

<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Georgia Department of Community Affairs</u> PHA Code: <u>GA901</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/2010</u>																										
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: _____ Number of HCV units: <u>16,099</u>																										
<b>3.0</b>	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only																										
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)																										
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width: 35%;">Participating PHAs</th> <th rowspan="2" style="width: 8%;">PHA Code</th> <th rowspan="2" style="width: 20%;">Program(s) Included in the Consortia</th> <th rowspan="2" style="width: 15%;">Programs Not in the Consortia</th> <th colspan="2" style="width: 24%;">No. of Units in Each Program</th> </tr> <tr> <th style="width: 12%;">PH</th> <th style="width: 12%;">HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program		PH	HCV	PHA 1:						PHA 2:						PHA 3:					
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PHA 1:																											
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<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.																										
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.  The PHA's mission is: Partnering with communities to help create a climate of success for Georgia's families and businesses.																										
<b>5.2</b>	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.  <b>HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.</b> PHA Goal: Expand the supply of assisted housing Objectives: <ul style="list-style-type: none"> <li>✓ Apply for additional vouchers if made available by HUD</li> </ul> PHA Goal: Improve the quality of assisted housing Objectives: <ul style="list-style-type: none"> <li>✓ Improve voucher management: (SEMAP score)</li> <li>✓ Increase customer satisfaction</li> <li>✓ Concentrated on efforts to improve specific management functions: (Program Compliance)</li> </ul> PHA Goal: Increase assisted housing choices Objectives: <ul style="list-style-type: none"> <li>✓ Provide voucher mobility counseling</li> <li>✓ Conduct outreach efforts to potential voucher landlords</li> <li>✓ Increase voucher homeownership program</li> <li>✓ Other: Implement DCA/DHR Housing Initiative; Implement Project base vouchers ( ) for women suffering from domestic violence, Continuation of Mainstream program, administer Enhanced Vouchers when made available</li> </ul> <b>HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals</b> PHA Goal: Promote self-sufficiency and asset development of assisted households Objectives:																										

- ✓ Provide or attract supportive services to increase independence for the elderly or families with disabilities

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- ✓ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familiar status and disability
- ✓ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familiar status and disability
- ✓ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required

**PHA Plan Update**

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

- Corrected RAD staff listing
- Changed number of Regional Offices from five to six
- Removed Disaster Housing Assistance Program
- Added Veterans Administration Supportive Housing and Money Follows the Person
- DCA makes available a database of interested landlords available for the Housing Choice Voucher Program on a web site located at: <http://www.georgiahousingsearch.org>
- Update the section to reflect RAD's use of Scanning, Electronic images and TRIM database
- Comply with recent changes in Social Security Card requirements.

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

Albany Regional Office  
121 North Front Street  
Albany, GA 31702

Athens Regional Office  
1060 Dowdy Rd. Suite 201  
Athens, GA 30606-5700

Carrollton Regional Office  
185 Parkwood Circle  
Carrollton, GA 30117-0609

Eastman Regional Office  
21 Industrial Blvd  
Eastman, GA 31023

Tucker Regional Office  
2260 Northlake Parkway Ste. 300  
Tucker, GA 30084

Waycross Regional Office  
960-A City Boulevard  
Waycross, GA 31502

6.0

**Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures**

To be eligible for participation in DCA's HCV program, applicants must meet HUD's criteria as well as any permissible criteria established by DCA. A family's initial eligibility for placement on the waiting list will be made in accordance with the following factors. Final eligibility will not be verified until the family is selected from the waiting list.

The HUD eligibility criteria are:

- An applicant must be a "family" (defined as single person or group of persons)
- An applicant's income must not exceed the applicable Income Limit
- An applicant must furnish a Social Security number on all family who have been issued one.
- An applicant must furnish proof of birth for all family members.
- An applicant must furnish declaration of citizenship or eligible immigration status
- At least one member of the family must be either a U.S. citizen or eligible immigrant

Restrictions on Assistance to Students Enrolled in an Institution of Higher Education

No assistance shall be provided to any individual who:

- Is enrolled as a student at an institution of higher education, as defined under Section 102 of the Higher Education Act of 1965 (20 U.S.C. 1002);
- Is under 24 years of age;
- Is not a veteran of the U.S. military;
- Is unmarried;
- Does not have a dependent child; and
- Is not otherwise individually eligible, or has parents who, individually or jointly, are not income eligible to receive HCV assistance.

**WAITING LIST PROCEDURES**

**Opening and Closing the Waiting List**

DCA maintains a total of 149 waiting lists (one for each county in its jurisdiction). Subject to programmatic and budgetary conditions, it is DCA's goal to open each county waiting list at least once annually.

**Updating and Purging the Waiting List**

Periodically, DCA will attempt to contact families on the waiting list to determine if the family is still seeking rental assistance, and if any changes have occurred in the family's household.

The applicant will have fifteen days to contact DCA to confirm his/her continued interest in the programs. If DCA fails to receive the applicant's notice of continued interest by the deadline date, the applicant's name will be removed from the waiting list and the family may request an informal review.

DCA does not accept responsibility for mail delays, nor forwarded mail. Families on the waiting list are responsible for informing DCA in writing of any changes to the household, including a change of address.

If a letter is returned by the Post Office without a forwarding address, the applicant will be removed without further notice and the envelope and letter will be maintained in the file.

If the family failed to respond due to the disability of a family member, DCA will reinstate the family on the list in its original position.

If an applicant falsifies documents or makes false statements in order to qualify for any preference, the family will be permanently removed from the waiting list, and offered an opportunity for an informal review.

**Financial Resources**

<b>Financial Resources Statement</b>		
<b>Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
1. Federal Grants (FY 2009 grants)	N/A	-
a) Public Housing Operating Fund	N/A	-
b) Public Housing Capital Fund	N/A	-
c) HOPE VI Demolition & Revitalization	N/A	-
d) Annual Contributions for Section 8 Tenant-Based Assistance	\$101,752,370	HAP
e) Public Housing Drug Elimination Program (including any Technical Assistance funds)	N/A	-
f) Resident Opportunity and Self-Sufficiency Grants	N/A	-
g) Community Development Block Grant	N/A	-
h) HOME -	N/A	-
Other Federal Grants	N/A	-
Family Self Sufficiency		

2. Prior Year Federal Grants (unobligated funds only)	N/A	-
4. Other Income/Resources	N/A	-
Admin Fee	\$11,861,177	Operations
4. Non-Federal Sources N/A	N/A	-
Total Resources	N/A	-

**Rent Determination**

It is DCA's responsibility to ensure that the rents charged by owners are reasonable based upon objective comparables in the rental market. DCA will not approve the tenancy or execute a HAP contract until it has determined that the unit meets the minimum HQS and that the rent is reasonable.

DCA will determine rent reasonableness at initial lease-up, before any increases in rent to owner and at other times as described in this section. DCA will provide the owner with information concerning rent adjustments in the Voucher programs

Rents will be disapproved if the rent is determined to be unreasonable. DCA will determine the reasonableness of the rent in relation to comparable units available on the private unassisted market, and the rent charged by the owner for a comparable unassisted unit in the building or premises

Rent reasonableness determinations are made

- When units are placed under HAP Contract for the first time,
- If there is a 5% or more decrease in the current FMR within 60 days of the HAP anniversary date
- When landlords request a rent increase
- When HUD determines a survey is necessary
- At each annual recertification

DCA Staff is very familiar with the rental housing market in their territories. They continue to monitor the quality of approved housing to ensure that the requested rent meets the rent reasonableness test. The family file must contain three comparable units for the rent reasonableness test. In an Apartment complex DCA may use the most recent three unassisted units leased.

Apartments located in Low Income Housing Tax Credit (LIHTC) complexes that rent for below-market rents are considered assisted units and should not be used in rent reasonableness determinations. Apartments renting for market rents may be used in rent reasonableness determinations.

DCA will certify and document on a case-by-case basis that the approved rent:

1. Does not exceed rents charged for new leases by the landlord for comparable unassisted units in the private market; and
2. Is reasonable in relation to rents charged by other landlords for comparable units in the private market, including rents charged by the owner for other owned units on the premises.

**E. Comparison Characteristics**

To determine if rent is reasonable, specific characteristics of the contract unit will be compared with the same characteristics of comparable unassisted units. Standard factors to be considered are:

- Location
- Quality
- Size
- Unit type
- Age

In addition to the standard items of comparison, owner-provided items will be compared:

- Amenities

- Housing services
- Maintenance
- Utilities

DCA uses a Comparable Rent Survey Form to document the above characteristics of each comparable unit. The standard comparison factors are first considered to determine that the basic units are as similar as possible; then the owner-provided amenities are compared in order to justify a reasonable rent amount for the assisted unit.

**The Rent Reasonableness Survey**

Landlords disagreeing with the results of the survey may conduct their own surveys by providing DCA with a minimum of three comparables in the market area. DCA staff will verify the landlord’s comparables.

Information on comparable units is collected from the local rental market and through contact with owners and property managers of rental property. Due to the size and diversity of DCA's jurisdiction, the market area for rent reasonableness comparables varies considerably. Every effort is made to locate comparables in the same neighborhood or locality. If comparables cannot be found in the same neighborhood or locality, a similar market area will be surveyed. The market area may be larger or smaller, depending on the supply of rental housing in the area.

When no comparable unit can be located, the following method will be used to determine a reasonable rent:

1. A two-bedroom unit currently under contract in the same market area and with similar amenities will be used.
2. The two-bedroom rent will be multiplied by the appropriate factor for the unit size:

0 Bedroom	.70
1 Bedroom	.85
3 Bedrooms	1. 25
4 Bedrooms	1. 40
5 + Bedrooms	4 BR rate plus 15% for each additional bedroom

If a comparable two-bedroom unit is not available, divide the rent for an under-contract, assisted three-bedroom unit by 1.25 to arrive at the reasonable rent.

The subsidy number of the unit currently under contract will be recorded on the initial HQS booklet.

The computer program maintains a database that includes comparable data on unassisted units throughout DCA’s jurisdiction. Staff uses this data to determine rent reasonableness and documents the file. The system requires that information on comparables be not more than twelve months old at the time the file is processed.

DCA maintains a database that includes data on unassisted units for use by staff in making rent reasonableness determinations. The data is updated on an annual basis.

**Operation and Management**

DCA is a separate agency of the State of Georgia. By state statute, a Board of Directors governs DCA. The Board has seventeen (17) members appointed by the Governor from geographic regions of the state. The Board meets eight times a year.

The Governor nominates to the Board of Directors an individual, who upon the Board’s approval, serves as the Commissioner of DCA. The Commissioner directs the day-to-day administration of the agency’s staff and activities.

One of five DCA divisions, the Rental Assistance Division (RAD) administers the Housing Choice Voucher Program, Moderate Rehabilitation, Project Based, DCA/DHR Housing Initiative and Homeownership programs. The RAD staff includes an Assistant Commissioner who serves as the Executive Director for

the Housing Choice Voucher Program.

Due to the large geographical jurisdiction of DCA (149 of Georgia's 159 counties), the approximately 16,000 vouchers are administered by six Regional Offices, located in Albany, Athens, Carrollton, Eastman, Tucker, and Waycross, Georgia. Each DCA Regional Office is responsible for the administration of approximately 3,000 vouchers in 20 to 45 counties. A Regional Housing Administrator heads each office with a staff of approximately 25, consisting of a Field Operations Manager, Office Manager, Regional Compliance Officer, Family Housing Counselors, Family Self Sufficiency Coordinator, Housing Processors, Administrative Assistant and an Office Receptionist.

DCA administers the following rental assistance programs:

1. HCV Housing Choice Programs
2. Welfare to Work (Prosperity Voucher Program)
3. Family Self Sufficiency
4. Project Based Program
5. Enhanced Voucher Program
6. Homeownership Program
7. Mainstream Housing Choice Voucher Program
8. DCA/DHR Housing Initiative
9. Veterans Administration Supportive Housing
10. Money Follows the Person

## **Grievance Procedures**

### **Grievance Procedure**

The DCA has adopted an internal grievance procedure providing for prompt and equitable resolution of complaints alleging any action prohibited by the Department of Housing and Urban Development's (HUD) regulations implementing Section 504 of the Rehabilitation Act of 1973, 29 U.S.C. 794, as amended. Section 504 states, in part, that, "No otherwise qualified disabled individual...shall solely by reason of his disability, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance." These grievance procedures are available for use by current employees of the Authority and by current recipients of HUD assistance. These grievance procedures do not apply to applicants for employment or to applicants for assistance under the Housing Choice Voucher Program.

### **Informal Review Procedures for Applicants**

A "review" is for applicants, including families issued a Voucher who have requested lease approval but have not been authorized by DCA to sign the lease and DCA has not signed a HAP Contract with the landlord.

\*Families must be notified in writing of their right to an informal review in some circumstances in which DCA makes a decision affecting their eligibility or assistance. Requests for a review must be made in writing within fifteen days of the date of the written notification of denial of eligibility or assistance. The Regional Housing Administrator of the Regional Office in which the decision was made will conduct the informal review.

DCA is not required to give a "review" for the following actions:

1. Discretionary administrative determinations by DCA.
2. General policy issues and class grievances.
3. The establishment of the DCA schedule of utility allowances.
4. A determination not to approve an extension of a voucher.
5. A determination not to approve a unit.
6. A determination that a unit selected is not in compliance with HQS.
7. A determination that the unit is not in accordance with HQS because of family size or composition.

The applicant will be advised that he/she may produce evidence or have a representative present it for him/her if a review is requested. If the applicant agrees, the review may be conducted by telephone. The Regional Administrator will notify the applicant of his/her final decision after the informal review, including a

brief statement of the reasons for the final decision.

### **Informal Hearing Procedures for Participants**

Participants are entitled and must be informed of their right to an informal hearing in most circumstances in which DCA makes a decision affecting their continued eligibility or assistance.

DCA is not required to give an informal hearing for the following actions:

1. To review discretionary administrative determinations by DCA.
2. To review general policy issues or class grievances.
3. To review the establishment of the DCA schedule of utility allowances for families in the program.
4. A determination not to approve an extension or suspension of a voucher term.
5. A determination not to approve a unit or lease.
6. A determination that an assisted unit is not in compliance with HQS.
7. A determination that the unit is not in accordance with HQS because of the family size.
8. A determination by DCA to exercise or not to exercise any rights or remedy against the owner under a HAP contract.

All requests for informal hearings must be made in writing within fifteen days of the notification letter. Participants who request a hearing will be notified in writing of the date, time, and place of the hearing. Copies of all documents (policies, regulations, procedures, forms, letters, etc.) that will be used as evidence in support of DCA's decision will be sent to the family upon request. In return, the participant is required to submit copies of any documents s/he intends to use as evidence at the hearing. Evidence that is not submitted in advance cannot be used without permission from the Hearing Officer. The termination will be upheld if a participant fails to attend a hearing. If a participant is unable to attend a scheduled hearing, they must notify DCA 24 hours in advance and provide documentation of the emergency. In this instance, one final hearing will be scheduled.

The Hearing Officer will conduct the informal hearing. The Hearing Officer may be a Regional Compliance Officer from another jurisdiction in the state, a contract employee designated to conduct informal hearings or another DCA employee who did not generate the decision. The Hearing Officer must regulate the conduct of the hearing. Both DCA and the family must have the opportunity to present evidence and/or witnesses. The participant will be given the option of presenting oral or written objections to the decision in question. The participant has a right to legal counsel, at his/her own expense.

The Hearing Officer will make a determination on whether the rule or regulation was correctly or incorrectly applied based on the information submitted at the hearing. A notice of the Hearing Findings shall be provided in writing to DCA with a copy to the participant within fifteen business days and shall include a brief explanation of the reasons for the final decision.

The hearing decision is final and cannot be appealed.

The written hearing request, supporting documentation, and the final decision will be retained in the family's file.

### **Continuance of Assistance during the Hearing Process**

If a participant family requests a hearing due to rental assistance being terminated, the family is entitled to continued assistance in the same unit unless the termination is for abuse that prevents the completion of a recertification or uncorrected tenant-caused violations of HQS. If a hearing is requested, the participant will be responsible for all the rent during the hearing process. If the hearing decision reinstates the participant, a new voucher will be issued, the unit will be inspected and a new HAP contract will be executed effective the date the unit passes inspection. DCA will not make the payment retroactive to the stop payment date.

- The following are examples that prevent the completion of the recertification process:
  - Missed appointments and staff can't complete inspections or recertification paperwork.
  - Failure to return applications
  - Uncorrected family HQS violations
  - Failure to provide information needed to complete recertification.
  - Family has vacated assisted unit due to eviction or unauthorized move

### **Designated Housing for Elderly and Disabled Families**

N/A

**Community Service and Self-Sufficiency**

N/A

**Safety and Crime Prevention**

N/A

**Pets**

N/A

**Civil Rights Certification**

The Civil rights certification is bundled with the PHA Plan Certification of Compliance with the PHA Plans/Related Regulations and will be submitted to the Atlanta area office of HUD. The DCA examines its programs and proposed programs to identify impediments to fair housing choice within those programs and addresses any impediments to the best of our ability given any financial limitations.

**Fiscal Year Audit**

High Performer

**Asset Management**

N/A

**Violence Against Women Act (VAWA)**

DCA will continue its efforts to support and assist children and adult victims of domestic violence, dating violence, sexual assault, and stalking and will continue to establish collaborative programs with domestic violence service providers. With respect to the Housing Choice Voucher program:

1. Any information provided by the victim will be kept confidential and will not be shared with other related agencies unless requested or consented to by the victim in writing, required for use in an eviction proceeding of an abuser, stalker or perpetrator of domestic violence, or is otherwise required by applicable law.
2. DCA will allow such victim(s) an opportunity to explain negative rental, financial or criminal history and lease violations, which were caused by such violence, prior to taking final adverse action against the victim such as denial into the program or termination/eviction.
3. DCA does not deny admission or terminate assistance, tenancy or occupancy rights of such victims and their immediate family members when the reason for denial/termination is directly related to such violence, unless the member is the perpetrator.
4. An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be considered to be a "serious or repeated" violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy or occupancy rights of the victim of that violence.
5. Tenancy will not be terminated if the head of household or an immediate family member is the victim of criminal activity that is directly related to domestic violence, dating violence or stalking engaged in by a member of the household, a guest or another person under the control of the head of household.

Limitations include:

- So long as DCA applies the same standard to all tenants, assistance may be terminated for violations not based on an incident or incidents of domestic violence, dating violence or stalking for which VAWA provides protections.
- If a participant claims protection under VAWA, DCA may require certification concerning the incident or incidents to enable those protections. There are three ways to certify:
  1. Submission of HUD form 50066
  2. Submission of a police report or court record
  3. Submission of documentation signed by a victim service provider, an attorney or a medical professional.



7.0	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p> <p><b>(d) Homeownership</b></p> <p>DCA administers a homeownership program which enables certain rental assistance program participants to purchase a home in DCA's jurisdiction using the Housing Assistance Payment (HAP) as income for the purpose of qualifying for a mortgage loan.</p> <p>Several elements of the homeownership option differ from those of the rental assistance program. This chapter describes eligibility criteria, program requirements, family obligations, sales contract and financing requirements, and continuing eligibility.</p> <p>Currently there are 41 participants utilizing the Homeownership Voucher.</p>																																																				
8.0	<p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable. N/A</p>																																																				
8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing. N/A</p>																																																				
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. N/A</p>																																																				
8.3	<p><b>Capital Fund Financing Program (CFFP).</b>  <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.  N/A</p>																																																				
9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <table border="1" data-bbox="477 999 1260 1944"> <thead> <tr> <th colspan="4"><b>Housing Needs of Families on the PHA's Waiting Lists</b></th> </tr> <tr> <td colspan="4">Waiting list type: (select one) Section 8 tenant-based assistance</td> </tr> <tr> <th></th> <th># of families</th> <th>% of total families</th> <th>Annual Turnover</th> </tr> </thead> <tbody> <tr> <td>Waiting list total</td> <td>3408</td> <td></td> <td>3216</td> </tr> <tr> <td>Extremely low income &lt;=30% AMI</td> <td>2875</td> <td>84.36%</td> <td></td> </tr> <tr> <td>Very low income (&gt;30% but &lt;=50% AMI)</td> <td>507</td> <td>14.88%</td> <td></td> </tr> <tr> <td>Low income (&gt;50% but &lt;80% AMI)</td> <td>26</td> <td>0.76%</td> <td></td> </tr> <tr> <td>Families with children</td> <td>739</td> <td>21.68%</td> <td></td> </tr> <tr> <td>Elderly families</td> <td>156</td> <td>4.58%</td> <td></td> </tr> <tr> <td>Families with Disabilities</td> <td>201</td> <td>5.90%</td> <td></td> </tr> <tr> <td>Race/ethnicity</td> <td>334</td> <td>9.80%</td> <td></td> </tr> <tr> <td>Race/ethnicity</td> <td>3080</td> <td>90.38%</td> <td></td> </tr> <tr> <td>Race/ethnicity</td> <td>13</td> <td>0.38%</td> <td></td> </tr> </tbody> </table>	<b>Housing Needs of Families on the PHA's Waiting Lists</b>				Waiting list type: (select one) Section 8 tenant-based assistance					# of families	% of total families	Annual Turnover	Waiting list total	3408		3216	Extremely low income <=30% AMI	2875	84.36%		Very low income (>30% but <=50% AMI)	507	14.88%		Low income (>50% but <80% AMI)	26	0.76%		Families with children	739	21.68%		Elderly families	156	4.58%		Families with Disabilities	201	5.90%		Race/ethnicity	334	9.80%		Race/ethnicity	3080	90.38%		Race/ethnicity	13	0.38%	
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	Race/ethnicity	8	0.23%	
	Race/ethnicity	3	0.09%	
	Ethnicity	28	0.82%	
	Ethnicity	3380	99.18%	
	1BR (incl 0 bedroom)	2474	72.59%	
	2 BR	577	16.93%	
	3 BR	297	8.71%	
	4 BR	58	1.70%	
	5 BR	1	0.03%	
	5+ BR	1	0.03%	

9.1	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p>DCA will implement the following strategies for addressing the housing needs of our community:</p> <ul style="list-style-type: none"> <li>✓ Maximize the number of affordable units available to DCA within our current resources</li> <li>✓ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required</li> <li>✓ Maintain or increase Section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration</li> <li>✓ Maintain or increase Section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program</li> <li>✓ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies</li> <li>✓ Project-based tenant-based vouchers</li> <li>✓ DCA will apply for special-purpose vouchers targeted to families with disabilities, should they become available</li> <li>✓ Market to local non-profit agencies that assist families with disabilities</li> <li>✓ Provide reasonable accommodation in all housing programs</li> <li>✓ Conduct extensive community-wide marketing and outreach</li> <li>✓ Conduct activities to affirmatively further fair housing</li> <li>✓ Counsel Section 8 tenants on location of units outside of areas of poverty or minority concentration and assist them to locate those units</li> <li>✓ Market the Section 8 program to owners outside of areas of poverty/minority concentrations</li> </ul> <p>Challenges facing the housing authority on attaining these goals are low vacancy rates, rising rents, reluctance of owners in low-poverty neighborhoods to participate in the tenant-based program and low production of new affordable housing.</p>
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10.0	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p><b>HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.</b></p> <p>Goal 1: Expand the supply of assisted housing HACLA Actions DCA’s Actions:</p> <ul style="list-style-type: none"> <li>➤ The Housing Authority has applied for and received additional vouchers, such as Money Follows the Person, Veterans Administration Supportive Housing &amp; Family Unification Program</li> </ul> <p>Goal 2: Improve the quality of assisted housing. DCA’s Actions:</p> <ul style="list-style-type: none"> <li>➤ The Housing Authority has achieved High Performer designation under SEMAP.</li> <li>➤ The Housing Authority is identifying and implementing systems that will prepare it for the proposed revision of the PHAS including physical inspections.</li> </ul> <p>Goal 3: Increase assisted housing choices. DCA’s Actions:</p> <ul style="list-style-type: none"> <li>➤ The Housing Authority continues to study the feasibility of adjustments to Voucher Standards, Homeownership programs, site-based waiting lists, Disposition, and voluntary conversion to vouchers in effort to maximize its housing stock and to improve the supply of low-income units to residents.</li> </ul> <p><b>Strategic Goal: Promote self-sufficiency and asset development of families and individuals</b></p> <p>Goal 1: Promote self-sufficiency and asset development of assisted households. DCA’s Actions:</p> <ul style="list-style-type: none"> <li>➤ The Housing Authority will continue to expand such services pending the availability of financial resources.</li> <li>➤ The Housing Authority continues to operate a Family Self-Sufficiency Program for Section 8 participants and increased the number of eligible counties</li> </ul> <p><b>Strategic Goal: Ensure equal opportunity in housing for all Americans</b></p> <p>Goal 1: Ensure equal opportunity and affirmatively further fair housing. DCA’s Actions</p> <ul style="list-style-type: none"> <li>➤ The Housing Authority continues to take seriously the issue of Fair Housing in its administration of all housing programs.</li> <li>➤ The Housing Authority is subject to the monitoring of an independent public accountant to insure that fair housing efforts continue to be implemented.</li> <li>➤ The Housing Authority will continue to have landlord education sessions and have entered into an agreement with Community Health to assist those with barriers to housing.</li> </ul> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification” N/A</p>
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11.0	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## Instructions form HUD-50075

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### 1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### 2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### 3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### 4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

### 5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

#### PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)  
**Note:** This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

**8.2 Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the  X  5-Year and/or  X  Annual PHA Plan for the PHA fiscal year beginning  July 1, 2010 , hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.





13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Georgia Department of Community Affairs

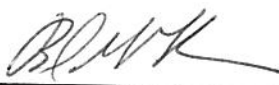
GA901

PHA Name

PHA Number/HA Code

- X \_\_\_\_\_ 5-Year PHA Plan for Fiscal Years 20<sup>10</sup> - 20<sup>15</sup>
- x \_\_\_\_\_ Annual PHA Plan for Fiscal Years 20<sup>10</sup> - 20<sup>11</sup>

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official  Brandie Garner	Title  Regional Administrator
Signature 	Date 4/12/10



## **FOREWORD:**

### **ABOUT DCA**

The Georgia Department of Community Affairs (DCA) Rental Assistance Division administers the Housing Choice Voucher (HCV) Program, which assists extremely low-income, very low-income and low-income Georgians obtain decent and affordable housing. DCA administers the U.S. Department of Housing and Urban Development (HUD) Housing Choice Voucher Program in 149 of Georgia's 159 counties. The ten counties not under DCA's jurisdiction administer their own HCV programs. DCA began administering the HCV program in 1976, when it entered into its first Annual Contributions Contract with HUD.

#### **A. ORGANIZATIONAL SET-UP**

The Department of Community Affairs (DCA) is a separate agency of the State of Georgia. By state statute, a Board of Directors governs DCA. The Board has seventeen (17) members appointed by the Governor from geographic regions of the state. The Board meets eight times a year.

The Governor nominates to the Board of Directors an individual, who upon the Board's approval, serves as the Commissioner of DCA. The Commissioner directs the day-to-day administration of the agency's staff and activities.

One of five DCA divisions, the Rental Assistance Division (RAD) administers the Housing Choice Voucher Program, Moderate Rehabilitation, Project Based, DCA/DHR Housing Initiative and Homeownership programs. The RAD staff includes an Assistant Commissioner who serves as the Executive Director for the Housing Choice Voucher Program. The RAD Assistant Commissioner's direct staff includes a Director of Operations, who supervises the field staff; a Director of Administration who manages the Information Systems, Internal Controls and Portability for the entire division; and, a Director of Finance who is responsible for RAD's financial resources and obligations.

Due to the large geographical jurisdiction of DCA (149 of Georgia's 159 counties), the approximately 16,000 vouchers are administered by five Regional Offices, located in Albany, Athens, Carrollton, Eastman and Waycross, Georgia. Each DCA Regional Office is responsible for the administration of approximately 3,000 vouchers in 20 to 45 counties. A Regional Housing Administrator heads each office with a staff of approximately 25, consisting of a Field Operations Manager, Office Manager, Regional Compliance Officer, Family Housing Counselors, Family Self Sufficiency Coordinator, Senior Housing Processor, Rental Assistance Processors, Regional Administrative Assistant and a Regional Office Receptionist.

### ***Resident Advisory Board***

DCA utilizes a 10 member advisory board composed of ten HCV participants (2 representatives per regional office). This Board is appointed for a one-year term. The board is notified of all regulatory changes and provides input and comments to DCA staff prior to implementation.

### **B. DESCRIPTION OF PROGRAMS OFFERED**

DCA administers the following rental assistance programs:

1. HCV Housing Choice Programs
2. Welfare to Work (Prosperity Voucher Program)
3. Family Self Sufficiency
4. Project Based Program
5. Enhanced Voucher Program
6. Homeownership Program
7. Mainstream Housing Choice Voucher Program
8. DCA/DHR Housing Initiative
9. Disaster Housing Assistance Program

### **C. LEGAL JURISDICTION**

In July 1996, the Georgia Housing and Finance Authority (GHFA) merged with the Department of Community Affairs (DCA) and became the state entity responsible for administering the HCV Program. Prior to the merger, the GHFA was created by the Georgia General Assembly in 1991 by enactment of the Georgia Housing and Finance Authority Act and was solely responsible for the program administration. The GHFA replaced the Georgia Residential Finance Authority that had been established in 1974. The new act expanded the Authority's powers by enabling it to engage in economic development activities.

### **D. ADMINISTRATIVE FEE RESERVE**

The threshold for the amount of expenditure that may be made from the Administrative Fee Reserve for other housing purposes without prior approval of the Board of Directors is set at \$50,000.

**DCA RENTAL ASSISTANCE ADMINISTRATIVE PLAN 2009**  
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## Chapter 1

### STATEMENT OF POLICIES AND OBJECTIVES

The Housing Choice Voucher program was enacted as part of the Housing and Community Development Act of 1974 (the Act). This Act recodified the U.S. Housing Act of 1937 and included Housing Choice Voucher as a substitute for the Section 23 Leased Housing Program. The Act has been amended from time to time and its requirements, as they apply to the Housing Choice Voucher Program, are described in and implemented through this Administrative Plan.

Administration of the Housing Choice Voucher Program, and the functions and responsibilities of the Department of Community Affairs (DCA) staff, shall be in compliance with DCA's Administrative Plan, HUD's Housing Choice Voucher Regulations, HUD's PIH notices and all applicable federal, state and local Fair Housing Laws and Regulations.

#### A. STATEMENT OF LOCAL OBJECTIVES

DCA's Rental Assistance Program is designed to achieve the following major objectives:

1. To provide improved living conditions for extremely low-income and very low-income families while maintaining rent payments at an affordable level.
2. To promote freedom of housing choice and spatial deconcentration of extremely low-income and very low-income families.
3. To provide decent, safe and sanitary housing for eligible participants.
4. To provide an incentive to private property owners to rent to extremely low-income and very low income families by offering timely assistance payments.
5. To encourage responsibility and self-sufficiency of participant families.
6. To provide Homeownership opportunity utilizing Housing Choice Voucher.

There is an undeniable need for very low-income housing assistance within DCA's jurisdiction, as evidenced by the number of families on DCA Housing Choice Voucher waiting lists. There is not an abundance of affordable housing for very low-income participants within DCA's jurisdiction without the availability of subsidies. DCA's Rental Assistance Program is charged with meeting these needs through the Housing Choice Voucher Program available from the Department of Housing and Urban Development.

## **B. PURPOSE OF THE PLAN**

Housing Choice Voucher programs are administered locally by Housing Agencies (HAs). A HA is a state, county, or municipal agency that is authorized to engage or assist in the development or operation of housing for low-income families.

The purpose of the Administrative Plan is to establish the HA's policies for implementing the local Rental Assistance Program through the **Housing Choice Voucher Program**, in a manner that is consistent with HUD requirements. The Plan covers admission, continued participation, and termination of assistance in the program. Changes to the Administrative Plan will be approved by DCA Board of Directors and will be submitted to the Department of Housing and Urban Development (HUD).

DCA is responsible for complying with all subsequent changes in HUD regulations pertaining to these programs. If such changes conflict with this Plan, HUD regulations will have precedence.

## **C. FAIR HOUSING POLICY**

It is the policy of DCA to comply fully with all federal, state, and local nondiscrimination laws and to operate in accordance with the rules and regulations governing Fair Housing and Equal Opportunity in housing and employment. Specifically, DCA shall not on account of race, color, sex, religion, creed, national or ethnic origin, age, family or marital status, or disability deny any family or individual the opportunity to apply for or receive assistance under HUD's Housing Choice Voucher Program.

To further its commitment to full compliance with applicable Civil Rights laws, DCA will provide federal, state, and local information to Voucher holders regarding "discrimination" and any recourse available to them should they feel they have been the victim of discrimination. Such information will be made available during the family briefing session and all applicable Fair Housing Information and Discrimination Complaint Forms will be made a part of the Voucher holder's briefing packet.

Except as otherwise provided in 24 CFR 8.21(c)(1), 8.24(a), 8.25, and 8.31, no qualified individual with disabilities shall, because any DCA facilities are inaccessible to or unusable by persons with disabilities, be denied the benefits of, be excluded from participation in, or otherwise be subjected to discrimination under any program or activity that receives federal financial assistance.

### **Reasonable Accommodation**

Every effort is made to accommodate the needs of the disabled. DCA's application procedures do not require applicants to appear in person. Persons with visual disabilities are given individual assistance, if necessary, to complete the application process. Accessibility for the hearing impaired is provided using TDD equipment located in DCA's Central Office. DCA's TDD number is 1-800-736-1155.

DCA maintains an internet accessible database of owners with available housing in all areas within its jurisdiction to ensure "greater mobility and housing choice" to very low-income households served by DCA. Units accessible to the mobility impaired are noted on the list.

#### **D. LIMITED ENGLISH PROFICIENCY (LEP)**

The Georgia Department of Community Affairs (DCA) is in compliance with HUD's published Guidance in the CFR published January 22, 2007. Upon completion of the four factor analysis DCA has taken the following actions to address LEP:

- Utilize all HUD forms printed in languages other than English
- Employ interpreters when necessary
- Each Regional office is equipped with a language translator computer
- Receptionist(s) in the Atlanta office are required to be proficient in Spanish. An employee in each regional office will be trained in conversational Spanish
- The Rental Assistance Division contracts with the language line which handles phone calls in various languages
- The Rental Assistance Division's software package has capability to identify those who need forms and letters that need to be translated
- The Rental Assistance Division will also utilize local community services such as law enforcement agencies, churches and /or schools that offer translators and interpreters
- DCA will monitor, maintain and update LEP requirements as required by HUD at least annually and/or as changes occur

#### **E. REASONABLE ACCOMMODATIONS**

This policy is applicable to all situations described in this Administrative Plan when a family initiates contact with the HA, when the HA initiates contact with a family including when a family applies, and when the HA schedules or reschedules appointments of any kind.

It is the policy of DCA to be service-directed in the administration of our housing programs, and to exercise and demonstrate a high level of professionalism while providing housing services to families.

#### **Persons with Disabilities**

DCA's policies and practices are designed to provide assurances that all persons with disabilities will be provided reasonable accommodation so that they may fully access and utilize the housing

program and related services. All requests for reasonable accommodation will be responded to so that DCA can properly accommodate the need presented by the disability.

### **Federal Americans with Disabilities Act of 1990**

With respect to an individual, the term "disability" means:

1. A physical or mental impairment that substantially limits one or more of the major life activities of an individual; a record of such impairment; or being regarded as having such an impairment.
2. Those "regarded as having such impairment" may include those with conditions such as obesity or cosmetic disfigurement, and individuals perceived to be at high risk of incurring a work-related injury.
3. Individuals with contagious diseases who do not pose a direct threat to others are covered by the Act. AIDS victims and those who test positive for the HIV virus are considered to have a disability.
4. An individual who has an infectious or communicable disease that is transmitted to others through the handling of food, the risk of which cannot be eliminated by reasonable accommodation, may be refused an assignment or a continued assignment to a job involving food handling. The Secretary of Health and Human Services annually will publish a list of those diseases that are transmitted through food handling.
5. Rehabilitated alcohol and drug users are considered to be persons with disabilities for purposes of the Act. However, current alcohol and drug users can be held to the same qualification standards for job performance as other employees.

### ***Exception***

Notwithstanding any other provision of law, no individual shall be considered a person with disabilities, for purposes of eligibility for low-income housing solely on the basis of any drug or alcohol dependence. A person with disabilities does not include individuals whose alcohol or drug addiction is a contributing material factor to their disability.

### **Undue Hardship**

Requests for reasonable accommodation from persons with disabilities will be granted upon verification that they meet the need presented by the disability and they do not create an "undue financial and/or administrative burden" for DCA, meaning an action requiring "significant difficulty or expense". This standard is not specifically defined in the Act.

In determining whether accommodation would create an undue hardship, the following guidelines will apply:

- The nature and cost of the accommodation needed;

- The overall financial resources of the facility or facilities involved in the provision of the reasonable accommodation; and
- The number of persons employed at such facility, the number of families likely to need such accommodation, the effect on expenses and resources, or the likely impact on the operation of the facility as a result of the accommodation.

## **F. EQUAL EMPLOYMENT OPPORTUNITY**

It is the policy of DCA to recruit applicants for employment on the basis of individual merit and ability. Applicants are recruited and hired without discrimination on the basis of race, religion, national origin, sex, age, disability, or familial status. Personnel procedures and practices with regard to training, promotion, transfer, compensation, demotion, or termination are administered with due consideration of job performance, experience, and qualifications. DCA's Commissioner, by example and exercise of his/her authority, establishes an atmosphere free of discrimination on the basis of race, color, religion, national or ethnic origin, sex, disability, age, or familial or marital status.

## **G. PRIVACY RIGHTS**

Applicants and participants, including all adults in the household, are required to sign the HUD form 9886, "Authorization for the Release of Information". This document incorporates the federal Privacy Act Statement and describes the conditions under which HUD will release family information. DCA's policy regarding the release of information is in accordance with state and local laws.

In accordance with HUD requirements, DCA will furnish prospective Housing Choice Voucher landlords with the family's current and former address as shown in DCA's records as well as the names and addresses of the family's current and former landlords, if known.

A statement on DCA's policy on the release of information to prospective Housing Choice Voucher landlords will be included in the family's briefing packet.

## **H. RULES AND REGULATIONS**

This Administrative Plan is set forth to define DCA's policies for administration and operation of the Housing Choice Voucher programs in the context of federal laws and regulations. Such federal regulations, HUD Memos, Notices, and guidelines, or other applicable law governs all issues not addressed in this document related to Housing Choice Voucher.

## **I. SECTION EIGHT MANAGEMENT ASSESSMENT PROGRAM (SEMAP) OBJECTIVES**

DCA operates its housing assistance program with efficiency and can demonstrate to HUD auditors that DCA is using its resources in a manner that reflects its commitment to quality and

service. DCA's policies and practices are consistent with the goals and objectives of the following HUD SEMAP indicators:

1. **Selection from the Waiting List**-Records and reports will reflect that at least 98% of families were selected from the waiting list in accordance with the Admin Plan policies and met the correct selection criteria.
2. **Reasonable Rent**- that at least 98% of randomly selected tenant files indicate that the HA approved reasonable rents to owner at the time of initial lease-up and before any increase in rent.
3. **Determination of Adjusted Income** - Monitor DCA's practices for obtaining income information, proper calculation of allowances and deductions, and utility allowances used to determine adjusted income for families.
4. **Utility Allowance Schedule**- Demonstrate that DCA has analyzed utility rates locally to determine if there has been a change of 10% or more since the last time the utility schedule was revised.
5. **HQS Quality Control Inspections**- To be conducted for at least 30 units annually plus 1 for each additional 200 assigned over 2000.
6. **HQS Enforcement**- Determine that a review of selected files indicate that for at least 98% of failed inspections, the HA ensures timely correction of HQS deficiencies or abates HAPs or takes vigorous action to enforce family obligations.
7. **Expanding Housing Opportunities**- Demonstrate that DCA provides families and owners information, which actively promotes the deconcentration of assisted families in low-income neighborhoods.
8. **Payment Standards**- Demonstrate that Voucher payment standards are not less than 90% of the current FMR rent limit unless otherwise approved by HUD.
9. **Annual Re-examinations**- Demonstrate that reexaminations were completed for all participating families at least every twelve- (12) months.
10. **Correct Tenant Rent Calculations**- Demonstrate that less than 2% of all tenant files have rent calculation discrepancies.
11. **Pre-Contract HQS Inspections**- demonstrate that 100% of newly leased units passed HQS inspections before HAP contract date.
12. **Annual HQS Inspections**- demonstrate that DCA performs annual HQS inspections on time for 100% of all units under contract.
13. **Lease-up**- Demonstrate that DCA leases 100% of budgeted units during the fiscal year.

- 14a. **Family Self-Sufficiency Enrollment-** Determine that DCA has filled 100% of its FSS slots.
- 14b. **Percent of FSS Participants with Escrow Account Balances-** Demonstrate that at least 30% of the HA's FSS participants have escrow account balances.
15. **Bonus Indicator (Deconcentration) - Encourage families to seek housing outside high poverty neighborhoods.**

## **J. FAMILY OUTREACH**

DCA's primary means for publicizing the availability of its programs is through the local news media. When a waiting list for a county is to be opened, the DCA Regional Office publishes a notice in the local newspaper in general circulation in the county in which the waiting list is to be opened. DCA attempts to place notices in daily publications; however, in smaller, rural communities, weekly newspapers or local advertising supplements may be used. While minority media generally do not serve DCA's non-metro areas, notices will be placed in any minority newspapers serving the area in which a waiting list is open.

The notice must:

1. Advise families that applications will be taken at the designated office, including the dates and times applications will be accepted;
2. Briefly describe the Housing Choice Voucher program;
3. Identify the specific qualifications required if the waiting list is being opened for a targeted group;
4. State that to be considered for the Housing Choice Voucher Program occupants/applicants of Public (Low Rent) Housing must specifically apply for the Housing Choice Voucher Program and they will not lose their place on the Public Housing waiting list.

On occasion, DCA will broadcast public service announcements on local radio stations. However, this method is not widely used because in the past it has not drawn a large response from either the general or target populations. DCA utilizes press releases and general mailings to participating families, landlords, owners, and their agents to notify the public of major changes in its programs. DCA will distribute announcements of open waiting lists to the appropriate community service agencies.

In addition to the required notices, DCA uses brochures and other printed materials to make the public aware of the Housing Choice Voucher Program. Brochures are made available free of charge to the public through social service agencies as well as private organizations offering assistance to low income families. Copies of brochures are also mailed to individuals requesting information on the



Housing Choice Voucher program. In addition, brochures are provided to local real estate brokers and leasing management companies currently participating in the Housing Choice Voucher Program.

## **K. LANDLORD OUTREACH**

DCA encourages owners to make dwelling units available for leasing by eligible families in the following manner:

1. DCA Area Housing Administrators continue to make personal contacts in the form of formal or informal discussions or meetings with private property owners, property managers, and real estate agencies to encourage them to offer affordable housing within the Payment Standards for the Voucher Program.

Program requirements are explained and printed material is offered to acquaint the owner/manager with the opportunities available under the program.

2. DCA will encourage landlords, developers, rental agents and others who have accessible rental units for disabled individuals and families to participate in the program.
3. DCA maintains a database of interested landlords available for the Housing Choice Voucher Program on its web site located at: <http://www.dca.state.ga.us>

## **L. OUTREACH TO DISABLED**

DCA's policy is to make reasonable accommodations for disabled applicants throughout the application process. DCA provides individualized services to the disabled, including assistance with completing applications, individual briefings, and assistance in locating suitable housing.

When DCA opens a waiting list in a county, copies of the announcement are sent to social service agencies in that county that provide assistance to the disabled. These agencies are asked to post the announcement and/or inform their clients of the opportunities for rental assistance.

DCA maintains a telecommunication device for deaf persons (TDD) at DCA's Atlanta Office to enable hearing impaired applicants and participants to communicate with DCA. The special TDD phone number is printed on all DCA letterhead, brochures and pamphlets.

DCA staff makes every effort to communicate with speech impaired applicants and participants by locating interpreters through other family members, local social service agencies, or the Georgia Interpreting Service Network Community. In addition, all of DCA's offices comply with the requirements of the Americans with Disabilities Act and are accessible to the disabled.

## **M. FILE RETENTION**

DCA has established the following standards for file retention:

1. End Participation Files – these are files of former program participants that are no longer receiving rental assistance. These files will be housed in the applicable Regional Office for one year following the stop pay date. At the end of one year the inactive tenant file(s) will be sent to the Atlanta Office for storage in State Archives or retained in the Regional Office for an additional 2 years and then destroyed in accordance with established State standards for confidential records disposal.

2. Active Tenant Files – these are the current one year files of program participants that are receiving rental assistance. These files will be housed in the applicable Regional Office. Each file will contain the permanent file documents (original application, port-in documentation, HPV tier form, citizenship form, social security card copies, fraud reports, and promissory note), the historical documents as noted on the family file content sheet, the original HQS inspection booklet for the current unit, and all applicable correspondence and periodical documents for the current year. The prior three years' documents will be housed in the applicable Regional Office. Any remaining family file documents will be destroyed in accordance with established State standards for confidential records disposal.

3. Port-Out Administered Files – these are files of program participants that ported out of DCA's jurisdiction and the subsidy is being administered by another PHA. These files will be housed in the Atlanta Office for the length of administration. At the end of administration, the Atlanta Office will house the family file for one additional year and then forward the file to State Archives or retain it in the Atlanta Office for an additional 2 years and then destroy it in accordance with established State standards for confidential records disposal.

4. Other – inactive waiting list family files, expired voucher term files, inactive office correspondence files, inactive FSS files, and all other not detailed above will be housed in the applicable Regional Office for one year and then sent to the Atlanta Office for storage in State Archives or retained in the Regional Office for an additional 2 years and then destroyed in accordance with established State standards for confidential records disposal.

5. Fraud Files – All original documents and evidence supporting terminated fraud cases with overpayments will be housed in the applicable Regional Office for four years from the date of the termination. After four years they will be destroyed in accordance with established State standards for confidential records disposal.

## **N. Enterprise Income Verification (EIV) System Security Policy**

DCA has established the following security policy:

1. The data provided via the EIV system will be protected to ensure that it is only used for official purposes and not disclosed in any way that would violate the privacy of the individuals represented in the system data. The Director of Administration will have the responsibility of ensuring the compliance with the security policies and procedures. These responsibilities include:

- a) Maintain and enforcing the security procedures;
- b) Keeping records and monitoring security issues;
- c) Communicating security information and requirements to appropriate staff, including coordinating and conducting security awareness training sessions;
- d) Conducting a quarterly review of all users IDs issued to determine if the users still have a valid need to access the EIV data and taking the necessary steps to ensure that access rights are revoked or modified as appropriate;
- e) Reporting and documenting evidence of unauthorized access or known security breaches to the Assistant Commissioner and taking immediate action to address the impact of the breach including but not limited to prompt notification to appropriate authorities including the HUD Regional Office Representative.

2. Access to EIV data is restricted only to persons whose duties include or responsibilities require access. DCA maintains a copy of the EIV Access Authorization Form for each user who has approved access. All users have signed the EIV Rules of Behavior and User Agreement Form. Each user has received a copy of the HUD Security Procedures and is trained in the EIV policies.

3. All files, reports or documents containing EIV information will be kept in locked facilities, maintained by the Director of Administration in Atlanta or the Office Manager in each of the regional office locations. All EIV information will be disposed of properly by either shredding or chemical destruction.

## **Chapter 2.**

### **ELIGIBILITY FOR ADMISSION**

This chapter defines both HUD's and DCA's criteria for admission and denial of admission to the HCV program. It is DCA's policy to strive for objectivity and consistency in applying these criteria to evaluate the eligibility of applicants. DCA staff will carefully review all information provided by the applicant. Applications will be reviewed without regard to factors other than those defined in this Chapter. Applicants will be provided the opportunity to explain their circumstances, to furnish additional information, if needed, and to receive an explanation of the basis for any decision made by DCA pertaining to their eligibility.

#### **A. ELIGIBILITY FACTORS**

To be eligible for participation in DCA's HCV program, applicants must meet HUD's criteria as well as any permissible criteria established by DCA. A family's initial eligibility for placement on the waiting list will be made in accordance with the following factors. Final eligibility will not be verified until the family is selected from the waiting list.

The HUD eligibility criteria are:

- An applicant must be a "family" (defined as single person or group of persons)
- An applicant's income must not exceed the applicable Income Limit
- An applicant must furnish a Social Security number on all family members age 6 or older who have been issued one.
- An applicant must furnish proof of birth for all family members.
- An applicant must furnish declaration of citizenship or eligible immigration status
- At least one member of the family must be either a U.S. citizen or eligible immigrant

#### **Restrictions on Assistance to Students Enrolled in an Institution of Higher Education**

No assistance shall be provided to any individual who:

- Is enrolled as a student at an institution of higher education, as defined under Section 102 of the Higher Education Act of 1965 (20 U.S.C. 1002);
- Is under 24 years of age;
- Is not a veteran of the U.S. military;
- Is unmarried;
- Does not have a dependent child; and

- Is not otherwise individually eligible, or has parents who, individually or jointly, are not income eligible to receive HCV assistance.

### **Household Members**

The composition of the family may consist of various members; however, one person is designated as the **Head of Household** and is wholly or partly responsible for paying the rent, and has the legal capacity to enter into a lease under state/local law. Emancipated minors who qualify under state law will be recognized as head of household.

**Spouse of Head:** means the husband or wife of the head. For proper application of the Noncitizens Rule, the definition of spouse is the marriage partner who, in order to dissolve the relationship would have to be divorced. It includes the partner in a common law marriage. The term “spouse” does not apply to boyfriends, girlfriends, significant others, or co-heads.

**Co-Head:** is an individual who is equally responsible for the lease with the Head of Household. A family may have a spouse or co-head, but not both; nor may a co-head qualify as a dependent.

**Split Households Prior to Voucher Issuance:** When a family on the waiting list splits into two otherwise eligible families due to divorce or legal separation, only one family may retain the family’s original placement on the waiting list. If both the new families claim the original placement, DCA’s Regional Housing Administrator will determine who is entitled to retain the family’s placement on the waiting list in the absence of a court determination. In making his/her determination, the Regional Housing Administrator may consider factors including, but not limited to, the following:

1. Which family unit retains the children or any disabled or elderly members;
2. The role of domestic violence in the split; or
3. Recommendations of social service agencies or qualified professionals.

**Multiple Families in the Same Household:** If a family consists of two families living together (such as a multi-generation family), the household will be treated as one family unit, with one designated head of household, provided that they applied as a family unit.

**Joint Custody of Children:** Children who are subject to a joint custody agreement but live with one parent at least 51% of the time (i.e., at least 183 consecutive or non-consecutive days of the year) will be considered members of the household.

### **Live-In Aide:**

A family may include a live-in aide who:

1. Has been determined by DCA to be essential to the care and well being of the elderly, near-elderly (i.e., 50 to 61 years of age) or disabled family member; and
2. Is not financially obligated for the support of the family member; and

3. Is not an ex-spouse; and
4. Is an able bodied adult; and
5. Is not a current or previous member of the assisted household; and
6. Would not be living in the unit except to provide care of the family member; and
7. Is not ineligible to live in a federally assisted housing unit per 24 CFR 982.316
  - A live-in aide is not considered to be a member of the participant family.
  - The income of the live-in aide is not counted for purposes of determining eligibility or level of benefits
  - The live-in aide is not subject to the Non-Citizen Rule requirements, and
  - The live-in aide will not be considered a remaining member of the tenant family.

A live-in aide may only reside in the unit with the approval of DCA. The unit must be the primary residence of the live-in aide. DCA has the right to disapprove a specific individual as a live-in aide at any time if that individual is listed in DCA Ineligible File or is otherwise ineligible because of known criminal or fraudulent acts; or is indebted to DCA or another housing agency.

If a live-in aide is disapproved, he is not allowed to live in the subsidized unit. A family may lose its housing assistance if an ineligible live-in aide occupies the unit. Relatives are not automatically excluded from being care attendants, but they must meet the above criteria. Family members of a live-in aide may also reside in the unit as long as a member is eligible and doing so does not increase the subsidy by the cost of an additional bedroom and the attendant's family members do not overcrowd the unit.

To determine whether a live-in aide is "essential to the care and well being of the elderly or disabled person," DCA will request third party written verification from a reliable medical source familiar with the needs of the applicant or participant. The request will seek precise information as to the services and time required from the live-in aide.

### **Household Income**

To enter the waiting list and receive a voucher the family annual income cannot exceed the limits for the county of application. For admission to the program the family annual income cannot exceed the limits for the county where the family wishes to live:

1. An extremely low-income family: Gross annual income at or below 30% of area median income
2. A very low-income family: Gross annual income at or below 50% of area median income
3. A low-income family that has been continuously assisted under the 1937 Housing Act (an applicant is continuously assisted if the family has received assistance under any 1937 Housing

Act program within 120 days of Voucher issuance; programs include public housing, all HCV programs);

4. A low-income non-purchasing family residing in a HOPE 1 (HOPE for Public and Indian Housing Homeownership) or HOPE 2 (HOPE for Homeownership of Multifamily Units) project;
5. A low-income non-purchasing family residing in a project subject to a homeownership program under 24 CFR 248.173; and
6. A low-income family residing in a HUD-owned multifamily rental housing project when HUD sells forecloses or demolishes the project.

The voucher may be used only where the family's income is within that county's extremely low-income or very low-income range.

Participant families who exercise portability rights must be within the low-income limit of the receiving HA.

Applicants whose gross annual income exceeds the applicable income limit will be denied admission and will be offered an opportunity for an informal review.

### **Social Security Numbers**

Families are required to provide Social Security Numbers for all household members ages six and older prior to admission to a waiting list, if they have been issued a number by the Social Security Administration.

All members of the household must either:

1. Submit Social Security Number documentation; or
2. Sign a certification that they have not been issued a Social Security Number. If the household member is under the age of eighteen at the time of admission, his or her parent or guardian can execute the certification. Any Social Security Numbers obtained after admission shall be submitted at the next annual recertification.

Verification of numbers will be confirmed through the provision of a valid Social Security Card issued by the Social Security Administration. If an applicant or household member cannot produce his or her Social Security Card, other documents showing his or her Social Security Number may be used for verification together with his/her self-certification that the substitute information provided is complete and accurate. Acceptable documents include verification of benefits from the Social Security Administration, Veteran's Administration, or Georgia Department of Family and Children Services.

Individuals who have applied for legalization may submit documentation from the Immigration and Naturalization Service as verification of a Social Security Number.

New household members age six and older will be required to produce their Social Security Cards at the time of their admission or provide the substitute documentation described above together with their certification that the substitute information provided is complete and accurate. This information is to be provided at the time the change in family composition is reported to DCA.

If an applicant or participant is able to disclose the Social Security Number but cannot meet the documentation requirements, the applicant or participant must sign a certification to that effect provided by DCA. The applicant/participant or household member will have sixty additional calendar days to provide proof of the Social Security Number. If he or she fails to provide this documentation, the family's assistance will be terminated (in the case of a participant) or the family will be deleted from the waiting list (in the case of an applicant). No termination or deletion will be completed without offering the family an opportunity for an informal hearing or review.

In the case of an elderly person age sixty-two or older, DCA may grant an extension for sixty additional calendar days (total 120 calendar days). If at the end of this time, the elderly individual has not provided documentation, the family's assistance will be terminated.

### **Proof of Birth**

Families are required to provide proof of birth for all household members.

Acceptable forms of documentation include:

- Birth Certificate
- Hospital certificate (affirmation of birth)
- School records
- Records from other agencies
- Passport confirming birth date

For elderly persons who were born before birth certificates were issued:

- Notarized statements from persons outside the household
- Page from family bible

### **Citizenship or Eligible Immigration Status**

In order to receive assistance, a family member must be a U.S. citizen or eligible immigrant. Individuals who are neither may elect not to contend their status. In order to receive assistance, a family member must be a U.S. citizen or eligible immigrant. Each member of the household need only declare his/her citizenship status or verify his/her eligible immigration status once during continuously assisted occupancy. New household members (other than through birth) must submit the declaration at the next interim or annual recertification. Eligible immigrants are persons who are in one of the six immigrant categories as specified by HUD. Those six categories are:



1. A Noncitizen lawfully admitted for permanent residence as an immigrant. This category includes a Noncitizen special agricultural worker who has been granted lawful temporary resident status;
2. A Noncitizen who entered the United States before January 1, 1972 and has continuously maintained residence in the United States since then and who is not eligible for citizenship, but deemed to be lawfully admitted for permanent residence as a result of an exercise of discretion by the Attorney General;
3. A noncitizen who is lawfully present in the United States who has been granted refugee status or asylum or as a result of being granted conditional entry before April 1, 1980 because of persecution or fear of persecution on account of race, religion or political opinion or because of being uprooted by catastrophic national calamity;
4. A noncitizen that is lawfully present in the United States as a result of an exercise of discretion by the Attorney General for emergency reasons or reasons deemed strictly in the public interest (parole status);
5. A noncitizen who is lawfully present in the United States as a result of the Attorney General's withholding deportation due to a threat to life or freedom; and
6. A noncitizen lawfully admitted for temporary or permanent residence (amnesty).

For the Citizenship/Eligible Immigration requirement, the status of each member of the family is considered individually before the family's status is defined.

*Mixed Families:*

A family is eligible for assistance as long as at least one member is a citizen or eligible immigrant. Families that include eligible and ineligible individuals are called "mixed families". Such applicant families will be given notice that their assistance will be prorated and that they may request a hearing if they contest this determination.

*No Eligible Members:*

Applicant families that include no eligible members will be ineligible for assistance. Such families will be denied admission and offered an opportunity for an informal review.

*Non-Citizen Students:*

Non-citizen students as defined by HUD in the Non-citizen Rule are not eligible for assistance.

*Appeals Process:*

For this eligibility requirement only, the applicant is entitled to an informal review as provided for participants.

## **B. INELIGIBILITY FACTORS**

### **Admission to the Waiting List**

**Families that fall into the following categories will be permanently barred from entering a waiting list:**

1. A family found guilty of program fraud and terminated from a housing program;
2. A family member engaged in or threatened abusive behavior toward DCA personnel;
3. A family with individuals convicted of manufacturing or producing methamphetamine (speed);
4. If the preponderance of evidence indicates that a family member has engaged in drug-related criminal activity or violent criminal activity; (If the family member who committed the act is no longer a member of the household, the family may be considered for admission.)
5. A family member is listed as a registered sex offender;
6. A family that provides false information on their application;
7. A family member is listed as a convicted felon for drug-related or violent criminal activity.

**Families that fall into the following categories will be barred from entering a waiting list for a period of three years:**

1. A family member has been evicted from federally assisted housing for serious lease violations within the previous three years;
2. A family who is terminated from a housing program for program abuse; (An exception may be granted by DCA if the family member who violated the family obligation is not a current member of the household.)
3. A family member who has a record of arrest for drug-related or violent criminal activity on the premises of a public housing or HCV property within the previous three years.

**DCA will make these determinations by implementing the following actions:**

- Carry out sex offender registration and conduct criminal background checks in Georgia and in any state (if possible) where the family has resided.
- Be consistent with fair housing and equal opportunity in admission actions.

- Families who are determined to be ineligible will be notified in writing of the reason for denial and offered an opportunity for an informal review.

### **Admission to the Housing Choice Voucher Program**

**Families may be admitted to DCA's waiting list, but will not be offered a Housing Choice Voucher if any of the following circumstances or conditions are brought to the attention of DCA staff:**

1. The family has an outstanding debt owed to DCA, another HA, or the landlord of a previously assisted unit arising out of any previous tenancy in Public Housing or a HCV-assisted unit. The family will remain on the waiting list until the name reaches the top of the waiting list, and then the family will have a maximum of ninety (90) days to repay the debt in full. The family's name will be removed from the waiting list if the debt is not repaid in full.
2. A family member illegally used, or currently uses, a controlled substance, or has a pattern of drug or alcohol abuse within the immediate past twelve months. The family member must show evidence of recovery before being accepted into the program.
3. If the family fails to submit evidence of citizenship or eligible immigration status.

Families who are determined to be ineligible will be notified in writing of the reason for denial and offered an opportunity for an informal review (or informal hearing if denial is due to Citizen /Non-Citizen Status, item #3).

## **Chapter 3.**

### **APPLYING FOR ADMISSION**

DCA's policy is to ensure that all families who express an interest in rental assistance are given an equal opportunity to apply and are treated in a fair and consistent manner. DCA does not discriminate on the basis of age, race, color, creed religion, sex, disability, familial or marital status, or national origin in its selection of families for participation in the rental assistance programs.

#### **A. HOW TO APPLY**

Applications are taken to compile a waiting list for each county in DCA's jurisdiction. Due to the demand for housing in DCA's jurisdiction, DCA may take applications on an open enrollment basis, depending on the length of the waiting list and the anticipated availability of assistance. If DCA opens a waiting list, the available program and the application date(s) will be published in the county legal organ and/or newspaper of general circulation that serves the county in which the waiting list is open, and a minority newspaper, if available.

A newspaper advertisement will notify prospective applicants to call a designated toll free and/or local telephone number. Adequate information will be obtained over the phone to establish initial eligibility prior to screening. All applicant telephone information will be retained according to date and time of the preliminary telephone request, until a completed application has been submitted.

The diversity and distribution of eligible families and the differences in their willingness to respond to and participate in the program may affect the range and types of DCA's outreach techniques. When appropriate, DCA may send announcements to agencies serving very low-income clients. Furthermore, notices will be available in the appropriate DCA Regional Office.

#### **Acceptance of Applications**

Applications will only be accepted from eligible families during the advertised periods of enrollment. These enrollment periods are determined based upon the need for DCA to augment its waiting list. Completed applications may be mailed or delivered in person to the appropriate DCA Regional Office. The application will be dated, time-stamped, and referred to DCA staff member(s) responsible for family selection and assignment. Only original application forms will be honored; photocopied application forms or forms not sent to the applicant by DCA will not be accepted.

Individuals who have a physical impairment that would prevent them from applying may call DCA to make special arrangements to complete their application. Families can contact DCA at (800) 359-4663 or TDD at (800) 736-1155. Reasonable accommodations are made upon request to assist disabled persons who are unable to complete the application process without assistance.

In addition to the above procedure, DCA may take applications at designated outreach sites as it determines necessary to comply with special outreach efforts.

## **B. CLOSING AND RE-OPENING OF WAITING LISTS**

DCA will maintain a freeze on accepting applications from the general public when all ACC funds are committed.

DCA may accept or suspend application taking by opening and closing waiting lists in accordance with the following procedures:

Waiting lists are re-opened when the Regional Housing Administrator anticipates that enough subsidies will become available within the next year to house those persons currently on the waiting list.

### **Suspension of Application Taking**

When the waiting list has been open for an extended period of time and the close of applications was not announced in the original notice, the suspension of application taking will be published just as DCA does for opening the waiting list.

### **Re-opening of the Waiting List**

Any re-opening of the list is done in accordance with the HUD requirements as outlined in 24 CFR Section 982.206, and/or any waiver to 24 CFR Section 982.206 that HUD may have granted for special allocations through memoranda and notice from the HUD Central Office. Any such determination by DCA shall be publicly announced in the same manner as provided in 24 CFR Section 982.206.

The procedures for re-opening the waiting list are:

1. Notice in the county legal organ and/or newspaper of general circulation;
2. Notice published in minority media publications in DCA's jurisdiction; and
3. Sending notices to appropriate social service agencies serving the elderly, disabled, homeless, and other hard to house populations.

The application closing date may be determined administratively at the same time the Regional Housing Administrator decides to open enrollment. The open enrollment period shall be as long as necessary to gather a sufficient number of applicants to meet the projected turnover of Vouchers.

## **C. APPLICATION PROCEDURES**

When a waiting list is opened, interested persons call the appropriate DCA Regional Office to request an application. The staff conducts a brief telephone interview to determine if the person appears to be eligible for participation. If the person appears to be eligible, the staff member will record the person's name, address and any other pertinent information that was disclosed during the interview, and send the person an application.

When the completed application is returned, it is date and time stamped and the information contained in the application is compared to the information that was received during the initial telephone interview.

DCA may deny an application based on criteria found in the Grounds for Denial or Termination of Assistance (refer to chapter 15)

### **DCA's Screening and Access to Criminal Records:**

DCA is required to perform criminal background checks in certain situations. The following are "musts" for DCA to conduct.

- DCA must carry out background check to determine if household member is lifetime sex offender.
- DCA must request conviction records from Law Enforcement Agencies if requested by owner (Project Base only).
- DCA must establish a records management system that maintains the confidential records.

### **Denying Admission to the Waiting List**

#### ***Unacceptable Application***

DCA will not accept an application from a family whose name is not on the application request list or if the completed application does not meet the criteria of the program being offered. When applicants are notified in writing that their application has been rejected, DCA will state the reason and advise applicants of their right to an informal review.

### **Admitting Eligible Families to the Waiting List**

If the returned application determines the family is eligible to enter the waiting list, the family's name is added to the waiting list in a position based upon the date and time the application was received by the Regional Office.

The applicant will be informed in writing that DCA has placed the family on the specific county waiting list, and that the family will be contacted when assistance is available. If the applicant has an outstanding debt owed to DCA or another HA, the amount of the debt and the time frame for paying the balance will be included in the letter.

## **Applicant's Notification of Family Status**

While on the waiting list, applicants are responsible for informing DCA of any changes in family circumstances (including income) and for responding to requests from DCA to update applications.

Failure to provide information may result in the applicant being removed from the waiting list. An applicant may request an informal review if the family is removed from the waiting list.

## **D. VERIFICATION OF APPLICATION INFORMATION**

DCA verifies family income, family composition, status of full-time students, value of assets, factors allowing a preference, citizen or eligible immigration status, and other factors relating to eligibility determinations before an applicant is issued a Voucher.

Verification of initial eligibility for HCV assistance must be completed no more than sixty days before DCA initially issues a voucher. Verifications for interim and annual recertifications are valid for 150 days.

Once the eligible applicant's name is reached on the waiting list, the applicant is requested to update the information contained in the application. Information provided by the applicant will be verified before the applicant is issued a Voucher.

If after 15 days from the date of notice, the applicant does not respond to the letter or return the required documents, the family's name will be removed from the waiting list.

If the failure to respond was due to the disability of a family member, as a reasonable accommodation, the family will be reinstated on the waiting list in their original position, and given another opportunity to respond.

### **Levels of Verification**

#### *1. Upfront Income Verification including Enterprise Income Verification (EIV)*

Verification supplied from an independent source that maintains income information in computerized form e.g., computer matching agreements with a government or private agency, use of HUD's Tenant Assessment Subsystem, or direct requests from government or private agencies.

#### *2. Third-Party -Written*

Verification documents are supplied directly to, and returned from, the provider. Facsimile and email documents are acceptable forms of documentation.

### 3. *Third-Party -Oral*

Verification by contacting the provider by telephone or in person so long as the family file is documented with the date and time of the conversation, the contact person's name and telephone number and why written verification was not available.

### 4. *Document Review*

Original documents provided by the family e.g., pay stubs, TANF and SS/SSI award letters, bank statements, etc. Staff will document in the family file why third party verification was not available.

### 5. *Family Certification*

Family certification will be used by DCA as the primary means to verify all "excluded" household income, alimony, child support/regular contributions received directly from an absent parent and not through child support recovery unit (CSRU) and self employment. No additional documentation will be required.

In all other instances, if unable to obtain third party verification, staff will accept a written self-declaration directly from the family and will document the family file why third party verification was not available.

## **E. RELEASE OF INFORMATION CONSENT FORMS**

At the application and annual recertification stages, families will be asked to sign the Authorization for the Release of Information (HUD Form 9886) and DCA Authorization to Release Information form. All family members age eighteen and older will be provided with a copy of the forms for their review and signature. Each form will contain the appropriate family member's signature as proof of:

1. His or her consent to the "third-party" for release of specified information; and
2. Evidence of his or her understanding of the type and nature of information being sought.

The HUD release form is only to be used to request information from current or previous employers, financial institutions, or the Georgia Department of Labor. All other requests for verification information (including, but not limited to TANF, criminal history, child support, alimony, pensions, child care expenses, medical expenses, handicapped assistance expenses, full-time student status, etc.) must be accompanied by a copy of the signed DCA release form.

## **F. FINAL DETERMINATION OF ELIGIBILITY**

Staff makes a final determination of eligibility after completing the verification process.



## Chapter 4.

### MAINTAINING THE WAITING LIST and ESTABLISHING PREFERENCES

It is DCA's objective to ensure that applicants are placed in the proper order on the waiting list so that an offer of assistance is not delayed or made prematurely. This chapter defines DCA's system for maintaining an accurate waiting list and selecting qualified families for rental assistance.

#### A. APPLICATION POOL

The pool of acceptable applications for each county within DCA's jurisdiction will be maintained as a waiting list in accordance with the following procedures:

1. Applications are accepted on a first come-first served basis **only when the waiting list is open**. (Exceptions may be required for special programs – see Chapter 21)
2. Applications will be maintained by date and time sequence.
3. All applicants must meet the income eligibility requirements as established by HUD in CFR 982.201(b)
4. DCA assigns a local preference to families who live or work in the county of application.
5. DCA has the ability to open a waiting list for Priority families. Currently, the only DCA recognized Priority family is Elderly head of household (62 or older). Local preference applies.
6. DCA may limit the number of applications accepted by both local preference families and non-local preference families.

DCA Regional Offices update the waiting list as families are pulled from the list and the verification process is about to begin.

#### B. WAITING LIST PROCEDURES

##### Opening and Closing the Waiting List

DCA maintains a total of 149 waiting lists (one for each county in its jurisdiction). Subject to programmatic and budgetary conditions, it is DCA's goal to open each county waiting list at least once annually.

##### Updating and Purging the Waiting List

Periodically, DCA will attempt to contact families on the waiting list to determine if the family is still seeking rental assistance, and if any changes have occurred in the family's household.

The applicant will have fifteen days to contact DCA to confirm his/her continued interest in the programs. If DCA fails to receive the applicant's notice of continued interest by the deadline date, the applicant's name will be removed from the waiting list and the family may request an informal review.

DCA does not accept responsibility for mail delays, nor forwarded mail. Families on the waiting list are responsible for informing DCA in writing of any changes to the household, including a change of address.

If a letter is returned by the Post Office without a forwarding address, the applicant will be removed without further notice and the envelope and letter will be maintained in the file.

If the family failed to respond due to the disability of a family member, DCA will reinstate the family on the list in its original position.

If an applicant falsifies documents or makes false statements in order to qualify for any preference, the family will be permanently removed from the waiting list, and offered an opportunity for an informal review.

### **C. MAINTAINING WAITING LISTS**

After the application is received and the preliminary eligibility determination made, applicants are placed on the waiting list on a first come-first served basis. DCA will maintain an accurate waiting list that conforms to HUD requirements.

The waiting list will provide the following information:

1. Social Security Number and name of Head of household;
2. Date and time the application was received;
3. Family type (i.e. elderly, disabled);
4. Number of disabled family members;
5. Eligible unit size;
6. Current status (i.e., issued, assigned, in verification, or waiting);
7. Racial or ethnic designation of the head of household;
8. Local preference designation;
9. Gross household income;
10. Special Programs.

## **D. STATUTORY INCOME TARGETING**

In order to comply with the HUD requirement that 75% of DCA's new families entering the program have incomes at or below 30% of the area median income, DCA will establish a waiting list procedure that will first offer assistance to three families with income at the 30% level, before offering assistance to one family at the very-low 50% income level.

## **E. PROGRAM ADMISSION PREFERENCES**

DCA has adopted the following preferences:

### **Local Residency Preference**

DCA has established a waiting list preference for families who live, work or have been hired to work in the county in which they apply at the time of application. Applicants will be required to furnish proof to claim a residency preference. Acceptable documentation includes current:

- Executed Lease Agreement
- Vehicle Registration Card
- Vehicle Insurance card
- Utility bill
- Registered Voters Card
- School Enrollment Verification of children in household
- Proof of employment in county of application

Local Preference will allow these families to be offered assistance in the county in which they live or work before families on the waiting list who live or work in another county. If the verification indicates that the family does not qualify for the preference, the application will be denied.

### **Elderly Preference**

DCA assigns an elderly preference to families with the Head of Household age 62 or older

### **Targeted Group Preference**

If at any time DCA is awarded HUD Vouchers that require a preference toward a particular group, in specific counties, DCA will first select families from the waiting list who qualify for the Local Preference; from that group, families that qualify for the Targeted Group Preference will be selected; then the statutory Income Targeted Preference will be applied.

If there are not enough qualified families on the waiting lists of the specific counties, the waiting lists will be opened only for those families who qualify for the special funding.

## **Preference Denial**

Families who are determined to be ineligible will be notified in writing of the reason for denial and offered an opportunity for an informal review.

## **F. WAITING LIST SELECTION**

DCA's established ranking order of selection is based upon the date and time of application as follows:

1. The following targeted groups are considered as immediate handling:
  - Portability families
  - DCA/DHR Housing Initiative
  - Families identified for special program eligibility by HUD (i.e., Project Based, Mainstream, Fair Share, and Enhanced Voucher)
2. Local Residency/Elderly Preference
3. Income Targeted –Three families with income at or below 30% of the area median income will be selected before one family with income at or below 50% of area median income.
4. Families that do not qualify for criteria identified above.

## Chapter 5.

### SUBSIDY STANDARDS

HUD guidelines require that DCA establish standards for the determination of Voucher bedroom size and that such standards provide for a minimum commitment of subsidy while avoiding overcrowding. The standards for the Voucher size also must meet the minimum requirements of HUD's Housing Quality Standards.

#### A. VOUCHER SIZE ISSUED

The subsidy standards for Voucher issuance are set up to determine the size subsidy to be issued. DCA does not determine who shares a bedroom/sleeping room. All standards in this section relate to the number of bedrooms on the Voucher, not the family's actual living arrangements. The unit size on the Voucher will remain the same as long as the family composition remains the same, regardless of the actual unit size rented. Guidelines for maximum Voucher issuance include:

#### Subsidy Standards

1. Persons of the opposite sex (other than head of household, spouse, and/or significant other) should not be required to occupy the same bedroom with the exception of very young children; very young children are under age six.
2. Not more than two persons should be required to occupy the same bedroom.
3. A two-member family consisting of a single parent and child is eligible for a two bedroom voucher.
4. Persons with verifiable medical needs or other extenuating circumstances may be provided a larger unit.
5. Foster children will be included in determining unit size.
6. Live-in aides will be provided a separate bedroom. No additional bedrooms are provided for the aide's family.
7. Space may be provided for a family member who will be temporarily absent, such as a member who is in the military or at college, if that person is listed on the lease as a household member and his/her income is counted toward annual household income. (See Excluded Income)
8. A family may elect to use one room as a combination living/sleeping room; however, only two family members may use this room for sleeping purposes.

## **Voucher Size**

These general guidelines are used in determining Voucher size:

<i>Voucher Size</i>	<i>Min. No. Persons in Household</i>	<i>Max. No. Persons in Household</i>
0-BR	1	2
1-BR	1	4
2-BR	2	6
3-BR	3	8
4-BR	6	10
5-BR	8	12
6-BR	10	14

DCA may grant exceptions from these standards if it is determined that the relationship, age, sex, health or disability of family members, or other individual circumstances justify the exceptions.

### **B. WHEN CHANGES ARE TO BE MADE**

Voucher sizes will be changed only before the family is placed under initial contract, at annual recertification, or when the family requests that DCA re-issue a Voucher to permit the family to move to a new unit.

The family may request a larger bedroom size Voucher based on an increase in family size or other circumstances that occurred after the initial application by submitting a written request, including justification, to DCA.

The DCA Regional Housing Administrator will review the situation, taking into account the individual circumstances and the verification provided.

If DCA mistakenly assigns the incorrect bedroom size to a family, the error will be corrected immediately if it will increase the family's subsidy. If correction of the error would reduce the family's subsidy or require the family to move to another unit, the error will not be corrected until the earlier of the family's next annual recertification or when the family requests a new subsidy to move to another unit.

### **C. UNIT SIZE SELECTED**

The family may select a different size dwelling than that listed on the Voucher.

There are five criteria to consider:

1. *Rent Limitation:* For the Voucher Program, DCA uses the Payment Standard for the Voucher size or the unit size selected by the family, whichever is less.
2. *Utility Allowance:* The utility allowance used to calculate the Gross Rent is based on the actual size of the unit the family selects, regardless of the size authorized on the family's Voucher.
3. *Rent Reasonableness:* The comparables used to document rent reasonableness are based on the actual size of the unit the family selects, regardless of the size authorized on the family's Voucher.
4. *Housing Quality Standards:* HQS Standards allow two persons per sleeping room. In addition, two persons are allowed to use a living room as a sleeping room. Below are the minimum and maximum subsidy standards, assuming a living room is used as a living/sleeping area:
5. *Family's Rent Burden:* New Admissions to the Housing Choice Voucher Program and continuing program participants who move may pay no more than 40% of the family's adjusted monthly income toward gross rent if the gross rent exceeds the applicable payment standard. DCA will not approve the rent on a unit if the family must pay more than 40% of the adjusted income. During the briefing sessions families will be advised of the maximum they can pay toward rent based on their household income.

**Chapter 6.**

**FACTORS RELATED TO THE DETERMINATION OF TOTAL TENANT PAYMENT**

HUD guidelines require DCA to determine the family’s Total Tenant Payment (TTP). TTP represents the minimum amount a family must contribute toward rent and utilities regardless of the unit selected.

**A. TOTAL TENANT PAYMENT (TTP)**

All calculations to determine the family’s TTP is governed by the HUD 50058. This statutory calculation is based upon the greater of:

- 30 percent of monthly adjusted income;
- 10 percent of monthly gross income;
- The PHA minimum rent of \$50.00;
- Or, where applicable, enhanced voucher

**B. ASSETS**

**Summary of Assets Inclusions and Exclusions**

<b>A. ASSETS INCLUDE:</b>	<b>B. ASSETS DO NOT INCLUDE:</b>
<ol style="list-style-type: none"> <li>1. Amounts in savings and checking accounts.</li> <li>2. Stocks, bonds, savings certificates, money market funds and other investment accounts.</li> <li>3. Equity in real property or other capital investments. Equity is the estimated current market value of the asset less the unpaid balance on all loans secured by the assets and reasonable costs (such as broker fees) that would be incurred in selling the assets.</li> <li>4. The cash value of trusts that may be withdrawn by the family.</li> <li>5. IRA, Keogh and similar retirement savings accounts, even though withdrawal would result in a penalty.</li> <li>6. Some contributions to company retirement/pension funds. Note the discussion below on accessibility of the funds.</li> <li>7. Assets, which although owned by more than one person, allow unrestricted access by the applicant.</li> <li>8. Lump sum receipts such as inheritances, capital gains, lottery winnings, insurance settlements, and other claims.</li> <li>9. Personal property held as an investment such as gems, jewelry, coin collections, antique cars, etc.</li> <li>10. Cash value of life insurance policies.</li> <li>11. Assets disposed of for less than fair market value during the two years preceding certification or recertification.</li> </ol>	<ol style="list-style-type: none"> <li>1. Necessary personal property, except as noted in A.9.</li> <li>2. Interest in Indian trust lands.</li> <li>3. Assets that are part of an active business or farming operation.</li> <li>4. <i>NOTE:</i> Rental properties are considered personal assets held as an investment rather than business assets unless real estate is the applicant's/tenant's main occupation.</li> <li>5. Assets not controlled by or accessible to the family and which provide no income for the family</li> <li>6. Vehicles especially equipped for the disabled.</li> <li>7. Equity in owner-occupied cooperatives and manufactured homes in which the family lives.</li> </ol>



## C. INCOME

Income includes all monetary amounts that are received on behalf of the family. All income is counted, although certain income is excluded from determining a family's Total Tenant Payment (TTP), e.g., such as Mandatory Earned Income Disallowance (MEID).

**Mandatory Earned Income Disallowance:** During the first cumulative 12 months after a family member starts working, the PHA disallows 100% of the incremental increase in a family member's income as a result of employment. In the second cumulative 12-month period, the PHA disallows 50% of the incremental increase. The maximum period is 48 months. This exemption cannot be counted during initial eligibility.

Annual Income is used to determine whether the family is within the Income Limits. It is the anticipated amounts, "monetary or not," that go to, "or on behalf of," the family (including temporarily absent head or spouse), and are received from a source outside the family within the twelve months after certification.

Adjusted Income is the Annual Income minus any HUD allowable expenses and deductions. Both Annual and Adjusted Income are used to calculate the amount of the subsidy for Vouchers.

In calculating Annual and Adjusted Income, DCA must estimate the income of every member of the household, including those who are temporarily absent. Income of persons who are permanently absent from the household will not be counted.

### ***Income Inclusions and Exclusions***

#### Income Inclusions

1. The full amount, before any payroll deductions, of wages and salaries, overtime pay, commissions, fees, tips and bonuses, and other compensation for personal services;
2. The net income from operation of a business or profession. Expenditures for business expansion or amortization of capital indebtedness shall not be used as deductions in determining net income. An allowance for depreciation of assets used in a business or profession may be deducted, based on straight-line depreciation, as provided in Internal Revenue Service regulations. Any withdrawal of cash or assets from the operation of a business or profession will be included in income, except to the extent the withdrawal is reimbursement of cash or assets invested in the operation by the family;
3. The interest, dividends, and other net income of any kind from real or personal property. Expenditures for amortization of capital indebtedness shall not be used as a deduction in determining net income. An allowance for depreciation is permitted only as authorized in paragraph (2) of this section. Any withdrawal of cash assets from an investment will be included in income, except to the extent the withdrawal is reimbursement of cash or assets invested by the family. Where the family has net family assets in excess of \$5,000, annual income shall include the greater of the actual income derived from net family

assets or a percentage of the value of such assets based on the current passbook savings rate, as determined by HUD;

4. The full amount of periodic payments received from social security, annuities, insurance policies, retirement funds, pensions, lotteries, disability or death benefits, and other similar types of periodic receipts, including a lump-sum payment for the delayed start of a periodic payment (but see No. 12 under Income Exclusion);
5. Payments in lieu of earnings, such as unemployment, worker's compensation, and severance pay (but see No. 3 under Income Exclusions);
6. Welfare Assistance. Welfare assistance received by the household. The amount of reduced welfare income that is disregarded specifically because the family engaged in fraud or failed to comply with an economic self-sufficiency or work activities requirement. If the welfare assistance payment includes an amount specifically designated for shelter and utilities that is subject to adjustments by the welfare assistance agency in accordance with the actual cost of the shelter and utilities, the amount of welfare income to be included as income shall consist of: The amount of the allowance or grant exclusive of the amount specifically designated for shelter or utilities; plus the maximum amount that the welfare assistance agency could in fact allow the family for shelter and utilities. If the family's welfare assistance is ratably reduced from the standard of need by applying a percentage, the amount calculated under this paragraph shall be the amount resulting from one application of the percentage;
7. Periodic and determinable allowances, such as alimony and child support payments, and regular contributions or gifts received from persons not residing in the dwelling;
8. Income from spouse, unless verification is provided that there is a legal separation or divorce; and,
9. All regular pay, special pay, and allowances, of a member of the Armed Forces (whether or not living in the dwelling) who is head of the family, spouse, or other person whose dependents are residing in the unit (but see paragraph (7) under Income Exclusions);
10. Any financial assistance, in excess of amounts received for tuition, that an individual receives under the Higher Education Act of 1965 (20 U.S.C. 1001 et seq.), from private sources, or from an institution of higher education (as defined under the Higher Education Act of 1965 (20 U.S.C. 1002)), shall be considered income to that individual, except that financial assistance described in this paragraph is not considered annual income for persons over the age of 23 with dependent children. For purposes of this paragraph, "financial assistance" does not include loan proceeds for the purpose of determining income.

## Income Exclusions

1. Income from employment of children (including foster children) under the age of 18 years;
2. Payments received for the care of foster children or foster adults (usually individuals with disabilities, unrelated to the tenant family, who are unable to live alone);
3. Lump-sum additions to family assets, such as inheritances, insurance payments (including payments under health and accident insurance and worker's compensation), capital gains, and settlement for personal or property losses (but see No. 5 under Income Inclusions);
4. Amounts received by the family that are specifically for, or in reimbursement of, the cost of medical expenses for any family member;
5. Income of a live-in aide (as defined by regulation);
6. The full amount of student financial assistance paid directly to the student or to the educational institution, for persons over the age of 23 with dependent children;
7. The special pay to a family member serving in the Armed Forces who is exposed to hostile fire;
  - a) Amounts received under training programs funded by HUD;
  - b) Amounts received by a person with disabilities that are disregarded for a limited time for purposes of Supplemental Security Income eligibility and benefits because they are set aside for use under a Plan to Attain Self Sufficiency (PASS);
  - c) Amounts received by a participant in other publicly assisted programs which are specifically for or in reimbursement of out-of-pocket expenses incurred (special equipment, clothing, transportation, child care, etc.) and which are made solely to allow participation in a specific program;
  - d) A resident service stipend. This is a modest amount (not to exceed \$200 per month) received by a resident for performing a service for the owner, on a part-time basis, that enhances the quality of life in the development. This may include, but is not limited to fire patrol, hall monitoring, lawn maintenance, and resident initiatives coordination and serving as a member of the PHA's governing board. No resident may receive more than one such stipend during the same period of time; or
  - e) Incremental earnings and benefits resulting to any family member from participation in qualifying state or local employment training of a family member as resident management staff. Amounts excluded by this provision must be received under employment training programs with clearly defined goals and objectives, and are excluded only for the period during which the family member participates in the employment-training program.

8. Temporary, nonrecurring, or sporadic income (including gifts). For example, amounts earned by temporary census employees whose terms of employment do not exceed 180 days (Notice PIH 2000-1);
9. Reparations payments paid by a foreign government pursuant to claims filed under the laws of that government by persons who were persecuted during the Nazi era;
10. Earnings in excess of \$480 for each full-time student 18 years or older (excluding the head of household and spouse);
11. Adoption assistance payments in excess of \$480 per adopted child;
12. Deferred periodic payments of supplemental security income and social security benefits that are received in a lump-sum payment or in prospective monthly payments;
13. Amounts received by the family in the form of refunds or rebates under state or local law for property taxes paid on the dwelling unit;
14. Amounts paid by a state agency to a family with a developmentally disabled family member living at home to offset the cost of services and equipment needed to keep the developmentally disabled family member at home; and
15. Amounts specifically excluded by any other federal statute from consideration as income for purposes of determining eligibility or benefits under a category of assistance programs that includes assistance under the 1937 Act. A notice will be published in the Federal Register and distributed to PHAs identifying the benefits that qualify for this exclusion. Updates will be distributed when necessary. The following is a list of income sources that qualify for that exclusion:
  - a) The value of the allotment provided to an eligible household under the Food Stamp Act of 1977 (7 U.S.C. 2017 (b));
  - b) Payments to Volunteers under the Domestic Volunteer Services Act of 1973 (42 U.S.C. 5044(g), 5058);
  - c) Payments received under the Alaska Native Claims Settlement Act (43 U.S.C. 1626(c));
  - d) Income derived from certain sub marginal land of the United States that is held in trust for certain Indian tribes (25 U.S.C. 459e);
  - e) Payments or allowances made under the Department of Health and Human Services' Low-Income Home Energy Assistance Program (42 U.S.C. 8624(f));
  - f) Payments received under programs funded in whole or in part under the Job Training Partnership Act (29 U.S.C. 1522(b)); (effective July 1, 2000, references to Job Training Partnership Act shall be deemed to refer to the corresponding provision of the Workforce Investment Act of 1998 (29 U.S.C. 2931);
  - g) Income derived from the disposition of funds to the Grand River Band of Ottawa Indians (Pub.L-94-540, 90 Stat. 2503-04);
  - h) The first \$2000 of per capita shares received from judgment funds awarded by the Indian Claims Commission or the U.S. Claims Court, the interests of individual

- Indian in trust or restricted lands, including the first \$2000 per year of income received by individual Indians from funds derived from interests held in such trust or restricted lands (25 U.S.C. 1407-1408);
- i) Payments received from programs funded under Title V of the Older Americans Act of 1985 (42 U.S.C. 3056(f));
  - j) Payments received on or after January 1, 1989, from the Agent Orange Settlement Fund or any other fund established pursuant to the settlement in *In Re Agent*-product liability litigation, M.D.L. No. 381 (E.D.N.Y.);
  - k) Payments received under the Maine Indian Claims Settlement Act of 1980 (25 U.S.C. 1721);
  - l) The value of any child care provided or arranged (or any amount received as payment for such care or reimbursement for costs incurred for such care) under the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858q);
  - m) Earned income tax credit (EITC) and additional child tax credit refund payments; Payments by the Indian Claims Commission to the Confederated Tribes and Bands of Yakima Indian Nation or the Apache Tribe of Mescalero Reservation (Pub. L. 95-433);
  - n) Allowances, earnings and payments to AmeriCorps participants under the National and Community Service Act of 1990 (42 U.S.C. 12637(d));
  - o) Any allowance paid under the provisions of 38 U.S.C. 1805 to a child suffering from spina bifida who is the child of a Vietnam veteran (38 U.S.C. 1805);
  - p) Any amount of crime victim compensation (under the Victims of Crime Act) received through crime victim assistance (or payment or reimbursement of the cost of such assistance) as determined under the Victims of Crime Act because of the commission of a crime against the applicant under the Victims of Crime Act (42 U.S.C. 10602); and
  - q) Allowances, earnings and payments to individuals participating in programs under the Workforce Investment Act of 1998 (29 U.S.C. 2931).
16. Earned Income Disallowance for persons with disabilities [24CFR5.617]
- a) Initial Twelve Month Exclusion [24CFR5.617(C)(1)]
  - b) Second Twelve Month Exclusion and Phase-In [24CFR5.617(C)(2)]
  - c) Maximum Four Year Disallowance [24CFR5.617(C)(3)]
17. Relative Care Subsidy (Payments paid to a caretaker when a minor is placed in their custody.)

## **D. ZERO INCOME FAMILIES**

Families reporting no household income will be asked how the family pays for necessary living expenses. If it is determined that the family is receiving regular monetary or non-monetary contributions and/or gifts from non-household members, the value of these gifts will be annualized to estimate household income.

If it is determined that the family receives no income from gifts, contributions, or any other source, the family will be required to complete, sign and date the Certification of Zero Income Form . Such families will be required to provide documentation to DCA every 90 days that all normal household expenses such as food, utility bills, telephone bills, cable bills, car payment, and car/ life insurance payments are being paid and documentation showing where the money comes from to pay these expenses. Zero income families will be reevaluated every 90 days to determine if there are any new sources of income including an inquiry to the Department of Labor. Failure to provide required information may be cause for termination of rental assistance.

Families reporting only excluded income will be subject to an interim revision if a source of non-excluded income is reported.

## **E. AVERAGING INCOME**

There are two ways to calculate income when the income cannot reasonably be anticipated for a full year:

1. Annualize current income (and subsequently conduct an interim re-examination if income changes); or
2. Average known sources of variable income to estimate an annual income (no interim adjustment is required if income remains as predicted).

Income from the previous year may be analyzed to determine the amount of anticipated income when future income cannot be clearly verified. If, by averaging, a reasonable estimate can be made, that estimate will be used to anticipate annual income over the next twelve months, instead of changing the HAP every month as the income fluctuates.

## **F. INCOME CHANGES**

### **Welfare Program Requirements**

DCA will not reduce a family's income and rent if the family's welfare benefits were reduced due to

1. Fraud by a family member; or
2. The family's failure to abide by program requirements to work or participate in the Family Self-Sufficiency Program or Welfare-to-Work program, if applicable. The family will be offered an opportunity for an informal hearing.

DCA will determine through third party written verification why the benefits were reduced or suspended before adjusting the income and rent. If welfare benefits expired and program requirements were met, the family income will be reduced to determine rent.

## **Total Tenant Payment Exceeds Gross Rent**

Families whose Total Tenant Payment exceeds the Gross Rent of the occupied unit may remain on DCA's Section 8 program for six months from the effective date of the zero assistance. This will not be considered a break in continued assistance if:

1. The family remains in the same unit and
2. The family pays the total contract rent and
3. A new lease/HAP Contract is not required at the effective date of the zero assistance and
4. The unit meets Housing Quality Standards.

Zero Assistance families may remain in the same unit and pay rent for six months before being removed from the Housing Choice Voucher program. If the family's income decreases or the unit rent increases within the six-month period, the family will be reinstated into the program without applying to the waiting list.

If a new HAP Contract is required or the family wishes to move from the unit, the HAP will be terminated and the family will be issued a Housing Choice Voucher provided the family's gross income doesn't exceed the low-income limit. DCA will not execute a \$0 HAP Contract.

## **G. ABSENCE FROM THE UNIT**

In calculating Annual and Adjusted Income, DCA must estimate the income of every member of the household, including those who are temporarily absent. Income of persons who are permanently absent from the household will not be counted.

Families must report in writing to DCA any absence from the household of more than fourteen consecutive days and shall report their absence to the landlord, consistent with the lease provisions. Any changes in family composition must be reported in writing to DCA within thirty days. Families will be counseled at briefing sessions and recertification on the effect family composition may have in determining Voucher size and Total Tenant Payment as well as DCA's policies for dealing with such changes.

At times, situations may arise that result in the temporary or permanent absence of a family member or members from the household. At all times, the unit must meet the minimum Housing Quality Standards and the Tenant Paid utilities must remain in service. Such situations will be handled in the following manner:

1. ***Absence of children for foster care.*** In instances in which the children have been removed from the home by a social service agency, the agency will be contacted to determine the approximate length of time the children are expected to be away from the home.
  - a. If the agency indicates that the children are expected to be returned to the home at some point, the children will remain a part of the family composition and will be counted toward the family's subsidy standard.

- b. If the children are not expected to ever be returned to the home, the children will be removed from the family composition and the family's subsidy standard will be reduced accordingly.
- c. If the agency indicates that it is unknown whether the children will be returned to the home, the children will remain a part of the family composition.

Conversations with the social service agency must be thoroughly documented in the family file, including the date of contact, name and title of contact person, name of agency, and telephone number and the details of the conversation.

2. ***Absence of single parent; use of caretaker adult.*** When a single parent leaves the household for an extended period as a result of imprisonment, hospitalization, military service, etc., and another adult moves into the home to care for the children, the rental assistance will not be terminated. The family composition will be modified to include the name of the caretaker as head of household. The caretaker's income will not be included in the family income. The single parent's name shall be temporarily removed and the file documented to explain the circumstances. When the parent returns to the unit, the caretaker may leave or remain in the household. If the caretaker remains, his/her income will be included in the calculation of family income.
3. ***Absence of head of household or spouse due to military service or school.*** If the head of household or spouse is absent from the home to serve in the military or attend school, the income will be included in the calculation of family income. However, income received as a result of special hazardous duty pay when exposed to hostile fire will not be included.
4. ***Absence of other family member due to military service or college.*** If a family member other than the head of household or spouse is absent from the home to serve in the military or attend college, the family has the option of considering the person permanently absent (income not counted, not on lease, and not counted for Voucher size) or temporarily absent (income counted, on lease, counted for Voucher size). Income received as a result of imminent danger pay when exposed to hostile fire will not be included.
5. ***Absence due to hospitalization of sole family member.*** When the family consists of only one member and that person leaves the home to go into a hospital or nursing home for a period of more than six months, the assistance will be terminated. If a medical source documents that the person is expected to return to the unit in 180 days or less, the person shall continue to receive assistance. If the person is not back in the unit within 180 days, assistance will be terminated.
6. ***Absence of All Household Members.*** If all members of the household are absent for 180 consecutive days, but have not moved from the unit, assistance will be terminated. In order to determine if the family is absent from the unit, DCA may write letters to the family at the unit, telephone the family at the unit, interview the landlord and neighbors, and/or verify if utilities are in service. In cases in which the family has moved from the unit, assistance will be terminated in accordance with the procedures.



7. **Joint Custody of Children.** Children who are subject to a joint custody agreement but live in the unit at least 51% of the time will be considered members of the household. If the family includes a child who is temporarily absent from the home due to foster care, the standards in #8 above will be used.
8. DCA requires proof of custody for all minors residing in the unit who are not related to the head of household by birth.

## **H. HUD ALLOWABLE DEDUCTIONS**

HUD has five allowable deductions from annual income:

1. **Dependent Allowance:** \$480 each for family members (other than the head or spouse) who are minors (including children who are adopted), and for family members who are 18 and older who are full-time students or who are disabled (foster children are not included in this deduction).
2. **Elderly/Disabled Allowance:** \$400 per family for families whose head or spouse is 62 or over or disabled.
3. **Allowable Medical Expenses:** Amounts exceeding three percent of annual income are deducted for all family members of an eligible elderly/disabled family.
4. **Child Care Expenses:** Deducted for the care of children under 13 when childcare is necessary to allow an adult member to work, attend school, or actively seek employment.

The following standards are the criteria for allowing childcare expenses as a deduction:

*Childcare to work:* The maximum childcare allowed would be based on the amount earned by the person enabled to work. The "person enabled to work" is the adult member of the household who earns the least amount of income from employment. The childcare deduction may not exceed the amount of income earned by the person enabled to work.

*Childcare for school:* DCA will compare the number of hours the family member is attending school and base the reasonableness standard on the number of hours attending school (with the addition of one hour travel time to and from school) versus the number of hours claimed for child care. The number of hours for which the childcare deduction is allowed shall not exceed the school and travel time.

*Childcare to seek employment:* The deduction for childcare to seek employment must not exceed the Annual Adjusted Income of the family member seeking employment. The deduction does not include transportation costs, or other expenses incurred, and are limited to one year per individual.

To claim the deduction, verification from the child care provider must include the name, address, and phone number of the company or individual child care provider, the names of the children being cared for, the number of hours for which care is provided, the rate of pay, and the typical yearly amount paid (taking into account school and vacation periods).

Verification from the job seeker must include documentation from an employment service agency and/or written verifications of interviews from potential employers.

5. Allowable Disability Assistance Expenses: Deducted for attendant care or auxiliary apparatus for persons with disabilities if needed to enable the individual or an adult family member to work.

## **I. MINIMUM TENANT CONTRIBUTION**

Minimum tenant contribution refers to the Total Tenant Payment (TTP) and includes the combined amount a family pays toward rent and/or utilities.

The minimum tenant contribution for all DCA participants is \$50.

There are certain exemptions from this requirement if the family is unable to pay the minimum tenant contribution because of financial hardship, which includes these situations:

1. The family has lost eligibility or is awaiting an eligibility determination for a Federal, State or local assistance program (includes a member who is a noncitizen lawfully admitted for permanent residence who would be entitled to public benefits);
2. The family would be evicted as a result of the imposition of the minimum rent requirement;
3. The income of the family has decreased because of changed circumstances, including loss of employment;
4. A death in the family has occurred

Upon the family's written submission of appropriate documentation, DCA will suspend the minimum tenant contribution requirement beginning the following month and will determine promptly whether the hardship exists and whether it is temporary or long-term. The family must provide sufficient documentation to support its claim. Acceptable documentation includes (but is not limited to):

1. A death certificate for a family member;
2. A separation letter from an employer;
3. A notification letter that benefits have been terminated or an award is pending from the Social Security Administration or other social services agency.

If no exemption is allowed, the minimum tenant contribution will be reinstated and the family must reimburse DCA the difference it paid on the family's behalf during the suspension.

A temporary exemption will suspend the minimum tenant contribution requirement for 90 days, after which time the family must reimburse DCA the difference it paid on the family's behalf during the suspension.

DCA will offer the family a reasonable repayment agreement.

A long-term exemption will suspend the minimum rent requirement so long as the hardship continues.

There is no appeal to this process and all decisions are final.

## **J. PRORATION OF ASSISTANCE FOR “MIXED” FAMILIES**

### *Applicability*

Proration of assistance must be offered to any “mixed” applicant or participant family. A “mixed” family is one that includes at least one U.S. citizen or eligible immigrant and any number of ineligible members.

“Mixed” families that were a participant on June 19, 1995 and do not qualify for continued assistance must be offered prorated assistance. Mixed family applicants are entitled to prorated assistance. Families that become mixed after June 19, 1995 by addition of an ineligible member are entitled to prorated assistance.

### *Prorated Assistance Calculation*

Prorated assistance is calculated by determining the amount of assistance payable if all family members were eligible and multiplying by the percent of the family members who actually are eligible. Total Tenant Payment is the gross rent minus the prorated assistance.

## **K. UTILITY ALLOWANCE AND UTILITY REIMBURSEMENT PAYMENTS**

The utility allowance is intended to cover the cost of utilities not included in the rent. The allowance is based on the typical cost of utilities and services paid by energy-conservative households that occupy housing of similar size and type in the same locality. Allowances are not based on an individual family’s actual energy consumption.

DCA’s Utility Allowance Schedule is set up according to bedroom size within three climatic regions-Northern, Middle and Southern. All counties within DCA’s jurisdiction are situated in one of the regions according to general temperature and location.

The family will be given the HUD approved utility allowance schedule for the region in which the subsidy is issued. The utility allowance schedule will include the allowances for all services for each type unit by bedroom size. When searching for a suitable unit, the family will be able to select the appropriate allowance and calculate the total utility allowance according to the unit selected and the utilities the family will be responsible for paying.

An air conditioning allowance will be provided for all families that are responsible for paying the electrical service.

Where families provide their own range and refrigerator, DCA will provide an allowance for the range and refrigerator.

Allowances for water, sewer and trash services are averaged the same as other utilities.

Families that include a member with a disability may request a higher utility allowance if needed to accommodate the requirements of the disability.

### **Utility Reimbursement Payments**

Where the Utility Allowance exceeds the family's Total Tenant Payment, the HA will provide a Utility Reimbursement Payment for the family each month. The check will be made out directly to the tenant.

### **Utility Allowance Survey**

DCA will review the utility allowance schedule annually. Revised utility allowances will be applied in a participant family's rent calculation at their next reexamination.

## Chapter 7.

### VERIFICATION PROCEDURES.

#### General Policy

DCA verifies family income, family composition, status of full-time students, value of assets, factors allowing a preference, citizen or eligible immigration status, and other factors relating to eligibility determinations.

**Information provided by applicants and participants must be true and complete. DCA will verify information through third party written and oral verification, by review of documents and by family certification.**

Verification of initial eligibility for HCV assistance must be completed no more than sixty days before DCA initially issues a voucher. Verifications for interim and annual recertifications are valid for 150 days.

#### **A. DCA will verify the following items:**

1. U.S. Citizenship or Eligible Immigrant Status;
2. Proof to support local residency preference;
3. A legal form of identification that includes a recognizable picture of the head of household;
4. Current Assets, including those assets disposed of for less than Fair Market Value in the preceding two years;
5. Full-Time Student Status (as defined by the institution for persons carrying the equivalent of what the school considers being full time for “day” students) – includes High School students who are eighteen or over;
6. Total Medical Expenses for families whose head or spouse is sixty-two years of age or older or disabled according to HUD definition;
7. Child Care Expenses where it allows an adult family member to be gainfully employed, seek employment or to further his or her education;
8. Disability Assistance Expenses to include only those costs associated with attendant care or auxiliary apparatus that allows an adult family member to be gainfully employed;
9. Request for Larger Unit than Applicable under DCA’s subsidy standards (only where family can demonstrate that a larger unit is needed for “medical purposes” or other extenuating circumstances)

#### **B. Release of Information**

At the application and annual recertification stages, families will be required to sign the Authorization for the Release of Information (HUD Form 9886) and DCA Authorization to Release Information form. All family members age eighteen or older will be provided with a copy of the forms for their review and signature. Each form will contain the appropriate family member’s signature as proof of:

1. His or her consent to the “third-party” for release of specified information; and
2. Evidence of his or her understanding of the type and nature of information being sought.

The HUD release form is only to be used to request information from current or previous employers, financial institutions, or the Georgia Department of Labor. All other requests for verification information (including, but not limited to TANF, child support, alimony, pensions, child care expenses, medical expenses, handicapped assistance expenses, full-time student status, etc.) must be accompanied by a copy of the signed DCA release form.

A family’s refusal to cooperate with the HUD prescribed verification system will result in the removal of the household’s application or the termination of the family’s continued assistance and will result in ineligibility status.

### **C. Methods of Verification**

In the order presented, DCA will attempt to obtain:

*1. Up Front Verification including Enterprise Income Verification (EIV):*

Verification supplied from an independent source that maintains income information in computerized form, e.g., computer matching agreements with a government or private agency, use of HUD’s Tenant Assessment Subsystem, or direct requests from government or private agencies.

*2. Third-party Verification:*

Verification documents supplied directly to and returned from, the provider. Facsimile and email documents are acceptable forms of documentation.

*3. Oral Third-party Verification:*

Verification by contacting the provider by telephone or in person so long as the family file is documented with the date and time of the conversation, the contact person’s name and telephone number and why written verification was not available.

*4. Document Review:*

Original documents provided by the family e.g., pay stubs, TANF and SS/SSI award letters, bank statements, etc. Staff will document in the family file why third party verification was not available.

*5. Family Certification:*

Family certification will be used by DCA as the primary means to verify all “excluded” household income, alimony, child support/regular contributions received directly from an absent parent and not through child support recovery unit (CSRU) and self employment. No additional documentation will be required.

In all other instances, if unable to obtain third party verification, staff will accept a written self-declaration directly from the family and will document the family file why third party verification was not available.

## **D. Verification of Asset Income**

Family Assets Now Held:

DCA will not verify reported assets totaling not more than \$920.

For Assets exceeding \$920 DCA will do the following:

To determine the current cash value (i.e., the net amount that would be received if the asset were converted to cash) of non-liquid assets, DCA will use the following types of information:

1. Verification forms, letters, or documents from financial institutions, brokers, etc. indicating penalties for premature withdrawal, broker and legal fees, settlement costs for real estate transactions.
2. Passbooks, checking account statements, certificates of deposit, bonds, or financial statements from a financial institution or broker.
3. Market appraisals prepared by stockbrokers (for securities) or real estate agents (for real estate) received directly from the agent.
4. Real estate tax statements, if tax authority uses approximate market value.
5. Copies of closing documents from the closing attorney or real estate agent indicating the sales price, distribution of the sale proceeds and the net amount to the HCV applicant/participant.
6. Appraisals of personal property held as an investment.
7. Applicant's signed self-declaration statements or signed affidavits describing assets or cash held at the applicant's home or in safe deposit boxes.

Assets disposed of for less than Fair Market Value (FMV) during Two Years Preceding Effective Date of Certification or Recertification:

1. For all Certifications and Recertifications, DCA will obtain the Family's certification as to whether any member has disposed of assets for less than fair market value during the two years preceding the effective date of the certification or recertification.
2. If the family certifies that they did dispose of assets for less than fair market value, the family will provide certification of: (a) all assets disposed of for the less than FMV; (b) the date(s) on which the assets were disposed; (c) the amount the family received; and (d) the market value at the time of disposition.

3. DCA has established \$1,000 as the threshold for counting assets disposed of for less than Fair Market Value. DCA will disregard assets of \$999.99 and below and consider these charitable contributions.
4. Assets disposed of as a result of a divorce or separation; foreclosure or bankruptcy are not considered assets disposed of for less than Fair Market Value.

#### Savings Account Interest Income and Dividends

1. Account statements, passbooks, certificates of deposit, etc., if they provide sufficient information and are prepared or signed by the financial institution.
2. Broker's quarterly statements showing the value of stocks or bonds and the earnings credited the applicant.
3. IRS Form 1099 from the financial institution, adjusted by DCA to project earnings for the next twelve months.

#### Interest Income from Sale of Real Property Pursuant to a Purchase Money Mortgage, Installment Sales Contract, or Similar Arrangement:

1. A letter from the accountant, attorney, real estate broker, buyer, or a financial institution stating interest due for next twelve months. (A copy of the check paid by the buyer to the applicant is not sufficient since appropriate breakdown of interest and principal is not included.)
2. Amortization schedule showing interest for the twelve months following the effective date of the certification or recertification.

#### Rental Income from Property Owned by Applicant:

These amounts must be adjusted by DCA to indicate anticipated income for the next twelve months.

1. IRS Form 1040 with Schedule E (Rental Income).
2. Copies of latest rent checks, leases, or utility bills.
3. Documentation of applicant's income and expenses in renting the property (tax statements, insurance premiums, receipts for reasonable maintenance and utilities, bank statements or amortization schedules showing monthly interest expense).
4. Lessee's written statement identifying monthly payments due the applicant and applicant's affidavit as to net income realized.

### **E. Verification of Allowable Deductions from Income**

#### Full-Time Student Status:



1. Written verification from the registrar's office or appropriate school official.
2. School records indicating enrollment for a sufficient number of credits to be classified as a full-time student by the school.

Child Care Expenses:

1. Written verification from the child care provider specifying the child care provider's name, address, and phone number, the names of the children cared for, the frequency (number of times the baby sitting occurs), the rate of pay, and the typical yearly amount paid, including school and vacation periods.
2. Applicant's certification as to whether any of those payments have been or will be paid or reimbursed by outside sources.

Medical Expenses:

The term "incurred" shall mean expenses "actually paid for."

1. Applicant's certification as to whether any of those payments have been or will be reimbursed by outside sources.
2. Written verification by a doctor, hospital or clinic personnel, dentist, pharmacist, etc., of:
  - a. The estimated medical costs to be incurred by the applicant and/or regular payments due on medical bills; and
  - b. The extent to which those expenses will be reimbursed by insurance or a government agency.
3. The insurance company's or employer's written confirmation of health insurance premiums to be paid by the applicant.
4. Social Security Administration's written confirmation of Medicare premiums to be paid by the applicant over the next twelve months.
5. Transportation or travel cost expense (to be calculated using IRS formula)
6. For attendant care:
  - a. Doctor's certification that the assistance of an attendant is medically necessary as well as the hours that care is required;
  - b. Attendant's written confirmation of hours of care provided; amounts and frequency of payments received from the family or agency (or copies of canceled checks showing

payment); and

c. Applicant's certification as to whether any of those payments have been or will be reimbursed by outside sources.

### Supporting Documents

The following items may be used to support certified statements or third party verifications only. Documents received directly from the family are not acceptable forms of verification. These documents will be placed on the Correspondence side of the family file.

1. Receipts, canceled checks, or pay stubs that indicate health insurance premium costs, etc., that verify medical costs and insurance expenses also likely to be incurred in the next twelve months.
2. Copies of payment agreements with medical facilities or canceled checks verifying payments made on outstanding medical bills that will continue over all or part of the next twelve months.
3. Receipts or other records of medical expenses incurred during the past twelve months that can be used to anticipate future medical expenses. This approach may be used to estimate "general medical expenses" such as non-prescription drugs and regular visits to doctors or dentists.
4. Medical insurance deductible amounts may be used as a medical expense if the total medical expenses exceed this deductible amount.

### Medical Need for Larger Unit

A reliable medical source (such as doctor, nurse, or other health care provider) must certify that such arrangements are medically necessary. The medical source will be asked to indicate if the medical condition/disability that warrants the need for a larger unit is anticipated to change/improve so that the larger unit would no longer be required. If the medical source indicates no on the verification form, there will be no additional yearly verification required to document the medical need for a larger unit.

### Assistance to Disabled:

#### 1. Attendant Care:

a. Attendant's written certification as to amount received from the applicant/participant or agency; frequency of receipt of amounts paid; hours of care provided; and/or copies of canceled checks applicant/participant used to make those payments.

b. Certifications required in paragraph 3(b) below and/or copies of canceled checks applicant/participant used to make those payments.

#### 2. Auxiliary Apparatus:

- a. Receipts for purchase of, or evidence of monthly payments for, auxiliary apparatus.
- b. In cases where the disabled person is employed, a statement from the employer that the auxiliary apparatus is necessary for employment.

3. In All Cases:

- a. Written certification from a doctor or a rehabilitation agency that the disabled person requires the services of an attendant or the use of auxiliary apparatus to permit the disabled person to be employed or to function sufficiently independently to enable another family member to be employed; and
- b. Family's written certification as to whether they receive reimbursement for any of the expenses in paragraphs 1 and 2 above and the amount of any reimbursement received.

**F. Verifying Non-Financial Factors**

In order to prevent program abuse and determine eligibility for allowances and deductions, DCA may require applicants and participants to furnish acceptable verification of legal identity, marital status, familial relationships, and changes in family composition, disability status, and citizen status.

Legal Identity:

The documents listed below will be considered acceptable verification of legal identity for adults. If a document submitted by a family is illegible or otherwise questionable, more than one of these documents may be required:

- Certificate of Birth
- Naturalization Papers
- Valid Driver's License
- U.S. Passport
- State Identification Card

Acceptable verification of legal identity for children includes one or more of the following:

- Certificate of Birth
- Adoption Papers
- Custody Agreement
- Health and Human Services Identification Card

One of these must be presented by the family member who is present at the briefing(s).

#### Marital Status:

A marriage certificate is the only acceptable verification of marital status. To verify divorce status, a copy of the divorce decree or Sworn Affidavit for Separation From Spouse (DCA form) is required. Verification of a legal separation is a copy of court-ordered maintenance or other court records.

#### Familial Relationships:

Certification will normally be considered sufficient verification of family composition. In cases where reasonable doubt exists regarding specific family members, the family may be required to provide copies of the documents listed in this section.

#### Stable Family Relationship:

- Joint bank accounts, purchases or loans
- Prior or current lease or rental agreements showing cohabitation
- Credit report showing residence and joint financial activity

#### Guardianship:

- Court-ordered assignment
- Verification from social services agency
- School records

#### Custody:

- DCA requires proof of custody for all minors residing in the unit who are not related to the head of household by birth.

It is possible to have what appear to be two families in the same household (such as mother and father and daughter with her own children). However, because they have applied as one family, they are considered to be one family.

#### Permanent Absence of Adult Member:

If the family reports permanently absent an adult member who was formerly a member of the household, DCA will consider any of the following as verification:

1. Husband or wife institutes divorce action or requests legal separation and provides appropriate documentation;
2. Order of protection/restraining order obtained by one family member against another;

3. Proof of separate home address, such as utility bills, lease, or rent receipts;
4. Statement from the landlord or manager that the family member is no longer living at that location; or
5. If the family member is incarcerated, a document from the court or prison, including information of the term of incarceration.

Disability:

Verification form signed by a physician indicating that the person meets HUD's criteria, letters regarding qualification for SSI payments, proof of residence in an institution or documentation showing hospitalization for a disability.

Citizen/Eligible Immigration Status:

To be eligible for assistance, individuals must be U.S. Citizens or eligible immigrants. Individuals who are neither may elect not to contend their status. Eligible immigrants must fall into one of the categories specified by the regulations and must have their status verified by Immigration and Naturalization Services (INS). Each family member must declare his or her status only once. Assistance cannot be delayed, denied, or terminated while verification of status is pending except that assistance to applicants may be delayed while DCA review is pending.

1. *Citizens or Nationals of the United States* are required to sign a declaration under penalty of perjury.
2. *Eligible Immigrants* who were Participants and 62 or older on June 19, 1995, are required to sign a declaration of eligible immigration status and provide proof of age.
3. *Non-citizens with eligible immigration status* must sign a declaration of status and verification consent form and provide their original immigration documents which are copied front and back and returned to the family. DCA verifies that status through the INS SAVE system. If this primary verification fails to verify status, DCA must request secondary verification through the INS within ten days.
4. *Ineligible family members* who do not claim to be citizens or eligible immigrants must be listed on a statement of ineligible family members signed by the family member or, if the family member is a minor, the person who is responsible for the minor.

Failure to Provide:

Applicant or participant family members who fail to sign required declarations and consent forms or provide documents, as required, will be listed as ineligible members. The family may be denied or terminated for failure to provide required information.

Time of Verification:

For applicants, verification of U.S. citizenship/eligible immigrant status occurs at the same time as verification of other factors of eligibility for final eligibility determination. For participants, verification will be completed at the first regular recertification after June 19, 1995. For family members added after other members have been verified, the verification occurs at the first recertification after the new members move in. Once verification has been completed for any covered program, it need not be replaced except that, in the case of port-in families, if the initial HA does not supply the documents, DCA must conduct the determination.

#### Extension of Time to Provide Documents:

Extensions must be given for persons who declare their eligible immigration status but need time to obtain the required documents. The length of the extension shall be based on individual circumstances. DCA will generally allow thirty days to provide the document or receipt issued by the INS for issuance of replacement documents.

#### Acceptable Documents of Eligible Immigration Status:

The regulations stipulate that only the following documents are acceptable unless changes are published in the Federal Register:

- Resident Alien Card (I-551)
- Alien Registration Receipt Card (I-551)
- Arrival-Departure Record (I-94)
- Temporary Resident Card (I-688B)
- Employment Authorization Card (I-688B)
- Receipt issued by the INS for issuance of replacement of any of the above documents that shows the individual's entitlement has been verified.

A birth certificate is not acceptable verification of status. All documents in connection with U.S. citizenship/eligible immigrant status must be kept a minimum of five years.

### **G. Computer Matching and Record Keeping**

Where allowed by HUD and/or other State or local agencies, computer matching will be done. When computer matching results in a discrepancy with information in DCA records, DCA field staff will follow up with the family and verification sources to resolve this discrepancy. If the family has unreported or underreported income, DCA will follow the procedures in Chapter 18 (Fraud and Abuse) of the Administrative Plan.

When the family furnishes the PHA with a letter or notice from HUD concerning the amount or verification of income, the PHA will verify the accuracy of income information contained in the notice and will, as appropriate, change the amount of total tenant payment, family rent to owner and housing assistance payment, or terminate assistance

During briefing sessions, DCA will inform applicants and participants of the availability of these records, and remind them that they must report all sources of income and all statements must be true and accurate.

## **Chapter 8.**

### **BRIEFING OF FAMILIES AND ISSUANCE OF VOUCHERS**

#### **A. FAMILY BRIEFINGS**

##### *Purpose of the Briefing:*

The tenant briefing session is held to inform the family about the HCV Programs and explain the roles of DCA, the landlord and the family.

##### *Briefing Attendance Requirement:*

The Head of Household is required to attend a briefing before the family is initially issued a Voucher. Group briefings are scheduled at a location generally convenient to persons residing in the county (i.e., public library, school, church, etc.) Individual briefings are held at times and locations that are mutually convenient to the applicant and Area Housing Administrator.

##### **Failure to Attend Briefing**

Applicants who provide prior notice of an inability to attend a briefing may be rescheduled only **once** for an individual briefing or the next group briefing. An unexcused absence from a scheduled briefing shall result in the withdrawal of the family's application. The applicant will be notified of such withdrawal and offered an opportunity for an informal review. Unless the informal review determines the family should be reinstated, the family will have to reapply for admission to the waiting list.

If the applicant's failure to attend was due to a family member's disability, the family should be reinstated, another briefing will be scheduled and reasonable accommodations made. When necessary, briefings will be held in facilities that are accessible to those with mobility impairments.

##### **Format of the Briefing:**

Voucher holders are briefed on an individual or group basis. If group briefings are conducted, applicants are met individually after the group session and are given an opportunity to ask questions.

## **The Briefing Packet**

An applicant for the Voucher Program is given a packet containing the following information in accordance with HUD requirements and DCA policies:

1. HUD brochure, "A Good Place to Live!" including information on what factors to consider in leasing a unit (i.e., condition, rent reasonableness, cost of utilities, energy efficiency, etc.)
2. HUD brochure, "Fair Housing, It's Your Right"
3. EPA brochure "Protect Your Family from Lead in Your Home"
4. HUD Form 903, Housing Discrimination Complaint Form
5. Section 504 Grievance Procedure Sheet
6. Understanding Your Voucher
7. Grounds for denial or termination of Assistance
8. Reasonable Accommodation fact sheet
9. Informal hearing procedures
10. Inspection Request Form, including tenant history report
11. Moving with Rental Assistance, including the desirability of locating units in low crime areas and with proximity to public transportation, shopping, employment, schools, and other local amenities
12. Vouchers: How Much Will I Pay?
13. HUD Form 50066

In addition to HUD's briefing requirements, each briefing must include an explanation of

- How the Housing Choice Voucher Program works,
- Family and landlord responsibilities,
- Where the family can lease a unit,
- Portability (for those families who are eligible),
- The advantages of moving from a high poverty area (for those families who currently reside in such an area),
- The causes and effects of an Elevated Blood Lead Level,
- How to request approval of a tenancy.
- The need to provide true and accurate information and the use of Computer-Matching



Data and tenant eligibility verification records to verify income

- The need to disclose any letter from HUD regarding income
- In those areas in which a Family Self-Sufficiency (FSS) Program is available, the briefing will include a brief explanation of the program
- The conditions in the lease under which the landlord may terminate tenancy and the conditions under which tenants' assistance may be terminated by DCA
- The maximum-security deposit and any special terms provided for the payment of the deposit shall be as agreed upon between landlord and tenant.
- Information shall be included to explain that rents are restricted by the Payment Standard and by the "rent reasonableness" guidelines. Voucher holders will be informed of the tenant's responsibilities regarding increased rent payments when a family elects to rent a unit in which the Contract Rent exceeds the total of the Voucher subsidy and the tenant payment.
- The Family Share is the family's payment to the landlord plus utility allowance. This total figure must be equal to or less than 40% of the family's monthly-adjusted income. New admissions to the Housing Choice Voucher Program and Continuing Program Participants (CPP) who move will not be allowed to pay more than 40% of adjusted income for rent unless the gross rent is less than the payment standard then the 40% rule does not apply. If the rent burden of the selected unit is over 40%, the new admission family will be advised to seek a lower priced unit.
- Tenant-supplied utilities must be on in the name of the Head of Household or other adult family member.

## **B. HUD FORMS**

The following contract documents are used in the Voucher Program.

- a. HUD Form 52646 Voucher, Housing Choice Voucher Program
- b. HUD Form 52517 Request for Tenancy Approval
- c. HUD Form 52641-A Tenancy Addendum HCV Tenant-Based Assistance Housing Choice Voucher Program
- d. HUD Form 52641 Housing Assistance Payments Contract, HCV Tenant-Based Assistance Housing Choice Voucher Program
- e. HUD Form 52642 Housing Assistance Payments Contract, Manufactured Home Space Rental, HCV Tenant-Based Assistance Housing Choice Voucher Program

## **C. SECURITY DEPOSIT REQUIREMENT**

The amount of the security deposit will not be in excess of private market practice or in excess of amounts charged by the owner to unassisted tenants.

## **Leases Effective on or After October 16, 1995**

The landlord is encouraged to collect a security deposit from the tenant, especially as HUD regulations no longer allow special claims for damages, unpaid rent, or vacancy loss. The maximum-security deposit is the lesser of the amount charged by the landlord for unassisted tenants or two months contract rent. Upon vacating the unit, the landlord is required to provide the tenant with a written list of all items charged against the security deposit and the amount of each item. The landlord must promptly refund any unused balance to the tenant.

For lease-in-place families, responsibility for the first and last month's rent is not considered a security deposit issue. The landlord should settle the rent deposit issue, if applicable, with the tenant prior to the beginning of assistance.

### **D. VOUCHER ISSUANCE**

At the close of the briefing session, each eligible household will be issued a Housing Voucher. The Voucher represents a contract between DCA and the applicant household specifying the rights and responsibilities of each party.

The issuance of Vouchers must adhere to the dollar limitations set by the budget. In addition, the number of Vouchers issued must ensure that DCA stays at or as close as possible to 100% lease-up. To monitor this, DCA maintains monthly reports to help determine when applications should be taken, the number of Vouchers to be issued based on turnover statistics, and whether or not DCA should over-issue.

DCA may over-issue Vouchers only if necessary to meet leasing goals. Vouchers that are over-issued must be honored as contracts, if they meet program requirements. If DCA finds it is over-leased, the future issuance of Vouchers must be adjusted.

#### **Term of the Voucher**

The Housing Choice Voucher is valid for a total period of 120 days from the date of issuance. The family must submit an Inspection Request Form within the 120 period. Only one Inspection Request form may be submitted at a time. No Inspection Request Forms will be accepted after the 120<sup>th</sup> day.

Additional search time for families that include a member with a disability may be considered for Reasonable Accommodation. Additional time may be granted as approved by the Regional Administrator.

If the Voucher expires before the family submits an Inspection Request Form, the family will be denied assistance. The family will not be entitled to a review.

#### **Tolling**

DCA does not toll and will not suspend the 120-day term of the Voucher when the Inspection Request Form is received.

## **Turnover Vouchers**

When Vouchers expire or are turned over, and when the HAP contract is terminated for any reason, DCA will not reissue any voucher subsidy unless there is sufficient budget authority.

### **E. VOUCHER ISSUANCE FOR SPLIT HOUSEHOLDS**

When a family divides into two otherwise eligible families, (due to divorce, legal separation, or the division of the family) and there is no determination by a court and the new families cannot agree which family unit should retain the voucher; DCA's Regional Housing Administrator shall consider the following factors to make the determination:

1. Which of the two new family units has custody of dependent children, elderly members, and/or disabled members; and
2. Whether domestic violence was involved in the break-up.

In making a decision, the Regional Housing Administrator may seek recommendations from social service professionals who are familiar with the family's circumstances.

Documentation of these factors will be the responsibility of the requesting parties.

### **F. REMAINING MEMBER OF TENANT FAMILY - RETENTION OF VOUCHER**

To be considered the remaining member of the tenant family, the person must have been previously approved by DCA to be living in the unit.

A live-in aide, by definition, is not a member of the family and will not be considered a remaining member of the Family.

In order for a minor child to continue to receive assistance as a remaining family member:

1. The court has to have awarded emancipated minor status to the minor, or
2. DCA will verify that an eligible another adult will be brought into the assisted unit to care for the child(ren) for an indefinite period.

A reduction in family size may require a reduction in the voucher size.

## **Chapter 9.**

### **TENANCY APPROVAL AND CONTRACT EXECUTION**

#### **A. REQUESTING TENANCY APPROVAL**

It is the family's responsibility to locate suitable housing. Once the family has found a unit, the head of household must complete and submit an Inspection Request Form. The form must be submitted during the term of the Voucher. DCA will consider only one inspection request at a time; the family may not request an inspection of a second unit until it is determined that the first unit is not suitable for leasing under the HCV program.

DCA will use the information on the Inspection Request Form to assist in determining if the unit is eligible for leasing under the HCV program. Approval of the unit will occur provided the following program requirements are met:

1. The unit is an eligible type of housing;
2. The unit meets HUD's Housing Quality Standards (and any additional criteria as identified in this Administrative Plan);
3. The rent is reasonable and the family is not rent burdened;
4. The security deposit is not in excess of private market practice or in excess of amounts charged by the owner to unassisted tenants.
5. The landlord is not on DCA's Ineligible List, is not related to a family member and there is no apparent conflict of interest.

#### **B. ELIGIBLE TYPES OF HOUSING**

The following types of housing may be occupied under the Voucher program (unless designated otherwise):

1. All structure types including, but not limited to, single family and multi family dwellings;
2. Manufactured homes, where the family leases the mobile home and the pad; and
3. Manufactured homes, where the family owns the mobile home and leases the pad
4. Special Housing (single room occupancy, congregate housing, group homes, shared housing, cooperative housing) for families with a member with a disability that requests this type of housing. The Regional Administrator will handle requests on a case-by-case basis.

## **Relative Rule**

Families may lease properties owned by relatives **only as a reasonable accommodation for a disabled family member** and the unit meets the other program requirements. **Families that do not have a member with a disability may not be related to the landlord/owner as parent, grandparent, child, grandchild, brother or sister.**

## **Ineligible Housing**

A family may own a rental unit, but may not reside in it while being subsidized, except for manufactured homes where the Voucher holder owns the mobile home and leases the pad and those who are participating in the Homeownership Program (see chapter 21). Similarly, a Voucher holder may not lease a unit that is receiving project-based HCV assistance under the HCV New Construction, Substantial Rehabilitation, Moderate Rehabilitation or other project-based assistance program, whether the units receiving project-based assistance are owned by DCA or a private landlord.

DCA will not approve any of the ineligible types of housing listed in 24 CFR Section 982.352 and will approve any of the eligible types listed in this reference.

## **C. LANDLORD BRIEFING**

Landlord briefings are used to explain all appropriate aspects of the program to the landlord, including lease approval procedures, HQS requirements and inspections, HAP contract provisions, lease or lease addendum provisions, and payment procedures. Landlord briefings may be conducted in person or by telephone.

### **Briefing Packet**

Documents to be given or sent to the landlord include:

1. Request for Tenancy Approval
2. HAP Contract
3. Statement of Landlord Understanding
4. HUD Tenancy Addendum
5. IRS Form W-9
6. Disclosure of Lead-Based Paint and Lead-Based Paint Hazards

### **Statement of Landlord Responsibility**

1. I am the owner of the above referenced unit or I represent its legally designated management entity. If the unit is a single-family or duplex dwelling, or multi-family complex with four units or less I will provide proof of ownership. Acceptable documentation is a copy of the property deed or a current tax record. If the property is managed by a third party a copy of the fully executed management agreement and the social security or tax identification number of the management entity is required for single family or duplex dwellings or a multi-family complex with four units or less. I also certify that the tenant has no ownership interest in the unit.

2. I will comply with equal opportunity requirements.
3. I should screen the family for suitability, including the family's background with respect to such factors as rent and utility payment history, caring for unit and premises, respecting the rights of others to the peaceful enjoyment of their housing, and drug-related and criminal activity that is a threat to the life, safety, or property of others.
4. I may collect a security deposit from the tenant that is not in excess of private market practice, or of amounts that I charge unassisted tenants
5. I must offer the same lease as any I use for unassisted tenants, and I must ensure that it complies with state and local law. DCA will only review my lease to ensure that the HUD required items are included.
6. The family members listed on the Housing Assistance Payments (HAP) Contract are the only individuals permitted to reside in the unit. Except for the birth, adoption, or court-awarded custody of a child, DCA and I must grant prior written approval for other persons to be added to the household. I am not permitted to live in the unit while receiving HAP Payments.
7. I agree to comply with all requirements contained in the lease, tenancy addendum, Housing Assistance Payments Contract, parts A, B, and the Lease Special Stipulations and fully understand the terms and conditions of these forms
8. I must submit to the tenant for their consideration and to DCA for review any new lease or lease revision a minimum of sixty (60) days in advance of the effective date of the lease or lease revision.
9. I must provide DCA and the tenant with written request for any rent increase a minimum of sixty(60) days in advance of the increase and in accordance with the provisions of the lease and HAP Contract.
10. The tenant's portion of the contract rent is determined by DCA and it is illegal to charge any additional amounts for rent or any other item not specified in the lease which has not been approved by DCA
11. The owner (including a principal or other interested party) is not the parent, child, grandparent, sister or brother of any member of the assisted family. DCA may grant prior written approval if the unit will provide reasonable accommodation for a family member who is a person with disabilities. Transfer of property occurring within the last 12 months in order to meet eligibility is not permissible.
12. I may not assign the HAP Contract to a new owner without the prior written consent of DCA. I must complete the DCA Transfer of Payments Form to have the Housing Assistance Payments (HAP) transferred to the new owner, agent, or entity.

13. I must advise DCA and the tenant, in writing, within 15 days of being notified of pending foreclosure of this property.
14. Failure to perform necessary maintenance so the unit complies with Housing Quality Standards can result in abatement of my Housing Assistance Payments. I may not terminate tenancy because of abatement, and I may not collect same from the tenant.
15. I will provide DCA in writing immediately if the unit becomes vacant, and I understand that the HAP Contract terminates and that payment ceases when the family moves out.
16. I should attempt to work out disputes with the tenant, and I will contact DCA in writing only in serious matters that we are unable to resolve.
17. I must provide the tenant and DCA with a written notice specifying the grounds for termination of tenancy, at or before the commencement of the eviction action and a copy of the eviction notice and to comply with all state and local eviction procedures.
18. I must notify DCA immediately in writing of a change in contact information, such as address, phone number, e-mail, or banking information. Failure to do so may interrupt correspondence such as unit inspection repair letters, and may delay delivery or electronic transfer of assistance payments.
19. I will provide information to authorize direct deposit for all assistance payments, and to confirm receipt I will receive notification of deposits only via email at this address: \_\_\_\_\_
20. Knowingly supplying false, incomplete, or inaccurate information is punishable under federal or state law.
21. I have been briefed on the Housing Choice Voucher Program and understand that failure to fulfill these obligations may result in withholding, abatement or termination of housing assistance payments for this unit or other assisted units, and may be cause for debarment from participating in DCA housing programs.

### **Tenant Screening**

DCA will provide prospective landlords with the current address of the applicant/participant and the names and addresses of the current and previous landlords, if known.

DCA will inform landlords that it is the landlord's responsibility to determine the suitability of prospective tenants. Landlords will be encouraged to screen applicants for rent payment history, eviction history, damage to units, and other factors related to the family's suitability as a tenant. DCA's policy on providing information to landlords is included in the briefing packet and applies uniformly to all families and landlords.

## **D. LANDLORD DISAPPROVAL**

For purposes of this section, “landlord” includes a principal or other interested party. DCA will disapprove the landlord for the following reasons:

1. HUD or another party has informed DCA that the landlord has been disbarred, suspended, or subject to a limited denial of participation.
2. HUD has directed DCA that the federal government has instituted an administrative or judicial action against the landlord for violation of the Fair Housing Act or other federal equal opportunity requirements and such action is pending;
3. HUD has directed DCA that a court or administrative agency has determined that the landlord violated the Fair Housing Act or other federal equal opportunity requirements;

### DCA Discretion to Disapprove Landlords

DCA may disapprove a landlord for the following reasons:

1. The landlord has committed fraud, bribery, or any other corrupt act in connection with any federal housing program;
2. The landlord has a current or previous practice of non-compliance with HQS and/or state and local housing codes or with applicable housing standards for units leased under any other federal housing program;
3. Violation of obligations under one or more HAP contracts;
4. Participation in any drug-related criminal activity or any violent criminal activity;
5. Current or prior history of refusing to evict housing choice voucher program or other assisted housing tenants for activity by the tenant, any member of the household, a guest, or another person under the control of any member of the household that:
  - Threatens the right to peaceful enjoyment of the premises by other residents;
  - Threatens the health or safety of residents, PHA employees, or owner employees;
  - Threatens the neighbors’ health or safety, or neighbors’ right to peaceful enjoyment of their residences; or
  - Engages in drug-related criminal activity or violent criminal activity.
6. Fails to pay state or local real estate taxes, fines, or assessments.
7. Fails to comply with regulations, the mortgage or note, or the regulatory agreement for projects with mortgages insured by HUD or loans made by HUD or with financing from other local or state governmental agencies;
8. Engaged in actual physical abuse or has threatened abusive or violent behavior toward a resident, a member or the public, or DCA personnel.



- “Abusive or violent behavior” includes verbal as well as physical abuse or violence. Use of expletives that are generally considered insulting, racial epithets, or other language, written or oral, that is customarily used to insult or intimidate, may be cause for disapproval or an owner.
- “Threatening” refers to oral or written threats, or physical gestures, that communicate intent of abuse or commit violence.

## **E. LIMITATIONS ON LANDLORD PARTICIPATION AND TERMINATION**

If a landlord has committed fraud or abuse or is guilty of frequent or serious contract violations, DCA will restrict the landlord from future participation in the program for a period of time commensurate with the seriousness of the offense. DCA may also terminate some or all contracts with the landlord.

Before imposing any penalty against a landlord, DCA will review all relevant circumstances, including, but not limited to, the severity of the violations, whether restitution has been made, and the effect disapproval would have on the local affordable rental housing market.

## **F. CONFLICTS OF INTEREST**

DCA will not approve contracts in which any of the following parties have a current interest or will have an interest in the HAP contract for one year thereafter:

- Present or former member or officer of DCA, except a participant commissioner;
- Employee of DCA or any contractor, subcontractor or agent of DCA who formulates policy or influences program decisions;
- Public official, member of a governing body, or state or local legislator who exercises functions or responsibilities related to the programs; or
- Members of U.S. Congress.

**The HUD Field Office may waive the conflict of interest requirement, except for members of Congress, for good cause.**

## **G. CHANGE IN OWNERSHIP**

HAP payments are mailed/deposited to the owner or his/her authorized agent. Payments may not be transferred to another person, agent, or entity without completion of the DCA Transfer of Payments Form. This form requires the signature of the previous payee. If the previous payee is unavailable or refuses to sign the form, the new payee must provide proof of ownership and/or authorization from the owner for the transfer of payments. Acceptable proof of ownership includes a copy of the deed or title insurance policy. In addition the new landlord must sign a Change of Ownership form, Landlord Understanding form and W-9 (if necessary). The lease and HAP contract are automatically assigned to the new owner upon the sale of the property and remains in full force and effect. Therefore, it is not necessary to complete a new lease or contract.

In the event of Foreclosure, DCA will terminate the HAP and issue the family a voucher.

## **H. LEASE REVIEW**

The family and owner must submit a standard form of lease in the locality by the owner and that is generally is used for other unassisted tenants in the premises. The terms and conditions of the lease must be consistent with state and local law.

The tenant must have the legal capacity to enter into a lease under state and local law. Responsibility for utilities, appliances and optional services must correspond to those provided on the Request for Tenancy Approval.

DCA **does not** approve leases but the lease will be reviewed for the **minimum** lease requirements. The lease must specify:

1. The names of the owner and tenant
2. The address of the unit rented (including apartment number, if any)
3. The amount of monthly rent to owner
4. The utilities and appliances to be supplied by the owner
5. The utilities and appliances to be supplied by the family
6. The term of the lease, including initial term and provisions for renewal.

The HUD prescribed tenancy addendum must be included (or attached) in the lease word-for-word before the lease is executed.

Special stipulations of the owner may be attached to the lease as an addendum, provided they do not violate any fair housing provisions and do not conflict with the tenancy addendum.

### **Actions before Lease Term**

All of the following must always be completed before the beginning of the initial term of the lease for a unit:

1. DCA has inspected the unit and has determined that the unit meets HQS
2. DCA has determined the rent is reasonable
3. The landlord and tenant have executed the lease, including the HUD-prescribed tenancy addendum
4. DCA has approved tenancy of unit in accordance with program requirements
5. When gross rent exceeds the applicable payment standard for the family, DCA must determine that the family share (total family contribution) will not be more than 40% of the family's monthly adjusted income.

### **When changes require a new lease and contract**

A new HAP contract and Lease will be executed when the following conditions occur:

- There is a change in responsibility for utilities or appliances
- The family moves to a new unit, voluntarily or involuntarily

- A rent increase is not specified in the existing lease or stipulations
- The lease expires

### **Separate Agreements**

Separate agreements are not necessarily illegal side agreements. Families and landlords will be advised of the prohibition of illegal side payments for additional rent, or for items normally included in the rent of unassisted families, or for items not shown on the lease.

Landlords and families may execute separate agreements for services, appliances (other than range and refrigerator), and other items that are not included in the lease if the agreement is in writing and approved by DCA.

Any appliances, services, or other items that are routinely provided to unassisted families as part of the lease (such as air conditioning, dishwasher, or garage), or are permanently installed in the unit, cannot be put under separate agreement and must be included in the lease. The family must have the option of not utilizing the service, appliance, or other item, for a separate agreement to exist. DCA is not liable for unpaid charges for items covered by separate agreements and nonpayment of these agreements cannot be cause for eviction.

If the family and landlord have come to a written agreement on the amount of allowable charges for a specific item, if those charges are reasonable and not a substitute for higher rent, they will be allowed.

All agreements for special items or services must be approved by DCA and attached to the lease. If agreements are entered into at a later date, they must be approved by DCA and attached to the lease.

### **Lease Term Policy**

DCA will not enter into a HAP contract for an initial term less than eleven months. The owner and family must mutually agree to terminate the lease during the initial lease term. Otherwise, the owner must have cause to terminate the lease.

## **I. CONTRACT EXECUTION PROCESS**

DCA prepares the Housing Assistance Payments (HAP) Contract for execution. The family and the landlord will execute the Lease agreement, and the landlord and DCA will execute the HAP Contract. Copies of the documents will be furnished to the parties who signed the respective documents. Documentation will be retained in the family file.

The term of the HAP Contract and lease must concur.

If the HAP contract terminates for any reason, the lease terminates automatically.

By endorsing the monthly check or authorizing its direct deposit, the landlord certifies that the unit is in decent, safe, and sanitary condition and the lease remains in effect.

The HAP Contract must be executed within sixty days after commencement of the lease term. DCA considers the execution of the HAP Contract to be the date landlord signs the document. No rental payments will be made until the HAP Contract has been executed.

Owners are required to provide a valid Tax Identification Number by filling out a W-9. DCA will not enter into HAP Contracts with owners who refuse to provide a Tax Identification Number.

### **Making Payments to Landlords**

Following execution of the HAP Contract, DCA will begin processing payments to the landlord. The first HAP payment will be retroactive to the effective date of the HAP Contract. If the family has moved into the unit during the middle of the month, the first payment will be prorated to cover only those days in which the unit passed HQS and the family was in residence.

The effective date of the HAP Contract is the date the unit passes HQS and the family occupies the unit. If the family has paid the rent and the HAP Contract becomes effective during the month, the landlord is required to reimburse the family for that portion of the rent paid to the landlord by DCA.

The initial HAP payment should be received within seven to fourteen days from the date the HAP Contract is processed by the Regional Office staff. After the initial HAP payment, payments will be remitted on the first day of the month.

### **Contract and Payment Processing**

HAP Contracts are processed electronically. Contracts are entered into the system by the Regional Office staff. Payments are authorized and checks are printed and disbursed from DCA's Atlanta Office. Checks lost in the mail will not be replaced by a duplicate check until a stop payment is in place and the bank confirms the original check cannot be cashed.

### **Late Payment of the HAP**

DCA will pay a fee to the owner for late payment of the HAP in accordance with the terms and conditions included in the HAP contract as long as the penalties are the same as that charged by the owner for unassisted tenants. The late fee amount and implementation date must also be clearly stated in the Lease. Also, no HAP payment will be considered "late" if postmarked/transmitted by the first of the month the payment is due.

The computerized system maintains the HAP Register to monitor payments in accordance with HUD requirements.

## Chapter 10.

# PAYMENT STANDARDS , RENTS AND RENT REASONABLENESS

## A. PAYMENT STANDARDS

The Payment Standard is an amount used to calculate the monthly housing assistance payment for the Voucher program. It is based on the county's Fair Market Rent (FMR) and established individually for each bedroom size. The Payment Standard amount can never be greater than 110% of the county's FMR nor less than 90% percent of the FMR unless DCA receives a waiver from HUD.

### Payment Standard Determination and Adjustments

DCA relies on the HUD FMR's for establishing Payment Standards at levels that are high enough to allow families to select units in areas of low density and poverty, but low enough so that a maximum number of families may receive housing assistance. DCA publishes a Payment Standards schedule annually for each of the 149 counties within its jurisdiction. The Payment Standards schedule may be accessed on DCA's website:

DCA may request a waiver from HUD to increase the Payment Standard over 110% of the Fair Market Rent under the following conditions:

1. In order to provide reasonable accommodation to a family that has a member with a disability, the following must be documented.
  - a. Indication that feature(s) of the specific house is/are needed to accommodate a disabled family member's specific disability needs (statement from qualified medical professional)
  - b. Indication that requested gross rent is rent reasonable
  - c. Determination that there are no other options that fit the needs of the participant. (including considerations for transportation, family support)
  - d. 110% exception is needed to approve the rent within 40% of adjusted monthly income (40% TTP cap).
2. A unit has been structurally modified to accommodate a family member with a disability and the owner requests a rent that would make the family's contribution to rent exceed 40% of the household income.
3. Specific counties may be designated to receive specially funded vouchers with a limited time frame for leasing suitable units. The Payment Standard may be increased to improve the availability of housing stock.

4. If 40% or more of voucher families occupying units of any particular unit size pay more than 30% of adjusted monthly income toward rent.

DCA may increase the Payment Standard amount at its discretion (not to exceed 110% of the current FMR) but is required to reduce the Payment Standard whenever HUD publishes FMRs lower than the corresponding Payment Standard amount.

DCA may seek approval of an Exception Payment Standard Rent Area from HUD if it is determined that a particular neighborhood, county or region needs an adjustment in the Payment Standard to meet the needs of families seeking housing outside high poverty areas; or to expand the search area so that families have a better opportunity to find suitable housing.

## **B. HOUSING ASSISTANCE PAYMENT (HAP) IN THE HOUSING CHOICE VOUCHER PROGRAM**

Based upon the calculation using HUD form 50058, housing assistance payments are based upon the lesser of the following:

- Payment Standard of the unit bedroom size the family occupies
- Payment Standard for the voucher bedroom size the family is certified for; or
- The Gross Rent of the leased unit

Generally, the maximum subsidy for each family is determined by the Payment Standard less the family's TTP, [30% of the family's Monthly Adjusted Income, 10% of gross monthly income, or the minimum tenant contribution (\$50.00), whichever is greater.]

## **C. RENT AND RENT ADJUSTMENTS**

The Contract Rent must remain unchanged during the initial lease term, except for Voucher holders in Farmer's Home units when an all-complex rent increase has been approved. **The rent on Farmer's Home units may not be increased for an individual resident when the resident's household income increases.**

Owners may increase the rent after the initial term of the lease by sending a 60-day written notice to the participant and DCA. Any requested change in rent to owner will be subject to rent reasonable requirements and budgetary constraints.

### **Rent Increases in the Moderate Rehabilitation Program**

Property owners participating in the Moderate Rehabilitation Program may submit a revised schedule of Contract Rents on the anniversary of the initial HAP contract. Rent adjustments are limited by the comparability of the new rent amount to the fair market rental for existing units in the area, and the application of the appropriate Annual Adjustment Factor.

## **D. RENT REASONABLENESS DETERMINATIONS**

It is DCA's responsibility to ensure that the rents charged by owners are reasonable based upon objective comparables in the rental market. DCA will not approve the tenancy or execute a HAP contract until it has determined that the unit meets the minimum HQS and that the rent is reasonable.

DCA will determine rent reasonableness at initial lease-up, before any increases in rent to owner and at other times as described in this section. DCA will provide the owner with information concerning rent adjustments in the Voucher programs

Rents will be disapproved if the rent is determined to be unreasonable. DCA will determine the reasonableness of the rent in relation to comparable units available on the private unassisted market, and the rent charged by the owner for a comparable unassisted unit in the building or premises

Rent reasonableness determinations are made

- When units are placed under HAP Contract for the first time,
- If there is a 5% or more decrease in the current FMR within 60 days of the HAP anniversary date
- When landlords request a rent increase
- When HUD determines a survey is necessary
- At each annual recertification

DCA Staff is very familiar with the rental housing market in their territories. They continue to monitor the quality of approved housing to ensure that the requested rent meets the rent reasonableness test. The family file must contain three comparable units for the rent reasonableness test. In an Apartment complex DCA may use the most recent three unassisted units leased.

Apartments located in Low Income Housing Tax Credit (LIHTC) complexes that rent for below-market rents are considered assisted units and should not be used in rent reasonableness determinations.

DCA will certify and document on a case-by-case basis that the approved rent:

1. Does not exceed rents charged for new leases by the landlord for comparable unassisted units in the private market; and
2. Is reasonable in relation to rents charged by other landlords for comparable units in the private market, including rents charged by the owner for other owned units on the premises.

## **E. Comparison Characteristics**

To determine if rent is reasonable, specific characteristics of the contract unit will be compared with the same characteristics of comparable unassisted units. Standard factors to be considered are:

- Location
- Quality
- Size
- Unit type
- Age

In addition to the standard items of comparison, owner-provided items will be compared:

- Amenities
- Housing services
- Maintenance
- Utilities

DCA uses a Comparable Rent Survey Form to document the above characteristics of each comparable unit. The standard comparison factors are first considered to determine that the basic units are as similar as possible; then the owner-provided amenities are compared in order to justify a reasonable rent amount for the assisted unit.

### **The Rent Reasonableness Survey**

Landlords disagreeing with the results of the survey may conduct their own surveys by providing DCA with a minimum of three comparables in the market area. DCA staff will verify the landlord's comparables.

Information on comparable units is collected from the local rental market and through contact with owners and property managers of rental property. Due to the size and diversity of DCA's jurisdiction, the market area for rent reasonableness comparables varies considerably. Every effort is made to locate comparables in the same neighborhood or locality. If comparables cannot be found in the same neighborhood or locality, a similar market area will be surveyed. The market area may be larger or smaller, depending on the supply of rental housing in the area.

When no comparable unit can be located, the following method will be used to determine a reasonable rent:

1. A two-bedroom unit currently under contract in the same market area and with similar amenities will be used.



2. The two-bedroom rent will be multiplied by the appropriate factor for the unit size:

0 Bedroom	.70
1 Bedroom	.85
3 Bedrooms	1.25
4 Bedrooms	1.40
5 + Bedrooms	4 BR rate plus 15% for each additional bedroom

If a comparable two-bedroom unit is not available, divide the rent for an under-contract, assisted three-bedroom unit by 1.25 to arrive at the reasonable rent.

The subsidy number of the unit currently under contract will be recorded on the initial HQS booklet.

The computer program maintains a database that includes comparable data on unassisted units throughout DCA's jurisdiction. Staff uses this data to determine rent reasonableness and documents the file. The system requires that information on comparables be not more than twelve months old.

DCA maintains a database that includes data on unassisted units for use by staff in making rent reasonableness determinations. The data is updated on an annual basis.

## Chapter 11

### HOUSING QUALITY STANDARDS INSPECTIONS

#### A. HUD STANDARDS

DCA is required by HUD regulations to inspect the unit to ensure that it is "decent, safe, and sanitary" according to Housing Quality Standards as set forth in 24 CFR Section 982.401. The Housing Quality Standards are used to determine whether or not the unit is acceptable so the family can receive rental assistance. In addition, DCA has adopted local requirements of acceptability that have been approved by HUD.

No unit will be initially placed on the HCV Rental Assistance Housing Program unless these standards are met. Units must continue to meet the Housing Quality Standards for as long as the family remains in the unit with HCV assistance.

Utilities must be operative at the time of the initial inspection or the inspection will be rated as inconclusive.

Efforts will be made at all times to encourage owners to provide housing quality above HQS minimum standards.

There are five types of inspections DCA will perform:

1. Initial - Conducted upon receipt of the completed Inspection Request Form;
2. Annual - To be conducted on or before the recertification date;
3. Complaint - To be conducted upon request by the family or landlord;
4. Move-Out or Damage (Mod-Rehab only) - To be conducted upon request by the landlord; and
5. Quality Control - To be conducted for at least 30 units annually plus 1 for each additional 200 assigned over 2000.

#### **Inspection Standards**

DCA will use the HUD established Housing Quality Standards Performance Standards for conducting inspections.

## Maximum Unit Standards

<i>Bedroom Size</i>	<i>Maximum Occupancy</i>
0-BR	2
1-BR	4
2-BR	6
3-BR	8
4-BR	10
5-BR	12
6-BR	14

## B. ACCEPTABILITY CRITERIA AND EXCEPTIONS TO HQS

DCA adheres to the acceptability criteria in the program regulations and HUD Inspection Manual with the following HUD-approved exceptions:

1. Unvented gas space heaters may be permitted in the unit provided they meet safety standards and display the appropriate AGA seal. \*
2. All rooms used for living purposes must have a suitable floor covering, including carpeting, tile, vinyl, or wood. Concrete flooring is not acceptable unless it has been permanently sealed to prevent moisture (concrete or enamel paint).\*\*
3. A window in the living room will not be required if there is sufficient illumination from other adjacent natural light sources.

\* Because of the common use of unvented space heaters in DCA's jurisdiction, prohibition of their use could adversely restrict the supply of housing. In addition the unit must be in compliance with the required safety calculations which are reflected in the HQS booklet by comparing the BTU output to the total cubic feet of the heated area ( $H \times L \times W \times 30 = Max BTU/hr$ ).

\*\*DCA has determined that due to the relatively inexpensive costs of floor coverings, this exception will not restrict the supply of suitable housing at or below the FMRs

## C. INITIAL INSPECTION

DCA will schedule an inspection of the unit to be held within a reasonable time of the receipt of an Inspection Request Form. The family and the landlord will be notified of the date and approximate time of the inspection.

The family and landlord will be advised of any deficiencies if the unit fails the initial Housing Quality Standards inspection. If the repairs are not made by the date determined by DCA and the voucher has expired, the family must reapply for the program.

## **D. ANNUAL HQS INSPECTION**

DCA will conduct an annual Housing Quality Standards inspection approximately 90-120 days in advance of the recertification date. The family and landlord will be provided reasonable notice of the date and time of the appointment. The family must notify the Regional Office if the appointment cannot be kept. The inspection will be rescheduled once. (Exceptions will be at the discretion of the Regional Administrator).

### **Deficiency Correction Timelines**

The responsible party must be given sufficient time to correct the failed items. There are two guidelines to use:

1. If the item is life threatening, the responsible party must be given 24 hours to correct the violation.
2. For less serious failures, the responsible party may be given up to thirty days to correct the item(s). However, under extenuating circumstances such as the unavailability of parts or supplies or adverse weather conditions, DCA may grant a reasonable extension up to sixty (60) additional days (for a total of ninety (90) days).

The landlord is responsible for vermin and insect infestation even if caused by the family's living habits. However, if such infestation is serious or repeated, it may be considered a lease violation and the landlord may initiate eviction proceedings. If the family is evicted, DCA may terminate the family's assistance.

### **Smoke Detector Requirements**

DCA requires that each dwelling unit have at least one battery-operated or hard-wired smoke detector, in proper operating condition, on each level of the unit, and in each sleeping area, including the basement.

If a hearing impaired person occupies the unit, the smoke detector must have an alarm system designed for the hearing impaired.

The landlord is responsible for the installation of a working smoke detector. The removal of batteries or failure to replace non working batteries from smoke detectors by residents is considered a serious program violation.

### **Life-Threatening Violations**

Following are examples of deficiencies that are considered life threatening and must be corrected by the landlord within 24 hours. It is not possible to list all of the deficiencies that may place a family in a life-threatening situation; therefore, the Area Housing Administrator may use his or her discretion to determine if a deficiency is severe enough to require immediate attention by the landlord or family.

1. Ceiling in imminent danger of falling
2. Major plumbing leaks or flooding
3. Gas leak or fumes
4. Electrical situation that could result in shock or fire
5. Inoperable heating system during winter months (November 15<sup>th</sup> through March 15<sup>th</sup>)
6. No running water
7. Lack of functioning toilet
8. Utilities shut off

## **E. CONTRACT ABATEMENT**

If the landlord fails to correct deficient items for which the landlord is responsible within the specified time, the Housing Assistance Payment may be abated for a maximum of thirty days for life-threatening violations and sixty days for less serious violations. The family will be offered a new Voucher and will be authorized to search for another unit.

An Abatement Notice shall be sent to the landlord following the failed reinspection. The abatement shall continue until all items that caused the unit to fail have been corrected, but no longer than sixty days for non-life threatening repairs and thirty days for life threatening repairs. If the landlord makes repairs during this period and the family intends to stay in the unit, the abatement will end the day that the unit passes inspection.

Reinspections for deficiency items will include only those items that failed; however, if the inspector encounters other deficiencies, the landlord will be notified and the regular deficiency procedures will be followed.

No retroactive payments will be made to the landlord for the period of time the Housing Assistance Payment was abated and the unit did not comply with HQS. In addition, the family may not be held responsible for DCA's portion of rent that was abated. The family will continue to pay their share of the rent.

### **HAP Contract Termination**

If the landlord fails to correct the deficiencies by the end of the abatement period, the Housing Assistance Payment (HAP) Contract will be terminated. The family may decide to remain in the unit without assistance. In this case, the family will become responsible for the entire Contract Rent.

**The family is only responsible for breaches of HQS that are caused by:**

1. Non-payment of utilities by the family;
2. Not providing, or failing to maintain, appliances not provided by the landlord; and
3. Damages to the unit or premises caused by a household member or guest (damages beyond normal wear and tear).

HQS violations that are the family's responsibility must be corrected within the appropriate time period. If the violations are not corrected, the family's assistance will be terminated and the family will be offered an opportunity for an informal hearing. The landlord's HAP payment will not be abated for a breach of HQS for which the family is responsible.

#### **F. SPECIAL/COMPLAINT INSPECTIONS**

Families and landlords are encouraged to resolve any disputes without DCA's intervention. However, if no resolution can be reached and the family or landlord notifies DCA that the unit does not meet HQS, DCA will conduct an inspection. Only those items that were reported will be inspected; however, if other deficiencies that would cause the unit to fail HQS are noticed, the responsible party will be required to make the necessary repairs.

If the family's recertification date is within 120 days of a special inspection, the special inspection may be considered an annual inspection and all annual inspection procedures will be followed.

## **Chapter 12.**

### **RECERTIFICATIONS**

HUD requires that DCA recertify the income and household composition of all families at least annually. These activities must be coordinated to ensure that they are completed in accordance with the regulations.

Income limits will not be used as a test for continued eligibility at recertification.

#### **A. DETERMINING THE ANNUAL RECERTIFICATION DATE**

The annual recertification date is determined by the effective date of the HAP Contract. If the initial HAP Contract date falls on any date other than the first, the annual recertification date regresses to the first of the same month (e.g. HAP effective date 1/25, recertification date 1/1).

The computer system provides a listing of units under contract by month to ensure systematic reviews of Contract Rent, allowances for utilities and other services, and housing quality inspections in accordance with the requirement for annual re-examination. This listing is used to assist in scheduling the required activities.

Families will be requested to provide information on expenses, income, assets, household composition and characteristics, drug and criminal activity at least annually. This information will be verified the same as initial verification methods. Each member of the household must declare his/her citizenship or verify eligible immigration status only once during continuously assisted occupancy. New household members, other than through birth, must submit the declaration at the next interim or annual certification.

#### **B. RE-EXAMINATION NOTICE TO THE FAMILY**

Program participants are notified in writing of their annual recertification date at least 150 days in advance. Participants are sent an application, the Request for the Release of Information/Privacy Act Form, and DCA Authorization to Release Information Form to review, complete, and return in order to start the recertification process.

If the participant does not return the forms within fifteen days, DCA will send a follow-up letter, directing him/her to return the forms within fifteen additional days or face termination of assistance. If the participant again fails to return the forms, DCA will send a Termination of Assistance Notice giving him/her fifteen days to request an informal hearing.

If a family's failure to respond is due to the disability of a family member, as a reasonable accommodation, DCA will rescind the termination notice.

### **Requirement to Attend**

The Head of Household is required to be present at the Recertification appointment.

The Recertification and HQS inspection are scheduled and conducted in the family's unit at the same date and time. DCA will send an appointment letter to the family providing reasonable notice as to the appointment date. The family will be advised to immediately notify the Regional Office if the Head of Household will be unable to keep the appointment. The appointment will be rescheduled only once. (Exceptions will be at the discretion of the Regional Administrator)

### **Verification of Information Provided**

In the order presented, DCA will attempt to obtain:

1. *Up Front Verification including Enterprise Income Verification (EIV):*  
Verification supplied from an independent source that maintains income information in computerized form, e.g., computer matching agreements with a government or private agency, use of HUD's Tenant Assessment Subsystem, or direct requests from government or private agencies.
2. *Third-party Verification:*  
Verification documents supplied directly to and returned from, the provider. Facsimile and email documents are acceptable forms of documentation.
3. *Oral Third-party Verification:*  
Verification by contacting the provider by telephone or in person so long as the family file is documented with the date and time of the conversation, the contact person's name and telephone number and why written verification was not available.
4. *Document Review:*  
Original documents provided by the family e.g., pay stubs, TANF and SS/SSI award letters, bank statements, etc. Staff will document in the family file why third party verification was not available.
5. *Family Certification:*  
Family certification will be used by DCA as the primary means to verify all "excluded" household income, alimony, child support/regular contributions received directly from an absent parent and not through child support recovery unit (CSRU) and self employment. No additional documentation will be required.

In all other instances, if unable to obtain third party verification, staff will accept a written self-declaration directly from the family and will document the family file why third party verification was not available.



**Verification for recertification is not valid after 150 days. (Exceptions would be SSA & SSI award letters, which are valid for a year).**

### **C. CONDUCTING THE REEXAMINATION**

The annual recertification includes a review of the income, expenses, assets, household composition and characteristics, drug and criminal activity. The review, conducted at the family's unit, consists of a recalculation of the Total Tenant Payment and Rent to Owner and rebriefing on particular aspects of the rental assistance program.

The Head of Household is required to sign documents attesting to his/her understanding of the program.

#### **Changes in Tenant Rent at Annual Recertification**

Upon every recertification of the family DCA will recalculate the family's portion of rent after all required information has been submitted, reviewed, and verified. DCA will notify both the landlord and family of the revised Tenant Rent and the Housing Assistance Payment to be paid by DCA, if applicable.

#### **Imputed Welfare**

DCA will not reduce the family share of rent for families whose welfare assistance is reduced or suspended specifically because of:

- Fraud
- Failure to participate in an economic self-sufficiency program; or
- Noncompliance with a work activities requirement

The family will be entitled to an informal hearing in these circumstances.

DCA will reduce the family share if the welfare assistance reduction is a result of:

- The expiration of a lifetime time limit on receiving benefits; or
- A situation where the family has complied with welfare program requirements but has not obtained employment.

DCA will obtain notification from the welfare provider of the reason for the welfare assistance reduction before making a determination.

### **D. INTERIM CHANGES AND REVISIONS**

#### **Required Changes to Report**

Participants are required to report all changes in family composition or income, in writing, to the appropriate Regional Office within thirty days of the effective date of the change (i.e. the date

from which benefits are payable; not the date the family receives the payment). This includes additions due to birth, adoption and court-awarded custody of children. The family must obtain written approval from DCA and Landlord before there are any other additions to the household.

It is always the head of household's responsibility to report a change in income or family composition, regardless of which family member may be earning the income. Once reported, these changes may lead to an interim re-examination.

Participants receiving social security or supplemental social security are not required to report their small cost of living raise each year. DCA will verify this at move or annual recertification and utilize the applicable award amounts at that time.

Zero income families will be re-evaluated every 90 days to determine if there are any new sources of income including an inquiry to the Department of Labor that may lead to an interim revision.

If a Housing Choice Voucher Participant is interested in the Family Self Sufficiency Program, the participant has the option to request that an interim revision be completed to allow the family to participate in the FSS program. The head of household must sign the Request for Interim Revision Form. By signing the form the family must understand that their portion of the rent could change according to the normal revision procedures.

### **Changes in Family Composition**

Any change in family composition must be reported within thirty days of its occurrence. The family must complete all required paperwork. If the change in composition results in a change in the certified bedroom size for the family, the appropriate size subsidy will not be issued until the next recertification. If the family wishes to move to a new unit prior to recertification, a proper notice is still required to end an existing lease and a new appropriate size subsidy is issued to the family.

### **Failure to Report Changes/Violation of Grounds for Denial and Termination**

If the unreported change in the family composition of a participant results in a decrease of certified bedroom size, the overpaid subsidy will be calculated and DCA will seek reimbursement and may investigate the family for possible fraud or abuse.

Failure to report a change in family composition and adding a member to the household without written approval from DCA and Landlord is a program violation and the family may be subject to termination of assistance.

### **Changes that require an Interim Revision**

The Total Tenant Payment (TTP) will remain in effect for the period between regularly scheduled re-examinations unless:

1. The family's TTP decreases (Exception: Imputed welfare);
2. An adult with income (from employment or benefits) becomes a family member;
3. A minor becomes a family member and the new TTP exceeds the \$50.00 minimum;
4. The Contract Rent changes;
5. An administrative error is discovered;
6. The "all complex" Contract rent for property in the FmHA program changes during initial lease term;
7. The Contract rent on property in the Moderate Rehabilitation Program changes at the project anniversary date;
8. The participant has committed abuse and the rental assistance will continue;
9. Request for Hardship Exemption of Minimum Rent;
10. Families reporting only excluded income or zero-income (family reports income) from any source.
11. Income cannot reasonably be anticipated for a full year and current income is annualized (subsequently conduct an interim re-examination if income changes).

### **Changes that do not require an Interim Revision**

1. The family's income increases due to an increase in earnings (raise) of a household member whose earnings are already being counted in total household income;
2. The source of unearned income changes (e.g. from TANF to Child Support);
3. The family composition changes without changing the family's TTP.

Reports of these changes will be noted in the family file and will be verified as part of the next annual recertification.

### **Verification for Interim Revisions**

Following a report of a change requiring an interim revision, DCA staff will begin the verification process. Upon receipt of the appropriate verifications, the staff will revise the Recertification Worksheet to include the new information and process the interim revision. This form will be sent to the family with a request to review the information, sign the form, and return it to DCA within fifteen days.

Failure of the family to return the signed form within the designated 15 days will constitute a program violation and may result in termination.

Upon return of the signed Recertification Worksheet, the staff will verify the revised Total Tenant Payment and Housing Assistance Payment. The family and landlord will be notified in writing of the new payments as well as the effective date. The family will be offered an opportunity to request an informal hearing if s/he disagrees with the revised payments.

If the change reported by the family does not alter the Total Tenant Payment, the family will be notified in writing.

Frequently, obtaining third party written verifications will delay the processing of an interim revision. To prevent the family from being penalized, DCA Regional Office staff may, with the

approval of the Regional Housing Administrator, process a revision using third-party oral verification or family verification supplied with computer-generated documentation. In this case, the family will be notified that the revision is being processed without final verification and if the subsequent written verification does not support the information the family reported, the family will be required to repay DCA and may be investigated for program fraud or abuse.

### **Change in tenant rent for Interim Revisions**

The effective date of an interim revision will vary depending on whether the change was reported in a timely manner and whether the family's Total Tenant Payment (TTP) increases or decreases.

### ***TIMELY REPORTING***

Tenants are required to report all changes in family composition or income, in writing, to the appropriate Regional Office within thirty days of the effective date of the change. Participants receiving social security or supplemental social security are not required to report their small cost of living raise each year. DCA will verify this at move or annual recertification and utilize the applicable award amounts at that time.

If the TTP increases, the new TTP becomes effective on the first day of the month following a sixty-day grace period.

If the TTP decreases, the new TTP becomes effective the first day of the month following the family's written notification.

### **Change is not reported in a Timely Manner**

If the family does not report the change in writing within thirty days of the effective date of the change, the change will be effective as follows:

If the TTP increases, the new TTP will be retroactive to the date it occurred.

If the TTP decreases, the TTP will be effective on the first of the month following completion of processing by DCA (not on a retroactive basis).

The family will be requested to reimburse DCA in a lump sum or through a Promissory Note for any overpaid housing assistance. Deviation from normal effective dates is justified because of the tenant's failure to supply the required report.

### **When DCA does not process the Change in a Timely Manner**

DCA will process changes in a timely manner so that the change becomes effective on the appropriate date. If the change is not effective on the appropriate date due to a delay in processing by DCA staff, the family shall not be penalized.

If the change results in a decrease in the TTP, the family will be reimbursed for the amount of the overpayment (based on the date the decrease should have taken effect).

If the change results in an increase in the TTP, the increase will not take effect until the family and landlord have been given the required minimum thirty-day notice of the increase.

### **Timing of Next Annual Recertification:**

An interim re-examination will not affect the date of the annual recertification.

### **E. CONTINUATION OF ASSISTANCE FOR “MIXED” FAMILIES**

Under the Non-citizens Rule, “mixed” families are families that include at least one citizen or eligible immigrant and any number of members with ineligible immigration status.

“Mixed” families who were participants on June 19, 1995 shall continue receiving full assistance if they meet the following criteria:

1. The head of household or spouse is a U.S. citizen or has eligible immigration status; and
2. All family members other than the head, spouse, parents of the head, parents of the spouse, and children of the head or spouse are citizens or eligible immigrants. The family may change the head of household to qualify under this provision.

Mixed families who qualify for continued assistance after 11/29/96 may only receive prorated assistance.

Families have the following options if they do not qualify for continued assistance:

1. The family member(s) who cause the family to be ineligible for continued assistance may move;
2. The family may choose prorated assistance; or
3. DCA may offer the family a temporary deferral of termination.

## **Chapter 13.**

### **MOVES WITH CONTINUED ASSISTANCE/PORTABILITY**

HUD regulations permit families to move with continued assistance to another unit either within or outside DCA's jurisdiction. Moves by a participant of another HA's program to DCA's jurisdiction or moves by DCA participants to the jurisdiction of another HA are processed under HUD's portability procedures. These regulations allow the HA the discretion to develop policies setting limitations or restrictions on moves. This chapter defines the procedures for moves within and outside DCA's jurisdiction as well and the policies for restriction and limitation on moves.

#### **A. TYPES OF RELOCATIONS**

Relocations are classified as follows:

1. Transfers
2. Portability
  - a. Outgoing Portability
  - b. Incoming Portability

#### **B. ALLOWABLE MOVES**

DCA shall allow families to move to another unit under certain circumstances and continue their rental assistance under the HCV program.

##### **Overlapping Assistance**

A move within the same building or project, or between buildings owned by the same owner, will be processed like any other move.

In a move, assistance stops at the old unit at the end of the month in which the tenant ceased to occupy the unit, except in cases involving abatement. Assistance will start on the new unit on the effective date of the lease and contract. Assistance payments may overlap for the month in which the family moves.

#### **C. RESTRICTIONS ON MOVES**

Families are not permitted to move during the initial term of the lease. Exceptions may be approved by the Regional Housing Administrator under extenuating circumstances, such as domestic violence, crime reprisal, a mutual rescission, medical reasons or termination of the HAP contract due to the landlord's failure to maintain the unit in accordance with HQS.

DCA will not issue, or may withdraw a voucher for a family to move if the family has violated a program obligation, has been evicted, or is being evicted for serious violation of the lease.

DCA may terminate assistance if the family is receiving assistance in another unit when notified of a court judgment regarding a previously subsidized unit.

#### **D. TRANSFER WITHIN DCA's JURISDICTION**

Applicants may move to any county whose payment standard is comparable or less than the county where they were placed on the waiting list. Income limits for the selected county will be used to determine eligibility.

Participants may move to any county whose payment standard is comparable or less than the county where they currently reside. Income limits do not apply to continuing program participants who relocate within DCA's jurisdiction.

Requests for transfers to a different DCA region must be made in writing to the office currently serving the applicant or participant. Staff will verify eligibility before issuing a voucher and notifying the receiving regional office of the transfer.

#### **E. OUTGOING PORTABILITY**

Participants meeting the eligibility requirements listed above are eligible to move anywhere within the United States if the receiving housing authority will absorb their subsidy. To initiate the portability process, the head of household must submit a written request to the appropriate Regional Office. The request must include the name of the jurisdiction to which the participant wishes to move and/or the name of the housing authority serving the area.

Applicants are not eligible for portability.

Participants may not move outside of DCA's jurisdiction while owing an outstanding debt to DCA.

Following the receipt of the request, the Regional Office will confirm the family's eligibility to relocate, recertify the family's income and eligibility (if a recertification was not completed within the last 120 days), and issue a new Voucher. The effective date should be no more than thirty days before the current contract is scheduled to terminate. The family will have a maximum of 120 days to lease-up.

#### **Documents Transfer**

The Regional Office shall forward the following items to the Tucker Office:

1. Portability Request Transmittal Form, including statement of good standing;
2. Copy of the most recent application form, income, asset and allowance verification;
3. Copy of evidence of citizenship or eligible immigration status;
4. Copy of the Social Security Cards for all household members;
5. Copy of the newly issued Voucher.

#### **Receiving HA**

If the receiving HA absorbs the porting family, DCA will have no further responsibility after the family leases up in the new jurisdiction.

## **Receiving HA Requirements**

The HA will be requested to adhere to the following guidelines:

1. Notify DCA in writing within fifteen days when a portable family:
  - a. Fails to submit a Request for Tenancy Approval before the voucher expiration date;
  - b. Leases-up; or
  - c. Has its assistance terminated;
2. Submit hearing determinations to DCA within fifteen days; and
3. Notify DCA in writing within fifteen days of a family's request to move to an area outside the receiving HA's jurisdiction.

## **Payment to Receiving HA**

Receiving HAs who administer DCA subsidies must bill DCA for the applicable fees and payments in accordance with HUD requirements. When billed, DCA will reimburse the receiving HA for 100% of the HAP amount, 100% of the special claims paid on HAP contracts effective prior to 10/2/95, 80% of DCA's Administrative Fee, and any other HUD-approved fees.

## **Claims**

DCA will be responsible for collecting amounts owed by the family for claims paid and for monitoring repayment. DCA will notify the receiving HA if the family is in arrears or if the family has refused to sign a Promissory Note. The receiving HA will be requested to terminate assistance to the family in accordance with this Administrative Plan (provided the receiving HA is administering DCA's subsidy).

## **Subsequent Moves by Portable Families**

Portable families with a DCA Voucher (i.e., one in which the receiving HA is administering rather than absorbing) wishing to move to a third jurisdiction must contact DCA in writing with a formal request to transfer assistance. The request must include either the location to which the family is transferring or the name of the HA serving the area. Permission to relocate will not be denied provided the family meets the criteria for participants. There is no minimum time period in which the family must remain in the second jurisdiction before requesting a transfer to a third jurisdiction.

Portable families wishing to return to DCA's jurisdiction will be routed to the Regional Office from which they originated. Those applicable payment standards and restrictions on moving will apply.



## **F. INCOMING PORTABILITY**

DCA will accept families with a valid Housing Choice Voucher from another HA's jurisdiction. DCA will administer that voucher.

For applicants, the family must be within the very-low income limits for the county in which the family will reside. For participants, the family's income must not exceed the low-income limit for the county in which the family will reside. If the family would be ineligible under these circumstances, the family will be advised to seek housing in another area before the term of the voucher expires.

### **Rent Burden**

The Rent Burden is the family's payment to the landlord plus utility allowance. If the gross rent exceeds the payment standard, this figure must not be more than 40% of adjusted monthly income.

Porting families are subject to the same initial maximum rent burden limit as any other family.

### **Required Documents**

As receiving HA, DCA will require the following documents from the initial HA:

1. HUD-52665 Family Portability Information Form;
2. HUD-50058 Form;
3. Social Security numbers of all household members;
4. Voucher; and
5. Declarations and Verifications of U.S. citizenship or eligible immigration status.

DCA will not delay processing an incoming port if the initial HA provides HUD required forms 52655 & 50058 and a current Housing Choice Voucher.

### **Certification and Lease up**

The Regional Office will perform an initial certification of all incoming portable families. A contract will not be executed if the family's Total Tenant Payment exceeds the Gross Rent.

A complete briefing session will be mandatory for all porting families. DCA will use the briefing session to notify families of their responsibility to contact DCA if the family wishes to move outside DCA's jurisdiction under continued portability as well as DCA's policies and procedures.

If the Voucher expires, the initial HA will be notified by DCA. Likewise, the initial HA will be notified if the family successfully leases up.

## **G. ADMINISTERING SUBSIDIES OF INITIAL HA**

In instances where DCA, as receiving HA, administers the initial HA's Voucher, DCA's policies and Payment Standards will prevail. As receiving HA, DCA will issue a portability Voucher

according to the initial HA's certification standards. DCA will not extend the expiration date of the voucher. DCA will honor any extension to the voucher submitted by the initial HA. Under HUD guidelines, the initial HA must be informed of all changes affecting the household subsidy.

### **Termination Policy**

**DCA may terminate assistance if the family incurs a debt and does not repay the debt as required.** Families whose assistance is terminated will be given an opportunity for an informal hearing.

DCA will notify the initial HA in writing of any termination of assistance to the family. If a hearing is requested by the family, the hearing will be conducted by DCA, using the regular hearing procedures included in this Administrative Plan. A copy of the hearing decision will be furnished to the initial HA.

The initial HA will be responsible for collecting amounts owed for debts paid and for monitoring the effect of those debts on unit transfers. If the initial HA notifies DCA that the family is in arrears by missing the number of payments specified in the initial HA's Administrative Plan or the family has refused to sign a Repayment Agreement, DCA will refuse to issue another Voucher to the family to move to another unit.

### **Billing Procedures**

DCA will bill the initial HA monthly for Housing Assistance Payments. The billing cycle for other amounts, including administrative fees, hard to house fees, special claims paid, etc., will be monthly unless requested otherwise by the initial HA.

DCA will bill 100% of the Housing Assistance Payment, 100% of the special claims, 100% of the hard-to-house fees, and 80% of the administrative fee (at the initial HA's rate) for each portable Voucher leased as of the first day of the month.

DCA will notify the initial HA of changes in subsidy amounts resulting from income and household composition changes or unit transfers, and will expect the initial HA to notify DCA of changes in the administrative fee amount to be billed.

### **Subsequent Moves by a Portable Family**

If the family wishes to transfer to a third jurisdiction, the family will be advised to contact the initial HA for approval. For instances in which DCA has absorbed the family into its own program by issuing its own Voucher, the family must comply with the procedures outlined in Section E. above.

## **Chapter 14.**

### **HAP CONTRACT TERMINATIONS**

#### **A. CONTRACT TERMINATION**

The term of the HAP Contract is the same as the term of the lease. It may be terminated by the landlord and/or DCA, or if the tenant terminates the lease.

No future subsidy payments on behalf of the family will be made by DCA to the landlord after the month in which the Contract is terminated. The owner must reimburse DCA for any subsidies paid by DCA for any period after the contract termination date. If the owner does not voluntarily do so, a deduction will be set up in the DCA computer database.

If the family continues to occupy the unit after the HAP contract is terminated, the family is responsible for the total amount of rent due to the landlord.

After a contract termination the family may lease-up in another unit, provided the family meets the following criteria for a move with continued assistance:

1. The family holds a valid voucher;
2. The family has not violated a family obligation; and
3. The family is current on any Promissory Notes or has no outstanding indebtedness to DCA or other HA.

The contract for the new unit may begin during the month in which the family moved from the old unit.

#### **B. TERMINATION BY THE FAMILY**

DCA requires the family to remain in the unit for the initial term of the lease. After the initial term, the family may terminate in accordance with the provisions of the lease by providing DCA with a copy of the written notice given to the landlord.

#### **C. TERMINATION BY THE LANDLORD**

The landlord may only terminate the tenancy in accordance with the lease, Tenancy Addendum and state and local law.

The landlord may terminate tenancy for the following reasons:

1. Serious or repeated violation of the terms and conditions of the lease.
2. Violation of federal, state, or local law that imposes obligations on the tenant in connection with the occupancy or use of the premises.
3. Criminal Activity or alcohol abuse:  
The landlord may terminate the tenancy during the term of the lease if any member of the household, a guest or another person commits any of the following types of criminal activity on the premises:
  - a. Any criminal activity that threatens the health or safety of, or the right to peaceful enjoyment of the premises by, other residents;
  - b. Any criminal activity that threatens the health or safety of, or the right to peaceful enjoyment of their residences by, persons residing in the immediate vicinity of the premises;
  - c. Any violent criminal activity on or near the premises; or
  - d. Any drug related criminal activity on or near the premises.

The landlord may terminate tenancy during the term of the lease if any member of the household is:

- a. Fleeing to avoid prosecution, or custody or confinement after conviction, for a crime, or attempt to commit a crime, that is a felony under the laws of the place from which the individual flees, or that, in the case of the State of New Jersey, is a high misdemeanor;
- b. Violating a condition of probation or parole under federal or state law.

The landlord may terminate the tenancy for criminal activity by a household member in accordance with this section if the owner determines that the household member has committed the criminal activity, regardless of whether the household member has been arrested or convicted for such activity.

The landlord may terminate the tenancy during the term of the lease if any member of the household has engaged in abuse of alcohol that threatens the health, safety or right to peaceful enjoyment of the premises by other residents.

4. Other good cause.
  - a. During the initial lease term, other good cause for termination of tenancy must be something the family did or failed to do
  - b. During the initial term or during any extension term, other good cause includes:

- (1) Disturbance of neighbors,
- (2) Destruction of property, or
- (3) Living or housekeeping habits that cause damage to the unit or premises.

c. After the initial lease term, such good cause includes:

- (1) The tenant's refusal to accept the offer of a new lease or revision,
- (2) The owner's desire to use the unit for personal or family use or for purpose other than use as a residential unit, or
- (3) A business or economic reason for termination of the tenancy (such as sale of the property, renovation of the unit, the owner's desire to rent the unit for a higher rent).

The owner may only evict the tenant by court action. If the landlord starts an eviction action against a tenant, he/she must provide DCA with a copy of the notice (Dispossessory Warrant). The landlord must provide the tenant and DCA with a written notice specifying the grounds for termination of tenancy, at or before the commencement of the eviction action.

The notice may be included in, or may be combined with, any owner eviction notice to the tenant. In addition, if the action is finalized in court, the landlord must provide DCA with the documentation, including notice of the eviction date (Writ of Possession).

The owner eviction notice means a notice to vacate, or a complaint, or other initial pleading used under state or local law to commence an eviction action.

Housing assistance payments are paid to the landlord under the terms of the HAP contract. If the landlord has begun eviction and the family continues to reside in the unit, DCA will continue to make housing assistance payments to the landlord until the family moves or is evicted from the unit.

If the eviction is not due to a serious or repeated violation of the lease and if DCA has no other grounds for termination of assistance, the family will be issued another Voucher to move to another unit.

#### **D. TERMINATION OF CONTRACT BY DCA**

The Housing Assistance Payments Contract terminates automatically when the family voluntarily moves from the unit, or when the landlord evicts the family. DCA may also terminate the contract for the following reasons:

1. The landlord is not in compliance with the terms of the contract (before terminating for this reason, DCA must give the landlord the opportunity to take corrective actions);
2. The landlord has committed fraud;

3. DCA terminates assistance to the family;
4. The family is required to move from a unit that is overcrowded;
5. In the event of Foreclosure, DCA will terminate the contract and issue the family a voucher;  
or
6. Funding is no longer available under the Annual Contributions Contract.

If DCA terminates the HAP Contract, the landlord and family must be given notice in accordance with contract requirements.

#### **E. TERMINATIONS DUE TO INELIGIBLE IMMIGRATION STATUS**

Households that do not qualify for continued assistance may request a temporary deferral of termination of assistance. The deferral is available to households receiving assistance on June 19, 1995 who have **no** members of the household with eligible immigration status. The maximum deferral period is six months; however, extension may be granted for additional six-month periods for a total deferral period not exceeding three years.

The maximum period for deferring termination of assistance for participants contracted after November 29, 1996 is 18 months.

The family will be notified in writing at least sixty days in advance of the expiration of the deferral period that termination of assistance will not be deferred because:

1. Granting another deferral will result in an aggregate deferral period of longer than three years (or 18 months); or
2. A determination has been made that other affordable housing is available.

#### **F. LANDLORD MISREPRESENTATION**

If a landlord has committed fraud or abuse or is guilty of frequent or serious contract violations, DCA will restrict the landlord from future participation in the program for a period of time commensurate with the seriousness of the offense. DCA may also terminate some or all contracts with the landlord.

Before imposing any penalty against a landlord, DCA will review all relevant circumstances, including, but not limited to, the severity of the violations, whether restitution has been made, and the effect disapproval would have on the local affordable rental housing market.

#### **G. VIOLENCE AGAINST WOMENS ACT (VAWA)**

In January 2006, President Bush signed a law known as the Violence Against Women and Department of Justice Reauthorization Act of 2005 (VAWA). Portions of this law create new

protections for victims of domestic violence, dating violence and stalking who are residents in public housing or who are assisted with Section 8 rental assistance.

DCA will continue its efforts to support and assist children and adult victims of domestic violence, dating violence, sexual assault, and stalking and will continue to establish collaborative programs with domestic violence service providers. With respect to the Housing Choice Voucher program:

1. Any information provided by the victim will be kept confidential and will not be shared with other related agencies unless requested or consented to by the victim in writing, required for use in an eviction proceeding of an abuser, stalker or perpetrator of domestic violence, or is otherwise required by applicable law.
2. DCA will allow such victim(s) an opportunity to explain negative rental, financial or criminal history and lease violations, which were caused by such violence, prior to taking final adverse action against the victim such as denial into the program or termination/eviction.
3. DCA does not deny admission or terminate assistance, tenancy or occupancy rights of such victims and their immediate family members when the reason for denial/termination is directly related to such violence, unless the member is the perpetrator.
4. An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be considered to be a “serious or repeated” violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy or occupancy rights of the victim of that violence.
5. Tenancy will not be terminated if the head of household or an immediate family member is the victim of criminal activity that is directly related to domestic violence, dating violence or stalking engaged in by a member of the household, a guest or another person under the control of the head of household.

Limitations include:

- So long as DCA applies the same standard to all tenants, assistance may be terminated for violations not based on an incident or incidents of domestic violence, dating violence or stalking for which VAWA provides protections.
- If a participant claims protection under VAWA, DCA may require certification concerning the incident or incidents to enable those protections. There are three ways to certify:
  1. Submission of HUD form 50066
  2. Submission of a police report or court record
  3. Submission of documentation signed by a victim service provider, an attorney or a medical professional.

## Chapter 15.

### DENIAL OR TERMINATION OF ASSISTANCE

#### A. GROUNDS FOR DENIAL OR TERMINATION OF ASSISTANCE

The Department of Community Affairs (DCA) may deny assistance to an applicant by any of the following means:

- Denying placement on a DCA waiting list;
- Denying or withdrawing of a Voucher;
- Refusing to enter into a HAP contract or approve a tenancy;
- Refusing to process or provide assistance under portability.

DCA may terminate assistance to a participant by any of the following means:

- Refusing to enter into a HAP contract or approve a tenancy;
- Terminating housing assistance payment under an existing HAP contract;
- Refusing to process or provide assistance under portability procedures.

**DCA may deny assistance for an applicant or terminate assistance for a participant on any of the following grounds:**

1. Failure to supply any information, including any certification, release, or other documentation that DCA considers necessary to verify citizenship or eligible immigration status or for use in an annual or interim examination of family income and composition.
2. Failure to provide documentation of Social Security numbers, and to sign and submit consent forms for obtaining information, including spouse unless legally separated or divorced.
3. Failure to supply any information requested by DCA to verify that the family is living in the unit or information related to the family's absence from the unit.
4. Failure to provide a legal form of identification that includes a recognizable picture of the head of household.
5. Failure to submit an Inspection Request Form **no later than the expiration date on the voucher** to the appropriate DCA Regional Office requesting a unit inspection.
6. Failure to give DCA a copy of any notices from the Department of Housing and Urban Development (HUD) regarding family income, earnings, wages or unemployment compensation.
7. Failure to promptly notify DCA and the landlord **IN WRITING** when a family member or Head of Household will be away from the unit for more than 14 days. The family or Head of Household may be absent from the unit for up to 180 days with DCA's written approval.
8. Failure to notify DCA **IN WRITING** within 30 days of the birth, adoption, or court-awarded custody of a child.
9. Failure to request and obtain prior written approval from DCA and the landlord to add any other person(s) as an occupant of the unit (except for the birth, adoption, or court-awarded custody of a child). Proof of custody is required for minors being added to the household.



Approval to add a member to the household will be **DENIED** if the individual is ineligible for assistance from DCA due to a debt, fraud, or other reason. Person(s) who reside in the unit more than 50% of the time or have established residency are considered occupants. Use of the assisted unit's mailing address in any way may establish residency.

10. Failure to notify DCA **IN WRITING** within 30 days if a household member no longer lives in the unit.
11. Failure to report **ANY** changes in the source or amount of household income to DCA **IN WRITING** within 30 days of the effective date of the change (i.e., the first day of employment, the date a pay increase goes into effect, etc.: **NOT** the date on which the income is received). Sources of income include, but are not limited to, wages (including spouse), government benefits (such as Social Security, SSI, TANF), unemployment benefits, pensions, child support, and continuous contributions from friends and family.
12. Failure to properly report any other changes (i.e. marriage, divorce, separation, etc.) that DCA may consider relevant or that affect family composition or income.
13. Failure to comply with the lease. **BEFORE** moving out of the unit or terminating the Lease the family must provide DCA with a **COPY OF WRITTEN** notice given to the landlord, which must be in accordance with the terms of the Lease. The initial lease term must be for a minimum of one year.
14. Failure to use the assisted unit for residence by the family. The unit must be the family's only residence.
15. Failure to allow DCA to inspect the unit at reasonable times and after reasonable notice. If the head of household misses the appointment, one final appointment will be scheduled. If the family misses the final appointment, DCA will terminate assistance for abuse.
16. Failure to pay utility bills and supply and maintain any appliances that the owner is not required to supply under the Lease. All tenant paid utilities must be in the name of the head of household or other adult household member and remain continuously connected. Participants with a first documented instance of utility disconnection will be required to have the utility reconnected with-in 24 hours or face rental assistance termination. Participants with a second documented instance of utility disconnection will not be provided with an opportunity for reconnection and will be terminated for abuse.
17. Failure to reimburse landlord for any damages (other than damage from ordinary wear and tear) to occupied unit or premises caused by household members or guests during lease term or when vacating the unit.
18. Failure to correct tenant-caused, life-threatening HQS violations within 24 hours and other tenant-caused HQS violations within the required time period.
19. Failure to pay rent to the landlord when due or report any additional charges by the landlord **IN WRITING** to DCA. It is illegal for a landlord to charge additional amounts for rent or any other item not specified in the lease which have not been specifically approved by DCA.
20. Failure to give DCA a copy of any owner eviction notice. If evicted for a lease violation the family will be ineligible for continued rental assistance.
21. The family (including each household member) must **NOT**:
  - a. Own or have any interest in the unit. This includes, but is not limited to, rent to own agreements, installment sales contracts, or any other arrangement for a family member to buy the unit.
  - b. Sublease or let the unit, assign the Lease, or transfer the unit.

- c. Receive Housing Choice Voucher (HCV) assistance while receiving another housing subsidy, for the same unit or a different unit under any other Federal, State, or Local housing assistance program.
  - d. Be related to the owner in any of the following ways: parent, child, grandparent, grandchild, sister, or brother; unless the family includes a member with a disability and the unit accommodates the disability. Transfer of property occurring within the last 12 months in order to meet eligibility is not permissible.
  - e. Be evicted from Federally assisted housing for serious violation of the Lease, including drug related criminal activity.
  - f. Be subject to registration as a sex offender.
  - g. Owe DCA or have committed past abuse for unreported income.
  - h. Be a felon convicted of illegal drug or violent criminal activity.
22. The family (including each household member or guest) must **NOT**:
- a. Commit any serious or repeated violation of the Lease.
  - b. Commit fraud, bribery, or any other corrupt or criminal act in connection with the Housing Choice Voucher Program.
  - c. Participate in illegal drug or violent criminal activity.
  - d. Be convicted of the manufacture or sale of methamphetamines (speed).
  - e. Participate in drug use or alcohol abuse that adversely affects the health or safety, or peaceful enjoyment of the premises of other residents.
  - f. Be fleeing to avoid prosecution, custody, or confinement after conviction, for a crime or an attempt to commit a crime that is a felony. (In New Jersey, the term for a felony is “high misdemeanor”. )
  - g. Violate a condition of probation or parole imposed under federal or state law.
23. Engaging in or threatening abusive or violent behavior towards DCA personnel.
24. Owing money to DCA or another Housing Agency in connection with HCV or public housing programs.
25. Failure to reimburse DCA for amounts paid to an owner under a HAP Contract for damage to the unit, or other amounts under the lease (Mod-Rehab only).
26. Breaching an agreement with DCA to pay amounts owed to DCA or amounts paid to an owner by DCA on the family’s behalf.
27. Failure to cooperate with DCA staff, DCA Regional Compliance Officers, and other State and Federal personnel that are assigned special case reviews.
28. For Family Self-Sufficiency (FSS) program participants, failure to comply, without good cause, with the family’s FSS contract of participation.
29. For Prosperity Voucher participants, failure to comply with program requirements.

**B. DCA DISCRETION**

In deciding whether to deny or terminate assistance because of action or failure to act by members of the family, DCA has discretion to consider all of the circumstances in each case, including the seriousness of the action or failure to act, the situation or circumstances; the extent of participation or culpability of individual family members, the length of time since the violation occurred, more recent record of compliance, and the effects of denial or termination of assistance on other family members who were not involved.

DCA may impose, as a condition of continued assistance for other family members, a requirement that family members who participated in or were culpable for the action or failure to act will not reside in the unit. DCA may permit the other members of a family to continue in the program.

### **C. ENFORCING FAMILY OBLIGATIONS**

#### *Lease Violations:*

The following criteria will be used to decide if a serious or repeated violation of the lease will cause a termination of assistance:

1. If the landlord terminates tenancy through court action for serious or repeated violation of the lease.
2. If the landlord notifies the family that the lease is being terminated for serious and repeated violations and DCA determines that the cause is a serious and repeated violation of the lease based on available evidence (i.e., police reports, neighborhood complaints, DCA inspection reports, or other third-party information).
3. If the family does not surrender the premises at the conclusion of the lease when the landlord properly informs the tenant the lease will not be renewed.

#### *Notification of Eviction:*

If the family moves to a new unit without notifying DCA of an eviction notice from the landlord, assistance in the new unit will be denied.

The family will not be allowed to move with continued rental assistance during the eviction process.

#### *Approval of Changes in Family Composition:*

DCA staff and landlord must approve proposed additions to the family composition. Proposed additions to the family composition will be denied to persons who are listed in DCA's Ineligible File.

### **D. PROCEDURES FOR TERMINATION OF ASSISTANCE**

DCA must give the landlord and family written termination notice stating:

1. The reasons for the termination;
2. The effective date of the termination;
3. The family's right to request an informal hearing by submitting a written request within fifteen days; and

4. The family's responsibility to pay the full rent to the landlord if the family remains in occupancy.

## **E. PROCEDURES FOR NONCITIZENS**

### *Termination Due to Ineligible Immigration Status:*

Assistance may not be terminated while verification of the participant family's eligible immigration status is pending.

Participant families in which all members are neither U.S. citizens nor eligible immigrants must have their assistance terminated after being offered an opportunity for an informal hearing.

### *Temporary Deferral of Termination of Assistance:*

Households that do not qualify for continued assistance may request a temporary deferral of termination of assistance. Temporary deferral of termination of assistance is available to households receiving assistance on June 19, 1995 who have some or no members of the household with eligible immigration status. The purpose of the temporary deferral is to permit the household additional time for the transition to other affordable housing. "Other affordable housing" includes housing that is unassisted, not substandard and an appropriate size for the household as well as renting for an amount not exceeding the amount the household currently pays for rent and utilities by more than 25 percent. The maximum deferral period is six months; however, extensions may be granted for additional six-month periods for a total deferral period not exceeding three years. For deferrals granted after 11/29/96, additional deferrals may be made up to a total of 18 months.

At the beginning of each deferral period, the head of household will be sent a written notice informing him/her of the household's ineligibility for rental assistance. In addition, the Regional Office must offer the head of household information and referrals to assist him/her in finding other affordable housing.

At least seventy (70) days before the end of each deferral period, the Area Housing Administrator must determine the availability of affordable housing of appropriate size for the household. If the Area Housing Administrator determines that appropriate affordable housing is unavailable and the deferral period has not reached three years, the Area Housing Administrator may recommend that the head of household be granted an additional extension, not to exceed six months.

The Regional Housing Administrator will review the Area Housing Administrator's recommendation and make a final determination on whether to grant an extension. The head of household will be notified in writing of the Regional Housing Administrator's decision at least sixty (60) days in advance of the scheduled expiration.

Participants who are receiving a temporary deferral and who have made a good faith effort to find affordable housing may opt to receive prorated assistance at the end of each deferral period. Prorated assistance cannot be denied unless the participant has not made a good faith effort during the deferral period to locate other affordable housing.

*Procedure for Denial or Termination:*

If the family (or any member) claimed eligible immigration status and the INS primary and secondary verifications failed to document the status, the family may make an appeal to the INS and request a hearing with DCA either after the INS appeal or in lieu of the INS appeal.

After DCA has made a determination of eligibility, the family will be notified of the determination and the reasons and informed of the option for prorated assistance (if applicable) or, for participants who qualify, for Temporary Deferral of Termination of Assistance.

## **Chapter 16.**

### **LANDLORD CLAIMS**

As part of HAP Contracts effective before October 16, 1995, landlords can make "special claims" for damages, unpaid rent, and vacancy loss (vacancy loss cannot be claimed in the Voucher Program) after the tenant has vacated the unit. HAP Contracts effective on or after October 16, 1995 do not contain provisions for any special claims.

Landlord claims for payment for unpaid rent, damages, and vacancy loss, under the HCV Rental Assistance Program are reviewed for accuracy and completeness and compared with internal records on the unit such as initial and annual inspection reports and on-site move-out inspections. DCA checks family files to ascertain if the family gave proper notice of its intent to move, especially if vacancy loss is claimed.

Although DCA will make payments on behalf of the family, the head of household is ultimately responsible for any damages, unpaid rent, or vacancy loss paid to the landlord. The head of household may enter into and pay on a Promissory Note as long as s/he remains a program participant. Any participant who intends to transfer to another unit must be current on the Promissory Note or must have paid the debt in full. In addition, heads of households who are no longer program participants will be placed on DCA's Ineligible List and will be denied future rental assistance until the debt has been repaid in full.

#### **A. UNPAID RENT**

Unpaid rent is the family's portion of rent due and payable while the family is under the assisted lease. It does not include the family's obligation for rent beyond the termination date of the HAP Contract.

To file a claim for unpaid rent, the landlord must notify DCA in writing by the tenth of the month that the tenant has failed to pay his/her portion of the rent. In addition, the landlord must have attempted to collect the rent by submitting a written request for payment to the tenant. Eviction proceedings must be initiated no later than the thirtieth of the month and the eviction must be completed prior to filing the rent loss claim. Claims for unpaid rent must be submitted within thirty days of the contract termination date.

#### **B. VACANCY LOSS**

Vacancy loss may only be claimed in the Moderate Rehabilitation Program. Vacancy loss will only be paid if the tenant vacated the unit in violation of the lease agreement. To file a claim for vacancy loss, the landlord must:

1. Notify DCA in writing within two working days of his/her knowledge of the vacancy or

2. Take and continue to take all feasible actions to fill the vacancy, including, but not limited to:
  - a. Contacting applicants on the owner's waiting list, if any,
  - b. Requesting DCA and other appropriate sources to refer eligible applicants,
  - c. Advertising the availability of the unit; and
  - d. Not rejecting any eligible applicants except for good cause acceptable to DCA.

**C. DAMAGES**

The actual bills or estimates for the costs of any materials necessary to repair or replace an item must support all claims for damages. The landlord may not submit bills or estimates that s/he has prepared as documentation of his/her claim. If repairs are completed by the landlord, copies of third-party estimates prepared by a building trade person or contractor of labor costs must be included as well as actual receipts and/or invoices indicating material costs. The actual cost of the owner's staff or personnel (such as the Caretaker) to make repairs may be included; a copy of the completed work order is acceptable documentation of the labor costs.

Damages are defined as those items that exceed normal wear and tear. A proration schedule is used to calculate the damage due to normal wear and tear for appliances and floor covering. Reimbursement for damaged or missing appliances will be based on the following schedule:

<u>Age of Item</u>	<u>Compensation</u>
1-4 years	3/4 of Replacement Value of Comparable Item
5-9 years	1/2 of Replacement Value of Comparable Item
10-12 years	1/4 of Replacement Value of Comparable Item
Over 12 years	No compensation

Reimbursement for floor coverings will be based on the following schedule:

Age of Item	Compensation
1-2 years	3/4 of Replacement Value of Comparable Item
3-5 years	1/2 of Replacement Value of Comparable Item
6-7 years	1/4 of Replacement Value of Comparable Item
Over 7 years	No compensation

The age of an item will be ascertained at the initial inspection. Any items that have been replaced will be noted on future inspection reports. If the landlord does not have proof of when an item was purchased, the Area Housing Administrator will estimate the age.

Reimbursement for other damages will be based on reasonable cost estimates submitted by the landlord.

No unpaid utility bills can be approved, whether tenant or landlord bills.

#### **D. MOVE OUT INSPECTIONS**

Move-out inspections are completed after the family has vacated the unit. The landlord should submit an inspection request within two working days of his/her knowledge of the move-out in order to submit a claim. The landlord or the owner's representative will be encouraged to attend the move-out inspection and will be notified of the scheduled time and date. If the landlord or a representative is unable to attend the inspection, the landlord must provide the Area Housing Administrator with the completed Itemized Tenant Damage Statement.

The purpose of the move-out inspection is to substantiate the owner's damage claim; therefore, the inspection must be conducted before the landlord has made any repairs to the unit. The Area Housing Administrator will only inspect those items that the landlord has identified on the Itemized Tenant Damage Statement. For each item, the Area Housing Administrator will note the present condition and recommend full, partial, or no repayment as well as the justification for the recommendation. The Area Housing Administrator will refer to previous inspection reports (initial and annual) in making his or her recommendation.

Damage claims based on the following will be denied:

1. Damages that were listed on previous inspection reports or were previously billed to the tenant.
2. Damages due to normal wear and tear based on the age and use of the item, including:
  - a. minor scratches, dents, or nail holes;
  - b. routine carpet cleaning;
  - c. general painting;
  - d. cleaning of appliances (unless exceptionally dirty);
  - e. routine disposal of trash (except junk cars or other large items); and
  - f. replacement of toilet tissue holders, towel bars, shower rods, light bulbs, switch plates, outlet covers (unless there is clear evidence of tenant abuse).
3. Vandalism (unless there is proof that damage was caused by the tenant).
4. Exterior maintenance.
5. Pet damages (except pet urine and defecation stains on carpets).

DCA will analyze the claim to see whether the costs are reasonable, based on DCA proration schedule, receipts, and the judgment of the Area Housing Administrator.



## **E. PROCESSING CLAIMS**

To ensure valid claim processing, DCA will conduct a thorough move-in inspection, noting items that "pass with comment", as well as any deficiencies. During the move-in inspection, the Area Housing Administrator will note the actual or estimated age of appliances and floor coverings.

When DCA receives a claim for unpaid rent, damages, or vacancy loss, it will be reviewed and the former tenant will be informed that such a claim is pending (notice sent to his/her last known address with instructions to forward). The notification will state the amount and type of claim made and advise the tenant of his/her right to dispute the claim by filing a written request for an informal hearing within fifteen calendar days.

If the claim is disputed, DCA will set up an informal hearing and inform both the family and the landlord of the date, time, and location of the hearing. Failure on the part of either the family or the landlord to attend the hearing will necessitate the Hearing Officer making a decision based on the evidence submitted and the inspection reports. The Hearing Officer's decision will be mailed to both the landlord and family.

Any amount owed by the family to the landlord for unpaid rent or damages will first be deducted from the security deposit (including any interest accrued under state or local law) that a landlord could have collected under the program rules. The balance will be calculated using HUD methodology.

Proof or verification that the landlord has attempted to collect any monies owed, directly from the tenant, must be submitted with the damage/vacancy loss claims. Landlords are to mail the request for payment to the tenant's last known address with a request for the Post Office to "Please Forward".

Costs of filing the dispossessory warrant and writ to remove the tenant from the premises when s/he has violated his/her lease may be included in the listing of damages for reimbursement.

All unpaid rent, damage, and vacancy loss claims must be submitted within thirty days from the contract termination date.

If the security deposit is insufficient to reimburse the landlord for the unpaid Tenant Rent or other amounts that the family owes under the lease, the landlord may request reimbursement from DCA up to the limits for each program. If there is no claim for unpaid rent and damage but the landlord claims vacancy loss, the security deposit that s/he collected or could have collected will not be deducted from the vacancy loss claim.

Once the payment is made to the landlord, DCA will inform the former tenant in writing of the payment and of the tenant's responsibility to reimburse DCA.

Current participants will be informed of the possible effect on transfer rights and future program participation if payment is not made within the time specified by DCA. Nonpayment of monies owed to DCA may result in termination from the HCV program and the possibility of being

denied participation in the HCV Subsidy Program in the future. Former participants will be informed that they will be ineligible for a HCV subsidy until the claim has been repaid in full; the names and Social Security numbers of such persons will be placed on DCA's Ineligible List.

Upon request, DCA will enter into a Promissory Note with current participants for repayment of the amount owed, rather than require total payment in a lump sum. Former program participants are not eligible to enter into Promissory Notes.

## **Chapter 17.**

### **COMPLAINTS AND APPEALS**

#### **A. GENERAL POLICY**

DCA promptly investigates complaints by families, owners, and general public. DCA may require that complaints other than HQS violations be put in writing to DCA. A complaint regarding the physical condition of the unit may be reported by phone to the Family Housing Counselor.

#### **B. COMPLAINTS**

Complaints from families, owners, and the general public about DCA staff performance will be addressed by the staff person's immediate supervisor.

#### **C. INFORMAL REVIEW PROCEDURES FOR APPLICANTS**

A "review" is for applicants, including families issued a Voucher who have requested lease approval but have not been authorized by DCA to sign the lease and DCA has not signed a HAP Contract with the landlord.

\*Families must be notified in writing of their right to an informal review in some circumstances in which DCA makes a decision affecting their eligibility or assistance. Requests for a review must be made in writing within fifteen days of the date of the written notification of denial of eligibility or assistance. The Regional Housing Administrator of the Regional Office in which the decision was made will conduct the informal review.

DCA is not required to give a "review" for the following actions:

1. Discretionary administrative determinations by DCA.
2. General policy issues and class grievances.
3. The establishment of the DCA schedule of utility allowances.
4. A determination not to approve an extension of a voucher.
5. A determination not to approve a unit.
6. A determination that a unit selected is not in compliance with HQS.
7. A determination that the unit is not in accordance with HQS because of family size or composition.

The applicant will be advised that he/she may produce evidence or have a representative present it for him/her if a review is requested. If the applicant agrees, the review may be conducted by telephone. The Regional Administrator will notify the applicant of his/her final decision after the informal review, including a brief statement of the reasons for the final decision.

#### **D. INFORMAL HEARING PROCEDURES FOR PARTICIPANTS**

Participants are entitled and must be informed of their right to an informal hearing in most circumstances in which DCA makes a decision affecting their continued eligibility or assistance. DCA is not required to give an informal hearing for the following actions:

1. To review discretionary administrative determinations by DCA.
2. To review general policy issues or class grievances.
3. To review the establishment of the DCA schedule of utility allowances for families in the program.
4. A determination not to approve an extension or suspension of a voucher term.
5. A determination not to approve a unit or lease.
6. A determination that an assisted unit is not in compliance with HQS.
7. A determination that the unit is not in accordance with HQS because of the family size.
8. A determination by DCA to exercise or not to exercise any rights or remedy against the owner under a HAP contract.

All requests for informal hearings must be made in writing within fifteen days of the notification letter. Participants who request a hearing will be notified in writing of the date, time, and place of the hearing. Copies of all documents (policies, regulations, procedures, forms, letters, etc.) that will be used as evidence in support of DCA's decision will be sent to the family upon request. In return, the participant is required to submit copies of any documents s/he intends to use as evidence at the hearing. Evidence that is not submitted in advance cannot be used without permission from the Hearing Officer. The termination will be upheld if a participant fails to attend a hearing. If a participant is unable to attend a scheduled hearing, they must notify DCA 24 hours in advance and provide documentation of the emergency. In this instance, one final hearing will be scheduled.

The Hearing Officer will conduct the informal hearing. The Hearing Officer may be a Regional Compliance Officer from another jurisdiction in the state, a contract employee designated to conduct informal hearings or another DCA employee who did not generate the decision. The Hearing Officer must regulate the conduct of the hearing. Both DCA and the family must have the opportunity to present evidence and/or witnesses. The participant will be given the option of presenting oral or written objections to the decision in question. The participant has a right to legal counsel, at his/her own expense.

The Hearing Officer will make a determination on whether the rule or regulation was correctly or incorrectly applied based on the information submitted at the hearing. A notice of the Hearing Findings shall be provided in writing to DCA with a copy to the participant within fifteen business days and shall include a brief explanation of the reasons for the final decision.

**The hearing decision is final and cannot be appealed.**

The written hearing request, supporting documentation, and the final decision will be retained in the family's file.

*Continuance of Assistance during the Hearing Process*

If a participant family requests a hearing due to rental assistance being terminated, the family is entitled to continued assistance in the same unit unless the termination is for abuse that prevents the completion of a recertification or uncorrected tenant-caused violations of HQS. If a hearing is requested, the participant will be responsible for all the rent during the hearing process. If the hearing decision reinstates the participant, a new voucher will be issued, the unit will be inspected and a new HAP contract will be executed effective the date the unit passes inspection. DCA will not make the payment retroactive to the stop payment date.

- The following are examples that prevent the completion of the recertification process:
  - Missed appointments and staff can't complete inspections or recertification paperwork.
  - Failure to return applications
  - Uncorrected family HQS violations
  - Failure to provide information needed to complete recertification.
  - Family has vacated assisted unit due to eviction or unauthorized move

**E. HEARING AND APPEAL PROVISIONS FOR NON-CITIZENS**

Assistance to the family may not be delayed, denied, or terminated on the basis of immigration status at any time prior to the receipt of the decision on the INS appeal. In addition, assistance to a family may not be terminated or denied while DCA informal hearing is pending. However, assistance to an applicant may be delayed pending DCA review.

*INS Determination of Ineligibility*

DCA must notify the family in writing within ten days, if the INS SAVE system and secondary verification do not verify a family member as an eligible immigrant. The notice will explain the family's right to appeal to the INS and/or request an informal hearing with DCA (either in lieu of or subsequent to the INS appeal).

If the family appeals to the INS, they must give DCA a copy of the appeal and proof of mailing. DCA may extend the time period to request an appeal for good cause. If the family fails to notify DCA of their appeal, DCA may proceed to deny or terminate assistance.

The request for a DCA informal hearing must be made within fourteen days of the receipt of the notice offering the hearing or, if an appeal was made to the INS, within fourteen days of receipt of that notice.

After receipt of a request for an informal hearing, the hearing is conducted as described in Section C of this chapter. If the hearing officer decides that the individual is not eligible, and there are no other eligible family members, DCA will:

1. Deny the applicant family;
2. Defer termination if the family is a participant and qualifies for deferral; or
3. Terminate the participant if the family does not qualify for a deferral.
4. If there are eligible members in the family, DCA will offer to prorate assistance or give the family the option to remove the ineligible members.

All other complaints related to eligible citizen/immigrant status:

1. If any family member fails to provide documentation or certification as required by the regulation, that member is treated as ineligible. If all family members fail to provide the proper documentation, the family will be denied or terminated.
2. Participants whose termination is carried out after temporary deferral may not request a hearing since they had an opportunity for a hearing prior to termination.
3. Participants whose assistance is prorated (either based on their statement that some members are ineligible or due to failure to verify eligible immigration status for some members after exercising their appeal and hearing rights described above) are entitled to an informal hearing based on the right to a hearing regarding determinations of Tenant Rent and Total Tenant Payment.
4. Families denied or terminated for fraud in connection with the non-citizens rule are entitled to a review or hearing in the same way as termination for any other type of fraud.

## Chapter 18.

### FRAUD AND ABUSE

#### A. GENERAL POLICY

DCA is committed to paying the correct level of benefits for participants and assuring that available housing resources reach only income-eligible families. This chapter outlines DCA's policies for the prevention, detection and investigation of fraud and abuse in order to keep in compliance with regulations and maintain program integrity.

#### B. PROGRAM ABUSE

Program abuse is misrepresentation or failure to report between certification periods a change in income or circumstances that result in gain for participant. Those guilty of abuse are subject to program termination. Applicants engaging in program abuse may be removed from the waiting list and denied assistance. In the case of assistance overpayments families are required to make restitution.

Examples of abuse are:

- Failure to report a change in household composition or income during the year in a timely manner, but reporting it on the application at recertification.
- Failure to maintain utilities for which the participant is responsible at all times.
  - Utilities must be connected in compliance with the utility company.
  - Utilities must be in the name of the head of household or other adult household member.
- Failure to return application in a timely manner
- Failure to return revision forms in a timely manner
- Unauthorized move
- Lease violations or eviction
  - Due to severe and/or repeated lease violations or family obligations, we may withdraw a participant's search voucher subject to a hearing decision.
  - If the landlord notifies the DCA of his intent to evict, the participant will not be allowed to move until the matter has been resolved.
- Failure to be present at scheduled appointments
- Failure to correct tenant-caused HQS violations

Each report of potential abuse will be documented by the Family Housing Counselor and reviewed by the Field Operations Manager (FOM). The file documentation and calculations in the file will be carefully reviewed to ensure there are no file errors. If the report does not match the information in the family file, staff will take appropriate action.

## C. PROGRAM FRAUD

Program fraud is intentional misrepresentation or failure to report a change in income or circumstances that results in gain for the applicant or participant. Applicants engaging in program fraud will be permanently ineligible for assistance. Participants engaging in program fraud will have their rental assistance terminated and will be subject to repayment obligations and other remedies.

Examples of fraud are:

- Unauthorized live-in
- Violent criminal activity (Any illegal criminal activity that has as one of its elements the use, attempted use, or threatened use of physical force against the person or property of another, and the activity is being engaged in by any family member, which could include a live-in-aide or guest.)
- Drug related criminal activity (The illegal manufacture, sale, and distribution, use of possession with intent to manufacture, sell, distribute or use a controlled substance. Drug-related criminal activity means on or off the premises, not just on or near the premises.)
- Unreported income during any recertification period
- Repeated instances of unreported income (2<sup>nd</sup> instance of unreported income after a promissory note has been signed.)
- Subletting a unit
- Misrepresentation of information or falsification of documents
- Ownership interest in the unit
- Living in more than one unit
- Receiving more than one type of housing subsidy under any duplicative federal , state, or local housing assistance program
- Any member or guest of the household fleeing to avoid prosecution, custody, or confinement after conviction, for a crime or an attempt to commit a crime that is a felony
- Harboring fugitives
- Paying side payments or signing such an agreement with landlord.
- Other criminal activity includes criminal activity, which may threaten the health or safety of the owner, property management staff, PHA employee, residents of the premises, or persons residing in the immediate vicinity of the premises.
  - Premises are defined as the building or complex in which the dwelling unit is located, including common areas and grounds.
  - Covered person means tenant, any member of the tenant's household, a guest, or another person under the tenant's control.
  - Guest means a person temporarily staying in the unit with the consent of the tenant or other member of the household who has express or implied authority to so consent on behalf of the tenant
  - Household means the family and PHA approved live-in-aide.
  - Other person under a Tenant's Control for the purposes of the definition of covered person means that the person although not staying as a guest in the unit,



is, or was at the time of the activity in question, on the premises because of an invitation from the tenant or other member of the household who has express or implied authority to so consent on behalf of the tenant. Absent evidence to the contrary, a person temporarily and infrequently on the premises solely for legitimate commercial purposes is not under the tenant's control.

Each report of potential fraud will be reviewed and documented by the Compliance Officer. The Compliance Officer will carefully review documentation and calculations in the file and ensure there are not file errors that may later compromise the DCA's charge of fraud. If the report does not match the information in the family file, an investigation will be initiated.

If the Compliance Officer determines that fraud has occurred and has adequate documentation to support the allegation, the assistance will be terminated. DCA will consider that the participant is no longer eligible for assistance on the established date that fraud began and all monies paid on their behalf will be owed by the participant as of that date.

### *Landlord Fraud*

#### *Examples:*

- Accepting side payments or signing such an agreement with participant.
- Living in the subsidized unit
- Knowingly accepting assistance payments for a vacant unit.
- Attempting to bribe a DCA staff member
- Renting to an immediate family member as identified in 8g of the HAP Contract
- Misrepresentation of ownership or authority to execute a HAP Contract

If the owner was collecting side payments, DCA must notify the owner to immediately cease collecting these payments and require repayment to the family through the DCA of the full amount illegally collected. DCA must determine if the owner also collected side payments from other participants and follow-up to require payment. DCA may, at its discretion, terminate the affected HAP contract immediately, even if the owner repays the family.

If DCA determines that the owner has committed a very serious program violation or one of the offenses described above, it may restrict the owner from future program participation for a reasonable period of time commensurate with the seriousness of the offense.

If the owner has been overpaid as a result of fraud, misrepresentation or violation of the HAP contract, DCA may terminate the contract and arrange for restitution to DCA and/or the family as appropriate. Payments otherwise due to the owner may be debited to recoup overpayments. If future HAP contract payment amounts are insufficient to reclaim the debt, DCA will make other arrangements for collection. At its discretion DCA may also bar the owner from entering new HAP contracts.

### **Referrals for Prosecution of Purposeful Misreporting**

If DCA has reason to believe (preponderance of evidence) that the participant's or owner's abuse of the program was willful and intentional, it may refer the case to the HUD Special Agent in

Charge (SAC) for investigation and possible criminal prosecution. DCA may also pursue prosecution under state or local law, with an information copy to the appropriate Regional Inspector General Investigator (RIGI). Prosecution criteria will be defined by the District Attorney in the district where the fraud occurred. Cases sent to the RIGI for investigation should contain, at a minimum, the following information:

- Name and address of subject(s);
- Synopsis of alleged abuse, violation, intentional misrepresentation of fraudulent activity, including the source of the information;
- Identity and address of known witnesses or persons having knowledge of the allegation(s);
- Known or suspected period during which alleged offense(s) occurred;
- Known or suspected monetary loss;
- Findings of DCA or any corrective or administrative actions or sanctions taken; and
- Indication whether the matter has been referred to or considered by local prosecution or law enforcement agencies.

If the Justice Department or appropriate local or state agency declines prosecution, DCA may pursue remedies through civil court.

DCA must obtain HUD approval before initiating litigation in which it is requesting assistance or participation.

#### **D. PENALTIES**

- Abuse
  - Participants terminated for program abuse will be ineligible for assistance for three years.
- Fraud
  - Participants terminated for program fraud will be permanently ineligible for program assistance.
  - Participants reinstated through the informal hearing process may be subject to repayment obligations

Documents and other evidence obtained during the course of an investigation will be considered “work product” and will be kept in the participant family file or in a separate work file. Cases under review will not be discussed among staff unless they are involved or have information that can assist in the investigation.

## Chapter 19.

### PROMISSORY NOTES

#### A. GENERAL CONDITIONS

Current or former program participants who have a financial obligation to DCA are made aware of the amount of debt and asked to pay the entire amount of debt in full. Former program participants are ineligible to sign a Promissory Note. Only current program participants owing money for special claims or unreported income and who indicate they are unable to pay DCA immediately in a lump sum will be offered the opportunity to repay DCA over time through a Promissory Note.

When signing a Promissory Note the monthly amount can only be one of two amounts and will not be “renegotiated:”

- A minimum of \$30 per month for Promissory Notes totaling \$0-\$1000
- A minimum of \$60 per month for Promissory Notes totaling \$1000 and over

Current program participants will be informed of the consequences of failure to repay their Promissory Note.

Current program participants found guilty of a second abuse of unreported income will be ineligible to sign another Promissory Note and rental assistance will be terminated. If the participant’s rental assistance is terminated and repayment has not been made, the money will still be considered to be owed and DCA may take action to collect the amounts owed. Anyone found guilty of abuse will be barred from entering a waiting list for up to three (3) years.

Former program participants who do not repay their debt in full will be placed on DCA’s Ineligible List and will be ineligible to reapply for a period of three (3) years. If they do apply after the three year suspension they will not be issued a voucher unless the debt is paid in full. Once the (families) family’s name reaches the top of the waiting list they will have a maximum of ninety (90) days to repay their debt in full or DCA will remove their name from the waiting list.

Promissory Notes terminate and the balance becomes due immediately when a participant is no longer eligible for the program or voluntarily leaves the program.

The Regional Compliance Officer investigates cases of suspected fraud or abuse and may determine that:

- 1) There is insufficient evidence to warrant a charge of fraud; therefore, no action will be taken.
- 2) A charge of fraud can be substantiated; therefore, termination procedures will immediately begin and/or legal action initiated.

- 3) A charge of program abuse can be substantiated; therefore, the participant is given thirty days to repay the debt in full or sign a Promissory Note.

Participants found to have committed program fraud or abuse will be notified in writing and will be offered an opportunity to request an informal hearing. Requests for a hearing must be submitted in writing to DCA within fifteen (15) days from the date of the notice.

Participants found guilty of fraud are ineligible to sign a promissory note and will be permanently ineligible for program assistance.

## **B. BREACH OF PROMISSORY NOTE**

Participants are considered current if less than two months in arrears on their payments. In such cases, participants will continue to receive assistance and will be permitted to request a new voucher to move to another unit within DCA's jurisdiction.

Participants who are two months or more in arrears on their payments will be notified in writing that they are in arrears, and they will be given a deadline to pay the entire balance to avoid termination of assistance. They will be offered an opportunity to request an informal hearing. Requests for a hearing must be submitted in writing to DCA within fifteen (15) days from the date of the notice.

### **FAILURE TO SIGN PROMISSORY NOTE**

Participants who refuse to sign a Promissory Note will be terminated from the program with an opportunity for an informal hearing to dispute the debt or explain the reason for refusing to sign the note. If there is not request for an informal hearing or if the participant refuses to sign a Promissory Note after a hearing determination that the debt valid, assistance will be terminated.

### **DEBTS LESS THAN \$25.00**

If the family incurs a debt of \$25.00 or less for reasons other than utility allowance overpayment the full amount must be paid in one lump sum. Promissory Notes will not be accepted. The family will be requested to make full payment within 30 days or rental assistance will be terminated.

### **UTILITY ALLOWANCE OVERPAYMENT**

If it is learned that a family received a utility allowance overpayment the family will be advised of the debt. DCA has the option of debiting future utility assistance payments or requesting a lump sum payment. Promissory Notes will not be offered for repayment of utility allowance overpayments regardless of the amount. If the payment is not made, the participants name will be placed on the Ineligible List and the family will not be allowed to move with continued assistance until the entire debt is paid in full.

## **Chapter 20.**

### **MONITORING PROGRAM PERFORMANCE**

The following reports are maintained and monitored on both the Regional and State level:

#### **A. REGIONAL REPORTS**

##### 1. Monthly reporting to the Director of Program Operations:

- Subsidies under contract
- Initial contracts
- CPP's
- Move-outs
- Waiting list(s)
- Subsidies issued
- Date last subsidy issued
- Date waiting list(s) was last opened
- Port-Ins/Port-outs
- Fraud and abuse activity
- Various maps of activities

##### 2. Annual Reporting to Program Director

- Quality Control Inspections

#### **B. STATES REPORTS**

- Lease-up rates
- Portability
- General Ledger
- Budget
- Inventory
- Personnel

#### **SEMAP Review**

DCA's Special Assistant conducts reviews of at least 30 per 2000 units, 1 for each 200 over 2000 of the files in each DCA Regional Office annually. This is in addition to HUD's annual SEMAP review.

**A. FAMILY SELF SUFFICIENCY**

DCA's Family Self Sufficiency Program (FSS) relies on a collaborative effort with local private and public resources to provide housing choice voucher families who are unemployed or underemployed with opportunities for education, job training, counseling and other supportive services to obtain employment that will allow them to achieve independence and economic self sufficiency.

Participation in the program is voluntary. A family enters into a contract of participation and works with its FSS Coordinator to achieve certain agreed upon goals. The contract, which has a term of up to five years, with a possibility of a two year extension, outlines the rights and responsibilities of the family while participating in the FSS program and evaluates and addresses the family's individual needs. Each FSS participant develops a step-by-step Individual Training and Service Plan (ITSP) to help attain completion of goals identified in the contract.

A key feature of the FSS program is the establishment of an escrow account to hold funds on the family's behalf. The amount credited to the account is based on increases in the family's earned income during the term of the FSS contract. The FSS family is eligible to receive escrow funds when one of the following conditions is met:

1. The FSS family meets all goals, completes the FSS Contract, and is welfare free for a year; or
2. The FSS family TTP exceeds the applicable Payment Standard for the bedroom size that the FSS family is eligible, and the FSS family certifies it is currently welfare free.

The services and activities provided through the FSS program are the result of an ongoing partnership between DCA and statewide social service agencies. Coordination of services guarantees that the family is exposed to a full array of benefits without unnecessary duplication.

DCA's FSS Program operates in at least one county of each of DCA's regional jurisdictions and currently has more than 500 participants and a waiting list. Participating counties should have the resources available to ensure that the families have the transportation, educational facilities and other supportive services necessary for families to achieve economic self-sufficiency. A FSS coordinator is assigned to each regional office and works with enrolled families to identify specific support services needed by the family; the activities the family must perform and a reasonable timeframe for successful completion of the FSS contract.

## **B. HOUSING VOUCHER PROSPERITY PROGRAM**

The Housing Voucher Prosperity Program helps current and recent TANF recipients receive rental assistance. The program was established through a Memorandum Of Understanding between DCA and the Department of Human Resources., The Division of Family Child Services (DFCS) office verified TANF eligibility and recommended participation in the program. Effective March 20, 2004 The Housing Voucher Prosperity Program was no longer offered to new applicants.

## **C. ENHANCED VOUCHER PROGRAM**

Enhanced voucher funding is made available from HUD when an identified property is converted from project-based assistance to the HCV Program, generally with Section 236 or Section 221(d)(e) properties, and is triggered by one of the following events:

- Preservation Prepayment;
- Section 8 Opt-Outs;
- HUD Enforcement Action; or
- Property Disposition.

The following is expected to occur when a property is identified for conversion until the family is served under the HCV Program:

- Field HUD office advises DCA 120 days prior to the conversion event, including the unit information, attempts to obtain tenant income certifications from the owner, advises DCA whether the conversion action qualifies for enhanced or regular vouchers;
- DCA submits funding application to field HUD office;
- DCA reviews tenant income certifications to determine if information is acceptable;
- If funding application is approved, HUD sets budget authority and reserves tenant-based funds.
- DCA conducts income verification as necessary and issues vouchers as applicable;
- Family makes decision whether to stay or move from the conversion property;
- If the family stays, normal contract execution and inspection procedures are conducted; and,
- If the family moves, the family is issued a regular voucher,

By agreeing to administer the special admission tenants of this program, DCA does not relinquish its authority to screen potentially eligible families and may deny admission in accordance with the CFR and DCA policies.

In basic terms, the Enhanced Voucher differs from HCV in only four ways:

- The manner in which the family was selected, i.e., identified by HUD, in lieu of normal waiting list procedures;
- The Payment Standard for the family that stays in the conversion unit may receive a special payment standard;
- The family may qualify for a different voucher bedroom size if certain parameters are met on the availability of appropriately sized units; and,
- The family must continue to pay at least the amount of the rent they were paying on the eligibility event date (Minimum rent requirement for stayers).

#### **D. DCA/DHR HOUSING INITIATIVE**

In a joint effort to address challenges faced by certain at-risk families, DCA has reserved up to four hundred housing choice vouchers for applicants identified and referred by the Georgia Department of Human Resources. The initiative targets eligible clients from specific groups who will receive DCA rental assistance in conjunction with supportive services provided by DHR or an affiliated agency to help them make a successful transition into the local community.

Referrals from DHR will be coded for immediate use and may be entered on an otherwise closed county waiting list.

To learn important program features and to ensure family compliance with DCA rules and regulations, DHR caseworkers or affiliated agency staff will accompany their clients to initial briefing appointments, and both parties will sign a statement to acknowledge understanding of their respective responsibilities. DCA may request caseworker presence at future appointments.

Service providers will be expected to offer appropriate client support on a continuing basis.

Participants are accountable to the same standards as other voucher holders, and DCA can terminate assistance for failure to comply with program obligations. Likewise, DHR can recommend termination of rental assistance because of the family's failure to meet its requirements.

#### **E. DHAP DISASTER HOUSING ASSISTANCE PROGRAM**

The Georgia Department of Community Affairs (DCA) has entered into a contract with the United States Department of Housing And Urban Development (HUD) to administer the Disaster Housing Assistance Program (DHAP). The DHAP Program is designed to provide continued rental assistance subsidy payments directly to the landlords of qualified Evacuees from hurricanes Rita and Katrina.

In order to be eligible for the DHAP Program, a family must have already been assisted by the previously offered rental assistance of the Federal Emergency Management Agency (FEMA). FEMA has the sole responsibility for determination of program eligibility for any family wishing to receive assistance through the DHAP Program. The first participating families assisted within the DHAP program of DCA were initially assisted in December 2007. The program, according to HUD, will end on February 28, 2009 with families being fully responsible for their own rent



again on March 01, 2009. The stated goal of the DHAP program is for each family to attain permanent sustainable housing.

Eligible families who receive DHAP assistance are required to agree to actively participate in specifically tailored Case Management Services provided by Georgia DCA.

Participating families are also required to increase their monthly contribution to rent in increments of \$50 starting March 01, 2008 while the DCA subsidy payment to the landlord will simultaneously decrease in equal increments of \$50 on a monthly basis.

This feature, which stresses an increased tenant rent burden each of the final twelve months of the program, is known as the Incremental Rent Transition.

In essence, a family who begins prior to March 01, 2008 with a monthly lease rent which is less than the applicable Payment Standard will owe no rent until March 2008, and will eventually conclude the program in its final month of February 2009 owing a final month's tenant rent in the amount of \$600 (\$50 per month X twelve months). This scenario will obviously be slightly different if a family chooses to rent a unit with a lease rent already above the DCA Payment Standard, or if they choose to rent a unit with a lease rent of less than \$600.

The Incremental Rent Transition, along with the expectation of families to actively participate in Case Management Services, are both federal requirements of the program as prescribed by HUD. A family may request, and possibly receive, a Hardship Exception to the Incremental Rent Transition if they can demonstrate that the monthly increase would cost more than 30% of their monthly gross total household income. DCA has chosen to provide an automatic Hardship Exception for the Incremental Rent Transition to any family in which the Head Of Household (or their spouse) is elderly (aged 62 or older) or to any family Head Of Household who has a documented disability and/or handicap.

## **F. VETERANS AFFAIRS SUPPORTIVE HOUSING**

The 2008 Consolidated Appropriations Act (the Act) (Public Law 110-161) enacted December 26, 2007, provided \$75 million dollars of funding for the HUD-Veterans Affairs Supportive Housing (HUD-VASH) voucher program as authorized under section 8(o)(19) of the United States Housing Act of 1937. The HUD-VASH program combines HUD HCV rental assistance for homeless veterans with case management and clinical services provided by the Veterans Affairs at its medical centers and in the community.

Generally, the HUD-VASH HCV program will be administered in accordance with regular HCV program requirements. However, the Act allows HUD to waive or specify alternative requirements for any provision of any statute or regulation that HUD administers in connection with this program in order to effectively deliver and administer HUD-VASH voucher assistance.

## **Chapter 22.**

### **MODERATE REHABILITATION PROGRAM POLICIES**

DCA has participated in the Section 8 Moderate Rehabilitation Program since March 1, 1982. Today, DCA oversees management of approximately 307 units in the following locations: Athens and Columbus.

No new contract authority has been available for this program since 1988, and with the exception of the Single Room Occupancy Program, the U. S. Department of Housing and Urban Development (HUD) has officially discontinued the Moderate Rehabilitation program. Therefore, this plan will not address the developmental or rehabilitation processes, but instead will focus on the administration of the existing units in the program. For the most part, administration of the Moderate Rehabilitation Program closely follows the policies for the administration of the Section 8 Existing Housing Program. This appendix focuses on those areas in which the Moderate Rehabilitation Program varies from the Existing Housing Program.

#### **A. APPLYING FOR ADMISSION**

In general, the application procedures for the Moderate Rehabilitation Program mirror those of the Housing Choice Voucher Program, including application procedures, creation and maintenance of waiting lists, and determination of eligibility. Additional procedures, unique to the Moderate Rehabilitation program are outlined in this section.

Families applying to a DCA waiting list are asked if they would be interested in a Moderate Rehabilitation unit if one becomes available before they are issued a Voucher. The owner is required to contact the appropriate DCA Regional Office to request referrals of qualified families whenever a Moderate Rehabilitation unit becomes vacant. DCA Regional Office staff will contact in writing those families who match the certified bedroom size and have indicated a willingness to accept a Moderate Rehabilitation unit. Information sent to the family will include the name, address, and phone number of the owner or agent, as well as the address of the unit. Families will be informed that should they decide to accept the unit, their names will be removed from the waiting list. If they reject the unit, they will maintain their current placement on the waiting list. If DCA is unable to refer a sufficient number of interested applicants on the waiting list to an Owner within 30 days of notification from the Owner that the unit is vacant, he or she may advertise or solicit applications from low-income families and refer such families to the appropriate DCA Regional Office to determine eligibility.

Families who are forced to move through no fault of their own will receive the appropriate type of rental assistance as specified by current federal regulations.

## **B. ADJUSTMENT TO RENTS AND UTILITY ALLOWANCES**

Payments to Moderate Rehabilitation owners will be made in accordance with the procedures used for the Housing Choice Voucher program. Utility Allowances used for the Moderate Rehabilitation Program are the same as those used for the Housing Choice Voucher Program. Changes to the utility allowances are made effective at the family's next re-examination or lease renewal, whichever is earlier.

Property owners participating in the Moderate Rehabilitation Program may submit a revised schedule of Contract Rents on the anniversary of the initial HAP contract. Rent adjustments are limited by the comparability of the new rent amount to the fair market rental for existing units in the area, and the application of the appropriate Annual Adjustment Factor.

## **C. ON-GOING COMPLIANCE MONITORING**

Area Administrators are responsible for conducting the annual unit inspections, pre-lease inspections, Housing Quality Standards compliance, and tenant eligibility determinations. If, at any time, it is determined that the owner is not keeping the units in compliance or is leasing more than 10 percent of the units to ineligible families, the number of units under contract will be reduced accordingly. Contracts will also be terminated if the owner fails to comply with the program regulations contained in 24 CFR 882.109 and 24 CFR 882.404.

Area Administrators are responsible for briefing both the family and owner of their rights and responsibilities under the program. As part of the briefing, Area Administrators shall brief families and give a packet that includes the following items:

1. Current utility allowance schedule;
2. Informal hearing procedures;
3. Family and owner obligations to the program;
4. The subsidy is tied to unit;
5. Options if family size increases/decreases during tenancy; and
6. Information regarding lead based paint

Each unit will be inspected at least once each year. Generally, the inspection is conducted in conjunction with a new lease or lease renewal. Should a unit remain vacant for 6 months the contract will be terminated and the subsidy will become part of the HCV inventory.

#### **D. DAMAGES AND VACANCY LOSS PAYMENTS**

Damage claims will be processed in the same manner as certificate contracts prior to October 16, 1995 in the Housing Choice Voucher Program.

If an eligible family vacates its unit (the owner has not initiated any actions contrary to the contract), the Owner may receive the housing assistance payments due under the contract. The owner may receive up to 80% of the Contract Rent (Vacancy Loss) for the next two months as long as the unit remains vacant.

**PROJECT BASED VOUCHER PROGRAM**

**I. GENERAL**

**A. PURPOSE**

The United States Housing Act of 1937 directs HUD to permit DCA to “attach to structures’ up to twenty percent (20%) of the Section 8 Housing Choice Program Vouchers provided to DCA. Within this twenty (20%) limit, the DCA may attach a Section 8 HAP Contract to a structure where the applicant agrees to construct or rehabilitate the structure other than with assistance provided under the United States Housing Act of 1937. The purpose of the project-based assistance in the Voucher Program is to encourage property owners to construct standard, or upgrade substandard rental housing stock, and make it available to low-income families, at affordable rents.

**B. DEFINITION**

For the purpose of this program “Project Based Voucher” (PBV) shall be defined as a voucher attached to an existing unit which meets the Housing Quality Standards or a Section 8 Voucher attached to a dwelling unit where the Owner has agreed to construct standard or rehabilitate substandard rental housing stock in accordance with the conditions listed in 1 or 2 below.

1. There is an expenditure of a minimum of \$1,000 per dwelling unit, which can include the prorated share (for multi-unit projects) of common area or systems improvements, in order to:
  - a. Upgrade the property to decent, safe and sanitary conditions to comply with Housing Quality Standards (HQS);
  - b. Repair or replace major building systems or components in danger of failure,
  - c. Make improvements to the property essential to permit use of the property by handicapped persons and or special needs population; or
  - d. Merge or convert units to provide housing for large families.
2. Funds for rehabilitation or new construction are obtained from sources other than those provided under the United States Housing Act of 1937.

## C. REFERENCES

1. United States Housing Act of 1937, Section 8(d)(2);
2. 24 *C.F.R.* Part 983;
3. HUD Handbook 7420.3;
4. HUD Handbook 7420.7;
5. Agreement to Enter Into Housing Assistance Payment Contract HUD 52531A (Agreement);
6. Housing Assistance Payments Contract - HUD 52530A (HAP); and
7. Annual Contributions Contract (ACC).

## D. OUTREACH TO APPLICANTS

1. DCA will notify **development communities** in the State of Georgia or specific targeted counties of the Project Based Voucher Program. The notice will give general information as well as instructions on how to request additional information. DCA will hold forums to inform the development community in Georgia about the Project Based Voucher Program.
2. DCA will publish in the **Atlanta Journal/Constitution** or the local newspaper for targeted counties and distribute to the DCA **development** community organization directory, notification of the Request for Proposals (RFP) for Section 8 Project Based Voucher Program. This notice will be published after HUD approves the Project Based Voucher Plan submitted by the DCA. Each advertisement will be forwarded to HUD for review and approval. The contents of the notice will comply with applicable HUD requirements.
3. Advertisements will run once a week for a minimum of three consecutive weeks in the publications specified above. The advertisement will specify the approximate number of PBV units, and will be published after HUD approval to implement PBV or ACC execution for the finding source, whichever is later. Applications will be accepted beginning thirty (30) days after the last date the advertisement is published.
4. Notices to applicants and publications of the RFP will include any specific unit allocation or purpose for the allocation. For example, a development for special needs population would be clarified in all publications.

5. If the DCA does not receive sufficient responses to the Request for Proposals, DCA may negotiate directly with Owners or Developers to obtain proposals that meet program requirements.

## **E. LOCATION OF ELIGIBLE PROPERTIES**

All properties must comply with applicable Federal requirements regarding site and neighborhood standards as amended from time to time, unless waived or approved by HUD.

Developers of Project Based Voucher communities are reminded that it is a goal of the DCA to recreate stable low-income housing communities that not only provide decent shelter, but also provide social and economic opportunities for the residents. The units proposed for this program shall be located in areas that provide a wholesome living environment. Emphasis shall also be placed on durable construction, economy of maintenance, energy conservation and suitable recreation space.

Eligible Project based units could be one of the following three types:

- 1) Permanent Supportive Housing
- 2) Developments selected for a tax credit allocation and/or HOME construction to permanent financing pursuant to the Qualified Allocation Plan.
- 3) Existing properties in the DCA multifamily inventory, which were previously funded with HOME funds or Tax Credits or FDIC properties, monitored by DCA.
- 4) Private Sector landlords that have a minimum of ten (10) units in which at least two (2) are one bedroom units

## **F. WAITING LIST**

Families who apply for PBV assistance and who are also on the current Section 8 waiting list can have their name placed on the separate Project Based Voucher Program waiting list ranked based on the date and time of the original application. Future applicants will be offered the opportunity to have their name added to all applicable open waiting lists at DCA, including the list for Project Based Voucher Program.

The waiting list will be maintained and organized in accordance with the provisions included in Chapters 3 and 4 of this Administrative Plan.

## **G. PRE-SUBMISSION CONFERENCE**

A pre-submission conference may be held by DCA to present an opportunity for prospective applicants to raise written or oral questions or comments regarding the contents and structure of the request for Proposals. The location of the meeting will be specified in the public advertisement. Additional conferences may be held as needed to allow for the widest possible participation in the solicitation.

## II. PERMANENT SUPPORTIVE HOUSING PROJECTS

### A. PROPOSAL SUBMISSION REQUIREMENT

Details of the application submission requirements will be reviewed in the application package. In general, the application package will include the following sections:

1. Description

A description of the housing to be constructed, including the number of units by size (square footage), bedroom count, bathroom count, schematics of the proposed new construction, unit plans, listing of amenities and services, estimated date of completion.

2. Rents

The proposed contract rent per unit, including an indication of which utilities, services, and equipment are included in the rent and which are not included. For those utilities not included in the rent, an estimate of the average monthly cost for each unit type for the first year of occupancy is required.

3. Identity

The identity of the proposed owner, developer, builder, architect, management agent (and other participants) and the names of officers and principal members, shareholder, investors, and other parties having a substantial interest in the proposal.

4. Management Plan

The Developer's plan for managing, marketing and maintaining the units during the term of the contract.

5. Preliminary Financial Commitments

Evidence of preliminary financing commitments or lender interest and the proposed terms of financing will be required. Disclosure of all other forms of local, state or federal government assistance will also be required.

6. Contract Term

The proposed term of the contract must be identified. While the term of the public subsidy for rents may be up to **five** (5) years, proposals that commit to maintaining the complex as low/moderate income housing beyond the ten (10) years will be viewed favorably. In any event, the applicant shall not automatically displace persons in residence at the end of the HAP Contract. These persons will be afforded the opportunity



to continue in residence in accordance with the conditions of the lease.

7. Experience of Developer

References and examples of past success(es) in the operation or development of low-income housing similar to this project.

8. Financial Responsibility

Evidence of the Developer's financial responsibility. Include the firm's or individual's ability to devote financial resources (e.g. capitalization, bonding capability, credit rating, years in business).

9. Minority Participation

A description of the minority participation in the Project, including extent of minority ownership of the firm, a participation plan for minority subcontractors, resident involvement and job recruitment.

10. Site Control

Evidence of site control, including evidence that the proposed new construction is permitted under current zoning or evidence to indicate that the needed zoning is likely and will not delay the project.

11. Section 3 Documentation

Completion of employment and affirmative contracting plans will be required.

12. Evidence of Local Government Approval/Support

Evidence of local government approval and support will be required.

13. Evidence of Support from the Georgia Department of Human Resources (DHR)

A letter of Commitment from a Division Director at DHR.

14. Certificate of Non-Displacement

The Developer will certify that there will be no displacement of residential tenants from units to be assisted.

## **B. PROJECT BASED VOUCHER SELECTION CRITERIA**

All properties will be rated and ranked in accordance with the Application Selection Criteria that will be described in detail in the application package. Points are assigned based on important project characteristics, including the project feasibility, financing commitment, applicant's management capability, applicant's rehabilitation or new construction capability, design of project, number of units in the project, social service resources, minority contractor participation, relocation requirements, and overall feasibility.

DCA will not limit the number of proposals an entity will be allowed to submit. However, in reviewing, rating and ranking proposals, DCA will carefully consider the applicant's capacity to undertake and complete the project within the particular time period. In some cases, it may be very important that DCA consider "as a whole" a proposal that includes several units or properties that may or may not be contiguous. In determining the applicant's capacity to manage the rehabilitation or construction process (whether it is a few or many units) DCA will consider the scope and cost of the project, the adequacy of financial support, and the applicant's success or difficulties with previous housing programs.

The DCA Review Committee shall determine qualifications, interest, and availability by reviewing all written responses received that express an interest in performing the project, and when deemed necessary, by conducting formal interviews of selected respondents who are determined to be best qualified based upon the evaluation of written responses. In addition, DCA reserves the right to visit proposed sites, verify information submitted in proposals and contact applicants to clarify information provided.

Applications for ineligible units will be disapproved and not ranked or selected. Ineligible units are specified in the Federal Regulations at 24 *C.F.R* Part 983.7 (b). Applicants will be advised in writing of any ineligible submissions.

Proposals shall be evaluated, scored, and ranked on the basis of weighted variables described in the detail selection criteria included in the application package.

## **C. RANKING AND SELECTION OF PROPOSALS**

1. Ranking and selection of the proposals will be the task of the DCA Review Committee. A detailed description of the DCA Review Committee process will be included in the application package.
2. The Review Committee shall normally include persons with the knowledge or experience identified below:
  - a. Financing;
  - b. Design, Development and Construction;

- c. Management of Housing; and
- d. Relocation, if applicable.

The Division Director or Designee will appoint the members of the Review Committee.

- 3. After all proposals have been ranked, the Committee will select the proposals that have the highest ranking and present recommendations to the Commissioner of DCA.
- 4. After the completion of the selection process, letters shall be prepared to each of the Applicants. Those Applicants whose proposals are rejected will be notified at this point. Applicants whose proposals are selected for further processing shall receive letters that provide further processing instructions.

#### **D. REVIEW OF WORK WRITE-UP AND COST ESTIMATE**

Before final award, all Applicants proposing to do a rehabilitation project will be required to submit a scope of work and cost estimate of work to be performed. All scope of work must specify the types and amount of material to be used.

#### **E. REVIEW OF WORKING DRAWINGS AND SPECIFICATIONS AND COST ESTIMATES**

Before final award, all Applicants proposing to do new construction must submit a detailed estimate and working drawings and specifications with a certification by the design architect that the proposed new construction reflected in said drawings comply with HQS, local codes and ordinances, and zoning requirements.

#### **F. RELOCATION**

Tenants cannot be permanently displaced from units to receive project-based subsidies. However, they may be required to move temporarily during rehabilitation: if,

- 1. The Applicant has given the tenants advance written notice and appropriate advisory services;
- 2. Decent, safe, and sanitary temporary housing is available;
- 3. The temporary relocation period will not be longer than twelve (12) months;
- 4. The Applicant pays moving costs to and from temporary housing and tenant reimbursement for reasonable increases in monthly temporary housing costs.

The Applicant will be responsible for ensuring that all the temporary relocation

requirements are met. DCA will oversee and monitor this effort to assure compliance.

#### **G. FINAL FEASIBILITY ANALYSIS**

Owners whose applications are selected for further processing will be given 30 days to provide financing commitments. Based on a review of the financing plan and the preliminary rent calculation, the DCA Review Committee will determine whether the Applicants proposal is feasible. A proposal determined to be infeasible will be rejected at this point, and the Applicant will be sent a notification of rejection. If the proposal is determined to be feasible and all other requirements have been met, the DCA Review Committee will recommend proposal selection to the Division Director and the DCA Commissioner. Once approved by the DCA Commissioner, the proposal and required documentation will be forwarded to HUD for review in accordance with the requirements of HUD.

#### **H. EXECUTION OF AGREEMENT**

After the Applicant has completed all of the steps required before the start of work, and DCA has received HUD's approval of the project, staff will prepare the Agreement to enter into a Housing Assistance Payment Contract. Prior to execution, a meeting will be held to discuss the document with the Applicant. After consulting with the Applicant and obtaining the requisite signatures, staff will issue a Notice to Proceed with a specified start and completion date.

#### **I. SELECTION OF CONTRACTORS**

It will be the Applicants responsibility to select his/her project contractor. DCA will not provide a list of contractors to Applicants. The selection of all contractors must be in accordance with 24 *C.F.R.* Part 983.102.

Applicants who propose to do part or all of the work themselves must obtain advance written approval from DCA. Applicants will not be allowed to undertake work themselves unless they are licensed contractors.

The Applicant and his/her contractor will be required to attend a Pre-Construction Conference at which time the staff will explain the requirements of the program. Sample contract, Labor Standards Requirements, Section 3 information and Davis-Bacon Wage Rates will be provided at this time. This procedure will ensure that all contractors understand program requirements. Subcontractors may be invited to this Pre-Construction Conference to assure compliance with Davis-Bacon Wage or Section 3 requirements.

#### **J. INSPECTION OF WORK AND MONITORING OF PROGRAM REQUIREMENTS**

Prompt completion of work, compliance with Labor Standards and Davis-Bacon Wage

Rates (where applicable), and adherence to good construction practices will be monitored through periodic inspections of each project. Inspections will be scheduled as major work items are completed; however, where major work will be hidden in walls, floors, etc., inspections will be scheduled prior to final cover-up. DCA will coordinate these inspections with the Applicants. The normal schedule of local building inspectors by local government entities will be conducted in accordance with local standards.

## **K. CHANGES TO THE WORK OR CONTRACT AMOUNT**

The Applicant will be required to request approval in writing in advance for any changes to the work items specified in the Agreement that would alter the design or the quality of the work. Generally, change orders will not be approved unless the cause of the proposed change is beyond the contractor's control and/or is in the best interest of the project. DCA reserves the right to disapprove any changes requested by the Applicant.

## **L. PROJECT COMPLETION**

1. **Scheduling the Final Inspection** Applicants are required to advise DCA at least thirty (30) days prior to the completion of work. When DCA is notified by an Applicant of impending completion of work, staff will tentatively schedule a final inspection based on the estimated completion date and will remind the Applicant of the documents which he/she will need to submit before the unit can be accepted. Such documents will include the Certificate of Occupancy, signed Building Permit card by required Building Inspector and the Certification required by 24 *C.F.R.* Part 983.104.
2. **Completing the Final Inspection** Applicants will be responsible for obtaining building and electrical code inspections if the nature of the work requires them. At the final inspection, all work items required by the Agreement will be inspected and a determination regarding compliance with City Housing Code, Housing Quality Standards, and the specifications contained in the Agreement will be made. The Applicant and the contractor will be requested to participate in the final inspection so that deficiencies, if any, can be discussed.

A written copy of a punch list, detailing deficient work items, and a schedule for their completion, and the amount that must be escrowed with DCA pending completion of the work items will be provided to the Applicant and contractor. This only applies to minor deficiencies or items that are incomplete because of weather conditions.

3. **Acceptance of the Units** Units, which pass final inspection with no deficiencies, and units with minor deficiencies will be accepted at the time of final inspection. If minor deficiencies exist, staff will tentatively schedule a date to inspect any work remaining to be done. Units with other than minor deficiencies will not be accepted until the deficiencies are corrected to the satisfaction of DCA.

Resolution of deficiencies will be the responsibility of the Applicant.

No later than acceptance, the Applicant will be required to submit the local permits and approvals required as evidence of completion. In addition, the Certificate of Occupancy and any other required permits will be obtained from the Applicant at the time of acceptance. Applicants will be required to provide final Davis-Bacon Wage Information, Section 3 Compliance and provide copies of Release of Lien for the property.

#### **M. EXECUTION OF HAP**

After DCA has inspected and accepted the units in the project, the parties will execute the Housing Assistance Payment Contract. The effective date of the HAP may not be earlier than the acceptance of the units; however, in the case of a large project DCA may exercise its option to phase in the project.

### **III. PROJECTS SELECTED FOR A TAX CREDIT ALLOCATION OR HOME MULTI-FAMILY LOAN PURSUANT TO THE QUALIFIED ALLOCATION PLAN**

#### **A. POINTS FOR PROJECT-BASED VOUCHERS**

The Qualified Allocation Plan for the State of Georgia, which is administered by DCA, will include points available to projects if the developer agrees to accept Project Based Vouchers for up to 10 units or 5% of the total units, whichever is less, for occupancy by tenants with special needs who are receiving supportive services through the Georgia Department of Human Resources.

#### **B. SELECTION OF PROJECTS**

All projects, which claimed points pursuant to III (A) above, and are selected for an allocation of tax credits and/or HOME loan, will be ranked and evaluated by the DCA review committee with respect to the number of units to receive project based assistance, location of project in relation to the DHR clients in need of housing in such location, and services and amenities available to residents. The DCA review committee will recommend selection to the Division Director and the DCA Commissioner.

#### **C. EXECUTION OF HAP**

When projects have been completed and determined to have been built in accordance with the plans and specifications as approved by DCA, owner is in receipt of a Certificate of Occupancy and satisfied all other requirements of the Qualified Allocation Plan, carryover allocation, and any applicable loan documents, the parties will execute the Housing Assistance Payment Contract.

#### **IV. EXISTING UNITS IN THE DCA AFFORDABLE MULTIFAMILY HOUSING INVENTORY**

##### **A. AFFORDABLE HOUSING INVENTORY**

DCA may attach vouchers to existing units that meet HQS that are in the DCA Affordable Multifamily Inventory, as a result of having received an allocation of tax credits, a HOME multifamily loan, or an FDIC property that is monitored by DCA.

##### **B. NOTIFICATION OF AVAILABILITY**

DCA shall notify such properties of the availability of Project Based Vouchers pursuant to Section I (D) herein. DCA, within its discretion, may limit the availability of project based vouchers for existing properties to specific areas of the state.

##### **C. PROPOSAL SUBMISSION REQUIREMENTS**

The application package must contain the following:

- a) description of the project;
- b) number of units for which the owner is willing to accept project based vouchers;
- c) amenities and services offered; and
- d) description of management company and indication of whether full-time site management is present and, if not, the number of hours management staff is on site per week.

##### **D. PROPOSAL SELECTION CRITERIA**

All Applicants will be evaluated and ranked by the DCA review committee with respect to the number of units proposed for project based projects, amenities and services, level of on-site management, and the need for housing by DHR clients in relationship to the location of the property. The DCA review committee will tentatively select projects.

##### **E. INSPECTIONS**

Properties, tentatively selected for participation, will be scheduled for an inspection, to verify that units meet HQS.

##### **F. EXECUTION OF HAP**

If the properties pass the DCA inspection, the parties will execute a Housing Assistance Payment Contract.

**HOMEOWNERSHIP**

**A. GENERAL INFORMATION**

DCA administers a homeownership program which enables certain rental assistance program participants to purchase a home in DCA's jurisdiction using the Housing Assistance Payment (HAP) as income for the purpose of qualifying for a mortgage loan.

Several elements of the homeownership option differ from those of the rental assistance program. This chapter describes eligibility criteria, program requirements, family obligations, sales contract and financing requirements, and continuing eligibility.

**B. ELIGIBLE APPLICANTS**

1. A homeownership candidate must meet certain eligibility requirements:

- Must be a current HCV participant who has received rental assistance for at least the past two years
- The head of household's and/or spouse's combined annual income must be at least the federal minimum hourly wage multiplied by 2,000 (welfare assistance not included)
- For a disabled family the total annual income must be at least the amount of federal SSI monthly benefits for an individual living alone multiplied by 12
- Must have at least one year prior continuous work history (waived for disabled and elderly families)
- To qualify as a disabled family, the head of household or spouse must be person with a disability
- Must be a first-time homebuyer as defined by HUD
- Must be in good standing with no current promissory note or an open fraud inquiry

2. The seller cannot be debarred, suspended, or be subject to a limited denial of participation in HUD housing programs.

**C. PROGRAM REQUIREMENTS**

1. Homeowner Obligations

A family participating in the DCA homeownership voucher program must follow the rules listed below in order to receive homeownership assistance. Any information the family supplies must be true and complete. Each family member (plus any PHA-approved live-in aide for rules associated with criminal activity or alcohol abuse) must:



- (a) Disclose and verify social security numbers and employer identification numbers, sign and submit consent forms for obtaining information (including criminal conviction records of adult household members), and supply any other information that DCA or HUD determines to be necessary (including evidence of citizenship or eligible immigration status, information for use in determining eligibility to receive homeownership assistance, and information for use in a regularly scheduled reexamination or interim reexamination of family income and composition).
- (b) Submit any DCA-required reports on the family's progress in finding and purchasing a home.
- (c) Attend and satisfactorily complete any DCA-required homeownership and housing counseling.
- (d) Select and pay for pre-purchase inspection by an independent professional inspector. The inspection must be conducted in accordance with DCA requirements.
- (e) Enter into a contract of sale with the seller of the unit and promptly provide a copy of the contract of sale to DCA. The provisions of the contract of sale must comply with DCA requirements.
- (f) Obtain and maintain flood insurance for homes in special flood hazard areas.
- (g) Comply with the terms of any mortgage securing debt incurred to purchase the home (or any refinancing of such debt).
- (h) Promptly notify DCA in writing when (1) the family is away from the home for an extended period of time in accordance with DCA policies, and (2) before the family moves out of the home. Supply any information or certification requested by DCA to verify that the family is living in the home or information related to family absence from the home.
- (i) Only use the assisted home for residence by the DCA-approved family members, live-in aide or foster child. No other person may reside in the home. The home must be the family's only residence and no family member may have any ownership interest in any other residential property. Any legal profit making activities in the home must be incidental to the primary use of the home as a residence. The family must not lease any portion of the home or grounds.
- (j) Promptly notify DCA in writing of the birth, adoption, or court-awarded custody of a child, and request DCA written approval to add any other family member as an occupant of the home. Promptly notify DCA in writing if any family member no longer lives in the home.
- (k) Supply any information as required by DCA or HUD concerning: (1) any mortgage or other debt incurred to purchase the home, any refinancing of such debt (including information needed to determine whether the family has defaulted on the debt, and the nature of any such default), and information on any satisfaction or payment of the mortgage debt; (2) any sale or other transfer of any interest in the home; or (3) the family's homeownership expenses.
- (l) Promptly notify DCA in writing if the family defaults on a mortgage securing any debt incurred to purchase the home.
- (m) Not commit fraud, bribery, or any other corrupt or criminal act in connection with any Federal housing program. Not engage in drug-related criminal activity

or violent criminal activity. Not engage in other criminal activity that threatens the health, safety or right to peaceful enjoyment of other residents and persons residing in the immediate vicinity of the premises. Not abuse alcohol in a way that threatens the health, safety or right to peaceful enjoyment of other residents and persons residing in the immediate vicinity of the premises. Not engage in or threaten abusive or violent behavior toward DCA staff. Not engage in other criminal activity which may threaten the health or safety or personnel performing a contract administration function or responsibility on behalf of DCA (including DCA staff and DCA contractor/subcontractor/agent staff).

- (n) Not lease, let, transfer or convey the home except to grant a mortgage on the home for debt incurred to finance purchase of the home or any refinancing of such debt.
- (o) Not receive homeownership voucher program assistance while receiving another housing subsidy for the same home or a different unit under any duplicative Federal, State, or local housing assistance program.
- (p) Comply with any additional DCA requirements for family search and purchase of a home and continuation of homeownership assistance for the family. DCA must attach to this document a list of any such requirements.

Termination of assistance. Homeownership assistance may only be paid while the family is residing in the home. DCA may deny or terminate homeownership assistance for any of the reasons listed below:

- (q) The family violated or has violated any family obligation under section 1.
- (r) Any member of the family has been evicted from federally assisted housing in the last five years, or any household member has been evicted from federally assisted housing for drug-related criminal activity in the last three years.
- (s) A Public Housing Authority (PHA) has ever terminated assistance under the certificate or voucher program for any member of the family.
- (t) The family currently owes any money to DCA or another PHA in connection with Section 8 or public housing assistance. The family has not reimbursed any PHA for amounts paid to an owner under a housing assistance payments contract for rent, damages to the unit, or other amounts owed by the family. The family breaches an agreement with the PHA to pay amounts owed to a PHA, or amounts paid to an owner by a PHA.
- (u) Any household member is subject to a lifetime registration requirement under a State sex offender registration program.
- (v) Any household member who has ever been convicted for manufacture or production of methamphetamine on the premises of federally assisted housing.
- (w) The family fails to comply, without good cause, with any family self-sufficiency program contract of participation.
- (x) The family fails, willfully and persistently, to fulfill any welfare-to-work program obligations.
- (y) The family has been dispossessed from the home pursuant to a judgment order of foreclosure on any mortgage securing debt incurred to purchase the home (or any refinancing of such debt)

- (z) DCA determines that homeownership assistance has been provided for the maximum term permitted under the homeownership voucher program, or it has been 180 calendar days since the last homeownership assistance payment on behalf of the family.
- (aa) DCA determines there is insufficient funding to provide continued homeownership assistance.

## 2. Continuous Employment Obligation/Hardship Exemption

The head of household, the spouse, or other adult listed on the mortgage document must remain continuously employed full-time.

For this purpose full-time is defined as working an average of at least 30 hours per week with no gap in employment lasting more than four weeks. Part-time employment of multiple persons totaling at least 30 hours per week does not constitute full-time employment.

The employment obligation does not apply to disabled families or elderly families.

If a disabled family or elderly family ceases to qualify as such, the employment requirement will be in effect.

DCA will consider situations where certain lapses in employment prohibit the family from meeting the continuous employment obligation. These include receipt of state unemployment insurance benefits due to lay-off; absences that qualify under the Family Medical Leave Act; or receipt of workers compensation benefits.

A participant will be exempt from the employment requirement during that time but must return to work within 30 days after the period ends.

A participant who fails to meet this obligation is subject to termination of assistance.

The Regional Administrator and Office Director may consider other mitigating circumstances on a case-by-case basis.

## 3. Unit Eligibility

The dwelling unit must satisfy the following requirements:

- the unit either was under construction or was already existing when the family was determined to be eligible for homeownership assistance
- the home is either a one-unit dwelling or a single unit in a cooperative or condominium
- the unit satisfies DCA housing quality standards (HQS)
- DCA can deny seller participation based on the same reasons as in the HCV rental assistance program

#### 4. Payment Standard

The applicable payment standard used at commencement of homeownership assistance is determined by the same method employed in the rental assistance program.

In the homeownership program the payment standard may not decrease after commencement of homeownership assistance regardless of changes in family composition.

#### **D. UNIT INSPECTION**

1. Homeownership assistance will not commence until DCA staff conducts an inspection and the unit passes HQS.
2. The family is required to select and pay for an independent inspector to inspect the unit. The inspection must cover major building systems and components including foundation and structure, interior and exterior, roofing, plumbing, electrical, and HVAC systems. The inspector must be qualified to report on property conditions including major building systems and components. Copies of the inspection report must be submitted to the family, the realtor, and to DCA.
3. DCA may disapprove the unit for assistance because of information contained in the inspection report even if the unit otherwise complies with HUD HQS requirements.

#### **E. SALES CONTRACT**

The family must submit an unsigned sales contract to DCA for review prior to commencement of assistance. The sales contract must contain the following provisions:

- The price and other terms of sale
- The mortgage will be a Georgia Dream loan
- The purchaser will arrange for a pre-purchase inspection of the unit by a qualified independent inspector certified by a selected and paid by the purchaser
- The purchaser is not obligated unless the inspection is satisfactory to the purchaser
- The seller is obligated to pay for any necessary repairs identified in the independent inspector's report and in the DCA inspector's report
- A certification from the seller that the seller has not been debarred, suspended, or subject to a limited denial of participation in any HUD program

#### **F. AFFORDABILITY CAP**

At commencement of homeownership assistance the family share of the monthly mortgage payment cannot exceed 50% of the family's adjusted monthly income.

## **G. FINANCING**

1. The purchaser will secure financing through a DCA-approved lender. Prior to contacting a realtor and searching for a home the purchaser will seek credit pre-approval from the lender. The lender will qualify the borrower in accordance with FHA guidelines and pre-approve the family, taking into consideration the monthly HAP assistance as income.
2. The terms and conditions for financing of the loan will be in accordance with the Homebuyer and Georgia Dream program guidelines, and will include the following provisions:
  - The loan will be uninsured but will follow FHA underwriting guidelines
  - The family will be required to make a 1% down-payment of the purchase price from their own resources, which may include gift or grant funds
  - The first mortgage will be a DCA Georgia Dream loan which is a 30-year fixed rate
  - Down payment assistance will be a Georgia Dream second mortgage in conjunction with the Georgia Dream first mortgage.

## **H. FAMILY OBLIGATIONS FOR CONTINUED ASSISTANCE**

The family must comply with the HUD Statement of Homeowner Obligations referenced above, and all non-lease related items listed on the DCA Grounds for Denial or Termination of Assistance.

## **I. TERM OF ASSISTANCE**

The maximum term of homeownership assistance for families where the head of household or spouse is disabled is 30 years.

The maximum term of homeownership assistance for other families is 15 years.

If a family qualifying for 30-year assistance ceases to qualify as disabled, the maximum term becomes 15 years from the date homeownership assistance commenced. However, the family must be provided at least 6 months assistance after the date such change takes effect.

The maximum term of homeownership assistance applies to any member of the household who has an ownership interest in the property during any time homeownership assistance payments are made.

**A. CONFLICT OF INTEREST**

The DCA Housing Choice Program shall conform to the U.S. Department of Housing and Urban Development's (HUD) verbiage in respect to conflict of interest, as addressed in the aforementioned Code of Federal Regulations. The subject regarding conflict of interest is addressed in the Code of Federal Regulations (CFR) Section 982.161, and this directive provides a foundation for the DCA directive concerning conflict of interest within the Housing Choice Program of HUD.

In respect to DCA staff owning, leasing, and receiving revenue from unassisted rental property, this activity in itself shall not present a conflict of interest for the agency. DCA staff owning rental property should keep the agency aware of their status as landlords, and should always consult the agency if they suspect any conflict (or perceived conflict) of interest may be presented by their property ownership (of unassisted property) and employment within DCA. This shall apply to any person in the employment of DCA, including all "staff" or "employees", and it shall apply equally to full time, part time, temporary, and permanent staff members.

A potential conflict of interest could exist if the above DCA staff/employee allowed their rental property to be leased to a Housing Choice Voucher program participant subsidized by DCA. Therefore, DCA shall not allow any staff to own, lease, or receive revenue from rental property if a subsidized family being assisted by the program occupies the property. In order to avoid any appearance for conflict of interest, in most cases, DCA shall encourage that participant families not be recertified in their unit, but instead move at the Lease and HAP Contract conclusion. Any current families, which may already be leasing from a DCA staff (landlord) while receiving subsidy, shall be addressed by allowing the program participant to conclude the full duration of their annual HAP Contract. This would allow the family to remain in their current rental unit until the present year's obligation is exhausted, after which time they shall then be issued a new voucher to facilitate their relocation from the property. This action will allow participating families time to conclude their current HAP Contract (if applicable) and then relocate to new property (of their own selection) which shall not be owned by a DCA staff member.

For cases in which DCA staff members may have an interest or partial interest in a subsidized housing unit, the following sequential actions shall be taken by DCA in order to address and eliminate the potential problem.

- As the participating family nears the end of their current year's lease in the property, they will be issued a new Housing Choice Voucher with an effective date for the first day, of the first month, after their current lease expires.

- The new voucher will then be valid for 120 days, allowing the participating family a full search period as they seek new housing, just as any other participating family.
- While issuing this new voucher, DCA shall also inform the head of household as to the reason (owner affiliation) for their being required to locate new housing, in which there will no longer be a potential conflict of interest.
- As soon as the participating family locates new housing, with a new (non-conflicting landlord), DCA shall schedule their Initial HQS Inspection for the unit and they will be treated as any other initial contract continuing program participant.
- Lastly, from this point forward, no further DCA subsidized families will be allowed to submit Request For Inspection Forms for the housing unit, which the family has vacated, unless its ownership is changed.

Exceptions to this course of action by DCA may be made if the required relocation of the family from the housing unit would constitute a “family housing hardship”. This approval of a “family housing hardship” would have to be submitted on an individual case by case petition basis to the Regional Administrator, who would then make a decision on requiring (or not requiring) the family to move from the family/employee, owned unit. If, in these cases, the Regional Administrator decides to allow the family to remain in their present housing, the Regional Administrator shall then assign administrative duties involving the participant family and their housing unit to an impartial DCA staff person. The family file shall also be documented in order to demonstrate that each case granted by the Regional Administrator, was justifiable and crucial to assisting a subsidized family which otherwise might have not been able to have located other suitable housing.

This directive shall also apply to rental properties owned by any family members of DCA employees. In this situation, “family member” may include a spouse, parent, grandparent, child, sister, brother, uncle, aunt, nephew, niece, cousin, father-in-law, mother-in-law, sister-in-law, brother-in-law, stepparent, stepchild, stepbrother, stepsister, half brother, or half sister. This definition of “family member” is derived from an Executive Order issued by the Officer of the Governor of the state of Georgia, from the document dated January 13, 2003.

DCA will also define specific actions which shall be taken to address unique potential Conflict of Interest situations, in which DCA comes to an awareness of rental property is being owned by a relative (family member) of an employee/staff person. These two situations address scenarios when the “family member” lives both inside and outside of the employee household. These two defined actions are as follows:

- In any situation where the “family member” owning the rental property occupied by a program participant is also a member of the DCA employee household, then the same rules apply as if the rental unit was actually owned by the DCA employee themselves.
- In the situation where the “family member” is not a member of the DCA employee household, all related information would be disclosed by the employee to the (RA) Regional Administrator, the RA will review the situation to determine if administrative measures can be implemented to avoid any conflict of interest.

In respect to DCA Housing Choice Voucher Program participants receiving their housing subsidy and also serving simultaneously as DCA staff employees, this in itself shall not present a conflict of interest. Program participants, just as any DCA staff member, shall be held accountable and required to respect confidentiality of all family files and information contained therein. If a current program participant elects to submit application in regard to a DCA employment announcement for which they meet all stated requirements, they shall be considered upon those stated prerequisites. A current program participant's status as an active subsidized family shall not serve to affect their opportunity in respect to initial employment or to career advancement.

Likewise, DCA shall not consider a conflict of interest to occur if a current DCA staff member, who meets all stated program qualifications, requests an application, enters an Open Waiting List, receives a subsidy, and eventually receives rental housing assistance. This ensures that admission to DCA's Housing Choice Voucher Program is equally available to any DCA staff that meets all other requirements, and also insures that current program participants (meeting all position prerequisites) are eligible for employment within any DCA Office.

DCA shall amend this Administrative Directive periodically, or as needed, in order to insure that no conflict of interest, or the appearance of such potential issues, becomes apparent.

## **B. INTERNAL FRAUD**

The Management of DCA shall be responsible for preventing, detecting and reporting any internal fraud. The Director of Operations shall be in charge of investigating all suspected irregularities. If any type of fraud is suspected it will be immediately reported to a member of the management team and the Assistant Commissioner and Director of Operations (unless of course the report of fraud involves them in which case the report shall go directly to the Commissioner).

If management determines that the reported suspected fraud is too great for an internal review then DCA shall report suspected fraud directly to HUD.



**RESOLUTION BY THE BOARD OF  
THE GEORGIA DEPARTMENT OF COMMUNITY AFFAIRS**

**ADOPTING AMENDMENTS TO  
THE HOUSING CHOICE VOUCHER PROGRAM  
ADMINISTRATIVE PLAN**

**WHEREAS**, Congress enacted the Quality Housing and Work Responsibility Act of 1998 that requires the Governing Board of the Housing Authority review and approve certain amendments to the Housing Choice Voucher Program Administrative Plan (Admin Plan);

**WHEREAS**, the Board of the Georgia Department of Community affairs as the Governing Board, at its April 7, 2010 Board Meeting reviewed certain proposed technical amendments to the Admin Plan;

**WHEREAS**, at its meeting on April 7, 2010, the Board of the Georgia Department of Community Affairs, with a quorum present and voting, approved the proposed technical amendments as summarized in the attached "Exhibit A" which is incorporated by reference.

**NOW THEREFORE, LET IT BE RESOLVED:**

1. The Housing Choice Voucher Program Administrative Plan is hereby amended to accommodate revisions to the technical aspects of the Housing Choice Voucher Program. A summary of the revision is attached as "Exhibit A."
2. The Chairman, Vice Chairman or Secretary may execute this Resolution.

This Resolution is hereby adopted this 7th day of April 2010.



\_\_\_\_\_  
Bebe Heiskell  
Secretary



# Administrative Plan Changes Summary 2010

## **I. Foreword, pages 1 and 2:**

### **A. Organizational Set Up**

Corrected RAD staff listing

Changed number of Regional Offices from five to six

### **B. Description of Programs Offered**

Removed Disaster Housing Assistance Program

Added Veterans Administration Supportive Housing and Money Follows the Person

## **II. Change web address Chapter 1, page 8:**

3. DCA makes available a database of interested landlords available for the Housing Choice Voucher Program on a web site located at:  
<http://www.georgiahousingsearch.org>

## **III. Change Chapter 1, page 9, M. File Retention:**

Update the section to reflect RAD's use of Scanning, Electronic images and TRIM database

## **IV. Change Chapter 2, page 1:**

3<sup>rd</sup> bullet, remove "age 6 or older who have been issued one" to comply with recent changes in Social Security Card requirements.

## **V. Change Chapter 2, page 2 and Chapter 6, page 10:**

Change *Joint Custody of Children* to *Custody of Children* and add:

Proof of custody is required for all minors that are not children of the head of household or another household member

## **VI. Change Chapter 6, page 6:**

Add

17. Relative/Kinship Care Subsidy (Payments paid to a caretaker when a minor is placed in their custody.)



**VII. Change Chapter 11, page 4:**

Add under number 8.

The date in the *last pass insp* field of the *(Re)Certification* Listing indicates:

- the date of the annual inspection if there are no deficiencies and the unit passes HQS, or
- the date staff verified that the deficiencies were corrected, and which were confirmed at re-inspection

If the verified deficiency correction date is after the deadline or if the unit fails re-inspection after the deadline, the abatement process will begin.

The pass date noted on the cover of the inspection booklet is the calendar date of the inspection.

**VIII. Change Chapter 12, page 5 add numbered item 11:**

11. Income cannot reasonably be anticipated for a full year and current income is annualized (subsequently conduct an interim re-examination if income changes).

**IX. Change Chapter 15, page 3:**

Clarify type of felony

21 h. Be a felon convicted of illegal drug or violent criminal activity.

**X. Change Chapter 23:**

Remove chapter and place description of Project Based Vouchers in Chapter 21, Special Programs, page 3.

**XI. Change Chapter 24, page 1 add() to number 3:**

3. The household must meet each of the following guidelines:

- Must be a DCA program participant and have received rental assistance for the past two years. (Administered Portability families are NOT eligible)



**RESOLUTION BY THE BOARD OF THE  
GEORGIA DEPARTMENT OF COMMUNITY AFFAIRS**

**WHEREAS**, Congress did enact the Quality Housing and Work Responsibility Act of 1998 that requires that the DCA adopt an Annual Plan for fiscal year 2010 to continue receiving funding for the Housing Choice Voucher Program; and

**WHEREAS**, the Housing Choice Voucher Program has followed the requirements prescribed by HUD in relation to the Quality Housing and Work Responsibility Act of 1998. Such requirements included the creation of a Tenant Advisory Board, preparation of a draft plan 45 days in advance for public review and conducting an open public meeting on March 12, 2010 for public comment.

**NOW THEREFORE, LET IT BE RESOLVED AS FOLLOWS:**

1. The Board of the Georgia Department of Community Affairs does adopt the Annual Plan at the April 7, 2010 Board Meeting for the Housing Choice Voucher Rental Housing Assistance Program. A summary copy of the Plan is attached as "Exhibit A."
2. The Chairman, Vice Chairman or Secretary may execute this Resolution.

This Resolution is hereby adopted this 7th day of April 2010.



\_\_\_\_\_  
Bebe Heiskell  
Secretary





# Administrative Plan Changes Summary 2010

## **I. Foreword, pages 1 and 2:**

### **A. Organizational Set Up**

Corrected RAD staff listing

Changed number of Regional Offices from five to six

### **B. Description of Programs Offered**

Removed Disaster Housing Assistance Program

Added Veterans Administration Supportive Housing and Money Follows the Person

## **II. Change web address Chapter 1, page 8:**

3. DCA makes available a database of interested landlords available for the Housing Choice Voucher Program on a web site located at:  
<http://www.georgiahousingsearch.org>

## **III. Change Chapter 1, page 9, M. File Retention:**

Update the section to reflect RAD's use of Scanning, Electronic images and TRIM database

## **IV. Change Chapter 2, page 1:**

3<sup>rd</sup> bullet, remove "age 6 or older who have been issued one" to comply with recent changes in Social Security Card requirements.

## **V. Change Chapter 2, page 2 and Chapter 6, page 10:**

Change *Joint Custody of Children* to *Custody of Children* and add:

Proof of custody is required for all minors that are not children of the head of household or another household member

## **VI. Change Chapter 6, page 6:**

Add

17. Relative/Kinship Care Subsidy (Payments paid to a caretaker when a minor is placed in their custody.)



**VII. Change Chapter 11, page 4:**

Add under number 8.

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GEORGIA DEPARTMENT OF  
**COMMUNITY AFFAIRS**

RENTAL ASSISTANCE DIVISION - TUCKER

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Mike Beatty  
COMMISSIONER

Sonny Perdue  
GOVERNOR

February 12, 2010

Wahweesa Stovall  
573 Epps Bridge  
Apt #1  
Athens, GA 30606

Dear Wahweesa Stovall:

As a participant of the Housing Choice Voucher Program Advisory Board, I would like to update you on the projects the Department of Community Affairs (DCA) Housing Choice Voucher Program (HCV) has been working on in this past year. The Rental Assistance Division has been busy finding ways to expand housing opportunities throughout the State of Georgia.

The Department has submitted a request to for additional vouchers that will enable non-elderly disabled families to access affordable housing. The Money Follows the Person Project seeks to transition persons out of nursing homes and other healthcare institutions to community-based placement options.

The Disaster Housing Assistance Program for those displaced by Hurricanes Katrina, Rita and Ike has been phasing out since last fall. Eligible families have been issued Housing Choice Vouchers and are currently receiving rental assistance.

It now is the time of year when we ask for your help. Please let us know what types of programs or policies you would like the Department of Community Affairs to incorporate. Your participation is vital for the continued success of the statewide Housing Choice Voucher Program Rental Assistance Program.

To access our current Administrative plan, please visit our website: [www.dca.ga.gov](http://www.dca.ga.gov) and click on the rental assistance link.

Please send any comments on this Plan to our Tucker Office by March 12, 2010. If you have any questions or concerns, you may speak with me at (770) 414-3187 or (866) 506-0949. Thank you for your participation.

Sincerely,

Brandie Garner  
Regional Administrator

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Mike Beatty  
COMMISSIONER

Sonny Perdue  
GOVERNOR

February 12, 2010

Sharita Hamer  
100 Mint Place  
Athens, GA 30606

Dear Sharita Hamer:

As a participant of the Housing Choice Voucher Program Advisory Board, I would like to update you on the projects the Department of Community Affairs (DCA) Housing Choice Voucher Program (HCV) has been working on in this past year. The Rental Assistance Division has been busy finding ways to expand housing opportunities throughout the State of Georgia.

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Mike Beatty  
COMMISSIONER

Sonny Perdue  
GOVERNOR

February 12, 2010

Olivia Johnson  
115 Austin Avenue  
Apt #302  
Warner Robins, GA 31088

Dear Olivia Johnson:

As a participant of the Housing Choice Voucher Program Advisory Board, I would like to update you on the projects the Department of Community Affairs (DCA) Housing Choice Voucher Program (HCV) has been working on in this past year. The Rental Assistance Division has been busy finding ways to expand housing opportunities throughout the State of Georgia.

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Regional Administrator

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Mike Beatty  
COMMISSIONER

Sonny Perdue  
GOVERNOR

February 12, 2010

Paul Corriea  
473 Old Evans Road  
Apt #901  
Martinez, GA 30907

Dear Paul Corriea:

As a participant of the Housing Choice Voucher Program Advisory Board, I would like to update you on the projects the Department of Community Affairs (DCA) Housing Choice Voucher Program (HCV) has been working on in this past year. The Rental Assistance Division has been busy finding ways to expand housing opportunities throughout the State of Georgia.

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Regional Administrator

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Mike Beatty  
COMMISSIONER

Sonny Perdue  
GOVERNOR

February 12, 2010

Tanisha Riles  
326 Pinecrest Drive  
Douglas, GA 31533

Dear Tanisha Riles:

As a participant of the Housing Choice Voucher Program Advisory Board, I would like to update you on the projects the Department of Community Affairs (DCA) Housing Choice Voucher Program (HCV) has been working on in this past year. The Rental Assistance Division has been busy finding ways to expand housing opportunities throughout the State of Georgia.

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Brandie Garner  
Regional Administrator

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Mike Beatty  
COMMISSIONER

Sonny Perdue  
GOVERNOR

February 12, 2010

Janet Kocur  
812 E. Waring Street  
Apt #22  
Waycross, GA 31501

Dear Janet Kocur:

As a participant of the Housing Choice Voucher Program Advisory Board, I would like to update you on the projects the Department of Community Affairs (DCA) Housing Choice Voucher Program (HCV) has been working on in this past year. The Rental Assistance Division has been busy finding ways to expand housing opportunities throughout the State of Georgia.

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Regional Administrator

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Mike Beatty  
COMMISSIONER

Sonny Perdue  
GOVERNOR

February 12, 2010

Alvin Hall  
1325 East 3rd Avenue  
Albany , GA 31705

Dear Alvin Hall:

As a participant of the Housing Choice Voucher Program Advisory Board, I would like to update you on the projects the Department of Community Affairs (DCA) Housing Choice Voucher Program (HCV) has been working on in this past year. The Rental Assistance Division has been busy finding ways to expand housing opportunities throughout the State of Georgia.

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Sincerely,

Brandie Garner  
Regional Administrator

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Mike Beatty  
COMMISSIONER

Sonny Perdue  
GOVERNOR

February 12, 2010

Mary Allen  
1601 Radium Springs  
Apt 33  
Albany , GA 31705

Dear Mary Allen:

As a participant of the Housing Choice Voucher Program Advisory Board, I would like to update you on the projects the Department of Community Affairs (DCA) Housing Choice Voucher Program (HCV) has been working on in this past year. The Rental Assistance Division has been busy finding ways to expand housing opportunities throughout the State of Georgia.

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Sincerely,

Brandie Garner  
Regional Administrator

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Mike Beatty  
COMMISSIONER

Sonny Perdue  
GOVERNOR

February 12, 2010

Melba James  
7140 Crystal Creek  
Douglasville, GA 30134

Dear Melba James:

As a participant of the Housing Choice Voucher Program Advisory Board, I would like to update you on the projects the Department of Community Affairs (DCA) Housing Choice Voucher Program (HCV) has been working on in this past year. The Rental Assistance Division has been busy finding ways to expand housing opportunities throughout the State of Georgia.

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Sincerely,

Brandie Garner  
Regional Administrator

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Mike Beatty  
COMMISSIONER

Sonny Perdue  
GOVERNOR

February 12, 2010

Kristie Walker  
257 White Flower Circle  
Villa Rica, GA 30180

Dear Kristie Walker:

As a participant of the Housing Choice Voucher Program Advisory Board, I would like to update you on the projects the Department of Community Affairs (DCA) Housing Choice Voucher Program (HCV) has been working on in this past year. The Rental Assistance Division has been busy finding ways to expand housing opportunities throughout the State of Georgia.

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Sincerely,

Brandie Garner  
Regional Administrator

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Mike Beatty  
COMMISSIONER

Sonny Perdue  
GOVERNOR

February 22, 2010

Barbara Green  
411 Noble St NW  
Apt #18  
Thomson, GA 30824

Dear Barbara Green:

As a participant of the Housing Choice Voucher Program Advisory Board, I would like to update you on the projects the Department of Community Affairs (DCA) Housing Choice Voucher Program (HCV) has been working on in this past year. The Rental Assistance Division has been busy finding ways to expand housing opportunities throughout the State of Georgia.

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Sincerely,

Brandie Garner  
Regional Administrator

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A Public Hearing will be held today, **Friday, March 12, 2010** at the Department of Community Affairs for the Housing Choice Voucher Program. The purpose of this hearing is to be in compliance with applicable U.S. Department of Housing and Urban Development (HUD) regulations established by the Quality Housing and Work Responsibility Act of 1998. The DCA is updating the Annual Plan FY2009 as a prerequisite to receiving federal funds for the Housing Choice Voucher Program. The Annual Plan provides detail about DCA's immediate operations, program participants, programs, services, and addresses the needs of the communities we serve for the upcoming fiscal year.

My name is **Brandie Garner** and I am here as a representative from DCAs Rental Assistance Program.

I would like to give a brief description of the Housing Choice Voucher Program for those who may not be very familiar with the program. The Housing Choice Voucher Program is a tenant-based assistance program that assists extremely low and very low-income individuals and families rent safe, decent, and sanitary dwelling units in the private rental market. The program was created by the Housing and Community Development Act of 1974 and is funded by the United States Department of Housing and Urban Development (HUD).

The goals of the Housing Choice Voucher Program are to:

- Provide improved living conditions for low income persons while maintaining their rent payments at an affordable level

- Promote freedom of housing choice

- Provide decent, safe, and sanitary housing for eligible participants; and

- Provide an incentive to private property owners to rent to lower income persons by offering timely subsidy assistance payments.

The Department of Community Affairs provides the subsidy payment directly to the landlord on behalf of the program participant. The amount of the subsidy is based primarily upon the participant's income. This program is administered by DCA in 149 of Georgia's 159 counties by five regional offices located around the State. The regional offices are located in Albany, Athens, Carrollton, Eastman, Waycross and Tucker. The remaining 10 counties are served by their local housing authority.

The Housing Choice Voucher Program is funded totally by federal funds from the U.S. Department of Housing and Urban Development. No State appropriations are used in the administration of this program. Currently, the Program serves over 16,000 Georgia families and processes over 8,000 rental assistance payments per month. Through the participation of tenants and landlords in this program, over \$100 million dollars in federal funds are added to Georgia's economy each year.



The implementation process for the Housing Choice Voucher Program operates by providing direct subsidy rent payments to qualified landlords for tenants participating in the Program. The participant contributes approximately 30 percent of the family's adjusted income toward their portion of the rental payment. The landlord's subsidy is provided monthly by the Department of Community Affairs and normally consists of the difference between the gross rent, which includes an allowance for tenant paid utilities, and 30 percent of the tenant's adjusted income.

All units are inspected by the Department of Community Affairs to ensure that they meet with the minimum standards that are outlined by the federal government called Housing Quality Standards.

DCA's one year plan goal is to maintain our allocated ACC at a 96% or more.

Now that I have given a brief description of the Housing Choice Voucher Program, I would like to outline the purpose of this hearing. This hearing is being held to take any questions related to this plan. The plan with all the supporting documents has been on display for public review from me at this office and all of our regional offices. In addition you may view the plan on our website [www.dca.ga.gov](http://www.dca.ga.gov)

According to regulation, DCA did convene a Resident Advisory Board. There are two members representing each regional office for a total of ten members. No comments were received from the Resident Advisory Board nor were there any comments from the general public.

At this time I will take any questions:

**NO QUESTIONS WERE ASKED**

If there are no further questions, I will call a close to this hearing at 10:15am