

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2005 - 2009

Annual Plan for Fiscal Year 2008

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Rochester Housing Authority **PHA Number:** NY041

PHA Fiscal Year Beginning: (10/2007)

PHA Programs Administered:

Public Housing and Section 8
 Section 8 Only
 Public Housing Only
 Number of public housing units: Number of S8 units: Number of public housing units:
 Number of S8 units:

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2005 - 2009
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

Rochester Housing Authority is an essential housing resource for the Greater Rochester Area providing quality housing opportunities and related services for those in need.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Improve public housing management: (PHAS score) 95
 - Improve voucher management: (SEMAP score) 88
 - Increase customer satisfaction:

- Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below)
- PHA Goal: Increase assisted housing choices
- Objectives:
- Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
- Objectives:
- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
- Increase the number and percentage of employed persons in assisted families:

- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

GOAL ONE: Manage the Rochester Housing Authority's existing public housing program in an efficient and effective manner thereby qualifying as at least a 'standard performer'.

This goal will be supported by the Internal Audit Department's Annual Audits scheduled over the next five years, which will focus on Public Housing Assessment System (PHAS) and Section Eight Management Assessment System (SEMAP) Indicator, and additional areas deemed prudent. Internal Audit will also support this goal by continuing to assist departments in developing performance indicators such as exception reports, streamlining procedures, and automating processes, such as Application Processing procedures, online unit marketing, direct debit for rent payments, and direct deposit for HAP and other payments.

OBJECTIVES:

1. The U.S. Department of Housing and Urban Development will recognize the Rochester Housing Authority as a high performer by September 30, 2008.
2. The Rochester Housing Authority will reduce its unit turnaround time from its current 68 days to 30 days by September 30, 2008.
3. The Rochester Housing Authority will reduce its current vacancy rate of approximately 2.63% to 2% by September 30, 2007.

GOAL TWO : Expand the range and quality of housing choices available to participants in the Rochester Housing Authority's Housing Choice Voucher (a.k.a. Section 8) and other tenant-based assistance programs administered by its Leasing Operations Department.

OBJECTIVES:

1. The Rochester Housing Authority will continue to attract new landlords who want to participate in the program in each fiscal year.

2. The Rochester Housing Authority shall continue to address the various Rochester Housing Authority related strategies identified in the Community Choice Action Plan dated August 1999.

It is important to clarify that the vast majority of the enumerated strategies contained in the Action Plan are 'on-going' activities and not necessarily ones that have a definitive start and end timeframe. In addition, many of the strategies are related to the Regional Opportunity Counseling Initiative (a.k.a. the ROC Program) and were addressed over the life of that five-year HUD funded program. The Housing Council of Monroe County, under contract with the Authority, served as the agency to implement the ROC Program. A further explanation of these referenced RHA strategies that are part of the 'Community Choice Action Plan' can be found in the 'Housing Needs' section of the Agency Plan.

3. The Rochester Housing Authority shall bi-annually determine the number of units to project base and develop and issue either directly or via an appropriate request for proposals.

4. As RHA implements project-based management and operations, plans are to evaluate the viability and perhaps disposition of some scattered site units following all applicable regulations.

GOAL THREE: The Rochester Housing Authority will utilize the deconcentration policy guidelines and the flat rent option in order to achieve a better income mix for public housing residents.

OBJECTIVES:

1. The Rochester Housing Authority will utilize the Fair Market Rent (formerly known as the "Flat Rent") option in the Public Housing, low income program as a marketing tool, in order to attract and retain higher income residents.

GOAL FOUR: Develop affordable rental units and homeownership opportunities for all low to moderate income residents, without discrimination in the City of Rochester and Monroe County. To avert the loss of existing affordable housing stock through rehabilitation and preservation of existing affordable housing in order to revitalize and rebuild deteriorated neighborhoods and communities.

This goal will be realized through the Not-for Profit Development affiliate (North Star Housing and Development Corporation) of the Rochester Housing Authority. North Star may joint venture with local community group organizations as well as with local for-profit and not-for profit developers to accomplish this goal. North Star will seek funding to meet this goal from the following (but not limited to) HUD, New York State Division of Housing and Community Renewal, City of Rochester CDBG, Monroe County HOME, Federal Home Loan Bank, non-restricted developer equity funds, state and local community finance organizations specializing in grants or loans (construction or permanent) to further the development of affordable housing.

Objective:

1. Create or preserve 100 affordable housing units (rental or homeownership/senior or family) in the City of Rochester.
2. Create or preserve 100 affordable housing units (rental or homeownership/senior or family) within Monroe County, outside the City of Rochester.

Annual PHA Plan
PHA Fiscal Year 20
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

- Standard Plan**
- Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Rochester Housing Authority has prepared this Agency Plan in compliance with Section 511 of the Quality Housing and Work Responsibility Act of 1998 and the ensuing HUD requirements.

The Rochester Housing Authority's Agency Plan is based on the premise that if we accomplish our goals and objectives we will be working towards the achievement of our mission. Additionally, in setting our goals and objectives we have considered the housing needs identified in the Consolidated Plans of the City of Rochester and Monroe County.

Highlights of our Agency Plan:

- The RHA is preparing a Disposition and Demolition Plan in 2007 to replace aged public housing units.
- The Section 8 Homeownership program has enabled 45 Section 8 housing voucher program residents to become homeowners.
- The Resident Opportunities and Self-Sufficiency (ROSS) Homeownership program has enabled 5 public housing residents to become homeowners.
- RHA has completed implementation of the Olean-Kennedy Revitalization plan, including arrangements for tax credit financing. The plan includes purchase and development of scattered sites throughout the surrounding neighborhood. Ground breaking for the Kennedy site took place in May 2005. The Olean site redevelopment is expected to begin in the winter of 2005 and is expected to be completed by the winter of 2006.
- Review is underway of the public housing Admissions and Continued Occupancy Policy and Section 8 Administrative Plan.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Table of Contents

Page #

Annual Plan

- i. Executive Summary
- ii. Table of Contents
 1. Housing Needs
 2. Financial Resources
 3. Policies on Eligibility, Selection and Admissions
 4. Rent Determination Policies
 5. Operations and Management Policies
 6. Grievance Procedures
 7. Capital Improvement Needs
 8. Demolition and Disposition
 9. Designation of Housing
 10. Conversions of Public Housing
 11. Homeownership
 12. Community Service Programs
 13. Crime and Safety
 14. Pets (Inactive for January 1 PHAs)
 15. Civil Rights Certifications (included with PHA Plan Certifications)
 16. Audit
 17. Asset Management
 18. Other Information

Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration
- FY 2005 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- List of Resident Advisory Board Members
- List of Resident Board Member
- Community Service Description of Implementation
- Information on Pet Policy
- Section 8 Homeownership Capacity Statement, if applicable
- Description of Homeownership Programs, if applicable

Optional Attachments:

- PHA Management Organizational Chart
- FY 2007 Capital Fund Program 5 Year Action Plan (NY041a03)
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

DOCUMENT #	DESCRIPTION
NY041a03	CFP 501.07 CFP - CFP 501 07 Budget Original-R4, Annual
NY041b03	CFP 501.07 RHF - CFP 501.07 RHF Phase I
NY041c03	CFP 501.03 P&E - 501 03 R6 3-31-07 PE Report
NY041d03	CFP 501.04 P&E - 501.04 R4 3-31-07 P&E Report
NY041e03	CFP 501.04 P&E - 501.04 R4 3-31-07 P&E Report (Supporting Pages)
NY041f03	CFP 501.05 P&E - CFP 501.05 3-31-07 P&E Report
NY041g03	CFP 501.05 RHF P&E (Phase I) - 501.05 RHF Phase I 3-31-07 P&E Report
NY041h03	CFP 501.06 P&E - CFP 501.06 P&E Report & Rev 1
NY041i03	CFP 501.06 RHF P&E - CFP 501.06 RHF Phase I P&E Report
NY041j03	CFP 502.06 RHF P&E - CFP 502.06 RHF Phase II P&E Report
NY041k03	RHA Tenant Profile
NY041l03	RHA Organization Chart
NY041m03	RHA Disposition Discussion
NY041n03	Resident Advisory Board Members (Includes Resident Commissioners)
NY041o03	VAWA Insertion
NY041p03	Certificate of Compliance
NY041q03	Certificate of Consistency
NY041r03	Certificate of Drug Free Workplace
NY041s03	Certificate of Payments to Influence
NY041t03	Lobbying Activities

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view	5 Year and Annual Plans

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures	Annual Plan: Grievance Procedures

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	<input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI		4	3	1	1	1	na
Income >30% but <=50% of AMI		4	3	1	1	1	na
Income >50% but <80% of AMI		2	1	1	1	1	na
Elderly		4	2	1	1	1	na
Families with Disabilities		4	3	1	1	1	na
Race/Ethnicity white		2	1	1	1	1	na
Race/Ethnicity black		3	1	1	1	1	na
Race/Ethnicity Hispanic		3	1	1	1	1	na
Race/Ethnicity Native American		3	1	1	1	1	na
Race/Ethnicity Asian Pacific islander		3	1	1	1	1	na

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2005

- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

The Rochester Housing Authority performed its own statistical study of public housing trends and demographics. The analysis compared 1999 RHA data to the 2000 Rochester/Monroe County census developing trends and statistical profile information. The study also compared RHA 1999 data to 2006 data developing analysis of trends in public housing and Section 8 households (copy attached NY041k03)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input checked="" type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	1137		
Extremely low income <=30% AMI	1075	95	
Very low income (>30% but <=50% AMI)	42	3	
Low income (>50% but <80% AMI)	20	2	
Families with children	N/A	N/A	
Elderly families	83	7	
Families with Disabilities	49	4	
Race/ethnicity	113	9	

Housing Needs of Families on the Waiting List			
Race/ethnicity	748	65	
Race/ethnicity	263	23	
Race/ethnicity	4		
Characteristics by Bedroom Size (Public Housing Only)			
1BR	296	25	
2 BR	543	49	
3 BR	225	20	
4 BR	51	5	
5 BR	N/A	N/A	
5+ BR	1	1	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	3797		
Extremely low income <=30% AMI	3659	96	
Very low income (>30% but <=50% AMI)	138	4	
Low income (>50% but <80% AMI)	0	0	
Families with	N/A	N/A	

Housing Needs of Families on the Waiting List			
children			
Elderly families	305	8	
Families with Disabilities	533	14	
Race/ethnicity	592	15	
Race/ethnicity	2346	62	
Race/ethnicity	845	22	
Race/ethnicity	9		
Characteristics by Bedroom Size (Public Housing Only)			
1BR	N/A	N/A	
2 BR	N/A	N/A	
3 BR	N/A	N/A	
4 BR	N/A	N/A	
5 BR	N/A	N/A	
5+ BR	N/A	N/A	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 7			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line

- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Advertise annually for Request for Proposals regarding Section 8 project based units Involving the development of affordable housing

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA’s selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2005 grants)	\$48,021,232	
a) Public Housing Operating Fund	6,370,688	
b) Public Housing Capital Fund	3,653,570	

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
c) HOPE VI Revitalization	N/A	
d) HOPE VI Demolition	N/A	
e) Annual Contributions for Section 8 Tenant-Based Assistance	37,926,238	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	N/A	
g) Resident Opportunity and Self-Sufficiency Grants	200,736	
h) Community Development Block Grant	N/A	
i) HOME	N/A	
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)	3,733,963	
a) Prior Year Capital Funds	3,443,839	
b) Public Housing Development	290,124	
3. Public Housing Dwelling Rental Income	7,027,653	
a) Dwelling Rent	6,697,695	
b) Other Tenant Charges	329,958	
4. Other income (list below)		
4. Non-federal sources (list below)	491,400	
a) Investment Income	350,000	
b) Roof top, Laundries	141,400	
Total resources	\$59,274,248	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)
The application process involves two phases. The first phase is the initial application for housing assistance or the pre-application. The pre-application requires the family to provide limited basic information. This first phase results in the family's placement on the waiting list.

Upon receipt of the family's pre-application, the RHA makes a preliminary determination of eligibility based upon the information provided by the applicant. The RHA notifies the family in writing of the date and time of placement on the waiting list, and the approximate wait before housing may be offered. If the RHA determines the family to be ineligible, the notice states the reasons therefore and offers the family the opportunity to receive an informal review of the determination.

The applicant family may at any time report changes in its applicant status including changes in family composition or income. The RHA annotates the applicant family's file and updates its place on the waiting list.

The second phase is the final determination of eligibility, referred to as the full application. The full application takes place when the family nears the top of the waiting list. The RHA ensures that verification of eligibility, suitability and selection factors are current in order to determine the family's final eligibility for admission into the Public Housing Program.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

- c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

- a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

Two site based lists with mixed finance tax credit developments. Anthony Square, 15 units of public housing operated by Housing Opportunities. Kennedy-Olean Revitalization, 70 units of public housing, scheduled to come on-line sometime next year (2006), operating by Providence-Cornerstone.

- b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

Applications Processing Center located in the Murphy Building

- c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)
Applications Processing Center located in the Murphy Building
Anthony Square Apartments
Providence-Cornerstone

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
- One
 - Two
 - Three or More
- b. Yes No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

- a. Income targeting:
- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer policies:
In what circumstances will transfers take precedence over new admissions? (list below)
- Emergencies
 - Overhoused
 - Underhoused
 - Medical justification
 - Administrative reasons determined by the PHA (e.g., to permit modernization work)
 - Resident choice: (state circumstances below)

- Other: (list below)
VAWA Circumstances

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
- Applicants for RHA units except Anthony Square are accepted and housed on a “first-come, first-served” basis. Applications are reviewed according to date of submission of preliminary applications. The maintenance of waiting lists for Authority housing shall be divided according to (1) “Elderly”, which shall encompass disabled and handicapped, and (2) “Family”. “Family” shall be further delineated by bedroom size categories, e.g., One Bedroom, Two bedroom, etc. Application shall be filed according to date received and bedroom size needed in both Family and Elderly categories.

Anthony Square is a mixed income housing site with fifteen (15) units of public housing. The waiting list for a unit will be maintained by the Anthony Square property management agency. RHA will monitor the Anthony Square waiting list to ensure compliance with HUD regulations.

In selecting applicants for admission to its units, the RHA will select families who are otherwise qualified for housing and who, at the time they are seeking assistance have the following preferences within each bedroom category:

A. Involuntary displacement: An applicant is or will be involuntarily displaced if the applicant has vacated their housing unit as a result of activity carried on by an agency of the United States or by any state or local governmental body or agency in connection with code enforcement or a public improvement or development program.

B. Buildings Designated as Elderly Only Housing: The following developments have been approved by HUD as being designated for elderly only; Antoinette Brown Blackwell Estates, Danforth Tower East, Danforth Tower West, Glenwood Gardens, Hudson-Ridge Tower, Jonathan Child Apartments, Kennedy Tower and Parliament Arms Apartments. Elderly families will be given preference for admission to housing in these designated developments. If there are no elderly families on the waiting list, next priority will be given to near-elderly families.

C. Buildings Designed for Elderly and Disabled Families: Preference for admission will be given to elderly and disabled families. If there are no elderly or disabled families on the waiting list, preference will then be given to near-elderly families. If there are no near-elderly families on the waiting list, units will be offered to families who qualify for the appropriate bedroom size.

D. All other applicants.

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences:

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

Victims of domestic violence

Substandard housing

Homelessness

High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition?
(select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

- a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site-based waiting lists
If selected, list targeted developments below:

Employing waiting list “skipping” to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:
Fairfield Village and Federal Street Townhouses

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
 - Criminal and drug-related activity, more extensively than required by law or regulation
 - More general screening than criminal and drug-related activity (list factors below)
 - Other (list below)
- b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
 - Other (describe below)
Previous landlords

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None

- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

-Applications were made available at local library branches and completed applications were mailed to RHA. When opened in the fall of 2006 we hope to have on-line applications available.

(3) Search Time

- a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Participants in the Allocation Plan, Mainstream Vouchers, and ROC program provided 120 day period. Under certain circumstances, disabled people may be provided up to 150 days on their Voucher.

4) Admissions Preferences

a. Income targeting

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence

- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Local Preferences

1. There is a #1 ranking preference for involuntarily displaced families who meet the following witness re-location criteria: a) family member(s) have provided information on criminal activities to a law enforcement agency, and b) based upon a threat assessment, the law enforcement agency recommends re-housing the family to avoid or minimize the risk of violence against family members as a reprisal for having provided such information. RHA will issue a Voucher to any individual or family, referred by a law enforcement agency as meeting the above criteria, even if the individual/family is not on the waiting list derived from the lottery drawing.

2. In conjunction with RHA's HUD-approved Designated Housing Allocation Plan, a ranking preference, equal to 10% of turnover Vouchers (up to 40 per year) has been established for non-elderly, disabled applicants referred from RHA's Public Housing waiting list.

3. RHA administers three Housing Choice Voucher programs that are targeted toward specific segments of the community, Medicaid Waivers, Family Unification and Mainstream Vouchers for Persons with Disabilities. As Vouchers become available, either through turnover or new increments, in these programs, families on the waiting list, referred and certified by RHA's partnering agencies in the three programs, are given a preference. If there are no families on the waiting list that meet these agencies' criteria, new referrals from the agencies are accepted and, if eligible, issued Vouchers. If there are no Vouchers available at the time of a referral, RHA will establish a waiting list, ordered by the date of referral.

4. There is a waiting list preference for persons determined eligible by the

Public Housing Applications processing center for units occupied by participants in Project RUSH. Note – as of May 3, 2005, there is only one unit in this PILOT program.

5. There is a preference for non-subsidized families with children and adult only household applicants who are either elderly, handicapped or disabled. Households will be given this preference ranking as long as they are not residing in Shelter Plus Care Housing subsidized by the Rochester Housing Authority Leasing Operations Department. In cases where the single, non-elderly applicant is not a recipient of Social Security Disability benefits, the Rochester Housing Authority requires certification from two independent sources that the person is disabled as defined in Chapter III C-3 of this Administrative Plan.

6. The next preference is for income eligible adult households that are not classified as elderly or disabled, are not residing in other housing subsidized by the Rochester Housing Authority Leasing Operations Department, and are working at least 30 hours per week.

7. There is a lower preference for household subsidized in the Shelter Plus Care Program. In cases where the single, non-elderly applicant is not a recipient of Social Security Disability benefits, the Rochester Housing Authority requires certification from two independent sources that the person is disabled as defined in Chapter III C-3 of this Administrative Plan.

8. The lowest preferences shall be for income eligible adult only households that are not classified as elderly or disabled and do not meet preference number 6.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)
Information is disseminated through partner agencies

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:
Earned Income Disregard

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:

- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month

disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

The RHA plans to contract a local real estate agency to provide comparable values. This data will be compared to current rent reasonable data to ensure validity of data used to establish FMR.

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

- c. If the payment standard is higher than FMR, why has the PHA chosen this level?
(select all that apply)
- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
 - Reflects market or submarket
 - To increase housing options for families
 - Other (list below)
- d. How often are payment standards reevaluated for adequacy? (select one)
- Annually
 - Other (list below)
- e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)
- Success rates of assisted families
 - Rent burdens of assisted families
 - Other (list below)

(2) Minimum Rent

- a. What amount best reflects the PHA's minimum rent? (select one)
- \$0
 - \$1-\$25
 - \$26-\$50
- b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.

- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	2442	1.3%
Section 8 Vouchers	6603	N/A
Section 8 Certificates	113	N/A
Section 8 Mod Rehab	38	N/A
Special Purpose Section 8 Certificates/Vouchers (list individually)	450	N/A
Public Housing Drug Elimination Program (PHDEP)	N/A	N/A
Other Federal Programs(list individually)	N/A	N/A

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)
 - Admissions and Continued Occupancy Policy
 - Public Housing Rules and Regulations
 - Grievance Policy
 - Lease
- (2) Section 8 Management: (list below)
 - Administrative Plan

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- PHA main administrative office
 - PHA development management offices
 - Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- PHA main administrative office
 - Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (NY041a03)

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (NY041a03)

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(after 10/01/07)</u>
5. Number of units affected:
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing

Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one)	Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)	
5. If approved, will this designation constitute a (select one)	<input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:	
7. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)	

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

Graduation from the FSS Homeownership program

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive

services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 06/01/05

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self

Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Various Job Readiness Programs	116	Specific Unemployed	Other providers	Public Housing
GED	14	Specific – Non-High School Graduates	Rochester City School District	Section 8 and Public Housing
Credit Restoration Classes	95	Selection – Home Ownership	Area Banks	Section 8 and Public Housing
Foodlink	1800	Public Housing Residents	Public Housing Community Rooms	Public Housing
After School Program	75	Public Housing Residents	Public Housing Community Rooms	Public Housing

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2005 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	42	53
Section 8	276	263

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

<p>D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937</p>

In order to be eligible for continued occupancy, each adult family member, unless exempt from this requirement, must either (1) contribute eight hours per month of community service (not including political activities) within the community in which the public housing development is located, or (2) participate in an economic self-sufficiency program.

Exemptions

The following adult family members of tenant families are exempt from this requirement.

- A. Family members who are 62 years of age or older.
- B. Family members who are disabled.
- C. Family members who are the primary care giver for someone who is disabled.
- D. Family members engaged in work activity.
- E. Family members who are exempt from work activity under part A title IV of the Social Security Act or under any other State welfare program..
- F. Family members receiving assistance under a State program funded under part A title IV of the Social Security Act or under any other State welfare program, including welfare-to-work and who are in compliance with that program.

Notification of Community Service Requirement

The RHA will identify all adult family members who are apparently not exempt from the community service requirement.

The RHA shall notify all such family members of the community service requirement and of the categories of individuals who are exempt from the requirement. The notification will provide the opportunity for family members to claim and explain an exempt status. The RHA shall verify such claims. The notification will advise families that their community service obligation will begin upon the effective date of their first annual reexamination on or after 10/1/2000. For families paying a fair market rent, the obligation begins on the date their annual reexamination would have been effective had an annual reexamination taken place. It will also advise them that failure to comply with the community service requirement will result in ineligibility for continued occupancy at the time of any subsequent annual reexamination.

Volunteer Opportunities

Community service includes performing work or duties in the public benefit that serve to improve the quality of life and/or enhance resident self-sufficiency, and/or increase the self-responsibility of the resident within the community.

An economic self sufficiency program is one that is designed to encourage, assist, train or facilitate the economic independence of participants and their families or to provide work for participants. These programs may include programs for job training, work placement, basic skills training, education, English proficiency, work fare, financial or household management, apprenticeship, and any program necessary to ready a participant to work (such as substance abuse or mental health treatment).

RHA will coordinate with social service agencies, local schools, and the human resources office in identifying a list of volunteer community service positions.

Together with the resident advisory councils, RHA may create volunteer positions such as hall monitoring, litter patrols, and supervising and record keeping for volunteers.

Community Service Process

Upon admission, or at the first annual reexamination on or after October 1, 2000, and each annual re-examination thereafter, RHA will:

- A. Provide a list of volunteer opportunities to the family members.
- B. Provide information about obtaining suitable volunteer positions.
- C. Provide a volunteer time sheet to the family member. Instructions for the time sheet require the individual to complete the form and have a supervisor date and sign for each period of work.
- D. Assign family members to a volunteer coordinator who will assist the family members in identifying appropriate volunteer positions and in meeting their responsibilities. The volunteer coordinator will track the family member's progress monthly and will meet with the family member as needed to best encourage compliance.
- E. Thirty (30) days before the family's next lease anniversary date, the volunteer coordinator will advise the RHA whether each applicable adult family member is in compliance with the community service requirement.

Notification of Non-Compliance with Community Service Requirement

RHA will notify any family found to be in noncompliance of the following:

- A. The family member(s) has been determined to be in noncompliance;
- B. That the determination is subject to the grievance procedure; and
- C. That, unless the family member(s) enter into an agreement to comply, the lease will not be renewed or will be terminated;

Opportunity for Cure

RHA will offer the family member(s) the opportunity to enter into an agreement prior to the anniversary of the lease. The agreement shall state that the family member(s) agrees to enter into an economic self-sufficiency program or agrees to contribute to community service for as many hours as needed to comply with the requirement over the past 12-month period. The cure shall occur over the 12-month period beginning with the date of the agreement and the resident shall at the same time stay current with that year's community service requirement. The first hours a resident earns, goes toward the current commitment until the current year's commitment is made.

The volunteer coordinator will assist the family member in identifying volunteer opportunities and will track compliance on a monthly basis.

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 Not applicable
 Private management
 Development-based accounting
 Comprehensive stock assessment
 Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- Attached at Attachment (File name)
 - Provided below:
3. In what manner did the PHA address those comments? (select all that apply)
- Considered comments, but determined that no changes to the PHA Plan were necessary.
 - The PHA changed portions of the PHA Plan in response to comments
List changes below:
 - Other: (list below)

The Resident Advisory Board met four (4) times during the development of the 2007 Agency Plan and no comments or changes were requested by the Board.

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

- a. Nomination of candidates for place on the ballot: (select all that apply)
- Candidates were nominated by resident and assisted family organizations
 - Candidates could be nominated by any adult recipient of PHA assistance
 - Self-nomination: Candidates registered with the PHA and requested a place on ballot
 - Other: (describe)

- b. Eligible candidates: (select one)
- Any recipient of PHA assistance
 - Any head of household receiving PHA assistance
 - Any adult recipient of PHA assistance
 - Any adult member of a resident or assisted family organization
 - Other (list)

Must be in good standing

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The City of Rochester Consolidated Plan and Agency RHA Plan both strive to provide additional affordable housing resources in the City and surrounding areas and by offering collaboration opportunities with city agencies to foster economic development and homeownership through supportive service programs. RHA also has developed Drug Elimination Act ivies and Programs to further fair hosing choice-ROC program.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

PHA Plan Table Library

Component 7 Capital Fund Program Annual Statement Parts I, II, and II

Annual Statement Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number FFY of Grant Approval: (MM/YYYY)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost

Annual Statement

Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years				

Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:	Federal FY of Grant: 2007
---	--	-------------------------------------

Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	450,000	0	0.00	0.00
3	1408 Management Improvements Soft Costs	339,660	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	405,266	0	0.00	0.00
5	1411 Audit	5,000	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	199,220	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	436,510	0	0.00	0.00
10	1460 Dwelling Structures	2,404,340	0	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	136,726	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	157,500	0	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	4,534,222	0	0.00	0.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Anthony P. DiBiase
Executive Director

9/10/2007

Joan K. Spilman
Director of Public Housing

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-01A	Architectural/Engineering Fees	1430		4,000	0	0.00	0.00	
Kennedy Tower	Replace Ranges/Appliances	1460		4,000	0	0.00	0.00	
	Emergency Power Upgrade	1460		3,500	0	0.00	0.00	
	Repair/Replace Fire Pump	1460		5,600	0	0.00	0.00	
	Upgrade Mechanical Remote Monitor Controls	1460		2,450	0	0.00	0.00	
	Upgrade Stairwell Doors	1460		2,000	0	0.00	0.00	
	Furniture	1470		1,750	0	0.00	0.00	
	Total 41-01A			23,300	0	0.00	0.00	
41-02A	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Scattered Sites	Total 41-02A			6,000	0	0.00	0.00	
41-02B	Architectural/Engineering Fees	1430		3,000	0	0.00	0.00	
Danforth West	Convert 12 Kitchens to Handicap Accessible	1460		25,200	0	0.00	0.00	
	Domestic Pump Upgrade	1460		3,500	0	0.00	0.00	
	Boiler Room Air Upgrade	1460		2,800	0	0.00	0.00	
	Upgrade Mechanical Remote Monitor Controls	1460		5,850	0	0.00	0.00	
	Replace Ranges/Refrigerators	1460		2,100	0	0.00	0.00	
	Upgrade Stairwell Doors	1460		2,000	0	0.00	0.00	
	Install Store Fronts (exercise, Office)	1470		19,600	0	0.00	0.00	
	Laundry Room Ventilation Upgrade	1470		2,100	0	0.00	0.00	
	Furniture	1470		1,750	0	0.00	0.00	
	Total 41-02B			67,900	0	0.00	0.00	
41-2B1	Architectural/Engineering Fees	1430		4,000	0	0.00	0.00	
Danforth East	Replace Sidewalks/Steps	1450		3,500	0	0.00	0.00	
	Replace Ranges/Refrigerators	1460		2,100	0	0.00	0.00	
	Convert 8 Kitchens to Handicap Accessible	1460		16,800	0	0.00	0.00	
	Upgrade Mechanical Remote Monitor Controls	1460		10,850	0	0.00	0.00	
	Boiler Room Air Upgrade	1460		2,800	0	0.00	0.00	
	Upgrade Stairwell Doors	1460		2,000	0	0.00	0.00	
	Furniture	1470		1,750	0	0.00	0.00	
	Total 41-2B1			43,800	0	0.00	0.00	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-02C	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Atlantic T/H	Landscaping	1450		2,100	0	0.00	0.00	
	Sidewalks	1450		3,500	0	0.00	0.00	
	Upgrade Bathrooms	1460		8,680	0	0.00	0.00	
	Replace unit Flooring	1460		10,080	0	0.00	0.00	
	Upgrade Boilers	1460		12,600	0	0.00	0.00	
	Weatherize Exterior Fire System	1460		1,400	0	0.00	0.00	
	Upgrade Main Electric Panels	1460		4,900	0	0.00	0.00	
	Replace Meter Banks	1460		12,600	0	0.00	0.00	
	Total 41-02C			61,860	0	0.00	0.00	
41-2C1	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Bay St T/H	Repair Paving	1450		2,800	0	0.00	0.00	
	Total 41-2C1			8,800	0	0.00	0.00	
41-003	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Scattered Sites	Replace unit Flooring	1460		8,400	0	0.00	0.00	
	Upgrade Kitchens and Bathrooms	1460		10,640	0	0.00	0.00	
	Total 41-003			25,040	0	0.00	0.00	
41-006	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Fairfield Village	Upgrade Site Lighting	1450		15,750	0	0.00	0.00	
	Upgrade Furnace	1460		14,000	0	0.00	0.00	
	Total 41-006			35,750	0	0	0	
41-07A	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Parkside Apts	Landscaping	1450		3,500	0	0.00	0.00	
	Repair Paving	1450		2,800	0	0.00	0.00	
	Repair Unit Flooring	1460		9,240	0	0.00	0.00	
	Total 41-07A			21,540	0	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-07C	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Elmdorf Apts	Upgrade Heat Pump & Vents	1460		2,100	0	0.00	0.00	
	Total 41-07C			8,100	0	0	0	
41-07D	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Elmdorf Apts	Landscaping	1450		4,900	0	0.00	0.00	
	Sidewalks	1450		2,100	0	0.00	0.00	
	Upgrade Mechanical Heat Controls	1460		4,200	0	0.00	0.00	
	Upgrade Emergency Electrical System	1460		3,500	0	0.00	0.00	
	Replace Boiler Room Valves	1460		3,500	0	0.00	0.00	
	Repair Exterior Brickwork	1460		2,100	0	0.00	0.00	
	Replace Ranges/Refrigerators	1460		1,750	0	0.00	0.00	
	Total 41-07D			28,050	0	0	0	
41-008	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Scattered Sites	Landscaping	1450		1,050	0	0.00	0.00	
	Upgrade Boilers	1460		8,400	0	0.00	0.00	
	Build Canopy Over Handicap Lift	1460		3,780	0	0.00	0.00	
	Upgrade Chimneys	1460		11,200	0	0.00	0.00	
	Replace Front/Rear Entry Doors	1460		2,520	0	0.00	0.00	
	Replace Unit Flooring	1460		6,300	0	0.00	0.00	
	Upgrade Kitchens/Bathrooms	1460		7,980	0	0.00	0.00	
	Total 41-008			47,230	0	0	0	
41-009	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Holland Townhouses	Landscaping	1450		7,000	0	0.00	0.00	
	Upgrade Site Lighting	1450		17,500	0	0.00	0.00	
	Remove Fencing	1450		5,600	0	0.00	0.00	
	Repair Paving	1450		7,000	0	0.00	0.00	
	Replace Meter Boxes	1460		14,700	0	0.00	0.00	
	Repair Lintels/Door Headers	1460		2,940	0	0.00	0.00	
	Seal Basements	1460		49,000	0	0.00	0.00	
	Seal Penetrations in Siding	1460		5,250	0	0.00	0.00	
	Total 41-009			114,990	0	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-010	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Scattered Sites	Landscaping	1450		3,500	0	0.00	0.00	
	Repair/Remove Fencing	1450		10,360	0	0.00	0.00	
	Remove Old Parking Lot	1450		2,100	0	0.00	0.00	
	Upgrade Boilers	1460		11,200	0	0.00	0.00	
	Repair/Replace Roofs	1460		25,130	0	0.00	0.00	
	Repair Porches	1460		22,400	0	0.00	0.00	
	Replace Siding	1460		47,950	0	0.00	0.00	
	Replace Front/Rear Entry Doors	1460		5,040	0	0.00	0.00	
	Replace Unit Flooring	1460		46,900	0	0.00	0.00	
	Upgrade Kitchens/Bathrooms	1460		66,500	0	0.00	0.00	
	Replace Windows	1460		26,880	0	0.00	0.00	
	Replace Stair Spindles for Safety	1460		3,500	0	0.00	0.00	
	New Construction of 2-3BR units	1499		157,500	0	0.00	0.00	
	Total 41-010			434,960	0	0	0	
41-12A	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Capsule Dwellings	Landscaping	1450		2,100	0	0.00	0.00	
	Upgrade Site Lighting	1450		5,600	0	0.00	0.00	
	Replace Roofs	1460		21,210	0	0.00	0.00	
	Total 41-12A			34,910	0	0	0	
41-12B	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Federal St T/H	Seal All Masonry	1460		7,000	0	0.00	0.00	
	Total 41-12B			13,000	0	0	0	
41-014	Architectural/Engineering Fees	1430		9,720	0	0.00	0.00	
University Tower	Landscaping	1450		2,100	0	0.00	0.00	
	Repair Sidewalks/ Remove Curbing	1450		2,625	0	0.00	0.00	
	Upgrade Mechanical Remote Monitor Controls	1460		8,400	0	0.00	0.00	
	Asbestos Abatement	1460		96,600	0	0.00	0.00	
	Upgrade Indirect Hot Water Heater	1460		2,450	0	0.00	0.00	
	Replace Unit Flooring	1460		58,800	0	0.00	0.00	
	Upgrade Kitchens/Bathrooms	1460		38,465	0	0.00	0.00	
	Upgrade Stairwell Doors	1460		2,000	0	0.00	0.00	
	Upgrade Laundry Room Ventilation	1470		2,100	0	0.00	0.00	
	Furniture for Common Areas	1470		1,750	0	0.00	0.00	
	Total 41-014			225,010	0	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-015	Architectural/Engineering Fees	1430		3,000	0	0.00	0.00	
Glenwood Gardens	Landscaping	1450		2,800	0	0.00	0.00	
	Upgrade Site Lighting	1450		1,050	0	0.00	0.00	
	Replace Ranges/Refrigerators	1460		4,000	0	0.00	0.00	
	Furniture for Common Areas	1470		1,750	0	0.00	0.00	
	Total 41-015			12,600	0	0	0	
41-017	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Bronson Court	Upgrade Site Lighting	1450		13,650	0	0.00	0.00	
	Total 41-017			19,650	0	0	0	
41-018	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Administrative Offices	Upgrade Heat Pumps	1470		7,950	0	0.00	0.00	
	Update Office Areas	1470		7,950	0	0.00	0.00	
	Total 41-018			21,900	0	0	0	
41-18A	Architectural/Engineering Fees	1430		5,500	0	0.00	0.00	
Hudson-Ridge Tower	Add Parking at Rear of Building	1450		45,500	0	0.00	0.00	
	Repair Concrete Gutters at the Road	1450		2,450	0	0.00	0.00	
	Abate & Repair Elevator Room	1460		7,700	0	0.00	0.00	
	Covers for AC Units	1460		6,678	0	0.00	0.00	
	Repair/Seal Brickwork	1460		62,500	0	0.00	0.00	
	Replace Bifold Doors with By-pass Doors	1460		80,540	0	0.00	0.00	
	New Fin Tube/Covers in Units	1460		22,260	0	0.00	0.00	
	Replace Flooring in Units	1460		66,780	0	0.00	0.00	
	Replace Entrance Roof	1460		1,400	0	0.00	0.00	
	Upgrade Mechanical Remote Monitor Controls	1460		8,400	0	0.00	0.00	
	Upgrade Kitchens	1460		93,060	0	0.00	0.00	
	Upgrade Bathrooms	1460		133,532	0	0.00	0.00	
	Upgrade Stairwell Doors	1460		2,000	0	0.00	0.00	
	New Furniture in Common Areas	1470		1,750	0	0.00	0.00	
	New Trash Compactor Containers	1470		8,400	0	0.00	0.00	
	Total 41-18A			548,450	0	0.00	0.00	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-18B	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Seneca Manor Twnhs	Landscaping/Grading for Drainage, Sidewalks	1450		17,920	0	0.00	0.00	
	Fencing	1450		16,825	0	0.00	0.00	
	Site Lighting	1450		7,000	0	0.00	0.00	
	Correct Sewer Back-up/Waterproof Basements	1460		49,140	0	0.00	0.00	
	Replace Ranges/Refrigerators	1460		4,000	0	0.00	0.00	
	Recoat Flat Roofs	1460		31,500	0	0.00	0.00	
	New Furnace/Dehumidifier Units in Comm Rm	1470		3,500	0	0.00	0.00	
	Furniture for Community Room	1470		1,750	0	0.00	0.00	
	Total 41-18B			137,635	0	0	0	
41-019	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Glide Court Apts.	Landscaping	1450		3,500	0	0.00	0.00	
	Site Lighting	1450		7,630	0	0.00	0.00	
	Upgrade Zone Valves/Bath Heater	1460		11,200	0	0.00	0.00	
	Repair Roofs/Ventilation	1460		51,380	0	0.00	0.00	
	New Signage and Building #'s	1460		4,200	0	0.00	0.00	
	Replace Ranges/Refrigerators	1460		3,500	0	0.00	0.00	
	Correct Mold Issues in Office	1470		9,726	0	0.00	0.00	
	Furniture for Common Areas	1470		1,750	0	0.00	0.00	
	Total 41-019			98,886	0	0	0	
41-022	Architectural/Engineering Fees	1430		8,000	0	0.00	0.00	
Lake Tower	Replace BiFold with By-pass Doors	1460		54,240	0	0.00	0.00	
	Upgrade Kitchens/Bathrooms	1460		96,656	0	0.00	0.00	
	Replace Ranges/Refrigerators	1460		4,000	0	0.00	0.00	
	Upgrade Mechanical Remote Monitor Controls	1460		8,400	0	0.00	0.00	
	Upgrade Stairwell Doors	1460		2,000	0	0.00	0.00	
	Replace Furniture in Common Areas	1470		1,750	0	0.00	0.00	
	Upgrade Laundry Room Ventilation	1470		2,100	0	0.00	0.00	
	Total 41-022			177,146	0	0	0	
41-034	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Lexington Court	Landscaping/Sidewalks	1450		7,000	0	0.00	0.00	
	Replace Ranges/Refrigerators	1460		4,000	0	0.00	0.00	
	Replace Basement Doors	1460		9,240	0	0.00	0.00	
	Replace Furniture in Common Areas	1470		1,750	0	0.00	0.00	
	Addition to Community Building	1470		2,500	0	0.00	0.00	
	Total 41-034			30,490	0	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-035	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Harriet Tubman Estates	Repair Sidewalks/Patios for Drainage	1450		145,600	0	0.00	0.00	
	Repair/Replace Cluster Mailboxes	1450		4,900	0	0.00	0.00	
	Landscaping	1450		7,000	0	0.00	0.00	
	Replace Outside panels/Meter Boxes	1460		10,500	0	0.00	0.00	
	Replace Unit Flooring	1460		123,760	0	0.00	0.00	
	Replace Ranges/Refrigerators	1460		6,500	0	0.00	0.00	
	Replace Porch Fences	1460		66,300	0	0.00	0.00	
	Remove Hose Bibs	1460		4,900	0	0.00	0.00	
	Replace PVC plumbing	1460		91,000	0	0.00	0.00	
	Repair Roof Flashings/Penetration	1460		139,875	0	0.00	0.00	
	Repair HVAC Community Rm	1470		7,000	0	0.00	0.00	
	Relocate Laundry Rm	1470		12,600	0	0.00	0.00	
	Replace Furniture in Common Areas	1470		1,750	0	0.00	0.00	
	Total 41-035			627,685	0	0	0	
41-038	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Lena Gantt Estates	Landscaping	1450		7,000	0	0.00	0.00	
	Install Water Shut-off Valves	1460		7,000	0	0.00	0.00	
	Replace Kitchen/Bathroom Faucets	1460		53,200	0	0.00	0.00	
	Replace Panels/Meter Boxes	1460		10,500	0	0.00	0.00	
	Replace Ranges/Refrigerators	1460		4,500	0	0.00	0.00	
	Remodel Community Building	1470		1,750	0	0.00	0.00	
	Upgrade HVAC in Comm Rm	1470		7,000	0	0.00	0.00	
	Replace Office Windows	1470		9,450	0	0.00	0.00	
	Total 41-038			106,400	0	0	0	
41-039	Architectural/Engineering Fees	1430		4,000	0	0.00	0.00	
Jonathan Child Apts.	Repair Sidewalks	1450		2,100	0	0.00	0.00	
	Landscaping	1450		2,100	0	0.00	0.00	
	Upgrade Mechanical Remote Monitor Controls	1460		8,400	0	0.00	0.00	
	Replace Ranges/Refrigerators	1460		2,500	0	0.00	0.00	
	Upgrade AC Mechanicals	1460		16,400	0	0.00	0.00	
	Upgrade Stairwell Doors	1460		2,000	0	0.00	0.00	
	Repair/Paint Stairwells	1460		10,500	0	0.00	0.00	
	Total 41-039			48,000	0	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-040	Architectural/Engineering Fees	1430		20,000	0	0.00	0.00	
AB Blackwell Estates	Upgrade Site Lighting	1450		35,000	0	0.00	0.00	
	Replace Roofs	1460		109,350	0	0.00	0.00	
	Power Wash Exterior	1460		7,000	0	0.00	0.00	
	Upgrade Kitchens/Bathrooms	1460		62,125	0	0.00	0.00	
	Replace Furniture in Common Areas	1470		1,750	0	0.00	0.00	
	Total 41-040			235,225	0	0.00	0.00	
41-050	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Scattered Sites	Rehabilitate Fire Damaged Property	1460		39,989	0	0.00	0.00	
	Total 41-050			45,989	0	0	0	
41-055	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Scattered Sites	Site Work	1450		0	0	0.00	0.00	
	Dwelling	1460		0	0	0.00	0.00	
	Non-Dwelling	1470		6,000	0	0.00	0.00	
	Total 41-055			12,000	0	0	0	
41-058	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Scattered Sites	Site Work	1450		0	0	0.00	0.00	
	Dwelling	1460		0	0	0.00	0.00	
	Non-Dwelling	1470		6,000	0	0.00	0.00	
	Total 41-058			12,000	0	0	0	
41-ZZ	CFP to Operations	1406		450,000	0	0.00	0.00	
Authority Wide	Security for High Rises and Family Projects (01027)	1408		339,660	0	0.00	0.00	
	General Administrative Costs (01027)	1410		405,266	0	0.00	0.00	
	Program Audit	1411		5,000	0	0.00	0.00	
	Total 41-ZZ			1,199,926	0	0.00	0.00	
			Total	4,534,222	0	0.00	0.00	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part III: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:	Federal FY of Grant: 2007
---	--	-------------------------------------

	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA-Wide Operations	30-Sep-09			30-Sep-11			
" Mgmt Improvements	30-Sep-09			30-Sep-11			
" Admin	30-Sep-09			30-Sep-11			
" Program Audit	30-Sep-09			30-Sep-11			
" Fees & Costs	30-Sep-09			30-Sep-11			
41-01A Kennedy Tower	30-Sep-09			30-Sep-11			
41-02A Scattered Sites	30-Sep-09			30-Sep-11			
41-02B Danforth West	30-Sep-09			30-Sep-11			
41-2B1 Danforth East	30-Sep-09			30-Sep-11			
41-02C Atlantic T/H	30-Sep-09			30-Sep-11			
41-2C1 Bay Street T/H	30-Sep-09			30-Sep-11			
41-003 Scattered Sites	30-Sep-09			30-Sep-11			
41-006 Fairfield Village	30-Sep-09			30-Sep-11			
41-07A Parkside Apts	30-Sep-09			30-Sep-11			
41-07C Elmdorf Apts	30-Sep-09			30-Sep-11			
41-07D Parliament Arms	30-Sep-09			30-Sep-11			
41-008 Scattered Sites	30-Sep-09			30-Sep-11			
41-009 Holland T/H	30-Sep-09			30-Sep-11			
41-010 Scattered Sites	30-Sep-09			30-Sep-11			
41-12A Capsule Dwellings	30-Sep-09			30-Sep-11			
41-12B Federal Street T/H	30-Sep-09			30-Sep-11			
41-014 University Tower	30-Sep-09			30-Sep-11			
41-015 Glenwood Gardens	30-Sep-09			30-Sep-11			
41-017 Bronson Court	30-Sep-09			30-Sep-11			
41-18A Hudon-Ridge Tower	30-Sep-09			30-Sep-11			
41-18B Seneca Manor T/H	30-Sep-09			30-Sep-11			
41-019 Glide Street Apts	30-Sep-09			30-Sep-11			
41-022 Lake Tower	30-Sep-09			30-Sep-11			
41-033 Scattered Sites	30-Sep-09			30-Sep-11			
41-034 Lexington Court	30-Sep-09			30-Sep-11			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part III: Supporting Pages

PHA Name:	Grant Type and Number	Federal FY of Grant:
------------------	------------------------------	-----------------------------

	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
41-035 H. Tubman Estates	30-Sep-09			30-Sep-11			
41-036 Scattered Sites	30-Sep-09			30-Sep-11			
41-038 Lena Gantt Estates	30-Sep-09			30-Sep-11			
41-039 Jonathan Child Apts	30-Sep-09			30-Sep-11			
41-040 AB Blackwell Estates	30-Sep-09			30-Sep-11			
41-050 Scattered Sites	30-Sep-09			30-Sep-11			
41-055 Scattered Sites	30-Sep-09			30-Sep-11			
41-058 Scattered Sites	30-Sep-09			30-Sep-11			

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name:
Rochester Housing Authority

Original 5-Year Plan
Revision No:

Development Number/Name	Year 1 2007	Work Statement			
		Year 2 FFY Grant: 2008	Year 3 FFY Grant: 2009	Year 4 FFY Grant: 2010	Year 5 FFY Grant: 2011
41-01A Kennedy Tower		\$35,000	\$63,500	\$319,255	\$70,000
41-02A Scattered Sites		\$3,409	\$0	\$0	\$0
41-02B Danforth West		\$108,100	\$40,000	\$233,000	\$467,148
41-2B1 Danforth East		\$39,500	\$134,352	\$220,000	\$76,000
41-02C Atlantic T/H	Annual	\$57,600	\$10,000	\$45,600	\$63,784
41-2C1 Bay Street T/H		\$11,250	\$3,500	\$80,000	\$0
41-003 Scattered Sites	Statement	\$3,409	\$0	\$0	\$0
41-006 Fairfield Village		\$15,000	\$270,000	\$171,000	\$0
41-07A Parkside Apts		\$87,600	\$105,600	\$0	\$0
41-07C Elmdorf Apts		\$0	\$0	\$0	\$146,000
41-07D Parliament Arms		\$6,000	\$68,000	\$0	\$10,000
41-008 Scattered Sites	See	\$15,409	\$0	\$9,500	\$0
41-009 Holland T/H		\$40,800	\$0	\$0	\$0
41-010 Scattered Sites	Annual	\$111,958	\$0	\$0	\$0
41-12A Capsule Dwellings		\$80,000	\$48,000	\$30,000	\$0
41-12B Federal Street T/H	Statement	\$140,800	\$0	\$0	\$0
41-014 University Tower		\$449,000	\$323,209	\$258,000	\$96,500
41-015 Glenwood Gardens		\$76,350	\$485,698	\$95,000	\$0
41-017 Bronson Court		\$343,900	\$0	\$8,500	\$0
41-18A Hudon-Ridge Tower		\$940,615	\$447,040	\$533,800	\$440,435
41-18B Seneca Manor T/H		\$0	\$296,400	\$0	\$0
41-019 Glide Street Apts		\$7,000	\$47,156	\$0	\$58,000
41-022 Lake Tower		\$8,000	\$8,500	\$529,300	\$632,320
41-033 Scattered Sites		\$3,409	\$0	\$0	\$0
41-034 Lexington Court		\$465,210	\$0	\$125,000	\$99,768
41-035 H. Tubman Estates		\$40,000	\$551,500	\$312,000	\$400,000
41-036 Scattered Sites		\$3,409	\$0	\$0	\$0
41-038 Lena Gantt Estates		\$5,600	\$100,000	\$0	\$544,000
41-039 Jonathan Child Apts		\$65,400	\$114,000	\$0	\$0
41-040 AB Blackwell Estates		\$0	\$57,500	\$204,000	\$70,000
41-050 Scattered Sites		\$3,409	\$0	\$0	\$0
41-055 Scattered Sites		\$3,409	\$0	\$0	\$0
41-058 Scattered Sites		\$3,409	\$0	\$0	\$0
HA-Wide Physical Activities		\$0	\$0	\$0	\$0
HA-Wide Non-Physical Activities		\$1,360,267	\$1,360,267	\$1,360,267	\$1,360,267
HA-Wide Contingency @ X%		\$0	\$0	\$0	\$0
CFP Funds Listed for 5-year planning		\$3,173,955	\$3,173,955	\$3,173,955	\$3,173,955
Replacement Housing Factor Funds		\$0	\$0	\$0	\$0
CFP Funds Listed for					

	5-year planning Grand Total		\$4,534,222		\$4,534,222		\$4,534,222		\$4,534,222	
--	-----------------------------	--	-------------	--	-------------	--	-------------	--	-------------	--

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-01A Kennedy Tower	Interior Common Areas: Replace Furniture Mechanical and Electrical: Repair HVAC in Lobby	\$10,000 \$25,000	Site: Paving Repair/Seal/Strip Dwelling Units: Abate Asbestos Mechanical Rm HW Pump Upgrade/Air Balanc'g	\$8,500 \$30,000 \$25,000	Dwelling Units: Upgrade Kitchens/Bathrooms	\$319,255	Mechanical and Electrical: Service Exhaust Vents/Dampers Site Wide Facilities: Add Security Cameras	\$5,000 \$65,000
	41-01A	Subtotal	\$35,000	Subtotal	\$63,500	Subtotal	\$319,255	Subtotal	\$70,000
	41-02A Scattered Sites	Dwelling Units: Rehab Interior of Units Replace Windows Building Exterior: Replace Roofing/Ventilation Replace Siding Mechanical and Electrical: Upgrade mechanicals	\$972 \$451 \$868 \$868 \$250	Dwelling Units:	\$0	Dwelling Units:	\$0		
	41-02A	Subtotal	\$3,409	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
	41-02B Danforth West	Dwelling Units: Replace Doors Interior Common Areas: Replace Doors Paint All Common Areas	\$39,300 \$43,800 \$25,000	Building Exterior: Repair Brickwork Dwelling Units: Install Intercom System	\$10,000 \$30,000	Site: Fencing Dwelling Units: Service Exhaust Vents/Dampers Replace Windows Exterior Common Areas: Build Cov'd/Concrete Picnic Area	\$10,000 \$8,000 \$200,000 \$15,000	Site: Paving Repair/Seal/Stripe Site-Wide Facilities: Replace Windows Security Cameras	\$7,500 \$399,648 \$60,000
	41-02B	Subtotal	\$108,100	Subtotal	\$40,000	Subtotal	\$233,000	Subtotal	\$467,148
	41-2B1 Danforth East	Interior Common Areas: Rehab Utility Rooms Paint Common Areas Replace Exit Doors	\$10,000 \$25,000 \$4,500	Dwelling Units: Install Intercom System Replace Doors Rehab Bathrooms Building Exterior: Repair Brickwork Mechanical and Electrical: HW Pump Upgrade	\$30,000 \$39,300 \$50,052 \$10,000 \$5,000	Site: Fencing Interior Common Areas: Replace Common Area Furniture Dwelling Units: Replace Windows	\$10,000 \$10,000 \$200,000	Site: Paving Repair Site-Wide Facilities: Replace Cast Iron Plumbing Security Cameras	\$7,500 \$8,500 \$60,000
	41-2B1	Subtotal	\$39,500	Subtotal	\$134,352	Subtotal	\$220,000	Subtotal	\$76,000

41-02C

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	Atlantic T/H 41-02C	Dwelling Units: Replace Flooring Upgrade Kitchens/Baths	\$28,800 \$28,800	Site: Paving Repair/Seal/Stripe	\$10,000	Building Exterior: Replace Roofs/Ventilation	\$45,600	Building Exterior: Replace Siding	\$63,784
		Subtotal	\$57,600	Subtotal	\$10,000	Subtotal	\$45,600	Subtotal	\$63,784
See Annual Statement	41-2C1 Bay Street T/H	Site: Paving Repair/Seal/Stripe Landscaping	\$4,000 \$10,000	Dwelling Units: Upgrade Sump Pumps	\$3,500	Mechanical and Electrical: Replace Boilers	\$80,000	Dwelling Units:	\$0
		Dwelling Units: Upgrade Heat Controls	\$8,500	Subtotal	\$3,500	Subtotal	\$80,000	Subtotal	\$0
See Annual Statement	41-003 Scattered Sites	Dwelling Units: Rehab Interior of Units Replace Windows	\$972 \$451	Site: Dwelling Units:				Site: Dwelling Units:	
		Building Exterior: Replace Roofing/Ventilation Replace Siding	\$868 \$868	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
See Annual Statement	41-006 Fairfield Village	Mechanical and Electrical: Boiler System Repair	\$15,000	Dwelling Units: Replace Unit Flooring	\$270,000	Dwelling Units: Upgrade Kitchens/Baths	\$171,000	Site: Building Exterior:	
		Subtotal	\$15,000	Subtotal	\$270,000	Subtotal	\$171,000	Subtotal	\$0
See Annual Statement	41-07A Parkside Apts	Site: Paving Seal/Coat/Stripe	\$4,000	Dwelling Units: Replace Unit Flooring	\$105,600	Site: Dwelling Units:		Dwelling Units:	
		Dwelling Units: Upgrade Kitchens & Baths	\$83,600	Subtotal	\$105,600	Subtotal	\$0	Subtotal	\$0
See Annual Statement	41-07C Elmdorf Apts			Dwelling Units:		Site:		Dwelling Units:	
								Replace	

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
	41-07C	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0	Subtotal	\$146,000
	41-07D Parliament Arms	Site-Wide Facilities: Emerg Generator/Comm Area	\$6,000	Dwelling Units: Upgrade Kitchens/Baths Replace Flooring	\$34,000 \$34,000	Site:		Interior Common Areas: Replace Furniture	10,000
	41-07D	Subtotal	\$6,000	Subtotal	\$68,000	Subtotal	\$0	Subtotal	\$10,000
See Annual Statement	41-008 Scattered Sites	Dwelling Units: Replace Doors Rehab Interior of Units Replace Windows Building Exterior: Replace Roofing/Ventilation Replace Siding Mechanical and Electrical: Upgrade mechanicals	\$12,000 \$972 \$451 \$868 \$868 \$250	Dwelling Units:		Dwelling Units: Tubs	\$9,500		0
	41-008	Subtotal	\$15,409	Subtotal	\$0	Subtotal	\$9,500	Subtotal	0
	41-009 Holland T/H	Dwelling Units: Replace Faucets Repair Lintels Site: Seal Coat Asphalt	\$14,000 \$16,800 \$10,000	Dwelling Units:		Dwelling Units:		Dwelling Units:	
	41-009	Subtotal	\$40,800	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
	41-010 Scattered Sites	Dwelling Units: Carpet Over VCT Repair Sub Floors Replace Windows	\$48,000 \$25,000 \$12,000	Dwelling Units:		Dwelling Units:		Dwelling Units:	

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-010	Mechanical and Electrical: Replace HW Heaters \$4,000 Upgrade Furnace System \$12,000 Upgrade mechanicals \$750 Building Exterior: Paving \$5,000 Replace Roofing/Ventilation \$2,604 Replace Siding \$2,604	\$111,958		\$0		\$0		\$0
	41-12A Capsule Dwellings	Interior Common Areas: Replace Entry Doors \$19,200 Dwelling Units: Replace Kitchen/Baths \$60,800	\$80,000	Dwelling Units: Replace Flooring \$48,000	\$48,000	Building Exterior: Replace Steps w/Ramps \$10,000 Rehab Sidewalks/Remove Dumpster \$20,000	\$30,000	Site:	\$0
	41-12B Federal St T/H	Dwelling Units: Replace Kitchen/Baths \$60,800 Replace Carpet/Base \$48,000 Mechanical and Electrical: Upgrade Furnace \$32,000	\$140,800		\$0		\$0		\$0
	41-014 Univ Tower	Dwelling Units: Abatement/ACM \$378,000 New Intercom System \$30,000 Mechanical: Repair Boiler System \$6,000 Air Balancing \$10,000	\$378,000 \$30,000 \$6,000 \$10,000	Dwelling Units: Replace Kitchen & Baths \$318,209 Mechanical: Upgrade Domestic Pump \$5,000	\$318,209 \$5,000	Mechanical: Fire Alarm System Upgrade \$35,000 Site: Redesign Parking Lot \$55,000	\$35,000 \$55,000	Mechanical: Upgrade Heat Pumps \$8,000 Service Exhaust Vents/Fire Dampers \$10,000 Replace Sewer Main East Side \$8,500	\$8,000 \$10,000 \$8,500

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
		Interior Common: Reconfig W/U Window H/C Accessible	\$25,000			Dwelling Units: Replace Unit Flooring	\$168,000	Site-Wide Facilities: Install Security Cameras Each Floor	\$70,000
	41-014	Subtotal	\$449,000	Subtotal	\$323,209	Subtotal	\$258,000	Subtotal	\$96,500
See Annual	41-015 Glenwood Gardens	Building Exterior: Repair Roof Rear of Bldg	\$76,350	Mechanical: Replace Comm Room Boiler	\$5,000	Site: Replace Entrance Gates	\$30,000	Site Wide Facilities: Install Security Cameras	\$65,000
	41-015	Subtotal	\$76,350	Site: Seal/Stripe Parking & Asphalt Road	\$9,500	Dwelling Units: Replace Kitchens/Baths	\$471,198	Subtotal	\$95,000
				Subtotal	\$485,698	Subtotal	\$95,000	Subtotal	\$0
Statement	41-017 Bronson CT	Dwelling Units: Replace Flooring Replace Kitchen/Baths Replace Windows	\$117,700 \$148,200 \$78,000			Site: Repair/Seal/Stripe Parking Lot	\$8,500		
	41-017	Subtotal	\$343,900	Subtotal	\$0	Subtotal	\$8,500	Subtotal	\$0
	41-18A Hudson-Ridge Tower	Dwelling Units: Upgrade Kitchen/Baths Replace Flooring	\$627,615 \$300,000	Dwelling Units: Replace Faucets Install Bath tub Surrounds	\$152,640 \$127,200	Dwelling Units: Rehab Entrance/Balcony Doors	\$108,800	Dwelling Units: Replace Carpets	\$190,435
		Site: New Fencing	\$10,000	Mechanical: Heat Risers/Compensators/Vents Replace Domestic Water Pump Replace Fin Tubes	\$20,000 \$20,000 \$127,200	Mechanical: Upgrade Fire System	\$50,000	Interior Common Areas: Encapsulate Asbestos Ceilings	\$250,000
		Interior Common Areas: Rehab Laundry Room	\$3,000			Building Exterior: EIFS End of Building New EPDM; Roof	\$300,000 \$75,000		
	41-18A	Subtotal	\$940,615	Subtotal	\$447,040	Subtotal	\$533,800	Subtotal	\$440,435
See Annual	41-18B Seneca Manor T/H	Dwelling Units:		Dwelling Units: Replace Kitchen/Baths Replace Flooring Replace Doors	\$196,400 \$50,000 \$50,000				
	41-18B	Subtotal	\$0	Subtotal	\$296,400	Subtotal	\$0	Subtotal	\$0
Statement	41-019								

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
	Glide St Apts	Mechanical and Electrical: Upgrade Boiler Controls	\$7,000	Dwelling Units: Upgrade Baths	\$21,156			Mechanical and Electrical: Upgrade Fin Tube	\$50,000
				Mechanical and Electrical: Generator Back-Up	\$6,000			Site: Repair/Seal/Stripe Parking Lot	\$8,000
				Site-Wide Facilities: Rehab Laundry	\$5,000				
				Install Security	\$15,000				
	41-019	Subtotal	\$7,000	Subtotal	\$47,156	Subtotal	\$0	Subtotal	\$58,000
	41-022 Lake Tower	Mechanical and Electrical: Service Exhaust Vents/Fire Dampers	\$8,000	Site: Repair/Seal/Stripe Parking Lot	\$8,500	Dwelling Units: Replace Doors	\$332,800	Dwelling Units: Replace Kitchen/Baths	\$632,320
						Site: Enclose Guard Station	\$6,500		
						Install Trash Compactor	\$25,000		
						Mechanical and Electrical: Upgrade Fire System	\$50,000		
						Upgrade Domestic Pump	\$50,000		
						Site-Wide Facilities: Add Security Cameras	\$65,000		
	41-022	Subtotal	\$8,000	Subtotal	\$8,500	Subtotal	\$529,300	Subtotal	\$632,320
See	41-033 Scattered Sites	Dwelling Units: Rehab Interior of Units	\$972	Site:		Site:		Dwelling Units:	
Annual		Replace Windows	\$451	Building Exterior:		Interior Common Areas:			
Statement		Building Exterior: Replace Roofing/Ventilation	\$868	Replace Siding	\$868	Site-Wide Facilities:			
		Mechanical and Electrical: Upgrade mechanicals	\$250	Dwelling Units:					
	41-033	Subtotal	\$3,409	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
	41-034 Lexington Ct	Site-Wide Facilities: Exercise Equipment Comm Room	\$5,000			Mechanical and Electrical: Replace Boilers	\$100,000	Mechanical and Electrical: Upgrade Baseboard Heat	\$99,768
		New Key System	\$5,550			Site-Wide Facilities: Install Security System	\$25,000		
		Building Interior: Flooring Common Halls	\$17,760						
		Dwelling Units: New Kitchen/Baths	\$221,900						
		Replace Doors	\$50,000						
		Replace Flooring	\$150,000						

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
	41-034	Building Exterior: Repair Roofs \$4,000 Replace Entry Doors \$3,000 Site: Seal/Stripe Parking Area \$8,000 Subtotal \$465,210		Subtotal \$0		Subtotal \$125,000		Subtotal \$99,768	
	41-035 H Tubman Estates	Site: Replace Lighting \$40,000 Subtotal \$40,000	Building Interior: Replace Entry Doors \$156,000 Building Exterior: Replace Siding \$345,500 Site: Repair/Seal/Stripe Parking Area \$50,000 Subtotal \$551,500	Dwelling Units: Replace Flooring/Carpet \$312,000 Subtotal \$312,000	Dwelling Units: Replace Kitchen/Baths \$395,200 Site-Wide Facilities: Replace Flooring Comm Room \$4,800 Subtotal \$400,000				
See Annual Statement	41-036 Scattered Sites	Dwelling Units: Rehab Interior of Units \$972 Replace Windows \$451 Building Exterior: Replace Roofing/Ventilation \$868 Replace Siding \$868 Mechanical and Electrical: Upgrade mechanicals \$250 Subtotal \$3,409	Site: Building Exterior: Dwelling Units: Subtotal \$0	Site: Dwelling Units: Subtotal \$0	Subtotal \$0				
	41-038 Lena Gantt Estates	Site-Wide Facilities: Replace Mail Boxes \$5,600 Subtotal \$5,600	Mechanical and Electrical: Upgrade Baseboard Heat \$100,000 Subtotal \$100,000	Subtotal \$0	Dwelling Units: Replace Kitchen/Baths \$304,000 Replace Flooring/Carpet \$240,000 Subtotal \$544,000				

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
	41-039 Jonathan Child Apts	Mechanical and Electrical: Upgrade Baseboard Heat Interior Common Areas: Rehab Common Bath 1st Floor Rehab Dining Room 2nd Floor Building Interior: Rehab Hallways	\$10,000 \$5,400 \$20,000 \$30,000	Dwelling Units: Replace Kitchen/Baths	\$114,000				
	41-039	Subtotal	\$65,400	Subtotal	\$114,000	Subtotal	\$0	Subtotal	\$0
See Annual Statement	41-040 AB Blackwell Estates			Dwelling Units: Replace Appliances Building Exterior: Repair/Seal/Stripe Parking Area	\$51,000 \$6,500	Dwelling Units: Replace Kitchen/Baths	\$204,000	Interior Common Areas: Replace Hallway/Laundry Floors Install 3 Handicap Lifts	\$25,000 \$45,000
	41-040	Subtotal	\$0	Subtotal	\$57,500	Subtotal	\$204,000	Subtotal	\$70,000
	41-050 Scattered Sites	Dwelling Units: Rehab Interior of Units Replace Windows Building Exterior: Replace Roofing/Ventilation Replace Siding Mechanical and Electrical: Upgrade mechanicals	\$972 \$451 \$868 \$868 \$250	Site: Building Exterior: Dwelling Units:		Building Exterior: Dwelling Units:		Building Exterior: Dwelling Units:	
	41-050	Subtotal	\$3,409	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
	41-055 Scattered Sites	Dwelling Units: Rehab Interior of Units Replace Windows Building Exterior: Replace Roofing/Ventilation Replace Siding Mechanical and Electrical: Upgrade mechanicals	\$972 \$451 \$868 \$868 \$250	Site:		Dwelling Units:		Building Exterior: Dwelling Units:	
	41-055	Subtotal	\$3,409	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-058 Scattered Sites	Dwelling Units:		Site:				Building Exterior:	
		Rehab Interior of Units	\$972						
		Replace Windows	\$451	Dwelling Units:				Dwelling Units:	
		Building Exterior:		Replace Roofing/Ventilation	\$868				
		Replace Siding	\$868						
		Mechanical and Electrical:							
		Upgrade mechanicals	\$250						
	41-058	Subtotal	\$3,409	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	0	0	0.00	0.00
3	1408 Management Improvements Soft Costs	0	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	0	0	0.00	0.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	0	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	0	0	0.00	0.00
10	1460 Dwelling Structures	0	0	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	0	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	93,611	0	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	93,611	0	0.00	0.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Athony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41--61	Development Activities (XXXX)	1499		93,611				
				93,611				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/07 <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	175,000	183,655	183,655.00	183,655.00
3	1408 Management Improvements Soft Costs	392,233	388,993	388,993.09	388,993.09
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	400,000	400,000	400,000.00	400,000.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	23,585	23,370	23,370.00	23,370.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	2,289,185	2,283,985	2,283,984.38	2,283,984.38
10	1460 Dwelling Structures	686,778	686,778	686,779.03	686,779.03
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	366,874	366,874	366,874.15	366,874.15
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	45,268	45,268	45,267.35	45,267.35
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	4,378,923	4,378,923	4,378,923.00	4,378,923.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	351,105	351,105	351,105.00	351,105.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Anthony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3-31-07		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	364,605	364,605	364,605.00	287,019.01
3	1408 Management Improvements Soft Costs	343,652	343,652	343,651.91	343,651.91
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	510,000	510,000	510,000.00	510,000.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	102,171	102,171	102,172.64	68,542.50
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	142,596	142,596	142,595.68	142,595.68
10	1460 Dwelling Structures	3,126,041	3,126,041	3,126,040.65	1,932,747.87
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	504,792	504,792	504,791.12	409,853.46
13	1475 Nondwelling Equipment	35,840	35,840	35,840.00	35,840.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	0	0	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	5,129,697	5,129,697	5,129,697.00	3,730,250.43
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	339,435	339,435	339,435.00	339,435.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	173,968	173,968	173,968.24	118,629.48

Anthony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-01A Kennedy Tower	Roof Replacement (02036)	1460		178,000	178,000	178,000.00	178,000.00	Complete
	Total 41-01A			178,000	178,000	178,000.00	178,000.00	
NY41-02A Scattered Sites	Roof Replacement (02039)	1460		11,006	11,006	11,006.00	11,006.00	Complete
	Total 41-02A			11,006	11,006	11,006.00	11,006.00	
NY41-02B Danforth Tower West	Architectural Fees (1891)	1430		1,359	1,359	1,358.75	1,358.75	Complete
	Upgraded elevators (4100)	1460		17,920	17,920	17,920.00	17,920.00	Complete
	Rpr/rpl waste lines in basement (04044)	1470		34,500	34,500	34,500.00	34,500.00	Complete
	Roof Replacement (02040)	1460		25,000	25,000	25,000.00	25,000.00	Complete
	Total 41-02B			78,779	78,779	78,778.75	78,778.75	
NY41-2B1 Danforth Tower East	Architectural Fees (1892)	1430		2,446	2,446	2,446.25	2,446.25	Complete
	Repair/wash/seal ext of bldg	1460		665	665	665.00	665.00	Work postponed
	Upgrade Elevators (4099)	1460		17,920	17,920	17,920.00	17,920.00	Complete
	Total 41-02B1			21,031	21,031	21,031.25	21,031.25	
NY41-02C Atlantic Townhouses	Replace wood entry doors (4052)	1460		14,564	14,564	14,563.90	14,563.90	Complete
	Mechanical Upgrades (2042)	1460		6,100	6,100	6,100.00	6,100.00	Complete
	Total 41-02C			20,664	20,664	20,663.90	20,663.90	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-06	Repair drives/parking lot (0806)	1450		22,518	22,518	22,518.98	22,518.98	Complete
Fairfield Village	Landscape/site work (1972)	1450		80,000	80,000	80,000.00	80,000.00	Complete
	Total 41-06			102,518	102,518	102,518.98	102,518.98	
NY41-07A	Mechanical Upgrades (2049)	1460		32,457	32,457	32,457.00	32,457.00	Complete
Parkside Apts.								
	Total 41-07A			32,457	32,457	32,457.00	32,457.00	
NY41-07C	Architectural Fees (01902)	1430		3,488	3,488	3,487.50	3,487.50	Complete
Elmdorf Apts.								
	Total 41-07C			3,488	3,488	3,487.50	3,487.50	
NY41-07D	Install HW Boilers & Tanks (01851)	1460		11,250	11,250	11,250.00	11,250.00	Complete
Parliament Arms	Architectural Fees (01902)	1430		3,573	3,573	3,572.50	3,572.50	Complete
	Install Fire Alarms (00727)	1460		53,557	53,557	53,556.60	19,538.15	Work Nearing Completion
	Total 41-07D			68,380	68,380	68,379.10	34,360.65	
NY41-09	Rpl Roof/Vents/Gutters/Dwnspt (00119)	1460		177,517	177,517	177,516.80	176,612.46	Work Nearing Completion
Holland T/H								
	Total 41-09			177,517	177,517	177,516.80	176,612.46	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-10	Major interior/exterior rehab (0150)	1460		80,319	80,319	80,318.95	80,318.95	Complete
Scattered Sites	Architectural Fees (01901)	1430		12,200	12,200	12,200.00	12,200.00	Complete
	Roof Replacment (00119)	1460		13,500	13,500	13,500.00	13,500.00	Complete
	Total 41-10			106,019	106,019	106,018.95	106,018.95	
NY41-12A	Landscape/site work (1977)	1450		23,550	23,550	23,550.00	23,550.00	Complete
Capsule Dwelling								
	Total 41-12A			23,550	23,550	23,550.00	23,550.00	
NY41-14	Install New Radiation (02009)	1460		5,100	5,100	5,100.00	5,100.00	Complete
University Tower	Roof Replacement (02036)	1460		183,000	183,000	183,000.00	183,000.00	Complete
	Replace Doors to Exterior (04063)	1460		14,167	14,167	14,167.25	14,167.25	Complete
	Upgrade elevators (4096)	1475		17,920	17,920	17,920.00	17,920.00	Complete
	Total 41-14			220,187	220,187	220,187.25	220,187.25	
NY41-15	Architectural Fees(00526)	1430		2,410	2,410	2,410.25	2,410.25	Complete
Glenwood Gardens	Community building repairs (2010)	1470		7,379	7,379	7,379.00	7,379.00	Complete
	Convert laundries to gas (1989)	1470		10,684	10,684	10,683.19	10,683.19	Complete
	Total 41-15			20,473	20,473	20,472.44	20,472.44	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-17	Replace prime windows (0956)	1460		704	704	703.80	703.80	Work postponed
Bronson Court Apts.								
	Total 41-17			704	704	703.80	703.80	
NY41-18A	Architectural fees (1901)	1430		19,100	19,100	19,100.00	19,100.00	Complete
Hudson-Ridge Tower								
	Provide additional parking (2024)	1450		15,113	15,113	15,112.20	15,112.20	Complete
	Balcony Repairs (00772)	1460		1,004,937	1,004,937	1,004,937.80	15,096.80	Contract Awarded
	Install comm. Baseboard covers (4200)	1460		3,701	3,701	3,700.59	3,700.59	Complete
	Upgrade elevators	1475		17,920	17,920	17,920.00	17,920.00	Complete
	Total 41-18A			1,060,771	1,060,771	1,060,770.59	70,929.59	
NY41-19	Upgrade kit cabinets/counters (03082)	1460		1,380	1,380	1,380.20	1,380.20	Complete
Glide Court Apts.								
	Total 41-19			1,380	1,380	1,380.20	1,380.20	
NY41-22	Architectural fees (1902)	1430		10,098	10,098	10,097.50	10,097.50	Complete
Lake Tower								
	Upgrade/Improve Marketability (01753)	1450		711	711	710.70	710.70	Complete
	Roof Replacement (02069)	1460		162,177	162,177	162,177.00	162,177.00	Complete
	Repair exterior of building (4111)	1470		4,961	4,961	4,961.40	4,961.40	Complete
	Improve/Upgrade marketability (1753)	1470		212,799	212,799	212,798.80	169,435.33	Work in Progress
	Total 41-22			390,746	390,746	390,745.40	347,381.93	

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-34	Build Community Room (3085)	1470		6,037	6,037	6,037.41	4,429.90	Work nearing completion
Lexington Court	Install Fire Alarms (00727)	1460		74,500	74,500	74,500.00	61,560.00	Work nearing completion
	Rpl Closures at Common Areas (01483)	1470		98,615	98,615	98,614.80	48,648.12	Work in Progress
	Architectural Fees (01902)	1430		2,795	2,795	2,795.00	2,795.00	Complete
	Total 41-34			181,947	181,947	181,947.21	117,433.02	
NY41-35	Architectural fees (4081)	1430		6,400	6,400	6,400.00	6,400.00	Complete
Harriet Tubman Estates	Paint dwelling units (0692)	1460		44,200	44,200	44,200.00	44,200.00	Complete
	Total 41-35			50,600	50,600	50,600.00	50,600.00	
NY41-38	Repair/replace uneven sidewalk (4006)	1450		704	704	703.80	703.80	Work postponed
Lena Gantt Estates								
	Total 41-38			704	704	703.80	703.80	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-39	Architectural fees (4086)	1430		1,213	1,213	1,212.50	1,212.50	Complete
Jonathan Child Apts.	Rpr/Rpl Windows/DRs/Frames (04088)	1460		159,822	159,822	159,821.80	159,821.80	Complete
	Upgrade stairwell heat units (4087)	1460		4,582	4,582	4,582.00	4,582.00	Complete
	Repair/Wash/Seal Brick (04089)	1460		365,287	365,287	365,287.00	349,189.47	Work Nearing Completion
	Upgrade elevator (4090)	1460		62,137	62,137	62,136.60	656.60	Work Out for Bid
	Partial abate/remove boilers (4112)	1460		12,600	12,600	12,600.00	12,600.00	Complete
	Remodel community room (4107)	1470		19,116	19,116	19,115.62	19,115.62	Complete
	Total 41-39			624,757	624,757	624,755.52	547,177.99	
NY41-40	Replace heat/hw system (0990)	1460		108,521	108,521	108,520.72	108,520.72	Complete
Blackwell Estates	Architectural Fees (01902)	1430		3,440	3,440	3,440.00	3,440.00	Complete
	Install Fire Alarms (00727)	1460		90,800	90,800	90,800.00	68,127.30	Work In Progress
	Total 41-40			202,761	202,761	202,760.72	180,088.02	
NY41-50	Roof Replacement (01737)	1460		14,683	14,683	14,683.40	14,683.40	Complete
Scattered Sites	Total 41-50			14,683	14,683	14,683.40	14,683.40	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
HA-Wide	Computer hardware/printers	1406		25,000	25,000	25,000.00	25,000.00	Complete
Operations	CFP funds for operations	1406		339,605	339,605	339,605.00	262,019.01	Work nearing completion
	Total 1406			364,605	364,605	364,605.00	287,019.01	
HA-Wide	Staff training employees (0997)	1408		2,584	2,584	2,584.41	2,584.41	Complete
Mgmt. Improvements	Security for sites (1002)	1408		339,435	339,435	339,435.00	339,435.00	Complete
	Software & training (1004)	1408		1,633	1,633	1,632.50	1,632.50	Complete
	Total 1408			343,652	343,652	343,651.91	343,651.91	
HA-Wide	General admin. Costs (1027)	1410		510,000	510,000	510,000.00	510,000.00	Complete
Admin								
	Total 1410			510,000	510,000	510,000.00	510,000.00	
HA-Wide	Arch. Fees (Konopka) (9999K)	1430		12,862	12,862	12,865.14	0.00	In Planning Stages
Arch. Fees	Arch. Fees (Labella) (9999L)	1430		20,787	20,787	20,787.25	22.25	In Planning Stages
	Total 1430			33,649	33,649	33,652.39	22.25	
HA-Wide	Siemens project (4106)	1460		173,968	173,968	173,968.24	118,629.48	Work nearing completion
Energy Program								
	Total 1460			173,968	173,968	173,968.24	118,629.48	
HA-Wide	Warehouse roof repairs (4105)	1470		110,701	110,701	110,700.90	110,700.90	Complete
Non-Dwelling								
Improvements	Total 1470			110,701	110,701	110,700.90	110,700.90	
	Total Housing Authority Wide			1,536,575	1,536,575	1,536,578.44	1,370,023.55	
	Total Grant			5,129,697	5,129,697	5,129,697.00	3,730,250.43	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150104 Replacement Housing Factor Grant No:		Federal FY of Grant: 2004		
--	--	---	--	---------------------------	--	--

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150104 Replacement Housing Factor Grant No:		Federal FY of Grant: 2004
--	--	---	--	-------------------------------------

Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	

All Developments	09/13/06			09/13/08			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/07 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	450,000	450,000	450,000.00	450,000.00
3	1408 Management Improvements Soft Costs	378,000	378,000	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	468,573	468,573	468,573.00	468,573.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	142,072	142,072	13,167.50	13,167.50
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	346,784	346,784	99,451.30	96,275.30
10	1460 Dwelling Structures	2,671,664	2,671,664	693,158.75	562,940.05
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	103,638	103,638	0.00	0.00
13	1475 Nondwelling Equipment	25,000	25,000	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	100,000	100,000	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	4,685,731	4,685,731	1,724,350.55	1,590,955.85
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	340,000	340,000	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Anthony P.DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-1A	Architectural Fees (00526)	1430		50	50	50.00	50.00	Complete
Kennedy Tower	Roof Repairs (00752)	1460		20,000	20,000	0.00	0.00	In Planning Stages
	Total 41-1A			20,050	20,050	50.00	50.00	
NY41-2A	Seal/Pave/Repair Drives (01969)	1450		4,384	4,384	4,383.50	3,944.47	Nearing Completion
Scattered Sites								
	Total 41-2A			4,384	4,384	4,383.50	3,944.47	
NY41-2B	Emerg Electric Power System (00726)	1460		5,000	5,000	0.00	0.00	In Planning Stages
Danforth West								
	Total 41-2B			5,000	5,000	0.00	0.00	
NY41-2B1	Architectural Fees (00526)	1430		150	150	138.75	138.75	Work in Progress
Danforth East	Emerg Electric Power System (00726)	1460		5,000	5,000	0.00	0.00	In Planning Stages
	Roof Repairs (00752)	1460		50,000	50,000	0.00	0.00	In Planning Stages
	Total 41-2B1			55,150	55,150	138.75	138.75	
NY41-2C	Landscape/Sitework (00551)	1450		10,000	10,000	0.00	0.00	In Planning Stages
Atlantic T/H	HW Radiation (00704)	1460		10,000	10,000	0.00	0.00	In Planning Stages
	Total 41-2C			20,000	20,000	0.00	0.00	
NY41-03	Furnace Replacements (00702)	1460		4,210	4,210	4,210.33	3,854.97	Near Completion
Scattered Sites	Resurface Driveways (01767)	1450		28,000	28,000	12,572.72	12,076.70	Work In Progress
	Total 41-03			32,210	32,210	16,783.05	15,931.67	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-7A	Architectural Fees(00526)	1430		530	530	530.00	530.00	Complete
Parkside Apts	Replace Prime Windows (00761)	1460		250,000	250,000	79,710.20	72,206.20	Work in Progress
	Total 41-7A			250,530	250,530	80,240.20	72,736.20	
NY41-7C	Architectural Fees (00526)	1430		5,000	5,000	0.00	0.00	In Planning Stages
Elmdorf Apts	Repairs to Fire System (00727)	1460		25,000	25,000	12,450.00	5,787.00	Work In Progress
	Total 41-7C			30,000	30,000	12,450.00	5,787.00	
NY41-7D	Architectural Fees (00526)	1430		5,000	5,000	886.25	886.25	Work In Progress
Parliament Arms	Repairs to Fire System (00727)	1460		50,000	50,000	0.00	0.00	In Planning Stages
	Emerg Electric Power System (00726)	1460		5,000	5,000	2,116.00	2,116.00	Work In Progress
	Hallway Emergency Light (00727)	1470		10,000	10,000	0.00	0.00	In Planning Stages
	Upgrade/Improve Marketability (01756)	1460		9,950	9,950	0.00	0.00	In Planning Stages
	Total 41-7D			79,950	79,950	3,002.25	3,002.25	
NY41-08	Architectural Fees (00526)	1430		2,165	2,165	2,165.00	2,165.00	Complete
Scattered Sites	Furnace Replacement (00702)	1460		10,000	10,000	0.00	0.00	In Planning Stages
	Seal/Pave/Repair Drives (01974)	1450		12,000	12,000	6,220.00	6,220.00	Work In Progress
	Total 41-08			24,165	24,165	8,385.00	8,385.00	
NY41-09	Architectural Fees (00526)	1430		510	510	510.00	510.00	Complete
Holland T/H	Replace Roofs (00753)	1460		2,120	2,120	0.00	0.00	Work Nearing Completion
	Total 41-09			2,630	2,630	510.00	510.00	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-10	Architectural Fees(00526)	1430		554	554	553.75	553.75	Complete
Scattered Sites	Seal/Pave/Repair Drives (01976)	1450		42,000	42,000	21,202.47	20,174.36	Work In Progress
	Roof Replacement (00119)	1460		1394	1,394	1,394.00	1,394.00	Complete
	Furnace Replacements (00702)	1460		27,170	27,170	27,166.18	24,449.55	Nearing Completion
	Total 41-10			71,118	71,118	50,316.40	46,571.66	
NY41-12A	Seal/Pave/Repair Drives (01977)	1450		5,000	5,000	4,352.00	4,352.00	Nearing Completion
Capsule Dwellings								
	Total 41-12A			5,000	5,000	4,352.00	4,352.00	
NY41-12B	Architectural Fees(00526)	1430		1,030	1,030	1,030.00	1,030.00	Complete
Federal St T/H								
	Total 41-12B			1,030	1,030	1,030.00	1,030.00	
NY41-14	Architectural Fees (00526)	1430		86,606	86,606	2,792.50	2,792.50	In Planning Stages
University Tower	Emerg Electric Power System (00726)	1460		5,000	5,000	0.00	0.00	In Planning Stages
	Roof Repairs (00752)	1460		100,000	100,000	15,171.95	15,171.95	Work In Progress
	Replace Windows (00761)	1460		617,000	617,000	656.60	656.60	Work out to Bid
	Total 41-14			808,606	808,606	18,621.05	18,621.05	
NY41-15	Architectural Fees (00526)	1430		10,000	10,000	560.00	560.00	In Planning Stages
Glenwood Gardens	Landscape/Sitework (00551)	1450		20,000	20,000	0.00	0.00	In Planning Stages
	Repair/Wash/Seal Brick Veneer (00771)	1460		11,000	11,000	10,990.40	10,056.36	Nearing Completion
	Repairs to Ext Soffits (00781)	1460		200,000	200,000	59,176.00	53,806.14	Work In Progress
	Furnace System Upgrades (00702)	1460		2,000	2,000	1,721.68	1,549.51	Nearing Completion
	Total 41-15			243,000	243,000	72,448.08	65,972.01	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-17	Landscape/Sitework (00551)	1460		30,000	30,000	0.00	0.00	In Planning Stages
	Bronson Ct Apts							
	Total 41-17			30,000	30,000	0.00	0.00	
NY41-18A	Architectural Fees(00526)	1430		175	175	175.00	175.00	Complete
Hudson Ridge Tower	Repair/Clean Ventilation Shaft (00703)	1460		10,000	10,000	0.00	0.00	In Planning Stages
	Emerg Electric Power System (00726)	1460		5,000	5,000	0.00	0.00	In Planning Stages
	Isolation Ball Valves (00735)	1460		35,470	35,470	35,470.00	35,470.00	Complete
	Roof Repairs (00752)	1460		22,380	22,380	22,380.00	22,380.00	Complete
	Balcony Repairs Int/Ext (00772)	1460		342,158	342,158	102,759.00	0.00	Work In Progress
	Video System Int/Ext (00951)	1475		25,000	25,000	0.00	0.00	In Planning Stages
	Upgrade Site Fencing (04066)	1450		7,400	7,400	7,400.00	7,400.00	Complete
	Total 41-18A			447,583	447,583	168,184.00	65,425.00	
NY41-19	Architectural Fees(00526)	1430		510	510	510.00	510.00	Complete
Glide St Apts	Upgrade Kitchen Cabinets/Counters (03082)	1460		142,000	142,000	141,985.33	141,985.33	Complete
	Repair/Replace Stoops (03084)	1460		9,509	9,509	9,509.02	9,509.02	Complete
	Repair/Replace Entries (04074)	1460		114,400	114,400	114,382.65	114,382.65	Complete
	Total 41-19			266,419	266,419	266,387.00	266,387.00	
NY41-22	Architectural Fees(00526)	1430		2,301	2,301	2,301.25	2,301.25	Complete
Lake Tower	Emerg Electric Power System (00726)	1460		5,000	5,000	0.00	0.00	In Planning Stages
	Roof Replacements (00753)	1460		98,645	98,645	9,660.00	9,660.00	Work in Progress
	Mechanical Upgrades (02070)	1460		11,930	11,930	11,930.00	10,939.50	Nearing Completion
	Improve/Upgrade Marketability (01753)	1470		17,665	17,665	0.00	0.00	In Planning Stages
	Total 41-22			135,541	135,541	23,891.25	22,900.75	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-33	Furnace Replacements (00702)	1460		17,100	17,100	17,095.43	15,385.89	Nearing Completion
Scattered Sites	Replace Driveways (00976)	1450		33,000	33,000	19,856.25	18,958.73	Work In Progress
	Total 41-33			50,100	50,100	36,951.68	34,344.62	
NY41-34	Architectural Fees (00526)	1430		5,000	5,000	675.00	675.00	In Planning Stages
Lexington Ct Apts	Replace Tin Coils (00704)	1460		200,000	200,000	0.00	0.00	In Planning Stages
	Repairs to Fire System (00727)	1460		50,000	50,000	0.00	0.00	In Planning Stages
	Repair/Wash/Seal Brick Veneer (00771)	1460		100,000	100,000	0.00	0.00	In Planning Stages
	Replace Asphalt (00633)	1450		6,000	6,000	0.00	0.00	In Planning Stages
	Masonry Repairs/Waterproofing (01483)	1470		75,973	75,973	0.00	0.00	In Planning Stages
	Total 41-34			436,973	436,973	675.00	675.00	
NY41-35	Landscape/Sitework (00551)	1450		10,000	10,000	0.00	0.00	In Planning Stages
Harriet Tubman Estates	Repair/Replace Sidewalks (00576)	1450		100,000	100,000	0.00	0.00	In Planning Stages
	Total 41-35			110,000	110,000	0.00	0.00	
NY41-36	Furnace Replacements (00702)	1460		10,450	10,450	10,445.98	9,401.38	Nearing Completion
Scattered Sites	Reseal/Resurface Driveways (01811)	1450		18,000	18,000	9,002.16	8,934.58	Work In Progress
	Total 41-36			28,450	28,450	19,448.14	18,335.96	
NY41-39	Emerg Electrical Power System (00726)	1460		5,000	5,000	0.00	0.00	In Planning Stages
Jonathon Child Apts								
	Total 41-39			5,000	5,000	0.00	0.00	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-40	Architectural Fees (00526)	1430		5,000	5,000	0.00	0.00	In Planning Stages
A.B. Blackwell Estates	Repairs to Fire System (00727)	1460		50,000	50,000	0.00	0.00	In Planning Stages
	Total 41-40			55,000	55,000	0.00	0.00	
NY41-50	Roof Replacement (01737)	1460		2,778	2,778	2,778.00	2,778.00	Complete
Scattered Sites	Sealcoat Driveways (01736)	1450		42,000	42,000	11,385.65	11,147.93	Work In Progress
	Total 41-50			44,778	44,778	14,163.65	13,925.93	
NY41-55	Landscape/Sitework (02033)	1450		7,500	7,500	3,076.55	3,066.53	Work In Progress
Scattered Sites								
	Total 41-55			7,500	7,500	3,076.55	3,066.53	
NY41-58	Reseal/Resurface Driveways (01811)	1450		1,500	1,500	0.00	0.00	In Planning Stages
Scattered Sites								
	Total 41-58			1,500	1,500	0.00	0.00	
NY41-61	Purchase New Rehab units (00999)	1499		100,000	100,000	0.00	0.00	In Planning Stages
Scattered Sites								
	Total 41-61			100,000	100,000	0.00	0.00	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
HA-Wide Operations	CFP to OPS	1406		450,000	450,000	450,000.00	450,000.00	Complete
Mgmt improvements	Security for Projects (00002)	1408		340,000	340,000	0.00	0.00	Work in Progress
	Software and Training (00004)	1408		25,000	25,000	0.00	0.00	In Planning Stages
	Staff Training CM (00997)	1408		13,000	13,000	0.00	0.00	In Planning Stages
Admin	General/Administrative (00027)	1410		468,573	468,573	468,573.00	468,573.00	Complete
Architectural Fees	Engineer/Compactors all Hi-Rises (00527)	1430		17,491	17,491	290.00	290.00	In Planning Stages
Energy Program		1460		0	0	0.00	0.00	
Non-Dwelling Improvements		1470		0	0	0.00	0.00	
	Total 41-ZZ			1,314,064	1,314,064	918,863.00	918,863.00	
	Total Grant			4,685,731	4,685,731	1,724,350.55	1,590,955.85	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule**

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:	Federal FY of Grant: 2005
--	---	------------------------------

Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
--	--	--	--	---	--	--	----------------------------------

	Original	Revised	Actual	Original	Revised	Actual	
--	----------	---------	--------	----------	---------	--------	--

All Developments	08/17/07			08/17/09			
------------------	----------	--	--	----------	--	--	--

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NY06R04150105	Federal FY of Grant: 2005
--	---	------------------------------

Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 3-31-07 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	0	0	0.00	0.00
3	1408 Management Improvements Soft Costs	0	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	0	0	0.00	0.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	0	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	0	0	0.00	0.00
10	1460 Dwelling Structures	0	0	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	0	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	114,993	114,993	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	114,993	114,993	0.00	0.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security—Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Anthony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NY06R04150105			Federal FY of Grant: 2005	
---	--	--	--	--	----------------------------------	--

Period Ending: 3-31-07 Number Name/HA-Wide Activities	Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-61	Development Activities	1499		114,993	114,993	0.00	0.00	In planning stages
	Total 41-61			114,993	114,993	0	0	

--	--	--	--	--	--	--	--	--

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06R04150105 Replacement Housing Factor Grant No:	Federal FY of Grant: 2005
--	---	------------------------------

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06R04150105 Replacement Housing Factor Grant No:	Federal FY of Grant: 2005
---	--	-------------------------------------

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06R04150105 Replacement Housing Factor Grant No:	Federal FY of Grant: 2005
--	---	------------------------------

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06R04150105 Replacement Housing Factor Grant No:	Federal FY of Grant: 2005
--	---	---------------------------

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NY06R04150105	Federal FY of Grant: 2005
---	--	-------------------------------------

Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
All Developments	08/07/07			08/17/09			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/07 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	271,437	271,437	0.00	0.00
3	1408 Management Improvements Soft Costs	880,821	880,821	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	440,410	440,410	0.00	0.00
5	1411 Audit	5,000	5,000	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	14,000	14,000	10,553.50	10,553.50
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	568,930	568,930	0.00	0.00
10	1460 Dwelling Structures	2,078,179	2,078,179	293,887.70	270,535.70
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	145,330	145,330	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	0	0	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	4,404,107	4,404,107	304,441.20	281,089.20
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	880,821	880,821	880,821.00	880,821.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	51,000	51,000	51,000.00	51,000.00

Anthony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-01A	Landscaping (00002)	1450		5,000	5,000	0.00	0.00	In Planning Stages
Kennedy Tower	Install Air Conditioning in Lobby (02011)	1470		12,000	12,000	0.00	0.00	In Planning Stages
	Total 41-01A			17,000	17,000	0.00	0.00	
41-2A	Major Int/Ext Renovations (02037)	1460		50,000	50,000	0.00	0.00	In Planning Stages
Duplexes								
	Total 41-2A			50,000	50,000	0.00	0.00	
41-2B	Landscaping (00551)	1450		10,000	10,000	0.00	0.00	In Planning Stages
Danforth West	Fencing (04066)	1450		25,000	25,000	0.00	0.00	In Planning Stages
	Clean Air Vents (01216)	1460		5,000	5,000	0.00	0.00	In Planning Stages
	Paint Halls (00692)	1470		20,000	20,000	0.00	0.00	In Planning Stages
	Total 41-2B			60,000	60,000	0.00	0.00	
41-2B1	Landscaping (00551)	1450		10,000	10,000	0.00	0.00	In Planning Stages
Danforth East	Fencing (04066)	1450		25,000	25,000	0.00	0.00	In Planning Stages
	Clean Air Vents (01216)	1460		5,000	5,000	0.00	0.00	In Planning Stages
	Paint Halls (00692)	1470		20,000	20,000	0.00	0.00	In Planning Stages
	Total 41-2B1			60,000	60,000	0.00	0.00	
41-2C	Repair Roofs (00752)	1460		80,000	80,000	0.00	0.00	In Planning Stages
Atlantic Ave. Twnhs.	Replace Flooring (00608)	1460		150,000	150,000	0.00	0.00	In Planning Stages
	Total 41-2C			230,000	230,000	0.00	0.00	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006		
--	--	---	--	--	---------------------------	--	--

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-2C1	Repair Flooring (04023)	1460		20,000	20,000	0.00	0.00	In Planning Stages
Bay St Twnhs								
Total 41-2C1				20,000	20,000	0.00	0.00	
41-03	Major Int/Ext Renovation (00621)	1460		125,000	125,000	0.00	0.00	In Planning Stages
Scattered Sites								
Total 41-03				125,000	125,000	0.00	0.00	
41-06	Architectural Fees (01901)	1430		3,447	3,447	0.00	0.00	In Planning Stages
Fairfield Village								
	Landscape/SiteWork (01972)	1450		100,000	100,000	0.00	0.00	In Planning Stages
	Upgrade Kitchens (01538)	1460		200,000	200,000	0.00	0.00	In Planning Stages
	Upgrade Bathrooms (00868)	1460		150,000	150,000	0.00	0.00	In Planning Stages
Total 41-06				453,447	453,447	0.00	0.00	
41-7A	Replace Roof (00753)	1460		250,000	250,000	0.00	0.00	In Planning Stages
Parkside Apts								
Total 41-7A				250,000	250,000	0.00	0.00	
41-7D	Insulation/Venting (00754)	1460		36,000	36,000	0.00	0.00	In Planning Stages
Parliament Arms								
	Repair/Replace Roof (00752)	1460		56,000	56,000	36,030.70	36,030.70	Work In Progress
	Replace Windows (00761)	1460		233,520	233,520	233,520.00	210,168.00	Nearing Completion
	Repair/Replace Flooring (04027)	1460		40,000	40,000	0.00	0.00	In Planning Stages
Total 41-7D				365,520	365,520	269,550.70	246,198.70	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-08	Replace Aluminum Wiring (04082)	1450		28,000	28,000	0.00	0.00	In Planning Stages
Scattered Sites	Major Int/Ext Renovation(00932)	1460		50,000	50,000	0.00	0.00	In Planning Stages
	Total 41-08			78,000	78,000	0.00	0.00	
41-09	Landscaping/Site Work (00551)	1450		15,000	15,000	0.00	0.00	In Planning Stages
Holland Townhouses	Repl All 2 Handled Faucets In Units (09662)	1460		19,250	19,250	0.00	0.00	In Planning Stages
	Total 41-09			34,250	34,250	0.00	0.00	
41-10	Landscaping/Tree Removal (00551)	1450		10,000	10,000	0.00	0.00	In Planning Stages
Scattered Sites	Update Site Lighting (04082)	1450		25,000	25,000	0.00	0.00	In Planning Stages
	Waterproofing Basements (04043)	1460		15,000	15,000	0.00	0.00	In Planning Stages
	Total 41-10			50,000	50,000	0.00	0.00	
41-14	Landscaping/Site Work (00551)	1450		10,000	10,000	0.00	0.00	In Planning Stages
University Tower								
	Total 41-14			10,000	10,000	0.00	0.00	
41-15	Repair Exterior Soffits (09781)	1460		63,760	63,760	0.00	0.00	In Planning Stages
Glenwood Gardens	Wash/Repair/Seal Ext Brick Ofc Bldg(09771)	1470		31,880	31,880	0.00	0.00	In Planning Stages
	Total 41-15			95,640	95,640	0.00	0.00	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-18A	Exterior Brick Restoration (04089)	1460		82,500	82,500	0.00	0.00	In Planning Stages
Hudson-Ridge Tower	Paint Units (00692)	1460		40,000	40,000	0.00	0.00	In Planning Stages
	Repair Lobby Roof (00752)	1470		5,250	5,250	0.00	0.00	In Planning Stages
	Build Maint Storage Structure (03085)	1470		7,200	7,200	0.00	0.00	In Planning Stages
	Total 41-18A			134,950	134,950	0.00	0.00	
41-18B	Repair/Replace Roofs (00752)	1460		40,500	40,500	0.00	0.00	In Planning Stages
Seneca Manor Twnhs	Insulation/Venting (00754)	1460		15,000	15,000	0.00	0.00	In Planning Stages
	Major Int/Ext Rehab (01913)	1460		0	24,337	24,337.00	24,337.00	Complete/501.06 5yr Action P
	Total 41-18B			55,500	79,837	24,337.00	24,337.00	
41-19	Repr Masonry at Apt Steps/Entries (00771)	1460		3,500	3,500	0.00	0.00	In Planning Stages
Glide Court Apts.	Landscaping/Site Work (00551)	1450		10,000	10,000	0.00	0.00	In Planning Stages
	Total 41-19			13,500	13,500	0.00	0.00	
41-22	Replace Closet Doors (03062)	1460		5,324	5,324	0.00	0.00	In Planning Stages
Lake Tower								
	Total 41-22			5,324	5,324	0.00	0.00	
41-34	Repair/Wash/Seal Brick (09771)	1460		28,200	28,200	0.00	0.00	In Planning Stages
Lexington Court								
	Total 41-34			28,200	28,200	0.00	0.00	
41-35	Redesign/Repl/Rpr Lots & Curbing (02024)	1450		115,450	115,450	0.00	0.00	In Planning Stages
H Tubman Estates	Repl All 2 Handled Faucets in Units (09662)	1460		40,625	40,625	0.00	0.00	In Planning Stages
	Total 41-35			156,075	156,075	0.00	0.00	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-38	Architectural Fees (01902)	1430		10,553	10,553	10,553.50	10,553.50	In Planning Stages
Lena Gantt Estates	Landscaping/Site Work (00551)	1450		20,000	20,000	0.00	0.00	In Planning Stages
	Repl All 2 Handled Faucets in Units (09662)	1460		24,000	24,000	0.00	0.00	In Planning Stages
	Total 41-38			54,553	54,553	10,553.50	10,553.50	
41-39	Landscaping/Site Work (00551)	1450		10,000	10,000	0.00	0.00	In Planning Stages
Jonathan Child Apts.	Wall Repair of Common Areas (00751)	1470		5,000	5,000	0.00	0.00	In Planning Stages
	Replace Dining Room floor (00750)	1470		4,000	4,000	0.00	0.00	In Planning Stages
	Total 41-39			19,000	19,000	0.00	0.00	
41-40	Resurface/Stripe Parking Areas (00601)	1450		150,480	150,480	0.00	0.00	In Planning Stages
Blackwell Estates	Replace Roofs (00753)	1460		250,000	225,663	0.00	0.00	In Planning Stages
	Rpl Flooring in Halls/Common Areas (00750)	1470		40,000	40,000	0.00	0.00	In Planning Stages
	Total 41-40			440,480	416,143	0.00	0.00	
41-ZZ	CFP to Operations	1406		271,437	271,437	0.00	0.00	In Planning Stages
Authority Wide	Security for Hi-Rises/Family Projects (01027)	1408		880,821	880,821	0.00	0.00	In Planning Stages
	General Administrative Costs (01027)	1410		440,410	440,410	0.00	0.00	In Planning Stages
	Program Audit	1411		5,000	5,000	0.00	0.00	In Planning Stages
	Total 41-ZZ			1,597,668	1,597,668	0.00	0.00	
	Total 501.06 Grant			4,404,107	4,404,107	304,441.20	281,089.20	

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/07		Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	0	0	0.00	0.00
3	1408 Management Improvements Soft Costs	0	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	0	0	0.00	0.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	0	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	0	0	0.00	0.00
10	1460 Dwelling Structures	0	0	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	0	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	90,552	90,552	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	90,552	90,552	0.00	0.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Athony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06R04150106 Replacement Housing Factor Grant No:	Federal FY of Grant: 2006
--	---	---------------------------

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41--61	Development Activities (XXXX)	1499		90,552	90,552	0.00	0.00	In Planning Stages
	Total 41-61			90,552	90,552	0.00	0.00	

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150206 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/07 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	0	0	0.00	0.00
3	1408 Management Improvements Soft Costs	0	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	0	0	0.00	0.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	0	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	0	0	0.00	0.00
10	1460 Dwelling Structures	0	0	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	0	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	6,732	6,732	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	6,732	6,732	0.00	0.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Athony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150206 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-64	Development Activities (XXXX)	1499		6,732	6,732	0.00	0.00	In Planning Stages
	Total 41-64			6,732	6,732	0.00	0.00	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part III: Implementation Schedule

PHA Name: ROCHESTER HOUSING AUTHORITY				Grant Type and Number Capital Fund Program Grant No: NY06R04150206 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
All Developments	07/17/08			07/17/10			

**ROCHESTER HOUSING
AUTHORITY
TENANT PROFILE**

One South Washington Street
Suite 400
Rochester, NY 14614

100 State Street
Suite 330
Albany, NY 12207

May, 2007
www.cgr.org



*Research to drive informed decisions.
Expertise to create effective solutions.*

ROCHESTER HOUSING AUTHORITY TENANT PROFILE

Prepared for:
Rochester Housing Authority

Vicki Brown
Project Director

One South Washington Street
Suite 400
Rochester, NY 14614
Phone: (585) 325-6360
Fax: (585) 325-2612

100 State Street
Suite 330
Albany, NY 12207
Phone: (518) 432-9428
Fax: (518) 432-9489

www.cgr.org

May, 2007

© Copyright CGR Inc. 2007 All Rights Reserved

ROCHESTER HOUSING AUTHORITY

TENANT PROFILE

May, 2007

SUMMARY

This tenant profile, the first ever compiled for the Rochester Housing Authority (RHA), was developed by the Center for Governmental Research Inc. (CGR) using RHA and Census data. The profile shows:

- ❖ RHA's total tenant population in 2007 is nearly 22,000, 52% higher than in 1999.
- ❖ Nearly 15,000¹ (68%) reside in the City of Rochester, 6,800 (31%) in the 5-county region outside the City of Rochester, and about 200 (1%) outside New York State.
- ❖ 20% of all active RHA tenants live in Public Housing owned and operated by RHA and 80% receive housing assistance through the Section 8 voucher program.
- ❖ Dramatic growth in the Section 8 population (from 9,700 in 1999 to 17,600 this year) has fueled the overall increase in tenant

¹ All 4,403 active Public Housing tenants and 10,533 of the active Section 8 tenants reside in the City of Rochester for a total of 14,936. This count includes the 14610 zip code, which is a Rochester/Brighton zip code, however, mapping addresses shows the vast majority in this zip code reside in the City. Census estimates based on the 2005 American Community Survey (ACS) are limited and are not part of this profile, but CGR points out that the 2005 ACS estimate for individuals living in households in Rochester (not counting those in institutions, college dorms, and other group quarters) is 189,312. Thus, RHA currently subsidizes housing for about 8% of residents living in City households.

numbers. By contrast, Public Housing population numbers fell to 4,400 this year, down from 4,800 in 1999.

- ❖ The proportion of the total population that is female (63%) and the proportion that is elderly (12%), which RHA defines as age 62 and up, are exactly the same today as they were in 1999. The proportion of households headed by females (82%) is also the same.
- ❖ The number of children under age 18 in the RHA tenant population has increased by more than 2,600 (39%) to a current total of 9,400. The overall proportion of tenants under age 18, however, is lower today – 43% versus 47% in 1999.
- ❖ Of the 9,400 children under age 18, about 7,800 (83%) live in Section 8 households in the 5-county region (most of them in the City of Rochester); 1,500 (16%) in Public Housing in Rochester; and 100 (1%) outside New York State.
- ❖ Today about two-thirds of active tenants are black; one-third are white. Since 1999, the number of black tenants has increased 37%; and the number of white tenants has grown 93%.²
- ❖ The number of Hispanic tenants today is about double the number in 1999, with Hispanics now accounting for 18% of the total tenant population (up from 14% in 1999).
- ❖ The proportion of heads of households who are unemployed (based on reporting zero income from wages) is the same today (68%) as it was in 1999. Today females head nearly 7,800 households and 5,000 (64%) are unemployed. Males now head nearly 1,800 households and 1,500 (85%) are unemployed.

² CGR notes that in 2007 more than 1 race can be listed for a tenant. RHA active tenants in 2007 total 21, 976, but data on race are provided for 22,080 tenants (a difference of 104).

TABLE OF CONTENTS

Summary	i
Table of Contents	iii
Acknowledgments	v
Introduction	1
Part 1: Section 8	2
Section 8 in 1999: 9,667 Tenants	2
Overall Key Findings.....	2
Sources of Income	3
Information on Employment.....	4
Tenants Who Were Working in 1999	4
Total Households: 3,697	5
Household Rent	5
Housing Assistance Payments	5
Utility Allowances.....	5
Average Rent by Number of Bedrooms	6
Heads of Households	6
Other Household Members.....	7
Miscellaneous	7
Section 8 in 2007: 17,573 Tenants.....	7
Overall Key Findings.....	7
Sources of Income	8
Information on Employment.....	9
Total Households: 7,176	9
Household Rent	9
Housing Assistance Payments	10
Utility Allowances.....	10
Average Rent by Number of Bedrooms	10
Heads of Households	11
Other Household Members.....	11
Miscellaneous	12
Move-In Dates.....	12
Impact of "Portability"	12
Citizen Status	12
Section 8: Comparing 2007 with 1999.....	12
Part 2: Public Housing	15
Public Housing in 1999: 4,830 Tenants.....	15

Overall Key Findings	15
Sources of Income	16
Information on Employment.....	17
Total Households: 2,428	17
Household Income	17
Household Rent	18
Utility Allowances.....	18
Move-In Dates.....	18
Heads of Households.....	19
Other Household Members.....	19
Miscellaneous	20
Public Housing in 2007: 4,403 Tenants.....	20
Overall Key Findings.....	20
Sources of Income	21
Information on Employment.....	22
Total Households: 2,378	22
Household Income	22
Household Rent	22
Utility Allowances.....	23
Move-In Dates.....	23
Heads of Households.....	24
Other Household Members.....	24
Public Housing: Comparing 2007 with 1999	25
Part 3: RHA Tenant Profile.....	28
Part 4: Section 8 in 2007 – Maps.....	31
Part 5: Data Tables	37
Appendix A: Section 8.....	37
Section 8 1999 Tenant Master File	37
Section 8 1999 Household Master File	42
Section 8 2007 Tenant Master File	43
Section 8 2007: Household Master File.....	46
Appendix B: Public Housing.....	48
Public Housing 1999: Tenant Master File	48
Public Housing 1999: Household Master File.....	52
Public Housing 2007: Tenant Master File	53
Public Housing 2007: Household Master File.....	56

ACKNOWLEDGMENTS

CGR appreciates the support of Sharlene LeRoy, Senior Director of Operations and Chief Financial Officer of the Rochester Housing Authority, who initiated this project. In addition, we are grateful for the significant assistance provided by John Mooney, MIS coordinator, who provided agency data files and answered our questions.

Staff Team

Vicki Brown, Senior Research Associate, directed this project for CGR, analyzed the data tables and wrote the tenant profile. Katherine McCloskey, Research and Technical Assistant, conducted the statistical research and created the data tables.

INTRODUCTION

In early 2007 the Rochester Housing Authority (RHA) asked the Center for Governmental Research (CGR) to assist the agency in creating its first-ever tenant profile. CGR analyzed RHA data for Section 8 and Public Housing for 1999 (historical information) and for 2007 (information on active tenants). In addition, CGR compared key data with Census 2000 data for Monroe County and the City of Rochester.

This profile is divided into four parts to allow users easy access to information, as needed. The various parts can be read in any order or used independently, depending on user need.

- **Part 1 – Section 8:** detailed findings in narrative format for 1999 and 2007, and CGR analysis based on a comparison of the 2 years.
- **Part 2 – Public Housing:** detailed findings in narrative format for 1999 and 2007, and CGR analysis based on a comparison of the 2 years.
- **Part 3 – RHA Tenant Profile:** in statistical format, key data for 1999 (all tenants), 2007 (all tenants), and comparable Census 2000 data for Monroe County and Rochester.
- **Part 4 – Section 8 2007 Maps:** the first map shows where Section 8 active tenants reside, and the second where tenants under age 18 reside. (Note: since all RHA Public Housing is in the City of Rochester, CGR did not develop comparable maps for this program.)
- **Part 4 – Data tables:** Appendix A) Section 8 and Appendix B) Public Housing.

PART 1: SECTION 8

Section 8 in 1999: 9,667 Tenants

The Section 8 Housing Choice Voucher Program helps low-income families rent in the private rental market. Families receiving Section 8 vouchers from RHA find their own housing – anywhere in Monroe, Wayne, Ontario, Livingston and Orleans counties from approved landlords – and pay a portion of their monthly income toward shelter. A small portion of families approved by RHA for Section 8 have used the “portability” feature of the program to move to other places in the U.S.

Overall Key Findings

- Section 8 total tenants – 9,667
- 64% (6,172) female; 36% (3,493) male³
- 73% (7,040) black; 26% (2,524) white; <1% (2) Asian; <1% (6) Native American; 1% (90) other⁴
- 11% (1,070) Hispanic; 89% (8,589) non-Hispanic⁵
- The 1999 age breakdown:
 - 51% (4,918) - children under age 18
 - 19% (1,791) – ages 18 to 35
 - 16% (1,580) – ages 35 to 50
 - 7% (691) – ages 50 to 65
 - 7% (687) – ages 65 and up

³ Gender not listed for 2 tenants

⁴ Race data missing for 5 tenants

⁵ Hispanic/non-Hispanic unknown for 8 tenants

- Two age groups within the above breakdown predominated: a) ages 10-14, accounted for 18% (1,777) of the total population, and b) ages 5-9, accounted for 17% (1,609) of the total population
- 8% (769) were elderly⁶ and 15% (1,415) handicapped
 - 69% of the elderly tenants (531) were handicapped

Sources of Income

Five different categories of income were tracked in 1999 – employment, pension, public assistance, income from assets, and other income. Of the total Section 8 population – and noting there was overlap in the five categories – CGR analysis shows:

- 15% (1,418) had income from wages
- 12% (1,135) had income from pensions (a category that in 1999 also included Social Security and Supplemental Security Income or SSI)
- 12% (1,114) received public assistance
- 3% (314) had income from assets⁷
- 19% (1,864) had other income

Table 1 shows the income breakdown by category for the total tenant population.

⁶ RHA defines elderly as 62 or older

⁷ 27 tenants reported assets valued in excess of \$20,000, with 7 having assets valued between \$50,000 and \$90,000

Table 1: Sources of Annual Income – 1999 Section 8 Tenants

	Wages		Pension		Public Assistance		Asset Income		Other Income	
	#	%	#	%	#	%	#	%	#	%
None	8,249	85%	8,532	88%	8,553	88%	9,353	97%	7,803	81%
\$1-\$9,999	494	5%	985	10%	1,089	11%	314	3%	1,780	18%
\$10,000-\$19,999	786	8%	150	2%	25	0%	0	0%	84	1%
\$20,000-\$34,999	138	1%	0	0%	0	0%	0	0%	0	0%
\$35,000 and up	0	0%	0	0%	0	0%	0	0%	0	0%
Total Tenants	9,667		9,667		9,667		9,667		9,667	

Source: Rochester Housing Authority

Note: In 1999 RHA included Social Security and Supplemental Security Income (SSI) with Pension income. In 2007, RHA tracks all three separately.

Information on Employment

Of the total population, 54% (5,234) had no employment status indicated. CGR analysis indicates these were primarily youth under age 18 and elderly tenants. Overall data show:

- 13% of the total population (1,258) were employed
- 33% of the total population (3,175) were unemployed

Tenants Who Were Working in 1999

Of the employed tenants:

- 51% (646) held blue collar jobs
- 24% (308) held clerical jobs
- 23% (285) were professionals
- <1% (1) held a government job
- 1% (18) were assumed employed, but type of employment unknown⁸

⁸ CGR assumed for purposes of analysis that a code in the employment data that did not have a corresponding RHA definition still indicated employment, since "unemployment" and "no employment" status was clearly defined.

<i>Total Households:</i> <i>3,697</i>	There were 3,697 Section 8 households in 1999. Of these households:
Household Rent	<ul style="list-style-type: none">• 12% (443 households) paid total rent of up to \$400 a month• 84% (3,102 households) paid total rent of at least \$400 but less than \$700 a month• 4% (152 households) paid total rent between \$700 - \$1,000 a month
Housing Assistance Payments	<p>The majority (96%) of Housing Assistance Payments (HAP) paid by RHA were in two categories:</p> <ul style="list-style-type: none">• 57% (2,130 households) received \$100 to \$400 a month• 39% (1,444 households) received \$400 to \$700 a month <p>The remaining monthly HAP payments were as follows: a) no HAP payments – 1% (23 households); b) up to \$99 – 2% (80 households); c) \$700 to \$800 – 1% (20 households)</p>
Utility Allowances	<p>The majority of households (83%) received utility allowances from RHA, and payments fell in one of two categories:</p> <ul style="list-style-type: none">• 35% (1,298 households) received up to \$99 a month• 48% (1,771 households) received \$100 to \$235 a month

Average Rent by Number of Bedrooms

Table 2 shows the configuration of Section 8 rental units in 1999.

Table 2: Section 8 Rental Units in 1999

Bedrooms	Average Rent	# of Units	% Total
0	\$398	58	2%
1	\$437	1,227	33%
2	\$512	1,015	28%
3	\$604	1,137	31%
4	\$641	216	6%
5	\$669	37	1%
6	\$789	7	0%
Total		3,697	

Source: Rochester Housing Authority

Heads of Households

Information was available on 3,681 heads of households, who together comprised 38% of the total population.⁹ Of this group:

- 87% (3,193) of the heads of households were female; 13% (487) were male¹⁰
- 65% (2,383) of all heads of households were unemployed (reported no income from wages)
 - 61% (1,948) of the female heads of households were unemployed
 - 89% (434) of the male heads of households were unemployed

⁹ RHA maintains two data files on Section 8 tenants, which CGR refers to in this profile as tenant and household files. Information on households and information on heads of households were derived from different files. CGR was unable to determine from the available data why the number of heads of households (3,681) was less than the number of households (3,697).

¹⁰ Gender of 1 head of household unknown

Other Household Members

In 1999, there were 5,986 Section 8 tenants who were not heads of households. Of this group:¹¹

- 86% (5,127) were children of heads of households
- 7% (406) were other family members under age 18
- 4% (263) were spouses
- 2% (103) were other family members age 18 or older
- 1% (64) were foster children
- <1% (21) were not related to the head of household

Miscellaneous

Marital status information was tracked by RHA in 1999 (but not in 2007).¹² For 1999, for the 4,138 tenants where marital status was listed: 73% (3,036) single; 10% (424) married; 6% (257) divorced; 6% (233) separated; 4% (155) widowed; <1% (8) common law spouse; <1% (5) legally separated; and <1% (3) other.

Section 8 in 2007: 17,573 Tenants

The Section 8 tenant population grew by about 7,900 tenants between 1999 and early 2007. The key findings for active tenants:

Overall Key Findings

- Section 8 total tenants in 2007 – 17,573
- 64% (11,256) female; 36% (6,317) male
- 65% (11,407) black; 35% (6,083) white; 1% (84) Asian; <1% (52) Native American; ,<1% (29) Pacific Islander¹³

¹¹ Relationship to the head of household – missing data for 2 tenants

¹² Marital status unknown for 17 tenants, due to incorrect codes in the data. The majority of tenants (5,529) did not have marital status codes indicated and likely were primarily children under age 18.

¹³ Note that data are for 17,655 tenants. In 2007, tenants are able to list more than one race.

- 16% (2,851) of current Section 8 tenants are Hispanic; 84% (14,722) are non-Hispanic
- The 2007 age breakdown:
 - 45% (7,912) - children under age 18
 - 22% (3,783) – ages 18 to 35
 - 16% (2,853) – ages 35 to 50
 - 9% (1,659) – ages 50 to 65
 - 8% (1,366) – ages 65 and up
 - Three age groups within the above breakdown predominate¹⁴: a) ages 10-14, comprise 14% (2,470) of the total population, b) ages 5-9, comprise 14% (2,402), and c) ages 15-17 nearly 10% (1,715)
- 9% (1,609) are elderly
- Information on tenants with handicaps is unavailable ¹⁵

Sources of Income

Six different categories of income are tracked in 2007 – employment, pension, public assistance, Social Security, SSI, and income from assets. CGR analysis shows:

- 19% (3,312) receive public assistance
- 17% (3,018) receive SSI payments
- 16% (2,836) have income from wages
- 14% (2,435) receive Social Security payments

¹⁴ Predominant = account for about 10% or more of the total population

¹⁵ Legal regulations changed prior to 2007 – impacting information that could be requested regarding disabilities

- 4% (681) have income from assets¹⁶
- 2% (347) have income from pensions

Table 3 shows the income breakdown by category for the total tenant population.

Table 3: Sources of Annual Income – 2007 Section 8 Tenants

	Wages		Pension		Public Assistance		Social Security Income		SSI		Asset Income	
	#	%	#	%	#	%	#	%	#	%	#	%
None	14,737	84%	17,226	98%	14,261	81%	15,138	86%	14,555	83%	16,892	96%
\$1-\$9,999	986	6%	324	2%	3,308	19%	1,758	10%	2,950	17%	680	4%
\$10,000-\$19,999	1,215	7%	21	0%	4	0%	672	4%	68	0%	1	0%
\$20,000-\$34,999	626	4%	2	0%	0	0%	5	0%	0	0%	0	0%
\$35,000 and up	9	0%	0	0%	0	0%	0	0%	0	0%	0	0%
Total Tenants	17,573		17,573		17,573		17,573		17,573		17,573	

Source: Rochester Housing Authority

Information on Employment

Note: 2007 information directly comparable to the 1999 data on employment status (i.e., number and percent of total tenant population employed and unemployed), and the type of employment for tenants who work (e.g., blue collar, clerical, professional jobs) cannot be determined from available data. Employment status of the 2007 Section 8 heads of households, however, is known (*see later section "Heads of Households"*).

Total Households: 7,176

There were 7,176 Section 8 households in 2007. Of these households:

Household Rent

- <1% (15 households) paid no rent
- 2% (126 households) paid rents of up to \$400 a month

¹⁶ 118 tenants report assets valued in excess of \$20,000. The breakdown: \$20,000 to \$30,000 (35); \$30,000 to \$50,000 (39); \$50,000 to \$80,000 (24); \$80,000 to \$100,000 (6); \$100,000 to \$180,000 (10); \$200,000 to \$340,000 (3); \$1 million (1).

- 78% (5,611 households) paid rent of at least \$400 but less than \$700 a month
- 20% (1,403 households) paid rent of at least \$700 but less than \$1,000 a month
- <1% (21 households) paid between \$1,000 and \$1,300 a month

Housing Assistance Payments As was true in 1999, the HAP that RHA pays (93%) fall into two categories:

- 59% (4,249 households) receive \$100 to \$400 a month
- 34% (2,470 households) receive \$400 to \$700 a month

The remaining monthly HAP payments are as follows: a) no HAP payments – 1% (89 households); b) up to \$99 – 3% (197 households); c) \$700 to \$1,000 – 2% (164 households); and d) \$1,000 - \$1,300 – <1% (7 households).

Utility Allowances

The majority of households (89%) receive utility allowances, and payments fall in one of two categories:

- 30% (2,114 households) receive up to \$99 a month
- 59% (4,239 households) receive \$100 to \$366 a month

Average Rent by Number of Bedrooms

Table 4 shows the configuration of Section 8 rental units today.

Table 4: Section 8 Rental Units in 2007

Bedrooms	Average Rent	# of Units	% Total
0	\$451	88	1%
1	\$540	2,259	31%
2	\$606	2,302	28%
3	\$678	2,043	28%
4	\$700	430	6%
5	\$745	44	1%
6	\$784	9	0%
7	\$1,021	1	0%
Total		7,176	

Heads of Households

Information is available on 7,175 heads of households, who together comprise 41% of the total population.¹⁷

- 85% (6,121) of the heads of households are female; 15% (1,054) are male
- 67% (4,777) of all heads of households are unemployed (based on reporting zero income from wages)
 - 64% (3,903) of the female heads of households are unemployed
 - 83% (874) of the male heads of households are unemployed

Other Household Members

In 2007, there are 10,398 Section 8 tenants who are not heads of households. Of this group:

- 80% (8,307) are other family members under age 18
- 9% (928) are other family members age 18 or older
- 5% (467) are spouses
- 4% (447) are fulltime students age 18 or older
- 2% (154) are disabled individuals age 18 years or older
- <1% (71) are co-heads of households
- <1% (22) are foster children
- <1% (2) are not related to the heads of households

¹⁷ Information on households (7,176) and information on heads of households (7,175) derived from different RHA data files.

Miscellaneous

The following Section 8 information was not available for 1999 households, but is known for 2007 households:

Move-In Dates

The 7,176 households moved to their current locations:

- 2% (119) prior to 1990
- 25% (1,777) between 1990-1999
- 55% (3,975) between 2000-2005
- 18% (1,305) in 2006 or the early weeks of 2007

Impact of “Portability”

Some families use the “portability” feature of the Section 8 voucher program to move to other places in the U.S. Of the nearly 17,600 active RHA Section 8 tenants, 193 currently live outside New York State. A total of 92 tenants – approved for Section 8 by outside agencies – have “ported in” and now reside in the 5-county Rochester region.¹⁸

Citizen Status

Nearly 99% (17,327) of the active Section 8 tenant population are “eligible citizens” and 1% (242) are “eligible non-citizens.”¹⁹

Section 8: Comparing 2007 with 1999

- The Section 8 tenant population grew 82% between 1999 and 2007, from 9,667 to 17,573.
- The percentages of female tenants (64%) and male tenants (36%) are the same today as they were in 1999.
- The number of black tenants today is up 4,367 (62%), and the number of white tenants is up 3,559 (141%) since 1999.²⁰

¹⁸ The agency that authorized a Section 8 voucher is responsible for any subsidy payments, but all processing (e.g., move-in, recertification) is handled by the agency located where the tenants reside.

¹⁹ Citizen status was tracked in 1999 but accurate results cannot be determined by CGR from available data

²⁰ Note: In 2007 tenants can indicate more than one race. For 1999, only one race per tenant appears in data files. Thus, comparison is not exact.

Black tenants now account for a smaller share of the Section 8 population (about two-thirds of the total, down from roughly three-quarters in 1999). White tenants now account for a larger share – increasing from about one-quarter of the total in 1999 to more than one-third of the Section 8 population today.

- The proportion of Section 8 tenants that was Hispanic was 11% in 1999 and is 16% today. Due to the growth in the Section 8 total tenant population, actual numbers of Hispanic tenants have nearly tripled, from just over 1,000 tenants in 1999 to roughly 3,000 in 2007.
- Children under age 18 comprise a smaller proportion of the total population today than they did in 1999 (45%, down from 51% in 1999).
- While there were two predominant age groups in 1999 (ages 10-14 and ages 5-9), by 2007 a third group emerged as significant (ages 15-17).²¹ The 10-14 and 5-9 age groups, however, now account for smaller shares of the total population compared with 1999.
- The proportion of the Section 8 population that is elderly (i.e., age 62 or older) is essentially unchanged (9%, compared with 8% in 1999).
- The proportion of tenants who receive public assistance is up significantly (19%, compared with 12% in 1999). The proportion with income from employment is about the same (16%, compared with 15% in 1999).

²¹ Significant = any age group representing 10% or more of the total population

- Based on available information, the number of Section 8 households increased 94% between 1999 and 2007, from 3,697 to 7,176 households.
- The largest proportion of 2007 Section 8 households (78%) pay rent of at least \$400 but less than \$700. (Note: in 1999, 84% of households paid rents in this range.)
 - 98% of all Section 8 households currently pay more than \$400 a month in rent today, compared with 88% in 1999. The biggest change is among the proportion of households paying monthly rents of at least \$700 but less than \$1,000. Today 20% are in this range, compared with 4% in 1999.
- The proportion of HAP in the \$100 to \$400 range is almost the same today as it was in 1999, but the proportion of households receiving HAP payments of \$400 to \$700 a month has dropped since 1999. Data show:
 - 59% of households today (versus 57% in 1999) receive HAP of \$100 to \$400 a month
 - 34% of households today (versus 39% in 1999) receive HAP of \$400 to \$700 a month
- Today, compared with 1999, more households are receiving utility allowances (89% versus 83%).
- 87% of Section 8 rental units today rent for \$540 to about \$680 a month. By comparison, 92% of Section 8 rental units in 1999 were between \$435 and approximately \$600 a month.
- The proportion of Section 8 heads of households who are unemployed (67%) is about the same as in 1999 (65%).
 - 64% of female heads of households are unemployed today, compared with 61% in 1999. With the increased size of the Section 8 tenant population, the actual

number doubled (1,948 to 3,903) between 1999 and 2007.

- 83% of male heads of households are unemployed today versus 89% in 1999. In actual numbers, this group also doubled in size (434 to 874) from 1999 to 2007.
- The number of foster children in the Section 8 tenant population is small, and is about one-third as large today (22) as it was in 1999 (64).

PART 2: PUBLIC HOUSING

Public Housing in 1999: 4,830 Tenants

RHA owns and operates housing units – apartments in high rises, complexes and townhomes – for lower-income families, older individuals, and disabled or handicapped residents. The units are located throughout the City of Rochester.

Overall Key Findings

- Public Housing total tenants – 4,830
- 62% (3,003) female; 38% (1,826) male²²
- 70% (3,400) black; 29% (1,385) white; <1% (38) Asian; <1% (4) Native American; <1% (1) other²³
- 19% (911) Hispanic; 81% (3,917) non-Hispanic²⁴
- The 1999 age breakdown:

²² Gender not listed for 1 tenant

²³ Race data missing for 2 tenants

²⁴ Hispanic/non-Hispanic unknown for 2 tenants

- 38% (1,856) - children under age 18
- 17% (821) – ages 18 to 35
- 13% (648) – ages 35 to 50
- 14% (673) – ages 50 to 65
- 17% (832) – ages 65 and up
- Two age groups within the above breakdown were significant, together accounting for one-quarter of the tenant population: a) ages 5-9, accounted for 13% (648) and b) ages 10-14, accounted for 12% (571) of the total population.
- 19% (924) were elderly (age 62 or older); and 23% (1,123) handicapped
 - 53% of the elderly tenants (491) were handicapped
- 1% (23) were eligible non-citizens

Sources of Income

Five different categories of income were tracked in 1999 – employment, pension, public assistance, income from assets, and other income. Of the total Public Housing population – and noting there was overlap in the five categories – CGR analysis shows:

- 16% (767) had income from wages
- 35% (1,669) had income from pensions (Social Security and SSI were also included in this category in 1999)
- 12% (566) received public assistance
- 3% (141) had income from assets²⁵

²⁵ 26 tenants reported assets valued in excess of \$20,000, with 4 having assets valued between \$50,000 and \$70,000

- 11% (50) had other income

Table 5 shows the income breakdown by category for the total tenant population.

Table 5: Sources of Annual Income – 1999 Public Housing Tenants

	Wages		Pension		Public Assistance		Asset Income		Other Income	
	#	%	#	%	#	%	#	%	#	%
None	4,063	84.1%	3,161	65.4%	4,264	88.3%	4,689	97.1%	4,280	88.6%
\$1-\$9,999	245	5.1%	1,449	30.0%	533	11.0%	141	2.9%	528	10.9%
\$10,000-\$19,999	428	8.9%	217	4.5%	33	0.7%	0	0.0%	21	0.4%
\$20,000-34,999	94	1.9%	3	0.1%	0	0.0%	0	0.0%	1	0.0%
\$35,000 and up	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Total Tenants	4,830		4,830		4,830		4,830		4,830	

Source: Rochester Housing Authority

Note: In 1999 RHA included Social Security and Supplemental Security Income (SSI) with Pension income. In 2007, RHA tracks all three separately.

Information on Employment

Of the total population, 50% (2,402) had no employment status indicated. CGR analysis indicates these were primarily youth under age 18, elderly or handicapped tenants. Available data indicate:²⁶

- 13% of the total population (634) were employed
- 37% of the total population (1,794) were unemployed

Total Households: 2,428

There were 2,428 Public Housing households in 1999. Of these households, data show:

Household Income

- 3% (74 households) – up to \$5,000
- 50% (1,209 households) – \$5,000 up to \$10,000

²⁶ Information on tenants who were working in 1999 (e.g., blue collar, clerical, professional) is incomplete for Public Housing tenants

	<ul style="list-style-type: none"> • 39% (939 households) – \$10,000 up to \$20,000 • 8% (195 households) -- \$20,000 up to \$30,000 • <1% (11 households) – \$30,000 up to \$40,200
Household Rent	<p>In 1999, Public Housing rents ranged from zero to \$876 a month. The breakdown:</p> <ul style="list-style-type: none"> • 1% (17 households) paid no rent • 9% (208 households) paid up to \$100 • 44% (1,065 households) paid \$100 up to \$200 • 30% (716 households) paid \$200 up to \$300 • 11% (264 households) paid \$300 up to \$400 • 5% (111 households) paid \$400 up to \$500 • 2% (47 households) paid \$500 or more
Utility Allowances	<p>More than half of all households (56%) received monthly utility allowances.</p> <ul style="list-style-type: none"> • 34% (820 households) received allowances in the \$20 up to \$100 range • 22% (533 households) received allowances in the \$100 to \$180 range
Move-In Dates	<p>The move-in dates²⁷ showed, as of 1999, how long households had been in their Public Housing units:</p> <ul style="list-style-type: none"> • <1% (10 households) since the 1960s

²⁷ Move-in dates unknown for 5 households

- 4% (86 households) since the 1970s
- 13% (303 households) since the 1980s
- 74% (1,783 households) moved in between 1990 and the end of 1998
- 10% (241 households) moved in during 1999

Heads of Households

Information for 2,417 heads of households in 1999 was tracked²⁸ – and they comprised 50% of the total Public Housing population.

- 76% (1,837) of the heads of households were female; 24% (580) male
- 72% (1,735) of all heads of households were unemployed (based on reporting zero income from wages)
 - 66% (1,216) of the female heads of households were unemployed
 - 89% (519) of the male heads of households were unemployed

Other Household Members

In 1999, there were 2,413 Public Housing tenants who were not heads of households. Of this group²⁹

- 84% (2,021) were children of heads of households
- 7% (170) were other family members under age 18

²⁸ RHA tracks Public Housing data in two files: a) tenant and b) household. Information on households and information on heads of households were derived from different files. CGR was unable to determine from the available data why the number of heads of households (2,417) was less than the number of households (2,428).

²⁹ Relationship to the head of household – no information available for 1 tenant

- 6% (135) were spouses
- 3% (68) were other family members age 18 or older
- <1% (9) were foster children
- <1% (9) were not related to the head of household

Miscellaneous

Marital status information was tracked in 1999 (but not in 2007). For 1999, the marital status³⁰ of *all* Public Housing tenants was: 8% (372) married; 5% (250) divorced; 4% (193) separated; 2% (104) widowed; <1% (13) common law spouse; <1% (6) legally separated; and 2% (107) other. (Note: the remaining 78% of the Public Housing population (3,766 tenants) were “single” but this category included both adults and children.)

Public Housing in 2007: 4,403 Tenants

There are 4,403 active Public Housing tenants in 2007 – 427 fewer tenants than the RHA Public Housing program served in 1999. Data show:

Overall Key Findings

- 60% (2,630) female; 40% (1,773) male
- 67% (2,939) black; 33% (1,445) white; <1% (27) Asian; <1% (10) Native American; <1% (4) Pacific Islander³¹
- 25% (1,094) Hispanic; 75% (3,309) non-Hispanic
- The 2007 age breakdown:
 - 34% (1,496) - children under age 18
 - 18% (798) – ages 18 to 35

³⁰ Marital status of 19 tenants unknown from available data

³¹ Tenants in 2007 can indicate more than one race, thus there is a difference between the total population (4,403 tenants) and data on race (4,425 tenants)

- 12% (518) – ages 35 to 50
- 19% (815) – ages 50 to 65
- 18% (776) – ages 65 and up
- Two age groups within the above breakdown are significant, together accounting for nearly one-fifth of the active Public Housing tenants: a) ages 5-9, account for 10% (455) and b) ages 10-14, account for 9% (412) of the total population.
- 22% (958) are elderly (age 62 or older)
- Information on tenants with handicaps is unavailable³²
- All tenants are citizens³³

Sources of Income

Six different categories of income are tracked in 2007 – employment, pension, public assistance, income from assets, and Social Security income and SSI. Of the total Public Housing population – and noting there is overlap in the six categories – CGR analysis shows:

- 18% (788) have income from wages
- 6% (276) have income from pensions
- 6% (283) receive public assistance
- 2% (102) have income from assets³⁴
- 28% (1,232) have Social Security income

³² Legal regulations changed prior to 2007 – impacting information that could be requested regarding disabilities.

³³ Beside the word “citizen” in RHA’s database every tenant is listed as a “yes”

³⁴ The value of assets is not known from available data

- 22% (949) have SSI income

Table 6 shows the income breakdown by category for the total tenant population.

Table 6: Sources of Annual Income – 2007 Public Housing Tenants

	Wages		Pension		Public		Social Security		SSI		Asset Income	
	#	%	#	%	#	%	#	%	#	%	#	%
None	3,615	82%	4,127	94%	4,120	94%	3,171	72%	3,454	78%	4,301	98%
\$1-\$9,999	233	5%	245	6%	277	6%	820	19%	928	21%	102	2%
\$10,000-\$19,999	339	8%	29	1%	6	0%	410	9%	20	0%	0	0%
\$20,000-\$34,999	196	4%	2	0%	0	0%	1	0%	1	0%	0	0%
\$35,000 and up	20	0%		0%	0	0%	1	0%	0	0%	0	0%
Total Tenants	4,403		4,403		4,403		4,403		4,403		4,403	

Source: Rochester Housing Authority

Information on Employment

Note: 2007 information directly comparable to the 1999 data on employment status (i.e., number and percent of total tenant population employed and unemployed) is not available. Employment status of the 2007 Public Housing heads of households, however, is known (*see later section "Heads of Households"*).

Total Households: 2,378

There are 2,378 Public Housing households in 2007. Of these households, data show:

Household Income

- 47% (1,110 households) – up to \$10,000 (with 17 of these households having no family income)
- 37% (874 households) – \$10,000 up to \$20,000
- 12% (287 households) – \$20,000 up to \$30,000
- 3% (71 households) – \$30,000 up to \$40,000
- 1% (22 households) -- \$40,000 up to \$50,00
- <1% (14 households) – \$50,000 up to \$95,200

Household Rent

In 2007, Public Housing rents range from zero to \$800 a month. The breakdown:

- 2% (40 households) pay no rent
- 12% (295 households) pay up to \$100
- 29% (690 households) pay \$100 up to \$200
- 32% (760 households) pay \$200 up to \$300
- 13% (308 households) pay \$300 up to \$400
- 7% (177 households) pay \$400 up to \$500
- 5% (108 households) pay \$500 or more

Utility Allowances

Only 6% (152 households) receive monthly utility allowances.

- 1% of Public Housing households (28) receive up to \$50
- 5% of Public Housing households (124) receive between \$50 and \$200

Move-In Dates

The move-in dates show how long households have been in their Public Housing units:

- <1% (6 households) since the 1960s
- 1% (32 households) since the 1970s
- 6% (144 households) since the 1980s
- 31% (737 households) since the 1990s
- 45% (1073 households) between 2000 and the end of 2005³⁵
- 16% (386 households) in 2006 and the early weeks of 2007

³⁵ Of this group, 95 moved in during 2000; 136 in 2001; 97 in 2002; 208 in 2003; 268 in 2004; and 269 in 200

Heads of Households

Information for 2,373 heads of households in 2007 was tracked³⁶ – and they comprise 54% of the active Public Housing population.

- 70% (1,662) of heads of households are female; 30% (711) male
- 72% (1,718) of all heads of households are unemployed (based on reporting zero income from wages)
 - 66% (1,094) of the female heads of households are unemployed
 - 88% (624) of the male heads of households are unemployed

Other Household Members

In 2007, there are 2,030 Public Housing tenants who are not the heads of households. Of this group

- 77% (1,556) are family members under age 18 (including children of heads of households)
- 11% (219) are family members age 18 or older (not including any fulltime students or disabled individuals)
- 7% (131) are spouses
- 3% (70) are fulltime students age 18 or older
- 2% (39) are disabled individuals age 18 or older
- <1% (8) are co-heads of households
- <1% (5) are foster children

³⁶ RHA tracks Public Housing data in two files: a) tenant and b) household. Information on households and information on heads of households were derived from different files. CGR was unable to determine from the available data why the number of heads of households (2,373) was less than the number of households (2,378).

Public Housing: Comparing 2007 with 1999

- <1% (2) are not related to the head of household
- The total number of Public Housing tenants has dropped 9% since 1999, from 4,830 to 4,403.
- The percentage of female tenants is 60% today, just slightly lower than in 1999 when females accounted for 62% of the total.
- Blacks now appear to account for a smaller share of the Public Housing population (67%) – down from 70% in 1999 – while whites account for a larger share (33%) – up from 29% in 1999.³⁷
- Hispanics account for 1 out of every 4 tenants in Public Housing today, versus about 1 out of every 5 tenants in 1999.
- The significant changes related to the breakdown of ages in the Public Housing population are:
 - The under 18 population now accounts for a smaller share of the total – 34% versus 38% in 1999.
 - The two most significant age groups in 1999 are the same groups that are significant in 2007 but both account for smaller proportions of the total than they did in 1999: a) ages 5 to 9 – now 10%, down from 13%, and b) ages 10 to 14 – now 9%, down from 12%.
 - The 50-to-65 age group accounts for a larger overall proportion today – 19% versus 14% in 1999.

³⁷ Note: In 2007 tenants can indicate more than one race. For 1999, only one race per tenant appears in data files. Thus, comparison is not exact.

- The proportion of the overall population that RHA defines as elderly (age 62 or older) has grown – now 22%, up from 19% in 1999.
- The proportion of tenants who receive public assistance is down significantly (6%, compared with 12% in 1999). The proportion with income from employment has risen but not substantially (18%, compared with 16% in 1999).
- The number of Public Housing households dropped by 50 households (2%) between 1999 and 2007, from 2,428 to 2,378. A smaller proportion of households are now headed by females (70%, down from 76% in 1999).
- In terms of household income:
 - A smaller proportion have incomes under \$10,000 – 47% versus 53% in 1999
 - The proportion of households in the \$10,000 up to \$20,000 range has changed little – 37% versus 39% in 1999
 - A larger proportion of households have incomes in the \$20,000 to \$30,000 range – 12% versus 8% in 1999
 - The proportion of households with incomes of \$30,000 and above is now 4%. In 1999, <1% were in this range.
- In 2007, 75% of Public Housing monthly rents are under \$300, compared with 83% in 1999.
- Nearly 4 out of every 10 active Public Housing households moved into their locations 7 years or more ago. Analysis shows:
 - 8% of all active Public Housing households (182) moved in 18 or more years ago. (By rough

comparison, in 1999, 4% or 96 households had lived at their Public Housing locations 20 or more years.)

- 31% of all active Public Housing households (737) moved in 7 to 17 years ago. (By rough comparison, in 1999, 13% or 303 households had lived at their locations 10 to 20 years.)
- The proportion of heads of households who are unemployed (72%) has not changed since 1999.
- In 2007, 77% of the tenants who are not heads of households are family members under age 18 – compared with 91% in 1999.

PART 3: RHA TENANT PROFILE

Table 7: Rochester Housing Authority Tenant Profile: 1999 & 2007

With Census 2000 Comparables for Monroe County & City of Rochester

	1999			2007			Census 2000	
	Section 8	Public Housing	Total	Section 8	Public Housing	Total	Monroe County	City of Rochester
POPULATION	9,667	4,830	14,497	17,573	4,403	21,976	735,343	219,773
Gender								
# Female	6,172	3,003	9,175	11,256	2,630	13,886	381,016	114,690
% Female	64%	62%	63%	64%	60%	63%	52%	52%
# Male	3,493	1,826	5,319	6,317	1,773	8,090	354,327	105,083
% Male	36%	38%	37%	36%	40%	37%	48%	48%
Race								
# Black	7,040	3,400	10,440	11,407	2,939	14,346	101,078	84,717
% Black	73%	70%	72%	65%	67%	65%	14%	39%
# White	2,524	1,385	3,909	6,083	1,445	7,528	581,961	106,161
% White	26%	29%	27%	35%	33%	34%	79%	48%
# Other	98	43	141	165	41	206	52,304	28,895
% Other	1%	1%	1%	1%	1%	1%	7%	13%
Hispanic								
# Hispanic	1,070	911	1,981	2,851	1,094	3,945	39,065	28,032
% Hispanic	11%	19%	14%	16%	25%	18%	5%	13%
# under age 18	4,918	1,856	6,774	7,912	1,496	9,408	188,256	61,735
% of population	51%	38%	47%	45%	34%	43%	26%	28%
Handicapped	1,415	1,123	2,538	X	X	X	X	X
% of population	15%	23%	18%	X	X	X	X	X
Elderly (62+)	769	924	1,693	1,609	958	2,567	111,233	25,625
% of population	8%	19%	12%	9%	22%	12%	15%	12%
% of elderly are handicapped	69%	53%	60%	X	X	X	X	X
Employed*	1,253	634	1,887	X	X	X	351,605	91,927
% of population	13%	13%	13%	X	X	X	62%	56%
Unemployed*	3,175	1,794	4,969	X	X	X	22,543	10,431
% of population	33%	37%	34%	X	X	X	4%	6%
No employment status*	5,234	2,402	7,636	X	X	X	193,282	61,071
% of population	54%	50%	53%	X	X	X	34%	37%
Sources of Income - % of pop.								
Wages	15%	16%	15%	16%	18%	16%	30%	30%
Pensions**	12%	35%	19%	2%	6%	3%	X	X
Public assistance	12%	12%	12%	19%	6%	16%	2%	6%
Social Security	**	**	**	14%	28%	17%	10%	9%
SSI	**	**	**	17%	22%	18%	2%	4%
Assets	3%	3%	3%	4%	2%	4%	X	X
Other***	19%	11%	13%	NA	NA	NA	5%	6%

Continued from previous page

	1999			2007			Census 2000	
	Section 8	Public Housing	Total	Section 8	Public Housing	Total	Monroe County	City of Rochester
Households (RHA) or Household Renters (Census)	3,697	2,428	6,125	7,176	2,378	9,554	99,547	53,026
Rent Amounts								
\$0 - \$399	443	2,270	2,713	141	2,093	2,234	16,327	11,224
% total households	12%	93%	44%	2%	88%	23%	16%	21%
\$400 to \$699	3,102	151	3,253	5,611	279	5,890	51,622	29,041
% total households	84%	6%	53%	78%	12%	62%	52%	55%
\$700 and up	152	7	159	1,403	6	1,409	31,598	12,761
% total households	4%	<1%	3%	20%	<1%	15%	32%	24%
Housing Assistance Payments - %								
% receiving \$100 to \$400/mo	57%	NA	NA	59%	NA	NA	NA	NA
% receiving \$400 to \$700/mo	39%	NA	NA	34%	NA	NA	NA	NA
% receiving other amounts/mo	3%	NA	NA	5%	NA	NA	NA	NA
% no HAP	1%	NA	NA	1%	NA	NA	NA	NA
Utility Allowances								
% receiving	83%	56%	72%	89%	6%	68%	NA	NA
Heads of Households (HOH)****	3,681	2,417	6,098	7,175	2,373	9,548	NA	NA
# female	3,193	1,837	5,030	6,121	1,662	7,783	NA	NA
% female	87%	76%	82%	85%	70%	82%	NA	NA
# male	487	580	1,067	1,054	711	1,765	NA	NA
% male	13%	24%	17%	15%	30%	18%	NA	NA
# HOH unemployed based on reporting zero wages	2,383	1,735	4,118	4,777	1,718	6,495	NA	NA
% HOH unemployed	65%	72%	68%	67%	72%	68%	NA	NA
# of female HOH unemployed	1,948	1,216	3,164	3,903	1,094	4,997	NA	NA
% of female HOH unemployed	61%	66%	63%	64%	66%	64%	NA	NA
# of male HOH unemployed	434	519	953	874	624	1,498	NA	NA
% of male HOH unemployed	89%	89%	89%	83%	88%	85%	NA	NA
Other Household Members	5,986	2,413	8,399	10,398	2,030	12,428	NA	NA

Sources: Rochester Housing Authority, Census 2000

Notes:

*For RHA employment data are for respective tenant population groups. The Census computes employment data for ages 16 and up, thus employment data relates to these totals (567,430 for County & 163,495 for City) instead of to the overall total population.

For RHA, the term "No Employment Status" refers primarily to youth <18 and elderly in the population groups.

For Census, "No Employment Status" = residents who are not in the labor force.

(Special Note: Census has indicated there may be a problem in some of its 2000 employment status data. Data on places where colleges are located may overstate the number in the labor force and the number unemployed. The Census is researching this issue.)

**In 1999, pension category also included Social Security & SSI

***In 2007, there was no "other" income category

****HOH numbers are somewhat different than total households -- information derived from different RHA files

NA = not applicable

X = comparable data not available

Table 8: Population for Whom Low Income Status Known**RHA Tenants, Monroe County, City of Rochester*

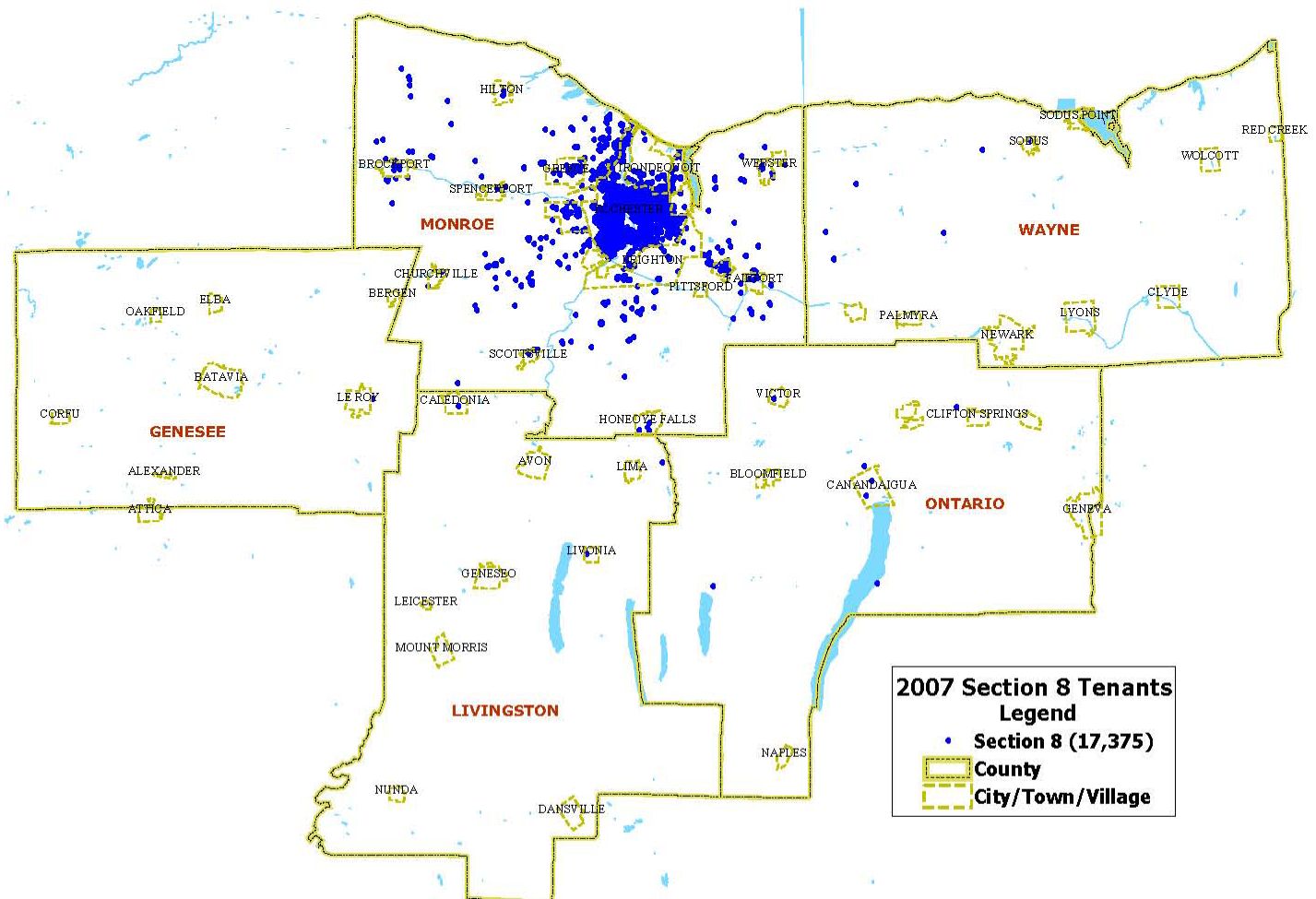
Years	RHA Tenants: 1999	% of Total Tenants	RHA Tenants: 2007	% of Total Tenants	Monroe County 1999	% Total Population: Poverty Status Known	City of Rochester 1999	% Total Population: Poverty Status Known
< 18	6,774	47%	9,408	43%	29,377	4%	22,927	11%
18 to 64	6,204	43%	10,426	47%	43,253	6%	28,803	14%
65 and over	1,519	10%	2,142	10%	6,681	1%	2,983	1%

* Census 2000 data based on a sample population group surveyed in 1999

RHA serves "low income," as defined by HUD. County & City = census data based on income in 1999 "below poverty level."

PART 4: SECTION 8 IN 2007 – MAPS

Map 1: Where 2007 Section 8 Tenants Live in the 5-County Region



Note: CGR mapped all Section 8 tenants by address and found 10,533 live in City of Rochester, 6,842 reside in the 5-county region outside the City of Rochester, 195 live outside NYS and 3 residences could not be determined. Thus the total active Section 8 population is 17,573. There are an additional 4,403 tenants living in RHA Public Housing (all in the City), bringing the total RHA active tenant population to 21,976. Additional statistical tables about the overall 2007 Section 8 population follow.

**Table 9: 2007 Section 8 Tenants -
Area of Residence**

Area of Residence	#	%
City of Rochester	10,533	60%
Region outside City of Rochester	6,842	39%
Outside NYS	195	1%
Missing	3	0%
Total	17,573	

Source: RHA

**Table 10: 2007 Section 8 Tenants -
Area of Residence in the 5-County Region**

	#	%
Brighton	181	1%
Brockport	20	0%
Caledonia	7	0%
Canandaigua	1	0%
Chili/North Chili	102	1%
Churchville	128	1%
Clifton Springs	3	0%
East Rochester	20	0%
Fairport	27	0%
Geneva	13	0%
Greece	11	0%
Hamlin	3	0%
Henrietta/West Henrietta	231	1%
Hilton	1	0%
Honeoye/Honeoye Falls	9	0%
Irondequoit	74	0%
Kendall	92	1%
Le Roy	7	0%
Livonia	2	0%
Lima	1	0%
Macedon	111	1%
Marion	5	0%
Mumford	16	0%
Ontario	245	1%
Penfield	59	0%
Pittsford	2	0%
Rochester *	10,533	61%
Rush	27	0%
Rushville	998	6%
Scottsville	976	6%
Sodus	2,491	14%
Spencerport	123	1%
Victor	143	1%
Webster	711	4%
Williamson	2	0%
Total	17,375	

Source: RHA

* Includes Rochester/Brighton 14610 zip code

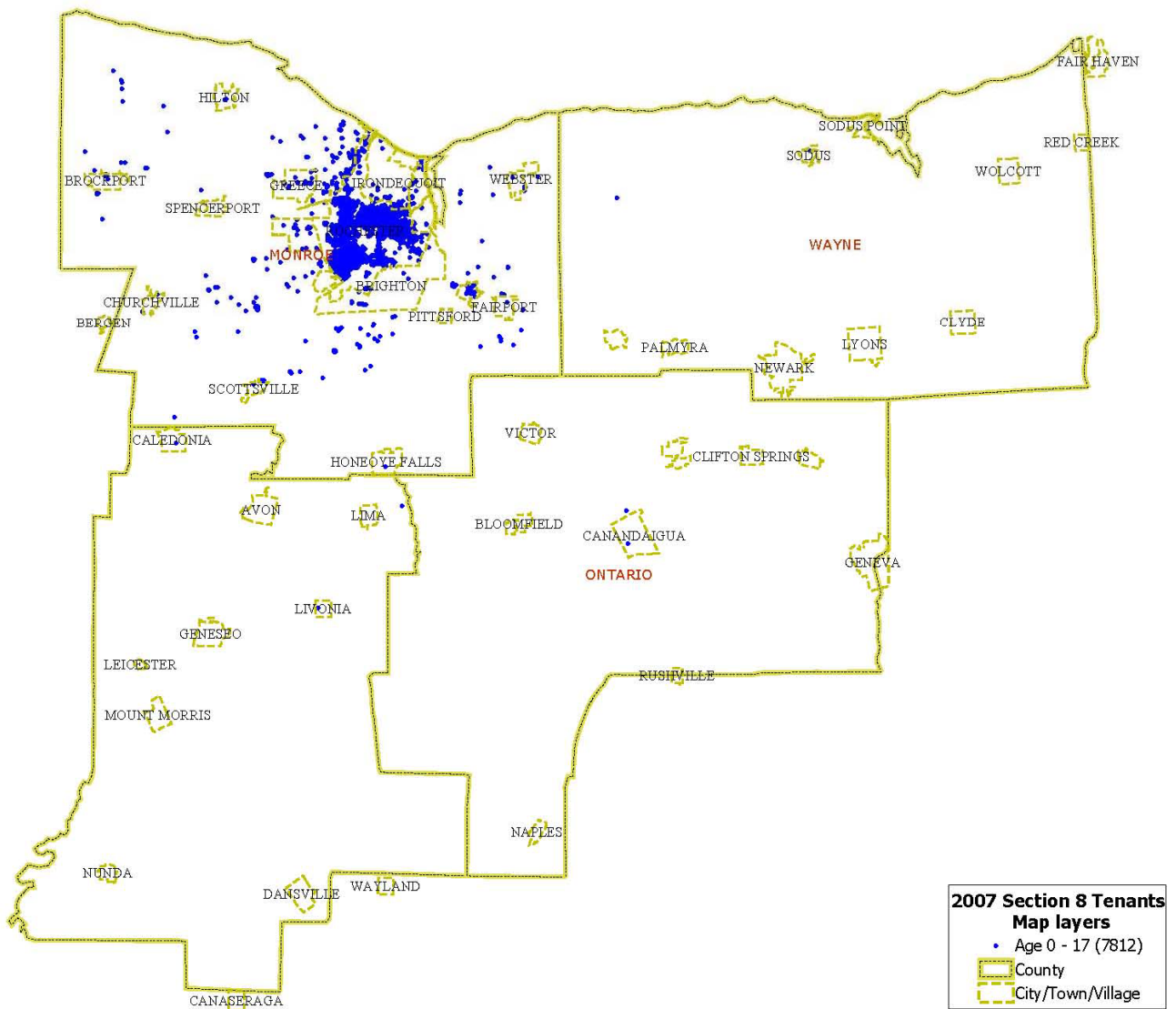
**Table 11: 2007 Section 8 Tenants -
Area of Residence in the 5-County
Region by Zip Code**

ZIP	#	Area
14420	181	Brockport
14423	3	Caledonia
14424	5	Canandaigua
14428	15	Churchville
14430	7	Brockport
14432	1	Clifton Springs
14445	99	East Rochester
14450	128	Fairport
14456	3	Geneva
14464	20	Hamlin
14467	27	Henrietta
14468	13	Hilton
14471	1	Honeoye
14472	9	Honeoye Falls
14482	1	Le Roy
14485	3	Lima
14487	2	Livonia
14502	14	Macedon
14505	1	Marion
14508	3	Kendall
14511	6	Mumford
14514	72	North Chili
14519	2	Ontario
14526	92	Penfield
14534	7	Pittsford
14543	1	Rush
14544	2	Rushville
14546	111	Scottsville
14551	5	Sodus
14559	16	Spencerport
14564	3	Victor
14580	245	Webster
14586	59	W. Henrietta
14589	2	Williamson
14604	51	Rochester
14605	972	Rochester
14606	1,045	Rochester
14607	349	Rochester
14608	805	Rochester
14609	2,226	Rochester
14610	237	Brighton/Rochester
14611	1,510	Rochester
14612	378	Greece
14613	901	Rochester

14614	5	Rochester
14615	586	Rochester
14616	1,286	Greece
14617	179	Irondequoit
14618	27	Brighton
14619	998	Rochester
14620	976	Rochester
14621	2,491	Rochester
14622	123	Irondequoit
14623	143	Henrietta
14624	215	Chili
14625	19	Rochester
14626	692	Greece
14680	2	Webster
Total	17,375	

Source: RHA

Map 2: Where Section 8 Youth Under 18 Reside in 2007



Note: CGR mapped all Section 8 children under 18 by address and found 7,812 reside in the 5-county region (primarily in the City of Rochester), 98 live outside NYS and 2 residences could not be determined. Thus the total active Section 8 population under 18 is 7,912. There are an additional 1,496 children under 18 living in RHA Public Housing, bringing the total RHA active tenant population of this age group to 9,408.

PART 5: DATA TABLES

This section contains the following:

- Appendix A: data tables for Section 8 – 1999 and 2007
- Appendix B: data tables for Public Housing – 1999 and 2007

APPENDIX A: SECTION 8

Section 8 1999 Tenant Master File

Section 8 1999: Race	#	%
Undefined Code	4	0.0%
White	2,524	26.1%
Black	7,040	72.8%
Native American	6	0.1%
Asian	2	0.0%
Other	90	0.9%
Missing	1	0.0%
Total	9,667	

Source: RHA

Section 8 1999: Gender	#	%
Male	3,493	36.1%
Female	6,172	63.8%
Missing	2	0.0%
Total	9,667	

Source: RHA

Section 8 1999: Hispanic		
	#	%
Hispanic	1,070	11.1%
Non-Hispanic	8,589	88.8%
Undefined Codes	7	0.0%
Missing	1	0.0%
Total	9,667	

Source: RHA

Section 8 1999: Age		
	#	%
Under 5 years	764	7.9%
5 to 9 years	1,609	16.6%
10 to 14 years	1,777	18.4%
15 to 17 years	768	7.9%
18 and 19 years	379	3.9%
20 years	98	1.0%
21 years	68	0.7%
22 to 24 years	170	1.8%
25 to 29 years	448	4.6%
30 to 34 years	628	6.5%
35 to 39 years	687	7.1%
40 to 44 years	501	5.2%
45 to 49 years	392	4.1%
50 to 54 years	297	3.1%
55 to 59 years	189	2.0%
60 and 61 years	81	0.8%
62 to 64 years	124	1.3%
65 and 66 years	68	0.7%
67 to 69 years	114	1.2%
70 to 74 years	161	1.7%
75 to 79 years	162	1.7%
80 to 84 years	86	0.9%
85 years and over	96	1.0%
Total	9,667	

Source: RHA

Section 8 1999: Special Status	#	%
Elderly	237	2.5%
Elderly/Fulltime Student	1	0.0%
Fulltime Student	2,258	23.4%
Handicapped	861	8.9%
Handicapped/Fulltime Student	23	0.2%
Handicapped/Elderly	531	5.5%
Undefined Codes	51	0.5%
Blank	5,705	59.0%
Total	9,667	

Source: RHA

Section 8 1999: Asset Value	#	%
\$0	8,598	88.9%
\$1-\$99	347	3.6%
\$100-\$498	325	3.4%
\$500-\$998	161	1.7%
\$1006-\$3922	155	1.6%
\$4032-\$9988	43	0.4%
\$10500-\$13172	6	0.1%
\$15411-\$19655	6	0.1%
\$20000-\$29427	7	0.1%
\$32041-\$38457	5	0.1%
\$42973-\$49671	7	0.1%
\$52338-\$58498	5	0.1%
\$77563-\$88059	2	0.0%
Total	9,667	

Source: RHA

Section 8 1999: Employment Status	#	%
Blank	5,234	54.1%
Blue Collar	646	6.7%
Clerical	308	3.2%
Employed	4	0.0%
Government Employee	1	0.0%
Professional	285	2.9%
Unemployed	3,175	32.8%
Undefined Codes	14	0.0%
Total	9,667	

Source: RHA

**Section 8 1999:
Head of Household by Gender**

	#	% by gender
Female	3,193	86.7%
Male	487	13.2%
Blank	1	0.0%
Total	3,681	

Source: RHA

**Section 8 1999:
Head of Household - Unemployed ***

	#	% by gender
Female	1,948	61.0%
Male	434	89.1%
Blank	1	NA
Total	2,383	

Source: RHA

* \$0 wages = unemployed

**Section 8 1999:
Not a Primary Head of Household**

	#	%
Child	5,127	85.6%
Undefined Code	1	0.0%
Foster Child	64	1.1%
Other family member under 18yrs	406	6.8%
No Relation	21	0.4%
Other family member 18yrs +	103	1.7%
Spouse	263	4.4%
Blank	1	0.0%
Total	5,986	

Source: RHA

Section 8 1999: Marital Status	#	%
Common Law Spouse	8	0.1%
Divorced	257	2.7%
Legally Separated	5	0.1%
Married	424	4.4%
Other	3	0.0%
Separated	233	2.4%
Single	3,036	31.4%
Widowed	155	1.6%
Undefined Codes	17	0.0%
Blank	5,529	57.2%
Total	9,667	

Source: RHA

Section 8 1999 Household Master File

Section 8 1999:		
Rent	#	%
\$138-\$399	443	12.0%
\$400-\$699	3,102	83.9%
\$700-\$970	152	4.1%
Total	3,697	

Source: RHA

Section 8 1999:		
HAP Amount	#	%
\$0	23	0.6%
\$2-\$99	80	2.2%
\$100-\$399	2,130	57.6%
\$400-\$699	1,444	39.1%
\$700-\$785	20	0.5%
Total	3,697	

Source: RHA

Section 8 1999:		
Utilities Allowance	#	%
\$0	628	17.0%
\$3-\$99	1,298	35.1%
\$100-\$234	1,771	47.9%
Total	3,697	

Source: RHA

Section 8 2007 Tenant Master File

Section 8 2007:		
Race	#	%
White	6,083	34.6%
Black	11,407	64.9%
Native American	52	0.3%
Asian	84	0.5%
Pacific Islander	29	0.2%
Total	17,655	

Source: RHA

Note: Tenant can be more than 1 race

Section 8 2007:		
Gender	#	%
Female	11,256	64.1%
Male	6,317	35.9%
Total	17,573	

Source: RHA

Section 8 2007:		
Hispanic	#	%
Hispanic	2,851	16.2%
Non-Hispanic	14,722	83.8%
Total	17,573	

Source: RHA

Section 8 2007:

Age	#	%
Under 5 years	1,325	7.5%
5 to 9 years	2,402	13.7%
10 to 14 years	2,470	14.1%
15 to 17 years	1,715	9.8%
18 and 19 years	953	5.4%
20 years	280	1.6%
21 years	199	1.1%
22 to 24 years	412	2.3%
25 to 29 years	962	5.5%
30 to 34 years	977	5.6%
35 to 39 years	974	5.5%
40 to 44 years	1,002	5.7%
45 to 49 years	877	5.0%
50 to 54 years	694	3.9%
55 to 59 years	549	3.1%
60 and 61 years	173	1.0%
62 to 64 years	243	1.4%
65 and 66 years	157	0.9%
67 to 69 years	220	1.3%
70 to 74 years	296	1.7%
75 to 79 years	236	1.3%
80 to 84 years	222	1.3%
85 years and over	235	1.3%
Total	17,573	

Source: RHA

Section 8 2007:		
Asset Value	#	%
\$0	14,000	79.7%
\$1-\$499	2,510	14.3%
\$500-\$996	367	2.1%
\$1,000-\$4,976	436	2.5%
\$5,044-\$9,861	74	0.4%
\$10,000-\$14,987	41	0.2%
\$15,355-\$19,694	27	0.2%
\$20,331-\$29,301	35	0.2%
\$30,894-\$39,497	21	0.1%
\$41,171-\$49,060	18	0.1%
\$50,371-\$57,982	10	0.1%
\$61,439-\$69,278	6	0.0%
\$71,168-\$78,114	8	0.0%
\$80,669-\$83,823	3	0.0%
\$90,598-\$92,354	3	0.0%
\$106,866-\$179,300	10	0.1%
\$200,777-\$258,684	2	0.0%
\$329,078	1	0.0%
\$1,000,000	1	0.0%
Total	17,573	

Source: RHA

**Section 8 2007:
Head of Household by Gender**

	#	%
Female	6,121	85.3%
Male	1,054	14.7%
Total	7,175	

Source: RHA

**Section 8 2007:
Head of Household –Unemployed ***

	#	% by gender
Female	3,903	63.8%
Male	874	82.9%
Total	4,777	

Source: RHA

* \$0 wages = unemployed

**Section 8 2007:
Not a Primary Head of Household**

	#	%
Disabled 18+ years of age	154	1.5%
Foster Child	22	0.2%
Co-Head of Household	71	0.7%
Other family member under 18yrs	8,307	79.9%
No Relation	2	0.0%
Other family member 18yrs +	928	8.9%
Spouse	467	4.5%
Fulltime student 18+ years of age	447	4.3%
Total	10,398	

Source: RHA

**Section 8 2007:
Eligible Citizen**

	#	%
Eligible Citizen	17,327	98.6%
Eligible Non Citizen	242	1.4%
Undefined Code	4	0.0%
Total	17,573	

Source: RHA

**Section 8 2007:
Household Master
File**

**Section 8 2007:
Number of Move-Ins**

	#	%
1978-79	6	0.1%
1980-1989	113	1.6%
1990-1999	1,777	24.8%
2000	382	5.3%
2001	581	8.1%
2002	599	8.3%
2003	835	11.6%
2004	764	10.6%
2005	814	11.3%
2006	1,092	15.2%
2007	213	3.0%
Total	7,176	

Source: RHA

Section 8 2007:

Rent	#	%
\$0	15	0.2%
\$188-\$399	126	1.8%
\$400-\$699	5,611	78.2%
\$700-\$992	1,403	19.6%
\$1,000-\$1,300	21	0.3%
Total	7,176	

Source: RHA

Section 8 2007:

HAP Amount	#	%
\$0	89	1.2%
\$5-\$99	197	2.7%
\$100-\$399	4,249	59.2%
\$400-\$697	2,470	34.4%
\$700-\$992	164	2.3%
\$1,024-\$1,300	7	0.1%
Total	7,176	

Source: RHA

Section 8 2007:

Utilities Allowance	#	%
\$0	822	11.5%
\$3-99	2,114	29.5%
\$100-366	4,239	59.1%
\$788	1	0.0%
Total	7,176	

Source: RHA

APPENDIX B: PUBLIC HOUSING

Public Housing 1999: Tenant Master File

Public Housing 1999:		
Gender	#	%
Undefined Code	1	0.0%
Female	3,003	62.2%
Male	1,826	37.8%
Total	4,830	

Source: RHA

Public Housing 1999:		
Race	#	%
White	1,385	28.7%
Black	3,400	70.4%
Native American	4	0.1%
Asian	38	0.8%
Other	1	0.0%
Blank	2	0.0%
Total	4,830	

Source: RHA

Public Housing 1999:		
Hispanic	#	%
Hispanic	911	18.9%
Non-Hispanic	3,917	81.1%
Blank	2	0.0%
Total	4,830	

Source: RHA

Public Housing 1999: Age	#	%
Under 5 years	357	7.4%
5 to 9 years	648	13.4%
10 to 14 years	571	11.8%
15 to 17 years	280	5.8%
18 and 19 years	154	3.2%
20 years	54	1.1%
21 years	39	0.8%
22 to 24 years	109	2.3%
25 to 29 years	237	4.9%
30 to 34 years	228	4.7%
35 to 39 years	230	4.8%
40 to 44 years	219	4.5%
45 to 49 years	199	4.1%
50 to 54 years	212	4.4%
55 to 59 years	226	4.7%
60 and 61 years	97	2.0%
62 to 64 years	138	2.9%
65 and 66 years	95	2.0%
67 to 69 years	128	2.7%
70 to 74 years	232	4.8%
75 to 79 years	150	3.1%
80 to 84 years	115	2.4%
85 years and over	112	2.3%
Total	4,830	

Source: RHA

Public Housing 1999: Special Status	#	%
Elderly	433	9.0%
Fulltime Student	108	2.2%
Handicapped	631	13.1%
Handicapped/Fulltime Student	1	0.0%
Handicapped/Elderly	489	10.1%
Undefined Codes	9	0.0%
Blank	3,159	65.4%
Total	4,830	

Source: RHA

**Public Housing 1999:
Asset Value**

	#	%
\$0	4,481	92.8%
\$1-\$486	152	3.1%
\$500-\$988	54	1.1%
\$1,012-\$4,944	61	1.3%
\$5,000-\$9,873	29	0.6%
\$10,709-\$19,664	27	0.6%
\$21,422-\$29,822	10	0.2%
\$30,365-\$39,452	10	0.2%
\$41,553-\$48,898	2	0.0%
\$51,540-\$59,252	2	0.0%
\$64,377-\$70,000	2	0.0%
Total	4,830	

Source: RHA

**Public Housing 1999:
Employment Income**

	#	%
\$0	4,063	84.1%
\$480-\$809	7	0.1%
\$1,569-\$4,862	48	1.0%
\$5,044-\$9,984	190	3.9%
\$10,000-\$14,976	233	4.8%
\$15,009-\$19,988	195	4.0%
\$20,010-\$29,877	93	1.9%
\$30,940	1	0.0%
Total	4,830	

Source: RHA

**Public Housing 1999:
Head of Household by
Gender**

	#	%
Female	1,837	76.0%
Male	580	24.0%
Total	2,417	

Source: RHA

**Public Housing 1999:
Head of Household-
Unemployed***

	#	% by gender
Female	1,216	66%
Male	519	89%
Total	1,735	

Source: RHA

* \$0 wages = unemployed

**Public Housing 1999:
Not a Primary Head of
Household**

	#	%
Child	2,021	83.8%
Foster Child	9	0.4%
Other family member < 18yrs	170	7.0%
No Relation	9	0.4%
Other family member 18yrs +	68	2.8%
Spouse	135	5.6%
Blank	1	0.0%
Total	2,413	

Source: RHA

**Public Housing 1999:
Marital Status**

	#	%
Common Law Spouse	13	0.3%
Divorced	250	5.2%
Legally Separated	6	0.1%
Married	372	7.7%
Other	107	2.2%
Separated	193	4.0%
Single	3,766	78.0%
Widowed	104	2.2%
Undefined Codes	2	0.0%
Blank	17	0.4%
Total	4,830	

Source: RHA

Public Housing 1999: Household Master File

Public Housing 1999: Family Income	#	%
\$0-\$4,988	74	3.0%
\$5,016-\$5,916	37	1.5%
\$6,012-\$6,996	236	9.7%
\$7,008-\$7,990	573	23.6%
\$8004-8992	190	7.8%
\$9000-9984	173	7.1%
\$10020-14988	610	25.1%
\$15005-19994	329	13.6%
\$20004-29877	195	8.0%
\$30072-36320	10	0.4%
\$40,199	1	0.0%
Total	2,428	

Source: RHA

Public Housing 1999: Rent	#	%
\$0	17	0.7%
\$9-49	39	1.6%
\$51-99	169	7.0%
\$100-199	1,065	43.9%
\$200-299	716	29.5%
\$300-399	264	10.9%
\$400-498	111	4.6%
\$501-599	37	1.5%
\$625-644	3	0.1%
\$729-745	6	0.2%
\$876	1	0.0%
Total	2,428	

Source: RHA

Public Housing 1999: Utility Allowance	#	%
\$0	1,075	44.3%
\$20-\$99	820	33.8%
\$100-\$177	533	22.0%
Total	2,428	

Source: RHA

Public Housing 1999:		
Number of Move-Ins	#	%
1960s	10	0.4%
1970s	86	3.5%
1980s	303	12.5%
1990-98	1,783	73.5%
1999	241	9.9%
Blank	3	0.1%
Total	2,426	

Source: RHA

Public Housing 2007: Tenant Master File

Public Housing 2007:		
Gender	#	%
Female	2,630	59.7%
Male	1,773	40.3%
Total	4,403	

Source: RHA

Public Housing 2007:		
Race	#	%
White	1,445	32.8%
Black	2,939	66.7%
Native American	10	0.2%
Asian	27	0.6%
Pacific Islander	4	0.1%
Total	4,425	

Source: RHA

Note: Tenant can be more than 1 race

Public Housing 2007:		
Hispanic	#	%
Hispanic	1,094	24.8%
Non-Hispanic	3,309	75.2%
Total	4,403	

Source: RHA

Public Housing 2007: Age	#	%
Under 5 years	362	8.2%
5 to 9 years	455	10.3%
10 to 14 years	412	9.4%
15 to 17 years	267	6.1%
18 and 19 years	163	3.7%
20 years	64	1.5%
21 years	55	1.2%
22 to 24 years	127	2.9%
25 to 29 years	206	4.7%
30 to 34 years	183	4.2%
35 to 39 years	180	4.1%
40 to 44 years	156	3.5%
45 to 49 years	182	4.1%
50 to 54 years	214	4.9%
55 to 59 years	315	7.2%
60 and 61 years	104	2.4%
62 to 64 years	182	4.1%
65 and 66 years	98	2.2%
67 to 69 years	149	3.4%
70 to 74 years	196	4.5%
75 to 79 years	155	3.5%
80 to 84 years	105	2.4%
85 years and over	73	1.7%
Total	4,403	

Source: RHA

Public Housing 2007: Employment Income	#	%
\$0	3,615	82.1%
\$14-\$480	4	0.1%
\$521-\$960	7	0.2%
\$1,104-\$4,884	58	1.3%
\$5,084-\$9,995	164	3.7%
\$10,063-\$14,976	162	3.7%
\$15,057-\$16,994	75	1.7%
\$17,056-\$19,988	102	2.3%
\$20,010-\$29,979	172	3.9%
\$30,576-\$39,943	34	0.8%
\$40,000-\$43,934	6	0.1%
\$52,400-\$58,232	3	0.1%
\$95,159	1	0.0%
Total	4,403	

Source: RHA

Public Housing 2007:

Head of Household by Gender	#	%
Female	1,662	70.0%
Male	711	30.0%
Total	2,373	

Source: RHA

Public Housing 2007:

Head of Household – Unemployed*	#	% by gender
Female	1,094	65.8%
Male	624	87.8%
Total	1,718	

Source: RHA

* \$0 wages = unemployed

Public Housing 2007:

Not a Primary Head of Household	#	%
Disabled 18+ years of age	39	1.9%
Foster Child	5	0.2%
Co-Head of Household	8	0.4%
Other family member < 18yrs	1,556	76.7%
No Relation	2	0.1%
Other family member 18yrs +	219	10.8%
Spouse	131	6.5%
Fulltime Student 18+ years of age	70	3.4%
Total	2,030	

Source: RHA

Public Housing 2007: Household Master File

Public Housing 2007:		
Family Income	#	%
\$0	17	0.7%
\$300-\$663	4	0.2%
\$1,080-\$3,984	21	0.9%
\$4,044-\$9,998	1,068	44.9%
\$10,008-\$14,982	552	23.2%
\$15,000-\$19,988	322	13.5%
\$20,035-\$29,989	287	12.1%
\$30,101-\$39,768	71	3.0%
\$40,002-\$48,703	22	0.9%
\$50,646-\$59,058	7	0.3%
\$62,046-\$65,814	4	0.2%
\$71,337-\$78,133	2	0.1%
\$95,159	1	0.0%
Total	2,378	

Source: RHA

Public Housing 2007:		
Rent	#	%
\$0	40	1.7%
\$19-50	155	6.5%
\$51-99	140	5.9%
\$100-199	690	29.0%
\$200-299	760	32.0%
\$300-398	308	13.0%
\$400-495	177	7.4%
\$500-580	66	2.8%
\$600-690	36	1.5%
\$700-760	5	0.2%
\$800	1	0.0%
Total	2,378	

Source: RHA

**Public Housing 2007:
Utility Allowance**

	#	%
\$0	2,226	93.6%
\$5-\$50	28	1.2%
\$59-\$92	19	0.8%
\$101-\$195	105	4.4%
Total	2,378	

Source: RHA

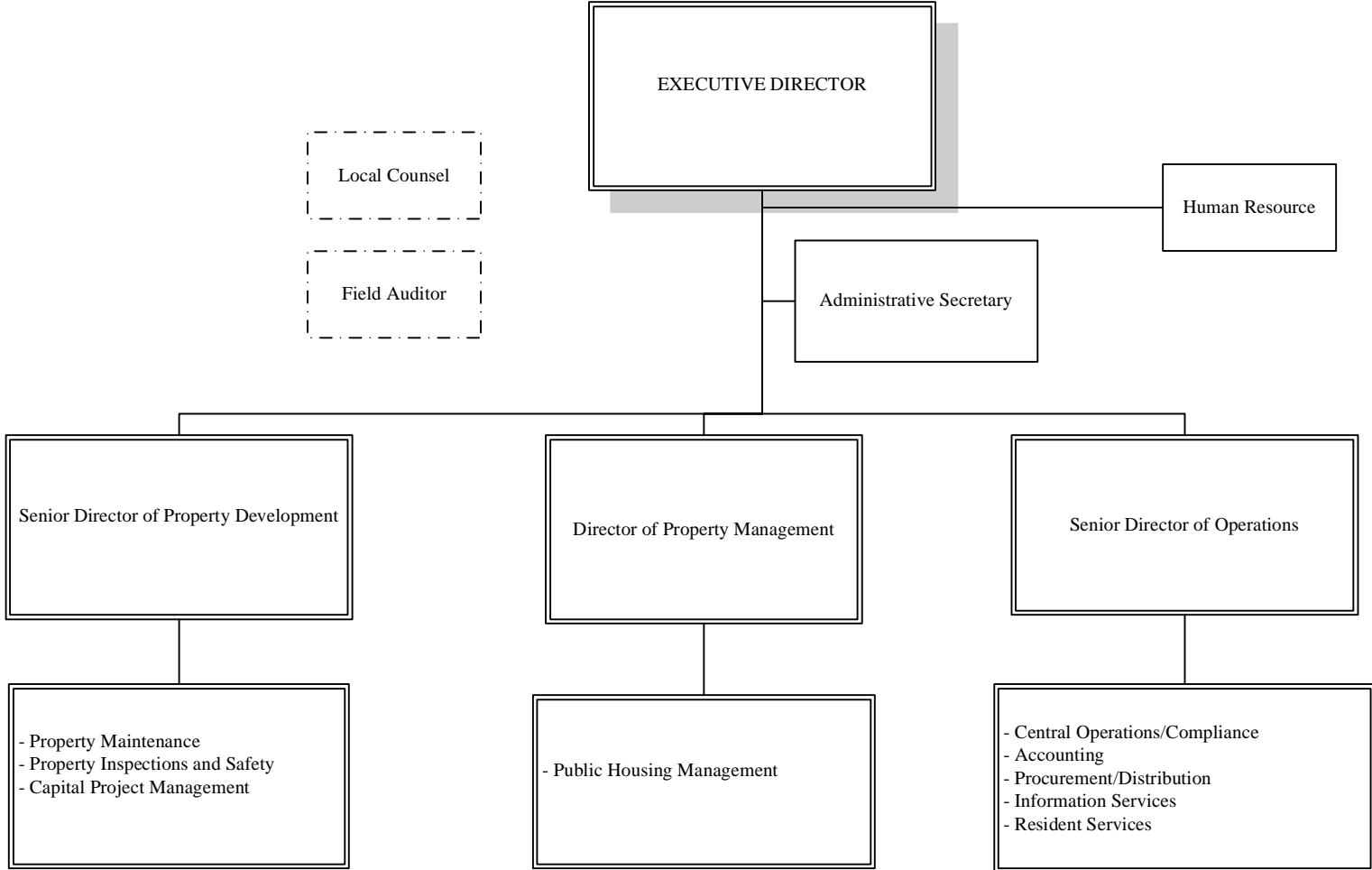
**Public Housing 2007:
Number of Move-Ins**

	#	%
1960s	6	0.3%
1970s	32	1.3%
1980s	144	6.1%
1990s	737	31.0%
2000	95	4.0%
2001	136	5.7%
2002	97	4.1%
2003	208	8.7%
2004	268	11.3%
2005	269	11.3%
2006	335	14.1%
2007 *	51	2.1%
Total	2,378	

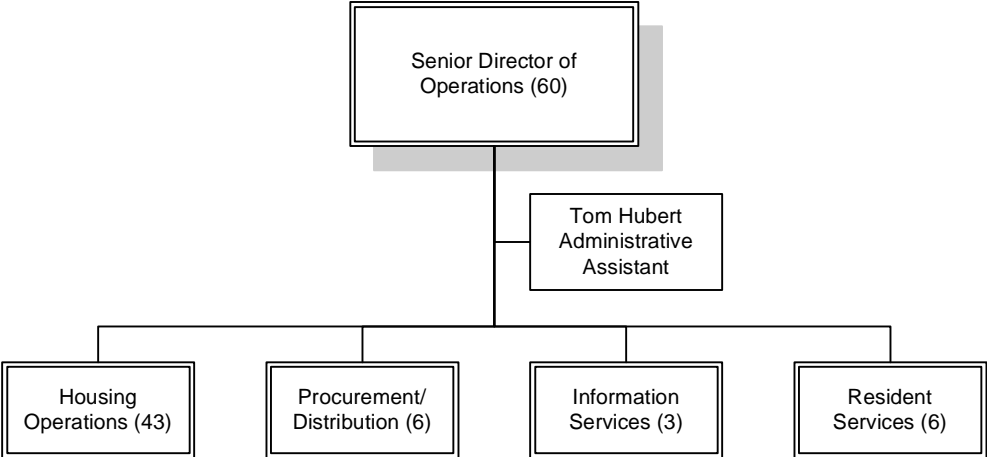
Source: RHA

* Jan. 2 to March 2, 2007

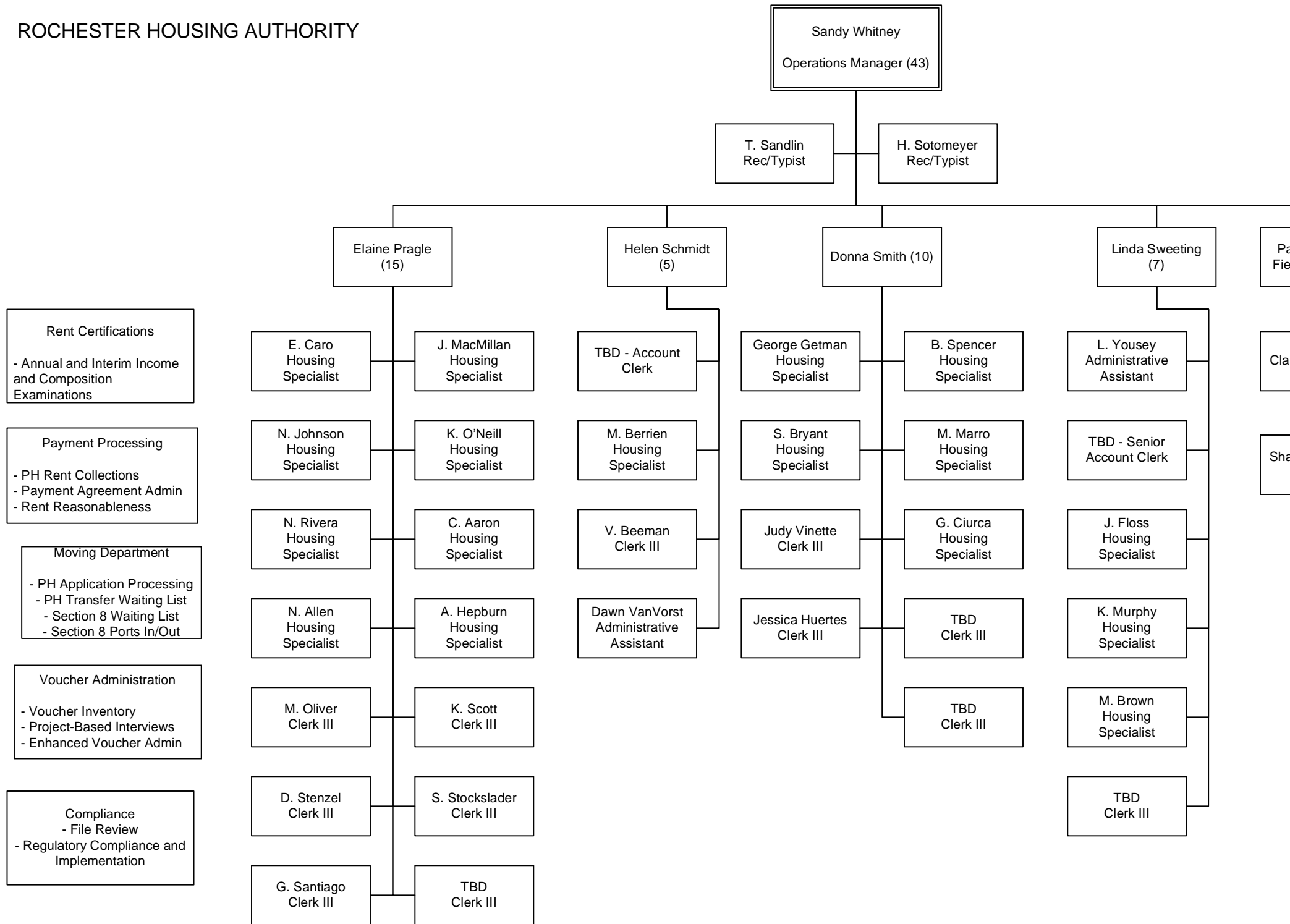
ROCHESTER HOUSING AUTHORITY



ROCHESTER HOUSING AUTHORITY



ROCHESTER HOUSING AUTHORITY



Rent Certifications
- Annual and Interim Income and Composition Examinations

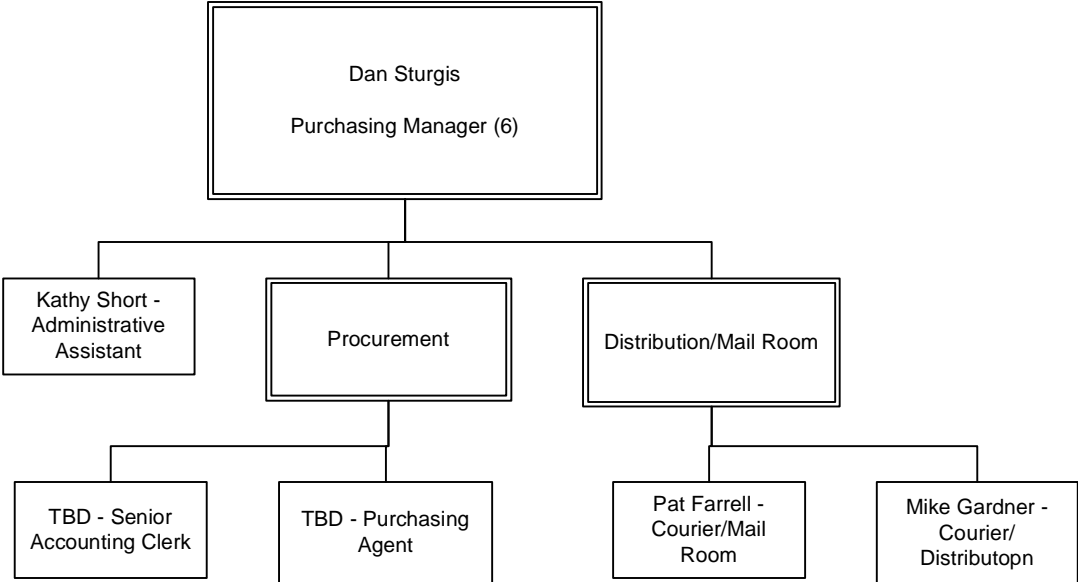
Payment Processing
- PH Rent Collections
- Payment Agreement Admin
- Rent Reasonableness

Moving Department
- PH Application Processing
- PH Transfer Waiting List
- Section 8 Waiting List
- Section 8 Ports In/Out

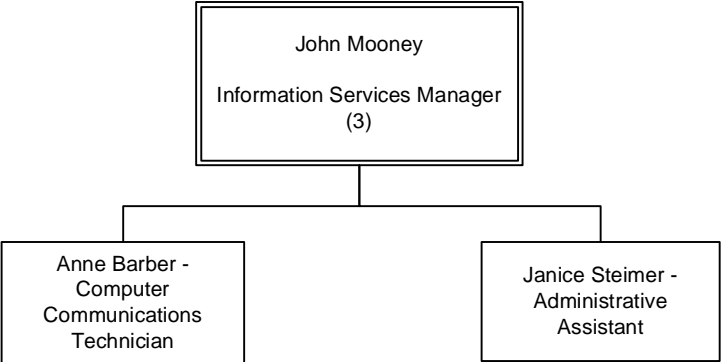
Voucher Administration
- Voucher Inventory
- Project-Based Interviews
- Enhanced Voucher Admin

Compliance
- File Review
- Regulatory Compliance and Implementation

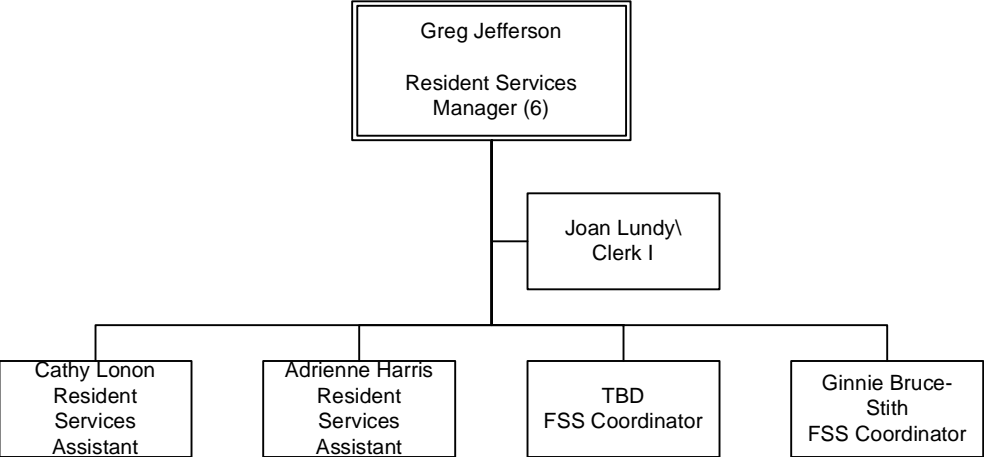
ROCHESTER HOUSING AUTHORITY



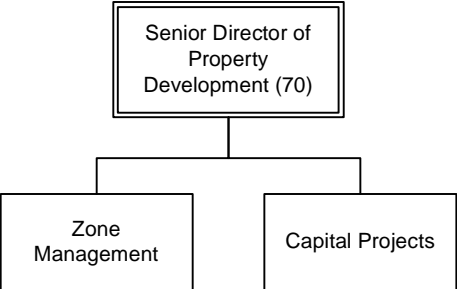
ROCHESTER HOUSING AUTHORITY



ROCHESTER HOUSING AUTHORITY



ROCHESTER HOUSING AUTHORITY

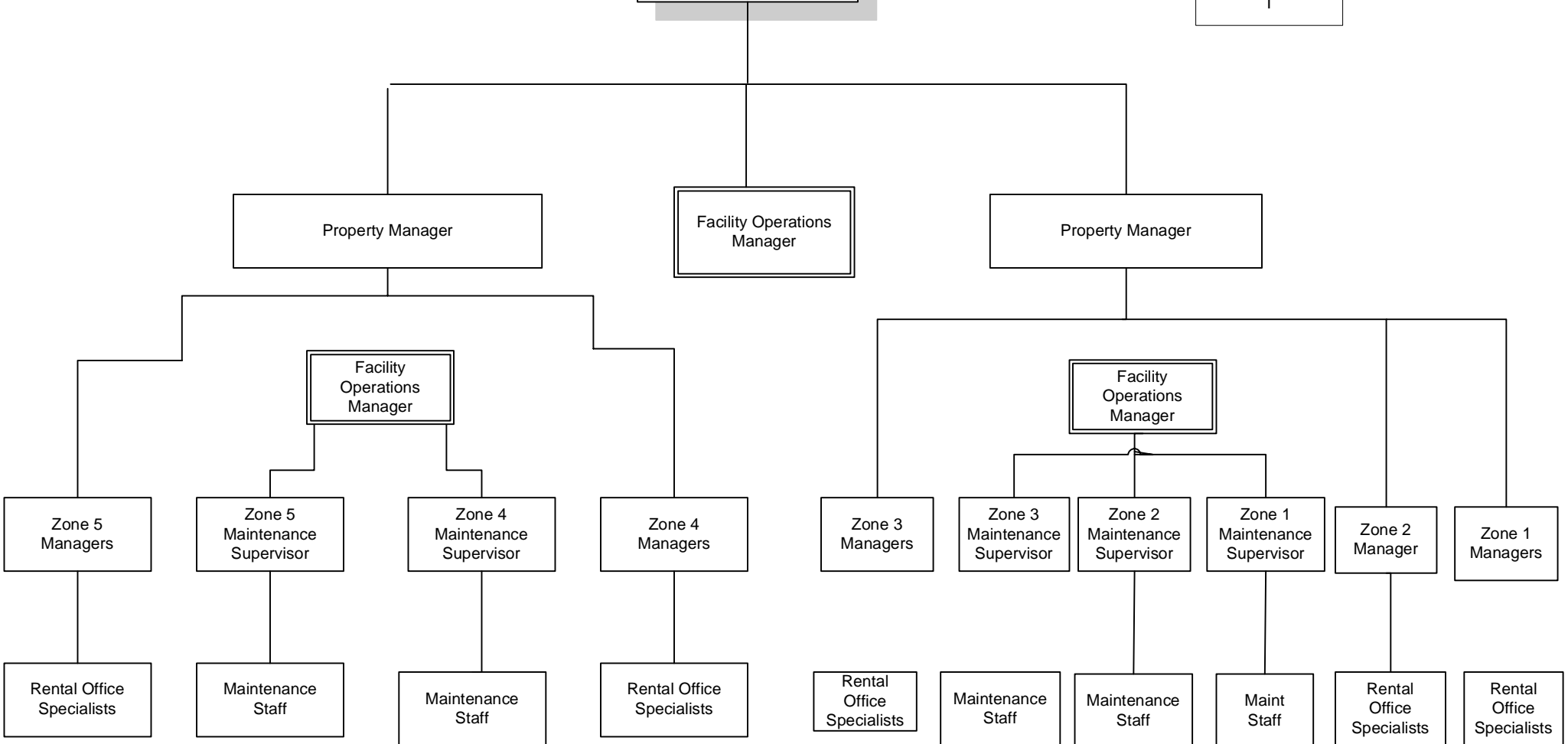


Implementation
September 10, 2006

ROCHESTER HOUSING AUTHORITY

Senior Director Property Development

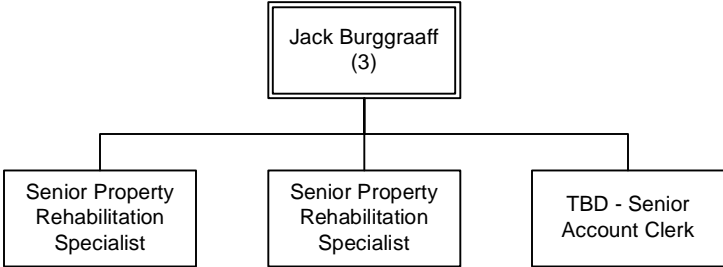
Implementation
September 10,
2006
I



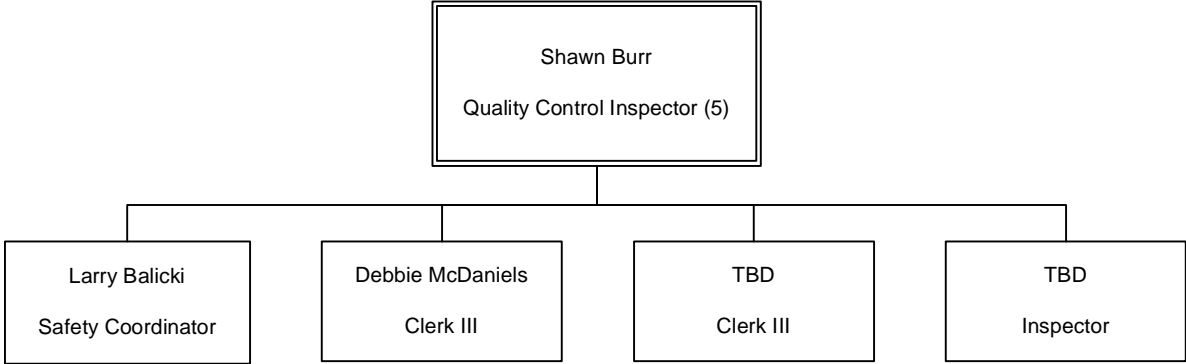
Each zone
Manager and
BMS will have
shared clerks

Each Zone
Manager and
BMS will have
shared clerks

ROCHESTER HOUSING AUTHORITY

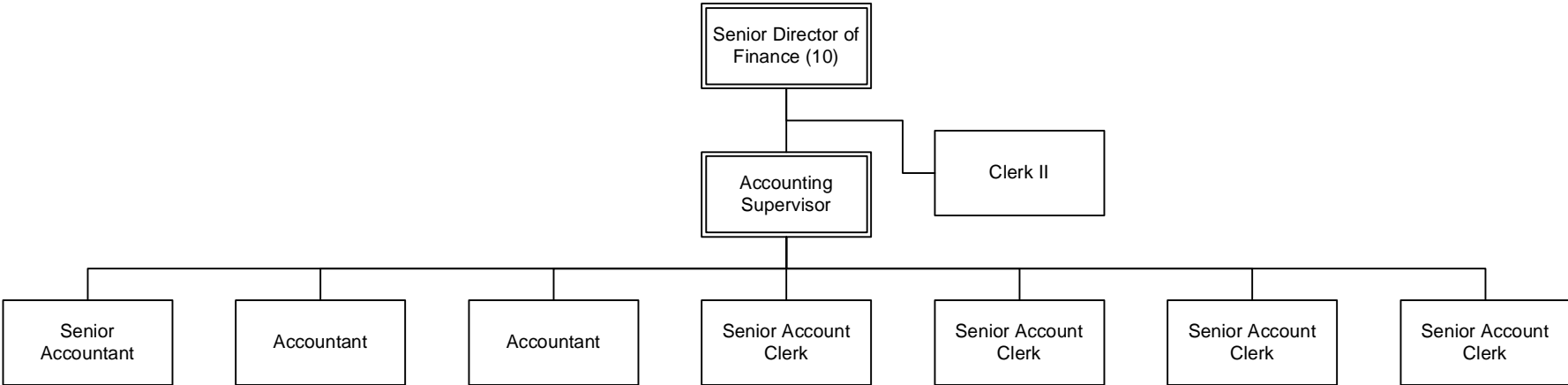


ROCHESTER HOUSING AUTHORITY



Implementation 8/15/06 to 9/01/06
I

ROCHESTER HOUSING AUTHORITY



ROCHESTER HOUSING AUTHORITY – NY041
2007 Affordable Housing Development (AHD)/HOMEOWNERSHIP

The goals of the AHD and Homeownership Program were developed to address the priority housing needs of low to moderate-income families, elderly persons, homeless persons and persons with special needs.

The Rochester Housing Authority's objective is to provide affordable housing opportunities and ensure fair housing choice address the five areas of priority housing needs:

- a) Preserve existing single-family units through the 1st time homeownership program following a disposition plan submitted for approval;
- b) Expand homeownership opportunities through the First-Time Homebuyer Program, including assistance to access the single-family housing market;
- c) Expand development of additional rental units through the Affordable Rental Housing Development Program to provide quality rental housing for low to moderate-income elderly and handicapped/disabled households (with supportive services) and families;
- d) Provide education and counseling services that promote homeownership and housing stability;
- e) Support and expand coordinated planning efforts to secure and distribute Section 8 Program rental housing certificates/vouchers, including project-based assistance in western New York; and,
- f) Assist homeless persons, through the Homeless Continuum of Care, to secure supportive housing (emergency, transitional and permanent) and services necessary to achieve independent living.

Rochester Housing Authority administers a bi-annual competitive process for distribution of project based rental and homeownership vouchers funds for the development of affordable rental and homeownership housing. The amount of funds that will be allocated for each activity or tenure type is based on applications submitted, the competitive criteria of the activity and the extent to which proposals are consistent with the priorities identified in the Agency Plan and published Request for Proposal.

Proposals requesting vouchers for the development of affordable rental and homeownership housing for families, the elderly and persons with special needs are selected through a competitive process. To the extent feasible, the Authority will allocate available program resources to meet housing needs in an equitable geographic distribution across the throughout Western NY. Applications that have the greatest likelihood of being funded should efficiently produce a quality, affordable housing product, provide the greatest number of units for the longest period of time and serve the lowest income residents to the extent possible. Applications must also respond to a strategy that addresses one or more of the primary housing needs of the Authority, City of Rochester, and/or County. The release of the Authority's voucher RFP is determined by the application deadline for the unified funding set by the New York State Division of Housing and Community Renewal (DHCR).

OBJECTIVE (1) – Disposition of the Authority's scattered site single family home through first time homeownership vouchers. In this case the existing resident will be afforded the right of first refusal to own the home under the 1st homebuyer program. In the event they refuse the Authority will pay to relocate the resident in comparable existing affordable housing. There will be no permanent displacement of residents. In exercising the right to acquire the home the homebuyer will be registered in the Authorities homeownership program so they may be ready to own the home while being positioned for disposition. The

mortgage or sale price of the home will be affordable to the resident so they are paying no more than 30% of their income towards a mortgage payment and intend to implement any pertinent changes outlined under the Section 8 applicable regulations. The Authority's goal is to make homeownership a reality to low to moderate-income families, elderly persons, homeless persons and persons with special needs. In accomplishing this, 1st time homeownership through disposition will only be only offered to those residents with income at or below 60% AMI. The Authority has set a goal to sell 100 homes by 2011 through disposition, homeownership, and other feasible means allowable under the Annual Contribution Contract and as approved by HUD. The Authority will set aside 100 homeownership vouchers for this purpose.

OBJECTIVE (2) – Disposition of the Authority's scattered site single family homes through project based rental vouchers. In this case these homes would be offered for sale to a local Not-for-Profit (NP), Neighborhood Preservation Corporation (NPC) or Community Housing Development Organizations (CHDO) already having investments and visibility in neighborhood the Authority has targeted disposition. Acquisition or sale price will be based upon the reliance of an independent appraisal demonstrating sale price is consistent with recent sale comparables in the area. It is fully intended that existing resident would remain in the home as an affordable resident and that a project based voucher remains with the home. The authority has set a goal to sell 100 homes by 2011 through disposition and NP, NPC or CHDO homeownership. The Authority will set aside 100 project based rental vouchers for this purpose.

OBJECTIVE (3) – Disposition of the Authority's scattered sites through other means that are approved by HUD in the RHA submission of a Demolition and Disposition Plan in 2007.

2006 Resident Advisory Board

Area Represented	RAB Representative	Phone
Danforth East	Delois Bodison 140 West Avenue, #640 Rochester, NY 14611	279-9191
Holland Townhouses	Barbara Brown 235 Hudson Avenue Rochester, NY 14605	325-3573
Lake Tower	James Brown 321 Lake Ave., #314 Rochester, NY 14608	503-3572
Harriett Tubman	Lynn Gaines 67 William Warfield Drive Rochester, NY 14605	262-2456
Scattered Sites	Doreatha Gaydon – Resident Commissioner and Vice Board Chairman 194 Brooks Avenue Rochester, NY 14619	527-9366
Hudson Ridge Tower	Mr. Lawrence Jones – Resident Commissioner 401 Seneca Manor Drive, #419 Rochester, NY 14621	336-9984
Hudson Ridge Tower	Loretta Greening 401 Seneca Manor Drive, #1418 Rochester, NY 14621	342-3947
Kennedy Tower	Donnie Hall 666 S. Plymouth Ave., #910 Rochester, NY 14608	328-1812
Lena Gantt	Linda Huntley 100 Vienna Street Rochester, NY 14605	454-7237
Fairfield Village	Brenda Jackson 6 Luther Circle Rochester, NY 14611	527-9654
Parliament Arms	Hattie Jordan 2140 St. Paul Street, #4 Rochester, NY 14621	467-0681
Lexington Ct. / Christopher	Equilla Ross 5 Bond St. Rochester, NY 14620	473-3881
Seneca Manor Townhouse	Frances Scales 236 Seneca Manor Drive Rochester, NY 14621	336-9291
Glenwood Garden	Jeff Pederson 33 Kestrel St. Rochester, NY 14613	719-9637
Danforth West	Karen Ward 160 West ave., #190 Rochester, NY 14611	436-2327
Lexington Court	Eula Williams 24 Christopher Court, #99 Rochester, NY 14606	719-9397

Agency Plan Insertion

VAWA – Violence Against Women Act (PIH 2006-42)

This Act protects tenants and family members of tenants who are victims of domestic violence, dating violence, or stalking from being evicted or terminated from housing assistance based on acts of such violence against them. This provision applies to both Public Housing as well as Section 8 programs and to owners renting to families under Section 8 rental assistance programs.

Any and all domestic violence information relating to the incident(s) must be retained in confidence by the RHA or owner and must not be shared without the victim's consent.

RHA may require verification of such abuse utilizing the HUD form 50066. All applicants and residents will be informed of their rights regarding VAWA at intake and lease signing. All preexisting residents have been notified of VAWA and the protection that is provided Public Housing and Section 8 residents. (see attached letter.) All Section 8 owners/landlords will be informed of residents' rights under VAWA.

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the *Standard Annual, Standard 5-Year/Annual, and
Streamlined 5-Year/Annual PHA Plans***

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the __standard Annual, __standard 5-Year/Annual or __streamlined 5-Year/Annual PHA Plan for the PHA fiscal year beginning _____, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
5. The PHA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
7. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's MTCS in an accurate, complete and timely manner (as specified in PIH Notice 99-2);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
9. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
10. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
11. The PHA has submitted with the Plan a certification with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.
12. The PHA has submitted with the Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.

13. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
14. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
15. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
16. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
17. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
18. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.
19. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
20. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
21. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.

PHA Name

PHA Number/HA Code

- ____ Standard PHA Plan for Fiscal Year: 20__
- ____ Standard Five-Year PHA Plan for Fiscal Years 20__ - 20__, including Annual Plan for FY 20__
- ____ Streamlined Five-Year PHA Plan for Fiscal Years 20__ - 20__, including Annual Plan for FY 20__

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Signature X	Date

**Certification by State or Local Official of PHA Plans Consistency with
the Consolidated Plan**

I, _____ the _____ certify
that the Five Year and Annual PHA Plan of the _____ is
consistent with the Consolidated Plan of _____ prepared
pursuant to 24 CFR Part 91.

Signed / Dated by Appropriate State or Local Official

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Program/Activity Receiving Federal Grant Funding

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.

(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Title

Signature

Date

X

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Program/Activity Receiving Federal Grant Funding

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Signature	Date (mm/dd/yyyy)

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, <i>if known</i> : Congressional District, if known:	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency:	7. Federal Program Name/Description: CFDA Number, <i>if applicable</i> : _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$ _____	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i>	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: _____	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.