

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

City of Phoenix

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2000

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) WAS COMPLETED IN ACCORDANCE WITH
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: The City of Phoenix Housing Department

PHA Number: AZ001

PHA Fiscal Year Beginning: (mm/yyyy) 07/2000

Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)
- PHA local offices

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004

[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: To provide and promote diversified living environments for low-income families, seniors and persons with disabilities by operating and leasing assisted and affordable housing.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
- Objectives:
- Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
1. The PHA shall build or acquire 150 new city owned affordable rental housing units for the residents of our community by June 30, 2005.
 2. The Housing Department shall develop 100 units of housing for people with special needs by June 30, 2005.
 3. The Housing Department shall construct one new affordable housing rental community without public housing development funds by June 30, 2005.

- PHA Goal: Improve the quality of assisted housing
- Objectives:
- Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below)
 1. Maintain our high performing PHA housing management designation: (PHAS score) The Housing Department shall achieve and sustain an occupancy rate for public housing of 98% through June 30, 2005.
 2. Achieve and maintain a high performance for voucher management: (SEMAP score) The Housing Department shall achieve and sustain a utilization rate of 95% for program vouchers annually. This rate does not include new voucher increments that are less than 18 months old.
 3. The Housing Department shall improve the curb appeal of its public housing developments by improving and upgrading its landscaping at all sites, painting every unit within five years, upgrading the HVAC systems in the conventional public housing developments, and other modernization actions by June 30, 2005.
 4. The Housing Department shall strive to reduce crime in its developments by 2% annually.
 5. The Housing Department will continue to work with the jurisdiction's police force and the Human Services Department to better define the "edge problem" of crime that occurs near our developments and to develop strategies for identifying and reducing this problem.
 6. The Housing Department shall attempt to attract 5 police officers to live in its developments by June 30, 2005.
 7. The Housing Department will continue to create an appealing, up-to-date environment in its developments by June 30, 2005.
 8. The Housing Department shall achieve and maintain an average response time of 24 hours in responding to emergency work orders by June 30, 2001.
 9. The Housing Department shall achieve and maintain an average response time of 3 working days in responding to routine work orders by June 30, 2002.

10. The Housing Department will create a comprehensive design plan for the exterior and landscaping of its conventional public housing developments.

PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:

Other: (list below)

1. Provide voucher lease-up assistance
2. Improve outreach efforts to potential voucher landlords by implementing an outreach program to attract 20 new landlords to participate in its Section 8 program annually.
3. Continue expanding new homeownership programs
4. The Housing Department shall speak to at least 25 civic, religious, or fraternal groups a year between now and June 30, 2005, to explain the importance of public housing is to the community.
5. The Housing Department shall ensure that there are at least 5 positive stories a year in the local media about the Housing Department or one of its residents.

HUD Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:
 - Increase the number and percentage of employed persons in assisted families:
 - Provide or attract supportive services to improve assistance recipients' employability:
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - Other: (list below)
 1. The Housing Department will implement 5 new partnerships in order to enhance services to our residents by June 30, 2005.
 2. The Housing Department shall ensure that at least 5 supportive service opportunities are present for every public housing resident by June 30, 2005.
 3. The Housing Department shall assist its resident organizations in strengthening their organizations and helping them develop their own mission statement, goals, and objectives by June 30, 2002.
 4. The Housing Department shall assist 50 families voluntarily move from assisted to unassisted housing by June 30, 2005.
 5. The Housing Department, working with its partners, shall ensure that 50% of its TANF residents are working or engaged in job training by June 30, 2003.

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Annual PHA Plan
PHA Fiscal Year 2000

[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
 Small Agency (<250 Public Housing Units)
 Administering Section 8 Only

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

An Executive Summary is not required. PHAs may provide an Executive Summary at their option, however.

The City of Phoenix Housing Department, the designated Public Housing Authority has prepared this Agency Plan in compliance with Section 511 of the Quality Housing and Work Responsibility Act of 1998 and the ensuing HUD requirements. We have adopted the following mission statement to guide the activities of the City of Phoenix Housing Department.

To provide and promote diversified living environments for low-income families, seniors and persons with disabilities by operating and leasing assisted and affordable housing.

We have also adopted the following goals and objectives to increase the availability of decent, safe and affordable housing for the next five years by:

- Expanding the supply of assisted housing
- Improving the quality of assisted housing
- Increasing assisted housing choices
- Promote self-sufficiency and asset development of assisted households

Our Annual Plan is based on the premise that if we accomplish our goals and objectives we will be working towards the achievement of our mission. The plans, statements, budget summary, policies, etc. set forth in the Annual Plan all lead towards the accomplishment of our goals and objectives. Taken as a whole, they outline a comprehensive approach towards our goals and objectives and are consistent with the Consolidated Plan. Here are just a few highlights of our Annual Plan:

- Three City Council approved admission preferences; a) working families and those unable to work because of age or disability, b) residents who live and/or work in the jurisdiction, and c) families displaced through City of Phoenix action or are referred from the Maricopa County Attorney Victim Witness Program
- Federal regulations also require all the Public Housing Authorities to target 40% of all new public housing admissions and 75% of all new Section 8 Program admissions to families at or below 30% of median income (\$15,930 for a family of four)
- The plan maintains the \$25 minimum tenant rent
- Public housing residents are offered a choice of income based rent set at 30% of adjusted monthly income or a flat rent set at a fair market rent for the area.
- For qualified residents that transition from welfare to work, the PHA will phase in rent increases with no change in rent for the first year, 50% of the increase added in the second year and the full rent increase reflected in the third year.

In summary, we are on course to improve the condition of affordable housing in the City of Phoenix.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Table of Contents

Annual Plan	Page #
i. Executive Summary	1
ii. Table of Contents	2
1. Housing Needs	6
2. Financial Resources	16
3. Policies on Eligibility, Selection and Admissions	17
4. Rent Determination Policies	27
5. Operations and Management Policies	34
6. Grievance Procedures	35
7. Capital Improvement Needs	36
8. Demolition and Disposition	38
9. Designation of Housing	39
10. Conversions of Public Housing	40
11. Homeownership	41
12. Community Service Programs	42
13. Crime and Safety	44
14. Pets (Inactive for January 1 PHAs)	46
15. Civil Rights Certifications (included with PHA Plan Certifications)	46
16. Audit	47
17. Asset Management	47
18. Other Information - Resident Advisory Board Recommendations	47

19. Other Information - Plan Approval and Required Certifications	51
20. Attachment - 1 Definition of Substantial Deviation and Significant Amendment	52
21. Attachment - 2 Admissions Policy for Deconcentration	53
22. Attachment - 3 Public Housing Drug Elimination Program Plan	54
23. Attachment - 4 Annual and Five Year Capital Fund Program	62

Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment’s name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration
- FY 2000 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart
- FY 2000 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
<input checked="" type="checkbox"/>	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
<input checked="" type="checkbox"/>	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
<input checked="" type="checkbox"/>	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
<input checked="" type="checkbox"/>	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
<input checked="" type="checkbox"/>	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
<input checked="" type="checkbox"/>	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
<input checked="" type="checkbox"/>	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
<input checked="" type="checkbox"/>	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
<input checked="" type="checkbox"/>	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
<input checked="" type="checkbox"/>	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
<input checked="" type="checkbox"/>	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
<input checked="" type="checkbox"/>	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
<input checked="" type="checkbox"/>	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
<input checked="" type="checkbox"/>	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
<input checked="" type="checkbox"/>	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
<input checked="" type="checkbox"/>	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
<input checked="" type="checkbox"/>	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
<input checked="" type="checkbox"/>	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
<input checked="" type="checkbox"/>	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) program reports	Annual Plan: Community Service & Self-Sufficiency
<input checked="" type="checkbox"/>	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
<input checked="" type="checkbox"/>	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
<input checked="" type="checkbox"/>	City of Phoenix Consolidated Plan	

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the “Overall” Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact.” Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Acces-s-ibility	Size	Location
Income <= 30% of AMI	28,962	5	5	5	1	5	5
Income >30% but <=50% of AMI	25,021	5	5	5	1	5	4
Income >50% but <80% of AMI	35,726	5	4	4	1	5	3
Elderly	14,863	5	5	4	3	1	2
Families with Disabilities	NA	5	5	4	5	2	4
Hispanic (all races)	51,112	5	5	5	1	5	4
Black	17,034	5	5	5	1	5	4

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 1995-2000
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant-Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	12,802		6%
Extremely low income <=30% AMI	7,809	61%	
Very low income (>30% but <=50% AMI)	4,481	35%	
Low income (>50% but <80% AMI)	512	4%	
Families with children	8,605	67%	
Elderly families	579	4.5%	
Families with Disabilities	2,632	20.5%	
White/Hispanic	9,479	74%	
Black	3,072	24%	
Native American	196	1%	
Asian & Pacific Isl.	55	1%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			

Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? No Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
 Public Housing (Senior and Disabled Housing Program)
 Combined Section 8 and Public Housing
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	518		12.5%
Extremely low income <=30% AMI	326	63%	
Very low income (>30% but <=50% AMI)	181	35%	
Low income (>50% but <80% AMI)	11	2%	
Families with children	0	0%	
Elderly families	80	15%	
Families with Disabilities	438	85%	
White/Hispanic	379	73%	
Black	98	19%	
Native American	30	6%	
Asian & Pacific Isl.	11	2%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	518	100%	12.5%

Housing Needs of Families on the Waiting List

2 BR	0	0%	N/A
3 BR	N/A	N/A	N/A
4 BR	N/A	N/A	N/A
5 BR	N/A	N/A	N/A
5+ BR	N/A	N/A	N/A

Is the waiting list closed (select one)? No Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 site-based assistance (Senior Housing Program)
 Public Housing
 Combined Section 8 and Public Housing
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	249		14%
Extremely low income <=30% AMI	157	36%	
Very low income (>30% but <=50% AMI)	87	35%	
Low income (>50% but <80% AMI)	5	2%	
Families with children	0	0%	
Elderly families	93	37%	
Families with Disabilities	156	63%	
White/Hispanic	203	81%	
Black	27	10%	
Native American	15	6%	
Asian & Pacific Isl.	4	3%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	249	100%	14%
2 BR	0	0%	N/A
3 BR	N/A	N/A	N/A
4 BR	N/A	N/A	N/A
5 BR	N/A	N/A	N/A
5+ BR	N/A	N/A	N/A

Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? No Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
 Public Housing (Conventional Housing Program)
 Combined Section 8 and Public Housing
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)
 If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	1,490		32%
Extremely low income <=30% AMI	909	61%	
Very low income (>30% but <=50% AMI)	521	35%	
Low income (>50% but <80% AMI)	60	4%	
Families with children	1,329	90%	
Elderly families	32	2%	
Families with Disabilities	172	12%	
White/Hispanic	1,068	72%	
Black	272	18%	
Native American	105	7%	
Asian & Pacific Isl.	45	3%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	161	11%	38%
2 BR	564	38%	34%
3 BR	546	36%	23%
4 BR	157	11%	4%
5 BR	62	4%	1%
5+ BR	0	0%	N/A

Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? No Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
 Public Housing (Scattered Sites Home Ownership Program)
 Combined Section 8 and Public Housing
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	262		24%
Extremely low income <=30% AMI	13	5%	
Very low income (>30% but <=50% AMI)	84	32%	
Low income (>50% but <80% AMI)	165	63%	
Families with children	262	100%	
Elderly families	0	0%	
Families with Disabilities	6	2%	
White/Hispanic	163	63%	
Black	75	28%	
Native American	15	6%	
Asian & Pacific Isl.	9	3%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	8	3%	0%
2 BR	112	43%	4%
3 BR	106	41%	92%
4 BR	32	12%	3%
5 BR	4	1%	1%
5+ BR	0	0%	N/A

Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? No Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

The City of Phoenix owns and manages 2,646 conventionally financed, federally assisted public housing units. The public housing programs provide opportunities for low-income families and elderly/disabled households to rent federally subsidized housing for 30% of their monthly-adjusted gross income. The City's public housing inventory is divided into traditional conventional housing, elderly/disabled housing and scattered sites housing programs.

There are five conventional housing complexes located throughout the central area of the city. Mainly families composed of two or more persons in the household occupy these complexes. There are a total of 1,776 conventional units. The vacancy rate for the conventional public housing program has historically been less than 3%.

The elderly/disabled-housing program operates four complexes. These complexes house individuals who are 62 years or older, disabled and or handicapped. There are a total of 400 elderly/disabled-housing units.

The scattered sites housing program is currently composed of 470 single-family homes located throughout the city of Phoenix. These homes are intended for occupancy by families with at least 3 persons in the household.

The overall goal of the City of Phoenix is in concurrence with the national goal, as set forth by HUD, which is to maintain decent, safe, and sanitary housing conditions for all assisted housing residents. In an effort to adhere to this standard, the City has managed an aggressive modernization program to keep the units in good condition.

In order to maintain the condition of the City's assisted housing stock, the Housing Department prepares a five-year modernization funding plan. Rehabilitation needs are assessed and are prioritized in the five-year plan. Funds to address the needs are appropriated from the following four sources: 1) Capital Fund, 2) Community Development Block Grant Program, 3) operating/replacement reserve, and 4) general obligation bond funds. Because of the age of the City's assisted housing stock there is a constant need to upgrade and improve the condition of the units and the grounds. New regulations, such as those concerning accessibility and lead-based paint, can also add to the list of rehabilitation items.

There is expected to be limited losses to the assisted housing inventory. The City expects to sell 5-10 single family homes per year to assisted housing tenants through the Scattered Site Homeownership Program. It is the City's intent to replace all assisted housing units sold.

Since August, 1978, the City of Phoenix Housing Department has administered and maintained a Section 8 rent subsidy program. This program assists the low income person or family in obtaining decent, safe and sanitary housing in the private market. The City's Section 8 Leased Housing Voucher and Moderate Rehabilitation Programs experience only short-term vacancies. Families have up to 120 days to find a dwelling unit to lease, which accounts for the fact that the Program maintains an average

lease-up rate of 97%-98%. The City administers a total of 5,078 Section 8 units, which are broken down as follows:

Section 8 Voucher Program - the program has 4,191 vouchers. The City of Phoenix Housing Department issues a rental voucher to an eligible family and makes rental assistance payments to a private owner whose housing unit meets HUD's housing quality standards. There are no rent limits in the voucher program, but the assistance payment on behalf of the family is fixed. Some vouchers are designated for homeless persons.

Section 8 Moderate Rehabilitation/Rental Rehabilitation - The program has 177 units. Under this program a private owner agrees to rehabilitate the property to certain safe and sanitary standards. The City sets the rents and the rent subsidies. In most cases, the subsidies remain available to the owner for 15 years.

Section 8 New Construction - There are two complexes managed by the City of Phoenix comprising 236 units. All 236 units are one bedroom units for elderly/disabled persons and are operated as part of the City's elderly/disabled housing program.

Section 8 Housing Assistance Payments (HAP) - The HAP Projects are privately owned and primarily new construction projects. The City acts as the contract administrator. There are seven HAP Projects totaling 474 units.

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2000 grants)		
a) Public Housing Operating Fund	\$5,006,000	
b) Public Housing Capital Fund	\$4,506,561	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$25,600,000	

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	\$577,344	
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant	\$200,000 \$20,000	Public Housing capital improvements Public Housing homeownership
i) HOME		
Other Federal Grants (list below)		
Family Self Sufficiency	\$23,072	Public Housing supportive services
2. Prior Year Federal Grants (unobligated funds only) (list below)		
3. Public Housing Dwelling Rental Income	\$4,648,780	Public Housing Operations
4. Other income (list below)		
5. Non-federal sources (list below)		
Total resources	\$40,581,757	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)

The application process involves two phases. The first phase is the initial application for housing assistance or the pre-application. The pre-application requires the family to provide limited basic information establishing any preferences to which they may be entitled. This first phase results in the family's placement on the waiting list.

Upon receipt of the family's pre-application, the Housing Department will make a preliminary determination of eligibility. The Housing Department will notify the family in writing of the date and time of placement on the waiting list, and the approximate wait before housing may be offered. If the Housing Department determines the family to be ineligible, the notice will state the reasons therefore and will offer the family the opportunity of an informal review of the determination.

The applicant may at any time report changes in their applicant status including changes in family composition, income, or preference factors. The Housing Department will annotate the applicant's file and will update their place on the waiting list. Confirmation of the changes will be confirmed with the family in writing.

The second phase is the final determination of eligibility, referred to as the full application. The full application takes place when the family nears the top of the waiting list. The top of the waiting list is a combination of eligible families, expected vacancies within the next month and an estimated time to process additional applications. The Housing Department will ensure that verification of all preferences, eligibility, suitability and selection factors are current in order to determine the family's final eligibility for admission into the Public Housing Program.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

1. Having a history of disturbing neighbors or destruction of property; having committed fraud in connection with any Federal housing assistance program, including the intentional misrepresentation of information related to their housing application or benefits derived there from; and a history of abusing alcohol in a way that may interfere with the health, safety, or right to peaceful enjoyment by others.

2.

3. c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

All applications are permanent files and will be maintained in order of bedroom size, preference (all preferences have an equal weight), and order of date and time of application. All contact between the applicant and the Housing Department will be included in the applicant file.

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

Applications will be accepted during regular business hours at: **Occupancy Services, 830 E. Jefferson St. 1st floor, Phoenix, AZ 85034** on **Monday thru Friday 8:00 AM – 5:00 PM.** Applications will be mailed to interested families upon request. The completed application will be dated and time stamped upon its return to the Housing Department.

Persons with disabilities who require a reasonable accommodation in completing an application may call the Housing Department to make special arrangements. A Telecommunication Device for the Deaf (TDD) is available for the deaf. The TDD telephone number is **(602) 534-6873.**

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

The Housing Department does not intend to operate a site-based waiting list in the coming year.

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously?
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply

Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
To facilitate a family's access to work or school
- Other: (list below)
To provide an incentive for families to assist in meeting the Housing Department's deconcentration goals.

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection (5) Occupancy)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Families displaced through City of Phoenix action or are referred from the Maricopa County Attorney Victim Witness Program

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction

- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Families displaced through City of Phoenix action or are referred from the Maricopa County Attorney Victim Witness Program

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)
Move-in orientation, Internet

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)
Change in citizenship/eligible immigrant status

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its admissions policies based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site-based waiting lists
If selected, list targeted developments below:
- Employing waiting list “skipping” to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to other policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)
Housing Agency Debt

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
- Other (describe below)
Previous address and landlord name if available

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

Applications will be accepted during regular business hours at: **Occupancy Services, 830 E. Jefferson St. 1st floor, Phoenix, AZ 85034 on Monday thru Friday 8:00 AM – 5:00 PM.** Applications will be mailed to interested families upon request. The completed application will be dated and time stamped upon its return to the Housing Department.

Persons with disabilities who require a reasonable accommodation in completing an application may call the Housing Department to make special arrangements. A Telecommunication Device for the Deaf (TDD) is available for the deaf. The TDD telephone number is **(602) 534-6873**.

(3) Search Time

- a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

The initial term of the voucher will be 60 days and will be stated on the Housing Choice Voucher. The Housing Department may grant one or more extensions of the term, but the initial term plus any extensions will never exceed 120 calendar days from the initial date of issuance. To obtain an extension, the family must make a request in writing prior to the expiration date. A statement of the efforts the family has made to find a unit must accompany the request. A sample extension request form and a form for recording their search efforts will be included in the family's briefing packet. If the family documents their efforts and additional time can reasonably be expected to result in success, the Housing Department will grant the length of request sought by the family or 60 days, whichever is less.

If the family includes a person with disabilities and the family requires an extension due to the disability, the Housing Department will grant an extension allowing the family the full 120 days search time. If the Housing Department determines that additional search time would be a reasonable accommodation.

Upon submittal of a completed Request for Lease Approval packet, the Housing Department will suspend the term of the voucher. The term will be in suspension until the date the Housing Department provides notice that the request has been approved or denied. This policy allows families the full term (60 days, or more with extensions) to find a unit, not penalizing them for the period during which the Housing Department is taking action on their request. A family may not submit a second request for approval of tenancy before the Housing Department finalizes action on the first request.

(4) Admissions Preferences

- a. Income targeting

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

- b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Families displaced through City of Phoenix action or are referred from the Maricopa County Attorney Victim Witness

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness

High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Families displaced through City of Phoenix action or are referred from the Maricopa County Attorney Victim Witness Program (All preferences have equal weight)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices

- Other (list below)
Outreach is conducted in accordance with the Equal Housing Opportunity Plan. Public notification is given to applicants and owners as may be necessary in local English and Spanish newspapers, radio and television stations. All advertisements contain the equal opportunity slogan and logo. Public announcements contain an explanation of the availability of the programs and invite participation by families and owners. Press releases are made as necessary. Details regarding where and when to apply are included as well as other appropriate information, to include notice of suspension of application intake. Special contacts are made as needed with local agencies who are service providers for very-low income working families, minority-elderly, and handicapped or disabled persons or families.

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25 The established minimum rent is \$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

The Phoenix Housing Authority has set the minimum rent at \$25. However if a family at minimum rent (when the family's annual adjusted income is less than \$1,000) requests a hardship exemption and provides documentation to the Housing Authority that one or more of the following circumstances exists, the Housing Authority may grant an exemption.

A. A hardship exists in the following circumstances:

1. When the family has lost eligibility for or is waiting an eligibility determination for a Federal, State, or local assistance program, unless the family's reduction in welfare benefits is due to fraud by a member of the family or the family's failure to comply with welfare work requirements
2. When the family would be evicted as a result of the imposition of the minimum rent requirement
3. When the income of the family has decreased because of changed circumstances, including sustained medical costs or the loss of employment, this does not include a voluntary loss of employment
4. When a death has occurred in the family resulting in a decrease in family income

B. If the Housing Authority determines there is no qualifying hardship, the minimum rent will be reinstated, including requiring back payment of minimum rent for the time of suspension.

C. If the Housing Authority determines there is a hardship, the family will be exempt from the minimum rent requirement until the hardship no longer exists. Any hardship exemptions will be reviewed at the next reexamination.

D. The family may use the grievance procedure to appeal the Housing Authority's determination regarding the hardship. No escrow deposit will be required in order to access the grievance procedure.

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:

- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit

Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

Never

At family option

Any time the family experiences an income increase

Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____

Other (list below)

During an interim reexamination, only the information affected by the changes being reported will be reviewed and verified. The Housing Department will determine whether any change in rent will be effected.

The City will phase in rent for qualified residents that transition from welfare to work. There will be no increase in their rent for the first year, it will increase 50% of the normal increase in the second year, and will fully phase in for the third year. As an additional incentive to encourage self-sufficiency, the Housing Department will not process any increase in income between annual re-certifications, except for families previously reporting zero income. Rent adjustments will be determined at their next regular re-certification.

Families are required to report the following changes to the Housing Department between regular reexaminations:

- Any person added to a household*.
- A member has been added to the family through birth or adoption or court awarded custody.
- A household member is leaving or has left the family unit.
- Any income to a family that has been reporting zero income.

If the family's rent is being determined under the formula method, these changes will trigger an interim reexamination. The family shall report these changes within ten (10) calendar days of their occurrence.

*In order to add a household member other than through birth or adoption (including a live-in aide or caretaker), the family must request that the new member be added to the lease. Before adding the new member to the lease, the individual must complete an application form stating their income, assets, and all other information required of an applicant. The individual must provide their Social Security number, if they have one, and must verify their citizenship/eligible

immigrant status. (Their housing will not be delayed due to delays in verifying eligible immigrant status other than delays caused by the family.) The new family member will go through the same screening process as that used for applicants. The Housing Department will determine the eligibility of the individual before adding them to the lease. If the individual is found to be ineligible or does not pass the screening criteria, they will be advised in writing and given the opportunity for an informal review. If they are found to be eligible and do pass the screening criteria, their name will be added to the lease. At the same time, if the family's rent is being determined under the formula method, the family's annual income will be recalculated taking into account the circumstances of the new family member.

Families are not required to, but may at any time, request an interim reexamination based on a decrease in income, an increase in allowable expenses, or other changes in family circumstances. Upon such request the Housing Department will take timely action to process the interim reexamination and recalculate the tenant's rent. Families participating in the Family Self Sufficiency Program are encouraged to report all increases in income/assets.

- g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

The Flat Rent determinations were compiled by analyzing area rents provided through Internet services, Fair Market Rent and MLS listings. We have determined that the following flat rents will apply in our public housing developments.

DEVELOPMENT	FLAT RENT
Pine Towers	
Small Studios	400
Large Studios	420
One Bedrooms	445
Parkway Terrace	

One Bedrooms	425
Washington Manor	
One Bedrooms	425
McCarty Apartments	
Studios	400
One Bedrooms	425
Two Bedrooms	525
Luke Krohn	
One Bedrooms	410
Two Bedrooms	510
Three Bedrooms	615
Four Bedrooms	725
Sidney P. Osborn	
One Bedroom s	410
Two Bedrooms	510
Three Bedrooms	615
Four Bedrooms	725
Five Bedrooms	850
Marcos de Niza	
One Bedroom s	430
Two Bedrooms	530
Three Bedrooms	655
Four Bedrooms	725
DEVELOPMENT	FLAT RENT
Matthew Henson	
One Bedroom s	430
Two Bedrooms	515
Three Bedrooms	655
Four Bedrooms	725
Five Bedroom s	850
Foothills Village	
One Bedroom s	415
Two Bedroom s	520
Three Bedrooms	655
Four Bedrooms	725
Scattered Sites Northeast	
Three Bedrooms	735
Four Bedrooms	825
Scattered Sites Northwest	

Two Bedrooms	685
Three Bedrooms	735
Four Bedrooms	825
Five Bedrooms	950
Scattered Sites Central	
Three Bedrooms	750
Four Bedrooms	835
Scattered Sites Southwest	
Two Bedrooms	650
Three Bedrooms	710
Four Bedrooms	810
Five Bedrooms	900
Scattered Sites South	
Two Bedrooms	590
Three bedrooms	680
Four Bedrooms	775

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies .

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

The Phoenix Housing Authority has set the minimum rent at \$25. However if a family at minimum rent (when the family's annual adjusted income is less than \$1,000) requests a hardship exemption and provides documentation to the Housing Authority that one or more of the following circumstances exists, the Housing Authority may grant an exemption.

A. A hardship exists in the following circumstances:

1. When the family has lost eligibility for or is waiting an eligibility determination for a Federal, State, or local assistance program, unless the family's reduction in welfare benefits is due to fraud by a member of the family or the family's failure to comply with welfare work requirements
2. When the family would be evicted as a result of the imposition of the minimum rent requirement

3. When the income of the family has decreased because of changed circumstances, including sustained medical costs or the loss of employment, this does not include a voluntary loss of employment
 4. When a death has occurred in the family resulting in a decrease in family income
- B. If the Housing Authority determines there is no qualifying hardship, the minimum rent will be reinstated, including requiring back payment of minimum rent for the time of suspension.
 - C. If the Housing Authority determines there is a hardship, the family will be exempt from the minimum rent requirement until the hardship no longer exists. Any hardship exemptions will be reviewed at the next reexamination.
 - D. In the Section 8 Housing Choice Voucher Program, the granting of a hardship exemption does not include any amount by which the gross rent exceeds the applicable payment standard.
 - E. The family may use the grievance procedure to appeal the Housing Authority's determination regarding the hardship. No escrow deposit will be required in order to access the grievance procedure.

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

PHOENIX IS A HIGH PERFORMING HOUSING AUTHORITY AND NOT REQUIRED TO COMPLETE THIS SECTION

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)
- (2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
 PHA development management offices
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)
PHA office at 830 E. Jefferson St. Phoenix, AZ 85034

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) Attachment 4 Table Library

-OR-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) Table Library

-OR-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below: Foothills

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name:
1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected:
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

7. Timeline for activity:
- a. Actual or projected start date of activity:
 - b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name:
1b. Development (project) number:
2. Designation type:
Occupancy by only the elderly <input type="checkbox"/>
Occupancy by families with disabilities <input type="checkbox"/>
Occupancy by only elderly families and families with disabilities <input type="checkbox"/>

<p>3. Application status (select one)</p> <p>Approved; included in the PHA's Designation Plan <input type="checkbox"/></p> <p>Submitted, pending approval <input type="checkbox"/></p> <p>Planned application <input type="checkbox"/></p>
<p>4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)</p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input type="checkbox"/> New Designation Plan</p> <p><input type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>6. Number of units affected:</p> <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development</p> <p><input type="checkbox"/> Total development</p>

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.79(j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	

4. Status of Conversion Plan (select the statement that best describes the current status)

Conversion Plan in development

Conversion Plan submitted to HUD on: (DD/MM/YYYY)

Conversion Plan approved by HUD on: (DD/MM/YYYY)

Activities pursuant to HUD-approved Conversion Plan underway

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

Units addressed in a pending or approved demolition application (date submitted or approved: _____)

Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____)

Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____)

Requirements no longer applicable: vacancy rates are less than 10 percent

Requirements no longer applicable: site now has less than 300 units

Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)	
1a. Development name: Upward Bound Homeownership Program	
1b. Development (project) number: AZ0P001037	
2. Federal Program authority:	
<input type="checkbox"/> HOPE I <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)	
3. Application status: (select one)	
<input checked="" type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application	
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (10/08/1993)	
5. Number of units affected: 470	
6. Coverage of action: (select one)	
<input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development	

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?
If yes, what was the date that agreement was signed? 21/04/99

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
 Information sharing regarding mutual clients (for rent determinations and otherwise)
 Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
 Jointly administer programs
 Partner to administer a HUD Welfare-to-Work voucher program
 Joint administration of other demonstration program
 Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
 Public housing admissions policies
 Section 8 admissions policies
 Preference in admission to section 8 for certain public housing families

- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Department of Labor Women In Apprenticeships Program	15	Other	PHA	Public Housing & Section 8
Economic Development/ Supportive Services	100	Other	PHA	Public Housing
Economic Initiatives/Step Up	60	Other	PHA	Public Housing
Unidos Project/Harmon Institute	100	Other	PHA	Public Housing & Section 8
Welfare to Work	50	Other	PHA	Section 8
Youth Economic Initiatives Program	100	Other	PHA	Public Housing

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: 16/12/99)
Public Housing	65	58
Section 8	299	272

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

Fifty slots have been reserved for Section 8 Welfare to Work participants.
Seven slots have been reserved for EDSS/ROSS participants.

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by:
(select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.79 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

PHOENIX IS A HIGH PERFORMING HOUSING AUTHORITY AND IS SUBMITTING A PHDEP PLAN

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)
- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
 - High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
 - Residents fearful for their safety and/or the safety of their children
 - Observed lower-level crime, vandalism and/or graffiti
 - People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
 - Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases

- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

NOT REQUIRED AT THIS TIME

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations. Accessible on page 50.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

- 1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
- 2. Yes No: Was the most recent fiscal audit submitted to HUD?
- 3. Yes No: Were there any findings as the result of that audit?
- 4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? ____

5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

PHOENIX IS A HIGH PERFORMING HOUSING AUTHORITY AND NOT REQUIRED TO COMPLETE THIS SECTION

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
- Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- Attached at Attachment (File name)
 - Provided below:

The City of Phoenix selected its Resident Advisory Board (RAB) through several outreach efforts. The Housing Department utilized staff, resident meetings, caseworker referrals, flyers posted at housing sites, and resident mailings to attract board members. Interested RAB members were selected and represented all public housing and Section 8 programs.

The Resident Advisory Board's mission was to assist the Housing Department in developing a strategic plan to define goals and objectives that promote community quality of life and economic vitality in our assisted housing programs. The goals were to:

- Make recommendations on the development of the Housing Department's Agency Plan
- Provide meaningful discussion on Housing Department policy that leads to improved housing programs and procedures
- Attend meetings and comment on proposed operation, programs and services

During the development of the Agency's plan, the RAB met four times, communicated with staff by mail and telephone and presided over the public hearing that discussed the completed plan. Because of the complexity of the Five-year and Annual Plans, the initial meetings with the Resident Advisory Board required background information on housing programs and instruction on federal regulations.

The Resident Advisory Board commented on:

- *The Pet Policy* - stating that the Housing Department should follow the policies currently used in the senior and disabled sites. The Department should require renter's insurance and enforce termination based on improper pet care. Board members agreed that it would be difficult to allow pets in every unit in the multi-family sites.
- *Minimum Rents* - that the Housing Department should continue with a \$25 minimum rent.
- *Community Service* - the Resident Advisory Board felt that it was unfair to public housing residents to be required to participate when persons assisted by the Section 8 program were exempt.
- *Deconcentration Policy* - the goals are acceptable, but it may be difficult to maintain and would have little benefit to residents.

Because the plans were developed with the Resident Advisory Board's participation, no changes to the PHA Plans were necessary.

Other issues brought up at the Plan's public hearing included:

- *Housing opportunities for persons 55 and older* - There are affordable housing properties and also 2 sites in Senior & Disabled Housing available to persons as young as 18 years of age who have a disability. There are also 4 additional sites available to persons who are 62 years of age or older.
- *Could speed bumps be installed on Pima between 1st Avenue and 5th Avenue* - It is considered a very good idea and the Streets and Transportation Department is in the process of securing a contract for such an installation.

- *What are the advantages to the Homeownership Program* - The homes are sold at 95% of the appraised value. In addition, the buyer benefits from a discounted interest rate and some closing and down payment cost assistance.
- *How and the Scattered Sites homeownership program be accessed* - If you are currently living in public housing, it could be through the transfer process. You would be asked to select from one of the currently available homes. You would be required to complete classes on homeownership and hopefully purchase the home when you are financially able to do so.
- *How are drugs problems handled by the Housing Department* - Reported information is investigated and if possible take action to evict the family. Grievance procedures must be followed so it is helpful to have accurate information on the apartment.
- *What types of apprenticeship programs are offered* - Building construction and computer repair, the programs start two to three times each year.
- *Management needs to be more visible on housing sites* - Activity occurring on weekends and evenings when the office is closed, should be assisted by the designated Resident Managers.

There were over thirty people in attendance for the public hearing. There were no new issues discussed that would require changes to the Five-year or Annual Plans.

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:
- Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance

- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: City of Phoenix

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Provide home ownership opportunities to first-time buyers, particularly for low and moderate-income families with children.

Provide assisted rental housing opportunities to very-low and low-income elderly, families, homeless persons and other persons with special needs.

Promote supportive services and facilities for frail elderly, disabled persons, low-income families (renters), or other persons with special needs.

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

The Housing Department's plan approval and required certifications can be accessed by clicking on the pdf file: [AZ001a01.pdf](#)

ATTACHMENT 1

Use this section to provide any additional attachments referenced in the Plans.

Definition of Substantial Deviation and Significant Amendment or Modification

The City of Phoenix Housing Department shall define a **Substantial Deviation** from the Five-year plan as follows:

Any collective change in the planned or actual use of federal funds for activities that would prohibit or redirect the Housing Department's strategic goals of increasing the availability of decent, safe and affordable housing while promoting self-sufficiency and asset development of families and individuals from being implemented as identified in the Five-year plan.

Any single or collective change in the planned or actual use of federal funds as identified in the Five-year plan that exceeds 20% of the City of Phoenix's annual program budget for Section 8 or public housing activities.

A **Significant Amendment or Modification** to the Annual and Five-year Plans is defined as:

Changes of a sufficient nature to the rent or admissions policies, or the organization of the waiting list not required by federal regulatory requirements as to effect a change in the Section 8 Administration Plan or the Public Housing Admissions and Continued Occupancy Policy.

Any change in the planned or use of replacement reserve funds under the Capital Fund that exceeds 20% of the City of Phoenix's annual budget.

The addition of activities effecting more than 10% of PHDEP grants.

Changes to the Housing Department's plans effecting the demolition or disposition of public housing, designation of senior or disabled housing, the homeownership program, and a plan to convert public housing units to other than assisted housing.

ATTACHMENT 2

ADMISSIONS POLICY FOR DECONCENTRATION

It is the Housing Department's policy to provide for deconcentration of poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Toward this end, we will skip families on the waiting list to reach other families with a lower or higher income. We will accomplish this in a uniform and non-discriminating manner.

The HD will affirmatively market our housing to all eligible income groups. Lower income residents will not be steered toward lower income developments and higher income people will not be steered toward higher income developments.

Prior to the beginning of each fiscal year, we will analyze the income levels of families residing in each of our developments, the income levels of census tracts in which our developments are located, and the income levels of the families on the waiting list. Based on this analysis, we will determine the level of marketing strategies and deconcentration incentives to implement. We will use the following worksheet for the analysis:

Income Limits and Deconcentration Worksheet

Development Name	Number of Units Under ACC	Number of Occupied Units	Number of Units Occupied by Very Poor Families	% Occupied by Very Poor Families

% Very Poor in -

Census Tract -

Target Number -

Number Needed of below 30% of median area income -

Number Needed above 30% of median area income -

Waiting list number of families -

Deconcentration Incentives

The Housing Department may offer one or more incentives to encourage applicant families whose income classification would help to meet the deconcentration goals of a particular development. Various incentives may be used at different times, or under different conditions, but will always be provided in a consistent and nondiscriminatory manner.

ATTACHMENT 3 Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

- A. Amount of PHDEP Grant \$ \$577,344
- B. Eligibility type (Indicate with an "x") N1 _____ N2 _____ R X _____
- C. FFY in which funding is requested 2000
- D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

Since 1991 the City of Phoenix Housing Department has committed to a comprehensive Drug Elimination Program for residents of public housing and the surrounding areas. The City's plan addresses three major activities: educational enrichment, community development and life skills training. All program components support the major activities and are designed to help participants become self-sufficient. Over twenty partners have collaborated with the City of Phoenix Housing Department's Drug Elimination Program in its efforts to improve the lives of residents of public housing and the communities in which they live.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Matthew Henson	448	1270
Marcos De Niza	374	916
Luke Krohn	570	1380
Sidney P. Osborn	184	727

Foothills	200	668
-----------	-----	-----

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

6 Months _____
 12 Months _____
 18 Months _____
 24 Months X _____
Other _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995	X \$636,250	AZ20DEP0010195	0	GE	2/98
FY 1996	X \$645,750	AZ20DEP0010196	0	GE	5/14/99
FY 1997	X \$683,800	AZ20DEP0010197	73,142	GE	6/15/00
FY 1998	X \$682,240	AZ20DEP0010198	529,295	N/A	12/2000
FY 1999	X \$577,344	AZ20DEP0010199	577,344	N/A	12/2001

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

The strategy of the program is to continue the successful programs that have been existence for the past 9 years and to develop the Gate Program, as a result of our State Welfare to Work plans. Additional partners will be sought to assist us in our new program and to enhance the programs already in existence. The ultimate goal is to have some of the partners’ take over the total program that has been initiated over the years. The successes of the programs are evaluated by 1. The number of participants in the programs, 2. The number of households that acquire a computer through their educational enhancement and volunteerism 3. The continuation and enhancement of partnerships initiated for the programs, 4. The reduction of number of housing incidences of gang, drug and domestic violence in public housing.

Enter the total amount of PHDEP funding allocated to each line item.

FY 2000 PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	0
9120 - Security Personnel	0
9130 - Employment of Investigators	0
9140 - Voluntary Tenant Patrol	0
9150 - Physical Improvements	0
9160 - Drug Prevention	\$423,278
9170 - Drug Intervention	\$97,584
9180 - Drug Treatment	0
9190 - Other Program Costs	\$56,482
TOTAL PHDEP FUNDING	\$577,344

- C.
- D.

- E.
- F.
- G.

H. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement						Total PHDEP Funding: \$0	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							

9120 - Security Personnel						Total PHDEP Funding: \$0	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							

9130 - Employment of Investigators						Total PHDEP Funding: \$0	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							

9140 - Voluntary Tenant Patrol						Total PHDEP Funding: \$0	
---------------------------------------	--	--	--	--	--	---------------------------------	--

Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
9150 - Physical Improvements					Total PHDEP Funding: \$0		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
9160 - Drug Prevention					Total PHDEP Funding: \$423,278		
Goal(s) Reduce drug usage in public housing and the surrounding area							
Objectives To provide increased educational opportunities and vocational skills training to residents through comprehensive programs that target the family development. To increase the resident involvement in crime prevention through leadership development							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.Harmon Institute-Friendly House	120	Public housing residents and surrounding area	12/00	12/02	22,500	United Way/ 4,000	Number of people receiving GED. Number of people in ESL program. Continuation of partnerships and enhancement.
2.On site computer youth literacy program	89	Public housing residents and surrounding area	12/00	12/02	37,980	City Parks & Recreation / 2,000	Number of youth using the labs for educational enrichment.

3. Adult computer skills classes	35	Public housing residents and surrounding area	12/00	12/02	7,000	Rio Salado Community College/ 4,000	Number of adults attending the program who enroll & complete educational classes. Continuation of partner-ships and enhancement.
4. Gate Program	20	Public housing residents	12/00	12/02	6,000	Partners/ \$9,000	Number of households that acquire a computer through their educational enhancement and volunteerism. Development of partners to reduce the cost of computer refurbishing.
5. Youth Summer Institute and year round Tutoring Program	150	Public housing residents and surrounding area	12/00	12/02	7,500	Partners/ \$200,000	Number of youth that complete the Summer Institute. Number of youth that request tutoring.
6. Blockwatch Program and recruitment	35	Public housing residents and surrounding area	12/00	12/02	500	Police grant/ 500	Number of people attending the meetings. Number of calls for service. Reduction of criminal activity on site.
7. New Resident Orientation	177	Public housing residents	12/00	12/02	500	City Housing Authority/ 500	Evaluation of the pro-gram by the residents. Reduction of criminal activity on site.

8. Leadership Development	40	Public housing residents	12/00	12/02	500	University Of Arizona Extension Service/ 1,000	Number of people who actively participate.
9. Garden program	12	Public housing residents and surrounding area	12/00	12/02	1,000	Various grants/2,000	Number of residents who have a garden plot.
10. Clean Up programs	360	Public housing sites and surrounding area	12/00	12/02	500	Safe grant and Police grant/ \$1,000	Number of people who participate in clean ups.
11. Cultural program	35	Public housing residents and surrounding area	12/00	12/02	5,000	AZ. Arts commission/ 8,000	Performance at Boys and Girls Club annual scholarship presentation. Number of public housing youth in the program.
12. Teen Employment	58	Public housing residents	12/00	12/02	156,358	Summer youth employment program/ 6,000	Number of youth employed for more than 3 months in a job not funded by grant. Number of youth graduating from high school.
13. Weekend program	2,000	Public housing residents	12/00	12/02	57,100	City Parks and Rec. 20,000	Number of youth that participate in the weekend programs.
14. Community events	1500	Public housing residents and surrounding area	12/00	12/02	1,200	City Parks and Rec./ 8,000	Number of people who attend the community events and number of informational resources at the events.

15. Teen Leadership Program	15	Public housing residents	12/00	12/02	4,700	Anytown, Community College, University of Ariz. / 4,000	Number of youth that volunteer for community events.
16. Parenting and educational program in schools	120	Public housing residents and surrounding area	12/00	12/02	6,400	Phx. School District/ 5,000	Number of parents participating in the educational and parenting classes at the schools.
17. Sports leagues	100	Public housing residents	12/00	12/02	2,000	Boys & Girls club, City Parks and Rec. /2,000	Number of youth involved in sports activities.
18. Coordinator		Public housing residents	1/1/01	12/31/02	68,209		Monthly reports to supervisor, submittal of HUD reports
19. Clerk		Public housing residents	1/1/01	12/31/02	38,331		Reports submitted to coordinator, flyers developed for programs, and maintaining of records.
9170 - Drug Intervention					Total PHDEP Funding: \$97,584.		
Goal(s)	Reduce drug and other related crime in and near public housing through the development of network and coping mechanisms.						
Objectives	To build family unity and provide the resources necessary to assist families deal with conflicts occurring on a daily bases.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Family Camps	130	Public housing residents and surrounding area	12/00	12/02	14,800	Phoenix School district / 3,000	Increase in resident participation in school adult activities and. number of families requesting parenting assistance.

2. Case Management	268	Public housing residents	12/00	12/02	82,784	City Human Services Dept./ 2,000	Number of 20 referrals per month, 15 counseling sessions per month and number of probationary contracts implemented to avoid eviction.
--------------------	-----	--------------------------	-------	-------	--------	----------------------------------	--

9180 - Drug Treatment						Total PHDEP Funding: \$0	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							

9190 - Other Program Costs						Total PHDEP Funds: \$56,482.	
Goal(s)							
Reduce crime and drug activity in public housing and the surrounding area							
Objectives							
To have staff play an integral part in accomplishing the goals of the grant by providing support service to the components, coordinating and developing some of the programs and continuing and developing new partnerships for the grant.							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Housing Supervisor			1/1/01	12/31/02	20,194	Other HUD grants/	Reports submitted to HUD in a timely manner with correct information.
2. Accountant			1/1/01	12/31/02	15,494	Other HUD grants/	Reports submitted to HUD in a timely manner with correct information.

3. Secretary			1/1/01	12/31/02	20,794	Other HUD grants/	Reports submitted to Coordinator and correspondence with agencies, filing and maintaining of records.
--------------	--	--	--------	----------	--------	-------------------	---

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110		N/A		N/A
9120		N/A		N/A
9130		N/A		N/A
9140		N/A		N/A
9150		N/A		N/A
9160	Activities 1-17		Activities 1-17	
9170	Activities 1,2		Activities 1,2	
9180		N/A		N/A
9190	Activities 1,2,3		Activities 1,2,3	
TOTAL		\$144,360		\$288,721

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

ATTACHMENT 4

PHA Plan

Table Library

Component 7

Capital Fund Program Annual Statement

Parts I, II, and III

Annual Statement

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number AZ20P00150100 FFY of Grant Approval: (07/2000)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	\$565,000
2	1406 Operations	\$324,000
3	1408 Management Improvements	\$218,000
4	1410 Administration	0
5	1411 Audit	\$4,000
6	1415 Liquidated Damages	0
7	1430 Fees and Costs	\$406,000
8	1440 Site Acquisition	0
9	1450 Site Improvement	\$691,000
10	1460 Dwelling Structures	\$1,817,000
11	1465.1 Dwelling Equipment-Nonexpendable	\$229,000
12	1470 Nondwelling Structures	\$308,000
13	1475 Nondwelling Equipment	\$25,000
14	1485 Demolition	0
15	1490 Replacement Reserve	0
16	1492 Moving to Work Demonstration	0
17	1495.1 Relocation Costs	\$76,000
18	1498 Mod Used for Development	0
19	1502 Contingency	\$275,000
20	Amount of Annual Grant (Sum of lines 2-19)	\$4,373,000
21	Amount of line 20 Related to LBP Activities	0
22	Amount of line 20 Related to Section 504 Compliance	0
23	Amount of line 20 Related to Security	\$72,000
24	Amount of line 20 Related to Energy Conservation Measures	\$180,000

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
AZ16P001023	Perimeter wall on south and west	1450	\$51,000
Pine Towers	Fire alarm system	1460	\$110,000
	Carpet/title replacement in hallways	1460	\$62,000
	Refinish 156 cabinets	1460	\$108,000
	156 Bathroom vents	1460	\$21,000
	156 Refrigerator replacements	1465.1	\$42,000
	SUBTOTAL		\$394,000
AZ16P001029	Repave parking lot	1450	\$31,000
McCarty Apts.	Paint exterior	1460	\$27,000
	24 Refrigerator replacements	1465.1	\$13,000
	SUBTOTAL		\$71,000
AZ20P001001	Painting exterior	1460	\$58,000
Marcos De Niza	Refrigerators	1465.1	\$104,000
	SUBTOTAL		\$162,000
AZ20P001002	Replace 65 trees	1450	\$68,000
Frank Luke Homes	Sprinklers in common areas	1450	\$51,000
	Sewer cleaner upgrade	1450	\$59,000
	Painting exterior	1460	\$59,000
	SUBTOTAL		\$237,000
AZ20P001003	Seal & stripe alleys & parking lots	1450	\$16,000
Matthew Henson	Termite damage repair	1460	\$20,000
Homes	Painting exterior	1460	\$39,000
	Remodel Coleman Recreation Center	1470	\$127,000
	SUBTOTAL		\$202,000
AZ20P001004	Grounds improvement	1450	\$78,000
Matthew Henson	Playgrounds and basketball courts	1450	\$65,000
Addition	Striping & sealing of alleys & parking & repair concrete slabs		\$18,000
	SUBTOTAL		\$161,000
AZ20P001005	Area lighting	1450	\$26,000

Marcos de Niza Addition	Remodel PAL center	1470	\$24,000
SUBTOTAL			\$50,000

Annual Statement

Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
AZ20P001006 Frank Luke Addition	Sewer cleanout upgrades	1450	\$14,000
	Exterior painting	1460	\$35,000
SUBTOTAL			\$49,000
AZ20P001007 Sidney P. Osborn	7A Seal and stripe parking & alleys	1450	\$10,000
	7B Seal and stripe parking & alleys	1450	\$29,000
	7B Security screens (large)	1460	\$72,000
	7B Enclose stair wells & extend stairs	1460	\$75,000
	7B Enclose stair wells & extend stairs	1460	\$11,000
SUBTOTAL			\$197,000
AZ20P001008A A. L. Krohn Homes	Repair/sear/re-stripe parking (8A2)	1450	\$15,000
	Repair or replace security grilles (8A1)	1460	\$8,000
	Enclose stair wells & extend stairs-8A2	1460	\$7,000
	Enclose stair wells & extend stairs-8A1	1460	\$29,000
SUBTOTAL			\$59,000
AZ20P001008B A. L. Krohn Homes	Paint interiors of units not painted in last 5 years	1460	\$52,000
	Enclose stair wells & extend stairs	1460	\$75,000
	Replace stair well panesl	1460	\$15,000
SUBTOTAL			\$142,000
AZ20P002009	Apt. doors and hardware replacement	1460	\$36,000

Maryvale Parkway	Roof coating & re-rood comm. Room	1460	\$41,000
Terrace	Repair air handlers	1460	\$10,000
	Fire alarm system replacement	1460	\$130,000
	SUBTOTAL		\$217,000
AZ20P001010	Seal parking lot	1450	\$10,000
Washington Manor	Faucets in bathrooms	1460	\$11,000
	Paint exterior	1460	\$43,000
	Water heater replacement	1460	\$22,000
	Refrigerators	1465.1	\$63,000
	SUBTOTAL		\$149,000

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
AZ20P001016	Seal and stripe parking	1450	\$39,000
Foothills Village	Upgrade both main electrical panels	1450	\$73,000
	Roof coating	1460	\$54,000
	Community Center renovation	1470	\$57,000
	Remodel PAL center	1470	\$100,000
	SUBTOTAL		\$323,000
AZ20P001036	Site improvements	1450	\$38,000
Scattered Sites AZ1-36	Dwelling structure remodeling	1460	\$521,000
	Dwelling equipment	1465.1	\$7,000
	SUBTOTAL		\$566,000
AZ20P001040	Painting exterior	1460	\$12,000
Scattered Sites AZ1-40			
	SUBTOTAL		\$12,000
AZ20P001041	Painting exterior	1460	\$37,000
Scattered Sites AZ1-41			
	SUBTOTAL		\$37,000
AZ20P001042	Painting exterior	1460	\$17,000
Scattered Sites AZ1-42			
	SUBTOTAL		\$17,000
AZ20P001099	Operations	1460	\$324,000
Projectwide activities	Economic Incentives	1408	\$218,000
	Audit Fees	1411	\$4,000
	Architecture and Engineering Fees	1430.1	\$350,000
	Inspection Costs	1430.7	\$56,000
	Vehicle for relocation work	1475.7	\$25,000

	Relocation costs	1495.1	\$76,000
	Contingency	1502	\$275,000
SUBTOTAL			\$1,328,000

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
AZ20P001103	Fillmore Gardens landscape	9999	\$60,000
Senior Housing	Sunnyslope painting exterior	9999	\$75,000
NonCGP Projects	Fillmore nurse call system	9999	\$50,000
	Fillmore fire alarm system	9999	\$130,000
	Sunnyslope interior doors	9999	\$50,000
	Sunnyslope apartment carpet	9999	\$60,000
	Sunnyslope tile in common areas	9999	\$60,000
	Fillmore apartment carpet	9999	\$60,000
	Fillmore roll up trash doors	9999	\$20,000
SUBTOTAL			\$565,000
GRAND TOTAL			\$4,938,000

Annual Statement
Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
AZ16P001023 Pine Towers	03/2002	10/2003
AZ16P001029 McCartyApts.	03/2002	10/2003
AZ20P001001 Marcos De Niza	03/2002	10/2003
AZ20P001002 Frank Luke Homes	03/2002	10/2003
AZ20P001003 Matthew Henson Homes	03/2002	10/2003
AZ20P001004 Matthew Henson Addition	03/2002	10/2003
AZ20P001005 Marcos de Niza Addition	03/2002	10/2003
AZ20P001006 Frank Luke Addtn	03/2002	10/2003
AZ20P001007 Sidney P. Osborn	03/2002	10/2003
AZ20P001008A A. L. Krohn Homes	03/2002	10/2003

AZ20P001008B A. L. Krohn Homes	03/2002	10/2003
--------------------------------------	---------	---------

Annual Statement
Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
AZ20P001009 Maryvale Parkway Terrace	03/2002	10/2003
AZ20P001010 Washington Manor Apts.	03/2002	10/2003
AZ20P001016 Foothills Village	03/2002	10/2003
AZ20P001036 Scattered Sites AZ1-36	03/2002	10/2003
AZ20P001040 Scattered Sites AZ1-40	03/2002	10/2003
AZ20P001041 Scattered Sites AZ1-41	03/2002	10/2003
AZ20P001042 Scattered Sites AZ1-42	03/2002	10/2003
AZ20P001099 Projectwide activities		
AZ20P001103 Senior Housing		

NonCGP Projects		
-----------------	--	--

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001001	Marcos De Niza		
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Landscaping		\$104,040	2001
Termite damage repair		156,060	2001
Ventilator fans for attic (39)		5,202	2001
Add parking lots		353,736	2002
Evaporative coolers (224)		189,353	2002
Install heat pumps (224)		868,224	2002
Interior electrical		5,202	2002
Seal and stripe alleys and parking lots		22,868	2002
Exterior façade renovation		571,200	2003
Hydrovac sewers		8,800	2004
Kitchen and bathroom faucets		44,000	2004
Kitchen cabinets		448,000	2004
Kitchen sinks		28,000	2004
Window screens and guards		69,000	2004
Total estimated cost over next 5 years		\$2,873,685	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001002	Frank Luke Homes		
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Electrical upgrades for AC units		\$230,000	2001
Meter box doors (230)		25,000	2001
Paint interiors of units (75)		78,030	2001
Replace electrical poles (3)		8,115	2001
Replace gate valves (20)		5,100	2001
Provide rear screen doors (230)		34,500	2001
Upduct replacement (460)		48,691	2001
Area lighting upgrade (55)		20,000	2002
Bathroom vanities (230)		57,500	2002
Install heat pumps (230)		886,380	2002
Interior electrical (230)		5,100	2002
Exterior façade renovation (230)		586,500	2003
Replace kitchen cabinets (160)		320,000	2003
Hydrovac sewers		8,720	2004
Interior doors		123,000	2004
Total estimated cost over next 5 years		\$2,436,636	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001003	Matthew Henson Homes			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Electrical pole replacement (2)			\$5,410	2001
Seal red brick buildings (54)			24,480	2001
Ventilator fans for attics (35)			4,370	2001
Grilles and guards (paint and repair)			10,404	2002
Install heat pumps (150)			534,888	2002
Interior electrical			5,100	2002
Upduct replacement (800)			37,871	2002
Exterior façade renovation (150)			382,500	2003
Hydrovac sewers			10,900	2004
Refrigerators			66,000	2004
Total estimated cost over next 5 years			\$1,081,923	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001004	Matthew Henson Addition			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Painting exterior (194)			\$49,866	2001
Replace electrical pole			2,705	2001
Install heat pumps (194)			746,844	2002
Interior electrical			5,100	2002
Paint interiors (70)			93,054	2002
Exterior façade renovation (194)			494,700	2003
Seal and stripe parking and alleys			10,924	2003
Bug screens			24,000	2004
Hydrovac sewers			10,900	2004
Total estimated cost over next 5 years			\$1,438,093	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001005	Marcos De Niza Addition		
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Electrical pole		\$2,705	2001
Landscaping		43,697	2001
Paint interiors of apartments (100)		122,683	2001
Painting (exterior) (150)		38,556	2001
Termite damage repair		156,060	2001
Install heat pumps (150)		158,400	2002
Interior electrical		5,202	2002
Seal and stripe parking lots and alleys		9,894	2002
Exterior façade renovation (150)		382,500	2003
Hydrovac sewers		10,900	2004
Kitchen cabinets		310,000	2004
Kitchen sinks		30,000	2004
Total estimated cost over next 5 years		\$1,693,597	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001006	Frank Luke Addition			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Electrical upgrades for AC units (138)			\$138,000	2001
Replace electrical poles (2)			5,410	2001
Rear screen doors (138)			20,700	2001
Interior doors (100)			20,808	2002
Evaporative cooler replacement (138)			93,636	2002
Install heat pumps (138)			529,788	2002
Interior electrical			5,100	2002
Paint interiors (50)			27,050	2002
Seal and stripe parking and alleys			16,230	2002
Exterior façade renovation (138)			351,900	2003
Hydrovac sewers			8,720	2004
Replace refrigerators (138)			20,000	2004
Total estimated cost over next 5 years			\$1,237,342	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year.

Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001007	Sidney P. Osborn		
Description of Needed Physical Improvements or Management Improvements			Estimated Cost
			Planned Start Date (HA Fiscal Year)
7A Area lighting			\$8,739
7A Community Center remodeling			204,000
7A Install heat pumps (28)			108,528
7B Coolers for Maintenance shop (2)			1,561
7B Electrical poles (2)			5,410
7B Electrical transformer			2,705
7B Install heat pumps (146)			565,896
7B Install perimeter fence			15,300
7B Security rear screen doors (146)			48,399
7B Upgrade electrical wiring			315,949
7A Roof coating (6)			8,739
7B Bathroom remodeling (146)			163,863
7B Roof coating			52,020
7B Shower surrounds (146)			119,646
7A Cooler replacement (28)			43,697
7A Sewer repairs			2,856
7B Roof replacement (29)			413,100
7B Seal and stripe parking lot and alleys			28,560
7B Deterrent screens			135,500
7B Seal and stripe parking lot and alleys			18,000
7A Exterior Painting (28)			7,635
7A Hydrovac sewers			4,400
7A Seal and stripe parking lots			7,000
7B Exterior painting (146)			39,800
7B Hydrovac sewers			10,900
7B Ranges			12,000
7B Refrigerators			20,000
Total estimated cost over next 5 years			\$2,364,203

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001008A	A. L. Krohn Homes			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
8A2 Electrical pole replacement			\$2,705	2001
8A1 Install heat pumps (76)			294,576	2001
8A2 Interior painting (12)			4,162	2001
8A2 Ranges (22)			11,965	2001
8A1 Roof recoating (76)			20,808	2001
8A2 Roof replacement (38)			117,986	2001
8A2 Rear security screen doors (38)			12,597	2001
8A2 Water heaters (38)			5,462	2001
8A2 Install heat pumps			147,288	2002
8A2 Bug screens			5,462	2003
8A2 Seal and stripe parking lot and alleys			7,647	2003
8A2 Sewer cleanout and upgrades (38)			3,876	2003
8A1 Exterior painting (76)			20,722	2004
8A1 Hydrovac sewers			8,800	2004
8A2 Exterior painting (38)			10,361	2004
8A2 Hydrovac sewers			4,360	2004
Total estimated cost over next 5 years			\$678,777	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001008B	A. L. Krohn Homes		
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Install heat pumps (202)		\$782,952	2001
Replace fence along Edison Park		10,000	2001
Rear security screen doors (202)		66,963	2001
Roof replacement (202)		113,404	2002
Seal and stripe parking lot and alleys		10,200	2002
Sewer cleanout and upgrades (202)		20,604	2003
Exterior painting (202)		51,922	2004
Hydrovac sewers		13,000	2004
Roof coating		16,000	2004
Total estimated cost over next 5 years		\$1,085,045	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001009	Maryvale Parkway Terrace			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Install backup chiller			\$76,500	2001
Interior doors (324)			35,700	2001
Landscaping			10,820	2001
Modification of boiler room doors			25,000	2001
Exterior painting (108)			59,078	2001
Carpet common areas			20,808	2002
Interior painting (60)			16,646	2002
Repave parking lots			61,200	2002
Install window sun screens (216)			20,000	2003
Carpet apartments (108)			80,000	2003
Elevator repairs (2)			50,000	2003
Replace mail boxes (108)			5,000	2003
Carpet halls			30,000	2004
Mechanical upgrades to call boxes, doors and gates			25,000	2004
Total estimated cost over next 5 years			\$515,752	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001010	Washington Manor Apts.			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Apartment door replacement (112)			\$35,700	2001
Carpet apartments (112)			67,626	2001
Carpet common areas			26,010	2001
Elevator remodeling			20,808	2001
Irrigation upgrade			10,000	2001
Interior lighting improvements			21,848	2001
Interior painting (60)			104,040	2001
Roof coating			43,281	2001
Remodel bathrooms (112)			300,000	2002
Replace mail boxes (112)			5,000	2003
Seal and stripe parking lot			4,370	2003
Interior painting of common areas			10,000	2004
Mechanical upgrades to call boxes, doors and gates			25,000	2004
Total estimated cost over next 5 years			\$673,683	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001016	Foothills Village		
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Cabinets in upstairs bathrooms (200)		\$61,200	2001
Install heat pumps (200)		775,200	2002
Termite control		10,404	2002
Seal and stripe parking lot and alleys		20,766	2003
Sewer cleanout and upgrades (200)		20,400	2003
Exterior painting (200)		54,533	2004
Hydrovac sewers		13,000	2004
New community room for seniors		200,000	2004
Upgrade electrical		30,000	2004
Total estimated cost over next 5 years		\$1,185,503	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001018	Scattered Sites AZ1-18			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior painting (33)			\$54,846	2002
Exterior painting (13)			21,606	2003
Total estimated cost over next 5 years			\$76,452	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001022	Scattered Sites AZ1-22			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior painting (9)			\$14,958	2003
Total estimated cost over next 5 years			\$14,958	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001023	Pine Towers		
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Painting apartments and hallways (120)		\$82,233	2001
Roof coating		33,865	2001
Rebuild elevator		54,101	2002
Replace refrigerators (156)		60,000	2003
Mechanical upgrades to call boxes, doors and gates		20,000	2004
Replace chiller		150,000	2004
Replace roof		200,000	2004
Total estimated cost over next 5 years		\$600,199	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001024	Scattered Sites AZ1-24			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior painting (9)			\$14,958	2003
Total estimated cost over next 5 years			\$14,958	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001029	McCarty Apartments			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Range replacement (24)			\$8,656	2001
Remodel laundry room			5,000	2001
Roof coating			5,462	2001
Seal and stripe parking lots			3,277	2001
Fire system			50,000	2002
Ground improvements			10,924	2002
Replace boilers (2)			7,000	2003
Replace mail boxes (24)			5,000	2003
Interior painting (24)			30,000	2004
Total estimated cost over next 5 years			\$125,319	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001026	Scattered Sites AZ1-26		
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior painting (10)		\$16,620	2003
Total estimated cost over next 5 years		\$16,620	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001037	Scattered Sites AZ1-37		
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Dwelling equipment (27)		\$5,670	2001
Dwelling structures (27)		521,640	2001
Site improvements (27)		39,690	2001
Dwelling equipment (13)		2,730	2002
Dwelling structures (13)		251,160	2002
Site improvements (13)		19,110	2002
			2003
Total estimated cost over next 5 years		\$840,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AZ20P001038	Scattered Sites AZ1-38		
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Dwelling equipment (14)		\$2,940	2002
Dwelling structures (14)		270,480	2002
Site improvements (14)		20,580	2002
Dwelling equipment (9)		1,890	2003
Dwelling structures (9)		173,880	2003
Site improvements (9)		13,230	2003
Total estimated cost over next 5 years		\$483,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001039	Scattered Sites AZ1-39			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Dwelling equipment (18)			\$3,780	2003
Dwelling structures (18)			347,760	2003
Site improvements (18)			26,460	2003
Dwelling equipment (27)			5,670	2004
Dwelling structures (27)			521,640	2004
Site improvements (27)			39,690	2004
Total estimated cost over next 5 years			\$945,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001042	Scattered Sites AZ1-42			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior painting (11)			\$18,282	2001
Total estimated cost over next 5 years			\$18,282	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001043	Scattered Sites AZ1-43			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior painting (6)			\$9,972	2001
Total estimated cost over next 5 years			\$9,972	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001045	Scattered Sites AZ1-45			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Exterior painting (23)			\$38,226	2001
Exterior painting (7)			11,634	2002
Total estimated cost over next 5 years			\$49,860	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P001099	Projectwide Activities			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Brush truck for use by warehouse			\$28,050	2001
Landscape Housing Department office			30,000	2001
Van for paint crew			26,000	2001
Interior painting of Housing Department office			10,000	2002
Exterior painting of Housing Department office			15,000	2003
Install electronic key card locks at all sites (1776)			362,100	2003
Underground wiring at housing sites (1776)			1,479,500	2003
Total estimated cost over next 5 years			\$1,950,650	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AZ20P00150100	Other Activities			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Management improvement			\$236,844	2001
Other activities			656,347	2001
Operations			323,710	2001
Non-Capital Funds			141,780	2001
Management improvement			218,484	2002
Other activities			656,471	2002
Operations			323,710	2002
Management improvement			218,584	2003
Other activities			656,347	2003
Operations			323,710	2003
Management improvement			218,484	2004
Other activities			656,347	2004
Operations			323,710	2004
Total estimated cost over next 5 years			\$4,954,528	

ORDINANCE NO. S-26997

AN ORDINANCE APPROVING THE PUBLIC HOUSING FIVE-YEAR PLAN FOR FISCAL YEARS 2000-2004 AND THE PUBLIC HOUSING ANNUAL PLAN FOR 2000-2001 (THE "PLANS"); AUTHORIZING THE CITY MANAGER TO SUBMIT THE PLANS TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT ("HUD"); AND APPROVING MODIFICATIONS TO THE HOUSING DEPARTMENT'S PUBLIC HOUSING ADMISSIONS AND CONTINUED OCCUPANCY POLICY (THE "POLICY"), AND THE SECTION 8 ADMINISTRATIVE PLAN (THE "PLAN"), TO BRING THE POLICY AND PLAN INTO COMPLIANCE WITH NEW FEDERAL REGULATIONS.

BE IT ORDAINED BY THE COUNCIL OF THE CITY OF PHOENIX as follows:

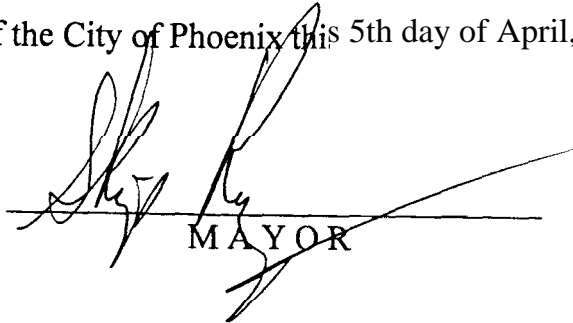
SECTION 1. The Council of the City of Phoenix hereby approves the Housing Department's Public Housing Five-Year Plan for Fiscal Years 2000-2004 and the Public Housing Annual Plan for 2000-2001 (the "Plans").

SECTION 2. The City Manager, or his designee, is hereby authorized to submit the Plans to the United States Department of Housing and Urban Development ("HUD").


SECTION 3. The Council of the City of Phoenix hereby approves modifications to the Housing Department's Public Housing Admissions and Continued

Occupancy Policy (the "Policy"), and the Section 8 Administrative Plan (the "Plan"), to bring the Policy and Plan into compliance with new federal regulations.

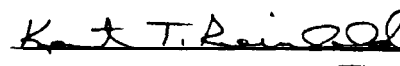
PASSED by the Council of the City of Phoenix this 5th day of April, 2000.


MAYOR


ATTEST:


City Clerk

APPROVED AS TO FORM:

ACTING

City Attorney

REVIEWED BY:


City Manager

MRS/pcp/04-05-00/CM47
(WORD)#99218

**Certification by State or Local Official of PHA Plans Consistency with
the Consolidated Plan**

I, Tammy J. Perkins the Director Neighborhood Services Dept. certify
that the Five Year and Annual PHA Plan of the City of Phoenix, Housing Dept. is
consistent with the Consolidated Nan of The City Of Phoenix prepared
pursuant to 24 CFR Part 91.

Tammy J. Perkins 4/3/00

Signed / Dated by appropriate State or Local Official

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name
City of Phoenix, Housing Department

Program/Activity Receiving Federal Grant Funding

Public Housing and Section 8 Housing Programs

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

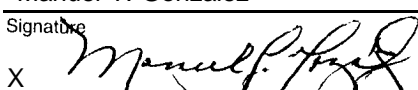
2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.

(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Manuel T. Gonzalez	Title Director, City of Phoenix Housing Department
Signature 	Date 3/30/00

**Certification of Payments
to Influence Federal Transactions**

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

Applicant Name

City of Phoenix, Housing Department

Program/Activity Receiving Federal Grant Funding

Public Housing and Section 8 Housing Programs

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate,

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official


Title

Manuel T. Gonzalez

Director, City of Phoenix Housing Department

Signature

Date

X 

3/30/00

PHA Certification/s of Compliance with the PHA Plans and Related Regulations Board Resolution to Accompany the PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year Plan and Annual Plan for PHA fiscal year beginning 7/1/00, hereinafter referred to as the Plan of which this document is a part and make the following certifications and agreements with the Department of Housing Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the **recommendations** of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the **recommendations** made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
5. The PHA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
7. For PHA Plan that includes a policy for site based waiting lists:
 - . The PHA regularly submits required data to HUD's MTCS in an accurate, complete and timely manner (as specified in PIH Notice 99-2);
 - . The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the **development** in which to reside, including basic information about available sites; and an estimate of the period of time the **applicant** would likely have to wait to be admitted to units of different sizes and types at each site;
 - . Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending **complaint** brought by HUD;
 - . The PHA shall take reasonable **measures** to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - . The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903,7(c)(1).

8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
9. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of **Standards** and Requirements for Accessibility by the Physically Handicapped.
10. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low- or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
11. The PHA has submitted with the Plan a certification with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.
12. The PHA has submitted with the Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to **influence** Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.
13. For PHA Plan that includes a PHDEP Plan as specified in 24 CFR 76 1.2 I : The PHDEP Plan is consistent with and conforms to the "Plan Requirements" and "Grantee Performance Requirements" as specified in 24 CFR 76 1.2 I and 76 1.23 **respectively** and the PHA will maintain and have available for review/inspection (at all times), records or documentation of the following:
 - . Baseline law enforcement **services** for public housing developments assisted under the PHDEP plan;
 - . Consortium agreement/s, **between the PHAs** participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 76 1.15);
 - . Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities;
 - . Coordination with other law enforcement efforts;
 - . Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and
 - . All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan.
14. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
15. The PHA will take appropriate **affirmative** action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
16. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the **National** Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
17. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the **United** States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
18. The PI IA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
19. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.
20. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No, A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments.).
21. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.

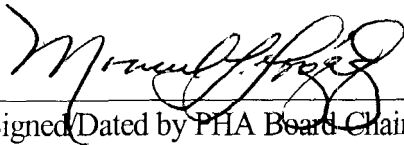
22. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and attachments at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.

City of Phoenix

AZ001

PHA Name

PHA Number



Signed (Dated by PHA Board Chair or other authorized PHA official