

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: <u>Malvern Housing Authority</u> PHA Code: <u>AR094</u> PHA Type: ☐ Small ☒ High Performing ☐ Standard ☐ HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>10/2010</u>												
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>169</u> Number of HCV units: <u>0</u>												
3.0	Submission Type ☒ 5-Year and Annual Plan ☐ Annual Plan Only ☐ 5-Year Plan Only												
4.0	PHA Consortia ☐ PHA Consortia: (Check box if submitting a joint Plan and complete table below.)												
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program <table border="1"> <tr> <th>PH</th> <th>HCV</th> </tr> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> </table>	PH	HCV						
PH	HCV												
	PHA 1:												
	PHA 2:												
	PHA 3:												
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.												
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <u>To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.</u>												
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. B. Goals The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, PHAs ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS. (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives. HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing. PHA Goal: Expand the supply of assisted housing Objectives: - Reduce public housing vacancies: PHA Goal: Improve the quality of assisted housing Objectives: - Improve public housing management: (PHAS score) - Renovate or modernize public housing units: HUD Strategic Goal: Improve community quality of life and economic vitality PHA Goal: Provide an improved living environment Objectives: - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments: - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments: - Implement public housing security improvements: HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans PHA Goal: Ensure equal opportunity and affirmatively further fair housing Objectives: - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability: - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability: - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:												

6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: Financial Resources Annual Statement and Five Year Action Plan (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. PHA Main Administrative Office 100 Gloster Street Malvern, AR 72104																																																																																
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. Include statements related to these programs as applicable. N/A																																																																																
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.																																																																																
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. Attached																																																																																
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. Attached																																																																																
8.3	Capital Fund Financing Program (CFFP). ☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. N/A																																																																																
9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. Housing Needs. 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In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment. <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th colspan="8" style="text-align: center;">Housing Needs of Families in the Jurisdiction by Family Type</th> </tr> <tr> <th style="text-align: left;">Family Type</th><th style="text-align: center;">Overall</th><th style="text-align: center;">Afford- ability</th><th style="text-align: center;">Supply</th><th style="text-align: center;">Quality</th><th style="text-align: center;">Access- -ibility</th><th style="text-align: center;">Size</th><th style="text-align: center;">Location</th></tr> </thead> <tbody> <tr> <td>Income <= 30% of AMI</td><td style="text-align: center;">337</td><td style="text-align: center;">4</td><td style="text-align: center;">2</td><td style="text-align: center;">1</td><td style="text-align: center;">2</td><td style="text-align: center;">3</td><td style="text-align: center;">1</td></tr> <tr> <td>Income >30% but <=50% of AMI</td><td style="text-align: center;">307</td><td style="text-align: center;">3</td><td style="text-align: center;">2</td><td style="text-align: center;">1</td><td style="text-align: center;">2</td><td style="text-align: center;">3</td><td style="text-align: center;">1</td></tr> <tr> <td>Income >50% but <80% of AMI</td><td style="text-align: center;">269</td><td style="text-align: center;">2</td><td style="text-align: center;">2</td><td style="text-align: center;">1</td><td style="text-align: center;">2</td><td style="text-align: center;">3</td><td style="text-align: center;">1</td></tr> <tr> <td>Elderly</td><td style="text-align: center;">235</td><td style="text-align: center;">4</td><td style="text-align: center;">3</td><td style="text-align: center;">1</td><td style="text-align: center;">4</td><td style="text-align: center;">3</td><td style="text-align: center;">1</td></tr> <tr> <td>Families with Disabilities</td><td style="text-align: center;">294</td><td style="text-align: center;">4</td><td style="text-align: center;">3</td><td style="text-align: center;">1</td><td style="text-align: center;">5</td><td style="text-align: center;">3</td><td style="text-align: center;">1</td></tr> <tr> <td>White</td><td style="text-align: center;">924</td><td style="text-align: center;">3</td><td style="text-align: center;">3</td><td style="text-align: center;">1</td><td style="text-align: center;">2</td><td style="text-align: center;">3</td><td style="text-align: center;">1</td></tr> <tr> <td>Hispanic</td><td style="text-align: center;">28</td><td style="text-align: center;">3</td><td style="text-align: center;">3</td><td style="text-align: center;">1</td><td style="text-align: center;">2</td><td style="text-align: center;">3</td><td style="text-align: center;">1</td></tr> <tr> <td>Black</td><td style="text-align: center;">431</td><td style="text-align: center;">3</td><td style="text-align: center;">3</td><td style="text-align: center;">1</td><td style="text-align: center;">2</td><td style="text-align: center;">3</td><td style="text-align: center;">1</td></tr> </tbody> </table> What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.) ☒ Consolidated Plan of the Jurisdiction/s ☒ U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset ☐ American Housing Survey data	Housing Needs of Families in the Jurisdiction by Family Type								Family Type	Overall	Afford- ability	Supply	Quality	Access- -ibility	Size	Location	Income <= 30% of AMI	337	4	2	1	2	3	1	Income >30% but <=50% of AMI	307	3	2	1	2	3	1	Income >50% but <80% of AMI	269	2	2	1	2	3	1	Elderly	235	4	3	1	4	3	1	Families with Disabilities	294	4	3	1	5	3	1	White	924	3	3	1	2	3	1	Hispanic	28	3	3	1	2	3	1	Black	431	3	3	1	2	3	1
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9.1	Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. B. Strategy for Addressing Needs Provide a brief description of the PHA's strategy for addressing the housing needs of families on the PHA's public housing and Section 8 waiting lists IN THE UPCOMING YEAR, and the Agency's reasons for choosing this strategy. (1) Strategies Need: Shortage of affordable housing for all eligible populations Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by: Reduce turnover time for vacated public housing units Reduce time to renovate public housing units Need: Specific Family Types: Families at or below 30% of median Strategy 1: Target available assistance to families at or below 30 % of AMI Adopt rent policies to support and encourage work Need: Specific Family Types: Families at or below 50% of median Strategy 1: Target available assistance to families at or below 50% of AMI Employ admissions preferences aimed at families who are working (2) Reasons for Selecting Strategies Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue: Funding constraints Staffing constraints Extent to which particular housing needs are met by other organizations in the community
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10.0	Additional Information. Describe the following, as well as any additional information HUD has requested. (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. B. Goals The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, PHAs ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS. (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives. HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing. PHA Goal: Expand the supply of assisted housing Objectives: - Continuing our effort to reduce vacancies and improve our PHAS score. We modernize our units each year with Capital Funds. HUD Strategic Goal: Improve community quality of life and economic vitality PHA Goal: Provide an improved living environment Objectives: - We abide by our De-Concentration Policy to ensure no developments are 15% higher or lower than the average income of all public housing authority residents. HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans PHA Goal: Ensure equal opportunity and affirmatively further fair housing Objectives: - Continue to ensure that all residents are treated equally regardless of race, color, religion, national origin, sex, familial status and disability. (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" a. Substantial Deviation from the 5-Year Plan. The Malvern Housing Authority will consider the following to be changes in its Agency Plan necessary and sufficient to require a 30 day review by all participants before a corresponding change in the Agency Plan can be adopted: - Any alteration of the PHA's Mission Statement. - Any change or amendment to a stated Strategic Goal. - Any change or amendment to a stated Strategic Objective except in cases where the change results from the objective having been met. - Any introduction of a new Strategic Goal or new Strategic Objective. - Any alteration in the Capital Fund Program (CFP) that affects an expenditure greater than twenty percent (20%) of the CFP Annual Budget for that year. In defining the above, the Malvern Authority intends by "Strategic Goal" and "Strategic Objective" specifically those items in its Five Year Plan and any change in the above items will be considered a "substantial deviation" from the plan. b. Significant Amendment or Modification to the Annual Plan. Furthermore, the PHA considers the following changes to require a public process before amending said changes and that these times are "significant amendments or modifications" to the Agency Plan: - Changes to rent or admissions policies or organization of the waiting list. - Additions of non-emergency work items (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund. - Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities. An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements; such changes will not be considered significant amendments by HUD.
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11.0	VIOLENCE AGAINST WOMEN ACT PHA STATEMENT The Malvern Housing Authority (MHA) provides or plans to offer referrals, training and information to anyone being abused. This includes child or adult victims of domestic violence, dating violence, sexual assault or stalking. Malvern Housing Authority conducts criminal background checks on all new adult residents prior to moving in. MHA has a close working relationship with law enforcement agencies to promote safety within and around its properties. Additionally, MHA maintains an updated criminal trespass list to prevent violent and criminal behavior within and around its properties. MHA has a positive working relationship with various social service agencies. We have partnered with local social service agencies such as the Police Department and the Department of Human Services and have referral information available to our residents. We intend to provide housing to victims directly from the domestic violence shelters that are fleeing domestic violence and need a safe place to reside. We refer our residents to local social service agencies when they need enhanced safety due to domestic violence. We provide the <u>VAWA Notice</u> at time of move in and <u>Reauthorization</u> to all applicants and tenants of their rights under VAWA together with the HUD 50066 form. We have amended our lease and Admissions and Continued Occupancy Policy to include additional language that clearly specifies our right to bifurcate the lease to evict the perpetrator while protecting the victims from domestic violence. It clearly specifies our right to bifurcate the lease to evict the perpetrator while protecting the victim.
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12.0	Statement of Financial Resources [24 CFR Part 903.12 (b), 903.7 (c)] List on the following table the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other. <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="3" style="text-align: center;">Financial Resources: Planned Sources and Uses</th> </tr> <tr> <th style="text-align: left;">Sources</th><th style="text-align: center;">Planned \$</th><th style="text-align: center;">Planned Uses</th></tr> </thead> <tbody> <tr> <td>1. Federal Grants (FY 2010 grants)</td><td></td><td></td></tr> <tr> <td>a) Public Housing Operating Fund</td><td style="text-align: right;">\$359,384</td><td>Operations</td></tr> <tr> <td>b) Public Housing Capital Fund</td><td style="text-align: right;">\$270,089</td><td>Capital Improvements</td></tr> <tr> <td>c) HOPE VI Revitalization</td><td></td><td></td></tr> <tr> <td>d) HOPE VI Demolition</td><td></td><td></td></tr> <tr> <td>e) Annual Contributions for Section 8 Tenant-Based Assistance</td><td></td><td></td></tr> <tr> <td>f) Resident Opportunity and Self-Sufficiency Grants</td><td></td><td></td></tr> <tr> <td>g) Community Development Block Grant</td><td></td><td></td></tr> <tr> <td>h) HOME</td><td></td><td></td></tr> <tr> <td>Other Federal Grants (list below)</td><td></td><td></td></tr> <tr> <td></td><td></td><td></td></tr> <tr> <td>2. Prior Year Federal Grants (unobligated funds only) (list below)</td><td></td><td></td></tr> <tr> <td>2009 CFP</td><td style="text-align: right;">\$195,542.38</td><td>Capital Improvements</td></tr> <tr> <td></td><td></td><td></td></tr> <tr> <td></td><td></td><td></td></tr> <tr> <td>3. Public Housing Dwelling Rental Income</td><td style="text-align: right;">\$230,270</td><td>Operations</td></tr> <tr> <td></td><td></td><td></td></tr> <tr> <td></td><td></td><td></td></tr> <tr> <td>4. Other income (list below)</td><td></td><td></td></tr> <tr> <td>Washer / Dryer</td><td style="text-align: right;">\$2,240</td><td>Operations</td></tr> <tr> <td>Interest</td><td style="text-align: right;">\$7,000</td><td>Operations</td></tr> <tr> <td>Tenant Charges</td><td style="text-align: right;">\$37,130</td><td>Operations</td></tr> <tr> <td>Grant Transfer</td><td style="text-align: right;">\$25,000</td><td>Operations</td></tr> <tr> <td>4. Non-federal sources (list below)</td><td></td><td></td></tr> <tr> <td></td><td></td><td></td></tr> <tr> <td></td><td></td><td></td></tr> <tr> <td>Total resources</td><td style="text-align: right;">\$1,126,655.38</td><td></td></tr> <tr> <td></td><td></td><td></td></tr> </tbody> </table>	Financial Resources: Planned Sources and Uses			Sources	Planned \$	Planned Uses	1. Federal Grants (FY 2010 grants)			a) Public Housing Operating Fund	\$359,384	Operations	b) Public Housing Capital Fund	\$270,089	Capital Improvements	c) HOPE VI Revitalization			d) HOPE VI Demolition			e) Annual Contributions for Section 8 Tenant-Based Assistance			f) Resident Opportunity and Self-Sufficiency Grants			g) Community Development Block Grant			h) HOME			Other Federal Grants (list below)						2. Prior Year Federal Grants (unobligated funds only) (list below)			2009 CFP	\$195,542.38	Capital Improvements							3. Public Housing Dwelling Rental Income	\$230,270	Operations							4. Other income (list below)			Washer / Dryer	\$2,240	Operations	Interest	\$7,000	Operations	Tenant Charges	\$37,130	Operations	Grant Transfer	\$25,000	Operations	4. Non-federal sources (list below)									Total resources	\$1,126,655.38				
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13.0	Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office. (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: **(i)** A description of the need for measures to ensure the safety of public housing residents; **(ii)** A description of any crime prevention activities conducted or to be conducted by the PHA; and **(iii)** A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that **approved and/or pending** demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:
<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c)** PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a)** Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b)** Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c)** Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d)** Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e)** Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f)** Resident Advisory Board (RAB) comments.
- (g)** Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h)** Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i)** Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: AR37P09450110 Date of CFFP:		FFY of Grant: 2010 FFY of Grant Approval:
Type of Grant ☒ Original Annual Statement ☐ Performance and Evaluation Report for Period Ending:				
☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:) ☐ Final Performance and Evaluation Report				
Line	Summary by Development Account	Original	Total Estimated Cost Revised 2	Total Actual Cost 1 Obligated Expended
1	Total non-CFP Funds			
2	1406 Operations (may not exceed 20% of line 21) 3	\$25,000		
3	1408 Management Improvements	\$35,000		
4	1410 Administration (may not exceed 10% of line 21)	\$27,000		
5	1411 Audit	\$1,000		
6	1415 Liquidated Damages			
7	1430 Fees and Costs	\$33,500		
8	1440 Site Acquisition			
9	1450 Site Improvement	\$1,489		
10	1460 Dwelling Structures	\$108,672		
11	1465.1 Dwelling Equipment—Nonexpendable	\$16,025		
12	1470 Nondwelling Structures			
13	1475 Nondwelling Equipment	\$19,0000		
14	1485 Demolition			
15	1492 Moving to Work Demonstration			
16	1495.1 Relocation Costs			
17	1499 Development Activities 4			
18a	1501 Collateralization or Debt Service			
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment			
19	1502 Contingency			
20	Amount of Annual Grant: (sum of lines 2 – 20)	\$266,686		
21	Amount of line 21 Related to LBP Activities			
22	Amount of line 21 Related to Section 504 compliance			
23	Amount of line 21 Related to Security – Soft Costs			
24	Amount of Line 21 Related to Security – Hard Costs			
25	Amount of line 21 Related to Energy Conservation Measures			

1 To be completed for the Performance and Evaluation Report.
2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
4 RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary									
PHA Name: Malvern Housing Authority	Grant Type and Number Capital Fund Program Grant No: AR37P09450110 Date of CFFP: _____								
Type of Grant ☒ Original Annual Statement ☐ Performance and Evaluation Report for Period Ending: ☐ Summary by Development Account									
☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:) ☐ Final Performance and Evaluation Report									
Line	Summary by Development Account								
	<table border="1"> <tr> <td>Total Estimated Cost</td> <td>Revised 2</td> <td>Total Actual Cost1</td> <td>Expended</td> </tr> <tr> <td>Original</td> <td></td> <td>Obligated</td> <td></td> </tr> </table>	Total Estimated Cost	Revised 2	Total Actual Cost1	Expended	Original		Obligated	
Total Estimated Cost	Revised 2	Total Actual Cost1	Expended						
Original		Obligated							
Signature of Executive Director <i>Rickey</i> Date <i>6/22/10</i> Signature of Public Housing Director _____ Date _____									
FFY of Grant: <u>2010</u> FFY of Grant Approval: _____									

Part II: Supporting Pages				Federal FFY of Grant: 2010		
PHA Name: Malvern Housing Authority				Capital Fund Program Grant No: AR37P09450110 CFFP (YES/No): No		
Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Status of Work
				Original	Revised ¹	
AR94000001						
PHA-WIDE	Operations	1406	1 LS	\$25,000		
PHA-WIDE	Management Improvements	1408	1 LS	\$35,000		
PHA-WIDE	Administrative Salaries	1410	1 LS	\$27,000		
PHA-WIDE	Audit	1411	1 LS	\$1,000		
PHA-WIDE	Clerk of the Works	1430	1 LS	\$30,000		
PHA-WIDE	Planning	1430	1 LS	\$3,500		
PHA-WIDE	Site Improvements	1450	1 LS	\$1,489		
PHA-WIDE	Force Account Labor	1460	1 LS	\$60,000		
PHA-WIDE	Window Shades	1460	1 LS	\$1,000		
Bailey Place	Sheetrock	1460	5 DU	\$2,500		
PHA-WIDE	Floor Tile	1460	5 DU	\$4,000		
PHA-WIDE	Bathroom Renovations	1460	5 DU	\$4,500		
PHA-WIDE	Kitchen Renovations	1460	5 DU	\$8,000		
PHA-WIDE	Interior Painting	1460	5 DU	\$2,725		
PHA-WIDE	Water Closet Replacement	1460	110 EA	\$9,350		
PHA-WIDE	Roofing	1460	1 LS	\$16,597		
PHA-WIDE	Window AC Units	1465	5 EA	\$3,000		
PHA-WIDE	Water Heaters	1465	15 EA	\$6,375		
PHA-WIDE	Ranges & Refrigerators	1465	7 EA	\$6,650		
PHA-WIDE	Maintenance Vehicle	1475	1 EA	\$18,000		
PHA-WIDE	Maintenance Equipment	1475	1 LS	\$500		
PHA-WIDE	Computer Equipment	1475	1 LS	\$500		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
2 To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

1 Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

1 Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Capital Fund Program - Five Year Action Plan

U. S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary						
PHA Name/Number: Malvern Housing Authority/AR094		Locality (City/County & State) Malvern/Hot Springs/Arkansas			☒ Original 5-Year Plan	☐ Revision No:
A.	Development Number/Name	Work Statement For Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
B.	Physical Improvements	Annual Statement	\$109,436	\$127,436	\$127,436	\$128,286
	Subtotal					
C.	Management Improvements		\$35,000	\$35,000	\$35,000	\$35,000
D.	PHA-Wide Non-dwelling Structures and Equipment		\$18,000			
E.	Administration		\$27,000	\$27,000	\$27,000	\$27,000
F.	Other		\$52,250	\$52,250	\$52,250	\$51,400
G.	Operations		\$25,000	\$25,000	\$25,000	\$25,000
H.	Demolition					
I.	Development					
J.	Capital Fund Financing-Debt Service					
K.	Total CFP Funds		\$266,686	\$266,686	\$266,686	\$266,686
L.	Non-CFP Funds					
M.	Grand Total		\$266,686	\$266,686	\$266,686	\$266,686

Capital Fund Program - Five Year Action Plan

U. S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary				
PHA Name/Number: Malvern Housing Authority/AR094		Locality (City/County & State) Malvern/Hot Springs/Arkansas		
Development Number/Name	Work Statement For Year 1 FFY _____	Work Statement for Year 2 FFY _____	Work Statement for Year 3 FFY _____	☒ Original 5-Year Plan ☐ Revision No: _____ Work Statement for Year 4 FFY _____ Work Statement for Year 5 FFY _____
A.				
B.	Physical Improvements Subtotal			
C.	Management Improvements			
D.	PHA-Wide Non-dwelling Structures and Equipment			
E.	Administration			
F.	Other			
G.	Operations			
H.	Demolition			
I.	Development			
J.	Capital Fund Financing-Debt Service			
K.	Total CFP Funds			
L.	Non-CFP Funds			
M.	Grand Total			

Capital Fund Program - Five Year Action Plan

U. S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part II: Supporting Pages—Physical Needs Work Statement (s)						
Work Statement for Year 1 FFY 2010	Work Statement for Year: 2 FFY 2011			Work Statement for Year: 3 FFY 2012		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
SEE ANNUAL STATEMENT	AR94000001			AR94000001		
	Operations	1 LS	\$25,000	Operations	1 LS	\$25,000
	Administrative Salaries	1 LS	\$27,000	Administrative Salaries	1 LS	\$27,000
	Audit	1 LS	\$1,000	Audit	1 LS	\$1,000
	Clerk of the Works	1 LS	\$30,000	Clerk of the Works	1 LS	\$30,000
	Planning	1 LS	\$3,500	Planning	1 LS	\$3,500
	Site Improvements	1 LS	\$2,614	Site Improvements	1 LS	\$1,439
	Force Account Labor	1 LS	\$60,000	Force Account Labor	1 LS	\$57,800
	Sheetrock	10 DU	\$5,500	Sheetrock	11 DU	\$6,050
	Floor Tile	10 DU	\$8,500	Floor Tile	11 DU	\$9,350
	Bathroom Renovations	10 DU	\$8,500	Bathroom Renovations	11 DU	\$9,350
	Kitchen Renovations	10 DU	\$17,572	Kitchen Renovations	11 DU	\$19,222
	Interior Painting	10 DU	\$5,250	Interior Painting	11 DU	\$6,325
	Window Shades	1 LS	\$1,000	Additional Attic Insulation	1 LS	\$16,900
	Window A/C Units	5 EA	\$3,000	Window Shades	1 LS	\$1,000
	Water Heaters	15 EA	\$5,250	Window A/C Units	5 EA	\$3,000
	Ranges and Refrigerators	10 EA	\$9,500	Water Heaters	15 EA	\$5,250
	Maintenance Vehicle	1 EA	\$18,000	Ranges and Refrigerators	10 EA	\$9,500
		Subtotal of Estimated Cost	\$231,686	Subtotal of Estimated Cost		\$231,686

**U. S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

[illegible]

Capital Fund Program - Five Year Action Plan

U. S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part III: Supporting Pages- Management Needs Work Statement (s)				
Work Statement for Year 1 FFY 2010	Work Statement for Year: 2 FFY 2011		Work Statement for Year: 3 FFY 2012	
	Development Number/Name General Description of Major Work Categories Management Improvements	Estimated Cost \$35,000	Development Number/Name General Description of Major Work Categories Management Improvements	Estimated Cost \$35,000
FFY ANNUAL STATEMENT				
	Subtotal of Estimated Cost	\$35,000	Subtotal of Estimated Cost	\$35,000

Capital Fund Program - Five Year Action Plan

U. S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part III: Supporting Pages- Management Needs Work Statement (s)				
Work Statement for Year 1 FFY 2010	Work Statement for Year: 4 FFY2013		Work Statement for Year: 5 FFY 2014	
	Development Number/Name General Description of Major Work Categories Management Improvements	Estimated Cost \$35,000	Development Number/Name General Description of Major Work Categories Management Improvements	Estimated Cost \$35,000
SEE ANNUAL STATEMENT				
	Subtotal of Estimated Cost	\$35,000	Subtotal of Estimated Cost	\$35,000

Part I: Summary				
PHA Name: Malvern Housing Authority	Grant Type and Number Capital Fund Program Grant No: AR37P09450108 Date of CFFP:	FFY of Grant: 2008	FFY of Grant Approval:	
Type of Grant ☐ Original Annual Statement ☒ Performance and Evaluation Report for Period Ending: 3/31/10 ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:) ☐ Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost	Obligated	Total Actual Cost ¹
	Original	Revised ²		Expended
1	Total non-CFP Funds			
2	1406 Operations (may not exceed 20% of line 21) ³	\$25,000	\$25,000	\$25,000
3	1408 Management Improvements	\$15,000	\$15,000	\$15,000
4	1410 Administration (may not exceed 10% of line 21)	\$25,000	\$25,000	\$25,000
5	1411 Audit	\$1,000	\$1,000	\$1,000
6	1415 Liquidated Damages			
7	1430 Fees and Costs	\$28,500	\$28,500	\$28,500
8	1440 Site Acquisition			
9	1450 Site Improvement	\$500	\$500	
10	1460 Dwelling Structures	\$175,832	\$175,832	\$27,999.96
11	1465.1 Dwelling Equipment—Nonexpendable	\$1,000	\$1,000	\$1,000
12	1470 Nondwelling Structures	\$1,000	\$1,000	
13	1475 Nondwelling Equipment	\$1,000	\$1,000	\$20
14	1485 Demolition			
15	1492 Moving to Work Demonstration			
16	1495.1 Relocation Costs			
17	1499 Development Activities ⁴			
18a	1501 Collateralization or Debt Service			
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment			
19	1502 Contingency			
20	Amount of Annual Grant: (sum of lines 2 – 20)	\$273,832	\$273,832	\$122,519.96
21	Amount of line 21 Related to LBP Activities			
22	Amount of line 21 Related to Section 504 compliance			
23	Amount of line 21 Related to Security – Soft Costs			
24	Amount of Line 21 Related to Security – Hard Costs			
25	Amount of line 21 Related to Energy Conservation Measures			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary			
PHA Name: Malvern Housing Authority		Grant Type and Number Capital Fund Program Grant No: AR37P09450108	
Date of CFFP: _____		FFY of Grant: 2008 FFY of Grant Approval: _____	
Type of Grant ☐ Original Annual Statement ☒ Performance and Evaluation Report for Period Ending: 3/31/10 ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:) ☐ Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ²
Signature of Executive Director		Signature of Public Housing Director	
			
Date		Date	
6/22/10		6/22/10	
		Obligated	Expended

Part II: Supporting Pages									
PHA Name: Malvern Housing Authority				Grant Type and Number Capital Fund Program Grant No: AR37P09450108 CFFP (YES/No): No Replacement Housing Factor Grant No:				Federal FFY of Grant: 2008	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
PHA-WIDE	Operations	1406	1LS	\$25,000	\$25,000	\$25,000	\$25,000	Completed	
PHA-WIDE	Management Improvements	1408	1LS	\$15,000	\$15,000	\$15,000	\$15,000	Completed	
PHA-WIDE	Administrative Salaries	1410	1LS	\$25,000	\$25,000	\$25,000	\$25,000	Completed	
PHA-WIDE	Audit	1411	1LS	\$1,000	\$1,000	\$1,000		Pending	
PHA-WIDE	Clerk of Works	1430	1LS	\$25,000	\$25,000	\$25,000	\$25,000	Completed	
PHA-WIDE	Planning	1430	1LS	\$3,500	\$3,500	\$3,500	\$3,500	Completed	
PHA-WIDE	Termite/Fire Ant Treatment	1450	1LS	\$500	\$500	\$500		Underway	
PHA-WIDE	Water Heaters	1460	6 EA	\$2,100	\$2,100	\$2,100		Underway	
PHA-WIDE	Force Account Labor	1460	1LS	\$55,000	\$55,000	\$55,000	\$23,409.54	Underway	
PHA-WIDE	Window Shades	1460	1LS	\$1,000	\$1,000	\$1,000		Underway	
PHA-WIDE	Shutters	1460	1LS	\$9,107	\$9,107	\$9,107		Underway	
PHA-WIDE	Sheetrock	1460	25 DU	\$12,500	\$12,500	\$12,500		Underway	
PHA-WIDE	Floor Tile	1460	25 DU	\$20,000	\$20,000	\$20,000	\$509.75	Underway	
PHA-WIDE	Bathroom Renovations	1460	25 DU	\$22,500	\$22,500	\$22,500	\$3,750.82	Underway	
PHA-WIDE	Kitchen Renovations	1460	25 DU	\$40,000	\$40,000	\$40,000		Underway	
PHA-WIDE	Interior Painting	1460	25 DU	\$13,625	\$13,625	\$13,625	\$329.85	Underway	
PHA-WIDE	Ranges and Refrigerators/ A/C	1465	1LS	\$1,000	\$1,000	\$1,000	\$1,000	Completed	
PHA-WIDE	Office Renovations	1470	1LS	\$1,000	\$1,000	\$1,000		Underway	
PHA-WIDE	Maintenance Equipment	1475	1LS	\$500	\$500	\$500		Underway	
PHA-WIDE	Computer Equipment	1475	1LS	\$500	\$500	\$500	\$20	Underway	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
2 To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

1. Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

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Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: AR37P09450109 Date of CFFP:		FFY of Grant: 2009 FFY of Grant Approval:	
Type of Grant ☐ Original Annual Statement ☒ Performance and Evaluation Report for Period Ending: 3/31/10					
☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:) ☐ Final Performance and Evaluation Report					
Line	Summary by Development Account	Original	Revised 2	Obligated	Total Actual Cost 1
1	Total non-CFP Funds				Expended
2	1406 Operations (may not exceed 20% of line 21) 3	\$25,000	\$25,000	\$25,000	\$25,000
3	1408 Management Improvements	\$35,000	\$35,000	\$35,000	\$10,026.15
4	1410 Administration (may not exceed 10% of line 21)	\$27,000	\$27,000	\$27,000	\$12,904.58
5	1411 Audit	\$1,000	\$1,000		
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$33,500	\$33,500	\$24,075.89	\$24,075.89
8	1440 Site Acquisition	\$5,000	\$5,000		
9	1450 Site Improvement	\$20,322	\$20,322		
10	1460 Dwelling Structures	\$113,510	\$113,510		
11	1465.1 Dwelling Equipment—Nonexpendable	\$12,500	\$12,500	\$2,540	\$2,540
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	\$1,000	\$1,000		
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities 4				
18a	1501 Collateralization or Debt Service				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency				
20	Amount of Annual Grant: (sum of lines 2 – 20)	\$273,832	\$273,832	\$113,615.89	\$74,546.62
21	Amount of line 21 Related to LBP Activities				
22	Amount of line 21 Related to Section 504 compliance				
23	Amount of line 21 Related to Security – Soft Costs				
24	Amount of Line 21 Related to Security – Hard Costs				
25	Amount of line 21 Related to Energy Conservation Measures	\$6,960	\$6,960		

1 To be completed for the Performance and Evaluation Report.
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 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations.
 4 RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary													
PHA Name: Malvern Housing Authority	Grant Type and Number Capital Fund Program Grant No: AR37P09450109 Date of CFFP: _____												
Type of Grant ☐ Original Annual Statement ☒ Performance and Evaluation Report for Period Ending: 3/31/10													
☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:) ☐ Final Performance and Evaluation Report													
Line	Summary by Development Account												
	<table border="1"> <tr> <th>Total Estimated Cost</th> <th>Revised 2</th> <th>Obligated</th> <th>Expended</th> </tr> <tr> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td colspan="2">Total Actual Cost1</td> <td colspan="2">Date</td> </tr> </table>	Total Estimated Cost	Revised 2	Obligated	Expended					Total Actual Cost1		Date	
Total Estimated Cost	Revised 2	Obligated	Expended										
Total Actual Cost1		Date											
Signature of Executive Director <i>Rickey</i> Date 6/22/10 <i>Raymond</i>													
Signature of Public Housing Director													

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
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U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

PHA Name: Malvern Housing Authority

37P09450109 CFFP (YES/No): No

Federal FFY of Grant: 2009

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2 To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
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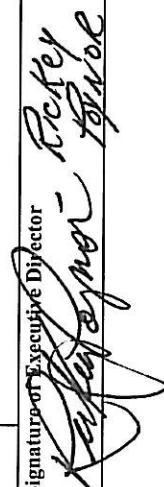
1. Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary									
PHA Name: Malvern Housing Authority			Grant Type and Number Capital Fund Program Grant No: AR37S09450109 Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval:			
Type of Grant ☐ Original Annual Statement ☒ Performance and Evaluation Report for Period Ending: 3/31/10									
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Line	Summary by Development Account	Original	Total Estimated Cost	Revised :	Obligated	Total Actual Cost :	Expended		
1	Total non-CFF Funds								
2	1406 Operations (may not exceed 20% of line 21) 3								
3	1408 Management Improvements								
4	1410 Administration (may not exceed 10% of line 21)								
5	1411 Audit								
6	1415 Liquidated Damages								
7	1430 Fees and Costs	\$35,000	\$35,000		\$35,000		\$35,000		
8	1440 Site Acquisition								
9	1450 Site Improvement	\$100,000	\$205,030		\$205,030		\$110,420.45		
10	1460 Dwelling Structures	\$66,967	\$0		\$0				
11	1465.1 Dwelling Equipment—Nonexpendable	\$44,650	\$0		\$0				
12	1470 Nondwelling Structures	\$100,000	\$106,587		\$106,587		\$41,142.27		
13	1475 Nondwelling Equipment								
14	1485 Demolition								
15	1492 Moving to Work Demonstration								
16	1495.1 Relocation Costs								
17	1499 Development Activities 4								
18a	1501 Collateralization or Debt Service								
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment								
19	1502 Contingency (may not exceed 8% of line 20)								
20	Amount of Annual Grant: (sum of lines 2 – 19)	\$346,617	\$346,617		\$346,617		\$186,562.72		
21	Amount of line 21 Related to LBP Activities								
22	Amount of line 21 Related to Section 504 compliance								
23	Amount of line 21 Related to Security – Soft Costs								
24	Amount of Line 21 Related to Security – Hard Costs								
25	Amount of line 21 Related to Energy Conservation Measures	\$25,250							

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Part I: Summary	
PHA Name: Malvern Housing Authority	Grant Type and Number Capital Fund Program Grant No: AR37S09450109 Date of CFFP: _____
FFY of Grant: 2009 FFY of Grant Approval: _____	
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Line	Summary by Development Account Total Estimated Cost Original Revised 2 Obligated Total Actual Cost1 Expended
Signature of Executive Director  Date 6/22/10	
Signature of Public Housing Director _____ Date _____	

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
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2 To be completed for the Performance and Evaluation Report.

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PHA Name: Malvern Housing Authority

Federal FFY of Grant: 2009

Status of Work

2 To be completed for the Performance and Evaluation Report.

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PHA Name: Malvern Housing Authority

Federal FY of Grant: 2009

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