

PHA Plans

Streamlined Annual Version

U.S. Department of Housing and
Urban Development
Office of Public and Indian
Housing

OMB No. 2577-0226
(exp. 05/31/2006)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

Streamlined Annual PHA Plan

for Fiscal Year: 2007

PHA Name:

Rockwood Housing Authority
Tn018v01

NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.

Streamlined Annual PHA Plan Agency Identification

PHA Name: Rockwood Housing Authority

PHA Number: TN018

PHA Fiscal Year Beginning: (mm/yyyy) 07/2007

PHA Programs Administered:

Public Housing and Section 8 **Section 8 Only** **Public Housing Only**
Number of public housing units: Number of S8 units: Number of public housing units: 124
Number of S8 units:

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

PHA Plan Contact Information:

Name: Jill Jiles-Everhart Phone: (865) 354-9841
TDD: Email (if available): jjeverhart@bellsouth.net

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

PHA's main administrative office PHA's development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plan revised policies or program changes (including attachments) are available for public review and inspection. Yes No.

If yes, select all that apply:

Main administrative office of the PHA
 PHA development management offices
 Main administrative office of the local, county or State government
 Public library PHA website Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

Main business office of the PHA PHA development management offices
 Other (list below)

Streamlined Annual PHA Plan
Fiscal Year 2007
[24 CFR Part 903.12(c)]

Table of Contents
[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

A. PHA PLAN COMPONENTS

- 1. Site-Based Waiting List Policies
903.7(b)(2) Policies on Eligibility, Selection, and Admissions
- 2. Capital Improvement Needs
903.7(g) Statement of Capital Improvements Needed
- 3. Section 8(y) Homeownership
903.7(k)(1)(i) Statement of Homeownership Programs
- 4. Project-Based Voucher Programs
- 5. PHA Statement of Consistency with Consolidated Plan. Complete only if PHA has changed any policies, programs, or plan components from its last Annual Plan.
- 6. Supporting Documents Available for Review
- 7. Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report
- 8. Capital Fund Program 5-Year Action Plan

B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE

Form HUD-50076, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Streamlined Annual Plan identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA's principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

Form HUD-50070, Certification for a Drug-Free Workplace;
Form HUD-50071, Certification of Payments to Influence Federal Transactions; and
Form SF-LLL & SF-LLLa, Disclosure of Lobbying Activities.

1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies)

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Site-Based Waiting Lists-Previous Year

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to B. **Not Applicable**

Site-Based Waiting Lists				
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics

2. What is the number of site based waiting list developments to which families may apply at one time? **NA**
3. How many unit offers may an applicant turn down before being removed from the site-based waiting list? **NA**
4. Yes No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below: **NA**

B. Site-Based Waiting Lists – Coming Year

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component.

1. How many site-based waiting lists will the PHA operate in the coming year? **NA**
2. Yes No: Are any or all of the PHA’s site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
 If yes, how many lists?
3. Yes No: May families be on more than one list simultaneously
 If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)? **Not Applicable**

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

2. Capital Improvement Needs

[24 CFR Part 903.12 (c), 903.7 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Capital Fund Program

1. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.
2. Yes No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

1. Yes No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary).
2. Status of HOPE VI revitalization grant(s): **Not Applicable**

HOPE VI Revitalization Grant Status	
a. Development Name:	
b. Development Number:	
c. Status of Grant:	
	<input type="checkbox"/> Revitalization Plan under development
	<input type="checkbox"/> Revitalization Plan submitted, pending approval
	<input type="checkbox"/> Revitalization Plan approved
	<input type="checkbox"/> Activities pursuant to an approved Revitalization Plan underway

3. Yes No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name(s) below:
4. Yes No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:
5. Yes No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program
(if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)]

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to the next component; if "yes", complete each program description below (copy and complete questions for each program identified.)

2. Program Description: **Not Applicable**

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year?

b. PHA-established eligibility criteria

- Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria:

c. What actions will the PHA undertake to implement the program this year (list)?

3. Capacity of the PHA to Administer a Section 8 Homeownership Program:

Not Applicable

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.
- Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally

- accepted private sector underwriting standards.
- Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below):
- Demonstrating that it has other relevant experience (list experience below):

4. Use of the Project-Based Voucher Program

Intent to Use Project-Based Assistance

- Yes No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If the answer is “no,” go to the next component. If yes, answer the following questions.

1. Yes No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply: **Not Applicable**

- low utilization rate for vouchers due to lack of suitable rental units
- access to neighborhoods outside of high poverty areas
- other (describe below):

2. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

5. PHA Statement of Consistency with the Consolidated Plan

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary) only if the PHA has provided a certification listing program or policy changes from its last Annual Plan submission.

There have been no changes to any policies or programs from the last Annual Plan.

1. Consolidated Plan jurisdiction: (provide name here)

State of Tennessee, Tennessee Housing Development Agency

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families on its waiting lists on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Please refer to the Executive Summary of the Consolidated Plan for the State of Tennessee.

6. Supporting Documents Available for Review for Streamlined Annual PHA Plans

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
NA	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;</i>	5 Year and Annual Plans
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan</i>	Streamlined Annual Plans
NA	<i>Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.</i>	5 Year and standard Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Deconcentration Income Analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input checked="" type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
NA	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
NA	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
NA	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
NA	Any policies governing any Section 8 special housing types <input type="checkbox"/> Check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
NA	Section 8 informal review and hearing procedures. <input type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
NA	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
NA	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
NA	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
NA	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
X	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
X	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
NA	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
NA	Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs <input checked="" type="checkbox"/> Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
NA	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
NA	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
NA	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the	Annual Plan: Annual Audit

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
	Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	
NA	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
NA	Consortium agreement(s) and for Consortium Joint PHA Plans <u>Only</u> : Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection.	Joint Annual PHA Plan for Consortia: Agency Identification and Annual Management and Operations

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:				<input type="checkbox"/> Final Performance and Evaluation Report	
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0			
2	1406 Operations	3,647			
3	1408 Management Improvements	30,000			
4	1410 Administration	1,000			
5	1411 Audit	0			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	23,000			
8	1440 Site Acquisition	0			
9	1450 Site Improvement	5,500			
10	1460 Dwelling Structures	112,000			
11	1465.1 Dwelling Equipment—Nonexpendable	3,000			
12	1470 Nondwelling Structures	0			
13	1475 Nondwelling Equipment	3,500			
14	1485 Demolition	0			
15	1490 Replacement Reserve	0			
16	1492 Moving to Work Demonstration	0			
17	1495.1 Relocation Costs	0			
18	1499 Development Activities	0			
19	1501 Collateralization or Debt Service	0			
20	1502 Contingency	0			
21	Amount of Annual Grant: (sum of lines 2 – 20)	181,647			
22	Amount of line 21 Related to LBP Activities	0			
23	Amount of line 21 Related to Section 504 compliance	0			

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850107 Replacement Housing Factor Grant No:		Federal FY of Grant: 2007	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
24	Amount of line 21 Related to Security – Soft Costs	0			
25	Amount of Line 21 Related to Security – Hard Costs	0			
26	Amount of line 21 Related to Energy Conservation Measures	0			

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
TN018-001	Kitchen Renovations	1460	Dev-wide	20,000				
Evans Heights	Bathroom Renovations	1460	Dev-wide	20,000				
	Windows	1460	Dev-wide	20,000				
	New Porch Mailboxes	1460	Dev-wide	2,000				
TN018-002	Storage Buildings	1460	Dev-wide	25,000				
Martin Manor	Cluster Mailboxes	1460	Dev-wide	5,000				
	Interior Lighting	1460	Dev-wide	20,000				
PHA-WIDE Operations	Operating Expense	1406	1	3,647				
PHA-WIDE Management Improvements	Salaries	1408	1	25,000				
	Staff Training	1408	1	5,000				
PHA-WIDE Administration	Advertising	1410	1	1,000				
PHA-WIDE Fees and Costs	A/E Fees	1430	1	17,500				
	Consultant Fees for Env. Review	1430	1	2,000				
	Consultant Fees for PHA Plans	1430	1	3,500				

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-WIDE	Landscaping	1450	PHA-wide	2,500				
Site Improvements	Recreation Equipment	1450	PHA-wide	3,000				
PHA-WIDE	Ranges	1465.1	PHA-wide	1,500				
Dwelling	Refrigerators	1465.1	PHA-wide	1,500				
Equipment								
PHA-WIDE	Neighborhood Watch Program	1475	PHA-wide	500				
Nondwelling	Maintenance Equipment	1475	PHA-wide	1,000				
Equipment	Office Furniture/Equipment	1475	PHA-wide	1,000				
	Community Space Equipment	1475	PHA-wide	1,000				

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program No: TN37P01850107 Replacement Housing Factor No:				Federal FY of Grant: 2007	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
TN018-001 Evans Heights	06/30/09			06/30/11			
TN018-002 Martin Manor	06/30/09			06/30/11			
PHA-WIDE Operations	06/30/09			06/30/11			
PHA-WIDE Management Improvements	06/30/09			06/30/11			
PHA-WIDE Administration	06/30/09			06/30/11			
PHA-WIDE Fees and Costs	06/30/09			06/30/11			
PHA-WIDE Site Improvements	06/30/09			06/30/11			

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program No: TN37P01850107 Replacement Housing Factor No:				Federal FY of Grant: 2007	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-WIDE	06/30/09			06/30/11			
Dwelling							
Equipment							
PHA-WIDE	06/30/09			06/30/11			
Nondwelling							
Equipment							

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/07				<input type="checkbox"/> Final Performance and Evaluation Report	
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0		0	0
2	1406 Operations	1,000	15,169	0	0
3	1408 Management Improvements	30,000		0	0
4	1410 Administration	1,000		0	0
5	1411 Audit	0		0	0
6	1415 Liquidated Damages	0		0	0
7	1430 Fees and Costs	23,000		0	0
8	1440 Site Acquisition	0		0	0
9	1450 Site Improvement	3,500		0	0
10	1460 Dwelling Structures	93,728		0	0
11	1465.1 Dwelling Equipment—Nonexpendable	6,250		0	0
12	1470 Nondwelling Structures	0		0	0
13	1475 Nondwelling Equipment	7,500		0	0
14	1485 Demolition	0		0	0
15	1490 Replacement Reserve	0		0	0
16	1492 Moving to Work Demonstration	0		0	0
17	1495.1 Relocation Costs	1,000		0	0
18	1499 Development Activities	0		0	0
19	1501 Collateralization or Debt Service	0		0	0
20	1502 Contingency	500		0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	167,478	181,647	0	0
22	Amount of line 21 Related to LBP Activities	0		0	0
23	Amount of line 21 Related to Section 504 compliance	0		0	0

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850106 Replacement Housing Factor Grant No:		Federal FY of Grant: 2006	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/07		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
24	Amount of line 21 Related to Security – Soft Costs	0		0	0
25	Amount of Line 21 Related to Security – Hard Costs	0		0	0
26	Amount of line 21 Related to Energy Conservation Measures	0		0	0

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
TN018-002	Replace Porch Columns	1460	Dev-wide	20,000		0	0	09/07
Martin Manor	Replace Exterior Doors	1460	Dev-wide	2,000		0	0	09/07
	Replace Garage Door @ MMC	1460	1	1,000		0	0	09/07
PHA-WIDE	Operating Expense	1406	1	1,000	15,169	0	0	09/07
Operations								
PHA-WIDE	Salaries	1408	1	25,000		0	0	09/07
Management	Staff Training	1408	1	5,000		0	0	09/07
Improvements								
PHA-WIDE	Advertising	1410	1	1,000		0	0	09/07
Administration								
PHA-WIDE	A/E Fees	1430	1	17,500		0	0	06/07
Fees and Costs	Consultant Fees for Env. Review	1430	1	2,000		0	0	06/07
	Consultant Fees for PHA Plans	1430	1	3,500		0	0	06/07
PHA-WIDE	Landscaping	1450	PHA-wide	500		0	0	09/07
Site Improvements	Recreation Equipment	1450	PHA-wide	3,000		0	0	09/07

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-WIDE	Kitchen Cabinets	1460	PHA-wide	10,000		0	0	09/07
Dwelling	Flooring	1460	PHA-wide	1,000		0	0	09/07
Structures	Water Heaters	1460	PHA-wide	4,000		0	0	09/07
	HVAC	1460	PHA-wide	53,728		0	0	09/07
	Plumbing	1460	PHA-wide	2,000		0	0	09/07
PHA-WIDE	Ranges	1465.1	PHA-wide	5,250		0	0	09/07
Dwelling	Refrigerators	1465.1	PHA-wide	1,000		0	0	09/07
Equipment								
PHA-WIDE	Resident Computer Lab	1475	PHA-wide	4,000		0	0	09/07
Nondwelling	Neighborhood Watch Program	1475	PHA-wide	500		0	0	09/07
Equipment	Maintenance Equipment	1475	PHA-wide	1,000		0	0	09/07
	Office Furniture/Equipment	1475	PHA-wide	1,000		0	0	09/07
	Community Space Equipment	1475	PHA-wide	1,000		0	0	09/07
PHA-WIDE	Relocation Expense	1495.1	PHA-wide	1,000		0	0	09/07
Relocation								
Costs								
PHA-WIDE	Contingency Costs	1502	PHA-wide	500		0	0	09/07
Contingency								

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program No: TN37P01850106 Replacement Housing Factor No:				Federal FY of Grant: 2006	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
TN018-002 Martin Manor	06/30/08			06/30/09			
PHA-WIDE Operations	06/30/08			06/30/09			
PHA-WIDE Management Improvements	06/30/08			06/30/09			
PHA-WIDE Administration	06/30/08			06/30/09			
PHA-WIDE Fees and Costs	06/30/08			06/30/09			
PHA-WIDE Site Improvements	06/30/08			06/30/09			
PHA-WIDE Dwelling Structures	06/30/08			06/30/09			
PHA-WIDE Dwelling Equipment	06/30/08			06/30/09			

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockwood Housing Authority			Grant Type and Number Capital Fund Program No: TN37P01850106 Replacement Housing Factor No:				Federal FY of Grant: 2006
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-WIDE	06/30/08			06/30/09			
Nondwelling Equipment							
PHA-WIDE	06/30/08			06/30/09			
Relocation Costs							
PHA-WIDE	06/30/08			06/30/09			
Contingency							

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/07				<input type="checkbox"/> Final Performance and Evaluation Report	
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0		0	0
2	1406 Operations	1,000		1,000	0
3	1408 Management Improvements	31,000		31,000	44,000
4	1410 Administration	1,000		1,000	1,333
5	1411 Audit	0		0	0
6	1415 Liquidated Damages	0		0	0
7	1430 Fees and Costs	18,500		18,500	19,395
8	1440 Site Acquisition	0		0	0
9	1450 Site Improvement	8,000		8,000	1,513
10	1460 Dwelling Structures	114,308		114,308	0
11	1465.1 Dwelling Equipment—Nonexpendable	7,525		7,525	3,580
12	1470 Nondwelling Structures	0		0	0
13	1475 Nondwelling Equipment	7,500		7,500	0
14	1485 Demolition	0		0	0
15	1490 Replacement Reserve	0		0	0
16	1492 Moving to Work Demonstration	0		0	0
17	1495.1 Relocation Costs	0		0	0
18	1499 Development Activities	0		0	0
19	1501 Collateralization or Debt Service	0		0	0
20	1502 Contingency	500		500	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	189,333		189,333	68,821
22	Amount of line 21 Related to LBP Activities	0		0	0
23	Amount of line 21 Related to Section 504 compliance	0		0	0

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850105 Replacement Housing Factor Grant No:		Federal FY of Grant: 2005	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/07		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
24	Amount of line 21 Related to Security – Soft Costs	0		0	0
25	Amount of Line 21 Related to Security – Hard Costs	0		0	0
26	Amount of line 21 Related to Energy Conservation Measures	0		0	0

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
TN018-001	Storage Shed Roofing	1460	Dev-wide	2,600		2,600	0	In Progress
Evans Heights	Exterior Door Locks (FA)	1460	Dev-wide	5,000		5,000	0	In Progress
	Weather-Stripping (FA)	1460	Dev-wide	500		500	0	In Progress
	HVAC	1460	Dev-wide	55,958	0	0	0	Deleted
	Windows	1460	Dev-wide	17,250	40,920	40,920	0	In Progress
TN018-002	Weather-Stripping (FA)	1460	Dev-wide	2,000		2,000	0	In Progress
Martin Manor	Exterior Doors	1460	Dev-wide	20,000	61,713	61,713	0	In Progress
PHA-WIDE Operations	Operating Expense	1406	1	1,000		1,000	0	In Progress
PHA-WIDE Management Improvements	Salaries	1408	1	25,000		25,000	44,000	Revise
	Staff Training	1408	1	5,000		5,000	0	In Progress
	Computers/Software	1408	1	1,000		1,000	0	In Progress
PHA-WIDE Administration	Advertising	1410	1	1,000		1,000	1,333	Revise

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-WIDE	A/E Fees	1430	1	14,000		14,000	14,895	Revise
Fees and Costs	Consultant Fees for Env. Review	1430	1	2,000		2,000	2,000	Completed
	Consultant Fees for PHA Plans	1430	1	2,500		2,500	2,500	Completed
PHA-WIDE	Landscaping	1450	PHA-wide	5,000		5,000	0	In Progress
Site Improvements	Recreational Equipment	1450	PHA-wide	3,000		3,000	1,513	In Progress
PHA-WIDE	Kitchen Cabinets	1460	PHA-wide	10,000	0	0	0	Deleted
Dwelling	Flooring	1460	PHA-wide	1,000	1,575	1,575	0	In Progress
Structures	Dryer Hook-ups	1460	PHA-wide	10,000	0	0	0	Deleted
PHA-WIDE	Ranges	1465.1	PHA-wide	3,525		3,525	0	In Progress
Dwelling	Water Heaters	1465.1	PHA-wide	4,000		4,000	3,580	In Progress
Equipment								
PHA-WIDE	Resident Computer Lab	1475	PHA-wide	4,000		4,000	0	In Progress
Nondwelling	Neighborhood Watch Program	1475	PHA-wide	500		500	0	In Progress
Equipment	Maintenance Equipment	1475	PHA-wide	1,000		1,000	0	In Progress
	Office Furniture/Equipment	1475	PHA-wide	1,000		1,000	0	In Progress
	Community Space Equipment	1475	PHA-wide	1,000		1,000	0	In Progress
PHA-WIDE	Contingency Costs	1502	PHA-wide	500		500	0	In Progress
Contingency								

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program No: TN37P01850105 Replacement Housing Factor No:				Federal FY of Grant: 2005	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
TN018-001 Evans Heights	08/17/07			08/17/09			
TN018-002 Martin Manor	08/17/07			08/17/09			
PHA-WIDE Operations	08/17/07			08/17/09			
PHA-WIDE Management Improvements	08/17/07			08/17/09			
PHA-WIDE Administration	08/17/07			08/17/09			
PHA-WIDE Fees and Costs	08/17/07			08/17/09			
PHA-WIDE Site Improvements	08/17/07			08/17/09			
PHA-WIDE Dwelling Structures	08/17/07			08/17/09			

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program No: TN37P01850105 Replacement Housing Factor No:				Federal FY of Grant: 2005	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-WIDE Dwelling Equipment	08/17/07			08/17/09			
PHA-WIDE Nondwelling Equipment	08/17/07			08/17/09			
PHA-WIDE Contingency	08/17/07			08/17/09			

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850104 Replacement Housing Factor Grant No:		Federal FY of Grant: 2004	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/07 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0		0	0
2	1406 Operations	6,625		6,625	6,625
3	1408 Management Improvements	25,000		25,000	1,200
4	1410 Administration	1,000	1,241	1,241	1,241
5	1411 Audit	0		0	0
6	1415 Liquidated Damages	0		0	0
7	1430 Fees and Costs	8,500	8,805	8,805	8,805
8	1440 Site Acquisition	0		0	0
9	1450 Site Improvement	8,000	12,733	12,733	8,311
10	1460 Dwelling Structures	152,926	148,201	148,201	98,514
11	1465.1 Dwelling Equipment—Nonexpendable	8,775	8,721	8,721	8,721
12	1470 Nondwelling Structures	0		0	0
13	1475 Nondwelling Equipment	7,500		7,500	1,921
14	1485 Demolition	0		0	0
15	1490 Replacement Reserve	0		0	0
16	1492 Moving to Work Demonstration	0		0	0
17	1495.1 Relocation Costs	0		0	0
18	1499 Development Activities	0		0	0
19	1501 Collateralization or Debt Service	0		0	0
20	1502 Contingency	500	0	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	218,826		218,826	135,338
22	Amount of line 21 Related to LBP Activities	0		0	0
23	Amount of line 21 Related to Section 504 compliance	0		0	0

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850104 Replacement Housing Factor Grant No:		Federal FY of Grant: 2004	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/07		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
24	Amount of line 21 Related to Security – Soft Costs	0		0	0
25	Amount of Line 21 Related to Security – Hard Costs	0		0	0
26	Amount of line 21 Related to Energy Conservation Measures	0		0	0

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
TN018-001	Roofing	1460	Dev-wide	82,826	0	0	0	Deleted
Evans Heights	Washer Drain Lines	1460	Dev-wide	6,000	11,998	11,998	11,998	Complete
	Screen Doors	1460	Dev-wide	25,000	0	0	0	Deleted
	Bathroom Renovations	1460	Dev-wide	0	20,392	20,392	20,392	Complete
TN018-001	Exterior Doors	1460	Dev-wide	0	33,478	33,478	33,478	Complete
Evans Heights								
PHA-WIDE	Operating Expense	1406	1	6,625		6,625	6,625	Complete
Operations								
PHA-WIDE	Salaries	1408	1	7,500		7,500	1,200	In Progress
Management	Staff Training	1408	1	5,000		5,000	0	In Progress
Improvements	VISTA Worker	1408	1	12,500		12,500	0	In Progress
PHA-WIDE	Advertising	1410	1	1,000	1,241	1,241	1,241	Complete
Administration								
PHA-WIDE	A/E Fees	1430	1	5,000	5,305	5,305	5,305	Complete
Fees and Costs	Consultant Fees for Env. Review	1430	1	1,000		1,000	1,000	Complete
	Consultant Fees for PHA Plans	1430	1	2,500		2,500	2,500	Complete

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-WIDE	Landscaping	1450	PHA-wide	5,000		5,000	5,000	Complete
Site Improvements	Recreational Equipment	1450	PHA-wide	3,000		3,000	3,000	Complete
	Sidewalks Repairs	1450	PHA-wide	0	4,733	4,733	311	In Progress
PHA-WIDE	Kitchen Cabinets	1460	PHA-wide	10,000	25,960	25,960	12,233	In Progress
Dwelling	Flooring	1460	PHA-wide	14,100	25,536	25,536	0	In Progress
Structures	Dryer Hook-ups	1460	PHA-wide	10,000	5,424	5,424	0	In Progress
	Roofing	1460	PHA-wide	0	20,413	20,413	20,413	Complete
	Water Heaters	1460	PHA-wide	5,000		5,000	0	In Progress
PHA-WIDE	Ranges	1465.1	PHA-wide	3,525	3,511	3,511	3,511	Complete
Dwelling Equipment	Refrigerators	1465.1	PHA-wide	5,250	5,210	5,210	5,210	Complete
PHA-WIDE	Resident Computer Lab	1475	PHA-wide	4,000		4,000	0	In Progress
Nondwelling Equipment	Neighborhood Watch Program	1475	PHA-wide	500		500	245	In Progress
	Maintenance Equipment	1475	PHA-wide	1,000		1,000	774	In Progress
	Office Furniture/Equipment	1475	PHA-wide	1,000		1,000	0	In Progress
	Community Space Equipment	1475	PHA-wide	1,000		1,000	902	In Progress
PHA-WIDE Contingency	Contingency Costs	1502	PHA-wide	500	0	0	0	Deleted

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program No: TN37P01850104 Replacement Housing Factor No:				Federal FY of Grant: 2004	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
TN018-001 Evans Heights	09/13/06			09/13/08			
TN018-002 Martin Manor	N/A	09/13/06		N/A	09/13/08		
PHA-WIDE Operations	09/13/06			09/13/08			
PHA-WIDE Management Improvements	09/13/06			09/13/08			
PHA-WIDE Administration	09/13/06			09/13/08			
PHA-WIDE Fees and Costs	09/13/06			09/13/08			
PHA-WIDE Site Improvements	09/13/06			09/13/08			

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program No: TN37P01850104 Replacement Housing Factor No:				Federal FY of Grant: 2004	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-WIDE Dwelling Structures	09/13/06			09/13/08			
PHA-WIDE Dwelling Equipment	09/13/06			09/13/08			
PHA-WIDE Nondwelling Equipment	09/13/06			09/13/08			
PHA-WIDE Contingency	09/13/06	N/A		09/13/08	N/A		

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part I: Summary**

PHA Name: Rockwood Housing Authority	Grant Type and Number Capital Fund Program Grant No: TN37P01850103 Replacement Housing Factor Grant No:	Federal FY of Grant: 2003
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<input type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserve for Disasters/ Emergencies	<input type="checkbox"/> Revised Annual Statement (revision no:)
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/07	<input type="checkbox"/> Final Performance and Evaluation Report	

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0		0	0
2	1406 Operations	19,275		19,275	19,275
3	1408 Management Improvements	25,000		25,000	25,000
4	1410 Administration	1,000	887	887	887
5	1411 Audit	0		0	0
6	1415 Liquidated Damages	0		0	0
7	1430 Fees and Costs	8,000	8,502	8,502	8,502
8	1440 Site Acquisition	0		0	0
9	1450 Site Improvement	9,000	2,250	2,250	2,250
10	1460 Dwelling Structures	96,904	115,988	115,988	115,988
11	1465.1 Dwelling Equipment—Nonexpendable	8,775	8,097	8,097	8,097
12	1470 Nondwelling Structures	5,000	0	0	0
13	1475 Nondwelling Equipment	10,000	8,955	8,955	8,955
14	1485 Demolition	0		0	0
15	1490 Replacement Reserve	0		0	0
16	1492 Moving to Work Demonstration	0		0	0
17	1495.1 Relocation Costs	5,000	0	0	0
18	1499 Development Activities	0		0	0
19	1501 Collateralization or Debt Service	0		0	0
20	1502 Contingency	500	0	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	188,954		188,954	188,954
22	Amount of line 21 Related to LBP Activities	0		0	0

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850103 Replacement Housing Factor Grant No:		Federal FY of Grant: 2003	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/07		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
23	Amount of line 21 Related to Section 504 compliance	0		0	0
24	Amount of line 21 Related to Security – Soft Costs	0		0	0
25	Amount of Line 21 Related to Security – Hard Costs	0		0	0
26	Amount of line 21 Related to Energy Conservation Measures	0		0	0

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850103 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
TN018-001	Bathroom Renovations	1460	Dev-wide	20,000	0	0	0	Deleted
Evans Heights	Storm Windows	1460	Dev-wide	10,000	0	0	0	Deleted
	Security System for Resident Services	1470	1	5,000	0	0	0	Deleted
PHA-WIDE Operations	Operating Expense	1406	1	19,275		19,275	19,275	Completed
PHA-WIDE Management Improvements	Salaries	1408	1	20,000		20,000	20,000	Completed
	Staff Training	1408	1	5,000		5,000	5,000	Completed
PHA-WIDE Administration	Advertising	1410	1	1,000	887	887	887	Completed
PHA-WIDE Fees and Costs	A/E Fees	1430	1	5,000	5,502	5,502	5,502	Completed
	Consultant Fees for Env. Review	1430	1	1,000	1,000	1,000	1,000	Completed
	Consultant Fees for PHA Plans	1430	1	2,000	2,000	2,000	2,000	Completed
PHA-WIDE Site Improvements	Landscaping	1450	PHA-wide	5,000	2,250	2,250	2,250	Completed
	Sidewalk Repairs	1450	PHA-wide	4,000	0	0	0	Deleted

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P01850103 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-WIDE	Kitchen Cabinets	1460	PHA-wide	10,000	0	0	0	Deleted
Dwelling	Roofing	1460	PHA-wide	10,000	115,140	115,140	115,140	Completed
Structures	Water Heaters	1460	PHA-wide	1,000	0	0	0	Deleted
	Flooring	1460	PHA-wide	14,100	848	848	848	Completed
	LBP Abatement	1460	PHA-wide	31,804	0	0	0	Deleted
PHA-WIDE	Ranges	1465.1	PHA-wide	3,525	3,201	3,201	3,201	Completed
Dwelling	Refrigerators	1465.1	PHA-wide	5,250	4,896	4,896	4,896	Completed
Equipment								
PHA-WIDE	Resident Computer Lab	1475	PHA-wide	4,000	5,886	5,886	5,886	Completed
Nondwelling	Neighborhood Watch Program	1475	PHA-wide	500	0	0	0	Deleted
Equipment	Maintenance Equipment	1475	PHA-wide	1,000	1,041	1,041	1,041	Completed
	Office Furniture/Equipment	1475	PHA-wide	1,000	749	749	749	Completed
	Community Space Equipment	1475	PHA-wide	1,000	1,154	1,154	1,154	Completed
	Recreational Equipment	1475	PHA-wide	3,000	125	125	125	Completed
PHA-WIDE	Relocation Expense	1495.1	PHA-wide	5,000	0	0	0	Deleted
Relocation								
Costs								
PHA-WIDE	Contingency Costs	1502	PHA-wide	500	0	0	0	Deleted
Contingency								

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program No: TN37P01850103 Replacement Housing Factor No:				Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
TN018-001	09/16/05			09/16/07			
Evans Heights							
PHA-WIDE	09/16/05			09/16/07			
Operations							
PHA-WIDE	09/16/05			09/16/07			
Management							
Improvements							
PHA-WIDE	09/16/05			09/16/07			
Administration							
PHA-WIDE	09/16/05			09/16/07			
Fees and Costs							
PHA-WIDE	09/16/05			09/16/07			
Site Improvements							
PHA-WIDE	09/16/05			09/16/07			
Dwelling Structures							

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockwood Housing Authority		Grant Type and Number Capital Fund Program No: TN37P01850103 Replacement Housing Factor No:				Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-WIDE	09/16/05			09/16/07			
Dwelling Equipment							
PHA-WIDE	09/16/05			09/16/07			
Nondwelling Equipment							
PHA-WIDE	09/16/05	N/A		09/16/07			
Relocation Costs							
PHA-WIDE	09/16/05	N/A		09/16/07			
Contingency							

8. Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan					
Part I: Summary					
PHA Name Rockwood Housing Authority				<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2008 PHA FY: 07/2008	Work Statement for Year 3 FFY Grant: 2009 PHA FY: 07/2009	Work Statement for Year 4 FFY Grant: 2010 PHA FY: 07/2010	Work Statement for Year 5 FFY Grant: 2011 PHA FY: 07/2011
	Annual Statement				
PHA-WIDE		181,647	181,647	181,647	181,647
CFP Funds Listed for 5-year planning		\$181,647	\$181,647	\$181,647	\$181,647
Replacement Housing Factor Funds		0	0	0	0

8. Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan						
Part II: Supporting Pages—Work Activities						
Activities for Year 1	Activities for Year: <u>2</u> FFY Grant: 2008 PHA FY: 07/2008			Activities for Year: <u>3</u> FFY Grant: 2009 PHA FY: 07/2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	PHA-WIDE	Operating Expense	1,647	PHA-WIDE	Operating Expense	1,647
Annual	Operations			Operations		
Statement						
	PHA-WIDE	Salaries	25,000	PHA-WIDE	Salaries	25,000
	Management	Staff Training	5,000	Management	Staff Training	5,000
	Improvements			Improvements		
	PHA-WIDE	Advertising Expense	1,000	PHA-WIDE	Advertising Expense	1,000
	Administration			Administration		
	PHA-WIDE	A/E Fees	17,500	PHA-WIDE	A/E Fees	17,500
	Fees and Costs	PHA Plan	3,500	Fees and Costs	PHA Plan	3,500
		Environmental Review	1,500		Environmental Review	1,500
	PHA-WIDE	Landscaping	5,000	PHA-WIDE	Landscaping	5,000
	Site Improvements	Recreation Equipment	3,000	Site Improvements	Recreation Equipment	3,000
		Sidewalks/Parking	1,000		Sidewalks/Parking	1,000
		Sewer lines	2,000		Sewer lines	2,000
	PHA-WIDE	Bathroom Renovations	10,000	PHA-WIDE	Bathroom Renovations	10,000
	Dwelling Structures	Kitchen Renovations	10,000	Dwelling Structures	Kitchen Renovations	10,000
		Windows	10,000		Windows	10,000
		Flooring	10,000		Flooring	10,000
		Plumbing	5,000		Plumbing	5,000
		HVAC	32,500		HVAC	32,500
		Interior Doors	5,000		Interior Doors	5,000

8. Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan					
Part II: Supporting Pages—Work Activities					
Activities for Year: <u>4</u> FFY Grant: 2010 PHA FY: 07/2010			Activities for Year: <u>5</u> FFY Grant: 2011 PHA FY: 07/2011		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
PHA-WIDE	Operating Expense	1,647	PHA-WIDE	Operating Expense	1,647
Operations			Operations		
PHA-WIDE	Salaries	25,000	PHA-WIDE	Salaries	25,000
Management	Staff Training	5,000	Management	Staff Training	5,000
Improvements			Improvements		
PHA-WIDE	Advertising Expense	1,000	PHA-WIDE	Advertising Expense	1,000
Administration			Administration		
PHA-WIDE	A/E Fees	17,500	PHA-WIDE	A/E Fees	17,500
Fees and Costs	PHA Plan	3,500	Fees and Costs	PHA Plan	3,500
	Environmental Review	1,500		Environmental Review	1,500
PHA-WIDE	Landscaping	5,000	PHA-WIDE	Landscaping	5,000
Site Improvements	Recreation Equipment	3,000	Site Improvements	Recreation Equipment	3,000
	Sidewalks/Parking	1,000		Sidewalks/Parking	1,000
	Sewer lines	2,000		Sewer lines	2,000
PHA-WIDE	Bathroom Renovations	10,000	PHA-WIDE	Bathroom Renovations	10,000
Dwelling Structures	Kitchen Renovations	10,000	Dwelling Structures	Kitchen Renovations	10,000
	Windows	10,000		Windows	10,000
	Flooring	10,000		Flooring	10,000
	Plumbing	5,000		Plumbing	5,000
	HVAC	32,500		HVAC	32,500
	Interior Doors	5,000		Interior Doors	5,000
	Electrical	5,000		Electrical	5,000

