

PHA Plans

Streamlined 5-Year/Annual Version

U.S. Department of Housing and
Urban Development
Office of Public and Indian Housing

OMB No. 2577-0226
(exp 05/31/2006)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief to certain PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

Streamlined 5-Year Plan for Fiscal Years 2005 - 2009

Streamlined Annual Plan for Fiscal Year 2005

PELL CITY HOUSING AUTHORITY

NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue. Full reporting for each component listed in the streamlined Annual Plan submitted with the 5-year plan is required.

Streamlined Five-Year PHA Plan Agency Identification

PHA Name: Pell City Housing Authority

PHA Number: AL106

PHA Fiscal Year Beginning: (mm/yyyy) 10/2005

PHA Programs Administered:

Public Housing and Section 8

Number of public housing units:
Number of S8 units:

Section 8 Only

Number of S8 units:

Public Housing Only

Number of public housing units:

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:

(select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans and attachments (if any) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices

Other (list below)

Streamlined Five-Year PHA Plan PHA FISCAL YEARS 2005 - 2009

[24 CFR Part 903.12]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAs ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below)

- PHA Goal: Increase assisted housing choices
Objectives:
- Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
Objectives:
- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:
- Increase the number and percentage of employed persons in assisted families:
 - Provide or attract supportive services to improve assistance recipients' employability:
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:

- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- Other: (list below)

Other PHA Goals and Objectives: (list below)

Streamlined Annual PHA Plan

PHA Fiscal Year 2005

[24 CFR Part 903.12(b)]

Table of Contents

Provide the following table of contents for the streamlined Annual Plan submitted with the Five-Year Plan, including all streamlined plan components, and additional requirements, together with the list of supporting documents available for public inspection.

A. ANNUAL STREAMLINED PHA PLAN COMPONENTS

- 1. Housing Needs
- 2. Financial Resources
- 3. Policies on Eligibility, Selection and Admissions
- 4. Rent Determination Policies
- 5. Capital Improvements Needs
- 6. Demolition and Disposition
- 7. Homeownership
- 8. Civil Rights Certifications (included with PHA Certifications of Compliance)
- 9. Additional Information
 - a. PHA Progress on Meeting 5-Year Mission and Goals
 - b. Criteria for Substantial Deviations and Significant Amendments
 - c. Other Information Requested by HUD
 - i. Resident Advisory Board Membership and Consultation Process
 - ii. Resident Membership on the PHA Governing Board
 - iii. PHA Statement of Consistency with Consolidated Plan
 - iv. (Reserved)
- 10. Project-Based Voucher Program
- 11. Supporting Documents Available for Review
- 12. FY 2005 Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report
- 13. Capital Fund Program 5-Year Action Plan
- 14. Other (List below, providing name for each item)

B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE

Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;
Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.
For PHAs APPLYING FOR CAPITAL FUND PROGRAM (CFP) GRANTS:
Form HUD-50070, Certification for a Drug-Free Workplace;
Form HUD-50071, Certification of Payments to Influence Federal Transactions;
Form SF-LLL & SF-LLLa, Disclosure of Lobbying Activities.

Executive Summary (optional)

[903.7(r)]. If desired, provide a brief overview of the contents of the streamlined 5-Year/Annual Plan.

1. Statement of Housing Needs [24 CFR Part 903.12 (b), 903.7(a)]

A. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the PHA’s Waiting Lists			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	47		
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)	47		
Low income (>50% but <80% AMI)			
Families with children	32		
Elderly families	15		
Families with Disabilities			
Race/ethnicity – White	33		
Race/ethnicity – Af. Am	14		
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	15		
2 BR	16		
3 BR	7		
4 BR	9		
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed?			
<input type="checkbox"/> No <input type="checkbox"/> Yes			

B. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families on the PHA's public housing and Section 8 waiting lists **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.12 (b), 903.7 (c)]

List on the following table the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2005 grants)		
a) Public Housing Operating Fund	153,964	
b) Public Housing Capital Fund	136,328	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance		
f) Resident Opportunity and Self-Sufficiency Grants		
g) Community Development Block Grant		
h) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
CFP 501-03	23,754	Renovations
CFP 502-03	8,105	Renovations
CFP 501-04	129,263	Renovations
3. Public Housing Dwelling Rental Income	80,746	Administrative
4. Other income (list below)		
Late Fees	1,500	Administrative
4. Non-federal sources (list below)		
Total resources	533,660	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.12 (b), 903.7 (b)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

- a. When does the PHA verify eligibility for admission to public housing? (select all that apply)
- When families are within a certain number of being offered a unit: (state number)
5
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)
- b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?
- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)
- c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2) Waiting List Organization

- a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)
- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. Site-Based Waiting Lists-Previous Year **N/A**

1. Has the PHA operated one or more site-based waiting lists in the previous year?
NO If yes, complete the following table; if not skip to d.

Site-Based Waiting Lists				
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics

2. What is the number of site based waiting list developments to which families may apply at one time? ___

3. How many unit offers may an applicant turn down before being removed from the site-based waiting list? ___

4. Yes No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

d. Site-Based Waiting Lists – Coming Year – **N/A**

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?
3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
- One
 - Two
 - Three or More
- b. Yes No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

- a. Income targeting:
- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer policies:
- In what circumstances will transfers take precedence over new admissions? (list below)
- Emergencies
 - Over-housed
 - Under-housed
 - Medical justification
 - Administrative reasons determined by the PHA (e.g., to permit modernization work)
 - Resident choice: (state circumstances below)

Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete. If yes, list these developments on the following table:

Deconcentration Policy for Covered Developments			
Development Name	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]

B. Section 8 – Not Applicable

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors):
- Other (list below)
- b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
- Other (describe below)

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program

Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

PHA main administrative office

Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

Victims of domestic violence

Substandard housing

Homelessness

High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

Working families and those unable to work because of age or disability

Veterans and veterans' families

Residents who live and/or work in your jurisdiction

Those enrolled currently in educational, training, or upward mobility programs

Households that contribute to meeting income goals (broad range of incomes)

Households that contribute to meeting income requirements (targeting)

- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

- Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.12(b), 903.7(d)]

Uses current regulations under Rent Reasonable

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one of the following two)

- The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))
- The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship

exemption policies?

3. If yes to question 2, list these policies below:

death or loss of employment

c. Rents set at less than 30% of adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

For all developments

For all general occupancy developments (not elderly or disabled or elderly only)

For specified general occupancy developments

For certain parts of developments; e.g., the high-rise portion

For certain size units; e.g., larger bedroom sizes

Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) 5%
- Other (list below)

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

a. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

B. Section 8 Tenant-Based Assistance – Not Applicable

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher**

program, certificates).

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

- b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Capital Improvement Needs

[24 CFR Part 903.12(b), 903.7 (g)]

Exemptions from Component 5: Section 8 only PHAs are not required to complete this component and may skip to Component 6.

SEE ATTACHMENTS---

A. Capital Fund Activities

Exemptions from sub-component 5A: PHAs that will not participate in the Capital Fund Program may skip to component 5B. All other PHAs must complete 5A as instructed.

(1) Capital Fund Program

- a. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 12 and 13 of this template (Capital Fund Program tables). If no, skip to B.
- b. Yes No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 5B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

(1) Hope VI Revitalization

- a. Yes No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to next component; if yes, provide responses to questions on chart below for each grant, copying and completing as many times as necessary)
- b. Status of HOPE VI revitalization grant (complete one set of

questions for each grant)

Development name:

Development (project) number:

Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

c. Yes No: Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name/s below:

d. Yes No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:

e. Yes No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

6. Demolition and Disposition

[24 CFR Part 903.12(b), 903.7 (h)]

Applicability of component 6: Section 8 only PHAs are not required to complete this section.

a. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 or 24 (Hope VI) of the U.S. Housing Act of 1937 (42 U.S.C. 1437p) or Section 202/Section 33 (Mandatory Conversion) in the plan Fiscal Year? (If “No”, skip to component 7; if “yes”, complete one activity description for each development on the following chart.)

Demolition/Disposition Activity Description
1a. Development name:
1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/>

Submitted, pending approval <input type="checkbox"/>
Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units affected:
6. Coverage of action (select one)
<input type="checkbox"/> Part of the development
<input type="checkbox"/> Total development
7. Timeline for activity:
a. Actual or projected start date of activity:
b. Projected end date of activity:

7. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program

[24 CFR Part 903.12(b), 903.7(k)(1)(i)]

(1) Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to the next component; if “yes”, complete each program description below (copy and complete questions for each program identified.)

(2) Program Description

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year?__

b. PHA-established eligibility criteria

Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

c. What actions will the PHA undertake to implement the program this year (list)?

(3) Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

a. Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes

from the family's resources.

- b. Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- c. Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below).
- d. Demonstrating that it has other relevant experience (list experience below).

8. Civil Rights Certifications

[24 CFR Part 903.12 (b), 903.7 (o)]

Civil rights certifications are included in the *PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans*, which is submitted to the Field Office in hard copy—see Table of Contents.

9. Additional Information

[24 CFR Part 903.12 (b), 903.7 (r)]

A. PHA Progress in Meeting the Mission and Goals Described in the 5-Year Plan

(Provide a statement of the PHA's progress against the goals and objectives established in the previous

5-Year Plan for the period FY 2000 - 2004.

The PHA has met the five year plan requirements regarding policy updates and has continued to monitor compliance. Due to lack of HUD funding, PHA has not been able to provide additional housing.

B. Criteria for Substantial Deviations and Significant Amendments

(1) Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

- a. Substantial Deviation from the 5-Year Plan
Any policy or plan not consistent with original submission

- b. Significant Amendment or Modification to the Annual Plan
Any change in policy or procedures that was originally presented in the Annual Plan

C. Other Information

[24 CFR Part 903.13, 903.15]

(1) Resident Advisory Board Recommendations

- a. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

If yes, provide the comments below:

- b. In what manner did the PHA address those comments? (select all that apply)
 Considered comments, but determined that no changes to the PHA Plan were

necessary.

- The PHA changed portions of the PHA Plan in response to comments
List changes below:

- Other: (list below)

(2) Resident Membership on PHA Governing Board

The governing board of each PHA is required to have at least one member who is directly assisted by the PHA, unless the PHA meets certain exemption criteria. Regulations governing the resident board member are found at 24 CFR Part 964, Subpart E.

- a. Does the PHA governing board include at least one member who is directly assisted by the PHA this year?

- Yes No: The PHA has met the criteria to be exempt from being required on resident membership. See Attached documents.

If yes, complete the following:

Name of Resident Member of the PHA Governing Board:

Method of Selection:

- Appointment

- Election by Residents (if checked, complete next section--Description of Resident Election Process)

Description of Resident Election Process

Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

b. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- The PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- The PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain):

Date of next term expiration of a governing board member: November 2005

Name and title of appointing official(s) for governing board (indicate appointing official for the next available position):

Mayor Adam Stocks, Mayor of Pell City

(3) PHA Statement of Consistency with the Consolidated Plan

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

Consolidated Plan jurisdiction: (provide name here)

a. The PHA has taken the following steps to ensure consistency of this PHA Plan

with the Consolidated Plan for the jurisdiction: (select all that apply):

- The PHA has based its statement of needs of families on its waiting list on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

b. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below) **State of Alabama**

(4) (Reserved)

Use this section to provide any additional information requested by HUD.

10. Project-Based Voucher Program

- a. Yes No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If yes, answer the following questions.
- b. Yes No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option?

If yes, check which circumstances apply:

- Low utilization rate for vouchers due to lack of suitable rental units
- Access to neighborhoods outside of high poverty areas
- Other (describe below:)

- c. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

11. List of Supporting Documents Available for Review for Streamlined Five-Year/ Annual PHA Plans

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans.</i>	Standard 5 Year and Annual Plans; streamlined 5 Year Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan.	5 Year Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input checked="" type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. <input type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service &

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
		Self-Sufficiency
	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
	Any policies governing any Section 8 special housing types <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
	Consortium agreement(s).	Annual Plan: Agency Identification and Operations/ Management
X	Public housing grievance procedures <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Grievance Procedures
	Section 8 informal review and hearing procedures. <input type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs <input checked="" type="checkbox"/> Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
	Consortium agreement(s), if a consortium administers PHA programs.	Joint PHA Plan for Consortia

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
	Consortia Joint PHA Plans ONLY: Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection	Joint PHA Plan for Consortia
	Other supporting documents (optional). List individually.	(Specify as needed)

Agency Plan Public Hearing Comments and Input of Resident Advisory Board

On May 12, 2005, the Jacksonville Housing Authority (JHA) held a public hearing on its FY 2006 Agency Plans, pursuant to 24 CFR part 903.5 and 903.7. Board member Dick Weber presided over the proceedings.

Prior to the hearing, we held 4 meetings with the Resident Advisory Board to revise the plan. There were minor changes to the plan this year, such as some objections that included revisions to our definition of terms to “Violent Criminal Activity”:
Includes any felonious criminal activity that has as one of its elements the use, attempted use, or threatened use of physical force against the person or property of another.

Amended definition:

Includes any felonious criminal activity that has as one of its elements the use, attempted use, or threatened use or physical force substantial enough to cause or be reasonably likely to cause, serious bodily injury or substantial property damage.

Secondly, another policy previously stated “applicants would be denied due to evictions from government housing”. The new policy allows denial for any eviction “for cause” from any rental property. A Legal Aide representative was concerned about ensuring that evictions are “for cause”.

We also gave an update on our Brentwood Hope VI Revitalization Plan.

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Pell City Housing Authority	Grant Type and Number Capital Fund Program Grant No: AL09-P106-501-05 Replacement Housing Factor Grant No.:	Federal FY of Grant: 2005
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Development Number Name/HA - Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates.
	Original	Revised	Actual	Original	Revised	Actual	
AL 106-001	9/30/2007			9/30/2008			

SECTION 8 HOMEOWNERSHIP PROGRAM Addendum to Administrative Plan

The Jacksonville Housing Authority Housing Assistance Division (JHA HAD) has adopted the Homeownership option of the Section 8 voucher assistance program. The Homeownership Program permits eligible participants in the Section 8 housing choice Voucher program, the option of purchasing a home with their Section 8 assistance rather than renting.

Initial Contact

JHA has elected to offer the Section 8 Homeownership option first to participants who have a minimum of one year on the Section 8 Rental Program or Public Housing Program and a participant in the JHA Family Self-Sufficiency (FSS) program. JHA will make an offer to those participants that have completed the homeownership counseling classes, credit repair classes, attended a homeownership briefing, and completed other requirements as deemed necessary by staff with JHA. The participant must have a current minimum gross income of at least \$10,300.

The Vice President of Resident Services and Vice-President of Housing Assistance will determine how many and when to issue homeownership vouchers.

Family Eligibility

- An applicant for the Homeownership Program must have completed at least one full year (365 consecutive days) under the Section 8 rental assistance program and in the Family Self-Sufficiency program. If the family has been a public housing resident for over a year and is selected for, or issued a Housing Choice Voucher, JHA will count the assisted time in Public Housing as eligible time with assistance.
 - To clarify: a) A family must have been in Section 8 or Public Housing (or a combination of the two) for at least a year; AND b) have been participating in FSS.
 - If the family is a current Public Housing resident, they must be living in a community covered under the ROSS Homeownership Grant.
- The applicant must have no debt to JHA or any housing agency and must be paid current with the owner of the rental property.
- The family must be a “first-time homebuyer” in accordance with 24CFR 982.4.
- If any member of the family has defaulted on a mortgage under the homeownership assistance option, the family is not eligible to again participate.

- The head of household or spouse must be employed full time (not less than an average of 30 hours per week) and have been continuously so employed during the year before date of the letter of contact for participation in the Homeowner Program. (Elderly/disabled households do not have this employment requirement, but total income must meet minimums.) The minimum income requirement cannot be met by welfare assistance. A break in employment of over two (2) weeks, even if unemployment compensation were received, will not meet the requirement of being continuously employed.

It will not be considered a break in employment when the Duval County School Board verifies that employment is likely to continue when the client is not working during the summer break. Income will be annualized.

An exception may be considered on a case-by-case basis; for example, if the employer went out of business and the participant can document that she made an effort to find immediate employment in the same field. Maternity leave is not considered a break in employment as long as the mother returns to fulltime employment.

- Before a voucher for the Homeownership Program is issued, the family must have satisfactorily completed a credit and homeownership counseling program with an agency approved by JHA.

Eligible Units

- The unit was under construction with foundation completed, or already existing at the time the family enters into a contract of sale. The criteria will include eligible units defined by HUD, not specifically excluded below.
- The unit is a one-unit property (no duplex or multi-family). It may not be a condominium, cooperative or manufactured housing. It may not be in an area where there is a mandatory association fee in excess of sixty (\$60) dollars per month.
- The unit complies with the Housing Quality Standards under the Section 8 Housing Choice Voucher Program and the Jacksonville City Code as inspected by JHA staff. This inspection can take place prior to the signing of the sales contract.
- The seller must comply with regulations which govern the disclosure of lead base paint status.
- The unit must be inspected by an independent inspector designated and paid for by the family. The inspector must have passed the National Home Inspectors Exam and be approved by JHA. The inspector must also be currently certified by The American Society of Home Inspectors and/or The Florida Association of Building Inspectors. A copy of the inspection report must be given to and

approved by JHA. The buyer will bear no costs for repairs relating to the inspection reports.

In the case of clients who use HeadStart to Homeownership funds, JHA will accept the independent inspection authorized and approved by the Housing Commission (formerly Housing Services Division) for that program.

- Before loan closing, the property must have a clear wood destroying organism report.

Eligible Lender and Financing

- Any lender selected by the family must be approved by JHA. The mortgage lender must be a federally-regulated financial institution. If required by the lender, the loan must be insured or guaranteed by the state or federal government.
- Rate and terms of the mortgage are subject to review and approval by JHA.
- All loans must include escrow to pay taxes and insurance.
- There must not be a penalty for prepayment of any portion of the loan after three (3) years from the effective date of the loan.

- Owner financing will not be approved.
On a case by case basis, an established builder who finances his properties will be considered for approval if the client has selected one of those properties.
- No loan whose interest rate is likely to increase above 12% during the life of the loan will be approved.
- JHA will not approve a balloon mortgage
- JHA will not approve adjustable rate mortgages.
- The downpayment must be at least three (3) percent of the purchase price with at least one (1) percent of that coming from the family's personal resources.
- In no instance, will JHA approve 100% financing.
- The family must not be eligible for a financial gain/profit as a result of the closing transaction.

- Personal resources cannot include funds from any other source, including lump sum gifts from family members over the past six (6) months. FSS escrow funds are considered personal funds.

- A lease-purchase agreement will not be approved.

- JHA may disapprove proposed financing or other debt if it is believed to be unaffordable. Determination will be based on JHA review of information submitted on the Monthly Income and Expense Disclosure form.

- After the initial loan closing, any home equity loan or other loan that puts a lien on the assisted property may only be obtained by the client upon approval of the JHA, if it is deemed by JHA that such loan is both reasonably necessary for the property and affordable for the family".

Statement of Homeowner Obligations

The family must agree, by signature of all those who are eighteen (18) years and older, to the "Statement of Homeowner Obligations" in the written form provided by JHA. This will include continued satisfactory participation in the FSS program (if applicable) until completion of the contract and Individual Service and Training Plan

Issuance of Homeownership Voucher

Once a rental assistance participant has been determined eligible for homeownership assistance AND they have satisfactorily completed the required credit and homeownership counseling programs, the family will be issued a voucher which will be good for 120 days. During this time, the family will:

1. Find a lender (approved by JHA) willing to process their mortgage; get pre-approval for financing
2. Find a suitable unit for purchase
3. Present an unsigned sales contract to the JHA for approval
 - a. Upon receipt of the contract, time on the voucher can be tolled
4. Request an HQS inspection
5. Sign the sales contract when HQS has passed
6. Request an independent inspection of the house
 - a. Tolling will be considered with the request
7. Obtain approved financing
8. Close on the purchase

The family must complete numbers one(1) through seven (7) above before the end of 120 days. If the family has not contracted for a suitable housing unit for purchase, they may be issued a Housing Choice Rental Voucher, good for 60 days and continue with rental assistance. If closing has not taken place before the end of 120 days, the JHA may extend the voucher for 60 days; and if needed for completion of building, for an additional 60 days (making a total of 240 days from the date of issuance.) Any extraordinary circumstances which may require longer time must be approved.

Homeownership Counseling

All family members age eighteen (18) and over who will reside in the household must complete an educational series on homeownership conducted by an agency approved by JHA. These can be, but are not limited to Housing Partnership of Jacksonville, Jacksonville Urban League, and Family Counseling Service.

Topics during the counseling may include:

- Budgeting and money management
- Credit counseling
- Home maintenance (including care of the grounds)
- Negotiation of purchase price
- Obtaining homeowner financing
- How to search for a home, including considering poverty in the area
- Fair housing practices and enforcement agencies

The homeownership counseling course will be good for 6 months from the date of completion. It will have to be repeated if more than six months have passed before the closing of the purchase.

At the time of each annual recertification, each family member eighteen (18) years and older will be required to meet with a counselor to review the obligations of homeownership and discuss any credit problems the family may have encountered.

JHA reserves the right to communicate with a lender on a regular basis to verify that monthly mortgage payments are being made on a timely basis. Also, the FSS Service Coordinator will be required to complete an annual visit in the home to discuss matters pertaining to homeownership.

Housing Assistance Payments

Upon issuance of the homeownership voucher, a preliminary Housing Assistance Payment (HAP) will be determined in accordance with regulations.

The HAP payment will be sent directly to the homeowner.. The homeowner will be responsible for making the entire mortgage payment. On a case-by-case basis, if the lender prefers, JHA will send the HAP to the lender in the lender's name. The client will be advised of the importance of sending the full amount to the mortgage servicer. Within thirty days of the anniversary of the date of closing, and annually thereafter, JHA will re-evaluate the income and family composition and make changes to the HAP as

necessary. Within 10 business days of the occurrence, the family will be required to notify JHA in writing of any change in family size or income. Any decrease will cause the JHA to establish a new HAP effective the following month of timely reporting. An increase will be handled in accordance with Administrative Plan policy for the rental assistance program.

Homeownership Expense

The monthly JHA allowance for maintenance, major repairs and replacements is one (1) percent of the purchase price divided by twelve (12).

Portability

The JHA will decline to accept a portable homeownership family. This will be effective while required participation in the JHA FSS program is an eligibility factor and until such time this policy is changed by revision to this Plan JHA will also decline to port out any family who has become a homeowner. If the house is sold and the family still qualifies for Section 8 assistance, the family may be issued a rental voucher to move. The proceeds from the sale of the house will be included in the income calculation as an asset recently disposed of.

Buying Another Home

A family receiving homeownership assistance may purchase another home while receiving assistance, upon sale of the first, only after a period of residency of five (5) years. The time that assistance is given is cumulative to any purchase and cannot exceed fifteen (15) years if the loan term is twenty (20) years or longer.

Under no circumstance may a family member have a present ownership interest in a second residence while receiving homeownership assistance.

Elderly and Disabled

In all cases, there can be exceptions as allowed in the regulations for households of elderly and disabled persons.

Approved 11-19-01

Revisions approved 4-10-02

Revisions approved 12-16-02

Revisions approved 1-27-03 (escrow and prepayment penalty)

Revisions approved 2-23-04

CAPITAL FUND PROGRAM TABLES

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacment Housing Factor (CFP/CFPRHF)
Part I: Summary

PHA Name: Pell City Housing Authority	Grant Type and Number Capital Fund Program Grant No: AL09-P106-501-02 Replacement Housing Factor Grant No.:	Federal FY of Grant: 2002
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/2005 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$ -		\$ -	\$ -
2	1406 Operations	\$ -		\$ -	\$ -
3	1408 Management Improvements	\$ 10,000	\$ 2,740	\$ 2,740	\$ 2,740
4	1410 Administration	\$ -		\$ -	\$ -
5	1411 Audit	\$ -		\$ -	\$ -
6	1415 Liquidated Damages	\$ -		\$ -	\$ -
7	1430 Fees and Costs	\$ 8,842	\$ 4,369	\$ 4,369	\$ 4,369
8	1440 Site Acquisition	\$ -		\$ -	\$ -
9	1450 Site Improvement	\$ -		\$ -	\$ -
10	1460 Dwelling Structures	\$ 126,519	\$ 139,059	\$ 139,059	\$ 139,059
11	1465.1 Dwelling Equipment - Nonexpendable	\$ -		\$ -	\$ -
12	1470 Nondwelling Structures	\$ -		\$ -	\$ -
13	1475 Nondwelling Equipment	\$ -		\$ -	\$ -
14	1485 Demolition	\$ -		\$ -	\$ -
15	1490 Replacement Reserve	\$ -		\$ -	\$ -
16	1492 Moving to Work Demonstration	\$ -		\$ -	\$ -
17	1495.1 Relocation Costs	\$ 2,000	\$ 1,193	\$ 1,193	\$ 1,193
18	1499 Development Activities	\$ -		\$ -	\$ -
19	1501 Collaterization or Debt Service	\$ -		\$ -	\$ -
20	1502 Contingency	\$ -		\$ -	\$ -

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacment Housing Factor (CFP/CFPRHF)
 Summary**

Part I:

PHA Name: Pell City Housing Authority	Grant Type and Number Capital Fund Program Grant No: AL09-P106-501-02 Replacement Housing Factor Grant No.:	Federal FY of Grant: 2002
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/2005 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2-20)	\$ 147,361	\$ 147,361	\$ 147,361	\$ 147,361
22	Amount of line 21 Related to LBP Activities	\$ -		\$ -	\$ -
23	Amount of line 21 Related to Section 504 Compliance	\$ -		\$ -	\$ -
24	Amount of line 21 Related to Security - Soft Costs	\$ -		\$ -	\$ -
25	Amount of Line 21 Related to Security - Hard Costs	\$ -		\$ -	\$ -
26	Amount of Line 21 Related to Energy Conservation Measures	\$ -		\$ -	\$ -

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Pell City Housing Authority		Grant Type and Number Capital Fund Program Grant No: AL09-P106-501-02 Replacement Housing Factor Grant No.:			Federal FY of Grant: 2002			
Development Number Name/HA - Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
AL 106-001	Management Improvements	1408		\$ 10,000	\$ 2,740	\$ 2,740	\$ 2,740	
	Replace Sheet Rock	1460		\$ 20,000	\$ 20,000	\$ 20,000	\$ 20,000	
	Replace Tile and Carpet	1460		\$ 20,000	\$ 20,000	\$ 20,000	\$ 20,000	
	Replace Appliances, Plumbing	1460		\$ 30,000	\$ 30,000	\$ 30,000	\$ 30,000	
	Replace Doors, Windows Porches	1460		\$ 38,519	\$ 38,519	\$ 38,519	\$ 38,519	
	Restore Units	1460		\$ 18,000	\$ 30,540	\$ 30,540	\$ 30,540	
	Architect Fees	1430		\$ 8,842	\$ 4,369	\$ 4,369	\$ 4,369	
	Relocation Costs	1495		\$ 2,000	\$ 1,193	\$ 1,193	\$ 1,193	
				\$ 147,361	\$ 147,361	\$ 147,361	\$ 147,361	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Pell City Housing Authority		Grant Type and Number Capital Fund Program Grant No: AL09-P106-501-02 Replacement Housing Factor Grant No.:				Federal FY of Grant: 2002	
Development Number Name/HA - Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates.
	Original	Revised	Actual	Original	Revised	Actual	
AL 106-001	9/30/2004			9/30/2005			

CAPITAL FUND PROGRAM TABLES

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacment Housing Factor (CFP/CFPRHF)
Part I: Summary**

PHA Name: Pell City Housing Authority	Grant Type and Number Capital Fund Program Grant No: AL09-P106-501-03 Replacement Housing Factor Grant No.:	Federal FY of Grant: 2003
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[] Original Annual Statement [] Reserve for Disasters/Emergencies [] Revised Annual Statement (revision no:)
[X] Performance and Evaluation Report for Period Ending: 03/31/2005 [] Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$ -		\$ -	\$ -
2	1406 Operations	\$ -		\$ -	\$ -
3	1408 Management Improvements	\$ 8,000	\$ 8,000	\$ 8,000	\$ 7,770
4	1410 Administration	\$ -		\$ -	\$ -
5	1411 Audit	\$ -		\$ -	\$ -
6	1415 Liquidated Damages	\$ -		\$ -	\$ -
7	1430 Fees and Costs	\$ 4,350	\$ 4,350	\$ 4,350	\$ -
8	1440 Site Acquisition	\$ -		\$ -	\$ -
9	1450 Site Improvement	\$ -		\$ -	\$ -
10	1460 Dwelling Structures	\$ 62,431	\$ 62,431	\$ 62,431	\$ 51,393
11	1465.1 Dwelling Equipment - Nonexpendable	\$ -		\$ -	\$ -
12	1470 Nondwelling Structures	\$ -		\$ -	\$ -
13	1475 Nondwelling Equipment	\$ -		\$ -	\$ -
14	1485 Demolition	\$ -		\$ -	\$ -
15	1490 Replacement Reserve	\$ -		\$ -	\$ -
16	1492 Moving to Work Demonstration	\$ -		\$ -	\$ -
17	1495.1 Relocation Costs	\$ -		\$ -	\$ -
18	1499 Development Activities	\$ -		\$ -	\$ -
19	1501 Collaterization or Debt Service	\$ 42,936	\$ 42,936	\$ 42,936	\$ -
20	1502 Contingency	\$ -		\$ -	\$ -

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacment Housing Factor (CFP/CFPRHF)
 Summary**

Part I:

PHA Name: Pell City Housing Authority	Grant Type and Number Capital Fund Program Grant No: AL09-P106-501-03 Replacement Housing Factor Grant No.:	Federal FY of Grant: 2003
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/2005 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2-20)	\$ 117,717	\$ 117,717	\$ 117,717	\$ 59,163
22	Amount of line 21 Related to LBP Activities	\$ -		\$ -	\$ -
23	Amount of line 21 Related to Section 504 Compliance	\$ -		\$ -	\$ -
24	Amount of line 21 Related to Security - Soft Costs	\$ -		\$ -	\$ -
25	Amount of Line 21 Related to Security - Hard Costs	\$ -		\$ -	\$ -
26	Amount of Line 21 Related to Energy Conservation Measures	\$ -		\$ -	\$ -

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Pell City Housing Authority		Grant Type and Number Capital Fund Program Grant No: AL09-P106-501-03 Replacement Housing Factor Grant No.:				Federal FY of Grant: 2003		
Development Number Name/HA - Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
AL 106-001	Install new interior trim for							
Crestview Gardens	continuing mod project	1460	18	\$ 9,000	\$ 9,000	\$ 9,000	\$ -	
	Clean and seal exterior brick	1460	18	\$ 7,500	\$ 7,500	\$ 7,500	\$ -	
	Install new exterior vinyl siding,							
	soffit, and fascia	1460	18	\$ 45,930	\$ 45,930	\$ 45,930	\$ 51,393	
PHA Wide	Debt Service	1501		\$ 42,936	\$ 42,936	\$ 42,936	\$ -	
	A/E Fees	1430		\$ 4,350	\$ 4,350	\$ 4,350	\$ -	
	Management Improvements	1408		\$ 8,000	\$ 8,000	\$ 8,000	\$ 7,770	
				\$ 117,716	\$ 117,716	\$ 117,716	\$ 59,163	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Pell City Housing Authority	Grant Type and Number Capital Fund Program Grant No: AL09-P106-501-03 Replacement Housing Factor Grant No.:	Federal FY of Grant: 2003
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Development Number Name/HA - Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates.
	Original	Revised	Actual	Original	Revised	Actual	
AL 106-001	9/30/2005			9/30/2006			

CAPITAL FUND PROGRAM TABLES

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacment Housing Factor (CFP/CFPRHF)
Part I: Summary**

PHA Name: Pell City Housing Authority	Grant Type and Number Capital Fund Program Grant No: AL09-P106-502-03 Replacement Housing Factor Grant No.:	Federal FY of Grant: 2003
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[] Original Annual Statement [] Reserve for Disasters/Emergencies [] Revised Annual Statement (revision no:)
[X] Performance and Evaluation Report for Period Ending: 03/31/2005 [] Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$ -		\$ -	\$ -
2	1406 Operations	\$ -		\$ -	\$ -
3	1408 Management Improvements	\$ -		\$ -	\$ -
4	1410 Administration	\$ -		\$ -	\$ -
5	1411 Audit	\$ -		\$ -	\$ -
6	1415 Liquidated Damages	\$ -		\$ -	\$ -
7	1430 Fees and Costs	\$ -	\$ 3,131	\$ 3,131	\$ -
8	1440 Site Acquisition	\$ -		\$ -	\$ -
9	1450 Site Improvement	\$ -		\$ -	\$ -
10	1460 Dwelling Structures	\$ 23,460	\$ 17,329	\$ 17,329	\$ 8,609
11	1465.1 Dwelling Equipment - Nonexpendable	\$ -		\$ -	\$ -
12	1470 Nondwelling Structures	\$ -		\$ -	\$ -
13	1475 Nondwelling Equipment	\$ -		\$ -	\$ -
14	1485 Demolition	\$ -		\$ -	\$ -
15	1490 Replacement Reserve	\$ -		\$ -	\$ -
16	1492 Moving to Work Demonstration	\$ -		\$ -	\$ -
17	1495.1 Relocation Costs	\$ -	\$ 3,000	\$ 3,000	\$ -
18	1499 Development Activities	\$ -		\$ -	\$ -
19	1501 Collaterization or Debt Service	\$ -		\$ -	\$ -
20	1502 Contingency	\$ -		\$ -	\$ -

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacment Housing Factor (CFP/CFPRHF)
 Summary**

Part I:

PHA Name: Pell City Housing Authority	Grant Type and Number Capital Fund Program Grant No: AL09-P106-502-03 Replacement Housing Factor Grant No.:	Federal FY of Grant: 2003
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/2005 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2-20)	\$ 23,460	\$ 23,460	\$ 23,460	\$ 8,609
22	Amount of line 21 Related to LBP Activities	\$ -		\$ -	\$ -
23	Amount of line 21 Related to Section 504 Compliance	\$ -		\$ -	\$ -
24	Amount of line 21 Related to Security - Soft Costs	\$ -		\$ -	\$ -
25	Amount of Line 21 Related to Security - Hard Costs	\$ -		\$ -	\$ -
26	Amount of Line 21 Related to Energy Conservation Measures	\$ -		\$ -	\$ -

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Pell City Housing Authority		Grant Type and Number Capital Fund Program Grant No: AL09-P106-502-03 Replacement Housing Factor Grant No.:				Federal FY of Grant: 2003			
Development Number Name/HA - Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Funds Obligated	Funds Expended		
AL 106-001	Renovate Units in								
Crestview Gardens	continuing mod project	1460	18	\$ 23,460	\$ 15,540	\$ 15,540	\$ 8,609		
	A & E Fees	1430			\$ 4,920	\$ 4,920			
	Relocation of Res.	1495.1			\$ 3,000	\$ 3,000			
				\$ 23,460	\$ 23,460	\$ 23,460	\$ 8,609		

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacment Housing Factor (CFP/CFPRHF)
 Part III: Implementation Schedule**

PHA Name: Pell City Housing Authority	Grant Type and Number Capital Fund Program Grant No: AL09-P106-502-03 Replacement Housing Factor Grant No.:	Federal FY of Grant: 2003
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Development Number Name/HA - Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates.
	Original	Revised	Actual	Original	Revised	Actual	
AL 106-001	9/30/2005			9/30/2006			

Capital Fund Program Five-Year Action Plan
Part I: Summary

PHA Name Pell City Housing Authority		X Original 5-Year Plan Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant:2006 PHA FY:09/30/2006	Work Statement for Year 3 FFY Grant:2007 PHA FY:09/30/2007	Work Statement for Year 4 FFY Grant:2008 PHA FY:09/30/2008	Work Statement for Year 5 FFY Grant:2009 PHA FY:09/30/2009
	Annual Statement				
PHA Wide / 106-1		\$ 136,328	\$ 136,328	\$ 136,328	\$ 136,328
		\$ -	\$ -	\$ -	\$ -
		\$ -	\$ -	\$ -	\$ -
		\$ -	\$ -	\$ -	\$ -
		\$ -	\$ -	\$ -	\$ -
		\$ -	\$ -	\$ -	\$ -
		\$ -	\$ -	\$ -	\$ -
		\$ -	\$ -	\$ -	\$ -
		\$ -	\$ -	\$ -	\$ -
		\$ -	\$ -	\$ -	\$ -
		\$ -	\$ -	\$ -	\$ -
		\$ -	\$ -	\$ -	\$ -
CFP Funds Listed for 5-year planning		\$ 136,328	\$ 136,328	\$ 136,328	\$ 136,328
Replacement Housing Factor Funds					

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages -- Work Activities

Activities for Year 1	Activities for Year 2 FFY Grant 2006 PHA FY: 9/30/2006			Activities for Year 3 FFY Grant 2007 PHA FY: 6/30/2007			
	Development Name/Number	Major Work Categories	Estimated Cost		Development Name/Number	Major Work Categories	Estimated Cost
See							
Annual	PHA Wide / 106-1,3	Structural Problems	\$ 10,000	PHA Wide / 106-1,3	Structural Problems	\$ 10,000	
Statement	PHA Wide / 106-1,3	Kitchens - Cabinets	\$ 20,000	PHA Wide / 106-1,3	Kitchens - Cabinets	\$ 20,000	
	PHA Wide / 106-1,3	Flooring	\$ 15,000	PHA Wide / 106-1,3	Flooring	\$ 15,000	
	PHA Wide / 106-1,3	Repl. Plaster Walls/Rehab Units	\$ 48,744	PHA Wide / 106-1,3	Repl. Plaster Walls/Rehab Units	\$ 48,744	
	PHA Wide / 106-1,3	Bond Payments	\$ 42,584	PHA Wide / 106-1,3	Bond Payments	\$ 42,584	
Total CFP Estimated Cost			\$ 136,328				\$ 136,328

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages -- Work Activities**

Activities for Year 4 FFY Grant 2008 PHA FY: 9/30/2008			Activities for Year 5 FFY Grant 2009 PHA FY: 9/30/2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
PHA Wide / 106-1,3	Structural Problems	\$ 10,000	PHA Wide / 106-1,3	Structural Problems	\$ 10,000
PHA Wide / 106-1,3	Kitchens - Cabinets	\$ 20,000	PHA Wide / 106-1,3	Kitchens - Cabinets	\$ 20,000
PHA Wide / 106-1,3	Flooring	\$ 15,000	PHA Wide / 106-1,3	Flooring	\$ 15,000
PHA Wide / 106-1,3	Repl. Plaster Walls/Rehab U	\$ 48,744	PHA Wide / 106-1,3	Repl. Plaster Walls/Rehab Units	\$ 48,744
PHA Wide / 106-1,3	Bond Payments	\$ 42,584	PHA Wide / 106-1,3	Bond Payments	\$ 42,584
Total CFP Estimated Cost		\$ 136,328			\$ 136,328

CAPITAL FUND PROGRAM TABLES

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacment Housing Factor (CFP/CFPRHF)
Part I: Summary**

PHA Name: Pell City Housing Authority	Grant Type and Number Capital Fund Program Grant No: AL09-P106-501-04 Replacement Housing Factor Grant No.:	Federal FY of Grant: 2004
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/2005 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$ -		\$ -	\$ -
2	1406 Operations	\$ -		\$ -	\$ -
3	1408 Management Improvements	\$ 8,000	\$ 8,000	\$ 8,000	\$ 6,173
4	1410 Administration	\$ -		\$ -	\$ -
5	1411 Audit	\$ -		\$ -	\$ -
6	1415 Liquidated Damages	\$ -		\$ -	\$ -
7	1430 Fees and Costs	\$ 4,350	\$ 5,300	\$ 5,300	\$ -
8	1440 Site Acquisition	\$ -		\$ -	\$ -
9	1450 Site Improvement	\$ -		\$ -	\$ -
10	1460 Dwelling Structures	\$ 85,891	\$ 80,444	\$ 80,444	\$ -
11	1465.1 Dwelling Equipment - Nonexpendable	\$ -		\$ -	\$ -
12	1470 Nondwelling Structures	\$ -		\$ -	\$ -
13	1475 Nondwelling Equipment	\$ -		\$ -	\$ -
14	1485 Demolition	\$ -		\$ -	\$ -
15	1490 Replacement Reserve	\$ -		\$ -	\$ -
16	1492 Moving to Work Demonstration	\$ -		\$ -	\$ -
17	1495.1 Relocation Costs	\$ -		\$ -	\$ -
18	1499 Development Activities	\$ -		\$ -	\$ -
19	1501 Collaterization or Debt Service	\$ 42,936	\$ 42,584	\$ 42,584	\$ -
20	1502 Contingency	\$ -		\$ -	\$ -

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacment Housing Factor (CFP/CFPRHF)
 Summary**

Part I:

PHA Name: Pell City Housing Authority	Grant Type and Number Capital Fund Program Grant No: AL09-P106-501-04 Replacement Housing Factor Grant No.:	Federal FY of Grant: 2004
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/2005 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2-20)	\$ 141,177	\$ 136,328	\$ 136,328	\$ 6,173
22	Amount of line 21 Related to LBP Activities	\$ -		\$ -	\$ -
23	Amount of line 21 Related to Section 504 Compliance	\$ -		\$ -	\$ -
24	Amount of line 21 Related to Security - Soft Costs	\$ -		\$ -	\$ -
25	Amount of Line 21 Related to Security - Hard Costs	\$ -		\$ -	\$ -
26	Amount of Line 21 Related to Energy Conservation Measures	\$ -		\$ -	\$ -

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Pell City Housing Authority		Grant Type and Number Capital Fund Program Grant No: AL09-P106-501-04 Replacement Housing Factor Grant No.:				Federal FY of Grant: 2004		
Development Number Name/HA - Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
AL 106-001	Install new interior trim for							
Crestview Gardens	continuing mod project	1460	18	\$ 9,000	\$ 8,000	\$ 8,000	\$ -	
	Clean and seal exterior brick	1460	18	\$ 7,500	\$ 7,500	\$ 7,500	\$ -	
	Install new exterior vinyl siding, soffit, and fascia	1460	18	\$ 69,390	\$ 64,944	\$ 64,944	\$ -	
PHA Wide	Debt Service	1501		\$ 42,936	\$ 42,584	\$ 42,584	\$ -	
	A/E Fees	1430		\$ 4,350	\$ 5,300	\$ 5,300	\$ -	
	Management Improvements	1408		\$ 8,000	\$ 8,000	\$ 8,000	\$ 6,173	
				\$ 141,176	\$ 136,328	\$ 136,328	\$ 6,173	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Pell City Housing Authority		Grant Type and Number Capital Fund Program Grant No: AL09-P106-501-04 Replacement Housing Factor Grant No.:					Federal FY of Grant: 2004
Development Number Name/HA - Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates.
	Original	Revised	Actual	Original	Revised	Actual	
AL 106-001	9/30/2006			9/30/2007			