



City of Phoenix

HOUSING DEPARTMENT

2010-2014 5-Year and 2010-2011 Annual Agency Plan
April 15, 2010

5.2 Goals and Objectives. *Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.*

Goal: Promote decent affordable housing.

- Apply for all financially feasible opportunities to increase the overall size of the Housing Choice Voucher program.
- Study the potential of including public housing as a part of the design and development of the Columbus property.
- Improve the quality and long-term viability of the Housing Department's current public housing properties by considering alternative funding strategies such as an optional conversion to Housing Choice Vouchers, Low-Income Housing Tax Credit overlays (?), and project rehabilitation, or a combination of several strategies.
- Strive to return all public housing properties to Public Housing Assessment System (PHAS) "High Performer" status.
- Continue to earn "High Performer" status for the Housing Choice Voucher program based on Section Eight Management Assessment Program (SEMAP).

Goal: Strengthen communities.

- Explore potential for HOPE VI grants or successive similar programs each year with emphasis on the East Asset Management Project (AMP), AZ001000003.
- As opportunities arise, purchase or acquire suitable units to add to the affordable housing portfolio.
- Construct or acquire facility to serve and house 50 chronically homeless individuals using a variety of funding sources to ensure sustainability.
- Investigate the viability of project-basing 5 Veterans Affairs Supportive Housing (VASH) vouchers for homeless veterans with families.
- Consider the possibility of project-basing Family Unification Program vouchers.
- Develop mixed income communities outside the downtown Phoenix core.
- Increase the number of partnerships with agencies who serve populations affected by the Violence Against Women Act.
- Work with partners to increase services to improve participant employability.
- Coordinate partner efforts to increase independence for the elderly and persons with disabilities.

Goal: Increase homeownership opportunities.

- Sell 50 Scattered Sites homes to participants in assisted housing programs.
- Amend the Section 32 plan to include a broader eligible target population as potential homeowners.
- Coordinate the availability of down payment assistance between the various homeownership programs offered by the City of Phoenix Housing Department.
- Ensure that all homeownership training classes offer education about avoiding predatory lending practices.

Goal: Ensure equal opportunity in housing.

	<ul style="list-style-type: none"> • Consider site-based waiting lists for all public housing properties. • Offer information about Fair Housing to all assisted housing applicants and potential homeowners. • Ensure that all public housing properties meet minimum ADA requirements. • Consider universal design concepts and visitability standards when building or significantly renovating new public housing complexes.
<p style="text-align: center;">6.0</p>	<p>PHA Plan Update <i>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</i></p> <p>1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.</p> <ul style="list-style-type: none"> • Selection and admission • Preferences for both public housing and HCV • Unit assignment policies for public housing; Procedures for maintaining waiting lists • Site-based waiting lists • Deconcentration. <p>Site-based Waiting Lists:</p> <ul style="list-style-type: none"> • Mixed Finance Properties <p>In connection with its HOPE VI and Mixed-Finance communities, the Housing Department will expand the use of site-based waiting lists and will reflect this in its public housing Admissions and Continued Occupancy Policies (ACOP) as appropriate.</p> <p>McCarty on Monroe began its site-based waiting list in 2009. Applications may be submitted at the site, 1130 E. Monroe, Phoenix, AZ 85034.</p> <p>When the 2007 HOPE VI property is revitalized, a site-based waiting list will be implemented. Until the on-site rental office is opened, applications will be taken at the Henson Village Rental Office, 840 W. Tonto, Phoenix, AZ .85007.</p> <p>After disposition occurs, a site-based waiting list will be established for Marcos de Niza. This may occur during the next fiscal year. Applications will be submitted at the site, 305 W. Pima, and/or at the Applications and Information Office, 830 E. Jefferson.</p> <p>Should the Housing Department be awarded a 9% tax credits through the 2010 Low Income Housing Tax Credit program, a site-based waiting list will be established for a portion of the East AMP, AZ001000003.</p>

- **Public Housing**

As an Asset Management Project adjustment, the Housing Department may consider site-based waiting lists at all its properties. Should this occur, the Housing Department will fulfill all HUD requirements to ensure that all applicants are treated fairly and equitably.

- **Project-based Housing Choice Vouchers**

The Housing Department is considering starting a site-based waiting list in fall 2010 for the Section 8 project-based site, Sunrise Vista Apartments. Since 2005, The Housing Department has been utilizing the existing Section 8 Waitlist to offer housing as units become vacant. As of April 1, 2010 we have approximately 1,300 remaining, which we have determined will be exhausted by the end of the summer of 2010.

Once we have exhausted the applicant pool, the Housing Department plans to open a site-based waitlist for Sunrise Vista. The regular Housing Choice Voucher Program will remain closed.

The Housing Department will follow the guidelines for opening the waitlist as outlined in the Administrative Plan in Section 4.1 which states:

When the Housing Department opens the waitlist, the Housing Department will give public notice that families may apply for tenant-based assistance. The public notice will state how and when to apply. The notice will be given by publication in a local newspaper of general circulation, and also by minority media and other suitable means. The notice will comply with HUD fair housing requirements and will state any limitations on who may apply for available slots in the program.

The public notice will include criteria defining what families may apply. The Housing Department may adopt criteria defining what families may apply for assistance under a public notice.

Applicants will be able to complete a pre-application in a variety of ways. They can apply in the following ways:

- On-site at Sunrise Vista Apartments at 4415 S. 28th St. Phoenix, AZ 85040
- Online application that can be found at <https://www.waitlistcheck.com/application/form.php?ID=2054-AZ001>
- In-person at the Housing Department Applications and Information Office at 830 E. Jefferson St. Phoenix, AZ 85034

Preferences and eligibility will remain the same as the Section 8 Housing Choice Voucher Program as described in the Administrative Plan in Chapter 5.

The Housing Department will also consider site-based waiting lists for project-based vouchers at Marcos de Niza.

Admissions to Section 8 Housing Choice Vouchers Special Programs:

When HUD awards funding that is targeted for families with specific characteristics or families living in specific units, the Housing Department continues to admit these families under a Special Admission procedure. Special admission families can be admitted outside of the regular waitlist process per the particular eligibility requirements of HUD-designated Special Programs.

The Housing Department currently administers the Housing Opportunities for People With Aids (HOPWA,) Mainstream, Mod. Rehab./ Single Room Occupancy, HUD-Veteran's Affairs Supportive Housing (VASH) and Family Unification Program. Applicants under these special programs will be admitted under HUD-targeted special admission characteristics and eligibility requirements.

Section 8 HCV Preference Change:

Additionally, a local preference for the Section 8 Housing Choice Voucher program will be changed. (Refer to Section 5 (B) (2) in the city of Phoenix Housing Department's Section 8 Administrative Plan, revision dated July 1, 2010.)

The city of Phoenix Housing Department operates a number of programs which serve special populations, special needs or which were designed for special purposes. For these populations and programs, applicants are generated by referral from various community organizations or divisions of local government which are under a Memorandum of Understanding (MOU), Memorandum of Agreement (MOA), or a Contract with the Housing Department in accordance with program regulations.

Referred families who meet program requirements are added to the waiting list and are provided a local preference of Priority 2. ((Refer to Section 5 (B) (2) in the city of Phoenix Housing Department's Section 8 Administrative Plan, revision dated July 1, 2010.)

The previous Priority 2 preference will be changed and will now read:

Families that have been referred from an organization or division of local government with a specific program Memorandum of Understand (MOU), Memorandum of Agreement, (MOA), or contract with the Housing Department

2. Financial Resources.

See table in Attachment 6.2.

3. Rent Determination.

A comparison of market rate rents in Phoenix shows that our public housing flat rents are comparable to current rates. There may be a realignment of the areas covered by flat rents, but they will remain essentially unchanged.

The payment standard for Section 8 Housing Choice Vouchers will be between 95%

and 100% of Fair Market Value, depending on market conditions.

4. Operation and Management.

No changes.

5. Grievance Procedures.

No changes.

6. Designated Housing for Elderly and Disabled Families.

If the Housing Department is awarded 9% tax credits through the Low Income Housing Tax Credit program, senior/disabled designation will be sought for up to 60 units at Frank Luke Addition, a portion of the East AMP, AZ001000003. The application process may begin sometime during the 2010/2011 fiscal year.

7. Community Service and Self-Sufficiency.

The Housing Department offers a number of services to residents of public housing to improve resident self-sufficiency. These include employment and training programs, teen programs, educational programs and Neighborhood Network Centers.

The Family Self Sufficiency program is available to residents of public and assisted housing. The FSS Action Plan has been (or will be) revised to allow for 80 slots for public housing families.

Case management is offered to all public housing residents impacted by HOPE VI developments.

All residents of public housing and Section 8 New Construction senior/disabled sites continued to receive services provided by service coordinators who coordinate information and referrals. Special activities are planned to enhance independent living including transportation to nearby grocery stores. These sites also have mini computer labs available for resident use.

The HOPE VI Community and Supportive Services and the Department's Economic Initiatives programs work together to ensure that residents receive the training necessary to participate in Section 3 employment opportunities with special efforts toward "green" jobs.

(3) How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements.

No changes.

8. Safety and Crime Prevention.

As with almost all people, public housing residents are concerned about the safety of where they live; however, compared to the areas surrounding public housing, there is a relatively low crime rate within the actual communities.

Residents of all public housing neighborhoods are encouraged to participate in Block Watch and other citizen watch programs for the betterment of their community. Additionally, each site is certified as a multi-family crime free property. As part of the certification process, periodic educational events are held to ensure that residents know the most effective measures to take to ensure their physical and financial safety.

The City of Phoenix Housing Department works closely with the Police Department to ensure the safety of residents in all public housing programs. This includes frequent contact with the officers assigned to the family public housing communities, agreements with the Police Department to provide information regarding “call outs” to all public housing properties, including scattered site housing.

9. Pets.

No changes.

10. Civil Rights Certification. .

Form is attached.

11. Fiscal Year Audit.

See Attachment 6.11.

12. Asset Management.

The Phoenix Housing Department is carrying out its asset management functions by funding, budgeting and accounting at the AMP level for its properties. Property management is also performed at the AMP level, with most staff assigned to a single AMP and others shared between AMPs. Each AMP is individually monitored and its performance is regularly assessed to quickly identify problems and opportunities for change. The department’s computerized business system is designed to support these management functions, and the City of Phoenix financial system also supports them.

Cost-saving efficiencies are being implemented for non-performing properties, and a new Energy Performance Contract will also help in this regard by lowering utility costs. Newer properties, such as the Matthew Henson/HOPE VI-grant funded properties, are constructed to a much higher standard of energy efficiency.

The department has recently completed a Capital Investment Strategy (CIS) for its properties, with the help of a consultant. As a result, the department now has

updated Capital Needs Assessment information for each facility, including capital reserve needs and recommendations for property rehabilitation, and appropriate funding mechanisms have been identified. Six properties are currently undergoing major rehabilitation, with two more properties undergoing ARRA-funded rehabilitation. Additional study is underway to determine whether any properties should be disposed of in the near future.

13. Violence Against Women Act (VAWA).

The City of Phoenix Housing Department

- Has implemented policies and procedures that will enable us to address the needs of all victims of domestic violence, dating violence, sexual assault or stalking.
- All assisted housing program participants are advised of their rights under VAWA at the application stage, in the move-in packets and at all recertifications.
- The Housing Department does not terminate tenancy of a tenant victim due to actual or threatened domestic violence, dating violence, sexual assault or stalking against the tenant or an immediate family member of the tenant. The Housing Department will request that the tenant complete a certification.
- The Housing Department may take other actions to ensure the safety of the tenant victim, other residents, and employees. These may include, but are not limited to, bifurcation of a family's lease to evict a household member who is the perpetrator of domestic violence; honoring court orders which may limit a tenant perpetrator's access to the unit or property; and, evicting the tenant victim if the Housing Department can demonstrate an actual and imminent threat to the immediate housing community or staff.
- All information provided to the Housing Department by a participating family regarding VAWA certification, including the tenant's status as a victim, is confidential. The exceptions to confidentiality are tenant authorization to release and/or use information; is required by law; or is needed for eviction proceedings.
- Has provided training to staff regarding VAWA and the Department's policies and procedures that pertain to VAWA.
- To ensure that landlords are aware of participant rights under VAWA, all landlords are provided with a copy of VAWA in the RFTA packet. It is also available on the web site at http://phoenix.gov/ftpalias/HOUSING/s8_rfta.pdf.
- Current and potential landlords are kept abreast of VAWA issues via the landlord outreach listserv.
- Invites police, advocacy organizations, and other programs that may assist victims based on the definitions in VAWA to make periodic presentations throughout the various housing communities and programs.
- May refer victims affected by VAWA to appropriate agencies including domestic violence shelters, victim services programs and the City of Phoenix Family Advocacy Center. Families in crisis are referred to the police and/or to the Magellan Crisis Hotline.

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

	<p>The Annual Plan can be found on the internet at www.phoenix.gov/housing.</p> <p>Physical locations where the Annual Plan can be found include:</p> <ul style="list-style-type: none"> • All rental offices • City of Phoenix Housing Department, 251 W. Washington, 4th Floor, Phoenix, AZ, 85003 • Section 8 Housing Choice Voucher office located at 830 E. Jefferson, Phoenix, AZ 85034
<p>7.0</p>	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p>HOPE VI</p> <p>The Housing Department’s 2001 HOPE VI grant is nearing completion and final documents are expected to be submitted to HUD in early 2010. The fourth and final rental phase was completed in the Summer 2008. Due to the current housing market, the final homeownership phase is being modified. The revised plan for Phase V, which is currently under development, will likely offer down payment assistance to 62 low income, first time homebuyers who purchase a new home within a 10 mile radius of the Henson Village HOPE VI site. The Housing Department is currently identifying partners for this endeavor.</p> <p>A 2007 HOPE VI grant was awarded to the city of Phoenix and activities pursuant to the approved application are underway. The disposition application was approved in April 2009, and the demolition application was approved in July 2009. All residents have been relocated. The Revitalization Plan has been approved and site preparation activities are in progress.</p> <p>If 2010 funding is available for HOPE VI or a successor program, the Housing Department will consider applying for portions of the East AMP, AZ001000003.</p> <p>The Housing Department does anticipate, however, proposing to HUD a Mixed-Finance (Subpart F) transaction using, in part, the Capital Fund Recovery Competition (CFRC) funds awarded in September, 2009 for renovation of the Marcos de Niza development including green, energy-efficient retrofits. This Mixed-Finance proposal would likely still be under HUD review at the beginning of the plan year (7/1/2010). The CFRC funds must be obligated by September, 2010 and therefore the transaction must close prior to that date. Capital Funds will be used to supplement the sources of financing, along with Low-Income Housing Tax Credits (LIHTC) and other sources.</p> <p>Other Mixed-Finance transactions may be proposed later in the Plan year commensurate with Housing Department Capital Investment Strategy it commissioned during 2008 and accepted in 2009. Financing may include use of regular Capital Funds and/or HUD’s Capital Fund Financing Program (CFFP). CFFP involves borrowing against future flow of annual Capital Funds.</p> <p>Mixed Finance</p>

McCarty on Monroe is a mixed finance development consisting of 69 one and two bedroom apartments for elderly only. This development involved the demolition of the 24 unit McCarty Apartment public housing development. The new building has been constructed on the McCarty site and the adjacent parcel that was owned by the city of Phoenix. Ten additional units of public housing have been added to this property bringing the total number of public housing apartments to 34. The facility will consist of 34 one and two bedroom public housing apartments and 35 apartments for individuals with incomes from 40% of AMI to 60% of AMI. Construction was completed on July 30 and full lease occurred on November 30, 2009. Financing of this property included Low Income Housing Tax Credits, replacement funds, GO bonds and a loan.

One of the competitive Capital Fund grants was awarded to the Housing Department for the Marcos de Niza complex. As part of the application it was indicated that the grant funds would be leveraged by transferring ownership of the development to an instrumentality of the City which would then apply for Low Income Housing Tax Credits.

If the Housing Department is awarded 9% tax credits through the 2010 Low Income Housing Tax Credit program, the 138 units of the East AMP that are called Frank Luke Addition will be demolished and a new senior/disabled building will be built.

The Housing Department plans to develop a building solely for homeless veterans. It may include up to 75 public housing units in the unit mix.

Should other mixed finance opportunities prove feasible, the Department may choose to pursue the development of additional mixed finance communities.

Demolition and/or Disposition

As part of the 2007 HOPE VI project, a disposition application for a portion of the Marcos de Niza AMP, AZ001000002, was approved in April 2009. The demolition application for Krohn West, which is a portion of the Marcos de Niza AMP, was approved in July 2009. All residents have been relocated from the Krohn West property. Approval of the Revitalization Plan occurred in July 2009, and site preparation activities are in progress.

A disposition application was submitted to HUD for the Marcos de Niza AMP, AZ001000002, on January 7, 2010. The disposition will transfer ownership of the property to an instrumentality of the City so additional funds can be leveraged to renovate the property. This is expected to occur in summer/fall 2010.

One or more disposition applications may be submitted to HUD for a portion of the East AMP, AZ001000003, at some time during the 2010/2011 fiscal year.

Conversion of Public Housing

The Housing Department will explore the possibility of voluntarily converting one or more of its public housing sites to Section 8 Housing Choice Vouchers.

Items the Department will use to evaluate voluntary conversion may include:

- Resident input.

- A cost analysis which compares the cost of providing Section 8 voucher assistance with the cost of continuing to operate the property as public housing.
- The market value of the development “as is” and after rehabilitation.
- An appraisal of the property.
- An analysis of rental market conditions of the area which will include the success rates of using vouchers in the community and characteristics of the public housing residents that may be affected.
- An analysis of the impact of the conversion on the affordability of housing in the neighborhood, the impact on deconcentration of poverty and any other substantial impacts of the conversion.
- A general plan for the future use of the development.

Homeownership Programs

The section 32 public housing homeownership program has been approved and implemented, with 50 single family dwellings identified as “for sale” through the program. Four homes have been sold.

Due to the recent housing market, the section 8(y) homeownership program had not been utilized; however, with the current market, it is a possibility that the Housing Department will activate the program.

Both programs require families to complete a number of steps prior to purchasing a home. These include:

- Homeownership Training: This 16 hour class provides families with the basics of owning a home including renting vs. owning, predatory lending, mortgage terms 101 and basic credit repair.
- Financial Literacy Training: Families may choose a financial literacy program that meets their needs; however, our basic expectations are that a family budget would be established upon completion.
- Maintenance classes: Each family should be aware of the many responsibilities of owning a home including the potential for minor (and sometimes major) repairs. Potential homeowners must complete two maintenance classes.
- One-on-one homeownership counseling: A HUD-approved counseling agency reviews the family’s credit, makes suggestions for improvement, and prepares the family to successfully apply and obtain a mortgage.

Once the families have completed these steps, the family may purchase a home.

Please note: The project numbers listed in the last Agency Plan are now invalid. The fifty “for sale” units are located in Asset Management Project (AMP) number, AZ001000008.

Project-based Vouchers

The Housing Department has implemented a project-based assistance program to increase affordable housing opportunities for low-income families. 48 of the 5,313 vouchers under Annual Contributions Contract have been project-based.

	<p>General locations considered were areas of the City of Phoenix identified as Enterprise Communities, Redevelopment Areas or Neighborhood Initiative Areas. Eligible housing was limited to existing multi-housing apartment communities offering a variety of bedroom sizes (1 – 3 bedrooms).</p> <p>A portion of the Marcos de Niza AMP, AZ001000002, will be considered for project-based vouchers.</p> <p>In addition, a small number of Veterans Affairs Supportive Housing (VASH) vouchers may be project-based as part of a development to serve homeless veterans.</p> <p>Project-basing five VASH vouchers for families and some Family Unification Program (FUP) for qualifying families will also be considered.</p>
<p>8.0</p>	<p>Capital Improvements. <i>Please complete Parts 8.1 through 8.3, as applicable.</i></p>
<p>8.1</p>	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. <i>As part of the PHA 5-Year and Annual Plan, annually complete and submit the Capital Fund Program Annual Statement/Performance and Evaluation Report, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</i></p> <p>See Attachment 11h.</p>
<p>8.2</p>	<p>Capital Fund Program Five-Year Action Plan. <i>As part of the submission of the Annual Plan, PHAs must complete and submit the Capital Fund Program Five-Year Action Plan, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</i></p> <p>See Attachment 11i.</p>
<p>8.3</p>	<p>Capital Fund Financing Program (CFFP). <input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> <p>A fundamental tool is HUD’s Capital Fund Financing Program (CFFP), which would allow PHD to borrow against future Capital Funds by devoting a significant portion of its annual Capital Funds grants and Replacement Housing Factor funds to debt service.</p> <p>CFFP loan proceeds can be structured as collateral on tax-exempt debt that in turn triggers non-competitive 4% Low-Income Housing Tax Credits.</p> <p>In its capital planning process, PHD has anticipated that a significant portion of its annual Capital Funds and Replacement Housing Factor funds will be devoted to servicing a 20-year CFFP loan earmarked for such development purposes.</p> <p>The City of Phoenix Housing Department may consider using CFFP for part of the Marcos de Niza ARRA project and/or new construction of 50-80 units for homeless individuals.</p>

	<p>The City of Phoenix Housing Department may also consider using its regular Capital Fund Program funds as the source of a loan that would be part of the total funding for the Marcos de Niza ARRA project.</p>
<p>9.0</p>	<p>Housing Needs. <i>Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</i></p> <p>See Attachment 9.0.</p>
<p>9.1</p>	<p>Strategy for Addressing Housing Needs. <i>Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year.</i></p> <p>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>See Attachment 9.0.</p>

<p>10.0</p>	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) <i>Progress in Meeting Mission and Goals.</i> Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>See Attachment 10a.</p> <p>(b) <i>Significant Amendment and Substantial Deviation/Modification.</i> Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p style="text-align: center;">Definition of Substantial Deviation and Significant Modification</p> <p>Substantial Deviation from the 5-Year Plan Any collective change to the 5-Year Plan which impacts and prevents implementation of the planned or actual use of federal funds for identified activities, and would prohibit or redirect the Housing Department's strategic goals of increasing the availability of decent, safe and affordable housing while promoting self-sufficiency and asset development of families and individuals.</p> <p>Any single or collective change in the planned or actual use of federal funds as identified in the Five-year plan that exceeds 25% of the City of Phoenix's annual program budget for section 8 Housing Choice Voucher or public housing activities.</p> <p>Significant Amendment or Modification to the Annual Plan Changes of a sufficient nature to the rent or admissions policies, or the organization of the waiting list not required by federal regulatory requirements which would result in a change to the Annual Agency Plan, unless the change is a result of a circumstance identified by HUD for special intervention.</p> <p>Any change in the planned or use of replacement reserve funds under the Capital Fund that exceeds 25% of the City of Phoenix's annual budget.</p> <p>Changes to the Housing Department's plans effecting the demolition or disposition of public housing, designation of senior or disabled housing, any homeownership program, and a plan to convert public housing units to other than assisted housing.</p>
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<p>11.0</p>	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) <i>Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations (which includes all certifications relating to Civil Rights)</i></p>
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See Attachment 11a.

- (b) *Form HUD-50070, Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*

See Attachment 11b.

- (c) *Form HUD-50071, Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*

See Attachment 11c.

- (d) *Form SF-LLL, Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*

See Attachment 11d.

- (e) *Form SF-LLL-A, Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*

NOT APPLICABLE

- (f) *Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.*

See Attachment 11f.

- (g) *Challenged Elements*

There were no challenged elements.

- (h) *Form HUD-50075.1, Capital Fund Program Annual Statement/Performance and Evaluation Report (PHAs receiving CFP grants only)*

See Attachment 11h.

- (i) *Form HUD-50075.2, Capital Fund Program Five-Year Action Plan (PHAs receiving CFP grants only)*

See Attachment 11i.

Attachment 6.2
Financial Resources

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants		
a) Public Housing Operating Fund	10,021,700	
b) Public Housing Capital Fund	3,746,200	
c) HOPE VI Revitalization	10,965,300	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	45,823,900	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	0	
g) Resident Opportunity and Self-Sufficiency Grants	365,500	
h) Community Development Block Grant	1,146,375	Increase the number of affordable housing units
i) HOME	6,390,028	Increase the number of affordable housing units
Other Federal Grants (list below)		
HOPWA	1,495,000	Transitional and permanent housing for persons with AIDS
Proceeds from Sale of Scattered Site Public Housing Properties	1,850,000	To be used in mixed finance/mixed income housing development projects
2. Prior Year Federal Grants (unobligated funds only) (list below)		
CDBG Prior Year Grants	400,000	Increase the number of affordable housing units
HOME Prior Year Grants	10,000,000	Increase the number of affordable housing units
3. Public Housing Dwelling Rental Income		
Conventional Housing	6,278,600	Operation and maintenance of public housing
Sunnyslope/Fillmore	717,450	Operation and maintenance of Section 8 New Construction properties
4. Other income (list below)		
Affordable Housing	1,600,000	Operation and maintenance of affordable housing
General Fund	54,000	City funds to reserve affordable housing units for domestic violence victims
Neighborhood Stabilization Program	12,067,500	Funding from the Housing and Economic Recover Act (HERA) of 2008 to address abandoned and foreclosed properties
ARRA CFP Formula	4,000,000	Funding from the American Recovery and Reinvestment Act of 2009 (ARRA) to improve the physical condition of Public Housing properties

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
ARRA CFP Competitive	3,408,000	Funding from ARRA to utilize green materials and technology to create Public Housing that conserves energy and promotes healthier lifestyles
5. Non-federal sources (list below)		
General Obligation Bonds	15,000,000	Increase the number of affordable housing units
Total resources	135,329,553	

Attachment 6.11
Audit Findings

<u>Federal Grantor/Pass- Through Grantor Program Title/CFDA Number</u>	<u>Finding and Recommendation</u>	<u>Questioned Cost</u>	<u>Corrective Action</u>
<p>2008-04 Community Development Block Grant, US Department of Housing and Urban Development (CFDA No. 14.218)</p>	<p>Condition: The City did not submit the SF-272 <i>Federal Cash Transactions Report</i>, as required, for three of the four quarters that we tested. SF-272 reports are due 15 days after the end of the quarter, three of the four reports were submitted over a month after the due date.</p> <p>Effect: The Department of Housing and Urban Development does not receive timely financial information.</p> <p>Cause: The City did not have a process in place to ensure all required reports are submitted on a timely basis.</p> <p>Recommendation: We recommend that the City submit the SF-272 on a timely basis, as required.</p>	<p align="center">None</p>	<p>The City of Phoenix Neighborhood Services Department concurs with this observation.</p> <p>Corrective Action: We have implemented additional procedures, which include entering the due dates on the calendar. Staff will be able to complete the SF-272 15 days after the end of the quarter.</p> <p>Contact: Veronica Gonzalez, Neighborhood Services Department, (602) 262-4723</p>

Attachment 9.0 Housing Need

From the City of Phoenix 2010-2014 Consolidated Plan prepared by Crystal+Company

Extremely Low-Income Household Needs (Earning Less Than 30% of the Area Median)

It is estimated that a total of 57,840 households or 10 percent of all households in the City of Phoenix are comprised of extremely low-income persons (earning less than 30 percent of the median income) with housing problems of some sort. Of the 39,720 extremely low-income renter households projected to have housing problems in FY 2010, 13 percent are elderly (over the age of 62), 35 percent are comprised of small households (2-4 persons), 21 percent are comprised of large households (5 or more persons), and 31 percent are comprised of one-person households. Of those 18,350 extremely low-income owner households with problems in 2010, 35 percent are elderly, 25 percent are small households (2-4 persons), 21 percent are large households (5 or more persons) and 19 percent are one-person households.

By FY 2015, it is estimated that an additional 5,030 extremely low-income owner and renter households will have problems, representing an increase of 8.5 percent over FY 2010 levels and suggesting an annual addition of approximately 1,000 households. More accurate indicators of housing distress are derived from those households with problems and also severely cost burdened or paying more than 50% of their income for housing including utilities. Using these standards, note that 42,650 extremely low income households are in distress, with 68 percent comprised of renters and 32 percent owners. Of those 29,000 renters in distress, 14 percent are elderly, 34 percent are small households (2-4 persons), 16 percent are large households, and 36 percent are one person households. Of those 13,500 owner households in distress, 31 percent are elderly, 28 percent are small households (2-4 persons), 17 percent are large households (greater than 5 persons) and 24 percent are one-person households.

The distribution of persons and families with housing problems and or cost burdened in 2000 was presumed to remain the same for the five year period covered in this report. A review of 2000 CHAS data indicated that both Hispanics and Blacks were almost twice as likely to be earning under 30% of the median and rent, yet have the same incidence as Anglos to have housing problems. More families were distressed for minorities while more one person households were distressed for non-minorities. Conversely, minorities were much less likely to be owners in the income category and Hispanics were slightly more likely to have problems than either Anglos or other minorities.

Very Low-Income Household Needs (Earning From 31- 50% of the Area Median)

It is estimated that a total of 52,600 households or 10 percent of all households in the City of Phoenix are comprised of very low-income persons (earning from 31 to 50 percent of the median income) with housing problems of some sort. Of the 35,300 very low-income renter households projected to have housing problems in FY 2010, 10 percent are elderly (over the age of 62), 37 percent are small households (2-4 persons), 21 percent are large households (5 or more persons), and 32 percent are one-person households. Of those 17,500 very low-income owner households with problems in 2010, 25 percent are elderly, 33 percent are small households (2-4 -Page 2- www.crystco.com persons), 28 percent are large households (5 or more persons) and 14 percent are one-person households.

By FY 2015, it is estimated that an additional 5,930 extremely low-income owner and renter households will have problems, representing an increase of 11 percent over FY 2010 levels and suggesting an annual addition of approximately 1,180 households. More accurate indicators of housing distress are derived from those households with problems and also severely cost burdened or paying more than 50% of their income for housing including utilities. Using these standards, note that 16,650 very low income

households are in distress, with 47 percent comprised of renters and 53 percent owners. Of those 8,730 renters in distress, 20 percent are elderly, 28 percent are small households (2-4 persons), 5 percent are large households, and 47 percent are one person households. Of those 7,760 owner households in distress, 26 percent are elderly, 37 percent are small households (2-4 persons), 15 percent are large households (greater than 5 persons) and 22 percent are one-person households.

The distribution of persons and families with housing problems and or cost burdened in 2000 was presumed to remain the same for the five year period covered in this report. A review of 2000 CHAS data indicated that both Hispanics and Blacks were 35% more likely to be earning from 31-50% of the median and rent, yet have the same incidence as Anglos to have housing problems. Again, more renter families were distressed for minorities while more one person households were distressed for non-minorities. Hispanics were twice as likely to be owners in the income category and 35% more likely to be experiencing housing problems of one sort or another. Again, owner families were much more likely in the income category than Anglos, where elderly were much more apparent.

Other Low-Income Household Needs (Earning From 51- 80% of the Area Median)

It is estimated that a total of 59,300 households or 10 percent of all households in the City of Phoenix are comprised of other low-income persons (earning from 51 to 80 percent of the median income) with housing problems of some sort. Of the 30,100 other low-income renter households projected to have housing problems in FY 2010, 8 percent are elderly (over the age of 62), 35 percent are small households (2-4 persons), 25 percent are large households (5 or more persons), and 32 percent are one-person households. Of those 29,200 other low-income owner households with problems in 2010, 15 percent are elderly, 39 percent are small households (2-4 persons), 28 percent are large households (5 or more persons) and 18 percent are one person households.

By FY 2015, it is estimated that an additional 5,000 other low-income owner and renter households will have problems, representing an increase of 9 percent over FY 2010 levels and suggesting an annual addition of approximately 1,000 households. More accurate indicators of housing distress are derived from those households with problems and also severely cost burdened or paying more than 50% of their income for housing including utilities. Using these standards, note that 7,990 other low income households are in distress, with 29 percent comprised of renters and 71 percent owners.

Of those 1,140 renters in distress, 26 percent are elderly, 25 percent are small households (2-4 persons), 5 percent are large households, and 44 percent are one person households. Of those 5,730 owner households in distress, 22 percent are elderly, 42 percent are small households (2-4 persons), 11 percent are large households (greater than 5 persons) and 25 percent are one-person households. The distribution of persons and families with housing problems and or cost burdened in 2000 was presumed to remain the same for the five year period covered in this report.

A review of 2000 CHAS data indicated that minorities were equally likely to be earning from 51-80% of the median and rent as non-minorities, yet Hispanics were 20% more to have housing problems. Again, more renter families were distressed for minorities while more one person households were distressed for non-minorities. Hispanics were 65% more likely to be owners in the income category and 30% more likely to be experiencing housing problems of one sort or another. Again, owner families were much more likely in the income category than Anglos, where elderly were much more apparent.

Attachment 10a
Final Report on 2005-2009 5 Year Goals

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

PHA Goal: Expand the supply of assisted housing

Objectives:

- Apply for additional rental vouchers:

Should it be financially feasible, apply for additional vouchers as they become available.

The Housing Department applied for and received 210 vouchers for Veteran Administration Supported Housing.

Forty vouchers were received for the 2007 HOPE VI relocation.

The Housing Department received 100 Family Unification Program (FUP) vouchers.

If offered, accept up to 150 vouchers for a private HCV opt out.

At least 68 vouchers from a private HCV opt out have been accepted.

- Reduce public housing vacancies:

Reach 95% occupancy in public housing by 7/1/07 and 98% by 10/1/07.

The Housing Department achieved an occupancy level of 90% by 7/1/07 and 95.5% by 10/1/07. The occupancy rate as of 11/30/07 is 97.5%. The Department has put many strategic practices in place to maintain these occupancy levels.

For the 2008/2009 FY, the Department's vacancy rate hovers around 97-98%.

- Leverage private or other public funds to create additional housing opportunities.

Identify additional funding sources to support the public housing and section 8 programs.

The Department is actively exploring alternative funding to support its assisted housing programs and Central Office Cost Center. To provide additional capital resources, the Department received \$17 million for affordable housing development and \$5.213 to assist with public housing modernization in the last GO Bond election.

Currently the Housing Department is utilizing a revenue stream generated through the Affordable Housing Program to make up for reduced federal funding levels.

Additionally, the Department received tax credits to construct a 69 unit mixed finance development for seniors. Development fees from this project will also help offset shortages.

The Department received a LIHTC allocation for a new 83 unit HOPE VI project.

The Department has applied for two additional tax credit allocations, but will not know the outcome until this summer. One will expand the rehabilitation opportunities started by a \$3.4 million ARRA CFP competitive grant. The other will assist with the financing for a new HOPE VI property.

Although the final funding amount is not know, the Department received a Green Retrofit Grant of approximately \$1.6 million to make energy efficient upgrades at one of its multi-family properties for seniors.

- *Acquire or build units or developments*

Demolish 24 public housing units and develop a mixed finance apartment community with at least 24 public housing units as part of the development.

Demolition of the 24 public housing units has been completed. A grand opening was held in October 2009 with all 34 public housing and 35 LIHTC units filled well before the scheduled lease-up date of December 1, 2009.

Apply for a HOPE VI grant and, if awarded, demolish 76 units of public housing and develop a mixed finance project with public housing units, affordable and market-rate apartments.

A HOPE VI grant application to rehabilitate the Krohn West public housing community was submitted to HUD on 11/3/07 and awarded to the City of Phoenix on March 20, 2008. Demolition is expected to begin in summer 2010.

- Other (list below)
Prepare an analysis of each property.
This project is on-going .

PHA Goal: Improve the quality of assisted housing.

Objectives:

- Improve public housing management: (PHAS score)

Strive for a PHAS score at a level of “high performer.”

The Housing Department continues to work toward becoming a high performer.

- *Improve voucher management: (SEMAP score)*

Achieve a SEMAP score at a level of “high performer” within two years.

Since 2008, the Department has been a SEMAP high performer.

- Increase customer satisfaction:

Review and improve communication with program participants.

All public housing divisions, Scattered Sites, senior, and conventional, complete annual internal customer satisfaction surveys. The areas reviewed include security, maintenance, individual staff performance, customer service, clarity of notices received and property appearance.

Management attends Resident Council meetings to update residents on current site activities and projects and to answer questions/ address concerns.

With the advent of asset management, the Department procured a new business system to better manage its properties. This new system allows for important messages to be conveyed right on the monthly rent statements.

Assess landlord feedback for section 8 Housing Choice Voucher program.

The Housing Department encourages owners of decent, safe and sanitary housing units to lease to Section 8 families. Housing Department staff initiates personal contacts with private property owners and managers by conducting formal and informal discussions and meetings.

The Housing Department maintains a website and offers information packets to owners and managers to acquaint them with the opportunities available under the program. The website is located at <http://phoenix.gov/CITZASST/sect8hou.html> and includes information on the benefits to becoming a Section 8 landlord, how the Section 8 process works, and Frequently Asked Questions (FAQ.) A landlord outreach listserv is also available for landlords to register to receive updates and important information about the program via electronic mail.

The Housing Department encourages program participation by owners of units located outside areas of poverty or minority concentration. The Housing Department periodically evaluates the demographic distribution of assisted families to identify areas within the jurisdiction where owner outreach should be targeted.

Staff has also been designated to serve as a Landlord Liaison. This staff person manages Housing Choice Voucher landlord briefings, has initiated a landlord survey and maintains a landlord listserv.

- Concentrate on efforts to improve specific management functions:

Reduce average unit turn around time to 30 days or less.

Although not yet achieved, the Department has made great strides to accomplish this goal in FY 08-09.

Evaluate and invest in a commercial computer-based business system.

The new business system has been purchased and went “live” in May 2009.

- Renovate or modernize public housing units:

Upgrade the electrical panels, power poles and wiring at five sites. This goal is contingent on available funding.

Electrical systems have been upgraded at Henson Village, Sidney P. Osborn, Foothills Village. Other complexes are still in the design phase including Krohn West, Marcos de Niza and Frank Luke Addition.

Install air conditioning units at various sites – approximately 400 units if it's financially feasible.

The city has installed a chiller air conditioning system at the Foothills Village Apartments and individual HVAC units at Sidney P. Osborn, for a total of 345 units. Additionally, 115 heat pumps have been installed in Scattered Sites homes with additional installations planned for the future. Other family public housing communities are in the design phase and the financial viability is currently under consideration.

Improve the curb appeal of public housing developments by upgrading landscaping by installing perimeter site fencing at two conventional housing sites.

The city has installed new perimeter site walls and plantings at Foothills Village Apartments public housing site. Over four thousand lineal feet of 3+ foot high wrought iron fence combined with CMU pillars were placed along the perimeter of our property. Over 500 irrigated plants were planted along the new walls. The back of the apartments face streets and perimeter fencing will provide safety and define boundaries for our residents.

Sidney P. Osborn was also complete re-landscaped with over 20 new trees, 100 boulders, 650 tons of granite and 100,000 square feet of sod.

Another small complex in the East AMP with 38 units is in the process of being converted to xeriscaped landscaping.

The Marcos de Niza public housing complex will have 100 additional trees installed by the end of this fiscal year.

The front yards of 120 Scattered Sites homes are also being converted from lawns to xeriscaping.

Continue to create an appealing, up-to-date appearance in its developments by painting exterior trim on all family conventional public housing sites.

One 374 unit property, another 200 unit property and over 300 units in the East AMP have been completely painted or are in the process of being painted including the trim. Three senior public housing facilities have been repainted including the trim.

Paint the exteriors of three family public housing sites – approximately 200 units.

The exteriors of the units at Foothills Village Apartments, Marcos de Niza and portions of the East AMP, family public housing sites, have been painted. Additionally, at Foothills Village over 205,000 square feet of stucco was re-surfaced.

Remodel kitchens/bathrooms at three sites.

Bathroom remodeling projects have been completed at three sites, Foothills Village (200 units), Washington Manor (100 units) and Sidney P. Osborn Homes (145 units).

Design work has begun on updating the kitchens at Marcos de Niza (374 units), Washington Manor (112 units) and Sunnyslope Manor, a multi-family facility (116 units).

- Demolish or dispose of obsolete public housing:

Dispose of four homes that are inadequate for the needs of the Scattered Sites program.

The disposition application has been submitted and approved. The disposition of the homes will be completed through a Request for Proposal.

Demolish McCarty Apartments, AZ001029, 24 units.

The demolition/disposition application was submitted and approved by HUD and the demolition has occurred.

Demolish the 76 public housing units that make up the development of AZ I-8A1

A 2007 HOPE VI application has been awarded to the City, with demolition expected to occur in summer 2010.

A disposition application has also been submitted for a 374 unit complex.

- Provide replacement public housing:

Due to the Department's goal of deconcentrating poverty while continuing to provide the community with public housing resources, ACC units not replaced in any demolished developments will be placed in other properties as they are acquired or otherwise available.

PHA Goal: Increase assisted housing choices

Objectives:

- Conduct outreach efforts to potential voucher landlords

Improve outreach efforts to potential voucher landlords by implementing an outreach program to attract 20 new landlords each year to participate in the Section 8 program.

Over 700 landlords have become new section 8 vendors.

- Implement voucher homeownership program:

Provide vouchers for up to 5 families for the purpose of homeownership.

This program has not been implemented as the Department has focused on its public housing homeownership program instead. A number of families are in the process of completing the educational components required for participation in the program.

- Implement public housing or other homeownership programs:

Convert 5(h) homeownership program into a Section 32 homeownership program.

Although a long time during its implementation phase, the Department has now sold 4 homes and has 3 additional homes under contract. Twelve vacant homes on the list of 50 homes have been rehabbed to ensure that all systems will have a life expectancy of five years or more. Several current occupants of homes on the list of 50 are also interested in purchasing their homes and negotiations are underway with these families.

Sell a minimum of five homes to residents of the Housing Department's assisted housing programs.

Twenty- families who have completed the Family Self Sufficiency program have purchased a home since 2005. Four homes have been purchased by public housing or section 8 Housing Choice Voucher participants through the section 32 public housing homeownership program.

Encourage Matthew Henson families to participate in a homeownership program so that a minimum of eight families will purchase homes.

Twelve Matthew Henson families have purchased homes since the inception of the 2001 HOPE VI grant.

- Implement public housing site-based waiting lists:

Investigate the possibility of site-based waiting lists.

As the Department moves to asset-based management, site-based waiting lists will be considered. A number of efficiency factors must be considered prior to a decision being made.

Implement site-based waiting list for new HOPE VI development.

The site-based waiting list for the Henson Village HOPE VI development has been implemented.

Implement site-based waiting list for McCarty and/or new HOPE VI property when redeveloped.

A site-based waiting list for the McCarty on Monroe has been established. A site-based waiting list will be started for the Krohn West development once it has been redeveloped.

Site-based waiting lists will be established for all mixed income and/or mixed finance properties.

HUD Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment

Objectives:

- Implement public housing security improvements:

Improve the safety of family and senior conventional public housing developments by providing, as needs are identified, a combination of one or more of the following – install cameras or install security doors or screens.

Security door and screen replacements have been replaced in almost 600 units.

Improve the security of the public housing developments by providing additional area lighting at facilities as needed.

Additional exterior lighting has been provided at our Sidney P. Osborn and Luke Krohn sites. Lighting is evaluated continually at all of our housing locations.

- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)

Designate 100 units of public housing for elderly use only for the Henson Village HOPE VI development.

Designation of 100 units of public housing for elderly use only for the HOPE VI development was approved by HUD in December 2005.

Designate the redeveloped McCarty on Monroe development as elderly only.

The designation of the mixed income development, McCarty on Monroe, as “elderly only” has been completed. To offset the loss of units for persons with disabilities, 4 units each have been reserved for non-elderly disabled at Pine Tower and Maryvale Parkway Terrace.

- *Other:*

Improve the common area playgrounds at the Department’s family public housing developments by providing new playground equipment, canopies, barbecues, seating, volleyball courts and picnic ramadas to replace existing as needed.

The common areas of Foothills Village Apartments was improved by adding two picnic ramadas and eight seating/play areas and large playground structure. Additional playgrounds are being installed at Marcos de Niza and the East AMP.

Continue to work with the Police Department to notify residents of problems that may be occurring on the edge of public housing.

Most months the police attend the block watch or resident council meetings to keep residents informed of on-going issues in their neighborhood. In addition, staff maintains an open door policy for the police assigned to the public housing areas. Information is mutually shared on a continuous and regular basis. The Department hired a Housing Investigator to help address issues that may contribute to “edge” problems.

Strengthen the community in Central City South by working with a local non-profit to develop a leadership academy.

A leadership academy has been developed with Phoenix Revitalization Corporation. To date 131 residents in Central City South have graduated. In addition, the Department’s teen program had 33 parents and teens graduate from the program.

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:

Refer at least 10 residents for Section 3 or Housing Department employment.

At least 75 residents were referred for Section 3 employment and 24 were hired.

Provide referrals to employment training services to enable 65% of eligible HOPE VI residents to secure employment.

This number has fluctuated greatly over the last 5 years based on the economic circumstances at the time. Due to a poor economy, 53% of eligible HOPE VI residents have secured employment.

This goal has been revised to “Provide referrals to employment training services to enable 100 eligible Henson Village/HOPE VI residents to secure employment.” This more closely aligns with HOPE VI Community and Supportive Services goals.

Currently 175 Henson Village HOPE VI residents are working. The CSS staff continues to work with residents on job training and employment related skills.

- Provide or attract supportive services to improve recipients’ employability:

Continue the HOPE VI Coalition of Community Providers meetings.

Meetings of the HOPE VI Coalition of Community Providers continue to be held quarterly, the 1st Wednesday of February, May, August and November.

Continue to look for and provide opportunities to encourage teens to graduate from high school and seek post-secondary education.

The Housing Department received a 2005 Resident Opportunities and Self Sufficiency (ROSS) grant which provides comprehensive services to teens to help them become productive, successful adults. Two grants from Phoenix Workforce Connection have provided summer youth employment opportunities.

Develop computer labs with ESL and adult basic education software for selected conventional housing sites. ESL and adult basic education software is available at three computer labs. In addition, pre-literacy training has been offered by Literacy Volunteers of Maricopa County. Foothills Village and Henson Village continue to offer ESL and adult education classes.

Offer case management to 100% of all HOPE VI residents.

All HOPE VI residents are tracked and monitored on at least a quarterly basis.

Should the FSS program remain viable, continue to offer 160 FSS slots to families in assisted housing programs.

To return the FSS program to its goal of 160 filled slots, intensive recruitment efforts have been initiated, bringing the total filled slots to 136. Families will continue to be accepted into the FSS program until the 160 slots are filled.

Initiate a Head Start or child care center at Foothills Village

The Head Start classroom opened for the 2008 school year in August 2008 and is serving approximately 40 children and their families daily.

- Provide or attract supportive services to increase independence for the elderly or families with disabilities.

Insure all computer labs have assistive devices for persons with various disabilities for all conventional housing and senior housing sites.

This year assistive devices have been purchased for the computer labs at two senior sites. In addition, the computer center at Matthew Henson has dedicated stations for persons with disabilities. The new Foothills Village and remodeled Valley Christian Center (serving the off-site portion of Henson Village) computers labs also have stations for persons with disabilities.

Develop a Wellness Center in the adult living building of the HOPE VI development.

A mini-wellness center and information is available at the Emmett McLoughlin Community Training and Education Center. HOPE VI CSS staff has conducted conducting the With Every Heart Beat Is Life Program, partnering with the African American Health Center.

Develop, identify and implement at least three new on- or off-site services and activities based on needs identified by residents.

Fairworthy Institute has sponsored a number of relationship trainings. The IRS has been a part of the partnership to provide free income tax assistance at various public housing sites. The Arizona Department of Environmental Quality has provided a wealth of information and resources for community fairs. Southwest Human Development has provided parenting training. Association for Supportive Child Care has offered classes to help residents become certified home child care providers. Friendly House and Literacy Volunteers of Maricopa County have taught GED and ESL classes.

Apply for available grants, work with Economic Initiatives program, and/or contract with outside vendors to increase on-site services in the Senior Housing communities, i.e.: housekeeping, daily meal, health care services, etc.

Work on this goal has been on-going as different vendors are brought in as the need arises. Until 2009 lunches for seniors were being provided at the Department's senior housing facilities, Monday through Friday, by SUPO. Unfortunately, the economy has caused the nonprofit to close its doors. Various sites have partners with agencies to provide, in particular, health services.

Recruit a service provider to provide supportive services for the residents at the McCarty on Monroe (MOM) development.

Generally social services agencies have struggled as the economy has weakened. Rather than one social service provider, a number of agencies are providing specialty services for residents of McCarty on Monroe.

In addition, residents have been encouraged to participate in senior centers sponsored by the City of Phoenix Human Services Department. Not only do the senior centers provide free and/or inexpensive lunches, but they also offer transportation services between the center and home, as well as, transportation to shopping, doctor's appointments, etc.

- Other:

Apply for at least two grants each year for self-sufficiency programs.

Since 2005, the City of Phoenix Housing Department has been awarded fourteen grants to help families become self sufficient. In addition, it has been awarded two grants to help teens prepare for adult employment.

Provide case management and supportive services to help 50% of the HOPE VI teens graduate from high school. (Current graduation rate is approximately 35%.)

HOPE VI Community and Supportive Services staff continues to provide case management to youth and their families to help increase the graduation rate of HOPE VI youth. With the advent of the 2007 HOPE VI grant, the CSS team is focusing on providing services to help HOPE VI Krohn West teens overcome barriers to a high school diploma.

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:

Prepare an ADA compliance self-evaluation of the public housing facilities owned or operated by the city of Phoenix.

The Department has completed its self-evaluation of public housing facilities and has found that all facilities are in compliance.

Prepare an assessment, action plan and timeline to address ADA issues discovered by the self-evaluation.
No action is necessary.

Participate in and comply with city of Phoenix policy for expansion of accessibility standards.

The Department consistently participates in the development of city of Phoenix policy regarding accessibility standards and it complies with those policies.

Other PHA Goals and Objectives: (list below)

Violence Against Women Act (VAWA)

The City of Phoenix Housing Department

- Has implemented policies and procedures that will enable us to serve the needs of child and adult victims of domestic violence, dating violence, sexual assault or stalking.
- All assisted housing program participants are advised of their rights under VAWA at the application stage, in the move-in packets and at all recertifications.
- The Housing Department does not terminate tenancy of a tenant victim due to actual or threatened domestic violence, dating violence, sexual assault or stalking against the tenant or an immediate family member of the tenant. The Housing Department will request that the tenant complete a certification.
- The Housing Department may take other actions to ensure the safety of the tenant victim, other residents, and employees. These may include, but are not limited to, bifurcation of a family's lease to evict a household member who is the perpetrator of domestic violence; honoring court orders which may limit a tenant perpetrator's access to the unit or property; and, evicting the tenant victim if the Housing Department can demonstrate an actual and imminent threat to the immediate housing community or staff.
- All information provided to the Housing Department by a participating family regarding VAWA certification, including the tenant's status as a victim, is confidential. The exceptions to confidentiality are tenant authorization to release and/or use information; is required by law; or is needed for eviction proceedings.
- Has provided training to staff regarding VAWA and the Department's policies and procedures that pertain to VAWA.

- To ensure that landlords are aware of participant rights under VAWA, all landlords are provided with a copy of VAWA in the RFTA packet. It is also available on the web site at http://phoenix.gov/ftpalias/HOUSING/s8_rfta.pdf.
- Current and potential landlords are kept abreast of VAWA issues via the landlord outreach listserv.
- Invites police, advocacy organizations, and other programs that may assist victims based on the definitions in VAWA to make periodic presentations throughout the various housing communities and programs.
- May refer victims affected by VAWA to appropriate agencies including domestic violence shelters, victim services programs and the City of Phoenix Family Advocacy Center. Families in crisis are referred to the police and/or to the Magellan Crisis Hotline.

Attachment 11a

PHA Certifications of Compliance with the PHA Plans and Related Regulations

PHA Certifications of Compliance with PHA Plans and Related Regulations

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA), listed below, or as Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year and/or Annual PHA Plan for the PHA fiscal year beginning 10/1/2011, hereinafter referred to as "the Plan", of which this document is a part and with the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

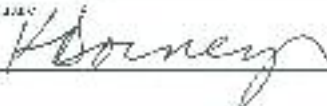
1. The Plan is consistent with the applicable comprehensive housing affordability strategy for any plan incorporating such strategy for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Element Annual Statements), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Budget or Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considers the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses those recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs and/or special programs, identify any impediments to fair housing, eliminate those impediments, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. The PHA Plan includes a policy for site-based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PHC/PMS Module in an accurate, complete and timely manner (as specified in PHA Notice 2006-24).
 - The system of site-based waiting lists provides for full disclosure to each applicant of the location of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site.
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD.
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing.
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and regulations, as specified in 24 CFR part 903.7(a)(1).
9. The PHA will comply with the prohibitions against discriminating on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 5 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low- and Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 24.62(a).
14. The PHA will provide the responsible entity or HUD any documentation that the cases as the entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities, if accordance with 24 CFR Part 58 or Part 59, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate agreements under Section 17 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 89.30 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approved under the regulations and included in its Plan.
20. All statements of work Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All rules and supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations specified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

City of Phoenix Housing Department _____ AZ001 _____
 PHA Name PHA Number/HA Code

- 5-Year PHA Plan for Fiscal Years 2010 - 2014
- Annual PHA Plan for Fiscal Years 2010 - 2011

This is a certification of the information stated herein. It is subject to audit and other procedures in the accompanying audit guide and associated Warning HUD will conduct audits and site visits. Contact HUD at 202-726-2000 or HUD-202-726-2000 for more information.

Name of Authorized Official	Title
Kim Dorney	Housing Director
Signature 	Date April 13, 2010

Civil Rights Certification

Civil Rights Certification

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Civil Rights Certification

Annual Certification and Board Resolution

Seeing on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official (if there is no Board of Commissioners, I agree to the submission of the Plan for the PHA of which this document is a part) and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof.

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

City of Phoenix Housing Department

AZ001

PHA Name

PHA Number/HA Code

I hereby certify that the information above stated, as well as the information provided in the accompanying HUD form, is true and accurate. Warning: FUD will prosecute those who falsify documents. Completion may result in criminal and/or civil penalties (42 U.S.C. 1191a, 1191c, 1191d, 1191e, 1191f, 1191g, 1191h, 1191i, 1191j, 1191k, 1191l, 1191m, 1191n, 1191o, 1191p, 1191q, 1191r, 1191s, 1191t, 1191u, 1191v, 1191w, 1191x, 1191y, 1191z, 1191aa, 1191ab, 1191ac, 1191ad, 1191ae, 1191af, 1191ag, 1191ah, 1191ai, 1191aj, 1191ak, 1191al, 1191am, 1191an, 1191ao, 1191ap, 1191aq, 1191ar, 1191as, 1191at, 1191au, 1191av, 1191aw, 1191ax, 1191ay, 1191az, 1191ba, 1191bb, 1191bc, 1191bd, 1191be, 1191bf, 1191bg, 1191bh, 1191bi, 1191bj, 1191bk, 1191bl, 1191bm, 1191bn, 1191bo, 1191bp, 1191bq, 1191br, 1191bs, 1191bt, 1191bu, 1191bv, 1191bw, 1191bx, 1191by, 1191bz, 1191ca, 1191cb, 1191cc, 1191cd, 1191ce, 1191cf, 1191cg, 1191ch, 1191ci, 1191cj, 1191ck, 1191cl, 1191cm, 1191cn, 1191co, 1191cp, 1191cq, 1191cr, 1191cs, 1191ct, 1191cu, 1191cv, 1191cw, 1191cx, 1191cy, 1191cz, 1191da, 1191db, 1191dc, 1191dd, 1191de, 1191df, 1191dg, 1191dh, 1191di, 1191dj, 1191dk, 1191dl, 1191dm, 1191dn, 1191do, 1191dp, 1191dq, 1191dr, 1191ds, 1191dt, 1191du, 1191dv, 1191dw, 1191dx, 1191dy, 1191dz, 1191ea, 1191eb, 1191ec, 1191ed, 1191ee, 1191ef, 1191eg, 1191eh, 1191ei, 1191ej, 1191ek, 1191el, 1191em, 1191en, 1191eo, 1191ep, 1191eq, 1191er, 1191es, 1191et, 1191eu, 1191ev, 1191ew, 1191ex, 1191ey, 1191ez, 1191fa, 1191fb, 1191fc, 1191fd, 1191fe, 1191ff, 1191fg, 1191fh, 1191fi, 1191fj, 1191fk, 1191fl, 1191fm, 1191fn, 1191fo, 1191fp, 1191fq, 1191fr, 1191fs, 1191ft, 1191fu, 1191fv, 1191fw, 1191fx, 1191fy, 1191fz, 1191ga, 1191gb, 1191gc, 1191gd, 1191ge, 1191gf, 1191gg, 1191gh, 1191gi, 1191gj, 1191gk, 1191gl, 1191gm, 1191gn, 1191go, 1191gp, 1191gq, 1191gr, 1191gs, 1191gt, 1191gu, 1191gv, 1191gw, 1191gx, 1191gy, 1191gz, 1191ha, 1191hb, 1191hc, 1191hd, 1191he, 1191hf, 1191hg, 1191hh, 1191hi, 1191hj, 1191hk, 1191hl, 1191hm, 1191hn, 1191ho, 1191hp, 1191hq, 1191hr, 1191hs, 1191ht, 1191hu, 1191hv, 1191hw, 1191hx, 1191hy, 1191hz, 1191ia, 1191ib, 1191ic, 1191id, 1191ie, 1191if, 1191ig, 1191ih, 1191ii, 1191ij, 1191ik, 1191il, 1191im, 1191in, 1191io, 1191ip, 1191iq, 1191ir, 1191is, 1191it, 1191iu, 1191iv, 1191iw, 1191ix, 1191iy, 1191iz, 1191ja, 1191jb, 1191jc, 1191jd, 1191je, 1191jf, 1191jg, 1191jh, 1191ji, 1191jj, 1191jk, 1191jl, 1191jm, 1191jn, 1191jo, 1191jp, 1191jq, 1191jr, 1191js, 1191jt, 1191ju, 1191jv, 1191jw, 1191jx, 1191jy, 1191jz, 1191ka, 1191kb, 1191kc, 1191kd, 1191ke, 1191kf, 1191kg, 1191kh, 1191ki, 1191kj, 1191kk, 1191kl, 1191km, 1191kn, 1191ko, 1191kp, 1191kq, 1191kr, 1191ks, 1191kt, 1191ku, 1191kv, 1191kw, 1191kx, 1191ky, 1191kz, 1191la, 1191lb, 1191lc, 1191ld, 1191le, 1191lf, 1191lg, 1191lh, 1191li, 1191lj, 1191lk, 1191ll, 1191lm, 1191ln, 1191lo, 1191lp, 1191lq, 1191lr, 1191ls, 1191lt, 1191lu, 1191lv, 1191lw, 1191lx, 1191ly, 1191lz, 1191ma, 1191mb, 1191mc, 1191md, 1191me, 1191mf, 1191mg, 1191mh, 1191mi, 1191mj, 1191mk, 1191ml, 1191mm, 1191mn, 1191mo, 1191mp, 1191mq, 1191mr, 1191ms, 1191mt, 1191mu, 1191mv, 1191mw, 1191mx, 1191my, 1191mz, 1191na, 1191nb, 1191nc, 1191nd, 1191ne, 1191nf, 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1191sb, 1191sc, 1191sd, 1191se, 1191sf, 1191sg, 1191sh, 1191si, 1191sj, 1191sk, 1191sl, 1191sm, 1191sn, 1191so, 1191sp, 1191sq, 1191sr, 1191ss, 1191st, 1191su, 1191sv, 1191sw, 1191sx, 1191sy, 1191sz, 1191ta, 1191tb, 1191tc, 1191td, 1191te, 1191tf, 1191tg, 1191th, 1191ti, 1191tj, 1191tk, 1191tl, 1191tm, 1191tn, 1191to, 1191tp, 1191tq, 1191tr, 1191ts, 1191tt, 1191tu, 1191tv, 1191tw, 1191tx, 1191ty, 1191tz, 1191ua, 1191ub, 1191uc, 1191ud, 1191ue, 1191uf, 1191ug, 1191uh, 1191ui, 1191uj, 1191uk, 1191ul, 1191um, 1191un, 1191uo, 1191up, 1191uq, 1191ur, 1191us, 1191ut, 1191uu, 1191uv, 1191uw, 1191ux, 1191uy, 1191uz, 1191va, 1191vb, 1191vc, 1191vd, 1191ve, 1191vf, 1191vg, 1191vh, 1191vi, 1191vj, 1191vk, 1191vl, 1191vm, 1191vn, 1191vo, 1191vp, 1191vq, 1191vr, 1191vs, 1191vt, 1191vu, 1191vv, 1191vw, 1191vx, 1191vy, 1191vz, 1191wa, 1191wb, 1191wc, 1191wd, 1191we, 1191wf, 1191wg, 1191wh, 1191wi, 1191wj, 1191wk, 1191wl, 1191wm, 1191wn, 1191wo, 1191wp, 1191wq, 1191wr, 1191ws, 1191wt, 1191wu, 1191wv, 1191ww, 1191wx, 1191wy, 1191wz, 1191xa, 1191xb, 1191xc, 1191xd, 1191xe, 1191xf, 1191xg, 1191xh, 1191xi, 1191xj, 1191xk, 1191xl, 1191xm, 1191xn, 1191xo, 1191xp, 1191xq, 1191xr, 1191xs, 1191xt, 1191xu, 1191xv, 1191xw, 1191xx, 1191xy, 1191xz, 1191ya, 1191yb, 1191yc, 1191yd, 1191ye, 1191yf, 1191yg, 1191yh, 1191yi, 1191yj, 1191yk, 1191yl, 1191ym, 1191yn, 1191yo, 1191yp, 1191yq, 1191yr, 1191ys, 1191yt, 1191yu, 1191yv, 1191yw, 1191yx, 1191yy, 1191yz, 1191za, 1191zb, 1191zc, 1191zd, 1191ze, 1191zf, 1191zg, 1191zh, 1191zi, 1191zj, 1191zk, 1191zl, 1191zm, 1191zn, 1191zo, 1191zp, 1191zq, 1191zr, 1191zs, 1191zt, 1191zu, 1191zv, 1191zw, 1191zx, 1191zy, 1191zz).

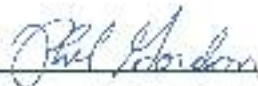
Name (with title if any)

Phil Gorder

Title

Mayor

Signature



Date

4/14/10

Form HUD-50077-CR (1/2009)
OMH Approval No. 3577-0226

Certification of Consistency with the Consolidated

Certification by State or Local
Official of PIA Plans Consistency
with the Consolidated Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

**Certification by State or Local Official of PIA Plans Consistency with the
Consolidated Plan**

I, Chris Hallett the Assistant Budget Services Director certify that the Five Year and
Annual PIA Plan of the City of Phoenix Housing Department is consistent with the Consolidated Plan of
City of Phoenix, Arizona prepared pursuant to 24 CFR Part 91.



Signed / Dated by Appropriate State or Local Official

Form HUD-50077-SL (1/7/09)
OMB Approval No. 2577-0226

Attachment 11b

Certification of a Drug-Free Workplace

Certification for a Drug-Free Workplace

U.S. DEPARTMENT OF HOUSING and Urban Development

Agency Name: City of Phoenix Housing Department

Agency Housing Capital Fund Program

As the Director of the above named agency, as the authorized official, I hereby certify that my agency is in compliance with the provisions of the Drug-Free Workplace Act (DFWA) regarding workplace drug testing.

I certify that the above named applicant will continue to provide a drug-free workplace by:

1. Prohibiting a statement or designating employees that the agency manufactures, distributes, dispenses, possesses, or uses of a controlled substance as a condition of employment for such employees and specifying the actions that will be taken against employees for violation of such prohibition.

2. Prohibiting a responsible drug-free workplace program to be implemented.

(1) The design of drug testing and workplace,

(2) The agency's policy of addressing drug testing workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance program; and

(4) Any penalties that may be imposed upon employees for drug abuse that does not distinguish between employees.

3. Adhering to the requirement that each employee to be engaged in the performance of the agency be given an opportunity to be tested as required by paragraph 1.

4. Verifying the employer is the employer required by paragraph 1, 2, 3, or 4, as well as making any other conditions of the employer will be.

(1) Adhere to the terms of this statement; and

(2) Notify the employment testing unit of any condition that is a violation of a certified drug testing occurring in the workplace and to the responsible employees for such condition.

5. Notifying the agency, in writing, within the standard copy after receiving notice under paragraph 1(1) that an employee or employee, including an individual, is in such a condition in a position of a certified employee must provide notice, including the position title, to the grant officer or other designee on whose grant activity the employee's employment was occurring, unless the Federal agency has the grant activity position for the recipient and the notice is not to be made available to the recipient of such activity, e.g., (a).

(1) Making sure that the following activities, within 90 days of the date of receiving notice under paragraph 1(1), with respect to any employee who is so notified: --

(1) Take any appropriate personnel action against such employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended, or

(2) Requiring such employee to participate satisfactorily in a drug abuse counseling or rehabilitation program as provided for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency.

6. Making a good faith effort to continue to maintain drug-free workplace through implementation of paragraph 1, 2, 3, or 4.

7. Adhering to the requirement that each employee to be engaged in the performance of the agency be given an opportunity to be tested as required by paragraph 1, 2, 3, or 4, as well as making any other conditions of the employer will be.

Please see attached and for the program location. All secondary locations addresses of individual housing units are found in the Public Land Index Housing Information Center (PIC).

There is there are evidences on file about the DFWA prior to initiation above.

I hereby certify that all the information above has been provided to the agency's personnel in the appropriate manner necessary to ensure compliance with the DFWA and to ensure the agency's compliance with the DFWA. I am a duly authorized representative of the agency.

Authorized Signer: Ken Dorney

Title: Housing Director

Signature: [Handwritten Signature]

Date: April 16, 2010

Form HUD-903031-0006 of 04/01/00 7417-1-7810-7400-0-0

City of Phoenix Housing Department, AZ001
 251 W. Washington, 4th Floor, Phoenix, AZ 85003
 Public Housing Capital Fund Program

Attachment to Certification for a Drug-Free Workplace
Primary Locations of Capital Fund Program Activities

251 W. Washington, 4 th Floor	Phoenix	Maricopa	Arizona	85003
830 E. Jefferson	Phoenix	Maricopa	Arizona	85014
305 W. Pima	Phoenix	Maricopa	Arizona	85003
1305 S. 3 rd Ave	Phoenix	Maricopa	Arizona	85003
810 S. 7 th Ave	Phoenix	Maricopa	Arizona	85007
920 W. Alta Vista	Phoenix	Maricopa	Arizona	85041
1720 ½ E. Adams	Phoenix	Maricopa	Arizona	85034
1940 ½ E. Villa	Phoenix	Maricopa	Arizona	85006
500 N. 20 th St	Phoenix	Maricopa	Arizona	85005
701 W. Buchanan	Phoenix	Maricopa	Arizona	85007
98 th 6 N. 15 th Dr	Phoenix	Maricopa	Arizona	85021
2936 N. 36 th St.	Phoenix	Maricopa	Arizona	85018
4345 N. Maryvale Parkway	Phoenix	Maricopa	Arizona	85031
1123 E. Monroe St.	Phoenix	Maricopa	Arizona	85034

Attachment 11c
Certification of Payments to Influence Federal
Transactions

OMB Approval No. 2577-0167 (Exp. 3/31/2010)

Certification of Payments
to Influence Federal Transactions

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

ACKNOWLEDGEMENT

City of Phoenix Housing Department
 Program/Activity Receiving Federal Grant/Funding
 Capital Fund Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been used or will be used, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been used or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned will require that the language of this certification be included in the award documents for all subawards at all times (including subawards, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

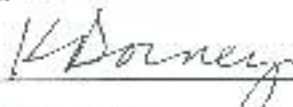
This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1452, Title 41, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein is true to the best of my knowledge and belief, and is true and accurate.
 Warning: HUD will prosecute false claims and statements. Penalties may result in criminal and/or civil penalties.
 18 U.S.C. 1001, 1519, 1015, 42 U.S.C. 5729, 5822

Name of Authorized Official

Kim Dorney

Signature



Title

Housing Director

Date (mm/dd/yyyy)

04/15/2010

Downloaded from s0209000

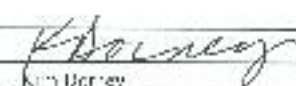
Form HUD-50071 (3-28)
 and Instructions at 101, 442-15, 4485.1 & 7455.3

Attachment 11d
Disclosure of Lobbying
Activities

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1052
(See reverse for public burden disclosure.)

Approved by OMB
0381-0017

1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance		2. Status of Federal Action: <input type="checkbox"/> a. bid/for application <input checked="" type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award		3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____	
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee If _____, if known:			5. If Reporting Entity in No. 4 is a Subawardee. Enter Name and Address of Prime:		
Congressional District, if known: 1, 2, 3, 4			Congressional District, if known:		
6. Federal Department/Agency: U. S. Department of Housing and Urban Development			7. Federal Program Name/Description: Public Housing Capital Fund Program CFDA Number, if applicable: 14.872		
8. Federal Action Number, if known:			9. Award Amount, if known: \$		
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI) Name: Montague A. O'Donnell 10 Constitution Avenue, NW 12th Floor Washington, D.C. 20001			b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI) O'Donnell, John		
11. Multiple copies of this form are available to the public under 31 U.S.C. 1052. This disclosure of lobbying activities is a public report and is available to the public under 31 U.S.C. 1052. The information on this form is not to be disclosed to the public under 31 U.S.C. 1052. The information on this form is not to be disclosed to the public under 31 U.S.C. 1052. The information on this form is not to be disclosed to the public under 31 U.S.C. 1052.			Signature:  Print Name: Kim Dorsey Title: Housing Director Telephone No.: 602.495.6949 Date: 04/02/09		
Federal Use Only:				Authorized for Local Reporting See back form (11/06/04)	

Attachment 11e

Disclosure of Lobbying Activities Continuation Sheet

NOT APPLICABLE

Attachment 11f

Resident Advisory Board Comments and PHA Response

The Housing Department received written comments from seven members of the Resident Advisory Board. Scanned copies of the documents follow; however, if included the names of the individual residents have been redacted.

Housing Department responses to comments:

The Housing Department supports all efforts to ensure that its public housing communities are crime free. All properties are certified through the Crime Free Multi-housing Certification program. The Department has open communication with the police in all program areas. Mutual dialog with the Police Department ensures that problems and issues are addressed as quickly as possible and has resulted in property improvements including upgrading exterior lighting, and landscaping, playground and clothesline placement. The Department and the police willingly assist with the formation of Block Watch groups in public housing; however, by the very nature of Block Watch, residents must take the lead in this area.

The Housing Department does not include the specific amounts for income limits (low, extremely low) in its Agency Plan as these generally change during the course of the year.

Residents may be on as many waiting lists as they want as long as they meet the qualifications for the property.

Properties designated as elderly, disabled, or elderly/disabled will retain their designation regardless of whether there is a site-based waiting list or not.

The Housing Department is considering the move from public housing to project-based vouchers as the funding is generally more stable.

Since capital improvement funding is limited, the Housing Department must prioritize its capital needs so specific rehabilitation efforts, such as kitchen cabinets, may take a lower priority than other improvements that ensure the sustainability of the complex as a whole.

The special programs referenced in Special Admissions to Section 8 are:

Family Unification Program (FUP) houses families involved with Child Protective Services and for whom stable housing will make the difference between whether the family will remain together or be reunited.

Housing Opportunities for Persons with AIDS (HOPWA) provides housing assistance and related supportive services and to persons living with HIV/AIDS.

Mainstream program vouchers enable families having a person with disabilities to lease affordable private housing of their choice.

Moderate Rehabilitation Single Room Occupancy (SRO) program provides rental assistance for single homeless persons in connection with the moderate rehabilitation of SRO dwellings.

Veterans Affairs Supportive Housing (VASH) program combines HUD HCV rental assistance for homeless veterans with case management and clinical services provided by the Veterans Affairs at its medical centers and in the community.

The Housing Department has already put in place measures to ensure that reports are submitted in a timely manner.

City of Phoenix
2010/2011 Strategic
Agency Plan -

502 - The goals for 2010/2011 are great.
Hopefully we can reach at least
80% of the goals.

600 - 'McClarty' on Phoenix has turned out
a beautiful building. All the agencies
that moved out of Washington Square
are very happy!

We need special admission for families
in need. I agree.

100 - Again thanks for the U.S. WA. that
the city implemented. Great progress.

700 - Hope II program keep building,
keep building. Lots of families in
need of housing.

Explore the possible voluntarily
converting P.H. to Section 8. Coaches
might be fine. I have some doubts
as to what would be the advantage of
such a move. No big difference except
for the coaches! - Maybe the reallocation -

your zone will tear over c.k. one thing
I think the type of families coming in
will change the neighborhood for good or for
worse.

Home ownership is a big plus. Families
can become independent home owners.

- Marcus DeRiza is finally getting rid
of their Swamp Coles -- Murray!

So many families - elderly - disabled,
who need housing. We need someone like
me to go to Senator McCain - Representative
Congressman to scream out we need more
money for the homeless.

last but not least - the stimulus
money has been used to carpet paint the inside
and outside of WH.

Why not look at the cabinets in our
homes and use some of that money to
fix inside our apts! We need renovation
of our kitchens! Otherwise good work

I want to comment
about the new
Black watch program

In every Housing Area
I heard ~~about~~ that 300 police
officers and fire men
will not work to help the
community against crime
and emergencies

What we can do?

Residents... Part of the
is the solution
is the time to help
our community
because of the difficult
economic situation
that the country
is going through.

pg 8. The information about the planning is
unfortunate. The present and long
range policy.

pg 9-11 The content.

pg 12 (20/9) The needs and strategies
which the program assesses at the
community

pg 13-14-22 content but understand the
D.M. implementation process

pg 15 - 16 content

pg 18 - The objective of the needs of plan
is why create how low income
needs of the needs of the public
and how the program works that
the City of Phoenix Housing
Dept. plan goal although not the
plan. Each program will develop
to respond to the present of
needs.

I have read the Phoenix Housing Annual 5 Year Plan for
2010-2015

My only concern is on "Site" Based List

1. Can a person be on more than one list?
2. How can Senior age Manor remain a Senior only "site" with "site" listings?

Resident Board Member

Safety and Crime Prevention

-Comments

Sometimes it might feel hard to
feel safe where you live at.

Many want to start a Black
watch - but there is no one

to start it everyone is scared

that if they start one that

those same people that are being

the criminals might get them

back because they are talking to the

police.

On page 2 at the bottom of the page
where it says (is mission) it does not say
how much money is considered low-income,
very low-income, and extremely low income.
I feel it should be put in.

Page 3 under Goal: Tests constructed to
acquire (ability) to serve and measure
effectively homeless individuals. You
should define what "effectively" means.
Some people may not understand what it
means.

Page 4 under Admissions to Section 8
Housing Choice Voucher Special Programs
it says when you award funding that is
targeted for families with special needs,
chronic, or families living in special units
it should explain what a special admission
further is. Should be defined.

Pages 5-7 NO Comment

Pages 8-11 Great, easy to understand.

Pages 12-14 NO Comment

Page 15 What does "highly
mean" what does it stand for?

Page 16 no comment

Page 17 what was the reason that the CFO did not have policies in place to ensure all required reports are submitted on a timely basis? The due dates they should have already known.

Page 18 once again it does not say how much money is his income or how he is making it. Why not?

Page 19 Same thought as on Page 18.

5.2 Goals & Objectives - I agree with all the goals & objectives

12.0 Pina Plan Update - I have nothing to add

7.0 Hope VI - I agree

8.0 Capital Improvements - I also agree

8.12

9.0 Housing Needs - Agree

a. Strategy for addressing housing needs - Agree

b. I agree

c. I agree

d. I agree

Attachment 11g

Challenged Elements

There were no elements challenged.

Attachment 11h

Capital Fund Program Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: City of Phoenix	Grant Type and Number Capital Fund Program Grant No: AZ20P00150110 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval:
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Type of Grant <input checked="" type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserve for Disasters/Emergencies	<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report
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Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	\$550,000			
3	1408 Management Improvements	\$200,000			
4	1410 Administration (may not exceed 10% of line 21)	\$350,000			
5	1411 Audit	\$4,000			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$220,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	\$201,000			
10	1460 Dwelling Structures	\$1,996,000			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	\$145,000			
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	\$40,000			
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part C: Summary

PHA Name: City of Phoenix	Grant Type and Number Capital Fund Program Grant No. A720001504-0 Replacement Housing Factor Grant No. D620001504-0	TTY of Grant: 2610 TTY of Grant Approval:
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Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1500 Capitalization of Debt Service paid by the PHA				
18b	3000 Capitalization of Debt Service paid via System of Debt Payment				
19	1500 Contingency (may not exceed 5% of line 20)	\$80,000			
20	Amount of Annual Grant (column lines 1-19)	\$3,786,000			
21	Amount of line 20 Related to LRP Activities				
22	Amount of line 20 Related to Section 524 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director <i>P. Borney</i>	Date 4-8-10	Signature of Public Housing Director	Date
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¹ To be completed by the Public Housing Administration Report.
² To be completed on the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RPT track shall be included here.

Part II: Supporting Pages								
PHA Name: City of Phoenix			Grant Type and Number Capital Fund Program Grant No: AZ20P00150110 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AZ001000002 AMP2 Marcos de Niza	Hydrovac sewers	1450		\$9,000				
AZ001000003 AMP 3 Frank Luke Homes Sidney P. Osborn A. L. Krohn Homes	Resurface/Seal coat & stripe alleys & parking lots Remodel kitchens Hydrovac sewers Screen trash pickup areas	1450 1460 1450 1450	 202	\$230,000 \$1,060,000 \$12,000 \$45,000				
AZ001000004 AMP 4 Foothills Village Apts.	Paint exterior	1460	200	\$230,000				
AZ001000005 AMP5 Maryvale Parkway	Replace windows Landscape improvements	1460 1450	108	\$90,800 \$25,000				
AZ001000006 AMP 6 Washington Manor	Replace patio & balcony railings Replace water heaters Landscape improvements	1460 1460 1450	112 112	\$32,000 \$39,200 \$25,000				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: City of Phoenix			Grant Type and Number Capital Fund Program Grant No: AZ20P00150110 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AZ001000007 AMP7	Replace boilers	1460		\$85,000				
Pine Towers Apts.	Replace handrails	1460	156	\$9,000				
AZ001000008 AMP8	Exterior Painting, roof replacement	1460	429	\$450,000				
Scattered Sites	interior remodeling, HVAC upgrades							
	electrical upgrades, site improvements							
PHA - Wide	Operations	1406		\$550,000				
	Economic Initiatives	1408		\$200,000				
	Administration	1410		\$350,000				
	Audit Fees	1411		\$4,000				
	Architecture/Engineering/Consultant Fees	1430		\$220,000				
	Relocation Costs	1495.1		\$40,000				
	Contingency	1502		\$80,000				
TOTAL				\$3,786,000				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: City of Phoenix					Federal FFY of Grant: 2010
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ20P001002	7/1/2012		7/1/2014		
AZ20P001003	7/1/2012		7/1/2014		
AZ20P001004	7/1/2012		7/1/2014		
AZ20P001005	7/1/2012		7/1/2014		
AZ20P001006	7/1/2012		7/1/2014		
AZ20P001007	7/1/2012		7/1/2014		
AZ20P001008	7/1/2012		7/1/2014		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary						
PHA Name: City of Phoenix		Grant Type and Number Capital Fund Program Grant No: AZ20P00150109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no:1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 20) ³	\$550,000	\$710,000	\$710,000		
3	1408 Management Improvements	\$150,000	\$250,000			
4	1410 Administration (may not exceed 10% of line 20)	\$292,000	\$350,000	\$350,000		
5	1411 Audit	\$4,000				
6	1415 Liquidated Damages					
7	1430 Fees and Costs	\$240,000	\$240,000			
8	1440 Site Acquisition					
9	1450 Site Improvement	\$284,500	\$129,500			
10	1460 Dwelling Structures	\$1,952,000	\$1,862,000	\$910,000		
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs	\$20,000	\$20,000			
17	1499 Development Activities ⁴					

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual, Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0276
 Expires 4/30/2011

Part I: Summary		
PHIA Name: City of Phoenix	Grant Type and Number: Capital Fund Program Grant No. 4770015249 Replacement Housing Factor Grant No. Date of CFFP	FY of Grant: 2009 FY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disaster/Emergencies
 Performance and Evaluation Report for Period Ending 2010 Final Performance and Evaluation Report
 Revised Annual Statement (revision number _____) Final Revised Annual Statement

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1a	1501 Utilization of Debt Service paid by the PHA				
1b	5001 Utilization of Debt Service paid via system of Trust Payment				
9	190 Contingency (may not exceed 8% of line 20)	\$77,038	\$8,038		
20	Amount of Award Grant - (sum of lines 1a-1g)	\$3,569,538	\$3,569,538	\$1,970,000	
21	Amount of line 20 Related to LEA Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Self Costs				
24	Amount of line 20 Related to Security - Third Parties	\$27,000	\$27,000		
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director <i>R. Borney</i>	Date 6-16-10	Signature of Public Housing Director	Date
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- 1 To be completed for the Performance and Evaluation Report
- 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
- 3 PHAs with under 250 units in management may use 10% of CFFP Grants for operations
- 4 If H made shall be included here

Part II: Supporting Pages								
PHA Name: City of Phoenix		Grant Type and Number Capital Fund Program Grant No: AZ20P00150109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AZ001000002 AMP2 (Marcos de Niza)	Seal coat & stripe alleys & parking Hydrovac sewers	1450 1450		\$45,000 \$9,000	\$0 \$9,000			
AZ001000003 AMP 3 (East Complexes)	Seal coat & stripe alleys & parking Repair/replace kitchen cabinets Hydrovac sewers Landscape improvements	1450 1460 1450 1450	38	\$75,000 \$160,000 \$12,000 \$110,000	\$75,000 \$160,000 \$12,000 \$0			
AZ001000004 AMP 4 (Foothills Village Apts.)	Hydrovac sewers	1450		\$4,000	\$4,000			
AZ001000005 AMP5 (Maryvale Parkway)	Remodel kitchens Hydrovac sewers Repair/replace security gates	1460 1450 1450	108	\$378,000 \$2,500 \$9,000	\$378,000 \$2,500 \$9,000			
AZ001000006 AMP 6 (Washington Manor)	Community room improvements Remodel kitchens Repair/replace security gates	1460 1460 1450	112	\$22,000 \$392,000 \$9,000	\$22,000 \$392,000 \$9,000			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: City of Phoenix			Grant Type and Number Capital Fund Program Grant No: AZ20P00150109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AZ001000007 AMP7 (Pine Towers Apts.)	Remodel community center Repair/replace security gates	1460 1450		\$150,000 \$9,000	\$150,000 \$9,000	\$150,000		
AZ001000008 AMP8 (Scattered Sites)	Exterior Painting, roof replacement interior remodeling, HVAC upgrades electrical upgrades, site improvements	1460	429	\$850,000	\$760,000	\$760,000		
PHA - Wide	Operations	1406		\$550,000	\$710,000	\$710,000		
	Economic Initiatives	1408		\$150,000	\$250,000			
	Administration	1410		\$292,000	\$350,000	\$350,000		
	Audit Fees	1411		\$4,000	\$0			
	Architecture/Engineering/Consultant Fees	1430		\$240,000	\$240,000			
	Relocation Costs	1495.1		\$20,000	\$20,000			
	Contingency	1502		\$77,038	\$8,038			
TOTAL				\$3,569,538	\$3,569,538	\$1,970,000		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: City of Phoenix					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ20P001002 AMP 2 (Marcos de Niza)	9/14/2011		9/14/2013		
AZ20P001003 AMP 3 (East Complexes)	9/14/2011		9/14/2013		
AZ20P001004 AMP 4 (Foothills Village Apts.)	9/14/2011		9/14/2013		
AZ20P001005 AMP 5 (Maryvale Parkway)	9/14/2011		9/14/2013		
AZ20P001006 AMP 6 (Washington Manor)	9/14/2011		9/14/2013		
AZ20P001007 AMP 7 (Pine Towers Apts.)	9/14/2011	7/1/10	9/14/2013		
AZ20P001008 AMP 8 (Scattered Sites)	9/14/2011	7/1/10	9/14/2013		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: City of Phoenix		Grant Type and Number Capital Fund Program Grant No: AZ20S00150109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 20) ³					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 20)	\$220,000	\$100,000	\$100,000		
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	\$120,000	\$17,300	\$17,300	\$17,300	
8	1440 Site Acquisition					
9	1450 Site Improvement	\$697,883	\$1,137,300	\$1,137,300	\$220,039	
10	1460 Dwelling Structures	\$3,391,400	\$3,040,683	\$3,040,683	\$972,082	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0236
 Expires 4/30/2011

Part B: Summary

PHA Name: City of Phoenix	Grant Type and Number: Capital Fund Program Grant No. 622-50075-102 Replacement Housing Factor Grant No. Date: 1/1/09	FY of Grant: 2009 FY of Grant Approval: 2009
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Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no. 1 :)

Performance and Evaluation Report for Period Ending: 2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Capitalization of Debt Service paid by the PHA				
18b	2026 Capitalization of Debt Service paid via System of Ongoing Payment				
19	SEC Fee Income (less net sweep \$) of line 20	\$86,000	\$0	\$0	\$0
20	Amount of Annual Grant (sum of lines 19-18)	\$4,295,283	\$4,295,283	\$4,295,283	\$1,209,421
21	Amount of line 20 Related to CRP Activities				
22	Amount of line 20 Related to Section 208 Activities	\$125,000	\$211,500	\$211,500	
23	Amount of line 20 Related to Security - Staff Costs				
24	Amount of line 20 Related to Security - Field Costs	\$264,000	\$296,700	\$296,700	
25	Amount of line 20 Related to Emergency Conservation Measures	\$1,133,000	\$1,600,600	\$1,600,600	
Signature of Executive Director		Date		Signature of Public Housing Director	
		6-10-10			

¹ To be completed by the Performance and Evaluation Section.
² To be completed by the Performance and Evaluation Section or a Revised Annual Statement.
 PHAs with under 200 units in assignment may use 10% of CDF Grants for operations.
 RDP funds shall be included here.

Part II: Supporting Pages								
PHA Name: City of Phoenix			Grant Type and Number Capital Fund Program Grant No: AZ20S00150109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA - Wide	Administration	1410		\$220,000	\$100,000	\$100,000		
PHA - Wide	Fees and Costs	1430		\$120,000	\$17,300	\$17,300	\$17,300	
PHA - Wide	Contingency	1502		\$86,000	\$0	\$0	\$0	
AZ001000002 AMP 2 (Marcos de Niza)	Paint Building Exteriors	1460	127/374	\$332,000	\$160,000	\$160,000		0%
	Replace Security Screens and Doors	1460	374	\$145,000	\$191,300	\$191,300	\$85,549	60%
	Repair/Replace Sidewalks	1450		\$60,000	\$42,800	\$42,800	\$5,954	98%
	Improve Landscaping	1450		\$120,000	\$55,000	\$55,000		64%
	Improve/Install Playgrounds	1450		\$60,000	\$41,400	\$41,400		0%
AZ001000003 AMP 3 (East Complexes)	Paint Building Exteriors	1460	140/570	\$655,000	\$272,800	\$272,800		60%
	Lighting Improvements	1460	753	\$320,000	\$506,100	\$506,100		0%
	Exterior Door Replacements	1460	76	\$54,000	\$22,993	\$22,993	\$22,993	100%
	Seal Coat Roofs	1460	7/38	\$38,000	\$7,407	\$7,407	\$7,407	100%
	Improve Landscaping	1450		\$85,000	\$88,000	\$88,000	\$52,821	60%
	Improve/Install Playgrounds	1450		\$153,000	\$142,700	\$142,700		20%
	Repair/Replace Sidewalks	1450		\$65,000	\$114,700	\$114,700	\$107,546	98%
AZ001000004 AMP 4 (Foothills Village Apts.)	Bathtub Repair/Replacements	1460	200	\$240,000	\$122,788	\$122,788	\$122,788	100%
	Lighting Improvements	1460	200	\$158,000	\$195,200	\$195,200		0%
	Replace Security Screens and Doors	1460	200	\$65,000	\$105,400	\$105,400		50%
	Improve/Install Playgrounds	1450	2	\$69,000	\$68,700	\$68,700		25%
	Repair/Replace Sidewalks	1450		\$28,000	\$27,400	\$27,400	\$27,202	98%

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: City of Phoenix			Grant Type and Number Capital Fund Program Grant No: AZ20S00150109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AZ001000005 AMP 5 (Maryvale Parkway)	Paint Building Exteriors and Interiors	1460	3/108	\$112,000	\$43,400	\$43,400	\$43,341	100%
	Lighting Improvements	1460	108	\$32,000	\$94,400	\$94,400		100%
	Replace Flooring in Common Areas	1460		\$68,000	\$51,700	\$51,700		50%
AZ001000006 AMP 6 (Washington Manor)	Paint Building Exteriors and Interiors	1460	112	\$104,000	\$43,700	\$43,700	\$43,664	100%
	Lighting Improvements	1460		\$38,000	\$130,600	\$130,600		0%
	Replace Flooring in Common Areas	1460		\$85,000	\$53,200	\$53,200	\$45,842	90%
	Repair/Replace Sidewalks	1450		\$25,000	\$26,600	\$26,600	\$26,516	98%
	Seal Coat Roofs	1460		\$38,000	\$10,658	\$10,658	\$10,658	100%
AZ001000007 AMP 7 (Pine Towers Apts.)	Paint Building Exteriors and Interiors	1460	2/156	\$80,000	\$86,100	\$86,100	\$57,850	100%
	Lighting Improvements	1460		\$45,000	\$134,300	\$134,300		0%
	Replace Flooring in Common Areas	1460		\$65,000	\$47,500	\$47,500	\$46,861	90%
AZ001000008 AMP 8 (Scattered Sites)	Paint Building Exteriors	1460	60	\$115,000	\$154,157	\$154,157		0%
	Roof Replacements	1460	10	\$62,400	\$66,980	\$66,980	\$54,910	90%
	HVAC Upgrades	1460	100	\$540,000	\$540,000	\$540,000	\$430,219	68%
	Landscape Improvements	1450	75	\$154,883	\$530,000	\$530,000		0%

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: City Of Phoenix					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
PHA - Wide	3/17/2010	3/18/2010	3/17/2012		
AZ001000002 AMP - 2 (Marcos de Niza)					
Paint Buildings Exterior	3/17/2010	11/18/2009	3/17/2012		
Security Screens / Doors	3/17/2010	5/20/2009	3/17/2012		
R/R Sidewalks	3/17/2010	7/1/2009	3/17/2012		
Landscape Improvements	3/17/2010	3/10/2010	3/17/2012		
R/R Playgrounds	3/17/2010	2/17/2010	3/17/2012		
AZ001000003 AMP - 3 (East Complexes)					
Paint Buildings Exterior	3/17/2010	11/18/2009	3/17/2012		
Lighting Improvements	3/17/2010	3/10/2010	3/17/2012		
Exterior Door Replacement	3/17/2010	5/20/2009	3/17/2012	10/16/2009	
Seal Coat Roofs	3/17/2010	4/23/2009	3/17/2012	7/27/2009	
Landscape Improvements	3/17/2010	3/10/2010	3/17/2012		
R/R Playgrounds	3/17/2010	2/17/2010	3/17/2012		
R/R Sidewalks	3/17/2010	7/1/2009	3/17/2012		
AZ001000004 AMP - 4 (Foothills Village Apts.)					
Bathtub Repair / Replace	3/17/2010	6/10/2009	3/17/2012	12-27-2009	
Lighting Improvements	3/17/2010	3/10/2010	3/17/2012		
Security Screens / Doors	3/17/2010	5/20/2009	3/17/2012		
Repair / Install Playground	3/17/2010	2/17/2010	3/17/2012		
R/R Sidewalks	3/17/2010	7/1/2009	3/17/2012		

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: City Of Phoenix					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: City Of Phoenix					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ001000005 AMP 5 (Maryvale Parkway)					
Paint Buildings Exterior	3/17/2010	7/1/2009	3/17/2012	5/11/2010	
Paint Building Interior	3/17/2010	6/10/2009	3/17/2012	9/3/2009	
Lighting Improvements	3/17/2010	3/10/2010	3/17/2012		
Replace Flooring -common	3/17/2010	6/10/2009	3/17/2012		
AZ001000006 AMP 6 (Washington Manor)					
Paint Buildings Exterior	3/17/2010	7/1/2009	3/17/2012	5/11/2010	
Paint Building Interior	3/17/2010	6/10/2009	3/17/2012	9/3/2009	
Lighting Improvements	3/17/2010	3/10/2010	3/17/2012		
Replace Flooring -common	3/17/2010	6/10/2009	3/17/2012		
R/R Sidewalks	3/17/2010	7/1/2009	3/17/2012		
Seal Coat Roofs	3/17/2010	4/23/2009	3/17/2012	7/27/2009	
AZ001000007 AMP 7 (Pine Towers Apts.)					
Paint Buildings Exterior	3/17/2010	7/1/2009	3/17/2012	5/11/2010	
Paint Building Interior	3/17/2010	6/10/2009	3/17/2012	9/3/2009	
Lighting Improvements	3/17/2010	3/10/2010	3/17/2012		

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: City Of Phoenix				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
Replace Flooring -common	3/17/2010	6/10/2009	3/17/2012		
AZ001000008 AMP 8 (Scattered Sites)					
Exterior Paint Houses	3/17/2010	3/10/2010	3/17/2012		
Roof Replacements	3/17/2010	5/20/2009	3/17/2012		
HVAC Up-grades	3/17/2010	6/17/2009	3/17/2012		
Landscape Improvements	3/17/2010	3/10/2010	3/17/2012		

U.S. Department of Housing and Urban Development

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: City of Phoenix	Grant Type and Number Capital Fund Program Grant No: AZ20P00150108 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2008 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:1)
 Performance and Evaluation Report for Period Ending: 6/2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) ³	\$554,350	\$554,350	\$554,350	
3	1408 Management Improvements	\$150,000	\$250,000	\$250,000	\$9,600
4	1410 Administration (may not exceed 10% of line 20)	\$300,000	\$333,835	\$333,835	\$333,835
5	1411 Audit	\$3,000	\$3,000		
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$260,000	\$260,000	\$200,000	
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$1,981,000	\$1,873,000	\$1,845,000	\$7,548
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	\$20,000	\$20,000	\$20,000	
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part I: Summary

PIHA Name: City of Phoenix	Grant Type and Number: Capital Fund Program Grant No: A79090150139 Replacement Financing Factor Grant No: Date of CFFP:	FFY of Grant: 2008 FFY of Grant Approval:
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Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (reduction in: 1) _____ 2) _____

Performance and Evaluation Report for Period Ending: 6/2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
15a	1501 Capitalization of Debt Services paid by the PIHA				
15b	2030 Capitalization of Debt Services paid via System of Direct Payment				
16	1902 Funding may only not exceed 4% of line 20	\$70,000	\$44,165		
20	Amount of Annual Fund - sum of lines 1 - 19	\$3,338,350	\$3,338,350	\$3,203,185	\$350,983
21	Amount of line 20 related to BP Activities				
22	Amount of line 20 related to Section 504 Activities				
23	Amount of line 20 related to Security - Gov. Costs				
24	Amount of line 20 related to Security - Non Gov. Costs	\$112,000	\$112,000	\$112,000	
25	Amount of line 20 related to Energy Conservation Measures				

Signature of Executive Director <i>[Signature]</i>	Date 6-16-10	Signature of Public Housing Director	Date
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¹ Line 20 completed by the Performance and Evaluation Report.
² Line 20 completed by the Performance and Evaluation Report or a Revised Annual Statement.
 PIHAs with the 250 rule in management may use HUD's or CDF grants for operations.
 R... funds shall be included here.

Part II: Supporting Pages								
PHA Name: City of Phoenix		Grant Type and Number Capital Fund Program Grant No: AZ20P00150108 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AZ001000002 AMP2 (Marcos de Niza)	Remodel kitchens	1460	374	\$287,000	\$487,000	\$487,000		
	Replace doorbells/exterior lighting	1460	374	\$45,000	\$0			
	Building signage	1460		\$23,000	\$0			
AZ001000003 AMP 3 (East Complexes)	Interior door replacement	1460	145	\$44,000	\$44,000	\$44,000		
	Install back screen doors	1460	72	\$23,000	\$23,000			
AZ001000004 AMP 4 (Foothills Village Apts.)	Install security cameras	1460		\$26,000	\$26,000	\$26,000		
	Repair/replace electrical panels	1460	34/200	\$245,000	\$5,000			
AZ001000005 AMP5 (Maryvale Parkway)	Install security cameras	1460		\$23,000	\$23,000	\$23,000		
	Replace common area/unit flooring	1460		\$58,000	\$58,000	\$58,000		
AZ001000006 AMP 6 (Washington Manor)	Install security cameras	1460		\$18,000	\$18,000	\$18,000		
	Repair/Replace unit flooring	1460		\$158,000	\$158,000	\$158,000		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: City of Phoenix			Grant Type and Number Capital Fund Program Grant No: AZ20P00150108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AZ001000007 AMP7 (Pine Towers Apts.)	Install security cameras	1460		\$18,000	\$18,000	\$18,000		
	Replace common area/unit flooring	1460		\$63,000	\$63,000	\$63,000		
AZ001000008 AMP8 (Scattered Sites)	Exterior Painting, roof replacement, interior remodeling, electrical upgrades HVAC repair/replacement, site improvements	1460	433	\$950,000	\$950,000	\$950,000	\$7,548	
PHA - Wide	Operations	1406		\$554,350	\$554,350	\$554,350		
	Economic Initiatives	1408		\$150,000	\$250,000	\$250,000	\$9,600	
	Administration	1410		\$300,000	\$333,835	\$333,835	\$333,835	
	Audit Fees	1411		\$3,000	\$3,000			
	Architecture/Engineering/Consultant Fees	1430		\$260,000	\$260,000	\$200,000		
	Relocation costs	1495.1		\$20,000	\$20,000	\$20,000		
	Contingency	1502		\$70,000	\$44,165			
TOTAL				\$3,338,350	\$3,338,350	\$3,203,185	\$350,983	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: City of Phoenix					Federal FFY of Grant: 2008
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ001000002 AMP 2 (Marcos de Niza)					
Remodel Kitchen	7/13/2010	6/30/10	7/13/12		
Install irrigation systems	7/13/2010		7/13/12		
Building signage	7/13/2010		7/13/12		
AZ001000003 AMP 3 (East Complexes)					
Interior door replacement	7/13/2010	7/1/09	7/13/12		
Install back screen doors	7/13/2010		7/13/12		
AZ001000004 AMP 4 (Foothills Village Apts.)					
Install security cameras	7/13/2010	3/10/09	7/13/12		
Repair/replace elec. panels	7/13/2010		7/13/12		
AZ001000005 AMP 5 (Maryvale Parkway)					
Install security cameras	7/13/2010	3/10/09	7/13/12		
Replace common area floor	7/13/2010	4/15/10	7/13/12		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: City of Phoenix					Federal FFY of Grant: 2008
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ001000006 AMP 6 (Washington Manor)					
Install security camera	7/13/2010	3/10/09	7/13/2012		
Replace common floor	7/13/2010		7/13/2012		
AZ001000007 AMP 7 (Pine Towers Apts.)					
Install security camera	7/13/2010	3/10/09	7/13/2012		
Replace common floor	7/13/2010	7/1/09	7/13/2012		
AZ001000008 AMP 8 (Scattered Sites)	7/13/2010	7/1/09	7/13/2012		
Exterior Painting, roof replacement, Interior remodeling, electrical upgrades HVAC repair replacement, site improvements					

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: City of Phoenix		Grant Type and Number Capital Fund Program Grant No: AZ20P00150107 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2007 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no:1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 20) ³	\$780,000	\$780,000	\$780,000	\$6,923	
3	1408 Management Improvements	\$150,000	\$250,000	\$250,000		
4	1410 Administration (may not exceed 10% of line 20)	\$390,000	\$391,714	\$391,714	\$391,714	
5	1411 Audit	\$3,000	\$3,000			
6	1415 Liquidated Damages					
7	1430 Fees and Costs	\$360,000	\$318,000	\$200,000	\$1,116	
8	1440 Site Acquisition					
9	1450 Site Improvement	\$333,000	\$95,000	\$95,000	\$1,582	
10	1460 Dwelling Structures	\$1,841,000	\$2,021,000	\$2,006,836	\$830,957	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part B: Summary

PIA Name: City of Phoenix	Grant Type and Number: Capital Fund Program Grant No. A730P013002 Replacement Housing Factor Grant No. Date of CFPP:	RFY of Grant: 2007 RFY of Grant Approval:
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Type of Grant

Original Annual Statement Reserve for Disaster/Emergency Revised Annual Statement (revision no: 1) Final Performance and Evaluation Report
 Performance and Evaluation Report for Period Ending: 6/2010

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
15	1501 Codetermination of Debt Service paid by the PHA				
16	9500 Capitalization of Debt Service paid via System of Direct Payment				
19	1500 Contingency (may not exceed 5% of line 20)	\$60,143	\$58,429		
20	Amount of Annual Contract - (sum of lines 7 - 18)	\$3,917,143	\$3,917,143	\$3,723,550	\$1,232,292
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 301 Activities				
23	Amount of line 20 Related to Section 3 - Full Costs				
24	Amount of line 20 Related to Security - Full Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director <i>[Signature]</i>	Date 6-16-10	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or Revised Annual Statement
 PHAs with under 250 units in management may use 0.2% of CFF Grants for operations
³ RHP Funds will be included here

Part II: Supporting Pages								
PHA Name: City of Phoenix			Grant Type and Number Capital Fund Program Grant No: AZ20P00150107 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AZ001000002 AMP2 (Marcos de Niza)	Repair sidewalks	1450	374	\$60,000	\$60,000	\$60,000		
	Install irrigation systems	1450	374	\$235,000	\$35,000	\$35,000	\$1,582	
AZ001000003 AMP 3 (East Complexes)	Exterior door repair/replace	1460	368	\$158,000	\$158,000	\$158,000		
	Repair/Replace balcony rails	1460	347	\$270,000	\$325,000	\$325,000		
	Apply roof coating	1460	202	\$275,000	\$275,000	\$275,000		
	Exterior painting and signage	1460	202	\$65,000	\$65,000	\$65,000		
AZ001000004 (Foothills Village Apts.)	Apply roof coating	1460	200	\$163,000	\$35,000	\$35,000		
	Seal coat and stripe parking lots	1450	200	\$38,000	\$0	\$0		
AZ001000005 AMP5 (Maryvale Parkway)	Repair and seal coat roofs	1460	108	\$23,000	\$23,000	\$8,836	\$8,836	
	Replace flooring in units	1460	108	\$68,000	\$105,000	\$105,000	\$18,125	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: City of Phoenix		Grant Type and Number Capital Fund Program Grant No: AZ20P00150107 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AZ001000006 AMP6 (Washington Manor)	Interior lighting improvements	1460	112	\$28,000	\$28,000	\$28,000		
AZ001000007 AMP7 (Pine Towers Apts.)	Replace floors in units	1460	156	\$65,000	\$154,000	\$154,000		
AZ001000008 AMP8 (Scattered Sites)	Exterior painting, and interior remodel (Bath,kitchen,fixtures,electrical,roof,flooring HVAC)	1460	120	\$853,000	\$853,000	\$853,000	\$803,996	
PHA - Wide								
	Operations	1406		\$780,000	\$780,000	\$780,000	\$6,923	
	Economic Initiatives	1408		\$150,000	\$250,000	\$250,000		
	Administration	1410		\$390,000	\$391,714	\$391,714	\$391,714	
	Audit Fees	1411		\$3,000	\$3,000			
	Architecture/Engineering/Consultant Fees	1430		\$360,000	\$318,000	\$200,000	\$1,116	
	Relocation Costs	1495.1		\$0	\$0			
	Contingency	1502		\$60,143	\$58,429			
TOTAL				\$3,917,143	\$3,917,143	\$3,723,550	\$1,232,292	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: City of Phoenix					Federal FFY of Grant: 2007
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ001000002 AMP 2 (Marcos de Niza)					
Repair sidewalks	9/12/2009	8/31/09	9/12/2011		
Install irrigation systems	9/12/2009	8/15/09	9/12/2011		
AZ001000003 AMP 3 (East Complexes)					
Exterior fascia repair	9/12/2009	5/28/09	9/12/2011		
Repair/Replace balcony rail	9/12/2009	8/31/09	9/12/2011		
Apply roof coating	9/12/2009	5/21/09	9/12/2011		
Exterior painting, / signage	9/12/2009	4/30/09	9/12/2011		
AZ001000004 AMP 4 (Foothills Village Apts.)					
Apply roof coating	9/12/2009	3/11/2008	9/12/2011	10/29/2008	
Seal coat/stripe parking lot	9/12/2009	3/7/2008	9/12/2011	5/6/2008	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: City of Phoenix					Federal FFY of Grant: 2007
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ001000005 AMP 5 (Maryvale Parkway)					
Repair /seal coat roofs	9/12/2009	10/10/08	09/12/2011		
Replace Floor in units	9/12/2009	12/30/08	09/12/2011		
AZ001000006 AMP 6 (Washington Manor)					
Inter light improve	9/12/2009	3/10/09	09/12/2011		
AZ001000007 AMP 7 (Pine Towers Apts.)					
Replace floors in units	9/12/2009	12/30/08	09/12/2011		
AZ001000008 AMP 8 (Scattered Sites)					
Rehab: In force labor	9/12/2009	7/1/2009	09/12/2011	6/30/2010	
Include contract items:	9/12/2009				
FlooringRoofingHVAC	9/12/2009	1/7/2008		6/20/2008	
Electrical, Painting	9/12/2009	11/7/08			
Bath, Kitchen Fixtures	9/12/2009	8/1/08			

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: City of Phoenix		Grant Type and Number Capital Fund Program Grant No: AZ20P00150106 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2006 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) ³	\$690,000	\$690,000	\$690,000	\$527,212
3	1408 Management Improvements	\$200,000	\$200,000	\$200,000	
4	1410 Administration (may not exceed 10% of line 20)	\$308,000	\$308,000	\$308,000	\$226,585
5	1411 Audit	\$3,000	\$3,000	\$3,000	
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$330,000	\$350,000	\$350,000	\$247,044
8	1440 Site Acquisition				
9	1450 Site Improvement	\$156,000	\$101,000	\$101,000	\$26,678
10	1460 Dwelling Structures	\$1,718,500	\$1,920,012	\$1,920,012	\$1,241,896
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	\$10,000	\$0		
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Reimbursement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0326
 Expires 4/30/2011

Part I: Summary

PHA Name: City of Phoenix	Grant Type and Number: Capital Fund Program Grant No: A220-9015006 Reimbursement Housing Factor Grant No: Date of CFPP:	FY of Grant: 2008 FY of Grant Approval:
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Type of Grant:
 Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending: 6/2010 Revised Annual Statement (revisions only)
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Reimbursed Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
34	1521 Collaboration of Debt service paid by the PHA				
364	50701 Collaboration of Debt service paid Via System of Trans. Payment				
19	1905 Emergency (may not exceed 8% of line 20)	\$54,000	\$0		
20	Amount of Annual Fund - sum of lines 2 - 19	\$3,469,509	\$3,572,012	\$3,572,012	\$2,345,397
21	Amount of line 20 Related to I-190 Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Self Care				
24	Amount of line 20 Related to Security - Fleet Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director <i>R. Dorney</i>	Date 6-16-10	Signature of Public Housing Director	Date
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¹ To be completed in the Performance and Evaluation Report.
² To be complete of the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 200 units in management may use 100% of CFPP funds for operations.
⁴ All funds shall be awarded first.

Part II: Supporting Pages								
PHA Name: City of Phoenix			Grant Type and Number Capital Fund Program Grant No: AZ20P00150106 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AZ001000002 AMP2 (Marcos de Niza)								
AZ001000003 AMP 3 (East Complexes)	Enclose electric panels	1460	7	\$0	\$0			
	Remove sidewalks	1450	230	\$25,000	\$0			
	Install perimeter fencing	1450	138	\$63,000	\$63,000	\$63,000	\$13,118	
	Upgrade electric service	1460	138	\$350,000	\$0			
	Install heat pumps	1460	138	\$535,000	\$0			
	Exterior painting, fascia repair & signage	1460	145	\$120,000	\$20,000	\$19,325	\$19,325	
	Apply roof coating	1460	202	\$130,000	\$50,000	\$42,965	\$42,965	
AZ001000004 AMP 4 (Foothills Village Apts.)	Seal coat and stripe parking lots	1450	200	\$38,000	\$38,000	\$38,000	\$13,560	
	Playground improvements	1450	2	\$30,000	\$0			
	Apply roof coating	1460	200	\$163,000	\$80,000	\$80,000	\$75,982	
AZ001000005 AMP5 (Maryvale Parkway)	Repair/replace condensor pans	1460	108	\$0	\$0			
	Repair/replace fan coils	1460	108	\$0	\$0			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: City of Phoenix			Grant Type and Number Capital Fund Program Grant No: AZ20P00150106 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AZ001000006 AMP6 (Washington Manor)								
AZ001000007 AMP7 (Pine Towers Apts.)	Apply roof coating Replace chillers, cooling tower & HVAC systems	1460 1460	156 156	\$30,000 \$0	\$50,000 \$865,000	\$49,855 \$818,985	\$49,855 \$818,985	
AZ001000008 AMP8 (Scattered Sites)	Exterior painting, and interior remodel (Bath,kitchen,fixtures,electrical,roof,flooring HVAC)	1460	433	\$390,500	\$908,882	\$908,882	\$310,766	
PHA - Wide								
	Operations	1406		\$690,000	\$690,000	\$690,000	\$527,212	
	Economic Initiatives	1408		\$200,000	\$200,000	\$200,000		
	Administration	1410		\$308,000	\$308,000	\$308,000	\$226,585	
	Audit Fees	1411		\$3,000	\$3,000	\$3,000		
	Architecture/Engineering/Consultant Fees	1430		\$330,000	\$350,000	\$350,000	\$247,044	
	Relocation Costs	1495.1		\$10,000	\$0	\$0		
	Contingency	1502		\$54,009	\$0			
TOTAL				\$3,469,509	\$3,572,012	\$3,572,012	\$2,345,397	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: City of Phoenix					Federal FFY of Grant: 2006
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ001000002 AMP 2 (Marcos de Niza)	7/17/2008	No Work this AMP	7/17/2010	No Work this AMP	
AZ001000003 AMP 3 (East Complexes)					
Install Perimeter Fencing	7/17/2008	5/1/2008	7/17/2010	6/11/2009	
Exter.Paint,Fascia, Signage	7/17/2008	7/5/2007	7/17/2010	1/20/2009	
Apply Roof Coating	7/17/2008	5/22/2008	7/17/2010	11/19/2008	
AZ001000004 AMP 4 (Foothills Village Apts.)	7/17/2008	3/11/08	7/17/2010	10/29/08	
AZ001000005 AMP 5 (Maryvale Parkway)	7/17/2008	Projects moved	7/17/2010	Projects moved	
AZ001000005 AMP 6 (Washington Manor)	7/17/2008	No Work this AMP	7/17/2010	No Work this AMP	
AZ001000007 AMP 7 (Pine Towers Apts)					
Apply Roof Coating	7/17/2008	5/22/2008	7/17/2010	11/19/2008	
Chiller / Cooling Tower	7/17/2008	4/29/2008	7/17/2010	11/12/2009	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: City of Phoenix					Federal FFY of Grant: 2006
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AZ001000008 AMP 8 (Scattered Sites)	7/17/2008	7/1/2007	7/17/2010	6/30/2010	
Rehab to Include					
contractual items:					
Flooring, Roofing, HVAC					
Electrical, Painting					
Bath, Kitchen Fixtures					

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Attachment 11i
Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan

PART I: SUMMARY

PHA Name City of Phoenix Housing Department		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1 2010	Work Statement for Year 2 FFY Grant: 2011 PHA FY: 2011	Work Statement for Year 3 FFY Grant: 2012 PHA FY: 2012	Work Statement for Year 4 FFY Grant: 2013 PHA FY: 2013	Work Statement for Year 5 FFY Grant: 2014 PHA FY: 2014
AMP 2					
AZ001000002 <i>Marcos de Niza</i>	See Annual Statement	\$0	\$0	\$0	\$0
AMP 3					
AZ001000003 <i>Frank Luke Homes</i>		\$456,000	\$126,500	\$68,000	\$8,000
AMP 4					
AZ001000004 <i>Foothills Village Apart..</i>		\$323,000	\$68,000	\$950,000	\$225,000
AMP 5					
AZ001000005 <i>Maryvale Parkway Terrace</i>		\$33,000	\$324,000	\$60,000	\$546,000
AMP 6					
AZ001000006 <i>Washington Manor</i>		\$623,000	\$85,000	\$254,000	\$36,000
AMP 7					
AZ001000007 <i>Pine Towers</i>		\$343,200	\$650,000	\$68,000	\$3,800
AMP 8					
AZ001000008 <i>Scattered Sites</i>		\$600,000	\$600,000	\$800,000	\$600,000

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name City of Phoenix Housing Department		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA- Wide	Year 1 2010	Work Statement for Year 2 FFY Grant: 2011 PHA FY: 2011	Work Statement for Year 3 FFY Grant: 2012 PHA FY: 2012	Work Statement for Year 4 FFY Grant: 2013 PHA FY: 2013	Work Statement for Year 5 FFY Grant: 2014 PHA FY: 2014
<i>HA-Wide Operations</i>	See Annual Statement	\$650,000	\$685,000	\$650,000	\$600,000
<i>HA-Wide Economic Initiatives</i>		\$200,000	\$200,000	\$225,000	\$225,000
<i>HA-Wide Administration</i>		\$390,000	\$400,000	\$450,000	\$460,000
<i>HA-Wide Training</i>		\$5,000	\$4,000	\$4,000	\$5,000
<i>HA-Wide Audit Fees</i>		\$4,000	\$4,000	\$4,000	\$5,000
<i>HA-Wide Fees and Costs</i>		\$322,000	\$330,000	\$280,000	\$285,000
<i>HA-Wide Relocation Costs</i>		\$25,000	\$25,000	\$15,000	\$13,000
<i>HA-Wide Contingency</i>		\$85,000	\$75,000	\$70,000	\$80,000
CFP Funds Listed for 5-year planning		\$4,059,200	\$3,576,500	\$3,898,000	\$3,091,800

CAPITAL FUND PROGRAM FIVE-YEAR ACTION PLAN
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u> 2 </u> FFY Grant: 2011 PHA FY: 2011			Activities for Year: <u> 3 </u> FFY Grant: 2012 PHA FY: 2012		
	Development Name/Number	Major Work Categories		Development Name/Number	Major Work Categories	Estimated Cost
	AMP 2					
Annual	AZ001000002			AZ001000002 Marcos de Niza	II.	
Statement	AMP 3					
	AZ001000003 <i>Frank Luke Homes</i>	<i>Water heater replacement</i>	\$174,000	AZ001000003 <i>Frank Luke Homes</i>	II. WINDOW	\$126,500
		<i>Exterior and security door replacements</i>	\$282,000		REP	
					LAC	
					EME	
					NTS	
			\$456,000		Subtotal	\$126,500
	AMP 4					
	AZ001000004 <i>Foothills Village Apart.</i>	<i>Replace windows</i>	\$103,000	AZ001000004 <i>Foothills Village Apart.</i>	<i>Repave parking lots</i>	\$68,000
		<i>Repair patio covers</i>	\$220,000			
			\$323,000		Subtotal	\$68,000
	AMP 5					
	AZ001000005 <i>Maryvale Parkway Terrace</i>	<i>Repave and stripe parking lots</i>	\$33,000	AZ001000005 <i>Maryvale Parkway Terrace</i>	<i>Remodel bathrooms</i>	\$324,000
			\$33,000		Subtotal	\$324,000
	AMP 6					
	AZ001000006 <i>Washington Manor</i>	<i>Solar installation</i>	\$537,000	AZ001000006 <i>Washington Manor</i>	<i>Replace windows</i>	\$85,000
		<i>Roof repairs</i>	\$86,000			
			\$623,000		Subtotal	\$85,000
	AMP 7					

	AZ001000007 <i>Pine Towers</i>	<i>New kitchen counter tops and appliances</i>	\$343,200	AZ001000007 <i>Pine Towers</i>	<i>Solar Installation</i>	\$650,000
			\$343,200		Subtotal	\$650,000
	AMP 8					
	AZ001000008 <i>Scattered Sites</i>	<i>Exterior painting, roof replacement, interior remodeling, electrical upgrades, HVAC replacement, site improvements</i>	\$600,000	AZ001000008 <i>Scattered Sites</i>	<i>Exterior painting, roof replacement, interior remodeling, electrical upgrades, HVAC replacement, site improvements</i>	\$600,000
			\$600,000		Subtotal	\$600,000

**CAPITAL FUND PROGRAM FIVE-YEAR ACTION PLAN
Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: 2011 PHA FY: 2011			Activities for Year: <u>3</u> FFY Grant: 2012 PHA FY: 2012		
	Development Name/Number	Major Work Categories		Development Name/Number	Major Work Categories	
	<i>HA-Wide</i>	<i>Operations</i>	\$650,000	<i>HA-Wide</i>	<i>Operations</i>	\$685,000
Annual	<i>HA-Wide</i>	<i>Economic Initiatives</i>	\$200,000	<i>HA-Wide</i>	<i>Economic Initiatives</i>	\$200,000
Statement	<i>HA-Wide</i>	<i>Administration</i>	\$390,000	<i>HA-Wide</i>	<i>Administration</i>	\$400,000
	<i>HA-Wide</i>	<i>Training</i>	\$5,000	<i>HA-Wide</i>	<i>Training</i>	\$4,000
	<i>HA-Wide</i>	<i>Audit Fees</i>	\$4,000	<i>HA-Wide</i>	<i>Audit Fees</i>	\$4,000
	<i>HA-Wide</i>	<i>Fees and Costs</i>	\$322,000	<i>HA-Wide</i>	<i>Fees and Costs</i>	\$330,000
	<i>HA-Wide</i>	<i>Relocation Costs</i>	\$25,000	<i>HA-Wide</i>	<i>Relocation Costs</i>	\$25,000
	<i>HA-Wide</i>	<i>Contingency</i>	\$85,000	<i>HA-Wide</i>	<i>Contingency</i>	\$75,000

Total CFP Estimated Cost			\$4,059,200			\$3,576,500

CAPITAL FUND PROGRAM FIVE-YEAR ACTION PLAN
Part II: Supporting Pages—Work Activities

Activities for Year : <u> 4 </u> FFY Grant: 2013 PHA FY: 2013			Activities for Year: <u> 5 </u> FFY Grant: 2014 PHA FY: 2014		
Development Name/Number	Major Work Categories		Development Name/Number	Major Work Categories	Estimated Cost
AMP 2	IV.			V.	
AZ001000002	VI.		AZ001000002 Marcos de Niza		
AMP 3	VII.				
AZ001000003	<i>Interior door replacement</i>	\$68,000	AZ001000003	<i>Hydrovac Sewers</i>	\$8,000
	Subtotal	\$68,000		Subtotal	\$8,000
AMP 4					
AZ001000004 <i>Foothills Village Apart.</i>	<i>Remodel Kitchens</i>	\$950,000	AZ001000004 <i>Foothills Village Apart.</i>	<i>Flooring replacement</i>	\$225,000
	Subtotal	\$950,000		Subtotal	\$225,000
AMP 5					
AZ001000005 <i>Maryvale Parkway Terrace</i>	<i>Roof Repair & Coating</i>	\$60,000	AZ001000005 <i>Maryvale Parkway Terrace</i>	<i>Flooring replacement</i>	\$546,000
	Subtotal	\$60,000		Subtotal	\$546,000
AMP 6					
AZ001000006 <i>Washington Manor</i>	<i>Replace flooring</i>	\$254,000	AZ001000006 <i>Washington Manor</i>	<i>Replace HVACs on roof</i>	\$36,000
	Subtotal	\$254,000		Subtotal	\$36,000
AMP 7					
AZ001000007 <i>Pine Towers</i>	<i>Interior Painting</i>	\$68,000	AZ001000007 <i>Pine Towers</i>	<i>Hydrovac Sewers</i>	\$3,800
	Subtotal	\$68,000		Subtotal	\$3,800
AMP 8					

<i>AZ001000008 Scattered Sites</i>	<i>Exterior painting, roof replacement, interior remodeling, electrical upgrades, HVAC replacement, site improvements</i>	\$800,000	<i>AZ001000008 Scattered Sites</i>	<i>Exterior painting, roof replacement, interior remodeling, electrical upgrades, HVAC replacement, site improvements</i>	\$600,000
	<i>Subtotal</i>	\$800,000		<i>Subtotal</i>	\$600,000

**CAPITAL FUND PROGRAM FIVE-YEAR ACTION PLAN
Part II: Supporting Pages—Work Activities**

Activities for Year : <u>4</u> FFY Grant: 2013 PHA FY: 2013			Activities for Year: <u>5</u> FFY Grant: 2014 PHA FY: 2014		
Development Name/Number	Major Work Categories		Development Name/Number	Major Work Categories	Estimated Cost
<i>HA-Wide</i>	<i>Operations</i>	\$650,000	<i>HA-Wide</i>	<i>Operations</i>	\$600,000
<i>HA-Wide</i>	<i>Economic Initiatives</i>	\$225,000	<i>HA-Wide</i>	<i>Economic Initiatives</i>	\$225,000
<i>HA-Wide</i>	<i>Administration</i>	\$450,000	<i>HA-Wide</i>	<i>Administration</i>	\$460,000
<i>HA-Wide</i>	<i>Training</i>	\$4,000	<i>HA-Wide</i>	<i>Training</i>	\$5,000
<i>HA-Wide</i>	<i>Audit Fees</i>	\$4,000	<i>HA-Wide</i>	<i>Audit Fees</i>	\$5,000
<i>HA-Wide</i>	<i>Fees and Costs</i>	\$280,000	<i>HA-Wide</i>	<i>Fees and Costs</i>	\$285,000
<i>HA-Wide</i>	<i>Relocation Costs</i>	\$15,000	<i>HA-Wide</i>	<i>Relocation Costs</i>	\$13,000
<i>HA-Wide</i>	<i>Contingency</i>	\$70,000	<i>HA-Wide</i>	<i>Contingency</i>	\$80,000
Total CFP Estimated Cost		\$3,898,000			\$3,091,800