

PHA Plans
Streamlined Annual
Version

U.S. Department of Housing and
Urban Development
Office of Public and Indian
Housing

OMB No. 2577-0226
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

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Streamlined Annual PHA Plan
for Fiscal Year: 2006
(October 1, 2006 – September 30, 2007)

PHA Name:

Ilion Housing Authority

NY 059

NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.

Streamlined Annual PHA Plan Agency Identification

PHA Name: Ilion Housing Authority

PHA Number: NY 059

PHA Fiscal Year Beginning: (mm/yyyy) 10/2006

PHA Programs Administered:

Public Housing and Section 8

Number of public housing units: **160**
Number of S8 units: **112**

Section 8 Only

Number of S8 units:

Public Housing Only

Number of public housing units:

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

PHA Plan Contact Information:

Name: **Jeffrey McTiernan, Executive Director**

Phone: **(315) 894-2159**

TDD: **(315) 797-4642**

Email (if available): **jmctiernan@twcny.rr.com**

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

PHA's main administrative office PHA's development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plan revised policies or program changes (including attachments) are available for public review and inspection. Yes No.

If yes, select all that apply:

Main administrative office of the PHA

PHA development management offices

Main administrative office of the local, county or State government

Public library PHA website Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

Main business office of the PHA PHA development management offices

Other (list below)

Streamlined Annual PHA Plan
Fiscal Year 2006
[24 CFR Part 903.12(c)]

Table of Contents

[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

A. PHA PLAN COMPONENTS

- 1. Site-Based Waiting List Policies (**Not Applicable**)
903.7(b)(2) Policies on Eligibility, Selection, and Admissions
- 2. Capital Improvement Needs
903.7(g) Statement of Capital Improvements Needed
- 3. Section 8(y) Homeownership (**Not Applicable**)
903.7(k)(1)(i) Statement of Homeownership Programs
- 4. Project-Based Voucher Programs (**Not Applicable**)
- 5. PHA Statement of Consistency with Consolidated Plan. Complete only if PHA has changed any policies, programs, or plan components from its last Annual Plan.
- 6. Supporting Documents Available for Review
- 7. Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report (**Fiscal Year 2006**)
- 8. Capital Fund Program 5-Year Action Plan
- 9. Attachments:

Attachment A – Capital Fund Program FY 2005 P & E Report

Attachment B – Capital Fund Program FY 2004 P & E Report

B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE

Form HUD-50076, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Streamlined Annual Plan identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA's principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

Form HUD-50070, Certification for a Drug-Free Workplace;

Form HUD-50071, Certification of Payments to Influence Federal Transactions; and

Form SF-LLL &SF-LLLa, Disclosure of Lobbying Activities.

1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies)

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Site-Based Waiting Lists-Previous Year

1. Has the PHA operated one or more site-based waiting lists in the previous year? **NO**.
 If yes, complete the following table; if not skip to B.

Site-Based Waiting Lists				
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics

2. What is the number of site based waiting list developments to which families may apply at one time?
3. How many unit offers may an applicant turn down before being removed from the site-based waiting list?
4. Yes No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

B. Site-Based Waiting Lists – Coming Year – Not Applicable

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component.

1. How many site-based waiting lists will the PHA operate in the coming year?
2. Yes No: Are any or all of the PHA’s site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
 If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

2. Capital Improvement Needs

[24 CFR Part 903.12 (c), 903.7 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Capital Fund Program

1. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.
2. Yes No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

1. Yes No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary).
2. Status of HOPE VI revitalization grant(s):

HOPE VI Revitalization Grant Status	
a. Development Name:	
b. Development Number:	
c. Status of Grant:	
	<input type="checkbox"/> Revitalization Plan under development
	<input type="checkbox"/> Revitalization Plan submitted, pending approval
	<input type="checkbox"/> Revitalization Plan approved
	<input type="checkbox"/> Activities pursuant to an approved Revitalization Plan underway

3. Yes No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name(s) below:
4. Yes No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:
5. Yes No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program
(if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)]

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to the next component; if "yes", complete each program description below (copy and complete questions for each program identified.)

2. Program Description:

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year?

b. PHA-established eligibility criteria

- Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria:

c. What actions will the PHA undertake to implement the program this year (list)?

3. Capacity of the PHA to Administer a Section 8 Homeownership Program:

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.
- Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below):
- Demonstrating that it has other relevant experience (list experience below):

4. Use of the Project-Based Voucher Program

Intent to Use Project-Based Assistance

Yes No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If the answer is “no,” go to the next component. If yes, answer the following questions.

1. Yes No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply:
 - low utilization rate for vouchers due to lack of suitable rental units
 - access to neighborhoods outside of high poverty areas
 - other (describe below):
2. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

5. PHA Statement of Consistency with the Consolidated Plan

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary) only if the PHA has provided a certification listing program or policy changes from its last Annual Plan submission.

1. Consolidated Plan jurisdiction: **State of New York**

The Ilion Housing Authority is located in the City of Ilion, a non-entitlement community. The jurisdiction of the Consolidated Plan encompasses the State of New York.

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families on its waiting lists on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
 - **The Ilion Housing Authority will continue to maintain and renovate its public housing units.**
 - **The Ilion Housing Authority will continue to market its public housing program and Section 8 Program to make families, elderly persons, and persons with disabilities aware of the availability of safe, affordable and accessible housing in the Village of Ilion.**
 - **The Ilion Housing Authority will continue to provide improved living conditions for very low and low-income households while maintaining their rent payments at an affordable level.**
 - **The Ilion Housing Authority will continue to apply its limited resources to the effective and efficient management and operation of public housing and Section 8 programs.**
- Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

One of the strategic objectives in the New York State Consolidated Plan that relates to programs administered by the Ilion Housing Authority:

Preserve and increase the supply of decent, safe and affordable housing available to all low and moderate-income households, and help identify and develop available resources to assist in the development of housing.

While not specifically targeting funds in the Consolidated Plan for such programs, New York State believes it has a vested interest in the federal government's commitment to continue to provide resources for the operations, maintenance and preservation of Section 8 and public housing. The Consolidate Plan states: "The preservation of this irreplaceable low-income housing asset should remain a federal priority. Specifically, the federal government should maintain its commitment to rental assistance, preservation of housing eligible for mortgage prepayment and funding for operations, repairs, maintenance and modernization of public housing."

The use of the term "low and moderate income households" includes all households at or below 80 percent of median income. Extremely low-income households are included in this category, which has been identified in the Consolidated Plan needs analysis as having the highest magnitude of housing problems. The Ilion Housing Authority targets for assistance the Low, Very Low and Extremely Low Income households.

The New York State Consolidated Plan strategies are consistent with and support the goals and objectives of the Ilion Housing Authority.

6. Supporting Documents Available for Review for Streamlined Annual PHA Plans

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
NA	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;</i>	5 Year and Annual Plans
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan</i>	Streamlined Annual Plans
NA	<i>Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.</i>	5 Year and standard Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Deconcentration Income Analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input checked="" type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. <input type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
N/A	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
		Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
NA	Any policies governing any Section 8 special housing types <input type="checkbox"/> Check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> Check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
NA	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
NA	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
NA	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
NA	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
NA	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
NA	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
X	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
NA	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
NA	Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs <input checked="" type="checkbox"/> Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
X	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
NA	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
NA	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
X	Resident on the Governing Board	Annual Plan
X	Resident Advisory Board	Annual Plan
X	Definition of Substantial Deviation	Annual Plan
NA	Consortium agreement(s) and for Consortium Joint PHA Plans <u>Only</u> :	Joint Annual PHA Plan for

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
	Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection.	Consortia: Agency Identification and Annual Management and Operations

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Ilion Housing Authority			Grant Type and Number Capital Fund Program Grant No: NY06P059 501 06 Replacement Housing Factor Grant No:		Federal FY of Grant: 2006
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	40,856			
3	1408 Management Improvements				
4	1410 Administration	20,428			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	40,000			
11	1465.1 Dwelling Equipment—Nonexpendable	22,977			
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	80,020			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	204,281			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Ilion Housing Authority			Grant Type and Number Capital Fund Program Grant No: NY06P059 501 06 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA Wide	<u>Operations</u>		L.S.					
	P H Operations	1406		40,856				
	Subtotal Acct 1406			40,856				
	<u>Administration</u>		L.S.	20,428				
HA Wide	Prorated salaries & benefits for administration of CFP	1410		20,428				
	Subtotal Acct 1410							
NY 059-1 London Towers	<u>Dwelling Structures (1460)</u>							
	Cabinets	1460	40 units	40,000				
	Subtotal Acct 1460			40,000				
	<u>Dwelling Equipment – Non-Expendable (1465.1)</u>							
NY 059-1 London Towers	Refrigerators	1465.1	15	5,977				
NY 059-2 Remington Pk	Refrigerators	1465.1	25	10,200				

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Ilion Housing Authority			Grant Type and Number Capital Fund Program Grant No: NY06P059 501 06 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NY 059-3 Ilion Heights	Refrigerators	1465.1	17	6,800				
	Subtotal Acct 1465.1			22,977				
	<u>Non-Dwelling Equipment (1475)</u>							
NY 059-2 Remington Pk	Playground Equipment	1475	L.S.	20,000				
NY 059-2 Remington Pk	Truck and Plow	1475	1 each	40,020				
NY 059-3 Ilion Heights	Playground Equipment	1475	L.S.	20,000				
	Subtotal Acct 1475			80,020				
	Grand Total			204,281				

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule**

PHA Name: Ilion Housing Authority		Grant Type and Number Capital Fund Program No: NY06P059 501 06 Replacement Housing Factor No:				Federal FY of Grant: 2006	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA Wide	07/18/08			07/18/10			
NY 059-1 London Towers	07/18/08			07/18/10			
NY 059-2 Remington Park	07/18/08			07/18/10			
NY 059-3 Ilion Heights	07/18/08			07/18/10			

8. Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan					
Part I: Summary					
PHA Name: Ilion Housing Authority				<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2007 PHA FY: 10/1/2007	Work Statement for Year 3 FFY Grant: 2008 PHA FY: 10/1/2008	Work Statement for Year 4 FFY Grant: 2009 PHA FY: 10/1/2009	Work Statement for Year 5 FFY Grant: 2010 PHA FY: 10/1/2010
	Annual Statement				
HA Wide		61,284	61,284	61,284	61,284
NY 059-1 London Towers		85,997	48,000	52,000	72,997
NY 059-2 Remington Park		32,200	45,997	45,499	40,000
NY 059-3 Ilion Heights		26,800	47,000	45,498	30,000
CFP Funds Listed for 5-year planning		204,281	204,281	204,281	204,281
Replacement Housing Factor Funds					

8. Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan						
Part II: Supporting Pages—Work Activities						
Activities for Year 1	Activities for Year: 2 FFY Grant: 2007 PHA FY: 10/1/2007 – 9/30/2008			Activities for Year: 3 FFY Grant: 2008 PHA FY: 10/1/2008 – 9/30/2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	HA Wide	<u>Operations (1406)</u>		HA Wide	<u>Operations (1406)</u>	
Annual		P H Operations	40,856		P H Operations	40,856
Statement	HA Wide	<u>Administration (1410)</u>	20,428	HA Wide	<u>Administration (1410)</u>	20,428
		Prorated salaries & benefits for administration of CFP			Prorated salaries & benefits for administration of CFP	
		Total HA Wide	61,284		Total HA Wide	61,284
	NY 059-1	<u>Dwelling Structures (1460)</u> Cabinets Hot Water Heater Paint and Seal Stairwell Fire Alarm System Upgrade Total	47,997 5,000 18,000 5,000 75,997	NY 059-1	<u>Dwelling Structures (1460)</u> Parking Lot <u>Dwelling Equipment (1465.1)</u> Refrigerators	18,000 30,000
	NY059-2	<u>Dwelling Equipment (1465.1)</u> Refrigerators <u>Non Dwelling Structures (1470)</u> Family Pavilion	10,200 20,000	NY-059-2	<u>Dwelling Structures (1460)</u> Storage <u>Dwelling Equipment (1465.1)</u> Ranges <u>Site Improvement (1450)</u> Parking Lot	20,000 5,997 20,000

8. Capital Fund Program Five-Year Action Plan

	NY059-3	Dwelling Equipment (1465.1) Refrigerators	6800	NY-059-3	Dwelling Structures (1460) Storage	18,000
		Non Dwelling Structures (1470) Family Pavilion	20,000		Dwelling Equipment (1465.1) Ranges	9,000
					Site Improvements (1450) Parking Lot	20,000
Total CFP Estimated Cost			\$204,281			\$204,281

8. Capital Fund Program Five-Year Action Plan

Activities for Year: 4 FFY Grant: 2009 PHA FY: 10/1/2009 – 9/30/2010			Activities for Year: 5 FFY Grant: 2010 PHA FY: 10/1/2010 – 9/30/2011		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
HA Wide	<u>Operations (1406)</u>		HA Wide	<u>Operations (1406)</u>	
	P H Operations	40,856		P H Operations	40,856
HA Wide	<u>Administration (1410)</u>	20,428	HA Wide	<u>Administration (1410)</u>	20,428
	Prorated salaries & benefits for administration of CFP			Prorated salaries & benefits for administration of CFP	
	Total HA Wide	61,284		Total HA Wide	61,284
NY 059-1	<u>Dwelling Structures (1460)</u> Apartment Upgrades	52,000	NY 059-1	<u>Dwelling Structures (1460)</u> Apartment Upgrades	37,997
				<u>Dwelling Equipment (1465.1)</u> Ranges	35,000
NY 059-2	<u>Dwelling Structures (1460)</u> Doors, Apartment Upgrades, Counter tops.	45,499	NY 059-2	<u>Dwelling Structures (1460)</u> Cabinets, Apartment Upgrades	40,000
NY 059-3	<u>Dwelling Structures (1460)</u> Doors, Counter tops, Apartment Upgrades	45,498	NY 059-3	<u>Dwelling Structures (1460)</u> Cabinets, Apartment Upgrades	30,000
Total CFP Estimated Cost		\$204,281			\$204,281

ATTACHMENT A

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Ilion Housing Authority			Grant Type and Number Capital Fund Program Grant No: NY06P059 501 05 Replacement Housing Factor Grant No:		Federal FY of Grant: 2005
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/06 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	20,000		20,000	20,000
3	1408 Management Improvements	5,000		-0-	-0-
4	1410 Administration	23,924		-0-	-0-
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	166,444		75,000	38,320.80
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	215,368		95,000	58,320.80
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Ilion Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P059 501 05 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA Wide	<u>Operations</u>	1406	L.S.					
	P H Operations			20,000		20,000	20,000	
	Subtotal Acct 1406			20,000		20,000	20,000	
HA Wide	<u>Management Improvements</u>	1408	L.S.	5,000		-0-	-0-	
	Subtotal Acct 1408			5,000		-0-	-0-	
HA Wide	<u>Administration</u>	1410	L.S.					
	Prorated salaries & benefits for administration of CFP			23,924		-0-	-0-	Pending
	Subtotal Acct 1410			23,924		-0-	-0-	
NY 059-1 London Towers	<u>Dwelling Structures</u>	1460						
	Asbestos abatement; improve insulation; upgrade electrical system; new ceilings		160 units	-0-				Project terminated
	New roof and energy recovery system (replaces asbestos project)	1460		166,444	166,444	75,000	38,320.80	Underway
	Subtotal Acct 1460			166,444	166,444	75,000	38,320.80	
	Grand Total			215,368	215,368	95,000	58,320.80	

Development Number/Name HA-wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	OPERATIONS	1406						
PHA Wide	Operations			18,266.00	18,266.00	18,266.00	18,266.00	Completed
	Total Account 1408			18,266.00	18,266.00	18,266.00	18,266.00	
	ADMINISTRATION	1410						
PHA Wide	Staff Salaries			9,133.00	9,133.00	9,133.00	9,133.00	Completed
	Total Account 1410			9,133.00	9,133.00	9,133.00	9,133.00	
6	1415 Liquidated Damages							
7	1430 Fees and Costs	1430			30,000	25,930.69	25,930.69	18,314.75
8	PHA Wide 1440 Site Acquisition			14,625.00	14,625.00	14,625.00	13,455.00	92 Complete
9	1450 Site Improvement			14,625.00	14,625.00	14,625.00	13,455.00	
10	1460 Dwelling Structures				177,224	177,224	177,212.01	177,212.01
11	1465 DWELLING STRUCTURES Nonexpendable	1460						
12	PHA Wide 1470 Renovation Structures			49,307.00	49,307.00	49,307.00	49,307.00	4,081.30
13	1475 Nonworking Equipment			49,307.00	49,307.00	49,307.00	49,307.00	
14	1485 Demolition							
15	1490 Replacement Reserve							
16	1492 Moving to Work Demonstration							
17	1495.1 Relocation Costs							
18	1499 Development Activities							
19	1501 Collateralization or Debt Service							
20	1502 Contingency							
21	Amount of Annual Grant: (sum of lines 2 - 20)				231,924	231,924	231,924	219,672.30
22	Amount of GRAND TOTAL CFP 2003 Activities			91,331.00	91,331.00	91,331.00	90,161.00	
23	Executive Director & Date Related to Section 504 compliance							
24	Amount of line 21 Related to Security - Soft Costs							
25	Amount of Line 21 Related to Security - Hard Costs							
26	Amount of line 21 Related to Energy Conservation Measures							

1 To be Completed for te Performance and Evaluation Report or a Revised Annual Statement
 2 To be Completed for te Performance Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Ilion Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P059 501 04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA Wide	<u>Operations</u>	1406	L.S.					
	P H Operations			9,700	9,700	9,700	9,700.00	Complete
	Subtotal Acct 1406			9,700	9,700	9,700	9,700.00	
HA Wide	<u>Administration</u>	1410	L.S.					
	Prorated salaries & benefits for administration of CFP			15,000	15,000	15,000	10,364.24	In progress
	Subtotal Acct 1410			15,000	15,000	15,000	10,364.24	
HA Wide	<u>Fees and Costs</u>	1430	L.S.					
	A&E & misc. fees and costs			30,000	25,931	25,930.69	18,314.75	In progress
	Subtotal Acct 1430			30,000	25,931	25,930.69	18,314.75	
	<u>Dwelling Structures</u>	1460						
NY 059-1 London Towers	Asbestos abatement; improve insulation; upgrade electrical system; new ceilings		160 units	-0-	-0-			Project terminated
	New roof and energy recovery system (replaces asbestos project)	1460		177,224	177,224	177,212.01	177,212.01	In progress
	Subtotal Acct 1460			177,224	177,224	177,212.01	177,212.01	
	<u>Non-Dwelling Equipment</u>	1475						
	Security Cameras			-0-	4,069	4,081.30	4,081.30	Complete
	Subtotal Acct 1475			-0-	4,069	4,081.30	4,081.30	
	Grand Total			231,924	231,924	231,924.00	219,672.30	

