

# PHA Plans

## Streamlined 5-Year/Annual Version

U.S. Department of Housing and  
Urban Development  
Office of Public and Indian Housing

OMB No. 2577-0226  
(exp 05/31/2006)

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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief to certain PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

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# Streamlined 5-Year Plan for Fiscal Years 2005 - 2009

## Streamlined Annual Plan for Fiscal Year 2005

**NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue. Full reporting for each component listed in the streamlined Annual Plan submitted with the 5-year plan is required.**

## Streamlined Five-Year PHA Plan Agency Identification

**PHA Name:** Northeast Oregon Housing Authority

**PHA Number:** OR032

**PHA Fiscal Year Beginning:** (mm/yyyy) 04/2005

*PHA Programs Administered:*

**Public Housing and Section 8**     **Section 8 Only**     **Public Housing Only**

Number of public housing units:

Number of S8 units:

Number of public housing units:

Number of S8 units:

**PHA Consortia:** (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

### Public Access to Information

*Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)*

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

### Display Locations For PHA Plans and Supporting Documents

The PHA Plans and attachments (if any) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

# Streamlined Five-Year PHA Plan

## PHA FISCAL YEARS 2005 - 2009

[24 CFR Part 903.12]

### A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.



The PHA's mission is: (state mission here)

The Core Purpose of Northeast Oregon Housing Authority is to provide safe, decent, sanitary, and affordable housing to the low income of Northeast Oregon.

The Core Values of Northeast Oregon Housing Authority are:

To provide housing for the elderly and disabled

To encourage Family Self Sufficiency

To provide home ownership opportunities

To work with other agencies to support Welfare to Work families by providing Housing Vouchers

### B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAs ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.



PHA Goal: Expand the supply of assisted housing

Objectives:

Apply for additional rental vouchers:

Reduce public housing vacancies:

Leverage private or other public funds to create additional housing opportunities:

Acquire or build units or developments

Other (list below)



PHA Goal: Improve the quality of assisted housing

Objectives:

Improve public housing management: (PHAS score)

Improve voucher management: (SEMAP score)

Increase customer satisfaction:

Concentrate on efforts to improve specific management functions:

(list; e.g., public housing finance; voucher unit inspections)

- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

☞ PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

☞ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:

☞ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:

☞ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:

Other: (list below)

Other PHA Goals and Objectives: (list below)










- Develop a plan to acquire \$1.00 HUD Homes for Sale
- Review Resident Advisory Board Suggestions
- Look at outside the normal housing needs
- Look at housing for persons being released from correction facilities and persons completing drug and alcohol rehabilitation
- Homeownership using Existing Housing
- Participate in developing a tenant training program

## **Streamlined Annual PHA Plan PHA Fiscal Year 2004**

Table of Contents

Provide the following table of contents for the streamlined Annual Plan submitted with the Five-Year Plan, including all streamlined plan components, and additional requirements, together with the list of supporting documents available for public inspection.

A. ANNUAL STREAMLINED PHA PLAN COMPONENTS

-  1. Housing Needs
-  2. Financial Resources
-  3. Policies on Eligibility, Selection and Admissions
-  4. Rent Determination Policies
-  5. Capital Improvements Needs
- 6. Demolition and Disposition
-  7. Homeownership
-  8. Civil Rights Certifications (included with PHA Certifications of Compliance)
- 9. Additional Information
  - a. PHA Progress on Meeting 5-Year Mission and Goals
  - b. Criteria for Substantial Deviations and Significant Amendments
  - c. Other Information Requested by HUD
    - i. Resident Advisory Board Membership and Consultation Process
    - ii. Resident Membership on the PHA Governing Board
    - iii. PHA Statement of Consistency with Consolidated Plan
    - iv. (Reserved)
- 10. Project-Based Voucher Program
- 11. Supporting Documents Available for Review
- 12. FY 20\_\_ Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report
-  13. Capital Fund Program 5-Year Action Plan
-  14. Other (List below, providing name for each item)

**Attachments**

- 1) 2005 PHA Plan Capital Fund Program Annual Statement - Part I
  - Capital Fund Program - Part II
  - Capital Fund Program - Part III
- 2) 2004 Annual Statement/Performance and Evaluation Report
- 3) 2003 Annual Statement/Performance and Evaluation Report
- 4) 5 Year Action Plan for Capital Fund
- 5) Section 8 Homeownership Program Capacity Statement
- 6) Progress Made In Meeting Missions and Goals
- 7) List of Resident Advisory Board
- 8) Public Housing Deconcentration Policy
- 9) Agency Plan Amendment or Modification Policy
- 10) Voluntary Conversion Policy
- 11) Resident Survey Follow-up Plan

**B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE**

*Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;*

## Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.

For PHAs APPLYING FOR CAPITAL FUND PROGRAM (CFP) GRANTS:

Form HUD-50070, Certification for a Drug-Free Workplace;

Form HUD-50071, Certification of Payments to Influence Federal Transactions;

Form SF-LLL & SF-LLLa, Disclosure of Lobbying Activities.

### Executive Summary (optional)

- 1) Northeast Oregon Housing Authority achieved the PHAS high performer designation.
- 2) Northeast Oregon Housing Authority has received a FY 2002 Public Housing DETAP Grant in the amount of \$15,000. The DETAP funds are addressing Drug and Alcohol issues for Public Housing residents in Grant County.
- 3) Northeast Oregon Housing Authority is operating a Section 8 Housing Choice Voucher Homeownership Program.

[903.7®]. If desired, provide a brief overview of the contents of the streamlined 5-Year/Annual Plan.

## 1. Statement of Housing Needs [24 CFR Part 903.12 (b), 903.7(a)]

- A. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists  
State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the PHA's Waiting Lists			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	181		47
Extremely low income <=30% AMI	140	77%	
Very low income (>30% but <=50% AMI)	32	18%	
Low income (>50% but <80% AMI)	9	5%	
Families with children	91	50%	
Elderly families	24	13%	
Families with Disabilities	61	34%	
Race/ethnicity 1	172	95%	
Race/ethnicity 2	3	2%	
Race/ethnicity 3	6	3%	
Race/ethnicity 4	0	0%	
Characteristics by Bedroom			

Housing Needs of Families on the PHA's Waiting Lists			
Size (Public Housing Only)			
1BR	<b>90</b>	<b>50</b>	<b>10</b>
2 BR	<b>53</b>	<b>29</b>	<b>13</b>
3 BR	<b>36</b>	<b>20</b>	<b>20</b>
4 BR	<b>2</b>	<b>1</b>	<b>4</b>
5 BR			
5+ BR			

Is the waiting list closed (select one)?  No  Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year?  No  Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?

No  Yes

Housing Needs of Families on the PHA's Waiting Lists			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	<b>391</b>		<b>118</b>
Extremely low income <=30% AMI	<b>288</b>	<b>74%</b>	
Very low income (>30% but <=50% AMI)	<b>92</b>	<b>23%</b>	
Low income (>50% but <80% AMI)	<b>11</b>	<b>3%</b>	
Families with children	<b>138</b>	<b>35%</b>	
Elderly families	<b>34</b>	<b>9%</b>	
Families with Disabilities	<b>78</b>	<b>20%</b>	
Race/ethnicity 1	<b>374</b>	<b>95%</b>	
Race/ethnicity 2	<b>6</b>	<b>2%</b>	
Race/ethnicity 3	<b>7</b>	<b>2%</b>	
Race/ethnicity 4	<b>4</b>	<b>1%</b>	
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			



**Housing Needs of Families on the PHA's Waiting Lists**

Is the waiting list closed (select one)?  No  Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year?  No  Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?

No  Yes

**B. Strategy for Addressing Needs**

Provide a brief description of the PHA's strategy for addressing the housing needs of families on the PHA's public housing and Section 8 waiting lists **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

**(1) Strategies**

Need: Shortage of affordable housing for all eligible populations

*Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:*

*Select all that apply*

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by: Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

**Need: Specific Family Types: Families with Disabilities**

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

*Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:*

*Select if applicable*

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)  
Resident Advisory Board identified housing for released convicts and drug and alcohol treatment persons as having unmet housing needs

**2. Statement of Financial Resources**

[24 CFR Part 903.12 (b), 903.7 (c)]

List on the following table the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2004 grants)</b>		
a) Public Housing Operating Fund	<b>386,533</b>	
b) Public Housing Capital Fund	<b>112,668</b>	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
e) Annual Contributions for Section 8 Tenant-Based Assistance	<b>3,262,691</b>	
f) Resident Opportunity and Self-Sufficiency Grants	<b>78,903</b>	
g) Community Development Block Grant		
h) HOME		
Other Federal Grants (list below)		
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
<b>3. Public Housing Dwelling Rental Income</b>	<b>154,020</b>	
<b>4. Other income (list below)</b>		
<b>Interest</b>	<b>1,893</b>	
<b>Other</b>	<b>20,871</b>	
<b>4. Non-federal sources (list below)</b>		
<b>Total resources</b>	<b>4,017,579</b>	

3. PHA Policies Governing Eligibility, Selection, and Admissions  
[24 CFR Part 903.12 (b), 903.7 (b)]

A. Public Housing

*Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.*

**(1) Eligibility**

- a. When does the PHA verify eligibility for admission to public housing? (select all that apply)
- When families are within a certain number of being offered a unit: (three (3))
  - When families are within a certain time of being offered a unit: (state time)
  - Other: (describe)
- b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?
- Criminal or Drug-related activity
  - Rental history
  - Housekeeping
  - Other (describe)

- c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

**(2)Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office

Other (list below)

- 1) by mail
- 2) application forms available at social services offices
- 3) application forms available at apartments offices

c. Site-Based Waiting Lists-Previous Year

- a. Has the PHA operated one or more site-based waiting lists in the previous year? **No**  
If yes, complete the following table; if not skip to d.

Site-Based Waiting Lists				
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics

- 2. What is the number of site based waiting list developments to which families may apply at one time? \_\_\_\_
- 3. How many unit offers may an applicant turn down before being removed from the site-based waiting list? \_\_\_\_

4.  Yes  No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

d. Site-Based Waiting Lists – Coming Year

*If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) Assignment*

1. How many site-based waiting lists will the PHA operate in the coming year?
2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?
3.  Yes  No: May families be on more than one list simultaneously? If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
  - PHA main administrative office
  - All PHA development management offices
  - Management offices at developments with site-based waiting lists
  - At the development to which they would like to apply
  - Other (list below)

**(3) Assignment**

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)



One

Two

Three or More

- b. Yes  No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

**(4) Admissions Preferences**

- a. Income targeting:  
 Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer policies:  
In what circumstances will transfers take precedence over new admissions? (list below)



Emergencies



Over-housed



Under-housed



Medical justification

Administrative reasons determined by the PHA (e.g., to permit modernization work)

Resident choice: (state circumstances below)

Other: (list below)

**c. Preferences**

1. Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection (5) **Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

**Former Federal preferences:**



Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

Victims of domestic violence

Substandard housing

Homelessness

High rent burden (rent is > 50 percent of income)

**Other preferences: (select below)**

Working families and those unable to work because of age or disability

Veterans and veterans’ families

Residents who live and/or work in the jurisdiction

Those enrolled currently in educational, training, or upward mobility programs

Households that contribute to meeting income goals (broad range of incomes)

Households that contribute to meeting income requirements (targeting)

Those previously enrolled in educational, training, or upward mobility programs

Victims of reprisals or hate crimes

Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

2 Date and Time

Former Federal preferences:

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

Victims of domestic violence

Substandard housing

Homelessness

High rent burden

**Other preferences (select all that apply)**

Working families and those unable to work because of age or disability

- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing**

- a.  Yes  No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b.  Yes  No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete. If yes, list these developments on the following table:

Deconcentration Policy for Covered Developments			
Development Name	Number of Units	Explanation (if any) [see step 4 at §903.2©(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2©(1)(v)]



**B. Section 8**

*Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).*

**(1) Eligibility**

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors):
- Other (list below)

b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
- Other (describe below)  
History of, ability to, comply with material standard lease trms

**(2) Waiting List Organization**

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)
  - 1) by mail
  - 2) application forms available at social services offices
  - 3) application forms available at apartments offices

**(3) Search Time**

a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit?

*If yes, state circumstances below:*

- 4) If family has been seeking housing and has not been successful in finding housing
- 5) As reasonable accommodation to a person with disabilities

**(4) Admissions Preferences**

a. Income targeting

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

- 1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)
- 2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

**Former Federal preferences**

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

*Other preferences (select all that apply)*

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes

Other preference(s) (list below)

- 1. Whose head or spouse or sole member is an elderly person or disabled person over a single person that is not elderly, disabled, or displaced.
- 2. Homeless persons going into Transitional Housing.
- 3. Housing designated for Severely Mentally Ill persons which provides services for their illness on site.
- 4. Homeownership - Families interested in participating in the Housing Authority’s Homeownership Program.
- 5. Participants in the Community Connections Tenant Based Assistance (TBA)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

*Other preferences (select all that apply)*

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes

Other preference(s) (list below)

1. Whose head or spouse or sole member is an elderly person or disabled person over a single person that is not elderly, disabled, or displaced.

- a. Homeless persons going into Transitional Housing.
- b. Housing designated for Severely Mentally Ill persons which provides services for their illness on site.
- c. Homeownership - Families interested in participating in the Housing Authority's Homeownership Program.
- 5. Participants in the Community Connections Tenant Based Assistance (TBA)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

**4. PHA Rent Determination Policies**

[24 CFR Part 903.12(b), 903.7(d)]

**A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

**(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

**a. Use of discretionary policies: (select one of the following two)**

The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

**b. Minimum Rent**

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

- a. the maximum amount of time for a hardship exemption is six months.

**c. Rents set at less than 30% of adjusted income**

- 1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?
- 2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

**d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)**

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

**e. Ceiling rents**

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

**f. Rent re-determinations:**

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

Never

At family option

Any time the family experiences an income increase



Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) \$200 per month

Other (list below)

- g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

## (2) Flat Rents

- a. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)



The section 8 rent reasonableness study of comparable housing



Survey of rents listed in local newspaper

Survey of similar unassisted units in the neighborhood

Other (list/describe below)

## B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

### (1) Payment Standards

Describe the voucher payment standards and policies.

- a. What is the PHA's payment standard? (select the category that best describes your standard)

At or above 90% but below 100% of FMR

100% of FMR



Above 100% but at or below 110% of FMR

Above 110% of FMR (if HUD approved; describe circumstances below)

- b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area

The PHA has chosen to serve additional families by lowering the payment standard

Reflects market or submarket

Other (list below)

- c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area

Reflects market or submarket

To increase housing options for families

Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

Annually

Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

Success rates of assisted families

Rent burdens of assisted families

Other (list below)

## (2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

\$0

\$1-\$25

\$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

A. the maximum amount of time for a hardship exemption is six months.

5. Capital Improvement Needs

[24 CFR Part 903.12(b), 903.7 (g)]

Exemptions from Component 5: Section 8 only PHAs are not required to complete this component and may skip to Component 6.

## A. Capital Fund Activities

Exemptions from sub-component 5A: PHAs that will not participate in the Capital Fund Program may skip to component 5B. All other PHAs must complete 5A as instructed.

### (1) Capital Fund Program





a.  Yes  No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 12 and 13 of this template (Capital Fund Program tables). If no, skip to B.

b.  Yes  No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

**B. HOPE VI and Public Housing Development and Replacement Activities**  
*(Non-Capital Fund)*

Applicability of sub-component 5B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.


**(1) Hope VI Revitalization**

- a.  Yes  No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to next component; if yes, provide responses to questions on chart below for each grant, copying and completing as many times as necessary)
- b. **Status of HOPE VI revitalization grant (complete one set of questions for each grant)**  
 Development name:  
 Development (project) number:  
 Status of grant: (select the statement that best describes the current status)  
 Revitalization Plan under development  
 Revitalization Plan submitted, pending approval  
 Revitalization Plan approved  
 Activities pursuant to an approved Revitalization Plan underway
- c.  Yes  No: Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name/s below:
- d.  Yes  No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:
- e.  Yes  No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

**6. Demolition and Disposition**

[24 CFR Part 903.12(b), 903.7 (h)]

Applicability of component 6: Section 8 only PHAs are not required to complete this section.

- a.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 or 24 (Hope VI) of the U.S. Housing Act of 1937 (42 U.S.C. 1437p) or Section 202/Section 33 (Mandatory Conversion) in the plan Fiscal Year? (If “No”, skip to component 7; if “yes”, complete one activity description for each development on the following chart.)

<b>Demolition/Disposition Activity Description</b>
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>



4. Date application approved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units affected:
6. Coverage of action (select one)
<input type="checkbox"/> Part of the development
<input type="checkbox"/> Total development
7. Timeline for activity:
a. Actual or projected start date of activity:
b. Projected end date of activity:

**7. Section 8 Tenant Based Assistance—Section 8(y) Homeownership Program**

[24 CFR Part 903.12(b), 903.7(k)(1)(i)]

- (1)  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to the next component; if “yes”, complete each program description below (copy and complete questions for each program identified.)

**(2) Program Description**

a. Size of Program

- Yes  No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year? \_\_\_

b. PHA established eligibility criteria

- Yes  No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria below:

c. What actions will the PHA undertake to implement the program this year (list)?

(3) Capacity of the PHA to Administer a Section 8 Homeownership Program

*The PHA has demonstrated its capacity to administer the program by (select all that apply):*

- a.  Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family’s resources.
- b.  Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- c.  Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below).
- d.  Demonstrating that it has other relevant experience (list experience below).  
Received approval from local HUD office

**8. Civil Rights Certifications**

[24 CFR Part 903.12 (b), 903.7 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and

Streamlined Five-Year/Annual Plans, which is submitted to the Field Office in hard copy—see Table of Contents.

**9. Additional Information**

[24 CFR Part 903.12 (b), 903.7 ©]

- A. PHA Progress in Meeting the Mission and Goals Described in the 5-Year Plan  
*(Provide a statement of the PHA's progress against the goals and objectives established in the previous 5-Year Plan for the period FY 2000 - 2004.)*
- B. Criteria for Substantial Deviations and Significant Amendments

**(1) Amendment and Deviation Definitions**

24 CFR Part 903.7©

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

- a. Substantial Deviation from the 5-Year Plan
- b. Significant Amendment or Modification to the Annual Plan

C. Other Information

[24 CFR Part 903.13, 903.15]

**(1) Resident Advisory Board Recommendations**

- a.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?


If yes, provide the comments below:

- b. In what manner did the PHA address those comments? (select all that apply)
  - Considered comments, but determined that no changes to the PHA Plan were necessary.
  - The PHA changed portions of the PHA Plan in response to comments  
List changes below:
  - Other: (list below)

**(2) Resident Membership on PHA Governing Board**

The governing board of each PHA is required to have at least one member who is directly assisted by the PHA, unless the PHA meets certain exemption criteria. Regulations governing the resident board member are found at 24 CFR Part 964, Subpart E.


- a. Does the PHA governing board include at least one member who is directly assisted by the PHA this year?

 Yes  No:

If yes, complete the following:

Name of Resident Member of the PHA Governing Board: Beverly Mathena

Method of Selection:

 Appointment

**The term of appointment is (include the date term expires):**

- Election by Residents (if checked, complete next section—Description of Resident Election Process)

**Description of Resident Election Process**

Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)  
All Residents were notified and given an application. The Resident Advisory Board interviewed and selected a tenant commissioner

Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)  
Resident Advisory Board

b. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- The PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- The PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain):

Date of next term expiration of a governing board member:

Name and title of appointing official(s) for governing board (indicate appointing official for the next available position):

(3) PHA Statement of Consistency with the Consolidated Plan  
[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

Consolidated Plan jurisdiction: (provide name here)

a. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply):

- The PHA has based its statement of needs of families on its waiting list on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

b. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

**(4) (Reserved)**

Use this section to provide any additional information requested by HUD.

**10. Project-Based Voucher Program**

- a.  Yes  No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If yes, answer the following questions.
- b.  Yes  No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option?

*If yes, check which circumstances apply:*














- Low utilization rate for vouchers due to lack of suitable rental units
- Access to neighborhoods outside of high poverty areas
- Other (describe below:)

- c. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

**1. List of Supporting Documents Available for Review for Streamlined Five-Year/ Annual PHA Plans**

*PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.*

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
<input checked="" type="checkbox"/>	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans.</i>	Standard 5 Year and Annual Plans; streamlined 5 Year Plans
<input checked="" type="checkbox"/>	State/Local Government Certification of Consistency with the Consolidated Plan.	5 Year Plans
	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s	5 Year and Annual Plans

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
	involvement.	
	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA's public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies
	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
	Public housing rent determination policies, including the method for setting public housing flat rents. <input type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
	Schedule of flat rents offered at each public housing development. <input type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies.  Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment). 96	Annual Plan: Management and Operations
	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
	Any policies governing any Section 8 special housing types <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
	Consortium agreement(s).	Annual Plan: Agency Identification and Operations/ Management
	Public housing grievance procedures <input type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Grievance Procedures
	Section 8 informal review and hearing procedures. <input type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
☝	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
☝	Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
☝	Public Housing Community Service Policy/Programs ☝ Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
☝	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
☝	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
☝	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). ☝ Check here if included in the public housing A & O Policy.	Pet Policy
☝	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
	Consortium agreement(s), if a consortium administers PHA programs.	Joint PHA Plan for Consortia
	Consortia Joint PHA Plans ONLY: Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection	Joint PHA Plan for Consortia
	Other supporting documents (optional). List individually.	(Specify as needed)

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: NORHTEAST OREGON HOUSING AUTHORITY	NEOHA	Grant Type and Number	Federal FY of Grant: <b>2005</b>
		Capital Fund Program Grant No: OR16PO32-501-05	
		Replacement Housing Factor Grant No:	

Original Annual Statement Reserve for Disasters     Emergencies     Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:     Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$76,237.50	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements				
4	1410 Administration	\$46,960.50	\$0.00	\$0.00	\$0.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	\$22,015.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$75,870.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment—Nonexpendable	\$20,000.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	\$12,000.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: NORTHEAST OREGON HOUSING AUTHORITY	Grant Type and Number	Federal FY of Grant: 2005
	Capital Fund Program Grant No: OR16PO32-501-05	
	Replacement Housing Factor Grant No:	

Original Annual Statement Reserve for Disasters     Emergencies Revised Annual Statement     Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:     Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2-20)	\$253,083.00	\$0.00	\$0.00	\$0.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Section 504 Compliance				
24	Amount of line 21 Related to Security - Soft Costs				
25	Amount of line 21 Related to Security Hard Cost				
26	Amount of line 21 Related to Energy conservation Measures				



# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

### Part II: Supporting Pages

PHA Name: Northeast Oregon Housing Authority		Grant Type and Number				Federal FY of Grant: 2005		
		Capital Fund Program Grant No:OR16PO32-501-05						
		Replacement Housing Factor Grant No:						
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b>HA-Wide</b>	<b>Operations</b>	<b>1406</b>		<b>\$76,237.50</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b>Administration</b>	<b>1410</b>						
	<b>Salary &amp; Benefits</b>			<b>\$46,960.50</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b>Total: HA WIDE.</b>			<b>\$123,198.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
<b>OR32-1</b>	<b>Site Improvements</b>	<b>1450</b>						
	Concrete Replacement Haines, Baker Family		2,000sf	\$15,000.00	\$0.00	\$0.00	\$0.00	
	Repair Parking Lot LG or BC			\$7,015.00	\$0.00	\$0.00	\$0.00	
	Sub Total 1450			\$22,015.00	\$0.00	\$0.00	\$0.00	
<b>OR32-1</b>	<b>Dwelling Structures</b>	<b>1460</b>						
	Replace cabinet doors, drawers and guides in 8PH Baker, Union Family, 8 in Haines family		16	\$43,935.00	\$0.00	\$0.00	\$0.00	
	Paint Units		8	\$5,935.00	\$0.00	\$0.00	\$0.00	
	Redo Vents Elderly			\$16,000.00	\$0.00	\$0.00	\$0.00	
	Tile Family Units		4	\$10,000.00	\$0.00	\$0.00	\$0.00	
	Sub Total 1460			\$75,870.00	\$0.00	\$0.00	\$0.00	
<b>OR32-1</b>	<b>Nondwelling Equipment</b>	<b>1475</b>						
	Replace 2 Lawn Mowers LG & Baker		2	\$12,000.00	\$0.00	\$0.00	\$0.00	
	<b>TOTAL OR32-1</b>			<b>\$109,885.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

### Part II: Supporting Pages

PHA Name: Northeast Oregon Housing Authority		<b>Grant Type and Number</b>				<b>Federal FY of Grant: 2005</b>		
		Capital Fund Program Grant No: OR16PO32-501-05						
		Replacement Housing Factor Grant No:						
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b>OR32-4</b>	<b>Dwelling Equipment</b>	<b>1465.1</b>						
	AC units in Grant Co. Canyon City		6	\$20,000.00	\$0.00	\$0.00	\$0.00	
	<b>TOTAL OR32-4</b>			<b>\$20,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b>TOTAL GRANT AMOUNT</b>			<b>\$253,083.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

**Part III: Supporting Pages**

<b>PHA Name: Northeast Oregon Housing Authority</b>		<b>Grant Type and Number</b>					<b>Federal FY of Grant: 2005</b>
		<b>Capital Fund Program Grant No: OR16PO32-501-05</b>					
		<b>Replacement Housing Factor Grant No:</b>					
<b>Development Number</b>	<b>All Funds Obligated (Quarter Ending Date)</b>	<b>All Funds Expended (Quarter Ending Date)</b>			<b>Reasons for Revised Target Dates</b>		
<b>Name Name/ HA-Wide Activities</b>	<b>Original</b>	<b>Revised</b>	<b>Actual</b>	<b>Original</b>	<b>Revised</b>	<b>Actual</b>	
<b>HA Wide</b>	<b>01/31/07</b>			<b>07/31/07</b>			
<b>OR32-1</b>	<b>01/31/07</b>			<b>07/31/07</b>			
<b>OR32-7</b>	<b>01/31/07</b>			<b>07/31/07</b>			

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: NORHTEAST OREGON HOUSING AUTHORITY      NEOHA	Grant Type and Number	Federal FY of Grant: <b>2004</b>
	Capital Fund Program Grant No: OR16PO32-501-04	
	Replacement Housing Factor Grant No:	

Original Annual Statement Reserve for Disasters     Emergencies     Revised Annual Statement (revision no: 2 )

Performance and Evaluation Report for Period Ending: 9/30/04       Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$90,102.00	\$44,875.50	\$0.00	\$0.00
3	1408 Management Improvements				
4	1410 Administration	\$0.00	\$45,226.50	\$0.00	\$0.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	\$71,748.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$91,233.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: NORTHEAST OREGON HOUSING AUTHORITY	Grant Type and Number	Federal FY of Grant: 2004
	Capital Fund Program Grant No: OR16PO32-501-04	
	Replacement Housing Factor Grant No:	

Original Annual Statement Reserve for Disasters   
 Emergencies Revised Annual Statement   
 Revised Annual Statement (revision no: 2 )  
 Performance and Evaluation Report for Period Ending: 9/30/04   
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2-20)	\$253,083.00	\$0.00	\$0.00	\$0.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Section 504 Compliance				
24	Amount of line 21 Related to Security - Soft Costs				
25	Amount of line 21 Related to Security Hard Cost				
26	Amount of line 21 Related to Energy conservation Measures				

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

### Part II: Supporting Pages

PHA Name: Northeast Oregon Housing Authority		Grant Type and Number			Federal FY of Grant: 2004			
		Capital Fund Program Grant No:OR16PO32-501-04						
		Replacement Housing Factor Grant No:						
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b>HA-Wide</b>	<b>Operations</b>	<b>1406</b>		<b>\$90,102.00</b>	<b>\$44,875.50</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b>Administration</b>	<b>1410</b>						
	<b>Salary &amp; Benefits</b>				<b>\$45,226.50</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b>Total: HA WIDE.</b>			<b>\$90,102.00</b>	<b>\$90,102.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
<b>OR32-1</b>	<b>Site Improvements</b>	<b>1450</b>						
	Concrete Replacement Baker Family		1,500 sq ft	\$10,000.00	\$10,000.00	\$0.00	\$0.00	
	Install Playground equip. in Baker and Elgin family		3	\$34,548.00	\$34,548.00	\$0.00	\$0.00	
	Sub Total 1450			\$44,548.00	\$44,548.00	\$0.00	\$0.00	
<b>OR32-1</b>	<b>Dwelling Structures</b>	<b>1460</b>						
	Paint Units, La Grande, Baker Elderly		15	\$10,000.00	\$10,000.00	\$0.00	\$0.00	
	Re- Roof PH			\$70,000.00	\$70,000.00	\$0.00	\$0.00	
	Tile Family Units- Baker Family		4	\$11,233.00	\$11,233.00	\$0.00	\$0.00	
	Sub Total 1460			\$91,233.00	\$91,233.00	\$0.00	\$0.00	
	<b>TOTAL OR32-1</b>			<b>\$135,781.00</b>	<b>\$135,781.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

### Part II: Supporting Pages

PHA Name: Northeast Oregon Housing Authority		<b>Grant Type and Number</b>				<b>Federal FY of Grant: 2004</b>			
		Capital Fund Program Grant No: OR16PO32-501-04							
		Replacement Housing Factor Grant No:							
Development Number	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Funds Obligated	Funds Expended		
Name/HA-Wide Activities									
<b>OR32-7</b>	<b>Site Improvements</b>	<b>1450</b>							
	Retaining Wall Huntington		4	\$27,200.00	\$27,200.00	\$0.00	\$0.00		
	<b>TOTAL OR32-7</b>			<b>\$27,200.00</b>	<b>\$27,200.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		
	<b>TOTAL GRANT AMOUNT</b>			<b>\$253,083.00</b>	<b>\$253,083.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

**Part III: Supporting Pages**

<b>PHA Name: Northeast Oregon Housing Authority</b>		<b>Grant Type and Number</b>					<b>Federal FY of Grant: 2004</b>
		<b>Capital Fund Program Grant No: OR16PO32-501-04</b>					
		<b>Replacement Housing Factor Grant No:</b>					
<b>Development Number Name Name/ HA-Wide Activities</b>	<b>All Funds Obligated (Quarter Ending Date)</b>			<b>All Funds Expended (Quarter Ending Date)</b>			<b>Reasons for Revised Target Dates</b>
	<b>Original</b>	<b>Revised</b>	<b>Actual</b>	<b>Original</b>	<b>Revised</b>	<b>Actual</b>	
<b>HA Wide</b>	<b>01/31/06</b>			<b>07/31/06</b>			
<b>OR32-1</b>	<b>01/31/06</b>			<b>07/31/06</b>			
<b>OR32-7</b>	<b>01/31/06</b>			<b>07/31/06</b>			



# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: NORHTEAST OREGON HOUSING AUTHORITY	NEOHA	Grant Type and Number	Federal FY of Grant: <b>2003</b>
		Capital Fund Program Grant No: OR16PO32-502-03	
		Replacement Housing Factor Grant No:	

Original Annual Statement Reserve for Disasters    Emergencies    Revised Annual Statement (revision no: 1 )

Performance and Evaluation Report for Period Ending: 9/30/04    Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$24,552.00	\$24,063.45	\$24,063.45	\$6,559.95
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	\$19,000.00	\$19,488.55	\$19,488.55	\$13,988.55
10	1460 Dwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				

Capital Fund Program Tables Page 1

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: NORTHEAST OREGON HOUSING AUTHORITY	Grant Type and Number	Federal FY of Grant: 2003
	Capital Fund Program Grant No: OR16PO32-502-03	
	Replacement Housing Factor Grant No:	

Original Annual Statement Reserve for Disasters   
  Emergencies Revised Annual Statement   
  Revised Annual Statement (revision no: 1 )  
 Performance and Evaluation Report for Period Ending:9/30/04   
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2-20)	\$43,552.00	\$43,552.00	\$43,552.00	\$20,548.50
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Section 504 Compliance				
24	Amount of line 21 Related to Security - Soft Costs				
25	Amount of line 21 Related to Security Hard Cost				
26	Amount of line 21 Related to Energy conservation Measures				

Capital Fund Program Tables Page 2

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

### Part II: Supporting Pages

PHA Name: Northeast Oregon Housing Authority		Grant Type and Number				Federal FY of Grant: 2003		
		Capital Fund Program Grant No:OR16PO32-502-03						
		Replacement Housing Factor Grant No:						
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b>HA-Wide</b>	<b>Operations</b>	<b>1406</b>		<b>\$24,552.00</b>	<b>\$24,063.45</b>	<b>\$24,063.45</b>	<b>\$6,559.95</b>	
<b>HA Wide</b>	<b>Non- Dwelling Equipment</b>	<b>1475</b>						
	<b>Total: HA WIDE.</b>			<b>\$24,552.00</b>	<b>\$24,063.45</b>	<b>\$24,063.45</b>	<b>\$6,559.95</b>	
<b>OR32-1</b>	<b>Site Improvements</b>	<b>1450</b>						
	Concrete Replacement Elgin		1500 sf	\$4,000.00	\$0.00	\$0.00	\$0.00	Project Deleted
	Sub Total 1450			\$4,000.00	\$0.00	\$0.00	\$0.00	
<b>OR32-1</b>	<b>Dwelling Structures</b>	<b>1460</b>						
				\$0.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
	Sub Total 1460			\$0.00	\$0.00	\$0.00	\$0.00	
	<b>TOTAL OR32-1</b>			<b>\$4,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

### Part II: Supporting Pages

PHA Name: Northeast Oregon Housing Authority		Grant Type and Number				Federal FY of Grant: 2003		
		Capital Fund Program Grant No: OR16PO32-502-03						
		Replacement Housing Factor Grant No:						
Development Number	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Name/HA-Wide Activities								
<b>OR32-4</b>	<b>Site Improvements</b>	<b>1450</b>						
	Install playground Equipment		1	\$15,000.00	\$19,488.55	\$19,488.55	\$13,988.55	Contracted
	<b>TOTAL OR32-4</b>			<b>\$15,000.00</b>	<b>\$19,488.55</b>	<b>\$19,488.55</b>	<b>\$13,988.55</b>	
	<b>TOTAL GRANT AMOUNT</b>			<b>\$43,552.00</b>	<b>\$43,552.00</b>	<b>\$26,048.50</b>	<b>\$20,548.50</b>	

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

**Part III: Supporting Pages**

<b>PHA Name: Northeast Oregon Housing Authority</b>	<b>Grant Type and Number</b>  <b>Capital Fund Program Grant No: OR16PO32-502-03</b> <b>Replacement Housing Factor Grant No:</b>							<b>Federal FY of Grant: 2003</b>
<b>Development Number</b> <b>Name Name/ HA-Wide</b> <b>Activities</b>	<b>All Funds Obligated</b> <b>(Quarter Ending Date)</b>			<b>All Funds Expended</b> <b>(Quarter Ending Date)</b>			<b>Reasons for</b> <b>Revised Target Dates</b>	
	<b>Original</b>	<b>Revised</b>	<b>Actual</b>	<b>Original</b>	<b>Revised</b>	<b>Actual</b>		
<b>HA Wide</b>	<b>01/31/05</b>		<b>09/30/04</b>	<b>07/31/05</b>				
<b>OR32-1</b>	<b>01/31/05</b>			<b>07/31/05</b>			<b>Project Deleted</b>	
<b>OR32-4</b>	<b>01/31/05</b>		<b>09/07/04</b>	<b>07/31/05</b>				

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: NORHTEAST OREGON HOUSING AUTHORITY	NEOHA	Grant Type and Number	Federal FY of Grant: <b>2003</b>
		Capital Fund Program Grant No: OR16PO32-501-03	
		Replacement Housing Factor Grant No:	

Original Annual Statement Reserve for Disasters  Emergencies  Revised Annual Statement (revision no: 3 )

Performance and Evaluation Report for Period Ending: 9/30/04  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$88,021.00	\$105,930.42	\$97,395.42	\$53,168.53
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	\$20,716.00	\$12,549.00	\$12,549.00	\$7,009.00
10	1460 Dwelling Structures	\$79,134.00	\$82,107.00	\$82,107.00	\$82,107.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	\$30,664.00	\$17,948.58	\$17,948.58	\$17,887.58
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: NORTHEAST OREGON HOUSING AUTHORITY	Grant Type and Number	Federal FY of Grant: 2003
	Capital Fund Program Grant No: OR16PO32-501-03	
	Replacement Housing Factor Grant No:	

( ) Original Annual Statement Reserve for Disasters ( ) Emergencies Revised Annual Statement ( ) Revised Annual Statement (revision no: )  
 ( X ) Performance and Evaluation Report for Period Ending: 9/30/04 ( ) Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2-20)	\$218,535.00	\$218,535.00	\$210,000.00	\$160,172.11
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Section 504 Compliance				
24	Amount of line 21 Related to Security - Soft Costs				
25	Amount of line 21 Related to Security Hard Cost				
26	Amount of line 21 Related to Energy conservation Measures				

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

### Part II: Supporting Pages

PHA Name: Northeast Oregon Housing Authority		Grant Type and Number				Federal FY of Grant: 2003		
		Capital Fund Program Grant No:OR16PO32-501-03						
		Replacement Housing Factor Grant No:						
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b>HA-Wide</b>	<b>Operations</b>	<b>1406</b>		<b>\$88,021.00</b>	<b>\$105,930.42</b>	<b>\$97,395.42</b>	<b>\$53,168.53</b>	
<b>HA Wide</b>	<b>Non- Dwelling Equipment</b>	<b>1475</b>						
	Replace Maintenance vehicle		1	\$30,664.00	\$17,948.58	\$17,948.58	\$17,887.58	Completed
	<b>Total: HA WIDE.</b>			<b>\$118,685.00</b>	<b>\$123,879.00</b>	<b>\$115,344.00</b>	<b>\$71,056.11</b>	
<b>OR32-1</b>	<b>Site Improvements</b>	<b>1450</b>						
	Concrete replacement Elgin		1500 sf	\$6,516.00	\$5,540.00	\$5,540.00	\$0.00	Completed
	Repair Parking Lot Baker Elderly		30,000 sf	\$14,200.00	\$14,200.00	\$7,009.00	\$7,009.00	Completed
	Sub Total 1450			\$20,716.00	\$12,549.00	\$12,549.00	\$7,009.00	
<b>OR32-1</b>	<b>Dwelling Structures</b>	<b>1460</b>						
	Replace OH Lights La Grande / Baker Elderly		92	\$25,000.00	\$15,675.20	\$15,675.20	\$15,675.20	Completed
	Replace Tile (VCT) Family Units		15	\$39,134.00	\$45,181.80	\$45,181.80	\$45,181.80	Completed
	Replace Kit Cabinet Doors		4	\$0.00	\$0.00	\$0.00	\$0.00	
	Replace Vertical Blinds Union/ Baker		140	\$15,000.00	\$21,250.00	\$21,250.00	\$21,250.00	Completed
	Install back door and patios elderly		6	\$0.00	\$0.00	\$0.00	\$0.00	
	Sub Total 1460			\$79,134.00	\$82,107.00	\$82,107.00	\$82,107.00	
	<b>TOTAL OR32-1</b>			<b>\$99,850.00</b>	<b>\$94,656.00</b>	<b>\$94,656.00</b>	<b>\$89,116.00</b>	

Capital Funds Tables Page 3A



# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

### Part II: Supporting Pages

PHA Name: Northeast Oregon Housing Authority		Grant Type and Number				Federal FY of Grant: 2003		
		Capital Fund Program Grant No: OR16PO32-501-03						
		Replacement Housing Factor Grant No:						
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b>OR32-4</b>	<b>Site Improvements</b>	<b>1475</b>						
	Install Playground equipment		1	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>TOTAL OR32-4</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b>TOTAL GRANT AMOUNT</b>			<b>\$218,535.00</b>	<b>\$218,535.00</b>	<b>\$210,000.00</b>	<b>\$160,172.11</b>	

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

**Part III: Supporting Pages**

<b>PHA Name: Northeast Oregon Housing Authority</b>	<b>Grant Type and Number</b>						<b>Federal FY of Grant: 2003</b>
	<b>Capital Fund Program Grant No: OR16PO32-501-03</b>						
	<b>Replacement Housing Factor Grant No:</b>						
<b>Development Number Name Name/ HA-Wide Activities</b>	<b>All Funds Obligated (Quarter Ending Date)</b>			<b>All Funds Expended (Quarter Ending Date)</b>			<b>Reasons for Revised Target Dates</b>
	<b>Original</b>	<b>Revised</b>	<b>Actual</b>	<b>Original</b>	<b>Revised</b>	<b>Actual</b>	
<b>HA Wide</b>	<b>01/31/05</b>			<b>07/31/05</b>			
<b>OR32-1</b>	<b>01/31/05</b>			<b>07/31/05</b>			
<b>OR32-4</b>	<b>01/31/05</b>			<b>07/31/05</b>			

**Capital Fund Program Five Year Action Plan**

**Part I: Summary**

<b>PH Name: Northeast Oregon Housing Authority</b>		<b>( X )Original 5-Year Plan</b>			
		<b>( ) Revision No:3</b>			
<b>Development Number/ Name/ HA-Wide</b>	<b>Year 1 2005</b>	<b>Work Statement for Year 2</b>	<b>Work Statement for Year 3</b>	<b>Work Statement for Year 4</b>	<b>Work Statement for Year 5</b>
		<b>FFY Grant: 2006</b>	<b>FFY Grant: 2007</b>	<b>FFY Grant: 2008</b>	<b>FFY Grant: 2009</b>
		<b>PHA FY: 2007</b>	<b>PHA FY: 2008</b>	<b>PHA FY: 2009</b>	<b>PHA FY: 2010</b>
	Annual Statement				
OR32-1		\$76,935.00	\$80,000.00	\$96,365.00	\$70,813.00
HA Wide		\$162,800.00	\$173,083.00	\$156,718.00	\$164,770.00
OR32-2					
OR32-3					
OR32-4					
OR32-7		\$13,348.00			\$17,500.00
CFP Funds Listed for 5 - Year Planning		\$253,083.00	\$253,083.00	\$253,083.00	\$253,083.00
Replacement Housing Factor Funds					

**Capital Fund Program Five Year Action Plan**

**Part II: Supporting Pages - Work Activities**

Activities for year 1	Activities for Year: 2			Activities for Year: 3		
	FFY Grant: 2006			FFY Grant: 2007		
	PHA FY: 2007			PHA FY: 2008		
	Development Name/ Number	Major Work Categories	Estimated Cost	Development Name/ Number	Major Work Categories	Estimated Cost
See	OR32-1	Replace Cabinet doors and guides family units	\$6,000.00	OR32-1	Replace 2,600 sf concrete LG, Baker elderly	\$20,000.00
		Concrete Replacement	\$20,000.00		Paint Units Elderly Baker and Union Co.	\$20,000.00
		Replace Office carpet, La Grande	\$10,935.00		Seal Block veneer	\$15,000.00
Annual		Paint Units Elderly Baker and Union Co.	\$20,000.00		Tile Family Units	\$5,000.00
		Maintenance Vehicle	\$20,000.00			
	OR32-2					
Statement	OR32-7	Water Heaters and Disposals	\$13,348.00			
	HA Wide	Operations	\$162,800.00	HA Wide	Operations	\$173,083.00
					Replace Maintenance Vehicle	\$20,000.00
	Estimated cost	Total CFP	\$253,083.00			\$253,083.00

**Capital Fund Program Five Year Action Plan**

**Part II: Supporting Pages - Work Activities**

Activities for year 1	Activities for Year: 4			Activities for Year: 5		
	FFY Grant: 2008			FFY Grant: 2009		
	PHA FY: 2009			PHA FY: 2010		
	Development Name/ Number	Major Work Categories	Estimated Cost	Development Name/ Number	Major Work Categories	Estimated Cost
See	OR32-1	20 La Grande Elderly Tub surrounds	\$12,935.00	OR32-1	20 Baker Elderly tub surrounds	\$10,000.00
		Repair Parking Lot	\$20,000.00		Replace Back Office Carpet	\$12,000.00
Annual		Concrete replacement Union / Baker Family	\$15,382.00		Counter Tops Replace	\$23,813.00
		Air Tank low flow Toilets	\$48,048.00	OR32-2	Replace concrete 2000sf	\$25,000.00
Statement				OR32-3	Replace 5 Water Heaters	\$4,500.00
				OR32-04	Replace 12 Water Heaters	\$13,000.00
	HA Wide	Operations	\$156,718.00	HA Wide	Operations	\$164,770.00
	Estimated cost	Total CFP	\$253,083.00			\$253,083.00

**Attachment V**

**Section 8 Homeownership Program  
Capacity Statement**

**Northeast Oregon Housing Authority has the capacity to operate a Homeownership Program.**

**Northeast Oregon Housing Authority has been operating a Homeownership Rent to Own Program since January 26, 1996. Northeast Oregon Housing Authority received an Opportunity Purchase Program grant to purchase ten (10) lots and install manufactured homes on the sites.**

**The families have 5 years to improve their income through NEOHA's Family Self Sufficiency Program and purchase the homes.**

**The families use their Section 8 assistance to rent the homes. Of the six homes that were occupied in 1996, one (1) has already purchased the home and one (1) is in the process. The other four units have families that left the units and have new participants.**

**Northeast Oregon Housing Authority developed the Section 8 Homeownership Program off the proposed regulations and have had one family who has purchased their home. Upon approval to implement the program NEOHA will market the program to Voucher Holders and NEOHA's current Homeownership tenants.**

**Attachment VI**

**Progress Made In Meeting Missions and Goals**

- **Received funding through the State to purchase and rehab a HUD 221 (d) 3 Project in Enterprise, Oregon.**
- **Two families purchased their Rent To Own Homeownership Units in the last year, and two Section 8 Voucher Homeownership, with six in the process of purchasing.**
- **Staff attended training on Reasonable Accommodations.**
- **Provide accessible housing to a large family living in Baker County who cannot find an accessible unit.**
- **Housing Choice Voucher Program SEMAP score is 100%.**

## **Attachment VII**

### **List of Resident Advisory Board**

<b>NAME</b>	<b>PROGRAM</b>	<b>CITY</b>
<b>Teresa Duffy</b>	<b>Section 8</b>	<b>La Grande, Oregon</b>
<b>Dee (Slim) Olsen</b>	<b>Section 8</b>	<b>Baker City, Oregon</b>
<b>Jeff Corum</b>	<b>Section 8</b>	<b>La Grande, Oregon</b>
<b>Ed Klimchock</b>	<b>Section 8</b>	<b>La Grande, Oregon</b>
<b>Traci Murry</b>	<b>Section 8</b>	<b>La Grande, Oregon</b>
<b>Beverly Mathena, Tenant Commissioner</b>	<b>Section 8</b>	<b>Elgin, Oregon</b>
<b>Joseph Scott</b>	<b>Public Housing</b>	<b>La Grande, Oregon</b>

**Attachment VIII**

**NORTHEAST OREGON HOUSING AUTHORITY**

**PUBLIC HOUSING**

**DECONCENTRATION POLICY**

**It is the Northeast Oregon Housing Authority's policy to provide for deconcentration of poverty and encourage**



income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Toward this end, families will be skipped on the waiting list to reach other families with a lower or higher income. The selection will be accomplished in a uniform and non-discrimination manner.

The Northeast Oregon Housing Authority staff will affirmatively market it's Public Housing to all eligible income groups. Lower income residents will not be steered toward lower income developments and higher income people will not be steered toward higher income developments.

Prior to the beginning of each fiscal year, Northeast Oregon Housing Authority staff will analyze the income levels of families residing in each of the developments, the income levels of census tracts in which the developments are located, and the income levels of the families on the waiting list. Based on this analysis, Northeast Oregon Housing Authority staff will determine the level of marketing strategies and deconcentration incentive to implement.

The Northeast Oregon Housing Authority may offer one or more incentives to encourage applicant families whose income classification would help to meet the deconcentration goals of a particular development.

Various incentives may be used at different times, under different conditions, but will always be provided in a consistent and nondiscriminatory manner.

Approved by the Board of Commissioners  
Resolution # 240  
November 10, 1999

#### Attachment IX

## NORTHEAST OREGON HOUSING AUTHORITY

### AGENCY PLAN AMENDMENT OR MODIFICATION POLICY

Listed below are the criteria Northeast Oregon Housing Authority will use to determine when to amend or modify the Agency Plan.

**Substantial Deviation:**

- 1) **Any changes in goals and objectives that are not to address specific local emergencies or changes required for reasonable accommodations.**

**Significant Amendment or Modification:**

- 2) **Changes to rent or admissions policies or organization of the waiting list.**
- 3) **Additions of non-emergency work items, or change in use of replacement reserves fund under the Capital Fund in excess of \$20,000.**
- 4) **Any changes with regard to demolition or disposition, designation, homeownership programs, or conversion activities.**

**Approved by the NEOHA Board of Commissioners  
May 22, 2001  
Resolution # 265**

**ATTACHMENT X**

**VOLUNTARY CONVERSION**

**PHA Plan - Desk Guide questions regarding Voluntary Conversion**

**a) How Many of the PHA's developments are subject to the Required Initial Assessment?**

**ALL OF THE HOUSING AUTHORITY FIVE DEVELOPMENTS ARE SUBJECT TO THE INITIAL ASSESSMENT.**

**b) How many of the PHA's developments are not subject to Required Initial Assessments based on exemptions.**

**NONE OF THE DEVELOPMENTS ARE NOT SUBJECT TO INITIAL ASSESSMENT.**

**c) How many Assessments were conducted for the PHA's covered developments?**

**AN ASSESSMENT WAS DONE FOR ALL FIVE DEVELOPMENTS AS ONE DEVELOPMENT BECAUSE OUR SMALL DEVELOPMENTS OF DAYVILLE (5 UNITS), MT. VERNON (8 UNITS), CANYON CITY (12 UNITS), AND HUNTINGTON (12 UNITS) DO NOT HAVE SEPARATE ACCOUNTING RECORDS. WE COULD NOT BREAK OUT THE EXPENSES FOR THE SMALL DEVELOPMENTS.**

**d) Identify PHA development that may be appropriate for conversion based on the Required Initial Assessments:**

**NONE OF THE DEVELOPMENTS WERE IDENTIFIED AS APPROPRIATE FOR CONVERSION.**

**e) If the PHA has not completed the Required Initial Assessments, describe the status of these assessments.**

**THE ASSESSMENT HAS BEEN COMPLETED.**

**ATTACHMENT XI**

**RESIDENT SURVEY FOLLOW-UP PLAN**

**A Resident Survey Follow-up Plan was not required for FY 2004.**