

<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
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<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Asheboro Housing Authority</u> PHA Code: <u>NC081</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>04/2011</u>				
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>200</u> Number of HCV units: <u>783</u>				
<b>3.0</b>	<b>Submission Type</b> <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
					PH HCV
	PHA 1:				
	PHA 2:				
	PHA 3:				
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update. NA				
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:  NA				
<b>5.2</b>	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.  NA				

**PHA Plan Update**

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

- **Plan Element 1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures:** No revisions.
- **Plan Element 2. Financial Resources.** Anticipated sources and uses of funds are listed below.

<b>Financial Resources Planned Sources and Uses</b>		
<b>SOURCES</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants</b>		
a) Public Housing Operating Fund	759,068	
b) Public Housing Capital Fund	325,439	
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	4,686,145	
f) Resident Opportunity and Self-Sufficiency Grants	0	n/a
<b>Other Federal Grants:</b>		
a) HOPWA (Section 8)	50,440	Targeted Rental Assistance
b) FSS Program Coordinator (Section 8)	0	n/a
c) Resident Participation Grant (LIPH)	4,925	Resident Activities
<b>2. Prior Year Federal Grants (unobligated funds)</b>		
a) Capital Fund FFY 2009	125,254	Capital & Mgmt. improvements and PH Operations
b) Capital Fund FFY 2010	325,439	Capital & Mgmt. improvements and PH Operations
<b>3. Public Housing Dwelling Rental Income</b>		
	267,904	PH Operations and Supportive Services
<b>4. Other Income</b>		
a) Non-dwelling Rent	26,880	PH Operations
b) Interest Income	8,271	PH Operations
c) Revenue-producing Business (Laundry, other)	250	PH Operations
d) Maintenance & Charges	9,914	PH Operations
<b>5. Non-federal Sources</b>		
a) Management Fees	38,112	Business Activities/Commissioner Training
<b>TOTAL RESOURCES</b>	<b>6,628,041</b>	

- **Plan Element 3. Rent Determination:** No revisions.
- **Plan Element 4. Operations and Management:** No revisions. **Plan Element 5. Grievance Procedures:** No revisions.
- **Plan Element 6. Designated Housing for Elderly and Disabled Families:** No revisions.
- **Plan Element 7. Community Service and Self-Sufficiency:** No revisions have been made to the Community Service requirement. **Plan Element 8. Safety and Crime Prevention:** No revisions.
- **Plan Element 9. Pets.** There was no change to the Pet Policy; however, the Admissions and Continued Occupancy Policy was amended in order to clarify that the pet policy is not applicable to service animals, support animals, assistance animals, or therapy animals that are used to assist persons with disabilities.
- **Plan Element 10. Civil Rights Certification.** No revisions.
- **Plan Element 11. Fiscal Year Audit.** The latest audit was conducted for FY 2010 and is on file in the Asheboro Housing Authority's main office. There were no findings reported in the audit.
- **Plan Element 12. Asset Management.** Not applicable.
- **Plan Element 13. Violence Against Women Act (VAWA):** A goal of the Asheboro Housing Authority is to fully comply with the Violence against Women Act. It is our objective to work with others to prevent offenses covered by VAWA to the extent feasible.

AHA refers child or adult victims of domestic violence, dating violence, sexual assault, or stalking to appropriate service providers. AHA lacks the staff capacity to independently provide formal services or programs targeting victims of domestic violence.

6.0

6.0	<p>AHA cooperates with organizations and entities, both private and governmental, which provide shelter and/or services to victims of domestic violence. If AHA staff becomes aware that an assisted individual is a victim of domestic violence, dating violence or stalking, the victim will be referred to such providers of shelter. Some such agencies are: Family Crisis Center, Christians United Outreach Center, Department of Social Services' Adult and Child Protective Services.</p> <p>AHA also provides or offers the following activities, services, or programs that help child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing: If appropriate, in both Section 8 and Public Housing programs victims are allowed to apply for transfer, to remove the offending member from the household, and/or have the perpetrator barred from all housing authority property, regardless of whether the perpetrator was ever a member of the household.</p> <ul style="list-style-type: none"> <li>✓ City of Asheboro police cooperate with AHA to enforce North Carolina trespassing statutes.</li> </ul> <p>The AHA provides or offers the following activities, services, or programs to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families:</p> <ul style="list-style-type: none"> <li>✓ Public housing victims of domestic violence may request the perpetrator be removed from the household and/or be placed on the off-limits list.</li> <li>✓ A transfer will be granted when suitable alternative vacant units are available and if there is reason to believe this action would eliminate the recurrence of violence.</li> </ul> <p>Section 8 assisted tenants will not be denied portability to a unit located in another jurisdiction (notwithstanding the term of the existing lease, or if the family has not occupied the unit for 12 months) so long as the family has complied with all other requirements of the program and desires to move from the unit in order to protect the health or safety of a family member who is a victim of domestic or dating violence or stalking. The AHA has the following procedures in place to assure applicants and residents are aware of their rights under the Violence against Women Act:</p> <ul style="list-style-type: none"> <li>✓ In accordance with board-approved policy, AHA provides written notification to applicants, tenants, and Section 8 owners and managers, concerning the rights and obligations created under VAWA relating to confidentiality, denial of assistance, and termination of tenancy or assistance.</li> </ul> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see section 6.0 of the instructions.</p> <ul style="list-style-type: none"> <li>• Main business office of the AHA, 338 W. Wainman Avenue, Asheboro, NC 27203</li> </ul>
7.0	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p> <p>NA</p>
8.0	<p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p><b>Annual statements for CFP NC19P08150108, CFP NC19S08150109, CFP NC19P08150110, and CFP NC19P08150111 are attached (nc081f01; nc081g01; nc081h01; nc081i01)</b></p>
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p><b>Attachment nc081j01</b></p>
8.3	<p><b>Capital Fund Financing Program (CFFP).</b></p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> <p>NA</p>
9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>NA</p>

9.1	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p>NA</p>
10.0	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>NA</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>NA</p>
11.0	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) <b>(nc081a01)</b></p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) <b>(nc081b01)</b></p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) <b>(nc081c01)</b></p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) <b>(nc081d01)</b></p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) NA</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. <b>(nc081e01)</b></p> <p>(g) Challenged Elements NA</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) <b>(nc081f01; nc081g01; nc081h01; nc081i01)</b></p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only) <b>(nc081j01)</b></p> <p>(j) Form HUD-50077-CR, <i>Civil Rights Certification</i> NA</p>

# RESOLUTION 11-26

## PHA Certifications of Compliance with PHA Plans and Related Regulations

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

### PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year and/or  Annual PHA Plan for the PHA fiscal year beginning April 1, 2011, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. ~~The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.~~
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Asheboro Housing Authority

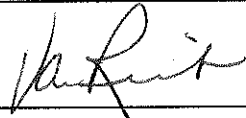
NC081-1

\_\_\_\_\_  
PHA Name

\_\_\_\_\_  
PHA Number/HA Code

\_\_\_\_ 5-Year PHA Plan for Fiscal Years 20\_\_\_\_ - 20\_\_\_\_  
 X \_\_\_\_\_ Annual PHA Plan for Fiscal Years 20<sup>11</sup> - 20<sup>12</sup>\_\_\_\_\_

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official  Van Rich	Title  CHAIRMAN
Signature 	Date 01/10/2011

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

ASHEBORO HOUSING AUTHORITY

Program/Activity Receiving Federal Grant Funding

CAPITAL FUND

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

NC081-1

SITE I - INDEPENDENCE AVENUE AND STARR COURT (ELDERLY)

SITE II - MORGAN AVENUE

SITE III - DUNLAP STREET AND MARTIN LUTHER KING, JR. DRIVE

SITE IV - FARR STREET, TIPTON DRIVE, TABOR COURT, E.PRESNELL STREET, AND VANCE STREET

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.

(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

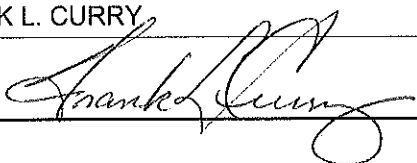
FRANK L. CURRY

Title

EXECUTIVE DIRECTOR

Signature

X



Date

01/10/2011

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian H

*me 08/10/11*

Applicant Name

ASHEBORO HOUSING AUTHORITY

Program/Activity Receiving Federal Grant Funding

CAPITAL FUND

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

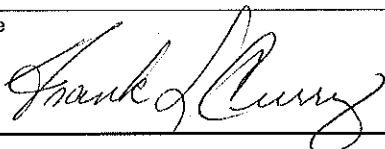
Name of Authorized Official

FRANK L. CURRY

Title

EXECUTIVE DIRECTOR

Signature



Date (mm/dd/yyyy)

01/10/2011



**Certification of Payments  
to Influence Federal Transactions**

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

ASHEBORO HOUSING AUTHORITY

Program/Activity Receiving Federal Grant Funding

CAPITAL FUND

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

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I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

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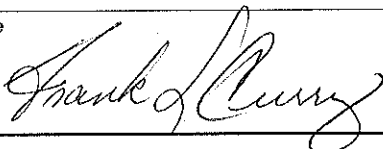
Name of Authorized Official

FRANK L. CURRY

Title

EXECUTIVE DIRECTOR

Signature



Date (mm/dd/yyyy)

01/10/2011

# DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:  Congressional District, if known: 6	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b> NA  Congressional District, if known:	
<b>6. Federal Department/Agency:</b> Department of Housing and Urban Development	<b>7. Federal Program Name/Description:</b> Capital Fund  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$	
<b>10. a. Name and Address of Lobbying Registrant</b> <i>(if individual, last name, first name, MI):</i> NA	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature:  Print Name: FRANK L. CURRY Title: EXECUTIVE DIRECTOR Telephone No.: (336) 629-4146      Date: 01/10/2011	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

**Attachment nc081e01**

**Asheboro Housing Authority  
Public Housing Agency Plan for FY 2009  
Minutes of the Resident Advisory Board Meeting  
November 29, 2010**

**Public Housing Members**

Betty Hurley  
Ella Jones  
Sandy Williams

**Section 8 Members**

Angela Banks  
Peggie Cassidy  
Natasha Harris  
Carla Vick

**Other Attendees**

Frank Curry, Executive Director  
Kendria Eckard, Social Worker

Frank Curry, Executive Director, Asheboro Housing Authority, convened the Resident Advisory Board (RAB) meeting at 5:30 p.m., November 29, 2010 at the Asheboro Housing Authority Main Office, 338 W. Wainman Avenue, Asheboro, NC.

Mr. Curry provided each member present a copy of the draft Annual Plan for the Asheboro Housing Authority and explained the necessity of the meeting and the importance of resident input to the plan process. Mr. Curry also pointed out that the authority was responsible for 200 families in public housing as well as managing 783 Housing Choice Vouchers.

Mr. Curry discussed some of the plan elements and changes that had occurred during the last year. There were only a few changes to report that had been dictated by HUD and reported in the 2011 Annual Plan. He then reviewed details of the Capital Plans for FYs 2008, 2009, and 2010 that were not yet closed out, as well as the proposed Capital Plan for FY 2011 that would be submitted to HUD.

Mr. Curry explained the difference between the Section 8 and Public Housing programs, and how funding for the two programs is handled differently.

Mr. Curry then asked the resident council for comments regarding the Agency Plan.

Public housing residents reported that they were pleased with new sidewalks, parking area and tile that was replaced in the bathrooms. They stated they would like to have the same type of tile that is in the bathroom throughout the entire apartment. They also indicated a desire to have their apartment's exterior doors repainted.

Mr. Curry responded that tile would be replaced as needed and that he would check on painting the doors. Because of an upcoming REAC inspection, the maintenance

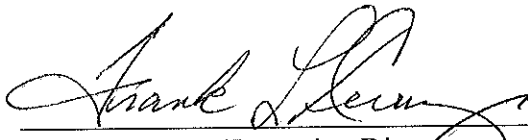
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personnel were concentrating on tasks and repairs that, if not corrected, would most negatively impact the housing authority's REAC score. Within those constraints, he would plan cosmetic improvements.

There was some agreement among the public housing board members that they were having difficulty with their ovens not cooking food properly because the temperature settings were not correctly calibrated. This issue was one that had not been reported to the maintenance department for repair. Because of this, Mr. Curry asked that a work order be called in to the Work Order Clerk. Maintenance would check the temperature, perform repairs and, if necessary, replace the oven.

As there were no further comments or questions, Mr. Curry thanked the RAB for its concern and interest.

The meeting was adjourned at 6:30 p.m.



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Frank L. Curry, Executive Director



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Kendria Eckard, Social Worker

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program  
 nc081f01

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant: 2008	
PHA Name:		Capital Fund Program Grant No: NC19P08150108		FFY of Grant Approval: 2008	
Asheboro Housing Authority		Replacement Housing Factor Grant No:			
Date of CFFP:					
Type of Grant	Original	Revised <sup>2</sup>	Obligated	Total Actual Cost <sup>1</sup>	Expended
<input type="checkbox"/> Original Annual Statement					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:					
<input type="checkbox"/> Reserve for Disasters/Emergencies					
<input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost <sup>1</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	76,000.00	76,000.00	76,000.00	76,000.00
3	1468 Management Improvements	12,000.00	12,000.00	12,000.00	12,000.00
4	1410 Administration (may not exceed 10% of line 21)	3,000.00	0.00	0.00	0.00
5	1411 Audit	0.00	0.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	0.00	0.00	0.00	0.00
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	49,381.04	60,015.04	60,015.04	60,015.04
10	1460 Dwelling Structures	159,592.00	155,299.23	155,299.23	155,299.23
11	1465.1 Dwelling Equipment—Nonexpendable	15,000.00	14,625.53	14,625.53	14,625.53
12	1470 Non-dwelling Structures	10,000.00	3,150.00	3,150.00	3,150.00
13	1475 Non-dwelling Equipment	11,018.96	14,902.20	14,902.20	14,902.20
14	1483 Demolition	0.00	0.00	0.00	0.00
15	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
16	1495.1 Relocation Costs	0.00	0.00	0.00	0.00
17	1499 Development Activities <sup>4</sup>	0.00	0.00	0.00	0.00

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>		FFY of Grant: 2008	
<b>PHA Name:</b> Asheboro Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: NC19P08150108 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant Approval: 2008	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2 ) <input type="checkbox"/> Final Performance and Evaluation Report	
<b>Line</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>	<b>Total Actual Cost<sup>1</sup></b>
		<b>Original</b>	<b>Obligated</b>
18a	1501 Collateralization or Debt Service paid by the PHA	0.00	0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0.00	0.00
19	1502 Contingency (may not exceed 8% of line 20)	0.00	0.00
20	Amount of Annual Grant: (sum of lines 2 - 19)	335,992.00	335,992.00
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		

**Signature of Executive Director** *Grandy Lewis* **Date** 11/10/2011 **Signature of Public Housing Director** **Date**

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Part II: Supporting Pages		Federal FFY of Grant:					
PHA Name:		2008					
Asheboro Housing Authority		Capital Fund Program Grant No: NC19P08150108					
		CFFP (Yes/No): NO					
		Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>
NC081-HA Wide	Operations	1406		76,000.00	76,000.00	76,000.00	76,000.00
NC081-HA Wide	Management Improvements	1408		12,000.00	12,000.00	12,000.00	12,000.00
NC081-HA Wide	Administration	1410	0	3,000.00	0.00	0.00	0.00
NC081-HA Wide	Grounds & Landscaping	1450	50% of Area	25,181.02	25,181.02	25,181.02	25,181.02
NC081-HA Wide	Walks & Parking	1460	235 Yd.	24,200.02	34,834.02	34,834.02	34,834.02
NC081-HA Wide	Roofs & Gutters	1460	100% of units	150,000.00	144,119.52	144,119.52	144,119.52
NC081-HA Wide	Cabinets	1460	0	92.00	0.00	0.00	0.00
NC081-HA Wide	Interior Drywall	1460	0	100.00	0.00	0.00	0.00
NC081-HA Wide	Interior Doors	1460	0	1,000.00	0.00	0.00	0.00
NC081-HA Wide	Energy Conservation	1460	0	100.00	0.00	0.00	0.00
NC081-HA Wide	Bath & Kitchen Fixtures	1460	100% of units	500.00	4,417.75	4,417.75	4,417.75
NC081-HA Wide	Exterior Painting	1460	11 units	5,800.00	5,800.00	5,800.00	5,800.00
NC081-HA Wide	Floors & Baseboards	1460	1 unit	1,000.00	961.96	961.96	961.96
NC081-HA Wide	Porch Rails	1460	0	100.00	0.00	0.00	0.00
NC081-HA Wide	Electric Service	1460	0	900.00	0.00	0.00	0.00
NC081-HA Wide	Refrigerators & Ranges	1465.1	37 Refrigs. 2 Ranges	12,000.00	14,625.53	14,625.53	14,625.53
NC081-HA Wide	Furnaces	1465.1	0	3,000.00	0.00	0.00	0.00
NC081-HA Wide	Non-Dwelling Structure	1470	1 HVAC	10,000.00	3,150.00	3,150.00	3,150.00
NC081-HA Wide	Maintenance Replacements	1475	1 Mower	7,000.00	14,902.20	14,902.20	14,902.20
NC081-HA Wide	Vehicle Replacement	1475	0	4,018.96	0.00	0.00	0.00

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program  
 nc081g01

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant: 2009	
PHA Name:		Capital Fund Program Grant No: NC19P08150109		FFY of Grant Approval:	
Asheboro Housing Authority		Replacement Housing Factor Grant No:			
		Date of CFFP:			
Type of Grant		Reserve for Disasters/Emergencies		Revised Annual Statement (revision no: 1 )	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/10		<input type="checkbox"/> Final Performance and Evaluation Report	
Summary by Development Account		Total Estimated Cost		Total Actual Cost <sup>1</sup>	
Line		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	68,000	68,000.00	0	0
3	1408 Management Improvements	12,000	12,000.00	147.90	147.90
4	1410 Administration (may not exceed 10% of line 21)	2,000	2,000.00	0	0
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	1,500	100.00	0	0
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	42,000	46,500.00	28,607.00	21,203.00
10	1460 Dwelling Structures	183,620	163,482.21	156,397.53	156,397.53
11	1465.1 Dwelling Equipment—Nonexpendable	8,000	20,297.79	20,297.79	1,297.47
12	1470 Non-dwelling Structures	3,000	3,000.00	0	0
13	1475 Non-dwelling Equipment	12,000	16,740.00	0	0
14	1485 Demolition	0	0	0	0
15	1492 Moving to Work Demonstration	0	0	0	0
16	1495.1 Relocation Costs	0	0	0	0
17	1499 Development Activities <sup>4</sup>	0	0	0	0

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program  
 Nc081g01

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>		FFY of Grant: 2009	
PHA Name: Asheboro Housing Authority	Grant Type and Number Capital Fund Program Grant No: NC19P08150109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant Approval:	
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/10		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Final Performance and Evaluation Report	
<b>Type of Grant</b>	<input type="checkbox"/> Reserve for Disasters/Emergencies		
<b>Line</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>	<b>Total Actual Cost<sup>1</sup></b>
		<b>Original</b>	<b>Obligated</b>
18a	1501 Collateralization or Debt Service paid by the PHA	0	0
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0
19	1502 Contingency (may not exceed 8% of line 20)	0	0
20	Amount of Annual Grant: (sum of lines 2 - 19)	332,120.00	186,249.90
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
<b>Signature of Executive Director</b>		<b>Signature of Public Housing Director</b>	
<i>Frank J. Lewis</i>		Date 1/10/2011	
		<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Part II: Supporting Pages		Federal FFY of Grant:						
PHA Name:		2009						
Asheboro Housing Authority		Grant Type and Number Capital Fund Program Grant No: NC19P08150109 CFFP (Yes/No): NO Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
NC081-HA Wide	Operations	1406		68,000	68,000.00	0	0	Pending
NC081-HA Wide	Management Improvements	1408		12,000	12,000.00	147.90	147.90	In-Progress
NC081-HA Wide	Administration	1410		2,000	2,000.00	0	0	Pending
NC081-HA Wide	Fees & Costs	1430		1,500	100.00	0	0	Pending
NC081-HA Wide	Grounds & Landscaping	1450	Sites 1,2,3	20,000	28,000.00	23,940.00	16,536.25	In-Progress
NC081-HA Wide	Walks & Parking	1450	TBD	21,000	18,000.00	4,667.00	4,667.00	In-Progress
NC081-HA Wide	Exterior Plumbing	1450	Misc.	1,000	500.00	0	0	Pending
NC081-HA Wide	Water Heaters	1460	8	3,000	2,532.28	0	0	Pending
NC081-HA Wide	Exterior Steps, Stairs & Rails	1460	3 stairwell	1,000	7,216.55	7,216.55	7,216.55	Completed
NC081-HA Wide	Building Exterior	1460	Misc.	1,000	1,000.00	0	0	Pending
NC081-HA Wide	Roofs, Trim & Gutters	1460	63 Bldgs.	95,000	62,733.63	62,733.63	62,733.63	Completed
NC081-HA Wide	Furnace Rooms	1460	1 unit	2,000	2,000.00	0	0	Pending
NC081-HA Wide	Exterior Painting	1460	34 Bldgs.	10,000	12,850.00	12,850.00	12,850.00	Completed
NC081-HA Wide	Unit AC	1460	50 Units	60,000	45,250.88	45,250.88	45,250.88	Completed
NC081-HA Wide	Interior Plumbing	1460	2 units	1,000	571.72	571.72	571.72	Completed
NC081-HA Wide	Interior Doors	1460	4 Units	1,000	100.00	0	0	Pending
NC081-HA Wide	Cabinets/Countertops	1460	1 Unit	1,000	100.00	0	0	Pending
NC081-HA Wide	Interior Repairs	1460	Misc.	1,000	11,216.94	11,216.94	11,216.94	Completed
NC081-HA Wide	Range Hoods	1460	200 Units	4,000	15,790.21	15,790.21	15,790.21	Completed

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages		Federal FFY of Grant:					2009		Status of Work	
PHA Name: Asheboro Housing Authority		Grant Type and Number Capital Fund Program Grant No: NC19P08150109 CFFP (Yes/No): NO Replacement Housing Factor Grant No:					2009		Status of Work	
Development Number Name/PHA -Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work		
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>			
NC081-HA Wide	Floors	1460	2 Units	2,000	2,000.00	767.60	767.60	0	Pending	
NC081-HA Wide	Interior Drywall	1460	1 Unit	1,620	120.00	0	0	0	Pending	
NC081-HA Wide	Refrigerators & Ranges	1465.1	52 units	8,000	20,297.79	20,297.79	1,297.47	0	Pending	
NC081-HA Wide	Non-Dwelling Buildings	1470	Misc.	2,000	2,000.00	0	0	0	Pending	
NC081-HA Wide	Energy Saving Devices	1470	Misc.	1,000	1,000.00	0	0	0	Pending	
NC081-HA Wide	Non-Dwelling Equip/Replacements	1475	Misc.	5,000	2,240.00	0	0	0	Pending	
NC081-HA Wide	Heating/Cooling Equipment	1475	3 HVAC	1,500	9,000.00	0	0	0	Pending	
NC081-HA Wide	Refrigerators & Ranges	1475	2	1,500	1,500.00	0	0	0	Pending	
NC081-HA Wide	Computer Hardware	1475	2	2,000	2,000.00	0	0	0	Pending	
NC081-HA Wide	Office Furniture	1475	Misc.	2,000	2,000.00	0	0	0	Pending	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program  
 nc081b01

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant: 2010	
PHA Name:		Capital Fund Program Grant No: NC19P08150110		FFY of Grant Approval:	
Asheboro Housing Authority		Replacement Housing Factor Grant No:			
		Date of CFFP:			
Type of Grant		<input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/10		<input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Original	Total Estimated Cost Revised <sup>2</sup>	Obligated	Total Actual Cost <sup>1</sup> Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>		70,000		
3	1408 Management Improvements		17,000		
4	1410 Administration (may not exceed 10% of line 21)		3,000		
5	1411 Audit		0		
6	1415 Liquidated Damages		0		
7	1430 Fees and Costs		1,000		
8	1440 Site Acquisition		0		
9	1450 Site Improvement		21,000		
10	1460 Dwelling Structures		136,439		
11	1465.1 Dwelling Equipment—Nonexpendable		48,000		
12	1470 Non-dwelling Structures		20,000		
13	1475 Non-dwelling Equipment		9,000		
14	1485 Demolition		0		
15	1492 Moving to Work Demonstration		0		
16	1495.1 Relocation Costs		0		
17	1499 Development Activities <sup>4</sup>		0		

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program  
 nc081h01

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010 FFY of Grant Approval:					
PHA Name:	Grant Type and Number						
Asheboro Housing Authority	Capital Fund Program Grant No: NC19P08150110 Replacement Housing Factor Grant No: Date of CFFP:						
Type of Grant	<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/10						
Line	Summary by Development Account	Original	Revised <sup>2</sup>	Total Estimated Cost	Obligated	Expended	Total Actual Cost <sup>1</sup>
18a	1501 Collateralization or Debt Service paid by the PHIA	0					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0					
19	1502 Contingency (may not exceed 8% of line 20)	0					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	325,439					
21	Amount of line 20 Related to LBP Activities						
22	Amount of line 20 Related to Section 504 Activities						
23	Amount of line 20 Related to Security - Soft Costs						
24	Amount of line 20 Related to Security - Hard Costs	10,000					
25	Amount of line 20 Related to Energy Conservation Measures						
Signature of Executive Director		Date		Signature of Public Housing Director		Date	
<i>[Signature]</i>		11/10/2011					

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program  
 nc081b01

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part II: Supporting Pages		Federal FFY of Grant:					
PHA Name:		2010					
Asheboro Housing Authority		Capital Fund Program Grant No: NC19P08150110					
Development Number Name/PHA-Wide Activities		CFFP (Yes/No): NO					
General Description of Major Work Categories		Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>
NC081-HA Wide	Operations	1406		70,000			
NC081-HA Wide	Management Improvements	1408		17,000			
NC081-HA Wide	Administration	1410		3,000			
NC081-HA Wide	Fees & Costs	1430		1,000			
NC081-HA Wide	Grounds & Landscaping	1450	3 Sites	18,000			
NC081-HA Wide	Walks & Parking	1450	400 s.f.	2,000			
NC081-HA Wide	Exterior Plumbing, Site 2	1450	9 Units	1,000			
NC081-HA Wide	Water Heaters	1460	15	8,100			
NC081-HA Wide	Exterior Steps, Stairs & Rails	1460	3 Bldg.	25,000			
NC081-HA Wide	Building Exterior, Door Hardware	1460	200 Units	5,000			
NC081-HA Wide	Roofs, Trim & Gutters	1460	1	1,000			
NC081-HA Wide	Electric Service	1460	9 Bldg.	7,000			
NC081-HA Wide	Exterior Painting	1460	1	1,000			
NC081-HA Wide	Furnace Equipment	1460	44 Units	60,000			
NC081-HA Wide	Interior Plumbing	1460	200 Units	10,000			
NC081-HA Wide	Interior Doors	1460	6 Units	1,000			
NC081-HA Wide	Cabinets/Countertops	1460	5 Units	5,000			
NC081-HA Wide	Interior Repairs	1460	200 Units	3,239			
NC081-HA Wide	Range Hoods	1460	1	100			
NC081-HA Wide	Floors	1460	20 Units	5,000			
NC081-HA Wide	Interior Drywall	1460	15 Units	5,000			

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>		<b>Federal FFY of Grant:</b>						
<b>PHA Name:</b>		<b>2010</b>						
<b>Asheboro Housing Authority</b>		<b>Capital Fund Program Grant No: NC19P08150110</b>						
<b>Development Number</b>		<b>CFPP (Yes/No): NO</b>						
<b>Name/PHA-Wide</b>		<b>Replacement Housing Factor Grant No:</b>						
<b>Activities</b>								
<b>Development Number</b>	<b>General Description of Major Work Categories</b>	<b>Development Account No.</b>	<b>Quantity</b>	<b>Total Estimated Cost</b>		<b>Total Actual Cost</b>		<b>Status of Work</b>
				<b>Original</b>	<b>Revised<sup>1</sup></b>	<b>Funds Obligated<sup>2</sup></b>	<b>Funds Expended<sup>2</sup></b>	
NC081-HA Wide	Refrigerators & Ranges	1465.1	17/17	25,000				
NC081-HA Wide	Unit A/C	1465.1	40	23,000				
NC081-HA Wide	Non-Dwelling Buildings	1470	6 Bldg.	5,000				
NC081-HA Wide	Energy Saving Devices	1470	6 Bldg.	10,000				
NC081-HA Wide	Non-Dwelling Equip/Replacements-Door Hardware	1470	6 Bldg.	5,000				
NC081-HA Wide	Maintenance Replacements	1475		9,000				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program  
 nc081i01

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2011 FFY of Grant Approval:	
PHA Name:	Grant Type and Number		
Asheboro Housing Authority	Capital Fund Program Grant No: NC19P08150111 Replacement Housing Factor Grant No: Date of CFFP:		
Type of Grant	<input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Original Annual Statement and Evaluation Report for Period Ending: _____ ) <input type="checkbox"/> Performance by Development Account		
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost <sup>1</sup>
		Revised <sup>2</sup>	Obligated Expended
1	Total non-CFP Funds		
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	70,000	
3	1408 Management Improvements	8,000	
4	1410 Administration (may not exceed 10% of line 21)	4,000	
5	1411 Audit	0	
6	1415 Liquidated Damages	0	
7	1430 Fees and Costs	2,000	
8	1440 Site Acquisition	0	
9	1450 Site Improvement	47,000	
10	1460 Dwelling Structures	188,500	
11	1465.1 Dwelling Equipment—Nonexpendable	10,000	
12	1470 Non-dwelling Structures	10,000	
13	1475 Non-dwelling Equipment	12,500	
14	1485 Demolition		
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs		
17	1499 Development Activities <sup>4</sup>		

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program  
 nc081101

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>		FFY of Grant: 2011 FFY of Grant Approval:	
PHA Name: Asheboro Housing Authority	Grant Type and Number Capital Fund Program Grant No: NC19P08150111 Replacement Housing Factor Grant No: Date of CFFP:		
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Final Performance and Evaluation Report	
<b>Line</b>	<b>Type of Grant</b>	<b>Total Estimated Cost</b>	<b>Total Actual Cost<sup>1</sup></b>
		<b>Original</b>	<b>Obligated</b>
18a	1501 Collateralization or Debt Service paid by the PHIA	0	
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	
19	1502 Contingency (may not exceed 8% of line 20)	0	
20	Amount of Annual Grant: (sum of lines 2 - 19)		
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
<b>Signature of Executive Director</b> <i>Frank A. [Signature]</i>		<b>Signature of Public Housing Director</b>	<b>Date</b> 1/10/2011
			<b>Date</b>

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

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 nc081i01

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 Expires 4/30/2011

Part II: Supporting Pages		Federal FFY of Grant:						
PHA Name:		2011						
Asheboro Housing Authority		Grant Type and Number Capital Fund Program Grant : NC19P08150111 CFFP (Yes/No): NO Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
NC081-HA Wide	Operations	1406		70,000				
NC081-HA Wide	Management Improvements	1408		8,000	Computer Software	Board & Staff Tng.		
NC081-HA Wide	Administration	1410		4,000	Staff Cost	Work CFP		
NC081-HA Wide	Fees & Costs	1430		2,000				
NC081-HA Wide	Grounds & Landscaping	1450		15,000		70,000		
NC081-HA Wide	Walks & Parking Pads	1450	170 Yd.	25,000		8,000		
NC081-HA Wide	Exterior Plumbing	1450	11 Bldgs.	7,000		4,000		
NC081-HA Wide	Water Heaters	1460	40 Units	15,000		2,000		
NC081-HA Wide	Exterior Steps, Stairs, & Rails	1460	1 Bldg.	1,000		47,000		
NC081-HA Wide	Building Exterior	1460		1,000		188,500		
NC081-HA Wide	Roof, Trim & Gutters	1460		1,000		10,000		
NC081-HA Wide	Furnace Rooms	1460	106 Units	40,000		10,000		
NC081-HA Wide	Exterior Painting	1460		1,000		12,500		
NC081-HA Wide	Unit HVAC	1460	8 Units	5,000		352,000		
NC081-HA Wide	Interior Plumbing	1460		1,000				
NC081-HA Wide	Interior Doors	1460	25	1,000				
NC081-HA Wide	Cabinets/Countertops	1460	2 Units	1,000				
NC081-HA Wide	Interior Repairs	1460		1,000				
NC081-HA Wide	Electrical Service Box (Exterior)	1460	62 Bldgs.	70,000			?	
NC081-HA Wide	Floor Repair	1460	44 Units	50,000				
NC081-HA Wide	Interior Drywall	1460		500				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
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 Expires 4/30/2011

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program  
 nc081i01

Part II: Supporting Pages		Federal FFY of Grant: 2011						
PHA Name: Asheboro Housing Authority		Grant Type and Number Capital Fund Program Grant No: NC19P08150111 CFFP (Yes/No): NO Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
NC081-HA Wide	Refrigerators & Ranges	1465.1	20	10,000				
NC081-HA Wide	Non-Dwelling Buildings	1470		5,000				
NC081-HA Wide	Energy Saving Devices	1470		1,000				
NC081-HA Wide	Heating & Cooling Equipment	1470	2 HVAC	4,000	Office AC			
NC081-HA Wide	Non-Dwelling Equipment/Replacements	1475		5,000				
NC081-HA Wide	Refrigerators & Ranges	1475	2	1,000	Ofc. Ref.			
NC081-HA Wide	Computer Hardware	1475	3	3,000				
NC081-HA Wide	Office Furniture	1475		3,500				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.

**Capital Fund Program—Five-Year Action Plan**  
nc081j01

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/20011

**Part I: Summary**

PHA Name/Number Asheboro Housing Authority NC081		Locality (City/county & State) Asheboro/Randolph County, North Carolina		<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work Statement for Year 5 FFY 2015
	NC081, PHA Wide		Asheboro Housing Authority NC081	Asheboro Housing Authority NC081		
	Physical Improvements Subtotal	Annual Statement	270,000	277,000	270,000	239,000
	Management Improvements		8,000	8,000	8,000	8,000
	PHA-Wide Non-dwelling Structures and Equipment		30,000	29,000	33,000	53,000
	Administration		4,000	4,000	4,000	4,000
	Other		0	2,000	2,500	3,000
	Operations		70,000	70,000	71,000	72,000
	Demolition		0	0	0	0
	Development		0	0	0	0
	Capital Fund Financing – Debt Service		0	0	0	0
	Total CFP Funds		382,000	390,000	388,500	379,000
	Total Non-CFP Funds		0	0	0	0
	Grand Total		382,000	390,000	388,000	379,000





Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY <u>2011</u>		Work Statement for Year <u>2</u>		Work Statement for Year <u>3</u>	
Development Number/Name: NC081, PHA Wide		Development Number/Name: NC081, PHA Wide		Development Number/Name: NC081, PHA Wide	
General Description of Major Work Categories		General Description of Major Work Categories		General Description of Major Work Categories	
Estimated Cost		Estimated Cost		Estimated Cost	
	NC081, Main Office, Operations	70,000	NC081, Main Office, Operations	70,000	
	NC081, Main Office, Software Training & Support	5,000	NC081, Main Office, Computer Software	4,000	
	NC081, Main Office, Computer Software	2,000	NC081, Main Office, Computer Hardware	5,000	
	NC081, Main Office, Computer Hardware	5,000	NC081, Main Office, Contracted Studies	2,000	
	NC081, Main Office, Administration (Training, Conferences, etc.)	4,000	NC081, Main Office, Administration (Training, Seminars, etc.)	4,000	
	NC081, Main Office, Telephone Equipment	1,000			
	<b>Subtotal of Estimated Cost</b>	<b>\$87,000</b>		<b>Subtotal of Estimated Cost</b>	<b>\$85,000</b>

