

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

City of Phoenix

Streamlined Annual Agency Plan for Fiscal Year 2004/2005



City of Phoenix
HOUSING DEPARTMENT

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: City of Phoenix Housing Department

PHA Number: AZ 001

PHA Fiscal Year Beginning: (mm/yyyy) 07/2004

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

Annual PHA Plan
PHA Fiscal Year 2004
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA
- Small Agency (<250 Public Housing Units)
- Administering Section 8 Only

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

To provide and promote diversified living environments for low-income families, seniors and persons with disabilities by operating and leasing assisted and affordable housing.

We have also adopted goals and objectives to increase the availability of decent, safe and affordable housing during the next five years by:

- Expanding the supply of assisted housing
- Improving the quality of assisted housing
- Increasing assisted housing choices
- Promoting self-sufficiency and asset development of assisted households

The plans, statements, budget summary, policies, etc., set forth in the Annual Plan all lead toward accomplishing our mission statement and achieving our goals and objectives. Taken as a whole they outline a comprehensive approach toward our goals and objectives and are consistent with the Consolidated Plan. Here are a few highlights of our Annual Plan.

The Housing Department

- Anticipates \$62,264,440.00 to be available for the support of federal public housing and tenant-based Section 8 Housing Choice Voucher programs during FY 2004/2005.
- Will target 40% of all new public housing admissions and 75% of all new Section 8 Housing Choice Voucher admissions to families at or below 30% of median income - \$17,490 for a family of four.
- Will have a minimum rent of \$25 in all assisted housing programs.

- Will offer a choice of income based rent set at 30% of adjusted monthly income or a flat rent set at a fair market rent for the area.

In summary, we are accomplishing the Housing Department's goals and objectives to improve the condition of affordable housing in the City of Phoenix.

i. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration (Attachment 2)
- FY 2004 Capital Fund Program Annual Statement (Attachment 11)
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

- Definition of Substantial Deviation and Significant Amendment or Modification (Attachment 1)
- Public Housing Resident Community Service Requirement Policy (Attachment 4)
- Pet Policy (Attachment 5)
- Resident Membership of the PHA Governing Board (Attachment 6)
- Membership of the Resident Advisory Board (Attachment 7)
- Project-Based Voucher Program (Attachment 8)
- Progress in Meeting the 5-Year Plan Mission and Goals (Attachment 9)
- Section 8 Homeownership Program Capacity Statement (Attachment 10)
- Site-based Waiting List (Attachment 11)

Optional Attachments:

- PHA Management Organizational Chart (Attachment 3)
- FY 2004 Capital Fund Program 5 Year Action Plan (Attachment 13)
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

Annual Performance and Evaluation Report for Capital Fund (Attachment 14)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
✓	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
✓	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
	Fair Housing Documentation: Records reflecting that the PHA has examined its programs	5 Year and Annual Plans

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	
✓	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
✓	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
✓	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
✓	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
✓	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
✓	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
✓	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
✓	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
✓	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
✓	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
✓	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
✓	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
✓	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
✓	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
✓	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
✓	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
✓	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
✓	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
✓	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
✓	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Location
Income <= 30% of AMI	28,962	5	5	5	1	5	5
Income >30% but <=50% of AMI	25,021	5	5	5	1	5	4
Income >50% but <80% of AMI	35,726	5	4	4	1	5	3
Elderly	14,863	5	5	4	3	1	2
Families with Disabilities	732,797	5	5	4	5	2	4
Hispanic (all races)	51,112	5	5	5	1	5	4
Black	17,034	5	5	5	1	5	4

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2000-2005
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)
2000 Census for Phoenix – Mesa MSA
1990 Census for Phoenix – Mesa MSA

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the PHA's Waiting Lists			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	7,488		1,851
Extremely low income <=30% AMI	7,039	94%	
Very low income (>30% but <=50% AMI)	449	6%	
Low income (>50% but <80% AMI)	0	0%	
Families with children	5,335	71%	
Elderly families	320	4%	
Families with Disabilities	1,719	23%	
Race/ethnicity W/H	5,052	67%	
Race/ethnicity Black	1,677	22%	
Race/ethnicity Native Am.	534	7%	
Race/ethnicity Asian	225	3%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	2,151	29%	481
2 BR	2,212	30%	642
3 BR	2,363	32%	485
4 BR	703	9%	188
5 BR	57	2%	55
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed?			
<input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the PHA's Waiting Lists			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing - Scattered Sites			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	3,675		511
Extremely low income <=30% AMI	3,455	94%	
Very low income (>30% but <=50% AMI)	220	6%	
Low income (>50% but <80% AMI)	0	0%	
Families with children	3,192	87%	
Elderly families	79	2%	
Families with Disabilities	519	14%	
Race/ethnicity W/H	2,298	63%	
Race/ethnicity Black	970	26%	
Race/ethnicity Native Am.	302	8%	
Race/ethnicity Asian	105	3%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	442	12%	15
2 BR	1,522	41%	219
3 BR	1,333	36%	219
4 BR	302	8%	49
5 BR	35	1%	9
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed?			
<input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the PHA's Waiting Lists			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing - Senior Community-wide			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	2,235		806
Extremely low income <=30% AMI	2,101	94%	
Very low income (>30% but <=50% AMI)	134	6%	
Low income (>50% but <80% AMI)	0	0%	
Families with children	15	1%	
Elderly families	569	26%	
Families with Disabilities	1,588	72%	
Race/ethnicity W/H	1,529	69%	
Race/ethnicity Black	474	22%	
Race/ethnicity Native Am.	142	6%	
Race/ethnicity Asian	57	3%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	2,220	99%	798
2 BR	15	1%	8
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed?			
<input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the PHA's Waiting Lists			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing - Senior Community-wide			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	20,832		4,042
Extremely low income <=30% AMI	15,146	75.3%	
Very low income (>30% but <=50% AMI)	5,098	24.7%	
Low income (>50% but <80% AMI)	0	0%	
Families with children	12,680	61%	
Elderly families	1,297	6%	
Families with Disabilities	6,553	31%	
Race/ethnicity W/H	14,150	68%	
Race/ethnicity Black	4,818	23%	
Race/ethnicity Native Am.	1,269	6%	
Race/ethnicity Asian	595	3%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed?			
<input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the PHA's Waiting Lists			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance – New Construction			
<input type="checkbox"/> Public Housing - Senior Community-wide			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	364		302
Extremely low income <=30% AMI	273	75%	
Very low income (>30% but <=50% AMI)	91	25%	
Low income (>50% but <80% AMI)	0	0%	
Families with children	0	0%	
Elderly families	162	45%	
Families with Disabilities	242	66%	
Race/ethnicity W/H	267	73%	
Race/ethnicity Black	75	21%	
Race/ethnicity Native Am.	14	4%	
Race/ethnicity Asian	8	2%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	364	100%	302
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed?			
<input type="checkbox"/> No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

1. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2005 grants)		
a) Public Housing Operating Fund	\$7,140,000.00	
b) Public Housing Capital Fund	\$3,496,000.00	
c) HOPE VI Revitalization	\$6,024,000.00	
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$38,714,248.00	
f) Resident Opportunity and Self-Sufficiency Grants	\$648,017.00	
g) Community Development Block Grant	\$775,000.00	Other (\$700,000 Housing/ \$75,000 NSD)
h) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
3. Public Housing Dwelling Rental Income	\$5,819,175.00	
4. Other income (list below)		
4. Non-federal sources (list below)		
Total resources	\$62,616,440.00	

1. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)
The application process involves two phases. The first phase is the initial application for housing assistance or the pre-application. The pre-application requires the family to provide limited basic information establishing any preferences to which they may be entitled. This first phase results in the family's placement on the waiting list.

The applicant may at any time report changes in their applicant status including changes in family composition, income, or preference factors. The Housing Department will annotate the applicant's file and will update their place on the waiting list. Changes will be confirmed with the family in writing.

The second phase is the final determination of eligibility for admission, referred to as the full application. The full application takes place when the family nears the top of the waiting list. The Housing Department will ensure that verification of all preferences, eligibility, suitability and selection factors are current in order to determine the family's final eligibility for admission into the Public Housing Program.

If the Housing Department determines the family to be ineligible, the notice will state the reasons and will offer the family the opportunity of an informal review of the determination.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)
- History of disturbing neighbors or destruction of property
 - Commission of fraud in connection with any Federal housing assistance program, including the intentional misrepresentation of information related to their housing application or benefits derived there from
 - History of abusing alcohol or a controlled substance in a way that may interfere with the health, safety, or right to peaceful enjoyment by others
 - History of engaging in or threatening abusive or violent behavior towards Housing

Department staff or residents.

- c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

- a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
 Sub-jurisdictional lists
 Site-based waiting lists
 Other (describe)

The waiting list will be organized by bedroom size, preference, and order of date and time of application. Contact between the applicant and the Housing Department will be included in the applicant file.

The HOPE VI development will use a site-based waiting list when the site is redeveloped. The site-based waiting list may begin during FY 2004/2005.

- b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
 PHA development site management office
 Other (list below)

Pre-applications will be accepted during regular business hours at: **Applications and Information, 830 East Jefferson Street, 1st floor, Phoenix, AZ 85034 on Monday through Friday 8:00 AM – 5:00 PM.** Pre-applications will be mailed to interested families upon request. Applications may also be downloaded from the Internet at <http://phoenix.gov/HOUSING/applica1.pdf> or submitted online at <http://phoenix.gov/HOUSING/houseapp.html> .

The completed pre-application will be dated and time stamped upon its return or submission to the Housing Department.

Persons with disabilities who require a reasonable accommodation in completing a pre-application may call the Housing Department at Voice/602.534.2142 or TDD/602.534.5500 to make special arrangements.

Pre-applications for the site-based waiting list for the HOPE VI development will be accepted at the site office and at the Applications and Information Office at 830 East Jefferson.

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?
One; The HOPE VI development may operate a site-based waiting list beginning in 2004/2005.
2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists? One; When the HOPE VI development's waiting list is implemented, there will be one new site-based waiting list in operation.
3. Yes No: May families be on more than one list simultaneously
If yes, how many lists? A family may be on all lists for which the family is qualified.
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
 - PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)
Pre-applications will be available and accepted at the Housing Department's Applications and Information office at 830 East Jefferson, Phoenix, AZ. The pre-application will be date and time stamped at the Applications and Information office then forwarded to the HOPE VI site office.

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
 - One
 - Two
 - Three or More
- b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

The Scattered Sites program provides prospective residents with a list of the addresses of all homes that are currently vacant. After the family has viewed the exterior of as many homes as they wish from the list, the family may request to see the interiors of three homes.

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)

Resident choice: (state circumstances below)

A family may request a transfer at any time, based upon the situations and conditions listed below, by completing a transfer request form. The Department will review the transfer request in a timely manner and may request an interview with the family. A decision regarding the transfer will be made and communicated to the family within 10 business days following the interview. If no interview is required, a decision will be made and communicated to the family within 10 business days of the receipt of the transfer request.

Category 1: Emergency transfers. These transfers are necessary when conditions pose an immediate threat to the life, health, or safety of a family or one of its members. Such situations may involve the health condition of a family member, a hate crime, the safety of witnesses to a crime, or a law enforcement matter particular to the neighborhood.

Category 2: Immediate property management transfers. These transfers are necessary in order to permit a family that needs accessible features to move to a unit with such a feature or to enable modernization work to proceed.

Category 3: Regular property management transfers. These transfers are made to help meet certain HD occupancy goals, to correct occupancy standards where the unit size is inappropriate for the size and composition of the family, to allow for non-emergency but medically advisable transfers, and other transfers approved by the HD when a transfer is the only or best way of solving a serious problem.

Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Families displaced through City of Phoenix action or are referred from the Maricopa County Attorney Victim Witness Program

The site-based waiting list for HOPE VI may have preferences that are different from the preferences for other public housing waiting lists. The preferences that may be used for the HOPE VI site-based waiting list have not been finalized at this time.

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

- 1 Families displaced through City of Phoenix action or are referred from the Maricopa County Attorney Victim Witness Program
- 2 Date and Time
- 2 Working families and those unable to work because of age or disability

2 Residents who live and/or work in the jurisdiction

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Families displaced through City of Phoenix action or are referred from the Maricopa County Attorney Victim Witness Program

The site-based waiting list for HOPE VI may have preferences that are different from the preferences for other public housing waiting lists. The preferences that may be used for the HOPE VI site-based waiting list have not been finalized at this time.

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)
Move-in briefing

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)
Change in citizenship/eligible immigrant status

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site based waiting lists
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments

Adoption of rent incentives to encourage deconcentration of poverty and income-mixing

Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

Criminal or drug-related activity only to the extent required by law or regulation

Criminal and drug-related activity, more extensively than required by law or regulation

More general screening than criminal and drug-related activity (list factors below)

Other (list below)

Housing agency debt

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

Criminal or drug-related activity

Other (describe below)

Previous address and landlord name if available
Housing debt information is shared through national credit reporting agencies

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

Pre-applications will be accepted during regular business hours at: **Applications and Information, 830 East Jefferson Street, 1st floor, Phoenix, AZ 85034** on **Monday through Friday 8:00 AM – 5:00 PM**. Pre-applications will be mailed to interested families upon request. Applications may also be downloaded from the Internet at <http://phoenix.gov/HOUSING/applica1.pdf> or submitted online at <http://phoenix.gov/HOUSING/houseapp.html>.

The completed pre-application will be dated and time stamped upon its return to the Housing Department.

Persons with disabilities who require a reasonable accommodation in completing a pre-application may call the Housing Department at Voice/602.534.2142 or TDD/602.534.5500 to make special arrangements.

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

The initial term of the voucher will be 60 days and will be stated on the Housing Choice Voucher. The Housing Department may grant one or more extensions of the term, but the initial term plus any extensions will not exceed 120 calendar days from the initial date of issuance. To obtain an extension, the family must make a request in writing prior to the expiration date. A statement of the efforts the family has made to find a unit must accompany the request. A sample extension request form and a form for recording their search efforts will be included in the family's briefing packet. If the family documents their efforts and additional time can reasonably be expected to result in success, the

Housing Department will grant the length of request sought by the family or 60 days, whichever is less.

If the family includes a person with disabilities and the family requires an extension due to the disability, the Housing Department will grant an extension allowing the family the full 120 days search time. The Housing Department will review extensions beyond the 120 days on a case by case basis and may determine the additional search time would be a reasonable accommodation.

Upon submittal of a completed Request for Lease Approval packet, the Housing Department will suspend the term of the voucher. The term will be in suspension until the date the Housing Department provides notice that the request has been approved or denied. This policy allows families the full term (60 days, or more with extensions) to find a unit, not penalizing them for the period during which the Housing Department is taking action on their request. A family may not submit a second request for approval of tenancy before the Housing Department finalizes action on the first request.

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction

- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Families displaced through City of Phoenix action or are referred from the Maricopa County Attorney Victim Witness Program.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

- 1 Families displaced through City of Phoenix action or are referred from the Maricopa County Attorney Victim Witness Program
- 2 Date and Time
- 2 Working families and those unable to work because of age or disability
- 2 Residents who live and/or work in your jurisdiction

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Families displaced through City of Phoenix action or are referred from the Maricopa County Attorney Victim Witness Program.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
 Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
 The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
 Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
 Briefing sessions and written materials
 Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
 Other (list below)
Information about special programs and their requirements are placed in the lobby of the Housing Applications and Information Section.

1. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA’s income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

The Phoenix Housing Authority has set the minimum rent at \$25. However, if a family at minimum rent (when the family's annual adjusted income is less than \$1,000) requests a hardship exemption and provides documentation to the Housing Authority that one or more of the following circumstances exists, the Housing Authority may grant an exemption.

A. A hardship exists in the following circumstances:

1. When the family has lost eligibility for or is waiting an eligibility determination for a Federal, State, or local assistance program, unless the family's reduction in welfare benefits is due to fraud by a member of the family or the family's failure to comply with welfare work requirements.
2. When the family would be evicted as a result of the imposition of the minimum rent requirement
3. When the income of the family has decreased because of changed circumstances, including sustained medical costs or the loss of employment; this does not include a voluntary loss of employment
4. When a death has occurred in the family resulting in a decrease in family income

- B. If the Housing Authority determines there is no qualifying hardship, the minimum rent will be reinstated, including requiring back payment of minimum rent for the time of suspension.
- C. If the Housing Authority determines there is a hardship, the family will be exempt from the minimum rent requirement until the hardship no longer exists. Any hardship exemptions will be reviewed at the next reexamination.
- D. The family may use the grievance procedure to appeal the Housing Authority's determination regarding the hardship. No escrow deposit will be required in order to access the grievance procedure.

c. Rents set at less than 30% than adjusted income

- 1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?
- 2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

- 1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)
 - Yes for all developments
 - Yes but only for some developments
 - No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____
- Other (list below)
Residents must report to the Housing Department any increase or decrease in family composition* or income, within thirty days of its occurrence.

The Housing Department will conduct an interim reexamination based on a decrease in income, an increase in allowable expenses, or other changes in family circumstances that may result in a decrease in rent. The Housing Department will take timely action to process the interim reexamination and recalculate the resident's rent.

The Housing Department will conduct an interim reexamination if a family that is reporting zero income has an increase in income. The Housing Department will offer Family Self Sufficiency participants who report an increase in earned income the option of an interim reexamination to take advantage of the FSS escrow savings account.

* Any additions to family composition, other than through birth or adoption, require advance written approval from the Housing Department. Approval will be granted only if the new household member(s) meets the established eligibility requirements and a unit of the appropriate size is available. The resident must wait for the Housing Department's approval before allowing additional persons to move into the unit.

Although a live-in aide or caretaker is not added to the lease, they must go through the screening process including a background check.

- g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

Participants in the Family Self Sufficiency program will be given the choice of an individual savings account or the phased disallowance of earned income.

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
 Survey of rents listed in local newspaper
 Survey of similar unassisted units in the neighborhood
 Other (list/describe below)

The Flat Rent determinations were compiled by analyzing area rents provided through Internet services, local newspapers, Fair Market Rent and MLS listings. In addition properties in the neighborhoods surrounding the family public housing sites were canvassed.

Flat rents for FY 2004/2005 are listed below:

For Scattered Sites and Senior Housing

North Phoenix

(North of Thomas Road)

Small Studio---\$365

Studio---\$415

1-Bedroom----\$608

2-Bedroom----\$698

3-Bedroom----\$1086

4-Bedroom----\$1358

5-Bedroom----\$1833

Central Phoenix

(Between Thomas Road and Washington St)

Small Studio---\$365

Studio---\$415

- 1-Bedroom----\$617
- 2-Bedroom----\$859
- 3-Bedroom----\$1206
- 4-Bedroom----\$1556
- 5-Bedroom----\$2007

South Phoenix
(South of Washington St)

- 1-Bedroom----\$649
- 2-Bedroom----\$864
- 3-Bedroom----\$1094
- 4-Bedroom----\$1324
- 5-Bedroom----\$1602

All Conventional Family Public Housing Sites

- Studio---\$372.00
- 1/bedroom---\$450.00
- 2/bedroom---\$566.00
- 3/bedroom---\$740.00
- 4/bedroom---\$975.00
- 5/bedroom---\$1,100.00

All flat rents will include utilities.

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard?
(select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard

- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level?

(select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

The Phoenix Housing Authority has set the minimum rent at \$25. However, if a family at minimum rent (when the family's annual adjusted income is less than \$1,000) requests a hardship exemption and provides documentation to the Housing Authority that one or more of the following circumstances exists, the Housing Authority may grant an exemption.

A. A hardship exists in the following circumstances:

1. When the family has lost eligibility for or is waiting an eligibility determination for a Federal, State, or local assistance program, unless the family's reduction in welfare benefits is due to fraud by a member of the family or the family's failure to comply with welfare work requirements
2. When the family would be evicted as a result of the imposition of the minimum rent requirement

3. When the income of the family has decreased because of changed circumstances, including sustained medical costs or the loss of employment, this does not include a voluntary loss of employment
 4. When a death has occurred in the family resulting in a decrease in family income
- B. If the Housing Authority determines there is no qualifying hardship, the minimum rent will be reinstated, including requiring back payment of minimum rent for the time of suspension.
 - C. If the Housing Authority determines there is a hardship, the family will be exempt from the minimum rent requirement until the hardship no longer exists. Any hardship exemptions will be reviewed at the next reexamination.
 - D. In the Section 8 Housing Choice Voucher Program, the granting of a hardship exemption does not include any amount by which the gross rent exceeds the applicable payment standard.
 - E. The family may use the grievance procedure to appeal the Housing Authority's determination regarding the hardship. No escrow deposit will be required in order to access the grievance procedure.

1. Operations and Management, *Not applicable*

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		

Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)
- (2) Section 8 Management: (list below)

1. PHA Grievance Procedures, *Not Applicable*

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- PHA main administrative office
 - PHA development management offices
 - Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based

assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- PHA main administrative office
- Other (list below)

1. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment 10

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

- a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

- The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment 11

-or-

- The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name: Matthew Henson

2. Development (project) number: AZ20P001003, AZ20P001004, AZ20P001007A

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
 Revitalization Plan submitted, pending approval
 Revitalization Plan approved on January 9, 2004
 Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

- Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:
Matthew Henson, AZ20P001003, AZ20P001004, AZ20P001007A

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

Source	Use
HOME- Housing Department	Mortgage buydowns to cover costs associated with construction and land acquisition for 50 to 70 for sale Homeownership units \$1,000,000.00
CDBG- Housing Department	Construction of Community Resource Center (est. @\$300,000.00) Demolition of existing public housing units (est. @\$700,000.00) \$1,000,000.00
CDBG-Neighborhood Services Department	Infrastructure (curbs and sidewalks within Phase 1 project area boundaries, i.e., along the new Hadley or Tonto street and 8 th Avenue alignments.) \$75,000.00

1. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: Matthew Henson 1b. Development (project) number: AZ20P001003, AZ20P001004, AZ20P001007A, as part of HOPE VI project
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(03/10/03)</u>
5. Number of units affected: 372 6. Coverage of action (select one) <input type="checkbox"/> Part of the development

<input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: Spring 2004 b. Projected end date of activity: unknown

Demolition/Disposition Activity Description
1a. Development name: Scattered Sites 1b. Development (project) number: AZ1P001026
2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (09/04/04)
5. Number of units affected: 4 6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: Summer 2004 b. Projected end date of activity: Spring 2005

1. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset

Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name:	Matthew Henson
1b. Development (project) number:	AZ20P001003, AZ20P001004, AZ20P001007A, as part of HOPE VI project
2. Designation type:	Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one)	Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission:	Unknown
5. If approved, will this designation constitute a (select one)	<input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:	100
7. Coverage of action (select one)	<input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development

1. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset

Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

1. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description
 Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project):
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission:
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

1. PHA Community Service and Self-sufficiency Programs, Not Applicable

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8		

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
 If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

1. PHA Safety and Crime Prevention Measures, Not Applicable

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime-and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

2. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

3. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

4. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

- 1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
- 2. Yes No: Was the most recent fiscal audit submitted to HUD?
- 3. Yes No: Were there any findings as the result of that audit?
- 4. Yes No: If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain? ____
- 5. Yes No: Have responses to any unresolved findings been submitted to HUD?

If not, when are they due (state below)?

5. PHA Asset Management, Not Applicable

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)
 Not applicable
 Private management
 Development-based accounting
 Comprehensive stock assessment
 Other: (list below)

3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

1. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
 Attached at Attachment (File name)
 Provided below:

Seven written responses and a number of verbal comments were received from members of the Resident Advisory Board regarding the 2004/2005 Annual Agency Plan. A summary of these comments is below.

1. All of the waiting lists are considered too large, but no recommendation was provided on how to reduce the number of families on the lists.

2. The budget is a concern, specifically inadequate funding and the need to stretch limited funding to continue to serve the same number of families.
3. Date/time was a definite choice over a lottery for wait list placement if any of the wait lists were to be closed and reopened.
4. Families who are in dire need or who have special circumstances, i.e. homeless or disabled, should be given a preference.
5. Potential Section 8 Housing Choice Voucher participants should have an FBI background check as a part of the screening process.
6. The Scattered Sites program should be more aggressively marketed to families who reside in conventional public housing.
7. There was no consensus on the new flat rents - some considered them too high and others thought them appropriate.
8. The HOPE VI project design is excellent and will greatly benefit the community. There is a concern that the funding is insufficient for the project.
9. Relocated HOPE VI residents should be given first preference when the site is ready for re-occupancy.
10. The HOPE VI site-based waiting list is deemed a positive step, but the recommendation was made that applications for the HOPE VI site-based waiting list be accepted at the Housing Department's Applications and Information Office.
11. The progress toward completing a Section 8 Homeownership Program was considered very positive and the RAB was happy this program would be made available. The only concern is the need to carefully screen the potential homeowners since owning a home is a big responsibility.
12. The target area selected for the Project-Based Voucher program is applauded. It is felt that an infusion of vouchers would help diversify the area.

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
This applies to comments 1, 2, 3, 4, 6, 7, 8, 9, 11, and 12.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:
Comment 10
Change: The Housing Department and HOPE VI management understand this is a customer service issue and have agreed that the Department will accept HOPE VI site-based pre-applications at the Applications and Information Office.
- Other: (list below)
Comment 5:
Response: The Department is investigating the possibility of FBI background checks for Section 8 participants and has not made a final decision.

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: City of Phoenix
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.

April 15, 2004

- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Provide home ownership opportunities to first-time buyers, particularly for low and moderate-income families with children.

Provide assisted rental housing opportunities to very-low and low-income elderly, families, homeless persons and other persons with special needs.

Promote supportive services and facilities for frail elderly, disabled persons, low-income families (renters), or other persons with special needs.

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The City of Phoenix Consolidated Plan supports the PHA Plan by including the PHA goals in the Consolidated Plan, providing modernization funding for public housing, identifying the need for assisted housing in the Plan's strategy and including the PHA as a participant in the development of the Consolidated Plan.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

ATTACHMENT 1 - Definition of Substantial Deviation and Significant Amendment or Modification

Substantial Deviation from the 5-Year Plan

Any collective change in the planned or actual use of federal funds for activities that would prohibit or redirect the Housing Department's strategic goals of increasing the availability of decent, safe and affordable housing while promoting self-sufficiency and asset development of families and individuals from being implemented as identified in the Five-year plan.

Any single or collective change in the planned or actual use of federal funds as identified in the Five-year plan that exceeds 20% of the City of Phoenix's annual program budget for Section 8 or public housing activities.

Significant Amendment or Modification to the Annual Plan

Changes of a sufficient nature to the rent or admissions policies, or the organization of the waiting list not required by federal regulatory requirements which would result in a change to the Annual Agency Plan.

Any change in the planned or use of replacement reserve funds under the Capital Fund that exceeds 20% of the City of Phoenix's annual budget.

Changes to the Housing Department's plans effecting the demolition or disposition of public housing, designation of senior or disabled housing, the homeownership program, and a plan to convert public housing units to other than assisted housing.

ATTACHMENT 2 - Admissions Policy for Deconcentration

After analysis of income averages for all properties and each development, it was determined that all of the Housing Department's conventional family housing complexes affected by the deconcentration policy are within the 85% to 115% established income range (EIR). The exceptions are the developments that are part of the department's Scattered Sites Homeownership Program. The department has opted to provide justification for why the families who live in these developments have incomes outside of the EIR.

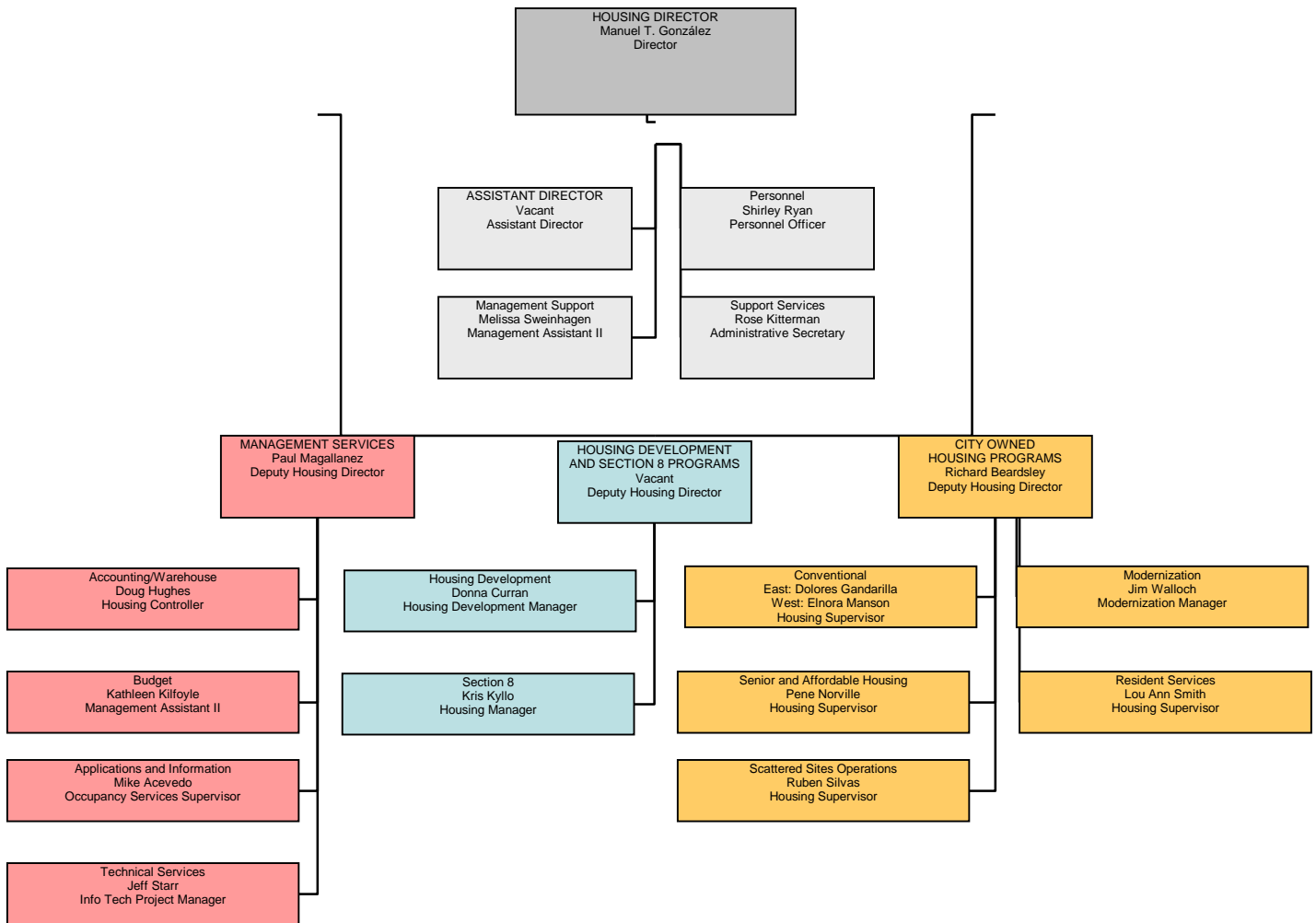
The average and median incomes for the families who live in the Scattered Sites developments are above the EIR for all of the Housing Department's family conventional public housing properties. The units that are located in the developments which make up our Scattered Sites program are the homes that are offered for purchase as a part of the department's approved section 5(h) HUD homeownership program.

One of the qualifications for participation and residency in Scattered Sites is that at least one adult member of the household must be employed at the time of entry into the program. This requirement results in a much higher percentage of families with income from wages in the Scattered Sites program – currently 78% compared to 12% in conventional family public housing. Since most families in Scattered Sites rely on earned income rather than fixed income sources, their average annual incomes are much higher, \$21,420 versus \$7,172 for other family public housing.

In addition, the Scattered Sites Homeownership Program promotes the deconcentration of poverty throughout Phoenix. The entire program is made up of single family dwellings located throughout the city of Phoenix. So, in addition to the benefits to the city of potential first time homeowners, the program disperses low income families throughout the city, rather than placing them in one or more large apartment complexes.

ATTACHMENT 3 - Management Organizational Chart

**City of Phoenix
 Housing Department
 236.3 Authorized Positions**



ATTACHMENT 4 - Community Service and Self Sufficiency Policy for Resident of Public Housing

A. Background

The Quality Housing and Work Responsibility Act of 1998 requires that all non-exempt (see definitions) public housing adult residents (18 or older) contribute eight (8) hours per month of community service (volunteer work) or participate in eight (8) hours of training, counseling, classes or other activities that help an individual toward self sufficiency and economic independence. This is a requirement of the Public Housing Lease.

B. Definitions

Community Service - volunteer work which includes, but is not limited to:

- Work at a local institution including but not limited to: school, child care center, hospital, hospice, recreation center, senior center, adult day care center, homeless shelter, indigent feeding program, cooperative food bank, etc.;
- Work with a non-profit organization that serves PHA residents or their children such as: Boy Scouts, Girl Scouts, Boys or Girls clubs, 4-H program, PAL, Garden Center, Community cleanup programs, beautification programs, other youth or senior organizations;
- Work at the Authority to help improve physical conditions;
- Work at the Authority to help with children's programs;
- Work at the Authority to help with senior programs;
- Helping neighborhood groups with special projects;
- Working through resident organization to help other residents with problems, serving as an officer in a Resident organization, serving on the Resident Advisory Board; and
- Caring for the children of other residents so they may volunteer.

NOTE: Political activity is excluded.

Self Sufficiency Activities - activities that include, but are not limited to:

- Job readiness programs;

- Job training programs;
- GED classes;
- Substance abuse or mental health counseling;
- English proficiency or literacy (reading) classes;
- Apprenticeships;
- Budgeting and credit counseling;
- Any kind of class that helps a person toward economic independence; and
- Full time student status at any school, college or vocational school.

Exempt Adult - an adult member of the family who

- Is 62 years of age or older;
- Has a disability that prevents him/her from being gainfully employed;
- Is the caretaker of a disabled person;
- Is working; or
- Is participating in a welfare to work program.

C. Requirements of the Program

1. The eight (8) hours per month may be either volunteer work or self sufficiency program activity, or a combination of the two.
2. At least eight (8) hours of activity must be performed each month. An individual may not skip a month and then double up the following month, unless special circumstances warrant special consideration. The Authority will make the determination of whether to allow or disallow a deviation from the schedule.
3. Activities must be Performed within the community and not outside the jurisdictional area of the Authority.
4. Family obligations

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- a. At lease execution or re-examination after February 1, 2000, all adult members (18 or older) of a public housing resident family must
 - b. provide documentation that they are exempt from Community Service requirement if they qualify for an exemption, and
 - c. sign a certification that they have received and read this policy and understand that if they are not exempt, failure to comply with the Community Service requirement will result in nonrenewal of their lease.
- d. At each annual re-examination, non-exempt family members must present a completed documentation form (to be provided by the Authority) of activities performed over the previous twelve (12) months. This form will include places for signatures of supervisors, instructors, or counselors certifying to the number of hours contributed.
- e. If a family member is found to be noncompliant at re-examination, he/she and the Head of Household will sign an agreement with the Authority to make up the deficient hours over the next twelve (12) month period.

1. Change in exempt status:

- If, during the twelve (12) month period, a non-exempt person becomes exempt, it is his/her responsibility to report this to the Authority and provide documentation of such.
- If, during the twelve (12) month period, an exempt person becomes non-exempt, it is his/her responsibility to report this to the Authority. The Authority will provide the person with the Recording/Certification documentation form and a list of agencies in the community that provide volunteer and/or training opportunities.

D. Authority obligations

1. To the greatest extent possible and practicable, the Authority will:

- provide names and contacts at agencies that can provide opportunities for residents, including disabled, to fulfill their Community Service obligations. (*According to the Quality Housing and Work Responsibility Act, a disabled person who is otherwise able to be gainfully employed is not necessarily exempt from the Community Service requirement*); and
- provide in-house opportunities for volunteer work or self sufficiency programs.

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1. The Authority will provide the family with exemption verification forms and Recording/Certification documentation forms and a copy of this policy at initial application and at lease execution.
2. The Authority will make the final determination as to whether or not a family member is exempt from the Community Service requirement. Residents may use the Authority's Grievance Procedure if they disagree with the Authority's determination.
3. Noncompliance of family member:
 - At least thirty (30) days prior to annual re-examination and/or lease expiration, the Authority will begin reviewing the exempt or non-exempt status and compliance of family members;
 - If the Authority finds a family member to be noncompliant, the Authority will enter into an agreement with the noncompliant member and the Head of Household to make up the deficient hours over the next twelve (12) month period;
 - If, at the next annual re-examination, the family member still is not compliant, the lease will not be renewed and the entire family will have to vacate, unless the noncompliant member agrees to move out of the unit;
 - The family may use the Authority's Grievance Procedure to protest the lease termination.

ATTACHMENT 5 - City of Phoenix Housing Department Pet Policy

The City of Phoenix Housing Department, the Public Housing Authority, has adopted a Pet Policy for residents of Public Housing programs. It is Housing Department policy that all tenants be allowed quiet enjoyment of the premises. No pet will be allowed or permitted to remain that constitutes a nuisance or threat to Housing Department staff or detracts from any neighbor's quiet enjoyment of his/her home. Violation of any of the rules for pets and addendum to lease will be considered a breach of the Lease and may be cause for eviction.

Exclusions - This policy does not apply to animals that are used to assist persons with disabilities. Assistive animals are allowed in all public housing facilities with no restrictions other than those imposed on all tenants to maintain their units and associated facilities in a decent safe, and sanitary manner and to refrain from disturbing their neighbors.

Approval - Residents must have prior approval of the Housing Department before moving a pet into their unit. Residents must request approval on the Authorization for Pet Ownership Form that must be fully completed before the Housing Department will approve the request. As a prerequisite to pet ownership, the tenant must be able to demonstrate the ability to care for the pet. Good payment history, satisfactory household inspections and absence of recorded lease violations during the past three years will demonstrate this ability.

Types and Number of Pets - The Housing Department will allow only one domesticated dog or cat per household. The animal may not exceed twenty-five (25) pounds in weight when fully grown. All dogs or cats must be neutered or spayed. A veterinary certificate must be provided to the Housing Department. Any animal deemed to be potentially harmful to the health or safety of others, including attack or fight trained dogs, will not be allowed.

Inoculations/License - Prior to approval of pet, tenant will be required to show proof that his/her pet has been properly vaccinated and that all-local licensing requirement have been met. No Pet Addendum will be executed and the pet shall not be allowed on the premises if it has not been properly vaccinated. On an annual basis, tenant must bring current license and proof of vaccination of pet to the Housing Department. Failure to do so will result in removal of the pet from the household.

Pet Deposit - A pet deposit of \$100.00 shall be required. The pet deposit is to cover potential damage by the pet and will be returned in part or full depending on the cost of damages incurred as a direct result of the pet. Damages will be assessed by the Housing

Department at the time of tenant vacate or the time tenant disposes of the pet. The deposit will not bear interest. A minimum of \$25.00 of the pet deposit must be collected

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as the initial payment toward the full deposit. The remaining balance must be paid in a maximum of three successive monthly payments of \$25.00.

Financial Obligation of Residents - Any resident who owns or keeps a pet in their dwelling unit will be required to pay for any damages caused by the pet. Also, any pet-related insect infestation in the pet owner's unit will be the financial responsibility of the pet owner and the Housing Department reserves the right to exterminate the dwelling unit and charge the resident.

Pet Care/Pet Identification - Tenant will be solely responsible for the maintenance of the pet in a healthy environment and will insure that the pet receives proper care and humane treatment. Pets requiring licensing shall wear a collar displaying identification. Failure to comply will result in removal of the pet. Tenant shall provide the name, address and phone of someone who would keep the animal in the event of owner illness or death.

Nuisance or Threat to Health or Safety - The pet and its living quarters must be maintained in a manner to prevent odors and any other unsanitary conditions in the owner's unit and surrounding areas. Repeated substantiated complaints by neighbors or Housing Department personnel regarding pets disturbing the peace of neighbors through noise, odor, animal waste, or other nuisance will result in the owner having to remove the pet or vacate his/her housing unit.

Designation of Pet Area - Pets must be kept in the owner's apartment or on a leash at all times when outside. No outdoor cages/dog houses may be constructed. Pets will not be tethered outside of units. When outdoors, pets will be on a leash no longer than five feet in length, or carried in a closed, ventilated container used for the purposes of transporting live animals. The tenant shall insure that the pet does not wander into neighboring yards or common areas. Pets will be allowed only in designated areas on the grounds of the projects. Pet owner's must clean up after their pets and are responsible for disposing of pet waste and debris. Pet owners not cleaning up the waste will be charged \$5.00 for each violation. Continuous violations of this rule may lead to eviction.

Visiting Pets – Visitors, including family members who are not on the lease, may not bring pets onto the premises without prior written approval of the Housing Department.

Removal of Pets - The Housing Department, or an appropriate community authority, shall require the removal of any pet from a housing site if the pet's conduct or condition is determined to be a nuisance or threat to the health or safety of other occupants of the site or of other persons in the community.

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Pet Liability - Tenants that elect to be pet owners, indemnify the Housing Department for all claims regarding any loss or personal injury caused by the tenant's pet to any other tenant, guest or Housing Department employee on the premises. The tenant agrees to report immediately any damage caused by the pet and to pay charges for repair to the premises, buildings, facilities and common areas caused by the pet.

ATTACHMENT 6 - Resident Membership of the Governing Board

Theresa Mitchell, a current participant in the Section 8 Housing Choice Voucher Program, is the resident representative on the City of Phoenix Assisted Housing Governing Board.

ATTACHMENT 7 - Membership of the Resident Advisory Board

Board Member	PHA Program
Joyce Bradley	Scattered Sites
Valerie Ellington	Scattered Sites
Patricia Graves	Maryvale Parkway Terrace
Anita Johnson	Section 8
Irene King	Sunnyslope Manor
Leo Lopez	Marcos de Niza
Theresa Mitchell	Section 8
Evelyn Morales	Washington Manor
Joan Mundy	Fillmore Gardens
Jeanetta Shuford	Luke Krohn
Betty Silva	Section 8
Theresa Simpson	Pine Towers
Phoebe Thomas	Section 8
Victor Valencia	Matthew Henson

ATTACHMENT 8 - Project-Based Voucher Program

The Housing Department intends to implement a project-based assistance program to increase affordable housing opportunities for low-income families. As many as 100 vouchers of the 5,269 vouchers under Annual Contributions Contract will be project-based.

General locations considered will include areas of the City of Phoenix identified as Enterprise Communities, Redevelopment Areas or Neighborhood Initiative Areas. Eligible housing will be limited to existing multi-housing apartment communities offering a variety of bedroom sizes (1 – 3 bedrooms).

The Housing Department believes that project-basing within our jurisdiction is needed to assure the availability of affordable units.

ATTACHMENT 9 - Progress in Meeting the 5-Year Plan Mission and Goals

STRATEGIC GOAL: Increase the Availability of Decent, Safe, and Affordable Housing

PHA Goal: To expand the supply of assisted/affordable housing

- During 2003-04 the City applied for 50 Mainstream Vouchers, but was not awarded any new Housing Choice Vouchers for disabled households. We anticipate applying for any funding opportunities which may be available and will increase the number of vouchers administered.
- The City has worked hard to accomplish a 2.12% vacancy rate in 2003-04. The City is revitalizing and modernizing its public housing units to make them more attractive to program participants.
- The Matthew Henson HOPE VI revitalization plan is expected to result in a one-for-one replacement of public housing units (372, excluding the 16 units to be rehabbed for historic preservation purposes). The revitalization effort will result in public/private partnerships and a build-out of more affordable housing units on-site and throughout the designated Special Redevelopment Area (RDA), which extends outside of the Matthew Henson property.
- In August 2002, the Housing Department completed the construction of a 36-unit senior housing apartment complex. The project used General Bond Funds for the construction of the apartment complex. The facility will be part of the City's Affordable Housing Program that provides below market rate rental units.
- The Housing Department recently purchased four affordable housing communities which consist of 320 apartments. The four properties were purchased with General Obligation Bond funds in the amount of \$1,555,476. Modernization of the apartments and properties has been initiated and completion is scheduled for June 2004.
- In March 2001, Phoenix voters approved an allocation of \$33,700,000 in General Obligation (GO) Bonds for affordable housing, both multi-family rental and senior housing, to develop quality affordable housing for households with incomes below 60% of median. Since 2001, the Housing Department has made GO Bond funding commitments of \$12,800,000 for multi-family rental housing and \$8,994,000 for three senior housing developments.

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- From July 2002 through December 2003, the Housing Department completed La Cascada II, a 36-unit award winning senior housing complex, and is a partner in the development of two additional senior housing communities, the 289-unit Westward Ho project, and The Doves, a 19-unit project for senior victims of domestic violence.
- The Housing Department is also a development partner in the completion of two multi-family apartment communities, the 420-unit Bay Club and the 206-unit Summit Apartments. Four additional multi-family affordable projects are in the development stage: the renovation of the downtown 139-unit YMCA, the 264-unit new construction Liberty Cove apartment community, the renovation of the 325-unit Arborwood Apartments, and the 150-unit Hacienda at Sunnyslope new construction project.

PHA Goal: To improve the quality of assisted housing

- The City of Phoenix Housing Department has been a high performer for the last nine years and in 1999 achieved a Public Housing Management Assessment Program (PHMAP) score of 100%. The Department's 2003 Public Housing Assessment System (PHAS) score is 92 which indicates that Phoenix has earned the high performer designation. The Department continues to strive to maintain a 98% public housing occupancy rate and a 98% utilization rate for Section 8 through 2005.
- A more consistent Housing Choice Voucher issuance briefing has been implemented through the use of a PowerPoint presentation. It has also shortened the briefing time from almost 2.5 hours to 1.5 hours, a great time savings for customers and staff.
- During year four of our five year plan, the city continued to evaluate and modify design plans for the exterior, interior and landscaping of its public housing sites as funding permitted. It is the goal of the city to provide a well maintained and functional environment for our housing residents. The city strives to be a positive example of well administered housing in the neighborhood.

The city continued to improve the curb appeal of its public housing developments by completing its five year goal to paint the exterior of all our public housing complexes. The city has made progress improving our public housing developments by constructing an additional resident parking lot, re-roofing nine residential units due to termite damage and creating a new community center by remodeling an unused police action league building. The city improved HVAC systems and efficiency in public housing developments by replacing 490 evaporative coolers, replacing 148 furnaces and weather striping 375 residential units. The city also improved landscaping at various sites by installing new playground equipment, replacing perimeter fencing and installing additional security and area lighting upgrades. Safety was also improved by replacing fire alarm systems in three elderly apartment complexes. These

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improvements have helped enhance site presentation, increased tenant utilization and added to the safety of our residents.

- Within the program year, the city has completed the design and is looking forward to the rejuvenation of our public housing Foothills Village Apartments complex. The 18 acre site has 200 multi-family rental units. The complex has 32 two story apartment buildings, an administrative office and community building and maintenance facilities. \$3 million in city bond funds have been committed for the project. Because the project has limited funds, a Construction Manager at Risk (CMAR) delivery method was selected for the project. This process has the selected architect and contractor participating as a team in the design and estimating of the project. Although price and fees are negotiated, the emphasis of selection is on the best qualified firms. The CMAR process is a team approach and resulted in a guaranteed maximum price within the budget. The complex will be renovated to include upgraded building exteriors, landscape improvements, addition of a chiller to the HVAC system, remodeling of the administrative office and community building and enhancements to unit interiors.

The scope of work includes:

- Modernize building exteriors according to design
 - Re-stucco exterior of all buildings
 - Landscape improvements
 - Installation of chiller system for complex
 - Exterior area lighting improvements
 - Parking lot improvements
 - Interior lighting upgrades
 - Bathroom renovations
 - Renovation of community buildings
 - And general mechanical, plumbing and electrical renovation and repairs
- The Housing Department has worked closely with the City Police Department to reduce crime in and around the public housing sites.
 - During 2003/2004 the Housing Department was unable to encourage any police officers to live in its developments.
 - In an effort to improve the Matthew Henson public housing development, the Housing Department applied for and received a HOPE VI application to revitalize the public housing at this site and encourage mixed income rental units.
 - The Housing Department has maintained an average response time of 24 hours when responding to emergency work orders and an average response time of less than five working days to respond to routine work orders.

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- The Housing Department continues to evaluate and modify design plans for the exterior, interior and landscaping of its public housing sites as funding permits. It is the goal of the Department to provide a well maintained and functional environment for our housing residents. The city strives to be a positive example of well administered housing in the neighborhood.
- The Matthew Henson HOPE VI revitalization plan is expected to result in a one-for-one replacement of public housing units (372, excluding the 16 units to be rehabbed for historic preservation purposes). The revitalization effort will result in public/private partnerships and a build-out of more affordable housing units on-site and throughout the designated Special Redevelopment Area (RDA), which extends outside of the Matthew Henson property.
- HOPE VI will result in replacing assisted housing units built in the 1940s and 1950s, with new, larger, and more energy-efficient units. The newer units will be in compliance with building safety codes and adhere to HUD relevant accessibility requirements. Also, more community gathering spaces will be created, as well as community resource/youth activity centers, and commercial/retail space.

PHA Goal: Increase assisted housing choice

- Homeownership opportunities continue to be expanded by the city's Family Self Sufficiency Program and the Scattered Sites Homeownership Program. There have been eighteen (18) families that have moved to home ownership from the assisted housing programs this past fiscal year.
- In an effort to explain the importance of public housing to the community, the Housing Department has made 37 presentations to various community groups. The Housing Department utilizes web sites to provide information about our facilities, activities and programs.
- The HOPE VI project boundaries extend well beyond the current Matthew Henson site. Neighborhood revitalization is expected to occur on approximately 160 acres and Matthew Henson constitutes about one-quarter of the total project site. In addition to the 469 units being built on-site, which include senior, market rate rental and family public housing, an additional 142 units consisting of homeownership opportunities, and affordable rental housing units will be built throughout the remaining acreage. The area will also create the potential for Section 8 and/or scattered site housing. One of the main focuses of the revitalization project is to encourage and enhance affordable housing opportunities in the area.

STRATEGIC GOAL: Promote self-sufficiency and asset development of families and individuals

PHA Goal: Promote self-sufficiency and asset development of assisted households

Resident Programming

The Housing Department is fully committed to programs that promote economic opportunity and social integration for residents of public and assisted housing. The Housing Department started its resident development programs in 1989 and now has over 700 residents participating in one or more of the following activities.

Public Housing Drug Elimination Program (PHDEP)

- PHDEP which is funded for our agency through November 2003 included several components with the goal of reducing drug usage in public housing by addressing the educational and economic development of residents.

All programs have been continued through ROSS (Resident Opportunities and Self Sufficiency) or public housing operating funds.

The components that address these needs were:

- The Unidos Project, an adult education program, was a collaborative effort between the Housing Department, the city's Human Services Department, and the Maricopa County Community Colleges. Classes available have included GED preparation, ESOL, Food Handler's Certification, childcare, computer skills and career development training. Over 250 adults have taken at least one class since Unidos began in 1995.
- The Harmon Institute, a partnership between Housing Department, the city's Library Department and several non-profit agencies, focuses on GED, ESL and computer training. Since the beginning of the program in January 1999, over 90 residents of public housing have participated.
- Each of the five family oriented conventional housing sites has a computer lab used by children and adults. South Mountain Community College offers self paced college level classes at these labs. More than 235 youth and adults have used the computer labs in the last six months.
- An on-site caseworker promotes self-sufficiency in the family public housing communities through their individual work with the families. The case manager makes referrals to agencies, notifies residents of potential employment opportunities and assists in financial budgeting and problem solving.

Economic Initiatives Program

The Economic Initiatives Program (EIP) is a job training program designed to assist residents of public housing with personal and technical job skills. Participants may pursue careers in maintenance, computers, health care or other areas of interest. Since 1994 over 100 residents have found employment through the program.

The Housing Department was awarded an Apprenticeship grant to provide apprenticeship opportunities related to the operation of public housing for residents of public and Section

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8 housing. Partnerships were formed with the painter's, electrician's, carpenter's and pipefitter's unions to ensure the success of the participants. Twelve residents have completed two of the three phases of the program.

Family Self Sufficiency (FSS)/Resident Opportunities and Self Sufficiency (ROSS)

The Housing Department, in partnership with the city's Human Services Department, has administered a Family Self-Sufficiency (FSS) Program since 1992. Dedicated case management staff link Housing Department families to social services that promote economic growth and financial independence. The program has 242 active conventional housing and Section 8 Program participants. The FSS Program has 131 participants employed (54%), with 115 participant families (48%) building escrow accounts.

Since October 21, 1998, 131 families have completed their goals and graduated from the program. Of the graduates, 64 have become homeowners.

To provide additional resources for the families in the FSS program, the Housing Department has applied for and received two ROSS grants. These grants fund supportive services and an additional case manager for the FSS program. The funding will expand families' options for overcoming barriers.

In April 2003, the FSS Program received an \$80,000 IDEA (Individual Development Empowerment Account) Program grant through a partnership with the Federal Home Loan Bank/San Francisco, World Savings Bank, and ACORN Housing Corporation Arizona. IDEA Program funds match up to \$3 for every escrow dollar earned (up to \$15,000) by a FSS family for down payment and closing costs for first-time homeownership. The IDEA Program has helped 6 FSS families become homeowners. The funding is spent and we have applied for new funds in 2004.

Resident Opportunities and Self Sufficiency (ROSS) Service Coordinators

Each Senior Housing facility has a service coordinator stationed on site to assist elderly and disabled residents in maintaining independent living.

The coordinators provide or coordinate with local resources to assist residents with: business and/or medical correspondence, telephone service, meals, emergency food boxes, counseling, transportation, nutrition, employment, volunteer opportunities, financial assistance, medical assistance, housekeeping, in-home health services, legal assistance, etc.

The service coordinators provided ongoing assistance to 29% of the elderly and disabled residents. Annually, the coordinators provided 3,900 hours of counseling and referral assistance.

Special Opportunities for Adult Residents (SOAR)

To increase independent living opportunities for seniors and persons with disabilities, the Housing Department was recently awarded a Resident Opportunities and Self Sufficiency

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(ROSS) grant. The program was named Special Opportunities for Adult Residents or SOAR.

SOAR has begun a collaborative endeavor between the Housing Department and the city's Human Services Department that will maximize independence and minimize isolation for 250 seniors and persons with disabilities who live in conventional public housing. Transportation, educational classes, Internet access, noon meals and other supportive services will be available to participants.

In December 2002, the Housing Department was awarded \$1.15 million for three ROSS programs. These grants will be a welcome addition to the services already offered to residents of public and assisted housing. YES (Youth + Education = Success) encourages youth to finish high school through the provision of supportive services, internships and other activities. The LINKS program (Linking Information, Needs, Knowledge and Services) will continue, expand and enhance the on-site Computer Technology Centers which were begun with PHDEP funds. The Homeownership and Supportive Services grant has already provided training for 17 residents of public housing who wish to become homeowners.

HOPE VI

HOPE VI Community and Supportive Services (CSS) staff has been working with residents to review their original assessment and develop family self sufficiency plans with those residents who accept services. Referrals are being made to and coordinated with various community agencies that can assist residents to meet their goals. These referrals include job training, educational opportunities, ESL classes, and counseling services. Other related services include basic need assistance, youth services, youth employment, and senior/disabled services. Quarterly Senior Focus Groups and bi-monthly Youth Council/Focus groups are being held.

There are four client populations that the CSS program is tracking and providing services these include: 19-64 year old residents, disabled residents, senior residents and youth. To date the program is on track meeting most of this years planned goals. The program has surpassed their goals for Job Skill Program enrollments, High School/GED enrollments, and Job Skill Training completions by 138%. Fifteen resident households are enrolled in the Family Self Sufficiency Program.

These efforts are designed to also ensure that residents are prepared to take advantage of Section 3 job opportunities that will be available as part of the revitalization project. Currently seven HOPE VI and other public housing residents completed both Lead and Asbestos Worker Certification.

ATTACHMENT 10 - Section 8 Homeownership Capacity Statement

The City of Phoenix Housing Department has chosen to demonstrate its capacity to administer the Section 8 Homeownership Program by establishing a minimum homeowner downpayment requirement of at least 3 percent of the purchase price and will require that at least 1 percent of the purchase price comes from the family's resources.

This year the Housing Department will undertake the following actions to implement the Section 8 Homeownership Program:

- Develop program eligibility criteria, i.e. income, credit worthiness, tenant history, self-sufficiency program participation.
- Develop program operational criteria, i.e. administrative and management functions.
- Determine which financial model would be most appropriate for the client and PHA.
- Establish relationships with non-profit organizations and lenders in order to facilitate product financing.
- Establish homeownership training for interested clients.
- Establish pre- and post- purchase counseling services for interested clients (one-on-one counseling sessions will be provided by a non-profit agency.)
- Identify and recruit clients who would be interested in this program, then provide the necessary training and counseling that would result in the client achieving the goal of homeownership.

ATTACHMENT 11 - Site-based Waiting List

A site-based waiting list will be instituted when Matthew Henson, a HOPE VI development, is ready for re-occupancy. At that time, the waiting list will be sorted by income tiers and within each income tier will be prioritized using the Housing Department's preferences as detailed in this Annual Agency Plan.

Additional details will be available in the Matthew Henson Homes Phase I Regulatory and Operating Agreement and the Matthew Henson Homes Phase I Rental Management Plan.

**ATTACHMENT 12 - Capital Fund Program Annual Statement
 Parts I, II, and III**

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: City of Phoenix, Housing Dept.		Grant Type and Number Capital Fund Program Grant No: AZ20P00150104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$2,500,000			
2	1406 Operations	\$325,000			
3	1408 Management Improvements Soft Costs	\$255,000			
	Management Improvements Hard Costs	0			
4	1410 Administration	\$340,000			
5	1411 Audit	\$4,000			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	\$300,000			
8	1440 Site Acquisition	0			
9	1450 Site Improvement	\$387,000			
10	1460 Dwelling Structures	\$1,215,000			
11	1465.1 Dwelling Equipment—Nonexpendable	\$85,000			
12	1470 Nondwelling Structures	\$70,000			
13	1475 Nondwelling Equipment	\$350,000			
14	1485 Demolition	0			
15	1490 Replacement Reserve	0			
16	1492 Moving to Work Demonstration	0			
17	1495.1 Relocation Costs	\$50,000			
18	1499 Development Activities	0			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: City of Phoenix, Housing Dept.		Grant Type and Number Capital Fund Program Grant No: AZ20P00150104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
19	1502 Contingency	\$115,000			
		0			
	Amount of Annual Grant: (sum of lines 2-19)	\$3,496,000			
	Amount of line 16 Related to LBP Activities				
	Amount of line 16 Related to Section 504 compliance				
	Amount of line 16 Related to Security –Soft Costs				
	Amount of Line 16 related to Security-- Hard Costs				
	Amount of line 16 Related to Energy Conservation Measures	\$380,000			
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part II: Supporting Pages							
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
AZ20P001001 Marcos de Niza	Seal and stripe alleys and parking lots	1450		\$35,000			
	Subtotal			\$35,000			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program Grant No: AZ20P00150104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost		Status of Work
AZ20P001002	Enlarge rear patios		1460	230	\$120,000			
Frank Luke Homes								
	Subtotal				\$120,000			
AZ20P001005	Seal and stripe alleys and parking lots		1450		\$35,000			
Marcos de Niza Addition								
	Subtotal				\$35,000			
AZ20P001006	Upgrade electrical service to units		1460	138	\$475,000			
Frank Luke	Replace refrigerators (as needed)		1465.1	70	\$30,000			
Addition	Replace ranges (as needed)		1465.1	70	\$25,000			
	Subtotal				\$530,000			
AZ20P001007	Replace faucets and P-traps in kitchens and bathrooms		1460	292	\$40,000			
Sidney P. Osborn								
	Subtotal				\$40,000			
AZ20P001008A	Seal and stripe alleys and parking lots (8A-1)		1450		\$15,000			
A. L. Krohn Homes								

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages							
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work
	Subtotal				\$15,000		
AZ20P001008B	Apply roof coating		1460	27	\$25,000		
A. L. Krohn Homes							
	Subtotal				\$25,000		
AZ20P001009	Landscape repairs		1450		\$12,000		
Maryvale Parkway Terrace							
	Subtotal				\$12,000		
AZ20P001010	Replace Parking Lot		1450		\$40,000		
Washington Manor							
	Subtotal				\$40,000		
AZ20P001016	Landscape improvements		1450		\$200,000		
Foothills Village	Site walls		1450		\$0		
	Community building renovation		1470		\$70,000		
	Purchase chiller equipment, cooling tower, boilers and air handlers		1475	200	\$350,000		
	Subtotal				\$620,000		

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program Grant No: AZ20P00150104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
AZ20P001018 Scattered Sites	Exterior painting		1460	3	\$5,000			
	Subtotal				\$5,000			
AZ16P001022 Scattered Sites	Exterior painting		1460	3	\$5,000			
	Subtotal				\$5,000			
AZ16P001023 Pine Towers Apts.	Tile kitchens		1460	156	\$40,000			
	Subtotal				\$40,000			
AZ16P001024 Scattered Sites	Exterior Painting		1460	3	\$5,000			
	Subtotal				\$5,000			
AZ20P001026 Scattered Sites	Exterior Painting		1460	3	\$5,000			
	Subtotal				\$5,000			
AZ16P001029 McCarty Apts.	Replace boilers		1465.1	2	\$30,000			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program Grant No: AZ20P00150104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost		Status of Work
	Subtotal				\$30,000			
AZ20P001033 Scattered Sites	Exterior Painting		1460	3	\$5,000			
	Subtotal				\$5,000			
AZ20P001034 Scattered Sites	Exterior Painting		1460	3	\$5,000			
	Subtotal				\$5,000			
AZ20P001035 Scattered Sites	Exterior Paint		1460	3	\$5,000			
	Subtotal				\$5,000			
AZ20P001036 Scattered Sites	Interior Remodeling/bathroom upgrades, Kitchen cabinet & fixture replacements, Electrical upgrades, flooring replacement Roof replacement, HVAC/evap coolers		1460	3	\$66,250			
	Subtotal				\$66,250			
AZ20P001037 Scattered Sites	Interior Remodeling/bathroom upgrades, Kitchen cabinet & fixture replacements, Electrical upgrades, flooring replacement Roof replacement, HVAC/evap coolers		1460	8	\$131,500			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program Grant No: AZ20P00150104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost		Status of Work	
	Subtotal				\$131,500				
AZ20P00138	Site Improvements		1450	5	\$50,000				
Scattered Sites	Interior Remodeling/bathroom upgrades,		1460	1	\$16,000				
	Kitchen cabinet & fixture replacements,				0				
	Electrical upgrades, flooring replacement				0				
	Roof replacement, HVAC/evap coolers				0				
	Subtotal				\$66,000				
AZ20P001039	Interior Remodeling/bathroom upgrades,		1460	3	\$50,000				
Scattered Sites	Kitchen cabinet & fixture replacements,								
	Electrical upgrades, flooring replacement								
	Roof replacement, HVAC/evap coolers								
	Subtotal				\$50,000				
AZ20P0001040	Interior Remodeling/bathroom upgrades,		1460	3	\$50,000				
Scattered Sites	Kitchen cabinet & fixture replacements,								
	Electrical upgrades, flooring replacement								
	Roof replacement, HVAC/evap coolers								
	Subtotal				\$50,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program Grant No: AZ20P00150104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost		Status of Work
AZ20P001041	Interior Remodeling/bathroom upgrades, Scattered Sites		1460	2	\$33,250			
	Kitchen cabinet & fixture replacements,							
	Electrical upgrades, flooring replacement							
	Roof replacement, HVAC/evap coolers							
	Subtotal				\$33,250			
AZ20P001042	Interior Remodeling/bathroom upgrades, Scattered Sites		1460	2	\$33,250			
	Kitchen cabinet & fixture replacements,							
	Electrical upgrades, flooring replacement							
	Roof replacement, HVAC/evap coolers							
	Subtotal				\$33,250			
AZ20P001043	Interior Remodeling/bathroom upgrades, Scattered Sites		1460		\$33,250			
	Kitchen cabinet & fixture replacements,							
	Electrical upgrades, flooring replacement							
	Roof replacement, HVAC/evap coolers							
	Subtotal				\$33,250			
AZ20P001045	Interior Remodeling/bathroom upgrades, Scattered Sites		1460	2	\$33,250			
	Kitchen cabinet & fixture replacements,							
	Electrical upgrades, flooring replacement							
	Roof replacement, HVAC/evap coolers							

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program Grant No: AZ20P00150104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost		Status of Work
	Subtotal				\$33,250			
AZ20P001046 Scattered Sites	Interior Remodeling/bathroom upgrades, Kitchen cabinet & fixture replacements, Electrical upgrades, flooring replacement Roof replacement, HVAC/evap coolers		1460	2	\$33,250			
	Subtotal				\$33,250			
AZ20P001099 Projectwide Activities	Operations Economic Initiatives Administration Training Audit fees Professional fees and costs Relocation costs Contingency Remodel Foothills Village Apartments		1406 1408 1410 1410.1 1411 1430 1495.1 1502 9999		\$325,000 \$255,000 \$325,000 \$15,000 \$4,000 \$300,000 \$50,000 \$115,000 \$2,500,000			
	Subtotal				\$3,889,000			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program Grant No: AZ20P00150104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program No AZ20P00150104 Replacement Housing Factor No:				Federal FY of Grant: 2004	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
AZ20P001001	07/2006			06/2008			
AZ20P001002	07/2006			06/2008			
AZ20P001005	07/2006			06/2008			
AZ20P001006	07/2006			06/2008			
AZ20P001007	07/2006			06/2008			
AZ20P001008A	07/2006			06/2008			
AZ20P001008B	07/2006			06/2008			
AZ20P001009	07/2006			06/2008			
AZ20P001010	07/2006			06/2008			
AZ20P001016	07/2006			06/2008			
AZ20P001018	07/2006			06/2008			
AZ16P001022	07/2006			06/2008			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages							
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work
AZ16P001023	07/2006		06/2008				
AZ16P001024	07/2006		06/2008				
AZ20P001026	07/2006		06/2008				
AZ16P001029	07/2006		06/2008				
AZ20P001033	07/2006		06/2008				
AZ20P001034	07/2006		06/2008				
AZ20P001037	07/2006		06/2008				
AZ20P001045	07/2006		06/2008				
AZ20P001046	07/2006		06/2008				
AZ20P001099	07/2006		06/2008				

ATTACHMENT 13 - Optional Table for 5 Year Action Plan for Capital Fund

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001001	Marcos de Niza	7	2.8%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Closet inserts			\$ 170,000	2004
Seal & stripe alleys and parking lots			\$ 23,500	2004
Bug screens			\$ 17,000	2004
Closet doors			\$ 90,000	2004
Hydrovac Sewers			\$ 9,500	2004
Security grilles (refinish and repair)			\$ 20,000	2005
Interior painting of units not painted in last 5 years			\$ 57,000	2005
Expand back porches			\$ 102,000	2006
Range replacement			\$ 78,500	2006
Kitchen sinks			\$ 29,500	2006
Kitchen cabinets			\$ 471,000	2006
Hydrovac sewers			\$ 9,000	2006
Replace wood poles with steel			\$ 30,000	2006
Furnace replacement			\$ 448,000	2006
Replace air handlers			\$ 75,000	2006
Maintenance shop			\$ 80,000	2006
Lights (living room, bathroom and hall)			\$ 54,000	2006
Exterior façade renovation			\$ 600,000	2006
Seal & stripe parking & alleys			\$ 24,000	2007
Electrical upgrades			\$1,000,000	2007
Total estimated cost over next 5 years			\$3,388,000	

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001002	Frank Luke Homes		0.4%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Install GFI's in kitchens			\$ 23,000	2004
Enlarge rear slabs			\$ 115,000	2004
Interior doors			\$ 40,000	2004
Remove back sidewalks			\$ 40,000	2004
Electrical upgrades in preparation for AC units			\$ 242,000	2005
Replace range hoods			\$ 23,000	2005

Plumbing improvements repipe kitchen & washer, cooler valves	\$ 100,000	2005
Interior painting of apartments not painted in last 5 years	\$ 58,000	2006
Cover over cleaning area at shop	\$ 5,000	2006
Sloan flush valve parts	\$ 10,000	2006
Interior doors and jambs (not standard size)	\$ 80,000	2006
Cover bricks on interior walls	\$ 25,000	2006
Furnace replacement	\$ 230,000	2006
Add vanities in bathrooms, replace sinks	\$ 115,000	2006
Storage room doors (3 & 4 bedroom apts)	\$ 7,000	2006
Install closet doors	\$ 75,000	2006
Floor tile in apartments	\$ 230,000	2006
Install air conditioning	\$ 932,000	2006
Linen closet shelving & doors	\$ 25,000	2006
Screen replacement	\$ 27,000	2006
Hydrovac sewers	\$ 10,000	2006
Site lighting upgrade, replace lenses	\$ 10,000	2006
Total estimated cost over next 5 years	\$2,422,000	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001005	Marcos de Niza Addition	1	0.7%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Shelving in 2 and 3 bedroom apartments		\$ 75,000	2003
Hydrovac sewers		\$ 12,000	2004
Electrical upgrades		\$ 40,000	2005
Bug screens		\$ 11,000	2005
Backsplashes		\$ 9,000	2006
Replace lights in kitchen, bathrooms and halls		\$ 36,000	2006
Interior doors		\$ 70,000	2006
Kitchen cabinets (repair or replace)		\$ 326,000	2006
Kitchen sinks		\$ 32,000	2006
Exterior façade renovation		\$ 402,000	2006
Hydrovac sewers		\$ 11,500	2006
Expand back porches to patios		\$ 102,000	2006
Total estimated cost over next 5 years		\$1,126,500	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001006	Frank Luke Addition	3	2.2%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Faucets (kitchens and bathrooms)		\$ 28,000	2003
Replace broken and damaged sidewalks		\$ 2,000	2003
Ranges (replace as necessary)		\$ 25,000	2004
Replace refrigerators		\$ 30,000	2004
Hydrovac sewers		\$ 10,000	2004

Exterior façade renovation	\$ 370,000	2004
Furnace replacement	\$ 167,000	2005
Grounds improvement	\$ 72,000	2005
Seal and stripe parking lot	\$ 17,000	2005
Electrical upgrades in preparation for AC's	\$ 145,000	2005
Install air conditioning and remove coolers	\$ 282,000	2006
Replace kitchen and bathroom faucets	\$ 50,000	2006
Closet doors in bedrooms	\$ 50,000	2006
Replace towel bars	\$ 5,000	2006
Replace clothes poles	\$ 27,000	2006
Replace lights in bathrooms and hallways	\$ 22,000	2006
Living room closet doors	\$ 31,000	2006
Replace bath tub liners and tubs as needed	\$ 10,000	2006
Hydrovac sewers	\$ 8,000	2006
Expand patios	\$ 70,000	2006
Total estimated cost over next 5 years	\$1,421,000	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001007	Sidney P. Osborn	2	1.1%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
7B Handicap parking signs & poles		\$ 1,000	2003
7B Remove Ozanam coolers		\$ 5,000	2003
Bathroom remodeling		\$ 172,000	2003
Shower surrounds		\$ 126,000	2003
7B Replace broken and damaged sidewalks		\$ 6,000	2003
7B Hydrovac sewers		\$ 7,000	2003
7B Closet doors		\$ 66,000	2004
7B Roof replacement		\$ 434,000	2004
7B p-traps (kitchens and bathrooms)		\$ 10,000	2004
7B Deterrent screens		\$ 142,000	2004
7B Faucets (kitchens and bathrooms)		\$ 29,000	2004
7B Showers heads		\$ 15,000	2005
7B Range hoods		\$ 41,000	2005
7B Install GFI's in kitchens		\$ 15,000	2005
7B Install vanities		\$ 73,000	2005
7B Widen water heater doorway		\$ 30,000	2005
7B water heater replacement		\$ 44,000	2005
7B Furnaces		\$ 114,000	2005
Seal & stripe parking and alleys		\$ 30,000	2005
7B Refrigerators		\$ 64,000	2005
7B lower cabinets and countertops		\$ 292,000	2005
7B roof coating		\$ 41,000	2005
7B Interior paint		\$ 51,000	2005
7B Kitchen cabinet replacement		\$ 306,000	2005
7B textured coating on interior walls		\$ 50,000	2005
7B Ranges		\$ 53,000	2005
7B Replace plants		\$ 10,000	2006
7B Community center stair rail, upstairs doors, fascia, canopy		\$ 100,000	2006

7B Sunscreens	\$ 21,000	2006
7B Balcony fascia	\$ 50,000	2006
7B Signage improvements	\$ 5,000	2006
7B Awnings by back doors	\$ 25,000	2006
7B Clotheslines and poles	\$ 29,000	2006
7B Screen replacement	\$ 31,000	2006
7B Storage shelves	\$ 20,000	2006
7B Hallway lighting	\$ 12,000	2006
7B Interior lighting	\$ 48,000	2006
7B Faucets (kitchens and bathrooms)	\$ 29,000	2006
7B Mesh on security screen doors	\$ 7,000	2006
7B Install air conditioning and remove coolers	\$ 600,000	2006
7B Panel over electrical box	\$ 500	2006
7B Paint and repair security grilles	\$ 13,000	2006
7B Major tree trimming	\$ 25,500	2006
7B Maintenance shop (garage door, cooler, ductwork)	\$ 75,000	2006
7B Play equipment	\$ 20,000	2006
7B Covered parking	\$ 75,000	2006
Total estimated cost over next 5 years	\$3,413,000	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001008A	A. L. Krohn	1	1.3%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Ranges (8A1)		\$ 23,000	2003
Apartment signs (8A1)		\$ 5,000	2003
Balcony fascia (8A1)		\$ 25,000	2003
Seal & stripe alleys and parking (8A2)		\$ 8,000	2003
Exterior painting (8A1)		\$ 22,000	2003
Refrigerators (8A1)		\$ 30,000	2003
Replace broken and damaged sidewalks (8A2)		\$ 6,000	2003
Repair or replace security grilles (8A1)		\$ 8,000	2003
Balcony and stairway panels (8A1)		\$ 25,000	2003
Ranges (8A2)		\$ 13,500	2003
Hydrovac sewers (8A2)		\$ 4,000	2003
Sewer cleanout upgrades (8A2)		\$ 4,000	2003
Hydrovac sewers (8A1)		\$ 9,000	2004
Seal and stripe parking and alleys		\$ 12,000	2004
Range hoods (8A2)		\$ 8,000	2005
Roof coating (8A1)		\$ 23,000	2005
Hydrovac sewers (8A2)		\$ 4,000	2005
Add new lenses and photo cells to site lighting (8A2)		\$ 20,000	2005
Interior doors (8A1)		\$ 44,000	2005
Window and security screens (8A1)		\$ 40,000	2005
Add closet doors (8A2)		\$ 15,000	2005
Lighting in closets, halls and bathrooms (8A2)		\$ 10,000	2005
GFI's (8A2)		\$ 8,000	2005
Kitchen cabinets and countertops (8A2)		\$ 153,000	2005
Lawn refurbishing (8A2)		\$ 5,000	2005

Sewer cleanouts (8A2)	\$ 10,000	2005
Replace play equipment (8A2)	\$ 10,000	2005
Additional shelving (8A2)	\$ 5,500	2005
Kitchen cabinet and countertops (8A2)	\$ 114,000	2005
Bathroom remodeling (8A2)	\$ 60,000	2005
Furnaces (8A1)	\$ 76,000	2006
Closet and hallway doors (8A1)	\$ 23,000	2006
Seal and stripe parking and alley (8A2)	\$ 7,000	2006
Bathrooms (faucet, vanity, toilet) (8A1)	\$ 38,000	2006
Additional stone around front doors (8A1)	\$ 120,000	2006
Shelving in storage room (8A1)	\$ 11,000	2006
Lenses on exterior lighting (8A1)	\$ 20,000	2006
Roof Coating (8A1)	\$ 15,000	2006
Sewer cleanouts (8A1)	\$ 11,000	2006
Bedroom lighting (8A1)	\$ 17,000	2006
Hallway and living room lighting (8A1)	\$ 12,000	2006
Electrical panel and meter upgrades	\$ 167,000	2006
Total estimated cost over next 5 years	\$1,241,000	

Optional 5-Year Action Plan Tables

Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001008B	A. L. Krohn Homes	7	3.5%

Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
Security lights	\$ 102,000	2003
Seal and stripe alleys and parking lots	\$ 11,000	2003
Replace broken and damaged sidewalks	\$ 6,000	2003
Hydrovac sewers	\$ 12,000	2003
Add sprinkler system with Calsense monitor	\$ 127,000	2003
Roof coating	\$ 17,000	2004
Electrical upgrades	\$ 306,000	2005
Interior painting of units not painted in last 5 years	\$ 52,000	2005
Roof replacement	\$ 510,000	2006
Install air conditioning and remove coolers	\$ 822,000	2006
Pavers for areas where grass will not grow	\$ 50,000	2006
Screens (security and bug)	\$ 45,000	2006
Change out doors with mail slots	\$ 10,000	2006
Bathroom remodeling (faucet, showerhead, P-trap)	\$ 48,000	2006
Remove wall by parking lot	\$ 5,000	2006
Extend curbs (Apt. 572)	\$ 2,000	2006
Refinish or replace kitchen cabinets	\$ 606,000	2006
Closet doors	\$ 66,000	2006
Water heater replacement	\$ 40,000	2006
Total estimated cost over next 5 years	\$2,837,000	

Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001009	Maryvale Parkway Terrace	0	0%

Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
Hydrovac sewers	\$ 4,000	2003
Elevator mechanical repairs	\$ 53,000	2003
Hydrovac sewers	\$ 4,000	2004
Replace main chiller	\$ 102,000	2004
Mechanical upgrades to call boxes, doors and gates	\$ 26,000	2004
Patio lighting	\$ 9,000	2005
Carpet apartments	\$ 84,000	2005
Hydrovac sewers	\$ 4,000	2005
Bathroom remodeling	\$ 239,000	2005
Replace air handlers	\$ 142,000	2005
Range replacement	\$ 41,000	2005
Carpet hallways	\$ 32,000	2006
Handrails	\$ 20,000	2006
Emergency lighting fixture replacement	\$ 1,000	2006
Maintenance shop shelving	\$ 10,000	2006
Repair kitchen cabinets	\$ 108,000	2006
Landscaping and sidewalks	\$ 10,000	2006
Detaching of grass	\$ 5,000	2006
Hydrovac sewers	\$ 4,000	2006
Total estimated cost over next 5 years	\$898,000	

Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001010	Washington Manor	0	0%

Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
Remodel bathrooms	\$ 255,000	2003
Paint interiors common areas and apartments	\$ 30,000	2003
Replace mail boxes	\$ 5,500	2003
Call boxes	\$ 4,000	2003
Exterior lighting	\$ 51,000	2003
Hydrovac sewers	\$ 4,000	2003
Carpet apartments	\$ 71,000	2003
Seal and stripe parking lot	\$ 5,500	2003
Hydrovac sewers	\$ 4,000	2004
Mechanical upgrades to call boxes, doors and gates	\$ 26,000	2004
Hydrovac sewers	\$ 4,000	2005
Exterior painting	\$ 9,000	2005
Maintenance shop shelving	\$ 10,000	2006
Fire panel replacement	\$ 8,000	2006
Monitoring cameras	\$ 10,000	2006
Sprinkler valves and heads	\$ 5,000	2006
Pool table	\$ 4,000	2006
Elevator non-mechanical	\$ 5,000	2006
Air conditioning	\$ 200,000	2006
ADA bathroom floor and drain	\$ 80,000	2006
Emergency lighting fixture replacement	\$ 1,000	2006
Kitchen remodeling	\$ 80,000	2006

Hydrovac sewers	\$ 4,000	2006
Total estimated cost over next 5 years	\$876,000	

Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001034	Scattered Sites	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior paint			\$ 5,500	2003
Total estimated cost over next 5 years			\$5,500	

Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001035	Scattered Sites	3	6.5%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior painting			\$ 50,000	2003
Total estimated cost over next 5 years			\$50,000	

Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ16P001038	Scattered Sites	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Dwelling equipment			\$ 6,000	2003
Dwelling structures			\$ 563,000	2003
Site improvements			\$ 43,000	2003
Site improvements			\$ 6,000	2004
Dwelling equipment			\$ 2,000	2004
Dwelling structures			\$ 84,000	2004
Total estimated cost over next 5 years			\$704,000	

Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ16P001039	Scattered Sites	1	0.6%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Dwelling equipment			\$ 6,000	2003
Dwelling structures			\$ 478,500	2003
Site improvements			\$ 36,000	2003
Site improvements			\$ 43,000	2004
Dwelling structures			\$ 563,000	2004
Dwelling Equipment			\$ 6,000	2004
Total estimated cost over next 5 years			\$1,132,500	

Development	Development Name	Number	% Vacancies
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Number	(or indicate PHA wide)	Vacant Units	in Development	
AZ16P001023	Pine Towers	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Hydrovac sewers			\$ 8,000	2003
Roof coating			\$ 36,000	2003
Emergency lighting replacement			\$ 1,000	2003
Elevator rebuild			\$ 153,000	2003
Air handler/Pneumatic valves and thermostats			\$ 50,000	2003
Landscaping			\$ 31,000	2003
Mechanical upgrades to call boxes, doors and gates			\$ 21,000	2004
Hydrovac sewers			\$ 8,000	2004
Tile kitchen and baths			\$ 80,000	2004
Replace chiller			\$ 158,000	2004
Seal and stripe parking			\$ 11,500	2005
Hydrovac sewer			\$ 8,000	2005
Interior painting (Common areas)			\$ 28,500	2005
Ball valves for main shutoffs			\$ 5,000	2006
Roof coating			\$ 31,000	2006
Bathroom remodeling			\$ 150,000	2006
Exterior lighting			\$ 25,000	2006
Maintenance shop shelving			\$ 10,000	2006
Call boxes			\$ 20,000	2006
Community room remodel (cabinet, doors, drawers)			\$ 15,000	2006
Replace condensation drip pans			\$ 28,000	2006
Hydrovac sewers			\$ 8,000	2006
Total estimated cost over next 5 years			\$886,000	

Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ16P001029	McCarty Apartments	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Hydrovac sewers			\$ 2,000	2003
Maintain card reader and gates			\$ 8,000	2003
Remodel showers			\$ 22,000	2003
Paint interiors			\$ 32,000	2004
Domestic hot water boilers			\$ 7,500	2004
Hydrovac sewers			\$ 2,000	2004
Hydrovac sewers			\$ 2,000	2005
Hydrovac sewers			\$ 2,000	2006
Emergency lighting fixture replacement			\$ 1,000	2006
Handrails			\$ 10,000	2006
Repave parking lot			\$ 31,000	2006
Repave parking lot			\$ 1,000	2006
Exterior lights			\$ 500	2006
Total estimated cost over next 5 years			\$121,000	

ATTACHMENT 14 - Annual Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: City of Phoenix, Housing Dept.		Grant Type and Number Capital Fund Program Grant No: AZ20P00150101 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2003 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$333,000	\$333,000	\$333,000	\$333,000
3	1408 Management Improvements Soft Costs	\$264,000	\$262,417	\$262,417	\$258,574
	Management Improvements Hard Costs				
4	1410 Administration		\$7,086	\$7,086	\$7,086
5	1411 Audit	\$4,000	\$0	\$0	
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$592,000	\$753,000	\$753,000	\$713,835
8	1440 Site Acquisition				
9	1450 Site Improvement	\$1,111,130	\$292,110	\$292,110	\$290,315
10	1460 Dwelling Structures	\$1,799,000	\$2,816,007	\$2,815,100	\$2,190,471
11	1465.1 Dwelling Equipment—Nonexpendable	\$92,000	\$9,500	\$9,500	\$8,724
12	1470 Nondwelling Structures	\$6,000	\$5,970	\$5,970	\$5,970
13	1475 Nondwelling Equipment	\$56,000	\$49,788	\$49,788	\$49,788
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	\$78,000	\$21,000	\$21,000	\$18,031
18	1499 Development Activities				
19	1502 Contingency	\$287,000	\$72,252	\$0	

Annual Statement/Performance and Evaluation Report						
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary						
PHA Name: City of Phoenix, Housing Dept.		Grant Type and Number Capital Fund Program Grant No: AZ20P00150101 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2003 <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
20	Amount of Annual Grant: (sum of lines 2-19)	\$4,622,130	\$4,622,130	\$4,548,971	\$3,875,794	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 compliance	\$100,000	\$100,000	\$100,000	\$150	
23	Amount of line 20 Related to Security –Soft Costs	\$75,000	\$66,000	\$32,000	\$31,950	
24	Amount of Line 20 related to Security-- Hard Costs	\$68,000	\$105,500	\$41,500	\$31,349	
25	Amount of line 20 Related to Energy Conservation Measures					
26	Collateralization Expenses or Debt Service					

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
AZ20P001001	Additional parking	1450		\$364,000	\$57,567	\$57,567	\$57,567	Complete
Marcos de Niza	Speed bumps	1450	8	\$10,000	\$0	\$0	\$0	Cancelled
	Water park play area for kids	1450	1	\$100,000	\$0	\$0	\$0	Cancelled
	Termite damage repair & Remodel Building	1460		\$161,000	\$770,000	\$770,000	\$569,089	In Process

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Ventilator fans for attic		1460	39	\$5,000	\$0	\$0	\$0	Cancelled
	Subtotal				\$640,000	\$827,567	\$827,567	\$626,656	
AZ20P001002	Replace electrical poles		1450	3	\$12,000	\$0	\$0	\$0	Cancelled
Frank Luke Homes	Water park play area for kids		1450	1	\$100,000	\$0	\$0	\$0	CDBG Funding
	Replace gate valves		1450	12	\$60,000	\$12,714	\$12,714	\$12,714	Complete
	Add sprinkler system		1450		\$153,130	\$42,881	\$42,881	\$42,881	Complete
	Hydrovac sewers		1450	230	\$9,000	\$0	\$0	\$0	Charges in AZ1-6
	Install termite baiting system		1450		\$13,000	\$0	\$0	\$0	Cancelled
	Upduct replacement		1460	58	\$9,000	\$0	\$0	\$0	Cancelled
	Paint interiors of units not painted in last 5 years		1460	58	\$58,000	\$29,932	\$29,932	\$29,932	Completed
	Meter box doors		1460	70	\$7,000	\$14,000	\$13,093	\$0	In Process
	Add shut of valves to units		1460	230	\$32,000	\$38,143	\$38,143	\$38,143	Completed
	Subtotal				\$453,130	\$137,670	\$136,763	\$123,670	
AZ20P001005	Speed bumps		1450	8	\$9,000	\$0	\$0	\$0	Cancelled
	Area Lighting		1450	1	\$0	\$8,271	\$8,271	\$8,271	Completed
Marcos de Niza Addition	Paint interiors of units not painted in last five years		1460	100	\$80,000	\$36,517	\$36,517	\$36,517	Completed

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Termite damage repair		1460		\$161,000	\$165,000	\$165,000	\$113,000	In Process
	Remodel PAL Center		1460		\$0	\$175,695	\$175,695	\$175,695	Completed
	Subtotal				\$250,000	\$385,483	\$385,483	\$333,483	
AZ20P001006	Replace electrical poles		1450	2	\$10,000	\$0	\$0	\$0	Cancelled
Frank Luke Addition	Hydrovac sewers		1450	138	\$6,000	\$14,539	\$14,539	\$14,539	Completed
	Replace shut off valves to apts.		1460	138	\$18,000	\$33,590	\$33,590	\$33,590	Completed
	Exterior Paint		1460		\$0	\$4,651	\$4,651	\$4,651	Completed
	Replace Coolers		1460	138	\$0	\$38,760	\$38,760	\$38,760	Completed
	Subtotal				\$34,000	\$91,540	\$91,540	\$91,540	
AZ20P001007	Electrical poles		1450	2	\$10,000	\$0	\$0	\$0	Cancelled
Sidney Osborn	Electrical transformer		1450	1	\$3,000	\$0	\$0	\$0	Completed in 50100
	Install perimeter fence by basketball court		1450		\$16,000	\$2,970	\$2,970	\$2,970	Completed
	Hydrovac sewers		1450		\$11,000	\$0	\$0	\$0	Charges in AZ1-6
	Upgrade electrical wiring to three wire		1460		\$325,000	\$0	\$0	\$0	Cancelled
	Ozanam water heater		1460		\$5,000	\$11,931	\$11,931	\$11,931	Completed
	Enclose Stairwell		1460		\$0	\$195,000	\$195,000	\$0	In Process
	Security Lighting		1460		\$0	\$28,000	\$28,000	\$26,500	Moved from

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
									CFP50100
	Paint Exteriors		1460		\$0	\$1,453	\$1,453	\$1,453	Completed
	Subtotal				\$370,000	\$239,354	\$239,354	\$42,854	
AZ20P001008A	Electrical pole replacement		1450	1	\$12,000	\$0	\$0	\$0	Cancelled
A. L. Krohn Homes	Cement poles for parking, cement island & cement bumper block		1450		\$60,000	\$1,509	\$1,509	\$1,509	Completed 04/10/02
	Additional Lighting		1450		\$0	\$25,536	\$25,536	\$25,536	In design
	Hydrovac sewers		1450		\$1,000	\$0	\$0	\$0	Completed
	Water heaters		1460	38	\$19,000	\$8,669	\$8,669	\$8,669	Completed 04/15/02
	Painting, interior for apts not painted in last 5 years		1460	10	\$10,000	\$7,737	\$7,737	\$7,737	Completed 05/01/02
	Subtotal				\$102,000	\$43,451	\$43,451	\$43,451	
AZ20P001008B	Replace fence along Edison Park		1460	1	\$60,000	\$26,316	\$26,316	\$26,316	Completed 07/08/02
A. L. Krohn Homes	Dethatch grass		1450		\$8,000	\$0	\$0	\$0	Cancelled
	Hydrovac sewers		1460	101	\$4,000	\$0	\$0	\$0	Cancelled
	Exterior Painting		1460	27	\$0	\$44,980	\$44,980	\$44,980	Completed
	Subtotal				\$72,000	\$71,296	\$71,296	\$71,296	

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
AZ20P001009	Landscaping		1450		\$25,000	\$43,853	\$43,853	\$43,853	Completed
Maryvale Parkway Terrace	Hydovac Sewers		1450		\$0	\$0	\$0	\$0	Moved from 708
	Modification of boiler room doors		1460		\$10,000	\$0	\$0	\$0	Cancelled
	Air Conditioning		1460		\$0	\$8,190	\$8,190	\$8,190	Moved from 708
	Modify deck railings		1460		\$45,000	\$85,000	\$85,000	\$84,741	In Process
	Painting exterior trim		1460	108	\$15,000	\$17,570	\$17,570	17,570	Completed 04/05/02
	Interior pantry & closet doors		1460	324	\$36,000	\$28,963	\$28,963	\$28,963	Completed 06/25/02
	Replace mail boxes		1460	108	\$5,000	\$5,408	\$5,408	\$5,408	Completed 03/25/02
	Backup chiller		1465.1	1	\$79,000	\$0	\$0	\$0	Cancelled
	Replace Air Handlers		1460		\$0	\$87,344	\$87,344	\$87,344	Completed
	Fire alarm system		1460		\$0	\$175,000	\$175,000	\$172,210	In Process
	Subtotal				\$215,000	\$451,328	\$451,328	\$448,279	
AZ20P001010	Exterior Lighting		1450		\$0	\$0	\$0	\$0	Cancelled
Washington Manor	Carpet common areas		1460		\$27,000	\$29,331	\$29,331	\$29,331	Completed 06/24/02
	Roof coating		1460		\$45,000	\$25,884	\$25,884	\$25,884	Completed 06/13/02

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Lighting improvements		1460		\$22,000	\$10,152	\$10,152	\$10,152	Completed
	Apartment door replacement		1460	112	\$37,000	\$27,314	\$27,314	\$27,314	Completed 06/10/02
	Subtotal				\$131,000	\$92,681	\$92,681	\$92,681	
AZ20P001018	Exterior painting		1460	25	\$25,000	\$13,956	\$13,956	\$13,956	Completed
Scattered Sites	Subtotal				\$25,000	\$13,956	\$13,956	\$13,956	
AZ16P001023	Exhaust fan motors		1460		\$0	\$12,290	\$12,290	\$12,290	Completed
Pine Towers Apts.	Paint Hallways and apts not painted in last 5 years		1460	120	\$50,000	\$51,128	\$51,128	\$51,128	Completed 06/15/02
	Apartment doors		1460		\$75,000	\$33,316	\$33,316	\$33,316	Completed
	Subtotal				\$125,000	\$96,734	\$96,734	\$96,734	
AZ16P001029	Roof coating		1460		\$5,000	\$5,465	\$5,465	\$5,465	Completed 06/03/02
McCarty Apts.	Range replacement		1465.1	24	\$9,000	\$0	\$0	\$0	
	Remodel laundry room		1470	1	\$6,000	\$5,970	\$5,970	\$5,970	Completed 03/16/02
	Subtotal				\$20,000	\$11,435	\$11,435	\$11,435	
AZ20P001039	Site improvements		1450	45	\$0	\$31,000	\$31,000	\$29,205	Moved from CFP50100

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
Scattered Sites	Dwelling Structures		1460	45	\$0	\$395,000	\$395,000	\$335,774	Moved from CFP50100
	Dwelling equipment		1465.1	45	\$0	\$9,500	\$9,500	\$8,724	Moved from CFP50100
	Subtotal					\$435,500	\$435,500	\$373,703	
AZ20P001037	Site improvements		1450	27	\$28,000	\$0	\$0	\$0	Moved to CFP50102
Scattered Sites	Dwelling Structures		1460	27	\$368,000	\$17,866	\$17,866	\$17,866	Moved from CFP50102 - Completed
	Dwelling equipment		1465.1	27	\$4,000	\$0	\$0	\$0	Moved from CFP50102
	Subtotal				\$400,000	\$17,866	\$17,866	\$17,866	
AZ20P001042	Exterior painting		1460	11	\$11,000	\$421	\$421	\$421	Completed
Scattered Sites									
	Subtotal				\$11,000	\$421	\$421	\$421	
AZ20P001043	Exterior painting		1460	6	\$6,000	\$11,785	\$11,785	\$11,785	Completed
Scattered Sites									
	Subtotal				\$6,000	\$11,785	\$11,785	\$11,785	

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
AZ20P001045	Exterior Painting		1460	23	\$23,000	\$44,250	\$44,250	\$44,250	Completed
	Scattered Sites								
	Subtotal				\$23,000	\$44,250	\$44,250	\$44,250	
AZ20P001099	Operations		1406		\$333,000	\$333,000	\$333,000	\$333,000	Completed
Projectwide Activities	W.O. system printing upgrade (CFP eligible portion only)		1408		\$11,000	\$4,417	\$4,417	\$4,417	Completed
	Economic Initiatives		1408		\$250,000	\$255,000	\$255,000	\$254,157	In process
	Software for new CFP grant tracking		1408		\$3,000	\$3,000	\$3,000	\$0	
	CFP training/travel		1410.1		\$0	\$7,086	\$7,086	\$7,086	Completed
	Audit fees		1411		\$4,000	\$0	\$0	\$0	
	Architecture and Engineering fees		1430.1		\$534,000	\$750,000	\$750,000	\$711,167	In process
	Inspection costs		1430.7		\$58,000	\$3,000	\$3,000	\$2,668	In process
	Landscape Housing offices at 830 E. Jefferson (Cost to be split with Section 8)		1450		\$31,000	\$51,270	\$51,270	\$51,270	Completed
	ADA compliance apts. For hearing & visually impaired		1460		\$100,000	\$100,000	\$100,000	\$150	
	Van for paint crew		1475.7	1	\$27,000	\$16,697	\$16,697	\$16,697	Completed
	Brush truck for use by warehouse		1475.7	1	\$29,000	\$33,091	\$33,091	\$33,091	Completed
	Relocation costs		1495.1		\$78,000	\$21,000	\$21,000	\$18,031	
	Contingency		1502		\$287,000	\$72,252	\$0	\$0	
	Subtotal				\$1,745,000	\$1,649,813	\$1,577,561	\$1,431,734	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages							
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150101 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program No: AZ20P00150101 Replacement Housing Factor No:				Federal FY of Grant: 2001	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
AZ20P001001	03/2003			06/2005			
AZ20P001002	03/2003			06/2005			
AZ20P001005	03/2003			06/2005			
AZ20P001006	03/2003			06/2005			
AZ20P001007	03/2003			06/2005			
AZ20P001008A	03/2003			06/2005			
AZ20P001008B	03/2003			06/2005			
AZ20P001009	03/2003			06/2005			
AZ20P001010	03/2003			06/2005			
AZ20P001018	03/2003			06/2005			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program No: AZ20P00150101 Replacement Housing Factor No:				Federal FY of Grant: 2001	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
AZ16P001023	03/2003			06/2005			
AZ16P001029	03/2003			06/2005			
AZ20P001037	03/2003			06/2005			
AZ20P001039	03/2003			06/2005			
AZ20P001042	03/2003			06/2005			
AZ20P001043	03/2003			06/2005			
AZ20P001045	03/2003			06/2005			
AZ20P001099	03/2003			06/2005			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: City of Phoenix, Housing Dept.		Grant Type and Number Capital Fund Program Grant No: AZ20P00150102 Replacement Housing Factor Grant No: AZ20R00150102			Federal FY of Grant: 2002
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2003 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$1,461,000	\$2,970,000		
2	1406 Operations	\$340,089	\$340,089	\$340,089	\$340,089

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: City of Phoenix, Housing Dept.		Grant Type and Number Capital Fund Program Grant No: AZ20P00150102 Replacement Housing Factor Grant No: AZ20R00150102			Federal FY of Grant: 2002
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2003 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
3	1408 Management Improvements Soft Costs	\$270,100	\$270,100	\$255,000	\$123,483
	Management Improvements Hard Costs				
4	1410 Administration				
5	1411 Audit	\$4,066	\$4,066	\$0	\$0
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$645,946	\$420,946	\$420,946	\$102,822
8	1440 Site Acquisition				
9	1450 Site Improvement	\$364,920	\$577,201	\$372,201	\$223,661
10	1460 Dwelling Structures	\$2,357,391	\$2,456,670	\$1,579,622	\$743,470
11	1465.1 Dwelling Equipment—Nonexpendable	\$4,080	\$4,080	\$4,080	\$0
12	1470 Nondwelling Structures	\$10,506	\$10,506	0	\$0
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	\$79,946	\$79,000	\$0	\$0
18	1499 Development Activities (R&R)	\$8,097	\$8,097	\$8,097	\$8,097
19	1502 Contingency	\$327,914	\$242,300	\$0	\$0
20	Amount of Annual Grant: (sum of lines 2-19)	\$4,413,055	\$4,413,055	\$2,980,035	\$1,541,622
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 compliance	\$100,000	\$100,000	\$100,000	\$150
23	Amount of line 20 Related to Security –Soft Costs	\$75,000	\$66,000	\$32,000	\$31,950
24	Amount of Line 20 related to Security-- Hard Costs	\$68,000	\$105,500	\$41,500	\$31,349

Annual Statement/Performance and Evaluation Report				
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary				
PHA Name: City of Phoenix, Housing Dept.		Grant Type and Number Capital Fund Program Grant No: AZ20P00150102 Replacement Housing Factor Grant No: AZ20R00150102		Federal FY of Grant: 2002
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2003 <input type="checkbox"/> Final Performance and Evaluation Report				
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost
25	Amount of line 20 Related to Energy Conservation Measures			
26	Collateralization Expenses or Debt Service			

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150102 Replacement Housing Factor Grant No: AZ20R00150102				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
AZ20P001001	Remove abandoned pipes from exterior walls	1460	224	\$60,000	\$0	\$0	\$0	Canceled	
Marcos de Niza	Replace fascia	1460		\$20,000	\$7,400	\$7,350	\$0	Est Start 3/04	
	Meter room doors and frames	1460	224	\$67,200	\$15,000	\$14,964	\$0	Est Start 3/04	
	Replace evaporative coolers with new coolers	1460	229	\$251,494	\$251,494	\$251,949	\$202,603	In Progress	
	Weather striping	1460		30,000	\$16,775	\$16,775	\$16,775	Completed	
Subtotal				\$428,694	\$290,669	\$290,583	\$219,358		

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150102 Replacement Housing Factor Grant No: AZ20R00150102				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
AZ20P001002	Area lighting upgrade		1450	55	\$21,012	\$35,000	\$0	\$0	
Frank Luke Homes	Interior electrical including additional outlet in bedroom		1460	230	\$24,113	\$200,000	\$0	\$0	
	Subtotal				\$45,125	\$235,000	\$0	\$0	
AZ20P001005	Weather stripping		1460		\$20,000	\$11,219	\$11,219	\$11,219	Completed
Marcos de Niza Addition	Replace coolers		1460	150	\$174,000	\$141,445	\$141,445	\$141,445	Completed
	Upduct replacement		1460		\$38,000	\$27,179	\$27,179	\$27,179	Completed
	Subtotal				\$232,000	\$179,843	\$179,843	\$179,843	
AZ20P001006	Seal & stripe parking & alleys		1450		\$17,051	\$4,150	\$4,150	\$4,150	Completed
Frank Luke Addition	Ground rods for each apt		1450	138	\$8,500	\$5,000	\$0	\$0	
	Paint interiors of apartments not painted in last 5 years		1460	35	\$20,000	\$20,000	\$20,000	\$0	Ongoing In House
	Doors (interior, as needed)		1460	100	\$21,861	\$21,861	\$21,861	\$0	Ongoing In House
	Interior electrical including additional outlets in bedrooms		1460	138	\$26,806	\$70,000	\$0	\$0	
	Subtotal				\$94,218	\$121,011	\$46,011	\$4,150	

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150102 Replacement Housing Factor Grant No: AZ20R00150102				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
AZ20P001007	Replace/stabilize loose gravel		1450		\$20,000	\$65,000	\$65,000	\$0	Awarded 12/03
Sidney Osborn	Furnace replacement		1460	146	\$176,000	\$176,000	\$155,159	\$155,159	
	Repair to stairways & railings		1460	20	\$40,000	\$0	\$0	\$0	Moved to 50101
	Subtotal				\$236,000	\$241,000	\$220,159	\$155,159	
AZ20P001008A	Replace playground equipment (8A2)		1450		\$20,400	\$32,088	\$32,088	\$32,088	Completed 11/03
A. L. Krohn Homes	Canopy over play area (8A2)		1450	1	\$5,000	\$5,000	\$5,000	\$0	
	Landscaping (8A1)		1450		\$30,600	\$0	\$0	\$0	Move to 50103
	Hydrovac sewers (8A1)		1450		\$8,000	\$5,815	\$5,815	\$5,815	Completed 8/03
	Irrigation for trees (8A2)		1450		\$6,000	\$0	\$0	\$0	Canceled
	Bug screens (8A2)		1460		\$5,739	\$23,615	\$23,615	\$23,615	Completed
	Enclose stairwells and extend stairs (8A2)		1460		\$5,100	\$110,000	\$0	\$0	Canceled
	Roof coating (8A1)		1460	76	\$21,861	\$22,832	\$22,832	\$22,832	Completed
	Paint interiors of apts. No painted in last 5 years (8A1)		1460	22	\$20,400	\$20,400	\$20,400	\$0	Ongoing In House
	Repair or replace		1460	38	\$8,160	\$14,240	\$14,240	\$14,240	Completed

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150102 Replacement Housing Factor Grant No: AZ20R00150102				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Enclose stairwells and extend stairs (8A1)		1460	8	\$0	\$225,000	\$0	\$0	Canceled
	Cooler replacement (8A1)		1460	76	\$85,000	\$74,459	\$74,459	\$74,459	Completed
	Maintenance room door (8A2)		1460	1	\$400	\$0	\$0	\$0	Move to 50103
	Exterior doors and jambs (8A2)		1460	76	\$19,000	\$0	\$0	\$0	Move to 50103
	Subtotal				\$235,660	\$533,449	\$198,449	\$173,049	
AZ20P001008B	Sewer clean out repairs		1450	202	\$25,500	\$0	\$0	\$0	Move to 50103
A. L. Krohn Homes	Repave parking lots		1450		\$40,000	\$97,951	\$97,951	\$97,951	Ext Complete 2/04
	Subtotal				\$65,500	\$97,951	\$97,951	\$97,951	
AZ20P001009	Repave parking lots		1450	108	\$64,297	\$64,297	\$64,297	\$26,214	Est Complete 2/04
Maryvale Parkway Terrace	Hydrovac sewers		1450		\$4,000	\$4,645	\$4,645	\$4,645	Completed
	Paint interiors of apts. No painted in last 5 years		1460	60	\$17,488	\$17,488	\$17,488	\$0	Ongoing In House
	Vertical blinds		1460		\$10,000	\$0	\$0	\$0	Canceled

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150102 Replacement Housing Factor Grant No: AZ20R00150102				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Window shade screens		1460	216	\$20,400	\$0	\$0	\$0	Canceled
	Handrails		1460		\$6,000	\$8,691	\$8,691	\$8,691	Completed
	Subtotal				\$122,185	\$95,121	\$95,121	\$39,550	
AZ20P001010	Hydrovac sewers		1450		\$4,000	\$4,805	\$4,805	\$4,805	Completed
Washington Manor	Remodel ADA Bathrooms		1460		\$50,000	\$95,000	\$95,000	\$0	Est Start 2/04
	Window shade screens		1460	112	\$0	\$0	\$0	\$0	CDBG Funds
	Front and back doors		1460		\$10,000	\$5,958	\$5,958	\$5,958	Completed
	Handrails		1460		\$6,000	\$8,614	\$8,614	\$8,614	Completed
	Subtotal				\$70,000	\$114,377	\$114,377	\$19,377	
AZ20P001016	Change doors with mail slots		9999	50	\$10,000	\$10,000	\$0	\$0	
Foothills Village	Community center renovation		9999		\$57,000	\$57,000	\$0	\$0	
	Landscaping (shrubbery & sprinklers)		9999		\$50,000	\$50,000	\$0	\$0	
	Roof coating & flashing		9999	200	\$114,000	\$54,000	\$0	\$0	
	Hydrovac sewers		9999		\$14,000	\$14,000	\$0	\$0	
	Roofing mansard		9999		\$475,000	\$475,000	\$0	\$0	
	Stucco and paint exteriors		9999	203	\$250,000	\$250,000	\$0	\$0	
	Retexture & repaint interiors		9999	200	\$200,000	\$200,000	\$0	\$0	
	Play equipment & water park		9999		\$150,000	\$150,000	\$0	\$0	
	Awnings		9999	200	\$5,000	\$5,000	\$0	\$0	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150102 Replacement Housing Factor Grant No: AZ20R00150102				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Perimeter fence		9999		\$5,000	\$5,000	\$0	\$0	
	Remodel PAL center		9999	1	\$15,000	\$15,000	\$0	\$0	
	PAL center aesthetics		9999		\$10,000	\$10,000	\$0	\$0	
	Lighting on basketball courts		9999		\$3,000	\$3,000	\$0	\$0	
	Lights on hallways		9999	200	\$20,000	\$20,000	\$0	\$0	
	Replace 1" valves by apts.		9999	200	\$20,000	\$20,000	\$0	\$0	
	Locks on screen doors		9999	200	\$10,000	\$10,000	\$0	\$0	
	Replace mesh on screen doors		9999	200	\$4,000	\$4,000	\$0	\$0	
	Gutters		9999	400	\$10,000	\$10,000	\$0	\$0	
	Seal and stripe parking		9999		\$39,000	\$39,000	\$0	\$0	
	P-Trap on bathroom sinks		9999			\$7,000			
	Site signage		9999			\$5,000			
	Area lighting improvements		9999			\$51,000			
	Sewer cleanout upgrades		9999			\$22,000			
	Window replacement		9999			\$230,000			
	Boiler room doors		9999			\$3,000			
	Clotheslines & poles		9999			\$40,000			
	Hydrovac sewers		9999			\$14,000			
	Replace coolers with chillers		9999			\$774,000			
	Shower surrounds		9999			\$100,000			
	Linen closet replacement		9999			\$75,000			
	Mailbox replacement		9999			\$10,000			
	Backsplashes		9999			\$15,000			

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150102 Replacement Housing Factor Grant No: AZ20R00150102				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Upgrade electrical		9999			\$32,000			
	Interior door replacement		9999			\$120,000			
	Dist collector system for shop		9999			\$14,000			
	Boiler system service		9999			\$57,000			
	Subtotal				\$1,461,000	\$2,970,000	\$0	\$0	
AZ20P001018	Exterior painting		1460	21	\$21,420	\$21,420	\$21,420	\$0	Ongoing In House
Scattered Sites									
	Subtotal				\$21,420	\$21,420	\$21,420	\$0	
AZ16P001022	Exterior painting		1460	9	\$9,180	\$9,180	\$9,180	\$0	Ongoing In House
Scattered Sites									
	Subtotal				\$9,180	\$9,180	\$9,180	\$0	
AZ16P001023	Hydrovac sewers		1450		\$8,000	\$6,605	\$6,605	\$6,605	Completed
Pine Towers Apts.	Handrails in hallway		1460		\$6,000	\$6,000	\$6,000	\$0	In Progress
	Roof		1460		\$210,120	\$25,500	\$25,500	\$25,500	Completed
	Front and back doors		1460		\$5,000	\$3,241	\$3,241	\$3,241	Completed
	Replace fire alarm system		1460		\$122,400	\$122,400	\$90,048	\$60	In Process
	Tubs and surrounds		1460	156	\$250,000	\$383,769	\$0	\$0	Est Start 3/04

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program Grant No: AZ20P00150102 Replacement Housing Factor Grant No: AZ20R00150102				Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Subtotal				\$601,520	\$547,515	\$131,394	\$35,406	
AZ16P001024	Exterior Painting		1460	9	\$9,180	\$9,180	\$9,180	\$0	Ongoing In House
Scattered Sites									
	Subtotal				\$9,180	\$9,180	\$9,180	\$0	
AZ20P001026	Exterior Painting		1460	10	\$10,200	\$10,200	\$10,200	\$0	Ongoing In House
Scattered Sites									
	Subtotal				\$10,200	\$10,200	\$10,200	\$0	
AZ16P001029	Repave parking lot		1450		\$52,000	\$52,000	\$52,000	\$40,103	In Process
McCarty Apts.	Hydrovac sewers		1450		\$2,000	\$1,285	\$1,285	\$1,285	Completed
	Fire system		1460	1	\$52,530	\$52,530	\$52,530	\$0	
	Window shade screens		1460	24	\$4,406	\$0	\$0	\$0	Canceled
	Condenser pan replacement		1460		\$5,000	\$0	\$0	\$0	Canceled
	Replace mailboxes		1460	24	\$5,253	\$1,900	\$1,900	\$1,900	Completed
	Subtotal				\$121,189	\$107,715	\$107,715	\$43,288	
AZ20P001033	Exterior Painting		1460	6	\$6,120	\$6,120	\$6,120	\$0	Ongoing In House
Scattered Sites									

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150102 Replacement Housing Factor Grant No: AZ20R00150102				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Subtotal				\$6,120	\$6,120	\$6,120	\$0	
AZ20P001034	Exterior Painting		1460	3	\$3,060	\$3,060	\$3,060	\$0	Ongoing In House
Scattered Sites									
	Subtotal				\$3,060	\$3,060	\$3,060	\$0	
AZ20P001037	Site Improvements		1450	13	\$28,560	\$28,560	\$28,560	\$0	Ongoing In House
Scattered Sites	Dwelling Structures		1460	13	\$375,360	\$375,360	\$375,360	\$0	Ongoing In House
	Dwelling Equipment		1465.1	13	\$4,080	\$4,080	\$4,080	\$0	Ongoing In House
	Subtotal				\$408,000	\$408,000	\$408,000	\$0	
AZ20P001045	Exterior Painting		1460	7	\$7,140	\$7,140	\$7,140	\$0	Ongoing In House
Scattered Sites									
	Subtotal				\$7,140	\$7,140	\$7,140	\$0	
AZ20P001099	Operations		1406		\$340,089	\$340,089	\$340,089	\$340,089	
Projectwide Activities	Economic Initiatives		1408		\$255,000	\$255,000	\$255,000	\$123,483	Ongoing

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program Grant No: AZ20P00150102 Replacement Housing Factor Grant No: AZ20R00150102				Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Bar coding system for tracking fixed assets(CFP eligible portion only)		1408	1	\$5,100	\$5,100	\$0	\$0	
	Computer enhancements, related equipment and software		1408		\$10,000	\$10,000	\$0	\$0	
	Audit fees		1411		\$4,066	\$4,066	\$0	\$0	
	Architecture and Engineering fees		1430.1		\$566,522	\$361,922	\$361,922	\$88,932	
	Inspection costs		1430.7		\$59,024	\$59,024	\$59,024	\$13,890	In Process
	830 E. Jefferson interior painting (Cost to be split with Section 8)		1470		\$10,506	\$10,506	\$0	\$0	
	Relocation costs		1495.1		\$79,946	\$79,000	\$0	\$0	
	Contingency		1502		\$327,914	\$242,300	\$0	\$0	
	Development Activities (R&R)		1499		\$8,097	\$8,097	\$8,097	\$8,097	
	Subtotal				\$1,686,664	\$1,375,104	\$1,024,132	\$574,491	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: City of Phoenix, Housing Department	Grant Type and Number Capital Fund Program No AZ20P00150102 Replacement Housing Factor No: AZ20R00150102	Federal FY of Grant: 2002
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Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
AZ20P001001	06/2004			06/2006			
AZ20P001002	06/2004			06/2006			
AZ20P001005	06/2004			06/2006			
AZ20P001006	06/2004			06/2006			
AZ20P001007	06/2004			06/2006			
AZ20P001008A	06/2004			06/2006			
AZ20P001008B	06/2004			06/2006			
AZ20P001009	06/2004			06/2006			
AZ20P001010	06/2004			06/2006			
AZ20P001016	06/2004			06/2006			
AZ20P001018	06/2004			06/2006			
AZ16P001022	06/2004			06/2006			
AZ16P001023	06/2004			06/2006			
AZ16P001024	06/2004			06/2006			
AZ20P001026	06/2004			06/2006			
AZ16P001029	06/2004			06/2006			
AZ20P001033	06/2004			06/2006			
AZ20P001034	06/2004			06/2006			
AZ20P001037	06/2004			06/2006			
AZ20P001045	06/2004			06/2006			
AZ20P001099	06/2004			06/2006			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: City of Phoenix, Housing Dept.		Grant Type and Number Capital Fund Program Grant No: AZ20P00150103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$560,000	\$560,000		
2	1406 Operations	\$310,340	\$495,537		
3	1408 Management Improvements Soft Costs	\$255,000	\$255,000		
	Management Improvements Hard Costs	0			
4	1410 Administration	\$340,000	\$340,000		
5	1411 Audit	\$4,000	\$4,000		
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	\$200,000	\$250,000		
8	1440 Site Acquisition	0			
9	1450 Site Improvement	\$280,000	\$300,000		
10	1460 Dwelling Structures	\$1,972,000	\$1,752,000		
11	1465.1 Dwelling Equipment—Nonexpendable	\$73,000	\$73,000		
12	1470 Nondwelling Structures	\$0			
13	1475 Nondwelling Equipment	\$0			
14	1485 Demolition	0			
15	1490 Replacement Reserve	0			
16	1492 Moving to Work Demonstration	0			
17	1495.1 Relocation Costs	\$75,000	\$75,000		
18	1499 Development Activities	0			
19	1502 Contingency	\$115,000	\$79,803		
		0			
20	Amount of Annual Grant: (sum of lines 2-19)	\$3,624,340	\$3,624,340		

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary							
PHA Name: City of Phoenix, Housing Dept.			Grant Type and Number Capital Fund Program Grant No: AZ20P00150103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report							
Line No.	Summary by Development Account	Total Estimated Cost			Total Actual Cost		
21	Amount of line 20 Related to LBP Activities						
22	Amount of line 20 Related to Section 504 compliance						
23	Amount of line 20 Related to Security –Soft Costs		\$108,000		\$108,000		
24	Amount of Line 20 related to Security-- Hard Costs						
25	Amount of line 20 Related to Energy Conservation Measures		\$123,000		\$123,000		
26	Collateralization Expenses or Debt Service						

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work
AZ20P001001	Gate Valves		1460		\$15,000	\$15,000		
Marcos de Niza								
	Subtotal				\$15,000	\$15,000		
AZ20P001002	Remodel Kitchens		1460		\$360,000	\$10,000		
Frank Luke Homes								

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150103 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Subtotal				\$360,000	\$10,000			
AZ20P001005	Hydrovac Sewers		1460		\$10,000	\$10,000			
Marcos de Niza Addition	Gate Valves		1460		\$8,000	\$8,000			
	Closet Inserts		1460		\$50,000	\$50,000			
	Subtotal				\$68,000	\$68,000			
AZ20P001006									
Frank Luke Addition									
	Subtotal				\$0	\$0			
AZ20P001007	Remodel Bathrooms		1460		\$250,000	\$250,000			
Sidney P. Osborn									
	Subtotal				\$250,000	\$250,000			
AZ20P001008A	Exterior Painting 8A-1		1450		\$20,000	\$20,000			
A. L. Krohn Homes	Panels (Balcony & Stairway / Fascia) 8A-1		1460		\$50,000	\$50,000			
	Roof Access Ladders 8A-1		1460		\$0	\$0			
	Repair/Replace Security Grills 8A-1		1460		\$8,000	\$8,000			
	Ranges 8A-1		1465.1		\$30,000	\$30,000			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150103 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work	
	Ranges 8A-2		1465.1		\$13,000	\$13,000			
	Refrigerators		1465.1		\$30,000	\$30,000			
	Subtotal				\$151,000	\$151,000			
AZ20P001008B	Seal & Stripe Alleys and Parking Lots		1450	27	\$10,000	\$10,000			
A. L. Krohn Homes	Security Lighting		1450		\$50,000	\$50,000			
	Landscape Improvements		1450		\$130,000	\$130,000			
	Subtotal				\$190,000	\$190,000			
AZ20P001009	Elevator Mechanical Repairs		1460		\$75,000	\$75,000			
Maryvale Parkway Terrace									
	Subtotal				\$75,000	\$75,000			
AZ20P001010	Replace Parking Lot		1450		\$0	\$0			
Washington Manor	Carpet Apartments		1460		\$0	\$0			
	Replace P-Tak Heat Pumps		140		\$90,000	\$90,000			
	Replace Mailboxes		1460		\$5,000	\$5,000			
	Paint Interior/Common Areas		1460		\$0	\$0			
	Remodel Bathrooms		1460		\$200,000	\$200,000			
	Subtotal				\$295,000	\$295,000			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work
AZ20P001016 Foothills Village	Bathroom Remodel/Interior Upgrades		1460		\$200,000	\$0		
	Subtotal				\$200,000	\$0		
AZ20P001018 Scattered Sites	Exterior painting		1460		\$5,000	\$5,000		
	Subtotal				\$5,000	\$5,000		
AZ16P001022 Scattered Sites	Exterior painting		1460		\$5,000	\$5,000		
	Subtotal				\$5,000	\$5,000		
AZ16P001023 Pine Towers Apts.	Exterior Lighting		1450		\$10,000	\$10,000		
	Landscaping		1450		\$0	\$0		
	Elevator Rebuild		1460		\$150,000	\$150,000		
	Bathroom Remodel		1460		\$220,000	\$220,000		
	Replace Air Handlers/Pneumatic Calces/Thermostats		1460		\$41,000	\$41,000		
	Subtotal				\$421,000	\$421,000		
AZ16P001024 Scattered Sites	Exterior Painting		1460		\$5,000	\$5,000		

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work
	Subtotal				\$5,000	\$5,000		
AZ20P001026	Exterior Painting		1460		\$5,000	\$5,000		
	Scattered Sites							
	Subtotal				\$5,000	\$5,000		
AZ16P001029	Replace Stairwells		1450		\$30,000	\$30,000		
	McCarty Apts.		1460		\$20,000	\$20,000		
	Subtotal				\$50,000	\$50,000		
AZ20P001033	Exterior Painting		1460		\$5,000	\$5,000		
	Scattered Sites							
	Subtotal				\$5,000	\$5,000		
AZ20P001034	Exterior Painting		1460		\$5,000	\$5,000		
	Scattered Sites							
	Subtotal				\$5,000	\$5,000		
AZ20P001035	Exterior Paint		1460		\$5,000	\$5,000		
	Scattered Sites							
	Subtotal				\$5,000	\$5,000		
AZ20P001036	Interior Remodeling/bathroom upgrades,		1460		\$166,250	\$166,250		

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program Grant No: AZ20P00150103 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work
Scattered Sites	Kitchen cabinet & fixture replacements,							
	Electrical upgrades, flooring replacement							
	Roof replacement, HVAC/evap coolers							
	Subtotal				\$166,250	\$166,250		
AZ20P001037	Interior Remodeling/bathroom upgrades,		1460		\$66,500	\$66,500		
Scattered Sites	Kitchen cabinet & fixture replacements,							
	Electrical upgrades, flooring replacement							
	Roof replacement, HVAC/evap coolers							
	Subtotal				\$66,500	\$66,500		
AZ20P00138	Site Improvements		1450		\$50,000	\$50,000		
Scattered Sites	Interior Remodeling/bathroom upgrades,		1460		\$16,000	\$16,000		
	Kitchen cabinet & fixture replacements,							
	Electrical upgrades, flooring replacement							
	Roof replacement, HVAC/evap coolers							
	Subtotal				\$66,000	\$66,000		
AZ20P001039	Interior Remodeling/bathroom upgrades,		1460		\$50,000	\$50,000		
Scattered Sites	Kitchen cabinet & fixture replacements,							
	Electrical upgrades, flooring replacement							
	Roof replacement, HVAC/evap coolers							
	Subtotal				\$50,000	\$50,000		

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program Grant No: AZ20P00150103 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
AZ20P0001040	Interior Remodeling/bathroom upgrades, Scattered Sites		1460		\$50,000	\$50,000			
	Kitchen cabinet & fixture replacements,								
	Electrical upgrades, flooring replacement								
	Roof replacement, HVAC/evap coolers								
	Subtotal				\$50,000	\$50,000			
AZ20P001041	Interior Remodeling/bathroom upgrades, Scattered Sites		1460		\$33,250	\$33,250			
	Kitchen cabinet & fixture replacements,								
	Electrical upgrades, flooring replacement								
	Roof replacement, HVAC/evap coolers								
	Subtotal				\$33,250	\$33,250			
AZ20P001042	Interior Remodeling/bathroom upgrades, Scattered Sites		1460		\$33,250	\$33,250			
	Kitchen cabinet & fixture replacements,								
	Electrical upgrades, flooring replacement								
	Roof replacement, HVAC/evap coolers								
	Subtotal				\$33,250	\$33,250			
AZ20P001043	Interior Remodeling/bathroom upgrades, Scattered Sites		1460		\$33,250	\$33,250			
	Kitchen cabinet & fixture replacements,								

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work
	Electrical upgrades, flooring replacement							
	Roof replacement, HVAC/evap coolers							
	Subtotal				\$33,250	\$33,250		
AZ20P001045	Interior Remodeling/bathroom upgrades,		1460		\$33,250	\$33,250		
Scattered Sites	Kitchen cabinet & fixture replacements,							
	Electrical upgrades, flooring replacement							
	Roof replacement, HVAC/evap coolers							
	Subtotal				\$33,250	\$33,250		
AZ20P001046	Interior Remodeling/bathroom upgrades,		1460		\$33,250	\$33,250		
Scattered Sites	Kitchen cabinet & fixture replacements,							
	Electrical upgrades, flooring replacement							
	Roof replacement, HVAC/evap coolers							
	Subtotal				\$33,250	\$33,250		
AZ20P001099	Operations		1406		\$310,340	\$495,537		
Projectwide Activities	Economic Initiatives		1408		\$255,000	\$255,000		
	Administration		1410		\$325,000	\$325,000		
	Training		1410.1		\$15,000	\$15,000		
	Audit fees		1411		\$4,000	\$4,000		
	Professional fees and costs		1430.1		\$200,000	\$200,000		

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work
	Relocation costs		1495.1		\$75,000	\$75,000		
	Contingency		1502		\$115,000	\$129,803		
	Remodel 830 E Jefferson St		9999		\$560,000	\$560,000		
	Subtotal				\$1,904,139	\$2,059,340		

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part III: Implementation Schedule							
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program No AZ20P00150103 Replacement Housing Factor No:			Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
AZ20P001001	09/2005			09/2007			
AZ20P001002	09/2005			09/2007			
AZ20P001005	09/2005			09/2007			
AZ20P001006	09/2005			09/2007			
AZ20P001007	09/2005			09/2007			
AZ20P001008A	09/2005			09/2007			
AZ20P001008B	09/2005			09/2007			

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part III: Implementation Schedule							
PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program No AZ20P00150103 Replacement Housing Factor No:				Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
AZ20P001009	09/2005			09/2007			
AZ20P001010	09/2005			09/2007			
AZ20P001016	09/2005			09/2007			
AZ20P001018	09/2005			09/2007			
AZ16P001022	09/2005			09/2007			
AZ16P001023	09/2005			09/2007			
AZ16P001024	09/2005			09/2007			
AZ20P001026	09/2005			09/2007			
AZ16P001029	09/2005			09/2007			
AZ20P001033	09/2005			09/2007			
AZ20P001034	09/2005			09/2007			
AZ20P001035	09/2005			09/2007			
AZ20P001036	09/2005			09/2007			
AZ20P001037	09/2005			09/2007			
AZ16P001038	09/2005			09/2007			
AZ20P001039	09/2005			09/2007			
AZ20P001040	09/2005			09/2007			
AZ20P001041	09/2005			09/2007			
AZ20P001042	09/2005			09/2007			
AZ20P001043	09/2005			09/2007			
AZ20P001045	09/2005			09/2007			
AZ20P001046	09/2005			09/2007			
AZ20P001099	09/2005			09/2007			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: City of Phoenix, Housing Dept.		Grant Type and Number Capital Fund Program Grant No: AZ20P00150203 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$0			
2	1406 Operations	\$144,463			
3	1408 Management Improvements Soft Costs	\$0			
	Management Improvements Hard Costs	\$0			
4	1410 Administration	\$0			
5	1411 Audit	\$0			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	\$0			
8	1440 Site Acquisition	0			
9	1450 Site Improvement	\$577,853			
10	1460 Dwelling Structures	\$0			
11	1465.1 Dwelling Equipment—Nonexpendable	\$0			
12	1470 Nondwelling Structures	\$0			
13	1475 Nondwelling Equipment	\$0			
14	1485 Demolition	\$0			
15	1490 Replacement Reserve	\$0			
16	1492 Moving to Work Demonstration	\$0			
17	1495.1 Relocation Costs	\$0			
18	1499 Development Activities	\$0			
19	1502 Contingency	\$0			
20	Amount of Annual Grant: (sum of lines 2-19)	\$722,316			
21	Amount of line 20 Related to LBP Activities				

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary							
PHA Name: City of Phoenix, Housing Dept.			Grant Type and Number Capital Fund Program Grant No: AZ20P00150203 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report							
Line No.	Summary by Development Account	Total Estimated Cost			Total Actual Cost		
22	Amount of line 20 Related to Section 504 compliance						
23	Amount of line 20 Related to Security –Soft Costs						
24	Amount of Line 20 related to Security-- Hard Costs						
25	Amount of line 20 Related to Energy Conservation Measures						
26	Collateralization Expenses or Debt Service						

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part II: Supporting Pages							
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150203 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work
AZ20P001002	Remodel Kitchens		1460		\$290,000		
Frank Luke Homes							
	Subtotal				\$290,000		
AZ20P001016	HVAC Upgrades and Site Improvements		1460		\$287,853		
Foothills Village							
	Subtotal				\$287,853		

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part II: Supporting Pages							
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program Grant No: AZ20P00150203 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work
AZ20P001099	Operations		1406		\$144,463		
Projectwide Activities							
Subtotal					\$144,463		

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part III: Implementation Schedule							
PHA Name: City of Phoenix, Housing Department			Grant Type and Number Capital Fund Program No AZ20P00150203 Replacement Housing Factor No:			Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
AZ20P001002	09/2005			09/2007			
AZ20P001016	09/2005			09/2007			
AZ20P001099	09/2005			09/2007			