

PHA Plans

Streamlined 5-Year/Annual Version

U.S. Department of Housing and
Urban Development
Office of Public and Indian Housing

OMB No. 2577-0226
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief to certain PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

Streamlined 5-Year Plan for Fiscal Years 2005 - 2009

Streamlined Annual Plan for Fiscal Year 2005

NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue. Full reporting for each component listed in the streamlined Annual Plan submitted with the 5-year plan is required.

Streamlined Five-Year PHA Plan Agency Identification

PHA Name: HAO

PHA Number: KY 009

PHA Fiscal Year Beginning: 07/2005

PHA Programs Administered:

Public Housing and Section 8 **Section 8 Only** **Public Housing Only**

Number of public housing units: 579

Number of S8 units: Number of public housing units:

Number of S8 units: 289

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans and attachments (if any) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

Streamlined Five-Year PHA Plan PHA FISCAL YEARS 2005 - 2009

[24 CFR Part 903.12]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: to continue to promote and provide adequate and affordable housing, suitable living environments, and economic opportunity, free from discrimination, all as authorized by applicable law.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAs ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
Study feasibility of partnering with eligible entity to secure additional funds available (private partnership), in addition to studying, obtaining additional public funds for development, expansion, or maintenance of low income housing units for populations identified in appropriate studies as being in need, including assisted living units or services. Depending on results of studies, proceed with achieving development, expansion, or maintenance goals and serving identified populations by developing plans to achieve the goals.
 - Acquire or build units or developments: consider beginning development process for assisted living units and/or replacement housing/and or combination of both.
 - Other: depending on local government unification, consider geographic expansion of operation.
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:

- Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units: modernize/renovate per existing capital fund program plans and budgets
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing: replace PHA units previously demolished; within 5 year time frame or extended time provided by regulation, depending on need and funding; perhaps replace units with assisted living units.
- Provide replacement vouchers:
- Other: depending on local government unification, consider geographic expansion of operation.

- PHA Goal: Increase assisted housing choices
Objectives:
 - Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: one or more of the above and/or options depending on demographic/economic circumstances

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities): redesignate elderly sites; designate assisted living sites if developed.
 - Other: Continue HAO designed program as funded, continue other supportive services programs, including grant administration of existing grants and seeking of other grants.

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:
 - Increase the number and percentage of employed persons in assisted families:

- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: consider one or more of the above, depending on funding.

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: continue with existing programs

Other PHA Goals and Objectives: (list below)

X All of the above selected Strategic Goals, PHA Goals, and Ojectives, to the extent funded by Capital Fund, borrow against future Capital Fund to accelerate work; consider other financing options as well.

Streamlined Annual PHA Plan PHA Fiscal Year 2005

[24 CFR Part 903.12(b)]

Table of Contents

Provide the following table of contents for the streamlined Annual Plan submitted with the Five-Year Plan, including all streamlined plan components, and additional requirements, together with the list of supporting documents available for public inspection.

A. ANNUAL STREAMLINED PHA PLAN COMPONENTS

- 1. Housing Needs
- 2. Financial Resources
- 3. Policies on Eligibility, Selection and Admissions
- 4. Rent Determination Policies
- 5. Capital Improvements Needs
- 6. Demolition and Disposition
- 7. Homeownership
- 8. Civil Rights Certifications (included with PHA Certifications of Compliance)
- 9. Additional Information
 - a. PHA Progress on Meeting 5-Year Mission and Goals
 - b. Criteria for Substantial Deviations and Significant Amendments
 - c. Other Information Requested by HUD
 - i. Resident Advisory Board Membership and Consultation Process
 - ii. Resident Membership on the PHA Governing Board
 - iii. PHA Statement of Consistency with Consolidated Plan
 - iv. (Reserved)
- 10. Project-Based Voucher Program
- 11. Supporting Documents Available for Review
- 12. FY 2005 Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report
- 13. Capital Fund Program 5-Year Action Plan
- 14. Other (List below, providing name for each item)
 - Alternative Capital Fund, 5 Year Action Plan 1
 - Alternative Capital Fund, 5 Year Action Plan 2

B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE

Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;

Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.

For PHAs APPLYING FOR CAPITAL FUND PROGRAM (CFP) GRANTS:

Form HUD-50070, Certification for a Drug-Free Workplace;

Form HUD-50071, Certification of Payments to Influence Federal Transactions;

Form SF-LLL & SF-LLLa, Disclosure of Lobbying Activities.

Executive Summary (optional)

[903.7(r)]. If desired, provide a brief overview of the contents of the streamlined 5-Year/Annual Plan.

1. Statement of Housing Needs [24 CFR Part 903.12 (b), 903.7(a)]

A. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the PHA’s Waiting Lists			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	38		630
Extremely low income <=30% AMI	21	55	
Very low income (>30% but <=50% AMI)	13	34	
Low income (>50% but <80% AMI)	4	11	
Families with children	12	32	
Elderly families	17	45	
Families with Disabilities	10	26	
Race/ethnicity	33	87	
Race/ethnicity	5	13	
Race/ethnicity	0		
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	24	63	
2 BR	10	26	
3 BR	4	11	
4 BR	0		
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/>			
No <input type="checkbox"/> Yes			

Housing Needs of Families on the PHA's Waiting Lists			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	352		348
Extremely low income <=30% AMI	242	69	
Very low income (>30% but <=50% AMI)	110	31	
Low income (>50% but <80% AMI)	0	0	
Families with children	234	66	
Elderly families	9	3	
Families with Disabilities	63	18	
Race/ethnicity	302	86	
Race/ethnicity	50	14	
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/>			
No <input type="checkbox"/> Yes			

B. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families on the PHA's public housing and Section 8 waiting lists **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line

- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other: Continue application of payment standards which encourage families to rent and owners to participate while continuing effective screening policies; consider replacement public housing units with capital/public housing funds only, or in combination with other partner or financing entity.

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: If research establishes need, consider one or more of the above listed options, and replacement of Public Housing; also as described in five year plan.

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: Maintain current policies and rent structures which support and encourage work.

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: Maintain current policies, preferences, and incentives

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available depending on meaning of special purpose voucher.
- Other: Consider replacement of PH units for elderly with special needs and monitor and maintain preference for elderly over "other singles"; seek re-designation of elderly sites for two elderly sites; maintain preference for elderly/disabled singles over other; maintain working preference which is also extended to families with elderly or disabled members.

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available, depending on meaning of special voucher.
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: Monitor and maintain preference for disabled over "other singles"; maintain preference for elderly/disabled singles; maintain working preference which is also extended to families with elderly or disabled members.

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units

- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: Maintain existing efforts

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: Unknown level of financial commitment of HUD and Congress to the needs of the low income working person, low income disabled person, low income person generally, and to the Public Housing and Section 8 program, makes long and short term decision planning difficult; decreasing level of financial commitment makes achieving goals and strategies difficult.

2. Statement of Financial Resources

[24 CFR Part 903.12 (b), 903.7 (c)]

List on the following table the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2005 grants)		
a) Public Housing Operating Fund	809,707	
b) Public Housing Capital Fund	1,053,703	
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	1,210,867	
f) Resident Opportunity and Self-Sufficiency Grants	0	
g) Community Development Block Grant	0	
h) HOME	0	
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
PHA Reserve	1,060,000	
Sec 8 Reserve	120,000	
Sec 8- Other Housing Purposes	154,400	
CFP501-04	149,000	
RHF 501-01	30,875	
RHF 501-02	29,388	
RHF 502-03	24,180	
RHF 501-04	28,003	
3. Public Housing Dwelling Rental Income		
Excess Utilities	63,000	
4. Other income (list below)		
Maintenance Charges, Third Party Rent, ect.	90,000	
Interest	12,000	
4. Non-federal sources (list below)		
Total resources	5,583,677 845,123	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.12 (b), 903.7 (b)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (for 1 bedroom, within 30 days)

Other: Upon application and prior to lease up. This may vary depending on length of waiting list.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists for two elderly designated sites (HUD approved designation) only
- Other:

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other: mail, home (if reasonably necessary) or other location if reasonably necessary

c. Site-Based Waiting Lists-Previous Year

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to d.

Site-Based Waiting Lists				
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics
Baker Drive KY 9-5	1999-2000	100/0	92/6/2	
Adams Village KY 9-6	1999-2000	92/8	97/3/0	

2. What is the number of site based waiting list developments to which families may apply at one time? 2

2. How many unit offers may an applicant turn down before being removed from the site-based waiting list? 2 at Adams Village and Baker Drive (Elderly)

4. Yes No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

d. Site-Based Waiting Lists – Coming Year

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? 1, but is for two elderly designated sites. Elderly may place name on list for the designated or all sites. If elderly only designation not renewed, then will operate as elderly/disabled.

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously?
If yes, how many lists? Elderly can be on two lists, elderly designated or family

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other: The HAO will also mail out applications or go to an outside location if applicant is unable to come to the office.

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two: for elderly designated sites: applicants are offered all available units of appropriate type and size. The applicant will retain position on waiting list until offered every appropriate location, then purged from the list.
- Three or More: for family sites (elderly may also apply) applicants are offered all available

units of appropriate type or size. The applicant will retain position on waiting list until offered every appropriate location, then purged from list.

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA: Elderly only sites: applicants get two choices, if reject both, they are removed from waiting list.

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Over-housed
- Under-housed
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: Accommodations (medical, etc.)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs

- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 2 Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 3 Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that

apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete. If yes, list these developments on the following table:
******NOTE: The template does not reflect the new rule which provides that if a development has an average income above the 115%, but below the median income for a very low income family, then the development is not a covered development**

Deconcentration Policy for Covered Developments			
Development Name	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]
Ky9-2; PG Walker	50	Scattered site and small site. There are no developments that are higher income developments as defined by regulation that could be used for deconcentration.	

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.
Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
 - Criminal and drug-related activity, more extensively than required by law or regulation
 - More general screening than criminal and drug-related activity (list factors):
 - Other: Rental History
- b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
- Other: Names, addresses of current/former subsidized landlords if known and balance owed to PHA

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other: mail, home, and other if reasonably necessary

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit? If yes, state circumstances below: If applicant has documented efforts to find a unit or a reasonable accommodation for a disability

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income? No, but typically does

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner,

- Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) Income less than 30% of median
Not living in subsidized housing

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

- Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- X Other preference(s) 2) Income less than 30% of median
3) Not living in subsidized housing

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained?

(select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.12(b), 903.7(d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA’s income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one of the following two)

- The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))
- The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below: If qualify, rent based on actual income with no repayment agreements until hardship ends

c. Rents set at less than 30% of adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income: no rent increase unless 100% or annual re-exam, no rent increase unless previously only at zero or gift income, if there was a decrease processed since the last annual, or if someone has obtained employment were the HA had not been previously counting employment
- Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below: Exclude 20% of earned income

- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other: Must report all changes, PHA then determines if rent is adjusted.

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

a. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other: Age, amenities, perception

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other: Increased payment standard over prior year, but HUD FMR's are too high for area; increase not warranted

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other: Federal budget, housing supply

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25

\$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Capital Improvement Needs

[24 CFR Part 903.12(b), 903.7 (g)]

Exemptions from Component 5: Section 8 only PHAs are not required to complete this component and may skip to Component 6.

A. Capital Fund Activities

Exemptions from sub-component 5A: PHAs that will not participate in the Capital Fund Program may skip to component 5B. All other PHAs must complete 5A as instructed.

(1) Capital Fund Program

- a. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 12 and 13 of this template (Capital Fund Program tables). If no, skip to B.
- b. Yes No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.). Note: The HAO is submitting alternate plans for use of the Capital Fund. One does not propose to borrow or repay Capital Funds with Capital Funds; two alternative plans propose to borrow up to four years of capital funds and pay back the borrowed amount by use of up to 25% of the recurring capital fund award.

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 5B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

(1) Hope VI Revitalization

- a. Yes No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to next component; if yes, provide responses to questions on chart below for each grant, copying and completing as many times as necessary)
- b. Status of HOPE VI revitalization grant (complete one set of questions for each grant)

Development name:

Development (project) number:

Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

c. Yes No: Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name/s below:

d. Yes No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:

e. Yes No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

6. Demolition and Disposition

[24 CFR Part 903.12(b), 903.7 (h)]

Applicability of component 6: Section 8 only PHAs are not required to complete this section.

a. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 or 24 (Hope VI) of the U.S. Housing Act of 1937 (42 U.S.C. 1437p) or Section 202/Section 33 (Mandatory Conversion) in the plan Fiscal Year? (If “No”, skip to component 7; if “yes”, complete one activity description for each development on the following chart.)

Demolition/Disposition Activity Description
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units affected:
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

7. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program

[24 CFR Part 903.12(b), 903.7(k)(1)(i)]

- (1) Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to the next component; if “yes”, complete each program description below (copy and complete questions for each program identified.)

(2) Program Description

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year?___

b. PHA-established eligibility criteria

- Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria below:

c. What actions will the PHA undertake to implement the program this year (list)?

(3) Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- a. Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family’s resources.
- b. Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- c. Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below).
- d. Demonstrating that it has other relevant experience (list experience below).

8. Civil Rights Certifications

[24 CFR Part 903.12 (b), 903.7 (o)]

Civil rights certifications are included in the *PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans*, which is submitted to the Field Office in hard

copy—see Table of Contents.

9. Additional Information

[24 CFR Part 903.12 (b), 903.7 (r)]

a. PHA Progress in Meeting the Mission and Goals Described in the 5-Year Plan

(Provide a statement of the PHA's progress against the goals and objectives established in the previous 5-Year Plan for the period FY 2000 – 2004.)

The HAO studied the feasibility of development or expansion of low income housing units for populations identified in studies as being in need. It employed a consultant to consider the development of assisted living. The results of the study established a potential need, but no method for implementing the service component. The HAO continues to consider this as a potential that may be addressed, as an effort to increase the availability of decent safe and affordable housing. It also has communicated regularly with the local governmental entity with respect to developing partnerships in the development of affordable housing. It has also continued with its aggressive modernization and renovation programs under the Capital Fund. Both of the preceding are examples of the method by which it seeks to improve the quality of assisted housing. The HAO continues to determine if replacement housing is necessary at this time, and is seeking appropriate sites for replacement housing as part of its goal to improve community quality of life. The HAO has continued its supportive services programs for its residents. It has continued with its practices of nondiscrimination to help ensure equal opportunity in housing for all Americans. Additional or replacement housing is a function of need and funding. The HAO continues to study these and other factors with respect to development of new and or replacement of previously demolished units. Changing demographics, and significant competition from other assisted housing providers are factors that are also considered.

B. Criteria for Substantial Deviations and Significant Amendments

(1) Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

a. Substantial Deviation from the 5-Year Plan: a discretionary change in the plan(s) or policy (ies) of the Housing Authority that fundamentally changes the mission(s), goals(s), objectives(s), or plans(s) of the agency, as expressed in the Five (5) Year Plan, and which requires formal approval by the Board of Commissioners.

b. Significant Amendment or Modification to the Annual Plan: a discretionary change in the plan(s) or policy(ies) of the Housing Authority that fundamentally changes the mission(s), goals(s), objective(s), or plans(s) of the agency, as expressed

in the Five (5) Year Plan and/or Annual Plan, and which requires formal approval by the Board of Commissioners.

Any change in the five year plan, annual plan, annual plan capital fund work statement, and/or five year plan capital fund work necessitated in the judgment of the HAO as the result of budget decreases or increases over what the HAO planned its work items and dollar assignments, is not a “discretionary change” and therefore, will not be considered substantial or significant for purposes of plan amendment procedures. This includes the possibility that entire work items may be deleted, in whole or in part, and funds transferred to other line items. This includes the possibility that future work items costing less may be substituted for current work items.

Changes to policies are not amendemnts, modifications or deviations from the annual or five year plan. Required public comment is received prior to implementation of such changes.

C. Other Information

[24 CFR Part 903.13, 903.15]

(1) Resident Advisory Board Recommendations

a. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

If yes, provide the comments below:

b. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments
List changes below: The HAO held four meetings for residents regarding the plan. The previous plans, and the purposes of the plans were reviewed with those who attended. An inquiry regarding storage sheds and porch awnings at KY 9-6 was made. These may be included as part of site enhancements planned for KY9-6. Resident at KY 9-5 would like the HAO to add more flowering bushes to their landscape around the buildings and parking areas. Landscaping is in the Annual and Five-Year Action Plan but the HAO has not yet determined what specifically will be done. Resident has inquired about kitchen cabinets being lowered when replaced at KY 9-5. Although some cabinets may be replaced, most will not be. This is a change from previous five year plan projections, but is supported by a wak through of the units. Residents who need cabinets lowered as an accommodation will be provided with one where possible. Lowering cabinets can result in loss of counter space, and may be “counter productive.” Resident at KY 9-4 has inquired about the installation of shelving over the washer and dryer area. Shelving above washer and dryer at KY 9-4 is not in the plan, but may be considered in the future.

Other: (list below)

(2) Resident Membership on PHA Governing Board

The governing board of each PHA is required to have at least one member who is directly assisted by the PHA, unless the PHA meets certain exemption criteria. Regulations governing the resident board member are found at 24 CFR Part 964, Subpart E.

a. Does the PHA governing board include at least one member who is directly assisted by the PHA this year?

Yes No:

If yes, complete the following:

Name of Resident Member of the PHA Governing Board: Linda Kincaid

Method of Selection:

Appointment

The term of appointment is (include the date term expires):

3/20/04 - 3/20/08

Election by Residents (if checked, complete next section--Description of Resident Election Process)

Description of Resident Election Process

Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

b. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- The PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- The PHA has less than 300 public housing units, has provided reasonable notice to

- the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain):

Date of next term expiration of a governing board member:

Name and title of appointing official(s) for governing board (indicate appointing official for the next available position): Tom Watson, Mayor

(3) PHA Statement of Consistency with the Consolidated Plan

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

Consolidated Plan jurisdiction: ~~(provide name here)~~: Owensboro, Ky.

a. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply):

- The PHA has based its statement of needs of families on its waiting list on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

b. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below): By striving to reduce barriers to affordable housing and by improving marketability of housing by neighborhood improvements.

(4) (Reserved)

Use this section to provide any additional information requested by HUD.

10. Project-Based Voucher Program

- a. Yes No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If yes, answer the following questions.
- b. Yes No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option?

If yes, check which circumstances apply:

- Low utilization rate for vouchers due to lack of suitable rental units
- Access to neighborhoods outside of high poverty areas
- Other (describe below:)

c. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

11. List of Supporting Documents Available for Review for Streamlined Five-Year/ Annual PHA Plans

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans.</i>	Standard 5 Year and Annual Plans; streamlined 5 Year Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan.	5 Year Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input checked="" type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
N/A	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
		Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
N/A	Any policies governing any Section 8 special housing types <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
N/A	Consortium agreement(s).	Annual Plan: Agency Identification and Operations/ Management
X	Public housing grievance procedures <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
X	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs <input checked="" type="checkbox"/> Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
N/A	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
N/A	Consortium agreement(s), if a consortium administers PHA programs.	Joint PHA Plan for Consortia
N/A	Consortia Joint PHA Plans ONLY: Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection	Joint PHA Plan for Consortia
N/A	Other supporting documents (optional). List individually.	(Specify as needed)

Attachments

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			
2	1406 Operations	199,703			
3	1408 Management Improvements	125,000			
4	1410 Administration	100,000			
5	1411 Audit	5,000			
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	20,000			
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	45,000			
10	1460 Dwelling Structures	250,000			
11	1465.1 Dwelling Equipment—Nonexpendable	15,000			
12	1470 Nondwelling Structures	294,000			
13	1475 Nondwelling Equipment	-0-			
14	1485 Demolition	-0-			
15	1490 Replacement Reserve	-0-			
16	1492 Moving to Work Demonstration	-0-			
17	1495.1 Relocation Costs	-0-			
18	1499 Development Activities	-0-			

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950105 Replacement Housing Factor Grant No:		Federal FY of Grant: 2005	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
19	1501 Collateralization or Debt Service	-0-			
20	1502 Contingency	-0-			
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,053,703			
22	Amount of line 21 Related to LBP Activities	-0-			
23	Amount of line 21 Related to Section 504 compliance	-0-			
24	Amount of line 21 Related to Security – Soft Costs	15,600			
25	Amount of Line 21 Related to Security – Hard Costs	-0-			
26	Amount of line 21 Related to Energy Conservation Measures	-0-			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number Capital Fund Program Grant No: KY 36P00950105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Rolling Heights Ky 9-3	Repair Porch Columns	1460		65,000				
	Replace Water Heaters	1465	30	15,000				
	Office Addition/Maintenance Building	1470		294,000				
Nannie Locke Ky 9-4	Playground	1450		30,000				
Baker Drive Ky 9-5	Replace cabinets and countertops. Install new range, range hood, refrigerator, electrical outlet, GFI, kitchen flooring, and paint as needed	1460	28	65,000				
PHA WIDE	Replace Windows	1460		100,000				
	In-House Mod Units	1460		20,000				
	Landscaping	1450		15,000				
Fees and Costs	A/E Fees for various items of work	1430		20,000				

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number Capital Fund Program Grant No: KY 36P00950105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Management Improvements	Drug Elimination Program, Boys Club, Girls, Inc. Latch Key Program, Off Duty Patrol	1408		110,000				
	High School Employment/ Resident Opportunities	1408		15,000				
Administration	Capital Fund Program Administrative Salaries/other expenses	1410		100,000				
Audit	Audit of Capital Fund Program	1411		5,000				
Operations	PHA Operations	1406		199,703				

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name Housing Authority of Owensboro		2161 E. 19 th Street, Owensboro, Ky. 42303		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
Development Number/Name/HA-Wide	Year 1	Work Statement for Yr 2 FFY Grant: 10/1/06 PHA FY: 7/1/07	Work Statement for Yr 3 FFY Grant: 10/1/07 PHA FY: 7/1/08	Work Statement for Yr 4 FFY Grant: 10/1/08 PHA FY: 7/1/09	Work Statement for Yr 5 FFY Grant: 10/1/09 PHA FY: 7/1/10
Harry Smith Homes KY 9-1		No work planned	\$80,000	\$175,000	\$280,000
P G Walker KY 9-2		\$100,000	\$25,000	\$110,000	\$10,000
Rolling Heights Ky 9-3		\$275,000	\$445,000	\$240,000	No work planned
Nannie Locke Ky 9-4		No work planned	No work planned	\$25,000	No work planned
Baker Drive KY 9-5		\$17,000	No work planned	No work planned	\$100,000
Adams Village Ky 9-6		\$63,000	No work planned	No work planned	\$160,000
PHA Wide		\$400,000	\$305,000	\$305,000	\$305,000
Operations		\$198,703	\$198,703	\$198,703	\$198,703
CFP Funds for 5-year Planning		\$1,053,703	\$1,053,703	\$1,053,703	\$1,053,703
Replacement Housing Factor Funds		\$28,003	\$28,003	\$28,003	\$28,003

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year :_2_ FFY Grant: 10/1/06 PHA FY: 7/1/2007			Activities for Year: __3_ FFY Grant: 10/1/07 PHA FY: 7/1/2008		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See Annual Statement	P.G. Walker 9-2	Repair/Replace Porch Covers	\$100,000	Harry Smith 9-1	Replace Windows	\$80,000
	Rolling Heights 9-3	Site Enhancements-exterior trim on porch	\$25,000	P.G. Walker 9-2	Replace Windows	\$25,000
		Office Addition and Reconfiguration	\$150,000	Rolling Heights 9-3	Site enhancements	\$320,000
		Girls Inc. Mod	\$25,000		Replace Windows	\$125,000
		Boys & Girls Club Mod	\$25,000			
		Parking Lot at Boys Club and Girls Inc.	\$50,000	PHA WIDE	Landscaping	\$15,000
	Baker Drive 9-5	Replace Front and Rear Storm Doors	\$17,000			
	Adams Village 9-6	Replace Front and Rear Storm Doors	\$43,000		In House MOD	\$25,000
		Water Heater Doors	\$20,000			
	PHA WIDE	Replace Windows	\$150,000			
		Architect Fees	\$20,000		Architect Fees	\$20,000
		Management Improvements, Administration, CFP Audit	\$230,000		Management Improvements, Administration, CFP Audit	\$245,000
	Operations		\$198,703	Operations		\$198,703
	Total CFP Estimated Cost			\$1,053,703		

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year : <u>4</u> FFY Grant: 10/1/08 PHA FY: 7/1/09			Activities for Year <u>5</u> FFY Grant: 10/1/09 PHA FY: 7/1/10		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
Harry Smith Homes 9-1	Exterior Building/Site Enhancements	\$125,000	Harry Smith Homes 9-1	Continue Exterior Building/Site Enhancements	\$250,000
	Roof Replacement	\$50,000		Installation of Gutter Guards	\$30,000
P.G. Walker 9-2	Painting Building Exterior	\$85,000	P.G. Walker 9-2	Installation of Gutter Guards	\$10,000
	Roof replacement	\$25,000	Baker Drive 9-5	Exterior Building/Site Enhancements	\$100,000
Rolling Heights 9-3	Exterior Building/Site Enhancements	\$240,000	Adams Village 9-6	Replacement of exterior doors and frames	\$40,000
				Building/Site Enhancements- Back Porch Awnings, Gutters, Storage Buildings, Repair/Replace Sidewalks	\$120,000
Nannie Locke 9-4	Roof replacement	\$25,000			
PHA WIDE	In House MOD	\$25,000	PHA WIDE	In House MOD	\$25,000
	Landscape	\$15,000		Landscape	\$15,000
	Architect	\$20,000		Architect	\$20,000
	Management Improvements, Administration, CFP Audit	\$245,000		Management Improvements, Administration, CFP Audit	\$245,000
Operations		\$198,703	Operations		\$198,703
Total CFP Estimated Cost		\$1,053,703			\$1,053,703

Attachments

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			
2	1406 Operations	-0-			
3	1408 Management Improvements	95,000	95,000	95,000	2,600
4	1410 Administration	82,518	82,518	82,518	10,809
5	1411 Audit	4,000	4,000	-0-	-0-
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	17,500	17,500	2,500	-0-
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	35,000	-0-	-0-	-0-
10	1460 Dwelling Structures	619,685	754,685	609,685	135,398
	1465.1 Dwelling Equipment—Nonexpendable	-0-	10,000	10,000	5,574
12	1470 Nondwelling Structures	200,000	90,000	-0-	-0-
13	1475 Nondwelling Equipment	-0-			
14	1485 Demolition	-0-			
15	1490 Replacement Reserve	-0-			

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950104 Replacement Housing Factor Grant No:		Federal FY of Grant: 2004	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
16	1492 Moving to Work Demonstration	-0-			
17	1495.1 Relocation Costs	-0-			
18	1499 Development Activities	-0-			
19	1501 Collateralization or Debt Service	-0-			
20	1502 Contingency	-0-			
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,053,703	1,053,703	799,703	154,381
22	Amount of line 21 Related to LBP Activities	-0-			
23	Amount of line 21 Related to Section 504 compliance	-0-			
24	Amount of line 21 Related to Security – Soft Costs	15,600	15,600	15,600	15,600
25	Amount of Line 21 Related to Security – Hard Costs	-0-			
26	Amount of line 21 Related to Energy Conservation Measures	-0-			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number Capital Fund Program Grant No: KY 36P00950104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Harry Smith Ky 9-1	Repair Windowsills	1460		-0-	60,000	-0-	-0-	Spring '05
Rolling Heights Ky 9-3	Continue Mini-Mod in Units-Replace interior wood doors, kitchen cabinets, new VCT in bedrooms, kitchen, living room, new wood base, painting/repair of all existing trim, walls & ceilings, new range, hood, refrigerator, install washer/dryer hookups in units where there are none	1460	30	419,685	494,685	409,685	135,398	Estimated complete Aug '05
	Refrigerators/Stoves in Mod Units	1465.1	30	-0-	10,000	10,000	5,574	
	Replace Roof at Girl's Inc.	1470		-0-	40,000	-0-	-0-	Spring '05
Nannie Locke Ky 9-4	Demolish Old House, Lot Prep Work, Parking at 9/4 and Begin Building Maintenance Storage Facility	1470		200,000	50,000	-0-	-0-	Planning

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number Capital Fund Program Grant No: KY 36P00950104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Nannie Locke Ky 9-4	Repair/Landscape Parking Lot	1450		30,000	-0-	-0-	-0-	Delete
Adams Village Ky 9-6	One or More of the following: replace cabinets, replace interior doors, install new tub surrounds in bathroom, replace carpet, install central air/heat in 0 bedrooms and/or addition of a bedroom to efficiency units	1460		200,000	200,000	200,000	-0-	Began work Feb '05
	Add swings/benches to common areas	1450		5,000	-0-	-0-	-0-	Delete
PHA WIDE								
Fees and Costs	A/E Fees for various items of work	1430		17,500	17,500	2,500	-0-	Adams Village
Management Improvements	Drug Elimination Program, Boys Club, Girls, Inc. Latch Key Program, Off Duty Patrol	1408		80,000	80,000	80,000	2,600	
	High School Employment/ Resident Opportunities	1408		15,000	15,000	15,000	-0-	

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: KY 36P00950104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Administration	Capital Fund Program Administrative Salaries/other expenses	1410		82,518	82,518	82,518	10,809	
Audit	Audit of Capital Fund Program	1411		4,000	4,000	-0-	-0-	

Attachments

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			
2	1406 Operations	83,573	83,573	83,573	-0-
3	1408 Management Improvements	110,000	77,407	77,407	74,602
4	1410 Administration	95,000	79,625	79,625	79,625
5	1411 Audit	4,000	4,000	4,000	-0-
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	10,000	10,000	10,000	1,969
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	10,000	8611	8,611	4,985
10	1460 Dwelling Structures	366,027	467,253	467,253	432,986
11	1465.1 Dwelling Equipment—Nonexpendable	-0-	18,838	18,838	18,838
12	1470 Nondwelling Structures	231,247	160,540	160,540	158,422
13	1475 Nondwelling Equipment	-0-			
14	1485 Demolition	-0-			
15	1490 Replacement Reserve	-0-			

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950103 Replacement Housing Factor Grant No:		Federal FY of Grant: 2003	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
16	1492 Moving to Work Demonstration	-0-			
17	1495.1 Relocation Costs	-0-			
18	1499 Development Activities	-0-			
19	1501 Collateralization or Debt Service	-0-			
20	1502 Contingency	-0-			
21	Amount of Annual Grant: (sum of lines 2 – 20)	909,847	909,847	909,847	771,427
22	Amount of line 21 Related to LBP Activities	-0-			
23	Amount of line 21 Related to Section 504 compliance	-0-			
24	Amount of line 21 Related to Security – Soft Costs	15,600	15,600		
25	Amount of Line 21 Related to Security – Hard Costs	-0-			
26	Amount of line 21 Related to Energy Conservation Measures	-0-			

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number Capital Fund Program Grant No: KY 36P00950103 Replacement Housing Factor Grant No			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<i>Rolling Heights</i> Ky 9-3	Continue Mini-Mod in Units-Replace interior wood doors, kitchen cabinets, new VCT in bdrms, kitchen, livingrm, new wood base, paint/repair trim, walls & ceilings, new range, hood, refrigerator, install washer /dryer hookups where needed	1460	50/33/30	366,027	467,253	467,253	432,986	Continue work in progress
	Refrigerators and Stoves	1465.1		-0-	18,838	18,838	18,838	Complete
	Construct addition of C. Martel Wightman Youth Facility, Boys & Girls Club	1470		231,247	160,540	160,540	158,422	Work in Progress
PHA WIDE								
All Sites	Landscaping as needed	1450		10,000	8,611	8,611	4,985	In Progress
Fees and Costs	Architect Fees	1430		10,000	10,000	10,000	1,969	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: KY 36P00950103 Replacement Housing Factor Grant No				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Management Improvements	Drug Elimination Program, Boys Club, Girls, Inc. Latch Key Program, Off Duty Patrol	1408		95,000	64,227	64,227	62,393	
	High School Employment/ Resident Opportunities	1408		15,000	13,180	13,180	12,209	
Administration	Capital Fund Program Administrative Salaries/other expenses	1410		95,000	79,625	79,625	79,625	Expended
Audit	Audit of Capital Fund Program	1411		4,000	4,000	4,000	-0-	Contracted
Operations	PHA Operations	1406		83,573	83,573	83,573	-0-	

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Attachments

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950203 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			
2	1406 Operations	-0-			
3	1408 Management Improvements	-0-			
4	1410 Administration	-0-			
5	1411 Audit	-0-			
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	20,000	30,400	30,400	30,400
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	-0-			
10	1460 Dwelling Structures	166,148	155,748	155,748	5748
11	1465.1 Dwelling Equipment—Nonexpendable	-0-			
12	1470 Nondwelling Structures	-0-			
13	1475 Nondwelling Equipment	-0-			
14	1485 Demolition	-0-			
15	1490 Replacement Reserve	-0-			
16	1492 Moving to Work Demonstration	-0-			
17	1495.1 Relocation Costs	-0-			

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950203 Replacement Housing Factor Grant No:		Federal FY of Grant: 2003	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
18	1499 Development Activities	-0-			
19	1501 Collateralization or Debt Service	-0-			
20	1502 Contingency	-0-			
21	Amount of Annual Grant: (sum of lines 2 – 20)	186,148	186,148	186,148	36,148
22	Amount of line 21 Related to LBP Activities	-0-			
23	Amount of line 21 Related to Section 504 compliance	-0-			
24	Amount of line 21 Related to Security – Soft Costs	-0-			
25	Amount of Line 21 Related to Security – Hard Costs	-0-			
26	Amount of line 21 Related to Energy Conservation Measures	-0-			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number Capital Fund Program Grant No: KY 36P00950203 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<i>Rolling Heights</i> Ky 9-3	Cont. Mini-Mod -Replace interior doors, kitchen cabinets, new VCT in bedrooms, kitchen, living room, new wood base, paint/repair of all trim, walls & ceilings, new range, hood, refrigerator, install washer/dryer hookups in units as needed	1460	33	16,148	5748	5748	5748	Work complete
Adams Village Ky 9-6	One or more of the following: replace cabinets & interior doors, new tub surrounds in bathroom, replace carpet, install central heat/ac in efficiency units	1460	76	150,000	150,000	150,000	-0-	Work began Feb. '05
Fees & Costs	Architect Fees	1430		20,000	30,400	30,400	30,400	Expended

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: KY36P00950102 Replacement Housing Factor Grant No:			Federal FY of Grant : 2002
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no. 4) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending 3/31/05 <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			-0-
2	1406 Operations	135,427	130,130	130,130	130,130
3	1408 Management Improvements	89,126	89,126	89,126	89,126
4	1410 Administration	59,577	59,577	59,577	59,577
5	1411 Audit	3,620	3,620	3,620	3,620
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	40,000	36,255	36,255	36,255
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	140,000	135,550	135,550	135,550
10	1460 Dwelling Structures	395,695	395,695	395,695	395,695
11	1465.1 Dwelling Equipment—Nonexpendable	16,500	16,500	16,500	16,500
12	1470 Nondwelling Structures	225,875	239,367	239,367	239,367
13	1475 Nondwelling Equipment	-0-			
14	1485 Demolition	-0-			
15	1490 Replacement Reserve	-0-			
16	1492 Moving to Work Demonstration	-0-			
17	1495.1 Relocation Costs	-0-			
18	1499 Development Activities	-0-			
19	1501 Collateralization or Debt Service	-0-			
20	1502 Contingency	-0-			

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: KY36P00950102 Replacement Housing Factor Grant No:			Federal FY of Grant : 2002
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no. 4)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending 3/31/05 <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,105,820	1,105,820	1,105,820	1,105,820
22	Amount of line 21 Related to LBP Activities	-0-			
23	Amount of line 21 Related to Section 504 compliance	-0-			
24	Amount of line 21 Related to Security – Soft Costs	15,600	15,600	15,600	15,600
25	Amount of Line 21 Related to Security – Hard Costs	-0-			
26	Amount of line 21 Related to Energy Conservation Measures	-0-			

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number : Capital Fund Program Grant No: KY36P00950102 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
P G Walker KY 9-2	Remodel Community Room	1470		7,500	19,023	19,023	19,023	
Rolling Heights Ky 9-3	Replace/Repair Sidewalks	1450		115,000	121,748	121,748	121,748	Work Complete
	Continue Mini-Mod -Replace interior doors, kitchen cabinets, new VCT in bedrooms, kitchen, living room, new wood base, paint/repair of all existing trim, walls & ceilings, new range, hood, refrigerator, install washer/dryer hookups in units as needed	1460	50	395,695	395,695	395,695	395,695	Work Complete
	Construct addition at C. Martel Wightman Youth Facility- Boys & Girls club	1470		195,875	195,875	195,875	195,875	Work Complete
	Update Administrative Office-computer upgrade, furniture, and various repairs	1470		15,000	15,724	15,724	15,724	Complete

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number : Capital Fund Program Grant No: KY36P00950102 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Nannie Locke Homes KY 9-4	Replace Refrigerators	1465	50	16,500	16,500	16,500	16,500	Complete
	Remodel Community Room	1470		7500	8745	8745	8745	Complete
Adams Village KY 9-6	Handicap Accessible Ramps- Add an accessible ramp to front of buildings where needed	1450		25,000	13,802	13,802	13,802	Work Complete
PHA Wide								
Fees & Costs	Architect Fees	1430		40,000	36,255	36,255	36,255	Expended
Audit	Audit of Comp Grant/Capital Fund	1411		3620	3,620	3,620	3,620	Complete
Administration	Comp Grant Administrative Expenses	1410		59,577	59,577	59,577	59,577	Expended
	Drug Elimination, Boys Club, Girls, Inc. Off duty patrol, etc.	1408		75,632	75,632	75,632	75,632	Expended
	High School Employment, Resident Opportunities	1408		13,494	13,494	13,494	13,494	Expended
Operations	PHA Operations	1406		135,427	130,130	130,130	130,130	Expended

**Alternative
Table #1**

Work Items:		
1	Office Addition/Maintenance Building	\$700,000
2	Window Replacement (KY 9-1, 9-2, 9-3, & 9-4)	\$675,000
3	Harry Smith Homes Site Enhancements : Paint, Siding, Exterior Doors, Storm Doors, Trim, and other items as needed	\$450,000
4	Waterheater replacement KY 9-3	\$65,000
5	Adams Village Site Enhancements: Storm Doors, Exterior Doors Water Heater Room Doors, and other items as needed	\$110,000
6	Replacement Housing	\$2,000,000
	Grand Total:	\$4,000,000

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Attachments

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			
2	1406 Operations	\$199,703			
3	1408 Management Improvements	\$125,000			
4	1410 Administration	\$100,000			
5	1411 Audit	\$5,000			
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	\$20,000			
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	\$45,000			
10	1460 Dwelling Structures	\$309,000			
11	1465.1 Dwelling Equipment—Nonexpendable	-0-			
12	1470 Nondwelling Structures	-0-			
13	1475 Nondwelling Equipment	-0-			
14	1485 Demolition	-0-			
15	1490 Replacement Reserve	-0-			
16	1492 Moving to Work Demonstration	-0-			

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950105 Replacement Housing Factor Grant No:		Federal FY of Grant: 2005	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
17	1495.1 Relocation Costs	-0-			
18	1499 Development Activities	-0-			
19	1501 Collateralization or Debt Service	\$250,000			
20	1502 Contingency	-0-			
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$1,053,703			
22	Amount of line 21 Related to LBP Activities	-0-			
23	Amount of line 21 Related to Section 504 compliance	-0-			
24	Amount of line 21 Related to Security – Soft Costs	\$15,600			
25	Amount of Line 21 Related to Security – Hard Costs	-0-			
26	Amount of line 21 Related to Energy Conservation Measures	-0-			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number Capital Fund Program Grant No: KY 36P00950105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
P.G. Walker KY 9-2	Repair/Replace Porch Covers	1460		\$100,000				
Rolling Heights Ky 9-3	Repair Porch Columns	1460		\$65,000				
	Repair Girls Inc. / Girls and Boys Club Parking lot	1460		\$50,000				
Nannie Locke Ky 9-4	Playground	1450		\$30,000				
Baker Drive Ky 9- 5	Replace countertops, Install new range, range hood, refrigerator, GFI, electrical outlet, paint, and other items as needed	1460		\$54,000				
	Replace Front and Rear Storm Doors	1460		\$20,000				
PHA WIDE	In-House Mod Units	1460		\$20,000				
	Landscaping	1450		\$15,000				
Fees and Costs	A/E Fees for various items of work	1430		\$20,000				

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number Capital Fund Program Grant No: KY 36P00950105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Management Improvements	Drug Elimination Program, Boys Club, Girls, Inc. Latch Key Program, Off Duty Patrol	1408		\$110,000				
	High School Employment/ Resident Opportunities	1408		\$15,000				
Debt Service	Loan Payment	1501		\$250,000				
Administration	Capital Fund Program Administrative Salaries/other expenses	1410		\$100,000				
Audit	Audit of Capital Fund Program	1411		\$5,000				
Operations	PHA Operations	1406		\$199,703				

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name Housing Authority of Owensboro		2161 E. 19 th Street, Owensboro, Ky. 42303		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
Development Number/Name/HA-Wide	Year 1	Work Statement for Yr 2 FFY Grant: 10/1/06 PHA FY: 7/1/07	Work Statement for Yr 3 FFY Grant: 10/1/07 PHA FY: 7/1/08	Work Statement for Yr 4 FFY Grant: 10/1/08 PHA FY: 7/1/09	Work Statement for Yr 5 FFY Grant: 10/1/09 PHA FY: 7/1/10
	Annual Statement				
Harry Smith Homes KY 9-1		No work planned	No work planned	No work planned	No work planned
P G Walker KY 9-2		No work planned	No work planned	No work planned	\$75,000
Rolling Heights Ky 9-3		\$325,000	\$320,000	\$235,000	No work planned
Nannie Locke Ky 9-4		No work planned	No work planned	No work planned	\$100,000
Baker Drive KY 9-5		No work planned	No work planned	No work planned	No work planned
Adams Village Ky 9-6		No work planned	No work planned	No work planned	\$120,000
PHA Wide		\$280,000	\$285,000	\$370,000	\$310,000
Debt Service		\$250,000	\$250,000	\$250,000	\$250,000
Operations		\$198,703	\$198,703	\$198,703	\$198,703
CFP Funds for 5-year Planning		\$1,053,703	\$1,053,703	\$1,053,703	\$1,053,703
Replacement Housing Factor Funds		\$28,003	\$28,003	\$28,003	\$28,003

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year :_2____ FFY Grant: 10/1/06 PHA FY: 7/1/2007			Activities for Year: __3_ FFY Grant: 10/1/07 PHA FY: 7/1/2008			
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost	
See Annual Statement							
		Rolling Heights 9-3	Site Enhancements	\$260,000	Rolling Heights 9-3	Site enhancements	\$320,000
			Girls Inc. Mod	\$40,000			
			Boys & Girls Club Mod	\$25,000			
		PHA WIDE	Landscaping	\$10,000	PHA WIDE	Landscaping	\$15,000
			In House MOD	\$15,000		In House MOD	\$15,000
			Architect Fees	\$20,000		Architect Fees	\$10,000
			Management Improvements, Administration, CFP Audit	\$235,000		Management Improvements, Administration, CFP Audit	\$245,000
		Debit Service	Loan Payment	\$250,000	Debit Service	Loan Payment	\$250,000
	Operations		\$198,703	Operations		\$198,703	
	Total CFP Estimated Cost		\$1,053,703			\$1,053,703	

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year :__4_ FFY Grant: 10/1/08 PHA FY: 7/1/09			Activities for Year __5__ FFY Grant: 10/1/09 PHA FY: 7/1/10		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
Rolling Heights 9-3	Building/Site Enhancements	\$235,000	P.G. Walker 9-2	Painting Exterior	\$75,000
			Nannie Locke 9-4	Painting Exterior	\$100,000
			Adams Village 9-6	Building/Site Enhancements	\$120,000
PHA WIDE	Repave parking areas	\$80,000	PHA WIDE	Roof Replacement	\$25,000
	In House MOD	\$15,000		In House MOD	\$15,000
	Landscape	\$10,000		Landscape	\$10,000
	Architect	\$20,000		Architect	\$15,000
	Management Improvements, Administration, CFP Audit	\$245,000		Management Improvements, Administration, CFP Audit	\$245,000
Debt Service	Loan Payment	\$250,000	Debt Service	Loan Payment	\$250,000
Operations		\$198,703	Operations		\$198,703
Total CFP Estimated Cost		\$1,053,703			\$1,053,703

Attachments

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			
2	1406 Operations	-0-			
3	1408 Management Improvements	\$95,000	\$95,000	\$95,000	\$2,600
4	1410 Administration	\$82,518	\$82,518	\$82,518	\$10,809
5	1411 Audit	\$4,000	\$4,000	-0-	-0-
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	\$17,500	\$17,500	\$2,500	-0-
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	\$35,000	-0-	-0-	-0-
10	1460 Dwelling Structures	\$619,685	\$754,685	\$609,685	\$135,398
	1465.1 Dwelling Equipment—Nonexpendable	-0-	\$10,000	\$10,000	\$5,574
12	1470 Nondwelling Structures	\$200,000	\$90,000	-0-	-0-
13	1475 Nondwelling Equipment	-0-			
14	1485 Demolition	-0-			
15	1490 Replacement Reserve	-0-			

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950104 Replacement Housing Factor Grant No:		Federal FY of Grant: 2004	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
16	1492 Moving to Work Demonstration	-0-			
17	1495.1 Relocation Costs	-0-			
18	1499 Development Activities	-0-			
19	1501 Collateralization or Debt Service	-0-			
20	1502 Contingency	-0-			
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$1,053,703	\$1,053,703	\$799,703	\$154,381
22	Amount of line 21 Related to LBP Activities	-0-			
23	Amount of line 21 Related to Section 504 compliance	-0-			
24	Amount of line 21 Related to Security – Soft Costs	\$15,600	\$15,600	\$15,600	\$15,600
25	Amount of Line 21 Related to Security – Hard Costs	-0-			
26	Amount of line 21 Related to Energy Conservation Measures	-0-			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number Capital Fund Program Grant No: KY 36P00950104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Harry Smith Ky 9-1	Repair Windowsills	1460		-0-	\$60,000	-0-	-0-	Spring '05
Rolling Heights Ky 9-3	Continue Mini-Mod in Units-Replace interior wood doors, kitchen cabinets, new VCT in bedrooms, kitchen, living room, new wood base, painting/repair of all existing trim, walls & ceilings, new range, hood, refrigerator, install washer/dryer hookups in units where there are none	1460	30	\$419,685	\$494,685	\$409,685	\$135,398	Estimated complete Aug '05
	Refrigerators/Stoves in Mod Units	1465.1	30	-0-	\$10,000	\$10,000	\$5,574	
	Replace Roof at Girl's Inc.	1470		-0-	\$40,000	-0-	-0-	Spring '05
Nannie Locke Ky 9-4	Demolish Old House, Lot Prep Work, Parking at 9/4 and Begin Building Maintenance Storage Facility	1470		\$200,000	\$50,000	-0-	-0-	Planning

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number Capital Fund Program Grant No: KY 36P00950104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Nannie Locke Ky 9-4	Repair/Landscape Parking Lot	1450		\$30,000	-0-	-0-	-0-	Delete
Adams Village Ky 9-6	One or More of the following: replace cabinets, replace interior doors, install new tub surrounds in bathroom, replace carpet, install central air/heat in 0 bedrooms and/or addition of a bedroom to efficiency units	1460		\$200,000	\$200,000	\$200,000	-0-	Began work Feb '05
	Add swings/benches to common areas	1450		\$5,000	-0-	-0-	-0-	Delete
PHA WIDE								
Fees and Costs	A/E Fees for various items of work	1430		\$17,500	\$17,500	\$2,500	-0-	Adams Village
Management Improvements	Drug Elimination Program, Boys Club, Girls, Inc. Latch Key Program, Off Duty Patrol	1408		\$80,000	\$80,000	\$80,000	\$2,600	
	High School Employment/ Resident Opportunities	1408		\$15,000	\$15,000	\$15,000	-0-	

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: KY 36P00950104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Administration	Capital Fund Program Administrative Salaries/other expenses	1410		\$82,518	\$82,518	\$82,518	\$10,809	
Audit	Audit of Capital Fund Program	1411		\$4,000	\$4,000	-0-	-0-	

Attachments

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			
2	1406 Operations	\$83,573	\$83,573	\$83,573	-0-
3	1408 Management Improvements	\$110,000	\$77,407	\$77,407	\$74,602
4	1410 Administration	\$95,000	\$79,625	\$79,625	\$79,625
5	1411 Audit	\$4,000	\$4,000	\$4,000	-0-
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	\$10,000	\$10,000	\$10,000	\$1,969
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	\$10,000	\$ 8,611	\$8,611	\$4,985
10	1460 Dwelling Structures	\$366,027	\$467,253	\$467,253	\$432,986
11	1465.1 Dwelling Equipment—Nonexpendable	-0-	\$18,838	\$18,838	\$18,838
12	1470 Nondwelling Structures	\$231,247	\$160,540	\$160,540	\$158,422
13	1475 Nondwelling Equipment	-0-			
14	1485 Demolition	-0-			
15	1490 Replacement Reserve	-0-			
16	1492 Moving to Work Demonstration	-0-			

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950103 Replacement Housing Factor Grant No:		Federal FY of Grant: 2003	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
17	1495.1 Relocation Costs	-0-			
18	1499 Development Activities	-0-			
19	1501 Collateralization or Debt Service	-0-			
20	1502 Contingency	-0-			
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$909,847	\$909,847	\$909,847	\$771,427
22	Amount of line 21 Related to LBP Activities	-0-			
23	Amount of line 21 Related to Section 504 compliance	-0-			
24	Amount of line 21 Related to Security – Soft Costs	\$15,600	\$15,600	\$15,600	\$15,600
25	Amount of Line 21 Related to Security – Hard Costs	-0-			
26	Amount of line 21 Related to Energy Conservation Measures	-0-			

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number Capital Fund Program Grant No: KY 36P00950103 Replacement Housing Factor Grant No			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<i>Rolling Heights</i> Ky 9-3	Continue Mini-Mod in Units-Replace interior wood doors, kitchen cabinets, new VCT in bdrms, kitchen, livingrm, new wood base, paint/repair trim, walls & ceilings, new range, hood, refrigerator, install washer /dryer hookups where needed	1460	50/33	\$366,027	\$467,253	\$467,253	\$432,986	Continue work in progress
	Refrigerators and Stoves	1465.1		-0-	\$18,838	\$18,838	\$18,838	Complete
	Construct addition of C. Martel Wightman Youth Facility, Boys & Girls Club	1470		\$231,247	\$160,540	\$160,540	\$158,422	Work in Progress
PHA WIDE								
All Sites	Landscaping as needed	1450		\$10,000	\$8,611	\$8,611	\$4,985	In Progress
Fees and Costs	Architect Fees	1430		\$10,000	\$10,000	\$10,000	\$1,969	

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: KY 36P00950103 Replacement Housing Factor Grant No				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Management Improvements	Drug Elimination Program, Boys Club, Girls, Inc. Latch Key Program, Off Duty Patrol	1408		\$95,000	\$64,227	\$64,227	\$62,393	
	High School Employment/ Resident Opportunities	1408		\$15,000	\$13,180	\$13,180	\$12,209	
Administration	Capital Fund Program Administrative Salaries/other expenses	1410		\$95,000	\$79,625	\$79,625	\$79,625	Expended
Audit	Audit of Capital Fund Program	1411		\$4,000	\$4,000	\$4,000	-0-	Contracted
Operations	PHA Operations	1406		\$83,573	\$83,573	\$83,573	-0-	

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Attachments

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950203 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			
2	1406 Operations	-0-			
3	1408 Management Improvements	-0-			
4	1410 Administration	-0-			
5	1411 Audit	-0-			
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	\$20,000	\$30,400	\$30,400	\$30,400
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	-0-			
10	1460 Dwelling Structures	\$166,148	\$155,748	\$155,748	\$5,748
11	1465.1 Dwelling Equipment—Nonexpendable	-0-			
12	1470 Nondwelling Structures	-0-			
13	1475 Nondwelling Equipment	-0-			
14	1485 Demolition	-0-			
15	1490 Replacement Reserve	-0-			
16	1492 Moving to Work Demonstration	-0-			
17	1495.1 Relocation Costs	-0-			

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950203 Replacement Housing Factor Grant No:		Federal FY of Grant: 2003	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
18	1499 Development Activities	-0-			
19	1501 Collateralization or Debt Service	-0-			
20	1502 Contingency	-0-			
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$186,148	\$186,148	\$186,148	\$36,148
22	Amount of line 21 Related to LBP Activities	-0-			
23	Amount of line 21 Related to Section 504 compliance	-0-			
24	Amount of line 21 Related to Security – Soft Costs	-0-			
25	Amount of Line 21 Related to Security – Hard Costs	-0-			
26	Amount of line 21 Related to Energy Conservation Measures	-0-			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number Capital Fund Program Grant No: KY 36P00950203 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<i>Rolling Heights</i> Ky 9-3	Cont. Mini-Mod -Replace interior doors, kitchen cabinets, new VCT in bedrooms, kitchen, living room, new wood base, paint/repair of all trim, walls & ceilings, new range, hood, refrigerator, install washer/dryer hookups in units as needed	1460	33	\$16,148	\$5,748	\$5,748	\$5,748	Work complete
Adams Village Ky 9-6	One or more of the following: replace cabinets & interior doors, new tub surrounds in bathroom, replace carpet, install central heat/ac in efficiency units	1460	76	\$150,000	\$150,000	\$150,000	-0-	Work began Feb. '05
Fees & Costs	Architect Fees	1430		\$20,000	\$30,400	\$30,400	\$30,400	Expended

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: KY36P00950102 Replacement Housing Factor Grant No:			Federal FY of Grant : 2002
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no. 4) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending 3/31/05 <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			-0-
2	1406 Operations	\$135,427	\$130,130	\$130,130	\$130,130
3	1408 Management Improvements	\$89,126	\$89,126	\$89,126	\$89,126
4	1410 Administration	\$59,577	\$59,577	\$59,577	\$59,577
5	1411 Audit	\$3,620	\$3,620	\$3,620	\$3,620
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	\$40,000	\$36,255	\$36,255	\$36,255
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	\$140,000	\$135,550	\$135,550	\$135,550
10	1460 Dwelling Structures	\$395,695	\$395,695	\$395,695	\$395,695
11	1465.1 Dwelling Equipment—Nonexpendable	\$16,500	\$16,500	\$16,500	\$16,500
12	1470 Nondwelling Structures	\$225,875	\$239,367	\$239,367	\$239,367
13	1475 Nondwelling Equipment	-0-			
14	1485 Demolition	-0-			
15	1490 Replacement Reserve	-0-			
16	1492 Moving to Work Demonstration	-0-			
17	1495.1 Relocation Costs	-0-			
18	1499 Development Activities	-0-			
19	1501 Collateralization or Debt Service	-0-			
20	1502 Contingency	-0-			

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: KY36P00950102 Replacement Housing Factor Grant No:			Federal FY of Grant : 2002
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no. 4) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending 3/31/05 <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$1,105,820	\$1,105,820	\$1,105,820	\$1,105,820
22	Amount of line 21 Related to LBP Activities	-0-			
23	Amount of line 21 Related to Section 504 compliance	-0-			
24	Amount of line 21 Related to Security – Soft Costs	\$15,600	\$15,600	\$15,600	\$15,600
25	Amount of Line 21 Related to Security – Hard Costs	-0-			
26	Amount of line 21 Related to Energy Conservation Measures	-0-			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number : Capital Fund Program Grant No: KY36P00950102 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
P G Walker KY 9-2	Remodel Community Room	1470		\$7,500	\$19,023	\$19,023	\$19,023	
Rolling Heights Ky 9-3	Replace/Repair Sidewalks	1450		\$115,000	\$121,748	\$121,748	\$121,748	Work Complete
	Continue Mini-Mod -Replace interior doors, kitchen cabinets, new VCT in bedrooms, kitchen, living room, new wood base, paint/repair of all existing trim, walls & ceilings, new range, hood, refrigerator, install washer/dryer hookups in units as needed	1460	50	\$395,695	\$395,695	\$395,695	\$395,695	Work Complete
	Construct addition at C. Martel Wightman Youth Facility- Boys & Girls club	1470		\$195,875	\$195,875	\$195,875	\$195,875	Work Complete
	Update Administrative Office-computer upgrade, furniture, and various repairs	1470		\$15,000	\$15,724	\$15,724	\$15,724	Complete
Nannie Locke Homes KY 9-4	Replace Refrigerators	1465	50	\$16,500	\$16,500	\$16,500	\$16,500	Complete

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number : Capital Fund Program Grant No: KY36P00950102 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Remodel Community Room	1470		\$7,500	\$8,745	\$8,745	\$8,745	Complete
Adams Village KY 9-6	Handicap Accessible Ramps- Add an accessible ramp to front of buildings where needed	1450		\$25,000	\$13,802	\$13,802	\$13,802	Work Complete
PHA Wide								
Fees & Costs	Architect Fees	1430		\$40,000	\$36,255	\$36,255	\$36,255	Expended
Audit	Audit of Comp Grant/Capital Fund	1411		\$3,620	\$3,620	\$3,620	\$3,620	Complete
Administration	Comp Grant Administrative Expenses	1410		\$59,577	\$59,577	\$59,577	\$59,577	Expended
	Drug Elimination, Boys Club, Girls, Inc. Off duty patrol, etc.	1408		\$75,632	\$75,632	\$75,632	\$75,632	Expended
	High School Employment, Resident Opportunities	1408		\$13,494	\$13,494	\$13,494	\$13,494	Expended
Operations	PHA Operations	1406		\$135,427	\$130,130	\$130,130	\$130,130	Expended

Alternative Table #2: Borrowing capital funds for 7 work items, \$1,000,000.00 to go toward replacement housing, remainder to 6 work items. (5 year action plan follows)

**Alternative
Table #2**

Work Items:		
1	Office Addition/Maintenance Building	\$700,000
2	Window Replacement (KY 9-1, 9-2, 9-3, & 9-4)	\$675,000
3	Harry Smith Homes Site Enhancements : Paint, Siding, Exterior Doors, Storm Doors, Trim, and other items as needed	\$450,000
4	Adams Village Site Enhancements: Storm Doors, Exterior Doors Water Heater Room Doors, and other items as needed	\$310,000
5	Rolling Hieghts Sites Enhancements: Paint, Siding, Trim, Exterior Doors, Storm Doors, Trim, and other items as needed	\$570,000
6	Nannie Locke Site Enhancements: Paint, Exterior Doors, Trim, Siding, and other items as needed	\$295,000
7	Replacement Housing	\$1,000,000
	Grand Total:	\$4,000,000

Attachments

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			
2	1406 Operations	\$199,703			
3	1408 Management Improvements	\$125,000			
4	1410 Administration	\$100,000			
5	1411 Audit	\$5,000			
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	\$20,000			
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	\$45,000			
10	1460 Dwelling Structures	\$309,000			
11	1465.1 Dwelling Equipment—Nonexpendable	-0-			
12	1470 Nondwelling Structures	-0-			
13	1475 Nondwelling Equipment	-0-			
14	1485 Demolition	-0-			
15	1490 Replacement Reserve	-0-			
16	1492 Moving to Work Demonstration	-0-			
17	1495.1 Relocation Costs	-0-			
18	1499 Development Activities	-0-			

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950105 Replacement Housing Factor Grant No:		Federal FY of Grant: 2005	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
19	1501 Collateralization or Debt Service	\$250,000			
20	1502 Contingency	-0-			
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$1,053,703			
22	Amount of line 21 Related to LBP Activities	-0-			
23	Amount of line 21 Related to Section 504 compliance	-0-			
24	Amount of line 21 Related to Security – Soft Costs	\$15,600			
25	Amount of Line 21 Related to Security – Hard Costs	-0-			
26	Amount of line 21 Related to Energy Conservation Measures	-0-			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number Capital Fund Program Grant No: KY 36P00950105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
P.G. Walker KY 9-2	Repair/Replace Porch Covers	1460		\$100,000				
Rolling Heights Ky 9-3	Repair Porch Columns	1460		\$65,000				
	Repair Girls Inc. / Girls and Boys Club Parking lot	1460		\$50,000				
Nannie Locke Ky 9-4	Playground	1450		\$30,000				
Baker Drive Ky 9- 5	Replace countertops, Install new range, range hood, refrigerator, GFI, electrical outlet, paint, and other items as needed	1460		\$54,000				
	Replace Front and Rear Storm Doors	1460		\$20,000				
PHA WIDE	In-House Mod Units	1460		\$20,000				
	Landscaping	1450		\$15,000				
Fees and Costs	A/E Fees for various items of work	1430		\$20,000				

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 20__ - 20__

Annual Plan for FY 20__

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF OWENSBORO			Grant Type and Number Capital Fund Program Grant No: KY 36P00950105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Management Improvements	Drug Elimination Program, Boys Club, Girls, Inc. Latch Key Program, Off Duty Patrol	1408		\$110,000				
	High School Employment/ Resident Opportunities	1408		\$15,000				
Debt Service	Loan Payment	1501		\$250,000				
Administration	Capital Fund Program Administrative Salaries/other expenses	1410		\$100,000				
Audit	Audit of Capital Fund Program	1411		\$5,000				
Operations	PHA Operations	1406		\$199,703				

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name Housing Authority of Owensboro		2161 E. 19 th Street, Owensboro, Ky. 42303		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
Development Number/Name/HA-Wide	Year 1	Work Statement for Yr 2 FFY Grant: 10/1/06 PHA FY: 7/1/07	Work Statement for Yr 3 FFY Grant: 10/1/07 PHA FY: 7/1/08	Work Statement for Yr 4 FFY Grant: 10/1/08 PHA FY: 7/1/09	Work Statement for Yr 5 FFY Grant: 10/1/09 PHA FY: 7/1/10
Harry Smith Homes KY 9-1		\$60,000	No work planned	\$35,000	\$50,000
P G Walker KY 9-2		No work planned	No work planned	\$12,000	\$100,000
Rolling Heights Ky 9-3		\$165,000	\$210,000	\$120,000	\$75,000
Nannie Locke Ky 9-4		\$20,000	No work planned	\$15,000	\$25,000
Baker Drive KY 9-5		No work planned	No work planned	\$8,000	No work planned
Adams Village Ky 9-6		\$80,000	No work planned	\$15,000	\$45,000
PHA Wide		\$280,000	\$395,000	\$400,000	\$310,000
Debt Service		\$250,000	\$250,000	\$250,000	\$250,000
Operations		\$198,703	\$198,703	\$198,703	\$198,703
CFP Funds for 5-year Planning		\$1,053,703	\$1,053,703	\$1,053,703	\$1,053,703
Replacement Housing Factor Funds		\$28,003	\$28,003	\$28,003	\$28,003

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: 10/1/06 PHA FY: 7/1/2007			Activities for Year: <u>3</u> FFY Grant: 10/1/07 PHA FY: 7/1/2008		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See Annual Statement	Harry Smith Homes 9-1	Sidewalk repair/replacement	\$60,000			
	Rolling Heights 9-3	Girls Inc. Mod	\$40,000	Rolling Heights 9-3	Storm Drain Cleaning and Replacement	\$135,000
		Boys & Girls Club Mod	\$25,000		Water heaters	\$75,000
		Sidewalk repair/replacement	\$100,000			
	Nannie Locke 9-4	Sidewalk repair/replacement	\$20,000			
	Adams Village 9-6	Sidewalk repair/replacement	\$80,000			
				PHA WIDE	Tree Trimming/Cleaning	\$100,000
	PHA WIDE	Landscaping	\$10,000		Landscaping	\$15,000
		In House MOD	\$15,000		In House MOD	\$25,000
		Architect Fees	\$20,000		Architect Fees	\$10,000
		Management Improvements, Administration, CFP Audit	\$235,000		Management Improvements, Administration, CFP Audit	\$245,000
	Debit Service	Loan Payment	\$250,000	Debit Service	Loan Payment	\$250,000
	Operations		\$198,703	Operations		\$198,703
	Total CFP Estimated Cost		\$1,053,703			\$1,053,703

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year : <u>4</u> FFY Grant: 10/1/08 PHA FY: 7/1/09			Activities for Year <u>5</u> FFY Grant: 10/1/09 PHA FY: 7/1/10		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
Harry Smith Homes 9-1	Installation of Gutter Helmet	\$35,000	Harry Smith Homes 9-1	Roof Replacement	\$40,000
P.G. Walker 9-2	Installation of Gutter Helmet	\$12,000	P.G. Walker 9-2	Painting Exterior	\$75,000
				Roof Replacement	\$20,000
Rolling Heights 9-3	Installation of Gutter Helmet	\$65,000			
	Roof Replacement	\$55,000	Rolling Heights 9-3	Roof Replacement	\$75,000
Nannie Locke 9-4	Installation of Gutter Helmet	\$15,000	Nannie Locke 9-4	Roof Replacement	\$25,000
Baker Drive 9-5	Installation of Gutter Helmet	\$8,000			
Adams Village 9-6	Installation of Gutter Helmet	\$15,000	Adams Village 9-6	Roof Replacement	\$85,000
PHA WIDE	Repave parking areas	\$100,000			
	In House MOD	\$25,000	PHA WIDE	In House MOD	\$15,000
	Landscape	\$10,000		Landscape	\$10,000
	Architect	\$20,000		Architect	\$15,000
	Management Improvements, Administration, CFP Audit	\$245,000		Management Improvements, Administration, CFP Audit	\$245,000
Debt Service	Loan Payment	\$250,000	Debt Service	Loan Payment	\$250,000
Operations		\$198,703	Operations		\$198,703
Total CFP Estimated Cost		\$1,053,703			\$1,053,703

Attachments

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			
2	1406 Operations	-0-			
3	1408 Management Improvements	\$95,000	\$95,000	\$95,000	\$2,600
4	1410 Administration	\$82,518	\$82,518	\$82,518	\$10,809
5	1411 Audit	\$4,000	\$4,000	-0-	-0-
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	\$17,500	\$17,500	\$2,500	-0-
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	\$35,000	-0-	-0-	-0-
10	1460 Dwelling Structures	\$619,685	\$754,685	\$609,685	\$135,398
	1465.1 Dwelling Equipment—Nonexpendable	-0-	\$10,000	\$10,000	\$5,574
12	1470 Nondwelling Structures	\$200,000	\$90,000	-0-	-0-
13	1475 Nondwelling Equipment	-0-			
14	1485 Demolition	-0-			
15	1490 Replacement Reserve	-0-			
16	1492 Moving to Work Demonstration	-0-			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: HOUSING AUTHORITY OF OWENSBORO	Grant Type and Number Capital Fund Program Grant No: Ky36P00950104 Replacement Housing Factor Grant No:	Federal FY of Grant: 2004
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report for Period Ending: 2/28/05 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
17	1495.1 Relocation Costs	-0-			
18	1499 Development Activities	-0-			
19	1501 Collaterization or Debt Service	-0-			
20	1502 Contingency	-0-			
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$1,053,703	\$1,053,703	\$799,703	\$154,381
22	Amount of line 21 Related to LBP Activities	-0-			
23	Amount of line 21 Related to Section 504 compliance	-0-			
24	Amount of line 21 Related to Security – Soft Costs	\$15,600	\$15,600	\$15,600	\$15,600
25	Amount of Line 21 Related to Security – Hard Costs	-0-			
26	Amount of line 21 Related to Energy Conservation Measures	-0-			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: KY 36P00950104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Harry Smith Ky 9-1	Repair Windowsills	1460		-0-	\$60,000	-0-	-0-	Spring '05
Rolling Heights Ky 9-3	Continue Mini-Mod in Units-Replace interior wood doors, kitchen cabinets, new VCT in bedrooms, kitchen, living room, new wood base, painting/repair of all existing trim, walls & ceilings, new range, hood, refrigerator, install washer/dryer hookups in units where there are none	1460	30	\$419,685	\$494,685	\$409,685	\$135,398	Estimated complete Aug '05
	Refrigerators/Stoves in Mod Units	1465.1	30	-0-	\$10,000	\$10,000	\$5,574	
	Replace Roof at Girl's Inc.	1470		-0-	\$40,000	-0-	-0-	Spring '05
Nannie Locke Ky 9-4	Demolish Old House, Lot Prep Work, Parking at 9/4 and Begin Building Maintenance Storage Facility	1470		\$200,000	\$50,000	-0-	-0-	Planning
Nannie Locke Ky 9-4	Repair/Landscape Parking Lot	1450		\$30,000	-0-	-0-	-0-	Delete

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: KY 36P00950104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Adams Village Ky 9-6	One or More of the following: replace cabinets, replace interior doors, install new tub surrounds in bathroom, replace carpet, install central air/heat in 0 bedrooms and/or addition of a bedroom to efficiency units	1460		\$200,000	\$200,000	\$200,000	-0-	Began work Feb '05
	Add swings/benches to common areas	1450		\$5,000	-0-	-0-	-0-	Delete
PHA WIDE								
Fees and Costs	A/E Fees for various items of work	1430		\$17,500	\$17,500	\$2,500	-0-	Adams Village
Management Improvements	Drug Elimination Program, Boys Club, Girls, Inc. Latch Key Program, Off Duty Patrol	1408		\$80,000	\$80,000	\$80,000	\$2,600	
	High School Employment/ Resident Opportunities	1408		\$15,000	\$15,000	\$15,000	-0-	
Administration	Capital Fund Program Administrative Salaries/other expenses	1410		\$82,518	\$82,518	\$82,518	\$10,809	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: KY 36P00950104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Audit	Audit of Capital Fund Program	1411		\$4,000	\$4,000	-0-	-0-	

Attachments

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			
2	1406 Operations	\$83,573	\$83,573	\$83,573	-0-
3	1408 Management Improvements	\$110,000	\$77,407	\$77,407	\$74,602
4	1410 Administration	\$95,000	\$79,625	\$79,625	\$79,625
5	1411 Audit	\$4,000	\$4,000	\$4,000	-0-
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	\$10,000	\$10,000	\$10,000	\$1,969
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	\$10,000	\$ 8,611	\$8,611	\$4,985
10	1460 Dwelling Structures	\$366,027	\$467,253	\$467,253	\$432,986
11	1465.1 Dwelling Equipment—Nonexpendable	-0-	\$18,838	\$18,838	\$18,838
12	1470 Nondwelling Structures	\$231,247	\$160,540	\$160,540	\$158,422
13	1475 Nondwelling Equipment	-0-			
14	1485 Demolition	-0-			
15	1490 Replacement Reserve	-0-			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: HOUSING AUTHORITY OF OWENSBORO	Grant Type and Number Capital Fund Program Grant No: Ky36P00950103 Replacement Housing Factor Grant No:	Federal FY of Grant: 2003
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 3)
 Performance and Evaluation Report for Period Ending: 2/28/05 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
16	1492 Moving to Work Demonstration	-0-			
17	1495.1 Relocation Costs	-0-			
18	1499 Development Activities	-0-			
19	1501 Collateralization or Debt Service	-0-			
20	1502 Contingency	-0-			
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$909,847	\$909,847	\$909,847	\$771,427
22	Amount of line 21 Related to LBP Activities	-0-			
23	Amount of line 21 Related to Section 504 compliance	-0-			
24	Amount of line 21 Related to Security – Soft Costs	\$15,600	\$15,600	\$15,600	\$15,600
25	Amount of Line 21 Related to Security – Hard Costs	-0-			
26	Amount of line 21 Related to Energy Conservation Measures	-0-			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: KY 36P00950103 Replacement Housing Factor Grant No				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<i>Rolling Heights</i> Ky 9-3	Continue Mini-Mod in Units-Replace interior wood doors, kitchen cabinets, new VCT in bdrms, kitchen, livingrm, new wood base, paint/repair trim, walls & ceilings, new range, hood, refrigerator, install washer /dryer hookups where needed	1460	50/33	\$366,027	\$467,253	\$467,253	\$432,986	Continue work in progress
	Refrigerators and Stoves	1465.1		-0-	\$18,838	\$18,838	\$18,838	Complete
	Construct addition of C. Martel Wightman Youth Facility, Boys & Girls Club	1470		\$231,247	\$160,540	\$160,540	\$158,422	Work in Progress
PHA WIDE								
All Sites	Landscaping as needed	1450		\$10,000	\$8,611	\$8,611	\$4,985	In Progress
Fees and Costs	Architect Fees	1430		\$10,000	\$10,000	\$10,000	\$1,969	
Management Improvements	Drug Elimination Program, Boys Club, Girls, Inc. Latch Key Program, Off Duty Patrol	1408		\$95,000	\$64,227	\$64,227	\$62,393	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: KY 36P00950103 Replacement Housing Factor Grant No				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	High School Employment/ Resident Opportunities	1408		\$15,000	\$13,180	\$13,180	\$12,209	
Administration	Capital Fund Program Administrative Salaries/other expenses	1410		\$95,000	\$79,625	\$79,625	\$79,625	Expended
Audit	Audit of Capital Fund Program	1411		\$4,000	\$4,000	\$4,000	-0-	Contracted
Operations	PHA Operations	1406		\$83,573	\$83,573	\$83,573	-0-	

Attachments

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: Ky36P00950203 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 2/28/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			
2	1406 Operations	-0-			
3	1408 Management Improvements	-0-			
4	1410 Administration	-0-			
5	1411 Audit	-0-			
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	\$20,000	\$30,400	\$30,400	\$30,400
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	-0-			
10	1460 Dwelling Structures	\$166,148	\$155,748	\$155,748	\$5,748
11	1465.1 Dwelling Equipment—Nonexpendable	-0-			
12	1470 Nondwelling Structures	-0-			
13	1475 Nondwelling Equipment	-0-			
14	1485 Demolition	-0-			
15	1490 Replacement Reserve	-0-			
16	1492 Moving to Work Demonstration	-0-			
17	1495.1 Relocation Costs	-0-			
18	1499 Development Activities	-0-			
19	1501 Collateralization or Debt Service	-0-			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: HOUSING AUTHORITY OF OWENSBORO	Grant Type and Number Capital Fund Program Grant No: Ky36P00950203 Replacement Housing Factor Grant No:	Federal FY of Grant: 2003
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 2)
 Performance and Evaluation Report for Period Ending: 2/28/05 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	1502 Contingency	-0-			
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$186,148	\$186,148	\$186,148	\$36,148
22	Amount of line 21 Related to LBP Activities	-0-			
23	Amount of line 21 Related to Section 504 compliance	-0-			
24	Amount of line 21 Related to Security – Soft Costs	-0-			
25	Amount of Line 21 Related to Security – Hard Costs	-0-			
26	Amount of line 21 Related to Energy Conservation Measures	-0-			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: KY 36P00950203 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<i>Rolling Heights</i> Ky 9-3	Cont. Mini-Mod -Replace interior doors, kitchen cabinets, new VCT in bedrooms, kitchen, living room, new wood base, paint/repair of all trim, walls & ceilings, new range, hood, refrigerator, install washer/dryer hookups in units as needed	1460	33	\$16,148	\$5,748	\$5,748	\$5,748	Work complete
Adams Village Ky 9-6	One or more of the following: replace cabinets & interior doors, new tub surrounds in bathroom, replace carpet, install central heat/ac in efficiency units	1460	76	\$150,000	\$150,000	\$150,000	-0-	Work began Feb. '05
Fees & Costs	Architect Fees	1430		\$20,000	\$30,400	\$30,400	\$30,400	Expended

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number Capital Fund Program Grant No: KY36P00950102 Replacement Housing Factor Grant No:			Federal FY of Grant : 2002
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no. 4) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending 3/31/05 <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			-0-
2	1406 Operations	\$135,427	\$130,130	\$130,130	\$130,130
3	1408 Management Improvements	\$89,126	\$89,126	\$89,126	\$89,126
4	1410 Administration	\$59,577	\$59,577	\$59,577	\$59,577
5	1411 Audit	\$3,620	\$3,620	\$3,620	\$3,620
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	\$40,000	\$36,255	\$36,255	\$36,255
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	\$140,000	\$135,550	\$135,550	\$135,550
10	1460 Dwelling Structures	\$395,695	\$395,695	\$395,695	\$395,695
11	1465.1 Dwelling Equipment—Nonexpendable	\$16,500	\$16,500	\$16,500	\$16,500
12	1470 Nondwelling Structures	\$225,875	\$239,367	\$239,367	\$239,367
13	1475 Nondwelling Equipment	-0-			
14	1485 Demolition	-0-			
15	1490 Replacement Reserve	-0-			
16	1492 Moving to Work Demonstration	-0-			
17	1495.1 Relocation Costs	-0-			
18	1499 Development Activities	-0-			
19	1501 Collateralization or Debt Service	-0-			
20	1502 Contingency	-0-			
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$1,105,820	\$1,105,820	\$1,105,820	\$1,105,820

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: HOUSING AUTHORITY OF OWENSBORO	Grant Type and Number Capital Fund Program Grant No: KY36P00950102 Replacement Housing Factor Grant No:	Federal FY of Grant : 2002
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no. 4)
 Performance and Evaluation Report for Period Ending 3/31/05 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
22	Amount of line 21 Related to LBP Activities	-0-			
23	Amount of line 21 Related to Section 504 compliance	-0-			
24	Amount of line 21 Related to Security – Soft Costs	\$15,600	\$15,600	\$15,600	\$15,600
25	Amount of Line 21 Related to Security – Hard Costs	-0-			
26	Amount of line 21 Related to Energy Conservation Measures	-0-			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number :				Federal FY of Grant:		
		Capital Fund Program Grant No: KY36P00950102 Replacement Housing Factor Grant No:				2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
P G Walker KY 9-2	Remodel Community Room	1470		\$7,500	\$19,023	\$19,023	\$19,023	
Rolling Heights Ky 9-3	Replace/Repair Sidewalks	1450		\$115,000	\$121,748	\$121,748	\$121,748	Work Complete
	Continue Mini-Mod -Replace interior doors, kitchen cabinets, new VCT in bedrooms, kitchen, living room, new wood base, paint/repair of all existing trim, walls & ceilings, new range, hood, refrigerator, install washer/dryer hookups in units as needed	1460	50	\$395,695	\$395,695	\$395,695	\$395,695	Work Complete
	Construct addition at C. Martel Wightman Youth Facility- Boys & Girls club	1470		\$195,875	\$195,875	\$195,875	\$195,875	Work Complete
	Update Administrative Office-computer upgrade, furniture, and various repairs	1470		\$15,000	\$15,724	\$15,724	\$15,724	Complete
Nannie Locke Homes KY 9-4	Replace Refrigerators	1465	50	\$16,500	\$16,500	\$16,500	\$16,500	Complete
	Remodel Community Room	1470		\$7,500	\$8,745	\$8,745	\$8,745	Complete

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: HOUSING AUTHORITY OF OWENSBORO		Grant Type and Number : Capital Fund Program Grant No: KY36P00950102 Replacement Housing Factor Grant No:				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Adams Village KY 9-6	Handicap Accessible Ramps- Add an accessible ramp to front of buildings where needed	1450		\$25,000	\$13,802	\$13,802	\$13,802	Work Complete
PHA Wide								
Fees & Costs	Architect Fees	1430		\$40,000	\$36,255	\$36,255	\$36,255	Expended
Audit	Audit of Comp Grant/Capital Fund	1411		\$3,620	\$3,620	\$3,620	\$3,620	Complete
Administration	Comp Grant Administrative Expenses	1410		\$59,577	\$59,577	\$59,577	\$59,577	Expended
	Drug Elimination, Boys Club, Girls, Inc. Off duty patrol, etc.	1408		\$75,632	\$75,632	\$75,632	\$75,632	Expended
	High School Employment, Resident Opportunities	1408		\$13,494	\$13,494	\$13,494	\$13,494	Expended
Operations	PHA Operations	1406		\$135,427	\$130,130	\$130,130	\$130,130	Expended

