

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: Housing Authority of the City of Meriden PHA Code: CT-11 PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: October 1, 2011												
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 361 Number of HCV units: 722												
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only												
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)												
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program <table border="1"> <thead> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> </tbody> </table>	PH	HCV						
PH	HCV												
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.												
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <i>The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.</i>												
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <p style="text-align: center;">See Attachment A</p>												

PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

The MHA has revised its Section 8 Housing Choice Voucher Program preference structure.

6.0

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

Copies of the Housing Agency Plan are available for public review at the Authority's Main Office located at 22 Church Street (this location is wheelchair accessible) & at the Mills Memorial Resident Service Office located at 40 Mills Street, 1B, and Community Towers, 55 Willow Street (this location is wheelchair accessible), Meriden, Connecticut.

Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.
Include statements related to these programs as applicable.

The Authority does anticipate a HOPE VI application during FYE 2011.

The MHA is in the early stages of a planning process for the redevelopment of Mills Apartments. The City of Meriden has developed a plan for redevelopment of downtown including the development of an Intermodal Transportation Center. The City is also ready to start a dramatic effort to re-engineer Harbor Brook which runs from north to south through the downtown and under the Mills Memorial site.

Mills Memorials redevelopment will need to be integrated into any larger vision for downtown housing. The MHA intends work with the City to arrive at an overall vision for the residential aspects of downtown Meriden that will incorporate smart and responsible growth principles and which will help revive the downtown area as a vibrant residential neighborhood proximate to and within walking distance of shopping.

7.0

The planning process will consider all sources of funding including HOPE VI or a successor program such as Choice Neighborhoods as well as Low Income Housing Tax Credits, issuance of tax exempt bonds, state of Connecticut program such as the CT Housing Trust Fund or Flex. The MHA is committed to providing all existing residents with housing options and choices that meet their needs and preferences to the greatest extent feasible and consistent with obligations under Section 18 of the 1937 Housing Act and applicable state laws.

The MHA will continue to operate a home-ownership program using its Section 8 Program and coordinated with its FSS Program.

The MHA will implement a Project-based Housing Choice Voucher Program in support of its redevelopment activities and as source of replacement units for ACC public housing units that may no longer be available as an outcome of redevelopment activities. This may include the development of 9 units of Section 8 PBV with supportive services for veterans during the current fiscal year.

The MHA will seek to develop supportive housing whenever opportunities arise that provide for long term links to supportive service funding that can be codified in MOA/MOU arrangements.

AN APPLICATION FOR DEMOLITION OR DISPOSITION SUBJECT TO 24 CFR 970 IS ANTICIPATED DURING THE FISCAL YEAR COVERED BY THIS AGENCY PLAN.

8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p style="text-align: center;">Attachment B for the 2010 Annual Statement Attachment C for the 2009 ARRA Annual Statements. Competitive and Formula, and Attachment D for the Performance & Evaluation Reports for 2007, 2008, 2009 (non-ARRA)</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p style="text-align: center;">See Attachment E for copy of Five-Year Action Plan</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/ Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p style="text-align: center;">For an integrated presentation of needs and strategies to address these needs; See Section 9.1 below.</p>

Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the MHA within its current resources by:

- a. Reduce turnover time for vacated public housing units
- b. Seek replacement of public housing units lost to the inventory through mixed finance development
- c. Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- d. Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- e. Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- f. Participate in the Consolidated Plan development process to ensure coordination with broader community strategies

Strategy 2: Increase the number of affordable housing units by:

9.1

- a. Apply for additional section 8 units should they become available
- b. Leverage affordable housing resources in the community through the creation of mixed - finance housing
- c. Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- d. Implement Project-based Section 8 Voucher Program consistent with revised statutes.
- e. Amend Section 8 Admin Plan to allow and promote use of project-based vouchers in neighborhoods that are under a comprehensive revitalization and at other mixed-finance developments.

Need: Specific Family Types: Families at or below 50% of median

- a. Adopt rent policies to support and encourage work

Other Housing Needs & Strategies:

The Authority will continue to implement a Project-based Section 8 Program (PBV) track designed to work in tandem with other sources of affordable housing finance.

Given the historic under-funding of modernization, the Authority will be looking at ways to leverage resources to redevelop its public housing portfolio. Re-establishing a stable and viable housing portfolio is a major priority for the Authority over the development of incremental units but will not preclude supporting opportunities to assist other entities in the expansion of affordable housing in Meriden.

Additional Information. Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5- Year Plan.

See Attachment F

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

The Housing Authority of the City of Meriden considers a major change in the content of the Agency Plan for the fiscal year starting 10/1/2011 to consist of one or more the following:

10.0

1. Implementation of program incentives to increase the number of working households in the program.
2. A decision to submit a major application such as a Demolition/Disposition Application not already identified in the Plan.
3. A decision to request a voluntary conversion of public housing to Section 8 Vouchers.

For this fiscal year, the following actions are NOT to be considered major changes. These matters have been discussed with the RAB as part of the process resulting in the baseline Housing Agency Plan for 2011.

1. Submission of an Allocation Plan for Designated Housing
2. Revisions to the Section 8 Administrative Plan to address program integrity, customer service and to implement policies and procedures to improve management of program utilization levels.
3. Revisions to the ACOP to address program integrity and improve customer service.
4. Decisions to open or close a waiting list for a specific period of time.
5. Changes in the Capital Plan that represent reprogramming of funds in excess of 50% from the original baseline Annual Statement.

RESIDENT PARTICIPATION:

Through a variety of meetings with management staff. Residents in both Federal Properties identified 2 areas of concern:

1. Safety and Security
 - a. Cameras in place of security officers throughout the properties.
 - b. Resident i.d. system.
 - c. Sidewalks and Benches to be repaired.
 - d. Address odors coming through ventilation systems
2. Major Repairs or Replacement:
 - a. Elevators
 - b. Windows
 - c. Roofs

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11.0 Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights)
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only)
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only)
- (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
- (f) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only)
- (g) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Housing Authority of the City of Meriden

Major Agency Goals & Objectives

Five Year Rolling Plan

Expand the supply of assisted housing

Objectives.

- Apply for additional rental vouchers. As determined in any redevelopment process, the Authority may apply for relocation vouchers, as well as additional vouchers if needed to address community need or reductions in current HUD funding.
- Leverage private or other public funds to create additional housing opportunities. As part of anticipated redevelopment process, the Authority may seek public and/or private partnerships and funds (such as tax credits, limited partnerships, etc.) to maximize the opportunities available to the Authority for making quality of life changes to its properties.
- Acquire or build units or developments. The Authority may seek off-site scattered housing of existing or to-be-built units to maintain the level of resident families served, as a function of the redevelopment of its properties.
- Other (list below)
Redevelop properties in a cooperative effort with local officials, residents and the Authority in a manner which meets the community's vision, property improvements and the residents' needs.

Improve the quality of assisted housing

Objectives.

- Improve public housing management. (PHAS score). Attain "high performer" standard.
- Improve voucher management. (SEMAP score). Attain "high performer" standard.

- Increase customer satisfaction. Improve safety, security and staff responsiveness.
- Concentrate on efforts to improve specific management functions. Continue cost saving efforts by staff, seek grant opportunities to fund initiatives which benefit residents and participants, improve and upgrade systems and equipment as needed.
- Renovate or modernize public housing units. Decrease density and modernize to a market standard
- Demolish or dispose of obsolete public housing. Modify or remove housing which does not promote security and safety, which proves more costly to modernize than to remove or replace, and provide appropriate replacement units.
- Provide replacement public housing. In coordination with the redevelopment of properties, to determine the appropriate number of units which may be acquired to replace units which are demolished, taken off line for alternate purposes, or otherwise reduced in number by consolidation of units.
- Provide replacement vouchers. As determined in any redevelopment process, the Authority may apply for relocation vouchers, as well as additional vouchers if needed to address community need or reductions in current HUD funding.

Increase assisted housing choices

Objectives.

- Provide voucher mobility counseling, providing information on advantages of areas of lower concentrations of poverty, access to school systems, employment, etc., and portability policies.
- Conduct outreach efforts to potential voucher landlords.
- Maintain payment standards at levels which permit continued utilization in non-impacted areas.
- Expand homeownership program. The homeownership program has been created and utilized by a small number of voucher holders; the Authority seeks to expand participation through cooperative partnership with CHFA and its participating lenders.

Provide an improved living environment

Objectives.

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments.
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments.

Promote self-sufficiency and asset development of assisted households

Objectives.

- Provide or attract supportive services to improve assisted recipients' employability (Seek grant funds/cooperative partnerships for services/programs).
- Provide or attract supportive services to increase independence for the elderly or families with disabilities (Seek grant funds/cooperative partnerships).

Ensure equal opportunity and affirmatively further fair housing

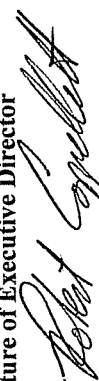
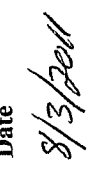
Objectives.

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability (Educate consumers, clients, landlords, realtors, etc. on fair housing).
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability (Improve safety, beautification, and market style improvements to grounds and units).
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required.

Part I: Summary		FFY of Grant: FY-2011 FFY of Grant Approval:		
PHA Name:		Grant Type and Number Capital Fund Program Grant No: CT26P01150111 Replacement Housing Factor Grant No:		
Housing Authority of the City of Meriden		Date of CFFP:		
Type of Grant		Revised Annual Statement (revision no:)		
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Final Performance and Evaluation Report		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011		<input type="checkbox"/> Revised Annual Statement and Evaluation Report		
Summary by Development Account		Total Estimated Cost		
Line	Original	Revised ²	Obligated	Total Actual Cost ¹ Expended
1	\$0.00	\$0.00	\$0.00	\$0.00
2	\$154,890.00	\$154,890.00	\$0.00	\$0.00
3	\$153,345.00	\$153,345.00	\$0.00	\$0.00
4	\$77,445.00	\$77,445.00	\$0.00	\$0.00
5	\$0.00	\$0.00	\$0.00	\$0.00
6	\$0.00	\$0.00	\$0.00	\$0.00
7	\$45,000.00	\$45,000.00	\$0.00	\$0.00
8	\$0.00	\$0.00	\$0.00	\$0.00
9	\$0.00	\$0.00	\$0.00	\$0.00
10	\$321,918.00	\$321,918.00	\$0.00	\$0.00
11	\$22,000.00	\$22,000.00	\$0.00	\$0.00
12	\$0.00	\$0.00	\$0.00	\$0.00
13	\$0.00	\$0.00	\$0.00	\$0.00
14	\$0.00	\$0.00	\$0.00	\$0.00
15	\$0.00	\$0.00	\$0.00	\$0.00
16	\$0.00	\$0.00	\$0.00	\$0.00
17	\$0.00	\$0.00	\$0.00	\$0.00

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary		FFY of Grant: FY-2011			
PHA Name: Housing Authority of the City of Meriden		FFY of Grant Approval:			
Grant Type and Number Capital Fund Program Grant No: CT26F01150111 Replacement Housing Factor Grant No: Date of CFFP:					
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011 <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Summary by Development Account <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Description	Total Estimated Cost		Obligated	Expended
		Original	Revised ²		
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00	\$0.00	\$0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	\$0.00	\$0.00	\$0.00
19	1502 Contingency (may not exceed 8% of line 20)	\$0.00	\$0.00	\$0.00	\$0.00
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$774,598.00	\$774,598.00	\$0.00	\$0.00
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$196,905.00	\$186,905.00	\$0.00	\$0.00
25	Amount of line 20 Related to Energy Conservation Measures	\$22,000.00	\$22,000.00	\$0.00	\$0.00
Signature of Executive Director		Signature of Public Housing Director		Date	
				8/3/2011	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHIF funds shall be included here.

Part II: Supporting Pages		Federal FFY of Grant: FY-2011						
PHA Name: Housing Authority of the City of Meriden		Grant Type and Number Capital Fund Program Grant No: CT26P01150111 CFFP (Yes/No): Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
11-1 Mills Memorial	Operations - Amp. #1	1406	140	\$92,103.00	\$92,103.00	\$0.00	\$0.00	
11-2 Community Twr.	Operations - Amp. #2	1406	221	\$62,787.00	\$62,787.00	\$0.00	\$0.00	
11-1 Mills Memorial	Sec. 3 Training Program - Amp. #1	1408	3	\$29,845.00	\$29,845.00	\$0.00	\$0.00	
11-1 Mills Memorial	Sec. 3 Program, Coordinator - Amp. #1	1408	1	\$33,500.00	\$33,500.00	\$0.00	\$0.00	
11-1 Mills Memorial	Staff Training - Amp. #1	1408	1	\$7,000.00	\$7,000.00	\$0.00	\$0.00	
11-2 Community Twr.	Activities Coordinator - Amp. #2	1408	1	\$25,000.00	\$25,000.00	\$0.00	\$0.00	
11-2 Community Twr.	Security Patrols - Amp. #2	1408	2	\$50,000.00	\$50,000.00	\$0.00	\$0.00	
11-2 Community Twr.	Staff Training - Amp. #2	1408	1	\$8,000.00	\$8,000.00	\$0.00	\$0.00	
11-1 Mills Memorial	Administrative Costs - Amp. #1	1410	2	\$43,345.00	\$43,345.00	\$0.00	\$0.00	
11-2 Community Twr.	Administrative Costs - Amp. #2	1410	2	\$34,100.00	\$34,100.00	\$0.00	\$0.00	
11-1 Mills Memorial	Fees and Costs - Amp. #1	1430	0	\$45,000.00	\$45,000.00	\$0.00	\$0.00	
11-1 Mills Memorial	Install magnetic security locks on all apartment entry doors, Amp. #1	1460	160	\$65,148.00	\$65,148.00	\$0.00	\$0.00	
11-1 Mills Memorial	Strip and replace roofs on three low rise buildings, repair membrane roofs on two high rise buildings, repair internal gutters	1460	5	\$175,013.00	\$175,013.00	\$0.00	\$0.00	
11-2 Community Twr.	Install magnetic security locks on all apartment entry doors	1460	225	\$81,757.00	\$81,757.00	\$0.00	\$0.00	
11-1 Mills Memorial	Purchase Energy Star rated electric ranges	1465.1	12	\$6,000.00	\$6,000.00	\$0.00	\$0.00	
11-1 Mills Memorial	Purchase Energy Star rated refrigerators	1465.1	12	\$4,500.00	\$4,500.00	\$0.00	\$0.00	
11-2 Community Twr.	Purchase Energy Star rated electric ranges	1465.1	8	\$5,000.00	\$5,000.00	\$0.00	\$0.00	
11-2 Community Twr	Purchase Energy Star rated refrigerators	1465.1	12	\$6,500.00	\$6,500.00	\$0.00	\$0.00	
	Total for CT26P01150111			\$774,598.00	\$774,598.00	\$0.00	\$0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program									
PHA Name: Housing Authority of the City of Meriden									
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹				
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date					
11-1 Mills Memorial	6/30/2013		6/30/2014						
11-2 Community Towers	6/30/2013		6/30/2014						
11-1 Mills Memorial	6/30/2013		6/30/2014						
11-1 Mills Memorial	6/30/2013		6/30/2014						
11-1 Mills Memorial	6/30/2013		6/30/2014						
11-2 Community Towers	6/30/2013		6/30/2014						
11-2 Community Towers	6/30/2013		6/30/2014						
11-2 Community Towers	6/30/2013		6/30/2014						
11-1 Mills Memorial	6/30/2013		6/30/2014						
11-2 Community Towers	6/30/2013		6/30/2014						
11-1 Mills Memorial	6/30/2013		6/30/2014						
11-1 Mills Memorial	6/30/2013		6/30/2014						
11-1 Mills Memorial	6/30/2013		6/30/2014						
11-2 Community Towers	6/30/2013		6/30/2014						
11-1 Mills Memorial	6/30/2013		6/30/2014						
11-1 Mills Memorial	6/30/2013		6/30/2014						
11-2 Community Towers	6/30/2013		6/30/2014						
11-1 Mills Memorial	6/30/2013		6/30/2014						
11-2 Community Twrs	6/30/2013		6/30/2014						
11-2 Community Twrs	6/30/2013		6/30/2014						

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary						
PHA Name/Number Housing Authority of the City of Meriden CT-011		Locality (City/County & State) 22 Church Street, PO Box 911, Meriden, CT 06451			X Original 5-Year Plan Revision No:	
A.	Development Number and Name: Mills Memorial Apartments, CT 11-1, Amp #1, Community Towers Apartments, CT 11-2, Amp #2	Work Statement for Year 1 FFY <u>2011</u>	Work Statement for Year 2 FFY <u>2012</u>	Work Statement for Year 3 FFY <u>2013</u>	Work Statement for Year 4 FFY <u>2014</u>	Work Statement for Year 5 FFY <u>2015</u>
B.	Physical Improvements Subtotal	Annual Statement	\$328,918.00	\$329,418.00	\$329,418.00	\$328,065.00
C.	Management Improvements		\$153,345.00	\$153,345.00	\$153,345.00	\$154,145.00
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		\$77,445.00	\$77,445.00	\$77,445.00	\$77,470.00
F.	Other		\$60,000.00	\$59,500.00	\$59,500.00	\$60,000.00
G.	Operations		\$154,890.00	\$154,890.00	\$154,890.00	\$154,918.00
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		\$774,598.00	\$774,598.00	\$774,598.00	\$774,598.00
L.	Total Non-CFP Funds					
M.	Grand Total		\$774,598.00	\$774,598.00	\$774,598.00	\$774,598.00

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2011	Work Statement for Year 2012 FFY 2012			Work Statement for Year: 2013 FFY 2013		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	11-1 Mills Memorial Apartments, Amp #1 Elevator Renovations	140	\$312,770.00	11-1 Mills Memorial Apartments, Amp #1 Security Improvements/entry ways – Security Offices	2	\$107,257.00
Annual Statement	11-1 – Mills Memorial Apartments, Strip & replace roofs on three low rise buildings, repair membrane roofs on two high rise buildings, remove and replace internal gutters and downspouts with external gutters and downspouts	5	\$16,148.00	11-1 Mills Memorial Apartments, Amp #1, Stair replacement	140	\$222,161.00
	Subtotal of Estimated Cost		\$321,918.00.00	Subtotal of Estimated Cost		\$329,418.00

Part II: Supporting Pages – Physical Needs Work Statement(s)							
Work Statement for Year 1 FFY 2011	Work Statement for Year <u>2014</u> FFY <u>2014</u>			Work Statement for Year: <u>2015</u> FFY <u>2015</u>			
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	
See Annual Statement	11-1 Mills Memorial Apartments-Replace outside lighting with LED Lighting	30	\$20,000.00	11-2 Community Towers Apartments, replace Fire Detection, Alarm and Emergency Notification systems,	221	\$328,065.00	
	11-1 Mills Memorial Apartments Re-point flashing & brick on penthouses	2	\$15,000.00				
	11-1 Mills Memorial Apartments, Replace Fire Alarm System	5	\$60,418.00				
	11-2 Community Towers Apartment, Repair all sidewalks	20	\$50,000.00				
	11-2 Community Towers Apartments, Replace roofs on both Towers	2	\$108,000.00				
	11-2 Community Towers Apartments, Weather seal windows	450	\$48,500.00				
	11-2 Community Towers Apartments, Replace kitchen lighting	221	\$27,500.00				
	Subtotal of Estimated Cost			\$329,418.00	Subtotal of Estimated Cost		\$328,065.00

Part III: Supporting Pages – Management Needs Work Statement(s)					
Work Statement for Year 1 FFY 2011	Work Statement for Year <u>2012</u> FFY <u>2012</u>		Work Statement for Year: <u>2013</u> FFY <u>2013</u>		
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost	
Sec.	11-1 Mills Memorial – Amp #1 - Operations	\$93,000.00	11-1 Mills Memorial, Operations	\$91,103.00	
Annual Statement	11-2 Community Towers - Operations	\$63,787.00	11-2 Community Towers, Operation	\$63,787.00	
	11-1 Mills Memorial, Staff Training	\$7,500.00	11-1 Mills Memorial, Staff Training	\$5,000.00	
	11-2 Community Towers, Staff Training	\$6,500.00	11-2 Community Towers, Staff Training	\$5,000.00	
	11-1 Mills Memorial, Section 3 Training Program	\$28,000.00	11-1 Mills Memorial, Amp #1, Section 3 Training Program.	\$10,000.00	
	11-1 Mills Memorial, Section 3 Coordinator	\$33,500.00	11-1 Mills Memorial, Section 3 Coordinator	\$15,345.00	
	11-2 Community Towers, Activities Coordinator	\$25,000.00	11-1 Mills Memorial, Security Patrols	\$42,000.00	
	11-2 Community Towers, Amp #2, Security Patrols	\$50,000.00	11-2 Community Towers, Activities Coordinator	\$27,500.00	
	11-1 Administrative Costs, Mills Memorial, Amp. #1	\$44,295.00	11-2 Community Towers, Security Patrols	\$48,500.00	
	11-2 Administrative Costs, Com. Towers	\$34,098.00	11-1 Administrative Costs – Mills Memorial	\$47,445.00	
	11-1 Mills Memorial, Fees and Costs	\$60,000.00	11-2 Administrative Costs , Community Towers,	\$30,000.00	
				11-1 Mills Memorial, Fees and Costs	\$37,000.00
				11-1 Mills Memorial,, Energy star refrigerators	\$7,500.00
				11-1 Mills Memorial, Energy star electric ranges	\$5,000.00
				11-2 Community Towers, Energy star refrigerators	\$6,000.00
				11-2 Community Towers, Energy star electric ranges	\$4,000.00
		Subtotal of Estimated Cost	\$445,680.00	Subtotal of Estimated Cost	\$445,180.00

Part III: Supporting Pages – Management Needs Work Statement(s)					
Work Statement for Year 1 FFY 2011	Work Statement for Year 2014 FFY 2014		Work Statement for Year: 2015 FFY 2015		
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost	
See Annual Statement	11-1 Mills Memorial - Operations	\$86,000.00	11-1 Mills Memorial, Amp. #1 Operation	\$94,300.00	
	11-2 Community Towers - Operations	\$68,890.00	11-2 Community Towers - Operations	\$60,618.00	
	11-1 Mills Memorial – Staff Training	\$2,500.00	11-1 Mills Memorial – Staff Training	\$1,500.00	
	11-2 Community Towers – Staff Training	\$2,500.00	11-2 Community Towers – Staff Training	\$2,000.00	
	11-1 Mills Memorial – Section 3 Training Program.	\$30,595.00	11-1 Mills Memorial – Section 3 Training Program	\$30,300.00	
	11-1 Mills Memorial – Section 3 Coordinator	\$30,000.00	11-1 Mills memorial Section 3 Coordinator	\$22,500.00	
	11-1 Mills Memorial – Security Patrols	\$40,000.00	11-1 Mills Memorial – Security Patrols	\$40,000.00	
	11-2 Community Towers, Activities Coordinator	\$33,500.00	11-2 Community Towers – Activities Coordinator	\$31,000.00	
	11-2 Community Towers, Security Patrols	\$50,000.00	11-2 Community Towers – Security Patrols	\$50,000.00	
	11-1 Mills Memorial, Admin. Costs	\$43,000.00	11-1 Mills Memorial – Administrative Costs	\$40,000.00	
	11-2 Community Towers, Admin. Costs	\$34,445.00	11-2 Community Towers - Administrative Costs	\$35,815.00	
	11-1 Mills Memorial, Fees and Costs	\$10,000.00	11-1 Mills Memorial – Fees and Costs	\$11,000.00	
	11-1 Mills Memorial, Energy Star Rated refrigerators	\$3,500.00	11-2 Community Towers – Fees and Costs	\$17,000.00	
	11-1 Mills Memorial, Energy star electric ranges	\$2,500.00	11-1 Mills Memorial Energy Star rated refrigerators	\$3,000.00	
	11-2 Community Towers, Energy star refrigerators	\$4,000.00	11-1 Mills Memorial , Energy Star rated electric ranges	\$3,500.00	
	11-2 Community Towers, Energy star electric ranges	\$3,750.00	11-2 Community Towers , Energy Star rated refrigerators	\$2,000.00	
				11-2 Community Towers – Energy Star rated electric ranges	\$2,000.00
		Subtotal of Estimated Cost	\$445,180.00	Subtotal of Estimated Cost	\$446,533.00

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program


U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: FY-2009 FFY of Grant Approval:			
PHA Name: Housing Authority of the City of Meriden		Grant Type and Number Capital Fund Program Grant No: CT01112500209R Replacement Housing Factor Grant No: Date of CFFP: 9/23/2009			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	\$0.00	\$0.00	\$0.00	\$0.00
2	1406 Operations (may not exceed 20% of line 21) ³	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$0.00	\$0.00	\$0.00	\$0.00
4	1410 Administration (may not exceed 10% of line 21)	\$89,750.00	\$89,750.00	\$89,750.00	\$6,541.20
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$0.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$0.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$807,750.00	\$807,750.00	\$807,750.00	\$576,104.00
11	1465.1 Dwelling Equipment—Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Non-dwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Non-dwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities ⁴	\$0.00	\$0.00	\$0.00	\$0.00

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
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⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: FY-2009	
PHA Name: Housing Authority of the City of Meriden		FFY of Grant Approval:	
Grant Type and Number Capital Fund Program Grant No: CT01112500209R Replacement Housing Factor Grant No: Date of CFPP: 9/23/2009			
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost¹
		Original	Obligated
		Revised²	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	\$0.00
19	1502 Contingency (may not exceed 8% of line 20)	\$0.00	\$0.00
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$897,500.00	\$897,500.00
21	Amount of line 20 Related to LEP Activities	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Activities	\$0.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$0.00	\$0.00
25	Amount of line 20 Related to Energy Conservation Measures	\$691,750.00	\$691,750.00
Signature of Executive Director 		Signature of Public Housing Director	
Date 8/3/2011		Date	

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages		Federal FFY of Grant: FY-2009						
PHA Name: Housing Authority of the City of Meriden		Grant Type and Number Capital Fund Program Grant No: CT2601112500209R						
Development Number Name/PHA-Wide Activities		CFFP (Yes/ No): Yes Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
11-2 Community Towers Apartments	Administrative Fees and Clerk of the Works - Amp #2	1410	2	\$89,750.00	\$89,750.00	\$89,750.00	\$6,541.20	
11-2 Community Towers Apartments	Removal of A/C window sleeves for a/c units, Amp. #2	1460	221	\$220,700.00	\$115,646.00	\$115,646.00	\$0.00	
11-2 Community Towers Apartments	Asbestos Abatement of floor tiles and pipe insulation for heating and cooling improvements, Amp #2	1460	221	\$116,000.00	\$116,000.00	\$116,000.00	\$0.00	
11-2 Community Towers Apartments	Utility Infrastructure installation and removal for heating/cooling improvements, Amp #2	1460	221	\$57,500.00	\$57,500.00	\$57,500.00	\$57,500.00	
11-2 Community Towers Apartments	Installation of piping and fan coil units for heating and cooling improvements, Amp. #2	1460	221	\$280,800.00	\$369,893.00	\$369,893.00	\$369,893.00	
11-2 Community Towers Apartments	Chilled water system equipment for heating and cooling improvements, Amp. #2	1460	221	\$132,750.00	\$148,711.00	\$148,711.00	\$148,711.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program						
PHA Name: Housing Authority of the City of Meriden						
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹	Federal FFY of Grant: FY-2009
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date		
11-2 C. Towers - Amp. #2	4/30/2010	9/23/2010	4/30/2011		Project under construction	
11-2 C. Towers - Amp. #2	4/30/2010	4/30/2011	4/30/2011		Project under construction	
11-2 C. Towers - Amp. #2	4/30/2010	5/26/2011	4/30/2011		Asbestos removal underway.	
11-2 C. Towers - Amp. #2	4/30/2010	9/23/2010	4/30/2011	1/13/2011	Project on going, material on hand, to be installed	
11-2 C. Towers - Amp. #2	4/30/2010	9/23/2010	4/30/2011		Project on going, material on hand, to be installed	
11-2 C. Towers - Amp. #2	4/30/2010	9/23/2010	4/30/2011		Project on going, material on hand, to be installed	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: FY-2009 FFY of Grant Approval:	
PHA Name: Housing Authority of the City of Meriden		Grant Type and Number Capital Fund Program Grant No: CT26S011501-09 Replacement Housing Factor Grant No: Date of CFFP: 3/17/2009	
Type of Grant	<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011	<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ²
		Obligated	Expended
1	Total non-CFP Funds	\$0.00	\$0.00
2	1406 Operations (may not exceed 20% of line 21) ³	\$0.00	\$0.00
3	1408 Management Improvements	\$0.00	\$0.00
4	1410 Administration (may not exceed 10% of line 21)	\$99,230.00	\$31,559.00
5	1411 Audit	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00
7	1430 Fees and Costs	\$45,000.00	\$45,000.00
8	1440 Site Acquisition	\$0.00	\$0.00
9	1450 Site Improvement	\$0.00	\$0.00
10	1460 Dwelling Structures	\$848,079.00	\$915,750.00
11	1465.1 Dwelling Equipment—Nonexpendable	\$0.00	\$0.00
12	1470 Non-dwelling Structures	\$0.00	\$0.00
13	1475 Non-dwelling Equipment	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00
17	1499 Development Activities ⁴	\$0.00	\$0.00

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary		FFY of Grant: 2009	
PHA Name: of the City of Meriden	Grant Type and Number Capital Fund Program Grant No: CT26S011501-09 Replacement Housing Factor Grant No: Date of CFFP: 3/17/2009FY-2009	FFY of Grant Approval:	
Type of Grant	<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011		
<input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Summary by Development Account		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Original	Revised ²	Total Actual Cost ¹
Total Estimated Cost		Obligated	Expended
18a	\$0.00	\$0.00	\$0.00
18ba	\$0.00	\$0.00	\$0.00
19	\$0.00	\$0.00	\$0.00
20	\$992,309.00	\$992,309.00	\$929,927.93
21	\$0.00	\$0.00	\$0.00
22	\$0.00	\$0.00	\$0.00
23	\$0.00	\$0.00	\$0.00
24	\$445,000.00	\$545,000.00	\$510,990.23
25	\$0.00	\$0.00	\$0.00

Signature of Public Housing Director

Date

8/3/2011

Signature of Executive Director

Robert Capobianco

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: FY-2009			
PHA Name: Housing Authority of the City of Meriden		Capital Fund Program Grant No: CT26S011501-09		CFPP (Yes/ No): Yes			
		Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
11-1 Mills Memorial	Administration - Amp #1	1410	2	\$99,230.00	\$31,559.00	\$31,559.00	\$3,187.50
11-1 Mills Memorial	Fees and Costs - Amp #1	1430	1	\$45,000.00	\$45,000.00	\$45,000.00	\$45,000.00
11-1 Mills Memorial	Remove asbestos insulation on heat and hotwater pipes as needed - Amp #1	1460	0	\$18,079.00	\$96,750.00	\$96,750.00	\$96,750.00
11-1 Mills Memorial	Construct Heating Plant Buildings and associated ground work - Amp #1	1460	3	\$75,000.00	\$0.00	\$0.00	\$0.00
11-1 Mills Memorial	Install exhaust Fans - Humidistats - Amp #1	1460	140	\$310,000.00	\$274,000.00	\$274,000.00	\$274,000.00
11-1 Mills Memorial	Install magnetic security locks, Amp. #1	1460	160	\$150,000.00	\$247,000.00	\$247,000.00	\$247,000.00
11-1 Mills Memorial	Install security improvements in entry ways of both high rise buildings, Amp. #1	1460	88	\$295,000.00	\$298,000.00	\$298,000.00	\$263,990.23
	Total for CT26S011501-09			\$992,309.00	\$992,309.00	\$992,309.00	\$929,927.73

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

Part III: Implementation Schedule for Capital Fund Financing Program						Federal FFY of Grant: FY-2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹	
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date		
11-1 Mills Memorial	3/17/2010	11/30/2009	3/17/2011		Administrator assigned to project	
11-1 Mills Memorial	3/17/2010	9/30/2009	3/17/2011	8/12/2010	Design work completed faster than anticipated	
11-1 Mills Memorial	3/17/2010	Deleted	3/17/2011		Funding for purchase re-allocated	
11-1 Mills Memorial	3/17/2010	3/16/2010	3/17/2011	3/17/2011	Contract for construction signed and approved	
11-1 Mills Memorial	3/17/2010	3/16/2010	3/17/2011	1/13/2011	Construction completed faster than anticipated	
11-1 Mills Memorial	3/17/2010	3/16/2010	3/17/2011	8/12/2010	Construction completed faster than anticipated	
11-1 Mills Memorial	3/17/2010	3/16/2010	3/17/2011		Construction on going, to be completed shortly	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010 FFY of Grant Approval:	
PHA Name: Housing Authority of the City of Meriden		Grant Type and Number Capital Fund Program Grant No: CT26P01150110 Replacement Housing Factor Grant No: Date of CFFP: 6/18/2010	
Type of Grant	<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011	<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ²
		Obligated	Expended
1	Total non-CFP Funds	\$0.00	\$0.00
2	1406 Operations (may not exceed 20% of line 21) ³	\$154,900.00	\$154,900.00
3	1408 Management Improvements	\$145,624.00	\$145,624.00
4	1410 Administration (may not exceed 10% of line 21)	\$77,160.00	\$77,160.00
5	1411 Audit	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00
7	1430 Fees and Costs	\$40,000.00	\$40,000.00
8	1440 Site Acquisition	\$0.00	\$0.00
9	1450 Site Improvement	\$0.00	\$0.00
10	1460 Dwelling Structures	\$356,914.00	\$356,914.00
11	1465.1 Dwelling Equipment—Nonexpendable	\$0.00	\$0.00
12	1470 Non-dwelling Structures	\$0.00	\$0.00
13	1475 Non-dwelling Equipment	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00
17	1499 Development Activities ⁴	\$0.00	\$0.00

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary		FFY of Grant: 2010	
PHA Name: Housing Authority of the City of Meriden		FFY of Grant Approval:	
Grant Type and Number Capital Fund Program Grant No: CT26P01150110 Replacement Housing Factor Grant No: Date of CFFP: 6/18/2010			
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Summary by Development Account		Total Estimated Cost	
Line		Original	Revised ²
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	\$0.00
19	1502 Contingency (may not exceed 8% of line 20)	\$0.00	\$0.00
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$774,598.00	\$774,598.00
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Activities	\$0.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$50,000.00	\$50,000.00
25	Amount of line 20 Related to Energy Conservation Measures	\$74,257.00	\$74,257.00
Signature of Executive Director		Signature of Public Housing Director	
<i>[Signature]</i>		<i>[Signature]</i>	
Date		Date	
8/3/2011			
		Total Actual Cost¹	
		Expended	
		\$0.00	
		\$0.00	
		\$0.00	
		\$0.00	
		\$0.00	
		\$0.00	
		\$0.00	
		\$0.00	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFF Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages		Federal FFY of Grant: 2010						
PHA Name: Housing Authority of the City of Meriden		Grant Type and Number Capital Fund Program Grant No: CT26P01150110						
Development Number Name/PHA-Wide Activities		CFPP (Yes/ No): Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
11-1 Mills Memorial	Operations - Amp. #1	1406	140	\$87,585.00	\$87,585.00	\$0.00	\$0.00	
11-2 Community Twr.	Operations - Amp. #2	1406	221	\$67,315.00	\$67,315.00	\$0.00	\$0.00	
11-1 Mills Memorial	Sec. 3 Training Program - Amp. #1	1408	3	\$28,624.00	\$28,624.00	\$0.00	\$0.00	
11-1 Mills Memorial	Sec. 3 Program, Coordinator - Amp. #1	1408	1	\$30,000.00	\$30,000.00	\$0.00	\$0.00	
11-1 Mills Memorial	Staff Training - Amp. #1	1408	1	\$6,000.00	\$6,000.00	\$0.00	\$0.00	
11-2 Community Twr.	Activites Coordinator - Amp. #2	1408	1	\$25,000.00	\$25,000.00	\$0.00	\$0.00	
11-2 Community Twr.	Security Patrols - Amp. #2	1408	2	\$50,000.00	\$50,000.00	\$0.00	\$0.00	
11-2 Community Twr.	Staff Training - Amp. #2	1408	1	\$6,000.00	\$6,000.00	\$0.00	\$0.00	
11-1 Mills Memorial	Administrative Costs - Amp. #1	1410	2	\$40,156.00	\$40,156.00	\$0.00	\$0.00	
11-2 Community Twr.	Administrative Costs - Amp. #2	1410	2	\$37,004.00	\$37,004.00	\$0.00	\$0.00	
11-1 Mills Memorial	Fees and Costs - Amp. #1	1430	0	\$22,500.00	\$22,500.00	\$0.00	\$0.00	
11-2 Community Twr	Fees and Costs - Amp. #2	1430	0	\$17,500.00	\$17,500.00	\$0.00	\$0.00	
11-1 Mills Memorial	Construct Heating Plant Buildings and associated ground work - Amp. #1	1460	3	\$74,257.00	\$74,257.00	\$0.00	\$0.00	
11-1 Mills Memorial	Strip and replace roofs on three low rise and two high rise buildings, replace internal gutters and downspouts Amp. #2	1460	5	\$107,657.00	\$107,657.00	\$0.00	\$0.00	
11-2 Community Twr	Replace elevator cars - South Tower - Amp #2	1460	2	\$175,000.00	\$175,000.00	\$0.00	\$0.00	
	Total for CT26P011501-10			\$774,598.00	\$774,598.00	\$0.00	\$0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program						Federal FFY of Grant: 2010	
PHA Name: Housing Authority of the City of Meriden							
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Original Expenditure End Date	Actual Expenditure End Date	Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date			
11-1 Mills Memorial	6/30/2012				6/30/2013		
11-2 Community Towers	6/30/2012				6/30/2013		
11-1 Mills Memorial	6/30/2012				6/30/2013		
11-1 Mills Memorial	6/30/2012				6/30/2013		
11-1 Mills Memorial	6/30/2012				6/30/2013		
11-2 Community Towers	6/30/2012				6/30/2013		
11-2 Community Towers	6/30/2012				6/30/2013		
11-2 Community Towers	6/30/2012				6/30/2013		
11-1 Mills Memorial	6/30/2012				6/30/2013		
11-2 Community Towers	6/30/2012				6/30/2013		
11-1 Mills Memorial	6/30/2012				6/30/2013		
11-2 Community Towers	6/30/2012				6/30/2013		
11-1 Mills Memorial	6/30/2012				6/30/2013		
11-2 Community Towers	6/30/2012				6/30/2013		
11-1 Mills Memorial	6/30/2012				6/30/2013		
11-2 Community Towers	6/30/2012				6/30/2013		
11-1 Mills Memorial	6/30/2012				6/30/2013		
11-2 Community Towers	6/30/2012				6/30/2013		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant: FY-2009 FFY of Grant Approval:	
PHA Name: Housing Authority of the City of Meriden		Capital Fund Program Grant No: CT26P011501-09 Replacement Housing Factor Grant No: Date of CFFP: 6/13/2008			
Type of Grant		Reserve for Disasters/Emergencies		Revised Annual Statement (revision no:)	
<input type="checkbox"/> Original Annual Statement and Evaluation Report for Period Ending: 3/31/2011		<input type="checkbox"/> Final Performance and Evaluation Report			
Summary by Development Account		Total Estimated Cost		Total Actual Cost ¹	
Line		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	\$154,890.00	\$154,890.00	\$104,153.57	\$73,428.98
3	1408 Management Improvements	\$145,500.00	\$145,500.00	\$76,235.00	\$13,528.40
4	1410 Administration (may not exceed 10% of line 21)	\$77,445.00	\$77,445.00	\$36,089.25	\$36,089.25
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$49,242.00	\$49,242.00	\$34,930.47	\$20,734.88
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$0.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$330,000.00	\$330,000.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment—Nonexpendable	\$17,373.00	\$17,373.00	\$16,273.00	\$16,273.00
12	1470 Non-dwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Non-dwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities ⁴	\$0.00	\$0.00	\$0.00	\$0.00

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
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Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary		FFY of Grant: FY2009 FFY of Grant Approval:	
PHA Name: of the City of Meriden	Grant Type and Number Capital Fund Program Grant No: CT26P011501-09 Replacement Housing Factor Grant No: Date of CFFP:		
Type of Grant	<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011		
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ²
		Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	\$0.00
19	1502 Contingency (may not exceed 8% of line 20)	\$0.00	\$0.00
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$774,450.00	\$267,681.29
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Activities	\$0.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$50,000.00	\$10,312.28
25	Amount of line 20 Related to Energy Conservation Measures	\$17,373.00	\$16,273.00
Signature of Executive Director		Signature of Public Housing Director	
Date 3/3/11		Date	

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⁴ RHF funds shall be included here.

Part II: Supporting Pages		Federal FFY of Grant: FY2009						
PHA Name: Housing Authority of the City of Meriden		Grant Type and Number Capital Fund Program Grant No: CT26P011501-09						
Development Number Name/PHA-Wide Activities		CFPP (Yes/No): Yes Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
11-1 Mills Memorial	Operations - Amp #1	1406	140	\$87,220.00	\$87,220.00	\$74,804.17	\$44,079.58	
11-2 Community Twr	Operations - Amp #2	1406	221	\$67,670.00	\$67,670.00	\$29,349.40	\$29,349.40	
11-1 Mills Memorial	Staff Training - Amp #1	1408	1	\$3,500.00	\$3,500.00	\$685.00	\$685.00	
11-2 Community Twr	Staff Training - Amp #2	1408	2	\$4,500.00	\$4,500.00	\$550.00	\$550.00	
11-1 Mills Memorial	Section 3 Training Program - Amp #1	1408	3	\$33,500.00	\$33,500.00	\$0.00	\$0.00	
11-1 Mills Memorial	Section 3 Program Coord.- Amp #1	1408	1	\$29,000.00	\$29,000.00	\$25,000.00	\$1,981.12	
11-2 Community Twr	Activites Coordinator - Amp #2	1408	1	\$25,000.00	\$25,000.00	\$0.00	\$0.00	
11-2 Community Twr	Additional Security Patrols - Amp #2.	1408	2	\$50,000.00	\$50,000.00	\$50,000.00	\$10,312.28	
11-1 Mills Memorial	Administrative Salary - Amp #1	1410	2	\$43,756.00	\$43,756.00	\$24,016.50	\$24,016.50	
11-2 Community Twr	Administrative Salary - Amp #2	1410	2	\$33,689.00	\$33,689.00	\$12,072.75	\$12,072.75	
11-1 Mills Memorial	Fees and Costs - Amp #1	1430	1	\$20,500.00	\$20,500.00	\$20,500.00	\$18,764.41	
11-2 Community Twr	Fees and Costs - Amp #2	1430	1	\$28,742.00	\$28,742.00	\$14,430.47	\$1,970.47	
11-1 Mills Memorial	Install Roof - HVAC Unit Central Office - Amp #1	1460	1	\$80,000.00	\$80,000.00	\$0.00	\$0.00	
11-1 Mills Memorial	Install Roof on Central Office - Amp.#1	1460	1	\$75,000.00	\$75,000.00	\$0.00	\$0.00	
11-2 Community Twr	Replace Elevator cars, controls, drive motors, controls - Amp #2	1460	2	\$175,000.00	\$175,000.00	\$0.00	0.00	
11-1 Mills Memorial	Replace existing electric ranges with energy star rated units - Amp #1	1465.1	15	\$4,000.00	\$6,292.00	\$6,292.00	\$6,292.00	
11-1 Mills Memorial	Replace existing with energy star rated efficient refrigerators - Amp #1	1465.1	15	\$4,373.00	\$2,081.00	\$2,081.00	\$2,081.00	
11-2 Community Twr	Replace existing electric ranges with Energy start rated units - Amp #2	1465.1	15	\$3,500.00	\$3,500.00	\$3,500.00	\$3,500.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part II: Supporting Pages		Federal FFY of Grant: 2009					
PHA Name: Housing Authority of the City of Meriden		Grant Type and Number					
Development Number		Capital Fund Program Grant No: CT26P011501-09			FFFP (Yes/ No): Yes		
Name/PHA-Wide Activities		Replacement Housing Factor Grant No:			Status of Work		
Development Number	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
11-2 Community Twrs	Replace existing refrigerators with energy start rated units - Amp #2 Total for CT26P011501-09	1465.1	25	\$5,500.00	\$5,500.00	\$4,400.00	\$4,400.00
				\$774,450.00	\$774,450.00	\$267,681.29	\$160,054.51

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program						
PHA Name: Housing Authority of the City of Meriden						
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹	
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date		
11-1 Mills Memor. Amp #1	6/30/2011		6/30/2012			
11-2 C. Twrs. Amp #2	6/30/2011		6/30/2012			
11-1 Mills Memor. Amp #1	6/30/2011		6/30/2012			
11-2 C. Twrs. Amp #2	6/30/2011		6/30/2012			
11-1 Mills Mem. Amp #1	6/30/2011		6/30/2012			
11-1 Mills Mem. Amp. #1	6/30/2011		6/30/2012			
11-2 C. Towers Amp #2	6/30/2011	3/13/2011	6/30/2012		Additional security hours, higher salaries	
11-2 C. Towers Amp #2	6/30/2011		6/30/2012			
11-1 Mills Memor. Amp #1	6/30/2011		6/30/2012			
11-2 C. Towers Amp. #2	6/30/2011		6/30/2012			
11-1 Mills Memor Amp. #1	6/30/2011	1/13/2011	6/30/2012	5/12/2011	Design for construction project sooner than antic.	
11-2 C Towers Amp. #2	6/30/2011		6/30/2012			
11-1 Mills Memor. Amp #1	6/30/2011		6/30/2012			
11-1 Mills Memor Amp. #1	6/30/2011		6/30/2012			
11-2 C Towers Amp. #2	6/30/2011		6/30/2012			
11-1 Mills Memor.Amp #1	6/30/2011		6/30/2012			

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program						Federal FFY of Grant: 2009
PHA Name: Housing Authority of the City of Meriden						Reasons for Revised Target Dates ¹
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Actual Expenditure Date	
	Original Obligation Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure Date		
11-1 Mills Memor Amp. #1	6/30/2011	8/24/2010	6/30/2012	8/24/2010		Replace ranges sooner than anticipated
11-1 Mills Memorial - Amp #1	6/30/2011	8/24/2010	6/30/2012	8/24/2010		Replace refrigerators sooner than anticipated.
11-C Towrs Amp. #2	6/30/2011		6/30/2012			
11-2 C. Towers - Amp #2	6/30/2011	2/10/2011	6/30/2012	2/10/2011		Replaced more ranges than anticipated.

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: CT26P011501-08 Replacement Housing Factor Grant No: Date of CFFP: 6/13/2008		FFY of Grant: FY-2008 FFY of Grant Approval: 6/13/2008	
Type of Grant	<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011	<input type="checkbox"/> Reserve for Disasters/Emergencies	<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Original	Total Estimated Cost Revised ²	Obligated	Total Actual Cost ¹ Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	\$156,787.00	\$156,787.00	\$156,787.00	\$156,787.00
3	1408 Management Improvements	\$140,000.00	\$140,000.00	\$140,000.00	\$119,072.71
4	1410 Administration (may not exceed 10% of line 21)	\$78,393.00	\$78,393.00	\$78,393.00	\$78,393.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$42,884.00	\$42,884.00	\$42,884.00	\$42,884.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$0.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$350,873.00	\$350,873.00	\$350,873.00	\$96,737.50
11	1465.1 Dwelling Equipment—Nonexpendable	\$15,000.00	\$15,000.00	\$15,000.00	\$15,000.00
12	1470 Non-dwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Non-dwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities ⁴	\$0.00	\$0.00	\$0.00	\$0.00

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: FY2008		
PHA Name: Housing Authority of the city of Meriden		FFY of Grant Approval: 6/13/2008		
Grant Type and Number Capital Fund Program Grant No: CT26P011501-08 Replacement Housing Factor Grant No: Date of CFFP: 6/13/2008				
Type of Grant		<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹
		Original	Revised ²	
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00	\$0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	\$0.00	\$0.00
19	1502 Contingency (may not exceed 8% of line 20)	\$0.00	\$0.00	\$0.00
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$783,937.00	\$783,937.00	\$508,874.21
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Activities	\$0.00	\$0.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$45,000.00	\$52,382.00	\$48,595.73
25	Amount of line 20 Related to Energy Conservation Measures	\$15,000.00	\$15,000.00	\$15,000.00
Signature of Executive Director		Signature of Public Housing Director		Date
				8/3/2011

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages		Federal FFY of Grant: FY2008					
PHA Name: Housing Authority of the City of Meriden		Grant Type and Number Capital Fund Program Grant No: C426P01150108 CFFP (Yes/No): Yes Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
11-0 Authority Wide	Operations	1406	0	\$156,787.00	\$156,787.00	\$156,787.00	\$156,787.00
11-0 Authority Wide	Staff Training	1408	0	\$15,000.00	\$4,895.64	\$4,895.64	\$4,895.64
11-1 Mills Memorial	Resident Job Training	1408	0	\$27,500.00	\$55,000.00	\$55,000.00	\$37,858.98
11-2 C Towers Apts.	Activities Coordinator	1408	1	\$25,000.00	\$27,722.36	\$27,722.36	\$27,722.36
11-2 C Towers Apts.	Additional Security Patrols	1408	0	\$45,000.00	\$52,382.00	\$52,382.00	\$48,595.73
11-5 Chamberlain Hts	Resident Job Training	1408	0	\$27,500.00	\$0.00	\$0.00	\$0.00
11-0 Authority Wide	Administrative Salaries, Fringe Benefits	1410	0	\$78,393.00	\$78,393.00	\$78,393.00	\$78,393.00
11-0 Authority Wide	Fees and costs for A & E Services	1430	0	\$42,884.00	\$42,884.00	\$42,884.00	\$42,884.00
11-1 Mills Memorial Apartments.	Install building to house new boilers and hot water heaters for Mills Mem.	1460	3	\$0.00	\$55,000.00	\$55,000.00	\$46,300.00
11-1 Mills Memorial Apartments	Purchase new, secure mailboxes for Mills Memorial entry lobbies	1460	140	\$0.00	\$7,537.50	\$7,537.50	\$7,537.50
11-1 Mills Memorial Apartments	Various upgrades for Security and Health/Safety issues for residents	1460	0	\$0.00	\$9,402.50	\$9,402.50	\$0.00
11-2 Community Towers Apartments	Replace automatic entry doors and automatic opener into Comm. Room	1460	221	\$59,840.00	\$42,900.00	\$42,900.00	\$42,900.00
11-2 C Towers Apts.	Replace annunciator panel and entry telephone system	1460	0	\$0.00	\$0.00	\$0.00	\$0.00
11-2 C Towers Apts.	Cyclical painting of all units areas.	1460	0	\$156,033.00	\$0.00	\$0.00	\$0.00
11-2 C Towers	Installation of piping, engineering services, A/C sleeve replacement	1460	0	\$0.00	\$236,033.00	\$236,033.00	\$0.00
11-5 Chamberlain Heights	Replace exterior and storm doors	1460	0	\$135,000.00	\$0.00	\$0.00	\$0.00
11-1 Mills Memorial	Purchase energy star rated refrigerators	1465.1	0	\$6,000.00	\$6,000.00	\$6,000.00	\$6,000.00
11-1 Mills Memorial	Purchase energy star rated ranges	1465.1	0	\$3,000.00	\$3,000.00	\$3,000.00	\$3,000.00
11-2 C Towers Apts.	purchase energy star rated refrigerators	1465.1	0	\$4,000.00	\$4,000.00	\$4,000.00	\$4,000.00

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part II: Supporting Pages		Federal FFY of Grant: FY-2008						
PHA Name: Housing Authority of the City of Meriden		Grant Type and Number Capital Fund Program Grant No: CT26P01150108 CFFP (Yes/No): Yes Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
11-2 C Towers Apts.	purchase energy star rated electric ranges	1465.1	0	\$2,000.00	\$2,000.00	\$2,000.00	\$2,000.00	
	Total for CT26P011501-08			\$783,937.00	\$783,937.00	\$783,937.00	\$508,874.21	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part III: Implementation Schedule for Capital Fund Financing Program							Federal FFY of Grant: FY-2008
PHA Name: Housing Authority of the City of Meriden							
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹		
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date			
11-0 Authority Wide	5/30/2010	6/1/2010	5/30/2011	2/10/2011			
11-0 Authority Wide	5/30/2010	10/31/2010	5/30/2011	5/14/2010		Did not assign as many staff to training	
11-1 Mills Memorial Apt	5/30/2010	6/1/2010	5/30/2011			Additional staff assigned for Sec 3 training	
11-2 Community Towers	5/30/2010	3/4/2010	5/30/2011			Additional hours by Activites Co-Ordinator	
11-2 Community Towers	5/30/2010	3/9/2009	5/30/2011	6/7/2009		Security patrols increased for resident safety	
11-5 Chamberlain Hts.	5/30/2010		5/30/2011			C. Heights residents did not participate in training	
11-0 Authority Wide	5/30/2010	6/1/2010	5/30/2011	6/16/2010		Salary to fund Executive Director and Asset Manager	
11-0 Authority Wide	5/30/2010	3/10/2010	5/30/2011	11/29/2010		Hired more consultants and A & E than anticipated	
11-1 Mills Memorial Apts.	3/17/2010	5/19/2011	3/17/2011			Funding reprogrammed for boiler building	
11-1 Mills Memorial Apts.	11/2/2010	11/2/2010	12/16/2010	12/16/2010			
11-2 Community Towers	5/30/2010	7/20/2010	5/30/2010	12/21/2010		Doors replaced during warmer weather	
11-2 Community Towers						Item deleted, funds reprogrammed.	
11-2 Community Towers						Item deleted, funds reprogrammed.	
11-2 Community Twrs.	5/30/2010	11/30/2009	5/30/2011			Deleted item, funds reprogrammed.	
11-5 Chamberlain Heights							
11-1 Mills Memorial Apts.	5/30/2010	10/24/2008	5/30/2011	11/7/2008		Purchased energy star rated refrigerators earlier	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
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Part III: Implementation Schedule for Capital Fund Financing Program						Federal FFY of Grant: FY-2008	
PHA Name: Housing Authority of the City of Meriden							
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹		
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date			
11-1 Mills Memorial	5/30/2010	7/21/2008	5/30/2011	9/30/2008	Purchased energy star rated ranges earlier		
11-2 C Towers Apts.	5/30/2010	11/13/2009	5/30/2011	12/14/2009	Purchased energy star rated refrigerators earlier		
11-2 C Towers Apts.	5/30/2010	7/31/2010	5/30/2011	7/31/2010	purchased energy star rated ranges when replacement was necessary		

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary		FFY of Grant: FY-20-07 FFY of Grant Approval: 9/13/2007			
PHA Name: Housing Authority of the City of Meriden		Grant Type and Number Capital Fund Program Grant No: CT26P01150107 Replacement Housing Factor Grant No: Date of CFFP: 9/13/2007			
Type of Grant	<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011	<input checked="" type="checkbox"/> Revised Annual Statement (revision no:1) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	\$148,800.00	\$148,800.00	\$148,800.00	\$148,800.00
3	1408 Management Improvements	\$140,000.00	\$140,000.00	\$140,000.00	\$140,000.00
4	1410 Administration (may not exceed 10% of line 21)	\$76,900.00	\$76,900.00	\$76,900.00	\$76,900.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$30,569.00	\$30,569.00	\$30,569.00	\$30,569.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$150,836.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$171,896.00	\$322,732.00	\$322,732.00	\$173,956.00
11	1465.1 Dwelling Equipment—Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Non-dwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Non-dwelling Equipment	\$50,000.00	\$50,000.00	\$50,000.00	\$50,000.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities ⁴	\$0.00	\$0.00	\$0.00	\$0.00

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary		FFY of Grant: FY-2007 FFY of Grant Approval: 9/13/2007	
PHA Name: Housing Authority of the City of Meritide	Grant Type and Number Capital Fund Program Grant No: CT26P01150107 Replacement Housing Factor Grant No: Date of CFFP: 9/13/2007		
Type of Grant	<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2011	<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Final Performance and Evaluation Report	
	<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Summary by Development Account		
Line	Summary	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ²
		Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	\$0.00
19	1502 Contingency (may not exceed 8% of line 20)	\$0.00	\$0.00
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$769,001.00	\$769,001.00
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Activities	\$0.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$40,000.00	\$76,000.00
25	Amount of line 20 Related to Energy Conservation Measures	\$145,926.00	\$267,776.00
Signature of Executive Director		Signature of Public Housing Director	
Date		Date	
		8/3/2011	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFF Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages		Federal FFY of Grant: FY-2007					
PHA Name: Housing Authority of the City of Meriden		Grant Type and Number Capital Fund Program Grant No: CT26P01150107 CFFP (Yes/No): Yes Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
11-0 Authority Wide	Operations	1406	0	\$148,800.00	\$148,800.00	\$148,800.00	\$148,800.00
11-0 Authority Wide	Staff Training	1408	0	\$15,000.00	\$12,447.89	\$12,447.89	\$12,447.89
11-1 Mills Memorial	Resident Job Training	1408	0	\$30,000.00	\$57,107.81	\$57,107.81	\$57,107.81
11-2 C. Towers	Activites Coordinator	1408	0	\$25,000.00	\$28,777.74	\$28,777.74	\$28,777.74
11-2 C.Towers	Security Patrols	1408	0	\$40,000.00	\$40,000.00	\$40,000.00	\$40,000.00
11-5 Chamberlain Hts.	Resident Job Training	1408	0	\$30,000.00	\$1,666.56	\$1,666.56	\$1,666.56
11-0 Authority Wide	Administrative - Salaries & Fringe	1410	2	\$76,900.00	\$76,900.00	\$76,900.00	\$76,900.00
11-0 Authority Wide	Fees & Costs for A & E Services	1430	0	\$30,569.00	\$30,569.00	\$30,569.00	\$30,569.00
11-2 Community Twrs.	Replace all parking lots and circular driveway entries.	1450	0	\$150,836.00	\$0.00	\$0.00	\$0.00
11-1 Mills Memorial	Install Bathroom Vent fans	1460	0	\$49,468.00	\$0.00	\$0.00	\$0.00
11-1 Mills Memorial	Emergency Repair to elevator at 144 Pratt St	1460	1	\$0.00	\$21,956.00	\$21,956.00	\$21,956.00
11--1 Mills Memorial Apartments	Install security cameras in entry lobbies at 144 Pratt and 40 Cedar St.	1460	20	\$0.00	\$36,000.00	\$36,000.00	\$27,000.00
11-2 C Towers	REplace roofs on all buildings (North and South Towers & Community Room.)	1460	0	\$122,428.00	\$0.00	\$0.00	\$0.00
11-2 C Towers	Installation of piping. engineering services & A/C sleeve replacment	1460	1	\$0.00	\$180,439.00	\$180,439.00	\$125,000.00
11-2 C Towers	Install new Stand-By Generator	1460	1	\$0.00	\$84,337.00	\$84,337.00	\$0.00
11-0 Authority Wide	Purchase new	1475	1	\$50,000.00	\$50,000.00	\$50,000.00	\$50,000.00
	Grand Total of CT26P011501-07			\$769,001.00	\$769,001.00	\$769,001.00	\$620,225.00

¹To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

²To be completed for the Performance and Evaluation Report.

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 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program							Federal FFY of Grant: 2007
PHA Name: Housing Authority of the City of Meriden							Reasons for Revised Target Dates
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Actual Expenditure Date	Actual Expenditure Date	
	Original Obligation Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure Date			
11-0 Authority Wide	9/30/2009	9/16/2009	6/30/2010	2/24/2009	2/24/2009	More emergencies than anticipated	
11-0 Authority Wide	9/30/2009	7/1/2008	6/30/2010	10/13/2009	10/13/2009	Additional staff sent for training	
11-1 Mills Memorial	9/30/2009	7/30/2009	6/30/2010	7/30/2009	7/30/2009	Sec. 3, more participants than anticipated	
11-2 Community Twrs.	9/30/2009	6/30/2008	6/30/2010	7/30/2009	7/30/2009	Additional hours for Activities Coordinator.	
11-2 Community Twrs.	9/30/2009	4/22/2008	6/30/2010	3/9/2009	3/9/2009	Security hours increased coverage and wages	
11-5 Chamberlain Hts.	9/30/2009	6/30/2009	6/30/2010	6/30/2009	6/30/2009	Chamberlain Heights residents did not participate	
11-0 Authority Wide	9/30/2009	5/31/2008	6/30/2010	8/3/2009	8/3/2009	Increase in salaries and Modernization personnel	
11-0 Authority Wide	9/30/2009	7/21/2008	6/30/2010	7/7/2009	7/7/2009	Fees and costs for A & E and consultants higher	
11-2 Community Twrs	9/30/2009		6/30/2010			deleted item	
11-1 Mills Memorial	9/30/2009		6/30/2010			deleted item	
11-1 Mills Memorial	3/6/2009	3/6/2009	8/1/2009	10/28/2009	10/28/2009	Billing error, MHA reimbursed through CPF	
11-1 Mills Memorial	11/23/2011	11/23/2010	3/15/2011			Items still being installed	
11-2 Community Twrs.	9/30/2009					Item deleted, funds reprogrammed	
11-2 Community Twrs.	8/11/2008	8/11/2008	8/11/2011			Preparing for construction	
11-2 Community Twrs.	2/15/2011		9/1/2011			Item not ordered as yet	
11-0 Authority Wide	9/30/2009		6/30/2010		9/15/2009	Truck purchased	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Statement of Progress Meeting 5-Year Plan Mission & Goals

During the past year the Housing Authority of the City of Meriden continued to make progress in its targeted programs and activities.

Accomplishments

- Received Category IV competitive grant ARRA funds for the construction of energy upgrades and supportive infrastructure of an electricity producing co-generation plant for a 224 unit elderly/disabled development.**
- Received HUD approval of an Energy Performance Contract for Community Towers Apartments.**
- Received a \$106,456.00 2010 FSS Housing Choice Voucher grant from the Department of Housing and Urban Development, to further economic and housing opportunities for families that participate in Section 8 Housing Choice Voucher program.**
- Expended \$ 897,500 under the ARRA formula grant for modernization of Mills Memorial. The modernization includes new entry doors on all of the apartments, new elevator cars, new**

- electronic locks, new lobbies, and security and new entry doors.**
- **Received a high performance score on SEMAP in Sept 2010.**
 - **Received a 9% CHFA tax credit award for Chamberlain Heights redevelopment of 124 family units. Phase One 34 units of the Chamberlain Heights development is completed and 30 units are occupied.**
 - **Received 58 responses from Chamberlain Heights' resident that had been relocated due to the renovations that wish to return.**
 - **The MHA is collaborating with the City of Meriden on a plan for the redevelopment of downtown including residential housing. The City is also ready to start a dramatic effort to re-engineer Harbor Brook which runs from north to south through the downtown and under the Mills Memorial site.**
 - **Received zoning approval for nine (9) new project based Section 8 housing units for veterans.**
 - **Received an award for 4 Family Unification Program Vouchers. The only award in Connecticut this year.**
 - **Completed the 2nd EPC program for Mills Memorial.**

- **Reviewed and amended the Section 8 Administrative Plan and the Admissions and Continued Occupancy (ACOP) policies to give a waiting list preference to residents of Meriden that are experiencing a rental burden.**

And, as always, the Authority will continue to assess its administrative systems and practices in light of changing resource levels, federal policy priorities and changing local priorities.

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: Housing Authority of the City of Meriden PHA Code: CT-11 PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: October 1, 2011				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 361 Number of HCV units: 722				
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
					PH HCV
	PHA 1:				
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <i>The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.</i>				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <p style="text-align: center;">See Attachment A</p>				

PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

The MHA has revised its Section 8 Housing Choice Voucher Program preference structure.

6.0

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

Copies of the Housing Agency Plan are available for public review at the Authority's Main Office located at 22 Church Street (this location is wheelchair accessible) & at the Mills Memorial Resident Service Office located at 40 Mills Street, 1B, and Community Towers, 55 Willow Street (this location is wheelchair accessible), Meriden, Connecticut.

Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.
Include statements related to these programs as applicable.

The Authority does anticipate a HOPE VI application during FYE 2011.

The MHA is in the early stages of a planning process for the redevelopment of Mills Apartments. The City of Meriden has developed a plan for redevelopment of downtown including the development of an Intermodal Transportation Center. The City is also ready to start a dramatic effort to re-engineer Harbor Brook which runs from north to south through the downtown and under the Mills Memorial site.

Mills Memorials redevelopment will need to be integrated into any larger vision for downtown housing. The MHA intends work with the City to arrive at an overall vision for the residential aspects of downtown Meriden that will incorporate smart and responsible growth principles and which will help revive the downtown area as a vibrant residential neighborhood proximate to and within walking distance of shopping.

7.0

The planning process will consider all sources of funding including HOPE VI or a successor program such as Choice Neighborhoods as well as Low Income Housing Tax Credits, issuance of tax exempt bonds, state of Connecticut program such as the CT Housing Trust Fund or Flex. The MHA is committed to providing all existing residents with housing options and choices that meet their needs and preferences to the greatest extent feasible and consistent with obligations under Section 18 of the 1937 Housing Act and applicable state laws.

The MHA will continue to operate a home-ownership program using its Section 8 Program and coordinated with its FSS Program.

The MHA will implement a Project-based Housing Choice Voucher Program in support of its redevelopment activities and as source of replacement units for ACC public housing units that may no longer be available as an outcome of redevelopment activities. This may include the development of 9 units of Section 8 PBV with supportive services for veterans during the current fiscal year.

The MHA will seek to develop supportive housing whenever opportunities arise that provide for long term links to supportive service funding that can be codified in MOA/MOU arrangements.

AN APPLICATION FOR DEMOLITION OR DISPOSITION SUBJECT TO 24 CFR 970 IS ANTICIPATED DURING THE FISCAL YEAR COVERED BY THIS AGENCY PLAN.

8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p style="text-align: center;">Attachment B for the 2010 Annual Statement Attachment C for the 2009 ARRA Annual Statements. Competitive and Formula, and Attachment D for the Performance & Evaluation Reports for 2007, 2008, 2009 (non-ARRA)</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p style="text-align: center;">See Attachment E for copy of Five-Year Action Plan</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/ Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p style="text-align: center;">For an integrated presentation of needs and strategies to address these needs; See Section 9.1 below.</p>

Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the MHA within its current resources by:

- a. Reduce turnover time for vacated public housing units
- b. Seek replacement of public housing units lost to the inventory through mixed finance development
- c. Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- d. Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- e. Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- f. Participate in the Consolidated Plan development process to ensure coordination with broader community strategies

Strategy 2: Increase the number of affordable housing units by:

9.1

- a. Apply for additional section 8 units should they become available
- b. Leverage affordable housing resources in the community through the creation of mixed - finance housing
- c. Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- d. Implement Project-based Section 8 Voucher Program consistent with revised statutes.
- e. Amend Section 8 Admin Plan to allow and promote use of project-based vouchers in neighborhoods that are under a comprehensive revitalization and at other mixed-finance developments.

Need: Specific Family Types: Families at or below 50% of median

- a. Adopt rent policies to support and encourage work

Other Housing Needs & Strategies:

The Authority will continue to implement a Project-based Section 8 Program (PBV) track designed to work in tandem with other sources of affordable housing finance.

Given the historic under-funding of modernization, the Authority will be looking at ways to leverage resources to redevelop its public housing portfolio. Re-establishing a stable and viable housing portfolio is a major priority for the Authority over the development of incremental units but will not preclude supporting opportunities to assist other entities in the expansion of affordable housing in Meriden.

Additional Information. Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5- Year Plan.

See Attachment F

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

The Housing Authority of the City of Meriden considers a major change in the content of the Agency Plan for the fiscal year starting 10/1/2011 to consist of one or more the following:

10.0

1. Implementation of program incentives to increase the number of working households in the program.
2. A decision to submit a major application such as a Demolition/Disposition Application not already identified in the Plan.
3. A decision to request a voluntary conversion of public housing to Section 8 Vouchers.

For this fiscal year, the following actions are NOT to be considered major changes. These matters have been discussed with the RAB as part of the process resulting in the baseline Housing Agency Plan for 2011.

1. Submission of an Allocation Plan for Designated Housing
2. Revisions to the Section 8 Administrative Plan to address program integrity, customer service and to implement policies and procedures to improve management of program utilization levels.
3. Revisions to the ACOP to address program integrity and improve customer service.
4. Decisions to open or close a waiting list for a specific period of time.
5. Changes in the Capital Plan that represent reprogramming of funds in excess of 50% from the original baseline Annual Statement.

RESIDENT PARTICIPATION:

Through a variety of meetings with management staff. Residents in both Federal Properties identified 2 areas of concern:

1. Safety and Security
 - a. Cameras in place of security officers throughout the properties.
 - b. Resident i.d. system.
 - c. Sidewalks and Benches to be repaired.
 - d. Address odors coming through ventilation systems
2. Major Repairs or Replacement:
 - a. Elevators
 - b. Windows
 - c. Roofs

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- 11.0 Required Submission for HUD Field Office Review.** In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office.
- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights)
 - (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only)
 - (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only)
 - (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
 - (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
 - (f) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only)
 - (g) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

COMMENTS ON THE 2011 AGENCY PLAN AND MERIDEN HOUSING AUTHORITY RESPONSES

Resident Comments on Draft Agency Plan

As part of the Agency Plan process, resident meetings were held prior to the 45 day public comments process. No Comments were received from the Resident leadership or other attendees at these briefing and discussion sessions.

Public Hearing Comments

Executive Director Robert Cappelletti began the meeting at 3:02 PM, July 19, 2011 in the conference room located at 22 Church Street. Meeting is recorded.

Staff present: Andre P. Trudelle, Modernization Consultant and Jamie Urkevic, Administrative Assistant.

Resident Commissioner James White was also in attendance as a private citizen.

Executive Director Cappelletti addressed those present at the Public Hearing for the Agency Plan. A sign-in sheet was presented to those persons wishing to comment.

Executive Director Cappelletti announced that comments would be incorporated into the submission.

Colleen Cyr, 100 Edgehill Rd., Meriden, CT Ms. Cyr stated an ad was placed in the newspaper on May 31, 2011 stating a copy of the plan would be made available to the public. Ms. Cyr stated that she came to the MHA on June 6, 2011 to retrieve a copy. The plan was not available at the front desk. Ms. Cyr commented it would not be fair to blame the staff for not having the plan at the reception area as she believes it to have been practice not to have the draft plan at the reception area. At that point Ms. Cyr went home and e mailed **HUD**, cc to Executive Director Cappelletti where she stated she suggested that the hearing be delayed by one week as a 45 day public comment period must be adhered to. The week of July 12, 2011 a public notice was printed stating that the public comments hearing would be held July 19, 2011 at 3:00 PM thus extending the time frame for comment. Ms. Cyr stated her biggest objection to the draft plan was a section in the draft version where no formal comments received had been inserted. Ms. Cyr stated she had submitted written comments and felt that the meetings and her comments should be included. Ms. Cyr commented further by stating she believed this public hearing is illegal as only Mr. Cappelletti is the only one present. Furthermore, she

stated the process would be much better if all commissioners were present. Ms. Cyr said she objected to the vote for plan submission by the BOC having been taken during the 45 day public comment period. Ms. Cyr commented that the Agency should provide Residents with a Spanish version. Ms. Cyr stated she would like to see MHA vote on the plan again.

James White, 22 Lourdes Ct. Meriden, CT

Mr. White commented that he was in agreement with Ms. Cyr's issue of approving the annual/five year plan during the 45 day public comment period. Commissioner White sees in the five year plan there was resident job training at Chamberlain Heights. Mr. White is confused as to what this is and when it took place. Mr. White gave thanks for the inclusion of additional security needs at Community Towers. Mr. White discussed the inclusion of Section 3 in the last couple of annual plans. Mr. White commented that he has not seen nor have any other board members heard of any residents' are assisted by or any benefits brought forth by this program. Mr. White commented that in all cases the annual/five year plan must be available in Spanish. Mr. White stated this is mandated by CHFA and HUD. Mr. White commented he was not aware that Mills Memorial residents had even received the plan in Spanish at all. Mr. White stated that it was his belief the Mill's residents had not received the whole plan.

Lucille Malevenda, Meriden, CT - Questioned who put the package together? Her final comment was that it would be nice to see the Board of Commissioners have input into annual plan.

No further comments. Hearing ended at 4:30 PM

Meriden Housing Authority Response to Comments

Responses to Colleen Cyr's comments

Although Ms Cyr had problems obtaining a copy of the Annual Plan in that she expected that a copy would be available at the front reception desk and it was not, access to the Plan was available through the Executive Office and she was able to obtain a copy pursuant to the MHA's policy for providing documents to the public. A review copy of the draft plan was available as of the date of the legal notice.

However, Ms. Cyr's concern has resulted in a review of how the Agency Plan will be made available in the future and the following steps will be taken to expend access and avoid the cost of reproduction for those that do not need a copy made by the MHA:

- 1) Several review copies will be placed at the Meriden Public Library and be available to reviewed and if needed, copied using the machines available at the library. Review copies will not be allowed to be checked out of the building and they must be signed for and either a library card or license be held until the copy is returned.
- 2) The MHA does not have a website and at this time views a website as a low priority expense given the level of effort to maintain a site that is kept current and accurate. However, the MHA will discuss with the City the possibility of posting the Annual Plan on the city's website for the 45 day comment period.
- 3) The MHA will not be translating the HUD forms and other documents that make up the Agency Plan, but and consistent with the MHA' Limited English Proficiency (LEP) administrative policies, translators are made available and in the case of Agency Plan meetings in attendance to assist as needed. For Agency Plan meetings with the residents Spanish speaking translators are in attendance. Upon request or recommendation from property staff, translators for the deaf or other languages can be made available.

Ms. Cyr expressed other concerns some of which were presented in writing to which the MHA responses are:

- 4) There is no requirement in the regulations and statute that the Public Hearing be before the Board of Commissioners. Anyone may attend a Board of Commissioner meeting and there is an agenda that allows the public to address the Board on any topic on their mind.
- 5) Despite the fact that the MHA considers the Agency Plan properly posted and available upon request consistent with the date of the legal notice, the comment period was extended specifically to address Ms. Cyr's concern that the Plan was not available as described. Furthermore, Ms, Cyr's comments seem somewhat circular in that she seems to want comments and responses in the draft, even though it is physically impossible for the draft released at the start of the 45 day public comment process to have comment received during the 45 days and at the public hearing incorporated into a review draft. As of the issuance of the draft no comments had been received from the residents or the resident leadership for properties covered by the Plan.
- 6) In her written comments, Ms Cyr was of the opinion that not including the state properties was a failing of the Plan. The Agency Plan does not cover state-aided programs and therefore no meetings or items concerning the MHA state-aided program are included. HUD's instructions concerning the Agency Plan is that it covers federal program only and HUD has made this point in a PIH

Administrative Notice back in 1999. Neither Yale Acres nor Johnson Farms are subject to the Agency Plan requirements.

- 7) Ms. Cyr raised an issue of the “privatization of public housing” and then linked her concern as an issue to the redevelopment of Chamberlain Heights. Her use of the term “privatization” represents an advocacy position that appears to be borrowed and factually inaccurate. All the units at Chamberlain Heights require incomes that are below 60% of area median income to be eligible, some units require lower income targeting than 60%. Federal public housing admits households at 80% of area median income, so Chamberlain has a lower income targeting than federal public housing. Furthermore, transactions like those used to finance the redevelopment of Chamberlain Heights are not privatization but are rather examples of private/public partnerships. Public/private partnerships have a record for stable properties which are less susceptible to the dangers of an annual budget process at the federal level, which can result in serious underfunding of property operating and capital needs.
- 8) Ms. Cyr claims that residents can be evicted by unilateral action by the MHA. We assume that when she says that “tenants lose their right to court eviction proceedings” that she believes that in Connecticut that “self help” evictions are legal and common. The MHA complies with state and federal law in all matters regarding termination of leases for such issues as non-payment of rent or material non-compliance with other lease requirements.
- 9) Ms. Cyr’s additional written comments concern the Maynard Road Corporation and its status and use. The Maynard Road Corporation is not covered by the requirements of 24 CFR 903 and thus its business matters and activities are not required to be part of an Agency Plan. The MRC is current in terms of tax status as a 501(c) 3 and is not involved in one or more “conspiracies” as alleged by Ms. Cyr.
- 10) The MHA is not “erasing” the Housing Choice Voucher waiting list as claimed by Ms. Cyr in her written comments.

Responses to James White’s Comments

Mr. White is incorrect that the Agency Plan must be available in Spanish and is also incorrect that the Connecticut Housing Finance Authority (CFHA) has any connection to the federal requirements regarding the Agency Plan. As stated above the MHA provides translators at all public process meeting regarding the Agency Plan and will provide translation services for other languages as needed. Mr. White concerns about his lack of knowledge about Section 3 compliance by the MHA and by the Chamberlain redevelopment will be addressed when the MHA prepares its form HUD 60002, which summarizes Section 3 activity for the fiscal year.

Responses Lucille Malevenda's Comments

The plan was put together by MHA staff. The MHA Board of Commissioner sees the Plan in draft during the 45 day comment period. The Plan cannot be submitted without a final approval by the Board, which for this year was the July regular meeting which occurred on July 25th, 2011.

Civil Rights Certification

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 06/30/2011

Civil Rights Certification

Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Housing Authority of the City of Warden
PHA Name

CT011
PHA Number/HA Code

<small>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)</small>	
Name of Authorized Official	Title
<i>Robert Cappolotti</i>	<i>Executive Director</i>
Signature	Date
<i>Robert Cappolotti</i>	<i>7/27/11</i>