

<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
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<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Housing Authority of the City of Lockhart</u> PHA Code: <u>TX211</u> PHA Type: <input checked="" type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>10/2010</u>					
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>108</u> Number of HCV units: _____					
<b>3.0</b>	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only					
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)					
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
					PH	HCV
	PHA 1:					
	PHA 2:					
	PHA 3:					
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.					
<b>5.1</b>	<p><b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:</p> <p><i>The mission of the Housing Authority of the City of Lockhart is to ensure safe, decent, and affordable housing; create opportunities for residents' self-sufficiency and economic independence; and assure fiscal integrity of all programs.</i></p> <p><i>In order to achieve this mission, we will:</i></p> <ul style="list-style-type: none"> <li>▪ <i>Recognize the residents as our ultimate customer</i></li> <li>▪ <i>Improve HA management and service delivery efforts</i></li> <li>▪ <i>Provide oversight, assistance, and selective intervention by highly skilled, diagnostic, and results oriented field personnel</i></li> <li>▪ <i>Seek problem-solving partnerships with HA, residents, community, and government leadership</i></li> <li>▪ <i>Act as an agent for change when performance is unacceptable and we judge that local leadership is not capable or committed to improvement</i></li> <li>▪ <i>Efficiently apply limited HUD resources by using risk assessment techniques to focus our oversight efforts</i></li> </ul>					
<b>5.2</b>	<p><b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <ul style="list-style-type: none"> <li>• <i>Improve the quality of assisted housing: Continue to improve PHAS score – FYE 2006 score was 84, no score given since; HA continues to solicit and improve on receiving input from residents regarding HA activities; resourcefully use modernization, including ARRA CFP funds, to renovate and modernize public housing units, timely obligating and expending related CFP funds</i></li> <li>• <i>Continue to pursue available decent, safe, and affordable housing but limited staffing, time, funds, and other resources have constricted the process</i></li> <li>• <i>Continue to provide a safe and secure environment while improving the physical attributes of the property; HA uses CFP funds to improve livability and curb-appeal with replacement of windows w/Low E type, remodeling dwelling upon turnaround, security improvements, fencing, exterior and interior painting and repairs, and etc.</i></li> <li>• <i>Continue to ensure equal opportunity and affirmatively further fair housing and provide a suitable living environment while ensuring accessible housing to persons with all varieties of disabilities regardless of the unit size requirement by providing equal access to assisted housing regardless of race, color, religion, national origin, sex, familial status, or disability</i></li> </ul>					

**PHA Plan Update**

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

1. Eligibility, Selection, and Admissions Policies, including De-Concentration and Wait List Procedures – **Revised**
2. Financial Resources – **Revised:**

Sources	Planned \$	Planned Uses
<b>1. Federal Grants</b>		
a) Public Housing Operating Fund	\$220,000	On-going Program Operating Activity
b) Public Housing Capital Fund	\$143,874	See attached forms HUD-50075.1
c) Annual Contributions for Section 8 Tenant-Based Assistance		
<b>2. Prior Year Federal Grants (unobligated funds only) – as of 31Mar10</b>		
TX59-S211-50109 (ARRA)	-0-	See attached form HUD-50075.1
TX59-P211-50109	\$115,117	See attached form HUD-50075.1
<b>3. Public Housing Dwelling Rental Income</b>		
	\$270,000	On-going Program Operating Activity
<b>Total Resources</b>	\$748,991	

3. Rent Determination – **Revised for EIV**
4. Operation and Management – **No Change**
5. Grievance Procedures – **No Change**
6. Designated Housing for Elderly and Disabled Families – **No Change**
7. Community Service and Self-Sufficiency – **No Change**
8. Safety and Crime Prevention – **Revised for Public Law 109-162, Violence Against Women’s Act (VAWA) by resolution. All related VAWA changes, certifications, notices, lease amendments, and policy changes have been fully implemented and all related information was fully available to public during Agency Plan public notice period.**

*Note also that all documents required for public display were readily available during the Agency Plan public notice period.*

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

**Copies of the 5-Year and Annual PHA Plan may be obtained at the Main Offices of the Housing Authority located at:**

**809 Redwood  
Lockhart, Texas 78644**

6.0

7.0	<b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable. N/A</i>
8.0	<b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.
8.1	<b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. <b>Attached</b>
8.2	<b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. <b>Attached</b>
8.3	<b>Capital Fund Financing Program (CFFP).</b> <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. <i>N/A</i>

Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

Source:

9.0

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	20		29
Extremely low income <=30% AMI	16	80%	
Very low income (>30% but <=50% AMI)	4	20%	
Low income (>50% but <80% AMI)	0	0%	
Families with children	12	60%	
Elderly families	2	10%	
Families with Disabilities	6	30%	
Race/ ethnicity – <b>White/Hispanic</b>	11	55%	
Race/ethnicity – <b>White/Non-Hispanic</b>	7	35%	
Race/ethnicity – <b>Black</b>	2	10%	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	5	25%	
2 BR	9	45%	
3 BR	6	30%	
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
<b>HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?</b>			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Accessibility	Size	Location
Income <= 30% of AMI	592						
Income >30% but <=50% of AMI	315						
Income >50% but <80% of AMI	231						
Elderly	322						
Families with Disabilities	<b>N/A</b>						
Race/Ethnicity ( <b>Black</b> )	177						
Race/Ethnicity ( <b>Hispanic</b> )	556						

<p><b>9.1</b></p>	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <ol style="list-style-type: none"> <li>1. Employ effective maintenance and management policies to minimize the number of public housing units off-line</li> <li>2. Reduce turnover time for vacated public housing units</li> <li>3. Reduce time to renovate public housing units</li> <li>4. Undertake measures to ensure access of affordable housing among families assisted by PHA, regardless of unit size</li> <li>5. Pursue housing resources other than public housing or Section 8 vouchers</li> <li>6. Adopt rent policies to support and encourage work</li> <li>7. PHA is evaluating methods for financing future development activities</li> <li>8. Seek through new construction additional dwelling units suitable for elderly or disabled persons or families</li> <li>9. Modernize units to improve marketability and to improve client satisfaction</li> <li>10. Affirmatively market to local support services agencies assisting families with disabilities</li> <li>11. Provide outreach to supportive service providers</li> </ol>
<p><b>10.0</b></p>	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan. <b>See also Section 5.2 above and the following</b></p> <p>The Housing Authority of the City of Lockhart has attempted to address the missions and goals as stated in the 5 year plan however, limited resources, including the size of the agency, size of the community served, and available funding sources and other revenue streams have hampered its efforts to expand affordable housing in the area. In addition, the HA has limited available discretionary funds for predevelopment activities.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p><b>Substantial Deviation from the 5-Year Plan</b> – The HA will consider significant shifts from the current 5 Year Plan as “substantial deviation from the 5 Year Plan” and a fundamental shift in the agency’s long term goals &amp; objectives. Furthermore, any change to the Mission Statement, 50% deletion from or addition to the goals and objectives as a whole; and 50% or more decrease in the quantifiable measurement of any individual goal or objective.</p> <p><b>Significant Amendment or Modification to the Annual Plan</b> – The HA will consider significant amendments or modifications to the Annual Plan as follows:</p> <p>Until definitions of “Substantial Deviation” or “Significant Amendment of Modification” are defined by HUD, the PHA will accept the definitions as set forth in PIH Notice 99-51 which includes the following actions:</p> <ul style="list-style-type: none"> <li>• changes to rent or admissions policies or organization of the waiting list;</li> <li>• additions of non-emergency work items (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund; and</li> <li>• any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.</li> </ul> <p>In addition, an exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements; such changes will not be considered significant amendments to the Plan.</p>

<p><b>11.0</b></p>	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <ol style="list-style-type: none"> <li>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</li> <li>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</li> <li>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</li> <li>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</li> <li>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</li> <li>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</li> <li>(g) Challenged Elements</li> <li>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</li> <li>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</li> </ol>
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## **Instructions form HUD-50075**

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### **1.0 PHA Information**

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### **2.0 Inventory**

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### **3.0 Submission Type**

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### **4.0 PHA Consortia**

Check box if submitting a Joint PHA Plan and complete the table.

### **5.0 Five-Year Plan**

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

#### **PHA Plan Elements.** (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)  
**Note:** This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

**8.2 Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Annual Statement/Performance and Evaluation  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary  
 PHA Name *Housing Authority of the City of Lockhart*  
 Grant Type and Number  
 Capital Fund Program Grant No. *TX59-S211-50109*  
 Replacement Housing Factor Grant No.:  
 Date of CFFP:  
 Federal FY of Grant *2009*  
 FFY of Grant Approval *2009*

Line No.	Original Annual Statement	Performance and Evaluation Report for Period Ending	03/31/2010	Reserve for Disasters/Emergencies		Total Actual Cost	Revised Annual Statement #2
				Total Funds Approved	Final Performance and Evaluation Report		
	Summary by Development Account	Original	Revised	Obligated	Expended		
1	Total non-CFP Funds						
2	1406 Operations (may not exceed 20% of line 21)						
3	1408 Management Improvements						
4	1410 Administration (may not exceed 10% of line 21)	\$1,399	\$1,399	\$1,399	-0-		
5	1411 Audit						
6	1415 Liquidated Damages						
7	1430 Fees and Costs						
8	1440 Site Acquisition						
9	1450 Site Improvement	6,000	-0-	-0-	-0-		
10	1460 Dwelling Structures	164,400	170,400	170,400	-0-		
11	1465.1 Dwelling Equipment - Nonexpendable	11,890	11,890	11,890	-0-		
12	1470 Non-Dwelling Structures						
13	1475 Non-Dwelling Equipment						
14	1485 Demolition						
15	1492 Moving to Work Demonstration						
16	1495.1 Relocation Cost						
17	1499 Development Activities						



Annual Statement/Performance and Evaluation  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary

PHA Name: **Housing Authority of the City of Lockhart**  
 Grant Type and Number: Capital Fund Program Grant No. **TX59-S211-50109**  
 Replacement Housing Factor Grant No.:  
 Date of CFFP:  
 Federal FY of Grant: **2009**  
 FFY of Grant Approval: **2009**

Original Annual Statement  
 Performance and Evaluation Report for Period Ending **03/31/2010**  
 Reserve for Disasters/Emergencies  
 Final Performance and Evaluation Report  
 Revised Annual Statement #2

Line No.	Summary by Development Account	Total Funds Approved		Total Actual Cost	
		Original	Revised	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by PHA				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	<b>Amount of Annual Grant (Sum of Lines 2-19)</b>	\$183,689	\$183,689	\$183,689	\$183,689
21	Amount of Line 20 Related to LBP Activities				
22	Amount of Line 20 Related to Section 504 Activities				
23	Amount of Line 20 Related to Security - Soft Costs				
24	Amount of Line 20 Related to Security - Hard Costs				
25	Amount of Line 20 Related to Energy Conservation Measur	\$164,400	\$170,400	\$170,400	
	Signature of Executive Director	Date	Signature of Public Housing Director	Date	
	<i>Beverly Henry</i>	07-12-2010			

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part II: Supporting Pages

PHA Name  
 Housing Authority of the City of Lockhart

Grant Type and Number  
 Capital Fund Program Grant N TX59-S211-50109

Federal FY of Grant  
 2009

CFPP (Yes/No):

Replacement Housing Factor Grant No:

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
TX211110348	Administration, including advertising, staff costs to administer program, travel, postage , etc.	1410	N/A	\$1,399	\$1,399	\$1,399	-0-	Completed
TX211110348	Site Improvements, including landscaping, grading, drainage, concrete, sewer & water lines, and etc.	1460	N/A	6,000	-0-	-0-	-0-	Deleted
TX211110348	Dwelling Improvements, including improvements to kitchen, bathrooms, and other interior space and exterior envelope, to include but not limited by, cabinet/ countertops repair or replacement, flooring, faucets, sinks, water closets, painting and related repairs, and etc.	1460	Various	-0-	-0-	-0-	-0-	Deleted
TX211110348	Window Replacement w/Low E High Energy Efficient Windows	1460	108 DUs	164,400	170,400	170,400	-0-	Contracted
TX211110348	Replacement of Ranges and Refrigerators	1465	10 each	11,890	11,890	11,890	-0-	In Progress
TX211110348	Window Replacement w/Low E High Energy Efficient Windows @ Shop and Management/Community Building	1470	All Windows	-0-	-0-	-0-	-0-	Deleted



Annual Statement/Performance and Evaluation  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary

PHA Name **Housing Authority of the City of Lockhart** Grant Type and Number  
 Capital Fund Program Grant No. **TX59-P211-50109**  
 Replacement Housing Factor Grant No.:  
 Date of CFFP: Reserve for Disasters/Emergencies  
 Final Performance and Evaluation Report  
 Federal FY of Grant **2009**  
 FFY of Grant Approval  
 Revised Annual  
**2009** Statement

Line No.	Original Annual Statement	Performance and Evaluation Report for Period Ending	Total Funds Approved		Total Actual Cost	
			Original	Revised	Obligated	Expended
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21)	03/31/2010	\$29,242	-0-	\$29,242	-0-
3	1408 Management Improvements		2,500	-0-	-0-	-0-
4	1410 Administration (may not exceed 10% of line 21)		2,500	-0-	-0-	-0-
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement		15,000	-0-	-0-	-0-
10	1460 Dwelling Structures		83,117	-0-	-0-	-0-
11	1465.1 Dwelling Equipment - Nonexpendable		10,000	-0-	-0-	-0-
12	1470 Non-Dwelling Structures		1,000	-0-	-0-	-0-
13	1475 Non-Dwelling Equipment		1,000	-0-	-0-	-0-
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Cost					
17	1499 Development Activities					

**Annual Statement/Performance and Evaluation  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

**Part I: Summary**

PHA Name: **Housing Authority of the City of Lockhart**  
 Grant Type and Number: Capital Fund Program Grant No. **TX59-P211-50109**  
 Replacement Housing Factor Grant No.:  
 Date of CFFP: **2009**  
 Federal FY of Grant: **2009**  
 FFY of Grant Approval: **2009**

Original Annual Statement  
 Performance and Evaluation Report for Period Ending **03/31/2010**  
 Reserve for Disasters/Emergencies  
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Funds Approved	Revised	Obligated	Total Actual Cost Expended
18a	1501 Collateralization or Debt Service paid by PHA				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	<b>Amount of Annual Grant (Sum of Lines 2-19)</b>	<b>\$144,359</b>			<b>\$29,242</b>
21	Amount of Line 20 Related to LBP Activities				
22	Amount of Line 20 Related to Section 504 Activities				
23	Amount of Line 20 Related to Security - Soft Costs				
24	Amount of Line 20 Related to Security - Hard Costs				
25	Amount of Line 20 Related to Energy Conservation Measures				

*Beverly Henry*

07-12-2010

Date

Signature of Public Housing Director

Date

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program**

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

**Part II: Supporting Pages**

PHA Name  
*Housing Authority of the City of Lockhart*

Grant Type and Number  
Capital Fund Program Grant N TX59-P211-50109  
CFPP (Yes/No):  
Replacement Housing Factor Grant No:

Federal FY of Grant  
**2009**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA Wide	Operations Management Improvements, including training, consulting fees, and etc.	1406	N/A	\$29,242	-0-	\$29,242	-0-	In Progress
HA Wide	Administration, including advertising, printing, clerk, etc.	1408	N/A	2,500	-0-	-0-	-0-	Pending
HA Wide	Site Improvements, including landscaping, grading, drainage, concrete, sewer & water lines, paving, and etc.	1410	N/A	2,500	-0-	-0-	-0-	Pending
HA Wide	Dwelling Improvements, including improvements to kitchen, bathrooms, and other interior space and exterior envelope, to include but not limited to cabinet/ countertops repair or replacement, flooring, faucets, sinks, water closets, painting and related repairs, and etc.	1450	Various	15,000	-0-	-0-	-0-	Pending
TX211110348	Dwelling Equipment, including ranges, refrigerators, water heaters, HVAC, etc.	1460	Various	83,117	-0-	-0-	-0-	Pending
HA Wide	Repairs to M&M Building Administrative/Maintenance/Community Room Tools and Equipment	1465	As Needed	10,000	-0-	-0-	-0-	Pending
HA Wide	Repairs to M&M Building Administrative/Maintenance/Community Room Tools and Equipment	1470	As Needed	1,000	-0-	-0-	-0-	Pending
HA Wide	Repairs to M&M Building Administrative/Maintenance/Community Room Tools and Equipment	1475	N/A	1,000	-0-	-0-	-0-	Pending



Annual Statement/Performance and Evaluation  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary  
 PHA Name *Housing Authority of the City of Lockhart*  
 Grant Type and Number  
 Capital Fund Program Grant No. *TX59-P211-50110*  
 Replacement Housing Factor Grant No.:  
 Date of CFFP:

Federal FY of Grant  
*2010*  
 FFY of Grant Approval  
*2010*

Line No.	Performance and Evaluation Report for Period Ending	Original	Reserve for Disasters/Emergencies		Obligated	Total Actual Cost Expended
			Final Performance and Evaluation Report	Revised		
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21)	\$28,757				
3	1408 Management Improvements		2,500			
4	1410 Administration (may not exceed 10% of line 21)		2,500			
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement		15,000			
10	1460 Dwelling Structures		83,117			
11	1465.1 Dwelling Equipment - Nonexpendable		10,000			
12	1470 Non-Dwelling Structures		1,000			
13	1475 Non-Dwelling Equipment		1,000			
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Cost					
17	1499 Development Activities					



Annual Statement/Performance and Evaluation  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary

PHA Name: *Housing Authority of the City of Lockhart*  
 Grant Type and Number: Capital Fund Program Grant No. *TX59-P211-50110*  
 Replacement Housing Factor Grant No.:  
 Date of CFFP:  
 Federal FY of Grant: *2010*  
 FFY of Grant Approval: *2010*

Line No.	Original Annual Statement	Performance and Evaluation Report for Period Ending	Reserve for Disasters/Emergencies		Revised Annual Statement
			Final Performance and Evaluation Report	Final Performance and Evaluation Report	
			Total Funds Approved	Revised	Total Actual Cost Expended
18a	1501	Collateralization or Debt Service paid by PHA	Original		
18b	9000	Collateralization or Debt Service paid Via System of Direct Payment			
19	1502	Contingency (may not exceed 8% of line 20)			
20	<b>Amount of Annual Grant (Sum of Lines 2-19)</b>		<b>\$143,874</b>		
21	Amount of Line 20 Related to LBP Activities				
22	Amount of Line 20 Related to Section 504 Activities				
23	Amount of Line 20 Related to Security - Soft Costs				
24	Amount of Line 20 Related to Security - Hard Costs				
25	Amount of Line 20 Related to Energy Conservation Measures				
Signature of Executive Director			Date	Signature of Public Housing Director	Date
<i>Beverly Henry</i>			<i>07-12-2010</i>		

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part II: Supporting Pages

PHA Name  
 Housing Authority of the City of Lockhart

Grant Type and Number  
 Capital Fund Program Grant N TX39-P211-50110

Federal FY of Grant  
 2010

CFPP (Yes/No):

Replacement Housing Factor Grant No:

Development Account No.

Total Estimated Cost

Status of Work

Development Number  
 Name/HA-Wide Activities

General Description of Major Work Categories

Original

Revised

Funds Obligated

Funds Expended

HA Wide	Operations	1406	N/A	\$28,757			
HA Wide	Management Improvements, including training, consulting fees, and etc.	1408	N/A	2,500			
HA Wide	Administration, including advertising, printing, clerk, etc.	1410	N/A	2,500			
HA Wide	Site Improvements, including landscaping, grading, drainage, concrete, sewer & water lines, paving, and etc.	1450	Various	15,000			

TX211110348	Dwelling Improvements, including improvements to kitchen, bathrooms, and other interior space and exterior envelope, to include but not limited to cabinet/ countertops repair or replacement, flooring, faucets, sinks, water closets, painting and related repairs, and etc.	1460	Various	83,117			
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HA Wide	Dwelling Equipment, including ranges, refrigerators, water heaters, HVAC, etc.	1465	As Needed	10,000			
HA Wide	Repairs to M&M Building Administrative/Maintenance/Community Room Tools and Equipment	1470	As Needed	1,000			
HA Wide		1475	N/A	1,000			



**Capital Fund Program - Five Year Action Plan**

<b>Part I: Summary</b>						
PHA Name <i>Housing Authority of the City of Lockhart</i>		Locality (City/County & State) <i>Lockhart, Caldwell County, State of Texas</i>			<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No. ____	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
B.	Physical Improvements Subtotal	Annual Statement	\$109,000	\$94,000	\$109,000	\$109,000
C.	Management Improvements		2,500	2,500	2,500	2,500
D.	PHA-Wide Non-Dwelling Structures and Equipment		2,000	17,000	2,000	2,000
E.	Administration		2,500	2,500	2,500	2,500
F.	Other - Relocation					
G.	Operations		27,874	27,874	27,874	27,874
H.	Demolition					
I.	Development					
J.	Capital Fund Financing - Debt Service					
K.	Total CFP Funds		143,874	143,874	143,874	143,874
L.	Total Non-CFP Funds					
M.	Grand Total		\$143,874	\$143,874	\$143,874	\$143,874







