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| PHA 5-Year and Annual Plan | U.S. Department of Housing and Urban Development Office of Public and Indian Housing | OMB No. 2577-0226 Expires 4/30/2011 |
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| 1.0 | PHA Information PHA Name: <u>East Hartford Housing Authority</u> PHA Code: _____ PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>10/2011</u> | | | | | | | | | | | | |
|------------|--|----------|--------------------------------------|-------------------------------|--|----|-----|--|--|--|--|--|--|
| 2.0 | Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>621</u> Number of HCV units: <u>431</u> | | | | | | | | | | | | |
| 3.0 | Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only | | | | | | | | | | | | |
| 4.0 | PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.) | | | | | | | | | | | | |
| | Participating PHAs | PHA Code | Program(s) Included in the Consortia | Programs Not in the Consortia | No. of Units in Each Program <table border="1"> <tr> <th>PH</th> <th>HCV</th> </tr> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> </table> | PH | HCV | | | | | | |
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| | PHA 1: | | | | | | | | | | | | |
| | PHA 2: | | | | | | | | | | | | |
| | PHA 3: | | | | | | | | | | | | |
| 5.0 | 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update. | | | | | | | | | | | | |
| 5.1 | Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The mission of the East Hartford Housing Authority (EHHA) is to serve the citizens of East Hartford by providing affordable housing opportunities in a safe environment while revitalizing and maintaining neighborhoods and a strong urban core. EHHA will work with the community by forming effective partnerships to maximize social and economic opportunities for the low-income, very low-income and extremely low-income families in EHHA's jurisdiction. EHHA's mission shall be accomplished by a fiscally responsible, creative organization committed to excellence in public service. | | | | | | | | | | | | |

5.2

Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

1. Promote the overall goal of decent, safe and affordable housing by using the Section 8 Program to house eligible extremely low-income and very low-income families in private rental housing located outside areas of poverty or minority concentration while maintain their rent payments at an affordable level; therefore, increasing the housing stock available to extremely low and very low income families.
2. Improve East Hartford's housing stock by ensuring that all units, through participation in the Section 8 Program, meet Section 8 Housing Quality Standards, requiring participating landlords to meet such standards for their rental property. Through such enforcement efforts the intent and goals of the Housing And Community Development Act of 1974 will be met. Participation in the Section 8 Program by owner and participants will allow EHHA to preserve and utilize existing housing stock in our community.
3. Promote fair housing and the opportunity for extremely low and very low income families of all ethnic backgrounds to experience freedom of housing choice through compliance in letter and spirit with Title VI of the Civil Rights Act of 1964, and all other applicable federal laws and regulations. This is to insure that admission to and continued occupancy in assisted housing are conducted without regard to race, color, religion, sex, sexual orientation, creed, handicap or disability, age, familial or marital status, lawful source of income and national or ethnic origin.
4. Administer the Agency to continue to improve EHHA's support systems and commitment to our employees and their development. EHHA will meet this goal through ongoing in-house and professional training seminars for all employees administering its housing programs.
5. Maintain a high level of standards and professionalism in our day-to-day management of all program components.
6. Improve positive public awareness and expand the level of support among families, owners and the community in accomplishing EHHA's mission.
7. Work in cooperation with the Board of Commissioners, Town Council, HUD, Residents and other appropriate parties to get out of troubled status.
8. Review and update the procurement policy with more stringent procurement and train staff accordingly.
9. Implement better financial tracking.
10. Reduce the vacant unit turnaround time.
11. Better oversight of the Work Order process.
12. Provide ongoing periodic training in fair housing requirements.
13. Establish a schedule of required submission deadline of all documents which need to be submitted to HUD or other agencies.
14. Increase the availability of assisted housing through reducing vacancies and possible acquisition or development.
15. Open up Public Housing Waiting Lists.
16. Review and if necessary update Payment Standards and Flat Rent schedules.
17. Continue to pursue funding to assist in social services to all tenants and promote self-sufficiency.
18. Maintains a partnership with Town of East Hartford Department of Social Services for referral and counseling services for any applicants and residents that have reported and/or filed VAWA Certification with EHHA.
19. Establish a partnership with "Interval House", a women's and children's Domestic Violence Shelter and DV Community Education Provider. In partnering with Interval House, EHHA can effectively provide Education and Outreach to its Public Housing residents and Section 8 participants in crisis.
20. Organize and hold trainings for RAB Board Representatives to improve the ability of its members to effectively communicate the needs of the developments they represent and resident input on EHHA's policies and objectives.
21. To hold an annual educational town-wide meeting for Public Housing residents, Section 8 participants and Section 8 owners regarding VAWA. This meeting provides much needed clarification of tenants' rights, owners' responsibilities an outreach to families in crisis.
22. Continue physical improvements at Hutt Heights, a 30 unit, 2 building elderly/disabled with 504 compliance upgrade at apartment complex.
23. Reduce concentration of apartments by combining efficiencies into one-bedroom units at AMP 100, AMP 200 and AMP 300 to make 504 compliance units.
24. Utilize Connecticut Energy Efficiency Fund for applicable CL&P or CNG rebates for energy efficiency improvements installed at properties. Utilize CL&P WRAP program to install energy efficiency measures such as: interior/exterior lighting replacements, Energy Star refrigerators, insulation improvements and general weatherization if qualified. Utilize Energy Audit information to plan improvements at properties with Capital Fund projects to achieve overall energy usage reduction.

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| 6.0 | <p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <ul style="list-style-type: none"> • Purged Section 8 and Public Housing waiting lists in October, 2010. • Continue annual execution of VAWA Lease Addendums. EHHA has began and continues implementation of partnerships with community agencies regarding the education of Public Housing residents, Section 8 participants and Section 8 owners regarding VAWA. • Maintained EIV policies and procedures through updated annual trainings. • Brought the UPCS Inspection System on line. • Updated Personnel Policy, Information Systems Use Policy, Work Rules, Policy Statement on a Drug-Free Workplace, Ethics Policy and Motor Vehicle Use Policy • Provided information for the “Renters Rebate Program” to assist residents. • Performed unit 504 compliance upgrades at Elms Village and budgeted units at Rochambeau, Shea Gardens and Hutt Heights. • CFP 2008 <ul style="list-style-type: none"> - Completed Common Area Improvements project at Hockanum Park, Shea Gardens, Meadow Hill, Elms Village, The Highlands, Heritage Gardens and Miller Gardens. - Completed Perimeter Fencing replacement project at a portion of Hockanum Park • CFP 2009 <ul style="list-style-type: none"> - Completed a Shingle Roof Replacement project at Heritage Gardens - Completed Masonry Demolition and Repairs project at Hockanum Park at 42 Hamilton Road - Completed Boiler Replacement project at Miller Gardens • ARRA CFP 2009 <ul style="list-style-type: none"> - Completed 504 Compliance Upgrades project in 4 apartments at Elms Village to include handicap parking improvements and asbestos abatement in selected units. - Completed Elevator Upgrades project at Miller Garden - Completed Electric Stove Replacement project in 100 apartments at Hockanum Park - Completed Kitchen Upgrades project in 48 apartments at Rochambeau - Completed Asbestos Removal project in 4 boiler rooms at Elms Village - Achieved Federalization of Hutt Heights by completing General Renovations project to include boiler replacements, apartment door replacement and kitchen upgrades in 30 apartments. Received DOFA HUD-52423 establishing last day of December, 2010 available for occupancy. - Change to procurement policy to Buy American Products. • Under the CL&P WRAP program, received Energy Star refrigerators to supply to residents at Hutt Heights in 30 apartments at no cost to the Authority. • Completed Physical Needs Assessment and Life Cycle Cost Analysis at all Housing Authority properties with a 2010 dated PNA plan on file. <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>East Hartford Housing Authority 546 Burnside Avenue East Hartford, CT 06108 (860) 290-8301</p> |
| 7.0 | <p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> |
| 8.0 | <p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p> |
| 8.1 | <p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> |
| 8.2 | <p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> |

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| 8.3 | <p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> |
| 9.0 | <p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>Housing need in jurisdiction in order of need:</p> <ol style="list-style-type: none"> 1. Affordability – increased costs to owners, raised rents, loss of employment of families 2. Supply – increased rents, recent foreclosures and sales, impact supply 3. Quality of Housing – declining with foreclosures and costs of upkeep 4. Accessibility <p>See Waiting List Statistical Summary Reports for Section 8 and Public Housing as Attachment</p> |
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Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

Need: Shortage of affordable housing for all eligible population:

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

- a. Employ effective maintenance and management policies to minimize the number of public housing units off-line
- b. Reduce turnover time for vacated public housing units
- c. Reduce time to renovate public housing units
- d. Maintain or increase Section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- e. Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- f. Maintain or increase Section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- g. Maintain or increase Section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- h. Participate in the Consolidated Plan development process to ensure coordination with broader community strategies

Strategy 2. Increase the number of affordable housing units by:

- a. Apply for additional Section 8 units should they become available
- b. Leverage affordable housing resources in the community through the creation of mixed – finance housing
- c. Pursue housing resources other than public housing or Section 8 tenant-based assistance
- d. Coordinate more broadly with the local CDBG Office for the purpose of securing HOME Funds or other available resources
- e. Petition to fund Section 8 Program at the current level of expense

Need: Specific Family Type: Families at or below 30% of median:

Strategy 1. Target available assistance to families at or below 30% of AMI

- a. Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- b. Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based Section 8 assistance
- c. Adopt rent policies to support and encourage work

Need: Specific Family Types: Families at or below 50% of median

Strategy 1. Target available assistance to families at or below 50% of AMI

- a. Employ admissions preferences aimed at families who are working
- b. Adopt rent policies to support and encourage work

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

- a. Obtained designation of public housing for the elderly
- b. Apply for special-purpose vouchers targeted to the elderly, should they become available
- c. Waiting List for elderly – open

Need: Specific Family Types: Families with Disabilities

Strategy 1. Target available assistance to Families with Disabilities:

- a. Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- b. Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- c. Affirmatively market to local non-profit agencies that assist families with disabilities

Need: Specific Family Type: Races or ethnicities with disproportionate housing needs

Strategy 1. Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs by affirmatively market to races/ethnicities shown to have disproportionate housing needs

Strategy 2. Conduct activities to affirmatively further fair housing

- a. Counsel Section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- b. Market the Section 8 program to owners outside of areas of poverty/minority concentration
- c. Provide fair housing regulation training to staff and owners

Reasons for Selecting Strategies

- Funding Constraints
- Staffing Constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups

9.1

Additional Information. Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.

1. Through Capital Fund, upgrades and major repairs and replacements are done to EHHA properties to keep housing in decent and safe condition, thereby preserving housing stock and revitalizing
2. Continue to promote the Section 8 Program to local Owners by educating Owners about the Section 8 Program
3. Continue to promote Fair Housing training to staff
4. Update Personnel Policies, Ethics Policies, etc. in order to maintain a high level of standards and professionalism
5. Maintain working towards high-performer status for public housing and Section 8 program
6. Security systems at Central Office and housing developments – i.e. FOB system, alarms on stairwell doors, installation of cameras
7. Maintain and encourage participation in the Resident Advisory Board and community organization to better serve our residents by meeting and forming partnerships with local organizations listed below. These organizations help to maximize social and economic opportunities and assist our families in becoming self-sufficient.

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| Goodwin College | ADA |
| Community Partners | American Red Cross |
| Foodshare at Family Sites | Asian Family Services |
| Boy Scouts of America | Board of Education Services for the Blind |
| Crossroads Community Cathedral | Bureau of Rehabilitation Services |
| Community Renewal Teama | Companions and Homemakers |
| Intercommunity Mental Health | Connecticut Community Care Incorporated |
| YMCA | Connecticut Homemakers for Elders |
| East Hartford NET (Neighborhood Emergency Team) | Dial-A-Ride |
| East Hartford Community Healthcare Incorporated | East Hartford Dialysis |
| East Hartford Emergency Shelter | East Hartford Fire Department |
| East Hartford Health Department | East Hartford Police Department |
| East Hartford Senior Services | East Hartford Social Services |
| Foodshare | Greater Hartford Legal Aid |
| Hartford Hospital | Hearing Wellness Center |
| Herbert T. Clarke House | Housing Education Resource Center |
| Infoline | Intercommunity |
| Interfaith Ministries | Interim Healthcare |
| Lenscrafter-Gift of Sight Program | Malta House of Care Foundation |
| Manchester Memorial Hospital | Masonicare Partners |
| North Central Area Agency on Aging | Nursing Services Incorporated |
| Patient Care | Protective Services of the Elderly |
| Riverside Heath and Rehabilitation | St. Francis Hospital |
| State of Connecticut Department of Social Services | Ste. Marie Optician |
| The Retreat-Assisted Living | Visiting Nurse and Heath Services of Connecticut. |
| Walgreens | |

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”

A Significant Amendment is a critical change in policies, or occupancy rules needed to address changing population in Town.

A Substantial Deviation is a change or exception to adopt rules necessitated by emergency needs of local population.

11.0 Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights)
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only)
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only)
- (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
- (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.
- (g) Challenged Elements
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only)
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|---|--|--|----------------------|--------------------------------|--|--|
| PHA Name: East Hartford Housing Authority | | Grant Type and Number Capital Fund Program Grant No: CT26P01350111 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant: 2011 FFY of Grant Approval: | |
| Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 1 | Total non-CFP Funds | | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 75,931 | 0 | 0 | 0 | |
| 3 | 1408 Management Improvements | 75,931 | 0 | 0 | 0 | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 75,931 | 0 | 0 | 0 | |
| 5 | 1411 Audit | 0 | 0 | 0 | 0 | |
| 6 | 1415 Liquidated Damages | 0 | 0 | 0 | 0 | |
| 7 | 1430 Fees and Costs | 42,518 | 0 | 0 | 0 | |
| 8 | 1440 Site Acquisition | 0 | 0 | 0 | 0 | |
| 9 | 1450 Site Improvement | 169,000 | 0 | 0 | 0 | |
| 10 | 1460 Dwelling Structures | 320,000 | 0 | 0 | 0 | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 0 | 0 | 0 | 0 | |
| 12 | 1470 Non-dwelling Structures | 0 | 0 | 0 | 0 | |
| 13 | 1475 Non-dwelling Equipment | 0 | 0 | 0 | 0 | |
| 14 | 1485 Demolition | 0 | 0 | 0 | 0 | |
| 15 | 1492 Moving to Work Demonstration | 0 | 0 | 0 | 0 | |
| 16 | 1495.1 Relocation Costs | 0 | 0 | 0 | 0 | |
| 17 | 1499 Development Activities ⁴ | 0 | 0 | 0 | 0 | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | | | | |
|--|--|---|----------------------|--|----------|
| PHA Name: East Hartford Housing Authority | | Grant Type and Number Capital Fund Program Grant No: CT26P01350111 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant:2011 FFY of Grant Approval: | |
| Type of Grant | | | | | |
| <input checked="" type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| <input type="checkbox"/> Performance and Evaluation Report for Period Ending: | | <input type="checkbox"/> Final Performance and Evaluation Report | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | 0 | 0 | 0 | 0 |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | 0 | 0 | 0 | 0 |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | 0 | 0 | 0 | 0 |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 759,311 | 0 | 0 | 0 |
| 21 | Amount of line 20 Related to LBP Activities | 0 | 0 | 0 | 0 |
| 22 | Amount of line 20 Related to Section 504 Activities | 320,000 | 0 | 0 | 0 |
| 23 | Amount of line 20 Related to Security - Soft Costs | 0 | 0 | 0 | 0 |
| 24 | Amount of line 20 Related to Security - Hard Costs | 0 | 0 | 0 | 0 |
| 25 | Amount of line 20 Related to Energy Conservation Measures | 0 | 0 | 0 | 0 |
| Signature of Executive Director <i>Debra Bouchard</i> | | Date 6/22/11 | | Signature of Public Housing Director | |
| | | | | Date | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|---|--|----------------------------|---|----------------------|----------------------|-----------------------------------|--------------------------------|----------------|
| PHA Name: East Hartford Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: CT26P01350111 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2011 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| Low Rise Amp #1 | | | | | | | | |
| 13-1 Hockanum Park | Seal paving cracks-line striping | 1450 | 2 | 15,000 | 0 | 0 | 0 | |
| 13-2 Shea Gardens | 504 Compliance upgrades | 1460 | 8 | 190,000 | 0 | 0 | 0 | |
| 13-2 Shea Gardens | Site Improvements -Seal paving cracks- line striping and 504 Compliance upgrades | 1450 | 2 | 15,000 | 0 | 0 | 0 | |
| 13-3 Rochambeau | Seal paving cracks-line striping | 1450 | 1 | 10,000 | 0 | 0 | 0 | |
| 13-5 Elms Village | 504 Compliance Upgrades | 1460 | 3 | 130,000 | 0 | 0 | 0 | |
| High Rise Amp | | | | | | | | |
| 13-4 Meadow Hill | Seal paving cracks-line striping | 1450 | 3 | 12,000 | 0 | 0 | 0 | |
| 13-6 The Highlands | Seal paving cracks-line striping | 1450 | 1 | 8,000 | 0 | 0 | 0 | |
| 13-6 The Highlands | Replace perimeter fencing | 1450 | 1 | 28,000 | 0 | 0 | 0 | |
| 13-6 Heritage Gardens | Seal paving cracks-line striping | 1450 | 1 | 8,000 | 0 | 0 | 0 | |
| 13-6 Heritage Gardens | Replace perimeter fencing | 1450 | 1 | 28,000 | 0 | 0 | 0 | |
| 13-7 Miller Gardens | Seal paving cracks-line striping | 1450 | 1 | 10,000 | 0 | 0 | 0 | |
| Federalized Amp | | | | | | | | |
| Hutt Heights | Site Improvements -Replace perimeter fencing - sidewalk repairs | 1450 | 1 | 35,000 | 0 | 0 | 0 | |
| 1406 Operations | | | | | | | | |
| | Utility and other associated AMP costs | 1406 | PHA wide | 75,931 | 0 | 0 | 0 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part III: Implementation Schedule for Capital Fund Financing Program | | | | | |
|---|---|-------------------------------|---|--------------------------------|---|
| PHA Name: East Hartford Housing Authority | | | | Federal FFY of Grant: 2011 | |
| Development Number Name/PHA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | All Funds Expended (Quarter Ending Date) | | Reasons for Revised Target Dates ¹ |
| | Original Obligation End Date | Actual Obligation End Date | Original Expenditure End Date | Actual Expenditure End Date | |
| Low Rise Amp #1 | | | | | |
| 13-1 Hockanum Park Seal paving cracks-line striping 1450 | 7/09/2013 | | 07/09/2015 | | |
| 13-2 Shea Gardens 504 Compliance Upgrades 1460 | 7/09/2013 | | 07/09/2015 | | |
| 13-2 Shea Gardens - Site Improvements-Seal paving cracks-line striping 1450 | 7/09/2013 | | 07/09/2015 | | |
| 13-3 Rochambeau seal paving cracks-line striping 1450 | 7/09/2013 | | 07/09/2015 | | |
| 13-5 Elms Village 504 Compliance Upgrades 1460 | 7/09/2013 | | 07/09/2015 | | |
| High Rise Amp #2 | | | | | |
| 13-4 Meadow Hill-seal | 7/09/2013 | | 07/09/2015 | | |

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|--|------------|--|------------|--|--|
| paving cracks -linestriping 1450 | | | | | |
| 13-6 The Highlands seal paving cracks-line striping 1450 | 7/09/2013 | | 07/09/2015 | | |
| 13-6 The Highlands Replace perimeter fencing 1450 | 07/09/2013 | | 07/09/2015 | | |
| 13-7 Miller Gardens seal paving cracks-line striping 1450 | 07/09/2013 | | 07/09/2015 | | |
| Federalized Amp-Hutt Heights-Site Improvements Replace perimeter fencing- sidewalks repairs | 07/09/2013 | | 07/09/2015 | | |
| 1406 Operations | 07/09/2012 | | 07/09/2013 | | |
| 1408 Management Improvements | 07/09/2013 | | 07/09/2015 | | |
| 1410 Administration | 07/09/2012 | | 07/09/2013 | | |

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|---|--|---|--|--|--|--|
| PHA Name/Number East Hartford Housing Authority | | Locality (City/County & State) | | | <input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No: | |
| A. | Development Number and Name | Work Statement for Year 1 FFY__2011____ - | Work Statement for Year 2 FFY __2012_____ | Work Statement for Year 3 FFY __2013_____ | Work Statement for Year 4 FFY __2014_____ | Work Statement for Year 5 FFY ____2015_____ |
| B. | Physical Improvements Subtotal | Annual Statement | 400,000 | 400,000 | 400,000 | 400,000 |
| C. | Management Improvements | | 75,000 | 75,000 | 75,000 | 75,000 |
| D. | PHA-Wide Non-dwelling Structures and Equipment | | 95,000 | 95,000 | 95,000 | 95,000 |
| E. | Administration | | 75,000 | 75,000 | 75,000 | 75,000 |
| F. | Other 1430,1502 | | 40,000 | 40,000 | 40,000 | 40,000 |
| G. | Operations | | 75,000 | 75,000 | 75,000 | 75,000 |
| H. | Demolition | | | | | |
| I. | Development | | | | | |
| J. | Capital Fund Financing – Debt Service | | | | | |
| K. | Total CFP Funds | | 760,000 | 760,000 | 760,000 | 760,000 |
| L. | Total Non-CFP Funds | | | | | |
| M. | Grand Total | | | | | |

Part I: Summary (Continuation)

| PHA Name/Number | | Locality (City/county & State) | | <input type="checkbox"/> Original 5-Year Plan | <input type="checkbox"/> Revision No: | |
|-----------------|-----------------------------|--|---|--|--|---|
| A. | Development Number and Name | Work Statement for Year 1 FFy 2011_____ | Work Statement for Year 2 FFY __2012_____ | Work Statement for Year 3 FFY _2013_____ | Work Statement for Year 4 FFY_2014_____ | Work Statement for Year 5 FFY __2015_____ |
| | Low Rise Amp #1 | Annual Statement | | | | |
| | 13-1 Hockanum Park | | Replace Boilers and HW systems Replace storm doors | Demo old boilers at 42 Ham. Replace older perimeter fencing | Window Upgrades – Low E Kitchen Upgrades Bathroom Upgrades | Generator Replacement Common areas/stairwell painting Replace storm doors |
| | 13-2 Shea Gardens | | Site Renovations & fencing | Unit flooring replacement - asbestos | Window Upgrades – Low E Kitchen Upgrades Bathroom Upgrades | Common areas/stairwell painting Replace electric stoves Combine efficiency to 1 bedroom types |
| | 13-3 Rochambeau | | Shingle roof replacements | Site Renovations & fencing Unit flooring replacement - asbestos | Bathroom Upgrades Window Upgrades – Low E | Generator Replacement Replace electric stoves 504 Compliance Upgrades-additional units |
| | 13-5 Elms Village | | Site Renovations & fencing | | Window Upgrades – Low E Kitchen Upgrades Bathroom Upgrades | Unit flooring replacement – asbestos Generator Replacement Common areas/stairwell painting |
| | High Rise Amp #2 | | | | | |
| | 13-4 Meadow Hill | | Upgrade stack ventilation Site Renovations & fencing Replace security & apartment doors | Window Upgrades – Low E Kitchen Upgrades Bathroom Upgrades | Generator Replacement Replace electric stoves | Replace EDPM roofing Unit flooring replacement – asbestos Common areas/stairwell painting |

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
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|--|------------------------------|--|---|--|---|--|
| | 13-6 The Highlands | | Upgrade stack ventilation Replace EDPM roofing Replace security & apartment doors Upgrade hall ventilation | Install 2 nd elevator Window Upgrades – Low E Kitchen Upgrades Bathroom Upgrades | Elevator Upgrade Replace electric stoves Upgrade fire alarm panel | Generator Replacement Unit flooring replacement – asbestos Common areas/stairwell painting |
| | 13-6 Heritage Gardens | | Upgrade hall ventilation Replace A/C Community Room | Replace security & apartment doors Window Upgrades – Low E Kitchen Upgrades Bathroom Upgrades | Upgrade fire alarm panel Replace electric stoves Unit flooring replacement – asbestos | Combine efficiency to 1 bedroom types 504 Compliance Upgrades-additional units Common areas/ stairwells painting |
| | 13-7 Miller Gardens | | Upgrade stack ventilation Generator Replacement Upgrade hall ventilation | Replace security & apartment doors Site Renovations & fencing | Window Upgrades – Low E Kitchen Upgrades Bathroom Upgrades | Replace gas stoves Replace EDPM roofing Common areas/stairwell painting |
| | Federalized Amp Hutt Heights | | Site Improvements Replace gas stoves | Window Upgrades – Low E Bathroom Upgrades | Unit flooring replacement – asbestos Electrical Upgrades | Combine efficiency to 1 bedroom types Replace storm doors |
| | | | | | | |

| Part II: Supporting Pages – Physical Needs Work Statement(s) | | | | | | |
|---|---|----------|----------------|---|----------|----------------|
| Work Statement for Year 1 FFY 2011__ | Work Statement for Year __2012__ FFY _____ | | | Work Statement for Year: __2013__ FFY _____ | | |
| | Development Number/Name General Description of Major Work Categories | Quantity | Estimated Cost | Development Number/Name General Description of Major Work Categories | Quantity | Estimated Cost |
| See Annual Statement | Low Rise Amp #1 | | | Low Rise Amp #1 | | |
| | 13-1 Hockanum Park 1475 Replace Boilers and HW systems | 14 | 210,000 | 13-1 Hockanum Park 1475 – demo old boilers | 2 | 80,000 |
| | 1460 Replace storm doors | 116 | 40,000 | 1450 Replace older perimeter fence | 1 | 40,000 |
| | 13-2 Shea Gardens 1450 Site renovations & fencing | 1 | 80,000 | 13-2 Shea Gardens 1460 Unit flooring replacement -asbestos | 42 | 210,000 |
| | 13-3 Rochambeau 1460 Shingle roof replacements | 5 | 150,000 | 13-3 Rochambeau 1450 Site renovations & fencing | 1 | 100,000 |
| | | | | 1460 Unit flooring replacement -asbestos | 45 | 180,000 |
| | 13-5 Elms Village 1450 Site renovations & fencing | 1 | 250,000 | | | |
| | High Rise Amp#2 | | | High Rise Amp #2 | | |
| | 13-4 Meadow Hill 1475- upgrade stack ventilation | 20 | 30,000 | 13-4 Meadow Hill 1460 window upgrades – Low E | 120 | 720,000 |
| | 1450 Site Renovations & fencing | 1 | 50,000 | 1460 Kitchen Upgrades | 120 | 600,000 |
| | 1460 Replace security & apartment doors | 130 | 260,000 | 1460 Bathroom Upgrades | 120 | 600,000 |

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
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| | | | | | | |
|--|--|----|---------|--|----|---------|
| | 13-6 The Highlands 1475- upgrade stack ventilation | 10 | 20,000 | 13-6 The Highlands 1470 – Install 2 nd elevator | 1 | 300,000 |
| | 1460 Replace EDPM roofing | 1 | 150,000 | 1460 window upgrades – Low E | 54 | 270,000 |
| | 1460 Replace security & apartment doors | 60 | 120,000 | 1460 Kitchen Upgrades | 52 | 260,000 |
| | 1475 Upgrade hall ventilation | 2 | 30,000 | 1460 Bathroom Upgrades | 52 | 260,000 |
| | 13-6 Heritage Gardens 1475 Upgrade hall ventilation | 2 | 10,000 | 13-6 Heritage Gardens 1460 Replace security & apartment doors | 54 | 108,000 |
| | 1475 Replace A/C Community Room | 1 | 15,000 | 1460 window upgrades – Low E | 46 | 184,000 |
| | | | | 1460 Kitchen Upgrades | 44 | 176,000 |
| | | | | 1460 Bathroom Upgrades | 44 | 176,000 |
| | 13-7 Miller Gardens 1475- upgrade stack ventilation | 6 | 12,000 | 13-7 Miller Gardens 1460 Replace security & apartment doors | 90 | 180,000 |
| | 1475 Generator Replacement | 1 | 40,000 | 1450 Site Renovations & fencing | 1 | 80,000 |
| | 1475 Upgrade hall ventilation | 1 | 25,000 | | | |
| | Federalized Amp – Hutt Heights 1450 Site Improvements | 1 | 100,000 | Federalized Amp – Hutt Heights 1460 window upgrades – Low E | 30 | 90,000 |
| | 1465.1 Replace gas stove | 26 | 13,000 | 1460 Bathroom Upgrades | 26 | 130,000 |
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Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
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| | Subtotal of Estimated Cost | | | | Subtotal of Estimated Cost | |

| Part II: Supporting Pages – Physical Needs Work Statement(s) | | | | | | |
|---|---|----------|----------------|---|----------|----------------|
| Work Statement for Year 1 FFY 2011 _____ | Work Statement for Year <u>2014</u> _____ FFY _____ | | | Work Statement for Year: <u>2015</u> _____ FFY _____ | | |
| | Development Number/Name General Description of Major Work Categories | Quantity | Estimated Cost | Development Number/Name General Description of Major Work Categories | Quantity | Estimated Cost |
| See | Low Rise Amp#1 | | | Low Rise Amp #1 | | |
| Annual | 13-1 Hockanum Park 1460 window upgrades – Low E | 100 | 350,000 | 13-1 Hockanum Park 1475 Generator Replacement | 1 | 50,000 |
| | 1460 Kitchen Upgrades | 100 | 1,000,000 | 1460 Common areas/ stairwells painting | 15 | 30,000 |
| | 1460 Bathroom Upgrades | 100 | 1,000,000 | 1460 Replace storm doors | 108 | 54,000 |
| Statement | 13-2 Shea Gardens 1460 window upgrades – Low E | 50 | 150,000 | 13-2 Shea Gardens 1460 Common areas/ stairwells painting | 2 | 52,000 |
| | 1460 Kitchen Upgrades | 42 | 210,000 | 1465.1 Replace electric stoves | 50 | 20,000 |
| | 1460 Bathroom Upgrades | 42 | 210,000 | 1460 Combine efficiencies to 1 bedroom units | 8 | 160,000 |
| | 13-3 Rochambeau 1460 window upgrades – Low E | 50 | 150,000 | 13-3 Rochambeau 1475 Generator Replacement | 1 | 40,000 |
| | 1460 Bathroom Upgrades | 46 | 460,000 | 1460 504 Compliance upgrades – additional units | 2 | 80,000 |
| | | | | 1465.1 Replace electric stoves | 50 | 20,000 |

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
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| | | | | | | |
|--|---|-----|---------|--|-----|---------|
| | 13-5 Elms Village 1460 window upgrades – Low E | 85 | 255,000 | 13-5 Elms Village 1460 Unit flooring replacement –asbestos | 75 | 300,000 |
| | 1460 Kitchen Upgrades | 81 | 405,000 | 1475 Generator Replacement | 1 | 40,000 |
| | 1460 Bathroom Upgrades | 81 | 810,000 | 1460 Common areas/ stairwells painting | 4 | 10,000 |
| | | | | | | |
| | High Rise Amp #2 | | | High Rise Amp #2 | | |
| | 13-4 Meadow Hill 1465.1 Replace electric stoves | 120 | 50,000 | 13-4 Meadow Hill 1460 Replace EDPM roofing | 1 | 300,000 |
| | 1475 Generator Replacement | 1 | 40,000 | 1460 Unit flooring replacement –asbestos | 110 | 440,000 |
| | | | | 1460 Common areas/ stairwells painting | 10 | 40,000 |
| | 13-6 The Highlands 1475 Elevator Upgrades | 1 | 100,000 | 13-6 The Highlands 1475 Generator Replacement | 1 | 40,000 |
| | 1465.1 Replace electric stoves | 54 | 27,000 | 1460 Unit flooring replacement –asbestos | 50 | 200,000 |
| | 1475 Upgrade fire alarm panel | 1 | 100,000 | 1460 Common areas/ stairwells painting | 5 | 20,000 |
| | 13-6 Heritage Gardens 1475 Upgrade fire alarm panel | 1 | 15,000 | 13-6 Heritage Gardens 1460 Common areas/ stairwells painting | 4 | 20,000 |
| | 1465.1 Replace electric stoves | 46 | 20,000 | 1460 504 Compliance upgrades – additional units | 2 | 60,000 |
| | 1460 Unit flooring replacement –asbestos | 40 | 160,000 | 1460 Combine efficiencies to 1 bedroom units | 8 | 160,000 |
| | 13-7 Miller Gardens 1460 window upgrades – Low E | 86 | 344,000 | 13-7 Miller Gardens 1465.1 Replace gas stove | 86 | 43,000 |
| | 1460 Kitchen Upgrades | 84 | 420,000 | 1460 Replace EDPM roofing | 1 | 200,000 |
| | 1460 Bathroom Upgrades | 84 | 420,000 | 1460 Common areas/ stairwells painting | 6 | 24,000 |

Capital Fund Program—Five-Year Action Plan

**U.S. Department of Housing and Urban Development
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|--|--|----|---------|--|----|---------|
| | Federalized Amp – Hutt Heights | | | Federalized Amp – Hutt Heights | | |
| | 1460 Unit flooring replacement –asbestos | 26 | 52,000 | 1460 Combine efficiencies to 1 bedroom units | 8 | 160,000 |
| | 1460 Electrical upgrades | 26 | 100,000 | 1460 Replace storm doors | 26 | 12,000 |
| | | | | | | |
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| | Subtotal of Estimated Cost | | | Subtotal of Estimated Cost | | |

Part III: Supporting Pages – Management Needs Work Statement(s)

| Work Statement for Year 1 FFY 2011 | Work Statement for Year 2012 FFY | | Work Statement for Year: 2013 FFY | |
|------------------------------------|--|----------------|--|----------------|
| | Development Number/Name General Description of Major Work Categories | Estimated Cost | Development Number/Name General Description of Major Work Categories | Estimated Cost |
| See Annual Statement | Low Rise Amp #1 Physical Needs Assessment, fleet upgrades, staff training, safety improvements, energy efficiency upgrades, computer and office equipment upgrades, policy review and updates, emergency repairs/replacements, accessibility and 504 Compliance upgrades, site improvements, contract & design services, PHA wide Energy Audit, REAC corrections | 25,000 | Low Rise Amp #1 Physical Needs Assessment, fleet upgrades, staff training, safety improvements, energy efficiency upgrades, computer and office equipment upgrades, policy review and updates, emergency repairs/replacements, accessibility and 504 Compliance upgrades, site improvements, contract & design services, PHA wide Energy Audit | 25,000 |
| | High Rise Amp #2 Physical Needs Assessment, fleet upgrades, staff training, safety improvements, energy efficiency upgrades, computer and office equipment upgrades, policy review and updates, emergency repairs/replacements, accessibility and 504 Compliance upgrades, site improvements, contract & design services, PHA wide Energy Audit, REAC corrections | 25,000 | High Rise Amp #2 Physical Needs Assessment, fleet upgrades, staff training, safety improvements, energy efficiency upgrades, computer and office equipment upgrades, policy review and updates, emergency repairs/replacements, accessibility and 504 Compliance upgrades, site improvements, contract & design services, PHA wide Energy Audit, REAC corrections | 25,000 |
| | Federalized Amp – Hutt Heights Physical Needs Assessment, fleet upgrades, staff training, safety improvements, energy efficiency upgrades, computer and office equipment upgrades, policy review and updates, emergency repairs/replacements, accessibility and 504 Compliance upgrades, site improvements, contract & design services, PHA wide Energy Audit, REAC corrections | 25,000 | Federalized Amp – Hutt Heights Physical Needs Assessment, fleet upgrades, staff training, safety improvements, energy efficiency upgrades, computer and office equipment upgrades, policy review and updates, emergency repairs/replacements, accessibility and 504 Compliance upgrades, site improvements, contract & design services, PHA wide Energy Audit, REAC corrections | 25,000 |
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Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/20011

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| | Subtotal of Estimated Cost | \$75,000 | Subtotal of Estimated Cost | \$75,000 |

| Part III: Supporting Pages – Management Needs Work Statement(s) | | | | |
|--|---|----------------|---|----------------|
| Work Statement for Year 1 FFY 2011 | Work Statement for Year 2014 FFY _____ | | Work Statement for Year: 2015 FFY _____ | |
| | Development Number/Name General Description of Major Work Categories | Estimated Cost | Development Number/Name General Description of Major Work Categories | Estimated Cost |
| See Annual Statement | Low Rise Amp #1 Physical Needs Assessment, fleet upgrades, staff training, safety improvements, energy efficiency upgrades, computer and office equipment upgrades, policy review and updates, emergency repairs/replacements, accessibility and 504 Compliance upgrades, site improvements, contract & design services, REAC corrections | 25,000 | Low Rise Amp #1 Physical Needs Assessment, fleet upgrades, staff training, safety improvements, energy efficiency upgrades, computer and office equipment upgrades, policy review and updates, emergency repairs/replacements, accessibility and 504 Compliance upgrades, site improvements, contract & design services, REAC corrections | 25,000 |
| | High Rise Amp #2 Physical Needs Assessment, fleet upgrades, staff training, safety improvements, energy efficiency upgrades, computer and office equipment upgrades, policy review and updates, emergency repairs/replacements, accessibility and 504 Compliance upgrades, site improvements, contract & design services, REAC corrections | 25,000 | High Rise Amp #2 Physical Needs Assessment, fleet upgrades, staff training, safety improvements, energy efficiency upgrades, computer and office equipment upgrades, policy review and updates, emergency repairs/replacements, accessibility and 504 Compliance upgrades, site improvements, contract & design services, REAC corrections | 25,000 |
| | Federalized Amp – Hutt Heights Physical Needs Assessment, fleet upgrades, staff training, safety improvements, energy efficiency upgrades, computer and office equipment upgrades, policy review and updates, emergency repairs/replacements, accessibility and 504 Compliance upgrades, site improvements, contract & design services, REAC corrections | 25,000 | Federalized Amp – Hutt Heights Physical Needs Assessment, fleet upgrades, staff training, safety improvements, energy efficiency upgrades, computer and office equipment upgrades, policy review and updates, emergency repairs/replacements, accessibility and 504 Compliance upgrades, site improvements, contract & design services, REAC corrections | 25,000 |
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Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

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| | Subtotal of Estimated Cost | \$75,000 | Subtotal of Estimated Cost | \$75,000 |

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|--|--|--|----------------------|--------------------------------|--|--|
| PHA Name: East Hartford Housing Authority | | Grant Type and Number Capital Fund Program Grant No: CT26P01350110 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant: 2010 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-8-11 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 1 | Total non-CFP Funds | | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 75,931 | 75,931 | 75,931 | 0 | |
| 3 | 1408 Management Improvements | 75,931 | 35,931 | 0 | 0 | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 75,931 | 75,931 | 75,931 | 0 | |
| 5 | 1411 Audit | 0 | 0 | 0 | 0 | |
| 6 | 1415 Liquidated Damages | 0 | 0 | 0 | 0 | |
| 7 | 1430 Fees and Costs | 51,518 | 51,518 | 30,500 | 1,000 | |
| 8 | 1440 Site Acquisition | 0 | 0 | 0 | 0 | |
| 9 | 1450 Site Improvement | 0 | 0 | 0 | 0 | |
| 10 | 1460 Dwelling Structures | 425,000 | 425,000 | 0 | 0 | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 0 | 0 | 0 | 0 | |
| 12 | 1470 Non-dwelling Structures | 0 | 0 | 0 | 0 | |
| 13 | 1475 Non-dwelling Equipment | 55,000 | 95,000 | 0 | 0 | |
| 14 | 1485 Demolition | 0 | 0 | 0 | 0 | |
| 15 | 1492 Moving to Work Demonstration | 0 | 0 | 0 | 0 | |
| 16 | 1495.1 Relocation Costs | 0 | 0 | 0 | 0 | |
| 17 | 1499 Development Activities ⁴ | 0 | 0 | 0 | 0 | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | | | | |
|---|---|--|----------------------|---|----------|
| PHA Name: East Hartford Housing Authority | Grant Type and Number Capital Fund Program Grant No: CT26P01350110 Replacement Housing Factor Grant No: Date of CFFP: | FFY of Grant:2010 FFY of Grant Approval: | | | |
| Type of Grant | | | | | |
| <input type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-8-11 | | <input type="checkbox"/> Final Performance and Evaluation Report | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | 0 | 0 | 0 | 0 |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | 0 | 0 | 0 | 0 |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | 0 | 0 | 0 | 0 |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 759,311 | 759,311 | 30,500 | 0 |
| 21 | Amount of line 20 Related to LBP Activities | 0 | 0 | 0 | 0 |
| 22 | Amount of line 20 Related to Section 504 Activities | 425,000 | 425,000 | 0 | 0 |
| 23 | Amount of line 20 Related to Security - Soft Costs | 0 | 0 | 0 | 0 |
| 24 | Amount of line 20 Related to Security - Hard Costs | 0 | 0 | 0 | 0 |
| 25 | Amount of line 20 Related to Energy Conservation Measures | 55,000 | 55,000 | 0 | 0 |
| Signature of Executive Director <i>Delia Bourland</i> | | Date 6/22/11 | | Signature of Public Housing Director | |
| | | | | Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|---|--|----------------------------|---|----------------------|----------------------|-----------------------------------|--------------------------------|---|
| PHA Name: East Hartford Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: CT26P01350110 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2010 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| Low Rise Amp #1 | | | | | | | | |
| 13-3 Rochambeau | 504 Compliance Upgrades | 1460 | 5 | 225,000 | 225,000 | 0 | 0 | in design with bidding expected by early May, 2011 |
| 13-5 Elms Village | Boiler replacements | 1475 | 4 | 55,000 | 55,000 | 0 | 0 | bid due April 14, 2011 |
| High Rise Amp #2 | | | | | | | | |
| 13-6 Heritage Gardens | Generator Replacement | 1475 | 1 | 0 | 40,000 | 0 | 0 | waiting bid approval |
| Federalized Amp | | | | | | | | |
| Hutt Heights | 504 Compliance Upgrades | 1460 | 4 | 200,000 | 200,000 | 0 | 0 | in design |
| 1406 Operations | Utility and other associated AMP costs | 1406 | PHA wide | 75,931 | 75,931 | 75,931 | 0 | requested to add verbage by HUD 11-5-2010 |
| 1408 Management Improvements | Fleet upgrades, physical needs assessments, staff training, safety improvements, energy efficiency upgrades, computer and office equipment upgrades, policy review and updates, emergency repairs/replacements, environmental hazards, accessibility & site improvements, contract & design services | 1408 | PHA wide | 75,931 | 35,931 | 0 | 0 | requested to add verbage by HUD 11-5-2010 Moved \$40,00 to BLI 1475 for HG generator |
| 1410 Administration | Asset Coordinator's salary and benefits to | 1410 | PHA wide | 75,931 | 75,931 | 75,931 | 0 | requested to add |

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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part III: Implementation Schedule for Capital Fund Financing Program | | | | | |
|---|---|-------------------------------|---|--------------------------------|---|
| PHA Name: East Hartford Housing Authority | | | | | Federal FFY of Grant: 2010 |
| Development Number Name/PHA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | All Funds Expended (Quarter Ending Date) | | Reasons for Revised Target Dates ¹ |
| | Original Obligation End Date | Actual Obligation End Date | Original Expenditure End Date | Actual Expenditure End Date | |
| Low Rise Amp #1 | | | | | |
| 13-3 Rochambeau 504 Compliance upgrades | 7/09/2012 | | 07/09/2014 | | no change |
| 13-5 Elms Village Boiler Replacements | 7/09/2012 | | 07/09/2014 | | no change |
| | | | | | |
| High Rise Amp #2 | | | | | |
| 13-6 Heritage Gardens | 7/09/2012 | | 07/09/2014 | | project added as current stand by generator is non-repairable - no change in dates |
| | | | | | |
| Federalized Amp | | | | | |
| Hutt Heights | 07/09/2012 | | 07/09/2014 | | no change |

| | | | | | |
|------------------------------|------------|--|------------|--|-----------|
| 504 Compliance upgrades | | | | | |
| 1406 Operations | 07/09/2010 | | 07/09/2012 | | no change |
| 1408 Management Improvements | 07/09/2012 | | 07/09/2014 | | no change |
| 1410 Administration | 07/09/2010 | | 07/09/2012 | | no change |
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¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|---|--|---|----------------------|--------------------------------|--|--|
| PHA Name: East Hartford Housing Authority | | Grant Type and Number Capital Fund Program Grant No: CT26P01350109 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant: 2009 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4/8/2011 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 1 | Total non-CFP Funds | | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 76,059 | 76,059 | 76,059 | 38,182.50 | |
| 3 | 1408 Management Improvements | 76,059 | 76,059 | 76,059 | 50,222.49 | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 76,059 | 76,059 | 76,059 | 40,000 | |
| 5 | 1411 Audit | 0 | 0 | 0 | 0 | |
| 6 | 1415 Liquidated Damages | 0 | 0 | 0 | 0 | |
| 7 | 1430 Fees and Costs | 38,029 | 25,000 | 45,000 | 34,733.59 | |
| 8 | 1440 Site Acquisition | 0 | 0 | 0 | 0 | |
| 9 | 1450 Site Improvement | 0 | 0 | 0 | 0 | |
| 10 | 1460 Dwelling Structures | 225,000 | 80,000 | 80,128 | 80,128 | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 0 | 0 | 0 | 0 | |
| 12 | 1470 Non-dwelling Structures | 12,422 | 14,444 | 17,870 | 17,870 | |
| 13 | 1475 Non-dwelling Equipment | 375,000 | 392,978 | 280,000 | 133,104.60 | |
| 14 | 1485 Demolition | 0 | 0 | 0 | 0 | |
| 15 | 1492 Moving to Work Demonstration | 0 | 0 | 0 | 0 | |
| 16 | 1495.1 Relocation Costs | 0 | 0 | 0 | 0 | |
| 17 | 1499 Development Activities ⁴ | 0 | 0 | 0 | 0 | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | |
|---|--|---|----------------------|---|------------|
| PHA Name: East Hartford Housing Authority | | Grant Type and Number Capital Fund Program Grant No: CT26P01350109 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant:2009 FFY of Grant Approval: | |
| Type of Grant | | | | | |
| <input type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4/8/2011 | | <input type="checkbox"/> Final Performance and Evaluation Report | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | 0 | 0 | 0 | 0 |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | 0 | 0 | 0 | 0 |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | 19,393 | 0 | 0 | 0 |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 760,599 | 760,599 | 648,599 | 394,241.18 |
| 21 | Amount of line 20 Related to LBP Activities | 0 | 0 | | |
| 22 | Amount of line 20 Related to Section 504 Activities | 0 | 0 | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | 0 | 0 | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | 0 | 0 | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | 250,000 | 375,000 | | |
| Signature of Executive Director <i>Debra Bouckard</i> | | Date 6/22/11 | | Signature of Public Housing Director | |
| | | | | Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|---|----------------------|----------------------|-----------------------------------|--------------------------------|---|
| PHA Name: East Hartford Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: CT26P01350109 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2009 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| Low Rise Amp #1 | | | | | | | | |
| 13-2 Shea Gardens | Replace Shingle Roofs | 1460 | 10 | 120,000 | 0 | | | moved to CFP 2011 |
| 13-5 Elms Village | Boiler replacements | 1475 | 4 | 250,000 | 112,978 | 0 | 0 | bid due date is 4-14-11 |
| 13-1 Hockanum Park | Demo brick stack/ventilation | 1470 | 1 | 12,422 | 14,444 | 17,870 | 17,870 | amount to be corrected under Rev. #3 on overdrawn funds |
| High Rise Amp #2 | | | | | | | | |
| 13-6 Heritage Gardens | Replace shingle roof | 1460 | 1 | 120,000 | 80,000 | 80,000 | 78,128 | work complete - will use Rev. #3 to enter in correct amounts |
| 13-7 Miller Gardens | Boiler upgrades-high efficiency units | 1475 | 2 | 125,000 | 280,000 | 280,000 | 133,104 | boiler upgrades with high efficiency units, 95% complete |
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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part III: Implementation Schedule for Capital Fund Financing Program | | | | | |
|---|---|-------------------------------|---|--------------------------------|---|
| PHA Name: East Hartford Housing Authority | | | | | Federal FFY of Grant: 2009 |
| Development Number Name/PHA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | All Funds Expended (Quarter Ending Date) | | Reasons for Revised Target Dates ¹ |
| | Original Obligation End Date | Actual Obligation End Date | Original Expenditure End Date | Actual Expenditure End Date | |
| Low Rise Amp #1 | | | | | |
| 13-2 Shea Gardens Replace shingle roofs | 9/14/2011 | | 9/14/2013 | moved to CFP 2011 | moved to CFP 2011 |
| 13-5 Elms Village Boiler replacements | 9/14/2011 | | 9/14/2013 | | bid due date is 4-14-11 |
| 13-1 Hockanum Park Demo brick stack/ventilation | 9/14/2011 | | 9/14/2013 | 12/23/10 | work complete |
| High Rise Amp #2 | | | | | |
| 13-6 Heritage Gardens Replace shingle roofs | 9/14/2011 | | 9/14/2013 | 2/4/11 | work complete |
| 13-7 Miller Gardens Boiler upgrades | 9/14/2011 | | 9/14/2013 | | boiler upgrades are 95% complete |
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¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|--|--|--|----------------------|--------------------------------|--|--|
| PHA Name: East Hartford Housing Authority | | Grant Type and Number Capital Fund Program Grant No: CT26P01350108 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant: 2008 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4/8/2011 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 1 | Total non-CFP Funds | 0 | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 78,503 | 78,503 | 78,503 | 78,503 | |
| 3 | 1408 Management Improvements | 78,503 | 82,653 | 82,653 | 80,766.06 | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 78,503 | 78,503 | 78,503 | 78,503 | |
| 5 | 1411 Audit | 1,000 | 0 | 0 | 0 | |
| 6 | 1415 Liquidated Damages | 0 | 0 | 0 | 0 | |
| 7 | 1430 Fees and Costs | 35,000 | 30,000 | 30,000 | 28,400 | |
| 8 | 1440 Site Acquisition | 0 | 0 | 0 | 0 | |
| 9 | 1450 Site Improvement | 50,000 | 16,373 | 16,373 | 16,372.60 | |
| 10 | 1460 Dwelling Structures | 440,000 | 499,000 | 499,000 | 495,826.69 | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 0 | | 0 | 0 | |
| 12 | 1470 Non-dwelling Structures | 0 | | 0 | 0 | |
| 13 | 1475 Non-dwelling Equipment | 0 | | 0 | 0 | |
| 14 | 1485 Demolition | 0 | | 0 | 0 | |
| 15 | 1492 Moving to Work Demonstration | 0 | | 0 | 0 | |
| 16 | 1495.1 Relocation Costs | 0 | | 0 | 0 | |
| 17 | 1499 Development Activities ⁴ | 0 | | 0 | 0 | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | | | | |
|---|--|---|----------------------|---|------------|
| PHA Name: East Hartford Housing Authority | | Grant Type and Number Capital Fund Program Grant No: CT26P01350108 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant:2008 FFY of Grant Approval: | |
| Type of Grant | | | | | |
| <input type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4/8/2011 | | | | <input type="checkbox"/> Final Performance and Evaluation Report | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | 0 | 0 | 0 | 0 |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | 0 | 0 | 0 | 0 |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | 23,523 | 0 | 0 | 0 |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 785,032 | 785,032 | 785,032 | 771,046.45 |
| 21 | Amount of line 20 Related to LBP Activities | 0 | 0 | | |
| 22 | Amount of line 20 Related to Section 504 Activities | 90,000 | 0 | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | 0 | 0 | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | 0 | 15,000 | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | 0 | 0 | | |
| Signature of Executive Director <i>Debra Bouchner</i> | | Date 6/22/11 | | Signature of Public Housing Director | |
| | | | | Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|---|----------------------|----------------------|-----------------------------------|--------------------------------|------------------------------|
| PHA Name: East Hartford Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: CT26P01350108 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2008 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| Low-rise Amp #1 | | | | | | | | |
| 13-1 Hockanum Park | Perimeter Fencing | 1450 | 1 | 15,000 | 16,373 | 16,373 | 16,372.60 | work complete |
| 13-1 Hockanum Park | Common Area Improvements | 1460 | 14 | 55,000 | 70,000 | 70,000 | 70,000 | work complete |
| 13-2 Shea Gardens | Common Area Improvements | 1460 | 5 | 25,000 | 35,000 | 35,000 | 35,000 | work complete |
| 13-5 Elms Village | Common Area Improvements | 1460 | 5 | 25,000 | 30,000 | 30,000 | 30,000 | work complete |
| 13-5 Elms Village | 504 Compliance Upgrades | 1460 | 4 | 90,000 | 0 | 0 | 0 | moved to ARRA CFP 2009 |
| High-rise Amp #2 | | | | | | | | |
| 13-4 Meadow Hill | Common Area Improvements | 1460 | 10 | 75,000 | 150,000 | 150,000 | 150,000 | work complete |
| 13-6 Heritage Gardens | Common Area Improvements | 1460 | 2 | 25,000 | 20,000 | 20,000 | 20,000 | work complete |
| 13-6 The Highlands | Common Area Improvements | 1460 | 5 | 50,000 | 44,000 | 44,000 | 44,000 | work complete |
| 13-7 Miller Gardens | Common Area Improvements | 1460 | 6 | 95,000 | 150,000 | 150,000 | 150,000 | work complete |
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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

| Part III: Implementation Schedule for Capital Fund Financing Program | | | | | |
|---|---|-------------------------------|---|--------------------------------|---|
| PHA Name: East Hartford Housing Authority | | | | | Federal FFY of Grant: 2008 |
| Development Number Name/PHA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | All Funds Expended (Quarter Ending Date) | | Reasons for Revised Target Dates ¹ |
| | Original Obligation End Date | Actual Obligation End Date | Original Expenditure End Date | Actual Expenditure End Date | |
| Low-rise Amp #1 | | | | | |
| 13-1 Hockanum Park | 9/30/2010 | 12/2/2009 | 9/30/2012 | 3/2/2010 | complete |
| 13-1 Hockanum Park | 9/30/2010 | 12/2/2009 | 9/30/2012 | 9/2/10 | complete |
| 13-2 Shea Gardens | 9/30/2010 | 12/2/2009 | 9/30/2012 | 9/2/10 | complete |
| High-rise Amp #2 | | | | | |
| 13-5 Elms Village | 9/30/2010 | 12/2/2009 | 9/30/2012 | 9/2/10 | complete |
| 13-5 Elms Village | 9/30/2010 | moved to ARRA | 9/30/2012 | moved ARRA | moved to ARRA CFP 2009 |
| High-rise Amp #2 | | | | | |
| 13-4 Meadow Hill | 9/30/2010 | 12/2/2009 | 9/30/2012 | 9/2/10 | complete |
| 13-6 Heritage Gardens | 9/30/2010 | 12/2/2009 | 9/30/2012 | 9/2/10 | complete |
| 13-6 The Highlands | 9/30/2010 | 12/2/2009 | 9/30/2012 | 9/2/10 | complete |
| 13-7 Miller Gardens | 9/30/2010 | 12/2/2009 | 9/30/2012 | 9/2/10 | complete |
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¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|--|--|--|----------------------|--------------------------------|---|--|
| PHA Name: East Hartford Housing Authority | | Grant Type and Number Capital Fund Program Grant No: CT26S01350109 Replacement Housing Factor Grant No: Date of CFFP: 3/18/2009 | | | FFY of Grant: ARRA 2009 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-8-2011 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 1 | Total non-CFP Funds | 0 | 0 | 0 | 0 | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 0 | 0 | 0 | 0 | |
| 3 | 1408 Management Improvements | 0 | 0 | 0 | 0 | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 99,369 | 15,000 | 15,000 | 8,074 | |
| 5 | 1411 Audit | 1,000 | 0 | 0 | 0 | |
| 6 | 1415 Liquidated Damages | 0 | 0 | 0 | 0 | |
| 7 | 1430 Fees and Costs | 75,326 | 65,326 | 65,326 | 54,714 | |
| 8 | 1440 Site Acquisition | 0 | 0 | 0 | 0 | |
| 9 | 1450 Site Improvement | 0 | 5,000 | 2,121 | 2,121 | |
| 10 | 1460 Dwelling Structures | 621,369 | 621,369 | 621,369 | 512,082 | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 62,000 | 67,000 | 67,000 | 61,496 | |
| 12 | 1470 Non-dwelling Structures | 0 | 0 | 0 | 0 | |
| 13 | 1475 Non-dwelling Equipment | 220,000 | 220,000 | 220,000 | 196,888 | |
| 14 | 1485 Demolition | 0 | 0 | 0 | 0 | |
| 15 | 1492 Moving to Work Demonstration | 0 | 0 | 0 | 0 | |
| 16 | 1495.1 Relocation Costs | 0 | 0 | 0 | 0 | |
| 17 | 1499 Development Activities ⁴ | 0 | 0 | 0 | 0 | |

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⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | | | | |
|---|--|---|----------------------|---|----------|
| PHA Name: East Hartford Housing Authority | | Grant Type and Number Capital Fund Program Grant No: CT26S01350109 Replacement Housing Factor Grant No: Date of CFFP: 3/18/2009 | | FFY of Grant:ARRA 2009 FFY of Grant Approval: | |
| Type of Grant | | | | | |
| <input type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-8-2011 | | <input type="checkbox"/> Final Performance and Evaluation Report | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | 0 | 0 | 0 | 0 |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | 0 | 0 | 0 | 0 |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | 33,642 | 0 | 0 | 0 |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 993,695 | 993,695 | 993,695 | 125,891 |
| 21 | Amount of line 20 Related to LBP Activities | 0 | 0 | | |
| 22 | Amount of line 20 Related to Section 504 Activities | 0 | 200,000 | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | 0 | 0 | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | 0 | 0 | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | 0 | 75,000 | | |
| Signature of Executive Director <i>Diana Bouchard</i> | | Date 6/22/11 | | Signature of Public Housing Director | |
| | | | | Date | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|---|----------------------|----------------------|--|--------------------------------|---|
| PHA Name: East Hartford Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: CT26S01350109 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: ARRA 2009 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| Low Rise Amp #1 | | | | | | | | |
| 13-1 Hockanum Park | Replace kitchen stoves | 1465.1 | 100 | 62,000 | 62,000 | 62,000 | 60,692 | work completed 2/1/10 |
| 13-3 Rochambeau | Kitchen upgrades | 1460 | 48 | 138,601 | 138,601 | 138,601 | 138,601 | work completed 5/29/10 reviewing adding appliances |
| 13-5 Elms Village | Asbestos Removal for boiler upgrades | 1475 | 4 | 16,480 | 20,780 | 20,780 | 20,780 | work completed 7/26/10 |
| 13-5 Elms Village | 504 Compliance upgrades | 1460 | 4 | 0 | 200,000 | 200,000 | 193,594 | work completed 3/28/11 |
| 13-5 Elms Village | 504 Compliance upgrades - appliances | 1465.1 | 2 | 0 | 5,000 | 5,000 | 1,096 | fridges for 2 units |
| High Rise Amp #2 | | | | | | | | |
| 13-7 Miller Gardens | Elevator upgrades | 1475 | 2 | 220,000 | 220,000 | 200,000 | 194,818 | work completed 9/3/10 |
| Federalized Amp | | | | | | | | |
| Hutt Heights | General Renovations | 1460 | 30 | 0 | 266,288 | 275,000 | 275,000 | work completed 9-20-10 budget revision #7 in process- reviewing adding appliances |
| Hutt Heights | General Renovations - site improvements | 1450 | 1 | 0 | 5,000 | 5,000 | 2,121 | in process - may be adding more site work |

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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part III: Implementation Schedule for Capital Fund Financing Program | | | | | |
|---|---|-------------------------------|---|--------------------------------|--|
| PHA Name: East Hartford Housing Authority | | | | | Federal FFY of Grant: ARRA 2009 |
| Development Number Name/PHA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | All Funds Expended (Quarter Ending Date) | | Reasons for Revised Target Dates ¹ |
| | Original Obligation End Date | Actual Obligation End Date | Original Expenditure End Date | Actual Expenditure End Date | |
| Low Rise Amp #1 | | | | | |
| 13-1 Hockanum park electric stove replacements | 3/18/2010 | | 3/18/2012 | 2-1-2010 | work complete |
| 13-3 Rochambeau Kitchen upgrades | 3/18/2010 | | 3/18/2012 | 5-29-10 | construction complete - may add appliances with budget revision |
| 13-5 Elms Village asbestos removal - boiler upgrades | 3/18/2010 | | 3/18/2012 | 7-26-10 | work complete |
| 13-5 Elms Village 504 compliance upgrades | 3/18/2010 | | 3/18/2012 | 3-28-11 | work complete |
| 13-5 Elms Village | | | | | |

| | | | | | |
|--|-----------|--|-----------|----------|--|
| 13-5 Elms Village 504 compliance upgrades - appliances | 3/18/2010 | | 3/18/2012 | 2-3-11 | work complete |
| High Rise Amp #2 | | | | | |
| 13-7 Miller Gardens Elevator upgrades | 3/18/2010 | | 3/18/2012 | 9-3-10 | work complete |
| Federalized Amp | | | | | |
| Hutt Heights General Renovations | 3/18/2010 | | 3/18/2012 | 3-28-11 | construction complete - may add appliances with budget revision |
| Hutt Heights - General Renovations - site improvements | 3/18/2010 | | 3/18/2012 | 12-14-10 | one phase complete - may add more work with budget revision |
| | | | | | |
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¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Board of Commissioners
Robert Keating- Chairman
James Kate- Vice Chairman
Prescille Yamamoto- Commissioner
Art O'Brien- Commissioner
James Patterson- Resident Commissioner

Debra Bouchard
Acting Executive Director/
Information Technology
Director

Tracy Blackwell
Site Coordinator

Allen Harrison
Site Coordinator

Andre Dumas
Asset Coordinator

Brenda Pliszka
Executive
Secretary/HR
Director

Joseph Regan
Acting Finance
Director

Frank Healy
Information Support
Specialist

Christine Corrigan
Site Coordinator

Ed Cova
Mechanic

Jeff Eckert
Mechanic

Pauline Hills
Account Clerk 1

Nancy Gonzalez
Receptionist

Ed Discenso
Aide

Steve Kiniry
Aide

Ilda Rosa
Account Clerk 2

Alexis Donald
Resident Service
Coordinator

Nick Klupko
Senior Aide

Fidel Cruz
Aide

Eimmy Smith
Admission Clerk

Brian Ayotte
Aide

Humberto Barreto
Aide

Melissa Bolling
Site Assistant

Richard Perkins
Aide

Julius Grier
Aide

Christina Rivera
Site Assistant

Michael Coppinger
Laborer

Don Minott
Laborer

Victoria Kettle
Section 8 Specialist

Keith Williams
Janitor

Vacant Position
Site Assistant 2

Jose Reyes
Janitor

Robert Brindamour
HQS Inspector

Waiting List Statistical Summary

Waiting List: Public

| Race | SRO | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | Total | Percent |
|----------------------------------|-------------|-------------|--------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|------------|---------|
| Not Assigned | 0 | 0 | 4 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 5 | 0.79% |
| White | 0 | 34 | 286 | 21 | 18 | 20 | 0 | 0 | 0 | 0 | 379 | 59.78% |
| American Indian/Alaska Native | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0.32% |
| Asian | 0 | 1 | 7 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 9 | 1.42% |
| Native Hawaiian/Other Pacific Is | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0.32% |
| Black/African American | 0 | 15 | 178 | 21 | 7 | 16 | 0 | 0 | 0 | 0 | 237 | 37.38% |
| Total | 0 | 50 | 478 | 43 | 25 | 38 | 0 | 0 | 0 | 0 | 634 | |
| Total Percent | 0.00 | 7.89 | 75.39 | 6.78 | 3.94 | 5.99 | 0.00 | 0.00 | 0.00 | 0.00 | | |

| Family Composition | SRO | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | Total | Percent |
|----------------------|-------------|-------------|--------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|------------|---------|
| Family | 0 | 6 | 150 | 40 | 24 | 38 | 0 | 0 | 0 | 0 | 258 | 40.69% |
| Disabled | 0 | 23 | 153 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 179 | 28.23% |
| Single | 0 | 1 | 13 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 14 | 2.21% |
| Elderly | 0 | 20 | 162 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 183 | 28.86% |
| Total | 0 | 50 | 478 | 43 | 25 | 38 | 0 | 0 | 0 | 0 | 634 | |
| Total Percent | 0.00 | 7.89 | 75.39 | 6.78 | 3.94 | 5.99 | 0.00 | 0.00 | 0.00 | 0.00 | | |

| Ethnicity | SRO | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | Total | Percent |
|------------------------|-------------|-------------|--------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|------------|---------|
| Hispanic or Latino | 0 | 21 | 202 | 20 | 17 | 21 | 0 | 0 | 0 | 0 | 281 | 44.32% |
| Not Assigned | 0 | 0 | 4 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 5 | 0.79% |
| Not Hispanic or Latino | 0 | 29 | 272 | 23 | 8 | 16 | 0 | 0 | 0 | 0 | 348 | 54.89% |
| Total | 0 | 50 | 478 | 43 | 25 | 38 | 0 | 0 | 0 | 0 | 634 | |
| Total Percent | 0.00 | 7.89 | 75.39 | 6.78 | 3.94 | 5.99 | 0.00 | 0.00 | 0.00 | 0.00 | | |

Waiting List: Section 8

| Race | SRO | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | Total | Percent |
|------------------------|-------------|-------------|-------------|--------------|--------------|-------------|-------------|-------------|-------------|-------------|-----------|---------|
| Black/African American | 0 | 0 | 0 | 9 | 6 | 1 | 0 | 0 | 0 | 0 | 16 | 44.44% |
| White | 0 | 0 | 2 | 12 | 6 | 0 | 0 | 0 | 0 | 0 | 20 | 55.56% |
| Total | 0 | 0 | 2 | 21 | 12 | 1 | 0 | 0 | 0 | 0 | 36 | |
| Total Percent | 0.00 | 0.00 | 5.56 | 58.33 | 33.33 | 2.78 | 0.00 | 0.00 | 0.00 | 0.00 | | |

| Family Composition | SRO | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | Total | Percent |
|----------------------|-------------|-------------|-------------|--------------|--------------|-------------|-------------|-------------|-------------|-------------|-----------|---------|
| Single | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 2.78% |
| Family | 0 | 0 | 0 | 21 | 12 | 1 | 0 | 0 | 0 | 0 | 34 | 94.44% |
| Elderly | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 2.78% |
| Total | 0 | 0 | 2 | 21 | 12 | 1 | 0 | 0 | 0 | 0 | 36 | |
| Total Percent | 0.00 | 0.00 | 5.56 | 58.33 | 33.33 | 2.78 | 0.00 | 0.00 | 0.00 | 0.00 | | |

| Ethnicity | SRO | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | Total | Percent |
|------------------------|-------------|-------------|-------------|--------------|--------------|-------------|-------------|-------------|-------------|-------------|-----------|---------|
| Hispanic or Latino | 0 | 0 | 1 | 10 | 6 | 0 | 0 | 0 | 0 | 0 | 17 | 47.22% |
| Not Hispanic or Latino | 0 | 0 | 1 | 11 | 6 | 1 | 0 | 0 | 0 | 0 | 19 | 52.78% |
| Total | 0 | 0 | 2 | 21 | 12 | 1 | 0 | 0 | 0 | 0 | 36 | |
| Total Percent | 0.00 | 0.00 | 5.56 | 58.33 | 33.33 | 2.78 | 0.00 | 0.00 | 0.00 | 0.00 | | |

Waiting List Statistical Summary

| Waiting List | SRO | Average Days Waiting | | | | | | | | | Combined Average |
|--|----------|----------------------|------------|--------------|--------------|--------------|----------|----------|----------|----------|------------------|
| | | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | |
| Public | 0 | 353 | 390 | 949 | 1,156 | 902 | 0 | 0 | 0 | 0 | 486.00 |
| Section 8 | 0 | 0 | 1,149 | 1,149 | 1,149 | 1,149 | 0 | 0 | 0 | 0 | 1,149.00 |
| Averages for All Waiting Lists: | 0 | 177 | 770 | 1,049 | 1,153 | 1,026 | 0 | 0 | 0 | 0 | 817.50 |

| Waiting List | Average Gross Income | Average Adjusted Income |
|--|----------------------|-------------------------|
| Public | \$9,855.96 | \$9,495.59 |
| Section 8 | 18,200.81 | 17,051.14 |
| Averages for All Waiting Lists: | \$14,028.38 | \$13,273.36 |

Waiting List: Public

| | |
|--|--------|
| Percent that are Handicapped or Disabled: | 28.00% |
| Total Number of Handicapped or Disabled: | 179 |
| Total Number of Applicants Listed: | 634 |
| Number Over Limit for Low Income: | 3 |
| Number Qualifying for Low Income: | 11 |
| Number Qualifying for Very Low Income: | 77 |
| Number Qualifying for Extremely Low Income: | 543 |
| Percent Qualifying for Low Income: | 2.00% |
| Percent Qualifying for Very Low Income: | 12.00% |
| Percent Qualifying for Extremely Low Income: | 86.00% |

Waiting List: Section 8

| | |
|--|--------|
| Percent that are Handicapped or Disabled: | 0.00% |
| Total Number of Handicapped or Disabled: | 0 |
| Total Number of Applicants Listed: | 36 |
| Number Over Limit for Low Income: | 0 |
| Number Qualifying for Low Income: | 0 |
| Number Qualifying for Very Low Income: | 11 |
| Number Qualifying for Extremely Low Income: | 25 |
| Percent Qualifying for Low Income: | 0.00% |
| Percent Qualifying for Very Low Income: | 31.00% |
| Percent Qualifying for Extremely Low Income: | 69.00% |

End of Report

EAST HARTFORD HOUSING AUTHORITY

RESIDENT ADVISORY BOARD

Ms. Marie Fredrickson
26 Elms Village Drive
East Hartford, CT 06118

Ms. Deonna Sheppard
26 Columbus Circle
Apt. B-1
East Hartford, CT 06108

Mr. Bill Dumond
163 School Street
Apt. W-6
East Hartford, CT 06108

Mr. Jason Matthews
24 Holmes Street
East Hartford, CT 06118

Ms. Judith Green
101 Connecticut Boulevard
Apt. 2-M
East Hartford, CT 06108

Ms. Ann Shabazz
72-3 Cannon Road
East Hartford, CT 06108

Ms. Gladys Monti
68 Silver Lane
Apt. 37
East Hartford, CT 06118

Ms. Elaine Jones
1403 Main Street
Apt. 4-H
East Hartford, CT 06108