

<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
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<b>1.0</b>	<b>PHA Information</b> PHA Name: <b>Aurora Housing Authority</b> PHA Code: <b>IL06-P090</b> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): 04/01/2009					
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <b>652</b> Number of HCV units: <b>800</b>					
<b>3.0</b>	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only					
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)					
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
					PH	HCV
	PHA 1:					
	PHA 2:					
	PHA 3:					
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.					
<b>5.1</b>	<p><b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:</p> <p style="padding-left: 40px;">It is the mission of the Aurora Housing Authority to promote the original philosophy of public housing as a temporary helping hand by providing housing assistance to those in need with understanding, respect and professionalism.</p> <p style="padding-left: 40px;">The primary focus of our mission is to provide and maintain quality, affordable housing within a safe, stable environment while promoting educational, employment, economic development and self sufficiency opportunities for the residents we serve to enhance and improve their quality of life and provide them with an opportunity to break the cycle of poverty, strive towards upward mobility and independent lifestyles</p>					
<b>5.2</b>	<p><b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <ol style="list-style-type: none"> <li><b>1. PHA Goal: Expand the supply of assisted housing by:</b> <ol style="list-style-type: none"> <li>a. Applying for additional HCV;</li> <li>b. Reducing public housing vacancies;</li> <li>c. Leveraging private or public other public funds to create additional housing opportunities; and</li> <li>d. Develop, acquire or construct units or buildings.</li> </ol> </li> <li><b>2. PHA Goal: Improve the quality of assisted housing units by:</b> <ol style="list-style-type: none"> <li>a. Improving public housing management (PHAS rating);</li> <li>b. Increase customer satisfaction;</li> <li>c. Concentrate on efforts to improve specific management functions including site management and improving appearance;</li> <li>d. Renovate or modernize public housing units;</li> <li>e. Demolish or dispose of obsolete public housing; and</li> <li>f. Provide replacement vouchers</li> </ol> </li> <li><b>3. PHA Goal: Increase assisted housing choices by:</b> <ol style="list-style-type: none"> <li>a. Provide voucher mobility counseling; and</li> <li>b. Conduct outreach efforts to potential voucher landlords.</li> </ol> </li> <li><b>4. PHA Goal: Provide an improved living environment by:</b> <ol style="list-style-type: none"> <li>a. Implement public housing security improvements through lease enforcement, close cooperation with the local police department and implementation of physical security improvements.</li> </ol> </li> <li><b>5. PHA Goal: Ensure equal opportunity and affirmatively further fair housing by:</b> <ol style="list-style-type: none"> <li>a. Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status or disability;</li> <li>b. Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status or disability; and</li> <li>c. Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required.</li> </ol> </li> </ol>					

6.0	<p><b>PHA Plan Update</b></p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:          (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p><b>PHA Annual Plan Elements Revised since last Annual Plan submission:</b></p> <p>a. 2009 Annual Plan (form HUD – 50075);          b. 2009 Updated Annual Statement (form HUD-50075.1); (8.0 Capital Improvements)          c. Updated 5 Year Action Plan – 2009-2013 (form HUD-50075.2) – 8.2 Capital Fund Program Five-Year Action Plan; and          d.. Capital Fund Program IL06-P09-501-06, IL06-P090-501-07, IL06-P090-501-08 Performance and Evaluation Reports.</p> <p><b>The public may view the 2009Annual Plan at the AHA Central Office location, 1630 West Plum Street, Aurora, IL 60506</b></p> <p><b>SEE ATTACHMENT: il090.a01 for related details.</b></p>																																																																																																
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8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing. <b>SEE ATTACHMENT: il090a.01</b></p>																																																																																																
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan <del>SEE ATTACHMENT: il090.a01</del> See Below</p>																																																																																																
8.3	<p><b>Capital Fund Financing Program (CFFP).</b>  <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. N/A</p>																																																																																																
9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <table border="1" data-bbox="284 1083 1455 1724"> <thead> <tr> <th colspan="8">Housing Needs of Families in the Jurisdiction by Family Type</th> </tr> <tr> <th>Family Type</th> <th>Overall</th> <th>Afford-ability</th> <th>Supply</th> <th>Quality</th> <th>Access-ibility</th> <th>Size</th> <th>Loca-tion</th> </tr> </thead> <tbody> <tr> <td>Income &lt;= 30% of AMI</td> <td>1238 94%</td> <td>1238 94%</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Income &gt;30% but &lt;=50% of AMI</td> <td>69 5%</td> <td>69 5%</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Income &gt;50% but &lt;80% of AMI</td> <td>7 1%</td> <td>7 1%</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Elderly</td> <td>9 .68%</td> <td>9 .68%</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Families with Disabilities</td> <td>67 5.10%</td> <td>67 5.10%</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Race/Ethnicity B/AA</td> <td>602 45.81%</td> <td>602 45.81%</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Race/Ethnicity W</td> <td>121 9.21%</td> <td>121 9.21%</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Race/Ethnicity Not Assigned</td> <td>588 44.75%</td> <td>588 44.75%</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Race/Ethnicity Asian</td> <td>2 .15%</td> <td>2 .15%</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Race/Ethnicity American Indian/ Alaska Native</td> <td>1 .08%</td> <td>1 .08%</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Housing Needs of Families in the Jurisdiction by Family Type								Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion	Income <= 30% of AMI	1238 94%	1238 94%						Income >30% but <=50% of AMI	69 5%	69 5%						Income >50% but <80% of AMI	7 1%	7 1%						Elderly	9 .68%	9 .68%						Families with Disabilities	67 5.10%	67 5.10%						Race/Ethnicity B/AA	602 45.81%	602 45.81%						Race/Ethnicity W	121 9.21%	121 9.21%						Race/Ethnicity Not Assigned	588 44.75%	588 44.75%						Race/Ethnicity Asian	2 .15%	2 .15%						Race/Ethnicity American Indian/ Alaska Native	1 .08%	1 .08%					
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**Strategy for Addressing Housing Needs.** Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual**

**(1) Strategies**

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies

**Need: Specific Family Types: Families at or below 30% of median**

9.1

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

- Adopt rent policies to support and encourage work

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Adopt rent policies to support and encourage work

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

**Additional Information.** Describe the following, as well as any additional information HUD has requested.

- (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.
- (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

**Additional Information.** Describe the following, as well as any additional information HUD has requested.

- (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- PHA Goal: Expand the supply of assisted housing  
Objectives:
  - Reduce public housing vacancies: The AHA has strived to reduce vacant unit turnaround time and fill units as quickly as they become available.
- PHA Goal: Improve the quality of assisted housing  
Objectives:
  - Improve public housing management: (PHAS score) **76 in FFY2008**  
**The AHA has worked on improving agency performance in all rating categories as identified annually by PHAS rating.**
- Increase customer satisfaction:  
**The AHA has worked on improving overall resident satisfaction with services provided identified annually by RASS rating**
  - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)  
**The AHA has worked to improve the annual PHAS rating and worked to improve poorly rated identified management functions .**
  - Renovate or modernize public housing units:  
**The AHA has met proposed modernization schedules on an annual basis.**
- PHA Goal: Increase assisted housing choices  
Objectives:
  - Provide voucher mobility counseling:  
**Provide participants with voucher mobility information at time of issuance of a voucher.**
  - Conduct outreach efforts to potential voucher landlords  
**Conduct landlord seminars to inform local landlords about the Section 8 Voucher Program (on an annual basis or as needed to increase landlord participation).**

**10.0 HUD Strategic Goal: Improve community quality of life and economic vitality**

- PHA Goal: Provide an improved living environment  
Objectives:
  - Implement public housing security improvements:  
**Work with AHA staff, residents and the Aurora Police Department to develop and modify plans as needed to address resident security based on crime rate, reported criminal activity, etc. Work very closely with the Aurora Police Department to identify and track crime rates at each site.**

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing  
Objectives:
  - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:  
**The AHA has coordinated equal opportunity affirmative measures with local service providers. The AHA reports any landlords that does not provide Equal Opportunity in Housing for all Americans.**
  - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:  
**The AHA has coordinated equal opportunity affirmative measures with local service providers. The AHA reports any landlords that do not provide Equal Opportunity in Housing for all Americans.**
  - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:  
**The AHA coordinates equal opportunity affirmative measures with local service providers. The AHA reports any landlords that do not provide Equal Opportunity in Housing for all Americans.**

- (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

**Amendments/Modifications to the Plan - PHA Plans** The AHA's basic criteria for the definition of a Significant Amendments and/or Substantial Deviations/ Modifications to the Plan is as follows: Any changes to rent or admissions policies or organization of the waiting list; Any additions of non-emergency work items (items not included in the current Annual Statement or Five-year Action Plan) or change in the use of replacement reserve funds under the Capital Fund; Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities. The AHA may amend or modify its Annual or Five-Year Plan after submitting the plan to HUD. The AHA may modify, amend or change any policy, rule, regulation or other aspect of its plan. If the modification or change is considered a "significant amendment" or "substantial deviation/modification" as defined by the AHA, then the AHA will comply with a number of requirements similar to those required at initial development and submission of the AHA Plan. Any significant amendment or substantial deviation/modification to a PHA Plan is subject to the same requirements as the original PHA Plan (including time frames). The following are the requirements: The PHA must consult with the Resident Advisory Board (RAB); and the PHA must ensure consistency with the Consolidated Plan of the jurisdiction(s) (as defined in 24 CFR 903.15); and The AHA must provide for a review of the amendments/modifications by the public during a 45-day public review period.



This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## **Instructions form HUD-50075**

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### **1.0 PHA Information**

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### **2.0 Inventory**

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### **3.0 Submission Type**

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### **4.0 PHA Consortia**

Check box if submitting a Joint PHA Plan and complete the table.

### **5.0 Five-Year Plan**

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

#### **PHA Plan Elements.** (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

- (a) **Hope VI or Mixed Finance Modernization or Development.** **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)  
**Note:** This statement must be submitted to the extent that **approved and/or pending** demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

**8.2 Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.



## 6.0 PHA Plan Update

This section is intended to clarify which Plan Elements have been revised or changed since the last Annual Plan was submitted to HUD.

**All of the parts of the PHA Plan are readily available to the public to view at the Central Office of the Aurora Housing Authority.**

**1. PHA Policies Governing Eligibility, Selection, and Admissions including Deconcentration and Waiting List Procedures** [24 CFR Part 903.7 9 (c)]

**No revisions or changes since the last Annual Plan was submitted to HUD.**

**2. Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2009 grants)</b>		
a) Public Housing Operating Fund AMP1	1,856,155	
b) Public Housing Operating Fund AMP2	951,455	
c) Public Housing Capital Fund	1,108,178	
d) HOPE VI Revitalization	0	
e) HOPE VI Demolition	0	
f) Annual Contributions for Section 8 Tenant-Based Assistance	4,904,712	
g) Public Housing Drug Elimination Program (including any Technical Assistance funds)	0	
h) Resident Opportunity and Self-Sufficiency Grants	0	
i) Community Development Block Grant	0	
j) HOME	0	
Other Federal Grants (list below)	0	
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
CF 501-07 (9-30-08)	642,829.03	

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
CF501-08 (9-30-08)	877,361.00	
<b>3. Public Housing Dwelling Rental Income</b>		
<b>AMP 1</b>	441,156	
<b>AMP2</b>	543,844	
<b>4. Other income (list below)</b>		
	0	
<b>4. Non-federal sources (list below)</b>		
	0	
<b>Total resources</b>	11,325,690.03	

**3. PHA Rent Determination Policies** [24 CFR Part 903.7 9 (d)]

**No revisions or changes since the last Annual Plan was submitted to HUD.**

**4. Operations and Management** [24 CFR Part 903.7 9 (e)]

**No revisions or changes since the last Annual Plan was submitted to HUD.**

**5. PHA Grievance Procedures** [24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

**A. Public Housing**

1.  Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- PHA main administrative office
  - PHA development management offices
  - Other (list below)

**B. Section 8 Tenant-Based Assistance**

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-

based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office  
 Other (list below)  
Resident Property Managers Office

**6. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities** 24 CFR Part 903.7 9 (i)]

**No revisions or changes since the last Annual Plan was submitted to HUD.**

**7. PHA Community Service and Self-sufficiency Programs** 24 CFR Part 903.7 9 (l)]

**No revisions or changes since the last Annual Plan was submitted to HUD.**

**8. PHA Safety and Crime Prevention Measures** [24 CFR Part 903.7 9 (m)]

**No revisions or changes since the last Annual Plan was submitted to HUD.**

**9. RESERVED FOR PET POLICY** [24 CFR Part 903.7 9 (n)]

**No revisions or changes since the last Annual Plan was submitted to HUD.**

**10. Civil Rights Certifications** [24 CFR Part 903.7 9 (o)]

**No revisions or changes since the last Annual Plan was submitted to HUD.**

**11. Fiscal Year Audit** [24 CFR Part 903.7 9 (p)]

The FY 2008 IPA Audit for the Aurora Housing Authority has previously been submitted to HUD. HUD response detailed below.

The Illinois State Office of the Department of Housing and Urban Development (HUD) has reviewed the corrective action plan that addresses three (3) findings and recommendations from the FY 2008 IPA Audit. We concur with the corrective actions your PHA has initiated and completed to correct the deficiencies to correct the deficiencies and therefore determine that these findings are now closed.

Sincerely,

Steven E. Meiss, Director  
Illinois State Office of Public Housing  
Region V

## **12. PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

### **A. PHA Coordination with the Welfare (TANF) Agency**

1. Cooperative agreements:

Yes  No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? **06-14-2002**

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

### **B. Services and programs offered to residents and participants**

#### **(1) General**

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
None				

**(2) Family Self Sufficiency program/s**

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2005 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	None	None
Section 8	<b>34</b>	<b>09/30/2008 - 18 16 Participants Graduated</b>

**b. The AHA is maintaining the minimum program size required by HUD.**

b.  Yes  No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

**C. Welfare Benefit Reductions**

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

### **13. Certification Of Domestic Violence, Dating Or Stalking**

Public reporting burden for this collection of information is estimated to average 1 hour per response. This includes the time for collecting, reviewing, and reporting the data. Information provided is to be used by PHAs and Section 8 owners or managers to request a tenant to certify that the individual is a victim of domestic violence, dating violence or stalking. The information is subject to the confidentiality requirements of the HUD Reform Legislation. This agency may not collect this information, and you are not required to complete this form unless it displays a currently valid OMB control number.

Purpose of Form: The Violence Against Women and Justice Department Reauthorization Act of 2005 protects qualified tenants and family members of tenants who are victims of domestic violence, dating violence, or stalking from being evicted or terminated from housing assistance based on acts of such violence against them.

Use of Form: A family member must complete and submit this certification, or the information that may be provided in lieu of the certification, within 14 business days of receiving the written request for this certification by the PHA, owner or manager. The certification or alternate documentation must be returned to the person and address specified in the written request for the certification. If the family member has not provided the requested certification or the information that may be provided in lieu of the certification by the 14th business day or any extension of the date provided by the PHA, manager and owner, none of the protections afforded to victims of domestic violence, dating violence or stalking (collectively "domestic violence") under the Section 8 or public housing programs apply.

Note that a family member may provide, in lieu of this certification (or in addition to it):

(1) A Federal, State, tribal, territorial, or local police or court record; or

(2) Documentation signed by an employee, agent or volunteer of a victim service provider, an attorney or a medical professional, from whom the victim has sought assistance in addressing domestic violence, dating violence or stalking, or the effects of abuse, in which the professional attest under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse, and the victim of domestic violence, dating violence, or stalking has signed or attested to the documentation.

The AHA provides referrals to Mutual Grounds, assistance agency for domestic violence cases and the Aurora Police Department.

## **18. Other Information**

[24 CFR Part 903.7 9 (r)]

### **A. Resident Advisory Board Recommendations**

1.  Yes  **No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?**

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at Attachment (File name)

Provided below:

Mary McEntee had two recommendations.

1. Replace windows in Centennial House and Annex.
2. Install a sprinkler system for Centennial House and Annex.

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments

List changes below:

Replacing windows for Centennial House and Annex is in the five year plan.

The AHA does not intend to install a sprinkler system for Centennial House and Annex.

Other: (list below)

### **B. Description of Election process for Residents on the PHA Board**

1.  Yes  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

Candidates were nominated by resident and assisted family organizations

Candidates could be nominated by any adult recipient of PHA assistance

Self-nomination: Candidates registered with the PHA and requested a place on ballot

- Other: (describe) **Resident Board member was appointed by the Mayor of Aurora to a five year term. James Cofield is the current resident on the AHA Board of Commissioners.**

b. Eligible candidates: (select one)

- Any recipient of PHA assistance  
 Any head of household receiving PHA assistance  
 Any adult recipient of PHA assistance  
 Any adult member of a resident or assisted family organization  
 Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)  
 Representatives of all PHA resident and assisted family organizations  
 Other (list)

### C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.  
 The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.  
 The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.  
 Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

1. The Consolidated Plan recommends that the AHA and the City of Aurora continue open communication to work out problems and offer solutions to ongoing circumstances.

2. The City of Aurora encourages the continued cooperation between the AHA and the Aurora Police Department to ensure the safety of public housing residents and the reduction of crime in and around public housing sites.

3. As the majority of residents eventually shall depart the umbrella of public housing, the City of Aurora encourages the AHA to embark on a cooperative



education program for public housing residents that would instruct them in terminology and nuances of appropriate credit, house searching, financing and home maintenance. The AHA coordinates activities with various service providers in the area to provide assistance to residents that are considering leaving public housing and renting or purchasing a home.

Other: (list below)

**D. Other Information Required by HUD**

**Aurora Housing Authority 2009 Agency Plan  
Resident Advisory Board Members**

Marilyn O'Neil  
905 Second Avenue, #105A  
Aurora, IL 60505

James Cofield  
1630 West Plum Street, #109  
Aurora, IL 60506

Mary McEntee  
1630 West Plum Street, #114  
Aurora, IL 60506

Mayor Tom Weisner, Mayor  
44 East Downer Place  
Aurora, IL 60507

Other Possible City of Aurora attendees:  
Bill Wiet, City of Aurora  
Alisia Lewis, City of Aurora  
Chuck Nelson, City of Aurora  
Rose Burns, City of Aurora

Mutual Ground (MGI) operates a shelter for women and their minor children who are victims of domestic violence. Here victims are provided safety, shelter and support services while they learn they have the power to change their lives.

- professional staff available 24 hours a day
- specialized support services
- thirty-two bed capacity
- serving southern Kane and Kendall counties

### **Domestic Violence Counseling For Women**

Domestic violence is the physical abuse, threat of physical abuse, or the emotional abuse by a relative or person with whom the victim resides.

Not all domestic violence victims need shelter. Some need to assess and explore their options before making a decision. Some need continuing support regarding their decision. Some need group interaction to break down feelings of isolation, and promote healing.

- individual counseling available by appointment
- weekly support groups
- parenting group to encourage non-violent behavior
- referrals and advocacy with local social service agencies

### **For Children**

Children are victims too, directly or indirectly. Understanding the violence is necessary to break this cycle of abuse.

- individual counseling
- support groups
- education about cooperative, non-violent problem solving
- activity and play groups

### **Sexual Assault Counseling**

Sexual assault refers to all types of sexual violence with or without a weapon: rape, incest, molestation, child sexual assault/abuse and sexual harassment. Services are provided to all victims regardless of age or gender.

- 24 hour crisis intervention at hospitals and police stations
- individual counseling by professional staff
- support groups for rape, incest, children, adolescents, non-offending parents, and significant others
- specialized services for teens
- specialized services for children
- play and art therapy

### **Victim Advocacy**

Mutual Ground provides critical advocacy services for both domestic violence and sexual assault victims. MGI supplies information, emotional support, and sound technical understanding of the legal and social systems involved. These systems can be frightening and frustrating to both adults and children who must then deal with numerous institutions during their crisis.

### **Domestic Violence Victims**

- 24 hour availability for advocacy at hospital emergency rooms
- information on protection available through the Illinois Domestic Violence Act
- Advocacy with social service agencies and the criminal justice system
- support in criminal and civil court proceedings
- advocacy with obtaining Orders of Protection
- transportation to court

### **Sexual Assault Victims**

- 24 hour availability for intervention at hospitals and police stations
- information about medical exams and evidence collection
- court preparation for adult or child victims
- information about legal procedures
- transportation to court

### **24-Hour Crisis Lines**

It takes courage for those devastated by domestic violence or sexual assault to reach out for help. Their first contact can be crucial. MGI operates a 24-hour hot line for domestic violence and sexual assault victims.

- NO LINES HAVE CALLER ID
- All calls confidential
- Calls taken by professional staff
- Crisis intervention counseling
- Support for families and friends of victims
- Informed, non-judgmental support
- Sensitive, accurate information

### **Community Education**

Mutual Ground believes education is the key in prevention of domestic violence and sexual assault. MGI has several pro-active programs that are tailored to fit our philosophy that social change is necessary to prevent these crimes. Please click on the [Community Education](#) link for more information.

**Domestic Violence:**

**Sexual Assault:**

Hotline 630.897.0080

Hotline 630.897.8383

Office 630.897.0084

Office 630.897.8989

FAX (all) 630.897.3536

**Mailing Address:**

**Mutual Ground, Inc.**

**418 Oak Avenue**

**Aurora, Illinois 60506**

**Email: MutualGround@Ameritech.net**

**AURORA HOUSING AUTHORITY**  
**FORM TO BE COMPLETED BY THE**  
**VICTIM OF DOMESTIC VIOLENCE:**

Date Written Request Received By Family Member:

\_\_\_\_\_

Name of the Victim of Domestic Violence:

\_\_\_\_\_

Name(s) of other family members listed on the lease

\_\_\_\_\_

Name of the abuser:

\_\_\_\_\_

Relationship to Victim:

\_\_\_\_\_

Date the incident of domestic violence occurred:

\_\_\_\_\_

Time: \_\_\_\_\_

Location of Incident:

\_\_\_\_\_

Name of victim:

\_\_\_\_\_

\_\_\_\_\_

## 14. Carbon Monoxide Alarm Detector Act

### IMPORTANT RESIDENT NOTICE

December 7, 2006

To: All Aurora Housing Authority (AHA) Residents

Subject: Carbon Monoxide Alarm Detector Act

Dear Residents,

On May 8, 2006, the Carbon Monoxide Alarm Detector Act was passed. This Act requires that every dwelling unit be equipped with at least one approved carbon monoxide alarm in an operating condition within 15 feet of every room used for sleeping purposes by January 1, 2007. This Act states that it is the responsibility of the owner of a structure to supply and install all required alarms. This Act states that it is the responsibility of a tenant to test and to provide general maintenance for the alarms within the tenant's dwelling unit or rooming unit, and to notify the owner or the authorized agent of the owner in writing of any deficiencies that the tenant cannot correct.

This Act states that tampering with, removing, destroying, disconnecting, or **removing the batteries** from any installed carbon monoxide alarm, except in the course of inspection, maintenance, or replacement of the alarm, is a Class A misdemeanor in the case of a first conviction, and a Class 4 felony in the case of a second or subsequent conviction. **As stated above, it is the responsibility of a tenant to test and to provide general maintenance for the alarms within the tenant's dwelling unit and to notify the AHA in writing of any deficiencies that the tenant cannot correct. If you or a family member remove, destroy or disconnect a carbon monoxide alarm provided and installed by the AHA, you may be convicted of a Class A misdemeanor in the case of a first conviction, and a Class 4 felony in the case of a second or subsequent conviction. Remember, you are responsible for replacing batteries in the carbon monoxide alarm as needed.**

**This is your advance notice that AHA staff will be providing and installing carbon monoxide alarms within 15 feet of every room used for sleeping purposes in all units before January 1, 2007.**

**Make certain you do not remove any carbon monoxide alarm, remove any batteries and report in writing to the AHA any deficiencies that you cannot correct.**

Sincerely,

David Kramer



**Part I: Summary**

PHA Name/Number <b>Aurora Housing Authority (IL 090)</b>		Locality: <b>Aurora (Kane County) Illinois</b>			<input checked="" type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No: 1</b>	
A.	Development Number and Name	Work Statement for Year 1 <b>FFY 2009</b>	Work Statement for Year 2 <b>FFY 2010</b>	Work Statement for Year 3 <b>FFY 2011</b>	Work Statement for Year 4 <b>FFY 2012</b>	Work Statement for Year 5 <b>FFY 2013</b>
B.	Physical Improvements Subtotal	Annual Statement	\$589,361	\$589,361	\$589,361	\$589,361
C.	Management Improvements		\$50,000	\$50,000	\$50,000	\$50,000
D.	PHA-Wide Non-dwelling Structures and Equipment <sup>1</sup>		\$75,000	\$75,000	\$75,000	\$75,000
E.	Administration		\$110,817	\$110,817	\$110,817	\$110,817
F.	Other		\$380,000	\$83,000	\$83,000	\$83,000
G.	Operations		\$200,000	\$200,000	\$200,000	\$200,000
H.	Demolition		\$1,600,000,		\$0	\$0
I.	Development		\$0		\$0	\$0
J.	Capital Fund Financing – Debt Service		\$0		\$0	\$0
K.	Total CFP Funds		\$	\$1,108,178	\$1,108,178	\$1,108,178
L.	Total Non-CFP Funds	\$0	\$0	\$0	\$0	\$0
M.	Grand Total	\$1,108,178	\$3,005,178	\$1,108,178	\$1,108,178	\$1,108,178

<sup>1</sup> Included in Physical Improvement subtotal

**Part I: Summary (Continuation)**

PHA Name/Number – <b>Aurora Housing Authority (IL 090)</b>		Locality: <b>Aurora (Kane County) Illinois</b>		<input checked="" type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No: 1</b>		
A.	Development Number and Name	Work Statement for Year 1 <b>FFY 2009</b>	Work Statement for Year 2 <b>FFY 2010</b>	Work Statement for Year 3 <b>FFY 2011</b>	Work Statement for Year 4 <b>FFY 2012</b>	Work Statement for Year 5 <b>FFY 2013</b>
	AHA-Wide Operations	Annual Statement	\$200,000	\$200,000	\$200,000	\$200,000
	AHA-Wide Management Improvements		\$50,000	\$50,000	\$50,000	\$50,000
	AHA-Wide Administration		\$110,817	\$110,817	\$110,817	\$110,817
	AHA-Wide A/E Fees and Costs		\$40,000	\$40,000	\$40,000	\$40,000
	<b>AMP 1 - IL090-108D (Jericho Circle, Eastwood, Indian Trail, Southwind and all Scattered Site Units)</b>		\$564,361	\$564,361	\$564,361	\$564,361
	Demolition of Jericho Circle		\$1,600,000	\$0	\$0	\$0
			\$0	\$0	\$0	\$0
	<b>AMP 2 - IL090-208D Maple Terrace, Centennial House and Centennial House Annex</b>		\$100,000	\$100,000	\$100,000	\$100,000
	Dwelling Equipment Non-Expendable		\$40,000	\$40,000	\$40,000	\$40,000
	Relocation		\$300,000	\$3,000	\$3,000	\$3,000
	<b>Total:</b>		<b>\$3,005,178</b>	<b>\$1,108,178</b>	<b>\$1,108,178</b>	<b>\$1,108,178</b>



**Part II: Supporting Pages – Physical Needs Work Statement(s)**

Work Statement for Year 1 FFY 2009	Work Statement for Year 2 FFY 2010			Work Statement for Year: 3 FFY 2011		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See						
Annual Statement	<b>AMP 1 - IL090-108D</b> Modernization work for Eastwood, Indian Trail & Southwind	3 Properties with 128 units	\$564,361	<b>AMP 1 - IL090-108D</b> Modernization work for Eastwood, Indian Trail & Southwind	3 Properties with 128 units	\$564,361
	Work to correct identified deficiencies in the Physical Needs Assessment completed in early 2009. Physical improvements to maintain existing properties occupied by residents and correction of City of Aurora code violations at Jericho Circle, Eastwood, Indian Trail & Southwind and Scattered Site family units. Work more detailed in Annual Statement.	337 units		Work to correct identified deficiencies in the Physical Needs Assessment completed in early 2009. Physical improvements to maintain existing properties occupied by residents and correction of City of Aurora code violations at Jericho Circle, Eastwood, Indian Trail & Southwind and Scattered Site family units. Work more detailed in Annual Statement.	337 units	
	<b>AMP 2 - IL090-108D</b> Maple Terrace, Centennial House and Centennial House Annex	Two properties with 315 units	\$100,000	<b>AMP 2 - IL090-108D</b> Maple Terrace, Centennial House and Centennial House Annex	Two properties with 315 units	\$100,000

**Part II: Supporting Pages – Physical Needs Work Statement(s)**

Work Statement for Year 1 FFY 2009	Work Statement for Year 2 FFY 2010			Work Statement for Year: 3 FFY 2011		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
	Work to correct identified deficiencies in the Physical Needs Assessment completed in early 2009. Physical improvements to maintain existing properties occupied by residents and correction of City of Aurora code violations. Work more detailed in Annual Statement.			Work to correct identified deficiencies in the Physical Needs Assessment completed in early 2009. Physical improvements to maintain existing properties occupied by residents and correction of City of Aurora code violations. Work more detailed in Annual Statement.		
	Jericho Circle Demolition Work	29 Buildings with 146 units	\$1,600,000			
	Agency Wide			Agency Wide		
	Operations		\$200,000	Operations	\$200,000	
	Management Improvements		\$50,000	Management Improvements	\$50,000	
	Administration		\$110,817	Administration	\$110,817	
	A&E Services		\$40,000	A&E Services	\$40,000	
	Dwelling Equipment Non-Expendable		\$40,000	Dwelling Equipment Non-Expendable	\$40,000	
	Non-Dwelling Equipment		\$75,000	Non-Dwelling Equipment	\$75,000	
	Relocation		\$300,000	Relocation	\$3,000	
	Subtotal of Estimated Cost		<b>\$3,005,178</b>	Subtotal of Estimated Cost		<b>\$1,108,178</b>

**Part II: Supporting Pages – Physical Needs Work Statement(s)**

Work Statement for Year 1 FFY 2009	Work Statement for Year 4 FFY 2012			Work Statement for Year: 5 FFY 2013		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See						
Annual Statement	<b>AMP 1 - IL090-108D</b> Modernization work for Eastwood, Indian Trail & Southwind	3 Properties with 128 units	\$564,361	<b>AMP 1 - IL090-108D</b> Modernization work for Eastwood, Indian Trail & Southwind	3 Properties with 128 units	\$564,361
	Work to correct identified deficiencies in the Physical Needs Assessment completed in early 2009. Physical improvements to maintain existing properties occupied by residents and correction of City of Aurora code violations at Jericho Circle, Eastwood, Indian Trail & Southwind and Scattered Site family units. Work more detailed in Annual Statement.	337 units		Work to correct identified deficiencies in the Physical Needs Assessment completed in early 2009. Physical improvements to maintain existing properties occupied by residents and correction of City of Aurora code violations at Jericho Circle, Eastwood, Indian Trail & Southwind and Scattered Site family units. Work more detailed in Annual Statement.	337 units	
	<b>AMP 2 - IL090-108D</b> Maple Terrace, Centennial House and Centennial House Annex	Two properties with 315 units	\$100,000	<b>AMP 2 - IL090-108D</b> Maple Terrace, Centennial House and Centennial House Annex	Two properties with 315 units	\$100,000

**Part II: Supporting Pages – Physical Needs Work Statement(s)**

Work Statement for Year 1 FFY 2009	Work Statement for Year 4 FFY 2012			Work Statement for Year: 5 FFY 2013		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
	Work to correct identified deficiencies in the Physical Needs Assessment completed in early 2009. Physical improvements to maintain existing properties occupied by residents and correction of City of Aurora code violations. Work more detailed in Annual Statement.	Two properties with 315 units		Work to correct identified deficiencies in the Physical Needs Assessment completed in early 2009. Physical improvements to maintain existing properties occupied by residents and correction of City of Aurora code violations. Work more detailed in Annual Statement.	Two properties with 315 units	
	Agency Wide			Agency Wide		
	Operations		\$200,000	Operations		\$200,000
	Management Improvements		\$50,000	Management Improvements		\$50,000
	Administration		\$110,817	Administration		\$10,817
	A&E Services		\$40,000	A&E Services		\$40,000
	Dwelling Equipment Non-Expendable		\$40,000	Dwelling Equipment Non-Expendable		\$40,000
	Non-Dwelling Equipment		\$75,000	Non-Dwelling Equipment		\$75,000
	Relocation		\$3,000	Relocation		\$3,000
		Subtotal of Estimated Cost	<b>\$1,110,178</b>		Subtotal of Estimated Cost	<b>\$1,110,178</b>

**il090.v07 Attachments**

**il090a.v07 – 501-09 Signed Annual Submittal**

**il090b.v07 – Performance & Evaluation Reports 9/08**

**il090c.v07 - Performance & Evaluation Reports 9/09**

**il090d.v07 – Deconcentration Plan\Income Mixing**

**il090e.v07 – Progress Meeting 5 Year Plan Goals**

**il090f.v07 – Criteria for Substantial Deviation**

**il090g.v07 – Signed 501-09 ARRA Funds Revision #2**

il090h.v07 Resident Advisory Board Information

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>				FFY of Grant: 2009 FFY of Grant Approval: 2009	
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06S09050109 (ARRA Capital Fund Formula Grant) Replacement Housing Factor Grant No: Date of CFFP:			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: One 12/7/2009 )			
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	\$0.00	0		
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$0.00	0		
3	1408 Management Improvements	\$0.00	0		
4	1410 Administration (may not exceed 10% of line 21)	\$0.00	140,273		
5	1411 Audit	\$0.00	0		
6	1415 Liquidated Damages	\$0.00	0		
7	1430 Fees and Costs	\$80,000	\$161,725	\$161,725	
8	1440 Site Acquisition	\$0.00			
9	1450 Site Improvement	\$504,000	\$200,000		
10	1460 Dwelling Structures	\$818,734	\$900,736		
11	1465.1 Dwelling Equipment—Nonexpendable	\$0.00	0		
12	1470 Non-dwelling Structures	\$0.00	0		
13	1475 Non-dwelling Equipment	\$0.00	0		
14	1485 Demolition	\$0.00	0		
15	1492 Moving to Work Demonstration	\$0.00	0		
16	1495.1 Relocation Costs	\$0.00	0		
17	1499 Development Activities <sup>4</sup>	\$0.00	0		

<sup>1</sup> To be completed for the Performance and Evaluation Report.

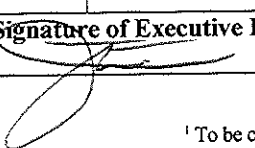

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>				FFY of Grant:2009 FFY of Grant Approval: 2009	
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06S09050109 (ARRA Capital Fund Formula Grant) Replacement Housing Factor Grant No: Date of CFFP:			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no:                      ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	0		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	0		
19	1502 Contingency (may not exceed 3% of line 20)	\$0.00	0		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$1,402,734	\$1,402,734		
21	Amount of line 20 Related to LBP Activities	\$0.00	0		
22	Amount of line 20 Related to Section 504 Activities	\$0.00	0		
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	0		
24	Amount of line 20 Related to Security - Hard Costs	\$0.00	0		
25	Amount of line 20 Related to Energy Conservation Measures	\$297,576	\$225,000		
Signature of Executive Director		Date		Signature of Public Housing Director	
		12/7/09			
				Date	
				12/22/09	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: 2009				
PHA Name: Aurora Housing Authority		Capital Fund Program Grant No: IL06S09050109 (ARRA Capital Fund Formula Grant) CFFP (Yes/ No): No Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP IL-090-001 Eastwood & Southwind	Architectural/Engineering Fees Design and Construction Management -	1430	2 properties	\$60,000	141,725	141,725		
AMP IL-090-001 Eastwood & Southwind	Architectural/Engineering Fees Engineering assessment and redesign of drainage - \$20,000	1430	2 properties	\$20,000	20,000	20,000		
AMP IL-090-001 Southwind	Sitework - Correct stormwater drainage issue by reconfiguring topography, installing drains and water channels; re- grade site	1450	1 property	\$25,000	25,000			
AMP IL-090-001 Eastwood & Southwind	Sitework - Remove and replace selected sidewalks and pavement - stabilize substrate - install new front stoops continuos across the front of the buildings - Remover rear stoop areas - install a pation are for each unit along with a six foot high privacy fence on each side of the units to create a semi-private area for residents	1450	2 properties	\$155,000	200,000			
AMP IL-090-001 Eastwood & Southwind	Sitework - General landscaping	1450	2 properties	\$40,000	60,000	General landscaping two sites		
AMP IL-090-001 Eastwood & Southwind	Sitework - patch or replace asphalt as needed for parking lots and driveways	1450	2 properties	40,000	40,000			
AMP IL-090-001 Eastwood &	Install energy efficient thermostats	1460	92 units	10,580	10,580			



Southwind								
AMP IL090-001	Intsall A/C in all units	1460	34 units	85,000	85,000			
Southwind				\$0	0			not practical for site - land filled ground would not work with geothermal system
AMP IL-090-001	Dwelling Unit - Install geothermal heating/cooling system in dwelling units (Sink geothermal wells serving each of 10 buildings @ \$6,000 per building; Install heat pump units in each unit @ 6,600 per unit)							
Eastwood & Southwind								
AMP IL-090-001	Dwelling Unit - Remove siding and stone façade. Install new siding for all buildings - include insulation, vapor barrier under new siding.	1460	92 uits	\$283,229	\$283,229			
Eastwood & Southwind								
AMP IL-090-001	Dwelling Unit - Replace windows and screens with dual pane thermal type	1460	92 Units	\$225,000	225,000			
Eastwood & Southwind								
AMP IL-090-001	Dwelling Unit - Selectively replace roofing, gutters and downspouts	1460	all buildings	\$50,000	50,000			
Eastwood & Southwind								
AMP IL-090-001	Dwelling Unit - Repair, replace or powerwash damaged and unsightly masonry	1460	0	0	replace all stonework and siding completed			
Eastwood & Southwind								
AMP IL-090-001	Dwelling Unit - Install storm doors (front and rear) of all units	1460	0	0				
Eastwood & Southwind								
AMP IL-090-001	Upgrade attic insulation	1460	92 units	41,000	41,400			
Eastwood & Southwind			\$450.00 per unit					
AMP IL-090-001	Provide whole house fan in attic area	1460	58 units	46,000	46,000			
Eastwood								
AMP IL-090-001	Provide and install a separate gas meter for each unit	1460	92 units	92,000	92,000			
Eastwood & Southwind								
AMP IL-090-001	Install TV distribution system for entire unit	1460	92 units	41,400				
Eastwood & Southwind								
AMP IL-090-001	Consoldated phone jacks for entire unit	1460	92 units	41,400				
Eastwood & Southwind								

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.









### **Amendments/Modifications to the Plan - PHA Plans**

The AHA's basic criteria for the definition of a Significant Amendments and/or Substantial Deviations/ Modifications to the Plan is as follows:

- Any changes to rent or admissions policies or organization of the waiting list;
- Any additions of non-emergency work items (items not included in the current Annual Statement or Five-year Action Plan) or change in the use of replacement reserve funds under the Capital Fund;
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

The AHA may amend or modify its Annual or Five-Year Plan after submitting the plan to HUD. The AHA may modify, amend or change any policy, rule, regulation or other aspect of its plan. If the modification or change is considered a "significant amendment" or "substantial deviation/modification" as defined by the AHA, then the AHA will comply with a number of requirements similar to those required at initial development and submission of the AHA Plan.

Any significant amendment or substantial deviation/modification to a PHA Plan is subject to the same requirements as the original PHA Plan (including time frames). The following are the requirements:

- The PHA must consult with the Resident Advisory Board (RAB); and
- The PHA must ensure consistency with the Consolidated Plan of the jurisdiction(s) (as defined in 24 CFR 903.15); and

The AHA must provide for a review of the amendments/modifications by the public during a 45-day public review period.

## Overview Of Progress In Meeting 5 Year Plan Goals

### B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

#### **HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- PHA Goal: Expand the supply of assisted housing  
Objectives:
  - Apply for additional rental vouchers:
  - Reduce public housing vacancies:  
**The AHA has strived to reduce vacant unit turnaround time and fill units as quickly as they become available.**
  - Leverage private or other public funds to create additional housing opportunities:
  - Acquire or build units or developments
  - Other (list below)
  
- PHA Goal: Improve the quality of assisted housing  
Objectives:
  - Improve public housing management: (PHAS score) **76 in FFY2008**  
**The AHA has worked on improving agency performance in all rating categories as identified annually by PHAS rating.**
  - Improve voucher management: (SEMAP score) **95 in FFY 2008**
  - Increase customer satisfaction:  
**The AHA has worked on improving overall resident satisfaction with services provided identified annually by RASS rating**
  - Concentrate on efforts to improve specific management functions:  
(list; e.g., public housing finance; voucher unit inspections)  
**The AHA has worked to improve the annual PHAS rating and worked to improve poorly rated identified management functions .**
  - Renovate or modernize public housing units:  
**The AHA has met proposed modernization schedules on an annual basis.**
  - Demolish or dispose of obsolete public housing:
  - Provide replacement public housing:
  - Provide replacement vouchers:
  - Other: (list below)

- PHA Goal: Increase assisted housing choices
- Objectives:
- Provide voucher mobility counseling:  
**Provide participants with voucher mobility information at time of issuance of a voucher.**
  - Conduct outreach efforts to potential voucher landlords  
**Conduct landlord seminars to inform local landlords about the Section 8 Voucher Program (on an annual basis or as needed to increase landlord participation).**
  - Increase voucher payment standards
  - Implement voucher homeownership program:
  - Implement public housing or other homeownership programs:
  - Implement public housing site-based waiting lists:
  - Convert public housing to vouchers:
  - Other: (list below)

**HUD Strategic Goal: Improve community quality of life and economic vitality**

- PHA Goal: Provide an improved living environment
- Objectives:
- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
  - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
  - Implement public housing security improvements:  
**Work with AHA staff, residents and the Aurora Police Department to develop and modify plans as needed to address resident security based on crime rate, reported criminal activity, etc. Work very closely with the Aurora Police Department to identify and track crime rates at each site.**
  - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
  - Other: (list below)

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

- PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
- Increase the number and percentage of employed persons in assisted families:
  - Provide or attract supportive services to improve assistance recipients' employability:



- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing  
Objectives:
  - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:  
**The AHA has coordinated equal opportunity affirmative measures with local service providers. The AHA reports any landlords that does not provide Equal Opportunity in Housing for all Americans.**
  - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:  
**The AHA has coordinated equal opportunity affirmative measures with local service providers. The AHA reports any landlords that do not provide Equal Opportunity in Housing for all Americans.**
  - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:  
**The AHA coordinates equal opportunity affirmative measures with local service providers. The AHA reports any landlords that do not provide Equal Opportunity in Housing for all Americans.**
- Other: (list below)

**Capital Fund Program:** The Aurora Housing Authority has met or exceeded all time lines for fund obligation and fund expenditures of Capital Funds. Capital Fund Programs IL06-PO90-501-06 are closed with all funds expended. Capital Fund Programs IL06-PO90-501-07 and 501-08 are current. All estimated obligation and expenditure deadlines have been met or exceeded.

**10.4 DECONCENTRATION POLICY**

It is AHA's policy to provide for deconcentration of poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Toward this end, the AHA will skip families on the waiting list to reach other families with a lower or higher income. The AHA will accomplish this in a uniform and non-discriminatory manner.

The AHA will affirmatively market our housing to all eligible income groups. Lower income residents will not be steered toward lower income developments and higher income people will not be steered toward higher income developments.

Prior to the beginning of each fiscal year, the AHA will analyze the income levels of families residing in each of our developments, the income levels of census tracts in which the AHA developments are located, and the income levels of the families on the waiting list. Based on this analysis, the AHA will determine the level of marketing strategies and deconcentration incentives it will implement.

**10.5 DECONCENTRATION INCENTIVES**

The AHA may offer one or more incentives to encourage applicant families whose income classification would help to meet the deconcentration goals of a particular development.

Various incentives may be used at different times, or under different conditions, but will always be provided in a consistent and nondiscriminatory manner.

**Component 3, (6) Deconcentration and Income Mixing**

- a.  Yes  No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b.  Yes  No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

<b>Deconcentration Policy for Covered Developments</b>			
<b>Development Name:</b>	<b>Number of Units</b>	<b>Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]</b>	<b>Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]</b>

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-07 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2007 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9-30-2009 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	0		0	0
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	120,000		120,000	120,000
3	1408 Management Improvements	35,000		35,000	35,000
4	1410 Administration (may not exceed 10% of line 21)	110,176		110,176	110,176
5	1411 Audit	0		0	0
6	1415 Liquidated Damages	0		0	0
7	1430 Fees and Costs	42,000		42,000	42,000
8	1440 Site Acquisition	0		0	0
9	1450 Site Improvement	0		0	0
10	1460 Dwelling Structures	669,589		669,589	669,589
11	1465.1 Dwelling Equipment—Nonexpendable	40,000		40,000	40,000
12	1470 Non-dwelling Structures	0		0	0
13	1475 Non-dwelling Equipment	75,000		75,000	75,000
14	1485 Demolition	0		0	0
15	1492 Moving to Work Demonstration	0		0	0
16	1495.1 Relocation Costs	0		10,000	10,000
17	1499 Development Activities <sup>4</sup>	0		0	0
18a	1501 Collateralization or Debt Service paid by the PHA	0		0	0
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0		0	
19	1502 Contingency (may not exceed 8% of line 20)	0		0	
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,101,765		1,101,765	1,101,765
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>				
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-07 Replacement Housing Factor Grant No: Date of CFFP: _____		FFY of Grant: 2007 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>
		Original	Revised <sup>2</sup>	Obligated      Expended
	Signature of Executive Director	Date 10/9/09	Signature of Public Housing Director	Date

Part II: Supporting Pages								
PHA Name: Aurora Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
IL06-P090-001	Ext.,int. & major system update	1460		10,000		10,000	10,000	
IL06-P090- 03	Ext.,int. & major system update	1460		20,000		20,000	20,000	
IL06-P090-004	Mod work,ext.,int. & major system	1460		549,678		549,678	549,678	
IL06-P090-005	Ext.,int. & major system update	1460		20,000		20,000	20,000	
IL06-P090-006	Ext.,int. & major system update	1460		20,000		20,000	20,000	
IL06-P090-007	Ext.,int. & major system update	1460		20,000		20,000	20,000	
IL06-P090-008	Ext.,int. & major system update	1460		20,000		20,000	20,000	
IL06-P090-009	Ext.,int. & major system update	1460		9,911		9,911	9,911	
Agency Wide	Operations	1406		120,000		120,000	120,000	
	Management Improvements	1408		25,000		25,000	25,000	
	Law Enforcement	1408		10,000		10,000	10,000	
	Administration	1410		110,176		110,176	110,176	
	Vacancy reduction	1460		25,000		25,000	25,000	
	Appliances	1465.1		40,000		40,000	40,000	
	Non-Dwelling Equipment	1475		75,000		75,000	75,000	
	Relocation	1495.1		10,000		0	0	
	A&E Services	1430		42,000		42,000	42,000	
Total						1,101,765	1,101,765	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sub>2</sub>	
N/A								

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Aurora Housing Authority IL06-P090-501-07					Federal FFY of Grant: 2007
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL06-P090-001	9-15-2008	9-15-2008	9-15-2010	11/20/09	Completed early
IL06-P090-003	9-15-2008	9-15-2008	9-15-2010	11/20/09	
IL06-P090-004	9-15-2008	9-15-2008	9-15-2010	11/20/09	
IL06-P090-005	9-15-2008	9-15-2008	9-15-2010	11/20/09	
IL06-P090-006	9-15-2008	9-15-2008	9-15-2010	11/20/09	
IL06-P090-007	9-15-2008	9-15-2008	9-15-2010	11/20/09	
IL06-P090-008	9-15-2008	9-15-2008	9-15-2010	11/20/09	
IL06-P090-009	9-15-2008	9-15-2008	9-15-2010	11/20/09	
Agency Wide	9-15-2008	9-15-2008	9-15-2010	11/20/09	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Aurora Housing Authority IL06-P090-501-07					Federal FFY of Grant: 2007
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
N/A					

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



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 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-08 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2008 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9-30-2009 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	0		0	0
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	120,000		120,00	120,000
3	1408 Management Improvements	35,000		0	0
4	1410 Administration (may not exceed 10% of line 21)	110,817		110,817	0
5	1411 Audit	0		0	0
6	1415 Liquidated Damages	0		0	0
7	1430 Fees and Costs	55,000		0	0
8	1440 Site Acquisition	0		0	0
9	1450 Site Improvement	0		0	0
10	1460 Dwelling Structures	656,589		0	0
11	1465.1 Dwelling Equipment—Nonexpendable	40,000		0	0
12	1470 Non-dwelling Structures	0		0	0
13	1475 Non-dwelling Equipment	80,772		0	0
14	1485 Demolition	0		0	0
15	1492 Moving to Work Demonstration	0		0	0
16	1495.1 Relocation Costs	10,000		0	0
17	1499 Development Activities <sup>4</sup>	0		0	0
18a	1501 Collateralization or Debt Service paid by the PHA	0		0	0
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0		0	0
19	1502 Contingency (may not exceed 8% of line 20)	0			
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,108,178		230,817	120,000
21	Amount of line 20 Related to LBP Activities	0			
22	Amount of line 20 Related to Section 504 Activities	15,000			
23	Amount of line 20 Related to Security – Soft Costs	0			
24	Amount of line 20 Related to Security – Hard Costs	15,000			
25	Amount of line 20 Related to Energy Conservation Measures	100,000			

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-08 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2008 FFY of Grant Approval: _____
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
	Signature of Executive Director	Date 10/1/09	Signature of Public Housing Director		Date





Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Aurora Housing Authority IL06-P090-501-08					Federal FFY of Grant: 2008
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 IL090-108D	9-15-2010		9-15-2012		
AMP 2 IL090-208D	9-15-2010		9-15-2012		
Agency Wide					
Operations	9-15-2010	8-22-2008	9-15-2012	8-22-2008	
Management Improve.	9-15-2010		9-15-2012		
Administration	9-15-2010	8-22-2008	9-15-2012		
Vacancy Reduction	9-15-2010		9-15-2012		
Appliances	9-15-2010		9-15-2012		
Non-Dwelling Equip.	9-15-2010		9-15-2012		
Relocation	9-15-2010		9-15-2012		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



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 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>	
<b>PHA Name:</b> Aurora Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06S09050109 (ARRA Capital Fund Formula Grant) Replacement Housing Factor Grant No: Date of CFFP:
<b>FFY of Grant: 2009</b> <b>FFY of Grant Approval: 2009</b>	

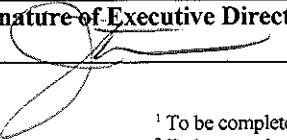
**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no:      )  
 Performance and Evaluation Report for Period Ending: 9-30-09       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	\$0.00			
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$0.00			
3	1408 Management Improvements	\$0.00			
4	1410 Administration (may not exceed 10% of line 21)	\$0.00			
5	1411 Audit	\$0.00			
6	1415 Liquidated Damages	\$0.00			
7	1430 Fees and Costs	\$80,000			
8	1440 Site Acquisition	\$0.00			
9	1450 Site Improvement	\$504,000			
10	1460 Dwelling Structures	\$818,734			
11	1465.1 Dwelling Equipment—Nonexpendable	\$0.00			
12	1470 Non-dwelling Structures	\$0.00			
13	1475 Non-dwelling Equipment	\$0.00			
14	1485 Demolition	\$0.00			
15	1492 Moving to Work Demonstration	\$0.00			
16	1495.1 Relocation Costs	\$0.00			
17	1499 Development Activities <sup>4</sup>	\$0.00			

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

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 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
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<b>Part I: Summary</b>					
<b>PHA Name:</b> Aurora Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06S09050109 (ARRA Capital Fund Formula Grant) Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:2009</b> <b>FFY of Grant Approval: 2009</b>			
<b>Type of Grant</b>					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9-30-2009		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00			
19	1502 Contingency (may not exceed 8% of line 20)	\$0.00			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$1,402,734			
21	Amount of line 20 Related to LBP Activities	\$0.00			
22	Amount of line 20 Related to Section 504 Activities	\$0.00			
23	Amount of line 20 Related to Security - Soft Costs	\$0.00			
24	Amount of line 20 Related to Security - Hard Costs	\$0.00			
25	Amount of line 20 Related to Energy Conservation Measures	\$297,576			
<b>Signature of Executive Director</b>		<b>Date</b>		<b>Signature of Public Housing Director</b>	
		10/9/09			

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



<b>Part II: Supporting Pages</b>								
PHA Name: Aurora Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06S09050109 (ARRA Capital Fund Formula Grant) CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP IL-090-001 (Indian Trail)	Architectural/Engineering Fees Design and Construction Management - \$60,000	1430	1	\$60,000				
AMP IL-090-001 (Indian Trail)	Architectural/Engineering Fees Engineering assessment and redesign of drainage - \$20,000	1430	1	\$20,000				
AMP IL-090-001 (Indian Trail)	Sitework - Correct stormwater drainage issue by reconfiguring topography, installing drains and water channels; re- grade site	1450	1 property	\$250,000				
AMP IL-090-001 (Indian Trail)	Sitework - Remove and replace selected sidewalks and pavement - stabilize substrate - install new front stoops continuos across the front of the buildings - Remover rear stoop areas - install a pation are for each unit along with a six foot high privacy fence on each side of the units to create a semi-private area for residents	1450	1 property	\$155,000				
AMP IL-090-001 (Indian Trail)	Sitework - General landscaping	1450	1 property	\$40,000		Include regrading the front of the site & landscaping entire area		
AMP IL-090-001 (Indian Trail)	Sitework - Upgrade exterior lighting	1450	1 property	\$40,000				

AMP IL-090-001 (Indian Trail)	Sitework - Install mailbox kiosk	1450	1 property	\$15,000				replace mail boxes
AMP IL-090-001 (Indian Trail)	Sitework - Upgrade signage at building, grounds, parking areas	1450	1 property	\$4,000				
AMP IL-090-001 (Indian Trail)	Dwelling Unit - Install geothermal heating/cooling system in dwelling units (Sink geothermal wells serving each of 10 buildings @ \$6,000 per building; Install heat pump units in each unit @ 6,600 per unit)	1460	36 units	\$0				not practical for site - land filled ground would not work with geothermal system
AMP IL-090-001 (Indian Trail)	Dwelling Unit - Remove siding and stone façade. Install new siding for all buildings - include insulation, vapor barrier under new siding.	1460	36 Units 9- buildings \$35,000 per building	\$252,000				
AMP IL-090-001 (Indian Trail)	Dwelling Unit - Replace windows and screens with dual pane thermal type	1460	36 Units	\$144,000				
AMP IL-090-001 (Indian Trail)	Dwelling Unit - Selectively replace roofing, gutters and downspouts	1460	11 units\ 9 buildings	\$50,000				
AMP IL-090-001 (Indian Trail)	Dwelling Unit - Repair, replace or powerwash damaged and unsightly masonry	1460	1 property	\$39,158				
AMP IL-090-001 (Indian Trail)	Dwelling Unit - Install storm doors (front and rear) of all units	1460	36 units	\$36,000				
AMP IL-090-001 (Indian Trail)	Upgrade attic insulation	1460	36 units	0				
AMP IL-090-001 (Indian Trail)	Provide whole house fan in attic area	1460	36 units	0				
AMP IL-090-001 (Indian Trail)	Provide energy efficient instantantaneous water heaters for each unit	1460	36 units	0				
AMP IL-090-001 (Indian Trail)	Provide and install a separate gas meter for each unit	1460	36 units	0				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Aurora Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06S09050109 (ARRA Capital Fund Formula Grant) CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP IL-090-001 (Indian Trail)	Provide energy efficient refrigerators	1460	36	0				
AMP IL-090-001 (Indian Trail)	Install energy efficient thermostats	1460	36	0				
AMP IL-090-001 (Indian Trail)	Consoldated phone jacks for entire unit	1460	36	0				
AMP IL-090-001 (Indian Trail)	Install TV distribution system for entire unit	1460	36	0				
AMP IL-090-001 (Indian Trail)	Provide and install an energy effcient sliding glass patio door with heavy duty screen	1460	36	0				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
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 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Aurora Housing Authority				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP IL-090-001 INDIAN TRAIL					
A/E Fees - BLI 1430	3/17/2010		3/17/2012		
Sitework - BLI 1450	3/17/2010		3/17/2012		
Dwelling Unit Improvements - BLI 1460	3/17/2010		3/17/2012		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.





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 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-06 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2006 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9-30-2008 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	120,000	120,000	120,000	120,000
3	1408 Management Improvements	30,000	30,000	37,006.44	37,006.44
4	1410 Administration (may not exceed 10% of line 21)	108,974	108,794	73,469.19	73,469.19
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	40,000	40,000	36,249.20	36,249.20
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	0	0	0	0
10	1460 Dwelling Structures	670,769	702,964	745,997.13	745,997.13
11	1465.1 Dwelling Equipment—Nonexpendable	40,000	40,000	36,922	36,922
12	1470 Non-dwelling Structures	0	0	0	0
13	1475 Non-dwelling Equipment	75,000	75,000	72,294.04	72,294.04
14	1485 Demolition	0	0	0	0
15	1492 Moving to Work Demonstration	0	0	0	0
16	1495.1 Relocation Costs	5,000	5,000	0	0
17	1499 Development Activities <sup>4</sup>	0	0	0	0
18a	1501 Collateralization or Debt Service paid by the PHA	0	0	0	0
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0	0	0
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,089,743	1,121,938	1,121,938	1,121,938
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Activities	0	0	0	0
23	Amount of line 20 Related to Security – Soft Costs	0	0	0	0
24	Amount of line 20 Related to Security – Hard Costs	0	0	0	0
25	Amount of line 20 Related to Energy Conservation Measures	100,000	100,000	100,000	100,000

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

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 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-06 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2006 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9-30-2008 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
	Signature of Executive Director	Date 16/9/09	Signature of Public Housing Director	Date	



Part II: Supporting Pages								
PHA Name: Aurora Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-06 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
IL06-P090-001	Ext.,int. and major system update	1460		10,000	10,000	10,000	10,000	completed
IL06-P090-003	Ext.,int. and major system update	1460		20,000	20,000	20,000	20,000	completed
IL09-P090-004	A&E Services	1460		40,000	40,000	40,000	40,000	completed
IL09-P090-004	Modernization work	1460		510,769	510,769	510,769	510,769	completed
IL09-P090-004	Ext.,int. and major system update	1460						
IL06-P090-005	Ext.,int. and major system update	1460		20,000	20,000	20,000	20,000	completed
IL06-P090-006	Ext.,int. and major system update	1460		20,000	20,000	20,000	20,000	completed
IL06-P090-007	Ext.,int. and major system update	1460		40,000	40,000	40,000	40,000	completed
IL06-P090-008	Ext.,int. and major system update	1460		25,000	57,195	57,195	57,195	completed
IL06-P090-009	Ext.,int. and major system update	1460		15,000	15,000	15,000	15,000	completed
Agency Wide	Operations	1406		120,000	120,000	120,000	120,000	completed
	Management Improvements	1408		30,000	37,006.44	37,006.44	37,006.44	completed
	Administration	1410		108,974	73,469.19	73,469.19	73,469.19	completed
	Vacancy Reduction	1460		10,000	10,000	10,000	10,000	completed
	Appliances	1465.1		40,000	36,922	36,922	36,922	completed
	Non-Dwelling Equipment	1475		75,000	75,000	72,294	72,294	completed
	Relocation	1495.1		5,000	5,000	0	0	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name:		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:		CFFP (Yes/ No):		Federal FFY of Grant:		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sup>2</sup>	
N/A								

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Aurora Housing Authority					Federal FFY of Grant: 2006
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL06-P090-001	9-15-2008	3-21-2008	9-15-2010	3-21-2008	
IL06-P090-003	9-15-2008	3-21-2008	9-15-2010	3-21-2008	
IL06-P090-004	9-15-2008	3-21-2008	9-15-2010	3-21-2008	
IL06-P090-005	9-15-2008	3-21-2008	9-15-2010	3-21-2008	
IL06-P090-006	9-15-2008	3-21-2008	9-15-2010	3-21-2008	
IL06-P090-007	9-15-2008	3-21-2008	9-15-2010	3-21-2008	
IL06-P090-008	9-15-2008	3-21-2008	9-15-2010	3-21-2008	
IL06-P090-009	9-15-2008	3-21-2008	9-15-2010	3-21-2008	
Agency Wide	9-15-2008	3-21-2008	9-15-2010	3-21-2008	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name:					Federal FFY of Grant:
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
N/A					

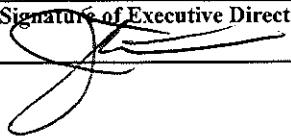
<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-07 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2007 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <u>9-30-2008</u> <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	0		0	0
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	120,000		120,000	120,000
3	1408 Management Improvements	35,000		0	0
4	1410 Administration (may not exceed 10% of line 21)	110,176		110,176	0
5	1411 Audit	0		0	0
6	1415 Liquidated Damages	0		0	0
7	1430 Fees and Costs	42,000		26,774	26,774
8	1440 Site Acquisition	0		0	0
9	1450 Site Improvement	0		0	0
10	1460 Dwelling Structures	669,589		85,659.69	76,624.69
11	1465.1 Dwelling Equipment—Nonexpendable	40,000		13,659	13,659
12	1470 Non-dwelling Structures	0		0	0
13	1475 Non-dwelling Equipment	75,000		3,036.28	3,036.28
14	1485 Demolition	0		0	0
15	1492 Moving to Work Demonstration	0		0	0
16	1495.1 Relocation Costs	0		0	0
17	1499 Development Activities <sup>4</sup>	0		0	0
18a	1501 Collateralization or Debt Service paid by the PHA	0		0	0
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0		0	
19	1502 Contingency (may not exceed 8% of line 20)	0		0	
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,101,765		359,279.97	240,093.97
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>					
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-07 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2007 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
Signature of Executive Director		Date	Signature of Public Housing Director		Date
		10/9/09			

Part II: Supporting Pages								
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:				Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
IL06-P090-001	Ext.,int. & major system update	1460		10,000		15,874.26	15,874.26	
IL06-P090-003	Ext.,int. & major system update	1460		20,000		12,330.35	12,330.35	
IL06-P090-004	Mod work,ext.,int. & major system	1460		549,678		63,591.97	54,581.97	
IL06-P090-005	Ext.,int. & major system update	1460		20,000		7,930.30	7,930.30	
IL06-P090-006	Ext.,int. & major system update	1460		20,000		4,560.75	4,560.75	
IL06-P090-007	Ext.,int. & major system update	1460		20,000		632.06	632.06	
IL06-P090-008	Ext.,int. & major system update	1460		20,000		0	0	
IL06-P090-009	Ext.,int. & major system update	1460		9,911		0	0	
Agency Wide	Operations	1406		120,000		120,000	120,000	
	Management Improvements	1408		25,000		0	0	
	Law Enforcement	1408		10,000		0	0	
	Administration	1410		110,176		110,176	0	
	Vacancy reduction	1460		25,000		0	0	
	Appliances	1465.1		40,000		13,659	13,659	
	Non-Dwelling Equipment	1475		75,000		3,036.28	3,036.28	
	Relocation	1495.1		10,000		0	0	
	A&E Services	1430		42,000		7,489	7,489	
Total						359,279.97	240,093.97	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:				Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sub>2</sub>	
N/A								

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.



Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Aurora Housing Authority IL06-P090-501-07					Federal FFY of Grant: 2007
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL06-P090-001	9-15-2008	9-15-2008	9-15-2010	9-15-2010	
IL06-P090-003	9-15-2008	9-15-2008	9-15-2010	9-15-2010	
IL06-P090-004	9-15-2008	9-15-2008	9-15-2010	9-15-2010	
IL06-P090-005	9-15-2008	9-15-2008	9-15-2010	9-15-2010	
IL06-P090-006	9-15-2008	9-15-2008	9-15-2010	9-15-2010	
IL06-P090-007	9-15-2008	9-15-2008	9-15-2010	9-15-2010	
IL06-P090-008	9-15-2008	9-15-2008	9-15-2010	9-15-2010	
IL06-P090-009	9-15-2008	9-15-2008	9-15-2010	9-15-2010	
Agency Wide	9-15-2008	9-15-2008	9-15-2010	9-15-2010	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Aurora Housing Authority IL06-P090-501-07					Federal FFY of Grant: 2007
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
N/A					

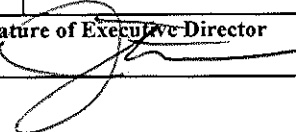
<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-08 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2008 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9-30-2008 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	0		0	0
2	1406 Operations (may not exceed 20% of line 21) <sup>4</sup>	120,000		120,00	120,000
3	1408 Management Improvements	35,000		0	0
4	1410 Administration (may not exceed 10% of line 21)	110,817		110,817	0
5	1411 Audit	0		0	0
6	1415 Liquidated Damages	0		0	0
7	1430 Fees and Costs	55,000		0	0
8	1440 Site Acquisition	0		0	0
9	1450 Site Improvement	0		0	0
10	1460 Dwelling Structures	656,589		0	0
11	1465.1 Dwelling Equipment—Nonexpendable	40,000		0	0
12	1470 Non-dwelling Structures	0		0	0
13	1475 Non-dwelling Equipment	80,772		0	0
14	1485 Demolition	0		0	0
15	1492 Moving to Work Demonstration	0		0	0
16	1495.1 Relocation Costs	10,000		0	0
17	1499 Development Activities <sup>4</sup>	0		0	0
18a	1501 Collateralization or Debt Service paid by the PHA	0		0	0
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0		0	0
19	1502 Contingency (may not exceed 8% of line 20)	0			
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,108,178		230,817	120,000
21	Amount of line 20 Related to LBP Activities	0			
22	Amount of line 20 Related to Section 504 Activities	15,000			
23	Amount of line 20 Related to Security – Soft Costs	0			
24	Amount of line 20 Related to Security – Hard Costs	15,000			
25	Amount of line 20 Related to Energy Conservation Measures	100,000			

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>					
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-08 Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2008 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
Signature of Executive Director 		Date 10/9/09	Signature of Public Housing Director		Date

Part II: Supporting Pages								
PHA Name: Aurora Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-08 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 1 IL090-108D	Mod work, int, ext and major systems	1460		556,589				
AMP 2 IL090-208D	int, ext and major systems	1460		100,000				
Agency Wide	Operations	1406		120,000		120,000	120,000	
	Management Improvements	1408		10,000		0	0	
	Law Enforcement	1408		25,000		0	0	
	Administration	1410		110,817		110,817	0	
	Vacancy Reduction	1460		10,000		0	0	
	Appliances	1465.1		40,000		0	0	
	Non-Dwelling Equipment	1475		80,772		0	0	
	Relocation	1495.1		10,000		0	0	
	A&E Services	1430		35,000		0	0	
Total						230,817	120,000	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages									
PHA Name: Aurora Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06-P090-501-08 CFFP (Yes/ No): Replacement Housing Factor Grant No:				Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sup>2</sup>		
N/A									

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Aurora Housing Authority IL06-P090-501-08					Federal FFY of Grant: 2008
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 IL090-108D	9-15-2010		9-15-2012		
AMP 2 IL090-208D	9-15-2010		9-15-2012		
Agency Wide					
Operations	9-15-2010	8-22-2008	9-15-2012	8-22-2008	
Management Improve.	9-15-2010		9-15-2012		
Administration	9-15-2010	8-22-2008	9-15-2012		
Vacancy Reduction	9-15-2010		9-15-2012		
Appliances	9-15-2010		9-15-2012		
Non-Dwelling Equip.	9-15-2010		9-15-2012		
Relocation	9-15-2010		9-15-2012		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Aurora Housing Authority IL06-P090-501-08					Federal FFY of Grant: 2008
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
N/A					

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

**Part I: Summary**

PHA Name: Aurora Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P090501-09 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:
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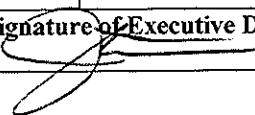
Type of Grant  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	\$0	\$0	\$0	\$0
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$220,000	\$0	\$0	\$0
3	1408 Management Improvements	\$30,000	\$0	\$0	\$0
4	1410 Administration (may not exceed 10% of line 21)	\$110,817	\$0	\$0	\$0
5	1411 Audit	\$0	\$0	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$0	\$0	\$0	\$0
8	1440 Site Acquisition	\$0	\$0	\$0	\$0
9	1450 Site Improvement	\$40,000	\$0	\$0	\$0
10	1460 Dwelling Structures	\$592,361	\$0	\$0	\$0
11	1465.1 Dwelling Equipment—Nonexpendable	\$45,000	\$0	\$0	\$0
12	1470 Non-dwelling Structures	\$0	\$0	\$0	\$0
13	1475 Non-dwelling Equipment	\$65,000	\$0	\$0	\$0
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1492 Moving to Work Demonstration	\$0	\$0	\$0	\$0
16	1495.1 Relocation Costs	\$5,000	\$0	\$0	\$0
17	1499 Development Activities <sup>4</sup>	\$0	\$0	\$0	\$0

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>					
<b>PHA Name:</b> Aurora Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P090501-09 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:2009</b> <b>FFY of Grant Approval: 2009</b>			
<b>Type of Grant</b>					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	\$0	\$0	\$0	\$0
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0	\$0	\$0	\$0
19	1502 Contingency (may not exceed 8% of line 20)	\$0	\$0	\$0	\$0
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$1,108,178	\$0	\$0	\$0
21	Amount of line 20 Related to LBP Activities	\$0	\$0	\$0	\$0
22	Amount of line 20 Related to Section 504 Activities	\$0	\$0	\$0	\$0
23	Amount of line 20 Related to Security - Soft Costs	\$0	\$0	\$0	\$0
24	Amount of line 20 Related to Security - Hard Costs	\$0	\$0	\$0	\$0
25	Amount of line 20 Related to Energy Conservation Measures	\$0	\$0	\$0	\$0
<b>Signature of Executive Director</b>		<b>Signature of Public Housing Director</b>		<b>Date</b>	
				10/9/09	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Aurora Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P090501-09 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP IL-090-001 Eastwood & Southwind	Architectural/Engineering Fees Design and Construction Management	1430	2 properties\ 92 units	\$40,000				
Eastwood & Southwind	Sitework - Repair sidewalks and parking lot asphalt surface, replace damaged stoops and install buffalo boxes level with existing gradient	1450	2 properties\ 92 units	\$50,000				
Eastwood & Southwind	Sitework - General landscaping, grade site areas to promote better water run off, install storm drains as needed to promote proper drainage	1450	2 properties\ 92 units	\$50,000				
Eastwood & Southwind	Sitework - Upgrade signage at buildings, grounds, parking areas	1450	2 properties	\$5,0000				
Eastwood & Southwind	Dwelling Units - Remove stone siding material. Repair substrate as needed. aprovide insulation and vapor barrier. Provide and install Replace siding	1460	43 Buildings\ 92 units	\$153,589				
AMP IL-090-001 Indian, Eastwood, Southwind,Jericho Circle & Scattered Sites	Asbestos abatement and comprehensive modernization,General physical improvements to dwelling units and structures	1460	337 units	\$150,000				
AMP IL-090-002 Maple Terrace, Centennial House & Centenniel House	Architectural/Engineering Fees Design and Construction Management	1430	4 Buildings\ 314 units	\$10,000				

Annex.								
AMP IL-090-002 Maple Terrace, Centennial House & Centennial House Annex.	Sitework - General landscaping - grading work as required to improve site drainage	1450	4 Buildings\ 314 units	\$10,000				
AMP IL-090-002 Maple Terrace, Centennial House & Centennial House Annex.	Dwelling Units - General physical improvements	1460	4 Buildings\ 315 units	85,772				
Authority Wide	Operations	1406	1 Year	\$220,000				
	Management Improvements - Staff training, software system update and computer system update	1408	1 Year	\$ 85,000				
	CFP Administration	1410	2 Years	\$110,817				
	Reduce vacancies	1460	1 Year	\$ 10,000				
	Dwelling Equipment - Nonexpendable	1465.1	2 Years	\$ 50,000				
	Nondwelling Equipment	1475	2 Years	\$ 75,000				
	Relocation	1495.1	2 Years	\$ 3,000				
Total:								

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

**Part II: Supporting Pages**

PHA Name: Aurora Housing Authority

Grant Type and Number  
Capital Fund Program Grant No: IL06P090501-09

Federal FFY of Grant: 2009

		CFFP (Yes/ No): No Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
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OMB No. 2577-0226  
Expires 4/30/2011

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>	
PHA Name: Aurora Housing Authority	Federal FFY of Grant: 2009

Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP IL-090-001 (Eastwood)					
A/E Fees - BLI 1430	9/14/2011		9/14/2013		
Sitework - BLI 1450	9/14/2011		9/14/2013		
Dwelling Units - BLI 1460	9/14/2011		9/14/2013		
Authority-Wide					
Operations - BLI 1406	9/14/2011		9/14/2013		
Mgt. Imp. - BLI 1408	9/14/2011		9/14/2013		
CFP Admin - BLI 1410	9/14/2011		9/14 /2013		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Aurora Housing Authority					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

## **18. Other Information**

[24 CFR Part 903.7 9 (r)]

### **A. Resident Advisory Board Recommendations**

1.  Yes  **No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?**

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at Attachment (File name)

Provided below:

Mary McEntee had two recommendations.

1. Replace windows in Centennial House and Annex.
2. Install a sprinkler system for Centennial House and Annex.

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments

List changes below:

Replacing windows for Centennial House and Annex is in the five year plan.

The AHA does not intend to install a sprinkler system for Centennial House and Annex.

Other: (list below)

### **B. Description of Election process for Residents on the PHA Board**

1.  Yes  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

Candidates were nominated by resident and assisted family organizations

Candidates could be nominated by any adult recipient of PHA assistance

Self-nomination: Candidates registered with the PHA and requested a place on ballot



- Other: (describe) **Resident Board member was appointed by the Mayor of Aurora to a five year term. James Cofield is the current resident on the AHA Board of Commissioners.**

b. Eligible candidates: (select one)

- Any recipient of PHA assistance  
 Any head of household receiving PHA assistance  
 Any adult recipient of PHA assistance  
 Any adult member of a resident or assisted family organization  
 Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)  
 Representatives of all PHA resident and assisted family organizations  
 Other (list)

### C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.  
 The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.  
 The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.  
 Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

1. The Consolidated Plan recommends that the AHA and the City of Aurora continue open communication to work out problems and offer solutions to ongoing circumstances.

2. The City of Aurora encourages the continued cooperation between the AHA and the Aurora Police Department to ensure the safety of public housing residents and the reduction of crime in and around public housing sites.

3. As the majority of residents eventually shall depart the umbrella of public housing, the City of Aurora encourages the AHA to embark on a cooperative

education program for public housing residents that would instruct them in terminology and nuances of appropriate credit, house searching, financing and home maintenance. The AHA coordinates activities with various service providers in the area to provide assistance to residents that are considering leaving public housing and renting or purchasing a home.

Other: (list below)

**D. Other Information Required by HUD**

**Aurora Housing Authority 2009 Agency Plan  
Resident Advisory Board Members**

Marilyn O'Neil  
905 Second Avenue, #105A  
Aurora, IL 60505

James Cofield  
1630 West Plum Street, #109  
Aurora, IL 60506

Mary McEntee  
1630 West Plum Street, #114  
Aurora, IL 60506

Mayor Tom Weisner, Mayor  
44 East Downer Place  
Aurora, IL 60507

Other Possible City of Aurora attendees:  
Bill Wiet, City of Aurora  
Alisia Lewis, City of Aurora  
Chuck Nelson, City of Aurora  
Rose Burns, City of Aurora