

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2002

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

HUD 50075
OMB Approval No: 2577-0226
Expires: 03/31/2002

**PHA Plan
Agency Identification**

PHA Name: LAWRENCE HOUSING AUTHORITY

PHA Number: MA010

PHA Fiscal Year Beginning: (04/01/2002)

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- X Main administrative office of the PHA
- X PHA development management offices
- X PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- X Main administrative office of the PHA
- X PHA development management offices
- X PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- X Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- X Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

X The PHA's mission is: (state mission here)
The mission of the Housing Authority of the City of Lawrence is to assist low-income families with safe, decent, and affordable housing opportunities as they strive to achieve self-sufficiency and improve the quality of their lives. The Housing Authority is committed to operating in an efficient, ethical, and professional manner. The Housing Authority will create and maintain partnerships with its clients and appropriate community agencies in order to accomplish this mission.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

PHA Goal: Expand the supply of assisted housing
Objectives:
 Apply for additional rental vouchers:
 Reduce public housing vacancies:
X Leverage private or other public funds to create additional housing opportunities:
 Acquire or build units or developments
 Other (list below)

PHA Goal: Improve the quality of assisted housing
Objectives:

- Improve public housing management: (PHAS score)
 - X Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below)
- PHA Goal: Increase assisted housing choices
- Objectives:
- Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
- Objectives:
- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Goal One Manage the Lawrence Housing Authority in a manner that results in full compliance with applicable statues and regulations as defined by program audit findings.

Objectives: The Lawrence Housing Authority shall promote a motivating work environment with a capable and efficient team of employees to operate as a customer-friendly and fiscally prudent leader in the affordable housing industry

Goal Two: Assist our community increase the availability of affordable, suitable housing for families in the very-low income range, cited as a need in our Consolidated Plan

Objectives: The Lawrence Housing Authority has formed a private non-profit community based organization whose mission is to provide decent affordable housing opportunities to low-income individuals.

Goal Three: Enhance the marketability of the Lawrence Housing Authority's public housing units.

Make public housing the affordable housing of choice for the very low income residents of our community.

Objectives: The Lawrence Housing Authority shall achieve proper curb appeal for its public housing developments by improving its landscaping, keeping its grass cut, making the properties liter-free and other actions by December 31, 2001.

The Lawrence Housing Authority has become a more customer-oriented organization.

Goal Four: Provide a safe and secure environment in the Lawrence Housing Authority's public housing development/

Objectives: The Lawrence Housing Authority shall reduce crime in its development so that the crime rate is less than their surrounding neighborhood by December 31, 2004.

Goal Five: Manage the Lawrence Housing Authority's tenant based program in an efficient and effective manner thereby qualifying as at least a standard performer under SEMAP.

Objectives: The Lawrence Housing Authority shall implement an aggressive outreach program to attract new landlords to participate in its program by December 31, 2004.

Goal Six: Maintain the Lawrence Housing Authority's real estate in a decent condition.

Deliver timely and high quality maintenance service to the residents of the Lawrence Housing Authority.

Objectives: The Lawrence Housing Authority shall create an appealing, up-to-date environment in its developments by December 31, 2004.

The Lawrence Housing Authority shall achieve and maintain an average response time of 24 hours in responding to emergency work orders by December 31, 2002.

Goal Seven: Operate the Lawrence Housing Authority in full compliance with all Equal Opportunity laws and regulations.

Objectives: The Lawrence Housing Authority shall mix its public housing development populations as much as possible ethnically, racially, and income wise as much as possible.

Goal Eight: Ensure full compliance with all applicable standards and regulations including government generally accepted accounting practices.

Objectives: The Lawrence Housing Authority shall maintain its operating reserves of at least six months of operating expenditures between now and December 31, 2004.

Annual PHA Plan
PHA Fiscal Year 2002
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
 Small Agency (<250 Public Housing Units)
 Administering Section 8 Only

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The mission of the Housing Authority of the City of Lawrence is to assist low-income families with safe, decent and affordable housing opportunities as they strive to achieve self-sufficiency and improve the quality of their lives. The Housing Authority is committed to operating in an efficient, ethical, and professional manner. The Housing Authority will create and maintain partnerships with its clients and appropriate community agencies in order to accomplish this mission.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- X Admissions Policy for Deconcentration (in ACOP)

- X FY 2002 Capital Fund Program Annual Statement (see Attachment A)
Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart
- X FY 2002 Capital Fund Program 5 Year Action Plan (Attachment J)
Public Housing Drug Elimination Program (PHDEP) Plan
- X Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)
 - Resident Commissioner
 - Resident Advisory Board
 - Progress Report
 - Deconcentration and Income Mixing
 - Voluntary Conversion Initial Assessment
 - Pet Policy Statement
 - Performance and Evaluation

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development xcheck here if included in the public housing	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	A & O Policy	
X	Section 8 rent determination (payment standard) policies X check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures X check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
X	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
X	Pet Policy - Elderly	Annual Plan - Eligibility, Selection, and Admissions Policies.
X	PET POLICY - FAMILY	Annual Plan - Eligibility, Selection, and Admissions Policies

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion
Income <= 30% of AMI	4985	5	5	4	4	5	3
Income >30% but <=50% of AMI	2346	5	5	4	4	5	3
Income >50% but <80% of AMI	2846	5	5	4	4	5	3
Elderly	5856	5	3	2	4	2	3
Families with Disabilities	3735	5	5	4	4	5	3
White Race/Eth	15573	5	5	4	4	5	3
Black Race/Etj	400	5	5	4	4	5	3
Hispanic Race Eth	7761	5	5	4	4	5	3
Native American	24	5	5	4	4	5	3

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Asian Race/Eth	165	5	5	4	4	4	3

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s City of Lawrence, MA
Indicate year: 1995 - 2000-2005
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	904		105

Housing Needs of Families on the Waiting List			
Extremely low income <=30% AMI	698	77%	73 (70%)
Very low income (>30% but <=50% AMI)	184	20%	32 (30%)
Low income (>50% but <80% AMI)	15	2%	0
Families with children	658	73%	93 (89%)
Elderly families	26	3%	4 (4%)
Families with Disabilities	175	19%	34 (32%)
W/HISPANIC	819	90%	90 (86%)
W/NON-HISPANIC	79	9%	15 (14%)
BL/HISPANIC	1	.11%	0% (0%)
BL/NON-Hispanic	5	.6%	0 (0%)
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 52 MONTHS			
Does the PHA expect to reopen the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes Special Admissions			

Housing Needs of Families on the Waiting List
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Housing Needs of Families on the Waiting List

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	856		93
Extremely low income <=30% AMI	646	75%	73 (79%)
Very low income (>30% but <=50% AMI)	178	21%	16 (17%)
Low income (>50% but <80% AMI)	21	2%	4 (4%)
Families with children	340	40%	29 (31%)
Elderly families	105	12%	29 (31%)
Families with Disabilities	358	42%	55 (60%)
W/Hispanic	818	95%	72 (77%)
W/Non-Hispanic	35	4%	20 (22%)
Black/Nonhispanic	0	0%	
Asian/Nonhispanic	3	1%	1 (1%)
Characteristics by Bedroom Size (Public Housing Only)			
1BR	289	34%	51 (55%)
2 BR	238	28%	26 (28%)
3 BR	29	3%	3 (3%)
4 BR	113	13%	4 (4%)
5 BR	187	22%	9 (10%)
5+ BR			

Is the Waiting list closed No. X Yes Zero & One 1Br. OPEN

If yes:

How long has it been closed (# of months) 2Br -8/99.,3Br.,-6/97-4Br., 12/00

Does the PHA expect to reopen the list in the PHA Plan year: No X Yes
Does the PHA permit specific categories of families onto the waiting list, even if generally closed X No Yes.

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- X Employ effective maintenance and management policies to minimize the number of public housing units off-line
- X Reduce turnover time for vacated public housing units
- X Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- X Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- X Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- X Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- X Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- X Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- X Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available

- X Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- X Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- X Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- X Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- X Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- X Results of consultation with local or state government
- X Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2002 grants)		
a) Public Housing Operating Fund	2,520,573	
b) Public Housing Capital Fund		
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	5,392,622	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	346,889	PUBLIC HOUSING SAFETY/SECURITY
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
3. Public Housing Dwelling Rental Income	3,080,847	PUBLIC HOUSING OPERATIONS
4. Other income (list below)	40,495	P.H. OPERATIONS
Service Coordinator Grant	43,833	P.H. SUPPORTTIVE

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
EDSSGrant	181,820	“ “
ROSS	7,883	" "
4. Non-federal sources (list below)		
Investment Income	435,263	P.H. OPERATIONS
		“ “
Total resources	12,050,225	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- X When families are within a certain time of being offered a unit: (1-3 mos.)
- X Other: (describe)immediately prior to admission

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- X Criminal or Drug-related activity
- X Rental history
- X Housekeeping
- X Other (describe) credit reporting agency information match

c X Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. X Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2) Waiting List Organization

- a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
 Sub-jurisdictional lists
 Site-based waiting lists
 Other (describe)

- b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
 PHA development site management office
 Other (list below) via the mail & Elder Service Agency

- c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously?
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
 All PHA development management offices
 Management offices at developments with site-based waiting lists
 At the development to which they would like to apply
 Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
 Two
Three or More

B X Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
 Overhoused
 Underhoused
 Medical justification
 Administrative reasons determined by the PHA (e.g., to permit modernization work)
 Resident choice: (state circumstances below)
 Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

Involuntary Displacement (Disaster, Government Action, Action of Housing

- Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- X Working families and those unable to work because of age or disability
- X Veterans and veterans' families
- X Residents who live in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 3 Working families and those unable to work because of age or disability
- 2 Veterans and veterans' families
- 1 Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
X Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- X The PHA-resident lease
X The PHA's Admissions and (Continued) Occupancy policy
X PHA briefing seminars or written materials
 Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
X Any time family composition changes
 At family request for revision
 Other (list)

(6) Deconcentration and Income Mixing

a. Yes X NO : Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. X Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site based waiting lists
If selected, list targeted developments below:

X Employing waiting list “skipping” to achieve deconcentration of poverty or income mixing goals at targeted developments

If selected, list targeted developments below:

Employing new admission preferences at targeted developments

If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes X No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

Additional affirmative marketing

Actions to improve the marketability of certain developments

Adoption or adjustment of ceiling rents for certain developments

Adoption of rent incentives to encourage deconcentration of poverty and income-mixing

Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

X List (any applicable) developments below:

MA 10-1, MA10-2, 10-15

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

X Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- X Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)
- b. X Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- C X Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. Yes X No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- X Criminal or drug-related activity
- X Other (describe below)landlords, address, (past/present) upon request.

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- X None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)
- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
- X PHA main administrative office
- X Other (list below)when list upon or through mail

(3) Search Time

- a. X Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

When family has documented to the LHA the inability to locate a suitable unit, or in a situation where an extension would be a reasonable accommodation

(4) Admissions Preferences

- a. Income targeting

- Yes X No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

- b. Preferences

1. X Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
 Victims of domestic violence
 Substandard housing
 Homelessness
 High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- X Working families and those unable to work because of age or disability
X Veterans and veterans' families
X Residents who live and/or work in your jurisdiction
 Those enrolled currently in educational, training, or upward mobility programs
 Households that contribute to meeting income goals (broad range of incomes)
 Households that contribute to meeting income requirements (targeting)
 Those previously enrolled in educational, training, or upward mobility programs
 Victims of reprisals or hate crimes
 Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- 3 Working families and those unable to work because of age or disability
2 Veterans and veterans’ families
1 Residents who live and /or work in your jurisdiction
 Those enrolled currently in educational, training, or upward mobility programs
 Households that contribute to meeting income goals (broad range of incomes)
 Households that contribute to meeting income requirements (targeting)
 Those previously enrolled in educational, training, or upward mobility programs
 Victims of reprisals or hate crimes
 Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- X Date and time of application
 Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who in the jurisdiction” (select one)

- X This preference has previously been reviewed and approved by HUD
 The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers

- X Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

- a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
 Briefing sessions and written materials
 Other (list below)

- b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
 Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

- a. Use of discretionary policies: (select one)

- X The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? See ACOP

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

UNION DUES

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income)
(select one)

- Yes for all developments
 Yes but only for some developments
X No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
 For all general occupancy developments (not elderly or disabled or elderly only)
 For specified general occupancy developments
 For certain parts of developments; e.g., the high-rise portion
 For certain size units; e.g., larger bedroom sizes
 Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
 Fair market rents (FMR)
 95th percentile rents
 75 percent of operating costs
 100 percent of operating costs for general occupancy (family) developments
 Operating costs plus debt service
 The "rental value" of the unit
 Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
 At family option
 Any time the family experiences an income increase
 Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____

X Other (list below)always family composition

g. Yes X No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- X The section 8 rent reasonableness study of comparable housing
- X Survey of rents listed in local newspaper
- X Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- X 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- X FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard

- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level?
(select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- X Annually
- X Other (list below) as determined necessary based on voucher holders ability to Lease up in affordable housing.

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- X Success rates of assisted families
- X Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- X \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

SEE SECTION 8 ADMINISTRATIVE PLAN

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)
- (2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- PHA main administrative office
 - PHA development management offices
 - Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- PHA main administrative office
 - Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (ATTACHMENT A) CGP 2002 \$2,212,593.00

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment "J"

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes X No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
 Revitalization Plan submitted, pending approval
 Revitalization Plan approved
 Activities pursuant to an approved Revitalization Plan underway

- Yes X No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

- Yes X No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

- Yes X No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes X No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”,

skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes X No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Activity type: Demolition <input type="checkbox"/>	
Disposition <input type="checkbox"/>	
3. Application status (select one)	
Approved <input type="checkbox"/>	
Submitted, pending approval <input type="checkbox"/>	
Planned application <input type="checkbox"/>	
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>	
5. Number of units affected:	
6. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	
7. Timeline for activity:	
a. Actual or projected start date of activity:	
b. Projected end date of activity:	

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes X No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming

fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	
Occupancy by only the elderly <input type="checkbox"/>	
Occupancy by families with disabilities <input type="checkbox"/>	
Occupancy by only elderly families and families with disabilities <input type="checkbox"/>	
3. Application status (select one)	
Approved; included in the PHA’s Designation Plan <input type="checkbox"/>	
Submitted, pending approval <input type="checkbox"/>	
Planned application <input type="checkbox"/>	
4. Date this designation approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>	
5. If approved, will this designation constitute a (select one)	
<input type="checkbox"/> New Designation Plan	
<input type="checkbox"/> Revision of a previously-approved Designation Plan?	
6. Number of units affected:	
7. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations

Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)	

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

See attachment

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes X No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name:
1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I

<input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes X No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families

- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		

Section 8		

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
- Adopting appropriate changes to the PHA’s public housing rent determination policies and train staff to carry out those policies
 - Informing residents of new policy on admission and reexamination
 - Actively notifying residents of new policy at times in addition to admission and reexamination.
 - Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
 - Establishing a protocol for exchange of information with all appropriate TANF agencies
 - Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

Yes No: Has the PHA included the PHDEP Plan for FY 2002 in this PHA Plan?

Yes No: This PHDEP Plan is an Attachment. (Attachment Filename:)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1 X Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)

2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- Attached at Attachment (File name) D.
- Provided below:

3. In what manner did the PHA address those comments? (select all that apply)
- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:
- Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (City of Lawrence, MA)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
 - X The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
 - X The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 - X The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
 - Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

 - Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to
provide any additional attachments referenced in the Plans

ATTACHMENT "A"

Capital Fund Program Annual Statement Part I and,II

ATTACHMENT "B"

Resident and Public Comment

ATTACHMENT "C"

Resident Commissioner

ATTACHMENT "D"

Resident Advisory Board

ATTACHMENT "E"

Progress Report

ATTACHMENT "F"

Deconcentration and Income Mixing (Component 3. (6))

ATTACHMENT "G"

Voluntary Conversion Initial Assessment (Component 10 (B))

ATTACHMENT "H"

Pet Policy

ATTACHMENT "I"

Performance and Evaluation

ATTACHMENT "J"

Capital Fund Program Five Year Action Plan Part I and II

PHA Plan Table Library

Component 7 Capital Fund Program Annual Statement Parts I, II, and II Attachment "A"

Annual Statement Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number 2002 FFY of Grant Approval: (3/31/2002)

X Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	200,000
4	1410 Administration	160,000
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	90,000
8	1440 Site Acquisition	
9	1450 Site Improvement	50,000
10	1460 Dwelling Structures	1,712,593
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)	2,212,593
21	Amount of line 20 Related to LBP Activities	0
22	Amount of line 20 Related to Section 504 Compliance	150,000
23	Amount of line 20 Related to Security	100,000
24	Amount of line 20 Related to Energy Conservation Measures	0

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
MA06P010001	BATHROOM UP GRADES	1460	312,593
“	ROOF REPLACEMENT	1460	1,200,000
”	SITE IMPROVEMENT	1450	50,000
MA06P010002	504 BARRIER FREE UNIT UPGRADE	1460	150,000
“	SITE IMPROVEMENT	1450	50,000
”			
PHA WIDE	MANAGEMENT IMPROVEMENTS	1408	200,000
	ADMINISTRATION	1410	160,000
	A/E FEES AND COSTS	1430	90,000

RESIDENT COMMENTS ATTACHMENT "B"

The Lawrence Housing Authority has engaged in an extensive process of seeking resident and public comments on our Agency Plan. In the course of compiling the Plan we engaged in the following process.

The Eagle Tribune Legal Notice on September 5, 2001 and September 19, 2001. Resident Participation - Advisory Board-Notice posted in all Federal Development Offices.

The Eagle Tribune Legal Notice on October 30, 2001 – November 9, 2001 – November 27, 2001 – December 8, 2001 Legal Notice - For Review Notice also posted at various Federal Developments - Occupancy & Leasing - Maintenance - Central Office & Lawrence Public Library.

Three Resident Advisory Board Meetings were held as well as several meeting with Resident Councils at various development sites. Also two public hearings were held on December 17, 2001, and final hearing January 4, 2002.

As a result of this effort, we received the following comments and responded to them in the following manner.

COMMENT

Residents very concerned about non funding of PHDEP Grants.

LHA Response

The Authority will do everything in its power to work closely with the Lawrence Police Department. This Authority has developed programs that have been very effective in addressing crime and criminal related activity in and about Lawrence Housing Authority Property. This endeavor will continue to receive the Authority's highest attention to assure we maintain a safe and secure environment for our residents to reside in. Even though these PHDEP Funds have been eliminated the Authority will do everything in its powers to maintain these initiatives that have been accomplished by this program. The Authority will be using it's Operating Funds and Capital Funds to accomplish this endeavor.

COMMENT

Residents discussed the Capital Fund Program giving the Authority a wish list for their developments.

LHA RESPONSE

The residents requests were included in the 2002 Capital Fund Grant.

RESIDENT COMMISSIONER ATTACHMENT "C"

The Lawrence Housing Authority's Resident Commissioner is Virginia Gilmore 353 Elm Street Apt. 303 Lawrence, MA. 01840 . She has been the Resident Commissioner since 8/3/1995. The Elder's Tenant's Council supported the appointment of Ms. Gilmore and she was re-appointed to this position on December 4, 2000 by Mayor Patricia Dowling.

RESIDENT ADVISORY BOARD ATTACHMENT "D"

The following is a list of Residents who are members of the Resident Advisory Board.

Jose Rios	353 Elm Street
Norman Obert	65 Union Street
Stella Rancourt	353 Elm Street
Theresa Desell	353 Elm Street
Angele Rizk	305 Hampshire Street
Elsie Ouellette	353 Elm Street
Althea Parent	331 Salem Street
Margaret Caceres	325 Salem Street
Angel Julio Mejia	114 Beacon Avenue
Peggy Scott	353 Elm Street
Lucy Gray	198 Essex Street
Leo LaCourse	2 Bailey Street
Irma Ramos	230 So. Union Street
James White	65 Union Street
Aris Rosario	353 Elm Street
Peter Teti	8 Diamond Street
Geraldine Jean	353 Elm Street

PROGRESS REPORT ATTACHMENT "E"

Goal 1

The Lawrence Housing Authority is managed in a manner that is in full compliance with statues and regulations as defined by the I.P.A. Audit and the Department of Housing & Community Development Management Audit.

Goal 2

The Lawrence Housing Authority has formed a private non-profit community based organization whose mission is to provide decent affordable housing opportunities to low-income individuals. The non-profit has received funds (C.D.B.G.) from the City of Lawrence to build owner occupied low-income housing. The city is in the process of deeding tax tile property to the Authority to build on a owner occupied low income housing.

Goal 3

The Lawrence Housing Authority has given a high priority to curb appeal in all developments. The fact that the vacancy rate is very low is due to the condition of these developments. Authority developments are at many instances the best-maintained property in their neighborhoods

Goal 4

The Lawrence Housing Authority works very closely with the Lawrence Police Department. The Lawrence Housing Authority Drug Abuse Prevention Office has developed programs that have been very effective in addressing crime and criminal related activity in and about Lawrence Housing Authority property. This endeavor will continue to receive the Lawrence Housing Authority's highest attention to assure we maintain a safe and secure environment for our residents to reside in. Even though PHDEP Funds have been eliminated the authority will do everything in its powers to maintain these initiatives that have been accomplished by this program.

Goal 5

The Lawrence Housing Authority staff in the occupancy department have received additional training and certifications with regard to SEMAP and we anticipate meeting this goal.

Goal 6

The Lawrence Housing Authority delivery of maintenance has been well documented in PHA's and DHCD Management Reviews. We are pleased with the high performer status and are working on systems to assure in the future we will be able to maintain a high quality of service. The Authority is looking into strengthening its preventive maintenance program to maintain equipment and prevent interruption of our major system

Goal 7

The Lawrence Housing Authority is committed to continue to mix it's public housing population as much as possible ethnically, racially and income wise.

Goal 8

The Lawrence Housing Authority's IPA Audit and DHCD Audit shows the financial condition and management to be very well run. Our operating reserves are very healthy and our ability to live within our budget has a proven track record. We will continue to add new systems to monitor and forecast our financial condition

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ATTACHMENT "F"

COMPONENT 3. (6) Deconcentration and Income Mixing

- a. Yes No. Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule?
If no, this section is complete. If yes, continue to the next question
- b. Yes No. Do any of these covered developments have average incomes above or 85% to 115% of the average incomes of all such developments?
If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments

Development Name	Number of Units	Explanation(if any)	Deconcentration policy
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

ATTACHMENT "G"

Component 10 (B) Voluntary Conversion Initial Assessments.

- a. How many of the PHA's developments are subject to the Required Initial Assessments? 3 Family Developments
- b. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)? 5 Elderly/Disabled Developments
- c. How many Assessments were conducted for the PHA's covered developments?
One (1)
- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments:

Development Name	Number of Units
<u>MA06P010001</u>	<u>292</u>
<u>MA06P010002</u>	<u>208</u>
<u>MA06P010015</u>	<u>46</u>

- e. If the PHA has not completed the Required Initial Assessments describe the status of these assessments:

The Lawrence Housing Authority has reviewed MA 10-1-MA 10-2 and MA10-15 Developments operation as Public Housing. Considered the implications of converting the Public Housing to Tenant-Based Assistance and concluded that conversion of these developments may be inappropriate because removal would not meet the necessary conditions for voluntary conversion.

ATTACHMENT "H"

LAWRENCE HOUSING AUTHORITY PET POLICY

In compliance with the Final Rule published in the Federal Register dated July 10, 2000 - Pet Ownership in Public Housing. The Authority currently has a Pet Policy for Elderly Residents effective since 1987 and Family Residents adopted in 2000. Both Policies can be reviewed at the Main office of the Authority, 353 Elm Street, Lawrence, MA. 01841

Annual Statement/Performance and Evaluation Report						
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary						
PHA Name: LAWRENCE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: MA06P01070698 Replacement Housing Factor Grant No:			Federal FY of Grant: 1998	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 01 <input checked="" type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations					
3	1408 Management Improvements	45,000.00	102,073.00	102,073.00	102,073.00	
4	1410 Administration	169,688.00	189,881.00	189,881.00	189,881.00	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	125,000.00	66,987.00	66,987.00	66,987.00	
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures	1,170,000.00	1,199,777.00	1,199,777.00	1,199,777.00	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Nondwelling Structures	500,000.00	450,970.00	450,970.00	450,970.00	
13	1475 Nondwelling Equipment					
14	1485 Demolition					
15	1490 Replacement Reserve					
16	1492 Moving to Work Demonstration					
17	1495.1 Relocation Costs					
18	1499 Development Activities					
19	1501 Collateralization or Debt Service					
20	1502 Contingency					
21	Amount of Annual Grant: (sum of lines 2 – 20)	2,009,688.00	2,009,688.00	2,099,688.00	2,009,688.00	
22	Amount of line 21 Related to LBP Activities					
23	Amount of line 21 Related to Section 504 compliance	385,000.00				
24	Amount of line 21 Related to Security – Soft Costs					
25	Amount of Line 21 Related to Security – Hard Costs					
26	Amount of line 21 Related to Energy Conservation Measures					

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: LAWRENCE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant NoMA06P01070698 Replacement Housing Factor Grant No:			Federal FY of Grant: 1998			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
MA06P010001	Chimney Removal	1460		25,000	1368	1,368	1,368	Complete
	Countertops (-99-3)	1460		0	66,436	66,436	66,436	W.I.P
	Painting (99-1)	1460		0	160,396	160,396	160,396	W.I.P
	Flooring (99-2)	1460		0	128,534	128,534	128,534	W.I.P
	Heating Vent Air-Cond.(97-40)	1460		0	21,404	21,404	21,404	Complete
	Concrete Repair (00-2)	1460		0	44,224	44,224	44,224	W.I.P.
	Totals			25,000	422,362	422,362	422,362	
MA06P010002	Concrete & Railing Repair	1460		100,000	17,151	17,151	17,151	W.I.P.
	Building Roofs (2000-CFP)	1460		0	139,196	139,196	139,196	Comp.
	Hot Water Storage (2000FYAP)	1460		0	6,741	6,741	6,741	W.I.P.
	Cellar Stairs & Rails (00-7)	1460		0	26,177	26,177	26,177	Comp.
	504 Req. (2001)	1460		0	332	332	332	W.I.P.
	Totals			100,000	189,597	189,597	189,597	
MA06P010003	504 Unit Conversion Barrier Free	1460		150,000	68,425	68,425	68,425	Work Prog.
	Concrete Foundation	1460		100,000	17,150	17,150	17,150	Work. Prog.
	Totals			250,000	85,575	85,575	85,575	
MA06P010005	504 Unit Conversion Barrier Free	1460		60,000		0	0	Work in Planning
	Totals			60,000		0	0	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: LAWRENCE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant NoMA06P01070698 Replacement Housing Factor Grant No:				Federal FY of Grant: 1998		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
MA06P010006	Concrete Balcony & Railings	1460		300,000	0	0	0	Complete Complete Complete Complete
	Sprinkler System Smoke Doors	1460		120,000	41	41	41	
	504 Unit Conversion Barrier Free	1460		75,000	113,986	113,986	113,986	
	Front Area Curtain Wall Entrance	1460		100,000	80,822	80,822	80,822	
	Kitchen/GFI (00-16)	1460		0	102,785	102,785	102,785	
	Totals			595,000	297,634	297,634	297,634	
MA06P010007	504 Unit Conversion Barrier Free	1460		100,000	138,955	138,955	138,955	Complete Complete Complete Complete Complete
	community Room Ren. (99-22)	1470		0	9,552	9,552	9,552	
	Kitchens Renovation (00-19)	1460		0	6,915	6,915	6,915	
	Front Door Access Unit (99-22)	1460		0	3,739	3,739	3,739	
	Office Renovation (99-22)	1470		0	3,826	3,826	3,826	
	Compactor (00-21)	1460		0	0	0	0	
	Totals			100,000	162,987	162,987	162,987	
MA06P010015	Heater Base-Board Enclosures	1460		10,000	0	0	0	Complete
	Fire Alarm	1460		30,000	55,000	55,000	55,000	
	Totals			40,000	55,000	55,000	55,000	
PHA/WIDE ADMIN.	Administrative - Legal Fees	1410		0	11,366	11,366	11,366	New Line It Fully Exp. Work In Progress
	Administrative	1410		169,688	178,515	178,515	178,515	
	A/E Fees for Above	1430		125,000	66,987	66,987	66,987	
	Totals			294,688	256,868	256,868	256,868	
PHA/WIDE MNGT. IMPROV.	Economic Development	1408		45,000	36,528	36,528	36,528	Complete Complt In Progress
	Family Reinvest Center Ren	1470		500,000	437,592	437,592	437,592	
	Drug Prev/Comm.Police-(99PHA/WIDE)	1408		0	65,545	65,545	65,545	
	Totals			545,000	539,665	539,665	539,665	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: LAWRENCE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant NoMA06P01070698 Replacement Housing Factor Grant No:				Federal FY of Grant: 1998		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Lawrence Housing Authority		Grant Type and Number Capital Fund Program NoMA06P01070698 Replacement Housing Factor No:				Federal FY of Grant: 1998		
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
MA 10-1	3/31/00	9/30/00	9/30/00	9/30/01	6/30/01	6/30/01		
MA 10-2	3/31/00	9/30/00	9/30/00	9/30/01	6/30/01	6/30/01		
MA 10-3	3/31/00	9/30/00	9/30/00	9/30/01	6/30/01	6/30/01		
MA 10-4	3/31/00	9/30/00	9/30/00	9/30/01	6/30/01	6/30/01		
MA 10-5	3/31/00	9/30/00	9/30/00	9/30/01	6/30/01	6/30/01		
MA 10-6	3/31/00	9/30/00	9/30/00	9/30/01	6/30/01	6/30/01		

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Lawrence Housing Authority		Grant Type and Number Capital Fund Program NoMA06P01070698 Replacement Housing Factor No:					Federal FY of Grant: 1998	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
MA 10-7	3/31/00	9/30/00	9/30/00	9/30/01	6/30/01	6/30/01		
PHA.WIDE ADM.	3/31/00	9/30/00	9/30/00	9/30/01	6/30/01	6/30/01		
PHA. WIDE MNGT.	3/31/00	9/30/00	9/30/00	9/30/01	6/30/01	6/30/01		

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: LAWRENCE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: MA06P01070899 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	140,000.00		85,000.00	37,677.00
4	1410 Administration	180,000.00		180,000.00	42,065.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	150,000.00		0	0
8	1440 Site Acquisition				
9	1450 Site Improvement	60,000.00		80,000.00	0
10	1460 Dwelling Structures	1,555,000.00		1,801,496.00	168,944.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	155,000.00		85,000.00	31,616.00
13	1475 Nondwelling Equipment	46,496.00		55,000.00	34,834.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	2,286,496.00		2,286,496.00	315,136.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: LAWRENCE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No:MA06P01070899 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
MA06P010001	Painting Interior/Exterior (98-18)	1460		300,000		0	0	
	Flooring (98-19)	1460		300,000		50,000	1,397	W.I.P.
	Countertop/Cabinet Doors (98-17)	1460		200,000		0	0	
	Community Room Mail Room	1470		50,000		50,000	25,140	W.I.P
	Energy Management	1460		100,000		0	0	
	Bath Tub/Resurface	1460		160,000		160,000	14,489	W.I.P.
	Bedroom Lighting Fixture Replace	1460		60,000		0	0	
	Smoke Detector Replacement	1460		30,000		0	0	
	Site Work (00-1)	1450		0		80,000	0	Fung. 00-1
	Entrance Door Repair (00-3)	1460		0		75,000	0	Fung. 00-3
	Exterior Stairway Repair (00-6)	1460		0		120,000	0	Fung. 00-6
	Exterior Painting (01-2)	1460		0		190,000	0	Fung. 01-2
	Concrete Repair (00-2)	1460		0		10,000	4,133	Fung. 00-2
		Total			1,200,000		735,000	45,159
MA06P010002	Building/Gutters	1460		150,000		1,000	845	W.I.P.
	Community Room	1470		50,000		0	0	
	Maintenance/Office	1470		20,000		0	0	
	Electrical Dryer/Hookups	1460		25,000		25,000	10,121	W.I.P.
	Cellar Security Screens	1460		20,000		20,000	0	Work Begin
	Exterior Lighting	1460		25,000		0	0	
	Cellar Stairs & Rails(00-7)	1460		0		50,000	0	Fung. 00-7
	Concrete & Railing Repair (98-2)	1460		0		100,000	0	Fung. 98-2
	Foundation Stairs Repairs (01-3)	1460		0		320,496	0	Fung. 01-3
	Total			290,000		516,496	10,966	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: LAWRENCE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No:MA06P01070899 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
MA06P010003	Site Improvement/Fencing Energy Management Concrete & Foundation Rep. (98-4)	1450 1460 1460		30,000 10,000 0		0 10,000 90,000	0 1,981 0	W.I.P Fung. 98-4
	Total			40,000		100,000	1,981	
MA06P010004	Site Improvements/Fencing Energy Mangement Roof Canopies (00-14)	1450 1460 1460		30,000 10,000 0		0 0 60,000	0 0 56,907	Fung. 00-14
	Total			40,000		60,000	56,907	
MA06P010005	Energy Management	1460		10,000		0	0	
	Total			10,000		0	0	
MA06P010006	Compactor Replacement Community room Kitchen GFI(00-16)	1475 1470 1460		25,000 15,000 0		25,000 15,000 240,000	17,901 6,476 5,040	W.I.P W.I.P. Fung. 00-16
	Total			40,000		280,000	29,417	
MA06P010007	Community Room Renovation Kitchen Renovation (00-19) Compactor (00-21)	1470 1460 1475		20,000 0 0		20,000 140,000 25,000	0 0 15,958	Fung. 00-19 Fung. 00-21
	Total			20,000		185,000	15,958	
MA06P010015	Kitchen Replacement Door Interior Replacement Hallway Wall's Upgrade	1460 1460 1460		120,000 15,000 20,000		120,000 0 20,000	125 0 73,905	Work Begins Work in Progress
	Total			155,000		140,000	74,030	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: LAWRENCE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No:MA06P01070899 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA/WIDE ADMIN.	Admin - Legal Fees Administrative A/E fee for Above	1410 1410 1430		0 180,000 150,000		0 180,000 0	3,025 39,041 0	New Item W.I.P. W.I.P.
	Total			330,000		180,000	42,066	
PHA/WIDE MNGT. IMPROV.	Drug Prevention Comm/Police Policy's Procedures Telephone Upgrade Computer Upgrade Economic Development	1408 1408 1475 1475 1408		85,000 15,000 10,350 11,146 40,000		85,000 0 0 5,000	37,677 0 0 975 0	W.I.P. W.I.P.
	Totals			161,496		90,000	38,652	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Lawrence Housing Authority

Grant Type and Number

Capital Fund Program No: MA06P01070899

Replacement Housing Factor No:

Federal FY of Grant: 1999

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
MA 10-1	3/31/01		3/31/01	9/30/02			
MA 10-2	3/31/01		3/31/01	9/30/02			
MA 10-3	3/31/01		3/31/01	9/30/02			
MA 10-4	3/31/01		3/31/01	9/30/02			
MA 10-5	3/31/01		3/31/01	9/30/02			
MA 10-6	3/31/01		3/31/01	9/30/02			
MA 10-7	3/31/01		3/31/01	9/30/02			
MA 10-15	3/31/01		3/31/01	9/30/02			
PHA/WIDE ADMIN	3/31/01		3/31/01	9/30/02			
PHA/WIDE MNGT.	3/31/01		3/31/01	9/30/02			

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: LAWRENCE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant NoMA06P0105100 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	40,000.00	40,000.00	0	0
4	1410 Administration	160,000.00	158,753.00	0	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	150,000.00	150,000.00	0	0
8	1440 Site Acquisition				
9	1450 Site Improvement	110,000.00	110,000.00	0	0
10	1460 Dwelling Structures	1,675,000.00	1,675,000.00	495,000.00	0
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	30,000.00	30,000.00	0	0
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	2,165,000.00	2,163,753.00	495,000.00	0
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures	100,000.00	100,000.00		

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Lawrence Housing Authority		Grant Type and Number Capital Fund Program Grant No: MA06P0105100 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
MA06P010001	Site Work	1450		80,000		0	0	W.I.P.
	Concrete Repair	1460		120,000		120,000	0	
	Entrance Door repair	1460		75,000		75,000	0	W.I.P.
	Heating Systems Circulators	1460		75,000		0	0	
	Radiator Valves Controls	1460		25,000		0	0	
	Exterior Stairway Repair	1460		120,000		150,000	0	
	Total			495,000		345,000	0	
MA06P010002	Cellar Stairs & Rails	1460		50,000		50,000	0	
	Site Work	1450		20,000		0	0	
	Building Roofs	1460		250,000		0	0	
	Fence Replacement	1450		10,000		0	0	
	Total			330,000		50,000	0	
MA06P010003	Roof Canopies	1460		75,000		0	0	
	Community Room Upgrade	1470		20,000		0	0	
	Total			95,000		0	0	
MA06P010004	Community Room Upgrade	1470		10,000		0	0	
	Roof Canopies	1460		60,000		0	0	
	Total			70,000		0	0	
MA06P010006	Community Room	1460		35,000		0	0	
	Kitchen/GFI	1460		300,000		0	0	
	Exterior Porch Lights	1460		20,000		0	0	
	Total			355,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Lawrence Housing Authority		Grant Type and Number Capital Fund Program Grant No: MA06P0105100 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
MA06P010007	Community Room	1460		30,000		0	0	
	Kitchen Renovation	1460		340,000		0	0	
	Fire Door Auto Closures	1460		50,000		50,000	0	
	Compactor	1460		50,000		50,000	0	
	Total			470,000		100,000	0	
PHA/WIDE ADMIN	Legal Fees	1410		30,000		0	0	
	Administration	1410		128,753		0	0	
	A/E/ for Above	1430		150,000		0	0	
	Total			308,753		0	0	
PHA/WIDE MNTGT/IMPRO.	Economic Development	1408		40,000		0	0	
				40,000		0	0	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Lawrence Housing Authority		Grant Type and Number Capital Fund Program No: MA06P0105100 Replacement Housing Factor No:				Federal FY of Grant: 2000	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
MA 10-1	3/31/02			9/30/03			
MA10-2	3/31/02			9/30/03			
MA10-3	3/31/02			9/30/03			
MA 10-4	3/31/02			9/30/03			
MA 10-5	3/31/02			9/30/03			
MA 10-6	3/31/02			9/30/03			
MA 10-7	3/31/02			9/30/03			
MA 10-15	3/31/02			9/30/03			
PHA WIDE ADMIN	3/31/02			9/30/03			
PHA WIDE MNGT.	3/31/02			9/30/03			

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: LAWRENCE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: MA06P01050101 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	103,750.00	152,593.00	0	0
4	1410 Administration	160,000.00	160,000.00	0	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	130,000.00	130,000.00	0	0
8	1440 Site Acquisition				
9	1450 Site Improvement	50,000.00	50,000.00	0	0
10	1460 Dwelling Structures	1,690,000.00	1,690,000.00	0	0
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	30,000.00	30,000.00	0	0
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	2,163,750.00	2,212,593.00	0	0
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: LAWRENCE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No:MA06P01050101 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
MA06P010001	Bathroom Up Grades 50 Units Exterior Painting	1460 1460		250,000 300,000		0 0	0 0	
	Totals			550,000				
MA06P010002	Foundation Stairs Repairs Flooring Floor Joist Repair Kitchen Counter Tops Boiler Room Maku-up Air Tree Trim and Site Improve.	1460 1460 1460 1460 1450		350,000 250,000 50,000 50,000 30,000		0 0 0 0 0	0 0 0 0 0	
	Totals			730,000		0	0	
MA06P010003	Water Saving Devices Deck & Porch Repair	1460 1460		40,000 100,000		0 0	0 0	
	Totals			140,000		0	0	
MA06P010004	Deck & Porch Repair	1460		100,000		0	0	
	Totals			100,000		0	0	
MA06P010005	Paint & Vinyl Covering	1460		60,000		0	0	
	Totals			60,000		0	0	
MA06P010006	Lobby Flooring Elevator Upgrade Community Room Ventilating Site & Tree Trim	1460 1460 1470 1460 1450		10,000 20,000 30,000 30,000 10,000		0 0 0 0 0	0 0 0 0 0	
	Totals			100,000		0	0	

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: LAWRENCE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No:MA06P01050101 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
MA06P010007	Bathroom Vanity Tree Trim & Site Work	1460 1450		30,000 10,000		0 0	0 0	
	Totals			40,000		0	0	
MA06P010015	Stair Treads & Entrance Way	1460		50,000		0	0	
	Totals			50,000		0	0	
PHA/WIDE ADM..	Administration Fees & Costs	1410 1430		160,000 130,000		0 0	0 0	
	Totals			290,000		0	0	
PHA/WIDE MNGT.	Management Improvements	1408		152,593		0	0	
	Totals			152593		0	0	

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part III: Implementation Schedule**

PHA Name: Lawrence Housing Authority

Grant Type and Number

Capital Fund Program No: MA06P01050101

Replacement Housing Factor No:

Federal FY of Grant: 2001

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
MA 10-1	12/31/02			6/30/04			
MA 10-2	12/31/02			6/30/04			
MA 10-3	12/31/02			6/30/04			
MA 10-4	12/31/02			6/30/04			
MA 10-5	12/31/02			6/30/04			
MA 10-6	12/31/02			6/30/04			
MA 10-7	12/31/02			6/30/04			
MA 10-15	12/31/02			6/30/04			
PHA/WIDE ADMIN	12/31/02			6/30/04			
PHA/WIDE MNGT.	12/31/02			6/30/04			

Capital Fund Program Five-Year Action Plan

Attachment "J"

Part I: Summary

PHA Name Lawrence Housing Authority		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2003 PHA FY:	Work Statement for Year 3 FFY Grant: 2004 PHA FY:	Work Statement for Year 4 FFY Grant: 2005 PHA FY:	Work Statement for Year 5 FFY Grant: 2006 PHA FY:
	Annual Statement				
MA06P010001		1,190,000	480,000	0	580,000
MA06P010002		90,000	340,000	200,000	60,000
MA06P010003		185,000	100,000	120,000	330,000
MA06P010004		40,000	325,000	270,000	100,000
MA06P010005		4,000	5,000	160,000	0
MA06P010006		58,000	150,000	150,000	0
MA06P010007		10,000	10,000	400,000	300,000
MA06P010015		5,000	50,000	50,000	160,000
PHA-WIDE		630,000	530,000	1,180,000	1,180,000
CFP Funds Listed for 5-year planning					
		2,212,000	1,990,000	2,530,000	2,710,000
Replacement Housing Factor Funds					

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: 2003 PHA FY: 2003			Activities for Year: <u>3</u> FFY Grant: 2004 PHA FY: 2004		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	MA06P010001	Bathroom Up-Grade	900,000	MA06P010001	Locksets	30,000
Annual		Site Improvement	150,000		504 Barrier Free	450,000
Statement		Dumpster Enclosure	50,000			
		Hot Water Storage	80,000			
		Water Saving Device	10,000			
	MA06P010002	Hot Water Storage	80,000	MA06P010002	504 Barrier Free	100,000
		Tree Trim	10,000		Interior Paint	100,000
					Gas Line Repair	140,000
	MA06P010003	Locksets	15,000	MA06P010003	Oil Tank Removal	80,000
		504 Barrier Free	150,000		Tree Trim	20,000
		Water Saving	20,000			
	MA06P010004	Closet Shelves	30,000	MA06P010004	Trim Tree	10,000
		Hallway Stairs	10,000		Closet Doors	40,000
					504 Barrier Free	275,000
	MA06P010005	Lockset	4,000	MA06P010005	Site Work	5,000
	MA06P010006	Locksets	8,000	MA06P010006	Roof Entrance	100,000
		Flooring	50,000		Site Work	50,000
	MA06P010007	Locksets	10,000	MA06P010007	Site Work	10,000
	MA06P010015	Sheet Rocking	5,000	MA06P010015	Site Work	50,000
	PHA WIDE MNGT.	Administration	160,000	PHA/WIDE MANGT.	Administration	160,000
		Security	120,000		Computer/Software	100,000
		Resident Training	50,000		Security	120,000
		Community Facility	200,000		Resident Training	50,000
		A/E fees	100,000		A/E Fees	100,000
	Total CFP Estimated Cost		\$2,212,000			\$1,990,000

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year: <u> 4 </u> FFY Grant: 2005 PHA FY: 2005			Activities for Year: <u> 5 </u> FFY Grant: 2006 PHA FY: 2006		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<i>MA05P010001</i>		0	<i>MA06P010001</i>	<i>Heating Hot Water</i>	500,000
				<i>Multi-Service upgrade</i>	80,000
MA06P010002	Door Canopies	200,000	MA06P010002	Dumpster Enclosure	20,000
				Locksets	30,000
				Cellar Lights	10,000
MA06P010003	Closet Doors	90,000	MA06P010003	Bathroom Upgrades	300,000
	Closet Shelves	30,000		Site Work	30,000
MA06P010004	Locksets	20,000	MA06P010004	Site Work	100,000
	Bathroom Up-Grade	250,000			
MA06P010005	Bathroom Up-Grade	160,000	MA06P010005		0
MA06P010006	504 Barrier Free	100,000	MA06P010006		0
	Bathroom Upgrade	50,000			
MA06P010007	Bathroom Renovation	400,000	MA06P010007	Generator	200,000
				Flooring	100,000
MA06P010015	Flooring	50,000	MA06P010015	Bathroom Upgrade	160,000
PHA/WIDE MNGT.	Administration	160,000	PHA/WIDE MNGT.	Administration	160,000
	Security	120,000		Security	120,000
	Resident Training	50,000		Resident Training	50,000
	Central Garage/Office Facility	750,000		Central Garage/Office Facility	750,000
	A/E/ Fees	100,000		A/E Fees	100,000
Total CFP Estimated Cost		\$ 2,530,000			\$ 2,710,000

