

**PHA 5-Year and Annual Plan**

**U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing**

**OMB No. 2577-0226  
Expires 4/30/2011**

<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Sylacauga Housing Authority</u> PHA Code: <u>AL057</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/01/2011</u>				
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>626</u> Number of HCV units: _____				
<b>3.0</b>	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
					PH      HCV
	PHA 1:				
	PHA 2:				
	PHA 3:				
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.				
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:  <p style="text-align:center;"><b>“Our mission is to provide drug free, decent, safe, and sanitary housing for eligible families and to provide opportunities and promote self-sufficiency and economic independence for residents.”</b></p>				
<b>5.2</b>	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.  <p><b>The Sylacauga Housing Authority goals for the next five years include:</b></p> <ul style="list-style-type: none"> <li>• <b>Maintaining low public housing vacancies.</b></li> <li>• <b>The training of public housing staff to better serve the public.</b></li> <li>• <b>Increased security for all residents.</b></li> <li>• <b>Promote income mixing in lower income developments.</b></li> <li>• <b>Provide opportunities for self-sufficiency training for residents</b></li> <li>• <b>Upgrade infrastructure system to decrease future costs for utilities.</b></li> <li>• <b>Renovate or modernize public housing units.</b></li> <li>• <b>Provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status, or disability.</b></li> </ul>				
<b>6.0</b>	<b>PHA Plan Update</b> (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:  <p style="text-align:center;"><b>See Annual &amp; Five Year Plan Tables (AL057h01 and AL057i01)</b></p> (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.  <p style="text-align:center;"><b>The Sylacauga Housing Authority Five Year Plan is available to the public at the Central Office located at 415 West 8<sup>th</sup> Street and the Drew Court Office located at 900 Crestline Avenue, Sylacauga, Alabama.</b></p>				

7.0	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p> <p>N/A</p>
8.0	<p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p><b>Attached pages (AL057q01)</b></p>
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p><b>Attached pages (AL057i01)</b></p>
8.3	<p><b>Capital Fund Financing Program (CFFP).</b>  <input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p><b>Sylacauga Housing Authority serves affordable housing needs in the City of Sylacauga and the surrounding area which includes the southern half of Talladega county and the northern portion of Coosa County, Alabama. According to the 2000 Census, 1,530 households were considered within Very Low or Extremely Low Income Limits. Since the 2000 Census, the City of Sylacauga has lost over 1,600 jobs due to industry closings. The median household income in the City of Sylacauga is expected to drop significantly by the time the 2010 Census is completed because of the loss to household incomes. The need for affordable housing continues to increase in the City because of the sluggish economy. Applicant waiting time is approximately 4-6 months for most unit sizes.</b></p> <p><b>See Waiting List Analysis attached. (AL057m01)</b></p>
9.1	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p><b>The Housing Authority plans to continue to employ effective maintenance and management policies to minimize the number of public housing units off-line, and to participate in the Consolidated Plan development process to ensure coordination with broader community strategies. The Housing Authority will also explore other strategies for development of affordable housing, such as Tax Credit Financing, as opportunities become available.</b></p>

**Additional Information.** Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

**Sylacauga Housing Authority continues to promote safe, decent, and sanitary housing for the City of Sylacauga and the surrounding area in Talladega County. The occupancy rate for the past year has consistently been between 98 and 100% for past two years. Vacant unit turnaround has averaged 15 days per unit for the first seven months of the fiscal year ending 06/30/10. Annualized unit turnover is 27.6 per cent.**

**The Housing Authority remains consistent in following our five-year plan to upgrade units. All units have central heat and air conditioning. Stove-top fire suppressants have been installed in all units in the Drew Court and Virginia S. West Communities and will be installed in Sylavon Court and Sylavon Towers in the upcoming year. Roof replacement in Sylavon Court has been completed except for six buildings. Roof replacement is planned in Drew Court for 44 buildings during the summer of 2012 – allowing use of funds from two Capital Fund grants to cover costs. Low-flow shower heads have been installed in all units and more efficient 1.6 gpf commodes have been installed in approximately 50 per cent of units to conserve water/sewerage costs.**

**Sylacauga Housing Authority has partnered with the Sylacauga Alliance for Family Enhancement, Inc. (SAFE), a non-profit housed in a housing authority building in the Sylavon Court Community, to offer a wide range of self-sufficiency programs to our residents. Among the programs offered at SAFE are: 1) a GED study program, 2) job and interview skills training, 3) parenting programs, 4) a father-hood initiative program, 5) programs to assist senior residents who have problems receiving the prescription drugs they need to survive, and 6) transportation for shopping, jobs, doctors, etc. Sylacauga Housing Authority also partners with the Talladega-Clay-Randolph Childcare Corporation for the operation of a Day Care and Head-Start Program in the Drew Court Community.**

10.0

**The Performance Contract for the Sylavon Towers Property continues to perform above its expected level with savings for fiscal year 2009 of \$59,179 and for fiscal year 2010 of \$43,445. The guaranteed savings for the performance contract was \$37,693. Sylacauga Housing Authority will explore the possibility of extending the frozen rolling base for an addition 10 years in order to do roof replacement for the Sylavon Towers building.**

**The Housing Authority has allocated money under the Capital Fund Program for several years to make necessary improvements to our aging water, sewer and natural gas lines. However, money has not been available to make the needed replacements for all lines, most of which were installed between 1940 and 1960. Testing by the Sylacauga Utilities Board in 2008 found that the master water meter for the Sylavon Court property was not registering correctly and after replacement of the meter, water costs jumped by 40 percent. Following a survey by the Alabama Rural Water Association, two major leaks were found which had not been previously detected due to the downward flow of water from the leaks. The condition of the ductile steel lines evidenced at these leaks emphasized the need for complete replacement of these lines.**

**The award of the ARRA Stimulus Grant is allowing us to replace most of the water and sewer lines from the master meter to the units in Sylavon Court and Drew Court, replace natural gas lines and meters, and complete the conversion of the Sylavon Court property to all electric, reducing the amount of money the housing authority expends each year to keep maintenance employees certified to perform natural gas pipeline work. The neutralization of gas pipeline in Sylavon Court will result in savings of \$14,000 per year for meter charges. The improvements in the Drew Court community were completed in December 2010; the improvements in the Sylavon Court Community will be completed by June 2011.**

**The change to asset-based management has been completed. The training for property managers is on-going through monthly meetings as well as through the uses of outside sources. Monthly management meetings to review financial performance for each property and to discuss personnel, maintenance and other needs have been beneficial to the transition from being a housing manager to becoming a property manager for our staff. We continue to use a contractor, certified under REAC inspection protocol, for annual inspections with the manager accompanying the inspector to learn the inspection process for units, systems and common areas. Managers and maintenance personnel are working together with few problems.**

The Sylacauga Housing Authority has addressed Crime Prevention in our communities by having a Memorandum of Understanding with the City of Sylacauga Police Department for services. The management of the Housing Authority works closely with the Police Department to provide frequent patrols through our communities. The Executive Director and Property Managers have periodic meetings with the officers who patrol our communities to discuss problem areas. The relationship we have had through the years with the local police department has always been excellent. Loss of revenue to the City of Sylacauga due to the closing of manufacturing plants and the depressed economy has impacted the Police Department's resources for the entire City. As a result, Sylacauga Housing Authority has seen an increase in robberies and shootings in our communities. We continue to work closely with the police on these problems; however, the decrease in their man power is hampering over-all effectiveness. The assignment of an officer to work as liaison with the housing authority has been of great benefit in keeping communication lines open.

The Sylacauga Housing Authority adopted a Policy for Compliance with the Violence Against Women (VAWA) and Department of Justice Re-Authorization Action of 2005 on March 6, 2008 (Board Resolution 2008-02) and that policy is attached as a part of SHA's Annual Plan (AL057s01).

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

**Significant Amendment: Any amendment or modification reflecting a 50% change in the Sylacauga Housing Authority's annual Capital Fund Budget.**

**Substantial Deviation/Modification: Major changes in the plans or policies of the Sylacauga Housing Authority or financial resources that affect the mission, goals, objects, plans of the agency or which result in the increase or decrease of the number of housing units requiring review by the Resident Advisory Board and the formal approval by the Board of Commissioners.**

**Required Submission for HUD Field Office Review.** In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office.

**(Original Sent to HUD Birmingham Field Office; Scanned Attached as listed)**

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights)
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only)
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only)
- (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
- (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.
- (g) Challenged Elements
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only)
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only).
- (j) Certification of Consistency with State Plan.

11.0

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## **Instructions form HUD-50075**

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### **1.0 PHA Information**

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### **2.0 Inventory**

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### **3.0 Submission Type**

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### **4.0 PHA Consortia**

Check box if submitting a Joint PHA Plan and complete the table.

### **5.0 Five-Year Plan**

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

**PHA Plan Elements.** (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

- (a) **Hope VI or Mixed Finance Modernization or Development.** **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)  
**Note:** This statement must be submitted to the extent that **approved and/or pending** demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

**8.2 Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the  5-Year and/or  Annual PHA Plan for the PHA fiscal year beginning 07/01/2011, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.



12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Sylacauga Housing Authority

AL057

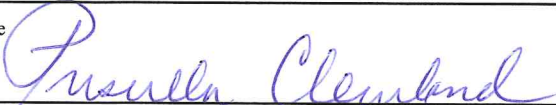
PHA Name

PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 2011 - 2015

Annual PHA Plan for Fiscal Years 2011 - 2012

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Priscilla Cleveland	Chairman
Signature	Date
	April 7, 2011

Resolution 2011-07

**Civil Rights Certification**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

**Civil Rights Certification****Annual Certification and Board Resolution**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Sylacauga Housing Authority

AL057

\_\_\_\_\_  
 PHA Name

\_\_\_\_\_  
 PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official  
 Priscilla Cleveland

Title  
 Chairman

Signature



Date

04/07/2011

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Sylacauga Housing Authority

Program/Activity Receiving Federal Grant Funding

HUD - Capital Fund Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

SHA Drew Court - 900 Crestline Avenue, Sylacauga, AL 35150

SHA Sylavon Court - 415 West 8th Street, Sylacauga, AL 35150

SHA Sylavon Towers - 401 West 8th Street, Sylacauga, AL 35150

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Leon Cleveland

Title

Executive Director

Signature

X

Date

04/07/2011

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

Sylacauga Housing Authority

Program/Activity Receiving Federal Grant Funding

Public Housing Capital Fund Program & Annual and Five Year Plan

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Leon Cleveland

Title

Executive Director

Signature



Date (mm/dd/yyyy)

04/07/2011

## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
(See reverse for public burden disclosure.)

Approved by OMB  
0348-0046

<b>1. Type of Federal Action:</b> <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> <b>B</b> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer/application <input checked="" type="checkbox"/> <b>A</b> b. initial award c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. initial filing <input checked="" type="checkbox"/> <b>A</b> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, <i>if known</i> :  Sylacauga Housing Authority P.O. Box 539 Sylacauga, AL 35150 <input checked="" type="checkbox"/> <b>Congressional District, if known:</b> Alabama-3rd	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>   <b>Congressional District, if known:</b>	
<b>6. Federal Department/Agency:</b> U.S Department of Housing & Urban Development	<b>7. Federal Program Name/Description:</b> Public Housing Capital Fund Program  <b>CFDA Number, if applicable:</b> 14.872	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$	
<b>10. a. Name and Address of Lobbying Registrant</b> <i>(if individual, last name, first name, MI):</i> None	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	<b>Signature:</b> <u></u> <b>Print Name:</b> <u>Leon Cleveland</u> <b>Title:</b> <u>Executive Director</u> <b>Telephone No.:</b> <u>(256)249-0345</u> <b>Date:</b> <u>04/07/2011</u>	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

**DISCLOSURE OF LOBBYING ACTIVITIES  
CONTINUATION SHEET**

Approved by OMB  
0348-0046

Reporting Entity: Sylacauga Housing Authority Page 1 of 1

Sylacauga Housing Authority has not hired any person to perform lobbying activities.

The Sylacauga Housing Authority is a member of the National Association of Housing and Redevelopment Authorities (NAHRO), the Public Housing Authorities Directors Association (PHADA) and the Alabama Association of Housing and Redevelopment Authorities. The Executive Director and staff members of Sylacauga Housing Authority feel that it is our responsibility to encourage our legislators to act positively on legislation that is to the benefit of the residents in our public housing communities.

Sylacauga Housing Authority  
Resident Advisory Board Meeting

Resident Advisory Board Members:

Edna Landers, 401 West 8<sup>th</sup> Street, Apt. 312, Sylacauga, AL 35150 – Representing Sylavon Towers

Brenda Black, 188 Helen Keller Circle, Sylacauga, AL 35150 – Representing Sylavon Court

William Cheeks, 202 Virginia West Lane, Sylacauga, AL 35150 – Representing Virginia West division of Drew Court

Byrd Owens, 119 Willowood Street, Sylacauga, AL 35150 – Representing Drew Court Community

Alma Jean Cook, 147 Inglewood Drive, Sylacauga, AL 35150 – Representing Drew Court Community

The Sylacauga Housing Authority Advisory Board met on Thursday, March 10, 2011, at 2:00 p.m. to discuss plans for the 2011 Annual/Five Year Plan. Present at the meeting were Advisory Board Members Edna Landers and Brenda Black. Also present were: Leon Cleveland, Executive Director; Judy Maness, Financial Director; Joyce Smith, Sylavon Court Property Manager; Betty Keeter, Sylavon Towers Property Manager; and Chelmense Gladness, Drew Court Assistant Property Manager.

Leon Cleveland introduced those present and asked Judy Maness to review the proposed Capital Funding Budget for 2011 with the group. Judy explained that the proposed budget was based on last year's funding amount and that the Federal budget for 2011 had not been passed. She stated that all information that we had received to-date indicated that funding would be significantly less than it has been in past years.

Judy explained that the housing authority had borrowed money through a HUD approved bond issue in 2003 and that the payment on the bond was set and would be deducted by HUD before Sylacauga Housing Authority had access to any of the money. She also explained that HUD allowed housing authorities to transfer twenty percent of the amount funded to Operations and an additional ten percent to fund the administration of the Capital Fund programs for the year which pays for staff time spent supervising and accounting for the work that goes on.

She further explained that roofing was a priority in setting up the budget for this grant. Sylacauga Housing Authority has spent approximately \$450,000 in the past year roofing buildings in the Sylavon Court Community. There are 99 apartments in the Drew Court community that need roofing at the current time. While there are not as many buildings as there were in the Sylavon Court roofing project, the buildings are larger. Therefore, we are looking at an expense of about \$350,000. In addition, there are still six buildings left in Sylavon that we did not have money available to complete. We pulled money from three different grants to do the Sylavon work and will need to do the same to complete the work in Drew Court.

Judy reviewed the other items in the budget and gave everyone time to comment. Everyone expressed agreement with budgeting plans.

Betty Keeter asked to discuss the Resident Council meetings at Sylavon Towers. She said that it seemed that resident's interest in attending a monthly council meeting had fallen off to the point that only a few

were showing up for meetings. She and Edna Landers, who serves as the Resident Council President, agreed that they would have better participation if they met quarterly instead of monthly. Leon Cleveland explained that they would need to have the Council vote to change their by-laws if they did so.

There were no other comments and Mr. Cleveland thanked everyone for attending and the meeting was adjourned.



Sylacauga Housing Authority

Annual & Five Year Plan

2011 – 2012

2011 – 2015

Challenged Elements


There were no Challenged Elements to Sylacauga Housing Authority's Annual and Five Year Plan.



Leon Cleveland  
Executive Director  
April 7, 2011

<b>Part I: Summary</b>					
PHA Name: <b>Sylacauga Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>AL09P057501-11</b> CFFP (Yes/No): No Replacement Housing Factor Grant No:			FFY of Grant <u>2011</u> FFY of Grant Approval
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	195,800.00	-	-	-
3	1408 Management Improvements	5,100.00	-	-	-
4	1410 Administration (may not exceed 10% of line 21)	97,890.00	-	-	-
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	37,170.00	-	-	-
8	1440 Site Acquisition				
9	1450 Site Improvement	30,250.00	-	-	-
10	1460 Dwelling Structures	353,145.13	-	-	-
11	1465.1 Dwelling Equipment-Nonexpendable	16,750.00	-	-	-
12	1470 Nondwelling Structures	4,000.00	-	-	-
13	1475 Nondwelling Equipment	6,900.00	-	-	-
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	235,990.87	-	-	-
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	982,996.00	-	-	-
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	19,645.00			
23	Amount of line 20 Related to Security -- Soft Costs				
24	Amount of line 20 Related to Security -- Hard Costs	4,000.00			
25	Amount of line 20 Related to Energy Conservation Measures	209,005.00			

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Part I: Summary			
PHA Name: <b>Sylacauga Housing Authority</b>	Grant Type and Number Capital Fund Program Grant No: <b>AL09P057501-11</b> Date of CFFP: _____	Replacement Housing Factor Grant No.	FFY of Grant <u>2011</u> FFY of Grant Approval
<b>Type of Grant</b> <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report			
Signature of Executive Director 	Date 4/7/2011	Signature of Public Housing Director	Date

Part II: Supporting Pages									
PHA Name: <b>Sylacauga Housing Authority</b>			Grant Type and Number Capital Fund Program Grant No: <b>AL09P057501-11</b> Replacement Housing Factor Grant No:					Federal FY of Grant: <b>2011</b>	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
<b>AL057000021</b>	Operations - Amp 21		1406.0		95,942.00				
	Management Improvements		1408.0		2,000.00				
<b>Drew Court</b>	Administration		1410.0		47,967.00				
	Inspection Costs		1430.0	309	6,180.00				
	Architect/Engineer		1430.0		20,000.00				
	Site Improvements ( Sidewalks/Drainage)		1450.0	1	1,000.00				
	Site Improvements (Electrical Upgrades)		1450.0	1	1,000.00				
	Handrails/Ramps/Other ADA		1450.0	2	500.00				
	Water Heaters		1460.0	7	2,495.00				
	Commodes/Lavatories		1460.0	7	2,495.00				
	Vinyl Flooring Replacement		1460.0	1	1,000.00				
	Interior Rehab/Cabinets/Countertops		1460.0	8	10,000.00				
	Exterior Door Replacement		1460.0	8	5,500.00				
	Light Fixtures/Bulbs - Energy Efficient		1460.0	20	1,775.00				
	Bath tub Liners/Bath Rehab 57-10		1460.0	12	21,250.00				
	Security Cameras		1460.0	11	4,000.00				
	Smoke Detectors		1460.0	20	3,000.00				
	HVAC Replacement		1460.0	4	14,000.00				
	Roofing 57-5 & 57-6		1460.0	99	190,030.13				
	Refrigerators/Stoves		1465.1	8	6,750.00				
	A/C Modifications - Day Care/Office		1470.0	2	2,000.00				
	Office Furniture/Copier/Printer/Scanners		1475.0	1	2,900.00				
<b>AL057000022</b>	Operations		1406.0		68,530.00				
	Management Improvements		1408.0		1,500.00				
<b>Sylavon Court</b>	Administration		1410.0		34,261.00				
	Inspections		1430.0	220	4,840.00				
	Architect/Engineer		1430.0		2,000.00				
	Site Improvements (Sew./Water/Gas/Elec./Drain, etc.)		1450.0	9	5,000.00				
	Sidewalk Replacement/Drainage Improvements		1450.0	5	3,000.00				
	Handrails/ramps		1450.0	2	3,000.00				
	Trees Trimmed/Deadwooded		1450.0	10	15,000.00				
	Exterior Door Replacement		1460.0	11	5,600.00				

Part II: Supporting Pages								
PHA Name: Sylacauga Housing Authority			Grant Type and Number Capital Fund Program Grant No: AL09P057501-11 Replacement Housing Factor Grant No:				Federal FY of Grant: 2011	
Development Number Name/HA Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	Commodes	1460.0	12	4,000.00				
	Cabinets/Countertops	1460.0	5	5,000.00				
	Vinyl Flooring Replacement	1460.0	4	4,000.00				
	Rehab Interiors	1460.0	6	26,200.00				
	Rehab/UFAS Compliance	1460.0	1	3,000.00				
	Hearing Impaired Emergency Alarm Lights	1460.0	2	2,600.00				
	Smoke Detectors	1460.0	200	14,400.00				
	HVAC Modification	1460.0	1	2,000.00				
	Refrigerators/Stoves	1465.1	16	8,000.00				
	HVAC Modification (Office/Day Care)	1470.0	1	2,000.00				
	Office Equipment - Computer/Copier/Printer/Scanner	1475.0	2	3,000.00				
AL057000023	Operations	1406.0		31,328.00				
	Management Improvements	1408.0		1,600.00				
Sylavon Towers	Administration	1410.0		15,662.00				
	Inspections	1430.0	97	2,150.00				
	Architect/Engineer/Consultant	1430.0		2,000.00				
	Sidewalk/Ramp (ADA)	1450.0	1	750.00				
	Trees Trimmed/Deadwooded/Shrubbery	1450.0	1	1,000.00				
	Rehab Interiors	1460.0	3	10,000.00				
	Roofing Repair	1460.0	1	5,000.00				
	Vinyl Flooring (Replacing carpet)	1460.0	6	6,000.00				
	Commodes	1460.0	4	1,800.00				
	Hearing Impaired Emergency Alarm Lights/Shower Rails, etc.	1460.0	1	500.00				
	Blinds	1460.0	30	2,500.00				
	HVAC Modifications	1460.0	4	5,000.00				
	Refrigerators/Stoves (ADA)	1465.1	3	2,000.00				
	Office Equipment/Scanner	1475.0	1	1,000.00				
PHA Wide	Debt Service for 2011	1501.0		235,990.87				
Totals				982,996.00	-	-	-	

<b>Part II: Supporting Pages</b>									
PHA Name: <b>Sylacauga Housing Authority</b>			<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>AL09P057501-11</b> Replacement Housing Factor Grant No:					<b>Federal FY of Grant:</b> <b>2011</b>	
Development Number Name/HA Wide Activities	General Description of Major Work Categories		Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>2</sup> To be completed for the Performance and Evaluation Report

<b>Part III: Implementation Schedule</b>					<b>Federal FY of Grant:</b>
PHA Name: <b>Sylacauga Housing Authority</b>					<b>2011</b>
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
<b>AL057000021</b>	7/15/2013		7/15/2015		
Drew Court & Virginia S. West Homes					
<b>AL057000022</b>	7/15/2013		7/15/2015		
Sylavon Court					
<b>AL057000023</b>	7/15/2013		7/15/2015		
Sylavon Towers					

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

<b>Part I: Summary</b>						
PHA Name/Number			Locality (City/County/State)		<input type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work statement for Year 5 FFY 2015
	<b>AL057 - Combined</b>					
B.	Physical Improvement Subtotal	Annual Statement	418,815	449,745	430,805	436,475
C.	Management Improvements		10,000	10,000	10,000	10,000
D.	PHA-Wide Non-Dwelling Structures and Equipment		6,500	26,200	26,000	13,200
E.	Administration		97,890	97,860	98,390	98,000
F.	Other-Dwelling Equipment		14,000	14,000	25,000	14,000
G.	Operations		195,800	195,720	196,780	196,000
H.	Demolition					
I.	Development					
J.	Capital Fund Financing - Debt Service		235,991	235,062	233,522	236,493
K.	Total CFP Funds		978,996	1,028,587	1,020,497	1,004,168
L.	Total Non-CFP Funds					
M.	Grant Total		978,996	1,028,587	1,020,497	1,004,168



<b>Part I: Summary</b>						
PHA Name/Number		Locality (City/County/State)			<input type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work statement for Year 5 FFY 2015
	<b>AL057000021 - Drew Court</b>					
B.	Physical Improvement Subtotal	Annual Statement	283,075	263,805	178,645	69,045
C.	Management Improvements		4,900	4,900	4,900	4,900
D.	PHA-Wide Non-Dwelling Structures and Equipment		3,000	5,000	18,000	5,000
E.	Administration		47,967	47,952	48,211	48,020
F.	Other-Dwelling Equipment		4,000	4,000	15,000	4,000
G.	Operations		95,942	95,903	96,422	96,040
H.	Demolition					
I.	Development					
J.	Capital Fund Financing - Debt Service		180,533	179,822	178,644	180,917
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grant Total		619,417	601,382	539,822	407,922

<b>Part I: Summary</b>						
PHA Name/Number			Locality (City/County/State)		<input type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work statement for Year 5 FFY 2015
	<b>AL057000022 - Sylavon Court</b>					
B.	Physical Improvement Subtotal	Annual Statement	101,640	150,840	86,540	121,340
C.	Management Improvements		3,500	3,500	3,500	3,500
D.	PHA-Wide Non-Dwelling Structures and Equipment		3,000	20,000	3,000	7,000
E.	Administration		34,261	34,250	34,437	34,300
F.	Other-Dwelling Equipment		8,000	8,000	8,000	8,000
G.	Operations		68,530	68,502	68,873	68,600
H.	Demolition					
I.	Development					
J.	Capital Fund Financing - Debt Service		53,334	53,124	52,776	53,447
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grant Total		272,265	338,216	257,126	296,187

<b>Part I: Summary</b>						
PHA Name/Number		Locality (City/County/State)			<input type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	Work statement for Year 5 FFY 2015
	<b>AL057000023 - Sylavon Towers</b>					
B.	Physical Improvement Subtotal	Annual Statement	34,100	35,100	165,620	246,090
C.	Management Improvements		1,600	1,600	1,600	1,600
D.	PHA-Wide Non-Dwelling Structures and Equipment		500	1,200	5,000	1,200
E.	Administration		15,662	15,658	15,742	15,680
F.	Other-Dwelling Equipment		2,000	2,000	2,000	2,000
G.	Operations		31,328	31,315	31,485	31,360
H.	Demolition					
I.	Development					
J.	Capital Fund Financing - Debt Service		2,124	2,116	2,102	2,129
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grant Total		87,314	88,989	223,549	300,059

<b>Part II: Supporting Pages - Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012			Work Statement for Year 3 FFY 2013		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
	See	<b>AL057000021 - Drew Court</b>			<b>AL057000021 - Drew Court</b>	
Annual						
Statement	1430 Fees & Costs - Inspections	309	6,180	1430 Fees & Costs - Inspections	309	6,180
	1430 Fees & Costs - Architect		30,000	1430 Fees & Costs - Architect		6,000
	1450 Site Improvement - Electrical/Streetlights	5	1,000	1450 Site Improvement - Electrical/Streetlights	5	1,000
	1450 Site Improvement - Utilities/Sidewalks/Drainage/Sewer	5	1,000	1450 Site Improvement - Utilities/Sidewalks/Drainage/Sewer	5	1,000
	1450 Handrails/Ramps	1	500	1450 Site Improvements - Playground	1	2,000
	1460 Rehab Interiors	4	15,000	1450 Site Improvements - Tree Trimmed/Dead-wooded	4	2,000
	1460 Vinyl Flooring Replacement	1	1,000			
	1460 Water Heaters	7	2,495	1460 Weatherstripping	309	9,550
	1460 Commodes	7	2,495	1460 Rehab Interiors	4	15,000
	1460 Light Fixtures/Bulbs - Energy Eff.	25	1,775	1460 Vinyl Flooring Replacement	1	1,000
	1460 Bathtub Liners/Labatory Replace	17	24,000	1460 Water Heaters	7	2,495
	1460 Exterior Door Replacement	1	500	1460 Commodes	7	2,495
	1460 Roofing	50	180,130	1460 Light Fixtures/Bulbs - Energy Eff.	25	1,775
	1460 HVAC Replacement	4	14,000	1460 Bathtub Liners/Labatory Replace	17	24,000
	1460 Smoke Detectors	20	3,000	1460 Exterior Door Replacement	1	500
	1465.1 Stoves/Refrigerators	10	4,000	1460 Window Replacement	49	171,810
	1470 Office Modifications/HVAC Replace	1	2,000	1460 HVAC Replacement	4	14,000
	1475 Office Equipment/Misc.	1	1,000	1460 Smoke Detectors	20	3,000
				1465.1 Stoves/Refrigerators	10	4,000
				1470 Office Modifications/HVAC Replace	1	4,000
				1475 Office Equipment/Misc.	1	1,000
	<b>AL057000021 - Drew Court - Subtotal of Estimated Costs</b>		290,075	<b>AL057000021 - Drew Court - Subtotal of Estimated Costs</b>		272,805

<b>Part II: Supporting Pages - Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012			Work Statement for Year 3 FFY 2013		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
	See Annual Statement	<b>AL057000022 - Sylavon Court</b>			<b>AL057000022 - Sylavon Court</b>	
	1430 Fees & Costs - Inspections	220	4,840	1430 Fees & Costs - Inspections	220	4,840
	1430 Fees & Costs - Architect		4,000	1430 Fees & Costs - Architect		8,000
	1450 Site Improvement - Utilities/Sidewalks/Drainage/Sewer	3	3,000	1450 Site Improvement - Utilities/Sidewalks/Drainage/Sewer	3	3,000
	1450 Site Improvement - Electrical/Streetlights	5	5,000	1450 Site Improvement - Electrical/Streetlights	5	5,000
	1450 Site Improvement - Utilities/Sidewalks/Drainage/Sewer	15	15,000	1450 Site Improvement - Utilities/Sidewalks/Drainage/Sewer	15	15,000
	1450 Handrails/Ramps	2	3,000	1450 Handrails/Ramps	2	3,000
	1460 Rehab Interiors	5	26,200	1460 Rehab Interiors	4	16,400
	1460 Rehab/UFAS Compliance	1	3,000	1460 Rehab/UFAS Compliance	1	3,000
	1460 Cabinet/Countertop Replacement	5	5,000	1460 Weatherstripping	100	5,000
	1460 Vinyl Flooring Replacement	4	4,000	1460 Cabinet/Countertop Replacement	5	5,000
	1460 Hearing Impaired Alarms	4	2,600	1460 Vinyl Flooring Replacement	4	4,000
	1460 Commodes	12	4,000	1460 Hearing Impaired Alarms	4	2,600
	1460 Exterior Door Replacement	5	5,600	1460 Commodes	12	4,000
	1460 HVAC Replacement	1	2,000	1460 Exterior Door Replacement	5	5,600
	1460 Smoke Detectors	75	14,400	1460 HVAC Replacement	1	2,000
	1465.1 Stoves/Refrigerators	16	8,000	1460 Smoke Detectors	75	14,400
	1470 Office/SAFE Modifications	1	2,000	1460 Roofing 57-9	15	50,000
	HVAC Replacement			1465.1 Stoves/Refrigerators	9	8,000
	1475 Office Equipment/Misc.		1,000	1470 Office/SAFE Modifications	1	4,000
				HVAC Replacement		
				1475 Copier-Scanner Replacement	1	16,000
	<b>AL057000022 - Sylavon Court - Subtotal of Estimated Costs</b>		112,640	<b>AL057000022 - Sylavon Court - Subtotal of Estimated Costs</b>		178,840



<b>Part II: Supporting Pages - Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014			Work Statement for Year 5 FFY 2015		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	<b>AL057000021 - Drew Court</b>			<b>AL057000021 - Drew Court</b>		
Annual						
Statement	1430 Fees & Costs - Inspections	309	6,180	1430 Fees & Costs - Inspections	309	6,180
	1430 Fees & Costs - Architect		4,000	1430 Fees & Costs - Architect		4,000
	1450 Site Improvement - Electrical/Streetlights	5	5,000	1450 Site Improvement - Electrical/Streetlights	5	5,000
	1450 Site Improvements - Utilities/ Sidewalks/Drainage/Sewer	5	5,000	1450 Site Improvements - Utilities/ Sidewalks/Drainage/Sewer	5	5,000
	1450 Site Improvements - Trees Removed/Dead-wooded	2	2,000	1450 Site Improvements - Trees Removed/Dead-wooded	2	2,000
	1450 Digital Meters (Electric)	309	36,600	1450 Handrails/Ramps	1	500
	1450 Handrails/Ramps	1	500	1460 Rehab Interiors	4	15,000
	1460 Rehab Interiors	4	15,000	1460 Vinyl Flooring Replacement	1	1,000
	1460 Vinyl Flooring Replacement	1	1,000	1460 Water Heaters	7	2,495
	1460 Water Heaters	7	2,495	1460 Commodes	7	2,495
	1460 Commodes	7	2,495	1460 Light Fixtures/Bulbs - Energy Eff.	25	1,775
	1460 Light Fixtures/Bulbs - Energy Eff.	25	1,775	1460 Cabinets/Countertops	4	5,000
	1460 Bathtub Liners/Labatory Replace	17	24,000	1460 Exterior Door Replacement	2	1,000
	1460 Exterior Door Replacement	2	1,000	1460 Roofing		
	1460 Roofing, Virginia West	18	54,000	1460 HVAC Replacement	4	14,000
	1460 HVAC Replacement	4	14,000	1460 Hearing Impaired Alarms	2	600
	1460 Hearing Impaired Alarms	2	600	1460 Smoke Detectors	20	3,000
	1460 Smoke Detectors	20	3,000	1465.1 Stoves/Refrigerators	10	4,000
	1465.1 Stoves/Refrigerators	30	15,000	1470 Office Modifications/HVAC Replace	1	4,000
	1470 Office Modifications/HVAC Replace	1	2,000	1475 Office Equipment/Misc.	1	1,000
	1475 Office Equipment/Misc.	1	16,000			
	<b>AL057000021 - Drew Court - Subtotal of Estimated Costs</b>		211,645	<b>AL057000021 - Drew Court - Subtotal of Estimated Costs</b>		78,045

<b>Part II: Supporting Pages - Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014			Work Statement for Year 5 FFY 2015		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	<b>AL057000022 - Sylavon Court</b>			<b>AL057000022 - Sylavon Court</b>		
Annual						
Statement	1430 Fees & Costs - Inspections	220	4,840	1430 Fees & Costs - Inspections	220	4,840
	1430 Fees & Costs - Architect		4,000	1430 Fees & Costs - Architect		4,000
	1450 Site Improvement - Electrical/Streetlights	5	5,000	1450 Site Improvement - Electrical/Streetlights	5	5,000
	1450 Site Improvements - Utilities/ Sidewalks/Drainage/Sewer	3	6,000	1450 Site Improvements - Utilities/ Sidewalks/Drainage/Sewer	5	6,000
	1450 Site Improvements - Trees Removed/Dead-wooded	15	15,000	1450 Site Improvements - Trees Removed/Dead-wooded	15	15,000
	1450 Handrails/Ramps	2	3,000	1450 Handrails/Ramps	2	3,000
				1450 Digital Meters ( Electrical)	220	24,200
	1460 Rehab Interiors	10	20,000	1460 Weatherstripping	100	5,000
	1460 Rehab/UFAS Compliance	1	3,000	1460 Rehab Interiors	5	20,000
	1460 Vinyl Flooring Replacement	4	4,000	1460 Rehab/UFAS Compliance	1	3,000
	1460 Commodes	12	4,000	1460 Vinyl Flooring Replacement	4	4,000
	1460 Hearing Impaired Alarms	4	2,600	1460 Commodes	12	4,000
	1460 Cabinet/Countertop Replacement	5	5,000	1460 Hearing Impaired Alarms	4	2,600
	1460 Exterior Door Replacement	5	5,600	1460 Cabinet/Countertop Replacement	5	5,000
	1460 HVAC Replacement	2	4,000	1460 Exterior Door Replacement	10	11,200
	1460 Smoke Detectors	2	500	1460 HVAC Replacement	2	4,000
	1465.1 Stoves/Refrigerators	20	8,000	1460 Smoke Detectors	2	500
	1470 Office Modifications/HVAC Replace	1	2,000	1465.1 Stoves/Refrigerators	20	8,000
	1475 Office Equipment/Misc.	1	1,000	1470 Office Modifications/HVAC Replace	1	4,000
				1475 Office Equipment/Misc.	3	3,000
	<b>AL057000022 - Sylavon Court - Subtotal of Estimated Costs</b>		97,540	<b>AL057000022 - Sylavon Court - Subtotal of Estimated Costs</b>		136,340









OFFICE OF THE GOVERNOR

**ROBERT BENTLEY**  
GOVERNOR



STATE OF ALABAMA

ALABAMA DEPARTMENT OF ECONOMIC  
AND COMMUNITY AFFAIRS

**JIM BYARD, JR.**  
DIRECTOR

**CERTIFICATION BY STATE OF PHA PLAN'S CONSISTENCY  
WITH STATE CONSOLIDATED PLAN**

I, Jim Byard, Jr., Director of the Alabama Department of Economic and Community Affairs, certify that the Five Year Plan for Fiscal Years 2011–2015 and the Annual Plan for Fiscal Year 2011 of the Sylacauga Housing Authority is consistent with the Consolidated Plan of the State of Alabama prepared pursuant to 24 CFR Part 91.

**Certifying Official of State:**

**Name:** Jim Byard, Jr.

**Title:** Director  
Alabama Department of Economic and Community Affairs

**Signature:** \_\_\_\_\_

**Date:** \_\_\_\_\_ February 18, 2011

**Admission  
and  
Continued  
Occupancy Policy**



Sylacauga Housing Authority

February 20, 2011

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Adopted Date

2011-02

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Board Resolution

# Admission and Continued Occupancy Policy

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## I. INTRODUCTION

### A. Mission Statement:

Our goal is to provide drug free, decent, safe, and sanitary housing for eligible families and to provide opportunities and promote self-sufficiency and economic independence for residents.

In order to achieve this mission, we will:

- Recognize residents as our ultimate customer;
- Improve Public Housing Authority (HA) management and service delivery efforts through effective and efficient management of HA staff;
- Seek problem solving partnerships with residents, community, and government leadership;
- Apply HA resources to the effective and efficient management and operation of public housing programs, taking into account changes in Federal funding.

### B. Purpose of Policy:

The purpose of this (Admissions and Continued Occupancy Policy) ACOP is to establish guidelines for the HA staff to follow in determining eligibility for admission to and continued occupancy of Public Housing. The basic guidelines for this policy are governed by requirements of The Department of Housing and Urban Development (HUD), with latitude for local policies and procedures. The policies and procedures governing Admissions and Continued Occupancy are outlined in this policy and these requirements are binding upon applicants, residents and this HA alike. Notwithstanding the above, changes in applicable federal law or regulations shall supersede provisions in conflict with this policy.

Federal Regulations shall mean those found in 24 Code of Federal Regulations (CFR)

### C. Primary Responsibilities of the HA:

1. Informing eligible families of the availability of public housing assistance;
2. Determining and posting annually the utility allowances;
3. Receiving applications from families and determining their eligibility for assistance;
4. Inspecting public housing units to determine that they meet or exceed Uniform Physical Condition Standards (UPCS).
5. Executing leases;
6. Collecting rent on a monthly basis from residents;

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7. Annual/interim re-examinations of income, family composition and re-determination of rent;
8. Authorizing and processing evictions; and,
9. Ongoing maintenance and modernization of the public housing inventory.
10. Annual updates of:
  - Flat rents/Ceiling rents
  - Utility allowance schedules
  - Annual and five year plans
  - Grievance panel
  - Local childcare rate comparability
  - Maintenance charges
  - Income limits

### **D. Objectives:**

1. Promote the overall goal of drug free, decent, safe, and sanitary housing by:
  - Insuring a social and economic mix of residents within each public housing neighborhood in order to foster social stability and upward mobility.
  - Insuring the fiscal stability of the HA.
  - Lawfully denying admission or continued occupancy to applicants or residents whose presence in a public housing neighborhood are likely to adversely affect the health, safety, comfort or welfare of other residents or the physical environment of the neighborhood or create a danger to HA employees.
  - Insuring that elderly families can live in public housing as long as they are able to live independently and/or have someone to help them live independently as in the case of a live-in aide.
2. Facilitate the efficient management of the HA and compliance with Federal Regulations by establishing policies for the efficient and effective management of the HA inventory and staff.
3. Comply in letter and spirit with Title VI of the Civil Rights Act of 1964, and all other applicable Federal laws and regulations to insure that admission to and continued occupancy in public housing are conducted without regard to race, color, religion, creed, sex, national origin, handicap, or familial status.

## **II. NONDISCRIMINATION**

### **A. Complying with Civil Rights Laws:**

1. Civil rights laws protect the rights of applicants and residents to equal treatment by the HA in the way it carries out its programs. It is the policy of the HA to comply with all civil rights laws, including but not limited to:

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- Title VI of the Civil Rights Act of 1964, which forbids discrimination on the basis of race, color, religion, national origin or sex;

**NOTE:**The HA is not only permitted but is required to provide persons with disabilities with housing that is appropriate for their needs. This accessible or adaptable housing, although different from that provided to others, is permitted because it permits persons with disabilities to participate in the public housing program.

- Title VIII of the Civil Rights Act of 1968 (as amended by the 1974 HCDA and the Fair Housing Amendments Act of 1988), which extends protection against discrimination based on disability and familial status, and spells out forms of prohibited discrimination;
  - Executive Order 11063;
  - Section 504 of the Rehabilitation Act of 1973, which describes specific housing rights of persons with disabilities;
  - The Age Discrimination Act of 1975, which establishes certain rights of the elderly;
  - Title II of the Americans with Disabilities Act of 1990 (ADA) requires that the HA provide individuals with disabilities with access to its programs, services and activities including, common areas and public spaces. However, Title II does not require that individual housing units be accessible to individuals with disabilities; rather, Section 504 and the Fair Housing Act govern access for individuals with disabilities to the HA's housing units;
  - Any applicable state laws or local ordinances, and;
  - Any legislation protecting the individual rights of residents, applicants, or staff that may subsequently be enacted.
2. The HA shall not discriminate because of race, color, national origin, sex, religion, familial status, or disability in the leasing, rental, occupancy, use, or other disposition of housing or related facilities, including land that is part of a development under the HA's jurisdiction covered by a public housing Annual Contributions Contract with HUD.
  3. The HA shall not, on account of race, color, national origin, sex, religion, familial status, or disability:
    - Deny anyone the opportunity to apply for housing (when the waiting list is open), nor deny to any qualified applicant the opportunity to lease housing suitable to their needs;
    - Provide anyone housing that is different (of lower quality) from that provided others;
    - Subject anyone to segregation or disparate treatment;
    - Restrict anyone's access to any benefit enjoyed by others in connection with the housing program;
    - Treat anyone differently in determining eligibility or other requirements for admission;
    - Deny anyone access to the same level of services; or

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- Deny anyone the opportunity to participate in a planning or advisory group that is an integral part of the housing program.
4. The HA shall not automatically deny admission to otherwise qualified applicants because of their membership in some group to which negative behavior may be imputed (e.g., families with children born to unmarried parents or families whose head or spouse is a student). Instead, each applicant who is a member of a particular group will be treated as an individual based on his or her attributes and behavior.
  5. The HA will correct situations or procedures that create a barrier to equal housing opportunity for all. To permit people with disabilities to take full advantage of the HA's housing program and non-housing programs, in accordance with Section 504 and the Fair Housing Amendments Act of 1988, there are requirements, optional actions and prohibitions:
    - a) The HA must, upon request by an applicant or resident with a disability:
      - Make structural modifications to its housing and non-housing facilities and;
      - Make reasonable accommodations in its procedures or practices unless such structural modifications or reasonable accommodations would result in an undue financial and administrative burden on the Authority, or would result in a fundamental alteration in the nature of the program.
    - b) In making structural modifications to "existing housing programs" or in carrying out "other alterations" for otherwise qualified persons with disabilities, the HA may, but is not required to:
      - Make each of its existing facilities accessible;
      - Make structural alterations when other methods can be demonstrated to achieve the same effect;
      - Make structural alterations that require the removal or altering of a load bearing structural member; or
      - Provide an elevator in any multifamily housing project solely for the purpose of locating accessible units above or below the grade level.
    - c) When the HA is making "substantial alterations" to an existing housing facility the HA may, but is not required to:
      - Provide an elevator in any multifamily housing project solely for the purpose of locating accessible units above or below the grade level;
      - Make structural alterations that require the removal or altering of a load bearing structural member; or
      - Make structural alterations to meet minimum accessibility requirements where it is structurally impracticable also.

**NOTE:**The undue burdens test is not applicable to housing undergoing "substantial alteration."

6. The HA will not permit these policies to be subverted to do personal or political favors. The HA will not offer units in an order different from that prescribed by this policy, since doing so violates the policy, federal law, and the civil rights of the other families on the waiting list.

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### **B. Making Programs and Facilities Accessible to People with Disabilities.**

1. Facilities and programs used by residents will be accessible to a person in a wheelchair. Application and management offices, hearing rooms, community centers, day care centers, laundry facilities, craft and game rooms, etc. (to the extent that the HA has such facilities) will be usable by residents with a full range of disabilities. To the extent that the HA offers such facilities, if none is already accessible, some will be made so, subject to the undue financial and administrative burden test.
2. Documents used by applicants and residents will be accessible for those with vision or hearing impairments. All documents will be written simply and clearly to enable applicants with learning or cognitive disabilities to understand as much as possible. Unless prohibited by local law, documents may be translated into languages other than English.

**NOTE:** In general, documents will be translated when there are sufficient numbers of applicants or residents speaking a language to warrant the expense.

3. The HA will present examples to help applicants and residents understand eligibility, rent computation, applicant screening, reasonable accommodations, and lease compliance. In writing materials for applicants and residents, the HA staff will be prepared to explain rules and benefits verbally, as often as may be needed, because some disabilities may affect an applicant's ability to read or understand.
4. When the HA has initial contact with the applicant, the HA staff will ask whether the applicant requires an alternate form of communication. Examples of alternative forms of communication might include, but are not limited to:
  - A qualified sign language interpreter provided for and paid for by the HA;
  - Having written materials explained orally by staff either in person or by telephone;
  - Provision of written materials in large/bold font; information on audiocassette;
  - Permitting applicants to file applications by mail; and,
  - Permitting alternative sites for the receipt of applications. In addition, the HA's obligation to provide alternative forms of communication to persons with disabilities does not preclude an individual's right to have a friend, relative or advocate accompany him/her for purposes of conducting business with the HA.
5. Some applicants will not be able to read (or to read English) so the intake staff must be prepared to read and explain anything that they would normally hand to an applicant to be read or filled out. Applicants who read or understand little English may furnish an interpreter who can explain the process. The HA is not required to pay the costs associated with having a foreign language interpreter (as they are for sign language interpreters for the hearing impaired because the Fair Housing law makes no such requirement).

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6. At a minimum, the HA will prepare information to be used by applicants and residents in plain language accessible formats.

### III. FAMILY INFORMATION, VERIFICATION & PRIVACY RIGHTS

- The family must supply any information that the HA or HUD determines is necessary in the administration of the public housing program. "Information" includes any requested certification, release or other documentation.
- The family must supply any information requested by the HA or HUD for use in a regularly scheduled reexamination or an interim reexamination of family income, community service requirements and family composition in accordance with HUD requirements.
- The Tenant must supply information to the HA regarding any guardianship information, or the need to contact a third party on behalf of the Tenant.
- Any information supplied by the family must be true and complete.
- The use or disclosure of information obtained from a family or from another source pursuant to this release and consent shall be limited to purposes directly connected with the administration of the program.
- Applicants will be required to sign the Federal Privacy Act Statement, which states under what conditions HUD will release resident information.
- Requests for information by other parties must be accompanied by a signed release request in order for the HA to release any information involving an applicant or participant, unless disclosure is authorized under Federal or State law or regulations.
- Information received to verify eligibility or continued occupancy shall be confidential and not disclosed to any third party without a written release and/or proper authorization.
- Information received relating to credit history, EIV, and criminal history shall be governed by those respective policies.

### IV. MISSED APPOINTMENTS

#### A. Types of Appointments:

An applicant or resident who fails to keep an appointment without notifying the HA and without rescheduling the appointment shall be sent a notice of termination of the process for failure to supply such certification, release of information or documentation as the HA or HUD determines to be necessary in the following situations:

- Complete Application;
- Bringing in Verification Information;
- Briefing prior to Occupancy;
- Leasing Signature;
- Inspections (or failure to allow the HA to inspect the dwelling unit at reasonable times and after reasonable notice, if applicable);
- Reexamination;



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- Interim Adjustment;
- Other Appointments or Requirements to Bring in Documentation as Listed in this Plan;
- Scheduled Counseling Sessions;
- Move-In appointments.

### **B. Process When Appointment(s) Is Missed:**

#### **1. Applicants:**

If the family does not appear or call to reschedule an appointment as required, the HA will send a notice of removal of the application from the waiting list.

#### **2. Residents:**

For most of the functions above, the family will be given the opportunity for two appointments. If the family does not appear or call to reschedule the original appointment as required, the HA will send a second appointment letter along with a "Termination and Demand for Possession" notice. If the second appointment is attended the termination will be canceled.

**NOTE:** If the representative of the HA and/or Hearing Officer makes a determination in favor of the applicant/resident, the HA will comply with the decision unless the provisions of Section VI of the Grievance Procedure is applicable to the hearing officers decision.

### **C. Letters Mailed to Applicant(s)/Resident(s) by the HA:**

If an applicant/resident claims they did not receive a letter mailed by the HA, that requested the applicant/resident to provide information or to attend an interview, the HA will determine whether the letter was returned to the HA. If the letter was not returned to the HA, the applicant/resident will be assumed to have received the letter.

**NOTE:** If the letter was returned to the HA and the applicant can provide evidence that they were living at the address to which the letter was sent, the applicant will be reinstated with the date and time of the application in effect at the time the letter was sent.

Applicants must notify the HA, in writing, if their address changes during the application process.

## **V. MISREPRESENTATION BY THE APPLICANT, RESIDENT, OR THIRD PARTY VERIFICATION SOURCE**

If an applicant, resident, or third party verification source is found to have made willful misrepresentations at any time that resulted in the applicant or resident being classified as eligible, when, in fact, they were ineligible, applicant will be declared ineligible. The lease and/or application will be terminated because of the misrepresentation by the applicant/resident and/or the third party verification source. If such misrepresentation

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resulted in resident paying, a lower rent than was appropriate, resident shall be required to pay the difference between the actual payments and the amount that should have been paid. In justifiable instances, the HA may take such other actions as it deems appropriate, including referring the applicant, resident and/or party supplying fraudulent information to the proper authorities for possible criminal prosecution.

### VI. ELIGIBILITY FOR ADMISSION AND PROCESSING OF APPLICATIONS

#### A. Affirmative Marketing:

1. The HA will conduct affirmative marketing as needed so the waiting list includes a mix of applicants with races, ethnic backgrounds, ages and disabilities proportionate to the mix of those groups in the eligible population of the area. The marketing plan will take into consideration the number and distribution of vacant units, units that can be expected to become vacant because of move-outs, and characteristics of families on the waiting list. The HA will review these factors regularly to determine the need for and scope of marketing efforts. All marketing efforts will include outreach to those least likely to apply.

a) Marketing and informational materials will:

- Comply with Fair Housing Act requirements on wording, logo, size of type, etc.;
- Describe the housing units, application process, waiting list and preference structure accurately;
- Use clear and easy to understand terms including any non-English media available in the area;
- Contact agencies that serve potentially qualified applicants least likely to apply (e.g. the disabled) to ensure that accessible/adaptable units are offered to applicants who need their features;
- Make clear who is eligible: low-income individuals and families; working and non-working people; and people with both physical and mental disabilities; and
- Be clear about the HA's responsibility to provide reasonable accommodations to people with disabilities.

b) Outreach:

As much information, as possible about Public Housing will be disseminated through local media (newspaper, radio, television, etc.). For those who call the HA Office, the staff should be available to convey essential information, or:

- The HA may hold meetings with local community agencies.
- The HA may sponsor "open house" programs within the public housing community to attract potential residents to view a public housing unit.
- The HA may make known to the public, through publications in a newspaper of general circulation as well as through minority media and other suitable means, the availability and nature of housing assistance for lower-income families. The notice shall inform such families where they may apply for Public Housing. The HA shall take affirmative action to provide opportunities

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to participate in the program to persons who, because of such factors as race, ethnicity, sex of household head, age, or source of income, are less likely to apply for Public Housing. When there is a Local Housing Plan, "Comprehensive Housing Affordability Strategy" (CHAS), the HA planned programs will be incorporated in the CHAS.

### **B. Qualifying for Admission:**

The term "qualifying" refers to applicants who are eligible and able to meet the applicant selection standards.

1. It is the HA's policy to admit only qualified applicants.
2. An applicant is qualified if he or she meets all of the following criteria:
  - a) A family, as defined in the appendix.
  - b) Meets HUD requirements on citizenship or immigration status;
  - c) Has an annual income (as defined in the appendix) at the time of admission that does not exceed the income limits (maximum incomes by family size established by HUD) posted in the HA offices.
  - d) Provides documentation of Social Security numbers for family members age 6 or older, or certifies that they do not have Social Security numbers; and
  - e) Meets the Applicant Selection Criteria including completing the HA approved pre-occupancy orientation session if requested.

### **C. Establishing and Maintaining the Waiting List.**

#### **1. Administration of the Waiting List:**

It is the policy of the HA to administer its waiting list as required by HUD's regulations.

#### **2. Opening and Closing Waiting Lists**

- a) For any unit size or type, if the HA's waiting list has sufficient applications to fill anticipated vacancies for the coming 12 months, the HA may elect to:
  - Close the waiting list completely;
  - Close the list during certain times of the year; or
  - Restrict intake by preference, type of project, or by size and type of dwelling.
- b) A decision to close the waiting list will consider the number of applications for each size and type of unit, the number of applicants who qualify for a preference, and the ability of the HA to house applicants in 12 months. Decisions to close waiting lists, restrict intake, or open waiting lists will be publicly announced.
- c) When the waiting list is closed, the HA will not maintain a list of individuals who wish to be notified when the waiting list is re-opened.

#### **3. Determining if the Waiting List may be Closed.**

- a) Closing of Application Taking:

The HA will make known to the public through publication in a newspaper of general circulation, minority media, or other suitable means that applications for

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public housing units are being suspended. To reach persons who cannot read the newspapers, the HA will distribute fact sheets to the broadcasting media. Personal contacts with the news media and with community service personnel, as well as public service announcements, will be made.

b) **Opening of Application Taking:**

When the HA decides to start taking applications, the waiting list may be opened by bedroom size. The HA will make known to the public through publication in a newspaper of general circulation, minority media, or other suitable means the availability and nature of housing assistance for eligible families. The notice must contain the following:

- The date applications will be accepted and the location where applications can be completed. If the HA anticipates suspending the taking of applications after a period of time, the closing date must be published;
- Advise families that applications will be taken at the designated office;
- Briefly describe the public housing program;
- State that applicants for public housing must specifically apply for the public housing units and those applicants for public housing may also apply for to the Section 8 program, if applicable, and they will not lose their place on the public housing waiting list if they also apply for Section 8 assistance. For this to be applicable the HA must have a Section 8 program and be accepting applications for Section 8 assistance; and
- To reach persons who cannot read the newspapers, the HA will distribute fact sheets to the broadcasting media. Personal contacts with the news media and with community service personnel, as well as public service announcements, will be made.

**NOTE:** The application taking closing date may be determined administratively at the same time that the HA determines when to open enrollment. The open enrollment period shall be long enough to allow sufficient applicants that will be required in the next 12 months because of the projected turnover and the number of public housing vacancies.

#### **4. Updating the Waiting List:**

At least once a year the HA will update each waiting list by contacting all applicants in writing, or by the method designated at initial application by applicants with disabilities. Written communications will be sent by first class mail to the most current address supplied by the applicant. This is in addition to ongoing purging through the offering of units. (Offer letter must state that failure to respond will result in removal from the waiting list).

**NOTE:** If no response is received by the due date, the HA will withdraw the name of an applicant from the waiting list. Mail returned undeliverable by the post office will be retained unopened by the HA in the applicant file.

At the time of initial intake, the HA will advise families that they must notify the HA, in writing, when their circumstances, mailing address or phone number(s) change.

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### **5. Change in Preference Status While on the Waiting List:**

- a) Situations of some families who did not qualify for a preference when they applied may change so they are qualified for a preference. The family should contact the HA so their status may be certified or verified. Applicants whose preference status changes while they are on the waiting list retain their original date and time of application, or application number, as applicable.
- b) If the HA determines that the family does now qualify for a preference, they will be moved up on the waiting list in accordance with their preference(s) and their date and time of application. They will then be informed in writing of how the change in status has affected their place on the waiting list.

### **D. Processing Applications for Admission:**

#### **1. How to Apply:**

Families wishing to apply for Public Housing shall complete an application for public housing assistance. Applications may be made in person during specified dates and business hours posted at the HA's office at the following location: Sylacauga Housing Authority, Central Office, 415 West 8<sup>th</sup> Street, Sylacauga, Alabama.

- Completed applications will be accepted for all applicants and the information will be verified by the HA.
- The application must be dated, time-stamped, and referred to the HA's office where resident selection and assignment is processed.
- Individuals who have a physical impairment which would prevent them from completing an application in person may call the HA to make special arrangements to complete their application. A Telecommunication Device for the Deaf (TDD) is no longer required as these services are available through the telephone service provider. If the applicant is visually impaired, all notices must be in a format understandable by applicant.
- Form HUD-92006, Supplement to Application for Federally Assisted Housing, shall be completed as appropriate at admission and/or recertification. This form shall remain confidential.

#### **2. Interviews and Verification Process:**

As applicants approach the top of the waiting list, they will be contacted by first class mail to schedule an interview to complete their applicant file. Applicants who fail to attend their scheduled interview or fail to reply to the letter will have their applications withdrawn, subject to reasonable accommodations for people with disabilities.

The following items (MUST) be verified to determine qualification for admission to the HA's housing:

- a) Family type (elderly/disabled/near elderly /non-elderly); May be verified by examination of: birth certificate, driver's license or other government ID card with photo, marriage license, SSI verification, licensed doctor statement, or other similar document.
- b) Verification of family composition is verification of the members who will live in

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the unit which meet the definition of a family defined in this policy.

c) Annual Income:

Income verification will be conducted in the chronological order listed below: Each step must be documented prior to proceeding to use the next option. The specified order listed below must be followed:

Step	Action
<b>1st</b>	<p>Compare tenant provided income information (minimum of 6-8 weeks current and consecutive pay stubs/checks) to Enterprise Income Verification (EIV) and if tenant data is within \$200/month or \$2400/year use tenant provided data. If the tenant disputes the EIV data or if the difference is greater than \$200/month or \$2400/year go to step 2. <b><u>USE OF EIV FOR ANNUAL AND INTERIM REEXAMINATIONS IS HUD MANDATED</u></b></p> <p><b>NOTE 1:</b> Acceptable tenant provided income information (generated by a third party source) include, but are not limited to: pay stubs [minimum of at least 2 current (within 60 days) and consecutive pay stubs/checks covering a minimum of 4 weeks], payroll summary report, employer notice/letter of hire/termination, SSA benefit verification letter, bank statements, child support payment stubs, welfare benefit letters and/or printouts, and unemployment monetary benefit notices. Current (within 60 days) acceptable tenant-provided documents must be used for income and rent determinations.</p> <p><b>NOTE 2:</b> If no match is found or any other EIV response is received print the “no match found” or other message and file with tenant record.</p> <p><b>NOTE 3:</b> Printed EIV income reports containing wage and unemployment data must be destroyed no later than three (3) years after End of Participation (EOP).</p> <p><b>NOTE 4:</b> NEW ADMISSIONS (form HUD-50058 action type 1), the PHA is required to do the following:</p> <ul style="list-style-type: none"> <li>i. Review the EIV Income Report to confirm/validate family-reported income within 120 days of the PIC submission date; and</li> <li>ii. Print and maintain a copy of the EIV Income Report in the tenant file; and</li> <li>iii. Resolve any income discrepancy with the family within 60 days of the EIV Income Report date.</li> </ul> <p>EIV is generally available for new move-ins within 7 days of submission of Form HUD-50058</p>
<b>2nd</b>	Up front income verification (UIV) (Ex: Work Number, Credit Bureau). If desired information is NOT obtained go to next step.
<b>3rd</b>	Third party written verification Includes: (1) An original or authentic document generated by a third party source dated either within the 60-day period preceding the reexamination or PHA request date. Such documentation may be in the possession of the tenant (or applicant), and is commonly referred to as tenant-provided documents. (2) A standard income verification sent to the income

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	<p>source(s). May be sent by mail or fax.  <b>Note: If a desirable response is not received in a timely manner a 2nd letter may be sent but not required in all cases.</b>                      If desired information is NOT obtained go to next step.</p>
<b>4th</b>	<p>Third Party oral verification (documented to file). This could be via phone or interview by staff. A written record of this contact should be prepared by the HA that includes: date/time of contact, name and source of information, the HA staff person, summary of information provided, and the reason for using oral verification.                      If desired information is NOT obtained go to next step.</p>
<b>5th</b>	<p>Document Review: Resident file documentation may include a record of documentation reviewed by the HA staff which supports the family's statement. If possible, original copies (not photocopies) of supporting documents should be reviewed, though the HA should photocopy the document(s) (unless prohibited by law) and place in the applicant's file. The HA staff reviewing the document(s) should prepare a summary of the information and sign/date this summary. This summary should include the reason for using document review as verification and again, if possible, the HA should follow-up with a third party to obtain written verification later.                      If desired information is NOT obtained go to next step.</p>
<b>6th</b>	<p>Family Declaration or Certification: When all other forms of verification are impossible to obtain, the HA can obtain a notarized statement or signed affidavit from the family, attesting to the accuracy of the information provided. The applicant's file should clearly document why other forms of verification were impossible to obtain. Please note that this type of documentation should rarely be used and should not be used merely for the convenience of the applicant or the HA, or where the applicant cannot provide the necessary information.                      Note: May require re-verification in less than 12 months.</p>

- d) Assets and Asset Income;  
Same as income (start with 2nd step)
- e) Social Security and SSI;  
Check EIV, if not available: request that the applicant provide a copy of their SS or SSI benefit letter, dated within the last 60-days. If the applicant does not have a current letter, assist the applicant in requesting the benefit letter from the SSA website. [www.socialsecurity.gov](http://www.socialsecurity.gov)
- f) Deductions from Income;  
Same as income (start with 2nd step)
- g) Preferences;  
Same as income (start with 2nd step)
- h) Social Security Numbers (SSN) of all Family Members; Families are required to provide SSN's for all family members prior to admission. All members of the family defined above must provide an original valid social security card.
  - Current family members without a copy of the social security card in the tenant file must provide an original valid card by the next annual recertification.

## Admission and Continued Occupancy Policy

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- Prior to being added to the lease (newborns/adoptions/etc.) the head-of-house must provide an original valid card.

NOTE: Exception for the following individuals:

Those individuals who do not contend to have eligible immigration status (individuals who may be unlawfully present in the United States). These individuals in most instances would not be eligible for a SSN.

- A family that consists of a single household member (including a pregnant individual) who does not have eligible immigration status is **not eligible** for housing assistance and cannot be housed.
  - A family that consists of two or more household members **and at least one** household member that has eligible immigration status, is classified as a mixed family, and **is eligible** for prorated assistance in accordance with 24 CFR 5.520. The PHA may **not** deny assistance to mixed families due to nondisclosure of an SSN by an individual who does not contend to have eligible immigration status.
- i) Applicant Screening Information; and the HA documented direct knowledge or 3rd party
  - j) Citizenship or eligible immigration status. Citizens are permitted to certify to their status. Eligible Immigration status will be verified with INS.
3. Applicants reporting zero income will be asked to complete a family expense form to document how much they spend on: food, transportation, health care, child care, debts, household items, etc. and what the source of income is for these expenses.
  4. The HA's applications for admission public housing shall indicate for each application the date and time of receipt; applicant's race and ethnicity; determination by the HA as to eligibility of the applicant; when eligible, the unit size(s) for which eligible; preference, if any. The date, location, identification, and circumstances of each vacancy offered and accepted or rejected must be maintained.

### **E. The Preference System**

#### **1. An admission preference:**

An admission preference does not guarantee admission. Preferences establish the order of placement on the waiting list. Every applicant must still meet the HA's Selection Criteria before being offered a unit.

#### **2. Factors other than preferences:**

Before applying its preference system, the HA will match the characteristics of the available unit to the applicants available on the waiting list. Unit sizes, accessibility features, or type of project limit the admission of families to households whose characteristics match the vacant unit available. By matching unit and family characteristics, families lower on the waiting list may receive an offer of housing before families with an earlier date and time of application or families with a higher preference (e.g. the next unit available is an accessible unit and the only applicant family needing such features is in the non-preference pool, i.e. having no preference).



## Admission and Continued Occupancy Policy

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Factors other than the preference system that affect applicant selection are described below:

- a) When selecting a family for a unit with accessible features, the HA will give a preference to families that include persons with disabilities who can benefit from the unit's features. First preference will be given to existing resident families seeking a transfer and second preference will be given to applicant families. If no family needing accessible features can be found for a unit with such features, the HA will house a family not needing the unit features, but a non-disabled family in an accessible unit will be required to move so that a family needing the unit features can take advantage of the unit.
- b) When selecting a family for a unit in housing designated for elderly families, or disabled families, if any, the HA will give a priority to elderly, disabled or near elderly families.
- c) When selecting a family for a unit in a property that houses elderly and disabled families, as opposed to a general occupancy development that houses non-elderly families as well, the HA will give equal priority to elderly families and disabled families.
- d) When selecting a single person at a mixed population development, elderly, disabled, or displaced single persons have priority over other singles. Single applicants who are not elderly, disabled, or displaced can only be admitted after all elderly or disabled families or single displaced persons have been offered units.

**NOTE:** Preferences will be granted to applicants who are otherwise qualified and who, at the time of the unit offer (prior to execution of a lease); meet the definitions of the preferences described below. The HA will not hold units vacant for applicants with preferences, nor will it relax eligibility or screening criteria to admit otherwise unqualified applicants with preferences.

### **3. Verification of Preferences:**

At the time of application, initial determinations of an applicant's entitlement to a preference may be made on the basis of an applicant's certification of their qualification for that preference. Before selection is made, this qualification must be verified.

### **4. Preference (up front):**

The following preference is available to qualifying families at this time:

- Catastrophic Involuntary Displacement, which is defined in this policy;

### **5. Special Circumstance Preferences:**

These preferences apply only to specific units

- Near-elderly families over other families for units designated for elderly/disabled;
- For one bedroom/efficiency units; elderly, disabled families and displaced persons over single persons.

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### **6. Administration of the Preferences:**

- a) Depending on the time an applicant may have to remain on the waiting list, the HA will either verify preferences at the time of application (when the waiting list is short or nonexistent) or require that applicants certify to their qualification for a preference at the time of pre-application (when the wait for admission exceeds four months). Verifying preferences is one of the earliest steps in processing applicants for admission. Preference verifications shall be no more than 120 days old at the time of certification.
- b) The HA may use a pre-application to obtain the family's certification that it qualifies for a preference. The family will be advised to notify the HA of any change that may affect their ability to qualify for a preference.
- c) Applicants that are otherwise eligible and self-certified as qualifying for a preference will be placed on the waiting list in the appropriate applicant pool.
- d) Applicants that self-certify to a preference at the time of pre-application and cannot verify current preference status at the time of certification will be moved into the non-preference category, and to a lower position on the waiting list based on date and time of application, if applicable.

### **7. Notice and Opportunity for a Meeting:**

If the HA determines that an applicant does not meet the criteria for a preference, the HA must promptly provide the applicant with written notice of the determination. The notice must contain a brief statement of the reasons for the determination, and state that the applicant has the right to meet with the HA's designee to review it. If requested within the time given in the notice the meeting must be conducted by a person or persons designated by the HA. The person designated by the HA to conduct the informal hearing shall be an impartial person appointed by the HA other than a person who made the approval of the HA's action under review or a subordinate of such person. The procedures specified in this section must be carried out in accordance with HUD's requirements. The applicant may exercise other rights if the applicant believes that he or she has been discriminated against on the basis of race, color, age, religion, sex, disability, familial status, and national origin.

**NOTE:** The HA grievance procedure applies only to residents. It does NOT apply to applicants.

### **F. Screening Applicants for Admission.**

#### **1. HUD Regulations**

All applicants shall be screened in accordance with HUD's regulations and sound management practices. During screening, the HA will require applicants to demonstrate ability to comply with essential provisions of the lease. The HA will ask if the Applicant requires any special accommodations or presence of a third party to help them with the application process and tenancy.

#### **2. Complying with essential lease requirements:**

- a) Applicant ability and willingness to comply with the essential lease requirements

## Admission and Continued Occupancy Policy

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will be checked and documented in accordance with this policy. Applicant screening shall assess the conduct of the applicant and other family members listed on the application, in present and prior housing. Any costs incurred to complete the application process and screening will be paid by the HA.

- b) The history of applicant conduct and behavior must demonstrate that the applicant family can reasonably be expected not to:
  - Interfere with other residents in such a manner as to diminish their peaceful enjoyment of the premises by adversely affecting their health, safety, or welfare;
  - Adversely affect the physical environment or financial stability of the project;
  - Violate the terms and conditions of the lease;
  - Require services from the HA staff that would alter the fundamental nature of the HA's program.
- c) The HA will conduct a detailed interview of all applicants using an interview checklist as a part of the screening procedures. The form will ask questions based on the essential elements of tenancy. Answers will be subject to third party verification.
- d) The HA will complete a credit check and a rental history check on all applicants.
- e) Payment of funds owed to any HA or any other federally subsidized housing program is part of the screening evaluation. Outstanding balances will result in the rejection of the application.

**NOTE:** Applicants that owe a HA or any other federally subsidized program funds will not be processed for occupancy. The applicant must pay the funds owed prior to the application being processed. After the application is processed, the applicant must meet all other conditions for occupancy. Re-paying funds that are due, do not necessarily qualify an applicant for occupancy. Such payments will be considered along with other factors in the application process. Any money owed to a HA which has been discharged by bankruptcy shall not be considered in making this determination.

- f) The HA will complete a criminal background check on all adult applicants or any member for whom criminal records are available. Before the HA rejects an applicant on the basis of criminal history, the HA must notify the household of the proposed rejection and proceed under the provisions of the Criminal Records Management Policy.
- g) The HA will verify the information provided by the applicant by searching the Dru Sjodin National Sex Offender Database. The Dru Sjodin National Sex Offender Database is an online, searchable database, hosted by the Department of Justice, which combines the data from individual state sex offender registries. The website for the database is located at: <http://www.nsopw.gov>. A record of this screening, including date performed, will be retained. The HA will destroy the results of the search in accordance with 24 CFR 5.903 (g). The HA will retain the results of the search, along with the application, for a period of three years if the applicant is denied housing or, if the applicant is admitted to the program, for the term of tenancy plus three years.

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- h) If any screening activity suggests that an applicant household member may be currently engaged in illegal use of drugs, the HA may seek information from a drug abuse treatment facility or local law enforcement agency to determine whether the facility or agency has reasonable cause to believe the household member is currently engaging in illegal drug use.
- i) The HA may complete a home visit on all applicants that have passed criminal history screening and have incomplete or questionable landlord references to determine if the applicant(s) housekeeping would create health or sanitation problems. Staff completing the home visit will consider whether the conditions they observe are the result of the applicant(s) treatment of the unit or are caused by the unit's overall substandard condition.
- j) Housekeeping criteria to be checked shall include, but not be limited to:
  - Conditions in living room, kitchen (food preparation and clean-up), bathroom, bedrooms, entrance-ways, halls, and yard (if applicable);
  - Cleanliness in each room; and
  - General care of appliances, fixtures, windows, doors and cabinets.Other: The HA lease compliance criteria will also be checked, such as:
  - Evidence of destruction of property;
  - Unauthorized occupants;
  - Evidence of criminal activity; and
  - Conditions inconsistent with application information.

**NOTE:** All applicants shall have at least a two-day advance written notice of home visits.

- k) All applicants may be asked to attend and complete the HA's Pre-Occupancy Orientation.
- l) The HA's examination of relevant information respecting past and current habits or practices will include, but is not limited to, an assessment of the applicant family's adult members:
  - Past performance in meeting financial obligations, especially rent and utility bills.
  - Record of disturbance of neighbors (sufficient to warrant a police call) destruction of property, or living or housekeeping habits that may adversely affect the health, safety, or welfare of other residents or neighbors.
  - History of criminal activity on the part of any applicant family member involving crimes of physical violence to persons or property or other criminal acts including drug-related criminal activity that could adversely affect the health, safety, or welfare of other residents or staff or cause damage to the unit or development.

**NOTE:** The HA may require an applicant to exclude a household member in order to be admitted if that household member has participated in or been culpable for criminal actions that warrant rejection.

- A record of eviction from housing or involuntary termination from residential programs (taking into account date and circumstances).

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- An applicant(s) ability and willingness to comply with the terms of the HA's lease.
- m) The HA is required to reject the applications of certain applicants for criminal activity or drug abuse by household members:
  - The HA shall reject the application of any applicant for three years from the date of eviction if any household member has been evicted from any federally assisted housing for drug-related criminal activity. However, the HA may admit the household if the HA determines that:
    - The evicted household member who engaged in drug-related criminal activity has successfully completed a supervised drug rehabilitation program approved by the HA, or
    - The circumstances leading to the eviction no longer exist (for example, the criminal household member has died or is imprisoned).
  - The HA is required to reject the application of a household if the HA determines that:
    - Any household member is currently engaging in illegal use of a drug; or
    - The HA has reasonable cause to believe that a household member's illegal use or pattern of illegal use of a drug may threaten the health, safety, or right to peaceful enjoyment of the premises by other residents;
    - Any household member has ever been convicted of manufacture or production of methamphetamine on the premises of any federally assisted housing;
    - Any member of the household is subject to a lifetime registration requirement under a state sex offender registration program; or
    - Any member of the household's abuse or pattern of abuse of alcohol may threaten the health, safety, or right to peaceful enjoyment of the premises by other residents

**NOTE:** The above list is not intended to be all-inclusive. Applicants may be denied admission if the HA has reason to believe that the conduct of the applicant has been such as would be likely to interfere with other residents in such a manner as to diminish their enjoyment of the premises by adversely affecting their health, safety, or welfare or to affect adversely the physical environment or the financial stability of the project if the applicant were admitted to the project.

- n) An applicant's intentional misrepresentation of information related to eligibility, preference for admission, housing history, allowances, family composition, or rent will result in rejection. In the event the misrepresentation is discovered after admission, the lease will be terminated for such misrepresentation. Unintentional mistakes that do not confer any advantage to the applicant will not be considered misrepresentations.
- o) Applicants must be able to demonstrate the ability and willingness to comply with the terms of the HA's lease, either alone or with assistance that they can demonstrate they will have at the time of admission. Availability of assistance is

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- subject to verification by the HA.
- p) Have previously been evicted from public housing, including having moved from the HA as a result of their lease being terminated by the HA.
  - q) Committed acts, which would constitute fraud in connection with any federally, assisted housing program.
  - r) Did not provide information required within the time frame specified during the application process.
  - s) During the interview process, the applicant demonstrates hostile behavior that indicates that the prospective applicant may be a threat to our public housing residents.
  - t) The applicant and all adults must sign a release allowing the HA to request a copy of a police report from the National Crime Information Center, police department or other law enforcement agencies. If the HA uses the information to deny or terminate assistance the HA must provide a copy of the information used in accordance with Criminal Records Management Policy.
  - u) If the applicant is a former Public Housing or Section 8 participant who vacated the unit in violation of his lease, the applicant may be declared ineligible.

### **3. Screening applicants who claim mitigating circumstances.**

- a) If negative information is received about an applicant, the HA shall consider the time, nature, and extent of the applicant's conduct and other factors that might indicate a reasonable probability of favorable future conduct. To be considered, mitigating circumstances must be verifiable.
- b) Mitigating circumstances are facts relating to the applicant's negative rental history or behavior, that, when verified, indicate. The reason for the unsuitable rental history and/or behavior; and that the reason for the unsuitable rental history and behavior is no longer in effect or is under control, AND applicant's prospect for lease compliance is an acceptable one, justifying admission. Mitigating circumstances would overcome or outweigh information already gathered in the screening process.
- c) If the applicant asserts that mitigating circumstances relate to a change in disability, medical condition or treatment, the HA shall refer such information to persons qualified to evaluate the evidence and verify the mitigating circumstance. The HA shall also have the right to request further information to verify the mitigating circumstance, even if such information is of a medically confidential nature. Such inquiries will be limited to the information necessary to verify the mitigating circumstances or, in the case of a person with disabilities, to verify a reasonable accommodation.
- d) Examples of mitigating circumstances might include:
  - Evidence of successful rehabilitation;
  - Evidence of the applicant family's participation in social service or other appropriate counseling service; or
  - Evidence of successful and sustained modification of previous disqualifying behavior.
- e) Consideration of mitigating circumstances does not guarantee that applicant will qualify for admission. The HA will consider such circumstances in light of:

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- The applicant's ability to verify the mitigating circumstances and prospects for improved future behavior;
- The applicant's overall performance with respect to all the screening requirements; and
- The nature and seriousness of any criminal activity, especially drug related criminal activity that appears in the applicant's record.

#### 4. Qualified and Unqualified Applicants:

- a) Verified information will be analyzed and a determination made with respect to:
  - Eligibility of the applicant as a family;
  - Eligibility of the applicant with respect to income limits for admission;
  - Eligibility of the applicant with respect to citizenship or eligible immigration status;
  - Unit size required for and selected by the family;
  - Preference category (if any) to which the family is entitled; and
  - Qualification of the applicant with respect to the Selection Criteria.
- b) Qualified (DETERMINED TO BE ELIGIBLE):

Families will be notified by the HA of the approximate time frame of admission insofar as that date can be determined; however the time frame stated by the HA is an estimate and does not guarantee that applicants can expect to be housed by that date.
- c) Denied (DETERMINED TO BE INELIGIBLE):

Generally, applicants may be denied admission to Public Housing for the following time frames, which shall begin on the date of application, unless otherwise provided for herein below:

  - 1) Denied admission for **one year** for the following:
    - Past rental record,
    - Bad rent paying habits,
    - Bad housekeeping habits, in and outside the unit,
    - Damages,
    - Disturbances,
    - Live-ins,
    - Demonstration of hostile behavior during the interview process that indicates that the applicant may be a threat to staff or residents,
    - Being evicted from a HA, including having moved from a HA as a result of their lease being terminated by the HA for reasons other than as listed below (beginning on the date of such eviction),
    - Having other federally subsidized housing assistance terminated for reasons other than as listed below (beginning on the date of such eviction).
  - 2) Denied admission for **three years** for the following:
    - Persons evicted from public housing, Indian Housing, Section 8, or Section 23 programs because of drug-related criminal activity (except drug trafficking) are ineligible for admission to public housing for a **three year period** beginning on the date of such eviction.

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- The HA can waive this requirement if the person demonstrates to the HA's satisfaction successful completion of a rehabilitation program approved by the HA, or the circumstances leading to the eviction no longer exist.
  - Drug use without evidence of rehabilitation.
- 3) Denied admission for ***five years*** for the following:
- Fraud: (giving false information on the application or during an interview is considered fraud).
  - An arrest or conviction record that indicates that the applicant may be a threat and/or negative influence on other residents. The five years shall begin on the date of the last reported act, completion of sentence and/or probation period. (Whichever is later).
- 4) Denied admission for ***10 years*** for the following:
- Conviction for drug trafficking.
- 5) Denied admission ***for life*** to any household that includes any individual who is subject to a lifetime registration requirement under a state sex offender registration program.
- 6) Denied admission ***for life*** to any applicant who has been convicted of manufacturing or producing methamphetamine (commonly referred to as "speed") on the premises of a public housing project. Premises are defined as the building or complex in which the dwelling unit is located, including common areas and grounds.

**NOTE:** These time frames (with the exception of 5 & 6) are only guidelines and the HA may deny admission to any individual whose behavior may adversely affect the health, safety or welfare of other residents or may admit persons who exhibit evidence of rehabilitation.

d) Notice to Deny Applicants:

Unqualified applicants will be promptly notified by a Notice of Rejection from the HA, stating the basis for such determination and offering an opportunity for informal hearing (see Procedure for Informal Hearing for Rejected Applicants). The denial letter will allow the applicant 10 calendar days to request an informal meeting (verbal and/or in writing) with the HA. A HA representative will hear the appeal and issue a decision within 10 calendar days of the meeting. Informal hearings for applicants are different from the resident grievance process. Applicants are not entitled to use of the resident grievance process.

After their denial period has expired, denied applicants must complete a new application to be considered for occupancy.

### **G. Occupancy Guidelines:**

#### **1. Guidelines:**

The following guidelines shall determine the number of bedrooms required to accommodate each family without overcrowding or over-housing. These guidelines



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may be waived only when necessary to achieve or maintain full occupancy and after every effort has been made to stimulate applications from families appropriate to the existing vacancies. Families may be assigned improper sized units, with the written agreement, that they must transfer to the appropriate size unit when instructed to do so by the HA. Otherwise, the following occupancy standards shall apply:

### Suggested Guidelines

Number of Bedrooms	Number of Persons	
	Minimum	Maximum
0 Br	1	1
1 Br	1	2
2 Br	2	4
3 Br	3	6
4 Br	4	8
5 Br	5	10
6 Br	6	12

The following principles govern the size of unit for which a family will qualify. Generally, two people are expected to share each bedroom, except that units will be so assigned that:

- a) It will not be necessary for adults of different generations or opposite sex, other than husband and wife, to occupy the same bedroom, although they may do so at the request of the family.
- b) Exceptions to the largest permissible unit size may be made in case of reasonable accommodations for a person with disabilities. In the case of chronic illness, or other physical infirmity, a deviation from the occupancy guidelines, as presented above, is permissible when justified with evidence and documentation from a licensed physician.
- c) Two children of the opposite sex over the age of six years will not be required to share a bedroom, although they may do so at the request of the family.
- d) An unborn child will not be counted as a person in determining unit size. At the option of the HA, an infant, up to the age of two years, may share a bedroom with its parent(s). A single pregnant woman will be assigned to a one-bedroom unit.
- e) The HA will count a child who is temporarily away from the home because the child has been placed in foster care for six months or less, is away at school or other situations that can be documented.
- f) A single head of household parent shall not be required to share a bedroom with his/her child over the age of two years, although they may do so at the request of the family.
- g) A live-in attendant may be assigned a bedroom. Single elderly or disabled residents with live-in attendants will be assigned two bedroom units.
- h) Efficiency apartments will be occupied first by persons who prefer efficiencies to one bedroom units. Once applicants who prefer efficiencies have been housed, single individuals applying to mixed population buildings who wish to live in one bedroom units (rather than efficiencies) will be offered a unit based on their position on the waiting list to determine whether they will be offered a one

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bedroom or efficiency.

### 2. The general HUD standard:

Two persons per bedroom will be the standard for the smallest unit a family may be offered.

**NOTE:** Individual housing units with very small or very large bedrooms or other specific situations that inhibit or encourage lower or higher levels of occupancy may be permitted to establish lower or higher occupancy levels so long as the occupancy levels will not discriminate on the basis of familial status. The largest unit size that a family may be offered would provide no more than one bedroom per family member, taking into account family size and composition.

**NOTE:** Exceptions may be made to allow for full utilization of all bedroom sizes. Family will be required to sign an acknowledgment that they will be required to move to the proper size unit if their unit is needed to house a family requiring the larger unit.

### 3. Family Options:

If a family opts for a smaller unit size than would normally be assigned under the unit size standard (because, for example, the list is moving faster) the family will be required to sign a statement agreeing to occupy the unit assigned at their request until their family size, or circumstances (other than age of family members) change.

**NOTE:** When a family is actually offered a unit, if they no longer qualify for the unit size where they were listed, they will be moved to the appropriate waiting list, retaining their preferences and date and time of application. This may mean that they may have to wait longer for a unit offer.

## VII. TENANT SELECTION AND ASSIGNMENT PLAN

Check One	This HA maintains the checked waiting list method
<input type="checkbox"/>	Community-wide Waiting List
<input type="checkbox"/>	Site-based Waiting Lists

### A. Organizing the Waiting List

#### 1. Community-wide Waiting List:

It is the HA's policy that each applicant shall be assigned his/her appropriate place on a single community-wide waiting list in sequence based upon:

- Type and size of unit needed (e.g. general occupancy building, accessible or non-accessible unit, number of bedrooms);

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- Applicant preference or priority, and
- Date and time the application is received.

**NOTE:** The HA will maintain its waiting list in the form that records the type and size of unit needed, each applicant's priority/preference status, the date and time of application, and the race and ethnicity of the family head.

### 2. Site-based Waiting List:

If the HA has elected to operate site-based waiting lists, the application for such lists shall be a part of the HA's Annual Plan.

- All current applicants for units of the size and type offered at developments with site-based waiting lists will be given an opportunity to be listed on all waiting lists where they would accept a unit offer.
- Once the initial site-based lists are established, all applicants will be informed of the length of each list and have an opportunity when their application is updated to change their site selection.
- Although applicants will have an opportunity to select the sites where they wish to receive offers, the waiting list and unit offers will continue to be administered centrally.
- Type and size of unit needed (e.g. general occupancy building, accessible or non-accessible unit, number of bedrooms);
- Applicant preference or priority; and
- Date and time the application is received.

**NOTE:** The HA will maintain its waiting list in the form that records the type and size of unit needed, each applicant's priority/preference status, the date and time of application, and the race and ethnicity of the family head.

### B. Making Unit Offers to Applicants

**NOTE:** The HA IS RESPONSIBLE for keeping accurate records evidencing: eligibility status on waiting list, position on waiting list, offers made, and offers rejected (reason), and date housed. ***\*\*To meet this requirement, it is suggested that the HA keep a printed copy of the waiting list each time it is reordered, unless a historical electronic copy can be produced\*\**** See record retention section.

1. To assure equal opportunity and nondiscrimination on grounds of race, color, sex, religion, national origin, disability, or familial status in this policy, a one offer system will be used to make unit offers.
  - The first qualified applicant in sequence on the waiting list is made one offer of a unit of appropriate size and type.
  - If the applicant refuses a unit offer without good cause the date and time of their application will be changed to the date and time of the refusal and loss of any

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preference. Refusal because of good cause will not result in loss of current position on waiting list. This must be documented to and verified by the HA.

2. The HA will first match the unit available to the highest ranking applicant for a unit of that size, type and special features (if any), taking into account any designated housing (if applicable). Preferences will then be used to determine the order of selection from the waiting list. If two applicants need the same type and size of unit and have the same preference status, the applicant with the earlier date and time of application will receive the offer.
3. In the selection of a family for a unit with accessible features, the HA will give preference to families that include a person with disabilities who can benefit from the unit features.
4. Vacant elderly or disabled designated units will be offered to the near elderly if there are no eligible elderly or disabled persons on the waiting list. Other families will be offered these units if no eligible near elderly are on the waiting list.
5. The applicant must accept the vacancy offered within seven working days of the date the offer is communicated by first class mail (or the method of communication designated by an applicant with disabilities) or be removed from the waiting list.
6. If more than one unit of the appropriate size and type is available, the first unit to be offered will be the unit that is anticipated to be ready for move-in first. If two units are anticipated to be ready for move-in on the same day, the first unit to be offered will be the unit that became vacant first.
7. The provisions of the deconcentration rule, contained within this policy, shall supersede the selection of applicants based on date and time and local preference points, if applicable, and allow the HA to skip families on the waiting list to accomplish this goal.

**NOTE:** For every fiscal year, each HA shall reserve a percentage of its new admissions for families whose incomes do not exceed 30% of the area median income. The goal for public housing shall be 40% of new admissions. In reaching the new admissions goals, the HA is required to avoid concentrating very low-income families in projects and must comply with the Deconcentration Policy.

**EXPLANATION:** The purpose of the Deconcentration Policy is to maintain a resident body in each development composed of families with a broad range of income and rent paying ability which is generally representative of the range of incomes of low income families in the HA's area of operation as defined by state law.

### **C. Removing Applicant Names from the Waiting List:**

To ensure vacant units are filled in a timely manner, the HA needs a waiting list that is accurate. While each applicant must keep the HA apprized of changes in address, phone number, income or other circumstances, no applicant shall be removed from the waiting list except when one of the following situations occurs:

1. The applicant receives and accepts an offer of housing;

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2. The applicant requests in writing that his/her name be removed from the waiting list;
3. The applicant is rejected, either because he/she is ineligible for public housing at the time of reexamination, or because he/she fails to meet the applicant selection criteria; or
4. The application is withdrawn because the HA attempted to contact the applicant and was unable to do so. In attempting to contact an applicant, the following methods shall be undertaken before an application may be withdrawn:
  - The applicant will be sent an offer letter by first class mail to the applicant's last known address, asking the applicant to contact the HA within seven business days, or;
  - The applicant will be sent a letter of continued interest by first class mail to the applicant's last known address, asking the applicant to contact the HA within seven business days, or;

**NOTE:** If an applicant contacts the HA as required within any of the deadlines stated above, he/she shall be housed or retained on the waiting list.

5. Persons who fail to respond to the HA attempts to contact them because of verified situations related to a disability shall be entitled to a reasonable accommodation. In such circumstances the HA shall reinstate these individuals to their former waiting list positions.
6. Families whose applications are withdrawn or rejected must reapply for housing only when the waiting list is open. Families whose applications were rejected may not reapply for 12 months.

### **D. Good Cause for Applicant Refusal of Unit Offer:**

If an applicant is willing to accept the unit offered but is unable to move at the time of the offer and presents clear evidence ("good cause") that acceptance of the offer of a suitable vacancy will result in undue hardship not related to considerations of race, color, sex, religion or national origin, the applicant will not be dropped to the bottom of the list.

1. Examples of (good cause) for refusal of an offer of housing are:
  - The unit's location is inaccessible to source of employment, education, or job training, children's day care, or educational programs for children with disabilities, so that accepting the unit offer would require the adult household member to quit a job, drop out of an educational institution or job training program, or take a child out of day care or an educational program for children with disabilities;
  - The family demonstrates that accepting the offer will place a family member's life, health, or safety in jeopardy. The family must provide specific and compelling documentation such as restraining orders, other court orders, or risk assessments from a law enforcement agency. Reasons offered must be specific to the family. Refusals due to location alone do not qualify for this good cause exemption;

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- A health professional verifies temporary hospitalization or recovery from illness of the principal household member or other household members (each as listed on final application);
  - The unit has lead paint and the family has children under the age of seven;
  - The unit is inappropriate for the applicant's disabilities, or the family does not need the accessible features in the unit offered and does not want to be subject to a 30-day notice to move;
  - An elderly or disabled family makes the decision not to occupy or accept occupancy in designated housing; or
  - The HA has HUD-approved site-based waiting lists and the offer is not for one of the sites the applicant has selected.
2. If good cause is verified, the refusal of the offer shall not require that the applicant be dropped to the bottom of the waiting list or otherwise affect the family's position on the waiting list.

### **E. Leasing Accessible Units:**

1. Before offering a vacant accessible unit to a non-disabled applicant, the HA will offer such units:
- First, to a current public housing resident having a disability that requires the special features of the vacant unit.
  - Second, to an eligible qualified applicant on the waiting list having a disability that requires the special features of the vacant unit.
2. When offering an accessible/adaptable unit to a non-disabled applicant, the HA will require the applicant to agree to move to an available non-accessible unit within 30-days when a current resident or an applicant with a disability needs the unit. This requirement is also reflected in the lease signed with the applicant.

### **F. Administering the Applicant and Transfer Waiting Lists:**

Check One	The HA must select one of the following methods
<input type="checkbox"/>	Applications for admission and transfer will be processed centrally. Initial intake, waiting list management, screening, and assignment of housing (including transfers) will be made from the central office. Offers may be made in person, in writing or by phone from the central office or the property.
<input type="checkbox"/>	Applications for admission and transfer will be processed at the property level. Offers may be made in person, in writing or by phone.

### **G. Transfers:**

Some transfers take priority over new admissions. See IX.

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### VIII. LEASING POLICIES

#### A. General Leasing Policy:

1. All units must be occupied pursuant to a lease that complies with HUD's regulations.
2. At a minimum the lease shall be signed by the head, spouse and a representative of the HA, prior to actual admission.
3. If a resident transfers from one HA unit to another, a new lease will be executed for the dwelling into which the family moves.
4. If at any time during the life of the lease agreement, a change in the resident's status results in the need for changing or amending any provision of the lease, either:
  - a) A new lease agreement will be executed,
  - b) A Notice of Rent Adjustment (lease addendum) will be provided, or
  - c) A replacement first page to the lease agreement will be executed with the original lease date.

**NOTE:** All new leases and replacement pages are to be dated and signed by the resident(s) and a representative of the HA. Lease addendums provided by the Landlord and mailed to the resident **DO NOT** have to be executed (signed) by the resident.

5. Residents should advise the HA, in advance, if they will be absent from the unit for more than 14 days. The lease requires them to notify the HA by the fifth day of the absence. Residents shall notify the manager, secure the unit and provide a means for the HA to contact the resident in an emergency. Failure to advise the HA of an extended absence is grounds for termination of the lease.

#### B. Showing Units Prior to Leasing:

1. When offering units, the HA will provide the applicant with the unit address and location of the property. If the offer of a unit is preliminarily accepted by the applicant, the HA will contact the applicant to set up a date to show the unit if desired by the applicant.
2. Once the unit is shown and the applicant accepts the unit and all the HA requirements have been met the lease will be signed by all parties. If the applicant refuses the unit, a signed reason for refusal should be obtained from the applicant. The form is then sent to the Occupancy department for a "good cause" determination.
3. No lease will have an effective date before the unit is ready for occupancy.

#### C. Additions to the Household and Visitors:

1. Only those persons listed on the most recent lease shall be permitted to occupy a dwelling unit.
  - Except for natural births to or adoptions by family members, or court awarded custody, any family seeking to add a new member must request approval in

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writing before the new member moves in. The family shall notify the HA of all births, adoptions and court awarded custody within ten days of the occurrence.

- All persons listed on the most recent reexamination form and the lease must use the dwelling unit as their sole domicile.
2. When a resident requests approval to add a new person to the lease, the HA will conduct pre-admission screening of any proposed new member to determine whether the HA will grant such approval.
  3. Examples of situations where the addition of a family or household member is subject to screening are:
    - Resident plans to be married and requests to add the new spouse to the lease;
    - Resident desires to add a new family member to the lease, employ a live-in aide, or take in a foster child(ren);
    - A unit is occupied by a remaining family member(s) under age 18 (who is not an emancipated minor) and an adult who is not a part of the original household, requests permission to take over as the head of the household.
    - See item 9 below for adding a minor using a Power of Attorney.
  4. Residents who fail to notify the HA of additions to the household or who permit persons to join the household without undergoing screening are violating of the lease. Persons added without the HA's approval will be considered unauthorized occupants and the entire household will be subject to eviction.
  5. Visitors may be permitted in a dwelling unit so long as they have no previous history of behavior on the HA premises that would be a lease violation.
    - Visits of more than 14 days in a calendar year shall be authorized only by the HA with advance documentation of extenuating circumstances.
    - Visitors remaining beyond this period without prior approval of the HA shall be considered unauthorized occupants and the head of the household shall be guilty of a breach of the lease.
  6. Boarders, lodgers or others not on the lease shall not be permitted to move in with any family. Violation of this provision is ground for termination of the lease.
  7. Residents will not be given permission to allow a former resident of the HA who has been evicted to occupy the unit for any period of time. Violation of this requirement is grounds for termination of the lease.
  8. Family members who move from the dwelling unit shall be removed from the lease.
    - The resident shall report the move out within 10 calendar days of its occurrence.
    - The individual(s) may not be readmitted to the unit and must apply as a new applicant household(s) for placement on the waiting list.
    - Medical hardship or other extenuating circumstances shall be considered by the HA in making determinations under this paragraph.
  9. A resident may add a minor to the lease using the "Power of Attorney" provisions of Section 25-2A-7, Code of Alabama 1975. The HA shall require that the resident use the HA form, have the form filed and recorded with the Probate Judge, and return



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the recorded form to the HA office. The additional person must still meet all criteria of the admissions process and all other provisions of this ACOP shall apply, including the HA's consideration of whether the unit will still be properly sized, etc. The HA shall verify that the person added to the lease via this method is actually living in the unit. The Power of Attorney is good for only one year and must be annually renewed, recorded, etc.

### IX. TRANSFER POLICY

#### A. Objectives of the Transfer Policy:

- To fully utilize available housing resources while avoiding overcrowding by insuring that each family occupies the appropriate size unit.
- To facilitate relocation when required for modernization or other management purposes.
- To facilitate relocation of families with inadequate housing accommodations.
- To eliminate vacancy loss and other expense due to unnecessary transfers.

#### B. Types of Transfers:

##### 1. HA Mandated:

The HA may at its discretion transfer residents because of an uninhabitable unit, major repairs, or other actions initiated by management. For these types of transfers the HA will cover the cost of the transfer pursuant to HUD schedule of relocation cost.

##### 2. Transfers for Reasons of Health or ADA Reasonable Accommodation:

- a. **HEALTH:** Resident may be transferred when the HA determines that there is a medical need for such transfers. The resident will be required to provide a statement from a medical doctor, which indicates the condition of the resident. The HA may send a request to the doctor for verification to be submitted directly to the HA from the doctor. The HA may also require the resident to be examined by a doctor of the HA's choosing. The HA reserves the right to make its own evaluation of the situation and documentation.
  - i. The resident must pay for all moving expenses.
  - ii. If the HA concludes that there is not a substantial and necessary medical need for a health transfer, the request shall be treated as a convenience transfer under Section IX.B.6.
- b. **ADA REASONABLE ACCOMMODATION:** If a resident requests a transfer as a reasonable accommodation under the American with Disabilities Act (ADA), the HA will request third party verification from the doctor. The HA may also require the resident to be examined by a Doctor of the HA's choosing. The HA will determine whether or not the request is reasonable and whether or not the ADA applies.

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- i. The HA will pay for all reasonable moving expenses pursuant to HUD schedule of relocation cost.
  - ii. If the HA concludes that the ADA does not apply, the HA will determine whether the transfer should be treated as a convenience transfer under IX.B.6. or as a Health transfer under Paragraph a above.
- c. Health/Reasonable Accommodation transfers will not incur a convenience transfer fee.
  - d. Prior to approval of Health/Reasonable Accommodation transfers, the resident must be current on all rent, utilities and other charges.
  - e. Health/Reasonable Accommodation transfers will be within the resident's original neighborhood unless the appropriate size and type of unit does not exist on the site.

### **3. Other HA Initiated Transfers:**

To correct occupancy standards the HA may transfer residents to the appropriate sized units. Residents are obligated to accept such transfers. Transfers will be made in accordance with the following principles:

- Determination of the correct sized apartment shall be in accordance with the HA's occupancy guidelines.
- Transfers into the appropriate sized unit will be made within the same neighborhood unless that size does not exist on the site.
- The resident must pay for their moving expenses.
- To avoid concentrations of the most economically and socially deprived families. (Moving expenses paid by the HA).
- Incentive transfers are offered to residents who have good rental histories and want to move to units other than those they currently occupy on a non-discriminatory basis.

### **4. Incentive Transfers By HA:**

The HA may occupy recently modernized and scattered site units through incentive transfers. Modernized units will be filled with incentive transfers, new applicants, or a combination of both in a manner that has the least impact on vacant units.

- Incentive transfers are offered to residents who have good rental histories and want to move to units other than those they currently occupy on a non-discriminatory basis.

### **5. Incentive Transfers Requested by the Resident:**

Resident requests for incentive transfers should be made to their Housing Manager. Managers may also recommend a resident for an incentive transfer. To be considered for an incentive transfer, the following conditions must be met:

- Residency in a HA development for at least three years.

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- No more than two repayment agreements or unpaid balances at any time in the past two years.
- No history of disturbances that resulted in lease violations or violence toward staff or neighbors as indicated by notices of lease violation in the applicant's file.
- Good housekeeping record.

**NOTE:** No exceptions will be granted to the good record requirement for incentive transfers. The resident is responsible for the cost of moving.

### **6. Convenience Transfers:**

The Executive Director or his/her designee may at his/her discretion permit a transfer to another housing community or public housing facility for the convenience of the resident. All costs of the transfer shall be borne by the resident. A "Transfer Charge" list is posted in the HA offices and is based on our contract price for maintenance of \$50 per bedroom and an administrative charge of \$100 for processing the transfer. The HA updates the transfer charge list as needed. The HA will charge the actual cost of the transfer, which includes the administrative cost, the cost of preparing the unit for re-rental and, if applicable, a penalty for not turning in the keys to the old unit within three days of the transfer. The resident is allowed a period of three days to move and turn in the keys to the old unit without being charged a penalty. If the move takes more than three days, and the keys are not turned in the resident will be charged \$10 per day for each additional day. Prior to the transfer, the Landlord will perform an inspection on the current unit to determine the amount of charges the resident will be required to pay as a result of resident-caused damages, if any. All transfer charges must be paid at the time the resident signs his/her lease and receives the keys for the new unit. The Landlord will perform a final inspection with the resident on the unit that the resident transferred from, after the keys are turned in, and a final determination will be made by the HA staff as to additional charges that may be due the HA. For example, the resident may not have cleaned the unit properly and/or damaged the unit during the moving process. If there are any charges that are due the HA because of this inspection, the resident must pay for these damages within 14 days of written notice from the HA. The resident must sign a transfer agreement after the HA has authorized the transfer and prior to the transfer.

**NOTE:** Request for transfers for convenience must be made in writing to the HA at the resident's rental office stating the reason for the requested transfer. The HA will issue a decision within 30 calendar days of receipt of the request and, if approved, provide the resident with a list of the charges that will be the resident's responsibility to pay prior to the transfer.

### **C. Priorities for transfers:**

All transfers must be either for health reasons, for relocation to an appropriate sized unit, approved convenience transfers, or initiated by the HA due to modernization work and/or other good cause as determined by the HA. Priority transfers are listed below:

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1. HA mandated and transfers for reasons of health described above are mandatory transfers and take priority over new admissions.
2. Other HA initiated transfers are high priorities; the Executive Director has discretion to determine when these transfers should take precedence over admissions.
3. Convenience transfers are not a high priority and do not take priority over new admissions.

**NOTE:** Within each priority type, transfers will be ranked by date. In processing transfers requested by residents for approved health reasons or to move to a larger unit the date shall be the date the change in family circumstances are verified by the manager. The HA reserves the right to immediately transfer any family who has misrepresented family circumstances or composition, and the family will be charged the posted rate for convenience transfers. Failure to pay for these charges will result in termination of the dwelling lease.

### **D. Transfer Procedures:**

#### **1. The HA shall:**

- Prepare a prioritized transfer list, as needed, at re-examination.
- Notify residents by letter of their pending transfer.
- Participate in evaluation of request for transfer based on approved medical reasons.
- Issue final offer of vacant unit as soon as vacant unit is identified.
- Issue notice to transfer as soon as vacant unit is available for occupancy.
- Participate in planning and implementation of special transfer systems for modernization and other similar programs.
- Inspect both units involved in the transfer, charging for any resident damages that are not considered normal wear and tear.

#### **2. Offers:**

Only one offer of an appropriate unit will be made to each resident being transferred within his/her own neighborhood. A resident being transferred outside his own neighborhood will be allowed to refuse one (1) offer. In the case of a family being transferred from a unit that is uninhabitable, incorrectly sized or scheduled for major repairs, failure to accept the unit offered, or the second (2<sup>nd</sup>) unit offered in the case of a transfer outside the neighborhood, will be grounds for eviction. When a resident declines an offer of a transfer to a single level unit requested by the resident for health reasons, the HA will notify the resident, at that time, that the HA is not obligated to make any subsequent offers. The HA will notify the resident that the HA has discharged its obligations to the resident and he/she will remain in the unit at his/her own risk, and that the HA assumes no liability for the resident's condition.

**NOTE:** Right of HA in transfer policy: The provisions listed above are to be used as a guide to insure fair and impartial means of assigning units for transfers. It is not intended that

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this policy shall create a property right or any other type of right for a resident to transfer or refuse transfer.

### **E. Good Record Requirement for Transfers:**

1. In general, and in all cases of resident requested transfers, residents will be considered for a transfer only if the head of household and any other family members for the past two years:
  - Have not engaged in criminal activity that threatens the health and safety of residents and staff;
  - Do not owe back rent or other charges or evidence a pattern of late payment;
  - Meet reasonable housekeeping standards and have no housekeeping lease violations; and
  - Can get utilities turned on in the name of the head of household (applicable only to properties with resident paid utilities).
2. Exceptions to the good record requirements may be made for emergency transfers or when it is to the HA's advantage. Absent a determination of exception, the following policy applies to transfers:
  - If back rent or other charges are owed, the resident will not be transferred until paid in full.
  - A resident with housekeeping standards violations will not be transferred until he/she demonstrates acceptable housekeeping standards for six months and passes a follow-up housekeeping inspection.

## **X. ELIGIBILITY FOR CONTINUED OCCUPANCY, ANNUAL REEXAMINATIONS, AND REMAINING FAMILY MEMBERS (SEE ADMISSIONS SECTION FOR GUIDANCE)**

### **A. Eligibility for Continued Occupancy:**

Residents who meet the following criteria will be eligible for continued occupancy:

1. Qualify as a family as defined in the definition section of this policy. For purpose of continued occupancy, remaining family members qualify as a family so long as at least one of them is of legal age to execute a lease. Remaining family members can also include court recognized emancipated minors under age 18.
2. Are in full compliance with the resident obligations and responsibilities as described in the dwelling lease.
3. Whose family members, age six and older, each have Social Security numbers or have examinations on file indicating they have no Social Security number.
4. Who meet HUD standards on citizenship or immigration status or are paying a pro-rated rent.
5. Who comply with the HA's eight hour per month community service requirements.

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### **B. Reexaminations:**

#### **1. Regular (Annual) reexaminations:**

The HA shall, at least once a year, re-examine the family composition and incomes of all resident families. For families who choose flat rents, the HA must conduct a reexamination of family composition and community service requirements (WHEN APPLICABLE) at least annually, and must include a reexamination of family income at least once every three years.

- a) Each family will be required to furnish information in Section III (A) of the Dwelling Lease and in the Community Service Policy. Verifications acceptable to the HA shall be obtained and determinations made. In the event of failure or refusal of resident to report the necessary information, the HA may terminate the Lease. This reexamination shall be done at least 30-days and not more than 120-days prior to the anniversary month. The new rent shall take effect on the anniversary month.
- b) Records shall be maintained to insure every resident being reexamined within a 12-month period.
- c) Upon completion of reexamination and verification, resident shall be provided reasonable advanced notice (generally assumed to be 30 days), in writing, prior to the effective date of the following: (A copy of such notification is to be retained in the resident's file.)
  - Any change in rent and the date on which it becomes effective.
  - Any change required in the size of dwelling unit occupied.
  - Any instance of misrepresentation or noncompliance with the terms of the Dwelling Lease and the corrective action(s) to be taken.
  - The amount of the resident rent and the amount of the flat rent.
  - In the event of change in resident circumstances resident will be sent a notice to report to the management office at a specified date and time to execute a new first page of the lease.
- d) If this HA determines that the size of the premises is no longer appropriate for resident's needs, the resident may be required to transfer to another unit as outlined in the Transfers Section.

#### **2. Special Reexaminations:**

Pre-scheduled extensions of admission or continued occupancy determinations, and will be considered for the following reasons:

- a) If it is impossible to determine annual family income accurately due to instability of family income and/or family composition, a temporary determination of income and rent is to be made and a special reexamination shall be scheduled for 30, 60, or 90-days, depending on circumstances. The resident shall be notified, in writing, of the date of the special reexamination.
- b) If the family income can be anticipated at the scheduled time, the reexamination shall be completed and appropriate actions taken. If a reasonable anticipation of income cannot be made, another special reexamination shall be prescribed and the same procedure followed as stipulated in the preceding paragraph until a

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- reasonable estimate can be made.
- c) Rents determined at special reexaminations shall be made effective as noted in the next section.
  - d) **When a family qualifies for an earned income disallowance, the date for their next regular reexamination shall be permanently adjusted to be 12 months following the date that the income disallowance began.**
  - e) Families reporting zero income will have their circumstances examined according to the special reexamination section until they have a stable income. Regular or recurring monetary or non-monetary contributions from persons not residing in the dwelling unit for any purpose shall be considered income.

### 3. Procedures:

- 90 to 120 days prior to the anniversary date of lease, the HA will mail the resident a notice and appointment date for reexamination.
- At the time of reexamination, all adult members of the household will be required to complete and sign all applicable forms required by the HA and HUD to determine family composition and income.
- Income, allowances, Social Security numbers, and such other data as is deemed necessary will be verified, and all verified findings will be filed in the resident's folder.
- An EIV report(s) will be generated prior to the reexamination interview.
- A credit check may be run on each family at reexamination to help detect any unreported income, family members not reported on the lease, etc.
- Verified information will be analyzed and a determination made with respect to:
  - Eligibility of the resident as a family or as the remaining member of a family;
  - Unit size required for the family (using the Occupancy Guidelines); and
  - Rent the family should pay.
- Residents with a history of sporadic or multiple temporary jobs whose reexamination occurs when they are not employed will have income anticipated based on past and anticipated employment when a pattern can be determined. Residents with seasonal or part-time employment of a cyclical nature will be asked for third party documentation of their employment including start and ending dates.
- Income shall be computed in accordance with the definitions and procedures set forth in Federal regulations and this policy.

### 4. Action Following Reexamination:

- a) If there is any change in rent,
  - A new lease agreement will be executed,
  - A Notice of Rent Adjustment will be executed, or
  - A replacement first page to lease agreement will be executed.
- b) If any change in the unit size is required, the resident will be placed on a transfer list in accordance with the transfer criteria described in this policy and moved to an appropriate unit when one becomes available.

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### **XI. INTERIM RENT ADJUSTMENTS**

#### **A. Adjusting Rent between Regular Reexaminations**

1. Residents are required to report all changes in income, family composition or status to the HA in writing within 10 calendar days of the occurrence. Failure to report in writing within the 10 calendar days may result in a retroactive rent increase, but not a retroactive credit or rent reduction. Residents are also required to report interim increases in income if they have been granted interim rent reductions.
2. The HA wishes to encourage families to improve their economic circumstances, so most changes in family income between reexaminations will not result in a rent change.
3. Complete verification of the circumstances applicable to rent adjustments must be documented and approved by the HA.
4. The HA will process interim adjustments in rent as follows:
  - a) Income Change:  
The HA action:
    - Decrease in family income for any reason, except for decrease that lasts fewer than 30-days. The HA will process an interim reduction in rent if the income decrease will last more than 30-days. Decreases in income resulting from welfare fraud or from welfare cuts for failure to comply with economic self-sufficiency requirements are not eligible for rent reductions.
    - Increase in family income following the HA granting of interim rent decrease. The HA will process an interim increase for income increases that follow interim rent reductions.
    - Increase in income because a person with income (from any source) joins the household. The HA will process an interim increase.
    - Increase in earned income from existing employment of a current household member. The HA will defer the increase to the next regular reexamination.
    - Increase in income from any new source. The HA will process an interim increase unless the individual is eligible for an earned income disallowance. The disallowance will be granted.
    - Incremental increases in family income due to pay increases or raises from existing employment. The HA will defer the increase to the next regular reexamination.
    - Increase in unearned income (e.g. COLA adjustment for social security). The HA will defer the increase to the next regular reexamination.
  - b) Resident Misrepresentation:
    - The HA will process an interim increase in rent if the resident has misrepresented or failed to report facts upon which rent is based, so the rent the resident is paying is less than it should have been. The HA will apply any increase in rent retroactive to the month following the month in which the misrepresentation occurred, or
    - Based on circumstances the HA may evict.



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### **B. Effective Date of Adjustments:**

Residents will be notified in writing of any rent adjustment and the effective date of the action.

1. Rent decreases go into effect the first of the month following the actual date of decrease and/or the date resident reported the decrease, whichever is later. Income decreases reported or verified after the resident accounting cut-off date will be effective the first of the second month with a credit retroactive to the first month.
2. Rent increases (except those due to misrepresentation or late reporting) require reasonable advanced notice (generally assumed to be 30 days) and become effective the first of the second month following the increase in income.\

## **XII. LEASE TERMINATION PROCEDURES**

### **A. General Policy: Lease Termination:**

No resident's lease shall be terminated except in compliance with HUD regulations, the lease terms, and state law.

### **B. Notice Requirements:**

1. No resident shall be given a Notice of Lease Termination without being told by the HA in writing the reason for the termination and the requirements necessary to cure deficiencies if curable.
  - The resident must also be informed of his/her right to request a hearing in accordance with the Grievance Procedure, and be given the opportunity to make such a reply as he/she may wish.
  - Lease terminations for certain actions are not eligible for the Grievance Procedure, specifically: any criminal activity that threatens the health, safety, or right to peaceful enjoyment of the premises of other residents or the HA employees; and any drug-related criminal activity.
2. Notices of lease termination shall be in accordance with the lease.

### **C. Record-keeping Requirements:**

A written record of every termination and/or eviction shall be maintained by the HA, and shall contain the following information:

- Name of resident, race, ethnicity and unit number;
- Date of the Notice of Lease Termination and any other state or local notices required, which may be on the same form and run concurrently;
- Specific reason(s) for the Notice(s), with section of the lease violated, and other facts pertinent to the issuing of the Notice(s) described in detail;
- Date and method of notifying resident; and
- Summaries of any conferences held with resident including dates, names of conference participants and conclusions.

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- All debts owed to PHAs will be recorded in the EIV system.

### **XIII. UTILITIES**

In some of the HA's developments, residents pay the cost of certain utilities directly to the supplier. At these properties, resident rents are reduced by an allowance for utilities developed by the HA in consultation with the utility supplier.

#### **A. Resident-Paid Utilities:**

The following requirements apply to residents living in developments with resident-paid utilities:

1. Each resident will receive a monthly utility allowance that reflects a reasonable amount of utilities for the specific size and type of unit occupied.
2. When a resident's Total Tenant Payment is less than the utility allowance, the HA may pay a utility reimbursement, equal to the difference between one month's total tenant payment and the utility allowance, to the utility company on the resident's behalf.
3. It may be suggested to the resident to use a "Budget" plan, which protects the resident from seasonal fluctuations in utility bills and ensures adequate heat in the winter.
4. When a resident makes application for utility service in his/her own name, he or she shall sign a third-party notification agreement so that the HA will be notified if the resident fails to pay the utility bill.
5. If an applicant is unable to get utilities connected because of a previous balance owed the utility company at a prior address, applicant will not be admitted and will receive a Denial of Assistance.
6. Maintaining utilities is the resident's obligation under the HA's lease. Failure to maintain utilities is grounds for lease termination and eviction.

#### **B. Excess Utility Charges:**

Check metered developments or buildings: In buildings that are check metered, residents shall have consumption based utility allowances that reflect the size and type of units and actual equipment provided by the HA. Check meters shall be read by the HA and each resident charged for consumption in excess of the utility allowance.

### **XIV. FLAT RENTS/CEILING RENTS**

#### **A. Intent and Purpose:**

Ceiling rents provide an incentive to remain in public housing to families whose flat rents were reduced to income based rents because of a hardship and whose incomes then increased so that an income based rent is unreasonable for the housing being provided. The ceiling rent is thus in effect only for the portion of the year between the family's

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interim increase in rent and their next annual reexamination (when they can elect the flat rent).

### **B. Establishing Ceiling Rents:**

The HA has established ceiling rents for all dwelling units inventory wide. Ceiling rents for a class of units are based on the size, location or other characteristic that are unit based. The HA may revoke or raise ceiling rents at any time after giving reasonable notice to the affected residents.

### **C. Calculating Ceiling Rents:**

The HA will determine the minimum ceiling rents that can be charged for a unit. Ceiling rents are based on the flat rent plus any applicable utility allowance but never less than 75% of the average operating cost for units at the development.

### **D. What the Resident Pays:**

Residents in units where ceiling rents are in effect pay the lower of the ceiling rent or income based rent.

### **E. Ceiling Rent Adjustments:**

Ceiling rents will be adjusted annually to the level of the “flat” rents plus the utility allowance.

### **F. Flat Rents:**

**NOTE:** The family must be offered the opportunity to go on “flat” or “income based” rent and the HA must maintain documentation of the offer and selection.

Flat rents are market based rents. They vary by unit size and type and by development location. Once each year, only at admission or at the annual reexamination, all residents are offered the choice of paying an income based rent or the flat rent. Flat rents represent the actual market value of the HA's housing units. The HA will generally consider the following information in developing its flat rent schedule:

- Rents of non-assisted rental units in the immediate neighborhood;
- Size of the HA's units compared to non-assisted rental units from the neighborhood;
- Age, type of unit and condition of the HA's units compared to non-assisted rental units from the neighborhood;
- Land use in the surrounding neighborhood;
- Amenities (childcare, laundry facilities, playgrounds, community rooms, social services, education/job training programs, etc.) at the HA's properties and in the surrounding neighborhood;
- Crime in the HA's developments and the surrounding neighborhood;
- Quality of local schools serving the HA development;
- Availability of public transportation at the HA development; and
- Availability of accessible units for persons with mobility impairments.

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### **G. Annual Update of Flat Rents:**

The HA shall review the Flat Rent structure annually and adjust the rents as needed. Residents on flat rent will not be affected by flat rent updates until their next regular reexamination.

### **H. Reexamination of Families on Flat Rents:**

Families paying flat rents are required to recertify income only every three years, rather than annually, although they are still required to participate in an Annual Reexamination in order to ensure that unit size is still appropriate and Community Service requirements are met.

## **XV. PROCEDURES TO BE USED IN DETERMINING INCOME AND RENT**

### **A. Annual Income:**

Annual income is the anticipated total income from all sources, including net income derived from assets, received by the family head and spouse (even if temporarily absent) and by each additional family member including all net income from assets for the 12-month period following the effective date of initial determination or reexamination of income, exclusive of income that is temporary, non-recurring, or sporadic as defined below, or is specifically excluded from income by other federal statute. Annual income includes but is not limited to:

1. The full amount, before any payroll deductions, of wages and salaries, overtime pay, commissions, fees, tips and bonuses, and other compensation for personal services;
2. The net income from operation of a business or profession, including any withdrawal of cash or assets from the operation of the business. Expenditures for business expansion or amortization of capital indebtedness shall not be used as deductions in determining the net income from a business. An allowance for the straight line depreciation of assets used in a business or profession may be deducted as provided in IRS regulations. Withdrawals of cash or assets will not be considered income when used to reimburse the family for cash or assets invested in the business;
3. Interest, dividends, and other net income of any kind from real or personal property. Expenditures for amortization of capital indebtedness shall not be used as deductions in determining net income. An allowance for the straight line depreciation of real or personal property is permitted. Withdrawals of cash or assets will not be considered income when used to reimburse the family for cash or assets invested in the property  
Name of resident, race, ethnicity, and unit number;

**NOTE:** If the family has net family assets in excess of \$5,000, annual income shall include the greater of the actual income derived from all net family assets or a percentage of the value of such assets based on the current passbook savings rate as determined by HUD;

4. The full amount of periodic payments received from Social Security, annuities, insurance policies, retirement funds, pensions, disability or death benefits, and other

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similar types of periodic receipts (See below for treatment of delayed or deferred periodic payment of social security or supplemental security income benefits.);

5. Payments in lieu of earnings, such as unemployment and disability compensation, worker's compensation, and severance pay (See below concerning treatment of lump-sum additions as family assets.);
6. All welfare assistance payments (Temporary Assistance for Needy Families, General Assistance) received by or on behalf of any family member;
7. Periodic and determinable allowances, such as alimony and child support payments, and regular cash and non-cash contributions or gifts received from agencies or persons not residing in the dwelling made to or on behalf of family members; and

**NOTE:** Regular contributions (including non-cash contributions) to the household must be considered income if they are not for medical expenses. For example, if someone who is not a household member pays the telephone bill or car payment every month, or buys gas, tires and insurance for the car, these contributions would be considered income for the purposes of the public housing program.

8. All regular pay, special pay, and allowances of a family member in the Armed Forces. (See below concerning pay for exposure to hostile fire.)

### **B. Items not Included in Annual Income:**

1. Income from the employment of children (including foster children) under the age of 18 years;
2. Payments received for the care of foster children or foster adults (usually individuals with disabilities, unrelated to the resident family, who are unable to live alone);
3. Lump sum additions to family assets, such as inheritances, insurance payments (including payments under health and accident insurance, and worker's compensation), capital gains, one-time lottery winnings, and settlement for personal property losses (but see above if the payments are or will be periodic in nature);
4. Amounts received by the family that are specifically for, or in reimbursement of, the cost of medical expenses for any family member;
5. Income of a live-in aide provided the person meets the definition of a live-in aide;
6. The full amount of student financial assistance paid directly to the student or the educational institution;
7. The special pay to a family member serving in the Armed Forces who is exposed to hostile fire;
8. Certain amounts received that are related to participation in the following programs:
  - a) Amounts received under HUD funded training programs (e.g. Step-up program: excludes stipends, wages, transportation payments, child care vouchers, etc. for the duration of the training);

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- b) Amounts received by a person with disabilities that are disregarded for a limited time for purposes of SSI and benefits that are set aside for use under a Plan to Attain Self-sufficiency (PASS);
  - c) Amounts received by a participant in other publicly assisted programs that are specifically for, or in reimbursement of, out-of-pocket expenses incurred (special equipment, clothing, transportation, child care, etc.) to allow participation in a specific program;
  - d) A resident services stipend. A resident services stipend is a modest amount (not to exceed \$200/month) received by a public housing resident for performing a service for the HA, on a part-time basis, that enhances the quality of life in public housing. Such services may include but are not limited to, fire patrol, hall monitoring, lawn maintenance, and resident initiatives coordination. No resident may receive more than one such stipend during the same period of time; and
  - e) Incremental earnings and/or benefits resulting to any family member from participation in qualifying state or local employment training program (including training programs not affiliated with the local government), and training of family members as resident management staff. Amounts excluded by this provision must be received under employment training programs with clearly defined goals and objectives, and are excluded only for a limited period as determined in advance by the HA;
9. Temporary, non-recurring, or sporadic income (including gifts);
10. Reparation payments paid by foreign governments pursuant to claims filed under the laws of that government by persons who were persecuted during the Nazi era;
11. Earnings in excess of \$480 for each full-time student 18 years old or older (excluding the head of the household and spouse);
12. Adoption assistance payments in excess of \$480 per adopted child;
13. The incremental earnings and benefits to any resident:
- Whose annual income increases due to employment of a family member who was unemployed for one or more years previous to employment;
  - Whose annual income increases as the result of increased earnings by a family member during participation in any economic self sufficiency or other job training program; or
  - Who's annual income increases due to new employment or increased earnings of a family member during or within six months of receiving state funded assistance, benefits or services, will not be increased during the exclusion period.

**For purposes of this paragraph, the following definitions apply:**

- a) State funded assistance, benefits or services means any state program for temporary assistance for needy families funded under Part A of Title IV of the Social Security Act, as determined by the HA in consultation with the local agencies administering Temporary Assistance for Needy Families (TANF) and Welfare-to-Work programs. The TANF program is not limited to monthly income maintenance, but also includes such benefits and services as one-time payments, wage subsidies, and transportation assistance: provided that the total

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- amount over a six-month period is at least \$500.
- b) During the 12-month period beginning when the member first qualifies for a disallowance, the HA must exclude from Annual Income any increase in income as a result of employment. For the 12 months following the exclusion period, 50% of the income increase shall be excluded.
  - c) Regardless of how long it takes a resident to work for 12 months (to qualify for the first exclusion) or the second 12 months (to qualify for the second exclusion), the maximum period for the disallowance (exclusion) is 48 months.
  - d) The disallowance of increased income under this section is only applicable to current residents and will not apply to applicants who have begun working prior to admission (unless their earnings are less than would be earned working ten hours per week at minimum wage, under which they qualify as unemployed).
- 14. Deferred periodic payments of SSI and Social Security (SS) benefits that are received in a lump sum payment;
  - 15. Amounts received by the family in the form of refunds or rebates under state or local law for property taxes paid on the dwelling unit;
  - 16. Amounts paid by a state agency to a family with a developmentally disabled family member living at home to offset the cost of services and equipment needed to keep the developmentally disabled family member at home;
  - 17. Amounts specifically excluded by any other Federal Statute from consideration as income for purposes of determining eligibility or benefits under a category of assistance programs that includes assistance under the United States Housing Act of 1937. (A notice will be published by HUD in the Federal Register identifying the benefits that qualify for this exclusion. Updates will be published and distributed when necessary.)

**The following is a list of benefits excluded by other Federal Statute:**

- The value of the allotment provided to an eligible household for coupons under the Food Stamp Act of 1977 [7 USC 2017 (h)];
- Payments to volunteers under the Domestic Volunteer Service Act of 1973 [42 USC 5044 (g), 5088];

**Examples of programs under this Act include but are not limited to:**

- The Retired Senior Volunteer Program (RSVP), Foster Grandparent Program (FGP), Senior Companion Program (SCP), and the Older American Committee Service Program;
  - National Volunteer Antipoverty Programs such as VISTA, Peace Corps, Service Learning Program, and Special Volunteer Programs;
  - Small Business Administration Programs such as the National Volunteer Program to Assist Small Business and Promote Volunteer Service to Persons with Business Experience, Service Corps of Retired Executives (SCORE), and Active Corps of Executives (ACE).
- Payments received under the Alaska Native Claims Settlement Act [43 USC.1626 (a)];

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- Income derived from certain sub marginal land of the United States that is held in trust for certain Indian tribes [25 USC. 459(e)];
- Payments or allowances made under the Department of Health and Human Services' Low-income Home Energy Assistance Program [42 USC 8624 (f)];
- Payments received under programs funded in whole or in part under the Job Training Partnership Act [29 USC 1552 (b)];
- Income derived from the disposition of funds of the Grand River Band of Ottawa Indians [Pub. L. 94-540, 90 State 2503-04];
- The first \$2,000 of per capita shares received from judgment funds awarded by the Indian Claims Commission or the Court of Claims (25 USC 1407-08), or from funds held in trust for an Indian Tribe by the Secretary of Interior [25 USC 117b, 1407]; and
- Amounts of scholarships funded under Title IV of the Higher Education Act of 1965 including awards under the Federal work-study program or under the Bureau of Indian Affairs student assistance programs [20 USC 1087].

**Examples of Title IV programs include but are not limited to:**

- Basic Educational Opportunity Grants (Pell Grants),
  - Supplemental Opportunity Grants,
  - State Student Incentive Grants,
  - College Work Study, and
  - Byrd Scholarships.
- Payments received from programs funded under Title V of the Older Americans Act of 1965 [42 USC 3056 (f)]:

**Examples of programs under this act include but are not limited to:**

- Senior Community Services Employment Program (CSEP),
  - National Caucus Center on the Black Aged,
  - National Urban League,
  - Association National Pro Personas Mayors,
  - National Council on Aging,
  - American Association of Retired Persons,
  - National Council on Senior Citizens, and
  - Green Thumb.
- Payments received after January 1, 1989 from the Agent Orange Settlement Fund or any other fund established in the Agent Orange product liability litigation;
  - Payments received under the Maine Indian Claims Settlement Act of 1980 (Pub. L. 96-420, 94 Stat. 1785);
  - The value of any childcare provided or arranged (or any amount received as payment for such care or reimbursement for costs incurred for such care) under the Child Care and Development Block Grant Act of 1990 [42 USC 9858(q)];
  - Earned income tax credit refund payments received on or after January 1, 1991 [26 USC 32 (j)].



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- Payments by the Indian Claims Commission to the Confederated Tribes and Bands of Yakima Indian Nation or the Apache Tribe of Mescalero Reservation;
- Allowances, earnings and payments to AmeriCorps participants under the National and Community Service Act of 1990;

### **C. Anticipating Annual Income:**

If it is not feasible to anticipate income for a 12-month period, the HA may use the annualized income anticipated for a shorter period, subject to an interim adjustment at the end of the shorter period. (This method would be used for teachers who are only paid for nine months, or for residents receiving unemployment compensation.)

### **D. Adjusted Income:**

Adjusted income (the income upon which rent is based) means annual income less the following deductions and exemptions:

#### **1. For All Families:**

##### a) Child Care Expenses:

A deduction of amounts anticipated to be paid by the family for the care of children under 13 years of age for the period for which Annual Income is computed, BUT ONLY when such care is necessary to enable a family member to be gainfully employed, to seek employment or to further his/her education. Amounts deducted must be un-reimbursed expenses and shall not exceed: (a) the amount of income earned by the family member released to work; or (b) an amount determined to be reasonable by the HA when the expense is incurred to permit education or to seek employment.

##### b) Dependent Deduction:

An exemption of \$480 for each member of the family residing in the household (other than the head of household, or spouse, Live-in Aide, foster adult or foster child) who is under 18 years of age or who is 18 years of age or older and disabled, or a full-time student.

##### c) Work related Disability Expenses:

A deduction of un-reimbursed amounts paid for attendant care or auxiliary apparatus expenses for family members with disabilities where such expenses are necessary to permit a family member(s), including the disabled member, to be employed. In no event may the amount of the deduction exceed the employment income earned by the family member(s) freed to work.

**NOTE:** Equipment and auxiliary apparatus may include but are not limited to: wheelchairs, lifts, reading devices for the visually impaired, and equipment added to cars and vans to permit their use by the disabled family member. Also included would be the annualized cost differential between a car and the cost of a van required by the family member with disabilities.

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- 1) For families without medical expenses: the amount of the deduction equals the cost of all un-reimbursed expenses for work related disability expense less three percent of Annual Income, provided the amount so calculated does not exceed the employment income earned.
- 2) For elderly or disabled families with medical expenses: the amount of the deduction equals the cost of all un-reimbursed expenses for work related disability that do not exceed the employment income earned. If this amount is less than three percent of annual income, the remainder of the three percent will be taken from medical expenses. If disability expenses are greater than three percent of annual income, all un-reimbursed medical expenses as defined below will be deducted.

### 2. Elderly and Disabled Families Only:

#### a) Medical Expense Deduction:

A deduction of un-reimbursed medical expenses, including insurance premiums, anticipated for the period for which annual income is computed. Medical expenses include but are not limited to: services of physicians and other health care professionals, services of health care facilities, health insurance premiums (including the cost of Medicare), prescription and non-prescription medicines, transportation to and from treatment, dental expenses, eyeglasses, hearing aids and batteries, attendant care (unrelated to employment of family members), and payments on accumulated medical bills. To be considered by the HA for determining a deduction from income, the expenses claimed must be verifiable.

- 1) For elderly or disabled families without work related disability expenses: The amount of the deduction shall equal total medical expenses less three percent of annual income.
- 2) For elderly or disabled families with both work related disability expenses and medical expenses: the amount of the deduction is calculated as described above.

#### b) Elderly/Disabled Household Exemption:

An exemption of \$400 per household. (See appendix.)

**NOTE:** Optional Deductions/Exemptions: The HA may amend this policy and grant further deductions. Any such deduction will be noted here.

### **E. Computing Rent:**

#### **1. The TTP.**

The first step in computing rent is to determine each family's Total Tenant Payment (TTP). Then, if the family is occupying a unit that has resident paid utilities, the Utility Allowance is subtracted from the TTP. The result of this computation, if a positive number, is the tenant rent. If the TTP less the utility allowance is a negative number, the result is the utility reimbursement, which may be paid to the resident or, directly to the utility company by the HA.

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### 2. TTP is the highest of:

- 30% of adjusted monthly income; or
- 10% of monthly income; but never less than the...
- \$50 minimum rent; and never more than the...
- Flat rent/ceiling rent, if chosen by the family.

**NOTE:** It is possible for public housing residents to qualify for a utility reimbursement despite the requirement of a minimum rent. For example, if a public housing family's TTP is the minimum rent of \$25 and the HA's utility allowance for the size and type unit the family has selected is \$60, the family would receive a utility reimbursement of \$35 (\$60 less \$25) for resident purchased utilities.

### 3. Tenant Rent:

Tenant rent is computed by subtracting the utility allowance for resident supplied utilities (if applicable) from the TTP. In developments where the HA pays all utility bills directly to the utility supplier, tenant rent equals TTP.

### 4. Minimum Rent:

The minimum rent shall be \$50 per month, but a hardship exemption shall be granted to residents who can document that they are unable to pay the \$50 because of a long-term hardship (over 90 days). Examples under which residents would qualify for the hardship exemption to the minimum rent would be limited to the following:

- The family has lost eligibility for or is applying for an eligibility determination for a Federal, State or local assistance program;
- The family would be evicted as result of the imposition of the minimum rent requirements;
- The income of the family has decreased because of changed circumstances, including loss of employment;
- A death in the family has occurred; or
- Other circumstances as determined by the HA

### 5. Rent Choice:

At initial certification and at each subsequent annual reexamination the resident shall be offered a choice of paying either the income based rent or the flat rent applicable to the unit they will be occupying.

## XVI. COMPLAINTS AND GRIEVANCE PROCEDURES

Complaints and Grievance Procedures shall be processed in accordance with the HA approved Grievance Procedure. The grievance procedure is incorporated into this document by reference and is the guideline to be used for grievances and appeals. The grievance policy

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is only applicable to Public Housing residents of the HA. Applicants are only entitled to an informal hearing, NOT THE GRIEVANCE POLICY, upon proper request.

### **XVII. SECURITY DEPOSITS**

A security deposit shall be made pursuant to a schedule posted in the HA office. Security deposits may be refunded as provided in the Lease and in this procedure. Any balance of the security deposit shall be returned by mail to the former resident as defined in the lease and by state law. A detailed statement of all charges (rent, late fees, damages, etc.) made against the security deposit will be included and mailed within 35 days to the last known address of the resident. No security deposit shall be returned until keys to the unit have been returned to the HA. All security deposits for pets shall be made in accordance with the HA pet policy. There is no interest accrued or paid on any security deposit refunds, if any.

### **XVIII. PET RULE**

#### **A. Pets:**

This HA has adopted a pet policy for use in all HA property. All Residents must comply with this pet policy. **FAILURE TO COMPLY WITH THE PET POLICY WILL BE CONSIDERED A SERIOUS BREACH OF THE LEASE.** Residents will comply with Section IV (P) of their dwelling lease that states, "Not to keep or allow dogs, cats, or any other animals or pets on the premises without prior written consent of Landlord."

#### **B. Assistance Animals:**

The Pet Policy does not apply to assistance animals that are used to assist persons with disabilities. (See assistance animal policy).

**NOTE:** Nothing in this policy limits or impairs the rights of persons with disabilities. Provided, however, the HA reserves the right to have a doctor or appropriate professional chosen by the HA to evaluate each case or conduct an examination of the person and/or records to assist the HA in determining whether the requested animal is an assistance animal or a pet.

### **XIX. DECONCENTRATION RULE**

#### **A. Objective:**

The objective of the Deconcentration Rule for public housing units is to ensure that families are housed in a manner that will prevent a concentration of poverty families and/or a concentration of higher income families in any one development. The specific objective of the HA is to house no less than 40 percent of its public housing inventory with families that have income at or below 30% of the area median income by public housing development. Also the HA will take actions to insure that no individual

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development has a concentration of higher income families in one or more of the developments. The HA will track the status of family income, by development, on a monthly basis by utilizing income reports generated by the HA's computer system.

### **B. Exemptions:**

The following are exempt from this rule.

- Public housing development with fewer than 100 public housing units. A covered development is defined as any single development or contiguous developments that total over 100 units.
- Public housing developments, which house only elderly persons or persons with disabilities, or both.
- Public housing developments, which consist of only one general occupancy family public housing development.
- Public housing developments approved for demolition or conversion to resident based assistance.
- Mixed financing developments.

### **C. Actions:**

To accomplish the deconcentration goals, the HA will take the following actions:

1. At the beginning of each HA fiscal year, the HA will establish a goal for housing 40% of its new admissions with families whose incomes are at or below the area median income. The annual goal will be calculated by taking 40% of the total number of move-ins from the previous HA fiscal year.
2. To accomplish the goals of deconcentration:
  - a) Not less than 40% of the HA admissions on an annual basis shall be to families that have incomes at or below 30% of area median income (extremely low-income), and
  - b) The HA shall determine the average income of all families residing in all the HA's covered developments. The HA shall determine the average income of all families residing in each covered development. In determining average income for each development, this HA has adjusted its income analysis for unit size in accordance with procedures prescribed by HUD. The HA shall determine whether each of its covered developments falls above, within or below the established income range. The established income range is from 85 to 115 percent (inclusive) of the average family income, except that the upper limit (115 percent) shall never be less than the income at which a family would be defined an extremely low-income family.

**NOTE:** To calculate the extremely low-income figure: Find the average family size (HA wide) of the covered developments and extrapolate the amount from the HUD published extremely low-income limits. For example, if the average family size is 2.6, the two person limit may be \$12,400 and the three person limit may be \$13,950. Therefore, the figure will be \$12,400 plus 60% of the difference between the two figures, which is \$13,330. This figure will be recalculated upon receipt of new HUD determined income limits.

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**NOTE:** Fair housing requirements. All admission and occupancy policies for public housing programs must comply with Fair Housing Act requirements and with regulations to affirmatively, further fair housing. The HA may not impose any specific income or racial quotas for any development or developments.

### **XX. COMMUNITY SERVICE POLICY**

#### **A. Each non-exempt adult public housing resident must:**

1. Contribute eight hours of community service;
2. Participate in a self-sufficiency program for eight hours in each month; or
3. Perform eight hours per month of combined activities as described in items one and two.

**NOTE:** Community service is the performance of voluntary work or duties that are a public benefit, and that serve to improve the quality of life, enhance resident self-sufficiency, or increase resident self responsibility in the community. Community service does not include political activities.

**NOTE:** For purposes of the community service requirement an adult is a person 18 years or older.

#### **B. Exempt: An adult who:**

1. Is 62 years of age or older
2. Qualifies with disabilities that prevent the individual's compliance. The individual must provide appropriate documentation to support the qualifying disability, which may include self certification. In addition, any person who is the primary caretaker of such individual is exempt.
3. Is engaged in work activities as defined in section 407(d) of the Social Security Act.
4. Is participating at least eight hours a month in a welfare-to-work program.
5. Is a member of a family receiving assistance from and in compliance with a State program funded under Part A, Title IV of the Social Security Act.
6. Currently working at least 20 hours per week.

#### **C. Proof of Compliance:**

Each head of household must present to the HA office documentation that he/she and all other persons eighteen years of age or older living in the household, who are not exempt, have complied with this section. Documentation may include a letter from the agency on letterhead or other official document. Any such documentation shall be verifiable by the HA. Failure to comply with the Community Service Requirement and to provide appropriate verifiable documentation prior to the date required shall result in the lease not

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being renewed by the HA. Provided, however, that the HA may allow the family member who is not in compliance to complete the requirements within the following year as follows: The head of household and the person not in compliance shall sign an agreement stating that the deficiency will be cured within the next twelve months. The head of household annually at reexamination shall make proof of compliance with the agreement. Failure to comply with the agreement shall result in the lease being terminated for such non-compliance, unless the person(s) other than the head of household no longer resides in the unit and has been removed from the lease.

**NOTE: FAILURE TO COMPLY WITH THE COMMUNITY SERVICE REQUIREMENT AND TO PROVIDE APPROPRIATE VERIFIABLE DOCUMENTATION PRIOR TO THE DATE REQUIRED SHALL RESULT IN THE LEASE NOT BEING RENEWED BY THE HA.**

**D. Changes in Exempt or Non-Exempt Status will be handled during an interim or annual reexamination.**

**E. Eligible activities:**

**1. Community Service:**

- Work at a local public or non-profit institution, including but not limited to: school, Head start, other before or after school program, child care center, hospital, clinic, hospice, nursing home, recreation center, senior center, adult day care program, homeless shelter, feeding program, food bank (distributing either donated or commodity foods), or clothes closet (distributing donated clothing), etc.;
- Work with a non-profit organization that serves HA residents or their children, including but not limited to: Boy Scouts, Girl Scouts, Boys or Girls Club, 4-H Club, PAL, other children's recreation, mentoring, or education programs, Big Brothers or Big Sisters, Garden Center, Community clean-up programs, Beautification programs, etc.;
- Work with any program funded under the Older Americans Act, including but not limited to: Green Thumb, Service Corps of Retired Executives, Senior meals programs, Senior Center, Meals on Wheels, etc.;
- Work with any other public or non-profit youth or senior organizations;
- Work as an officer of a development or citywide resident organization;
- Work as a member of the Resident Advisory Committee;
- Work at the Authority to help improve physical conditions (for example as a floor, grounds or building captain);
- Work at the Authority to help with children's programs;
- Work at the Authority to help with senior programs;
- Helping neighborhood groups with special projects;
- Working through a resident organization to help other residents with problems, serving as an officer in a Resident Organization, serving on the Resident Advisory Board; and
- Caring for the children of other residents so they may volunteer.

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**NOTE:** HA's should notify their insurance companies if residents will be serving at the HA

### **2. Eligible Self-sufficiency Activities:**

Eligible self-sufficiency activities in which residents may engage include, but are not limited to:

- Job readiness programs;
- Job training programs;
- Skills training programs;
- Higher education (Junior college or college);
- GED classes;
- Apprenticeships (formal or informal);
- Substance abuse or mental health counseling;
- English proficiency or literacy (reading) classes;
- English as a second language classes;
- Budgeting and credit counseling; and
- Carrying out any activity required by the Department of Public Assistance as part of welfare reform.

### **XXI. CLOSING OF FILES AND PURGING INACTIVE FILES**

This HA will purge inactive files, after they have been closed for a period of three years, with the exception of troubled cases, or in cases involving a household containing a minor with a reported elevated blood-lead level (EBL) the record is retained indefinitely.

During the term of tenancy and for three years thereafter the HA will keep the resident file. In addition, the HA must keep for at least three years the following records:

- Records with racial, ethnic, gender and disability status data for applicants and residents.
- The application from each ineligible family and the notice that the applicant is ineligible.
- HUD required reports and other HUD required files.
- Lead based paint inspection reports as required.
- Unit inspection reports.
- Accounts and other records supporting the HA and financial statements.
- Other records which HUD may specify.

The HA shall retain all data for current residents for audit purposes. No information shall be removed which may affect an accurate audit.

### **XXII. PROGRAM MANAGEMENT PLAN**

(Organization Plan)

Reference the HA's adopted personnel policy for the organization plan of the HA.



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### **XXIII. OCCUPANCY BY OVER INCOME FAMILIES IN CERTAIN PUBLIC HOUSING**

(Only Applies to HA's with less than 250 Units)

A HA that owns or operates fewer than 250 units, may rent a unit in a public housing development to an over income family, in accordance with its HA annual plan under the following circumstances.

- There are no eligible families on the waiting list; or
- There are no eligible families applying for assistance in that month.
- Before offering the unit to an over income family, the HA publicizes the availability of the unit for eligible families , including publishing a 30 day notice in one (1) newspaper of general circulation.
- The over income family rents the unit on a month-to-month basis for a rent charge that is not less than the cost to operate the unit.
- The over income family signs an agreement to vacate the unit when needed by an eligible family; and
- The HA gives the over income family notice to vacate the unit when the unit is needed for an eligible family, and this notice is given at least 30 days before the over income family is to vacate.

### **XXIV. COMPLIANCE WITH EQUAL OPPORTUNITY REQUIREMENTS FOR POSTING REQUIRED INFORMATION**

There shall be maintained in each HA office waiting room a bulletin board, which will contain the following posted materials:

- Statement of policies and procedures governing ACOP this policy also outlines the HA's Tenant Selection and Assignment Plan.
- Open occupancy notice (applications being accepted and/or not accepted).
- Directory of housing communities including names, address of project offices, and number of units by bedroom size, number of units specifically designed for the elderly, handicapped, and office hours of all ha facilities.
- Income limits for admission.
- Utility allowances.
- Current schedule of routine maintenance charges/transfer charges.
- Dwelling lease.
- Grievance procedure and hearing officers.
- Fair housing poster.
- "Equal Opportunity in Employment" poster.
- Any current "tenant notices."
- Security deposit charges.

### **XXV. OTHER POLICIES**

Additional policies and charges are attached to the end of this document and are incorporated as if fully set out herein. These policies and charges may be changed from

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time to time, or amended, and such changes or amendments shall be substituted in this document to keep this policy current. All items substituted within this document shall be kept by the HA in a separate file for historical and research purposes.

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### XXVI. APPENDIX “A”

Accessible dwelling units	When used with respect to the design, construction or alteration of an individual dwelling unit, means that the unit is located on an accessible route and when designed, constructed, altered, or adapted can be approached, entered, and used by individuals with physical disabilities. A unit that is on an accessible route and is adaptable and otherwise in compliance with the standards set forth in 24 CFR 8.32 & 40 [the Uniform Federal Accessibility Standards] is “accessible” within the meaning of this paragraph. When an individual dwelling unit in an existing facility is being modified for use by a specific individual, the unit will not be deemed accessible, even though it meets the standards that address the impairment of that individual, unless it also meets the UFAS standards.
Accessible Facility	Means all or any portion of a facility other than an individual dwelling unit used by individuals with physical disabilities. [24 CFR § 8.21]
Catastrophic Involuntary Displacement	Displacement that may be caused by fire, acts of nature
Accessible Route	For persons with a mobility impairment, a continuous unobstructed path that complies with space and reach requirements of the Uniform Federal Accessibility Standards. For persons with hearing or vision impairments, the route need not comply with requirements specific to mobility. [24 CFR § 8.3 & 40.3.5]
Adaptability	Ability to change certain elements in a dwelling unit to accommodate the needs of disabled and non-disabled persons; or ability to meet the needs of persons with different types & degrees of disability. [24 CFR 8.3 & 40.3.5]
Adjusted Family Income	Adjusted Family Income is the income on which total tenant payment is to be based and means the Total Annual Income less the following allowances: A deduction of \$480.00 for each member of the family (other than head of household or spouse) who is: Seventeen (17) years of age or younger or Who is eighteen (18) years of age or older and a verified full-time student and/or Is disabled or handicapped according to this Section. A deduction of dollar amounts anticipated to be paid for the care of children (including foster children) less than thirteen (13) years of age where care is necessary to enable a family member to be gainfully employed or to further his/her education. The dollar amount must be verified and reflect reasonable charges and cannot exceed the amount of income from employment (if employed). A deduction of \$400.00 for Elderly Family whose head, spouse or sole member is sixty-two (62) years of age or older and/or is handicapped or disabled according to this Section. A deduction for any elderly family: That has no Handicapped Assistance Expense, an allowance for medical expenses equal to the amount by which the medical expense shall exceed three (3%) percent of Total Annual Family Income. That has Handicapped Assistance Expenses greater than or equal to three (3%) percent of Total Annual Family Income, an Allowance for Handicapped

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	<p>Assistance computed in accordance with paragraph E of this Section, plus an allowance for medical expenses that is equal to the Family's medical expenses. That has Handicapped Assistance Expenses that are less than three (3%) percent of Total Annual Family Income, an allowance for combined Handicapped Assistance expense and medical expense that is equal to the amount by which the sum of these expenses exceeds three (3%) percent of Total Annual Family Income. Expenses used to compute the deduction cannot be compensated for nor covered by insurance.</p> <p>A deduction for any family that is not an elderly family but has a handicapped or Disabled member other than the head of household or spouse, Handicapped Assistance Expense in excess of three (3%) percent of Total Annual Family Income, but this allowance may not exceed the employment received by family members who are eighteen (18) years of age or older as a result of the Assistance to the Handicapped or Disabled person.</p>
Adult	An adult is a person who has reached his/her 19th birthday or 18 years of age and married (not common law), who has been relieved of the disability of non-age by the juvenile court, or who has been convicted of a crime as an adult under any Federal, State or tribal law. Only persons who are adults shall be eligible to enter into a lease agreement for occupancy.
Alteration	Any change in a facility or its permanent fixtures or equipment. It does not include: normal maintenance or repairs, re-roofing, interior decoration or changes to mechanical systems. [24 CFR 8.3 & 8.23 (b)]
Applicant	A person or a family that has applied for admission to housing.
Area of Operation	The jurisdiction of the HA as described in applicable State law and the HA's Articles of Incorporation.
Assets	Assets mean cash (including checking accounts), stocks, bonds, savings, equity in real property, or the cash value of life insurance policies. Assets do not include the value of personal property such as furniture, automobiles and household effects or the value of business assets. <b>IMPORTANT:</b> See the definition of Net Family Assets, for assets used to compute annual income. (See 24 CFR 5.603 for definition of Net Family Assets)
Auxiliary Aids	Means services or devices that enable persons with impaired sensory, manual, or speaking skills to have an equal opportunity to participate in and enjoy the benefits of programs or activities. [24 CFR 8.3]
Break-Ins	Break-ins mean bona fide attempts at burglary, which are reported to the police department and are subject to verification by written police reports furnished by the Tenant(s).
Care Attendant	A person that regularly visits the unit of a HA resident to provide supportive or medical services. Care attendants are not live-in aides, since they have their own place of residence (and if requested by HA must demonstrate separate residence) and do not live in the public housing unit. Care attendants have no rights of tenancy.
Ceiling Rents	<p>Ceiling rents are the maximum rent under the selection of an income based rent. Effective October 1, 2002 the ceiling rent shall be adjusted to the amount of the flat rent.</p> <p>Ceiling rents are the tenant rent and no utility allowances can be deducted from the ceiling rent amount.</p>
Child	A member of the family, other than the family head or spouse, who is under 18 years of age.

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Child Care Expenses	<p>Amounts anticipated to be paid by the Family for the care of children under 13 years of age during the period for which Annual Income is computed, but only where such care is necessary to enable a Family member to actively seek employment (which shall be documented by the family to the satisfaction of the HA), be gainfully employed or to further his or her education and only to the extent such amounts are not reimbursed. The amount deducted shall reflect reasonable charges for childcare, and, in the case of childcare necessary to permit employment, the amount deducted shall not exceed the amount of income received from such employment. The reasonable amount of charges is determined by the HA, by conducting surveys of local child care providers.</p> <p><b>Note:</b> If the Total Annual Income less the above allowances result in a rent that is less than the established minimum rent, the resident rent will be established at the HA established minimum rent.</p>
Citizen	A citizen or national of the United States.
Co-head of Household	A household where two persons are held responsible and accountable for the family, and where each co-head contributes to the rent.
Community Service Requirement	Each non-exempt adult family member must perform eight (8) hours of qualifying community service per month.
Covered Person	For the purposes of screening and terminating tenancy for criminal activity, a tenant, any member of the tenant's household, a guest, or another person under the tenant's control.
Dependent	A member of the household, other than head, spouse, sole member, foster child, or Live-in Aide, who is under 18 years of age, or 18 years of age or older and disabled, or a full-time student, and qualifies for a \$480 deduction when computing income based rent. [24 CFR 5.603] An unborn child shall not be considered a dependent.
Designated Family	Means the category of family for whom HA elects (subject to HUD approval) to designate a project (e.g. elderly family in a project designated for elderly families) in accordance with the 1992 Housing Act. (PL 96-120)
Designated housing (or designated project)	A project(s), or portion of a project(s) designated for elderly only or for disabled families only in accordance with PL 96-106.
Disabled Family	A family whose head, spouse or sole member is a person with disabilities. (Person with disabilities is defined later in this section.) The term includes two or more persons with disabilities living together, and one or more such persons living with one or more persons including live-in aides determined to be essential to the care and well-being of the person or persons with disabilities. A disabled family may include persons with disabilities who are elderly. [24 CFR 5.403]
Disabled Person	(See Handicapped Person)
Displaced Family	A person, or family, displaced by governmental action, or whose dwelling has been extensively damaged or destroyed as a result of a disaster declared or otherwise formally recognized pursuant to Federal disaster relief laws.
Displaced Person	A person displaced by government action or a person whose dwelling has been extensively damaged or destroyed as a result of a disaster declared or otherwise recognized pursuant to Federal disaster relief laws. This definition is used for eligibility determinations only. It should not be confused with the former

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	Federal preference for involuntary displacement. [(42 USC 1437a(b)(3)]
Divestiture Income	Imputed income from assets, including business assets, disposed of by applicant or resident in the last two years at less than fair market value. (See the definition of Net Family Assets [24 CFR 5.603] in this section.)
Drug	A controlled substance as defined in the Controlled Substances Act. [24 CFR 5.100]
Drug-related Criminal Activity	The illegal manufacture, sale, distribution, or use of a drug, or the possession of a drug with intent to manufacture, sell distribute or use the drug. [24 CFR 5.100]
Elderly Family	A family whose head or spouse (or sole member) is at least 62 years of age. It may include two or more elderly persons living together, and one or more such persons living with one or more persons, including live-in aides, determined to be essential to the care and well-being of the elderly person or persons. An elderly family may include elderly persons with disabilities and other family members who are not elderly. [24 CFR 5.403]
Elderly Person	A person who is at least 62 years of age. [42 USC 1437a(b)(3)]
Eviction	This may include not only official action taken by a court, but also the case when a tenancy has been terminated and the tenant moves out prior to a proceeding being filed with the court or during the pendency of a court proceeding.
Enterprise Income Verification - EIV	A computerized Social Security Number matching system utilized to obtain income information.
Evidence Of Citizenship Or Eligible Immigration Status	The documents that must be submitted to evidence citizenship or eligible immigration status.
Extremely Low Income Family	A Family who's Annual Income is equal to or less than 30% of Area Median Income, as published by HUD.
Familial Status	A single pregnant woman and individuals in the process of obtaining custody of any individual who has not attained the age of 18 years are processed for occupancy the same as single persons. Therefore, a single pregnant woman and individuals in the process of obtaining custody of any individual who has not attained the age of 18 years are processed for occupancy the same as a single persons and only entitled to a one bedroom units. Once the child is born and/or the custody is obtained, the family will qualify for a two bedroom unit and authorized to transfer as outlined in the Transfer Section.
Family	Two or more persons (with or without children) regularly living together, related by blood, marriage, adoption, guardianship or operation of law who will live together in HA housing; OR Two or more persons who are not so related, but are regularly living together, can verify shared income or resources that will live together in HA housing.  <b>Note:</b> By definition, a family must contain a competent adult of at least 19 years of age or 18 years of age and married (not common law) to enter into a contract and capable of functioning as the head of the household. If an individual is 18 and qualifies under the definition of family by being married, the head of household and the spouse must be parties to the lease, if both are residing in the

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	<p>premises.</p> <p>The term family also includes the following terms defined in this Section:  Elderly family  Near elderly family  Disabled family  Displaced person  Single person  Remaining member of a tenant family,  A foster care arrangement, or a kinship care arrangement</p> <p>Other persons, including members temporarily absent (e.g. a child temporarily placed in foster care or a student temporarily away at college), may be considered a part of the applicant family's household if they are living or will live regularly with the family. [24 CFR § 5 and 960]  Live-in Aides may also be considered part of the applicant family's household. However, live-in aides are not family members and have no rights of tenancy or continued occupancy.  Foster Care Arrangements include situations in which the family is caring for a foster adult, child, or children in their home who have been placed there by a public child placement agency, or a foster adult or adults placed in the home by a public adult placement agency. For purposes of continued occupancy: the term family also includes the remaining member of a resident family with the capacity to execute a lease.</p>
Fifty Percent (50%) Of Income For Rent	Families that pay 50% or more of their family income for rent including utilities qualify for a preference, in selecting applicants for admission to public housing.
Flat Rent	<p>The market value of the unit as set by the study conducted by the HA in accordance with HUD rules and regulations. No utility allowances can be deducted from the flat rent amount.</p> <p><b>Note:</b>  For families who choose flat rents, the HA must conduct a reexamination of family composition and community service requirements (WHEN APPLICABLE) at least annually, and must conduct a reexamination of family income at least once every three (3) years.</p>
Foster Children	<p>With the prior written consent of the Landlord, a foster child may reside on the premises. The factors considered by the Landlord in determining whether or not consent is granted may include:  Whether the addition of a new occupant may require a transfer of the family to another unit, and whether such units are available.  The Landlord's obligation to make reasonable accommodation for handicapped persons.</p>
Full -Time Student	A member of a family (other than the head of household or spouse) who is carrying a subject load that is considered full-time for day students under the standards and practices of the educational institution attended. Educational institution shall include but not be limited to: college, university, secondary school, vocational school or trade school [24 CFR 5.603]. The attended educational institution will supply verification.

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Guest	A guest is a person temporarily staying in the unit with the consent of a tenant or other member of the household who has express or implied authority to so consent on behalf of the tenant. The requirements of the lease apply to a guest as so defined.
Handicapped Assistance Expense	Reasonable expenses that are anticipated, during the period for which Total Annual Family Income is computed, for attendant care and auxiliary apparatus for a Handicapped or Disabled family member and that are necessary to enable a family member (including the Handicapped or Disabled member) to be employed, provided that the expenses are neither paid to a member of the family nor reimbursed by an outside source.
Hazardous Duty Pay	Pay to a family member in the Armed Forces away from home and exposed to hostile fire.
Head Of Household	The adult member of the family (identified by the family) who is the head of the household for purposes of determining income eligibility and rent. Also, the head of household is primarily responsible and accountable for the family, particularly in regard to lease obligations.
Homeless Family	<p>Any individual or family who:</p> <p>Lacks a fixed, regular, and adequate nighttime residence;</p> <p>Has a primary nighttime residence that is:</p> <p>(1) A supervised publicly or privately operated shelter designed to provide temporary living accommodations (including welfare hotels, congregate shelters, and transitional housing or housing for the mentally ill);</p> <p>(2) An institution that provides a temporary residence for individuals intended to be institutionalized; or</p> <p>(3) A public or private place not designed for, or ordinarily used as, a regular sleeping accommodation for human beings.</p> <p>A homeless family does not include:</p> <p>(1) Any individual imprisoned or otherwise detained pursuant to an Act of the Congress or a State Law; or</p> <p>(2) Any individual who is a Single Room Occupant that is not considered substandard housing.</p>
Household	The family and a HA-approved Live-in Aide.
Income Exclusions	<p>Annual Income does not include such temporary, non-recurring or sporadic income as the following:</p> <p>Income from employment of children (including foster children) under the age of eighteen (18).</p> <p>Payment received for the care of foster children or foster adults (usually persons with disabilities, unrelated to the tenant family, who are unable to live alone).</p> <p>Kinship care payments when foster children are placed with relatives.</p> <p>Lump sum additions to family assets, such as, inheritances, insurance payments, (including payments under health and accident insurance and workmen's compensation), capital gains, and settlements for personal or property losses (except payment in lieu of earnings).</p> <p>Amounts received by the family that are specifically for, or in reimbursement of, the cost of medical expenses for any family member.</p> <p>Income of a live-in aide (as defined in this policy).</p> <p>The full amount of student financial assistance paid directly to the student or to the educational institution.</p> <p>The special pay to a family member serving in the armed forces who is exposed</p>



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	<p>to hostile fire.</p> <p>Amounts received under training programs funded by HUD.</p> <p>Amounts received by a disabled person that are disregarded for a limited time for purposes of Supplemental Security Income eligibility and benefits because they are set aside for use under a Plan to Attain Self-sufficiency (PASS).</p> <p>Amounts received by a participant in other publicly assisted programs which is specifically for, or in reimbursement of, out-of-pocket expenses incurred (special equipment, clothing, transportation, child care, etc.) and which are made solely to allow participation in a specific program.</p> <p>Amounts received under a resident service stipend. A resident service stipend is a modest amount (not to exceed \$200 per month) received by a resident for performing a service for the HA, on a part-time basis, that enhances the quality of life in public housing. Such services may include, but are not limited to, fire patrol, hall monitoring, lawn maintenance, and resident initiatives coordination. No Resident may receive more than one such stipend during the same period of time.</p> <p>Incremental earnings and benefits resulting to any family member from participation in State or local employment training programs (including training programs not affiliated with a local government) in training of a family member as resident Management staff. Amounts excluded by this provision must be received under employment training programs with clearly defined goals and objectives, and are excluded only for the period during which the family member participates in the employment training program.</p> <p>Temporary, nonrecurring or sporadic income (including gifts).</p> <p>Reparation payments paid by a foreign government pursuant to claims under the laws of that government by persons who were persecuted during the Nazi era.</p> <p>Earning in excess of \$480 for each full-time student 18 years old or older (excluding the head of household and spouse).</p> <p>Adoption assistance payments in excess of \$480 per adopted child.</p> <p>Deferred periodic amounts from supplemental security income and social security benefits that are received in a lump sum amount or in prospective monthly amounts.</p> <p>Amounts received by the family in the form of refunds or rebates under state or local law for property taxes paid on the dwelling unit.</p> <p>Amounts paid by a State agency to a family with a member who has a developmental disability and is living at home to offset the costs of services and equipment to help keep the developmentally disabled family member at home.</p> <p>The value of the allotment provided to an eligible household under the Food Stamp Act of 1977.</p> <p>Payments to volunteers in programs pursuant to the Domestic Volunteers Service Act of 1973.</p> <p>Payments received under the Alaska Native Claims Settlement Act.</p> <p>Income derived from certain sub-marginal land of the United States that is held in trust for certain Indian tribes.</p> <p>Payments or allowances made under the Department of Health and Human Services Low-income Home Energy Assistance Program.</p> <p>Payments received under programs funded in whole or in part under the Job Training Partnership Act. Effective July 1, 2000, references to Job Training Partnership Act shall be deemed to refer to the corresponding provision of the Work Force Investment Act of 1998.</p>
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	<p>Income derived from the disposition of funds to the Grand River band of Ottawa Indians.</p> <p>The first \$2,000.00 of per capita shares received from judgment funds awarded by the Indian Claims Commission or the U.S. Claims Court, the interest of individual Indians in trust or restricted lands, including the first \$2,000.00 per year of income received by individual Indians from funds derived from interests held in such trust or restricted lands.</p> <p>Amounts of scholarships funded under Title IV of the Higher Education Act of 1965, including awards under federal work study programs or under Bureau of Indian Affairs Student Assistance Programs.</p> <p>Payments received from Programs funded under Title V of The Older Americans Act of 1985.</p> <p>Payments received on or after January 1, 1989, from the agent orange settlement fund or any other fund established pursuant to the settlement “In Re: Agent Product Liability Litigation” M.D.L. No. 381 (EDNY).</p> <p>Payments received under the Maine Indian Claims Settlement Act of 1980.</p> <p>The value of any childcare provided or arranged (or any amount received as payment for such care or reimbursement for costs incurred for such care) under the “Child Care and Development Block Grant Act of 1990.”</p> <p>Earned Income Tax Credit (EITC) refund payments received on or after January 1, 1991.</p> <p>Payments by the Indian Claims Commission to the confederated tribes and bands of the Yakima Indian Nation or the Apache Tribe of Mescalero Reservation.</p> <p>Allowances, earnings and payments to AmeriCorps participants under the National and Community Service Act of 1990.</p> <p>Any allowance paid under the provisions of 38 USC 1805 to a child suffering from spina bifida who is the child of a Vietnam Veteran.</p> <p>Any amount of crime victim compensation (under the Victims of Crime Act) received through crime victim assistance (or payment or reimbursement of the costs of such assistance) as determined under the Victims of Crime Act because of the commission of a crime against the applicant under the Victims of Crime Act.</p> <p>Allowances, earnings and payments to individuals participating in programs under the Work Force Investment Act of 1998.</p> <p>Relocation payments made pursuant to Title II of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.</p> <p>Twelve Month Exclusions (Self-sufficiency incentives):</p> <p>(1) The earnings and benefits to any resident resulting from the participation in a program providing employment training and supportive services in accordance with the Family Support Act of 1988, section 22 of the U.S. Housing Act of 1937, and the Quality Housing and Work Responsibility Act of 1998 (referred to as the 1998 Act) or any comparable Federal, State, or local law during the exclusion period. For purposes of this paragraph, the following definitions apply:</p> <p>(a) Comparable Federal, State or Local Law means a program providing employment training and supportive services that:</p> <p>(i) Are authorized by a federal, state or local law;</p> <p>(ii) Are funded by federal, state or local government;</p>
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	<p>(iii) Are operated or administered by a public agency;</p> <p>(iv) Has as its objective to assist participants in acquiring job skills; and/or</p> <p>(v) If applicable, is a participant in the HA Family Self-Sufficiency Program.</p> <p>(b) Exclusion period means the period during which the resident participates in a program described in this section, plus 12 months from the date the resident begins the first job acquired by the resident after completion of such program that is not funded by public housing assistance under the U.S. Housing Act of 1937 and the 1998 Act. Amount previously being received, including TANF, will continue to be counted as annual income.</p> <p>(c) Earnings and benefits mean the incremental earnings and benefits resulting from a qualifying employment training program or subsequent job.</p> <p>(2) In addition to the training exclusion listed above, the 1998 Act excludes the income for 12 months of a family member who was previously unemployed for one or more years, which is defined as a minimum of 12 consecutive months. This includes a person who has earned income during the previous 12 months but the income was no more than 10 hours of work per week for 50 weeks at or below the established minimum wage. The 1998 Act also excludes the income for 12 months for any resident who received assistance under the Temporary Assistance for Needy Families (TANF) program in the last six (6) months. The TANF funding received must be a minimum of \$500 over a six-month period. A representative from the TANF agency must verify that the resident is or was receiving TANF benefits within the last six months. The six month period will start on the day the resident reports the income to the HA. Also, the 1998 Act excludes for 12 months the income resulting in the participation of a family member in the HA Family Self-Sufficiency Program, if applicable to the HA.</p> <p>(3) Phase-in-of Rent Increases: Upon the expiration of the 12 month exclusion period as described in this section, the rent payable by a family may be increased due to continued employment of the resident but the increase will be limited to 50% of the increase in the total rent increase. The increase will be effective on the first day of the thirteenth month and expire on the twenty-fourth month. After the conclusion of the twenty-four month period, the applicable rent calculated without exclusions, as described in this section, and in accordance with federal regulations will be due and payable on the first of the twenty-fifth month. Total income will include income counted in the previous twelve months plus 50% of the increase.</p> <p>(4) Maximum four-year disallowance. The disallowance of increased income of an individual family member as provided above is limited to a lifetime 48-month period. It only applies for a maximum of 24 months as described above during the 48 period starting from the initial exclusion period.</p> <p>(5) Inapplicability to admission. The disallowance of increases in income as a result of employment under this section does not apply for purposes of admission to the program.</p> <p>(a) If a person is employed prior to admission they will not qualify for this income exclusion.</p> <p>(b) If a family member begins employment after admission they may be eligible for income exclusion.</p> <p>Individual with Disabilities Section 504 definition [24 CFR 8.3] Section 504 definitions of Individual with Disabilities and Qualified</p>
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	<p>Individual with Disabilities are not the definitions used to determine program eligibility. Instead, use the definition of person with disabilities as defined later in this section. Note: the Section 504, Fair Housing, and Americans with Disabilities Act (ADA) definitions are similar. ADA uses the term “individual with a disability”. Individual with disabilities means any person who has:</p> <p>(a) A physical, mental or emotional impairment that: Substantially limits one or more major life activities; Has a record of such an impairment; Or is regarded as having such impairment.</p> <p>(b) For purposes of housing programs, the term does not include any individual who is an alcoholic or drug abuser whose current use of alcohol or drugs prevents the individual from participating in the program or activity in question, or whose participation, by reason of such current alcohol or drug abuse, would constitute a direct threat to property or the safety of others.</p> <p>(c) Definitional elements: “<u>Physical or mental impairment</u>” means any physiological disorder or condition, cosmetic disfigurement, or anatomical loss affecting one or more of the following body systems: Neurological; musculoskeletal; special sense organs; respiratory, including speech organs; cardiovascular; reproductive; digestive; genito-urinary; hemic and lymphatic; skin; and endocrine; or any mental or psychological disorder, such as mental retardation, organic brain syndrome, emotional or mental illness, and specific learning disabilities. The term “<u>physical or mental impairment</u>” includes, but is not limited to, such diseases and conditions as orthopedic, visual, speech and hearing impairments, cerebral palsy, autism, epilepsy, muscular dystrophy, multiple sclerosis, cancer, heart disease, diabetes, mental retardation, emotional illness, drug addiction and alcoholism. “<u>Major life activities</u>” means functions such as caring for one’s self, performing manual tasks, walking, seeing, hearing, speaking, breathing, learning and working. “<u>Has a record of such an impairment</u>” means has a history of, or has been misclassified as having, a mental or physical impairment that substantially limits one or more major life activities. “<u>Is regarded as having an impairment</u>” means has a physical or mental impairment that does not substantially limit one or more major life activities but that is treated by a recipient as constituting such a limitation; or Has a physical or mental impairment that substantially limits one or more major life activities only as result of the attitudes of others toward such impairment; or Has none of the impairments defined in this section but is treated by a recipient as having such an impairment.</p> <p><b>Note:</b> A person would be covered under the first item if HA refused to serve the person because of a perceived impairment and thus “treats” the person in accordance with this perception. The last two items cover persons who are denied the services or benefits of HA’s housing program because of myths, fears, and stereotypes associated with the disability or perceived disability.</p> <p>(d) The 504 definition of disability does not include homosexuality, bisexuality, or transvestitism. Note: These characteristics do not disqualify an otherwise disabled applicant/resident from being covered. The 504 definition of individual with disabilities is a civil rights definition. To be considered for admission to</p>
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	<p>public housing a person must meet the program definition of person with disabilities found in this section.</p>
<p>Individual with Disabilities, Section 504 Definition</p>	<p>Section 504 definitions of Individual with Disabilities and Qualified Individual with Disabilities are not the definitions used to determine program eligibility. Instead, use the definition of person with disabilities as defined later in this section. Note: the Section 504, Fair Housing, and Americans with Disabilities Act (ADA) definitions are similar. ADA uses the term “individual with a disability”. Individual with disabilities means any person who has:</p> <p>(a) A physical, mental or emotional impairment that:</p> <ul style="list-style-type: none"> <li>• substantially limits one or more major life activities;</li> <li>• has a record of such an impairment;</li> <li>• or is regarded as having such an impairment.</li> </ul> <p>(b) Note: For purposes of housing programs, the term does not include any individual who is an alcoholic or drug abuser whose current use of alcohol or drugs prevents the individual from participating in the program or activity in question, or whose participation, by reason of such current alcohol or drug abuse, would constitute a direct threat to property or the safety of others.</p> <p>(c) Definitional elements:</p> <p>“physical or mental impairment” means any physiological disorder or condition, cosmetic disfigurement, or anatomical loss affecting one or more of the following body systems: Neurological; musculoskeletal; special sense organs; respiratory, including speech organs; cardiovascular; reproductive; digestive; genito-urinary; hemic and lymphatic; skin; and endocrine; or</p> <p>Any mental or psychological disorder, such as mental retardation, organic brain syndrome, emotional or mental illness, and specific learning disabilities. The term “physical or mental impairment” includes, but is not limited to, such diseases and conditions as orthopedic, visual, speech and hearing impairments, cerebral palsy, autism, epilepsy, muscular dystrophy, multiple sclerosis, cancer, heart disease, diabetes, mental retardation, emotional illness, drug addiction and alcoholism.</p> <p>“Major life activities” means functions such as caring for one’s self, performing manual tasks, walking, seeing, hearing, speaking, breathing, learning and working.</p> <p>“Has a record of such an impairment” means has a history of, or has been misclassified as having, a mental or physical impairment that substantially limits one or more major life activities.</p> <p>“Is regarded as having an impairment” means has a physical or mental impairment that does not substantially limit one or more major life activities but that is treated by a recipient as constituting such a limitation; or</p> <p>Has a physical or mental impairment that substantially limits one or more major life activities only as result of the attitudes of others toward such impairment; or</p> <p>Has none of the impairments defined in this section but is treated by a recipient</p>

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	<p>as having such an impairment.</p> <p>NOTE: A person would be covered under the first item if PHA refused to serve the person because of a perceived impairment and thus “treats” the person in accordance with this perception. The last two items cover persons who are denied the services or benefits of PHA’s housing program because of myths, fears, and stereotypes associated with the disability or perceived disability.</p> <p>(d) The 504 definition of disability does not include homosexuality, bisexuality, or transvestitism.</p> <p>Note: These characteristics do not disqualify an otherwise disabled applicant/resident from being covered.</p> <p><b>The 504 definition of individual with disabilities is a civil rights definition. To be considered for admission to public housing a person must meet the program definition of person with disabilities found in this section.</b></p>
Infant	A child under the age of two years.
INS	The U. S. Immigration and Naturalization Service.
Interim Redetermination Of Rent	Changes of rent between admissions and reexaminations and the next succeeding reexamination.
Involuntary Displacement	Families that meet the definition of involuntary displaced qualify for a preference in the selecting applicants for admission to public housing.
Kinship Care	An arrangement in which a relative or non-relative becomes the primary caregiver for a child or children but is not the biological parent of the child or children. The primary caregiver need not have legal custody of such child or children to be a kinship caregiver under this definition.
Live-in Aide	<p>A person who resides with an elderly person(s), near elderly person(s) or person(s) with disabilities and who: (a) is determined by HA to be essential to the care and well being of the person(s); (b) is not obligated to support the family member; and (c) would not be living in the unit except to provide the necessary supportive services [24 CFR 5.403].</p> <p><b>Occasional, intermittent, multiple or rotating care givers do not meet the definition of a live-in aide since live-in-aides must reside with a family permanently for the family unit size to be adjusted in accordance with the subsidy standards established by the PHA.</b></p> <p>HA policy on Live-in Aides stipulates that:</p> <p>(a) Before a Live-in Aide may be moved into a unit, a third-party verification must be supplied that establishes the need for such care and the fact that the live-in aide is qualified to provide such care;</p> <p>(b) Move in of a Live-in Aide must not result in overcrowding of the existing unit according to the maximum-number-of-persons-per-unit standard (although, a reasonable accommodation for a resident with a disability may be to move the family to a larger unit);</p> <p>(c) Live-in Aides have no right to the unit as a remaining member of a resident family;</p> <p>(d) Relatives who satisfy the definitions and stipulations above may qualify as Live-in Aides, but only if they sign a statement prior to moving in relinquishing all rights to the unit as the remaining member of a resident family;</p>

## Admission and Continued Occupancy Policy

	<p>(e) A Live-in aide is a single person;</p> <p>(f) A Live-in Aide will be required to meet HA's screening requirements with respect to past behavior especially:</p> <p>A record of disturbance of neighbors, destruction of property, or living or housekeeping habits at present or prior residences that may adversely affect the health, safety, or welfare of other tenants or neighbors;</p> <p>Criminal activity such as crimes of physical violence to persons or property and other criminal acts including drug-related criminal activity that would adversely affect the health, safety, or welfare of other residents or staff or cause damage to the unit or the development; and</p> <p>A record of eviction from housing or termination from residential programs.</p>
Low-Income Household	A family whose annual income does not exceed 80 percent of the median income for the area as determined by HUD with adjustments for smaller and larger families [42 USC 1437a(b0)]
Medical Expense	Those necessary medical expenses, including medical insurance premiums, that are anticipated during the period for which Annual Income is computed, and that are not covered by insurance. Medical expenses, in excess of three percent (3%) of Annual Income, are deductible from income by elderly families only [24 CFR 5.603].
Military Service	Military Service means the active military service of the United States, which includes the Army, Navy, Air Force, Marine Corps, Coast Guard, and, since July 29, 1945, the commissioned corps of the United States Public Health Service.
Minimum Rent	The HA has the discretion to establish the minimum rent from \$0 up to \$50.
Minor	A "minor" is a person under nineteen years of age. Provided, that a married person 18 years of age or older shall be considered to be of the age of majority. (An unborn child may not be counted as a minor.) Some minors are permitted to execute contracts, provided a court declares them "emancipated".
Mixed Family	A family whose members include those with citizenship or eligible immigration status, and those without citizenship or eligible immigration status.
Mixed Population Project	Means a public housing project for elderly and disabled families. The HA is not required to designate this type of project under the Extension Act. (PIH Notice 97-12)
Monthly Adjusted Income	One-twelfth of Adjusted Annual Income.
Monthly Income	One twelfth of Annual Income. For purpose of determining priorities based on an applicant's rent as a percentage of family income, family income is the same as monthly income.
Multifamily Housing Project	For purposes of Section 504, means a project containing five or more dwelling units. [24 CFR 8.3]
National	A person who owes permanent allegiance to the United States, for example, as a result of birth in a United States territory or possession.
Near-elderly Family	Means a family whose head, spouse, or sole member is a near-elderly person (at least 50 but less than 62 years of age), who may be a person with a disability. The term includes two or more near-elderly persons living together, and one or more such persons living with one or more persons who are determined to be essential to the care or well-being of the near-elderly person or persons. A near-elderly family may include other family members who are not near-elderly. [24 CFR 5.403]
Near-elderly Person	Means a person who is at least 50 years of age but below 62, who may be a

## Admission and Continued Occupancy Policy

	person with a disability [42 USC 1437a(b)(3)]
Net Family Assets	<p>The net cash value, after deducting reasonable costs that would be incurred in disposing of: [24 CFR 5.603]</p> <p>(a) Real property (land, houses, mobile homes)</p> <p>(b) Savings (CDs, IRA or KEOGH accounts, checking and savings accounts, precious metals)</p> <p>(c) Cash value of whole life insurance policies</p> <p>(d) Stocks and bonds (mutual funds, corporate bonds, savings bonds)</p> <p>(e) Other forms of capital investments (business equipment)</p> <p>Net cash value is determined by subtracting the reasonable costs likely to be incurred in selling or disposing of an asset from the market value of the asset. Examples of such costs are: brokerage or legal fees, settlement costs for real property, or penalties for withdrawing saving funds before maturity. Net Family assets also include the amount in excess of any consideration received for assets disposed of by an applicant or resident for less than fair market value during the two years preceding the date of the initial certification or reexamination. This does not apply to assets transferred as the result of a foreclosure or bankruptcy sale. In the case of a disposition as part of a separation or divorce settlement, the disposition will not be considered to be less than fair market value if the applicant or resident receives important considerations not measurable in dollar terms [24 CFR 5.603(b)(3)].</p>
Non-citizen	A person who is neither a citizen nor national of the United States.
Other Person Under the Tenant's Control	The person although not staying as a guest in the unit is or was at the time of the activity in question, on the premises because of an invitation from the tenant or other member of the household who has express or implied authority to so consent on behalf of the tenant. Absent evidence to the contrary, a person temporarily and infrequently on the premises solely for legitimate commercial purposes is not under the tenant's control (e.g. the Pizza Delivery person)
Person with Disabilities [42 USC 1437a(b)(3)]	<p>Means a person who:</p> <p>(a) Has a disability as defined in Section 223 of the Social Security Act (42 USC 423); or,</p> <p>(b) Has a physical, mental or emotional impairment that: Is expected to be of long continued and indefinite duration; Substantially impedes his/her ability to live independently; and, Is of such nature that such disability could be improved by more suitable housing conditions; or,</p> <p>(c) Has a developmental disability as defined in Section 102 (5) (b) of the Developmental Disabilities Assistance and Bill of Rights Act [42 USC 6001 (5)].</p> <p>Note: A person with disabilities may be a child. Note: This is the program definition for public housing. The 504 definition does not supersede this definition for eligibility or admission.</p>
Portion of Project	Includes, one or more buildings in a multi-building project; one or more floors of a project or projects; a certain number of dwelling units in a project or projects. [24 CFR 945.105]
Premises	The building or complex or development in which the public housing dwelling is located, including common areas and grounds.
Project, Section 504	Means the whole of one or more residential structures & appurtenant structures, equipment, roads, walks, & parking lots that are covered by a single contract for



## Admission and Continued Occupancy Policy

	<p>Federal financial assistance or application for assistance, or are treated as a whole for processing purposes, whether or not located on a common site. [24 CFR 8.3]</p> <p>NOTE: this is the program definition for public housing. The 504 definition does not supersede this definition for eligibility or admission. [24 CFR 8.4 (c) (2)]</p>
Public Housing Agency (HA)	Any State, County, Municipality or other government entity or public body (or agency or instrumentality thereof) that is authorized to engage in or assist in the development of operation of housing for lower income families.
Qualified Individual with Disabilities, Section 504	<p>Means an individual with disabilities who meets the essential eligibility requirements and who can achieve the purpose of the program or activity without modifications in the program or activity that the HA can demonstrate would result in a fundamental alteration in its nature.</p> <p>Essential eligibility requirements include: stated eligibility requirements such as income as well as other explicit or implicit requirements inherent in the nature of the program or activity, such as requirements that an occupant of multifamily housing be capable of meeting the recipient's selection criteria and be capable of complying with all obligations of occupancy with or without supportive services provided by persons other than the HA</p>
Reexamination	Reexamination is sometimes called reexamination. The process of securing documentation which indicates that tenants meet the eligibility requirements for continued occupancy.
Re-Examination Date	The date on which any rent change is effective or would be effective if required as a result of the annual re-examination of eligibility and rent. The re-examination date(s) is the anniversary date (month) of the lease.
Remaining Member Of The Resident Family	The person(s) of legal age remaining in the public housing unit after the person(s) who signed the lease has (have) left the premises, other than by eviction, which may or may not normally qualify for assistance on their own circumstances. An individual must occupy the public housing unit to which he claims head of household status for one year before becoming eligible for subsidized housing as a remaining family member. This person must complete forms necessary for housing within ten days from the departure of the leaseholder and may remain in the unit for a reasonable time pending the verification and grievance process. This person must, upon satisfactory completion of the verification process, then execute a new lease and cure any monetary obligations in order to remain in the unit. Any person who claims him or herself as a remaining member shall, in the event that the HA declares him or her ineligible for remaining member status, be entitled to the grievance process upon notice to him or her that he or she is not considered to be a remaining member of the household. The person requesting remaining member status must request this grievance process in writing within ten days from the date of the departure of the head of household. In the interim time between the time of the request for the grievance process and the decision by the hearing officer, all rent which was due pursuant to the lease, shall be deposited into an escrow account with the HA under the same provisions as those relating to tenants requesting a grievance hearing relating to rent under the grievance process. The HA does not recognize the person as a tenant by giving him or her opportunity for a grievance hearing. A remaining member shall not be considered to be a tenant until such time as a new lease is executed by the HA and the person granted tenant status after the verification status.

## Admission and Continued Occupancy Policy

Single Person	A person who lives alone, or intends to live alone, and who does not qualify as an elderly family, or a displaced person, or as the remaining member of a Tenant family.
Spouse	A spouse is the legal husband or wife of the head of the household.
Standard Permanent Replacement Housing	<p>Is housing:            That is decent, safe, and sanitary;            That is adequate for the family size; and            That the family is occupying pursuant to a lease or occupancy agreement.</p> <p><b>Note:</b>            Such housing does not include transient facilities, such as motels, hotels, or temporary shelters for victims of domestic violence or homeless families, and in the case of domestic violence, does not include the housing unit in which the applicant and the applicant's spouse or other member of the household who engages in such violence live.            A "homeless family" does not include any individual imprisoned or otherwise detained pursuant to an Act of the Congress or a State law.</p>
Single Room Occupancy	(SRO) Housing (as defined in 882.102 of the CFR) is not substandard solely because it does not contain sanitary or food preparation facilities (or both).
Substandard Housing	<p>A unit is substandard if it:            Is dilapidated;            Does not have operable indoor plumbing;            Does not have a usable flush toilet inside the unit for the exclusive use of a family;            Does not have a usable bathtub or shower inside the unit for the exclusive use of a family;            Does not have electricity, or has inadequate or unsafe electrical service;            Does not have a safe or adequate source of heat;            Should, but does not, have a kitchen; or            Has been declared unfit for habitation by an agency or unit of government.</p> <p>A housing unit is dilapidated if it does not provide safe and adequate shelter, and in its present condition endangers the health, safety, or well-being of a family, or it has one or more critical defects, or a combination of intermediate defects in sufficient number or extent to require considerable repair or rebuilding. The defects may involve original construction, or they may result from continued neglect or lack of repair or from serious damage to the structure.</p> <p>An applicant who is a "homeless family" is living in substandard housing.            For purposes of the preceding sentence, a "homeless family" includes any individual or family who:            Lacks a fixed, regular, and adequate nighttime residence; and            Has a primary nighttime residence that is:            (a) A supervised publicly or privately operated shelter designed to provide temporary living accommodations (including welfare hotels, congregate shelters, and transitional housing programs);            (b) An institution that provides a temporary residence for individuals intended to be institutionalized; or            (c) A public or private place not designed for, or ordinarily used as, a regular sleeping accommodation for human beings.</p>
Temporarily Absent	Any person(s) on the lease that is not living in the household for a period of

## Admission and Continued Occupancy Policy

Family Members	more than thirty-days (30) is considered temporarily absent. Absences of more than six months are not generally considered to be “temporary” and must be approved by the HA.
Tenant Rent	<p>The amount payable monthly by the Family as rent to the HA. Where all utilities (gas, water and electricity) are supplied by the HA, Tenant Rent equals Total Tenant Payment or minimum rent. Where some or all utilities (gas, water and electricity) are not supplied by the HA and the cost thereof is not included in the amount paid as rent, Tenant Rent equals Total Tenant Payment or minimum rent less the utility allowance. Telephone and cable television service is not a utility.</p> <p>Ceiling rent: (see definition hereinabove)            Flat rent: (see definition hereinabove)</p> <p><b>Note:</b>            Utility allowances are not calculated or deducted from the flat rent. [24 CFR 5.603].</p>
Total Annual Family Income	<p>Annual income means all amounts, monetary or not, which: (1) Go to, or on behalf of, the family head or spouse (even if temporarily absent) or to any other family member; or (2) Are anticipated to be received from a source outside the family during the 12-month period following admission or annual reexamination effective date; and (3) Which are not specifically excluded in paragraph (c) of this section. (4) Annual income also means amounts derived (during the 12-month period) from assets to which any member of the family has access.</p> <p>Total Annual Family Income includes, but is not limited to, the following:            The full amount, before any payroll deduction, of wages and salaries, and overtime pay, including compensation for personal services (such as commissions, fees, tips and bonuses);            Net income from the operation of a business or profession. (Expenditures for business expansion or amortization of capital indebtedness shall not be used as deductions in determining Net Income.) An allowance for depreciation of assets used in a business or profession may be deducted, based on straight-line depreciation, as provided in Internal Revenue Service regulations. Any withdrawal of cash or other assets from the operation of a business or profession will be included in income, except to the extent the withdrawal is reimbursement of cash or assets invested in the operation by the family.            Interest, dividends and other net income of any kind from real or personal property. (For this purpose, expenditures for amortization of capital indebtedness and an allowance for depreciation of capital assets shall not be deducted to determine the net income from real or personal property). All allowance for depreciation is permitted only as authorized in Paragraph B of this section. Any withdrawal of cash or assets from an investment will be included in income, except to the extent the withdrawal is reimbursement of cash or assets invested by the family. Where the family has Net Family Assets in excess of \$5,000.00, Annual Income shall include the greater of the actual income derived from all Net Family Assets or a percentage of the value of such assets based on the current passbook savings rate as determined by HUD.            The full amount of periodic payments received from social security, annuities, insurance policies, retirement funds, pensions, disability or death benefit and other similar types of periodic receipts, including a lump sum payment for the delayed start of a periodic payment; (Excluding Lump Sum Supplemental</p>

## Admission and Continued Occupancy Policy

	<p>Security Income (SSI) and Lump Sum Social Security Benefits (SS)) Payments in lieu of earnings, such as unemployment and disability compensation, social security benefits, workmen's compensation and severance pay, but see Paragraph 55-C in this section.</p> <p>Welfare assistance. (i)Welfare assistance payments made under the Temporary Assistance for Needy Families (TANF) program are included in annual income only to the extent such payments: (A) qualify as assistance under the TANF program definition at 45 CFR 260.31; and (B) are not otherwise excluded under definition of income exclusions in this section. (ii) if the welfare assistance payment includes an amount specifically designated for shelter and utilities that is subject to adjustment by the welfare assistance agency in accordance with the actual cost of shelter and utilities, the amount of welfare assistance income to be included as income shall consist of: (A) The amount of the allowance or grant exclusive of the amount specifically designated for shelter or utilities; plus (B) The maximum amount that the welfare assistance agency could in fact allow the family for shelter and utilities. If the family's welfare assistance is ratably reduced from the standard of need by applying a percentage, the amount calculated under this paragraph shall be the amount resulting from one application of the percentage.</p> <p>Periodic and determinable allowances, such as alimony and child support payments, and regular contributions or gifts received from organizations or from persons not residing in the dwelling. If the payments actually received are different than the determined amount, rent can be adjusted in accordance with Section III D of the dwelling lease.</p> <p>All regular pay, special pay and allowances of a member of the Armed Forces (except special pay for exposure to hostile fire).</p> <p>Note: If it is not feasible to anticipate a level of income over a 12-month period, the income anticipated for a shorter period may be annualized, subject to a redetermination at the end of the shorter period.</p> <p>Tenants that receive lump-sum payments that are included as income and fall in the categories listed above, (Excluding Lump Sum Supplemental Security Income (SSI) and Lump Sum Social Security Benefits (SS)), must report the income to the Housing Manager as soon as possible but no later than ten (10) calendar days after receipt of the funds and the applicable portion of the payment that is due as back rent is due fourteen (14) days after the HA notifies the family of the amount due.</p> <p>Unreported Income: If a tenant fails to report income the tenancy will be terminated under the terms of the HA's lease. If the act is determined by the HA to be intentional, the tenant will be obligated to pay the applicable portion of the rent for any and all unreported income. If the unreported income was an unintentional by the tenant the tenant will be billed for the amount due the HA and the amount will be payable within fourteen (14) days. If the payment cannot be made in one payment, the tenant may request the HA to approve a repayment schedule. Any repayment agreement must be in writing and signed by the Tenant and a HA representative. The HA has the sole discretion as to whether or not to enter into a repayment agreement and shall consider circumstances such as fraud and/or mistake in making the decision.</p>
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## Admission and Continued Occupancy Policy

<p>Total Tenant Payment (TTP)</p>	<p>The TTP, or income-based rent, is calculated using the following formula:</p> <p>A. For the Public Housing Program, the TTP must be the greater of:</p> <ol style="list-style-type: none"> <li>(1) 30 percent of family monthly adjusted income (see note);</li> <li>(2) 10 percent of family monthly income; or</li> <li>(3) Which is the minimum rent set by the HA</li> </ol> <p>B. If the Resident pays any of the utilities directly to the utility supplier, the amount of the Utility Allowance is deducted from the TTP. [24 CFR 5.613] See the definition for Tenant Rent. It is possible for Public Housing tenants to qualify for a utility reimbursement despite the requirement of a minimum rent. For example, if a Public Housing family's TTP is the minimum rent of \$25 and the HA's utility allowance for the size and type unit the family has selected is \$60, the family would receive a utility reimbursement of \$35 (\$60 less \$25) for tenant purchased utilities.</p> <p><b>Note:</b> The income based tenant rent may not exceed the ceiling rent/flat rent. The resident may elect the flat rent as may be appropriate in lieu of the rent calculated in paragraph "A" above. Effective October 1, 2002 the ceiling rent shall be adjusted to the amount of the flat rent.</p>
<p>Uniform Federal Accessibility Standards</p>	<p>Standards for the design, construction, and alteration of publicly owned residential structures to insure that physically disabled persons will have ready access to and use of such structures. The standards are set forth in Appendix A to 24 CFR Part 40. See cross reference to UFAS in 504 regulations, 24 CFR 8.32 (a).</p>
<p>Utilities</p>	<p>Utilities means water, electricity, gas, other heating, refrigeration and cooking fuels, trash collection, and sewerage services. Telephone service is not included as a utility [24 CFR 990.102].</p>
<p>Utility Allowance</p>	<p>If the cost of utilities (except telephone and air conditioning added after initial construction) and other housing services for an assisted unit is not included in the Tenant rent, but is the responsibility of the family occupying the unit, then the utility allowance is an amount equal to the estimate made or approved by the HA or HUD of the monthly cost of a reasonable consumption of such utilities and other services for the unit by an energy-conservative household of modest circumstances consistent with the requirements of a safe, sanitary and healthful living environment. If the family pays directly for one or more utilities or services, the amount of the allowance is deducted from the gross rent in determining the contract rent and is included in the gross family contribution.</p>
<p>Utility Reimbursement Payment</p>	<p>Utility Reimbursement Payment is the amount, if any, by which the Utility Allowance for the unit, if applicable, exceeds the Total Tenant Payment for the family occupying the unit. At the discretion of the HA, the check may be made payable jointly to the resident and utility provider or directly to the utility provider. Tenants who choose to pay flat rents do not receive a utility reimbursement, since the value of the flat rent takes into account any utilities paid by the tenant.</p>
<p>Very Low-Income Family</p>	<p>A lower Income Family means a family whose annual income does not exceed 50 percent of the median income for the area, as determined by HUD, with adjustments for smaller and larger families. HUD may establish income limits higher or lower than 50 percent of the median income for the area on the basis of its finding that such variations are necessary because of unusually high or low family incomes[42 USC 1437a(b)].</p>

## Admission and Continued Occupancy Policy

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Violent Criminal Activity	Any criminal activity that has as one of its elements the use, attempted use, or threatened use of physical force substantial enough to cause, or be reasonably likely to cause serious bodily injury or property damage .
Wage Earner	A person in a gainful activity who receives any wages. Said wages or pay covers all types of employee compensation including salaries, vacation allowances, tips, bonuses, commissions and unemployment compensation. The terms "Wage Earner" and "Worker" are used interchangeably.
Welfare Assistance	Welfare or other payments to families or individuals, based on need, that are made under programs funded, separately or jointly, by Federal, State or local governments.

## Sylacauga Housing Authority

### Public Hearing for the Annual/Five Year Plan

March 17, 2011

The Public Hearing for Sylacauga Housing Authority's Annual and Five Year Plan for 2011 and 2011-2015 was held March 17, 2011, at 6:00 p.m. at the J. Craig Smith Community Center on 8<sup>th</sup> Street, Sylacauga, Alabama. In attendance were Leon Cleveland, Executive Director; Priscilla Cleveland, Chair Person of the Board of Commissioners; Judy Maness, Financial Director; Joyce Smith, Property Manager; Betty Keeter, Property Manager; Keith Bailey, Maintenance Employee, and 17 residents of the housing authority representing each of SHA's three housing properties.

Forty-five days prior to the meeting, a notice was published in the Talladega Daily Home announcing the time and date and purpose of the meeting. A certified copy of that notice is attached. On March 7, 2011 (10 days prior to the meeting), a letter was sent to each resident notifying them of the meeting and inviting them to call their maintenance department if they needed transportation to the meeting.

Leon Cleveland welcomed everyone and thanked them for their attendance. He introduced Mrs. Priscilla Cleveland, Chair Person of the Board of Commissioners, thanked her for her attendance and told those attending how much time our Commissioners gave to the housing authority. He explained that they were appointed by the Mayor to serve as a governing body for the Authority.

Mr. Cleveland asked Judy Maness to review the planned budget for the Capital Fund Program for 2011. Judy explained to the group that funding for the upcoming year was very uncertain since Congress was now in the sixth month of the Federal fiscal year and had not yet passed a budget. All information that the housing authority has received through HUD or through the national housing associations indicated that funding for operations will be pro-rated this year and that Capital Fund allocations to housing authorities would be reduced in the upcoming year.

Judy reviewed the utilities upgrade project that is currently being completed with funds under the "Stimulus" grant, stating that when you started digging in the grant to repair utilities that had been in the ground for 50+ years you find items that were not anticipated when the plans were originally made. The utilities upgrade is currently running \$102,000 over the anticipated cost and SHA has re-budgeted money from the 2009 Capital Fund grant to cover the additional expense.

During the past year, Sylacauga Housing Authority put new roofs on 208 apartments in Sylavon Court at a cost of over \$450,000. Moneys from three different capital fund grants were used to accomplish this project. During the upcoming grant years, roofing will remain a priority. There are 101 units in the Drew Court community that need to be re-roofed. While there are not as many units or buildings involved as in the Sylavon Court roofing project, these are family units and the apartments are larger. Therefore, it is anticipated that we will need approximately the same amount of money to do the roofing in Drew Court. Also, there are still six buildings in Sylavon Court that will need re-roofing soon as well as 18 units in the Virginia West Homes subdivision. Once these roofing needs are met, we will have to tackle re-roofing the Sylavon Towers building which will be a cost of approximately \$350,000.

Judy used a Power Point presentation to review the amounts budget for each item in each property. She explained that 20 percent of the grant could be moved to Operations, and that 10 percent was used to pay administration costs of the grant for supervision, accounting, etc. It was also explained that Sylacauga Housing Authority had borrowed \$3.1 million in 2003 through a State-wide

bond issue and that repayment of the bond would be deducted from the total amount of the grant prior to Sylacauga Housing Authority receiving any of the funds.

Following the discussion of the plans for the Capital Funds, attendees were asked to review the survey of the budgeted items and asked to indicate if they agreed with the housing authority's plan for each item, disagreed with the item or felt that the item did not apply to them. They were also given space to make any comments.

During the discussion which followed, it was discussed that we needed to replace the grass where the utility work was being done. Mr. Cleveland explained that "dressing" the grounds was in the contractor's scope of work and as soon as weather permitted he would begin replacing the grass.

Other topics brought up were the need for limbs to be removed behind a couple of units and for street light repairs to be made. One resident from the Sylavon Towers also expressed a wish for the flower beds to be replanted. It was explained that these items fell under our normal maintenance work and Mr. Cleveland made notes of the needs and assured residents that he would review with the appropriate property managers so that work orders could be issued. He also explained that we have been doing some tree trimming work in the Drew Court community to clear the power lines. The company that is doing the work is committed elsewhere four days per week and can only do our work on Fridays. He explained that trimming trees around power lines required specialized equipment and there were not many companies available to respond to jobs as small as ours.

At the end of the meeting, Mr. Cleveland thanked everyone for their participation and resident attendees were given Wal-Mart gift cards for \$10 each.

A review of the surveys that were returned indicated that all attendees agreed with the plan. The few negative comments expressed maintenance needs for individual units which are being addressed with the applicable property manager so that work orders can be issued.



# SYLACAUGA HOUSING AUTHORITY

## PUBLIC HEARING

March 17, 2011

DISCUSSION TO INCLUDE:  
ANNUAL PLAN FOR CAPITAL FUND PROGRAM  
FIVE YEAR PLAN

### SIGN-IN SHEET

- 1 Keith L. Barty (Bailey)
- 2 Joyce Smith
- 3 Betty Harrison
- 4 Edna Landers
- 5 Betty Keeter
- 6 Joyce Driskell (Driskell)
- 7 Deanne Wright
- 8 Betty Floyd (Floyd)
- 9 Juliette Ezekiel
- 10 Cashmere Osbourn
- 11 Savannah Osbourn
- 12 Joseph Walker (Walker)
- 13 Dorothy Patton
- 14 Hatt Zeigler (Zeigler)
- 15 Judy Patterson
- 16 Christine Smith
- 17 Laurel Barber
- 18 Brenda Black
- 19 Frances Shaw
- 20 Leora Cleveland
- 21 Judy Manser
- 22 Blenda W. Oden
- 23 Priscilla Cleveland
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THE STATE OF ALABAMA  
TALLADEGA COUNTY

Personally appeared before me, a Notary Public in and for said County Carrie Hutto who being duly sworn according to law, deposes and says that she is a clerk of The Daily Home, a newspaper published in said County, and that the publication of a certain notice, a true copy of which is hereto affixed, has been made in said newspaper for 3 ~~weeks~~ times ~~consecutively~~, towit, in the issues here of dated as follows:

Jan. 30 2011                      Feb. 1 2011  
Feb. 4 2011                      \_\_\_\_\_ 2011

Subscribed and sworn to before me this  
4th day of February 2011.

Alta Bolding

\_\_\_\_\_  
NOTARY PUBLIC

Carrie Hutto  
CLERK

PRINTERS FEE \$ 420.98

222647

**NOTICE OF  
PUBLIC HEARING  
SYLACAUGA HOUSING  
AUTHORITY  
SYLACAUGA, ALABAMA  
35150**

LOCATION:  
J. CRAIG SMITH  
COMMUNITY CENTER  
2 WEST EIGHTH STREET  
SYLACAUGA, ALABAMA  
35150  
THURSDAY, March 17, 2011  
@ 6:00 P.M.

The Quality Housing and Work Responsibility Act of 1998 requires all Public Housing Authorities to develop a Public Housing Agency Plan, which includes both a Five Year Plan and an Annual Plan. The purpose of these plans is to advise the U.S. Department of Housing and Urban Development, our residents, and members of the general public of our overall mission, goals and objectives for serving the needs of low-income and very low income families in the area. Among many other elements, the Capital Fund Program (CFP) will also be discussed.

The Five-Year Agency Plan (covering 2011 to 2015) and Annual Plan for 2011 are currently being developed and all relevant policies and pertinent planning materials are available for review at the Sylacauga Housing Authority Central Office at 415 West Eighth Street. This information is subject to public comment during the normal business hours of the Authority (Monday through Friday from 9:00 A.M. to 12:00 Noon and from 1:00 PM to 5:00 PM. You are invited to review this information, and give your comments and suggestions. The public hearing on the Five Year Agency Plan, Annual Agency Plan, the Capital Fund Program will be held as referenced above. All public comments will be considered for inclusion in the Plans and/or the CFP, as appropriate.

Leon Cleveland  
Executive Director

The Daily Home  
Talladega Co., AL  
January 30, February 1, 4,  
2011



**NOTICE OF PUBLIC HEARING  
SYLACAUGA HOUSING AUTHORITY  
SYLACAUGA, ALABAMA 35150**

**LOCATION:  
J. CRAIG SMITH COMMUNITY CENTER  
2 WEST EIGHTH STREET  
SYLACAUGA, ALABAMA 35150**

**THURSDAY, March 17, 2011 @ 6:00 P.M.**

The Quality Housing and Work Responsibility Act of 1998 requires all Public Housing Authorities to develop a Public Housing Agency Plan, which includes both a Five Year Plan and an Annual Plan. The purpose of these plans is to advise the U.S. Department of Housing and Urban Development, our residents, and members of the general public of our overall mission, goals and objectives for serving the needs of low-income and very low income families in the area. Among many other elements, the Capital Fund Program (CFP) will also be discussed.

The Five-Year Agency Plan (covering 2011 to 2015) and Annual Plan for 2011 are currently being developed and all relevant policies and pertinent planning materials are available for review at the Sylacauga Housing Authority Central Office at 415 West Eighth Street. This information is subject to public comment during the normal business hours of the Authority (Monday through Friday from 9:00 A.M. to 12:00 Noon and from 1:00 PM to 5:00 PM). You are invited to review this information, and give your comments and suggestions. The public hearing on the Five Year Agency Plan, Annual Agency Plan, the Capital Fund Program will be held as referenced above. All public comments will be considered for inclusion in the Plans and/or the CFP, as appropriate.

Anyone needing transportation to the meeting may call their project's work order number (256-249-6042) on Wednesday, March 16, 2011, and request a ride. Sylacauga Housing Authority's van is not wheelchair accessible.

Leon Cleveland  
Executive Director

Mailed to Residents – March 7, 2011

<b>Housing Needs of Families on the Waiting List</b>			
	# of families	% of total families	Annualized Turnover
Waiting list total	144		174
Extremely low income (<=30% AMI)	120	84.51	
Very low income (>30% but <=50% AMI)	14	9.86	
Low income (>50% but <80% AMI)	8	5.63	
Families with children	33	23.24	
Elderly families	14	9.86	
Families with Disabilities	33	23.24	
White	58	40.85	
Black	83	58.45	
Hispanic/Latino	1	0.70	
Characteristics by Bedroom Size (Public Housing Only)			
1 BR	106	74.64	
2 BR	32	22.53	
3 BR	3	2.11	
4 BR	0	0	
5 BR	0	0	
5+ BR	0	0	
The waiting list is open at the current time, and is expected to remain open for this fiscal year.			

March 1, 2011

# Sylacauga Housing Authority

## Conventional Application Listing

List: 0 - All

D - Applied Date

Application	Applied Date / Time	Name	Telephone	Pref	Type	Race	Eth	Sex	Income	Rooms	Status
Sequence			Position	Location	Score	Members	Rent Amount				
1-5,916	7/31/2009 11:00:02	COOK, DARTIA			2	2	F	0.00 E	2	E	8/28/2009
1			301621.00	VSW	0	2	50.00				
<div style="border: 1px solid black; padding: 5px;">                     08/14/2009 15:27 0 Application Added - Status P                      08/14/2009 15:27 0 Added to Waiting List 0                      08/14/2009 15:27 21 Added to Waiting List 21                      08/28/2009 15:11 0 Application Status Changed - P to E                 </div>											
1-5,961	9/10/2009 1:30:18	LAUDERDALE, MICHAEL O			2	2	M	0.00 E	1	E	9/30/2009
2			301671.00	21	0	1	50.00				
<div style="border: 1px solid black; padding: 5px;">                     09/24/2009 8:29 0 Application Added - Status P                      09/24/2009 8:29 0 Added to Waiting List 0                      09/24/2009 8:29 21 Added to Waiting List 21                      09/30/2009 10:06 0 Application Status Changed - P to E                      02/23/2011 14:47 0 Application Status Changed - E to W                      02/24/2011 13:59 0 Application Status Changed - W to E                 </div>											
1-6,013	11/3/2009 11:30:32	PETERSON, LATOYA S			2	2	F	0.00 E	1	E	11/23/2009
3			301723.00	21	0	1	50.00				
<div style="border: 1px solid black; padding: 5px;">                     11/16/2009 14:25 0 Application Added - Status P                      11/16/2009 14:25 0 Added to Waiting List 0                      11/16/2009 14:25 21 Added to Waiting List 21                      11/23/2009 16:39 0 Application Status Changed - P to E                 </div>											
1-6,030	11/17/2009 10:00:02	HARVEY JR., AMOS L			2	2	M	0.00 E	1	E	12/3/2009
4			301740.00	21	0	1	50.00				
<div style="border: 1px solid black; padding: 5px;">                     12/03/2009 11:50 0 Application Added - Status E                      12/03/2009 11:50 0 Added to Waiting List 0                      12/03/2009 11:50 21 Added to Waiting List 21                 </div>											
1-6,035	11/18/2009 1:30:12	MCMAHON, BRITTANY N			1	2	F	0.00 E	1	E	12/3/2009
5			301745.00	21	0	1	50.00				
<div style="border: 1px solid black; padding: 5px;">                     12/03/2009 16:15 0 Application Added - Status E                      12/03/2009 16:15 0 Added to Waiting List 0                      12/03/2009 16:15 22 Added to Waiting List 22                      06/18/2010 11:28 21 Added to Waiting List 21                      06/18/2010 11:28 22 Removed from Waiting List 22                 </div>											
1-6,033	11/19/2009 10:00:14	WALLACE, SHERANPEL			2	2	M	0.00 E	1	E	12/15/2009
6			301743.00	21	0	1	50.00				
<div style="border: 1px solid black; padding: 5px;">                     12/03/2009 13:26 0 Application Added - Status P                      12/03/2009 13:26 0 Added to Waiting List 0                      12/03/2009 13:26 21 Added to Waiting List 21                      12/15/2009 13:56 0 Application Status Changed - P to E                 </div>											
1-6,085	11/20/2009 11:00:00	POGUE, JOHN C	256-404-5796		1	2	M	0.00 E	1	E	1/20/2010
7			301796.00	21	0	1	50.00				
<div style="border: 1px solid black; padding: 5px;">                     01/20/2010 15:15 0 Application Added - Status E                      01/20/2010 15:15 0 Added to Waiting List 0                      01/20/2010 15:15 21 Added to Waiting List 21                 </div>											
1-6,046	11/23/2009 10:30:45	MCMILLIAN, SHAUNQUESS	256-362-1153		2	2	F	0.00 E	1	E	12/18/2009
8			301756.00	21	0	1	50.00				
<div style="border: 1px solid black; padding: 5px;">                     12/04/2009 16:35 0 Application Added - Status P                      12/04/2009 16:35 0 Added to Waiting List 0                      12/04/2009 16:35 21 Added to Waiting List 21                      12/18/2009 14:29 0 Application Status Changed - P to E                 </div>											

# Sylacauga Housing Authority

List: 0 - All

## Conventional Application Listing

D - Applied Date

Application Sequence	Applied Date / Time	Name	Telephone Position	Pref Location	Type	Race	Eth	Sex	Income	Rooms	Status
						Score		Members		Rent Amount	
1-6,047 9	11/23/2009 11:00:54	LAWRENCE, VANDI C	301757.00	21		2	2	F	0.00 E	1	E 12/18/2009
<div style="border: 1px solid black; padding: 5px;">                     12/07/2009 9:31 0 Application Added - Status P                      12/07/2009 9:31 0 Added to Waiting List 0                      12/07/2009 9:31 21 Added to Waiting List 21                      12/18/2009 14:29 0 Application Status Changed - P to E                 </div>											
1-6,083 10	11/30/2009 3:00:28	LAGE, JOYCE L	301794.00	21		1	2	F	0.00 E	1	E 1/20/2010
<div style="border: 1px solid black; padding: 5px;">                     01/20/2010 9:13 0 Application Added - Status E                      01/20/2010 9:13 0 Added to Waiting List 0                      01/20/2010 9:13 21 Added to Waiting List 21                 </div>											
1-6,053 11	11/30/2009 10:00:46	BEVELS JR., WILLIAM E	301763.00	21		1	2	M	0.00 E	1	E 1/22/2010
<div style="border: 1px solid black; padding: 5px;">                     12/07/2009 14:12 0 Application Added - Status P                      12/07/2009 14:12 0 Added to Waiting List 0                      12/07/2009 14:12 21 Added to Waiting List 21                      01/13/2010 13:42 0 Application Status Changed - P to E                 </div>											
1-5,709 12	12/2/2009 14:50:41	DARK, TIMOTHY	301388.00	21		2	2	M	0.00 E	1	E 12/2/2009
<div style="border: 1px solid black; padding: 5px;">                     01/27/2009 8:17 0 Application Added - Status I                      01/27/2009 8:17 0 Added to Waiting List 0                      01/27/2009 8:17 21 Added to Waiting List 21                      12/18/2009 14:48 0 Application Status Changed - I to E                      12/18/2009 14:51 0 Date changed from 1/20/2009 10:30:03 AM to 12/2/2009 2:50:41 PM                      12/18/2009 14:51 21 Date changed from 1/20/2009 10:30:03 AM to 12/2/2009 2:50:41 PM                 </div>											
1-6,089 13	12/7/2009 2:14:03	HUNTLEY, MELISSA	301800.00			2	2	F	0.00 E	0	E 1/21/2010
<div style="border: 1px solid black; padding: 5px;">                     01/21/2010 14:00 0 Application Added - Status E                      01/21/2010 14:00 0 Added to Waiting List 0                 </div>											
1-6,078 14	12/8/2009 9:58:53	FOSTER, MARISSA J	301789.00			1	2	F	0.00 E	1	E 1/15/2010
<div style="border: 1px solid black; padding: 5px;">                     01/15/2010 15:22 0 Application Added - Status E                      01/15/2010 15:22 0 Added to Waiting List 0                      04/28/2010 14:02 21 Added to Waiting List 21                 </div>											
1-6,101 15	12/10/2009 9:46:44	LIMBAUGH, HOLLIE R	256-369-1141 301812.00	21		1	2	F	0.00 E	1	E 1/27/2010
<div style="border: 1px solid black; padding: 5px;">                     01/27/2010 13:51 0 Application Added - Status E                      01/27/2010 13:51 0 Added to Waiting List 0                      01/27/2010 13:51 21 Added to Waiting List 21                 </div>											
1-6,067 16	12/14/2009 10:00:54	WHETSTONE, TANDRA N	301777.00	21		2	2	F	0.00 E	1	E 12/29/2009
<div style="border: 1px solid black; padding: 5px;">                     12/15/2009 10:49 0 Application Added - Status P                      12/15/2009 10:49 0 Added to Waiting List 0                      12/15/2009 10:49 21 Added to Waiting List 21                      12/29/2009 14:08 0 Application Status Changed - P to E                 </div>											
1-6,081 17	12/15/2009 4:35:10	BENNETT, ANTHONY	301792.00	21		2	2	M	0.00 E	1	E 2/12/2010

# Sylacauga Housing Authority

List: 0 - All

## Conventional Application Listing

D - Applied Date

Application	Applied Date / Time	Name	Telephone	Pref	Type	Race	Eth	Sex	Income	Rooms	Status
Sequence			Position	Location	Score	Members	Rent Amount				
1-6,069	12/16/2009 11:00:46	WILLIAMS, SHAUNTA R			2	2	F		0.00 E	2 E	12/29/2009
18			301779.00	vsw	0	2					50.00
<div style="border: 1px solid black; padding: 2px;">                     01/19/2010 13:39 0 Application Added - Status P                      01/19/2010 13:39 0 Added to Waiting List 0                      01/19/2010 13:39 21 Added to Waiting List 21                      02/12/2010 11:43 0 Application Status Changed - P to E                 </div>											
1-6,088	12/28/2009 2:11:03	JACKSON JR., FREDERICK E			2	2	M		0.00 E	1 E	1/21/2010
19			301799.00	21	0	1					50.00
<div style="border: 1px solid black; padding: 2px;">                     12/16/2009 15:32 0 Application Added - Status P                      12/16/2009 15:32 0 Added to Waiting List 0                      12/16/2009 15:32 21 Added to Waiting List 21                      12/29/2009 14:11 0 Application Status Changed - P to E                 </div>											
1-6,114	1/13/2010 1:37:42	JAMES, CHLOE'			2	2	F		0.00 E	1 E	2/11/2010
20			301828.00	21	0	1					50.00
<div style="border: 1px solid black; padding: 2px;">                     02/05/2010 11:05 0 Application Added - Status P                      02/05/2010 11:05 0 Added to Waiting List 0                      02/05/2010 11:05 21 Added to Waiting List 21                      02/11/2010 14:04 0 Application Status Changed - P to E                 </div>											
1-6,129	1/15/2010 11:41:06	BONNETT, GARY D		H/D	1	2	M		18180.00 V	2 E	3/3/2010
21			301844.00	22	0	2					428.00
<div style="border: 1px solid black; padding: 2px;">                     03/03/2010 15:38 0 Application Added - Status P                      03/03/2010 15:38 0 Added to Waiting List 0                      03/03/2010 15:38 22 Added to Waiting List 22                      03/03/2010 15:40 0 Application Status Changed - P to E                      06/18/2010 12:12 22 Removed from Waiting List 22                 </div>											
1-6,134	1/26/2010 11:11:49	BROWN, TIFFANY M			1	2	F		0.00 E	1 E	3/8/2010
22			301850.00	21	0	1					50.00
<div style="border: 1px solid black; padding: 2px;">                     03/08/2010 14:37 0 Application Added - Status P                      03/08/2010 14:37 0 Added to Waiting List 0                      03/08/2010 14:37 21 Added to Waiting List 21                      03/08/2010 14:37 0 Application Status Changed - P to E                 </div>											
1-6,125	2/4/2010 9:52:43	GILBERT, ANDREW A	256-207-2456		2	2	M		0.00 E	1 E	3/2/2010
23			301839.00	21	0	1					50.00
<div style="border: 1px solid black; padding: 2px;">                     03/02/2010 14:44 0 Application Added - Status E                      03/02/2010 14:44 0 Added to Waiting List 0                      03/02/2010 14:44 21 Added to Waiting List 21                 </div>											
1-6,131	2/9/2010 11:07:00	BLACKSHEAR JR., GARY D			1	2	M		0.00 E	1 E	3/4/2010
24			301847.00	21	0	2					50.00
<div style="border: 1px solid black; padding: 2px;">                     03/04/2010 11:53 0 Application Added - Status E                      03/04/2010 11:53 0 Added to Waiting List 0                      03/04/2010 11:53 21 Added to Waiting List 21                 </div>											
1-6,156	2/23/2010 12:02:35	DAVIS, TINA D			1	2	F		0.00 E	1 E	3/30/2010
25			301873.00	21	0	1					50.00

# Sylacauga Housing Authority

List: 0 - All

## Conventional Application Listing

D - Applied Date

Application Sequence	Applied Date / Time	Name	Telephone Position	Pref Location	Type Score	Race Eth	Sex Members	Income Rent	Rooms Amount	Status			
1-6,164	3/8/2010 1:28:32	JACKSON, JIMMY M	301882.00	21	2	2	M	0.00 E	1 E	4/22/2010	03/19/2010 15:19	0	Application Added - Status P
											03/19/2010 15:19	0	Added to Waiting List 0
											03/19/2010 15:19	21	Added to Waiting List 21
											03/30/2010 13:48	0	Application Status Changed - P to E
1-6,170	3/15/2010 11:17:39	GAY, BROOKLYN	301888.00	21	1	2	F	0.00 E	1 E	4/22/2010	04/08/2010 10:00	0	Application Added - Status P
											04/08/2010 10:00	0	Added to Waiting List 0
											04/08/2010 10:00	21	Added to Waiting List 21
											04/22/2010 13:34	0	Application Status Changed - P to E
1-6,210	4/19/2010 1:03:52	YERO, CLARIBEL	301936.00	21	1	1	F	18720.00 L	1 E	6/17/2010	04/22/2010 10:18	0	Application Added - Status E
											04/22/2010 10:18	0	Added to Waiting List 0
											04/22/2010 10:18	21	Added to Waiting List 21
											06/17/2010 11:58	0	Application Added - Status P
1-6,204	4/19/2010 3:57:54	MOORE, LAKETA W	301926.00	21	2	2	F	0.00 E	1 E	6/10/2010	06/10/2010 11:35	0	Application Added - Status E
											06/10/2010 11:35	0	Added to Waiting List 0
											06/10/2010 11:35	21	Added to Waiting List 21
											06/17/2010 11:58	21	Added to Waiting List 21
1-6,198	4/19/2010 10:16:03	DEBARDELABON, LAQUESHEAN	301918.00	21	2	2	F	0.00 E	1 E	6/4/2010	06/04/2010 15:15	0	Application Added - Status E
											06/04/2010 15:15	0	Added to Waiting List 0
											06/04/2010 15:15	21	Added to Waiting List 21
											06/25/2010 14:26	0	Application Status Changed - P to E
1-6,201	5/3/2010 9:44:05	WHITESIDE, DECOREY L	301923.00	21	2	2	M	0.00 E	1 E	6/25/2010	06/10/2010 9:09	0	Application Added - Status P
											06/10/2010 9:09	0	Added to Waiting List 0
											06/10/2010 9:09	21	Added to Waiting List 21
											06/25/2010 14:26	0	Application Status Changed - P to E
1-5,802	5/10/2010 13:50:53	DATES, JAMELIA L	301490.00	21	2	2	F	0.00 E	1 E	5/10/2010	04/20/2009 10:11	0	Application Added - Status E
											04/20/2009 10:11	0	Added to Waiting List 0
											04/20/2009 10:11	21	Added to Waiting List 21
											12/28/2009 15:47	0	Application Status Changed - E to W
											05/10/2010 13:51	0	Application Status Changed - W to E
											05/10/2010 13:51	0	Date changed from 3/19/2009 9:30:37 AM to 5/10/2010 1:50:53 PM
											07/22/2010 16:34	21	Date changed from 3/19/2009 9:30:37 AM to 5/10/2010 9:30:37 AM
											06/10/2010 9:09	0	Application Added - Status P
											06/10/2010 9:09	0	Added to Waiting List 0
											06/10/2010 9:09	21	Added to Waiting List 21
1-6,209	5/11/2010 10:14:02	WALLER, TORONTA	301933.00	21	2	2	F	0.00 E	1 E	6/25/2010	06/10/2010 9:09	0	Application Added - Status P
											06/10/2010 9:09	0	Added to Waiting List 0



# Sylacauga Housing Authority

List: 0 - All

## Conventional Application Listing

D - Applied Date

Application	Applied Date / Time	Name	Telephone	Pref	Type	Race	Eth	Sex	Income	Rooms	Status
Sequence			Position	Location	Score	Members	Rent Amount				
1-6,240	6/1/2010 3:59:53	MARBURY, ANGELA S	301981.00	21	2	2	F	0.00 E	1	E	7/14/2010
34					0	1	50.00				
<div style="border: 1px solid black; padding: 2px;">                     06/17/2010 11:06 0 Application Added - Status P                      06/17/2010 11:06 0 Added to Waiting List 0                      06/17/2010 11:06 21 Added to Waiting List 21                      06/25/2010 14:33 0 Application Status Changed - P to E                 </div>											
1-5,817	6/7/2010 11:35:45	Barber, Dawn S.	256-510-2835	301508.00	21	H/D	1	2	F	12486.00 E	3 E 6/7/2010
35					0	3	248.00				
<div style="border: 1px solid black; padding: 2px;">                     07/14/2010 9:28 0 Application Added - Status E                      07/14/2010 9:28 0 Added to Waiting List 0                      07/14/2010 9:28 21 Added to Waiting List 21                 </div>											
1-6,243	6/10/2010 10:58:11	Bowen, Heather R.	301985.00	22	H/D	1	2	F	14874.00 V	2	E 7/15/2010
36					0	2	350.00				
<div style="border: 1px solid black; padding: 2px;">                     07/15/2010 13:55 0 Application Added - Status P                      07/15/2010 13:55 0 Added to Waiting List 0                      07/15/2010 13:55 22 Added to Waiting List 22                      07/15/2010 16:33 0 Application Status Changed - P to E                 </div>											
1-6,249	6/15/2010 1:12:20	WILSON, SHANQUIWA M	301993.00	21	2	2	F	0.00 E	1	E	8/9/2010
37					0	1	50.00				
<div style="border: 1px solid black; padding: 2px;">                     07/22/2010 9:55 0 Application Added - Status P                      07/22/2010 9:55 0 Added to Waiting List 0                      07/22/2010 9:55 21 Added to Waiting List 21                      08/09/2010 13:51 0 Application Status Changed - P to E                 </div>											
1-6,253	6/18/2010 4:21:00	SMITH, LAFFARIN	301998.00	21	2	2	M	0.00 E	1	E	7/22/2010
38					0	1	50.00				
<div style="border: 1px solid black; padding: 2px;">                     07/22/2010 14:26 0 Application Added - Status E                      07/22/2010 14:26 0 Added to Waiting List 0                      07/22/2010 14:26 21 Added to Waiting List 21                 </div>											
1-6,262	6/23/2010 11:12:04	WHITE, KATY M	302011.00	21	1	2	F	0.00 E	1	E	7/28/2010
39					0	1	50.00				
<div style="border: 1px solid black; padding: 2px;">                     07/28/2010 15:09 0 Application Added - Status E                      07/28/2010 15:09 0 Added to Waiting List 0                      07/28/2010 15:09 21 Added to Waiting List 21                 </div>											
1-6,260	6/25/2010 11:18:08	HARRIS, JALESHIA L	302008.00	21	2	2	F	0.00 E	1	E	7/27/2010
40					0	1	50.00				

# Sylacauga Housing Authority

List: 0 - All

## Conventional Application Listing

D - Applied Date

Application Sequence	Applied Date / Time	Name	Telephone Position	Pref Location	Type Score	Race	Eth	Sex	Income Members	Rooms Rent Amount	Status	
1-6,270	6/25/2010 11:18:54	WHETSTONE, ALEXIA	302020.00	21	2	2	F	0.00 E	1	E	8/13/2010	
41					0				1		50.00	
<div style="border: 1px solid black; padding: 2px;">                     07/27/2010 11:42 0 Application Added - Status E                      07/27/2010 11:42 0 Added to Waiting List 0                      07/27/2010 11:42 21 Added to Waiting List 21                 </div>												
1-6,266	6/30/2010 2:05:24	ROBERSON, RICHARD C	302015.00	21	NEA	1	2	M	0.00 E	1	E	8/9/2010
42					0				1		50.00	
<div style="border: 1px solid black; padding: 2px;">                     08/04/2010 15:31 0 Application Added - Status P                      08/04/2010 15:31 0 Added to Waiting List 0                      08/04/2010 15:31 21 Added to Waiting List 21                      08/24/2010 8:52 0 Application Status Changed - P to E                 </div>												
1-6,271	7/6/2010 10:07:58	Shaw, Joe T.	302021.00	22	ELD	1	2	M	19529.00 L	1	E	8/19/2010
43					0				1		464.00	
<div style="border: 1px solid black; padding: 2px;">                     08/04/2010 16:35 0 Application Added - Status P                      08/04/2010 16:35 0 Added to Waiting List 0                      08/04/2010 16:35 22 Added to Waiting List 22                      08/19/2010 9:42 0 Application Status Changed - P to E                 </div>												
1-5,641	7/12/2010 11:12:56	MCGREGOR, EULES	256-493-9115 301313.00	21	2	2	M	0.00 E	1	E	7/12/2010	
44					0				1		50.00	
<div style="border: 1px solid black; padding: 2px;">                     11/03/2008 16:38 0 Application Added - Status P                      11/03/2008 16:38 0 Added to Waiting List 0                      11/03/2008 16:38 21 Added to Waiting List 21                      03/02/2009 9:39 0 Application Status Changed - P to E                      12/28/2009 15:55 0 Application Status Changed - E to W                      07/12/2010 11:14 0 Application Status Changed - W to E                      07/12/2010 11:14 0 Date changed from 10/20/2008 10:00:10 AM to 7/12/2010 11:12:56 AM                      07/14/2010 10:45 21 Date changed from 10/20/2008 10:00:10 AM to 7/12/2010 10:00:10 AM                 </div>												
1-6,286	7/19/2010 1:44:40	CORBIN, CHRISTOPHER	302040.00	21	2	2	M	0.00 E	1	E	9/10/2010	
45					0				1		50.00	
<div style="border: 1px solid black; padding: 2px;">                     08/20/2010 16:36 0 Application Added - Status P                      08/20/2010 16:36 0 Added to Waiting List 0                      08/20/2010 16:36 21 Added to Waiting List 21                      09/13/2010 9:41 0 Application Status Changed - P to E                 </div>												
1-6,287	7/19/2010 1:45:14	McGowan, Tom	256-207-387C 302041.00	21,22	H/D	2	2	M	16980.00 L	1	E	9/10/2010
46					0				1		415.00	
<div style="border: 1px solid black; padding: 2px;">                     08/20/2010 16:39 0 Application Added - Status P                      08/20/2010 16:39 0 Added to Waiting List 0                      08/20/2010 16:39 21 Added to Waiting List 21                      09/10/2010 13:27 0 Application Status Changed - P to E                      11/01/2010 13:17 22 Added to Waiting List 22                 </div>												
1-6,283	7/19/2010 11:53:01	POWELL, TERRELL	302036.00	21	2	2	M	0.00 E	1	E	9/10/2010	
47					0				1		50.00	

List: 0 - All

## Conventional Application Listing

D - Applied Date

Application Sequence	Applied Date / Time	Name	Telephone Position	Pref Location	Type Score	Race	Eth	Sex	Income Members	Rooms Rent Amount	Status
1-6,288	7/20/2010 1:05:00	DAVIS, SHAVONDA	302042.00	21		2	2	F	0.00 E	1 E	9/10/2010
48						0			1		50.00
<div style="border: 1px solid black; padding: 2px;">             08/20/2010 15:58 0 Application Added - Status P              08/20/2010 15:58 0 Added to Waiting List 0              08/20/2010 15:58 21 Added to Waiting List 21              09/13/2010 9:41 0 Application Status Changed - P to E           </div>											
1-6,093	7/21/2010 10:53:02	Anderson, Barney	301804.00	22	ELD	1	2	M	19115.00 L	1 E	7/21/2010
49						0			1		416.00
<div style="border: 1px solid black; padding: 2px;">             08/20/2010 16:51 0 Application Added - Status P              08/20/2010 16:51 0 Added to Waiting List 0              08/20/2010 16:51 21 Added to Waiting List 21              09/10/2010 13:10 0 Application Status Changed - P to E           </div>											
<div style="border: 1px solid black; padding: 2px;">             01/26/2010 10:45 0 Application Added - Status P              01/26/2010 10:45 0 Added to Waiting List 0              01/26/2010 10:45 22 Added to Waiting List 22              03/02/2010 15:53 0 Application Status Changed - P to W              07/21/2010 10:57 0 Application Status Changed - W to E              07/21/2010 10:57 0 Date changed from 12/22/2009 2:31:51 AM to 7/21/2010 10:53:02 AM              07/21/2010 10:57 22 Date changed from 12/22/2009 2:31:51 AM to 7/21/2010 10:53:51 AM              08/11/2010 9:34 22 Removed from Waiting List 22              08/11/2010 9:34 23 Added to Waiting List 23              09/30/2010 14:01 22 Added to Waiting List 22              09/30/2010 14:01 23 Removed from Waiting List 23           </div>											
1-6,293	7/23/2010 1:44:41	BENNETT, JESSICA	256-378-567E 302047.00	22	H/D	1	2	F	8988.00 E	2 E	10/7/2010
50						0			2		189.00
<div style="border: 1px solid black; padding: 2px;">             08/25/2010 15:07 0 Application Added - Status D              08/25/2010 15:07 0 Added to Waiting List 0              08/25/2010 15:07 22 Added to Waiting List 22              10/07/2010 15:56 0 Application Status Changed - D to E           </div>											
1-6,315	7/23/2010 2:45:34	WATTERS, CAROLYN	302075.00	21,23	NEA	2	2	F	600.00 E	1 E	9/24/2010
51						0			1		50.00
<div style="border: 1px solid black; padding: 2px;">             09/24/2010 16:45 0 Application Added - Status P              09/24/2010 16:45 0 Added to Waiting List 0              09/24/2010 16:45 21 Added to Waiting List 21              09/24/2010 16:45 23 Added to Waiting List 23              09/24/2010 16:46 0 Application Status Changed - P to E           </div>											
1-6,299	7/30/2010 2:43:00	DAVIS, TESSIA	302057.00	21		2	2	F	0.00 E	1 E	9/2/2010
52						0			1		50.00
<div style="border: 1px solid black; padding: 2px;">             09/03/2010 10:46 0 Application Added - Status P              09/03/2010 10:46 0 Added to Waiting List 0              09/03/2010 10:46 21 Added to Waiting List 21              09/13/2010 9:42 0 Application Status Changed - P to E           </div>											
1-6,298	8/2/2010 4:20:26	WILSON, BRIANNA N	302056.00	21		2	2	F	0.00 E	1 E	9/10/2010
53						0			1		50.00
<div style="border: 1px solid black; padding: 2px;">             09/03/2010 10:42 0 Application Added - Status P              09/03/2010 10:42 0 Added to Waiting List 0              09/03/2010 10:42 21 Added to Waiting List 21              09/10/2010 13:30 0 Application Status Changed - P to E           </div>											

# Sylacauga Housing Authority

List: 0 - All

## Conventional Application Listing

D - Applied Date

Application	Applied Date / Time	Name	Telephone	Pref	Type	Race	Eth	Sex	Income	Rooms	Status
Sequence			Position	Location	Score	Members	Rent Amount				
1-6,309	8/9/2010 10:18:52	Jacks, Mamie		H/D	1	2	F	9924.00 E	1	E	9/23/2010
54			302069.00	22	0	1	231.00				
<div style="border: 1px solid black; padding: 5px;">                     09/23/2010 14:16 0 Application Added - Status P                      09/23/2010 14:16 0 Added to Waiting List 0                      09/23/2010 14:16 22 Added to Waiting List 22                      10/01/2010 16:10 0 Application Status Changed - P to E                 </div>											
1-6,312	8/10/2010 2:17:51	MORRIS, REBECCA		H/D	1	2	F	11675.00 V	2	E	10/8/2010
55			302072.00	22	0	2	270.00				
<div style="border: 1px solid black; padding: 5px;">                     09/23/2010 15:36 0 Application Added - Status P                      09/23/2010 15:36 0 Added to Waiting List 0                      09/23/2010 15:36 22 Added to Waiting List 22                      10/08/2010 13:22 0 Application Status Changed - P to E                 </div>											
1-6,314	8/12/2010 1:56:29	Jackson, Danny E.		H/D	1	2	M	11184.00 V	1	E	10/6/2010
56			302074.00	22	0	1	270.00				
<div style="border: 1px solid black; padding: 5px;">                     09/24/2010 14:35 0 Application Added - Status P                      09/24/2010 14:35 0 Added to Waiting List 0                      09/24/2010 14:35 22 Added to Waiting List 22                      10/06/2010 10:54 0 Application Status Changed - P to E                 </div>											
1-6,313	8/13/2010 1:41:11	TWYMAN, HARRY		NEA	2	2	M	1476.00 E	1	E	10/1/2010
57			302073.00	21,23	0	1	50.00				
<div style="border: 1px solid black; padding: 5px;">                     09/23/2010 15:55 0 Application Added - Status P                      09/23/2010 15:55 0 Added to Waiting List 0                      09/23/2010 15:55 21 Added to Waiting List 21                      09/23/2010 15:55 23 Added to Waiting List 23                      10/01/2010 16:35 0 Application Status Changed - P to E                 </div>											
1-6,321	8/17/2010 1:21:47	LOVINES, GWENDOLYN	256-404-5354	NEA	2	2	F	600.00 E	1	E	10/1/2010
58			302083.00	21,23	0	1	50.00				
<div style="border: 1px solid black; padding: 5px;">                     09/28/2010 14:50 0 Application Added - Status P                      09/28/2010 14:50 0 Added to Waiting List 0                      09/28/2010 14:50 21 Added to Waiting List 21                      09/28/2010 14:50 23 Added to Waiting List 23                      10/01/2010 16:11 0 Application Status Changed - P to E                 </div>											
1-5,980	8/18/2010 9:36:06	HUBBARD, ROYALE D	901-395-7045		2	2	F	0.00 E	2	E	8/30/2010
59			301690.00	21	0	2	50.00				
<div style="border: 1px solid black; padding: 5px;">                     10/09/2009 16:03 0 Application Added - Status E                      10/09/2009 16:03 0 Added to Waiting List 0                      10/09/2009 16:03 21 Added to Waiting List 21                      03/18/2010 14:06 0 Application Status Changed - E to W                      09/16/2010 9:37 0 Date changed from 9/24/2009 9:30:57 AM to 9/16/2010 9:36:06 AM                      02/17/2011 9:33 0 Application Status Changed - W to E                      02/17/2011 9:33 0 Date changed from 9/16/2010 9:36:06 AM to 10/16/2010 9:36:06 AM                      02/17/2011 9:35 21 Date changed from 9/24/2009 9:30:57 AM to 10/18/2010 9:30:57 AM                      02/17/2011 9:37 0 Date changed from 10/16/2010 9:36:06 AM to 8/18/2010 9:36:06 AM                      02/17/2011 9:38 21 Date changed from 10/18/2010 9:30:57 AM to 8/18/2010 9:30:57 AM                 </div>											
1-6,325	8/19/2010 11:28:58	Cryer, Susan D.	256-267-1695	H/D	1	2	F	8088.00 E	1	E	9/30/2010
60			302089.00	22	0	1	192.00				

# Sylacauga Housing Authority

List: 0 - All

## Conventional Application Listing

D - Applied Date

Application Sequence	Applied Date / Time	Name	Telephone Position	Pref Location	Type Score	Race Eth	Sex Members	Income Rent Amount	Rooms Status					
1-6,290 61	8/23/2010 2:00:31	SIMS, BRITTNEY S	256-249-8027 302044.00	21	2	2	F	0.00 E	1 E	9/10/2010	09/30/2010 14:21	0	Application Added - Status P	
											09/30/2010 14:21	0	Added to Waiting List 0	
											09/30/2010 14:21	22	Added to Waiting List 22	
											09/30/2010 14:30	0	Application Status Changed - P to E	
1-6,330 62	8/23/2010 2:32:03	ARMBRESTER, KALESIAE W	302095.00	21	2	2	F	0.00 E	1 E	10/1/2010	08/24/2010 15:06	0	Application Added - Status P	
											08/24/2010 15:06	0	Added to Waiting List 0	
											08/24/2010 15:06	21	Added to Waiting List 21	
											09/10/2010 13:02	0	Application Status Changed - P to E	
1-6,328 63	8/23/2010 9:35:54	TINSLEY, MARY A	302092.00	22	ELD	1	2	F	8088.00 E	1 E	10/1/2010	10/01/2010 9:34	0	Application Added - Status P
												10/01/2010 9:34	0	Added to Waiting List 0
												10/01/2010 9:34	22	Added to Waiting List 22
												10/01/2010 9:35	0	Application Status Changed - P to E
1-6,331 64	8/24/2010 2:38:31	COX, ANGELA	302096.00	21	2	2	F	0.00 E	1 E	10/7/2010	10/01/2010 10:39	0	Application Added - Status P	
											10/01/2010 10:39	0	Added to Waiting List 0	
											10/01/2010 10:39	21	Added to Waiting List 21	
											10/07/2010 14:23	0	Application Status Changed - P to E	
1-6,332 65	8/25/2010 2:05:16	ENTREKIN, CAROLYN	302097.00	22	ELD	1	2	F	17620.00 L	1 E	10/20/2010	10/01/2010 11:38	0	Application Added - Status P
												10/01/2010 11:38	0	Added to Waiting List 0
												10/01/2010 11:38	22	Added to Waiting List 22
												10/20/2010 13:26	0	Application Status Changed - P to E
1-6,334 66	8/26/2010 4:19:21	SMITH, DANQUISHIA M	302099.00	21	2	2	F	0.00 E	1 E	10/7/2010	10/01/2010 11:46	0	Application Added - Status P	
											10/01/2010 11:46	0	Added to Waiting List 0	
											10/01/2010 11:46	21	Added to Waiting List 21	
											10/07/2010 14:05	0	Application Status Changed - P to E	
1-6,337 67	8/27/2010 1:24:33	DUNN, KENDRA	302102.00	21	2	2	F	0.00 E	1 E	10/20/2010	10/01/2010 14:23	0	Application Added - Status P	
											10/01/2010 14:23	0	Added to Waiting List 0	
											10/01/2010 14:23	21	Added to Waiting List 21	
											10/25/2010 9:31	0	Application Status Changed - P to E	
1-6,336 68	8/27/2010 1:55:57	TAYLOR, TORIE J	302101.00	21	1	2	F	0.00 E	1 E	10/20/2010	09/30/2010 14:21	0	Application Added - Status P	
											09/30/2010 14:21	0	Added to Waiting List 0	
											09/30/2010 14:21	22	Added to Waiting List 22	
											09/30/2010 14:30	0	Application Status Changed - P to E	

# Sylacauga Housing Authority

List: 0 - All

## Conventional Application Listing

D - Applied Date

Application	Applied Date / Time	Name	Telephone	Pref	Type	Race	Eth	Sex	Income	Rooms	Status																																				
Sequence			Position	Location	Score	Members	Rent Amount																																								
1-5,996	8/27/2010 14:37:20	Casteel, Cynthia	256-378-1226	H/D	1	2	F	11088.00	V	1	E 8/27/2010																																				
69			301706.00	22,23	0	1	263.00																																								
<div style="border: 1px solid black; padding: 5px;"> <table> <tr><td>10/01/2010 13:58</td><td>0</td><td>Application Added - Status P</td></tr> <tr><td>10/01/2010 13:58</td><td>0</td><td>Added to Waiting List 0</td></tr> <tr><td>10/01/2010 13:58</td><td>21</td><td>Added to Waiting List 21</td></tr> <tr><td>10/25/2010 9:32</td><td>0</td><td>Application Status Changed - P to E</td></tr> </table> </div>												10/01/2010 13:58	0	Application Added - Status P	10/01/2010 13:58	0	Added to Waiting List 0	10/01/2010 13:58	21	Added to Waiting List 21	10/25/2010 9:32	0	Application Status Changed - P to E																								
10/01/2010 13:58	0	Application Added - Status P																																													
10/01/2010 13:58	0	Added to Waiting List 0																																													
10/01/2010 13:58	21	Added to Waiting List 21																																													
10/25/2010 9:32	0	Application Status Changed - P to E																																													
1-6,340	8/31/2010 2:51:02	HARRIS JR., ARTHUR LACEY		NEA	2	2	M	600.00	E	1	E 10/22/2010																																				
70			302107.00	21,23	0	1	50.00																																								
<div style="border: 1px solid black; padding: 5px;"> <table> <tr><td>11/09/2009 14:00</td><td>0</td><td>Application Added - Status E</td></tr> <tr><td>11/09/2009 14:00</td><td>0</td><td>Added to Waiting List 0</td></tr> <tr><td>11/09/2009 14:00</td><td>22</td><td>Added to Waiting List 22</td></tr> <tr><td>11/09/2009 14:00</td><td>23</td><td>Added to Waiting List 23</td></tr> <tr><td>04/08/2010 9:39</td><td>0</td><td>Date changed from 10/20/2009 9:45:26 AM to 2/19/2010 9:45:26 AM</td></tr> <tr><td>04/08/2010 9:39</td><td>22</td><td>Date changed from 10/20/2009 9:45:26 AM to 2/19/2010 9:45:26 AM</td></tr> <tr><td>04/08/2010 9:39</td><td>23</td><td>Date changed from 10/20/2009 9:45:26 AM to 2/19/2010 9:45:26 AM</td></tr> <tr><td>07/14/2010 10:21</td><td>0</td><td>Application Status Changed - E to W</td></tr> <tr><td>08/27/2010 14:39</td><td>0</td><td>Application Status Changed - W to E</td></tr> <tr><td>08/27/2010 14:39</td><td>0</td><td>Date changed from 2/19/2010 9:45:26 AM to 8/27/2010 2:37:20 PM</td></tr> <tr><td>08/27/2010 14:39</td><td>22</td><td>Date changed from 2/19/2010 9:45:26 AM to 8/27/2010 1:32:26 AM</td></tr> <tr><td>08/27/2010 14:39</td><td>23</td><td>Removed from Waiting List 23</td></tr> </table> </div>												11/09/2009 14:00	0	Application Added - Status E	11/09/2009 14:00	0	Added to Waiting List 0	11/09/2009 14:00	22	Added to Waiting List 22	11/09/2009 14:00	23	Added to Waiting List 23	04/08/2010 9:39	0	Date changed from 10/20/2009 9:45:26 AM to 2/19/2010 9:45:26 AM	04/08/2010 9:39	22	Date changed from 10/20/2009 9:45:26 AM to 2/19/2010 9:45:26 AM	04/08/2010 9:39	23	Date changed from 10/20/2009 9:45:26 AM to 2/19/2010 9:45:26 AM	07/14/2010 10:21	0	Application Status Changed - E to W	08/27/2010 14:39	0	Application Status Changed - W to E	08/27/2010 14:39	0	Date changed from 2/19/2010 9:45:26 AM to 8/27/2010 2:37:20 PM	08/27/2010 14:39	22	Date changed from 2/19/2010 9:45:26 AM to 8/27/2010 1:32:26 AM	08/27/2010 14:39	23	Removed from Waiting List 23
11/09/2009 14:00	0	Application Added - Status E																																													
11/09/2009 14:00	0	Added to Waiting List 0																																													
11/09/2009 14:00	22	Added to Waiting List 22																																													
11/09/2009 14:00	23	Added to Waiting List 23																																													
04/08/2010 9:39	0	Date changed from 10/20/2009 9:45:26 AM to 2/19/2010 9:45:26 AM																																													
04/08/2010 9:39	22	Date changed from 10/20/2009 9:45:26 AM to 2/19/2010 9:45:26 AM																																													
04/08/2010 9:39	23	Date changed from 10/20/2009 9:45:26 AM to 2/19/2010 9:45:26 AM																																													
07/14/2010 10:21	0	Application Status Changed - E to W																																													
08/27/2010 14:39	0	Application Status Changed - W to E																																													
08/27/2010 14:39	0	Date changed from 2/19/2010 9:45:26 AM to 8/27/2010 2:37:20 PM																																													
08/27/2010 14:39	22	Date changed from 2/19/2010 9:45:26 AM to 8/27/2010 1:32:26 AM																																													
08/27/2010 14:39	23	Removed from Waiting List 23																																													
1-6,341	8/31/2010 3:59:14	PATTERSON, DEBORAH		NEA	2	2	F	6864.00	E	1	E 11/9/2010																																				
71			302108.00	21,23	0	1	172.00																																								
<div style="border: 1px solid black; padding: 5px;"> <table> <tr><td>10/07/2010 10:03</td><td>0</td><td>Application Added - Status P</td></tr> <tr><td>10/07/2010 10:03</td><td>0</td><td>Added to Waiting List 0</td></tr> <tr><td>10/07/2010 10:03</td><td>21</td><td>Added to Waiting List 21</td></tr> <tr><td>10/07/2010 10:03</td><td>23</td><td>Added to Waiting List 23</td></tr> <tr><td>10/22/2010 8:47</td><td>0</td><td>Application Status Changed - P to E</td></tr> </table> </div>												10/07/2010 10:03	0	Application Added - Status P	10/07/2010 10:03	0	Added to Waiting List 0	10/07/2010 10:03	21	Added to Waiting List 21	10/07/2010 10:03	23	Added to Waiting List 23	10/22/2010 8:47	0	Application Status Changed - P to E																					
10/07/2010 10:03	0	Application Added - Status P																																													
10/07/2010 10:03	0	Added to Waiting List 0																																													
10/07/2010 10:03	21	Added to Waiting List 21																																													
10/07/2010 10:03	23	Added to Waiting List 23																																													
10/22/2010 8:47	0	Application Status Changed - P to E																																													
1-6,343	9/1/2010 3:06:13	JARRELL, EDWARD LEE			1	2	M	0.00	E	1	E 10/20/2010																																				
72			302110.00	21	0	1	50.00																																								
<div style="border: 1px solid black; padding: 5px;"> <table> <tr><td>10/07/2010 10:28</td><td>0</td><td>Application Added - Status P</td></tr> <tr><td>10/07/2010 10:28</td><td>0</td><td>Added to Waiting List 0</td></tr> <tr><td>10/07/2010 10:28</td><td>21</td><td>Added to Waiting List 21</td></tr> <tr><td>10/07/2010 10:28</td><td>23</td><td>Added to Waiting List 23</td></tr> <tr><td>11/09/2010 14:12</td><td>0</td><td>Application Status Changed - P to E</td></tr> </table> </div>												10/07/2010 10:28	0	Application Added - Status P	10/07/2010 10:28	0	Added to Waiting List 0	10/07/2010 10:28	21	Added to Waiting List 21	10/07/2010 10:28	23	Added to Waiting List 23	11/09/2010 14:12	0	Application Status Changed - P to E																					
10/07/2010 10:28	0	Application Added - Status P																																													
10/07/2010 10:28	0	Added to Waiting List 0																																													
10/07/2010 10:28	21	Added to Waiting List 21																																													
10/07/2010 10:28	23	Added to Waiting List 23																																													
11/09/2010 14:12	0	Application Status Changed - P to E																																													
1-6,118	9/3/2010 13:13:12	BURNS, VIVIAN		NEA	2	2	F	0.00	E	1	E 9/3/2010																																				
73			301832.00	21,23	0	1	50.00																																								
<div style="border: 1px solid black; padding: 5px;"> <table> <tr><td>10/07/2010 13:32</td><td>0</td><td>Application Added - Status P</td></tr> <tr><td>10/07/2010 13:32</td><td>0</td><td>Added to Waiting List 0</td></tr> <tr><td>10/07/2010 13:32</td><td>21</td><td>Added to Waiting List 21</td></tr> <tr><td>10/20/2010 16:30</td><td>0</td><td>Application Status Changed - P to E</td></tr> </table> </div>												10/07/2010 13:32	0	Application Added - Status P	10/07/2010 13:32	0	Added to Waiting List 0	10/07/2010 13:32	21	Added to Waiting List 21	10/20/2010 16:30	0	Application Status Changed - P to E																								
10/07/2010 13:32	0	Application Added - Status P																																													
10/07/2010 13:32	0	Added to Waiting List 0																																													
10/07/2010 13:32	21	Added to Waiting List 21																																													
10/20/2010 16:30	0	Application Status Changed - P to E																																													

List: 0 - All

## Conventional Application Listing

D - Applied Date

Application Sequence	Applied Date / Time	Name	Telephone Position	Ref Location	Type Score	Race Eth Sex Members	Income Rent Amount	Rooms	Status
1-6,344	9/7/2010 10:03:36	MCDANIEL, FREDDIE W	302111.00	23	NEA	1 2 M	600.00 E	1 E	10/22/2010
74						0	1	50.00	
<div style="border: 1px solid black; padding: 5px;">           02/10/2010 11:48 0 Application Added - Status P            02/10/2010 11:48 0 Added to Waiting List 0            02/10/2010 11:48 21 Added to Waiting List 21            03/17/2010 9:00 0 Application Status Changed - P to E            03/17/2010 13:57 0 Application Status Changed - E to I            05/06/2010 10:18 0 Application Status Changed - I to D            09/01/2010 14:36 0 Application Status Changed - D to I            09/03/2010 13:13 0 Application Status Changed - I to E            09/03/2010 13:13 0 Date changed from 2/1/2010 2:00:03 AM to 9/3/2010 1:13:12 PM            09/03/2010 13:18 21 Date changed from 2/1/2010 2:00:03 AM to 9/3/2010 1:15:03 AM            09/03/2010 13:18 23 Added to Waiting List 23         </div>									
1-6,351	9/9/2010 2:46:37	TYLER JR., DANIEL L	302120.00	21		1 2 M	21840.00 L	2 E	10/22/2010
75						0	3	534.00	
<div style="border: 1px solid black; padding: 5px;">           10/08/2010 9:51 0 Application Added - Status D            10/08/2010 9:51 0 Added to Waiting List 0            10/08/2010 9:51 23 Added to Waiting List 23            10/20/2010 14:20 0 Application Status Changed - D to P            10/22/2010 9:05 0 Application Status Changed - P to E         </div>									
1-6,347	9/9/2010 3:18:13	THOMAS, IMESIA P	302116.00	21		2 2 F	0.00 E	2 E	10/26/2010
76						0	2	50.00	
<div style="border: 1px solid black; padding: 5px;">           10/11/2010 16:30 0 Application Added - Status P            10/11/2010 16:30 0 Added to Waiting List 0            10/11/2010 16:30 21 Added to Waiting List 21            10/26/2010 14:34 0 Application Status Changed - P to E         </div>									
1-5,971	9/9/2010 11:15:25	Patterson, Cathy D.	301681.00	22	H/D	1 2 F	16666.00 V	2 E	9/9/2010
77						0	2	0.00	
<div style="border: 1px solid black; padding: 5px;">           10/05/2009 11:58 0 Application Added - Status P            10/05/2009 11:58 0 Added to Waiting List 0            10/05/2009 11:58 22 Added to Waiting List 22            10/28/2009 13:59 0 Application Status Changed - P to E            03/16/2010 9:23 0 Application Status Changed - E to W            09/10/2010 11:15 0 Application Status Changed - W to E            09/10/2010 11:15 0 Date changed from 9/17/2009 9:30:30 AM to 9/9/2010 11:15:25 AM            09/27/2010 15:05 22 Date changed from 9/17/2009 9:30:30 AM to 9/9/2010 11:15:30 AM         </div>									
1-6,356	9/15/2010 8:55:44	WELLS, LINDA J	302126.00	22	H/D	1 2 F	7279.00 E	1 E	10/26/2010
78						0	1	172.00	
<div style="border: 1px solid black; padding: 5px;">           10/20/2010 14:29 0 Application Added - Status P            10/20/2010 14:29 0 Added to Waiting List 0            10/20/2010 14:29 22 Added to Waiting List 22            10/26/2010 13:44 0 Application Status Changed - P to E         </div>									
1-6,361	9/20/2010 8:00:45	WILLIAMS, AVIS C	302133.00	21		2 2 F	0.00 E	1 E	12/2/2010
79						0	1	50.00	

# Sylacauga Housing Authority

List: 0 - All

## Conventional Application Listing

D - Applied Date

Application Sequence	Applied Date / Time	Name	Telephone Position	Pref Location	Type Score	Race Eth	Sex Members	Income Rent	Rooms Amount	Status
1-6,362	9/20/2010 9:00:00	EPPS, COLETHIA M	256-267-4374			2 2	F	0.00 E	2 E	11/23/2010
80			302134.00	21	0		2		50.00	
<div style="border: 1px solid black; padding: 5px;">           10/27/2010 10:37 0 Application Added - Status P            10/27/2010 10:37 0 Added to Waiting List 0            10/27/2010 10:37 21 Added to Waiting List 21            12/02/2010 15:43 0 Application Status Changed - P to E         </div>										
1-6,359	9/21/2010 1:23:46	FLUKER, TAKESHA	256-589-5442			2 2	F	0.00 E	2 E	11/4/2010
81			302130.00	21	0		2		50.00	
<div style="border: 1px solid black; padding: 5px;">           10/27/2010 11:11 0 Application Added - Status P            10/27/2010 11:11 0 Added to Waiting List 0            10/27/2010 11:11 21 Added to Waiting List 21            11/23/2010 10:00 0 Application Status Changed - P to E         </div>										
1-6,372	9/27/2010 9:38:46	HOLTON, VERA		ELD	1 2	F	12324.00 V	1 E	11/22/2010	
82			302145.00	22	0		1		298.00	
<div style="border: 1px solid black; padding: 5px;">           11/22/2010 10:06 0 Application Added - Status P            11/22/2010 10:06 0 Added to Waiting List 0            11/22/2010 10:06 22 Added to Waiting List 22            11/22/2010 13:38 0 Application Status Changed - P to E         </div>										
1-6,364	9/27/2010 11:44:39	WILSON, DAVID A		H/D	2 2	M	10440.00 V	1 E	12/3/2010	
83			302136.00	21,22	0		1		251.00	
<div style="border: 1px solid black; padding: 5px;">           11/05/2010 15:27 0 Application Added - Status P            11/05/2010 15:27 0 Added to Waiting List 0            11/05/2010 15:27 21 Added to Waiting List 21            11/05/2010 15:27 22 Added to Waiting List 22            12/03/2010 11:37 0 Application Status Changed - P to E         </div>										
1-6,366	9/30/2010 1:48:46	CRAYTON, PEGGY	678-588-4614	ELD	2 2	F	0.00 E	1 E	11/22/2010	
84			302138.00	22,23	0		1		50.00	
<div style="border: 1px solid black; padding: 5px;">           11/05/2010 16:36 0 Application Added - Status P            11/05/2010 16:36 0 Added to Waiting List 0            11/05/2010 16:36 22 Added to Waiting List 22            11/05/2010 16:36 23 Added to Waiting List 23            12/02/2010 14:52 0 Application Status Changed - P to E            02/15/2011 11:10 23 Removed from Waiting List 23         </div>										
1-6,265	10/1/2010 8:16:29	MCGOWAN, IEKILA	252-200-9933	H/D	2 2	F	8616.00 E	2 E	10/18/2010	
85			302014.00	VSW,22	0		2		194.00	
<div style="border: 1px solid black; padding: 5px;">           07/28/2010 16:32 0 Application Added - Status D            07/28/2010 16:32 0 Added to Waiting List 0            07/28/2010 16:32 21 Added to Waiting List 21            07/28/2010 16:32 22 Added to Waiting List 22            10/01/2010 8:16 0 Application Status Changed - D to P            10/01/2010 8:16 0 Date changed from 6/28/2010 10:08:57 AM to 10/1/2010 8:16:29 AM            10/18/2010 9:07 0 Application Status Changed - P to E            10/18/2010 9:32 21 Date changed from 6/28/2010 10:08:57 AM to 10/1/2010 10:00:57 AM            10/18/2010 9:32 22 Date changed from 6/28/2010 10:08:57 AM to 10/1/2010 10:00:57 AM         </div>										



# Sylacauga Housing Authority

List: 0 - All

## Conventional Application Listing

D - Applied Date

Application	Applied Date / Time	Name	Telephone	Pref	Type	Race	Eth	Sex	Income	Rooms	Status
Sequence			Position	Location	Score	Members	Rent Amount				
1-6,294	10/6/2010 11:05:51	FOMBY, FLOYD		NEA	2	2	M	0.00 E	1	E	10/12/2010
86			302049.00	21,23	0	1	50.00				
<div style="border: 1px solid black; padding: 5px;"> <p>08/26/2010 13:23 0 Application Added - Status D</p> <p>08/26/2010 13:23 0 Added to Waiting List 0</p> <p>08/26/2010 13:23 21 Added to Waiting List 21</p> <p>08/26/2010 13:23 22 Added to Waiting List 22</p> <p>10/07/2010 11:06 0 Application Status Changed - D to P</p> <p>10/07/2010 11:06 0 Date changed from 7/30/2010 2:43:01 AM to 10/6/2010 11:05:51 AM</p> <p>10/07/2010 11:06 0 Application Status Changed - P to E</p> <p>10/07/2010 11:08 21 Date changed from 7/30/2010 2:43:01 AM to 10/7/2010 10:00:01 AM</p> <p>10/07/2010 11:08 22 Date changed from 7/30/2010 2:43:01 AM to 10/7/2010 10:00:01 AM</p> <p>10/07/2010 11:46 0 Application Status Changed - E to I</p> <p>10/12/2010 11:53 0 Application Status Changed - I to E</p> <p>12/06/2010 11:32 21 Date changed from 10/7/2010 10:00:01 AM to 10/6/2010 10:00:01 AM</p> <p>12/06/2010 11:32 23 Added to Waiting List 23</p> </div>											
1-6,376	10/8/2010 11:42:50	SMITH, JENNIFER			2	2	F	0.00 E	2	E	11/30/2010
87			302149.00	21	0	2	50.00				
<div style="border: 1px solid black; padding: 5px;"> <p>11/30/2010 14:21 0 Application Added - Status E</p> <p>11/30/2010 14:21 0 Added to Waiting List 0</p> <p>11/30/2010 14:21 21 Added to Waiting List 21</p> </div>											
1-6,375	10/11/2010 4:54:32	MARTIN, TALISA M	256-249-3702		2	2	F	0.00 E	2	E	11/30/2010
88			302148.00	21	0	2	50.00				
<div style="border: 1px solid black; padding: 5px;"> <p>11/30/2010 13:56 0 Application Added - Status E</p> <p>11/30/2010 13:56 0 Added to Waiting List 0</p> <p>11/30/2010 13:56 21 Added to Waiting List 21</p> </div>											
1-6,380	10/13/2010 3:20:39	BUTLER, CASSANDRA		H/D	1	2	F	1194.00 V	1	E	12/1/2010
89			302156.00	22	0	1	289.00				
<div style="border: 1px solid black; padding: 5px;"> <p>12/01/2010 15:44 0 Application Added - Status E</p> <p>12/01/2010 15:44 0 Added to Waiting List 0</p> <p>12/01/2010 15:44 22 Added to Waiting List 22</p> <p>12/28/2010 10:11 22 Date changed from 10/13/2010 3:20:39 AM to 10/13/2010 3:20:39 AM</p> </div>											
1-6,381	10/13/2010 3:22:04	WATTS, CHARLES D		NEA	1	2	M	600.00 E	1	E	12/1/2010
90			302157.00	23	0	1	50.00				
<div style="border: 1px solid black; padding: 5px;"> <p>12/01/2010 16:01 0 Application Added - Status E</p> <p>12/01/2010 16:01 0 Added to Waiting List 0</p> <p>12/01/2010 16:01 23 Added to Waiting List 23</p> </div>											
1-6,378	10/13/2010 3:54:00	KELLEY, CANDICE D			2	2	F	0.00 E	2	E	12/1/2010
91			302152.00	21	0	2	50.00				
<div style="border: 1px solid black; padding: 5px;"> <p>12/01/2010 15:11 0 Application Added - Status E</p> <p>12/01/2010 15:11 0 Added to Waiting List 0</p> <p>12/01/2010 15:11 21 Added to Waiting List 21</p> </div>											
1-6,379	10/13/2010 3:54:14	CHATMAN, AMANDA			2	2	F	0.00 E	2	E	12/1/2010
92			302154.00	21	0	2	50.00				
<div style="border: 1px solid black; padding: 5px;"> <p>12/01/2010 15:20 0 Application Added - Status P</p> <p>12/01/2010 15:20 0 Added to Waiting List 0</p> <p>12/01/2010 15:20 21 Added to Waiting List 21</p> <p>12/01/2010 15:28 0 Application Status Changed - P to E</p> </div>											

# Sylacauga Housing Authority

## Conventional Application Listing

List: 0 - All

D - Applied Date

Application Sequence	Applied Date / Time	Name	Telephone Position	Pref Location	Type Score	Race	Eth	Sex	Income Members	Rooms Rent Amount	Status
1-5,940 93	10/18/2010 8:40:59	Williams, Joyce E.	256-267-2192 301646.00		H/D 22,23	1	2	F	8328.00 E 1	1 E	10/18/2010 198.00
<div style="display: flex; justify-content: space-between;"> <span>09/14/2009 16:14</span> <span>0</span> <span>Application Added - Status P</span> </div> <div style="display: flex; justify-content: space-between;"> <span>09/14/2009 16:14</span> <span>0</span> <span>Added to Waiting List 0</span> </div> <div style="display: flex; justify-content: space-between;"> <span>09/14/2009 16:14</span> <span>22</span> <span>Added to Waiting List 22</span> </div> <div style="display: flex; justify-content: space-between;"> <span>09/14/2009 16:14</span> <span>23</span> <span>Added to Waiting List 23</span> </div> <div style="display: flex; justify-content: space-between;"> <span>09/14/2009 16:14</span> <span>0</span> <span>Application Status Changed - P to E</span> </div> <div style="display: flex; justify-content: space-between;"> <span>10/30/2009 15:17</span> <span>0</span> <span>Application Status Changed - E to W</span> </div> <div style="display: flex; justify-content: space-between;"> <span>11/10/2009 8:42</span> <span>0</span> <span>Application Status Changed - W to E</span> </div> <div style="display: flex; justify-content: space-between;"> <span>11/10/2009 8:42</span> <span>0</span> <span>Date changed from 8/14/2009 10:30:17 AM to 11/10/2009 8:40:59 AM</span> </div> <div style="display: flex; justify-content: space-between;"> <span>11/10/2009 8:42</span> <span>22</span> <span>Date changed from 8/14/2009 10:30:17 AM to 11/10/2009 8:40:59 AM</span> </div> <div style="display: flex; justify-content: space-between;"> <span>11/10/2009 8:42</span> <span>23</span> <span>Date changed from 8/14/2009 10:30:17 AM to 11/10/2009 8:40:59 AM</span> </div> <div style="display: flex; justify-content: space-between;"> <span>02/22/2010 11:08</span> <span>0</span> <span>Date changed from 11/10/2009 8:40:59 AM to 1/4/2010 8:40:59 AM</span> </div> <div style="display: flex; justify-content: space-between;"> <span>02/22/2010 11:08</span> <span>22</span> <span>Date changed from 11/10/2009 8:40:59 AM to 11/10/2009 8:40:59 AM</span> </div> <div style="display: flex; justify-content: space-between;"> <span>02/22/2010 11:08</span> <span>23</span> <span>Date changed from 11/10/2009 8:40:59 AM to 11/10/2009 8:40:59 AM</span> </div> <div style="display: flex; justify-content: space-between;"> <span>02/22/2010 11:09</span> <span>22</span> <span>Date changed from 11/10/2009 8:40:59 AM to 1/4/2010 8:40:59 AM</span> </div> <div style="display: flex; justify-content: space-between;"> <span>02/22/2010 11:09</span> <span>23</span> <span>Date changed from 11/10/2009 8:40:59 AM to 1/4/2010 8:40:59 AM</span> </div> <div style="display: flex; justify-content: space-between;"> <span>04/07/2010 9:38</span> <span>23</span> <span>Removed from Waiting List 23</span> </div> <div style="display: flex; justify-content: space-between;"> <span>05/25/2010 9:51</span> <span>0</span> <span>Application Status Changed - E to W</span> </div> <div style="display: flex; justify-content: space-between;"> <span>10/25/2010 16:10</span> <span>0</span> <span>Date changed from 1/4/2010 8:40:59 AM to 10/18/2010 8:40:59 AM</span> </div> <div style="display: flex; justify-content: space-between;"> <span>02/15/2011 8:33</span> <span>0</span> <span>Application Status Changed - W to E</span> </div> <div style="display: flex; justify-content: space-between;"> <span>02/15/2011 8:33</span> <span>22</span> <span>Date changed from 1/4/2010 8:40:59 AM to 10/18/2010 8:40:59 AM</span> </div>											
1-6,386 94	10/18/2010 9:59:19	STEVENS, JERRY L			ELD 22	1	2	M	16736.00 L 1	1 E	12/2/2010 358.00
<div style="display: flex; justify-content: space-between;"> <span>12/02/2010 11:57</span> <span>0</span> <span>Application Added - Status P</span> </div> <div style="display: flex; justify-content: space-between;"> <span>12/02/2010 11:57</span> <span>0</span> <span>Added to Waiting List 0</span> </div> <div style="display: flex; justify-content: space-between;"> <span>12/02/2010 11:57</span> <span>22</span> <span>Added to Waiting List 22</span> </div> <div style="display: flex; justify-content: space-between;"> <span>12/02/2010 11:58</span> <span>0</span> <span>Application Status Changed - P to E</span> </div>											
1-6,383 95	10/19/2010 1:26:01	FUNDERBURG, ELIZABETH			ELD 22	2	2	F	8088.00 E 1	1 E	12/2/2010 192.00
<div style="display: flex; justify-content: space-between;"> <span>12/01/2010 16:22</span> <span>0</span> <span>Application Added - Status P</span> </div> <div style="display: flex; justify-content: space-between;"> <span>12/01/2010 16:22</span> <span>0</span> <span>Added to Waiting List 0</span> </div> <div style="display: flex; justify-content: space-between;"> <span>12/01/2010 16:22</span> <span>22</span> <span>Added to Waiting List 22</span> </div> <div style="display: flex; justify-content: space-between;"> <span>12/02/2010 11:39</span> <span>0</span> <span>Application Status Changed - P to E</span> </div>											
1-6,387 96	10/19/2010 11:26:55	BAILEY, TERESA			H/D 22	1	2	F	8328.00 E 1	1 E	12/2/2010 198.00
<div style="display: flex; justify-content: space-between;"> <span>12/02/2010 13:31</span> <span>0</span> <span>Application Added - Status E</span> </div> <div style="display: flex; justify-content: space-between;"> <span>12/02/2010 13:31</span> <span>0</span> <span>Added to Waiting List 0</span> </div> <div style="display: flex; justify-content: space-between;"> <span>12/02/2010 13:31</span> <span>22</span> <span>Added to Waiting List 22</span> </div>											
1-6,399 97	10/22/2010 1:47:04	BROWNFIELD, MARKITA M				2	2	F	0.00 E 2	2 E	12/15/2010 50.00
<div style="display: flex; justify-content: space-between;"> <span>12/15/2010 13:10</span> <span>0</span> <span>Application Added - Status E</span> </div> <div style="display: flex; justify-content: space-between;"> <span>12/15/2010 13:10</span> <span>0</span> <span>Added to Waiting List 0</span> </div> <div style="display: flex; justify-content: space-between;"> <span>12/15/2010 13:10</span> <span>21</span> <span>Added to Waiting List 21</span> </div>											

# Sylacauga Housing Authority

## Conventional Application Listing

List: 0 - All

D - Applied Date

Application	Applied Date / Time	Name	Telephone	Pref	Type	Race	Eth	Sex	Income	Rooms	Status
Sequence			Position	Location	Score	Members	Rent Amount				
1-6,389	10/25/2010 11:48:56	WOODS, MELODY			2	2	F	0.00 E	2	E	12/13/2010
98			302167.00	21	0	2	50.00				
12/07/2010 11:13 0 Application Added - Status P 12/07/2010 11:13 0 Added to Waiting List 0 12/07/2010 11:13 21 Added to Waiting List 21 12/13/2010 14:01 0 Application Status Changed - P to E											
1-6,391	10/26/2010 8:31:35	WILLIAMS, KAYWANDA			H/D	2	2	F	8088.00 E	1	E 12/10/2010
99			302169.00	21,22	0	1	192.00				
12/10/2010 15:08 0 Application Added - Status E 12/10/2010 15:08 0 Added to Waiting List 0 12/10/2010 15:08 21 Added to Waiting List 21 12/10/2010 15:08 22 Added to Waiting List 22											
1-6,257	10/26/2010 11:57:21	RICHARDSON, LETRIELLE D			2	2	M	0.00 E	2	E	10/26/2010
100			302004.00	21	0	2	50.00				
07/23/2010 14:48 0 Application Added - Status P 07/23/2010 14:48 0 Added to Waiting List 0 07/23/2010 14:48 21 Added to Waiting List 21 07/23/2010 14:48 0 Application Status Changed - P to E 10/26/2010 11:57 0 Date changed from 6/22/2010 2:43:36 AM to 10/26/2010 11:57:21 AM 10/26/2010 13:08 21 Date changed from 6/22/2010 2:45:36 AM to 10/26/2010 11:52:36 AM											
1-6,390	10/27/2010 10:09:55	WILLBANKS, PAULA	256-329-1665		H/D	1	2	F	6828.00 E	1	E 12/10/2010
101			302168.00	22,23	0	1	161.00				
12/09/2010 11:48 0 Application Added - Status P 12/09/2010 11:48 0 Added to Waiting List 0 12/09/2010 11:48 22 Added to Waiting List 22 12/09/2010 11:48 23 Added to Waiting List 23 12/10/2010 14:26 0 Application Status Changed - P to E											
1-6,385	11/1/2010 8:32:00	PERRY, SHIRLEY A			ELD	1	2	F	8088.00 E	1	E 12/17/2010
102			302161.00	22,23	0	1	192.00				
12/02/2010 11:28 0 Application Added - Status P 12/02/2010 11:28 0 Added to Waiting List 0 12/02/2010 11:28 22 Added to Waiting List 22 12/02/2010 11:28 23 Added to Waiting List 23 12/17/2010 16:18 0 Application Status Changed - P to E											
1-6,396	11/3/2010 1:36:12	WEATHERBEE, HOLLY J			1	2	F	0.00 E	2	E	12/14/2010
103			302176.00	21	0	2	50.00				
12/14/2010 14:03 0 Application Added - Status E 12/14/2010 14:03 0 Added to Waiting List 0 12/14/2010 14:03 21 Added to Waiting List 21											
1-6,393	11/3/2010 2:34:44	BROWNING, DONNA			H/D	1	2	F	8088.00 E	1	E 12/10/2010
104			302173.00	21,22	0	1	192.00				
12/10/2010 16:16 0 Application Added - Status E 12/10/2010 16:16 0 Added to Waiting List 0 12/10/2010 16:16 21 Added to Waiting List 21 12/10/2010 16:16 22 Added to Waiting List 22 12/10/2010 16:16 23 Added to Waiting List 23											
1-6,397	11/4/2010 1:30:40	BENNETT, JUSTIN			2	2	M	0.00 E	1	E	12/14/2010
105			302177.00	21	0	1	50.00				

# Sylacauga Housing Authority

List: 0 - All

## Conventional Application Listing

D - Applied Date

Application	Applied Date / Time	Name	Telephone	Pref	Type	Race	Eth	Sex	Income	Rooms	Status
Sequence			Position	Location	Score	Members	Rent Amount				
1-6,398	11/9/2010 5:41:53	STANLEY, VIRGINIA		H/D	1	2	F	9840.00 E	1	E	12/21/2010
106			302178.00	22	0	1	236.00				
<div style="border: 1px solid black; padding: 2px;">                     12/14/2010 14:39 0 Application Added - Status E                      12/14/2010 14:39 0 Added to Waiting List 0                      12/14/2010 14:39 21 Added to Waiting List 21                 </div>											
1-6,404	11/10/2010 3:51:00	KIRKLAND, AMBER E			2	2	F	0.00 E	2	E	12/28/2010
107			302184.00	21	0	2	50.00				
<div style="border: 1px solid black; padding: 2px;">                     12/15/2010 11:37 0 Application Added - Status P                      12/15/2010 11:37 0 Added to Waiting List 0                      12/15/2010 11:37 22 Added to Waiting List 22                      12/21/2010 16:48 0 Application Status Changed - P to E                 </div>											
1-6,407	11/19/2010 11:54:57	COTTINGHAM, CATRINA			2	2	F	0.00 E	2	E	2/4/2011
110			302189.00	21	0	2	50.00				
<div style="border: 1px solid black; padding: 2px;">                     01/05/2011 9:09 0 Application Added - Status P                      01/05/2011 9:09 0 Added to Waiting List 0                      01/05/2011 9:09 21 Added to Waiting List 21                      02/04/2011 14:49 0 Application Status Changed - P to E                 </div>											
1-6,420	11/21/2010 3:00:43	REDMOND, GRACE			2	2	F	0.00 E	1	E	2/2/2011
111			302206.00	21	0	1	50.00				
<div style="border: 1px solid black; padding: 2px;">                     02/02/2011 16:27 0 Application Added - Status E                      02/02/2011 16:27 0 Added to Waiting List 0                      02/02/2011 16:27 21 Added to Waiting List 21                 </div>											
1-6,411	11/22/2010 2:46:31	BUTLER, ALTON DEXTER		H/D	1	2	M	12374.00 V	1	E	1/25/2011
112			302194.00	22	0	2	299.00				
<div style="border: 1px solid black; padding: 2px;">                     01/11/2011 14:50 0 Application Added - Status P                      01/11/2011 14:50 0 Added to Waiting List 0                      01/11/2011 14:50 22 Added to Waiting List 22                      01/25/2011 16:43 0 Application Status Changed - P to E                 </div>											
1-6,392	11/23/2010 2:17:37	PEOPLES, ELGIN		ELD	1	2	M	13704.00 V	1	E	12/17/2010
113			302171.00	22	0	2	333.00				
<div style="border: 1px solid black; padding: 2px;">                     12/10/2010 16:08 0 Application Added - Status P                      12/10/2010 16:08 0 Added to Waiting List 0                      12/10/2010 16:08 22 Added to Waiting List 22                      12/17/2010 16:15 0 Application Status Changed - P to E                 </div>											

List: 0 - All

## Conventional Application Listing

D - Applied Date

Application Sequence	Applied Date / Time	Name	Telephone Position	Pref Location	Type	Race	Eth	Sex	Income	Rooms	Status
1-6,408 114	11/23/2010 2:35:43	WILSON, KATHERINE P	302190.00	22	H/D	2	2	F	10848.00 V	2	E 1/14/2011
<div style="border: 1px solid black; padding: 5px;">                     01/05/2011 9:12 0 Application Added - Status P                      01/05/2011 9:12 0 Added to Waiting List 0                      01/05/2011 9:12 22 Added to Waiting List 22                      01/14/2011 14:46 0 Application Status Changed - P to E                 </div>											
1-6,429 115	12/9/2010 2:22:45	MURRAY, TOMMY	302217.00	22	H/D	1	2	M	8664.00 E	1	E 2/11/2011
<div style="border: 1px solid black; padding: 5px;">                     02/10/2011 16:38 0 Application Added - Status P                      02/10/2011 16:38 0 Added to Waiting List 0                      02/10/2011 16:38 22 Added to Waiting List 22                      02/11/2011 10:22 0 Application Status Changed - P to E                 </div>											
1-6,430 116	12/13/2010 3:28:17	ODEN, SAMUEL	302218.00	21,22	ELD	2	2	M	0.00 E	1	E 2/11/2011
<div style="border: 1px solid black; padding: 5px;">                     02/11/2011 10:47 0 Application Added - Status E                      02/11/2011 10:47 0 Added to Waiting List 0                      02/11/2011 10:47 21 Added to Waiting List 21                      02/11/2011 10:47 22 Added to Waiting List 22                      02/11/2011 10:47 23 Added to Waiting List 23                 </div>											
1-5,878 117	12/13/2010 13:16:11	ROBERSON, BRITTANY N	256-309-9491 301577.00	21	H/D	1	2	F	0.00 E	2	E 12/13/2010
<div style="border: 1px solid black; padding: 5px;">                     06/22/2009 14:14 0 Application Added - Status E                      06/22/2009 14:14 0 Added to Waiting List 0                      06/22/2009 14:14 21 Added to Waiting List 21                      11/02/2009 14:39 0 Application Status Changed - E to W                      01/22/2010 14:15 0 Application Status Changed - W to E                      01/22/2010 14:15 0 Date changed from 5/26/2009 2:00:32 AM to 1/22/2010 2:14:09 PM                      01/22/2010 14:15 21 Date changed from 5/26/2009 2:00:32 AM to 1/22/2010 2:14:09 PM                      03/18/2010 13:16 21 Date changed from 1/22/2010 2:14:09 PM to 1/22/2010 2:14:09 PM                      08/04/2010 11:39 0 Application Status Changed - E to W                      12/13/2010 13:16 0 Application Status Changed - W to E                      12/13/2010 13:16 0 Date changed from 1/22/2010 2:14:09 PM to 12/13/2010 1:16:11 PM                      12/28/2010 15:05 21 Date changed from 1/22/2010 2:14:09 PM to 12/13/2010 9:18:09 AM                 </div>											
1-6,432 118	12/17/2010 2:51:29	PHILAN, BRANDI J	302220.00	21		2	2	F	0.00 E	3	E 2/11/2011
<div style="border: 1px solid black; padding: 5px;">                     02/11/2011 14:08 0 Application Added - Status E                      02/11/2011 14:08 0 Added to Waiting List 0                      02/11/2011 14:08 21 Added to Waiting List 21                 </div>											
1-6,219 119	12/17/2010 9:44:36	Kidd, Chinita	256-369-1522 301947.00	21,22	H/D	2	2	F	8088.00 E	1	E 12/17/2010

# Sylacauga Housing Authority

## Conventional Application Listing

List: 0 - All

D - Applied Date

Application Sequence	Applied Date / Time	Name	Telephone Position	Pref Location	Type Score	Race	Eth	Sex Members	Income	Rooms Rent Amount	Status
1-6,434	12/20/2010 2:05:28	GERTSCH, THOMAS	302222.00	H/D	22,23	1	2	M	7067.00 E	1 E	2/11/2011
120					0			1		167.00	
<div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">06/23/2010 9:46</div> <div style="width: 100px; text-align: right;">9:46</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Application Added - Status P</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">06/23/2010 9:46</div> <div style="width: 100px; text-align: right;">9:46</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Added to Waiting List 0</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">06/23/2010 9:46</div> <div style="width: 100px; text-align: right;">9:46</div> <div style="width: 100px; text-align: right;">21</div> <div style="width: 100px; text-align: right;">Added to Waiting List 21</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">06/23/2010 9:46</div> <div style="width: 100px; text-align: right;">9:46</div> <div style="width: 100px; text-align: right;">22</div> <div style="width: 100px; text-align: right;">Added to Waiting List 22</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">06/23/2010 9:57</div> <div style="width: 100px; text-align: right;">9:57</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Application Status Changed - P to E</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">12/17/2010 13:25</div> <div style="width: 100px; text-align: right;">13:25</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Application Status Changed - E to W</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">01/28/2011 16:56</div> <div style="width: 100px; text-align: right;">16:56</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Application Status Changed - W to E</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">02/02/2011 13:32</div> <div style="width: 100px; text-align: right;">13:32</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Date changed from 6/23/2010 9:44:36 AM to 12/17/2010 9:44:36 AM</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">02/02/2011 13:32</div> <div style="width: 100px; text-align: right;">13:32</div> <div style="width: 100px; text-align: right;">21</div> <div style="width: 100px; text-align: right;">Date changed from 6/23/2010 9:44:36 AM to 12/17/2010 9:00:36 AM</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">02/02/2011 13:32</div> <div style="width: 100px; text-align: right;">13:32</div> <div style="width: 100px; text-align: right;">22</div> <div style="width: 100px; text-align: right;">Date changed from 6/23/2010 9:44:36 AM to 12/17/2010 9:00:36 AM</div> </div>											
1-6,423	12/28/2010 3:33:06	ROBINSON, JOEL	302210.00	H/D	22	1	2	M	15012.00 V	1 E	2/4/2011
121					0			1		298.00	
<div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">02/11/2011 16:36</div> <div style="width: 100px; text-align: right;">16:36</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Application Added - Status E</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">02/11/2011 16:36</div> <div style="width: 100px; text-align: right;">16:36</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Added to Waiting List 0</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">02/11/2011 16:36</div> <div style="width: 100px; text-align: right;">16:36</div> <div style="width: 100px; text-align: right;">22</div> <div style="width: 100px; text-align: right;">Added to Waiting List 22</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">02/11/2011 16:36</div> <div style="width: 100px; text-align: right;">16:36</div> <div style="width: 100px; text-align: right;">23</div> <div style="width: 100px; text-align: right;">Added to Waiting List 23</div> </div>											
1-5,903	12/28/2010 10:13:30	RIGGINS, KIARA S	301605.00	256-267-5404	21	2	2	F	0.00 E	1 E	12/28/2010
122					0			1		50.00	
<div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">07/24/2009 15:36</div> <div style="width: 100px; text-align: right;">15:36</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Application Added - Status P</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">07/24/2009 15:36</div> <div style="width: 100px; text-align: right;">15:36</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Added to Waiting List 0</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">07/24/2009 15:36</div> <div style="width: 100px; text-align: right;">15:36</div> <div style="width: 100px; text-align: right;">21</div> <div style="width: 100px; text-align: right;">Added to Waiting List 21</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">08/06/2009 16:08</div> <div style="width: 100px; text-align: right;">16:08</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Application Status Changed - P to E</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">12/28/2009 15:41</div> <div style="width: 100px; text-align: right;">15:41</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Application Status Changed - E to W</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">12/28/2009 15:41</div> <div style="width: 100px; text-align: right;">15:41</div> <div style="width: 100px; text-align: right;">21</div> <div style="width: 100px; text-align: right;">Date changed from 7/23/2009 3:26:54 PM to 7/23/2009 10:30:54 AM</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">12/29/2009 16:50</div> <div style="width: 100px; text-align: right;">16:50</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Application Status Changed - W to E</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">12/28/2010 10:14</div> <div style="width: 100px; text-align: right;">10:14</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Date changed from 7/23/2009 10:30:54 AM to 12/28/2010 10:13:30 AM</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">12/28/2010 10:14</div> <div style="width: 100px; text-align: right;">10:14</div> <div style="width: 100px; text-align: right;">21</div> <div style="width: 100px; text-align: right;">Date changed from 7/23/2009 10:30:54 AM to 12/28/2010 10:14:54 AM</div> </div>											
1-6,436	1/3/2011 11:38:39	LAMAN, CARY M	302225.00	H/D	22	1	2	F	0.00 E	1 E	2/16/2011
123					0			1		50.00	
<div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">02/16/2011 16:40</div> <div style="width: 100px; text-align: right;">16:40</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Application Added - Status E</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">02/16/2011 16:40</div> <div style="width: 100px; text-align: right;">16:40</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Added to Waiting List 0</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">02/16/2011 16:40</div> <div style="width: 100px; text-align: right;">16:40</div> <div style="width: 100px; text-align: right;">22</div> <div style="width: 100px; text-align: right;">Added to Waiting List 22</div> </div>											
1-6,406	1/4/2011 2:10:01	LAWLER, LATASHA	302188.00		21	2	2	F	0.00 E	2 E	1/5/2011
124					0			2		50.00	
<div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">01/05/2011 8:51</div> <div style="width: 100px; text-align: right;">8:51</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Application Added - Status E</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">01/05/2011 8:51</div> <div style="width: 100px; text-align: right;">8:51</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Added to Waiting List 0</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">01/05/2011 8:51</div> <div style="width: 100px; text-align: right;">8:51</div> <div style="width: 100px; text-align: right;">21</div> <div style="width: 100px; text-align: right;">Added to Waiting List 21</div> </div>											
1-6,438	1/5/2011 2:49:27	BARNETT, BOBBY E	302227.00	ELD	22	1	2	M	0.00 E	1 E	2/17/2011
125					0			1		50.00	
<div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">02/17/2011 11:16</div> <div style="width: 100px; text-align: right;">11:16</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Application Added - Status E</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">02/17/2011 11:16</div> <div style="width: 100px; text-align: right;">11:16</div> <div style="width: 100px; text-align: right;">0</div> <div style="width: 100px; text-align: right;">Added to Waiting List 0</div> </div> <div style="display: flex; flex-direction: row-reverse;"> <div style="width: 100px; text-align: right;">02/17/2011 11:16</div> <div style="width: 100px; text-align: right;">11:16</div> <div style="width: 100px; text-align: right;">22</div> <div style="width: 100px; text-align: right;">Added to Waiting List 22</div> </div>											

# Sylacauga Housing Authority

## Conventional Application Listing

List: 0 - All

D - Applied Date

Application Sequence	Applied Date / Time	Name	Telephone Position	Pref Location	Type	Race	Eth	Sex	Income	Rooms	Status	Rent Amount
1-6,449 126	1/6/2011 10:25:31	TONEY, TYRISHA A	302240.00	21		2	2	F	0.00 E	1	E	2/25/2011 50.00
02/25/2011 14:28 0 Application Added - Status E 02/25/2011 14:28 0 Added to Waiting List 0 02/25/2011 14:28 21 Added to Waiting List 21												
1-6,441 127	1/7/2011 2:17:15	MALONE, NORMAN	302230.00	21,22	H/D	2	2	M	0.00 E	1	E	2/17/2011 50.00
02/17/2011 13:39 0 Application Added - Status E 02/17/2011 13:39 0 Added to Waiting List 0 02/17/2011 13:39 21 Added to Waiting List 21												
1-6,440 128	1/8/2011 4:12:19	MCGREGOR, LORENZO	302229.00	21		2	2	M	0.00 E	1	E	2/17/2011 50.00
02/17/2011 13:23 0 Application Added - Status E 02/17/2011 13:23 0 Added to Waiting List 0 02/17/2011 13:23 21 Added to Waiting List 21												
1-6,447 129	1/11/2011 9:39:01	RIPPEE, ANDREA	302237.00	22	H/D	1	2	F	8088.00 E	1	E	2/25/2011 192.00
02/25/2011 13:35 0 Application Added - Status S 02/25/2011 13:35 0 Added to Waiting List 0 02/25/2011 13:35 22 Added to Waiting List 22 02/25/2011 13:36 0 Application Status Changed - S to E												
1-6,448 130	1/11/2011 9:39:43	SIMS, TINA M	302239.00	21		1	2	F	0.00 E	1	E	2/25/2011 50.00
02/25/2011 14:14 0 Application Added - Status E 02/25/2011 14:14 0 Added to Waiting List 0 02/25/2011 14:14 21 Added to Waiting List 21												
1-6,421 131	1/13/2011 1:09:34	MILAM, DONALD	302207.00	22	ELD	1	2	M	17429.00 L	1	E	2/3/2011 332.00
02/03/2011 15:06 0 Application Added - Status E 02/03/2011 15:06 0 Added to Waiting List 0 02/03/2011 15:06 22 Added to Waiting List 22												
1-6,450 132	1/14/2011 4:17:14	DEVINE, JEFFREY	302241.00	21		1	2	M	0.00 E	1	E	2/28/2011 50.00
02/28/2011 16:38 0 Application Added - Status E 02/28/2011 16:38 0 Added to Waiting List 0 02/28/2011 16:38 21 Added to Waiting List 21												
1-6,050 133	1/27/2011 13:02:55	AUSTIN, CHARLENE	256-493-2761 301760.00	21		2	2	F	0.00 E	2	E	1/27/2011 50.00

# Sylacauga Housing Authority

## Conventional Application Listing

List: 0 - All

D - Applied Date

Application Sequence	Applied Date / Time	Name	Telephone	Pref	Type	Race	Eth	Sex	Income	Rooms	Status	
Sequence			Position	Location	Score	Members	Rent Amount					
1-6,416	1/28/2011 14:02:29	BODDY, DONALD B			2	2	M	0.00 E	1	E	1/28/2011	
134			302201.00	21	0	1	50.00					
12/07/2009 10:32 0 Application Added - Status P 12/07/2009 10:32 0 Added to Waiting List 0 12/07/2009 10:32 21 Added to Waiting List 21 12/10/2009 15:43 0 Application Status Changed - P to E 05/17/2010 14:35 0 Application Status Changed - E to I 07/19/2010 15:03 0 Application Status Changed - I to E 07/20/2010 10:52 0 Date changed from 11/23/2009 2:30:07 AM to 7/19/2010 10:52:18 AM 07/20/2010 10:52 21 Date changed from 11/23/2009 2:30:07 AM to 7/19/2010 2:30:07 AM 12/06/2010 10:28 0 Application Status Changed - E to W 01/27/2011 13:02 0 Date changed from 7/19/2010 10:52:18 AM to 1/27/2011 1:02:55 PM 01/27/2011 13:06 0 Application Status Changed - W to E 01/31/2011 14:15 21 Date changed from 7/19/2010 2:30:07 AM to 1/27/2011 2:30:07 AM												
1-6,217	2/7/2011 9:48:24	KIRBY, JENNIFER JONES	256-249-9767		1	2	F	0.00 E	2	E	2/7/2011	
135			301945.00	21	0	3	50.00					
01/28/2011 14:06 0 Application Added - Status P 01/28/2011 14:06 0 Added to Waiting List 0 01/28/2011 14:06 21 Added to Waiting List 21 01/28/2011 14:07 0 Application Status Changed - P to E												
06/18/2010 15:22 0 Application Added - Status E 06/18/2010 15:22 0 Added to Waiting List 0 06/18/2010 15:22 21 Added to Waiting List 21 10/26/2010 11:44 0 Application Status Changed - E to W 02/07/2011 9:49 0 Application Status Changed - W to E 02/07/2011 9:49 0 Date changed from 5/10/2010 11:07:28 AM to 2/7/2011 9:48:24 AM 02/08/2011 11:09 21 Date changed from 5/5/2010 11:07:28 AM to 2/7/2011 9:48:24 AM 02/08/2011 11:09 22 Added to Waiting List 22												
1-6,304	2/9/2011 1:15:35	RICHARDSON, VIVIAN			2	2	F	0.00 E	3	E	2/25/2011	
136			302063.00	21	0	3	50.00					
09/14/2010 13:46 0 Application Added - Status D 09/14/2010 13:46 0 Added to Waiting List 0 09/14/2010 13:46 21 Added to Waiting List 21 02/25/2011 14:37 0 Application Status Changed - D to E 02/25/2011 14:37 0 Date changed from 8/13/2010 1:15:35 AM to 2/9/2011 1:15:35 AM 02/25/2011 14:37 21 Date changed from 8/13/2010 1:15:35 AM to 2/9/2011 9:00:35 AM												
1-6,419	2/11/2011 2:21:23	POWELL, MEOSHA			2	2	F	0.00 E	2	E	2/25/2011	
137			302205.00	21	0	3	50.00					
02/01/2011 14:22 0 Application Added - Status D 02/01/2011 14:22 0 Added to Waiting List 0 02/01/2011 14:22 21 Added to Waiting List 21 02/25/2011 14:21 0 Application Status Changed - D to E 02/25/2011 14:21 0 Date changed from 12/9/2010 2:21:23 AM to 2/11/2011 2:21:23 AM 02/25/2011 14:21 21 Date changed from 12/9/2010 2:21:23 AM to 2/11/2011 11:00:23 AM												
1-6,178	2/11/2011 9:42:49	MARTIN JR., WILLIE F			2	2	M	600.00 E	2	E	2/11/2011	
138			301897.00	21	0	2	50.00					



# Sylacauga Housing Authority

## Conventional Application Listing

List: 0 - All

D - Applied Date

Application Sequence	Applied Date / Time	Name	Telephone Position	Pref Location	Type Score	Race	Eth	Sex	Income Members	Rooms Rent Amount	Status
1-6,377	2/15/2011 13:26:18	ADAIR, LEROY			ELD 2	2		M	11916.00 V	1 E	2/15/2011
139			302150.00	22,23	0				1	288.00	
<div style="font-family: monospace; font-size: 0.8em;">                     04/29/2010 16:37 0 Application Added - Status P                      04/29/2010 16:37 0 Added to Waiting List 0                      04/29/2010 16:37 21 Added to Waiting List 21                      05/04/2010 8:40 0 Application Status Changed - P to E                      11/22/2010 15:10 0 Application Status Changed - E to W                      02/11/2011 9:21 0 Application Status Changed - W to E                      02/11/2011 9:43 0 Date changed from 3/24/2010 3:48:14 AM to 2/11/2011 9:42:49 AM                      02/16/2011 13:26 21 Date changed from 3/24/2010 3:48:14 AM to 2/11/2011 9:00:14 AM                 </div>											
1-6,443	2/17/2011 3:00:25	GLENN, BREANNA				2	2	F	0.00 E	2 E	2/17/2011
140			302233.00	21	0				2	50.00	
<div style="font-family: monospace; font-size: 0.8em;">                     11/30/2010 14:31 0 Application Added - Status P                      11/30/2010 14:31 0 Added to Waiting List 0                      11/30/2010 14:31 22 Added to Waiting List 22                      11/30/2010 14:41 0 Application Status Changed - P to I                      02/15/2011 15:56 0 Application Status Changed - I to E                      02/16/2011 13:26 0 Date changed from 10/8/2010 2:42:54 AM to 2/15/2011 1:26:18 PM                      02/16/2011 13:26 22 Date changed from 10/8/2010 2:42:54 AM to 2/15/2011 2:00:54 AM                      02/25/2011 13:07 23 Added to Waiting List 23                 </div>											
1-6,153	2/25/2011 9:12:16	WOODS, BERTHA DENISE			H/D 2	2		F	8088.00 E	1 E	2/25/2011
141			301870.00	21,22	0				1	192.00	
<div style="font-family: monospace; font-size: 0.8em;">                     03/19/2010 13:21 0 Application Added - Status P                      03/19/2010 13:21 0 Added to Waiting List 0                      03/19/2010 13:21 21 Added to Waiting List 21                      03/19/2010 13:21 22 Added to Waiting List 22                      04/16/2010 13:24 0 Application Status Changed - P to E                      07/22/2010 14:20 0 Application Status Changed - E to W                      02/25/2011 9:12 0 Application Status Changed - W to E                      02/25/2011 9:12 0 Date changed from 2/25/2010 4:00:05 AM to 2/25/2011 9:12:16 AM                      02/25/2011 9:13 21 Date changed from 2/25/2010 4:00:05 AM to 2/25/2011 9:10:05 AM                      02/25/2011 9:13 22 Date changed from 2/25/2010 4:00:05 AM to 2/25/2011 9:10:05 AM                 </div>											
1-6,425	2/25/2011 15:55:00	BARNETT, MELISSA			H/D 1	2		F	8568.00 E	2 E	2/25/2011
142			302213.00	22	0				2	192.00	
<div style="font-family: monospace; font-size: 0.8em;">                     02/09/2011 16:45 0 Application Added - Status D                      02/09/2011 16:45 0 Added to Waiting List 0                      02/09/2011 16:45 22 Added to Waiting List 22                      02/25/2011 15:57 0 Application Status Changed - D to E                      02/25/2011 15:57 0 Date changed from 12/2/2010 4:18:37 AM to 2/25/2011 3:55:00 PM                      02/25/2011 15:57 22 Date changed from 12/2/2010 4:18:37 AM to 2/25/2011 2:19:37 AM                      02/25/2011 15:58 21 Added to Waiting List 21                 </div>											

# Sylacauga Housing Authority

## Conventional Application Listing

List: 0 - All

D - Applied Date

<u>Application</u>	<u>Applied Date / Time</u>	<u>Name</u>	<u>Telephone</u>	<u>Pref</u>	<u>Type</u>	<u>Race</u>	<u>Eth</u>	<u>Sex</u>	<u>Income</u>	<u>Rooms</u>	<u>Status</u>
<u>Sequence</u>			<u>Position</u>	<u>Location</u>		<u>Score</u>		<u>Members</u>		<u>Rent Amount</u>	

<b>Summary of Applicants</b>						
Race	1	2	3	4	5	Total
Applicants	59	83	0	0	0	142
Elderly	10	4	0	0	0	14
Disabled	25	8	0	0	0	33
Hispanic	1	0	0	0	0	1
With Children	10	23	0	0	0	33
Room Size 0	0	1	0	0	0	1
Room Size 1	48	58	0	0	0	106
Room Size 2	10	22	0	0	0	32
Room Size 3	1	2	0	0	0	3
Room Size 4	0	0	0	0	0	0
Room Size 5	0	0	0	0	0	0
Room Size 6	0	0	0	0	0	0
<b>Income Analysis</b>						
	Number	Percent				
Extremely Low	120	84.51				
Very Low	14	9.86				
Low	8	5.63				

**PHA Board Resolution**  
Approving Operating Budget

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing -  
Real Estate Assessment Center (PIH-REAC)

OMB No. 2577-0026  
(exp. 9/30/2006)

Public reporting burden for this collection of information is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

This information is required by Section 6(c)(4) of the U.S. Housing Act of 1937. The information is the operating budget for the low-income public housing program and provides a summary of the proposed/budgeted receipts and expenditures, approval of budgeted receipts and expenditures, and justification of certain specified amounts. HUD reviews the information to determine if the operating plan adopted by the public housing agency (PHA) and the amounts are reasonable, and that the PHA is in compliance with procedures prescribed by HUD. Responses are required to obtain benefits. This information does not lend itself to confidentiality.

PHA Name: Sylacauga Housing Authority PHA Code: AL057

PHA Fiscal Year Beginning: 07-01-2011 Board Resolution Number: 2011-06

Acting on behalf of the Board of Commissioners of the above-named PHA as its Chairperson, I make the following certifications and agreement to the Department of Housing and Urban Development (HUD) regarding the Board's approval of (check one or more as applicable):

DATE

Operating Budget approved for all AMP's by Board resolution on: April 7, 2011

Operating Budget submitted to HUD, if applicable, on: \_\_\_\_\_

Operating Budget revision approved by Board resolution on: \_\_\_\_\_

Operating Budget revision submitted to HUD, if applicable, on: \_\_\_\_\_

I certify on behalf of the above-named PHA that:

1. All statutory and regulatory requirements have been met;
2. The PHA has sufficient operating reserves to meet the working capital needs of its developments;
3. Proposed budget expenditure are necessary in the efficient and economical operation of the housing for the purpose of serving low-income residents;
4. The budget indicates a source of funds adequate to cover all proposed expenditures;
5. The PHA will comply with the wage rate requirement under 24 CFR 968.110(c) and (f); and
6. The PHA will comply with the requirements for access to records and audits under 24 CFR 968.110(i).

I hereby certify that all the information stated within, as well as any information provided in the accompaniment herewith, if applicable, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012.31, U.S.C. 3729 and 3802)

Print Board Chairperson's Name:

**Priscilla Cleveland**

Signature:



Date:

**4/7/2011**

**Sylacauga Housing Authority**

Site Budget

July 1, 2011 - June 30, 2012

	Totals	Central Office	Drew Court	Sylavon Court	Sylavon Towers
Family / Elderly			Family	Elderly	Elderly & Disabled
Scattered Site?		No	No	No	No
Year Built		0	4/8/1976	7/26/1956	6/30/1982
Recently Renovated?		No	No	No	No
Units	622	622	307	219	96
Average Bedroom Size	1.67	0.00	2.24	1.13	1.11
% Occupancy	99%	0%	99%	99%	99%

**Revenue**

	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM
Dwelling Rental	1,455,900	195.06	0.00	582,800	158.20	642,200	244.37	230,900	200.43	
Excess Utilities	169,000	22.64	0.00	139,000	37.73	30,000	11.42		0.00	
Non-Dwelling Rents	49,200	6.59	0.00	8,400	2.28	40,800	15.53		0.00	
Transfer from Capital Fund	196,000	26.26	0.00	96,040	26.07	68,600	26.10	31,360	27.22	
Investment Income	25,930	3.47	7,800	1.05	8,900	2.42	6,330	2.41	2,900	2.52
Other Income	38,200	5.12	0.00	30,600	8.31	4,000	1.52	3,600	3.13	
Non-Tenant Income	6,300	0.84	0.00	-	0.00		0.00	6,300	5.47	
Subsidy	1,349,392		0.00	762,360	206.94	343,872	130.85	243,160	211.08	
Property Mgt. Fee Income	370,670	49.66	370,670	49.66		0.00		0.00		0.00
Bookkeeping Fee Income	55,980		55,980	7.50		0.00		0.00		
CFP Mgt. Fee Income	98,000		98,000	13.13		0.00		0.00		
IT Fee Income	14,880		14,880	1.99		0.00		0.00		
Asset Mgt. Fee Income	-	0.00	-	0.00		0.00		0.00		0.00
<b>Total Revenue</b>	<b>3,829,452</b>	<b>513.06</b>	<b>547,330</b>	<b>73.33</b>	<b>1,628,100</b>	<b>441.94</b>	<b>1,135,802</b>	<b>432.19</b>	<b>518,220</b>	<b>449.84</b>

Expenses	Totals		Central Office		Drew Court		Sylavon Court		Sylavon Towers	
	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM
Administrative Salaries	424,530	56.88	224,550	30.08	89,060	24.17	71,620	27.25	39,300	34.11
Benefits	201,620	27.01	90,100	12.07	58,450	15.87	34,350	13.07	18,720	16.25
Compensated Absences	4,500	0.60		0.00	2,000	0.54	2,000	0.76	500	0.43
Audit	15,000	2.01		0.00	7,350	2.00	5,250	2.00	2,400	2.08
Property Mgt. Fee	370,670	49.66		0.00	184,090	49.97	131,320	49.97	55,260	47.97
Bookkeeping Fee	55,980	7.50		0.00	27,630	7.50	19,710	7.50	8,640	7.50
IT Fee	14,880	1.99		0.00	7,340	1.99	5,240	1.99	2,300	2.00
Training Expense	25,500	3.42	5,000	0.67	6,500	1.76	8,000	3.04	6,000	5.21
Travel Expense	34,300	4.60	15,000	2.01	8,500	2.31	6,000	2.28	4,800	4.17
Legal Expense	24,500	3.28	4,000	0.54	17,000	4.61	2,500	0.95	1,000	0.87
Rent	18,000	2.41	18,000	2.41						
Telecommunications	53,570	7.18	16,300	2.18	17,270	4.69	10,500	4.00	9,500	8.25
Advertising	9,000	1.21	-	0.00	3,800	1.03	2,500	0.95	2,700	2.34
Administrative Other	104,000	13.93	47,000	6.30	26,200	7.11	20,000	7.61	10,800	9.38
<b>Total Administrative</b>	<b>1,356,050</b>	<b>181.68</b>	<b>419,950</b>	<b>56.26</b>	<b>455,190</b>	<b>123.56</b>	<b>318,990</b>	<b>121.38</b>	<b>161,920</b>	<b>140.56</b>
Resident Services Salaries	800	0.11		0.00	800	0.22		0.00		0.00
Benefits	60	0.01		0.00	60	0.02		0.00		0.00
Resident Services Materials	13,060	1.75		0.00	6,200	1.68	4,960	1.89	1,900	1.65
Resident Services Contracts	1,500	0.20		0.00	500	0.14	500	0.19	500	0.43
<b>Total Tenant Services</b>	<b>15,420</b>	<b>2.07</b>	<b>-</b>	<b>0.00</b>	<b>7,560</b>	<b>2.05</b>	<b>5,460</b>	<b>2.08</b>	<b>2,400</b>	<b>2.08</b>
Water	100,100	13.41		0.00	54,000	14.66	33,100	12.60	13,000	11.28
Electric	604,000	80.92		0.00	295,000	80.08	218,000	82.95	91,000	78.99
Gas	69,000	9.24		0.00	68,000	18.46	1,000	0.38		0.00
Other/Sewer	145,000	19.43		0.00	78,000	21.17	50,000	19.03	17,000	14.76
<b>Total Utilities</b>	<b>918,100</b>	<b>123.00</b>	<b>-</b>	<b>0.00</b>	<b>495,000</b>	<b>134.36</b>	<b>302,100</b>	<b>114.95</b>	<b>121,000</b>	<b>105.03</b>

Expenses	Totals		Central Office		Drew Court		Sylavon Court		Sylavon Towers	
	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM
Maintenance Salaries	398,480	53.39		0.00	190,810	51.79	159,250	60.60	48,420	42.03
Benefits	224,660	30.10		0.00	108,070	29.33	89,270	33.97	27,320	23.72
Materials	270,000	36.17	4,000	0.54	141,000	38.27	96,000	36.53	29,000	25.17
Towers Monitoring	8,600	1.15		0.00		0.00		0.00	8,600	7.47
Safety Equip/Monitoring	27,160	3.64		0.00	3,900	1.06	7,000	2.66	16,260	14.11
Cleaning Contracts	12,200	1.63		0.00	3,000	0.81	7,200	2.74	2,000	1.74
Exterminating Contract	19,990	2.68		0.00	10,020	2.72	7,000	2.66	2,970	2.58
Garbage/Trash Removal	54,620	7.32		0.00	36,920	10.02	10,500	4.00	7,200	6.25
Plumbing / Electrical Service	1,500	0.20		0.00		0.00		0.00	1,500	1.30
HVAC Service	-	0.00		0.00		0.00		0.00		0.00
Vacancy Prep	94,000	12.59		0.00	62,000	16.83	25,000	9.51	7,000	6.08
Landscape Contract	6,000	0.80		0.00	3,000	0.81	2,000	0.76	1,000	0.87
Vehicle Maint	27,500	3.68		0.00	12,000	3.26	15,000	5.71	500	0.43
Maintenance Other	35,000	4.69	2,000	0.27	25,000	6.79	5,000	1.90	3,000	2.60
Elevator Contract	8,800	1.18		0.00		0.00		0.00	8,800	7.64
Miscellaneous Contracts	-	0.00		0.00		0.00		0.00		0.00
<b>Total Maintenance</b>	<b>1,188,510</b>	<b>159.23</b>	<b>6,000</b>	<b>0.80</b>	<b>595,720</b>	<b>161.70</b>	<b>423,220</b>	<b>161.04</b>	<b>163,570</b>	<b>141.99</b>
Insurance	118,000	15.81	14,500	1.94	50,500	13.71	38,500	14.65	14,500	12.59
PILOT	25,100	3.36		0.00	8,040	2.18	13,050	4.97	4,010	3.48
Interest on Notes	2,700	0.36							2,700	2.34
Collection Losses	68,000	9.11		0.00	60,000	16.29	6,000	2.28	2,000	1.74
<b>Total General Expenses</b>	<b>213,800</b>	<b>28.64</b>	<b>14,500</b>	<b>1.94</b>	<b>118,540</b>	<b>32.18</b>	<b>57,550</b>	<b>21.90</b>	<b>23,210</b>	<b>20.15</b>
Extraordinary Maintenance	-	0.00	-	0.00	-	0.00		0.00	-	0.00
Replace Non-Expend Equip	7,000	0.94	-	0.00	3,500	0.95	3,500	1.33	-	0.00
Casualty Loss or Gain	-	0.00		0.00	-	0.00	-	0.00		0.00
<b>Total Nonroutine Expenses</b>	<b>7,000</b>	<b>0.94</b>	<b>-</b>	<b>0.00</b>	<b>3,500</b>	<b>0.95</b>	<b>3,500</b>	<b>1.33</b>	<b>-</b>	<b>0.00</b>

	Totals		Central Office		Drew Court		Sylavon Court		Sylavon Towers	
	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM
<b>Expenses</b>										
Total Expenses, excl. Asset Management	3,691,880	494.62	440,450	59.01	1,675,510	454.81	1,110,820	422.69	472,100	409.81
Asset Management	-	0.00		0.00	-	0.00	-	0.00	-	0.00
Total Expenses	3,691,880	494.62	440,450	59.01	1,675,510	454.81	1,110,820	422.69	472,100	409.81
Cash Flow from Operations	137,572	18.43	106,880	14.32	(47,410)	-12.87	24,982	9.51	46,120	40.03
Fungibility Transfer	-	0.00		0.00	48,000	13.03	(12,000)	-4.57	(36,000)	-31.25
	137,572	18.43	106,880	14.32	590	0.16	12,982	4.94	10,120	8.78
<b>AMPs Only</b>										
Est reserves at 6/30/11	2,509,422				1,004,220		1,026,154		479,048	
6 month expense level	1,629,215				837,755		555,410		236,050	
Excess reserves at 6/30/11	880,207				166,465		470,744		242,998	
Est. excess reserve 6/30/12	903,899				167,055		483,726		253,118	

**PHA Board Resolution**  
Approving Operating Budget

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing -  
Real Estate Assessment Center (PIH-REAC)

OMB No. 2577-0026  
(exp. 9/30/2006)

Public reporting burden for this collection of information is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

This information is required by Section 6(c)(4) of the U.S. Housing Act of 1937. The information is the operating budget for the low-income public housing program and provides a summary of the proposed/budgeted receipts and expenditures, approval of budgeted receipts and expenditures, and justification of certain specified amounts. HUD reviews the information to determine if the operating plan adopted by the public housing agency (PHA) and the amounts are reasonable, and that the PHA is in compliance with procedures prescribed by HUD. Responses are required to obtain benefits. This information does not lend itself to confidentiality.

PHA Name: Sylacauga Housing Authority PHA Code: AL057

PHA Fiscal Year Beginning: 07-01-2010 Board Resolution Number: 2011-05

Acting on behalf of the Board of Commissioners of the above-named PHA as its Chairperson, I make the following certifications and agreement to the Department of Housing and Urban Development (HUD) regarding the Board's approval of (check one or more as applicable):

DATE

- Operating Budget approved by Board resolution on: \_\_\_\_\_
- Operating Budget submitted to HUD, if applicable, on: \_\_\_\_\_
- Operating Budget revision approved for all AMP's by Board resolution on April 7, 2011
- Operating Budget revision submitted to HUD, if applicable, on: \_\_\_\_\_

I certify on behalf of the above-named PHA that:

1. All statutory and regulatory requirements have been met;
2. The PHA has sufficient operating reserves to meet the working capital needs of its developments;
3. Proposed budget expenditure are necessary in the efficient and economical operation of the housing for the purpose of serving low-income residents;
4. The budget indicates a source of funds adequate to cover all proposed expenditures;
5. The PHA will comply with the wage rate requirement under 24 CFR 968.110(c) and (f); and
6. The PHA will comply with the requirements for access to records and audits under 24 CFR 968.110(i).

I hereby certify that all the information stated within, as well as any information provided in the accompaniment herewith, if applicable, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012.31, U.S.C. 3729 and 3802)

Print Board Chairperson's Name:

**Priscilla Cleveland**

Signature:



Date:

**4/7/2011**



**Sylacauga Housing Authority**  
 Site Budget Revision #1  
 July 1, 2010 - June 30, 2011

	Totals	Central Office	Drew Court	Sylavon Court	Sylavon Towers
Family / Elderly			Family	Elderly	Elderly & Disabled
Scattered Site?		No	No	No	No
Year Built		0	4/8/1976	7/26/1956	6/30/1982
Recently Renovated?		No	No	No	No
Units	622	622	307	219	96
Average Bedroom Size	1.67	0.00	2.24	1.13	1.11
% Occupancy	99%	0%	99%	99%	99%

**Revenue**

	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM
Dwelling Rental	1,440,400	192.98		0.00	575,000	156.08	640,200	243.61	225,200	195.49
Excess Utilities	172,250	23.08		0.00	142,000	38.55	30,250	11.51		0.00
Non-Dwelling Rents	49,200	6.59		0.00	8,400	2.28	40,800	15.53		0.00
Transfer from Capital Fund	197,260	26.43		0.00	96,660	26.24	69,040	26.27	31,560	27.40
Investment Income	25,930	3.47	7,800	1.05	8,900	2.42	6,330	2.41	2,900	2.52
Other Income	38,200	5.12		0.00	30,600	8.31	4,000	1.52	3,600	3.13
Non-Tenant Income	6,300	0.84		0.00	-	0.00		0.00	6,300	5.47
Subsidy	1,428,830			0.00	801,470	217.55	361,660	137.62	265,700	230.64
Property Mgt. Fee Income	369,620	49.52	369,620	49.52		0.00		0.00		0.00
Bookkeeping Fee Income	55,980		55,980	7.50		0.00		0.00		
CFP Mgt. Fee Income	98,630		98,630	13.21		0.00		0.00		
IT Fee Income	14,880		14,880	1.99		0.00		0.00		
Asset Mgt. Fee Income	-	0.00	-	0.00		0.00		0.00		0.00
<b>Total Revenue</b>	<b>3,897,480</b>	<b>522.17</b>	<b>546,910</b>	<b>73.27</b>	<b>1,663,030</b>	<b>451.42</b>	<b>1,152,280</b>	<b>438.46</b>	<b>535,260</b>	<b>464.64</b>

	Totals		Central Office		Drew Court		Sylavon Court		Sylavon Towers	
Expenses	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM
Administrative Salaries	401,990	53.86	207,260	27.77	86,470	23.47	70,010	26.64	38,250	33.20
Benefits	190,050	25.46	87,500	11.72	53,560	14.54	31,770	12.09	17,220	14.95
Compensated Absences	4,500	0.60		0.00	2,000	0.54	2,000	0.76	500	0.43
Audit	15,000	2.01		0.00	7,350	2.00	5,250	2.00	2,400	2.08
Property Mgt. Fee	369,620	49.52		0.00	181,030	49.14	130,920	49.82	57,670	50.06
Bookkeeping Fee	55,980	7.50		0.00	27,630	7.50	19,710	7.50	8,640	7.50
IT Fee	14,880	1.99		0.00	7,340	1.99	5,240	1.99	2,300	2.00
Training Expense	24,180	3.24	5,000	0.67	6,500	1.76	6,000	2.28	6,680	5.80
Travel Expense	34,400	4.61	15,000	2.01	7,000	1.90	5,000	1.90	7,400	6.42
Legal Expense	24,500	3.28	4,000	0.54	17,000	4.61	2,500	0.95	1,000	0.87
Rent	18,000	2.41	18,000	2.41						
Telecommunications	53,570	7.18	16,300	2.18	17,270	4.69	10,500	4.00	9,500	8.25
Advertising	9,000	1.21	-	0.00	3,800	1.03	2,500	0.95	2,700	2.34
Administrative Other	104,000	13.93	47,000	6.30	26,200	7.11	20,000	7.61	10,800	9.38
Total Administrative	1,319,670	176.80	400,060	53.60	443,150	120.29	311,400	118.49	165,060	143.28
Resident Services Salaries	800	0.11		0.00	800	0.22		0.00		0.00
Benefits	60	0.01		0.00	60	0.02		0.00		0.00
Resident Services Materials	13,060	1.75		0.00	6,200	1.68	4,960	1.89	1,900	1.65
Resident Services Contracts	1,500	0.20		0.00	500	0.14	500	0.19	500	0.43
Total Tenant Services	15,420	2.07	-	0.00	7,560	2.05	5,460	2.08	2,400	2.08
Water	98,550	13.20		0.00	53,000	14.39	33,100	12.60	12,450	10.81
Electric	599,300	80.29		0.00	292,000	79.26	217,000	82.57	90,300	78.39
Gas	68,000	9.11		0.00	67,000	18.19	1,000	0.38		0.00
Other/Sewer	143,000	19.16		0.00	77,500	21.04	49,000	18.65	16,500	14.32
Total Utilities	908,850	121.76	-	0.00	489,500	132.87	300,100	114.19	119,250	103.52

Expenses	Totals		Central Office		Drew Court		Sylavon Court		Sylavon Towers	
	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM
Maintenance Salaries	441,590	59.16		0.00	208,810	56.68	183,140	69.69	49,640	43.09
Benefits	238,800	31.99		0.00	112,040	30.41	101,470	38.61	25,290	21.95
Materials	276,730	37.08	4,000	0.54	141,500	38.41	92,000	35.01	39,230	34.05
Towers Monitoring	4,100	0.55		0.00		0.00		0.00	4,100	3.56
Safety Equip/Monitoring	21,400	2.87		0.00	3,900	1.06	7,000	2.66	10,500	9.11
Cleaning Contracts	12,680	1.70		0.00	3,000	0.81	7,680	2.92	2,000	1.74
Exterminating Contract	19,820	2.66		0.00	9,950	2.70	6,900	2.63	2,970	2.58
Garbage/Trash Removal	55,220	7.40		0.00	36,920	10.02	10,500	4.00	7,800	6.77
Plumbing / Electrical Service	1,500	0.20		0.00		0.00		0.00	1,500	1.30
HVAC Service	-	0.00		0.00		0.00		0.00		0.00
Vacancy Prep	96,500	12.93		0.00	64,500	17.51	25,000	9.51	7,000	6.08
Landscape Contract	13,500	1.81		0.00	10,500	2.85	2,500	0.95	500	0.43
Vehicle Maint	27,550	3.69		0.00	11,750	3.19	15,300	5.82	500	0.43
Maintenance Other	29,900	4.01	2,000	0.27	19,900	5.40	5,000	1.90	3,000	2.60
Elevator Contract	7,500	1.00		0.00		0.00		0.00	7,500	6.51
Miscellaneous Contracts	-	0.00		0.00		0.00		0.00		0.00
<b>Total Maintenance</b>	<b>1,246,790</b>	<b>167.04</b>	<b>6,000</b>	<b>0.80</b>	<b>622,770</b>	<b>169.05</b>	<b>456,490</b>	<b>173.70</b>	<b>161,530</b>	<b>140.22</b>
Insurance	115,730	15.51	14,500	1.94	49,700	13.49	37,300	14.19	14,230	12.35
PILOT	25,100	3.36		0.00	8,200	2.23	13,230	5.03	3,670	3.19
Interest on Notes	4,000	0.54							4,000	3.47
Collection Losses	70,500	9.45		0.00	60,000	16.29	8,500	3.23	2,000	1.74
<b>Total General Expenses</b>	<b>215,330</b>	<b>28.85</b>	<b>14,500</b>	<b>1.94</b>	<b>117,900</b>	<b>32.00</b>	<b>59,030</b>	<b>22.46</b>	<b>23,900</b>	<b>20.75</b>
Extraordinary Maintenance	-	0.00	-	0.00	-	0.00		0.00	-	0.00
Replace Non-Expend Equip	75,000	10.05	-	0.00	37,350	10.14	35,250	13.41	2,400	2.08
Casualty Loss or Gain	(10,000)	-1.34		0.00	(5,000)	-1.36	(5,000)	-1.90		0.00
<b>Total Nonroutine Expenses</b>	<b>65,000</b>	<b>8.71</b>	<b>-</b>	<b>0.00</b>	<b>32,350</b>	<b>8.78</b>	<b>30,250</b>	<b>11.51</b>	<b>2,400</b>	<b>2.08</b>

Expenses	Totals		Central Office		Drew Court		Sylavon Court		Sylavon Towers	
	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM	Amount	PUM
Total Expenses, excl. Asset Management	3,706,060	496.52	420,560	56.35	1,713,230	465.05	1,162,730	442.44	474,540	411.93
Asset Management	-	0.00		0.00	-	0.00	-	0.00	-	0.00
Total Expenses	3,706,060	496.52	420,560	56.35	1,713,230	465.05	1,162,730	442.44	474,540	411.93
Cash Flow from Operations	191,420	25.65	126,350	16.93	(50,200)	-13.63	(10,450)	-3.98	60,720	52.71

Towers materials includes \$12,000 for blinds.

AMPs Only

Reserves as of 6/30/2010	2,508,352				1,053,420		1,036,604		418,328	
6 month expense level	1,675,250				856,615		581,365		237,270	
Excess reserves at 6/30/10	833,102				196,805		455,239		181,058	
Est. excess reserve 6/30/11	833,172				146,605		444,789		241,778	

**Physical Needs Assessment**  
 Comprehensive Grant Program (CGP)

U.S. Department of Housing  
 and Urban Development  
 Office of Public Housing

OMB Approval No. 2577-0157  
 (exp. 12/31/2011)

HA Name Sylacauga Housing Authority - AMP 21		(Former Development Number) (AL09P057004)		<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____	
Development Number AL057000021		Development Name Drew Court Extension		DOFA Date 04/01/1963 or Construction Date	
Development Type		Occupancy Type		Structure Type	
Rental	<input checked="" type="checkbox"/>	Family	<input checked="" type="checkbox"/>	Detached/Semi-Detached	<input checked="" type="checkbox"/>
Turnkey III - Vacant	<input type="checkbox"/>	Elderly	<input type="checkbox"/>	Row	<input type="checkbox"/>
Turnkey III - Occupied	<input type="checkbox"/>	Mixed	<input type="checkbox"/>	Walk-Up	<input type="checkbox"/>
Mutual Help	<input type="checkbox"/>			Elevator	<input type="checkbox"/>
Section 23, Bond Financed	<input type="checkbox"/>				
				Number of Buildings 43	Number of Vacant Units 0
				Current Bedroom Distribution 0 <input type="checkbox"/> 1 <u>19</u> 2 <u>42</u> 3 <u>25</u> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 5+ <input type="checkbox"/>	
				Total Current Units 86	
General Description of Needed Physical Improvements					Urgency of Need (1-5)
					Estimated Cost
Digital Meters - Utilities - Electric		(AL09P057501-10)		9,460.00	1
Site Improvements (Sew./Water/Gas/Elec./Drain, etc.)		(AL09P057501-10)		1,500.00	1
Site Improvements - Trees Trimmed/Deadwooded		(AL09P057501-10)		2,500.00	1
Handrails/Ramps/Other ADA		(AL09P057501-10)		2,000.00	1
Water Heaters		(AL09P057501-10)		800.00	1
Vinyl Flooring Replacement		(AL09P057501-10)		2,000.00	1
Commodes/Lavatories		(AL09P057501-10)		900.00	1
Dwelling Equipment (Refrigerators/Stoves)		(AL09P057501-10)		3,000.00	1
Site Imp./Tree Work				4,000.00	2,3,4,5
Handrails/Ramps				2,000.00	2,3,4,5
Digital Meters - Utilities - Gas				5,590.00	2
Digital Meters - Utilities - Water				15,050.00	3
Site Improvements - Utilities - Electrical				5,000.00	2,3,4,5
Rehab Interiors				32,000.00	2,3,4,5
Smoke Detectors				8,400.00	3,4
Vinyl Flooring Replacement				4,000.00	2,4
Water Heaters				2,000.00	2,3,4,5
Countertop Replacement				2,000.00	2,3,4,5
Lighting Improvements/Energy Saving Fixtures & Bulbs				4,300.00	2,4
Stoves/Refrigerators				9,800.00	2,3,4,5
Window Replacement				161,000.00	4,5
Weatherstripping				2,500	3
HVAC Replacement				16,000	4,5
Hearing Impaired Alarms				1,000	2,3,4,5
Security Cameras				5,000	2,3,4,5
Total Preliminary Estimated Hard Cost for Needed Physical Improvements				\$	301,800.00
Per Unit Hard Cost				\$	3,509.30
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost				Yes	<input checked="" type="checkbox"/> No <input type="checkbox"/>
Development Has Long-Term Physical and Social Viability				Yes	<input checked="" type="checkbox"/> No <input type="checkbox"/>
Date Assessment Prepared				2/1/2011	
Source(s) of Information					
Costs are estimates based on past experience with similar work					

**Physical Needs Assessment**  
**Comprehensive Grant Program (CGP)**

U.S. Department of Housing  
 and Urban Development  
 Office of Public Housing

OMB Approval No. 2577-0157  
 (exp. 12/31/2011)

HA Name Sylcauga Housing Authority - AMP 21		(Former Development Number) (AL09P057005)		<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____	
Development Number AL057000021	Development Name Drew Court Extension		DOFA Date or 10/01/1963 Construction Date		
Development Type	Occupancy Type	Structure Type		Number of Buildings	Number of Vacant Units
Rental	Family	Detached/Semi-Detached		6	0
Turnkey III - Vacant	Elderly	Row		Current Bedroom Distribution	
Turnkey III - Occupied	Mixed	Walk-Up		0 3 1 8 2	0.00%
Mutual Help		Elevator		3 4 4 1 5	Total Current Units
Section 23, Bond Financed				5+	16
General Description of Needed Physical Improvements					Urgency of Need (1-5)
				Estimated Cost	
Digital Meters - Utilities - Electric		(AL09P057501-10)		1,760.00	3
Trees Trimmed/Deadwooded		(AL09P057501-10)		1,000.00	1
Refrigerators/Stoves		(AL09P057501-10)		500.00	1
Roofing				48,000.00	2
Window Replacement				30,000.00	3
Site Imp./Electrical, Sidewalks, Drainage				34,000.00	2,3,4,5
Site Imp./Tree Work				2,000.00	3
Digital Meters - Utilities - Gas				1,040.00	2
Digital Meters - Utilities - Water				2,800.00	2
Rehab Interiors (Including Cabinet/Countertop Replacement)				18,000.00	2,3,4,5
Smoke Detectors				1,600.00	2,3,4,5
Light Bulbs (Energy Saving)				2,000.00	2
Hearing Impaired Fire Alarms				2,000.00	3
Vinyl Floor Replacement				2,000.00	4,5
Water Heaters				2,000.00	2,3,4,5
Commodos				1,600.00	2,3,4,5
Alarms - Hearing/Sight Impaired				1,500.00	2,3,4,5
Weatherstripping				1,000.00	3
HVAC Replacement				8,000.00	5
Stoves/Refrigerators				2,600.00	2,3,4,5
Security Cameras				1,000.00	2,3,4,5
Total Preliminary Estimated Hard Cost for Needed Physical Improvements				\$	164,400.00
Per Unit Hard Cost				\$	10,275.00
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost			Yes	<input checked="" type="checkbox"/>	No <input type="checkbox"/>
Development Has Long-Term Physical and Social Viability			Yes	<input checked="" type="checkbox"/>	No <input type="checkbox"/>
Date Assessment Prepared			2/1/2011		
Source(s) of Information					
Costs are estimates based on past experience with similar work.					

**Physical Needs Assessment**  
**Comprehensive Grant Program (CGP)**

U.S. Department of Housing  
 and Urban Development  
 Office of Public Housing

OMB Approval No. 2577-0157  
 (exp. 11/30/2008)

HA Name Sylacauga Housing Authority - AMP 21		(Former Development Number) (AL09P057006)		<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____	
Development Number AL057000021		Development Name Drew Court Extension		DOFA Date or 02/01/1968 Construction Date	
Development Type Rental <input checked="" type="checkbox"/> Family <input type="checkbox"/> Turnkey III - Vacant <input type="checkbox"/> Elderly <input checked="" type="checkbox"/> Turnkey III - Occupied <input type="checkbox"/> Mixed <input type="checkbox"/> Mutual Help <input type="checkbox"/> Section 23, Bond Financed <input type="checkbox"/>		Structure Type Detached/Semi-Detached <input checked="" type="checkbox"/> Row <input type="checkbox"/> Walk-Up <input type="checkbox"/> Elevator <input type="checkbox"/>		Number of Buildings 38 Number of Vacant Units 0 Current Bedroom Distribution 0 ___ 1 30 2 23 3 24 4 6 5 ___ 5+ ___ Total Current Units 83	
General Description of Needed Physical Improvements					Urgency of Need (1-5)
					Estimated Cost
Water System Upgrade - Lines		(AL09S057501-09)		48,000.00	1
Sewer System Upgrade		(AL09S057501-09)		85,000.00	1
Gas System Upgrade		(AL09S057501-09)		57,000.00	1
Digital Meters - Utilities - Electric		(AL09P057501-10)		9,130.00	3
Site Improvements - Electrical, Concrete				500.00	1
Trees Trimmed/Deadwooded (Clearing Under Power Lines)		(AL09P057501-10)		8,000.00	1
Interior Rehab		(AL09P057501-10)		6,000.00	1
Commodes		(AL09P057501-10)		200.00	1
Water Heaters		(AL09P057501-10)		400.00	1
Exterior Door/Window Replacement		(AL09P057501-10)		3,000.00	1
Refrigerators/Stoves		(AL09P057501-10)		1,500.00	1
Roofing		(AL09P057501-11)		63,000.00	2
Roofing		(AL09P057501-12)		63,000.00	3
Roofing		(AL09P057501-13)		63,000.00	4
Site Imp./Water/ Sewer/Natural Gas/Electrical, Etc.				3,000.00	2,3,4,5
Digital Meters - Utilities - Gas				5,395.00	2
Digital Meters - Utilities - Water				14,525.00	2
Site Improvement - Trees Trimmed & Dead-wooded				8,000.00	2,3,4,5
Rehab Interiors				66,400.00	2,3,4,5
Vinyl Flooring Replacement				6,000.00	2,3,4,5
Handrails/Ramps				2,000.00	3,5
Smoke Detectors				8,300.00	2,3,4,5
Exterior Door/Window Replacement				134,000.00	4,5
Weatherstripping				2,500.00	3
Emergency Alarms (ADA)				1,200.00	2,3,4,5
Water Heaters				2,400.00	2,3,4,5
Commodes				1,600.00	2,3,4,5
Security Cameras				4,000.00	2,3
Stoves/Refrigerators				12,000.00	2,3,4,5
Total Preliminary Estimated Hard Cost for Needed Physical Improvements				\$	679,050.00
Per Unit Hard Cost				\$	8,181.33
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost				Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Development Has Long-Term Physical and Social Viability				Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Date Assessment Prepared				2/1/2011	
Source(s) of Information					
Costs are estimates based on past experience with similar work.					

**Physical Needs Assessment**  
**Comprehensive Grant Program (CGP)**

U.S. Department of Housing  
 and Urban Development  
 Office of Public Housing

OMB Approval No. 2577-0157  
 (exp. 12/31/2009)

HA Name Sylacauga Housing Authority - AMP 21		(Former Development Number_ (AL09P057010)		<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____	
Development Number AL057000021		Development Name (Dev.# & DOFA Changed by MROP) Drew Court (Prior Dev.# AL09P057001)		DOFA Date or 11/30/1991 Construction Date: (Orig. EIOF Date 03/01/1953)	
<b>Development Type</b>		<b>Occupancy Type</b>		<b>Structure Type</b>	
Rental	<input checked="" type="checkbox"/>	Family	<input type="checkbox"/>	Detached/Semi-Detached	<input checked="" type="checkbox"/>
Turnkey III - Vacant	<input type="checkbox"/>	Elderly	<input checked="" type="checkbox"/>	Row	<input checked="" type="checkbox"/>
Turnkey III - Occupied	<input type="checkbox"/>	Mixed	<input type="checkbox"/>	Walk-Up	<input type="checkbox"/>
Mutual Help	<input type="checkbox"/>			Elevator	<input type="checkbox"/>
Section 23, Bond Financed	<input type="checkbox"/>				
				Number of Buildings 26	Number of Vacant Units 0
				Current Bedroom Distribution	
				0	1
				10	2
				42	
				3	34
				4	14
				5	2
				5+	
				Total Current Units 106	
General Description of Needed Physical Improvements					Urgency of Need (1-5)
					<b>Estimated Cost</b>
Digital Meters - Utilities - Electric	(AL09P057501-10)			11,660.00	2
Rehab Interiors	(AL09P057501-10)			10,000.00	1
Water Heaters	(AL09P057501-10)			3,500.00	1
Exterior Door/window Replacement	(AL09P057501-10)			10,500.00	1
Commodos	(AL09P057501-10)			500.00	1
Bathtub Liners	(AL09P057501-10)			33,000.00	1
Vinyl Flooring Replacement	(AL09P057501-10)			12,000.00	1
Refrigerators/Stoves	(AL09P057501-10)			1,500.00	1
A/C Modifications - Day Care/Office	(AL09P057501-10)			5,000.00	1
Office Furniture/Copier/Printer	(AL09P057501-10)			3,000.00	1
Site Imp./Utilities - Electrical				6,000.00	2,3,4,5
Site Imp./Concrete				7,000.00	2,3,4,5
Site Imp./Drainage				7,000.00	2,4,5
Site Imp./Tree Work				4,000.00	2,3,4,5
Digital Meters - Utilities - Gas				6,890.00	2
Digital Meters - Utilities - Water				18,550.00	2
Exterior Door Replacement				2,000.00	2,3,4,5
Interior Door Replacement				106,000.00	2,3,4,5
Cabinet/Countertop Replacement				74,200.00	2,3,4,5
Vinyl Floor Replacement				12,000.00	2,3,4,5
Smoke Detectors				13,200.00	2,3,4,5
Light Bulbs (Energy Savings)				15,900.00	3,4,5
Rehab Interiors				84,800.00	2,3,4,5
Bathtub/Lavatory Replacement				264,000.00	2,3,4,5
Water Heaters				33,920.00	2,3,4,5
Stoves/Refrigerators				14,200.00	2,3,4,5
HVAC - Office & Daycare				4,000.00	2,3,4,5
Window Replacement				212,000.00	3,4,5
Weatherstripping				3,000	5
Security Equipment				6,000	2,3,4,5
Total Preliminary Estimated Hard Cost for Needed Physical Improvements				\$	985,320.00
Per Unit Hard Cost				\$	9,295.47
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost				Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Development Has Long-Term Physical and Social Viability				Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Date Assessment Prepared				2/1/2011	
Source(s) of Information					
Costs are estimates based on past experience with similar work.					



**Physical Needs Assessment**  
**Comprehensive Grant Program (CGP)**

U.S. Department of Housing  
 and Urban Development  
 Office of Public Housing

OMB Approval No. 2577-0157  
 (exp. 12/31/2011)

HA Name Sylacauga Housing Authority - AMP 21		(Former Development Number) (AL09P057011)		<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____	
Development Number AL057000021		Development Name Virginia South West Homes		DOFA Date or <u>Original</u> 03/31/1995 Construction Date	
<b>Development Type</b> Rental <input checked="" type="checkbox"/> Turnkey III - Vacant <input type="checkbox"/> Turnkey III - Occupied <input type="checkbox"/> Mutual Help <input type="checkbox"/> Section 23, Bond Financed <input type="checkbox"/>		<b>Occupancy Type</b> Family <input type="checkbox"/> Elderly <input checked="" type="checkbox"/> Mixed <input type="checkbox"/>		<b>Structure Type</b> Detached/Semi-Detached <input checked="" type="checkbox"/> Row <input type="checkbox"/> Walk-Up <input type="checkbox"/> Elevator <input type="checkbox"/>	
		Number of Buildings 9		Number of Vacant Units 0	
		Current Bedroom Distribution 0 ___ 1 ___ 2 <u>8</u> 3 <u>10</u> 4 ___ 5 ___ 5+ ___		0.00%	
				Total Current Units 18	
General Description of Needed Physical Improvements				Urgency of Need (1-5)	
				Estimated Cost	
Site Improvements (Sew./Water/Gas/Elec./Drain, etc.)				500.00 1	
Trees Trimmed/Deadwooded/Fence cleanup				1,500.00 1	
Rehab Interiors				3,000.00 1	
Commodes				400.00 1	
HVAC Replacement				8,000.00 1	
Refrigerators/Stoves				500.00 2	
Site Imp./Sign/Mail				1,000.00 1.4	
Site Imp./Playground				2,000.00 3	
Site Imp./Tree Trim/Fence Cleanup				9,600.00 2,3,4,5	
Rehab Interiors				12,000.00 2,3,4,5	
Countertop Replacement				2,000.00 2,3,4,5	
HVAC Replacement				56,000.00 2,3,4,5	
Smoke Detectors				1,800.00 2,3,4,5	
Hearing Impaired Fire Alarms				1,200.00 2,3,4,5	
Water Heaters				2,000.00 2,3,4,5	
Commodes				1,600.00 2,3,4,5	
Light Bulbs (Energy Saving)				1,800.00 2,3	
Stoves/Refrigerators				3,600.00 2,3,4,5	
Weatherstripping				550.00 3	
Roofing				54,000.00 4	
Security Cameras				1,000.00 2,3,4,5	
Total Preliminary Estimated Hard Cost for Needed Physical Improvements				\$ 164,050.00	
Per Unit Hard Cost				\$ 9,113.89	
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost				Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Development Has Long-Term Physical and Social Viability				Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Date Assessment Prepared				2/1/2011	
Source(s) of Information				Total Hard Costs Needed for	
Costs are estimates based on past experience with similar work.				AMP 21 2,294,620	
				Per Unit Cost 7,017.19	
				Units Vacant 0	
				AMP 21 % 0.00%	

**Physical Needs Assessment**  
**Comprehensive Grant Program (CGP)**

U.S. Department of Housing  
 and Urban Development  
 Office of Public Housing

OMB Approval No. 2577-0157  
 (exp. 12/31/2011)

HA Name Sylacauga Housing Authority - AMP 22		(Former Development Number) (AL09P057002)		<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____	
Development Number AL05700022		Development Name Sylavon Court Extension		DOFA Date or 09/01/53 Construction Date	
Development Type Rental <input checked="" type="checkbox"/> Family <input type="checkbox"/> Turnkey III - Vacant <input type="checkbox"/> Elderly <input checked="" type="checkbox"/> Turnkey III - Occupied <input type="checkbox"/> Mixed <input type="checkbox"/> Mutual Help <input type="checkbox"/> Section 23, Bond Financed <input type="checkbox"/>		Structure Type Detached/Semi-Detached <input checked="" type="checkbox"/> Row <input type="checkbox"/> Walk-Up <input type="checkbox"/> Elevator <input type="checkbox"/>		Number of Buildings 12 Number of Vacant Units 1 Current Bedroom Distribution 0 ___ 1 <u>23</u> 2 <u>8</u> 3 <u>1</u> 4 ___ 5 ___ 5+ ___	
General Description of Needed Physical Improvements				Urgency of Need (1-5)	
				Estimated Cost	
Site Improvements - Sidewalks/Parking/Drainage/Streetlights (AL09P057501-10)				2,000.00	
Digital Electric Meters (AL09P057501-10)				3,520.00	
Handrails/Ramp (AL09P057501-10)				5,000.00	
Trees Trimmed/Deadwooded (AL09P057501-10)				4,500.00	
Exterior Door Replacement (AL09P057501-10)				5,000.00	
Interior Rehab/Cabinets/Countertops (AL09P057501-10)				6,000.00	
Commodes (AL09P057501-10)				3,800.00	
VH Fire Suppressants (AL09P057501-10)				2,400.00	
Site Imp./Tree Work				8,000.00	
Site Imp./Concrete				8,000.00	
Exterior Door Replacement				22,400.00	
Cabinet/Countertop Replacement				24,000.00	
Handrails/Ramp				4,000.00	
Commodes				12,000.00	
Rehab Interiors				32,000.00	
Vinyl Flooring Replacement				12,000.00	
Smoke Detectors				20,000.00	
Emergency Alarms - Elderly/PWD				3,600.00	
Weatherstripping				3,200.00	
Sheetrock Walls				48,000.00	
Stoves/Refrigerators				13,000.00	
Total Preliminary Estimated Hard Cost for Needed Physical Improvements				\$ 242,420.00	
Per Unit Hard Cost				\$ 7,575.63	
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost				Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Development Has Long-Term Physical and Social Viability				Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Date Assessment Prepared				2/1/2011	
Source(s) of Information					
Costs are estimated based on past experience with similar work.					

**Physical Needs Assessment  
Comprehensive Grant Program (CGP)**

U.S. Department of Housing  
and Urban Development  
Office of Public Housing

OMB Approval No. 2577-0157  
(exp. 12/31/2011)

HA Name Sylacauga Housing Authority - AMP 22		(Former Development Number) (AL09P057003)		<input checked="" type="checkbox"/> Original
				<input type="checkbox"/> Revision Number _____
Development Number AL05700022	Development Name Sylavon Court		DOFA Date 09/01/1952	or Construction Date
Development Type Rental <input checked="" type="checkbox"/> Turnkey III - Vacant <input type="checkbox"/> Turnkey III - Occupied <input type="checkbox"/> Mutual Help <input type="checkbox"/> Section 23, Bond Financed <input type="checkbox"/>	Occupancy Type Family <input type="checkbox"/> Elderly <input checked="" type="checkbox"/> Mixed <input type="checkbox"/>	Structure Type Detached/Semi-Detached <input checked="" type="checkbox"/> Row <input type="checkbox"/> Walk-Up <input type="checkbox"/> Elevator <input type="checkbox"/>	Number of Buildings 76	Number of Vacant Units 2
			Current Bedroom Distribution 0 ___ 1 <u>167</u> 2 ___ 3 ___ 4 ___ 5 ___ 5+ ___	Total Current Units 167
General Description of Needed Physical Improvements				Urgency of Need (1-5)
			<b>Estimated Cost</b>	
Site Improvements - Sidewalks, Drainage, Streetlights (AL09P057501-10)			8,600.00	1
Handrails/ramps (AL09P057501-10)			5,000.00	1
Trees Trimmed/Deadwooded (AL09P057501-10)			14,500.00	1
Digital Electric Meters (AL09P057501-10)			18,370.00	1
Hearing Impaired Emergency Alarm Lights (AL09P057501-10)			500.00	1
Vent Hood Fire Suppressants (AL09P057501-10)			10,688.00	1
Roof Replacements (AL09P057501-10)			76,000.00	1
Commodes (AL09P057501-10)			8,000.00	1
Energy Efficient Light Fixtures/Bulbs (AL09P057501-10)			4,250.00	1
Refrigerators/Stoves (AL09P057501-10)			900.00	1
Rehab Units Designated as "Handicapped" to comply with UFAS			10,000.00	4
Site Imp./Tree Work			42,000.00	2,3,4,5
Site Imp./Concrete			10,000.00	2,3,4,5
Site Imp./Drainage			8,000.00	2,3,4,5
Grass & Landscaping			50,000.00	3,4,5
Remove Roots/Repair Floors			10,000.00	2
Handrails/Ramps			4,000.00	2,4,5
Vinyl Flooring Replacement			8,000.00	2,3,4,5
Rehab Interiors			133,600.00	2,3,4,5
Emergency Alarms			3,600.00	2,3,4,5
Commodes			8,000.00	2,3,4,5
Weatherstripping			2,100.00	2
Smoke Detectors			20,000.00	2,3,4,5
Lighted Unit Numbers			50,000.00	5
Stoves/Refrigerators			14,200.00	2,3,4,5
HVAC - Office			10,000.00	1
Copier-Printer-Scanner			16,000	4
Office Equipment-Other			8,000	2,3,4,5
Total Preliminary Estimated Hard Cost for Needed Physical Improvements			\$ 554,308.00	
Per Unit Hard Cost			\$ 3,319.21	
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost			Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Development Has Long-Term Physical and Social Viability			Yes <input checked="" type="checkbox"/> No <input checked="" type="checkbox"/>	
Date Assessment Prepared			2/1/2011	
Source(s) of Information				
Costs are estimates based on past experience with similar work.				

**Physical Needs Assessment**  
**Comprehensive Grant Program (CGP)**

U.S. Department of Housing  
and Urban Development  
Office of Public Housing

OMB Approval No. 2577-0157  
(exp. 12/31/2011)

HA Name Sylacauga Housing Authority - AMP 22		(Former Development Number) (AL09P057009)		<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____	
Development Number AL057000022	Development Name Sylavon Court Extension			DOFA Date 12/31/1991	or
Development Type	Occupancy Type		Structure Type		Number of Buildings
Rental <input checked="" type="checkbox"/>	Family <input type="checkbox"/>	Detached/Semi-Detached <input checked="" type="checkbox"/>		7	Number of Vacant Units
Turnkey III - Vacant <input type="checkbox"/>	Elderly <input checked="" type="checkbox"/>	Row <input type="checkbox"/>		Current Bedroom Distribution 0 ___ 1 ___ 2 ___ 3 ___ 4 ___ 5 ___ 5+ ___	0
Turnkey III - Occupied <input type="checkbox"/>	Mixed <input type="checkbox"/>	Walk-Up <input type="checkbox"/>			0%
Mutual Help <input type="checkbox"/>	<input type="checkbox"/>	Elevator <input type="checkbox"/>			Total Current Units
Section 23, Bond Financed <input type="checkbox"/>				21	
General Description of Needed Physical Improvements					Urgency of Need (1-5)
					Estimated Cost
Trees Trimmed/Deadwooded	(AL09P057501-10)			500.00	1
Digital Electric Meters	(AL09P057501-10)			2,310.00	1
VH Fire Suppressants	(AL09P057501-10)			1,350.00	1
Light Bulbs (Energy Saving)	(AL09P057501-10)			1,050.00	1
Commodos	(AL09P057501-10)			1,100.00	1
Rehab Units Designated as "Handicapped" to comply with UFAS				2,000.00	2
Refrigerators/Stoves	(AL09P057501-10)			900.00	1
Site Imp./Drainage				4,000.00	2,3,4,5
Site Imp./Concrete				4,000.00	2,3,4,5
Site Imp./Tree Work				10,000.00	2,3,4,5
Handrails/Ramps				2,400.00	2,3,4,5
Smoke Detectors				2,100.00	2
Weatherstripping				2,100.00	3
Roofing				50,000.00	2
Stoves/Refrigerators				4,600.00	2,3,4,5
Total Preliminary Estimated Hard Cost for Needed Physical Improvements				\$	88,410.00
Per Unit Hard Cost				\$	4,210.00
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost				Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Development Has Long-Term Physical and Social Viability				Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Date Assessment Prepared					2/1/2011
Source(s) of Information				Total Hard Costs Needed for	
Costs are estimated based on past experience with similar work.				AMP 22	885,138.00
				Per Unit Cost	4,023.35
				AMPWide	
				Units Vacant	3
				%	1.36%

**Physical Needs Assessment**  
**Comprehensive Grant Program (CGP)**

U.S. Department of Housing  
and Urban Development  
Office of Public Housing

OMB Approval No. 2577-0157  
(exp. 11/30/2008)

HA Name Sylacauga Housing Authority - AMP 23		(Former Development Number) (AL09P057008)		<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision Number _____	
Development Number AL057000023		Development Name Sylavon Towers		DOFA Date or 01/31/1982 Construction Date	
<b>Development Type</b> Rental <input checked="" type="checkbox"/> Turnkey III - Vacant <input type="checkbox"/> Turnkey III - Occupied <input type="checkbox"/> Mutual Help <input type="checkbox"/> Section 23, Bond Financed <input type="checkbox"/>		<b>Occupancy Type</b> Family <input type="checkbox"/> Elderly <input checked="" type="checkbox"/> Mixed <input type="checkbox"/>		<b>Structure Type</b> Detached/Semi-Detached <input type="checkbox"/> Row <input type="checkbox"/> Walk-Up <input type="checkbox"/> Elevator <input checked="" type="checkbox"/>	
		Number of Buildings 1		Number of Vacant Units 0	
		Current Bedroom Distribution 0 1 86 2 11		0.00%	
		3 4 5		Total Current Units 97	
		5+			
General Description of Needed Physical Improvements				Urgency of Need (1-5)	
				Estimated Cost	
Sidewalk/Ramp (ADA)				1,000.00	1
Trees Trimmed/Deadwooded				1,000.00	1
Rehab Interiors				9,000.00	1
Vinyl Flooring (Replacing carpet)				17,000.00	1
Commodes				2,000.00	1
Hearing Impaired Emergency Alarm Lights/Shower Rails, etc.				1,000.00	1
Blinds				3,000.00	1
Smoke Detectors				3,000.00	1
Vent Hood Fire Suppressants				6,200.00	1
Roof Repairs				12,000.00	1
HVAC Modification				15,000.00	1
Refrigerators/Stoves (ADA)				1,400.00	1
Roof Replacement				360,000.00	2
Office Equipment – Computers, Printers, Copiers				3,000.00	2,3,4,5
Site Imp./Sidewalks/Ramps				2,500.00	2,3,4,5
Rehab Common Area/ Walls & Floors				15,000.00	3
Replace Carpet w/ Vinyl Flooring				26,000.00	2,3,4,5
Blinds (replacing drapes)				10,000.00	2,3,4,5
Rehab Interiors				77,600.00	2,3,4,5
Commodes				3,200.00	2,3,4,5
Emergency Alarms				2,000.00	2,3,4,5
Security Equipment				2,000.00	3,4
Smoke Detectors				9,700.00	3
Stoves/Refrigerators				12,000.00	2,3,4,5
HVAC Modification				20,000.00	2,3,4,5
Rehab Office				20,000.00	2,3
Total Preliminary Estimated Hard Cost for Needed Physical Improvements				\$ 634,600.00	
Per Unit Hard Cost				\$ 6,542.27	
Physical Improvements Will Result in Structural/System Soundness at a Reasonable Cost				Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Development Has Long-Term Physical and Social Viability				Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Date Assessment Prepared				2/1/2011	
Source(s) of Information					
Costs are estimates based on past experience with similar work.					

# Actual Modernization Cost Certificate

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

OMB Approval No. 2577-0157 (exp. 12/31/2011)

## Comprehensive Improvement Assistance Program (CIAP) Comprehensive Grant Program (CGP)

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Paperwork Reduction Project (2577-0044 and 0157), Office of Information Technology, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

### Do not send this form to the above address.

This collection of information requires that each Housing Authority (HA) submit information to enable HUD to initiate the fiscal closeout process. The information will be used by HUD to determine whether the modernization grant is ready to be audited and closed out. The information is essential for audit verification and fiscal close out. Responses to the collection are required by regulation. The information requested does not lend itself to confidentiality.

HA Name:

**Sylacauga Housing Authority**

Modernization Project Number:

**AL09P05750108**

The HA hereby certifies to the Department of Housing and Urban Development as follows:

1. That the total amount of Modernization Cost (herein called the "Actual Modernization Cost") of the Modernization Grant, is as shown below:

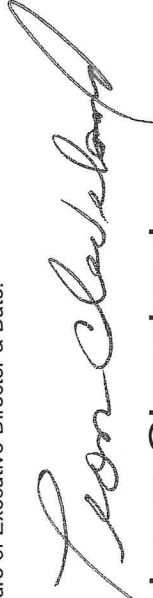
A. Original Funds Approved	\$	<b>815,512.00</b>
B. Funds Disbursed	\$	<b>815,512.00</b>
C. Funds Expended (Actual Modernization Cost)	\$	<b>815,512.00</b>
D. Amount to be Recaptured (A-C)	\$	<b>0.00</b>
E. Excess of Funds Disbursed (B-C)	\$	<b>0.00</b>

2. That all modernization work in connection with the Modernization Grant has been completed;
3. That the entire Actual Modernization Cost or liabilities therefor incurred by the HA have been fully paid;
4. That there are no undischarged mechanics', laborers', contractors', or material-men's liens against such modernization work on file in any public office where the same should be filed in order to be valid against such modernization work; and
5. That the time in which such liens could be filed has expired.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Signature of Executive Director & Date:

X   
**Leon Cleveland**

**06/30/2010**

For HUD Use Only

**The Cost Certificate is approved for audit:**

Approved for Audit (Director, Office of Public Housing / ONAP Administrator)

Date:

X

**The audited costs agree with the costs shown above:**

Verified: (Designated HUD Official)

Date:

X

Approved: (Director, Office of Public Housing / ONAP Administrator)

Date:

X

**CAPITAL FUND PROGRAM TABLES**

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary**

PHA Name: <b>Sylacauga Housing Authority</b>	Grant Type and Number Capital Fund Program Grant No: <b>AL09P057501-08</b> Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2008</b>
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<input type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserve for Disasters/Emergencies	<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 4)
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <b>6/30/2010</b>	<input checked="" type="checkbox"/> Final Performance and Evaluation Report	

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	159,948.00	159,948.00	159,948.00	159,948.00
3	1408 Management Improvements Soft Costs	10,000.00	-	-	-
	Management Improvements Hard Costs				
4	1410 Administration	79,974.16	79,974.00	79,974.00	79,974.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	23,120.00	9,375.00	9,375.00	9,375.00
8	1440 Site Acquisition				
9	1450 Site Improvement	39,822.23	6,857.88	6,857.88	6,857.88
10	1460 Dwelling Structures	219,410.89	273,777.42	273,777.42	273,777.42
11	1465.1 Dwelling Equipment--Nonexpendable	8,700.00	-	-	-
12	1470 Nondwelling Structures	14,801.75	23,671.87	23,671.87	23,671.87
13	1475 Nondwelling Equipment	22,773.91	24,946.77	24,946.77	24,946.77
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Debt Service for 2007	236,961.06	236,961.06	236,961.06	236,961.06
20	1502 Contingency				

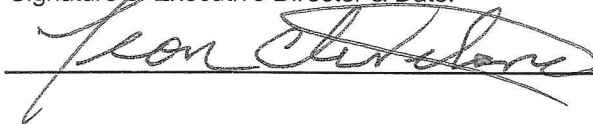
CAPITAL FUND PROGRAM TABLES

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: <b>Sylacauga Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>AL09P057501-08</b> Replacement Housing Factor Grant No:		Federal FY of Grant: 2008	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 4)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <b>6/30/2010</b>		<input checked="" type="checkbox"/> Final Performance and Evaluation Report			
	Amount of Annual Grant: (sum of lines ....)	815,512.00	815,512.00	815,512.00	815,512.00
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance	6,400.00			
	Amount of line XX Related to Security -- Soft Costs				
	Amount of line XX Related to Energy Conservation Measures	46,375.42			
	Collateralization Expenses or Debt Service	236,263.58			

Signature of Executive Director & Date:

 6/30/2010

Signature of Administrator & Date

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**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: <b>Sylacauga Housing Authority</b>		Grant Type and Number Capital Fund Program Grant N <b>AL09P057501-08</b> Replacement Housing Factor Grant No:				Federal FY of Grant: <b>2008</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
<b>AMP 21</b>								
AMP Wide								
	Operations - Amp 21	1406.0		78,375.00	78,375.00	78,375.00	78,375.00	Complete
	Consultants/Grant Writing/Annual Plan	1408.0		4,900.00	-			
	Administration	1410.0		39,187.27	39,187.27	39,187.27	39,187.27	Complete
	Inspection Costs	1430.0	309	4,635.00	4,635.00	4,635.00	4,635.00	Complete
	Architect/Engineer	1430.0		1,500.00	-			
	Surveys	1430.0						
57-4 Drew Court	Site Improvements (Sew./Water/Gas/Elec./Drain, etc.)	1450.0	2	1,500.00	2,498.20	2,498.20	2,498.20	
	Handrails/Ramps/Other ADA	1450.0	2	2,000.00	458.00	458.00	458.00	
	Prep & Paint Interiors	1460.0	16	-	-			
	Water Heaters	1460.0	1	500.00	-			
	Commodes/Lavatories	1460.0	1	200.00	-			
	Weather Strip/Door Sweep - Heater Doors	1460.0	86	2,150.00	-			
	Vent Hood Fire Suppressants	1460.0	86	3,936.55	3,936.55	3,936.55	3,936.55	Complete
	Dwelling Equipment (Refrigerators/Stoves)	1465.1	3	1,500.00	-			
57-5 Drew Court	Site Improvements (Sew./Water/Gas/Elec./Drain, etc.)	1450.0	1	500.00	-			
	Trees Trimmed/Deadwooded	1450.0	1	1,000.00	-			
	Prep & Paint Interiors	1460.0	2	-	-			
	Vent Hood Fire Suppressants	1460.0	16	732.38	732.38	732.38	732.38	Complete
	Refrigerators/Stoves	1465.1	1	500.00	-			
57-6 Drew Court	Site Improvements (Sew./Water/Gas/Elec./Drain, etc.)	1450.0	1	1,222.23	1,859.65	1,859.65	1,859.65	
	Trees Trimmed/Deadwooded	1450.0	1	1,000.00	-			
	Prep & Paint Interiors	1460.0	2	-	-			
	Weatherstripp/Door Sweep - Heater Doors	1460.0	16	400.00	-			
	Commodes	1460.0	1	1,696.25	1,696.25	1,696.25	1,696.25	
	Water Heaters	1460.0	1	1,733.50	5,600.24	5,600.24	5,600.24	
	Vent Hood Fire Suppressants	1460.0	83	3,799.23	3,799.23	3,799.23	3,799.23	Complete
	Bathroom Renovation	1460.0	1		556.88	556.88	556.88	
	Refrigerators/Stoves	1465.1	3	1,500.00	-			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: <b>Sylacauga Housing Authority</b>		Grant Type and Number Capital Fund Program Grant N <b>AL09P057501-08</b> Replacement Housing Factor Grant No:				Federal FY of Grant: <b>2008</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
57-10 Drew Court	Site Improvements (Sew./Water/Gas/Elec./Drain, etc.)	1450.0	1	1,000.00	315.00	315.00	315.00	
	Prep & Paint Interiors	1460.0	20	-	-			
	Water Heaters	1460.0	3	1,500.00	2,280.60	2,280.60	2,280.60	
	Exterior Door Replacement	1460.0	8	8,000.00	435.00	435.00	435.00	
	Bathtub Liners	1460.0						
	Commodes	1460.0	1	200.00	-			
	Vinyl Flooring Replacement	1460.0	4	12,000.00	-			
	Vent Hood Fire Suppressants	1460.0	106	4,852.04	4,852.04	4,852.04	4,852.04	Complete
	Refrigerators/Stoves	1465.1	3	1,500.00	-			
	A/C Modifications - Day Care/Office	1470.0	2	2,000.00	-			
	Door Locks - Storage Building	1470.0	1	519.31	519.31	519.31	519.31	
	Bulletin Board	1470.0	1	1,340.00	1,340.00	1,340.00	1,340.00	Complete
	Security System/Building Component	1470.0			2,897.15	2,897.15	2,897.15	
	Security System	1475.0	1	8,265.30	6,015.30	6,015.30	6,015.30	
	Office Furniture/Copier/Printer	1475.0	1	1,454.41	4,976.21	4,976.21	4,976.21	Complete
57-11 V. West Homes	Site Improvements (Sew./Water/Gas/Elec./Drain, etc.)	1450.0	1	500.00	-			
	Trees Trimmed/Deadwooded/Fence cleanup	1450.0	1	1,000.00	-			
	Prep & Paint Interiors	1460.0	1	-	-			
	Commodes	1460.0	1	200.00	-			
	Heat Pumps	1460.0	2		9,838.14	9,838.14	9,838.14	
	Water Heaters	1460.0	2		540.00	540.00	540.00	
	Weatherstrip/Door Sweep - Heater doors	1460.0	18	450.00	-			
	Vent Hood Fire Suppressants	1460.0	18	1,080.00	823.93	823.93	823.93	
	Refrigerators/Stoves	1465.1	1	500.00	-			
AMP 22								
AMP Wide								
	Operations	1406.0		55,982.00	55,982.00	55,982.00	55,982.00	Complete
	Consultants/Grant Writing/Annual Plan	1408.0		3,500.00	-			
	Administration	1410.0		27,990.89	27,990.89	27,990.89	27,990.89	Complete
	Inspections	1430.0	220	3,285.00	3,285.00	3,285.00	3,285.00	Complete
	Architect	1430.0		5,760.00				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number				Federal FY of Grant:		
Sylacauga Housing Authority		Capital Fund Program Grant N AL09P057501-08				2008		
		Replacement Housing Factor Grant No:						
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
	Engineer/Consultant	1430.0	2	2,000.00	-			
	Property Surveys	1430.0	2	2,500.00	-			
	Security Locks - Office	1470.0	1	732.45	732.45	732.45	732.45	
	Doors - Sylavon Office	1470.0	3	4,500.00	4,500.00	4,500.00	4,500.00	Complete
	Bulletin Board	1470.0	1	860.00	860.00	860.00	860.00	
	Bookcases/Cabinets	1470.0	1	3,849.99	3,849.99	3,849.99	3,849.99	Complete
	Office Equipment - Computer/Copier/Printer/Scanner	1475.0	1	2,000.00	5,545.16	5,545.16	5,545.16	
	Security System Upgrades/Building Components	1470.0	1		4,476.32	4,476.32	4,476.32	
	Security System Upgrades	1475.0	1	10,054.20	6,649.20	6,649.20	6,649.20	
57-2 Sylavon Court	Site Improvements (Sew./Water/Gas/Elec./Drain, etc.)	1450.0	7	3,500.00	-			
	Handrails/Ramp	1450.0	2	1,000.00	-			
	Trees Trimmed/Deadwooded	1450.0	2	4,500.00	-			
	Prep & Paint Interiors	1460.0	5	-	-			
	Exterior Door Replacement	1460.0	5	5,000.00	864.56	864.56	864.56	
	Water Heaters	1460.0	2	1,000.00	-			
	Lighting Upgrade/Bathrooms	1460.0	32	1,600.00	1,215.45	1,215.45	1,215.45	Complete
	Commodes	1460.0	19	3,800.00	-			
	Insulation - Ceiling	1460.0	3	5,000.00	-			
	Vent Hood Fire Suppressants	1460.0	32	1,920.00	-			
	Roof Replacement	1460.0	12	31,280.90	80,000.00	80,000.00	80,000.00	
	HVAC (Installation of Units purchased by Bond)	1460.0	0	-	-			
57-3 Sylavon Court	Site Improvements (Sew./Water/Gas/Elec./Drain, etc.)	1450.0	17	8,600.00	1,727.03	1,727.03	1,727.03	
	Handrails/ramps	1450.0	10	5,000.00	-			
	Trees Trimmed/Deadwooded	1450.0	2	4,500.00	-			
	Prep & Paint Interiors	1460.0	15	-	-			
	Water Heaters	1460.0	5	2,500.00	1,300.48	1,300.48	1,300.48	
	GFCI Plugs	1460.0		1,151.25	1,151.25	1,151.25	1,151.25	
	Bathroom Lighting Upgrade - Energy Eff.	1460.0	167	15,483.55	15,483.55	15,483.55	15,483.55	Complete
	Hearing Impaired Emergency Alarm Lights	1460.0	2	500.00	-			
	Exterior Door	1460.0	1		368.40	368.40	368.40	
	Commodes	1460.0		-	833.79	833.79	833.79	
	Vent Hood Fire Suppressants	1460.0	167	10,020.00				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number					Federal FY of Grant:		
Sylacauga Housing Authority		Capital Fund Program Grant N AL09P057501-08					2008		
		Replacement Housing Factor Grant No:							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Obligated	Expended		
	Roof Replacements	1460.0	1	3,000.00	82,593.72	82,593.72	82,593.72		
	Refrigerators/Stoves	1465.1	2	900.00	-				
	HVAC Modification	1470.0	1	1,000.00	-				
	Speaker/through the glass	1470.0	1		950.00	950.00	950.00		
	Building Entry Modifications	1470.0	2		2,960.00	2,960.00	2,960.00		
	Water Heaters	1470.0	1		586.65	586.65	586.65		
57-9 Sylavon Court	Sidewalk Replacement/Drainage Improvements	1450.0	1	500.00	-				
	Handrails/Ramps	1450.0	1	500.00	-				
	Trees Trimmed/Deadwooded	1450.0	1	500.00	-				
	Prep & Paint Interiors	1460.0	2	-	-				
	Water Heaters	1460.0	2	1,000.00	-				
	Light Bulbs (Energy Saving)	1460.0	210	1,050.00	-				
	Commodes	1460.0	1	400.00	-				
	HVAC Replacement	1460.0	4	16,000.00	7,820.17	7,820.17	7,820.17		
	Refrigerators/Stoves	1465.1	2	900.00	-				
	Vent Hood Fire Suppressants	1460.0	21	1,260.00	-				
AMP 23									
57-8 Sylavon Towers									
	Operations	1406.0		25,591.00	25,591.00	25,591.00	25,591.00	Complete	
	Consultants/Grant Writing/Annual Plan	1408.0		1,600.00	-				
	Administration	1410.0		12,796.00	12,795.84	12,795.84	12,795.84	Complete	
	Inspections	1430.0	97	1,940.00	1,455.00	1,455.00	1,455.00	Complete	
	Property Survey	1430.0	1	500.00	-				
	Architect/Engineer/Consultant	1430.0		1,000.00	-				
	Sidewalk/Ramp (ADA)	1450.0	2	1,000.00	-				
	Trees Trimmed/Deadwooded	1450.0	1	500.00	-				
	Prep & Paint Interiors	1460.0	9	-	-				
	Vinyl Flooring (Replacing carpet)	1460.0	6	17,000.00	3,030.00	3,030.00	3,030.00		
	Commodes	1460.0	10	2,000.00	1,246.40	1,246.40	1,246.40		
	Hearing Impaired Emergency Alarm Lights/Shower Rails, etc.	1460.0	10	1,000.00	-				
	Blinds	1460.0	15	3,000.00	193.46	193.46	193.46		
	Smoke Detectors	1460.0	22	1,100.00	-				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: <b>Sylacauga Housing Authority</b>		Grant Type and Number Capital Fund Program Grant N <b>AL09P057501-08</b> Replacement Housing Factor Grant No:				Federal FY of Grant: <b>2008</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Obligated	Expended		
	Vent Hood Fire Suppressants	1460.0	16	6,000.00	-				
	Security System Upgrades	1460.0	1	27,476.80	30,577.51	30,577.51	30,577.51		
	Bulletin Board	1460.0	1		1,340.00	1,340.00	1,340.00		
	Roof Repairs	1460.0	1	5,771.00	-				
	Digital TV Antenna	1460.0	97	10,667.44	10,667.44	10,667.44	10,667.44	Complete	
	Refrigerators/Stoves (ADA)	1465.1	3	1,400.00	-				
	Computer Equipment/PDA	1475.0	2	1,000.00	1,760.90	1,760.90	1,760.90		
<b>PHA Wide</b>	Debt Service for 2007	1501.0		236,961.06	236,961.06	236,961.06	236,961.06		
<b>Totals</b>				815,512.00	815,512.00	815,512.00	815,512.00		


**Part III: Implementation Schedule**

PHA Name: <b>Sylacauga Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>AL09P057501-08</b> Replacement Housing Factor Grant No:					Federal FY of Grant: <b>2008</b>	
Development Number		All Funds Obligated			All Funds Expended			Reasons for Revised Target Dates
Name/HA-Wide Activities		(Quarter Ending Date)			(Quarter Ending Date)			
		Original	Revised	Actual	Original	Revised	Actual	
AMP 21								
57-4 Drew Court		6/12/2010	6/12/2010	6/12/2010	6/12/2010	6/28/2010	6/28/2010	
57-5 Drew Court		6/12/2010	6/12/2010	6/12/2010	6/12/2010	6/28/2010	6/28/2010	
57-6 Drew Court		6/12/2010	6/12/2010	6/12/2010	6/12/2010	6/28/2010	6/28/2010	
57-10 Drew Court		6/12/2010	6/12/2010	6/12/2010	6/12/2010	6/28/2010	6/28/2010	
57-11 Virginia West Homes		6/12/2010	6/12/2010	6/12/2010	6/12/2010	6/28/2010	6/28/2010	
AMP Wide								
AMP 22								
57-2 Sylavon Court		6/12/2010	6/12/2010	6/12/2010	6/12/2010	6/28/2010	6/28/2010	
57-3 Sylavon Court		6/12/2010	6/12/2010	6/12/2010	6/12/2010	6/28/2010	6/28/2010	
57-9 Sylavon Court		6/12/2010	6/12/2010	6/12/2010	6/12/2010	6/28/2010	6/28/2010	
AMP Wide		6/12/2010	6/12/2010	6/12/2010	6/12/2010	6/28/2010	6/28/2010	
AMP 23								
57-8 Sylavon Towers		6/12/2010	6/12/2010	6/12/2010	6/12/2010	6/28/2010	6/28/2010	

<b>Part I: Summary</b>					
PHA Name: <b>Sylacauga Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>AL09S057501-09</b> CFFP (Yes/No): No Replacement Housing Factor Grant No:			FFY of Grant <b>2009</b> FFY of Grant Approval
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 2 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	-		-	-
3	1408 Management Improvements	-	-	-	-
4	1410 Administration (may not exceed 10% of line 21)	95,665.00	95,665.00	95,665.00	36,134.46
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	61,212.00	61,212.00	61,212.00	52,947.00
8	1440 Site Acquisition				
9	1450 Site Improvement	735,948.00	745,351.42	745,351.42	380,229.52
10	1460 Dwelling Structures	121,452.00	107,072.31	107,072.31	106,454.88
11	1465.1 Dwelling Equipment—Nonexpendable	-	-	-	-
12	1470 Nondwelling Structures	18,000.00	22,976.27	22,976.27	18,874.88
13	1475 Nondwelling Equipment	-	-	-	-
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	-			
19	1502 Contingency (may not exceed 8% of line 20)				-
20	Amount of Annual Grant: (sum of lines 2-19)	1,032,277.00	1,032,277.00	1,032,277.00	594,640.74
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

**Part I: Summary**

PHA Name: <b>Sylacauga Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>AL09S057501-09</b> Date of CFFP: _____		Replacement Housing Factor Grant No.	FFY of Grant <u>2009</u> FFY of Grant Approval
<b>Type of Grant</b>					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2 )	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/2010				<input type="checkbox"/> Final Performance and Evaluation Report	
Signature of Executive Director 		Date 7/14/2010		Signature of Public Housing Director	
				Date	



<b>Part II: Supporting Pages</b>								
PHA Name: <b>Sylacauga Housing Authority</b>			Grant Type and Number Capital Fund Program Grant No: <b>AL09S057501-09</b> Replacement Housing Factor Grant No:				Federal FY of Grant: <b>2009</b>	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
<b>AL057000021</b>	Administration	1410.0		32,565.00	25,908.97	25,908.97	9,632.88	
	Administration - Clerk of Works - Utilities Projects	1410.0		16,000.00	22,656.03	22,656.03	19,146.06	
	Architect	1430.0		35,000.00	35,126.50	35,126.50	28,250.00	
	Engineering Consultant/Other	1430.0		600.00	473.50	473.50	473.50	
	Water System Upgrade - Lines	1450.0		144,000.00	144,000.00	144,000.00	60,587.31	
	Digital Water Meters	1450.0		-	-	-	-	
	Sewer System Upgrade	1450.0		255,948.00	255,948.00	255,948.00	173,040.00	
	Natural Gas Pipeline Replacement	1450.0		171,000.00	171,000.00	171,000.00	142,155.00	
<b>AL057000022</b>	Administration	1410.0		32,500.00	32,500.00	32,500.00	7,163.48	
	Administration - Clerk of Works - Utility Projects	1410.0		14,600.00	14,600.00	14,600.00	192.04	
	Architect	1430.0		25,000.00	25,138.50	25,138.50	23,750.00	
	Engineering Consultant/other	1430.0		612.00	473.50	473.50	473.50	
	Water System Upgrade - Lines	1450.0		68,000.00	87,403.42	87,403.42	532.21	
	Sewer System Upgrade - Lines	1450.0		87,000.00	87,000.00	87,000.00	3,915.00	
	Neutralizing Natural Gas Lines	1450.0		10,000.00	-	-	-	
	HVAC (Gas to Electric Heat Pump-Project 57-9)	1460.0	14	45,000.00	30,337.85	30,337.85	30,337.85	
	Water Heaters - Conversion of Gas to Electric	1460.0	150	76,452.00	76,734.46	76,734.46	76,117.03	
	HVAC (Gas to Electric Heat Pump-Offices)	1470.0	5	18,000.00	22,976.27	22,976.27	18,874.88	
					-	-	-	
<b>Totals</b>				<b>1,032,277.00</b>	<b>1,032,277.00</b>	<b>1,032,277.00</b>	<b>594,640.74</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>2</sup> To be completed for the Performance and Evaluation Report

<b>Part III: Implementation Schedule</b>					
PHA Name: <b>Sylacauga Housing Authority</b>					<b>Federal FY of Grant:</b> <b>2009</b>
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AL057000021	3/19/2010	1/21/2010	3/19/2011		
Drew Court & Virginia S. West Homes					
AL057000022	3/19/2010	1/21/2010	3/19/2011		
Sylavon Court					

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

<b>Part I: Summary</b>		
<b>PHA Name:</b> Sylacauga Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>AL09P057501-09</b> CFFP (Yes/No): No Replacement Housing Factor Grant No:	<b>FFY of Grant</b> 2009 <b>FFY of Grant Approval</b>

**Type of Grant**

Original Annual Statement     
  Reserve for Disasters/Emergencies     
  Revised Annual Statement (revision no: 3 )  
 Performance and Evaluation Report for Period Ending:     
  Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	98,630.00	98,630.00	98,630.00	98,630.00
3	1408 Management Improvements	14,000.00	14,000.00	-	-
4	1410 Administration (may not exceed 10% of line 21)	98,630.00	98,630.00	98,630.00	98,630.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	33,495.98	33,495.98	30,995.98	30,020.98
8	1440 Site Acquisition				
9	1450 Site Improvement	194,973.00	201,909.73	119,116.16	41,779.61
10	1460 Dwelling Structures	287,250.11	276,146.78	184,552.59	83,179.89
11	1465.1 Dwelling Equipment--Nonexpendable	4,150.00	8,300.00	8,300.00	8,300.00
12	1470 Nondwelling Structures	9,923.11	9,923.11	9,923.11	9,923.11
13	1475 Nondwelling Equipment	8,301.74	8,301.74	8,301.74	8,301.74
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	236,961.06	236,977.66	236,977.66	183,355.00
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	986,315.00	986,315.00	795,427.24	562,120.33
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	37,100.00			
23	Amount of line 20 Related to Security -- Soft Costs				
24	Amount of line 20 Related to Security -- Hard Costs	39,000.00			
25	Amount of line 20 Related to Energy Conservation Measures	239,603.00			

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>			
PHA Name: <b>Sylacauga Housing Authority</b>	Grant Type and Number Capital Fund Program Grant No: <b>AL09P057501-09</b> Date of CFFP: _____	Replacement Housing Factor Grant No.	FFY of Grant <b>2009</b> FFY of Grant Approval
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report			
Signature of Executive Director <i>Leon C. [Signature]</i>	Date 1/27/2011	Signature of Public Housing Director <i>R. [Signature]</i>	Date 2/14/2011

LOCCS

ENTERED ON 02/15/2011

ENTERED BY [Signature]  
*Joseph S. Partridge*  
 Financial Analyst

READ CAREFULLY AND SIGNATURE  
 FOR APPROVAL AND SIGNATURE  
 DATE 2/14/11 *[Signature]*

Part II: Supporting Pages									
PHA Name: <b>Sylacauga Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>AL09P057501-09</b> Replacement Housing Factor Grant No:					Federal FY of Grant: <b>2009</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>		
<b>AL057000021</b>	Operations - Amp 21	1406.0		48,330.00	48,330.00	48,330.00	48,330.00		
<b>Drew Court</b>	Scanning Equipment-Utilities	1408.0		14,000.00	14,000.00				
	Telephone System	1408.0		-	-				
	Administration	1410.0		48,329.00	48,329.00	48,329.00	48,329.00		
	Inspection Costs	1430.0	309	4,605.00	4,605.00	4,605.00	4,605.00		
	Architect/Engineer	1430.0		-	-				
	Surveys	1430.0		-	-				
	Site Improvements (Electrical Upgrades)	1450.0	5	5,000.00	5,000.00				
	Handrails/Ramps/Other ADA	1450.0	2	2,000.00	2,000.00				
	Trees Trimmed/Deadwooded	1450.0	9	4,000.00	4,000.00				
	Drain Replacement	1450.0	1	2,800.00	2,800.00	2,800.00	2,800.00		
	Drainage Improvements/Flumes	1450.0		18,240.00	12,611.02	12,118.00	12,118.00		
	Digital Electric/Water/Gas Meters	1450.0	291	67,317.15	67,317.15				
	Water Heaters	1460.0	2	5,471.22	6,950.20	6,950.20	6,950.20		
	Commodes/Lavatories	1460.0	3	900.00	900.00	398.24	398.24		
	Cabinets	1460.0	8	8,000.00	8,000.00				
	Window Replacement	1460.0	30	4,497.00	4,497.00				
	Exterior Door Replacement	1460.0	8	6,000.00	6,000.00				
	Bathtub Liners	1460.0	32	50,000.00	50,000.00	7,522.54	7,522.54		
	Commodes	1460.0	1	2,000.00	2,000.00				
	Vinyl Flooring Replacement	1460.0	4	12,000.00	12,000.00	380.00	380.00		
	HVAC Replacement - VSW Homes	1460.0		3,195.97	3,195.97	3,195.97	3,195.97		
	Refrigerators/Stoves	1465.1	20	2,075.00	6,225.00	6,225.00	6,225.00		
	A/C Modifications - Day Care/Office	1470.0	2	4,622.09	4,622.09	4,622.09	4,622.09		
	Security System	1475.0	1	-	-				
	Office Furniture/Copier/Printer	1475.0	2	1,852.47	1,852.47	1,852.47	1,852.47		
<b>AL057000022</b>	Operations	1406.0		34,520.00	34,520.00	34,520.00	34,520.00		
<b>Sylavon Court</b>	Scanning Equipment - Utilities	1408.0		-	-				
	Telephone System	1408.0		-	-				
	Administration	1410.0		34,521.00	34,521.00	34,521.00	34,521.00		
	Inspections	1430.0	220	3,285.00	3,285.00	3,285.00	3,285.00		

Part II: Supporting Pages									
PHA Name: <b>Sylacauga Housing Authority</b>			Grant Type and Number Capital Fund Program Grant No: <b>AL09P057501-09</b> Replacement Housing Factor Grant No:					Federal FY of Grant: <b>2009</b>	
Development Number Name/HA- Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>		
	Architect	1430.0		19,500.00	19,500.00	19,500.00	18,525.00		
	Engineer/Consultant/Other	1430.0	2	2,150.98	2,150.98	2,150.98	2,150.98		
	Property Surveys	1430.0	2	2,500.00	2,500.00				
	Site Improvements (Sew./Water/Gas/Elec./Drain, etc.)	1450.0	9	81,615.85	94,952.40	94,952.40	17,615.85		
	Sidewalk Replacement/Drainage Improvements	1450.0	1	-	-				
	Digital Electric Meters	1450.0	220	-	-				
	Handrails/ramps	1450.0	4	4,000.00	3,983.40				
	Trees Trimmed/Deadwooded	1450.0	10	10,000.00	9,245.76	9,245.76	9,245.76		
	Exterior Door Replacement	1460.0	11	5,000.00	699.56	699.56	699.56		
	Light Fixtures/ Bulbs (Energy Saving)	1460.0	53	-	-				
	Water Heaters	1460.0	9	1,086.32	1,086.32	1,086.32	1,086.32		
	Commodes	1460.0	26	5,483.84	3,000.00	1,524.00	1,524.00		
	Cabinets	1460.0	6	-	-				
	Hearing Impaired Emergency Alarm Lights	1460.0	2	-	-				
	Vent Hood Fire Suppressants	1460.0	40	-	-				
	HVAC Replacement	1460.0	1	-	-				
	Insulation - Ceiling	1460.0	3	-	-				
	Roof Replacements	1460.0	10	159,627.28	159,627.28	159,627.28	58,254.58	101372.7	
	Refrigerators/Stoves	1465.1	20	1,245.00	1,245.00	1,245.00	1,245.00		
	HVAC Modification	1470.0	2	5,301.02	5,301.02	5,301.02	5,301.02		
	Office Equipment - Computer/Copier/Printer/Scanner	1475.0	2	2,424.14	2,424.14	2,424.14	2,424.14		
	Security System Upgrades	1475.0	1	-	-				
	Stoves (SAFE) - Replaced gas w/electric	1475.0	2	1,430.00	1,430.00	1,430.00	1,430.00		
<b>AL057000023</b>	Operations	1406.0		15,780.00	15,780.00	15,780.00	15,780.00		
<b>Sylavon Towers</b>	Telephone System	1408.0		-	-				
	Administration	1410.0		15,780.00	15,780.00	15,780.00	15,780.00		
	Inspections	1430.0	97	1,455.00	1,455.00	1,455.00	1,455.00		
	Property Survey	1430.0	1	-	-				
	Architect/Engineer/Consultant	1430.0		-	-				
	Sidewalk/Ramp (ADA)	1450.0	2	-	-				
	Trees Trimmed/Deadwooded	1450.0	1	-	-				
	Vinyl Flooring (Replacing carpet)	1460.0	6	3,060.00	3,060.00	3,060.00	3,060.00		
	Commodes	1460.0	10	-	-				

<b>Part II: Supporting Pages</b>									
PHA Name: <b>Sylacauga Housing Authority</b>			Grant Type and Number Capital Fund Program Grant No: <b>AL09P057501-09</b> Replacement Housing Factor Grant No:					Federal FY of Grant: <b>2009</b>	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>		
	Hearing Impaired Emergency Alarm Lights/Shower Rails, etc.	1460.0	10	-	-				
	Blinds	1460.0	14	108.48	108.48	108.48	108.48		
	Smoke Detectors	1460.0	22	-	-				
	Vent Hood Fire Suppressants	1460.0	97	5,820.00	5,820.00				
	Security System Upgrades	1460.0	1	-	-				
	Roof Repairs	1460.0	1	15,000.00	9,201.97				
	Refrigerators/Stoves (ADA)	1465.1	3	830.00	830.00	830.00	830.00		
	Computer Equipment/PDA	1475.0	1	2,595.13	2,595.13	2,595.13	2,595.13		
<b>PHA Wide</b>	Debt Service for 2009	1501.0		236,961.06	236,977.66	236,977.66	183,355.00		
<b>Totals</b>				986,315.00	986,315.00	795,427.24	562,120.33		

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>2</sup> To be completed for the Performance and Evaluation Report

Part I: Summary						
PHA Name: <b>Sylacauga Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>AL09P057501-10</b> CFFP (Yes/No): No Replacement Housing Factor Grant No:			FFY of Grant <u>2010</u> FFY of Grant Approval	
Type of Grant						
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1 ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	195,786.00	195,786.00	195,786.00	195,786.00	
3	1408 Management Improvements	-	-	-	-	
4	1410 Administration (may not exceed 10% of line 21)	97,893.00	97,893.00	97,893.00	48,946.50	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	25,772.00	26,772.00	11,595.00	11,595.00	
8	1440 Site Acquisition					
9	1450 Site Improvement	119,790.00	55,600.00	1,463.75	1,463.75	
10	1460 Dwelling Structures	272,121.84	322,352.59	177,696.28	16,096.28	
11	1465.1 Dwelling Equipment-Nonexpendable	10,200.00	18,810.00	8,610.00	8,610.00	
12	1470 Nondwelling Structures	14,000.00	16,460.00	7,460.00	7,460.00	
13	1475 Nondwelling Equipment	6,800.00	8,689.25	8,689.25	8,689.25	
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities <sup>4</sup>					
18a	1501 Collateralization or Debt Service paid by the PHA	236,567.16	236,567.16	236,567.16		
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2-19)	978,930.00	978,930.00	745,760.44	298,646.78	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities	23,000.00	23,000.00			
23	Amount of line 20 Related to Security -- Soft Costs					
24	Amount of line 20 Related to Security -- Hard Costs	26,600.00	26,600.00			
25	Amount of line 20 Related to Energy Conservation Measures	73,947.00	73,947.00			

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.



<b>Part I: Summary</b>			
PHA Name: <b>Sylacauga Housing Authority</b>	Grant Type and Number Capital Fund Program Grant No: <b>AL09P057501-10</b> Date of CFFP: _____	Replacement Housing Factor Grant No.	FFY of Grant <b>2010</b> FFY of Grant Approval
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report			
Signature of Executive Director <i>Leon Cleveland</i>	Date 1/27/2011	Signature of Public Housing Director <i>R. Edward Sprayberry</i>	Date 2/14/2011

LOCCS

ENTERED ON 02/15/2011

ENTERED BY *Joseph S. Przybylski*  
 JOSEPH S. PRZYBYLSKI  
 Financial Analyst

DATE 7/14/11  
 SIGNATURE *[Signature]*  
 READ, CLEARED, AND RECOMMENDED FOR APPROVAL AND SIGNATURE

Part II: Supporting Pages								
PHA Name: <b>Sylacauga Housing Authority</b>			Grant Type and Number Capital Fund Program Grant No: <b>AL09P057501-10</b> Replacement Housing Factor Grant No:				Federal FY of Grant: <b>2010</b>	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
<b>AL057000021</b>	Operations - Amp 21	1406.0		95,935.00	95,935.00	95,935.00	95,935.00	
<b>Drew Court</b>	Administration	1410.0		47,968.00	47,968.00	47,968.00	23,983.78	
	Inspection Costs	1430.0	309	6,798.00	6,798.00	2,100.00	2,100.00	
	Architect/Engineer	1430.0		3,000.00	1,000.00			
	Site Improvements (Electrical Upgrades)	1450.0	5	5,000.00	5,000.00			
	Site Improvements (Digital Meters - Electric)	1450.0	309	33,990.00	-			
	Handrails/Ramps/Other ADA	1450.0	2	2,000.00	2,000.00			
	Site Improvements - Sidewalks	1450.0		-	3,000.00	1,040.00	1,040.00	
	Trees Trimmed/Deadwooded/Power Line Clearing	1450.0	13	13,000.00	13,000.00			
	Water Heaters	1460.0	13	4,700.00	11,000.00	7,893.58	7,893.58	
	Commodes/Lavatories	1460.0	3	2,000.00	2,000.00	1,107.30	1,107.30	
	Interior Rehab/Cabinets/Countertops	1460.0	8	12,523.84	10,541.31			
	Exterior Door Replacement	1460.0	8	8,000.00	8,000.00	1,043.83	1,043.83	
	Bathtub Liners	1460.0	32	33,000.00	33,000.00			
	HVAC Replacement	1460.0	4	11,822.00	11,822.00	3,165.97	3,165.97	
	Vinyl Flooring Replacement	1460.0	4	10,000.00	10,000.00			
	Cable TV Wiring Extension (57-10 units)	1460.0	106	10,000.00	10,000.00			
	Refrigerators/Stoves	1465.1	15	7,000.00	7,000.00			
	A/C Modifications - Day Care/Office	1470.0	2	5,000.00	7,460.00	7,460.00	7,460.00	
	Security/Fire Alarms	1470.0	1	5,000.00	5,000.00			
	Office Furniture/Copier/Printer	1475.0	1	3,000.00	-			
<b>AL057000022</b>	Operations	1406.0		68,525.00	68,525.00	68,525.00	68,525.00	
<b>Sylavon Court</b>	Administration	1410.0		34,262.00	34,262.00	34,262.00	17,131.28	
	Inspections	1430.0	220	4,840.00	4,840.00	1,635.00	1,635.00	
	Architect/Engineer	1430.0		8,000.00	11,000.00	7,200.00	7,200.00	
	Site Improvements (Sew./Water/Gas/Elec./Drain, etc.)	1450.0	9	10,600.00	10,600.00	423.75	423.75	
	Sidewalk Replacement/Drainage Improvements	1450.0	1	1,500.00	1,500.00			
	Digital Electric Meters	1450.0	220	24,200.00	-			
	Handrails/ramps	1450.0	1	10,000.00	10,000.00			
	Trees Trimmed/Deadwooded	1450.0	10	17,500.00	9,500.00			
	Exterior Door Replacement	1460.0	11	5,000.00	5,000.00			
	Light Fixtures/ Bulbs (Energy Saving)	1460.0	40	4,250.00	2,250.00			

Part II: Supporting Pages									
PHA Name: <b>Sylacauga Housing Authority</b>			Grant Type and Number Capital Fund Program Grant No: <b>AL09P057501-10</b> Replacement Housing Factor Grant No:				Federal FY of Grant: <b>2010</b>		
Development Number Name/HA- Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>		
	Commodes	1460.0	22	10,900.00	5,000.00	881.10	881.10		
	Cabinets/Countertops	1460.0	5	4,826.00	4,826.00				
	Hearing Impaired Emergency Alarm Lights	1460.0	2	500.00	500.00				
	Vent Hood Fire Suppressants	1460.0	220	15,400.00	-				
	Roof Replacements	1460.0	10	76,000.00	161,813.28	161,813.28	213.28		
	Refrigerators/Stoves	1465.1	5	1,800.00	1,800.00				
	HVAC Modification/Office/SAFE	1470.0	2	4,000.00	4,000.00				
	Office Equipment - Computer/Copier/Printer/Scanner	1475.0	1	3,000.00	1,316.75	1,316.75	1,316.75		
<b>AL057000023</b>	Operations	1406.0		31,326.00	31,326.00	31,326.00	31,326.00		
<b>Sylavon Towers</b>	Administration	1410.0		15,663.00	15,663.00	15,663.00	7,831.44		
	Inspections	1430.0	97	2,134.00	2,134.00	660.00	660.00		
	Architect/Engineer/Consultant	1430.0		1,000.00	1,000.00				
	Sidewalk/Ramp (ADA)	1450.0	2	1,000.00	1,000.00				
	Trees Trimmed/Deadwooded	1450.0	1	1,000.00	-				
	Rehab Interiors	1460.0	3	7,000.00	7,000.00				
	Vinyl Flooring (Replacing carpet)	1460.0	6	16,000.00	8,000.00				
	Commodes	1460.0	10	2,000.00	2,000.00	366.22	366.22		
	Hearing Impaired Emergency Alarm Lights/Shower Rails, etc.	1460.0	10	1,000.00	1,000.00				
	Blinds	1460.0	14	3,000.00	1,400.00				
	Smoke Detectors	1460.0	22	3,000.00	3,000.00				
	Vent Hood Fire Suppressants	1460.0	97	6,200.00	6,200.00				
	Roof Repairs	1460.0	1	12,000.00	12,000.00	1,425.00	1,425.00		
	HVAC Modifications	1460.0	4	13,000.00	6,000.00				
	Refrigerators/Stoves (ADA)	1465.1	3	1,400.00	1,400.00				
	Coin Operated Washin Machines	1465.1	6		8,610.00	8,610.00	8,610.00		
	Computer Equipment/PDA	1475.0	1	800.00	-				
	Telephone/Computer/Security System Wiring Changes	1475.0	1	-	7,372.50	7,372.50	7,372.50		
<b>PHA Wide</b>	Debt Service for 2010	1501.0		236,567.16	236,567.16	236,567.16			
<b>Totals</b>				978,930.00	978,930.00	745,760.44	298,646.78		

Part II: Supporting Pages									
PHA Name: <b>Sylacauga Housing Authority</b>			Grant Type and Number Capital Fund Program Grant No: <b>AL09P057501-10</b> Replacement Housing Factor Grant No:				Federal FY of Grant: <b>2010</b>		
Development Number Name/HA- Wide Activities	General Description of Major Work Categories		Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>2</sup> To be completed for the Performance and Evaluation Report

<b>Part III: Implementation Schedule</b>					
PHA Name: <b>Sylacauga Housing Authority</b>				<b>Federal FY of Grant:</b> <b>2010</b>	
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
<b>AL057000021</b>	7/15/2012		7/15/2014		
Drew Court & Virginia S. West Homes					
<b>AL057000022</b>	7/15/2012		7/15/2014		
Sylavon Court					
<b>AL057000023</b>	7/15/2012		7/15/2014		
Sylavon Towers					

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

**CRIMINAL TRESPASS POLICY OF THE  
SYLACAUGA HOUSING AUTHORITY**

The Sylacauga Housing Authority, hereinafter referred to as the "Authority," is aware of the problem its residents and Authority face with the unwanted presence of persons on or in the property of the Authority. Recognizing the seriousness of illegal drug activity as well as other criminal activity, the Authority adopts the following policy in an effort to enhance the safety, health, and well being of its residents and its property. The goal of the following policy is to reduce criminal activity involving drugs and other activity which threatens the peace and tranquility desired for public housing and its residents. The following policy is to be implemented and carried out under the laws of the State of Alabama and the municipality.

- A. The Authority shall cause a verbal or written warning to be issued to any non-resident who either has no legal right to be on the property of the Authority, or is not an invited guest of a resident. Said warning shall state that such persons shall not come on any property belonging to the Authority for the time periods described below under penalty of being prosecuted for criminal trespass under law. Such warning shall be given to non-residents who:
1. Loiter, engage in or has had a verbal or physical confrontation with law enforcement personnel, residents, guests, or Authority personnel on the property of the Authority, in which no criminal activity occurred; Applicable time period – Six (6) months;
  2. Engage in criminal activity on housing authority property which is non-violent in nature, and which causes no physical injury to another person; Applicable time period – One (1) year;
  3. Engage in criminal activity on housing authority property in which a deadly weapon was used or threatened to be used, or which activity resulted in physical injury to any person; Applicable time period – Three (3) years;  
Any person who has been involved in or suspected of being involved in drug related activity on the property of the Authority; Applicable time period - Three (3) years.
  4. Engages in or has engaged in, violent activity on or off of Authority property that during the course of investigation shows the person, listed with National Crime Information Center, SJIS, or ACJIC, as a possible dangerous person. Thus a risk to the peaceful and safe environment of the residents, lawful guests, and staff of the authority; Applicable time period – during the period of the listing and one (1) year after removal from designation.
  5. Have been involved in any criminal or other activity that occurred on the property of the Authority which interferes with the quiet and peaceful enjoyment of the residents; Applicable time period – Six (6) months to three (3) years, depending upon the circumstances and guidelines above.
  6. Damage the property of the Authority; Applicable time period – One (1) year.

7. Any individual who is subject to a lifetime registration requirement under a state sex offender registration program; Applicable time period - Lifetime.
- B. The warning shall be issued to such person or persons by a member of the police department or a designated employee(s) of the Authority. A copy of the warning as issued shall be filed with the Executive Director of the Authority or other appropriate officials of the Authority.
- C. Any person who has received a warning to leave the property under the provisions of this policy and who returns to the Authority property before the trespass has been officially lifted in writing, shall be subject to arrest for criminal trespass as provided for in Section 13A-7-1(4), Code of Alabama, 1975, and under the laws of the municipality.
- D. Any person who after receiving a trespass warning returns to the property of the housing authority and who is found to be on said premises shall have the times enumerated herein extended to a term up to twice the original term or expiration of the notice issued by the housing authority.
- E. A copy of the notice, and related documents shall be maintained by the Housing Authority. The names of persons receiving the trespass notice shall be supplied to the local law enforcement agencies for use in their official capacities.
- F. The date of expiration of the notice shall be written on the form. Should the person being served the notice desire to discuss the reasons or circumstances surrounding the notice and the trespass procedure with the director or designated person, application shall be made by the person in writing, and the director or designated person shall schedule a meeting with the person. The Housing Authority shall notify the person in writing of the date and time of the meeting, and the person shall use this notice as permission to be on housing property on said date and time for the meeting. After discussion, the Housing Authority shall have the authority to continue the notice, shorten the notice, or make such decisions relating to the circumstances which shall be equitable, when considering all of the factors involved. No change in the term of the notice shall be made without adequate reason, properly notated, and signed by the parties involved. Any changes shall be given to the local law enforcement agencies.
- G. During the term of the trespass notice, the Housing Authority shall have the authority, under special circumstances and pursuant to the written application of the person as described above, to give written special permission for the person to be on Housing Authority property at a particular time and for a particular purpose. Said permission shall only apply for the specific purposes and for the specific times in the letter. Said special permission shall not constitute a waiver of the provisions of the original notice, except for the specific terms and conditions of the special permission letter in this paragraph. Said letter shall be in the possession of the person while he/she is on Housing Authority property, and shall be produced upon demand by an employee or law enforcement officer. If the person fails to produce said letter, he/she shall be deemed to be in violation of the original trespass notice.

# Sylacauga Housing Authority

## Special Permission To Visit

Date Issued: \_\_\_\_\_

This is to advise that:

\_\_\_\_\_, who has previously been issued a written notice of trespassing by this Housing Authority, has permission to visit:

<b>Name of Resident or Place to Visit</b>		<b>Relationship</b>	
<b>Address to Visit</b>			
<b>Reason for Visit</b>			
<b>Date/s of Visit/s</b>	Start:	End:	
<b>Time/s</b>	From:	To:	
<b>Expires</b>			

### **\*\* IMPORTANT \*\***

This Document gives \_\_\_\_\_ permission to be at the above location on the time/s and date/s indicated for the reason/s stated. Travel to and from the indicated location must be by the most direct route. This does not give permission for the above named person to be on or in any other HA owned apartment, building, or grounds.

***THIS DOCUMENT MUST BE IN THE POSSESSION OF THE ABOVE NAMED PERSON WHEN ON SYLACAUGA HOUSING AUTHORITY PROPERTY!***

This Special Visit Permission expires on:

\_\_\_\_\_  
Expires

\_\_\_\_\_  
PHA Official



**Written Notice of Trespassing**

**Sylacauga Housing Authority**

<b>Date:</b>	<b>Time:</b>
<b>Location:</b>	
<b>Name:</b>	<b>Address:</b>
<b>DOB:</b>	<b>SSN:</b>

**NOTICE**

BE INFORMED THAT YOU ARE TRESPASSING ON PROPERTY OWNED BY THE SYLACAUGA HOUSING AUTHORITY AND UPON WHICH YOU HAVE NO LEGAL RIGHT TO ENTER OR REMAIN.

Nature of Trespass: \_\_\_\_\_

Comments: \_\_\_\_\_  
\_\_\_\_\_

YOU ARE HEREBY ORDERED TO LEAVE AND VACATE THIS PROPERTY AT ONCE, AND YOU ARE FURTHER ORDERED TO REMAIN OFF OF THIS PROPERTY, AND THE HOUSING AUTHORITY PROPERTIES LOCATED AT:

Drew Court	900 Crestline Avenue	Sylacauga, Alabama
Sylavon Court	415 West 8 <sup>th</sup> Street	Sylacauga, Alabama
Sylavon Towers	401 West 8 <sup>th</sup> Street	Sylacauga, Alabama

AND ANY AND ALL OTHER PROPERTIES OWNED BY THE SYLACAUGA HOUSING AUTHORITY. **IF YOU RETURN TO THE AFOREMENTIONED PROPERTY, YOU WILL BE ARRESTED FOR VIOLATION OF THE TRESPASS LAWS OF THE STATE OF ALABAMA AND CITY OF SYLACAUGA.**

UNDER ALABAMA CRIMINAL STATUTE, 13A-7-4, CRIMINAL TRESPASS, THIRD DEGREE IS A VIOLATION, PUNISHABLE UPON CONVICTION BY IMPRISONMENT IN THE CITY OR COUNTY JAIL, NOT TO EXCEED 30 DAYS AND/OR A FINE OF UP TO \$200.00.

Sylacauga Housing Authority | By: \_\_\_\_\_

**This notice expires on:** \_\_\_\_\_

**Proof of Service**

Issuing Person	Witness	Date/Time
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## Notice To Trespasser

Instructions for revocation of the trespass are provided below. If you desire to discuss the reasons or circumstances surrounding the notice and the trespass procedure with the director or designated person, application shall be made in writing, and the director, or a designated person, shall schedule a meeting with you. The Housing Authority will notify you in writing of the date and time of the meeting, and you will use this notice as permission to be on housing property on said date and time for the meeting. After discussion, the Housing Authority shall have the authority to continue the notice, shorten the notice, or make such decisions relating to the circumstances which shall be equitable, when considering all of the factors involved. No change in the term of the notice shall be made without adequate reason, properly annotated, and signed by the parties involved. Any changes shall be given to local law enforcement agencies.

During the term of the trespass notice, the Housing Authority shall have the authority, under special circumstances and pursuant to written application of the person as described above, to give special permission for the person to be on Housing Authority property at a particular time and for a particular purpose. Said permission shall only apply for the specific purposes and for the specific time in the letter. Said special permission shall not constitute a waiver of the provisions of the original notice, except for the specific terms and conditions of the special permission letter in this paragraph. Said letter shall be in the possession of the person while he/she is on Housing Authority property, and shall be produced upon demand by an employee or law enforcement officer. If the person fails to produce said letter, he/she shall be deemed in violation of the original trespass notice.

### *Trespass notices may be lifted by the following procedure:*

1. Trespasser must make written request to the Sylacauga Housing Authority to have such notice lifted. Written request must be accompanied by a signed and currently dated release of information statement specifically allowing the Sylacauga Housing Authority to check police records. Request must at a minimum state your:
  - full name
  - social security number
  - date of birth
  - date no trespass notice was issued
  - reason no trespass was issued
  - why you are requesting permission to be back on the property
2. The Sylacauga Housing Authority will consider the circumstances surrounding each case on an individual basis, but in no case shall a trespasser receive consideration in less than:
  - a. One year (1) for any arrest on Sylacauga Housing Authority property not involving drugs, deadly weapons or violent criminal activity, or;
  - b. Three years (3) for the involvement or suspicion of involvement in drug activity or violent crimes or crimes involving deadly weapons,

From the date the No Trespass Notice is issued.

**SYLACAUGA HOUSING AUTHORITY**

**POLICY FOR COMPLIANCE WITH VIOLENCE  
AGAINST WOMEN (VAWA) AND DEPARTMENT OF  
JUSTICE RE-AUTHORIZATION ACT OF 2005**



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**March 6, 2008**

Adopted

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**2008-02**

Board Resolution

# **SYLACAUGA HOUSING AUTHORITY**

## **POLICY FOR COMPLIANCE WITH VIOLENCE AGAINST WOMEN (VAWA) AND DEPARTMENT OF JUSTICE RE-AUTHORIZATION ACT OF 2005**

### **I. Purpose and Applicability**

The purpose of this policy (herein called "Policy") is to implement the applicable provisions of the Violence Against Women and Department of Justice Re-authorization Act of 2005 (Public Law– 109-162) and more generally to set forth Sylacauga Housing Authority's (SHA's) policies and procedures regarding domestic violence, dating violence and stalking, as hereinafter defined.

This Policy shall be applicable to the administration by SHA of all federally subsidized public housing under the United States Housing Act of 1937 (42 U.S.C. §1437 *et seq*). Notwithstanding its title, this policy is gender-neutral, and its protections are available to males who are victims of domestic violence, dating violence or stalking as well as female victims of such violence.

### **II. Goals and Objectives**

This Policy has the following principal goals and objectives:

- A. Maintaining compliance with all applicable legal requirements imposed by VAWA;
- B. Ensuring the physical safety of victims of actual or threatened domestic violence; dating violence or stalking;
- C. Providing and maintaining housing opportunities for victims of domestic violence, dating violence or stalking;
- D. Creating and maintaining collaborative arrangements between SHA, law enforcement authorities, victim service providers and others to promote the safety and well-being of victims of actual and threatened domestic violence, dating violence and stalking, who are assisted by SHA; and
- E. Taking appropriate action in response to an incident or incidents of domestic violence, dating violence or stalking, affecting individuals assisted by SHA.

### **III. Other SHA Policies and Procedures**

This Policy shall be referenced in and attached to SHA's Five-Year Public Housing Agency Plan and shall be incorporated in and made a part of SHA's Admissions and Continued Occupancy Policy. SHA's annual public housing agency plan shall also contain information concerning SHA's activities, services or programs relating to domestic violence, dating violence, and stalking.

To the extent any provision of this Policy shall vary or contradict any previously adopted Policy or procedure of SHA, the provisions of this Policy shall prevail.

#### IV. **Definitions**

As used in this Policy:

- A. *Domestic Violence* – “The term ‘domestic violence’ includes felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person’s acts under the domestic or family violence laws of the jurisdiction.”
- B. *Dating Violence* – means violence committed by a person –
  - 1. who is or has been in a social relationship of a romantic or intimate nature with the victim; and
  - 2. where the existence of such a relationship shall be determined based on a consideration of the following factors:
    - a. the length of the relationship;
    - b. the type of relationship;
    - c. the frequency of interaction between the persons involved in the relationship.
- C. *Stalking* – means –
  - 1. (a) to follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate another person; and (b) to place under surveillance with the intent to kill, injure, harass, or intimidate another person; and
  - 2. in the course of, or as a result of, such following, pursuit, surveillance or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to –
    - a. that person;
    - b. a member of the immediate family of that person; or
    - c. the spouse or intimate partner of that person.
- D. *Immediate Family Member* – means, with respect to a person –
  - 1. a spouse, parent, brother, sister, or child of that person, or an individual to whom that person stands in loco parentis; or
  - 2. any other person living in the household of that person and related to that person by blood or marriage.

- E. *Perpetrator* – means person who commits an act of domestic violence, dating violence or stalking against a victim.

## V. **Admissions and Screening**

- A. *Non-Denial of Assistance*: SHA will not deny admission to public housing to any person because that person is, or has been, a victim of domestic violence, dating violence or stalking, provided that such person is otherwise qualified for admission.

Note that VAWA does not require an admissions preference; and therefore, if such a preference is adopted it need not be applicable to victims of dating violence and stalking as well as to domestic violence. SHA has adopted a preference for victims of domestic violence only and victims of dating violence and stalking are not included in the preference.

- B. *Admission Preference*: Applicants for housing from SHA will receive a preference in admissions by virtue of their status as victims of domestic violence. This preference is particularly described as follows:

“Victims of Domestic Violence” – must be verifiable by 3<sup>rd</sup> party (unrelated to victim such as by police reports, abuse shelter, etc.) and tenant must sign lease addendum that “abuser” will be put on trespass and will not be allowed to visit at the premises. Tenant must provide contact information, if known, to police and/or SHA to enable service of trespass notice on “abuser”.

Note that VAWA does not require a provision for Mitigation of Disqualifying Information.

- C. *Mitigation of Disqualifying Information*: When so requested, in writing, by an applicant for assistance whose history includes incidents in which the applicant was a victim of domestic violence, SHA, may, but shall not be obligated to, take such information into account in mitigation of potentially disqualifying information, such as poor credit history or previous damage to a dwelling. If requested by an applicant to take such mitigating information into account, SHA shall be entitled to conduct such inquiries as are reasonably necessary to verify the claimed history of domestic violence and its probable relevance to the potentially disqualifying information. SHA will not disregard or mitigate potentially disqualifying information if the applicant household includes a perpetrator of a previous incident or incidents of domestic violence.

## VI. **Termination of Tenancy or Assistance**

- A. *VAWA Protections*: Under VAWA, public housing residents have the following specific protections which will be observed by SHA:
  - 1. An incident or incidents of actual or threatened domestic violence, dating violence or stalking will not be considered to be a “serious or repeated” violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy or occupancy rights of the victim of that violence.
  - 2. In addition to the foregoing, tenancy will not be terminated by SHA as a result of criminal activity, if that criminal activity is directly related to domestic violence, dating violence or stalking engaged in by a member of the tenant

household, a guest or another person under the tenant's control, and the tenant or an immediate family member is the victim or threatened victim of this criminal activity. However, the protection against termination of tenancy described in this paragraph is subject to the following limitations:

- a) Nothing contained in this paragraph shall limit any otherwise available authority of SHA's to terminate tenancy or evict, as the case may be, for any violation of a lease or program requirement not premised on the act or acts of domestic violence, dating violence, or stalking in question against the tenant or a member of the tenant's household. However, in taking any such action SHA may not apply a more demanding standard to the victim of domestic violence, dating violence or stalking than that applied to other tenants.
  - b) Nothing contained in the paragraph shall be construed to limit the authority of SHA to evict or terminate tenancy of any tenant or lawful applicant if SHA can demonstrate an actual and imminent threat to other tenants or to those employed at or providing service to the property if the tenant is not evicted or tenancy terminated.
- B. *Removal of Perpetrator:* Further, notwithstanding anything in paragraph VI.A.2 or Federal, State or local law to the contrary, SHA may bifurcate a lease, or remove a household member from a lease, without regard to whether a household member is a signatory to a lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful occupancy and who engages in acts of physical violence against family members or others. Such action against the perpetrator of such physical violence may be taken without evicting, removing, terminating tenancy or otherwise penalizing the victim of such violence who is also the tenant or a lawful occupant. Such eviction, removal termination of occupancy rights or termination of tenancy shall be effected in accordance with the procedures prescribed by law applicable to terminations of tenancy and evictions by SHA. Leases used for all public housing operated by SHA shall contain provisions setting forth the substance of this paragraph.

## VII. **Verification of Domestic Violence, Dating Violence or Stalking**

- A. *Requirement for Verification:* The law allows, but does not require, SHA to verify that an incident or incidents of actual or threatened domestic violence, dating violence or stalking claimed by a tenant or other lawful occupant is bona fide and meets the requirements of the applicable definitions set forth in this policy. Subject only to waiver as provided in paragraph VII.C., SHA shall require verification in all cases where an individual claims protection against an eviction involving such individual proposed to be taken by SHA.

Verification of a claimed incident or incidents of actual or threatened domestic violence, dating violence or stalking may be accomplished in one of the following two ways:

1. *HUD-approved form (form HUD-50066)* – by providing to SHA a written certification, on a form approved by the U.S. Department of Housing and Urban Development (HUD), that the individual is a victim of domestic violence, dating violence or stalking, that the incident or incidents in question are bona fide incidents of actual or threatened abuse meeting the requirements of the applicable definition(s) set forth in this policy. The incident or incidents in question must be described in reasonable detail as required in the HUD-approved form, and the completed certification must include the name of the

perpetrator. (Copies of the form HUD-50066 are available at all offices of SHA.)

2. *Other documentation* – by providing to SHA documentation signed by an employee, agent or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing the domestic violence, dating violence or stalking, or the effects of the abuse, described in such documentation. The professional providing the documentation must sign and attest under penalty of perjury (28 U.S.C. 1746) to the professional’s belief that the incident or incidents in question are bona fide incidents of abuse meeting the requirements of the applicable definition(s) set forth in this policy. The victim of the incident or incidents of domestic violence, dating violence or stalking described in the documentation must also sign and attest to the documentation under penalty of perjury.
- B. *Time allowed to provide verification / failure to provide:* An individual who claims protection against adverse action based on an incident or incidents of actual or threatened domestic violence, dating violence or stalking, and who is requested by SHA to provide verification, must provide such verification within 14 business days after receipt of the request for verification. Failure to provide verification in proper form within such time will result in loss of protection under VAWA and this policy against a proposed adverse action.
- C. *Waiver of verification requirement:* The Executive Director of SHA or the Occupancy Supervisor of SHA may, with respect to any specific case, waive the above-stated requirements for verification and provide the benefits of this policy based on the victim’s statement or other corroborating evidence. Such evidence may be granted in the sole discretion of the Executive Director or the Occupancy Supervisor. Any such waiver must be in writing. Waiver in a particular instance or instances shall not operate as precedent for, or create any right to, waiver in any other case or cases, regardless of similarity in circumstances.

## VIII. Confidentiality

- A. *Right of confidentiality:* All information (including the fact that an individual is a victim of domestic violence, dating violence or stalking) provided to SHA in connection with a verification required under Section VII of this policy or provided in lieu of such verification where a waiver of verification is granted, shall be retained by the receiving party in confidence and shall neither be entered in any shared database nor provided to any related entity, except where disclosure is:
1. requested or consented to by the individual—request or consent must be in writing; or
  2. required for use in a public housing eviction proceeding as permitted in VAWA; or
  3. as otherwise required by applicable law.
- B. *Notification of rights:* All tenants of public housing administered by SHA shall be notified in writing concerning their right to confidentiality and the limits on such rights to confidentiality.



Note that Sections IX, X and XI are not required by VAWA.

**IX. Transfer to New Residence**

- A. *Application for transfer:* In situations that involve significant risk of violent harm to an individual as a result of previous incidents or threats of domestic violence, dating violence or stalking, SHA will, if an approved unit size is available at a location that may reduce the risk of harm, approve transfer by a public housing tenant to a different unit in order to reduce the level of risk to the individual. A tenant who requests transfer must attest in such application that the requested transfer is necessary to protect the health or safety of the tenant or another member of the household who is or was the victim of domestic violence, dating violence or stalking and who reasonably believes that the tenant or other household member will be imminently threatened by harm from further violence if the individual remains in the present dwelling unit.
- B. *Action on applications:* SHA will act upon such an application promptly (within 10 business days).
- C. *No right to transfer:* SHA will make every effort to accommodate requests for transfer when suitable alternative vacant units are available and the circumstances warrant such action. However; the decision to grant or refuse to grant a transfer shall lie within the sole discretion of SHA, and this policy does not create any right on the part of any applicant to be granted a transfer.

If a transfer to a new location is approved by SHA, it will be considered to be a transfer for health and/or safety reasons. Tenant will not be charged for a convenience transfer, but tenant will be responsible for completing the actual physical move as well as for transferring any and all services such as telephone, cable, internet access, etc. In addition, if a transfer to a different location is approved and effected, tenant must take all reasonable precautions to insure that the “abuser” does not learn the new location of the victim.

- D. *Family rent obligations:* If a family occupying SHA public housing moves out of SHA without giving a “Tenant’s Notice of Intent to Vacate” and before the expiration of the lease term in order to protect the health or safety of a household member, the family will remain liable for the rent during the remainder of the lease term or until the unit is declared abandoned or through a 10-day notice period beginning upon the unit’s being declared vacant whichever is later unless released by SHA. In cases where SHA determines that the family’s decision to move was reasonable under the circumstances, SHA may wholly or partially waive the requirement of a 10-day notice of intent to vacate by the tenant.

**X. Court orders / Family Break-up**

- A. *Court orders:* It is SHA’s policy to honor orders entered by courts of competent jurisdiction affecting individuals assisted by SHA and their property. This includes cooperating with law enforcement authorities to enforce civil protection orders issued for the protection of victims and addressing the distribution of personal property among household members in cases where a family breaks up.
- B. *Family break-up:* Other SHA policies regarding family break-up are contained in SHA’s Public Housing Admissions and Continued Occupancy Plan (ACOP).

**XI. Relationships with Service Providers**

It is the policy of SHA to cooperate with organizations and entities, both private and governmental, that provide shelter and/or services to victims of domestic violence. If SHA staff becomes aware that an individual assisted by SHA is a victim of domestic violence, dating violence or stalking, SHA will refer the victim to such providers of shelter or services as appropriate. Notwithstanding the foregoing, this Policy does not create any legal obligation requiring SHA either to maintain a relationship with a particular provider of shelter or services to victims or to make a referral in any particular case.

**XII. Notification**

SHA shall provide written notification to applicants and tenants concerning the rights and obligations created under VAWA relating to confidentiality, denial of assistance and termination of tenancy.

**XIII. Relationship with Other Applicable Laws**

Neither VAWA nor this Policy implementing it shall preempt or supersede any provisions of Federal, State or local law that provides greater protection than that provided under VAWA for victims of domestic violence, dating violence or stalking.

**XIV. Amendment**

This policy may be amended from time to time by SHA as approved by the SHA Board of Commissioners.

## Submit Plan Checklist – PHA Plans

How do you know if your plan is complete? Use the following checklist to ensure the PHA Plan is complete and ready for submission:

<i>Place an “X” or √ in this column for items completed</i>		<i>Standard and Troubled 5-Year/ Annual 50075</i>	<i>High Performers, Section 8 Only  50075</i>
X	<b>1.0 PHA Information</b>	X	X
X	<b>C. 5-Year Plan completed (when due)</b>	X	X
X	<b>2.0 Inventory</b>	X	X
X	<b>3.0 Submission Type</b>	X	X
N/A	<b>4.0 PHA Consortia</b>	Optional	Optional
X	<b>5.1 Mission (when 5-Year Plan due)</b>	X	X
X	<b>5.2 Goals and Objectives (when 5- Year Plan due)</b>	X	X
X	<b>6.0 PHA Plan Update</b>	X	X
N/A	<b>7.0</b>		
	HOPE VI	If applicable	If applicable
	Mixed Finance Mod/Development	If applicable	If applicable
	Demo/Disposition	If applicable	If applicable
	Mandatory or Voluntary Conversion	If applicable	If applicable
	Homeownership Programs	If applicable	If applicable
	Project-based Vouchers	If applicable	If applicable
X	<b>8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report</b>	If applicable  X	If applicable
X	<b>8.2 Capital Fund Five-Year Action Plan</b>	If applicable X	If applicable
N/A	<b>8.3 Capital Fund Financing Program (CFFP)</b>	If applicable	If applicable
X	<b>9.0 Housing Needs</b>	X	5-Year Plan Only
X	<b>9.1 Strategy for Addressing Housing Needs</b>	X	5-Year Plan Only
X	<b>10.0 Additional Information</b>	X	5-Year Plan only
X	<b>11.0 Required Submissions, if applicable</b>	X	

X	Required <b>Certifications</b> signed and submitted to Local HUD Field Office	
X	<i>Certification of Compliance with PHA Plan and Related Regulations</i> Form HUD-50077	X
X	If applying for Capital Funds: Form HUD-50070, <i>Drug-Free Workplace</i> Form HUD-50071, <i>Payments to Influence Federal Transactions</i> Forms SF-LLL and SF-LLLa, <i>Lobbying Activities</i>	X
X	<b>RAB</b> comments received and addressed	X

THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA

SYLACAUGA, ALABAMA

REPORT ON EXAMINATION  
OF

FINANCIAL STATEMENTS AND SUPPLEMENTAL DATA

YEAR ENDED JUNE 30, 2010

THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA

SYLACAUGA, ALABAMA

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Board of Commissioners  
The Housing Authority of the City of Sylacauga  
Sylacauga, Alabama

INDEPENDENT AUDITORS' REPORT

We have audited the accompanying financial statements of the Housing Authority of the City of Sylacauga, Alabama as of and for the year ended June 30, 2010, as listed in the table of contents. These financial statements are the responsibility of the Authority's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.


In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the business-type activities which comprise the major fund of the Authority as of June 30, 2010, and the changes in financial position and cash flows, for the year then ended in conformity with accounting principles generally accepted in the United States of America.

In accordance with Government Auditing Standards, we have also issued our report dated January 24, 2011 on our consideration of the Authority's internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with Government Auditing Standards and should be considered in assessing the results of our audit.

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis on pages 7 through 15 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Our audit was performed for the purpose of forming an opinion on the financial statements that collectively comprise the Authority's basic financial statements. The accompanying Financial Data Schedule is presented for purposes of additional analysis and is not a required part of the basic financial statements. Further, other supplementary data as listed in the table of contents is presented for Department of Housing and Urban Development information and is not a required part of the financial statements. The accompanying schedule of expenditures of Federal Awards is presented for purposes of additional analysis as required by U.S. Office of Management and Budget Circular A-133, "Audits of States, Local Governments and Non-Profit Organizations", and is not a required part of the basic financial statements. The Financial Data Schedule, supplementary data and the schedule of expenditures of federal awards are the responsibility of management and were derived from and relate directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

Birmingham, Alabama  
January 24, 2011



Yeager & Boyd



THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA

SYLACAUGA, ALABAMA

REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND  
ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF  
FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH  
*GOVERNMENT AUDITING STANDARDS*

Board of Commissioners  
The Housing Authority of the City of Sylacauga  
Sylacauga, Alabama

We have audited the basic financial statements of the business-type activities which comprise the major fund of the Authority as of and for the year ending June 30, 2010 and have issued our report thereon dated January 24, 2011. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the Authority's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control over financial reporting.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect misstatements in a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the Authority's financial statements will not be prevented, or detected and corrected in a timely basis.

Our consideration of the internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in the internal control over financial reporting that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Authority's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information of the Board of Commissioners, management, and federal awarding agencies and pass-through entities, and is not intended to be and should not be used by anyone other than these specified parties.

Birmingham, Alabama  
January 24, 2011

Yeager & Boyd

THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA

SYLACAUGA, ALABAMA

INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH THAT COULD HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133

Board of Commissioners  
The Housing Authority of the City of Sylacauga  
Sylacauga, Alabama

Compliance

We have audited the Authority's compliance with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the Authority's major federal programs for the year ended June 30, 2010. The Authority's major programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the Authority's management. Our responsibility is to express an opinion on the Authority's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Authority's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the Authority's compliance with those requirements.

In our opinion, the Authority complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2010.

Internal Control Over Compliance

The management of the Authority is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered the Authority's internal control over compliance with the requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance, and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our Consideration of the internal control over compliance was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

This report is intended solely for the information and use of management, the Board of Commissioners, and federal awarding agencies and pass-through entities, and is not intended to be and should not be used by anyone other than these specified parties.

Birmingham, Alabama  
January 24, 2011

*Yeager & Boyd*

**THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA, ALABAMA  
MANAGEMENT'S DISCUSSION AND ANALYSIS (MD&A)  
FOR THE YEAR ENDED JUNE 30, 2010**

The Housing Authority of the City of Sylacauga's ("the Authority") Management's Discussion and Analysis is designed to (a) assist the reader in focusing on significant financial issues, (b) provide an overview of the Authority's financial activity, (c) identify changes in the Authority's financial position (its ability to address the next and subsequent year challenges), and (d) identify individual program issues or concerns.

Since the Management's Discussion and Analysis (MD&A) is designed to focus on the current years activities, resulting changes and currently known facts, please read it in conjunction with the Authority's financial statements.

The financial results of the discretely presented component units are not addressed in this discussion and analysis.

**FINANCIAL HIGHLIGHTS**

- The Authority's net assets increased \$1.0 million in 2010. Net Assets were \$13.4 million and \$14.4 million for 2009 and 2010 respectively.
- Revenues increased \$0.9 million during 2010, and were \$4 million and \$4.9 million for 2009 and 2010 respectively.
- Total expenses remained steady at \$3.8 for 2009 and 2010.

## USING THIS ANNUAL REPORT

The Report includes three major sections, the “Management’s Discussion and Analysis (MD&A)”, “Basic Financial Statements”, and “Other Required Supplementary Information”:

### MD&A

~ Management’s Discussion  
and Analysis ~

### Basic Financial Statements

~ Authority-wide Financial Statements ~  
~ Notes to Financial Statements ~

### Other Required Supplementary Information

~ Required Supplementary Information ~  
(Other than the MD&A)

## Authority-Wide Financial Statements

The Authority-wide financial statements are designed to be corporate-like in that all business type activities are consolidated into columns which add to a total for the entire Authority.

### Statement of Net Assets

These Statements include a Statement of Net Assets, which is similar to a Balance Sheet. The Statement of Net Assets reports all financial and capital resources for the Authority. The statement is presented in the format where assets, minus liabilities, equals "Net Assets", formerly known as equity. Assets and liabilities are presented in order of liquidity, and are classified as "Current" (convertible into cash within one year), and "Non-current".

The focus of the Statement of Net Assets (the "Unrestricted Net Assets") is designed to represent the net available liquid (non-capital) assets, net of liabilities, for the entire Authority. Net Assets (formerly equity) are reported in three broad categories:

Net Assets Invested in Capital Assets, Net of Related Debt: This component of Net Assets consists of all Capital Assets, reduced by the outstanding balances of any bonds, mortgages, notes or other borrowings that are attributable to the acquisition, construction, or improvement of those assets.

Restricted Net Assets: This component of Net Assets consists of restricted assets, when constraints are placed on the asset by creditors (such as debt covenants), grantors, contributors, laws, regulations, etc.

Unrestricted Net Assets: Consists of Net Assets that do not meet the definition of "Net Assets Invested in Capital Assets, Net of Related Debt", or "Restricted Net Assets".

### Statement of Revenues, Expenses, and Changes in Net Assets

The Authority-wide financial statements also include a Statement of Revenues, Expenses and Changes in Net Assets (similar to an Income Statement). This Statement includes Operating Revenues, such as rental income, Operating Expenses, such as administrative, utilities, maintenance, and depreciation, and Non-Operating Revenue and Expenses, such as grant revenue, investment income and interest expense.

The focus of the Statement of Revenues, Expenses and Changes in Fund Net Assets is the "Change in Net Assets", which is similar to Net Income or Loss.

### Statement of Cash Flows

Finally, a Statement of Cash Flows is included, which discloses net cash provided by, or used for operating activities, non-capital financing activities, investing activities and from capital and related financing activities.

## The Authority's Programs

Many of the programs maintained by the Authority are required by the Department of Housing and Urban Development. Others are segregated to enhance accountability and control.

Conventional Public Housing – Under the Conventional Public Housing Program, the Authority rents units that it owns to low-income households. The Conventional Public Housing Program is operated under an Annual Contributions Contract (ACC) with HUD, and HUD provides Operating Subsidy and Capital Grant funding to enable the PHA to provide the housing at a rent that is based upon 30% of household income.

Capital Fund Program – a grant program funded by the Department of Housing and Urban Development as the primary sources of funding for physical and management improvements to the Authority's properties.

Public Housing Capital Fund Stimulus Recovery Act Funded – a grant funded by the American Recovery and Reinvestment Act of 2009 (ARRA) to provide funds for capital and management activities with the exception that funds cannot be used for operations or rental assistance. The funds will be used for capital and management activities, including modernization and development of public housing.

Central Office Cost Center (COCC) – As part of its conversion to Asset Management, the Authority established the COCC to manage and oversee the operations of the Public Housing Developments and other grant programs. Utilizing a "fee for service" approach, the COCC recognizes revenues through management and service fees charged to the other programs. Management fees cannot exceed the "safe harbor" amount established by HUD.



## AUTHORITY-WIDE STATEMENTS

### Statement of Net Assets

The following table reflects the condensed Statement of Net Assets compared to the prior year. The Authority is engaged only in Business-Type Activities.

**TABLE 1**  
**STATEMENT OF NET ASSETS**

	<u>2010</u>	<u>2009</u>	<u>Variance</u>
Assets:			
Current & Restricted Assets	\$ 4,200,123	\$ 3,446,651	\$ 753,472
Capital Assets	13,005,858	12,824,185	181,673
Other Assets	46,424	49,842	(3,418)
<b>Total Assets</b>	<b>\$ 17,252,405</b>	<b>\$ 16,320,678</b>	<b>\$ 931,727</b>
Liabilities:			
Current Liabilities	\$ 416,587	\$ 393,725	\$ 22,862
Non Current Liabilities	2,392,977	2,568,892	(175,915)
<b>Total Liabilities</b>	<b>\$ 2,809,564</b>	<b>\$ 2,962,617</b>	<b>\$ (153,053)</b>
Net Assets:			
Invested in Capital Assets	\$ 10,576,317	\$ 10,190,130	\$ 386,187
Restricted Net Assets	237,602	180,959	56,643
Unrestricted Net Assets	3,628,922	2,986,972	641,950
<b>Total Net Assets</b>	<b>\$ 14,442,841</b>	<b>\$ 13,358,061</b>	<b>\$ 1,084,780</b>

### Major Factors Affecting the Statement of Net Assets

During 2010, current and restricted assets increased by \$753,472. The increase was primarily due to an increase in cash during the year as outlined in the Statement of Cash Flows on page 19. Capital assets increased primarily due to the purchase of capital assets exceeding depreciation expense. Current liabilities increased due to increases in the current portion of long term debt, current portion of compensated absences and in vendor accounts payable. Non-Current liabilities decreased due to payments on long term debt.

Table 2 presents details on the change in Unrestricted Net Assets

**TABLE 2**  
**CHANGE OF UNRESTRICTED NET ASSETS**

Unrestricted Net Assets, Fiscal year beginning	\$ 2,986,972
Results of Operations	147,994
Capital Expenditures from Operations	(58,268)
Principal payments on Capital Debt	(158,090)
Investment Income	53,061
Interest Expense and Debt Costs	(158,295)
Transfer to Restricted Assets	(56,643)
Depreciation Expense	<u>872,191</u>
Unrestricted Net Assets, Fiscal year end	<u>\$ 3,628,922</u>

While the results of operations are a significant measure of the Authority's activities, the analysis of the changes in Unrestricted Net Assets provides a clearer change in financial well-being.

**TABLE 4**

**STATEMENT OF REVENUES AND EXPENSES**

The following schedule compares the revenues and expenses for the current and previous fiscal year. The Authority is engaged only in Business-Type Activities.

	<u>2010</u>	<u>2009</u>	<u>Variance</u>
Revenues:			
Tenant Rental Revenue	\$ 1,618,893	\$ 1,524,466	\$ 94,427
Operating Grants	2,171,277	1,935,985	235,292
Capital Grants	995,596	445,260	550,336
Investment Income	53,061	40,421	12,640
Loss on Sale of Capital Assets	-	(44,549)	44,549
Other Revenue	49,662	134,472	(84,810)
<b>Total Revenues</b>	<b><u>\$ 4,888,489</u></b>	<b><u>\$ 4,036,055</u></b>	<b><u>\$ 852,434</u></b>
Expenses:			
Administrative Expenses	\$ 817,875	\$ 887,433	\$ (69,558)
Tenant Services	4,401	3,519	882
Utilities	777,567	793,561	(15,994)
Maintenance & Operations	1,031,815	923,227	108,588
General Expense	183,447	203,087	(19,640)
Interest Expense	111,871	117,451	(5,580)
Casualty Loss	1,124	-	1,124
Depreciation & Amortization	875,609	864,055	11,554
<b>Total Expenses</b>	<b><u>\$ 3,803,709</u></b>	<b><u>\$ 3,792,333</u></b>	<b><u>\$ 11,376</u></b>
<b>Excess (Deficiency) Revenues Over Expenses</b>	<b><u>\$ 1,084,780</u></b>	<b><u>\$ 243,722</u></b>	<b><u>\$ 841,058</u></b>

**MAJOR FACTORS AFFECTING THE STATEMENT OF REVENUES AND EXPENSES**

There was an increase in revenues. The increase was due primarily to increases in grant revenue. Grant revenue increased primarily due to the Authority receiving funds from the American Recovery and Reinvestment Act (ARRA) of 2009. Tenant Rent increased due to increased income for tenants leading to higher rent calculations.

Expenses had slight increase overall primarily due to an increase in maintenance costs offset by decreases in other expense categories.

## CAPITAL ASSETS AND DEBT ADMINISTRATION

### Capital Assets

As of year end, the Authority had \$13.0 million invested in a variety of capital assets as reflected in the following schedule, which represents a net increase (additions, deductions and depreciation) of \$0.2 million or 1% from the end of last year. The net increase was primarily due to purchases with funds received from the Capital Fund Program and stimulus funds from the American Recovery and Reinvestment Act (ARRA).

**TABLE 5  
CAPITAL ASSETS AT YEAR-END  
(NET OF DEPRECIATION)**

	2010	2009	Variance	% Change
Land	\$ 1,661,223	\$ 1,661,223	\$ -	0%
Buildings	27,304,550	27,304,548	2	0%
Furniture & Equipment	1,331,885	1,276,198	55,687	4%
Construction in Process	1,344,813	379,123	965,690	255%
Accumulated Depreciation	<u>(18,636,613)</u>	<u>(17,796,907)</u>	<u>(839,706)</u>	<u>5%</u>
 Net Capital Assets	 <u>\$ 13,005,858</u>	 <u>\$ 12,824,185</u>	 <u>\$ 181,673</u>	 <u>1%</u>

**TABLE 6  
CHANGE IN CAPITAL ASSETS**

The following reconciliation summarizes the change in Capital Assets.

Beginning Balance, July 1, 2009	\$ 12,824,185
Additions	
Capital Fund and ARRA Capital Expenditures	995,596
Other Capital Expenditures	58,268
Depreciation Expense	<u>(872,191)</u>
 Ending Balance, June 30, 2010	 <u>\$ 13,005,858</u>

## DEBT ADMINISTRATION

As of year-end, the Authority had \$2.5 million in long term debt (bonds and a capital lease) outstanding, compared to a \$2.6 million balance in 2009. The decrease in debt is due to note payments made during the year.

TABLE 7

### OUTSTANDING LONG TERM DEBT, AT YEAR-END

	<u>2010</u>	<u>2009</u>
Capital Fund Bond Program	\$ 2,415,000	\$ 2,540,000
Energy Program Capital Lease	<u>60,965</u>	<u>94,055</u>
Total	<u>\$ 2,475,965</u>	<u>\$ 2,634,055</u>

## ECONOMIC FACTORS

Significant economic factors affecting the Authority are as follows:

- Federal funding provided by Congress to the Department of Housing and Urban Development
- Local labor supply and demand, which can affect salary and wage rates
- Local inflationary, recessionary and employment trends, which can affect resident incomes and therefore the amount of rental income
- Inflationary pressure on supplies and other costs

## FINANCIAL CONTACT

This financial report is designed to provide our residents, the citizens of Sylacauga, Alabama, federal and state regulatory bodies with a general overview of the Sylacauga Housing Authority's finances as of June 30, 2010. The individual to be contacted regarding this report is Judith Maness, Director of Finance and Human Resources, Sylacauga Housing Authority, at (256) 249-0381 or by writing: Housing Authority of the City of Sylacauga, P.O. Box 539, Sylacauga, Alabama 35150-0539.

THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA, ALABAMA  
 STATEMENT OF NET ASSETS  
 JUNE 30, 2010

ASSETS

	<u>Enterprise Fund</u>
<u>Current Assets</u>	
Cash and Cash Equivalents	\$ 2,674,715
Accounts Receivable - HUD	12,687
Tenants Accounts Receivable (Net)	21,832
Investments	1,113,321
Inventory (Net)	124,197
Prepaid Costs	15,769
Total Current Assets	<u>3,962,521</u>
<u>Restricted Assets</u>	
Cash and Cash Equivalents	<u>237,602</u>
Total Restricted Assets	<u>237,602</u>
<u>Capital Assets</u>	
Land	1,661,223
Buildings & Improvements	27,304,550
Furniture & Equipment	1,331,885
Construction in Process	<u>1,344,813</u>
	31,642,471
(Less): Accumulated Depreciation	<u>(18,636,613)</u>
Net Capital Assets	<u>13,005,858</u>
<u>Other Non-current Assets</u>	
Other Assets - Non-current	<u>46,424</u>
Total Non-current Assets	<u>46,424</u>
Total Assets	<u>\$ 17,252,405</u>

See the accompanying notes to financial statements.

THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA, ALABAMA  
 STATEMENT OF NET ASSETS  
 JUNE 30, 2010

LIABILITIES AND NET ASSETS

	<u>Enterprise Fund</u>
<b>Liabilities</b>	
<u>Current Liabilities</u>	
Accounts Payable	\$ 19,177
Accrued Compensated Absences	45,376
Accrued Payroll Liabilities	8,382
Accrued Interest Payable	53,926
Resident Security Deposits	100,277
Current Portion of Longterm Debt	164,349
Accounts Payable - Other Governments	25,100
Total Current Liabilities	<u>416,587</u>
<u>Long-Term Liabilities</u>	
Accrued Compensated Absences	81,361
Non-current Portion of Long Term Debt	<u>2,311,616</u>
Total Long-Term Liabilities	<u>2,392,977</u>
Total Liabilities	<u>2,809,564</u>
<u>Net Assets</u>	
Investment in Capital Assets Net of Related Debt	10,576,317
Restricted Net Assets	237,602
Unrestricted Net Assets	<u>3,628,922</u>
Total Net Assets	<u>14,442,841</u>
Total Liabilities and Net Assets	<u>\$ 17,252,405</u>

See the accompanying notes to financial statements.

THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA, ALABAMA  
 SCHEDULE OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS  
 FOR THE YEAR ENDED JUNE 30, 2010

	<b>Enterprise Fund</b>
<u>Operating Revenues</u>	
Dwelling Rent	\$ 1,618,893
Operating Grants	2,171,277
Other Income	49,662
Total Operating Revenues	<u>3,839,832</u>
 <u>Operating Expenses</u>	
Administrative	817,875
Tenant Services	4,401
Utilities	777,567
Maintenance and Operations	1,032,939
General Expense	183,447
Depreciation and Amortization	875,609
Total Operating Expenses	<u>3,691,838</u>
 Operating Income (Loss)	<u>147,994</u>
 <u>Non-Operating Revenues (Expenses)</u>	
Investment Income	53,061
Interest Expense	<u>(111,871)</u>
Total Non-Operating Rev/(Exp)	<u>(58,810)</u>
Increase (Decrease) in Net Assets before Capital Contributions	<u>89,184</u>
 Capital Contributions	<u>995,596</u>
 Increase (Decrease) in Net Assets	1,084,780
Net Assets, Beginning of year	13,358,061
Net Assets, End of year	<u>\$ 14,442,841</u>

See the accompanying notes to financial statements.



THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA, ALABAMA  
STATEMENT OF CASH FLOWS  
FOR THE YEAR ENDED JUNE 30, 2010

	<b>Enterprise Fund</b>
<u>Cash flows from operating activities:</u>	
Cash Received from Dwelling Rent	\$ 1,581,394
Cash Received from Operating Grants	2,197,666
Cash Received from Other Income	53,269
Cash Payments for Salaries & Benefits	(1,122,808)
Cash Payments to Vendors	(1,662,916)
Net Cash flows provided (used) by operating activities	<u>1,046,605</u>
<u>Cash flows from non-capital financing activities:</u>	
Net cash flows provided (used) by non-capital financing activities	<u>-</u>
<u>Cash flows from capital and related financing activities:</u>	
Capital Grants Received	995,596
Capital Outlay	(1,053,864)
Payments of Principal and Interest on Capital Debt	(272,678)
Net cash flows provided (used) by capital and related financing activities	<u>(330,946)</u>
<u>Cash flows from investing activities:</u>	
Transfers from (to) Investments	107,728
Interest earned from cash and cash equivalents	53,061
Net cash flows provided (used) by investing activities	<u>160,789</u>
Net Increase (decrease) in cash and cash equivalents	<u>876,448</u>
<u>Cash and cash equivalents, beginning of year:</u>	
Current Assets and Restricted Assets	<u>2,035,869</u>
<u>Cash and cash equivalents, end of year:</u>	
Current Assets and Restricted Assets	<u>\$ 2,912,317</u>
<b><u>Reconciliation of operating income (loss) to net cash provided (used) operating activities:</u></b>	
Operating Income (Loss)	\$ 147,994
Adjustment to reconcile operating income (loss) to net cash provided by (used in) operating activities:	
Depreciation and Amortization	875,609
Bad Debt Expense	25,590
Change in Tenants Accounts Receivable	(37,388)
Change in Accounts Receivable - Other	27,817
Change in Inventory	(2,559)
Change in Prepaid Costs	1,800
Change in Accounts Payable	11,785
Change in Accrued Expenses	(6,111)
Change in Tenant Security Deposits	2,179
Change in Deferred Revenue	(111)
Net cash provided (used) by operating activities	<u>\$ 1,046,605</u>

See the accompanying notes to financial statements.

THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA  
SYLACAUGA, ALABAMA

NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2010

NOTE A - SIGNIFICANT ACCOUNTING POLICIES

The financial statements of the Housing Authority have been prepared in conformity with generally accepted accounting principles (GAAP) as applied to government units. The Governmental Accounting Standards Board (GASB) is the accepted standard-setting body for establishing governmental accounting and financial reporting principles. The Authority is a Special Purpose Government engaged only in business-type activities and therefore, presents only the financial statements required for the enterprise fund, in accordance with GASB Statement 34 paragraph 138.

The Authority has multiple programs which are accounted for in one enterprise fund, which is presented as the "enterprise fund" in the basic financial statements as follows:

Enterprise Fund – In accordance with the Enterprise Fund Method, activity is recorded using the accrual basis of accounting and the measurement focus is on the flow of economic resources. Under the accrual basis of accounting revenues are recorded when earned and expenses are recorded at the time liabilities are incurred. This required the Housing Authority to account for operations in a manner similar to private business or where the Board has decided that the determination of revenues earned, costs incurred and/or net income is necessary for management accountability.

Governmental Accounting Standards – The Housing Authority has applied all applicable Governmental Accounting Standards Board pronouncements as well as pronouncements issued by the Financial Accounting Standards Board on or before November 30, 1989, and those issued after November 30, 1989 except for those that conflict with or contradict Governmental Accounting Standards Board pronouncements.

Cash

The Housing Authority considers cash on hand and cash in checking to be cash equivalents. Cash on hand is not included in calculation of collateral required.

Accounts Receivable

Tenant accounts receivables are carried at the amount considered by management to be collectible. Tenant accounts receivable are immaterial for further disclosures. Other accounts receivable consists of amounts due from HUD for Grant Income.

Prepaid Items

Prepaid Items consists of payments made to vendors for services that will benefit future periods.

Inventory

Inventories consist of materials and supplies that have not been used or consumed. Inventory is valued at cost and recorded as an expense when it is consumed.

## NOTES TO FINANCIAL STATEMENTS - CONTINUED

### NOTE A - SIGNIFICANT ACCOUNTING POLICIES- CONTINUED

#### Revenue Accounting Policies

Dwelling rent income, HUD Grants received for operations, other operating fund grants and operating miscellaneous income are shown as operating income. HUD grants received for capital assets and all other revenue is shown as non-operating revenue.

These financial statements do not contain material inter-fund revenues and expenses for internal activity. The policy is to eliminate any material inter-fund revenues and expenses for these financial statements, except for inter-fund interest income and expense related to the component units.

#### Property and Equipment

Property and equipment are recorded at cost. Depreciation is computed using the straight-line method over the estimated useful lives of the assets. The costs of maintenance and repairs are expensed, while significant renewals and betterments are capitalized. Small dollar value minor equipment items are expensed. Depreciation on assets has been expensed in the statement of income and expenses. Estimated useful lives are as follows:

Buildings & Improvements	20 - 40 years
Furniture fixtures and equipment	3 - 7 years

#### Cost Allocation Plan

In accordance with OMB Circular A-87, the Authority utilizes a Cost Allocation Plan. The Authority allocates indirect costs to programs on the basis of one of the following methods: direct salaries and wages, percentage of office square footage, number of vouchers and/or units, estimated/actual time spent, number of checks processed or the allotment stipulated in contractual agreements.

### NOTE B - REPORTING ENTITY DEFINITION

The Housing Authority is a separate non-profit corporation with a Board of Commissioners. The applicable jurisdictions appoint the Board of Commissioners. However, the Housing Authority has complete legislative and administrative authority and it recruits and employs personnel. The Authority adopts a budget that is approved by the Board of Commissioners. Subsidies for operations are received primarily from HUD. The Authority has substantial legal authority to control its affairs without local government approval; therefore, all operations of the Authority are a separate reporting entity as reflected in this report. The Authority is responsible for its debts and is entitled to surpluses.

In determining how to define the reporting entity, management has considered all potential component units. The decision to include a component unit in the reporting entity was made by applying the criteria set forth in *Section 2100 and 2600 of the Codification of Governmental Accounting and Financial Reporting Standards and Statement No. 14 (amended), of the Governmental Accounting Standards Board: The Financial Reporting Entity and Statement No. 39 "Determining Whether Certain Organizations are Component Units.* These criteria include manifestation of oversight responsibility including financial accountability, appointment of a voting majority, imposition of will, financial benefit to or burden on a primary organization, financial accountability as a result of fiscal dependency, potential for dual inclusion, and organizations included in the reporting entity although the primary organization is not financially accountable. Based upon the application of these criteria, the reporting entity has no component units.

NOTES TO FINANCIAL STATEMENTS - CONTINUED

NOTE C - CASH AND INVESTMENT DEPOSITS

*Custodial Credit Risk* – The Housing Authority policy is to limit credit risk by adherence to the list of HUD permitted investments, which are backed by the full faith and credit of or a guarantee of principal and interest by the U.S. Government.

*Interest Rate Risk* – The Housing Authority's formal investment policy does not limit investment maturities as a means of managing its exposure to fair value losses arising from interest rate volatility.

The Housing Authority's cash and cash equivalents consist of cash held in interest bearing checking accounts totaling \$2,473,350 and \$201,215 held for payment of current debt invested in short term US Treasury Money Market Funds. The restricted cash consists of \$237,602 held in U.S. Treasury Money Market Funds. The U.S. Treasury Money Market Funds are invested in a dollar for dollar fund invested only in short term U.S. Treasury Obligations. The fund seeks to maximize current income, preserve capital and maintain liquidity. There is no market risk with this fund. Investments consist of \$512,212 held in five one year certificates of deposit with an annual interest rate of 1.19% and \$601,109 held in an interest bearing checking account. The remaining \$150 is held in the form of petty cash or change fund. Deposits with financial institutions are secured as follows:

	<u>Per Books</u>	<u>Per Bank</u>
Insured by FDIC	\$ 1,424,890	\$ 1,449,211
Collateralized with specific securities in the Authority name which are held by the financial institution	2,161,781	2,161,781
Invested in U.S. Treasury Obligations	438,817	438,817
Uncollateralized	-	-
	<u>\$ 4,025,488</u>	<u>\$ 4,049,809</u>

All investments are carried at cost plus accrued interest, which approximates market. The Authority had no realized gains or losses on the sale of investments. The calculation of realized gains or losses is independent of a calculation of the net change in the fair value of investments.

NOTE D - CONTRACTUAL COMMITMENTS

The Authority had Outstanding Contractual Commitments as of the end of the fiscal years as follows:

<u>Type Commitment</u>	<u>Amount</u>
Renovations	\$539,491

NOTE E - SIGNIFICANT ESTIMATES

The financial statements include some amounts that are based on management's best estimates and judgments. The most significant estimates relate to depreciation and useful lives, inventory valuations, and account receivable valuations. These estimates may be adjusted as more current information becomes available, and any adjustment could be significant.

NOTE F - PENSION PLAN

The Authority has a defined contribution pension plan which is administered by *Housing Authority Retirement Trust*, a third party, and was adopted July 1, 1975. A contribution of 13% of a qualified employee's annual salary is made by the Authority. No contributions are made by the employee. To be eligible for participation in the plan, an employee must be full-time and have six months of service. The Authority made the required contributions in the amount of \$136,755.

NOTE G - RISK MANAGEMENT

The Housing Authority is exposed to various risks of losses related to torts; thefts of, damage to, and destruction of assets; errors and omissions; injuries to employees; and natural disasters. The Housing Authority carries commercial insurance for all risks of loss, including workman's compensation and employee health and accident insurance.

NOTE H - COMPENSATED ABSENCES

It is the Authority's policy to grant both full time and part time permanent employees vacation benefits in varying amounts to specified maximums depending on tenure with the Authority. Sick leave also accrues to both full time and part time employees to specified maximums. Employees are entitled to vacation leave balances at termination. Leave accrued but not yet paid as of June 30, 2010, is shown as a liability allocated between current and non-current.

NOTE I - CONCENTRATION OF RISK

The Housing Authority receives most of its funding from HUD. These funds and grants are subject to modification by HUD depending on the availability of funding.

NOTE J - INTERPROGRAM ACTIVITY

The Housing Authority manages several programs. Many charges, i.e., payroll, benefits, insurance, etc. are paid by the Housing Authority's various funds and subsequently reimbursed by the Public Housing Program. Balances due for such charges are reflected in the Interprogram Due to/Due from account balances. Interprograms at June 30, 2010 consisted of the following:

Central Office	\$ 105,634
Low Rent	(94,426)
Capital Fund Program - Stimulus Fund	<u>(11,208)</u>
	<u>\$ -</u>

NOTES TO FINANCIAL STATEMENTS – CONTINUED

NOTE K – BONDS PAYABLE

The Housing Authority pledged a portion of its annual Capital Fund Grant from HUD to secure the Authority's allocable portion of Bonds issued jointly by the Authority and other participating Alabama housing authorities. The Authority's allocable share of the net proceeds, \$3,110,000 was used to acquire, construct, equip, renovate and improve public housing developments owned and operated by the Authority for rental to and occupancy by qualified tenants under the applicable HUD rules and regulations. The Bonds are designated "Capital Program Revenue Bonds, Series 2003." The entire proceeds of \$3,110,000 were deposited with the Trustee, which was authorized and directed to apply and disburse such monies for the purposes and in the order specified in the Master Trust Indenture. The Bonds bear interest at a rate not to exceed six percent and are paid semi-annually. Interest paid and expensed during the year was \$108,806.

The Bonds are payable as follows:

<u>Fiscal Year</u>	<u>Principal</u>	<u>Interest</u>	<u>Balance Due</u>
2011	130,000	106,710	2,285,000
2012	135,000	101,445	2,150,000
2013	140,000	95,775	2,010,000
2014	145,000	90,175	1,865,000
2015	150,000	83,795	1,715,000
2016-2020	870,000	308,560	845,000
2021-2024	845,000	95,896	-
Total Payments	<u>\$ 2,415,000</u>	<u>\$ 882,356</u>	

NOTE L – CAPITAL LEASE PAYABLE

In February of 2002, the Authority entered into a lease/purchase agreement with Public-Finance.com, Inc to finance the acquisition of energy efficient lighting and geothermal HVAC equipment. The purchase price of the equipment was \$269,248 and the agreement calls for the Authority to make quarterly payments of \$9,039, starting October 1, 2003 and continuing until paid in full. Interest paid and expensed during the year was \$3,065.

Net carrying value of the leased items is:

Building Improvements	\$ 304,368
Less: Accumulated Depreciation	(197,856)
Net Carrying Value	<u>\$ 106,512</u>

The lease is payable as follows:

<u>Fiscal Year</u>	<u>Principal</u>	<u>Interest</u>	<u>Balance Due</u>
2011	34,349	1,807	26,616
2012	26,616	495	-
Total Payments	<u>\$ 60,965</u>	<u>\$ 2,302</u>	

NOTES TO FINANCIAL STATEMENTS – CONTINUED

NOTE M – BOND ISSUANCE COSTS

The cost relating to obtaining the Series 2003-B Capital Program Revenue Bonds is shown as “Other Non-current Assets” on the Authority’s financial statements. The cost is being amortized over the life of the bond. Issuance costs net of amortization at June 30, 2010 were \$46,424.

NOTE N – NON-CURRENT LIABILITIES

Non-current liabilities consist of the following:

	Balance <u>03/31/09</u>	<u>Increases</u>	<u>Decreases</u>	Balance <u>03/31/10</u>	<u>Due Within One Year.</u>
Bonds Payable	\$ 2,540,000	\$ -	\$ 125,000	\$ 2,415,000	\$ 130,000
Capital Lease Payable	94,055	-	33,090	60,965	34,349
Accrued Compensated Absences	121,643	5,227	133	126,737	45,376
Total Debt	<u>\$ 2,755,698</u>			<u>\$ 2,602,702</u>	<u>\$ 209,725</u>

NOTE O – RESTRICTED ASSETS

The Authority’s restricted assets consist of the following as of June 30, 2010:

Bond Reserve Fund	<u>\$ 237,602</u>
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NOTE P – RESTRICTED NET ASSETS

Restricted Net Assets consist of restricted assets that do not have a related liability. The following restricted assets are included in Restricted Net Assets at June 30, 2010:

Bond Reserve Fund	<u>\$ 237,602</u>
-------------------	-------------------

NOTE Q – PROPERTY AND EQUIPMENT

A summary of Capital Assets for the Authority at June 30, 2010 is as follows:

	Low Rent Public <u>Housing</u>	Central <u>Office</u>	Capital <u>Fund</u>	Total Enterprise <u>Fund</u>
Land	\$ 1,661,223	\$ -	\$ -	\$ 1,661,223
Building and Improvements	27,304,550	-	-	27,304,550
Furniture and Equipment	1,104,425	185,181	42,279	1,331,885
Construction in Process	-	-	1,344,813	1,344,813
Less Accumulated Depreciation	<u>(18,418,501)</u>	<u>(176,752)</u>	<u>(41,360)</u>	<u>(18,636,613)</u>
Total Property and Equipment	<u>\$ 11,651,697</u>	<u>\$ 8,429</u>	<u>\$ 1,345,732</u>	<u>\$ 13,005,858</u>

NOTES TO FINANCIAL STATEMENTS – CONTINUED

NOTE Q – PROPERTY AND EQUIPMENT - CONTINUED

	July 31, 2009		Transfers &	June 30, 2010
	<u>Balance</u>	<u>Additions</u>	<u>Deletions</u>	<u>Balance</u>
Land	\$ 1,661,223	\$ -	\$ -	\$ 1,661,223
Construction in Process	<u>379,123</u>	<u>965,690</u>	<u>-</u>	<u>1,344,813</u>
Total Assets not being Depreciated	2,040,346	965,690	-	3,006,036
Buildings and Improvements	27,304,548	-	2	27,304,550
Furniture and Equipment	<u>1,276,198</u>	<u>88,174</u>	<u>(32,487)</u>	<u>1,331,885</u>
Total Property and Equipment	30,621,092	1,053,864	(32,485)	31,642,471
Less Accumulated Depreciation	<u>(17,796,907)</u>	<u>(872,191)</u>	<u>32,485</u>	<u>(18,636,613)</u>
Net Book Value	<u>\$ 12,824,185</u>	<u>\$ 181,673</u>	<u>\$ -</u>	<u>\$ 13,005,858</u>

NOTE R – COMMITMENTS AND CONTINGENCIES

Amounts received or receivable from HUD are subject to audit and adjustment by grantor agencies. If expenses are disallowed as a result of these audits, the claims for reimbursement to the grantor agency would become a liability of the Authority. In the opinion of management, any such adjustments would not be significant.

NOTE S – SUBSEQUENT EVENTS

In preparing financial statements, management evaluated subsequent events through January 24, 2011, the date the financial statements were issued.



THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA, ALABAMA  
 SCHEDULE OF ASSETS, LIABILITIES AND NET ASSETS BY PROGRAM  
 JUNE 30, 2010

ASSETS

	<u>Public Housing Program</u>	<u>Capital Fund Program</u>	<u>Capital Stimulus Program</u>	<u>Central Office</u>	<u>Elimination</u>	<u>Total</u>
<u>Current Assets</u>						
Cash and Cash Equivalents	\$ 2,358,916	\$ -	\$ -	\$ 315,799	\$ -	\$ 2,674,715
Accounts Receivable - HUD	-	1,479	11,208	-	-	12,687
Tenants Accounts Receivable (Net)	21,832	-	-	-	-	21,832
Investments	512,212	-	-	601,109	-	1,113,321
Inventory (Net)	124,197	-	-	-	-	124,197
Prepaid Costs	11,936	-	-	3,833	-	15,769
Interprogram - Due From	-	-	-	105,634	(105,634)	-
Total Current Assets	3,029,093	1,479	11,208	1,026,375	(105,634)	3,962,521
<u>Restricted Assets</u>						
Cash and Cash Equivalents	237,602	-	-	-	-	237,602
Total Restricted Assets	237,602	-	-	-	-	237,602
<u>Capital Assets</u>						
Land	1,661,223	-	-	-	-	1,661,223
Buildings & Improvements	27,304,550	-	-	-	-	27,304,550
Furniture & Equipment	1,104,425	42,279	-	185,181	-	1,331,885
Construction in Process	-	1,344,813	-	-	-	1,344,813
(Less): Accumulated Depreciation	30,070,198	1,387,092	-	185,181	-	31,642,471
Net Capital Assets	(18,418,501)	(41,360)	-	(176,752)	-	(18,636,613)
	11,651,697	1,345,732	-	8,429	-	13,005,858
<u>Other Non-current Assets</u>						
Other Assets - Non-current	46,424	-	-	-	-	46,424
Total Non-current Assets	46,424	-	-	-	-	46,424
Total Assets	\$ 14,964,816	\$ 1,347,211	\$ 11,208	\$ 1,034,804	\$ (105,634)	\$ 17,252,405

THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA, ALABAMA  
 SCHEDULE OF ASSETS, LIABILITIES AND NET ASSETS BY PROGRAM  
 JUNE 30, 2010

LIABILITIES AND NET ASSETS

	Public Housing Program	Capital Fund Program	Capital Stimulus Program	Central Office	Elimination	Total
<b>Liabilities</b>						
<u>Current Liabilities</u>						
Accounts Payable	\$ 14,187	\$ 1,479	\$ -	\$ 3,511	\$ -	\$ 19,177
Accrued Compensated Absences	31,482	-	-	13,894	-	45,376
Accrued Payroll Liabilities	-	-	-	8,382	-	8,382
Accrued Interest Payable	53,926	-	-	-	-	53,926
Resident Security Deposits	100,277	-	-	-	-	100,277
Current Portion of Longterm Debt	164,349	-	-	-	-	164,349
Accounts Payable - Other Governments	25,100	-	-	-	-	25,100
Interprogram - Due To	94,426	-	11,208	-	(105,634)	-
Total Current Liabilities	483,747	1,479	11,208	25,787	(105,634)	416,587
<u>Long-Term Liabilities</u>						
Accrued Compensated Absences	53,482	-	-	27,879	-	81,361
Non-current Portion of Long Term Debt	2,311,616	-	-	-	-	2,311,616
Total Long-Term Liabilities	2,365,098	-	-	27,879	-	2,392,977
Total Liabilities	2,848,845	1,479	11,208	53,666	(105,634)	2,809,564
<u>Net Assets</u>						
Investment in Capital Assets Net of Related Debt	9,222,156	1,345,732	-	8,429	-	10,576,317
Restricted Net Assets	237,602	-	-	-	-	237,602
Unrestricted Net Assets	2,656,213	-	-	972,709	-	3,628,922
Total Net Assets	12,115,971	1,345,732	-	981,138	-	14,442,841
Total Liabilities and Net Assets	\$ 14,964,816	\$ 1,347,211	\$ 11,208	\$ 1,034,804	\$ (105,634)	\$ 17,252,405

**THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA, ALABAMA  
SCHEDULE OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS BY PROGRAM  
FOR THE YEAR ENDED JUNE 30, 2010**

	<u>Public Housing Program</u>	<u>Capital Fund Program</u>	<u>Capital Stimulus Program</u>	<u>Central Office</u>	<u>Elimination</u>	<u>Total</u>
<u>Operating Revenues</u>						
Dwelling Rent	\$ 1,618,893	\$ -	\$ -	\$ -	\$ -	\$ 1,618,893
Operating Grants	1,690,140	439,231	41,906	-	-	2,171,277
Other Income	49,662	-	-	626,461	(626,461)	49,662
Total Operating Revenues	3,358,695	439,231	41,906	626,461	(626,461)	3,839,832
<u>Operating Expenses</u>						
Administrative	936,693	99,489	41,906	366,248	(626,461)	817,875
Tenant Services	4,401	-	-	-	-	4,401
Utilities	777,567	-	-	-	-	777,567
Maintenance and Operations	1,028,789	4,150	-	-	-	1,032,939
General Expense	169,242	-	-	14,205	-	183,447
Depreciation and Amortization	836,884	27,253	2,148	9,324	-	875,609
Total Operating Expenses	3,753,576	130,892	44,054	389,777	(626,461)	3,691,838
Operating Income (Loss)	(394,881)	308,339	(2,148)	236,684	-	147,994
<u>Non-Operating Revenues (Expenses)</u>						
Investment Income	50,868	-	-	2,193	-	53,061
Interest Expense	(111,871)	-	-	-	-	(111,871)
Total Non-Operating Rev/(Exp)	(61,003)	-	-	2,193	-	(58,810)
Increase (Decrease) before Transfers and Capital Contributions	(455,884)	308,339	(2,148)	238,877	-	89,184
Operating Transfers In (Out) Capital Contributions	335,591	(335,591)	-	-	-	-
	-	478,951	516,645	-	-	995,596
Increase (Decrease) in Net Assets	(120,293)	451,699	514,497	238,877	-	1,084,780
Net Assets, Beginning of year	11,721,767	894,033	-	742,261	-	13,358,061
Equity Transfers	514,497	-	(514,497)	-	-	-
Net Assets, End of year	\$ 12,115,971	\$ 1,345,732	\$ -	\$ 981,138	\$ -	\$ 14,442,841

THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA, ALABAMA  
 STATEMENT AND CERTIFICATION OF PROGRAM COSTS - CAPITAL FUND PROGRAM  
 JUNE 30, 2010

Public Housing  
 Capital Fund  
 Program  
 AL09P057501-07

Funds Approved	\$	799,741
Funds Expended		799,741
Excess of Funds Approved	\$	-

Funds Advanced	\$	799,741
Funds Expended		799,741
Excess of Funds Advanced	\$	-

1. The distribution of costs as shown on the Actual Modernization Cost Certificate submitted to HUD for approval are in agreement with the Housing Authority's records.
2. All modernization costs have been paid and all related liabilities have been discharged through payment.

THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA  
SYLACAUGA, ALABAMA HOUSING AUTHORITY  
FINANCIAL DATA SCHEDULE  
JUNE 30, 2010

	LOW RENT 14.850a	CFP 14.872	CAPITAL FUND STIMULUS 14.885	COCC	ELIMINATION	TOTAL
ASSETS						-
CURRENT ASSETS						-
CASH						-
111 CASH UNRESTRICTED	2,057,423	-	-	315,799	-	2,373,222
112 CASH RESTRICTED MODERNIZATION AND DEV	201,215	-	-	-	-	201,215
113 CASH OTHER RESTRICTED	237,602	-	-	-	-	237,602
114 CASH TENANT SECURITY DEPOSIT	100,278	-	-	-	-	100,278
115 CASH RESTRICTED FOR PYMT OF CURRENT DEBT	-	-	-	-	-	-
100 TOTAL CASH	2,596,518	-	-	315,799	-	2,912,317
ACCOUNTS AND NOTES RECEIVABLE						-
121 A/R - PHA PROJECTS	-	-	-	-	-	-
122 A/R - HUD PROJECTS	-	1,479	11,208	-	-	12,687
124 A/R - OTHER GOVT	-	-	-	-	-	-
125 A/R - MISC	-	-	-	-	-	-
126 A/R - TENANTS DWELLING RENT	32,572	-	-	-	-	32,572
126.1 ALLOWANCE FOR D A - TENANTS	(10,740)	-	-	-	-	(10,740)
126.2 ALLOWANCE FOR D A - OTHER	-	-	-	-	-	-
127 NOTES AND MORTGAGES RECEIVABLE	-	-	-	-	-	-
128 FRAUD RECOVERY	-	-	-	-	-	-
128.1 ALLOWANCE FOR FRAUD RECOVERY	-	-	-	-	-	-
129 ACCRUED INTEREST RECEIVABLE	-	-	-	-	-	-
120 TOTAL RECEIVABLES NET OF ALLOW	21,832	1,479	11,208	-	-	34,519
CURRENT INVESTMENTS						-
131 INVESTMENTS - UNRESTRICTED	512,212	-	-	601,109	-	1,113,321
135 INVESTMENTS RESTRICTED PYMT S/T DEBT	-	-	-	-	-	-
132 INVESTMENTS - RESTRICTED	-	-	-	-	-	-
142 PREPAID INSURANCE	11,936	-	-	3,833	-	15,769
143 INVENTORIES - MATERIALS	130,734	-	-	-	-	130,734
143.1 ALLOWANCE FOR OBSOLETE INV	(6,537)	-	-	-	-	(6,537)
145 INVENTORY HELD FOR RESALE	-	-	-	-	-	-
144 INTERPROGRAM DUE FROM	-	-	-	105,634	(105,634)	-
146 AMOUNTS TO BE PROVIDED	-	-	-	-	-	-
150 TOTAL CURRENT ASSETS	3,266,695	1,479	11,208	1,026,375	(105,634)	4,200,123
NONCURRENT ASSETS						-
FIXED ASSETS						-
161 LAND	1,661,223	-	-	-	-	1,661,223
162 BUILDINGS	25,662,553	-	-	-	-	25,662,553
163 FURNITURE & EQUIPMENT - DWELLINGS	-	-	-	-	-	-
164 FURNITURE & EQUIPMENT - ADMINISTRATIVE	1,104,425	42,279	-	185,181	-	1,331,885
165 LEASEHOLD IMPROVEMENTS	1,641,997	-	-	-	-	1,641,997
167 CONSTRUCTION IN PROGRESS	-	1,344,813	-	-	-	1,344,813
168 INFRASTRUCTURE	-	-	-	-	-	-
166 ACCUMULATED DEPRECIATION	(18,418,501)	(41,360)	-	(176,752)	-	(18,636,613)
160 TOTAL FIXED ASSETS, NET OF DEPR	11,651,697	1,345,732	-	8,429	-	13,005,858
171 NOTES & MORTGAGES RECEIVABLE - N/C	-	-	-	-	-	-
172 NOTES & MORTGAGES RECEIVABLE - PD	-	-	-	-	-	-
174 OTHER ASSETS	46,424	-	-	-	-	46,424
176 INVESTMENT IN JOINT VENTURES	-	-	-	-	-	-
180 TOTAL NONCURRENT ASSETS	11,698,121	1,345,732	-	8,429	-	13,052,282
190 TOTAL ASSETS	14,964,816	1,347,211	11,208	1,034,804	(105,634)	17,252,405
LIABILITIES AND EQUITY						-
CURRENT LIABILITIES						-
311 BANK OVERDRAFT	-	-	-	-	-	-
312 A/P < 90 DAYS	14,187	1,479	-	3,511	-	19,177
313 A/P > 90 DAYS	-	-	-	-	-	-
321 ACCRUED WAGE/PAYROLL TAXES PAYABLE	-	-	-	8,382	-	8,382
322 ACCRUED COMPENSATED ABSENCES	31,482	-	-	13,894	-	45,376
324 ACCRUED CONTINGENCY LIABILITY	-	-	-	-	-	-
325 ACCRUED INTEREST PAYABLE	53,926	-	-	-	-	53,926
331 ACCOUNTS PAYABLE - HUD PHA PROGRAMS	-	-	-	-	-	-
332 ACCOUNTS PAYABLE - PHA PROJECTS	-	-	-	-	-	-
333 ACCOUNTS PAYABLE OTHER GOVT	25,100	-	-	-	-	25,100
341 TENANT SECURITY DEPOSIT	100,277	-	-	-	-	100,277
342 DEFERRED REVENUES	-	-	-	-	-	-
343 CURRENT PORTION OF LT DEBT CAPITAL	164,349	-	-	-	-	164,349
344 CURRENT PORTION OF LT DEBT OPERATING	-	-	-	-	-	-
345 OTHER CURRENT LIABILITIES	-	-	-	-	-	-
346 ACCRUED LIABILITIES - OTHER	-	-	-	-	-	-
347 INTERPROGRAM DUE TO	94,426	-	11,208	-	(105,634)	-
348 NOTES PAYABLE - CURRENT - BHA - FANNIE	-	-	-	-	-	-
310 TOTAL CURRENT LIABILITIES	483,747	1,479	11,208	25,787	(105,634)	416,587
NONCURRENT LIABILITIES						-
351 LONG TERM DEBT NET OF CURRENT CAPITAL	2,311,616	-	-	-	-	2,311,616

THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA  
 SYLACAUGA, ALABAMA HOUSING AUTHORITY  
 FINANCIAL DATA SCHEDULE  
 JUNE 30, 2010

	LOW RENT 14.850a	CFP 14.872	CAPITAL FUND STIMULUS 14.885	COCC	ELIMINATION	TOTAL	
352	LONG TERM DEBT NET OF CURRENT OPERATING	-	-	-	-	-	
354	LONG TERM PORTION OF COMPENSATED ABSENCES	53,482	-	-	27,879	81,361	
355	LOAN LIABILITY - NONCURRENT	-	-	-	-	-	
353	NONCURRENT LIABILITIES OTHER	-	-	-	-	-	
350	TOTAL NONCURRENT LIABILITIES	2,365,098	-	-	27,879	2,392,977	
300	TOTAL LIABILITIES	2,848,845	1,479	11,208	53,666	(105,634)	2,809,564
	EQUITY						
501	INVESTMENT IN GENERAL FIXED ASSETS	-	-	-	-	-	
	CONTRIBUTED CAPITAL						
502	PROJECT NOTES (HUD)	-	-	-	-	-	
503	LONG TERM DEBT - HUD GUARANTEE	-	-	-	-	-	
504	NET HUD PHA CONTRIBUTIONS	-	-	-	-	-	
505	OTHER HUD CONTRIBUTIONS	-	-	-	-	-	
507	OTHER CONTRIBUTIONS	-	-	-	-	-	
508	TOTAL CONTRIBUTED CAPITAL	-	-	-	-	-	
508.1	INVESTMENT IN CAPITAL ASSETS	9,222,156	1,345,732	-	8,429	10,576,317	
	RESERVED FUND BALANCE						
509	RESERVED FOR OPERATING ACTIVITIES	-	-	-	-	-	
510	RESERVED FOR CAPITAL ACTIVITIES	-	-	-	-	-	
511	TOTAL RESERVED BALANCE	-	-	-	-	-	
511.1	RESTRICTED NET ASSETS	237,602	-	-	-	237,602	
512	UNDESIGNATED FUND BALANCE/R/E	-	-	-	-	-	
512.1	UNRESTRICTED NET ASSETS	2,656,213	-	-	972,709	3,628,922	
513	TOTAL EQUITY	12,115,971	1,345,732	-	981,138	14,442,841	
600	TOTAL LIABILITIES AND EQUITY	14,964,816	1,347,211	11,208	1,034,804	(105,634)	17,252,405
	REVENUE						
703	NET TENANT RENTAL REVENUE	1,426,391	-	-	-	1,426,391	
704	TENANT REVENUE - OTHER	192,502	-	-	-	192,502	
705	TOTAL TENANT REVENUE	1,618,893	-	-	-	1,618,893	
706	HUD PHA GRANTS	1,690,140	439,231	41,906	-	2,171,277	
706.1	CAPITAL GRANTS RECEIVED	-	478,951	516,645	-	995,596	
707.1	MANAGEMENT FEE	-	-	-	481,669	(481,669)	
707.2	ASSET MANAGEMENT FEE	-	-	-	74,230	(74,230)	
707.3	BOOK-KEEPING FEE	-	-	-	55,718	(55,718)	
707.4	FRONT LINE SERVICE FEE	-	-	-	-	-	
707.5	OTHER FEES	-	-	-	14,844	(14,844)	
708	OTHER GOVT GRANTS	-	-	-	-	-	
710	SEC B INCOME	-	-	-	-	-	
711	INVESTMENT INCOME - UNRESTRICTED	50,802	-	-	2,193	52,995	
713	PROCEEDS FROM DISPOSITION OF ASSETS HELD FOR SALE	-	-	-	-	-	
713.1	COST OF SALE OF ASSETS	-	-	-	-	-	
712	MORTGAGE INTEREST INCOME	-	-	-	-	-	
714	FRAUD RECOVERY	-	-	-	-	-	
715	OTHER REVENUE	49,662	-	-	-	49,662	
716	GAIN OR LOSS ON THE SALE OF FIXED ASSETS	-	-	-	-	-	
720	INVESTMENT INCOME - RESTRICTED	66	-	-	-	66	
700	TOTAL REVENUE	3,409,563	918,182	558,551	628,654	(626,461)	4,888,489
	EXPENSES						
	ADMINISTRATIVE						
911	ADMINISTRATIVE SALARIES	189,833	-	-	204,123	393,956	
912	AUDITING FEES	24,730	-	-	-	24,730	
913	OUTSIDE MANAGEMENT FEES	364,227	98,630	41,906	-	(481,669)	23,094
913.1	BOOKKEEPING FEES	55,718	-	-	-	(55,718)	
914	ADVERTISING AND MARKETING	5,602	-	-	-	5,602	
915	EMPLOYEE BENEFIT CONTRIBUTION	94,014	-	-	80,607	174,621	
916	OTHER OPERATING ADMINISTRATIVE	92,122	859	-	62,789	155,770	
917	LEGAL EXPENSE	14,074	-	-	6,041	20,115	
918	TRAVEL	7,299	-	-	12,688	19,987	
918.1	ALLOCATED OVERHEAD	-	-	-	-	-	
919	OTHER	14,844	-	-	-	(14,844)	
920	ASSET MANAGEMENT FEE	74,230	-	-	-	(74,230)	
	TENANT SERVICES						
921	TENANT SERVICES SALARIES	706	-	-	-	706	
922	RELOCATION COSTS	-	-	-	-	-	
923	EMPLOYEE BENEFIT CONTRIBUTION	76	-	-	-	76	
924	TENANT SERVICES OTHER	3,619	-	-	-	3,619	
	UTILITIES						
931	WATER	90,628	-	-	-	90,628	
932	ELECTRICITY	470,573	-	-	-	470,573	
933	GAS	88,115	-	-	-	88,115	
934	FUEL	-	-	-	-	-	
935	LABOR	-	-	-	-	-	
936	SEWER	128,251	-	-	-	128,251	
937	EMPLOYEE BENEFIT CONTRIBUTION UTILITY	-	-	-	-	-	

THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA  
 SYLACAUGA, ALABAMA HOUSING AUTHORITY  
 FINANCIAL DATA SCHEDULE  
 JUNE 30, 2010

	LOW RENT	CFP	CAPITAL FUND STIMULUS	COCC	ELIMINATION	TOTAL
	14.850a	14.872	14.885			
938 OTHER UTILITIES	-	-	-	-	-	-
ORDINARY MAINTENANCE & OPERATION	-	-	-	-	-	-
941 ORDINARY MAINT AND OP LABOR	345,630	-	-	-	-	345,630
942 ORDINARY MAINTENANCE AND OP MATERIALS	223,556	4,150	-	-	-	227,706
943 ORDINARY MAINTENANCE AND OP CONTRACT	266,929	-	-	-	-	266,929
945 EMPLOYEE BENEFIT CONTRIBUTION	191,550	-	-	-	-	191,550
PROTECTIVE SERVICES	-	-	-	-	-	-
951 PROTECTIVE SERVICES - LABOR	-	-	-	-	-	-
952 PROTECTIVE SERVICES - OTHER CONTRACT COSTS	-	-	-	-	-	-
953 PROTECTIVE SERVICES - OTHER	-	-	-	-	-	-
955 EMPLOYEE BENEFIT CONTRIBUTION PS	-	-	-	-	-	-
GENERAL EXPENSES	-	-	-	-	-	-
961 INSURANCE PREMIUMS	109,940	-	-	12,659	-	122,599
962 OTHER GENERAL EXPENSE	-	-	-	-	-	-
962.1 COMPENSATED ABSENCES	3,546	-	-	1,546	-	5,092
963 PAYMENTS IN LIEU OF TAXES	25,100	-	-	-	-	25,100
964 BAD DEBT - TENANT	25,590	-	-	-	-	25,590
965 BAD DEBT - MORTGAGES	-	-	-	-	-	-
966 BAD DEBT - OTHER	-	-	-	-	-	-
967 INTEREST EXPENSE	111,871	-	-	-	-	111,871
96730 AMORTIZATION ON BOND ISSUE COSTS	3,418	-	-	-	-	3,418
968 SEVERANCE EXPENSE	5,066	-	-	-	-	5,066
969 TOTAL OPERATING EXPENSES	3,030,857	103,639	41,906	380,453	(626,461)	2,930,394
970 EXCESS OPERATING REVENUE OVER OP EXP	378,706	814,543	516,645	248,201	-	1,958,095
971 EXTRAORDINARY MAINTENANCE	-	-	-	-	-	-
972 CASUALTY LOSSES - NON CAPITALIZED	1,124	-	-	-	-	1,124
973 HAP	-	-	-	-	-	-
974 DEPRECIATION EXPENSE	833,466	27,253	2,148	9,324	-	872,191
975 FRAUD LOSSES	-	-	-	-	-	-
976 CAPITAL OUTLAYS GOVT FUNDS	-	-	-	-	-	-
977 DEBT PRINCIPAL PAYMENT GOVT FUNDS	-	-	-	-	-	-
978 DWELLING UNITS RENT EXPENSE	-	-	-	-	-	-
900 TOTAL EXPENSES	3,865,447	130,892	44,054	389,777	(626,461)	3,803,709
1001 Operating Transfers In	335,591	-	-	-	-	335,591
1002 Operating Transfers Out	-	(335,591)	-	-	-	(335,591)
1003 Operating Transfers to/from Primary Government	-	-	-	-	-	-
1010 Total Other Financing Sources (Uses)	335,591	(335,591)	-	-	-	-
1000 EXCESS REVENUE OVER EXPENSES	(120,293)	451,699	514,497	238,877	-	1,084,780
1103 BEGINNING EQUITY	11,721,767	894,033	-	742,261	-	13,358,061
1104 EQUITY TRANSFERS/PRIOR PERIOD ADJUSTMENTS	514,497	-	(514,497)	-	-	-
1104 PRIOR PERIOD ADJUSTMENT	-	-	-	-	-	-
1101 CAPITAL OUTLAYS ENTERPRISE FUND	-	-	-	-	-	-
ENDING EQUITY	12,115,971	1,345,732	-	981,138	-	14,442,841
1112 ADD BACK DEPRECIATION	-	-	-	-	-	-
1119 # UNIT MONTHS AVAILABLE	5,352	-	-	-	-	5,352
1121 # UNIT MONTHS LEASED	5,051	-	-	-	-	5,051
1117 ADMIN FEE EQUITY	-	-	-	-	-	-
1118 HAP EQUITY	-	-	-	-	-	-
1161 LAND PURCHASES	-	-	-	-	-	-
1162 BUILDING PURCHASES	-	478,951	-	-	-	478,951
1163 FURNITURE & EQUIPMENT - DWELLING PURCHASES	-	-	-	-	-	-
1164 FURNITURE & EQUIPMENT - ADMINISTRATIVE PURCHASES	-	-	-	-	-	-
1165 LEASEHOLD IMPROVEMENT PURCHASES	-	-	-	-	-	-
1166 INFRASTRUCTURE PURCHASES	-	-	-	-	-	-

THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA  
SYLACAUGA, ALABAMA

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

YEAR ENDED JUNE 30, 2010

EXPENDITURES

Low Rent Public Housing Expenditures Total CFDA Number 14.850a	<u>\$ 1,690,140</u>
Public Housing Capital Fund Program Total CFDA Number 14.872 (CFP Cluster)	<u>918,182</u>
ARRA - Public Housing Capital Fund Program Recovery Act Funded Total CFDA Number 14.885 (CFP Cluster)	<u>558,551</u>
 TOTAL FEDERAL EXPENDITURES	 <u><u>\$ 3,166,873</u></u>

Basis of Presentation:

The above schedule of expenditures of federal awards includes the federal grant activity of the Authority and is presented on the accrual basis of accounting. The information on this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments and Non-Profit Organizations*.



THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA  
SYLACAUGA, ALABAMA

SCHEDULE OF FINDINGS  
AND QUESTIONED COSTS

JUNE 30, 2010

**Section I: Summary of Auditor's Results:**

FINANCIAL STATEMENTS

Type of auditor's report issued: Unqualified  
Internal Control over financial reporting:  
Are material weaknesses identified?  Yes  No  
Are significant deficiencies that are not considered  
to be material weaknesses identified?  Yes  None  
Reported  
Is noncompliance that could have a material effect  
on the financial statements identified?  Yes  No

FEDERAL AWARDS

Internal control over major programs:  
Are material weaknesses identified?  Yes  No  
Are significant deficiencies that are not considered  
to be material weaknesses identified?  Yes  None  
Reported  
Type of report issued on compliance with requirements  
applicable to each major program: Unqualified  
Are there any audit findings that are required to be  
reported in accordance with Section 510(a) of OMB  
Circular A-133?  Yes  No

Identification of major programs:

<u>Name of Federal Program</u>	<u>CFDA No.</u>
Public Housing Capital Fund Program	14.872
Public Housing Capital Fund Program Recovery Act Funded	14.885

Dollar threshold used to distinguish between type A and type B programs: \$300,000

Is the auditee identified as a low-risk auditee?  Yes  No

THE HOUSING AUTHORITY OF THE CITY OF SYLACAUGA  
SYLACAUGA, ALABAMA

SCHEDULE OF FINDINGS  
AND QUESTIONED COSTS

JUNE 30, 2010

**Section II: Financial Statement Findings:**

**Summary Schedule of Prior Year Findings:**

None

**Current Year Findings and Questioned Costs:**

None

**Section III: Federal Award Findings and Questioned Costs**

**Summary Schedule of Prior Year Findings:**

None

**Current Year Findings and Questioned Costs:**

None