

PHA Plans

5 Year Plan for Fiscal Years 2002 - 2006
Annual Plan for Fiscal Year 2002

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: Pennington County Housing and Redevelopment Commission

PHA Number: SD045

PHA Fiscal Year Beginning: 04/2002

Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2002 - 2006
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

The PHA's mission is:

To assure the availability of adequate, affordable housing to the low-income citizens of our community through the responsible administration of assistance programs and the promotion of personal responsibility and economic self-sufficiency of our clients.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

PHA Goal: Expand the supply of assisted housing

Objectives:

Apply for additional rental vouchers:

Reduce public housing vacancies:

Leverage private or other public funds to create additional housing opportunities:

Acquire or build units or developments

Other (list below)

1. Acquire land for future development.

PHA Goal: Improve the quality of assisted housing

Objectives:

Improve public housing management: (PHAS score)

Improve voucher management: (SEMAP score)

Increase customer satisfaction:

- Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)
 1. Maintain High Performer Status under PHAS.
 2. Achieve High Performer Status under SEMAP.

PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other:
 1. Maintain a policy of incentive transfers for public housing residents.
 2. Develop guidelines for use of vouchers in assisted living facilities.

HUD Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other:
 1. Work closely with local law enforcement agencies to provide crime prevention activities including additional police patrols and community policing efforts in public housing developments.
 2. Work with local law enforcement agencies to provide for the exchange of information to aid in applicant screening, lease enforcement, and local law enforcement efforts.
 3. Develop an agreement with local law enforcement agencies to allow for occupancy of public housing units by police officers.

The Pennington County Housing and Redevelopment Commission has entered into an agreement for a police office to occupy a Public Housing unit a project SD06P045005/6 in order to increase security in this area. A special flat rent for this unit has been established a \$300 per month.

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:
 - Increase the number and percentage of employed persons in assisted families:
 - Provide or attract supportive services to improve assistance recipients' employability:
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other:
 1. Provide PHA staff with adequate training in the areas of Equal Opportunity, Fair Housing, and Reasonable Accommodation.

Other PHA Goals and Objectives:

Goal: Maintain an efficient and capable team of employees who are willing and able to perform their duties to the highest professional standards.

Objectives:

1. Strive to maintain an attractive work environment and competitive wage and benefit package in order to attract and retain high quality employees.

2. Provide adequate training, both in-house and through outside sources, to give employees the necessary skills to excel in their duties.

Goal: Ensure full compliance with all applicable standards and regulations including government generally accepted accounting practices.

Objectives:

1. Operate so that income exceeds expenses every year.
2. Have no significant audit findings.

Goal: Enhance the image of public housing in our community.

Objectives:

1. Maintain all public housing units to standards that meet the surrounding neighborhood.
2. Respond to all complaints or concerns from local government or the citizens of our community within 48 hours.

Annual PHA Plan
PHA Fiscal Year 2001
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
 Small Agency (<250 Public Housing Units)
 Administering Section 8 Only

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

No longer required.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided, by selecting all that apply. Provide the attachment’s name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Attachment A: Admissions Policy for Deconcentration
- Attachment B: FY 2002 Capital Fund Program Annual Statement and Annual Performance and Evaluation Reports.
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart
- Attachment C: FY 2002 Capital Fund Program 5-Year Action Plan
- Attachment D: Public Housing Drug Elimination Program (PHDEP) Plan (SD045d01)
- Attachment E: Comments of Resident Advisory Board or Boards
- Other (List below, providing each attachment name)
- Attachment F: Statement of Progress in Meeting Mission and Goals
- Attachment G: Resident Membership of the PHA Governing Board
- Attachment H: Membership of the Resident Advisory Board
- Attachment I: Assessment of Site-Based Waiting List Development Demographic Changes

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| List of Supporting Documents Available for Review | | |
|--|---|----------------------------------|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| X | PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations | 5 Year and Annual Plans |
| X | State/Local Government Certification of Consistency with the Consolidated Plan | 5 Year and Annual Plans |
| | Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view | 5 Year and Annual Plans |

List of Supporting Documents Available for Review

| Applicable & On Display | Supporting Document | Applicable Plan Component |
|------------------------------------|---|--|
| | of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement. | |
| X | Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction | Annual Plan: Housing Needs |
| X | Most recent board-approved operating budget for the public housing program | Annual Plan: Financial Resources; |
| X | Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP] | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X | Section 8 Administrative Plan | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X | Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X | Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Rent Determination |
| X | Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Rent Determination |
| X | Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan | Annual Plan: Rent Determination |
| X | Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation) | Annual Plan: Operations and Maintenance |
| X | Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Grievance Procedures |
| X | Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan | Annual Plan: Grievance Procedures |

List of Supporting Documents Available for Review

| Applicable & On Display | Supporting Document | Applicable Plan Component |
|------------------------------------|---|---|
| X | The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year | Annual Plan: Capital Needs |
| N/A | Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant | Annual Plan: Capital Needs |
| X | Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option) | Annual Plan: Capital Needs |
| N/A | Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing | Annual Plan: Capital Needs |
| X | Approved or submitted applications for demolition and/or disposition of public housing | Annual Plan: Demolition and Disposition |
| N/A | Approved or submitted applications for designation of public housing (Designated Housing Plans) | Annual Plan: Designation of Public Housing |
| N/A | Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act | Annual Plan: Conversion of Public Housing |
| N/A | Approved or submitted public housing homeownership programs/plans | Annual Plan: Homeownership |
| N/A | Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan | Annual Plan: Homeownership |
| | Any cooperative agreement between the PHA and the TANF agency | Annual Plan: Community Service & Self-Sufficiency |
| X | FSS Action Plan/s for public housing and/or Section 8 | Annual Plan: Community Service & Self-Sufficiency |
| N/A | Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports | Annual Plan: Community Service & Self-Sufficiency |
| N/A | The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan) | Annual Plan: Safety and Crime Prevention |
| X | The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings | Annual Plan: Annual Audit |
| N/A | Troubled PHAs: MOA/Recovery Plan | Troubled PHAs |
| | Other supporting documents (optional) (list individually; use as many lines as necessary) | (specify as needed) |
| | | |

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the “Overall” Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact.” Use N/A to indicate that no information is available upon which the PHA can make this assessment.

| Housing Needs of Families in the Jurisdiction by Family Type | | | | | | | |
|---|---------|----------------|--------|---------|----------------|------|-----------|
| Family Type | Overall | Afford-ability | Supply | Quality | Access-ibility | Size | Loca-tion |
| Income <= 30% of AMI | 1442 | 5 | 3 | 3 | 2 | 3 | 3 |
| Income >30% but <=50% of AMI | 1191 | 4 | 3 | 3 | 2 | 3 | 3 |
| Income >50% but <80% of AMI | 1065 | 3 | 3 | 3 | 2 | 3 | 3 |
| Elderly | 728 | 3 | 2 | 4 | 4 | 3 | 3 |
| Families with Disabilities | Unknown | 2 | 4 | 3 | 4 | 3 | 3 |
| Hispanic | 138 | 3 | 3 | 3 | 3 | 3 | 3 |
| Black | 96 | 3 | 3 | 3 | 3 | 3 | 3 |
| Race/Ethnicity | | | | | | | |
| Race/Ethnicity | | | | | | | |

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year:
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant-Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

| Housing Needs of Families on the Waiting List | | | |
|--|---------------|---------------------|-----------------|
| Waiting list type: (select one) | | | |
| <input checked="" type="checkbox"/> Section 8 tenant-based assistance | | | |
| <input type="checkbox"/> Public Housing | | | |
| <input type="checkbox"/> Combined Section 8 and Public Housing | | | |
| <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) | | | |
| If used, identify which development/subjurisdiction: | | | |
| | # of families | % of total families | Annual Turnover |
| Waiting list total | 419 | | 317 Units |
| Extremely low income <=30% AMI | 331 | 79% | |
| Very low income (>30% but <=50% AMI) | 88 | 21 % | |
| Low income (>50% but <80% AMI) | 0 | 0% | |
| Families with children | 281 | 67 % | |
| Elderly families | 33 | 8 % | |
| Families with Disabilities | 22 | 5 % | |
| Black | 2 | 0 % | |
| Indian/Alaskan | 165 | 39 % | |
| Asian | 1 | 0 % | |
| Hispanic | 25 | 6 % | |
| Non-Hispanic | 394 | 94 % | |
| Characteristics by Bedroom Size (Public Housing Only) | | | |
| 1BR | | | |
| 2 BR | | | |
| 3 BR | | | |

| Housing Needs of Families on the Waiting List | | | |
|---|--|--|--|
| 4 BR | | | |
| 5 BR | | | |
| 5+ BR | | | |
| Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes | | | |
| If yes: | | | |
| How long has it been closed (# of months)? | | | |
| Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes | | | |
| Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes | | | |

| Housing Needs of Families on the Waiting List | | | |
|--|---|---------------------|-----------------|
| Waiting list type: (select one) | | | |
| <input type="checkbox"/> | Section 8 tenant-based assistance | | |
| <input checked="" type="checkbox"/> | Public Housing | | |
| <input type="checkbox"/> | Combined Section 8 and Public Housing | | |
| <input type="checkbox"/> | Public Housing Site-Based or sub-jurisdictional waiting list (optional) | | |
| If used, identify which development/subjurisdiction: | | | |
| | # of families | % of total families | Annual Turnover |
| Waiting list total | 346 | | 147 Units |
| Extremely low income <=30% AMI | 93 | 27 % | |
| Very low income (>30% but <=50% AMI) | 237 | 68 % | |
| Low income (>50% but <80% AMI) | 16 | 5 % | |
| Families with children | 220 | 64 % | |
| Elderly families | 47 | 14 % | |
| Families with Disabilities | 78 | 23 % | |
| Black | 2 | 1 % | |
| Indian/Alaskan | 134 | 39 % | |
| Asian | 1 | 0 % | |
| Hispanic | 15 | 4% | |
| Non-Hispanic | 331 | 96 % | |
| Characteristics by | | | |

| Housing Needs of Families on the Waiting List | | | |
|--|-----|-----|--|
| Bedroom Size (Public Housing Only) | | | |
| 1BR | 93 | 27% | |
| 2 BR | 189 | 54% | |
| 3 BR | 47 | 14% | |
| 4 BR | 17 | 5% | |
| 5 BR | | | |
| 5+ BR | | | |
| Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes | | | |

Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

We will seek to acquire land for future development of affordable housing and will seek to acquire or develop additional housing units based on needs or opportunities that may arise. We will apply for any additional Section 8 assistance that becomes available. These strategies have been adopted for the following reasons. We currently have no excess property for development. We will need time to identify suitable, affordable property. If an opportunity to acquire property that would preserve existing, or provide additional, affordable housing should arise, we will evaluate the opportunity to determine if it meets the needs of the community. Applying for Section 8 assistance is not dependent on locating or financing additional property and provides flexibility in addressing the housing needs of our community.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units

- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

| Financial Resources: Planned Sources and Uses | | |
|---|-------------------|---------------------|
| Sources | Planned \$ | Planned Uses |
| 1. Federal Grants (FY 2000 grants) | | |
| a) Public Housing Operating Fund | \$ 828,743 | |
| b) Public Housing Capital Fund | \$ 871,947 | |
| c) HOPE VI Revitalization | | |
| d) HOPE VI Demolition | | |
| e) Annual Contributions for Section 8 Tenant-Based Assistance | \$ 4,707,990 | |

| Financial Resources: Planned Sources and Uses | | |
|---|---------------------|---------------------|
| Sources | Planned \$ | Planned Uses |
| f) Public Housing Drug Elimination Program (including any Technical Assistance funds) | | |
| g) Resident Opportunity and Self-Sufficiency Grants | | |
| h) Community Development Block Grant | | |
| i) HOME | | |
| Other Federal Grants (list below) | | |
| | | |
| 2. Prior Year Federal Grants (unobligated funds only) (list below) | | |
| Capital Fund Program FY2001 | \$ 365,502 | \$ 365,502 |
| | | |
| | | |
| 3. Public Housing Dwelling Rental Income | \$ 982,431 | \$ 687,205 |
| | | |
| | | |
| 4. Other income (list below) | | |
| Excess Utilities | \$ 13,300 | \$ 13,300 |
| Interest Income | \$ 124,920 | \$ 124,920 |
| Tenant damages & other | \$69,000 | \$ 69,000 |
| 4. Non-federal sources (list below) | | |
| | | |
| | | |
| | | |
| Total resources | \$ 7,963,833 | \$ 7,668,607 |
| | | |
| | | |

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
 When families are within a certain time of being offered a unit: 90 days
 Other: Upon receipt of application.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
 Rental history
 Housekeeping
 Other (describe)
1. Debts owed to PHCRC or other PHA.
2. Debts owed to Utility Companies.

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
 Sub-jurisdictional lists
 Site-based waiting lists
 Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
 PHA development site management office
 Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

Seven (7)

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?

If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists? 2.
Families may choose between “first available unit”, or a specific waiting list.

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

- b. Yes No: Is this policy consistent across all waiting list types?

- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

- a. Income targeting:

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

- b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

Incentive transfers are available to residents who meet certain criteria.

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

- 1. Preference will be given to Elderly or Disabled applicants in developments designated as “Elderly”.

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families (Priority #2)
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
 1. Preference will be given to Elderly or Disabled applicants in developments designated as "Elderly". (Priority #1)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

| Deconcentration Policy for Covered Developments | | | |
|---|-----------------|---|--|
| Development Name: | Number of Units | Explanation (if any) [see step 4 at §903.2(c)(1)(iv)] | Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)] |
| SD06P045002 (below est. income range) | 50 | | Planned Capital Improvements and Waiting List Skipping. |
| SD06P045004 (below est. income range) | 24 | | Planned Capital Improvements and Waiting List Skipping. |
| SD06P045010 | 25 | Scattered-site project | No action planned. |
| | | | |

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)
1. Debts owed to PHCRC of other PHA.

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
- Other (describe below)
- Names and addresses of former landlords (if available) upon request.

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Documentation of reasonable effort to locate a unit or extenuating circumstances preventing applicant from searching.

(4) Admissions Preferences

a. Income targeting

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose Section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing

- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
 Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
 The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
 Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
 Briefing sessions and written materials
 Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
 Other (list below)

Contact with advocacy groups and non-profit organizations working with the targeted group.

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA’s income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly

income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
 For increases in earned income
 Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:

- For household heads
 For other family members
 For transportation expenses
 For the non-reimbursed medical expenses of non-disabled or non-elderly families
 Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase

- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) \$1,200
- Other (list below)

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (Select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (Select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

| Program Name | Units or Families Served at Year Beginning | Expected Turnover |
|---|---|--------------------------|
| Public Housing | | |
| Section 8 Vouchers | | |
| Section 8 Certificates | | |
| Section 8 Mod Rehab | | |
| Special Purpose Section 8 Certificates/Vouchers (list individually) | | |
| Public Housing Drug Elimination Program (PHDEP) | | |
| | | |
| Other Federal Programs(list individually) | | |
| | | |
| | | |

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)
- (2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub -component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of publichousing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
- Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment B

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment C

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

B. HOPE VI and Public Housing Development and Replacement Activities (Not Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

| Demolition/Disposition Activity Description |
|---|
| 1a. Development name: Unnamed |
| 1b. Development (project) number: |
| 2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/> |
| 3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> |

| |
|--|
| Planned application <input type="checkbox"/> |
| 4. Date application approved, submitted, or planned for submission: |
| 5. Number of units affected: 0 |
| 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development |
| 7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity: |

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

| |
|--|
| Designation of Public Housing Activity Description |
| 1a. Development name: |
| 1b. Development (project) number: |
| 2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/> |
| 3. Application status (select one) Approved; included in the PHA’s Designation Plan <input type="checkbox"/> |

| |
|--|
| Submitted, pending approval <input type="checkbox"/> |
| Planned application <input type="checkbox"/> |
| 4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY) |
| 5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan? |
| 6. Number of units affected: 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development |

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

| |
|--|
| Conversion of Public Housing Activity Description |
| 1a. Development name: |
| 1b. Development (project) number: |
| 2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below) |

| |
|---|
| <p>3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)</p> |
| <p>4. Status of Conversion Plan (select the statement that best describes the current status)</p> <p><input type="checkbox"/> Conversion Plan in development</p> <p><input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY)</p> <p><input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY)</p> <p><input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway</p> |
| <p>5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)</p> <p><input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: _____)</p> <p><input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____)</p> <p><input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____)</p> <p><input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent</p> <p><input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units</p> <p><input type="checkbox"/> Other: (describe below)</p> |

B. Voluntary Conversion Initial Assessments

- a. How many of the PHA’s developments are subject to the Required Initial Assessments?
Six
- b. How many of the PHA’s developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)?
Four
- c. How many Assessments were conducted for the PHA’s covered developments?
Six
- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments:

| Development Name | Number of Units |
|------------------|-----------------|
| | |
| | |
| | |
| | |
| | |
| | |
| | |

e. If the PHA has not completed the Required Initial Assessments, describe the status of these assessments:

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

| |
|---|
| Public Housing Homeownership Activity Description (Complete one for each development affected) |
| 1a. Development name: |
| 1b. Development (project) number: |

| |
|---|
| <p>2. Federal Program authority:</p> <p><input type="checkbox"/> HOPE I</p> <p><input type="checkbox"/> 5(h)</p> <p><input type="checkbox"/> Turnkey III</p> <p><input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)</p> |
| <p>3. Application status: (select one)</p> <p><input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program</p> <p><input type="checkbox"/> Submitted, pending approval</p> <p><input type="checkbox"/> Planned application</p> |
| <p>4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)</p> |
| <p>5. Number of units affected:</p> <p>6. Coverage of action: (select one)</p> <p><input type="checkbox"/> Part of the development</p> <p><input type="checkbox"/> Total development</p> |

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component.
Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
 Information sharing regarding mutual clients (for rent determinations and otherwise)
 Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
 Jointly administer programs
 Partner to administer a HUD Welfare-to-Work voucher program
 Joint administration of other demonstration program
 Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
 Public housing admissions policies
 Section 8 admissions policies
 Preference in admission to section 8 for certain public housing families
 Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
 Preference/eligibility for public housing homeownership option participation
 Preference/eligibility for section 8 homeownership option participation

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

| |
|--|
| D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937 |
|--|

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents

- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
 - Other activities (list below)
2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2002 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment.

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

- 1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
- 2. Yes No: Was the most recent fiscal audit submitted to HUD?
- 3. Yes No: Were there any findings as the result of that audit?
- 4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
- 5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- Attached
- Provided below:
3. In what manner did the PHA address those comments? (select all that apply)
- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:
- Other: (list below)
See PHA responses listed in attached summary of resident comments.

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents?
(If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
 Candidates could be nominated by any adult recipient of PHA assistance
 Self-nomination: Candidates registered with the PHA and requested a place on ballot
 Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
 Any head of household receiving PHA assistance
 Any adult recipient of PHA assistance
 Any adult member of a resident or assisted family organization
 Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
 Representatives of all PHA resident and assisted family organizations
 Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: **City of Rapid City, South Dakota**

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
 The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
 Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

1. Consolidated Plan jurisdiction: **State of South Dakota**

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.

The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.

The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.

Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Substantial deviations or significant amendments or modifications are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissioners.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

DECONCENTRATION POLICY

(Attachment A)

It is Pennington County Housing and Redevelopment Commission's policy to provide for deconcentration of poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Toward this end, we will skip families on the waiting list to reach other families with a lower or higher income. We will accomplish this in a uniform and non-discriminating manner.

The Pennington County Housing and Redevelopment Commission will affirmatively market our housing to all eligible income groups. Lower income residents will not be steered toward lower income developments and higher income people will not be steered toward higher income developments.

Prior to the beginning of each fiscal year, we will analyze the income levels of families residing in each of our developments, the income levels of census tracts in which our developments are located, and the income levels of the families on the waiting list. Based on this analysis, we will determine the level of marketing strategies and deconcentration incentives to implement.

Attachment B

| Annual Statement/Performance and Evaluation Report | | | | | |
|---|---|---|---------|------------------------------|----------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | | |
| PHA Name: Pennington County Housing and Housing & Redevelopment Commission | | Grant Type and Number Capital Fund Program Grant No: SD06P04550102 Replacement Housing Factor Grant No: | | Federal FY of Grant: 2002 | |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration | 500 | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 40,000 | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 167,000 | | | |
| 10 | 1460 Dwelling Structures | 601,000 | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collaterization or Debt Service | | | | |
| 20 | 1502 Contingency | 63,447 | | | |
| 21 | Amount of Annual Grant: (sum of lines 2 – 20) | 871,947 | | | |
| 22 | Amount of line 21 Related to LBP Activities | 0 | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | 0 | | | |
| 24 | Amount of line 21 Related to Security – Soft Costs | 0 | | | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | 0 | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | 10,000 | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Pennington County Housing and Housing & Redevelopment Commission | | Grant Type and Number Capital Fund Program Grant No: SD06P04550102 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 2002 | | | |
|--|--|---|----------|----------------------|---------------------------|-------------------|----------------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| SD06P045001 | Flooring | 1460 | 10 Units | 15,000 | | | | |
| SD06P045001 | Repair/Seal Parking Lots | 1450 | 22 Sites | 14,000 | | | | |
| SD06P045002 | Kitchen Remodel | 1460 | 50 Units | 250,000 | | | | |
| SD06P045002 | Repair/Seal Parking Lots | 1450 | 3 Sites | 7,000 | | | | |
| SD06P045002 | Playground Equipment | 1450 | 3 Sites | 45,000 | | | | |
| SD06P045003 | Repair/Seal Parking Lots | 1450 | 1 Site | 35,000 | | | | |
| SD06P045004 | Repair/Seal Parking Lots | 1450 | 1 Site | 7,000 | | | | |
| SD06P045004 | Kitchen Remodel | 1460 | 24 Units | 120,000 | | | | |
| SD06P045004 | Playground Equipment | 1450 | 1 Site | 15,000 | | | | |
| SD06P045005 | Flooring | 1460 | 3 Units | 15,000 | | | | |
| SD06P045005 | Playground Equipment | 1450 | * 1 Site | 10,000 | | | | |
| SD06P045006 | Flooring | 1460 | 1 Units | 5,000 | | | | |
| SD06P045006 | Playground Equipment | 1450 | * | 5,000 | | | | |
| SD06P045007 | Flooring | 1460 | 5 Units | 5,000 | | | | |
| SD06P045007 | Repair/Seal Parking Lots | 1450 | 1 Site | 7,000 | | | | |
| SD06P045008 | Repair/Seal Parking Lots | 1450 | 1 Site | 7,000 | | | | |
| SD06P045009 | Kitchen Remodel | 1460 | 30 Units | 150,000 | | | | |
| SD06P045009 | Exterior Doors | 1460 | 14 Units | 10,000 | | | | |
| SD06P045009 | Playground Equipment | 1450 | 1 Site | 15,000 | | | | |
| SD06P045010 | Flooring | 1460 | 2 Units | 10,000 | | | | |
| SD06P045010 | Roof Repairs | 1460 | 6 Units | 21,000 | | | | |
| PHA-Wide | Administration | 1410 | | 500 | | | | |
| PHA-Wide | Fees and Costs | 1430 | | 40,000 | | | | |
| PHA-Wide | Contingency | 1502 | | 63,447 | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

| PHA Name: Pennington County Housing and Housing & Redevelopment Commission | | Grant Type and Number Capital Fund Program No: SD06P04550102 Replacement Housing Factor No: | | | | Federal FY of Grant: 2002 | |
|--|---|---|--------|---|---------|---------------------------|----------------------------------|
| Development Number Name/HA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
| | Original | Revised | Actual | Original | Revised | Actual | |
| SD06P045001 | 09/30/03 | | | 03/31/05 | | | |
| SD06P045002 | 09/30/03 | | | 03/31/05 | | | |
| SD06P045003 | 09/30/03 | | | 03/31/05 | | | |
| SD06P045004 | 09/30/03 | | | 03/31/05 | | | |
| SD06P045005 | 09/30/03 | | | 03/31/05 | | | |
| SD06P045006 | 09/30/03 | | | 03/31/05 | | | |
| SD06P045007 | 09/30/03 | | | 03/31/05 | | | |
| SD06P045008 | 09/30/03 | | | 03/31/05 | | | |
| SD06P045009 | 09/30/03 | | | 03/31/05 | | | |
| SD06P045010 | 09/30/03 | | | 03/31/05 | | | |
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Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

| | | |
|---|--|-------------------------------------|
| PHA Name: Pennington County Housing and Housing & Redevelopment Commission | Grant Type and Number Capital Fund Program Grant No: SD06P04550100 Replacement Housing Factor Grant No: | Federal FY of Grant: 2000 |
|---|--|-------------------------------------|

Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 6/30/01
 Final Performance and Evaluation Report

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|----------------------|---------|-------------------|------------|
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration | 371 | 371 | 0 | 0.00 |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 38,500 | 65,025 | 30,975 | 21,265.75 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 105,000 | 0 | 0 | 0.00 |
| 10 | 1460 Dwelling Structures | 312,500 | 423,078 | 361,329 | 21,078.00 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | 60,000 | 308,821 | 248,821 | 242,709.64 |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collaterization or Debt Service | | | | |
| 20 | 1502 Contingency | 44,900 | 57,310 | 0 | 0.00 |
| 21 | Amount of Annual Grant: (sum of lines 2 – 20) | 561,271 | 854,605 | 641,125 | 285,053.39 |
| 22 | Amount of line 21 Related to LBP Activities | | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | | | | |
| 24 | Amount of line 21 Related to Security – Soft Costs | | | | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Pennington County Housing and Housing & Redevelopment Commission | | Grant Type and Number Capital Fund Program Grant No: SD06P04550100 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2000 | | |
|--|--|---|----------|----------------------|---------|---------------------------|----------------|------------------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| SD06P045001 | Elevator Upgrade/Replacement | 1475 | 4 | 0 | 243,651 | 243,651 | 242,709.64 | Substantially Complete |
| SD06P045001 | Exterior Painting | 1460 | 2 Bldgs | 0 | 150,000 | 194,567 | 0 | In Progress |
| SD06P045002 | Parking Lot Repair/Replacement | 1450 | 24 Units | 105,000 | 0 | 0 | 0 | |
| SD06P045003 | Flooring | 1460 | 28 Units | 40,000 | 21,078 | 21,078 | 21,078.00 | Complete |
| SD06P045005 | Roof Repairs | 1460 | 12 Units | 42,000 | 94,500 | 29,480 | 0 | In Progress |
| SD06P045005 | Flooring | 1460 | 34 Units | 102,000 | 0 | 0 | 0 | In Progress |
| SD06P045006 | Roof Repairs | 1460 | 3 Units | 10,500 | 31,500 | 12,320 | 0 | In Progress |
| SD06P045006 | Flooring | 1460 | 14 Units | 42,000 | 0 | 0 | 0 | In Progress |
| SD06P045007 | Elevator Upgrade/Replacement | 1475 | 2 | 0 | 5,170 | 5,170 | 0 | In Progress |
| SD06P045007 | Exterior Painting | 1460 | 100% | 0 | 50,000 | 52,825 | 0 | In Progress |
| SD06P045007 | Boiler Replacement | 1475 | 3 Bldgs | 60,000 | 60,000 | 0 | 0 | Out to Bid |
| SD06P045007 | Siding | 1460 | 7 Units | 28,000 | 28,000 | 51,059 | 0 | In Progress |
| SD06P045007 | Electrical Upgrade | 1460 | 12 Units | 48,000 | 48,000 | 0 | 0 | Out to Bid |
| PHA-Wide | Contingency | 1502 | | 44,900 | 57,310 | 0 | 0 | |
| PHA-Wide | Administration | 1410 | | 371 | 371 | 0 | 0 | |
| PHA-Wide | Fees and Costs | 1430 | | 38,500 | 65,025 | 30,975 | 21,265.75 | On-Going |
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Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

| PHA Name: Pennington County Housing and Housing & Redevelopment Commission | | Grant Type and Number Capital Fund Program No: SD06P04550100 Replacement Housing Factor No: | | | | Federal FY of Grant: 2000 | |
|--|---|---|--------|---|----------|---------------------------|----------------------------------|
| Development Number Name/HA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
| | Original | Revised | Actual | Original | Revised | Actual | |
| SD06P045001 | | 03/31/02 | | | 03/31/03 | | |
| SD06P045002 | 03/31/02 | 03/31/02 | | 03/31/03 | 03/31/03 | | |
| SD06P045003 | 03/31/02 | 03/31/02 | | 03/31/03 | 03/31/03 | | |
| SD06P045005 | 03/31/02 | 03/31/02 | | 03/31/03 | 03/31/03 | | |
| SD06P045006 | 03/31/02 | 03/31/02 | | 03/31/03 | 03/31/03 | | |
| SD06P045007 | 03/31/02 | 03/31/02 | | 03/31/03 | 03/31/03 | | |
| SD06P045008 | 03/31/02 | 03/31/02 | | 03/31/03 | 03/31/03 | | |
| SD06P045010 | 03/31/02 | 03/31/02 | | 03/31/03 | 03/31/03 | | |
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Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

| | | |
|---|--|-------------------------------------|
| PHA Name: Pennington County Housing and Housing & Redevelopment Commission | Grant Type and Number Capital Fund Program Grant No: SD06P04550101 Replacement Housing Factor Grant No: | Federal FY of Grant: 2001 |
|---|--|-------------------------------------|

Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 6/30/01
 Final Performance and Evaluation Report

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|----------------------|---------|-------------------|----------|
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration | 500 | | 0 | 0.00 |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 42,000 | | 0 | 0.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 167,342 | | 0 | 0.00 |
| 10 | 1460 Dwelling Structures | 569,500 | | 0 | 0.00 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | 25,000 | | 45,345 | 0.00 |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collaterization or Debt Service | | | | |
| 20 | 1502 Contingency | 67,605 | | 0 | 0.00 |
| 21 | Amount of Annual Grant: (sum of lines 2 – 20) | 871,947 | | 45,345 | 0 |
| 22 | Amount of line 21 Related to LBP Activities | | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | | | | |
| 24 | Amount of line 21 Related to Security – Soft Costs | | | | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Pennington County Housing and Housing & Redevelopment Commission | | Grant Type and Number Capital Fund Program Grant No: SD06P04550101 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2001 | | |
|--|--|---|----------|----------------------|---------|---------------------------|----------------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| SD06P045001 | Flooring | 1460 | 67 Units | 76,000 | | 0 | 0.00 | Planning Stage |
| SD06P045001 | Roof Replacement | 1460 | 2 Bldgs | 120,000 | | 0 | 0.00 | Planning Stage |
| SD06P045002 | Parking Lot Repairs/ Replacement | 1450 | 1 Bldg | 167,342 | | 0 | 0.00 | Planning Stage |
| SD06P045002 | Exterior Doors | 1460 | 11 Units | 16,500 | | 0 | 0.00 | Planning Stage |
| SD06P045005 | Flooring | 1460 | 34 Units | 102,000 | | 0 | 0.00 | Planning Stage |
| SD06P045005 | Kitchen Remodel | 1460 | 34 Units | 136,000 | | 0 | 0.00 | Planning Stage |
| SD06P045006 | Flooring | 1460 | 14 Units | 42,000 | | 0 | 0.00 | Planning Stage |
| SD06P045006 | Kitchen Remodel | 1460 | 14 Units | 56,000 | | 0 | 0.00 | Planning Stage |
| SD06P045007 | Fire Alarm Upgrade | 1475 | 1 Bldg | 25,000 | | 45,345 | 0.00 | In Progress |
| SD06P045007 | Flooring | 1460 | 19 Units | 21,000 | | 0 | 0.00 | Planning Stage |
| PHA-Wide | Contingency | 1502 | | 67,605 | | 0 | 0.00 | |
| PHA-Wide | Administration | 1410 | | 500 | | 0 | 0.00 | |
| PHA-Wide | Fees and Costs | 1430 | | 42,000 | | 0 | 0.00 | |
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Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

| PHA Name: Pennington County Housing and Housing & Redevelopment Commission | | Grant Type and Number Capital Fund Program No: SD06P04550101 Replacement Housing Factor No: | | | | Federal FY of Grant: 2001 | |
|--|---|---|--------|---|---------|---------------------------|----------------------------------|
| Development Number Name/HA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
| | Original | Revised | Actual | Original | Revised | Actual | |
| SD06P045001 | 03/31/02 | | | 03/31/03 | | | |
| SD06P045002 | 03/31/02 | | | 03/31/03 | | | |
| SD06P045005 | 03/31/02 | | | 03/31/03 | | | |
| SD06P045006 | 03/31/02 | | | 03/31/03 | | | |
| SD06P045007 | 03/31/02 | | | 03/31/03 | | | |
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Attachment C

Capital Fund Program Five-Year Action Plan

Part I: Summary

| PHA Name Pennington County Housing and Redevelopment Commission | | | | | | <input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No: |
|---|---------------------|--|--|--|--|---|
| Development Number/Name/HA- Wide | Year 1 | Work Statement for Year 2 FFY Grant: 2003 PHA FY: 2003 | Work Statement for Year 3 FFY Grant: 2004 PHA FY: 2004 | Work Statement for Year 4 FFY Grant: 2005 PHA FY: 2005 | Work Statement for Year 5 FFY Grant: 2006 PHA FY: 2006 | |
| | Annual Statement | | | | | |
| SD06P045001 | | \$535,000 | \$75,000 | \$165,000 | \$196,000 | |
| SD06P045002 | | 110,000 | 85,000 | 235,000 | 100,000 | |
| SD06P045003 | | 18,500 | 16,800 | 29,000 | 0 | |
| SD06P045004 | | 0 | 22,000 | 127,000 | 111,000 | |
| SD06P045005 | | 15,000 | 246,600 | 15,000 | 115,000 | |
| SD06P045006 | | 5,000 | 100,600 | 5,000 | 44,000 | |
| SD06P045007 | | 40,000 | 88,000 | 11,000 | 126,000 | |
| SD06P045008 | | 0 | 76,000 | 2,500 | 11,000 | |
| SD06P045009 | | 27,000 | 0 | 188,000 | 2,006 | |
| SD06P045010 | | 33,000 | 77,500 | 10,000 | 85,000 | |
| PHA-Wide | | 88,447 | 84,447 | 84,447 | \$81,941 | |
| CFP Funds Listed for 5-year planning | | \$871,947 | \$871,947 | \$871,947 | \$871,947 | |
| Replacement Housing Factor Funds | | | | | | |

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

| Activities for Year 1 | Activities for Year : <u>2</u> FFY Grant: 2003 PHA FY: 2003 | | | Activities for Year: <u>3</u> FFY Grant: 2004 PHA FY: 2004 | | |
|-----------------------|---|-----------------------|----------------|--|-----------------------|----------------|
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost |
| See | SD06P045001 | Flooring | \$15,000 | SD06P045001 | Flooring | \$15,000 |
| Annual | SD06P045001 | Flooring-Common Area | 35,000 | SD06P045001 | Window Shades | 20,000 |
| Statement | SD06P045001 | Common Area A/C | 90,000 | SD06P045001 | Upgrade Call Sys | 40,000 |
| | SD06P045001 | Kitchen Remodel | 245,000 | Subtotal | | 75,000 |
| | SD06P045001 | Parking Lot Expansion | 150,000 | SD06P045002 | Roofing | 35,000 |
| | Subtotal | | 535,000 | SD06P045002 | Closet Doors | 50,000 |
| | SD06P045002 | Lawn Sprinkler Sys | 45,000 | Subtotal | | 85,000 |
| | SD06P045002 | Refrigerators | 20,000 | SD06P045003 | Closet Doors | 16,800 |
| | SD06P045002 | Ranges | 20,000 | Subtotal | | 16,800 |
| | SD06P045002 | Repl Bathroom Faucets | 25,000 | SD06P045004 | Ranges | 15,000 |
| | Subtotal | | 110,000 | SD06P045004 | Refrigerators | 12,000 |
| | SD06P045003 | Repl Water Heater | 5,500 | Subtotal | | 22,000 |
| | SD06P045003 | Replace Toilets | 13,000 | SD06P045005 | Floors | 15,000 |
| | Subtotal | Subtotal | 18,500 | SD06P045005 | Closet Doors | 30,600 |
| | SD06P045004 | None | 0 | SD06P045005 | Ranges | 14,000 |
| | Subtotal | | 0 | SD06P045005 | Refrigerators | 17,000 |
| | SD06P045005 | Floors | 15,000 | SD06P045005 | Siding | 170,000 |
| | Subtotal | | 15,000 | SD06P045005 | Subtotal | 246,600 |
| | SD06P045006 | Floors | 5,000 | SD06P045006 | Floors | 5,000 |
| | Subtotal | | 5,000 | SD06P045006 | Closet Doors | 12,600 |
| | SD06P045007 | Flooring | 5,000 | SD06P045006 | Ranges | 6,000 |
| | SD06P045007 | Flooring-Common Area | 35,000 | SD06P045006 | Refrigerators | 7,000 |
| | Subtotal | | 40,000 | SD06P045006 | Siding | 70,000 |
| | SD06P045008 | None | 0 | Subtotal | | 100,600 |
| | Subtotal | | 0 | SD06P045007 | Flooring | 5,000 |
| | SD06P045009 | Ranges | 12,000 | SD06P045007 | Kitchen Remodel | 68,000 |
| | SD06P045009 | Refrigerators | 15,000 | SD06P045007 | Upgrade Call Sys | 15,000 |
| | Subtotal | | 27,000 | Subtotal | | 88,000 |
| | SD06P045010 | Flooring | 10,000 | SD06P045008 | Kitchen Remodel | 36,000 |
| | SD06P045010 | Ranges | 10,000 | SD06P045008 | Flooring | 40,000 |
| | SD06P045010 | Refrigerators | 13,000 | Subtotal | | 76,000 |
| | Subtotal | Subtotal | 33,000 | SD06P045009 | None | 0 |
| | PHA-WIDE | Administration | 500 | Subtotal | | 0 |
| | PHA-WIDE | Fees and Costs | 40,000 | SD06P045010 | Flooring | 10,000 |

| | | | | | | |
|--|--------------------------|-------------|------------------|-------------|------------------|------------------|
| | PHA-WIDE | Contingency | 47,947 | SD06P045010 | Bathroom Remodel | 45,000 |
| | Subtotal | | 88,947 | SD06P045010 | Closet Doors | 22,500 |
| | | | | Subtotal | | 77,500 |
| | | | | PHA-WIDE | Administration | 500 |
| | | | | PHA-WIDE | Fees and Costs | 43,000 |
| | | | | PHA-WIDE | Contingency | 40,947 |
| | | | | Subtotal | | 84,447 |
| | | | | | | |
| | | | | | | |
| | Total CFP Estimated Cost | | \$871,947 | | | \$871,947 |

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

| Activities for Year 1 | Activities for Year : <u>4</u> FFY Grant: 2005 PHA FY: 2005 | | | Activities for Year: <u>5</u> FFY Grant: 2006 PHA FY: 2006 | | |
|-----------------------|---|-----------------------|----------------|--|-----------------------|----------------|
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost |
| See | SD06P045001 | Flooring | \$15,000 | SD06P045001 | Flooring | \$15,000 |
| Annual | SD06P045001 | Entrance Intercom Sys | 150,000 | SD06P045001 | Refrigerators | 100,000 |
| Statement | Subtotal | | 165,000 | SD06P045001 | Ranges | 81,000 |
| | SD06P045002 | Replace Water Heaters | 25,000 | Subtotal | | 196,000 |
| | SD06P045002 | Siding-Townhouses | 145,000 | SD06P045002 | Replace Furnaces | 100,000 |
| | SD06P045002 | Siding-Single Family | 65,000 | Subtotal | | 100,000 |
| | Subtotal | | 235,000 | SD06P045003 | None | 0 |
| | SD06P045003 | Kitchen Remodel | 29,000 | Subtotal | | 0 |
| | Subtotal | | 29,000 | SD06P045004 | Roofing-Single Family | 28,000 |
| | SD06P045004 | Repl Bathtub Faucets | 15,000 | SD06P045004 | Roofing-Townhouses | 35,000 |
| | SD06P045004 | Water Heaters | 12,000 | SD06P045004 | Replace Furnaces | 48,000 |
| | SD06P045004 | Siding-Townhouses | 60,000 | Subtotal | | 111,000 |
| | SD06P045004 | Siding-Single Family | 40,000 | SD06P045005 | Floors | 15,000 |
| | Subtotal | | 127,000 | SD06P045005 | Replace Furnaces | 55,000 |
| | SD06P045005 | Floors | 15,000 | SD06P045005 | Repl Water Heaters | 17,000 |
| | Subtotal | | 15,000 | SD06P045005 | Replace Boilers | 28,000 |
| | SD06P045006 | Floors | 5,000 | Subtotal | | 115,000 |
| | Subtotal | | 5,000 | SD06P045006 | Floors | 5,000 |
| | SD06P045007 | Flooring | 5,000 | SD06P045006 | Replace Furnaces | 75,000 |
| | SD06P045007 | Window Shades | 6,000 | SD06P045006 | Repl Water Heaters | 23,000 |
| | Subtotal | | 11,000 | SD06P045006 | Replace Boilers | 23,000 |
| | SD06P045008 | Dishwashers | 2,500 | Subtotal | | 126,000 |
| | Subtotal | | 2,500 | SD06P045008 | Ranges | 5,000 |
| | SD06P045009 | Siding-Townhouses | 88,000 | SD06P045008 | Refrigerators | 6,000 |
| | SD06P045009 | Siding-Single Family | 100,000 | Subtotal | | 11,000 |
| | Subtotal | | 188,000 | SD06P045009 | Repl Water Heaters | 2,006 |
| | SD06P045010 | Flooring | 10,000 | Subtotal | | 2,006 |
| | Subtotal | | 10,000 | SD06P045010 | Flooring | 10,000 |
| | PHA-Wide | Administration | 500 | SD06P045010 | Replace Furnaces | 38,000 |
| | PHA-Wide | Fees and Costs | 40,000 | SD06P045010 | Repl Water Heaters | 13,000 |
| | PHA-Wide | Contingency | 43,947 | SD06P045010 | Replace Boilers | 24,000 |
| | Subtotal | | 84,447 | Subtotal | | 85,000 |
| | | | | PHA-Wide | Administration | 500 |
| | | | | PHA-Wide | Fees and Costs | 40,000 |

| | | | | | |
|--|--------------------------|--|------------------|-------------|------------------|
| | | | PHA-Wide | Contingency | 41,441 |
| | | | Subtotal | | 81,941 |
| | | | | | |
| | | | | | |
| | | | | | |
| | Total CFP Estimated Cost | | \$871,947 | | \$871,947 |

Summary of Resident Comments

(Attachment D)

The Pennington County Housing and Redevelopment Commission has met all requirements for seeking resident and public comments on our Agency Plan. In the course of compiling the Plan we received the following comments. Our response follows each comment.

Comment: Several comments were received encouraging the PCHRC to apply for funding under the Section 8 Family Unification Program. Representatives of Social Service agencies stated that they felt that additional assistance was needed for single parents in danger of losing their children due to a lack of housing, and young adults coming out of foster care homes. They expressed concern that no assistance was available for these young adults due to the policy of giving preference to elderly and disabled singles over other singles.

Response: The PCHRC has removed the restrictions on non-elderly/non-disabled singles under the Section 8 program, and will re-open the Section 8 waiting list to these individuals. The PCHRC will consider applying for funding under the Section 8 Family Unification Program, if it is available this year. The decision whether or not to apply will be based on analysis of the need for housing for this targeted population, and other factors deemed relevant by the PCHRC staff and Board.

Comment: Comments were received supporting the PCHRC proposal to allow Section 8 assistance to be used in assisted living facilities.

Response: The PCHRC has included this in its PHA Plan and Section 8 Administrative Plan, and will make this assistance available in the coming year.

Comment: Several questions and comments were received concerning PCHRC screening criteria. Most comments were supportive of the existing criteria for denial of housing assistance. Some concerns were expressed over policies that deny applicants for past drug and/or alcohol related offenses. It was stated that in some cases, applicants have overcome their problems with alcohol or drugs, and deserve a second chance. Concerns were also expressed over the lifetime ban for registered sex offenders and drug offenses involving methamphetamine.

Response: The PCHRC has made no significant changes to its screening criteria. Applicants who are denied are given the opportunity for an Informal Review of the decision. In some cases, denials for drug or alcohol offenses are overturned due to extenuating circumstances, including enrollment in treatment programs, however treatment programs do not automatically result in acceptance of an application. The lifetime bans for sex offenders and methamphetamine charges are statutory, and will be enforced.

Comment: Would the PCHRC consider a waiver of some screening criteria for applicants who successfully completed a transitional housing program, under which they would live in a supervised facility for a period of time in order to demonstrate that they are able and willing to uphold a lease.

Response: The PCHRC currently has no provisions for this type of waiver. If a transitional housing facility were to develop this type of program, the PCHRC would consider implementing policies to grant waivers. Implementation of this type of program is not included in the current year Plan.

Comments: Several comments were received on the Capital Fund Five-Year Plan. Several comments supported the planned playground equipment and air conditioning for elderly units. One comment stated that the plan for kitchen remodeling in family developments should not include all units because some unit may not need it. One comment stated that the planned kitchen remodeling at Jackson Heights should include new sinks and counter tops.

Response: Playground equipment and air conditioning for developments for the elderly have been moved up in the plan and are scheduled to be completed next year. When planning kitchen remodeling at the family developments, all units will be inspected prior to requesting bids. Decisions will be made as to what work will be performed at each

unit based on current need, long-term viability of the project, and the logistics of performing the work. Plans for kitchen remodeling at the developments for the elderly include counter tops and sinks if needed.

Comment: Several comments were received concerning designation of Public Housing developments as Elderly only. These comments were split, with several elderly residents supporting this designation, and disabled residents and advocacy groups opposed. One comment suggested that if the projects continue to admit non-elderly, disabled applicants, the PCHRC should provide site managers at each site to respond to problems.

Response: The PCHRC has received many comments on this issue in the past. Designation as Elderly only has not been pursued due to concerns over the ability to adequately meet the housing needs of the disabled without utilizing Public Housing developments. There are currently no plans to employ site managers at PCHRC properties. All residents, including the disabled, are required to uphold their lease and abide by PCHRC rules and regulations. For the most part, disabled residents present no more problems than non-disabled residents. When problems arise they are adequately dealt with from our central office.

Copies of letters, notices, advertisements, and sign-in sheets from the public hearing and Resident Advisory Board meetings, and other relevant information is available for inspection at our main office at 1805 West Fulton, Rapid City, SD.

Statement of Progress in Meeting Mission and Goals

(Attachment E)

- Goal:** Apply for additional rental vouchers.
Progress: The PCHRC applied for, and received the following additional Section 8 Vouchers:
42 Units – 09/01/00
53 Units – 08/01/01
75 Units – 10/01/01
- Goal:** Acquire or build units or developments.
Progress: No additional units have been acquired or developed.
- Goal:** Acquire land for future development.
Progress: The PCHRC has not yet located suitable land for acquisition.
- Goal:** Maintain High Performer status under PHAS and Achieve High Performer status under SEMAP.
Progress: Problems with these systems have prevented HUD from issuing actual scores for the past fiscal year. Measuring progress in meeting these goals will not be possible until problems are resolved.
- Goal:** Implement public housings site-based waiting lists.
Progress: Site-based waiting lists have been implemented.
- Goal:** Implement policy of incentive transfers for public housing residents.
Progress: A policy allowing incentive transfers has been implemented.
- Goal:** Work closely with local law enforcement agencies to provide crime prevention activities including additional police patrols and community policing efforts in public housing developments.
Progress: The PCHRC contracts with the Rapid City police department for additional patrols of it's public housing developments. Community Policing Officers are assigned to public housing developments.
- Goal:** Work with local law enforcement agencies to provide for the exchange of information to aid in applicant screening, lease enforcement, and local law enforcement efforts.
Progress: The PCHRC and Rapid City police department work together very closely in this area. The PCHRC electronically submits names and Social Security numbers of all applicants and participants in it's housing programs, and receives arrest reports back from the police department. Copies of the daily police dispatch logs are provided to the PCHRC and are monitored for activity at our public housing units. Follow-up reports are obtained as needed.
- Goal:** Develop an agreement with local law enforcement agencies to allow for occupancy of public housing units by police officers.
Progress: The PCHRC Board of Commissioners has approved occupancy of a Public Housing unit in projects SD06P045005/6 by a Rapid City police officer. An officer has moved into this development.
- Goal:** Provide PHA staff with adequate training in the areas of Equal Opportunity, Fair Housing, and Reasonable Accommodation.
Progress: The PHCRC makes every attempt to keep current with these issues through the use of industry publications and contact with local advocacy groups. More in-depth, comprehensive, training sessions will be investigated.

Goal: Maintain an efficient and capable team of employees who are willing and able to perform their duties to the highest professional standards.

Progress: Every effort has been made to offer a competitive wage and benefit package to attract and retain quality employees. Salaries and benefits are monitored and adjusted periodically. Several staff members will attend training during this fiscal year.

Goal: Ensure full compliance with all applicable standards and regulations including government generally accepted accounting practices.

Progress: The last annual audit of PHCRC financial statements contained no significant audit findings. Income exceeded expenses for the year.

Goal: Enhance the image of public housing in our community.

Progress: All public housing units have been kept up to neighborhood standards. All complaints from the public were responded to with 24 hours.

Resident Membership of the PHA Governing Board
(Attachment F)

Name: Robin LaVallie

Method of selection: Appointment by Pennington County Commission.

Term of appointment: March 15, 2000 through March 15, 2005

Membership of the Resident Advisory Board
(Attachment G)

The Pennington County Housing and Redevelopment Commission has established a Resident Advisory Panel in accordance with HUD regulations. The Panel meets monthly, and has designated a representative to attend the PCHRC Board Meetings. The Panel consists of the following members:

Sandy McCauley (Section 8)
4006 Yucca
Rapid City, SD 57701

Andrew Petrechko (Section 8)
425 E. Fairlane #30
Rapid City, SD 57701

Koree Smith (Public Housing)
2718 Minnetonka
Rapid City, SD 57702

Alice Bentley (PCHRC Res. – Non-P.H.)
420 Philadelphia #28
Rapid City, SD 57701

Brenda Ludtke (Section 8)
1008 Howard #105
Rapid City, SD 57701

Vyonne Glaze (Public Housing)
1805 West Fulton #416
Rapid City, SD 57702

Shona Ackman (Public Housing)
3408 Hemlock
Rapid City, SD 57701

Michelle McIntosh (Section 8)
2803 Melody
Rapid City, SD 57703

Sherry Nalls (Public Housing)
636 Cathedral #404
Rapid City, SD 57701

Rebecca Arroway (Public Housing)
221 Curtis #20
Rapid City, SD 57701

**Assessment of Site-Based Waiting List Development Demographic Changes
(Attachment H)**

| | # | White | | Black | | Nat.Am. | | Asian | | Hispanic | | Non-Hispanic | | Ave. Inc. |
|--------------------------|----------|-------|------|-------|----|---------|-----|-------|----|----------|-----|--------------|------|-----------|
| March 31,2000 | | | | | | | | | | | | | | |
| | Occupied | # | % | # | % | # | % | # | % | # | % | # | % | |
| ELDERLY - NORTH | 55 | 49 | 89% | 0 | 0% | 6 | 11% | 0 | 0% | 0 | 0% | 55 | 100% | \$9,561 |
| ELDERLY - SOUTH | 96 | 88 | 92% | 0 | 0% | 8 | 8% | 0 | 0% | 0 | 0% | 96 | 100% | \$10,156 |
| ELDERLY - WEST | 103 | 96 | 93% | 1 | 1% | 6 | 6% | 0 | 0% | 1 | 1% | 102 | 99% | \$10,647 |
| FAMILY - NORTH | 66 | 25 | 38% | 1 | 2% | 38 | 58% | 2 | 3% | 0 | 0% | 66 | 100% | \$9,598 |
| FAMILY - SOUTH | 55 | 35 | 64% | 0 | 0% | 20 | 36% | 0 | 0% | 3 | 5% | 52 | 95% | \$12,549 |
| FAMILY - WEST | 49 | 23 | 47% | 0 | 0% | 25 | 51% | 1 | 2% | 3 | 6% | 46 | 94% | \$11,497 |
| WALL | 16 | 14 | 88% | 0 | 0% | 2 | 13% | 0 | 0% | 1 | 6% | 15 | 94% | \$11,903 |
| Totals | 440 | 330 | 75% | 2 | 0% | 105 | 24% | 3 | 1% | 8 | 2% | 432 | 98% | \$10,625 |
| November 19, 2001 | | | | | | | | | | | | | | |
| | # | White | | Black | | Nat.Am. | | Asian | | Hispanic | | Non-Hispanic | | Ave. Inc. |
| | Occupied | # | % | # | % | # | % | # | % | # | % | # | % | |
| ELDERLY - NORTH | 56 | 49 | 88% | 1 | 2% | 6 | 11% | 0 | 0% | 0 | 0% | 56 | 100% | \$10,120 |
| ELDERLY - SOUTH | 96 | 91 | 95% | 0 | 0% | 5 | 5% | 0 | 0% | 0 | 0% | 96 | 100% | \$11,262 |
| ELDERLY - WEST | 104 | 95 | 91% | 1 | 1% | 8 | 8% | 0 | 0% | 2 | 2% | 102 | 98% | \$11,338 |
| FAMILY - NORTH | 73 | 27 | 37% | 1 | 1% | 44 | 60% | 1 | 1% | 1 | 1% | 72 | 99% | \$8,412 |
| FAMILY - SOUTH | 46 | 34 | 74% | 0 | 0% | 11 | 24% | 1 | 2% | 5 | 11% | 41 | 89% | \$13,811 |
| FAMILY - WEST | 47 | 23 | 49% | 1 | 2% | 22 | 47% | 1 | 2% | 5 | 11% | 42 | 89% | \$11,672 |
| WALL | 16 | 16 | 100% | 0 | 0% | 0 | 0% | 0 | 0% | 1 | 6% | 15 | 94% | \$12,497 |
| Totals | 438 | 335 | 76% | 4 | 1% | 96 | 22% | 3 | 1% | 14 | 3% | 424 | 97% | \$10,119 |