

<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
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<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Lawrence Housing Authority</u> PHA Code: <u>MA010</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>04/2010</u>												
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>1056</u> Number of HCV units: <u>1023</u>												
<b>3.0</b>	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only												
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)												
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program <table border="1"> <thead> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> </tr> </tbody> </table>	PH	HCV	PHA 1:		PHA 2:		PHA 3:	
PH	HCV												
PHA 1:													
PHA 2:													
PHA 3:													
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.												
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The mission of the Lawrence Housing Authority (LHA) is to promote adequate and affordable housing, economic opportunity and suitable living environment free from discrimination,. The mission of the Housing Authority of the City of Lawrence is to assist low-income families with safe, decent, and affordable housing opportunities as they strive to achieve self-sufficiency and improve the quality of their opportunities as they strive to achieve self-sufficiency and improve the quality of their lives. The LHA is committed to operating in an efficient, ethical, and professional manner. The LHA will create and maintain partnerships with its clients and appropriate community agencies in order to accomplish this mission.												

5.2

**Goals and Objectives.** Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

Increase the availability of decent, safe, and affordable housing:

Goal 1 -- Increase the availability of decent, safe, and affordable housing. Expand the supply of assisted housing.

Objective: Apply for additional rental vouchers, leveraging private or other public funds to create additional housing opportunities and acquire or build units or developments.

Goal 2 -- Improve the quality of assisted housing

Objectives: Improving public housing management score . Renovate or modernize public housing units.

Goal 3-- Increase assisted housing choices

Objectives: Provide voucher mobility counseling and, to conduct outreach efforts to potential voucher landlords.

Goal 4 -- Improve community quality of life and economic vitality: Provide an improved living environment

Objective: Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments: implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments: implement public housing security improvements.

Goal 5 -- Promote self-sufficiency and asset development of assisted households

Objectives: Increase the number and percentage of employed persons in assisted families and to provide or attract supportive services to improve assistance recipients' employability.

Goal 6 -- Ensure equal opportunity and affirmatively further fair housing for all Americans

Objectives: Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required.

Goal 7 -- Manage the LHA in a manner that results in full compliance with applicable statutes and regulations as defined by program audit findings.

Objectives: The LHA shall promote a motivating work environment with capable and efficient team of employees to operate as a customer-friendly and fiscally prudent leader in the affordable housing industry.

Goal 8 -- Assist our community increase the availability of affordable, suitable housing for families in the very-low income range, sited as a need in our Consolidated Plan.

Objectives: The LHA is working with Community Based Agencies.

Goal 9-- Enhance the marketability of the LHA's public housing units. Make public housing the affordable housing choice for the very low income residents of our community.

Objectives: The LHA shall achieve proper curb appeal for its public housing developments by improving its landscaping, keeping its grass cut, making the properties litter-free. The LHA has become a more customer friendly oriented organization.

Goal 10 -- Provide a safe and secure environment in the LHA public housing developments.

Objectives: The LHA will work with Lawrence Police Department so that the crime rate is equal to or less than their surrounding neighborhood.

Goal 11- - Manage the LHA's tenant based program in an efficient and effective manner thereby qualifying as at least a standard performer under SEMAP

Objectives: The LHA maintains an aggressive outreach program to attract new landlords to participate in its program.

Goal 12 -- Maintain the LHA's real estate in a decent condition. Deliver timely and high quality maintenance service to the residents of the LHA.

Objectives: The LHA shall maintain create an appealing up to date environment its developments. The LHA shall maintain an average response time of 24 hours in responding to emergency work orders.

Goal 13 -- Operating LHA in a full compliance with all Equal Opportunity laws and regulations.

Objectives: The LHA shall mix its public housing development populations as much as possible ethnically, racially, and income wise as much as possible.

Goal 14 -- Ensure full compliance with all applicable standards and regulations including government generally accepted account practices.

Objectives: The LHA shall maintain adequate reserves of operating expenditures.

Goal 15- The LHA will promote programs to achieve self-sufficiency and economic opportunity to improve the quality of life for its clients.

Objectives: The LHA will team up with organizations such as Boys & Girls Clubs, Justice Department, Weed & Seed, and Job Training Partners to use community room & Facilities to promote economic opportunities, job training, and recreational activities.

**Progress Report on the previous 5 year goals.**

Goal One: The LHA has promoted a motivating work environment. The Authority has transitioned to Asset Based Management upgraded its software and hardware agency wide. The Authority has strived to operate fiscally, customer friendly and in full compliance.

Goal Two: The LHA has worked closely with Bread & Roses Inc., a local non-profit community based organization that has build affordable housing for the LHA residents and low-income individuals.

Goal Three: The LHA continues to upgrade the Authority's developments with Capital Funds. The condition of the developments are better than the surrounding housing stock. The LHA gives a high priority to the exterior of the buildings.

Goal Four: The LHA continues to work with the local police, D.A. office and State Police. The LHA allows law enforcement to use the LHA facilities as residence and offices. The Authority continues to very successful program originally funded under the PHDEP Program.

Goal Five: The LHA has been designated as a High Performer under the SEMAP Program.

Goal Six: The LHA has maintained its developments in decent reparable condition. The response time for the delivery of maintenance services exceeds the Housing Standards. The Authority has received the rating as a High Performance Agency.

Goal Seven: The LHA is in full compliance with all equal opportunity laws and regulations.

Goal Eight: The LHA is in full compliance with government (GAAP) accounting. The Authority has hired a full time C.P.A. to head up the Agency's Financial Team and upgrade of software & hardware to support a fully integrated account system. The LHA IPA Audits have had no findings.

Goal Nine: The LHA has teamed up with the LHA Boys & Girls Club to open a facility at the Amp. 2 – Beacon Courts Development. This facility includes computer/homework area along with recreation and counseling areas . The Authority also work with various community based job training partners to promote economic opportunities/recreational activities for our residence. The Authority will continue to look for funding as it had in the past under HUD Drug Elimination, Sports Club and Justice Department Weed & Seed Programs to offer residents more economic opportunities/job training/recreational activities.

Goal Ten: The LHA Community Facility Multi-Service at Amp 1 was destroyed in a fire. The Authority moved the Lawrence Boys and Girls Club to a renovated Community Facility at the Beacon Development –Amp2. This facility meets the needs of Residents . Due to the location on the south side of the City the Community Center 580 Haverhill St. has been used for storage for the Mod Program.

6.0	<p><b>PHA Plan Update</b></p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: Flat Rents – Amendments. ACOP – Transfer Policy – Section 8 Admin Plan &amp; ACOP Policy update on Federal Housing Assistance to Lifetime Sex Offenders and Addition to application – Optional Contact Person.</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. City of Lawrence Library – LHA Main Office – Occupancy Department – MA010000101 – MA010000102 – MA010000103 – MA010000104</p>
7.0	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable. N/A</i></p>
8.0	<p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable. See Attached</p>
8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing. See Attached</p>
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. <b>See Attached</b></p>
8.3	<p><b>Capital Fund Financing Program (CFFP).</b> <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. Housing Needs of Families in the Jurisdiction/s served by the LHA- Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and or other data available to the LHA. Family Type Income 30% of AMI – Income 30% but =50% of AMI Income 50% but 80 % of AMI – Elderly and Families with Disabilities. There is a severe impact on Affordability – A severe impact Supply. There is also Quality Accessibility Size and Location all having a great impact on the housing needs of Families in the LHA’s jurisdiction.</p>
9.1	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. Shortage of affordable housing for all eligible populations:</b> <b>Maximize the numbers of affordable units available to the LHA within its current resources by:</b> Employ effective maintenance and management policies to minimize the number of public housing units off-line. – Reduce turnover time for vacated public housing units. – Reduce time to renovate public housing units. – Maintain or increase Section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction. – Undertake measures to ensure access to affordable housing amount families assisted by the LHA, regardless of unit size required. – Maintain or increase Section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program. – Participate in the Consolidated Plan Development process to ensure coordination broader community strategies. <b>Increase the number of affordable housing units by:</b> Apply for additional Section 8 units should they become available – Pursue housing resources other than public housing or Section 8 tenant-based assistance. Families below 30% of AMI – exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing. – Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance. Adopt rent policies to support and encourage work. Families below 50% of median. –Employ admissions preferences aimed at families who are working – Adopt rent policies to support and encourage work. Target available assistance to the elderly. – Apply for special-purpose vouchers targeted to the elderly, should they become available. Target available assistance to families with Disabilities. – Carry out the modifications needed in public housing based on the section 504 Needs assessment for Public Housing – Apply for special purpose vouchers targeted to families with disabilities should they become available. Affirmatively market to local non-profit agencies that assist families with disabilities. Conduct activities to affirmatively further fair housing – Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units. Market the section 8 program to owners outside of areas of poverty/minority concentration. <b>Reasons for Selecting Strategies.</b> <b>Funding constraints.</b> – Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the LHA. <b>Results of consultation with local or state government</b> – Results of consultation with residents and the Resident Advisory Board.</p>

<p><b>10.0</b></p>	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan. The LHA has maintained an average PHAS Score for the past 5 years of 93% and designated as a High Performer, our SEMAP Score is 100 and the IPA Audit had no findings.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification” The LHA hereby defines substantial deviation and significant amendment or modification as any change in policy which significantly and substantially alters the Authority’s stated mission and the persons the Authority serves. This would include admissions preferences, demolition or disposition activities, and homeownership or conversion programs. Discretionary or administrative amendments consonant with the Authority’s stated overall mission and basic objectives will not be considered substantial deviations or significant modifications.</p>
<p><b>11.0</b></p>	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the   <sup>X</sup> 5-Year and/or   <sup>X</sup> Annual PHA Plan for the PHA fiscal year beginning   4/10  , hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Lawrence Housing Authority

MA010


PHA Name

PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 20 10 - 20 14

Annual PHA Plan for Fiscal Years 20 10 - 20 10

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official David J. DiFilippo	Title Chairman
Signature 	Date 1/5/2010

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Lawrence Housing Authority

Program/Activity Receiving Federal Grant Funding

Fiscal Year Beginning 4/1/2010  
Agency Plan, Annual Pla, Capital Fund Grant

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

**2. Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

- MA010000101 Merrimack Ct. 56 Melvin St. Las., Essex County MA 01841
- MA010000102 Beacon Courts 71 Duckett Avenue Las., Essex County MA 01843\*\*
- MA010000103 Lawrence Elderly 65 Union St., Las., MA Essex County MA 01841\*
- MA010000104 Elm St. 353 Elm St. Law., MA Essex County MA 01841

\*\* Market & Loring Street - 413 Hampshire St.

\* Elderly No. Hampshire, Morton, Exchange St.  
Elderly South Salem, Blanchard, Andover St.

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
David J. DiFilippo	Chairman
Signature	Date
X <i>David J. DiFilippo</i>	1/5/40

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

Lawrence Housing Authority

Program/Activity Receiving Federal Grant Funding

Fiscal Year Beginning 4/1/2010  
Agency Plan, Annual Plan, Capital Fund Grant

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

David J. DiFilippo

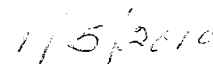
Title

Chairman

Signature



Date (mm/dd/yyyy)





## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:  Congressional District, if known: 4c	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>  Congressional District, if known:	
<b>6. Federal Department/Agency:</b> Lawrence HOusing Authority 353 Elm Street Lawrence, MA      01841	<b>7. Federal Program Name/Description:</b> Comprehensive Agency Plan - Annual Plan - Capital Fund Grant CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$	
<b>10. a. Name and Address of Lobbying Registrant</b> (if individual, last name, first name, MI):	<b>b. Individuals Performing Services</b> (including address if different from No. 10a) (last name, first name, MI):	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u>David J. DiFilippo</u> Print Name: <u>David J. DiFilippo</u> Title: <u>Chairman</u> Telephone No.: <u>978-685-3811</u> Date: <u>1/5/2016</u>	
<b>Federal Use Only:</b>	Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)	

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

DISCLOSURE OF LOBBYING ACTIVITIES  
CONTINUATION SHEET

Approved by OMB  
0348-0046

Reporting Entity: \_\_\_\_\_ Page \_\_\_\_\_ of \_\_\_\_\_

## **LAWRENCE HOUSING AUTHORITY**

### **RESIDENT ADVISORY BOARD**

#### **COMMENTS**

The Lawrence Housing Authority has engaged in an extensive process of seeking resident and public comments on our Agency Plan. In the course of compiling the Plan we engaged in the following process:

The Eagle Tribune Legal Notice on August 12, 2009 and August 19, 2009. Resident Participation – Advisory Board – Notice Posted in all Federal Development Offices.

The Eagle Tribune Legal Notice on October 31, 2009, November 12, 2009 and December 2, 2009 Legal Notice – 5 Year Agency Plan – Annual Plan 2010 for Review.

Three Resident Advisory Board Meetings were held as well as several meetings with the Resident Councils at various developments sites. Also two public hearings were held on December 15, 2009 and January 5, 2010.

Residents were explained the changes to ACOP – Transfer Policy – Section 8 Admin. Plan & ACOP Policy update on Federal Housing Assistance to Lifetime Sex Offenders an Addition to Application – Optional Contact Person and the increase of the Flat Rent effective 4/1/2010. Also the residents were told to submit a wish list for Capital Fund Grant 2010 which requests were included in the Capital Fund 2010.

Residents were pleased with the Capital Fund 2010 and approved the Flat Rent Changes as well as the ACOP changes.

#### **Challenged Elements**

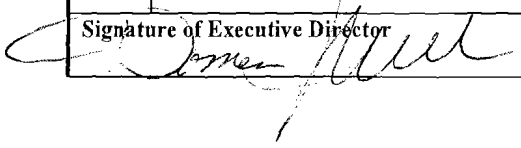
There were no Challenged Elements of the Agency Plan – Annual Plan 2010.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
<b>PHA Name:</b> Lawrence Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: MA06P01050107 Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2007 <b>FFY of Grant Approval:</b>
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2009 <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$50,000.00	50,000.00	50,000.00	50,000.00
3	1408 Management Improvements	192,893.00	192,893.00	192,893.00	192,893.00
4	1410 Administration (may not exceed 10% of line 21)	125,000.00	125,000.00	125,000.00	125,000.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	60,000.00	46,036.68	46,036.68	46,036.68
8	1440 Site Acquisition				
9	1450 Site Improvement	410,000.00	0	0	0
10	1460 Dwelling Structures	962,000.00	1,385,963.32	1,385,963.32	1,385,963.32
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)				
21	Amount of line 20 Related to LBP Activities	\$1,799,893.00	1,799,893.00	1,799,893.00	1,799,893.00
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>					
PHA Name:  Lawrence Housing Authority		Grant Type and Number Capital Fund Program Grant No: MA06P01050107    Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2007 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2009 <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
	Signature of Executive Director 	Date 2/5/10	Signature of Public Housing Director		Date

<b>Part II: Supporting Pages</b>								
PHA Name: Lawrence Housing Authority			Grant Type and Number Capital Fund Program Grant No: MA06P01050107 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
MA06P010001	Heating Controls	1460		182,000.00	0	0	0	
	Site Improvements	1450		50,000.00	0	0	0	
	Concrete Repair	1450		100,000.00	0	0	0	
	Flooring	1460		50,000.00	0	0	0	
	Painting	1460		50,000.00	0	0	0	
	Boiler Replacement (08-1)	1460		0	305,695.23	305,695.23	305,695.23	
MA06P0100002	Heating Controls	1460		100,000.00	18,932.41	18,932.41	18,932.41	
	Site Improvements	1450		50,000.00	0	0	0	
	Concrete Repair	1450		50,000.00	0	0	0	
	Flooring	1460		50,000.00	142,458.67	142,458.67	142,458.67	
	Canopies (04-6-05-50,06-35)	1460		0	39,526.90	39,526.90	39,526.90	
	Bathrooms (4-5,5-52,6-39)	1460		0	95,691.39	95,691.39	95,691.39	
MA06P0100003	Heating Controls	1460		75,000.00	0	0	0	
	Site Improvements	1450		50,000.00	0	0	0	
	Kitchen Upgrade (5yrap 06-6-42)	1460		0	526,563.98	526,563.98	526,563.98	
	Doors (06-12)	1460		0	41,870.27	41,870.27	41,870.27	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Lawrence Housing Authority		Grant Type and Number Capital Fund Program Grant No: MA06P01050107 CFFP (Yes/ No): Replacement Housing Factor Grant No:				Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sup>2</sup>	
MA06P0100003	Bathrooms Upgrade (5yrp06)	1460		0	94,162.01	94,162.01	94,162.01	
				0				
MA06P0100004	Heating Controls	1460		75,000.00	1,292.34	1,292.34	1,292.34	
	Site Work	1450		50,000.00	0	0	0	
	Doors (06-48)	1460		0	109,235.20	109,235.20	109,235.20	
MA06P0100005	Site Improvements	1450		20,000.00	0	0	0	
MA06P0100006	Site Improvements	1450		20,000.00	0	0	0	
	Flooring	1460		30,000.00	4,750.37	4,750.37	4,750.37	
	Painting	1460		20,000.00	5,784.55	5,784.55	5,784.55	
MA06P0100007	Heating Controls	1460		30,000.00	0	0	0	
	Bathroom	1460		200,000.00	0	0	0	
	Flooring	1460		50,000.00	0	0	0	
						1		
MA06P0100015	Painting	1460		50,000.00	0	0	0	
	Site Improvements	1450		20,000.00	0	0	0	
PHA/Wide	Administrative	1410		125,000.00	125,000.00	125,000.00	125,000.00	
PHA/Wide Mngt.	A/E Fees for Above(see above)	1430		60,000.00	46,036.68	46,036.68	46,036.68	
PHA/Wide Mngt.	Management Improvements	1408		192,893.00	192,893.00	192,893.00	192,893.00	
	Operations	1406		50,000.00	50,000.00	50,000.00	50,000.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.



<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <b>Lawrence Housing Authority</b>					<b>Federal FFY of Grant:</b> 2007
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Lawrence Housing Authority					Federal FFY of Grant: 2007
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
MA06P0100001	9/13/09	3/31/09	9/13/11	8/31/09	
MA06P0100002	9/13/09	3/31/09	9/13/11	8/31/09	
MA06P0100003	9/13/09	3/31/09	9/13/11	8/31/09	
MA06P0100004	9/13/09	3/31/09	9/13/11	8/31/09	
MA06P0100005	9/13/09	3/31/09	9/13/11	8/31/09	
MA06P0100006	9/13/09	3/31/09	9/13/11	8/31/09	
MA06P0100007	9/13/09	3/31/09	9/13/11	8/31/09	
MA06P0100015	9/13/09	3/31/09	9/13/11	8/31/09	
PHA/Wide Admin	9/13/09	3/31/09	9/13/11	8/31/09	
PHA/ Wide Mngt.	9/13/09	3/31/09	9/13/11	8/31/09	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
<b>PHA Name:</b> Lawrence Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: MA06P01050108    Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2008 <b>FFY of Grant Approval:</b>
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2009 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$50,000.00		50,000.00	50,000.00
3	1408 Management Improvements	170,000.00		150,000.00	150,000.00
4	1410 Administration (may not exceed 10% of line 21)	154,828.00		154,828.00	154,828.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	75,000.00		0.00	0.00
8	1440 Site Acquisition				
9	1450 Site Improvement	112,432.00		0.00	0.00
10	1460 Dwelling Structures	1,012,461.00		521,000.00	473,742.19
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	325,000.00		325,000.00	217,083.59
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)				
21	Amount of line 20 Related to LBP Activities	\$1,899,721.00		1,200,828.00	1,045,653.78
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: Lawrence Housing Authority		Grant Type and Number Capital Fund Program Grant No: MA06P01050108 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2008 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2009 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>1</sup>	Obligated	Expended
Signature of Executive Director <i>James Mill</i>		Date 1/25/10	Signature of Public Housing Director		Date

<b>Part II: Supporting Pages</b>								
PHA Name: Lawrence Housing Authority			Grant Type and Number Capital Fund Program Grant No: MA06P01050108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
MA06P0100001	Boiler Replacement	1460		400,000.00		450,000.00	408,583.87	W.I.P.
	Hot Water Tank	1460		100,000.00		0	0	
	Concrete Repair	1450		112,432.00		0	0	
MA06P0100002	Boiler Heating Replacement	1460		322,461.00		0	0	
	Hot water Storage	1460		50,000.00		0	0	
	Flooring (07-9)	1460		0		6,000.00	5,222.73	W.I.P.
	Bathrooms (6-39 & 97-37)	1460		0		5,000.00	4,756.56	W.I.P.
MA06P0100003	Lockset Replacement	1460		30,000.00		0	0	
	Bathroom Upgrade (5yrap-06 & 7-40)	1460		0		55,000.00	51,950.14	W.I.P.
	Kitchen Upgrade (5yap-06 & 06-42)	1460		0		500.00	121.40	W.I.P.
MA06P0100004	Locksets Replacement	1460		35,000.00		0	0	
	Doors (06-48 - 07-35)	1460		0		500.00	393.98	W.I.P.
MA06P0100005	Lockset Replacement	1460		10,000.00		0	0	
MA06P0100006	Lockset Replacement	1460		15,000.00		0	0	
	Painting (07-17)	1460		0		3,000.00	1,810.63	W.I.P.
	Flooring (07-16)	1460		0		1,000.00	902.88	W.I.P.
MA06P0100007	Office Expansion	1470		325,000.00		325,000.00	217,083.59	Complete
	Bedroom Door Installation	1460		40,000.00		0	0	
MA06P0100015	Lockset Replacement	1460		10,000.00		0	0	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Lawrence Housing Authority		Grant Type and Number Capital Fund Program Grant No: MA06P01050108 CFFP (Yes/ No): Replacement Housing Factor Grant No:				Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA/Wide	Administrative	1410		154,828.00		154,828.00	154,828.00	
	A/E Fees for Above	1430		75,000.00		0	0	
PHA/Wide	Drug Prevention/Comm. Police	1408		170,000.00		150,000.00	150,000.00	
Mangt. Improv.	Operations	1406		50,000.00		50,000.00	50,000.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <b>Lawrence Housing Authority</b>					<b>Federal FFY of Grant:</b> <b>2008</b>
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Lawrence Housing Authority					Federal FFY of Grant: 2008
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
MA06P0100001	6/12/2010		6/12/2012		
MA06P0100002	6/12/2010		6/12/2012		
MA06P0100003	6/12/2010		6/12/2012		
MA06P0100004	6/12/2010		6/12/2012		
MA06P0100005	6/12/2010		6/12/2012		
MA06P0100006	6/12/2010		6/12/2012		
MA06P0100007	6/12/2010		6/12/2012		
MA06P0100015	6/12/2010		6/12/2012		
PHA/Wide Admin	6/12/2010		6/12/2012		
PHA/Wide Mngt.	6/12/2010		6/12/2012		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

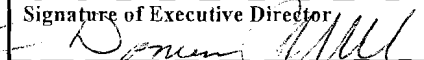
U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
<b>PHA Name:</b> Lawrence Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: MA06P01050109 Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2009 <b>FFY of Grant Approval:</b>
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2009 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	100,000.00		0	0
3	1408 Management Improvements	133,607.00		0	0
4	1410 Administration (may not exceed 10% of line 21)	180,000.00		0	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	100,000.00		0	0
8	1440 Site Acquisition				
9	1450 Site Improvement	450,000.00		0	0
10	1460 Dwelling Structures	930,000.00		0	0
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)				
21	Amount of line 20 Related to LBP Activities	1,893,607.00		0	0
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: Lawrence Housing Authority		Grant Type and Number Capital Fund Program Grant No: MA06P01050109 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2009 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2009 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
Signature of Executive Director 		Date 1/5/10	Signature of Public Housing Director		Date

<b>Part II: Supporting Pages</b>								
PHA Name: Lawrence Housing Authority		Grant Type and Number Capital Fund Program Grant No: MA06P01050109 CFFP (Yes/ No): Replacement Housing Factor Grant No:				Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
MA06P0100001	Kitchen Cabinets	1460		650,000.00		0	0	
MA06P0100001	Electrical Upgrade	1460		60,000.00		0	0	
MA06P0100001	Site Work	1450		90,000.00		0	0	
MA06P0100002	Gas/Boiler	1460		220,000.00		0	0	
MA06P0100003	Site Improvements	1450		90,000.00		0	0	
MA06P0100004	Site Improvements	1450		90,000.00		0	0	
MA06P0100005	Site Improvements	1450		45,000.00		0	0	
MA06P0100006	Site Improvements	1450		45,000.00		0	0	
MA06P0100007	Site Improvements	1450		45,000.00		0	0	
MA06P0100015	Site Improvements	1450		45,000.00		0	0	
PHA Wide	A/E Fee for above	1430		100,000.00		0	0	
PHA Wide	Administration Fees	1410		180,000.00		0	0	
PHA Wide	Operations	1406		100,000.00		0	0	
PHA Wide	Management Improvements	1408		133,607.00		0	0	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Lawrence Housing Authority		Grant Type and Number Capital Fund Program Grant No: MA06P01050109 CFFP (Yes/ No): Replacement Housing Factor Grant No:				Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sub>2</sub>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <b>Lawrence Housing Authority</b>					<b>Federal FFY of Grant: 2009</b>
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Lawrence Housing Authority					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
MA06P0100001	9/14/2011		9/14/2013		
MA06P0100002	9/14/2011		9/14/2013		
MA06P0100003	9/14/2011		9/14/2013		
MA06P0100004	9/14/2011		9/14/2013		
MA06P0100005	9/14/2011		9/14/2013		
MA06P0100006	9/14/2011		9/14/2013		
MA06P0100007	9/14/2011		9/14/2013		
MA06P0100015	9/14/2011		9/14/2013		
PHA Wide Admin.	9/14/2011		9/14/2013		
PHA Wide Management	9/14/2011		9/14/2013		

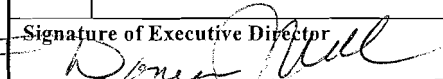
<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>						
<b>PHA Name:</b>  Lawrence Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: MA06S010501-09 Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2009 ARRA <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2009 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>			0	0	
3	1408 Management Improvements	\$200,000.00		0	0	
4	1410 Administration (may not exceed 10% of line 21)	240,000.00				
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	80,000.00		10,000.00	8,025.00	
8	1440 Site Acquisition					
9	1450 Site Improvement	500,000.00		0	0	
10	1460 Dwelling Structures	1,384,671.00		50,000.00	8,027.50	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities <sup>4</sup>					
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 – 19)	\$2,404,671.00		60,000.00	16,052.50	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security – Soft Costs	\$200,000.00				
24	Amount of line 20 Related to Security – Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Part I: Summary				
PHA Name: Lawrence Housing Authority		Grant Type and Number Capital Fund Program Grant No: MA06S010501-09 Replacement Housing Factor Grant No: Date of CFFP: _____		FFY of Grant: 2009 ARRA FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2009 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>
		Original	Revised <sup>2</sup>	Obligated      Expended
Signature of Executive Director 		Date 1/5/10		Signature of Public Housing Director      Date



<b>Part II: Supporting Pages</b>								
PHA Name: Lawrence Housing Authority		Grant Type and Number Capital Fund Program Grant No: MA06S010501-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:				Federal FFY of Grant: 2009 ARRA		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	Work In Progress
MA010000101	Kitchen Replacement	1460		1,384,671.00		50,000.00	8,027.50	W.I.P
MA010000102	Site Improvements	1450		250,000.00		0	0	0
MA0100000103	Site Improvements	1450		150,000.00		0	0	0
MA010000104	Site Improvements	1450		100,000.00		0	0	0
PHA Wide	A/E Fees	1430		80,000.00		10,000.00	8,025.00	W.I.P.
	Administrative	1410		240,000.00		0	0	0
Management Imp.	Drug Prevention/Comm. Police	1408		200,000.00		0	0	0

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.





<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <b>Lawrence Housing Authority</b>					<b>Federal FFY of Grant: 2009 ARRA</b>
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
MA010000101	3/17/2010		3/17/2012		
MA010000102	3/17/2010		3/17/2012		
MA010000103	3/17/2010		3/17/2012		
MA010000104	3/17/2010		3/17/2012		
PHA Wide Admin	3/17/2010		3/17/2012		
PHA Wide Mngt.	3/17/2010		3/17/2012		


<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
<b>PHA Name:</b> Lawrence Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: MA06P01050110    Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2010 <b>FFY of Grant Approval:</b>
<b>Type of Grant</b> <input checked="" type="checkbox"/> <b>Original Annual Statement</b> <input type="checkbox"/> <b>Reserve for Disasters/Emergencies</b> <input type="checkbox"/> <b>Revised Annual Statement (revision no:    )</b> <input type="checkbox"/> <b>Performance and Evaluation Report for Period Ending:</b> <input type="checkbox"/> <b>Final Performance and Evaluation Report</b>					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$360,000.00			
3	1408 Management Improvements	200,000.00			
4	1410 Administration (may not exceed 10% of line 21)	180,000.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	100,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	70,000.00			
10	1460 Dwelling Structures	980,000.00			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)	\$1,890,000.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>					
PHA Name: Lawrence Housing Authority		Grant Type and Number Capital Fund Program Grant No: MA06P1050110      Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2010 FFY of Grant Approval:
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
Signature of Executive Director 		Date 1/5/10		Signature of Public Housing Director Date	

<b>Part II: Supporting Pages</b>								
PHA Name: Lawrence Housing Authority			Grant Type and Number Capital Fund Program Grant No: MA06P01050110 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
MA06P0100001	Bulk Head Replacement	1460		40,000.00				
MA06P0100002	Heating/Hotwater	1460		500,000.00				
MA06P0100002	Storm Door Replacement	1460		75,000.00				
MA06P0100002	Interior Painting	1460		75,000.00				
MA06P0100002	Exterior Railing Replacement	1450		70,000.00				
MA06P0100003	Interior Painting	1460		100,000.00				
MA06P0100003	Heating Controls	1460		20,000.00				
MA06P0100003	Security System	1460		20,000.00				
MA06P0100004	Security System	1460		30,000.00				
MA06P0100004	Heat/Hot Control Upgrade	1460		20,000.00				
MA06P0100004	Painting	1460		50,000.00				
MA06P0100004	Flooring	1460		50,000.00				
PHA/Wide	Operations	1406		360,000.00				
	Administration	1410		180,000.00				
	Management	1408		200,000.00				
	A/E Fees for above	1430		100,000.00				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.





<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Lawrence Housing Authority					Federal FFY of Grant: 2010
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
MA06P0100001	2012		2014		
MA06P0100002	2012		2014		
MA06P0100003	2012		2014		
MA06P0100004	2012		2014		
PHA/Wide	2012		2014		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Lawrence Housing Authority				Federal FFY of Grant: 2010	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/20011

<b>Part I: Summary</b>							
PHA Name/Number		Lawrence Housing Authority		Locality (City/County & State)		Lawrence, MA	
						<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014	
	AMP 1 - 2 3 & 4						
B.	Physical Improvements Subtotal	Annual Statement	1,630,000.00	1600,000.00	1,600,000.00	1,550,000.00	
C.	Management Improvements		180,000.00	180,000.00	180,000.00	180,000.00	
D.	PHA-Wide Non-dwelling Structures and Equipment						
E.	Administration		180,000.00	180,000.00	180,000.00	180,000.00	
F.	Other						
G.	Operations						
H.	Demolition						
I.	Development						
J.	Capital Fund Financing – Debt Service						
K.	Total CFP Funds						
L.	Total Non-CFP Funds						
M.	Grand Total		\$1,990,000.00	\$1,960,000.00	\$1,960,000.00	\$1,910,000.00	

**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/20011

<b>Part I: Summary (Continuation)</b>								
PHA Name/Number		Locality (City/county & State)					<input type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
	Development Number and Name	Work Statement for Year 1 FFY _____	Work Statement for Year 2 FFY _____	Work Statement for Year 3 FFY _____	Work Statement for Year 4 FFY _____	Work Statement for Year 5 FFY _____		
		Annual Statement						

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/20011

<b>Part II: Supporting Pages – Physical Needs Work Statement(s)</b>							
Work Statement for Year 1 FFY 2010	Work Statement for Year 2011 FFY 2010			Work Statement for Year: 2012 FFY 2010			
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	
Sec Annual Statement	MA06P0100001			MA06P0100001			
	Heating Radiators		50,000.00	Concrete Repair		400,000.00	
	Painting/Plastering		100,000.00				
	Flooring		100,000.00				
	MA06P0100002			MA06P0100002			
	Bld. Entrance Canopys		500,000.00	Concrete Repair		100,000.00	
	Stair Repair		200,000.00				
	MA06P0100003			MA06P0100003			
	Chimney Repair		100,000.00	Bulk Head Cellar Entrance		150,000.00	
	Flooring		200,000.00	Painting		100,000.00	
	Community Room Upgrade		80,000.00				
	Painting/Plastering		100,000.00	MA06P0100004			
	Flooring		200,000.00	Flooring		400,000.00	
				Painting		450,000.00	
		Subtotal of Estimated Cost		\$ 1,630,000.00	Subtotal of Estimated Cost		\$ 1,600,000.00

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part II: Supporting Pages – Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY 2010	Work Statement for Year 2013 FFY 2010			Work Statement for Year: 2014 FFY 2010		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
Sec	MA06P0100001			MA06P0100001		
Annual Statement	504 Renovation		400,000.00	Flooring		100,000.00
	MA06P0100002			MA06P0100002		
	504 Renovation		400,000.00	Kitchen Cabinets		150,000.00
	MA06P0100003			MA06P0100003		
	Security Systems		100,000.00	Heat/Hot Water		600,000.00
	MA06P0100004			MA06P0100004		
	Heating/Hot Water		500,000.00	504 Renovation		600,000.00
	Security System		100,000.00	Electrical Upgrade		100,000.00
	Community Room Renovation		100,000.00			
	Subtotal of Estimated Cost		\$ 1,600,000.00	Subtotal of Estimated Cost		\$ 1,550,000.00

<b>Part III: Supporting Pages – Management Needs Work Statement(s)</b>				
Work Statement for Year 1 FFY	Work Statement for Year _____ FFY		Work Statement for Year: _____ FFY	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement				
	Subtotal of Estimated Cost	\$	Subtotal of Estimated Cost	\$

<b>Part III: Supporting Pages – Management Needs Work Statement(s)</b>				
Work Statement for Year 1 FFY	Work Statement for Year _____ FFY		Work Statement for Year: _____ FFY	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement				
	Subtotal of Estimated Cost	\$	Subtotal of Estimated Cost	\$



## **LAWRENCE HOUSING AUTHORITY** **VIOLENCE AGAINST WOMEN ACT (VAWA) POLICY**

### **I. PURPOSE AND APPLICABILITY**

The purpose of this policy (herein called “Policy”) is to implement the applicable provisions of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (pub. L. 109-162) and more generally to set forth Lawrence Housing Authority (LHA) policies and procedures regarding domestic violence, dating violence, and stalking, as hereinafter defined.

This Policy shall be applicable to the administration by LHA of all federally subsidized public housing and Section 8 rental assistance under the United States Housing Act of 1937 (42 U.S.C. §1437 *et seq.*). Notwithstanding its title, this policy is gender-neutral, and its protections are available to males who are victims of domestic violence, dating violence, or stalking as well as female victims of such violence.

### **II. GOALS AND OBJECTIVES**

This Policy has the following principal goals and objectives:

- A. Maintaining compliance with all applicable legal requirements imposed by VAWA;
- B. Ensuring the physical safety of victims of actual or threatened domestic violence, dating violence, or stalking who are assisted by LHA;
- C. Providing and maintaining housing opportunities for victims of domestic violence dating violence, or stalking;
- D. Creating and maintaining collaborative arrangements between LHA, law enforcement authorities, victim service providers, and others to promote the safety and well-being of victims of actual and threatened domestic violence, dating violence and stalking, who are assisted by LHA; and
- E. Taking appropriate action in response to an incident or incidents of domestic violence, dating violence, or stalking, affecting individuals assisted by LHA.

### **III. OTHER LHA POLICIES AND PROCEDURES**

This Policy shall be referenced in and attached to LHA’s Five-Year Public Housing Agency Plan and shall be incorporated in and made a part of LHA’s Admissions and Continued Occupancy Policy and the LHA’s Section 8 Administrative Plan. LHA’s annual public housing agency plan shall also contain information concerning LHA’s activities, services or programs relating to domestic violence, dating violence, and stalking.

To the extent any provision of this policy shall vary or contradict any previously adopted policy or procedure of LHA, the provisions of this Policy shall prevail.

#### IV. DEFINITIONS

As used in this Policy:

A. *Domestic Violence* – The term ‘domestic violence’ includes felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person’s acts under the domestic or family violence laws of the jurisdiction.”

B. *Dating Violence* – means violence committed by a person—

- (A) who is or has been in a social relationship of a romantic or intimate nature with the victim;  
and
- (B) where the existence of such a relationship shall be determined based on a consideration of the following factors:
  - (i) The length of the relationship.
  - (ii) The type of relationship.
  - (iii) The frequency of interaction between the persons involved in the relationship.

C. *Stalking* – means –

- (A) (i) to follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate another person; and (ii) to place under surveillance with the intent to kill, injure, harass or intimidate another person; and
- (B) in the course of, or as a result of, such following, pursuit, surveillance or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to –
  - (i) that person;
  - (ii) a member of the immediate family of that person; or
  - (iii) the spouse or intimate partner of that person;

D. *Immediate Family Member* - means, with respect to a person –

- (A) a spouse, parent, brother, sister, or child of that person, or an individual to whom that person stands in loco parentis; or
- (B) any other person living in the household of that person and related to that person by blood or marriage.

E. *Perpetrator* – means person who commits an act of domestic violence, dating violence or stalking against a victim.

## V. ADMISSIONS AND SCREENING

A. *Non-Denial of Assistance.* LHA will not deny admission to public housing or to the Section 8 rental assistance program to any person because that person is or has been a victim of domestic violence, dating violence, or stalking, provided that such person is otherwise qualified for such admission.

B. *Mitigation of Disqualifying Information.* When so requested in writing by an applicant for assistance whose history includes incidents in which the applicant was a victim of domestic violence, LHA, may but shall not be obligated to, take such information into account in mitigation of potentially disqualifying information, such as poor credit history or previous damage to a dwelling. If requested by an applicant to take such mitigating information into account, LHA shall be entitled to conduct such inquiries as are reasonably necessary to verify the claimed history of domestic violence and its probable relevance to the potentially disqualifying information. LHA will not disregard or mitigate potentially disqualifying information if the applicant household includes a perpetrator of a previous incident or incidents of domestic violence.

## VI TERMINATION OF TENANCY OR ASSISTANCE

A. *VAWA Protections.* Under VAWA, public housing residents and persons assisted under the Section 8 rental assistance program have the following specific protections, which will be observed by LHA:

1. An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be considered to be a “serious or repeated” violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy or occupancy rights of or assistance to the victim of that violence.
2. In addition to the foregoing, tenancy or assistance will not be terminated by LHA as a result of criminal activity, if that criminal activity is directly related to domestic violence, dating violence or stalking engaged in by a member of the assisted household, a guest or another person under the tenant’s control, and the tenant or an immediate family member is the victim or threatened victim of this criminal activity. However, the protection against termination of tenancy or assistance described in this paragraph is subject to the following limitations:
  - (a) Nothing contained in this paragraph shall limit any otherwise available authority of LHA’ or a Section 8 owner or manager to terminate tenancy, evict, or to terminate assistance, as the case may be, for any violation of a lease or program requirement not premised on the act or acts of domestic violence, dating violence, or stalking in question against the tenant or a

member of the tenant's household. However, in taking any such action, neither LHA nor a Section 8 manager or owner may apply a more demanding standard to the victim of domestic violence dating violence or stalking than that applied to other tenants.

- (b) Nothing contained in this paragraph shall be construed to limit the authority of LHA or a Section 8 owner or manager to evict or terminate from assistance any tenant or lawful applicant if the owner, manager or LHA, as the case may be, can demonstrate an actual and imminent threat to other tenants or to those employed at or providing service to the property, if the tenant is not evicted or terminated from assistance.

*B. Removal of Perpetrator.* Further, notwithstanding anything in paragraph VI.A.2. or Federal, State or local law to the contrary, LHA or a Section 8 owner or manager, as the case may be, may bifurcate a lease, or remove a household member from a lease, without regard to whether a household member is a signatory to a lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful occupant and who engages in acts of physical violence against family members or others. Such action against the perpetrator of such physical violence may be taken without evicting, removing, terminating assistance to, or otherwise penalizing the victim of such violence who is also the tenant or a lawful occupant. Such eviction, removal, termination of occupancy rights, or termination of assistance shall be effected in accordance with the procedures prescribed by law applicable to terminations of tenancy and evictions by LHA. Leases used for all public housing operated by LHA and, at the option of Section 8 owners or managers, leases for dwelling units occupied by families assisted with Section 8 rental assistance administered by LHA, shall contain provisions setting forth the substance of this paragraph.

## **VII. VERIFICATION OF DOMESTIC VIOLENCE, DATING VIOLENCE OR STALKING**

*A. Requirement for Verification.* The law allows, but does not require, LHA or a Section 8 owner or manager to verify that an incident or incidents of actual or threatened domestic violence, dating violence, or stalking claimed by a tenant or other lawful occupant is bona fide and meets the requirements of the applicable definitions set forth in this policy. Subject only to waiver as provided in paragraph VII. C., LHA shall require verification in all cases where an individual claims protection against an action involving such individual proposed to be taken by LHA. Section 8 owners or managers receiving rental assistance administered by LHA may elect to require verification, or not to require it as permitted under applicable law.

Verification of a claimed incident or incidents of actual or threatened domestic violence, dating violence or stalking may be accomplished in one of the following three ways:

1. *HUD-approved form* - by providing to LHA or to the requesting Section 8 owner or manager a written certification, on a form approved by the U.S. Department of Housing

and Urban Development (HUD), that the individual is a victim of domestic violence, dating violence or stalking that the incident or incidents in question are bona fide incidents of actual or threatened abuse meeting the requirements of the applicable definition(s) set forth in this policy. The incident or incidents in question must be described in reasonable detail as required in the HUD-approved form, and the completed certification must include the name of the perpetrator.

2. *Other documentation* - by providing to LHA or to the requesting Section 8 owner or manager documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing the domestic violence, dating violence or stalking, or the effects of the abuse, described in such documentation. The professional providing the documentation must sign and attest under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse meeting the requirements of the applicable definition(s) set forth in this policy. The victim of the incident or incidents of domestic violence, dating violence or stalking described in the documentation must also sign and attest to the documentation under penalty of perjury.

3. *Police or court record* – by providing to LHA or to the requesting Section 8 owner or manager a Federal, State, tribal, territorial, or local police or court record describing the incident or incidents in question.

B. *Time allowed to provide verification/ failure to provide.* An individual who claims protection against adverse action based on an incident or incidents of actual or threatened domestic violence, dating violence or stalking, and who is requested by LHA, or a Section 8 owner or manager to provide verification, must provide such verification within 14 business days (i.e., 14 calendar days, excluding Saturdays, Sundays, and federally-recognized holidays) after receipt of the request for verification. Failure to provide verification, in proper form within such time will result in loss of protection under VAWA and this policy against a proposed adverse action.

C. *Waiver of verification requirement.* The Executive Director of LHA, or a Section 8 owner or manager, may, with respect to any specific case, waive the above-stated requirements for verification and provide the benefits of this policy based on the victim's statement or other corroborating evidence. Such waiver may be granted in the sole discretion of the Executive Director, owner or manager. Any such waiver must be in writing. Waiver in a particular instance or instances shall not operate as precedent for, or create any right to, waiver in any other case or cases, regardless of similarity in circumstances.

## VIII. CONFIDENTIALITY

A. *Right of confidentiality.* All information (including the fact that an individual is a victim of domestic violence, dating violence or stalking) provided to LHA or to a Section 8 owner or manager in connection with a verification required under section VII of this policy or provided in lieu of such verification where a waiver of verification is granted, shall be retained by the receiving party in confidence and shall neither be entered in any shared

database nor provided to any related entity, except where disclosure is:

1. requested or consented to by the individual in writing, or
2. required for use in a public housing eviction proceeding or in connection with termination of Section 8 assistance, as permitted in VAWA, or
3. otherwise required by applicable law.

B. *Notification of rights.* All tenants of public housing and tenants participating in the Section 8 rental assistance program administered by LHA shall be notified in writing concerning their right to confidentiality and the limits on such rights to confidentiality.

C. *Security.* All information pertaining to the fact that an individual is a victim of domestic Violence, dating violence or stalking shall be maintained separately and securely by the Resident Services Department unless such information is subject to the disclosure exceptions noted in this section.

#### IX. TRANSFER TO NEW RESIDENCE

A. *Application for transfer.* In situations that involve significant risk of violent harm to an individual as a result of previous incidents or threats of domestic violence, dating violence, or stalking, LHA will, if an approved unit size is available at a location that may reduce the risk of harm, approve transfer by a public housing or Section 8 tenant to a different unit in order to reduce the level of risk to the individual. A tenant who requests transfer must attest in such application that the requested transfer is necessary to protect the health or safety of the tenant or another member of the household who is or was the victim of domestic violence dating violence or stalking and who reasonably believes that the tenant or other household member will be imminently threatened by harm from further violence if the individual remains in the present dwelling unit.

B. *Action on applications.* LHA will act upon such an application promptly.

C. *No right to transfer.* LHA will make every effort to accommodate requests for transfer when suitable alternative vacant units are available and the circumstances warrant such action. However, except with respect to portability of Section 8 assistance as provided in paragraph IX. E. below the decision to grant or refuse to grant a transfer shall lie within the sole discretion of LHA, and this policy does not create any right on the part of any applicant to be granted a transfer.

D. *Family rent obligations.* If a family occupying LHA public housing moves before the expiration of the lease term in order to protect the health or safety of a household member, the family will remain liable for the rent during the remainder of the lease term unless released by LHA. In cases where LHA determines that the family's decision to move was reasonable under the circumstances, LHA may wholly or partially waive rent payments and any rent owed shall be reduced by the amounts of rent collected for the

remaining lease term from a tenant subsequently occupying the unit.

E. *Portability*. Notwithstanding the foregoing, a Section 8-assisted tenant will not be denied portability to a unit located in another jurisdiction (notwithstanding the term of the tenant's existing lease has not expired, or the family has not occupied the unit for 12 months) so long as the tenant has complied with all other requirements of the Section 8 program and has moved from the unit in order to protect a health or safety of an individual member of the household who is or has been the victim of domestic violence dating violence or stalking and who reasonably believes that the tenant or other household member will be imminently threatened by harm from further violence if the individual remains in the present dwelling unit.

## X. COURT ORDERS

A. *Court orders*. It is LHA's policy to honor orders entered by courts of competent jurisdiction affecting individuals assisted by LHA and their property. This includes cooperating with law enforcement authorities to enforce civil protection orders issued for the protection of victims and addressing the distribution of personal property among household members in cases where a family breaks up.

## XI. RELATIONSHIPS WITH SERVICE PROVIDERS

It is the policy of LHA to cooperate with organizations and entities, both private and governmental, which provide shelter and/or services to victims of domestic violence. If LHA staff become aware that an individual assisted by LHA is a victim of domestic violence, dating violence or stalking, LHA will refer the victim to such providers of shelter or services as appropriate. Notwithstanding the foregoing, this Policy does not create any legal obligation requiring LHA either to maintain a relationship with any particular provider of shelter or services to victims or domestic violence or to make a referral in any particular case. LHA's annual public housing agency plan shall describe providers of shelter or services to victims of domestic violence with which LHA has referral or other cooperative relationships.

## XII. NOTIFICATION

LHA shall provide written notification to applicants, tenants, and Section 8 owners and managers, concerning the rights and obligations created under VAWA relating to confidentiality, denial of assistance and, termination of tenancy or assistance at time of initial lease-up and at each annual recertification. The full policy and required forms will also be made available LHA's administration building, 353 Elm street, Lawrence MA 01842

## XIII. RELATIONSHIP WITH OTHER APPLICABLE LAWS

Neither VAWA nor this Policy implementing it shall preempt or supersede any provision of Federal, State or local law that provides greater protection than that provided under VAWA for victims of domestic violence, dating violence or stalking.

#### XIV. AMENDMENT

This policy may be amended from time to time by LHA as approved by the LHA Board of Commissioners.