

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

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# PHA Plans

5 Year Plan for Fiscal Years 2006 - 2010  
Annual Plan for Fiscal Year 2006

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN  
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan  
Agency Identification**

**PHA Name:** Springfield Housing Authority

**PHA Number:** IL004

**PHA Fiscal Year Beginning: (mm/yyyy)** 01/2006

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

**5-YEAR PLAN**  
**PHA FISCAL YEARS 2006 - 2010**  
[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

**The Springfield Housing Authority is the primary leader in providing quality affordable housing to individuals and families, while encouraging partnerships necessary for residents to develop self-sufficiency and to be productive members of the community.**

**B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- PHA Goal: Expand the supply of assisted housing  
Objectives:
- Apply for additional rental vouchers:
  - Reduce public housing vacancies:
  - Leverage private or other public funds to create additional housing opportunities:
  - Acquire or build units or developments
  - Other (list below)  
**Pursue the disposition/demolition of aged hi-rise buildings.**
- PHA Goal: Improve the quality of assisted housing  
Objectives:

- Improve public housing management: (PHAS score) **To be a high performer**
  - Improve voucher management: (SEMAP score) **To be a Section 8 high-performer**
  - Increase customer satisfaction:
  - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections) **Quality of maintenance service responsiveness.**
  - Renovate or modernize public housing units: **Continue to update units.**
  - Demolish or dispose of obsolete public housing: **To explore options to combat a declining occupancy rate and pursue the disposition/demolition of aged hi-rise buildings.**
  - Provide replacement public housing:
  - Provide replacement vouchers: **Distribute the vouchers received for the replacement of the units lost with IL 4-01 John Hay Homes.**
  - Other: (list below)
- PHA Goal: Increase assisted housing choices
- Objectives:
- Provide voucher mobility counseling:
  - Conduct outreach efforts to potential voucher landlords
  - Increase voucher payment standards
  - Implement voucher homeownership program: **Continue with and expand the Section 8 Homeownership Program.**
  - Implement public housing or other homeownership programs: **Continue to administer the homeownership program at Madison Park Place (HOPE VI); and the off-site MPP as well as initiate and develop homeownership opportunities at 4-22.**
  - Implement public housing site-based waiting lists: **Administer site-based waiting lists for projects IL4-10, IL4-20, IL4-22, and Madison Park Place.**
  - Convert public housing to vouchers:
  - Other: (list below)

**HUD Strategic Goal: Improve community quality of life and economic vitality**

- PHA Goal: Provide an improved living environment
- Objectives:
  - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments: **Deconcentration at IL4-05**
  - Implement measures to promote income mixing in public housing and Section 8 by assuring access for lower income families into higher income

developments: **Madison Park Place (HOPE VI) is a mixed-income development.**

- Implement public housing security improvements: **Purchase additional lighting; keep SHA Security force at full-staff; purchase additional exterior cameras; purchase personal security devices for residents.**
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities) **SHA will update its current designations in accordance with CFR's**
- Other: (list below)

### **HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

- PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families: **Add preference points to employed persons in the Housing Choice Voucher Program.**
- Provide or attract supportive services to improve assistance recipients' employability: **Initiate and maintain cooperative agreements with area support service agencies; work with local continuum of care.**
- Provide or attract supportive services to increase independence for the elderly or families with disabilities: **Work with area social service providers through Memorandums of Agreement of service delivery and referrals. The Springfield Housing Authority will hire, in accordance with HUD (PIH notice 2003-22) an Elderly/Disabled Service Coordinator to further its concentration and efforts for this area upon receipt of grant funding which allows for this.**
- Other: (list below) **Implement Project Based Assistance for Elderly.**

### **HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:

Other: (list below)

**Other PHA Goals and Objectives: (list below)**

- 1. To encourage and support the youth of today toward becoming the leaders of tomorrow.**
- 2. To be the best landlord in the Springfield Community.**
- 3. To obtain and maintain partnerships and agreements with the various community resources.**
- 4. To equip residents with the skills to become self-sufficient.**
- 5. To provide quality housing.**
- 6. To achieve a 97% occupancy rate.**
- 7. To improve the overall image of the Springfield Housing Authority.**
- 8. To establish an income stream apart from that generated by rental income.**
- 9. Move towards disposition and revitalization efforts of the (5) SHA hi-rise buildings.**
- 10. Move toward the consolidation of SHA administrative operations and maintenance from multiple locations to one central location.**
- 11. Develop retail space in the Madison Park Place subdivision.**
- 12. Develop additional affordable housing for those in need.**

**Annual PHA Plan**  
**PHA Fiscal Year 2006**  
[24 CFR Part 903.7]

**i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

**Standard Plan**

**Streamlined Plan:**

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

**Troubled Agency Plan**

**ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

**iii. Annual Plan Table of Contents**

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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**Attachments**

Indicate which attachments are provided by selecting all that apply. Provide the attachment’s name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

**Required Attachments:**

- Admissions Policy for Deconcentration
- Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

**Optional Attachments:**

- PHA Management Organizational Chart
- Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

**Supporting Documents Available for Review**

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is	Annual Plan:



<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
	located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
N /A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
X	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing (1629 E. Glenn)	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
X	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
N/A	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

# 1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

## A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	<b>4,384</b>	<b>4</b>	<b>3</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>4</b>
Income >30% but <=50% of AMI	<b>2,979</b>	<b>4</b>	<b>3</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>4</b>
Income >50% but <80% of AMI	<b>3,677</b>	<b>3</b>	<b>2</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>3</b>
Elderly	<b>2,627</b>	<b>4</b>	<b>2</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>3</b>
Families with Disabilities	<b>N/A</b>	<b>3</b>	<b>2</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>2</b>
Caucasian	<b>N/A</b>						
African/American	<b>N/A</b>						
Latino	<b>N/A</b>						
Asian/PI	<b>N/A</b>						

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s  
Indicate year: 2000-2004
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset: Integrated into Consolidated Plan
- American Housing Survey data  
Indicate year:
- Other housing market study  
Indicate year:
- Other sources: (list and indicate year of information)

## B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

<b>Housing Needs of Families on the Waiting List</b>			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	<b>723</b>		
Extremely low income <=30% AMI	<b>718</b>	<b>99%</b>	
Very low income (>30% but <=50% AMI)	<b>5</b>	<b>0%</b>	
Low income (>50% but <80% AMI)	<b>0</b>	<b>0%</b>	
Families with children	<b>503</b>	<b>70%</b>	
Elderly families	<b>20</b>		
Families with Disabilities	<b>200</b>	<b>27%</b>	
White	<b>213</b>	<b>29%</b>	
Black	<b>502</b>	<b>69%</b>	
Hispanic			
Other			
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			

Housing Needs of Families on the Waiting List			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 1 month			
Does the PHA expect to reopen the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes <b>Mainstream Voucher to Family Unification Program</b>			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	<b>305</b>		
Extremely low income <=30% AMI	<b>305</b>	<b>100%</b>	
Very low income (>30% but <=50% AMI)	<b>0</b>	<b>0%</b>	
Low income (>50% but <80% AMI)	<b>0</b>	<b>0%</b>	
Families with children	<b>274</b>	<b>89%</b>	
Elderly families	<b>5</b>	<b>1%</b>	
Families with Disabilities	<b>28</b>	<b>0.9%</b>	
Caucasian	<b>79</b>		
Black	<b>223</b>		
Hispanic			
Other			
Characteristics by Bedroom Size			

<b>Housing Needs of Families on the Waiting List</b>			
(Public Housing Only)			
1BR	<b>91</b>		
2 BR	<b>124</b>		
3 BR	<b>59</b>		
4 BR	<b>22</b>		
5 BR	<b>11</b>		
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

### **C. Strategy for Addressing Needs**

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### **(1) Strategies**

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration

- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Marketing Efforts**

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
  - Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
  - Employ admissions preferences aimed at families with economic hardships
  - Adopt rent policies to support and encourage work
  - Other: (list below)
- Encourage participation in the Springfield Housing Authority Family Self-Sufficiency Program.

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below): **Develop and promote additional amenities in elderly developments.**

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities: **Continue to update designations in accordance with the CFR's.**
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available: **Implement the Mainstream Housing Opportunities for Persons with Disabilities with Grant awarded to the Springfield Housing Authority.**
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)  
**Further develop partnerships with agencies that work with disabled populations.**

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)



**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

## **2. Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2003 grants)</b>		
a) Public Housing Operating Fund	2,443,829.00	Public housing
b) Public Housing Capital Fund	1,281,500.00	See plan
c) HOPE VI Revitalization	N/A	
d) HOPE VI Demolition	N/A	
e) Annual Contributions for Section 8 Tenant-Based Assistance	11,084,576.00	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	N/A	
g) Resident Opportunity and Self-Sufficiency Grants	250,000.00	
h) Community Development Block Grant	N/A	
i) HOME	N/A	
Other Federal Grants (list below)		
<b>Federal Home Loan Bank of Chicago</b>	17,953.00	HOPE VI Development: Madison Park Place
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
PHDEP 2001	12,500.00	Safety and Security
CFP 2000	324,017.00	Mod and Admin
CFP 2001	782,539.00	Mod and Admin
CGP2002	1,480,561.00	Mod and Admin
CGP 2003	N/A	
HOPE VI URD Grant	1,965,784.00	
<b>3. Public Housing Dwelling Rental Income</b>		
Excess Utilities	15,000.00	Public Utilities

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>4. Other income</b> (list below)		
<b>4. Non-federal sources</b> (list below)		
<b>Total resources</b>	\$19,658.259	

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 (c)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: **As soon as possible.**
- Other: (describe)  
**When all requested information has been verified.**

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping: **For developments IL4-20, IL4-22 and IL4-10**
- Other (describe)

c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

##### **(2) Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office

- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? One

2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?

3.  Yes  No: May families be on more than one list simultaneously  
If yes, how many lists? **Three (Most individuals are not interested in both rental and for-purchase homes)**

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

**(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b.  Yes  No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

**(4) Admissions Preferences**

a. Income targeting:

- Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies  
 Overhoused  
 Underhoused  
 Medical justification  
 Administrative reasons determined by the PHA (e.g., to permit modernization work)  
 Resident choice: (state circumstances below)  
 Other: (list below)

c. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)  
 Victims of domestic violence  
 Substandard housing  
 Homelessness  
 High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability  
 Veterans and veterans' families  
 Residents who live and/or work in the jurisdiction  
 Those enrolled currently in educational, training, or upward mobility programs  
 Households that contribute to meeting income goals (broad range of incomes)  
 Households that contribute to meeting income requirements (targeting)  
 Those previously enrolled in educational, training, or upward mobility programs  
 Victims of reprisals or hate crimes  
 Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA’s Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition?  
(select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing**

a.  Yes  No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b.  Yes  No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site based waiting lists  
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments  
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments  
If selected, list targeted developments below:
- Other (list policies and developments targeted below)  
**Offering incentives to those individuals who are willing to move into an impacted area (IL4-05).**

d.  Yes  No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments



- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:  
**Springfield Housing Authority Family Developments, specifically Brandon Court (IL4-05)**

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: **results of analysis did not indicate a need for such efforts.**
- List (any applicable) developments below:

## B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### (1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)

b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

Criminal or drug-related activity

Other (describe below)

**The Springfield Housing Authority will provide the name, address and telephone number of previous landlords to prospective landlords if the information is requested.**

**(2) Waiting List Organization**

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

None

Federal public housing

Federal moderate rehabilitation

Federal project-based certificate program

Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

PHA main administrative office

Other (list below)

**(3) Search Time**

a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

**If an applicant is unable to find suitable housing within the standard 60-day period, an extension may be granted. Medical reasons may also substantiate an extension to the standard 60-day search period.**

**(4) Admissions Preferences**

a. Income targeting

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)  
**Applicants are given preference if they are employed.**

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability

- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

#### **(5) Special Purpose Section 8 Assistance Programs**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

**Through informational materials disseminated from the Springfield Housing Authority Administrative Office.**

## **4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

#### **(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0  
 \$1-\$25  
 \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

1. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

**Senior developments have a ceiling/flat rent of \$325.00.  
All developments have set ceiling rents based on the FMR's.**

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)  
If yes, state amount/s and circumstances below:
  
- Fixed percentage (other than general rent-setting policy)  
If yes, state percentage/s and circumstances below:
  
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study

- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) **\$100 per month.**
- Other (List Below)  
**Anytime a family experiences an income decrease with a threshold amount of \$100.00.**

- g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

**(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

**Fair market rents.**

## B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### (1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families



- Rent burdens of assisted families
- Other (list below)

**Rent Reasonableness Study/Market Conditions.**

**(2) Minimum Rent**

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

## **5. Operations and Management**

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

### **A. PHA Management Structure**

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

### **B. HUD Programs Under PHA Management**

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

<b>Program Name</b>	<b>Units or Families Served at Year Beginning 2006</b>	<b>Expected Turnover</b>
Public Housing	949	120
Section 8 Vouchers	1898	50
Section 8 Certificates	N/A	N/A
Section 8 Mod Rehab	N/A	N/A
Special Purpose Section 8 Certificates/Vouchers (list individually)	New construction-100 Project based-36 Family unification -21 Mainstream Vouch-70	4
Public Housing Drug Elimination Program (PHDEP)	N/A	
Other Federal Programs(list individually)	ShelterPlus-7	2

### **C. Management and Maintenance Policies**

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of

public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

**(1) Public Housing Maintenance and Management: (list below)**

- 1. Admissions and Continued Occupancy Policy**
- 2. Housing Management and Standard Operating Procedure**
- 3. Personnel Policy**
- 4. Maintenance Standard Operating Procedure**
- 5. Mod/Development Standard Operating Procedures**
- 6. Pest Control Policy**
- 7. One Strike Policy**
- 8. Criminal Trespass Policy**
- 9. Standard Security Procedures**
- 10. Check Signing Policy**
- 11. Funds Transfer Policy**
- 12. Investment Guidelines**
- 13. Capitalization Policy**

**(1) Section 8 Management: (list below)**

- 1. Section 8 Administrative Plan**
- 2. Section 8 Standard Operating Procedures**
- 3. Section 8 Private Landlord Workshop Booklet**

## **6. PHA Grievance Procedures**

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

### **A. Public Housing**

1.  Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- PHA main administrative office
  - PHA development management offices
  - Other (list below)

### **B. Section 8 Tenant-Based Assistance**

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- PHA main administrative office
  - Other (list below)

## **7. Capital Improvement Needs**

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

### **A. Capital Fund Activities**

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

#### **(1) Capital Fund Program Annual Statement**

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) Attachment A

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

#### **(2) Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a.  Yes  No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) Attachment B

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

### **B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes  No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name: **Madison Park Place**

2. Development (project) number: **IL06URD004L194 (IL4-23)**

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes  No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name/s below:

Yes  No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?  
If yes, list developments or activities below:

Yes  No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?  
If yes, list developments or activities below:  
**Demolition of 1629 East Glenn, a single-family unit.**  
**Sale of 407 West Hay, a single-family unit.**  
**Demolition of Major Byrd High-Rise (IL4-02)**  
**Development plan for Replacement Housing Factor Funds.**

**8. Demolition and Disposition**

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes  No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>Major Byrd</b>
1b. Development (project) number: <b>IL 4-2</b>
2. Activity type: Demolition <input checked="" type="checkbox"/> Partial or Full Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <b>November 2004</b>
5. Number of units affected: <b>76</b>
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development: <b>Major Byrd High-Rise IL4-2</b>
7. Timeline for activity: a. Actual or projected start date of activity: <b>Spring 2005</b> b. Projected end date of activity: <b>December 2006</b>

<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>Scattered Site – 407 West Hay, Springfield, IL 62702</b>
1b. Development (project) number: <b>IL 0040018</b>
2. Activity type: Demolition <input type="checkbox"/> Partial or Full Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/>

Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <b>February 24, 2004</b>
5. Number of units affected: <b>1</b>
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: <b>October 2004</b> b. Projected end date of activity: <b>December 2004</b>



**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10. **The SHA has designated developments.**

2. Activity Description

- Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Bonansinga</b>
1b. Development (project) number: <b>IL4-03(1)</b>
2. Designation type: Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA’s Designation Plan <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: <b>September 24, 2001</b>
5. If approved, will this designation constitute a (select one) <b>N/A</b> <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?

6. Number of units affected: <b>98</b>
7. Coverage of action (select one)
<input type="checkbox"/> Part of the development
<input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Sankey Towers Apartments</b>
1b. Development (project) number: <b>IL4-03(2) and IL4-06</b>
2. Designation type:
Occupancy by only the elderly <input type="checkbox"/>
Occupancy by families with disabilities <input type="checkbox"/>
Occupancy by only elderly families and families with disabilities <input checked="" type="checkbox"/>
3. Application status (select one)
Approved; included in the PHA's Designation Plan <input checked="" type="checkbox"/>
Submitted, pending approval <input type="checkbox"/>
Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: <b>September 24, 2001</b>
5. If approved, will this designation constitute a (select one) <b>N/A</b>
<input type="checkbox"/> New Designation Plan
<input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: <b>212</b>
7. Coverage of action (select one)
<input type="checkbox"/> Part of the development
<input checked="" type="checkbox"/> Total development

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Hildebrandt Senior Apartments</b>
1b. Development (project) number: <b>IL4-09</b>
2. Designation type:
Occupancy by only the elderly <input type="checkbox"/>
Occupancy by families with disabilities <input checked="" type="checkbox"/>
Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one)
Approved; included in the PHA's Designation Plan <input checked="" type="checkbox"/>
Submitted, pending approval <input type="checkbox"/>
Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: <b>September 24, 2001</b>
5. If approved, will this designation constitute a (select one) <b>N/A</b>
<input type="checkbox"/> New Designation Plan
<input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: <b>150</b>
7. Coverage of action (select one)

<input type="checkbox"/>	Part of the development
<input checked="" type="checkbox"/>	Total development

## **10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

### **A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1.  Yes  No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

#### 2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below. **N/A**

<b>Conversion of Public Housing Activity Description</b>
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date

submitted or approved:

- Units addressed in a pending or approved HOPE VI demolition application  
(date submitted or approved: )
- Units addressed in a pending or approved HOPE VI Revitalization Plan  
(date submitted or approved: )
- Requirements no longer applicable: vacancy rates are less than 10 percent
- Requirements no longer applicable: site now has less than 300 units
- Other: (describe below)

**B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937**

## 11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

### A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1.  Yes  No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

#### 2. Activity Description

- Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

<b>Public Housing Homeownership Activity Description (Complete one for each development affected)</b>	
1a. Development name: <b>Madison Park Place</b>	
1b. Development (project) number: <b>IL-06-URD-0040-1194 (IL4-24)</b>	
2. Federal Program authority:	
<input checked="" type="checkbox"/>	HOPE IV
<input checked="" type="checkbox"/>	5(h)
<input type="checkbox"/>	Turnkey III
<input type="checkbox"/>	Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one)	
<input checked="" type="checkbox"/>	Approved; included in the PHA’s Homeownership Plan/Program
<input type="checkbox"/>	Submitted, pending approval
<input type="checkbox"/>	Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: <b>July 18, 2000</b>	
5. Number of units affected: <b>44</b>	
6. Coverage of action: (select one)	
<input checked="" type="checkbox"/>	Part of the development

Total development

## B. Section 8 Tenant Based Assistance

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- Yes  No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants  
 26 - 50 participants  
 51 to 100 participants  
 more than 100 participants

**For the first year of utilization 10 families will be allowed to participate if the programs success continues, participants will be added according to interest and staff consideration.**

b. PHA-established eligibility criteria

- Yes  No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

- 1) **FSS participants;**
- 2) **Current S8 program participant of program for one year or longer; and**
- 3) **All other applicants.**

## **12. PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

### **A. PHA Coordination with the Welfare (TANF) Agency**

#### 1. Cooperative agreements:

- Yes  No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed?

**April 27, 1999**

#### 2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

### **B. Services and programs offered to residents and participants**

#### **(1) General**

##### a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA



- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )

<b>Services and Programs</b>				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
<b>Family Self-Sufficiency Program</b>  <b>LOCATION:</b> <b>Springfield Housing Authority</b> <b>200 North Eleventh Street</b> <b>Springfield, IL 62703</b>	<b>374</b>	<b>Any resident of Public Housing or Section 8 who wants to seek and maintain employment and become free from welfare assistance.</b>	<b>Springfield Housing Authority FSS Program-SHA main office</b>  <b>FSS coordinator</b> <b>FSS specialists or</b> <b>FSS Job Development Welfare assistance</b>	<b>Both</b>
<b>Job Development program-connects FSS participants will employment options</b>  <b>LOCATION:</b> <b>Springfield Housing Authority</b> <b>200 North Eleventh Street</b> <b>Springfield, IL 62703</b>		<b>Open to all interested persons</b>	<b>PHA Main Office</b>	<b>Both</b>
<b>Budgeting, credit counseling and money management</b>  <b>LOCATION:</b> <b>Springfield Housing Authority</b> <b>200 North Eleventh Street</b> <b>Springfield, IL 62703</b>		<b>Open to all interested persons</b>	<b>PHA Main Office</b>	<b>Both</b>

**(2) Family Self Sufficiency program/s**

a. Participation Description

<b>Family Self Sufficiency (FSS) Participation</b>		
<b>Program</b>	<b>Required Number of Participants (start of FY 2006 Estimate)</b>	<b>Actual Number of Participants As of: 09/30/04</b>
<b>Public Housing</b>	<b>0</b>	<b>75</b>
<b>Section 8</b>	<b>72</b>	<b>362</b>
<b>HOPE VI</b>	<b>0</b>	<b>17</b>

- b.  Yes  No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?  
If no, list steps the PHA will take below:

**C. Welfare Benefit Reductions**

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
  - Informing residents of new policy on admission and reexamination
  - Actively notifying residents of new policy at times in addition to admission and reexamination.
  - Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
  - Establishing a protocol for exchange of information with all appropriate TANF agencies
  - Other: (list below)

**D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937**

**General**

In order to be eligible for continued occupancy, (unless they are exempt from this requirement) each adult family member must either (1) contribute eight hours per month of community service (not including political activities) within the community in which the public housing development is located, or (2) participate in an economic self-sufficiency program.

**Exemptions**

Adult family members of tenant families are exempt from this requirement if they qualify under one of the following:

- Family members who are 62 or older
- Family members who are blind or disabled
- Family members engaged in work activity
- Family members who are exempt from work activity under part A, Title IV of the Social Security Act or under any State Welfare Program, including Welfare-to-Work program
- Family members receiving assistance under state program funded under part A title IV of the Social Security Act or under State welfare program, including welfare-to-work and who are in compliance with the program

**Notification of Requirement**

The Springfield Housing Authority shall identify all adult family members of the community service requirement.

The Springfield Housing Authority shall notify all such family members of the community service requirement and of the categories of individuals who are exempt from the requirement. The notification will provide the opportunity from family members to claim and explain and exempt status. The Springfield Housing Authority shall verify such claims.

The notification will advise families that their community service obligation will begin upon execution of lease containing these provisions, be the head of household. For family's paying a flat rent, the obligation begins on the date their annual re-examination would have been effective had an annual re-examination taken place. It will also advise them that failure to comply with the community service requirement will result in ineligibility for continued occupancy at the time of the subsequent annual re-examination.

**Volunteer Opportunities**

Community Service includes performing work or duties in the public benefit that serve to improve the quality of life and/or enhance resident self-sufficiency, and/or increase the self-responsibility of the resident of the community.

An economic self-sufficiency program is one that is designed to encourage, assist, train or facilitate the economic independence of participants and their families or to provide work for participants. These programs may include programs for job training, work placement, basic skills, training, education, English proficiency, work fair, financial or household management, apprenticeship, and any program necessary to ready a participant to work (such as substance or mental health treatment).

The Springfield Housing Authority will coordinate with social services agencies, local schools, and the Human Resources Office identifying a list of volunteer community service positions.

Together with the resident advisory councils, the Springfield Housing Authority may create volunteer positions such as hall monitor, litter patrol and supervising and record keeping for volunteers.

### **The Process**

At the first annual re-examination on or after October 1, 1999, and each annual re-examination thereafter, the Springfield Housing Authority will do the following:

- Provide a list of volunteer opportunities to the family members.
- Provide information about obtaining suitable volunteer positions.
- Provide a volunteer time sheet to the family member, instructions for the time sheet require the individual to complete the form and have a supervisor date and sign for each period of work.
- Assign family members to a volunteer coordinator who will assist the family members in identifying appropriate assignments. Volunteer Coordinator will track the family member's progress monthly and will meet with the family member as needed to best encourage compliance.
- Thirty (30) days before the family's next lease anniversary date, the volunteer coordinator will advise the Springfield Housing Authority whether each applicable adult family member is in compliance with the community service requirement.

### **Notification of Non-Compliance with Community Service Requirement**

The Springfield Housing Authority will notify any family found to be in non-compliance of the following:

- The family member (s) has been determined to be in non-compliance.
- That the determination is subject to the grievance procedure; and
- That, unless the family member (s) enter into an agreement to comply, the lease will not be renewed or will be terminated;

### **Opportunity for Cure**

The Springfield Housing Authority will offer the family member (s) the opportunity to enter into an agreement prior to the anniversary of the lease. The agreement shall state that the family member (s) agrees to enter into an economic self-sufficiency program or agrees to contribute to community service for as many hours as needed to comply with the requirement over the past 12-month period. The cure shall occur over the 12-month period beginning with the date of the agreement and the resident shall at the same time stay current with that year's community service requirement. The first hours a resident earns goes towards the current commitment until the current year's commitment is complete.

The volunteer coordinator will assist the family member in identifying volunteer opportunities and will track compliance on a monthly basis. If any applicable family member does not accept the terms of the agreement, does not fulfill their obligation to participate in a economic self-sufficiency program, or falls behind in their obligation under the agreement to perform community service by more that (3) hours after (3) months, the Springfield Housing Authority shall take action to terminate the lease.

### **13. PHA Safety and Crime Prevention Measures**

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

#### **A. Need for measures to ensure the safety of public housing residents**

1. Describe the need for measures to ensure the safety of public housing residents

(select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

**Internal reports generated by SHA Security Department.**

3. Which developments are most affected? (list below)

**IL 4-05 Brandon Court**

**IL 4-10 Johnson Park, Lincolnwood Estates**

#### **B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

1. Which developments are most affected? (list below)

**IL 4-05 Brandon Court**

**IL 4-10 Johnson Park, Lincolnwood Estates**

### **C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

**IL 4-05 Brandon Court**

**IL 4-10 Johnson Park, 1111 South 19<sup>th</sup> Street Apartments, Lincolnwood Estates**

### **D. Additional information as required by PHDEP/PHDEP Plan**

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes  No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes  No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- Yes  No: This PHDEP Plan is an Attachment. (Attachment Filename: \_\_\_\_)

#### **14. RESERVED FOR PET POLICY**

[24 CFR Part 903.7 9 (n)]

**A pet policy was implemented on 10/1/99 that allowed public housing residents to keep domestic animals 30 lbs or less with appropriate immunizations. A specific agreement and security deposit are required at the time of the lease signing.**

## **15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

**Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.**



## **16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

1.  Yes  No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?  
(If no, skip to component 17.)
2.  Yes  No: Was the most recent fiscal audit submitted to HUD?
3.  Yes  No: Were there any findings as the result of that audit?
4.  Yes  No: If there were any findings, do any remain unresolved?  
If yes, how many unresolved findings remain? \_\_\_\_\_
5.  Yes  No: Have responses to any unresolved findings been submitted to HUD?  
If not, when are they due (state below)? September 30, 2006

## **17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1.  Yes  No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
  
2. What types of asset management activities will the PHA undertake? (select all that apply)
  - Not applicable
  - Private management
  - Development-based accounting
  - Comprehensive stock assessment
  - Other: (list below)  
**Exploring options for generating revenue independent of HUD subsidy.**
  
3.  Yes  No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

## **18. Other Information**

[24 CFR Part 903.7 9 (r)]

### **A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
  
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)  
 Attached at Attachment (File name)  
 Provided below:
  
3. In what manner did the PHA address those comments? (select all that apply)  
 Considered comments, but determined that no changes to the PHA Plan were necessary.  
 The PHA changed portions of the PHA Plan in response to comments  
List changes below:  
 Other: (list below)

### **B. Description of Election process for Residents on the PHA Board**

1.  Yes  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
  
2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

#### 3. Description of Resident Election Process

##### a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

##### b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance

- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

**C. Statement of Consistency with the Consolidated Plan**

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: **Springfield Housing Authority**
  
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
  - The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
  - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
  - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
  - Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
  
  - Other: (list below)
  
4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

**D. Other Information Required by HUD**

Use this section to provide any additional information requested by HUD.

## **Attachments**

Use this section to provide any additional attachments referenced in the Plans.

# PHA Plan Table Library

## Component 7 Capital Fund Program Annual Statement Parts I, II, and II

### Annual Statement Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number      FFY of Grant Approval: (MM/YYYY)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	<b>Amount of Annual Grant (Sum of lines 2-19)</b>	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

**Annual Statement**  
**Capital Fund Program (CFP) Part II: Supporting Table**

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost

**Annual Statement**

**Capital Fund Program (CFP) Part III: Implementation Schedule**

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)



## Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>				



## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: <b>Springfield Housing Authority</b>	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R004501-01</b>	Federal FY of Grant: <b>2001</b>
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Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no:    )  
 X Performance and Evaluation Report for Period Ending: **06/30/05**  
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve	754,611.00	754,611.00	339,340.17	343,680.00
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>754,611.00</b>	<b>754,611.00</b>	<b>339,340.17</b>	<b>343,680.00</b>
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				



<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part III: Implementation Schedule</b>							
PHA Name: <b>Springfield Housing Authority</b>		Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: <b>IL06R004501-01</b>			Federal FY of Grant:  <b>2001</b>		
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
Replacement Reserve	02/27/06			02/27/08			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: <b>IL06P004-501-02</b> Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2002</b>
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Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
Performance and Evaluation Report for Period Ending: 06/30/04  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	0.00	0.00	0.00	0.00
3	1408 Management Improvements	330,000.00	330,000.00	330,000.00	330,000.00
4	1410 Administration	165,085.00	165,085.00	165,085.00	165,085.00
5	1411 Audit	0.00	0.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	114,500.00	114,500.00	114,500.00	114,500.00
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	12,314.81	12,314.81	12,314.81	12,314.81
10	1460 Dwelling Structures	1,018,975.19	1,018,975.19	1,018,975.19	1,018,975.19
11	1465.1 Dwelling Equipment—Nonexpendable	0.00	0.00	0.00	0.00
12	1470 Nondwelling Structures	9,975.00	9,975.00	9,975.00	9,975.00
13	1475 Nondwelling Equipment	0.00	0.00	0.00	0.00
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1490 Replacement Reserve	0.00	0.00	0.00	0.00
16	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
17	1495.1 Relocation Costs	0.00	0.00	0.00	0.00
18	1499 Development Activities	0.00	0.00	0.00	0.00
19	1501 Collateralization or Debt Service	0.00	0.00	0.00	0.00
20	1502 Contingency	0.00	0.00	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>1,650,850.00</b>	<b>1,650,850.00</b>	<b>1,650,850.00</b>	<b>1,650,850.00</b>
22	Amount of line 21 Related to LBP Activities	0.00	0.00	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0.00	0.00	0.00	0.00
24	Amount of line 21 Related to Security – Soft Costs	0.00	0.00	0.00	0.00
25	Amount of Line 21 Related to Security – Hard Costs	275,000.00	275,000.00	275,000.00	275,000.00
26	Amount of line 21 Related to Energy Conservation Measures	0.00			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number Capital Fund Program Grant No: <b>IL06P004-501-02</b> Replacement Housing Factor Grant No:				Federal FY of Grant: <b>2002</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Funds Obligated	Funds Expended		
PHA Wide	Operations	1406		0.00	0.00	0.00	0.00	Expended	
	<b>Total 1406</b>	<b>1406</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>		
PHA Wide	Security Salaries	1408		209,527.66	209,527.66	209,527.66	209,527.66	Expended	
PHA Wide	Security Benefits	1408		65,472.34	65,472.34	65,472.34	65,472.34	Expended	
PHA Wide	Staff Training & Travel	1408		35,000.00	35,000.00	35,000.00	35,000.00	Expended.	
PHA Wide	Marketing	1408		20,000.00	20,000.00	20,000.00	20,000.00	Expended	
PHA Wide	Mircosoft Licenses	1408			0.00	0.00	0.00		
	<b>Total 1408</b>	<b>1408</b>		<b>330,000.00</b>	<b>330,000.00</b>	<b>330,000.00</b>	<b>330,000.00</b>		
PHA Wide	FM&C Salaries	1410		115,559.50	115,559.50	115,559.50	115,559.50	Expended	
PHA Wide	FM&C Benefits	1410		49,525.50	49,525.50	49,525.50	49,525.50	Expended	
	<b>Total 1410</b>	<b>1410</b>		<b>165,085.00</b>	<b>165,085.00</b>	<b>165,085.00</b>	<b>165,085.00</b>		
PHA Wide	Architectural Fees & Costs			114,500.00	114,500.00	114,500.00	114,500.00	Expended	
	<b>Total 1430</b>	<b>1430</b>		<b>114,500.00</b>	<b>114,500.00</b>	<b>114,500.00</b>	<b>114,500.00</b>		
4-12 Scattered Site	Replace Furnaces & A/C	1460	30	42,606.03	42,606.03	42,606.03	42,606.03	Expended	
4-14 Scattered Site	Replace Furnaces & A/C	1460	10	14,828.15	14,828.15	14,828.15	14,828.15	Expended	
All Scattered Sites	Replace Gas Hot Water Heaters**	1460	20	7,560.03	7,560.03	7,560.03	7,560.03	On-going	
All Hi-rises	Replace Emergency Lighting	1460	Partial	0.00	0.00	0.00	0.00	On-going	
All H-rises	Locks for Sliding Windows	1460	100%	7,089.45	7,089.45	7,089.45	7,089.45	On-going	
	<b>Sub Total 1460</b>			<b>72,083.66</b>	<b>72,083.66</b>	<b>72,083.66</b>	<b>72,083.66</b>		

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant: 2002			
		Capital Fund Program Grant No: <b>IL06P004-501-02</b>						
		Replacement Housing Factor Grant No:						
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
4-9 Hildebrandt	Electrical Upgrades	1460		18,933.54	18,933.54	18,933.54	18,933.54	On-going
4-9 Hildebrandt	Install A/C	1460		382,027.01	382,027.01	382,027.01	382,027.01	In Progress
4-9 Hildebrandt	Replace mechanical room doors	1460	2	1,800.00	1,800.00	1,800.00	1,800.00	Expended
4-9 Hildebrandt	Upgrade front entrance	1460	1	292,734.32	292,734.32	292,734.32	292,734.32	In Progress
Admin Bldg- 200 North 11th	Upgrade and expand Office Building- replace carpet in office, paint offices, assessment and upgrade of HVAC systems, repair section of roof, replace main entrance doors and back doors, install additional security and lighting around building	1460		250,000.00	250,000.00	250,000.00	250,000.00	Developing Specs
4-3a Bonansinga	Upgrade front entrance	1460	1	1,396.66	1,396.66	1,396.66	1,396.66	Assessing need
	<b>Grand Total 1460</b>	<b>1460</b>		<b>1,018,975.19</b>	<b>1,018,975.19</b>	<b>1,018,975.19</b>	<b>1,018,975.19</b>	
4-9 Hildebrandt	Upgrade landscape	1450		11,149.71	11,149.71	11,149.71	11,149.71	On-going
4-10 Johnson Pk.	Upgrade landscape	1450		1,165.10	1,165.10	1,165.10	1,165.10	On-going
	<b>Total 1450</b>	<b>1450</b>		<b>12,314.81</b>	<b>12,314.81</b>	<b>12,314.81</b>	<b>12,314.81</b>	
4-10 Johnson Pk.	Utility Sheds	1470		9,975.00	9,975.00	9,975.00	9,975.00	Complete
	<b>Total 1470</b>	<b>1470</b>		<b>9,975.00</b>	<b>9,975.00</b>	<b>9,975.00</b>	<b>9,975.00</b>	
	Contingency Fund	1502		0.00	0.00	0.00	0.00	
	<b>GRANT TOTAL</b>			<b>1,650,850.00</b>	<b>1,650,850.00</b>	<b>1,650,850.00</b>	<b>1,650,850.00</b>	



**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name:		<b>Grant Type and Number</b> Capital Fund Program No: <b>IL06P004-501-02</b> Replacement Housing Factor No:					<b>Federal FY of Grant: 2002</b>	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
1406 Operations	5/30/04		5/27/04	5/30/06				
1408 Management Improvements	5/30/04		5/27/04	5/30/06				
1410 Administration	5/30/04		5/27/04	5/30/06				
1430 Fees and Costs	5/30/04		5/27/04	5/30/06				
1460 Dwelling Structures	5/30/04		5/27/04	5/30/06				
1502 Contingency	5/30/04		5/27/04	5/30/06				

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: <b>Springfield Housing Authority</b>	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R004501-02</b>	Federal FY of Grant: <b>2002</b>
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Original Annual Statement
  Reserve for Disasters/ Emergencies
  Revised Annual Statement (revision no: )
   
**X Performance and Evaluation Report for Period Ending: X 06/30/05**
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve	717,239.00	717,239.00	0.00	0.00
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>717,239.000</b>	<b>717,239.00</b>	<b>0.00</b>	<b>0.00</b>
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: <u>Springfield Housing Authority</u>		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R004501-02</b>				Federal FY of Grant: <b>2002</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Replacement reserve – Major Byrd Re-development.	1490		717,239.00	717,239.00	0.00	0.00	Awaiting approval from HUD on revised plan.

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: <u>Springfield Housing Authority</u>	Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: <u>IL06R004501-02</u>	Federal FY of Grant:  2002
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Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
Replacement Reserve	09/27/06			02/27/08			

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: <b>IL06P004501-03</b> Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2003</b>
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Original Annual Statement  
 Reserve for Disasters/ Emergencies  
 Revised Annual Statement (revision no:    )

Performance and Evaluation Report for Period Ending: 06/30/05  
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	128,168.00	128,168.00	0.00	0.00
3	1408 Management Improvements	256,337.00	256,337.00	256,337.00	256,337.00
4	1410 Administration	128,168.00	128,168.00	128,168.00	128,168.00
5	1411 Audit	2,500.00	2,500.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	110,000.00	160,000.00	100,688.50	100,688.50
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	7,000.00	7,000.00	0.00	0.00
10	1460 Dwelling Structures	480,518.00	486,509.50	252.38	252.38
11	1465.1 Dwelling Equipment—Nonexpendable	53,100.00	53,100.00	8,920.00	8,920.00
12	1470 Nondwelling Structures	0.00	0.00	0.00	0.00
13	1475 Nondwelling Equipment	13,358.00	56,006.00	35,666.20	35,666.20
14	1485 Demolition	7,000.00	3,895.50	3,895.50	3,895.50
15	1490 Replacement Reserve	0.00	0.00	0.00	0.00
16	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
17	1495.1 Relocation Costs	0.00	0.0	0.00	0.00
18	1499 Development Activities	0.00	0.00	0.00	0.00
19	1501 Collateralization or Debt Service	0.00	0.00	0.00	0.00
20	1502 Contingency	0.00	0.00	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>1,281,684.00</b>	<b>1,281,684.00</b>	<b>533,927.58</b>	<b>533,927.58</b>
22	Amount of line 21 Related to LBP Activities	0.00	0.00	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0.00	0.00	0.00	0.00
24	Amount of line 21 Related to Security – Soft Costs	0.00	0.00	0.00	0.00
25	Amount of Line 21 Related to Security – Hard Costs	147,959.00	147,959.00	147,959.00	147,959.00
26	Amount of line 21 Related to Energy Conservation	0.00	0.00	0.00	0.00

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: <b>IL06P004501-03</b> Replacement Housing Factor Grant No:				Federal FY of Grant: <b>2003</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operations	1406		128,168.00	128,168.00	0.00	0.00	
	<b>TOTAL 1406</b>	<b>1406</b>		<b>128,168.00</b>	<b>128,168.00</b>	<b>0.00</b>	<b>0.00</b>	
PHA Wide	Security Salaries	1408		97,094.00	97,094.00	97,094.00	97,094.00	Expended
PHA Wide	Security Benefits	1408		50,865.00	50,865.00	50,865.00	50,865.00	Expended
PHA Wide	Staff Training & Travel	1408		35,000.00	35,000.00	35,000.00	35,000.00	Expended
PHA Wide	Computer Software/hardware	1408		35,000.00	35,000.00	35,000.00	35,000.00	Expended
PHA Wide	Maintenance Agreements	1408		23,378.00	23,378.00	23,378.00	23,378.00	Expended
PHA Wide	Marketing	1408		15,000.00	15,000.00	15,000.00	15,000.00	Expended
<b>PHA Wide</b>	<b>TOTAL 1408</b>	<b>1408</b>		<b>256,337.00</b>	<b>256,337.00</b>	<b>256,337.00</b>	<b>256,337.00</b>	
PHA Wide	FM&C Salaries	1410		98,967.00	98,967.00	98,967.00	98,967.00	Expended
	FM&C Benefits	1410		29,201.00	29,201.00	29,201.00	29,201.00	Expended
<b>PHA Wide</b>	<b>TOTAL 1410</b>	<b>1410</b>		<b>128,168.00</b>	<b>128,168.00</b>	<b>128,168.00</b>	<b>128,168.00</b>	
	Audit	1411		2,500.00	2,500.00	0.00	0.00	Await grant close
PHA Wide	A/E Fees	1430		40,000.00	160,000.00	100,688.50	100,688.50	On-going
PHA Wide	Consultation Fees & Costs	1430		70,000.00	0.00	0.00	0.00	Budget rev.
<b>PHA Wide</b>	<b>TOTAL 1430</b>	<b>1430</b>		<b>110,000.00</b>	<b>160,000.00</b>	<b>100,688.50</b>	<b>100,688.50</b>	
	Contingency	1502		102,535.00	0.00	0.00	0.00	
	<b>Total 1502</b>	<b>1502</b>		<b>102,535.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	

**Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program  
Replacement Housing Factor (CFP/CFPRHF)**

**Part II: Supporting Pages**

PHA Name:		Grant Type and Number Capital Fund Program Grant No: <b>IL06P004501-03</b> Replacement Housing Factor Grant No:				Federal FY of Grant: <b>2003</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL 4-10 Johnson Park, Lincolnwood, 1111 S. 19 <sup>th</sup>	Install Entrance Signs Tear down garage and install garbage enclosures	1450 1450	3 1	4,000.00 3,000.00	4,000.00 3,000.00	0.00 0.00	0.00 0.00	Proposals process Proposal process
	<b>TOTAL 1450</b>	<b>1450</b>		7,000.00	7,000.00	0.00	0.00	
Admin Bldg-200 North 11 <sup>th</sup>	<u>Condensing Units:</u> One (1) 3-ton 3 Phase One (1) 3-ton 1 Phase One (1) 2-ton 1 Phase Two (2)-5-ton 3 Phase One (1) 8-ton 3 Phase	1460 1460 1460 1460 1460	6 Units	7,875.00	7,875.00	0.00	0.00	Design phase.
	Furnace 125,000 BTU w/coils	1460	2	5,250.00	5,250.00	0.00	0.00	Design phase.
	Reseal Entry Doors Study Installation of Central Air	1460 1460		6,000.00 63,986.00	6,000.00 63,986.00	0.00 0.00	0.00 0.00	Assessment phase. Assessment phase.
	200 N. Upgrade	1460		0.00	71,281.00	0.00	0.00	Bid phase
All hi-rises (4-3a,4-3b,4-9,4-6	Replace piping & pumps on boilers	1460		15,000.00	0.00	0.00	0.00	Budget rev.
IL 4-6 Sankey 401	Repair Mechanical Room Door	1460		4,500.00	0.00	0.00	0.00	Budget rev.
IL 4-6 Sankey 401	Replace 1 <sup>st</sup> floor windows	1460		25,000.00	0.00	0.00	0.00	Budget rev.
4-6 Sankey 401	Replace Boiler Pipes & Pumps	1460		0.00	15,000.00	0.00	0.00	Not started
IL 4-9 Hildebrandt	Replace A-coils	1460		30,000.00	30,000.00	0.00	0.00	Design Phase
IL 4-9 Hildebrandt	Replace 4-ton Condensing Units	1460		30,000.00	30,000.00	0.00	0.00	Design Phase
IL 4-9 Hildebrandt	Repair Mechanic Room Door	1460		0.00	9,500.00	0.00	0.00	Bid phase
IL 4-9 Hildebrandt	Replace First Floor Windows	1460		0.00	30,000.00	0.00	0.00	Assessment phase

**Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program  
Replacement Housing Factor (CFP/CFPRHF)**

**Part II: Supporting Pages**

PHA Name:		<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>IL06P004501-03</b> Replacement Housing Factor Grant No:				<b>Federal FY of Grant: 2003</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Install Handrails w/backer boards	1460		10,000.00	10,000.00	0.00	0.00	Not started.
	Window blinds in hallways	1460		2,500.00	2,500.00	0.00	0.00	On-going.
	Range Surrounds	1460		3,000.00	3,000.00	0.00	0.00	Not started.
	<b>SUB TOTAL 1460</b>			<b>203,111.00</b>	<b>284,392.00</b>	<b>0.00</b>	<b>0.00</b>	



**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number				Federal FY of Grant: 2003		
		Capital Fund Program Grant No: <b>IL06P004501-03</b>						
		Replacement Housing Factor Grant No:						
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL 4-2 Major Byrd	Replace Electric panels Tuckpoint & Seal Install 54475	1460 1460 1460	76 1	15,000.00 50,000.00 6,394.00	0.00 0.00 0.00	0.00 0.00 0.00	0.00 0.00 0.00	Budget rev. Develop. Off-line.
IL 4-5 Brandon Ct.	Replace exterior doors	1460	39	190,263.00	190,263.00	252.38	252.38	Assessment Phase
IL 4-3B Sankey 4-15	Install ¾ reservation lines Install compressor for Quincy CAT 300715	1460 1460	1	8,000.00 750.00	11,104.50 750.00	0.00 0.00	0.00 0.00	Not started. Not started.
<b>GRAND TOTAL 1460</b>				<b>480,518.00</b>	<b>486,509.50</b>	<b>252.38</b>	<b>252.38</b>	
All Developments	Refrigerator/Stove Replacement	1465		53,100.00	53,100.00	8,920.00	8,920.00	On-going.
<b>TOTAL 1465</b>		<b>1465</b>		<b>53,100.00</b>	<b>53,100.00</b>	<b>8,920.00</b>	<b>8,920.00</b>	
PHA Wide	Motorola 2-way Radios	1475	5	4,000.00	5,000.00	1,044.00	1,044.00	On-going
	Power sewer cleaning machines	1475	2-sets	1,283.00	1,283.00	0.00	0.00	On-going
	MIG Wire Welder	1475	1	3,500.00	2,500.00	0.00	0.00	On-going
	Bag less Vacuum Sweepers	1475	3	1,575.00	1,575.00	0.00	0.00	On-going
	Plan restoration/preservation	1475		3,000.00	3,000.00	0.00	0.00	On-going
	Mod/Dev Camera	1475	1	0.00		957.93	957.93	Budget rev
	Computer Hardware	1475				25,312.71	25,312.71	Budget rev.
	Computer Software	1475				3,484.57	3,484.57	Budget rev.
	Vehicles	1475	2		42,648.00	0.00	0.00	Await delivery
<b>TOTAL 1475</b>		<b>1475</b>		<b>13,358.00</b>	<b>56,006.00</b>	<b>35,666.20</b>	<b>35,666.20</b>	
IL 4-18 Scattered Site	Demolish 1629 E. Glenn	1485	1	7,000.00	3,895.50	3,895.50	3,895.50	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name:	Grant Type and Number Capital Fund Program No: <b>IL06P004501-03</b> Replacement Housing Factor No:	Federal FY of Grant: <b>2003</b>
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Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
1406 Operations	9/30/05		9/14/05	9/16/07			
1408 Management Improvements	9/30/05			9/16/07			
1410 Administration	9/30/05		9/14/05	9/16/07			
1411 Audit	9/30/05		9/14/05	9/16/07			
1430 Fees & Costs	9/30/05		9/14/05	9/16/07			
1450 Site Improvements	9/30/05		9/14/05	9/16/07			
1460 Dwelling Structures	9/30/05		9/14/05	9/16/07			
1465.1 Dwelling Equipment	9/30/05		9/14/05	9/16/07			
1475 Non-Dwelling Equipment	9/30/05		9/14/05	9/16/07			
1485 Demolition	9/30/05		9/14/05	9/16/07			
1502 Contingency	9/30/05		9/14/05	9/16/07			

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## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: <b><u>Springfield Housing Authority</u></b>	Grant Type and Number Capital Fund Program Grant No: <b>IL06P004502-03 (BONUS)</b> Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2003</b>
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Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement  
**X** Performance and Evaluation Report for Period Ending: 6/30/05  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	20,000.00	20,000.00	0.00	0.00
3	1408 Management Improvements	81,328.00	81,328.00	2,477.58	2,477.58
4	1410 Administration	40,665.00	40,665.00	0.00	0.00
5	1411 Audit	2,000.00	2,000.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	20,000.00	20,000.00	0.00	0.00
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	0.00	0.00	0.00	0.00
10	1460 Dwelling Structures	242,648.00	242,648.00	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0.00	0.00	0.00	0.00
12	1470 Nondwelling Structures	0.00	0.00	0.00	0.00
13	1475 Nondwelling Equipment	0.00	0.00	0.00	0.00
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1490 Replacement Reserve	0.00	0.00	0.00	0.00
16	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
17	1495.1 Relocation Costs	0.00	0.00	0.00	0.00
18	1499 Development Activities	0.00	0.00	0.00	0.00
19	1501 Collaterization or Debt Service	0.00	0.00	0.00	0.00
20	1502 Contingency	0.00	0.00	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>406,641.00</b>	<b>406,641.00</b>	<b>2,477.58</b>	<b>2,477.58</b>
22	Amount of line 21 Related to LBP Activities	0.00	0.00	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0.00	0.00	0.00	0.00
24	Amount of line 21 Related to Security – Soft Costs	36,078.00	36,078.00	0.00	0.00
25	Amount of Line 21 Related to Security – Hard Costs	0.00	0.00	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0.00	0.00	0.00	0.00

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: <b>Springfield Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P004502-03 (BONUS)</b> Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2003</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA WIDE	Operations	1406		20,000.00	20,000.00	0.00	0.00	
	<b>TOTAL 1406</b>			<b>20,000.00</b>	<b>20,000.00</b>	<b>0.00</b>	<b>0.00</b>	
	Management Improvements	1408						
	Improve Security Systems			36,078.00	36,078.00			Bid phase
	Training & Travel			10,000.00	10,000.00			On-going
	Procurement Software			1,500.00	1,500.00			On-going
	Mod./Dev. Staffing:			28,750.00	28,750.00	2,477.58	2,477.58	On-going
	-Secretary							
	Records Retention			5,000.00	5,000.00	0.00	0.00	Planning stage
	<b>TOTAL 1408</b>			<b>81,328.00</b>	<b>81,328.00</b>	<b>2,477.58</b>	<b>2,477.58</b>	
	Administration							
	FM&C Salaries	1410		24,096.00	24,096.00	0.00	0.00	On-going
	FM&C Benefits	1410		16,569.00	16,569.00	0.00	0.00	On-going
	<b>TOTAL 1460</b>			<b>40,665.00</b>	<b>40,665.00</b>	<b>0.00</b>	<b>0.00</b>	
	Audit	1411		2,000.00	2,000.00	0.00	0.00	Awaiting closeout
	<b>TOTAL 1411</b>			<b>2,000.00</b>	<b>2,000.00</b>	<b>0.00</b>	<b>0.00</b>	
	Fees & Cost	1430		20,000.00	20,000.00	0.00	0.00	On-going
	<b>TOTAL 1430</b>			<b>20,000.00</b>	<b>20,000.00</b>	<b>0.00</b>	<b>0.00</b>	
IL 4-3a & 4-9 Dwelling Structures	Expansion of Administration Offices	1460		222,648.00	222,648.00	0.00	0.00	Bid Phase
4-9 Dwelling Structures	Rehab. Front Entrance Areas			20,000.00	20,000.00	0.00	0.00	Bid phase
	<b>TOTAL 1460</b>			<b>242,648.00</b>	<b>242,648.00</b>	<b>0.00</b>	<b>0.00</b>	

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part III: Implementation Schedule</b>							
PHA Name: <b>Springfield Housing Authority</b>		Grant Type and Number Capital Fund Program No: <b>IL06P004502-03 (BONUS)</b> Replacement Housing Factor No:			Federal FY of Grant: <b>2003</b>		
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
1406 Operations	02/12/06		02/08/06	02/12/08			
1408 Management Improvements	02/12/06		02/08/06	02/12/08			
1410 Administration	02/12/06		02/08/06	02/12/08			
1411 Audit	02/12/06		02/08/06	02/12/08			
1430 Fees & Costs	02/12/06		02/08/06	02/12/08			
1460 Dwelling Structures	02/12/06		02/08/06	02/12/08			

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: <b>Springfield Housing Authority</b>	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R0045023</b>	Federal FY of Grant:  2003
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Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no:    )  
  Performance and Evaluation Report for Period Ending: **06/30/05**  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve	<b>643,611.00</b>	<b>643,611.00</b>	<b>0.00</b>	<b>0.00</b>
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>643,611.00</b>	<b>643,611.00</b>	<b>0.00</b>	<b>0.00</b>
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

### Part II: Supporting Pages

PHA Name: <b>Springfield Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R00450203</b>	<b>Federal FY of Grant: 2003</b>
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Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Replacement Reserve – Major Byrd Re-development	1490		643,611.00		0.00	0.00	Revised development plan await HUD approval.

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part III: Implementation Schedule</b>							
PHA Name: <b>Springfield Housing Authority</b>			Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: <b>IL06R00450203</b>			Federal FY of Grant: <b>2003</b>	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
1420 Replacement Reserve	12/23/03		12/23/03	09/16/05			



# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: <b>IL06P004501-04</b> Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2004</b>
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Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )

Performance and Evaluation Report for Period Ending: 06/30/05  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	149,980.20	149,980.20	0.00	0.00
3	1408 Management Improvements	299,960.40	299,960.40	0.00	0.00
4	1410 Administration	149,980.20	149,980.20	0.00	0.00
5	1411 Audit	2,000.00	2,000.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	20,000.00	20,000.00	0.00	0.00
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	65,000.00	65,000.00	0.00	0.00
10	1460 Dwelling Structures	738,706.20	738,706.20	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	50,000.00	50,000.00	0.00	0.00
12	1470 Nondwelling Structures	20,000.00	20,000.00	0.00	0.00
13	1475 Nondwelling Equipment	4,175.00	4,175.00	0.00	0.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,499,802.00	1,499,802.00	0.00	0.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: ILP004501-04 Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2004</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b>PHA Wide</b>	Operations	1406		149,980.20	149,980.20	0.00	0.00	
	<b><u>TOTAL 1406</u></b>	<b><u>1406</u></b>		<b><u>149,980.20</u></b>	<b><u>149,980.20</u></b>	0.00	0.00	
<b>PHA Wide</b>	Security Salaries	1408		118,380.40	118,380.40	0.00	0.00	
	Security Benefits	1408		66,580.00	66,580.00	0.00	0.00	
	Staff Travel/Training	1408		35,000.00	35,000.00	0.00	0.00	
	Computer Hardware	1408		17,500.00	17,500.00	0.00	0.00	
	Computer Software	1408		17,500.00	17,500.00	0.00	0.00	
	Marketing	1408		35,000.00	35,000.00	0.00	0.00	
	Repair Key hole ceiling	1408		10,000.00	10,000.00	0.00	0.00	
	<b><u>TOTAL 1408</u></b>	<b><u>1408</u></b>		<b><u>299,960.40</u></b>	<b><u>299,960.40</u></b>	0.00	0.00	
<b>PHA Wide</b>	FM&C Salaries	1410		113,653.54	113,653.54	0.00	0.00	
	FM&C Benefits	1410		36,326.66	36,326.66	0.00	0.00	
	<b><u>TOTAL 1410</u></b>			<b><u>149,980.20</u></b>	<b><u>149,980.20</u></b>	0.00	0.00	
<b>PHA Wide</b>	Audit	1411		2,000.00	2,000.00	0.00	0.00	
	<b><u>TOTAL 1411</u></b>	<b><u>1411</u></b>		<b><u>2,000.00</u></b>	<b><u>2,000.00</u></b>	0.00	0.00	
<b>PHA Wide</b>	Fees & Costs	1430		20,000.00	20,000.00	0.00	0.00	
	<b><u>TOTAL 1430</u></b>	<b><u>1430</u></b>		<b><u>20,000.00</u></b>	<b><u>20,000.00</u></b>	0.00	0.00	
<b>4-10 Lincolnwood</b>	Asphalt Driveways	1450	28	35,000.00	35,000.00	0.00	0.00	
<b>4-20 Winch</b>	Correct Draining/Terrace Slope	1450		10,000.00	10,000.00	0.00	0.00	
<b>4-12</b>	Replace Pavement Dumpsters	1450	5-sites	20,000.00	20,000.00	0.00	0.00	
	<b><u>TOTAL 1450</u></b>	<b><u>1450</u></b>		<b><u>65,000.00</u></b>	<b><u>65,000.00</u></b>	0.00	0.00	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: ILP004501-04 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b>IL 4-5 Brandon</b>	Electrical Upgrade-Install disconnects	1460	50%	60,000.00	60,000.00	0.00	0.00	
<b><u>IL 4-9 Hildebrandt</u></b>								
<b>4-9</b>	Generators	1460	1	35,000.00	35,000.00	0.00	0.00	
<b>4-9</b>	Electrical Upgrades- <ul style="list-style-type: none"> <li>• Improve grounding systems to code</li> <li>• Replace electrical panels in each apartment</li> <li>• Tying systems into fire alarms</li> <li>• Upgrade for HVAC</li> </ul>	1460	151	262,000.00	262,000.00	0.00	0.00	
<b>IL 4-9</b>	Replace Closet Door	1460	50%	25,000.00	25,000.00	0.00	0.00	
<b>4-12 Bkl Ave.</b>	Replace windows-Phase I	1460	33 Units	215,465.00	215,465.00	0.00	0.00	
<b>4-14</b>	New Roofs- Phase II	1460	8 units	45,000.00	45,000.00	0.00	0.00	
<b><u>IL 4-22</u></b>								
<b>#31 Eton Ct</b>	New HVAC	1460	1	3,500.00	3,500.00	0.00	0.00	
<b>208 Durkin</b>	New HVAC	1460	1	3,500.00	3,500.00	0.00	0.00	
<b>Madison Park (MP) Daycare</b>	New HVAC System – Phase II	1460	1	20,000.00	20,000.00	0.00	0.00	
<b>MP Daycare</b>	Replace Ceiling Lights-Phase I	1460	50%	12,500.00	12,500.00	0.00	0.00	
<b>1111 S. 19<sup>th</sup></b>	Install Electrical Disconnects and Panels	1460		31,741.20	31,741.20	0.00	0.00	
<b>All Hi-Rises</b>	Triad Boilers	1460	6	25,000.00	25,000.00	0.00	0.00	
	<b>TOTAL 1460</b>	<b>1460</b>		<b>738,706.20</b>	738,706.20	0.00	0.00	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: ILP004501-04 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b>All Developments</b>	Refrigerators/Stoves	1465	151	50,000.00	50,000.00	0.00	0.00	
	<b><u>TOTAL 1465</u></b>	<b><u>1465</u></b>		<b><u>50,000.00</u></b>	50,000.00	0.00	0.00	
<b>Scattered Sites 4-25</b>	Utility Sheds	1470	16	20,000.00	20,000.00	0.00	0.00	
	<b><u>TOTAL 1470</u></b>	<b><u>1470</u></b>		<b><u>20,000.00</u></b>	20,000.00	0.00	0.00	
<b>PHA WIDE</b>	Key Cutting Machine	1475	1	2,300.00	2,300.00	0.00	0.00	
	Lockable Key Cabinet	1475	1	675.00	675.00	0.00	0.00	
	Sewer Eels	1475	2	1,200.00	1,200.00	0.00	0.00	
	<b><u>TOTAL 1475</u></b>	<b><u>1475</u></b>		<b><u>4,175.00</u></b>	4,175.00	0.00	0.00	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: Springfield Housing Authority		<b>Grant Type and Number</b> Capital Fund Program No: IL06P004501-04 Replacement Housing Factor No:				<b>Federal FY of Grant: 2004</b>	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
<b>1406- Operations</b>	9-13-06			9-13-08			
<b>1408-Management Improvements</b>	9-13-06			9-13-08			
<b>1410-Administrative</b>	9-13-06			9-13-08			
<b>1411-Audit</b>	9-13-06			9-13-08			
<b>1430-Fees/costs</b>	9-13-06			9-13-08			
<b>1450-Site improvement</b>	9-13-06			9-13-08			
<b>1460 -Dwelling Sites</b>	9-13-06			9-13-08			
<b>1465.1-Dwelling Equipment-Non- expendable</b>	9-13-06			9-13-08			
<b>1475-Non Dwelling Equipment</b>	9-13-06			9-13-08			

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: <b><u>Springfield Housing Authority</u></b>	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R00450204</b>	Federal FY of Grant:  2004
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Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no:    )  
  Performance and Evaluation Report for Period Ending: **06/30/05**  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve	<b>753,143.00</b>	<b>753,143.00</b>	<b>0.00</b>	<b>0.00</b>
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>753,143.00</b>	<b>753,143.00</b>	<b>0.00</b>	<b>0.00</b>
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

### Part II: Supporting Pages

PHA Name: <b>Springfield Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R00450204</b>				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Replacement Reserve- Major Re-development	1490		753,143.00	753,143.00	0.00	0.00	Major Byrd re-development. Advertisement for marketing study and hiring A/E firm. Awaiting approval of revised plan from HUD Regional Office.

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: <b>Springfield Housing Authority</b>	Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: <b>IL06R00450204</b>	Federal FY of Grant: <b>2004</b>
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Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
1420 Replacement Reserve	09/13/06			09/13/08			



## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: <b><u>Springfield Housing Authority</u></b>	Grant Type and Number ILP004-501000 Capital Fund Program Grant No: <b>IL06P004501-05</b> Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2005</b>
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Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no:    )  
 Performance and Evaluation Report for Period Ending:  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	145,414.00			
3	1408 Management Improvements	290,828.00			
4	1410 Administration	145,414.00			
5	1411 Audit	2,500.00			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	45,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	22,000.00			
10	1460 Dwelling Structures	637,786.00			
11	1465.1 Dwelling Equipment—Nonexpendable	8,000.00			
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	7,200.00			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	150,000.00			
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
<b>21</b>	<b>Amount of Annual Grant: (sum of lines 2 – 20)</b>	<b>1,454,142.00</b>			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: <b>Springfield Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P004501-05</b> Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2005</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operations	1406		145,414.00				
	<b>TOTAL 1406</b>	<b>1406</b>		<b>145,414.00</b>				
PHA Wide	Marketing	1408		15,000.00				
	Staff training and travel	1408		50,000.00				
	Maintenance Agreements	1408		5,000.00				
	Upgrade Computer Systems	1408		10,000.00				
	Security Salaries	1408		105,414.00				
	Security Benefits	1408		105,414.00				
	<b>TOTAL 1408</b>	<b>1408</b>		<b>290,828.00</b>				
PHA Wide	FM&C Salaries	1410		72,707.00				
	FM&C Benefits	1410		72,707.00				
	<b>TOTAL 1410</b>	<b>1410</b>		<b>145,414.00</b>				
PHA Wide	Audit	1411		2,500.00				
	<b>TOTAL 1411</b>	<b>1411</b>		<b>2,500.00</b>				
PHA Wide	Fees and Costs	1430		40,000.00				
	Asbestos Study	1430		5,000.00				
	<b>TOTAL 1430</b>	<b>1430</b>		<b>45,000.00</b>				

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

### Part II: Supporting Pages

PHA Name: <b>Springfield Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P004501-05</b> Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2005</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
4-10 Lincolnwood, Johnson Park	Repair/Replace sidewalks & Drives	1450		20,000.00				
IL4-14	Parking Blocks	1450	20	2,000.00				
	<b>TOTAL 1450</b>	<b>1450</b>		<b>22,000.00</b>				
IL4-5 Brandon	Electrical Upgrade Disconnects Phase II	1460		40,000.00				
IL4-3a Bonansinga	Install Floor Tile – Phase I	1460	6 flrs	128,000.00				
4-9 Hildebrandt	Plumbing upgrades <ul style="list-style-type: none"> <li>• Replace Drain Piping for shower, lavatory and kitchen.</li> <li>• Replace shut-off valves with ball-type valves</li> </ul>	1460		40,000.00				
PHA Wide	Cycle Painting	1460		10,000.00				
	Window Washing	1460	2	10,000.00				
	Power Washing of Buildings	1460		30,000.00				
All Hi-Rises	Generators	1460	1	36,000.00				
	A/C Install	1460		111,286.00				
	Upgrade entry system	1460		20,000.00				
IL4-12	Replace Windows-Phase II	1460	33	110,000.00				
	Replace Furnaces and A/C – Phase II	1460	25	62,500.00				
IL4-14	Replace furnaces and A/C Phase II Final	1460	14	35,000.00				
200 N. 11 <sup>th</sup> St.	Upgrade ADA accessibility entrance	1460	1	5,000.00				
	<b>TOTAL 1460</b>	<b>1460</b>		<b>637,786.00</b>				
PHA Wide	Replace Appliances	1465.1		8,000.00				
	<b>TOTAL 1465.1</b>			<b>8,000.00</b>				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: <b><u>Springfield Housing Authority</u></b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P004501-05</b> Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2005</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Security Improvements: <ul style="list-style-type: none"> <li>Cameras, Monitors, DVR, Folding Fence</li> </ul>	1475		3,000.00				
	Upgrade 1910 Truman Rd Elec. Amps and sub panels	1475		4,200.00				
	<b>TOTAL 1475</b>	<b>1475</b>		<b>7,200.00</b>				
Re-Development of Major Byrd Complex	Capital Fund Dollars will be used as part of a mixed finance project to include demolition, design and construction of new single family dwelling units on the site and off-site	1499		150,000.00				
	<b>TOTAL 1499</b>			<b>150,000.00</b>				
	<b>TOTAL GRANT</b>			<b>1,454,142.00</b>				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: <b>Springfield Housing Authority</b>	Grant Type and Number Capital Fund Program No: <b>IL06P004501-05</b> Replacement Housing Factor No:	Federal FY of Grant: <b>2005</b>
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Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
1406 Operations	9/30/07			9/30/09			
1408 Management Improvements	9/30/07			9/30/09			
1410 Administration	9/30/07			9/30/09			
1411 Audit	9/30/07			9/30/09			
1430 Fees and Costs	9/30/07			9/30/09			
1450 Site Improvement	9/30/07			9/30/09			
1460 Dwelling Structure	9/30/07			9/30/09			
1465.1 Dwelling Equipment Nonexpendable	9/30/07			9/30/09			
1475 Non-dwelling Equipment	9/30/07			9/30/09			
1499 Development Activities	9/30/07			9/30/09			

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: <b>Springfield Housing Authority</b>	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R00450205</b>	Federal FY of Grant:  2005
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Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no:    )  
  Performance and Evaluation Report for Period Ending: **06/30/05**  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve	<b>901,100.00</b>	<b>901,100.00</b>	<b>0.00</b>	<b>0.00</b>
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>901,100.00</b>	<b>901,100.00</b>	<b>0.00</b>	<b>0.00</b>
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

**Part II: Supporting Pages**

PHA Name: <b>Springfield Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R00450205</b>			Federal FY of Grant: <b>2005</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Replacement Reserve- Major Re-development	1490		901,100.00	901,100.00	0.00	0.00	Major Byrd re-development. Advertisement for marketing study and hiring A/E firm. Awaiting approval of revised plan from HUD Regional Office.

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part III: Implementation Schedule</b>							
PHA Name: <b>Springfield Housing Authority</b>			Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: <b>IL06R00450204</b>			Federal FY of Grant: <b>2004</b>	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
1420 Replacement Reserve	08/17/07			08/17/09			



## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: <b><u>Springfield Housing Authority</u></b>	Grant Type and Number Capital Fund Program Grant No: <b>IL06P00450106</b> Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2006</b>
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Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no:    )

**Performance and Evaluation Report for Period Ending:      Final Performance and Evaluation Report**

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	<b>143,251.80</b>			
3	1408 Management Improvements	<b>286,503.60</b>			
4	1410 Administration	<b>143,251.80</b>			
5	1411 Audit	<b>2,500.00</b>			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	<b>45,000.00</b>			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	<b>514,209.36</b>			
11	1465.1 Dwelling Equipment—Nonexpendable	<b>6,000.00</b>			
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	<b>7,200.00</b>			
14	1485 Demolition	<b>20,000.00</b>			
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	<b>150,000.00</b>			
19	1501 Collaterization or Debt Service				
20	1502 Contingency	<b>114,601.44</b>			
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>1,432,518.00</b>			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

AMMENDED

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: <b>Springfield Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P00450106</b> Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2006</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operations	1406		143,251.80				
	<b>TOTAL 1406</b>			<b>143,251.80</b>				
PHA Wide	Marketing	1408		15,000.00				
	Staff training	1408		45,675.60				
	Maintenance Agreements	1408		5,000.00				
	Upgrade Computer Systems	1408		6,000.00				
	Security Salaries	1408		105,414.00				
	Security Benefits	1408		105,414.00				
	Energy Conservation	1408		4,000.00				
	<b>TOTAL 1408</b>			<b>286,503.60</b>				
PHA Wide	FM&C Salaries	1410		71,625.90				
	FM&C Benefits	1410		71,625.90				
	<b>TOTAL 1410</b>			<b>143,251.80</b>				
PHA Wide	Audit	1411		2,500.00				
	<b>TOTAL 1411</b>			<b>2,500.00</b>				
PHA Wide	Fees and Costs	1430		40,000.00				
	Asbestos Study	1430		5,000.00				
	<b>TOTAL 1430</b>			<b>45,000.00</b>				
4-3a Bonansinga Hi-rise	Upgrade Electrical; improve grounding system, tie electrical system into fire alarm and upgrade exhaust fans. Phase I	1460	50 units	98,418.72				
4-5 Brandon	Community Center Roof Replacement	1460	1	10,000.00				
4-12 Scattered Sites	Hot Water Heaters-Phase I	1460	25	9,000.00				
	Replace HVAC – Phase III final	1460	25	62,500.00				
4-14 Scattered Sites	Hot Water Heaters	1460	14	5,000.00				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: <b>Springfield Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P00450106</b> Replacement Housing Factor Grant No:				Federal FY of Grant: <b>2006</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Replace Kitchen Cabinets/Countertops – Phase II	1460	10 units	20,000.00				
	Thermostats	1460	20	1,500.00				
4-18	Hot Water Heaters	1460	20	7,000.00				
	Replace Roofs – Phase I	1460	19 units	75,000.00				
4-19	Replace Roofs – Phase I	1460	19 units	75,000.00				
4-22	Replace Roofs	1460	8 units	50,000.00				
All Hi-rises	A/C Install	1460		100,000.00				
	<b>TOTAL 1460</b>			<b>514,209.36</b>				
4-9 Hildebrandt Hi-rise	Property Acquisition and Demolition	1485	1	20,000.00				
	<b>TOTAL 1485</b>			<b>20,000.00</b>				
4-20 Scattered Sites	Replace Mailboxes with Community Boxes- Phase I	1475	15	7,200.00				
	<b>TOTAL 1475</b>			<b>7,200.00</b>				
PHA Wide	Stoves/Refrigerators	1465.1		6,000.00				
	<b>TOTAL 1465.1</b>			<b>6,000.00</b>				
Re-development of Major Byrd Complex and 415 Sankey Hi-rise	Capital Fund dollars will be used as part of a mixed finance project to include demolition of dilapidated units, design and construction of new public housing developments.	1499		150,000.00				
	<b>TOTAL 1499</b>			<b>150,000.00</b>				
PHA Wide	Contingency	1502		114,601.22				
	<b>TOTAL 1502</b>			<b>114,601.22</b>				
	<b>TOTAL GRANT</b>			<b>1,432,518.00</b>				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: <b><u>Springfield Housing Authority</u></b>	Grant Type and Number Capital Fund Program No: <b>IL06P00450106</b> Replacement Housing Factor No:	Federal FY of Grant: <b>2006</b>
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Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
1406							
1408							
1410							
1411							
1430							
1460							
1465.1							
1475							
1485							
1499							
1502							

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: <b><u>Springfield Housing Authority</u></b>	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R00450106 – 1<sup>st</sup> Increment</b>	Federal FY of Grant: <b>2006</b>
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Original Annual Statement 
  Reserve for Disasters/ Emergencies 
  Revised Annual Statement (revision no:    )

**Performance and Evaluation Report for Period Ending:                      Final Performance and Evaluation Report**

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve	<b>1,870.00</b>			
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>1,870.00</b>			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

### Part II: Supporting Pages

PHA Name: <b><u>Springfield Housing Authority</u></b>		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R00450106 -1<sup>st</sup> Increment</b>			Federal FY of Grant: <b>2006</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<p><b>Replacement Reserves -</b> The Springfield Housing Authority is currently under contract with a firm to conduct a viability study on four of its five hi-rise locations to determine the feasibility of updating versus demolition.</p> <p>Major Byrd Hi-rise is currently under demolition. A structural assessment has been completed on all hi-rise developments. Once all the studies have been completed and a cost assessment has been reviewed, a plan of action will be put in place based on the recommendations. Utilizing Replacement Housing Factor dollars will be utilized to offset demolition and new construction.</p>			1,870.00				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: <b>Springfield Housing Authority</b>		Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: <b>IL06R00450106 -1<sup>st</sup> Increment</b>			Federal FY of Grant: <b>2006</b>		
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
1490 Replacement Reserve							

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: <b><u>Springfield Housing Authority</u></b>	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R00450206 – 2<sup>nd</sup> Increment</b>	Federal FY of Grant: <b>2006</b>
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Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no:    )

**Performance and Evaluation Report for Period Ending:      Final Performance and Evaluation Report**

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve	<b>732,679.00</b>			
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>732,679.00</b>			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				



# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

### Part II: Supporting Pages

PHA Name: <b><u>Springfield Housing Authority</u></b>		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R00450206 – 2<sup>nd</sup> Increment</b>				Federal FY of Grant: <b>2006</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<p><b>Replacement Reserves -</b> The Springfield Housing Authority is currently under contract with a firm to conduct a viability study on four of its five hi-rise locations to determine the feasibility of updating versus demolition.</p> <p>Major Byrd Hi-rise is currently under demolition. A structural assessment has been completed on all hi-rise developments. Once all the studies have been completed and a cost assessment has been reviewed, a plan of action will be put in place based on the recommendations. Utilizing Replacement Housing Factor dollars will be utilized to offset demolition and new construction.</p>			732,679.00				

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part III: Implementation Schedule</b>							
PHA Name: <b>Springfield Housing Authority</b>			Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: <b>IL06R00450106 – 2<sup>nd</sup> Increment</b>			Federal FY of Grant: <b>2006</b>	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
1490 Replacement Reserve							

**Five-Year Action Plan**  
**Part I: Summary**  
**Comprehensive Grant Program (CGP)**

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 07/31/98)

HA Name:  SPRINGFIELD HOUSING AUTHORITY	Locality (City/County & State):  Springfield Illinois (Sangamon County)			<input checked="" type="checkbox"/> Original	<input type="checkbox"/> Revision No.				
A. Development Number/Name	Work Stmt. for Year 1 FFY: 2005  See	Work Statement for Year 2 FFY: 2006	Work Statement for Year 3 FFY: 2007	Work Statement for Year 4 FFY: 2008	Work Statement for Year 5 FFY: 2009				
<b>B. Physical Improvements Subtotal</b>									
<b>C. Management Improvements</b>						794,986.00	637,786.00	637,786.00	666,986.00
<b>D. HA-Wide Nondwelling Structures and Equipment</b>						290,828.00	290,828.00	290,828.00	290,828.00
<b>E. Administration</b>						37,200.00	37,200.00	37,200.00	8,000.00
<b>F. Other</b>						145,414.00	145,414.00	145,414.00	145,414.00
<b>G. Operations</b>						67,500.00	47,500.00	47,500.00	47,500.00
<b>H. Demolition</b>						145,414.00	145,414.00	145,414.00	145,414.00
<b>I. Replacement Reserve</b>						0.00	0.00	0.00	0.00
<b>J. Mod Used for Development</b>						0.00	0.00	0.00	0.00
<b>K. Total CGP Funds</b>						150,000.00	150,000.00	150,000.00	150,000.00
<b>L. Total Non-CGP Funds</b>	1,631,342.00	1,454,142.00	1,454,142.00	1,454,142.00					
<b>M. Grand Total</b>									
Signature of Public Housing Director/Office of Native American Programs Administrator and Date: X	Signature of Public Housing Director/Office of Native American Programs Administrator and Date: X								

Five-Year Action Plan  
**Part I: Summary** (Continuation)  
 Comprehensive Grant Program (CGP)

U.S. Department of Housing  
 and Urban Development  
 Office of Public and Indian Housing

OMB Approval No. 2577-0157 (exp. 7/31/98)

A. Development Number/Name	Work Stmt. for Year 1 FFY: <u>2005</u>	Work Statement for Year 2 FFY: <u>2006</u>	Work Statement for Year 3 FFY: <u>2007</u>	Work Statement for Year 4 FFY: <u>2008</u>	Work Statement for Year 5 FFY: <u>2009</u>
4-3a Bonansinga High Rise		200,000.00	78,936.00	70,000.00	54,993.00
4-3b & 4-6 Sankey High Rise 415 & 401		0	0	55,386.00	0
4-5 Brandon	See	24,000.00	100,000.00	80,000.00	34,993.00
4-9 Hildebrandt High Rise	<b>Annual</b>	108,000.00	0	0	55,000.00
4-10 1111 Apartments	<b>Statement</b>	3,000.00	0	29,200.00	0
Lincolnwood Est					
Johnson Park					
4-12 Scattered Sites		58,786.00	20,850.00	0	0
4-14 Scattered Sites		27,000.00	114,000.00	27,000.00	62,000.00
4-18 Scattered Sites		178,500.00	127,000.00	111,400.00	140,000.00
4-19 Scattered Sites		81,500.00	62,000.00	119,000.00	120,000.00
4-20 Scattered Sites		14,200.00	0	0	0
4-22 Scattered Sites		0	0	0	0
All Hi-Rises		100,000.00	114,200.00	150,000.00	200,000.00
200 N. 11 <sup>th</sup> St		0	0	0	0
All Developments		58,000.00	58,000.00	33,000.00	33,000.00

Five-Year Action Plan  
**Part II: Supporting Pages**  
**Physical Needs Work Statement(s)**  
 Comprehensive Grant Program (CGP)

Work Statement  For Year FFY: 2005	Work Statement for Year <u>2</u> FFY: <u>2006</u>			Work Statement for Year <u>2</u> FFY: <u>2006</u>		
	Development Number/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/General Description of Major Work Categories	Quantity	Estimated Costs
See Annual Statement	<b>4-3a Bonansinga</b> Upgrade Electrical; improve grounding system, tie electrical system into fire alarm and upgrade exhaust fans Install Floor Tile Phase II	5	\$130,000.00 70,000.00	<b>4-20</b> Replace Mailboxes w/community boxes Patch and Seal coat parking lot Correct Drainage and Terracing	36 18	\$5,000.00 \$4,200.00 \$5,000.00
	<b>4-5 Brandon</b> Backfill around exterior of foundation & seed Community Center Roof Replacement	1	\$4,000.00 \$20,000.00	<b>All Hi-Rises</b> A/C install		\$100,000.00
	<b>4-9 Hildebrandt</b> Property Acquisition and Demolition Install Floor Tile	10 flrs	\$20,000.00 \$88,000.00	<b>PHA Wide</b> Cycle Painting Window Washing Power Washing Stoves/Refrigerators		\$10,000.00 \$10,000.00 \$30,000.00 \$8,000.00
	<b>4-10 Lincolnwood, Johnson Park, 111 Apts.</b> Landscape Back fill foundation Seed		\$3,000.00	<b>Re-Development of Major Byrd Complex</b> Capital Fund Dollars will be used as part of a mixed finance project to include demolition, design and construction of new single family dwelling units on the site and off-site. Inclusive of the acquisition of property adjacent north and east to the Major Byrd property.		\$130,000.00
	<b>4-12 Scattered Sites</b> Hot Water Heaters- Phase I Replace HVAC – Phase III Final	25 25	\$9,000.00 \$49,786.00			
	<b>4-14</b> Hot Water Heaters Replace Kitchen cabinets/countertop – Phase I Thermostats	14 10 units 20	\$5,000.00 \$20,000.00 \$2,000.00			
	<b>4-18</b> Hot Water Heaters Replace Kitchen cabinets/countertop -Phase I Replace Roofs- Phase I Parking Blocks	20 19 units 19 Units 19	\$7,000.00 \$95,000.00 \$75,000.00 \$1,500.00			
	<b>4-19</b> Concrete repair/replace Replace Roofs – Phase I Parking Blocks	19 19	\$5,000.00 \$75,000.00 \$1,500.00			



Five-Year Action Plan  
**Part II: Supporting Pages**  
**Physical Needs Work Statement(s)**  
 Comprehensive Grant Program (CGP)

Work Statement  For Year FFY: <u>2005</u>	Work Statement for Year <u>3</u> FFY: <u>2007</u>			Work Statement for Year <u>3</u> FFY: <u>2007</u>		
	Development Number/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/General Description of Major Work Categories	Quantity	Estimated Costs
See Annual Statement	<b><u>4-3a Bonansinga</u></b> Replace riser shut off valves Replace Drain Piping for Showers, lavatory and kitchen		\$20,000.00 \$58,936.00	<b><u>PHA Wide</u></b> Cycle Painting Window Washing Power Washing Buildings Stoves/Refrigerators  <b><u>Re-Development of Major Byrd Complex</u></b> Capital Fund dollars will be used as part of a mixed finance project to include demolition, design and construction of new single family dwelling units on the site and off-site. Inclusive of the acquisition of property adjacent north and east to the Major Byrd property.		\$10,000.00 \$10,000.00 \$30,000.00 \$8,000.00
	<b><u>4-5 Brandon</u></b> Replace Windows and Screens		\$100,000.00			\$150,000.00
	<b><u>4-12</u></b> Reseal Parking Lots Hot Water Heaters – Phase II	12 Bldgs. 31	\$10,000.00 \$10,850.00			
	<b><u>4-14</u></b> Interior / Exterior Outlets Replace Siding and Soffits Kitchen Cabinet / Countertop replacement Phase 1	20 20 10 units	\$4,000.00 \$45,000.00 \$65,000.00			
	<b><u>4-18</u></b> Replace HVAC – Phase I Kitchen Cabinet/Countertop Replacement Phase 1 Concrete Repair	19 19 units	\$57,000.00 \$65,000.00 \$5,000.00			
	<b><u>4-19</u></b> Replace HVAC – Phase I Hot Water Heaters Phase I	19 19	\$57,000.00 \$5,000.00			
	<b><u>All Hi-rises</u></b> Reseal Parking Lots Replace Front Entrance Awning Install A/C	4 Bldgs. 4 Bldgs. 4 Bldgs.	\$9,200.00 \$5,000.00 \$100,000.00			

Five-Year Action Plan  
**Part II: Supporting Pages**  
**Physical Needs Work Statement(s)**  
 Comprehensive Grant Program (CGP)

Work Statement  For Year FFY: <u>2005</u>	Work Statement for Year <u>4</u> FFY: <u>2008</u>			Work Statement for Year <u>4</u> FFY: <u>2008</u>		
	Development Number/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/General Description of Major Work Categories	Quantity	Estimated Costs
See Annual Statement	<b>4-3a Bonansinga</b> Install Floor Tile	5 1/2 Floors	\$70,000.00	<b>All Hi-Rises</b> A/C Install Make up air system  <b>Re-Development of Major Byrd Complex</b> Capital Fund Dollars will be used as part of a mixed finance project to include demolition, design and construction of new single family dwelling units on the site and off-site. Inclusive of the acquisition of property adjacent north and east to the Major Byrd property.		\$100,000.00 \$50,000.00
	<b>4-5 Brandon</b> Door Replacement for Storage Rooms, security, front & back exterior doors	235	\$80,000.00			\$150,000.00
	<b>4-10 Lincolnwood, Johnson Park</b> Repair/Replace sidewalks & drives	100%	\$29,200.00			
	<b>4-14</b> Window Replacement – Phase I	10	\$27,000.00			
	<b>4-18</b> Window Replacement – Phase I		\$55,000.00			
	Replace HVAC – Phase II	19	\$45,000.00			
	Upgrade interior light fixtures – Phase I	19	\$11,400.00			
	<b>4-19</b> Window Replacement – Phase I		\$55,000.00			
	Replace HVAC – Phase II	19	\$57,000.00			
	Hot Water Heaters Phase II	19	\$7,000.00			
		20				
	<b>Sankey 401 4-16 / 415 4-3b</b> Install Floor Tile	14 Floors in each Bldg	\$55,386.00			
	<b>PHA Wide</b> Cycle Painting		\$5,000.00			
Window Washing		\$5,000.00				
Power Washing		\$15,000.00				
Stoves/Refrigerators		\$8,000.00				



Five-Year Action Plan  
**Part II: Supporting Pages**  
**Physical Needs Work Statement(s)**  
 Comprehensive Grant Program (CGP)

Work Statement	Work Statement for Year <u>5</u> FFY: <u>2009</u>			Work Statement for Year <u>5</u> FFY: <u>2009</u>			
	Development Number/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/General Description of Major Work Categories	Quantity	Estimated Costs	
See Annual Statement	<b>4-9 Hildebrandt Hi-rise</b> Assessment and Upgrade of Entry System		\$55,000.00	<b>All Hi-Rises</b> A/C Install Make up air system Upgrade Elevators	6	\$100,000.00	
	<b>4-3a Bonansinga</b> Install Floor Tile	5 1/2 Floors	\$54,993.00			\$50,000.00	
	<b>4-5 Brandon</b> Foundation Repair	235	\$34,993.00			\$50,000.00	
	<b>4-14</b> Replace Kitchen cabinets/counter tops – Phase II	10	\$35,000.00			<b>Re-Development of Major Byrd Complex</b> Capital Fund Dollars will be used as part of a mixed finance project to include demolition, design and construction of new single family dwelling units on the site and off-site. Inclusive of the acquisition of property adjacent north and east to the Major Byrd property.	\$150,000.00
	Window Replacement – Phase I	10	\$27,000.00				
	<b>4-18</b> Window Replacement – Phase I	19	\$70,000.00				
	Replace HVAC – Phase II	19	\$50,000.00				
	Upgrade interior light fixtures – Phase II	19	\$20,000.00				
	<b>4-19</b> Window Replacement – Phase I	19	\$65,000.00				
	Replace HVAC – Phase II	19	\$50,000.00				
	Hot Water Heaters Phase II	20	\$5,000.00				
	<b>PHA Wide</b> Cycle Painting		\$5,000.00				
	Window Washing		\$5,000.00				
	Power Washing		\$15,000.00				
	Stoves/Refrigerators		\$8,000.00				

Five-Year Action Plan  
**Part III: Supporting Pages**  
**Management Needs Work Statement(s)**  
 Comprehensive Grant Program (CGP)

Work Statement  For Year 1 FFY <u>2005</u>	Work Statement for Year 2 FFY: <u>2006</u>			Work Statement for Year 3 FFY: <u>2007</u>		
	Development Number/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/General Description of Major Work Categories	Quantity	Estimated Costs
See Annual Statement	<b><u>1406 Operations</u></b> Operating Budget		\$145,414.00	<b><u>1406 Operations</u></b> Operating Budget		\$145,414.00
	<b><u>1408 Management Improvements</u></b> Marketing		\$15,000.00	<b><u>1408 Management Improvements</u></b> Marketing		\$15,000.00
	Staff training and travel		\$50,000.00			\$50,000.00
	Maintenance Agreements		\$5,000.00			\$5,000.00
	Upgrade Computer Systems		\$10,000.00			\$10,000.00
	Security Salaries		\$105,414.00			\$105,414.00
	Security Benefits		\$105,414.00			\$105,414.00
	<b>Total</b>		<b>\$290,828.00</b>		<b>Total</b>	
	<b><u>1410 Administration</u></b> FM&C Salaries		\$72,707.00	<b><u>1410 Administration</u></b> FM&C Salaries		\$72,707.00
	FM&C Benefits		\$72,707.00		FM&C Benefits	
<b>Total</b>		<b>\$145,414.00</b>		<b>Total</b>		<b>\$145,414.00</b>
<b><u>1411 Audit</u></b> Audit		\$2,500.00	<b><u>1411 Audit</u></b> Audit		\$2,500.00	
<b><u>1430 Fees and Costs</u></b> A/E Fees		\$40,000.00	<b><u>1430 Fees and Costs</u></b> A/E Fees		\$40,000.00	
Asbestos Study		\$5,000.00		Energy Conservation Study		\$5,000.00
<b>Total</b>		<b>45,000.00</b>		<b>Total</b>		<b>45,000.00</b>

Five-Year Action Plan  
**Part III: Supporting Pages**  
**Management Needs Work Statement(s)**  
 Comprehensive Grant Program (CGP)

Work Statement  For Year 1 FFY <u>2005</u>	Work Statement for Year 4 FFY: <u>2008</u>			Work Statement for Year 5 FFY: <u>2009</u>		
	Development Number/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/General Description of Major Work Categories	Quantity	Estimated Costs
See Annual Statement	<b><u>1406 Operations</u></b> Operating Budget		\$145,414.00	<b><u>1406 Operations</u></b> Operating Budget		\$145,414.00
	<b><u>1408 Management Improvements</u></b> Marketing		\$15,000.00	<b><u>1408 Management Improvements</u></b> Marketing		\$15,000.00
	Staff training and travel		\$50,000.00	Staff training and travel		\$50,000.00
	Maintenance Agreements		\$5,000.00	Maintenance Agreements		\$5,000.00
	Upgrade Computer Systems		\$10,000.00	Upgrade Computer Systems		\$10,000.00
	Security Salaries		\$105,414.00	Security Salaries		\$105,414.00
	Security Benefits		\$105,414.00	Security Benefits		\$105,414.00
	<b>Total</b>		<b>\$290,828.00</b>	<b>Total</b>		<b>\$290,828.00</b>
	<b><u>1410 Administration</u></b> FM&C Salaries		\$72,707.00	<b><u>1410 Administration</u></b> FM&C Salaries		\$72,707.00
	FM&C Benefits		\$72,707.00	FM&C Benefits		\$72,707.00
<b>Total</b>		<b>\$145,414.00</b>	<b>Total</b>		<b>\$145,414.00</b>	
<b><u>1411 Audit</u></b> Audit		\$2,500.00	<b><u>1411 Audit</u></b> Audit		\$2,500.00	
<b><u>1430 Fees and Costs</u></b> A/E Fees		\$40,000.00	<b><u>1430 Fees and Costs</u></b> A/E Fees		\$40,000.00	
Energy Conservation Study		\$5,000.00	Energy Conservation Study		\$5,000.00	
<b>Total</b>		<b>\$45,000.00</b>	<b>Total</b>		<b>\$45,000.00</b>	