

PHA 5-Year and Annual Plan

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing**

**OMB No. 2577-0226
Expires 4/30/2011**

1.0	PHA Information PHA Name: <u>Housing Authority of the City of Marion, IN</u> PHA Code: <u>IN041</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/2011</u>				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>270</u> Number of HCV units: <u>456</u>				
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1:				PH HCV
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.				
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. MHA Main Office 601 S. Adams Street, Marion, IN 46953				
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i> In 2010 we had one additional FSS client purchase a home We currently have 4 participants who are qualified to purchase a home, with one actively looking to purchase as of 3/2011. We also are working with 77 Home Ownership clients to improve their credit in order for them to qualify to purchase a home.				
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.				
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. See Attachment				
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. See Attachment				
8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.				

9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>There are some issues of affordability in our housing market. A percentage of the units available are above the area's Fair Market Rent and Payment Standard. This compounds the area's need for adequate supply of quality units. A large portion of the housing stock is beyond 30 years old and need of repair. This limits the amount of units that are available and/or affordable.</p> <p>This has presented slow lease-up for the agency's Section 8 Program and has provided a large waiting list for its' Section 8 and Public Housing Waiting Lists.</p> <p>The diversity for the agency's programs and waiting list are reasonable to the most recent census study for the area being served. However, even though the Public Housing Program provides adequate amount of housing for disabled families, the amount of available units for families with disabilities in the private market are more limited by comparison.</p> <p>Finally, the agency consistently meets the lease-up targets of 75% of Section 8 and 40% Public Housing initial move-ins are housed at 30% AMI or below. This corresponds with the income needs of the area's Consolidated Plan.</p>
-----	--

9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>To address the housing availability need, we will consider increasing our current Payment Standards which are 90% of the FMR. This would allow us to maintain current landlords and provide potential housing that require higher rents than the current Payment Standards.</p> <p>To address the need for housing for disabled families, the agency will actively search for local agencies and landlords to who would be able to assist in modifying some of the existing housing stock to meet the needs of disabled families.</p>
-----	--

10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>The agency is still working to expand its' supply of assisted housing. However, with additional budget cuts and the strain on the current housing market, it has made this goal more difficult to attain. Currently, the agency is in negotiations to manage brand new mixed finance development, which contains 216 units</p> <p>The agency is on track to reduce its' goal of reducing the Public Housing vacancy turnaround time by 20%.</p> <p>The agency has recently achieved its goal of attaining 98% ABA utilization of its' Section 8 Program. Reaching this goal has also been a benefit of reaching its' goal of increasing assisted housing choices, by reaching additional landlords to participate in the Section 8 Program.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p>No significant change or modification has been made to the Agency Plan.</p>
------	--

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)
-------------	---

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that **approved and/or pending** demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant: 2010	
PHA Name: Housing Authority of the City of Marion, IN		Capital Fund Program Grant No: IN36P04150110		FFY of Grant Approval: 2010	
Replacement Housing Factor Grant No:		Reserve for Disasters/Emergencies		Revised Annual Statement (revision no:)	
Date of CFPP: 2010		<input type="checkbox"/> Original Annual Statement and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report		Total Actual Cost ¹	
Line	Summary by Development Account	Original	Revised ²	Obligated	Expended
1	Total non-CFF Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	91,773			
3	1408 Management Improvements	25,000			
4	1410 Administration (may not exceed 10% of line 21)	45,886			
5	1411 Audit	5,000			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	3,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	48,500			
10	1460 Dwelling Structures	172,680			
11	1465.1 Dwelling Equipment—Nonexpendable	21,000			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	26,027			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFF Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010	
PHA Name: Housing Authority of the City of Marion, IN	Grant Type and Number Capital Fund Program Grant No: IN36P0415110 Replacement Housing Factor Grant No: Date of CFFP: 2010	FFY of Grant Approval: 2010	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ²
		Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)	20,000	
20	Amount of Annual Grant: (sum of lines 2 - 19)	458,866	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs	37,000	
25	Amount of line 20 Related to Energy Conservation Measures	150,000	
Signature of Executive Director		Date 07/09/2010	
		Signature of Public Housing Director	
		Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFF Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages		Federal FFY of Grant: 2010						
PHA Name: Housing Authority of the City of Marion, IN		Grant Type and Number Capital Fund Program Grant No: IN36P04150110 CFFP (Yes/ No): Yes Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IN041 AMP 1 (41-1)	Property Repairs	1450	10%	10,000				
	Structural Repairs	1460	25%	13,000				
	Interior Repairs	1460	5	40,000				
	Dwelling Equipment	1465	4	1,300				
IN041 AMP 1 (41-2)	Property Repairs	1450	15%	12,500				
	Structural Repairs	1460	25%	13,000				
	Interior Repairs	1460	5	40,000				
	Dwelling Equipment	1465	7	5,800				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages		Federal FFY of Grant: 2010						
PHA Name: Housing Authority of the City of Marion, IN		Grant Type and Number Capital Fund Program Grant No: IN36041501110						
Development Number Name/PHA-Wide Activities		CFFP (Yes/No): Yes Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IN041 AMP 2 (41-3)	Property Repairs	1450	1	5,500				
	Interior Repairs	1460	5	10,000				
	Dwelling Equipment	1465	4	3,700				
IN041 AMP 2 (41-4)	Property Repairs	1450	1	3,500				
	Interior Repairs	1460	5	15,000				
	Tile Replacement	1460	100%	21,180				
	Dwelling Equipment	1465	6	5,100				
IN041 AMP 2 (41-5)	Property Repairs	1450	50%	17,000				
	Interior Repairs	1460	5	18,000				
	Tile Replacement	1460	20%	2,500				
	Dwelling Equipment	1465	6	5,100				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

Part III: Implementation Schedule for Capital Fund Financing Program				Federal FFY of Grant: 2010	
PEA Name: Housing Authority of the City of Marion, IN					
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IN041 AMP 1	07/14/2012		07/14/2014		
IN041 AMP 2	07/14/2012		07/14/2014		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

Part I: Summary		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
PHA Name/Number : Housing Authority of the City of Marion, IN / IN041		Locality (City/County & State) Marion, IN Grant County			
A. Development Number and Name	Work Statement for Year 1 FFY Grant IN36P04150110 PHA FY: 7/01/11	Work Statement for Year 2 FFY Grant IN36P04150111 PHA FY: 7/01/12	Work Statement for Year 3 FFY Grant IN36P04150112 PHA FY: 7/01/13	Work Statement for Year 4 FFY Grant IN36P0415010113 PHA FY: 7/01/14	Work Statement for Year 5 FFY Grant IN38P0415010114 PHA FY: 7/01/15
	B. Physical Improvements Subtotal	293,221	293,221	293,221	293,221
C. Management Improvements	25,000	25,000	25,000	25,000	25,000
D. PHA-Wide Non-dwelling Structures and Equipment	20,000	20,000	20,000	20,000	20,000
E. Administration	55,888	55,888	55,888	55,888	55,888
F. Contingency/Audit	51,000	51,000	51,000	51,000	51,000
G. Operations	111,777	111,777	111,777	111,777	111,777
H. Demolition					
I. Development	2,000	2,000	2,000	2,000	2,000
J. Capital Fund Financing - Debt Service					
K. Total CFP Funds	558,886	558,886	558,886	558,886	558,886
L. Total Non-CFP Funds					
M. Grand Total	558,886	558,886	558,886	558,886	558,886

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

Part I: Summary (Continuation)

A. Development Number and Name	PHA Name/Number : Housing Authority of the City of Marion, IN / IN041		Locality (City/county & State) Marion, IN Grant County		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:
	Work Statement for Year 1 FFY Grant IN36P04150110 PHA FY: 7/01/11	Work Statement for Year 2 FFY Grant IN36P04150111 PHA FY: 7/01/12	Work Statement for Year 3 FFY Grant IN36P04150112 PHA FY: 7/01/13	Work Statement for Year 4 FFY Grant IN36P0415010113 PHA FY: 7/01/14	
AMP 1	Annual Statement				
41-1		58,600	57,800	57,800	57,800
41-2		58,600	57,800	57,800	57,800
AMP 2					
41-3		58,600	58,750	58,800	58,800
41-4		58,600	59,461	59,411	59,411
41-5		58,821	59,410	59,410	59,410
Physical Improvements		293,221	293,221	293,221	293,221
IN041 HA Wide		265,665	265,665	265,665	265,665

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 7/01/11	Work Statement for Year: 2 FFY IN36P04150111 PHA FY: 07/01/2012		Work Statement for Year: 3 FFY IN36P04150112 PHA FY: 07/01/2013			
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
Site	IN041 AMP 1			IN041 AMP 1		
Physical Statement	Site Improvements 41-1 Landscaping, concrete repairs, garages/carports	25% of site	12,000	Site Improvements 41-1 Landscaping, concrete repairs, garages/carports	50% of site	21,300
	Building Exterior Roofing, basement repairs, windows, siding, brick	25% of site	13,000	Building Exterior Roofing, basement repairs, windows, siding, brick	10% of site	5,000
	Dwelling Units Doors, kitchens, bathrooms, lighting, paint, flooring	3	23,050	Dwelling Units Doors, kitchens, bathrooms, lighting, paint, flooring	2	17,500
	Dwelling Equipment Water heaters, appliances, HVAC repairs/upgrades	4	3,550	Dwelling Equipment Water heaters, appliances, HVAC repairs/upgrades	4	4,000
	Site-Wide Facilities Storage building replacement/repairs	1	5,000	Site-Wide Facilities Storage building replacement/repairs	1	5,000
	Non-Dwelling Equipment Lawn care equipment repairs/upgrades/ Office Equipment	1	2,000	Non-Dwelling Equipment Lawn care equipment repairs/upgrades/ Office Equipment	1	5,000
	IN041 AMP 1			IN041 AMP 1		
	Site Improvements 41-2 Landscaping, concrete repairs, garages/carports	25% of site	12,000	Site Improvements 41-2 Landscaping, concrete repairs, garages/carports	50% of site	19,800
	Building Exterior Roofing, basement repairs, windows, siding, brick	25% of site	13,000	Building Exterior Roofing, basement repairs, windows, siding, brick	10% of site	6,000

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

	Dwelling Units Doors, kitchens, bathrooms, lighting, paint, flooring	4	24,050	Dwelling Units Doors, kitchens, bathrooms, lighting, paint, flooring	3	17,000
	Dwelling Equipment Water heaters, appliances, HVAC repairs/upgrades	3	3,550	Dwelling Equipment Water heaters, appliances, HVAC repairs/upgrades	4	5,000
	Site-Wide Facilities Community Center, storage building repairs/upgrades	1	4,000	Site-Wide Facilities Community Center, storage building repairs/upgrades	1	5,000
	Non-Dwelling Equipment Lawn care equipment repairs/upgrades, Community Center equipment	1	2,000	Non-Dwelling Equipment Lawn care equipment repairs/upgrades, Community Center equipment	1	5,000
	IN041 AMP 2 Site Improvements 41-3 Parking lot repairs, concrete repairs, landscaping	10% of site	6,000	IN041 AMP 2 Site Improvements 41-3 Parking lot repairs, concrete repairs, landscaping	20% of site	8,000
	Building Exterior Roofing/Windows/Doors Siding/Brick	10% of site	5,000	Building Exterior Roofing/Windows/Doors Siding/Brick	20% of site	10,800
	Dwelling Units Doors, kitchens, bathrooms, lighting, paint, flooring	30% of units	31,600	Dwelling Units Doors, kitchens, bathrooms, lighting, paint, flooring	25% of units	27,000
	Dwelling Equipment Water heaters, appliances, HVAC repairs/upgrades	4	5,000	Dwelling Equipment Water heaters, appliances, HVAC repairs/upgrades	4	6,000
	Interior Common Areas Painting/Decorating/Remodeling	75% of site	5,000	Interior Common Areas Painting/Decorating/Remodeling	25% of site	1,950
	Site-Wide Facilities Storage buildings/garages	0	0	Site-Wide Facilities Storage buildings/garages	1	2,000

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

	Non-Dwelling Equipment Lawn care / office equipment repairs/ upgrades	1	6,000	Non-Dwelling Equipment Lawn care / office equipment repairs/ upgrades	1	3,000
	IN041 AMP 2 Site Improvements 41-4 Parking lot repairs, concrete repairs, landscaping, lighting	10% of site	3,500	IN041 AMP 2 Site Improvements 41-4 Parking lot repairs, concrete repairs, landscaping, lighting	10% of site	3,500
	Building Exterior Roofing/Windows/Doors Siding/Brick	100% of site	17,000	Building Exterior Roofing/Windows/Doors Siding/Brick	100% of site	26,800
	Dwelling Units Doors, kitchens, bathrooms, lighting, paint, flooring	6	20,000	Dwelling Units Doors, kitchens, bathrooms, lighting, paint, flooring	6	20,661
	Dwelling Equipment Water heaters, appliances, HVAC repairs/upgrades	4	5,000	Dwelling Equipment Water heaters, appliances, HVAC repairs/upgrades	4	5,000
	Interior Common Areas Painting/Decorating/Remodeling	25% of site	2,000	Interior Common Areas Painting/Decorating/Remodeling	10% of site	1,000
	Site-Wide Facilities Storage buildings/garages	0	0	Site-Wide Facilities Storage buildings/garages	1	1,000
	Non-Dwelling Equipment Lawn care / office equipment repairs/ upgrades	1	5,000	Non-Dwelling Equipment Lawn care / office equipment repairs/ upgrades	1	1,000
	IN041 AMP 2 Site Improvements 41-5 Parking lot repairs, concrete repairs, landscaping, lighting	20% of site	8,000	IN041 AMP 2 Site Improvements 41-5 Parking lot repairs, concrete repairs, landscaping, lighting	10% of site	4,800
	Building Exterior Roofing/Windows/Doors Siding/Brick	100% of site	16,221	Building Exterior Roofing/Windows/Doors Siding/Brick	100% of site	22,610

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

	Dwelling Units						
	Doors, kitchens, bathrooms, lighting, paint, flooring	5	19,000		Doors, kitchens, bathrooms, lighting, paint, flooring	6	21,000
	Dwelling Equipment				Dwelling Equipment		
	Water heaters, appliances, HVAC repairs/upgrades	4	5,000		Water heaters, appliances, HVAC repairs/upgrades	4	5,000
	Interior Common Areas				Interior Common Areas		
	Painting/Decorating/Remodeling	1	3,000		Painting/Decorating/Remodeling	1	2,000
	Site-Wide Facilities				Site-Wide Facilities		
	Storage buildings/garages	1	2,000		Storage buildings/garages	1	2,000
	Non-Dwelling Equipment				Non-Dwelling Equipment		
	Lawn care / office equipment repairs/ upgrades	1	5,000		Lawn care / office equipment repairs/ upgrades	1	2,000
	Subtotal of Estimated Cost		\$293,221		Subtotal of Estimated Cost		\$293,221

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year: 4 FFY IN36P04150113 PHA FY: 07/01/2014	Work Statement for Year: 5 FFY IN36P04150114 PHA FY: 07/01/2015				
Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
IN041 AMP 1			IN041 AMP 1		
Site Improvements 41-1 Landscaping, concrete repairs, garages/carports	20% of site	15,800	Site Improvements 41-1 Landscaping, concrete repairs, garages/carports	20% of site	15,800
Building Exterior Roofing, basement repairs, windows, siding, brick	25% of site	10,000	Building Exterior Roofing, basement repairs, windows, siding, brick	25% of site	10,000
Dwelling Units Doors, kitchens, bathrooms, lighting, paint, flooring	4	12,000	Dwelling Units Doors, kitchens, bathrooms, lighting, paint, flooring	4	12,000
Dwelling Equipment Water heaters, appliances, HVAC repairs/upgrades	4	5,000	Dwelling Equipment Water heaters, appliances, HVAC repairs/upgrades	4	5,000
Site-Wide Facilities Storage building replacement/repairs	1	10,000	Site-Wide Facilities Storage building replacement/repairs	1	10,000
Non-Dwelling Equipment Lawn care equipment repairs/upgrades/ Office Equipment	1	5,000	Non-Dwelling Equipment Lawn care equipment repairs/upgrades/ Office Equipment	1	5,000
IN041 AMP 1			IN041 AMP 1		
Site Improvements 41-2 Landscaping, concrete repairs, garages/carports	50% of site	21,800	Site Improvements 41-2 Landscaping, concrete repairs, garages/carports	50% of site	21,800
Building Exterior Roofing, basement repairs, windows, siding, brick	10% of units	5,000	Building Exterior Roofing, basement repairs, windows, siding, brick	10% of units	5,000

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

	Dwelling Units					Dwelling Units		
	Doors, kitchens, bathrooms, lighting, paint, flooring	2		16,000		Doors, kitchens, bathrooms, lighting, paint, flooring	2	16,000
	Dwelling Equipment					Dwelling Equipment		
	Water heaters, appliances, HVAC repairs/upgrades	4		5,000		Water heaters, appliances, HVAC repairs/upgrades	4	5,000
	Site-Wide Facilities					Site-Wide Facilities		
	Community Center, storage building repairs/upgrades	1		5,000		Community Center, storage building repairs/upgrades	1	5,000
	Non-Dwelling Equipment					Non-Dwelling Equipment		
	Lawn care equipment repairs/upgrades, Community Center equipment	1		5,000		Lawn care equipment repairs/upgrades, Community Center equipment	1	5,000
	IN041 AMP 2					IN041 AMP 2		
	Site Improvements 41-3					Site Improvements 41-3		
	Parking lot repairs, garages/sheds, lighting	25% of site		8,000		Parking lot repairs, garages/sheds, lighting	25% of site	8,000
	Building Exterior					Building Exterior		
	Roofing/Windows/Doors Siding/Brick	25% of site		12,800		Roofing/Windows/Doors Siding/Brick	25% of site	12,800
	Dwelling Units					Dwelling Units		
	Doors, kitchens, bathrooms, lighting, paint, flooring	4		27,000		Doors, kitchens, bathrooms, lighting, paint, flooring	4	27,000
	Dwelling Equipment					Dwelling Equipment		
	Water heaters, appliances, HVAC repairs/upgrades	5		6,000		Water heaters, appliances, HVAC repairs/upgrades	5	6,000
	Interior Common Areas					Interior Common Areas		
	Painting/Decorating/Remodeling	1		1,000		Painting/Decorating/Remodeling	1	1,000
	Site-Wide Facilities					Site-Wide Facilities		
	Storage buildings/garages	1		2,000		Storage buildings/garages	1	2,000

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

	Non-Dwelling Equipment									
	Lawn care equipment repairs/upgrades/ Office Equipment	1		2,000				Lawn care equipment repairs/upgrades/ Office Equipment	1	2,000
	IN041 AMP 2							IN041 AMP 2		
	Site Improvements 41-4							Site Improvements 41-4		
	Parking lot repairs, garages/sheds, lighting	10% of site		4,000				Parking lot repairs, garages/sheds, lighting	10% of site	5,000
	Building Exterior							Building Exterior		
	Roofing/Windows/Doors Siding/Brick	50% of site		25,000				Roofing/Windows/Doors Siding/Brick	25% of site	11,800
	Dwelling Units							Dwelling Units		
	Doors, kitchens, bathrooms, lighting, paint, flooring	4		21,411				Doors, kitchens, bathrooms, lighting, paint, flooring	5	27,000
	Dwelling Equipment							Dwelling Equipment		
	Water heaters, appliances, HVAC repairs/upgrades	4		5,000				Water heaters, appliances, HVAC repairs/upgrades	4	5,611
	Interior Common Areas							Interior Common Areas		
	Painting/Decorating/Remodeling	1		1,000				Painting/Decorating/Remodeling	1	1,000
	Site-Wide Facilities							Site-Wide Facilities		
	Storage buildings/garages	1		1,000				Storage buildings/garages	1	1,000
	Non-Dwelling Equipment							Non-Dwelling Equipment		
	Lawn care equipment repairs/upgrades/ Office Equipment	1		2,000				Lawn care equipment repairs/upgrades/ Office Equipment	1	2,000
	IN041 AMP 2							IN041 AMP 2		
	Site Improvements 41-5							Site Improvements 41-5		
	Parking lot repairs, garages/sheds, lighting	10% of site		4,800				Parking lot repairs, garages/sheds, lighting	10% of site	4,800
	Building Exterior							Building Exterior		
	Roofing/Windows/Doors Siding/Brick	50% of building		22,610				Roofing/Windows/Doors Siding/Brick	50% of building	22,610

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

	Dwelling Units					
	Doors, kitchens, bathrooms, lighting, paint, flooring	4	21,000	4	21,000	
	Dwelling Equipment					
	Water heaters, appliances, HVAC repairs/upgrades	4	5,000	4	5,000	
	Interior Common Areas					
	Painting/Decorating/Remodeling	1	2,000	1	2,000	
	Site-Wide Facilities					
	Storage buildings/garages	1	2,000	1	2,000	
	Non-Dwelling Equipment					
	Lawn care equipment repairs/upgrades/ Office Equipment	1	2,000	1	2,000	
	Subtotal of Estimated Cost		\$293,221	Subtotal of Estimated Cost	\$293,221	

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year: 3 FFY IN36P04150111 PHA FY 07/01/2012	Development Number/Name General Description of Major Work Categories	Estimated Cost	Work Statement for Year: 4 FFY IN36P04150112 PHA FY 07/01/2013	Development Number/Name General Description of Major Work Categories	Estimated Cost
Sec Annual Statement	IN041 HA Wide		IN041 HA Wide		
	Operations	111,777	Operations	111,777	
	Section 3	10,000	Section 3	10,000	
	Staff Training	15,000	Staff Training	15,000	
	Salaries/Benefits/Travel	55,888	Salaries/Benefits/Travel	55,888	
	Audit	13,000	Audit	13,000	
	Fees and Costs	2,000	Fees and Costs	2,000	
	Company Vehicles Repair/Replace	20,000	Company Vehicles Repair/Replace	20,000	
	Contingency	38,000	Contingency	38,000	
	Subtotal of Estimated Cost	\$265,665	Subtotal of Estimated Cost	\$265,665	

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year: 4 FFY IN36P04150113 PHA FY 07/01/2014	Work Statement for Year: 5 FFY IN36P04150114 PHA FY 07/01/2015
Development Number/Name General Description of Major Work Categories	Development Number/Name General Description of Major Work Categories
Estimated Cost	Estimated Cost
IN041 HA Wide	IN041 HA Wide
Operations	Operations
Section 3	Section 3
Staff Training	Staff Training
Salaries/Benefits/Travel	Salaries/Benefits/Travel
Audit	Audit
Fees and Costs	Fees and Costs
Company Vehicles Repair/Replace	Company Vehicles Repair/Replace
Contingency	Contingency
Subtotal of Estimated Cost	Subtotal of Estimated Cost
\$265,665	\$265,665

Work Statement for Year 1 FFY 7/01/11

See Appendix Statement

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of the City of Marion, IN

Program/Activity Receiving Federal Grant Funding

Public Housing

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

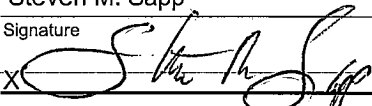
g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Steven M. Sapp	Title Executive Director
Signature 	Date 3-11-11

**Certification of Payments
to Influence Federal Transactions**

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of the City of Marion, IN

Program/Activity Receiving Federal Grant Funding

Public Housing

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

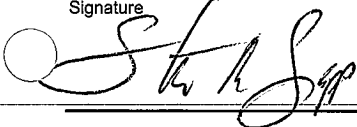
(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Steven M. Sapp	Title Executive Director
Signature 	Date (mm/dd/yyyy) 3-11-11

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB
0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: ^{4c}	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency: Housing Authority of the City of Marion, IN	7. Federal Program Name/Description: 14.850 CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): The Marion Housing Authority is not involved in any lobbying activities.	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Steven M. Sapp</u> Title: <u>Executive Director</u> Telephone No.: <u>765-664-5194</u> Date: <u>3-1-11</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

**DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET**

Approved by OMB
0348-0046

Reporting Entity: Housing Authority of the City of Marion, IN Page 1 of 1

None



601 South Adams Street
Marion, Indiana 46953
Phone: 765.664.5194
Fax: 765.668.3045
TDD: 765.668.3044

HOUSING AUTHORITY OF THE CITY OF MARION
MONTHLY MEETING OF THE RESIDENT ADVISORY BOARD

March 2, 2011

Central Office – 601 South Adams Street

The meeting was called to order at 11:30a.m.

Those present were: Norman Manor representatives: Connie Johnson and Alice West, Riverside representatives: Jack Tinkle, Tim Moulder, and Eddie Oakerson Martin Boots representative: JoAnn Anderson. Hilltop representatives: Terry and Dennis Case. Also present were Executive Director, Steve Sapp; CFO, Leah Howard; Capital Funds Coordinator, Beth Petty; Accounting Clerk, Joy Williams; Amp 1 Site Manager, Vincent Smith; Amp 2 Manager, LaTaya Boyd; Amp 2 Housing Aide, Jama Johnson; Programs Manager, Rita Maxey; Client Services Representative, Tracy Shively; Homeownership Coordinator, Ramona Showalter; Liaison representative, Larry Lloyd.

Steve Sapp opened the meeting welcoming everyone; JoAnn Anderson gave the opening prayer.

Old Business:

1. Satellite – Site Survey 10/21/10

Steve Sapp explained to the residents that due to funding cuts and budget restraints at this time the housing authority would not be able to install dish/cable television in the units. The Capital Fund Coordinator will be looking at costs for Digital Antennas instead.

2. Gazebos – Riverside complete, Martin Boots (spring 2011)

Steve Sapp informed the residents that the gazebo at Martin Boots would be started on soon. Residents from Riverside questioned the electricity being ran to their gazebo Mr. Sapp stated that when weather permitted the contractors would be back out to finish that work.

3. Ventilation Systems

Steve Sapp explained that the housing authority is currently in the process of doing an energy audit at the hi-rises and he is certain that the ventilation systems will be in the reports received from the audit.

4. Tenants Needing Assistance

Tracy Shively informed the residents that there are fliers posted in all of the buildings from different agencies available.

601 South Adams Street
Marion, Indiana 46953
Phone: 765.664.5194
Fax: 765.668.3045
TDD: 765.668.3044

5. Martin Boots will be getting a fire place

Steve Sapp told the Capital Fund Coordinator to have the Maintenance Supervisor go ahead and pick up a fire place. (It was later discussed at a Supervisor's meeting to put this item on hold due to limited space).

6. Bulletin Boards in Hi-Rises – Norman Manor and Martin Boots

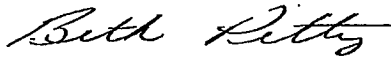
The bulletin boards have been ordered and should be in on the 10th of March.

New Business:

1. Tenants are worried about the vandalism of the elevators. Mr. Sapp asked the Capital Fund Coordinator to look into the cost of installing cameras in the elevators.

Please see the attached minutes for the Capital Fund portion of the meeting.

Respectfully Submitted by;



Beth Petty
Capital Fund Coordinator/Accounting Clerk



601 South Adams Street
Marion, Indiana 46953
Phone: 765.664.5194
Fax: 765.668.3045
TDD: 765.668.3044

The residents of the hi-rise buildings discussed items they would like to see Capital Fund dollars used for during the March 2, 2011 RAB meeting.

Riverside Apartments:

1. Carpet and tile replaced
2. Railings along the North sidewalk of the building
3. Replace siding

Norman Manor Apartments:

1. Tile replaced in units
2. Some units on the West end of the 4th and 5th floors need bedroom and bathroom doors replaced (These units were not done when the building remodel was done)
3. Tenants would like some form of an activity area (horseshoe pit etc.) located on the property West of the new garage.

Martin Boots Apartments:

1. Replace furniture in the front lobby and lounge (Old Business being put on hold at this time)
2. Exterior doors at the North and South end of the building need replaced
3. HVAC units in the apartments let air in around the unit on the West side of the building; check into a solution.
4. Siding needs checked for cleaning or replacement

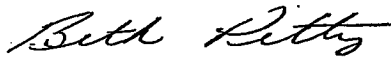
All Buildings:

1. Check pricing and get quotes on installing Digital TV antennas for the hi-rises due to the lack of funds to install dish/cable television.
2. Check pricing on installing cameras in the elevators due to vandalism.
3. Hi-rises need new awnings; compare cost of replacing awnings against cost of building porch structures from the building to the curbs.
4. Need to finish running electricity to the gazebos already in place, starting Martin Boots gazebo by April.
5. Get lists together from managers for all units due to be painted this fiscal year.

601 South Adams Street
Marion, Indiana 46953
Phone: 765.664.5194
Fax: 765.668.3045
TDD: 765.668.3044

I have also received a list of additional items from maintenance staff and will receive feedback from management on their items of concern for each building. Executive Staff, Management and I will then review these items and list them from highest priority to lowest priority depending on the safety and security of our tenants and the Capital Fund budgets.

Respectfully Submitted by;



Beth Petty
Capital Fund Coordinator/Accounting Clerk

RESOLUTION 537-2011

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ___ 5-Year and/or X Annual PHA Plan for the PHA fiscal year beginning 7/2011, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or-Very-Low-Income-Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

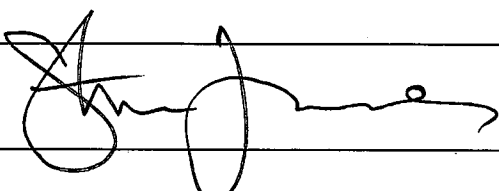
Housing Authority of the City of Marion, IN
PHA Name

IN041
PHA Number/HA Code

____ 5-Year PHA Plan for Fiscal Years 20____ - 20____

X Annual PHA Plan for Fiscal Years 2010 - 2012

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Steve Turner	Title Chairman, Board of Commissioners
Signature 	Date 4/13/2011