

<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
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<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Ogdensburg Housing Authority</u> PHA Code: <u>NY039</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>04/2010</u>					
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>385</u> Number of HCV units: <u>50</u>					
<b>3.0</b>	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only					
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.) <u>N/A</u>					
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
					PH	HCV
	PHA 1:					
	PHA 2:					
	PHA 3:					
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.					
<b>5.1</b>	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:  The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.					
<b>5.2</b>	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.  <b>PHA GOAL #1: EXPAND THE SUPPLY OF ASSISTED HOUSING – N/A</b>  <b>PHA GOAL #2: IMPROVE THE QUALITY OF ASSISTED HOUSING</b>  The PHA established the following objectives to strive in meeting goal #2: <ul style="list-style-type: none"> <li>▪ Improve public housing management:</li> <li>▪ Improve voucher management:</li> <li>▪ Increase customer satisfaction</li> <li>▪ Renovate or modernize public housing units</li> </ul>					

## **5.2 PHA GOAL #3: INCREASE ASSISTED HOUSING CHOICES**

The PHA established the following objectives to strive in meeting goal #3:

- Provide voucher mobility counseling

## **PHA GOAL #4: PROVIDE AN IMPROVED LIVING ENVIRONMENT**

The PHA established the following objectives to strive in meeting goal #4:

- Implement public housing security improvements
- Implement use of Capital Funds to replace/repair and upkeep apartments

## **PHA GOAL #5: PROMOTE SELF-SUFFICIENCY AND ASSET DEVELOPMENT OF ASSISTED HOUSEHOLDS**

The PHA established the following objectives to strive in meeting goal #5:

- Increase the number and percentage of employed persons in assisted families
- Provide or attract supportive services to improve assistance recipients' employability
- Provide or attract supportive services to increase independence for the elderly or families with disabilities

## **PHA GOAL #6: ENSURE EQUAL OPPORTUNITY AND AFFIRMATIVELY FURTHER FAIR HOUSING**

The PHA established the following objectives to strive in meeting goal #6:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status and disability:
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing regardless of race, color, religion, national origin, sex, familial status and disability:
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required
- Fair Housing logo appears in all advertising

## 6.0 PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

The following PHA Plan elements marked 'X' have been revised since the last Annual Plan submission by the Ogdensburg Housing Authority.

N/C denotes NO CHANGE and N/A denotes NOT APPLICABLE

<u>  X  </u>	903.7(1) Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures
<u>  X  </u>	903.7(2) Financial Resources
<u>  X  </u>	903.7(3) Rent Determination
<u>  X  </u>	903.7(4) Operation and Management
<u>  X  </u>	903.7(5) Grievance Procedures
<u>  X  </u>	903.7(6) Designated Housing for Elderly and Disabled Families
<u>  X  </u>	903.7(7) Community Service and Self-Sufficiency
<u>  X  </u>	903.7(8) Safety and Crime Prevention
<u>  X  </u>	903.7(9) Pets
<u>  X  </u>	903.7(10) Civil Rights Certification
<u>  X  </u>	903.7(11) Fiscal Year Audit
<u>  X  </u>	903.7(12) Asset Management
<u> N/C </u>	903.7(13) Violence Against Women Act (VAWA)

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

The following are the specific locations where the public may obtain copies of the 2010 Annual Plan:

- Administrative Office – 1101 Jay Street, Ogdensburg, NY 13669

## 6.0 PHA Plan Elements

### 903.7(1) Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures

#### A. Public Housing

Public Housing policies that govern resident or tenant eligibility, selection and admission (including preferences), unit assignment, procedures to maintaining waiting list for admission to public housing and site-based waiting lists (if applicable).

##### (1) Eligibility

Ogdensburg Housing Authority verifies eligibility for admission to public housing at the time of application and when unit is available.

The PHA uses the following non-income screening factors to establish eligibility for admission to public housing:

- Criminal or Drug-related activity
- The PHA will attempt to ascertain whether domestic violence was a factor in the poor rental and tenancy history and exercise discretion in determining suitability for tenancy, taking into consideration the circumstances that may have contributed to the negative reporting.

The Housing Authority requests criminal records from the following enforcement agencies for screening purposes:

- State law enforcement agencies

##### (2) Selection and Assignment ***NO CHANGE***

Selection for admission to public housing shall be made from the PHA's current waiting list in accordance with date and time of application.

##### (3) Preferences ***NO CHANGE***

The PHA does not plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of the median area income.



It is the policy of the PHA that transfers will take precedence over new admissions in the following circumstances:

- Emergencies
- Medical justification
- Administrative reasons determined by the PHA

The PHA has not established any preferences for admission to public housing.

(4) Unit Assignment ***NO CHANGE***

Applicants are ordinarily given two (2) vacant unit choices (does not include efficiencies) before they fall to the bottom of, or are removed from the waiting list. This policy is consistent across all waiting list types.

(5) Maintaining Waiting List ***NO CHANGE***

Ogdensburg Housing Authority maintains a community-wide waiting list. Interested persons may apply for admission to public housing at the main administrative office located at 1101 Jay Street, Ogdensburg, NY 13669 or by mail.

Applicants and residents may use the following reference materials to obtain information about the rules of occupancy of public housing.

- The PHA-resident lease
- The PHA's Admissions and Continued Occupancy Policy
- PHA briefing seminars or written materials

Residents must notify the PHA of changes in family composition:

- At any time family composition changes

(6) Deconcentration and Income Mixing ***NO CHANGE***

The PHA has performed its annual deconcentration and income mixing analysis to determine if the PHA has any general occupancy public housing developments covered by the deconcentration rule. The analysis results follow:

The PHA does not have any general occupancy public housing developments covered by the deconcentration rule.

Ogdensburg Housing Authority does not plan to operate any site-based waiting lists.

B. Section 8

Section 8 HCV policies that govern participant eligibility and selection for assistance (including preferences), and procedure for maintaining waiting list.

(1) Eligibility

The PHA conducts screening to the extent of:

- Criminal or Drug-related activity only to the extent required by law or regulation

The Housing Authority requests criminal records from the following enforcement agencies for screening purposes:

- State law enforcement agencies

The PHA shares the following information with prospective landlords:

- Current and previous landlord's address and name if known

(2) Waiting List Organization ***NO CHANGE***

The Ogdensburg Housing Authority's waiting list for the section 8 tenant-based assistance is not merged with any other program waiting list.

Participants may apply for admission to section 8 tenant-based assistance at:

- PHA main administrative office
- Mail

(3) Search Time ***NO CHANGE***

The PHA does give extensions on standard 60-day period to search for a unit when the tenant has made a good faith effort to find suitable housing, but is unable to locate a unit (i.e. hard to house).

(4) Preferences ***NO CHANGE***

The PHA does not plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of the median area income.

The PHA has not established any preferences for admission to section 8.

(5) Special Purpose Section 8 Assistance Programs ***N/A – NO CHANGE***

6.0 903.7(2) Financial Resources

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
<b>1. Federal Grants (FY 2010 grants)</b>		
a) Public Housing Operating Fund	903,018.00	
b) Public Housing Capital Fund	560,501.00	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 <i>HAP</i> Tenant-Based Assistance <i>Admin Fee</i>	147,985.00 24,199.00	
f) Resident Opportunity and Self-Sufficiency Grants		
g) Community Development Block Grant		
h) HOME		
Other Federal Grants (list below)		
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
2008 Capital Funds	70,000.00	Public housing capital improvements
2009 Capital Funds	504,501.00	Public housing capital improvements
2009 ARRA Capital Funds	370,099.00	Public housing capital improvements
<b>3. Public Housing Dwelling Rental Income</b>	1,303,140.00	Public housing operations
<b>4. Other income (list below)</b>	109,870.00	Public housing operations
Interest on Investments: 38,290.00		
Laundry commission: 20,175.00		
Antenna rental: 18,725.00		
Tenant charges: 21,230.00		
Excess utilities: 11,450.00		
<b>5. Non-federal sources (list below)</b>		
<b>Total resources</b>	<b>\$3,993,313.00</b>	

## 6.0 903.7 (3) Rent Determination Policies

### A. Public Housing

#### (1) Income Based Rent Policies

##### a. Use of discretionary policies

The PHA will employ discretionary rent-setting policies for income based rent in public housing.

##### b. Minimum Rent

The PHA's minimum rent is \$50.00.

The PHA has adopted the following discretionary minimum rent hardship exemption policies.

Excerpt from Admission and Continued Occupancy Policy:  
The PHA has adopted a minimum rent of \$50.00.

1. The minimum rent requirement may be waived due to certain financial hardships. The request for minimum rent hardship must be made in writing to the PHA prior to the rent becoming delinquent. The PHA will verify whether the hardship claimed is temporary or long term. Payment of the minimum is suspended immediately for ninety (90) days when a hardship is requested on one of the following conditions:
  - a. The family has lost eligibility or is awaiting an eligibility determination to receive federal, state or local assistance, including a family having a non-citizen household member lawfully admitted for permanent residence and who would be entitled to public benefits but for Title IV of the Personal Responsibility and Work Opportunity Act of 1996;
  - b. The family income has decreased due to changed circumstances such as loss of employment, separation, divorce, and abandonment;
  - c. The family would be evicted as a result of imposing the minimum rent requirement;
  - d. There has been a death in the family; or
  - e. There are other hardship situations determined by the PHA on a case-by-case basis, i.e. alimony, child support, etc.

Financial hardship exemption only applies to payment of minimum rent - not to rent based on the statutory formula for determining the Total Tenant Payment (TTP) or Flat Rent in the public housing program.

2. If tenant initiates a request for a hardship exemption that the PHA determines is temporary in nature:
  - a. Rent may be suspended, during the ninety (90) day period beginning on the day the request is made. At the end of the ninety (90) day period, the minimum rent is reinstated retroactively to the date of suspension.
  - b. The PHA will allow the family a maximum of six (6) months to make payment of any delinquent minimum rent payments accrued during the suspension period. However, the family must execute a Repayment Agreement.
  - c. The family may not be evicted for non-payment of rent during this ninety (90)-day suspension period.
  - d. If the hardship is subsequently determined to be long-term, the PHA will retroactively exempt residents from the minimum rent requirement for the ninety (90)-day period.
3. If the circumstances supporting the request for a minimum rent hardship exemption are long term, tenant's rent will be based on the statutory income-based rent calculation formula during the minimum rent exemption period.
4. Hardship determinations are subject to the PHA's Informal Hearing Process and families are exempt from any escrow deposit that may be required under regulations governing the hearing process for other determinations.

c. Rents set at less than 30% than adjusted income

The PHA does not plan to charge rents at a fixed amount or percentage less than 30% of adjusted income. (if yes, list the amounts or percentages charged and the circumstances)

d. Discretionary deductions and/or exclusion policies

The PHA plans to employ the following discretionary (optional) deductions and/or exclusions policies:

- When a participant changes rent choice to income based rent and then their income increases, the income that would result in a tenant rent higher than the flat rent would be exempt

## e. Ceiling Rents

The PHA does not have ceiling rents.

## f. Rent Re-determinations

Between annual income reexaminations, the tenant is required to report changes in income or family composition to the PHA such that the changes result in an adjustment to rent as follows:

- Any time the family experiences an income increase or decrease
- Anytime the family experiences a change in family composition

## g. Individual Savings accounts (ISAs)

The PHA does not plan to implement individual savings accounts for residents as an alternative to the required 12 month disallowance of earned income and phasing in of the rent increase in the next year.

(2) Flat Rents **NO CHANGE**

The PHA used the following sources of information in setting the market-based flat rents to establish comparability.

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood

B. Section 8 Tenant-based Assistance(1) Payment Standards **NO CHANGE**

The PHA's payment standard is:

- 100% of FMR

The PHA reevaluates the payment standards for adequacy annually and considers the following factors in its assessment of the adequacy:

- Success rates of assisted families
- Rent burdens of assisted families

(2) Minimum Rent **NO CHANGE**

The PHA's minimum rent is \$50.00.

The PHA has adopted discretionary minimum rent hardship exemption policies.

Excerpt from Section 8 Administrative Plan:

The minimum rent for Section 8 Participants is \$50.00.

1. The minimum rent requirement may be waived under certain circumstances. Financial hardship status is to be granted immediately for ninety (90) days in the event of the following:
  - a. The family has lost eligibility or is awaiting an eligibility determination to receive federal, state or local assistance, including a family having a non-citizen household member lawfully admitted for permanent residence and who would be entitled to public benefits but for Title IV of the Personal Responsibility and Work Opportunity Act of 1996;
  - b. The family income has decreased due to changed circumstances such as separation, divorce, abandonment;
  - c. One or more family members have lost employment;
  - d. The family would be evicted as a result of imposing the minimum rent requirement;
  - e. There has been a death in the family; or
  - f. There are other hardship situations determined by the PHA on a case by case basis, i.e. alimony, child support, etc.

Financial hardship exemption only applies to payment of minimum rent - not to rent based on the formula for determining the Total Tenant Payment (TTP).
2. If the tenant initiates a request for a hardship exemption that the PHA determines is temporary in nature:
  - a. If the hardship is determined to be temporary, minimum rent may be suspended, during the ninety (90) day period beginning on the day the request is made. At the end of the ninety (90) day period, the minimum rent is reinstated retroactively to the date of suspension and the HAP is again adjusted.
  - b. In the case of a temporary hardship, the PHA will allow the family a maximum of six (6) months to make payment of any delinquent minimum rent payments accrued during the suspension period. However, the family must execute a Repayment Agreement.
  - c. If the hardship is subsequently determined to be long-term, the PHA will retroactively exempt residents from the minimum rent requirement for the ninety (90)-day period.
  - d. Note that the PHA can only suspend the minimum rent contribution. If the family is residing in a unit whose Gross Rent exceeds the Payment Standard, the family will be responsible for the excess rent.
3. Hardship determinations are subject to the PHA's Informal Hearing Process and families are exempt from any escrow deposit that may be required under regulations governing the hearing process for other determinations.

## 6.0 903.7(4) Operation and Management

### (1) PHA Management Structure

- a. A brief description of the management structure and organization of the PHA

The Executive Director directs the day-to day management and operation of the Housing Authority with the assistance of the following lead staff and their line staff.

Modernization Superintendent – assists the Executive Director with the day-to-day management and operation of the public housing maintenance operations, the Capital Fund Program and supervises the following staff:

- Senior Maintenance Persons
- Bldg. Maintenance Persons

Senior Account Clerk/Steno – assists the Executive Director in supervising the following staff:

- Senior Account Clerk
- Housing Assistance Coordinator
- Tenant Relations Assistant
- Typist

- b. HUD Programs Under PHA Management

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	126	74
Section 8 Vouchers	45	16
Section 8 Certificates	N/A	N/A
Section 8 Mod Rehab	N/A	N/A
Special Purpose Section 8 Certificates/Vouchers (list individually)	N/A	N/A
Public Housing Drug Elimination Program (PHDEP)	N/A	N/A
Other Federal Programs(list individually)	N/A	N/A



## c. Management and Maintenance Policies

The PHA has adopted the following policies that contain the Agency's rules, standards, and policies that govern management, operation, and maintenance of the Public Housing and Section 8 assistance programs.

## Public Housing Management:

- Admissions and Continued Occupancy Policy (ACOP)
- Grievance Procedures
- Termination and Eviction Policy
- Transfer and Transfer Waiting List Policy
- Housekeeping Standards Policy
- Deconcentration Policy
- File Access Policy
- Records Retention Policy
- Resident Initiatives Policy
- Community Service Policy
- Natural and National Disaster Policy and Plan
- Security Policy

## Section 8 Management:

- Section 8 Administrative Plan

## 903.7(5) Grievance Procedures

## A. Public Housing

The PHA has not established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing.

Residents or applicants who desire to initiate the PHA grievance Process should contact the following:

- PHA main administrative office

## B. Section 8 Tenant-Based Assistance

The PHA has not established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982.

Section 8 applicants or assisted families who desire to initiate the informal review and informal hearing process should contact the following:

- PHA main administrative office

**6.0 903.7(6) Designated Housing for Elderly and Disabled Families**

The PHA has not designated or applied for approval to designate or does not plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will not apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year.

**903.7(7) Community Service and Self-Sufficiency**

**A. PHA Coordination with the Welfare (TANF) Agency.**

1. The PHA has entered into a cooperative agreement with the TANF Agency, to share information and /or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937.)

The agreement was signed on 01/27/2000.

2. Other coordination efforts between the PHA and TANF agency include:
  - Client referrals
  - Information sharing regarding mutual clients (for rent determinations and otherwise)

**B. Services and programs offered to residents and participants by the Ogdensburg Housing Authority are as follows:**

(1) General

**a. Self-Sufficiency Policies**

The PHA will not employ any discretionary policies to enhance the economic and social self-sufficiency of assisted families.

**b. Economic and Social self-sufficiency programs**

The PHA does not coordinate, promote or provide any policies or programs for the enhancement of the economic and social self-sufficiency of assisted families.

(2) Family Self Sufficiency programs

**a. Participation Description: *N/A***

### C. Welfare Benefit Reductions

The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by:

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies

### D. Community Service Requirement

Pursuant to section 12(c) of the U. S. Housing Act of 1937, the PHA will comply with requirements of community service by identifying the number of tenants required to perform community service, the number of tenants granted exemptions, the number of tenants in non-compliance, and the number of tenants terminated/evicted due to non-compliance.

#### Description of the Community Service Plan

The Ogdensburg Housing Authority Community Service Policy is simple and definitive of Section 512 of the Quality and Work Responsibility Act of 1998. The Ogdensburg Housing Authority believes that the community service requirement should not be received by the resident to be a punitive or demeaning activity, but rather to be a rewarding activity that will benefit both the resident and the community. Community service offers public housing residents and opportunity to contribute to the communities that support them while gaining work experience. The requirement is easy and rewarding and the Housing Authority provides the residents with the name of agencies, the agencies point of contact and all required paper work necessary to accomplish the monthly service.

The Community Service Policy allows the PHA to identify those residents required to participate in the community service requirement. Participants will be required to contribute 8 hours of community service each month or to participate in a self-sufficiency program for 8 hours each month. Identified residents are responsible to determine the appropriateness of the voluntary service within guidelines provide in the policy. Allowed activities that may be included are listed in the policy. Voluntary political activities are prohibited from being considered to meet the Community Service requirement. Participation in self-sufficiency activities that may be included are listed in the policy. A list of exemptions that may be claimed from the requirement is provided in the policy. Family obligations and PHA obligations are addressed in detail. Lease requirements and documentation and non-compliance are all clearly addressed.

Administrative Steps Taken To Implement The Requirement

The following administrative steps were taken in implementing the PHA Community Service Policy. A written notification was sent to all residents regarding requirements on exempt status of each adult family member. Informed all residents that the program would be administered by the PHA, however; they are required to have their hours documented and signed by the agencies where they volunteered. Finally that the volunteer sheet required for filing would be provided by the PHA. The PHA maintains a tracking log.

Programmatic Aspects Of The Requirements

Activities that the residents can participate in and receive community service credit are Cornell Cooperative Extension Classes, computer lab and Boys & Girls Club and volunteer at local agencies. The following agencies assist the residents in accomplishing their community service, Ogdensburg Boys & Girls Club, Neighborhood Center and Cornell Cooperative Extension. For non-compliance with the Community Service Policy the PHA informed residents again of the requirements, then inform them of the consequences for non-compliance, i.e., grounds for eviction.

## Community Service Implementation Report:

- Number of tenants performing community service: 7
- Number of tenants granted exemptions: 0
- Number of tenants in non-compliance: 15
- Number of tenants terminated/evicted due to non-compliance: 0

**6.0 903.7(8) Safety and Crime Prevention**

The PHA's plan for safety and crime preventions to ensure the safety of the public housing residents is addressed below.

**A. Need for measures to ensure the safety of public housing residents:**

1. Description of the need for measures to ensure the safety of public housing residents.
  - Observed lower-level crime, vandalism and/or graffiti
2. Information or data used by the PHA to determine the need for PHA actions to improve safety of residents:
  - Resident reports
  - PHA employee reports
3. Developments that are most affected:
  - Belmont Courts

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year. N/A**

**C. Coordination between PHA and the police.**

1. Description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities:
  - Police provide crime data to housing authority staff for analysis and action
2. Developments that are most affected:
  - Belmont Courts

## 6.0 903.7(9) Pets

All residents are permitted to own and keep common domesticated household pets. Common household pet means a domesticated cat, dog, bird, gerbil, hamster, Guinea pig, and fish in aquariums.

Pet owners must agree to abide by the PHA's Pet Ownership Rules.

A pet deposit of \$100.00 is required. There is no pet deposit for fish, birds, gerbils, hamsters, guinea pigs or turtles.

Limit of one pet per household.

Limit for birds is two (2).

Pet owner may have only a small cat or a small dog. Limitations: weight not to exceed twenty (20) pounds; height shall not exceed fifteen (15) inches. This does not apply to service animals that assist persons with disabilities.

Pet owner shall license their pet as required by law.

Pet owner must not violate any state or local health or humane laws.

Pet must be spayed or neutered.

Pet must be maintained on leash and kept under control when taken outside the unit.

## 903.7(10) Civil Rights Certification

The PHA has examined its programs and proposed programs to identify any impediments to fair housing choices, has addressed those impediments in a reasonable fashion, and is working with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing. The PHA assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.

The PHA has taken the following specific actions to Affirmative Further Fair Housing in its public housing and Section 8 assistance programs:

The PHA will not, on the grounds of race, color, creed, sex, religion, age, disability, national origin or familial status:

- Deny a person or family admission to housing or assistance;
- Provide housing which is different than that provided others, except for elderly and/or disabled where accessibility features may be required;
- Subject a person to segregation or disparate treatment;
- Restrict a person's access to any benefit enjoyed by others in connection with

6.0

- housing programs;
- Treat a person differently in determining eligibility or other requirements for admission or assistance;
- Deny any person access to the same level of services provided to others;
- Deny a person the opportunity to participate in a planning or advisory group that is an integral part of the housing programs.

The PHA will not intimidate, threaten or take any retaliatory action against any applicant, resident, or participant because of a person's participation in civil rights activities or assertions of civil rights.

HUD Fair Housing Posters are posted at the PHA main administrative office and at each development office.

The PHA will ensure accessibility to offices to afford persons with disabilities the opportunity to apply for admission or assistance to the public housing programs.

The PHA will make sure that all employees of the PHA are familiar with non-discrimination requirements, especially those employees who are involved in the admissions process.

The PHA prominently displays a fair housing poster at each office where applications are taken and at each management office.

The PHA's policies and practices are designed to provide assurance that all persons with disabilities will be provided reasonable accommodations so that they can fully access and utilize the housing programs and related services.

The PHA will identify and eliminate situations and /or practices that create barriers to equal housing opportunity for all.

The PHA reviews its policies and procedures, at least annually, to assure compliance with all civil rights requirements.

#### 903.7(11) Fiscal Year Audit

The PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U S.C. 1437c(h)).

The most recent fiscal audit was submitted to HUD.

There were no findings as the result of that audit.

## 6.0 903.7(12) Asset Management

The PHA has conducted a Physical Needs Assessment (PNA) of all AMP's within the fiscal year. The needs of the projects has been prioritized, not only based upon the PNA, but also upon needs identified during inspections performed by PHA staff and HUD REAC and during Energy Audits. A combination of these methods has determined the agency's long- term operating goals and serves as a guide in handling the agency's capital investments. The needs, as determined, will serve as the agency's guide towards developing a plan of action with regards to rehabilitation, demolition/disposition. The current plans for modernization activities are included in the agency's Annual Statement and Five-Year Action Plan.

The PHA has proceeded to allocate funds, based upon funds availability, to the projects identified as a result of those efforts previously described and also based upon other current available project information pertaining to occupancy, vacancies, expenses, prior improvements and other project data.

## 903.7(13) Violence Against Women Act (VAWA) ***NO CHANGE***

The Ogdensburg Housing Authority has incorporated in its PHA Plan goals and objectives, and in its policies and procedures the applicable provisions of the Violence Against Women and Reauthorization Act of 2005 (VAWA) to support or assist victims of domestic violence, dating violence, or stalking.

The PHA goal to provide and improved living environment is being met by the PHA by its effort to implement measures to assist victims of domestic violence in avoiding their abusers and continuing occupancy in public housing.

Towards its effort to meet the PHA goal to promote self-sufficiency and asset development of assisted households the PHA is partnering with local agencies to provide or attract supportive services to assist victims of domestic violence move out of abusive situations and begin again. We have partnered and work closely with Catholic Charities, Renewal House, DSS, Ogdensburg Neighborhood Center, and Ogdensburg Police Department. The Housing Authority provides referrals to the following agencies: Renewal House, Catholic Charities, and DSS.

The Ogdensburg Housing Authority will work in conjunction with the following local agencies (Catholic Charities, Renewal House, Ogdensburg Police Department Ogdensburg Neighborhood Center, DSS, etc.) to maintain the safety of women in public housing who may be or have been victims of Domestic Violence. The Housing Authority will report statements and or observations to the proper authorities while assisting the victim with phone numbers and contact information for reporting purposes. We will post information on our bulletin boards and insert flyers into our monthly newsletter as they are received.



<p><b>6.0</b></p>	<p>In addition, the PHA has amended its policies and procedures to include language and applicable provisions of the VAWA. The required notification will be provided to all tenants of public housing at recertification time and to any new participants and landlords under the Section 8 Program. It is the PHA's intent to maintain compliance with all applicable requirements imposed by VAWA.</p> <p>The PHA efforts may include to:</p> <ul style="list-style-type: none"> <li>▪ Provide and maintain housing opportunities for victims of domestic violence, dating violence, or stalking;</li> <li>▪ Create and maintain collaborative partnerships between PHA, victim service providers, law enforcement authorities, and other supportive groups to promote the safety and well-being of victims of domestic violence, dating violence, or stalking (whether actual or imminent threat) who are assisted by PHA;</li> <li>▪ Ensure the physical safety of victims of domestic violence, dating violence, or stalking (whether actual or imminent threat) who are assisted by PHA; maintain compliance with all applicable requirements imposed by VAWA.</li> <li>▪ Take appropriate action in response to an incident or incidents of domestic violence, dating violence, or stalking, affecting families or individuals assisted by PHA.</li> <li>▪ Train PHA staff on the confidentiality issues as required by VAWA.</li> </ul> <p>Section 6.0 b</p> <p>Identify where the Annual PHA Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA.</p> <ul style="list-style-type: none"> <li>▪ Main Administrative Office – 1101 Jay Street, Ogdensburg, NY 13669</li> </ul>
<p><b>7.0</b></p>	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b>  <i>Include statements related to these programs as applicable.</i></p> <p>a. HOPE VI or Mixed Finance Modernization or Development <b><i>NO CHANGE</i></b></p> <p>The PHA has not received a HOPE VI revitalization grant.</p> <p>The PHA does not plan to apply for a HOPE VI Revitalization grant in the Plan year.</p> <p>The PHA will not be engaging in any mixed-finance development activities for public housing in the Plan year.</p> <p>The PHA will not be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement.</p>

7.0	<p>b. Demolition and/or Disposition <b><i>NO CHANGE</i></b></p> <p>The PHA does not plan to conduct any demolition or disposition activities in the plan Fiscal Year.</p> <p>c. Conversion of Public Housing</p> <p>Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act</p> <p>The PHA does not have any developments or portions of developments identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act.</p> <p>d. Homeownership <b><i>NO CHANGE</i></b></p> <p>A. <u>Public Housing</u></p> <p>The PHA does not administer any homeownership programs for public housing.</p> <p>B. <u>Section 8 Tenant Based Assistance</u></p> <p>The PHA does not plan to administer any homeownership programs for section 8.</p> <p>e. Project-based Vouchers <b><i>NO CHANGE</i></b></p> <p>Our agency is not currently operating nor intends to operate a Section 8 Project Based Voucher Program.</p>
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8.0	<b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.
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8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>Required reports are included as following attachments:</p> <ul style="list-style-type: none"> <li>▪ 2010 Capital Fund Program Annual Statement - attachment ny039a01</li> <li>▪ 2009 Performance and Evaluation Report – attachment ny039c01</li> <li>▪ 2009 ARRA Performance and Evaluation Report – attachment ny039d01</li> <li>▪ 2008 Performance and Evaluation Report - attachment ny039e01</li> <li>▪ 2007 Performance and Evaluation Report - attachment ny039f01</li> </ul>
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<b>8.2</b>	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>Required report is included as following attachment:</p> <ul style="list-style-type: none"> <li>▪ FY 2010 Capital Fund Program 5 Year Action Plan - attachment ny039b01</li> </ul>
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<b>8.3</b>	<p><b>Capital Fund Financing Program (CFFP).</b> <i>N/A</i></p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
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<b>9.0</b>	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact”.</p> <table border="1" style="margin: 10px auto; width: 80%;"> <thead> <tr> <th colspan="8">Housing Needs of Families in the Jurisdiction by Family Type</th> </tr> <tr> <th>Family Type</th> <th>Overall</th> <th>Afford- ability</th> <th>Supply</th> <th>Quality</th> <th>Access- ibility</th> <th>Size</th> <th>Loca- tion</th> </tr> </thead> <tbody> <tr> <td>Income &lt;= 30% of AMI</td> <td>1157</td> <td>5</td> <td>1</td> <td>3</td> <td>2</td> <td>3</td> <td>1</td> </tr> <tr> <td>Income &gt;30% but &lt;=50% of AMI</td> <td>553</td> <td>5</td> <td>1</td> <td>3</td> <td>2</td> <td>3</td> <td>1</td> </tr> <tr> <td>Income &gt;50% but &lt;80% of AMI</td> <td>718</td> <td>5</td> <td>1</td> <td>2</td> <td>2</td> <td>3</td> <td>1</td> </tr> <tr> <td>Elderly</td> <td>528</td> <td>5</td> <td>1</td> <td>2</td> <td>2</td> <td>3</td> <td>1</td> </tr> <tr> <td>Families with Disabilities</td> <td>312</td> <td>3</td> <td>2</td> <td>3</td> <td>2</td> <td>3</td> <td>1</td> </tr> <tr> <td>Caucasian</td> <td>2409</td> <td>3</td> <td>1</td> <td>3</td> <td>2</td> <td>3</td> <td>1</td> </tr> <tr> <td>American Indian</td> <td>19</td> <td>3</td> <td>1</td> <td>3</td> <td>2</td> <td>3</td> <td>1</td> </tr> <tr> <td>African American</td> <td>0</td> <td>N/A</td> <td>N/A</td> <td>N/A</td> <td>N/A</td> <td>N/A</td> <td>N/A</td> </tr> <tr> <td>Race/Ethnicity</td> <td>23</td> <td>3</td> <td>1</td> <td>3</td> <td>2</td> <td>3</td> <td>1</td> </tr> </tbody> </table>	Housing Needs of Families in the Jurisdiction by Family Type								Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion	Income <= 30% of AMI	1157	5	1	3	2	3	1	Income >30% but <=50% of AMI	553	5	1	3	2	3	1	Income >50% but <80% of AMI	718	5	1	2	2	3	1	Elderly	528	5	1	2	2	3	1	Families with Disabilities	312	3	2	3	2	3	1	Caucasian	2409	3	1	3	2	3	1	American Indian	19	3	1	3	2	3	1	African American	0	N/A	N/A	N/A	N/A	N/A	N/A	Race/Ethnicity	23	3	1	3	2	3	1
Housing Needs of Families in the Jurisdiction by Family Type																																																																																									
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### Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- ☐ Section 8 tenant-based assistance  
☒ Public Housing  
☐ Combined Section 8 and Public Housing  
☐ Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	128		74
Extremely low income <=30% AMI	66	52%	
Very low income (>30% but <=50% AMI)	49	38%	
Low income (>50% but <80% AMI)	13	10%	
Families with children	52	41%	
Elderly families	76	59%	
Families with Disabilities	52	41%	
White	128	100%	
Black/African American	0	0%	
American Indian/Alaska Native	0	0%	
Asian	0	0%	
Native Hawaiian/Other Pacific Islander	0	0%	

Characteristics by  
Bedroom Size (Public  
Housing Only)

1BR	76	60%	
2 BR	36	28%	
3 BR	13	10%	
4 BR	3	2%	
5 BR	N/A	N/A	
5+ BR	N/A	N/A	

Is the waiting list closed (select one)? ☒ No ☐ Yes

If yes:

How long has it been closed (# of months)? *N/A*

Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes *N/A*

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes *N/A*

### Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- ☒ Section 8 tenant-based assistance  
☐ Public Housing  
☐ Combined Section 8 and Public Housing  
☐ Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	6		14
Extremely low income <=30% AMI	4	67%	
Very low income (>30% but <=50% AMI)	2	33%	
Low income (>50% but <80% AMI)	0	0%	
Families with children	5	83%	
Elderly families	0	0%	
Families with Disabilities	2	33%	
White	6	100%	
Black/African American	0	0%	
American Indian/Alaska Native	0	0%	
Asian	0	0%	
Native Hawaiian/Other Pacific Islander	0	0%	

Characteristics by  
Bedroom Size (Public  
Housing Only)

1BR	N/A	N/A	
2 BR	N/A	N/A	
3 BR	N/A	N/A	
4 BR	N/A	N/A	
5 BR	N/A	N/A	
5+ BR	N/A	N/A	

Is the waiting list closed (select one)? ☒ No ☐ Yes

If yes:

How long has it been closed (# of months)? *N/A*

Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes *N/A*

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes *N/A*

<p><b>9.1</b></p>	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p><u>Strategies</u></p> <p>Need: Shortage of affordable housing for all eligible populations</p> <p>PHA shall maximize the number of affordable units available to the PHA within its current resources by:</p> <ul style="list-style-type: none"> <li>▪ Employ effective maintenance and management policies to minimize the number of public housing units off-line</li> <li>▪ Reduce turnover time for vacated public housing units</li> <li>▪ Reduce time to renovate public housing units</li> <li>▪ Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction</li> </ul> <p>PHA shall increase the number of affordable housing units by: <i>N/A</i></p> <p>Need: Specific Family Types: Families at or below 30% of median – <i>N/A</i></p> <p>Need: Specific Family Types: Families at or below 50% of median – <i>N/A</i></p> <p>Need: Specific Family Types: The Elderly – <i>N/A</i></p> <p>Need: Specific Family Types: Families with Disabilities – <i>N/A</i></p> <p>Need: Specific Family Types: Races or ethnicities with disproportionate housing needs – <i>N/A</i></p>
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10.0

**Additional Information.** Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals

Goals identified by PHA for 2005 Five Year PHA Plan and progress made during 2005-2009.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- ☒ PHA Goal: Expand the supply of assisted housing  
Objectives:
  - ☒ Apply for additional rental vouchers: Respond to NOFA's as issued
  - ☒ Other (list below)
    - There were not NOFA's issued.
- ☒ PHA Goal: Improve the quality of assisted housing  
Objectives:
  - ☒ Improve public housing management: (PHAS score): Maintain high performer status
  - ☒ Improve voucher management: (SEMAP score): Strive to maintain high score
  - ☒ Increase customer satisfaction: On-going
  - ☒ Renovate or modernize public housing units:

**Progress Statement:** We have maintained our High Performer status and our high score for SEMAP. We have used our Capital Fund Program to increase the number of handicapped accessible apartments and to make many other needed repairs.

- ☒ PHA Goal: Increase assisted housing choices  
Objectives:
  - ☒ Provide voucher mobility counseling: On-going for portability

**Progress Statement:** On-going.

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

- ☒ PHA Goal: Promote self-sufficiency and asset development of assisted households  
Objectives:
  - ☒ Other: (list below)
    - Provide residents with information about community services.  
On-going.

10.0

**Progress Statement:** On-going.

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

☒ PHA Goal: Ensure equal opportunity and affirmatively further fair housing Objectives:

☒ Other: (list below)

- The jurisdiction is 99.5% Caucasian

**Other PHA Goals and Objectives: (list below)**

**PHA Goal: Enable staff to comply with new regulations**

- ☒ In depth training for Section 8 Staff
- ☒ Occupancy training for public housing
- ☒ Mod training concerning the basis and contract administration
- ☒ All training will take place *by 3/31/05 (changed year to 2005)*

**Progress Statement:** Staff has been sent to training and continued use of seminars to train staff.



(b) Significant Amendment and Substantial Deviation/Modification ***NO CHANGE***

Substantial Deviations from the 5-Year Plan

- Additions or deletions of Strategic Goals
- Any deviation that requires reviews and input by the Resident Advisory Board as well as approval by the Board of Commissioners.

Significant Amendments or Modification to the Annual Plan

- Any change to rent or admissions policies or organization of the waiting list;
- Additions of non-emergency\* work items over \$10,000 (items not included in the latest approved PHA Plan Capital Fund Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds (if applicable) under the Capital Fund Program; and
- Any change with regard to demolition or disposition, designation of housing, homeownership programs or conversion activities.

An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements since such changes are not considered significant.

This criterion does not supersede the requirements of OMB Circular No. A-87 (Cost Principal for State, Local, and Indian Tribal Governments) and 25 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements), as well as federal, state, or local regulations or statutes.

Any future issuance of HUD guidelines or additional regulations shall take precedence over the above criterion.

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\* Emergency – means physical work items of an emergency nature, posing an immediate threat to the health and safety of residents or staff, which must be completed within one year of capital grant funding. Management improvements are not eligible as emergency work.

- (c) PHA's must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. ***N/A***

11.0	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> <li>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</li> <li>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</li> <li>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</li> <li>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</li> <li>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</li> <li>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. <b>Provided as attachment ny039g01</b></li> <li>(g) Challenged Elements <b>No Challenged Elements</b></li> <li>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) <b>Provided as attachments ny039a01, ny039c01, ny039d01, ny039e01 and ny039f01.</b></li> <li>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only) <b>Provided as attachment ny039b01</b></li> </ul>
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**Annual Statement /Performance and Evaluation Report**  
**Capital Funds Program and Capital Fund Program Replacement Housing Factor and**  
**Capital Funds Financing Program**  
**Attachment ny039a01**

U. S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
**Expires 4/30/2011**

**Part I: Summary**

PHA Name: <b>Ogdensburg Housing Authority</b>	Grant Type and Number: Capital Fund Program Grant No: <b>NY06P03950110</b> Date of CFFP: _____	Replacement Housing Factor Grant No: _____	FFY of Grant: <b>2010</b> FFY of Grant Approval: <b>2010</b>
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**Type of Grant**

☒ Original Annual Statement    ☐ Reserved for Disasters/Emergencies  
☐ Performance and Evaluation Report for Program Year Ending \_\_\_\_\_

☐ Revised Annual Statement/Revision Number \_\_\_\_\_  
☐ Final Performance and Evaluation Report for Program Year Ending \_\_\_\_\_

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
1	Total non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) 3	70,000.00			
3	1408 Management Improvements	0.00			
4	1410 Administration (may not exceed 10% of line 20)	56,000.00			
5	1411 Audit	0.00			
6	1415 Liquidated Damages	0.00			
7	1430 Fees and Costs	50,500.00			
8	1440 Site Acquisition	0.00			
9	1450 Site Improvement	150,000.00			
10	1460 Dwelling Structures	234,001.00			
11	1465.1 Dwelling Equipment-Nonexpendable	0.00			
12	1470 Non-dwelling Structures	0.00			
13	1475 Non-dwelling Equipment	0.00			
14	1485 Demolition	0.00			
15	1492 Moving to Work Demonstration	0.00			
16	1495.1 Relocation Costs	0.00			
17	1499 Development Activities 4	0.00			
18a	1501 Collateralization or Debt Service paid by the PHA	0.00			
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	0.00			
19	1502 Contingency (may not exceed 8% of line 20)	0.00			
20	<b>Amount of Annual Grant (sums of lines 2-19)</b>	<b>\$560,501.00</b>			
21	Amount of Line 20 Related to LBP Activities	0.00			
22	Amount of Line 20 Related to Section 504 Compliance	0.00			
23	Amount of Line 20 Related to Security - Soft Costs	0.00			
24	Amount of Line 20 Related to Security - Hard Costs	0.00			
25	Amount of Line 20 Related to Energy Conservation Measures	0.00			

Signature of Executive Director

Date

Signature of Public Housing Director

Date

*William J. Lyons* 11-25-09

- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
- 4 RHF funds shall be included here.

Annual Statement /Performance and Evaluation Report  
 Capital Funds Program and Capital Fund Program Replacement Housing Factor and  
 Capital Funds Financing Program

U. S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

**Part II: Supporting Pages**

PHA Name: <b>Ogdensburg Housing Authority</b>		Grant Type and Number: Capital Fund Program Grant No: <b>NY06P03950110</b> CFFP (Yes/ No): <b>No</b>						Federal FFY of Grant: <b>2010</b>
Replacement Housing Factor Grant No.								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
HA-Wide	Operations	1406		70,000.00				
HA-Wide	Management Fee	1410		56,000.00				
HA-Wide	A&E	1430		30,000.00				
	Advertising	1430		500.00				
	Physical Needs Assessment	1430		10,000.00				
	Energy Audit	1430		10,000.00				
NY039000001	Parking Lot Paving	1450	2	30,000.00				
Riverview Towers	Upgrade Emergency Call System	1460	100	25,000.00				
NY039000002	Parking Lot Paving	1450	2	30,000.00				
Centennial	Upgrade Emergency Call System	1460	110	30,000.00				
Terrace	Toilet Replacement	1460	110	60,300.00				
NY039000003	Parking Lot Paving & New Curbing	1450	8	70,000.00				
Belmont Courts	Replace Storm & Entrance Doors	1460	252	103,701.00				
NY039000004	Parking Lot Paving & New Curbing	1450	1	20,000.00				
Parkview Rise	Upgrade Emergency Call System	1460	50	15,000.00				
<b>TOTAL 2010 CAPITAL FUNDS GRANT</b>				<b>\$560,501.00</b>				

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
 2 To be completed for the Performance and Evaluation Report

**Capital Fund Program Five-Year Action Plan**  
**Attachment ny039b01**

U. S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires: 4/30/2011

<b>Part I: Summary</b>						
PHA Name/Number			Locality (City/County& State)		<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision No. _____	
<b>Ogdensburg Housing Authority</b>			<b>Ogdensburg, St. Lawrence, New York</b>			
A.	Development Number and Name  HA-Wide	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
B.	Physical Improvements	Annual	-	-	-	-
	Subtotal	Statement	404,001.00	404,001.00	404,001.00	404,001.00
C.	Management Improvements		0.00	0.00	0.00	0.00
D.	PHA-Wide Non-dwelling Structures and Equipment		-	-	-	-
			0.00	0.00	0.00	0.00
E.	Administration		56,000.00	56,000.00	56,000.00	56,000.00
F.	Other		30,500.00	30,500.00	30,500.00	30,500.00
G.	Operations		70,000.00	70,000.00	70,000.00	70,000.00
H.	Demolition		0.00	0.00	0.00	0.00
I.	Development		0.00	0.00	0.00	0.00
J.	Capital Fund Financing - Debt Service		-	-	-	-
			0.00	0.00	0.00	0.00
K.	Total CFP Funds		<b>\$560,501.00</b>	<b>\$560,501.00</b>	<b>\$560,501.00</b>	<b>\$560,501.00</b>
L.	Total Non-CFP Funds		0.00	0.00	0.00	0.00
M.	Grand Total		<b>\$560,501.00</b>	<b>\$560,501.00</b>	<b>\$560,501.00</b>	<b>\$560,501.00</b>

## Capital Fund Program Five-Year Action Plan

**U. S. Department of Housing and Urban Development**

Office of Public and Indian Housing

**Expires: 4/30/2011**

## Part II: Supporting Pages - Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2010	Work Statement for Year 2011 FFY 2011			Work Statement for Year 2012 FFY 2012		
	Development Number/Name General Description of Major Work Items	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Items	Quantity	Estimated Cost
See						
Annual	NY039000001			NY039000001		
Statement	Riverview Towers			Riverview Towers		
	Elevator upgrade	2	254,001.00	Remodel community room	1	80,000.00
	Replace sprinkler heads	50	30,000.00	Replace hallway handrails	11	75,000.00
	NY039000002			NY039000002		
	Centennial Terrace			Centennial Terrace		
	Replace sprinkler heads	55	25,000.00	Remodel community room	1	80,000.00
				Replace hallway handrails	11	75,000.00
	NY039000004					
	Parkview Rise			NY039000003		
	Replace fluorescent light fixtures	50	15,000.00	Belmont Courts		
	Replace domestic hot water tanks		-	Replace sidewalks	25	39,001.00
	& new hot water heaters	2	65,000.00			
	Replace sprinkler heads	25	15,000.00	NY039000004		
				Parkview Rise		
				Replace vanities	50	20,000.00
				Replace toilets	50	35,000.00

# Capital Fund Program Five-Year Action Plan

U. S. Department of Housing and Urban Development

Office of Public and Indian Housing

Expires: 4/30/2011

## Part II: Supporting Pages - Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2010	Work Statement for Year <b>2013</b>			Work Statement for Year <b>2014</b>		
	FFY <b>2013</b>			FFY <b>2014</b>		
	Development Number/Name General Description of Major Work Items	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Items	Quantity	Estimated Cost
See	<b>NY039000001</b>			<b>NY039000001</b>		
Annual	<b>Riverview Towers</b>			<b>Riverview Towers</b>		
Statement	Replace first floor & basement	20	50,000.00	Upgrade public restrooms	2	40,000.00
	windows			Replace rear entry awning	1	40,000.00
	Upgrade trash handling equipment	1	25,000.00	Install community room air	1	25,000.00
	Replace west side door	1	20,000.00	conditioning unit		-
	Replace sidewalks	1	20,000.00			
				<b>NY039000002</b>		
	<b>NY039000002</b>			<b>Centennial Terrace</b>		
	<b>Centennial Terrace</b>			Replace roof	1	80,000.00
	Replace sidewalks & curbing	1	20,000.00			
				<b>NY039000003</b>		
	<b>NY039000003</b>			<b>Belmont Courts</b>		
	<b>Belmont Courts</b>			Replace dryer vents on building	126	15,000.00
	Emergency generator for heat	1	95,000.00	Replace smoke detectors	564	14,000.00
	Replace sidewalks	20	84,001.00	Point & seal chimneys	2	10,000.00
	Upgrade playground equipment	1	85,000.00	Garage door & add opener	1	2,500.00
				Replace tub & surround	126	100,000.00
	<b>NY039000004</b>			Replace main water shut offs	10	31,501.00
	<b>Parkview Rise</b>					
	Replace fluorescent light fixtures	20	5,000.00	<b>NY039000004</b>		
				<b>Parkview Rise</b>		
				Replace lighting in dining rooms	50	6,000.00
				Hallway carpet	6	20,000.00
				Garage addition or storage	1	20,000.00
				building		
		<b>Subtotal</b>	<b>\$404,001.00</b>		<b>Subtotal</b>	<b>\$404,001.00</b>

## Capital Fund Program Five-Year Action Plan

U. S. Department of Housing and Urban Development

Office of Public and Indian Housing

**Expires: 4/30/2011**

### Part III: Supporting Pages - Management Needs Work Statement(s)

Work Statement for Year 1 FFY 2010	Work Statement for Year <b><u>2010</u></b>		Work Statement for Year <b><u>2011</u></b>	
	FFY <b><u>2010</u></b>		FFY <b><u>2011</u></b>	
	Development Number/Name General Description of Major Work Items	Estimated Cost	Development Number/Name General Description of Major Work Items	Estimated Cost
See	<b>Operations</b>	70,000.00	<b>Operations</b>	70,000.00
Annual				
Statement	<b>Administration</b>		<b>Administration</b>	
	Management Fees	56,000.00	Management Fees	56,000.00
	<b>Fees and Costs</b>		<b>Fees and Costs</b>	
	A&E Fees	30,000.00	A&E Fees	30,000.00
	Advertising for bids	500.00	Advertising for bids	500.00
	<b>Subtotal</b>	<b>\$156,500.00</b>	<b>Subtotal</b>	<b>\$156,500.00</b>



## Capital Fund Program Five-Year Action Plan

U. S. Department of Housing and Urban Development

Office of Public and Indian Housing

**Expires: 4/30/2011**

### **Part III: Supporting Pages - Management Needs Work Statement(s)**

Work Statement for Year 1 FFY <b>2010</b>	Work Statement for Year <b><u>2012</u></b>		Work Statement for Year <b><u>2013</u></b>	
	<b><u>FFY 2012</u></b>		<b><u>FFY 2013</u></b>	
	Development Number/Name General Description of Major Work Items	Estimated Cost	Development Number/Name General Description of Major Work Items	Estimated Cost
See	<b>Operations</b>	70,000.00	<b>Operations</b>	70,000.00
Annual				
Statement	<b>Administration</b>		<b>Administration</b>	
	Management Fees	56,000.00	Management Fees	56,000.00
	<b>Fees and Costs</b>		<b>Fees and Costs</b>	
	A&E Fees	30,000.00	A&E Fees	30,000.00
	Advertising for bids	500.00	Advertising for bids	500.00
	<b>Subtotal</b>	<b>\$156,500.00</b>	<b>Subtotal</b>	<b>\$156,500.00</b>

**Annual Statement /Performance and Evaluation Report**  
**Capital Funds Program and Capital Fund Program Replacement Housing Factor and**  
**Capital Funds Financing Program**  
**Attachment ny039c01**

U. S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

**Part I: Summary**

PHA Name: <b>Ogdensburg Housing Authority</b>	Grant Type and Number: Capital Fund Program Grant No: <b>NY06P03950109</b> Date of CFFP: _____	Replacement Housing Factor Grant No: _____	FFY of Grant: <b>2009</b> FFY of Grant Approval: <b>2009</b>
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**Type of Grant**

- ☐ Original Annual Statement    ☐ Reserved for Disasters/Emergencies    ☐ Revised Annual Statement/Revision Number \_\_\_\_\_  
☒ Performance and Evaluation Report for Program Year Ending 9/30/09    ☐ Final Performance and Evaluation Report for Program Year Ending \_\_\_\_\_

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
1	Total non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) 3	70,000.00		0.00	0.00
3	1408 Management Improvements	0.00		0.00	0.00
4	1410 Administration (may not exceed 10% of line 20)	56,000.00		56,000.00	0.00
5	1411 Audit	0.00		0.00	0.00
6	1415 Liquidated Damages	0.00		0.00	0.00
7	1430 Fees and Costs	30,500.00		0.00	0.00
8	1440 Site Acquisition	0.00		0.00	0.00
9	1450 Site Improvement	0.00		0.00	0.00
10	1460 Dwelling Structures	404,001.00		0.00	0.00
11	1465.1 Dwelling Equipment-Nonexpendable	0.00		0.00	0.00
12	1470 Non-dwelling Structures	0.00		0.00	0.00
13	1475 Non-dwelling Equipment	0.00		0.00	0.00
14	1485 Demolition	0.00		0.00	0.00
15	1492 Moving to Work Demonstration	0.00		0.00	0.00
16	1495.1 Relocation Costs	0.00		0.00	0.00
17	1499 Development Activities 4	0.00		0.00	0.00
18a	1501 Collateralization or Debt Service paid by the PHA	0.00		0.00	0.00
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	0.00		0.00	0.00
19	1502 Contingency (may not exceed 8% of line 20)	0.00		0.00	0.00
20	<b>Amount of Annual Grant (sums of lines 2-19)</b>	<b>\$560,501.00</b>		<b>\$56,000.00</b>	<b>\$0.00</b>
21	Amount of Line 20 Related to LBP Activities	0.00		0.00	0.00
22	Amount of Line 20 Related to Section 504 Compliance	0.00		0.00	0.00
23	Amount of Line 20 Related to Security - Soft Costs	0.00		0.00	0.00
24	Amount of Line 20 Related to Security - Hard Costs	0.00		0.00	0.00
25	Amount of Line 20 Related to Energy Conservation Measures	0.00		0.00	0.00

Signature of Executive Director

Date

Signature of Public Housing Director

Date

*William J. Symp* 11-25-09

Page \_\_1\_\_ of \_\_2\_\_

- 1 To be completed for the Performance and Evaluation Report  
2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
4 RHF funds shall be included here.

**Annual Statement /Performance and Evaluation Report**  
**Capital Funds Program and Capital Fund Program Replacement Housing Factor and**  
**Capital Funds Financing Program**

U. S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

## Part II: Supporting Pages

PHA Name: <b>Ogdensburg Housing Authority</b>		Grant Type and Number: Capital Fund Program Grant No: <b>NY06P03950109</b> CFFP (Yes/ No): <b>No</b> Replacement Housing Factor Grant No.					Federal FFY of Grant: <b>2009</b>	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
HA-Wide	Operations	1406		70,000.00		0.00	0.00	
HA-Wide	Management Fee	1410		56,000.00		56,000.00	0.00	
HA-Wide	A&E	1430		30,000.00		0.00	0.00	
	Advertising	1430		500.00		0.00	0.00	
NY 39-1								
Riverview	Remove House Pumps	1460		20,000.00		0.00	0.00	
Towers	Toilet Replacement	1460		55,000.00		0.00	0.00	
	Main floor & basement heater replacement	1460		10,000.00		0.00	0.00	
NY 39-3								
Belmont	Brick restoration	1460		269,001.00		0.00	0.00	
Courts	Radiator valves	1460		50,000.00		0.00	0.00	
TOTAL 2009 CAPITAL FUNDS GRANT				\$560,501.00		\$56,000.00	\$0.00	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Page 2 of 2

form HUD-50075.1 (4/2008)

**Annual Statement /Performance and Evaluation Report**  
**Capital Funds Program and Capital Fund Program Replacement Housing Factor and**  
**Capital Funds Financing Program**  
Attachment ny039d01

U. S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

**Part I: Summary**

PHA Name: <b>Ogdensburg Housing Authority</b>	Grant Type and Number: Capital Fund Program Grant No: <b>NY06S03950109</b> Date of CFFP: _____	Replacement Housing Factor Grant No: _____	FFY of Grant: <b>2009</b> FFY of Grant Approval: <b>2009</b>
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Type of Grant

☐ Original Annual Statement    ☐ Reserved for Disasters/Emergencies  
☒ Performance and Evaluation Report for Program Year Ending 9/30/09

☒ Revised Annual Statement/Revision Number 1

☐ Final Performance and Evaluation Report for Program Year Ending \_\_\_\_\_

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
1	Total non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) 3	0.00	0.00	0.00	0.00
3	1408 Management Improvements	0.00	0.00	0.00	0.00
4	1410 Administration (may not exceed 10% of line 20)	20,000.00	69,400.00	69,400.00	3,415.54
5	1411 Audit	0.00	0.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	30,500.00	39,000.00	39,000.00	13,169.98
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	0.00	0.00	0.00	0.00
10	1460 Dwelling Structures	644,031.00	586,131.00	216,032.00	19,106.17
11	1465.1 Dwelling Equipment-Nonexpendable	0.00	0.00	0.00	0.00
12	1470 Non-dwelling Structures	0.00	0.00	0.00	0.00
13	1475 Non-dwelling Equipment	0.00	0.00	0.00	0.00
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
16	1495.1 Relocation Costs	0.00	0.00	0.00	0.00
17	1499 Development Activities 4	0.00	0.00	0.00	0.00
18a	1501 Collateralization or Debt Service paid by the PHA	0.00	0.00	0.00	0.00
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	0.00	0.00	0.00	0.00
19	1502 Contingency (may not exceed 8% of line 20)	0.00	0.00	0.00	0.00
20	Amount of Annual Grant (sums of lines 2-19)	\$694,531.00	\$694,531.00	\$324,432.00	\$35,691.69
21	Amount of Line 20 Related to LBP Activities	0.00	0.00	0.00	0.00
22	Amount of Line 20 Related to Section 504 Compliance	108,000.00	108,000.00	0.00	0.00
23	Amount of Line 20 Related to Security - Soft Costs	0.00	0.00	0.00	0.00
24	Amount of Line 20 Related to Security - Hard Costs	0.00	0.00	0.00	0.00
25	Amount of Line 20 Related to Energy Conservation Measures	0.00	0.00	0.00	0.00

Signature of Executive Director

Date

Signature of Public Housing Director

Date

1 To be completed for the Performance and Evaluation Report  
2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
4 RHF funds shall be included here.

**Annual Statement /Performance and Evaluation Report**  
**Capital Funds Program and Capital Fund Program Replacement Housing Factor and**  
**Capital Funds Financing Program**

U. S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

**Part II: Supporting Pages**

PHA Name:

Ogdensburg Housing Authority

Grant Type and Number:

Capital Fund Program Grant No: NY06S03950109

CFFP (Yes/ No): No

Federal FFY of Grant:

2009

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
HA-Wide	Management Fees	1410		20,000.00	69,400.00	69,400.00	3,415.54	
HA-Wide	A&E Fees	1430		30,000.00	38,500.00	38,500.00	13,005.00	
	Advertising of CFP-Project Specific	1430		500.00	500.00	500.00	164.98	
NY 39-001								
Riverview Towers	Replace Boilers	1460		70,000.00	64,741.00	64,741.00	10,606.17	
NY 39-002								
Centennial	Replace Trash Elevator & Bins	1460		85,000.00	85,000.00	0.00	0.00	
Terrace	Replace Boilers	1460		70,000.00	64,741.00	64,741.00	0.00	
NY 39-003								
Belmont Courts	Handicapped Apartments	1460		108,000.00	108,000.00	0.00	0.00	
	Replace Apartment Steps	1460		147,031.00	87,099.00	0.00	0.00	
NY 39-004								
Parkview Rise	Remove Solar Panels	1460		0.00	8,500.00	8,500.00	8,500.00	
	Emergency Generator Relocation	1460		90,000.00	90,000.00	0.00	0.00	
	Replace Roof	1460		74,000.00	78,050.00	78,050.00	0.00	
TOTAL 2009 ARRA CAPITAL FUNDS GRANT				\$694,531.00	\$694,531.00	\$324,432.00	\$35,691.69	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
2 To be completed for the Performance and Evaluation Report

**Annual Statement /Performance and Evaluation Report**  
**Capital Funds Program and Capital Fund Program Replacement Housing Factor and**  
**Capital Funds Financing Program**  
Attachment ny039e01

U. S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

**Part I: Summary**

PHA Name: <b>Ogdensburg Housing Authority</b>	Grant Type and Number: Capital Fund Program Grant No: <b>NY06P03950108</b> Date of CFFP: _____	Replacement Housing Factor Grant No: _____	FFY of Grant: <b>2008</b> FFY of Grant Approval: <b>2008</b>
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**Type of Grant**

- ☐ Original Annual Statement    ☐ Reserved for Disasters/Emergencies    ☒ Revised Annual Statement/Revision Number   2    
☒ Performance and Evaluation Report for Period Ending 9/30/09    ☐ Final Performance and Evaluation Report for Program Year Ending \_\_\_\_\_

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
1	Total non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) 3	70,000.00	70,000.00	0.00	0.00
3	1408 Management Improvements	5,000.00	0.00	0.00	0.00
4	1410 Administration (may not exceed 10% of line 20)	54,500.00	54,500.00	54,500.00	54,500.00
5	1411 Audit	0.00	0.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	17,250.00	21,050.00	21,050.00	18,807.20
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	0.00	0.00	0.00	0.00
10	1460 Dwelling Structures	401,939.00	403,139.00	403,139.00	135,190.67
11	1465.1 Dwelling Equipment-Nonexpendable	0.00	0.00	0.00	0.00
12	1470 Non-dwelling Structures	0.00	0.00	0.00	0.00
13	1475 Non-dwelling Equipment	0.00	0.00	0.00	0.00
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
16	1495.1 Relocation Costs	0.00	0.00	0.00	0.00
17	1499 Development Activities 4	0.00	0.00	0.00	0.00
18a	1501 Collateralization or Debt Service paid by the PHA	0.00	0.00	0.00	0.00
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	0.00	0.00	0.00	0.00
19	1502 Contingency (may not exceed 8% of line 20)	0.00	0.00	0.00	0.00
20	Amount of Annual Grant (sums of lines 2-19)	\$548,689.00	\$548,689.00	\$478,689.00	\$208,497.87
21	Amount of Line 20 Related to LBP Activities	0.00	0.00	0.00	0.00
22	Amount of Line 20 Related to Section 504 Compliance	0.00	0.00	0.00	0.00
23	Amount of Line 20 Related to Security - Soft Costs	0.00	0.00	0.00	0.00
24	Amount of Line 20 Related to Security - Hard Costs	0.00	0.00	0.00	0.00
25	Amount of Line 20 Related to Energy Conservation Measures	0.00	0.00	0.00	0.00

Signature of Executive Director

Date

Signature of Public Housing Director

Date

*William J. Legrand* 11-25-09

Page   1   of   2  

- 1 To be completed for the Performance and Evaluation Report  
2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
4 RHF funds shall be included here.

## Capital Funds Financing Program

**Expires 4/30/2011**

## Part II: Supporting Pages

PHA Name:		Ogdensburg Housing Authority		Grant Type and Number: Capital Fund Program Grant No: NY06P03950108 Replacement Housing Factor Grant No.				CFFP (Yes/ No): No		Federal FFY of Grant: 2008	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work			
				Original	Revised 1	Funds Obligated 2	Funds Expended 2				
HA-Wide	Operations	1406		70,000.00	70,000.00	0.00	0.00				
HA-Wide											
Mgmt. Impv.	PHAS/Regulation Training	1408		1,000.00	0.00	0.00	0.00				
	Agency Annual Plan	1408		4,000.00	0.00	0.00	0.00				
HA-Wide											
Admin.	Management Fees	1410		54,000.00	54,000.00	54,000.00	54,000.00				
	Advertising for Bids-Project Specific	1410		500.00	500.00	500.00	500.00				
HA-Wide											
Fees & Costs	A&E Fees	1430		17,250.00	21,050.00	21,050.00	18,807.20				
NY 39-003											
Belmont Courts	Handicapped Apartments	1460	3	401,939.00	403,139.00	403,139.00	135,190.67				

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Page 2 of 2

form HUD-50075.1 (4/2008)

**Annual Statement /Performance and Evaluation Report**  
**Capital Funds Program and Capital Fund Program Replacement Housing Factor and**  
**Capital Funds Financing Program**  
Attachment ny039f01

U. S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

**Part I: Summary**

PHA Name: <b>Ogdensburg Housing Authority</b>	Grant Type and Number: Capital Fund Program Grant No: <b>NY06P03950107</b> Date of CFFP: _____	Replacement Housing Factor Grant No: _____	FFY of Grant: <b>2007</b> FFY of Grant Approval: <b>2007</b>
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**Type of Grant**

☐ Original Annual Statement    ☐ Reserved for Disasters/Emergencies

☐ Revised Annual Statement/Revision Number \_\_\_\_\_

☒ Performance and Evaluation Report for Period Ending 9/30/09

☐ Final Performance and Evaluation Report for Program Year Ending \_\_\_\_\_

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
1	Total non-Capital Funds				
2	1406 Operating Expenses (may not exceed 20% of line 20) 3	70,000.00	70,000.00	70,000.00	70,000.00
3	1408 Management Improvements	4,608.35	3,655.95	3,655.95	3,655.95
4	1410 Administration (may not exceed 10% of line 20)	49,720.65	49,456.78	49,456.78	49,456.78
5	1411 Audit	0.00	0.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	9,400.00	9,400.00	9,400.00	9,400.00
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	0.00	0.00	0.00	0.00
10	1460 Dwelling Structures	338,957.00	340,173.27	340,173.27	340,173.27
11	1465.1 Dwelling Equipment-Nonexpendable	0.00	0.00	0.00	0.00
12	1470 Non-dwelling Structures	47,169.00	47,169.00	47,169.00	47,169.00
13	1475 Non-dwelling Equipment	0.00	0.00	0.00	0.00
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
16	1495.1 Relocation Costs	0.00	0.00	0.00	0.00
17	1499 Development Activities 4	0.00	0.00	0.00	0.00
18a	1501 Collateralization or Debt Service paid by the PHA	0.00	0.00	0.00	0.00
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	0.00	0.00	0.00	0.00
19	1502 Contingency (may not exceed 8% of line 20)	0.00	0.00	0.00	0.00
20	Amount of Annual Grant (sums of lines 2-19)	\$519,855.00	\$519,855.00	\$519,855.00	\$519,855.00
21	Amount of Line 20 Related to LBP Activities	0.00	0.00	0.00	0.00
22	Amount of Line 20 Related to Section 504 Compliance	0.00	0.00	0.00	0.00
23	Amount of Line 20 Related to Security - Soft Costs	0.00	0.00	0.00	0.00
24	Amount of Line 20 Related to Security - Hard Costs	0.00	0.00	0.00	0.00
25	Amount of Line 20 Related to Energy Conservation Measures	0.00	0.00	0.00	0.00

Signature of Executive Director

Date

Signature of Public Housing Director

Date

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- 1 To be completed for the Performance and Evaluation Report  
2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
4 RHF funds shall be included here.



**Annual Statement /Performance and Evaluation Report  
Capital Funds Program and Capital Fund Program Replacement Housing Factor and  
Capital Funds Financing Program**

U. S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

**Part II: Supporting Pages**

PHA Name: <b>Ogdensburg Housing Authority</b>		Grant Type and Number: Capital Fund Program Grant No: <b>NY06P03950107</b>		CFFP (Yes/ No): <b>No</b>		Federal FFY of Grant: <b>2007</b>		
Replacement Housing Factor Grant No.								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
PHA-Wide	Operations	1406		70,000.00	70,000.00	70,000.00	70,000.00	
PHA-Wide	PHAS/Regulation Training	1408		1,000.00	570.00	570.00	570.00	
PHA-Wide	Agency Annual Plan	1408		3,608.35	3,085.95	3,085.95	3,085.95	
PHA-Wide	Administration of CFP	1410		49,220.65	49,220.65	49,220.65	49,220.65	
PHA-Wide	Advertising for Bids	1410		500.00	236.13	236.13	236.13	
PHA-Wide	A&E Fees	1430		9,400.00	9,400.00	9,400.00	9,400.00	
NY039-002								
Centennial Terrace	Handicapped Apartments	1460	6	143,855.00	163,225.71	163,225.71	163,225.71	
NY039-003								
Belmont Courts	Handicapped Apartments	1460	1	187,833.77	169,679.33	169,679.33	169,679.33	
	Boiler Room Roofs	1470	2	47,169.00	47,169.00	47,169.00	47,169.00	
NY039-004								
Parkview Rise	Brick Restoration	1460		7,268.23	7,268.23	7,268.23	7,268.23	
<b>TOTAL 2007 CAPITAL FUNDS GRANT</b>				<b>\$519,855.00</b>	<b>\$519,855.00</b>	<b>\$519,855.00</b>	<b>\$519,855.00</b>	<b>100% Completed</b>

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

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form HUD-50075.1 (4/2006)

Attachment: ny039g01  
Ogdensburg Housing Authority  
Resident Advisory Board Consultation process – FYB 2010

- 1. Resident notification of appointment to the Advisory Board**  
At beginning of PHA Plan process notify president of each tenant association or the tenant representative for each property.  
October 29, 2009
- 2. Resident Advisory Board Selection**  
Selection made from resident/participant response – November 6, 2009
- 3. Meeting Organization**  
Schedule date to meet with Resident Advisory Board for input to PHA Plan – October 29, 2009  
  
Notify Resident Advisory Board of scheduled meeting – October 29, 2009  
  
Hold Resident Advisory Board meeting – November 17, 2009
- 4. Notification of Public Hearing**  
Schedule date for Public Hearing and place ad - October 29, 2009  
  
Hold Public Hearing meeting – December 29, 2009
- 5. Documentation of resident recommendations and PHA's response to recommendations**

**Ogdensburg Housing Authority  
RAB Comments  
November 17, 2009**

**Comment #1:** Will there be any more handicapped apartments remodeled in Centennial Terrace?

**PHA Response:** No, the last phase of remodeling put us at the HUD mandated 5%.

- Comment #2:** **Will there be new cameras installed in the lobby so that the whole front door entrance is visible?**
- PHA Response:** No, the purpose of the camera is so that the tenants can see who is at the intercom buzzing their apartment for entry to the building. It was never intended to be surveillance on the front door.
- Comment #3:** **Can current public housing tenants move to the Section 8 program?**
- PHA Response:** Yes, as long as they go through the regular application process with the Section 8 program and they do not owe a balance on the public housing side.
- Comment #4:** **Can tenants move between buildings/swap apartments in the same building?**
- PHA Response:** Transfers for any reason must meet the criteria in our policy.
- Comment #5:** **Why are the front doors of the building not locked 24 hours per day?**
- PHA Response:** The tenants voted to keep the front doors unlocked during regular business hours of the Authority.