

8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>See Exhibit 8.2 Capital Fund Program Five-Year Action Plan</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>See Exhibit 9.0 Housing Needs</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>See Exhibit 9.1 Strategy for Addressing Housing Needs</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan. See Exhibit 10.0 (a) Progress in Meeting Mission and Goals</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification” See Exhibit 10.0 (b) Significant Amendment and Substantial Deviation Modification</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

Exhibit 5.2 Goals and Objectives

1) Maintain and strengthen financial viability.

Achieve positive cash flow within each managed program and asset. This includes weaning the Central Office Cost Center from the use of diminishing Capital Funds to maximize its use for capital improvement to the full benefit of our developments. This may require a couple of years of transition to accomplish. The Asset Management Group may also enter into partnership with private firms to manage acquired affordable housing units added to the portfolio.

2) Develop Non-HUD income streams

Development of Non-HUD income streams may involve developing partnerships with private entities through the use of tax credits and project based vouchers as well as other innovative financing vehicles. It may also include revenues from fee for service based professional property management services.

3) Through demolition, disposition, or a combination of redevelopment and demolition, transition our residents into modern affordable housing family developments.

Develop a variety of housing options including mixed income housing, scattered site housing, homeownership options, tax credit projects, the utilization of project base vouchers and other innovative approaches which enhance quality of life and promote responsibility and accountability of our residents.

4) Upgrade the Scattered Site program

Through rehabilitation, demolition, and the sale of properties that are not financially viable to maintain. Utilize the proceeds from the sale of obsolete assets to support and develop a new rental units and a self-sustaining community enhancing homeownership program.

5) Upgrade and modernize the high rise and low rise buildings

For developments that will be retained, creatively utilize a variety of grant funding sources to leverage investment capital including; Capital Fund, Energy Performance Contracting, Capital Fund leveraging, tax credits and HOPE VI.

6) Expand case management capabilities in our Resident Services Departments

Target and acquire available grants for continued development of innovative approaches for aiding responsible residents to achieve increased self-sufficiency.

7) Require greater accountability for participants and landlords of all HCV programs

Educate and train staff, participating and potential landlords in how existing HCV program regulations support stricter lease enforcement by landlords and lease compliance by participants to ensure that responsible and respectful participants are welcomed in every neighborhood.

Expand RHA's family self-sufficiency participation to demonstrate increased responsibility of participants to the community as more become self-supporting and free of all sources of subsidy.

8) Achieve greater accountability for public housing residents through strengthening lease enforcement and lease provisions as well as enhanced monitoring and training.

9) Continue to improve efficiency and effectiveness of our work force through targeted training programs and hiring practices.

10) Continue to focus on curb appeal of the exterior and interior of all of our developments.

Focus staff on the importance of attention to detail in improving resident and public perception as well as our ability to effectively market our buildings. Monitor Dashboard Key Performance Indicators within the Asset Management Group (AMG) and report quarterly to the Board of Commissioners.

11) Provide a safe environment for our residents.

Utilize lease enforcement, pre-lease screening, as well as private and city security efforts to screen out or remove from tenancy those who are irresponsible and / or disrespectful with respect to the lease, vision and mission objectives.

All programmatic efforts for families are to be focused on measures that will aid families to develop abilities necessary to move up and out of public housing.

Exhibit 6.0 (a) PHA Plan Element Revisions

1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures

PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

The Rockford Housing Authority Admissions and Occupancy Plan (ACOP) and the Section 8 Administrative Plan are updated periodically as necessary. To obtain a copy of the most recent version of these documents, please visit the Rockford Housing Authority website <http://www.rockfordha.org>.

A summary of the changes made to both plans since the submission of the last PHA Agency Plan is provided below.

July 2008 – The ACOP was modified to include new language regarding the 2008 Affirmative Fair Housing Practice Policy.

August 2008 – The Section 8 Admin Plan was revised to increase the Section 8-Housing Choice Voucher Payment standards of: 0 BR-\$530, 2 BR-\$598, 3 BR, \$991 AND 4 BR-\$1021.

August 2008 – The ACOP was modified for miscellaneous changes to the lease and House Rules Booklet.

February 2009 – The ACOP was revised to reflect the annual Utility Allowances and to reflect consistency with the new HUD Standard of applicants and tenants providing accurate Social Security Numbers during application or at annual re-certifications.

March 2009 – The ACOP was revised to incorporate new language for the Violence Against Women Act.

Exhibit 6.0 (a) PHA Plan Element Revisions continued

2. Financial Resources

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2009 grants)		
a) Public Housing Operating Fund	\$6,905,050	
b) Public Housing Capital Fund 109	\$3,304,202	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$10,500,000	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants	\$190,000	PHSS
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
Recovery & Reinvestment Act of 2009	\$4,182,467	
2. Prior Year Federal Grants (unobligated funds only) (list below)		
CFP- #501-06	\$20,665	
CFP- #501-07	\$686,706	
CFP- #501-08	\$1,677,441	
3. Public Housing Dwelling Rental Income	\$2,153,550	
4. Other income (list below)		
PH FSS Coordinator	\$65,005	PHSS
Graduation Incentive	\$40,000	
HCV FSS Coordinator	\$184,764	
Neighborhood Networks	\$100,000	
5. Non-federal sources (list below)		
Total resources	\$30,009,850	

Exhibit 6.0 (a) PHA Plan Element Revisions continued

3. Rent Determination

No revisions

4. Operations and Management

A. PHA Management Structure

See Attachment C. Organizational Chart

B. HUD Programs Under PHA Management

— List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning 10/1/08	Expected Turnover
Public Housing	1552	460
Section 8 Vouchers	2497	200
Section 8 Enhanced Valley View	58	10
Section 8 Mod Rehab	313	50
Special Purpose Section 8 Certificates/Vouchers (list individually)	24	5
Other Federal Programs Public Housing FSS Coordinator	51	5
Home Ownership Choice Vouchers	14	2
CGP	2005	NA
Service Coordinator	648	On going program
Section 8 FSS Coordinator	119	12
Neighborhood Network	Avg. 597 per month	0

Exhibit 6.0 (a) PHA Plan Element Revisions continued

5. Grievance Procedures

No revisions

6. Designated Housing For Elderly and Disabled Families

The Rockford Housing Authority will likely apply for designation of housing for elderly and disabled families at the following property in the upcoming year contingent upon the outcome of the redevelopment planning process currently underway:

IL022005253P Brewington Oaks

Designation type: Elderly and Disabled

Application Status: Not yet submitted

Date for Planned Submission: Sept. 30, 2010

Number of Units Affected: 1-418 (minimum and maximum respectively)

7. Community Service and Self-Sufficiency

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (Waiting list/random selection/specific criteria/other)	Access (Development office / PHA main office / other provider name)	Eligibility (Public housing or Section 8 participants or both)
Career Fair	2X per year	Voluntary	Developments	Both
Success X 3 – Section 3	44	Voluntary	All RHA	Both
Work Experience	3 residents per year	Voluntary	MELD, INC Rock River Training	Both
Money Smart Workshops	109	Voluntary	All RHA	Both
Health Fairs	100 residents per year	Voluntary	All RHA	Both
Transportation	As needed	Voluntary	GED classes, Housekeeping classes, Life Skills, Arts Resident Events Senior Activities Neighborhood Network Job Fairs	Both

LOVE, INC Project Paper Angels Project	30 per month	Voluntary	Midvale – Lowrise	LIPH
Camaraderie Arts Programs	50 residents per year	Voluntary	Fairgrounds	Both
Outreach Services	200 residents per month	Voluntary	High and Low- rises Family Developments	LIPH
Homeownership Classes	19 residents per year	Voluntary	Main Office	Both
Resident Council Training	35 residents per year	Mandatory	Main Office	LIPH
Neighborhood Network	587 residents per month	Voluntary	Fairgrounds/Orton Keys Developments	LIPH
Information Centers & Developments	200 residents per year	Voluntary	LIPH Developments	LIPH
Dental Mobile	225 residents per year	Voluntary	All RHA	Both
GED Classes	50 per year	Voluntary	All RHA	Both
ESL Classes Crisis Intervention Teams Employment Kiosk ROSS	50 per year As Needed 100 people/yr 77 enrolled	Voluntary Voluntary Voluntary	All RHA All RHA NNC/Fairgrounds All Developments	Both Both Both LIPH
ROSS II	14 (1 mo) enrolled	Voluntary	All Developments	LIPH

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2009 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	19	51 as of 09/30/08
Section 8	121	100 as of 09/30/08
WCHA Section 8	25	22 as of 9/30/08

Exhibit 6.0 (a) PHA Plan Element Revisions continued

8. Safety and Crime Prevention

The RHA takes resident safety seriously and has a comprehensive plan for each of our public housing property sites. Because of serious crime that occurs in some of our neighborhoods we employ an aggressive approach to counter it. We are in daily contact with the Rockford Police Department to ensure that any criminal activity is noted and proper corrective action is taken. We also employ private security and direct substantial monetary resources to this effort. Finally we are working with the Rockford Police Department to strengthen communication and review any criminal background information that could indicate any of our residents who may be in violation of their lease.

9. Pets

No revisions

10. Civil Rights Certification

No revisions

11. Fiscal Year Audit

See Attachment A. FY2008 Audit Results

12. Asset Management

See Attachment B. Carbon Monoxide Act

See Attachment D. List of Resident Advisory Board Members

13. Violence Against Women Act

See Attachment E. Violence Against Woman Act

7. Exhibit 7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** In 2009, RHA intends to apply for HOPE VI Revitalization grants, Capital Fund Recovery Competition funds, utilize Mixed Finance Modernization and/or new Development for the Brewington Oaks high rise development (IL0220005253P – 418 units) for seniors and persons with disabilities. The proposed revitalization of Brewington Oaks will also include the land where the former Jane Addams Village public housing development once stood prior to being demolished in 2007. A developer has been selected for Brewington Oaks/Jane Addams. The RHA will also partner with the City of Rockford to identify potential properties in the surrounding neighborhood around Brewington Oaks/Jane Addams to acquire foreclosed or abandoned homes with Neighborhood Stabilization Funds.

Additionally, the RHA will apply for Capital Fund Recovery Competition funds for modifications to dwelling units and common areas to make them fully accessible for persons with disabilities at Buckbee, Midvale and Summit Green (IL022000414P – 100 units) as well at the remaining high rises locations, namely Park Terrace, North Main Manor and Oleson Plaza. The Orton Keyes family development (IL022000103P – 175 units) will also be considered for Capital Fund Recovery Competition funds for accessibility related modifications to dwelling units and common areas.

The Fairgrounds Valley family development (IL022000007P – 210 units), is slated for redevelopment activity in 2009 as well utilizing HOPE VI Revitalization grants, Mixed Finance Modernization and/or new Development. The developer partner for Fairgrounds is expected to be selected in 2009 while the RHA explores partnership agreements with the City of Rockford in the upcoming year to utilize Neighborhood Stabilization Funds to acquire foreclosed or abandoned homes in these neighborhoods as well.

Simultaneously, the RHA will also continue to work on the Jane Addams consent decree dated 01/24/08 to provide 77 units of Replacement Housing through a combination of (1) construction of new, acquisition, and redevelopment of existing property for mixed-income housing (that includes public housing, affordable housing (which includes tax credit housing) and/or market rate housing), (2) construction and acquisition of property for scattered-site public housing units, and (3) redevelopment of existing privately owned units by converting tenant protection vouchers into project-based Section 8 housing.

Development of up to 100 additional Scattered Sites is also planned for 2009 utilizing a Mixed Finance approach, Capital Fund Recovery Competition funds, and/or project-based vouchers and employing environmentally friendly green building technologies.

Lastly, the RHA has partnered with Ameresco to implement an Energy Performance Project at the agency. In the upcoming year, the RHA in conjunction with Ameresco, will apply for funds under the Capital Fund Recovery Competition to finalize this project and start construction on this green communities initiative.

- (b) **Demolition and/or Disposition.** RHA completed redevelopment planning meetings in 2009 with residents and community stakeholders at two separate public housing developments, namely Brewington Oaks/Jane Addams (IL0220005253P – 418 units) and Fairgrounds Valley (IL022000007P – 210 units). The Executive Summary of the Redevelopment Planning for both Brewington Oaks/Jane Addams and Fairgrounds Valley are available at the RHA Central Office and on the website at <http://www.rockfordha.org> for review. Demolition and/or disposition activities are currently under review at both properties contingent upon the outcome of the

additional planning meetings slated to be held with the selected Developers, residents and the community stakeholders.

Additionally, the RHA submitted a demolition application for 3 Scattered Sites in 2008 under the de minimus exception. These units were demolished in 2008.

Lastly, the RHA intends to submit a demolition and/or disposition application to HUD in 2009 to demolish and/or sell approximately 100 of the most severely distressed Scattered Sites in its inventory based on the backlog of deferred maintenance and/or undesirable locations. The RHA further intends to apply for Capital Fund Recovery Competition funds in 2009 to acquire up to 80 new Scattered Sites as a means of replacing these units and deconcentrating poverty.

- (c) **Conversion of Public Housing.** Property appraisals and viability analyses began in 2008 for all RHA developments and will continue through 2009 until all sites have been assessed for viability and independently appraised. The Brewington Oaks/Jane Addams (IL0220005253P – 418 units) and Fairgrounds (IL022000007P – 210 units) appraisals were also conducted in accordance with PIH 2008-35 as potential candidates for the voluntary conversion program under Section 22 of the 1937 Housing Act and 24 CFR 972.

The voluntary conversion of public housing to tenant-based assistance may be appropriate based on the required initial assessments for the following developments:

- Brewington Oaks/Jane Addams (IL0220005253P – 418 units)
- Fairgrounds (IL022000007P – 210 units)
- Blackhawk Courts (IL0220001P – 196 units)

- (d) **Homeownership.** The RHA may apply for HUD approval of a portion of the Scattered Sites (IL022000020P and IL022000021P) in 2009.

- (e) **Project-based Vouchers.** In 2009, the RHA intends to apply for up to 200 project-based vouchers for the redevelopment of Brewington Oaks high rise development (IL0220005253P – 418 units) and/or Fairgrounds Valley (IL022000007P – 210 units). Additionally, the RHA intends to apply for up to 77 project-based vouchers as new development for replacement units under the Jane Addams consent decree. These units will be targeted in areas of low poverty (less than 14%) and in areas that offer more opportunities to residents while implementing green building technology and design features. This strategy is consistent with the guiding principles included in the RHA 2009-2014 Strategic Plan that promotes deconcentrating poverty and developing, acquire or rehabilitate affordable housing utilizing recycled or “green” building products and environmentally friendly building designs to the maximum extent feasible.

Public Housing Asset Management Table

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>
IL0220001P Blackhawk Courts	196	See Exhibits 8.1 & 8.2	Under Review for potential redevelopment utilizing mixed-financing in 2010	Under Review for potential demo and/or disposition application in 2010	NA	Candidate for Voluntary Conversion in 2009/10	NA	See Attachment B.
IL022000103P Orton Keys	175	See Exhibits 8.1 & 8.2	Energy Performance Project w/Capital Fund Recovery Funds in 2009; Capital Fund Recovery Competition Accessibility Project in 2009	Under Review for potential demo and/or disposition application in 2012	NA	NA	NA	See Attachment B
IL022000609P Park Terrace	183	See Exhibits 8.1 & 8.2 Invest Capital Funds to Renovate	Energy Performance Project w/Capital Fund Recovery Funds in 2009; Capital Fund Recovery Competition Accessibility Project in 2009	NA	NA	NA	NA	See Attachment B
IL022005253P Brewington Oaks	418	See Exhibits 8.1 & 8.2	To be redeveloped in 2009/2010 utilizing mixed-financing. HOPE VI application to be submitted in 2009. Candidate for Energy Performance Project w/Capital Fund Recovery Funds in 2009.	Under Review for potential demolition and/or disposition in 2009	NA	Candidate for Voluntary Conversion in 2009/10	NA	See Attachment B
IL022000006P North Main Manor	187	See Exhibits 8.1 & 8.2 Invest Capital Funds to Renovate	Energy Performance Project w/Capital Fund Recovery Funds in 2009; Capital Fund Recovery Competition Accessibility Project in 2009	NA	Elderly Only Designation	NA	NA	See Attachment B

IL022000007P Fairgrounds Valley	210	See Exhibits 8.1 & 8.2	To be redeveloped in 2009/2010 utilizing mixed-financing. HOPE VI application to be submitted in 2010.	Under Review for potential demolition and/or disposition in 2009	NA	Candidate for Voluntary Conversion in 2009/10	NA	See Attachment B
IL022000020P Scattered Sites West	147	See Exhibits 8.1 & 8.2 Invest Capital Funds in units identified for long-term retention in portfolio	Energy Performance Project w/Capital Fund Recovery Funds in 2009 for Select Units; Capital Fund Recovery Competition for Public Housing Transformation in 2009 for Distressed Units.	Under Review for demolition and/or disposition of approximately 100 units in 2009	NA	NA	Under review for PH Home-ownership Pgm	See Attachment B
IL022000009P Olesen Plaza	151	See Exhibits 8.1 & 8.2 Invest Capital Funds to Renovate	Energy Performance Project w/Capital Fund Recovery Funds in 2009; Capital Fund Recovery Competition Accessibility Project in 2009	NA	NA	NA	NA	See Attachment B
IL022000021P Scattered Sites East	154	See Exhibits 8.1 & 8.2 Invest Capital Funds in units identified for long-term retention in portfolio	Energy Performance Project w/Capital Fund Recovery Funds in 2009 for Select Units; Capital Fund Recovery Competition for Public Housing Transformation in 2009 for Distressed Units.	Demolition and/or Disposition of approximately 100 units in 2009	NA	NA	Under review for PH Home-ownership Pgm	See Attachment B
IL022000414P Low Rises	100	See Exhibits 8.1 & 8.2	Energy Performance Project w/Capital Fund Recovery Funds in 2009; Capital Fund Recovery Competition Accessibility Project in 2009	NA	Disabled Only Designation	NA	NA	See Attachment B

Exhibit 8.1 Capital Fund Program Annual Statement: 501-09 and

Capital Fund Performance and Evaluation Reports:

501-09 S (ARRA)

501-08

501-07

501-06

501-05

Part I: Summary		
PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P02250109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval: 2009

Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/09 <input type="checkbox"/> Final Performance and Evaluation Report		
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Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³		323,715		
3	1408 Management Improvements		190,131		
4	1410 Administration (may not exceed 10% of line 21)		323,715		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs		262,500		
8	1440 Site Acquisition				
9	1450 Site Improvement		230,000		
10	1460 Dwelling Structures		1,136,803		
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures		242,000		
13	1475 Non-dwelling Equipment		11,000		
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs		25,000		
17	1499 Development Activities ⁴		323,715		
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)		168,577		
20	Amount of Annual Grant:: (sum of lines 2 - 19)		3,237,156		
21	Amount of line 20 Related to LBP Activities		12,500		
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures		291,500		

Signature of Executive Director John W. Cressman	Signature of Public Housing Director 	Date
Date		

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
001 Blackhawk	1406 CFP for Operations	001		33,029				
001 Blackhawk	1408 Training Resident/Staff	001		2,555				
001 Blackhawk	1408 Computer Software/Hardware	001		5,825				
001 Blackhawk	1408 Safety Program	001		536				
001 Blackhawk	1408 Wellness Program	001		1,022				
001 Blackhawk	1408 Resident Screening & Orientation	001		530				
001 Blackhawk	1408 Maintenance Tools & Equipment	001		1957				
001 Blackhawk	1408 Marketing Plan	001		6,642				
001 Blackhawk	1410 Management Fee	001		33,081				
001 Blackhawk	1430 Physical Needs Assessment	001		10,000				
001 Blackhawk	1450 Street Repairs	001		55,000				
001 Blackhawk	1450 Sidewalk Repairs	001		10,000				
001 Blackhawk	1460 Chimney Tuckpointing Repairs	001		10,000				
001 Blackhawk	1460 Landscape Repairs	001		10,000				
001 Blackhawk	1470 Daycare Roof Replacement/Shingle	001		12,000				
003 Orton Keyes	1406 CFP for Operations	003		29,490				
003 Orton Keyes	1408 Training Resident/Staff	003		2,281				
003 Orton Keyes	1408 Computer Software/Hardware	003		5,200				
003 Orton Keyes	1408 Safety Program	003		479				
003 Orton Keyes	1408 Wellness Program	003		912				
003 Orton Keyes	1408 Resident Screening & Orientation	003		470				
003 Orton Keyes	1408 Maintenance Tools & Equipment	003		1,747				
003 Orton Keyes	1408 Marketing Plan	003		5,931				
003 Orton Keyes	1410 Management Fee	003		29,536				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
003 Orton Keyes	1430 A & E Fees	003		45,000				
003 Orton Keyes	1430 CFP Inspections	003		10,000				
003 Orton Keyes	1430 Physical Needs Assessment	003		10,000				
003 Orton Keyes	1450 Sidewalk Repairs	003		20,000				
003 Orton Keyes	1460 Stone Repair on First Floors	003		12,803				
003 Orton Keyes	1460 Replace Porch Lights	003		30,000				
003 Orton Keyes	1460 Entrance Doors & Hardware	003		15,000				
003 Orton Keyes	1460 Window Prototype (Gain Inv)	003		30,000				
003 Orton Keyes	1460 Furnace Replacement as needed	003		4,000				
003 Orton Keyes	1470 Head Start Roof Replacement	003		115,000				
003 Orton Keyes	1470 Office/Shop Roof Replacement	003		115,000				
003 Orton Keyes	1475 Office Masonry Repairs	003		11,000				
004 Park Terrace	1406 CFP for Operations	004		30,838				
004 Park Terrace	1408 Training Resident/Staff	004		2,385				
004 Park Terrace	1408 Computer Software/Hardware	004		5,438				
004 Park Terrace	1408 Safety Program	004		501				
004 Park Terrace	1408 Wellness Program	004		954				
004 Park Terrace	1408 Resident Screening & Orientation	004		818				
004 Park Terrace	1408 Maintenance Tools & Equipment	004		1,827				
004 Park Terrace	1408 Marketing Plan	004		6,202				
004 Park Terrace	1410 Management Fee	004		30,887				
004 Park Terrace	1430 A & E Fees	004		25,000				
004 Park Terrace	1430 CFP Inspections	004		7,500				
004 Park Terrace	1430 Physical Needs Assessment	004		20,000				
004 Park Terrace	1450 Landscape Improvements	004		15,000				
004 Park Terrace	1460 Door Replacement/Shop	004		5,000				
004 Park Terrace	1460 Entrance Access System	004		280,000				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
004 Park Terrace	1460 Upgrade Apartment Electric	004		50,000				
004 Park Terrace	1495 Relocation	004		25,000				
006 North Main	1406 CFP for Operations	006		31,512				
006 North Main	1408 Training Resident/Staff	006		2,437				
006 North Main	1408 Computer Software/Hardware	006		5,557				
006 North Main	1408 Safety Program	006		512				
006 North Main	1408 Wellness Program	006		975				
006 North Main	1408 Resident Screening & Orientation	006		470				
006 North Main	1408 Maintenance Tools & Equipment	006		1,867				
006 North Main	1408 Marketing Plan	006		6,337				
006 North Main	1410 Management Fee	006		31,562				
006 North Main	1430 A & E Fees	006		20,000				
006 North Main	1430 CFP Inspections	006		3,750				
006 North Main	1430 Physical Needs Assessment	006		10,000				
006 North Main	1460 HVAC Replacement/1 st Floor	006		60,000				
006 North Main	1460 Apartment Door Replacement	006		25,000				
006 North Main	1460 Weatherization/Caulk & Paint	006		165,000				
007 Fairgrounds	1406 CFP for Operations	007		35,388				
007 Fairgrounds	1408 Training Resident/Staff	007		2,737				
007 Fairgrounds	1408 Computer Software/Hardware	007		6,241				
007 Fairgrounds	1408 Safety Program	007		575				
007 Fairgrounds	1408 Wellness Program	007		1,095				
007 Fairgrounds	1408 Resident Screening & Orientation	007		1,049				
007 Fairgrounds	1408 Maintenance Tools & Equipment	007		2,097				
007 Fairgrounds	1408 Marketing Plan	007		7,117				
007 Fairgrounds	1410 Management Fee	007		35,443				
007 Fairgrounds	1430 Physical Needs Assessment	007		7,500				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
007 Fairgrounds	1450 Sidewalk Repairs	007		10,000				
007 Fairgrounds	1450 Street Repairs/Potholes	007		25,000				
007 Fairgrounds	1460 Roof Replacement	007		10,000				
007 Fairgrounds	1460 Gutters and Downspouts	007		10,000				
009 Olesen Plaza	1406 CFP for Operations	009		25,445				
009 Olesen Plaza	1408 Training Resident/Staff	009		1,968				
009 Olesen Plaza	1408 Computer Software/Hardware	009		4,487				
009 Olesen Plaza	1408 Safety Program	009		413				
009 Olesen Plaza	1408 Wellness Program	009		787				
009 Olesen Plaza	1408 Resident Screening & Orientation	009		380				
009 Olesen Plaza	1408 Maintenance Tools & Equipment	009		1,508				
009 Olesen Plaza	1408 Marketing Plan	009		5,117				
009 Olesen Plaza	1410 Management Fee	009		25,486				
009 Olesen Plaza	1430 CFP Inspection	009		3,750				
009 Olesen Plaza	1430 Physical Needs Assessment	009		10,000				
009 Olesen Plaza	1460 Kitchen Prototype	009		25,000				
009 Olesen Plaza	1460 Closet Door Replacement	009		20,000				
009 Olesen Plaza	1460 Hallway Flooring	009		30,000				
009 Olesen Plaza	1460 Weatherization	009		150,000				
014 Low Rises	1406 CFP for Operations	014		16,852				
014 Low Rises	1408 Training Resident/Staff	014		1,304				
014 Low Rises	1408 Computer Software/Hardware	014		2,972				
014 Low Rises	1408 Safety Program	014		274				
014 Low Rises	1408 Wellness Program	014		521				
014 Low Rises	1408 Resident Screening & Orientation	014		447				
014 Low Rises	1408 Maintenance Tools & Equipment	014		999				
014 Low Rises	1408 Marketing Plan	014		3,389				
014 Low Rises	1410 Management Fee	014		16,878				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
014 Low Rises	1430 Physical Needs Assessment	014		10,000				
020 SS West	1406 CFP for Operations	020		25,108				
020 SS West	1408 Training Resident/Staff	020		1,929				
020 SS West	1408 Computer Software/Hardware	020		4,398				
020 SS West	1408 Safety Program	020		405				
020 SS West	1408 Wellness Program	020		772				
020 SS West	1408 Resident Screening & Orientation	020		750				
020 SS West	1408 Maintenance Tools & Equipment	020		1,478				
020 SS West	1408 Marketing Plan	020		5,016				
020 SS West	1410 Management Fee	020		24,642				
020 SS West	1430 A&E Fees	020		10,000				
020 SS West	1430 CFP Inspections	020		7,500				
020 SS West	1430 Physical Needs Assessment	020		10,000				
020 SS West	1450 Landscape Improvements	020		15,000				
020 SS West	1450 Sealcoat Blacktop Driveways	020		15,000				
020 SS West	1450 Sidewalk & Driveway Repairs	020		25,000				
020 SS West	1460 Lead Paint Abatement	020		7,500				
020 SS West	1460 08 Roof Replacement	020		10,000				
020 SS West	1460 Furnace Replacement	020		2,500				
020 SS West	1460 Sealcoat Basements/Block Filler	020		35,000				
020 SS West	1460 Bathroom Ventilation	020		15,000				
020 SS West	1460 016 Roof Replacement	020		10,000				
020 SS West	1460 017 Window Replacement	020		5,000				
020 SS West	1460 019 Window Replacement	020		5,000				

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
020 SS West	1460 020 Window Replacement	020		5,000				
021 SS East	1406 CFP for Operations	021		25,614				
021 SS East	1408 Training Resident/Staff	021		1,955				
021 SS East	1408 Computer Software/Hardware	021		5,201				
021 SS East	1408 Safety Program	021		411				
021 SS East	1408 Wellness Program	021		912				
021 SS East	1408 Resident Screening & Orientation	021		750				
021 SS East	1408 Maintenance Tools & Equipment	021		1,747				
021 SS East	1408 Marketing Plan	021		5,931				
021 SS East	1410 Management Fee	021		25,655				
021 SS East	1430 A&E Fees	021		10,000				
021 SS East	1430 CFP Inspections	021		7,500				
021 SS East	1430 Physical Needs Assessment	021		15,000				
021 SS East	1450 Seal Blacktop Drives	021		15,000				
021 SS East	1450 Landscape Improvements	021		15,000				
021 SS East	1460 Lead Paint Abatement	021		5,000				
021 SS East	1460 Sealcoat Basements/Block Filler	021		20,000				
021 SS East	1460 Bathroom Ventilation	021		20,000				
021 SS East	1460 08 Roof Replacement	021		10,000				
021 SS East	1460 017 Roof Replacement	021		10,000				
021 SS East	1460 017 Window Replacement	021		5,000				
021 SS East	1460 019 Window Replacement	021		5,000				
021 SS East	1460 Furnace Replacement	021		5,000				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Rockford Housing Authority				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL22-001 Blackhawk	09/30/11		09/30/13		
IL22-003 Orton Keyes	09/30/11		09/30/13		
IL22-004 Park Terrace	09/30/11		09/30/13		
IL22-006 N. Main Manor	09/30/11		09/30/13		
IL22-007 Fairgrounds	09/30/11		09/30/13		
IL22-009 Olesen Plaza	09/30/11		09/30/13		
IL22-014 Low Rises	09/30/11		09/30/13		
IL22-020 SS - West	09/30/11		09/30/13		
IL22-021 SS - East	09/30/11		09/30/13		
IL22-051 Jane Addams	09/30/11		09/30/13		
IL22-052 Brewington	09/30/11		09/30/13		
PHA Wide	09/30/11		09/30/13		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06S02250109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval: 2009
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	412,418		0	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	215,654		0	0
8	1440 Site Acquisition				
9	1450 Site Improvement	936,934		0	0
10	1460 Dwelling Structures	2,534,180		0	0
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	83,281		0	0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	4,182,467		0	0
21	Amount of line 20 Related to LBP Activities	0			
22	Amount of line 20 Related to Section 504 Activities	0			
23	Amount of line 20 Related to Security - Soft Costs	0			
24	Amount of line 20 Related to Security - Hard Costs	0			
25	Amount of line 20 Related to Energy Conservation Measures	1,853,680			
Signature of Executive Director			Signature of Public Housing Director		Date
John W. Cressman					
Date					

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06S02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
004 Park Terrace	1410 Management Fee	004		81,857		0	0	In Process
004 Park Terrace	1430 A&E	004		34,194		0	0	In Process
004 Park Terrace	1430 Construction Inspections	004		8,500		0	0	In Process
004 Park Terrace	1450 Parking Lot Resurface & Restripe	004		47,500		0	0	In Process
004 Park Terrace	1460 1st Floor Renovation of Common Areas and Apartment	004		200,000		0	0	In Process
004 Park Terrace	1460 Weatherization of Exterior / Caulk and Paint	004		175,000		0	0	In Process
						0	0	In Process
006 North Main	1410 Management Fee	006		83,645		0	0	In Process
006 North Main	1430 A&E	006		4,240		0	0	In Process
006 North Main	1430 Construction Inspections	006		2,000		0	0	In Process
006 North Main	1450 Parking Lot Resurfacing & Restripe	006		46,734		0	0	In Process
						0	0	In Process
009 Olesen Plaza	1410 Management Fee	009		67,544		0	0	In Process
009 Olesen Plaza	1430 A&E	009		22,240		0	0	In Process
009 Olesen Plaza	1430 Construction Inspections	009		4,500		0	0	In Process
009 Olesen Plaza	1450 Parking Lot Resurfacing & Restripe	009		48,500		0	0	In Process
009 Olesen Plaza	1450 Replace Concrete Approaches from Streets	009		25,000		0	0	In Process
009 Olesen Plaza	1460 1 st Floor Renovation of Common Areas and Apartment	009		200,000		0	0	In Process

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06S02250109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
014 Low Rises	1410 Management Fee	014		44,731		0	0	In Process
014 Low Rises	1430 A&E	014		74,880		0	0	In Process
014 Low Rises	1430 Construction Inspections	014		11,000		0	0	In Process
014 Low Rises	1450 Parking Lot Resurfacing & Striping with new lighting	014		141,000		0	0	In Process
014 Low Rises	1460 Carpet In Common Areas	014		70,000		0	0	In Process
014 Low Rises	1460 Replace Roof On All 3 Low Rise Buildings	014		200,000		0	0	In Process
014 Low Rises	1460 Ext Renovation @ All Three Sites	014		525,000		0	0	In Process
020 SS West	1410 Management Fee	020		65,755		0	0	In Process
020 SS West	1430 A&E	020		9,367		0	0	In Process
020 SS West	1430 Construction Inspections	020		8,500		0	0	In Process
020 SS West	1450 Replace Concrete/Asphalt Sidewalks & Driveways & Landscape Work	020		201,200		0	0	In Process
020 SS West	1460 Replace Windows	020		79,000		0	0	In Process
020 SS West	1460 Replace Siding	020		143,000		0	0	In Process
020 SS West	1460 Replace Entrance Doors	020		34,500		0	0	In Process
020 SS West	1460 Rebuild Wood Deck	020		5,000		0	0	In Process
020 SS West	1460 Replace Asphalt Shingles	020		34,000		0	0	In Process

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Rockford Housing Authority				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL22-004 Park Terrace	3/17/10		3/17/12		
IL22-006 N. Main Manor	3/17/10		3/17/12		
IL22-009 Olesen Plaza	3/17/10		3/17/12		
IL22-014 Low Rises	3/17/10		3/17/12		
IL22-020 SS West	3/17/10		3/17/12		
IL22-021 SS East	3/17/10		3/17/12		
IL22-223 Admin	3/17/10		3/17/12		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250108 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2008 FFY of Grant Approval: 2008	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/09 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³	660,840	660,840	660,840	330,420	
3	1408 Management Improvements	281,400	228,548	80,433	80,433	
4	1410 Administration (may not exceed 10% of line 21)	330,420	330,420	330,420	165,210	
5	1411 Audit	7,000	7,000	0	0	
6	1415 Liquidated Damages	0	0	0	0	
7	1430 Fees and Costs	174,500	324,581	201,208	34,901	
8	1440 Site Acquisition	35,000	38,095	10,845	7,845	
9	1450 Site Improvement	295,000	268,365	37,361	37,361	
10	1460 Dwelling Structures	1,310,000	1,264,275	309,816	287,261	
11	1465.1 Dwelling Equipment—Nonexpendable	26,000	26,000	0	0	
12	1470 Non-dwelling Structures	55,000	61,635	11,915	0	
13	1475 Non-dwelling Equipment	0	50,000	0	0	
14	1485 Demolition	45,000	38,240	38,240	37,290	
15	1492 Moving to Work Demonstration	0	0	0	0	
16	1495.1 Relocation Costs	5,000	5,000	0	0	
17	1499 Development Activities ⁴	0	0	0	0	
18a	1501 Collateralization or Debt Service paid by the PHA	0	0	0	0	
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0	0	0	
19	1502 Contingency (may not exceed 8% of line 20)	79,042	1,202	0	0	
20	Amount of Annual Grant:: (sum of lines 2 - 19)	3,304,202	3,304,202	1,681,079	980,722	
21	Amount of line 20 Related to LBP Activities	0				
22	Amount of line 20 Related to Section 504 Activities	0				
23	Amount of line 20 Related to Security - Soft Costs	0				
24	Amount of line 20 Related to Security - Hard Costs	0				
25	Amount of line 20 Related to Energy Conservation Measures	27,000				
Signature of Executive Director		Signature of Public Housing Director		Date		
John W. Cressman						
Date						

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
001 Blackhawk	1406 Mod for Operations	001		67,426	135,279	135,279	67,640	In Process
001 Blackhawk	1408 Security / Camera Replacement	001		5,000	5,000	0	0	In Process
001 Blackhawk	1408 Training/Staff Resident	001		1,021	1,021	0	0	In Process
001 Blackhawk	1408 Computer Upgrades	001		2,550	2,550	999	999	In Process
001 Blackhawk	1408 Safety & Employee Wellness	001		1,556	1,556	265	265	In Process
001 Blackhawk	1408 Maintenance Tools & Equipment	001		1,954	1,286	0	0	In Process
001 Blackhawk	1408 Marketing Plan	001		6,633	6,633	0	0	In Process
001 Blackhawk	1408 Upgrade Maint Fleet - Pick Up/Plow	001		25,000	25,668	25,668	25,668	Complete
001 Blackhawk	1410 Management Fee	001		0	32,301	32,301	16,150	In Process
001 Blackhawk	1430 A&E Consulting/Redev Activities	001		10,000	10,000	0	0	In Process
001 Blackhawk	1430 A&E – Energy Audit	001		0	5,611	5,611	0	In Process
001 Blackhawk	1430 CFP Inspections	001		3,000	3,000	20	20	In Process
001 Blackhawk	1440 Appraisals	001		7,500	7,500	0	0	In Process
001 Blackhawk	1450 Site Impr./Sidewalks, Stoops, Handrails	001		50,000	42,390	8,700	8,700	In Process
001 Blackhawk	1450 Site Impr./Storm Drain Repairs	001		25,000	25,000	0	0	In Process
001 Blackhawk	1450 Site Impr/Tree Trimming	001		0	975	975	975	Complete
001 Blackhawk	1475 Non-Dwelling Equipment	001		0	6,635	0	0	In Process
003 Orton Keyes	1406 Mod for Operations	003		60,202	131,361	131,361	65,681	In Process
003 Orton Keyes	1408 Security / Camera Replacement	003		5,000	5,000	0	0	In Process
003 Orton Keyes	1408 Training/Staff Resident	003		912	912	0	0	In Process
003 Orton Keyes	1408 Computer Upgrades	003		2,277	2,277	999	999	In Process
003 Orton Keyes	1408 Safety & Employee Wellness	003		1,389	1,389	230	230	In Process
003 Orton Keyes	1408 Maintenance Tools & Equipment	003		1,745	1,745	0	0	In Process
003 Orton Keyes	1408 Marketing Plan	003		5,922	5,922	0	0	In Process
003 Orton Keyes	1410 Management Fee	003		0	28,840	28,840	14,420	In Process
003 Orton Keyes	1430 A&E	003		7,500	7,500	0	0	In Process
003 Orton Keyes	1430 A&E – Energy Audit	003		0	5,010	5,010	0	In Process
003 Orton Keyes	1430 CFP Inspections	003		5,000	5,000	0	0	In Process

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
003 Orton Keyes	1450 Site Impr/1 st Story Ext Stone Repair	003		50,000	50,000	0	0	In Process
003 Orton Keyes	1450 Site Impr/Sidewalk & Patio Replace	003		20,000	20,000	1,495	1,495	In Process
003 Orton Keyes	1460 Ext Rehab / Fascia & Soffit Repairs	003		10,000	10,000	5,318	5,318	In Process
003 Orton Keyes	1470 Non Dwelling/Off-Com Room Roof	003		25,000	25,000	11,915	0	In Process
003 Orton Keyes	1470 Non Dwelling/Head Start Roof Replace.	003		25,000	25,000	0	0	In Process
004 Park Terrace	1406 Mod for Operations	004		62,954	196,119	196,119	98,060	In Process
004 Park Terrace	1408 Security / Camera Replacement	004		2,500	2,500	0	0	In Process
004 Park Terrace	1408 Service Coordinators / Elderly	004		10,568	0	0	0	Complete
004 Park Terrace	1408 Training/Staff Resident	004		953	953	0	0	In Process
004 Park Terrace	1408 Computer Upgrades	004		2,381	3,130	3,130	3,130	Complete
004 Park Terrace	1408 Safety & Employee Wellness	004		1,453	1,453	202	202	In Process
004 Park Terrace	1408 Maintenance Tools & Equipment	004		1,824	311	0	0	In Process
004 Park Terrace	1408 Marketing Plan	004		6,193	6,193	0	0	In Process
004 Park Terrace	1408 Upgrade Maint Fleet – Pickup/Plow Split with Lowrises	004		10,000	26,513	26,513	26,513	Complete
004 Park Terrace	1410 Management Fee	004		0	30,158	30,158	15,079	In Process
004 Park Terrace	1430 A&E	004		15,000	15,000	0	0	In Process
004 Park Terrace	1430 A&E – Energy Audit	004		0	5,239	5,239	0	In Process
004 Park Terrace	1430 CFP Inspections	004		5,000	4,251	0	0	In Process
004 Park Terrace	1460 Int Rehab/Apt Door Hrdwr-closer	004		60,000	45,000	28,942	28,942	In Process
004 Park Terrace	1460 Int Rehab/Riser Replacement 8&9 lines	004		340,000	340,000	0	0	In Process
004 Park Terrace	1465 Non-Dwell Eq. Appliances for 8 & 9 Renovations	004		26,000	26,000	0	0	In Process
004 Park Terrace	1495 Relocation	004		5,000	5,000	0	0	In Process
006 North Main	1406 Mod for Operations	006		64,330	0	0	0	In Process
006 North Main	1408 Security / Camera Replacement	006		2,500	2,500	0	0	In Process
006 North Main	1408 Service Coordinators / Elderly	006		10,799	0	0	0	Complete

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
006 North Main	1408 Training/Staff Resident	006		974	974	0	0	In Process
006 North Main	1408 Computer Upgrades	006		2,433	2,433	999	999	In Process
006 North Main	1408 Safety & Employee Wellness	006		1,484	1,484	131	131	In Process
006 North Main	1408 Maintenance Tools & Equipment	006		1,864	1,864	0	0	In Process
006 North Main	1408 Marketing Plan	006		6,328	6,328	0	0	In Process
006 North Main	1410 Management Fee	006		0	30,817	30,817	15,409	In Process
006 North Main	1430 A&E – Energy Audit	006		0	5,353	5,353	0	In Process
006 North Main	1430 CFP Inspections	006		2,000	2,000	0	0	In Process
006 North Main	1460 Int Rehab/Door Hardware-Closers	006		60,000	46,406	28,677	28,677	In Process
007 Fairgrounds	1406 Mod for Operations	007		72,242	0	0	0	Complete
007 Fairgrounds	1408 Security / Camera Replacement	007		5,000	5,000	0	0	In Process
007 Fairgrounds	1408 Training/Staff Resident	007		1,094	1,094	0	0	In Process
007 Fairgrounds	1408 Computer Upgrades	007		2,732	4,131	3,131	3,131	In Process
007 Fairgrounds	1408 Safety & Employee Wellness	007		1,667	1,667	405	405	In Process
007 Fairgrounds	1408 Maintenance Tools & Equipment	007		2,094	2,094	0	0	In Process
007 Fairgrounds	1408 Marketing Plan	007		7,106	7,106	0	0	In Process
007 Fairgrounds	1410 Management Fee	007		0	34,608	34,608	17,304	In Process
007 Fairgrounds	1430 A&E Consulting/Redev Activities	007		10,000	16,906	16,906	8,958	In Process
007 Fairgrounds	1430 A&E – Energy Audit	007		0	6,012	6,012	0	In Process
007 Fairgrounds	1430 CFP Inspections	007		2,000	601	84	84	In Process
007 Fairgrounds	1440 Appraisals	007		7,500	7,500	7,000	7,000	In Process
007 Fairgrounds	1450 Site Imp/Basement Windows-PVC Downspouts	007		10,000	10,000	6,400	6,400	In Process
007 Fairgrounds	1450 Site Imp/Parking Lot Sealcoat- Striping	007		25,000	25,000	0	0	In Process
007 Fairgrounds	1470 Non-Dwelling/Office Carpet Replace	007		5,000	5,000	0	0	In Process

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Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
009 Olesen Plaza	1406 Mod for Operations	009		51,945	0	0	0	Complete
009 Olesen Plaza	1408 Security / Camera Replacement	009		2,500	2,500	0	0	In Process
009 Olesen Plaza	1408 Service Coordinators / Elderly	009		8,720	0	0	0	Complete
009 Olesen Plaza	1408 Training/Staff Resident	009		787	787	0	0	In Process
009 Olesen Plaza	1408 Computer Upgrades	009		1,965	1,965	999	999	In Process
009 Olesen Plaza	1408 Safety & Employee Wellness	009		1,199	1,199	159	159	In Process
009 Olesen Plaza	1408 Maintenance Tools & Equipment	009		1,505	1,505	0	0	In Process
009 Olesen Plaza	1408 Marketing Plan	009		5,110	5,110	0	0	In Process
009 Olesen Plaza	1410 Management Fee	009		0	24,885	24,885	12,442	In Process
009 Olesen Plaza	1430 A&E	009		20,000	18,665	7,500	7,500	In Process
009 Olesen Plaza	1430 A&E – Energy Audit	009		0	4,323	4,323	0	In Process
009 Olesen Plaza	1430 CFP Inspections	009		6,000	1,141	1,141	1,141	Complete
009 Olesen Plaza	1460 Interior Rehab/Door Hrdwr Closers	009		0	30,363	28,957	28,957	In Process
009 Olesen Plaza	1460 Interior Rehab-Common Hall Floors	009		50,000	5,000	0	0	In Process
009 Olesen Plaza	1460 Exterior Rehab-Roof Replacement	009		130,000	217,506	217,506	194,950	In Process
014 Low Rises	1406 Mod for Operations	014		34,401	0	0	0	Complete
014 Low Rises	1408 Service Coordinators / Elderly	014		5,775	0	0	0	Complete
014 Low Rises	1408 Training/Staff Resident	014		521	521	0	0	In Process
014 Low Rises	1408 Computer Upgrades	014		1,301	1,301	999	999	In Process
014 Low Rises	1408 Safety & Employee Wellness	014		794	794	156	156	In Process
014 Low Rises	1408 Maintenance Tools & Equipment	014		997	997	0	0	In Process
014 Low Rises	1408 Marketing Plan	014		3,384	3,384	0	0	In Process
014 Low Rises	1408 Upgrade Maint Fleet – Pickup/Plow	014		10,000	0	0	0	Complete
	Split with Park Terrace							
014 Low Rises	1410 Management Fee	014		0	16,480	16,480	8,240	In Process
014 Low Rises	1430 A&E	014		12,000	12,000	0	0	In Process
014 Low Rises	1430 A&E – Energy Audit	014		0	2,863	2,863	0	In Process
014 Low Rises	1430 CFP Inspections	014		14,000	14,000	0	0	In Process

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Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
014 Low Rises	1460 Interior Rehab-Hall Carpet/Common Area Furniture – All 3	014		70,000	70,000	0	0	In Process
014 Low Rises	1460 Interior Rehab-2 nd Story Laundry BB	014		10,000	10,000	0	0	In Process
014 Low Rises	1460 Interior Rehab-Trash Compactor-all 3	014		30,000	30,000	0	0	In Process
014 Low Rises	1460 Interior Rehab-Fire Alarm Upgrade - 3	014		155,000	155,000	0	0	In Process
020 Scattered Sites West	1406 Mod for Operations	020		50,569	0	0	0	Complete
020 Scattered Sites West	1408 Training/Staff Resident	020		766	766	0	0	In Process
020 Scattered Sites West	1408 Computer Upgrades	020		1,912	1,912	0	0	In Process
020 Scattered Sites West	1408 Safety & Employee Wellness	020		1,167	1,167	182	182	In Process
020 Scattered Sites West	1408 Maintenance Tools & Equipment	020		1,466	1,466	0	0	In Process
020 Scattered Sites West	1408 Marketing Plan	020		4,974	4,974	0	0	In Process
020 Scattered Sites West	1408 Upgrade Maint Fleet/Mgr Vehicle	020		7,500	13,528	13,528	13,528	Complete
020 Scattered Sites West	1410 Management Fee	020		0	24,225	24,225	12,112	In Process
020 Scattered Sites West	1430 Consulting/Redev Activities	020		10,000	10,000	5,000	0	In Process
020 Scattered Sites West	1430 A&E – Energy Audit	020		0	4,208	4,208	0	In Process
020 Scattered Sites West	1430 CFP Inspections	020		5,000	5,000	297	297	In Process
020 Scattered Sites West	1440 Appraisals	020		10,000	10,000	250	250	In Process
020 Scattered Sites West	1450 Site Improvements-Sidewalks & Drives	020		20,000	20,000	0	0	In Process
020 Scattered Sites West	1450 Site Improvements-Tree Removal	020		5,000	5,000	0	0	In Process
020 Scattered Sites West	1460 Exterior Rehab-Roofs (008)	020		165,000	115,000	0	0	In Process
020 Scattered Sites West	1460 Exterior Rehab - Windows	020		0	50,000	0	0	In Process
020 Scattered Sites West	1485 Demo/Latham, Loomis, N. Court	020		15,000	14,140	14,140	13,190	In Process
021 Scattered Sites East	1406 Mod for Operations	021		52,977	126,910	126,910	63,455	In Process
021 Scattered Sites East	1408 Training/Staff Resident	021		802	802	0	0	In Process
021 Scattered Sites East	1408 Computer Upgrades	021		2,004	2,004	0	0	In Process
021 Scattered Sites East	1408 Safety & Employee Wellness	021		1,223	1,223	251	251	In Process
021 Scattered Sites East	1408 Maintenance Tools & Equipment	021		1,535	1,535	0	0	In Process
021 Scattered Sites East	1408 Marketing Plan	021		5,211	5,211	0	0	In Process

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
021 Scattered Sites East	1408 Upgrade Maint Fleet/Mgr Vehicle	021		7,500	1,472	0	0	In Process
021 Scattered Sites East	1410 Management Fee	021		0	25,379	25,379	12,689	In Process
021 Scattered Sites East	1430 A&E	021		20,000	20,000	0	0	In Process
021 Scattered Sites East	1430 A&E-Consulting/Redev Activities	021		10,000	10,000	7,761	0	In Process
021 Scattered Sites East	1430 A&E – Energy Audit	021		0	4,409	4,409	0	In Process
021 Scattered Sites East	1430 CFP Inspections	021		5,000	5,000	565	565	In Process
021 Scattered Sites East	1440 Appraisals	021		10,000	10,000	500	500	In Process
021 Scattered Sites East	1450 Site Improvements-Sidewalks & Drives	021		20,000	20,000	0	0	In Process
021 Scattered Sites East	1450 Site Improvements-Tree Removal	021		5,000	5,000	0	0	In Process
021 Scattered Sites East	1460 Exterior Rehab-Roofs (008)	021		110,000	60,000	0	0	In Process
021 Scattered Sites East	1460 Exterior Rehab-Prototype Ren. (016)	021		75,000	75,000	0	0	In Process
021 Scattered Sites East	1460 Exterior Rehab-Windows	021		0	50,000	416	0	In Process
021 Scattered Sites East	1485 Demo/ 1405 Charles-214 Clara	021		30,000	24,100	24,100	24,100	Complete
051 Jane Addams	1410 Management Fee	051		0	13,843	13,843	6,922	In Process
051 Jane Addams	1430 CFP Inspections	051		0	40	40	40	In Process
051 Jane Addams	1450 Site Improvements/Green Space	051		25,000	25,000	19,791	19,791	In Process
052 Brewington Oaks	1406 Mod for Operations	052		143,794	71,171	71,171	35,585	In Process
052 Brewington Oaks	1408 Security / Camera Replacement	052		2,500	2,500	0	0	In Process
052 Brewington Oaks	1408 Security/Micro wireless Camera Recorder	052		2,000	2,000	0	0	In Process
052 Brewington Oaks	1408 Service Coordinators / Elderly	052		24,138	0	0	0	Complete
052 Brewington Oaks	1408 Training/Staff Resident	052		2,170	2,170	0	0	In Process
052 Brewington Oaks	1408 Computer Upgrades	052		5,445	5,445	999	999	In Process
052 Brewington Oaks	1408 Safety & Employee Wellness	052		3,316	3,316	489	489	In Process
052 Brewington Oaks	1408 Maintenance Tools & Equipment	052		4,166	4,166	0	0	In Process
052 Brewington Oaks	1408 Marketing Plan	052		14,139	14,139	0	0	In Process
052 Brewington Oaks	1410 Management Fee	052		0	68,882	68,882	34,441	In Process
052 Brewington Oaks	1430 A&E Consulting/Redev Activities	052		10,000	106,893	106,893	16,295	In Process
052 Brewington Oaks	1430 A&E – Energy Audit	052		0	11,969	11,969	0	In Process
052 Brewington Oaks	1430 CFP Inspections A & B	052		3,000	2,585	0	0	In Process
052 Brewington Oaks	1440 Appraisals	052		0	3,095	3,095	95	In Process

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² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Rockford Housing Authority				Federal FFY of Grant: 2008	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL22-001 Blackhawk	6/12/2010	6/12/2012			
IL22-003 Orton Keyes	6/12/2010	6/12/2012			
IL22-004 Park Terrace	6/12/2010	6/12/2012			
IL22-006 N. Main Manor	6/12/2010	6/12/2012			
IL22-007 Fairgrounds	6/12/2010	6/12/2012			
IL22-009 Olesen Plaza	6/12/2010	6/12/2012			
IL22-014 Low Rises	6/12/2010	6/12/2012			
IL22-020 SS - West	6/12/2010	6/12/2012			
IL22-021 SS - East	6/12/2010	6/12/2012			
IL22-051 Jane Addams	6/12/2010	6/12/2012			
IL22-052 Brewington Oaks	6/12/2010	6/12/2012			
PHA Wide	6/12/2010	6/12/2012			

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary					
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250107 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2007 FFY of Grant Approval: 2007
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/09				<input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	647,431	647,431	647,431	647,431
3	1408 Management Improvements	454,470	324,629	269,339	268,339
4	1410 Administration (may not exceed 10% of line 21)	323,716	323,716	323,716	323,716
5	1411 Audit	0	7,000	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	134,500	127,249	83,886	21,057
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	150,000	70,407	31,722	29,322
10	1460 Dwelling Structures	878,500	926,260	730,016	404,622
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0
12	1470 Non-dwelling Structures	0	750	0	0
13	1475 Non-dwelling Equipment	0	6,635	6,635	6,635
14	1485 Demolition	500,000	678,779	678,779	678,779
15	1492 Moving to Work Demonstration	0	0	0	0
16	1495.1 Relocation Costs	0	111,811	110,593	58,190
17	1499 Development Activities ⁴	0	0	0	0
18a	1501 Collateralization or Debt Service paid by the PHA	0	0	0	0
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0	0	0
19	1502 Contingency (may not exceed 8% of line 20)	148,539	12,488	0	0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	3,237,156	3,237,156	2,882,117	2,438,091
21	Amount of line 20 Related to LBP Activities	148,500			
22	Amount of line 20 Related to Section 504 Activities	75,000			
23	Amount of line 20 Related to Security - Soft Costs	0			
24	Amount of line 20 Related to Security - Hard Costs	271,317			
25	Amount of line 20 Related to Energy Conservation Measures	170,000			
Signature of Executive Director			Signature of Public Housing Director		Date
John W. Cressman					
Date					

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250107 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
001 Blackhawk	1406 Mod for Operations	001		123,155	123,156	123,156	123,156	Complete
001 Blackhawk	1408 Training/Staff Resident	001		2,219	2,219	1,853	1,751	In Process
001 Blackhawk	1408 Computer Software/Hardware	001		6,022	4,219	4,219	4,219	Complete
001 Blackhawk	1408 Safety Program	001		397	515	515	515	Complete
001 Blackhawk	1408 Resident Screening/Orientation	001		528	528	0	0	In Process
001 Blackhawk	1408 Maintenance Tools & Equipment	001		1,955	1,955	0	0	In Process
001 Blackhawk	1408 Marketing-Vacancy/Reduction	001		980	980	0	0	In Process
001 Blackhawk	1408 Security	001		0	630	630	630	Complete
001 Blackhawk	1410 Management Fee	001		0	31,644	31,644	31,644	Complete
001 Blackhawk	1430 Construction Supervisor	001		0	599	379	379	In Process
001 Blackhawk	1450 Site Improvements	001		25,000	22,735	16,250	13,850	In Process
001 Blackhawk	1475 Non-Dwelling Equipment	001		0	6,635	6,635	6,635	Complete
003 Orton Keys	1406 Mod for Operations	003		41,655	41,655	41,655	41,655	Complete
003 Orton Keys	1408 Training/Staff Resident	003		1,981	1,981	1,512	1,421	In Process
003 Orton Keys	1408 Computer Software/Hardware	003		5,378	3,767	3,767	3,767	Complete
003 Orton Keys	1408 Safety Program	003		354	460	460	460	Complete
003 Orton Keys	1408 Resident Screening/Orientation	003		473	473	0	0	In Process
003 Orton Keys	1408 Maintenance Tools & Equipment	003		1,745	1,745	0	0	In Process
003 Orton Keys	1408 Marketing-Vacancy/Reduction	003		875	875	0	0	In Process
003 Orton Keys	1410 Management Fee	003		0	28,254	28,254	28,254	Complete
003 Orton Keys	1430 A & E	003		10,000	10,000	0	0	In Process
003 Orton Keys	1430 Construction Supervisor/Inspections	003		5,000	5,000	469	469	In Process
003 Orton Keys	1460 Interior Rehab-ADA Apt Upgrades	003		75,000	174,250	0	0	In Process
003 Orton Keys	1470 Non-Dwelling Structures	003		0	750	0	0	In Process
004 Park Terrace	1406 Mod for Operations	004		172,107	172,107	172,107	172,107	Complete
004 Park Terrace	1408 Security Cameras and Hardware	004		20,742	20,742	15,920	15,920	In Process
004 Park Terrace	1408 Service Coordinators / Elderly	004		10,485	10,568	10,568	10,568	Complete
004 Park Terrace	1408 Training/Staff Resident	004		2,037	2,159	2,159	2,064	In Process

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P02250107 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
004 Park Terrace	1408 Computer Software/Hardware	004		5,108	8,037	8,037	8,037	Complete
004 Park Terrace	1408 Safety Program	004		485	481	481	481	Complete
004 Park Terrace	1408 Resident Screening/Orientation	004		818	818	0	0	In Process
004 Park Terrace	1408 Maintenance Tools & Equipment	004		1,811	1,811	0	0	In Process
004 Park Terrace	1408 Marketing-Vacancy/Reduction	004		905	905	0	0	In Process
004 Park Terrace	1410 Management Fee	004		0	29,545	29,545	29,545	Complete
004 Park Terrace	1430 Construction Supervisor	004		0	158	99	99	In Process
004 Park Terrace	1450 Site Improvements -Security Cameras	004		20,000	0	0	0	Complete
004 Park Terrace	1460 Interior Rehab	004		0	5,090	5,090	5,090	Complete
006 North Main	1406 Mod for Operations	006		0	0	0	0	Complete
006 North Main	1408 Security Cameras and Hardware	006		21,353	21,353	17,600	17,600	In Process
006 North Main	1408 Service Coordinators / Elderly	006		10,831	10,779	10,779	10,779	Complete
006 North Main	1408 Training/Staff Resident	006		2,103	2,103	887	790	In Process
006 North Main	1408 Computer Software/Hardware	006		5,643	8,213	8,213	8,213	Complete
006 North Main	1408 Safety Program	006		415	491	491	491	Complete
006 North Main	1408 Resident Screening/Orientation	006		471	471	0	0	In Process
006 North Main	1408 Maintenance Tools & Equipment	006		1,870	1,870	0	0	In Process
006 North Main	1408 Marketing-Vacancy/Reduction	006		935	935	0	0	In Process
006 North Main	1410 Management Fee	006		0	30,191	30,191	30,191	Complete
006 North Main	1430 A & E	006		3,500	8,000	8,000	6,000	In Process
006 North Main	1430 Construction Supervisor/Inspections	006		3,500	3,500	70	70	In Process
006 North Main	1450 Site Improvements-Security Camera	006		10,000	5,500	0	0	In Process
006 North Main	1460 Interior Rehab-Ventilation System	006		15,000	60,525	60,525	0	In Process
007 Fairgrounds	1406 Mod for Operations	007		94,155	94,155	94,155	94,155	Complete
007 Fairgrounds	1408 Training/Staff Resident	007		2,400	3,289	3,289	3,179	In Process
007 Fairgrounds	1408 Computer Software/Hardware	007		6,300	5,520	5,520	5,520	Complete
007 Fairgrounds	1408 Safety Program	007		750	552	552	552	Complete
007 Fairgrounds	1408 Resident Screening/Orientation	007		1,049	593	0	0	In Process

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250107 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
007 Fairgrounds	1408 Maintenance Tools & Equipment	007		2,098	2,098	0	0	In Process
007 Fairgrounds	1408 Marketing-Vacancy/Reduction	007		1,049	1,049	0	0	In Process
007 Fairgrounds	1408 Security	007		0	2,995	2,995	2,995	Complete
007 Fairgrounds	1410 Management Fee	007		0	33,905	33,905	33,905	Complete
007 Fairgrounds	1430 A & E	007		4,500	0	0	0	Complete
007 Fairgrounds	1430 Consulting Services for Redev.	007		15,000	9,500	0	0	In Process
007 Fairgrounds	1430 Construction Supervisor/Inspections	007		1,000	726	379	379	In Process
007 Fairgrounds	1450 Site Improvements-Sidewalks	007		20,000	20,000	2,800	2,800	In Process
009 Olesen Plaza	1406 Mod for Operations	009		0	0	0	0	Complete
009 Olesen Plaza	1408 Security Cameras and Hardware	009		17,241	15,101	9,475	9,475	In Process
009 Olesen Plaza	1408 Service Coordinators / Elderly	009		8,744	8,720	8,720	8,720	Complete
009 Olesen Plaza	1408 Training/Staff Resident	009		1,697	1,697	1,413	1,335	In Process
009 Olesen Plaza	1408 Computer Software/Hardware	009		4,557	6,632	6,632	6,632	Complete
009 Olesen Plaza	1408 Safety Program	009		335	426	426	426	Complete
009 Olesen Plaza	1408 Resident Screening/Orientation	009		379	379	0	0	In Process
009 Olesen Plaza	1408 Maintenance Tools & Equipment	009		1,510	1,510	0	0	In Process
009 Olesen Plaza	1408 Marketing-Vacancy/Reduction	009		755	755	0	0	In Process
009 Olesen Plaza	1410 Management Fee	009		0	24,378	24,378	24,378	Complete
009 Olesen Plaza	1430 A & E	009		21,500	0	0	0	Complete
009 Olesen Plaza	1430 Construction Supervisor/Inspections	009		6,500	6,500	309	309	In Process
009 Olesen Plaza	1450 Site Improvements-Prking Lot Seal	009		5,000	5,000	0	0	In Process
009 Olesen Plaza	1450 Site Improvements – Security Camera	009		10,000	0	0	0	Complete
009 Olesen Plaza	1460 Interior Rehab-1 st Floor Renovation	009		175,000	985	985	985	Complete
009 Olesen Plaza	1460 Interior Rehab-Kitchen Prototype	009		7,500	7,500	0	0	In Process
014 Low Rises-Buckbee	1406 Mod for Operations	014		40,441	40,441	40,441	40,441	Complete
014 Low Rises-Buckbee	1408 Security Cameras and Hardware	014		4,875	0	0	0	Complete
014 Low Rises-Buckbee	1408 Service Coordinators / Elderly	014		2,464	2,483	2,483	2,483	Complete
014 Low Rises-Buckbee	1408 Training/Staff Resident	014		479	561	561	539	In Process

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250107 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
014 Low Rises-Buckbee	1408 Computer Software/Hardware	014		1,201	1,888	1,888	1,888	Complete
014 Low Rises-Buckbee	1408 Safety Program	014		114	113	113	113	Complete
014 Low Rises-Buckbee	1408 Resident Screening/Orientation	014		192	136	0	0	In Process
014 Low Rises-Buckbee	1408 Maintenance Tools & Equipment	014		425	425	0	0	In Process
014 Low Rises-Buckbee	1408 Marketing-Vacancy/Reduction	014		213	213	0	0	In Process
014 Low Rises-Buckbee	1410 Management Fee	014		0	6,942	6,942	6,942	Complete
014 Low Rises-Buckbee	1430 A & E	014		4,300	840	0	0	In Process
014 Low Rises-Buckbee	1430 Construction Supervisor/Inspections	014		2,150	2,150	549	549	In Process
014 Low Rises-Buckbee	1460 Interior Rehab-Intercom Replacement	014		25,000	56,888	56,888	56,888	Complete
014 Low Rises-Buckbee	1460 Interior Rehab-PTAC Replacement	014		3,334	0	0	0	Complete
014 Low Rises-Buckbee	1460 Interior Rehab-1 st Floor Apt Bathrooms	014		110,000	118,047	118,047	7,318	In Process
014 Low Rises-Buckbee	1460 Interior Rehab	014		0	977	977	977	Complete
014 Low Rises-Summit	1406 Mod for Operations	014		25,393	25,393	25,393	25,393	Complete
014 Low Rises-Summit	1408 Security Cameras and Hardware	014		3,061	0	0	0	Complete
014 Low Rises-Summit	1408 Service Coordinators / Elderly	014		1,547	1,559	1,559	1,559	Complete
014 Low Rises-Summit	1408 Training/Staff Resident	014		301	383	383	369	In Process
014 Low Rises-Summit	1408 Computer Software/Hardware	014		754	1,186	1,186	1,186	Complete
014 Low Rises-Summit	1408 Safety Program	014		72	71	71	71	Complete
014 Low Rises-Summit	1408 Resident Screening/Orientation	014		121	55	0	0	In Process
014 Low Rises-Summit	1408 Maintenance Tools & Equipment	014		267	267	0	0	In Process
014 Low Rises-Summit	1408 Marketing – Vacancy/Reduction	014		134	134	0	0	In Process
014 Low Rises-Summit	1410 Management Fee	014		0	4,359	4,359	4,359	Complete
014 Low Rises-Summit	1430 A & E	014		2,700	0	0	0	Complete
014 Low Rises-Summit	1430 Construction Supervisor/Inspections	014		1,350	1,340	859	859	In Process
014 Low Rises-Summit	1460 Interior Rehab-Intercom Replacement	014		25,000	42,543	42,543	42,543	Complete
014 Low Rises-Summit	1460 Interior Rehab-PTAC Replacement	014		3,333	38,231	38,231	38,231	Complete
014 Low Rises-Summit	1460 Interior Rehab-1 st Floor Apt Bathrooms	014		32,000	40,677	40,677	6,590	In Process
014 Low Rises-Summit	1460 Interior Rehab	014		0	976	976	976	Complete

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250107 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
014 Low Rises-Midvale	1406 Mod for Operations	014		28,214	28,214	28,214	28,214	Complete
014 Low Rises-Midvale	1408 Security Cameras and Hardware	014		3,401	0	0	0	Complete
014 Low Rises-Midvale	1408 Service Coordinators / Elderly	014		1,719	1,733	1,733	1,733	Complete
014 Low Rises-Midvale	1408 Training/Staff Resident	014		334	386	386	371	In Process
014 Low Rises-Midvale	1408 Computer Software/Hardware	014		838	1,318	1,318	1,318	Complete
014 Low Rises-Midvale	1408 Safety Program	014		80	79	79	79	Complete
014 Low Rises-Midvale	1408 Resident Screening/Orientation	014		134	99	0	0	In Process
014 Low Rises-Midvale	1408 Maintenance Tools & Equipment	014		297	297	0	0	In Process
014 Low Rises-Midvale	1408 Marketing – Vacancy/Reduction	014		149	149	0	0	In Process
014 Low Rises-Midvale	1410 Management Fee	014		0	4,844	4,844	4,844	Complete
014 Low Rises-Midvale	1430 A & E	014		3,000	0	0	0	Complete
014 Low Rises-Midvale	1430 Construction Supervisor/Inspections	014		1,500	1,484	429	429	In Process
014 Low Rises-Midvale	1460 Interior Rehab-Intercom Replacement	014		25,000	42,103	42,103	42,103	Complete
014 Low Rises-Midvale	1460 Interior Rehab-PTAC Replacement	014		3,333	0	0	0	Complete
014 Low Rises-Midvale	1460 Interior Rehab-1 st Floor Apt Bathrooms	014		103,000	118,603	118,603	0	In Process
014 Low Rises-Midvale	1460 Interior Rehab	014		0	977	977	977	Complete
020 Scattered Sites West	1406 Mod for Operations	020		0	0	0	0	Complete
020 Scattered Sites West	1408 Training/Staff Resident	020		3,375	3,375	2,203	2,127	In Process
020 Scattered Sites West	1408 Computer Software/Hardware	020		4,500	5,673	5,673	5,673	Complete
020 Scattered Sites West	1408 Safety Program	020		750	386	386	386	Complete
020 Scattered Sites West	1408 Resident Screening/Orientation	020		750	750	0	0	In Process
020 Scattered Sites West	1408 Maintenance Tools & Equipment	020		1,500	1,500	0	0	In Process
020 Scattered Sites West	1408 Marketing-Vacancy/Reduction	020		750	750	0	0	In Process
020 Scattered Sites West	1408 Upgrade Maintenance Fleet	020		40,000	30,418	29,582	29,582	In Process
020 Scattered Sites West	1410 Management Fee	020		0	23,733	23,733	23,733	Complete
020 Scattered Sites West	1430 A & E	020		12,000	0	0	0	Complete
020 Scattered Sites West	1430 A & E Consulting	020		0	20,000	19,535	0	In Process
020 Scattered Sites West	1430 Construction Supervisor/Inspections	020		5,000	5,000	389	389	In Process

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250107 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
020 Scattered Sites West	1450 Site Improvements-Sidewalks	020		20,000	10,000	0	0	In Process
020 Scattered Sites West	1460 Interior Rehab-Lead Abatement	020		74,250	14,250	0	0	In Process
020 Scattered Sites West	1460 Interior Rehab-Mold Remediation	020		0	28,919	28,919	28,919	Complete
020 Scattered Sites West	1460 Exterior Rehab/Roofs	020		0	29,065	28,822	28,822	In Process
020 Scattered Sites West	1495 Relocation	020		0	5,000	5,000	1,651	In Process
021 Scattered Sites East	1406 Mod for Operations	021		89,155	89,155	89,155	89,155	Complete
021 Scattered Sites East	1408 Training/Staff Resident	021		3,375	3,375	2,070	1,990	In Process
021 Scattered Sites East	1408 Computer Software/Hardware	021		4,500	5,761	5,761	5,761	Complete
021 Scattered Sites East	1408 Safety Program	021		750	405	405	405	Complete
021 Scattered Sites East	1408 Resident Screening/Orientation	021		750	750	0	0	In Process
021 Scattered Sites East	1408 Maintenance Tools & Equipment	021		1,500	1,500	0	0	In Process
021 Scattered Sites East	1408 Marketing-Vacancy/Reduction	021		750	750	0	0	In Process
021 Scattered Sites East	1408 Upgrade Maintenance Fleet	021		20,000	29,582	29,582	29,582	Complete
021 Scattered Sites East	1410 Management Fee	021		0	24,863	24,863	24,863	Complete
021 Scattered Sites East	1430 A & E	021		12,000	0	0	0	Complete
021 Scattered Sites East	1430 A & E Consulting	021		0	20,500	20,465	0	In Process
021 Scattered Sites East	1430 Construction Supervisor/Inspections	021		5,000	1,008	1,008	1,008	Complete
021 Scattered Sites East	1450 Site Improvements-Sidewalks	021		20,000	12,672	12,672	12,672	Complete
021 Scattered Sites East	1460 Interior Rehab-Lead Abatement	021		74,250	0	0	0	Complete
021 Scattered Sites East	1460 Interior Rehab-Mold Remediation	021		0	16,920	16,920	16,920	Complete
021 Scattered Sites East	1460 Exterior Rehab/Roofs	021		0	85,085	85,085	85,085	Complete
021 Scattered Sites East	1460 Exterior Rehab/Windows	021		0	1,450	1,450	0	In Process
021 Scattered Sites East	1495 Relocation	021		0	5,000	5,000	0	In Process
051 Jane Addams	1410 Management Fee	051		0	13,562	13,562	13,562	Complete
051 Jane Addams	1430 A&E – Consulting Fee	051		0	25,474	25,474	4,645	In Process
051 Jane Addams	1430 Construction Supervisor	051		0	4,742	4,742	4,742	Complete
051 Jane Addams	1485 Demolition	051		500,000	678,779	678,779	678,779	Complete
051 Jane Addams	1495 Relocation	051		0	101,811	100,593	56,539	In Process
052 Brewington Oaks	1406 Mod for Operations	052		33,155	33,155	33,155	33,155	Complete
052 Brewington Oaks	1408 Security	052		140,645	15,429	15,429	15,429	Complete

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² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Rockford Housing Authority				Federal FFY of Grant: 2007	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL22-001 Blackhawk	9/12/2009	9/12/2011			
IL22-003 Orton Keyes	9/12/2009	9/12/2011			
IL22-004 Park Terrace	9/12/2009	9/12/2011			
IL22-006 N. Main Manor	9/12/2009	9/12/2011			
IL22-007 Fairgrounds	9/12/2009	9/12/2011			
IL22-009 Olesen Plaza	9/12/2009	9/12/2011			
IL22-014 Low Rises	9/12/2009	9/12/2011			
IL22-020 SS - West	9/12/2009	9/12/2011			
IL22-021 SS - East	9/12/2009	9/12/2011			
IL22-051 Jane Addams	9/12/2009	9/12/2011			
IL22-052 Brewington Oaks	9/12/2009	9/12/2011			
PHA Wide	9/12/2009	9/12/2011			

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary					
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2006 FFY of Grant Approval: 2006
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/09 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	632,769	632,769	632,769	632,769
3	1408 Management Improvements	417,000	330,136	330,136	329,436
4	1410 Administration (may not exceed 10% of line 21)	158,192	325,732	325,732	325,732
5	1411 Audit	0	4,063	4,063	4,063
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	199,640	384,659	384,659	378,671
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	72,000	54,164	54,164	54,164
10	1460 Dwelling Structures	1,325,851	910,362	910,362	910,362
11	1465.1 Dwelling Equipment—Nonexpendable	2,500	0	0	0
12	1470 Non-dwelling Structures	15,000	315,138	296,852	34,703
13	1475 Non-dwelling Equipment	37,973	180,979	180,979	180,979
14	1485 Demolition	150,000	30,363	30,363	30,363
15	1492 Moving to Work Demonstration	0	0	0	0
16	1495.1 Relocation Costs	114,000	88,953	88,953	88,953
17	1499 Development Activities ⁴	0	0	0	0
18a	1501 Collateralization or Debt Service paid by the PHA	0	0	0	0
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0	0	0
19	1502 Contingency (may not exceed 8% of line 20)	38,920	0	0	0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	3,163,845	3,257,318	3,239,032	2,970,194
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs	122,500			
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director John W. Cressman			Signature of Public Housing Director		Date
Date					

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
001 Blackhawk	1406 Mod for Operations	001		61,740	123,968	123,968	123,968	Complete
001 Blackhawk	1408 Training/Staff Resident	001		4,400	4,379	4,379	4,379	Complete
001 Blackhawk	1408 Computer Software	001		6,000	2,305	2,305	2,305	Complete
001 Blackhawk	1408 UPCS Inspections	001		2,150	0	0	0	Complete
001 Blackhawk	1408 Resident Screening/Orientation	001		980	0	0	0	Complete
001 Blackhawk	1408 Maintenance Tools & Equipment	001		1,960	1,383	1,383	1,383	Complete
001 Blackhawk	1408 Marketing-Vacancy/Reduction	001		980	0	0	0	Complete
001 Blackhawk	1408 Security	001		0	42,500	42,500	42,500	Complete
001 Blackhawk	1410 Management Fee	001		0	31,842	31,842	31,842	Complete
001 Blackhawk	1430 A & E	001		0	4,592	4,592	4,592	Complete
001 Blackhawk	1430 A & E Consulting	001		0	15,373	15,373	15,373	Complete
001 Blackhawk	1430 Construction Supervisor/Inspections	001		0	0	0	0	Complete
001 Blackhawk	1450 Site Improvements	001		0	16,150	16,150	16,150	Complete
001 Blackhawk	1460 Interior Rehab	001		5,000	8,116	8,116	8,116	Complete
001 Blackhawk	1460 Exterior Rehab	001		10,000	0	0	0	Complete
001 Blackhawk	1470 Non-Dwelling Structures	001		0	7,482	7,482	7,482	Complete
001 Blackhawk	1475 Computers/Office Equipment	001		0	18,082	18,082	18,082	Complete
003 Orton Keyes	1406 Mod for Operations	003		55,125	120,102	120,102	120,102	Complete
003 Orton Keyes	1408 Training/Staff Resident	003		3,930	3,418	3,418	3,418	Complete
003 Orton Keyes	1408 Computer Software	003		5,400	2,058	2,058	2,058	Complete
003 Orton Keyes	1408 UPCS Inspections	003		1,920	0	0	0	Complete
003 Orton Keyes	1408 Resident Screening/Orientation	003		875	0	0	0	Complete
003 Orton Keyes	1408 Maintenance Tools & Equipment	003		1,750	1,588	1,588	1,588	Complete
003 Orton Keyes	1408 Marketing-Vacancy/Reduction	003		875	0	0	0	Complete
003 Orton Keyes	1410 Management Fee	003		0	28,431	28,431	28,431	Complete
003 Orton Keyes	1430 A & E	003		0	3,264	3,264	3,264	Complete
003 Orton Keyes	1430 A & E Consulting	003		0	13,768	13,768	13,768	Complete
003 Orton Keyes	1430 Construction Supervisor/Inspections	003		0	0	0	0	Complete
003 Orton Keyes	1450 Site Improvements	003		10,000	7,075	7,075	7,075	Complete

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
003 Orton Keyes	1460 Interior Rehab	003		5,000	8,454	8,454	8,454	Complete
003 Orton Keyes	1470 Non-Dwelling Structures	003		0	12,204	12,204	12,204	Complete
003 Orton Keyes	1475 Computers/Office Equipment	003		0	10,240	10,240	10,240	Complete
004 Park Terrace	1406 Mod for Operations	004		56,700	33,690	33,690	33,690	Complete
004 Park Terrace	1408 Security	004		11,700	14,090	14,090	14,090	Complete
004 Park Terrace	1408 Service Coordinators / Elderly	004		10,425	11,039	11,039	11,039	Complete
004 Park Terrace	1408 Training/Staff Resident	004		4,040	1,299	1,299	1,299	Complete
004 Park Terrace	1408 Computer Software	004		5,400	2,152	2,152	2,152	Complete
004 Park Terrace	1408 Upgrade Maintenance Fleet	004		25,000	0	0	0	Complete
004 Park Terrace	1408 UPCS Inspections	004		1,975	0	0	0	Complete
004 Park Terrace	1408 Resident Screening/Orientation	004		900	0	0	0	Complete
004 Park Terrace	1408 Maintenance Tools & Equipment	004		1,800	1,249	1,249	1,249	Complete
004 Park Terrace	1408 Marketing-Vacancy/Reduction	004		900	0	0	0	Complete
004 Park Terrace	1410 Management Fee	004		0	29,730	29,730	29,730	Complete
004 Park Terrace	1430 A & E	004		0	3,413	3,413	3,413	Complete
004 Park Terrace	1430 A & E Consulting	004		0	14,380	14,380	14,380	Complete
004 Park Terrace	1430 Construction Supervisor/Inspector	004		0	0	0	0	Complete
004 Park Terrace	1450 Site Improvements	004		5,000	0	0	0	Complete
004 Park Terrace	1475 Computers/Office Equipment	004		0	10,347	10,347	10,347	Complete
006 North Main	1406 Mod for Operations	006		58,905	34,427	34,427	34,427	Complete
006 North Main	1408 Security	006		12,100	14,398	14,398	14,398	Complete
006 North Main	1408 Service Coordinators / Elderly	006		10,830	18,685	18,685	18,685	Complete
006 North Main	1408 Training/Staff Resident	006		4,200	3,476	3,476	3,476	Complete
006 North Main	1408 Computer Software	006		5,600	2,199	2,199	2,199	Complete
006 North Main	1408 UPCS Inspections	006		2,073	0	0	0	Complete
006 North Main	1408 Resident Screening/Orientation	006		935	0	0	0	Complete
006 North Main	1408 Maintenance Tools & Equipment	006		1,870	1,283	1,283	1,283	Complete
006 North Main	1408 Marketing-Vacancy/Reduction	006		935	0	0	0	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
006 North Main	1410 Management Fee	006		0	30,380	30,380	30,380	Complete
006 North Main	1430 A & E	006		15,000	28,688	28,688	28,688	Complete
006 North Main	1430 A & E Consulting	006		0	14,685	14,685	14,685	Complete
006 North Main	1430 Construction Supervisor/Inspections	006		0	0	0	0	Complete
006 North Main	1450 Site Improvements	006		5,000	0	0	0	Complete
006 North Main	1460 Interior Rehab-Elevator Upgrades	006		381,540	387,088	387,088	387,088	Complete
006 North Main	1460 Interior Rehab-Trash Compactor	006		12,000	8,375	8,375	8,375	Complete
006 North Main	1460 Interior Rehab-Make Up Air Handler	006		25,000	2,400	2,400	2,400	Complete
006 North Main	1460 Exterior Rehab	006		5,000	825	825	825	Complete
006 North Main	1475 Computers/Office Equipment	006		0	9,677	9,677	9,677	Complete
007 Fairgrounds	1406 Mod for Operations	007		66,150	126,545	126,545	126,545	Complete
007 Fairgrounds	1408 Training/Staff Resident	007		4,750	5,339	5,339	5,339	Complete
007 Fairgrounds	1408 Computer Software	007		6,300	2,470	2,470	2,470	Complete
007 Fairgrounds	1408 UPCS Inspections	007		2,305	0	0	0	Complete
007 Fairgrounds	1408 Resident Screening/Orientation	007		1,049	0	0	0	Complete
007 Fairgrounds	1408 Maintenance Tools & Equipment	007		2,098	1,786	1,786	1,786	Complete
007 Fairgrounds	1408 Marketing-Vacancy/Reduction	007		1,049	0	0	0	Complete
007 Fairgrounds	1410 Management Fees	007		0	34,117	34,117	34,117	Complete
007 Fairgrounds	1430 A & E	007		0	3,917	3,917	3,917	Complete
007 Fairgrounds	1430 A & E Consulting	007		0	16,443	16,443	16,443	Complete
007 Fairgrounds	1430 Construction Supervisor/Inspections	007		0	0	0	0	Complete
007 Fairgrounds	1450 Site Improvements	007		0	9,300	9,300	9,300	Complete
007 Fairgrounds	1460 Interior/Exterior Rehab	007		0	9,588	9,588	9,588	Complete
007 Fairgrounds	1475 Computers/Office Equipment	007		0	9,762	9,762	9,762	Complete
008 Scattered Sites	1406 Mod for Operations	008		40,005	23,013	23,013	23,013	Complete
008 Scattered Sites	1408 Training/Staff Resident	008		2,850	3,783	3,783	3,783	Complete
008 Scattered Sites	1408 Computer Software	008		3,600	1,470	1,470	1,470	Complete
008 Scattered Sites	1408 UPCS Inspections	008		1,346	0	0	0	Complete

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
008 Scattered Sites	1408 Resident Screening/Orientation	008		595	0	0	0	Complete
008 Scattered Sites	1408 Maintenance Tools & Equipment	008		1,260	1,293	1,293	1,293	Complete
008 Scattered Sites	1408 Marketing-Vacancy/Reduction	008		595	0	0	0	Complete
008 Scattered Sites	1410 Management Fee	008		0	20,308	20,308	20,308	Complete
008 Scattered Site	1430 A & E	008		0	2,331	2,331	2,331	Complete
008 Scattered Sites	1430 A & E Consulting	008		0	9,947	9,947	9,947	Complete
008 Scattered Sites	1430 Construction Supervisor/Inspections	008		0	0	0	0	Complete
008 Scattered Sites	1450 Site Improvements	008		5,000	0	0	0	Complete
008 Scattered Sites	1460 Interior Rehab	008		7,500	4,143	4,143	4,143	Complete
008 Scattered Sites	1460 Exterior Rehab	008		15,000	0	0	0	Complete
008 Scattered Sites	1475 Computers/Office Equipment	008		0	768	768	768	Complete
009 Olesen Plaza	1406 Mod for Operations	009		47,565	27,799	27,799	27,799	Complete
009 Olesen Plaza	1408 Security	009		9,800	11,626	11,626	11,626	Complete
009 Olesen Plaza	1408 Service Coordinators / Elderly	009		8,745	15,087	15,087	15,087	Complete
009 Olesen Plaza	1408 Training/Staff Resident	009		3,400	3,241	3,241	3,241	Complete
009 Olesen Plaza	1408 Computer Software	009		4,600	1,776	1,776	1,776	Complete
009 Olesen Plaza	1408 UPCS Inspections	009		1,675	0	0	0	Complete
009 Olesen Plaza	1408 Resident Screening/Orientation	009		755	0	0	0	Complete
009 Olesen Plaza	1408 Maintenance Tools & Equipment	009		1,510	964	964	964	Complete
009 Olesen Plaza	1408 Marketing-Vacancy/Reduction	009		755	0	0	0	Complete
009 Olesen Plaza	1410 Management Fee	009		0	24,531	24,531	24,531	Complete
009 Olesen Plaza	1430 A & E	009		20,000	2,816	2,816	2,816	Complete
009 Olesen Plaza	1430 A & E Consulting	009		0	11,934	11,934	11,934	Complete
009 Olesen Plaza	1430 Construction Supervisor/Inspections	009		0	0	0	0	Complete
009 Olesen Plaza	1450 Site Improvements	009		5,000	13,490	13,490	13,490	Complete
009 Olesen Plaza	1460 Interior Rehab	009		5,000	2,780	2,780	2,780	Complete
009 Olesen Plaza	1460 Interior Rehab-Kitchen Cabinets	009		5,000	0	0	0	Complete
009 Olesen Plaza	1460 Interior Rehab-Community Room	009		100,000	0	0	0	Complete
009 Olesen Plaza	1465 Appliances	009		2,500	0	0	0	Complete

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
009 Olesen Plaza	1475 Computers/Office Equipment	009		0	9,193	9,193	9,193	Complete
012 Scattered Sites	1406 Mod for Operations	012		3,150	1,841	1,841	1,841	Complete
012 Scattered Sites	1408 Training/Staff Resident	012		220	320	320	320	Complete
012 Scattered Sites	1408 Computer Software	012		250	118	118	118	Complete
012 Scattered Sites	1408 UPCS Inspections	012		110	0	0	0	Complete
012 Scattered Sites	1408 Resident Screening/Orientation	012		50	0	0	0	Complete
012 Scattered Sites	1408 Maintenance Tools & Equipment	012		90	104	104	104	Complete
012 Scattered Sites	1408 Marketing-Vacancy/Reduction	012		50	0	0	0	Complete
012 Scattered Sites	1410 Management Fee	012		0	1,625	1,625	1,625	Complete
012 Scattered Sites	1430 A & E	012		0	187	187	187	Complete
012 Scattered Sites	1430 A & E Consulting	012		0	1,159	1,159	1,159	Complete
012 Scattered Sites	1430 Construction Supervisor/Inspections	012		0	0	0	0	Complete
012 Scattered Sites	1460 Interior Rehab	012		4,000	338	338	338	Complete
012 Scattered Sites	1460 Exterior Rehab	012		5,000	0	0	0	Complete
012 Scattered Sites	1475 Computers/Office Equipment	012		0	768	768	768	Complete
013 Scattered Sites	1406 Mod for Operations	013		15,120	8,469	8,469	8,469	Complete
013 Scattered Sites	1408 Training/Staff Resident	013		1,075	1,338	1,338	1,338	Complete
013 Scattered Sites	1408 Computer Software	013		1,370	541	541	541	Complete
013 Scattered Sites	1408 UPCS Inspections	013		525	0	0	0	Complete
013 Scattered Sites	1408 Resident Screening/Orientation	013		240	0	0	0	Complete
013 Scattered Sites	1408 Maintenance Tools & Equipment	013		450	485	485	485	Complete
013 Scattered Sites	1408 Marketing-Vacancy/Reduction	013		240	0	0	0	Complete
013 Scattered Sites	1410 Management Fee	013		0	7,473	7,473	7,473	Complete
013 Scattered Sites	1430 A & E	013		10,000	858	858	858	Complete
013 Scattered Sites	1430 A & E Consulting	013		0	3,910	3,910	3,910	Complete
013 Scattered Sites	1430 Construction Supervisor/Inspections	013		0	0	0	0	Complete
013 Scattered Sites	1460 Interior Rehab	013		4,000	1,596	1,596	1,596	Complete
013 Scattered Sites	1460 Exterior Rehab-Window Replacement	013		20,000	8,000	8,000	8,000	Complete
013 Scattered Sites	1475 Computers/Office Equipment	013		0	768	768	768	Complete

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
014 Low Rises-Buckbee	1406 Mod for Operations	014		13,545	7,916	7,916	7,916	Complete
014 Low Rises-Buckbee	1408 Security	014		2,800	3,311	3,311	3,311	Complete
014 Low Rises-Buckbee	1408 Service Coordinators / Elderly	014		2,490	4,296	4,296	4,296	Complete
014 Low Rises-Buckbee	1408 Training/Staff Resident	014		965	971	971	971	Complete
014 Low Rises-Buckbee	1408 Computer Software	014		1,075	506	506	506	Complete
014 Low Rises-Buckbee	1408 UPCS Inspections	014		470	0	0	0	Complete
014 Low Rises-Buckbee	1408 Resident Screening/Orientation	014		215	0	0	0	Complete
014 Low Rises-Buckbee	1408 Maintenance Tools & Equipment	014		430	403	403	403	Complete
014 Low Rises-Buckbee	1408 Marketing-Vacancy/Reduction	014		215	0	0	0	Complete
014 Low Rises-Buckbee	1410 Management Fee	014		0	6,986	6,986	6,986	Complete
014 Low Rises-Buckbee	1430 A & E	014		0	802	802	802	Complete
014 Low Rises-Buckbee	1430 A & E Consulting	014		0	3,681	3,681	3,681	Complete
014 Low Rises-Buckbee	1430 Construction Supervisor/Inspections	014		0	0	0	0	Complete
014 Low Rises-Buckbee	1450 Site Improvement	014		5,000	0	0	0	Complete
014 Low Rises-Buckbee	1460 Interior Rehab	014		15,000	3,853	3,853	3,853	Complete
014 Low Rises-Buckbee	1460 Interior Rehab-PTAC Replacement	014		3,200	3,915	3,915	3,915	Complete
014 Low Rises-Buckbee	1460 Interior Rehab-Hallway Carpet	014		20,000	0	0	0	Complete
014 Low Rises-Buckbee	1475 Computers/Office Equipment	014		0	7,924	7,924	7,924	Complete
014 Low Rises-Buckbee	1475 Non-Dwelling Structures	014		0	950	950	950	Complete
014 Low Rises-Summit	1406 Mod for Operations	014		8,505	4,971	4,971	4,971	Complete
014 Low Rises-Summit	1408 Security	014		1,800	2,079	2,079	2,079	Complete
014 Low Rises-Summit	1408 Service Coordinators / Elderly	014		1,563	2,697	2,697	2,697	Complete
014 Low Rises-Summit	1408 Training/Staff Resident	014		605	971	971	971	Complete
014 Low Rises-Summit	1408 Computer Software	014		675	317	317	266	Complete
014 Low Rises-Summit	1408 UPCS Inspections	014		295	0	0	0	Complete
014 Low Rises-Summit	1408 Resident Screening/Orientation	014		135	0	0	0	Complete
014 Low Rises-Summit	1408 Maintenance Tools & Equipment	014		270	403	403	403	Complete
014 Low Rises-Summit	1408 Marketing – Vacancy/Reduction	014		135	0	0	0	Complete
014 Low Rises-Summit	1410 Management Fee	014		0	4,386	4,386	4,386	Complete

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
014 Low Rises-Summit	1430 A & E	014		0	504	504	504	Complete
014 Low Rises-Summit	1430 A & E Consulting	014		0	2,458	2,458	2,458	Complete
014 Low Rises-Summit	1430 Construction Supervisor/Inspections	014		0	0	0	0	Complete
014 Low Rises-Summit	1450 Site Improvements	014		5,000	0	0	0	Complete
014 Low Rises-Summit	1460 Interior Rehab	014		15,000	3,853	3,853	3,853	Complete
014 Low Rises-Summit	1460 Interior Rehab-PTAC Replacement	014		3,200	2,610	2,610	2,610	Complete
014 Low Rises-Summit	1460 Interior Rehab-Hallway Carpet	014		15,000	0	0	0	Complete
014 Low Rises-Summit	1475 Computers/Office Equipment	014		0	7,025	7,025	7,025	Complete
014 Low Rises-Midvale	1406 Mod for Operations	014		9,450	5,523	5,523	5,523	Complete
014 Low Rises-Midvale	1408 Security	014		1,800	2,310	2,310	2,310	Complete
014 Low Rises-Midvale	1408 Service Coordinators / Elderly	014		1,737	2,997	2,997	2,997	Complete
014 Low Rises-Midvale	1408 Training/Staff Resident	014		673	971	971	971	Complete
014 Low Rises-Midvale	1408 Computer Software	014		750	353	353	353	Complete
014 Low Rises-Midvale	1408 UPCS Inspections	014		320	0	0	0	Complete
014 Low Rises-Midvale	1408 Resident Screening/Orientation	014		150	0	0	0	Complete
014 Low Rises-Midvale	1408 Maintenance Tools & Equipment	014		300	403	403	403	Complete
014 Low Rises-Midvale	1408 Marketing – Vacancy/Reduction	014		150	0	0	0	Complete
014 Low Rises-Midvale	1410 Management Fee	014		0	4,874	4,874	4,874	Complete
014 Low Rises-Midvale	1430 A & E	014		0	560	560	560	Complete
014 Low Rises-Midvale	1430 A & E Consulting	014		0	2,687	2,687	2,687	Complete
014 Low Rises-Midvale	1430 Construction Supervisor/Inspections	014		0	0	0	0	Complete
014 Low Rises-Midvale	1450 Site Improvement	014		5,000	5,889	5,889	5,889	Complete
014 Low Rises-Midvale	1460 Interior Rehab	014		15,000	3,853	3,853	3,853	Complete
014 Low Rises-Midvale	1460 Interior Rehab-PTAC Replacement	014		3,200	2,610	2,610	2,610	Complete
014 Low Rises-Midvale	1460 Interior Rehab-Hallway Carpet	014		15,000	0	0	0	Complete
014 Low Rises-Midvale	1475 Computers/Office Equipment	014		0	7,497	7,497	7,497	Complete
016 Scattered Sites	1406 Mod for Operations	016		15,750	9,021	9,021	9,021	Complete
016 Scattered Sites	1408 Training/Staff Resident	016		1,122	1,239	1,239	1,239	Complete
016 Scattered Sites	1408 Computer Software	016		1,350	576	576	576	Complete

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² To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
016 Scattered Sites	1408 UPCS Inspections	016		550	0	0	0	Complete
016 Scattered Sites	1408 Resident Screening/Orientation	016		250	0	0	0	Complete
016 Scattered Sites	1408 Maintenance Tools & Equipment	016		480	514	514	514	Complete
016 Scattered Sites	1408 Marketing-Vacancy/Reduction	016		250	0	0	0	Complete
016 Scattered Sites	1410 Management Fee	016		0	7,961	7,961	7,961	Complete
016 Scattered Sites	1430 A & E	016		15,000	914	914	914	Complete
016 Scattered Sites	1430 A & E Consulting	016		0	4,139	4,139	4,139	Complete
016 Scattered Sites	1430 Construction Supervisor/Inspections	016		0	0	0	0	Complete
016 Scattered Sites	1450 Site Improvements	016		12,000	0	0	0	Complete
016 Scattered Sites	1460 Interior Rehab	016		10,000	2,090	2,090	2,090	Complete
016 Scattered Sites	1460 Exterior Rehab	016		70,921	0	0	0	Complete
016 Scattered Sites	1475 Computers/Office Equipment	016		0	768	768	768	Complete
017 Scattered Sites	1406 Mod for Operations	017		9,765	5,523	5,523	5,523	Complete
017 Scattered Sites	1408 Training/Staff Resident	017		695	919	919	919	Complete
017 Scattered Sites	1408 Computer Software	017		1,100	353	353	353	Complete
017 Scattered Sites	1408 UPCS Inspections	017		330	0	0	0	Complete
017 Scattered Sites	1408 Resident Screening/Orientation	017		155	0	0	0	Complete
017 Scattered Sites	1408 Maintenance Tools & Equipment	017		300	331	331	331	Complete
017 Scattered Sites	1408 Marketing-Vacancy/Reduction	017		155	0	0	0	Complete
017 Scattered Sites	1410 Management Fee	017		0	4,874	4,874	4,874	Complete
017 Scattered Sites	1430 A & E	017		0	559	559	559	Complete
017 Scattered Sites	1430 A & E Consulting	017		0	2,687	2,687	2,687	Complete
017 Scattered Sites	1430 Construction Supervisor/Inspections	017		0	0	0	0	Complete
017 Scattered Sites	1450 Site Improvements	017		5,000	0	0	0	Complete
017 Scattered Sites	1460 Interior Rehab	017		5,000	1,043	1,043	1,043	Complete
017 Scattered Sites	1460 Exterior Rehab	017		5,000	0	0	0	Complete
017 Scattered Sites	1475 Computers/Office Equipment	017		0	768	768	768	Complete
019 Scattered Sites	1406 Mod for Operations	019		6,300	3,682	3,682	3,682	Complete

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² To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
019 Scattered Sites	1408 Training/Staff Resident	019		380	524	524	524	Complete
019 Scattered Sites	1408 Computer Software	019		650	235	235	235	Complete
019 Scattered Sites	1408 UPCS Inspections	019		210	0	0	0	Complete
019 Scattered Sites	1408 Resident Screening/Orientation	019		100	0	0	0	Complete
019 Scattered Sites	1408 Maintenance Tools & Equipment	019		200	237	237	237	Complete
019 Scattered Sites	1408 Marketing-Vacancy/Reduction	019		100	0	0	0	Complete
019 Scattered Sites	1410 Management Fee	019		0	3,249	3,249	3,249	Complete
019 Scattered Sites	1430 A & E	019		0	373	373	373	Complete
019 Scattered Sites	1430 A & E Consulting	019		0	2,323	2,323	2,323	Complete
019 Scattered Sites	1430 Construction Supervisor/Inspections	019		0	0	0	0	Complete
019 Scattered Sites	1460 Interior Rehab	019		5,000	840	840	840	Complete
019 Scattered Sites	1460 Exterior Rehab	019		5,000	0	0	0	Complete
019 Scattered Sites	1475 Computers/Office Equipment	019		0	768	768	768	Complete
020 Scattered Sites	1406 Mod for Operations	020		6,300	3,682	3,682	3,682	Complete
020 Scattered Sites	1408 Training/Staff Resident	020		380	503	503	503	Complete
020 Scattered Sites	1408 Computer Software	020		650	235	235	235	Complete
020 Scattered Sites	1408 UPCS Inspections	020		210	0	0	0	Complete
020 Scattered Sites	1408 Resident Screening/Orientation	020		100	0	0	0	Complete
020 Scattered Sites	1408 Maintenance Tools & Equipment	020		200	237	237	237	Complete
020 Scattered Sites	1408 Marketing-Vacancy/Reduction	020		100	0	0	0	Complete
020 Scattered Sites	1410 Management Fee	020		0	3,249	3,249	3,249	Complete
020 Scattered Sites	1430 A & E	020		0	373	373	373	Complete
020 Scattered Sites	1430 A & E Consulting	020		0	1,812	1,812	1,812	Complete
020 Scattered Sites	1430 Construction Supervisor/Inspections	020		0	0	0	0	Complete
020 Scattered Sites	1460 Interior Rehab	020		5,000	840	840	840	Complete
020 Scattered Sites	1460 Exterior Rehab	020		5,000	0	0	0	Complete
020 Scattered Sites	1475 Computer Hardware	020		0	768	768	768	Complete
023 Scattered Sites	1406 Mod for Operations	023		315	184	184	184	Complete

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² To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
023 Scattered Sites	1408 Training/Staff Resident	023		25	24	24	24	Complete
023 Scattered Sites	1408 Computer Software	023		30	12	12	12	Complete
023 Scattered Sites	1408 UPCS Inspections	023		11	0	0	0	Complete
023 Scattered Sites	1408 Resident Screening/Orientation	023		5	0	0	0	Complete
023 Scattered Sites	1408 Maintenance Tools & Equipment	023		10	14	14	14	Complete
023 Scattered Sites	1408 Marketing-Vacancy/Reduction	023		5	0	0	0	Complete
023 Scattered Sites	1410 Management Fee	023		0	162	162	162	Complete
023 Scattered Sites	1430 A & E	023		0	19	19	19	Complete
023 Scattered Sites	1430 A & E Consulting	023		0	471	471	471	Complete
023 Scattered Sites	1430 Construction Supervisor/Inspections	023		0	0	0	0	Complete
023 Scattered Sites	1460 Interior Rehab	023		0	61	61	61	Complete
023 Scattered Sites	1475 Computers/Office Equipment	023		0	768	768	768	Complete
051 Jane Addams	1406 Mod for Operations	051		26,709	15,464	15,464	15,464	Complete
051 Jane Addams	1408 Training / Staff & Residents	051		0	1,951	1,951	1,951	Complete
051 Jane Addams	1408 UPCS Inspections	051		935	0	0	0	Complete
051 Jane Addams	1408 Maintenance Tools & Equipment	051		0	424	424	424	Complete
051 Jane Addams	1410 Management Fee	051		0	13,647	13,647	13,647	Complete
051 Jane Addams	1430 A & E	051		0	73,159	73,159	73,159	Complete
051 Jane Addams	1430 Developer Fee's	051		50,000	0	0	0	Complete
051 Jane Addams	1430 A & E Consulting	051		0	61,855	61,855	61,855	Complete
051 Jane Addams	1430 Construction Supervisor/Inspections	051		0	0	0	0	Complete
051 Jane Addams	1450 Site Improvements	051		0	2,260	2,260	2,260	Complete
051 Jane Addams	1460 Interior Rehab	051		0	2,578	2,578	2,578	Complete
051 Jane Addams	1475 Computers/Office Equipment	051		0	768	768	768	Complete
051 Jane Addams	1485 Demolition	051		150,000	30,363	30,363	30,363	Complete
051 Jane Addams	1495 Relocation	051		114,000	88,953	88,953	88,953	Complete
052 Brewington Oaks	1406 Mod for Operations	052		131,670	76,948	76,948	76,948	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
052 Brewington Oaks	1408 Security	052		120,000	32,186	32,186	32,186	Complete
052 Brewington Oaks	1408 Service Coordinators / Elderly	052		24,210	44,175	44,175	44,175	Complete
052 Brewington Oaks	1408 Training/Staff Resident	052		11,290	4,705	4,705	4,705	Complete
052 Brewington Oaks	1408 Computer Software	052		15,200	4,915	4,915	4,214	In Process
052 Brewington Oaks	1408 UPCS Inspections	052		4,590	0	0	0	Complete
052 Brewington Oaks	1408 Resident Screening/Orientation	052		2,511	0	0	0	Complete
052 Brewington Oaks	1408 Maintenance Tools & Equipment	052		5,022	2,471	2,471	2,471	Complete
052 Brewington Oaks	1408 Marketing-Vacancy/Reduction	052		2,511	0	0	0	Complete
052 Brewington Oaks	1410 Management Fee	052		0	67,907	67,907	67,907	Complete
052 Brewington Oaks	1430 A & E	052		20,640	32,933	32,933	32,933	Complete
052 Brewington Oaks	1430 Developer Fee's	052		25,000	0	0	0	Complete
052 Brewington Oaks	1430 A & E Consulting	052		0	32,333	32,333	32,333	Complete
052 Brewington Oaks	1430 Construction Supervisor/Inspections	052		0	0	0	0	Complete
052 Brewington Oaks	1450 Site Improvements	052		10,000	0	0	0	Complete
052 Brewington Oaks	1460 Interior Rehab – (A)	052		5,000	0	0	0	Complete
052 Brewington Oaks	1460 Interior Rehab-HVAC Upgrade (B)	052		15,100	0	0	0	Complete
052 Brewington Oaks	1460 Interior Rehab-Elevator Upgrades	052		416,190	432,114	432,114	432,114	Complete
052 Brewington Oaks	1460 Interior Rehab-Fire Code Renovations	052		25,000	0	0	0	Complete
052 Brewington Oaks	1460 Interior Rehab- Public Restrooms	052		40,000	0	0	0	Complete
052 Brewington Oaks	1460 Int Rehab/Remove Em. Call System	052		0	8,400	8,400	8,400	Complete
052 Brewington Oaks	1475 Computers/Office Equipment	052		0	18,471	18,471	18,471	Complete
PHA Wide	1408 Safety Supplies	000		5,000	3,120	3,120	3,120	Complete
PHA Wide	1408 Computer Software Upgrades	000		0	28,005	28,005	28,005	Complete
PHA Wide	1410 Non-Technical Salaries	000		98,000	0	0	0	Complete
PHA Wide	1410 Travel/Training	000		9,000	0	0	0	Complete
PHA Wide	1410 Telephone Expense	000		1,200	0	0	0	Complete
PHA Wide	1410 Sundry	000		7,500	0	0	0	Complete
PHA Wide	1410 Employee Benefit Package	000		42,492	0	0	0	Complete
PHA Wide	1411 Auditing	000		0	4,063	4,063	4,063	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Rockford Housing Authority					Federal FFY of Grant: 2006
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL22-001 Blackhawk	07/17/2008	07/17/2010			
IL22-003 Orton Keyes	07/17/2008	07/17/2010			
IL22-004 Park Terrace	07/17/2008	07/17/2010			
IL22-006 N. Main Manor	07/17/2008	07/17/2010			
IL22-007 Fairgrounds	07/17/2008	07/17/2010			
IL22-008 Scattered Sites	07/17/2008	07/17/2010			
IL22-009 Olesen Plaza	07/17/2008	07/17/2010			
IL22-012 Scattered Sites	07/17/2008	07/17/2010			
IL22-013 Scattered Sites	07/17/2008	07/17/2010			
IL22-014 Low Rises	07/17/2008	07/17/2010			
IL22-016 Scattered Sites	07/17/2008	07/17/2010			
IL22-017 Scattered Sites	07/17/2008	07/17/2010			
IL22-019 Scattered Sites	07/17/2008	07/17/2010			
IL22-020 Scattered Sites	07/17/2008	07/17/2010			
IL22-021 Scattered Sties	07/17/2008	07/17/2010			
IL22-051 Jane Addams	07/17/2008	07/17/2010			
IL22-052 Brewington Oaks	07/17/2008	07/17/2010			
PHA Wide	07/17/2008	07/17/2010			

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary					
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250105 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2005 FFY of Grant Approval: 2005
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/09 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	330,534	661,068	661,068	661,068
3	1408 Management Improvements	661,060	443,370	443,370	443,370
4	1410 Administration (may not exceed 10% of line 21)	330,534	330,534	330,534	330,534
5	1411 Audit	0	6,563	6,563	6,563
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	281,579	203,809	203,809	199,180
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	143,000	148,039	148,039	148,039
10	1460 Dwelling Structures	1,104,209	1,155,067	1,155,067	1,155,067
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0
12	1470 Non-dwelling Structures	113,791	257,964	257,964	132,213
13	1475 Non-dwelling Equipment	40,000	95,366	95,366	95,366
14	1485 Demolition	200,000	0	0	0
15	1492 Moving to Work Demonstration	0	0	0	0
16	1495.1 Relocation Costs	54,400	3,566	3,566	3,566
17	1499 Development Activities ⁴	0	0	0	0
18a	1501 Collateralization or Debt Service paid by the PHA	0	0	0	0
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0	0	0
19	1502 Contingency (may not exceed 8% of line 20)	46,240	0	0	0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	3,305,347	3,305,347	3,305,347	3,174,966
21	Amount of line 20 Related to LBP Activities	53,000	60,000		
22	Amount of line 20 Related to Section 504 Activities	33,000	19,513		
23	Amount of line 20 Related to Security - Soft Costs	240,000	211,985		
24	Amount of line 20 Related to Security - Hard Costs	0	70,533		
25	Amount of line 20 Related to Energy Conservation Measures	52,500	28,638		
Signature of Executive Director John W. Cressman			Signature of Public Housing Director		Date
Date					

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250105 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2005			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
001 Blackhawk	1430 Lead Testing A & E	001		40,000	9,709	9,709	9,709	Complete
001 Blackhawk	1450 Site Improvements	001		0	5,184	5,184	5,184	Complete
001 Blackhawk	1450 Camera's & Security Lights	001		25,000	188	188	188	Complete
001 Blackhawk	1450 ADA Compliance / Parking Lots	001		0	0	0	0	Complete
001 Blackhawk	1460 Interior Rehab	001		10,000	0	0	0	Complete
001 Blackhawk	1460 Exterior Rehab	001		20,000	3,775	3,775	3,775	Complete
001 Blackhawk	1460 LBP Abatement	001		5,000	0	0	0	Complete
001 Blackhawk	1460 ADA Compliance	001		5,000	0	0	0	Complete
003 Orton Keyes	1430 Hazardous A & E	003		3,000	0	0	0	Complete
003 Orton Keyes	1430 Lead Testing A & E	003		0	1,449	1,449	1,449	Complete
003 Orton Keyes	1450 Site Improvements	003		0	3,656	3,656	3,656	Complete
003 Orton Keyes	1450 Camera's & Security Lights	003		25,000	72,700	72,700	72,700	Complete
003 Orton Keyes	1450 Interior Rehab	003		3,000	0	0	0	Complete
003 Orton Keyes	1460 Interior Rehab	003		0	0	0	0	Complete
003 Orton Keyes	1460 ADA Compliance / Apartments	003		2,000	0	0	0	Complete
003 Orton Keyes	1470 Community Room Painting	003		0	5,026	5,026	5,026	Complete
003 Orton Keyes	1470 ADA Compliance / Office	003		10,000	0	0	0	Complete
004 Park Terrace	1450 ADA Compliance / Parking Lots	004		0	0	0	0	Complete
004 Park Terrace	1460 Elevator Upgrades	004		408,600	409,244	409,244	409,244	Complete
004 Park Terrace	1460 Fire Code Renovations	004		20,000	7,301	7,301	7,301	Complete
004 Park Terrace	1460 ADA Compliance / Apartments	004		3,000	0	0	0	Complete
006 North Main	1430 Hazard A & E	006		2,000	0	0	0	Complete
006 North Main	1450 ADA Compliance / Parking Lots	006		2,000	0	0	0	Complete
006 North Main	1450 Site Improvements	006		15,000	16,165	16,165	16,165	Complete
006 North Main	1460 Interior Rehab	006		10,000	170,548	170,548	170,548	Complete
006 North Main	1460 Fire Code Renovations	006		20,000	2,667	2,667	2,667	Complete
006 North Main	1495 Relocation	006		0	3,566	3,566	3,566	Complete
007 Fairgrounds	1430 Lead Testing A & E	007		3,000	0	0	0	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250105 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2005			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
007 Fairgrounds	1450 Site Improvements	007		20,000	14,736	14,736	14,736	Complete
007 Fairgrounds	1450 Camera's & Security Lights	007		25,000	2,462	2,462	2,462	Complete
007 Fairgrounds	1450 ADA Compliances / Parking Lots	007		3,000	0	0	0	Complete
007 Fairgrounds	1460 Interior Rehab	007		0	27,228	27,228	27,228	Complete
007 Fairgrounds	1460 Exterior Rehab	007		0	685	685	685	Complete
007 Fairgrounds	1460 LBP Abatement	007		5,000	0	0	0	Complete
007 Fairgrounds	1470 Non-Dwelling Structures	007		0	1,487	1,487	1,487	Complete
008 Scattered Sites	1430 Hazard A & E	008		2,000	0	0	0	Complete
008 Scattered Sites	1430 Lead Testing A & E	008		0	7,172	7,172	7,172	Complete
008 Scattered Sites	1450 Site Improvements	008		10,000	25,868	25,868	25,868	Complete
008 Scattered Sites	1460 Interior Rehab	008		18,919	0	0	0	Complete
008 Scattered Sites	1460 Exterior Rehab	008		25,000	24,740	24,740	24,740	Complete
009 Olesen Plaza	1450 ADA Compliance / Parking Lots	009		3,000	0	0	0	Complete
009 Olesen Plaza	1460 Interior Rehab	009		10,000	3,786	3,786	3,786	Complete
012 Scattered Sites	1430 Lead Testing A & E	012		2,000	4,049	4,049	4,049	Complete
012 Scattered Sites	1460 Interior Rehab	012		5,000	0	0	0	Complete
012 Scattered Sites	1460 Exterior Rehab	012		5,000	0	0	0	Complete
013 Scattered Sites	1430 Hazard A & E	013		5,000	0	0	0	Complete
013 Scattered Sites	1450 Site Improvements	013		5,000	0	0	0	Complete
013 Scattered Sites	1460 Interior Rehab	013		5,000	0	0	0	Complete
013 Scattered Sites	1460 Exterior Rehab	013		0	6,300	6,300	6,300	Complete
014 Low Rises	1430 Hazard A & E - Midvale	014		2,000	0	0	0	Complete
014 Low Rises	1450 Site Improvements	014		0	2,436	2,436	2,436	Complete
014 Low Rises	1460 Interior Rehab	014		0	45,174	45,174	45,174	Complete
014 Low Rises	1460 Interior Rehab PTAC Buckbee	014		7,500	3,805	3,805	3,805	Complete
014 Low Rises	1460 Interior Rehab PTAC Midvale	014		7,500	2,537	2,537	2,537	Complete
014 Low Rises	1460 Interior Rehab PTAC Summit	014		7,500	2,537	2,537	2,537	Complete
014 Low Rises	1475 Non-Dwelling Equipment	014		0	2,200	2,200	2,200	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250105 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2005			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
014 Low Rises	1495 Relocation	014		2,000	0	0	0	Complete
016 Scattered Sites	1430 Hazard A & E	016		2,000	0	0	0	Complete
016 Scattered Sites	1450 Site Improvements	016		0	2,715	2,715	2,715	Complete
016 Scattered Sites	1460 Interior Rehab	016		5,000	0	0	0	Complete
016 Scattered Sites	1460 Exterior Rehab	016		40,000	0	0	0	Complete
017 Scattered Sites	1430 Hazard A & E	017		4,000	0	0	0	Complete
017 Scattered Sites	1430 Lead Testing A & E	017		0	12,081	12,081	12,081	Complete
017 Scattered Sites	1460 Interior Rehab	017		10,000	3,270	3,270	3,270	Complete
017 Scattered Sites	1460 Exterior Rehab	017		10,000	0	0	0	Complete
019 Scattered Sites	1430 Hazard A & E	019		4,000	0	0	0	Complete
019 Scattered Sites	1430 Lead Testing A & E	019		0	7,874	7,874	7,874	Complete
019 Scattered Sites	1460 Interior Rehab	019		5,000	0	0	0	Complete
019 Scattered Sites	1460 Exterior Rehab	019		5,000	0	0	0	Complete
020 Scattered Sites	1430 Hazard A & E	020		2,000	0	0	0	Complete
020 Scattered Sites	1430 Lead Testing A & E	020		0	5,579	5,579	5,579	Complete
020 Scattered Sites	1460 Interior Rehab	020		5,000	0	0	0	Complete
020 Scattered Sites	1460 Exterior Rehab	020		5,000	0	0	0	Complete
051 Jane Addams	1430 A & E	051		0	1,500	1,500	1,500	Complete
051 Jane Addams	1430 Hazard A & E	051		3,579	0	0	0	Complete
051 Jane Addams	1430 Lead Testing A & E	051		0	7,338	7,338	7,338	Complete
051 Jane Addams	1450 Cameras & Security Lights	051		5,000	1,930	1,930	1,930	Complete
051 Jane Addams	1485 Demolition	051		200,000	0	0	0	Complete
051 Jane Addams	1495 Relocation	051		50,400	0	0	0	Complete
052 Brewington Oaks	1430 Hazard A & E	052		5,000	0	0	0	Complete
052 Brewington Oaks	1450 ADA Compliance / Parking Lots	052		5,000	0	0	0	Complete
052 Brewington Oaks	1460 Interior Rehab	052		0	14,544	14,544	14,544	Complete
052 Brewington Oaks	1460 Elevator Upgrades	052		416,190	419,818	419,818	419,818	Complete
052 Brewington Oaks	1460 Fire Code Renovations	052		0	7,111	7,111	7,111	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250105 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2005			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
052 Brewington Oaks	1495 Relocation	052		2,000	0	0	0	Complete
PHA Wide	1406 Capital for Operations	All		330,534	661,068	661,068	661,068	Complete
PHA Wide	1408 Service Coordinators – High rises	All		60,000	59,875	59,875	59,875	Complete
PHA Wide	1408 Training – Staff/Residents	All		97,000	97,000	97,000	97,000	Complete
PHA Wide	1408 Computer Software/Office Equipment	All		115,000	15,715	15,715	15,715	Complete
PHA Wide	1408 Security	All		160,000	211,985	211,985	211,985	Complete
PHA Wide	1408 Upgrade Maintenance/Admin Fleet	All		50,000	0	0	0	Complete
PHA Wide	1408 UPCS Inspections	All		22,000	19,910	19,910	19,910	Complete
PHA Wide	1408 Resident Screening/Orientation	All		10,000	2,324	2,324	2,324	Complete
PHA Wide	1408 Maintenance Tools & Equipment	All		35,640	1,431	1,431	1,431	Complete
PHA Wide	1408 Marketing – Vacancy/Reduction	All		10,000	3,670	3,670	3,670	Complete
PHA Wide	1408 Resident/Section 3 Initiatives	All		25,000	26,460	26,460	26,460	Complete
PHA Wide	1408 Safety Supplies	All		5,000	5,000	5,000	5,000	Complete
PHA Wide	1408 Inventory Upgrade/Security System	All		56,420	0	0	0	Complete
PHA Wide	1408 Safety/Loss Prevention	All		15,000	0	0	0	Complete
PHA Wide	1408 Automated Time & Attendance	All		0	0	0	0	Complete
PHA Wide	1410 Non Technical Salaries	All		325,534	323,715	323,715	323,715	Complete
PHA Wide	1410 Sundry	All		5,000	6,819	6,819	6,819	Complete
PHA Wide	1411 Auditing	All		0	6,563	6,563	6,563	Complete
PHA Wide	1430 A&E Costs	All		72,000	48,661	48,661	44,032	In Process
PHA Wide	1430 A&E Costs – Energy Audit	All		30,000	0	0	0	Complete
PHA Wide	1430 A&E Costs – Consulting	All		100,000	98,398	98,398	98,398	Complete
PHA Wide	1470 Main Office Elevator	All		103,791	21,256	21,256	21,256	Complete
PHA Wide	1470 Main Office Int/Ext. Upgrades	All		0	230,195	230,195	104,444	In Process
PHA Wide	1475 Computer Hardware	All		40,000	93,166	93,166	93,166	Complete
PHA Wide	1502 Contingency	All		46,240	0	0	0	Complete
				3,305,347	3,305,347	3,305,347	3,174,966	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Rockford Housing Authority				Federal FFY of Grant: 2005	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL22-001 Blackhawk	08/17/2007	08/17/2009			
IL22-003 Orton Keyes	08/17/2007	08/17/2009			
IL22-004 Park Terrace	08/17/2007	08/17/2009			
IL22-006 N. Main Manor	08/17/2007	08/17/2009			
IL22-007 Fairgrounds	08/17/2007	08/17/2009			
IL22-008 Scattered Sites	08/17/2007	08/17/2009			
IL22-009 Olesen Plaza	08/17/2007	08/17/2009			
IL22-012 Scattered Sites	08/17/2007	08/17/2009			
IL22-013 Scattered Sites	08/17/2007	08/17/2009			
IL22-014 Low Rises	08/17/2007	08/17/2009			
IL22-016 Scattered Sites	08/17/2007	08/17/2009			
IL22-017 Scattered Sites	08/17/2007	08/17/2009			
IL22-019 Scattered Sites	08/17/2007	08/17/2009			
IL22-020 Scattered Sites	08/17/2007	08/17/2009			
IL22-021 Scattered Sties	08/17/2007	08/17/2009			
IL22-051 Jane Addams	08/17/2007	08/17/2009			
IL22-052 Brewington Oaks	08/17/2007	08/17/2009			
Mgmt Improvements	08/17/2007	08/17/2009			
PHA Wide	08/17/2007	08/17/2009			

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Exhibit 8.2 Capital Fund Program Five-Year Action Plan

Capital Fund Program Five Year Action Plan

PART I: SUMMARY						
PHA Name/Number		Locality (City/County & State)			<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY 2009	Work Statement for Year 2 FFY 2010	Work Statement for Year 3 FFY 2011	Work Statement for Year 4 FFY 2012	Work Statement for Year 5 FFY 2013
	PHA Wide	Annual Statement				
	IL22-001 Blackhawk		45,000	25,000	20,000	20,000
	IL22-003 Orton Keys		47,500	20,000	394,124	470,303
	IL22-004 Park Terrace		617,500	832,000	659,100	699,104
	IL22-006 N. Main Manor		270,000	5,000	187,379	248,500
	IL22-007 Fairgrounds		45,000	30,000	30,000	30,000
	IL22-009 Olesen Plaza		310,000	135,250	174,200	66,000
	IL22-014 Low Rises		65,303	162,750	75,000	0
	IL22-020 SS – West		157,000	181,400	127,500	77,500
	IL22-021 SS - East		132,500	327,500	157,500	253,000
	IL22-051 Jane Addams					
	IL22-052 Brewington Oaks		27,500	2,500	17,500	17,500
B.	Physical Improvements Subtotal		1,817,303	1,721,400	1,842,303	1,881,907
C.	Management Improvements		230,131	210,131	230,131	210,131
D.	PHA-Wide Non-dwelling Structures and Equipment		125,000	60,000	0	0
E.	Administration		323,715	323,715	323,715	323,715
F.	Other		193,577	274,480	193,577	193,973
G.	Operations		323,715	323,715	323,715	323,715
H.	Demolition					
I.	Development		323,715	323,715	323,715	323,715
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grand Total		3,337,156	3,237,156	3,237,156	3,237,156

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2009	Work Statement for Year 2 FFY 2010			Work Statement for Year: 3 FFY 2011		
	Development Number/Name General Description of Major Work Categories	Work Item	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
SEE		Lead Paint Assessment	\$5,000		Energy Audit	\$5,000
ANNUAL	IL22-001 Blackhawk	Asbestos Survey	\$20,000	IL22-001 Blackhawk	Sidewalk Repair	\$10,000
Statement	IL22-001 Blackhawk	Sidewalk Repair	\$10,000	IL22-001 Blackhawk	Landscape Repair	\$10,000
	IL22-001 Blackhawk	Landscape Repair	\$10,000	IL22-003 Orton Keys	A&E Fees	\$10,000
	IL22-003 Orton Keys	CFP Inspections	\$7,500	IL22-003 Orton Keys	CFP Inspections	\$5,000
	IL22-003 Orton Keys	Asbestos Survey	\$20,000	IL22-003 Orton Keys	Energy Audit	\$5,000
	IL22-003 Orton Keys	Sidewalk Repair	\$20,000	IL22-003 Orton Keys	Appliances	\$25,903
	IL22-004 Park Terrace	A&E Fees	\$25,000	IL22-004 Park Terrace	A&E Fees	\$15,000
	IL22-004 Park Terrace	CFP Inspections	\$7,500	IL22-004 Park Terrace	CFP Inspections	\$7,500
	IL22-004 Park Terrace	Asbestos Survey	\$15,000	IL22-004 Park Terrace	Energy Audit	\$5,000
	IL22-004 Park Terrace	HVAC Sys Balancing	\$50,000	IL22-004 Park Terrace	Riser Replacement	\$600,000
	IL22-004 Park Terrace	Riser Replacement	\$250,000	IL22-004 Park Terrace	Paint Hallway/Stairs	\$32,500
	IL22-004 Park Terrace	Upgrade Apt Electric	\$150,000	IL22-004 Park Terrace	Hall Flooring	\$50,000
	IL22-004 Park Terrace	Roof Replacement	\$120,000	IL22-004 Park Terrace	Hall Lighting	\$50,000
	IL22-006 North Main	CFP Inspections	\$5,000	IL22-004 Park Terrace	Apt Flooring	\$62,000
	IL22-006 North Main	Asbestos Survey	\$15,000	IL22-004 Park Terrace	Stairwell Lighting	\$10,000
	IL22-006 North Main	Entrance Access System	\$250,000	IL22-004 Park Terrace	Appliances	\$40,000
	IL22-007 Fairgrounds	Asbestos Survey	\$15,000	IL22-004 Park Terrace	Relocation	\$15,000
	IL22-007 Fairgrounds	Sidewalk Repair	\$10,000	IL22-006 North Main	Energy Audit	\$5,000
	IL22-007 Fairgrounds	Roof Replacement	\$10,000	IL22-006 North Main	Appliances	\$17,500
	IL22-007 Fairgrounds	Gutters & Downspouts	\$10,000	IL22-007 Fairgrounds	Sidewalk Repair	\$10,000
	IL22-007 Fairgrounds	Demolition	\$100,000	IL22-007 Fairgrounds	Roof Replacement	\$10,000
	IL22-009 Olesen Plaza	A&E Fees	\$25,000	IL22-007 Fairgrounds	Gutters & Downspouts	\$10,000
	IL22-009 Olesen Plaza	CFP Inspections	\$5,000	IL22-009 Olesen Plaza	A&E Fees	\$10,000
	IL22-009 Olesen Plaza	Asbestos Survey	\$20,000	IL22-009 Olesen Plaza	CFP Inspections	\$5,000
	IL22-009 Olesen Plaza	Kitchen Prototype	20,000	IL22-009 Olesen Plaza	Energy Audit	\$5,000
	IL22-009 Olesen Plaza	Closet Door Replace.	\$25,000	IL22-009 Olesen Plaza	Danfoss Controls/Apts	\$115,250
	IL22-009 Olesen Plaza	Entrance Assess System	\$215,000	IL22-009 Olesen Plaza	Appliances	\$17,500
	IL22-014 Low Rises	Asbestos Survey	\$10,000	IL22-014 Low Rises	Energy Audit	\$5,000
	Subtotal of Estimated Cost		\$1,445,000	Subtotal of Estimated Cost		\$1,168,153

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2009	Work Statement for Year 2 FFY 2010			Work Statement for Year: 3 FFY 2011		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
SEE	IL22-014 Low Rises	Closet Door Replace.	\$55,303	IL22-014 Low Rises	Closet Door Replace.	\$75,000
ANNUAL	IL22-020 SS West	CFP Inspections	\$7,500	IL22-014 Low Rises	Vinyl Wall Covering-ADA	\$75,000
Statement	IL22-020 SS West	Lead Paint Assessment	\$10,000	IL22-014 Low Rises	PTAC Replacement	\$7,750
	IL22-020 SS West	Asbestos Survey	\$15,000	IL22-020 SS West	CFP Inspections	\$5,000
	IL22-020 SS West	Landscape Improvement	\$10,000	IL22-020 SS West	Energy Audit	\$5,000
	IL22-020 SS West	Seal Driveways	\$15,000	IL22-020 SS West	Walk/Driveway Repair	\$20,000
	IL22-020 SS West	Walks/Driveway Repair	\$20,000	IL22-020 SS West	017 Window Replacement	\$5,000
	IL22-020 SS West	Furnace Replacement	\$10,000	IL22-020 SS West	019 Window Replacement	\$5,000
	IL22-020 SS West	Sealcoat Basements	\$20,000	IL22-020 SS West	020 Window Replacement	\$5,000
	IL22-020 SS West	017 Window Replace.	\$5,000	IL22-020 SS West	Aluminum Storm Doors	\$26,400
	IL22-020 SS West	019 Window Replace.	\$5,000	IL22-020 SS West	Kitchen Cabinets	\$10,000
	IL22-020 SS West	020 Window Replace.	\$5,000	IL22-020 SS West	Galvanized Waterline Replac	\$100,000
	IL22-020 SS West	Chisolm/Siding/Facia/Soffit	\$15,000	IL22-021 SS East	A&E Fees	\$7,500
	IL22-020 SS West	Chisolm/Windows	\$6,000	IL22-021 SS East	CFP Inspections	\$5,000
	IL22-020 SS West	Chisolm/Wood Decking	\$5,000	IL22-021 SS East	Energy Audit	\$5,000
	IL22-020 SS West	Chisolm/Stoop-Sidewalk	\$8,500	IL22-021 SS East	Galvanized Waterline Replac	\$225,000
	IL22-020 SS West	Appliances	\$25,000	IL22-021 SS East	Bathtub Surrounds/Faucet	\$25,000
	IL22-021 SS East	CFP Inspections	\$7,500	IL22-021 SS East	Aluminum Storm Doors	\$60,000
	IL22-021 SS East	Lead Paint Abatement	\$10,000	IL22-021 SS East	Appliances	\$25,000
	IL22-021 SS East	Asbestos Survey	\$15,000	IL22-052 Brewington	CFP Inspections	\$2,500
	IL22-021 SS East	Seal Blacktop Drives	\$15,000	All	Contingency	\$193,577
	IL22-021 SS East	Landscape Improvements	\$15,000	All	Pre-Development Costs	\$ 323,715
	IL22-021 SS East	Lead Paint Abatement	\$5,000			
	IL22-021 SS East	Sealcoat Basements	\$20,000			
	IL22-021 SS East	08 Roof Replacement	\$10,000			
	IL22-021 SS East	017 Roof Replacement	\$5,000			
	IL22-021 SS East	017 Window Replacement	\$5,000			
	IL22-021 SS East	019 Window Replacement	\$5,000			
	IL22-021 SS East	019 Roof Replacement	\$5,000			
	IL22-021 SS East	020 Window Replacement	\$5,000			
	IL22-021 SS East	020 Roof Replacement	\$5,000			
	Subtotal of Estimated Cost		\$364,803	Subtotal of Estimated Cost		\$1,211,442

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2009	Work Statement for Year 4 FFY 2012			Work Statement for Year: 5 FFY 2013		
	Development Number/Name General Description of Major Work Categories	Work Item	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
SEE	IL22-001 Blackhawk	Sidewalk Repair	\$10,000	IL22-001 Blackhawk	Sidewalk Repair	\$10,000
ANNUAL	IL22-001 Blackhawk	Landscape Repair	\$10,000	IL22-001 Blackhawk	Landscape Repair	\$10,000
Statement	IL22-003 Orton Keys	A&E Fees	\$10,000	IL22-003 Orton Keys	CFP Inspections	\$5,303
	IL22-003 Orton Keys	CFP Inspections	\$5,000	IL22-003 Orton Keys	Electrical Upgrade/Apts	\$100,000
	IL22-003 Orton Keys	Electrical Upgrade/Apts	\$100,000	IL22-003 Orton Keys	Window Replacement	\$125,000
	IL22-003 Orton Keys	Window Replacement	\$121,000	IL22-003 Orton Keys	Siding	\$240,000
	IL22-003 Orton Keys	Siding	\$154,124	IL22-004 Park Terrace	A&E Fees	\$15,000
	IL22-003 Orton Keys	Furnace Replacement	\$4,000	IL22-004 Park Terrace	CFP Inspections	\$5,000
	IL22-004 Park Terrace	A&E Fees	\$15,000	IL22-004 Park Terrace	Riser Replacement	\$550,000
	IL22-004 Park Terrace	CFP Inspections	\$7,500	IL22-004 Park Terrace	Sprinklers	\$129,104
	IL22-004 Park Terrace	Riser Replacement	\$600,000	IL22-006 North Main	CFP Inspections	\$6,000
	IL22-004 Park Terrace	GFCI Replacement	\$36,600	IL22-006 North Main	Danfoss Apt Controls	\$100,000
	IL22-006 North Main	A&E Fees	\$6,479	IL22-006 North Main	Paint Halls/Stairs	\$32,500
	IL22-006 North Main	CFP Inspections	\$5,000	IL22-006 North Main	Hallway Flooring	\$50,000
	IL22-006 North Main	GFCI Replacement	\$37,400	IL22-006 North Main	Hallway Lighting	\$50,000
	IL22-006 North Main	Sprinklers	\$138,500	IL22-006 North Main	Stairwell Lighting	\$10,000
	IL22-007 Fairgrounds	Sidewalk Repair	\$10,000	IL22-007 Fairgrounds	Sidewalk Repair	\$10,000
	IL22-007 Fairgrounds	Roof Replacement	\$10,000	IL22-007 Fairgrounds	Roof Replacement	\$10,000
	IL22-007 Fairgrounds	Gutters/Downspouts	\$10,000	IL22-007 Fairgrounds	Gutters & Downspouts	\$10,000
	IL22-009 Olesen Plaza	A&E Fees	\$19,000	IL22-009 Olesen Plaza	CFP Inspections	\$6,000
	IL22-009 Olesen Plaza	CFP Inspections	\$5,000	IL22-009 Olesen Plaza	Hallway Lighting	\$50,000
	IL22-009 Olesen Plaza	GFCI Replacement	\$30,200	IL22-009 Olesen Plaza	Stairwell Lighting	\$10,000
	IL22-009 Olesen Plaza	Sprinklers	\$120,000	IL22-020 SS West	CFP Inspections	\$7,500
	IL22-014 Low Rises	Closet Door Replace.	\$75,000	IL22-020 SS West	Walk/Driveway Repairs	\$20,000
	IL22-020 SS West	CFP Inspections	\$7,500	IL22-020 SS West	Kitchen Cabinets	\$50,000
	IL22-020 SS West	Landscape Improvements	\$10,000	IL22-021 SS East	CFP Inspections	\$5,000
	IL22-020 SS West	Walks/Driveway Repair	\$20,000	IL22-021 SS East	Seal Blacktop Drives	\$15,000
	IL22-020 SS West	08 Roof Replacement	\$10,000	IL22-021 SS East	Sealcoat Basements	\$20,000
	IL22-020 SS West	Furnace Replacement	\$10,000	IL22-021 SS East	Kitchen Cabinets	\$178,000
	Subtotal of Estimated Cost		\$1,597,303	Subtotal of Estimated Cost		\$1,829,407

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2009	Work Statement for Year 2 FFY 2010		Work Statement for Year: 3 FFY 2011	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
SEE	IL22-001 Blackhawk Training/Staff & Resident	2,555	IL22-001 Blackhawk Training/Staff & Resident	2,555
ANNUAL	IL22-001 Blackhawk Computer Soft/Hardware	5,825	IL22-001 Blackhawk Computer Soft/Hardware	5,825
Statement	IL22-001 Blackhawk Safety Program	536	IL22-001 Blackhawk Safety Program	536
	IL22-001 Blackhawk Wellness Program	1,022	IL22-001 Blackhawk Wellness Program	1,022
	IL22-001 Blackhawk Resident Screening/Orientation	530	IL22-001 Blackhawk Resident Screening/Orientation	530
	IL22-001 Blackhawk Maintenance Tools/Eq	1,957	IL22-001 Blackhawk Maintenance Tools/Eq	1,957
	IL22-001 Blackhawk Marketing Plan	6,642	IL22-001 Blackhawk Marketing Plan	6,642
	IL22-003 Orton Keyes Training/Staff & Resident	2,281	IL22-003 Orton Keyes Training/Staff & Resident	2,281
	IL22-003 Orton Keyes Computer Soft/Hardware	5,200	IL22-003 Orton Keyes Computer Soft/Hardware	5,200
	IL22-003 Orton Keyes Safety Program	479	IL22-003 Orton Keyes Safety Program	479
	IL22-003 Orton Keyes Wellness Program	912	IL22-003 Orton Keyes Wellness Program	912
	IL22-003 Orton Keyes Resident Screening/Orientation	470	IL22-003 Orton Keyes Resident Screening/Orientation	470
	IL22-003 Orton Keyes Maintenance Tools/Eq.	1,747	IL22-003 Orton Keyes Maintenance Tools/Eq.	1,747
	IL22-003 Orton Keyes Marketing Plan	5,931	IL22-003 Orton Keyes Marketing Plan	5,931
	IL22-003 Orton Keyes Upgrade Maintenance Fleet	20,000	IL22-004 Park Terrace Training/Staff & Resident	2,385
	IL22-004 Park Terrace Training/Staff & Resident	2,385	IL22-004 Park Terrace Computer Soft/Hardware	5,438
	IL22-004 Park Terrace Computer Soft/Hardware	5,438	IL22-004 Park Terrace Safety Program	501
	IL22-004 Park Terrace Safety Program	501	IL22-004 Park Terrace Wellness Program	954
	IL22-004 Park Terrace Wellness Program	954	IL22-004 Park Terrace Resident Screening/Orientation	818
	IL22-004 Park Terrace Resident Screening/Orientation	818	IL22-004 Park Terrace Maintenance Tools/Eq.	1,827
	IL22-004 Park Terrace Maintenance Tools/Eq.	1,827	IL22-004 Park Terrace Marketing Plan	6,202
	IL22-004 Park Terrace Marketing Plan	6,202	IL22-006 North Main Training/Staff & Resident	2,437
	IL22-006 North Main Training/Staff & Resident	2,437	IL22-006 North Main Computer Soft/Hardware	5,557
	IL22-006 North Main Computer Soft/Hardware	5,557	IL22-006 North Main Safety Program	512
	IL22-006 North Main Safety Program	512	IL22-006 North Main Wellness Program	975
	IL22-006 North Main Wellness Program	975	IL22-006 North Main Resident Screening/Orientation	470
	IL22-006 North Main Resident Screening/Orientation	470	IL22-006 North Main Maintenance Tools/Eq.	1,867
	IL22-006 North Main Maintenance Tools/Eq.	1,867	IL22-006 North Main Marketing Plan	6,337
	IL22-006 North Main Marketing Plan	6,337	IL22-007 Fairgrounds Training/Staff & Resident	2,737
	IL22-007 Fairgrounds Training/Staff & Resident	2,737	IL22-007 Fairgrounds Computer Hard/Software	6,241
	IL22-007 Fairgrounds Computer Hard/Software	6,241	IL22-007 Fairgrounds Safety Program	575
	IL22-007 Fairgrounds Safety Program	575	IL22-007 Fairgrounds Wellness Program	1,095
	IL22-007 Fairgrounds Wellness Program	1,095		
	Subtotal of Estimated Cost	\$103,015	Subtotal of Estimated Cost	\$83,015

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2009	Work Statement for Year 2 FFY 2010		Work Statement for Year: 3 FFY 2011	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
SEE	IL22-007 Fairgrounds Resident Screening/Orientation	1,049	IL22-007 Fairgrounds Resident Screening/Orientation	1,049
ANNUAL	IL22-007 Fairgrounds Maintenance Tools/Eq.	2,097	IL22-007 Fairgrounds Maintenance Tools/Eq.	2,097
Statement	IL22-007 Fairgrounds Marketing Plan	7,117	IL22-007 Fairgrounds Marketing Plan	7,117
	IL22-009 Olesen Training/Staff & Resident	1,968	IL22-009 Olesen Training/Staff & Resident	1,968
	IL22-009 Olesen Computer Hard/Software	4,487	IL22-009 Olesen Computer Hard/Software	4,487
	IL22-009 Olesen Safety Program	413	IL22-009 Olesen Safety Program	413
	IL22-009 Olesen Wellness Program	787	IL22-009 Olesen Wellness Program	787
	IL22-009 Olesen Resident Screening/Orientation	380	IL22-009 Olesen Resident Screening/Orientation	380
	IL22-009 Olesen Maintenance Tools/Eq.	1,508	IL22-009 Olesen Maintenance Tools/Eq.	1,508
	IL22-009 Olesen Marketing Plan	5,117	IL22-009 Olesen Marketing Plan	5,117
	IL22-014 Low Rises Training/Staff & Resident	1,304	IL22-014 Low Rises Training/Staff & Resident	1,304
	IL22-014 Low Rises Computer Hard/Software	2,972	IL22-014 Low Rises Computer Hard/Software	2,972
	IL22-014 Low Rises Safety Program	274	IL22-014 Low Rises Safety Program	274
	IL22-014 Low Rises Wellness Program	521	IL22-014 Low Rises Wellness Program	521
	IL22-014 Low Rises Resident Screening/Orientation	447	IL22-014 Low Rises Resident Screening/Orientation	447
	IL22-014 Low Rises Maintenance Tools/Eq.	999	IL22-014 Low Rises Maintenance Tools/Eq.	999
	IL22-014 Low Rises Marketing Plan	3,389	IL22-014 Low Rises Marketing Plan	3,389
	IL22-020 SS West Training/Staff & Resident	1,929	IL22-020 SS West Training/Staff & Resident	1,929
	IL22-020 SS West Computer Hard/Software	4,398	IL22-020 SS West Computer Hard/Software	4,398
	IL22-020 SS West Safety Program	405	IL22-020 SS West Safety Program	405
	IL22-020 SS West Wellness Program	772	IL22-020 SS West Wellness Program	772
	IL22-020 SS West Resident Screening/Orientation	750	IL22-020 SS West Resident Screening/Orientation	750
	IL22-020 SS West Maintenance Tools/Eq.	1,478	IL22-020 SS West Maintenance Tools/Eq.	1,478
	IL22-020 SS West Marketing Plan	5,016	IL22-020 SS West Marketing Plan	5,016
	IL22-021 SS East Training/Staff & Resident	1,955	IL22-020 SS West Upgrade Maintenance Fleet	20,000
	IL22-021 SS East Computer Hard/Software	5,201	IL22-021 SS East Training/Staff & Resident	1,955
	IL22-021 SS East Safety Program	411	IL22-021 SS East Computer Hard/Software	5,201
	IL22-021 SS East Wellness Program	912	IL22-021 SS East Safety Program	411
	IL22-021 SS East Resident Screening/Orientation	750	IL22-021 SS East Wellness Program	912
	IL22-021 SS East Maintenance Tools/Eq.	1,747	IL22-021 SS East Resident Screening/Orientation	750
	IL22-021 SS East Marketing Plan	5,931	IL22-021 SS East Maintenance Tools/Eq.	1,747
			IL22-021 SS East Marketing Plan	5,931
	Subtotal of Estimated Cost	\$66,484	Subtotal of Estimated Cost	\$86,484

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2009	Work Statement for Year 4 FFY 2012		Work Statement for Year: 5 FFY 2013	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
SEE	IL22-007 Fairgrounds Wellness Program	1,095	IL22-007 Fairgrounds Resident Screening/Orientation	1,049
ANNUAL	IL22-007 Fairgrounds Resident Screening/Orientation	1,049	IL22-007 Fairgrounds Maintenance Tools/Eq.	2,097
Statement	IL22-007 Fairgrounds Maintenance Tools/Eq.	2,097	IL22-007 Fairgrounds Marketing Plan	7,117
	IL22-007 Fairgrounds Marketing Plan	7,117	IL22-009 Olesen Training/Staff & Resident	1,968
	IL22-009 Olesen Training/Staff & Resident	1,968	IL22-009 Olesen Computer Hard/Software	4,487
	IL22-009 Olesen Computer Hard/Software	4,487	IL22-009 Olesen Safety Program	413
	IL22-009 Olesen Safety Program	413	IL22-009 Olesen Wellness Program	787
	IL22-009 Olesen Wellness Program	787	IL22-009 Olesen Resident Screening/Orientation	380
	IL22-009 Olesen Resident Screening/Orientation	380	IL22-009 Olesen Maintenance Tools/Eq.	1,508
	IL22-009 Olesen Maintenance Tools/Eq.	1,508	IL22-009 Olesen Marketing Plan	5,117
	IL22-009 Olesen Marketing Plan	5,117	IL22-014 Low Rises Training/Staff & Resident	1,304
	IL22-014 Low Rises Training/Staff & Resident	1,304	IL22-014 Low Rises Computer Hard/Software	2972
	IL22-014 Low Rises Computer Hard/Software	2972	IL22-014 Low Rises Safety Program	274
	IL22-014 Low Rises Safety Program	274	IL22-014 Low Rises Wellness Program	521
	IL22-014 Low Rises Wellness Program	521	IL22-014 Low Rises Resident Screening/Orientation	447
	IL22-014 Low Rises Resident Screening/Orientation	447	IL22-014 Low Rises Maintenance Tools/Eq.	999
	IL22-014 Low Rises Maintenance Tools/Eq.	999	IL22-014 Low Rises Marketing Plan	3,389
	IL22-014 Low Rises Marketing Plan	3,389	IL22-020 SS West Training/Staff & Resident	1,929
	IL22-020 SS West Training/Staff & Resident	1,929	IL22-020 SS West Computer Hard/Software	4,398
	IL22-020 SS West Computer Hard/Software	4,398	IL22-020 SS West Safety Program	405
	IL22-020 SS West Safety Program	405	IL22-020 SS West Wellness Program	772
	IL22-020 SS West Wellness Program	772	IL22-020 SS West Resident Screening/Orientation	750
	IL22-020 SS West Resident Screening/Orientation	750	IL22-020 SS West Maintenance Tools/Eq.	1,478
	IL22-020 SS West Maintenance Tools/Eq.	1,478	IL22-020 SS West Marketing Plan	5,016
	IL22-020 SS West Marketing Plan	5,016	IL22-021 SS East Training/Staff & Resident	1,955
	IL22-021 SS East Training/Staff & Resident	1,955	IL22-021 SS East Computer Hard/Software	5,201
	IL22-021 SS East Computer Hard/Software	5,201	IL22-021 SS East Safety Program	411
	IL22-021 SS East Safety Program	411	IL22-021 SS East Wellness Program	912
	IL22-021 SS East Wellness Program	912	IL22-021 SS East Resident Screening/Orientation	750
	IL22-021 SS East Resident Screening/Orientation	750	IL22-021 SS East Maintenance Tools/Eq.	1,747
	IL22-021 SS East Maintenance Tools/Eq.	1,747	IL22-021 SS East Marketing Plan	5,931
	IL22-021 SS East Marketing Plan	5,931		
	Subtotal of Estimated Cost	\$66,484	Subtotal of Estimated Cost	\$66,484

Exhibit 9.0 Housing Needs

Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

According to the Rockford Department of Community Development's 2004 Analysis of Impediments to Fair Housing Choice, extremely low-income renters will rise from 6,524 in 2000 to 7,473 in 2025, and extremely low-income homeowners will increase from 2,262 to 2,557. The population will surely grow and will eventually soon demand more housing due to insufficient space and funds.

Those in poverty are in great need of shelter as the RDCD states that in 2000, 12,565 people ages 18 and older in Rockford are in poverty. There are 7,786 children 17 and under that are living in poverty as well.

The RDCD also states that in 2005, there are over 2,186 housing units, for both renters and owners that are either overcrowded or severely overcrowded. The number of severely overcrowded units has doubled between 1990 and 2000. The City of Rockford officially claims that in 2005, Illinois' Population increased 8.8%. Rockford's increased 12.6%. As of 2005, the city of Rockford's est. population is 152,916. The city estimates that it will grow 22% by 2020.

In November of '08 the Illinois Department of Human Services compiled a ranking system of housing needs among different groups of people served by IDHS, based off of the 2000 Census. Out of the top 56 counties listed, Winnebago came in 5th, after Cook, Will, Lake, and Kane.

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
 Public Housing
 Combined Section 8 and Public Housing
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	1747		429
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)	1570		
Low income (>50% but <80% AMI)	152		
Families with children	1056		
Elderly families	34		
Families with Disabilities	184		
Race/ethnicity W	478	27%	
Race/ethnicity B	1245	71%	
Race/ethnicity A	6	0%	
Race/ethnicity Other	7	0%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	603	35%	
2 BR	703	40%	
3 BR	303	17%	
4 BR	84	5%	
5 BR	26	0%	
5+ BR	28	0%	

Is the waiting list closed (select one)? No Yes

If yes: Blackhawk, Orton Keys, SS/E and SS/W – All Bedroom Sizes

HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes Park Terrace and Low Rises

Exhibit 9.1 Strategy for Addressing Housing Needs

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)
Complete the relocation efforts of the former Jane Addams residents who elected to participate in the Housing Mobility Program
Implement Housing Mobility Program as a permanent part of the Section 8 Program

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below) Implementation of the Jane Addams Consent Decree

Exhibit 10.0 (a) Progress in Meeting Mission and Goals

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

Rockford Housing Authority Profile – The Rockford Housing Authority currently manages 1918 units of Low Income Public Housing located in nine developments throughout the City of Rockford. The Rockford Housing Authority’s non-profit affiliate, the Rockford Housing Development Corporation, owns a 216 unit multi-family Section Eight property known as Concord Commons Apartments. The Rockford Housing Authority also serves as the managing agent of Concord Commons.

The Rockford Housing Authority also administers a Housing Choice Voucher program with a jurisdiction to contract with landlords within the city limits of Rockford. The Housing Choice Voucher program serves approximately 1800 families through several HCV programs including; tenant based assistance, project based developments and a project based “Moderate Rehabilitation” program.

Overall the Rockford Housing Authority and the Rockford Housing Development Corporation owns and/or administers affordable housing, which serves approximately 3.25% of Rockford’s population. In addition our housing stock and HCV assistance programs comprise approximately 9.5% of the Rockford rental housing market.

Objectives for FY2009

During FY2007, the Rockford Housing Authority began to develop a “Strategic Plan” which included a new vision and mission statement. The planning process continued into FY2008 and when completed will also contain a long term strategy for converting the Rockford Housing Authority’s obsolete housing stock into new affordable housing opportunities. This will be accomplished by identifying developments, which are no longer cost effective to manage and/or are not serving the needs of our residents. Multi-family properties such as Blackhawk Courts, Fairgrounds Valley and Concord Commons are all considered candidates for potential redevelopment activities in the upcoming year. Partnerships with the private sector are considered key components in the redevelopment process. The RHA will explore mixed-income and mixed-financing options to accomplish these goals. Timelines will be developed for transitioning these developments, either through demolition or redevelopment, into more viable housing. Resident relocation efforts will include mobility counseling services designed to encourage residents to move to opportunity areas within the City where poverty rates are lower and there are better employment, schools and transportation options.

High Rise buildings designed for the elderly and persons with disabilities will also be assessed with timelines for upgrading, redeveloping and/or modernizing their appearance and functionality. Our “scattered site” housing will be analyzed from an asset management perspective as to each unit’s cost effectiveness and viability.

The ultimate goal of the strategic planning process is to identify housing developments and housing stock, which no longer provide a quality living environment for our residents and are determined to be no longer economically viable. When identified, plans will be developed for the transition of these developments and the creation of alternative housing options. Emphasis will be placed on providing quality living environments to responsible residents with the availability of programming, which offers opportunities for developing self-sufficiency and economic independence. We intend to accomplish these goals through a variety of creative ventures including public/private partnerships, tax credit programs, Capital Fund bond financing, HOPE VI applications (if the program is available), project based Section Eight, and other funding and affordable housing resources to be determined.

Progress on Mission

The strategic planning process was completed and Authority management began to use it as the roadmap for future development. Significant progress has been made towards redevelopment efforts for two of our most distressed properties; Brewington Oaks and Fairgrounds. For both; extensive stakeholder discussions were held with multiple focus group sessions. For Brewington a vision was developed, developer proposals sought and ultimately a developer was recently named. For Fairgrounds, we expect to work towards selection of a developer by mid-summer.

Progress on Goals

1) Maintain and strengthen financial viability.

Progress: We have begun to rely less on the Capital Fund and expect to use less than the full 20% this year. We have moved forward on partnerships with recent Board Approval to negotiate the development with a WI firm of a mixed financed development in the former Jane Addams neighborhood.

2) Develop Non-HUD income streams

Progress: In addition to the Jane Addams neighborhood project we have made a good start in the Fairgrounds neighborhood by completing stakeholder discussions recently.

3) Through demolition, dispositions, or a combination of redevelopment and demolition, transition our residents into modern affordable housing family developments.

Progress: See #1 and #2.

4) Upgrade the Scattered Site program

Progress: We have completed an evaluation of all our Scattered Site properties. We will be seeking HUD approval to dispose of some of them, demolish others and using stimulus funding to improve the remaining properties.

5) Upgrade and modernize the high rise and low rise buildings

Progress: We have received “stimulus” funding that will be used specifically for this objective and that of objective #4.

- 6) Expand case management capabilities in our Resident Services Departments
Progress: We continue to be successful in this area, however with a smaller portion of our resident group than we would prefer.
- 7) Require greater accountability for participants and landlords of all HCV programs
Progress: The first step to accountability has been with the increased frequency in the review of backgrounds for criminal activity. Next we will be focusing on increased participation in our programs that help our residents become independent.
- 8) Achieve greater accountability for public housing residents through strengthening lease enforcement and lease provisions as well as enhanced monitoring and training.
Progress: We have already begun a partnership with the Rockford Police Department to improve monitoring of criminal activity by our residents and our HCV holders.
- 9) Continue to improve efficiency and effectiveness of our work force through targeted training programs and hiring practices.
Progress: We have begun with the development of objectives for each member of the management team that flow from our organization's objectives. We have also identified a targeted training program beginning with leadership, which is underway.
- 10) Continue to focus on curb appeal of the exterior and interior of all of our developments.
Progress: We began quarterly reporting to our Commissioners with the 1st quarter and our reporting is becoming more robust each quarter.
- 11) Provide a safe environment for our residents.
Progress: Pre-lease screening has been enhanced by post-lease review during the recertification process.

Exhibit 10.0 (b) Significant Amendment and Substantial Deviation Modification

The Rockford Housing Authority (RHA) will modify this plan in accordance with the requirements for Significant Amendments to the PHA Plan whenever a substantial deviation occurs.

A substantial deviation is defined as any occurrence, which meets one or more of the following criteria:

- Any changes to the organization of the waiting list;
- Additions of non-emergency work items (items not included in the current Annual Statement or Five-Year Action Plan) or change in the use of replacement reserve funds under the Capital Fund Program or;
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

The requirements for Significant Amendments or Substantial Deviations/Modifications to the PHA Plan are set out below:

- The RHA will consult with the Resident Advisory Board (RAB);
- The RHA will ensure consistency with the Consolidated Plan of the jurisdiction;
- The RHA will provide for a review of the amendments/modifications by the public during a 45-day public review period;
- The RHA shall not adopt the amendment or modification until the RHA has duly called a meeting of its Board of Directors which shall be open to the public and;
- The RHA shall not implement the amendment or modification until notification of the amendment or modification is provided to HUD and approved by HUD in accordance with HUD's plan review procedures.

Exhibit 11.0 Required Submittals

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet*
- (f) Resident Advisory Board (RAB) comments.
- See Attachment F
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged
– See Attachment G

Attachment A. FY 2008 Audit Results

Attachment B. Carbon Monoxide Act

The State of Illinois enacted a new law in 2006 that required carbon monoxide alarms to be installed in residential dwelling units by January 1, 2007. After careful review by legal counsel, the RHA quickly coordinated efforts between vendors and staff to insure all units requiring a carbon monoxide alarm detector had said units installed by the specified deadlines.

On September 22nd, 2006, the Rockford Housing Authority let an Invitation for Bids (#06-0131-CFP) for the purchase of “Combination Carbon Monoxide/Smoke Detectors.”

Due date for the bids was September 28th, 2006. A supplier was identified, and Rockford Housing Authority maintenance staff installed the detectors within 30-days to insure compliance with Illinois law.

Current procedures in place:

Housing Choice Voucher (HCV) Program:

- ✓ Carbon Monoxide forms are signed at each move in; and,

Low Income Public Housing (LIPH)

- ✓ A notice was sent to all resident on November 6, 2008 notifying them of the new law and subsequent installation; and,
- ✓ Inspections of the detectors have been incorporated into each unit inspection.

Attachment C. Organizational Chart

Attachment D. List of Resident Advisory Board Members

Name of the Resident Association	Contact Person	Address, City, & State
H.O.M.E. Board	Goldie Carpenter, Pres.	515 Seminary St. Apt 914B, Rockford, IL 61104
H.O.M.E. Board	Thomas Mc Caffery, Treas.	515 Seminary St. Apt 1104B, Rockford, IL 61104
H.O.M.E. Board	Benny Miller	505 Seminary St. Apt. 205A, Rockford, IL 61104
H.O.M.E. Board	Alice Brandy, V. Pres.	505 Seminary St. Apt 1507A, Rockford, IL 61104
H.O.M.E. Board	Cabot Tomlinson	1000 Chamberlain Apt 911, Rockford, IL 61107
H.O.M.E. Board	Kasper Blake, Pres.	1000 Chamberlain Apt 411, Rockford, IL 61107
H.O.M.E. Board	Charles Fletcher, Treas	1000 Chamberlain Apt 1203 Rockford, IL 61107
	Othello Jamerson, Pres.	505 N. Main St. Apt. 1107 Rockford, IL 61103
H.O.M.E. Board	Fran Jones, Treas.	505 N. Main St. Apt. 707, Rockford, IL 61103
H.O.M.E. Board	Edward Johnson, V. Pres.	505 N. Main St. Apt. 904, Rockford, IL 61103
H.O.M.E. Board	Dotie Parker, Sec	505 N. Main St. Apt. 216, Rockford, IL 61103
H.O.M.E. Board	Diane Foster, Pres.	337 Underwood St., Rockford, IL 61101
H.O.M.E. Board	Pamela Long, Treas.	335 Underwood St., Rockford, IL 61101
	Shameka Bell, Sec.	1027 Hess Ct., Rockford, IL 61101
H.O.M.E. Board	Laura Wright, Treas	511 N. Church St Apt 512 Rockford, IL 61103
H.O.M.E. Board	Alice Jenkins, V. Pres.	511 N. Church St. Apt 202 Rockford, IL 61103
H.O.M.E. Board	Johnny Simmons, Pres.	511 N. Church St. Apt 611 Rockford, IL 61103
	Joyce Oliveri, Sec	511 N. Church St. Apt 1410 Rockford, IL 61103
H.O.M.E. Board	Pat Whitman, Pres.	4124 Harrison Ave Apt. 101, Rockford, IL 61108
H.O.M.E. Board	Sharon Kitsemble, Treas.	4124 Harrison Ave Apt 303 Rockford, IL 61108
H.O.M.E. Board	Yvonne Fonseca, V. Pre.	4124 Harrison Ave Apt 214 Rockford, IL 61108
H.O.M.E. Board	Lorrie Hicks, Sec.	4124 Harrison Ave Apt. 208 Rockford, IL 61108
H.O.M.E. Board	Jackline Leu, Treas.	5410 Midvale Dr. Apt 200, Rockford, IL 61108
H.O.M.E. Board	Ken Howard, V. Pres.	5410 Midvale Dr. Apt. 106, Rockford, IL 61108
H.O.M.E. Board	John Tyler, Pres.	5410 Midvale Dr. #108, Rockford, IL 61108
H.O.M.E. Board	George Poole, Pres.	320 Kenilworth Dr., Rockford, IL 61108
H.O.M.E. Board	Bernice King, Pres	1509 Garden Ct., Rockford, IL 61104
H.O.M.E. Board	Stacy Huckabee, Sec.	1502 Garden Ct., Rockford, IL 61104
H.O.M.E. Board	Brenda Taylor, Treas	1427 Garden Ct., Rockford, IL 61104

Attachment E. Violence Against Women Act.

On January 5, 2006, President Bush signed the Violence Against Women Act into law as Public Law 109-162, which protects women who have been victimized by domestic violence, dating violence and stalking. As a result, the Rockford Housing Authority has updated its Admissions and Occupancy Plan for public housing and its Administrative Plan for Section 8 to reflect the revised policies related to eligibility and continued occupancy.

The Rockford Housing Authority refers victims of domestic violence, dating violence, sexual assault or stalking to other agencies within the area such as WAVE of Winnebago and Boone Counties.

Current procedures in place:

Housing Choice Voucher (HCV) Program:

- ✓ Each voucher participant was notified in writing; and,
- ✓ Violence Against Women notices are signed during recertification appointments

Low Income Public Housing (LIPH)

- ✓ A notice was sent to all residents on February 20th, 2009 notifying them of the new law and their individual rights and protections.

Attachment F. Residents Comments and Minutes from the Public Hearing

<h1>Rockford Housing Authority</h1>
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TO: Files
FROM: Sherri Tracy, Deputy Executive Director Development Department
DATE: June 18, 2009
SUBJECT: PHA Public Hearing – 10:00 AM –1st Floor Conference Room

John Cressman, Acting Executive Director welcomed everyone to the Public Hearing of our 5-Year and Annual PHA Plan. John explained that this meeting focuses on what we are doing this year and our plans for the next five years, especially the renovations that will be done at your sites. We encourage your questions and comments, and if you wish to receive a copy of the Plan, please sign the sheet at the back of the room. At this point John introduced Sherri Tracy, Deputy Executive Director of the Development Department.

Sherri explained that the Plan contains RHA's plans for new development and new ventures in the next five years. You are all aware that we have demolished Jane Addams and now we have hired a developer to revitalize Brewington Oaks and the surrounding neighborhood. Fairgrounds structure has been finalized and will be ready for the RFQ to be released.

The Government has issued RHA a large amount of Stimulus money that is to be spent on the renovations of our sites. Sherri & Vicky Pruitt are now in the process of putting together an application for another grant that must be submitted next week. Brewington Oaks consists of two buildings and 15 stories project with Gorman & Company will include supportive services and ADA renovations at our low rises for approximately 1 million dollars. We will have 44 kitchens to make ADA improvements on for almost 5 million including all 3 low-rise buildings. Our \$10 million dollar project will include demolishing 56 scattered site homes, which are duplexes that were built in close proximity of each other on Strathmoor, 18th Street, Sunnyside, Nadine and Searles Avenue. We will then proceed with the purchase 60 new scattered site units that will blend in with the community. If any of our residents are in the Home Ownership program through Family Self Sufficiency they are eligible to purchase a home for a lower price and should contact Ann Higgins, Deputy Executive Director of Operations.

A resident asked about the street conditions at Fairgrounds, and John replied that the city streets are also in need of much repair and there is money allotted for Fairgrounds that can be used to fix the roads leading into and around the site. John also stated that on the redevelopment and relocation of Fairgrounds, Rockford Housing staff will meet with the Fairgrounds residents to insure that the line of communication is open. This project may be done in phases, as it will be much larger than the Jane Addams relocation. Sherri asked for the Resident Council Presidents name to add to the group that will be setting up the plan for redevelopment of this site. In 7.0 of the PHA Plan the redevelopment is explained more in length.

Paul Lindstrom was then introduced to introduce the Capital Fund Stimulus Fund. Rockford Housing has put together it's Strategic Plan and with that properties that we have selected to keep for the long term will receive the bulk share of the Stimulus money from the Government. There will be (1) package to cover the high-rises and all (3) low- rise sites. This project will take place by late summer. We will be keeping 77 scattered sites and their projects will include window and siding replacement, roof replacement. Interior renovations and kitchens will be a winter project. Blacktops and driveway will begin this fall.

The 2009 Capital Fund Annual projects will begin in October of this year. We will be making repairs at Blackhawk on the streets and new handrails.

Orton Keyes will receive new sidewalks and stone repair on the 1st floor of 46 buildings where there has been stone damage. There will also be furnace replacements and a prototype for new windows.

Park Terrace will get a new entrance access system similar to the one that is presently in all of our low rises. We will be upgrading the apartments and the electric system within the building. The riser repair will resume this year and the relocation of our residents will again be necessary for this work to be completed.

Brewington Oaks - Sidewalk repairs

North Main Manor – HVAC units – Apartment door replacements

Olesen Plaza – Kitchen door replacements

S/SE & S/SW – General work to be done including basements that are getting moisture

Questions from the group of residents:

Q – How will the residents adjust to the HOPE VI grant for relocation at Fairgrounds, and can we move back if we can't make it somewhere else?

A – Sherri replied, this relocation would be done in phases and could be in the form of Section 8 voucher and we may not demolish the entire site, therefore allowing you the option to move back in. We are also working with the city on a Neighborhood Stabilization Program for purchase of homes in foreclosure. RHA would also pay any expenses for your move from your unit during this relocation.

Q – There is a brownstone building next to our site at North Main Manor and they are using portions of our parking lot, could we have assigned parking sites?

A - Paul Lindstrom answered we could put up signs that state “Parking by Permit Only” and designated guest parking then Security could ticket others who park illegally.

Q – All of our benches were moved out to the patio where it is very sunny, with no shade, could we have some of them moved back under the overhang? The chairs on the patio are chained to the table causing a hazard when moving them, could we change this situation?

A – Paul said he would look into this matter and discuss further with the site manager.

Q – Why is Metro Enforcement there for our safety if they don’t do anything?

A - John Cressman said he is examining what value Metro is to the residents and putting together a plan, however if residents have pictures of their misconduct, they should bring them to the attention of Laura Snyder, Security Coordinator. John is setting up a meeting with the site manager, Tara Brookins, Metro Enforcement, Rockford Police Department and the resident council to discuss this situation.

John added that a poster will be sent out to all sites informing residents of the proper person to contact, such as the Executive Director, Security Coordinator or Resident Services.

Attending:

Vicky Pruitt	RHA
Shelly Alexander	RHA
Paul Miller	511 N. Church St. #1003
Jay Floyd	1509 Meadow Ct.
Dwayne Hawkins	515 Seminary
Carrie Floyd	2330 Kilburn #214
Mary Floyd	415 Loomis St.
Susan Itzenthale	505 N. Main #415
Tom Versack	RHA
John Cressman	RHA
Tameca Cooper	1011 Hess Ct.
Angela Bailey	943 Acorn St.
Jodi Stromberg	RHA
Carol L. Washington	RHA
Vinek L. Kinned	1043 Hess Ct.
Paul Lindstrom	RHA
Jeri Billo	RHA
Sherri Tracy	RHA
Steve O’Donnell	RHA

Attachment G. Challenged Elements of the RHA Plan

There were no challenges presented in regards to this PHA Plan.



ROCKFORD HOUSING AUTHORITY

FINANCIAL REPORT

SEPTEMBER 30, 2008

Suby, Von Haden & Associates, S.C.
CERTIFIED PUBLIC ACCOUNTANTS & BUSINESS CONSULTANTS

THE ROCKFORD HOUSING AUTHORITY

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INDEPENDENT AUDITOR'S REPORT

Board of Commissioners
Rockford Housing Authority
Rockford, Illinois

U.S. Department of Housing and Urban Development
Illinois State Office
Metcalf Federal Building
77 West Jackson Boulevard
Chicago, IL 60604-3507

We have audited the accompanying financial statements of the Rockford Housing Authority (Authority), as of and for the year ended September 30, 2008, which collectively comprise the Authority's basic financial statements as listed in the table of contents. These financial statements are the responsibility of the Authority's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America, and the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control over financial reporting. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the Authority as of September 30, 2008, and the changes in net assets and cash flows thereof for the year then ended in conformity with accounting principles generally accepted in the United States of America.

In accordance with Government Auditing Standards, we have also issued a report dated February 26, 2009, on our consideration of the Authority's internal control structure over financial reporting and our tests of compliance with certain provisions of laws, regulations, contracts, grants and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with Government Auditing Standards and should be considered in assessing the results of our audit.

In accordance with the U.S. Office of Management and Budget (OMB) Circular A-133, Audits of States, Local Governments and Non-Profit Organizations, we have also issued our report dated February 26, 2009, on our consideration of the Authority's internal control over compliance and our test of compliance with requirements applicable to each major program in accordance with OMB Circular A-133. That report is an integral part of an audit performed on the expenditures of federal awards in accordance with the U.S. Office of Management and Budget Circular A-133 and should be considered in assessing the results of our engagement.

The Management's Discussion and Analysis, as listed in the accompanying contents page, is not a required part of the basic financial statements but is supplementary information required by accounting principles generally accepted in the United States of America. We have applied certain limited procedures, which consisted principally of inquiries of management regarding the methods of measurement and presentation of the required supplementary information. However, we did not audit the information and express no opinion on it.

Our audit was conducted for the purpose of forming an opinion on the financial statements that collectively comprise the Authority's basic financial statements. The financial data schedules are presented for purposes of additional analysis and are not a required part of the financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by U.S. Office of Management and Budget Circular A-133, Audits of States, Local Governments and Non-Profit Organizations, and is also not a required part of the financial statements of the Authority. The schedule of expenditures of federal awards, and other supplemental information, as listed in the accompanying contents page, have been subjected to the auditing procedures applied in the audit of the basic financial statements and in our opinion, are fairly stated in all material respects in relation to the basic financial statements taken as a whole.

Suby, Von Haden & Associates, S.C.
Rockford, Illinois

February 26, 2009

Suby, Von Haden & Associates, S.C.



February 26, 2009

To the Board of Commissioners of the
The Rockford Housing Authority

MANAGEMENT'S DISCUSSION AND ANALYSIS

This section of the Rockford Housing Authority's (Authority) annual financial report presents management's discussion and analysis of the Authority's financial performance during the fiscal year that ended on September 30, 2008. Please read it in conjunction with the Authority's financial statements.

We are pleased to submit the financial statements of the Rockford Housing Authority for the year ended September 30, 2008. The accuracy of the data presented in the financial statements, as well as its completeness and fairness of presentation, is the responsibility of management. All necessary disclosures to enable the reader to gain an understanding of the Authority's financial affairs have been included in the footnotes accompanying the general purpose financial statements. The purpose of the financial statements is to provide complete and accurate financial information, which complies with reporting requirements of the U.S. Department of Housing and Urban Development ("HUD") and the Governmental Accounting Standards Board.

BACKGROUND

The Rockford Housing Authority owns or manages 12 affordable-housing sites, offering over 2,000 subsidized units, including 300 scattered sites throughout the city for the disabled, elderly and the poor. We also manage over 1,700 Housing Choice vouchers that provide rent subsidies in the private market to households throughout the city.

OVERVIEW OF THE FINANCIAL STATEMENTS

The Authority's basic financial statements are presented as a proprietary enterprise fund whose operations primarily include two separate and distinct housing programs, - the Low Rent and Section 8 Housing Programs with other supportive grant programs. A summary follows:

The Low Rent Housing program is funded by income-based rents received from residents and operating subsidies received from HUD. HUD also provides capital improvement funds to renovate low rent housing units. Under the Section 8 Housing Programs, the Authority enters into housing assistance payment contracts with eligible landlords. HUD pays housing assistance payments, which includes tenant rents and management fees paid to the Authority for operating the program.

Under the ROSS program the Authority delivers and coordinates supportive services and other activities to help residents attain economic self-sufficiency and assist elderly residents with disabilities to continue to live independently.

The financial statements are presented in three sections: management's discussion and analysis (this section), the basic financial statements, and supplementary information.

The Management's Discussion and Analysis section includes information on the past and future accomplishments of the Authority. It focuses on analysis of the financial statements and improvements in the Authority's management. The financial section provides both long-term and short-term information about the Authority's overall financial status. The financial statements also include notes that explain some of the information in the financial statements and provide more detailed data. The statements are followed by a section of other supplementary information that further explains and supports the information in the financial statements.

The Authority's financial statements are prepared in conformity with accounting principles generally accepted in the United States of America ("GAAP") as applied to government units. *Enterprise Funds* are accounted for on the flow of economic resources measurement focus and use the accrual basis of accounting. Under this method, revenues are recorded when earned and expenses are recorded at the time liabilities are incurred. All assets and liabilities associated with the operation of these funds are included on the balance sheet.

The Statement of Revenues, Expenses & Changes in Net Assets reports on the Authority's net assets and how they have changed from the previous year. Net assets are the difference between the Authority's assets and liabilities, which is one way to measure the Authority's financial health or position.

Additionally, the Authority is required to undergo an annual single audit in conformity with the provisions of the Single Audit Amendments of 1996 and the United States Office of Management and Budget's ("OMB") Audits of States, Local Governments, and Non-Profit Organizations as provided in OMB Circular A-133. Information related to this single audit, including a schedule of expenditures of federal awards, the independent auditor's reports on internal controls and compliance with applicable laws and regulations, and a schedule of findings and questioned costs will be issued with this report.

FINANCIAL HIGHLIGHTS (In Thousands)

- Assets totaled \$38,449 at September 30, 2008, a decrease of \$4,700 from the September 30, 2007 balance of \$43,149. The majority of this decrease was in fixed assets. Fixed assets, net of depreciation, decreased by \$2,466 from \$32,467 at September 30, 2007, to \$30,001 at September 30, 2008. This decrease was due to depreciation, demolition of the Jane Addams Village and the removal of administrative expenses from capital projects.
- Net assets decreased by \$4,634 for the year ended September 30, 2008. This represents a 11% decrease from September 30, 2007. The decrease in net assets was due primarily to depreciation expense and recapture of Housing Choice Voucher (HCV) reserves by HUD.
- Operating revenue decreased by \$2,601 or 11% primarily because of HUD recapture of HCV reserves and decrease of public housing operating grants.
- Operating expenses increased by \$1,280 during the year. Operating expenses increased due to increased security measures and other public housing expenses.

FINANCIAL ANALYSIS OF THE AUTHORITY

Net Assets

Net assets represent the difference between total assets and total liabilities. As shown in Table 1, the Authority's total net assets at September 30, 2008 reached approximately \$36.4 million, an 11% decrease from September 30, 2007, balance of \$41.0 million.

Table 1
Rockford Housing Authority Net Assets
(In thousand dollars)

	<u>2008</u>	<u>(Restated) 2007</u>	<u>Percent Change</u>
Current Assets	\$ 7,994	\$ 10,269	(22%)
Non-Current Assets	30,455	32,880	(7%)
Total Assets	<u>\$ 38,449</u>	<u>\$ 43,149</u>	(11%)
Current Liabilities	\$ 1,739	\$ 1,416	22%
Non-Current Liabilities	332	721	(54%)
Total Liabilities	<u>2,071</u>	<u>2,137</u>	(3%)
Invested in Capital Assets, Net	30,001	32,467	(7%)
Unrestricted Net Assets	6,377	8,545	(25%)
Total Net Assets	<u>36,378</u>	<u>41,012</u>	(11%)
Total Net Assets & Liabilities	<u>\$ 38,449</u>	<u>\$ 43,149</u>	(11%)

Current assets decreased by 22%, or approximately \$2.3 million, because of a decrease in cash.

Non-current assets decreased by 7% to \$30.5 million due primarily to accumulated depreciation and other changes.

Liabilities decreased by \$66 thousand because of a decrease in compensated absences liability.

Change in Net Assets

Overall net assets decreased by approximately \$4.6 million or 11% at September 30, 2008. The change occurred because of depreciation expense and recapture of Housing Choice Voucher reserves by HUD.

Revenues and Expenses

As shown in Table 2, the Authority's total revenues, which included HUD operating and Capital Grants, tenant rents, interest and other income decreased by \$3.9 million or 16%, while total operating expenses increased by \$1.3 million or 5%, from approximately \$24.7 million in 2007 to \$26 million at September 30, 2008.

Non-operating revenue decreased 60% to \$.9 million, due primarily to the decrease of in HUD capital grants.

As previously stated, operating expenses increased by \$1.3 million, or 5%, to approximately \$26 million, with the most substantial RHA changes listed below:

- Administrative expense decreased by \$774 thousand;
- Tenant services increased by \$336 thousand, due to increased grant expenses and FSS staffing;
- Extraordinary maintenance increased by \$679 thousand in 2008;
- Protective services increased by \$481 thousand in 2008;
- General expenses increased by \$254 thousand primarily because of an increase in terminal leave expenses; and
- Housing assistance payments, increased by \$532 thousand.

Table 2
Changes in Rockford Housing Authority's Net Assets
(In thousand dollars)

	<u>2008</u>	<u>(Restated) 2007</u>	<u>Percent Change</u>
Operating Revenue	\$ 20,449	\$ 23,050	(11%)
Non-Operating Revenue	904	2,238	(60%)
Total Revenue	<u>21,353</u>	<u>25,288</u>	(16%)
Operating Expenses			
Operating Expenses – Less Depr.	22,685	21,274	7%
Depreciation	<u>3,302</u>	<u>3,433</u>	(4%)
Total Operating Expenses	<u>25,987</u>	<u>24,707</u>	5%
Change in Net Assets	(4,634)	581	
Total Net Assets, Beginning	41,012	41,088	
Prior period adjustment	-	(657)	
Total Net Assets, Ending	<u>\$ 36,378</u>	<u>\$ 41,012</u>	

Table 3
Rockford Housing Authority's Operating Expense
(In thousand dollars)

	<u>2008</u>	<u>(Restated) 2007</u>	<u>Percent Change</u>
Administrative Expense	\$ 4,538	\$ 5,312	(15%)
Tenant Services	628	292	115%
Utilities Expenses	1,525	1,539	(1%)
Ordinary Maintenance	4,156	4,233	(2%)
Extraordinary Maintenance	679	-	0%
Protective Services	621	140	344%
General Expenses	1,012	758	34%
Housing Assistance Payments	9,508	8,976	6%
Casualty Losses	18	24	(25%)
Depreciation Expense	<u>3,302</u>	<u>3,433</u>	4%
Total Expense	<u>\$ 25,987</u>	<u>\$ 24,707</u>	

BUDGETARY CONTROL

Budgetary control is exercised over programs through internal control methods that ensure compliance with legal provisions incorporated in annual program budgets approved by HUD and the Board of Commissioners. The Finance Department has implemented a series of processes and best practice procedures to closely monitor and track results.

The activities of the Authority's enterprise funds are included in the annual budgeting process. Capital project budgets contained in the Authority's low rent housing program are adopted for the length of the capital projects then annualized to strengthen cost monitoring and completion of timetables. Financially, we now focus capital monies on investments in tangible assets such as improving housing stock and security cameras/systems versus soft costs as in the past.

CAPITAL ASSETS (In thousands)

During 2008, the Authority allocated its capital assets to each housing project as mandated by HUD for the year ended September 30, 2008. As a result of the research and allocation of specific capital assets, the Authority recorded a prior period adjustment to reflect the current capital assets by each housing project as of September 30, 2007.

Capital assets decreased by \$2,466 in 2008, as shown below:

	(Restated) September 30, 2007	Change	September 30, 2008
Land, Structures and Equipment	\$ 99,595	\$ (2,824)	\$ 96,771
Construction in Progress	2,707	933	3,640
Less Accumulated Depreciation	(69,835)	(575)	(70,410)
Total Land Structures and Equipment	\$ 32,467	\$ (2,466)	\$ 30,001

Net assets invested in capital assets; consist of land, buildings, leasehold improvements, furniture and equipment and capital improvements net of accumulated depreciation. For additional detail of Capital Assets, please refer to the notes to the financial statements.

FISCAL YEAR 2008 ACCOMPLISHMENTS

During 2008, there was an average of 1,500 applicants on our public housing waiting list. The average wait for public housing in Rockford can be as long as 3 months. We also now have over 3,000 applicants on our Housing Choice Voucher waiting list. The average wait for this option is 5 years.

The Authority's 100 members of management and staff serve over 5,000 residents throughout Rockford. Additionally, we use over 50 different contractors, vendors and consultants to provide goods and services of \$3 million annually.

In 2008, the Housing Choice Voucher Program pumped close to \$11 million into the local economy in the form of rent subsidies, utility allowances, landlord payments, and vendor payments.

The demolition of the Jane Addams Village was initially approved by HUD in October 2006. In July 2007, the Authority and HUD were named as defendants in a lawsuit brought by two residents of the Jane Addams Village to halt the demolition of the property. Said case was resolved through mutual agreement and a Consent decree entered in January 2008. Shortly thereafter, demolition activities commenced and were completed in May 2008.

The Authority's public housing managers continue to be given more decision making control. In the past, we initiated more aggressive reviews of the revenues and expenses for each of our sites. The HUD subsidy for each site is dependent on its performance as well as its ability to deliver a quality product. This requires close financial scrutiny to capitalize on efficiencies and identify future challenges.

We have also combined capital and operating funds to maintain and improve housing programs at the site level. This enables us to allocate resources based on site needs and priorities. Closer review of capital expenditures at the site level is also being performed. Moving all accounting and budget responsibilities to the Authority finance department in early 2008 helped accomplish this task.

MAJOR INITIATIVES

The Authority has been transitioning from a fully centralized agency to a site based management, accounting, and reporting agency as mandated by HUD. In 2008, HUD conducted an On-Site Review of our asset management and project based accounting conversion. We have received approval from HUD certifying that we have demonstrated a successful conversion to an asset management and project based accounting system. As a result, the authority will retain subsidy that would have otherwise been reduced.

During this conversion process, we have improved service to our residents and the community by enabling site managers to have more decision making control, and as a result, be more accountable for their site. We have also implemented project based operating budgets and statements to better monitor each site individually.

To improve the safety and well being of our residents, we have initiated additional security on all family sites and have enhanced security in our high-rise buildings. We have also improved the general appearance or "curb appeal" of our various sites, which should enhance our image and marketing efforts.

This past year, our Resident Services Division made great strides in each program. Our nationally recognized Family Self Sufficiency (FSS) Program continues to grow. Due to the successful partnership with Winnebago County Housing Authority, we have been asked to administer the FSS programs of Boone and Winnebago County as well.

The Board awarded a contract for grant writing to apply for funds made available from HUD NOFAs (Notice of Fund Availability) and the HOPE VI Program. We are implementing programs designed to revitalize severely distressed public housing for Brewington Oaks and the former Jane Addams site. The pre-planning work is currently underway to make a successful application in a timely manner.

The Authority procured an energy services company (ESCO) in September 2006 to conduct an investment grade audit for the purpose of developing an energy performance project for the agency. The energy performance project was put on hold until the Board of Commissioners completed the Authority's Strategic Plan for the Authority's real estate portfolio. The Authority's Board of Commissioners adopted a new 5-year Strategic Plan in October 2008 and authorized the Executive Director to negotiate an energy performance project of approximately \$2.5 Million. The first phase is expected to be awarded in 2009, pending HUD approval of the same.

Both our short-range goals and long-term plans provide the opportunity for a better quality of life for our residents and neighborhoods by diffusing the concentration of poverty. As the Authority continues updating its inventory of existing public housing, we hope to replace our most distressed communities with the mixed-income model. This model will help the Authority meet the increasing demand for public housing in our city without creating pockets of poverty and urban blight.

CONTACTING THE AUTHORITY'S FINANCIAL MANAGEMENT

The financial report is designed to provide a general overview of the Authority's finances for all those with an interest. Questions concerning any of the information provided in this report or requests for additional information should be addressed to the Acting Executive Director of the Rockford Housing Authority, 223 S. Winnebago St., Rockford, IL 61102.



John Cressman
Acting Executive Director

**ROCKFORD HOUSING AUTHORITY
STATEMENT OF NET ASSETS
SEPTEMBER 30, 2008**

ASSETS

CURRENT ASSETS

Cash and Cash Equivalents	\$ 6,582,418
Investments	315,292
Accounts Receivable, Net Allowance	101,267
Accounts Receivable, HUD	839,321
Prepaid Expenses	156,111
Total Current Assets	<u>7,994,409</u>

NON CURRENT ASSETS

Land, Structures and Equipment, net	30,000,602
Note Receivable - Long Term	454,108
Total Non Current Assets	<u>30,454,710</u>

TOTAL ASSETS

\$ 38,449,119

LIABILITIES AND NET ASSETS

CURRENT LIABILITIES

Accounts Payable	\$ 649,530
Accounts Payable, HUD	98,950
Accrued Liabilities	405,670
Tenant/Trust Deposits	520,562
Deferred Revenues	64,354
Total Current Liabilities	<u>1,739,066</u>

NON CURRENT LIABILITIES

Accrued Compensated Absences	<u>331,624</u>
Total Non Current Liabilities	<u>331,624</u>

Total Liabilities

2,070,690

NET ASSETS

Unrestricted Net Assets	6,377,827
Investment in Fixed Assets	<u>30,000,602</u>
Total Net Assets	<u>36,378,429</u>

TOTAL LIABILITIES AND NET ASSETS

\$ 38,449,119

See accompanying notes to the financial statements.

**ROCKFORD HOUSING AUTHORITY
STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS
FOR THE YEAR ENDED SEPTEMBER 30, 2008**

OPERATING REVENUES

Tenant Rents	\$ 2,279,457
HUD Operating Grants	17,587,391
Other Government Grants	291,368
Other Income	291,247
TOTAL REVENUES	20,449,463

OPERATING EXPENSES

Administrative Expenses	4,537,909
Tenant Services	628,160
Utilities Expenses	1,524,990
Maintenance	4,835,203
Protective Services Expense	620,653
Insurance Expense	583,603
General Expenses	428,317
Housing Assistance Payments	9,507,797
Casualty Losses	18,099
Depreciation Expense	3,302,267
TOTAL OPERATING EXPENSES	25,986,998
OPERATING INCOME (LOSS)	(5,537,535)

NON-OPERATING REVENUES AND (EXPENSES)

Interest Income	219,648
HUD Capital Grants	932,995
Loss on Sale of Assets	(248,745)
TOTAL NON-OPERATING REVENUES (EXPENSES)	903,898

CHANGE IN NET ASSETS	(4,633,637)
NET ASSETS AT BEGINNING OF YEAR, AS PREVIOUSLY RESTATED	41,669,621
PRIOR PERIOD ADJUSTMENT	(657,555)
NET ASSETS, BEGINNING OF YEAR, AS RESTATED	41,012,066
NET ASSETS AT END OF YEAR	\$ 36,378,429

See accompanying notes to the financial statements.

**ROCKFORD HOUSING AUTHORITY
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED SEPTEMBER 30 2008**

CASH FLOWS FROM OPERATING ACTIVITIES

Receipts from Tenants and Others	\$ 19,925,441
Payments to Employees	(4,484,310)
Payments to Vendors and Suppliers	<u>(17,792,403)</u>
Net Cash Provided by (Used in) Operating Activities	<u>(2,351,272)</u>

CASH FLOWS FROM INVESTING ACTIVITIES

Sale/(Purchase) of Investments	1,618,718
Interest on Investments	<u>(219,648)</u>
Net Cash Provided by (Used In) Investing Activities	<u>1,399,070</u>

CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES

Capital Grants	932,995
Cash Proceeds on Sale of Assets	24,245
Construction/Purchase of Capital Assets	<u>(1,004,514)</u>

Net Cash Provided by (Used In) Investing Activities (47,274)

NET DECREASE IN CASH AND CASH EQUIVALENTS (999,476)

CASH AND CASH EQUIVALENTS AT SEPTEMBER 30, 2007 7,581,894

CASH AND CASH EQUIVALENTS AT SEPTEMBER 30, 2008 \$ 6,582,418

Reconciliation of Operating loss to Net Cash

Used by Operating Activities

Operating loss \$ (5,537,535)

Adjustments To Reconcile:

Depreciation	3,302,267
Loss on disposal of assets	248,745
Bad debt expense	122,282

Changes in Assets and Liabilities

(Increase)/Decrease in Accounts Receivable	(710,133)
(Increase)/Decrease in Prepaid Expense	4,421
(Increase)/Decrease in Material Inventories	10,290
(Increase)/Decrease in Note Receivable	-
Increase/(Decrease) in Accounts Payable	(26,197)
Increase/(Decrease) in Accrued Liabilities	152,267
Increase/(Decrease) in Tenant/Trust Deposits	58,562
Increase/(Decrease) in Deferred Revenues	63,829
Increase/(Decrease) in Accrued Compensated Balances	<u>(40,070)</u>

Net Cash Used in Operating Activities \$ (2,351,272)

SUPPLEMENTAL DISCLOSURE OF NONCASH ACTIVITIES

None

See accompanying notes to the financial statements.

ROCKFORD HOUSING AUTHORITY
NOTES TO FINANCIAL STATEMENTS
September 30, 2008

Note 1 - Organization and Program Description

Background

The Rockford Housing Authority (Authority) is a municipal corporation created and organized under the Housing Authority Act of the State of Illinois to engage in the acquisition, development, leasing and administration of a low-rent housing program and other federally assisted programs.

The governing body of the Authority is its Board of Commissioners (Board) composed of five members appointed by the Mayor of the City of Rockford (City). The Board appoints an Executive Director to administer the affairs of the Authority. The Authority is not considered a component unit of the City.

The United States Department of Housing and Urban Development (HUD) has direct responsibility for administering housing programs under the United States Housing Act of 1937, as amended. HUD is authorized to enter into contracts with local housing authorities and to provide funds to assist the Public Housing Authorities (PHAs) in financing the acquisition, construction, and/or leasing of housing units; to make housing assistance payments; and to make annual contributions (subsidies) to PHAs for the purpose of maintaining the low rent character of the local housing program.

Reporting Entity - The financial statements of the Authority have been prepared in accordance with accounting principles generally accepted in the United States of America, as applicable to governmental units.

These principles define the reporting entity of the primary government, as well as its component units. Component units are separate legal organizations for which the elected officials of the primary government are financially accountable. Financial accountability is defined as appointment of a voting majority of the component unit's board, and either (a) the ability to impose will by the primary government, or (b) the possibility that the component unit will provide a financial benefit or impose a financial burden on the primary government.

Based upon these criteria, there are no agencies or entities which should be presented in or with the Authority's financial statements.

Related Party

The Rockford Housing Development Corporation (RHDC) was organized as a not-for-profit corporation on May 19, 1981 to acquire real property located in Rockford, Illinois and to rehabilitate and operate an apartment complex of 216 units, under Section 221 of the National Housing Act. The complex is known as the Concord Commons. The Authority provides management services to RHDC under an agreement, which provides for an administrative fee of 6.50% of the gross collections from the properties of RHDC. RHDC does not meet the fiscal dependency criteria of the related GASB pronouncements and is not considered part of the Authority's reporting entity.

Program Activities

As required by accounting principles generally accepted in the United States of America, the accompanying financial statements present the various program activities of the Authority as an enterprise fund. The Authority's assets, liabilities and results of operations are segregated into public housing and grant programs as follows:

Low Rent Housing - The low rent-housing program is the primary operating program of the Authority. It provides subsidized housing to low-income residents. The Authority is the owner of approximately 2,000 public housing units located throughout the City. The Authority receives revenue from dwelling rental income and an operating subsidy provided by HUD. "Capital Funds," provided by HUD, are used to improve the physical condition, management and operation of existing public housing developments.

ROCKFORD HOUSING AUTHORITY
NOTES TO FINANCIAL STATEMENTS
September 30, 2008

Note 1 – Organization and Program Description (continued)

Section 8 Housing Programs - The Authority participates in Section 8 housing programs. These programs are designed to provide privately owned, decent, safe and sanitary housing to low-income families. The Authority provides assistance to low and moderate income persons seeking decent, safe and sanitary housing by subsidizing rents between such persons and owners of existing private housing. Under the programs, the Authority enters into housing assistance payment contracts with eligible landlords. To fund the program, the Authority enters into annual contribution contracts with HUD for the receipt of rental subsidies.

Resident Opportunity and Support Services Program - This program provides delivery and coordination of supportive services and other activities to help residents attain economic self-sufficiency and elderly residents and residents with disabilities to continue to live independently.

Accounting Principles - The Authority adopted the provision of GASB Statement No. 34, *Basic Financial Statements and Management's Discussion and Analysis for State and Local Governments* in 2003. Statement No. 34 establishes financial reporting standards for all state and local governments and related entities. Statement No. 34 relates to presentation and disclosure requirements and had no impact on net assets. The impact was on the presentation of net assets and the inclusion of management's discussion and analysis.

The Authority applies all GASB pronouncements as well as the Financial Accounting Standards Board pronouncements issued on or before November 30, 1989, unless those pronouncements conflict with or contradict GASB pronouncements.

Measurement Focus, Basis of Accounting and Basis of Presentation

The Rockford Housing Authority has been transitioning from a fully centralized agency to a site based management, accounting and reporting agency mandated by HUD. In 2008, the Housing Authority successfully completed the conversion to asset management and project based accounting (AMP).

The accounts of the Authority are organized and operated on the basis of proprietary funds. A fund is an independent fiscal and accounting entity with a self-balancing set of accounts. Fund accounting segregates funds according to their intended purpose and is used to aid management in demonstrating compliance with finance-related legal and contractual provisions. The minimum number of funds maintained is consistent with legal and managerial requirements.

The Authority maintains the following fund type:

Proprietary Funds - The Authority's proprietary funds are *Enterprise Funds* used to account for those operations that are financed and operated in a manner similar to private business or where the Authority has decided that the determination of revenues earned, costs incurred and/or net income is necessary for management accountability.

Enterprise Funds are accounted for on the flow of economic resources measurement focus and use the accrual basis of accounting. Under this method, revenues are recorded when earned and expenses are recorded at the time liabilities are incurred. All assets and liabilities associated with the operation of these funds are included on the balance sheet.

ROCKFORD HOUSING AUTHORITY
NOTES TO FINANCIAL STATEMENTS
September 30, 2008

Note 1 – Organization and Program Description (continued)

Enterprise Funds - The Enterprise funds are used to account for the activities of the low rent and Section 8 housing programs. Under the low rent-housing program, the fund owns and operates over 2,000 housing units. Financing for the acquisition and rehabilitation of these properties was obtained through several HUD grants and long-term debt issues. Their operations and maintenance are funded principally through tenant rent, federal operating subsidies and grants. Under the Section 8 housing programs, the fund monitors over 1,700 privately owned housing units. Operations are funded through housing assistance payments from HUD. Under other grant programs, funding is provided by grantors to provide programs and services to the residents.

Budgets - Budgets are adopted for enterprise funds on a basis consistent with accounting principles generally accepted in the United States of America. The Authority is not legally required to adopt budgets for such funds. However, the Authority has contractual requirements to adopt budgets for applicable HUD programs. All annual appropriations lapse at fiscal year-end. Multi-year appropriations for capital projects are adopted for the length of the project and/or program and are annualized for accounting purposes. Additional information on the Authority's budgetary requirements and controls is disclosed in Note 2.

Management's Use of Estimates - The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenditures during the reporting period. Actual results could differ from those estimates.

Cash and cash equivalents – Cash and cash equivalents consists of cash on hand, cash in depository accounts and short-term, highly liquid investments instruments with original maturities of three months or less from the date of acquisition, which are stated at fair value.

Receivables - Notes receivables consist of amounts due from the Rockford Housing Development Corporation (RHDC), a related party, for unpaid administrative and management fees. Accounts receivable, primarily, represent tenant receivables from the various housing projects managed by the Authority. The receivable balances are reviewed to determine whether they are collectible. Allowance account estimates are established for receivable amounts when collection is questionable.

Investments - The investments are carried at fair value. Fair value is determined based primarily on the basis of quoted market prices. All investment income earned on investments is recognized as income in the fund holding the investment. The Authority's investments are represented by various money market accounts, overnight repurchase agreements in government securities and savings accounts. As a result the Authority does not have a formal investment policy for interest rate risk that limits investment maturities as a means of managing its exposure to fair value losses arising from increasing interest rates; no investment policy for credit risk that would further limit its investment choices; and places no limit on the amount for concentration of credit risk the Authority may invest in any one issuer.

Prepaid Expenses - Payments made to vendors for services (insurance) that will benefit periods beyond September 30, 2008, are recorded as prepaid.

ROCKFORD HOUSING AUTHORITY
NOTES TO FINANCIAL STATEMENTS
September 30, 2008

Note 1 – Organization and Program Description (continued)

Fixed Assets - The Authority capitalizes fixed assets with a cost of more than \$1,000 and a useful life of one year or more.

Fixed assets are stated at cost. Donated capital assets are recorded at their estimated fair value at the date of donation. The cost of maintenance and repairs is charged to operations as incurred and improvements are capitalized. Fixed assets are depreciated over their useful lives, excluding salvage value, (management's estimate of how long the asset is expected to meet service demands) using the straight-line method of depreciation as follows:

Building	27	years
Site Improvements	15	years
Equipment	5	years

Land and construction in progress are not depreciated by the Authority.

The Authority reviews its capital assets to evaluate prominent events or changes in circumstances affecting them to determine whether impairment of capital asset has occurred. A capital asset generally should be considered impaired if both (a) the decline in service utility of the capital asset is large in magnitude and (b) the event or change in circumstance is outside the normal life cycle of the capital asset. Effective October, 2007 the Authority started the process of demolishing the Jane Addams project with the departure of the last tenant. See Note 6 for further information.

Accrued Liabilities - Accumulated vacation and retirement incentive payables have been recorded in the financial statements.

Risk Financing - The Authority is exposed to various risks of loss related to torts; theft of, damage to, and destruction of assets; errors and omission; injuries to employees; and natural disasters. These risks are covered by commercial insurance purchased from independent third parties. Settled claims from these risks have not exceeded commercial insurance coverage for the last three years.

Net Assets - The difference between assets and liabilities is net assets. Net assets are reported as restricted when constraints placed on net asset use is either externally imposed by creditors, contributors or regulations of other governments imposed by law through constitutional or enabling legislation. The Authority has identified no restrictions on net assets and thus reports net assets as unrestricted that are not related to capital assets. The Authority's assets are subdivided into two categories: invested in fixed assets and unrestricted net assets. Each component of net assets is reported separately on the statement of net assets.

Investment in fixed assets represents the balance of land, structures and equipment less accumulated depreciation.

The unrestricted component of net assets represents the portion remaining after the "investment in fixed assets" amounts have been determined. The Authority's positive value of unrestricted net assets may be used to meet ongoing operations.

Concentration of Risk - During the year ended September 30, 2008, the Authority received approximately 88% of its funding from HUD. In 2008, the Authority successfully completed its conversion to asset management and project based accounting which enhanced its level of HUD funding for the future. In essence, the Authority had its operating subsidy reduction stopped at five percent of the per unit difference between the old and new formulas.

ROCKFORD HOUSING AUTHORITY
NOTES TO FINANCIAL STATEMENTS
September 30, 2008

Note 2 – Budget Information

The Authority is required by contractual agreements to adopt operating budgets for all its grant programs. The Director of Finance/MIS prepares all budgets on a HUD basis, which is materially consistent with accounting principles generally accepted in the United States of America. All annual appropriations lapse at fiscal year-end. Operating budgets are not prepared for capital projects activity, which is included in the low rent housing enterprise fund. Budgets are submitted by the Authority's Executive Director and approved by resolutions of the Board of Commissioners.

Low rent housing enterprise fund operating budgets are prepared for the upcoming year by the end of the current fiscal year and are approved by the Authority's Board of Commissioners and HUD. Appropriations for capital projects are authorized at the fund and expenditure level, and effective budgetary control is achieved through periodic budgeting and reporting requirements.

The Section 8 housing operating budget are prepared for the upcoming fiscal year 90 days before the end of the current fiscal year and are approved by HUD. The Authority's Board of Commissioners approves the operating expenditure budgets. Budgetary control is at the fund level (excess/deficiency) of revenues over expenditures.

Budgets for other grant programs are approved for the length of the individual program or grant. Appropriations are authorized at the fund and expenditure category level, and effective budgetary control is at the fund level and achieved through the programs or grants periodic budgeting and reporting requirements.

Note 3 – Cash and Cash Equivalents and Investments

Cash and cash equivalents totaled \$6,582,418 at September 30, 2008, consisted of amounts maintained in commercial checking accounts and are readily available. HUD regulations require authorities to maintain funds in accounts that are fully collateralized by United States government securities. Cash amounts in excess of the \$100,000 insured by the FDIC were collateralized by government securities and held in the pledging financial institutions' trust departments in the Authority's name.

Investments totaled \$315,292 at September 30, 2008, consisting of amounts pertaining to family self sufficiency funds. These funds are maintained in money market accounts.

Note 4 – Accounts Receivable (Net)

Accounts receivable at September 30, 2008, consisted of the following:

Accrued Interest Receivable	\$ 5,228
Accounts Receivable – HUD	839,321
Accounts Receivable – Tenants	57,306
Accounts Receivable – Other	88,015
Less allowance for doubtful	<u>(49,282)</u>
	<u>\$ 940,588</u>

The Authority reviews the accounts receivable periodically. In fiscal year 2008, the Authority wrote off \$113,000 in tenant receivables, which were deemed uncollectible.

ROCKFORD HOUSING AUTHORITY
NOTES TO FINANCIAL STATEMENTS
September 30, 2008

Note 5 – Note Receivable

The Authority manages RHDC, a related Corporation under an agreement, which provides for an administrative fee of 6.50 percent of the gross collections derived from all RHDC properties. In addition, the Authority provides administrative and maintenance services and supplies, which are reimbursed by RHDC through the administrative fee. The current administrative fees for 2008 amounted to \$114,832.

The unpaid administrative fee at September 30, 2008, consisted of:

Current Portion	\$	-
Long-Term Portion		<u>454,108</u>
Total	\$	<u>454,108</u>

RHDC has signed a note receivable, at zero percent interest, with payments to be made from time to time only from surplus cash of the project. RHDC made no payments during the year ended September 30, 2008. The Authority collateralized the note with a second mortgage on real estate known as the Concord Commons in Rockford, Illinois.

Note 6 – Land, Structures and Equipment

The changes in land, structures and equipment for the year ended September 30, 2008, were as follows:

	(Restated) September 30, 2007	Change	September 30, 2008
Land, Structures and Equipment \$	\$ 99,594,746	\$ (2,823,948)	\$ 96,770,798
Construction in Progress	2,706,712	932,995	3,639,707
Less Accumulated Depreciation	<u>(69,835,119)</u>	<u>(574,784)</u>	<u>(70,409,903)</u>
Total Land Structures and Equipment	<u>\$ 32,466,339</u>	<u>\$ (2,465,737)</u>	<u>\$ 30,000,602</u>

Management in its review and assignment of assets to each housing project determined that the September 30, 2008 fixed assets and related accumulated depreciation needed to be adjusted. A summary of the prior period adjustment is discussed in Note 13.

In October, 2006, the Authority received HUD approval for demolition of the Jane Addams Village (Village). With HUD funding and the departure of the last tenant at the Village, the Authority commenced demolition of the Village in October 2007 and was completed by September 30, 2008. The asset cost removed from the Authority's books during 2008 was \$2,676,952.

Fixed Assets are recorded at cost. Depreciation is recorded over the useful lives of the assets using the straight-line method of depreciation. Depreciation expenses for 2008 totaled \$3,302,267. Construction improvements totaled \$932,995 in 2008.

Note 7 – Payables

Payables at September 30, 2008, consisted of the following:

Accounts Payable	\$	649,530
Accounts Payable – HUD		<u>98,950</u>
Total	\$	<u>748,480</u>

ROCKFORD HOUSING AUTHORITY
NOTES TO FINANCIAL STATEMENTS
September 30, 2008

Note 8 – Accrued Liabilities

Accrued liabilities at September 30, 2008, are detailed as follows:

Accrued retirement and incentive pay	\$ 112,765
Accrued compensated absences – short term	36,847
Accrued payroll liabilities	201,192
Other accrued liabilities	<u>54,866</u>
Current accrued liabilities	405,670
Accrued compensated absences – long term	<u>331,624</u>
Total Accrued Liabilities	<u>\$ 737,294</u>

It is the Authority's policy not to compensate employees for accumulated sick or compensated leave upon termination. The Authority recognizes leave taken as a current year's salary expense during the year in which the leave is taken. Vacation pay is accrued for and recognized in the financial statements as an accrued liability and unused vacation is paid upon termination.

The accrued retirement incentive payable resulted from the Board offering the Authority employees, meeting specific criteria, the option of lump sum payments (two installments) and/or health and life insurance coverage until age 65 to a maximum of \$40,000. Five employees selected the retirement incentive plan and received their first installment before year-end. The second installment was scheduled in the next fiscal year.

Note 9 – Tenant/Trust Deposits

Tenant deposits totaling \$206,132 at September 30, 2008, consisted of an amount due to tenants related to security deposits. Trust deposits totaling \$314,430 at September 30, 2008, consisted of an amount due under the family self-sufficiency program.

Note 10 – Employee Benefits

The Authority participates in the Rockford Housing Authority Employee Pension Plan (the Plan), which is a qualified defined contribution retirement plan (403b) administered by Mass Mutual. The Plan covers all full-time employees after one year of service. Participants' benefits are fully vested after five years of participation. The Authority's maximum contribution is 9 percent of the employee's monthly salary.

Pension expense for the year ended September 30, 2008, equaled \$370,193. The minimum required contribution is 3 percent. The Authority made all the required contributions to the Plan. Plan assets at September 30, 2008, totaled \$5,584,391.

The Authority's total payroll expense was \$4,297,019 for the year ended September 30, 2008.

ROCKFORD HOUSING AUTHORITY
NOTES TO FINANCIAL STATEMENTS
September 30, 2008

Note 11– Commitments and Contingencies

The Authority has, under its normal operations, entered into commitments for the purchase of maintenance, cleaning and other services. Such commitments are monthly or annual.

The Authority also has certain contingent liabilities resulting from claims and commitments incident to the ordinary course of business. Grant awards received by the Authority are subject to audit and adjustment by grantor agencies. If grant revenues are received for disbursements which are subsequently disallowed, the Authority may be required to repay the revenues. Management expects that final resolution of such contingencies will not materially affect the financial position of the Authority.

Note 12– Central Office Cost Center (COCC) Charges/Duties

The COCC was established in the fiscal year ended September 30, 2008 to facilitate the project based financial statement reporting as mandated by HUD. The COCC charged the Authority's housing projects and other federal programs fees for services as allowed under HUD guidelines. Management fees, bookkeeping fees, asset management fees, and capital fund management fees are some of the allowable fees charged by COCC during the year.

The COCC financial transactions (interfund) between the various reporting programs within the Authority have been eliminated in the Authority's basic financial statements.

The COCC also allocates expenses not attributable to a specific program to all programs under management. The basis for this allocation was the number of units in each program. Management considers this to be an equitable method of allocation.

Note 13 – Prior Period Adjustments

The prior period adjustments totaled \$657,555, and represented the correction of depreciation expense and the write-off of disposal assets effective September 30, 2007.

Write off asset costs	\$ (924,904)
Correction of depreciation expense	479,524
Reversal of construction in progress closeout	<u>(212,175)</u>
	<u>\$ (657,555)</u>

SUPPLEMENTAL INFORMATION

**ROCKFORD HOUSING AUTHORITY
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED SEPTEMBER 30, 2008**

FEDERAL GRANTOR	ANNUAL CONTRIBUTION CONTRACT #	PROGRAM OR AWARD CFDA #	FEDERAL AWARDS EXPENDED
Major Programs - U S Department of Housing and Urban Development			
Direct Program			
Low Rent Housing Program			
Low Rent Housing Programs	C - 952	14.850	\$ 7,318,822
Capital Fund Program	C - 952	14.872	<u>3,210,123</u>
Total Low Rent Housing Programs			10,528,945
Housing Assistance Payment Programs			
Housing Choice Voucher Program	C - 1075	14.871	5,972,853
Moderate Rehabilitation Program	C - 1075	14.856	<u>1,955,403</u>
Total Housing Assistance Program Payments			7,928,256
Total U S Department of Housing and Urban Development (Major Programs)			<u>18,457,201</u>
Non-Major Programs - US Department of Housing and Urban Development			
Direct Program			
Resident Opportunity and Supportive Services	C - 952	14.870	190,001
Moderate Rehabilitation Single Room Occupancy	C - 1075	14.249	<u>71,308</u>
Total U S Department of Housing and Urban Development (Non-Major Programs)			261,309
Total Federal Emergency Management Agency Disaster Housing Assistance Grant	C - 952	97.109	12,844
Total U.S. Department of Labor Pass Through Rock River Training Corporation WIA Program		17.258	<u>2,186</u>
Total All Programs			<u>\$ 18,733,540</u>

Note 1 - Basis of Accounting

The Schedule of Expenditures of Federal Awards includes all federal grant activity of the Rockford Housing Authority (Authority) and is presented on the accrual basis of accounting, which is described in Note 1 of the Authority's financial statements. The information in the schedule is also presented in accordance with the requirements of OMB Circular A-133 *Audits of States, Local Governments, and Non-Profit Organizations*.

Note 2 - Subrecipients, Insurance, Loans or Loan Guarantees

The Authority has no subrecipients, loan or loan guarantees, or insurance in effect through Federal awards for the year ended September 30, 2008

**ROCKFORD HOUSING AUTHORITY
STATEMENT OF CAPITAL FUND PROGRAM COSTS - UNCOMPLETED
FOR THE YEAR ENDED SEPTEMBER 30, 2008**

**ANNUAL CONTRIBUTION CONTRACT C - 952
PHASES IL06PO22 - 501-05, 501-06, 501-07 AND 501-08**

	501-05 105	501-06 106	501-07 107	501-08 108	Total
Funds Approved	\$ 3,305,347	\$ 3,257,318	\$ 3,237,156	\$ 3,304,202	\$ 13,104,023
Funds Expended:					
Through September 30, 2007	\$ 2,783,515	\$ 2,408,783	\$ -	\$ -	\$ 5,192,298
Year ended September 30, 2008	366,046	554,132	2,260,307	29,638	3,210,123
Total Expended	<u>3,149,561</u>	<u>2,962,915</u>	<u>2,260,307</u>	<u>29,638</u>	<u>8,402,421</u>
Excess\(\Deficit) of Funds Approved	<u>\$ 155,786</u>	<u>\$ 294,403</u>	<u>\$ 976,849</u>	<u>\$ 3,274,564</u>	<u>\$ 4,701,602</u>
Funds Advanced					
Through September 30, 2007	\$ 2,754,869	\$ 2,208,152	\$ -	\$ -	\$ 4,963,021
Year ended September 30, 2008	394,692	754,763	2,260,307	29,638	3,439,400
Total Advanced	<u>3,149,561</u>	<u>2,962,915</u>	<u>2,260,307</u>	<u>29,638</u>	<u>8,402,421</u>
Total Expended	3,149,561	2,962,915	2,260,307	29,638	8,402,421
Excess\(\Deficit) of Funds Advanced	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

1. Capital Fund Program costs for Phases IL 06 - 501-05, IL 06 - 501-06, and IL07-501-07 are shown above.
2. Cost additions and funds advanced cumulative through September 30, 2007 were audited by Velma Butler & Company, Ltd., whose report for the year ended September 30, 2007 was dated January 31, 2008.
3. Funds advanced and expended for the year ended September 30, 2008 were audited by the current year Auditor: Suby, Von Haden & Associates, S.C., whose report is dated February 26, 2009.

**ROCKFORD HOUSING AUTHORITY
STATEMENT OF RESIDENT OPPORTUNITY SUPPORTIVE SERVICES COSTS - COMPLETED
FOR THE YEAR ENDED SEPTEMBER 30, 2008**

**ANNUAL CONTRIBUTION CONTRACT C - 952
PHASES IL0022RFS050A006**

**ROSS
FY 2006**

The total amount of Residential Opportunity Supportive Services of the ROSS Grant is shown below for Program Number IL0022RFS050A006

Funds Approved	\$ 61,274
Funds Expended - year ended September 30, 2007	\$ 53,522
September 30, 2008	<u>7,752</u>
Total Expended	61,274
Excess\(\Deficit) of Funds Approved	<u>-</u>
Funds Advanced - year ended September 30, 2007	\$ 36,642
September 30, 2008	<u>24,632</u>
Total Advanced	61,274
Funds Expended	61,274
Excess\(\Deficit) of Funds Advanced	<u>\$ -</u>

1. Funds advanced and expenses incurred through September 20, 2007 were audited by the previous Auditors Velma Butler & Company, Ltd., whose report for the year ended September 30, 2007 was dated January 31, 2008.
2. Funds advanced and expenses incurred for the year ended September 30, 2008, were audited by the current year Auditor: Suby Von Haden & Associates, S.C., whose report is dated February 26, 2009.
3. The distribution of costs on the Financial Status Report were in agreement with the PHA's records.
4. All ROSS Program costs have been paid and the related liabilities have been discharged through payment.
5. There were no budget overruns.

Rockford Housing Authority - AMP Balance Sheet FY08

111	Cash-unrestricted	\$ 1,987,775	\$ 204,182	\$ 262,927	\$ 149,733	\$ 275,159	\$ 190,570	\$ 181,819	\$ -	\$ 406,419	\$ 170,151	\$ 146,616
114	Cash-tenant security deposits	\$ 210,467	\$ 27,529	\$ 13,174	\$ 16,565	\$ 33,143	\$ 26,502	\$ 21,520	\$ -	\$ 29,932	\$ 23,381	\$ 18,121
100	Total Cash	\$ 2,198,243	\$ 231,711	\$ 276,101	\$ 166,298	\$ 308,302	\$ 217,072	\$ 203,339	\$ -	\$ 436,351	\$ 193,532	\$ 164,738
122-010	Accounts receivable - HUD other projects - Operating Subsidy	\$ 482,629	\$ 156,569	\$ 43,732	\$ 14,240	\$ -	\$ 134,335	\$ -	\$ -	\$ 41,389	\$ 92,364	\$ -
122-020	Accounts receivable - HUD other projects - Capital Fund	\$ 354,737	\$ 2,198	\$ 45,927	\$ 47,919	\$ 53,073	\$ 11,678	\$ 43,300	\$ 88,583	\$ 48,850	\$ 1,722	\$ 11,397
125	Account receivable - miscellaneous	\$ 2,361	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,361
126	Accounts receivable - tenants	\$ 57,306	\$ 17,022	\$ 5,160	\$ 13,852	\$ 2,473	\$ 5,907	\$ 1,066	\$ -	\$ 8,185	\$ 2,544	\$ 1,096
126.1	Allowance for doubtful accounts - tenants	\$ (27,400)	\$ (8,000)	\$ (3,250)	\$ (5,900)	\$ (800)	\$ (2,850)	\$ (1,300)	\$ -	\$ (3,600)	\$ (1,250)	\$ (450)
129	Accrued interest receivable	\$ 4,754	\$ 792	\$ 351	\$ 579	\$ 398	\$ 499	\$ 247	\$ 293	\$ 576	\$ 620	\$ 198
120	Total receivables, net of allowance for doubtful accounts	\$ 874,306	\$ 168,582	\$ 92,120	\$ 70,690	\$ 55,144	\$ 149,569	\$ 43,403	\$ 88,876	\$ 95,400	\$ 96,001	\$ 14,602
131	Investments - unrestricted	\$ 109,137	\$ 16,378	\$ 65,993	\$ 26,766	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
132	Investments - restricted	\$ 20,901	\$ -	\$ -	\$ -	\$ -	\$ 11,531	\$ -	\$ -	\$ 3,558	\$ 5,792	\$ -
142	Prepaid expenses and other assets	\$ 125,774	\$ 19,410	\$ 13,412	\$ 15,065	\$ 15,315	\$ 13,191	\$ 7,474	\$ 3,214	\$ 17,792	\$ 14,130	\$ 6,822
144	Inter program - due from	\$ 1,804	\$ 100	\$ 61	\$ 21	\$ 1,000	\$ -	\$ -	\$ -	\$ 302	\$ 192	\$ 129
150	Total Current Assets	\$ 3,330,245	\$ 436,180	\$ 448,286	\$ 278,840	\$ 379,761	\$ 391,382	\$ 254,166	\$ 92,090	\$ 583,402	\$ 309,647	\$ 186,491
160	Total capital assets, net of accumulated depreciation	\$ 28,925,656	\$ 1,399,330	\$ 2,937,250	\$ 4,658,814	\$ 5,265,845	\$ 2,710,810	\$ 2,155,203	\$ 171,747	\$ 5,455,753	\$ 2,229,412	\$ 1,941,493
174	Other assets	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
180	Total Non-current Assets	\$ 28,925,656	\$ 1,399,330	\$ 2,937,250	\$ 4,658,814	\$ 5,265,845	\$ 2,710,810	\$ 2,155,203	\$ 171,747	\$ 5,455,753	\$ 2,229,412	\$ 1,941,493
190	Total Assets	\$ 32,255,901	\$ 1,835,510	\$ 3,385,536	\$ 4,937,655	\$ 5,645,695	\$ 3,102,192	\$ 2,409,369	\$ 263,837	\$ 6,009,155	\$ 2,539,059	\$ 2,127,983
312	Accounts payable <= 90 days	\$ 563,560	\$ 45,278	\$ 55,141	\$ 61,693	\$ 79,169	\$ 33,002	\$ 36,325	\$ 81,120	\$ 103,535	\$ 20,380	\$ 27,719
321	Accrued wage/payroll taxes payable	\$ 68,096	\$ 6,745	\$ 27,746	\$ 4,067	\$ 6,350	\$ 4,538	\$ 3,423	\$ -	\$ 6,971	\$ 5,315	\$ 2,940
322	Accrued compensated absences - current portion	\$ 17,846	\$ 3,597	\$ 1,951	\$ 3,405	\$ 2,341	\$ 1,291	\$ 619	\$ -	\$ 2,600	\$ 1,903	\$ 138
333	Accounts payable - other government	\$ 45,869	\$ -	\$ 7,530	\$ 8,100	\$ 11,187	\$ -	\$ 10,410	\$ -	\$ -	\$ -	\$ 8,643
341	Tenant security deposits	\$ 206,132	\$ 26,961	\$ 13,477	\$ 16,225	\$ 32,472	\$ 25,988	\$ 21,083	\$ -	\$ 29,256	\$ 22,900	\$ 17,769
342-010	Deferred revenue - Operating Subsidy	\$ 42,827	\$ -	\$ -	\$ 8,008	\$ -	\$ 6,827	\$ -	\$ -	\$ -	\$ -	\$ 27,992
345	Other current liabilities	\$ 182,944	\$ 13,490	\$ 78,919	\$ 27,574	\$ 9,533	\$ 26,855	\$ 1,091	\$ 659	\$ 13,526	\$ 10,498	\$ 800
347	Inter program - due to	\$ 8,308	\$ -	\$ -	\$ -	\$ -	\$ 835	\$ 9	\$ 7,463	\$ -	\$ -	\$ -
310	Total Current Liabilities	\$ 1,135,592	\$ 96,072	\$ 184,764	\$ 121,063	\$ 149,659	\$ 97,510	\$ 99,787	\$ 89,242	\$ 155,888	\$ 61,197	\$ 86,990
353	Non-current liabilities - other	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
354	Accrued compensated absences - Non-current	\$ 160,613	\$ 32,376	\$ 17,558	\$ 30,647	\$ 21,066	\$ 11,622	\$ 5,574	\$ -	\$ 23,400	\$ 17,130	\$ 1,240
350	Total Non-Current Liabilities	\$ 160,613	\$ 32,376	\$ 17,558	\$ 30,647	\$ 21,066	\$ 11,622	\$ 5,574	\$ -	\$ 23,400	\$ 17,130	\$ 1,240
300	Total Liabilities	\$ 1,296,195	\$ 128,447	\$ 202,322	\$ 151,710	\$ 170,725	\$ 104,131	\$ 105,361	\$ 89,242	\$ 179,288	\$ 78,327	\$ 87,239
508.1	Invested in capital assets, net of related debt	\$ 28,925,657	\$ 1,399,331	\$ 2,937,252	\$ 4,658,813	\$ 5,265,844	\$ 2,710,809	\$ 2,155,203	\$ 171,747	\$ 5,455,753	\$ 2,229,412	\$ 1,941,492
511.1	Restricted Net Assets	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
512.1	Unrestricted Net Assets	\$ 2,034,049	\$ 307,732	\$ 245,962	\$ 127,131	\$ 209,635	\$ 287,252	\$ 148,805	\$ 2,848	\$ 374,113	\$ 231,320	\$ 99,251
513	Total Equity/Net Assets	\$ 90,939,706	\$ 1,707,063	\$ 3,183,214	\$ 4,785,944	\$ 5,475,480	\$ 2,998,061	\$ 2,304,008	\$ 174,594	\$ 5,829,866	\$ 2,460,732	\$ 2,040,744
600	Total Liabilities and Equity/Net assets	\$ 32,255,901	\$ 1,835,510	\$ 3,385,536	\$ 4,937,655	\$ 5,645,695	\$ 3,102,192	\$ 2,409,369	\$ 263,837	\$ 6,009,155	\$ 2,539,059	\$ 2,127,983

Rockford Housing Authority - AMP Income Statement FY 08

70300	Net tenant rental revenue	\$ 2,134,516	\$ 70,549	\$ 240,493	\$ 247,037	\$ 503,496	\$ 64,371	\$ 358,094	\$ (51)	\$ 308,916	\$ 56,024	\$ 285,586
70400	Tenant revenue - other	\$ 138,038	\$ 36,447	\$ 15,854	\$ 8,081	\$ 21,573	\$ 6,423	\$ 14,770	\$ 214	\$ 14,770	\$ 20,199	\$ 3,241
70500	Total Tenant Revenue	\$ 2,272,553	\$ 106,996	\$ 251,729	\$ 262,891	\$ 511,577	\$ 85,943	\$ 364,518	\$ 163	\$ 323,686	\$ 76,223	\$ 288,827
70600	HUD PHA operating grants	\$ 9,551,277	\$ 1,378,091	\$ 759,723	\$ 816,940	\$ 1,085,024	\$ 1,143,109	\$ 538,923	\$ 850,300	\$ 1,508,338	\$ 1,053,095	\$ 419,783
70610	Capital grants	\$ 791,634	\$ 14,219	\$ 48,956	\$ 60,968	\$ 423,464	\$ 38,897	\$ 49,838	\$ 43,868	\$ 88,287	\$ 10,221	\$ 12,916
71100	Investment income - unrestricted	\$ 69,616	\$ 11,606	\$ 8,064	\$ 8,478	\$ 5,822	\$ 7,310	\$ 3,617	\$ 4,296	\$ 8,441	\$ 9,081	\$ 2,901
71400	Fraud recovery	\$ 19,616	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 19,616	\$ -	\$ -
71500	Other revenue	\$ 89,248	\$ 1,790	\$ 5,847	\$ 1,599	\$ 37,569	\$ 13,758	\$ 6,753	\$ 489	\$ -	\$ 15,504	\$ 5,940
71600	Gain or loss on sale of capital assets	\$ (147,616)	\$ 756	\$ 237	\$ 673	\$ 1,237	\$ 705	\$ 817	\$ (154,879)	\$ 1,560	\$ 618	\$ 660
70000	Total Revenue	\$ 12,646,278	\$ 1,513,459	\$ 1,074,558	\$ 1,151,450	\$ 2,062,692	\$ 1,289,722	\$ 964,466	\$ 744,238	\$ 1,949,927	\$ 1,164,742	\$ 731,028
91100	Administrative salaries	\$ 718,351	\$ 87,450	\$ 64,179	\$ 87,052	\$ 86,618	\$ 86,184	\$ 53,508	\$ -	\$ 127,500	\$ 82,030	\$ 43,830
91200	Auditing fees	\$ 17,903	\$ 1,313	\$ 1,375	\$ 2,527	\$ 1,750	\$ 1,670	\$ 750	\$ 3,732	\$ 1,563	\$ 1,348	\$ -
91300	Management Fee	\$ 1,459,582	\$ 160,835	\$ 116,367	\$ 122,489	\$ 152,662	\$ 146,062	\$ 55,374	\$ 238,417	\$ 138,966	\$ 114,832	\$ -
91310	Book-keeping Fee	\$ 148,350	\$ 17,213	\$ 12,563	\$ 13,238	\$ 22,763	\$ 16,403	\$ 15,713	\$ -	\$ 23,190	\$ 15,008	\$ 12,263
91400	Advertising and Marketing	\$ 3,302	\$ 194	\$ 354	\$ 360	\$ 697	\$ 133	\$ 201	\$ -	\$ 395	\$ 298	\$ 666
91500	Employee benefit contributions - administrative	\$ 301,932	\$ 41,517	\$ 25,171	\$ 34,384	\$ 43,116	\$ 36,503	\$ 18,215	\$ -	\$ 52,619	\$ 37,355	\$ 13,051
91600	Office Expenses	\$ 162,532	\$ 17,679	\$ 18,991	\$ 20,205	\$ 27,971	\$ 18,870	\$ 11,756	\$ 1	\$ 24,562	\$ 12,761	\$ 9,738
91700	Legal Expense	\$ 45,565	\$ 12,855	\$ 1,740	\$ 1,395	\$ 845	\$ 6,508	\$ 5,215	\$ 9,718	\$ -	\$ 4,605	\$ 2,685
91800	Travel	\$ 1,662	\$ 160	\$ 167	\$ 22	\$ 897	\$ 10	\$ 69	\$ -	\$ -	\$ 270	\$ 66
91900	Other	\$ 622,460	\$ 41,962	\$ 61,116	\$ 63,543	\$ 116,010	\$ 36,626	\$ 73,628	\$ -	\$ 145,497	\$ 34,139	\$ 49,934
91000	Total Operating-Administrative	\$ 3,481,639	\$ 381,739	\$ 301,959	\$ 344,063	\$ 515,021	\$ 355,649	\$ 326,037	\$ 56,135	\$ 625,630	\$ 376,994	\$ 248,412
92000	Asset Management Fee	\$ 230,520	\$ 25,200	\$ 17,640	\$ 18,480	\$ 33,960	\$ 23,520	\$ 22,440	\$ -	\$ 50,160	\$ 21,000	\$ 18,120
92100	Tenant services - salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
92200	Relocation Costs	\$ 25,915	\$ -	\$ 913	\$ -	\$ -	\$ -	\$ 25,002	\$ -	\$ -	\$ -	\$ -
92300	Employee benefit contributions - tenant services	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
92400	Tenant services - other	\$ 88,635	\$ 5,205	\$ 7,983	\$ -	\$ 18,279	\$ 4,909	\$ 12,082	\$ -	\$ 24,854	\$ 5,634	\$ 9,689
92500	Total Tenant Services	\$ 114,550	\$ 5,208	\$ 8,896	\$ -	\$ 18,279	\$ 4,909	\$ 12,082	\$ 25,002	\$ 24,854	\$ 5,634	\$ 9,689
93100	Water	\$ 321,320	\$ 74,377	\$ 47,283	\$ 49,890	\$ 24,096	\$ 43,277	\$ 19,633	\$ (33)	\$ 28,372	\$ 23,464	\$ 10,959
93200	Electricity	\$ 410,974	\$ 22,556	\$ 6,189	\$ 2,315	\$ 148,610	\$ 34,516	\$ 38,171	\$ 2,812	\$ 92,266	\$ 26,698	\$ 36,842
93300	Gas	\$ 466,906	\$ 18,382	\$ 6,155	\$ 2,261	\$ 83,108	\$ 36,577	\$ 76,383	\$ (797)	\$ 177,273	\$ 13,196	\$ 54,168
93600	Sewer	\$ 272,156	\$ 58,026	\$ 30,273	\$ 30,566	\$ 23,951	\$ 50,934	\$ 15,508	\$ 93	\$ 27,790	\$ 24,255	\$ 10,760
93000	Total Utilities	\$ 1,471,356	\$ 173,340	\$ 89,901	\$ 85,032	\$ 279,766	\$ 165,304	\$ 149,895	\$ 2,075	\$ 325,701	\$ 87,613	\$ 112,730
94100	Ordinary maintenance and operations - labor	\$ 1,373,424	\$ 223,464	\$ 118,450	\$ 154,852	\$ 220,703	\$ 149,046	\$ 81,873	\$ 528	\$ 209,038	\$ 149,774	\$ 65,696
94200	Ordinary maintenance and operations - materials and other	\$ 412,243	\$ 81,572	\$ 41,373	\$ 35,848	\$ 42,245	\$ 55,371	\$ 20,137	\$ 60	\$ 46,778	\$ 70,225	\$ 18,634
94300-010	Garbage and Trash Removal Contracts	\$ 151,988	\$ 14,976	\$ 19,627	\$ 20,319	\$ 12,850	\$ 32,160	\$ 5,736	\$ -	\$ 12,000	\$ 30,120	\$ 4,200
94300-020	Heating & Cooling Contracts	\$ 35,622	\$ -	\$ 9,821	\$ 5,463	\$ 5,141	\$ 2,265	\$ 5,943	\$ (320)	\$ -	\$ 494	\$ 6,815
94300-030	Snow Removal Contracts	\$ 17,568	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,383	\$ -	\$ -	\$ -	\$ 10,185
94300-040	Elevator Maintenance Contracts	\$ 60,539	\$ -	\$ -	\$ -	\$ 17,480	\$ -	\$ 10,596	\$ -	\$ 21,858	\$ -	\$ 10,605
94300-050	Landscape & Grounds Contracts	\$ 152,123	\$ 19,407	\$ 7,565	\$ 10,483	\$ 24,789	\$ 25,024	\$ 4,474	\$ 675	\$ 12,018	\$ 37,203	\$ 10,485
94300-060	Unit Turnaround Contracts	\$ 890,460	\$ 228,570	\$ 158,919	\$ 78,073	\$ 50,506	\$ 92,464	\$ 36,987	\$ -	\$ 47,544	\$ 172,990	\$ 24,406
94300-070	Electrical Contracts	\$ 13,087	\$ 347	\$ 1,594	\$ 956	\$ 2,234	\$ 1,071	\$ 813	\$ -	\$ 2,108	\$ 2,659	\$ 1,306
94300-080	Plumbing Contracts	\$ 203,653	\$ 20,188	\$ 37,201	\$ 27,122	\$ 19,436	\$ 25,137	\$ 16,014	\$ -	\$ 20,564	\$ 24,723	\$ 13,269
94300-090	Extermination Contracts	\$ 78,847	\$ 13,551	\$ 2,320	\$ 2,340	\$ 9,774	\$ 12,588	\$ 6,504	\$ -	\$ 14,544	\$ 11,982	\$ 5,244
94300-100	Janitorial Contracts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
94300-110	Routine Maintenance Contracts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
94300-120	Misc Contracts	\$ 144,371	\$ 25,556	\$ 17,738	\$ 15,007	\$ 12,381	\$ 26,210	\$ 5,177	\$ -	\$ 18,004	\$ 8,273	\$ 16,025
94300	Ordinary Maintenance and Operations Contracts	\$ 1,748,257	\$ 332,595	\$ 159,762	\$ 154,591	\$ 216,919	\$ 99,628	\$ 675	\$ 148,320	\$ 288,443	\$ 107,540	\$ 107,540
94500	Employee benefit contribution - ordinary maintenance	\$ 512,929	\$ 84,332	\$ 50,336	\$ 69,536	\$ 78,466	\$ 46,801	\$ 26,170	\$ 116	\$ 82,019	\$ 45,883	\$ 26,570
94000	Total Maintenance	\$ 4,046,853	\$ 711,963	\$ 467,645	\$ 419,998	\$ 696,885	\$ 468,136	\$ 227,809	\$ 1,378	\$ 486,155	\$ 554,324	\$ 213,440
95100	Protective services - labor	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
95200	Protective services - other contract costs	\$ 618,208	\$ 146,879	\$ -	\$ -	\$ 32,979	\$ 105,957	\$ 24,060	\$ 767	\$ 197,882	\$ 94,262	\$ 15,473
95300	Protective services - other	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Rockford Housing Authority - AMP Income Statement FY08

95100	Total Protective Services	\$ 618,208	\$ 146,829	\$ -	\$ -	\$ 32,979	\$ 106,987	\$ 24,060	\$ 767	\$ 197,882	\$ 94,262	\$ 15,473
96110	Property insurance	\$ 205,486	\$ 33,820	\$ 24,131	\$ 25,372	\$ 15,369	\$ 20,765	\$ 10,251	\$ 15,657	\$ 25,259	\$ 26,740	\$ 8,122
96120	Liability insurance	\$ 65,644	\$ 9,354	\$ 6,917	\$ 6,968	\$ 9,429	\$ 7,206	\$ 4,337	\$ -	\$ 10,554	\$ 6,656	\$ 4,112
96130	Workmen's Compensation	\$ 200,698	\$ 30,953	\$ 17,906	\$ 23,220	\$ 30,943	\$ 20,527	\$ 12,850	\$ -	\$ 30,830	\$ 20,592	\$ 12,875
96140	All other insurance	\$ 13,288	\$ 1,028	\$ 2,281	\$ 2,281	\$ 1,710	\$ 1,636	\$ 810	\$ -	\$ 1,342	\$ 962	\$ 859
96100	Total Insurance Premiums	\$ 485,115	\$ 75,155	\$ 51,615	\$ 57,840	\$ 57,454	\$ 50,224	\$ 28,269	\$ 15,657	\$ 67,985	\$ 54,950	\$ 25,968
96200	Other general expenses	\$ 5,267	\$ 37	\$ (33)	\$ 4,394	\$ -	\$ 869	\$ -	\$ -	\$ -	\$ -	\$ -
96300	Payments in lieu of taxes	\$ 33,158	\$ (5,140)	\$ 7,530	\$ 8,100	\$ 11,187	\$ (5,047)	\$ 10,410	\$ (106)	\$ (839)	\$ (1,579)	\$ 8,643
96400	Bad debt - tenant rents	\$ 84,087	\$ 19,811	\$ 7,085	\$ 10,347	\$ 3,387	\$ 11,742	\$ 2,637	\$ (1,105)	\$ 21,294	\$ 8,687	\$ 3
96800	Severance expense	\$ 93,008	\$ -	\$ 50,424	\$ -	\$ -	\$ 432	\$ 12,512	\$ -	\$ -	\$ 1,297	\$ 28,342
96000	Total Other General Expenses	\$ 215,521	\$ 14,708	\$ 65,006	\$ 22,841	\$ 14,713	\$ 7,997	\$ 25,560	\$ (1,212)	\$ 20,455	\$ 8,404	\$ 36,988
96900	Total Operating Expenses	\$ 10,663,761	\$ 1,534,139	\$ 1,007,662	\$ 948,254	\$ 1,448,236	\$ 1,181,695	\$ 816,151	\$ 99,803	\$ 1,798,822	\$ 1,153,181	\$ 680,220
97000	Excess Revenue Over Operating Expenses	\$ 1,982,517	\$ (20,680)	\$ 71,894	\$ 203,196	\$ 614,456	\$ 1,088,027	\$ 1,488,315	\$ 644,435	\$ 151,105	\$ 11,561	\$ 50,207
97100	Extraordinary maintenance	\$ 678,779	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 678,779	\$ -	\$ -	\$ -
97200	Casualty losses- Non-capitalized	\$ 16,700	\$ (2,781)	\$ 3,281	\$ 486	\$ -	\$ 8,215	\$ 5,000	\$ -	\$ -	\$ -	\$ 2,500
97400	Depreciation expense	\$ 3,142,453	\$ 171,689	\$ 181,215	\$ 251,643	\$ 614,254	\$ 387,626	\$ 169,167	\$ -	\$ 943,547	\$ 308,139	\$ 115,173
99000	Total Expenses	\$ 14,301,694	\$ 1,703,046	\$ 1,187,158	\$ 1,200,382	\$ 2,062,490	\$ 1,577,535	\$ 990,318	\$ 778,582	\$ 2,742,369	\$ 1,461,320	\$ 798,493
10010	Operating transfer in	\$ 1,203,995	\$ 191,796	\$ 37,247	\$ 126,211	\$ 320,527	\$ 219,673	\$ 43,810	\$ -	\$ 100,733	\$ 135,443	\$ 28,554
10020	Operating transfer out	\$ (1,203,995)	\$ (191,796)	\$ (37,247)	\$ (126,211)	\$ (320,527)	\$ (219,673)	\$ (43,810)	\$ -	\$ (100,733)	\$ (135,443)	\$ (28,554)
10100	Total other financing sources (uses)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10000	Excess (Deficiency) of Revenue Over (Under) Expenses	\$ (1,855,416)	\$ (189,567)	\$ (112,603)	\$ (48,933)	\$ 202	\$ (287,814)	\$ (25,852)	\$ (34,344)	\$ (792,442)	\$ (296,578)	\$ (67,466)
11030	Beginning equity	\$ 33,680,081	\$ 1,884,982	\$ 3,640,613	\$ 5,183,142	\$ 5,482,744	\$ 3,290,886	\$ 2,329,542	\$ 208,939	\$ 6,592,420	\$ 2,758,604	\$ 2,108,209
11040-010	Prior period adjustments and correction of errors - Editable	\$ (664,959)	\$ 11,668	\$ (344,796)	\$ (348,265)	\$ (7,466)	\$ (5,012)	\$ 318	\$ -	\$ 29,889	\$ (1,295)	\$ -
11040-10	Equity Transfers	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
11040	Prior period adjustments, equity transfers, & correction of errors	\$ (664,959)	\$ 11,668	\$ (344,796)	\$ (348,265)	\$ (7,466)	\$ (5,012)	\$ 318	\$ -	\$ 29,889	\$ (1,295)	\$ -

Rockford Housing Authority Total PHA Balance Sheet FY08

Cash-unrestricted	\$ 1,987,775	\$ 3,346,088	\$ 183,933	\$ 5,754	\$ 3,544	\$ -	\$ -	\$ 844,856	\$ -	\$ 6,371,951
Cash-restricted-modernization and development	-	-	-	-	-	-	-	-	-	-
Cash-restricted	-	-	-	-	-	-	-	-	-	-
Cash-tenant security deposits	\$ 210,467	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 210,467
Cash - Restricted for payment of current liability	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total Cash	\$ 2,198,242	\$ 3,346,088	\$ 183,933	\$ 5,754	\$ 3,544	\$ -	\$ -	\$ 844,856	\$ -	\$ 6,802,418
Accounts receivable - PHA projects	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Accounts receivable - HUD other projects - Operating Subsidy	\$ 482,629	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 482,629
Accounts receivable - HUD other projects - Capital fund	\$ 354,737	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,955	\$ -	\$ 356,692
Accounts receivable - HUD other projects - Other	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Accounts receivable - HUD other projects	\$ 837,366	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,955	\$ -	\$ 839,321
Accounts receivable - other government	\$ -	\$ -	\$ 1,414	\$ -	\$ 2,150	\$ 19,427	\$ -	\$ 5,338	\$ 13,974	\$ 27,303
Accounts receivable - miscellaneous	\$ 2,361	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,361
Accounts receivable - tenants	\$ 57,306	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 57,306
(27,400)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (27,400)
Allowance for doubtful accounts - other	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Allowance for doubtful accounts - Current	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Notes, Loans, & Mortgages Receivable - Current	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Fraud recovery	\$ -	\$ 26,088	\$ 13,584	\$ 1,643	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 41,315
Allowance for doubtful accounts - fund	\$ -	\$ (10,419)	\$ (10,607)	\$ (856)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (21,882)
Accrued interest receivable	\$ 4,754	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,754
Total receivables, net of allowance for doubtful accounts	\$ 874,306	\$ 16,143	\$ 4,391	\$ 787	\$ 2,150	\$ 19,427	\$ -	\$ 5,338	\$ 15,930	\$ 940,208
Investments - unrestricted	\$ 109,137	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 109,137
Investments - restricted	\$ 20,901	\$ 185,254	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 206,155
Investments - Restricted for payment of current liability	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Prepaid expenses and other assets	\$ 125,774	\$ 5,300	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 25,037	\$ -	\$ 156,111
Inventories	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Allowance for obsolete inventories	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Inter program - due from	\$ 1,804	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,804
Assets held for sale	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total Current Assets	\$ 3,230,245	\$ 3,552,796	\$ 188,324	\$ 6,542	\$ 5,694	\$ 19,427	\$ -	\$ 5,338	\$ 1,033,192	\$ 7,994,409
Land	\$ 6,435,360	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,435,360
Buildings	\$ 78,121,720	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,144,696	\$ -	\$ 79,266,416
Furniture, equipment and machinery - dwellings	\$ 1,043,508	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,043,508
Furniture, equipment and machinery - administration	\$ 826,342	\$ 31,947	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,416,399	\$ -	\$ 2,274,688
Leasehold improvements	\$ 7,684,734	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 66,092	\$ -	\$ 7,750,826
Accumulated depreciation	\$ (68,409,927)	\$ (31,947)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,968,029)	\$ -	\$ (70,409,903)
Construction in progress	\$ 3,223,919	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 415,788	\$ -	\$ 3,639,707
Infrastructure	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total capital assets, net of accumulated depreciation	\$ 28,925,656	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,671,966	\$ -	\$ 30,597,622
Notes, Loans, & mortgages receivable - Non-current	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 454,108	\$ -	\$ 454,108
Total Non-current Assets	\$ 28,925,656	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,529,654	\$ -	\$ 30,454,710
Total Assets	\$ 32,255,901	\$ 3,552,796	\$ 188,324	\$ 6,542	\$ 5,694	\$ 19,427	\$ -	\$ 5,338	\$ 2,562,847	\$ 38,449,119

SINGLE AUDIT REPORTS



**Report on Internal Control Over Financial Reporting and on Compliance and
Other Matters Based on an Audit of Financial Statements Performed
In Accordance with Government Auditing Standards**

Board of Commissioners
Rockford Housing Authority
Rockford, Illinois

U.S. Department of Housing and Urban Development
Illinois State Office
Metcalf Federal Building
77 West Jackson Blvd. – Room 2401
Chicago, Illinois 60604-3507

We have audited the financial statements of the governmental and business-type activities, of the Rockford Housing Authority (Authority) as of and for the year ended September 30, 2008, and have issued our report thereon dated February 26, 2009. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control over Financial Reporting

In planning and performing our audit, we considered the Authority's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control over financial reporting.

A control deficiency exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect misstatements on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the Authority's ability to initiate, authorize, record, process, or report financial data reliably in accordance with generally accepted accounting principals such that there is more than a remote likelihood that a misstatement of the Authority's financial statements that is more than inconsequential will not be prevented or detected by the Authority's internal control.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that a material misstatement of the financial statements will not be prevented or detected by the Authority's internal control.

Our consideration of the internal control over financial reporting was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control over financial reporting that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Authority's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of the Board of Commissioners, management, others within the organization and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Suby, Von Haden & Associates, S.C.
Rockford, Illinois

February 26, 2009

Suby, Von Haden & Associates, S.C.



**Report on Compliance with Requirements Applicable to Each
Major Program and on Internal Control Over Compliance in
Accordance with OMB Circular A-133**

Board of Commissioners
Rockford Housing Authority
Rockford, Illinois

U.S. Department of Housing and Urban Development
Illinois State Office
Metcalfe Federal Building
77 West Jackson Blvd. - 2401
Chicago, Illinois 60604-3507

Compliance

We have audited the compliance of the Rockford Housing Authority (Authority) with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended September 30, 2008. The Authority's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the Authority's management. Our responsibility is to express an opinion on the Authority's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States; and OMB Circular A-133, Audits of States, Local Governments and Non-Profit Organizations. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Authority's compliance with those requirements and performing such other procedures, as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the Authority's compliance with those requirements.

In our opinion, the Authority complied in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended September 30, 2008.

Internal Control over Compliance

The management of the Authority is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered the Authority's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion of the effectiveness of the Authority's internal control over compliance.

A *control deficiency* in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect noncompliance with a type of compliance requirement of a federal program on a timely basis. A *significant deficiency* is a control deficiency, or combination of control deficiencies, that adversely affects the entity's ability to administer a federal program such that there is more than a remote likelihood that noncompliance with a type of compliance with a requirement of a federal program that is more than inconsequential will not be prevented or detected by the entity's internal control.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that material noncompliance with a type of compliance requirement of a federal program will not be prevented or detected by the entity's internal control.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

This report is intended solely for the information and use of the Board of Commissioners, management, others within the organization and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Suby, Von Haden & Associates, S.C.
Rockford, Illinois

February 26, 2009

Suby, Von Haden & Associates, S.C.

FEDERAL AWARD
FINDINGS AND QUESTIONED COSTS

**THE ROCKFORD HOUSING AUTHORITY
 FEDERAL AWARD FINDINGS AND QUESTIONED COSTS
 FOR THE YEAR ENDED SEPTEMBER 30, 2008**

SECTION I – SUMMARY OF AUDITOR'S RESULTS

Financial Statements

The type of report issued: Unqualified

Internal control over financial reporting:

Material weakness(es) identified?	Yes	X	No
Significant deficiency(ies) identified not considered to be material weaknesses?	Yes	X	None reported
Noncompliance material to financial statements noted?	Yes	X	None reported

Federal Awards

Internal control over major programs:

Material weakness(es) identified?	Yes	X	No
Significant deficiency(ies) identified not considered to be material weaknesses?	Yes	X	None reported

Type of auditors' report issued on compliance for major programs: Unqualified

Any audit findings disclosed that are required to be reported in accordance with Circular A-133, Section .510(a)?	Yes	X	No
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Identification of major program:

U.S. Department of Housing and Urban Development

CFDA Number	Name of Federal Program
14.850	Low Income Housing Assistance Program
14.872	Capital Fund Programs
14.871	Section 8 - Housing Choice Voucher Program
14.856	Section 8 – Moderate Rehabilitation Program

Dollar threshold used to distinguish between Type A and Type B programs: \$ 562,006

Auditee qualified as low-risk auditee?	X	Yes	No
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**THE ROCKFORD HOUSING AUTHORITY
FEDERAL AWARD FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED SEPTEMBER 30, 2008**

SECTION II – FINANCIAL STATEMENT FINDINGS AND QUESTIONED COSTS

There were no reportable findings for the fiscal year ended September 30, 2008.

SECTION III – FEDERAL AWARDS FINDINGS AND QUESTIONED COSTS

There were no reportable findings for the fiscal year ended September 30, 2008.

**THE ROCKFORD HOUSING AUTHORITY
STATUS OF PRIOR YEAR FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED SEPTEMBER 30, 2008**

There were no reportable findings for the fiscal year ended September 30, 2007 as reported by the predecessor auditor, Velma Butler & Company, Ltd., in their reports dated January 31, 2008.

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the x 5-Year and/or x Annual PHA Plan for the PHA fiscal year beginning **October 1, 2009**, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low- or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.


Rockford Housing Authority
PHA Name

IL 06-P022
PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 2005 - 2009

Annual PHA Plan for Fiscal Years 2009 - 20__

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Ricardo Cervantes	Chairman of the Board of Commissioners
Signature	Date
	6/25/2009

**Certification for
a Drug-Free Workplace**

U.S. Department of Housing
and Urban Development

Applicant Name
Rockford Housing Authority
1000 Washington Street, Rockford, IL 61103

2009 Annual and 5 Year Agency Plan and Capital Fund Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certification and agreement in the Department of Housing and Urban Development (HUD) regarding the specific terms:

I certify that the above named Applicant will or will continue to provide a drug free workplace:

a. Publicly available notice notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees who violate this prohibition.

b. Establishing a reasonable drug-free awareness program for all employees:--

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug program violations occurring in the workplace;

c. Making it a requirement that each employee be required to accept the terms of the program, to be given a copy of the written notice required by paragraph a;

d. Notifying the employee in the statement required by paragraph b that as a condition of employment, the employee will:

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of any violation or conviction for the violation of a criminal drug statute occurring in the workplace in order that the employer may take appropriate action;

e. Notifying the employer in writing within 30 calendar days after receiving notice under subparagraph (2) from an employee or otherwise, notifying action taken with respect to the employee of an alleged employee violation, including notification to any employee who may be directly affected; and to the convicted employee and, if applicable, the employer's union; and the employer shall ensure the employee is made aware of each of the following:

(1) Taking one of the following actions within 30 calendar days of receiving notice under subparagraph (2), with respect to any employee who is so notified:

(i) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended;

(ii) Requiring such employee to participate voluntarily in a drug abuse assessment or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(3) Making a good faith effort to continue to maintain a drug-free workplace during implementation of paragraph (b) (1) (i).

3. Sites for Work Performance: The Applicant shall report to HUD the performance of work sites in accordance with the HUD Contracting Policy Implementation Decision. Each of the following shall mail to the appropriate site, using first and last name, identify each site with its location, date and time and then sign and date by name of the facility:

All sites in St. Charles County, Missouri, IL 61103 through 61104:
 L22 001 (2) Webster Plaza - 338-101-302 L22 002 (3) Oak Ridge - 633 Homer St. IL22 004 Park Terrace - 1000 Chicago Blvd -
 L22 005 H. Main Motor - 305 N. Main St. L22 006 (2) Green View - 514 N. Church IL22 007 Ferguson - 1015 W. Madison
 L22 008 (2) Hampton Court - 505-510 55th St. IL22 009 (2) Jackson Ave - 4124 Hamilton -
 L22 010 (2) Maple Ave - 6110 61st St.
 L22 011 (2) Northwood Blvd. - 1014 E. State St.
 L22 008 - IL22 012 L22 013 - IL22 019 L22 017 L22 018 - IL22 020 L22 020 All Northwood Blvd.

Contracting Policy Implementation Decision on the final and published on the internet site.
 The above information is true and correct to the best of my knowledge and belief, and I am authorized to certify on behalf of the Applicant.
 Warning: HUD will terminate the contract award if the Applicant fails to provide the information required by this contract.

Signature of Applicant: John Greenbaum Acting Executive Director
 Title: Executive Director
 Date: 4/29/2009

**Certification of Payments
to Influence Federal Transactions**

U.S. Department of Housing
and Urban Development
Office of Fair and Equal Housing

Name:

Member Housing Authority

Address: 4000 Parkway Plaza, Suite 200

2009 Arena and 5th West Avenue, Tulsa, Oklahoma 74104

The undersigned certifies to the best of his/her knowledge and belief that:

(1) No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal loan, the entering into of any responsive agreement, or the extension, modification, renewal, modification, or termination of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form 278, Disclosure Form for Reciprocal Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the disclosure of this certification be included in the contract documents for all subcontracts and orders (including subcontracts, orders, and suborders under grants, loans and cooperative agreements) and that all subcontracts shall carry and disclose the same.

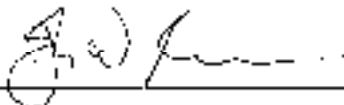
The certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 10122, Title 41, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

This document must be filed in the Federal Register, as well as any identical positive influence agreement for the following: (a) create a warning; (b) use of personal information; (c) disclosure of any other financial interest; (d) disclosure of any other financial interest.

Name of Authorized Signer:

John Casanova

Signature:



Title:

Acting Executive Director

Signature:

06/16/2009

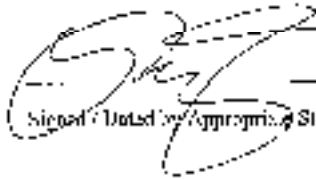
Standard Form 278 (EAS)

Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Regulation 2011

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Larry Morley, of City of Rock Hill, SC, certify that the Five Year and
Annual PHA Plans of the Rock Hill Housing Authority is consistent with the Consolidated Plan of
City of Rock Hill, South Carolina prepared pursuant to 24 CFR Part 91.

 6/3/09
Signed / Dated by (Appropriate State or Local Official)

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 51 U.S.C. 1302
(See reverse for public burden disclosure.)

OMB No. 3145-0045
10-8-2004

<p>1. Type of Federal Action:</p> <p>a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. lease/rental</p>		<p>2. Status of Federal Action:</p> <p>A. a. initial/new action b. initial award c. backward</p>		<p>3. Report Type:</p> <p>a. initial filing b. material change</p> <p>For Material Change Only: year _____ quarter _____ date of last report _____</p>	
<p>4. Name and Address of Reporting Entity:</p> <p><input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Year _____ Month _____</p> <p><i>Rockford Housing Authority</i> <i>223 So. Winnebago St.</i> <i>Rockford, IL 61102</i> Congressional District: <i>4</i></p>			<p>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</p> <p>Congressional District: _____</p>		
<p>6. Federal Department/Agency:</p> <p>HHS</p>			<p>7. Federal Program Name/Description:</p> <p>OTFA Number, if applicable: _____</p>		
<p>8. Federal Action Number, if known:</p>			<p>9. Award Amount, if known:</p> <p>\$ _____</p>		
<p>10. a. Name and Address of Lobbying Registrant: (If multiple, list name, first name, last)</p> <p>None listed</p>			<p>b. Individuals Performing Services (including address if known) (Last name, first name, MI):</p>		
<p>11. Signature _____ Print Name: <i>John Cassano</i> Title: <i>Public Finance Director</i> Telephone No.: <i>(815) 499-3140</i> Date: <i>06/16/09</i></p>					
<p>Federal Use Only:</p>			<p>Authorized for Local Reproduction Standard Form 280-1 Rev. 7-07</p>		