

# PHA Plans

## Streamlined Annual Version

U.S. Department of Housing and  
Urban Development  
Office of Public and Indian  
Housing

OMB No. 2577-0226  
(exp. 05/31/2006)

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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

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# Streamlined Annual PHA Plan for Fiscal Year: 2004

## PHA Name: Auburn Housing Authority

**NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.**

## Streamlined Annual PHA Plan Agency Identification

**PHA Name:** Auburn Housing Authority

**PHA Number:** ME007

**PHA Fiscal Year Beginning:** (mm/yyyy) 04/2004

**PHA Programs Administered:**

**Public Housing and Section 8**

**Section 8 Only**

**Public Housing Only**

Number of public housing units: 191

Number of S8 units:

Number of public housing units:

Number of S8 units: 590

**PHA Consortia:** (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

**PHA Plan Contact Information:**

Name: Richard S. Whiting

Phone: 207-784-7351

TDD: 207-784-5545

Email (if available): Richard\_whiting@onf.com

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

PHA's main administrative office

PHA's development management offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plan revised policies or program changes (including attachments) are available for public review and inspection.  Yes  No.

If yes, select all that apply:

Main administrative office of the PHA

PHA development management offices

Main administrative office of the local, county or State government

Public library  PHA website  Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

Main business office of the PHA

PHA development management offices

Other (list below)

## Streamlined Annual PHA Plan Fiscal Year 2004

[24 CFR Part 903.12(c)]

### **Table of Contents**

[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

#### **A. PHA PLAN COMPONENTS**

- 1. Site-Based Waiting List Policies  
**903.7(b)(2) Policies on Eligibility, Selection, and Admissions**
- 2. Capital Improvement Needs  
**903.7(g) Statement of Capital Improvements Needed**
- 3. Section 8(y) Homeownership  
**903.7(k)(1)(i) Statement of Homeownership Programs**
- 4. Project-Based Voucher Programs
- 5. PHA Statement of Consistency with Consolidated Plan. Complete only if PHA has changed any policies, programs, or plan components from its last Annual Plan.
- 6. Supporting Documents Available for Review
- 7. Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report w/ attachment **me007a001**
- 8. Capital Fund Program 5-Year Action Plan see attachments **me007b001, me007c001**

#### **B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE**

**Form HUD-50076, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Streamlined Annual Plan** identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA’s principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

**Form HUD-50070, Certification for a Drug-Free Workplace;**

**Form HUD-50071, Certification of Payments to Influence Federal Transactions;** and

**Form SF-LLL & SF-LLL a, Disclosure of Lobbying Activities.**

### **1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies)**

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

#### **A. Site-Based Waiting Lists-Previous Year**

- 1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to B.

<b>Site-Based Waiting Lists</b>
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<b>Development Information:</b> (Name, number, location)	<b>Date Initiated</b>	<b>Initial mix of Racial, Ethnic or Disability Demographics</b>	<b>Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL</b>	<b>Percent change between initial and current mix of Racial, Ethnic, or Disability demographics</b>
Towne House Auburn ME36POO7001	Since Inception	Unknown	Disabled 65% Non Hisp.100% White 100%	Unknown
Esplanade Auburn ME368023001	Since Inception	Unknown	Disabled 53% Non Hisp.100% Black 1% White 99%	Unknown
Barker Mill Arms Auburn ME36H017093	Since Inception	Unknown	Disabled 62% Non Hisp.100% Black 2% White 98%	Unknown
Merrill Estates Mechanic Falls ME36P007007	Since Inception	Unknown	Disabled 79% Non.Hisp100% White 100%	Unknown
Family Development Auburn ME36P007002	Since Inception	Unknown	Disabled 16% Hispanic 3% Non. Hisp 97% Black 35% White 65%	Unknown
Broadview Acres Auburn ME36P007010	Since Inception	Unknown	Disabled 14% Hispanic 3% Non. Hisp 97% Black 18% White 80% Asian/Pac 1% Native Am. 1%	Unknown
Lincoln School Auburn ME36P007008	Since Inception	Unknown	Disabled 13% Hispanic 3% Non. Hisp 97% Black 15% White 83% Asian/Pac. 2%	Unknown

2. What is the number of site based waiting list developments to which families may apply at one time? Families - 2  
Elderly - 4
3. How many unit offers may an applicant turn down before being removed from the site-based waiting list? 2
4.  Yes  No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and

describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

### B. Site-Based Waiting Lists – Coming Year

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component.

1. How many site-based waiting lists will the PHA operate in the coming year? 7
2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?
3.  Yes  No: May families be on more than one list simultaneously  
If yes, how many lists? Family 2  
Elderly 4
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
  - PHA main administrative office
  - PHA development management offices @ Esplanade
  - Management offices at developments with site-based waiting lists
  - At the development to which they would like to apply
  - Other (list below)

## 2. Capital Improvement Needs

[24 CFR Part 903.12 (c), 903.7 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

### A. Capital Fund Program

1.  Yes  No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.
2.  Yes  No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

### B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

**Applicability:** All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

1.  Yes  No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary).
2. Status of HOPE VI revitalization grant(s):

HOPE VI Revitalization Grant Status	
a. Development Name:	
b. Development Number:	
c. Status of Grant:	
	<input type="checkbox"/> Revitalization Plan under development
	<input type="checkbox"/> Revitalization Plan submitted, pending approval
	<input type="checkbox"/> Revitalization Plan approved
	<input type="checkbox"/> Activities pursuant to an approved Revitalization Plan underway

3.  Yes  No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name(s) below:
4.  Yes  No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:
5.  Yes  No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

**Auburn Housing Authority plans to merge 20 Efficiency units at the Lake Auburn Towne House project (7-1) into 10 one-bedroom units. The efficiency apartments are difficult to rent resulting in an unacceptable number of vacancies. Currently there are 4 vacancies that can be converted to 1-BR units. These conversions will take place as vacancies occur. It is projected that the project can be completed over a three-year period. The costs for these conversions are reflected in the 5 Year Action Plan as well as in the Annual Statement for 501-04 and the revised Annual Statement for 501-03. Costs for the conversions are estimated to be \$2,500 per unit with materials provided by AHA and using AHA staff force account labor.**

**3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program**  
(if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)]

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to the next component; if “yes”, complete each program description below (copy and complete questions for each program identified.)

2. Program Description:

a. Size of Program

Yes  No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year?

b. PHA-established eligibility criteria

Yes  No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria:

c. What actions will the PHA undertake to implement the program this year (list)?

3. Capacity of the PHA to Administer a Section 8 Homeownership Program:

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family’s resources.
- Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below):
- Demonstrating that it has other relevant experience (list experience below):

**4. Use of the Project-Based Voucher Program**

**Intent to Use Project-Based Assistance**

Yes  No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If the answer is “no,” go to the next component. If yes, answer the following questions.

1.  Yes  No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply:
- low utilization rate for vouchers due to lack of suitable rental units
  - access to neighborhoods outside of high poverty areas
  - other (describe below:)
2. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

### **5. PHA Statement of Consistency with the Consolidated Plan**

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary) only if the PHA has provided a certification listing program or policy changes from its last Annual Plan submission.

1. Consolidated Plan jurisdiction: City of Auburn, Maine
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
- The PHA has based its statement of needs of families on its waiting lists on the needs expressed in the Consolidated Plan/s.
  - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
  - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
  - Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
  - Other: (list below)
3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The City of Auburn is considering sponsorship of a financial counseling program benefiting our public housing residents and Section 8 participants.

### **6. Supporting Documents Available for Review for Streamlined Annual PHA Plans**

PHAs are to indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.



<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;</i>	5 Year and Annual Plans
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan</i>	Streamlined Annual Plans
X	<i>Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.</i>	5 Year and standard Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA's public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Deconcentration Income Analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
N/A	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
X	Any policies governing any Section 8 special housing types <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public	Annual Plan: Capital Needs

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
	housing.	
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
N/A	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs <input checked="" type="checkbox"/> Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
X	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
	Consortium agreement(s) and for Consortium Joint PHA Plans <u>Only</u> : Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection.	Joint Annual PHA Plan for Consortia: Agency Identification and Annual Management and Operations

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Auburn Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: ME 36P 007 501 01 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2001
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09/30/03 <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	0.00	0.00	0.00	0.00
3	1408 Management Improvements	5,318.25	5,318.25	5,318.25	5,318.25
4	1410 Administration	32,724.00	32,724.00	32,724.00	32,724.00
5	1411 Audit	0.00	0.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	18,482.36	18,647.75	18,647.75	18,647.75
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	0.00	0.00	0.00	0.00
10	1460 Dwelling Structures	189,732.58	199,926.58	199,926.58	199,926.58
11	1465.1 Dwelling Equipment-Nonexpendable	10,407.50	10,407.50	10,407.50	10,407.50
12	1470 Nondwelling Structures	50,832.39	40,473.00	40,473.00	40,473.00
13	1475 Nondwelling Equipment	19,743.92	19,743.92	19,743.92	19,743.92
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1490 Replacement Reserve	0.00	0.00	0.00	0.00
16	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
17	1495.1 Relocation Costs	0.00	0.00	0.00	0.00
18	1499 Development Activities	0.00	0.00	0.00	0.00
20	1502 Contingency	0.00	0.00	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2–20)	327,241.00	327,241.00	327,241.00	327,241.00
22	Amount of line 21 Related to LBP Activities	0.00	0.00	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0.00	0.00	0.00	0.00
24	Amount of line 21 Related to Security – Soft Costs	0.00	0.00	0.00	0.00
25	Amount of Line 21 Related to Security – Hard Costs	0.00	0.00	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0.00	0.00	0.00	0.00

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part II: Supporting Pages</b>								
PHA Name: Auburn Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: ME 36P 007 501 01 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
ME 7-1	Sealcoat/stripe driveways/parking lot	1450		0.00	0.00	0.00	0.00	
ME 7-1	Pave pedestrian walkways	1450		0.00	0.00	0.00	0.00	
ME 7-1	Exterior seal/caulk	1460		0.00	0.00	0.00	0.00	
ME 7-1	Install rubber safety treads—replace VCT landing	1460		0.00	0.00	0.00	0.00	
ME 7-1	Elevator upgrade to code	1460		35,953.00	35,953.00	35,953.00	35,953.00	
ME 7-2	Replace VCT/carpet, Community Bldg	1470		0.00	0.00	0.00	0.00	
ME 7-2	Replace hot water storage tanks	1460		0.00	0.00	0.00	0.00	
ME 7-2	Replace sinks/countertops	1460	25	0.00	0.00	0.00	0.00	
ME7-2	Exterior siding	1460		153,779.58	163,973.58	163,973.58	163,973.58	
ME 7-2	Refrigerators	1465.1	25	10,407.50	10,407.50	10,407.50	10,407.50	
ME 7-2	Paint interior rooms, Community Bldg	1470		6,900.00	6,900.00	6,900.00	6,900.00	
ME 7-2	Replace Exterior Siding – Comm Bldg	1470		36,530.39	26,171.00	26,171.00	26,171.00	
ME 7-2	Replace kitchen appliances, Comm. Bldg	1475		0.00	0.00	0.00	0.00	
ME 7-7	No Work Items							
ME 7-8	Reface kitchen cabinets	1460		0.00	0.00	0.00	0.00	
ME 7-10	Construct front entry roofs	1460		0.00	0.00	0.00	0.00	
ME 7-10	Replace shutters	1460		0.00	0.00	0.00	0.00	

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: Auburn Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME 36P 007 501 01 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
ME 7-10	Construct court for basketball/multi use	1450		0.00	0.00	0.00	0.00	
PHA Wide	Equipment-work order vehicle	1475		19,743.92	19,743.92	19,743.92	19,743.92	
Management	A/E plan storage system/CAD, etc.	1408		5,318.25	5,318.25	5,318.25	5,318.25	
Improvements	Pro-ration of salaries	1410		32,724.00	32,724.00	32,724.00	32,724.00	
	Architectural and Engineering Fees	1430		18,482.36	18,647.75	18,647.75	18,647.75	
	<b>Contingency</b>	1502		0.00	0.00	0.00	0.00	
	Office Renovation	1470		7,402.00	7,402.00	7,402.00	7,402.00	

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part III: Implementation Schedule**

PHA Name: Auburn Housing Authority		Grant Type and Number Capital Fund Program No: ME 36P 007 501 01 Replacement Housing Factor No:					Federal FY of Grant: 2001
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
ME 7-1	06/03			06/05			
ME 7-2	06/03			06/05			
ME 7-7	06/03			06/05			
ME 7-8	06/03			06/05			
ME 7-10	06/03			06/05			
PHA Wide Management Improvement	06/03			06/05			

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>						
<b>PHA Name:</b> Auburn Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: ME 36P 007 501 02 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2002	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09/30/03 <input type="checkbox"/> Final Performance and Evaluation Report						
Lin e No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds	0.00	0.00	0.00	0.00	
2	1406 Operations	10,251.00	17,351.00	10,251.00	10,251.00	
3	1408 Management Improvements	44,000.00	50,943.00	41,646.93	22,331.93	
4	1410 Administration	31,149.00	31,149.00	31,149.00	22,499.00	
5	1411 Audit	0.00	0.00	0.00	0.00	
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00	
7	1430 Fees and Costs	20,000.00	20,000.00	15,448.01	15,013.01	
8	1440 Site Acquisition	0.00	0.00	0.00	0.00	
9	1450 Site Improvement	26,000.00	35,150.00	21,150.00	0.00	
10	1460 Dwelling Structures	180,093.00	156,900.00	107,900.00	5,700.00	
11	1465.1 Dwelling Equipment—Nonexpendable	0.00	0.00	0.00	0.00	
12	1470 Non-Dwelling Structures	0.00	0.00	0.00	0.00	
13	1475 Non-Dwelling Equipment	0.00	0.00	0.00	0.00	
14	1485 Demolition	0.00	0.00	0.00	0.00	
15	1490 Replacement Reserve	0.00	0.00	0.00	0.00	
16	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00	
17	1495.1 Relocation Costs	0.00	0.00	0.00	0.00	
18	1499 Development Activities	0.00	0.00	0.00	0.00	
19	1501 Collateralization or Debt Service	0.00	0.00	0.00	0.00	
20	1502 Contingency	0.00	0.00	0.00	0.00	
21	Amount of Annual Grant: (sum of lines 2 – 20)	311,493.00	311,493.00	227,544.94	75,794.94	
22	Amount of line 21 Related to LBP Activities	0.00	0.00	0.00	0.00	
23	Amount of line 21 Related to Section 504 compliance	0.00	0.00	0.00	0.00	
24	Amount of line 21 Related to Security – Soft Costs	0.00	0.00	0.00	0.00	
25	Amount of Line 21 Related to Security – Hard Costs	0.00	0.00	0.00	0.00	
26	Amount of line 21 Related to Energy Conservation Measures	0.00	0.00	0.00	0.00	

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: Auburn Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME 36P 007 501 02 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
ME 7-1	Sidewalk Repairs	1450		0.00	9,150.00	9,150.00	0.00	
Lake Auburn	Re-caulk windows/Weatherize Bldg	1460		108,093.00	0.00	0.00	0.00	
Towne House	Mandated Elevator Modifications	1460		0.00	62,900.00	62,900.00	0.00	
ME 7-2	Complete replacement of exterior siding	1460		10,000.00	0.00	0.00	0.00	
Family Development	Landscaping	1450		10,000.00	10,000.00	0.00	0.00	
ME 7-7 Merrill Estates	Repairs to Retain Wall/Hot-top (To be Complete in 50103)	1450		12,000.00	12,000.00	12,000.00	0.00	
ME 7-8	Replace exterior windows (Stage 1)	1460	50 Windows	32,000.00	49,000.00	0.00	0.00	
Lincoln School	Replace Roof	1460		30,000.00	45,000.00	45,000.00	5,700.00	
ME 7-10	Landscaping	1450		4,000.00	4,000.00	0.00	0.00	
Broadview Acres								
HA – Wide	Management Improvements	1408		44,000.00	50,943.00	41,646.93	22,331.93	
	A&E - Design & Oversight	1430		20,000.00	20,000.00	15,448.01	15,013.01	



**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part II: Supporting Pages</b>								
<b>PHA Name:</b> Auburn Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: ME 36P 007 501 02 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA – Wide	Salaries	1410		31,149.00	31,149.00	31,149.00	22,499.00	
	Operations	1406		10,251.00	17,351.00	10,251.00	10,251.00	

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part III: Implementation Schedule</b>							
PHA Name: Auburn Housing Authority		<b>Grant Type and Number</b> Capital Fund Program No: ME 36P 007 501 02 Replacement Housing Factor No:				<b>Federal FY of Grant:</b> 2002	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide Management Improvements	06/04			06/06			
ME 7-1 Lake Auburn Towne House	06/04			06/06			
ME 7-2 Family Development	06/04			06/06			
ME 7-7 Merrill Estates	06/04			06/06			
ME 7-8 Lincoln School	06/04			06/06			
ME 7-10 Broadview Acres	06/04			06/06			

## **7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

### **See Attached Excel Files**

(1) **me007a01** - CFP50103P&E093003

## **8. Capital Fund Program Five-Year Action Plan**

### **See Attached Excel Files**

- (1) **me007b01** - CFP50104AnnPlanSubmit
- (2) **me007c01** - CFP501045YrPlanSubmit-Original

**\*\*Note:** Auburn Housing Authority had a 20 year Capital Needs Assessment and Strategic Plan done by On-Site Insight during 1997 for all Public Housing Projects. This is used to plan for capital expenditures each year for Capital Fund purposes. An updated Needs Assessment will be considered prior to the next Annual Plan submission.

# Annual Statement /Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name:  <p style="text-align: center;"><b>Auburn Housing Authority</b></p>	Grant Type and Number Capital Fund Program Grant No. <span style="float: right;"><b>ME36P00750103</b></span> Replacement Housing Factor Grant No:	Federal FY of Grant:  <p style="text-align: center;"><b>2003</b></p>
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- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Original Annual Statement  | <input type="checkbox"/> Reserve for Disasters/Emergencies | <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1 ) |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09/30/03 |  | <input type="checkbox"/> Final Performance and Evaluation Report               |

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations	\$12,000.00	\$12,000.00	\$12,000.00	\$0.00
3	1408 Management Improvements	\$2,500.00	\$2,500.00	\$0.00	\$0.00
4	1410 Administration	\$25,629.00	\$25,629.00	\$25,629.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$20,000.00	\$20,000.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$12,000.00	\$10,600.00	\$7,600.00	\$0.00
10	1460 Dwelling Structures	\$163,163.00	\$170,113.00	\$13,500.00	\$11,875.00
11	1465.1 Dwelling Equipment-Nonexpendable	\$20,000.00	\$14,450.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$1,000.00	\$1,000.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00
18	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00
19	1501 Collateralization or Debt Service	\$0.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00
21	<b>Amount of Annual Grant: (sum of lines 2-20)</b>	<b>\$256,292.00</b>	<b>\$256,292.00</b>	<b>\$58,729.00</b>	<b>\$11,875.00</b>
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security -- Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00

**Annual Statement /Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:			
<b>Auburn Housing Authority</b>		Capital Fund Program Grant No. <b>ME36P00750103</b> Replacement Housing Factor Grant No:			<b>2003</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b>HA-Wide Operations</b>	Operations	1406		\$12,000.00	\$12,000.00	\$12,000.00		
<b>HA-Wide Mgmt Improvements</b>	Management Improvements	1408		\$2,500.00	\$2,500.00	\$0.00		
<b>HA-Wide Admin</b>	Funding for PHA Staff @ 10% of the annual grant amount in accordance with approved salary allocation plan	1410		\$25,629.00	\$25,629.00	\$25,629.00		
<b>HA-Wide Fees and Costs</b>	A & E services based on estimate of design work required for work items.	1430		\$20,000.00	\$20,000.00	\$0.00		
<b>HA-Wide</b>	Non-Dwell Equipment	1475		\$1,000.00	\$1,000.00	\$0.00		

**Annual Statement /Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:			
<b>Auburn Housing Authority</b>		Capital Fund Program Grant No. <b>ME36P00750103</b> Replacement Housing Factor Grant No:			<b>2003</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
<b>Lake Auburn Towne House ME 7-1</b>	<b>Site:</b> Replace Sidewalk	1450						
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Mechanical and Electrical:</b> None	1460						
			Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Building Exterior:</b> None	1460						
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Dwelling Units:</b> Combine 20 Efficiency Units into 10 One-BR Units as Vacancies Occur	1460	20	\$0.00	\$15,000.00			
			Total DUs:	\$0.00	\$15,000.00	\$0.00	\$0.00	
	<b>Dwelling Equipment:</b> None	1465.1						
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
<b>Interior Common Areas:</b> Repl Carpet/Asbestos Removal	1460			\$117,923.00	\$105,613.00	\$0.00	\$0.00	
		Total ICAs:	\$117,923.00	\$105,613.00	\$0.00	\$0.00		
<b>Site-Wide Facilities:</b> None	1470							
		Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00		
<b>Nondwelling Equipment:</b> None	1475							
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
<b>Total</b>	<b>ME 7-1</b>		<b>Project Total:</b>	<b>\$117,923.00</b>	<b>\$120,613.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

**Annual Statement /Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:			
<b>Auburn Housing Authority</b>		Capital Fund Program Grant No. <b>ME36P00750103</b> Replacement Housing Factor Grant No:			<b>2003</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
<b>Family Development</b> <b>ME 7-2</b>	<b>Site:</b> None	1450	Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Mechanical and Electrical:</b> None	1460	Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Building Exterior:</b> None	1460	Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Dwelling Units:</b> None	1460	Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Dwelling Equipment:</b> Replace Stoves - All Units	1465.1	50	\$20,000.00	\$14,450.00	\$0.00	\$0.00	
			Total D.E.:	\$20,000.00	\$14,450.00	\$0.00	\$0.00	
	<b>Interior Common Areas:</b> None	1460	Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Site-Wide Facilities:</b> None	1470	Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Nondwelling Equipment:</b> None	1475	Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Total</b>	<b>ME 7-2</b>		<b>Project Total:</b>	<b>\$20,000.00</b>	<b>\$14,450.00</b>	<b>\$0.00</b>	<b>\$0.00</b>



**Annual Statement /Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:			
<b>Auburn Housing Authority</b>		Capital Fund Program Grant No. <b>ME36P00750103</b> Replacement Housing Factor Grant No:			<b>2003</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
<b>Merrill Estates</b> <b>ME 7-7</b>	<b>Site:</b> Site Work - Area Between Bldgs.	1450		\$12,000.00	\$10,600.00	\$7,600.00	\$0.00	
			Total Site:	\$12,000.00	\$10,600.00	\$7,600.00	\$0.00	
	<b>Mechanical and Electrical:</b> None	1460						
			Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Building Exterior:</b> None	1460						
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Dwelling Units:</b> None	1460						
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Dwelling Equipment:</b> None	1465.1						
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Interior Common Areas:</b> None	1460						
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Site-Wide Facilities:</b> None	1470						
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Nondwelling Equipment:</b> None	1475						
			Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00	
<b>Total</b>	<b>ME 7-7</b>		<b>Project Total:</b>	<b>\$12,000.00</b>	<b>\$10,600.00</b>	<b>\$7,600.00</b>	<b>\$0.00</b>	

**Annual Statement /Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:				
<b>Auburn Housing Authority</b>		Capital Fund Program Grant No. <b>ME36P00750103</b> Replacement Housing Factor Grant No:			<b>2003</b>				
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Obligated	Expended		
<b>Lincoln School</b> <b>ME 7-8</b>	<b>Site:</b> None	1450	Total Site:	\$0.00	\$0.00	\$0.00	\$0.00		
	<b>Mechanical and Electrical:</b> None	1460	Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00		
	<b>Building Exterior:</b> Replace Windows/Exterior Doors	1460	Total B.E.:	\$31,740.00	\$36,000.00	\$0.00	\$0.00		
	<b>Dwelling Units:</b> None	1460	Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00		
	<b>Dwelling Equipment:</b> None	1465.1	Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00		
	<b>Interior Common Areas:</b> None	1460	Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00		
	<b>Site-Wide Facilities:</b> None	1470	Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00		
	<b>Nondwelling Equipment:</b> None	1475	Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
	<b>Total</b>	<b>ME 7-8</b>		<b>Project Total:</b>	<b>\$31,740.00</b>	<b>\$36,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

**Annual Statement /Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:				
<b>Auburn Housing Authority</b>		Capital Fund Program Grant No. <b>ME36P00750103</b> Replacement Housing Factor Grant No:			<b>2003</b>				
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Obligated	Expended		
<b>Broadview Acres</b> <b>ME 7-10</b>	<b>Site:</b> None	1450	Total Site:	\$0.00	\$0.00	\$0.00	\$0.00		
	<b>Mechanical and Electrical:</b> None	1460	Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00		
	<b>Building Exterior:</b> Replace Front and Cellar Doors	1460	Total B.E.:	\$13,500.00	\$13,500.00	\$13,500.00	\$11,875.00		
	<b>Dwelling Units:</b> None	1460	Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00		
	<b>Dwelling Equipment:</b> None	1465.1	Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00		
	<b>Interior Common Areas:</b> None	1460	Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00		
	<b>Site-Wide Facilities:</b> None	1470	Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00		
	<b>Nondwelling Equipment:</b> None	1475	Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
	<b>Total</b>	<b>ME 7-10</b>		<b>Project Total:</b>	<b>\$13,500.00</b>	<b>\$13,500.00</b>	<b>\$13,500.00</b>	<b>\$11,875.00</b>	

**Annual Statement /Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part III: Implementation Schedule**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No.: <b>ME36P00750103</b> Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2003</b>		
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
<b>HA-Wide</b>	09/05			09/07			
<b>ME 7-1</b> <b>Lake Auburn Towne House</b>	09/05			09/07			
<b>ME 7-2</b> <b>Family Development</b>	09/05			09/07			
<b>ME 7-7</b> <b>Merrill Estates</b>	09/05			09/07			
<b>ME 7-8</b> <b>Lincoln School</b>	09/05			09/07			
<b>ME 7-10</b> <b>Broadview Acres</b>	09/05			09/07			

**Annual Statement /Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name:  <b>Auburn Housing Authority</b>	Grant Type and Number Capital Fund Program Grant No. <b>ME36P00750104</b> Replacement Housing Factor Grant No:	Federal FY of Grant:  <b>2004</b>
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- Original Annual Statement     
  Reserve for Disasters/Emergencies     
  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:     
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations	\$16,362.00			
3	1408 Management Improvements	\$16,000.00			
4	1410 Administration	\$32,724.00			
5	1411 Audit	\$0.00			
6	1415 Liquidated Damages	\$0.00			
7	1430 Fees and Costs	\$16,250.00			
8	1440 Site Acquisition	\$0.00			
9	1450 Site Improvement	\$72,500.00			
10	1460 Dwelling Structures	\$166,405.00			
11	1465.1 Dwelling Equipment-Nonexpendable	\$0.00			
12	1470 Nondwelling Structures	\$0.00			
13	1475 Nondwelling Equipment	\$7,000.00			
14	1485 Demolition	\$0.00			
15	1490 Replacement Reserve	\$0.00			
16	1492 Moving to Work Demonstration	\$0.00			
17	1495.1 Relocation Cost	\$0.00			
18	1499 Development Activities	\$0.00			
19	1501 Collateralization or Debt Service	\$0.00			
20	1502 Contingency	\$0.00			
21	<b>Amount of Annual Grant: (sum of lines 2-20)</b>	<b>\$327,241.00</b>			
22	Amount of line 21 Related to LBP Activities	\$0.00			
23	Amount of line 21 Related to Section 504 Compliance	\$0.00			
24	Amount of line 21 Related to Security -- Soft Costs	\$0.00			
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00			
26	Amount of line 21 Related to Energy Conservation Measures	\$0.00			

**Annual Statement /Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:			
<b>Auburn Housing Authority</b>		Capital Fund Program Grant No <b>ME36P00750104</b> Replacement Housing Factor Grant No:			<b>2004</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b>HA-Wide Operating</b>	Operating Costs	1406		\$16,362.00				
<b>HA-Wide Mgmt Improvements</b>	Management Improvements	1408		\$16,000.00				
<b>HA-Wide Admin</b>	Funding for PHA Staff @ 10% of the annual grant amount in accordance with approved salary allocation plan	1410		\$32,724.00				
<b>HA-Wide Fees and Costs</b>	A & E services based on estimate of design work required for work items.	1430		\$16,250.00				
<b>HA-Wide</b>	Non-Dwell Equipment	1475		\$0.00				

**Annual Statement /Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:			
<b>Auburn Housing Authority</b>		Capital Fund Program Grant No <b>ME36P00750104</b> Replacement Housing Factor Grant No:			<b>2003</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
<b>Lake Auburn Towne House ME 7-1</b>	<b>Site:</b> Landscaping	1450		\$2,500.00				
			Total Site:	\$2,500.00				
	<b>Mechanical and Electrical:</b> None	1460						
			Total M&E:	\$0.00				
	<b>Building Exterior:</b> None	1460						
			Total B.E.:	\$0.00				
	<b>Dwelling Units:</b> Combine 20 Efficiency Units into 10 One-BR Units as Vacancies Occur	1460	20		\$5,000.00			
			Total DUs:	\$5,000.00				
	<b>Dwelling Equipment:</b> None	1465.1						
			Total D.E.:	\$0.00				
<b>Interior Common Areas:</b> Repl Carpet/Asbestos Rem-Stage 2	1460			\$13,405.00				
		Total ICAs:	\$13,405.00					
<b>Site-Wide Facilities:</b> None	1470							
		Total SWFs:	\$0.00					
<b>Nondwelling Equipment:</b> None	1475							
		Total NDE:	\$0.00					
<b>Total</b>	<b>ME 7-1</b>		<b>Project Total:</b>	<b>\$20,905.00</b>				

**Annual Statement /Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:			
<b>Auburn Housing Authority</b>		Capital Fund Program Grant No <b>ME36P00750104</b> Replacement Housing Factor Grant No:			<b>2003</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
<b>Family Development ME 7-2</b>	<b>Site:</b> Replace Underground Electrical	1450		\$70,000.00				
			Total Site:	\$70,000.00				
	<b>Mechanical and Electrical:</b> None	1460						
			Total M&E:	\$0.00				
	<b>Building Exterior:</b> None	1460						
			Total B.E.:	\$0.00				
	<b>Dwelling Units:</b> Replace VCT (all rooms except bath) Replace Counters/Sinks & Reface Kitchen Cabinets as Needed	1460			\$81,500.00 \$31,500.00			
			Total DUs:	\$113,000.00				
	<b>Dwelling Equipment:</b> None	1465.1						
			Total D.E.:	\$0.00				
	<b>Interior Common Areas:</b> None	1460			\$0.00			
			Total ICAs:	\$0.00				
<b>Site-Wide Facilities:</b> None	1470			\$0.00				
		Total SWFs:	\$0.00					
<b>Nondwelling Equipment:</b> Zero Radius Lawn Mower	1475			\$7,000.00				
		Total NDE:	\$7,000.00					
<b>Total</b>	<b>ME 7-2</b>		<b>Project Total:</b>	<b>\$190,000.00</b>				



**Annual Statement /Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number		Federal FY of Grant:				
<b>Auburn Housing Authority</b>		Capital Fund Program Grant No <b>ME36P00750104</b> Replacement Housing Factor Grant No:		<b>2003</b>				
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
<b>Merrill Estates</b> ME 7-7	<b>Site:</b> None	1450						
			Total Site:	\$0.00				
	<b>Mechanical and Electrical:</b> None	1460						
			Total M&E:	\$0.00				
	<b>Building Exterior:</b> None	1460						
			Total B.E.:	\$0.00				
	<b>Dwelling Units:</b> None	1460						
			Total DUs:	\$0.00				
	<b>Dwelling Equipment:</b> None	1465.1						
			Total D.E.:	\$0.00				
<b>Interior Common Areas:</b> None	1460							
		Total ICAs:	\$0.00					
<b>Site-Wide Facilities:</b> None	1470							
		Total SWFs:	\$0.00					
<b>Nondwelling Equipment:</b> None	1475							
		Total NDE:	\$0.00					
<b>Total</b>	<b>ME 7-7</b>		<b>Project Total:</b>	<b>\$0.00</b>				

**Annual Statement /Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:			
<b>Auburn Housing Authority</b>		Capital Fund Program Grant No <b>ME36P00750104</b> Replacement Housing Factor Grant No:			<b>2003</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
<b>Lincoln School</b> <b>ME 7-8</b>	<b>Site:</b> None	1450						
			<b>Total Site:</b>	<b>\$0.00</b>				
	<b>Mechanical and Electrical:</b> None	1460						
			<b>Total M&amp;E:</b>	<b>\$0.00</b>				
	<b>Building Exterior:</b> Re-paint Front Gable	1460			<b>\$5,000.00</b>			
			<b>Total B.E.:</b>	<b>\$5,000.00</b>				
	<b>Dwelling Units:</b> None	1460						
			<b>Total DUs:</b>	<b>\$0.00</b>				
	<b>Dwelling Equipment:</b> None	1465.1						
			<b>Total D.E.:</b>	<b>\$0.00</b>				
<b>Interior Common Areas:</b> None	1460							
		<b>Total ICAs:</b>	<b>\$0.00</b>					
<b>Site-Wide Facilities:</b> None	1470							
		<b>Total SWFs:</b>	<b>\$0.00</b>					
<b>Nondwelling Equipment:</b> None	1475							
		<b>Total NDE:</b>	<b>\$0.00</b>					
<b>Total</b>	<b>ME 7-8</b>		<b>Project Total:</b>	<b>\$5,000.00</b>				

**Annual Statement /Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number		Federal FY of Grant:				
<b>Auburn Housing Authority</b>		Capital Fund Program Grant No <b>ME36P00750104</b> Replacement Housing Factor Grant No:		<b>2003</b>				
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
<b>Broadview Acres</b> <b>ME 7-10</b>	<b>Site:</b> None	1450						
			Total Site:	\$0.00				
	<b>Mechanical and Electrical:</b> None	1460						
			Total M&E:	\$0.00				
	<b>Building Exterior:</b>	1460						
			Total B.E.:	\$0.00				
	<b>Dwelling Units:</b> Replace Sinks/Counters	1460			\$30,000.00			
			Total DUs:	\$30,000.00				
	<b>Dwelling Equipment:</b> None	1465.1						
			Total D.E.:	\$0.00				
<b>Interior Common Areas:</b> None	1460							
		Total ICAs:	\$0.00					
<b>Site-Wide Facilities:</b> None	1470							
		Total SWFs:	\$0.00					
<b>Nondwelling Equipment:</b> None	1475							
		Total NDE:	\$0.00					
<b>Total</b>	<b>ME 7-10</b>		<b>Project Total:</b>	<b>\$30,000.00</b>				

**Annual Statement /Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part III: Implementation Schedule**

PHA Name: <b>Auburn Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No.: <b>ME36P00750104</b> Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2004</b>		
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
<b>HA-Wide</b>	06/06			06/08			
<b>ME 7-1</b> <b>Lake Auburn Towne House</b>	06/06			06/08			
<b>ME 7-2</b> <b>Family Development</b>	06/06			06/08			
<b>ME 7-7</b> <b>Merrill Estates</b>							
<b>ME 7-8</b> <b>Lincoln School</b>	06/06			06/08			
<b>ME 7-10</b> <b>Broadview Acres</b>	06/06			06/08			

**Capital Fund Program Five-Year Action Plan**

**Part I: Summary**

PHA Name: <b>Auburn Housing Authority</b>						<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No: ___
Development Number/Name/HA-Wide	Year 1 <b>2004</b>	Work Statement for Year 2 FFY Grant: <b>2005</b> PHA FY: <b>2006</b>	Work Statement for Year 3 FFY Grant: <b>2006</b> PHA FY: <b>2007</b>	Work Statement for Year 4 FFY Grant: <b>2007</b> PHA FY: <b>2008</b>	Work Statement for Year 5 FFY Grant: <b>2008</b> PHA FY: <b>2009</b>	
Lake Auburn Towne House (7-1)	<b>Annual  Statement</b>	\$86,500	\$31,500	\$70,000	\$147,000	
Family Development (7-2)		\$86,000	\$189,500	\$155,000	\$60,000	
Merrill Estates (7-7)		\$15,000	\$0	\$0	\$0	
Lincoln School (7-8)		\$24,000	\$6,000	\$0	\$6,000	
Broadview Acres (7-10)		\$5,000	\$0	\$0	\$22,476	
HA-Wide Physical Activities		\$0	\$0	\$20,000	\$0	
HA-Wide Non-Physical Activities		\$97,651	\$87,151	\$72,424	\$81,948	
HA-Wide Contingency		\$13,090	\$13,090	\$9,817	\$9,817	
CFP Funds Listed for 5-year planning		\$327,241	\$327,241	\$327,241	\$327,241	
Replacement Housing Factor Funds		\$0	\$0	\$0	\$0	

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2004</b>	Activities for Year 2 FFY Grant: <b>2005</b> PHA FY: <b>2006</b>			Activities for Year 3 FFY Grant: <b>2006</b> PHA FY: <b>2007</b>		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	<b>HA-Wide Physical Improvements</b>	<b>HA-WIDE Site:</b> None		<b>HA-Wide Physical Improvements</b>	<b>HA-WIDE Site:</b> None	
		Total Site:	\$0		Total Site:	\$0
		<b>HA WIDE Non-Dwell Structures</b> None			<b>HA WIDE Non-Dwell Structures</b> None	
		Total Non-D.S.	\$0		Total Non-D.S.	\$0
		<b>HA WIDE Non-Dwell Equipment</b> None			<b>HA WIDE Non-Dwell Equipment</b> None	
		Total Non-D.E.	\$0		Total Non-D.E.	\$0
		<b>Total HA WIDE - Physical Impr</b>	\$0		<b>Total HA WIDE - Physical Impr</b>	\$0
	<b>HA-Wide Non-Physical Activity</b>	<b>Operations</b>	\$16,362	<b>HA-Wide Non-Physical Activity</b>	<b>Operations</b>	\$16,362
		<b>Mgmt Improvements</b>	\$32,724		<b>Mgmt Improvements</b>	\$21,500
		<b>Administration</b>	\$32,724		<b>Administration</b>	\$32,724
		<b>A&amp;E Costs</b>	\$15,841		<b>A&amp;E Costs</b>	\$16,565
		<b>Total HA-Wide Non-Physical Act</b>	\$97,651		<b>Total HA-Wide Non-Physical Act</b>	\$87,151
		<b>HA-WIDE Contingency (4%)</b>	\$13,090		<b>HA-WIDE Contingency (4%)</b>	\$13,090
	<b>Subtotal of Estimated Cost</b>		\$110,741	<b>Subtotal of Estimated Cost</b>		\$100,241

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2004</b>	Activities for Year 4 FFY Grant: <b>2007</b> PHA FY: <b>2008</b>			Activities for Year 5 FFY Grant: <b>2008</b> PHA FY: <b>2009</b>		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	<b>HA-Wide Physical Improvements</b>	<b>HA-WIDE Site:</b> None		<b>HA-Wide Physical Improvements</b>	<b>HA-WIDE Site:</b> None	
		Total Site:	\$0		Total Site:	\$0
		<b>HA WIDE Non-Dwell Structures</b> None			<b>HA WIDE Non-Dwell Structures</b> None	
		Total Non-D.S.	\$0		Total Non-D.S.	\$0
		<b>HA WIDE Non-Dwell Equipment</b> Replace Maintenance Vehicle	\$20,000		<b>HA WIDE Non-Dwell Equipment</b> None	
		Total Non-D.E.	\$20,000		Total Non-D.E.	\$0
		<b>Total HA WIDE - Physical Impr</b>	\$20,000		<b>Total HA WIDE - Physical Impr</b>	\$0
	<b>HA-Wide Non-Physical Activity</b>	<b>Operations</b>	\$17,590	<b>HA-Wide Non-Physical Activity</b>	<b>Operations</b>	\$16,362
		<b>Mgmt Improvements</b>	\$5,000		<b>Mgmt Improvements</b>	\$16,500
		<b>Administration</b>	\$32,724		<b>Administration</b>	\$32,724
		<b>A&amp;E Costs</b>	\$17,110		<b>A&amp;E Costs</b>	\$16,362
		<b>Total HA-Wide Non-Physical Act</b>	\$72,424		<b>Total HA-Wide Non-Physical Act</b>	\$81,948
		<b>HA-WIDE Contingency (3%)</b>	\$9,817		<b>HA-WIDE Contingency (3%)</b>	\$9,817
		<b>Subtotal of Estimated Cost</b>		\$102,241	<b>Subtotal of Estimated Cost</b>	

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2004</b>	Activities for Year 2 FFY Grant: <b>2005</b> PHA FY: <b>2006</b>			Activities for Year 3 FFY Grant: <b>2006</b> PHA FY: <b>2007</b>		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	<b>Lake Auburn Towne House (7-1)</b>	<b>Site:</b> None		<b>Lake Auburn Towne House (7-1)</b>	<b>Site:</b> None	
		Total Site:	\$0	Total Site:	\$0	
		<b>Mechanical and Electrical:</b> None		<b>Mechanical and Electrical:</b> None		
		Total M&E:	\$0	Total M&E:	\$0	
		<b>Building Exterior:</b> None		<b>Building Exterior:</b> None		
		Total B.E.:	\$0	Total B.E.:	\$0	
		<b>Dwelling Units:</b> Replace toilets and bathroom sinks	\$45,000	<b>Dwelling Units:</b> None		
		Combine Efficiency Units to 1BR Units - From CFP50104	\$5,000	Total DUs:	\$0	
		Total DUs:	\$50,000	Total DUs:	\$0	
		<b>Dwelling Equipment:</b> Replace Refrigerators (Stage 1)	\$18,500	<b>Dwelling Equipment:</b> Replace Refrigerators (Stage 2)	\$31,500	
		Total D.E.:	\$18,500	Total D.E.:	\$31,500	
		<b>Interior Common Areas:</b> None		<b>Interior Common Areas:</b> None		
		Total ICAs:	\$0	Total ICAs:	\$0	
		<b>Site-Wide Facilities:</b> None		<b>Site-Wide Facilities:</b> None		
		Total SWFs:	\$0	Total SWFs:	\$0	
<b>Nondwelling Equipment:</b> Replace Trash Compactor	\$18,000	<b>Nondwelling Equipment:</b> None				
Total NDE:	\$18,000	Total NDE:	\$0			
<b>Subtotal of Estimated Cost</b>		<b>\$86,500</b>	<b>Subtotal of Estimated Cost</b>		<b>\$31,500</b>	



**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2004</b>	Activities for Year 4 FFY Grant: <b>2007</b> PHA FY: <b>2008</b>			Activities for Year 5 FFY Grant: <b>2008</b> PHA FY: <b>2009</b>		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	<b>Lake Auburn Towne House (7-1)</b>	<b>Site:</b> None		<b>Lake Auburn Towne House (7-1)</b>	<b>Site:</b> None	
		Total Site:	\$0		Total Site:	\$0
		<b>Mechanical and Electrical:</b> Replace Fire Panel	\$70,000		<b>Mechanical and Electrical:</b> Overhaul Air Conditioner Units	\$12,000
		Total M&E:	\$70,000		Total M&E:	\$12,000
		<b>Building Exterior:</b> None			<b>Building Exterior:</b> Re-caulk Windows/Weatherize Bldg	\$110,000
		Total B.E.:	\$0		Total B.E.:	\$110,000
		<b>Dwelling Units:</b> None			<b>Dwelling Units:</b> None	
		Total DUs:	\$0		Total DUs:	\$0
		<b>Dwelling Equipment:</b> None			<b>Dwelling Equipment:</b> None	
		Total D.E.:	\$0		Total D.E.:	\$0
		<b>Interior Common Areas:</b> None			<b>Interior Common Areas:</b> Paint Common Areas/Stairwells	\$25,000
		Total ICAs:	\$0		Total ICAs:	\$25,000
		<b>Site-Wide Facilities:</b> None			<b>Site-Wide Facilities:</b> None	
		Total SWFs:	\$0		Total SWFs:	\$0
<b>Nondwelling Equipment:</b> None		<b>Nondwelling Equipment:</b> None				
Total NDE:	\$0	Total NDE:	\$0			
<b>Subtotal of Estimated Cost</b>		<b>\$70,000</b>	<b>Subtotal of Estimated Cost</b>		<b>\$147,000</b>	

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2004</b>	Activities for Year 2 FFY Grant: <b>2005</b> PHA FY: <b>2006</b>			Activities for Year 3 FFY Grant: <b>2006</b> PHA FY: <b>2007</b>		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	<b>Family Development (7-2)</b>	<b>Site:</b> None		<b>Family Development (7-2)</b>	<b>Site:</b> Repave Streets and Parking Lots	\$103,000
		Total Site:	\$0		Total Site:	\$103,000
		<b>Mechanical and Electrical:</b> None			<b>Mechanical and Electrical:</b> None	
		Total M&E:	\$0		Total M&E:	\$0
		<b>Building Exterior:</b> Replace Roofs (Stage 1)	\$86,000		<b>Building Exterior:</b> Replace Roofs (Stage 2)	\$86,500
		Total B.E.:	\$86,000		Total B.E.:	\$86,500
		<b>Dwelling Units:</b> None			<b>Dwelling Units:</b> None	
		Total DUs:	\$0		Total DUs:	\$0
		<b>Dwelling Equipment:</b> None			<b>Dwelling Equipment:</b> None	
		Total D.E.:	\$0		Total D.E.:	\$0
		<b>Interior Common Areas:</b> None			<b>Interior Common Areas:</b> None	
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>Site-Wide Facilities:</b> None			<b>Site-Wide Facilities:</b> None	
Total SWFs:	\$0	Total SWFs:	\$0			
<b>Nondwelling Equipment:</b> None		<b>Nondwelling Equipment:</b> None				
Total NDE:	\$0	Total NDE:	\$0			
<b>Subtotal of Estimated Cost</b>		\$86,000	<b>Subtotal of Estimated Cost</b>		\$189,500	

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2004</b>	Activities for Year 4 FFY Grant: <b>2007</b> PHA FY: <b>2008</b>			Activities for Year 5 FFY Grant: <b>2008</b> PHA FY: <b>2009</b>		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	<b>Family Development (7-2)</b>	<b>Site:</b> None		<b>Family Development (7-2)</b>	<b>Site:</b> Replace Playground Equipment	\$10,000
		Total Site:	\$0		Total Site:	\$10,000
		<b>Mechanical and Electrical:</b> None			<b>Mechanical and Electrical:</b> None	
		Total M&E:	\$0		Total M&E:	\$0
		<b>Building Exterior:</b> Repoint Brick Facades/Chimneys	\$75,000		<b>Building Exterior:</b> None	
		Total B.E.:	\$75,000		Total B.E.:	\$0
		<b>Dwelling Units:</b> Replace Tubs	\$80,000		<b>Dwelling Units:</b> Replace Cabinet Doors	\$50,000
		Total DUs:	\$80,000		Total DUs:	\$50,000
		<b>Dwelling Equipment:</b> None			<b>Dwelling Equipment:</b> None	
		Total D.E.:	\$0		Total D.E.:	\$0
		<b>Interior Common Areas:</b> None			<b>Interior Common Areas:</b> None	
		Total ICAs:	\$0		Total ICAs:	\$0
<b>Site-Wide Facilities:</b> None		<b>Site-Wide Facilities:</b> None				
Total SWFs:	\$0	Total SWFs:	\$0			
<b>Nondwelling Equipment:</b> None		<b>Nondwelling Equipment:</b> None				
Total NDE:	\$0	Total NDE:	\$0			
	<b>Subtotal of Estimated Cost</b>		\$155,000	<b>Subtotal of Estimated Cost</b>		\$60,000

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2004</b>	Activities for Year 2 FFY Grant: <b>2005</b> PHA FY: <b>2006</b>			Activities for Year 3 FFY Grant: <b>2006</b> PHA FY: <b>2007</b>		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	<b>Merrill Estates (7-7)</b>	<b>Site:</b> None		<b>Merrill Estates (7-7)</b>	<b>Site:</b> None	
		Total Site:	\$0		Total Site:	\$0
		<b>Mechanical and Electrical:</b> None			<b>Mechanical and Electrical:</b> None	
		Total M&E:	\$0		Total M&E:	\$0
		<b>Building Exterior:</b> None			<b>Building Exterior:</b> None	
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>Dwelling Units:</b> None			<b>Dwelling Units:</b> None	
		Total DUs:	\$0		Total DUs:	\$0
		<b>Dwelling Equipment:</b> None			<b>Dwelling Equipment:</b> None	
		Total D.E.:	\$0		Total D.E.:	\$0
		<b>Interior Common Areas:</b> None			<b>Interior Common Areas:</b> None	
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>Site-Wide Facilities:</b> Renovate Community Room	\$15,000		<b>Site-Wide Facilities:</b> None	
Total SWFs:	\$15,000	Total SWFs:	\$0			
<b>Nondwelling Equipment:</b> None		<b>Nondwelling Equipment:</b> None				
Total NDE:	\$0	Total NDE:	\$0			
<b>Subtotal of Estimated Cost</b>		<b>\$15,000</b>	<b>Subtotal of Estimated Cost</b>		<b>\$0</b>	

**Capital Fund Program Five-Year Action Plan**

**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2004</b>	Activities for Year 4 FFY Grant: <b>2007</b> PHA FY: <b>2008</b>			Activities for Year 5 FFY Grant: <b>2008</b> PHA FY: <b>2009</b>							
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost					
	<b>Merrill Estates (7-7)</b>	<b>Site:</b> None		<b>Merrill Estates (7-7)</b>	<b>Site:</b> None						
		Total Site:	\$0		Total Site:	\$0					
		<b>Mechanical and Electrical:</b> None			<b>Merrill Estates (7-7)</b>	<b>Mechanical and Electrical:</b> None					
		Total M&E:	\$0			Total M&E:	\$0				
		<b>Building Exterior:</b> None				<b>Merrill Estates (7-7)</b>	<b>Building Exterior:</b> None				
		Total B.E.:	\$0				Total B.E.:	\$0			
		<b>Dwelling Units:</b> None					<b>Merrill Estates (7-7)</b>	<b>Dwelling Units:</b> None			
		Total DUs:	\$0					Total DUs:	\$0		
		<b>Dwelling Equipment:</b> None						<b>Merrill Estates (7-7)</b>	<b>Dwelling Equipment:</b> None		
		Total D.E.:	\$0						Total D.E.:	\$0	
		<b>Interior Common Areas:</b> None							<b>Merrill Estates (7-7)</b>	<b>Interior Common Areas:</b> None	
		Total ICAs:	\$0							Total ICAs:	\$0
<b>Site-Wide Facilities:</b> None		<b>Merrill Estates (7-7)</b>	<b>Site-Wide Facilities:</b> None								
Total SWFs:	\$0		Total SWFs:	\$0							
<b>Nondwelling Equipment:</b> None			<b>Merrill Estates (7-7)</b>	<b>Nondwelling Equipment:</b> None							
Total NDE:	\$0			Total NDE:	\$0						
<b>Subtotal of Estimated Cost</b>				<b>\$0</b>	<b>Subtotal of Estimated Cost</b>					<b>\$0</b>	

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2004</b>	Activities for Year 2 FFY Grant: <b>2005</b> PHA FY: <b>2006</b>			Activities for Year 3 FFY Grant: <b>2006</b> PHA FY: <b>2007</b>		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	<b>Lincoln School (7-8)</b>	<b>Site:</b> None		<b>Lincoln School (7-8)</b>	<b>Site:</b> None	
		Total Site:	\$0		Total Site:	\$0
		<b>Mechanical and Electrical:</b> Replace/overhaul boiler	\$15,000		<b>Mechanical and Electrical:</b> None	
		Total M&E:	\$15,000		Total M&E:	\$0
		<b>Building Exterior:</b> None			<b>Building Exterior:</b> None	
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>Dwelling Units:</b> None			<b>Dwelling Units:</b> Replace Closet Doors	\$6,000
		Total DUs:	\$0		Total DUs:	\$6,000
		<b>Dwelling Equipment:</b> Replace refrigerators and stoves	\$5,000		<b>Dwelling Equipment:</b> None	
		Total D.E.:	\$5,000		Total D.E.:	\$0
		<b>Interior Common Areas:</b> Add emergency lights	\$4,000		<b>Interior Common Areas:</b> None	
		Total ICAs:	\$4,000		Total ICAs:	\$0
		<b>Site-Wide Facilities:</b> None			<b>Site-Wide Facilities:</b> None	
		Total SWFs:	\$0		Total SWFs:	\$0
<b>Nondwelling Equipment:</b> None		<b>Nondwelling Equipment:</b> None				
Total NDE:	\$0	Total NDE:	\$0			
<b>Subtotal of Estimated Cost</b>		<b>\$24,000</b>	<b>Subtotal of Estimated Cost</b>		<b>\$6,000</b>	

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2004</b>	Activities for Year 4 FFY Grant: <b>2007</b> PHA FY: <b>2008</b>			Activities for Year 5 FFY Grant: <b>2008</b> PHA FY: <b>2009</b>						
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost				
	<b>Lincoln School (7-8)</b>	<b>Site:</b> None		<b>Lincoln School (7-8)</b>	<b>Site:</b> None					
		Total Site:	\$0		Total Site:	\$0				
		<b>Mechanical and Electrical:</b> None			<b>Lincoln School (7-8)</b>	<b>Mechanical and Electrical:</b> None				
		Total M&E:	\$0			Total M&E:	\$0			
		<b>Building Exterior:</b> None				<b>Lincoln School (7-8)</b>	<b>Building Exterior:</b> None			
		Total B.E.:	\$0				Total B.E.:	\$0		
		<b>Dwelling Units:</b> None					<b>Lincoln School (7-8)</b>	<b>Dwelling Units:</b> None		
		Total DUs:	\$0					Total DUs:	\$0	
		<b>Dwelling Equipment:</b> None						<b>Lincoln School (7-8)</b>	<b>Dwelling Equipment:</b> None	
		Total D.E.:	\$0						Total D.E.:	\$0
		<b>Interior Common Areas:</b> None							<b>Lincoln School (7-8)</b>	<b>Interior Common Areas:</b> Repaint Interior Common Areas
Total ICAs:	\$0	Total ICAs:	\$6,000							
<b>Site-Wide Facilities:</b> None		<b>Lincoln School (7-8)</b>	<b>Site-Wide Facilities:</b> None							
Total SWFs:	\$0		Total SWFs:	\$0						
<b>Nondwelling Equipment:</b> None			<b>Lincoln School (7-8)</b>	<b>Nondwelling Equipment:</b> None						
Total NDE:	\$0			Total NDE:	\$0					
<b>Subtotal of Estimated Cost</b>				<b>\$0</b>	<b>Subtotal of Estimated Cost</b>					<b>\$6,000</b>

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2004</b>	Activities for Year 2 FFY Grant: <b>2005</b> PHA FY: <b>2006</b>			Activities for Year 3 FFY Grant: <b>2006</b> PHA FY: <b>2007</b>		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	<b>Broadview Acres (7-10)</b>	<b>Site:</b> Replace fence	\$5,000	<b>Broadview Acres (7-10)</b>	<b>Site:</b> None	
		Total Site:	\$5,000		Total Site:	\$0
		<b>Mechanical and Electrical:</b> None			<b>Mechanical and Electrical:</b> None	
		Total M&E:	\$0		Total M&E:	\$0
		<b>Building Exterior:</b> None			<b>Building Exterior:</b> None	
		Total B.E.:	\$0		Total B.E.:	\$0
		<b>Dwelling Units:</b> None			<b>Dwelling Units:</b> None	
		Total DUs:	\$0		Total DUs:	\$0
		<b>Dwelling Equipment:</b> None			<b>Dwelling Equipment:</b> None	
		Total D.E.:	\$0		Total D.E.:	\$0
		<b>Interior Common Areas:</b> None			<b>Interior Common Areas:</b> None	
		Total ICAs:	\$0		Total ICAs:	\$0
		<b>Site-Wide Facilities:</b> None			<b>Site-Wide Facilities:</b> None	
		Total SWFs:	\$0		Total SWFs:	\$0
		<b>Nondwelling Equipment:</b> None			<b>Nondwelling Equipment:</b> None	
Total NDE:	\$0	Total NDE:	\$0			
<b>Subtotal of Estimated Cost</b>		\$5,000	<b>Subtotal of Estimated Cost</b>		\$0	



**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages---Work Activities**

Activities for Year 1  <b>2004</b>	Activities for Year 4 FFY Grant: <b>2007</b> PHA FY: <b>2008</b>			Activities for Year 5 FFY Grant: <b>2008</b> PHA FY: <b>2009</b>		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	<b>Broadview Acres (7-10)</b>	<b>Site:</b> None		<b>Broadview Acres (7-10)</b>	<b>Site:</b> None	
		Total Site:	\$0	Total Site:	\$0	
		<b>Mechanical and Electrical:</b> None		<b>Mechanical and Electrical:</b> None		
		Total M&E:	\$0	Total M&E:	\$0	
		<b>Building Exterior:</b> None		<b>Building Exterior:</b> None		
		Total B.E.:	\$0	Total B.E.:	\$0	
		<b>Dwelling Units:</b> None		<b>Dwelling Units:</b> Re-face Cabinets (Stage 1)	\$22,476	
		Total DUs:	\$0	Total DUs:	\$22,476	
		<b>Dwelling Equipment:</b> None		<b>Dwelling Equipment:</b> None		
		Total D.E.:	\$0	Total D.E.:	\$0	
		<b>Interior Common Areas:</b> None		<b>Interior Common Areas:</b> None		
		Total ICAs:	\$0	Total ICAs:	\$0	
<b>Site-Wide Facilities:</b> None		<b>Site-Wide Facilities:</b> None				
Total SWFs:	\$0	Total SWFs:	\$0			
<b>Nondwelling Equipment:</b> None		<b>Nondwelling Equipment:</b> None				
Total NDE:	\$0	Total NDE:	\$0			
	<b>Subtotal of Estimated Cost</b>		\$0	<b>Subtotal of Estimated Cost</b>		\$22,476