

# PHA Plans

## Streamlined Annual Version

U.S. Department of Housing and  
Urban Development  
Office of Public and Indian  
Housing

OMB No. 2577-0226  
(exp. 08/31/2009)

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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated there under at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

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# Streamlined Annual PHA Plan for Fiscal Year: 2008

## PHA Name: Housing Authority of Nicholasville

**NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.**

## Streamlined Annual PHA Plan Agency Identification

**PHA Name:** Housing Authority of Nicholasville

**PHA Number:** KY034

**PHA Fiscal Year Beginning:** (mm/yyyy) 04/2008

**PHA Programs Administered:**

**Public Housing and Section 8**

Number of public housing units:  
Number of S8 units:

**Section 8 Only**

Number of S8 units:

**Public Housing Only**

Number of public housing units: 54

**PHA Consortia:** (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

**PHA Plan Contact Information:**

Name: Trixie Y. Kinniard  
TDD: 1.800.648.6056

Phone: 859.885.4324  
Email (if available): nicholasvilleha@alltel.net

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting:**  
(select all that apply)

X PHA's main administrative office     PHA's development management offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plan revised policies or program changes (including attachments) are available for public review and inspection.    X Yes     No.

If yes, select all that apply:

X Main administrative office of the PHA

PHA development management offices

X Main administrative office of the local, county or State government

Public library     PHA website     Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

X Main business office of the PHA

PHA development management offices

Other

**Streamlined Annual PHA Plan**  
**Fiscal Year 2008**  
[24 CFR Part 903.12(c)]

**Table of Contents**  
[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

**A. PHA PLAN COMPONENTS**

<input type="checkbox"/>	1. Site-Based Waiting List Policies	4
	<b>903.7(b) (2) Policies on Eligibility, Selection, and Admissions</b>	
X	2. Capital Improvement Needs	5
	<b>903.7(g) Statement of Capital Improvements Needed</b>	
<input type="checkbox"/>	3. Section 8(y) Homeownership	6
	<b>903.7(k) (1) (i) Statement of Homeownership Programs</b>	
<input type="checkbox"/>	4. Project-Based Voucher Programs	7
<input type="checkbox"/>	5. PHA Statement of Consistency with Consolidated Plan. Complete only if PHA has changed any policies, programs, or plan components from its last Annual Plan.	7
X	6. Supporting Documents Available for Review	8
X	7. Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report	11
X	8. Capital Fund Program 5-Year Action Plan	33

**B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE**

**Form HUD-50076, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Streamlined Annual Plan** identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA's principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

**Form HUD-50070, Certification for a Drug-Free Workplace;**

**Form HUD-50071, Certification of Payments to Influence Federal Transactions;** and

**Form SF-LLL & SF-LLL a, Disclosure of Lobbying Activities.**

**1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies)**

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

**A. Site-Based Waiting Lists-Previous Year**

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to B.

Site-Based Waiting Lists				
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics

2. What is the number of site based waiting list developments to which families may apply at one time?
3. How many unit offers may an applicant turn down before being removed from the site-based waiting list?
4.  Yes  No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

**B. Site-Based Waiting Lists – Coming Year**

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component.

1. How many site-based waiting lists will the PHA operate in the coming year?
2.  Yes  No: Are any or all of the PHA’s site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?

- If yes, how many lists?
3.  Yes  No: May families be on more than one list simultaneously  
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
  - All PHA development management offices
  - Management offices at developments with site-based waiting lists
  - At the development to which they would like to apply
  - Other (list below)

## **2. Capital Improvement Needs**

[24 CFR Part 903.12 (c), 903.7(g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

### **A. Capital Fund Program**

1. X Yes  No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.
2.  Yes X No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

### **B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

1.  Yes X No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary).
2. Status of HOPE VI revitalization grant(s): none

<b>HOPE VI Revitalization Grant Status</b>	
a. Development Name:	
b. Development Number:	
c. Status of Grant:	
<input type="checkbox"/>	Revitalization Plan under development
<input type="checkbox"/>	Revitalization Plan submitted, pending approval
<input type="checkbox"/>	Revitalization Plan approved
<input type="checkbox"/>	Activities pursuant to an approved Revitalization Plan underway

3.  Yes X No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name(s) below:
4.  Yes X No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:
5.  Yes X No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

**3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program**  
(if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)]

1.  Yes X No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to the next component; if "yes", complete each program description below (copy and complete questions for each program identified.)

2. Program Description:

a. Size of Program

- Yes  No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year?

b. PHA-established eligibility criteria

- Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?  
If yes, list criteria:

c. What actions will the PHA undertake to implement the program this year (list)?

3. Capacity of the PHA to Administer a Section 8 Homeownership Program:

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.
- Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below):
- Demonstrating that it has other relevant experience (list experience below):

**4. Use of the Project-Based Voucher Program**

**Intent to Use Project-Based Assistance**

Yes  No: Does the PHA plan to "project-base" any tenant-based Section 8 vouchers in the coming year? If the answer is "no," go to the next component. If yes, answer the following questions.

1.  Yes  No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply:
  - low utilization rate for vouchers due to lack of suitable rental units
  - access to neighborhoods outside of high poverty areas
  - other (describe below):
2. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

**5. PHA Statement of Consistency with the Consolidated Plan**

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary) only if the PHA has provided a certification listing program or policy changes from its last Annual Plan submission.

1. Consolidated Plan jurisdiction: Commonwealth of Kentucky

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families on its waiting lists on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

**6. Supporting Documents Available for Review for Streamlined Annual PHA Plans**

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;</i>	5 Year and Annual Plans
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan</i>	Streamlined Annual Plans
X	<i>Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.</i>	5 Year and standard Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Deconcentration Income Analysis	Annual Plan: Eligibility, Selection, and Admissions Policies



<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
N/A	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. X Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. X Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
N/A	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
N/A	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
N/A	Any policies governing any Section 8 special housing types <input type="checkbox"/> Check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures X Check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
N/A	Section 8 informal review and hearing procedures. <input type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
X	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
X	Public Housing Community Service Policy/Programs X Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
N/A	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). X Check here if included in the public housing A & O Policy.	Annual Plan: Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
	Consortium agreement(s) and for Consortium Joint PHA Plans <u>Only</u> : Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection.	Joint Annual PHA Plan for Consortia: Agency Identification and Annual Management and Operations

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

<b>Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: <span style="color: blue;">KY36P03450108</span> Replacement Housing Factor Grant No:		Federal FY of Grant: 2008
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	300.00			
3	1408 Management Improvements	13600.00			
4	1410 Administration	4225.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	710.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	300.00			
10	1460 Dwelling Structures	62890.00			
11	1465.1 Dwelling Equipment—Nonexpendable	1275.00			
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	83300.00			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

<b>Annual Statement/Performance and Evaluation Report</b>								
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b>								
<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: <a href="#">KY36P03450108</a> Replacement Housing Factor Grant No:			Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	<b><u>Operations</u></b>	1406		<b><u>300.00</u></b>				
HA-Wide	<b><u>Management Improvements</u></b>	1408		<b><u>13600.00</u></b>				
	1. Partial Salary Occupancy/Admin. Specialist			13100.00				
	2. Staff Training			500.00				
HA-Wide	<b><u>Administration</u></b>	1410		<b><u>4225.00</u></b>				
	Partial Salary Executive Director/CFP Coordinator			4225.00				
HA-Wide	<b><u>Fees &amp; Costs</u></b>	1430		<b><u>710.00</u></b>				
	404/406 E Maple Bedroom Conversion project			710.00				
HA-Wide	<b><u>Site Improvements</u></b>	1450		<b><u>300.00</u></b>				
	Concrete Repairs/Replacement			300.00				
HA-Wide	<b><u>Dwelling Structures</u></b>	1460		<b><u>62890.00</u></b>				
	1.404/406E Maple Conversion (2-4BR to 3-1BR due to site market and density) PHASED			60000.00				
	2. Vacant Interior Renovations: Long term occupied now vacant			100.00				
	3. Ceiling Replacement (124 Cypress)			890.00				
	4. Tub Replacement (106 Cypress)			900.00				
	5. Roof Replacement (126/128 Cypress) PHASED			1000.00				

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: <a href="#">KY36P03450108</a> Replacement Housing Factor Grant No:			Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	<b><u>Dwelling Equipment – Nonexpendable</u></b> Stove/refrigerators replacement/repair	1465		<b><u>1275.00</u></b>				
				1275.00				

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report                      Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)                      Part III: Implementation Schedule</b>							
PHA Name: Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program No: <b>KY36P03450108</b> Replacement Housing Factor No:				Federal FY of Grant: 2008
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA-Wide - 1406	9/2010			9/2012			
HA-Wide - 1408	9/2010			9/2012			
HA-Wide - 1410	9/2010			9/2012			
HA-Wide - 1430	9/2010			9/2012			
HA-Wide - 1450	9/2010			9/2012			
HA-Wide - 1460	9/2010			9/2012			
HA-Wide - 1465.1	9/2010			9/2012			

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: KY36P03450107 Replacement Housing Factor Grant No:		Federal FY of Grant: 2007
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2007 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	3759.49		3759.49	659.41
4	1410 Administration	4350.00		4350.00	1800.66
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	940.00		940.00	940.00
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	72698.11		72698.11	72698.11
11	1465.1 Dwelling Equipment—Nonexpendable	1537.40		1537.40	1537.40
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	83285.00		83285.00	77635.58
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

<b>Annual Statement/Performance and Evaluation Report</b>								
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b>								
<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: KY36P03450107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	<b><u>Management Improvements</u></b>	1408		<b><u>3759.49</u></b>		<b><u>3759.49</u></b>	<b><u>659.41</u></b>	
	1. Partial Salary Occupancy/Admin. Specialist			3100.08		3100.08		
	2. Staff Training			659.41		659.41	659.41	Complete
HA-Wide	<b><u>Administration</u></b>	1410		<b><u>4350.00</u></b>		<b><u>4350.00</u></b>	<b><u>1800.66</u></b>	
	Partial Salary Executive Director/CFP Coordinator			4350.00		4350.00	1800.66	
HA-Wide	<b><u>Fees &amp; Costs</u></b>	1430		<b><u>940.00</u></b>		<b><u>940.00</u></b>	<b><u>940.00</u></b>	
	404/406 E Maple Bedroom Conversion project			940.00		940.00	940.00	Complete
HA-Wide	<b><u>Dwelling Structures</u></b>	1460		<b><u>72698.11</u></b>		<b><u>72698.11</u></b>	<b><u>72698.11</u></b>	
	1.404/406E Maple Conversion (2-4BR to 3-1BR due to site market and density) PHASED			60000.00		60000.00	60000.00	Complete
	2. Vacant Interior Renovations: Long term occupied now vacant			12534.11		12534.11	12534.11	Complete
	3. 404/406 E Maple Building Signage			164.00		164.00	164.00	Complete
	4. PHAS Inspection Items							
HA-Wide	<b><u>Dwelling Equipment – Nonexpendable</u></b>	1465		<b><u>1537.40</u></b>		<b><u>1537.40</u></b>	<b><u>1537.40</u></b>	
	Stove & refrigerators replacement/repair			1537.40		1537.40	1537.40	Complete



**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report                      Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)                      Part III: Implementation Schedule</b>							
PHA Name: Housing Authority of Nicholasville			<b>Grant Type and Number</b> Capital Fund Program No: KY36P03450107 Replacement Housing Factor No:				<b>Federal FY of Grant: 2007</b>
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA-Wide - 1408	9/2009		9/2007	9/2011			
HA-Wide - 1410	9/2009		9/2007	9/2011			
HA-Wide - 1430	9/2009		9/2007	9/2011		9/2007	
HA-Wide - 1460	9/2009		9/2007	9/2011		9/2007	
HA-Wide - 1465.1	9/2009		9/2007	9/2011		9/2007	

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: KY034 Housing Authority of Nicholasville		Grant Type and Number Capital Fund Program Grant No: KY36P03450106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    )					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2007 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	15170.00		15170.00	11664.63
4	1410 Administration	7902.90		7902.90	7902.90
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	4000.00		4000.00	4000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	47990.00		47990.00	47990.00
10	1460 Dwelling Structures	10052.10		10052.10	10052.10
11	1465.1 Dwelling Equipment—Nonexpendable	400.00		400.00	400.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	200.00		200.00	200.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	85715.00		85715.00	82209.63
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

<b>Annual Statement/Performance and Evaluation Report                      Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: KY034 Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: KY36P03450106 Replacement Housing Factor Grant No:		Federal FY of Grant: 2006
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    )					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2007 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

<b>Annual Statement/Performance and Evaluation Report                      Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)                      Part II: Supporting Pages</b>								
PHA Name: KY034 Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: KY36P03450106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006		
Development Number Name/HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	<u>Management Improvements</u>	1408		<b><u>15170.00</u></b>		<b><u>15170.00</u></b>	<b><u>11664.63</u></b>	
	1. Partial Salary Occupancy/Admin. Specialist			13020.00		13020.00	9514.63	
	2. Partial Employee Benefits Occupancy/Admin. Specialist			2150.00		2150.00	2150.00	Complete
HA-Wide	<u>Administration</u>	1410		<b><u>7902.90</u></b>		<b><u>7902.90</u></b>	<b><u>7902.90</u></b>	
	1. Partial Salary Executive Director/CFP Coordinator			7802.90		7802.90	7802.90	Complete

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report</b>								
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b>								
<b>Part II: Supporting Pages</b>								
PHA Name: KY034 Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: KY36P03450106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	2. CFP Office Supplies			100.00		100.00	100.00	Complete
HA-Wide	<b><u>Fees &amp; Costs</u></b>	1430		<b><u>4000.00</u></b>		<b><u>4000.00</u></b>	<b><u>4000.00</u></b>	
	1. 404/406 E Maple Bedroom Conversion project			4000.00		4000.00	4000.00	Complete
HA-Wide	<b><u>Site Improvements</u></b>	1450		<b><u>47990.00</u></b>		<b><u>47990.00</u></b>	<b><u>47990.00</u></b>	
	1. Concrete/Asphalt Site Work PHASED			47890.00		47890.00	47890.00	Complete
	2. Trees/Shrub Removal/Installation			100.00		100.00	100.00	Complete
HA-Wide	<b><u>Dwelling Structures</u></b>	1460		<b><u>10052.10</u></b>		<b><u>10052.10</u></b>	<b><u>10052.10</u></b>	
	1. Exterior Door Painting			100.00		100.00	100.00	Complete
	2. 404/406E Maple Conversion (2-4BR to 3-1BR due to site market and density) PHASED			3266.10		3266.10	3266.10	Complete
	3 413 E Maple Interior Renovation			6686.00		6686.00	6686.00	Complete
HA-Wide	<b><u>Dwelling Equipment – Nonexpendable</u></b>	1465		<b><u>400.00</u></b>		<b><u>400.00</u></b>	<b><u>400.00</u></b>	
	Stove & refrigerators replacement/repair			400.00		400.00	400.00	Complete
HA-Wide	<b><u>Non-Dwelling Equipment</u></b>	1475		<b><u>200.00</u></b>		<b><u>200.00</u></b>	<b><u>200.00</u></b>	
	Computer Equipment			200.00		200.00	200.00	

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: KY034 Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program No: KY36P03450106 Replacement Housing Factor No:				Federal FY of Grant: 2006
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA-Wide – 1408	9/2008		9/2006	9/2010			
HA-Wide – 1410	9/2008		9/2006	9/2010		6/2007	
HA-Wide – 1430	9/2008		9/2006	9/2010		6/2007	
HA-Wide – 1450	9/2008		3/2007	9/2010		9/2007	
HA-Wide – 1460	9/2008		6/2007	9/2010		6/2007	
HA-Wide – 1465.1	9/2008		9/2006	9/2010		6/2007	
HA-Wide – 1475	9/2008		9/2006	9/2010		9/2006	

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: KY034 Housing Authority of Nicholasville		Grant Type and Number Capital Fund Program Grant No: KY36P03450105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending:    X Final Performance and Evaluation Report: 9/30/2007					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	17868.00		17868.00	17868.00
4	1410 Administration	8934.30		8934.30	8934.30
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	18697.70		18697.70	18697.70
8	1440 Site Acquisition				
9	1450 Site Improvement	38904.56		38904.56	38904.56
10	1460 Dwelling Structures	1336.44		1336.44	1336.44
11	1465.1 Dwelling Equipment—Nonexpendable	2200.00		2200.00	2200.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	1400.00		1400.00	1400.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	89341.00		89341.00	89341.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: KY034 Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: KY36P03450105 Replacement Housing Factor Grant No:		Federal FY of Grant: 2005
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    )					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report: 9/30/2007					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures (Energy Audit)	3159.00		3159.00	3159.00

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: KY034 Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: KY36P03450105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	<b>Management Improvements</b>	1408		<b><u>17868.00</u></b>		<b><u>17868.00</u></b>	<b><u>17868.00</u></b>	<u>Complete</u>
	1. Staff Training			2072.92		2072.92	2072.92	Complete
	2. Partial Salary Occupancy/Admin. Specialist			12617.00		12617.00	12617.00	Complete
	3. Partial Employee Benefits Occupancy/Admin. Specialist			2000.00		2000.00	2000.00	Complete
	4. Computer Software			519.78		519.78	519.78	Complete

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report</b>								
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b>								
<b>Part II: Supporting Pages</b>								
PHA Name: KY034 Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: KY36P03450105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	5. Occupancy/ Training Publications			500.00		500.00	500.00	Complete
	6. Resident Community Room – Training Stations Equipment			150.00		150.00	150.00	Complete
	7. Homeownership Outreach/Information Packets			8.30		8.30	8.30	Complete
HA-Wide	<b><u>Administration</u></b>	1410		<b><u>8934.30</u></b>		<b><u>8934.30</u></b>	<b><u>8934.30</u></b>	<b><u>Complete</u></b>
	1. Partial Salary Executive Director/CFP Coordinator			8734.30		8734.30	8734.30	Complete
	2. CFP Office Supplies			200.00		200.00	200.00	Complete
HA-Wide	<b><u>Fees &amp; Costs</u></b>	1430		<b><u>18697.70</u></b>		<b><u>18697.70</u></b>	<b><u>18697.70</u></b>	<b><u>Complete</u></b>
	1. 404/406 E Maple Conversion			15538.70		15538.70	15538.70	Complete
	2. Energy Audit			3159.00		3159.00	3159.00	Complete
HA-Wide	<b><u>Site Improvements</u></b>	1450		<b><u>38904.56</u></b>		<b><u>38904.56</u></b>	<b><u>38904.56</u></b>	<b><u>Complete</u></b>
	1. Site landscaping			1000.00		1000.00	1000.00	Complete
	2. Concrete/Asphalt Site Work PHASED			37904.56		37904.56	37904.56	Complete
HA-Wide	<b><u>Dwelling Structures</u></b>	1460		<b><u>1336.44</u></b>		<b><u>1336.44</u></b>	<b><u>1336.44</u></b>	<b><u>Complete</u></b>



**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report</b>								
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b>								
<b>Part II: Supporting Pages</b>								
PHA Name: KY034 Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: KY36P03450105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	1. Replacement obsolete dwelling items (door hardware, light fixtures, gas shut-off valves, exhaust fans, range hoods, etc.)			136.44		136.44	136.44	Complete
	2.404/406E Maple Conversion (2-4BR to 3-1BR due to site market and density) PHASED			1200.00		1200.00	1200.00	Complete
HA-Wide	<b><u>Dwelling Equipment – Nonexpendable</u></b>	1465		<b><u>2200.00</u></b>		<b><u>2200.00</u></b>	<b><u>2200.00</u></b>	<u>Complete</u>
	Stove & refrigerators replacement/repair			2200.00		2200.00	2200.00	Complete
HA-Wide	<b><u>Non-Dwelling Equipment</u></b>	1475		<b><u>1400.00</u></b>		<b><u>1400.00</u></b>	<b><u>1400.00</u></b>	<u>Complete</u>
	1. Maintenance Equipment			550.00		550.00	550.00	Complete
	2. Computer Equipment			850.00		850.00	850.00	Complete

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part III: Implementation Schedule**

PHA Name: KY034 Housing Authority of Nicholasville		Grant Type and Number Capital Fund Program No: KY36P03450105 Replacement Housing Factor No:					Federal FY of Grant: 2005
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA-Wide – 1408	9/2007		6/2007	9/2009		9/2007	
HA-Wide – 1410	9/2007		10/2005	9/2009		9/2006	
HA-Wide – 1430	9/2007		6/2006	9/2009		12/2006	
HA-Wide – 1450	9/2007		6/2006	9/2009		9/2006	
HA-Wide – 1460	9/2007		6/2006	9/2009		3/2007	
HA-Wide – 1465.1	9/2007		10/2005	9/2009		3/2007	
HA-Wide – 1475	9/2007		3/2006	9/2009		6/2007	

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: KY034 Housing Authority of Nicholasville		Grant Type and Number Capital Fund Program Grant No: KY36P034501-04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    )					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: X Final Performance and Evaluation Report: 9/30/2007					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	28826.97		28826.97	28826.97
3	1408 Management Improvements	18167.00		18167.00	18167.00
4	1410 Administration	9084.00		9084.00	9084.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	1200.00		1200.00	1200.00
8	1440 Site Acquisition				
9	1450 Site Improvement	5986.00		5986.00	5986.00
10	1460 Dwelling Structures	17373.03		17373.03	17373.03
11	1465.1 Dwelling Equipment—Nonexpendable	1800.00		1800.00	1800.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	8400.00		8400.00	8400.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	90837.00		90837.00	90837.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504				

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: KY034 Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: KY36P034501-04 Replacement Housing Factor Grant No:		Federal FY of Grant: 2004
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: )					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: X Final Performance and Evaluation Report: 9/30/2007					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
	compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures	2200.00		2200.00	2200.00

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: KY034 Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: KY36P034501-04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	<u>Operations</u>	1406		<u>28826.97</u>		<u>28826.97</u>	<u>28829.97</u>	<u>Complete</u>
HA-Wide	<u>Management Improvements</u>	1408		<u>18167.00</u>		<u>18167.00</u>	<u>18167.00</u>	<u>Complete</u>
	1. Staff Training			5067.00		5067.00	5067.00	Complete
	2. Partial Salary Occupancy/Admin. Specialist			7000.00		7000.00	7000.00	Complete

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report</b>								
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b>								
<b>Part II: Supporting Pages</b>								
PHA Name: KY034 Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: KY36P034501-04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	3. Partial Employee Benefits Occupancy/Admin. Specialist			1600.00		1600.00	1600.00	Complete
	4. Computer Software			750.00		750.00	750.00	Complete
	5. Occupancy/ Training Publications			1200.00		1200.00	1200.00	Complete
	6. Resident Community Room – Training Stations Equipment			250.00		250.00	250.00	Complete
	7. Resident/Occupancy Compliance Videos			400.00		400.00	400.00	Complete
	8. Maintenance Uniforms			400.00		400.00	400.00	Complete
	9. Homeownership Outreach/Information Packets			1500.00		1500.00	1500.00	Complete
HA-Wide	<b><u>Administration</u></b>	1410		<b><u>9084.00</u></b>		<b><u>9084.00</u></b>	<b><u>9084.00</u></b>	<b><u>Complete</u></b>
	1. Partial Salary Executive Director/CFP Coordinator			8404.00		8404.00	8404.00	Complete
	2. DSL Office Computer Internet Connection			480.00		480.00	480.00	Complete
	3. CFP Office Supplies: copy costs, postage, etc.			200.00		200.00	200.00	Complete
HA-Wide	<b><u>Fees &amp; Costs</u></b>	1430		<b><u>1200.00</u></b>		<b><u>1200.00</u></b>	<b><u>1200.00</u></b>	<b><u>Complete</u></b>

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report</b>								
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b>								
<b>Part II: Supporting Pages</b>								
PHA Name: KY034 Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: KY36P034501-04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Energy Audit/Utility Allowance (phased '04&'05)			1200.00		1200.00	1200.00	Complete
HA-Wide	<b><u>Site Improvements</u></b>	1450		<b><u>5986.00</u></b>		<b><u>5986.00</u></b>	<b><u>5986.00</u></b>	<b><u>Complete</u></b>
	1. Site landscaping			3000.00		3000.00	3000.00	Complete
	2. Fencing and handrails			2000.00		2000.00	2000.00	Complete
	3. Concrete Site Work			986.00		986.00	986.00	Complete
HA-Wide	<b><u>Dwelling Structures</u></b>	1460		<b><u>17373.03</u></b>		<b><u>17373.03</u></b>	<b><u>17373.03</u></b>	<b><u>Complete</u></b>
	1. Interior painting (long-time occupied units)			1599.41		1599.41	1599.41	Complete
	2. Mini interior renovations at vacancy			7073.03		7073.03	7073.03	Complete
	3. Replacement obsolete dwelling items (door hardware, light fixtures, gas shut-off valves, exhaust fans, range hoods, weatherstripping)			2300.00		2300.00	2300.00	Complete
	4. Kitchen cabinet replacement			6400.59		6400.59	6400.59	Complete
HA-Wide	<b><u>Dwelling Equipment – Nonexpendable</u></b>	1465		<b><u>1800.00</u></b>		<b><u>1800.00</u></b>	<b><u>1800.00</u></b>	<b><u>Complete</u></b>

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: KY034 Housing Authority of Nicholasville			Grant Type and Number Capital Fund Program Grant No: KY36P034501-04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Stove & refrigerators replacement/repair			1800.00		1800.00	1800.00	Complete
HA-Wide	<b><u>Non-Dwelling Equipment</u></b>	1475		<b><u>8400.00</u></b>		<b><u>8400.00</u></b>	<b><u>8400.00</u></b>	<b><u>Complete</u></b>
	1. Walk behind Maintenance Mower			4500.00		4500.00	4500.00	Complete
	2. Drill/Saw Equipment Set			400.00		400.00	400.00	Complete
	3. Administration Automobile (partial)			1047.00		1047.00	1047.00	Complete
	4. Maintenance Site Leaf Collection Machine			600.00		600.00	600.00	Complete
	5. Computer Equipment for new electronic scanning records system			1853.00		1853.00	1853.00	Complete

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report                      Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)                      Part III: Implementation Schedule</b>							
PHA Name: KY034 Housing Authority of Nicholasville			<b>Grant Type and Number</b> Capital Fund Program No: KY36P034501-04 Replacement Housing Factor No:			<b>Federal FY of Grant: 2004</b>	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA-Wide – 1406	9/2006		12/2004	9/2008		12/2004	
HA-Wide – 1408	9/2006		6/2006	9/2008		6/2006	
HA-Wide – 1410	9/2006		6/2006	9/2008		6/2006	
HA-Wide – 1430	9/2006		9/2006	9/2008		9/2006	
HA-Wide – 1450	9/2006		9/2006	9/2008		12/2006	
HA-Wide – 1460	9/2006		6/2005	9/2008		6/2005	
HA-Wide – 1465.1	9/2006		9/2005	9/2008		9/2005	
HA-Wide – 1475	9/2006		6/2006	9/2008		6/2006	



## 8. Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan					
Part I: Summary					
PHA Name: KY034 Housing Authority of Nicholasville				<input checked="" type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No:</b>	
Development Number/Name/HA-Wide	Year 1 2008	Work Statement for Year 2 FFY Grant: 2009 PHA FY: 2010	Work Statement for Year 3 FFY Grant: 2010 PHA FY: 2011	Work Statement for Year 4 FFY Grant: 2011 PHA FY: 2012	Work Statement for Year 5 FFY Grant: 2013 PHA FY:2014
	Annual Statement				
KY034-HA-Wide		85000	85000	85000	85000
CFP Funds Listed for 5-year planning		85000	85000	85000	85000
Replacement Housing Factor Funds		N/A	N/A	N/A	N/A

## 8. Capital Fund Program Five-Year Action Plan

<b>Capital Fund Program Five-Year Action Plan</b>						
<b>Part II: Supporting Pages—Work Activities</b>						
Activities for Year 1 2008	Activities for Year: 2 FFY Grant: 2009 PHA FY: 2010			Activities for Year: 3 FFY Grant: 2010 PHA FY: 2011		
	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>
<b>See</b>	<i>KY034-HA-Wide</i>	Window Sills	250	<i>KY034-HA-Wide</i>	Landscaping	3850
<b>Annual</b>		Exterior Storage Sheds	250		Management Aid Salary/Benefits	16200
<b>Statement</b>		Kitchen & Bathroom new Flooring	4800		Mgmt Training	700
		HUD Physical Inspection noted items	500		4BR Conversion to 1BR (404/406 EM)	62000
		4BR Conversion to 1BR (404/406 EM)	62000		One-Bedroom rental Construction	2000
		Management Aid/Salary/Benefits	16200		Commissioners Training/Materials	250
		One-Bedroom rental Construction	1000			
<b>Total CFP Estimated Cost</b>			85000			85000

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## 8. Capital Fund Program Five-Year Action Plan

Activities for Year: 4 FFY Grant: 2011 PHA FY: 2012			Activities for Year: 5 FFY Grant: 2012 PHA FY: 2013		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<i>KY034-HA-Wide</i>	Tree Trimming/Removal	1000	<i>KY034-HA-Wide</i>	Landscaping	500
	Gutter Screens	100		Overgrown Vegetation Removal/Tree Removal	500
	Bathroom Exhaust fans/Range Hoods	350		Plumbing/Sewer	700
	Key Lock Cylinders	200		Roofs	4000
	Concrete repairs	500		Obsolete Equipment	200
	Exterior Door Painting	200		Hot Water Heaters	500
	Management Aid Salary/Benefits	14900		Stove/Refrigerators	1000
	Mgmt Training	750		Doors Replacements	500
	HUD Physical Inspection noted items	500		Interior Renovations	2000
	One-Bedroom rental Construction	1000		Management Aid Salary/Benefits	11300
	Interior Painting	1000		Mgmt Training	500
	4BR Conversion to 1BR (404/406 EM)	62000		Fencing	500
	Office Doors (2 Exterior; 1 Interior metal)	500		Bathroom Grab Bars	200
	Office Drywall & Insulate	2000		Lever door knobs: 1Bedrooms	100
				Maintenance Uniforms	300
				Computer Equipment & Software	200
				4BR Conversion to 1BR (404/406 EM)	62000
Total CFP Estimated Cost		85000			85000