

PHA Plans

Streamlined Annual Version

**U.S. Department of Housing and
Urban Development**
Office of Public and Indian
Housing

OMB No. 2577-0226
(exp. 05/31/2006)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

Streamlined Annual PHA Plan

For Fiscal Year: 2004

PHA Name: City of Peoria Housing Authority

NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.

Streamlined Annual PHA Plan Agency Identification

PHA Name: City of Peoria Housing Authority

PHA Number: AZ038

PHA Fiscal Year Beginning: (mm/yyyy) 07/2004

PHA Programs Administered:

Public Housing and Section 8 **Section 8 Only** **Public Housing Only**
Number of public housing units: 70 Number of S8 units: Number of public housing units:
Number of S8 units: 82

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

| Participating PHAs | PHA Code | Program(s) Included in the Consortium | Programs Not in the Consortium | # of Units Each Program |
|----------------------|----------|---------------------------------------|--------------------------------|-------------------------|
| Participating PHA 1: | | | | |
| Participating PHA 2: | | | | |
| Participating PHA 3: | | | | |

PHA Plan Contact Information:

Name: Brain Swanton Phone: 480-899-8717 x211
TDD: 1-800-367-8939 Email (if available): bswanton@csainc.org

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

PHA's main administrative office PHA's development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plan revised policies or program changes (including attachments) are available for public review and inspection. Yes No.

If yes, select all that apply:

Main administrative office of the PHA
 PHA development management offices
 Main administrative office of the local, county or State government
 Public library PHA website Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

Main business office of the PHA PHA development management offices
 Other (list below)

Streamlined Annual PHA Plan
Fiscal Year 2004
[24 CFR Part 903.12(c)]

Table of Contents
[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

| A. PHA PLAN COMPONENTS | PAGE |
|---|-------------|
| <input checked="" type="checkbox"/> 1. Site-Based Waiting List Policies 903.7(b)(2) Policies on Eligibility, Selection, and Admissions | 4 |
| <input checked="" type="checkbox"/> 2. Capital Improvement Needs 903.7(g) Statement of Capital Improvements Needed | 5 |
| <input checked="" type="checkbox"/> 3. Section 8(y) Homeownership 903.7(k)(1)(i) Statement of Homeownership Programs | 7 |
| <input type="checkbox"/> 4. Project-Based Voucher Programs | 8 |
| <input type="checkbox"/> 5. PHA Statement of Consistency with Consolidated Plan. Complete only if PHA has changed any policies, programs, or plan components from its last Annual Plan. | 8 |
| <input checked="" type="checkbox"/> 6. Supporting Documents Available for Review | 9 |
| <input checked="" type="checkbox"/> 7. Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report | 11 |
| <input checked="" type="checkbox"/> 8. Capital Fund Program 5-Year Action Plan | 15 |
| <input checked="" type="checkbox"/> 9. Exhibit A: Resident Membership on the PHA Board or Governing Body | 17 |
| <input checked="" type="checkbox"/> 10. Exhibit B: Membership of the Resident Advisory Board | 18 |
| <input checked="" type="checkbox"/> 11. Exhibit C: Comments of Resident Advisory Board on PHA Plan and PHA Response | 19 |
| <input checked="" type="checkbox"/> 12. Exhibit D: PHA Plans for homeownership of the 25 Public Housing homes. | 21 |

B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE

Form HUD-50076, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Streamlined Annual Plan identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA's principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

Form HUD-50070, Certification for a Drug-Free Workplace;

Form HUD-50071, Certification of Payments to Influence Federal Transactions; and

Form SF-LLL & SF-LLL a, Disclosure of Lobbying Activities.

1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies)

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Site-Based Waiting Lists-Previous Year

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to B.

| Site-Based Waiting Lists | | | | |
|---|----------------|--|---|--|
| Development Information: (Name, number, location) | Date Initiated | Initial mix of Racial, Ethnic or Disability Demographics | Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL | Percent change between initial and current mix of Racial, Ethnic, or Disability demographics |
| Parkview Estates, #001 10950 N. 87 th Ave. Peoria, AZ 85345 and #003, 25 scattered-site houses | 1980 | White (Non-Hispanic)—259 | White—498 | 92% increase |
| | | Black (Non-Hispanic)—92 | Black—98 | 7% increase |
| | | American Indian/Native Alaskan—10 | American Indian/Alaskan—10 | 0% increase |
| | | Asian or Pacific Islander—8 | Asian—9 | 13% increase |
| | | Hispanic—177 | Latino—194 | 10% increase |
| Other—0 | Mixed—1 | 100% increase | | |
| | | Number of Applicants with a person with a disability—164 | Number of Applicants with a person with a disability—159 | 3% decrease |
| | | | | |
| | | | | |
| | | | | |

2. What is the number of site based waiting list developments to which families may apply at one time? One
3. How many unit offers may an applicant turn down before being removed from the site-based waiting list? One

4. Yes No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

B. Site-Based Waiting Lists – Coming Year

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component.

1. How many site-based waiting lists will the PHA operate in the coming year? One
2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?
3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
 - PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

2. Capital Improvement Needs

[24 CFR Part 903.12 (c), 903.7 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Capital Fund Program

1. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.
2. Yes No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

1. Yes No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary).

2. Status of HOPE VI revitalization grant(s):

| HOPE VI Revitalization Grant Status | |
|-------------------------------------|--|
| a. Development Name: | |
| b. Development Number: | |
| c. Status of Grant: | |
| | <input type="checkbox"/> Revitalization Plan under development |
| | <input type="checkbox"/> Revitalization Plan submitted, pending approval |
| | <input type="checkbox"/> Revitalization Plan approved |
| | <input type="checkbox"/> Activities pursuant to an approved Revitalization Plan underway |

3. Yes No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name(s) below:

4. Yes No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:

5. Yes No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

- The PHA wishes to transfer the 25 scattered-site homes from Public Housing to homeownership for low-income families.

3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program
(if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)]

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to the next component; if “yes”, complete each program description below (copy and complete questions for each program identified.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year? _____

b. PHA-established eligibility criteria

Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria:

c. What actions will the PHA undertake to implement the program this year (list)?

3. Capacity of the PHA to Administer a Section 8 Homeownership Program:

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family’s resources.
- Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below):
- Demonstrating that it has other relevant experience (list experience below):

4. Use of the Project-Based Voucher Program

Intent to Use Project-Based Assistance

Yes No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If the answer is “no,” go to the next component. If yes, answer the following questions.

1. Yes No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply:
 - low utilization rate for vouchers due to lack of suitable rental units
 - access to neighborhoods outside of high poverty areas
 - other (describe below:)
2. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

5. PHA Statement of Consistency with the Consolidated Plan

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary) only if the PHA has provided a certification listing program or policy changes from its last Annual Plan submission.

1. Consolidated Plan jurisdiction: (provide name here) City of Peoria
The PHA has not provided a certification listing program or policy change from its last Annual Plan Submission.
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
 - The PHA has based its statement of needs of families on its waiting lists on the needs expressed in the Consolidated Plan/s.
 - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
 - Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
 - Other: (list below)
3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

6. Supporting Documents Available for Review for Streamlined Annual PHA Plans

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| List of Supporting Documents Available for Review | | |
|--|---|---|
| Applicable & On Display | Supporting Document | Related Plan Component |
| On Display | <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;</i> | 5 Year and Annual Plans |
| On Display | <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan</i> | Streamlined Annual Plans |
| On Display | <i>Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.</i> | 5 Year and standard Annual Plans |
| On Display | Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement. | 5 Year and Annual Plans |
| On Display | Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists. | Annual Plan: Housing Needs |
| On Display | Most recent board-approved operating budget for the public housing program | Annual Plan: Financial Resources |
| On Display | Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure. | Annual Plan: Eligibility, Selection, and Admissions Policies |
| | Deconcentration Income Analysis | Annual Plan: Eligibility, Selection, and Admissions Policies |
| On Display | Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input type="checkbox"/> Check here if included in the public housing A&O Policy. | Annual Plan: Eligibility, Selection, and Admissions Policies |
| On Display | Section 8 Administrative Plan | Annual Plan: Eligibility, Selection, and Admissions Policies |
| On Display | Public housing rent determination policies, including the method for setting public housing flat rents. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy. | Annual Plan: Rent Determination |
| On Display | Schedule of flat rents offered at each public housing development. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy. | Annual Plan: Rent Determination |
| On Display | Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan. | Annual Plan: Rent Determination |
| On Display | Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation). | Annual Plan: Operations and Maintenance |
| On Display | Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment). | Annual Plan: Management and Operations |
| On Display | Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary) | Annual Plan: Operations and Maintenance and Community Service & Self- |

| List of Supporting Documents Available for Review | | |
|--|---|---|
| Applicable & On Display | Supporting Document | Related Plan Component |
| | | Sufficiency |
| On Display | Results of latest Section 8 Management Assessment System (SEMAP) | Annual Plan: Management and Operations |
| On Display | Any policies governing any Section 8 special housing types <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan | Annual Plan: Operations and Maintenance |
| On Display | Public housing grievance procedures <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy | Annual Plan: Grievance Procedures |
| On Display | Section 8 informal review and hearing procedures. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan. | Annual Plan: Grievance Procedures |
| On Display | The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year. | Annual Plan: Capital Needs |
| On Display | Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants. | Annual Plan: Capital Needs |
| N/A | Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing. | Annual Plan: Capital Needs |
| On Display | Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA). | Annual Plan: Capital Needs |
| N/A | Approved or submitted applications for demolition and/or disposition of public housing. | Annual Plan: Demolition and Disposition |
| N/A | Approved or submitted applications for designation of public housing (Designated Housing Plans). | Annual Plan: Designation of Public Housing |
| N/A | Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937. | Annual Plan: Conversion of Public Housing |
| N/A | Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion. | Annual Plan: Voluntary Conversion of Public Housing |
| N/A | Approved or submitted public housing homeownership programs/plans. | Annual Plan: Homeownership |
| N/A | Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan) | Annual Plan: Homeownership |
| On Display | Public Housing Community Service Policy/Programs <input checked="" type="checkbox"/> Check here if included in Public Housing A & O Policy | Annual Plan: Community Service & Self-Sufficiency |
| N/A | Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies. | Annual Plan: Community Service & Self-Sufficiency |
| N/A | FSS Action Plan(s) for public housing and/or Section 8. | Annual Plan: Community Service & Self-Sufficiency |
| On Display | Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing. | Annual Plan: Community Service & Self-Sufficiency |
| N/A | Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing. | Annual Plan: Community Service & Self-Sufficiency |
| On Display | Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy. | Annual Plan: Pet Policy |
| On Display | The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings. | Annual Plan: Annual Audit |
| On Display | Other supporting documents (optional) Housing Needs Section of Our Consolidated Plan | 5-year Plan |
| N/A | Consortium agreement(s) and for Consortium Joint PHA Plans <u>Only</u> : Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection. | Joint Annual PHA Plan for Consortia: Agency Identification and Annual Management and Operations |

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | | |
|--|---|----------------------|---|-------------------|------------------------------|
| PHA Name: City of Peoria Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: Projected Replacement Housing Factor Grant No: | | Federal FY of Grant: 2004 |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration | 10,000 | | 10,000 | 0 |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 138,600 | | 138,600 | 0 |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | 1,400 | | 1,400 | 0 |
| 13 | 1475 Nondwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collateralization or Debt Service | | | | |
| 20 | 1502 Contingency | | | | |
| 21 | Amount of Annual Grant: (sum of lines 2 – 20) | 150,000 | | 150,000 | 0 |
| 22 | Amount of line 21 Related to LBP Activities | 0 | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | 0 | | | |
| 24 | Amount of line 21 Related to Security – Soft Costs | 0 | | | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | 0 | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | 0 | | | |

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages | | | | | | | | |
|--|---|---------------|---|----------------------|---------|---------------------------|----------------|----------------|
| PHA Name: City of Peoria Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: Projected Replacement Housing Factor Grant No: | | | Federal FY of Grant: 2004 | | |
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| HA-Wide | Administration | 1410 | N/A | 10,000 | | 10,000 | 0 | proposed |
| 003 | Hot water heaters | 1470 | 3 | 700 | | 700 | 0 | proposed |
| 001 | Hot water heaters | 1470 | 3 | 700 | | 700 | 0 | proposed |
| 001 | Kitchen Remodel: replace countertops | 1450 | 15 units | 8,000 | | 8,000 | 0 | proposed |
| 003 | Replace roofs | 1450 | 5 houses | 17,000 | | 17,000 | 0 | proposed |
| 003 | Install new MasterCool or A/C units | 1450 | 5 houses | 17,500 | | 17,500 | 0 | proposed |
| 003 | Install heat strips | 1450 | 20 houses | 9,100 | | 9,100 | 0 | proposed |
| 003 | Repair and complete block walls | 1450 | 14 houses | 20,000 | | 20,000 | 0 | proposed |
| 001 | Tiled splashguards in kitchen and bath | 1450 | 45 units | 4,500 | | 4,500 | 0 | proposed |
| 003 | New cabinets and counters in kitchen and bath | 1450 | 10 houses | 62,500 | | 62,500 | 0 | proposed |
| | | | | | | | | |

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages | | | | | | | | |
|---|--|---|----------|----------------------|---------|--------------------------------------|----------------|----------------|
| PHA Name: City of Peoria Housing Authority | | Grant Type and Number Capital Fund Program Grant No: Projected Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2004 | | |
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule | | | | | | | |
|---|---|---------|--|---|---------|--------|----------------------------------|
| PHA Name: City of Peoria Housing Authority | | | Grant Type and Number Capital Fund Program No: Projected Replacement Housing Factor No: | | | | Federal FY of Grant: 2004 |
| Development Number Name/HA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
| | Original | Revised | Actual | Original | Revised | Actual | |
| HA-Wide | 6/30/04 | 0 | 0 | 0 | 0 | 0 | |
| 001 | 06/30/04 | 0 | 0 | 0 | 0 | 0 | |
| 003 | 06/30/04 | 0 | 0 | 0 | 0 | 0 | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

8. Capital Fund Program Five-Year Action Plan

| Capital Fund Program Five-Year Action Plan | | | | | |
|--|------------------|---------------------------------|---|--|--|
| Part I: Summary | | | | | |
| PHA Name City of Peoria Housing Authority | | | | <input type="checkbox"/> Original 5-Year Plan <input checked="" type="checkbox"/> Revision No: 3 | |
| Development Number/Name/HA-Wide | Year 1 | Work Statement for Year 2 | Work Statement for Year 3 | Work Statement for Year 4 | Work Statement for Year 5 |
| | | FFY Grant: 2001 PHA FY: 2001 | FFY Grant: 2002 PHA FY: 2002 | FFY Grant: 2003 PHA FY: 2003 | FFY Grant: 2004 PHA FY: 2004 |
| | Annual Statement | | | | |
| HA-Wide | | Management Improvements | Administration | Administration | Administration |
| 003 | | | Repair porch and carports; replace bathroom stalls (3 houses); rewire entire house; replace roofs (6 houses); Block walls (6 houses); Install fire extinguishers all 25 houses; new 2-stage MasterCool Evap Coolers (7 houses); complete remodel of one house damaged by resident | Paint most of the 25 houses; Replace roofs (5 houses); Replace A/C units with 2-stage MasterCool Evap Coolers or A/C units (5 houses); Landscaping (6 houses); Block walls (5 houses); Replace 4 hot water heaters | Replace 3 hot water heaters; Replace roofs (5 houses); new 2-stage MasterCool Evap Coolers or A/C units (5 houses); Heat strips (20 houses); Repair and complete block walls (14 houses); New cabinets in kitchen and bath (10 houses) |
| 001 | | | Replace countertops (15 units); Replace six hot water heaters; landscaping | Tar roof of 45-unit complex; Paint all storage doors and eaves; Replace 3 hot water heaters; Re-wire security lights; Paint all front and back doors; Replace countertops (15 units) | Replace 3 hot water heaters; Replace countertops (15 units); Tiled splashguards in kitchen and bath (45 units) |

8. Capital Fund Program Five-Year Action Plan

| Capital Fund Program Five-Year Action Plan Part II: Supporting Pages—Work Activities | | | | | | |
|---|---|--------------------------------|----------------|---|---|---------------------|
| Activities for Year 1 | Activities for Year :_2_ FFY Grant: 2001 PHA FY: 2001 | | | Activities for Year: _3_ FFY Grant: 2002 PHA FY: 2002 | | |
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost |
| See | <i>HA-Wide</i> | <i>Management Improvements</i> | \$151,000 | <i>HA-Wide</i> | <i>Administration</i> | \$14,118 |
| Annual | | | | <i>001</i> | 1450: Site Improvement 1470: Non-Dwelling Structures | \$8,573 \$13,000 |
| Statement | | | | <i>003</i> | 1450: Site Improvement | \$115,662 |
| Total CFP Estimated Cost | | | \$151,000 | | | \$151,353 |

| Capital Fund Program Five-Year Action Plan Part II: Supporting Pages—Work Activities | | | | | | |
|---|---|----------------------|---|---|--------------------|--|
| Activities for Year :_4_ FFY Grant: 2003 PHA FY: 2003 | | | Activities for Year: _5_ FFY Grant: 2004 PHA FY: 2004 | | | |
| Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost | |
| <i>HA-Wide</i> | <i>Administration</i> | \$10,750 | <i>HA-Wide</i> | <i>Administration</i> | \$10,000 | |
| 001 | 1450: Site Improvement 1470: Non-Dwelling Structures | \$24,250 \$18,350 | 001 | 1450: Site Improvement 1470: Non-Dwelling Structures | \$12,500 \$700 | |
| <i>003</i> | 1450: Site Improvement 1470: Non-Dwelling Structures | \$70,507 \$18,468 | <i>003</i> | 1450: Site Improvement 1470: Non-Dwelling Structures | \$126,100 \$700 | |
| Total CFP Estimated Cost | | \$142,325 | | | \$150,000 | |

Exhibit A: Resident Membership on the PHA Board or Governing Body

Kyle Travis

Rosemary Maki

Exhibit B: Membership of the Resident Advisory Board

President: Luella Golden

Vice President: Dorothy Rivera

Secretary: Marion Dawson

Treasurer: Dianne Carr

Sgt at Arms: Ted Olloren

Exhibit C: Comments of Resident Advisory Board on PHA Plan and PHA Response

The following recommendations of the 2003 RAB contained in the 2003 PHA Plan were presented to the newly elected Board:

- A recreation room with computers. The 2004 RAB understood the Peoria Housing Authority (PHA) does not have the facilities necessary for a computer room. A renovated conference room will be available to residents for social gatherings once the office renovation is complete.
- Assigned-parking. A survey of residents found a majority did not want this.
- Improved landscaping and grounds-keeping. The 2004 RAB were unanimous that this area had greatly improved.
- Removal of sunroofs. A survey of residents found a majority did not want this. Re-tarring the roof will fix any leaks around the sunroofs.
- Gate at West end of property. This project has been completed.
- Background checks on prospective tenants. The 2004 RAB was informed as was the 2003 RAB that all prospective tenants go through a criminal background check per HUD regulations.
- Better routine maintenance. The 2004 RAB agreed this situation has greatly improved.
- Maintenance leave behind form after unit is entered. The 2004 RAB agreed this was taking place.

Recommendations of the 2004 Resident Advisory Board:

- First item was to have a social. The PHA fully supported this, requested to be invited, and agreed to provide the clubhouse facility until its renovation began.
- Covered benches for residents while waiting for Dial-a-Ride or taxis.
- Trimming back all trees.
- Specify a dog-walking area.
- New cabinets for the 45-unit complex (Parkview Estates).
- Carpet instead of tile floors in the 45-unit complex.
- Linoleum instead of tile in the 45-unit complex.
- New appliances.
- Picnic tables on the clubhouse patio.
- The grass and bushes of the public park that borders the Housing Authority are dry and dying and pose a fire hazard.
- Establish Block Watch and make the Housing Authority a Crime-Free neighborhood.

Exhibit C: Comments of Resident Advisory Board on PHA Plan and PHA Response

PHA Response:

- The PHA will be exploring the possibility of installing covered benches during the 2004-2005 fiscal year.
- The PHA already has an acceptable bid for tree trimming and dead tree removal and will begin this project in the near future.
- The PHA does not have the space for a specific dog-walking area. The 45-unit complex is located adjacent to a public park that is ideal for this purpose. Also, it is PHA policy that all residents clean up animal waste from their own pets.
- The PHA agrees that the 45-unit complex could use new cabinets. However, this is a very high cost item. It was explained to the Board that the PHA is in process of replacing all countertops in the 45-unit complex. This is a three-year capital project to be completed in 2005. Then we can begin cabinets. The RAB agreed the new countertops are quite nice.
- Carpet in the units make it difficult for the elderly and handicapped to move with wheelchairs or walkers. It is also more difficult to keep clean for the elderly and handicapped, i.e., operating a vacuum. Furthermore, tile is easier to clean and makes turning a unit much more feasible than carpet.
- Linoleum instead of tile has two problems: it tears easily and is more difficult to clean than tile. Units with older tile will be replaced as the unit is turned rather than displace the resident.
- New appliances were decided to be added not to all residences as a Capital Expense but on an as needed basis.
- The picnic tables were removed by the City of Peoria. The PHA offered to assist the RAB in contacting the responsible department in an attempt to get them replaced.
- Because the grass and bushes on the public park property behind (on the North end) of the Housing Authority belong to the City of Peoria Parks Department and not the Housing Authority, the Housing Authority has no jurisdiction on that land. The RAB was encouraged to circulate a petition among residents that the PHA would assist them in presenting to the proper City Department.
- The PHA is currently in dialogue with the local police department to establish a Block Watch on the Housing Authority grounds and establish the property as a Crime-Free property. However, according to the police, the PHA cannot post no trespassing signs. The reason for this is because:
 - The area adjacent to the Housing Authority is a public park open to all citizens.
 - The sidewalks of the Housing Authority are considered public property and can be used by citizens to pass through the property.

This final issue is a constant source of concern for residents, i.e., pedestrian traffic through the property. Once the property receives its Block Watch and Crime-Free designation, signs can be posted prohibiting skateboarding, bicycles, and roller blades on the property.

Exhibit D: PHA Plans for Homeownership of the 25 Public Housing Homes

The City of Peoria Housing Authority intends to begin exploring the process of converting the 25 scattered site Public Housing homes to homeownership for low-income families. The families currently occupying the homes will be given the option of becoming eligible for the homeownership program or will be given a voucher from the City of Peoria's Section 8 Voucher inventory.

**City of Peoria Housing Authority 8355
West Peoria Ave. #115 Peoria AZ
85345**

Full Address:

Capital Fund Program

| Address | City, State | Zip | County |
|----------------------------------|-------------|-------|----------|
| 7202 W Becker Lane | Peoria, AZ | 85345 | Maricopa |
| 8236 W. Shangri La Road | Peoria, AZ | 85345 | Maricopa |
| 7362 W. Shangri La Road | Peoria, AZ | 85345 | Maricopa |
| 8633 W. Weathersfield Rd | Peoria, AZ | 85345 | Maricopa |
| 7137 W.Mescal Street | Peoria, AZ | 85345 | Maricopa |
| 10446N. 73 rd Drive | Peoria, AZ | 85345 | Maricopa |
| 7202 W. Mescal Street | Peoria, AZ | 85345 | Maricopa |
| 7202 W. Ironwood Drive | Peoria, AZ | 85345 | Maricopa |
| 11227 N. 73 rd Avenue | Peoria, AZ | 85345 | Maricopa |
| 8216W.ShangriLa.Rd | Peoria, AZ | 85345 | Maricopa |
| 10607 N. 73 rd Drive | Peoria, AZ | 85345 | Maricopa |
| 10812 N. 79 th Avenue | Peoria, AZ | 85345 | Maricopa |
| 7115 W. Shangri La Road | Peoria, AZ | 85345 | Maricopa |
| 8007 W. Becker Lane | Peoria, AZ | 85345 | Maricopa |
| 9026 W. Ironwood Drive | Peoria, AZ | 85345 | Maricopa |
| 8538 W. Bloomfield Road | Peoria, AZ | 85345 | Maricopa |
| 10424 N. 73 rd Drive | Peoria, AZ | 85345 | Maricopa |
| 8150 W. Shangri La Road | Peoria, AZ | 85345 | Maricopa |
| 7214 W. Brown St | Peoria, AZ | 85345 | Maricopa |
| 8713 W. Becker Lane | Peoria, AZ | 85345 | Maricopa |
| 9311 W. Sanna Street | Peoria, AZ | 85345 | Maricopa |
| 10308 N. 73 rd Avenue | Peoria, AZ | 85345 | Maricopa |
| 7109W. Mountain View | Peoria, AZ | 85345 | Maricopa |
| 6752 W. Vogel Avenue | Peoria, AZ | 85345 | Maricopa |
| 8825 W. Becker Lane | Peoria, AZ | 85345 | Maricopa |

**DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET**

Approved by OMB
0348-0046

Reporting Entity: City Of Peoria Housing Authority page 2 of 2

PHA Certifications of Compliance

Office of Public and Indian Housing

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the Streamlined Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the streamlined Annual PHA Plan for PHA fiscal year beginning 2004, hereinafter referred to as the Streamlined Annual Plan, of which this document is a part and make the following certifications, agreements with, and assurances to the Department of Housing and Urban Development (HUD) in connection with the submission of the Streamlined Plan and implementation thereof:

1. The streamlined Annual Plan is consistent with the applicable comprehensive housing affordability strategy (or any streamlined Plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, and provided this Board or Boards an opportunity to review and comment on any program and policy changes since submission of the last Annual Plan.
3. The PHA made the proposed streamlined Annual Plan, including policy and program revisions since submission of the last Annual Plan, and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the streamlined Plan and invited public comment.
4. The PHA will carry out the streamlined Annual Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
5. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
6. For streamlined Annual Plans that include a policy or change in policy for site-based waiting lists:
The PHA regularly submits required data to HDD's MTCS in an accurate, complete and timely manner (as specified in PIH Notice 99-2);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(b)(2).
7. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
8. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
9. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
10. The PHA has submitted with the streamlined Plan a certification with regard to a drug-free workplace required by 24 CFR Part 24, Subpart F.
11. The PHA has submitted with the streamlined Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

City of Peoria Housing Authority

Program/Activity Receiving Federal Grant Funding

Capital Fund Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Brian Swanton

Title

Vice President/Director of Housing

Signature



Date (mm/dd/yyyy)

04/05/2004