the Moving to Work (MTW) Program.
- 3. Actions to reposition any remaining federal property under the Rental Assistance Demonstration (RAD) Program or through a refinancing that requires disposition to be implemented.
- 4. Revisions to the Section 8 Administrative Plan to address program integrity, customer service and to implement policies and procedures to improve management of program utilization levels.
- 5. Revisions to the ACOP to address program integrity and improve customer service.
- 6. Decisions to open a waiting list for a specific period of time.
- 7. Changes in the Capital Plan.

- 11.0 Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.
  - (a) Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations (which includes all certifications relating to Civil Rights)
  - (b) Form HUD-50070, Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)
  - (c) Form HUD-50071, Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)
  - (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
  - (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
  - (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.
  - (g) Challenged Elements
  - (h) Form HUD-50075.1, Capital Fund Program Annual Statement/Performance and Evaluation Report (PHAs receiving CFP grants only)
  - (i) Form HUD-50075.2, Capital Fund Program Five-Year Action Plan (PHAs receiving CFP grants only)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

### **Instructions form HUD-50075**

**Applicability**. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

#### 1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

#### 2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

#### 3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

#### 4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

#### 5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

- **5.1 Mission**. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.
- **5.2** Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.
- 6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:
  - (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
  - (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

 Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures. Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

- 2. Financial Resources. A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
- Rent Determination. A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
- 4. Operation and Management. A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
- Grievance Procedures. A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
- 6. Designated Housing for Elderly and Disabled Families. With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: 1) development name and number; 2) designation type; 3) application status; 4) date the designation was approved, submitted, or planned for submission, and; 5) the number of units affected.
- 7. Community Service and Self-Sufficiency. A description of: (1) Any programs relating to services and amenities provided or offered to assisted families; (2) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; (3) How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (Note: applies to only public housing).
- 8. Safety and Crime Prevention. For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

- Pets. A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
- 10. Civil Rights Certification. A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
- Fiscal Year Audit. The results of the most recent fiscal year audit for the PHA.
- 12. Asset Management. A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
- 13. Violence Against Women Act (VAWA). A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.
- 7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers
  - (a) Hope VI or Mixed Finance Modernization or Development. 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm
  - http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm
  - (b) Demolition and/or Disposition. With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at:

http://www.hud.gov/offices/pih/centers/sac/demo\_dispo/index.cfm

**Note:** This statement must be submitted to the extent **that approved and/or pending** demolition and/or disposition has changed.

(c) Conversion of Public Housing. With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <a href="http://www.hud.gov/offices/pih/centers/sac/conversion.cfm">http://www.hud.gov/offices/pih/centers/sac/conversion.cfm</a>

- (d) Homeownership. A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) Project-based Vouchers. If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.
- 8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.
  - 8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the Capital Fund Program Annual Statement/Performance and Evaluation Report (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:
    - (a) To submit the initial budget for a new grant or CFFP;
    - (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
    - (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

- At the end of the program year; until the program is completed or all funds are expended;
- When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
- Upon completion or termination of the activities funded in a specific capital fund program year.

#### 8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3** Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

- portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:
- $\underline{http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm}$
- 9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
  - 9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year.
    (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
- **10.0** Additional Information. Describe the following, as well as any additional information requested by HUD:
  - (a) Progress in Meeting Mission and Goals. PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
  - (b) Significant Amendment and Substantial Deviation/Modification. PHA must provide the definition of "significant amendment" and "substantial deviation/modification". (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. (Note: Standard and Troubled PHAs complete annually).
- 11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.
  - (a) Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations
  - (b) Form HUD-50070, Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)
  - (c) Form HUD-50071, Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)
  - (d) Form SF-LLL, Disclosure of Lobbying Activities (PHAs receiving CFP grants only)
  - (e) Form SF-LLL-A, Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)
  - (f) Resident Advisory Board (RAB) comments.
  - (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
  - (h) Form HUD-50075.1, Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only). See instructions in 8.1.
  - (i) Form HUD-50075.2, Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only). See instructions in 8.2.

# Charter Oak Communities Major Agency Goals & Objectives Five Year Rolling Plan

Each year the <u>Housing Authority of the City of Stamford d/b/a Charter Oak Communities ("COC")</u> updates its Major Agency Goals & Objectives to maintain a current working plan for the seven (7) areas which broadly cover organizational components for a quasi-governmental affordable housing real estate operation.

### A) Asset Management

"Asset Management" covers systems and organizational capacity to oversee the various owned real estate assets of the Authority as well as repositioning of the specific properties through administrative policy changes. This includes regulatory compliance, customer services, property management and the related systems that support these functions such as Information Technology, staff expertise and the creation of new or revised administrative policies. In addition, portfolio strategic planning falls under this heading as well as modernization and extraordinary maintenance activities that do not use leveraged financing mechanisms.

# A.1) Refine program administration in a manner that sustains full regulatory compliance and improves customer service.

### **Short Term (12 to 24 months)**

- Redesign and implement new resident orientation program using a combination of video and live person presentations that reflects the agency's Family First Program goals.
- Update Information Technology (IT) systems for verification of income and program eligibility determinations to identify improvements to tracking systems for meeting third party verification requirements.
- Develop accessible communication policy and procedures to address both Section 504 and Limited English Proficiency requirements. Continue to train staff on their role and proper practices regarding this and other fair housing guidelines.

- 4. Expand the ACH debit and implement other procedures to better balance customer service with the essential function of collecting rents (e.g. implement and promote use of Electronic Funds Transfer by residents).
- 5. Implement a web portal that provides information to the general public, vendors seeking procurement opportunities, and program participants. Determine if this web portal can provide site-based staff with secure access to other IT modules.
- 6. Seek waiver for annual recertification requirement from Connecticut Housing Finance Agency for selected development sites.
- 7. Provide staff training on fundamentals of marketing as part of the plan to expand the portfolio.
- 8. Develop staff capacity to forecast occupancy trends using market data and development specific history.
- Continue to refine the work order system to establish performance metrics, allow for work orders to be sent to roving maintenance staff on a real time basis, and access data to track quality of performance and success of operational initiatives.
- 10. Review the ACOP with staff on a chapter by chapter basis to re-baseline staff practices to established or new policy.
- 11. Upgrade the rent billing statement system.
- 12. Continue to reach out to MBE's, WBE's and Section 3 small business while purchasing goods and services.
- 13. Make rental applications for all programs available on the COC website.
- 14. Renovate building and move East Side Property Management office.
- 15. Distribute Emergency Operations Plan to all communities.

### Medium Term (25 to 48 months)

- 1. Develop IT capacity to provide information on waiting list status via the internet.
- 2. Update Quality Control Plan and assessment standards.

### Long Term (49+ months)

None

# A.2) Improve internal reporting systems to provide accurate and timely vital statistics on HACS operational activities.

### Short Term (12 to 24 months)

- Improve and continue to build formal internal reporting system that provides for information required for reporting out on basic program-related performance criteria (Phase I)
- 2. Design and implement tracking system that can report customer/staff contacts and provides a breakout of contacts in major categories.
- 3. Finalize upgrade of accounting systems to support project-based budgeting and other HUD asset management requirements and initiatives.
- Continue improvement of internal controls over accounting system and financial reporting through revised practices and increased security of accounting software.

### Medium Term (25 to 48 months)

- Develop a more advanced internal reporting system that builds on Phase I and integrates operational information with financial information to establish cost efficiency benchmark. Incorporate tracking of Quality Control activities to provide senior staff with operational information on recertification error rates and administrative weaknesses.(Phase II)
- Create data base of resident email address allowing for the mass communication such as newsletters, emergency communiqués and community events.

### Long Term (49+ months)

1. Institute internal process for annual assessment of internal reporting needs and the best means (lowest staff effort required but with highest quality of data) to capture data including increased role for program participants to use online data entry to provide information on household composition, income verification and rent determination information.

# A.3) Improve customer service through more effective use of technology.

### **Short Term (12 to 24 months)**

- 1. Provide staff training and exposure to the full functionality of the current IT and related information management systems.
- Make staff available for Webcasts of HUD, CHFA and other training videos.
- 3. Provide more resident information, forms, etc. on the organization's website.

### Medium Term (25 to 48 months)

- 1. Expand "Pilot": use of digital image storage and archiving of centralized program participant files that can be accessed at site offices.
- Talk to local cable provider about the possibility of the HACS becoming a "wholesaler" of cable access. This means that the Authority will purchase for resale development-wide access to cable and cable-based internet access.

### Long Term (49+ months)

1. Conduct assessment of the effectiveness of the installed systems to identify next steps and upgrade alternatives.

# A.4) Transition to a site-based management paradigm that includes the use of full use project-based budgets, site-based waiting lists and site-based offices

### **Short Term (12 to 24 months)**

- Continue implementation of asset based management which means projectbased budgets for each property, property based waiting lists and operational reports that provide detail at the site level for work orders, rent collection and other major performance indicators.
- 2. Expand training to staff on budget management and fiscal accountability requirements, systematically coordinating management operations and finance.
- 3. Train staff on budget management and fiscal accountability requirements, systematically coordinating management operations and finance.
- 4. Update tenant charge schedule and revise administrative systems for enforcement of charges.

- 5. Review the existing COC leases and obtain recommendations for lease format that can work with single program and multiple program properties.
- 6. Review lease and policy requirements for unit abandonment and/or death of a sole resident.
- 7. Implement system to allow applicants to access their waiting list status.

### Medium Term (25 to 48 months)

- 1. Review staffing patterns and organizational model for each property to determine if increased assignment of operational functions to the site level and away from the central office is feasible.
- 2. Prepare and develop site specific management plans for newly acquired private properties in targeted neighborhoods
- 3. Update development physical needs assessment and projections of short and long term capital needs.

### **Long Term (49+ months)**

1. Implement annual review process to assess financial and operational condition at each development. Make determination if specific development could be candidates for refinancing/redevelopment outside of the public housing program.

# A.5) Strengthen basic accounting and financial management systems

1. Develop an investment strategy which will guide the Authority in establishing long term guidelines which will maximize financial success and minimize risk.

# A.6) Maintain security and public safety systems at the highest levels permitted by available funding

### **Short Term (12 to 24 months)**

- 1. Develop and implement an portfolio-wide security plan.
- Complete the installation of equipment to integrate multiple sites (both existing and newly developed) into single point of entry monitoring and access control systems.
- 3. Evaluate existing "concierge" building monitors at the elderly/disabled high-rises as well as use of stipends in light of recent enforcement-related issues identified by IRS on employee/contractor/volunteer issues for non-profit entities.

4. Meet regularly with Stamford Police Department identifying neighborhood safety meetings. Incorporate neighbors from the commercial and market rate housing communities.

### Medium Term (25 to 48 months)

1. Expand community policing pilot programs to all developments as the City makes resources available.

### Long Term (49+ months)

1. Evaluate effectiveness of security technology versus human resources.

### B) Development & Redevelopment

"Development & Redevelopment" covers activities that add additional dwelling units to Stamford's housing stock or activities related to the repositioning of existing hard assets of the authority. Activities that involve real estate finance using private sector funds which may or may not be combined with public sector grants fall under this heading.

B.1) Diversify the Housing Portfolio of the agency in terms of types of funding and financing sources; types of housing (family, elderly & supportive); and range of incomes served.

### Short Term (12 to 24 months)

- Implement development process for Vidal Court, a state-aided housing development.
  - a. Complete development of Phase II, off-site development which will utilize accumulated Replacement Housing Factor (RHF) to create LIPH units.
  - Obtain funding commitments for Phase III off-site development and commence construction
  - c. Commence and complete temporary relocation of Vidal Court households not permanently relocated prior to target date for demolition
  - d. Complete acquisition of property for Phase IV off-site development
  - e. Complete environmental testing and preparation for demolition of existing Vidal Court buildings, and commence and complete abatement and demolition
- 2. Commence and complete rehab of Clinton Manor and Quintard Manor, two former public housing developments which have been converted to Section 8
- 3. Obtain funding commitments for a new development to replace Czescik Homes and commence construction
- 4. Submit applications to HUD for the disposition of remaining, original Low-Income Public Housing (LIPH) developments, and if approved, submit applications to HUD for replacement Section 8 vouchers in a number equivalent to the number of LIPH units approved for disposition
- Acquire existing private properties for redevelopment in targeted neighborhoods (i.e. Stamford West Side) to support current mixed-income, public housing redevelopment efforts, including acquisition of site(s) for off-site Phase V of the Vidal Court revitalization.

### Medium Term (25 to 48 months)

- Complete planning for recapitalization of a major state assisted housing redevelopment project and redevelopment and revitalization of another. Initiate development of at least one of the developments
- Complete Vidal Court redevelopment process. Commence development of Phases IV, and V
- 3. Identify existing multi-family housing development suitable for acquisition
- 4. If appropriate, initiate predevelopment activities concerning replacement of a senior housing development
- 5. Review and update COC Preventive Maintenance programs to maintain new and modernized systems in each development in the COC portfolio.
- 6. In coordination with PNA updates, acquire a database for each property of quantities used for cost estimating
- 7. Integrate Preventive Maintenance into work order system so that the work order system can generate scheduled maintenance work orders.
- 8. Digitize and inventory all plans to all developments
- 9. Review existing materials on file at the COC and determine which information should be saved for library
- 10. Obtain software system that will track maintenance records and be able to plan for extraordinary maintenance.
- 11. Develop an Integrated Pest Management (IPM) plan
- 12. Assure the most cost effective approach to unit turnover.
- 13. Develop a Small Business Program for outsourcing vacancy turnover. Link this program as a business incubator for Section 3 compliance issues and as a source of individuals and firms that can be used by contractors on larger assignments for them to meet Affirmative Action and Section 3 goals.

### Long Term (49+ months)

- Research available expiring use properties in Stamford and/or acquire an expiring LIHTC, 221(d), Section 236 development or other expiring affordable housing development
- 2. Investigate opportunities for merger, partnership, and/or provision of consulting services to other Connecticut housing authorities

### C) Leased Housing Programs

"Leased Housing Programs" refer to the use of rental subsidies in either private owned units or in units under Authority ownership. The primary program under this heading is the Section 8 Housing Choice Voucher Program and any specialized set-asides of Section 8 used for meeting community needs such as supportive housing or geographic deconcentration of poverty. Administrative systems that support the use of rental subsidies and related quality control and program performance evaluation tools also fall under this heading.

- 1. Monitor Congressional deliberations regarding legislative initiatives that could significantly affect the operation of PHA leased housing programs, especially appropriation trends that could result in less availability of budget authority or lack of timely availability of budget authority.
- 2. As further funding reductions are made to the HCV program, identify best practices, gather data and make Program recommendations.
- 3. Review and update the Administrative Plan.
- 4. Implement mail-in recertification for all program participants.
- 5. Create an internal waiting list for Project Based Voucher participants.
- 6. Conduct outreach efforts to Section 8 Housing Choice Voucher landlords to expand the Housing Assistance Payments direct deposit program. Assess the impact of making it mandatory for all landlords.
- 7. Implement supportive services programs in partnership with Family Centers for Section 8 program participants at risk of non-compliance or in need of supportive services.

### Medium Term (25 to 48 months)

1. Utilize project-based Section 8 Voucher for continuing Authority development efforts including family, supportive and elderly facilities.

### **Long Term (49+ months)**

1. Utilize Vouchers to support Authority redevelopment and capitalization efforts.

### D) Supportive & Resident Services

"Supportive & Resident Services" covers all Authority initiatives that cover household-based economic development efforts such as the Family Self-Sufficiency Program or services targeted to specific sub-populations to assist them in maintaining their residency or ability to be program participants.

### **Short Term (12 to 24 months)**

- 1. Design and execute a Financial Education Program with service partners to improve level of financial literacy of residents in all assisted housing programs.
- 2. Formulate Strategic Partnerships with key provider partners and local institutions to leverage Authority resources and mission.
- 3. Implement program intended to improve resident occupancy standards utilizing a combination of lease enforcement, eviction mitigation and third party counseling.

### Medium Term (25 to 48 months)

- Assess effectiveness of existing the Family Self-Sufficiency Program(s) for Section 8 and/or public housing residents.
- 2. Optimize and ensure sustainability of supportive housing program for Fairfield Court and Vidal Court replacement housing developments.
- Continue to solidify Strategic Partnerships with Family Centers and Stamford Hospital and build permanent network of supportive service and employment provider partners to work closely with Resident Service Coordinators assigned to COC developments.
- Continue implementation of Resident Service Coordinator program at developments currently being served and expand program to all COC developments, subject to funding availability
- 5. Expand and formalize programs intended to improve resident occupancy standards utilizing a combination of lease enforcement, eviction mitigation and counseling provided by provider partners.
- 6. Prepare materials that describe COC initiatives and "Best Practices" to be used as in coordination with public speaking and other opportunities to share COC achievements with regional municipal entities and national housing authorities in areas such as resident services, strategic partnerships, occupancy standards, etc.

### Long Term (49+ months)

1. Realize long-term improvement in resident occupancy standards resulting in safe, sanitary living conditions and increased dignity for household members.

### E) Corporate/Governance

"Corporate/Governance" covers issues related to the Authority's establishment and maintenance of instrumentalities or affiliates including management of conflict of interest issues and other issues that arise concerning the relationship between the Authority and these other entities.

### **Short Term (12 to 24 months)**

- 1. Support operation of development instrumentality Rippowam Corporation and various general partner affiliates. Maintain proper allocation plans, conflict of interest policies, inter-entity agreements and corporate distinctions.
- 2. Refine rationale for use of single purpose entity to support Authority redevelopment efforts that promotes maximum flexibility while keeping the number of entities the fewest necessary to accomplish agency goals & objectives.
- 3. Form non-controlled affiliate to compete for work in private sector and undertake activities outside of Stamford geographical area.
- 4. Train staff of all affiliated entities on conflict of interest and professional corporate conduct. Develop internal controls mechanisms to identify potential conflict situations that may arise for appropriate review by legal counsel and Board of Commissioners.

### Medium Term (25 to 48 months)

- 1. Form one or more single purpose entity to support Authority redevelopment efforts consistent with the rationale developed in #2 above.
- 2. Develop and grow non-controlled affiliate to achieve purpose in #3, above.

### Long Term (49+ months)

1. Continue instrumentality/affiliate formation and management.

## F) Entrepreneurial Activities

"Entrepreneurial Activities" are initiatives to refine development services that can be marketed to third parties involved in the affordable housing and market rate housing markets.

### **Short Term (12 to 24 months)**

- 1. Examine feasibility of providing fee-for-services to third parties including property management, LIHTC compliance monitoring, real estate development, asset management, supportive housing program design, and property financial services.
- 2. As described above, form non-controlled affiliate to compete for work in private sector and undertake activities outside of Stamford geographical area.

### Medium Term (25 to 48 months)

1. Implement action steps to achieve competency and capacity to perform functions described in Short Term above.

### Long Term (49+ months)

1. Continue implementation of steps described above.

### G) Organizational Administration

"Organizational Administration" references initiatives which deal with human capital, financial management, information technology, risk services, purchasing, risk management and any other strategic administrative functions which enhance or improve the operations and the positioning of the Authority.

### Short Term (12 to 24 months)

- 1. Update assessment of the current management information system and its capacity to meet the growing needs of the Authority.
- 2. Establish an employee pay-for-performance compensation strategy where merit increases are intimately tied to individual, team or organizational performance.
- 3. Cultivate programs such as cross-training which provide tools for mentoring and developing employees.
- Build a purchasing process which provides balances cost against administrative burden to assure maximum value for each dollar spent by the Authority.
- 5. Fully implement a document retention policy and begin its implementation Authority-wide.
- 6. Continue to advance Board development initiatives including Board education and training..
- 7. Develop language for personnel policy and collective bargaining that makes knowledge of IT systems as they apply to a position a factor in promotions for both union and non-union positions. In the case of union positions, conform this policy to seniority requirements.

### Medium Term (25 to 48 months)

- 1. Install a succession plan for key members of the Authority.
- 2. Complete the disposal/archiving of historical and/or stored documents.

### Long Term (49+ months)

Conduct systematic review of COC organizational structure and positions
descriptions approximately every five years to assure that staffing pattern and
written position descriptions are accurate and comprehensive in terms of staff
assignments and functions.

Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011 U.S. Department of Housing and Urban Development

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

Part Y.	Part I: Summary				Expires 4/50/2011
PHA N. City of	using Authority of the	Grant Type and Number Capital Fund Program Grant No: CT 26-P007-501-12 Replacement Housing Factor Grant No: Date of CFFP:	-12		FFY of Grant: 2012 FFY of Grant Approval:
Type of XOrigi	Type of Grant  XOriginal Annual Statement  Reserve for Disasters/Emergencies  Performance and Evaluation Report for Period Ending:	rs/Emergencies		Revised Annual Statement (revision no:	nt (revision no: ) Evaluation Report
Line	Summary by Development Account	Total	Estimated Cost	To	Total Actual Cost 1
		Original	Revised <sup>2</sup>	Obligated	Expended
	Total non-CFP Funds		The state of the s		
7	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	99,528			
m	1408 Management Improvements	49,764		THE PROPERTY AND ADDRESS OF THE PROPERTY OF TH	
4	1410 Administration (may not exceed 10% of line 21)	49,764			
S	1411 Audit	TO THE PROPERTY OF THE PROPERT	THE PROPERTY OF THE PROPERTY O		
9	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000			
&	1440 Site Acquisition				
6	1450 Site Improvement				
10	1460 Dwelling Structures	228,586			
11	1465.1 Dwelling Equipment—Nonexpendable				**************************************
12	1470 Non-dwelling Structures			The state of the s	
13	1475 Non-dwelling Equipment	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$			
14	1485 Demolition				1,
15	1492 Moving to Work Demonstration	THE THE PROPERTY AND ADDRESS OF THE PROPERTY ADDRESS OF THE PROPERTY AND ADDRESS OF THE PROPERTY ADDRESS OF THE PROPERTY AND ADDRESS OF THE PROPERTY ADDRESS O			
16	1495.1 Relocation Costs				
17	1499 Development Activities 4	The state of the s			
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form HUD-50075.1 (4/2008)

<sup>&</sup>lt;sup>1</sup> To be completed for the Performance and Evaluation Report.
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.
<sup>4</sup> RHF funds shall be included here.

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program Annual Statement/Performance and Evaluation Report

Part I. S	Part I. Summary			The second secon	Expires 4/30/2011
DUA NAME	Committee				
Housing A Housing A of the City of Stamford	Housing Authority Capital Fund Program Grant No: CT 26 P007-501-12 Replacement Housing Factor Grant No: Date of CFFP:		FFY of FFY of	FFY of Grant Approval:	
Type of Grant	Frant				
X Origi	X Original Annual Statement	ies	☐ Revised Anr	Revised Annual Statement (revision no:	
Perf	Performance and Evaluation Report for Period Ending:		Final Perfo	Final Performance and Evaluation Report	
Line	Summary by Development Account	Total E	Total Estimated Cost	Total Ac	Total Actual Cost 1
		Original	Revised 2	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				and the second s
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				The second secon
19	1502 Contingency (may not exceed 8% of line 20)	20,000			- A - Market - Company of the Compan
70	Amount of Annual Grant: (sum of lines 2 - 19)	497,642			
21	Amount of line 20 Related to LBP Activities				THE PROPERTY OF THE PROPERTY O
22	Amount of line 20 Related to Section 504 Activities			The second secon	
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				· The state of the
25	Amount of line 20 Related to Energy Conservation Measures				
Signatu	Signature of Executive Director		Signature of Public Housing Director	irector	Date

To be completed for the Performance and Evaluation Report.

To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

PHAs with under 250 units in management may use 100% of CFP Grants for operations.

RHF funds shall be included here.

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226

Expires 4/30/2011

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

		Status of Work														
	12	Sost	Funds Expended <sup>2</sup>													
	Federal FFY of Grant: 2012	Total Actual Cost	Funds Obligated <sup>2</sup>													
	Federal F	ted Cost	Revised <sup>1</sup>				:									
	501-12	Total Estimated Cost	Original		57,500	122,500				40,000	8,586		25,000	20,000	4,746	
	CT 26 P007.	Quantity														
	Grant Type and Number Capital Fund Program Grant No: CT 26 P007-501-12 CFFP (Yes/ No): Replacement Housing Factor Grant No:	Development Account No.			1460	1460				1460	1460	1408				
	Grant Ty Capital Fi CFFP (You Replacent	or Work														
	PHA Name: Housing Authority of the city of Stamford	General Description of Major Work Categories			Concrete, Brick Repair	Sprinkler line replacement				Window Replacement	Window Replacement	Management Improvements	Security	MAPPLAN	Computer upgrades	
Part II: Supporting Pages	PHA Name: <b>Housing A</b> Stamford	Development Number Name/PHA-Wide Activities		AMP 2	Stamford Manor			AMP 3	Ursula Park	Ursula Park Twnhs	Sheridan Mews	HA-Wide				

<sup>&</sup>lt;sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

form HUD-50075.1 (4/2008)

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and

<sup>&</sup>lt;sup>2</sup> To be completed for the Performance and Evaluation Report.

		축															
		Status of Work															
	112	Cost	Funds Expended <sup>2</sup>	-													
	Federal FFY of Grant: 2012	Total Actual Cost	Funds Obligated <sup>2</sup>	)													
	Federal	tted Cost	Revised 1				:	:									
	01-12	Total Estimated Cost	Original	49,764		50,000											
	: CT 26 P007-5	Quantity															
	Grant Type and Number Capital Fund Program Grant No: CT 26 P007-501-12 CFFP (Yes/ No): Replacement Housing Factor Grant No:	Development Account No.		1410	1430												
	Grant Ty Capital Fu CFFP (Ye Replacem	r Work															
	PHA Name: Housing Authority of the City of Stamford	General Description of Major Work Categories		Administration	Fees & Costs	Architect & Engineering Fees	The state of the s			THE THE PROPERTY OF THE PROPER	***************************************	Add the state of t	THE PROPERTY OF THE PROPERTY O		 TOTAL TOTAL	THE PARTY OF THE P	
Part II: Supporting Pages	PHA Name: <b>Housing Au</b>	Development Number Name/PHA-Wide Activities		HA-Wide													

 $^1$  To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  $^2$  To be completed for the Performance and Evaluation Report.

form HUD-50075.1 (4/2008)

Part III: Implementation Schedule for Capital Fund Financing Program	edule for Capital Fund	Financing Program			The second secon
PHA Name: Housing Authority of the City of Stamford	rity of the City of St	amford			Federal FFY of Grant: 2012
Development Number Name/PHA-Wide Activities	· All Fund (Quarter F	All Fund Obligated (Quarter Ending Date)	All Fund (Quarter F	All Funds Expended (Quarter Ending Date)	Reasons for Revised Target Dates
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 2	9/30/14		9/30/16	THE PARTY OF THE P	
AMP 3	9/30/14		9/30/16	The state of the s	
HA Wide	9/30/14		9/30/16	The state of the s	THE PROPERTY AND P
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Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended,

form HUD-50075.1 (4/2008)

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011

	Federal FFY of Grant:	Reasons for Revised Target Dates								A A STATE OF THE S			
		All Funds Expended (Quarter Ending Date)	Actual Expenditure End Date										
		All Funds (Quarter E	Original Expenditure End Date										
Financing Program		All Fund Obligated (Quarter Ending Date)	Actual Obligation End Date										
dule for Capital Func		All Fun (Quarter ]	Original Obligation End Date				***************************************						
Part III: Implementation Schedule for Capital Fund Financing Program	PHA Name:	Development Number Name/PHA-Wide Activities									THE PARTY AND ADDRESS OF THE PARTY AND ADDRESS		111111111111111111111111111111111111111

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011

1 100	Dort I. Cummon,		Expires	es 4/30/2011	
PHA Name:		Grant Tyne and Number		\ <u></u>	000 + 0000
Hous	Authority of the City of Stamford	Capital Fund Program Grant No: CT 26-P007-501-06 Replacement Housing Factor Grant No: Date of CFFP:	<b>T 26-P007-501-06</b> : No:	Appr	FFY of Grant Approval:
ĕōª F□⊠	Type of Grant Original Annual Statement Section Board for Board for Board English 49, 40,	Reserve for Disasters/Emergencies		Revised Annual Statement (revision no: 6)	(revision no: 6)
Line	Summary by Development Account		A Final Total Estimated Cost	A Final Performance and Evaluation Report Total Actual Cost 1	Jation Report
		Original	Revised <sup>2</sup>	Obligated	Expended
<b>-</b>	Total non-CFP Funds			X	
7	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	327,892	227,892	227,892	707 800
က	1408 Management Improvements	227,892	227,892	227,892	200,122
	The state of the s				227,892
4	1410 Administration (may not exceed 10% of line 21	1) 113,946	113,946	113,946	113.946
വ	1411 Audit				
ဖ	1415 Liquidated Damages				
7	1430 Fees and Costs	78,000	78.000	78.000	78.000
<sub>∞</sub>	1440 Site Acquisition				
တ	1450 Site Improvement		A CONTRACTOR OF THE PROPERTY O		
9	1460 Dwelling Structures	464,729	464,729	464,729	464.729
7	1465.1 Dwelling Equipment—Nonexpendable		, and the same state of the sa		
12	1470 Non-dwelling Structures	THE PARTY OF THE P			
13	1475 Non-dwelling Equipment	27,000	27,000	27.000	27.000
14	1485 Demolition				
12	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities 4				
		,		J	

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program
OMB No. 2577-0226

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

Part I: Summary	7,000			
	Grant Tyne and Nymber			
	Capital Fund Program Grant No: CT 26-P007-501-06	T 26-P007-501-06		FFY of Grant: 2006
ricustring Additionally of the City of Stamford Re	Replacement Housing Factor Grant No:	ıt No:		FFY of Grant
	Date of CFFP:		•	Approval:
Type of Grant ☐ Original Annual Statement ☐ Reserve for Disasters/E ☐ Performance and Evaluation Report for Period Ending: 12/10	Disasters/Emergencies ding: 12/10	XRevise	XRevised Annual Statement (revision no. 6)	revision no. 6)
Line Summary by Development Account		Total Estimated Cost	Total Actual Cost 1	Cost 1
	Origin	Revised 2	Obligated	Expended
1			2000	אס וייס
18ba System of Direct Payment Payment	n of Direct			
19 1502 Contingency (may not exceed 8% of line 20)	0	0		
20 Amount of Annual Grant: (sum of lines 2 - 19)	1 139 450	1 130 150	4 450 450	4 400 470
		004,001,1	1,109,408	1,139,458
-				
	7980			
25 Amount of line 20 Related to Energy Conservation Measures	asures			
Signature of Executive Director	ate	Signature of Public Housing Director		Date
Expires 4/30/2011	1			

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011

Part II: Supporting Pages	Sana					Expires 4/30/2011	2011	
PHA Name:		Grant Tyne and Number			1			
Housing Aut	Housing Authority of the Other	in the second se	i			Federal FFY of Grant:		
of St		Capital Fund Program Grant No. CT 26-P007-501-06 CFFP (Yes/ No): No	No: CT 26	-P007-501-	90	7	2006	
Develonment	Concern December 1	E L	Grant No:					
Number	Work Categories	or Development Account No.	Quantity	Total Estir	Total Estimated Cost	Total Ac	Total Actual Cost	Status of
Name/PHA-Wide Activities								Work
				Original	Revised 1	Funds	Funds	
AMP 2						Obligated '	Expended	
Stamford Manor	Entrance lobby	1460		278,565.2	273,020	273,020	273,020	complete
	Elevator	1460		0	C		C	
	504 accessibility	1460		0	) C	) C	0 0	
	Roof Replacement	1460		84 538 70	90 084 08	80 080 08	00 000 00	40
	Sprinkler line replacement	_		10.000	10.000	10,000	30,004.00	complete
Clinton Manor	Window Replacement			15 710	15 709 90	15,000	10,000	complete
	Office Renovation	1460		45 137 96	45 137 OF	13,709,90	15,709.90	complete
	Domestic Water	1460		20,137.30	43, 137.30	45,137.90	45,137.96	complete
Elderly CT 7-5	Unit Turnover	1460		43,004	29,804	29,804	29,804	complete
HA-Wide		1400		973.06	973.06	973.06	973.06	complete
	Security Service - Elderly	1408		89 142 13	80 142 13	80 170 42	00 440 40	
	Construction Specialist			24.297.85	24 297 85	24 297 85	24 207 85	Complete
	Computer Software	1408		16.536	16.536	16.536	16 536	Complete
	Site Based Accounting	1408		97,916.02	97.916.02	97 916 02	97 916 02	Complete
	ADMINISTRATION	1410		113,946	113,946	113.946	113 946	on blate
	A & E Fees	1430		78,000	78,000	78.000	78,000	
				,	- · · · · · · · · · · · · · · · · · · ·	- ) ) ) () .	))))	_

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program
OMB No. 2577-0226
Expires 4/30/2011

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

Reasons for Revised Target Dates 1 Federal FFY of Grant: Actual Expenditure End 9/30/10 9/30/10 9/30/10 All Funds Expended (Quarter Ending Date) Original Expenditure End Date 9/30/10 9/30/10 9/30/10 Part III: Implementation Schedule for Capital Fund Financing Program Actual Obligation End Date 80/08/6 80/08/6 80/08/6 PHA Name: Housing Authority of the City of Stamford All Fund Obligated (Quarter Ending Date) Obligation End Original 9/30/08 80/08/6 80/08/6 Date Development Number Name/PHA-Wide Activities AMP 2 AMP 3 HA Wide

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amende

Annual Statement/Performance and Evaluation Report
U.S. Department of Housing and Urban Development
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program
Office of Public and Indian Housing

PHA Name:   Grant Type and Number   Capital Fund Program Grant No. CT 26-P007-501-07   FFY of Grant 2007	Housing Authority of the City of  Stamford  Housing Authority of the City of  Stamford  Stamford  Stamford  Stamford  Stamford  Stamford  Stamford  Original Annual Statement  Summary by Development Account  Total non-CFP Funds  1406 Management Improvements  1410 Administration (may not exceed 10% of line 21) 3 196,678  1410 Administration (may not exceed 10% of line 21) 98,339  1411 Audit  1450 Sie Improvement  1465 1 Dwelling Equipment—Nonexpendable  1465 1 Dwelling Structures  1465 Dwelling Equipment  1485 Demolition  1495 Non-dwelling Equipment  1495 Non-dwelling Equipment  1495 Development Activities 4  1499 Development Activities 4	Part	Part I: Summary				
Housing Authority of the City of Replacement Housing Factor Grant No: CT 26-P007-501-07   PFY of Grant Stamford   Page of Grant No: Date of CFFRI   Date of	Housing Authority of the City of Stamford  Original Factor Grant No: Date of CFFP:  Original Annual Statement Summary by Development Account  I total non-CFP Funds  I total non-CFP Funds I to Capital Annual Statement Summary by Development Account I total non-CFP Funds I to Capital Annual Statement I total non-CFP Funds I to Capital Annual Statement I to Capital Place Annual Place I to Capital Place I to Capita	PHA		pe and Number			FY of Grant: 2007
Original Annual Statement	Original Annual Statement	<u></u>	Authority of the City of Stamford	und Program Grant N nent Housing Factor ( SFFP:	o: <b>CT 26-P007-501-(</b> Srant No:		FY of Grant pproval:
Summary by Development Account Total Estimated Cost   Total Actual Cost     Total non-CFP Funds	Summary by Development Account   Total Estimated Cost	ĕǶ Z∏⊠	of Grant iginal Annual Statement Iformance and Evaluation Report for Period End	isasters/Emergencies	×	sed Annual Statem	ent (revision no: 4)
Total non-CFP Funds         Original         Revised²         Obligated         Expende           1406 Operations (may not exceed 20% of line 21) 3         196,678	Total non-CFP Funds         Original         Revised²         Obligate           1406 Operations (may not exceed 20% of line 21) 3         196,678         196,678         196,678         196,678         196,678         196,678         196,678         140,678         196,678         <	Line	Summary by Development Account			Total Ac	tual Cost 1
10tal non-CFP Funds	1 Otal non-CFP Funds     196,678       1406 Operations (may not exceed 20% of line 21) 3     196,678       1408 Management Improvements     196,678       1410 Administration (may not exceed 10% of line 21)     98,339       1411 Audit     98,339       1420 Fees and Costs     105,000       1440 Site Acquisition     1450 Fees and Costs       1456 Improvement     386,697       1465 I Dwelling Structures     386,697       1470 Non-dwelling Structures     0       1475 Mon-dwelling Structures     0       1465 Moving to Work Demonstration     0       1485 Development Activities 4     1489 Development Activities 4	,		Original	Revised <sup>2</sup>	Obligated	Fypandad
1406 Operations (may not exceed 20% of line 21) 3     196,678     196,678     196,678       1408 Management Improvements     196,678     196,678     196,678       1410 Administration (may not exceed 10% of line 21)     98,339     98,339     98,339       1411 Audit     1415 Liquidated Damages     105,000     105,000     105,000       1420 Sie Acquisition     1460 Site Improvement     1460 Site Improvement     1460 Site Improvement       1465 I Dwelling Structures     1470 Non-dwelling Structures     386,697     386,697       1470 Non-dwelling Equipment     0     0       1485 Demolition     1482 Moving to Work Demonstration     0     0       1499 Development Activities 4	1406 Operations (may not exceed 20% of line 21) 3     196,678     196,678       1408 Management Improvements     196,678     196,678       1410 Administration (may not exceed 10% of line 21)     98,339     98,339       1411 Audit     1416 Liquidated Damages     105,000     105,000       1430 Fees and Costs     1450 Site Improvement     1450 Site Improvement       1465.1 Dwelling Structures     386,697     386,697       1470 Non-dwelling Equipment—Nonexpendable     0       1485 Demolition     0       1485 Demolition Costs     1499 Development Activities 4		lotal non-CFP Funds				noning vi
1406 Management Improvements         196,678         19	1406 Warragement Improvements         196,678         196,678         196,678         1406,678         196,678         1410         1410         1410         1411         1411         1411         1411         1411         1411         1411         1411         1411         1411         1411         1411         1411         1411         1411         1411         1411         1411         1411         1412         14	70	1406 Operations (may not exceed 20% of line 21) 3	196,678	196,678	196,678	196 678
14 10 Administration (may not exceed 10% of line 21)     98,339     98,339     98,339       1411 Audit     1415 Liquidated Damages     105,000     105,000     105,000     105,000       1440 Site Acquisition     1460 Dwelling Equipment—Nonexpendable     386,697     386,697     386,697     386,697       1475 Non-dwelling Equipment     0     0     0       1485 Demolition     1485 Demolition     0     0       1485 Laberation Costs     1485 Lelocation Costs       1499 Development Activities 4	1410 Administration (may not exceed 10% of line 21)     98,339     98,339       1411 Audit     1415 Liquidated Damages     105,000     105,000     105,000       1430 Fees and Costs     1450 Site Improvement     386,697     386,697     386,697       1465 I Dwelling Structures     1465.1 Dwelling Structures     386,697     386,697     386,697       1475 Non-dwelling Structures     1475 Non-dwelling Equipment     0     0       1485 Demolition     1485 Demolition     0     0       1495.1 Relocation Costs     1499 Development Activities 4     0     0	2	1408 Wanagement Improvements	196,678	196,678	196,678	196.678
1415 Liquidated Damages     105,000     105,000     105,000       1440 Site Acquisition     145,000     105,000     105,000       1440 Site Improvement     386,697     386,697     386,697       1465.1 Dwelling Structures     0     0     0       1475 Non-dwelling Equipment     0     0     0       1485 Demolition     1485 Demolition     0     0       1495.1 Relocation Costs     1495.1 Relocation Costs     0     0	1415 Liquidated Damages       105,000       105,000         1430 Fees and Costs       105,000       105,000         1440 Site Acquisition       386,697       386,697         1465 Dwelling Structures       386,697       386,697         1470 Non-dwelling Structures       0       0         1475 Non-dwelling Structures       0       0         1475 Non-dwelling Equipment       0       0         1485 Demolition       1492 Moving to Work Demonstration       0         1499 Development Activities 4       1499 Development Activities 4	4 rc	1410 Administration (may not exceed 10% of line 21)	98,339	98,339	98,339	98,339
1430 Fees and Costs     105,000     105,000       1440 Site Acquisition     1440 Site Acquisition     1450 Site Improvement       1450 Site Improvement     386,697     386,697       1460 Dwelling Structures     386,697     386,697       1465.1 Dwelling Equipment—Nonexpendable     0     0       1475 Non-dwelling Equipment     0     0       1485 Demolition     1485 Demolition     0     0       1495.1 Relocation Costs     1495 Development Activities 4	1430 Fees and Costs       105,000       105,000         1440 Site Acquisition       1450 Site Improvement       386,697         1460 Dwelling Structures       386,697         1465.1 Dwelling Structures       386,697         1470 Non-dwelling Structures       0         1475 Non-dwelling Equipment       0         1485 Demolition       0         1492 Moving to Work Demonstration       1492 Moving to Work Demonstration         1499 Development Activities 4       1499 Development Activities 4	0	1415 Liquidated Damages				7.11.
1440 Site Acquisition     105,000       1450 Site Improvement     386,697     386,697       1460 Dwelling Structures     386,697     386,697       1470 Non-dwelling Equipment     0     0       1475 Non-dwelling Equipment     0     0       1485 Demolition     1485 Demolition     0     0       1492 Moving to Work Demonstration     1495.1 Relocation Costs       1499 Development Activities 4	1440 Site Acquisition       105,000         1450 Site Improvement       386,697       386,697         1460 Dwelling Structures       386,697       386,697         1470 Non-dwelling Equipment       0       0         1475 Non-dwelling Equipment       0       0         1485 Demolition       1485 Demolition       0         1492 Moving to Work Demonstration       1495.1 Relocation Costs         1499 Development Activities 4       1499 Development Activities 4	7	1430 Fees and Costs	105 000	405 000	A D T D D D D	1
1450 Site Improvement       386,697	1450 Site Improvement       386,697         1460 Dwelling Structures       386,697         1465.1 Dwelling Equipment—Nonexpendable       0         1470 Non-dwelling Structures       0         1475 Non-dwelling Equipment       0         1485 Demolition       0         1492 Moving to Work Demonstration       1495.1 Relocation Costs         1499 Development Activities 4       1499 Development Activities 4	∞	1440 Site Acquisition	000,001	000,001	000,601	105,000
1460 Dwelling Structures       386,697       <	1460 Dwelling Structures       386,697       386,697         1465.1 Dwelling Equipment—Nonexpendable       386,697         1470 Non-dwelling Structures       0       0         1475 Non-dwelling Equipment       0       0         1485 Demolition       1485 Demolition       0         1492 Moving to Work Demonstration       1495.1 Relocation Costs       1495.1 Relocation Costs         1499 Development Activities 4       1499 Development Activities 4	6	1450 Site Improvement				
1465.1 Dwelling Equipment—Nonexpendable       300,037       300,0	1465.1 Dwelling Equipment—Nonexpendable200,00.1470 Non-dwelling Structures001475 Non-dwelling Equipment001485 Demolition001492 Moving to Work Demonstration1495.1 Relocation Costs1499 Development Activities 41499 Development Activities 4	9	1460 Dwelling Structures	386 697	386 697	286 607	700 000
1470 Non-dwelling Structures         1475 Non-dwelling Equipment       0       0         1485 Demolition       0       0         1492 Moving to Work Demonstration       1495.1 Relocation Costs         1499 Development Activities 4	1470 Non-dwelling Structures001475 Non-dwelling Equipment001485 Demolition001492 Moving to Work Demonstration1495.1 Relocation Costs1495.1 Relocation Costs1499 Development Activities 4	11	1465.1 Dwelling Equipment—Nonexpendable		100,000	160,000	780,000
1475 Non-dwelling Equipment       0       0         1485 Demolition       0       0         1492 Moving to Work Demonstration       1495.1 Relocation Costs         1499 Development Activities 4	1475 Non-dwelling Equipment001485 Demolition1492 Moving to Work Demonstration1495.1 Relocation Costs1495.1 Relocation Costs1499 Development Activities 4	12	1470 Non-dwelling Structures				
1492 Moving to Work Demonstration 1495 Moving to Work Demonstration 1495.1 Relocation Costs 1499 Development Activities 4	1485 Demolition 1492 Moving to Work Demonstration 1495.1 Relocation Costs 1499 Development Activities 4	13	1475 Non-dwelling Equipment	0			
	1492 Moving to Work Demonstration 1495.1 Relocation Costs 1499 Development Activities 4	14	1485 Demolition		>		
	1495.1 Relocation Costs 1499 Development Activities 4	15	1492 Moving to Work Demonstration				
17 1499 Development Activities <sup>4</sup>		16	1495.1 Relocation Costs				
		17	1499 Development Activities 4				

Expires 4/30/2011

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program
OMB No. 2577-0226

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

Dom I	Port I. Cummour.				Expires 4	Expires 4/30/2011
	· Summary					
PHA	PHA Name:	Grant Type and Number	nber			
<u></u>	Housing Authority of the City of	Capital Fund Progra	Capital Fund Program Grant No: CT 26-P007-501-07	-P007-501-07	PFY OT Grant:	rant:
	Stamford	Replacement Housir	Replacement Housing Factor Grant No.		ZUU/ FFY of G	<b>2007</b> FFY of Grant Approval:
VDe	Voe of Grant	Date of CFFP:			) - -	יישאטוקקראיישיי
 5 	inual Statement	☐ Reserve for Disasters/Emergencies	rs/Emergencie		X Revised Annual Statement (revision	nent (revision
⊠ Pe	N Performance and Evaluation Report for Period Ending: 12/10	eriod Endina: 12	/10	Y Final		
Line	Summary by Development Account			Total Estimated Cost	Total Actual Conf.	Valuation Report
			Original	Bevised 2	Obligated	1000
18a	1501 Collateralization or Debt Service paid by the PHA	PHA			Congared	Experided
Igna	Sour Collateralization of Debt Service paid Via System of Direct   Payment	ystem of Direct			7.00	
19	1502 Contingency (may not exceed 8% of line 20)		0	0		
70	Amount of Annual Grant: (sum of lines 2 - 19)		983 392	983 302	000 000	000
7	Amount of line 20 Related to LBP Activities			700,000	780,008	382,382
22	Amount of line 20 Related to Section 504 Activities	S				
ಜ	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs	S				
22	Amount of line 20 Related to Energy Conservation Measures	Measures				
Signature	Signature of Executive-Director	Dafe	Cionofin	1 - 10 - 10 - 10 - 10 - 10 - 10 - 10 -		
		No. of the last of	oigilatui	orginature or munic mousing Director		Date
1 To	To be completed for the Parformance and Erraly	olynomics Design				

To be completed for the Reformance and Evaluation Report. <sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement. <sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations. RHF funds shall

Annual Statement/Performance and Evaluation Report

U.S. Department of Housing and Urban Development Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program OMB No. 2577-0226 U.S. Department of Housing and Urban Development

Status of Complete Work Complete Complete Complete Complete 1,138.09 0 15,875 325,600.91 105,000 3,945 98,339 40,138 90,991.95 25,000 63,864.51 12,077.20 4,744.34 Expended<sup>1</sup> Total Actual Cost Federal FFY of Grant: 15,875 3,945 40,138 25,000 98,339 1,138.09 105,000 325,600.91 0 90,991.95 12,077.20 4,744.34 63,864.51 Obligated1 Funds 0 15,875 0 3,945 325,600.91 1,138.09 40,138 25,000 98,339 90,991.95 12,077.20 4,744.34 63,864.51 105,000 Revised Total Estimated Cost Capital Fund Program Grant No: CT 26-P007-501-07 277,000 30,000 20,000 10,000 25,000 19,647 26,801 3,249 98,339 90,991.95 12,077.20 4,744,34 63,864.51 105,000 Original Replacement Housing Factor Grant No: Quantity Grant Type and Number Develop CFFP (Yes/ No): No Account ment 1460 1460 1460 1460 1460 1460 1460 1460 1408 1408 1408 1408 1408 1410 430 General Description of Major Mod. Construction Specialist 504 handicap accessibility Sprinkler line replacement **ADMINISTRATION** Work Categories Project Based Accounting Security Service - Elderly Window Replacement A & E Fees Computer Software Housing Authority of the City Roof Replacement Entrance lobby Unit Tumover Police Patrols Brick Repair Fire Pump Part II: Supporting Pages of Stamford Number Name/PHA-Clinton Manor Elderly CT 7-5 HA-Wide Wide Activities PHA Name: AMP<sub>2</sub> Development Stamford Manor

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

Annual Statement/Performance and Evaluation Report

Capital Fund Program, Capital Fund Program Replacement Housing Factor and

Capital Fund Financing Program

OMB No. 2577-0226

	Federal FFY of Grant: 2007	Reasons for Revised Target Dates 1				THE REAL PROPERTY AND ADDRESS OF THE PROPERTY ADDRESS OF THE PROPERTY AND ADDRESS OF THE PROPERTY ADDRESS OF THE PROPERTY AND ADDRESS OF THE PROPERTY	The state of the s	- constitution - cons		THE REAL PROPERTY AND ADDRESS OF THE PARTY AND
		All Funds Expended (Quarter Ending Date)	Actual Expenditure End Date	12/31/10	12/31/10	12/31/10			 	
rogram	of Stamford	All Funds (Quarter E	Original Expenditure End Date	9/30/11	9/30/11	9/30/11				
al Fund Financing F	Housing Authority of the City of Stamford	All Fund Obligated Quarter Ending Date)	Actual Obligation End Date	9/30/09	60/08/6	60/08/6				
Schedule for Capit	Housing Au	All Fund Oblig (Quarter Ending	Original Obligation End Date	60/06/6	60/08/6	60/08/6				
Part III: Implementation Schedule for Capital Fund Financing Program	гна пате:	Development Number Name/PHA-Wide Activities		AMP 2	AMP 3	HA Wide				

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

Expires 4/30/2011

OMB No. 2577-0226 Part I: Summary

ran I. Summary	ummary				
PHA Name:	ame:	Grant Type and Number	1000		FFY of
Hous	Housing Authority of the City of Stamford	Capital Fund Program Grant No: <b>C.1. 26-P007-501-08</b> Replacement Housing Factor Grant No: Date of CFFP:	No: C1 26-P007-50 Grant No:	7-08 	Grant. 2008 FFY of Grant Approval:
Type of Grant ☐ Original Ar ⊠ Performan	nnual Statement Eport	Reserve for Disasters/Emergencies for Period Ending: 12/10	Revised Ann	Revised Annual Statement (revision no: 4)	sion no: 4)
Line	Summary by Development Account		Total Estimated Cost	Total Ac	Total Actual Cost 1
_	Total non-CFP Funds	Original	Revised <sup>2</sup>	Obligated	Expended
2	1406 Operations (may not exceed 20% of line 21) 3	2000			
8		199,001	188,051	199,651	199,651
4		199,651	199,651	199,651	199,651
+	14 to Administration (may not exceed 10% of line 21)	99,826	99,826	99,826	99.826
ۍ	1411 Audit				
9	1415 Liquidated Damages				
7	1430 Fees and Costs	30.000	30,000	30,000	30,000
8	1440 Site Acquisition		200,00	20,00	00,00
6	1450 Site Improvement				
10	1460 Dwelling Structures	439.080	459 080	459 DRD	144 967 54
11	1465.1 Dwelling Equipment—Nonexpendable	, , , , , , , , , , , , , , , , , , , ,			10.100,111
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	10.050	10.050	10.050	5 170 ED
14	1485 Demolition		200,00	200,5	0, 140.00
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities 4				

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program OMB No. 2577-0226

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

Expires 4/30/2011

649,245.04 Revised Annual Statement (revision no: FFY of Grant: 2008 FFY of Grant Approval: Expended Final Performance and Evaluation Total Actual Cost 998,258 Obligated Signature of Public Housing Director Date Capital Fund Program Grant No: CT 26-P007-501-08 0 998,258 Revised<sup>2</sup> Replacement Housing Factor Grant No: Total Estimated Cost 20,000 998,258 Grant Type and Number ☐ Reserve for Disasters/Emergencies Original Date of CFFP: Date ✓ Performance and Evaluation Report for Period Ending: 12/09 9000 Collateralization or Debt Service paid Via System of Direct Payment Amount of line 20 Related to Energy Conservation Measures Housing Authority of the City of Stamford 1501 Collateralization or Debt Service paid by the PHA Amount of line 20 Related to Section 504 Activities Amount of line 20 Related to Security - Hard Costs 1502 Contingency (may not exceed 8% of line 20) Amount of line 20 Related to Security - Soft Costs Amount of Annual Grant: (sum of lines 2 - 19) Amount of line 20 Related to LBP Activities Summary by Development Account Original Annual Statement Signature of Executive Director Part I: Summary Type of Grant Original Any PHA Name: Report Line 18ba 18a 5 ಜ 5 2 24 S

<sup>&</sup>lt;sup>1</sup> To be completed for the Performance and Evaluation Report.
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Office of Public and Indian Housing Capital Fund Financing Program Expires 4/30/2011 Part II: Supporting Pages

DUA Nichary								
י אומיים איני		Grant Type and Number			-	Federal FFY of Grant:		
Housing Aut	the City	Capital Fund Program Grant No. CT 26-P007-501-08	ant No: CT 2	6-P007-501-0			0000	
of S	of Stamford Re	CFFP (Yes/No): No Replacement Housing Factor Grant No	ctor Grant No			<b>\</b>	2002	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	]	Quantity	Total Estimated Cost	nated Cost	Total Ac	Total Actual Cost	Status of Work
				Original	Revised 1	Funds	Funds	
AMP 2						Opligated	Expended	
Stamford Manor	Entrance lobby	1460		36,000	79,026.37	79,026.37	79,026.37	Complete
	Brick Repair	1460		248,190	314,112.46	314 112 46		Drogrees
	504 handicap accessibility	1460		30,000	0	0	0	SS 1001 111
	Roof Replacement	1460		0	28,501.42	28.501.42	28.501.42	Complete
	Sprinkler line replacement	1460		30,890	30,890	30,890	30,890	Complete
Clinton Manor	Window Replacement	1460		0	0	0	0	
Elderly Dev. CT 7-5	Unit Turnover	1460		54,000	0	0	0	
AMP 3						:		
Scattered Site	Roof Replacement	1460		13,303	0	C		
Ursula Park Townhouse	Boiler Replacement	1460		26,697		0	0	
	New Stoves	1460		0	6,549.75	6,549.75	6,549.75	Complete
								•

HA-Wide IMPROVEMENTS         MANAGEMENT IMPROVEMENTS         1408         119,512.22         94,533.50         94,533.50           Project based Acct         1408         34,145.28         59,127         59,127         59,127           Mod. Construction Specialist         1408         20,990.50         20,990.50         20,990.50         20,990.50           Phone System Police Patrols         1408         25,000         25,000         25,000         25,000           ADMINISTRATION ADMINISTRATION FEES & COSTS         1430         30,000         30,000         30,000								
1408       119,512.22       94,533.50       94,533.50       94         1408       34,145.28       59,127       59,127       59,127         1408       20,990.50       20,990.50       20,990.50       20,990.50         1410       99,826       99,826       99,826         1430       30,000       30,000       30,000	HA-Wide	MANAGEMENT IMPROVEMENTS	1408					
1408       34,145.28       59,127       59,127         1408       20,990.50       20,990.50       20,990.50         1408       25,000       25,000       25,000         1410       99,826       99,826       99,826         1430       30,000       30,000       30,000		Project based Acct	1408	119,512.22	94.533.50	94 533 50	04 533 50	
1408     20,990.50     20,990.50     20,990.50     20,990.50       1408     25,000     25,000     25,000       1410     99,826     99,826       1430     30,000     30,000		Mod. Construction	1408	34,145.28	59.127	59.127	59 127	
1408     20,990.50     20,990.50     20,990.50     20,990.50     20       1408     25,000     25,000     25,000     25,000       1410     99,826     99,826     99,826       1430     30,000     30,000     30,000		Specialist					71,00	
1408     25,000     25,000     25,000       1410     99,826     99,826     99,826       1430     30,000     30,000     30,000		Phone System	1408	20,990.50	20.990.50	20 990 50	20 990 50	
1410         99,826         99,826         99,826           1430         30,000         30,000         30,000		Police Patrols	1408	25,000	25,000	2000 20	00.000	
1410     99,826     99,826     99,826       1430     30,000     30,000		ADMINISTRATION		20,000	43,000	000,02	000,62	
1430     30,000     30,000		ADMINIST RATION	1410	99,826	99.826	99 826	90 808	
<b>1430</b> 30,000 30,000 30,000		FEES & COSTS				2775	00,000	
20,000		Architect & Engineer Fees	1430	30 000	30,000	000 00	000	
			22.	000,00	20,000	20,000	30,000	

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program
OMB No. 2577-0226
Part III: Implementation Schedule for Canital Fund Financial Fund

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

Development Number         All Fund Obligated Adding Date)         All Fund Obligation Number         All Fund Obligation Original Expenditure         All Fund Expended (Quarter Ending Date)         Activities         Reasons for Revised Target Dates (Quarter Ending Date)           AdmP 2         Original Obligation End Date         Actual Obligation Original Expenditure Ending Date         Actual Expenditure Ending Date)         Actual Expenditure Ending Date)           AMP 3         9/30/10         9/30/12         9/30/12           HA Wide         9/30/10         9/30/12         9/30/12	onsino	g Authority of	PHA Name: Housing Authority of the City of Stamford	mford		Federal FFY of Grant:
Actual Obligation Original Expenditure End Date Bnd Date 9/30/12 9/30/12 9/30/12	1	All Fund (Quarter I	d Obligated Ending Date)	All Funds (Quarter E)	Expended nding Date)	<b>2008</b> Reasons for Revised Target Dates
	1 1	Original Obligation End Date	Actual Obligation End Date	1	Actual Expenditure End Date	
	1 1	9/30/10		9/30/12		
		9/30/10		9/30/12		
	! I	9/30/10		9/30/12		
	1 1					
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	<del>1</del> –					
	1					

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program Annual Statement/Performance and Evaluation Report

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011

			Expires	os 4/30/2044	
בו ב	rar I. Summary				
FHA Name: Housing	Authority of the City of Stamford	Grant Type and Number Capital Fund Program Grant No: CT 26-P007-501-09 Replacement Housing Factor Grant No:	CT 26-P007-501-09		FFY of Grant: 2009 FFY of Grant
þ		Date of CFFP:		<u> </u>	Approvar;
Orici	Type of Grant   Original Annual Statement   □ Reserve for Disasters/Emergencies   X Performance and Evaluation Board for Decisal Fortices   X Performance and Evaluation Board for Decisal	ers/Emergencies	X Revis	sed Annual Statement (re	evision no:3
Line	Summary by Development Account			☐ Final Performance and Evaluation Report	aluation Report
		Original Octation	lotal Estimated Cost	Total Actua	Total Actual Cost 1
1	Total non-CFP Funds		Kevised-	Obligated	Expended
2	1406 Operations (may not exceed 20% of line 21) 3	194 029 99	404 000 00	40.4000.00	
ო	1408 Management Improvements	00:040,101	134,023.33	194,029.99	0
4	1410 Administration (may not possessed 40%) as 11.2. 24.	150,883.01	150,883.01	150,883.01	150.883.01
U	(III all III a	114,971	114.971	114 971	108 328 04
n	1411 Audit			10,41	100,020,001
9	1415 Liquidated Damages				
7	1430 Fees and Costs				
∞	1440 Site Acquisition	138,826	138,826	138,826	49,538.65
סט	1450 Site Improvement				
10	1460 Dwelling Structures	531 001	FE4 004	700 711	
11	1465.1 Dwelling Equipment—Nonexpendable		100,100	100,100	100,454.61
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>&</sup>lt;sup>1</sup> To be completed for the Performance and Evaluation Report.
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226 Expires 4/30/2011

Part I: Summary	ummary					
PHA Name:	ame:	Grant Type and Number Capital Fund Program Grant No: CT 26-P007-501-09	irant No: CT 20	S-P007-501-09		FFY of Grant: <b>2009</b> FFY of Grant Approval:
Hous	Housing Authority of the City of Stamford	Replacement Housing Factor Grant No:	actor Grant No:			
		Date of CFFP:				
Type of Grant Original Ant	nual Statement	Reserve for Disasters/Emergencies	7 7 7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	XRevise	XRevised Annual Statement (revision no:1	evision no:1 )
T in	Summary by Development Account	G. 900 10	Total Estimated Cost		Total / Lotal / Lotal /	Total Actual Cost 1
	The second secon	Orig	Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	ect Payment			The state of the s	ALL
19	1502 Contingency (may not exceed 8% of line 20)		20,000	0	0	0
70	Amount of Annual Grant:: (sum of lines 2 - 19)		1,149,711	1,149,711	942,781	276,891.80
21	Amount of line 20 Related to LBP Activities					
77	Amount of line 20 Related to Section 504 Activities					
8	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
Signatu	Signature of Executive Director	Date	Signature	Signature of Public Housing Director	ector	Date
	4	4913				

<sup>&</sup>lt;sup>1</sup> To be completed for the Performance and Evaluation Report.
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.
<sup>4</sup> RHF funds shall be included here.

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program, Capital Fund Financing Program Capital Fund Financing Program OMB No. 2577-0226

OMB No. 2577-0226	ig riogialii							Expi	Expires 4/30/2011
Part II: Supporting Pages									
PHA Name:		Srant Type and N	Number			Federal	Federal FFY of Grant:		
Housing Aut	the City of	Capital Fund Prog CFFP (Yes/ No):	gram Grant N	Fund Program Grant No: CT 26 P007-501-09 (Yes/ No):	7-501-09			2009	
0	Stamtord	Replacement Housing Factor Grant No:	using Factor	Grant No:					
Development Number Name/PHA- Wide Activities	General Description of Major Work Categories	Vork Devel	Development Account No.	Quantity	Total Estimated Cost	ated Cost	Total Actual Cost	Cost	Status of Work
					Original	Revised 1	Funds Obligated <sup>1</sup>	Funds Expended <sup>1</sup>	
AMP 2							6		
Stamford Manor	Entrance lobby	***************************************	1460		30,000	0	0		
	Elevator		1460		75,000	167,630	167,630	13,500	
	504 handicap accessibility		1460		10,000	10,000	10,000		
	Roof Replacement		1460		20,000	0	0		
	Brick Repair (cont.)		1460		335,871	355,871	355,871	86,954.61	
	Sprinkler line replacement (cont.)		1460		0	0	0		
	Sprinkler head replacement		1460		0	0	0		
	Window Replacement		1460		1,000	1,000	1,000		
	Electric Water heaters		1460		1,000	1,000	1,000		
	Replace Electric baseboard 60 units		1460		2,000	2,000	2,000		
	Replace toilets, showerheads, aerators		1460		0	0	0		
	Convert apartment & common area lighting		1460		2,000	0	0		
	Window Replacement in wings		1460		9,000	6,000	6,000		
	Replace Patio walkways in breezeway		1460		0	0			
AMP 3									
Ursula Park							0		
Ursula Park	Boiler Replacement		1460		3,000	0	0		
	Replace siding		1460		1,000	0	0		
	Stove replacement		1460		1,230	0	0		

	Replace toilets, showerheads, & aerators	1460	3,000	0	0		
	Convert apartment lighting	1460	2,400	0	0		
Lawn Avenue	Replace appliances	1460	1,000	0	0		
	Erosion Control	1460	1,000	0	0		
	Siding Replacement	1460	1,000	0	0		
Sheridan Mews	Boiler Replacement	1460	1,000	7,500	7,500		
	Replace Toilets, Showerheads	1460	2,200	0	0		
	Convert apartment lighting	1460	1,300	0	0		
Scattered Sites	New Roof	1460	0	0	0		
HA-Wide	MANAGEMENT IMPROVEMENTS	1408					
	Modernization Construction Specialist		65,145	65,145	65,145	65,145	Complete
	New Phone System		5,000	5,000	5,000	5,000	Complete
	Computer Software		19,826	19,826	19,826	19,826	Complete
	Police Patrols		25,000	25,000	25,000	25,000	Complete
	MAPPLAN		35,912.01	35,912.01	35,912.01	35,912.01	Complete
	ADMINISTRATION	1410	114,971	114,971	114,971	108,328.01	
	FEES & COSTS	1430					
	Architect & Engineer Fees		138,826	138,826	138,826	49,538.65	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program
OMB No. 2577-0226

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

Part III: Implementation Schedule for Capital Fund Financing Program	r Capital Fund Financing	Program			
PHA Name: Housing Authority of the City of Stamford	of the City of Stamfor	70			Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Func (Quarter l	All Fund Obligated (Quarter Ending Date)	All Funds (Quarter E	All Funds Expended (Quarter Ending Date)	Reasons for Revised Target Dates 1
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 2	9/30/11	9/30/11	9/30/13		
AMP 3	9/30/11	9/30/11	9/30/13		Andrew Control of the
HA Wide	9/30/11	9/30/11	9/30/13	AMAZINIA PARA PARA PARA PARA PARA PARA PARA PA	
	· · · · · · · · · · · · · · · · · · ·				
	111111111111111111111111111111111111111				
THE PROPERTY OF THE PROPERTY O					
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1 Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report

Capital Fund Program, Capital Fund Program Replacement Housing Factor and

Capital Fund Financing Program

OMB No. 2577-0226

Expires 4/30/2011

Office of Public and Indian Housing

U.S. Department of Housing and Urban Development

Part I: Summary	ummary					
PHA Name: Housing Aut Stamford	of the City of	Grant Type and Number Capital Fund Program Grant No: CT 26-S007-501-09 Replacement Housing Factor Grant No: Date of CFFP:	007-501-09			FFY of Grant: 2009 FFY of Grant Approval:
Type of Grant Original A	e of Grant Original Annual Statement	Reserve for Disasters/Emergencies		x Revised Annual Statement (revision no.2	t (revision no:2 )	
X Perfort	X Performance and Evaluation Report for Period Ending: 12/10	riod Ending: 12/10	<b>F</b>	☐ Final Performance and Evaluation Report		1 4 - 0
Line	Summary by Development Account	Account		lotal Estimated Cost		I otal Actual Cost
	T OLO		Original	revised.	Opiidaleu	naniiadya
-	I Otal non-CFP Funds			THE TAXABLE PROPERTY OF THE PARTY OF THE PAR		
2	1406 Operations (may not exceed 20% of line 21) 3	xceed 20% of line 21) 3				
က	1408 Management Improvements	ments				La continuada (Antaragamente de Caraca)
4	1410 Administration (may not exceed 10% of line 21)	ot exceed 10% of line 21)	165,890	165,890	165,890	99,534
വ	1411 Audit					
ဖ	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
6	1450 Site Improvement					
10	1460 Dwelling Structures		1,493,013	1,493,013	1,493,013	923,991.37
11	1465.1 Dwelling Equipment—Nonexpendable	-Nonexpendable				
12	1470 Non-dwelling Structures	Si				
13	1475 Non-dwelling Equipment	nt				
14	1485 Demolition		-			
15	1492 Moving to Work Demonstration	nstration				
16	1495.1 Relocation Costs					
17	1499 Development Activities 4	5.4				

<sup>&</sup>lt;sup>1</sup> To be completed for the Performance and Evaluation Report.
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.
<sup>4</sup> RHF funds shall be included here.

Part I: Summary	ummary				
PHA Name: Housing Authority of the City of Stamford	cuthority Capital Fund Program Grant No: CT 26-S007-501-09 Replacement Housing Factor Grant No: Date of CFFP:		FFY 0	FFY of Grant.2009 FFY of Grant Approval:	
Type of Grant	www.of Ctotomont		A Control of	7,777	
	Original Annual Statement		x Kevised Ann	X Keyised Annual Statement (revision no: 2	
X Perfor	${ m X}$ Performance and Evaluation Report for Period Ending: $12/10$		Final Perfo	Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Est	Total Estimated Cost	Total Actual Cost 1	Cost 1
		Original	Revised 2	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA			ļ	
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	0	0		
20	Amount of Annual Grant: (sum of lines 2 - 19)	1,658,903	1,658,903	1,658,903	1,023,525.37
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	73,233	0		
Signatun	Signature of Executive Director	Signat	Signature of Public Housing Director	rector	Date
	10/4 / 1/2/	2			

<sup>&</sup>lt;sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

Part II: Supporting Pages	\$							
PHA Name:		nt Type and Number			Federal F	Federal FFY of Grant:		
Housing Authori	Housing Authority of the City of Stamford CFF	Capital Fund Program Grant No: CT 26-S007-501-09 CFFP (Yes/ No):	: CT 26-S007-5	01-09		7	2009	
	Rep	ceme	rant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	ted Cost	Total Actual Cost	Cost	Status of Work
				Original	Revised 1	Funds Obligated <sup>1</sup>	Funds Expended <sup>1</sup>	
AMP 2								
Stamford Manor	To the state of th							
	Brick Repair	1460		1,409,790	1,493,013	1,493,013	923,991.37	In Progress
	Sprinkler Head Replacement	1460		20,000	0	0	0	
	Replace toilets, showerheads, aerators	1460		0	0	0	0	
	Convert apartment & common area lighting	1460		20,000	0	0	0	
	Replace thermo-pane windows	1460		10,000	0	0	0	
AMP 3								
Ursula Park Twnhs	Replace siding	1460		0	0	0	0	
	Replace toilets, showerheads, & aerators	1460		10,000	0	0	0	
	Convert apartment lighting	1460		7,723	0	0	0	
	Replace stoves	1460		0	0	0	0	
Lawn Ave. Twnhs	Replace appliances	1460		0	0	0	0	
	Erosion Control	1460		10,000	0	0	0	
	Siding Replacement	1460		0	0	0	0	
Sheridan Mews	Replace Toilets, Showerheads	1460		2,500	0	0	0	
	Convert apartment lighting	1460		3,000	0	0	0	

<sup>&</sup>lt;sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program Expires 4/30/2011 Reasons for Revised Target Dates Federal FFY of Grant: Actual Expenditure End (Quarter Ending Date) All Funds Expended Original Expenditure End Date 4/30/12 4/30/12 4/30/12 Actual Obligation End Date Part III: Implementation Schedule for Capital Fund Financing Program 3/31/10 3/31/10 3/31/10 All Fund Obligated (Quarter Ending Date) PHA Name: Housing Authority of the City of Stamford Obligation End 3/31/10 3/31/10 Original 3/31/10 Date Development Number Name/PHA-Wide Activities HA Wide AMP 2 AMP 3

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

# Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program OMB No. 2577-0226

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

OMB N	OMB No. 2577-0226					Expires	Expires 4/30/2011	
Part I: S	Part I: Summary						,	Γ
PHA Name: <b>Housing</b>	Authority of the City of Stamford	Grant Type and Number	Grant Type and Number Capital Fund Program Grant No. CT 26. D007-501-10	T 28_D007_50	140		FFY of Grant: 2010 FFY of Grant Approval:	Τ.
		Replacement I Date of CFFP:	Replacement Housing Factor Grant No: Date of CFFP:	nt No:	2			
Type of Grant							· · · · · · · · · · · · · · · · · · ·	Т
	Original Annual Statement Reserve for Disasters/Emergencies	asters/Emerge	encies		X Revise	X Revised Annual Statement (revision no.1	revision no:1	
L ren	Performance and Evaluation Report for Penod Ending: 12/10	ig: 12/10			Fina	Final Performance and Evaluation Report	aluation Report	
Line	Summary by Development Account			Total Estimated Cost		•	Fotal Actual Cost 1	
		Origina		Revised <sup>2</sup>		Obligated	Expended	Г
<b>~</b>	Total non-CFP Funds							Т
2	1406 Operations (may not exceed 20% of line 21) 3		182,800		182,800	182,800	0 0	Τ
က	1408 Management Improvements		91,400	***************************************	91,400	91,400	0 0	
4	1410 Administration (may not exceed 10% of line 21)	1)	91,400		91,400	91,400	0 0	Τ
2	1411 Audit							T
9	1415 Liquidated Damages		***************************************					Т
	1430 Fees and Costs		100,000		100,000	20,000	0 0	
œ	1440 Site Acquisition						0	Τ
6	1450 Site Improvement							1
10	1460 Dwelling Structures		428,400		428,400	425,270	0 0.	
7	1465.1 Dwelling Equipment—Nonexpendable							Г
12	1470 Non-dwelling Structures							
13	1475 Non-dwelling Equipment						THE SALE CONTRACTOR OF	Т
14	1485 Demolition							Г
15	1492 Moving to Work Demonstration						**************************************	
16	1495.1 Relocation Costs							f
17	1499 Development Activities 4							Γ

<sup>&</sup>lt;sup>1</sup> To be completed for the Performance and Evaluation Report.
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.
<sup>4</sup> RHF funds shall be included here.

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program Annual Statement/Performance and Evaluation Report

Part I: Summary	ummary					Expires 4/30/2011
PHA Name:	ıme:	Grant Type and Number	discount of the state of the st	Marie III and a second a second and a second a second and		FFY of Grant: 2010
		Capital Fund Program Grant No: CT 26-P007-501-10	ant No: CT 26	-P007-501-10		FY of Grant Approval:
Hons	Housing Authority of the City of Stamford	Replacement Housing Factor Grant No:	actor Grant No:			
		Date of CFFP:				
Type of Grant Original And	nual Statement [	Beserve for Disasters/Emergencies of for Period Ending: 12/10		XRevised /	XRevised Annual Statement (revision no:1	vision no:1 ) uation Report
Line	Summary by Development Account		Total Estimated Cost		Total Actual Cost 1	ial Cost 1
		Original	inal	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	stem of Direct				
19	1502 Contingency (may not exceed 8% of line 20)		20,000	20,000		
20	Amount of Annual Grant: (sum of lines 2 - 19)		914,000	914,000	840,870	0
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities			111111111111111111111111111111111111111		
23	Amount of line 20 Related to Security - Soft Costs		25,000	25,000		
24	Amount of line 20 Related to Security - Hard Costs		25,000	25,000		
25	Amount of line 20 Related to Energy Conservation Measures	Measures	1,700	1,700		
Signatun	Signature of Executive Director	Date	Signature of	Signature of Public Housing Director	Add Annual Property of the Control o	Date
		Mali				
	2					

<sup>&</sup>lt;sup>1</sup> To be completed for the Performance and Evaluation Report.
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011

		· compression in the contract of the contract			Expires 4/30/2011	30/2011	-	
Part II: Supporting Pages								
PHA Name:		Grant Type and Number			Federal	Federal FFY of Grant:		
Housing Aut	Housing Authority of the City of	Capital Fund Program Grant No: CT26-P007-501-10	No: CT26-P00	7-501-10			2010	
S	Stamford	CFFF (1 es/ No): Replacement Housing Factor Grant No:	r Grant No:			4	2	
Development Number Name/PHA- Wide Activities	General Description of Major Work Categories	Work Development Account No.	Quantity	Total Estimated Cost	ated Cost	Total Actual Cost	Cost	Status of Work
				Original	Revised T	Funds Obligated <sup>1</sup>	Funds Expended <sup>1</sup>	
AMP 2								
Stamford Manor	Elevator Replacement	1460		125,000	125,000	125,000	0	
	Brick Repair (cont.)	1460		300,270	300,270	300,270	0	In Progress
	Replace Electric baseboard 60 units	1460		1,000	1,000	0	0	
AMP 3								
Ursula Park	Boiler Replacement	1460		1,000	1,000	0	0	
Ursula Park	Replace siding	1460		430	430	0	0	
Lawn Avenue	Replace appliances	.1460		200	200	0	0	
Sheridan Mews	Replace Toilets, Showerheads	1460		200	200	0	0	
Scattered Sites	New Roof	1460		0	0	0	0	
HA-Wide	MANAGEMENT IMPROVEMENTS	TS 1408						
	Modernization Construction Specialist			41,400	41,400	41,400	0	
	MAPPLAN			25,000	25,000	25,000	0	
	Police Patrols			25,000	25,000	15,000	0	
	ADMINISTRATION	1410		91,400	91,400	91,400	0	
	FEES & COSTS	1430						
	Architect & Engineer Fees			100,000	100,000	25,000	0	

<sup>&</sup>lt;sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

form HUD-50075.1 (4/2008)

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

OMB No. 2577-0226

Reasons for Revised Target Dates Federal FFY of Grant: Actual Expenditure End (Quarter Ending Date) All Funds Expended Original Expenditure End Date 7/15/14 7/15/14 7/15/14 Actual Obligation Part III: Implementation Schedule for Capital Fund Financing Program End Date All Fund Obligated (Quarter Ending Date) Obligation End 7/15/12 7/15/12 7/15/12 Original Date Development Number Name/PHA-Wide Activities HA Wide AMP 2 AMP 3 PHA Name:

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

PHA Name: Ho						
City of Stamford	ising Authority of the	Grant Type and Number Capital Fund Program Grant No: CT 26-P007-501-11 Replacement Housing Factor Grant No: Date of CFFP:	r int No: <b>CT 26-P007-50</b> tor Grant No:	)1-11		FFY of Grant: 2011 FFY of Grant Approval:
Type of Grant Original Annu XPerformance	ial Statement Evaluation Report	Reserve for Disasters/Emergencies for Period Endine: 12/31/11	gencies		X Revised Annual Statement (revision no: 1	it (revision no: 1
Line	Summary by Development Account	TY/YA PY - STEEL S	Tot	Total Estimated Cost	Turai reflormance a	Total Actual Cost 1
			Original	Revised	Ohlicated	Townships
	Total non-CFP Funds				Congaco	paniadya
2 1.	1406 Operations (may not exceed 20% of line 21) 3	21) 3	150,000	150,000	150.000	0
3	1408 Management Improvements		75,000	75,000	75.000	0
4 1/2	1410 Administration (may not exceed 10% of line 21)	line 21)	75,000	75,000	75,000	0
5 14	1411 Audit					
6 14	1415 Liquidated Damages		The same of the sa			11
7	1430 Fees and Costs		50,000	50.000	0	0
8	1440 Site Acquisition	***************************************			The state of the s	
7] 6	1450 Site Improvement					
10 14	1460 Dwelling Structures		385.061	385.061	183.061	0
11 14	1465.1 Dwelling Equipment—Nonexpendable				1)	
12 14	1470 Non-dwelling Structures					
13 14	1475 Non-dwelling Equipment		THE REAL PROPERTY OF THE PERSON OF THE PERSO	77		
14 14	1485 Demolition					
15 14	1492 Moving to Work Demonstration					
16 14	1495.1 Relocation Costs			7777		
17 14	1499 Development Activities 4	100000000000000000000000000000000000000				
	THE STATE OF THE S				TATAL MANAGEMENT AND ADDRESS OF THE PARTY OF	

<sup>&</sup>lt;sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program Annual Statement/Performance and Evaluation Report

Part I:	Part I: Summary				Expires 4/30/2011
PHA Name:			-		
Housing A of the City of Stamford	Housing Authority  Capital Fund Program Grant No. CT 26 P007-501-11  Replacement Housing Factor Grant No.  Date of CFFP:			FFY of Grant:2011 FFY of Grant Approval:	
Type of Grant	Grant			7.00	
Origina	Original Annual Statement		Y Benisod	Annual Statement (marging an an a )	
X Perfo	~ ~ 1		A THE COMMENT OF THE	Title of the state	!
Line	Summary by Development Account	L. T. L.		Timal Carol mance and Evaluation Report	ort.
		I OTAL E	Total Estimated Cost	•	Total Actual Cost i
	100 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1	Original	Revised 2	Obligated	Expended
183	1501 Collateralization or Debt Service paid by the PiHA				
18ba	9000 Collateralization or Debt Service raid Via System of Direct				
	Payment Payment				
				****	
19	1502 Contingency (may not exceed 8% of line 20)	40.000	0000		
20		40,000	48,000	0	0
24	Automnt of Almual Grant: (sum of lines 2 - 19)	783.061	783.061	192 061	
21	Amount of line 20 Related to LBP Activities		100,001	100,001	0
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signatur	Signature of Evecutive Mirestor				:
9	A G	Signs	Signature of Public Housing Director	sing Director	Date

To be completed for the Performance and Evaluation Report.

To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

PHAs with under 250 units in management may use 100% of CFP Grants for operations.

RHF funds shall be included here.

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program Annual Statement/Performance and Evaluation Report

Part II: Supporting Pages	S						
PHA Name: Housing	PHA Name: Housing Authority of the city of	Grant Type and Number			Federal	Federal FFY of Grant: 2011	
Stamford	002	Capital Fund Program Grant No: CT 26 P007-501-11 CFFP (Yes/ No): Replacement Housing Factor Grant No:	o: <b>CT 26 P00</b> 7 Frant No:	-501-11			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	ork Development Account No.	Quantity	Total Estimated Cost	ated Cost	Total Actual Cost	Status of Work
				Original	Revised 1	Funds Funds Obligated <sup>2</sup> Expanded <sup>2</sup>	7.5
AMP 2						+	Dan
Stamford Manor	Concrete, Brick Repair	1460		183,061	183.061	183 061	
1000	Bathrooms- Toilets, sinks for wings	1460		60,000	60,000		
	504 Handicap Accessibility	1460		10,000	10,000	0	
	Sprinkler line replacement	1460		25,000	25,000		
AMP 3							
Ursula Park	1111						
Lawn Ave.	Bathrooms—Sinks, toilets, Tubs	1460		100,000	100,000	0 0	
Scattered Sites							
(Soundview)	Roof Replacement	1460		7,000	7,000	0	
HA-Wide	Management Improvements	1408					
	Police Patrols			25,000	25.000	25.000 0	
	MAPPLAN			25,000	25,000		
	Computer upgrades			25,000	25,000		
							_

 $<sup>^1{\</sup>rm To}$  be completed for the Performance and Evaluation Report or a Revised Annual Statement.  $^2{\rm To}$  be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

Part II: Supporting Pages	S						-		
PHA Name: <b>Housing A</b> l	PHA Name: Housing Authority of the City of Stamford	Grant Typ Capital Fur CFFP (Yes Replaceme	Grant Type and Number Capital Fund Program Grant No: CT 26 P007-501-11 CFFP (Yes/No): Replacement Housing Factor Grant No:	CT 26 P007-5	501-11	Federal	Federal FFY of Grant: 2011	011	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories		Development Account No.	Quantity	Total Estimated Cost	ated Cost	Total Actual Cost	Cost	Status of Work
					Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Fynendad <sup>2</sup>	
HA-Wide	Administration Fees & Costs		1410 1430		75,000	75,000	75,000	0	
	Architect & Engineering Fees				50,000	50,000	0	0	
			110000						
	9211. 1921								
7.00.00			7						
	And the state of t								

<sup>&</sup>lt;sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>&</sup>lt;sup>2</sup> To be completed for the Performance and Evaluation Report.

	Federal FFY of Grant: 2011	Reasons for Revised Target Dates <sup>1</sup>										THE PARTY OF THE P	The state of the s	
		All Funds Expended (Quarter Ending Date)	Actual Expenditure End Date							, , , , , , , , , , , , , , , , , , ,				
		All Fund (Quarter E	Original Expenditure End Date	9/30/15	9/30/15	9/30/15					77111			
l Financino Program	amford	All Fund Obligated (Quarter Ending Date)	Actual Obligation End Date			7111								
edule for Capital Fun	rity of the City of St	All Fun (Quarter	Original Obligation End Date	9/30/13	9/30/13	9/30/13								
Part III: Implementation Schedule for Capital Fund Financing Program	PHA Name: Housing Authority of the City of Stamford	Development Number Name/PHA-Wide Activities		AMP 2	AMP 3	HA Wide								

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part	I: Summary					
PHA	Name/Number		Locality (City/C	ounty & State)	⊠Original 5-Year Plan ☐Revi	sion No:
Hous	sing Authority of the City of St	tamford CT-7	Stamford, C			
A.	Development Number and Name	Work Statement for Year 1 FFY: <b>2012</b>	Work Statement for Year 2 FFY: <b>2013</b>	Work Statement for Year 3 FFY: <b>2014</b>	Work Statement for Year 4 FFY: <b>2015</b>	Work Statement for Year 5 FFY: <b>2016</b>
В.	Physical Improvements Subtotal	Annual Statement	228,586	228,586	228,586	228,586
C.	Management Improvements		49,764	49,764	49,764	49,764
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		49,764	49,764	49,764	49,764
F.	Other		70,000	70,000	70,000	70,000
G.	Operations		99,528	99,528	99,528	99,528
H.	Demolition		0	0	0	0
I.	Development		0	0	0	0
J.	Capital Fund Financing – Debt Service		0	0	0	0
K.	Total CFP Funds		497,642	497,642	497,642	497,642
L.	Total Non-CFP Funds		0	0	0	0
M.	Grand Total		497,642	497,642	497,642	497,642

Part I: Summary (Continuation) PHA Name/Number: Locality (City/county & State) **⊠Original 5-Year Plan** Revision No: Housing Authority of the City of Stamford CT-7 Stamford, Connecticut **Development Number and** Work Statement Work Statement for Year 2 Work Statement for Year 3 Work Statement for Year 5 Work Statement for Year 4 A. Name for Year 1 FFY: 2013 FFY: 2014 FFY: 2015 FFY: 2016 FFY: 2012 Annual Statement AMP 2 Stamford Manor 120,229 120,000 100,000 110,000 AMP 3 Conn. Ave. 0 0 30,000 0 Lawn Ave. 28,357 10,872 10,000 10,872 97,714 107,714 77,714 Ursula Park 40,000 Conn. Common/ 0 10,872 0 **Sheridan Mews** 30,000 Scattered Sites 10,000 0 0 0

Part II: Supp	orting Pages - Physical N	Needs Work Statement(	s)			
Work	W	ork Statement for Year Two		W	ork Statement for Year: Thre	ee
Statement for		FFY 2013			FFY 2014	
Year 1 FFY <b>2011</b>	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	AMP 2			AMP 2		
Annual	Stamford Manor			Stamford Manor		
Statement						
	Window Replacement		90,229	Window replacement		50,000
	Brick Repair		30,000	Balcony Deck Coating		70,000
				, ,		
	Culatata	Laf Fatimated Coat	¢120,220	Coda	tal of Fatimated Coat	¢120.000
	Sudiota	I of Estimated Cost	\$120,229	Subto	tal of Estimated Cost	\$120,000

Part II: Suppo	orting Pages – Physical N	eeds Work Statement(	s)			
Work	W	ork Statement for Year Two	)	Work	Statement for Year: Thre	ee
Statement for		FFY 2013			FFY 2014	
Year 1 FFY	Development	Quantity	Estimated Cost	Development	Quantity	Estimated Cost
2010	Number/Name			Number/Name		
	General Description of			General Description of		
	Major Work Categories			Major Work Categories		
See	AMP 3			AMP 3		
Annual	Ursula Park			Ursula Park		
Statement	Roof Replacemenrt		5,000	Replace Siding		37,714
	Replace siding		15,000	Roof Replacement		30,000
	Window replacement		10,000	Kitchen Cabinets		10,000
	Kitchen Cabinets/Countertp		10,000	Window Replacement		20,000
	Lawn Ave			Lawn Ave		
	Landscape, erosion		16,357	Erosion Control		10,872
	Kitchen Replacement		12,000			
	Sheridan Mews/CT			Sheridan Mews/CT		
	Commons			Commons		
	Furnace Replacement		5,000	Roof Replacement		0
	Window replacement		12,000	·		
	Roof Replacement		13,000			
	Scattered Sites			Scattered Sites		
	Window Replacement		10,000	Siding Replacement		0
	CT Ave A & B			Ct Ave A & B		
	Kitchen replacement		0	Kitchen replacement		0
	-			Bathroom Upgrade		0
	Subtota	of Estimated Cost	\$ 108,357	Subtotal	of Estimated Cost	\$ 108,586

Part II: Supp	orting Pages - Physical N	leeds Work Statement(	s)				
	Wo	ork Statement for Year Four	•	Work Statement for Year: Five			
	FFY 2015			FFY 2016			
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	
See	AMP 2			AMP 2			
Annual	Stamford Manor			Stamford Manor			
Statement							
	Window Replacement		60,000	Window Replacement		60,000	
	Entry doors & Bucks		40,000	Fire Alarm System		30,000	
				Refrigerators		20,000	
	Subtotal of Estimated Cost \$100,000			Subtotal of Estimated Cost		\$110,000	

Part II: Suppo	orting Pages – Physical N	Needs Work Statement(	s)			
	V	Vork Statement for Year Fou	ır	Work Statement for Year: Five FFY 2016		
		FFY 2015				
	Development	Quantity	Estimated Cost	Development	Quantity	Estimated Cost
	Number/Name			Number/Name		
	General Description of			General Description of		
	Major Work Categories			Major Work Categories		
	AMP 3			AMP 3		
	Ursula Park			Ursula Park		
	Window Replacement		47,714	Siding Replacement		57,714
	Kitchen Replacement		30,000	Kitchen Replacement		5,000
	Roof Replacement		20,000	Bathroom replacement		5,000
	Siding Replacement		10,000	Window Replacement		10,000
	Lawn Ave			Lawn Ave		
	Kitchen Replacement		10,000	Kitchen Replacement		10,872
	Bathroom Replacement		0	Bathroom Replacement		0
	Sheridan Mews/CT			Sheridan Mews/CT		
	Commons			Commons		
	Roof Replacement		10,872	Roof Replacement		0
	Siding Replacement		0			
	Scattered Sites			Scattered Sites		
	New Landscaping		0	New Kitchens		0
	CT Ave A & B			CT Ave A & B		
	Kitchen replacement		0	Siding Replacement		20,000
				Window Replacement		10,000
						_
	Subtotal of Estimated Cost		\$ 128,586	Subtotal of Estimated Cost		\$118,586

## Statement of Progress Meeting 5-Year Plan Mission & Goals

During the past year the Housing Authority of the City of Stamford d/b/a Charter Oak Communities ("COC") continued to make progress in its targeted programs and activities.

### **Accomplishments**

- The conversion of Clinton Manor and Quintard Manor from low income public housing (LIPH) to Section 8 (projectbased and tenant-based) assisted housing properties has been completed. COC has completed the design of capital improvements and obtained commitments from multiple sources for refinancing the properties and funding an expanded scope of rehab. COC received State Bond Commission approval to issue \$10.5M in Private Activity Bonds.
- COC continues its initiative to review all COC policies in its Admissions and Continued Occupancy Policy (ACOP). It is currently preparing another round of updates and material revisions.
- COC continued implementing recommendations from a management review of the Section 8 Program and other industry best practices that have been identified to achieve increased operational efficiencies and enhance customer service.
- Operational improvements at Stamford Manor have been fully implemented including upgraded manned and electronic security, a visitor management system, a comprehensive program of resident services and improvements in management operations. These measures have been paired with continued restoration of the building structure and skin to make an overall improvement in the quality of housing and life for residents.

- COC has stepped up implementation of HUD's Asset
   Management requirements to shift project-based budgets to the control of site staff.
- COC continues to make excellent progress in the Vidal Court revitalization:
  - Westwood, the first mixed-income phase of the Vidal Court Revitalization (95 units) completed construction and is fully leased.
  - Palmer Square, the second mixed-income phase of the Vidal Court Revitalization (76 units) commenced construction and is slated for completion by summer 2012.
  - COC continues to refine the design of, and has applied for financing for, Greenfield, the third phase of the Vidal Court Revitalization, to be constructed on land obtained through the land exchange with Stamford Hospital.
  - COC received \$4M in State of Connecticut capital financing for the demolition of the existing Vidal Court complex and for temporary relocation expenses.
  - COC continues to manage and operate existing properties it received as part of the land exchange with Stamford Hospital, completed last year. Operating revenue from these properties will be used as a funding source for Phase 3 of the Vidal Court Revitalization.
- COC continues to strengthen its strategic partnerships with leading institutions and agencies in furtherance of its core mission and continues to nurture these relationships.
  - 1. Our partnership with Family Centers has grown to include ten, full-time Resident Service Coordinators (RSC) assigned to various communities.
    - COC competed for, and won, two HUD FSS grants for its HCV and LIPH programs. Services will be provided by Family Centers.

- Working in concert, COC and Family Centers have strengthened our partnerships with the CT Department of Mental Health and Addiction Services and St. Luke's Lifeworks to provide site-based services to mentally challenged residents.
- Expanded the role of Resident Service Coordinators to support new and existing tenants in addressing poor lease compliance such as late payment of rent, poor housekeeping/hoarding and general aging-inplace issues.
- 2. Our partnership with Stamford Hospital produced a second Memorandum of Understanding (MOU) to jointly undertake demolition of Vidal Court.
- 3. Our partnership with the City of Stamford, in collaboration with Stamford Hospital, Optimus Health Care and the West Side Neighborhood Revitalization Zone successfully competed for, and won, a HUD Sustainable Communities Community Challenge Grant to further the Vita initiative and other infrastructural enhancements on Stamford's West Side.
- 4. Our partnership with Optimus Health Care has resulted in the creation and implementation of the Fairgate Community Health Center, a primary care center for patients regardless of ability to pay, in the West Side Vita District.
- 5. Our partnerships with the Stamford Boys and Girls Club and Stamford Hospital has resulted in the creation and implementation of Fairgate Farm, a communal, organic urban farm, that provides opportunities for youth and adults to experience vegetable cultivation, nutritional guidance and public/civic service.

- COC received zoning approval for a 48 unit new construction development which will replace Czescik Homes, a State public housing development for seniors, which is scheduled to be demolished. COC is developing the new property in partnership with St. Luke's Lifeworks, a prominent supportive services provider based in Stamford.
- COC staff undertook professional development training to improve performance with the Section 8 Housing Choice Voucher (HCV) Program, use of HUD's EIV system, becoming Certified Occupancy Specialists and Low Income Housing Tax Credit Specialists.
- COC board has undertaken board development training to obtain skills and knowledge necessary and appropriate to guide the agency through the challenges and opportunities it will face in coming years.
- COC continues its status as High Performer under the SEMAP program, was designated a PHAS High Performer for FY2009 and a PHAS Standard Performer for FY2010. COC has maintained consistently high 50058 error free submission rates for both its LIPH and HCV programs.
- Further improved COC's employee and community communications through significant improvements in its newsletter and website.
- COC continues to refine its work order tracking system which allows for tracking performance metrics, downloading work orders in real time to roving maintenance staff, and manipulating data to track success of operational initiatives.
- Using a Force Account staff model, COC completed the replacement of major mechanical components at various properties.

- Completed the following capital projects:
  - 1. Quintard Manor roof replacement,
  - 2. Glenbrook Manor elevator replacement, fire panel replacement, and EIFS repair,
  - 3. Rippowam Manor elevator replacement and EIFS repair,
  - 4. Stamford Manor elevator replacement, completion of brick repair project, fire sprinkler repair, and shower valve replacement.
- An in-depth asset management review of all properties in the COC portfolio was commenced. Review of all COC Lowincome Public Housing developments was completed. Review of all properties will be completed in early 2012.
- Updated tenant charge schedule and revised administrative systems for enforcement of charges.
- COC completed an assessment of its management of Scofield Manor, a residential care home, and made recommendations to the Board regarding short and long term goals.
- Completed an assessment of the management information system. System changes included the upgrading of servers and software. Personnel changes included recruitment of a qualified IT manager and implementation of a help desk.

And, as always, Charter Oak Communities will continue to assess its administrative systems and practices in light of changing resource levels, federal policy priorities and changing local priorities.

# Statement on Compliance with the Requirements of the Violence against Women Act

The Housing Authority of the City of Stamford d/b/a Charter Oak Communities (COC) completed a review of its major policies and administrative systems for both its Public Housing and its Section 8 Programs against the requirements of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Pub. L. 109-162) and the recently issued Interim Rule on the Violence Against Women's Act, as amended. The Interim Rule was issued on November 28, 2008.

One of the key aspects of the review was to identify program requirements that might result in impediments for VAWA households in terms of their program participation.

This review confirmed that the COC already has administrative systems that allow for applicants and program participants to identify mitigating circumstances for both the public housing and Section 8 Program. Language has been developed to clarify that among the types of mitigating information documentation of the applicant or program participant being a victim of domestic violence, dating violence, or stalking, provided that such person is otherwise qualified. See attached example from the public housing program.

This expansion of the notion of mitigating information covers applicant screening, lease compliance and in the case of the Section 8 Program actions that might otherwise result in program termination. In situations in which the abuser is currently part of the household, the COC has developed policy changes that would facilitate bifurcation of assistance. The COC has also changed its Section 8 Program to give both a priority to a COC public housing resident who is qualified under VAWA definitions and at risk in their current unit as well as a general preference for victims of domestic abuse as defined under VAWA.

The PHA has adopted reasonable procedures for verification of status under VAWA using form HUD 50066, by police report or court record, or by other certifying documentation provided by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance

Program participants have been notified of rights under VAWA.

COC has not implemented any special supportive service programs for victims of domestic violence nor entered into any Memorandum of Agreement with local service providers. Information on referrals to local service providers that work with domestic abuse victims has been made available to staff.

# COC Policy Language Re: Domestic Violence

- (a) An incident or incidents of actual or threatened domestic violence, dating violence, or stalking shall not be construed as a serious or repeated violation of the lease by the victim or threatened victim of that violence and shall not be good cause for terminating the tenancy or occupancy rights of the victim of such violence. Additionally, criminal activity directly relating to domestic violence, dating violence, or stalking, engaged in by a member of a Tenant's household or any guest or other person under the Tenant's control, shall not be cause for termination of the tenancy or occupancy rights, if the Tenant or immediate member of the Tenant's family is a victim of that domestic violence, dating violence, or stalking.
- (b) Notwithstanding subsection (a), or any Federal, State, or local law to the contrary, the Management may bifurcate a lease or remove a household member from a lease without regard to whether a household member is a signatory to a lease, in order to evict, remove, or terminate occupancy rights of any individual who is a tenant or lawful occupant and who engaged in criminal acts of physical violence against family members or others, without evicting, removing, or terminating occupancy rights, or otherwise penalizing the victim of such violence who is also a tenant or lawful occupant. Such eviction or removal of occupancy rights shall be effected in accordance with the procedures prescribed by Federal, State, and local law.
- (c) Management may request a certification that an individual is a victim of domestic violence, dating violence or stalking, and that the incident(s) in question are bona fide incidents of actual or threatened abuse. Such certification must include the name of the perpetrator, and may be in the form of (i) HUD Form 50066, or other HUD approved certification form, (ii) a court record, or (iii) documentation signed by an employee, agent or volunteer of a victim service provider, an attorney, or medical professional from whom the individual has sought assistance which attests to the bona fide existence of such actual or threatened abuse.

#### (d) Nothing in this Section:

- (1) limits Management from honoring court orders addressing rights of access to or control of the property, including civil protection orders issued to protect the victim or issued to address the distribution or possession of property among the household members in cases where a family breaks up;
- (2) limits Management from evicting a Tenant for any violation of a lease not premised on the act or acts of violence in question against the Tenant or a member of the Tenant's household, provided that Management does not subject an individual who is or has been a victim of domestic violence, dating violence, or stalking to a more demanding standard than other Tenants in determining whether to evict;
- (3) limits Management from terminating the tenancy of any Tenant if Management can demonstrate an actual and imminent threat to other Tenants or those employed at or providing service to the premises if that Tenant is not evicted; or

- (4) supersedes any provision of any Federal, State, or local law that provides greater protection than this section for victims of domestic violence, dating violence, or stalking.
- (e) All information Management may request to confirm domestic violence, dating violence or stalking victim status, pursuant to federal law, shall be retained in confidence by Management, and shall not be entered into any shared database, except to the extent that disclosure is:
  - (1) requested or consented to by the individual in writing;
  - (2) required for use in an eviction proceeding; or
  - (3) otherwise required by applicable law.

Ann	Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary	Report	Ising Factor (CFP/CFPR	HF) Part I: Summarv		
PHA Name:	me: HOUSING AUTHORITY OF THE CITY OF	Grant Type and Number			Federal	1
	O AMITORD	Capital Fund Program Grant No. Replacement Housing Factor Grant No:	o: Srant No: CT 26-R007-502-03	က	2003	
	Original Annual Statement Performance and Evaluation Report for Period Ending: 12/31/2011	Reserve for Disasters/ Emergencies Ending: 12/31/2011	<ul><li>☒ Revised Annual Statement (revision no: 8</li><li>☐Final Performance and Evaluation Report</li></ul>	(revision no: 8 ) Jation Report		
Line No.	Summary by Development Account		Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations					
3	1408 Management Improvements					
4	1410 Administration					
5	1411 Audit					
9	1415 Liquidated Damages					
7	1430 Fees and Costs					
œ	1440 Site Acquisition					
6	1450 Site Improvement					
10	1460 Dwelling Structures					
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Nondwelling Structures					
13	1475 Nondwelling Equipment					
14	1485 Demolition					
15	1490 Replacement Reserve				The state of the s	
16	1492 Moving to Work Demonstration			A CONTRACTOR OF THE CONTRACTOR		$\Box$
17	1495.1 Relocation Costs					
18	1499 Development Activities	77,397	77,397	77,397	77,397	
19	1501 Collaterization or Debt Service					
20	1502 Contingency					
21	Amount of Annual Grant: (sum of lines 2 – 20)	77,397	77,397	77,397	77,397	
22	Amount of line 21 Related to LBP Activities			***************************************		
23	Amount of line 21 Related to Section 504 compliance					
24	Amount of line 21 Related to Security – Soft Costs					

Ann	Annual Statement/Performance and Evaluation Report	Report				1
Capi	Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary	n Replacement Hous	ing Factor (CFP/CFPR	HF) Part I: Summary		
PHA NE	PHA Name: HOUSING AUTHORITY OF THE CITY OF	Grant Type and Number			Federal FY of Grant:	
	STAMFORD	Capital Fund Program Grant No:				
		Replacement Housing Factor Grant No:	ant No: CT 26-R007-502-03	೮	2003	
	Original Annual Statement	Reserve for Disasters/ Emergencies	Revised Annual Statement (revision no: 8)	(revision no: 8)		1
$\overline{\boxtimes}$	∑ Performance and Evaluation Report for Period Ending: 12/31/201.		Final Performance and Evaluation Report	Lation Report		
Line No.	Summary by Development Account	Total Estir	Total Estimated Cost		Total Actual Cost	
	- A SAMONDA CONTRACTOR	Original	Revised	Obligated	Expended	
25	Amount of Line 21 Related to Security - Hard Costs	The state of the s		The state of the s	The state of the s	_
26	Amount of line 21 Related to Energy Conservation					1
	Measures					
			A. C.			

Date	
Signature of Public Housing Director	
Date	4/9/11
gnature of Executive Director	

Anr	Annual Statement/Performance and Evaluation Report	Report			
PHA Name:	lame:	n Keplacement Hou	epiacement Housing Factor (CFP/CFPRHF) Part I: Summary	(HF) Part I: Summary	. L
	STAMFORD HOUSING AUTHORITY	Grain: 1 ype and Number Capital Fund Program Grant No: Replacement Housing Factor Gra	ordin: Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: CT26R007 502 04		Federal FY of Grant:
	Original Annual Statement    Original Annual Statement   Reserve for Disast	Reserve for Disasters/ Emergencies	Revised Annual Statement (revision no. 7)	(revision no: 7)	1001
Line	Summary by Development Account		Total Estimated Cost	nation Report  Total Actual Cost	ual Cost
,		Original	Revised	Obligated	Expended
_	Iotal non-CFP Funds		The state of the s		505
2	1406 Operations				
က	1408 Management Improvements			- All Andrews	
4	1410 Administration				
5	1411 Audit				
ဖ	1415 Liquidated Damages				
7	1430 Fees and Costs				144. A 144.
ω	1440 Site Acquisition				
တ	1450 Site Improvement				
9	1460 Dwelling Structures				
=	1465.1 Dwelling Equipment—Nonexpendable			The state of the s	***************************************
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs		1,111		
<u></u>	1499 Development Activities	90,569	90.569	90 569	
19	1501 Collaterization or Debt Service			200,00	
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	90.569	90 569	00 580	
22	Amount of line 21 Related to LBP Activities		200(00	200,00	
83	Amount of line 21 Related to Section 504 compliance				

Earlormance and Evaluation Report   Image		Federal FY of Grant:	1	Total Actual Cost	Evandod	ראףפוומפת	The state of the s	A COLONIA COLO		Date		
erformance and Evaluation R  Im and Capital Fund Program  SING AUTHORITY  ment  ment  Lation Report for Period Ending: 12/31/20  Pelated to Security — Soft Costs  Related to Security — Hard Costs  Related to Energy Conservation  Date	SHF) Part I: Summar		t (revision no: 7)		Oblinated	500500				ing Director		
erformance and Evaluation R  Im and Capital Fund Program  SING AUTHORITY  ment  ment  Lation Report for Period Ending: 12/31/20  Pelated to Security — Soft Costs  Related to Security — Hard Costs  Related to Energy Conservation  Date	sina Factor (CFP/CFP)	ant No: CT26R007 502 04	Revised Annual Statemen	mated Cost	Revised				The state of the s	Signature of Public Hous		
al Statement/Performance and Evaluation al Fund Program and Capital Fund Progra ne: STAMFORD HOUSING AUTHORITY Inginal Annual Statement Amount of line 21 Related to Security — Soft Costs Amount of line 21 Related to Security — Hard Costs Amount of line 21 Related to Energy Conservation Measures of Executive Director  Date	Report m Replacement Hous	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Gr	Disasters/ Emergencies		Original			The state of the s			1/1	
Annui Capitt PHA Nam PHA Nam No. Signature	Annual Statement/Performance and Evaluation R. Capital Fund Program and Capital Fund Program	PHA Name: STAMFORD HOUSING AUTHORITY	☐ Original Annual Statement ☐Reserve for ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐	ie Summary by Development Account .				-		Signature of Executive Director	P A 9	

Housing Authority of the City of Stamford d/b/a Charter Oak Communities Capital Fund Program RHF Annual Statement

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary Pitk Anne. Floating Authority of THE CITY OF THE	Capit PHA Nai	al Fund Program and Capital Fund Prograr	n Replacement Hou	Ising Factor (CFP/CFPR)	HF) Part I: Summary	
Manner	PHA Nar		* * · · · · · · · · · · · · · · · · · ·			
Original Annual Statement   Period Emiliary By Development Account   Period Emiliary By Development Account   Total Revised Annual Statement (revision no: 6)		me: HOUSING AUTHORITY OF THE CITY OF STAMFORD	Grant Type and Number Capital Fund Program Grant N	Ç		!
Original Annual Statement (revision no. 6)   Performance and Evaluation Report to Period Ending. 1231f2011   Performance and Evaluation Report to Period Ending. 1231f2011   Performance and Evaluation Report to Period Ending. 1231f2011   Inab Performance and Evaluation Report   Commany by Development Account   Total Actual Cost     1416 Management Improvements   1416 Management Improvements   1416 Management Improvements   1416 Management Improvements   1416 Management Improvement   1416 Management Improvement   1416 Management Improvement   1450 Management Improvement   1450 Management   1450 Man			Replacement Housing Factor (	ant No:	10	2005
Summary by Development Account   Total Estimated Cost   Total Actual Cost		Original Annual Statement	isasters/ Emergencies	Revised Annual Statement (	revision no: 6)	
Total non-CFP Funds		Summan by Daveloament A		Final Performance and Evalua	ation Report	
Total non-CFP Funds	S	Summary by Development Account	Total Es	timated Cost	Total Act	ual Cost
Total non-CFP Funds			Original	Revised	Ohlinated	Evnousou
1406 Operations           1408 Management Improvements         1408 Management Improvements           1410 Administration         1411 Audit           1411 Liquidated Damages         1450 Eden Management           1440 Site Acquisition         1440 Site Acquisition           1450 Deling Equipment—Nonexpendable         1460 Deling Equipment—Nonexpendable           1460 Deling Equipment—Nonexpendable         1470 Nondwelling Equipment           1470 Nondwelling Equipment         1475 Nondwelling Equipment           1490 Replacement Reserve         1476 Nondwelling Equipment           1490 Development Activities         476,865           1501 Collaterization or Debt Service         476,865           1502 Contingency         476,865           Amount of line 21 Related to LBP Activities         476,865           Amount of line 21 Related to Section 564 compliance         476,865	_	Total non-CFP Funds				LApeliaeu
1408 Management Improvements         1408 Management Improvements           1410 Administration         1411 Audit           1416 Leguidated Damages         1416 Leguidated Damages           1430 Fees and Costs         1430 Essain Costs           1440 Site Acquisition         1450 Development           1450 Development Another Reserve         1450 Louding Equipment           1470 Nondwelling Equipment         1470 Nondwelling Equipment           1470 Nondwelling Equipment         1470 Nondwelling Equipment           1470 Nondwelling Equipment         1470 Nondwelling Equipment           1480 Evelocation of Replacement Reserve         1490 Development Activities           1490 Development Activities         476,865           1501 Collaterization or Debt Service         476,865           1502 Contingency         476,865           Amount of line 21 Related to LBP Activities         476,865           Amount of line 21 Related to LBP Activities         476,865           Amount of line 21 Related to LBP Activities         476,865	2	1406 Operations				
1410 Administration       1411 Audit       1415 Liquidated Damages       1430 Fees and Costs       1440 Site Acquisition       1450 Site Improvement       1450 Site Improvement       1450 Lowelling Equipment—Nonexpendable       1470 Nondwelling Structures       1475 Nondwelling Equipment       1475 Nondwelling Equipment       1455 Demolition       1455 Demolition       1450 Replacement Reserve       1450 Replacement Reserve       1490 Replacement Activities       1490 Replacement Activities       1501 Collaterization or Debt Service       1502 Contingency       Amount of Annual Grant (sum of lines 2 – 20)       Amount of Ine 21 Related to LBP Activities       Amount of line 21 Related to Section 504 compliance	က	1408 Management Improvements				
1411 Audit       1415 Liquidated Damages       1430 Eves and Costs       1440 Site Acquisition       1450 Dwelling Equipment—Nonexpendable       1460 Dwelling Equipment       1470 Nondwelling Structures       1471 Nondwelling Equipment       1472 Nondwelling Equipment       1473 Demolition       1485 Development Activities       1486 Development Activities       1498 Development Activities       1476,865       476,865       Amount of Ine 21 Related to LBP Activities       Amount of line 21 Related to LBP Activities       Amount of line 21 Related to LBP Activities	4	1410 Administration				
1415 Liquidated Damages       1410 Elea and Costs         1440 Site Acquisition       1440 Site Acquisition         1450 In Instructures       1450 Invelling Equipment —Nonexpendable         1451 Dwelling Equipment —Nonexpendable       1470 Nondwelling Structures         1475 Nondwelling Equipment       1470 Nondwelling Equipment         1485 Demoiltion       1485 Demoiltion         1490 Replacement Reserve       1490 Replacement Reserve         1490 Lovelopment Activities       476,865         1495 Development Activities       476,865         1502 Contingency       476,865         Amount of Innex It Selated to LBP Activities       476,865         Amount of inex 21 Related to LBP Activities       476,865         Amount of inex 21 Related to Section 504 compliance       476,865	2	1411 Audit				
1430 Fees and Costs       1440 Site Acquisition         1440 Site Acquisition       1450 Site Improvement         1450 Lowelling Equipment—Nonexpendable       1465.1 Dwelling Equipment—Nonexpendable         1470 Nondwelling Equipment       1470 Nondwelling Equipment         1475 Nondwelling Equipment       1476 Demolifion         1485 Demolifion       1485 Demolifion         1495 Moving to Work Demonstration       1495 Nevelopment Activities         1499 Development Activities       476,865         1501 Collaterization or Debt Service       476,865         1502 Contingency       476,865         Amount of Annual Grant (sum of lines 2 – 20)       476,865         Amount of line 21 Related to LBP Activities       476,865         Amount of line 21 Related to Section 504 compliance       476,865	9	1415 Liquidated Damages				
1440 Site Acquisition       1450 Site Improvement         1450 Site Improvement       1460 Dwelling Structures         1465.1 Dwelling Equipment—Nonexpendable       1465.1 Dwelling Equipment         1470 Nondwelling Equipment       1470 Nondwelling Equipment         1475 Nondwelling Structures       1476 Demoiltion         1485 Demoiltion       1490 Replacement Reserve         1492 Moving to Work Demonstration       1495 Melocation Costs         1495 Nelocation Costs       476,865         1501 Collaterization or Debt Service       476,865         1502 Contringency       476,865         Amount of Inne 21 Related to LBP Activities       476,865         Amount of line 21 Related to LBP Activities       476,865         Amount of line 21 Related to Section 504 compliance       476,865	7	1430 Fees and Costs				
1450 Site Improvement         1460 Dwelling Structures         1465.1 Dwelling Structures         1470 Nondwelling Equipment—Nonexpendable         1475 Nondwelling Equipment         1476 Nondwelling Equipment         1485 Demolition         1490 Replacement Reserve         1490 Replacement Reserve         1492 Moving to Work Demonstration         1499 Development Activities         1499 Development Activities         1501 Collaterization or Debt Service         1502 Contingency         Amount of Annual Grant: (sum of lines 2 – 20)         Amount of line 21 Related to LBP Activities         Amount of line 21 Related to Section 504 compliance	<b>∞</b>	1440 Site Acquisition				
1460 Dwelling Structures         1465.1 Dwelling Structures         1470 Nondwelling Equipment—Nonexpendable         1475 Nondwelling Equipment         1475 Nondwelling Equipment         1485 Demolition         1492 Moving to Work Demonstration         1492 Lebocation Costs         1499 Development Activities         1499 Development Activities         1501 Collaterization or Debt Service         1502 Contingency         Amount of Annual Grant: (sum of lines 2 – 20)         Amount of line 21 Related to LBP Activities         Amount of line 21 Related to Section 504 compliance	6	1450 Site Improvement				
1465.1 Dwelling Equipment—Nonexpendable       1470 Nondwelling Equipment         1470 Nondwelling Structures       1475 Nondwelling Structures         1485 Demolition       1485 Demolition         1490 Replacement Reserve       4490 Replacement Reserve         1492 Moving to Work Demonstration       476,865         1499 Development Activities       476,865         1501 Collaterization or Debt Service       476,865         1502 Contingency       476,865         Amount of Annual Grant: (sum of lines 2 – 20)       476,865         Amount of line 21 Related to LBP Activities       476,865         Amount of line 21 Related to Section 504 compliance       476,865	9	1460 Dwelling Structures				
1470 Nondwelling Structures         1475 Nondwelling Equipment         1485 Demolition         1490 Replacement Reserve         1492 Moving to Work Demonstration         1492 Lelocation Costs         1499 Development Activities         1501 Collaterization or Debt Service         1502 Contingency         Amount of Annual Grant: (sum of lines 2 – 20)         Amount of line 21 Related to LBP Activities         Amount of line 21 Related to Section 504 compliance	7	1465.1 Dwelling Equipment—Nonexpendable				
1475 Nondwelling Equipment         1485 Demolition         1490 Replacement Reserve         1492 Moving to Work Demonstration         1495 Development Activities         1495 Development Activities         1499 Development Activities         1501 Collaterization or Debt Service         1502 Contingency         Amount of Annual Grant: (sum of lines 2 – 20)         Amount of line 21 Related to LBP Activities         Amount of line 21 Related to Section 504 compliance	12	1470 Nondwelling Structures				
1485 Demolition         1490 Replacement Reserve         1492 Moving to Work Demonstration         1492 Moving to Work Demonstration         1492 Moving to Work Demonstration         1492 Lelecation Costs         1499 Development Activities         1501 Collaterization or Debt Service         1502 Contingency         Amount of Annual Grant: (sum of lines 2 – 20)         Amount of line 21 Related to LBP Activities         Amount of line 21 Related to Section 504 compliance	13	1475 Nondwelling Equipment				
1490 Replacement Reserve         1492 Moving to Work Demonstration         1492 Moving to Work Demonstration         1492 Lelocation Costs         1499 Development Activities         1501 Collaterization or Debt Service         1502 Contingency         Amount of Annual Grant: (sum of lines 2 – 20)         Amount of line 21 Related to LBP Activities         Amount of line 21 Related to Section 504 compliance	14	1485 Demolition				
1492 Moving to Work Demonstration       495.1 Relocation Costs       476,865       476,865       476,865         1495.1 Relocation Costs       476,865       476,865       476,865         1501 Collaterization or Debt Service       476,865       476,865         Amount of Annual Grant: (sum of lines 2 – 20)       476,865       476,865         Amount of line 21 Related to LBP Activities       Amount of line 21 Related to Section 504 compliance		1490 Replacement Reserve				
1495.1 Relocation Costs       476,865       476,865       476,865         1499 Development Activities       476,865       476,865       476,865         1501 Collaterization or Debt Service       476,865       476,865       476,865         Amount of Annual Grant: (sum of lines 2 – 20)       476,865       476,865       476,865         Amount of line 21 Related to LBP Activities       Amount of line 21 Related to Section 504 compliance	$\dashv$	1492 Moving to Work Demonstration				
1499 Development Activities       476,865       476,865       476,865         1501 Collaterization or Debt Service       1502 Confingency       476,865       476,865       476,865         Amount of Annual Grant: (sum of lines 2 – 20)       476,865       476,865       476,865         Amount of line 21 Related to LBP Activities       Amount of line 21 Related to Section 504 compliance		1495.1 Relocation Costs				
1501 Collaterization or Debt Service       77,502         1502 Contingency       476,865       476,865         Amount of line 21 Related to LBP Activities       476,865       476,865         Amount of line 21 Related to Section 504 compliance       Amount of line 21 Related to Section 504 compliance		1499 Development Activities	476.865		478 86K	1300 341
1502 Confingency       Amount of Annual Grant: (sum of lines 2 – 20)       476,865       476,865       476,865         Amount of line 21 Related to Section 504 compliance       Amount of line 21 Related to Section 504 compliance       476,865       476,865		1501 Collaterization or Debt Service			200,0	4/0,000
Amount of Annual Grant: (sum of lines 2 – 20)         476,865         476,865         476,865           Amount of line 21 Related to LBP Activities         Amount of line 21 Related to Section 504 compliance         Amount of line 21 Related to Section 504 compliance		1502 Contingency				
Amount of line 21 Related to LBP Activities Amount of line 21 Related to Section 504 compliance		Amount of Annual Grant: (sum of lines 2 – 20)	476.865		476 9GE	120 001
		Amount of line 21 Related to LBP Activities			00000	4/0,000
		Amount of line 21 Related to Section 504 compliance				

		Federal FY of Grant:	2002	William Transfer of the Control of t		Total Actual Cost	Expended	The state of the s				Taranta de la companya de la company	Date		
	RHF) Part I: Summary	The state of the s	)5	(revision no: 6)	Jation Report		Obligated			THE PERSON NAMED IN COLUMN NAM		1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.	ing Director		
	Replacement Housing Factor (CFP/CFPRHF) Part I: Summary	T. Parking	ant No: CT 26-R007-502-05	Revised Annual Statement (revision no: 6	Final Performance and Evaluation Report	Total Estimated Cost	Revised	Philippin and the state of the		10.444444444444444444444444444444444444			Signature of Public Housing Director		
Report		Grant Type and Number Capital Fund Program Grant No:	Replacement Housing Factor Grant No:	Reserve for Disasters/ Emergencies	1/2011	Total Esti	Original							- A Commissioner	
Annual Statement/Performance and Evaluation Report	Capital Fund Program and Capital Fund Program	PHA Name: HOUSING AUTHORITY OF THE CITY OF STAMFORD		Original Annual Statement	Performance and Evaluation Report for Period Ending: 12/31/2011	Summary by Development Account		Amount of line 21 Related to Security - Soft Costs	Amount of Line 21 Related to Security - Hard Costs	Amount of line 21 Related to Energy Conservation	Measures	THE PROPERTY OF THE PROPERTY O	Signature of Executive Director	4	
Ann	Cap	PHA N			$\boxtimes$	Line No.		74	25	<b>2</b> 9			Signat		

Housing Authority of the City of Stamford d/b/a Charter Oak Communities Capital Fund Program RHF Annual Statement

Ann	Annual Statement/Performance and Evaluation Report	Spoorf			
Capi	Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary	Replacement Housi	ng Factor (CFP/CFPRI	HF) Part I: Summary	
PHA Name:	ame: HOUSING AUTHORITY OF THE CITY OF	Grant Type and Number			Federal FY of Grant:
***************************************	STAMFORD	Capital Fund Program Grant No: Replacement Housing Factor Grant No:	nt No: CT 26-R007-502-06		2006
	☐ Original Annual Statement ☐Reserve for Disaste	ers/ Emergencies	Revised Annual Statement (revision no: 5)	revision no: 5)	
Line No.	Summary by Development Account	Total Estimated Cost	ated Cost	Total Actual Cost	ual Cost
		Original	Revised	Obligated	Expended
<b>.</b>	Total non-CFP Funds		The state of the s	<b>)</b>	
2	1406 Operations				The state of the s
3	1408 Management Improvements				
4	1410 Administration				
2	1411 Audit	A STATE OF THE PARTY OF THE PAR			
9	1415 Liquidated Damages	A CANADA A C			
7	1430 Fees and Costs	A STATE OF THE PARTY OF THE PAR			
8	1440 Site Acquisition	A CONTRACTOR OF THE CONTRACTOR	- HAMMAN AND AND AND AND AND AND AND AND AND A		
6	1450 Site Improvement	THE PARTY OF THE P	The state of the s		
10	1460 Dwelling Structures	The state of the s			
11	1465.1 Dwelling Equipment—Nonexpendable	The state of the s			
12	1470 Nondwelling Structures	A COUNTY AND A COU			
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve		With the state of		A Communication of the Communi
16	1492 Moving to Work Demonstration		A THE REAL PROPERTY AND A THE PERSON AND	The state of the s	
17	1495.1 Relocation Costs				
18	1499 Development Activities	471,410	471,410	471,410	471,410
19	1501 Collaterization or Debt Service				
50	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	471,410	471,410	471,410	471,410
22	Amount of line 21 Related to LBP Activities		THE THE THE TAXABLE PARTY OF TA	The state of the s	
೫	Amount of line 21 Related to Section 504 compliance		The state of the s		
		William Committee of the Committee of th		The second secon	

Ann	Annual Statement/Performance and Evaluation Rep	Report				
Capi	Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary	n Replacement Hou	sing Factor (CFP/CFPR	tHF) Part I: Summary		***************************************
PHA Name:	_	Grant Type and Number			Federal FY of Grant:	
	S. LAIMTORD	Capital Fund Program Grant No: Replacement Housing Factor Grant No:	or Strant No: CT 26-R007-502-06	Q.	2006	
	☐ Original Annual Statement ☐Reserve for Disast ☐ Reserve for Disast ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐	isasters/ Emergencies (2011	Revised Annual Statement (revision no: 5)	(revision no: 5)		
Line No.	Summary by Development Account		Total Estimated Cost	Total Actual Cost	ual Cost	T
		Original	Revised	Obligated	Expended	1
24	Amount of line 21 Related to Security - Soft Costs					
25	Amount of Line 21 Related to Security - Hard Costs					
26	Amount of line 21 Related to Energy Conservation Measures					
Signatu	Signature of Executive Director		Signature of Public Housing Director	ing Director	Date	-
	Alalin Alalin	1 Bennemen				<del></del> 1
	adilla.					

Ann	Annual Statement/Performance and Evaluation Report	eport				Г
Capi	Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary	Replacement Housin	ig Factor (CFP/CFPRF	IF) Part I: Summary		:
PHA Name:	me: HOUSING AUTHORITY OF THE CITY OF STAMFORD	Grant Type and Number Capital Fund Program Grant No:			Federal FY of Grant:	
		Replacement Housing Factor Grant No:	No: CT 26-R007-502-07		7007	
	☐ Original Annual Statement ☐ Reserve for Disaste	ers/ Emergencies	Revised Annual Statement (revision no: 4   Final Performance and Evaluation Report	evision no: 4) Ition Report		
Line No.	Summary by Development Account	Total Esti	ited Cost	Total Actual Cost	ual Cost	Γ
		Original	Revised	Obligated	Expended	
_	Total non-CFP Funds					П
2	1406 Operations			· HAMAMAMAMAMAMAMAMAMAMAMAMAMAMAMAMAMAMAM		
က	1408 Management Improvements					
4	1410 Administration					
5	1411 Audit					
ဖ	1415 Liquidated Damages					
7	1430 Fees and Costs					
ω	1440 Site Acquisition					
တ	1450 Site Improvement					
10	1460 Dwelling Structures					
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Nondwelling Structures					
13	1475 Nondwelling Equipment			W11111		
14	1485 Demolition				MINISTER V	
15	1490 Replacement Reserve					
16	1492 Moving to Work Demonstration					
17	1495.1 Relocation Costs					1
18	1499 Development Activities	415,707	415,707	415,707	415,707	
19	1501 Collaterization or Debt Service				THE THE TAX AND ADDRESS OF THE TAX ADDRESS OF THE T	$\Box$
20	1502 Contingency					
21	Amount of Annual Grant: (sum of lines 2 – 20)	415,707	415,707	415,707	415,707	
22	Amount of line 21 Related to LBP Activities					
23	Amount of line 21 Related to Section 504 compliance					
					The state of the s	1

Manadak ja		Federal FY of Grant:	1000	7007	The state of the s		Total Actual Cost	Expended					
1111	Replacement Housing Factor (CFP/CFPRHF) Part I: Summary	- Additional of the second of		25	t (revision no: 4)	luation Report		Obligated	Addition of the state of the st				
	ing Factor (CFP/CFPF			eplacement Housing Factor Grant No: CT 26-R007-502-07	Revised Annual Statement (revision no: 4)	Final Performance and Evaluation Report	Total Estimated Cost	Revised	A STATE OF THE STA				
Report		Grant Type and Number	Capital Fund Program Grant No:	Replacement Housing Factor Gr	Reserve for Disasters/ Emergencies	_	Total Esti	Original		- The state of the			
Annual Statement/Performance and Evaluation Report	Capital Fund Program and Capital Fund Program	PHA Name: HOUSING AUTHORITY OF THE CITY OF	STAMFORD		Original Annual Statement Reserve for I	∑ Performance and Evaluation Report for Period Ending: 12/31/201	Summary by Development Account	The second secon	Amount of line 21 Related to Security - Soft Costs	Amount of Line 21 Related to Security Hard Costs	Amount of line 21 Related to Energy Conservation	Measures	
Ann	Cap	PHAN				X	Line No.		24	25	26		

Date Signature of Public Housing Director Date Signature of Executive Director

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Capi	Annual Statement/Performance and Evaluation หeport Capital Fund Program and Capital Fund Program Repl	אססס ה Replacement Hous	ort eplacement Housing Factor (CFP/CFPRHF) Part I:  Summary	HF) Part I: Summary		
PHA Name:	me: HOUSING AUTHORITY OF THE CITY OF STAMFORD	Grant Type and Number Capital Fund Program Grant No:	·		Federal	
			ant No: CT 26-R007-502-08	8	2002	
	☐ Original Annual Statement ☐Reserve for Disaste	ers/ Emergencies	Revised Annual Statement (revision no: 3	(revision no: 3 ) lation Report		
Line No.	Summary by Development Account		Total Estimated Cost	Total Actual Cost	ual Cost	1
		Original	Revised	Obligated	Expended	
-	Total non-CFP Funds					
7	1406 Operations					
က	1408 Management Improvements					
4	1410 Administration					
5	1411 Audit					
ဖ	1415 Liquidated Damages					
7	1430 Fees and Costs					-
œ	1440 Site Acquisition			0.000		
6	1450 Site Improvement					
10	1460 Dwelling Structures					
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Nondwelling Structures					
13	1475 Nondwelling Equipment					
14	1485 Demolition				melet mellet mel	
15	1490 Replacement Reserve					
16	1492 Moving to Work Demonstration				The state of the s	
17	1495.1 Relocation Costs					
18	1499 Development Activities	312,297	312,297	312,297	312,297	1
19	1501 Collaterization or Debt Service					T
20	1502 Contingency					· · · · · ·
21	Amount of Annual Grant: (sum of lines 2 – 20)	312,297	312,297	312,297	312,297	
22	Amount of line 21 Related to LBP Activities					
23	Amount of line 21 Related to Section 504 compliance					
		The second secon		The state of the s		Ì

Annual Statement/Performance and Evaluation Report	eport				
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary	Replacement Hous	sing Factor (CFP/CFPR	HF) Part I: Summary		
THORITY OF THE CITY OF	Grant Type and Number			Federal FY of Grant:	
STAMFORD	Capital Fund Program Grant No:			2000	
<u>~</u>	Replacement Housing Factor Grant No:	ant No: CT 26-R007-502-08	m	2000	
Original Annual Statement	Reserve for Disasters/ Emergencies	Revised Annual Statement (revision no: 3)	(revision no: 3)		
Performance and Evaluation Report for Period Ending: 12/31/2011		Final Performance and Evalu	lation Report		_
Line Summary by Development Account No.	Total Esti	Total Estimated Cost	Total Act	Total Actual Cost	
	Original	Revised	Obligated	Expended	
24 Amount of line 21 Related to Security – Soft Costs					_
25 Amount of Line 21 Related to Security – Hard Costs					
26 Amount of line 21 Related to Energy Conservation Measures					
00.000000000000000000000000000000000000			The second control of	The second secon	٦.
Signature of Executive Director		Signature of Public Housing Director	ng Director	Date	
	1				_

Ann	Annual Statement/Dorformance and Eveluation Bonny	7.00			
Cap	Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary	κεροπ n Replacement Hous	ing Factor (CFP/CFPR	(HF) Part I: Summary	
PHA Name:	lame: HOUSING AUTHORITY OF THE CITY OF STAMFORD	Grant Type and Number			Federal FY of Grant:
		Replacement Housing Factor Grant No:	ant No: CT 26-R007-502-09	Q.	2009
	☐ Original Annual Statement ☐Reserve for Disaste ○ ☐Reserve for Disaste ○ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐	ars/ Emergencies	Revised Annual Statement (revision no: 2	(revision no: 2)	
Line No.	Summary by Development Account	Total Esti	Total Estimated Cost	ľ	Total Actual Cost
		Original	Revised	Obligated	Expended
τ-	Total non-CFP Funds				
7	1406 Operations				
က	1408 Management Improvements		100000000000000000000000000000000000000	And the state of t	
4	1410 Administration				
ည	1411 Audit			The state of the s	
ဖ	1415 Liquidated Damages			The second secon	The state of the s
7	1430 Fees and Costs	The state of the s		The state of the s	
∞	1440 Site Acquisition				
တ	1450 Site Improvement			A CONTRACTOR OF THE PROPERTY O	The state of the s
9	1460 Dwelling Structures				The state of the s
-	1465.1 Dwelling Equipment—Nonexpendable			The state of the s	
12	1470 Nondwelling Structures			A CANADA CONTRACTOR OF THE CON	- Williams
13	1475 Nondwelling Equipment	The state of the s			
14	1485 Demolition			A CONTRACTOR OF THE CONTRACTOR	Address of the state of the sta
15	1490 Replacement Reserve			The state of the s	
16	1492 Moving to Work Demonstration				A A A A A A A A A A A A A A A A A A A
17	1495.1 Relocation Costs				- Annual - A
13	1499 Development Activities	150,806	150.806	150.806	
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Housing Authority of the City of Stamford d/b/a Charter Oak Communities Capital Fund Program RHF Annual Statement

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14	1485 Demolition				
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18	1499 Development Activities	150,655	150,655	150,655	O. Commission of the commissio
19	1501 Collaterization or Debt Service	The state of the s			
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20	1502 Contingency				The state of the s
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Housing Authority of the City of Stamford d/b/a Charter Oak Communities Capital Fund Program RHF Annual Statement

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13	1475 Nondwelling Equipment				
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15	1490 Replacement Reserve			III AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA	
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	129,457	7 129,457	129,457	
19	1501 Collaterization or Debt Service				
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21	Amount of Annual Grant: (sum of lines 2 – 20)	129,457	7   129,457	129,457	
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Housing Authority of the City of Stamford d/b/a Charter Oak Communities Capital Fund Program RHF Annual Statement

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21	Amount of Annual Grant: (sum of lines 2 – 20)	77.078	77 078	870 77		c
22	Amount of line 21 Related to LBP Activities			000		>
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April 10, 2012

Jennifer Gottlieb, Program Center Coordinator Public Housing Revitalization Specialist U. S. Department of Housing and Urban Development One Corporate Center, Suite 19 Hartford, CT 06103-3220

Dear Ms. Gottlieb:

The Housing Authority of the City of Stamford db/a Charter Oak Communities is pleased to inform you that we have completed our Housing Agency Plan for FYE 2012 in accordance with the regulations promulgated by the U.S. Department of Housing and Urban Development (HUD) found at 24 CFR 903. The Public Hearing was held on Wednesday, March 28, 2012.

We have received neither formal comments at the Public Hearing nor any prior comments from the RAB.

Attached please find the following items:

- 1. PHA Certifications of Compliance with the PHA Plan and Related Regulations: Board Resolution to Accompany the PHA Plan (Standard version).
- 2. Certification for a Drug Free Workplace (form HUD 50070)
- 3. Civil Rights Certification (form HUD 50077-CR)
- 4. Certification of Payments to Influence Federal Transactions (form HUD 50071)
- 5. Disclosure of Lobbying Activities (SF-LLL)

We have submitted a Consistency with the Consolidated Plan Certification Form to the appropriate Mayor's Office. We will forward it to the Hartford Office as soon as it is returned to us.

Our Plan has been submitted electronically in accordance with HUD instructions including all certification with the exception of the Consistency with the Consolidated Plan. Headquarters should have received the full submission prior to April 16, 2012. The electronic submission consisted of nine (9) files total. All referenced documents are available for review at the Main Office of the Housing Authority.

If you or your staff have any questions or require clarification, do not hesitate to contact me.

Sincerely,

Vincent J. Tufo

Executive Director and CEO

Attachments

# PHA Certifications of Compliance with PHA Plans and Related Regulations

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/39/2011

## PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the X 5-Year and/or X Annual PHA Plan for the PHA fiscal year beginning 7/1/12, hereinafter referred to as" the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

- 1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
- 2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
- 3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
- 4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
- 5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
- 6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
- 7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
- 8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a
    pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing:
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
- 9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
- 10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
- 11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

- 12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
- 13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
- 14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
- 15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
- 16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
- 17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Flazard Reduction Act of 1992, and 24 CFR Part 35.
- 18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
- 19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
- 20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
- 21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
- 22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the City of Stamford	CT-7
PHA Name	PHA Number/HA Code
5-Year PHA Plan for Fiscal Years 20 12 - 20 14  Annual PHA Plan for Fiscal Years 20 12 - 20 13  Thereby certify that all the information stated herein, as well as any information proving prosecute false claims and statements. Conviction may result in criminal and/or civil prosecute false claims and statements. Conviction may result in criminal and/or civil prosecute.	ded in the accompaniment herewith, is true and accurate. Warning: HUD will
Name of Authorized Official  Courtney A. Nelthropp	Title Chairperson
Signature A m	Date 03/28/2012
/ / '	

## Certification for a Drug-Free Workplace

### U.S. Department of Housing and Urban Development

Applicant Name Housing Authority of the City of Stamford	
Program/Activity Receiving Federal Grant Funding	
Capital Fund Program	
Acting on behalf of the above named Applicant as its Authoriz the Department of Housing and Urban Development (HUD) regard	ed Official, I make the following certifications and agreements to
I certify that the above named Applicant will or will continue to provide a drug-free workplace by:  a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.  b. Establishing an on-going drug-free awareness program to inform employees  (1) The dangers of drug abuse in the workplace;  (2) The Applicant's policy of maintaining a drug-free workplace;  (3) Any available drug counseling, rehabilitation, and employee assistance programs; and  (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.  c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;  d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will	(1) Abide by the terms of the statement; and  (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;  e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;  f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted  (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or  (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;  g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.
HUD funding of the program/activity shown above: Place of Perforn Identify each sheet with the Applicant name and address and the program and	ched sheets.  Ormation provided in the accompaniment herewith, is true and accurate.
x (UX	Aldr

Civil Rights Certification
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U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

**CT-7** 

03/28/2012

PHA Number/HA Code

#### **Civil Rights Certification**

PHA Name

Signature

#### **Annual Certification and Board Resolution**

Housing Authority of the City of Stamford

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

I hereby certify that all the information s prosecute false claims and statements. C	stated herein, as well as any information prov onviction may result in criminal and/or civil	vided in the acc penalties, (18	companiment herewith, is true and accurate. Warning: HUD will U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)
Name of Authorized Official	Courtney A. Nelthropp	Title	Chairman of the Board of Commissioners

Date

# **Certification of Payments to Influence Federal Transactions**

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

Thirt country and the second s	
Applicant Name	
Housing Authority of the City of Stamford	
Program/Activity Receiving Federal Grant Funding Capital Fund Program	
The undersigned certifies, to the best of his or her knowledge and	d belief, that:
(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.  (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.	(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.  This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
I hereby certify that all the information stated herein, as well as any inf Warning: HUD will prosecute false claims and statements. Conviction ma (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)	·
Name of Authorized Official	Title
Vincent Tufo	Executive Director
Signature	Date (mm/dd/yyyy)  H H 1 2

#### **DISCLOSURE OF LOBBYING ACTIVITIES**

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 (See reverse for public burden disclosure.)

1. Type of Federal Action:	2. Status of Federa	al Action:	3. Report Type:
a. contract	a a. bid/o	offer/application	a. initial filing
b. grant	a. bid/offer/application b. initial award		b. material change
c. cooperative agreement	c. post-	-award	For Material Change Only:
d. loan			year quarter
e. loan guarantee			date of last report
f. loan insurance			· ·
4. Name and Address of Reporting	g Entity:	5. If Reporting Er	ntity in No. 4 is a Subawardee, Enter Name
✓ Prime  Subawardee		and Address of	Prime:
Tier	, if known:		
Hausten Andreaster & H. D.			
Housing Authority of the City 22 Clinton Ave.,Stamford, CT			
Communication of District 1997			mal a la ser
Congressional District, if known	7:		District, if known:
6. Federal Department/Agency:		7. Federal Progra	ım Name/Description:
		CEDA Number	if applicable:
	•	Of DA Number,	п аррпсавіє.
8. Federal Action Number, if know	n:	9. Award Amount	t. if known:
, and the second		\$ 490,000 estim	
10. a. Name and Address of Lobb	uina Dogiotront	J	
(if individual, last name, first r		different from N	rforming Services (including address if
( ii individual, last harrie, liist i	iairie, iviij.	(last name, firs	•
		(last flaffle, llfs	t Hame, IVII).
N/A			
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which refiance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.		Signature:	
		Print Name: Vinc	ent Tufo
		II.	
		Title: Executive Di	rector
		Telephone No.: 20	03 977-1400 ext 3305 Date: 4 4 L
		<u> </u>	Authorized for Local Reproduction
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