PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011

1.0 PHA Information

PHA Name: Martinsburg Housing Authority PHA Code: WV006

PHA Type: ☐ Small ☒ High Performing ☐ Standard ☐ HCV (Section 8)

PHA Fiscal Year Beginning: (MM/YYYY): 07/2010

2.0 Inventory (based on ACC units at time of FY beginning in 1.0 above)

Number of PH units: 327 Number of HCV units: 304

3.0 Submission Type

☒ 5-Year and Annual Plan ☐ Annual Plan Only ☐ 5-Year Plan Only

4.0 PHA Consortia ☐ PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

5.0 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.

Note: See Attachment wv006a01 for information concerning Section 5.0 through 10.0

5.1 Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:

See page 3 of wv006a01.

5.2 Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

See page 3 of wv006a01.

6.0 PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:
(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

See pages 4 - 50 of wv006a01.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. *Include statements related to these programs as applicable.*

See pages 50 - 51 of wv006a01.

8.0 Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.

See page 51 of wv006a01.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit **t**he *Capital Fund Program Annual Statement/Performance and Evaluation Report*, form HUD-50075.1, for each current and open CFP grant and CFFP financing.

See page 51 of wv006a01.

8.2 Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan*, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.

See page 51 of wv006a01.

8.3	**Capital Fund Financing Program (CFFP).** ☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. **See page 51 of wv006a01.**
9.0	**Housing Needs**. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. **See page 52 of wv006a01.**

9.1	**Strategy for Addressing Housing Needs**. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.** **See pages 53 - 54 of wv006a01.**
10.0	**Additional Information**. Describe the following, as well as any additional information HUD has requested. (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" **See pages 55 - 56 of wv006a01.**

11.0	**Required Submission for HUD Field Office Review**. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office. (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only) (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only) (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only) (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only) (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information
Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory
Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type
Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia
Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan
Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan**.**

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

(a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.

(b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central off ice of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.

3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.

5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.

6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1**) development name and number; **2**) designation type; **3**) application status; **4**) date the designation was approved, submitted, or planned for submission, and; **5**) the number of units affected.

7. **Community Service and Self-Sufficiency.** A description of: (**1)** Any programs relating to services and amenities provided or offered to assisted families; (**2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.

10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.

11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.

12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.

13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

(a) Hope VI or Mixed Finance Modernization or Development. **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm

(b) Demolition and/or Disposition. With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent **that approved and/or pending** demolition and/or disposition has changed.

(c) Conversion of Public Housing. With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/conversion.cfm

(d) Homeownership. A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.

(e) Project-based Vouchers. If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

(a) To submit the initial budget for a new grant or CFFP;

(b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and

(c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;

2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and

3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at: http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note:** Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note:** Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

(a) Progress in Meeting Mission and Goals. PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan**. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

(b) Significant Amendment and Substantial Deviation/Modification. PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

(c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

(a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*

(b) Form HUD-50070, *Certification for a Drug-Free Workplace* **(PHAs receiving CFP grants only)**

(c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* **(PHAs receiving CFP grants only)**

(d) Form SF-LLL, *Disclosure of Lobbying Activities* **(PHAs receiving CFP grants only)**

(e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* **(PHAs receiving CFP grants only)**

(f) Resident Advisory Board (RAB) comments.

(g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.

(h) Form HUD-50075.1**, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (Must be attached electronically for PHAs receiving CFP grants only).** See instructions in 8.1.

(i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* **(Must be attached electronically for PHAs receiving CFP grants only).** See instructions in 8.2.

MARTINSBURG HOUSING AUTHORITY

FY2010-FY2014 FIVE-YEAR AGENCY PLAN AND FY2010ANNUAL UPDATE

SECTION 5.0 THROUGH 10.0

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5.0 Five-Year Plan

5.1 Mission Statement

The Martinsburg Housing Authority is committed to achieving excellence in providing safe, clean and modern housing assistance while promoting self-sufficiency, upward mobility, and partnerships with our residents and others to enhance the quality of life in our communities.

5.2 Goals and Objectives

GOAL NUMBER ONE

Improve customer service delivery by enhancing operational efficiency; coordination with community providers; and improving facilities.

- The Executive Director will continue to work in consultation with the Board of Commissioners to implement operational systems to ensure the completion of all job tasks in an efficient manner. This will include investigating contract alternatives and developing systems for contract monitoring, as applicable.

- As an ongoing process, the Martinsburg Housing Authority will utilize existing community sources and identify sources of funding for programs to improve service delivery and physical improvements to the Housing Authority's facilities and reduce duplicative costs.

GOAL NUMBER TWO

The Martinsburg Housing Authority will strive to improve the public and community image of the Housing Authority by developing and implementing a comprehensive Public Relations Plan.

- The Executive Director will provide a work force with a professional image by conducting and participating in community efforts, including contracting maintenance services as necessary, to promote the benefits and beautification of the County.

GOAL NUMBER THREE

The Martinsburg Housing Authority will enhance the attractiveness and marketability of the housing stock and neighborhoods in order to attract working families.

- The Martinsburg Housing Authority will initiate, implement, and maintain a preventive maintenance and landscaping plan that includes seasonal flower planting and creating attractive and consistent signage for the properties.

- As an ongoing process, the Executive Director and the staff of Martinsburg Housing Authority will develop methods of enforcing model neighbor standards, marketing strategies and plans for future success.

Goal Number Four

The Martinsburg Housing Authority shall strive to achieve its potential as an organization.

- The Martinsburg Housing Authority will develop a communication process that will improve the timely sharing of information concerning any future programs, plans and budgets.

6.0 PHA Plan Update

(a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.

The only element revised since the FY2009 Agency Plan submission is the Capital Fund Program.

(b) Identify where the 5-Year and Annual Plan may be obtained by the public.

The FY2010 Agency Plan will be available for review during the 45-day Public Hearing Notice period at the Martinsburg Housing Authority's Main Office which is located at 703 Porter Avenue in Martinsburg, West Virginia.

1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures

Public Housing

Eligibility

A. QUALIFICATION FOR ADMISSION

It is the PHA's policy to admit qualified applicants only. An applicant is qualified if he or she meets the following criteria:

Is a family as defined in this Chapter;

Heads a household where at least one member of the household is either a citizen or eligible non-citizen. (24 CFR Part 5, Subpart E).

Has an Annual Income at the time of admission that does not exceed the income limits for occupancy established by HUD and posted separately in the PHA offices.

The Quality Housing and Work Responsibility Act of 1998 authorizes PHAs to admit families whose income does not exceed the low-income limit (80% of median area income) once the PHA has met the annual 40% targeted income requirement of extremely low-income families (families whose income does not exceed 30% of median area income).

Provides a Social Security number for all family members, age 6 or older, or will provide written certification that they do not have Social Security numbers;

Meets or exceeds the tenant Selection and Suitability Criteria as set forth in this policy.

Timing for the Verification of Qualifying Factors

The qualifying factors of eligibility, other than citizenship status, will be verified before the family is placed on the waiting list.

B. FAMILY COMPOSITION

Definition of Family

The applicant must qualify as a Family. A Family may be a single person or a group of persons.

A group of persons is defined by the PHA as two or more persons who intend to share residency whose income and resources are available to meet the family's needs, and will live together in PHA housing.

Elderly, disabled, and displaced families are defined by HUD in CFR 5.403.

The term "Family" also includes, but is not limited to:

A family with or without children;

An elderly family;

A disabled family;

A displaced family;

The remaining member of a tenant family;

A single person who is not elderly, displaced, or a person with disabilities, or the remaining member of a tenant family;

Two or more elderly or disabled persons living together, or one or more elderly or disabled persons living with one or more live-in aides are a family;

Two or more near-elderly persons living together, or one or more near-elderly persons living with one or more live-in aides.

The temporary absence of a child from the home due to placement in foster care shall not be considered in determining the family composition and family size.

Occupancy by Police Officers

In order to provide an increased sense of security for public housing residents the PHA may allow public housing units to be occupied by police officers.

Police officers will not be required to be income eligible to qualify for admission to the PHA's public housing program.

Head of Household

The head of household is the adult member of the household who is designated by the family as head, is wholly or partly responsible for paying the rent, and has the legal capacity to enter into a lease under State/local law.

Emancipated minors who qualify under State law will be recognized as head of household if there is a court order recognizing them as an emancipated minor.

Spouse of Head

Spouse means the husband or wife of the head.

For proper application of the Noncitizens Rule, the definition of spouse is: the marriage partner who, in order to dissolve the relationship, would have to be divorced. It includes the partner in a common law marriage. The term "spouse" does not apply to boyfriends, girlfriends, significant others, or co-heads.

Co-head

An individual in the household who is equally responsible for the lease with the Head of Household. A household may have either a spouse or co-head, but not both. A co-head never qualifies as a dependent.

Live-In Attendants

A Family may include a live-in aide provided that such live-in aide:

Is determined by the PHA to be essential to the care and well being of an elderly person, a near-elderly person, or a person with disabilities,

Is not obligated for the support of the person(s), and

Would not be living in the unit except to provide care for the person(s).

Maintains their own permanent residence elsewhere.

A live-in aide is not considered to be an assisted family member and has no rights or benefits under the program:

Income of the live-in aide will not be counted for purposes of determining eligibility or level of benefits.

Live-in aides are not subject to Non-Citizen Rule requirements.

Live-in aides may not be considered as a remaining member of the tenant family.

Relatives are not automatically excluded from being live-in aides, but they must meet all of the elements in the live-in aide definition described above.

Family members of a live-in attendant may also reside in the unit, providing doing so does not increase the subsidy by the cost of an additional bedroom and that the presence of the family member(s) does not overcrowd the unit.

A Live-in Aide may only reside in the unit with the approval of the PHA. Written verification will be required from a reliable, knowledgeable professional, such as a doctor, social worker, or caseworker. The verification provider must certify that a live-in aide is needed for the care of the family member who is elderly, near elderly (50-61) or disabled.

Verification of the need for a live-in aide must include the hours the care will be provided.

The PHA has the right to disapprove a request for a live-in aide based on the "Other Eligibility Criteria" described in this Chapter.

C. MANDATORY SOCIAL SECURITY NUMBERS [24 CFR 5.216]

Families are required to provide verification of Social Security Numbers for all family members prior to admission, if they have been issued a number by the Social Security Administration. This requirement also applies to persons joining the family after admission to the program.

Failure to furnish verification of social security numbers is grounds for denial of admission or termination of tenancy.

If a member does not have a Social Security Number they must sign a certification stating that they do not have one. The certification shall:

state the individual's name, state that the individual has not been issued a Social Security Number;

state that the individual will disclose the Social Security Number, if they obtain one at a later date;

be signed and dated.

D. CITIZENSHIP/ELIGIBLE IMMIGRATION STATUS

In order to receive assistance, a family member must be a U.S. citizen or eligible immigrant. Individuals who are neither may elect not to contend their status. Eligible immigrants are persons who are in one of the six immigrant categories as specified by HUD.

For the Citizenship/Eligible Immigration requirement, the status of each member of the family is considered individually before the family's status is defined.

Mixed Families. A family is eligible for assistance as long as at least one member is a citizen or eligible immigrant. Families that include eligible and ineligible individuals are called "mixed". Such applicant families will be given notice that their assistance will be pro-rated and that they may request a hearing if they contest this determination.

No eligible members. Applicant families that include no eligible members will be ineligible for assistance. Such families will be denied admission and offered an opportunity for a hearing.

Non-citizen students defined by HUD in the noncitizen regulations are not eligible for assistance.

The PHA will establish and verify eligibility no later than the date of the family's annual reexamination following October 21, 1998.

No individual or family applying for financial assistance may receive such financial assistance prior to the affirmative establishment and verification of eligibility of at least one individual or family member.

E. OTHER ELIGIBILITY CRITERIA

All applicants will be processed in accordance with HUD's regulations (24 CFR Part 960) and sound management practices. Applicants will be required to demonstrate ability to comply with essential provisions of the lease as summarized below.

All applicants must demonstrate through an assessment of current and past behavior the ability:

- to pay rent and other charges as required by the lease in a timely manner;
- to care for and avoid damaging the unit and common areas;
- to use facilities, appliances and equipment in a reasonable way;
- to create no health or safety hazards, and to report maintenance needs in a timely manner;
- not to interfere with the rights and peaceful enjoyment of others and to avoid damaging the property of others;
- not to engage in criminal activity or alcohol abuse that threatens the health, safety or right to peaceful enjoyment of other residents or staff and not to engage in drug-related criminal activity on or off the PHA premises;
- *not to have ever* been convicted of manufacturing or producing methamphetamine, also known as "speed," on or off the premises of assisted housing;

- *not to be subject to lifetime sex offender registration requirement;*
- to comply with necessary and reasonable rules and program requirements of HUD and the PHA; and,
- to comply with local health and safety codes.

Denial of Admission for Previous Debts to This or Any Other PHA

Previous outstanding debts to this PHA or any PHA resulting from a previous tenancy in the public housing or Section 8 program must be paid in full prior to admission. No Payment Agreement will be accepted.

Either spouse is responsible for the entire debt incurred as a previous PHA tenant. Children of the head or spouse who had incurred a debt to the PHA will not be held responsible for the parent's previous debt.

F. SCREENING AND EVICTION POLICY

Denial of Admission for Drug-Related and/or Other Criminal Activity

Purpose

All federally assisted housing is intended to provide a place to live and raise families, not a place to commit crime, to use or sell drugs or terrorize neighbors. It is the intention of the Martinsburg Housing Authority to fully endorse and implement a policy that is designed to:

- Help create and maintain a safe and drug-free community;
- Keep our program participants free from threats to their personal and family safety;
- Support parental efforts to instill values of personal responsibility and hard work;
- Help maintain an environment where children can live safely, learn and grow up to be productive citizens.

Administration

All screening procedures shall be administered fairly and in such a way as not to discriminate on the basis of race, color, nationality, religion, sex, familial status, disability or against other legally protected groups, and not to violate right to privacy.

To the maximum extent possible, the PHA will involve other community and governmental entities in the promotion and enforcement of this policy.

This policy will be posted on the PHA's bulletin board and copies made readily available to applicants and tenants upon request.

HUD Definitions

"Drug-related criminal activity" is the illegal manufacture, sale, distribution, use, or possession with intent to manufacture, sell, distribute or use a controlled substance (as defined in section 102 of the Controlled Substances Act (21 U.S.C. 802)).

Drug-related criminal activity means *on or off the* premises, *not just on or near the* premises.

"Criminal activity" includes any criminal activity that threatens the health, safety or right to peaceful enjoyment of the resident's public housing premises by other residents or employees of the PHA

Screening for Drug Abuse and Other Criminal Activity

In an effort to prevent drug related and other criminal activity, as well as other patterns of behavior that pose a threat to the health, safety or the right to peaceful enjoyment of the premises by other residents, the PHA will endeavor to screen applicants as thoroughly and fairly as possible.

If in the past the PHA initiated a lease termination, which may or may not have resulted in eviction for any reason cited under the One Strike Notice, for a family, as a prior resident of public housing, the PHA shall have the discretion to consider all circumstances of the case regarding the extent of participation by non-involved family members.

The PHA will not be obligated to ferret out information concerning a family's criminal activities as part of the processing of an application for assistance. Initial screening will be limited to routine inquiries of the family and any other information provided to the PHA regarding this matter. The inquiries will be standardized and directed to all applicants by inclusion in the application form.

If as a result of the standardized inquiry, or the receipt of a verifiable referral, there is indication that the family or any family member is engaged in drug-related criminal or violent criminal activity, the PHA will conduct closer inquiry to determine whether the family should be denied admission.
If the screening indicates that any family member has been arrested or convicted within the prior five years for drug-related or violent criminal activity, the PHA shall obtain verification through police/court records.

All applicants' names will be sent to the local police department and if a record exists be required to complete a fingerprint card to be sent to the FBI for verification.

FBI and Law Enforcement Records

The PHA will check criminal history for all adults in an applicants household who are 18 years of age or older to determine whether any member of the family has engaged in violent or drug-related criminal activity**.**

The PHA will check criminal history for all adults in an applicant's household to determine whether any member of the family is subject to a lifetime sex offender registration requirement. Verification of any past activity will be done prior to final eligibility and will include a check of conviction records.

The PHA has applied to the Federal Bureau of Investigation (FBI) and obtained a unique Originating Agency Identifier (ORI) number in order to maximize its efforts in obtaining applicant criminal record history.

The PHA has contracted with the US Investigations Services, an FBI approved channeling agent, to process and funnel requests in order to obtain National Crime Information Center (NCIC) data for the purpose of accessing FBI criminal records.

The PHA will do a name check only through its local law enforcement agency to access limited information from the NCIC. The PHA will request a fingerprint card only from those applicants who indicate they have prior criminal records, or have had local law enforcement agency record show up.

Standard for Violation

Persons evicted from public housing, Indian housing, Section 23, or any Section 8 program because of drug-related criminal activity are ineligible for admission to Public Housing for a five-year period beginning on the date of such eviction, or five years from the end of probation, parole, or incarceration.

The PHA may waive this requirement if:

The person demonstrates successful completion of a rehabilitation program approved by the PHA, or

The circumstances leading to the eviction no longer exist. For example, the individual involved in drugs is no longer in the household because the person is incarcerated.

No member of the applicant's family may have engaged in drug related or violent criminal activity within the past five years.

The PHA will deny participation in the program to applicants where the PHA determines there is reasonable cause to believe that the person is illegally using a controlled substance or engages in drug-related or other criminal activity. The same will apply if it is determined that the person abuses alcohol in a way that may interfere with the health, safety or right to peaceful enjoyment of the

premises by other residents. This includes cases where the PHA determines that there is a pattern of illegal use of controlled substances or a pattern of alcohol abuse.

The PHA will consider the use of a controlled substance or alcohol to be a *pattern* if there is more than one incident during the previous three months.

"Engaged in or engaging in or recent history of" drug-related criminal activity means any act within the past five years by applicants or participants, household members, or guests which involved drug-related criminal activity including, without limitation, drug-related criminal activity, possession and/or use of narcotic paraphernalia, which did or did not result in the arrest and/or conviction of the applicant or participant, household members, or guests.

"Engaged in or engaging in or recent history of" criminal activity means any act within the past five years by applicants or participants, household members, or guests which involved criminal activity that would threaten the health, safety or right to peaceful enjoyment of the public housing premises by other residents or employees of the PHA, which did or did not result in the arrest and/or conviction of the applicant or participant, household members, or guests.

In evaluating evidence of negative past behavior, the PHA will give fair consideration to the seriousness of the activity with respect to how it would affect other residents, and/or likelihood of favorable conduct in the future which could be supported by evidence of rehabilitation. The PHA will not waive this policy.

The PHA may permit eligibility for occupancy and impose conditions that the involved family member(s) does not reside in the unit. The PHA will consider evidence that the person is no longer in the household such as a divorce decree.

Permanent Denial of Admission

The PHA will permanently deny admission to public housing persons convicted of manufacturing or producing methamphetamine on the premises of the assisted housing project in violation of any Federal or State law. "Premises" is defined as the building or complex in which the dwelling unit is located, including common areas and grounds. **The PHA will not waive this requirement**.

Prohibition On Persons Subject to Lifetime Sex Offender Registration Requirements

No family member may be subject to a lifetime sex offender registration requirement. **This provision will not be waived.** The PHA will perform necessary criminal background checks in the state where the housing is located and in other states where the family members have resided.

Other criminal activity

"Other criminal activity" means a history of criminal activity involving crimes of actual or threatened violence to persons or property, or a history of

other criminal acts, conduct or behavior which would adversely affect the health, safety, or welfare of other residents.

HUD defines violent criminal activity as any criminal activity that has as one of its elements the use, attempted use, or threatened use of physical force against a person or property, and the activity is being engaged in by any family member.

No family member may have engaged in or threatened abusive or violent behavior toward PHA personnel at any time. No family member may have committed fraud, bribery, or any other corrupt or criminal act in connection with any federal housing program.

Evidence

The PHA must have evidence of the violation. "Preponderance of evidence" is defined as evidence which is of greater weight or more convincing than the evidence which is offered in opposition to it; that is, evidence which as a whole shows that the fact sought to be proved is more probable than not. The intent is not to prove criminal liability, but to establish that the act(s) occurred. Preponderance of evidence is not be determined by the number of witnesses, but by the greater weight of all evidence.

"Credible evidence" may be obtained from police and/or court records. Testimony from neighbors, when combined with other factual evidence, can be considered credible evidence. Other credible evidence includes documentation of drug raids or arrest warrants, evidence gathered by PHA inspectors and/or investigators, and evidence gathered from the PHA Hotline.

The PHA may pursue fact-finding efforts as needed to obtain credible evidence.

Confidentiality of Criminal Records

The PHA will ensure that any criminal record received is maintained confidentially, not misused or improperly disseminated, and destroyed once the purpose for which it was requested is accomplished.

All criminal reports, while needed by the Executive Director for screening for criminal behavior, will be housed in a locked file with access restricted to individuals responsible for such screening.

The PHA will document in the family's file that the family was denied admission or the tenancy was terminated due to findings in the Criminal History Report.

Disclosure of Criminal Records to Family

Before the PHA takes any adverse action based on a criminal conviction record, the applicant will be notified of the criminal record and an given an opportunity to dispute the record. The applicant/resident must obtain a copy of criminal records

from the FBI. Applicants will be provided an opportunity to dispute the record at an informal hearing. Tenants may contest such records at the court hearing in the case of evictions.

Hearings

(See Chapter titled "Complaints, Grievances and Appeals")

If information is revealed that would cause the PHA to deny admission to the household and the person disputes the information, s/he shall be given an opportunity for an informal hearing according to the PHA's hearing procedures outlined in the Chapter on Complaints, Grievances and Appeals.

G. SCREENING FOR SUITABILITY [24 CFR 960.204, 960.205]

In developing its admission policies, the aim of the PHA is to attain a tenant body composed of families with a broad range of incomes and to avoid concentrations of the most economically deprived families and families with serious social problems. Therefore, it is the policy of the PHA to deny admission to applicants whose habits and practices may reasonably be expected to have a detrimental effect on the operations of the development or neighborhood, or on the quality of life for its residents.

The PHA will conduct a detailed interview of all applicants. The interview form will contain questions designed to evaluate the qualifications of applicants to meet the essential requirements of tenancy. Answers will be subject to third party verification.

An applicant's intentional misrepresentation of any information related to eligibility, award of preference for admission, housing history, allowances, family composition or rent will result in denial of admission.

Applicants must be able to demonstrate the ability and willingness to comply with the terms of the lease, either all or with assistance which they can demonstrate that they have or will have at the time of admission. (24 CFR 8.3) The availability of assistance is subject to verification by the PHA.

The PHA's minimum age for admission as head of household is 18, to avoid entering into leases that would not be valid or enforceable under applicable law. The PHA does not permit a parent or legal guardian to co-sign the lease on the applicant's behalf if the head of household is under 18.
As a part of the final eligibility determination, the PHA will screen each applicant household to assess their suitability as renters. The PHA will complete a credit check and rental history check on all applicants.

The PHA shall rely upon sources of information which may include, but not be limited to, PHA records, personal interviews with the applicant or tenant, interviews with previous landlords, employers, family social workers, parole officers, criminal and court records, clinics, physicians or the police department, and home visits for persons who have had negative landlord reference(s) for poor housekeeping habits.
This will be done in order to determine whether the individual attributes, prior conduct, and behavior of a particular applicant is likely to interfere with other tenants in such a manner as to diminish their enjoyment of the premises by adversely affecting their health, safety or welfare.

Factors to be considered in the screening are housekeeping habits, rent paying habits, prior history as a tenant, criminal records, the ability of the applicant to maintain the responsibilities of tenancy, and whether the conduct of the applicant in present or prior housing has been such that admission to the program would adversely affect the health, safety or welfare of other residents, or the physical environment, or the financial stability of the project.

The PHA's examination of relevant information pertaining to past and current habits or practices will include, but is not limited to, an assessment of:

The applicant's past performance in meeting financial obligations, especially rent.

Eviction or a record of disturbance of neighbors sufficient to warrant a police call, destruction of property, or living or housekeeping habits at present or prior residences which may adversely affect the health, safety, or welfare of other tenants or neighbors.

Any history of criminal activity on the part of any applicant family member involving criminal acts, including drug-related criminal activity.

Any history or evidence of repeated acts of violence on the part of an individual, or a pattern of conduct constituting a danger to peaceful occupancy by neighbors.

Any history of initiating threats or behaving in a manner indicating an intent to assault employees or other tenants.

Any history of alcohol or substance abuse that would threaten the health, welfare, or right to peaceful enjoyment of the premises by other residents.

The ability and willingness of an applicant to comply with the essential lease requirements will be verified and documented by the PHA. The information to be considered in the screening process shall be reasonably related to assessing the conduct of the applicant and other family members listed on the application in present and prior housing.

The history of applicant conduct and behavior must demonstrate that the applicant family can reasonably be expected not to:

Interfere with other residents in such a manner as to diminish their peaceful enjoyment of the premises by adversely affecting their health, safety, or welfare. [24CFR 960.205(b)]

Adversely affect the physical environment or financial stability of the project. [24CFR 960.205(b)]

Violate the terms and conditions of the lease. [24CFR 8.3].

Require services from PHA staff that would alter the nature of the PHA's program. [24 CFR 8.3]

Rent Paying Habits

The PHA will examine any Housing Authority records from a prior tenancy, and will request written references from the applicant's current landlord and may request written references from former landlords for up to the past five years.

Based upon these verifications, the PHA will determine if the applicant was chronically late with rent payments, was evicted at any time during the past five years for nonpayment of rent, or had other legal action initiated against him/her for debts owed. Any of these circumstances could be grounds for an ineligibility determination, depending on the amount of control the applicant had over the situation.

Applicants will not be considered to have a poor credit history if they were late paying rent because they were withholding rent due to substandard housing conditions in a manner consistent with a local ordinance; or had a poor rent paying history clearly related to an excessive rent relative to their income (using 50% of their gross income as a guide,) and responsible efforts were made by the family to resolve the nonpayment problem.

The lack of credit history will not disqualify a family, but a poor credit history will, with the exceptions noted above. Where past rent paying ability cannot be documented, the PHA will check with the utility company(s) to determine whether the family has been current and timely on their payments.

Screening Applicants Who Claim Mitigating Circumstances

Mitigating circumstances are facts relating to the applicant's record of unsuitable rental history or behavior, which, when verified would indicate both: (1) the reason for the unsuitable rental history and/or behavior; and (2) that the reason for the unsuitable rental history and behavior is no longer in effect or is under control, and the applicant's prospect for lease compliance is an acceptable one, justifying admission.

If unfavorable information is received about an applicant, consideration shall be given to the time, nature, and extent of the applicant's conduct and to factors that might indicate a reasonable probability of favorable future conduct. In order to be

factored into the PHA's screening assessment of the applicant, mitigating circumstances must be verifiable.

If the mitigating circumstances claimed by the applicant relate to a change in disability, medical condition or course of treatment, the PHA shall have the right to refer such information to persons who are qualified and knowledgeable to evaluate the evidence and to verify the mitigating circumstance. The PHA shall also have the right to request further information reasonably needed to verify the mitigating circumstance, even if such information is of a medically confidential nature. Such inquiries will be limited to the information necessary to verify the mitigating circumstances or, in the case of a person with disabilities, to verify a reasonable accommodation.

Examples of Mitigating Circumstances

- Evidence of successful rehabilitation;
- Evidence of the applicant family's participation in and completion of social service or other appropriate counseling service approved by the PHA;
- Evidence of successful and sustained modification of previous disqualifying behavior.
- Consideration of mitigating circumstances does not guarantee that the applicant will qualify for admission. The PHA will consider such circumstances in light of:
    - The applicant's ability to substantiate through verification the claim of mitigating circumstances and his/her prospects for improved future behavior; and
    - The applicant's overall performance with respect to all the screening requirements.

Qualified and Unqualified Applicants

Information that has been verified by the PHA will be analyzed and a determination will be made with respect to:

The eligibility of the applicant as a *family;*

The eligibility of the applicant with respect to income limits for admission;

The eligibility of the applicant with respect to citizenship or eligible immigration *status*.

Assistance to a family may not be delayed, denied or terminated on the basis of the family's ineligible immigration status unless and until the family completes all the verification and appeals processes to which they are entitled under both INS and PHA procedures, except for a pending PHA hearing.

Applicants who are determined to be unqualified for admission will be promptly notified with a Notice of Denial of Admission stating the reason for the denial. The PHA shall provide applicants an opportunity for an informal hearing (see Chapter titled "Complaints, Grievances, and Appeals.")

The PHA will make every effort to accurately estimate an approximate date of occupancy. However, the date given by the PHA does not mean that applicants should expect to be housed by that date. The availability of a suitable unit to offer a family is contingent upon factors not directly controlled by the PHA, such as turnover rates, and market demands as they affect bedroom sizes and project location.

Documenting Findings

An authorized representative of the PHA shall document any pertinent information received relative to the following:

Criminal Activity - includes the activities listed in the definition of criminal activity in this Chapter.

Pattern of Violent Behavior - includes evidence of repeated acts of violence on the part of an individual, or a pattern of conduct constituting a danger to peaceful occupancy of neighbors.

Pattern of Drug Use - includes a determination by the PHA that the applicant has exhibited a pattern of illegal use of a controlled substance that might interfere with the health, safety, or right to peaceful enjoyment of the premises by other residents.

Drug-Related Criminal Activity - includes a determination by the PHA that the applicant has been involved in the illegal manufacture, sale, distribution, use or possession of a controlled substance.

Pattern of Alcohol Abuse - includes a determination by the PHA that the applicant's pattern of alcohol abuse might interfere with the health, safety or right to peaceful enjoyment of the premises by other residents.

Initiating Threats - or behaving in a manner indicating an intent to assault employees or other tenants.

Abandonment of a Public Housing Unit - without advising PHA officials so that staff may secure the unit and protect its property from vandalism.

Non-Payment of Rightful Obligations - including rent and/or utilities and other charges owed to the PHA or any other PHA.

Intentionally Falsifying an Application for Leasing - including uttering or otherwise providing false information about family income and size, using an alias on the application for housing, or making any other material false statement or omission intended to mislead.

Record of Serious Disturbances of Neighbors, Destruction of Property or Other Disruptive or Dangerous Behavior - consists of patterns of behavior which endanger the life, safety, or welfare of other persons by physical violence, gross negligence or irresponsibility; which damage the equipment or premises in which the applicant resides, or which are seriously disturbing to neighbors or disrupt sound family and community life, indicating the applicant's inability to adapt to living in a multi-family setting. Includes judicial termination of tenancy in previous housing on the grounds of nuisance or objectionable conduct, or frequent loud parties, which have resulted in serious disturbances of neighbors.

Grossly Unsanitary or Hazardous Housekeeping - includes the creation of a fire hazard through acts such as hoarding rags, papers, or other materials; severe damages to premises and equipment, if it is established that the family is responsible for the condition; seriously affecting neighbors by causing infestation, foul odors, depositing garbage in halls; or serious neglect of the premises. This category does not include families whose housekeeping is found to be superficially unclean or due to lack of orderliness, where such conditions do not create a problem for neighbors.

Destruction of Property from previous rentals.

Whether Applicant or tenant is Capable of Maintaining the Responsibilities of tenancy - In the case of applicants for admission, the person's present living arrangements and a statement obtained from applicant's physician, social worker, or other health professional will be among factors considered in making this

determination. The availability of a live-in attendant will be considered in making this determination.

In the event of the receipt of unfavorable information with respect to an applicant, consideration shall be given to the time, nature, and extent of the applicant's conduct and to factors that might indicate a reasonable probability of favorable future conduct or financial prospects.

Prohibited Criteria for Denial of Admission

Applicants will NOT be rejected because they:

Have no income;

Are not employed;

Do not participate in a job-training program;

Will not apply for various welfare or benefit programs;

Have children;

Have children born out of wedlock;

Are on welfare;

Are students.

H. HEARINGS

If information is revealed that would cause the PHA to deny admission to the household and the person disputes the information, s/he shall be given an opportunity for an informal hearing according to the PHA's hearing procedures outlined in the chapter on complaints, grievances and appeals.

Selection and Admissions

It is the PHA’s objective to ensure that families are placed in the proper order on the waiting list so that the offer of a unit is not delayed to any family unnecessarily or made to any family prematurely. This chapter explains the policies for the management of the waiting list.

By maintaining an accurate waiting list, the PHA will be able to perform the activities which ensure that an adequate pool of qualified applicants will be available to fill unit vacancies in a timely manner. Based on the PHA’s turnover and the availability of appropriate sized units, groups of families will be selected from the waiting list to form a final eligibility "pool." Selection from the pool will be based on completion of verification.

A. MANAGEMENT OF THE WAITING LIST

The PHA will administer its waiting list as required by 24 CFR Part 5, Subparts E and F, Part 945 and 960.201 through 960.215. The waiting list will be maintained in accordance with the following guidelines:

The application will be a permanent file.

All applicants in the pool will be maintained in order of date and time of application completion.

All applicants must meet applicable income eligibility requirements as established by HUD.

Opening and Closing the Waiting Lists

The PHA, at its discretion, may restrict application intake, suspend application intake, and close waiting lists in whole or in part.

The decision to close the waiting list will be based on the number of applications available for a particular size and type of unit, and the ability of the PHA to house an applicant in an appropriate unit within a reasonable period of time.

When the PHA opens the waiting list, the PHA will advertise through public notice in the following newspapers, minority publications and media entities. location(s), and program(s) for which applications are being accepted in the local paper of record, "minority" newspapers, and other media including:

The Martinsburg Journal

Social Security Office

Senior Centers

Department of Human Services

The notice will contain:

The dates, times, and the locations where families may apply.

The programs for which applications will be taken.

A brief description of the program.

Limitations, if any, on who may apply.

The notices will be made in an accessible format if requested. They will provide potential applicants with information that includes the PHA address and telephone number, how to submit an application, information on eligibility requirements.

Upon request from a person with a disability, additional time will be given as an accommodation for submission of an application after the closing deadline. This accommodation is to allow persons with disabilities the opportunity to submit an application in cases when a social service organization provides inaccurate or untimely information about the closing date.

When Application Taking is Suspended

The waiting list may not be closed if it would have a discriminatory effect inconsistent with applicable civil rights laws.

During the period when the waiting list is closed, the PHA will not maintain a list of individuals who wish to be notified when the waiting list is open.

Suspension of application taking is announced in the same way as opening the waiting list.

The open period shall be long enough to achieve a waiting list adequate to cover projected turnover over the next twelve to twenty-four months. The PHA will give at least seven days notice prior to closing the list. When the period for accepting applications is over, the PHA will add the new applicants to the list by:

Unit size, and/or date and time of application completion.

The PHA will update the waiting list at least every six months by removing the names of those families who are no longer interested, no longer qualify for housing, or cannot be reached by mail or telephone. At the time of initial intake, the PHA will advise families of their responsibility to notify the PHA when mailing address or telephone numbers change.

Reopening the List

If the waiting list is closed and the PHA decides to open the waiting list, the PHA will publicly announce the opening.

Any reopening of the list is done in accordance with the HUD requirements.

Limits on Who May Apply

When the waiting list is open,

Any family asking to be placed on the waiting list for Public Housing rental assistance will be given the opportunity to complete an application.

When the application is submitted to the PHA:

It establishes the family's date and time of application for placement order on the waiting list.

Multiple Families in Same Household

When families apply that consist of two families living together, (such as a mother and father, and a daughter with her own husband or children), if they apply as a family unit, they will be treated as a family unit.

B. WAITING LIST PREFERENCES

A preference does not guarantee admission to the program. Preferences are used to establish the order of placement on the waiting list. Every applicant must meet the PHA's Selection Criteria as defined in this policy.

The PHA's preference system will work in combination with requirements to match the characteristics for the family to the type of unit available, including units with targeted populations, and further deconcentration of poverty in public housing. When such matching is required or permitted by current law, the PHA will give preference to qualified families.

Families who reach the top of the waiting list will be contacted by the PHA to verify their preference and, if verified, the PHA will complete a full application for occupancy. Applicants must complete the application for occupancy and continue through the application processing and may not retain their place on the waiting list if they refuse to complete their processing when contacted by the PHA.

Among applicants with equal preference status, the waiting list will be organized by date and time.

Local Preferences

Date and time of completed applications is the only preference that will be used to select among applicants on the waiting list.

Treatment of Single Applicants

Single applicants will be treated as any other eligible family on the PHA waiting list.

C. FACTORS OTHER THAN PREFERENCES THAT AFFECT SELECTION

Before applying its preference system, the PHA will first match the characteristics of the available unit to the applicants available on the waiting lists. Factors such as unit size, accessible features, deconcentration or income mixing, income targeting, or units in housing designated for the elderly limit the admission of families to those characteristics that match the characteristics and features of the vacant unit available.

By matching unit and family characteristics, it is possible that families who are lower on the waiting list may receive an offer of housing ahead of families with an earlier date and time of application.

D. INCOME TARGETING

The PHA will monitor its admissions to ensure that at least 40 percent of families admitted to public housing in each fiscal year shall have incomes that do not exceed 30% of area median income of the PHA's jurisdiction.

Hereafter families whose incomes do not exceed 30% of area median income will be referred to as "extremely low income families."
The PHA shall have the discretion, at least annually, to exercise the "fungibility" provision of the QHWRA by admitting less than 40 percent of "extremely low income families" to public housing in a fiscal year, to the extent that the PHA has provided more than 75 percent of newly available vouchers to "extremely low income families." This fungibility provision discretion by the PHA is also reflected in the PHA's Administrative Plan.

The fungibility credits will be used to drop the annual requirement below 40 percent of admissions to public housing for extremely low income families by the lowest of the following amounts:

The number of units equal to 10 percent of the number of newly available vouchers in the fiscal year; or

The number of public housing units that 1) are in public housing projects located in census tracts having a poverty rate of 30% or more, and 2) are made available for occupancy by and actually occupied in that year by, families other than extremely low-income families.

The Fungibility Floor: Regardless of the above two amounts, in a fiscal year, at least 30% of the PHA's admissions to public housing will be to extremely low-income families. The fungibility floor is the number of units that cause the PHA's overall requirement for housing extremely low-income families to drop to 30% of its newly available units.

Low Income Family Admissions

Once the PHA has met the 40% targeted income requirement for new admissions of extremely low-income families, the PHA will fill the remainder of its new admission units with families whose incomes do not exceed 80% of the HUD approved area median income.

Very Low-Income Family Admissions

Once the PHA has met the 40% targeted income requirement for new admissions of extremely low-income families, the PHA will fill the remainder of its new admission units with families whose incomes do not exceed 50% of the HUD approved area median income.

E. UNITS DESIGNATED FOR THE ELDERLY

In accordance with the 1992 Housing Act, elderly families with a head, spouse or sole member at least 62 years of age will receive a preference for admission to such units or buildings covered by a HUD-approved Allocation Plan, except for the units which are accessible, which may be offered to persons with disabilities.

Procedure to Be Used When There Are Insufficient Applicants on the List

When there are insufficient applicants on the waiting list for the elderly-designated development, the development will contact applicants on other waiting lists to determine their interest in applying for the waiting list of the development with insufficient applicants on it. If an interested elderly family is located, the housing management staff of the development with no waiting list will place the applicant on its waiting list, also, and will contact them to verify their preference and complete a full application when their name is close to the top of the waiting list.

Where the PHA anticipates that there are insufficient elderly or near-elderly families on the waiting list for these units the PHA will notify local senior service centers and local media sources aimed at the elderly to recruit elderly families for the waiting list for these projects.

When there are no elderly applicants from other sites interested in the elderly-designated development, after conducting outreach, near-elderly applicants who are 50-62 years of age can be admitted to the elderly-designated development. If there are no near-elderly applicants on the list, the development housing management staff will contact near-elderly applicants on other development lists to determine interest and add to their list, if applicable.

When there are no near-elderly applicants from other sites interested in the elderly-designated development, after conducting outreach, single applicants who are below 50 years of age can be admitted to the elderly-designated development.

F. MIXED POPULATION UNITS

A mixed population project is a public housing project, or portion of a project that was reserved for elderly families and disabled families at its inception (and has retained that character). In accordance with the 1992 Housing Act, elderly families whose head spouse or sole member is at least 62 years of age, and disabled families whose head, co-head or spouse or sole member is a person with disabilities, will receive equal preference to such units.
No limit will be established on the number of elderly or disabled families that may occupy a mixed population property. All other PHA preferences will be applied.

G. GENERAL OCCUPANCY UNITS

General occupancy units are designed to house all populations of eligible families. In accordance with the PHA's occupancy standards, eligible families not needing units designed with special features or units designed for special populations will be admitted to the PHA's general occupancy units.

The PHA will use its local preference system as stated in this chapter for admission of eligible families to its general occupancy units. The PHA will treat all single applicants who are not elderly or disabled as they would any other family for admission purposes.

H. DECONCENTRATION OF POVERTY AND INCOME-MIXING

The PHA's admission policy is designed to provide for deconcentration of poverty and income-mixing by bringing higher income tenants into lower income projects and lower income tenants into higher income projects. Gross annual income is used for income limits at admission and for income-mixing purposes.

Skipping of a family on the waiting list specifically to reach another family with a lower or higher income is not to be considered an adverse action to the family. Such skipping will be uniformly applied until the target threshold is met.

The PHA will gather data and analyze, at least annually, the tenant characteristics of its public housing stock, including information regarding tenant incomes, to assist in the PHA's deconcentration efforts.
The PHA will use the gathered tenant incomes information in its assessment of its public housing developments to determine the appropriate designation to be assigned to the project for the purpose of assisting the PHA in its deconcentration goals.

Deconcentration and Income-Mixing Goals

Admission policies related to the deconcentration efforts of the PHA do not impose specific quotas. Therefore, the PHA will not set specific quotas, but will strive to achieve deconcentration and income-mixing in its developments.

The Martinsburg Housing Authority developments are all within the same income and population jurisdiction with income opportunities being relatively equal for all locations. Therefore, there is not major difference to be considered. The P HA will continue to strive to keep income levels equal on all developments.

Project Designation Methodology
The PHA will determine and compare tenant incomes at all general occupancy developments. Upon analyzing its findings the PHA will apply the policies, measures and incentives listed in this Chapter to bring higher income families into lower income developments and lower income families into higher income developments.

The PHA's goal is to have eligible families having higher incomes occupy dwelling units in projects predominantly occupied by eligible families having lower incomes, and eligible families having lower incomes occupy dwelling units in projects predominantly occupied by eligible families having higher incomes.

I. VERIFICATION OF PREFERENCE QUALIFICATION

The Martinsburg Housing Authority uses only date and time of completed application as preference on waiting lists. No other preferences are used.

J. OFFER OF PLACEMENT ON THE SECTION 8 WAITING LIST

The PHA will not merge the waiting lists for public housing and Section 8. However, if the Section 8 waiting list is open when the applicant is placed on the public housing list, the PHA will offer to place the family on both lists. If the public housing waiting list is open at the time an applicant applies for Section 8, the PHA must offer to place the family on the public housing waiting list.

K. REMOVAL FROM WAITING LIST AND PURGING

The waiting list will be purged at least once a year by a mailing to all applicants to ensure that the waiting list is current and accurate. The mailing will ask for current information and confirmation of continued interest.

If an applicant fails to respond within five working days s/he will be removed from the waiting list. If a letter is returned by the Post Office without a forwarding address, the applicant will be removed without further notice, and the envelope and letter will be maintained in the file. If a letter is returned with a forwarding address, it will be re-mailed to the address indicated.

If an applicant is removed from the waiting list for failure to respond, they will not be entitled to reinstatement unless a person with a disability requests a reasonable accommodation for being unable to reply with the proscribed period.

The PHA allows a grace period of thirty days after completion of the purge. Applicants who respond during this grace period will be reinstated.

Applicants are notified with confirmation of the PHA's receipt of their application that they are responsible for notifying the PHA within ten working days, if they have a change of address.

Applicants are required to contact the PHA at least once every 60 days to confirm their continued interest.

L. OFFER OF ACCESSIBLE UNITS

The PHA has units designed for persons with mobility, sight and hearing impairments, referred to as accessible units.
No non-mobility impaired families will be offered these units until all eligible mobility-impaired applicants have been considered.

Before offering a vacant accessible unit to a non-disabled applicant, the PHA will offer such units:

First, to a current occupant of another unit of the same development, or other public housing developments under the PHA's control, who has a disability that requires the special features of the vacant unit.

Second, to an eligible qualified applicant on the waiting list having a disability that requires the special features of the vacant unit.

When offering an accessible/adaptable unit to a non-disabled applicant, the PHA will require the applicant to agree to move to an available non-accessible unit within 30 days when either a current resident or an applicant needs the features of the unit and there is another unit available for the applicant. This requirement will be a provision of the lease agreement.

See "Leasing" chapter.

M. PLAN FOR UNIT OFFERS

The PHA plan for selection of applicants and assignment of dwelling units to assure equal opportunity and non-discrimination on grounds of race, color, sex, religion, or national origin is:

(2 to 3 offer plan). Under this plan, the PHA will determine how many locations within its jurisdiction have available units of suitable size and type in the appropriate type of project. This plan is based on the distribution of vacancies.

If a suitable unit is available the applicant will be offered a suitable unit in that location. If the offer is rejected, the applicant will be offered the next suitable unit

that becomes available, whether it is at the same location as the first offer or at another location.

If more than one unit of the appropriate type and size is available, the first unit to be offered will be the first unit that is ready for occupancy.

The PHA will maintain a record of units offered, including location, date and circumstances of each offer, each acceptance or rejection, including the reason for the rejection.

N. CHANGES PRIOR TO UNIT OFFER

Changes that occur during the period between removal from the waiting list and an offer of a suitable unit may affect the family's eligibility or Total Tenant Payment. The family will be notified in writing of changes in their eligibility or level of benefits and offered their right to an informal hearing when applicable (See Chapter on Complaints, Grievances, and Appeals)

O. APPLICANT STATUS AFTER FINAL UNIT OFFER

When an applicant rejects the final unit offer the PHA will:

Place the applicant's name on the bottom of the waiting list; or

Remove the applicants name from the waiting list.

Removal from the waiting list means that the applicant must reapply.

P. TIME-LIMIT FOR ACCEPTANCE OF UNIT

Applicants must accept a unit offer within one working day of the date the offer is made. If unable to contact an applicant by telephone, the PHA will send a letter.

Applicants Unable to Take Occupancy

If an applicant is willing to accept the unit offered, but is unable to take occupancy at the time of the offer for *"good cause,"* the applicant will not be removed from the waiting list.

Examples of *"good cause"* reasons for the refusal to take occupancy of a housing unit include, but are not limited to:

An elderly or disabled family makes the decision not to occupy or accept occupancy in designated housing. [24 CFR 945.303(d)]
Inaccessibility to source of employment or children's day care such that an adult household member must quit a job, drop out of an educational institution or a job training program;

The family demonstrates to the PHA's satisfaction that accepting the offer will result in a situation where a family member's life, health or safety will be placed in jeopardy. The family must offer specific and compelling documentation such as restraining orders, other court orders, or risk assessments related to witness protection from a law enforcement agency. The reasons offered must be specific to the family. Refusals due to the location of the unit alone are not considered to be good cause.

A qualified, knowledgeable, health professional verifies the temporary hospitalization or recovery from illness of the principal household member, other household members, or a live-in aide necessary to care for the principal household member.

The unit is inappropriate for the applicant's disabilities.

Applicants With a Change in Family Size or Status

Changes in family composition, status, or income between the time of the interview and the offer of a unit will be processed. The PHA shall not lease a unit to a family whose occupancy will overcrowd or underutilize the unit. The family will take the appropriate place on the waiting list according to the date they first applied.

Q. REFUSAL OF OFFER

If the unit offered is inappropriate for the applicant's disabilities, the family will retain their position on the waiting list. If the unit offered is refused for other reasons, the PHA will follow the applicable policy as listed in the "Plan for Unit Offers" section and the "Applicant Status After Final Offer" section.

2. Financial Resources

The table below lists the Martinsburg Housing Authority's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the Authority, as well as tenant rents and other income available to support public housing and Section 8 in Fiscal Year 2010.

Funding Source	Amount	Use
FY2010 PH Operating Fund	$950,000	PH Operations
FY2010 Capital Fund Program	$450,439	Modernization
FY2009 Capital Fund Program	$450,439	Modernization
FY2008 Capital Fund Program	$434,709	Modernization
Housing Choice Voucher	$1,389,130	HCV Operations
Public Housing Dwelling Rent	$947,460	Operations
Interest	$18,000	Operations
Other Income	$75,000	Operations
Total	**$4,715,177**	

Note: The Capital Fund amounts for FY2009 and FY2008 are the unobligated amount as of 12/31/2009.

3. Rent Determination

Public Housing

The accurate calculation of Annual Income and Adjusted Income will ensure that families are not paying more or less money for rent than their obligation under the regulations.

This Chapter defines the allowable deductions from Annual Income and how the presence or absence of household members may affect the Total Tenant Payment (TTP). Income and TTP are calculated in accordance with 24 CFR Part 5, Subpart F and further instructions set forth in HUD Notices, Memoranda and Addenda. However, the Quality Housing and Work Responsibility Act now gives PHAs broader flexibility. The PHA's policies in this Chapter address those areas which allow the PHA discretion to define terms and to develop standards in order to assure consistent application of the various factors that relate to the determination of TTP.

A. MINIMUM RENT

The minimum rent for this PHA is fifty dollars ($50). The minimum rent refers to a minimum total tenant payment and not a minimum tenant rent.

The Total Tenant Payment is the greater of:

30% of the adjusted monthly income

10% of the monthly income

$50 minimum rent as established by the PHA

The Total Tenant Payment does not include charges for excess utility consumption or other charges.

4. Operation and Management

The Authority has a staff of maintenance mechanics which provide general maintenance services at each site to help ensure the units are kept in good working order. If a resident needs an item repaired, they are asked to call the management office and place a work order. Once the work order is entered, a maintenance mechanic will go to the unit to repair the item. If the need for repair was not caused by the resident, then the Authority does not charge the resident. If the resident caused the repair, then they are charged according to the Maintenance Charge List on file at the office.

The Authority also has a preventative maintenance schedule in which items such as filters for the HVAC systems are changed out on a regular basis.

The Authority also has a pest control plan in which each unit is sprayed once a month to help control pest infestation.

MHA also has many policies which management uses to operate the Agency on a daily basis. These polices are listed below:

Personnel Procurement Pet
Admissions and Continued Occupancy (LIPH)
Administrative Plan (HCV)
Grievance Disposition Capitalization
Domestic Violence Investment

5. Grievance Procedures

Applicability

This Grievance Procedure applies to all individual grievances, except any grievance concerning a termination of tenancy or eviction that involves:

Any activity, not just criminal activity, that threatens the health, safety, or right to peaceful enjoyment of the premises of other residents or PHA employees, or

Any drug-related criminal activity *on* or *off* such premises.

Pre-Hearing Procedures

Informal Settlement Conference Procedures

Any grievance shall be presented orally or in writing to the PHA office or to the housing management office that sent the notice on which the grievance is based. The complainant must sign written grievances. The grievance must be presented within a reasonable time, not past the first working day after the tenth day of the action or failure to act, which is the basis for the grievance. It may be simply stated, but shall specify:

The particular grounds upon which it is based,

The action requested; and

The name, address, and telephone number of the complainant, and similar information about the complainant's representative, if any.

The purpose of the initial discussion is to discuss and to resolve the grievance without the necessity of a formal hearing.

Within ten working days, a PHA representative will give a summary of this discussion to the complainant. One copy will be filed in the tenant's file.

The summary will include: names of participants, the date of the meeting, the nature of the proposed disposition, and the specific reasons for the disposition. The summary will also specify the steps by which an formal hearing can be obtained.

Dissatisfaction with Informal Settlement Conference

If the complainant is dissatisfied with the proposed disposition of the grievance, s/he shall submit a written request for a hearing within five working days of the delivery of the summary of the informal settlement conference.

The request for a hearing must be presented to the PHA's central office legal department.

The request must specify the reason for the grievance request and the relief sought.

Failure to Request a Formal Hearing

If the complainant does not request a formal hearing within five working days, s/he waives his/her right to a hearing, and the PHA's proposed disposition of the grievance will become final. This section in no way constitutes a waiver of the complainant's right to contest the PHA's disposition in an appropriate judicial proceeding.

Right to a Hearing

After exhausting the informal settlement conference procedures outlined above, a complainant shall be entitled to a hearing before a hearing officer.

The head of household and all involved adult household members must attend the hearing.

If rescheduling of the hearing is necessary, the hearing must be rescheduled at least three days in advance of the scheduled hearing time or the complainant waives their right to a hearing.

If the complainant fails to appear within fifteen minutes of the scheduled time, the complainant waives their right to a hearing.

The PHA will provide reasonable accommodation for persons with disabilities to participate in the hearing. The PHA must be notified within five days of the scheduled time if special accommodations are required.

Selection of Hearing Officer

A grievance hearing shall be conducted by an impartial person or persons appointed by the PHA other than the person who made or approved the PHA action under review, or a subordinate of such person.

Procedures to Obtain a Hearing

Informal Prerequisite

All grievances must be informally presented as a prerequisite to a formal hearing.

The hearing officer may waive the prerequisite informal conference if, and only if, the complainant can show good cause why s/he failed to proceed informally.

Escrow Deposit

Before a hearing is scheduled in any grievance involving an amount of rent the PHA claims is due, the complainant shall pay to the PHA all rent due and payable as of the month preceding the month in which the act or failure to act took place.

The complainant shall thereafter deposit the same amount of the monthly rent in an escrow account each month until the complaint is resolved by decision of the hearing official or panel.

The PHA may waive these escrow requirements in extraordinary circumstances. Unless so waived, failure to make the required escrow payments shall result in termination of the grievance procedure. Failure to make such payments does not constitute a waiver of any right the complainant may have to contest the PHA's disposition of the grievance in any appropriate judicial proceeding.

Scheduling

If the complainant complies with the procedures outlined above, the Housing Authority Office shall schedule a hearing promptly within ten working days at a time and place reasonably convenient to the complainant and the PHA. A written notification of the date, time, place, and procedures governing the hearing shall be delivered to the complainant and the appropriate PHA official.

Hearing Procedures

The hearing shall be held before a hearing officer or panel. The complainant shall be afforded a fair hearing and be provided the basic safeguards of due process to include:

> The opportunity to examine and to copy before the hearing, at the expense of the complainant, all documents, records and regulations of the PHA that are relevant to the hearing with at least a 24 hour notice prior to the hearing. Any document not so made available after request by the complainant may not be relied upon by the PHA at the hearing.
>
> The PHA shall also have the opportunity to examine and to copy at the expense of the PHA all documents, records and statements that the family plans to submit during the hearing to refute the PHA's inaction or proposed action. Any documents not so made available to the PHA may not be relied upon at the hearing.

The right to a private hearing unless otherwise requested by the complainant.

The right to be represented by counsel or other person chosen as a representative.

The right to present evidence and arguments in support of the complaint, to controvert evidence presented by the PHA, and to confront and cross-examine all witnesses upon whose testimony or information the PHA relies, limited to the issues for which the complainant has received the opportunity for a formal hearing; and

The right to a decision based solely and exclusively upon the facts presented at the hearing.

If the hearing officer/panel determines that the issue has been previously decided in another proceeding, a decision may be rendered without proceeding with the hearing.

If the complainant or PHA fails to appear at the scheduled hearing, the hearing officer/panel may make a determination that the party has waived his/her right to a hearing. Such a determination in no way waives the complainant's right to appropriate judicial proceedings in another forum.

At the hearing, the complainant must first make a showing of an entitlement to the relief sought and thereafter the PHA must sustain the burden of justifying the PHA action or failure to act against which the complaint is directed. The hearing shall be conducted by the hearing officer/panel as follows:

Informal: Oral and documentary evidence pertinent to the facts and issues raised by the complaint may be received without regard to admissibility under the rules of evidence applicable to judicial proceedings;

Formal: The hearing officer/panel shall require the PHA, complainant, counsel, and other participants and spectators to conduct themselves in an orderly manner. The failure to comply with the directions of the hearing official/panel to maintain order will result in the exclusion from the proceedings, or a decision adverse to the interests of the disorderly party and granting or denial of the relief sought, as appropriate.

The PHA arranges, in advance, in writing, for a transcript or audiotape of the hearing. Any interested party may purchase a copy of such transcript.

Decisions of the Hearing Officer/Panel

The hearing officer/panel shall give the PHA and the complainant a written decision, including the reasons for the decision, within ten working days following the hearing. The PHA will place one copy in the tenant files. The written decision will be sent to the address provided at the hearing.

The decision of the hearing officer/panel shall be binding on the PHA which shall take all actions necessary to carry out the decision, unless the complainant requests Board action within ten working days prior to the next Board meeting. The PHA Commissioners' decision will be mailed to the complainant with ten working days following the Board meeting, and so notifies the complainant that:

> The grievance does not concern the PHA action or failure to act in accordance with or involving the complainant's lease or PHA regulations that adversely affect the complainant's rights, duties, welfare or status;
>
> The decision of the hearing officer/panel is contrary to applicable Federal, State, or local law, HUD regulations or requirements of the Annual Contributions Contract between HUD and the PHA.

A decision by the hearing officer/panel or PHA Commissioners in favor of the PHA or which denies the relief requested by the complainant in whole or part shall not constitute a waiver of, nor affect in any manner whatever, the rights of the complainant to a trial or judicial review in any proceedings which may thereafter be brought in the matter.

Housing Authority Eviction Actions

If a tenant has requested a hearing in accordance with these duly adopted Grievance Procedures on a complaint involving a PHA notice of termination of tenancy, and the hearing officer/panel upholds the PHA action, the PHA shall not commence an eviction action until it has served a notice to vacate on the tenant.

In no event shall the notice to vacate be issued prior to the decision of the hearing officer/panel having been mailed or delivered to the complainant.

Such notice to vacate must be in writing and specify that if the tenant fails to quit the premises within the applicable statutory period, or on the termination date as stated in the notice of termination, whichever is later, appropriate action will be brought against the complainant. The complainant may be required to pay court costs and attorney fees.

6. Designated Housing for Elderly and Disabled Families

1. ☒ Yes ☐ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year?

Designation of Public Housing Activity Description
1a. Development name: Stonewall Haven 1b. Development (project) number: WV006-005
2. Designation type: Occupancy by only the elderly ☒ Occupancy by families with disabilities ☐ Occupancy by only elderly families and families with disabilities ☒
3. Application status (select one) Approved; included in the PHA's Designation Plan ☒ Submitted, pending approval ☐ Planned application ☐
4. Date this designation approved, submitted, or planned for submission: (02/18/07)
5. If approved, will this designation constitute a (select one) ☐ New Designation Plan ☒ Revision of a previously-approved Designation Plan?
6. Number of units affected: 105 7. Coverage of action (select one) ☐ Part of the development ☒ Total development

7. Community Service and Self-Sufficiency

In compliance with Federal Regulations, the Housing Authority has instituted a Community Service Policy. This policy states that all non-exempt residents must complete eight hours of community service each month. Exempt residents include children under the age of 18 and disabled/elderly residents.

8. Safety and Crime Prevention

Fortunately, the Housing Authority does not have a crime and safety problem at any of its sites. On an on-going basis, the Authority reviews the need for additional safety measures based on crime reports. The Authority works closely with the Martinsburg Police Department to ensure resident safety.

9. Pets

PHAs must develop policies pertaining to the keeping of pets in public housing units in family projects. Except for PHAs administering Section 8 only, PHA Annual Plans are required to contain information regarding the PHA's pet policies for public housing units, excluding public housing developments for the elderly and persons with disabilities. As with all components of the Annual Plan, the PHA pet policy for family projects is subject to public hearing, Resident Advisory Board consultation and HUD review. This Chapter explains the PHA's policies on the keeping of pets in family projects and any criteria or standards pertaining to the policy. The rules adopted are reasonably related to the legitimate interest of this PHA to provide a decent, safe and sanitary living environment for all tenants, to protecting and preserving the physical condition of the property, and the financial interest of the PHA.

The purpose of this policy is to establish the PHA's policy and procedures for ownership of pets in family projects and to ensure that no applicant or resident is discriminated against regarding admission or continued occupancy because of ownership of pets. It also establishes reasonable rules governing the keeping of common household pets.

Residents will comply with the dwelling lease, which requires that no animals or pets of any kind are permitted on the premises without prior written approval of the PHA. This does not apply to animals that are used to assist, support or provide service to persons with disabilities.

Nothing in this policy or the dwelling lease limits or impairs the right of persons with disabilities to own animals that are used to assist, support or provide service to them.

ANIMALS THAT ASSIST, SUPPORT OR PROVIDE SERVICE TO PERSONS WITH DISABILITIES

Pet rules will not be applied to animals that assist, support or provide service to persons with disabilities. This exclusion applies to such animals that reside in public housing and that visit these developments. To be excluded from the pet policy, the resident/pet owner must certify:

That there is a person with disabilities in or visiting the household;

That the animal has been trained to assist, support or provide service to the specified person with disabilities

That the animal actually assists, supports or provides service to the specified person with disabilities.

A. MANAGEMENT APPROVAL OF PETS

Families residing in a Public Housing unit are allowed to keep common household pets in their apartments as stated in their lease and in accordance with the Code of Federal Regulations. Families may request permission to keep a common household pet. Households may keep only one common household pet if it is registered with the Housing Authority before it is brought onto the premises, and if registration is updated each year at annual reexamination. The PHA management must approve all pets in advance.

Registration of Pets

Pets must be registered with the PHA before they are brought onto the premises.

Registration must include the following:

A certificate signed by a licensed veterinarian or State/local authority that the common household pet has timely received all inoculations required by State or local law, and that the pet has no communicable disease(s) and is pest-free. Also required is local law mandates.

A picture of the common household pet must be provided at time of registration.

Name, address and phone number of person to be responsible for pet in resident's absence.

Registration must be renewed and will be coordinated with the annual recertification date and proof of license and inoculation will be submitted at least 30 days prior to annual reexamination.

Dogs and cats are to be spayed or neutered. If animals are not spayed or neutered and have offspring, the resident household is in violation of this rule.

Execution of a Pet Agreement with the PHA stating that the tenant acknowledges complete responsibility for the care and cleaning of the pet will be required.

Registration must be renewed and will be coordinated with the annual recertification date.

Approval for the keeping of a pet shall not be extended pending the completion of these requirements.

No animal or pet may be kept in violation of humane or health laws.

Information to identify the animal or pet and to demonstrate that it is an common household pet of reasonable size and demeanor will be required.

The Housing Authority will notify the owner of the common household pet if registration of the pet is refused and will state the basis for the rejection, as size, disposition, etc.

Refusal To Register Pets

The PHA may not refuse to register a pet based on the determination that the pet owner is financially unable to care for the pet. If the PHA refuses to register a pet, a written notification will be sent to the pet owner stating the reason for denial and shall be served in accordance with HUD Notice requirements. The PHA will refuse to register a pet if:

The pet is not a *common household pet* as defined in this policy;

Keeping the pet would violate any House Pet Rules;

The pet owner fails to provide complete pet registration information, or fails to update the registration annually;

The PHA reasonably determines that the pet owner is unable to keep the pet in compliance with the pet rules and other lease obligations. The pet's temperament and behavior may be considered as a factor in determining the pet owner's ability to comply with provisions of the lease.

The notice of refusal may be combined with a notice of a pet violation.

A resident who cares for another resident's pet must notify the PHA and agree to abide by all of the pet rules in writing.

B. STANDARDS FOR PETS

- If an approved pet gives birth to a litter, the resident must remove all pets from the premises except one.
- PHA authorization for pet(s) will be given on a year-by-year basis.
- No pet will be allowed if weight exceeds 25 pounds.
- No dangerous animal or pet will be allowed.
- No vicious or intimidating animal or pet is to be kept on the premises.

Types of Pets Allowed

A resident may keep no types of pets other than the following. The following types and qualifications are consistent with applicable State and local law.

Tenants are not permitted to have more than one *type* of pet.

1. **Dogs**

   Maximum number: one

   Maximum adult weight: 25 pounds

   Must be housebroken. No outside dogs permitted.

   Must be spayed or neutered

   Must have all required inoculations

   Must be licensed as specified now or in the future by State law and local ordinance

2. **Cats**

   Maximum number: one

   Must be declawed

   Must be spayed or neutered

   Must have all required inoculations

   Must be trained to use a litter box or other waste receptacle. No outside pets.

   Must be licensed as specified now or in the future by State law or local ordinance

3. **Birds**

   Maximum number: one

   Must be enclosed in a cage at all times

4. **Fish**

   Maximum aquarium size: ten gallons
   Must be maintained on an approved stand

5. **Rodents (Guinea pig, hamster, or gerbil ONLY)**

   Maximum number: one
   Must be enclosed in an acceptable cage at all times

   Must have any or all inoculations as specified now or in the future by State law or local ordinance

6. **Turtles**

Maximum number: one
Must be enclosed in an acceptable cage or container at all times.

C. PETS TEMPORARILY ON THE PREMISES

Excluded from the premises are all animals and/or pets not owned by residents, except for service animals.

Residents are prohibited from feeding or harboring stray animals.

This rule excludes visiting pet programs sponsored by a humane society or other non-profit organization and approved by the PHA.

State or local laws governing pets temporarily in dwelling accommodations shall prevail.

D. DESIGNATION OF PET-FREE AREAS

The following areas are designated pet-free areas: Community rooms and buildings, anywhere where food is being served. (Excluding service animals)

E. ADDITIONAL FEES AND DEPOSITS FOR PETS

The resident will be responsible for all reasonable expenses directly related to the presence of the animal or pet on the premises, including the cost of repairs and replacement in the apartment, and the cost of animal care facilities if needed. These charges are due and payable within 30 days of written notification.

Tenants with animals must pay a pet deposit of $200.

The resident/pet owner shall be required to pay a refundable deposit for the purpose of defraying all reasonable costs directly attributable to the presence of a dog or cat.

The PHA reserves the right to change or increase the required deposit by amendment to these rules**.**

The PHA will refund the Pet Deposit to the tenant, less any damage caused by the pet to the dwelling unit, within a reasonable time after the tenant moves or upon removal of the pet from the unit.

The PHA will return the Pet Deposit to the former tenant or to the person designated by the former tenant in the event of the former tenant's incapacitation or death.

The PHA will provide the tenant or designee identified above with a written list of any charges against the pet deposit. If the tenant disagrees with the amount charged to the pet deposit, the PHA will provide a meeting to discuss the charges.

All reasonable expenses incurred by the PHA as a result of damages directly attributable to the presence of the pet in the project will be the responsibility of the resident, including:

The cost of repairs and replacements to the resident's dwelling unit;

Fumigation of the dwelling unit;

Common areas of the project.

The expense of flea deinfestation shall be the responsibility of the resident.

If the tenant is in occupancy when such costs occur, the tenant shall be billed for such costs as a current charge.

If such expenses occur as the result of a move-out inspection, they will be deducted from the pet deposit. The resident will be billed for any amount that exceeds the pet deposit. Pet Deposits are not a part of rent payable by the resident.

F. ALTERATIONS TO UNIT

Residents/pet owners shall not alter their unit, patio, premises or common areas to create an enclosure for any animal. Installation of pet doors is prohibited.

G. PET WASTE REMOVAL CHARGE

A separate pet waste removal charge of $10.00 per occurrence will be assessed against the resident for violations of the pet policy.

Pet waste removal charges are not part of rent payable by the resident.

H. PET AREA RESTRICTIONS

Pets must be maintained within the resident's unit. When outside of the unit (within the building or on the grounds) dogs and cats must be kept on a leash or carried and under the control of the resident or other responsible individual at all times.

A common household pet must be effectively restrained and under the control of a responsible person when passing through a common area, from the street to the apartment, etc.

Pets are not permitted in common areas including lobbies, community rooms and laundry areas except for those common areas which are entrances to and exits from the building.

No pets are to be left outside attended or unattended tied to any tree, bush, clothesline, clothesline pole, furniture, etc. on Housing Authority property.

I. NOISE

Pet owners must agree to control the noise of pets so that such noise does not constitute a nuisance to other residents or interrupt their peaceful enjoyment of their housing unit or premises. This includes, but is not limited to loud or continuous barking, howling, whining, biting, scratching, chirping, or other such activities.

The Housing Authority will not, under any circumstances, require pet owners to have any pet's vocal chords removed.

J. CLEANLINESS REQUIREMENTS

Litter Box Requirements. All animal waste or the litter from litter boxes shall be picked up/emptied daily by the pet owner, disposed of in heavy, sealed plastic trash bags, and placed in a trash container immediately.

Litter shall not be disposed of by being flushed through a toilet.

Litter boxes shall be stored inside the resident's dwelling unit.

Removal of Waste From Other Locations. The Resident/Pet Owner shall be responsible for the removal of waste from any animal or pet animal exercise area by placing it in a sealed plastic bag and disposing of it in an outside trash bin immediately.

Any unit occupied by a dog, cat, or rodent will be fumigated at the time the unit is vacated.

The resident/pet owner shall take adequate precautions to eliminate any animal or pet odors within or around the unit and to maintain the unit in a sanitary condition at all times.

All common household pets are to be fed inside the apartment. Feeding is not allowed on porches, sidewalks, patios or other outside areas.

Tenants are prohibited from feeding stray animals.

The feeding of stray animals will constitute having a pet without permission of the Housing Authority.

K. PET CARE

No pet (excluding fish) shall be left unattended in any apartment for a period in excess of twelve hours.

All residents/pet owners shall be responsible for adequate care, nutrition, exercise and medical attention for his/her pet.

Residents/pet owners must recognize that other residents may have chemical sensitivities or allergies related to pets, or may be easily frightened or disoriented **by animals. Pet owners must agree to exercise courtesy with respect to other residents.**

L. RESPONSIBLE PARTIES

The resident/pet owner will be required to designate two responsible parties for the care of the pet if the health or safety of the pet is threatened by the death or incapacity of the pet owner, or by other factors that render the pet owner unable to care for the pet.

M. INSPECTIONS

The PHA may, after reasonable notice to the tenant during reasonable hours, enter and inspect the premises, in addition to other inspections allowed.

The PHA may enter and inspect the unit if a complaint is received alleging that the conduct or condition of the pet in the unit is a violation, or constitutes a nuisance or threat to the health or safety of the other occupants or other persons in the community under applicable State or local law.

N. PET RULE VIOLATION NOTICE

The authorization for a common household pet may be revoked at any time subject to the Housing Authority's grievance procedure if the pet becomes destructive or a nuisance to others, or if the tenant fails to comply with this policy. Residents who violate these rules are subject to:

Mandatory removal of the pet from the premises within 30 days of notice by the Housing Authority; or if for a threat to health and safety, removal within 24 hours of notice.

Lease termination proceedings.

If a determination is made on objective facts supported by written statements, that a resident/pet owner has violated the Pet Rule Policy, written notice will be served. The Notice will contain a brief statement of the factual basis for the determination and the pet rule(s) that were violated. The notice will also state:

> That the resident/pet owner has five days from the effective date of the service of notice to correct the violation or make written request for a meeting to discuss the violation;

That the resident pet owner is entitled to be accompanied by another person of his or her choice at the meeting; and

That the resident/pet owner's failure to correct the violation, request a meeting, or appear at a requested meeting may result in initiation of procedures to terminate the pet owner's tenancy.

If the pet owner requests a meeting within the five day period, the meeting will be scheduled no later than ten calendar days before the effective date of service of the notice, unless the pet owner agrees to a later date in writing.

O. NOTICE FOR PET REMOVAL

If the resident/pet owner and the PHA are unable to resolve the violation at the meeting or the pet owner fails to correct the violation in the time period allotted by the PHA, the PHA may serve notice to remove the pet.

The Notice shall contain:

A brief statement of the factual basis for the PHA's determination of the Pet Rule that has been violated;

The requirement that the resident/ pet owner must remove the pet within two days of the notice; and

A statement that failure to remove the pet may result in the initiation of termination of tenancy procedures.

P. TERMINATION OF TENANCY

The PHA may initiate procedures for termination of tenancy based on a pet rule violation if:

The pet owner has failed to remove the pet or correct a pet rule violation within the time period specified; and

The pet rule violation is sufficient to begin procedures to terminate tenancy under terms of the lease.

Q. PET REMOVAL

If the death or incapacity of the pet owner threatens the health or safety of the pet, or other factors occur that render the owner unable to care for the pet, the situation will be reported to the Responsible Party designated by the resident/pet owner. This includes pets that are poorly cared for or have been left unattended for over twelve hours.

If the responsible party is unwilling or unable to care for the pet, or if the PHA after reasonable efforts cannot contact the responsible party, the PHA may contact the appropriate State or local agency and request the removal of the pet.

If the pet is removed as a result of any aggressive act on the part of the pet, the pet will not be allowed back on the premises.

R. EMERGENCIES

The PHA will take all necessary steps to insure that pets that become vicious, display symptoms of severe illness, or demonstrate behavior that constitutes an immediate threat to the health or safety of others, are referred to the appropriate State or local entity authorized to remove such animals.

If it is necessary for the PHA to place the pet in a shelter facility, the cost will be the responsibility of the tenant/pet owner.

This Pet Policy will be incorporated by reference into the Dwelling Lease signed by the resident, and therefore, violation of the above Policy will be grounds for termination of the lease.

10. Civil Rights

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

11. Fiscal Audit

The Fiscal Audit for the Year ended June 30, 2009 is attached to the Agency Plan as attachment wv006h01.

12. Asset Management

The Authority continues to assess its housing stock on an on-going basis to determine which developments need improvement. Also, the Authority continually assesses its operations for areas of improvement.

13. Violence Against Women Act

The Housing Authority of the City of Martinsburg is striving to fully comply with all requirements pertaining to assisted housing located in the Violence Against Women Act (VAWA).

First, the Authority will not deny admission to an applicant who has been a victim of domestic violence, dating violence, or stalking. The applicant must comply with all other admission requirements.

Also, the Authority will not terminate the assistance to a victim of domestic violence, dating violence, or stalking based solely on an incident or threat of such activity. The Authority still retains the right to terminate assistance for other criminal activity or good cause.

All information provided by an applicant or tenant regarding VAWA will be held in strict confidence and will not be shared with any other parties, unless required by law.

At this time, the Housing Authority does not intend to put a victim of domestic violence admissions preference in place. The Executive Director will periodically review the need for such preference and may add an admissions preference for victim of domestic violence if a need is determined.

To make sure all applicants are aware of the Violence Against Women Act, the Housing Authority notifies all applicants of the information included in the Act during the application process.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-Based Vouchers

(a) Hope VI or Mixed Finance Modernization or Development

At this time, the Housing Authority does not plan to apply for a Hope VI or Mixed Finance Modernization or Development application in the upcoming Fiscal Year.

(b) Demolition and/or Disposition

At this time, the Housing Authority does not plan to apply for demolition or disposition of any of its public housing units in the upcoming Fiscal Year.

(c) Conversion of Public Housing

At this time, the Housing Authority does not plan to convert any of its public housing units to Housing Choice Vouchers in the upcoming Fiscal Year.

(d) Homeownership

At this time, the Housing Authority does not plan to administer a homeownership program in the upcoming Fiscal Year.

(e) Project-Based Vouchers

The Housing Authority does not plan to use the project-based voucher program in FY2010.

8.0 Capital Improvements

8.1 Capital Fund Annual Statement/Performance and Evaluation Report

See attachments:

wv006b01 – FY2010 CFP Annual Statement
wv006d01 – FY2009 CFP Performance and Evaluation Report
wv006e01 – FY2008 CFP Performance and Evaluation Report
wv006f01 – FY2008 CFP Performance and Evaluation Report

8.2 Capital Fund Program Five-Year Plan

See attachment:

wv006c01 – FY2010-2014 CFP Five-Year Plan

8.3 Capital Fund Financing Program (CFFP)

At this time, MHA has no plans to use the Capital Fund Financing Program.

9.0 Housing Needs

Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford -ability	Supply	Quality	Access -ibility	Size	Loca- tion
Income <= 30% of AMI	554	5	4	4	3	3	3
Income >30% but <=50% of AMI	323	4	4	4	3	3	3
Income >50% but <80% of AMI	314	3	3	3	3	3	3
Elderly	234	3	3	3	4	2	4
Families with Disabilities	414	3	4	3	5	3	3
White	844	3	3	3	3	3	3
Black	305	3	3	3	3	3	3
Hispanic	54	3	3	3	3	3	3

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- ☐ Consolidated Plan of the Jurisdiction/s
  Indicate year:
- ☒ U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- ☐ American Housing Survey data
  Indicate year:
- ☐ Other housing market study
  Indicate year:
- ☐ Other sources: (list and indicate year of information)

9.1 Strategy for Addressing Housing Needs

Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies

Strategy 2: Increase the number of affordable housing units by:

- Apply for additional section 8 units should they become available

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Adopt rent policies to support and encourage work

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

- Adopt rent policies to support and encourage work

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

- Seek designation of public housing for the elderly

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

- The Housing Authority will provide applicants with a list of other accessible housing complexes in the area.

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Conduct activities to affirmatively further fair housing

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units

- Market the section 8 program to owners outside of areas of poverty /minority concentrations

Reasons for Selecting Strategies

- Funding constraints

- Staffing constraints

- Limited availability of sites for assisted housing

- Community priorities regarding housing assistance

10.0 Additional Information

(a) Progress in Meeting Goals and Objectives

The Executive and Director, Commissioners, and staff will continue to strive to complete and exceed all health and safety requirements to keep our units and complexes in excellent condition. We maintain an ongoing preventative maintenance schedule for building and unit structures and equipment. With the use of the Capital Fund Program, we are able to replace appliances, repair interior and exterior hardware; and landscape the grounds to keep the complexes looking desirable and well maintained.

The Housing Authority strives to keep up to date on new programs and requirements to improve our services and complexes. We keep in contact with local agencies to refer applicants and residents to other organizations where they can get needed assistance. We advertise and notify these other service organizations of any changes in the status of our waiting lists.

The Housing Authority encourages communication with residents. We ask that they notify us of their housing needs and suggestions for improvement. We try to encourage resident councils and help wherever possible. A well informed resident is one of our best assets.

(b) Significant Amendment and Substantial Deviation/Modification

PHAs are required to define and adopt their own standards of Substantial Deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

Substantial Deviation from the 5-year Plan:

A substantial deviation from the Five-Year Plan is defined as a change to the mission statement or the goals and objectives that would cause a change in the service provided to Public Housing residents or to Section 8 Program participants including a significant change in the Authority's financial situation.

Significant Amendment or Modification to the Annual Plan:

The following actions are considered to be Significant Amendments or Modifications:

- Changes to rent or admissions policies or organization of the waiting list;

- Any change with regard to homeownership programs.

An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements; HUD does not consider such changes significant amendments.

(c) Memorandum of Agreement

The Housing Authority does not have a Memorandum of Agreement with the United States Department of Housing and Urban Development at this time.

(d) Resident Advisory Board Comments

Other than general support, there were no specific comments on the FY2010 Agency Plan by the Resident Advisory Board.

(e) Challenged Elements

No elements of the FY2010 Agency Plan were challenged.

Part I: Summary

PHA Name: Martinsburg Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: WV15P00650110 Replacement Housing Factor Grant No: Date of CFFP: _____:	**FFY of Grant:** 2010 **FFY of Grant Approval:** 2010

Type of Grant
☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**
☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	**Revised** [2]	**Obligated**	**Expended**
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	$21,636.00			
3	1408 Management Improvements	$10,000.00			
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$20,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	$19,000.00			
10	1460 Dwelling Structures	$379,803.00			
11	1465.1 Dwelling Equipment - Nonexpendable	$0.00			
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	$0.00			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2- 19)	$450,439.00			
21	Amount of Line 20 Related to LBP Activities				
22	Amount of Line 20 Related to Section 504 Activities				
23	Amount of Line 20 Related to Security - Soft Costs				
24	Amount of Line 20 Related to Security - Hard Costs				
25	Amount of Line 20 Related to Energy Conservation Measures				

Part I: Summary				
PHA Name: Martinsburg Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: WV15P00650110 Replacement Housing Factor Grant No: Date of CFFP: _____:			**FFY of Grant:** 2010 **FFY of Grant Approval:** 2010
Type of Grant ☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:**) ☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**				
Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost** [1]
		Original	**Revised** [2]	**Obligated**	**Expended**
	Signature of Executive Director **Date**		**Signature of Public Housing Director** **Date**		

[1] To be completed for the Performance and Evaluation Report
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15P00650110 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2010		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Operations							
PHA-Wide	Operations	1406		$21,636.00				
	Subtotal 1406			**$21,636.00**				
	Management Improvements							
PHA-Wide	Staff training	1408		$2,000.00				
PHA-Wide	Update Agency Plan	1408		$6,000.00				
PHA-Wide	Software	1408		$2,000.00				
	Subtotal 1408			**$10,000.00**				
	Fees and Costs							
PHA-Wide	Advertisements/Other fees	1430		$2,500.00				
PHA-Wide	A and E Fees	1430		$17,500.00				
	Subtotal 1430			**$20,000.00**				

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part II: Supporting Pages								
PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15P00650110 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2010		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Site Improvements							
WV6-1	Landscaping	1450	LS	$2,000.00				
WV6-1	Sidewalk repair	1450	LS	$2,000.00				
WV6-2	Landscaping	1450	LS	$2,000.00				
WV6-2	Sidewalk repair	1450	LS	$2,000.00				
WV6-3	Landscaping	1450	LS	$2,000.00				
WV6-3	Sidewalk repair	1450	LS	$1,000.00				
WV6-4	Landscaping	1450	LS	$2,000.00				
WV6-4	Sidewalk repair	1450	LS	$2,000.00				
WV6-5	Landscaping	1450	LS	$2,000.00				
WV6-5	Sidewalk repair	1450	LS	$2,000.00				
	Subtotal 1450			**$19,000.00**				
	Dwelling Structures							
WV6-1	Replace window glass	1460	LS	$7,000.00				
WV6-2	Replace window glass	1460	LS	$7,000.00				
WV6-3	Replace window glass	1460	LS	$6,000.00				
WV6-4	Upgrade fire sprinkler system	1460	LS	$335,803.00				
	(Continued on the next page)							

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part II: Supporting Pages								
PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15P00650110 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2010		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Dwelling Structures (cont.)							
WV6-4	Replace drapes	1460	LS	$5,000.00				
WV6-4	Replace floor tile	1460	LS	$5,000.00				
WV6-4	Replace window glass	1460	LS	$2,000.00				
WV6-5	Replace drapes	1460	LS	$5,000.00				
WV6-5	Replace floor tile	1460	LS	$5,000.00				
WV6-5	Replace window glass	1460	LS	$2,000.00				
	Subtotal 1460			**$379,803.00**				
	CFP Total			**$450,439.00**				

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Martinsburg Housing Authority					**Federal FFY of Grant:** 2010
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Name/Number: Martinsburg /WV006			Locality (Martinsburg/Berkeley Co., West Virginia)		☒**Original 5-Year Plan** ☐**Revision No:**	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
B.	Physical Improvements Subtotal	Annual Statement	$348,423.00	$309,000.00	$429,227.00	$366,000.00
C.	Management Improvements		$12,500.00	$12,000.00	$12,000.00	$37,500.00
D.	PHA-Wide Non-dwelling Structures and Equipment		$15,000.00	$55,000.00	$0.00	$10,000.00
E.	Administration		$0.00	$0.00	$0.00	$0.00
F.	Other		$10,000.00	$10,000.00	$7,500.00	$0.00
G.	Operations		$64,516.00	$64,439.00	$1,712.00	$36,939.00
H.	Demolition		$0.00	$0.00	$0.00	$0.00
I.	Development		$0.00	$0.00	$0.00	$0.00
J.	Capital Fund Financing – Debt Service		$0.00	$0.00	$0.00	$0.00
K.	Total CFP Funds		$450,439.00	$450,439.00	$450,439.00	$450,439.00
L.	Total Non-CFP Funds		$0.00	$0.00	$0.00	$0.00
M.	Grand Total		$450,439.00	$450,439.00	$450,439.00	$450,439.00

Part I: Summary (Continuation)

PHA Name/Number: Matinsburg/WV006			Locality (Martinsburg/Berkeley Co., West Virginia)		☒ **Original 5-Year Plan** ☐ **Revision No:**	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
	PHA-Wide	Annual Statement	$92,016.00	$91,439.00	$21,212.00	$84,439.00
	WV6-1		$42,000.00	$11,000.00	$42,000.00	$7,000.00
	WV6-2		$99,423.00	$10,000.00	$43,600.00	$31,000.00
	WV6-3		$69,000.00	$9,000.00	$10,400.00	$41,000.00
	WV6-4		$129,000.00	$39,000.00	$181,500.00	$146,000.00
	WV6-5		$19,000.00	$290,000.00	$151,727.00	$141,000.00

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011			Work Statement for Year 3 FFY 2012		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
	Operations/PHA-Wide			**Operations/PHA-Wide**		
	Operations		$64,516.00	Operations		$64,439.00
	Subtotal 1406		**$64,516.00**	**Subtotal 1406**		**$64,439.00**
See						
Annual	**Management Improvements/PHA-Wide**			**Management Improvements/PHA-Wide**		
Statement	Staff training		$1,500.00	Staff training		$1,500.00
	Annual Plan		$6,000.00	Annual Plan		$5,500.00
	Security		$5,000.00	Security		$5,000.00
	Subtotal 1408		**$12,500.00**	**Subtotal 1408**		**$12,000.00**
	Fees and Costs/PHA-Wide			**Fees and Costs/PHA-Wide**		
	Advertisements/Other fees		$2,500.00	Advertisements/Other fees		$2,500.00
	A and E Fees		$7,500.00	A and E Fees		$7,500.00
	Subtotal 1430		**$10,000.00**	**Subtotal 1430**		**$10,000.00**
	Site Improvements			**Site Improvements**		
	Landscaping at WV6-1		$2,000.00	Landscaping at WV6-1		$2,000.00
	Landscaping at WV6-2		$2,000.00	Landscaping at WV6-2		$1,000.00
	Landscaping at WV6-3		$2,000.00	Landscaping at WV6-3		$1,000.00
	Landscaping at WV6-4		$2,000.00	Landscaping at WV6-4		$2,000.00
	Landscaping at WV6-5		$2,000.00	**Subtotal 1450**		**$6,000.00**
	Subtotal 1450		**$10,000.00**			
	Subtotal of Estimated Cost		**See Next Page**	**Subtotal of Estimated Cost**		**See Next Page**

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011			Work Statement for Year 3 FFY 2012		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
	Dwelling Structures			**Dwelling Structures**		
	Replace bathtubs at WV6-1		$40,000.00	Replace floor tile at WV6-1		$2,000.00
	Replace bathtubs at WV6-2		$40,000.00	Replace window glass at WV6-1		$2,000.00
See	Replace window glass at WV6-2		$10,000.00	Replace kitchen cabinets at WV6-1		$5,000.00
Annual	Replace gas lines at WV6-2		$47,423.00	Replace floor tile at WV6-2		$2,000.00
Statement	Replace roofing at WV6-3		$65,000.00	Replace window glass at WV6-2		$2,000.00
	Replace window glass at WV6-3		$2,000.00	Replace kitchen cabinets at WV6-2		$5,000.00
	Replace roofing at WV6-4		$120,000.00	Replace floor tile at WV6-3		$2,000.00
	Replace window glass at WV6-4		$2,000.00	Replace window glass at WV6-3		$1,000.00
	Replace floor tile at WV6-4		$5,000.00	Replace kitchen cabinets at WV6-3		$5,000.00
	Replace floor tile at WV6-5		$5,000.00	Replace window glass at WV6-4		$2,000.00
	Replace window glass at WV6-5		$2,000.00	Replace carpet at WV6-4		$5,000.00
	Subtotal 1460		**$338,423.00**	Replace window treatments at WV6-4		$5,000.00
				Roof replacement at WV6-5		$250,000.00
	Non-Dwelling Equipment			Replace floor tile at WV6-5		$5,000.00
	Non-dwelling equipment/PHA-Wide		$5,000.00	Replace carpet at WV6-5		$5,000.00
	Replace trash compactor/dumpster at WV6-5		$10,000.00	Replace window treatments at WV6-5		$5,000.00
	Subtotal 1475		**$15,000.00**	**Subtotal 1460**		**$303,000.00**
				Non-Dwelling Equipment		
				Non-Dwelling Equipment/PHA-Wide		$5,000.00
				Fire alarm upgrade at WV6-4		$25,000.00
				Fire alarm upgrade at WV6-5		$25,000.00
				Subtotal 1475		**$55,000.00**
	Subtotal of Estimated Cost		**$450,439.00**	**Subtotal of Estimated Cost**		**$450,439.00**

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2010	Work Statement for Year 4 FFY 2013			Work Statement for Year 5 FFY 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
	Operations/PHA-Wide			**Operations/PHA-Wide**		
	Operations		$1,712.00	Operations		$36,939.00
	Subtotal 1406		**$1,712.00**	**Subtotal 1406**		**$36,939.00**
See						
Annual	**Management Improvements/PHA-Wide**			**Management Improvements/PHA-Wide**		
Statement	Staff training		$1,500.00	Staff training		$1,500.00
	Annual Plan		$5,500.00	Annual Plan		$6,000.00
	Software		$5,000.00	Security		$30,000.00
	Subtotal 1408		**$12,000.00**	**Subtotal 1408**		**$37,500.00**
	Fees and Costs/PHA-Wide			**Fees and Costs/PHA-Wide**		
	Advertisements/Other fees		$0.00	Advertisements/Other fees		$0.00
	A and E Fees		$7,500.00	A and E Fees		$0.00
	Subtotal 1430		**$7,500.00**	**Subtotal 1430**		**$0.00**
	Site Improvements			**Site Improvements**		
	Landscaping at WV6-1		$1,000.00	Landscaping at WV6-1		$2,000.00
	Landscaping at WV6-2		$1,000.00	Reseal service drive at WV6-1		$3,000.00
	Landscaping at WV6-3		$1,000.00	Landscaping at WV6-2		$1,000.00
	Landscaping at WV6-4		$1,000.00	Reseal service drive at WV6-2		$3,000.00
	Landscaping at WV6-5		$1,000.00	Landscaping at WV6-3		$2,000.00
	Subtotal 1450		**$5,000.00**	Install concrete pads for dumpsters at WV6-3		$10,000.00
				Reseal service drive at WV 6-3		$2,000.00
				Landscaping at WV6-4		$1,000.00
				Reseal service drive at WV6-4		$3,000.00
				Landscaping at WV6-5		$1,000.00
				Reseal service drive at WV6-5		$3,000.00
				Repair stone wall at WV6-5		$5,000.00
				Subtotal 1450		**$36,000.00**
	Subtotal of Estimated Cost		**See Next Page**	**Subtotal of Estimated Cost**		**See Next Page**

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2010	Work Statement for Year 4 FFY 2013			Work Statement for Year 5 FFY 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
	Dwelling Structures			**Dwelling Structures**		
	Replace window glass at WV6-1		$1,000.00	Replace window glass at WV6-1		$2,000.00
	Replace window glass at WV6-2		$1,000.00	Replace kitchen cabinets at WV6-2		$25,000.00
See	Replace window glass at WV6-3		$1,000.00	Replace window glass at WV6-2		$2,000.00
Annual	Replace window glass at WV6-4		$1,000.00	Replace kitchen cabinets at WV6-3		$25,000.00
Statement	Replace kitchen cabinets/sinks/faucets/garbage disposals at WV6-4		$100,000.00	Replace window glass at WV6-3		$2,000.00
	Replace window glass at WV6-5		$1,000.00	Replace window glass at WV6-4		$2,000.00
	Replace kitchen cabinets/sinks/faucets/garbage disposals at WV6-5		$70,227.00	Replace sinks/faucets/garbage disposals at WV6-4		$140,000.00
	Subtotal 1460		**$175,227.00**	Replace window glass at WV6-5		$2,000.00
				Replace kitchen cabinets at WV6-5		$130,000.00
	Dwelling Equipment			**Subtotal 1460**		**$330,000.00**
	Replace air conditioning units at WV6-1		$25,000.00			
	Replace stoves at WV6-1		$15,000.00	**Non-Dwelling Equipment/PHA-Wide**		
	Replace air conditioning units at WV6-2		$26,000.00	Non-Dwelling Equipment		$10,000.00
	Replace stoves at WV6-2		$15,600.00	**Subtotal 1475**		**$10,000.00**
	Replace stoves at WV6-3		$8,400.00			
	Replace air conditioning units at WV6-4		$47,700.00			
	Replace stoves at WV6-4		$31,800.00			
	Replace air conditioning units at WV6-5		$47,700.00			
	Replace stoves at WV6-5		$31,800.00			
	Subtotal 1465.1		**$249,000.00**			
	Subtotal of Estimated Cost		**$450,439.00**	**Subtotal of Estimated Cost**		**$450,439.00**

Part I: Summary

PHA Name: Martinsburg Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: WV15P00650109 Replacement Housing Factor Grant No: Date of CFFP: _____:	**FFY of Grant:** 2009 **FFY of Grant Approval:** 2009

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**
☒ **Performance and Evaluation Report for Period Ending:** 12/31/09 ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	$0.00		$0.00	$0.00
3	1408 Management Improvements	$10,000.00		$0.00	$0.00
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$0.00		$0.00	$0.00
8	1440 Site Acquisition				
9	1450 Site Improvement	$90,000.00		$0.00	$0.00
10	1460 Dwelling Structures	$273,139.00		$0.00	$0.00
11	1465.1 Dwelling Equipment - Nonexpendable	$2,300.00		$0.00	$0.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	$75,000.00		$0.00	$0.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2- 19)	$450,439.00		$0.00	$0.00
21	Amount of Line 20 Related to LBP Activities				
22	Amount of Line 20 Related to Section 504 Activities				
23	Amount of Line 20 Related to Security - Soft Costs				
24	Amount of Line 20 Related to Security - Hard Costs				
25	Amount of Line 20 Related to Energy Conservation Measures				

Part I: Summary

PHA Name: Martinsburg Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: WV15P00650109 Replacement Housing Factor Grant No: Date of CFFP: _____:	**FFY of Grant:** 2009 **FFY of Grant Approval:** 2009

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**
☒ **Performance and Evaluation Report for Period Ending:** 12/31/09 ☐ **Final Performance and Evaluation Report**

Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost** [1]	
		Original	**Revised** [2]	**Obligated**	**Expended**
	Signature of Executive Director **Date**		**Signature of Public Housing Director** **Date**		

[1] To be completed for the Performance and Evaluation Report
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15P00650109 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2009		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Operations							
PHA-Wide	Operations	1406		$0.00		$0.00	$0.00	
	Subtotal 1406			**$0.00**		**$0.00**	**$0.00**	
	Management Improvements							
PHA-Wide	Staff training	1408		$2,000.00		$0.00	$0.00	
PHA-Wide	Update Agency Plan	1408		$6,000.00		$0.00	$0.00	
PHA-Wide	Software	1408		$2,000.00		$0.00	$0.00	
	Subtotal 1408			**$10,000.00**		**$0.00**	**$0.00**	
	Fees and Costs							
PHA-Wide	Advertisements/Other fees	1430		$0.00		$0.00	$0.00	
PHA-Wide	A and E Fees	1430		$0.00		$0.00	$0.00	
	Subtotal 1430			**$0.00**		**$0.00**	**$0.00**	
	Site Improvements							
WV6-1	Landscaping	1450	LS	$3,000.00		$0.00	$0.00	
WV6-1	Canopies	1450	LS	$25,000.00		$0.00	$0.00	
	(continued on the next page)							

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part II: Supporting Pages								
PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15P00650109 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2009		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Site Improvements (cont.)							
WV6-1	Sidewalk repair	1450	LS	$5,000.00		$0.00	$0.00	
WV6-2	Landscaping	1450	LS	$3,000.00		$0.00	$0.00	
WV6-2	Canopies	1450	LS	$25,000.00		$0.00	$0.00	
WV6-2	Sidewalk repair	1450	LS	$5,000.00		$0.00	$0.00	
WV6-3	Landscaping	1450	LS	$3,000.00		$0.00	$0.00	
WV6-3	Sidewalk repair	1450	LS	$5,000.00		$0.00	$0.00	
WV6-4	Landscaping	1450	LS	$3,000.00		$0.00	$0.00	
WV6-4	Sidewalk repair	1450	LS	$5,000.00		$0.00	$0.00	
WV6-5	Landscaping	1450	LS	$3,000.00		$0.00	$0.00	
WV6-5	Sidewalk repair	1450	LS	$5,000.00		$0.00	$0.00	
	Subtotal 1450			**$90,000.00**		**$0.00**	**$0.00**	
	Dwelling Structures							
WV6-1	Carbon monoxide detectors	1460		$2,500.00		$0.00	$0.00	
WV6-1	Smoke detectors	1460		$1,000.00		$0.00	$0.00	
WV6-1	Replace water heaters	1460		$28,000.00		$0.00	$0.00	
WV6-1	Kitchen cabinets	1460		$5,000.00		$0.00	$0.00	
WV6-2	Carbon monoxide detectors	1460		$2,600.00		$0.00	$0.00	
	(continued on the next page)							

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15P00650109 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2009		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Dwelling Structures (cont.)							
WV6-2	Smoke detectors	1460		$1,100.00		$0.00	$0.00	
WV6-2	Bathroom modernization including showers, sinks, and drain lines	1460		$0.00		$0.00	$0.00	
WV6-3	Replace water heaters	1460		$30,000.00		$0.00	$0.00	
WV6-3	Kitchen cabinets	1460		$5,000.00		$0.00	$0.00	
WV6-3	Carbon monoxide detectors	1460		$500.00		$0.00	$0.00	
WV6-3	Smoke detectors	1460		$300.00		$0.00	$0.00	
WV6-3	Replace water heaters	1460		$12,000.00		$0.00	$0.00	
WV6-4	Replace drain lines	1460		$45,139.00		$0.00	$0.00	
WV6-4	Replace window glass	1460		$10,000.00		$0.00	$0.00	
WV6-4	Replace floor tile	1460		$10,000.00		$0.00	$0.00	
WV6-4	Upgrade outside/exit lighting	1460		$20,000.00		$0.00	$0.00	
WV6-4	Replace apartment lighting	1460		$30,000.00		$0.00	$0.00	
WV6-4	Replace window glass	1460		$10,000.00		$0.00	$0.00	
WV6-4	Replace floor tile	1460		$10,000.00		$0.00	$0.00	
WV6-4	Upgrade outside/exit lighting	1460		$20,000.00		$0.00	$0.00	
WV6-4	Replace apartment lighting	1460		$30,000.00		$0.00	$0.00	
	Subtotal 1460			**$273,139.00**		**$0.00**	**$0.00**	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15P00650109 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2009		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Dwelling Equipment							
WV6-1	Refrigerators	1465.1		$0.00		$0.00	$0.00	
WV6-1	Fire extinguishers	1465.1		$1,000.00		$0.00	$0.00	
WV6-2	Refrigerators	1465.1		$0.00		$0.00	$0.00	
WV6-2	Fire extinguishers	1465.1		$1,000.00		$0.00	$0.00	
WV6-3	Refrigerators	1465.1		$0.00		$0.00	$0.00	
WV6-3	Fire extinguishers	1465.1		$300.00		$0.00	$0.00	
	Subtotal 1465.1			**$2,300.00**		**$0.00**	**$0.00**	
	Non-Dwelling Equipment							
PHA-Wide	Non-dwelling equipment	1475		$30,000.00		$0.00	$0.00	
PHA-Wide	Maintenance vehicle	1475		$35,000.00		$0.00	$0.00	
PHA-Wide	Maintenance equipment	1475		$10,000.00		$0.00	$0.00	
WV6-4	Lobby furniture	1475		$0.00		$0.00	$0.00	
WV6-5	Lobby furniture	1475		$0.00		$0.00	$0.00	
	Subtotal 1475			**$75,000.00**		**$0.00**	**$0.00**	
	CFP Totals			**$450,439.00**		**$0.00**	**$0.00**	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Martinsburg Housing Authority					**Federal FFY of Grant:** 2009
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Name: Martinsburg Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: WV15S00650109 Replacement Housing Factor Grant No: Date of CFFP: _____:	**FFY of Grant:** 2009 **FFY of Grant Approval:** 2009

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no:** 1)
☒ **Performance and Evaluation Report for Period Ending: 12/31/09** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	**Revised** [2]	**Obligated**	**Expended**
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$0.00	$38,451.15	$37,360.00	$0.00
8	1440 Site Acquisition				
9	1450 Site Improvement	$0.00	$6,899.38	$0.00	$0.00
10	1460 Dwelling Structures	$571,164.00	$488,228.47	$0.00	$0.00
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	$0.00	$37,585.00	$0.00	$0.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2- 19)	$571,164.00	$571,164.00	$37,360.00	$0.00
21	Amount of Line 20 Related to LBP Activities				
22	Amount of Line 20 Related to Section 504 Activities				
23	Amount of Line 20 Related to Security - Soft Costs				
24	Amount of Line 20 Related to Security - Hard Costs				
25	Amount of Line 20 Related to Energy Conservation Measures				

Part I: Summary				
PHA Name: Martinsburg Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: WV15S00650109 Replacement Housing Factor Grant No: Date of CFFP: _____:			**FFY of Grant:** 2009 **FFY of Grant Approval:** 2009
Type of Grant ☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no:** 1) ☒ **Performance and Evaluation Report for Period Ending: 12/31/09** ☐ **Final Performance and Evaluation Report**				
Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost** [1]
		Original	**Revised** [2]	**Obligated**	**Expended**
	Signature of Executive Director **Date**		**Signature of Public Housing Director** **Date**		

[1] To be completed for the Performance and Evaluation Report
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15S00650109 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2009		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Fees and Costs							
PHA-Wide	Advertisements/Other fees	1430		$0.00	$1,091.15	$0.00	$0.00	
PHA-Wide	A and E Fees	1430		$0.00	$37,360.00	$37,360.00	$0.00	
	Subtotal 1430			**$0.00**	**$38,451.15**	**$37,360.00**	**$0.00**	
	Site Improvements							
PHA-Wide	Mulch	1450		$0.00	$2,000.00	$0.00	$0.00	
PHA-Wide	Landscaping/fertilizer	1450		$0.00	$2,499.38	$0.00	$0.00	
WV6-2	Sidewalk repairs	1450		$0.00	$2,400.00	$0.00	$0.00	
	Subtotal 1450			**$0.00**	**$6,899.38**	**$0.00**	**$0.00**	
	Dwelling Structures							
WV6-2	Complete bathroom modernization	1460		$571,164.00	$462,116.00	$0.00	$0.00	
WV6-2	Replace water heaters	1460		$0.00	$2,468.72	$0.00	$0.00	
WV6-4	Replace floor tile	1460		$0.00	$10,059.00	$0.00	$0.00	
WV6-4	Vinyl/base/adhesive	1460		$0.00	$3,614.75	$0.00	$0.00	
WV6-5	Replace window glass	1460		$0.00	$9,970.00	$0.00	$0.00	
	Subtotal 1460			**$571,164.00**	**$488,228.47**	**$0.00**	**$0.00**	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15S00650109 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2009		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Non-Dwelling Equipment							
PHA-Wide	Maintenance vehicle	1475		$0.00	$37,585.00	$0.00	$0.00	
	Subtotal 1475			**$0.00**	**$37,585.00**	**$0.00**	**$0.00**	
	ARRA Totals			**$571,164.00**	**$571,164.00**	**$37,360.00**	**$0.00**	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Martinsburg Housing Authority					**Federal FFY of Grant:** 2009
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Name: Martinsburg Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: WV15P00650108 Replacement Housing Factor Grant No: Date of CFFP: _____:	**FFY of Grant:** 2008 **FFY of Grant Approval:** 2008

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no:** 2)
☒ **Performance and Evaluation Report for Period Ending:** 12/31/09 ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	**Revised** [2]	**Obligated**	**Expended**
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	$46,614.00	$0.00	$0.00	$0.00
3	1408 Management Improvements	$12,000.00	$525.00	$525.00	$525.00
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$10,000.00	$0.00	$0.00	$0.00
8	1440 Site Acquisition				
9	1450 Site Improvement	$50,000.00	$253.00	$253.00	$253.00
10	1460 Dwelling Structures	$252,613.00	$449,127.94	$14,419.69	$12,165.69
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	$80,000.00	$1,321.06	$1,321.06	$1,321.06
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2- 19)	$451,227.00	$451,227.00	$16,518.75	$14,264.75
21	Amount of Line 20 Related to LBP Activities				
22	Amount of Line 20 Related to Section 504 Activities				
23	Amount of Line 20 Related to Security - Soft Costs				
24	Amount of Line 20 Related to Security - Hard Costs				
25	Amount of Line 20 Related to Energy Conservation Measures				

Part I: Summary

PHA Name: Martinsburg Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: WV15P00650108 Replacement Housing Factor Grant No: Date of CFFP: _____:	**FFY of Grant:** 2008 **FFY of Grant Approval:** 2008

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no:** 2)
☒ **Performance and Evaluation Report for Period Ending:** 12/31/09 ☐ **Final Performance and Evaluation Report**

Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost** [1]	
		Original	**Revised** [2]	**Obligated**	**Expended**
	Signature of Executive Director **Date**		**Signature of Public Housing Director** **Date**		

[1] To be completed for the Performance and Evaluation Report
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15P00650108 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Operations							
PHA-Wide	Operations	1406		$46,614.00	$0.00	$0.00	$0.00	
	Subtotal 1406			**$46,614.00**	**$0.00**	**$0.00**	**$0.00**	
	Management Improvements							
PHA-Wide	Staff training	1408		$1,500.00	$0.00	$0.00	$0.00	
PHA-Wide	Update Agency Plan	1408		$5,500.00	$0.00	$0.00	$0.00	
PHA-Wide	Software	1408		$5,000.00	$525.00	$525.00	$525.00	
	Subtotal 1408			**$12,000.00**	**$525.00**	**$525.00**	**$525.00**	
	Fees and Costs							
PHA-Wide	Advertisements/Other fees	1430		$2,500.00	$0.00	$0.00	$0.00	
PHA-Wide	A and E Fees	1430		$7,500.00	$0.00	$0.00	$0.00	
	Subtotal 1430			**$10,000.00**	**$0.00**	**$0.00**	**$0.00**	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15P00650108 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Site Improvements							
WV6-1	Canopies	1450	LS	$25,000.00	$0.00	$0.00	$0.00	
WV6-2	Canopies	1450	LS	$25,000.00	$0.00	$0.00	$0.00	
WV6-2	Landscaping	1450	LS	$0.00	$253.00	$253.00	$253.00	
	Subtotal 1450			**$50,000.00**	**$253.00**	**$253.00**	**$253.00**	
	Dwelling Structures							
WV6-1	Repair brick work	1460		$32,613.00	$0.00	$0.00	$0.00	
WV6-1	Replace drain lines	1460		$45,000.00	$0.00	$0.00	$0.00	
WV6-1	Replace water heaters	1460		$28,000.00	$0.00	$0.00	$0.00	
WV6-1	Replace window glass	1460		$0.00	$2,552.01	$2,552.01	$2,552.01	
WV6-1	Bathroom renovations	1460		$0.00	$434,708.25	$0.00	$0.00	
WV6-1	Replace air conditioners	1460		$0.00	$2,254.00	$2,254.00	$0.00	
WV6-2	Replace drain lines	1460		$45,000.00	$0.00	$0.00	$0.00	
WV6-2	Replace water heaters	1460		$30,000.00	$0.00	$0.00	$0.00	
WV6-2	Replace window glass	1460		$0.00	$3,849.00	$3,849.00	$3,849.00	
	(continued on the next page)							

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15P00650108 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Dwelling Structures (cont.)							
WV6-3	Replace water heaters	1460		$12,000.00	$0.00	$0.00	$0.00	
WV6-3	Flagpole/sidewalk repair	1460		$0.00	$3,192.18	$3,192.18	$3,192.18	
WV6-4	Replace apartment lighting	1460		$30,000.00	$1,672.50	$1,672.50	$1,672.50	
WV6-5	Replace apartment lighting	1460		$30,000.00	$0.00	$0.00	$0.00	
WV6-5	Sidewalks/curbs	1460		$0.00	$900.00	$900.00	$900.00	
	Subtotal 1460			**$252,613.00**	**$449,127.94**	**$14,419.69**	**$12,165.69**	
	Non-Dwelling Equipment							
PHA-Wide	Non-Dwelling equipment	1475		$30,000.00	$0.00	$0.00	$0.00	
PHA-Wide	Maintenance vehicle	1475		$35,000.00	$0.00	$0.00	$0.00	
PHA-Wide	Maintenance equipment	1475		$15,000.00	$1,321.06	$1,321.06	$1,321.06	
	Subtotal 1475			**$80,000.00**	**$1,321.06**	**$1,321.06**	**$1,321.06**	
	CFP Totals			**$451,227.00**	**$451,227.00**	**$16,518.75**	**$14,264.75**	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Martinsburg Housing Authority					**Federal FFY of Grant:** 2008
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Martinsburg Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: WV15P00650107 Replacement Housing Factor Grant No: Date of CFFP: _____:	**FFY of Grant:** 2007 **FFY of Grant Approval:** 2007

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no: 2)**
☒ **Performance and Evaluation Report for Period Ending: 12/31/09** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	**Revised** [2]	**Obligated**	**Expended**
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	$0.00	$0.00	$0.00	$0.00
3	1408 Management Improvements	$96,130.93	$122,338.44	$122,338.44	$119,340.44
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$492.46	$829.06	$829.06	$829.06
8	1440 Site Acquisition				
9	1450 Site Improvement	$117,739.14	$141,380.22	$141,380.22	$141,380.22
10	1460 Dwelling Structures	$154,251.20	$100,014.24	$100,014.24	$100,014.24
11	1465.1 Dwelling Equipment - Nonexpendable	$0.00	$1,975.00	$1,975.00	$1,975.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	$50,000.00	$52,077.04	$52,077.04	$52,077.04
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2- 19)	$418,614.00	$418,614.00	$418,614.00	$415,616.00
21	Amount of Line 20 Related to LBP Activities				
22	Amount of Line 20 Related to Section 504 Activities				
23	Amount of Line 20 Related to Security - Soft Costs				
24	Amount of Line 20 Related to Security - Hard Costs				
25	Amount of Line 20 Related to Energy Conservation Measures				

Part I: Summary				
PHA Name: Martinsburg Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: WV15P00650107 Replacement Housing Factor Grant No: Date of CFFP: _____:			**FFY of Grant:** 2007 **FFY of Grant Approval:** 2007
Type of Grant ☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☒ **Revised Annual Statement (revision no: 2)** ☒ **Performance and Evaluation Report for Period Ending: 12/31/09** ☐ **Final Performance and Evaluation Report**				
Line	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost** [1]
		Original	**Revised** [2]	**Obligated**	**Expended**
	Signature of Executive Director **Date**		**Signature of Public Housing Director** **Date**		

[1] To be completed for the Performance and Evaluation Report
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[3] PHAs with undr 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15P00650107 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Operations							
PHA-Wide	Operations	1406		$0.00	$0.00	$0.00	$0.00	
	Subtotal 1406			**$0.00**	**$0.00**	**$0.00**	**$0.00**	
	Management Improvements							
PHA-Wide	Management improvements	1408		$0.00	$0.00	$0.00	$0.00	
PHA-Wide	Agency Plan and Physical Needs Assessment	1408		$11,995.00	$11,995.00	$11,995.00	$8,997.00	
PHA-Wide	Software	1408		$2,679.00	$2,679.00	$2,679.00	$2,679.00	
PHA-Wide	Security	1408		$43,413.43	$58,474.34	$58,474.34	$58,474.34	
PHA-Wide	Digital recorders	1408		$28,000.00	$27,795.00	$27,795.00	$27,795.00	
PHA-Wide	Cameras	1408		$0.00	$11,351.60	$11,351.60	$11,351.60	
WV6-5	Security locks	1408		$10,043.50	$10,043.50	$10,043.50	$10,043.50	
	Subtotal 1408			**$96,130.93**	**$122,338.44**	**$122,338.44**	**$119,340.44**	
	Fees and Costs							
PHA-Wide	Fees and Costs	1430		$0.00	$0.00	$0.00	$0.00	
PHA-Wide	Advertisements	1430		$302.46	$639.06	$639.06	$639.06	
PHA-Wide	A and E Fees	1430		$190.00	$190.00	$190.00	$190.00	
	Subtotal 1430			**$492.46**	**$829.06**	**$829.06**	**$829.06**	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15P00650107 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Site Improvements							
WV6-1	Landscaping	1450		$1,989.40	$1,989.40	$1,989.40	$1,989.40	
WV6-1	Exterior brick repair	1450		$84,000.00	$84,000.00	$84,000.00	$84,000.00	
WV6-2	Landscaping	1450		$2,000.00	$899.10	$899.10	$899.10	
WV6-2	Sidewalk/curb repair	1450		$0.00	$1,100.00	$1,100.00	$1,100.00	
WV6-3	Landscaping	1450		$1,500.00	$0.00	$0.00	$0.00	
WV6-4	Landscaping	1450		$1,500.00	$688.74	$688.74	$688.74	
WV6-4	Pavilion	1450		$0.00	$0.00	$0.00	$0.00	
WV6-4	Automatic entrance doors	1450		$0.00	$13,375.00	$13,375.00	$13,375.00	
WV6-4	Flagpole	1450		$0.00	$3,181.33	$3,181.33	$3,181.33	
WV6-5	Landscaping	1450		$1,750.00	$1,752.43	$1,752.43	$1,752.43	
WV6-5	Parking lot	1450		$25,000.00	$17,830.00	$17,830.00	$17,830.00	
WV6-5	Pavilion	1450		$0.00	$0.00	$0.00	$0.00	
WV6-5	Flagpole	1450		$0.00	$3,189.22	$3,189.22	$3,189.22	
WV6-5	Automatic entrance doors	1450		$0.00	$13,375.00	$13,375.00	$13,375.00	
	Subtotal 1450			**$117,739.14**	**$141,380.22**	**$141,380.22**	**$141,380.22**	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15P00650107 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Dwelling Structures							
WV6-1	Replace window glass	1460		$5,000.00	$5,000.00	$5,000.00	$5,000.00	
WV6-1	Commodes	1460		$8,897.00	$8,897.00	$8,897.00	$8,897.00	
WV6-1	Range hoods	1460		$0.00	$1,975.00	$1,975.00	$1,975.00	
WV6-2	Replace window glass	1460		$2,637.06	$3,939.06	$3,939.06	$3,939.06	
WV6-2	Key card system	1460		$0.00	$0.00	$0.00	$0.00	
WV6-2	Commodes	1460		$10,582.00	$12,132.88	$12,132.88	$12,132.88	
WV6-2	Wheelchair ramp/ADA compliance	1460		$0.00	$1,114.00	$1,114.00	$1,114.00	
WV6-3	Replace window glass	1460		$2,000.00	$2,000.00	$2,000.00	$2,000.00	
WV6-3	Commodes	1460		$3,566.00	$3,566.00	$3,566.00	$3,566.00	
WV6-3	Lighting	1460		$3,232.87	$4,181.77	$4,181.77	$4,181.77	
WV6-3	Carbon monoxide detectors	1460		$0.00	($626.70)	($626.70)	($626.70)	
WV6-4	Outside lights/LED	1460		$34,539.17	$0.00	$0.00	$0.00	
WV6-4	Commodes	1460		$1,034.00	$0.00	$0.00	$0.00	
WV6-4	Floor tile	1460		$10,000.00	$1,553.64	$1,553.64	$1,553.64	
WV6-4	Replace window glass	1460		$2,500.00	$2,500.00	$2,500.00	$2,500.00	
WV6-4	Drapes	1460		$12,855.15	$12,855.15	$12,855.15	$12,855.15	
WV6-4	Antenna	1460		$18,600.00	$21,787.61	$21,787.61	$21,787.61	
WV6-4	Weatherization	1460		$341.08	$341.08	$341.08	$341.08	
	(continued on the next page)							

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15P00650107 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Dwelling Structures (cont.)							
WV6-5	Commodes	1460		$516.88	$0.00	$0.00	$0.00	
WV6-5	Floor tile	1460		$10,000.00	$12,420.60	$12,420.60	$12,420.60	
WV6-5	Replace window glass	1460		$2,500.00	$4,702.15	$4,702.15	$4,702.15	
WV6-5	TV antenna	1460		$450.00	$450.00	$450.00	$450.00	
WV6-5	Flagpole	1460		$5,000.00	$0.00	$0.00	$0.00	
WV6-5	Generator	1460		$20,000.00	$0.00	$0.00	$0.00	
WV6-5	Light shades	1460		$0.00	$1,225.00	$1,225.00	$1,225.00	
	Subtotal 1460			**$154,251.21**	**$100,014.24**	**$100,014.24**	**$100,014.24**	
	Dwelling Equipment							
WV6-2	Range Hoods	1465		$0.00	$1,975.00	$1,975.00	$1,975.00	
	Subtotal 1465.1			**$0.00**	**$1,975.00**	**$1,975.00**	**$1,975.00**	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part II: Supporting Pages

PHA Name: Martinsburg Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: WV15P00650107 CFFP (Yes/No): Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
	Non-Dwelling Equipment							
PHA-Wide	Non-Dwelling equipment	1475		$5,000.00	$1,354.31	$1,354.31	$1,354.31	
PHA-Wide	Maintenance vehicle	1475		$0.00	$0.00	$0.00	$0.00	
PHA-Wide	Maintenance equipments	1475		$5,000.00	$22,480.69	$22,480.69	$22,480.69	
WV6-4	Community furniture	1475		$20,000.00	$13,957.93	$13,957.93	$13,957.93	
WV6-5	Community furniture	1475		$20,000.00	$14,284.11	$14,284.11	$14,284.11	
	Subtotal 1475			**$50,000.00**	**$52,077.04**	**$52,077.04**	**$52,077.04**	
	CFP Totals			**$418,614.00**	**$418,614.00**	**$415,616.00**	**$415,616.00**	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement
[2] To be completed for the Performance and Evaluation Report

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Martinsburg Housing Authority					**Federal FFY of Grant:** 2007
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.