

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004

Annual Plan for Fiscal Year 2001-02

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: LAKE CHARLES HOUSING AUTHORITY

PHA Number: LA004

PHA Fiscal Year Beginning: 10/01

Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)**

- X Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- X Main administrative office of the PHA
- X PHA development management offices
- ☐ PHA local offices
- X Main administrative office of the local government
- ☐ Main administrative office of the County government
- ☐ Main administrative office of the State government
- ☐ Public library
- ☐ PHA website
- ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- x Main business office of the PHA
- X PHA development management offices
- X Other (list below)
RESIDENT ADVISORY BOARD OFFICE

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004

[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- X The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

The PHA's mission is: (state mission here)

**TO PROVIDE SAFE, DECENT, AFFORDABLE HOUSING TO LOW
INCOME FAMILIES**

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- X PHA Goal: Expand the supply of assisted housing
Objectives:
X Apply for additional rental vouchers:
X Reduce public housing vacancies:
X Leverage private or other public funds to create additional housing opportunities:
X Acquire or build units or developments
☐ Other (list below)
- X PHA Goal: Improve the quality of assisted housing
Objectives:
X Improve public housing management: (PHAS score)
X Improve voucher management: (SEMAP score)
X Increase customer satisfaction:

- X Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
 - X Renovate or modernize public housing units:
 - ☐ Demolish or dispose of obsolete public housing:
 - ☐ Provide replacement public housing:
 - X Provide replacement vouchers:
 - ☐ Other: (list below)
- X PHA Goal: Increase assisted housing choices
- Objectives:
- X Provide voucher mobility counseling:
 - X Conduct outreach efforts to potential voucher landlords
 - X Increase voucher payment standards
 - X Implement voucher homeownership program:
 - X Implement public housing or other homeownership programs:
 - ☐ Implement public housing site-based waiting lists:
 - ☐ Convert public housing to vouchers:
 - ☐ Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- X PHA Goal: Provide an improved living environment
- Objectives:
- X Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - X Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - X Implement public housing security improvements:
 - X Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - X Other: (list below)
 - A) Foot patrols by uniformed off duty City Police
 - B) Security lighting
 - C) Security Fencing
 - D) Trimming/removing trees

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- X PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:
- X Increase the number and percentage of employed persons in assisted families:
 - X Provide or attract supportive services to improve assistance recipients' employability:
 - X Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - ☐ Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- X PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
- X Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - X Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - X Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

To increase LCHA PHAS Scores

Continue to enforce our "One Strike" policies for residents and applicants

To have a 10 day turnover time on vacated Units

To improve and /or maintain our financial stability through aggressive rent collections and improved reserve position.

Annual PHA Plan PHA Fiscal Year 2001

[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

☒ **Standard Plan**

Streamlined Plan:

- ☐ **High Performing PHA**
- ☐ **Small Agency (<250 Public Housing Units)**
- ☐ **Administering Section 8 Only**

☐ **Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The LCHA is a medium sized authority managing 835 Low Rent Units at 11 scattered sites, approximately 1200 Voucher Units , 198 Managed Units of elderly at a High-rise Complex and 123 Units owned and managed by the LCHA under Section 8 Guidelines. Our PHAS Score of 81 FYE 2001 has rendered us a Standard Performing Authority. The LCHA has appealed this score. We are located in Lake Charles, Calcasieu Parish, State of Louisiana. The LCHA through its Mission Statement insures all residents the opportunity to access resources for improving their quality of life in a safe and secure place to live and realize their potential. We have scheduled training for our managers/assistants in People skills, training for our Maintenance personnel on Work Order management and inspections and Administrative personnel in related development skills. The LCHA has in place a Deconcentration Policy to ensure deconcentration of poverty and promote integration in Public Housing. In addition the LCHA has adopted a revised pet Policy to accommodate those residents who need pets.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- X Admissions Policy for Deconcentration
- X FY 2000 Capital Fund Program Annual Statement
- X Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- X PHA Management Organizational Chart
- ☐ FY 2000 Capital Fund Program 5 Year Action Plan
- ☐ Public Housing Drug Elimination Program (PHDEP) Plan
- X Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)

☐ Other (List below, providing each attachment name)

ATTACHMENTS

| | |
|---|--------------|
| Resident Advisory Council Certification | Attachment A |
| PHA Plan Goals | Attachment B |
| Low Rent Certification | Attachment C |
| Deconcentration Certification | Attachment D |
| Civil Rights Certification | Attachment E |
| Needs Assessment | Attachment F |
| Home Ownership | Attachment G |
| CGP Policy | Attachment H |
| LCHA Certification to Five Year Plan | Attachment I |
| Organizational Chart | Attachment J |
| Mayor's Certification | Attachment K |
| Comprehensive Grant Program 1999 | Attachment L |
| Capital Fund Program 2000 | Attachment M |
| Capital Fund Program 2001 | Attachment N |
| Capital Fund Program Five Year Plan | Attachment O |
| Statement of Income & Expenses | Attachment P |
| Operating Budget | Attachment Q |

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| List of Supporting Documents Available for Review | | |
|--|---|--|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| YES | PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations | 5 Year and Annual Plans |
| YES | State/Local Government Certification of Consistency with the Consolidated Plan | 5 Year and Annual Plans |
| YES | Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement. | 5 Year and Annual Plans |
| YES | Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI))) and any additional backup data to support statement of housing needs in the jurisdiction | Annual Plan: Housing Needs |
| YES | Most recent board-approved operating budget for the public housing program | Annual Plan: Financial Resources; |
| YES | Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP] | Annual Plan: Eligibility, Selection, and Admissions Policies |
| YES | Section 8 Administrative Plan | Annual Plan: Eligibility, Selection, and Admissions Policies |
| YES | Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial</i> | Annual Plan: Eligibility, Selection, and Admissions Policies |

| List of Supporting Documents Available for Review | | |
|--|---|--|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| YES | <i>Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis | |
| | Public housing rent determination policies, including the methodology for setting public housing flat rents X check here if included in the public housing A & O Policy | Annual Plan: Rent Determination |
| | Schedule of flat rents offered at each public housing development X check here if included in the public housing A & O Policy | Annual Plan: Rent Determination |
| | Section 8 rent determination (payment standard) policies X check here if included in Section 8 Administrative Plan | Annual Plan: Rent Determination |
| YES | Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation) | Annual Plan: Operations and Maintenance |
| | Public housing grievance procedures X check here if included in the public housing A & O Policy | Annual Plan: Grievance Procedures |
| | Section 8 informal review and hearing procedures X check here if included in Section 8 Administrative Plan | Annual Plan: Grievance Procedures |
| YES | The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year | Annual Plan: Capital Needs |
| N/A | Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant | Annual Plan: Capital Needs |
| YES | Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option) | Annual Plan: Capital Needs |
| | Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing | Annual Plan: Capital Needs |
| | Approved or submitted applications for demolition and/or disposition of public housing | Annual Plan: Demolition and Disposition |
| | Approved or submitted applications for designation of public housing (Designated Housing Plans) | Annual Plan: Designation of Public Housing |
| | Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act | Annual Plan: Conversion of Public Housing |
| | Approved or submitted public housing homeownership programs/plans | Annual Plan: Homeownership |
| | Policies governing any Section 8 Homeownership program | Annual Plan: |

| List of Supporting Documents Available for Review | | |
|--|---|---|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| | X check here if included in the Section 8 Administrative Plan | Homeownership |
| YES | Any cooperative agreement between the PHA and the TANF agency | Annual Plan: Community Service & Self-Sufficiency |
| YES | FSS Action Plan/s for public housing and/or Section 8 | Annual Plan: Community Service & Self-Sufficiency |
| | Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports | Annual Plan: Community Service & Self-Sufficiency |
| | The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan) | Annual Plan: Safety and Crime Prevention |
| YES | The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings | Annual Plan: Annual Audit |
| | Troubled PHAs: MOA/Recovery Plan | Troubled PHAs |
| | Other supporting documents (optional) (list individually; use as many lines as necessary) | (specify as needed) |
| | | |

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

| Housing Needs of Families in the Jurisdiction by Family Type | | | | | | | |
|---|----------------|----------------------------|---------------|----------------|----------------------------|-------------|-----------------------|
| Family Type | Overall | Afford- ability | Supply | Quality | Access- ibility | Size | Loca- tion |
| Income <= 30% of AMI | 1,022 | 5 | 5 | 5 | 3 | 3 | 2 |
| Income >30% but <=50% of AMI | 700 | 5 | 5 | 4 | 3 | 3 | 2 |
| Income >50% but <80% of AMI | 960 | 2 | 2 | 2 | 2 | 2 | 2 |
| Elderly | | | | | | | |

| Housing Needs of Families in the Jurisdiction by Family Type | | | | | | | |
|---|---------|--------------------|--------|---------|--------------------|------|---------------|
| Family Type | Overall | Afford- ability | Supply | Quality | Access- ibility | Size | Loca- tion |
| Families with Disabilities | 60 | | | | | | |
| Race/Ethnicity | 2000 | 5 | 5 | 5 | 3 | 3 | 2 |
| Race/Ethnicity | 442 | 5 | 5 | 5 | 3 | 3 | 2 |
| Race/Ethnicity | 22 | 5 | 5 | 5 | 3 | 3 | 2 |
| Race/Ethnicity | | | | | | | |

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- X Consolidated Plan of the Jurisdiction/s
Indicate year: 01
- X U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
American Housing Survey data
Indicate year:
Other housing market study
Indicate year:
Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

| Housing Needs of Families on the Waiting List |
|---|
|---|

| Housing Needs of Families on the Waiting List | | | |
|--|---------------|---------------------|-----------------|
| Waiting list type: (select one) Section 8 tenant-based assistance Public Housing X Combined Section 8 and Public Housing Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction: | | | |
| | # of families | % of total families | Annual Turnover |
| Waiting list total | 579 | | 758 HOUSED |
| Extremely low income <=30% AMI | | | |
| Very low income (>30% but <=50% AMI) | | | |
| Low income (>50% but <80% AMI) | | | |
| Families with children | 439 | | |
| Elderly families | 23 | | |
| Families with Disabilities | 117 | | |
| Race/ethnicity W | 92 | | |
| Race/ethnicity B | 482 | | |
| Race/ethnicity H | 4 | | |
| Race/ethnicity | | | |
| | | | |
| Characteristics by Bedroom Size (Public Housing Only) | | | |
| 1BR | 11 | | 35 |
| 2 BR | 60 | | 104 |
| 3 BR | 22 | | 113 |
| 4 BR | 7 | | 13 |
| 5 BR | | | |
| 5+ BR | | | |

| Housing Needs of Families on the Waiting List |
|---|
| <p>Is the waiting list closed (select one)? X No <input type="checkbox"/> Yes</p> <p>If yes:</p> <p>How long has it been closed (# of months)?</p> <p>Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes</p> <p>Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No X Yes</p> |

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

It has been the LCHA's goal and objective to provide decent, safe and affordable housing to low income persons from our Waiting List. We will ensure equal opportunity for everyone who applies as well as promote self-sufficiency and asset development of financially disadvantaged families. We will work to improve community quality of life and economic vitality and increase resident participation through our Resident Advisory Council. The LCHA has already improved its response time to residents request for maintenance problems and have, whenever possible, had a 10 day turnover time on vacated units. The LCHA continues to enforce our "One-strike" you're out policies for residents and applicants and last we continue to improve and maintain our financial stability through aggressive rent collections and improved reserve position.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- X Employ effective maintenance and management policies to minimize the number of public housing units off-line
- X Reduce turnover time for vacated public housing units
- X Reduce time to renovate public housing units
- X Seek replacement of public housing units lost to the inventory through mixed finance development
- X Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- X Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction

- X Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
 - X Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
 - X Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
 - X Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- X Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

The Lake Charles Housing Authority through the Affordable Housing Grant, Federal Home Loan Bank has outright purchased 123 additional Units and follow the Section 8 Guidelines of management.

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- X Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- X Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- X Employ admissions preferences aimed at families with economic hardships
- X Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- X Employ admissions preferences aimed at families who are working
- X Adopt rent policies to support and encourage work

Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- X Seek designation of public housing for the elderly
 - X Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- X Seek designation of public housing for families with disabilities
 - X Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
 - X Apply for special-purpose vouchers targeted to families with disabilities, should they become available
 - X Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- X Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- X Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- X Market the section 8 program to owners outside of areas of poverty /minority concentrations

Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- X Funding constraints
- Staffing constraints
- X Limited availability of sites for assisted housing
- X Extent to which particular housing needs are met by other organizations in the community
- X Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- X Influence of the housing market on PHA programs
- X Community priorities regarding housing assistance
- X Results of consultation with local or state government
- X Results of consultation with residents and the Resident Advisory Board
- X Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

| Financial Resources: Planned Sources and Uses | | |
|---|-------------------|---------------------|
| Sources | Planned \$ | Planned Uses |
| 1. Federal Grants (FY 2000 grants) | | |
| a) Public Housing Operating Fund | 1,322,085.00 | |
| b) Public Housing Capital Fund | 3,125,011.00 | |
| c) HOPE VI Revitalization | | |
| d) HOPE VI Demolition | | |
| e) Annual Contributions for Section 8 Tenant-Based Assistance | 6,945,410.00 | |

| Financial Resources: Planned Sources and Uses | | |
|---|-------------------|---------------------|
| Sources | Planned \$ | Planned Uses |
| f) Public Housing Drug Elimination Program (including any Technical Assistance funds) | 250,000.00 | |
| g) Resident Opportunity and Self-Sufficiency Grants | 14,937.00 | |
| h) Community Development Block Grant | | |
| i) HOME | | |
| Other Federal Grants (list below) | | |
| SHELTER PLUS CARE | 160,000.00 | |
| 2. Prior Year Federal Grants (unobligated funds only) (list below) | | |
| | | |
| | | |
| | | |
| 3. Public Housing Dwelling Rental Income | 1,340,564.00 | |
| | | |
| | | |
| 4. Other income (list below) | 69,000.00 | |
| | | |
| | | |
| 4. Non-federal sources (list below) | | |
| | | |
| | | |
| | | |
| Total resources | 12,977,007.00 | |
| | | |
| | | |

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

- a. When does the PHA verify eligibility for admission to public housing? (select all that apply)
- X When families are within a certain number of being offered a unit: (state number) 10
- X When families are within a certain time of being offered a unit: (state time) approximately 1 month
- Other: (describe)
- b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?
- X Criminal or Drug-related activity
- X Rental history
- X Housekeeping
- Other (describe)
- c. Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

- a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)
- X Community-wide list
- ☐ Sub-jurisdictional lists
- ☐ Site-based waiting lists
- ☐ Other (describe)
- b. Where may interested persons apply for admission to public housing?
- X PHA main administrative office at the Applications Department
- ☐ PHA development site management office
- ☐ Other (list below)
- c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? The LCHA has been successful with its centralized applications department.
2. ☐ Yes ☐ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?
3. Yes ☐ No: May families be on more than one list simultaneously
If yes, how many lists? **LOW RENT PUBLIC HOUSING & SECTION 8 VOUCHER**
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
 - ☒ PHA main administrative office
 - ☒ All PHA development management offices
 - ☐ Management offices at developments with site-based waiting lists
 - ☒ At the development to which they would like to apply
 - ☐ Other (list below)

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
 - ☐ One
 - ☒ Two
 - ☐ Three or More
- b. ☒ Yes ☐ No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

- a. Income targeting:
 - ☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- ☒ Emergencies
- ☒ Overhoused
- ☒ Underhoused
- ☒ Medical justification
- ☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)
- ☐ Resident choice: (state circumstances below)
- ☐ Other: (list below)

c. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- ☒ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
- ☒ Substandard housing
- ☒ Homelessness
- ☒ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- ☒ Working families and those unable to work because of age or disability
- ☒ Veterans and veterans' families
- ☒ Residents who live and/or work in the jurisdiction
- ☒ Those enrolled currently in educational, training, or upward mobility programs
- ☒ Households that contribute to meeting income goals (broad range of incomes)
- ☒ Households that contribute to meeting income requirements (targeting)
Those previously enrolled in educational, training, or upward mobility programs
Victims of reprisals or hate crimes
Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or

through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

1 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 2 Victims of domestic violence
- 1 Substandard housing
- 2 Homelessness
- 1 High rent burden

Other preferences (select all that apply)

- 1 Working families and those unable to work because of age or disability
- 2 Veterans and veterans’ families
- 2 Residents who live and/or work in the jurisdiction
- 2 Those enrolled currently in educational, training, or upward mobility programs
- 1 Households that contribute to meeting income goals (broad range of incomes)
- 2 Households that contribute to meeting income requirements (targeting)
- 2 Those previously enrolled in educational, training, or upward mobility programs
- 2 Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- ☐ The PHA applies preferences within income tiers
- X Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- X The PHA-resident lease
- X The PHA’s Admissions and (Continued) Occupancy policy
- X PHA briefing seminars or written materials
- X Other source (list)
RESIDENT HANDBOOK

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- ☒ At an annual reexamination and lease renewal
- ☒ Any time family composition changes
- ☒ At family request for revision
- ☐ Other (list)

(6) Deconcentration and Income Mixing

a. ☒ Yes ☐ No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. ☒ Yes ☐ No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

☐ Adoption of site-based waiting lists
If selected, list targeted developments below:

☒ Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments

If selected, list targeted developments below:

LA4-1 BOOKER T. WASHINGTON COURTS

LA4-3 Clark Courts

LA4-3A Jones Manor

LA4-4 CARVER COURTS

☒ Employing new admission preferences at targeted developments

If selected, list targeted developments below:

☐ Other (list policies and developments targeted below)

d. ☒ Yes ☐ No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- ☒ Additional affirmative marketing
- ☒ Actions to improve the marketability of certain developments
- ☒ Adoption or adjustment of ceiling rents for certain developments
- ☒ Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- ☐ Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- ☐ List (any applicable) developments below:

LA4-1 Booker T. Washington Courts

LA4-4 Carver Courts

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- ☐ List (any applicable) developments below:

LA4-9 Woodway park

LA4-10 Meadow Park

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- ☒ Criminal or drug-related activity only to the extent required by law or regulation
- ☒ Criminal and drug-related activity, more extensively than required by law or regulation
- ☒ More general screening than criminal and drug-related activity (list factors below)
- ☐ Other (list below)

b. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. ☐ Yes ☒ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

X Criminal or drug-related activity

X Other (describe below)

RENTAL HISTORY

HOUSEKEEPING

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

☐ None

X Federal public housing

X Federal moderate rehabilitation

X Federal project-based certificate program

☐ Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

X PHA main administrative office

☐ Other (list below)

(3) Search Time

a. X Yes ☐ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

IF A CLIENT IS DISABLED, ELDERLY OR HANDICAPPED AND FINDING IT
DIFFICULT TO SECURE A UNIT FOR THEIR NEEDS

(4) Admissions Preferences

a. Income targeting

☐ Yes X No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. ☐ Yes X No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip

to subcomponent (5) **Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☐ Victims of domestic violence
- ☐ Substandard housing
- ☒ Homelessness
- ☐ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- ☒ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 2 Victims of domestic violence
- 2 Substandard housing
- 1 Homelessness
- 3 High rent burden

Other preferences (select all that apply)

- X Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- X Date and time of application
- ☐ Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- X This preference has previously been reviewed and approved by HUD
- X The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- ☐ The PHA applies preferences within income tiers
- X Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- X The Section 8 Administrative Plan
- X Briefing sessions and written materials
- ☐ Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- X Through published notices

☐ Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

X The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0
☐ \$1-\$25
X \$26-\$50

2. ☐ Yes X No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. ☐ Yes ☒ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

☐ For the earned income of a previously unemployed household member

☒ For increases in earned income

☐ Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

☐ Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

☒ For household heads

☒ For other family members

☐ For transportation expenses

☐ For the non-reimbursed medical expenses of non-disabled or non-elderly families

☐ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

☒ Yes for all developments

☐ Yes but only for some developments

☐ No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

☒ For all developments

☐ For all general occupancy developments (not elderly or disabled or elderly only)

☐ For specified general occupancy developments

☐ For certain parts of developments; e.g., the high-rise portion

☐ For certain size units; e.g., larger bedroom sizes

☐ Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- ☐ Market comparability study
- ☐ Fair market rents (FMR)
- ☒ 95th percentile rents
- ☐ 75 percent of operating costs
- ☐ 100 percent of operating costs for general occupancy (family) developments
- ☐ Operating costs plus debt service
- ☐ The “rental value” of the unit
- ☐ Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- ☐ Never
- ☐ At family option
- ☒ Any time the family experiences an income increase
- ☐ Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- ☐ Other (list below)

g. ☐ Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- ☒ The section 8 rent reasonableness study of comparable housing
- ☐ Survey of rents listed in local newspaper
- ☐ Survey of similar unassisted units in the neighborhood
- ☐ Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies .

a. What is the PHA’s payment standard? (select the category that best describes your standard)

- ☒ At or above 90% but below 100% of FMR

- ☐ 100% of FMR
- ☐ Above 100% but at or below 110% of FMR
- ☐ Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- X FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ The PHA has chosen to serve additional families by lowering the payment standard
- ☐ Reflects market or submarket
- ☐ Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- ☐ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ Reflects market or submarket
- X To increase housing options for families
- ☐ Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- X Annually
- ☐ Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- X Success rates of assisted families
- X Rent burdens of assisted families
- ☐ Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0
- ☐ \$1-\$25
- X \$26-\$50

b. ☐ Yes X No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- ☒ An organization chart showing the PHA's management structure and organization is attached.
- ☐ A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

?? List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

| Program Name | Units or Families Served at Year Beginning | Expected Turnover |
|---|--|-------------------|
| Public Housing | 835 | |
| Section 8 Vouchers | 1500 + | |
| Section 8 Certificates | | |
| Section 8 Mod Rehab | | |
| Special Purpose Section 8 Certificates/Vouchers (list individually) | | |
| Public Housing Drug Elimination Program (PHDEP) | 835 | |
| | | |
| | | |
| Other Federal Programs(list individually) | | |
| | | |
| | | |

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

Public Housing Lease Agreement

Occupancy policy

Improvement Plan

Maintenance Plan

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. ☐ Yes ☒ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- ☐ PHA main administrative office
☒ PHA development management offices
☐ Other (list below)

B. Section 8 Tenant-Based Assistance

1. ☐ Yes ☒ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- ☐ PHA main administrative office
☒ Other (list below)
SECTION 8 ADMISTRATIVE OFFICE

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

☒ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

☐ The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

Annual Statement / Performance and Evaluation Report on Replacement Reserve Comprehensive Grant Program (CGP)

U. S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2517-0157
(exp. 3/31/2002)

See page 3 for Instructions and Public Reporting burden statement

Part I: Summary

(ALL GRANTS) LA48P-004

HUD Item

Issuing Authority of the City of Lake Charles

Submission (mark one)
☒ Original Annual Statement
☐ Performance & Evaluation for Program Year Ending 3/31/02

☐ Revised Annual Statement / Revision No. _____

Section 1: Replacement Reserve Status must be completed each year there is a balance in the replacement reserve.

| Estimated | Actual |
|--|-----------|
| 1. Replacement Reserve Interest Earned (account 6200/1420, 7; equals line 18 of section 2, below) | 13,412 |
| 2. Replacement Reserve Withdrawal (equals line 18 of section 2, below) | -0- |
| 3. Net Impact on Replacement Reserve (line 1 minus line 2; equals line 18 of section 2, below) | 13,412 |
| 4. Current FFY Funding for Replacement Reserve (line 15 of form HUD-52837) | 499,131 |
| 5. Replacement Reserve Balance at End of Previous Program Year (account 2830) | 550,012 |
| 6. Replacement Reserve Balance at End of Current Program Year (line 4 + line 5 + (or -) line 3) (account 2830) | 1,062,555 |

Section 2: Replacement Reserve Withdrawal Report. Complete this section if there is withdrawal/expense activity.

Summary by Account (6200 subaccount)

| Column 1 Original | Estimated Cost Column 2 Revised | Actual Cost Column 3 Expended |
|---|------------------------------------|----------------------------------|
| 1. Reserved | | |
| 2. 1400 Operations | | |
| 3. 1406 Management Improvements | | |
| 4. 1410 Administration | | |
| 5. 1415 Liquidated Damages | | |
| 6. 1420 Fees and Costs | | |
| 7. 1440 Site Acquisition | | |
| 8. 1450 Sites Improvement | | |
| 9. 1460 Dwelling Structures | | |
| 10. 1465 Dwelling Equipment -Nonexpendable | | |
| 11. 1470 Nondwelling Structures | | |
| 12. 1475 Nondwelling Equipment | | |
| 13. 1485 Demolition | | |
| 14. 1495 Relocation Costs | | |
| 15. 1498 Mod Used for Development | | |
| 16. Replacement Reserve Withdrawal (sum of lines 2 thru 15) | | -0- |
| 17. 1420, 7. Replacement Reserve Interest Income | | 13,412 |
| 18. Net Withdrawal from Replacement Reserve (line 16 minus line 17) | | -0- |
| 19. Amount of line 16 related to LBP Activities | | |
| 20. Amount of line 16 related to Section 504 Compliance | | |
| 21. Amount of line 16 related to Emergencies | | |

Signature of the Executive Director

S. Ben Taylor, Jr., Executive Director

6/28/02

Signature of the Field Office Manager

Date

form HUD-42842 (10/90)
ref. Handbook 7485.3

**Annual Statement / Performance and
Evaluation Report on Replacement Reserve
Part II: Supporting Pages**

Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

(ALL GRANTS) 1A48P0044

| Development Number/Name HA/YS Activities | General Description of Major Work Categories | Development Account Number | Total Estimated Original | Revised 1/ | Total Actual Cost Funds Obligated 1/ | Funds Expended 1/ | Status of Proposed Work 1/ |
|---|---|----------------------------------|-----------------------------|------------|---|-------------------|----------------------------|
| N/A (NOTHING SPENT THIS YEAR) | | | | | | | |

1/ To be completed at the end of the program year.

Page ____ of ____

Form HUD-52842 (10/90)
ref. Handbook 7485.3

CAPITAL FUND PROGRAM TABLES START HERE

| Annual Statement/Performance and Evaluation Report | | | | | |
|--|---|---|------------|----------------------|------------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | Federal FY of Grant: | |
| PIA Name: HOUSING AUTHORITY OF THE CITY OF LAKE CHARLES | | Grant Type and Number Capital Fund Program Grant No: LA48P004-708-99 | | 1999 | |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/02 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | 220,000.00 | 224,007.19 | 224,007.19 | 224,007.19 |
| 2 | 1406 Operations | | | | |
| 3 | 1408 Management Improvements | 3,000.00 | 3,000.00 | 3,000.00 | 190.00 |
| 4 | 1410 Administration | | | | |
| 5 | 1411 Audit | 105,000.00 | 18,662.32 | 18,662.32 | 18,662.32 |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 376,342.76 | 338,735.88 | 338,735.88 | 318,930.89 |
| 8 | 1440 Site Acquisition | 413,485.56 | 513,422.93 | 513,422.93 | 452,768.27 |
| 9 | 1450 Site Improvement | 59,667.71 | 79,667.71 | 79,667.71 | 59,667.71 |
| 10 | 1460 Dwelling Structures | 101,540.00 | 101,540.00 | 101,540.00 | 101,540.00 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 20,277.97 | 20,277.97 | 20,277.97 | 20,277.97 |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | 200,000.00 | 200,000.00 | 200,000.00 | 200,000.00 |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collateralization or Debt Service | | | | |

| Annual Statement/Performance and Evaluation Report | | | | | |
|--|---|---|--------------|---------------------------|--------------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | | |
| PHA Name: HOUSING AUTHORITY OF THE CITY OF LAKE CHARLES | | Grant Type and Number Capital Fund Program Grant No: LA48P004-708-99 | | Federal FY of Grant: 1999 | |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/02 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 20 | 1502 Contingency | | | | |
| 21 | Amount of Annual Grant. (sum of lines 2 - 20) | 1,499,314.00 | 1,499,314.00 | 1,499,314.00 | 1,396,044.35 |
| 22 | Amount of line 21 Related to LBP Activities | | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | | | | |
| 24 | Amount of line 21 Related to Security - Soft Costs | | | | |
| 25 | Amount of line 21 Related to Security - Hard Costs | | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: HOUSING AUTHORITY OF THE CITY OF LAKE CHARLES | | Grant Type and Number Capital Fund Program Grant No: LA48P004-708-99 Replacement Housing Factor Grant No: | | Federal FY of Grant: 1999 | | | |
|---|---|---|----------|---------------------------|------------|-------------------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended |
| LAA-ALL | Management Improvement | 1408 | | 200,000.00 | 224,007.19 | 224,007.19 | 224,007.19 |
| | Resident Training | | | 200,000.00 | 224,007.19 | 224,007.19 | 224,007.19 |
| | SUBTOTAL | | | | | | |
| | | | | 20,277.97 | 20,277.97 | 20,277.97 | 20,277.97 |
| | Mod. Coordinator vehicle | 1475 | | 20,277.97 | 20,277.97 | 20,277.97 | 20,277.97 |
| | SUBTOTAL | | | | | | |
| | | | | 3,000.00 | 3,000.00 | 3,000.00 | 190.00 |
| | SUBTOTAL | | 1411 | 3,000.00 | 3,000.00 | 3,000.00 | 1900.00 |
| | | | | | | | |
| | A&E Fees | 1430 | | 105,000.00 | 18,662.32 | 18,662.32 | 18,662.32 |
| | SUBTOTAL | | | 105,000.00 | 18,662.32 | 18,662.32 | 18,662.32 |
| | | | | | | | |
| | | | | 106,630.00 | 65,378.13 | 65,378.13 | 65,378.13 |
| LAA-1 | Site Imp(Sidewalks, Parking, & Roofs) | 1450 | | | | | |
| LAA-2 | Site Imp(Sidewalks, Parking) | 1450 | | 37,000.00 | 43,562.99 | 37,000.00 | 37,000.00 |
| LAA-3 | Site Imp(Gas Meters) | 1450 | | 112,128.00 | 109,210.00 | 109,210.00 | 109,210.00 |
| LAA-5 | Site Imp/Water/Sys+Fire Protection+Fire Hydrants) | 1450 | | 107,342.76 | 107,342.76 | 107,342.76 | 107,342.76 |
| LAA-8 | Site Imp(Parking) | 1450 | | 13,242.00 | 13,242.00 | 13,242.00 | -0- |
| | SUBTOTAL | | | 376,342.76 | 338,735.88 | 332,172.89 | 318,930.89 |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: HOUSING AUTHORITY OF THE CITY OF LAKE CHARLES | | | Grant Type and Number Capital Fund Program Grant No: LA48P004-708-99 Replacement Housing Factor Grant No: | | Federal FY of Grant: 1999 | | | |
|---|--|---------------|---|----------------------|---------------------------|-------------------|------------------|----------------|
| Development Number Name/IA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| LA4-ALL | Re-roof Central Maint. Bldg. | 1470 | | 101,540.00 | 101,540.00 | 101,540.00 | 97,890.00 | |
| | SUBTOTAL | | | 101,540.00 | 101,540.00 | 101,540.00 | 97,890.00 | |
| LA4-1 | Termite Treatment | 1460 | | 16,430.00 | 16,430.00 | 16,430.00 | 16,430.00 | |
| BTW COURTS | Replace Ceilings, Blinds, Painting, etc | 1460 | | | 12,000.00 | 12,000.00 | 4,225.26 | |
| LA4-2 | Termite Treatment | 1460 | | 12,076.00 | 12,076.00 | 12,076.00 | 12,076.00 | |
| HIGH SCHOOL PARK | Replace Ceilings, Blinds, Painting, etc | 1460 | | | 20,000.00 | 20,000.00 | 15,894.56 | |
| LA4-3A | Termite Treatment | 1460 | | 24,146.00 | 24,146.00 | 24,146.00 | 24,146.00 | |
| | Replace Ceilings, Blinds, Painting, etc | 1460 | | | 19,000.00 | 19,000.00 | 4,048.62 | |
| LA4-4 | Termite Treatment | 1460 | | 15,759.00 | 15,759.00 | 15,759.00 | 15,759.00 | |
| | Replace Ceilings, Blinds, Painting, etc | 1460 | | 31,491.00 | 20,000.00 | 20,000.00 | 10,133.86 | |
| LA4-5 | Termite Treatment | 1460 | | 26,158.00 | 26,158.00 | 26,158.00 | 26,158.00 | |
| LLOYD OAKS | Replace Ceilings, Blinds, Painting, etc | 1460 | | 50,000.00 | 83,195.01 | 83,195.01 | 82,487.15 | |
| LA4-6 | Termite Treatment | 1460 | | 13,417.00 | 13,417.00 | 13,417.00 | 13,417.00 | |
| GOLDEN ARMS | Replace Ceilings, Blinds, Painting, etc | 1460 | | 40,000.00 | 50,000.00 | 50,000.00 | 40,000.00 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: HOUSING AUTHORITY OF THE CITY OF LAKE CHARLES | | Grant Type and Number Capital Fund Program Grant No: LA48P004-708-99 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 1999 | | | |
|---|--|---|----------|----------------------|---------------------------|-------------------|----------------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| LA4-7 | Termite Treatment | 1460 | | 11,405.00 | 11,405.00 | 11,405.00 | 11,405.00 | |
| LLOYD OAKS ADDITION | Replace Ceilings, Blinds, Painting, etc | 1460 | | 35,759.00 | 30,000.00 | 30,000.00 | 27,487.80 | |
| LA4-9 | Termite Treatment | 1460 | | 8,386.00 | 8,386.00 | 8,386.00 | 8,386.00 | |
| WOODWAY PARK | Replace Ceilings, Blinds, Painting, etc | 1460 | | 43,800.00 | 39,473.93 | 39,473.92 | 33,673.07 | |
| LA4-10 | Termite Treatment | 1460 | | 12,579.00 | 12,579.00 | 12,579.00 | 12,579.00 | |
| MEADOW PARK | Replace Ceilings, Blinds, Painting, etc | 1460 | | 32,800.00 | 35,000.00 | 35,000.00 | 33,967.78 | |
| LA4-13 | Termite Treatment | 1460 | | 4,398.00 | 4,398.00 | 4,398.00 | 4,398.00 | |
| LLOYD OAKS ADDITION 2 | Replace Ceilings, Blinds, Painting, etc | 1460 | | 34,881.56 | 60,000.00 | 60,000.00 | 56,096.12 | |
| | SUBTOTAL for 1460 | | | 413,485.56 | 513,422.93 | 513,422.93 | 452,768.27 | |
| | | | | | | | | |
| | | | | | | | | |
| LA4-13 | Replace Ranges and | 1465.1 | | | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Report II: Supporting Pages

PHIA Name: HOUSING AUTHORITY OF THE
CITY OF LAKE CHARLES

| Grant Type and Number | Capital Fund Program Grant No. | L.A48P004-708-99 |
|--------------------------------------|--------------------------------|------------------|
| Replacement Housing Factor Grant No. | | |

Federal FY of Grant: 1999

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Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPHF)
Part III: Implementation Schedule

| PHA Name | Grant Type and Number |
|--|---|
| HOUSING AUTHORITY OF THE CITY OF LAKE CHARLES | LA49P004-708-99 Capital Fund Program No: |

Federal FY of Grant: 1999

| Development Number Name/TA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
|--|---|---------|--------|---|---------|--------|----------------------------------|
| | Original | Revised | Actual | Original | Revised | Actual | |
| LA4-1 | 9/2001 | | | 9/2002 | | | |
| LA4-2 | 9/2001 | | | 9/2002 | | | |
| LA4-3 | 9/2001 | | | 9/2002 | | | |
| LA4-4 | 9/2001 | | | 9/2002 | | | |
| LA4-5 | 9/2001 | | | 9/2002 | | | |
| LA4-6 | 9/2001 | | | 9/2002 | | | |
| LA4-8 | 9/2001 | | | 9/2002 | | | |
| LA4-9 | 9/2001 | | | 9/2002 | | | |
| LA4-10 | 9/2001 | | | 9/2002 | | | |
| LA4-13 | 9/2001 | | | 9/2002 | | | |

CAPITAL FUND PROGRAM TABLES START HERE

| Annual Statement/Performance and Evaluation Report | | | | | |
|--|---|--|---------|------------------------------|----------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | | |
| PIHA Name: HOUSING AUTHORITY OF THE CITY OF LAKE CHARLES | | Grant Type and Number Capital Fund Program Grant No. LA48P00450100 | | Federal FY of Grant: 2000 | |
| <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/02 | | <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | | | | |
| 3 | 1408 Management Improvements | \$150,000 | | 106,805 | 31,477 |
| 4 | 1410 Administration | 1,000 | | 1,000 | |
| 5 | 1411 Audit | 2,000 | | 2,000 | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 77,000 | | 67,325 | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 299,000 | | | |
| 10 | 1460 Dwelling Structures | 648,600 | | 9,388 | 9,388 |
| 11 | 1465 1 Dwelling Equipment—Nonexpendable | 30,000 | | 26,647 | 26,647 |
| 12 | 1470 Non dwelling Structures | | | | |
| 13 | 1475 Non dwelling Equipment | 35,000 | | 16,835 | 16,835 |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | 224,249 | | 224,249 | 224,249 |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495 1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collateralization or Debt Service | | | | |

| Annual Statement/Performance and Evaluation Report | | | | | |
|---|---|---|---------|------------------------------|----------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | | |
| PHA Name: HOUSING AUTHORITY OF THE CITY OF LAKE CHARLES | | Grant Type and Number Capital Fund Program Grant No: LA48P00450100 | | Federal FY of Grant: 2000 | |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/02 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 20 | 1502 Contingency | 80,000 | | | |
| 21 | Amount of Annual Grant (sum of lines 2 - 20) | 1,546,849 | | 454,249 | 308,596 |
| 22 | Amount of line 21 Related to LBP Activities | | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | | | | |
| 24 | Amount of line 21 Related to Security - Soft Costs | | | | |
| 25 | Amount of line 21 Related to Security - Hard Costs | | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | | | | |

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

| PHA Name: HOUSING AUTHORITY OF THE CITY OF LAKE CHARLES | | Grant Type and Number Capital Fund Program Grant No: LA48P00450100 Replacement Housing Factor Grant No: | | Federal FY of Grant: 2000 | | | | |
|---|--|---|------------|---------------------------|---------|-------------------|----------------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| PHA Wide | Management Improvements | 1408 | | 150,000 | | 106,805 | 31,477 | |
| | Sundry | 1410 | | 1,000 | | 1,000 | | |
| | Annual Audit | 1411 | | 2,000 | | 2,000 | | |
| | A&E Fees | 1430 | | 77,000 | | 67,325 | | |
| | Ranges/ Refrigerators | 1465.1 | | 30,000 | | 26,647 | 26,647 | |
| | Maintenance Vehicle | 1475 | | 35,000 | | 16,835 | 16,835 | |
| | Replacement Reserve | 1490 | | 224,249 | | | | |
| | Contingency | 1502 | | 80,000 | | | | |
| LA4-1, BTWC | Replace Sewer | 1450 | 92 | 100,000 | | | | |
| | Replace Sidewalks | 1450 | 500 LF | 30,000 | | | | |
| LA4-2, HSP | Replace Sidewalks | 1450 | 500 LF | 30,000 | | | | |
| LA4-3A | Water Distribution | 1450 | 2500 LF | 114,000 | | | | |
| | Com Bldg Parking Lot | 1450 | 2600 Sq Ft | 25,000 | | | | |
| | Panel Ray Heaters | 1460 | 135 | 129,000 | | | | |
| | Bathroom-marble | 1460 | 135 | 126,000 | | | | |
| | Porch Columns | 1460 | 270 | 45,000 | | | 9,388 | 9,388 |
| LA4-4, Carver | Bathrooms | 1460 | 88 | 160,000 | | | | |
| | Showers | 1460 | 88 | 30,000 | | | | |
| | Panel Ray Heaters | 1460 | 88 | 158,000 | | | | |

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule

PHA Name: HOUSING AUTHORITY OF
THE CITY OF LAKE CHARLES

Grant Type and Number
Capital Fund Program No. LA48P00450100
Replacement Housing Factor No:

Federal FY of Grant: 2000

[illegible]

CAPITAL FUND PROGRAM TABLES START HERE

| Annual Statement/Performance and Evaluation Report | | | | | |
|---|---|---|---------|------------------------------|----------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPHF) Part I: Summary | | | | | |
| PHA Name: HOUSING AUTHORITY OF THE CITY OF LAKE CHARLES | | Grant Type and Number Capital Fund Program Grant No: LA48P004501-01 | | Federal FY of Grant: 2001 | |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/02 | | <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report | | | |
| Line No. | Summary by Development Account | Original | Revised | Obligated | Expended |
| | | Total Estimated Cost | | Total Actual Cost | |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | | | | |
| 3 | 1408 Management Improvements | \$180,000 | | 180,000 | -0- |
| 4 | 1410 Administration | 1,000 | | 1,000 | -0- |
| 5 | 1411 Audit | 2,000 | | 2,000 | -0- |
| 6 | 1415 Liquidated Damages | | | | -0- |
| 7 | 1430 Fees and Costs | 85,000 | | 85,000 | -0- |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | 704,345 | | -0- | -0- |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 100,000 | | -0- | -0- |
| 12 | 1470 Nondwelling Structures | 185,935 | | -0- | -0- |
| 13 | 1475 Nondwelling Equipment | 45,000 | | -0- | -0- |
| 14 | 1485 Demolition | | | -0- | -0- |
| 15 | 1490 Replacement Reserve | 274,882 | | 274,882 | 274,882 |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collateralization or Debt Service | | | | |

| Annual Statement/Performance and Evaluation Report | | | | |
|---|---|--|---------|------------------------------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | |
| PHA Name: HOUSING AUTHORITY OF THE CITY OF LAKE CHARLES | | Grant Type and Number Capital Fund Program Grant No: LA48P004501-01 Replacement Housing Factor Grant No: | | Federal FY of Grant: 2001 |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters' Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/02 <input type="checkbox"/> Final Performance and Evaluation Report | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost |
| | | Original | Revised | Obligated |
| 20 | 1502 Contingency | | | Expended |
| 21 | Amount of Annual Grant: (sum of lines 2 - 20) | \$1,578,162.00 | | 274,882 |
| 22 | Amount of line 21 Related to LBP Activities | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | | | |
| 24 | Amount of line 21 Related to Security - Soft Costs | | | |
| 25 | Amount of line 21 Related to Security - Hard Costs | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: HOUSING AUTHORITY OF THE
CITY OF LAKE CHARLES

| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Grant Type and Number Capital Fund Program Grant No: LA48E004501-01 Replacement Housing Factor Grant No: | | Federal FY of Grant: 2001 | | Status of Work |
|---|---|--|-------------|---------------------------|--|-------------------|
| | | Dev. Act No. | Quantity | Total Estimated Cost | Total Actual Cost Funds Obligated Funds Expended | |
| PHA-wide | Management Improvement (Resident Training) | 1408 | | 180,000 | 180,000 | -0- |
| | Administration | 1410 | | 1,000 | 1,000 | -0- |
| | Audit | 1411 | | 2,000 | 2,000 | -0- |
| | Fees & Costs/A & E | 1430 | | 85,000 | 85,000 | -0- |
| | Dwelling Equip/computers | 1475 | | 100,000 | | |
| | Non Dwelling Equip/Rampers | 1465.1 | | 45,000 | | |
| | Replacement Reserve | 1490 | | 274,882 | 274,882 | |
| LA4-3A | Remodel Community Bldg | 1470 | 1 | 78,000 | | |
| LA4-4 | Install Dryer Receipts | 1460 | 88 | 40,845 | | |
| LA4-4 | Replace Sofits | 1460 | 8,900 LF | 180,000 | | |
| LA4-5 | Remodel Office | 1470 | 1 | 65,350 | | |
| LA4-5 | Replace Sofits | 1460 | 16,700 LF | 200,000 | | |
| LA4-6 | Replace Hallway Carpet | 1460 | 650 LF x 8' | 46,500 | | |
| LA4-6 | Remodel Office | 1470 | 1 | 42,585 | | |
| LA4-8 | Bathrooms-marble | 1460 | 68 | 203,000 | | |
| LA4-8 | Exterior doors | 1460 | 136 | 34,000 | | |

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule

PHA Name: HOUSING AUTHORITY OF
THE CITY OF LAKE CHARLES

| Grant Type and Number | Capital Fund Program No. | Replacement Housing Factor No. |
|-----------------------|--------------------------|--------------------------------|
| | LA48E004501-01 | |

Federal FY of Grant: 2001

[illegible]

2011

| PHA Name Housing Authority of Lake Charles | | <input checked="" type="checkbox"/> Original 5-Year Plan | | | |
|--|--------|--|--|---|--|
| Development Number/Name/HA-Wide | Year 1 | Work Statement for Year 2 FFY Grant: 2002 PHA FY: 2002 | Work Statement for Year 3 FFY Grant: 2003 PHA FY: 2003 | <input type="checkbox"/> Revision Not Work Statement for Year 4 FFY Grant: 2004 PHA FY: 2004 | Work Statement for Year 5 FFY Grant: 2005 PHA FY: 2005 |
| LA4-1/B/CWC | | 297,000 | | | |
| LA4-2/HSP | | 296,000 | | | |
| LA4-3a/C/J | | | | | 629,000 |
| LA4-4/CC | | 440,000 | 120,000 | | 264,000 |
| LA4-5/LD | | 175,000 | 1,185,000 | 1,095,000 | |
| LA4-6/GAA | | 80,000 | | 275,000 | |
| LA4-8/LDA | | | | | 204,000 |
| LA4-9/MP | | | | 165,000 | |
| LA4-10/MP | | | | 185,000 | |
| LA4-13/LD add.2 | | | | | 434,000 |
| CTF Funds Listed for 5-year planning | | 1,288,000 | 1,305,000 | 1,721,000 | 1,531,000 |
| Replacement Housing Factor Funds | | | | | |

Part II: Supporting Pages—Work Activities

| Activities for Year 1 | | Activities for Year : 2 FFY Grant: 2002 PHA FY: 2002 | | Activities for Year: 3 FFY Grant: 2003 PHA FY: 2003 | |
|--------------------------|-----------------------|--|-------------------------|---|----------------|
| Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost |
| LA4-1/BWC | Floor tile replace | 155,000 | LA4-4/CB | Electric upgrade | 120,000 |
| | paint exterior | 142,000 | | | 120,000 |
| subtotal | | 297,000 | subtotal | | |
| LA4-2/HSP | Floor tile replace | 156,000 | LA4-5/LD | heater replace | 270,000 |
| | paint exterior | 140,000 | | sheetrock install | 750,000 |
| subtotal | | 296,000 | subtotal | paint exterior | 165,000 |
| | | | | | 1,185,000 |
| LA4-4/CB | sheetrock install | 440,000 | | | |
| subtotal | | 440,000 | | | |
| LA4-5/LD | electrical upgrade | 175,000 | | | |
| subtotal | | 175,000 | | | |
| LA4-6/GAA | sewer replacement | 80,000 | | | |
| subtotal | | 80,000 | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| Total CFP Estimated Cost | \$ 1,288,000 | | | | \$ 1,305,000 |

CAPITAL FUND PROGRAM TABLES START HERE

| | | | | |
|---|---|---|-------------------|------------------------------|
| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | |
| PHA Name: LAKE CHARLES HOUSING AUTHORITY | | Grant Type and Number Capital Fund Program Grant No: LA48P00450102 Replacement Housing Factor Grant No: | | Federal FY of Grant: 2002 |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | Total Actual Cost | |
| 1 | Total non-CFP Funds | | | |
| 2 | 1406 Operations | | | |
| 3 | 1408 Management Improvements | 180,000 | | |
| 4 | 1410 Administration | | | |
| 5 | 1411 Audit | 2,000 | | |
| 6 | 1415 Liquidated Damages | | | |
| 7 | 1430 Fees and Costs | 90,000 | | |
| 8 | 1440 Site Acquisition | | | |
| 9 | 1450 Site Improvement | 80,000 | | |
| 10 | 1460 Dwelling Structures | 993,000 | | |
| 11 | 1465.1 Dwelling Equipment—None expendable | | | |
| 12 | 1470 Nondwelling Structures | | | |
| 13 | 1475 Nondwelling Equipment | | | |
| 14 | 1485 Demolition | | | |
| 15 | 1490 Replacement Reserve | 76,917 | | |
| 16 | 1492 Moving to Work Demonstration | | | |
| 17 | 1495.1 Relocation Costs | 40,000 | | |
| 18 | 1499 Development Activities | | | |
| 19 | 1501 Collateralization or Debt Service | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

FHA Name:

LAKE CHARLES HOUSING AUTHORITY

Grant Type and Number

Capital Fund Program Grant No: LA48P00650102
 Replacement Housing Factor Grant No:

Federal FY of Grant:
 2002

☒ Original Annual Statement

☐ Reserve for Disasters/ Emergencies

☐ Revised Annual Statement (revision no:)

☐ Performance and Evaluation Report for Period Ending:

☐ Final Performance and Evaluation Report

Line No. Summary by Development Account

Total Estimated Cost

Total Actual Cost

| Line No. | Summary by Development Account | Original | Revised | Obligated | Expended |
|----------|---|-------------|---------|-----------|----------|
| 20 | 1502 Contingency | | | | |
| 21 | Amount of Annual Grant: (sum of lines 2 - 20) | \$1,461,917 | | | |
| 22 | Amount of line 21 Related to LBP Activities | | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | | | | |
| 24 | Amount of line 21 Related to Security - Soft Costs | | | | |
| 25 | Amount of line 21 Related to Security - Hard Costs | | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | | | | |

Placement Housing Factor (CFP/CFPRHF)

| PHA Name: | | Grant Type and Number | | Federal FY of Grant: | | | |
|---|--|--|----------|----------------------|-------------------|-----------------|----------------|
| LAKE CHARLES HOUSING AUTHORITY | | Capital Fund Program Grant No: LA48P00450102 | | 2002 | | | |
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | Total Actual Cost | Status of Work | |
| PHA Wide | Resident Training Management Improvements | 1408 | | Original 180,000 | Revised | Funds Obligated | Funds Expended |
| | Audit | 1411 | | 2,000 | | | |
| | A & E Costs | 1430 | | 90,000 | | | |
| | Replacement Reserve | 1490 | | 76,917 | | | |
| LA4-1 Booker T. Washington Courts | Paint all exterior wood | 1460 | 70 Units | 142,000 | | | |
| | Replace Floor tile | 1460 | 70 Units | 155,000 | | | |
| LA4-2, High School Park | Replace all floor tile | 1460 | 72 Units | 156,000 | | | |
| | Paint all exterior wood | 1460 | 88 Units | 140,000 | | | |
| LA4-4, | Replace all interior walls w/sheetrock | 1460 | 88 Units | 400,000 | | | |
| | Relocation Costs | 1495.1 | | 40,000 | | | |
| LA4-6 | | | | | | | |
| Golden Arms | Replace Sewer System | 1450 | 80 Units | 80,000 | | | |

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule**

PHA Name:

LAKE CHARLES HOUSING AUTHORITY

| Grant Type and Number | Capital Fund Program No: | LA 68P00450102 | Replacement Housing Factor No: |
|------------------------------|---------------------------------|-----------------------|---------------------------------------|
| | | | |

Federal FY of Grants
2007

Reasons for Revised Target Dates

[illegible]

| Development Number/Name/HA- Wide | Year 1 | Work Statement for Year 2 FFY Grant: 2003 PHA FY: 2003 | Work Statement for Year 3 FFY Grant: 2004 PHA FY: 2004 | Work Statement for Year 4 FFY Grant: 2005 PHA FY: 2005 | Work Statement for Year 5 FFY Grant: 2006 PHA FY: 2006 |
|---|---------------------|--|--|--|--|
| LA4-1/BTWC | Annual Statement | | | | 235,000 |
| LA4-2/HSP | | | | 629,000 | 168,000 |
| LA4-3a/JonesCr. | | 1,120,000 | | | |
| LA4-4/CC | | 1,185,000 | 1,095,000 | 264,000 | 175,000 |
| LA4-5/LO | | | 276,000 | 204,000 | |
| LA4-6/GAA | | | | | |
| LA4-8/LO Add | | | | | |
| LA4-9/YP | | | | | 165,000 |
| LA4-10/RP | | | | | 185,000 |
| LA4-13/LO#2 | | | | 434,000 | |
| CFP Funds Listed for 5-year planning | | 1,205,000 | 1,371,000 | 1,327,000 | 928,000 |
| Replacement Housing Factor Funds | | | | | |

Capital Fund Program Five-Year Action Plan Part II: Supporting Pages—Work Activities

[illegible]

Activities for Year : 4
 FFY Grant: 2005
 PHA FY: 2005

Activities for Year: 5
FFY Grant: 2005
PHA FY: 2005

Annual Statement**Capital Fund Program (CFP) Part I: Summary**Capital Fund Grant Number LA48P004501-01 FFY of Grant Approval: (MM/YYYY)

X Original Annual Statement

| Line No. | Summary by Development Account | Total Estimated Cost |
|----------|---|-----------------------|
| 1 | Total Non-CGP Funds | |
| 2 | 1406 Operations | |
| 3 | 1408 Management Improvements | \$180,000.00 |
| 4 | 1410 Administration | \$1,000.00 |
| 5 | 1411 Audit | \$2,000.00 |
| 6 | 1415 Liquidated Damages | |
| 7 | 1430 Fees and Costs | \$85,000.00 |
| 8 | 1440 Site Acquisition | |
| 9 | 1450 Site Improvement | |
| 10 | 1460 Dwelling Structures | \$704,345.00 |
| 11 | 1465.1 Dwelling Equipment-Nonexpendable | \$100,000.00 |
| 12 | 1470 Nondwelling Structures | \$185,935.00 |
| 13 | 1475 Nondwelling Equipment | \$45,000.00 |
| 14 | 1485 Demolition | |
| 15 | 1490 Replacement Reserve | \$274,882.00 |
| 16 | 1492 Moving to Work Demonstration | |
| 17 | 1495.1 Relocation Costs | |
| 18 | 1498 Mod Used for Development | |
| 19 | 1502 Contingency | |
| 20 | Amount of Annual Grant (Sum of lines 2-19) | \$1,578,162.00 |
| 21 | Amount of line 20 Related to LBP Activities | |
| 22 | Amount of line 20 Related to Section 504 Compliance | |
| 23 | Amount of line 20 Related to Security | |
| 24 | Amount of line 20 Related to Energy Conservation Measures | |

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. ☐ Yes ☐ No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

☐ The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name

-or-

☐ The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

☐ Yes ☐ No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- ☐ Revitalization Plan under development
- ☐ Revitalization Plan submitted, pending approval
- ☐ Revitalization Plan approved
- ☐ Activities pursuant to an approved Revitalization Plan underway

☐ Yes ☐ No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

☐ Yes ☐ No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

☐ Yes ☐ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

| Demolition/Disposition Activity Description |
|---|
| 1a. Development name: |
| 1b. Development (project) number: |
| 2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/> |
| 3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/> |
| 4. Date application approved, submitted, or planned for submission: (DD/MM/YY) |
| 5. Number of units affected: |
| 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development |
| 7. Timeline for activity: |

- a. Actual or projected start date of activity:
- b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

| Designation of Public Housing Activity Description |
|--|
| 1a. Development name: |
| 1b. Development (project) number: |
| 2. Designation type: |
| Occupancy by only the elderly <input type="checkbox"/> |
| Occupancy by families with disabilities <input type="checkbox"/> |
| Occupancy by only elderly families and families with disabilities <input type="checkbox"/> |
| 3. Application status (select one) |
| Approved; included in the PHA's Designation Plan <input type="checkbox"/> |
| Submitted, pending approval <input type="checkbox"/> |
| Planned application <input type="checkbox"/> |
| 4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY) |
| 5. If approved, will this designation constitute a (select one) |
| <input type="checkbox"/> New Designation Plan |
| <input type="checkbox"/> Revision of a previously-approved Designation Plan? |

6. Number of units affected:
7. Coverage of action (select one)
- ☐ Part of the development
- ☐ Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. ☐ Yes ☒ No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

| Conversion of Public Housing Activity Description | |
|---|--|
| 1a. Development name: | |
| 1b. Development (project) number: | |
| 2. What is the status of the required assessment? | |
| <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below) | |
| 3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.) | |
| 4. Status of Conversion Plan (select the statement that best describes the current status) | |
| <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway | |

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

- ☐ Units addressed in a pending or approved demolition application (date submitted or approved: _____)
- ☐ Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____)
- ☐ Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____)
- ☐ Requirements no longer applicable: vacancy rates are less than 10 percent
- ☐ Requirements no longer applicable: site now has less than 300 units
- ☐ Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. ☐ Yes X No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

| Public Housing Homeownership Activity Description (Complete one for each development affected) |
|--|
| 1a. Development name: 1b. Development (project) number: |
| 2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99) |
| 3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application |
| 4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY) |
| 5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development |

B. Section 8 Tenant Based Assistance

- 1.X Yes ☐ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- X Yes ☐ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- ☒ 25 or fewer participants
☐ 26 - 50 participants
☐ 51 to 100 participants
☐ more than 100 participants

b. PHA-established eligibility criteria

- ☐ Yes ☒ No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

The LCHA is researching homeownership programs throughout the USA in an effort to put a program in place in our Authority through the Section 8 Program, however we cannot find anyone who has implemented such a program successfully as of yet. We will continue to research.

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- X Yes ☐ No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? The LCHA has a "Required signature" form at the time of Move-In which states that the TANF Agency and the LCHA does verify information between the two agencies only if the resident signs

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- X Client referrals
X Information sharing regarding mutual clients (for rent determinations and otherwise)
☐ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
☐ Jointly administer programs
X Partner to administer a HUD Welfare-to-Work voucher program
☐ Joint administration of other demonstration program
☐ Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- ☐ Public housing rent determination policies
- ☐ Public housing admissions policies
- ☐ Section 8 admissions policies
- ☐ Preference in admission to section 8 for certain public housing families
- ☐ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- ☐ Preference/eligibility for public housing homeownership option participation
- ☐ Preference/eligibility for section 8 homeownership option participation
- ☐ Other policies (list below)

b. Economic and Social self-sufficiency programs

- ☐ Yes X No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

| Services and Programs | | | | |
|--|-------------------|--|--|--|
| Program Name & Description (including location, if appropriate) | Estimated Size | Allocation Method (waiting list/random selection/specific criteria/other) | Access (development office / PHA main office / other provider name) | Eligibility (public housing or section 8 participants or both) |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Table Library

(2) Family Self Sufficiency program/s

a. Participation Description

| Family Self Sufficiency (FSS) Participation | | |
|--|--|--|
| Program | Required Number of Participants (start of FY 2000 Estimate) | Actual Number of Participants (As of: DD/MM/YY) |
| Public Housing | | |
| Section 8 | | |

- b. ☐ Yes ☐ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
- X Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
 - X Informing residents of new policy on admission and reexamination
 - ☐ Actively notifying residents of new policy at times in addition to admission and reexamination.
 - X Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
 - X Establishing a protocol for exchange of information with all appropriate TANF agencies
 - ☐ Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8
Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- ☒ High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- ☒ High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- ☒ Residents fearful for their safety and/or the safety of their children
- ☒ Observed lower-level crime, vandalism and/or graffiti
- ☒ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- ☐ Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- ☒ Safety and security survey of residents
- ☒ Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- ☒ Analysis of cost trends over time for repair of vandalism and removal of graffiti
- ☒ Resident reports
- ☒ PHA employee reports
- ☒ Police reports
- ☒ Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- ☐ Other (describe below)

3. Which developments are most affected? (list below)

LA4-1, LA4-3, LA4-4 AND LA4-5

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- ☒ Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- ☒ Crime Prevention Through Environmental Design
- ☒ Activities targeted to at-risk youth, adults, or seniors
- ☒ Volunteer Resident Patrol/Block Watchers Program
- ☒ Other (describe below)

UNIFORMED OFF-DUTY POLICE OFFICERS HIRED BY THE LCHA TO DO FOOT PATROLS AT VARIOUS DEVELOPMENTS

2. Which developments are most affected? (list below)

LA4-1, LA4-3, LA4-4, AND LA4-5

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- X Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- X Police provide crime data to housing authority staff for analysis and action
- X Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- X Police regularly testify in and otherwise support eviction cases
- X Police regularly meet with the PHA management and residents
- X Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- ☐ Other activities (list below)

2. Which developments are most affected? (list below)

LA4-1, LA4-3, LA4-4 AND LA4-5

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- X Yes ☐ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes ☐ No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- X Yes ☐ No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

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Elimination
Reporting](#)Field Office HA: **LA004 LAKE CHARLES HOUSING AUTHORITY**

Information for the Grant Number : LA48DEP0040199

Semi-Annual Report End
Date: 12/31/2001

Award Fiscal Year: 1999

Number of reports
submitted for the grant: 4Point Of Contact: **None Selected**Report Status: **Approved**Reviewer: **GEORGE
SMITH**Fund Allocated: **\$183,650.00**Fund Disbursed: **\$100,773.06**

Report Section

| Section | Status |
|----------------------------------|----------------|
| Community Partnership | Not Applicable |
| Crime Statistics | Approved |
| Form 269A | Approved |
| Law Enforcement | Not Applicable |
| Narrative | Approved |
| Resident Survey | Approved |
| Support Activities | Not Applicable |

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Elimination
Reporting](#)Field Office HA: **LA004 LAKE CHARLES HOUSING AUTHORITY**

Information for the Grant Number : LA48DEP0040100

Semi-Annual Report End
Date: Award Fiscal Year: **2000**Number of reports
submitted for the grant: **2**Point Of Contact: **None Selected**Report Status: **Approved**Reviewer: **GEORGE
SMITH**Fund Allocated: **\$191,401.00**Fund Disbursed: **\$39,175.61**

Report Section

| Section | Status |
|----------------------------------|----------------|
| Community Partnership | Not Applicable |
| Crime Statistics | Approved |
| Form 269A | Approved |
| Law Enforcement | Not Applicable |
| Narrative | Approved |
| Resident Survey | Approved |
| Support Activities | Not Applicable |

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

LAKE CHARLES HOUSING AUTHORITY

PET POLICY

In compliance with Section 526 of the Quality Housing and Work Responsibility Act of 1998, the Lake Charles Housing Authority residents shall be permitted to own and keep common household pets. Animals that are an auxiliary for persons with a disability are excluded from this policy. The ownership of common household pets is subject to the following rules and limitations:

1. Common household pets shall be defined as "domesticated animals such as a dog, cat, bird, rodent, fish or turtle. Common household pets are defined as follows:

BIRD: Includes Canary, Parakeet, Finch and other species that are normally kept caged, birds of prey are not permitted.

FISH: In tanks or aquariums, not to exceed twenty (20) gallons in capacity; poisonous or dangerous Fish are not permitted.

DOGS: Not to exceed twenty-five pounds (25#'s), or fifteen (15) inches in height at full growth. Dogs must be spayed or neutered. Veterinarian's recommended/suggested types of dogs are as follows:

- | | |
|--------------|-------------------|
| a. Chihuahua | e. Cocker Spaniel |
| b. Pekinese | f. Dachshund |
| c. Poodle | g. Terriers |
| d. Schnauzer | |

NO PIT BULLS WILL BE PERMITTED

CATS: Cats must be spayed or neutered and be declawed or have scratching posts.

RODENTS: Rodents other than hamsters, gerbils, white rats or mice are not considered Common household pets. These animals must be kept in appropriate cages.

REPTILES: Reptiles other than turtles or small lizards such as chameleons are not considered common household pets.

EXOTIC PETS: At no time will the LCHA approve of exotic pets, such as snakes, monkeys, and game pets, etc.

2. No more than one dog or cat shall be permitted in a household. In the case of birds, a maximum of two birds may be permitted. There shall be no limit as to the number of fish, but no more than one aquarium with a maximum capacity of twenty (20) gallons shall be permitted. A resident with a dog or cat may also have other categories of "common household pets" as defined above.
3. Pets other than a dog or cat shall be confined to an appropriate cage or container. Such a pet may be removed from its cage while inside the owner's apartment for the purpose of

handling, but shall not generally be unrestrained.

4. Only one dog or cat is allowed per household. NO PIT BULLS WILL BE PERMITTED. All dogs and cats will need to be on a leash, tied up, or otherwise restrained at all times when they are outside. Neither dogs nor cats shall be permitted to run loose.
5. Pet owners shall maintain their pet in such a manner as to prevent any damage to their unit, yard or Common areas of the community in which they live. The animal shall be maintained so as not to be a nuisance or a threat to the health or safety of neighbors, LCHA employees, or the public by reason of Noise, unpleasant odors or other objectionable situations.
6. Each pet owner shall be fully responsible for the care of the pet, including proper disposal of pet wastes in a safe and sanitary manner. Specific instructions for pet waste shall be available in the management office. Improper disposal of pet waste is a lease violation and may be grounds for eviction.
7. All pets shall be inoculated and licensed in accordance with applicable state and local laws. All cats or dogs shall be neutered or spayed, unless a veterinarian certifies that the spaying or neutering would be inappropriate or unnecessary (because of health, age, etc.).
8. All pets shall be registered with the Management Office immediately or no longer than ten (10) days following their introduction to the community. Registration shall consist of providing:
 - a. Basic information about the pet (type, age, description, name, etc.)
 - b. Proof of inoculation and licensing.
 - c. Proof of neutering or spaying. All female dogs over the age of six (6) months and female cats over the age of five (5) months must be spayed. All male dogs over the age of eight (8) months and all male cats over the age of ten (10) months must be neutered. If health problems prevent such spaying or neutering, a veterinarian's certificate will be necessary to allow the pet to become or continue to be a resident of the community.
 - d. Payment of a monthly nominal fee of \$10.00 to defray the cost of potential damage done by the pet to the unit or to common areas of the community. The resident is responsible for all damages caused by the pet and will reimburse the Authority for all costs it incurs in repairing such damages.

| TYPE OF PET | PET'S NAME | INOCULATIONS (type & date) |
|--------------|------------|----------------------------|
| | | |
| | | |
| LICENSE DATE | | SPAY OR NEUTER DATE |
| | | |
| | | |

- e. If a resident cannot care for their pet due to an illness, absence, or death, and no other person can be found to care for the pet, after 24-hours have elapsed, the resident hereby gives permission for the pet to be released to the local Animal Control Agency, in accordance with their procedures. In no case shall the LCHA incur any costs or liability for the care of a pet placed in the care of another individual or agency under this procedure.

Provide the name, address and phone number of one or more persons who will care for the pet if you are unable to do so. This information will be updated annually.

| NAME | ADDRESS | PHONE (day) | PHONE (Night) |
|------|---------|-------------|---------------|
| | | | |
| | | | |

9. Any litigation resulting from actions by pets shall be the sole responsibility of the pet owner. The pet owner agrees to indemnify and hold harmless the LCHA from all claims, causes or action damages or expensed, including attorney's fees, resulting from the action or the activities of his/her pet.

NOTE: This policy is an agreement between the head of the household and the Lake Charles Housing Authority and must be signed only if a pet is in the household.

As head of household, I have read the Pet Policy as written above and understand these provisions. I agree to abide by these provisions fully and understand that permission will be revoked if I fail to do so. Failure to comply with any part of this Policy or to not take corrective action after sufficient notice of the violation shall be cause for termination of the lease signed by you and the Lake Charles Housing Authority.

I certify by my signature that I have read and fully understand this Policy and that I will receive A copy of same.

Resident Name (Please Print)

Address (and Development Name)

Resident Signature

DATE

Public Housing Manager

Lake Charles Housing Authority

This Policy adopted by the Board of Commissioners of the Lake Charles Housing Authority in conjunction with the Five Year Consolidated Agency Plan in compliance with Section 526 of the Quality Housing and Work Responsibility Act of 1998.

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. X Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. X Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☐ Yes X No: Were there any findings as the result of that audit?
4. ☐ Yes X No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. ☐ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

HOUSING AUTHORITY OF THE CITY OF LAKE CHARLES LAKE CHARLES, LOUISIANA

(Fiscal Year Ending)

Year Ended September 30, 2001

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Supplementary Information: Financial Position, Cash Flows, and Fund Balances

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HOUSING AUTHORITY OF THE CITY OF LAKE CHARLES
LAKE CHARLES, LOUISIANA

Financial Statements

Year Ended September 30, 2001

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Tax
Accounting and Auditing
Financial Planning and Forecasting
Estate Planning
Management Advisory Services
Small Business Accounting Services



DUNN, ROBERTS
& COMPANY, LLC

Independent Auditors' Report

Board of Commissioners
Housing Authority of The City
of Lake Charles
Lake Charles, Louisiana 70601

Regional Inspector General for Audit
Department of Housing and Urban
Development
221 W. Lancaster Street, P.O. Box 2905
Fort Worth, Texas 76113

MEMBERS

Robert G. Dunn
CPA

Sara A. Roberts
CPA

Donita G. Helms
CPA

We have audited the financial statements of the Housing Authority of The City of Lake Charles as of and for the year ended September 30, 2001, as listed in the table of contents. These financial statements are the responsibility of the management of the Housing Authority of The City of Lake Charles. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States, and the provisions of OMB Circular A-133, Audits of State and Local Governments. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the assets, liabilities, and fund equity of the Housing Authority of The City of Lake Charles as of September 30, 2001, and the results of its operations, cash flows, and changes in its fund equity for the year then ended, in conformity with accounting principles generally accepted in the United States of America.

In accordance with Government Auditing Standards, we have also issued a report dated May 7, 2002, on our consideration of the Housing Authority of The City of Lake Charles' internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts, and grants. That report is an integral part of an audit performed in accordance with Government Auditing Standards and should be read in conjunction with this report in considering the results of our audit.

Our audit was conducted for the purpose of forming an opinion on the basic financial statements taken as a whole. The supplementary information included in the table of contents is presented for the purposes of additional analysis and are not a required part of the basic financial statements of the Housing Authority of The City of Lake Charles. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by U.S. Office of Management and Budget Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, and is also not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated in all material respects in relation to the financial statements taken as a whole.

Dunn, Roberts & Company, LLC
DUNN, ROBERTS & COMPANY, LLC
Lake Charles, Louisiana
May 7, 2002

724 Kirby Street
Lake Charles, La. 70601

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Telephone: (337) 493-3100
Fax: (337) 493-3104

www.dunnroberts.com

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Balance Sheet

September 30, 2001

| | Annual | | |
|---|----------------------|-------------------|------------------|
| | FW-1132 | FW-2074 | FW-2228V |
| ASSETS | | | |
| Cash | \$ 163,497 | \$ 88,773 | \$ 625.01 |
| Accounts receivable: * | | | |
| Unrestricted | 100,722 | 25,170 | 3.05 |
| Investments: | | | |
| Unrestricted | 1,420,276 | 260,309 | 167.06 |
| Deferred charges | 106,126 | 4,141 | 14.28 |
| Intra-program receivable | 25,145 | 90,782 | 39.43 |
| Total current assets | 1,815,766 | 469,181 | 848.85 |
| Property and equipment, net of accumulated depreciation | 13,998,371 | 2,370 | 4.43 |
| Restricted Assets: | | | |
| Replacement cash reserve | - | - | - |
| Accounts receivable | 3,696 | - | - |
| Investments | 776,552 | - | - |
| | 780,147 | - | - |
| Other assets | 295 | - | - |
| | <u>\$ 16,595,579</u> | <u>\$ 471,551</u> | <u>\$ 853.29</u> |
| LIABILITIES AND FUND EQUITY | | | |
| Liabilities: | | | |
| Accounts payable | \$ 135,052 | \$ 26,061 | \$ 44.37 |
| Accrued liabilities | 179,394 | 15,757 | 8.20 |
| Current portion of long-term debt | - | - | - |
| Intra-program payable | 7,726 | 31,808 | 90.74 |
| Total current liabilities | 323,072 | 73,626 | 143.32 |
| Long-term debt less current maturities | - | - | - |
| Other liabilities | - | 23,656 | 2.18 |
| | <u>323,072</u> | <u>97,282</u> | <u>145.51</u> |
| Fund equity: | | | |
| Restricted | 780,147 | - | - |
| Unrestricted | 15,492,369 | 374,269 | 707.78 |
| | <u>16,272,507</u> | <u>374,269</u> | <u>707.78</u> |
| | <u>\$ 16,595,579</u> | <u>\$ 471,551</u> | <u>\$ 853.29</u> |

* Tenant accounts receivable for FW-1132, \$5,099

See accompanying notes to financial statements.

| Contributions Contract | | |
|------------------------|---------------------|--------------------------------|
| Management Account | Drug Elimination | Totals (Memorandum Only) |
| \$ 206,353 | \$ - | \$ 1,083,841 |
| 180,502 | 14,150 | 323,609 |
| 98,257 | - | 1,945,904 |
| 156 | - | 124,705 |
| 485,268 | 14,150 | 156,365 |
| 2,571,108 | - | 3,634,224 |
| 27,550 | - | 16,578,280 |
| - | - | 27,550 |
| - | - | 3,595 |
| 27,550 | - | 778,552 |
| - | - | 807,697 |
| - | - | 295 |
| \$ 3,083,926 | \$ 14,150 | \$ 21,018,496 |
| \$ 22,245 | \$ - | \$ 228,636 |
| 32,098 | - | 235,452 |
| 29,530 | - | 29,530 |
| 11,938 | 14,150 | 156,365 |
| 95,811 | 14,150 | 649,983 |
| 31,867 | - | 31,867 |
| - | - | 25,842 |
| 31,867 | - | 57,709 |
| 127,678 | 14,150 | 707,692 |
| 27,550 | - | 807,697 |
| 2,928,698 | - | 19,503,107 |
| 2,956,248 | - | 20,310,804 |
| \$ 3,083,926 | \$ 14,150 | \$ 21,018,496 |

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Statement of Income and Expenses – PHA Owned Housing

Year Ended September 30, 2001

Annual Contributions Contract FW-1132

| | | |
|--|----------------------|---------------------|
| Operating income: | | |
| Contributions earned | \$ | 1,995,971 |
| Dwelling rental | | 1,289,387 |
| Interest on general fund investments | | 111,358 |
| Other income | | <u>1,226</u> |
| | | 3,377,942 |
| Operating expenses: | | |
| Administration | | 487,124 |
| Tenant services | | 292,450 |
| Utilities | | 497,759 |
| Ordinary maintenance and operation | | 978,604 |
| General | | 335,825 |
| Non-routine maintenance | | <u>5,279</u> |
| | | 2,597,241 |
| | Net operating income | 780,701 |
| Other charges (credits): | | |
| Depreciation | | 1,423,906 |
| Loss from disposition of nonexpendable equipment | | <u>11,374</u> |
| | | 1,435,280 |
| | Net loss | <u>\$ (654,579)</u> |

See accompanying notes to financial statements.

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Statement and Certification of Actual Modernization Cost

Year Ended September 30, 2001

Project LA 48P004707-98

98 Comprehensive Grant Program

| | |
|-----------------------|------------------|
| Funds Approved | \$ 1,359,338 |
| Funds Expended | <u>1,359,338</u> |
| Excess Funds Approved | \$ <u>-0-</u> |
| Funds Advanced | \$ 1,359,338 |
| Funds Expended | <u>1,359,338</u> |
| Excess Funds Advanced | \$ <u>-0-</u> |

See accompanying notes to financial statements.

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Statement of Income and Expenses – Housing Assistance Payments Program

Year Ended September 30, 2001

Project LA 48-E004-001-008/011-013

Annual Contributions Contract FW-2074

| | | |
|------------------------------------|--------------------|--------------------|
| Operating income: | | |
| Contributions earned | \$ | 192,556 |
| Interest | | <u>21,894</u> |
| | | 214,450 |
| Operating expenses: | | |
| Administration | | 51,400 |
| Tenant services | | 3,775 |
| Utilities | | 1,301 |
| Ordinary maintenance and operation | | 7,256 |
| General | | 6,824 |
| Housing assistance payments | | <u>177,238</u> |
| | | 247,794 |
| | Net operating loss | (33,344) |
| Other charges: | | |
| Depreciation | | <u>741</u> |
| | Net loss | <u>\$ (34,085)</u> |

See accompanying notes to financial statements.

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Statement of Income and Expenses – Moderate Rehab

Year Ended September 30, 2001

Project LA 004MR0002/0003

Annual Contributions Contract FW-2074 (MR)

| | | |
|------------------------------------|----|----------------|
| Operating income: | | |
| Contributions earned | \$ | 373,038 |
| Interest | | <u>6,630</u> |
| | | 379,668 |
| Operating expenses: | | |
| Administration | | 25,486 |
| Tenant services | | <u>1,463</u> |
| Utilities | | 549 |
| Ordinary maintenance and operation | | <u>4,748</u> |
| General | | 5,654 |
| Housing assistance payments | | <u>338,761</u> |
| | | <u>376,661</u> |
| Net operating income | | 3,007 |
| Other charges: | | <u>-</u> |
| Net income | \$ | <u>3,007</u> |

See accompanying notes to financial statements.

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Statement of Income and Expenses – Voucher Program

Year Ended September 30, 2001

Project LA 004V00035

Annual Contributions Contract FW-2228V

| | |
|------------------------------------|-------------------|
| Operating income: | |
| Contributions earned | \$ 5,998,962 |
| Interest | 16,018 |
| Management fees | <u>24,243</u> |
| | 6,038,223 |
| Operating expenses: | |
| Administration | 363,684 |
| Tenant services | 18,454 |
| Utilities | 7,789 |
| Ordinary maintenance and operation | 59,057 |
| General | 42,800 |
| Housing assistance payments | <u>5,413,873</u> |
| | <u>5,903,657</u> |
| Net operating income | 134,566 |
| Other charges (credits): | |
| Depreciation | <u>543</u> |
| Net income | <u>\$ 134,023</u> |

See accompanying notes to financial statements.

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana
Statement of Income and Expenses – Management Account
Year Ended September 30, 2001

Project LA 48-0048-003

Annual Contributions Contract Management Account

| | | |
|--|----|----------------|
| Operating income: | | |
| Dwelling rental | \$ | 322,301 |
| Office rental | | 75,269 |
| Management fees | | 79,021 |
| Interest | | 17,123 |
| Other | | <u>12,773</u> |
| | | 506,487 |
| Operating expenses: | | |
| Administration | | 34,174 |
| Utilities | | 52,330 |
| Ordinary maintenance and operation | | 136,345 |
| General | | <u>72,870</u> |
| | | <u>295,719</u> |
| Net operating income | | 210,768 |
| Other charges: | | |
| Depreciation | | 119,050 |
| Loss from disposition of nonexpendable equipment | | <u>1,185</u> |
| | | <u>120,235</u> |
| Net income | \$ | <u>90,533</u> |

See accompanying notes to financial statements.

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Statement of Income and Expenses – Drug Elimination Program

Year Ended September 30, 2001

Annual Contributions Contract – Drug Elimination Program

| | | |
|-------------------------|----|----------------|
| Operating income: | | |
| Contributions earned | \$ | 301,772 |
| Operating expenses: | | |
| Administrative salaries | | 18,000 |
| Protection | | 150,448 |
| Maintenance | | 112,325 |
| Employee benefits | | 4,380 |
| Sundry | | 16,619 |
| | | <u>301,772</u> |
| Net operating income | \$ | <u>-</u> |

See accompanying notes to financial statements.

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Statement of Income and Expenses – Shelter Plus Care Program

Year Ended September 30, 2001

Annual Contributions Contract – Shelter Plus Care Housing

| | |
|-----------------------------|----------------|
| Operating income: | |
| Contributions earned | \$ 186,479 |
| Operating expenses: | |
| Administrative | 13,813 |
| Housing assistance payments | 172,666 |
| Utilities | - |
| | <u>186,479</u> |
| Net operating income | <u>\$ -</u> |

See accompanying notes to financial statements.

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Analysis of Fund Equity

Year Ended September 30, 2001

| | PW1132 | PW2074 | PW2289 | Management Account | Totals (Memorandum Only) |
|---|---------------|------------|------------|-----------------------|--------------------------------|
| Balance September 30, 2000 | \$ 16,927,096 | \$ 406,347 | \$ 573,757 | \$ 2,896,715 | \$ 20,771,905 |
| Net income (loss) for year ended September 30, 2001 | (654,559) | (31,079) | 134,023 | 90,633 | (461,101) |
| Balance September 30, 2001 | \$ 16,272,537 | \$ 375,268 | \$ 707,780 | \$ 2,987,348 | \$ 20,310,804 |
| Balance September 30, 2000 | 16,927,096 | 406,347 | 573,757 | 2,896,715 | 20,771,905 |
| Net income (loss) for year ended September 30, 2001 | (654,559) | (31,079) | 134,023 | 90,633 | (461,101) |
| Balance September 30, 2001 | 16,272,537 | 375,268 | 707,780 | 2,987,348 | 20,310,804 |

See accompanying notes to financial statements.

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Statement of Cash Flows

Year Ended September 30, 2001

| | FW-1132 | FW-2074 | FW-2228V | Management Account | Totals (Memorandum Only) |
|---|--------------|-------------|------------|-----------------------|--------------------------------|
| CASH FLOWS FROM OPERATING ACTIVITIES | | | | | |
| Net income (loss) | \$ (854,579) | \$ (31,078) | \$ 134,023 | \$ 90,633 | \$ (461,101) |
| Adjustments to reconcile operating income (loss) to net cash provided by (used in) operating activities: | | | | | |
| Depreciation | 1,423,906 | 741 | 543 | 119,050 | 1,544,240 |
| Changes in assets and liabilities: | | | | | |
| (Increase) decrease in accounts receivable | 72,643 | 4,932 | 334,861 | (149,533) | 202,703 |
| Increase (decrease) in deferred charges | (19,073) | 8,790 | (9,897) | 4,252 | (16,329) |
| (Increase) decrease in intra-program receivables | (26,146) | (90,782) | (39,438) | - | (196,366) |
| (Increase) decrease in other assets | (295) | - | - | - | (295) |
| Increase (decrease) in accounts payable | (18,718) | (498,839) | (46,069) | (16,678) | (580,287) |
| Increase (decrease) in accrued liabilities | (11,115) | (22,781) | (390) | 3,886 | (30,400) |
| Increase (decrease) in intra-program payables | 7,726 | 31,808 | 90,743 | 11,938 | 142,215 |
| Increase (decrease) in other liabilities | - | 23,666 | 2,186 | - | 25,842 |
| Net cash provided by (used in) operating activities | 773,750 | (573,549) | 466,575 | 63,448 | 730,224 |
| CASH FLOWS FROM INVESTING ACTIVITIES | | | | | |
| (Increase) decrease in investments | (562,267) | 586,870 | (7,966) | (4,272) | 12,365 |
| CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES | | | | | |
| Payments for property and equipment | (516,694) | - | (4,974) | (17,023) | (538,691) |
| Payments of long-term debt | - | - | - | (27,360) | (27,360) |
| Loss on dispositions of property and equipment | 11,374 | - | - | 1,185 | 12,559 |
| Net cash used in capital and related financial activities | (505,320) | - | (4,974) | (43,200) | (553,494) |
| Change in Cash and Cash Equivalents | (280,837) | 13,321 | 453,636 | 15,976 | 189,096 |
| Cash and Cash Equivalents, Beginning of Period | 457,334 | 75,452 | 171,392 | 217,927 | 922,006 |
| Cash and Cash Equivalents, End of Period | \$ 163,497 | \$ 88,773 | \$ 625,018 | \$ 233,903 | \$ 1,111,191 |

See accompanying notes to financial statements.

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Notes to Financial Statements

September 30, 2001

1. Organization and Summary of Significant Accounting Policies

The Housing Authority of The City of Lake Charles (the Authority) was incorporated April 25, 1940 under the authority of the Constitution and statutes of LSA:RS 40:381.

The Authority operates within the confines of the City of Lake Charles, Louisiana; under the direction of a five member Board of Commissioners duly appointed by the Mayor of the City of Lake Charles for staggered five-year terms. It is a primary legal entity and not a component unit of the City of Lake Charles or any other governmental unit.

Each program operated by the Authority receives federal financial assistance from the U.S. Department of Housing and Urban Development (HUD), and is subject to applicable laws and regulations. The operations of each fund are accounted for through a separate set of self-balancing accounts that comprise its assets, liabilities, fund equity, revenues, and expenditures, or expenses, as appropriate. Federal contributions are received and accounted for in the individual programs based upon the purposes for which they are to be spent. The Authority is not subject to income taxes.

A brief description of the various programs is as follows:

- Housing Authority Owned Rental Housing - Approximately 835 units of low income public housing is owned by the Housing Authority of The City of Lake Charles, and the notes are held by the Federal Government. Low Income is defined by published entry in the Federal Register per Standard Metropolitan Statistical Areas (SMSA). Tenants pay 30% of their adjusted income for such housing.
- Section 8 Public Owned Rental Housing - Section 8 Public Housing is a program designed to allow private homeowners to lease their houses to low income families. The rents are set by the Federal Government and published in the Federal Register and cannot be increased without HUD approval. The tenants pay 30% of their adjusted income for such housing. The Housing Authority of The City of Lake Charles makes up the difference between the tenants portion of the rent and the Fair Market Rent as subsidy to the homeowner. Section 8 has evolved into five programs:

Existing Housing
Moderate Rehab
Voucher
Recently Completed
Rental Rehab

continued

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Notes to Financial Statements

September 30, 2001

1. Organization and Summary of Significant Accounting Policies (continued)

- PHA Owned Rental Housing - PHA Owned Housing consists of approximately 123 units, which the Housing Authority of The City of Lake Charles has acquired through the Federal Home Loan Bank Affordable Housing Program. The basic Section 8 rules apply. The qualifications are the same income limits published in the Federal Register for Low Income Public Housing tenants. The tenants pay 30% of their adjusted income for such housing.
- Shelter Plus Care Housing - This program provides for housing for homeless individuals and families with special disabilities. The basic Section 8 rules apply.
- Drug Elimination - This program provides security measures, drug investigations, drug prevention measures, and drug education for tenants within the housing projects.
- PHA Owned Rental Housing and Other Properties - This program consists of PHA owned housing units and other properties acquired through management fees and earnings. Fair rental values are established and charged for the housing units but low income guidelines do not apply.

A. Financial Reporting Entity

The Authority is not included in any other governmental "reporting entity" as defined by the Government Accounting Standards Board (GASB) pronouncements, since its Board of Commissioners are appointed by the Mayor of the City of Lake Charles and have decision making authority to designate management and the ability to significantly influence operations and have primary accountability for fiscal matters. In addition, there are no component units as defined in GASB Statement 14, which are included in the Authority's reporting entity. These financial statements present the Authority as a primary governmental unit.

Basis of accounting refers to when revenues and expenditures or expenses are recognized in the accounts and reported in the financial statements. Basis of accounting relates to the timing of the measurements made, regardless of the measurement focus applied.

continued

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Notes to Financial Statements

September 30, 2001

1. Organization and Summary of Significant Accounting Policies (continued)

A. Financial Reporting Entity (continued)

Effective October 1, 1998, the Authority changed its basis of accounting from a modified accrual basis to generally accepted accounting principles. All funds were adjusted as of that date and forward to reflect generally accepted accounting principles.

The Governmental Accounting Standards Board (GASB) Statement Number 20 (effective for financial statements for periods beginning after December 15, 1993) provides guidance on accounting and financial reporting for proprietary fund types and allows proprietary fund types to choose one of two options in (FASB) after November 30, 1989.

The Housing Authority of The City of Lake Charles has elected to use the first option for reporting its activities. This approach applies all GASB pronouncements and FASB Statements and Interpretations, Accounting Principles Board (APB) Opinions, and Accounting Research Bulletins (ARBS) issued on or before November 30, 1989, unless those pronouncements conflict with or contradict GASB pronouncements. Changes to FASB statements and interpretations, APB opinions, and ARBs issued after November 30, 1989, would not apply unless adopted by GASB.

Total columns on the statements are captioned "Memorandum Only" to indicate that they are presented only to facilitate financial analysis. Data in these columns do not present financial position, results of operations, or cash flows in conformity with generally accepted accounting principles. Neither is such data comparable to a consolidation. Interfund eliminations have not been made in the aggregation of this data.

B. Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Continued

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Notes to Financial Statements

September 30, 2001

1. Organization and Summary of Significant Accounting Policies (continued)

C. Cash and Cash Equivalents

The Authority considers all highly liquid transactions purchased with maturity of three months or less when purchased to be cash equivalents. The Authority had no cash equivalents at September 30, 2001. Under the regulatory agreement, the Authority is required to set aside amounts for the replacement of property and other project expenditures approved by HUD. HUD-restricted deposits, which approximate \$27,550 at September 30, 2001, are held in a separate account and generally are not available for operating purposes. The replacement reserve account is shown on the balance sheet as a restricted asset.

D. Investments

Included in investments are certificates of deposits totaling \$2,135,181 of which \$776,552 is classified as restricted. Federal and State bonds with maturities of one year or less are reported on the balance sheet at amortized cost, which approximates fair value. All other investments are reported at fair value.

E. Deferred Charges

Deferred charges consist primarily of prepaid maintenance, materials, and supplies and prepaid insurance. Payments that benefit future reporting periods are reported on the consumption basis.

F. Property and Equipment and Depreciation

The Authority's property and equipment are accounted for under the accrual basis and are carried at cost less accumulated depreciation on the balance sheet. As stated previously in note 1, A., the Authority changed its basis of accounting from a modified accrual basis to generally accepted accounting principles effective October 1, 1998. This resulted in a reclassification of all accumulated fixed asset costs and write off as a charge against surplus of non-capital soft costs of \$1,036,587. In addition, prior years accumulated depreciation of \$17,134,274 was recorded as a charge against surplus.

The Authority's policy is to capitalize significant items of equipment, major renovations, buildings, and real estate. The Authority capitalizes equipment and/or personal property with an initial cost of \$300 or more and an anticipated life or useful value of said equipment or property of more than one year. Depreciation is calculated in accordance with PHA-GAAP guidelines as follows:

continued

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Notes to Financial Statements

September 30, 2001

1. Organization and Summary of Significant Accounting Policies (continued)

F. Property and Equipment and Depreciation (continued)

| | |
|-------------------------------------|---------------------------|
| Original buildings | 40 years |
| Leasehold improvements | 15 years or life of lease |
| Furniture, equipment, and machinery | 5-10 years |
| Vehicles | 3-5 years |
| Computers | 3 years |

G. Compensated Absences

Annual and sick leave is earned by each full-time and each part-time associate who has a regular tour of duty, except that no associate shall earn annual or sick leave while serving on restricted appointment or while using leave from an agency leave pool as defined in Rule 11.34. The earning of such leave is based on the equivalent of years of full-time State service and shall be creditable at the end of each calendar month or at the end of each regular pay period based on graduated rates per hour. Accrued unused annual and sick leave earned by an associate shall be carried forward to succeeding calendar years. Upon separation from the Authority, an associate is paid the value of his accrued annual leave in a lump sum disregarding any final fraction of an hour; provided the privileges of this rule shall not extend to any associate who is dismissed for theft of agency funds or property. Upon separation from the Authority, an associate is not compensated for sick leave.

2. Deposits and Investments

At September 30, 2001, the Authority had cash and cash equivalents (book balances) as follows:

| | |
|--|--------------|
| Demand deposits | \$ 1,083,241 |
| Cash on hand | 400 |
| Cash and cash equivalents (unrestricted) | 1,083,641 |
| Restricted cash | 27,550 |
| Total cash and cash equivalents | \$ 1,111,191 |

continued

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Notes to Financial Statements

September 30, 2001

2. Deposits and Investments (continued)

At September 30, 2001, the Authority had investments (book balances) as follows:

| | |
|------------------------------------|---------------------|
| Certificates of deposit | \$ 1,358,629 |
| Daily cash accumulation fund | 569,735 |
| Federal and state notes and bonds | <u>17,296</u> |
| | 1,945,660 |
| Bond premiums (discounts) | <u>244</u> |
| Investments (unrestricted) | 1,945,904 |
| Restricted certificates of deposit | <u>776,552</u> |
| Total investments | <u>\$ 2,722,456</u> |

The Authority's demand deposits and certificates of deposit as of the balance sheet date are required to be covered by the Federal Deposit Insurance Corporation (FDIC) or by the National Credit Union Share Insurance Fund (NCUSIF) and pledged securities. Under state law, these deposits (or the resulting bank balances) must be secured by federal deposit insurance or the pledge of securities owned by the fiscal agent bank. The market value of the pledged securities plus the federal deposit insurance must at all times equal the amount on deposit with the fiscal agent. The deposits at September 30, 2001 are classified to give an indication of the level of risk assumed by the Authority are as follows:

| September 30, 2001 | Demand Deposits | Daily Cash Accumulation Fund | Certificates of Deposit |
|--------------------|--------------------|------------------------------------|----------------------------|
| Carrying amount | <u>\$1,110,791</u> | <u>\$ 569,735</u> | <u>\$ 2,135,181</u> |

Bank balances:

| | | | |
|--|--------------|------------|--------------|
| a. Insured or collateralized with securities held by the Authority or its agent in the Authority's name | \$ 1,275,651 | \$ 569,735 | \$ 2,135,181 |
| b. Collateralized with securities held by pledging financial institution's trust department or agent in the Authority's name | ---- | ---- | ---- |
| c. Uncollateralized, including any securities held for the Authority but not in the Authority's name | ---- | ---- | ---- |

Total bank balances: \$ 1,275,651 \$ 569,735 \$ 2,135,181

continued

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Notes to Financial Statements

September 30, 2001

2. Deposits and Investments (continued)

Following are investments of the Authority at year-end categorized to give an indication of the level of risk assumed by the Authority. The categories are described as follows:

Category 1 - Insured, registered, or securities held by the Authority or its agent in the name of the Authority.

Category 2 - Uninsured and unregistered, with securities are held by the counterparty's trust department or agent in the name of the Authority.

Category 3 - Uninsured and unregistered, with securities help by the counterparty, or its trust department or agent, but not in the name of the Authority.

Market values represent current discounted values of certificates of deposit, federal debentures and treasury bills.

| September 30, 2001 | Category | | | Carrying Amount | Market Value |
|---------------------------------------|---------------------|------------|------------|---------------------|---------------------|
| | 1 | 2 | 3 | | |
| Certificates of deposit | \$ 2,135,181 | --- | --- | \$ 2,135,181 | \$ 2,148,166 |
| Federal National Mortgage Association | 17,540 | --- | --- | 17,540 | 17,405 |
| | <u>\$ 2,152,721</u> | <u>---</u> | <u>---</u> | <u>\$ 2,152,721</u> | <u>\$ 2,165,571</u> |

3. Accounts Receivable

Accounts receivable balances are comprised as follows:

| | |
|------------------------------------|-------------------|
| HUD | \$ 84,522 |
| Accrued interest (unrestricted) | 23,642 |
| Tenants | 33,536 |
| Miscellaneous | 181,909 |
| Accounts receivable (unrestricted) | 323,609 |
| Accrued interest (restricted) | 3,595 |
| Total accounts receivable | <u>\$ 327,204</u> |

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Notes to Financial Statements

September 30, 2001

4. Deferred Charges

Deferred charges are comprised of the following:

| | |
|-----------------------|-------------------|
| Prepaid insurance | \$ 104,637 |
| Material and supplies | <u>20,068</u> |
| | <u>\$ 124,705</u> |

5. Balances and Transactions Within the Reporting Entity

Generally, outstanding balances between programs reported as "intra-program receivable/payable" include outstanding charges by one program to another for services or goods, and other miscellaneous receivables/payables between programs.

The following schedule reports receivables and payables within the reporting entity at September 30, 2001:

| | Intra-program Receivables | Intra-program Payables |
|--------------------|------------------------------|---------------------------|
| FW-1132 | | |
| Section 8 | \$ 57 | \$ - |
| Management | 11,938 | - |
| 99 Drug Grant | 7,122 | - |
| 00 Drug Grant | 7,028 | - |
| Vouchers | - | 7,587 |
| MOD Rehab | - | <u>39</u> |
| | <u>26,145</u> | <u>7,726</u> |
| FW-2074 | | |
| Section 8 Vouchers | 90,743 | - |
| Rental | - | 57 |
| Vouchers | <u>39</u> | <u>31,751</u> |
| | <u>90,782</u> | <u>31,808</u> |
| FW-2228V | | |
| MOD Rehab | 31,751 | - |
| Rental | 7,087 | - |
| Section 8 | <u>-</u> | <u>90,743</u> |
| | <u>39,438</u> | <u>90,743</u> |
| Management | | |
| Rental | - | 11,938 |
| Drug Elimination | | |
| 99 Drug Grant | - | 7,122 |
| 00 Drug Grant | <u>-</u> | <u>7,028</u> |
| | <u>-</u> | <u>14,150</u> |
| Totals | <u>\$ 156,365</u> | <u>\$ 156,365</u> |

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Notes to Financial Statements

September 30, 2001

6. Property and Equipment

Changes in property and equipment and accumulated depreciation during the current year are as follows:

| | 9/30/00 | | | | 9/30/01 |
|---|----------------------|-------------------|-------------------|-----------|-------------------|
| | Cost | Additions | Dispositions | | Cost |
| Land | \$ 793,281 | \$ - | \$ - | \$ | 793,281 |
| Buildings | 15,575,957 | - | - | | 15,575,957 |
| Furniture, equipment, and machinery | 1,906,135 | 118,310 | 134,510 | | 1,889,935 |
| Leasehold improvements | 19,266,876 | 420,364 | - | | 19,689,260 |
| | <u>\$ 37,544,249</u> | <u>\$ 538,694</u> | <u>\$ 134,510</u> | | <u>37,948,433</u> |
| Accumulated depreciation: | | | | | |
| Balance 09/30/00 | | | | | 19,949,864 |
| Current year depreciation | | | | | 1,544,240 |
| Current year dispositions | | | | | (121,951) |
| Balance 09/30/01 | | | | | <u>21,372,153</u> |
| Capital assets, net of accumulated depreciation | | | | <u>\$</u> | <u>16,576,280</u> |

7. Accounts Payable

Accounts payable balances are as follows:

| | |
|---------------------|-------------------|
| Contract retainages | \$ 21,765 |
| HUD | 70,439 |
| Tenants' deposits | 131,548 |
| Accounts payable | <u>4,894</u> |
| | <u>\$ 228,636</u> |

The contract retainages of \$21,765 above apply against ongoing construction and repair contracts.

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Notes to Financial Statements

September 30, 2001

8. Notes Payable

The Authority is liable to a financial institution for \$61,397 plus accrued interest at 7½% for purchase of a thirty unit apartment complex. Principal and interest payments required on this note during the next five years are as follows:

| Year ended 09/30 | Principal | Interest | Total |
|------------------|------------------|-----------------|------------------|
| 2002 | \$ 29,531 | \$ 3,600 | \$ 33,131 |
| 2003 | 31,866 | 1,265 | 33,131 |
| 2004 | ---- | ---- | ---- |
| 2005 | ---- | ---- | ---- |
| 2006 | ---- | ---- | ---- |
| Total | <u>\$ 61,397</u> | <u>\$ 4,865</u> | <u>\$ 66,262</u> |

Interest expense for the year ended September 30, 2001 was approximately \$5,770.

9. Accrued Liabilities

The Authority is liable for accrued liabilities as follows:

| | |
|---------------------------------|-------------------|
| Payment in lieu of property tax | \$ 74,936 |
| Accrued vacations payable | 130,313 |
| Other | <u>30,203</u> |
| | <u>\$ 235,452</u> |

10. Contingencies and Commitments

The Authority was contingently liable in the amount of \$44,031 for prior period rent overpayments. HUD reported that the Authority was alleged to have been giving the program's owners rent adjustment increases without written requests from the owners. The Authority was required to immediately cease this practice and comply fully with the requirements as per HUD Handbook 7420.3 Rev. 2, Chap. 3. The Development Division of the New Orleans office initiated the process of recapturing the funds as a result of the ineligible rent adjustment increases. The amount of questioned funds aggregated \$55,169 and as a consequence of the request by the New Orleans office, a lawsuit was filed by a property owner disputing the allegation that excessive rent was paid. This lawsuit was dismissed by the United States District Court by a memorandum ruling on April 9, 1991. The New Orleans office has subsequently reduced the questioned funds amount to \$44,031 and proposed several alternate options for payment.

continued

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Notes to Financial Statements

September 30, 2001

10. Contingencies and Commitments (continued)

The property owner, while reserving the right to continue efforts to resolve the issue administratively or by litigation, has agreed to one of the options offered whereby the amount is to be repaid through reduced rental payments over the remaining life of the contract. As of the audit date, \$39,676 of this amount has been recovered.

In the normal course of operations, the Authority receives grant funds from federal agencies. The grant programs are subject to audit by agents of the granting authority, the purpose of which is to ensure compliance with conditions precedent to the granting of funds. Any liability for reimbursement that may arise as the result of these audits is not believed to be material.

The Authority entered into a commitment with J&J Exterminating on July 5, 2001 for all work required to apply termite treatment prevention to eleven facilities. The total consideration to be provided to J&J Exterminating as stated in the contract shall not exceed \$144,750. The funding for this contract is through the 1999 Comp Grant Program. By September 30, 2001, the Authority had remitted payments to J&J Exterminating totaling \$29,948.

The Authority entered into a commitment with Mainland Industrial Coatings, Inc. on August 10, 2001 with change orders existing and committed to on September 24, 2001 for the reroofing of the maintenance facility. The total consideration to be provided to Mainland Industrial Coatings, Inc. as stated in the contract and change orders shall not exceed \$101,540. The funding for this contract is through the 1999 Comp Grant Program. By September 30, 2001, the Authority had remitted payments to Mainland Industrial Coatings, Inc. totaling \$53,322.

11. Retirement Plan

Employees of the Authority are covered by the social security system. Additionally, employees are covered by a tax deferred retirement and savings program, which is a defined contribution pension plan.

A defined contribution pension plan provides pension benefits in return for services rendered, provides an individual account for each participant and specifies how contributions to the individual's accounts are to be determined instead of specifying the amount of benefits the individual is to receive. Under a defined contribution pension plan, the benefits a participant will receive depends solely on the amount contributed to the participant's account and the returns earned on investments of those contributions.

Under the plan the Authority contributes 8.5% of the employee's basic monthly salary to the plan with the provision that the employee is required to contribute a minimum of 6%. Employees become fully vested after five years participation in the plan. Contributions to the plan by the Authority amounted to approximately \$69,485 during the year.

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Notes to Financial Statements

September 30, 2001

12. Management Agreement

The Authority entered into a management agreement with Chateau du Lac Limited Partnership on November 24, 1981 whereby the Authority will have the duty of maintaining, servicing, repairing, promoting, publicizing, operating, and managing an apartment complex owned by Chateau du Lac Limited Partnership. The agreement calls for a management fee, from occupancy date, of 4 1/2% of gross rental income. Management fee income for the year ended September 30, 2001 was \$79,021.

13. Rent Increases

Under regulatory agreements, the Project may not increase rents charged to tenants without HUD approval.

14. Subsequent Events

Subsequent to September 30, 2001, the Authority entered into a commitment with Harmon's Construction Co. for the restoration of sidewalks at two facilities. The total consideration to be provided to Harmon's Construction Co. as stated in the contract inclusive of a subsequent change order shall not exceed \$94,572. The funding for this contract is through the 1999 Comp Grant Program.

Subsequent to September 30, 2001, the Authority entered into a commitment with Moss Architects for the design of physical improvements on the sites designated as the 2000 Capital Fund Project funded by the Department of Housing and Urban Development. Moss Architects will design the Project to be built within the constraints of the budget. The commitment to Moss Architects has not yet been determined as the Project will be under bid for various contractors.

15. New Reporting Standards

Effective for fiscal years beginning after June 15, 2000 are the provisions of the Governmental Accounting Standards Board (GASB) Statement 33, *Accounting and Financial Reporting for Nonexchange Transactions*. The effect that this Statement had to the Authority was a change in classification of receipt of capital grant monies used to acquire or construct capitalized fixed assets. Prior to the Statement's implementation, capital grant monies were classified as contributed capital. For the fiscal year ended September 30, 2001, capital grant monies are classified as "revenue".

continued

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Notes to Financial Statements

September 30, 2001

15. New Reporting Standards (continued)

In June 1999, the Governmental Accounting Standards Board (GASB) issued Statement 34, *Basic Financial Statements and Management's Discussion and Analysis for State and Local Governments*. This Statement established new financial reporting requirements for state and local governments and other special-purpose governments throughout the United States. When implemented, it will require new information and restructure much of the information that governments have presented in the past. The Authority is required to implement this standard for the fiscal year ending September 30, 2003. The Authority has not yet determined the full impact that the adoption of GASB Statement 34 will have on the financial statements. However, since the Authority has undergone the conversion from HUD basis accounting to enterprise fund GAAP, restatements when implementing GASB 34 are not expected exist.

SUPPLEMENTARY INFORMATION

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Schedule of Federal Financial Assistance

Year Ended September 30, 2001

| | CFDA Number | Grant Number | 10/01/00 - 09/30/01 Grants | | Cumulative Grants | |
|--|----------------|-----------------|-------------------------------|--------------|----------------------|--------------|
| | | | Receipts | Expenditures | Receipts | Expenditures |
| Federal assistance: | | | | | | |
| Department of Housing and Urban Development: | | | | | | |
| PHA Owned Housing | 14.850 | FW-1132 | 1,251,041.00 | 1,051,340.00 | - | - |
| Modernization LA 86-04 (LA 4-900): | 14.872 | FW-1132 | | | | |
| 1998 Comp Grant | | | 143,735.00 | 70,295.00 | 1,359,338.00 | 1,359,338.00 |
| 1999 Comp Grant | | | 578,177.00 | 630,023.00 | 820,225.00 | 889,377.00 |
| 2000 Capital Grant | | | 233,924.00 | 234,322.00 | 233,924.00 | 234,322.00 |
| PHA Leased Housing | 14.856 | FW-2074 | 550,434.00 | 555,594.00 | - | - |
| PHA Voucher Program | 14.871 | FW-2228V | 6,043,340.00 | 5,998,932.00 | - | - |
| Shelter Plus Care | 14.238 | | 186,479.00 | 186,479.00 | 559,884.00 | 559,884.00 |
| 1998 Drug Grant | 14.854 | | 209,705.00 | 197,503.00 | 250,000.00 | 250,000.00 |
| 1999 Drug Grant | 14.854 | | 70,841.00 | 77,413.00 | 84,915.00 | 92,037.00 |
| 2000 Drug Grant | 14.854 | | 19,828.00 | 25,855.00 | 19,828.00 | 25,855.00 |

Tax
Accounting and Auditing
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DUNN, ROBERTS
& COMPANY, LLC

Report of Independent Auditors on Compliance and on Internal Control
Over Financial Reporting Based on an Audit of Financial Statements
Performed in Accordance With Government Auditing Standards

Board of Commissioners
Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

We have audited the financial statements of the Housing Authority of The City of Lake Charles as of and for the year ended September 30, 2001, and have issued our report thereon dated May 7, 2002. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Compliance

As part of obtaining reasonable assurance about whether the Housing Authority of The City of Lake Charles' financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grants, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance that are required to be reported under *Government Auditing Standards*. The results of our tests disclosed one instance of noncompliance that is required to be reported under *Government Auditing Standards* and which are described in the accompanying schedule of findings and questioned costs as item B1.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the Housing Authority of The City of Lake Charles' internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and not to provide assurance on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control over financial reporting that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements in amounts that would be material in relation to the

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financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weaknesses.

Status of Prior Audit Findings

As reported in the prior audit, the U.S. Department of Housing and Urban Development reported that the Housing Authority of The City of Lake Charles was alleged to have been giving the program's owners rent adjustment increases without written requests from the owners. The Housing Authority was required to immediately cease this practice and comply fully with the requirements as per HUD Handbook 7420.3 Rev. 2, Chap. 3. The Development Division of the New Orleans office initiated the process of recapturing the funds as a result of the ineligible rent adjustment increases. The amount of questioned funds aggregated \$55,169 and as a consequence of the request by the New Orleans office, a property owner disputing the allegation that excessive rent was paid filed a lawsuit. This lawsuit was dismissed by the United States District Court by a memorandum ruling on April 9, 1991. The New Orleans office has subsequently reduced the questioned funds amount to \$44,031 and proposed several alternate options for payment. The property owner, while reserving the right to continue efforts to resolve the issue administratively or by litigation, has agreed to one of the options offered whereby the amount is to be repaid through reduced rental payments over the remaining life of the contract. As of the audit date, \$39,676 of this amount has been recovered.

This report is intended for the information and use of the U.S. Department of Housing and Urban Development, management, and the Louisiana Legislative Auditor. However, this report is a matter of public record and its distribution is not limited.

Dunn, Roberts & Company, LLC
DUNN, ROBERTS & COMPANY, LLC

Lake Charles, Louisiana
May 7, 2002



DUNN, ROBERTS
& COMPANY, LLC

Tax
Accounting and Auditing
Financial Planning and Forecasting
Estate Planning
Management Advisory Services
Small Business Accounting Services



DUNN, ROBERTS
& COMPANY, LLC

**Report of Independent Auditors on Compliance With Requirements
Applicable to Each Major Program and on Internal Control Over
Compliance in Accordance With OMB Circular A-133**

Board of Commissioners
Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Compliance

We have audited the compliance of the Housing Authority of The City of Lake Charles with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended September 30, 2001. The Housing Authority of The City of Lake Charles' major federal programs are identified in the schedule of expenditures of federal awards. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the Housing Authority of The City of Lake Charles' management. Our responsibility is to express an opinion on the Housing Authority of The City of Lake Charles' compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the *Consolidated Audit Guide for Audits of HUD Programs* (the Guide) issued by the U.S. Department of Housing and Urban Development; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Housing Authority of The City of Lake Charles' compliance with those requirements and performing such other procedures as we considered necessary in circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the Housing Authority of The City of Lake Charles' compliance with those requirements.

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Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Schedule of Findings and Questioned Costs

Year Ended September 30, 2001

A. SUMMARY OF AUDITORS' RESULTS

1. The auditors' report expresses an unqualified opinion on the financial statements of the Housing Authority of The City of Lake Charles.
2. One reportable condition disclosed during the audit of the financial statements is reported in the report on compliance and on internal control over financial reporting based on an audit of financial statements performed in accordance with *Government Auditing Standards*. The condition is not reported as a material weakness.
3. No instances of noncompliance material to the financial statements of the Housing Authority of The City of Lake Charles would be required to be reported in accordance with *Government Auditing Standards*, were disclosed during the audit.
4. No reportable conditions were disclosed during the audit of the major federal award programs that would be required to be reported in accordance with OMB Circular A-133.
5. The auditors' report on compliance for the major federal award programs for the Housing Authority of The City of Lake Charles expresses an unqualified opinion on all major federal programs.
6. There were no audit findings relative to the major federal award programs for the Housing Authority of The City of Lake Charles.
7. The programs tested as major program included:
 - a. PHA Owned Housing- CFDA 14.850
 - b. Modernization LA 86-04 (LA 4-905) - CFDA 14.872
 - c. PHA Leased Housing - CFDA 14.856
 - d. PHA Voucher Program - CFDA 14.871
 - e. Drug Elimination Program - CFDA 14.854
8. The threshold for distinguishing Types A and B programs was \$300,000.
9. The Housing Authority of The City of Lake Charles was determined to be a low-risk auditee.

B. FINDINGS - FINANCIAL STATEMENT AUDIT

REPORTABLE CONDITIONS

B1. Noncompliance with state law

Condition: The Housing Authority of The City of Lake Charles did not meet the statutory completion date for filing the annual audit with the Legislative Auditor's office. The statutory completion date was March 31, 2002.

continued

Housing Authority of The City of Lake Charles
Lake Charles, Louisiana

Schedule of Findings and Questioned Costs (continued)

Year Ended September 30, 2001

B1. Noncompliance with state law (continued)

Criteria: Although no effect to the Authority is contemplated, internal controls should be in place to strive to meet this deadline as has been done in the past.

Effect: A statement of notification was filed with the Legislative Auditor's office and no effect should exist.

Recommendation: Procedures should be implemented to provide for the oversight of the confirmation and representation process to ensure that confirmations and representations are received timely in order to adhere to all deadlines.

Response: The Authority is aware of the deadlines and has met statutory deadlines in the past. The Authority does not anticipate this to be an issue in the future.

C. FINDINGS – MAJOR FEDERAL AWARD PROGRAMS AUDIT

No matters were reported.

17. PHA Asset Management

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes ☒ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
☒ Not applicable
☐ Private management
☐ Development-based accounting
☐ Comprehensive stock assessment
☐ Other: (list below)
3. ☐ Yes ☐ No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

A. Resident Advisory Board Recommendations

1. X Yes ☐ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
☐ Attached at Attachment (File name)
X Provided below:
THE RAC FEELS THAT THE ANNUAL PLAN OF THE LCHA IS IN COMPLIANCE WITH HUD'S PLAN AND THEY HAVE NO SUGGESTIONS OR CHANGES
3. In what manner did the PHA address those comments? (select all that apply)
X Considered comments, but determined that no changes to the PHA Plan were necessary.
☐ The PHA changed portions of the PHA Plan in response to comments
List changes below:
☐ Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. ☐ Yes X No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. ☐ Yes X No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- ☐ Candidates were nominated by resident and assisted family organizations
- X Candidates could be nominated by any adult recipient of PHA assistance
- X Self-nomination: Candidates registered with the PHA and requested a place on ballot
- X Other: (describe) APPOINTED BY THE MAYOR

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- X Any head of household receiving PHA assistance
- ☐ Any adult recipient of PHA assistance
- ☐ Any adult member of a resident or assisted family organization
- ☐ Other (list)

c. Eligible voters: (select all that apply)

- X All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- ☐ Representatives of all PHA resident and assisted family organizations
- ☐ Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: Lake Charles, Calcasieu, Louisiana
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- X the PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- X The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- X The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- X Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

☐ Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

By Certification of the Mayor of Lake Charles that the LCHA is in compliance

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

A

HOUSING AUTHORITY of the city of LAKE CHARLES

"Providing safe, decent, affordable housing to low income families"

Commissioners:

Esther L. Vincent
Dave McCarty
Reverend Franklin Fondel
Kay Barnett
Margaret Jackson

S. Benjamin Taylor, JR.
Executive Director

FROM: The Lake Charles Housing Authority Resident Advisory Council

The Lake Charles Housing Authority Advisory Board met with the Executive Director, Mr. Ben Taylor, this date to review the Annual Consolidated Plan for year 2002 for the Lake Charles Housing Authority.

After review, the Resident Advisory Board hereby agrees to the Annual Plan for year 2002 and any/all changes to the Consolidated Plan affected by the Annual Plan.

Joseph D. Davis 6-25-02

Pamela P. Dorseau 6-25-02

Monique 6/25/02

MaryAnn Roach 6-25-02

Emma McCallough 6/25/02

ADMINISTRATIVE OFFICES
800 BILBO STREET - SUITE C
(337) 439-4189 - FAX (337) 439-1309

P.O. BOX 1206, LAKE CHARLES, LOUISIANA
70602

SECTION 8 PROGRAM
800 BILBO STREET - SUITE A
(337) 436-7628 - FAX (337) 436-9798

LAKE CHARLES HOUSING AUTHORITY
GOALS AND OBJECTIVES

- A. Providing decent, safe and affordable housing in our Developments.**
 - B. Ensuring equal opportunity in housing for everyone.**
 - C. Promoting self-sufficiency and asset development of financially disadvantaged families and individuals.**
 - D. Improving community quality of life and economic vitality.**
 - E. Increase resident participation through our Resident Advisory Council.**
 - F. To provide timely response to residents request for maintenance problems.**
 - G. To have a 10-day turnover time on vacated units.**
 - H. To continue to enforce our “One Strike” policies for residents and applicants.**
 - I. To improve and/or maintain our financial stability through aggressive rent collections and improved reserve position.**
-

C

HOUSING AUTHORITY of the CITY of LAKE CHARLES

"Providing safe, decent, affordable housing to low income families"

Commissioners:

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Dave McCarty
Reverend Franklin Fondel
Kay Barnett
Margaret Jackson

S. Benjamin Taylor, JR.
Executive Director

February 21, 2002


Mr. Chester J. Drozdowski
Director, Office of Public Housing
U.S. Department of Housing & Urban Development
Hale Boggs Building
501 Magazine Street - Ninth Floor
New Orleans, Louisiana 70130

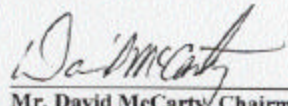
Re: Certification of Low Rent Public Housing

Dear Sir:

The Lake Charles Housing Authority hereby confirms and certifies that it administers and maintains 833 Units of Low Rent Public Housing at scattered sites throughout the City Limits of Lake Charles, Louisiana.

Sincerely,


S. Benjamin Taylor, Jr.
Executive Director


Mr. David McCarty, Chairman
Board of Commissioners
Lake Charles Housing Authority

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D

HOUSING AUTHORITY of the CITY of LAKE CHARLES

"Providing safe, decent, affordable housing to low income families"

Commissioners:

Esther L. Vincent
Dave McCarty
Reverend Franklin Fondel
Kay Barnett
Margaret Jackson

S. Benjamin Taylor, JR.
Executive Director

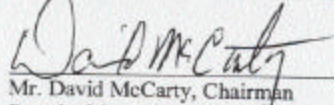
To this extent the LCHA shall insure that not less than forty percent (40%) of all new admissions shall be families whose income at the time of their admission does not exceed thirty percent (30%) of the area's median income.

The LCHA does not intend to utilize and/or impose any specific income or racial quotas nor will the LCHA offer incentives for eligible families to occupy units in Developments predominately occupied by families having either lower or higher incomes.

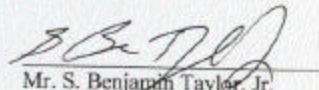
Deconcentration / Income Mixing Policy: It is the Lake Charles Housing Authority's Policy to ensure that all residents are afforded housing based on their income to ensure that all Developments have mixed income families. To achieve this goal, the LCHA policy is stated as follows:

"Selection will be based on income targeting of eligible families where either higher or lower income families will be selected as required. Occupancy of not less than forty percent (40%) of eight hundred and thirty-five (835) total units shall be occupied by families whose income at the time of move-in does not exceed thirty percent (30%) of Calcasieu Parish Median Income as determined by HUD. Occupancy of sixty percent (60%) of the eight hundred and thirty-five (835) total units shall not exceed the areas median income. The LCHA will utilize local preferences to ensure that families are housed in conjunction with the published policies."

To ensure that this goal is met, the Lake Charles Housing Authority will advertise in all local media utilizing KPLC TV as well all local radio stations and the Lake Charles American Press.



Mr. David McCarty, Chairman
Board of Commissioners
Lake Charles Housing Authority



Mr. S. Benjamin Taylor, Jr.
Executive Director

Date: February 21, 2002

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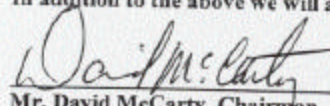
HOUSING AUTHORITY of the city of LAKE CHARLES

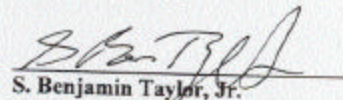
"Providing safe, decent, affordable housing to low income families"

The Lake Charles Housing Authority will abide by all Civil Rights Certification Actions as prescribed by the following:


- a. Title VI of the Civil Rights Act of 1964
- b. The Fair Housing Act
- c. Section 504 of the Rehabilitation Act of 1993
- d. Title II of the American with Disabilities Act of 1999

In addition to the above we will affirmatively further fair housing practices.


Mr. David McCarty, Chairman
Board of Commissioners
Lake Charles Housing Authority


S. Benjamin Taylor, Jr.
Executive Director

THUS DONE AND SIGNED THIS 21 day of February, 2002, at Lake Charles, Louisiana in the presence of the undersigned Notary Public.


Notary Public

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HOUSING AUTHORITY of the city of lake charles

"Providing safe, decent, affordable housing to low income families"

LAKE CHARLES HOUSING AUTHORITY

NEEDS ASSESSMENT TRANSITION PLAN

To comply with Federal Regulations the Lake Charles Housing Authority completed 5% of the non-elderly units in each of our Developments to be handicapped accessible. Any further modifications to units will be done on a as need and as requested by disabled persons that meet the criteria of SSI or Doctor's statements.

Priority for disabled persons will be used in filling the handicapped Units. But if a unit remains vacant for over three weeks, a non-handicapped person will be housed and when someone applies that needs a handicapped accessible unit we will then transfer the resident to a suitable unit.

We will continue our outreach by advertising for handicapped applicants with the various social service agencies that serve that population.

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HOUSING AUTHORITY of the city of LAKE CHARLES

"Providing safe, decent, affordable housing to low income families"

The Lake Charles Housing Authority is a local Housing Authority duly authorized to conduct business by both the State of Louisiana and the Department of Housing and Urban Development. The Lake Charles Housing Authority conducts in this Development, a combination of voluntary and mandatory resident training programs trying to foster job skills and life enrichment skills for our clientele that live in these apartments. We also participate in a Section 8 Self Sufficiency Program that is designed to empower the urban poor by use of mandatory education and job related training and counseling. We also provide housing counseling services provided by our staff that will be able to instruct these residents in preparing budgets, money management, housing quality and cleanliness. We also use shared/mutual housing for elderly and handicapped persons. First Federal Savings and Loan Association has conducted in our Developments under the Affordable Housing Program, and will continue to conduct, seminars on "Ways to Grow a Home", and identify residents that have the capability to become a home owner and refer homeownership with the Community Housing Resource Board, which is a specific program designed to encourage home ownership for low income people as defined per HUD Regulations. We also have counseling provided by the Community Housing Resource Board. We provide this service as well as many others to our residents such as our Adult Education Program. This gives them the opportunity to obtain their GED or further their educational skills. We participate in the Calcasieu Parish Office of Community Service Commodity Food Distribution Program where we distribute surplus foods from the U.S. Department of Agriculture, such as cheese, rice, butter, dry milk, to those residents who qualify under our guidelines so that we can help them maintain proper nutritional balance in their diets. The Lake Charles Housing Authority is a Political Subdivision of the State of Louisiana, who's mission is to own and manage and provide safe, decent, sanitary housing to low income families.

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H

HOUSING AUTHORITY of the city of lake charles

"Providing safe, decent, affordable housing to low income families"

COMPREHENSIVE GRANT PROGRAM POLICY FOR EMPLOYMENT OF RESIDENTS

The Comprehensive Grant Program is a program designed to do modernization at all levels within the Developments of Housing Authorities throughout the United States. This funding from the Department of Housing & Urban Development is awarded annually to those Housing Authorities who meet the criteria required by HUD. Within the Comp Grant is a training program for residents of Public Housing. This program has been in place at the Lake Charles Housing Authority since the 1993 Comprehensive Grant Program was funded.

The purpose of the Comprehensive Grant Program employment of residents of the Lake Charles Housing Authority is to provide work training experience for residents of Public Housing, Section 8 Housing and Lake Charles Housing Authority Managed Housing to enable them to find meaningful employment in the private sector.

Job Placement will be in two categories; Clerical and Maintenance. The Clerical job description will cover all phases of property management, lease enforcement, rent collection, inspection of Units, recertification of residents, referral to social service agencies. While the Maintenance job description includes basic training in repair work, basic carpentry, basic painting and drywall and basic plumbing repair of rental Units.

The requirements of the Comp Grant Worker are:

1. Must be a resident of Public Housing, Section 8 or Managed Housing.
2. Must be able to perform duties assigned.
3. Must be able to pass physical exam and drug screen.
4. Realizing that education is an essential part of preparing one's self for the job market if our Comp Grant Workers do not have a High School Diploma or GED,
We will make it part of the Comp Grant experience that they attend GED training at an absolute minimum.
5. The training cycle will last one year or until such time as the employee is able to Find employment in the work force.
6. These positions will receive prevailing minimum wage.

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HOUSING AUTHORITY of the city of LAKE CHARLES

"Providing safe, decent, affordable housing to low income families"

The Lake Charles Housing Authority in cooperation with the Lake Charles City Police Department has been and continues to work together to provide Police protection for the residents of the Lake Charles Housing Authority. Because of this ongoing cooperation, the residents have been subjected to a lesser degree of drug/violent criminal activity.

In order to continue to provide security for the residents of the Lake Charles Housing Authority the following measures are and will continue to be undertaken:

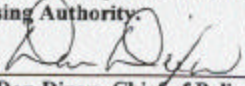
Identifying needs to ensure the safety of the residents of the Lake Charles Housing Authority in conjunction with the Lake Charles City Police Department and ensuring through meetings with members of the Police Department that these measures are being acted upon.

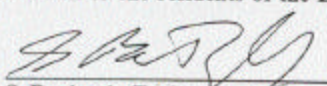
In conjunction with the Lake Charles City Police Department, Community Police Officers conduct crime prevention seminars in our Community Buildings for all residents.

Coordination of activities for crime prevention measures are discussed and agreed to by both the Executive Director and the Chief of Police.

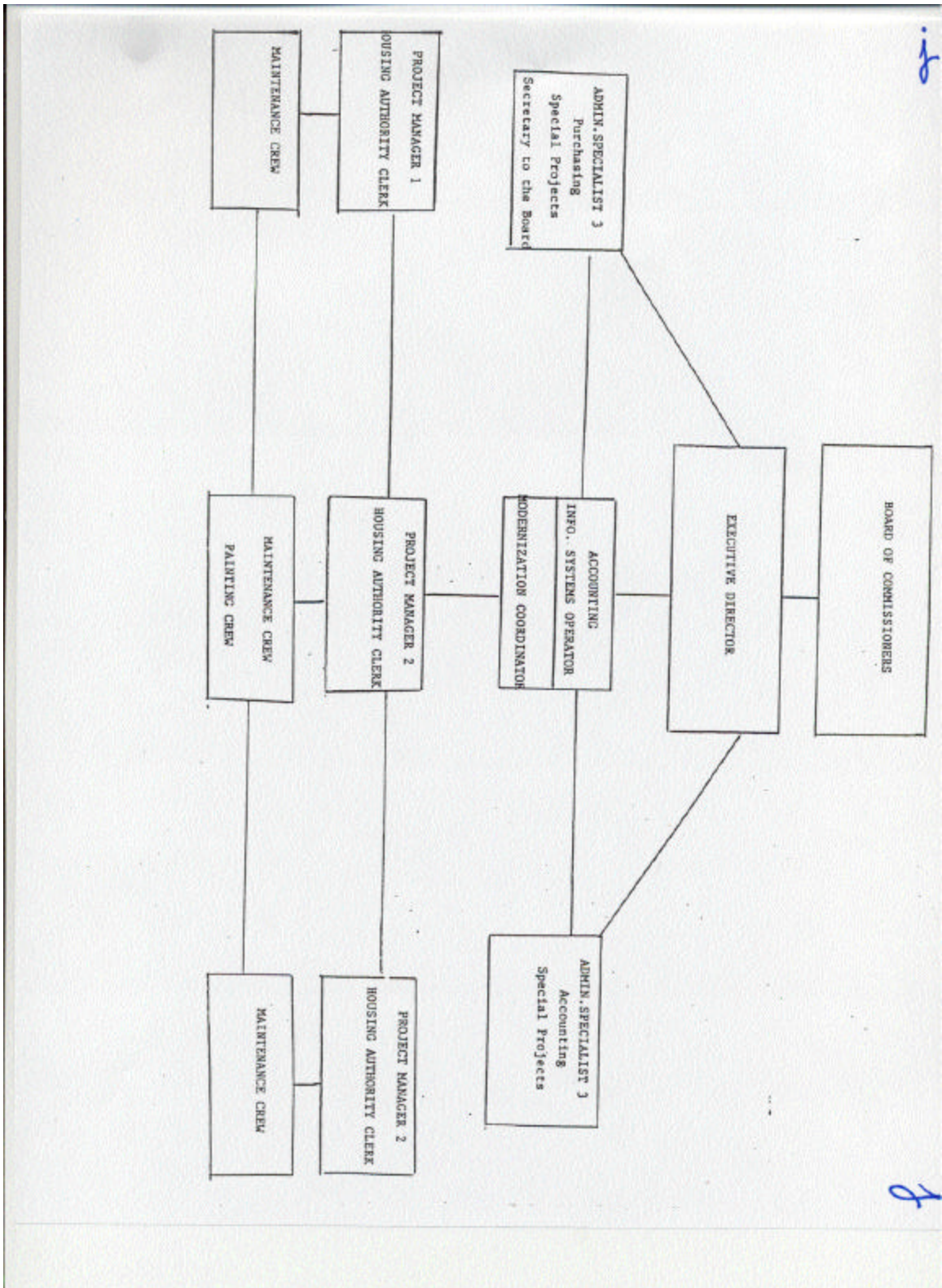
The Lake Charles City Police Department has always been more than receptive to provide any information to the Lake Charles Housing Authority in response to our requests regarding the Public Housing Drug Elimination Program.

The cooperation between the Lake Charles Housing Authority and the Lake Charles City Police Department has been and continues to be one that works in the spirit of cooperation to provide the best police protection to the residents of the Lake Charles Housing Authority.


Mr. Don Dixon, Chief of Police
Lake Charles City Police Department

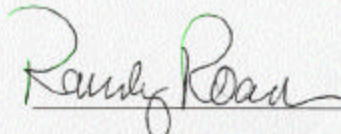

S. Benjamin Taylor, Jr.
Executive Director

Date: 4-16-02



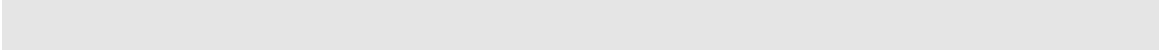
**Certification by State or Local Official of PHA Plans Consistency with
the Consolidated Plan**

I, Randy Roach the Mayor of the City of Lake Charles, Louisiana certify
that the Five Year and Annual PHA Plan of the Lake Charles Housing Authority is
consistent with the Consolidated Plan of the City of Lake Charles prepared
pursuant to 24 CFR Part 91.



Signed / Dated by Appropriate State or Local Official

4-24-02



**PHA Certifications of Compliance with the PHA Plans
and Related Regulations
Board Resolution to Accompany the PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year Plan and Annual Plan for PHA fiscal year beginning 10/1/01, hereinafter referred to as the Plan of which this document is a part and make the following certifications and agreements with the Department of Housing Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
5. The PHA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
7. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's MTCS in an accurate, complete and timely manner (as specified in PIH Notice 99-2);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
9. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
10. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low- or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
11. The PHA has submitted with the Plan a certification with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.
12. The PHA has submitted with the Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.
13. For PHA Plan that includes a PHDEP Plan as specified in 24 CFR 761.21: The PHDEP Plan is consistent with and conforms to the "Plan Requirements" and "Grantee Performance Requirements" as specified in 24 CFR 761.21 and 761.23 respectively and the PHA will maintain and have available for review/inspection (at all times), records or documentation of the following:
 - Baseline law enforcement services for public housing developments assisted under the PHDEP plan;
 - Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15);
 - Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities;
 - Coordination with other law enforcement efforts;
 - Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and
 - All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan.
14. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
15. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
16. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
17. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
18. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
19. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.
20. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments.).
21. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.

PHA Certifications of Compliance with the PHA Plans and Related Regulations

12/99

Page 2 of 3

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

22. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and attachments at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.

Lake Charles Housing Authority LAP004
PHA Name PHA Number

 5/20/02
Signed/Dated by PHA Board Chair or other authorized PHA official
David McCarty, Chairman

HOUSING AUTHORITY - CITY OF LAKE CHARLES

Statement of Income and Expenses
For the Six Months Ended
March 31, 2002

GRAGSON, CASIDAY & GUILLORY, L.L.P.
Certified Public Accounts
One Lakeside Plaza, Suite 700

May 15, 2002

HOUSING AUTHORITY-CITY OF LAKE CHARLES
S. Ben Taylor, Jr., Director
P. O. Box 1206
Lake Charles, LA 70602

We have compiled the accompanying statement of income and expenses of the Housing Authority of the City of Lake Charles, La. for the six months ended March 31, 2002, included in the accompanying prescribed form in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants.

A compilation is limited to presenting, in the form prescribed by the Department of Housing and Urban Development, information that is the representation of management. We have not audited or reviewed the accompanying financial statements and, accordingly, we do not express an opinion or any other form of assurance on them.

These financial statements are presented in accordance with the requirements of the Department of Housing and Urban Development, which differ from generally accepted accounting principles. Accordingly, these financial statements are not designed for those who are not informed about such differences.

Gragson, Casiday, Guillory

HOUSING AUTHORITY-CITY OF LAKE CHARLES
Statement of Income and Expenses
For the Six Months Ended March 31, 2002

| | Current Period Actual | % | Year-to-Date Actual | % | Annual Budget | Unexpended Budget | % |
|--------------------------|--------------------------|---|------------------------|---|------------------|----------------------|------|
| LOW RENT | | | | | | | |
| PROJECT #1132 | | | | | | | |
| Page 1 | | | | | | | |
| Operating Receipts | | | | | | | |
| Rental Income | | | | | | | |
| Dwelling Rental | \$288,382.00 | | \$582,581.00 | | \$1,340,564.00 | \$757,983.00 | 56.5 |
| | 288,382.00 | | 582,581.00 | | 1,340,564.00 | 757,983.00 | 56.5 |
| Operating Income | | | | | | | |
| Interest-Operating Res | 16,053.92 | | 32,543.49 | | 69,000.00 | 36,456.51 | 52.8 |
| Other Income | 406.00 | | 1,093.50 | | 1,400.00 | 306.50 | 21.9 |
| | 16,459.92 | | 33,636.99 | | 70,400.00 | 36,763.01 | 52.2 |
| Total Operating Receipts | 304,841.92 | | 616,217.99 | | 1,410,964.00 | 794,746.01 | 56.3 |

(See accountants' compilation report)

HOUSING AUTHORITY-CITY OF LAKE CHARLES
Statement of Income and Expenses
For the Six Months Ended March 31, 2002

| | Current Period | | Year-to-Date | | Annual | Unexpended | |
|-------------------------|----------------|---|--------------|---|------------|------------|-------|
| | Actual | % | Actual | % | Budget | Budget | % |
| LOW RENT | | | | | | | |
| PROJECT #1132 | | | | | | | |
| Page 2 | | | | | | | |
| Expenses | | | | | | | |
| Administrative Expenses | | | | | | | |
| Administrative Salaries | 66,167.40 | | 135,670.08 | | 357,935.00 | 222,264.92 | 62.1 |
| Legal Expense | 1,531.25 | | 2,968.75 | | 30,329.00 | 27,360.25 | 90.2 |
| Travel | 7,936.78 | | 9,984.38 | | 21,222.00 | 11,237.62 | 53.0 |
| Accounting/Audit Fees | 5,334.00 | | 10,668.00 | | 40,435.00 | 29,767.00 | 73.6 |
| Office Rent | 3,000.00 | | 6,000.00 | | 12,000.00 | 6,000.00 | 50.0 |
| Sundry | 24,918.15 | | 52,407.88 | | 72,685.00 | 20,277.12 | 27.9 |
| | 108,887.58 | | 217,699.09 | | 534,606.00 | 316,906.91 | 59.3 |
| Tenant Services | | | | | | | |
| Salaries | 19,377.64 | | 38,890.64 | | 107,922.00 | 69,031.36 | 64.0 |
| Recreation, Publication | 586.05 | | 93.50 | | 1,950.00 | 1,856.50 | 95.2 |
| Contract Cost, Training | 0.00 | | 0.00 | | 2,400.00 | 2,400.00 | 100.0 |
| | 19,963.69 | | 38,984.14 | | 112,272.00 | 73,287.86 | 65.3 |
| Utilities | | | | | | | |
| Water | 30,841.38 | | 58,189.99 | | 108,694.00 | 50,704.01 | 46.6 |
| Electricity | 16,012.39 | | 31,779.10 | | 152,186.00 | 120,415.90 | 79.1 |
| Gas | 10,287.68 | | 33,222.52 | | 322,490.00 | 289,267.48 | 89.7 |
| | 57,141.45 | | 123,182.61 | | 583,370.00 | 460,387.39 | 78.9 |
| Ordinary Maintenance | | | | | | | |
| Labor | 103,548.30 | | 207,350.60 | | 493,844.00 | 286,493.32 | 58.0 |
| Materials | 52,293.60 | | 98,922.71 | | 180,000.00 | 81,077.29 | 45.0 |
| Contract Costs | 79,134.98 | | 137,857.87 | | 204,000.00 | 66,142.13 | 32.4 |
| | 234,976.88 | | 444,131.26 | | 877,844.00 | 433,712.74 | 49.4 |
| Protective Services | | | | | | | |
| | 0.00 | | 0.00 | | 0.00 | 0.00 | |

(See accountants' compilation report)

HOUSING AUTHORITY-CITY OF LAKE CHARLES
Statement of Income and Expenses
For the Six Months Ended March 31, 2002

| | Current Period | % | Year-to-Date | % | Annual | Unexpended | % |
|----------------------------|----------------|---|--------------|---|--------------|--------------|-------|
| | Actual | | Actual | | Budget | Budget | |
| LOW RENT | | | | | | | |
| PROJECT #1132 | | | | | | | |
| Page 3 | | | | | | | |
| General Expenses | | | | | | | |
| Insurance | 74,316.71 | | 135,042.95 | | 269,045.00 | 134,002.05 | 49.8 |
| Payment in Lieu of Taxes | 22,767.81 | | 45,506.23 | | 75,699.00 | 30,192.77 | 39.9 |
| Terminal Leave Payments | 0.00 | | 0.00 | | 5,000.00 | 5,000.00 | 100.0 |
| Employee Benefit Contrib | 73,621.27 | | 133,542.34 | | 279,800.00 | 146,257.66 | 52.3 |
| Collection Losses | (1,858.50) | | (3,166.49) | | 32,000.00 | 33,166.49 | 109.9 |
| Other General | 0.00 | | 432.00 | | 0.00 | (432.00) | |
| | 168,847.29 | | 311,357.03 | | 661,544.00 | 350,186.97 | 52.9 |
| Total Routine Expenses | 589,816.89 | | 1,135,354.13 | | 2,769,836.00 | 1,634,481.87 | 59.0 |
| Non-Routine Expenses | | | | | | | |
| Extra-Ordin Maintenance | 0.00 | | 0.00 | | 10,000.00 | 10,000.00 | 100.0 |
| | 0.00 | | 0.00 | | 10,000.00 | 10,000.00 | 100.0 |
| Total Operating Expenses | 589,816.89 | | 1,135,354.13 | | 2,779,836.00 | 1,644,481.87 | 59.2 |
| Capital Expenditures | | | | | | | |
| Replacement of Nonexpend | 0.00 | | 0.00 | | 10,000.00 | 10,000.00 | 100.0 |
| | 0.00 | | 0.00 | | 10,000.00 | 10,000.00 | 100.0 |
| Operating Expenses-Current | 589,816.89 | | 1,135,354.13 | | 2,789,836.00 | 1,654,481.87 | 59.3 |

(See accountants' compilation report)

HOUSING AUTHORITY-CITY OF LAKE CHARLES
Statement of Income and Expenses
For the Six Months Ended March 31, 2002

| | Current Period Actual | % | Year-to-Date Actual | % | Annual Budget | Unexpended Budget | % |
|-------------------------------------|--------------------------|---|------------------------|---|------------------|----------------------|--------|
| LOW RENT PROJECT #1132 | | | | | | | |
| Page 4 | | | | | | | |
| Other Deductions | 0.00 | | 0.00 | | 0.00 | 0.00 | |
| Total Expenses | 589,816.89 | | 1,135,354.13 | | 2,739,836.00 | 1,654,481.87 | 59.3 |
| Net Receipts Before Contribution | (284,974.97) | | (519,136.14) | | (1,378,872.00) | (859,735.86) | 62.4 |
| HUD Contributions | 0.00 | | 0.00 | | 0.00 | 0.00 | |
| Contribution Earned Current Year | 1,056,750.00 | | 1,322,085.00 | | 1,371,790.00 | 49,705.00 | 3.6 |
| | 1,056,750.00 | | 1,322,085.00 | | 1,371,790.00 | 49,705.00 | 3.6 |
| Total Contributions | 1,056,750.00 | | 1,322,085.00 | | 1,371,790.00 | 49,705.00 | 3.6 |
| Res Receipts before Reserve | 771,775.03 | | 802,948.86 | | (7,082.00) | (810,030.86) | 1437.9 |
| Provision for Reserve | \$771,775.03 | | \$802,948.86 | | (57,082.00) | (\$810,030.86) | 1437.9 |

(See accountants' compilation report)

HOUSING AUTHORITY of the city of LAKE CHARLES

"Providing safe, decent, affordable housing to low income families"

Commissioners:

Esther L. Vincent
Dave McCarty
Reverend Franklin Fondel
Kay Barnett
Margaret Jackson

S. Benjamin Taylor, JR.
Executive Director

June 21, 2001

U. S. Department of Housing & Urban Development
Office of Public Housing
501 Magazine Street, 9th Floor
New Orleans, La. 70130

RE: Operating Budget FY 2001/2002

Dear Mrs. Thompson,

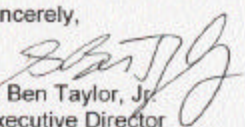
Enclosed is our proposed Operating Budget for FY 2001/2002, as approved by the Board of Commissioners at the June 21, 2001 regular meeting of the board.

Included are the following: (Original and 2 copies of each)

HUD Form 52721 - Direct Disbursement Payment Schedule Data
HUD Form 50070 - Certification of Drug Free Work-Place
HUD Form 50071 - Certification of Contract, Grants, Loans, and
Cooperative Agreements
HUD Form 52574 - PHA Board Resolution Approving Low-Rent
Operating Budget
Anti-Lobbying Certification
Form LLL - Disclosure of Lobbying Activities
5 Board Approved Resolutions

If you have any questions, please contact me at (318) 439-4189.

Sincerely,


S. Ben Taylor, Jr.
Executive Director

Enclosures

ADMINISTRATIVE OFFICES
800 BILBO STREET - SUITE C
(337) 439-4189 - FAX (337) 439-1309

P.O. BOX 1206, LAKE CHARLES, LOUISIANA
70602

SECTION 8 PROGRAM
800 BILBO STREET - SUITE A
(337) 436-7628 - FAX (337) 436-9798

Operating Budget

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

CMB Approval No. 2577-0028 (exp. 6/30/2001)

See page four for Instructions and the Public reporting burden statement

| | | | | | | | |
|---|--|---|---|--|--|--|--|
| a. Type of Submission <input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision No. _____ | | b. Fiscal Year Ending 9/30/03 | c. No. of months (check one) <input checked="" type="checkbox"/> 12 mo. <input type="checkbox"/> Other (specify) _____ | d. Type of HUD assisted project(s) 01 <input checked="" type="checkbox"/> PHA/IHA-Owned Rental Housing 02 <input type="checkbox"/> IHA Owned Mutual Help Homeownership 03 <input type="checkbox"/> PHA/IHA Leased Rental Housing 04 <input type="checkbox"/> PHA/IHA Owned Turnkey III Homeownership 05 <input type="checkbox"/> PHA/IHA Leased Homeownership | | | |
| e. Name of Public Housing Agency / Indian Housing Authority (PHA/IHA) Housing Authority of the City of Lake Charles | | | | | | | |
| f. Address (City, State, zip code) 800 Bilbo Street, P. O. Box 1206 Lake Charles, La. 70602-1206 | | | | | | | |
| g. ACC Number LA-004 | | h. PAS / LCCCS Project No. LA004- 1,2,3,4,5,6,8,9,10,13 | | i. HUD Field Office New Orleans, La. | | | |
| j. No. of Dwelling Units 833 | | k. No. of Unit Months 9,996 | | l. No. of Projects 10 | | | |

| Line No. | Acct. No. | Description (1) | Actuals Last Fiscal Yr. 19 2001 PUM (2) | <input checked="" type="checkbox"/> Estimates or Actual Current Budget Yr. 19 2002 PUM (3) | Requested Budget Estimates | | |
|--|---|--|---|---|--|--|--|
| | | | | | PHA/IHA Estimates Amount (to nearest \$10) PUM (4) | PHA/IHA Estimates Amount (to nearest \$10) PUM (5) | HUD Modifications Amount (to nearest \$10) PUM (6) |
| Homebuyers Monthly Payments for | | | | | | | |
| 010 | 7710 | Operating Expense | | | | | |
| 020 | 7712 | Earned Home Payments | | | | | |
| 030 | 7714 | Nonroutine Maintenance Reserve | | | | | |
| 040 | Total Break-Even Amount (sum of lines 010, 020, and 030) | | | | | | |
| 050 | 7716 | Excess (or deficit) in Break-Even | | | | | |
| 060 | 7790 | Homebuyers Monthly Payments - Contra | | | | | |
| Operating Receipts | | | | | | | |
| 070 | 3110 | Dwelling Rental | 126.69 | 134.11 | 121.72 | 1,216,713 | |
| 080 | 3120 | Excess Utilities | | | | | |
| 090 | 3190 | Non dwelling Rental | | | | | |
| 100 | Total Rental Income (sum of lines 070, 080, and 090) | | | | | | |
| 110 | 3610 | Interest on General Fund Investments <i>Estimate is 9.12</i> | 9.12 | 6.90 | 5.66 | 56,530 | |
| 120 | 3690 | Other Income <i>46 813102 1093.50 6 mos X 12 mos</i> | 0.12 | 0.14 | 0.22 | 2,187 | |
| 130 | Total Operating Income (sum of lines 100, 110, and 120) | | | | | | |
| 140 | Operating Expenditures - Administration | | | | | | |
| 140 | 4110 | Administrative Salaries | 25.48 | 35.81 | 38.34 | 383,204 | |
| 150 | 4130 | Legal Expense | 0.75 | 3.03 | 3.18 | 31,829 | |
| 160 | 4140 | Staff Training | | | | | |
| 170 | 4150 | Travel | 1.71 | 2.12 | 2.23 | 22,285 | |
| 180 | 4170 | Accounting Fees | 2.24 | 2.12 | 2.23 | 22,280 | |
| 190 | 4171 | Auditing Fees | 1.83 | 1.92 | 2.02 | 20,200 | |
| 200 | 4190 | Other Administrative Expenses | 8.98 | 8.47 | 8.90 | 88,935 | |
| 210 | Total Administrative Expense (sum of line 140 thru line 200) | | | | | | |
| 220 | 4210 | Salaries | 7.63 | 10.80 | 11.20 | 111,984 | |
| 230 | 4220 | Recreation, Publications and Other Services | 0.37 | 0.20 | 0.22 | 2,150 | |
| 240 | 4230 | Contract Costs, Training and Other | 0.00 | 0.24 | 0.25 | 2,500 | |
| 250 | Total Tenant Services Expense (sum of lines 220, 230, and 240) | | | | | | |
| Utilities | | | | | | | |
| 260 | 4310 | Water | 12.38 | 10.89 | 11.55 | 115,410 | |
| 270 | 4320 | Electricity | 7.02 | 15.22 | 6.74 | 67,331 | |
| 280 | 4330 | Gas | 30.28 | 32.26 | 26.64 | 266,348 | |
| 290 | 4340 | Fuel | | | | | |
| 300 | 4350 | Labor | | | | | |
| 310 | 4390 | Other utilities expense | | | | | |
| 320 | Total Utilities Expense (sum of line 260 thru line 310) | | | | | | |
| | | | 49.68 | 58.37 | 44.93 | 449,089 | |

Previous editions are obsolete

Page 1 of 4

form HUD-52564 (3/96)
ref. Handbook 7475.1

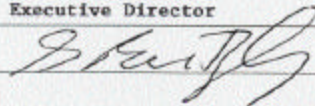
OP1BUD 2002-2003.XLS

| Name of PHA / IHA | | Fiscal Year Ending | | | | | |
|---|---|--|--|--|---------------------------------|------------------------------------|---------------------------------|
| Housing Authority of the City of Lake Charles | | | September 30, 2002 | | | | |
| Line No. | Acct No. | Description (1) | Actuals Last Fiscal Yr. 2001 PUM (2) | X Estimates or Actual Current Budget Yr. 19, 2002 PUM (3) | Requested Budget Estimates | | |
| | | | | | PHA/IHA Estimates PUM (4) | Amount (to nearest \$10) (5) | HUD Modifications PUM (6) |
| Ordinary Maintenance and Operation | | | | | | | |
| 330 | 4410 | Labor | 43.78 | 49.40 | 50.50 | 504,769 | |
| 340 | 4420 | Materials | 22.96 | 18.01 | 19.01 | 190,000 | |
| 350 | 4430 | Contract Costs | 19.70 | 20.41 | 22.00 | 220,000 | |
| 360 | Total Ordinary Maintenance & Operation Expense (lines 330 to 350) | | 86.44 | 87.82 | 91.51 | 914,769 | |
| Protective Services | | | | | | | |
| 370 | 4460 | Labor | | | | | |
| 380 | 4470 | Materials | | | | | |
| 390 | 4480 | Contract costs | | | | | |
| 400 | Total Protective Services Expense (sum of lines 370 to 390) | | | | | | |
| General Expense | | | | | | | |
| 410 | 4510 | Insurance | 23.41 | 26.92 | 37.01 | 370,000 | |
| 420 | 4520 | Payments in Lieu of Taxes | 7.48 | 7.57 | 7.68 | 76,762 | |
| 430 | 4530 | Terminal Leave Payments | 0.07 | 0.50 | 0.50 | 5,000 | |
| 440 | 4540 | Employee Benefit Contributions | 20.09 | 27.99 | 34.58 | 345,700 | |
| 450 | 4570 | Collection Losses | 2.56 | 3.20 | 3.40 | 34,000 | |
| 460 | 4590 | Other General Expense | | | | | |
| 470 | Total General Expense (sum of lines 410 to 460) | | 53.61 | 66.18 | 83.17 | 831,462 | |
| 480 | Total Routine Expense (sum of lines 210, 250, 320, 360, 400, and 470) | | 238.72 | 277.08 | 288.18 | 2,880,687 | |
| Rent for Leased Dwellings | | | | | | | |
| 490 | 4710 | Rents to Owners of Leased Dwellings | | | | | |
| 500 | Total Operating Expense (sum of lines 480 and 490) | | 238.72 | 277.08 | 288.18 | 2,880,687 | |
| Nonroutine Expenditures | | | | | | | |
| 510 | 4810 | Extraordinary Maintenance | 0.30 | 1.00 | 1.00 | 10,000 | |
| 520 | 7520 | Replacement of Nonexpendable Equipment | 0.23 | 1.00 | 1.00 | 10,000 | |
| 530 | 7540 | Property Betterments and Additions | | | | | |
| 540 | Total Nonroutine Expenditures (sum of lines 510, 520, and 530) | | 0.53 | 2.00 | 2.00 | 20,000 | |
| 550 | Total Operating Expenditures (sum of lines 500 and 540) | | 239.25 | 279.08 | 290.18 | 2,900,687 | |
| Prior Year Adjustments | | | | | | | |
| 560 | 6010 | Prior Year Adjustments Affecting Residual Receipts | 0.19 | | | | |
| Other Expenditures: | | | | | | | |
| 570 | Deficiency in Residual Receipts at End of Preceding Fiscal Yr. | | | | | | |
| 580 | Total Operating Expenditures, including prior year adjustments and other expenditures (line 550 plus or minus line 560 plus line 570) | | 239.44 | 253.76 | 290.18 | 2,900,687 | |
| 590 | Residual Receipts (or Deficit) before HUD Contributions and provision for operating reserve (line 130 minus line 580) | | -103.51 | -106.08 | -162.58 | -1,625,257 | |
| HUD Contributions | | | | | | | |
| 600 | 8010 | Basic Annual Contribution Earned - Leased Projects-Current Year | | | | | |
| 610 | 8011 | Prior Year Adjustments - (Debit) Credit | | | | | |
| 620 | Total Basic Annual Contribution (line 600 plus or minus line 610) | | | | | | |
| 630 | 8020 | Contributions Earned - Op. Sub - Cur. Yr. (before year-end adj) | 105.92 | 99.02 | 143.33 | 1,432,751 | |
| 640 | Mandatory PFS Adjustments (net) | | | | | | |
| 650 | Other (specify) | | | | | | |
| 660 | Other (specify) | | | | | | |
| 670 | Total Year-end Adjustments/Other (plus or minus lines 640 thru 660) | | | | | | |
| 680 | 8020 | Total Operating Subsidy-current year (line 630 plus or minus line 670) | 105.92 | 99.02 | 143.33 | 1,432,751 | |
| 690 | Total HUD Contributions (sum of lines 620 and 680) | | 105.92 | 99.02 | 143.33 | 1,432,751 | |
| 700 | Residual Receipts (or Deficit) (sum of line 590 plus line 690) Enter here and on line 810 | | 2.41 | -7.06 | -19.25 | -192,506 | |

| | | |
|---|--|---|
| Name of PHA / IHA Housing Authority of the City of Lake Charles | | Fiscal Year Ending September 30, 2003 |
|---|--|---|

| Operating Reserve | | PHA/IHA Estimates | HUD Modifications |
|--|------|---|-------------------|
| Part I - Maximum Operating Reserve - End of Current Budget Year | | | |
| 740 | 2821 | PHA / IHA-Leased Housing - Section 23 or 10(c) 90% of Line 480, column 5, form HUD-52564 | |

| Part II - Provision for and Estimated or Actual Operating Reserve at Fiscal Year End | | | |
|--|--|--|--|
| 780 | Operating Reserve at End of Previous Fiscal Year - Actual for FYE (date) | | |
| 790 | Provision for Operating Reserve - Current Budget Year (check one) <input type="checkbox"/> Estimated for FYE <input type="checkbox"/> Actual for FYE | | |
| 800 | Operating Reserve at End of Current Budget Year (check one) <input type="checkbox"/> Estimated for FYE <input type="checkbox"/> Actual for FYE | | |
| 810 | Provision for Operating Reserve - Requested Budget Year Estimated for FYE Enter Amount from line 700 | | |
| 820 | Operating Reserve at End of Requested Budget Year Estimated for FYE (Sum of lines 800 and 810) | | |
| 830 | Cash Reserve Requirement - _____ % of line 480 | | |
| Comments | | | |

PHA / IHA Approval Name S. Ben Taylor, Jr.
 Title Executive Director
 Signature  Date 6/20/02

Field Office Approval Name _____
 Title _____
 Signature _____ Date _____

Operating Budget
Schedule of Administration
Expense Other Than Salary

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0026 (Exp. 6/30/2001)

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

This information is required by Section 8(c)(4) of the U.S. Housing Act of 1937. The information is the operating budget for the low-income housing program and provides a summary of proposed/budgeted receipts and expenditures, approval of budgeted receipts and expenditures, and justification of certain specified amounts. HUD reviews the information to determine if the operating plan adopted by the PHA and the amounts are reasonable and that the PHA is in compliance with procedures prescribed by HUD. Responses are required to obtain benefits. This information does not lend itself to confidentiality.

| Name of Housing Authority | | Locality | | Fiscal Year End | | |
|---|--|-------------------|------------|--------------------|-----------|-------|
| Housing Authority of the City of Lake Charles | | Lake Charles, La. | | September 30, 2003 | | |
| (1) | Description | (2) | (3) | (4) | (5) | (6) |
| | | Total | Management | Development | Section 8 | Other |
| 1 | Legal Expense (see Special Note in Instructions) | 36,081 | 31,829 | | 4,252 | |
| 2 | Training (list and provide justification) | | | | | |
| 3 | Travel | | | | | |
| | Trips To Conventions and Meetings (list and provide justification) | 21,665 | 18,645 | | 3,020 | |
| 4 | Other Travel | | | | | |
| | Outside Area of Jurisdiction | 3,640 | 3,640 | | | |
| 5 | Within Area of Jurisdiction | | | | | |
| 6 | Total Travel | 25,305 | 22,285 | | 3,020 | |
| 7 | Accounting | 24,250 | 22,280 | | 1,970 | |
| 8 | Auditing | 22,470 | 20,200 | | 2,270 | |
| 9 | Sundry | | | | | |
| | Rental of Office Space | 14,600 | 14,600 | | | |
| 10 | Publications | 2,075 | 2,075 | | | |
| 11 | Membership Dues and Fees (list organization and amount) | 3,160 | 3,160 | | | |
| 12 | Telephone, Fax, Electronic Communications | 37,500 | 37,500 | | | |
| 13 | Collection Agent Fees and Court Costs | | | | | |
| 14 | Administrative Services Contracts (list and provide justification) | | | | | |
| 15 | Forms, Stationary and Office Supplies | 31,600 | 31,600 | | | |
| 16 | Other Sundry Expense (provide breakdown) | | | | | |
| 17 | Total Sundry | 88,935 | 88,935 | | | |
| 18 | Total Administration Expense Other Than Salaries | 197,041 | 185,529 | | 11,512 | |

To the best of my knowledge, all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.
Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Signature of authorized representative & Date
S. Ben Taylor, Jr., Executive Director

06/20/02

X

**Operating Fund
Calculation of Operating Subsidy
PHA-Owned Rental Housing**

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0029 (exp. 10/31/2004)

Section 1

| | | | | | |
|---|--|------------------------------|-------------------------|--|--|
| a) Name and Address of Public Housing Agency Housing Authority of the City of Lake Charles, P O Box 1208, Lake Charles, LA 70602 | | | | b) Budget Submission to HUD required <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| | | | | c) Type of Submission <input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision No. | |
| d) No. of HA Units 833 | e) Unit Months Available (UMAs) 9,996 | f) Subject FYE 09/30/2003 | g) ACC Number FW1123 | h) Operating Fund Project Number L A 0 0 4 0 0 1 0 3 S | |
| i) (Reserved) | | | | | |

Section 2

| Line No. | Description | Requested by PHA (PUM) | HUD Modifications (PUM) |
|---|---|----------------------------------|-----------------------------------|
| Part A. Allowable Expenses and Additions | | | |
| 01 | Previous allowable expense level (Part A, Line 08 of form HUD-52723 for previous year) | 206.96 | |
| 02 | Part A, Line 01 multiplied by .005 | 1.03 | |
| 03 | Delta from form HUD-52720-B, if applicable (see instructions) | | |
| 04 | "Requested" year units from latest form HUD-52720-A (see instructions) | 833 | |
| 05 | Add-ons to allowable expense level from previous fiscal year (see instructions) | | |
| 06 | Total of Part A, Lines 01, 02, 03 and 05 | 207.99 | |
| 07 | Inflation factor | 1.017 | |
| 08 | Revised allowable expense level (AEL) (Part A, Line 06 times Line 07) | 211.53 | |
| 09 | Transition Funding | | |
| 10 | Increase to AEL | | |
| 11 | Allowable utilities expense level from form HUD-52722-A | 44.93 | |
| 12 | Actual PUM cost of Independent Audit (IA) (Through FYE 9/00(18300/(833X12))) | 1.83 | |
| 13 | Costs attributable to deprogrammed units | | |
| 14 | Total Allowable Expenses and Additions (Sum of Part A, Lines 08 thru 13) | 258.29 | |
| Part B. Dwelling Rental Income | | | |
| 01 | Total rent roll (as of 5/1/2) | \$ 98,806 | |
| 02 | Number of occupied units as of rent roll date | 811 | |
| 03 | Average monthly dwelling rental charge per unit for current budget year (Part B, Line 01 ÷ Line 02) | 121.83 | |
| 04 | Average monthly dwelling rental charge per unit for prior budget year | 134.23 | |
| 05 | Average monthly dwelling rental charge per unit for budget year 2 years ago | 140.81 | |
| 06 | Three-year average monthly dwelling rental charge per unit ((Part B, Line 03+Line 04+Line 05)÷ 3) | 132.29 | |
| 07 | 50/50 Income split ((Part B, Line 03 + Line 06) ÷ 2) | 127.06 | |
| 08 | Average monthly dwelling rental charge per unit (lesser of Part B, Line 03 or Line 07) | 121.83 | |
| 09 | Rental income adjustment factor | 1.03 | 1. |
| 10 | Projected average monthly dwelling rental charge per unit (Part B, Line 08 times Line 09) | 125.48 | |
| 11 | Projected occupancy percentage from form HUD-52728 | 97% | % |
| 12 | Projected average monthly dwelling rental income per unit (Part B, Line 10 times Line 11) | 121.72 | |
| Part C. Non-dwelling Income | | | |
| 01 | Other income | | |
| 02 | Total operating receipts (Part B, Line 12 plus Part C, Line 01) | 121.72 | |
| 03 | PUM deficit or (Income) (Part A, Line 14 minus Part C, Line 02) | 136.57 | |
| | | Requested by PHA (Whole dollars) | HUD Modifications (Whole dollars) |
| 04 | Deficit or (Income) before add-ons (Part C, Line 03 times Section 1, e) | 1,365,154 | |

Previous edition is obsolete for PHA Fiscal Years beginning 1/1/2001 and thereafter

Page 1

form HUD-52723 (1/2001)

| Line No. | Description | Requested by PHA (Whole Dollars) | HUD Modifications (Whole Dollars) |
|--|---|----------------------------------|-----------------------------------|
| Part D. Add-ons for changes in Federal law or regulation and other eligibility | | | |
| 01 | FICA contributions | 46,364 | |
| 02 | Unemployment compensation | 958 | |
| 03 | Family Self Sufficiency Program | | |
| 04 | Energy Add-On for loan amortization | | |
| 05 | Unit reconfiguration | | |
| 06 | Non-dwelling units approved for subsidy | | |
| 07 | Long-term vacant units | | |
| 08 | Phase Down for Demolitions | | |
| 09 | Units Eligible for Resident Participation: Occupied Units (Part B, Line 02) | 811 | |
| 10 | Employee Units | | |
| 11 | Police Units | | |
| 12 | Total Units Eligible for Resident Participation (Sum of Part D, Lines 09 thru 11) | 811 | |
| 13 | Funding for Resident Participation (Part D, Line 12 x \$25) | 20,275 | |
| 14 | Other approved funding, not listed (Specify in Section 3) | | |
| 15 | Total add-ons (sum of Part D, Lines 01, 02, 03, 04, 05, 06, 07, 08, 13 and 14) | 67,597 | |
| Part E. Calculation of Operating Subsidy Eligibility Before Adjustments | | | |
| 01 | Deficit or (Income) before adjustments (Total of Part C, Line 04 and Part D, Line 15) | 1,432,751 | |
| 02 | Actual cost of Independent Audit (IA) | 18,300 | |
| 03 | Operating subsidy eligibility before adjustments (greater of Part E, Line 01 or Line 02) (If less than zero, enter zero (0)) | 1,432,751 | |
| Part F. Calculation of Operating Subsidy Approvable for Subject Fiscal Year (Note: Do not revise after the end of the subject FY) | | | |
| 01 | Utility Adjustment for Prior years | | |
| 02 | Additional subject fiscal year operating subsidy eligibility (specify) | | |
| 03 | Unfunded eligibility in prior fiscal years to be obligated in subject fiscal year | | |
| 04 | HUD discretionary adjustments | | |
| 05 | Other (specify) | | |
| 06 | Other (specify) | | |
| 07 | Unfunded portion due to proration | () | () |
| 08 | Net adjustments to operating subsidy (total of Part F, Lines 01 thru 07) | | |
| 09 | Operating subsidy approvable for subject fiscal year (total of Part E, Line 03 and Part F, Line 08) | 1,432,751 | |
| HUD Use Only (Note: Do not revise after the end of the subject FY) | | | |
| 10 | Amount of operating subsidy approvable for subject fiscal year not funded | | () |
| 11 | Amount of funds obligated in excess of operating subsidy approvable for subject fiscal year | | |
| 12 | Funds obligated in subject fiscal year (sum of Part F, Lines 09 thru 11) (Must be the same as line 690 of the Operating Budget, form HUD-52564, for the subject fiscal year) Appropriation symbol(s): | | |
| Part G. Memorandum of Amounts Due HUD, Including Amounts on Repayment Schedules | | | |
| 01 | Total amount due in previous fiscal year (Part G, Line 04 of form HUD-52723 for previous fiscal year) | | |
| 02 | Total amount to be collected in subject fiscal year (Identify individual amounts under Section 3) | () | () |
| 03 | Total additional amount due HUD (include any amount entered on Part F, Line 11) (Identify individual amounts under Section 3) | | |
| 04 | Total amount due HUD to be collected in future fiscal year(s) (Total of Part G, Lines 01 thru 03) (Identify individual amounts under Section 3) | | |

| Line No. | Description | Requested by PHA (Whole Dollars) | HUD Modifications (Whole Dollars) |
|--|---|----------------------------------|-----------------------------------|
| Part D. Add-ons for changes in Federal law or regulation and other eligibility | | | |
| 01 | FICA contributions | 46,364 | |
| 02 | Unemployment compensation | 958 | |
| 03 | Family Self Sufficiency Program | | |
| 04 | Energy Add-On for loan amortization | | |
| 05 | Unit reconfiguration | | |
| 06 | Non-dwelling units approved for subsidy | | |
| 07 | Long-term vacant units | | |
| 08 | Phase Down for Demolitions | | |
| 09 | Units Eligible for Resident Participation: Occupied Units (Part B, Line 02) | 811 | |
| 10 | Employee Units | | |
| 11 | Police Units | | |
| 12 | Total Units Eligible for Resident Participation (Sum of Part D, Lines 09 thru 11) | 811 | |
| 13 | Funding for Resident Participation (Part D, Line 12 x \$25) | 20,275 | |
| 14 | Other approved funding, not listed (Specify in Section 3) | | |
| 15 | Total add-ons (sum of Part D, Lines 01, 02, 03, 04, 05, 06, 07, 08, 13 and 14) | 67,597 | |
| Part E. Calculation of Operating Subsidy Eligibility Before Adjustments | | | |
| 01 | Deficit or (Income) before adjustments (Total of Part C, Line 04 and Part D, Line 15) | 1,432,751 | |
| 02 | Actual cost of Independent Audit (IA) | 18,300 | |
| 03 | Operating subsidy eligibility before adjustments (greater of Part E, Line 01 or Line 02) (If less than zero, enter zero (0)) | 1,432,751 | |
| Part F. Calculation of Operating Subsidy Approvable for Subject Fiscal Year (Note: Do not revise after the end of the subject FY) | | | |
| 01 | Utility Adjustment for Prior years | | |
| 02 | Additional subject fiscal year operating subsidy eligibility (specify) | | |
| 03 | Unfunded eligibility in prior fiscal years to be obligated in subject fiscal year | | |
| 04 | HUD discretionary adjustments | | |
| 05 | Other (specify) | | |
| 06 | Other (specify) | | |
| 07 | Unfunded portion due to proration | () | () |
| 08 | Net adjustments to operating subsidy (total of Part F, Lines 01 thru 07) | | |
| 09 | Operating subsidy approvable for subject fiscal year (total of Part E, Line 03 and Part F, Line 08) | 1,432,751 | |
| HUD Use Only (Note: Do not revise after the end of the subject FY) | | | |
| 10 | Amount of operating subsidy approvable for subject fiscal year not funded | | () |
| 11 | Amount of funds obligated in excess of operating subsidy approvable for subject fiscal year | | |
| 12 | Funds obligated in subject fiscal year (sum of Part F, Lines 09 thru 11) (Must be the same as line 690 of the Operating Budget, form HUD-52564, for the subject fiscal year) Appropriation symbol(s): | | |
| Part G. Memorandum of Amounts Due HUD, Including Amounts on Repayment Schedules | | | |
| 01 | Total amount due in previous fiscal year (Part G, Line 04 of form HUD-52723 for previous fiscal year) | | |
| 02 | Total amount to be collected in subject fiscal year (Identify individual amounts under Section 3) | () | () |
| 03 | Total additional amount due HUD (include any amount entered on Part F, Line 11) (Identify individual amounts under Section 3) | | |
| 04 | Total amount due HUD to be collected in future fiscal year(s) (Total of Part G, Lines 01 thru 03) (Identify individual amounts under Section 3) | | |

Direct Disbursement Payment Schedule Data **Operating Subsidies Public Housing Program**

(See Instructions for Public Reporting Statement)

U.S. Department of Housing
 and Urban Development
 Office of Housing
 Federal Housing Commissioner

OMB Approval No. 2577-0029 (Rev. 5/31/2001)

| | | | |
|------------|---|--|---|
| Line 01 | Project No. <u>LA004001003</u> | Public Housing Agency (PHA)/Indian Housing Authority (IHA) Housing Authority of the City of Lake Charles | <input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision No. |
| 02 | Fiscal Year End (FYE) (MM/DD/YY) <u>09/30/02</u> | PHA/IHA Address P. O. Box 1206 Lake Charles, La. 70602-1206 | |

| Part 1—Eligibility Values | | | | |
|-----------------------------|------------------------|--------------------------|------------------------|-------------------------|
| | (a) PHA/IHA Request | (b) HUD Modifications | (c) Residual Amount | (d) Scheduled Amount |
| 03 Subject Year Eligibility | | | | |
| 04 Other Eligibility | | | | |
| 05 Prior Year Adjustment | <u>1977503</u> | | | |
| 06 Total Eligibility | | | | |
| 07a PHA/IHA Req. | <u>PHS</u> | <u>1077503</u> | | |
| 07b HUD Modif. | | | | |
| 08a PHA/IHA Req. | | | | |
| 08b HUD Modif. | | | | |
| 08c PHA/IHA Req. | | | | |
| 08d HUD Modif. | | | | |
| 09a PHA/IHA Req. | | | | |
| 09b HUD Modif. | | | | |
| 09c PHA/IHA Req. | | | | |
| 09d HUD Modif. | | | | |
| 10a PHA/IHA Req. | <u>Totals</u> | <u>1077503</u> | | |
| 10b HUD Modif. | <u>Totals</u> | | | |

Previous section is obsolete

Project No. LA004001005

Fiscal Year End (FYE)
09/30/02

Line Part II - Payment Entry Selection

| | | | |
|----|------------------------------------|--|------------|
| 11 | Program (PCA) Code | PHAIHA Request | HUD Modif. |
| 12 | Type of Payment Entry (Check one): | Manual Entry (Go to Part VI) | |
| | | System Calculation with Equal Monthly Payments | |
| | | System Calculation with Unequal Monthly Payments | |

Part III - System Calculation of Payment Schedule

Payments Within Month

| | | | | | | |
|----|---|-------------|---|---|---|---|
| 13 | Payments Equal Within Month? (Check Y or N) | PHAIHA Rec. | Y | N | | |
| | | HUD Modif. | | | | |
| 14 | Pay Dates Within Month: | PHAIHA Rec. | 1 | 2 | 3 | 4 |
| | | HUD Modif. | | | | |
| 15 | Payment Percentages Within Month: | PHAIHA Rec. | % | % | % | % |
| | | HUD Modif. | % | % | % | % |

Monthly Payment Allocation

Percentage Payment for Each Month:

| | | | | | | | | | | | | | |
|-----|-------------|---|---|---|---|---|---|---|---|---|----|----|----|
| 16a | Month | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| 16b | PHAIHA Req. | | | | | | | | | | | | |
| | HUD Modif. | | | | | | | | | | | | |

Previous section is obsolete

Project No. LA094091493
Part VI - Manual Payment Entry

Fiscal Year End (SYE)
098 002

| | (a) PMT No. | (b) Scheduled Pay Date | (c) Total Scheduled Payment | (d) Scheduled Payment PGM Code | (e) Scheduled Payment PGM Code | (f) Scheduled Payment PGM Code |
|----------------|-------------------|------------------------------|-----------------------------------|--------------------------------------|--------------------------------------|--------------------------------------|
| PHAIHA Req. | 01 | | 897.92 | | | |
| HUD Modif. | | | | | | |
| PHAIHA Req. | 02 | | 897.92 | | | |
| HUD Modif. | | | | | | |
| PHAIHA Req. | 03 | | 897.92 | | | |
| HUD Modif. | | | | | | |
| PHAIHA Req. | 04 | | 897.92 | | | |
| HUD Modif. | | | | | | |
| PHAIHA Req. | 05 | | 897.92 | | | |
| HUD Modif. | | | | | | |
| PHAIHA Req. | 06 | | 897.92 | | | |
| HUD Modif. | | | | | | |
| PHAIHA Req. | 07 | | 897.92 | | | |
| HUD Modif. | | | | | | |
| PHAIHA Req. | 08 | | 897.92 | | | |
| HUD Modif. | | | | | | |
| PHAIHA Req. | 09 | | 897.92 | | | |
| HUD Modif. | | | | | | |
| PHAIHA Req. | 10 | | 897.92 | | | |
| HUD Modif. | | | | | | |
| PHAIHA Req. | 11 | | 897.92 | | | |
| HUD Modif. | | | | | | |
| PHAIHA Req. | 12 | | 897.92 | | | |
| HUD Modif. | | | | | | |
| PHAIHA Req. | | | 19775.03 | | | |
| HUD Modif. | | | | | | |
| Total/Subtotal | | | | | | |
| Total/Subtotal | | | | | | |

Previous edition is obsolete

Project No. LA/0/04/01/095

Place Year End (PYE)
09/30/02

Part VI- Certification of Public Housing Agency/Indian Housing Authority

I certify that all applicable provisions of the Annual Contributions Contract covering the above numbered project(s) have been complied with by this PHA/IHA and that this form HUD-52721 and all supporting forms and documentation have been examined by me and to the best of my knowledge and belief are true, correct and complete and in accordance with all applicable HUD regulations and requirements including those relating to cash management.

False Claims statement: Warning: U.S. Code, Title 31, Section 3729, False Claims, provides a civil penalty of not less than \$5,000 and not more than \$10,000, plus 3 times the amount of damages for any person who knowingly presents, or causes to be presented, a false or fraudulent claim; or who knowingly makes, uses or causes to be used, a false record or statement; or conspires to defraud the Government by getting a false or fraudulent claim allowed or paid.

Name of PHA/IHA

Housing Authority of the City of Lake Charles

Signature and Title of Official Authorized to Certify

S. Ben Taylor, Jr., Executive Director



Date
6/21/01

PHA/IHA Comments

HUD Use Only

Field Office Approval

Field Office Name

Signature and Title of Field Office Official

Date

Field Office Comments

| Entered into | By | Date | Time |
|--------------|----|------|------|
| LOCCS | | | |

Phonetic edition is obsolete

PHA/IHA Board ResolutionApproving Operating Budget or Calculation of
Performance Funding System Operating SubsidyU.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0029 (Exp. 6/30/2001)

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

This information is required by Section 6(c)(4) of the U.S. Housing Act of 1937. The information is the operating budget for the low-income housing program and provides a summary of proposed/budgeted receipts and expenditures, approval of budgeted receipts and expenditures, and justification of certain specified amounts. HUD reviews the information to determine if the operating plan adopted by the PHA and the amounts are reasonable and that the PHA is in compliance with procedures prescribed by HUD. Responses are required to obtain benefits. This information does not lend itself to confidentiality.

Acting on behalf of the Board of Commissioners of the below-named Public Housing Agency (PHA)/Indian Housing Authority (IHA), as its Chairman, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the Board's approval of (check one or more as applicable):

(date)

- ☒ Operating Budget Submitted on: June 20, 2002
- ☐ Operating Budget Revision Submitted on: _____
- ☐ Calculation of Performance Funding System Submitted on: _____
- ☐ Revised Calculation of Performance Funding System Submitted on: _____

I certify on behalf of the: (PHA/IHA Name) LAKE CHARLES HOUSING AUTHORITY
that:

1. All regulatory and statutory requirements have been met;
2. The PHA has sufficient operating reserves to meet the working capital needs of its developments;
3. Proposed budget expenditures are necessary in the efficient and economical operation of the housing for the purpose of serving low-income residents;
4. The budget indicates a source of funds adequate to cover all proposed expenditures;
5. The calculation of eligibility for Federal funding is in accordance with the provisions of the regulations;
6. All proposed rental charges and expenditures will be consistent with provisions of law;
7. The PHA/IHA will comply with the wage rate requirements under 24 CFR 968.110(e) and (f) or 24 CFR 905.120(c) and (d);
8. The PHA/IHA will comply with the requirements for access to records and audits under 24 CFR 968.110(i) or 24 CFR 905.120(g); and
9. The PHA/IHA will comply with the requirements for the reexamination of family income and composition under 24 CFR 960.209, 990.115 and 905.315.

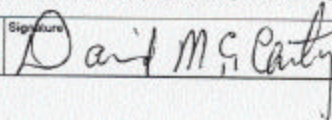
I hereby certify that all the information stated within, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Board Chairman's Name (type)

David McCarty

Signature



Date

June 20, 2002

Previous edition is obsolete

form HUD-52574 (10/95)
ref. Handbook 7575.1

Certification for a Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Applicant Name
HOUSING AUTHORITY OF THE CITY OF LAKE CHARLES

Program/Activity Receiving Federal Grant Funding

Public Housing Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

LA4-1 Booker T. Washington - 468 Chandler Street

LA4-2 High School Park - 1700 2nd Street

LA4-3 Clark Courts & Jones Manor/Pear, Rena, Prater, Kirk and St. Mary

LA4-4 Carver Courts - 1903 Augusta Street

LA4-5 Lloyd Oaks - Dixy Drive

LA4-6 Golden Arms - 1431 3rd Street

LA4-8 Lloyd Oaks Addition - Caroline Street

LA4-9 Woodway Park - Beaver, Badger, Cougar Sts.

LA4-10 Meadow Park - 2400 Anita Drive

LA4-13 Lloyd Oaks Addition 2 - W. 18th Street

Central Office - 800 Bilbo Street

ALL WITHIN THE CITY LIMITS OF THE CITY OF LAKE CHARLES, LOUISIANA

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official
S. Ben Taylor, Jr.

Title
Executive Director

Signature

Date

X

June 20, 2002

form HUD-50070 (3/95)
ref. Handbooks 7417.1, 7475.13, 7485.1 & 3

**Certification of Payments
to Influence Federal Transactions**

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

HOUSING AUTHORITY OF THE CITY OF LAKE CHARLES

Program/Activity Receiving Federal Grant Funding

Public Housing Program

Lake Charles Housing Authority - Low Rent Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

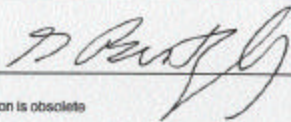
Name of Authorized Official

S. Ben Taylor, Jr.

Title

Executive Director

Signature



Date (mm/dd/yyyy)

6/20/02

Previous edition is obsolete

form HUD 50071 (3/98)
ref. Handbooks 7417.1, 7475.13, 7485.1, & 7485.3

**Certification for
Contracts, Grants, Loans &
Cooperative Agreements**

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing



Public Housing Agency / Indian Housing Authority

PHA/IHA Name:

LAKE CHARLES HOUSING AUTHORITY

If other than Operating Subsidy or Section 23, enter the Federal Fiscal Year in which the funds are expected to be reserved:

If Operating Subsidy or Section 23, enter PHA's/IHA's Fiscal Year Ending date in which funds are expected to be obligated:

Program/Activity Receiving Federal Grant over \$100,000: (mark one)

- | | |
|---|--|
| <input checked="" type="checkbox"/> Operating Subsidy | <input checked="" type="checkbox"/> CGP |
| <input type="checkbox"/> Development | <input type="checkbox"/> CIAP |
| <input checked="" type="checkbox"/> Drug Elimination Grants | <input type="checkbox"/> MRCP |
| <input type="checkbox"/> Sec 23 Leased Housing Adjustments | <input type="checkbox"/> Other: (describe) |

Acting on behalf of the above named PHA/IHA as its Authorized Official, I make the following certifications to the Department of Housing and Urban Development (HUD):

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or any employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-L.L.L., Disclosure of Lobbying Activities, in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I certify under penalty of perjury that the foregoing is true and correct.

Authorized PHA/IHA Official: Name & Title:

S. Benjamin Taylor, Jr., Executive Director

Signature & Date:

X

June 20, 2002

Form HUD 50071 (1/97)
of Handbook 7417.1 7418.12 7420.1 & 7425

Parts I, II, and II

Annual Statement

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number LA48P004501-01 FFY of Grant Approval: (MM/YYYY)

X Original Annual Statement

| Line No. | Summary by Development Account | Total Estimated Cost |
|----------|---|-----------------------|
| 1 | Total Non-CGP Funds | |
| 2 | 1406 Operations | |
| 3 | 1408 Management Improvements | \$180,000.00 |
| 4 | 1410 Administration | \$1,000.00 |
| 5 | 1411 Audit | \$2,000.00 |
| 6 | 1415 Liquidated Damages | |
| 7 | 1430 Fees and Costs | \$85,000.00 |
| 8 | 1440 Site Acquisition | |
| 9 | 1450 Site Improvement | |
| 10 | 1460 Dwelling Structures | \$704,345.00 |
| 11 | 1465.1 Dwelling Equipment-Nonexpendable | \$100,000.00 |
| 12 | 1470 Nondwelling Structures | \$185,935.00 |
| 13 | 1475 Nondwelling Equipment | \$45,000.00 |
| 14 | 1485 Demolition | |
| 15 | 1490 Replacement Reserve | \$274,882.00 |
| 16 | 1492 Moving to Work Demonstration | |
| 17 | 1495.1 Relocation Costs | |
| 18 | 1498 Mod Used for Development | |
| 19 | 1502 Contingency | |
| 20 | Amount of Annual Grant (Sum of lines 2-19) | \$1,578,162.00 |
| 21 | Amount of line 20 Related to LBP Activities | |
| 22 | Amount of line 20 Related to Section 504 Compliance | |
| 23 | Amount of line 20 Related to Security | |
| 24 | Amount of line 20 Related to Energy Conservation Measures | |

