

**HAZLETON HOUSING
AUTHORITY**

**PHA PLAN
ANNUAL PLAN FOR 2011
5 YEAR PLAN 2011-2015**

High Performing PHA

**299 Public Housing Units
347 Housing Choice Voucher Units**

**HUD FORM 50075
Attached**

PHA Plan update

Hazleton Housing Authority

5.0 Five-Year Plan:

5.1 Mission:

The HHA will provide clean, safe, affordable and well-maintained housing for the Residents of our Community and will help them to improve the quality of their lives by supporting opportunities for their economic independence.

5.2 Goals and Objectives:

- Expand the supply of assisted housing by reducing public housing vacancies.
- Improve the quality of assisted housing by improving our public housing PHAS scores, our SEMAP scores, increasing customer satisfaction.
- Continue to concentrate on efforts to improve specific management functions, and continue to renovate public housing units.
- Increase assisted housing choices by conducting outreach efforts to potential voucher landlords.
- Continue to partner with local agencies to promote home ownership possibilities.
- Provide an improved living environment by implementing measures to deconcentrate poverty by bringing higher income public housing households into lower income developments.
- Continue to implement public housing security improvements.
- Promote self sufficiency and asset development of assisted households by increasing the number and percentage of employed persons in assisted families, providing supportive services to improve assistance recipients' employability, and providing supportive services to increase independence for elderly and families with disabilities.
- Ensure equal opportunities and affirmatively further fair housing objectives by undertaking affirmative measures to assure access and to provide a suitable living environment to assisted housing regardless of race, color, religion, national origin, sex, familiar status and disability.
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit sizes required.
- Retain partnerships that continue to benefit our Residents at little or no costs to our Residents
- Continue to develop programs to help increase self-sufficiency for our Residents.
- Increase participation in our learning center for computer skills, English as a second language, GED classes, family literacy, employment skills, parenting classes, etc.

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5.2 Report on Progress of Goals and Objectives:

HHA has continued to maintain our status as a "high performing" agency for the past three years. We are constantly working on methods to improve the availability of housing units by completing the renovations needed to be done on vacant units, improving our unit turn around time, thus creating more availability of housing units.

We have completed an Organizational Assessment study and have concentrated on improving our waiting list management functions in order to provide equal opportunity and fair housing objectives.

We continue to solicit input from Residents of public housing to assist us to develop a plan for our major initiatives for renovations of our public housing stock through the Capital Fund Program.

We continue to perform outreach initiatives to potential voucher landlords by attending the *Hazleton Area Landlord Association Meetings* to promote the Section 8 Voucher program and Homeownership Program. We currently have 2 Homeownerships on the Section 8 Program.

We also continue to partner with local agencies such as *Commission on Economic Opportunity, Consumer Credit Counseling Services, Catholic Social Services*, and various other agencies.

We have received a ROSS Homeownership Grant for homeownership possibilities for our public housing family units.

We have created a partnership with *Catholic Social Services, Pennsylvania Association of Resident Councils, Commission on Economic Opportunity, Consumer Credit Counseling Services* and many other community agencies to promote homeownership for our public housing residents.

We plan to establish a working preference for working families and those that cannot work due to age or disability.

We have established a system of nationwide criminal background checks on prospective applicants to promote public housing security improvement.

We continue to work with local colleges and agencies to increase participation in our learning center for computer skills, English as a second language, family literacy, citizenship and GED classes.

6.0 Plan Update:

- (a) Plan elements that have been revised since last Annual Plan submission:

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All areas of the HHA policies that govern Eligibility, Selection and Admission Policies, including Deconcentration and Wait list procedures have not been revised since the Authority's prior submission.

- (b) Copies of the Annual and 5-year Plan can be obtained at:
HHA Main Administrative Offices
320 W Mine Street
Hazleton, Pa. 18201

Copies will also be posted at our Asset Management Project offices (AMPS) and all Resident Councils will have a copy for reference.

PHA Plan Elements (24CFR 903.7)

#1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures:

Eligibility:

Eligibility for admission is verified when families are within a certain number of being offered a unit, and within a certain time frame, depending on vacancies. High-rise buildings eligibility is verified upon application.

The screening factors that are used are a nationwide background search that obtains any drug related activity rental history, terrorist search, housekeeping, and social security verification. Immigration status verification through the *SAVE (Systematic Alien Verification for Entitlements)* Program. We also have access to the FBI criminal records through a fingerprint system from an authorized source.

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Waiting list Organization:

We utilize the community wide method to organize our public housing wait list. Applications for both Public Housing and HCV are accepted at our Main Administrative Office. We have no site-based waiting list. HHA has no pending fair housing complaint by HUD or any COURT order or agreement or complaint.

Assignment

Applicants are given two (2) vacant unit choices before they fall to the bottom of the list. This is consistent across all waiting list types.

Admissions Preferences:

Income targeting requirements are met by targeting more than 40% of new admissions to public housing to families at or below the 30% of median income.

Transfer Policy:

The circumstances where transfers will take precedence over new admissions will be for
Emergencies;
Over-housed;
Under housed;

Medical justification;
and administrative reasons determined by the HHA

Preferences:

The HHA has established preferences for admission into public housing. The preferences are:

- Date and Time
- Working families and those unable to work due to age
Or disability
- Victims of Domestic Violence
- Involuntary Displacement
- Veterans and veteran's families
- Residents who live and/or work in the jurisdiction
- Employed non-residents

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HHA employs prioritized admissions preferences as follows:

- Date and time 1
- Working families and those unable to work due to age or disability 1
- Victims of Domestic Violence 1
- Involuntary Displacement 1
- Veterans and veteran's families 1
- Residents who live/work in jurisdiction
- Employed non-Residents

Income targeting requirements:

The pool of applicant families ensures that the PHA will meet income and targeting requirements.

Occupancy:

The reference materials that applicants and residents can use to obtain information are:

The Resident lease;
HHA Admissions and Occupancy Policy
HHA briefing sessions or written materials

Residents must notify the HHA of any changes in family composition at any time the family composition changes.

Deconcentration and Income Mixing:

The HHA has general occupancy (family) public housing developments covered by the deconcentration rule. We have no average incomes above or below 85% to 115% of the average incomes of all developments.

SECTION 8:

Eligibility:

Eligibility for Section 8 is conducted by completing a nationwide criminal and drug related activity search that is to the extent required by law and/or regulation.

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Immigration status search conducted through the SAVE (Systematic Alien Verification Program) system.

We also have access to FBI reports through an authorized search. Tenants past history only when required by landlords on the Section 8 Voucher Program.

Waiting list Organization:

HHA does not merge its Section 8 waiting list with its public housing list. Each list is kept separate.

Interested persons may apply at our main Administrative Offices.

Search time: HHA will give extensions on the standard 60 day period to search for a unit based on justified circumstances.

Admissions Preferences:

We plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median income.

HHA has established preferences for admission to the Section 8 Voucher Program.

- Date and time of application.
- Family Unification Program

Relationship to preferences to income targeting requirements:

The pool of applicant families ensures that the HHA will meet income-targeting guidelines.

The Section 8 Waiting list is currently closed and will be opened for FUP eligible families only.

Special Purpose Section 8 Assistance Program:

HHA makes known to the participants, its policies governing eligibility, selection and admission to any special purpose section 8 programs at the time of the briefing sessions and in written materials.

HHA announces the availability of any special-purpose section 8 programs to the public through published notices in the local news media of general circulation.

#2. Statement of Financial Resources:

Sources

1. Federal Grants FY 2010 grants

| | |
|--|-----------|
| a) Public Housing Operating Fund | \$770,147 |
| Public Housing Capital Fund | 414,478 |
| c) HOPE VI Revitalization | -0- |
| d) HOPE VI Demolition | -0- |
| e) Annual Contributions for Section 8 Tenant based rent | 1,357,667 |
| f) Resident Opportunity and Self Sufficiency grants | 114,795 |
| g) Community Development Block | -0- |
| h) HOME | -0- |
| Other federal grants | -0- |

**2. Prior Year Federal Grants
(unobligated funds only)**

| | |
|--|-----------|
| a) Public Housing Capital Fund | \$356,335 |
| b) Public Housing Dwelling rental income | 893,062 |
| c) Other income (excess utilities) | 9,366 |
| Non-federal sources | -0- |

#3. Rent Determination:

Rent Determination Policies:

The HHA does not employ discretionary policies for determining income-based rent. Income based rents are set at the higher of the 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (Less HUD mandatory deductions and exclusions)

Minimum Rent: (For both PH and Section 8 Voucher Program:

The HHA minimum rent is \$50.00. A hardship exemption shall be granted to residents who can document that they are unable to pay the \$50.00 because of a long-term hardship (over 90 days) Examples under which residents would qualify for the hardship exemption would be limited to the following:

• The family has lost eligibility for or is applying for an eligibility determination for a Federal, State or local assistance program;

- The family would be evicted as a result of the imposition of the minimum rent requirements;
- The income of a family has decreased because of changed circumstances, including loss of employment beyond tenant's control;
- A death in the family has occurred, or;
- other circumstances as determined by HHA

Rents:

HHA does not plan to charge rents at a fixed amount or percentage less than 30% of adjusted income.

Ceiling rents:

None

Rent Re-determinations:

Tenants must report changes in income or family composition at the time of occurrence.

Flat rents:

HHA has set flat rents for public housing based on the Section 8 rent reasonableness of comparable housing.

Payment Standards:

The HHA Voucher payment standard is set at 100% of FMR.

Payments standards are reevaluated for adequacy on an annual basis.

The factors HHA considers in its assessment of the adequacy of payment standards is:

the success rates of assisted families, and; the rent burdens of assisted families.

4. Operation and Management:

A statement of the rules, standards, and policies of the Housing Authority governing **maintenance management** of housing owned, assisted, or operated by the public housing agency is contained within our Admissions and Occupancy Policy, and various other documents listed below.

» **Attached is a copy** of our Preventative Maintenance Plan that addresses the measures necessary for the prevention or eradications of pest infestation.

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List of HHA's Public Housing Management and Maintenance Policy Documents:

-Admissions and Occupancy Policy-Personnel Policy-Union Contract-Civil Service Contract-Public Housing Handbook-Occupancy Handbook-Grievance Procedure-Procurement Policy-Pet Policy-Pest Policy- Screening, Eviction and Termination Policy-De concentration Policy-Community Service Policy-Preventative Maintenance Plan-Mold Policy-Emergency Response Action Plan-Emergency Evacuation Plan-Right to Know Policy-Affirmative Action Plan

List of Section 8 Management Policies:

Section 8 Administrative Plan-Housing Choice Voucher Handbook-Housing Quality Standards Handbook-Rent Reasonableness Handbook

» Attached is a copy of our Organizational Chart showing the HHA's management structure and organization is attached.

5. Grievance Procedures:

The policies of the HHA governing grievance and informal hearing and review procedures that the Housing Authority makes available to its residents and applicants, is contained in our A & O Policy, Chapter 18 and **has not been revised since the Authority's prior submission.**

» Attached is a copy of our Grievance Procedures.

#6. Designated Housing for Elderly and Disabled Families:

With respect to public housing projects owned, assisted or operated by HHA, **there are no projects (or portions thereof) in the upcoming fiscal year, that the Housing Authority has designated or will apply for designation for occupancy by elderly and disabled families.**

7. Community Service and Self-Sufficiency:

A statement of rules concerning the Community Service and Economic Self Sufficiency are contained in our PH Admissions and Occupancy Policy in Chapter 15.

The HHA has entered into a cooperative agreement with the TANF/Dept of Public Assistance agency to share information and/or target supportive services.

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Self-Sufficiency Policies:

The following discretionary policies are employed by the HHA to enhance the economic and social self-sufficiency of assisted families:

Public Housing rent determination policies
Public Housing Admissions Policies
Section 8 Admissions Policies
Preference for family's working/live in area
Section 8 Homeownership option
Public Housing Homeownership Program-ROSS grant

The HHA is complying with statutory requirements regarding the service and treatment of income resulting from welfare program requirements.

» Attached is a copy of our Community Service Policy.

8. Safety and Crime Prevention:

Need for measures to ensure the safety of public housing residents:

Due to the extensive background search and the enforcement of our lease and One Strike and Your Out Policy, we have actually observed a lower level of crime and vandalism in our Vine West Community.

Crime Prevention Activities:

The HHA conducts nationwide criminal background searches on all prospective applicants. The search contains criminal and drug related activity, terrorist search, and sex offender search and social security verifications.

Local police provide up to date information regarding any criminal activity on or near the public housing development, and will assist in eviction cases if needed.

HHA has installed surveillance cameras in all elderly high-rise buildings.

Police have established a physical presence on housing authority property as the HHA has given office space at our Vine West Community building for a Police sub station.

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Volunteer Block watchers Program for crime watch in neighborhood

Police involvement in enforcement of "One Strike and Your Out Policy, by providing crime data to housing authority staff for analysis and action.

Police Department conducts Educational seminars with our residents to educate residents on crime prevention, etc.

» Attached is a copy of our *Tracking and Reporting Crime Procedures* and our One Strike and your out Policy.

9. Pets:

The HHA policies and requirements pertaining to the ownership of pets in public housing is contained in our A & O Policy and **has not been revised since our last submission.**

10. Civil Rights Certification:

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and related Regulations.

Certification from local official statement included in the HHA Plan assures that the annual plan is consistent with the Consolidated Plan.

11. Fiscal Year Audit:

In the opinion of Polcari & Co., CPA, who conducted an independent audit of the HHA, *it is stated, "In our opinion, the Authority complied, in all material respects, with the requirements that are applicable to each of its major federal programs for the year ending December 31, 2008. There were no findings for 2008. We are currently awaiting our 2009 Audit results.*

12. Asset Management

The HHA will carry out its asset management functions. We have set up AMPS for each of our Project sites. In addition, we are in the process of establishing a COCC center.

Our inventory is currently entered into our computer system and we have established a computer generated work order system to monitor the inventory for each AMP.

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We have conducted an Organizational Analysis of our staff and have transferred duties so that we are prepared to enter into the asset based type of system. We have created the position of Public Housing Manager to monitor all aspects of the public housing procedure, rules, and supervise.

Our Project Managers will be involved in the planning of the long-term operating, capital investments, rehabilitation, and modernization items.

#13. Violence Against Women Act:

(1) Created the following:
EXCEPTION TO LEASE TERMINATION RELATING TO VIOLENCE
AGAINST WOMEN:

In accordance with the Violence Against Women Act of 2005, criminal activity directly related to domestic violence, dating violence, or stalking, engaged in by a member of the tenant's household or any guest or other person under the tenant's control, shall not be a cause for termination of the tenancy or occupancy rights, if the tenant or immediate member of the tenant's family is a victim of that domestic violence, dating, violence or stalking.

HHA may bifurcate a lease in order to evict, remove or terminate assistance to any individual who is tenant or lawful occupant and who engages in criminal acts of physical violence against family members or others, without evicting, removing, terminating assistance to, or otherwise penalizing the victim of such violence who is also a tenant or lawful occupant.

Nothing in this section may be construed to limit the authority of the HHA, when notified to honor court orders addressing rights of access to or control of the property, including civil protections orders issued to protect the victim and issued to address the distribution or possession of property among the household members in cases where a family breaks up.

Nor does this section limit otherwise available authority of the HHA:

- to evict a tenant for any violation of a lease not premised on the act or acts of violence in question against a tenant or member of the tenant's household, provided that HHA does not subject an individual who is or has been a victim of domestic violence, dating violence, or stalking to a more demanding standard than other tenants in determining whether to evict or terminate; or

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- to limit the authority of a public housing agency to terminate the tenancy of any tenant if HHA can demonstrate an actual and imminent threat to other tenants or those employed at or providing service to the property if that tenant's tenancy is not terminated, and
- nothing in this section shall be construed to supersede any provision of any Federal, State or local law that provides greater protection than this section for victims of domestic violence, dating violence, or stalking.

CERTIFICATION: HHA may request that an individual certify via a HUD approved certification form, the individual is a victim of domestic violence, dating violence, or stalking, and that the incident or incidents in question are bona fide incidents of such actual or threatened abuse and meet the requirements set forth in the aforementioned paragraphs. Such certification shall include the name of the perpetrator. The individual shall provide such certification within 14 business days after HHA requests such certification.

FAILURE TO PROVIDE CERTIFICATION: If the individual does not provide the certification within 14 business days after the HHA has requested such certification in writing, nothing in this subsection may be construed to limit the authority of HHA to evict any tenant or lawful occupant that commits violations of a lease. The Housing Authority may extend the 14-day deadline at its discretion. HHA may provide, at its discretion, benefits to an individual based solely on the individual's statement or other corroborating evidence.

CONTENTS OF THE CERTIFICATION: In individual may satisfy the certification requirement by:

1. providing the requesting public housing agency with documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance is addressing domestic violence, dating violence, or stalking, or the effects of the abuse, in which the professional attests under penalty of perjury (28 U.S.C. > 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse, and the victim of domestic violence, dating violence, or stalking has signed or attested to the documentation; and
2. producing a Federal, State, or local police or court record.

CONFIDENTIALITY: All information provided to the HHA pursuant to paragraph (1), including the fact that an individual is a victim of domestic violence, dating violence, or stalking, shall be retained in confidence by HHA, and shall neither be entered into any shared database nor provided to any related entity, except to the extent that the disclosure is;

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requested or consented to by the individual in writing:

1. required for use in an eviction proceeding; or
2. otherwise required by applicable law.

NOTIFICATION: HHA will provide notice to tenants assisted under section 6 of the United States Housing Act of 1937 of their rights under the Violence Against Women's Act, including their right to confidentiality and the limits thereof.

(2)

Activities, services, or programs provided or offered by HHA that helps adult victims of domestic violence:

One of our admission preferences includes Victims of Domestic Violence.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Home Ownership Programs, and Project-Based Vouchers:

(d) Homeownership:

The Housing Authority is administering a Homeownership Program utilizing its Housing Choice Vouchers. Two families are participating in the program and have purchased homes.

8.0 Capital Improvements:

8.1 to 8.2:

» Please see attached 50075.1 and 50075.2 reports for the Annual and Five-Year Plan.

8.3: HHA has no debts incurred to finance capital improvements.

9.0 Housing Needs:

Statement of Housing Needs

Combined Section 8 and Public Housing:

| | <u># of families</u> | <u>%of total families</u> |
|---|----------------------|---------------------------|
| Waiting list total | 510 | |
| Extremely low income <=30% AMI | 396 | 78% |
| Very low income (>30% but <=50% AMI) | 84 | 16% |
| Low income (>30% but <80% AMI) | 30 | 6% |
| | -14- | |
| Families with children | 336 | 66% |
| Elderly families | 14 | 3% |
| Families with Disabilities | 101 | 20% |
| Race/ethnicity (WHITE) | 136 | 27% |
| Race/ethnicity (HISPANIC) | 345 | 68% |
| Race/ethnicity (BLACK) | 28 | 6% |
| Race/ethnicity (ASIAN) | 1 | .01% |

Characteristics by Bedroom

PUBLIC HOUSING ONLY

| | | |
|------|-----|-----|
| 1 BR | 125 | 29% |
| 2 BR | 126 | 29% |
| 3 BR | 127 | 29% |
| 4 BR | 24 | 6% |
| Eff | 36 | 9% |

#.1 Strategy for Addressing Housing Needs:

Strategy 1. Maximize the number of affordable units available to the HHA within its current resources by:

- Employing effective maintenance and management policies to minimize the number of public housing units off line.
- Reducing turnover time for vacated public housing units.
- Maintain or increase section 8 lease up rates by establishing payment standards that will enable families to rent throughout the jurisdiction.
- Maintain or increase section 8 lease up rates by marketing the program to owners, particularly those outside of the areas of minority and poverty concentration.
- Maintain or increase section 8 lease up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated plan development process to ensure coordination with broader community strategies.

Strategy 2: Increase the number of affordable housing units by:

•Apply for additional section 8 units should they become available.

•Choosing working families for occupancy in public housing to increase tenant contributions and decrease our portion of the rent, therefore, enabling us to increase the number of families subsidized.

Need: Specific Family types; Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30% of AMI

By adopting rent policies to support and encourage work

Need: Specific families at or below 50% of median

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Strategy 1: Target available assistance to families at or below 50% of AMI

By employing admission preferences aimed at families who are working, and, adopting rent policies to support and encourage work.

Need: Specific family types: The elderly

Strategy 1: Target available assistance to the elderly:

By applying for special-purpose vouchers targeted to the elderly should they become available, and continue to market our high rises by educating the public on eligibility requirements to maintain a healthy waiting list.

Need: Specific family types: Families with Disabilities

Strategy 1: Target available assistance to families with disabilities:

By applying for special-purpose vouchers targeted to families with disabilities, should they become available.

Affirmatively market to local non-profit agencies that assist families with disabilities.

Need: Specific family types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of HHA resources among families of race and ethnicities with disproportionate needs:

By affirmatively marketing to race/ethnicities shown to have disproportionate housing needs

Strategy 2: Conduct activities to affirmatively further fair housing

By counseling section 8 tenants as to the location of units outside the area of poverty or minority concentration and assist them to locate those units.

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By marketing the section 8 program to owners outside the areas of poverty/minority concentrations

Other Housing Needs & Strategies

(2) Reasons for strategies:

Funding constraints, staffing constraints, evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the HHA, Community priorities regarding housing assistance, results of consultations held with local or state government, results of consultations with residents and the Resident Advisory Board, and results of consultation with advocacy groups.

10.0 Additional Information:

(a) Progress in meeting Mission and goals:

Strategic goal: Increase the availability of decent, safe and affordable housing:

- HHA goal: Expand the supply of assisted housing by reducing public housing vacancies:

Status: We are constantly working on improving our unit turnaround time by completing the renovations needed on vacant units, so that we can create availability of the housing units.

We have recently placed a member of our staff in a position to manage the waiting list function in order to continue improvement on our unit turnaround time and provide equal opportunity and fair housing objectives.

- HHA goal: Improve the quality of assisted housing

- Improve public housing management (PHAS score)
- Improve Voucher Management (SEMAP score)
- Increase customer satisfaction
- Concentrate on efforts to improve specific management functions
- Renovate or modernize public housing units

Status: HHA has continued to maintain our status as a "high performing" agency for the past three years. Our 2008 SEMAP score was 100%.

We continue to solicit input from Residents of public housing and meet on a regular basis with the Resident council groups. We are concentrating on improving management functions By transition into the asset based management system and Have completed an organizational analysis of our management operation and making needed changes to improve management functions. Capital fund Program is proceeding on schedule.

Capital Status

| <i>FFY</i> | <i>% Obligated</i> | <i>% Expended</i> |
|------------|--------------------|-------------------|
| 2007 | 100% | 97% |
| 2008 | 100% | 90% |
| 2009 | 14% | 2% |
| ARRA | 100% | 39% |

HHA goal: Increase assisted housing choices:

- Conduct outreach efforts to potential voucher landlords
- Implement voucher homeownership program

Status: We have a number of our staff complete outreach efforts by attending the area landlord association meetings, and local senior citizen meetings to promote both the section 8 voucher program, public housing and homeownership. We currently have 2 voucher homeownership participants and we have recently received ROSS grant for homeownership possibilities for our family development.

Strategic goal: Improve community quality of life and economic vitality

HHA goal: Provide an improve living environment

- Implementing measure to deconcentrate poverty by bringing higher income public housing households into lower income developments
- Implement public housing security improvements

Status: We have changed our preference to include working families or families with disabilities in order to get a broad range of income and bring higher income households into lower income developments.

We have implemented a system of criminal searches that include "nationwide" searches on prospective applicants to promote housing security improvement. We have also included in our Annual Plan to update the Surveillance camera system that is currently installed in our senior high-rise building.

Strategic goal: Promote self-sufficiency and asset development of families and individuals

HHA goal: Promote self-sufficiency and asset development of families and individuals

- Increase the number and percentage of employed persons in assisted families
- Provide or attract supportive services to improve assistance recipient's employability
- Provide or attract supportive services to increase independence for the elderly or families with disabilities

Status:

We continue to partner with local agencies to promote self-sufficiency and asset development through local coalition of housing.

We solicit supportive services, such as blood-pressure screening, nutrition classes, etc. at little or no cost to residents.

Strategic goal: Ensure equal opportunity in housing for all Americans

HHA goal: Ensure equal opportunity and affirmatively further fair housing

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status and disability
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familiar status, and disability
- Undertake affirmative measures to ensure accessible housing to persons of all varieties of disabilities regardless of unit size required

Status: When necessary, the HHA modifies housing units for tenants with various disabilities such as handicap ramps, grab bars and bathroom modifications.

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(b) Significant Amendment and Substantial Deviation/Modification:

Basic criteria the HHA will use for determining a significant amendment from the 5-year plan and annual plan:

Amendment and Deviation Definitions

(a) Substantial Deviation from the 5-year plan

The Hazleton Housing Authority (HHA) will consider the following to be a substantial deviation from the 5-year plan:

- (a) The addition of new activities that do not otherwise further HHA's stated mission or further set goals as set forth in the current five-year plan.
 - (b) Insufficient budget authority from HUD necessitating the need to terminate program activities.
 - (c) An exception to this definition will be made for any new activities adopted to reflect changes in HUD regulatory requirements as a result of a declared emergency; such changes will not be considered a substantial deviation by HHA.
- (b) Significant Amendment or Modification to the Annual Plan
HHA will consider the following to be a significant amendment or modification:
- (a) Significant changes to rent or admissions policies or organization of waiting lists;
 - (b) Addition of new program initiatives not included in the current 5-year plan;
 - (c) Demolition or disposition, designation, or conversion activities not current identified in the plan or otherwise approved by HUD;
 - (d) An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements or as a result of a declared emergency, such changes will not be considered significant amendments by HHA.

□ Changes under the above definitions, which are funded by any source other than federal funds, will not required amendment or modification to the Agency Plan.

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Resident Advisory Board Recommendations

The HHA held public meetings with Residents from each development in order to obtain comments on the annual and Five year plan.

HHA considered the comments, but determined no changes to the HHA plan were necessary at this time

The Resident Advisory Board has reviewed and voted unanimously on their acceptance of the Hazleton Housing Authority's Agency Plan FY 2010, and also the submission of the 5-year plan for the fiscal years 2012-2015. Members of the RAB are as follows:

Charles B. Gennaro
Ann Miller
Rebecca Wright
Marcella Kraynak
Catherine & Milton Cramond

Joseph Kuba
Donald Pence
Jackie & Bobby Renner
Carole Koch
Sharon Warholak
Dana Resuta

Resident Membership on PHA Governing Board:

The HHA has one member on the Board of Directors who is assisted by the PHA.

Name of Resident Member: Charles B. Gennaro

The term of the appointment expires is January, 2012

Consolidated Plan jurisdiction is: City of Hazleton

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The HHA has based its statement of needs of families on the waiting list on the needs expressed in the Consolidated Plan.

The HHA has participated in any consultation process offered by the Consolidated Plan agency in the development of the Consolidated Plan.

The HHA has consulted with the Consolidated plan agency during the development of the HHA plan. Activities to be undertaken by the HHA in the coming year are consistent with the initiatives contained in the Consolidated plan.

Project Based Voucher Program:

The HHA does not plan to project base any tenant-based Section 8 vouchers in the coming year.

Required Submission for HUD Field Office review:

Required forms will be submitted to the Field Office through the mail.

Items 50075.1 and 50075.2 will be submitted electronically.

See attached continuation:

Executive Directors Summary Report

EXECUTIVE SUMMARY
HHA's Agency Plan FY 2011

The Housing Authority of the City of Hazleton is required by federal law to develop, with input from Residents, elected officials and the public, a plan that sets forth its major initiatives for the coming year.

Prior to finalizing the PHA Plan, the Hazleton Housing Authority (HHA) reached out to the public, elected officials and interested residents to solicit their comments and recommendations. To facilitate such comments, the Authority held four (4) meetings during the months of May and June and a public meeting on July 30, 2010.

Following the public hearing, the Authority met with the Resident Advisory Board (RAB) to obtain final comment. The Authority has revised the FY 2009 Agency Plan based upon comments consulted with the Hazleton Association of Resident Councils and the public. In addition to the identified required and optional attachments and list of supporting documents, identified in the Plan, the Housing Authority utilized information provided in the City's current Consolidated Plan. The final plan will be submitted to the U.S. Department of Housing and Urban Development (HUD) by October 17, 2011.

The Agency Plan FY 2011 is available for public review at the Authority's main office. Copies of the Agency Plan FY 2011 are also available at each development's Management Offices and have been provided to each public housing Resident Association President.

We are in the process of assisting the City of Hazleton in updating the current Consolidated Plan. We are sure that this will identify a large number of families with housing needs. During the coming year, the Housing Authority of the City of Hazleton plans an aggressive outreach program to encourage families to apply for all the housing assistance, support and educational training available to them.

Relative to eligibility, the Housing Authority of the City of Hazleton, screens all applicants for both the Section 8 Voucher Program and the Public Housing Programs for criminal and drug related activity only to the extent required by law. A police sub station is operating out of our Community building located in our family development which helps deter criminal activity not only in the neighborhood of our family units, but that quadrant of the City. We enforce our rules on criminal activity by adhering to our "One Strike and your Out" Policy. Also, relative to eligibility, we are now required to check immigration status through the SAVE Program, Systematic Assessment of Verification of Eligibility for each new applicant.

We are also administering a ROSS family home ownership grant and partnering with various local agencies to assist our Residents to move toward home ownership. We are constantly exploring new programs and grant opportunities.

Our Housing Choice Voucher Homeownership Program, with our partner, CEO, Commission on Economic Opportunity, continues. We have completed two (2) homeownership settlements and we continue to encourage families to enroll to achieve the goal of homeownership and become self-sufficient.

Our partnership with the local drug prevention agency, Sorrento Gardens, remains strong along with Luzerne County Community College and the local Police Department and the Head Start Program. The majority of the children enrolled in the Head Start Program are the children of residents that live in the family community. We also provide English as a second language classes sponsored through Luzerne County Community College.

We have converted to Asset Based Management, and have created amps for each of our projects. In addition, we have completed an *organizational assessment* of our management staff and created new positions to implement the new asset management procedures. This will improve our business processes and improve customer service in the areas of applications, tenancy administration, leased housing and management operations. We continue to provide training for our employees and take advantage of the HUD websites for web cast training seminars to decrease our costs of training.

All of our buildings are more than 40 years old. HHA is constantly challenged to maintain these older buildings' systems and infrastructure at a significant expense. The Capital Fund program continues to manage the majority of the projects, however, we are constantly seeking additional funding through grants, so that we can maintain our existing

housing stock. A portion of the Capital Fund Program will focus on repairs to building exteriors, foundations, concrete repairs, and roofing. In 2010, we are pursuing the possibility of increasing the stock of affordable housing units.

HHA has included in the Capital Fund program "green" initiatives to produce energy savings. This includes, replacement of boilers with energy efficient types, instantaneous hot water heaters, lighting upgrades, low flush toilets, and water saving showerheads.

In the near future, we would like to have a green assessment completed of our facilities to identify green investment options for our properties. The assessment will address energy efficiency, water conservations, indoor air quality, materials and construction and any other areas that should be considered.

We will continuing to attempt developing a recycling program in all of our developments to help reduce our trash hauling expenses and help the environment. We are also planning on adopting green operations and maintenance Practices.

The Housing Authority of the City of Hazleton hopes to retain its designation as a high performing agency, and strives for excellence in providing housing for low-income families.

Dorothy George, Executive Director
Hazleton, Housing Authority

ENCLOSED ATTACHMENTS TO PLAN

MANDATORY

- a) **HUD FORM-50075**
- b) **FORM HUD-50077-Certification of Compliance with the PHA Plans and Related Regulations**
- c) **FORM HUD-50070-Certification for a Drug-Free Workplace**
- d) **FORM HUD-50071-Certification of Payment to Influence Federal Transactions**
- e) **FORM SF-LLL-Disclosure of Lobbying Activities**
- f) **RESIDENT ADVISORY BOARD COMMENTS**
- g) **FORM HUD-50075.1 Capital Fund Program Annual Statement/Performance Evaluation Report**

- h) **FORM HUD-50075.2** *Capital Fund Program Five-Year Action Plan*

ADDITIONAL

- a) *Hazleton Housing Authority Organizational Structure*
- b) *Hazleton Housing Authority Community Service Policy*
- c) *Hazleton Housing Authority Preventative Maintenance Policy*
- d) *Hazleton Housing Authority Deconcentration Policy*
- e) *Hazleton Housing Authority Procedure for tracking Crime*
- f) *Hazleton Housing Authority EIV Policy*
- g) *Hazleton Housing Authority Grievance Procedure Policy*
- h) *Hazleton Housing Authority Addendum to S8 for FUP*
- i) *NEW Section 3 Plan approved by HUD 4/23/2010*

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| PHA 5-Year and Annual Plan | U.S. Department of Housing and Urban Development Office of Public and Indian Housing | OMB No. 2577-0226 Expires 4/30/2011 |
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|------------|---|----------|--------------------------------------|-------------------------------|------------------------------|
| 1.0 | PHA Information PHA Name: <u>Housing Authority of the City of Hazleton</u> PHA Code: <u>PA-044</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>1/2011</u> | | | | |
| 2.0 | Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>299</u> Number of HCV units: <u>347</u> | | | | |
| 3.0 | Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only | | | | |
| 4.0 | PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.) | | | | |
| | Participating PHAs | PHA Code | Program(s) Included in the Consortia | Programs Not in the Consortia | No. of Units in Each Program |
| | | | | | PH HCV |
| | PHA 1: | | | | |
| | PHA 2: | | | | |
| | PHA 3: | | | | |
| 5.0 | 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update. SEE ATTACHED PLAN DOCUMENTS | | | | |
| 5.1 | Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: SEE ATTACHED | | | | |
| 5.2 | Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. SEE ATTACHED | | | | |
| 6.0 | PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. SEE ATTACHED/NO UPDATES FOR 2011 | | | | |
| 7.0 | Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i> SEE ATTACHED | | | | |
| 8.0 | Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable. SEE ATTACHED | | | | |
| 8.1 | Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. SEE ATTACHED | | | | |
| 8.2 | Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. SEE ATTACHED | | | | |
| 8.3 | Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. NOT APPLICABLE | | | | |
| 9.0 | Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. SEE ATTACHED | | | | |

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| 9.1 | <p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. SEE ATTACGED</p> |
| 10.0 | <p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <ul style="list-style-type: none"> (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan. (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification” <p>SEE ATTACHED</p> |
| 11.0 | <p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only) |

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the X 5-year and/or X Annual PHA Plan for the PHA fiscal year beginning **2011** hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the City of Hazleton

PHA Name

PA-044

PHA Number/HA Code

X 5-Year PHA Plan for fiscal Years **2012-2015**

X Annual PHA Plan for fiscal year **2011**

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

| | |
|--|--|
| Name of Authorized Official Charles B. Gennaro | Title Chairman of Board of Commissioners |
| Signature | Date |

| | |
|------|--|
| 9.1 | <p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. SEE ATTACHED</p> |
| 10.0 | <p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p>SEE ATTACHED</p> |
| 11.0 | <p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p> |

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the X 5-year and/or X Annual PHA Plan for the PHA fiscal year beginning 2011 hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
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14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
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19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the City of Hazleton PA-044

PHA Name

PHA Number/HA Code

5-Year PHA Plan for fiscal Years 2012-2015

Annual PHA Plan for fiscal year 2011

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

| | |
|--|--|
| Name of Authorized Official Charles B. Gennaro | Title Chairman of Board of Commissioners |
| Signature  | Date 9/21/10 |

RESOLUTION NO. 949
ADOPTED: SEPTEMBER 21, 2010

RESOLUTION APPROVING SUBMISSION OF ANNUAL PLAN
FYE 2011

WHEREAS, it is a requirement of the Department of Housing and Urban Development that the Housing Authority of the City of Hazleton prepare and submit an Annual Plan stating the Authority's goals for fiscal year beginning January 1, 2011.

NOW THEREFORE BE IT RESOLVED, that the Board of Commissioners of the Housing Authority of the City of Hazleton do hereby approve the submission of attached Annual Plan for fiscal year beginning January 1, 2011.

APPROVED BY VOTE OF:

MOTIONED - JONH KEEGAN

SECONDED - KITTY WARREN

CHARLES GENNARO

ABSENT: ANN SULLIVAN

PAT CAPECE

I certify, that the above resolution was approved by the Board of Commissioners at a Regular Monthly Meeting held on **September 21, 2010.**

Dorothy George, E. D.

Dorothy George, Executive Director

Certification for a Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Applicant Name

HOUSING AUTHORITY OF THE CITY OF HAZLETON

Program/Activity Receiving Federal Grant Funding

PUBLIC HOUSING OPERATING SUBSIDY/HOUSING CHOICE VOUCHER PROGRAM/CAPITAL FUND

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

| | |
|---|-----------------------------|
| Name of Authorized Official DOROTHY GEORGE | Title EXECUTIVE DIRECTOR |
| Signature X <i>Dorothy George E. E. N.</i> | Date 9/21/2010 |

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

HOUSING AUTHORITY OF THE CITY OF HAZLETON

Program/Activity Receiving Federal Grant Funding

PUBLIC HOUSING OPERATING SUBSIDY/HOUSING CHOICE VOUCHER PROGRAM/CAPITAL FUND

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

| | |
|---|------------------------------------|
| Name of Authorized Official DOROTHY GEORGE | Title EXECUTIVE DIRECTOR |
| Signature <i>Dorothy George, Ed</i> | Date (mm/dd/yyyy) 9-21-2010 |

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

| | | |
|--|---|--|
| 1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance | 2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award | 3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____ |
| 4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: ^{4c} | 5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known: | |
| 6. Federal Department/Agency: | 7. Federal Program Name/Description: CFDA Number, if applicable: _____ | |
| 8. Federal Action Number, if known: | 9. Award Amount, if known: \$ _____ | |
| 10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): | b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI): | |
| 11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. | Signature: <u><i>Dorothy George, E.D.</i></u> Print Name: <u>DOROTHY GEORGE</u> Title: <u>EXECUTIVE DIRECTOR</u> Telephone No.: <u>(570) 455-9503</u> Date: <u>9/21/2010</u> | |
| Federal Use Only: | | Authorized for Local Reproduction Standard Form LLL (Rev. 7-97) |

Vine Manor Resident Council

320 West Mine Street
Hazleton, PA 18201

(717) 455-9536
Fax: (717) 455-8553

September 20, 2010

Ms. Dorothy George, Executive Director
Hazleton Housing Authority
320 West Mine Street
Hazleton, Pa 18201

Dear Ms. George:

This letter is to confirm the approval of the Hazleton Housing Authority Agency Plan FY 2011 by the Hazleton Coalition of Resident Councils in conjunction with the Resident Advisory Board of the Hazleton Housing Authority.

If we can be of further service to you, please do not hesitate to contact us.

Sincerely,



Charles B. Gennaro, President
Hazleton Coalition of Resident Councils

C.B.G/am
Cc file

Annual Statement / Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name:

HAZLETON HOUSING AUTHORITY

Grant Type and Number

Capital Fund Program Grant No:
 Date of CFFP:

Replacement Housing Factor Grant No:

PA26PO4450107

Federal FY of Grant:

2007

FY of Grant Approval:

2007

Original Annual Statement
 Performance and Evaluation Report for Period Ending **06/30/2010**
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no.)
 Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|------|--|----------------------|----------------------|-------------------|-----------------------|
| | | Original | Revised ² | Obligated | Expended ¹ |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) 3 | 10,680.00 | 20,680.00 | 19,879.00 | 19,879.00 |
| 3 | 1408 Management Improvements | 10,000.00 | - | - | - |
| 4 | 1410 Administration | 33,120.00 | 33,120.00 | 33,120.00 | 33,120.00 |
| 5 | 1411 Audit | - | - | - | - |
| 6 | 1415 Liquidated Damages | - | - | - | - |
| 7 | 1430 Fees and Costs | 11,000.00 | 11,000.00 | 11,000.00 | 11,000.00 |
| 8 | 1440 Site Acquisition | - | - | - | - |
| 9 | 1450 Site Improvement | 17,000.00 | 89,100.00 | 72,059.00 | 72,059.00 |
| 10 | 1460 Dwelling Structures | 280,188.00 | 218,088.00 | 227,566.34 | 227,566.34 |
| 11 | 1465.1 Dwelling Structures - Nonexpendable | 10,800.00 | 10,800.00 | 10,800.00 | 10,800.00 |
| 12 | 1470 Non-dwelling Structures | - | - | - | - |
| 13 | 1475 Non-dwelling Equipment | - | - | - | - |
| 14 | 1485 Demolition | - | - | - | - |
| 15 | 1492 Moving to Work Demonstration | - | - | - | - |
| 16 | 1495.1 Relocation Costs | - | - | - | - |
| 17 | 1499 Development Activities 4 | - | - | - | - |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | - | - | - | - |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | - | - | - | - |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | 10,000.00 | - | - | - |
| 20 | Amount of Annual Grant (Sum of lines 2-19) | \$ 382,788.00 | \$ 382,788.00 | \$ 374,424.34 | \$ 374,424.34 |
| 21 | Amount of line 20 Related to LBP Activities | - | - | - | - |
| 22 | Amount of line 20 Related to Section 504 Compliance | - | - | - | - |
| 23 | Amount of line 20 Related to Security - Soft Costs | - | - | - | - |
| 24 | Amount of line 20 Related to Security - Hard Costs | - | - | - | - |
| 25 | Amount of line 20 Related to Energy Conservation Measures | 236,824.00 | 108,987.66 | 100,624.00 | 100,624.00 |

- 1 To be completed for the Performance and Evaluation Report.
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHF funds shall be included here.

Part I : Summary

PHA Name:

HAZLETON HOUSING AUTHORITY

Grant Type and Number

Capital Fund Program Grant No:
Date of CFFP:

Replacement Housing Factor Grant No:

PA26PO4450107

Federal FY of Grant:

2007

FY of Grant Approval:

2007

Type of Grant

Original Annual Statement

Reserve for Disasters/Emergencies

Revised Annual Statement (revision no.

Performance and Evaluation Report for Period Ending

06/30/2010

Final Performance and Evaluation Report

Line Summary by Development Account

Total Estimated Cost

Revised 2

Obligated

Total Actual Cost 1

Expended

Signature of Executive Director

Date

Signature of Public Housing Director

Date

Shirley George, E.N.

8/27/2010

Part II: Supporting Pages

PHA Name:

HAZLETON HOUSING AUTHORITY

Grant Type and Number

Capital Fund Program Grant No

CFPP: Yes No

Federal FY of Grant:

2007

P&E 6-30-2010

| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Funds Expended 2 | | Status of Work |
|---|--|-------------------------|----------|----------------------|------------|------------------|------------|----------------|
| | | | | Original | Revised 1 | Obligated 2 | Expended 2 | |
| PHA Wide | Operations | 1406.00 | LS | \$10,680.00 | 19,879.00 | 19,879.00 | 19,879.00 | |
| PHA Wide | RIC Coordinator Mgmt. Improv. | 1408.00 | LS | \$10,000.00 | | | | |
| PHA wide | Admin.ED/wages benefits | 1410.00 | LS | 33,120.00 | 33,120.00 | 33,120.00 | 33,120.00 | |
| PHA wide | Fees & Costs A & E | 1430.00 | LS | 11,000.00 | 11,000.00 | 11,000.00 | 11,000.00 | Completed |
| PA-044-2 | Replace Sidewalks | 1450.00 | 31 | 9,000.00 | 24,000.00 | 24,000.00 | 24,000.00 | Inc in cost |
| PA-044-3 | Replace Sidewalks | 1450.00 | 42 | 8,000.00 | 48,059.00 | 48,059.00 | 48,059.00 | Inc in cost |
| PA-044-1 | Heating Units | 1460.00 | 2 | 169,624.00 | 39,368.00 | 39,368.00 | 39,368.00 | Inc \$ 501-06 |
| PA-044-1 | Foundations | 1460.00 | 2 | | 108,397.34 | 108,397.34 | 108,397.34 | From 501-08 |
| PA044-1 | Low flush toilets | 1460.00 | 12 | 8,000.00 | 2,800.00 | | | |
| PA-044-1 | Ranges (\$1625) Refrig. (\$1975) | 1465.10 | 5 ea | 3,600.00 | 3,600.00 | 3,600.00 | 3,600.00 | |
| PA-044-2 | Replace A/C | 1460.00 | 1 | 10,000.00 | 10,000.00 | 10,000.00 | 10,000.00 | 501-07 & 08 |
| PA-044-2 | Low flush toilets | 1460.00 | 10 | 4,000.00 | 2,800.00 | | | |
| PA-044-3 | Low flush toilets | 1460.00 | 12 | 8,000.00 | 2,763.66 | | | |
| PA-044-3 | Water filtration | 1460.00 | 2 | 20,000.00 | | | | Moved- 501-08 |
| PA-044-3 | Ranges (\$1625) Refrig. (\$1975) | 1465.10 | 5 ea | 3,600.00 | 3,600.00 | 3,600.00 | 3,600.00 | |
| PA-044-3 | Door Replacement | 1460.00 | 10 | 10,000.00 | 31,256.00 | 31,256.00 | 31,256.00 | Completed |
| PA-044-2 | Ranges (\$1625) Refrig. (\$1975) | 1465.10 | 5 ea | 3,600.00 | 3,600.00 | 3,600.00 | 3,600.00 | |

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
 2 To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

| PHA Name: | | HAZLETON HOUSING AUTHORITY | | | | Federal FY of Grant: |
|---|--|-------------------------------|---|-------------------------------|---|----------------------|
| Development Number Name/PHA-Wide Activities | All Funds Obligated (Quarter Ending Date) | | All Funds Expended (Quarter Ending Date) | | Reasons for Revised Target Dates ¹ | |
| | Original Obligation End Date | Actual Obligation End Date | Original Obligation End Date | Actual Obligation End Date | | |
| PHA Wide | 9/12/2009 | | 9/12/2011 | | | |
| PA-AMP-44-1 | 9/12/2009 | | 9/12/2011 | | | |
| PA-AMP 44-2 | 9/12/2009 | | 9/12/2011 | | | |
| PA-AMP-44-3 | 9/12/2009 | | 9/12/2011 | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

¹ Obligation and expenditure end dates can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement / Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: _____
 Your Housing Authority: _____
 Grant Type and Number: _____
 Capital Fund Program Grant No: _____
 Date of CFFP: _____
 Replacement Housing Factor Grant No: _____
PA26PO4450108
 Federal FY of Grant: **2008**
 FY of Grant Approval: **2008**

Type of Grant: Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending **06/30/2010**
 Revised Annual Statement (revision no. _____)
 Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|------|--|----------------------|---------------|-------------------|---------------|
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) | 20,000.00 | 20,000.00 | 20,000.00 | 20,000.00 |
| 3 | 1408 Management Improvements | 10,000.00 | - | - | - |
| 4 | 1410 Administration | 33,120.00 | 33,120.00 | 33,120.00 | 33,120.00 |
| 5 | 1411 Audit | - | - | - | - |
| 6 | 1415 Liquidated Damages | - | - | - | - |
| 7 | 1430 Fees and Costs | 15,000.00 | 10,125.00 | 10,125.00 | 10,125.00 |
| 8 | 1440 Site Acquisition | - | - | - | - |
| 9 | 1450 Site Improvement | 10,000.00 | 2,500.00 | 1,900.00 | 1,900.00 |
| 10 | 1460 Dwelling Structures | 274,749.00 | 307,124.00 | 228,926.00 | 218,926.00 |
| 11 | 1465.1 Dwelling Structures - Nonexpendable | 11,400.00 | 11,400.00 | 11,400.00 | 11,400.00 |
| 12 | 1470 Non-dwelling Structures | - | - | - | - |
| 13 | 1475 Non-dwelling Equipment | 30,000.00 | 30,000.00 | 30,000.00 | 30,000.00 |
| 14 | 1485 Demolition | - | - | - | - |
| 15 | 1492 Moving to Work Demonstration | - | - | - | - |
| 16 | 1495.1 Relocation Costs | - | - | - | - |
| 17 | 1499 Development Activities | - | - | - | - |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | - | - | - | - |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | - | - | - | - |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | 10,000.00 | - | - | - |
| 20 | Amount of Annual Grant (Sum of lines 2-19) | \$ 414,269.00 | \$ 414,269.00 | \$ 335,471.00 | \$ 325,471.00 |
| 21 | Amount of line 20 Related to LBP Activities | - | - | - | - |
| 22 | Amount of line 20 Related to Section 504 Compliance | - | - | - | - |
| 23 | Amount of line 20 Related to Security - Soft Costs | - | - | - | - |
| 24 | Amount of line 20 Related to Security - Hard Costs | - | - | - | - |
| 25 | Amount of line 20 Related to Energy Conservation Measures | 284,749.00 | 264,656.00 | 225,454.00 | 225,454.00 |

- To be completed for the Performance and Evaluation Report.
- To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
- PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- RHF funds shall be included here.

Part I : Summary

PHA Name:

Your Housing Authority

Grant Type and Number

Capital Fund Program Grant No:

Date of CFFP:

Replacement Housing Factor Grant No:

PA26PO4450108

Federal FY of Grant:

2008

FY of Grant Approval:

2008

Type of Grant

Original Annual Statement

Reserve for Disasters/Emergencies

Revised Annual Statement (revision no.

Performance and Evaluation Report for Period Ending

06/30/2010

Final Performance and Evaluation Report

Line Summary by Development Account

Total Estimated Cost

Revised²

Obligated

Total Actual Cost 1

Expended

Signature of Executive Director

Date

Signature of Public Housing Director

Date

Murphy George, E. W.

8/27/2010

Part II: Supporting Pages

PHA Name:

Grant Type and Number

CFPP: Yes No

Federal FY of Grant:

Your Housing Authority

Capital Fund Program Grant No:
 Replacement Housing Factor Grant No:

P & E 6/30/2010

2008

| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Original | | Revised 1 | | Obligated 2 | | Funds Expended 2 | | Status of Work |
|--|---|-------------------------|----------|------------|--|-------------------|--|-------------|--|------------------|--|----------------|
| | | | | | | | | | | | | |
| PHA Wide | Operations-Non Capital funds | 1406.00 | 1S | 20,000.00 | | 20,000.00 | | 20,000.00 | | 20,000.00 | | |
| PHA Wide | Mgt. Improvements RIC duties | 1408.00 | 1S | 10,000.00 | | | | | | | | |
| PHA Wide | Computers | 1475.00 | 1S | 30,000.00 | | 30,000.00 | | 30,000.00 | | 30,000.00 | | |
| PHA Wide | Admin. CPP Co ord. Wages/Benefits | 1410.00 | 1S | 33,120.00 | | 33,120.00 | | 33,120.00 | | 33,120.00 | | |
| PHA Wide | A & E Fees | 1430.00 | 1S | 15,000.00 | | 10,125.00 | | 10,125.00 | | 10,125.00 | | |
| PA-AMP-044-1 | Replace lighting (energy)* | 1460.00 | 1120 | 40,000.00 | | 74,000.00 | | 74,000.00 | | 74,000.00 | | Inc In \$ |
| PA-AMP-044-1 | Replace heating and hot water* | 1460.00 | 10 | 214,749.00 | | 158,284.00 | | 119,082.00 | | 119,082.00 | | |
| PA-AMP-044-1 | Ranges-Energy Star * | 1465.10 | 5 | 1,625.00 | | 1,625.00 | | 1,625.00 | | 1,625.00 | | |
| PA-AMP-044-1 | Refrigerators-Energy Star* | 1465.10 | 5 | 2,175.00 | | 2,175.00 | | 2,175.00 | | 2,175.00 | | Moved to 07 |
| PA-AMP-044-1 | Foundations | 1460.00 | | | | | | | | | | |
| PA-AMP-044-2 | Extend parking lot | 1450.00 | 1 | 10,000.00 | | 1,900.00 | | 1,900.00 | | 1,900.00 | | |
| PA-AMP-044-2 | Air Conditioning * | 1460.00 | 1 | | | 20,972.00 | | 20,972.00 | | 20,972.00 | | |
| PA-AMP-044-2 | Ranges-Energy Star * | 1465.10 | 5 | 1,625.00 | | 1,625.00 | | 1,625.00 | | 1,625.00 | | |
| PA-AMP-044-2 | Refrigerators-Energy Star* | 1465.10 | 5 | 2,175.00 | | 2,175.00 | | 2,175.00 | | 2,175.00 | | |
| PA-AMP-044-3 | Water filtration | 1460.00 | 2 | 20,000.00 | | 39,596.00 | | | | | | Inc In \$ |
| PA-AMP-044-3 | Shut off valves | 1460.00 | | | | 14,872.00 | | 14,872.00 | | 14,872.00 | | Completed |
| PA-AMP-044-3 | Ranges-Energy Star * | 1465.10 | 5 | 1,625.00 | | 1,625.00 | | 1,625.00 | | 1,625.00 | | |
| PA-AMP-044-3 | Refrigerators-Energy Star* | 1465.10 | 5 | 2,175.00 | | 2,175.00 | | 2,175.00 | | 2,175.00 | | |

- 1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
- 2 To be completed for the Performance and Evaluation Report.

Annual Statement / Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name:

Hazleton Housing Authority

Grant Type and Number

P&E 6/30/2010

Capital Fund Program Grant No:

Date of CFFP:

PA26P044501-09

FY of Grant Approval:

2009

Type of Grant

Original Annual Statement

Reserve for Disasters/Emergencies

Revised Annual Statement (revision no.

Final Performance and Evaluation Report

| Line | Summary by Development Account | Original | Total Estimated Cost | Revised ² | Obligated | Total Actual Cost ¹ | |
|------|--|---------------|----------------------|----------------------|-------------|--------------------------------|-------------|
| | | | | | | Expended | |
| 1 | Total non-CFP Funds | 60,000.00 | - | - | - | - | - |
| 2 | 1406 Operations (may not exceed 20% of line 21) | - | - | - | - | - | - |
| 3 | 1408 Management Improvements | 33,120.00 | - | - | - | - | - |
| 4 | 1410 Administration | - | - | - | - | - | - |
| 5 | 1411 Audit | - | - | - | - | - | - |
| 6 | 1415 Liquidated Damages | 15,000.00 | - | - | 2,489.40 | - | 2,489.40 |
| 7 | 1430 Fees and Costs | - | - | - | - | - | - |
| 8 | 1440 Site Acquisition | - | - | - | - | - | - |
| 9 | 1450 Site Improvement | 294,283.00 | - | - | - | - | - |
| 10 | 1460 Dwelling Structures | 12,075.00 | - | - | 6,905.00 | - | 6,905.00 |
| 11 | 1465.1 Dwelling Equipment - Nonexpendable | - | - | - | - | - | - |
| 12 | 1470 Non-dwelling Structures | - | - | - | - | - | - |
| 13 | 1475 Non-dwelling Equipment | - | - | - | - | - | - |
| 14 | 1485 Demolition | - | - | - | - | - | - |
| 15 | 1492 Moving to Work Demonstration | - | - | - | - | - | - |
| 16 | 1495.1 Relocation Costs | - | - | - | - | - | - |
| 17 | 1499 Development Activities ⁴ | - | - | - | - | - | - |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | - | - | - | - | - | - |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | - | - | - | - | - | - |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | - | - | - | 9,394.40 | - | 9,394.40 |
| 20 | Amount of Annual Grant (Sum of lines 2-19) | \$ 414,478.00 | \$ - | - | \$ 9,394.40 | \$ - | \$ 9,393.40 |
| 21 | Amount of line 20 Related to LBP Activities | - | - | - | - | - | - |
| 22 | Amount of line 20 Related to Section 504 Compliance | - | - | - | - | - | - |
| 23 | Amount of line 20 Related to Security - Soft Costs | 36,000.00 | - | - | - | - | - |
| 24 | Amount of line 20 Related to Security - Hard Costs | 194,358.00 | - | - | - | - | - |
| 25 | Amount of line 20 Related to Energy Conversation Measures | - | - | - | - | - | - |

- 1 To be completed for the Performance and Evaluation Report.
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHF funds shall be included here.

Part I: Summary

PHA Name:

Hazleton Housing Authority

Grant Type and Number

Capital Fund Program Grant No:
Date of CFFR: 2009

PA26PD044501-09

Federal FY of Grant:

2009

FY of Grant Approval:

2009

Type of Grant

Original Annual Statement

Reserve for Disasters/Emergencies

Revised Annual Statement (revision no.)

Performance and Evaluation Report for Period Ending

06/30/2010

Final Performance and Evaluation Report

Line Summary by Development Account

Total Estimated Cost

Revised

Obligated

Total Actual Cost 1

Expended

Signature of Executive Director

Maureen Burns

Date

8/27/2010

Signature of Public Housing Director

Part II : Supporting Pages

PHA Name:

Grant Type and Number
 Capital Fund Program Grant No:

CFPP: Yes No
PA26P04450109

Federal FY of Grant:

2009

Hazleton Housing Authority

P & E 6/30/2010

Status of Work

| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Funds Obligated 2 | Funds Expended 2 | |
|--|---|----------------------------|----------|----------------------|-----------|----------------------|---------------------|--|
| | | | | Original | Revised 1 | | | |
| AMP 1 | Operations | 1406.00 | 1S | \$20,000.00 | | | | |
| AMP 1 | Fees @ Costs | 1430.00 | 1S | 5,000.00 | | | | |
| AMP 1 | Heating and Hot water units* | 1460.00 | 5 | 102,283.00 | | | | |
| AMP 1 | Foundation repairs | 1460.00 | 6 | 62,000.00 | | | | |
| AMP 1 | Clothes line poles | 1460.00 | 20 | 5,000.00 | | | | |
| AMP 1 | Refrigerators Energy Star* | 1465.10 | 5 | 2,400.00 | | 373.00 | 373.00 | |
| AMP 1 | Ranges-Energy Star* | 1465.10 | 5 | 1,625.00 | | 612.00 | 612.00 | |
| COCC | CFP Management/ ED Salary | 1410.00 | 1S | 33,120.00 | | | | |
| AMP 2 | Operations | 1406.00 | 1S | 20,000.00 | | | | |
| AMP 2 | Fees and Costs | 1430.00 | 1S | 5,000.00 | | 2,489.40 | 2,489.40 | |
| AMP 2 | Replace Heat and A/c Main office* | 1460.00 | 1 | 40,000.00 | | | | |
| AMP 2 | Replace lighting with energy eff.* | 1460.00 | 400 | 40,000.00 | | | | |
| AMP 2 | New entry system-security | 1460.00 | 1 | 8,000.00 | | | | |
| AMP 2 | Refrigerators-Energy Star* | 1465.10 | 5 | 2,400.00 | | | | |
| AMP 2 | Ranges-Energy Star* | 1465.10 | 5 | 1,625.00 | | 2,214.00 | 2,214.00 | |
| | Continued | | | | | | | |

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
 2 To be completed for the Performance and Evaluation Report.

Annual Statement / Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name:

HAZLETON HOUSING AUTHORITY

Grant Type and Number
 Capital Fund Program Grant No: _____
 Date of CFFP: _____
 Replacement Housing Factor Grant No: _____
 PA 26PO4450109

Federal FY of Grant: 2009
 FY of Grant Approval: 2009

Type of Grant

Original Annual Statement

Reserve for Disasters/Emergencies

Revised Annual Statement (revision no. 1)
 Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|------|--|----------------------|---------------|-------------------|------------|
| | | Original | Revised 2 | Obligated | Expended 1 |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) | 60,000.00 | 60,000.00 | - | - |
| 3 | 1408 Management Improvements | | | - | - |
| 4 | 1410 Administration | 33,120.00 | 33,120.00 | - | - |
| 5 | 1411 Audit | | | - | - |
| 6 | 1415 Liquidated Damages | | | - | - |
| 7 | 1430 Fees and Costs | | | - | - |
| 8 | 1440 Site Acquisition | | | - | - |
| 9 | 1450 Site Improvement | 294,283.00 | 294,283.00 | - | - |
| 10 | 1460 Dwelling Structures | 12,075.00 | 12,075.00 | - | - |
| 11 | 1465.1 Dwelling Equipment - Nonexpendable | | | - | - |
| 12 | 1470 Non-dwelling Structures | | | - | - |
| 13 | 1475 Non-dwelling Equipment | | | - | - |
| 14 | 1485 Demolition | | | - | - |
| 15 | 1492 Moving to Work Demonstration | | | - | - |
| 16 | 1495.1 Relocation Costs | | | - | - |
| 17 | 1499 Development Activities 4 | | | - | - |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | - | - |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | - | - |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant (Sum of lines 2-19) | \$ 414,478.00 | \$ 414,478.00 | | \$ - |
| 21 | Amount of line 20 Related to LBP Activities | - | - | | - |
| 22 | Amount of line 20 Related to Section 504 Compliance | | | | - |
| 23 | Amount of line 20 Related to Security - Soft Costs | 36,000.00 | 46,000.00 | | - |
| 24 | Amount of line 20 Related to Security - Hard Costs | 194,358.00 | 179,358.00 | | - |
| 25 | Amount of line 20 Related to Energy Conversation Measures | | | | - |

- 1 To be completed for the Performance and Evaluation Report.
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHP Funds shall be included here.

Part I : Summary

PHA Name :

HAZLETON HOUSING AUTHORITY

Grant Type and Number

Capital Fund Program Grant No: _____

Replacement Housing Factor Grant No: _____

Date of CFFP: _____

PA 26PO4450109

Federal FY of Grant:

2009

FFY of Grant Approval:

2009

Type of Grant
 Original Annual Statement
 Reserve for Disasters/Emergencies

Revised Annual Statement (revision no. 1)

Performance and Evaluation Report for Period Ending

Final Performance and Evaluation Report

Line Summary by Development Account

Total Estimated Cost

Revised 2

Obligated

Total Actual Cost 1

Expended

Signature of Executive Director

Date

Signature of Public Housing Director

Date

Alaunsky *Stangor*

6/10/2010

Part II: Supporting Pages

PHA Name:

HAZLETON HOUSING AUTHORITY

Grant Type and Number

Capital Fund Program Grant No: **PA26P044501-09**
 Replacement Housing Factor Grant No:

CFPP: Yes No

Federal FY of Grant:

2009

Revision #1

| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Funds | | Status of Work |
|--|---|-------------------------|----------|----------------------|------------------|-------------|------------|----------------|
| | | | | Original | Revised 1 | Obligated 2 | Expended 2 | |
| AMP 1 | Operations | 1406.00 | LS | 20,000.00 | 20,000.00 | | | |
| AMP 1 | Fees @ Costs | 1430.00 | LS | 5,000.00 | 5,000.00 | | | |
| AMP 1 | Heat and hot water units | 1460.00 | 5 | 102,283.00 | 82,283.00 | | | |
| AMP 1 | Foundation repairs | 1460.00 | 6 | 62,000.00 | 62,000.00 | | | |
| AMP 1 | Clothes line poles | 1460.00 | 20 | 5,000.00 | - | | | Removed item |
| AMP 1 | Refrigerators (Energy Star*) | 1465.10 | 5 | 2,400.00 | 2,400.00 | | | |
| AMP 1 | Ranges (Energy Star*) | 1465.10 | 5 | 1,625.00 | 1,625.00 | | | |
| | | | | | | | | |
| | CFP Management ED Salary | 1410.00 | | 33,120.00 | 33,120.00 | | | |
| | | | | | | | | |
| AMP 2 | Operations | 1406.00 | LS | 20,000.00 | 20,000.00 | | | |
| AMP 2 | Fees @ Costs | 1430.00 | LS | 5,000.00 | 5,000.00 | | | |
| AMP 2 | Replace Heat and A/C Main Office | 1460.00 | 1 | 40,000.00 | 40,000.00 | | | |
| AMP 2 | Replace lighting with energy eff. | 1460.00 | 400 | 40,000.00 | 40,000.00 | | | |
| AMP 2 | Refrigerators (Energy Star*) | 1465.10 | 5 | 2,400.00 | 2,400.00 | | | |
| AMP 2 | Ranges (Energy Star*) | 1465.10 | 5 | 1,625.00 | 1,625.00 | | | |
| AMP 2 | Upgrade surveillance system | 1460.00 | 1 | - | 15,000.00 | | | New item |
| AMP 2 | New entry system-security | 1460.00 | 1 | 8,000.00 | 8,000.00 | | | |

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
 2 To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

| PHA Name: | | Grant Type and Number | | CFRP: | | Federal FY of Grant: | | Total Actual Cost | | Status of Work |
|----------------------------|--|---|----------|---|------------|----------------------|--|-------------------|------------------|----------------|
| HAZLETON HOUSING AUTHORITY | | Capital Fund Program Grant No: PA26P044501-09 | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | 2009 | | Funds Obligated 2 | Funds Expended 2 | |
| Development Number | General Description of Major Work Categories | Development Account No. | Quantity | Original | Revised 1 | | | | | |
| AMP 3 | Operations-non capital funds | 1406.00 | 1S | \$20,000.00 | 20,000.00 | | | | | |
| AMP 3 | Fees @ costs | 1430.00 | 1S | 5,000.00 | 5,000.00 | | | | | |
| AMP 3 | Upgrade surveillance system | 1460.00 | 2 | 20,000.00 | 20,000.00 | | | | | |
| AMP 3 | New entry system-security | 1460.00 | 2 | 8,000.00 | 8,000.00 | | | | | |
| AMP 3 | Replace community room carpeting | 1460.00 | 2 | 9,000.00 | 9,000.00 | | | | | |
| AMP 3 | Re wire third floor for Cable TV | 1460.00 | 1 | - | 10,000.00 | | | | | New Item |
| AMP 3 | Refrigerators-Energy Star* | 1465.10 | 5 | 2,400.00 | 2,400.00 | | | | | |
| AMP 3 | Ranges-Energy Star* | 1465.10 | 5 | 1,625.00 | 1,625.00 | | | | | |
| TOTALS | | | | 414,478.00 | 414,478.00 | | | | | |

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
 2 To be completed for the Performance and Evaluation Report.

Annual Statement / Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: Hazleton Housing Authority

Grant Type and Number

Capital Fund Program Grant No:

Hazleton Housing Authority, 320 W Mine St. Hazleton, PA

Date of CFFP:

PA26S04450109
 3/18/2009

ARRA GRANT

Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending **06/30/2010**
 Revised Annual Statement (revision no. (1) 1/15/2010)
 Final Performance and Evaluation Report

Federal FY of Grant:

2009

FY of Grant Approval:

2009

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|------|--|----------------------|---------------|-------------------|---------------|
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | - | - | - | - |
| 2 | 1406 Operations (may not exceed 20% of line 21) 3 | - | - | - | - |
| 3 | 1408 Management Improvements | - | - | - | - |
| 4 | 1410 Administration | - | 13,482.00 | 13,482.00 | 5,123.00 |
| 5 | 1411 Audit | - | - | - | - |
| 6 | 1415 Liquidated Damages | - | - | - | - |
| 7 | 1430 Fees and Costs | 16,000.00 | 16,000.00 | 16,000.00 | 16,000.00 |
| 8 | 1440 Site Acquisition | - | - | - | - |
| 9 | 1450 Site Improvement | - | - | - | - |
| 10 | 1460 Dwelling Structures | 508,382.00 | 494,900.00 | 494,900.00 | 185,130.00 |
| 11 | 1465.1 Dwelling Equipment - Nonexpendable | - | - | - | - |
| 12 | 1470 Non-dwelling Structures | - | - | - | - |
| 13 | 1475 Non-dwelling Equipment | - | - | - | - |
| 14 | 1485 Demolition | - | - | - | - |
| 15 | 1492 Moving to Work Demonstration | - | - | - | - |
| 16 | 1495.1 Relocation Costs | - | - | - | - |
| 17 | 1499 Development Activities 4 | - | - | - | - |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | - | - | - | - |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | - | - | - | - |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | - | - | - | - |
| 20 | Amount of Annual Grant (Sum of lines 2-19) | \$524,382.00 | \$ 524,382.00 | \$ 524,382.00 | \$ 206,253.00 |
| 21 | Amount of line 20 Related to LBP Activities | - | - | - | - |
| 22 | Amount of line 20 Related to Section 504 Compliance | - | - | - | - |
| 23 | Amount of line 20 Related to Security - Soft Costs | - | - | - | - |
| 24 | Amount of line 20 Related to Security - Hard Costs | - | - | - | - |
| 25 | Amount of line 20 Related to Energy Conservation Measures | 494,900.00 | - | - | - |

1 To be completed for the Performance and Evaluation Report.
 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
 4 RHF funds shall be included here.

Part II: Supporting Pages

| PHA Name: Hazleton Housing Authority, 320 W Mine St. Hazleton, PA | | Grant Type and Number Capital Fund Program Grant No: PA26S04450109 | CFPP: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | Federal FY of Grant: 2009 | Total Actual Cost | | Status of Work |
|--|---|--|---|-------------------------------------|------------------------|------------------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | Funds Obligated 2 | Funds Expended 2 | |
| PHA Wide | Administration | 1410.00 | | Original - | Revised 1 13,482.00 | | |
| | Architect & Engineering costs for design of plans, specifications and cost estimates and all other services required for replacement of hot water heaters and boilers | 1430.00 | | 16,000.00 | 16,000.00 | 16,000.00 | |
| | Replacement of boilers and hot water heaters at AMP 44-1 | 1460.00 | 24 | 508,382.00 | 494,900.00 | 185,130.00 | |
| Note: The work item of replacement of boilers and hot water heaters are in the 501-08 CFP and also in the 5-year plan as ongoing boiler replacement at AMP 44-1 | | | | | | | |
| | | | | \$524,382.00 | \$524,382.00 | 524,382.00 | 206,253.00 |

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

2 To be completed for the Performance and Evaluation Report.

**Annual Statement / Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name:

HAZLETON HOUSING AUTHORITY

Grant Type and Number

Capital Fund Program Grant No:
Date of CFFP:

Replacement Housing Factor Grant No:

PA26PO4450110

Federal FY of Grant:

2010

FY of Grant Approval:

2010

Type of Grant

Original Annual Statement

Reserve for Disasters/Emergencies

Revised Annual Statement (revision no. _____)

Performance and Evaluation Report for Period Ending _____

Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost Expended |
|------|--|----------------------|----------------------|----------------------------|
| | | Original | Revised ² | |
| 1 | Total non-CFF Funds | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 60,000.00 | - | - |
| 3 | 1408 Management Improvements | - | - | - |
| 4 | 1410 Administration | 38,700.00 | - | - |
| 5 | 1411 Audit | - | - | - |
| 6 | 1415 Liquidated Damages | - | - | - |
| 7 | 1430 Fees and Costs | 15,000.00 | - | - |
| 8 | 1440 Site Acquisition | - | - | - |
| 9 | 1450 Site Improvement | - | - | - |
| 10 | 1460 Dwelling Structures | 271,095.00 | - | - |
| 11 | 1465.1 Dwelling Equipment - Nonexpendable | 26,400.00 | - | - |
| 12 | 1470 Non-dwelling Structures | - | - | - |
| 13 | 1475 Non-dwelling Equipment | - | - | - |
| 14 | 1485 Demolition | - | - | - |
| 15 | 1492 Moving to Work Demonstration | - | - | - |
| 16 | 1495.1 Relocation Costs | - | - | - |
| 17 | 1499 Development Activities ⁴ | - | - | - |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | - | - | - |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | - | - | - |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | - | - | - |
| 20 | Amount of Annual Grant (Sum of lines 2-19) | \$ 411,195.00 | \$ - | \$ - |
| 21 | Amount of line 20 Related to IBP Activities | - | - | - |
| 22 | Amount of line 20 Related to Section 504 Compliance | - | - | - |
| 23 | Amount of line 20 Related to Security - Soft Costs | - | - | - |
| 24 | Amount of line 20 Related to Security - Hard Costs | - | - | - |
| 25 | Amount of line 20 Related to Energy Conversation Measures | 277,495.00 | - | - |

- 1 To be completed for the Performance and Evaluation Report.
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
- 3 PHAs with under 250 units in management may use 100% of CFF Grants for operations.
- 4 RHP funds shall be included here.

Part I : Summary

PHA Name :

HAZLETON HOUSING AUTHORITY

Grant Type and Number

Capital Fund Program Grant No:
Date of CFFP:

Replacement Housing Factor Grant No:
PA26PO4450110

Federal FY of Grant:

2010
FFY of Grant Approval:
2010

Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergences

Revised Annual Statement (revision no.)
 Final Performance and Evaluation Report

Performance and Evaluation Report for Period Ending

| Line | Summary by Development Account | Original | Total Estimated Cost | Revised ² | Obligated | Total Actual Cost | Expended |
|---------------------------------|--------------------------------|----------|----------------------|--------------------------------------|-----------|-------------------|----------|
| Signature of Executive Director | | Date | | Signature of Public Housing Director | | | Date |
| | | | | | | | |

Maunthy George

6/21/2010

Part II: Supporting Pages

PHA Name:

HAZLETON HOUSING AUTHORITY

Grant Type and Number

Capital Fund Program Grant No:

Replacement Housing Factor Grant No:

CFPP: Yes No

Federal FY of Grant:

2010

Status of Work

| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Funds Obligated 2 | Funds Expended 2 | |
|--|---|----------------------------|----------|----------------------|-----------|----------------------|---------------------|--|
| | | | | Original | Revised 1 | | | |
| AMP 1 | Operations | 1406.00 | LS | 20,000.00 | | | | |
| AMP 1 | Fees & Costs A & E | 1430.00 | LS | 5,000.00 | | | | |
| AMP 1 | Replace heat and hot water units* | 1460.00 | 3 | 91,095.00 | | | | |
| AMP 1 | Toilet replacement-Low Flush* | 1460.00 | 50 | 5,000.00 | | | | |
| AMP 1 | Refrigerators-Energy Star* | 1465.10 | 10 | 4,800.00 | | | | |
| AMP 1 | Ranges-Energy Star* | 1465.10 | 10 | 4,000.00 | | | | |
| COCC | CFP Management/Ed Salary/benefits | 1410.00 | LS | 38,700.00 | | | | |
| AMP2 | Operations | 1406.00 | LS | 20,000.00 | | | | |
| AMP2 | Fees @ Costs A & E | 1430.00 | LS | 5,000.00 | | | | |
| AMP2 | Replace water heaters * | 1460.00 | 2 | 115,000.00 | | | | |
| AMP2 | Refrigerators-Energy Star* | 1465.10 | 10 | 4,800.00 | | | | |
| AMP2 | Ranges-Energy star* | 1465.10 | 10 | 4,000.00 | | | | |
| AMP 3 | Operations | 1406.00 | LS | 20,000.00 | | | | |
| AMP 3 | Fees @ Costs A @ E | 1430.00 | LS | 5,000.00 | | | | |
| Continued | | | | | | | | |

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
 2 To be completed for the Performance and Evaluation Report.

Annual Statement / Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name:

HAZLETON HOUSING AUTHORITY

Grant Type and Number: Capital Fund Program Grant No: **2011 DRAFT**
 Date of CFFP: **2011 DRAFT**
 Replacement Housing Factor Grant No: **PA26PO4450111**

Federal FY of Grant: **2011**
 FFY of Grant Approval: **2011**

Type of Grant

- Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending Revised Annual Statement (revision no.)
 Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|------|--|----------------------|----------------------|-------------------|-----------------------|
| | | Original | Revised ² | Obligated | Expended ¹ |
| 1 | Total non-CFF Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 60,000.00 | - | - | - |
| 3 | 1408 Management Improvements | 80,000.00 | - | - | - |
| 4 | 1410 Administration | 38,700.00 | - | - | - |
| 5 | 1411 Audit | - | - | - | - |
| 6 | 1415 Liquidated Damages | - | - | - | - |
| 7 | 1430 Fees and Costs | 15,000.00 | - | - | - |
| 8 | 1440 Site Acquisition | - | - | - | - |
| 9 | 1450 Site Improvement | 100,000.00 | - | - | - |
| 10 | 1460 Dwelling Structures | 91,395.00 | - | - | - |
| 11 | 1465.1 Dwelling Equipment - Nonexpendable | 26,400.00 | - | - | - |
| 12 | 1470 Non-dwelling Structures | - | - | - | - |
| 13 | 1475 Non-dwelling Equipment | - | - | - | - |
| 14 | 1485 Demolition | - | - | - | - |
| 15 | 1492 Moving to Work Demonstration | - | - | - | - |
| 16 | 1495.1 Relocation Costs | - | - | - | - |
| 17 | 1499 Development Activities ⁴ | - | - | - | - |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | - | - | - | - |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | - | - | - | - |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | - | - | - | - |
| 20 | Amount of Annual Grant (Sum of lines 2-19) | \$ 411,495.00 | \$ - | \$ - | \$ - |
| 21 | Amount of line 20 Related to IBP Activities | - | - | - | - |
| 22 | Amount of line 20 Related to Section 504 Compliance | - | - | - | - |
| 23 | Amount of line 20 Related to Security - Soft Costs | - | - | - | - |
| 24 | Amount of line 20 Related to Security - Hard Costs | - | - | - | - |
| 25 | Amount of line 20 Related to Energy Conservation Measures | 70,795.00 | - | - | - |

1 To be completed for the Performance and Evaluation Report.
 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
 3 PHAs with under 250 units in management may use 100% of CFF Grants for operations.
 4 RHF funds shall be included here.

Part I: Summary

PHA Name:

HAZLETON HOUSING AUTHORITY

Grant Type and Number

Capital Fund Program Grant No: 2011 DRAFT
Date of CFFP:

Replacement Housing Factor Grant No: PA26P04450111

Federal FY of Grant:

2011

FY of Grant Approval:

2011

Type of Grant

Original Annual Statement

Reserve for Disasters/Emergencies

Performance and Evaluation Report for Period Ending

Revised Annual Statement (revision no. _____)

—)

—)

Summary by Development Account

Total Estimated Cost

Revised²

Total Actual Cost¹

Expended

Signature of Executive Director

Maurice Sturge

Date

Original

Signature of Public Housing Director

Date

Part II: Supporting Pages

| PHA Name: HAZLETON HOUSING AUTHORITY | | Grant Type and Number Capital Fund Program Grant No: PA26E04450111 | CFFP: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | Federal FY of Grant: 2011 | Status of Work | |
|--|--|--|---|-------------------------------------|----------------|------------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Funds Expended 2 |
| | | | | Original | Revised 1 | |
| AMP 1 | Operations | 1406.00 | LS | 20,000.00 | | |
| AMP 1 | Fees & Costs A & E | 1430.00 | LS | 5,000.00 | | |
| AMP 1 | Replace heat and hot water units* | 1460.00 | 2 | 38,395.00 | | |
| AMP 1 | New Parking lots | 1450.00 | 100 | 100,000.00 | | |
| AMP 1 | Carpet offices | 1460.00 | 2 | 3,000.00 | | |
| AMP 1 | Refrigerators-Energy Star* | 1465.10 | 10 | 4,800.00 | | |
| AMP 1 | Ranges-Energy Star* | 1465.10 | 10 | 4,000.00 | | |
| AMP 1 | Low flush toilets* | 1460.00 | 20 | 2,000.00 | | |
| AMP 1 | Computer software | 1408.00 | | 27,000.00 | | |
| | | | | | | |
| | | | | | | |
| AMP 2 | Operations | 1406.00 | LS | 20,000.00 | | |
| AMP 2 | Fees and Costs A & E | 1430.00 | LS | 5,000.00 | | |
| AMP 2 | Low flush toilets* | 1460.00 | 20 | 2,000.00 | | |
| AMP 2 | Replace tile kitchen floors | 1460.00 | 100 | 40,000.00 | | |
| AMP 2 | Refrigerators-Energy Star* | 1465.10 | 10 | 4,800.00 | | |
| AMP 2 | Ranges Energy Star* | 1465.10 | 10 | 4,000.00 | | |
| AMP 2 | Computer software | 1408.00 | | 26,000.00 | | |

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
 2 To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name:

HAZLETON HOUSING AUTHORITY

Grant Type and Number

Capital Fund Program Grant No: **2011**
 Replacement Housing Factor Grant No:

CEFP: Yes No

Federal FY of Grant:

2011

DRAFT

Status of Work

| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Funds Obligated 2 | Funds Expended 2 | Status of Work |
|--|---|-----------------------------------|----------|----------------------|---------------------|----------------------|---------------------|----------------|
| | | | | Original | Revised 1 | | | |
| AMP 3 | Operations | 1406.00 | 1S | 20,000.00 | | | | |
| AMP 3 | Fees & Costs A & E | 1430.00 | 1S | 5,000.00 | | Phase 2 | Original501-10 | |
| AMP 3 | Elevator & Bathroom exhaust fans | 1460.00 | 8 | 4,000.00 | | | | |
| AMP 3 | Low flush toilets* | 1460.00 | 20 | 2,000.00 | | | | |
| AMP 3 | Refrigerators-Energy Star* | 1465.10 | 10 | 4,800.00 | | | | |
| AMP 3 | Ranges-Energy Star* | 1465.10 | 10 | 4,000.00 | | | | |
| AMP 3 | Computer software | 1408.00 | | 27,000.00 | | | | |
| | COCC | CFP Management Ed Salary/benefits | 1S | 1410.00 | 38,700.00 | | | |
| | | TOTALS | | | \$411,495.00 | | | |
| | | (Note: *=energy conservation) | | | | | | |

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

2 To be completed for the Performance and Evaluation Report.

Part I: Summary

| Hazleton Housing Authority PA-044 | | Hazleton, Luzerne County, PA | | | <input checked="" type="checkbox"/> Original 5-Year Plan | <input type="checkbox"/> Revision No: |
|-----------------------------------|--|---------------------------------------|---------------------------------------|---------------------------------------|--|---------------------------------------|
| A. | Development Number and Name PA044000001-AMP 1 PA044000002-AMP 2 PA044000003-AMP 3 | Work Statement for Year 1 FFY 2011 | Work Statement for Year 2 FFY 2012 | Work Statement for Year 3 FFY 2013 | Work Statement for Year 4 FFY 2014 | Work Statement for Year 5 FFY 2015 |
| B. | Physical Improvements Subtotal | \$270,495.00 | \$270,495.00 | \$298,495.00 | 4289,495.00 | \$289,495.00 |
| C. | Management Improvements | 30,000.00 | 30,000.00 | | | |
| D. | PHA-Wide Non-dwelling Structures and Equipment | | | | 41,000.00 | |
| E. | Administration | | 41,000.00 | 41,000.00 | 41,000.00 | 41,000.00 |
| F. | Other | | 15,000.00 | 12,000.00 | 21,000.00 | 21,000.00 |
| G. | Operations | | 55,000.00 | 60,000.00 | 60,000.00 | 60,000.00 |
| H. | Demolition | | | | | |
| I. | Development | | | | | |
| J. | Capital Fund Financing - Debt Service | | | | | |
| K. | Total CFP Funds | | | | | |
| L. | Total Non-CFP Funds | | \$411,495.00 | \$411,495.00 | \$411,495.00 | \$411,495.00 |
| M. | Grand Total | | \$411,495.00 | \$411,495.00 | \$411,495.00 | \$411,495.00 |

