

<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
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<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Housing Authority of the City of San Buenaventura</u> PHA Code: <u>CA035</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input checked="" type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>10/2011</u>					
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>716</u> Number of HCV units: <u>1286</u>					
<b>3.0</b>	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only					
<b>4.0</b>	<b>PHA Consortia</b> <b>NOT APPLICABLE</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)					
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
					PH	HCV
PHA 1:						
PHA 2:						
	PHA 3:					
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.					
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:  The mission of the Housing Authority of the City of San Buenaventura (HACSB) is to provide and develop quality affordable housing for eligible low-income residents of Ventura County and to establish strong partnerships necessary for HACSB customers to achieve personal goals related to: literacy and education; health and wellness; and job training and employment leading to personal growth and economic self-sufficiency.					

5.2

**Goals and Objectives.** Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

PHA Goal: Expand the supply of assisted housing

Objectives: Apply for additional rental vouchers, reduce public housing vacancies, leverage private or other public funds to create additional housing opportunities, acquire or build units or developments.

PHA Goal: Improve the quality of assisted housing

Objectives: Improve public housing management (PHAS score 92%), strive to maintain voucher management (SEMAP score 100%), increase customer satisfaction, concentrate on efforts to improve specific management functions (e.g., public housing finance; voucher unit inspections), renovate or modernize public housing units, demolish or dispose of obsolete public housing, provide replacement public housing, provide replacement vouchers.

PHA Goal: Increase assisted housing choices

Objectives: Provide voucher mobility counseling, conduct outreach efforts to potential voucher landlords, implement voucher homeownership program, implement public housing or other homeownership programs, convert public housing to vouchers as feasible.

PHA Goal: Provide an improved living environment

Objectives: Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments, implement public housing security improvements, when feasible designating developments or buildings for particular resident groups (elderly, persons with disabilities).

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives: This goal, in concert with HUD's FY 2010-15 Strategic Plan Goal # 3 to Utilize Housing as a Platform to Increase Quality of Life, includes continuation and expansion of Resident programs which support the goal of utilizing HUD assistance to improve health outcomes, increase economic security and self-sufficiency, and to improve housing stability through supportive services for vulnerable populations, including the elderly and people with disabilities. The Housing Authority will continue to pursue new and renewal funding for Coordinators to staff the HCV FSS and Homeownership Programs, the PH FSS Program, the ROSS SC Family Program, and ROSS elderly/disabled program in order to expand resident services in the areas of children, elderly, job training and placement, homeownership, small business start-up initiatives and resident owned business.

PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives: Continue affirmative measures to provide a suitable living environment for families living in assisted housing as well as ensuring access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability, continuing to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required, continue to administer the City's Fair Housing and Tenant/Landlord Services Program, continue coordination with the Fair Housing Services provided through the Southern California Housing Rights Center, use the Southern California Housing Rights Center to provide ongoing training to Housing Authority staff and property managers.

PHA Goal: Help families move from rental housing to homeownership.

Objective: Continue to implement the Section 8 Voucher Homeownership Program to enable low-income renters to utilize their subsidy in conjunction with a mortgage on a home purchase, continue to implement the Family Self-Sufficiency (FSS) Program for all Section 8 and Public Housing clients in order to encourage self-sufficiency in all residents.

PHA Goal: Assist in the preservation of affordable rental and for-sale properties within the City.

Objective: Continue to assist the City with its Housing Preservation loan program and Mobile Home Grant Program, assist the City with its Affordable Housing Program design and implementation, assist the City with proposed rental unit preservation program, respond favorably to any invitation to administer HUD's enhanced voucher program for project-based contract opt-outs.

PHA Goal: Partner with for-profit and non-profit developers and governmental service agencies to increase the supply of affordable housing within the City, and ensure project viability.

Objective: Pursue project-based Section 8 assistance, when feasible.

PHA Board of Commissioners Goals

Prepare the Board for change

Structure the Authority organization for change

Ensure long term financial viability of the Authority

Establish a non-profit organization as a funding mechanism

Develop an asset management plan

Become Ventura's affordable housing development leader

Enhance relations with city government

Enhance relations with other stakeholders

Expand resident service programs and enhance economic self-sufficiency in collaboration with partners.

Please see Section 10.a for a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

**PHA Plan Update**

**6.0**

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

None

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

The 5-Year and Annual PHA Plan are available for public review at the main administrative offices of the PHA, PHA property management offices, the main administrative office of the government (City of Ventura), and at the following PHA buildings: 2400 N. Ventura Avenue, 1055 Johnson Drive, 1079 Johnson Drive, 66 S. Ventura Avenue, 9050 Telephone Road, 9620 Telephone Road, and 137 S. Palm Street, all in Ventura.

**Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.** *Include statements related to these programs as applicable.*

- The PHA has not received a Choice Neighborhoods (CNI) or HOPE VI grant, but plans to apply for both grants when offered again for the demolition and disposition of public housing inventory, resulting in a density increase of units: CA16P035-01&02 Westview Village (potential replacement of 180 public housing units plus additional 170 affordable units) as well as 11 single-family homes transferred to our non-profit TRIAD Properties.
- The PHA may engage in mixed-finance development activities for public housing in the Plan year, which would be affiliated only with the above-referenced projects, if the PHA decides to proceed with that project in the Plan year.
- The PHA plans to submit to the Special Applications Center (SAC) a request to demolition/disposition: 180 public housing units located in project numbers 035-1 (231/405 W. Vince, 232/242 W. Flint, 1051/1156 Riverside St.) and 035-2 (246 & 260 W. Vince, 233/237/243/257 W. Flint, 229/356 W. Warner, 236/357 W. Barnett, 913/949/985 Snow Court).
- The PHA may also redevelop eight (8) housing units located in project number 035-08 (217/233 Harrison Ave.) and 323/329 W. Park Row Avenue in the future, increasing the number of units on the site.
- The PHA is pursuing the disposition of the following units to the Agency's affiliate non-profit TRIAD Properties: Project numbers 035-08 (206 Kellogg St., 332 Valmore Ave., 1330 Elsinor Ct., 3157 & 3158 Channel Dr., ), 035-10 (10373 Darling Road, 10408 Jamestown St., 218 E. Kellogg St., 1325 Cachuma Dr., 239 Dos Caminos Ave.), and 035-12 (135 Harding Ave.). We do not want the ACC to be reduced by eleven (11) units but instead intend to increase the density of the units at Westview, Harrison and Park Row above, transferring the 11 units from scattered sites to these two sites.
- The PHA administers a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR Part 982. The minimum qualifications for this program are:
  - An active Family Self-Sufficiency (FSS) Program Participant or FSS graduate who is still on the Voucher Program with a minimum escrow balance of \$5,000, or Voucher participant with personal savings of \$5,000.
  - A first-time homebuyer or have not had ownership in any home within the past three years.
  - Employed full-time (at least 32 hours per week), unless disabled or elderly
  - Have been continuously employed for at least 12 months, unless disabled or elderly
  - Cannot owe money to any Housing Authority and must be in good standing with the Voucher program.
  - Both pre and post Homeownership counseling and education is required.
  - Must qualify for a mortgage loan at a financial institution.
  - Annual earned income should be at least 50% of the County Median income adjusted for family size
  - Balloon payment mortgages, variable interest rate loans, adjustable loans and interest only loans are prohibited
  - Agency approval must be obtained for refinancing a home purchased through the Housing Choice Voucher Homeownership Program; refinancing for an amount greater than the current loan balance is prohibited
  - HCV Homeownership participants must comply with the responsibilities outlined in HUD Form 52649 and also establish a savings account earmarked specifically for home repairs within 90 days of closing escrow
- The PHA plans to project-base Section 8 vouchers in the coming year. Project-basing is a more viable option over tenant-based vouchers because of the following circumstances: access to neighborhoods outside of high poverty areas, source of stable operating funds for new construction and to ensure well-maintained units for very low income persons.  
 The Agency currently has project based vouchers providing subsidy as follows:
 

Chapel Lane Senior Apartments	38
The WAV	15
Triad	21
Seneca	1

In 2011, the PHA will project-based vouchers (PBV) at the following location: 12 units – Soho Apartments (12 affordable family units located in the Westside Community of Ventura. Voucher assistance already approved by HUD-DC and HUD-LA); In the future, the agency will consider 24 PBV units – Hansen Trust (farmworker housing family units located in East Ventura. Voucher assistance application in process).
- The PHA is analyzing its ability to apply for TRA, (Transforming Rental Assistance), PETRA (Preservation Enhancement Transformations of Rental Assistance), or RHRA (Rental Housing Revitalization Act) in 2011, which would result in public housing units converting to Program-Based Vouchers. Should it appear feasible, we will apply.

7.0

**8.0 Capital Improvements.** Please complete Parts 8.1 through 8.3, as applicable.

8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>Please see the attached form</p>																																																																																								
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>Please see the attached form</p>																																																																																								
8.3	<p><b>Capital Fund Financing Program (CFFP).</b>  <input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>																																																																																								
9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <table border="1" data-bbox="240 745 1490 1255"> <thead> <tr> <th colspan="8">Housing Needs of Families in the Jurisdiction by Family Type</th> </tr> <tr> <th>Family Type</th> <th>Overall</th> <th>Affordability</th> <th>Supply</th> <th>Quality</th> <th>Accessibility</th> <th>Size</th> <th>Location</th> </tr> </thead> <tbody> <tr> <td>Income &lt;= 30% of AMI</td> <td>2,406</td> <td>5</td> <td>5</td> <td>4</td> <td>5</td> <td>4</td> <td>N/A</td> </tr> <tr> <td>Income &gt;30% but &lt;=50% of AMI</td> <td>1,968</td> <td>5</td> <td>5</td> <td>4</td> <td>5</td> <td>2</td> <td>N/A</td> </tr> <tr> <td>Income &gt;50% but &lt;80% of AMI</td> <td>2,012</td> <td>4</td> <td>4</td> <td>4</td> <td>5</td> <td>2</td> <td>N/A</td> </tr> <tr> <td>Elderly</td> <td>1,213</td> <td>5</td> <td>5</td> <td>4</td> <td>5</td> <td>2</td> <td>N/A</td> </tr> <tr> <td>Families with Disabilities</td> <td>N/A</td> <td>4</td> <td>4</td> <td>4</td> <td>5</td> <td>2</td> <td>N/A</td> </tr> <tr> <td>Hispanic</td> <td>3,777</td> <td>4</td> <td>4</td> <td>4</td> <td>5</td> <td>2</td> <td>N/A</td> </tr> <tr> <td>Black</td> <td>218</td> <td>4</td> <td>4</td> <td>4</td> <td>5</td> <td>2</td> <td>N/A</td> </tr> <tr> <td>Other</td> <td>3,108</td> <td>4</td> <td>4</td> <td>4</td> <td>5</td> <td>2</td> <td>N/A</td> </tr> <tr> <td>White</td> <td>12,247</td> <td>4</td> <td>4</td> <td>4</td> <td>5</td> <td>2</td> <td>N/A</td> </tr> </tbody> </table> <p><b>NOTE:</b> "Overall" Needs column figures represent the estimated number of renter families that have housing needs. For the remaining characteristics, the impact of that factor on the housing needs for each family type was rated from 1 to 5, with 1 being "no impact" and 5 being "severe impact." N/A indicates that no information is available upon which the PHA can make this assessment. The information for the chart was taken from the 2010-2015 City of Ventura Consolidated Plan.</p>	Housing Needs of Families in the Jurisdiction by Family Type								Family Type	Overall	Affordability	Supply	Quality	Accessibility	Size	Location	Income <= 30% of AMI	2,406	5	5	4	5	4	N/A	Income >30% but <=50% of AMI	1,968	5	5	4	5	2	N/A	Income >50% but <80% of AMI	2,012	4	4	4	5	2	N/A	Elderly	1,213	5	5	4	5	2	N/A	Families with Disabilities	N/A	4	4	4	5	2	N/A	Hispanic	3,777	4	4	4	5	2	N/A	Black	218	4	4	4	5	2	N/A	Other	3,108	4	4	4	5	2	N/A	White	12,247	4	4	4	5	2	N/A
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**Strategy for Addressing Housing Needs.** Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

9.1

The PHA plans to maximize the number of affordable units available to the PHA within its current resources by a variety of means:

- Employ effective maintenance and management policies to minimize the number of public housing units off-line,
- Reduce turnover time for vacated public housing units, reduce time to renovate public housing units,
- Seek replacement of public housing units lost to the inventory through mixed finance development,
- Maintain or increase Section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction,
- Continue measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required,
- Maintain or increase Section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration,
- Maintain or increase Section 8 lease up rates by effectively screening Section 8 applicants to increase owner acceptance of program,
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies.

The PHA also plans to increase the number of affordable housing units by a variety of means:

- Apply for additional Section 8 units should they become available,
- Leverage affordable housing resources in the community through the creation of mixed-finance housing, and pursue housing resources other than public housing or Section 8 tenant-based assistance.

The PHA will also utilize available funding to target families at or below 30% of AMI, families at or below 50% of AMI, the elderly, and families with disabilities.

The PHA will increase awareness of PHA resources among families of races and ethnicities with disproportionate needs, and conduct activities to affirmatively further fair housing.

**Additional Information.** Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5- Year Plan.

The Housing Authority of the City of San Buenaventura continues to provide well-maintained units for our residents and we continue to ensure public housing complexes operate effectively and efficiently. In that regard, we continue to review and update our Physical Needs Assessment and Management Assessment to ensure each AMP is operating in a cost-effective manner. We continue to initiate direct contact with local owners and property managers to ensure a diverse inventory of rental properties available outside the identified tracks that are at or below the poverty-income level to all program participants. The Agency recently received 97 vouchers from the Ventura Terrace Apartments as well as 25 VASH vouchers that we added to our voucher inventory and will continue managing.

The Agency continues to offer enhanced services to residents of all age levels, including a homework center for children, and exercise and health education programs for residents, as well as an etiquette course, all managed by our Resident Services department. The Agency continues to upgrade and expand the computer-learning center for children and adults (e.g. Internet connectivity for all existing personal computers) using HUD's Neighborhood Networks grant funding. We have increased computer learning activities/classes that reinforce and encourage computer use for empowerment, educational and employment purposes. In order to encourage self-sufficiency efforts in all residents, the Family Self Sufficiency (FSS) Program is offered to Section 8 and Public Housing residents. We continue to implement the FSS Program for all eligible clients, and the Section 8 Homeownership Program continues to be a tool to assist residents to move into homeownership.

The Agency continues to seek opportunities to develop additional low-income units in the community. To this extent, the Authority continues to participate in collaborative efforts to increase and improve the supply of affordable housing. Construction began on a 12-unit family project in May 2010. All families will receive supportive services through the FSS Program and the rents will be subsidies with Project-Based Section 8 voucher assistance. The Authority is also in construction on a 37-unit family building in downtown Ventura, with completion slated for late 2012. The Agency also plans to begin construction on farmworker housing family units in future years, 24 units of which will be supported by project-based vouchers. The Housing Authority's associated non-profit organization owns and manages 22 non-HUD units in the Agency's inventory. The Agency also provided 15 project-based vouchers to WAV – Working-Artists Ventura. The non-HUD units can be used to leverage other funds, enabling the development of additional low/very low income housing in the community.

**10.0** The Agency also continues to seek cost-effective ways to renovate or modernize public housing units. The Agency received \$1.6 million in Federal stimulus funds through the American Recovery and Reinvestment Act (ARRA), and used the bulk of the funds to renovate and modernize our existing public housing units. The Agency also received a competitive ARRA grant of \$1.79 million to complete green energy improvements to one multi-family apartment complex located at 1216 Santa Clara Street in Ventura.

The PHA will also assess the condition of all public housing units and, if over-concentration is evident or significant renovation is necessary, it will determine whether some units should be replaced elsewhere in the community and mixed income units developed on-site instead. This would be accomplished using various tools including HUD Mixed Financing which requires the completion of the 20-year Physical Needs Assessment, and Management Assessment of the Agency. The Agency will assess the feasibility of demo/disposition of various public housing units including Westview Village, Park Row, and Harrison.

The Housing Authority of the City of San Buenaventura operates both its public housing and Section 8/Housing Choice Voucher (HCV) programs consistent with the required provisions of The Violence Against Women Reauthorization Act of 2005 (VAWA), specifically Section 606(1) of VAWA which adds the provision to Section 8 of the U.S. Housing Act of 1937 listing contract provisions and requirements for the HCV program. The Housing Authority of the City of San Buenaventura submits a report to the State of California Housing and Community Development Department on an annual basis, which indicates the number of evictions due to domestic violence activities in both public housing as well as in HCV-assisted units (there have been none to date). This data is tracked on a monthly basis and is reported to our Board of Commissioners on a monthly basis as well.

The Agency does not offer a preference on either the public housing or Section 8/HCV Program waiting lists for victims of domestic violence, dating violence, sexual assault or stalking. The Housing Authority of the City of San Buenaventura currently does not offer any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; The Housing Authority of the City of San Buenaventura currently does not offer any activities, services or programs provided or offered by a public housing agency that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and The Housing Authority of the City of San Buenaventura currently does not offer any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families; however, we would make referrals on an as needed/as required basis to local service agencies.

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

The PHA defines "substantial deviation/modification" as when one of the policies outlined in the Plan is reversed. It defines "significant amendment" as adding a new Capital Fund Project to the Plan.

<b>11.0</b>	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> <li>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</li> <li>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</li> <li>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</li> <li>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</li> <li>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</li> <li>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</li> <li>(g) Challenged Elements</li> <li>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</li> <li>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</li> </ul>
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Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Part I: Summary		Grant Type and Number		FFY of Grant: 2009	
PHA Name: Housing Authority of the City of San Buenaventura		Capital Fund Program Grant No: CA16P035 501-09		FFY of Grant Approval:	
Date of CFFP:		Replacement Housing Factor Grant No:			
Type of Grant		Reserve for Disasters/Emergencies		Revised Annual Statement (revision no:5 )	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Final Performance and Evaluation Report			
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2011		Total Estimated Cost		Total Actual Cost <sup>1</sup>	
Line	Summary by Development Account	Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	255,997		255,997	255,997
3	1408 Management Improvements	127,998		127,998	127,998
4	1410 Administration (may not exceed 10% of line 21)	127,998		127,998	127,998
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	156,644.18		156,644.18	156,644.18
8	1440 Site Acquisition				
9	1450 Site Improvement	185,107.60		185,107.60	185,107.60
10	1460 Dwelling Structures	187,903.22		187,903.22	104,428.84
11	1465.1 Dwelling Equipment—Nonexpendable	125,000		125,000	118,407.94
12	1470 Non-dwelling Structures	24,000		24,000	24,000
13	1475 Non-dwelling Equipment	89,337		89,337	89,337
14	1483 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

<b>Part I: Summary</b>		FFY of Grant: 2009	
PHA Name: Housing Authority of the City of San Buenaventura		FFY of Grant Approval:	
Grant Type and Number Capital Fund Program Grant No: CA16P035 501-09 Replacement Housing Factor Grant No: Date of CFFP:		<input type="checkbox"/> Revised Annual Statement (revision no: 5 ) <input type="checkbox"/> Final Performance and Evaluation Report	
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/11		<input type="checkbox"/> Reserve for Disasters/Emergencies	
Summary by Development Account		Total Estimated Cost	Total Actual Cost <sup>1</sup>
Line		Original	Obligated
18a	1501 Collateralization or Debt Service paid by the PHIA		
186a	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)	1,279,985	1,279,985
20	Amount of Annual Grant: (sum of lines 2 - 19)		
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Signature of Public Housing Director	
Date 06/09/2011		Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFF Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Part II: Supporting Pages		Federal FFY of Grant: 2009					
PHA Name: Housing Authority of the City of San Buenaventura		Grant Type and Number Capital Fund Program Grant No: CA16P035 501-09 CFFP (Yes/No): Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>
CA035-001&002	Laundry room roof and fascia renovation	1460	180 units	127,312.22		127,312.22	212
CA035-006	Elevator Upgrades	1460	2 units	0			
CA035-006	Balcony Upgrades	1460	75 balconies	0			
CA035-009	Maintenance shop roof replacement	1470	4320 sq. ft	24,000		24,000	
CA035-010	unit modernization - 1325 Cachuma	1460	1 unit	14,145		14,145	14,145
CA035-014	Driveway overlay & replacement	1450	25 units	45,219.80		45,219.80	45,219.80
CA035-015	Driveway overlay & replacement	1450	25 units	45,219.80		45,219.80	45,219.80
CA035-016, -013, &-006	Driveway overlay & replacement	1450	20 units	57,734		57,734	57,734
CA035-013	replace lifting slabs/patios, tree and root pruning	1450	52 units	33,258		33,258	33,258
area-wide	ext. furnishings upgrade & replacement	1465	5 bldgs	15,000		15,000	15,000
area-wide	stoves, refrigerators, range hoods, water heaters	1465	40 apps	125,000		125,000	118,407.94
area-wide	phone system upgrade	1475	1 system	79,388		79,388	79,388
area-wide	computer replacement	1475	3 cmputrs	5,000		5,000	5,000
area-wide	replace locks and install deadbolts	1460	40 units	8,354		8,354	8,354
area-wide	Operations	1406		255,997		255,997	255,997
area-wide	Administration	1410		127,998		127,998	127,998
area-wide	Fees and Costs	1430		156,644.18		156,644.18	156,644.18
area-wide	Management Improvements	1408		127,998		127,998	127,998
CA035-010	unit modernization - 239 Dos Caminos	1460	1 unit	38,092		38,092	38,092

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

Part III: Implementation Schedule for Capital Fund Financing Program		Federal FFY of Grant: 2009	
PHA Name: Housing Authority of the City of San Buenaventura			
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)
	Original Obligation End Date	Actual Obligation End Date	
	Original Expenditure End Date	Actual Expenditure End Date	Reasons for Revised Target Dates <sup>1</sup>
CA16P035-001&002	09/15/2011	09/2010	
CA16P035-006	09/15/2011	08/2010	10/2010
CA16P035-009	09/15/2011	09/2009	11/2009
CA16P035-010	09/15/2011	01/2010	05/2010
CA16P035-013	09/15/2011	01/2010	05/2010
CA16P035-014	09/15/2011	08/2010	10/2010
CA16P035-015	09/15/2011	08/2010	11/2010
CA16P035-016	09/15/2011	08/2010	10/2010
area-wide	09/15/2011	09/2010	05/2011
area-wide	09/15/2011	09/2009	09/2009
area-wide	09/15/2011	04/2010	12/2010
area-wide	09/15/2011	09/2010	05/2011
area-wide	09/15/2011	09/2010	05/2011

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.







Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Part I: Summary		FFY of Grant: 2010 FFY of Grant Approval:		
PHA Name: Housing Authority of the City of San Buenaventura		Grant Type and Number Capital Fund Program Grant No: CA16P035 501-10 Replacement Housing Factor Grant No: Date of CFFP:		
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2011		<input type="checkbox"/> Revised Annual Statement (revision no:4 ) <input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>
		Original	Revised <sup>2</sup>	
1	Total non-CFP Funds			
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	253,598	253,598	253,598
3	1408 Management Improvements	126,799	126,799	39,503
4	1410 Administration (may not exceed 10% of line 21)	126,799	126,799	68,453
5	1411 Audit			
6	1415 Liquidated Damages			
7	1430 Fees and Costs	150,000	150,000	91,224
8	1440 Site Acquisition			
9	1450 Site Improvement			
10	1460 Dwelling Structures	448,390	447,302.26	56,390
11	1465.1 Dwelling Equipment—Nonexpendable	90,000	89,910.88	89,910.88
12	1470 Non-dwelling Structures			
13	1475 Non-dwelling Equipment	72,406	73,582.86	59,281.53
14	1485 Demolition			
15	1492 Moving to Work Demonstration			
16	1495.1 Relocation Costs			
17	1499 Development Activities <sup>4</sup>			

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

<b>Part I: Summary</b>		FFY of Grant: 2010	
PEA Name: Housing Authority of the City of San Buenaventura		FFY of Grant Approval:	
Grant Type and Number Capital Fund Program Grant No: CA16P035 501-10 Replacement Housing Factor Grant No: Date of CFFP:		Revised Annual Statement (revision no: 4 )	
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03-31-11		<input type="checkbox"/> Final Performance and Evaluation Report	
Summary by Development Account		Total Estimated Cost	Total Actual Cost <sup>1</sup>
Line		Original	Revised <sup>2</sup>
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	1,267,992	968,992
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director: <i>J. Michael Williams</i>		Signature of Public Housing Director	
Date 06/09/2011		Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAS with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Part II: Supporting Pages		Federal FFY of Grant: 2010						
PHA Name: Housing Authority of the City of San Buenaventura		Grant Type and Number Capital Fund Program Grant No: CA16P035 501-10 CFFP (Yes/No): Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
CA035-001&002	Westview Lock Replacement	1460	180 units	55,889	56,390	56,390	56,390	completed
CA035-001&002	Westview Roof & Fascia Replacement	1460	180 units	45,501	2,909.26	2,909.26		
CA035-003	Palms Solar Support Beam Replacement	1460	3 beams	0	11,000			
CA035-008	Unit Modernization - N. Bank Greens	1460	1 unit	74,000	130,000			
CA035-008	Laurel Roof Replacement	1460	3000 sq ft	0	40,000			
CA035-010	Santa Clara Sewer Upgrade	1460	28 units	110,000	87,600	87,600		in progress
CA035-010	Santa Clara Flooring Upgrade	1460	28 units	0	45,000			
CA035-020	Jamestown 504 unit conversion	1460	1 unit	67,000	74,403	1457		in progress
area-wide	security camera system upgrades	1475	1 system	27,000		27,000	27,000	completed
area-wide	stoves, refrigerators, range hoods, water heaters	1465	40 apps	90,000	89,910.88	89,910.88	89,910.88	completed
area-wide	computer replacement	1475	3 cmputrs	5,000		5000		
area-wide	16 passenger van	1475	1 van	40,406	41,528.86	41,528.86	32,281.53	completed
area-wide	CFFP leverage payment	9000	0	0				
area-wide	Administration	1410	126,799	126,799		126,799	68,453	in progress
area-wide	Fees and Costs	1450	150,000	150,000		150,000	91,224	in progress
area-wide	Management Improvements	1408	126,799	126,799		126,799	39,503	in progress
area-wide	Operations	1406	253,598	253,598		253,598	253,598	completed

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Part II: Supporting Pages		Federal FFY of Grant: 2010						
PHA Name: Housing Authority of the City of San Buenaventura		Grant Type and Number Capital Fund Program Grant No: CA16P035 501-10 CFEP (Yes/No): Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

Part III: Implementation Schedule for Capital Fund Financing Program					Federal FFY of Grant: 2010
PHA Name: Housing Authority of the City of San Buenaventura					
Development Number Name/PHA- Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
CA16P035-001&002	10/01/2012		10/01/2014		
CA035-006	10/01/2012		10/01/2014		
CA035-008	10/01/2012		10/01/2014		
CA035-008	10/01/2012		10/01/2014		
CA035-008	10/01/2012		10/01/2014		
CA035-010	10/01/2012		10/01/2014		
CA035-016	10/01/2012		10/01/2014		
area-wide	10/01/2012		10/01/2014		
area-wide	10/01/2012		10/01/2014		
area-wide	10/01/2012		10/01/2014		
area-wide	10/01/2012		10/01/2014		
area-wide	10/01/2012		10/01/2014		
area-wide	10/01/2012		10/01/2014		
area-wide	10/01/2012		10/01/2014		
area-wide	10/01/2012		10/01/2014		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Part I: Summary		FFY of Grant: 2009 FFY of Grant Approval: 2009	
PHA Name: Housing Authority of the City of San Buenaventura		Grant Type and Number Capital Fund Program Grant No: Capital Fund Recovery Grant, CA16S035 501-09 Replacement Housing Factor Grant No: Date of CFFP:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2011		<input type="checkbox"/> Revised Annual Statement (revision no: 2 ) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost <sup>1</sup>
		Revised <sup>2</sup>	Obligated
		Original	Expended
1	Total non-CFP Funds		
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>		
3	1408 Management Improvements	144,671.14	144,671.14
4	1410 Administration (may not exceed 10% of line 21)	162,544	
5	1411 Audit		
6	1415 Liquidated Damages		
7	1430 Fees and Costs	93,904.88	93,904.88
8	1440 Site Acquisition		
9	1450 Site Improvement	82,793.50	82,793.50
10	1460 Dwelling Structures	1,074,324.97	1,074,324.97
11	1465.1 Dwelling Equipment—Nonexpendable		
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment	66,898.51	66,898.51
14	1485 Demolition		
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs		
17	1499 Development Activities <sup>4</sup>		

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

<b>Part I: Summary</b>		FFY of Grant: 2009	
PHA Name: Housing Authority of the City of San Buenaventura	Grant Type and Number Capital Fund Program Grant No: CA16035 501-09 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant Approval: 2009	
Type of Grant	<input type="checkbox"/> Original Annual Statement	<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2 )	
	<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/11	<input type="checkbox"/> Final Performance and Evaluation Report	
	<input type="checkbox"/> Reserve for Disasters/Emergencies		
	<input type="checkbox"/> Summary by Development Account		
Line	Original	Revised	Total Actual Cost <sup>1</sup> Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
180a	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)	1,625,437	1,227,953.53
20	Amount of Annual Grant: (sum of lines 2 - 19)		
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures	74,500	
Signature of Executive Director		Signature of Public Housing Director	
Date 06/22/0211		Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.



Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Part II: Supporting Pages		Federal FFY of Grant: 2009						
PHA Name: Housing Authority of the City of San Buenaventura		Grant Type and Number Capital Fund Program Grant No: Capital Fund Recovery Grant, CA 16S035 501-09 CFFP (Yes/No): Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
Area-wide	Management Improvements	1406		144,971.14		144,971.14		in progress
Area-wide	Administration	1410		162,544		162,544		completed
Area-wide	professional services	1430		93,904.88		93,904.88		in progress
CA035-006	replace asphalt shingles	1460	40 units	87,839		87,839		completed
CA035-006	Buena Vida Kitchen Remodel	1460	75 units	364,500		364,500		completed
CA035-006	carport replacement - Buena Vida family site	1450	20 units	46,755.50		46,755.50		completed
CA035-006	carport replacement - Cambria family site	1450	20 units	36,038		36,038		completed
CA035-008	unit modernization - 3157 Channel Drive	1460	1 unit	101,842		101,842		completed
CA035-008	unit modernization - 3158 Channel Drive	1460	1 unit	102,246		102,246		completed
CA035-009	replace bathroom vanities	1460	16 units	19,287		19,287		completed
CA035-009	bathroom upgrade: replace showers	1460	16 units	23,960		23,960		completed
CA035-010	unit modernization - 218 Kellogg	1460	1 unit	60,097		60,097		completed
CA035-010	unit modernization - 1325 Cachuma Dr.	1460	1 unit	73,559		73,559		completed
CA035-010	unit modernization - 239 Dos Caminos	1460	1 unit	105,000		105,000		completed
CA035-012	unit modernization - 135 Harding Ave.	1460	1 unit	65,961		65,961		completed
CA035-013	replace windows	1460	50 units	43,096		43,096		completed
CA035-017	replace water heaters	1460	40 units	27,465		27,465		completed
Area-wide	Replace Stoves/Fridges/Range Hoods	1475	75 appliances	66,898.51		66,898.51		in progress

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

Part III: Implementation Schedule for Capital Fund Financing Program							Federal FFY of Grant: 2009
PHA Name: Housing Authority of the City of San Buenaventura							Reasons for Revised Target Dates
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Original Expenditure End Date	Actual Expenditure End Date	
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date			
Area-wide	03/17/2010	05/2009	03/17/2012		03/17/2012		
Area-wide	03/17/2010	03/2009	03/17/2012		03/17/2012	03/2009	
Area-wide	03/17/2010	05/2009	03/17/2012		03/17/2012	05/2010	
Area-wide	03/17/2010	09/2009	03/17/2012		03/17/2012	04/2010	
CA035-006	03/17/2010	09/2009	03/17/2012		03/17/2012	05/2010	
CA035-006	03/17/2010	05/2009	03/17/2012		03/17/2012	04/2010	
CA035-006	03/17/2010	01/2010	03/17/2012		03/17/2012	04/2010	
CA035-006	03/17/2010	09/2009	03/17/2012		03/17/2012	05/2010	
CA035-006	03/17/2010	01/2010	03/17/2012		03/17/2012	04/2010	
CA035-008	03/17/2010	05/2009	03/17/2012		03/17/2012	05/2010	
CA035-008	03/17/2010	01/2010	03/17/2012		03/17/2012	05/2010	
CA035-009	03/17/2010	05/2009	03/17/2012		03/17/2012	04/2010	
CA035-009	03/17/2010	09/2009	03/17/2012		03/17/2012	04/2010	
CA035-010	03/17/2010	05/2009	03/17/2012		03/17/2012	05/2010	
CA035-010	03/17/2010	09/2009	03/17/2012		03/17/2012	05/2010	
CA035-010	03/17/2010	05/2009	03/17/2012		03/17/2012	04/2010	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Part I: Summary		FFY of Grant: 2009 FFY of Grant Approval:		
PHA Name: Housing Authority of the City of San Buenaventura		Grant Type and Number Capital Fund Program Grant No: CA03500001009R Replacement Housing Factor Grant No: Date of CFPP:		
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2011		<input type="checkbox"/> Revised Annual Statement (revision no:3 ) <input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost Revised <sup>2</sup>	Obligated	Total Actual Cost <sup>1</sup> Expended
1	Total non-CFP Funds			
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>			
3	1408 Management Improvements			
4	1410 Administration (may not exceed 10% of line 21)	179,000	179,000	55,344
5	1411 Audit			
6	1415 Liquidated Damages			
7	1430 Fees and Costs	260,725	260,725	199,126
8	1440 Site Acquisition			
9	1450 Site Improvement			
10	1460 Dwelling Structures	1,350,275	1,350,275	438,073.36
11	1465.1 Dwelling Equipment—Nonexpendable			
12	1470 Non-dwelling Structures			
13	1475 Non-dwelling Equipment			
14	1485 Demolition			
15	1492 Moving to Work Demonstration			
16	1495.1 Relocation Costs			
17	1499 Development Activities <sup>4</sup>			

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

<b>Part I: Summary</b>		FFY of Grant: 2009	
PHA Name: Housing Authority of the City of San Buenaventura		FFY of Grant Approval:	
Grant Type and Number Capital Fund Program Grant No: CA03500001009R			
Replacement Housing Factor Grant No:			
Date of CFFP:			
Type of Grant		<input type="checkbox"/> Revised Annual Statement (revision no: 3 ) <input type="checkbox"/> Final Performance and Evaluation Report	
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03-31-11		Total Actual Cost <sup>1</sup>	
Summary by Development Account		Total Estimated Cost	
Line		Original	Revised <sup>2</sup>
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)	1,790,000	1,790,000
20	Amount of Annual Grant: (sum of lines 2 - 19)		
21	Amount of line 20 Related to LEP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Signature of Public Housing Director	
Date 06/22/2011		Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.











Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Part I: Summary		FFY of Grant: 2011 FFY of Grant Approval:			
PHA Name: Housing Authority of the City of San Buenaventura		Grant Type and Number Capital Fund Program Grant No: CA16P035 501-11			
Date of CFFP:		Replacement Housing Factor Grant No:			
Type of Grant		) )			
<input checked="" type="checkbox"/> Original Annual Statement and Evaluation Report for Period Ending:		<input type="checkbox"/> Revised Annual Statement (revision no: )			
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Revised <sup>2</sup>	Obligated	Total Actual Cost <sup>1</sup> Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	210,708			
3	1408 Management Improvements	97,209			
4	1410 Administration (may not exceed 10% of line 21)	105,354			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	70,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	47,000			
10	1460 Dwelling Structures	239,284			
11	1465.1 Dwelling Equipment—Nonexpendable	66,991			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	5,000			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	5,000			
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

<b>Part I: Summary</b>		FFY of Grant: 2011	
PHA Name: Housing Authority of the City of San Benaventura		FFY of Grant Approval:	
Grant Type and Number Capital Fund Program Grant No: CA16P035 501-11			
Replacement Housing Factor Grant No:			
Date of CFFP:			
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Final Performance and Evaluation Report	
Summary by Development Account		Total Estimated Cost	Total Actual Cost <sup>1</sup>
Line		Original	Obligated
18a	1501 Collateralization or Debt Service paid by the PHA	207,000	
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	1,053,546	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures	135,200	
Signature of Executive Director		Signature of Public Housing Director	
Date		Date	

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<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Part II: Supporting Pages		Federal FFY of Grant: 2011						
PHA Name: Housing Authority of the City of San Buenaventura		Grant Type and Number Capital Fund Program Grant No: CA16P035 501-11 CFFP (Yes/No): no Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
CA035-006	Buena Vida Sr. Balcony Upgrades	1460	75 units	45,000				
CA035-008	Hemlock/Laurel/Harrison/Santa Cruz Window Upgrades	1460	16 units	29,284				
CA035-010	Joanne/Birch/Park Row Window Upgrades	1460	10 units	25,000				
CA035-010	Hemlock Painting and Waterproofing, Fascia and Roof Repairs	1460	1 bidg	40,000				
CA035-016	Mission Park Window and Sliding Glass Door Upgrades	1460	53 units	60,000				
CA035-020	Jamestown Driveway Overlay Upgrades	1450	20 units	47,000				
CA035-022	Daisy Window and Sliding Glass Door Upgrades	1460	20 units	30,000				
HA-wide	Replace locks	1460	40 units	10,000				
HA-wide	Replace stove/refrig/range hoods/water heaters/washers/dryers	1465	65 appliances	53,991				
CA035-003	Palms Generator replacement	1465	1 generator	13,000				
HA-wide	computer replacement	1475	2 laptops	5,000				
HA-wide	Relocation	1495.1		5,000				
HA-wide	CFFP Bond/Loan Payment	9000		207,000				
HA-wide	Fees & Costs	1430		70,000				
HA-wide	Administration	1410		105,354				
HA-wide	Management Improvements	1408		97,209				
HA-wide	Operations	1406		210,708				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

Part II: Supporting Pages		Federal FFY of Grant: 2011						
PHA Name: Housing Authority of the City of San Buenaventura WITH CAPITAL FUND LEVERAGING		Grant Type and Number Capital Fund Program Grant No: CA16P035 501-11 CFFP (Yes/No): no Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	CFFP Loan Amount			2,856,525				
	Annual Payment			207,000				
	CFFP Fees			45,000				
area-wide								
CA16P035-003	Replace Vinyl Flooring	1460	75 units	81,500				
CA16P035-003	Kitchen Remodel	1460	75 units	1,155,000				
CA16P035-003	Door upgrades and install deadbolts	1460	75 units	20,000				
CA16P035-006	Window Replacement	1460	75 units	43,500				
CA16P035-006	Sliding glass door & window replacement	1460	20 units	50,000				
CA16P035-006	Replace Vinyl Flooring	1460	20 units	35,750				
CA16P035-006	sliding glass door & window replacement	1460	20 units	50,000				
CA16P035-006	Cambria Vinyl Flooring Replacement	1460	20 units	35,750				
CA16P035-009	Sliding glass door & window replacement	1460	16 units	40,000				
CA16P035-009	Replace Gas Furnaces	1460	16 units	20,000				
CA16P035-013	Sliding Glass Door Replacement	1460	51 units	76,500				
CA16P035-013	Kitchen Cabinet replacement	1460	51 units	143,000				
CA16P035-014&015	Replace Gas Furnaces	1460	50 units	45,000				
CA16P035-017 & 18	Sliding glass door & window replacement	1460	60 units	100,000				
CA16P035-020	Kitchen Cabinet replacement	1460	20 units	70,000				

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<sup>2</sup> To be completed for the Performance and Evaluation Report.