

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: <u>Springfield Housing Authority</u> PHA Code: <u>IL004</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>01/2011</u>												
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>926</u> Number of HCV units: <u>1905</u>												
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only												
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)												
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program <table border="1"> <thead> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> </tr> </tbody> </table>	PH	HCV	PHA 1:		PHA 2:		PHA 3:	
PH	HCV												
PHA 1:													
PHA 2:													
PHA 3:													
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.												
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: Attachment aaa												
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. Attachment aab												
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: Attachment b Disposition of obsolete vacant lots that are not a part of the development plan Disposition of Madison Park Place commercial site through long-term lease. Security Policy Revision Attachment aae (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. Springfield Housing Authority, 200 N. 11th Street, Springfield, IL 62703												
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i> Attachment aa												
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.												
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing.												
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.												
8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.												
9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. Attachment aac												

9.1	Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. Attachment aad
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan. Attachment au</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification” Attachment av</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.

a. HOPE VI or Mixed Finance Modernization or Development.

Springfield Housing Authority has submitted a Mixed-Finance Development Plan to HUD Washington, to build 41 units, known as Genesis Place, to replace the units that were demolished in 2006 with the demolition of the Major Byrd Hi-Rise. HUD Washington is reviewing this plan and Springfield Housing Authority is hoping to start building Genesis Place before the end of 2010. The project has been broken down to 5 straight Public Housing units and 36 mixed finance units (total of 41 units). The construction of the 5 units will start October 2010.

b. Demolition and/or Disposition

Springfield Housing Authority contracted with Hurst Rosche Engineers to review the options of renovating or demolishing the Sankey Hi-Rise Towers. Results came back favoring demolition due to the cost comparisons of renovation vs. demolition. SHA will submit a demolition/disposition plan to SAC by 9/30/2010. Sankey Hi-Rise Towers include 216 one bedroom units. The timeline for demolition and redevelopment of this project is 5 – 7 years. The SHA will utilize CFFP, CFP, RHF, and any other available financing to redevelop the property.

Springfield Housing Authority on 9-3-2010 submitted to SAC an application for disposition of the 12 units at 1111 S. 19th Street that were being leased to M.E.R.C.Y Communities for transitional housing for homeless women and children, per HUD’s letter dated February 11, 2009, instructing the SHA to dispose or return units to on-line status.

Springfield Housing Authority will be submitting an application for disposition of Madison Park Place commercial site through a long-term lease. The SHA will further submit a disposition application for obsolete vacant lots that are not included in the neighborhood revitalization and redevelopment plans.

c. Conversion of Public Housing

d. Homeownership

SHA has previously developed homeownership units through Madison Park Place and North Park Place totaling 49 homes. Of these, 9 remain to be sold and are currently leased through an approved 5(h) Homeownership Program.

Through the development of Genesis Place, the SHA will develop 5 Public Housing units and apply to SAC to sell them through an approved Section 32 Homeownership Program.

e. Project-based Vouchers

Springfield Housing Authority has 105 Project Based Vouchers throughout. The breakdown is as follows:

Timberlake Estates	25	Seniors
Union Baptist Plaza	24	Seniors/Near Elderly
Cook Street	19	Individuals
M.E.R.C.Y. Comm.	2	Families
Nehemiah I	4	Families
Haven Apts.	8	Families

New Brook	12	Disabled
Genesis Place	5	Families
Nehemiah II	6	Families

Attachment B

Section 6.0 -- PHA Plan Elements. (24 CFR 903.7)

Instructions:

*For each Element below that **HAS** changed since the last PHA Plan, using the HUD 50075 instructions, enter the “changed” text in column 3.*

*For each Element below that **HAS NOT** changed since the last PHA Plan, enter “No Change” in column 3.*

Housing Authority #	Housing Authority Name	Fiscal Year Begin Date
IL004	Springfield Housing Authority	2010

	Plan Element	Column #3
1.	Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.	No Change
2.	Financial Resources.	No Change
3.	Rent Determination.	No Change
4.	Operation and Management.	No Change
5.	Grievance Procedures.	No Change
6.	Designated Housing for Elderly and Disabled Families.	No Change
7.	Community Service and Self-Sufficiency.	No Change
8.	Safety and Crime Prevention.	Change-Revision in Security Policy Attachment aae
9.	Pets.	No Change
10.	Civil Rights Certification.	No Change
11.	Fiscal Year Audit.	Change – 2009 Audit
12.	Asset Management.	No Change

Attachment B

Section 6.0 -- PHA Plan Elements. (24 CFR 903.7)

13.	Violence Against Women Act (VAWA).	No Change
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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P004501-06 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2006 FFY of Grant Approval: 2006
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 7-13-2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	145,414.00	145,414.00	145,414.00	145,414.00
3	1408 Management Improvements	290,828.00	290,828.00	290,828.00	290,828.00
4	1410 Administration (may not exceed 10% of line 21)	145,414.00	145,414.00	145,414.00	145,414.00
5	1411 Audit	2,500.00	2,500.00	2,500.00	2,500.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	40,000.00	40,000.00	40,000.00	40,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	26,864.50	26,864.50	26,864.50	26,864.50
10	1460 Dwelling Structures	638,785.50	638,785.50	638,785.50	638,785.50
11	1465.1 Dwelling Equipment—Nonexpendable	7,834.00	7,834.00	7,834.00	7,834.00
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	7,200.00	7,200.00	7,200.00	7,200.00
14	1485 Demolition	20,000.00	20,000.00	20,000.00	20,000.00
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	150,000.00	150,000.00	150,000.00	150,000.00

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

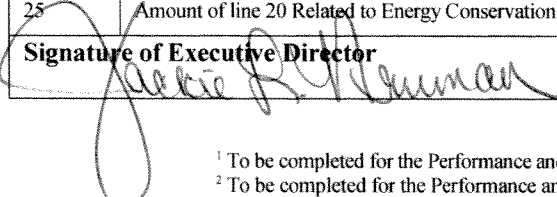
Annual Statement/Performance and Evaluation Report
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U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P004501-06 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2006 FFY of Grant Approval: 2006

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 7-13-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,474,840.00	1,474,840.00	1,474,840.00	1,474,840.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	570,409.64	570,409.64	570,409.64	570,409.64

Signature of Executive Director 	Date 9/28/10	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report.

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³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P004501-06 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	Operations	1406		145,414.00	145,414.00	145,414.00	145,414.00	
	Security Salaries	1408		105,414.00	105,414.00	105,414.00	105,414.00	
	Security Benefits	1408		105,414.00	105,414.00	105,414.00	105,414.00	
	Staff Training/Travel	1408		49,995.99	49,995.99	49,995.99	49,995.99	
	Computer Upgrade	1408		6,102.79	6,102.79	6,102.79	6,102.79	
	Maintenance Agreements	1408		5,000.00	5,000.00	5,000.00	5,000.00	
	Marketing	1408		14,901.22	14,901.22	14,901.22	14,901.22	
	Energy Conservation	1408		4,000.00	4,000.00	4,000.00	4,000.00	
	FM&C Salaries	1410		71,625.90	71,625.90	71,625.90	71,625.90	
	FM&C Benefits	1410		73,788.10	73,788.10	73,788.10	73,788.10	
	Audit	1411		2,500.00	2,500.00	2,500.00	2,500.00	
	A&E Fees and Costs	1430		40,000.00	40,000.00	40,000.00	40,000.00	
AMP 1	Landscape	1450		10,260.00	10,260.00	10,260.00	10,260.00	
	Roof Replacement	1460		227,468.60	227,468.60	227,468.60	227,468.60	
	HVAC	1460		64,426.44	64,426.44	64,426.44	64,426.44	
	Door Jamb Reinforces	1460		2,173.28	2,173.28	2,173.28	2,173.28	
	Vehicle	1475		3,600.00	3,600.00	3,600.00	3,600.00	
AMP 2	Landscape	1450		11,486.00	11,486.00	11,486.00	11,486.00	
	HVAC	1460		48,291.59	48,291.59	48,291.59	48,291.59	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P004501-06 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 2 Continued	Roof Replacement	1460		21,612.40	21,612.40	21,612.40	21,612.40	
	Asbestos Removal	1460		9,450.00	9,450.00	9,450.00	9,450.00	
	Vehicle	1475		3,600.00	3,600.00	3,600.00	3,600.00	
AMP 3	Landscape	1450		5,118.50	5,118.50	5,118.50	5,118.50	
	HVAC Bonansinga	1460		200,776.61	200,776.61	200,776.61	200,776.61	
	Acquisition	1485		20,000.00	20,000.00	20,000.00	20,000.00	
PHA Wide	Re Key Entry Ways	1460		42,407.58	42,407.58	42,407.58	42,407.58	
	Cycle Painting	1460		17,909.00	17,909.00	17,909.00	17,909.00	
	Power Washing	1460		4,270.00	4,270.00	4,270.00	4,270.00	
	Appliances	1465		7,834.00	7,834.00	7,834.00	7,834.00	
	Neighborhood Revitalization	1499		150,000.00	150,000.00	150,000.00	150,000.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2006	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406	7-17-2008	7-17-2008	7-17-2010	7-13-2010	
1408	7-17-2008	7-17-2008	7-17-2010	7-13-2010	
1410	7-17-2008	7-17-2008	7-17-2010	7-13-2010	
1411	7-17-2008	7-17-2008	7-17-2010	7-13-2010	
1430	7-17-2008	7-17-2008	7-17-2010	7-13-2010	
1450	7-17-2008	7-17-2008	7-17-2010	7-13-2010	
1460	7-17-2008	7-17-2008	7-17-2010	7-13-2010	
1465	7-17-2008	7-17-2008	7-17-2010	7-13-2010	
1475	7-17-2008	7-17-2008	7-17-2010	7-13-2010	
1485	7-17-2008	7-17-2008	7-17-2010	7-13-2010	
1499	7-17-2008	7-17-2008	7-17-2010	7-13-2010	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2007 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	158,103.10	158,103.10	158,103.10	158,103.10
3	1408 Management Improvements	316,206.20	316,206.20	316,206.20	312,318.70
4	1410 Administration (may not exceed 10% of line 21)	158,103.10	158,103.10	158,103.10	158,103.10
5	1411 Audit	2,500.00	2,500.00	2,500.00	2,500.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	45,000.00	45,000.00	45,000.00	10,850.56
8	1440 Site Acquisition				
9	1450 Site Improvement	16,781.00	16,781.00	16,781.00	16,781.00
10	1460 Dwelling Structures	699,077.63	699,077.63	699,077.63	699,077.63
11	1465.1 Dwelling Equipment—Nonexpendable	8,204.00	8,204.00	8,204.00	8,204.00
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	27,055.97	27,055.97	27,055.97	27,055.97
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	150,000.00	150,000.00	150,000.00	0.00

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U.S. Department of Housing and Urban Development
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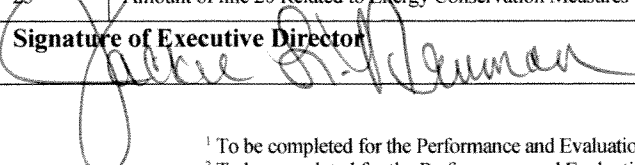
Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2007 FFY of Grant Approval:

Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,581,031.00	1,581,031.00	1,581,031.00	1,392,994.06
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director 	Date 9/28/10	Signature of Public Housing Director	Date
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Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	Operations	1406		158,103.10	158,103.10	158,103.10	158,103.10	
PHA Wide	Marketing	1408		30,000.00	30,000.00	30,000.00	30,000.00	
	Staff Training/Travel	1408		52,306.30	52,306.30	52,306.30	52,306.30	
	Upgrade Computers	1408		110,577.44	110,577.44	110,577.44	110,577.44	
	Preventive Maintenance	1408.		109,947.46	109,947.43	109,947.43	109,947.46	
	Salary Comparability Study	1408		13,375.00	13,375.00	13,375.00	9,487.50	
PHA Wide	FM&C Salaries	1410		79,051.55	79,051.55	79,051.55	79,051.55	
	FM&C Benefits	1410		79,051.55	79,051.55	79,051.55	79,051.55	
PHA Wide	Audit	1411		2,500.00	2,500.00	2,500.00	2,500.00	
PHA Wide	Architectural Fees & Costs	1430		40,050.00	40,050.00	40,050.00	5,900.56	
	Asbestos Study	1430		4,950.00	4,950.00	4,950.00	4,950.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 1	Water Heaters	1460		12,249.40	12,249.40	12,249.40	12,249.40	
	Interior/Exterior Outlets	1460		2,513.96	2,513.96	2,513.96	2,513.96	
	Carbon Monoxide Detectors	1460		9,923.85	9,923.85	9,923.85	9,923.85	
	HVAC Phase 1	1460		51,040.20	51,040.20	51,040.20	51,040.20	
	Replace Siding & Soffits	1460		82,500.00	82,500.00	82,500.00	82,500.00	
	Cycle Painting	1460		12,578.61	12,578.61	12,578.61	12,578.61	
	Latches for Storm Doors	1460		760.00	760.00	760.00	760.00	
	Reseal Parking Areas	1450		6,144.00	6,144.00	6,144.00	6,144.00	
	Stoves & Refrigerators	1465		4,510.00	4,510.00	4,510.00	4,510.00	
AMP 2	Water Heaters	1460		0.00	0.00	0.00	0.00	
	Replace HVAC	1460		53,290.21	53,290.21	53,290.21	53,290.21	
	Interior/Exterior Outlets	1460		0.00	0.00	0.00	0.00	
	Replace Siding/Soffits	1460		47,606.01	47,606.01	47,606.01	47,606.01	
	Carbon Monoxide Detectors	1460		5,871.78	5,871.78	5,871.78	5,871.78	
	Cycle Painting	1460		5,751.97	5,751.97	5,751.97	5,751.97	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 2	AMP 2 Wide Lock Changes	1460		48,921.06	48,921.06	48,921.06	48,921.06	
	Reseal Parking Lots	1450		3,072.00	3,072.00	3,072.00	3,072.00	
	Stove & Refrigerators	1465		3,694.00	3,694.00	3,694.00	3,694.00	
AMP 3	A/C Installation Bonansinga	1460		263,709.24	263,709.24	263,709.24	263,709.24	
	Replace Risers & Shut Off Valves	1460		5,163.00	5,163.00	5,163.00	5,163.00	
	Carbon Monoxide Detectors	1460		23,402.93	23,402.93	23,402.93	23,402.93	
	Cycle Painting	1460		12,741.17	12,741.17	12,741.17	12,741.17	
	Trash Chute Door	1460		960.00	960.00	960.00	960.00	
	Trash Bin Enclosure Bonansinga	1460		19,400.00	19,400.00	19,400.00	0.00	
	Electrical work 1111 S. 19 th Street	1460		1,675.00	1,675.00	1,675.00	1,675.00	
	Mini Blind Installation	1460		11,038.88	11,038.88	11,038.88	11,038.88	
	Clean Sanitize Trash Chutes	1460		5,473.00	5,473.00	5,473.00	5,473.00	
	Bonansinga Lobby Paint	1460		2,695.00	2,695.00	2,695.00	2,695.00	
	ADA Bathroom Hi-Rises	1460		1,400.00	1,400.00	1,400.00	1,400.00	
	Reseal Parking Areas	1450		4,075.00	4,075.00	4,075.00	4,075.00	
	Maintenance Equipment	1475		3,365.74	3,365.74	3,365.74	3,240.84	
AMP 4	Carbon Monoxide Detectors	1460		1,172.00	1,172.00	1,172.00	1,172.00	
AMP 6	Carbon Monoxide Detectors	1460		720.00	720.00	720.00	720.00	

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Part II: Supporting Pages								
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	Reseal Parking Areas	1450		3,490.00	3,490.00	3,490.00	3,490.00	
	Conference Room Painting	1460		13,544.25	13,544.25	13,544.25	13,544.25	
	Lock Changes	1460		2,976.11	2,976.11	2,976.11	0.00	
	Vehicle Replacement	1475		23,690.23	23,690.23	23,690.23	23,690.23	
	Neighborhood Revitalization	1499		150,000.00	150,000.00	150,000.00	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2007	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406	9-12-2009	9-12-2009	9-12-2011		
1408	9-12-2009	9-12-2009	9-12-2011		
1410	9-12-2009	9-12-2009	9-12-2011		
1411	9-12-2009	9-12-2009	9-12-2011		
1430	9-12-2009	9-12-2009	9-12-2011		
1450	9-12-2009	9-12-2009	9-12-2011		
1460	9-12-2009	9-12-2009	9-12-2011		
1465	9-12-2009	9-12-2009	9-12-2011		
1475	9-12-2009	9-12-2009	9-12-2011		
1499	9-12-2009	9-12-2009	9-12-2011		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P00450108 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2008 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:7-12-2010)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	148,932.00	148,932.00	148,932.00	148,932.00
3	1408 Management Improvements	30,000.00	30,000.00	29,859.36	29,859.36
4	1410 Administration (may not exceed 10% of line 21)	121,184.11	121,184.11	121,184.11	121,184.11
5	1411 Audit	2,500.00	2,500.00	2,500.00	2,500.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	55,000.00	55,000.00	9,188.96	9,188.96
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	85,000.00	84,190.15	80,411.15	80,411.15
10	1460 Dwelling Structures	791,696.17	796,872.02	763,567.77	763,567.77
11	1465.1 Dwelling Equipment—Nonexpendable	0.00	0.00	0.00	0.00
12	1470 Non-dwelling Structures	30,000.00	25,634.00	25,634.00	25,634.00
13	1475 Non-dwelling Equipment	75,013.72	75,013.72	74,931.04	74,931.04
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
16	1495.1 Relocation Costs	0.00	0.00	0.00	0.00
17	1499 Development Activities ⁴	150,000.00	150,000.00	150,000.00	0.00

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

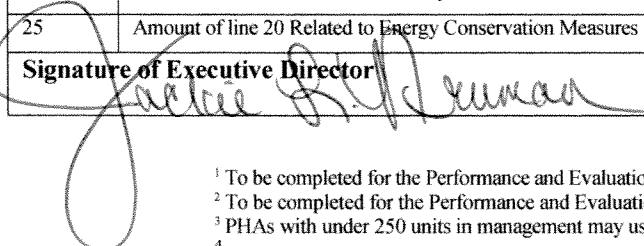
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P00450108 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2008 FFY of Grant Approval:
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Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0.00	0.00	0.00	0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0.00	0.00	0.00	0.00
19	1502 Contingency (may not exceed 8% of line 20)	0.00	0.00	0.00	0.00
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,489,326.00	1,489,326.00	1,272,909.78	1,122,909.78
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director 	Date 9/28/10	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P00450108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	Operations	1406		148,932.00		148,932.00	148,932.00	
	TOTAL 1406	1406		148,932.00		148,932.00	148,932.00	
PHA Wide	Marketing	1408		10,000.00		1,120.24	1,120.24	
	Preventive Maintenance	1408		0.00		0.00	0.00	
	Upgrade Computer Systems	1408		20,000.00		20,000.00	20,000.00	
	TOTAL 1408	1408		30,000.00		21,120.24	21,120.24	
PHA Wide	FM&C Salaries	1410		70,000.00		70,000.00	70,000.00	
	FM&C Benefits	1410		51,184.11		51,184.11	51,184.11	
	TOTAL 1410	1410		121,184.11		121,184.11	121,184.11	
PHA Wide	Audit	1411		2,500.00		1,500.00	1,500.00	
	TOTAL 1411	1411		2,500.00		1,500.00	1,500.00	
PHA Wide	Fees and Costs	1430		40,000.00	48,290.50	560.68	560.68	
	Asbestos Study	1430		15,000.00	6,709.50	4,950.00	4,950.00	
	TOTAL 1430	1430		55,000.00		5,510.68	5,510.68	
PHA Wide	Computer Hardware	1475		20,000.00	20,759.66	0.00	0.00	
	Non Passenger Vehicle	1475		20,000.00	19,240.34	12,680.46	12,680.46	
	TOTAL 1475	1475		40,000.00		12,680.46	12,680.46	
PHA Wide	Vacant Unit Painting	1460		0.00	53,361.35	53,361.35	53,361.35	
AMP I	Landscape	1450		10,000.00	10,616.23	10,616.23	10,616.23	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P00450108 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 1	Playground Equipment	1475		0.00	26,960.56	26,960.56	26,960.56	
	Smoke Detectors	1460		36,600.00	9,550.00	9,550.00	9,550.00	
	Roof Replacements	1460		160,000.00	171,008.70	171,008.70	171,008.70	
	Power Washing	1460		0.00	690.00	690.00	690.00	
AMP 2	Sidewalks/Landscape	1450		25,000.00	25,000.00	25,000.00	25,000.00	
	Power Wash	1460		0.00	10,310.00	10,310.00	10,310.00	
	Smoke Detectors	1460		9,000.00	4,431.20	4,431.20	4,431.20	
	Siding/Stanton Ave/ Seven Pines	1460		100,000.00	68,940.71	36,857.70	36,857.70	
	Lock Changes	1460		0.00	20,059.29	20,059.29	20,059.29	
	Playground Equipment	1475		0.00	8,053.16	8,053.16	8,053.16	
AMP 3	Landscape/Parking Lots	1450		50,000.00	48,573.92	48,573.92	48,573.92	
	Sprinkler System	1460			5,090.08	5,090.08	5,090.08	
	Smoke Detectors	1460		45,000.00	0.00	0.00	0.00	
	HVAC/Bonansinga	1460		421,096.17	365,869.89	365,869.89	365,869.89	
	Plumbing LInes	1460		20,000.00	87,560.80	86,339.56	86,339.56	
	Exit Signage All Hi Rises	1470		30,000.00	3,574.00	3,574.00	3,574.00	
	Abate Maint Room Bonansinga	1470		0.00	22,060.00	22,060.00	22,060.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2008	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406 Operations	6-13-2010		6-12-2012		
1408 Management	6-13-2010		6-12-2012		
1410 Administration	6-13-2010		6-12-2012		
1411 Audit	6-13-2010		6-12-2012		
1430 Fees & Costs	6-13-2010		6-12-2012		
1450 Site Improvement	6-13-2010		6-12-2012		
1460 Dwelling Structure	6-13-2010		6-12-2012		
1465.1 Dwelling Equipment Non Expendable	6-13-2010		6-12-2012		
1475 Non Dwelling Equipment	6-13-2010		6-12-2012		
1499 Development Activities	6-13-2010		6-12-2012		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary	
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P00450109 Replacement Housing Factor Grant No: Date of CFFP:
	FFY of Grant: 2009 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	200,000.00	200,000.00	200,000.00	200,000.00
3	1408 Management Improvements	75,000.00	75,000.00	69,424.73	69,424.73
4	1410 Administration (may not exceed 10% of line 21)	147,693.00	147,693.00	81,011.82	81,011.82
5	1411 Audit	3,000.00	3,000.00	100.00	100.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	67,507.00	67,507.00	0.00	0.00
8	1440 Site Acquisition				
9	1450 Site Improvement	15,500.00	15,550.00	5,603.80	5,603.80
10	1460 Dwelling Structures	386,072.74	386,072.74	247,725.55	211,375.55
11	1465.1 Dwelling Equipment—Nonexpendable	63,294.76	63,294.76	60,210.71	60,210.71
12	1470 Non-dwelling Structures	26,000.00	26,000.00	25,572.14	25,572.14
13	1475 Non-dwelling Equipment	79,062.50	79,062.50	78,899.00	78,899.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	413,750.00	413,750.00	0.00	0.00

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

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⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P00450109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2009 FFY of Grant Approval:

Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	0.00	0.00		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,476,930.00	1,476,930.00	768,547.75	732,197.75
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	358.50			
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	589,560.00			

Signature of Executive Director <i>Jackie R. Newman</i>	Date 9/28/10	Signature of Public Housing Director	Date
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³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P00450109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 1	Appliances	1465.1	37	24,000.00	24,000.00	20,915.95	20,915.95	
	Landscape/Parking Areas/Fences	1450		5,000.00	9,946.20	5,500.00	5,500.00	
	Final Phase HVAC	1460	21	30,000.00	52,326.36	0.00	0.00	
	Roof Replacements	1460	10	45,000.00	21,588.64	21,588.64	21,588.64	
	HVAC	1460	40	4,534.00	4,534.00	4,534.00	4,534.00	
	Flush Valves	1460	342	2,718.40	2,718.40	2,264.20	0.00	
	Safe T Elements for Electric Ranges	1460	142	1,000.00	2,085.00	2,085.00	0.00	
	Locks on HVAC Doors Brandon	1460	76	3,731.60	3,731.60	0.00	0.00	
	Installation of Playground Equipment	1470	1	18,378.75	18,378.75	18,378.75	18,378.75	
AMP 2	Appliances	1465.1	37	20,789.76	20,789.76	20,789.76	20,789.76	
	Landscape	1450		5,000.00	5,630.80	5,630.80	5,630.80	
	Roof Replacements	1460	11	60,000.00	76,150.22	75,000.22	56,150.22	
	Entry Doors	1460	40	20,000.00	0.00	0.00	0.00	
	Safe T Elements for Electric Ranges	1460	114	8,000.00	6,060.02	4,992.00	4,992.00	
	Flush Valves	1460	142	5,000.00	5,000.00	0.00	0.00	
	Installation of Playground Equipment	1470	1	7,621.25	7,621.25	7,193.39	7,193.39	
	Vehicle for AMP Manager	1475	1	18,871.50	18,871.50	17,716.20	17,716.20	
PHA Wide	Development Activities	1499	413,750.00	413,750.00	413,750.00	0.00	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P00450109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 3	Hose Valve Connectsion	1460		0.00	3,394.00	0.00	0.00	
	Paint Hallways-Bonansinga	1460		0.00	34,500.00	34,500.00	34,500.00	
	HVAC	1460		150,000.00	152,987.35	90,551.39	90,551.39	
	Section 504 Compliance	1460		358.50	358.50	358.50	358.50	
	Safe T Elements for Electric Ranges	1460	372	14,000.00	14,000.00	14,000.00	2,499.80	
	Flush Valves	1460	372	13,020.00	13,020.00	0.00	0.00	
	Window Blinds	1460	12,000	10,500.00	0.00	0.00	0.00	
	Appliances	1465.1	15	18,505.00	18,505.00	18,505.00	18,505.00	
	Vehicle for AMP Manager	1475	1	17,934.00	17,934.00	17,934.00	17,934.00	
PHA WIDE	4 x 4 Snow Removal Vehicle/Const Mgr	1475	2	42,257.00	42,257.00	42,257.00	42,257.00	
	Operations	1406		200,000.00	200,000.00	200,000.00	200,000.00	
	Staff Training	1408		25,000.00	25,000.00	24,441.03	24,441.03	
	Marketing	1408		10,000.00	10,000.00	9,195.59	9,195.59	
	Preventive Maintenance	1408		15,000.00	15,000.00	197.71	197.71	
	Computer Improvements	1408		25,000.00	25,000.00	25,000.00	25,000.00	
	Administration	1410		147,693.00	147,693.00	147,693.00	147,693.00	
	Audit	1411		3,000.00	3,000.00	100.00	100.00	
	Fees and Costs	1430		25,000.00	52,507.00	0.00	0.00	
	Asbestos	1430		25,000.00	15,000.00	4,725.00	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406	9-14-2011		9-14-2013		
1408	9-14-2011		9-14-2013		
1410	9-14-2011		9-14-2013		
1411	9-14-2011		9-14-2013		
1430	9-14-2011		9-14-2013		
1450	9-14-2011		9-14-2013		
1460	9-14-2011		9-14-2013		
1465.1	9-14-2011		9-14-2013		
1470	9-14-2011		9-14-2013		
1475	9-14-2011		9-14-2013		
1495	9-14-2011		9-14-2013		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06S00450109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 ARRA FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	200,000.00	200,000.00	200,000.00	188,916.71
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	93,081.64	93,081.64	93,081.64	93,081.64
8	1440 Site Acquisition				
9	1450 Site Improvement	66,693.00	64,885.00	64,885.00	64,885.00
10	1460 Dwelling Structures	1,559,113.36	1,560,921.36	1,560,921.36	1,080,592.02
11	1465.1 Dwelling Equipment—Nonexpendable	101,819.00	101,819.00	101,819.00	4,947.18
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	0.00	0.00	0.00	0.00

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

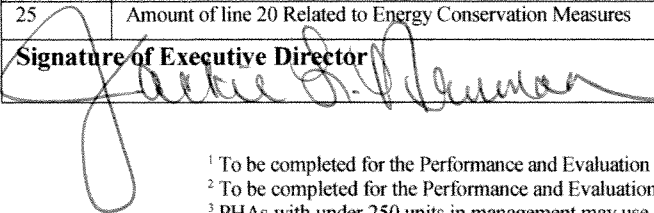
Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: 20Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06S00450109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 ARA FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no: 4)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	2,020,707.00	2,020,707.00	2,020,707.00	1,433,022.55
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director 	Date 9/28/10	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06S00450109 CFFP (Yes/ No): NO Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009 ARRA		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	Administration	1410		200,000.00	200,000.00	200,000.00	188,916.71	
	Physical Needs Assessment	1430		2,426.64	2,426.64	2,426.64	2,426.64	
AMP 1	HVAC Scattered Sites	1460	29	121,620.25	121,620.25	121,620.25	67,211.26	
	Electrical Upgrade-Brandon	1460	76	52,652.43	52,652.43	52,652.43	52,652.43	
	Security Screen Doors/Front and Back	1460	152	47,761.63	47,761.63	47,761.63	37,552.20	
	Flush Valves - All AMP 1	1460		6,585.00	6,585.00	6,585.00	6,585.00	
	Safe T Elements All AMP 1	1460		420.00	420.00	420.00	420.00	
	Vinyl Siding Brandon	1460	76	208,572.49	208,572.49	208,572.49	208,572.49	
	HVAC for Brandon	1460	76	324,895.24	324,895.24	324,895.24	172,203.24	
	Security Equipment Brandon	1465		40,000.00	40,000.00	40,000.00	0.00	
	Vinyl Siding/Windows/Doors	1460	22	242,900.00	242,900.00	242,900.00	30.08	
AMP 2	Electrical Upgrades Johnson Park	1460	56	56,436.00	56,436.00	56,436.00	56,436.00	
	HVAC	1460		230,135.96	230,135.96	230,135.96	230,135.96	
	Flush Valves All AMP 2	1460		4,390.00	4,390.00	4,390.00	4,390.00	
	Safe T Elements All AMP 2	1460		3,990.00	3,990.00	3,990.00	3,990.00	
	Parking Lot - Stanton Ave	1465		0.00	0.00	0.00	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06S00450109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009 ARRA		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 3	ADA Bathrooms -Hi Rises	1460	3	72,800.00	72,800.00	72,800.00	72,800.00	
	Flush Valves All AMP 3	1460		10,975.00	10,975.00	10,975.00	10,975.00	
	Safe T Elements All AMP 3	1460		21,900.00	21,900.00	21,900.00	21,900.00	
	Electrical Upgrade Hilde/Bonansinga	1460		81,336.72	81,336.72	81,336.72	81,336.72	
	Generator for Bonansinga	1460		42,583.00	42,583.00	42,583.00	19,374.00	
	Mini Blinds High Rises	1460		10,500.00	10,500.00	10,500.00	10,500.00	
	Lock Changes Units Bonansinga	1460	100	20,467.64	20,467.64	20,467.64	20,467.64	
	Upgrade parking Lots Hilde/Bonansinga	1450		66,693.00	64,885.00	64,885.00	64,885.00	
	A&E Servies -Sankey	1430		90,655.00	90,655.00	90,655.00	90,655.00	
	Security Equipment Hilde/Bonansinga	1465		61,819.00	61,819.00	61,819.00	0.00	
Major Byrd Redevelopment	Noise Attenuation Genesis Place	1499		0.00	0.00	0.00	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2009 ARRA	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1408	3-19-2010	3-3-2010	3-19-2012		
1430	3-19-2010	3-3-2010	3-19-2012		
1450	3-19-2010	3-3-2010	3-19-2012		
1460	3-19-2010	3-3-2010	3-19-2012		
1465.1	3-19-2010	3-3-2010	3-19-2012		
1499	3-19-2010	3-3-2010	3-19-2012		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P004501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	200,000.00			
3	1408 Management Improvements	47,000.00			
4	1410 Administration (may not exceed 10% of line 21)	147,194.80			
5	1411 Audit	3,000.00			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	95,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	120,000.00			
10	1460 Dwelling Structures	364,753.20			
11	1465.1 Dwelling Equipment—Nonexpendable	83,000.00			
12	1470 Non-dwelling Structures	80,000.00			
13	1475 Non-dwelling Equipment	84,000.00			
14	1485 Demolition	200,000.00			
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

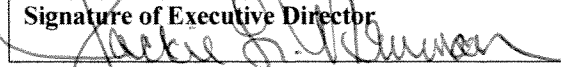
Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P004501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2010 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	48,000.00			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,471,948.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	214,753.20			

Signature of Executive Director: 	Date: 9/28/10	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P004501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	Operations	1406		200,000.00				
	Marketing/Advertisement/E-Procurement	1408		12,000.00				
	Upgrade Computers	1408		10,000.00				
	Staff Training/Travel	1408		25,000.00				
	FM&C Salaries/Benefits	1410		147,194.80				
	Audit	1411		3,000.00				
	Fees & Costs	1430		20,000.00				
	Asbestos Services	1430		15,000.00				
	Vehicle Purchase	1475	2	64,000.00				
	Demolition	1485 Sankey		200,000.00				
	Contengincy	1502		50,000.00				
AMP 1	Parking Lot	1450 Brandon		95,000.00				
	Unit Rehab	1460 Walnut Va	1	50,000.00				
	HVAC Replacement	1460 Scattered	8	34,753.20				
	Appliances/Security Equipment	1465		22,000.00				
	Renovation #9 Brandon	1470	1	80,000.00				
	AMP Vehicle	1475	1	10,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P004501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 2	Driveways/Landscape	1450 JP	56	15,000.00				
	All Outside Doors	1460 Stanton	12	10,000.00				
	Closet Doors	1460 Stanton		35,000.00				
	Appliances	1465	25	10,000.00				
AMP 3	A&E Services	1430 Sankey		60,000.00				
	Fencing	1450 Hildebrand		10,000.00				
	Renovation Public Restrooms	1460 Bonansinga	2	20,000.00				
	Window Replacement	1460 Hildebrand	350	215,000.00				
	Appliances/Security Equipment	1465		51,000.00				
	Vehicle Purchase	1475	1	10,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2010	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406	7-14-2012		7-14-2014		
1408	7-14-2012		7-14-2014		
1410	7-14-2012		7-14-2014		
1411	7-14-2012		7-14-2014		
1430	7-14-2012		7-14-2014		
1450	7-14-2012		7-14-2014		
1460	7-14-2012		7-14-2014		
1465	7-14-2012		7-14-2014		
1470	7-14-2012		7-14-2014		
1475	7-14-2012		7-14-2014		
1485	7-14-2012		7-14-2014		
1499	7-14-2012		7-14-2014		
1502	7-14-2012		7-14-2014		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P004501-11 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2011 FFY of Grant Approval: 2011	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³	200,000.00				
3	1408 Management Improvements	70,000.00				
4	1410 Administration (may not exceed 10% of line 21)	150,000.00				
5	1411 Audit	3,500.00				
6	1415 Liquidated Damages					
7	1430 Fees and Costs	250,000.00				
8	1440 Site Acquisition					
9	1450 Site Improvement	215,000.00				
10	1460 Dwelling Structures	1,626,000.00				
11	1465.1 Dwelling Equipment—Nonexpendable	90,000.00				
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment	80,000.00				
14	1485 Demolition	500,000.00				
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs	50,000.00				
17	1499 Development Activities ⁴	150,000.00				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P004501-11 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2011 FFY of Grant Approval: 2011

Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no:)**
 Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	3,384,500.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	220,000.00			
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	1,026,000.00			

Signature of Executive Director <i>Jackie R. Brunson</i>	Date 9/28/10	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P004501-11 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	Operations	1406		200,000.00				
	Marketing/Advertisement	1408		15,000.00				
	Upgrade Computers	1408		30,000.00				
	Staff Training/Travel	1408		25,000.00				
	FM&C Salaries/Benefits	1410		150,000.00				
	Audit	1411		3,500.00				
	Fees & Costs/Physical Needs Assesment	1430		200,000.00				
	Asbestos Services	1430		50,000.00				
	ADA Compliance	1460		100,000.00				
	Vehicle Purchase	1475		40,000.00				
	Contengency	1502						
	HVAC for Support Services Building	1460		50,000.00				
AMP 1	Parking Lots	1450	4	40,000.00				
	Landscape/Sidewalks/Fences	1450	Brandon	30,000.00				
	Tree Maintenance	1450	Brandon	20,000.00				
	Roof Replacements	1460	Linconlwood	75,000.00				
	Windows Brandon 15	1460		6,000.00				
	Rehab/ADA Building 9	1470		60,000.00				
	Power Washing	1460		20,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P004501-11 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 1 Continued	Siding/Windows/Doors	1460		200,000.00				
	Vacant Unit Painting	1460		30,000.00				
	Appliances	1465		40,000.00				
	AMP Vehicle	1475		20,000.00				
AMP 2	Parking Lots	1450	2	25,000.00				
	Trees/Landscape/Fences	1450	amp wide	20,000.00				
	Outside Lighting	1450	56	10,000.00				
	New Closet Doors	1460	98	40,000.00				
	Dryer Vents Replaced	1460	gaine mill	20,000.00				
	Bathroom Upgrades	1460		60,000.00				
	Roof Replacement	1460	JP	75,000.00				
	Vinyl Siding/Powerwashing	1460	amp wide	120,000.00				
	Appliances	1465		20,000.00				
	Sheds/Mailboxes	1470		20,000.00				
AMP 3	Parking Lots	1450	Hi-Rises	50,000.00				
	Tree Maint/Landscape/Fence	1450	Hi-Rises	20,000.00				
	Paint/Caulk Exterior	1460	Hi Rises	100,000.00				
	Section 504 Compliance	1460	Hi-Rises	100,000.00				
	Roof Work	1460	Hi Rises	200,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P004501-11 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 3 Continued	Upgrade Entry Way Bonansinga	1460		400,000.00				
	Vacant Unit Painting	1460	As needed	30,000.00				
	Appliances	1465		30,000.00				
	AMP Vehicle	1475		20,000.00				
	Demoliton for Sankey	1485		500,000.00				
	Relocation for Sankey	1495		50,000.00				
	Mod Use for Development	1499		150,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-03 Date of CFFP:	FFY of Grant: 2003 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	643,611.00	643,611.00	294,141.71	294,141.71

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011


Part I: Summary	
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-03 Date of CFFP:
FFY of Grant:2003 FFY of Grant Approval:	

Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: 6-30-2010
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	643,611.00	643,611.00	294,141.71	294,141.71
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director 	Date 9/28/10	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: IL06R004502-03			Federal FFY of Grant: 2003		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
1499	Development Activities			643,611.00	643,611.00	294,141.71	294,141.71	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2003	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1499	1-9-2011		1-9-2013		Per HUD letter dated 7-16-2010 approval of date extension

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-04 Date of CFFP:	FFY of Grant: 2004 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	753,143.00	753,143.00	0.00	0.00

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

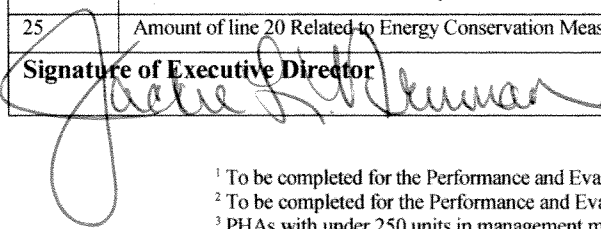
Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-04 Date of CFFP:	FFY of Grant:2004 FFY of Grant Approval:

Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	753,143.00	753,143.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director 	Date 9/28/10	Signature of Public Housing Director	Date
---	------------------------	---	-------------

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: IL06R004502-04	Federal FFY of Grant: 2004
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Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
1499	Development Activities			753,143.00	753,143.00	0.00	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2004	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1499	1-9-2011		1-9-2013		Per HUD letter dated 7-16-2010 approval of date extension.

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-05 Date of CFFP:			FFY of Grant: 2005 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)					
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures					
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴	901,100.00	901,100.00	0.00	0.00	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

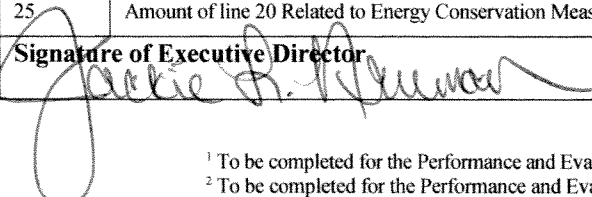
Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-05 Date of CFFP:	FFY of Grant:2005 FFY of Grant Approval:

Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	901,100.00	901,100.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director 	Date 9/28/10	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: IL06R004502-05			Federal FFY of Grant: 2005		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
1499	Development Activities			901,100.00	901,100.00	0.00	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2005	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1499	1-9-2011		1-9-2013		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-06 Date of CFFP:	FFY of Grant: 2006 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	1,925.00	1,925.00	0.00	0.00

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

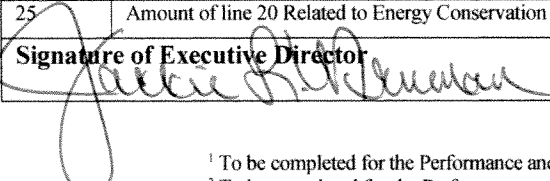
Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-06 Date of CFFP:	FFY of Grant:2006 FFY of Grant Approval:

Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,925.00	1,925.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director 	Date 9/28/10	Signature of Public Housing Director	Date
---	------------------------	---	-------------

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: IL06R004501-06	Federal FFY of Grant: 2006
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Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
1499	Development Activities			1,925.00	1,925.00	0.00	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2006	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1499	10-29-2012		7-30-2014		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-06 Date of CFFP:	FFY of Grant: 2006 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	754,325.00	754,325.00	0.00	0.00

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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 Expires 4/30/2011

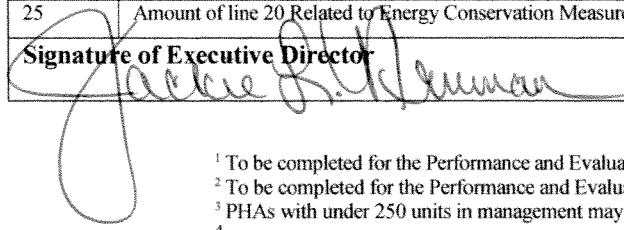
Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-06 Date of CFFP:	FFY of Grant:2006 FFY of Grant Approval:

Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	754,325.00	754,325.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director 	Date 9/28/10	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: IL06R004502-06			Federal FFY of Grant: 2006			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
1499	Development Activities			754,325.00	754,325.00	0.00	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2006	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1499	1-9-2011		1-9-2013		As per HUD Letter 7-16-2010 Approval of Date Extension.

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-07 Date of CFFP:	FFY of Grant: 2007 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	1,985.00	1,985.00	0.00	0.00

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

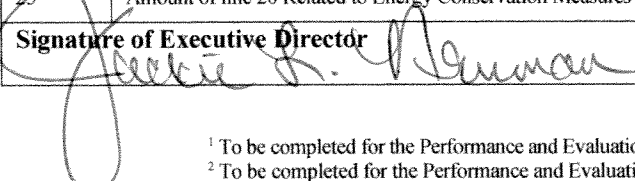
Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-07 Date of CFFP:	FFY of Grant:2007 FFY of Grant Approval:

Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,985.00	1,985.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director 	Date 9/28/10	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-07 Date of CFFP:	FFY of Grant: 2007 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	733,364.00	733,364.00	0.00	0.00

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-07 Date of CFFP:	FFY of Grant:2007 FFY of Grant Approval:

Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	733,364.00	733,364.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director 	Date 9/28/10	Signature of Public Housing Director	Date
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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
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⁴ RHF funds shall be included here.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2007	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1499	1-9-2011		1-9-2013		Per HUD letter of 7-16-2010 approval of date extension.

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-08 Date of CFFP:	FFY of Grant: 2008 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	107,058.00	107,058.00	0.00	0.00

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

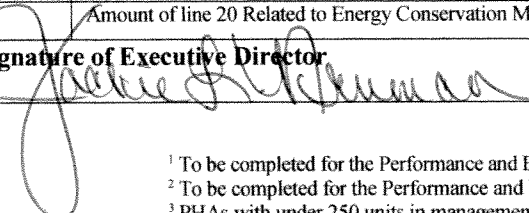
Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-08 Date of CFFP:	FFY of Grant:2008 FFY of Grant Approval:

Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	107,058.00	107,058.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director 	Date 9/28/10	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: IL06R004501-08			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
1499	Development Activities			107,058.00	107,058.00	0.00	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2008	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1499	10-29-2012		10-29-2014		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-09 Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)					
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures					
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴	114,260.00	114,260.00	0.00	0.00	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011


Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-09 Date of CFEP:	FFY of Grant:2009 FFY of Grant Approval:

Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: 6-30-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	114,260.00	114,260.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director 	Date 9/28/10	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: IL06R004501-09			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
1499	Development Activities			114,260.00	114,260.00	0.00	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1499	12-14-2011		12-14-2013		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: H06R004501-10 Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	113,891.00			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

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⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-10 Date of CFFP:	FFY of Grant:2010 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	113,891.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director <i>Jackie R. Newman</i>	Date 9/28/10	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: IL06P004501-10			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 3	Demolish and rebuild Sankey Hi-Rise	1499		113,891.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2010	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1499	7-14-2012		7-14-2014		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary						
PHA Name/Number Springfield Housing Authority IL004		Locality (City/County & State) Springfield, Sangamon, IL			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 <u>FFY 2011</u>	Work Statement for Year 2 <u>FFY 2012</u>	Work Statement for Year 3 <u>FFY 2013</u>	Work Statement for Year 4 <u>FFY 2014</u>	Work Statement for Year 5 <u>FFY 2015</u>
B.	Physical Improvements Subtotal	Annual Statement	2,530,000.00	2,455,000.00	2,860,000.00	2,690,000.00
C.	Management Improvements		170,000.00	180,000.00	200,000.00	220,000.00
D.	PHA-Wide Non-dwelling Structures and Equipment		80,000.00	80,000.00	55,000.00	55,000.00
E.	Administration		210,000.00	215,000.00	220,000.00	225,000.00
F.	Other		300,000.00	600,000.00	590,000.00	510,000.00
G.	Operations		210,000.00	220,000.00	230,000.00	240,000.00
H.	Demolition		500,000.00	500,000.00	300,000.00	300,000.00
I.	Development		300,000.00	300,000.00	300,000.00	300,000.00
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		4,300,000.00	4,550,000.00	4,755,000.00	4,540,000.00
L.	Total Non-CFP Funds					
M.	Grand Total		4,300,000.00	4,550,000.00	4,755,000.00	4,540,000.00

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2011	Work Statement for Year <u>2012</u>			Work Statement for Year: <u>2013</u>		
		<u>FFY 2012</u>		<u>FFY 2013</u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	AMP 1			AMP 1		
Annual Statement	Paving	4 Lots	50,000.00	Paving	4 Lots	50,000.00
	Tree/Landscape/Fences	AMP wide as needed	50,000.00	Tree/Landscape/Fence	AMP wide as needed	50,000.00
	Outside Lighting	Brandon Court	30,000.00	Outside Lighting	Brandon Court	30,000.00
	Roofs	Lincoln Wood	100,000.00	Roofs	Johnson Park	100,000.00
	Siding/Windows/Doors	20	200,000.00	HVAC	16	150,000.00
	AMP Lock Change	AMP Wide	100,000.00	Kitchen/Bath Upgrades	40	200,000.00
	HVAC	16	75,000.00	ADA Compliance	AMP Wide	100,000.00
	Appliances	100	50,000.00	Siding/Windows/Doors	20	200,000.00
	Rehab Basketball Court	Brandon Court	25,000.00	AMP Lock Change	AMP Wide	100,000.00
	ADA Compliance	AMP Wide	100,000.00	Vacant Unit Painting	AMP wide as needed	50,000.00
	Vacant Unit Painting	AMP wide as needed	50,000.00	Power Washing	AMP Wide as needed	30,000.00
	Power Washing	AMP wide as needed	30,000.00	Appliances	100	50,000.00
	AMP 2			Vehicle	1	25,000.00
	Tree/Landscape/Fence	AMP wide as needed	25,000.00	AMP 2		
	Paving/Sidewalks	AMP wide as needed	25,000.00	Tree/Landscape/Fence	AMP wide as needed	25,000.00
	Outside Lighting	Johnson Park	30,000.00	Paving/Sidewalks	AMP wide as needed	25,000.00
	Door Bells	Johnson Park	20,000.00	Bathroom Upgrades	30	150,000.00
	Kitchen Upgrade	30	150,000.00	Kitchen Upgrades	30	150,000.00
	Roofs	22	100,000.00	Siding/Windows/Doors	15	150,000.00
	Siding/Windows/Doors	15	150,000.00	ADA Compliance	AMP Wide	100,000.00
	ADA Compliance	AMP Wide	100,000.00	Vacant Unit Painting	AMP wide as needed	25,000.00
	Vacant Unit Painting	AMP wide as needed	25,000.00	Power Washing	AMP wide as needed	15,000.00
	Appliances	50	25,000.00	Appliances	50	25,000.00
	Power Washing	AMP wide as needed	15,000.00	Vehicle	1	25,000.00
	Subtotal of Estimated Cost		\$1,525,000.00	Subtotal of Estimated Cost		\$1,825,000.00

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2011	Work Statement for Year <u>2014</u> FFY <u>2014</u>		Work Statement for Year: <u>2015</u> FFY <u>2015</u>	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	AMP 1		AMP 1	
Annual Statement	Parking Areas	50,000.00	Parking Areas	50,000.00
	Tree/Landscape/Fence	50,000.00	Tree/Landscape/Fence	50,000.00
	Outside Lighting	30,000.00	Outside Lighting	30,000.00
	HVAC	100,000.00	HVAC	150,000.00
	Roof Replacement	100,000.00	Roof Replacements	150,000.00
	Kitchen Upgrades	300,000.00	ADA Compliance	100,000.00
	Bathroom Upgrades	300,000.00	Electrical Upgrades	200,000.00
	Vacant Unit Painting	45,000.00	New Windows	100,000.00
	Powerwashing	30,000.00	Powerwashing	30,000.00
	ADA Compliance	100,000.00	Vacant Unit Painting	45,000.00
	Siding/Windows/Doors	200,000.00	Siding/Windows/Doors	200,000.00
	Appliances	50,000.00	Appliances	50,000.00
	Vehicle	25,000.00	Vehicle	25,000.00
	AMP 2		AMP 2	
	Parking Areas	30,000.00	Parking Areas	30,000.00
	Tree/Landscape/Fence	30,000.00	Tree/Landscape/Fence	30,000.00
	Bathroom/Kitchen Upgrades	500,000.00	Bathroom/Kitchen Upgrades	500,000.00
	Roof Replacement	100,000.00	Roof Replacement	100,000.00
	Vinyl Siding/Windows/Doors	100,000.00	Vinyl Siding/Windows/Doors	100,000.00
	Power Washing	20,000.00	Power Washing	20,000.00
	Vacant Unit Painting	20,000.00	Vacant Unit Painting	20,000.00
	ADA Compliance	100,000.00	ADA Compliance	100,000.00
	HVAC	100,000.00	HVAC	100,000.00
	Appliances	30,000.00	Appliances	30,000.00
	Subtotal of Estimated Cost	\$2,410,000.00	Subtotal of Estimated Cost	\$2,210,000.00

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2011	Work Statement for Year <u>2014</u> FFY <u>2014</u>		Work Statement for Year: <u>2015</u> FFY <u>2015</u>	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	AMP 3		AMP 3	
Annual Statement	Parking Areas	50,000.00	Parking Areas	50,000.00
	Landscape/Fence/Trees	25,000.00	Landscape/Fence Trees	25,000.00
	Upgrade Laundry Rooms	30,000.00	Upgrade Laundry Rooms	30,000.00
	ADA Compliance	100,000.00	ADA Compliance	100,000.00
	Roofs	200,000.00	Roofs	200,000.00
	Vacant Unit Painting	30,000.00		
	Kitchen/Bath Upgrades	150,000.00	Kitchen/Bath Upgrades	150,000.00
	Appliances	30,000.00	Appliances	30,000.00
	Vehicle	25,000.00	Vehicle	25,000.00
			Vacant Unit Painting	30,000.00
	PHA Wide		PHA Wide	
	Asbestos Abatement	100,000.00	Asbestos Abatement	100,000.00
	A&E Services	200,000.00	A&E Services	200,000.00
	Equipment	30,000.00	Equipment	30,000.00
	Vehicle Purchase	25,000.00	Vehicle Purchase	25,000.00
	Demolition	300,000.00	Demolition	300,000.00
	Mod use for Development	300,000.00	Mod use for Development	300,000.00
			Energy Audit	50,000.00
	Subtotal of Estimated Cost	\$1,595,000.00	Subtotal of Estimated Cost	\$1,645,000.00

10 (a) Progress in Meeting Mission and Goals:

The Springfield Housing Authority is working diligently to meet the mission and goals described in the 5-Year Plan by applying for additional Housing Choice Vouchers as available, reducing vacancies within Public Housing and constructing new affordable housing units for those most in need in the Springfield community. The SHA will improve the quality of low-rent public housing through modernization updates, by pursuing the disposition/demolition of aged hi-rise buildings and constructing new affordable housing units utilizing public and private funding sources. Specifically, the SHA is embarking on the construction of 41 new units named Genesis Place in place of the former Major Byrd Hi-Rise. Further, the SHA will seek public and private resources as available to address the distressed Sankey Hi-Rise and develop new affordable housing targeted for the aging baby boomer population within the Springfield community. The SHA will continue to conduct outreach efforts to potential voucher landlords to reduce the number of voucher holders in minority and poverty impacted areas while increasing housing options available to voucher holders. Additionally, the SHA will continue to expand its Section 8 Home Ownership Program.

Springfield Housing Authority submitted an application for disposition on 9-3-2010 to SAC for 12 units at 1111 S. 19th Street, currently being leased by M.E.R.C.Y Communities for transitional housing for homeless women with children.

The Springfield Housing Authority continues to network and collaborate with the City of Springfield, the Heartland Continuum of Care and various social service providers to expand affordable housing within the community in accordance with the City's Consolidated Plan.

Public housing security improvements will be undertaken among all public housing developments. The SHA also plans to submit for HUD approval a designated housing plan to designate units to serve elderly only residents in accordance with community needs and the Consolidated Plan. To ensure equal opportunity in housing for all Americans, SHA continues to undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability.

Significant Amendment and Substantial Deviation/Modification to the PHA Annual Plan

The Springfield Housing Authority defines the significant amendment and substantial deviation/modification to the PHA Annual Plan as follows:

- Changes to rent or admissions policies or organization of the waiting list;
- Changes to the Public Housing Admissions and Continued Occupancy Policy;
- Changes to the Section 8 Housing Choice Voucher Program Administrative Plan;
- Additions of non-emergency work items (items not included in the current Annual Statement or Five-year Action Plan) or change in the use of replacement reserve funds under the Capital Fund; and
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

Requirements for Significant Amendments to the PHA Plan

Any significant amendment or substantial deviation/modification to the PHA Plan is subject to the same requirements as the original PHA Plan (including time frames). Following are the requirements:

- The PHA must consult with the Resident Advisory Board (RAB) (as defined in 24 CFR 903.13);
- The PHA must ensure consistency with the Consolidated Plan of the jurisdiction(s) (as defined in 24 CFR 903.15); and
- The PHA must provide a review of the amendments/modifications by the public during a 45-day public review period (as defined in 24 CFR 903.17).
- The PHA may not adopt the amendment or modification until the PHA has duly called a meeting of its Board of Directors (or similar governing body). This meeting, at which the amendment or modification is adopted, must be open to the public.
- The PHA may not implement the amendment or modification until notification of the amendment or modification is provided to HUD and approved by HUD in accordance with HUD's plan review procedures (as defined at 24 CFP 903.23).

CARBON MONOXIDE ALARM DETECTOR ACT
SPRINGFIELD HOUSING AUTHORITY
COMPLIANCE STATEMENT

The Illinois State Legislative Act 094-0741 became effective on January 1, 2007.

To comply with this Public Act, Springfield Housing Authority researched different carbon monoxide detectors and received three competitive prices on said detectors to locate the best detector for the safety of our residents and that was most cost effective.

Springfield Housing Authority Staff recommended purchase of the Pro Tech 7030-SL Lithium Battery Powered Carbon Monoxide Detector with Secure Lock, which has a 5 year warranty on either the battery or the detector. At the end of November, Springfield Housing Authority ordered and purchased 1008 of these detectors from Allied Sales. Allied Sales was the lowest most responsible bidder for this carbon monoxide detector.

The Springfield Housing Authority received the shipment of all 1008 detectors in the beginning of December, 2006. Residents were provided a notice the Springfield Housing Authority's Maintenance personnel would be entering dwelling units to install the Carbon Monoxide Detectors, (notice attached), and also a statement of responsibility for the detector, (statement attached). The residents were informed of their responsibility for any damage to the detector as well as replace the batteries as necessary.

Springfield Housing Authority is pleased to state that 100% of its dwelling units had the carbon monoxide detectors installed prior to the January 1, 2007 deadline. It was hard work and commitment of Springfield Housing Authority Staff with the residents' cooperation that made this possible.

RAB MEETING AND COMMENTS

A RAB Meeting was held on September 23, 2010 at 2:00 PM at Springfield Housing Authority's main office at 200 N. 11th Street, Springfield, IL.

The following were in attendance:

**Mary Anne Frye – Sankey Hi-Rise – AMP 3
Dana Clark – Sankey Hi-Rise – AMP 3
Kimeka Robinson – Brandon Court – AMP 1
Janet Heard – Johnson Park – AMP 2**

Only comments about the PHA Plan were for more tree work and the clearing of bushes, etc., around fencing and properties. This will be taken care of with the 1450 Budget Line Item budgeted for Landscaping.

SECTION 5.1
MISSION STATEMENT

The Springfield Housing Authority is the primary leader in providing quality affordable housing to individuals and families while encouraging partnerships necessary for residents to develop self-sufficiency and to be productive members of the community.

SECTION 5.2

GOALS AND OBJECTIVES

- Apply for additional rental vouchers as available.
- Reduce public housing vacancies.
- Leverage private or other public funds to create additional housing opportunities and acquire or build units or developments to assist SHA in neighborhood revitalization and redevelopment of older housing units.
- Improve the quality of assisted housing; SHA objectives are to become a high performer in both public housing management (PHAS) and voucher managements (SEMAP).
- To increase customer satisfaction by quality maintenance service responsiveness.
- Continue to update and modernize units.
- Explore options to prevent a decline in occupancy rate.
- Pursue the disposition/demolition of aged hi-rise buildings and provide replacement public housing and vouchers.
- To increase assisted housing choices, SHA will conduct outreach efforts to potential voucher landlords and continue to expand the Section 8 Home Ownership Program.
- Continue to administer the home ownership programs at Madison Park Place and North Park Place.
- Apply for Section 32 approval for 5 units at Genesis place; administer Section 32 Program.
- To improve community quality of life and economic vitality SHA has implemented measures to promote income mixing in public housing by assuring access for lower income families into higher income developments such as Madison Park Place, Genesis Place, and the planned Sankey redevelopment.
- Implement public housing security improvements to designated developments and buildings.
- To promote self-sufficiency and asset development of families and individuals SHA will increase the number of employed persons in assisted housing units through the FSS Program.
- Provide and attract supportive services to improve recipients' employability and to increase independence for the elderly or families with disabilities.
- To ensure equal opportunity in housing for all Americans, SHA will undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, marital status and disability.
- SHA will undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, nation origin, sex, marital status, and disability.
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required.

OTHER GOALS AND OBJECTIVES OF THE SHA

- Encourage and support the youth of today to become the leaders of tomorrow.
- To be the best landlord in the Springfield community and provide the most quality housing.
- To obtain and maintain partnerships and agreements with various community resources.
- To equip residents with skills and become self-sufficient.
- To achieve and maintain a 97% occupancy rate.
- Improve the overall public image of the SHA.
- Establish an income stream apart from that generated by rental income.
- Apply for demolition/disposition of the Sankey 401 and 415 hi-rise buildings; utilize CFFP, CFP, RHF and any other identifiable resources to redevelop this property.
- Develop retail space in Madison Park Place subdivision.
- Develop additional affordable housing for those in need.
- Continue implementing HUD required Asset Management and project-based accounting.
- Complete Genesis Place by constructing 41 new units.
- To meet and exceed expectations under PHAS and SEMAP.
- To implement a neighborhood revitalization plan by acquiring properties in low income neighborhoods and either rehabilitating or developing new units.
- To increase the availability of affordable rental and home ownership units.
- Dispose of 1111 S. 19th Street to continue serving homeless women and children through a collaborative partnership with the Heartland Continuum of Care and M.E.R.C.Y. Communities.
- Dispose of obsolete vacant land owned by the PHA, but not included in any development plans.
- Review public housing designation options for hi-rises and develop designation plan accordingly.

SECTION 9.0 HOUSING NEEDS

With information provided by the Consolidated Plan of the Jurisdiction of the City of Springfield, and the U.S. Census data, the following information identifies the housing needs:

- Family Type with <+30% of AMI, the housing need is 4,289, with affordability, supply, location and quality as being the highest rate of impact for this group. Accessibility and size having little to no impact.
- Family Type with >30% but <=50% of AMI the housing need is 3,429 with affordability, supply, location and quality being the highest rate of impact for this group. Again accessibility and size having little to no impact.
- Elderly housing needs is as follows: Affordability being the highest rate for this group, with supply, quality, accessibility and size being the least impact.
- Families with Disabilities are slightly impacted by supply and location. Their highest rate of impact is affordability, quality, accessibility and size.

SECTION 9.1 STRATEGY FOR ADDRESSING HOUSING NEEDS

Strategy for addressing housing needs is as follows:

- Springfield Housing Authority will employ effective maintenance and management policies to minimize the number of public housing units off-line, reduce turnover time for vacated public housing units and reduce time to renovate public housing units.
- SHA will seek replacement of public housing units lost to the inventory through mixed finance development and Section 8 Replacement Housing resources.
- SHA will maintain or increase Section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction.
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required.
- SHA will market the Section 8 program to owners to help maintain and/or increase this program and participate in the Consolidated Plan development process to ensure coordination with broader community strategies.
- To Increase the number of affordable housing units, SHA will apply for additional Section 8 units should they become available; Leverage affordable housing resources in the community through the creation of mixed-finance housing; and to pursue housing resources other than public housing or Section 8 tenant-based assistance.
- To specific target families at or below 30% of the AMI, SHA will adopt rent policies to support and encourage work.
- To specific target families at or below 50% of the AMI, SHA will again adopt rent policies and encourage work.
- For the elderly, SHA will seek designation of public housing for the elderly, apply for special-purpose vouchers targeted to the elderly, should they be available, and develop and promote additional amenities in elderly developments, by creating additional elderly mixed income developments.
- Carry out modifications to public housing based on the section 504 review and assessment for public housing through the Voluntary Compliance Agreement.
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available and implement the mainstream housing opportunities for persons and disabilities with grants awarded to Springfield Housing Authority.
- Also, further develop partnerships with agencies that work with disabled populations.
- For specific family types, SHA will affirmatively market to races/ ethnicities shown to have disproportionate housing needs.

Springfield Housing Authority Security & Criminal Trespass Policy Summary of Changes

General Changes Throughout Policy		
Security Personnel throughout this document will be referred to as Contracted security service provider (herein referred to as “contractor”)		
Old Language	New Language	Page Number
	Working for the contractor	1
Are	Is	1
He		3
Sergeants	Contractor and Asset Managers	3
Assigned to	Employed by them	3
	Other	3
Sergeants will take command of the scene of any unusual occurrence and will promptly notify the Asset Manager of the nature of the said occurrence. He/She will remain in charge until properly relieved by an Asset Manager or Director.		3
Springfield Housing Authority	Agency	3
In the absence of the Asset Manager, the Sergeant will be designated to take charge of the Security until they return.		4
	Officers will take command of the scene of any unusual occurrence and will promptly notify the Asset manager of the nature of the said occurrence. He/She will remain in charge until properly relieved by an Asset Manager or Director.	4
In the absence of an on duty supervisor, the Senior Security Officer on duty or at the scene of an incident shall be in charge. He will remain in charge until properly relieved by an supervisor.	Contracted Security Officer Asset Manager	4
Sergeants	Asset Manager’s	4
	Or overtime	
Sergeant	Asset manager	4
Or supervisor		5
Work schedules of Sergeants and officers	Shift Schedules	5
In the event the Officer will be given overtime pay or compensatory time off for any	In the event that additional services are required, the SHA will request additional staffing, in writing, and	5

**Springfield Housing Authority
Security & Criminal Trespass Policy
Summary of Changes**

hours beyond forty hours (40) each week	will be billed for services rendered by the contractor	
A lunch period of no more than (1) hour will be granted approximately midway through each shift.	Lunch periods will be determined by the Contractor.	5
Absences from work will be handled in accordance with established procedures of the Springfield Housing Authority. It is recommended that all Security personnel become familiar with these policies. However some general regulations are as follows:	Officer absences will be reported directly to the contractor. Contractor is responsible to ensure that all shifts are filled appropriately and according to the contractual agreement.	5
When absent due to illness the Officer must notify his supervisor as early as possible, but at least one (1) hour prior to his assigned shift	Absences due to illness will be reported directly to the contractor. Contractor is responsible for filling vacant shifts; any adjustments to staff and schedules will be made according to the contractual agreement.	5/6
Tardiness, except for emergencies or with prior permission, will be grounds for disciplinary action.	Tardiness will be reported directly to the contractor by SHA personnel. Contractor is responsible for filling vacant shifts; any adjustments to staff and schedules will be made according to the contractual agreement.	6
	with the contractor and the Asset Manager	6
member of the SHA Security force	officer employed by the contractor	7
All personnel will be issued an official identification card and badge. Both of these will be carried at all times when on duty. If lost or destroyed a written report will be submitted to the Asset Manager within 24 hours of such loss	All Contracted Security Personnel will maintain appropriate identification and badge provided by contractor while on duty	7
All issued uniforms will be of a style authorized by the Asset Manager. The uniform will be worn at all times while on duty		9

**Springfield Housing Authority
Security & Criminal Trespass Policy
Summary of Changes**

and while appearing in court on duty related cases. The uniform will not be worn while off duty unless authorized in advance by the. Upon separation all issued uniforms will be turned in or accounted for prior to receiving a final pay voucher.		
all times while on duty and while appearing in court on duty related cases. The uniform will not be worn while off duty unless authorized in advance by the. Upon separation all issued uniforms will be turned in or accounted for prior to receiving a final pay voucher.	Uniforms shall be distributed by the Contractor and will be worn at all times when on duty and for court appearances.	9
SHA Polo style shirts are authorized during summer hours and on casual day Friday.	All Uniforms will be provided by the contractor as approved by the SHA.	9
	All uniforms will be provided by the contractor as approved by the SHA.	9
Shall be worn as deemed necessary by the Springfield Housing Authority.	All uniforms will be provided by the contractor as approved by the SHA.	9
	Will be provided by the contractor.	9
When wearing the polo typed shirt during the summer hours the badge will be permanently affixed to the shirt by being embroidered.		10
Asset Manager	contractor and approved by the SHA	10
	and will be the liaison between Springfield Housing Authority and contractor	11
assigned to them	under their employment	11
	based upon the operational needs	11

**Springfield Housing Authority
Security & Criminal Trespass Policy
Summary of Changes**

	of the SHA	
Officers will use the contractor's post management system to log dates, times and locations patrolled for every shift.		12
their supervisor	on-call Asset Manager	12
	and the on-call Asset Manager	12
and all Officers are	Is	13
supervisor	Security Officer	13
The Security Department will maintain an up to date emergency recall list in the offices and in vehicles at all times. This will have the telephone numbers of all Security on it. Any time a Security Officer changes his telephone number they are to notify the Security Sergeant so that the recall list can be updated.	Contractor shall keep and maintain the list of Officers assigned to SHA properties and share accordingly with appropriate SHA staff	14
	Type of offense shall be written on the top of the Criminal Trespass document	15
	in writing	15
	All appeals should be addressed in the following manner: Criminal Trespass Appeal Officer % Robin Dowis, Asset Manager 200 North Eleventh Street Springfield, Il. 62703	15
Individuals presented with a Notice of Criminal Trespass may file an appeal by contacting the Security Sergeant at (217) 753-5780 Monday through Friday. He/she must request that an appeal form be sent to him/her. He/she must complete	Asset Manager	15

**Springfield Housing Authority
Security & Criminal Trespass Policy
Summary of Changes**

and return the form to the Security Sergeant no sooner than sixty (60) calendar days from the date of the offense. The Security Sergeant		
Springfield Police Department	SHA Staff	16
On the scheduled date, the hearing is held by of a panel consisting of. The Security Sergeant, the Asset Manager from the AMP in which the violation took place and a representative from the Springfield Police Department		16
three (3)	five (5)	17
their immediate supervisor	Asset Manager	19
Sergeant	Asset Manager	19
The Sergeant will place the report on the SHA "H" drive to be available to Executive staff to review.		20
Supervisors of the Security department	The Asset Manager	20
Reports will be placed on the SHA's H:Drive system and available for Management to review as necessary.	All reports will be kept by the Contractor and the Asset Manager for the period of time determined by policy.	20
supervisor on duty and the appropriate	on-call Asset Manager;	21
In the absence of the Sergeant, the most senior Officer working will assume the training responsibility.		22
radio	Communication	22
Officers will be allowed and encouraged to attend classes sponsored by the Law Enforcement Training and Advisory Commission, Mobile Unit # 10 and the Springfield Police Department.		22

**Springfield Housing Authority
Security & Criminal Trespass Policy
Summary of Changes**

All requests for training will be forwarded to the Asset Manager through the Sergeant for approval. In the event that more than one Officer requests the same school, the Asset Manager will make the final decision on who will attend.		22
	All training will be provided by the contractor inclusive of, but not limited to, topics suggested by the SHA.	22
	The Springfield Housing Authority reserves the right to place security cameras on any and all properties owned by the Authority to ensure the safety of residents, guests and staff of the SHA.	23
	Camera recordings will be electronically backed up and stored for thirty (30) calendar days.	23
	Access to the “real time” video shall be available to and monitored by Asset Managers, Executive Staff and the Springfield Police Department in order to deter criminal activity on SHA sites and assist in the prosecution of criminal offenses occurring on SHA property.	23
	Camera recordings will be available to the Springfield Police department, Sangamon County State’s Attorney’s office, and/or any other LawEnforcement Agency to be used for criminal	23

**Springfield Housing Authority
Security & Criminal Trespass Policy
Summary of Changes**

	prosecution purposes. Any request for copies of recordings must be completed in writing and addressed as a Freedom Of Information Act Request to the designated FOIA representative at the SHA. The SHA will follow applicable FOIA request laws and regulations to produce the copied recordings. Exceptions may be made for emergency situations. Any recordings past thirty (30) days will not be available for viewing.	
	Any other entities (general public, attorneys, insurance companies, etc.) requesting recordings copies of recordings must be completed in writing and addressed as a Freedom Of Information Act Request to the designated FOIA representative at the SHA. The SHA will follow applicable FOIA request laws and regulations to produce the copied recordings. Any recordings past thirty (30) days will not be available for viewing.	
	A	
supervisor	Asset Manager or Divisional Director;	
	The	
A Supervisor	on-call Asset Manager	
If two or more Officers are on duty then at least two will enter the apartment;		
If working alone then		
radio	daily shift	
supervisor	Asset Manager	

**Springfield Housing Authority
Security & Criminal Trespass Policy
Summary of Changes**

Security department	security service contractor relative to the	
of the SHA for the		
radio	daily shift log	
Security Department Schedule	Contractor Officer Shift Hours	
	Monday through Friday from 4:pm to 8:am Saturday and Sunday 24 hours a day Holidays 24 hours a day	

**Springfield Housing Authority
Security & Criminal Trespass Policy
Summary of Changes**

**VIOLENCE AGAINST WOMEN AND DEPARTMENT OF JUSTICE
REAUTHORIZATION ACT OF 2005, PUBLIC LAW 109-162, AND THE
UNITED STATES HOUSING ACT OF 1937, AS AMENDED:
SPRINGFIELD HOUSING AUTHORITY
PLAN OF ACTION**

VAWA 2005, signed into law on January 5, 2006 provides for protection for victims of abuse in the Public Housing Program and is incorporated into the Lease Addendum:

- An incident or incidents of actual or threatened domestic violence, dating violence, or staling will not be construed as serious or repeated violations of the lease or other “good cause” for termination of the assistance, tenancy, or occupancy rights of a victim of abuse. (Section 8(o)(7)(C) of the U.S. Housing Act of 1937.)
- Criminal activity directly relating to abuse, engaged in by a member of a tenant’s household or any guest or other person under the tenant’s control, shall not be cause for termination of assistance, tenancy, or occupancy rights if the tenant or an immediate member of the tenant’s family is the victim or threatened victim of that abuse. (Section 8(o)(7)(D)(i) of the U.S. Housing Act of 1937.)
- Notwithstanding the VAWA restrictions on admission, occupancy, or terminations of occupancy, or terminations of occupancy or assistance, or any Federal, State or local law to the contrary, a PHA may terminate assistance to or an owner or manager may “bifurcate” a lease, or otherwise remove a household member from the lease, without regard to whether a household member is a signatory to the lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful family members or others. This action may be taken without evicting, removing, terminating assistance to, or otherwise penalizing the victim of the violence who is also a tenant or lawful occupant. Such eviction, removal, termination of occupancy rights or termination of assistance shall be effected in accordance with the procedures prescribed by Federal, State, and local law for the termination of leases or assistance under the housing choice voucher program. (Section 8(o)(7)(D)(ii) of the U.S. Housing Act of 1937.)
- Nothing in Section 8(o)(7)(D)(i) may be construed to limit the authority of a public housing agency, owner, or manager, when notified, to honor court orders addressing rights of access or control of the property, including civil protection orders issued to protect the victim and issued to address the distribution or possession of property among the household members in cases where a family breaks up. (Section 8(o)(7)(D)(iii) of the U.S. Housing Act of 1937.)
- Nothing in Section 8(o)(7)(D)(i) limits any otherwise available authority of an owner or manager to evict or the public housing agency to terminate assistance to a tenant for any violation of lease not premised on the act or acts of violence in question against the tenant or a member of the tenant’s household, provided that the owner, manager, or public housing agency does not subject an individual who is or has been

a victim of domestic violence, dating violence, or stalking to a more demanding standard than other tenants in determining whether to evict or terminate. (Section 8(o)(7)(D)(iv) of the U.S. Housing Act of 1937.)

- Nothing in Section 8(o)(7)(D)(i) may be construed to limit the authority of an owner or manager to evict, or the public housing agency to terminate assistance, to any tenant if the owner, manager, or public housing agency can demonstrate an actual and imminent threat to other tenants or those employed at or providing service to the property if the tenant is not evicted or terminated from assistance. (Section 8(o)(7)(D)(v) of the U.S. Housing Act of 1937.)
- Nothing in Section 9(o)(7)(D)(i) shall be construed to supersede any provision of any Federal, State, or local law that provides greater protection than Section 8(o)(7)(D)(i) for victims of domestic violence, dating violence, or stalking. (Section 8(o)(7)(D)(vi) of the U.S. Housing Act of 1937.)

RESOLUTION NO. 2579
APPROVING THE SPRINGFIELD HOUSING AUTHORITY
CAPITAL FUND STIMULUS GRANT PROCUREMENT POLICY

WHEREAS, The Springfield Housing Authority is mandated to follow 24 CFR 85.36 and develop and implement a Procurement Policy amendment for all purchases obligated and expended from the American Recovery and Reinvestment Act of 2009; and,

WHEREAS, while the Springfield Housing Authority Board of Commissioners previously decided not to amend the Procurement Policy and meet as often as necessary to approve ARRA related contracts, the SHA has been directed by the HUD-Chicago Field Office to develop and implement this policy to ensure HUD deadlines are met regarding the obligation and expenditure of these funds or they will be subject to recapture; and,

WHEREAS, the SHA has procured goods and services to date in accordance with the required procedures outlined in PIH Notice 2009-12 and will continue to do so as outlined in the Springfield Housing Authority Capital Fund Stimulus Grant Procurement Policy; and,

WHEREAS, A complete copy of this policy addendum is attached to this resolution and will become effective with Board of Commissioner approval and made available to SHA staff to implement; and,

WHEREAS, This policy may be amended from time to time by the Springfield Housing Authority Board of Commissioners.


NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF COMMISSIONERS OF THE SPRINGFIELD HOUSING AUTHORITY AS FOLLOWS:

Section 1: The Springfield Housing Authority Board of Commissioners approves the Capital Fund Stimulus Grant Procurement Policy as presented.

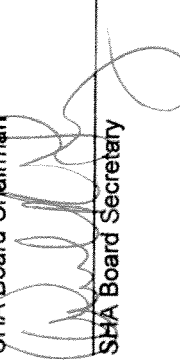
Section 2: The Executive Director is authorized to administer the Capital Fund Stimulus Grant Procurement Policy.

Section 3: The Springfield Housing Authority Board of Commissioners reserves the right to amend or terminate this policy at any time.

Section 4: This Resolution shall take effect immediately to cover all procurements of the American Recovery and Reinvestment Act of 2009.



SHA Board Chairman

February 10, 2010


SHA Board Secretary

February 10, 2010

Memo

To: SHA Board of Commissioners
From: Melissa Hurfstedtler, Deputy Director 
Date: February 8, 2010
Re: ARRA Procurement Policy Resolution

In March 2009, the SHA was awarded non-competitive Capital Fund program funds through the American Reinvestment and Recovery Act totaling just over \$2,000,000. HUD requires that 100% of the funds are obligated within twelve (12) months or by March 17, 2010.

In April 2009, the SHA proposed an amended procurement policy allowing the SHA to raise its small purchase procedures threshold from \$25,000 to \$100,000 for the procurement of ARRA related contracts only. At that time, it was the determination of the Board to not raise the small purchase procedures threshold from \$25,000 to \$100,000 and to meet as often as necessary to approve ARRA related contracts to allow the SHA to successfully meet obligation and expenditure deadlines.

Since that time, the SHA has awarded or will award contracts and will meet the 100% obligation deadline of March 17, 2010. The SHA underwent a successful HUD review of the ARRA contracts and files in September 2010. As the obligation deadline nears, the Chicago Field Office has again conducted a cursory review of the SHA's obligation and expenditure rates, policies and procedures and have required that the SHA amend its procurement policy per PIH Notices 2009-12 issued on March 18, 2009 and further guidance issued in July and August 2009 through FAQ's and PIH Notice 2009-31 to include raising the small purchase procedure threshold from \$25,000 to \$100,000 as well as including the "Buy American" provision. It is important to note that the SHA has complied with the "Buy American" provision throughout the process of bidding and awarding ARRA contracts. Further, all contract bidding and awarding has been in compliance with the SHA's existing procurement policy.

Thank you for your consideration of the resolution to approve the procurement policy addendum as it relates to the obligation and expenditure of ARRA funds. Should you have questions or need additional information, feel free to contact me at 753-5757, ext. 313.

**SPRINGFIELD HOUSING AUTHORITY
CAPITAL FUND STIMULUS GRANT PROCUREMENT POLICY**

This procurement policy shall be recognized as an addendum to the existing Springfield Housing Authority (SHA) procurement policy and shall apply **ONLY** for the procurement of goods and services related to Capital Fund Stimulus Grants obtained through the American Recovery and Reinvestment Act of 2009 (ARRA). This policy, in accordance with ARRA section 1605 and Notice PIH 2009-12 (HA) implementation guidance for the Buy American requirement, also mandates that ALL goods procured using Capital Fund Stimulus monies should be American manufactured, specifically that:

- a) None of the funds appropriated or otherwise made available by this Act may be used for a project for construction, alteration, maintenance, or repair of a public building or public work unless all of the iron, steel, and manufactured goods used in the project are produced in the United States.
- b) Subsection (a) shall not apply in any case or category of cases in which the head of the Federal department or agency involved finds that –
 - a. Applying subsection (a) would be inconsistent with the public interest;
 - b. iron, steel and the relevant manufactured goods are not produced in the United States in sufficient and reasonably available quantities and of a satisfactory quality; or
 - c. inclusion of iron, steel, and manufactured goods produced in the United States will increase the cost of the overall project by more than 25 percent.
- c) If the head of a Federal department or agency determines that it is necessary to waive the application of subsection (a) based on a finding under subsection (b), the head of the department or agency shall publish in the Federal Register a detailed written justification as to why the provision is being waived.
- d) This section shall be applied in a manner consistent with United States obligation under international agreements.

National (Categorical) Exception Granted (Section V. of the Notice PIH 2009-12 (HA) implementation guidance for the Buy American requirement) to the Buy American requirement pertaining to the procurement of goods should also be followed and published, if applicable, in the Federal Register in accordance with 1605 (c) of the Recovery Act. Additional exceptions granted under Section V. include but are not limited to:

- a) Where the size of a contract funded with CFRFC grant assistance is less than \$100,000, regardless of the size of the PHA, the Buy American requirement is not applicable.

In the event that the SHA would want to apply an exception to the Buy American requirement to the procurement of goods a 5-step process has been provided by Notice PIH 2009-12 (HA) implementation guidance, section VI and Addendum 2.

All projects utilizing ARRA stimulus funds shall include Buy American contract language as provided in Addendum 1 of the Notice PIH 2009-12 (HA).

\$ 2,020,707 was awarded to the Springfield Housing Authority on March 18, 2009.

Sixty percent (60 %) of the grant must be expended within two years and all expenditures must be expended by March 17, 2012.

The SHA will give priority to Capital Fund Stimulus Grant projects and may award contracts based on bids within 120 days from February 17, 2009.

The HUD Secretary has elected to suspend state and local procurement requirements, as authorized by the Recovery Act.

The Small purchases threshold shall be increased from \$ 20,000 for construction or public improvements and \$ 25,000 for goods or services to \$ 100,000.

Indefinite Quantity Indefinite Delivery (IDIQ) contracts shall be used for contracts that have a minimum and maximum limit. It will be based on time and material and used to purchase larger quantities of supplies and materials. This limit will follow the \$ 100,000 threshold for small purchases.

Where feasible the SHA will evaluate and consider amending any existing contracts to apply the Buy American requirement of the ARRA.

The SHA will follow part 85 under the 24 code of federal regulations. The SHA shall continue to follow all Part 85 requirements regarding conflicts of interest, contract cost and price. The SHA will use the existing protest procedures, written codes of standards for employees engaged in the award and administration of the contracts and other procedures as long as they are not contrary to part 85.

According to 24 CFR 85.36 (d)(4), if solicitation of a proposal is only from one source or if the SHA finds that after solicitation of a number of sources, that competition is inadequate, the SHA may award the contract noncompetitively where small purchase procedures, sealed bids or 85.36 (d)(4) applies.