

# **PHA Plans**

## **Streamlined Annual Version**

**U.S. Department of Housing and  
Urban Development**  
Office of Public and Indian  
Housing

OMB No. 2577-0226  
(exp. 08/31/2009)

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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

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# **Streamlined Annual PHA Plan**

## **for Fiscal Year: 2008**

**PHA Name:**  
**Auburn Housing Authority**

**NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.**

## Streamlined Annual PHA Plan Agency Identification

**PHA Name:** AUBURN HOUSING AUTHORITY

**PHA Number:** ME 007

**PHA Fiscal Year Beginning:** 04/2008

**PHA Programs Administered:**

**Public Housing and Section 8**

Number of public housing units: 177  
Number of S8 units: 590

**Section 8 Only**

Number of S8 units:

**Public Housing Only**

Number of public housing units:

**PHA Consortia: (check box if submitting a joint PHA Plan and complete table)**

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

**PHA Plan Contact Information:**

Name: Richard Whiting  
TDD: 207-784-5545

Phone: 207-784-7351

Email (if available): [rwhiting@auburnhousing.org](mailto:rwhiting@auburnhousing.org)

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

PHA's main administrative office       PHA's development management offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plan revised policies or program changes (including attachments) are available for public review and inspection.       Yes       No.

If yes, select all that apply:

Main administrative office of the PHA

PHA development management offices

Main administrative office of the local, county or State government

Public library       PHA website       Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

Main business office of the PHA

PHA development management offices

Other (list below)

**Streamlined Annual PHA Plan**  
**Fiscal Year 2008**  
[24 CFR Part 903.12(c)]

**Table of Contents**  
[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

**A. PHA PLAN COMPONENTS**

- 1. Site-Based Waiting List Policies  
**903.7(b)(2) Policies on Eligibility, Selection, and Admissions**
- 2. Capital Improvement Needs  
**903.7(g) Statement of Capital Improvements Needed**
- 3. Section 8(y) Homeownership  
**903.7(k)(1)(i) Statement of Homeownership Programs**
- 4. Project-Based Voucher Programs
- 5. PHA Statement of Consistency with Consolidated Plan. Complete only if PHA has changed any policies, programs, or plan components from its last Annual Plan.
- 6. Supporting Documents Available for Review
- 7. Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report
- 8. Capital Fund Program 5-Year Action Plan

**B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE**

**Form HUD-50076**, *PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Streamlined Annual Plan* identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA's principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

**Form HUD-50070**, *Certification for a Drug-Free Workplace*;

**Form HUD-50071**, *Certification of Payments to Influence Federal Transactions*; and

**Form SF-LLL & SF-LLLa**, *Disclosure of Lobbying Activities*.

**1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies)**

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

**A. Site-Based Waiting Lists-Previous Year**

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to B.

<b>Site-Based Waiting Lists</b>				
<b>Development Information:</b> (Name, number, location)	<b>Date Initiated</b>	<b>Initial mix of Racial, Ethnic or Disability Demographics</b>	<b>Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL</b>	<b>Percent change between initial and current mix of Racial, Ethnic, or Disability demographics</b>
Towne House ME36P007001 Auburn	Since Inception	Unknown	White 97.7% Black .9% Native Am. .9% Asian .5% Disabled 70.4% Elderly 29.2%	Unknown
Merrill Estates ME36P007007 Mechanic Falls	Since Inception	Unknown	White 95.2% Black 1.9% Native Am. 1.9% Asian .95% Disabled 79.05% Elderly 19.04%	Unknown
Family Development ME36P007002 Auburn	Since Inception	Unknown	White 52.7% Black 46.3% Native Am. .5% Asian .5% Disabled 9.8% Elderly 1.5%	Unknown
Broadview Acres ME36P007010 Auburn	Since Inception	Unknown	White 74.3% Black 24.6% Native Am. .7% Asian .4% Disabled 10.4% Elderly 2.6%	Unknown

Lincoln School ME36P007008 Auburn	Since Inception	Unknown	White 80.4% Black 18.8% Native Am. .7% Disabled 10.9% Elderly 3.1%	Unknown
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2. What is the number of site based waiting list developments to which families may apply at one time? Family-2  
Elderly-2
3. How many unit offers may an applicant turn down before being removed from the site-based waiting list? 2
4.  Yes  No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

**B. Site-Based Waiting Lists – Coming Year**

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component.

1. How many site-based waiting lists will the PHA operate in the coming year? 5
2.  Yes  No: Are any or all of the PHA’s site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?
3.  Yes  No: May families be on more than one list simultaneously?  
If yes, how many lists? Family-3  
Elderly-2
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
  - PHA main administrative office
  - All PHA development management offices
  - Management offices at developments with site-based waiting lists
  - At the development to which they would like to apply
  - Other (list below)

**2. Capital Improvement Needs**

[24 CFR Part 903.12 (c), 903.7 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

**A. Capital Fund Program**

1.  Yes  No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.
  
2.  Yes  No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

**B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

1.  Yes  No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary).
  
2. Status of HOPE VI revitalization grant(s):

HOPE VI Revitalization Grant Status	
a. Development Name:	
b. Development Number:	
c. Status of Grant:	
	<input type="checkbox"/> Revitalization Plan under development
	<input type="checkbox"/> Revitalization Plan submitted, pending approval
	<input type="checkbox"/> Revitalization Plan approved
	<input type="checkbox"/> Activities pursuant to an approved Revitalization Plan underway

3.  Yes  No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name(s) below:

4.  Yes  No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:
5.  Yes  No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

**3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program**  
(if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)]

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to the next component; if "yes", complete each program description below (copy and complete questions for each program identified.)

2. Program Description:

a. Size of Program

- Yes  No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year? \_\_\_\_\_

b. PHA-established eligibility criteria

- Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria:

c. What actions will the PHA undertake to implement the program this year (list)?

The Authority will keep the Section 8 Homeownership option available to households as a reasonable accommodation under ADA.

3. Capacity of the PHA to Administer a Section 8 Homeownership Program:

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner down payment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.
- Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.

- Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below):
- Demonstrating that it has other relevant experience (list experience below):

#### **4. Use of the Project-Based Voucher Program**

##### **Intent to Use Project-Based Assistance**

Yes  No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If the answer is “no,” go to the next component. If yes, answer the following questions.

1.  Yes  No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply:
  - low utilization rate for vouchers due to lack of suitable rental units
  - access to neighborhoods outside of high poverty areas
  - other (describe below:)
2. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

#### **5. PHA Statement of Consistency with the Consolidated Plan**

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary) only if the PHA has provided a certification listing program or policy changes from its last Annual Plan submission.

1. Consolidated Plan jurisdiction: (provide name here) Auburn, Maine
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
  - The PHA has based its statement of needs of families on its waiting lists on the needs expressed in the Consolidated Plan/s.
  - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
  - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
  - Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
  - Other: (list below)



3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The City of Auburn’s Home and CDBG programs have assisted Auburn Housing Development Corporation and Auburn Residential Development Corporation with loans and grants, which reduce the need for financial assistance from Auburn Housing Authority.

### **6. Supporting Documents Available for Review for Streamlined Annual PHA Plans**

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;</i>	5 Year and Annual Plans
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan</i>	Streamlined Annual Plans
X	<i>Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.</i>	5 Year and standard Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-	Annual Plan: Eligibility, Selection, and Admissions

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
	Based Waiting List Procedure.	Policies
X	Deconcentration Income Analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. <input type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. <input type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
N/A	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
X	Any policies governing any Section 8 special housing types <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
N/A	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
N/A	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program (Chapter 20 of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs <input checked="" type="checkbox"/> Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
X	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
X	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
N/A	Consortium agreement(s) and for Consortium Joint PHA Plans <u>Only</u> : Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection.	Joint Annual PHA Plan for Consortia: Agency Identification and Annual Management and Operations

1. Section 8 Housing Choice Voucher Program Administrative Plan

21.0 Violence Against Women Act (VAWA) Provision re: denial or termination of assistance

2. Admission and Continued Occupancy Policy

17.0 Violence Against Women Act (VAWA) Provision re: Denial or termination of assistance.

**8. Capital Fund Program Five-Year Action Plan**

# Annual Statement /Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name:	Auburn Housing Authority	Grant Type and Number Capital Fund Program Grant No. Replacement Housing Factor Grant No:	ME36P00750105	Federal FY of Grant:  2005
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Original Annual Statement      Amended per ACC  Reserve for Disasters/Emergencies  Revised Annual Statement (Revision No: 3 )  
 Performance and Evaluation Report for Period Ending:      Final Performance and Evaluation Report 09/30/2007

Line No.	Summary by Development Account	Total Estimated Cost			Total Actual Cost		
		Original	Revised	Obligated	Expended		
1	Total Non-CFP Funds						
2	1406 Operations	\$35,315.00	\$35,315.00	\$35,315.00	\$35,315.00		
3	1408 Management Improvements	\$21,362.00	\$21,362.00	\$21,362.00	\$21,362.00		
4	1410 Administration	\$27,615.00	\$27,615.00	\$27,615.00	\$27,615.00		
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00		
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00		
7	1430 Fees and Costs	\$9,432.92	\$11,366.56	\$11,366.56	\$11,366.56		
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00		
9	1450 Site Improvement	\$0.00	\$0.00	\$0.00	\$0.00		
10	1460 Dwelling Structures	\$116,067.08	\$113,552.44	\$113,552.44	\$113,552.44		
11	1465.1 Dwelling Equipment-Nonexpendable	\$50,000.00	\$48,533.00	\$48,533.00	\$48,533.00		
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00		
13	1475 Nondwelling Equipment	\$16,362.00	\$18,410.00	\$18,410.00	\$18,410.00		
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00		
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00		
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00		
17	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00		
18	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00		
19	1501 Collateralization or Debt Service	\$0.00	\$0.00	\$0.00	\$0.00		
20	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00		
21	<b>Amount of Annual Grant: (sum of lines 2-20)</b>	\$276,154.00	\$276,154.00	\$276,154.00	\$276,154.00		
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00		
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00		
24	Amount of line 21 Related to Security -- Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00		
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00		
26	Amount of line 21 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00		

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750105</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2005</b>		Status of Work	
Development Number/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost
				Original	Revised	Funds Obligated	Funds Expended
<b>HA-Wide Operating</b>	Operating Costs	1406		\$35,315.00	\$35,315.00	\$35,315.00	\$35,315.00
<b>HA-Wide Mgmt Improvements</b>	Management Improvements Repl Computer Software(Stage 1)	1408		\$21,362.00	\$21,362.00	\$21,362.00	\$21,362.00
<b>HA-Wide Admin</b>	Funding for PHA Staff @ 10% of the annual grant amount in accordance with approved salary allocation plan	1410		\$27,615.00	\$27,615.00	\$27,615.00	\$27,615.00
<b>HA-Wide Fees and Costs</b>	A & E services based on estimate of design work required for work items.	1430		\$9,432.92	\$11,366.56	\$11,366.56	\$11,366.56
<b>HA-Wide</b>	Non-Dwell Equipment Repl Computer Hardware (Stage 1)	1475		\$16,362.00	\$16,362.00	\$16,362.00	\$16,362.00
<b>HA-Wide</b>	Contingency	1502		\$0.00	\$0.00	\$0.00	\$0.00
<b>Total</b>	<b>HA-Wide</b>	<b>Project Total:</b>		<b>\$110,086.92</b>	<b>\$112,020.56</b>	<b>\$112,020.56</b>	<b>\$112,020.56</b>

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750105</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2005</b>		Status of Work
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		
				Original	Revised	
<b>Site:</b> Lake Auburn Towne House ME 7-1		1450	Total Site:	\$0.00	\$0.00	
<b>Mechanical and Electrical:</b> None		1460	Total M&E:	\$0.00	\$0.00	
<b>Building Exterior:</b> None		1460	Total B.E.:	\$0.00	\$0.00	
<b>Dwelling Units:</b> Replace Bathroom Toilets/Sinks Combine 20 ea 0-BR Units into 10 ea 1-BR Units as Vacancies Occur		1460	Total DUs:	\$0.00	\$9,861.21	\$9,861.21
<b>Dwelling Equipment:</b> Replace Refrigerators		1465.1	87	\$9,861.21	\$9,861.21	\$9,861.21
<b>Interior Common Areas:</b>		1460	Total D.E.:	\$50,000.00	\$39,033.00	\$39,033.00
<b>Site-Wide Facilities:</b> None		1470	Total I.C.As:	\$50,000.00	\$39,033.00	\$39,033.00
<b>Nondwelling Equipment:</b>		1475	Total SWFs:	\$0.00	\$0.00	
<b>Total</b>	<b>Towne House</b>		Total NDE:	\$0.00	\$0.00	
			<b>Project Total:</b>	<b>\$59,861.21</b>	<b>\$48,894.21</b>	<b>\$48,894.21</b>

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750105</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2005</b>		Status of Work		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost	
				Original	Revised		Obligated	Expended
<b>Family Development</b> ME 7-2	<b>Site:</b> Repairs to Sewer Drains	1450	Total Site:	\$0.00	\$0.00			
	<b>Mechanical and Electrical:</b> None	1460	Total M&E:	\$0.00	\$0.00			
	<b>Building Exterior:</b> Replace Roofs (Stage 2)	1460	Total B.E.:	\$101,205.87	\$101,205.87	\$101,205.87	\$101,205.87	
	<b>Dwelling Units:</b> Replace Counters/Sinks & Reface Kitchen Cabinets as Needed	1460	Total DUs:	\$0.00	\$0.00			
	<b>Dwelling Equipment:</b> None	1465.1	Total D.E.:	\$0.00	\$0.00			
	<b>Interior Common Areas:</b> None	1460	Total ICAs:	\$0.00	\$0.00			
	<b>Site-Wide Facilities:</b> Replace Roof - Comm Room Bldg	1470	Total SWFs:	\$0.00	\$0.00			
	<b>Nondwelling Equipment:</b> Replace Comm Room Refrigerator	1475	1	\$0.00	\$1,024.00	\$1,024.00	\$1,024.00	
<b>Total</b>	<b>ME 7-2</b>		<b>Project Total:</b>	<b>\$101,205.87</b>	<b>\$102,229.87</b>	<b>\$102,229.87</b>	<b>\$102,229.87</b>	



**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750105</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2005</b>		Status of Work
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		
				Original	Revised	
<b>Merrill Estates</b> ME 7-7	<b>Site:</b> None	1450	Total Site:	\$0.00	\$0.00	
	<b>Mechanical and Electrical:</b> None	1460	Total M&E:	\$0.00	\$0.00	
	<b>Building Exterior:</b>	1460	Total B.E.:	\$0.00	\$0.00	\$0.00
	<b>Dwelling Units:</b> None	1460	Total DUs:	\$0.00	\$0.00	
	<b>Dwelling Equipment:</b> None	1465.1	Total D.E.:	\$0.00	\$0.00	
	<b>Interior Common Areas:</b>	1460	Total ICAs:	\$0.00	\$0.00	\$0.00
	<b>Site-Wide Facilities:</b>	1470	Total SWFs:	\$0.00	\$0.00	\$0.00
	<b>Nondwelling Equipment:</b> Replace Comm Room Refrigerator	1475	1	\$0.00	\$1,024.00	\$1,024.00
			Total NDE:	\$0.00	\$1,024.00	\$1,024.00
<b>Total</b>	<b>ME 7-7</b>		<b>Project Total:</b>	<b>\$0.00</b>	<b>\$1,024.00</b>	<b>\$1,024.00</b>

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750105</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2005</b>		Status of Work
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		
				Original	Revised	
<b>Lincoln School ME 7-8</b>	<b>Site: None</b>	1450	Total Site:	\$0.00	\$0.00	
	<b>Mechanical and Electrical: None</b>	1460	Total M&E:	\$0.00	\$0.00	
	<b>Building Exterior: None</b>	1460	Total B.E.:	\$0.00	\$0.00	
	<b>Dwelling Units: None</b>	1460	Total DUs:	\$0.00	\$0.00	
	<b>Dwelling Equipment: None</b>	1465.1	Total D.E.:	\$0.00	\$0.00	
	<b>Interior Common Areas: Add Emerg Lights/Repl Firepanels</b>	1460	Total ICAs:	\$5,000.00	\$2,485.36	\$2,485.36
	<b>Site-Wide Facilities: None</b>	1470	Total SWFs:	\$5,000.00	\$2,485.36	\$2,485.36
	<b>Nondwelling Equipment: None</b>	1475	Total NDE:	\$0.00	\$0.00	
<b>Total</b>	<b>ME 7-8</b>		<b>Project Total:</b>	<b>\$5,000.00</b>	<b>\$2,485.36</b>	<b>\$2,485.36</b>

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750105</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2005</b>		Status of Work
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		
				Original	Revised	
<b>Broadview Acres ME 7-10</b>	<b>Site:</b> None	1450	Total Site:	\$0.00	\$0.00	
	<b>Mechanical and Electrical:</b> None	1460	Total M&E:	\$0.00	\$0.00	
	<b>Building Exterior:</b>	1460	Total B.E.:	\$0.00	\$0.00	
	<b>Dwelling Units:</b>	1460	Total DUs:	\$0.00	\$0.00	
	<b>Dwelling Equipment:</b> Replace Refrigerators	1465.1	20	\$0.00	\$9,500.00	\$9,500.00
	<b>Interior Common Areas:</b> None	1460	Total D.E.:	\$0.00	\$9,500.00	\$9,500.00
	<b>Site-Wide Facilities:</b> None	1470	Total ICAs:	\$0.00	\$0.00	
	<b>Nondwelling Equipment:</b> None	1475	Total SWFs:	\$0.00	\$0.00	
<b>Total</b>	<b>ME 7-10</b>		<b>Project Total:</b>	<b>\$0.00</b>	<b>\$9,500.00</b>	<b>\$9,500.00</b>

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part III: Implementation Schedule**

PHA Name: <b>Auburn Housing Authority</b>	Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Federal FY of Grant: <b>2005</b>	Reasons for Revised Target Dates		
		Grant Type and Number Capital Fund Program Grant No.: <b>ME36P00750105</b> Replacement Housing Factor Grant No:		Original				Actual	
		Original	Revised	Original	Revised			Actual	Actual
<b>HA-Wide</b>		09/07		09/09					
<b>ME 7-1</b>	Lake Auburn Towne House	09/07		09/09					
<b>ME 7-2</b>	Family Development	09/07		09/09					
<b>ME 7-7</b>	Merrill Estates	09/07		09/09					
<b>ME 7-8</b>	Lincoln School	09/07		09/09					
<b>ME 7-10</b>	Broadview Acres	09/07		09/09					
<b>Obligation Start Date:</b>		<b>08/18/05</b>							

# Annual Statement /Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: <b>Auburn Housing Authority</b>	Grant Type and Number Capital Fund Program Grant No. <b>ME36P00750106</b> Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2006</b>
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Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: 3 )  
 Performance and Evaluation Report for Period Ending: 09/30/07       Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost			Total Actual Cost	
		Original	Revised	Obligated	Expended	
1	Total Non-CFP Funds					
2	1406 Operations	\$32,724.00	\$32,724.00	\$32,724.00	\$16,362.00	
3	1408 Management Improvements	\$12,138.00	\$12,138.00	\$12,138.00	\$12,138.00	
4	1410 Administration	\$23,896.00	\$23,896.00	\$23,896.00	\$11,948.00	
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00	
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00	
7	1430 Fees and Costs	\$13,000.00	\$16,173.22	\$7,546.17	\$7,546.17	
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00	
9	1450 Site Improvement	\$73,269.00	\$57,319.50	\$45,319.50	\$319.50	
10	1460 Dwelling Structures	\$41,000.00	\$90,368.65	\$90,368.65	\$90,368.65	
11	1465.1 Dwelling Equipment-Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00	
12	1470 Nondwelling Structures	\$40,000.00	\$0.00	\$0.00	\$0.00	
13	1475 Nondwelling Equipment	\$10,000.00	\$13,407.63	\$13,407.63	\$13,407.63	
14	1485 Demolition	\$0.00				
15	1490 Replacement Reserve	\$0.00				
16	1492 Moving to Work Demonstration	\$0.00				
17	1495.1 Relocation Cost	\$0.00				
18	1499 Development Activities	\$0.00				
19	1501 Collateralization or Debt Service	\$0.00				
20	1502 Contingency	\$0.00				
21	<b>Amount of Annual Grant: (sum of lines 2-20)</b>	<b>\$246,027.00</b>	<b>\$246,027.00</b>	<b>\$225,399.95</b>	<b>\$152,089.95</b>	
22	Amount of line 21 Related to LBP Activities	\$0.00				
23	Amount of line 21 Related to Section 504 Compliance	\$0.00				
24	Amount of line 21 Related to Security -- Soft Costs	\$0.00				
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00				
26	Amount of line 21 Related to Energy Conservation Measures	\$0.00				

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750106</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2006</b>		Status of Work	
Development Number/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Funds Obligated	Funds Expended
<b>HA-Wide Operating</b>	Operating Costs	1406		\$32,724.00	\$32,724.00	\$32,724.00	\$16,362.00
<b>HA-Wide Mgmt Improvements</b>	Management Improvements Repl Computer Software(Stage 2)	1408		\$12,138.00	\$12,138.00	\$12,138.00	\$12,138.00
<b>HA-Wide Admin</b>	Funding for PHA Staff @ 10% of the annual grant amount in accordance with approved salary allocation plan	1410		\$23,896.00	\$23,896.00	\$23,896.00	\$11,948.00
<b>HA-Wide Fees and Costs</b>	A & E services based on estimate of design work required for work items.	1430		\$13,000.00	\$16,173.22	\$7,546.17	\$7,546.17
<b>HA-Wide</b>	Non-Dwell Equipment Repl Computer Hardware (Stage 2)	1475		\$10,000.00	\$13,407.63	\$13,407.63	\$13,407.63
<b>HA-Wide</b>	Contingency	1502		\$0.00	\$0.00	\$0.00	\$0.00
<b>Total</b>	<b>HA-Wide</b>		<b>Project Total:</b>	<b>\$91,758.00</b>	<b>\$98,338.85</b>	<b>\$89,711.80</b>	<b>\$61,401.80</b>

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
				Federal FY of Grant: <b>2006</b>				
<b>PHA Name:</b> <b>Auburn Housing Authority</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No <b>ME36P00750106</b> Replacement Housing Factor Grant No:						
<b>Lake Auburn Towne House ME 7-1</b>	<b>Site:</b> None	1450	Total Site:	\$0.00				
	<b>Mechanical and Electrical:</b> None	1460	Total M&E:	\$0.00				
	<b>Building Exterior:</b> None	1460	Total B.E.:	\$0.00				
	<b>Dwelling Units:</b> Combine 20 ea 0-BR Units into 10 ea 1-BR Units as Vacancies Occur	1460	Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Dwelling Equipment:</b> None	1465.1	Total D.E.:	\$0.00				
	<b>Interior Common Areas:</b> None	1460	Total ICAs:	\$0.00				
	<b>Site-Wide Facilities:</b> None	1470	Total SWFs:	\$0.00				
	<b>Nondwelling Equipment:</b> None	1475	Total NDE:	\$0.00				
<b>Total</b>	<b>Towne House</b>		<b>Project Total:</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

**Annual Statement /Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750106</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2006</b>		Status of Work	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost
				Original	Revised	Obligated	Expended
<b>Family Development</b> ME 7-2	<b>Site:</b> Replace Dumpster Pads	1450	Total Site:	\$25,000.00	\$0.00	\$0.00	\$0.00
	<b>Mechanical and Electrical:</b> None	1460	Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00
	<b>Building Exterior:</b>	1460	Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00
	<b>Dwelling Units:</b> Repl Kitchen Counters & Reface/ Replace Cabinet Doors - Stage 1	1460	Total D.U.s:	\$0.00	\$0.00	\$0.00	\$0.00
	<b>Dwelling Equipment:</b> None	1465.1	Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00
	<b>Interior Common Areas:</b> None	1460	Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00
	<b>Site-Wide Facilities:</b> None	1470	Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00
	<b>Nondwelling Equipment:</b> None	1475	Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total</b>	<b>ME 7-2</b>		<b>Project Total:</b>	<b>\$25,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>



**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750106</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2006</b>		Status of Work	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost
				Original	Revised	Obligated	Expended
<b>Merrill Estates ME 7-7</b>	<b>Site:</b> Repl Exterior Handrails - Entrances	1450	Total Site:	\$12,000.00	\$12,000.00	\$0.00	\$0.00
	<b>Mechanical and Electrical:</b> None	1460	Total M&E:	\$0.00			
	<b>Building Exterior:</b> Replace Windows Fire Rated Ceilings-Basements/Doors - Boiler/Storage Rooms	1460	Total B.E.:	\$39,000.00 \$2,000.00	\$50,498.00 \$0.00	\$50,498.00 \$0.00	\$50,498.00 \$0.00
	<b>Dwelling Units:</b> None	1460	Total DUs:	\$0.00			
	<b>Dwelling Equipment:</b> None	1465.1	Total D.E.:	\$0.00			
	<b>Interior Common Areas:</b> Renovate Community Room	1460	Total ICAs:	\$0.00	\$39,870.65	\$39,870.65	\$39,870.65
	<b>Site-Wide Facilities:</b> Renovate Community Room (RECLAS AS 1460)	1470	Total SWFs:	\$40,000.00	\$0.00	\$0.00	\$0.00
	<b>Nondwelling Equipment:</b> Refrigerator and Stove - Comm Room	1475	Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total</b>	<b>ME 7-7</b>		<b>Project Total:</b>	<b>\$93,000.00</b>	<b>\$102,368.65</b>	<b>\$90,368.65</b>	<b>\$90,368.65</b>

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended		
				Federal FY of Grant:			2006		
Lincoln School ME 7-8	Site: Repairs to Existing Retaining Wall	1450	Total Site:	\$36,269.00	\$45,319.50	\$45,319.50	\$319.50		
	Mechanical and Electrical: None	1460	Total M&E:	\$0.00	\$45,319.50	\$45,319.50	\$319.50		
	Building Exterior: None	1460	Total B.E.:	\$0.00					
	Dwelling Units: Replace Entrance Doors to Units Replace Closet Doors - Units	1460	Total DUs:	\$0.00					
	Dwelling Equipment: None	1465.1	Total D.E.:	\$0.00					
	Interior Common Areas: Replace Common Area Doors	1460	Total ICAs:	\$0.00					
	Site-Wide Facilities: None	1470	Total SWFs:	\$0.00					
	Nondwelling Equipment: None	1475	Total NDE:	\$0.00					
<b>Total</b>	<b>ME 7-8</b>		<b>Project Total:</b>	<b>\$36,269.00</b>	<b>\$45,319.50</b>	<b>\$45,319.50</b>	<b>\$319.50</b>		



**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part III: Implementation Schedule**

PHA Name: <b>Auburn Housing Authority</b>	Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Federal FY of Grant: <b>2006</b>	Reasons for Revised Target Dates		
		Original		Revised				Original	Actual
		Original	Revised	Original	Revised				
<b>HA-Wide</b>		07/18/08		07/18/10					
<b>ME 7-1</b>	<b>Lake Auburn Towne House</b>	07/18/08		07/18/10					
<b>ME 7-2</b>	<b>Family Development</b>	07/18/08		07/18/10					
<b>ME 7-7</b>	<b>Merrill Estates</b>	07/18/08		07/18/10					
<b>ME 7-8</b>	<b>Lincoln School</b>	07/18/08		07/18/10					
<b>ME 7-10</b>	<b>Broadview Acres</b>	07/18/08		07/18/10					

# Annual Statement /Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: <b>Auburn Housing Authority</b>	Grant Type and Number Capital Fund Program Grant No. <b>ME36P00750107</b> Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2007</b>
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Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (Revision No: )  
 Performance and Evaluation Report for Period Ending: 09/30/07       Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost			Total Actual Cost	
		Original	Revised	Obligated	Expended	
1	Total Non-CFP Funds					
2	1406 Operations	\$29,171.00	\$29,171.00	\$0.00	\$0.00	
3	1408 Management Improvements	\$1,000.00	\$1,000.00	\$0.00	\$0.00	
4	1410 Administration	\$24,763.00	\$24,763.00	\$0.00	\$0.00	
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00	
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00	
7	1430 Fees and Costs	\$12,000.00	\$12,000.00	\$0.00	\$0.00	
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00	
9	1450 Site Improvement	\$25,000.00	\$25,000.00	\$0.00	\$0.00	
10	1460 Dwelling Structures	\$153,703.00	\$153,703.00	\$0.00	\$0.00	
11	1465.1 Dwelling Equipment-Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00	
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00	
13	1475 Nondwelling Equipment	\$2,000.00	\$2,000.00	\$0.00	\$0.00	
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00	
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00	
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00	
17	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00	
18	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00	
19	1501 Collateralization or Debt Service	\$0.00	\$0.00	\$0.00	\$0.00	
20	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00	
21	<b>Amount of Annual Grant: (sum of lines 2-20)</b>	<b>\$247,637.00</b>	<b>\$247,637.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00	
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00	
24	Amount of line 21 Related to Security -- Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00	
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00	
26	Amount of line 21 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00	

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750107</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2007</b>		Status of Work	
Development Number/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Funds Obligated	Funds Expended
<b>HA-Wide Operating</b>	Operating Costs	1406		\$29,171.00	\$29,171.00	\$0.00	\$0.00
<b>HA-Wide Mgmt Improvements</b>	Management Improvements	1408		\$1,000.00	\$1,000.00	\$0.00	\$0.00
<b>HA-Wide Admin</b>	Funding for PHA Staff @ 10% of the annual grant amount in accordance with approved salary allocation plan	1410		\$24,763.00	\$24,763.00	\$0.00	\$0.00
<b>HA-Wide Fees and Costs</b>	A & E services based on estimate of design work required for work items.	1430		\$12,000.00	\$12,000.00	\$0.00	\$0.00
<b>HA-Wide</b>	Non-Dwell Equipment Repl Non-Dwell Equip as Needed	1475		\$2,000.00	\$2,000.00	\$0.00	\$0.00
<b>HA-Wide</b>	Contingency	1502		\$0.00	\$0.00		
<b>Total</b>	<b>HA-Wide</b>	<b>Project Total:</b>		<b>\$68,934.00</b>	<b>\$68,934.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750107</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2007</b>		Status of Work		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost	
				Original	Revised		Obligated	Expended
<b>Lake Auburn Towne House ME 7-1</b>	<b>Site:</b> None	1450	Total Site:	\$0.00	\$0.00			
	<b>Mechanical and Electrical:</b> Replace Fire Panel Overhaul A/C Units	1460		\$65,000.00 \$10,000.00	\$65,000.00 \$10,000.00	\$0.00 \$0.00	\$0.00 \$0.00	
	<b>Building Exterior:</b> Lighting Replace Roof - Community Room Scrape/Paint Trim/Canopy	1460	Total M&E:	\$75,000.00	\$75,000.00	\$0.00	\$0.00	
	<b>Dwelling Units:</b> None	1460	Total B.E.:	\$39,500.00	\$39,500.00	\$0.00	\$0.00	
	<b>Dwelling Equipment:</b> None	1465.1	Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Interior Common Areas:</b> Tritium Exit Signs	1460	Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Site-Wide Facilities:</b> None	1470	Total ICAs:	\$6,500.00	\$6,500.00	\$0.00	\$0.00	
	<b>Nondwelling Equipment:</b> None	1475	Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
<b>Total</b>	<b>Towne House</b>		<b>Project Total:</b>	<b>\$121,000.00</b>	<b>\$121,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750107</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2007</b>		Status of Work	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost
				Original	Revised	Obligated	Expended
<b>Family Development</b> ME 7-2	<b>Site:</b> Replace Dumpster Pads	1450	Total Site:	\$25,000.00	\$25,000.00	\$0.00	\$0.00
	<b>Mechanical and Electrical:</b> Replace Mixing Valves - Boiler Reloc Boiler Make-Up Water	1460	Total M&E:	\$5,000.00 \$1,500.00	\$5,000.00 \$1,500.00	\$0.00 \$0.00	\$0.00 \$0.00
	<b>Building Exterior:</b> None	1460	Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00
	<b>Dwelling Units:</b> Repair/Repl Sill/Joists - Bldg 500 Dehumidistats - All Bldg Basements	1460	Total DUs:	\$12,500.00 \$13,703.00	\$12,500.00 \$13,703.00	\$0.00 \$0.00	\$0.00 \$0.00
<b>Dwelling Equipment:</b> None	<b>Interior Common Areas:</b> None	1465.1	Total D.E.:	\$0.00	\$0.00		
		1470	Total ICAs:	\$0.00	\$0.00		
		1475	Total SWFs:	\$0.00	\$0.00		
<b>Total</b>	<b>ME 7-2</b>		<b>Project Total:</b>	<b>\$57,703.00</b>	<b>\$57,703.00</b>	<b>\$0.00</b>	<b>\$0.00</b>



**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750107</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2007</b>		Status of Work	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost
				Original	Revised	Obligated	Expended
<b>Merrill Estates</b> <b>ME 7-7</b>	<b>Site:</b> <b>None</b>	<b>1450</b>	<b>Total Site:</b>	<b>\$0.00</b>	<b>\$0.00</b>		
	<b>Mechanical and Electrical:</b> <b>None</b>	<b>1460</b>	<b>Total M&amp;E:</b>	<b>\$0.00</b>	<b>\$0.00</b>		
	<b>Building Exterior:</b> <b>None</b>	<b>1460</b>	<b>Total B.E.:</b>	<b>\$0.00</b>	<b>\$0.00</b>		
	<b>Dwelling Units:</b> <b>None</b>	<b>1460</b>	<b>Total DUs:</b>	<b>\$0.00</b>	<b>\$0.00</b>		
	<b>Dwelling Equipment:</b> <b>None</b>	<b>1465.1</b>	<b>Total D.E.:</b>	<b>\$0.00</b>	<b>\$0.00</b>		
	<b>Interior Common Areas:</b> <b>None</b>	<b>1460</b>	<b>Total I.C.As:</b>	<b>\$0.00</b>	<b>\$0.00</b>		
	<b>Site-Wide Facilities:</b>	<b>1470</b>	<b>Total SWFs:</b>	<b>\$0.00</b>	<b>\$0.00</b>		
	<b>Nondwelling Equipment:</b> <b>None</b>	<b>1475</b>	<b>Total NDE:</b>	<b>\$0.00</b>	<b>\$0.00</b>		
<b>Total</b>	<b>ME 7-7</b>		<b>Project Total:</b>	<b>\$0.00</b>	<b>\$0.00</b>		

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750107</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2007</b>		Status of Work	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost
				Original	Revised	Obligated	Expended
<b>Lincoln School ME 7-8</b>	<b>Site:</b> None	1450	Total Site:	\$0.00	\$0.00		
	<b>Mechanical and Electrical:</b> None	1460	Total M&E:	\$0.00	\$0.00		
	<b>Building Exterior:</b> None	1460	Total B.E.:	\$0.00	\$0.00		
	<b>Dwelling Units:</b> None	1460	Total DUs:	\$0.00	\$0.00		
	<b>Dwelling Equipment:</b> None	1465.1	Total D.E.:	\$0.00	\$0.00		
	<b>Interior Common Areas:</b> None	1460	Total I.C.As:	\$0.00	\$0.00	\$0.00	\$0.00
	<b>Site-Wide Facilities:</b> None	1470	Total SWFs:	\$0.00	\$0.00		
	<b>Nondwelling Equipment:</b> None	1475	Total NDE:	\$0.00	\$0.00		
<b>Total</b>	<b>ME 7-8</b>		<b>Project Total:</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750107</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2007</b>		Status of Work	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost
				Original	Revised	Obligated	Expended
<b>Broadview Acres ME 7-10</b>	<b>Site: None</b>	1450	Total Site:	\$0.00	\$0.00		
	<b>Mechanical and Electrical: None</b>	1460	Total M&E:	\$0.00	\$0.00		
	<b>Building Exterior: None</b>	1460	Total B.E.:	\$0.00	\$0.00		
	<b>Dwelling Units: None</b>	1460	Total DUs:	\$0.00	\$0.00		
	<b>Dwelling Equipment: None</b>	1465.1	Total D.E.:	\$0.00	\$0.00		
	<b>Interior Common Areas: None</b>	1460	Total ICAs:	\$0.00	\$0.00		
	<b>Site-Wide Facilities: None</b>	1470	Total SWFs:	\$0.00	\$0.00		
	<b>Nondwelling Equipment: None</b>	1475	Total NDE:	\$0.00	\$0.00		
<b>Total</b>	<b>ME 7-10</b>		<b>Project Total:</b>	<b>\$0.00</b>	<b>\$0.00</b>		

**Annual Statement /Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part III: Implementation Schedule**

PHA Name: <b>Auburn Housing Authority</b>	Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Federal FY of Grant: <b>2007</b>	Reasons for Revised Target Dates
		Grant Type and Number Capital Fund Program Grant No.: <b>ME36P00750107</b> Replacement Housing Factor Grant No:					
		Original	Revised	Original	Revised		
<b>HA-Wide</b>		09/12/2009		09/12/2011			
<b>ME 7-1</b>	Lake Auburn Towne House	09/12/2009		09/12/2011			
<b>ME 7-2</b>	Family Development	09/12/2009		09/12/2011			
<b>ME 7-7</b>	Merrill Estates	09/12/2009		09/12/2011			
<b>ME 7-8</b>	Lincoln School	09/12/2009		09/12/2011			
<b>ME 7-10</b>	Broadview Acres	09/12/2009		09/12/2011			
	<b>Obligation Start Date:</b>	<b>09/12/07</b>					

**Capital Fund Program Five-Year Action Plan  
Part I: Summary**

PHA Name: <b>Auburn Housing Authority</b>		<input type="checkbox"/> Original 5-Year Plan <input checked="" type="checkbox"/> Revision No.: <b>3</b>			
Development Number/Name/HA-Wide	Year 1 <b>2008</b>	Work Statement for Year 2 FFY Grant: <b>2009</b> PHA FY: <b>2010</b>	Work Statement for Year 3 FFY Grant: <b>2010</b> PHA FY: <b>2011</b>	Work Statement for Year 4 FFY Grant: <b>2011</b> PHA FY: <b>2012</b>	Work Statement for Year 5 FFY Grant: <b>2012</b> PHA FY: <b>2013</b>
Lake Auburn Towne House (7-1)		\$0	\$0	\$85,000	\$45,000
Family Development (7-2)		\$229,976	\$202,703	\$39,000	\$95,703
Merrill Estates (7-7)		\$0	\$0	\$45,703	\$27,000
Lincoln School (7-8)		\$0	\$0	\$64,000	\$0
Broadview Acres (7-10)	Annual	\$0	\$0	\$3,000	\$0
HA-Wide Physical Activities	Statement	\$1,000	\$1,000	\$1,000	\$70,000
HA-Wide Non-Physical Activities		\$63,175	\$110,448	\$76,448	\$76,448
HA-Wide Contingency		\$13,090	\$13,090	\$13,090	\$13,090
CFP Funds Listed for 5-year planning		\$327,241	\$327,241	\$327,241	\$327,241
Replacement Housing Factor Funds		\$0	\$0	\$0	\$0

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2008	Activities for Year 2 FFY Grant: 2009 PHA FY: 2010	Activities for Year 3 FFY Grant: 2010 PHA FY: 2011	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
	<b>HA-Wide Physical Improvements</b>	<b>HA-Wide Physical Improvements</b>	\$0	Total Site: HA WIDE Non-Dwell Structures	\$0	HA-WIDE Site: Total Site: HA WIDE Non-Dwell Structures	\$0
			\$0	Total Non-D.S.	\$0	Total Non-D.S.	\$0
			\$1,000	HA WIDE Non-Dwell Equipment Repl Equip as Needed	\$1,000	HA WIDE Non-Dwell Equipment Repl Equip as Needed	\$1,000
			\$1,000	Total Non-D.E.	\$1,000	Total Non-D.E.	\$1,000
	<b>HA-Wide Non-Physical Activity</b>	<b>HA-Wide Non-Physical Activity</b>	\$1,000	Total HA WIDE - Physical Impr	\$1,000	Total HA WIDE - Physical Impr	\$1,000
			\$32,724	Operations	\$32,724	Operations	\$32,724
			\$1,000	Mgmt Improvements	\$1,000	Mgmt Improvements	\$25,000
			\$32,724	Administration	\$32,724	Capital Needs/Mgmt Assessment Administration	\$32,724
			\$16,727	A&E Costs	\$16,727	A&E Costs	\$20,000
			\$83,175	Total HA-Wide Non-Physical Act	\$83,175	Total HA-Wide Non-Physical Act	\$110,448
			\$13,090	HA-WIDE Contingency (4%)	\$13,090	HA-WIDE Contingency (4%)	\$13,090
	<b>Subtotal of Estimated Cost</b>	<b>Subtotal of Estimated Cost</b>	<b>\$97,265</b>				<b>\$124,538</b>

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2008	Activities for Year 4 FFY Grant: 2011 PHA FY: 2012	Activities for Year 5 FFY Grant: 2012 PHA FY: 2013
Development Name/Number	Major Work Categories	Major Work Categories
Estimated Cost	Estimated Cost	Estimated Cost
<b>HA-Wide Physical Improvements</b>	<b>HA-WIDE Site:</b> None	<b>HA-WIDE Site:</b> None
\$0	Total Site: <b>HA WIDE Non-Dwell Structures</b>	Total Site: <b>HA WIDE Non-Dwell Structures</b>
\$0	Total Non-D.S.	Total Non-D.S.
\$1,000	<b>HA WIDE Non-Dwell Equipment</b> Replace Equip as Needed	<b>HA WIDE Non-Dwell Equipment</b> Replace Computer Hardware Replace Lawn Tractors Replace Maint Tractor
\$1,000	Total Non-D.E.	Total Non-D.E.
\$1,000	<b>Total HA WIDE - Physical Impr</b>	<b>Total HA WIDE - Physical Impr</b>
\$32,724	Operations	Operations
\$1,000	Mgmt Improvements	Mgmt Improvements
\$32,724	Administration	Administration
\$10,000	A&E Costs	A&E Costs
\$76,448	<b>Total HA-Wide Non-Physical Act</b>	<b>Total HA-Wide Non-Physical Act</b>
\$13,090	<b>HA-WIDE Contingency (4%)</b>	<b>HA-WIDE Contingency (4%)</b>
<b>\$90,538</b>	<b>Subtotal of Estimated Cost</b>	<b>Subtotal of Estimated Cost</b>
<b>\$159,538</b>		

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2008	Activities for Year 2 FFY Grant: 2009 PHA FY: 2010	Activities for Year 3 FFY Grant: 2010 PHA FY: 2011
Development Name/Number	Major Work Categories	Major Work Categories
Estimated Cost	Estimated Cost	Estimated Cost
<b>Lake Auburn Towne House (7-1)</b>	<b>Site:</b>  <b>Mechanical and Electrical:</b>  <b>Building Exterior:</b>  <b>Total B.E.:</b>  <b>Dwelling Units:</b>  <b>Total DUs:</b>  <b>Dwelling Equipment:</b>  <b>Interior Common Areas:</b>  <b>Site-Wide Facilities:</b>  <b>Total SWFs:</b>  <b>Nondwelling Equipment:</b>  <b>Total NDE:</b>	<b>Site:</b>  <b>Mechanical and Electrical:</b>  <b>Building Exterior:</b>  <b>Total B.E.:</b>  <b>Dwelling Units:</b>  <b>Total DUs:</b>  <b>Dwelling Equipment:</b>  <b>Interior Common Areas:</b>  <b>Site-Wide Facilities:</b>  <b>Total SWFs:</b>  <b>Nondwelling Equipment:</b>  <b>Total NDE:</b>
\$0	\$0	\$0
<b>Subtotal of Estimated Cost</b>	<b>Subtotal of Estimated Cost</b>	<b>Subtotal of Estimated Cost</b>
\$0	\$0	\$0



**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2008	Activities for Year 4 FFY Grant: 2011 PHA FY: 2012	Activities for Year 5 FFY Grant: 2012 PHA FY: 2013
Development Name/Number	Major Work Categories	Major Work Categories
Estimated Cost	Estimated Cost	Estimated Cost
<p><b>Lake Auburn Towne House (7-1)</b></p>	<p><b>Site:</b></p> <p>Total Site:</p> <p><b>Mechanical and Electrical:</b>                      Refurbish Elevator Interiors (2ea.)                      Re-Tube/Re-Furbish Burners/Boilers                      Replace Generator</p> <p>Total M&amp;E:</p> <p><b>Building Exterior:</b></p> <p>Total B.E.:</p> <p><b>Dwelling Units:</b></p> <p>Total DUs:</p> <p><b>Dwelling Equipment:</b></p> <p>Total D.E.:</p> <p><b>Interior Common Areas:</b></p> <p>Total ICAs:</p> <p><b>Site-Wide Facilities:</b></p> <p>Total SWFs:</p> <p><b>Nondwelling Equipment:</b></p> <p>Total NDE:</p>	<p><b>Site:</b></p> <p>Total Site:</p> <p><b>Mechanical and Electrical:</b>                      Replace Natural Gas Water Heater</p> <p>Total M&amp;E:</p> <p><b>Building Exterior:</b>                      Replace Tar &amp; Gravel Roofs                      Replace Canopy</p> <p>Total B.E.:</p> <p><b>Dwelling Units:</b></p> <p>Total DUs:</p> <p><b>Dwelling Equipment:</b></p> <p>Total D.E.:</p> <p><b>Interior Common Areas:</b>                      Paint Common Areas</p> <p>Total ICAs:</p> <p><b>Site-Wide Facilities:</b></p> <p>Total SWFs:</p> <p><b>Nondwelling Equipment:</b></p> <p>Total NDE:</p>
<p>Subtotal of Estimated Cost</p>	<p>\$85,000</p>	<p>\$45,000</p>



**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2008	Activities for Year 2 FFY Grant: 2009 PHA FY: 2010		Activities for Year 3 FFY Grant: 2010 PHA FY: 2011		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>Family Development (7-2)</b>	<b>Site:</b>  <b>Total Site:</b>  <b>Mechanical and Electrical:</b>  <b>Total M&amp;E:</b>  <b>Building Exterior:</b>  <b>Total B.E.:</b>  <b>Dwelling Units:</b> Replace Tubs/Toilets/Vanities/ Sewer Lines (Stage 2) Replace Kitchen Counters/Re-face Cabinets/Repl Faucets (Stage 1)  <b>Total DUs:</b>  <b>Dwelling Equipment:</b>  <b>Total D.E.:</b>  <b>Interior Common Areas:</b>  <b>Total ICAs:</b>  <b>Site-Wide Facilities:</b>  <b>Total SWFs:</b>  <b>Nondwelling Equipment:</b>  <b>Total NDE:</b>	\$0  \$0  \$0  \$185,500 \$44,476  \$229,976  \$0  \$0  \$0  \$0  \$0	<b>Family Development (7-2)</b>	<b>Site:</b>  <b>Mechanical and Electrical:</b> Boiler Replacement (Stage 1)  <b>Total M&amp;E:</b>  <b>Building Exterior:</b>  <b>Total B.E.:</b>  <b>Dwelling Units:</b> Replace Kitchen Counters/Re-face Cabinets/Repl Faucets (Stage 2)  <b>Total DUs:</b>  <b>Dwelling Equipment:</b>  <b>Total D.E.:</b>  <b>Interior Common Areas:</b>  <b>Total ICAs:</b>  <b>Site-Wide Facilities:</b>  <b>Total SWFs:</b>  <b>Nondwelling Equipment:</b>  <b>Total NDE:</b>	\$0  \$72,179  \$72,179  \$0  \$130,524  \$130,524  \$0  \$0  \$0  \$0
<b>Subtotal of Estimated Cost</b>		<b>\$229,976</b>	<b>Subtotal of Estimated Cost</b>		<b>\$202,703</b>

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2008	Activities for Year 4 FFY Grant: 2011 PHA FY: 2012		Activities for Year 5 FFY Grant: 2012 PHA FY: 2013		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>Family Development (7-2)</b>	<b>Site:</b> Total Site: <b>Mechanical and Electrical:</b> Boiler Replacement (Stage 2) Total M&E: <b>Building Exterior:</b> Total B.E.: <b>Dwelling Units:</b> Total DUs: <b>Dwelling Equipment:</b> Total D.E.: <b>Interior Common Areas:</b> Total ICAs: <b>Site-Wide Facilities:</b> Total SWFs: <b>Nondwelling Equipment:</b> Total NDE:	\$0 \$39,000 \$39,000 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0	<b>Family Development (7-2)</b>	<b>Site:</b> Re-Pave Sidewalks Total Site: <b>Mechanical and Electrical:</b> Upgrade Exterior Lighting Total M&E: <b>Building Exterior:</b> Total B.E.: <b>Dwelling Units:</b> Total DUs: <b>Dwelling Equipment:</b> Total D.E.: <b>Interior Common Areas:</b> Total ICAs: <b>Site-Wide Facilities:</b> Total SWFs: <b>Nondwelling Equipment:</b> Landscape Trailer Total NDE:	\$62,703 \$62,703 \$30,000 \$30,000 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$3,000 \$3,000
			<b>Subtotal of Estimated Cost</b>	<b>Subtotal of Estimated Cost</b>	<b>\$95,703</b>

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2008	Activities for Year 2 FFY Grant: 2009 PHA FY: 2010		Activities for Year 3 FFY Grant: 2010 PHA FY: 2011		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>Merrill Estates (7-7)</b>	<b>Site:</b>  <b>Total Site:</b> <b>Mechanical and Electrical:</b>  <b>Total M&amp;E:</b>  <b>Building Exterior:</b>  <b>Total B.E.:</b>  <b>Dwelling Units:</b>	  \$0  \$0  \$0  \$0	<b>Merrill Estates (7-7)</b>	<b>Site:</b>  <b>Total Site:</b> <b>Mechanical and Electrical:</b>  <b>Total M&amp;E:</b>  <b>Building Exterior:</b>  <b>Total B.E.:</b>  <b>Dwelling Units:</b>	  \$0  \$0  \$0  \$0
	<b>Total DUs:</b>  <b>Dwelling Equipment:</b>  <b>Total D.E.:</b>  <b>Interior Common Areas:</b>  <b>Total ICAs:</b>  <b>Site-Wide Facilities:</b>  <b>Total SWFs:</b>  <b>Nondwelling Equipment:</b>  <b>Total NDE:</b>	  \$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0		<b>Total DUs:</b>  <b>Dwelling Equipment:</b>  <b>Total D.E.:</b>  <b>Interior Common Areas:</b>  <b>Total ICAs:</b>  <b>Site-Wide Facilities:</b>  <b>Total SWFs:</b>  <b>Nondwelling Equipment:</b>  <b>Total NDE:</b>	  \$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0
	<b>Subtotal of Estimated Cost</b>	<b>\$0</b>	<b>Subtotal of Estimated Cost</b>	<b>Subtotal of Estimated Cost</b>	<b>\$0</b>

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2008	Activities for Year 4 FFY Grant: 2011 PHA FY: 2012		Activities for Year 5 FFY Grant: 2012 PHA FY: 2013		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>Merrill Estates (7-7)</b>	<b>Site:</b>  Total Site:  <b>Mechanical and Electrical:</b> Upgrade Heating  Total M&E:  <b>Building Exterior:</b>  Total B.E.:  <b>Dwelling Units:</b> Replace Kitchen Cabinets/Counters/ Sinks  Total DUs:  <b>Dwelling Equipment:</b> Replace Refrigerators (11ea.)  Total D.E.:  <b>Interior Common Areas:</b>  Total ICAs:  <b>Site-Wide Facilities:</b>  Total SWFs:  <b>Nondwelling Equipment:</b>  Total NDE:	\$0  \$0  \$0  \$40,203  \$40,203  \$5,500  \$5,500  \$0  \$0  \$0	<b>Merrill Estates (7-7)</b>	<b>Site:</b>  Total Site:  <b>Mechanical and Electrical:</b> Upgrade Heating  Total M&E:  <b>Building Exterior:</b>  Total B.E.:  <b>Dwelling Units:</b> Replace Toilets/Pump Station  Total DUs:  <b>Dwelling Equipment:</b> Replace Stoves  Total D.E.:  <b>Interior Common Areas:</b>  Total ICAs:  <b>Site-Wide Facilities:</b>  Total SWFs:  <b>Nondwelling Equipment:</b>  Total NDE:	\$0  \$15,000  \$15,000  \$0  \$6,500  \$6,500  \$5,500  \$5,500  \$0  \$0  \$0
	<b>Subtotal of Estimated Cost</b>	<b>\$45,703</b>	<b>Subtotal of Estimated Cost</b>	<b>Subtotal of Estimated Cost</b>	<b>\$27,000</b>

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2008	Activities for Year 2 FFY Grant: 2009 PHA FY: 2010			Activities for Year 3 FFY Grant: 2010 PHA FY: 2011		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost	
Lincoln School (7-8)	<b>Site:</b>  Total Site: <b>Mechanical and Electrical:</b>	\$0	Lincoln School (7-8)	<b>Site:</b>  Total Site: <b>Mechanical and Electrical:</b>	\$0	
	Building Exterior:  Total M&E:	\$0		Building Exterior:  Total M&E:	\$0	
	Dwelling Units:  Total B.E.:	\$0		Dwelling Units:  Total B.E.:	\$0	
	Dwelling Equipment:  Total DUs:	\$0		Dwelling Equipment:  Total DUs:	\$0	
	Interior Common Areas:  Total D.E.:	\$0		Interior Common Areas:  Total D.E.:	\$0	
	Site-Wide Facilities:  Total ICAs:	\$0		Site-Wide Facilities:  Total ICAs:	\$0	
	Nondwelling Equipment:  Total SWFs:	\$0		Nondwelling Equipment:  Total SWFs:	\$0	
	Total NDE:	\$0		Total NDE:	\$0	
	<b>Subtotal of Estimated Cost</b>	\$0		<b>Subtotal of Estimated Cost</b>	\$0	

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2008	Activities for Year 4 FFY Grant: 2011 PHA FY: 2012			Activities for Year 5 FFY Grant: 2012 PHA FY: 2013		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	Lincoln School (7-8)	<b>Site:</b> Total Site: <b>Mechanical and Electrical:</b> Total M&E: <b>Building Exterior:</b> Re-Paint Trim/Cover Soffits Total B.E.: <b>Dwelling Units:</b> Replace Unit Entrance Doors Replace Toilets Replace Closet Doors Total DUs: <b>Dwelling Equipment:</b> Replace Refrigerators (10ea.) Total D.E.: <b>Interior Common Areas:</b> Re-Paint Common Areas Replace Common Area Doors Total ICAs: <b>Site-Wide Facilities:</b> Total SWFs: <b>Nondwelling Equipment:</b> Total NDE:	\$0 \$0 \$30,000 \$30,000 \$6,000 \$4,000 \$6,000 \$16,000 \$6,000 \$6,000 \$6,000 \$6,000 \$12,000 \$0 \$0	Lincoln School (7-8)	<b>Site:</b> None <b>Mechanical and Electrical:</b> Total M&E: <b>Building Exterior:</b> Total B.E.: <b>Dwelling Units:</b> Total DUs: <b>Dwelling Equipment:</b> Total D.E.: <b>Interior Common Areas:</b> Total ICAs: <b>Site-Wide Facilities:</b> Total SWFs: <b>Nondwelling Equipment:</b> Total NDE:	\$0 \$0 \$30,000 \$30,000 \$6,000 \$4,000 \$6,000 \$16,000 \$6,000 \$6,000 \$6,000 \$12,000 \$0 \$0
	<b>Subtotal of Estimated Cost</b>		<b>\$64,000</b>	<b>Subtotal of Estimated Cost</b>		<b>\$0</b>



**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2008	Activities for Year 2 FFY Grant: 2009 PHA FY: 2010			Activities for Year 3 FFY Grant: 2010 PHA FY: 2011		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost	
<b>Broadview Acres (7-10)</b>	<b>Site:</b>  Total Site: <b>Mechanical and Electrical:</b>  Total M&E: <b>Building Exterior:</b>  Total B.E.: <b>Dwelling Units:</b>  Total DUs: <b>Dwelling Equipment:</b>  Total D.E.: <b>Interior Common Areas:</b>  Total ICAs: <b>Site-Wide Facilities:</b>  Total SWFs: <b>Nondwelling Equipment:</b>  Total NDE:	\$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0	<b>Broadview Acres (7-10)</b>	<b>Site:</b>  Total Site: <b>Mechanical and Electrical:</b>  Total M&E: <b>Building Exterior:</b>  Total B.E.: <b>Dwelling Units:</b>  Total DUs: <b>Dwelling Equipment:</b>  Total D.E.: <b>Interior Common Areas:</b>  Total ICAs: <b>Site-Wide Facilities:</b>  Total SWFs: <b>Nondwelling Equipment:</b>  Total NDE:	\$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0	
			<b>Subtotal of Estimated Cost</b>	<b>Subtotal of Estimated Cost</b>	<b>Subtotal of Estimated Cost</b>	

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2008	Activities for Year 4 FFY Grant: 2011 PHA FY: 2012		Activities for Year 5 FFY Grant: 2012 PHA FY: 2013		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>Broadview Acres (7-10)</b>	<b>Site:</b>  Total Site: <b>Mechanical and Electrical:</b>  Total M&E: <b>Building Exterior:</b> Re-Paint Comm Room Bldg Ext  Total B.E.: <b>Dwelling Units:</b>  Total DUs: <b>Dwelling Equipment:</b>  Total D.E.: <b>Interior Common Areas:</b>  Total ICAs: <b>Site-Wide Facilities:</b>  Total SWFs: <b>Nondwelling Equipment:</b>  Total NDE:	\$0  \$0 \$3,000 \$3,000  \$0  \$0  \$0  \$0  \$0  \$0	<b>Broadview Acres (7-10)</b>	<b>Site:</b>  Total Site: <b>Mechanical and Electrical:</b>  Total M&E: <b>Building Exterior:</b>  Total B.E.: <b>Dwelling Units:</b>  Total DUs: <b>Dwelling Equipment:</b>  Total D.E.: <b>Interior Common Areas:</b>  Total ICAs: <b>Site-Wide Facilities:</b>  Total SWFs: <b>Nondwelling Equipment:</b>  Total NDE:	\$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0  \$0
			<b>Subtotal of Estimated Cost</b>		

# Annual Statement /Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name:  <b>Auburn Housing Authority</b>	Grant Type and Number Capital Fund Program Grant No. <b>ME36P00750108</b> Replacement Housing Factor Grant No:	Federal FY of Grant:  <b>2008</b>
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Original Annual Statement  
 Performance and Evaluation Report for Period Ending:

Reserve for Disasters/Emergencies  
 Revised Annual Statement (revision no: )  
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost			Total Actual Cost		
		Original	Revised	Obligated	Expended		
1	Total Non-CFP Funds						
2	1406 Operations	\$32,724.00					
3	1408 Management Improvements	\$1,000.00					
4	1410 Administration	\$32,724.00					
5	1411 Audit	\$0.00					
6	1415 Liquidated Damages	\$0.00					
7	1430 Fees and Costs	\$11,000.00					
8	1440 Site Acquisition	\$0.00					
9	1450 Site Improvement	\$67,868.00					
10	1460 Dwelling Structures	\$67,835.00					
11	1465.1 Dwelling Equipment-Nonexpendable	\$0.00					
12	1470 Nondwelling Structures	\$76,000.00					
13	1475 Nondwelling Equipment	\$25,000.00					
14	1485 Demolition	\$0.00					
15	1490 Replacement Reserve	\$0.00					
16	1492 Moving to Work Demonstration	\$0.00					
17	1495.1 Relocation Cost	\$0.00					
18	1499 Development Activities	\$0.00					
19	1501 Collateralization or Debt Service	\$0.00					
20	1502 Contingency	\$13,090.00					
21	<b>Amount of Annual Grant: (sum of lines 2-20)</b>	<b>\$327,241.00</b>					
22	Amount of line 21 Related to LBP Activities	\$0.00					
23	Amount of line 21 Related to Section 504 Compliance	\$0.00					
24	Amount of line 21 Related to Security -- Soft Costs	\$0.00					
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00					
26	Amount of line 21 Related to Energy Conservation Measures	\$0.00					

**Annual Statement /Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750108</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2008</b>		Status of Work	
Development Number/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Funds Obligated	Funds Expended
<b>HA-Wide Operating</b>	Operating Costs	1406		\$32,724.00			
<b>HA-Wide Mgmt Improvements</b>	Management Improvements	1408		\$1,000.00			
<b>HA-Wide Admin</b>	Funding for PHA Staff @ 10% of the annual grant amount in accordance with approved salary allocation plan	1410		\$32,724.00			
<b>HA-Wide Fees and Costs</b>	A & E services based on estimate of design work required for work items.	1430		\$11,000.00			
<b>HA-Wide</b>	Replace Phone System Replace Network Copier/Printer	1475		\$12,000.00 \$13,000.00			
<b>HA-Wide</b>	Contingency (4%)	1502		\$25,000.00 \$13,090.00			
<b>Total</b>	<b>HA-Wide</b>		<b>Project Total:</b>	<b>\$115,538.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750108</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2008</b>		Status of Work	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost
				Original	Revised	Obligated	Expended
<b>Site:</b> Lake Auburn Towne House ME 7-1	Re-Seal/Stripe Pavement	1450	Total Site:	\$7,000.00			
	<b>Mechanical and Electrical:</b>	1460	Total M&E:	\$7,000.00			
	<b>Building Exterior:</b>			\$0.00			
	<b>Dwelling Units:</b>	1460	Total B.E.:	\$0.00			
	<b>Dwelling Equipment:</b> None	1465.1	Total DUJ:	\$0.00			
	<b>Interior Common Areas:</b>	1460	Total D.E.:	\$0.00			
	<b>Site-Wide Facilities:</b>	1470	Total ICAs:	\$0.00			
	<b>Nondwelling Equipment:</b> None	1475	Total SWFs:	\$0.00			
<b>Total</b>	<b>Towne House</b>		<b>Project Total:</b>	<b>\$7,000.00</b>			

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No <b>ME36P00750108</b> Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2008</b>		Status of Work	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost
				Original	Revised	Obligated	Expended
<b>Family Development</b> ME 7-2	<b>Site:</b> Re-Seal/Stripe Pavement	1450	Total Site:	\$36,668.00			
	<b>Mechanical and Electrical:</b>	1460	Total M&E:	\$0.00			
	<b>Building Exterior:</b> Repair/Re-Paint Oil Tank Rooms	1460	Total B.E.:	\$9,000.00			
	<b>Dwelling Units:</b> Replace Tubs/Toilets/Vanities Sewer Lines (Stage 1)	1460	Total DU:	\$46,835.00			
	<b>Dwelling Equipment:</b> None	1465.1	Total D.E.:	\$0.00			
	<b>Interior Common Areas:</b> None	1460	Total ICAs:	\$0.00			
	<b>Site-Wide Facilities:</b> Replace Roof - Comm Building Repair/Replace Facia	1470	Total SWFs:	\$51,000.00 \$25,000.00			
	<b>Nondwelling Equipment:</b> None	1475	Total NDE:	\$0.00			
<b>Total</b>	<b>ME 7-2</b>		<b>Project Total:</b>	<b>\$168,503.00</b>			

**Annual Statement /Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
				Federal FY of Grant:		2008		
<b>Merrill Estates ME 7-7</b>	<b>Site: Re-Seal/Stripe Pavement</b>	1450	Total Site:	\$4,000.00				
	<b>Mechanical and Electrical: None</b>	1460	Total M&E:	\$0.00				
	<b>Building Exterior:</b>	1460	Total B.E.:	\$0.00				
	<b>Dwelling Units: None</b>	1460	Total DUs:	\$0.00				
	<b>Dwelling Equipment: None</b>	1465.1	Total D.E.:	\$0.00				
	<b>Interior Common Areas: None</b>	1460	Total I.C.As:	\$0.00				
	<b>Site-Wide Facilities:</b>	1470	Total SWFs:	\$0.00				
	<b>Nondwelling Equipment:</b>	1475	Total NDE:	\$0.00				
<b>Total</b>	<b>ME 7-7</b>		<b>Project Total:</b>	<b>\$4,000.00</b>				

**Annual Statement /Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
				Federal FY of Grant:		2008		
<b>Lincoln School</b> <b>ME 7-8</b>	<b>Site:</b> <b>Re-Seal/Stripe Pavement</b>	1450	Total Site:	\$5,700.00				
	<b>Mechanical and Electrical:</b> None	1460	Total M&E:	\$0.00				
	<b>Building Exterior:</b> None	1460	Total B.E.:	\$0.00				
	<b>Dwelling Units:</b>	1460	Total DUs:	\$0.00				
	<b>Dwelling Equipment:</b> None	1465.1	Total D.E.:	\$0.00				
	<b>Interior Common Areas:</b>	1460	Total ICAs:	\$0.00				
	<b>Site-Wide Facilities:</b> None	1470	Total SWFs:	\$0.00				
	<b>Nondwelling Equipment:</b> None	1475	Total NDE:	\$0.00				
<b>Total</b>	<b>ME 7-8</b>		<b>Project Total:</b>	<b>\$5,700.00</b>				



**Annual Statement /Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
				Federal FY of Grant: <b>2008</b>				
<b>PHA Name:</b> <b>Auburn Housing Authority</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No <b>ME36P00750108</b> Replacement Housing Factor Grant No:						
<b>Broadview Acres ME 7-10</b>	<b>Site: Re-Seal/Stripe Pavement</b>	1450	Total Site:	\$14,500.00				
	<b>Mechanical and Electrical: None</b>	1460	Total M&E:	\$0.00				
	<b>Building Exterior: Re-Paint Trim</b>	1460	Total B.E.:	\$12,000.00				
	<b>Dwelling Units: None</b>	1460	Total DUs:	\$0.00				
	<b>Dwelling Equipment: None</b>	1465.1	Total D.E.:	\$0.00				
	<b>Interior Common Areas: None</b>	1460	Total ICAs:	\$0.00				
	<b>Site-Wide Facilities: None</b>	1470	Total SWFs:	\$0.00				
	<b>Nondwelling Equipment: None</b>	1475	Total NDE:	\$0.00				
<b>Total</b>	<b>ME 7-10</b>		<b>Project Total:</b>	<b>\$26,500.00</b>				

**Annual Statement /Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part III: Implementation Schedule**

PHA Name: <b>Auburn Housing Authority</b>	Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Federal FY of Grant: <b>2008</b>	Reasons for Revised Target Dates		
		Grant Type and Number Capital Fund Program Grant No.: <b>ME36P00750108</b> Replacement Housing Factor Grant No:		Original				Actual	
		Original	Revised	Original	Revised			Actual	Actual
<b>HA-Wide</b>		09/10		09/12					
<b>ME 7-1</b>	<b>Lake Auburn Towne House</b>	09/10		09/12					
<b>ME 7-2</b>	<b>Family Development</b>	09/10		09/12					
<b>ME 7-7</b>	<b>Merrill Estates</b>	09/10		09/12					
<b>ME 7-8</b>	<b>Lincoln School</b>	09/10		09/12					
<b>ME 7-10</b>	<b>Broadview Acres</b>	09/10		09/12					

**ADMISSION AND CONTINUED OCCUPANCY POLICY  
ADOPTED OCTOBER 31, 2007 BOARD RESOLUTION NUMBER # 2691**

**17.0 VIOLENCE AGAINST WOMEN ACT (VAWA) PROVISION RE: DENIAL  
OR TERMINATION OF ASSISTANCE**

An applicant or family that is or has been a victim of domestic violence, dating violence, or stalking, as defined below, will not be denied participation or terminated, if the victim of such violence otherwise qualifies for assistance.

“Domestic Violence” has the same meaning given the term in section 40002 of the Violence Against Women Act of 1994;

“Dating Violence” has the same meaning given the term in section 4002 of the Violence Against Women Act of 1994;

“Stalking” means: to follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate; or to place under surveillance with the intent to kill, injure, harass, or intimidate another person; and, in the course of, or as a result of, such following, pursuit, surveillance, or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to; that person; or a member of the immediate family of that person; or the spouse or intimate partner of that person.

“Immediate Family Member” means, with respect to a person a spouse, parent, brother or sister, or child of that person, or an individual to whom that person stands in loco parentis; or any other person living in the household of that person and related to that person by blood and/or marriage.

Nothing in this Section shall be construed to supersede any provision of any Federal, State, or local law that provides greater protection than this section for victims of domestic violence, dating violence, or stalking.

All information provided to an owner, manager, or Auburn Housing Authority pursuant to this Section, including the fact that an individual is a victim of domestic violence, dating violence, or stalking; shall be retained in confidence by Auburn Housing Authority; and shall neither be, entered into any shared database; nor provided to any related entity; except to the extent that disclosure is requested or consented to by the individual in writing, required for use in an eviction proceeding, or otherwise required by applicable law.

**17.1 INCIDENTS OF DOMESTIC VIOLENCE, DATING VIOLENCE, OR  
STALKING**

In responding to an incident or incidents of actual or threatened domestic violence, dating violence, or stalking, Auburn Housing Authority may request that an individual certify

via a HUD approved certification form that the individual is a victim of domestic violence, dating violence, or stalking; and that the incident or incidents in question are bona fide incidents of such actual or threatened abuse; and meet the requirements set forth above. Such certification shall include the name of the perpetrator.

The individual shall provide such certification within 14 business days of the date that the participant receives the written request for certification from the owner, manager, or public housing agency.

If the individual does not provide the certification within the 14 business days set forth above, nothing in this Section may be construed to limit the authority of a landlord to evict, or Auburn Housing Authority to terminate the tenancy or occupancy rights for, any tenant or lawful occupant that commits violations of the lease. Auburn Housing Authority may extend the 14-day deadline at its sole discretion.

An individual may satisfy the certification requirement above by:

providing Auburn Housing Authority with documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing domestic violence, dating violence, sexual assault, or stalking, or the effects of the abuse, in which the professional attests under the penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incident in questions are:

bona fide incidents of abuse; and

the victim of domestic violence, dating violence, or stalking has signed or attested to the documentation; or

producing a Federal, State, tribal, territorial, or local police court record.

Nothing in this subsection shall be construed to require Auburn Housing Authority to demand that an individual produce official documentation or physical proof of the individual's status as a victim of domestic violence, dating violence, sexual assault, or stalking in order to receive any of the benefits provided in this section. At its discretion, Auburn Housing Authority may provide benefits to an individual based solely on the individual's statement or other corroborating evidence.

## **17.2 RESOURCES**

Auburn Housing Authority works in partnership with The Abused Women's Advocacy Project in Auburn providing support and resources to victims of domestic violence. AHA have brochures available to all applicants and tenants of AHA explaining the services provided by the Abused Women's Advocacy Project. New applicants are provided information on The Violence Against Women Act of 2005 during move-in orientations.

Auburn Housing Authority has procedural guidelines for any new tenant who is in a domestic violence situation and moving into an Auburn Housing Authority property.

Auburn Housing Authority works cooperatively with Auburn Police Department concerning any knowledge of domestic violence on our properties.

**SECTION 8 HOUSING CHOICE VOUCHER PROGRAM  
ADMINISTRATIVE PLAN**

**ADOPTED OCTOBER 31, 2007**

**BOARD RESOLUTION # 2691**

**21.0 VIOLENCE AGAINST WOMEN ACT (VAWA) PROVISION RE: DENIAL  
OR TERMINATION OF ASSISTANCE**

An applicant or family that is or has been a victim of domestic violence, dating violence, or stalking, as defined below, will not be denied participation or terminated, if the victim of such violence otherwise qualifies for assistance.

“Domestic Violence” has the same meaning given the term in section 40002 of the Violence Against Women Act of 1994;

“Dating Violence” has the same meaning given the term in section 4002 of the Violence Against Women Act of 1994;

“Stalking” means: to follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate; or to place under surveillance with the intent to kill, injure, harass, or intimidate another person; and, in the course of, or as a result of, such following, pursuit, surveillance, or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to; that person; or a member of the immediate family of that person; or the spouse or intimate partner of that person.

“Immediate Family Member” means, with respect to a person a spouse, parent, brother or sister, or child of that person, or an individual to whom that person stands in loco parentis; or any other person living in the household of that person and related to that person by blood and/or marriage.

Nothing in this Section shall be construed to supersede any provision of any Federal, State, or local law that provides greater protection than this section for victims of domestic violence, dating violence, or stalking.

All information provided to an owner, manager, or Auburn Housing Authority pursuant to this Section, including the fact that an individual is a victim of domestic violence, dating violence, or stalking; shall be retained in confidence by Auburn Housing Authority; and shall neither be entered into any shared database; nor provided to any related entity; except to the extent that disclosure is requested or consented to by the individual in writing, required for use in an eviction proceeding, or otherwise required by applicable law.

**21.1 INCIDENTS OF DOMESTIC VIOLENCE, DATING VIOLENCE, OR  
STALKING**

In responding to an incident or incidents of actual or threatened domestic violence, dating violence, or stalking, Auburn Housing Authority may request that an individual certify via a HUD approved certification form that the individual is a victim of domestic violence, dating violence, or stalking; and that the incident or incidents in question are bona fide incidents of such actual or threatened abuse; and meet the requirements set forth above. Such certification shall include the name of the perpetrator.

The individual shall provide such certification within 14 business days of the date that the participant receives the written request for certification from the owner, manager, or public housing agency.

If the individual does not provide the certification within the 14 business days set forth above, nothing in this Section may be construed to limit the authority of a landlord to evict, or Auburn Housing Authority to terminate the Section 8 voucher for, any tenant or lawful occupant that commits violations of the Section 8 program. Auburn Housing Authority may extend the 14-day deadline at its sole discretion.

An individual may satisfy the certification requirement above by:

providing Auburn Housing Authority with documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing domestic violence, dating violence, sexual assault, or stalking, or the effects of the abuse, in which the professional attests under the penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incident in questions are:

bona fide incidents of abuse; and

the victim of domestic violence, dating violence, or stalking has signed or attested to the documentation; or

producing a Federal, State, tribal, territorial, or local police court record.

Nothing in this subsection shall be construed to require Auburn Housing Authority to demand that an individual produce official documentation or physical proof of the individual's status as a victim of domestic violence, dating violence, sexual assault, or stalking in order to receive any of the benefits provided in this section. At its discretion, Auburn Housing Authority may provide benefits to an individual based solely on the individual's statement or other corroborating evidence.

## **17.2 RESOURCES**

Auburn Housing Authority works in partnership with The Abused Women's Advocacy Project in Auburn providing support and resources to victims of domestic violence. AHA have brochures available to all applicants, programs participants and landlords explaining the services provided by the Abused Women's Advocacy Project. New applicants and

landlords are provided information on The Violence Against Women Act of 2005 during move-in orientations.

Auburn Housing Authority works cooperatively with Auburn Police Department concerning any knowledge of domestic violence.