## O'Connell Senior Living Appleton Corporation An Accredited Management Organization

Over 30 years ago, Daniel O'Connell's Sons built several elderly housing properties in the surrounding areas. These properties were built to offer affordable senior living in convenient locations. Four elderly properties were built in Holyoke, one in downtown Northampton, and another in a quaint section of Chicopee. O'Connell also built elderly properties in Springfield, Fitchburg and Pittsfield, Massachusetts. Today, these properties remain under ownership by O'Connell and are managed by Appleton Corporation, which is a wholly owned subsidiary of The O'Connell Companies.

All of our properties offer:

- Friendly, On-site Management Staff
- Attractive Grounds
- 24-Hr Emergency Maintenance Service
- Cheerful Community Settings
- Resident Services Program

- Social Activities
- Utilities and Appliances Included
- On-site Laundry Facilities
- Conveniently Located—Near Supermarkets, Pharmacies, and Bus Routes

Providing a quality living experience and enabling elderly, frail or disabled residents to continue living independently is the objective of our Resident Services Program. The on-site Resident Services Coordinators at our properties are dedicated to assisting residents in acquiring homemaker services, meals, transportation, pharmacy benefits and more. Our Resident Services Coordinators also host special clinics, provide health information, and offer social activities.

Below is a list of the clinics and activities that you may find at any one of our properties:

Resident Services

• Programs Sponsored by Mass Housing (TAP)

Wellness Programs

Monthly Blood Pressure Clinics

Monthly Birthday Parties

Holiday Celebrations

On-site Podiatry Clinics

Dental wellness programs

With a name like O'Connell behind our senior housing, it's no wonder why our properties offer independent living at its best. Call today to visit or tour one of the properties. We invite you to see for yourself why we are leaders in the senior housing industry.





## Appleton Corporation

Dear Prospective Tenant:

If you are disabled or have difficulty completing this application, please advise us of your needs when you receive this application, or call us to schedule assistance. Appropriate assistance will be provided in a confidential manner and setting.

Please answer all questions truthfully. We will verify your answers. Any misrepresentation of information related to eligibility, preference for admission, allowance, rent, family composition, or prior resident history is *grounds for rejection*. Additionally, you should be aware that Section 1001 of Title 18 of the U.S. Code makes it a criminal offense to make willful, false statements or misrepresentations of any material fact involving the use of or obtaining federal funds.

Answers to questions on your application concerning disability status are optional. But please note that families with disabled members may be entitled to 1) certain deductions from income that affects rent or 2) units designed to be accessible for individuals with disabilities. So, without this information we may not be able to calculate your rent correctly or verify your eligibility to live in an accessible unit.

If you answer the questions relating to disability and you are under the age of 62, we will need to verify that you or a family member is disabled. We do not need to know the nature, extent, or current condition of the disability. But we will need to know that you meet the federal definitions that apply to these terms and that you can abide by the terms of our lease.

Information you provide on disability status will be treated as confidential by management. In accordance with program regulations, information may be released to appropriate federal, state, or local agencies.

Sincerely,

Property Manager





**57 Suffolk Street** Holyoke, MA 01040

www.oconnellseniorliving.com

(413) 536-8048

#### **APPLICATION**



#### THE AGENT WILL PROVIDE HELP IN REVIEWING THIS DOCUMENT. IF NECESSARY, PERSONS WITH DISABILITIES MAY ASK FOR THIS APPLICATION IN LARGE PRINT TYPE, OR OTHER ALTERNATE FORMATS.

|  | lease mark an [X] next to each Property you wou years and older), several properties have a small number | <b>Ild like to apply for.</b><br>r of apartments set aside for under 62 disabled. Elmwood |
|--|--|---|
| Towers is 55 years and older. Michael' | s House and Joseph's House have family apartments in a   | addition to senior and disabled.  |
| [ ] <b>Prospect Heights</b> (Holyoke)  | [ ] McKinley Chicopee LLC (Chicopee)   | [ ] Joseph's House (Fitchburg)  |
| Section 8 Apartments ~ 1 BR            | Section 8 Apartments ~ 1 BR under 62 waitlist  | Section 8 Apartments  |
| under 62 waitlist closed               | closed   |   |
| [ ] Holyoke Towers (Holyoke)           | [ ] Linden Towers (Springfield)  | [ ] Orchard View (Easthampton)  |
| Section 8 and Market Apartments        | Section 8 Apartments ~ 1 BR under 62 waitlist close  | ed Section 8/202 Apartments   |
| [ ] Sycamore House (Holyoke)           | [ ] Michael's House (Northampton)  | [ ] Pulaski Heights Inc. (Holyoke)  |
| Section 8 Apartments ~ 1 BR            | Section 8 Apartments ~ 1 BR & Efficiency under   | *Section 8 and Section 236 Apartments ~   |
| under 62 waitlist closed               | 62 waitlist closed   | Section 8 under 62 waitlist closed  |
| [ ] Elmwood Towers (Holyoke)           | [ ] Berkshiretown LLC (Pittsfield)   | NOTE: ALL waitlists for mobility, hearing and   |
| Minimum annual income of               | Section 8 Apartments ~ 1 and 2 BR under 62   | vision adapted Apartments are OPEN, additional  |
| \$15,000 for 1BR and \$18,500 for      | waitlists closed   | vision adapted Apartments are of EA, additional verification may be required.             |
| 2BR or a voucher.                      |  | vermeaton may be required.  |

Please fill in all sections completely. Failure to do so will result in processing delays or rejection of your application. Should you need help in completing this application, please contact the properties Management Office.

| 1. Househ  | old In            | formation (List each house   | ehold member who                                  | will be residing             | g in the apartment.)   |                             |                                    |
|--|-------------------|--|---|------------------------------|--|-----------------------------|------------------------------------|
| First Name   | MI                | Last Name  | Social Security<br>Number                         | Date of Birth                | Relationship to<br>Head of<br>Household<br>(Co-Head, Spouse,<br>Dependent, Other, Live-<br>In Aid, Foster, None of<br>the Above) | Sex<br>Response<br>Optional | FT or PT<br>Student<br>(Yes or No) |
|  |                   |  |   | / /                          | Head of Household  |                             | Yes No                             |
|  |                   |  |   | / /                          |  |                             | Yes No                             |
|  |                   |  |   | / /                          |  |                             | Yes No                             |
|  |                   |  |   | / /                          |  |                             | Yes No                             |
|  |                   |  |   | / /                          |  |                             | Yes No                             |
|  |                   |  |   | / /                          |  |                             | Yes No                             |
| Was the household member,<br>If yes, was the househo | hout th<br>withou | a household member does not if<br>the social security number an in<br>the social security number, 6<br>mber living in assisted (subsid | eligible, non-citizen?<br>52 years of age as of J | [ ] Yes [<br>anuary 31, 2010 |  | lo<br>Io                    |                                    |
| Present Address:                                     |                   |  |   | Email Address                | 3:   |                             |                                    |
| City:  |                   | State:   | Zip Code:   |                              | Best Telephone Nu  | umber to (                  | Contact You                        |
| Mailing Address (if different):                      |                   |  |   |                              | -  |                             |                                    |
| City:  |                   | State:   | Zip Code:   |                              |  |                             |                                    |
| Primary Language Spoken:                             | $\Box$ Eng        | glish 🗆 Spanish 🗆 Po   | olish 🗆 Russian                                   | □ Other:                     |  |                             |                                    |
| Preferred Language for Commun                        | nicatio           | on:  | □ English □ Spar                                  | nish 🗆 Polish                | 🗆 🗆 Russian 🗆 🛛  | Other:                      |                                    |

<u>Note:</u> Upon request to the Property Manager, you have the right to receive a Tenant Selection Plan Summary (with Program Description Insert) which summarizes the tenant application process, including eligibility and screening requirements, for occupancy in the property (each property has their own property specific Tenant Selection Plan).

| 2. Apartment Size and Reasonable Accommodations  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|
| Size of Apartment Needed:  | [ ] Efficiency [ ] 1 Bedroom [ ] 2 Bedrooms  |  |  |  |  |  |
|  | [ ] 3 Bedrooms (Family Apartments Available at Michael's House and Joseph's House ONLY)                    |  |  |  |  |  |
| Is the Head of Household, Co   | o-Head or Spouse disabled? [ ] Yes [ ] No  |  |  |  |  |  |
| Does the household need a:   | Wheelchair Adapted Unit? [] Yes [] No Hearing Adapted Unit? [] Yes [] No                                   |  |  |  |  |  |
|  | Visually Adapted Unit? [ ] Yes [ ] No  |  |  |  |  |  |
| Does any member of the hou   | Does any member of the household have any accessibility or reasonable accommodation requests? [] Yes [] No |  |  |  |  |  |
| Does any member of the household require an alternate means of communication? [] Yes [] No |  |  |  |  |  |  |
| f yes, please explain:   |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

| Name of Present Landlord  |   | Telephone:         |           |
|---|---|--------------------|-----------|
| Address   |   | Fax:               |           |
| Present housing cost per month: \$  | Does your rent include utilities  | ? []Yes []No       |           |
| How long have you lived at your present address?  | _ years andmonths. Move-In  | Date:              |           |
| What are your reasons for moving?   |   |                    |           |
| Name of Previous Landlord   |   | Telephone:         |           |
| Address   |   | Fax:               |           |
| Dates lived at previous address, from   | to  |                    | per month |
| What was your reason for moving?  |   |                    |           |
| Name of Previous Landlord   |   | Telephone:         |           |
| Address   |   | Fax:               |           |
| Dates lived at previous address, from   | to  | Rent: \$           | per month |
| What was your reason for moving?  |   |                    |           |
| Are you or any member of your household currently re<br>[ ] Yes [ ] No If yes, list the household m | ceiving federal (HUD) or state housing a<br>embers and type of assistance being rec |                    |           |
| Household Member's Name Housing Author  | ities Name Type of  | Voucher/Assistance |           |

PLEASE RESPOND TO THESE QUESTIONS IF YOU WISH TO BE CONSIDERED FOR PRIORITIES OR SPECIAL

**DEDUCTIONS/ CONSIDERATIONS** (\*\*Please be aware that priorities are not available at all properties. Please refer to the properties Tenant Selection Plan for more information)

\_\_\_\_\_

1. Have you been displaced from your home? [ ] Yes [ ] No If so, please explain: \_\_\_\_\_\_

2. Does your present apartment contain health code violations? [ ] Yes [ ] No If so, please describe:\_\_\_\_\_

| 3. | Have you or | an | y memb | er o | of you | household suffered actual or   | or threats of physical violence by a spouse or other member of the |
|----|-------------|----|--------|------|--------|--------------------------------|--|
|    | household?  | [  | ] Yes  | [    | ] No   | If so, please provide details: | ::   |

|                                   |          | 4. Income Information  | -  |
|-----------------------------------|----------|--|--|
| Name of Household Member          |          | Total Income and Frequency of Pay<br>(Weekly, bi-weekly, monthly, annually)          | List ALL income sources for all household members.<br>Sources include but are not limited to: wages, welfare, social<br>security, SSI, pension, disability compensation, unemployment<br>compensation, alimony, child support, annuities, dividends,<br>income from rental property, military pay, scholarships, grants, |
| 1.                                | \$       | / per  |  |
| 2.                                | \$       | / per  |  |
| 3.                                | \$       | / per  |  |
| 4.                                | \$       | / per  |  |
| 5.                                | \$       | / per  |  |
| 6.                                | \$       | / per  |  |
| 7.                                | \$       | / per  |  |
| 8.                                | \$       | / per  |  |
|                                   |          | from Social Security? [ ] Yes [ ] No   |  |
| Does any household member receive | e period | ic payments from a retirement account or s received from (ie: pension, IRA, Annuity, | · · · · · ·  |

#### 5. Asset Information

List <u>all</u> assets held by <u>all</u> household members. Assets include but are not limited to: checking accounts, savings accounts, certificate of deposits (CDs), money markets, IRA, annuities, stocks, bonds, real estate, whole life insurance, personal property held as an investment (coin collection, stamp collection,..)

| Name of Household Member | Asset Type | Bank/Institution Account Held At | Balance / Cash Value |
|--------------------------|------------|----------------------------------|----------------------|
| 1.                       |            |                                  |                      |
| 2.                       |            |                                  |                      |
| 3.                       |            |                                  |                      |
| 4.                       |            |                                  |                      |
| 5.                       |            |                                  |                      |
| 6.                       |            |                                  |                      |
| 7.                       |            |                                  |                      |
| 8.                       |            |                                  |                      |

#### **Additional Required Information**

1. Are you or any member of your household required to register as a sex offender under Massachusetts or any other state law?

[] Yes [] No If yes, list the name of the persons and the registration requirements (i.e. place where registration needs to be filed, length of time for which registration is required).

2. List all states where the applicant and members of the applicant's household have resided:

<u>NOTE</u>: Failure to respond fully to the above questions may result in rejection or denial of this application.

#### **General Information**

1. How did you hear about this housing development?

2. Do you have a pet? [ ]Yes [ ]No If yes, how many pets? \_\_\_\_\_ What type of pet? \_\_\_\_\_ Size? \_\_\_\_\_

3. Are you or any member of your household a U.S. Veteran? (Definition of veteran from 38 U.S.C. 101(2): The term "veteran" means a person who served in the active military, naval, or air service, and who was discharged or released therefrom under conditions other than dishonorable.) [] Yes [] No

#### Application Certification

<u>I understand that this form is not an offer of housing.</u> Based on this form, I understand that I should not make any plans to move or end my present tenancy. I understand that it is my responsibility to inform each property checked off on page 1 of any change of address, income, reasonable accommodation, property selection and/or family composition or my application will be withdrawn. I hereby certify that the information furnished on this application is true and complete, to the best of my knowledge and belief. **Inquiries may be made to verify the statements herein.** All information is regarded as confidential in nature, and a **consumer credit report and a Criminal Offenders Record Information (CORI) report or other criminal background check may also be requested**. I understand that any false statement or misrepresentations are criminal offenses punishable under state and federal laws. I also understand that providing false statements or information are grounds for rejection of my application or termination of tenancy or program participation.

#### Signed under the pains and penalties of perjury.

| Head of Household/Applicant | Date | Co-Head/Spouse        | Date |  |
|-----------------------------|------|-----------------------|------|--|
| Other Adult Applicant       | Date | Other Adult Applicant | Date |  |

Appleton Corporation, acting as management agent for the Property does not discriminate on the basis of race, color, religion, sex, national origin, ancestry, sexual orientation, age, familial status, children, marital status, veteran status or membership in the armed services, the receiving of public assistance, or physical or mental disability in the access or admission to its programs or employment, or in its programs' activities, functions or services

NOTE: In completing this application, the Applicant has the right to include, as part of the application, the name, address, telephone number, and other relevant information of a family member, friend, or social, health, advocacy, or other organization as contact person to provide assistance to Applicant in connection with the application.

### **IMPORTANT NOTICE**

Dear Applicant:

Section 214 of the Housing and Community Development Act of 1980, as amended, prohibits the Secretary of HUD from making financial assistance available to persons other than U.S. citizens or nationals, or certain categories of eligible noncitizens, in the following HUD programs:

- (a) Section 8 Housing Assistance Payments programs;
- (b) Section 236 of the National Housing Act including Rental Assistance Payment (RAP); and
- (c) Section 101/Rent Supplement Program.

You have applied, or are applying for, assistance under one of these programs; therefore, you are required to declare U.S. Citizenship or submit evidence of eligible immigration status for each of your family members for whom you are seeking housing assistance. You must do the following:

- 1. Complete a Family Summary Sheet, using the attached form to list all family members who will reside in the assisted unit.
- 2. Each family member (including you) listed on the Family Summary Sheet must complete a Citizenship Declaration Form. If there are 10 people listed on the Family Summary Sheet, you should have 10 completed copies of the Citizen Declaration Form. The Citizen Declaration Form has easy-to follow instructions and explains what, if any other forms and/or evidence must be submitted with each Citizen Declaration Form.
- 3. Submit the Family Summary Sheet, the Citizen Declaration Form(s), and any other forms and/or evidence with your completed application packet.

This Section 214 review will be completed in conjunction with the verification of other aspects of eligibility for assistance. If you have any questions or difficulty in completing the attached items or determining the type of documentation required, please contact the Property Manager of the property to which you are applying. He/she will be happy to assist you. Also, if you are unable to provide the required documentation with your application packet, you should immediately contact the Property Manager and request an extension, using the block provided on the Citizen Declaration Form. Failure to provide this information or establish eligible status may result in your not being considered for housing assistance.

If this Section 214 review results in a determination of ineligibility, you will have an opportunity to appeal the decision. Also, if the final determination concludes that only certain members of your family are eligible for assistance, your family may be eligible for proration of assistance. That means that when assistance is available, a reduced amount may be provided for your family based on the number of members who are eligible.

If assistance becomes available and the other aspects of your eligibility review show that you are eligible for housing assistance, that assistance may be provided to you if at least one member of your household has submitted the required documentation. Following verification of the documentation submitted by all family members, assistance may be adjusted depending on the immigration status verified. You will be contacted as soon as we have further information regarding your eligibility for assistance.

(Revised 1/1/2014)



## Appleton Corporation

### FAMILY SUMMARY SHEET

**INSTRUCTIONS**: Complete this form listing all family members who will reside in the assisted apartment.

| Member<br>No. | Last Name | First Name | Relationship to<br>Head of<br>Household | Sex | Date of<br>Birth |
|---------------|-----------|------------|---|-----|------------------|
| Head          |           |            |   |     |                  |
| 2             |           |            |   |     |                  |
| 3             |           |            |   |     |                  |
| 4             |           |            |   |     |                  |
| 5             |           |            |   |     |                  |
| 6             |           |            |   |     |                  |
| 7             |           |            |   |     |                  |
| 8             |           | )<br>I     |   |     |                  |

**NOTIFICATION**: Evidence of eligible immigration status shall be released only to the Department of Homeland Security (DHS) for purposes of establishing eligibility for financial assistance and not for any other purpose. HUD is not responsible for the further use or transmission of the evidence or other information by the DHS.

I certify, by signing below that the information provided above is accurate and complete.

Signature

Date



## Appleton Corporation

| INSTRUCTIONS: Complete this Decl                        | aration for each household member listed on the Family  |
|---|---|
| Summary Sheet.  |   |
| LAST NAME:  | FIRST NAME:   |
| RELATIONSHIP TO<br>HEAD OF HOUSEHOLD:                   | DATE OF SEX: BIRTH:   |
| SOCIAL<br>SECURITY NUMBER:                              | ALIEN<br>REGISTRATION NUMBER:   |
|   | ADMISSION NUMBER:   |
| NATIONALITY:<br>you owe legal allegiance. This is norma | (Enter the foreign nation or country to which lly but not always the country of birth.)   |
| SAVE VERIFICATION NUMBER: $\frac{1}{(t_{s})}$           | be entered by management if and when received)  |
|   | ation below by <b>printing</b> the person's first name, middle initial,<br>en review the blocks shown below and complete either block |

DECLARATION

I,

hereby declare, under penalty of

perjury, that I am

(print first name, middle initial, last name):

1. \_\_\_\_\_ A citizen or national of the United States.

Sign and date below and return to the name and address specified in the attached notification letter. If this block is checked on behalf of a child, the adult who will reside in the assisted unit and who is responsible for the child should sign and date below.

Signature

Date



Check here if adult signed for a child:

(Revised 1/1/2014)

Page 1 of 3

A noncitizen with eligible immigration status as evidenced by one of the documents listed below:

If this line is checked, sign and date page 3 and submit the documentation required below with this declaration and a verification consent form to the name and address specified in the attached notification. If this block is checked on behalf of a child, the adult who will reside in the assisted unit and who is responsible for the child should sign and date below.

<u>NOTE:</u> If you checked this block and you are 62 years of age or older, you need only submit a proof of age document together with this form, and sign page 3.

If you checked this block and you are less than 62 years of age, you should submit the following documents:

a. Verification Consent Form (the Management Office will provide you with this form)

AND

2. \_

- b. One of the following documents:
  - (1) Form I-551, \* Permanent Resident Card\*
  - (2) Form I-94, Arrival-Departure Record, with one of the following annotations:
    - (a) "Admitted as Refugee Pursuant to section 207";
    - (b) "Section 208" or "Asylum";
    - (c) "Section 243(h)" or "Deportation stayed by Attorney General"; or
    - (d) "Paroled Pursuant to Sec. 212(d)(5) of the INA."
  - (3) If Form I-94, *<u>Arrival-Departure Record</u>*, is not annotated, it must be accompanied by one of the following documents:
    - (a) A final court decision granting asylum (but only if no appeal is taken);
    - (b) A letter from an DHS asylum officer granting asylum (if application was filed on or after October 1, 1990) or from an DHS district director granting asylum (if application was filed before October 1, 1990);
    - (c) A court decision granting withholding or deportation; or
    - (d) A letter from an DHS asylum officer granting withholding of deportation (if application was filed on or after October 1, 1990).
  - (4) A receipt issued by the DHS indicating that an application for issuance of a replacement document in one of the above-listed categories has been made and that the applicant's entitlement to the document has been verified.
  - (5) \*Other acceptable evidence. If other documents are determined by the DHS to constitute acceptable evidence of eligible immigration status, they will be announced by notice published in the Federal Register.\*



If for any reason, the documents shown in subparagraph 2.b. above are not currently available; complete the Request for Extension block below.

Signature

Date

Date

Check here if adult signed for a child:

## **REQUEST FOR EXTENSION**

I hereby certify that I am a noncitizen with eligible immigration status, as noted in block 2 above, but the evidence needed to support my claim is temporarily unavailable. Therefore, I am requesting additional time to obtain the necessary evidence. I further certify that diligent and prompt efforts will be undertaken to obtain this evidence.

Signature

Check if adult signed for a child:

3. \_\_\_\_\_ I am not contending eligible immigration status and I understand that I am not eligible for financial assistance.

If you checked this block, no further information is required, and the person named above is not eligible for assistance. Sign and date below and forward this form to the name and address specified in the attached notification. If this block is checked on behalf of a child, the adult who is responsible for the child should sign and date below.

Signature

Date

Check here if adult signed for a child:

*Please note DHS is the Department of Homeland Security* 



### Appleton Corporation Notice of Right To Reasonable Accommodation

Appleton Corporation and the property do not discriminate on the basis of race, color, religion, sex, national origin, ancestry, sexual orientation, age, familial status, children, marital status, veteran status or membership in the armed services, the receiving of public assistance, or physical or mental disability in the access or admission to its programs or employment, or in its programs' activities, functions or services.

Under applicable state and federal laws, Appleton and the property provide "reasonable accommodations" to residents, applicants and household members who are disabled.

If you have a disability, which is defined as having a physical or mental impairment, that substantially limits one or more major life activity, has a record of such impairment, or is regarded as having such impairment, and you need:

- A change in the rules or policies or how we do things that would give you an equal chance to live here and use the facilities or take part in programs on site,
- A change in your apartment or a special type of apartment that would give you an equal chance to live here and use the facilities or take part in programs on site,
- A change to some other part of the housing site that would give you an equal chance for you to live here and use the facilities or take part in programs on site,
- A change in the way we communicate with you or give you information.

If you or a member of your household have a disability or handicap and think you might need or want a reasonable accommodation, you may request it in writing at any time in the application process or after admission. This is up to you. If you would prefer not to discuss your situation with management, that is your right.

If you can show that you have a disability and if your request is reasonable (\*does not pose "an undue financial or administrative burden", does not require a fundamental change in the nature of the program, and is not structurally infeasible), we will try to make the changes you request.

You can get a Request for Reasonable Accommodation form **from the Property Manager or by calling (413) 540-2741.** If you need help filling out a Reasonable Accommodation Request Form or if you want to give us your request in some other way contact the Property Manager or Reasonable Accommodation 504 / ADA Coordinator, Donna Coyle, at (413) 540-2741.

An applicant household that has a member with a disability/handicap must still be able to meet essential obligations of tenancy. They must be able to: pay rent, care for their apartment, report required information to management, avoid disturbing their neighbors, etc. This requirement takes into consideration whether any requested reasonable accommodation would permit the applicant to be considered eligible.

**Resident Signature** 





(Revised 8/1/2013)

#### Race and Ethnic Data Reporting Form

U.S. Department of Housing and Urban Development Office of Housing

| Name of Property | Project No. | Address of Property |  |
|------------------|-------------|---------------------|--|
|                  |             |                     |  |

Name of Owner/Managing Agent

Type of Assistance or Program Title:

Name of Head of Household

Name of Household Member

Date (mm/dd/yyyy): \_\_\_\_

| Ethnic Categories*                        | Select<br>One               |
|---|-----------------------------|
| Hispanic or Latino                        |                             |
| Not-Hispanic or Latino                    |                             |
| Racial Categories*                        | Select<br>All that<br>Apply |
| American Indian or Alaska Native          |                             |
| Asian                                     |                             |
| Black or African American                 |                             |
| Native Hawaiian or Other Pacific Islander |                             |
| White                                     |                             |
| Other                                     | ,                           |

\*Definitions of these categories may be found on the reverse side.

#### There is no penalty for persons who do not complete the form.

#### Signature

#### Date

Public reporting burden for this collection is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This information is required to obtain benefits and voluntary. HUD may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

This information is authorized by the U.S. Housing Act of 1937 as amended, the Housing and Urban Rural Recovery Act of 1983 and Housing and Community Development Technical Amendments of 1984. This information is needed to be incompliance with OMB-mandated changes to Ethnicity and Race categories for recording the 50059 Data Requirements to HUD. Owners/agents must offer the opportunity to the head and cohead of each household to "self certify' during the application interview or lease signing. In-place tenants must complete the format as part of their next interim or annual re-certification. This process will allow the owner/agent to collect the needed information on all members of the household. Completed documents should be stapled together for each household and placed in the household's file. Parents or guardians are to complete the self-certification for children under the age of 18. Once system development funds are provide and the appropriate system upgrades have been implemented, owners/agents will be required to report the race and ethnicity data electronically to the TRACS (Tenant Rental Assistance Certification System). This information is considered non-sensitive and does no require any special protection.

1

#### Instructions for the Race and Ethnic Data Reporting (Form HUD-27061-H)

#### A. General Instructions:

This form is to be completed by individuals wishing to be served (applicants) and those that are currently served (tenants) in housing assisted by the Department of Housing and Urban Development.

Owner and agents are required to offer the applicant/tenant the option to complete the form. The form is to be completed at initial application or at lease signing. In-place tenants must also be offered the opportunity to complete the form as part of the next interim or annual recertification. Once the form is completed it need not be completed again unless the head of household or household composition changes. There is no penalty for persons who do not complete the form. However, the owner or agent may place a note in the tenant file stating the applicant/tenant refused to complete the form. **Parents or guardians are to complete the form for children under the age of 18.** 

The Office of Housing has been given permission to use this form for gathering race and ethnic data in assisted housing programs. Completed documents for the entire household should be stapled together and placed in the household's file.

1. The two ethnic categories you should choose from are defined below. You should check one of the two categories.

- 1. Hispanic or Latino. A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. The term "Spanish origin" can be used in addition to "Hispanic" or "Latino."
- 2. Not Hispanic or Latino. A person not of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.
- **2.** The five racial categories to choose from are defined below: You should check as many as apply to you.
  - 1. American Indian or Alaska Native. A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.
  - 2. Asian. A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam
  - **3.** Black or African American. A person having origins in any of the black racial groups of Africa. Terms such as "Haitian" or "Negro" can be used in addition to "Black" or "African American."

4. Native Hawaiian or Other Pacific Islander. A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.

5. White. A person having origins in any of the original peoples of Europe, the Middle East or North Africa.

Optional and Supplemental Contact Information for HUD-Assisted Housing Applicants

#### SUPPLEMENT TO APPLICATION FOR FEDERALLY ASSISTED HOUSING

This form is to be provided to each applicant for federally assisted housing

**Instructions: Optional Contact Person or Organization**: You have the right by law to include as part of your application for housing, the name, address, telephone number, and other relevant information of a family member, friend, or social, health, advocacy, or other organization. This contact information is for the purpose of identifying a person or organization that may be able to help in resolving any issues that may arise during your tenancy or to assist in providing any special care or services you may require. You may update, remove, or change the information you provide on this form at any time. You are not required to provide this contact information, but if you choose to do so, please include the relevant information on this form.

Check this box if you choose not to provide the contact information.

| Applicant Name:  |   |  |  |
|--|---|--|--|
| Mailing Address:   |   |  |  |
| Telephone No: Cell Phone No:   |   |  |  |
| Name of Additional Contact Person or Organization:   |   |  |  |
| Address:   |   |  |  |
| Telephone No: Cell Phone No:   | · · · · · · · · · · · · · · · · · · ·                           |  |  |
| E-Mail Address (if applicable):  |   |  |  |
| Relationship to Applicant:   |   |  |  |
| Reason for Contact: (Check all that apply)   |   |  |  |
| Emergency       Assist with Recertification         Unable to contact you       Change in lease terms         Termination of rental assistance       Change in house rules         Eviction from unit       Other:         Late payment of rent       Other:   | on Process  |  |  |
| <b>Commitment of Housing Authority or Owner:</b> If you are approved for housing, this information will be kept as part of your tenant file. If issues arise during your tenancy or if you require any services or special care, we may contact the person or organization you listed to assist in resolving the issues or in providing any services or special care to you.   |   |  |  |
| <b>Confidentiality Statement:</b> The information provided on this form is confidential and will not be applicant or applicable law.   | disclosed to anyone except as permitted by the                  |  |  |
| <b>Legal Notification:</b> Section 644 of the Housing and Community Development Act of 1992 (Public Law 102-550, approved October 28, 1992) requires each applicant for federally assisted housing to be offered the option of providing information regarding an additional contact person or organization. By accepting the applicant's application, the housing provider agrees to comply with the non-discrimination and equal opportunity requirements of 24 CFR section 5.105, including the prohibitions on discrimination in admission to or participation in federally assisted housing programs on the basis of race, color, religion, national origin, sex, disability, and familial status under the Fair Housing Act, and the prohibition on age discrimination under the Age Discrimination Act of 1975. |   |  |  |
|  |   |  |  |
| Signature of Applicant   | Date  |  |  |
| the information collection acquirements contained in this form wars submitted to the Office of Management and Pudget (OMP) und   | or the Denerwork Deduction Act of 1005 (44 U.S.C. 3501 3520) Th |  |  |

In information is to check on the performation. Section 644 of the Housing and Community Development Act of 1992 (42 U.S.C. 13604) imposed on HUD the obligation to require housing providers participating in HUD's assisted housing programs to provide any individual or family applying for occupancy in HUD-assisted housing with the option to include in the application for occupancy the name, address, telephone number, and other relevant information of a family member, friend, or person associated with a social, health, advocacy, or similar organization. The objective of providing such information is to facilitate contact by the housing provider with the person or organization identified by the tenant to assist in providing any delivery of services or special care to the tenant. This supplemental application information is to be maintained by the housing provider and maintained as confidential information. Providing the information is basic to the operations of the HUD Assisted-Housing Program and is voluntary. It supports statutory requirements and program and management controls that prevent fraud, waste and mismanagement. In accordance with the Paperwork Reduction Act, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information, unless the collection displays a currently valid OMB control number.

Privacy Statement: Public Law 102-550, authorizes the Department of Housing and Urban Development (HUD) to collect all the information (except the Social Security Number (SSN)) which will be used by HUD to protect disbursement data from fraudulent actions.

### Notice of Rule Change Smoke-Free Community

#### Dear Applicant:

Please be advised that the property you are applying for has adopted a Healthy Air Policy. This policy prohibits smoking in any interior common areas, including but not limited to community rooms, community bathrooms, lobbies, reception areas, hallways, laundry rooms, stairways, offices and elevator, *within all living units*, and within 25 feet of building(s) including entry ways, windows, porches, balconies and patios. Smoking will <u>only</u> be permitted in a designated outside area. The policy applies to all residents, guests, visitors, service personnel and employees.

The term "smoking" means inhaling, exhaling, breathing, carrying, or possessing any lighted cigar, cigarette, pipe, other tobacco product or similar lighted product in any manner or in any form.

We hope this policy will help everyone breathe easier and live healthier. If you agree to this policy and would like to apply to be placed on the properties waitlist this letter must be signed, dated and returned to the property with your application.

Sincerely,

Property Manager

By signing below I acknowledge that I have been informed of the rule change regarding smoking. I further agree that if approved as a resident that I will follow all terms of the House Rules including not smoking anywhere inside the property. I understand smoking is <u>only</u> permitted in a designated outside area.

Applicant Name (Please Print Clearly)

Applicant Signature

Date





# APPLYING FOR HUD HOUSING ASSISTANCE?

### THINK ABOUT THIS... IS FRAUD WORTH IT?

#### Do You Realize...

If you commit fraud to obtain assisted housing from HUD, you could be:

- Evicted from your apartment or house.
- Required to repay all overpaid rental assistance you received.
- Fined up to \$10,000.
- Imprisoned for up to five years.
- **Prohibited** from receiving future assistance.
- Subject to State and local government penalties.

### Do You Know...

You are committing fraud if you sign a form knowing that you provided false or misleading information.

The information you provide on housing assistance application and recertification forms <u>will</u> be checked. The local housing agency, HUD, or the Office of Inspector General <u>will</u> check the income and asset information you provide with other Federal, State, or local governments and with private agencies. Certifying false information is fraud.

#### So Be Careful!

When you fill out your application and yearly recertification for assisted housing from HUD make sure your answers to the questions are accurate and honest. You <u>must</u> include:

All sources of income and changes in income you or any members of your household receive, such as wages, welfare payments, social security and veterans' benefits, pensions, retirement, etc.

Any money you receive on behalf of your children, such as child support, AFDC payments, social security for children, etc.

Any increase in income, such as wages from a new job or an expected pay raise or bonus.

All assets, such as bank accounts, savings bonds, certificates of deposit, stocks, real estate, etc., that are owned by you or any member of your household.

All income from assets, such as interest from savings and checking accounts, stock dividends, etc.

Any business or asset (your home) that you sold in the last two years at less than full value.

The names of everyone, adults or children, relatives and non-relatives, who are living with you and make up your household.

(Important Notice for Hurricane Katrina and Hurricane Rita Evacuees: HUD's reporting requirements may be temporarily waived or suspended because of your circumstances. Contact the local housing agency before you complete the housing assistance application.)

Ask Questions

If you don't understand something on the application or recertification forms, always ask questions. It's better to be safe than sorry.

#### Watch Out for Housing Assistance Scams!

- Don't pay money to have someone fill out housing assistance application and recertification forms for you.
- Don't pay money to move up on a waiting list.
- Don't pay for anything that is not covered by your lease.
- Get a receipt for any money you pay.
- Get a written explanation if you are required to pay for anything other than rent (maintenance or utility charges).

### **Report Fraud**

If you know of anyone who provided false information on a HUD housing assistance application or recertification or if anyone tells you to provide false information, report that person to the HUD Office of Inspector General Hotline. You can call the Hotline toll-free Monday through Friday, from 10:00 a.m. to 4:30 p.m., Eastern Time, at 1-800-347-3735. You can fax information to (202) 708-4829 or e-mail it to Hotline@hudoig.gov. You can write the Hotline at:



HUD OIG Hotline, GFI 451 7<sup>th</sup> Street, SW Washington, DC 20410

> form HUD-1141 (12/2005)

|         | 2004<br>Census<br>Test<br>Language identification flashcard                                  |                           |
|---------|--|---------------------------|
|         | ضع علامة في هذا المربع إذا كنت تقرأ أو تتحدث العربية.  | 1. Arabic                 |
|         | Խողրում ենջ նչում կատարեջ այս ջառակուսում,<br>եթե խոսում կամ կարդում եջ Հայերեն:             | 2. Armenian               |
|         | যদি আপনি বাংলা পড়েন বা বলেন তা হলে এই বাব্দ্দে দাগ দিন।                                     | 3. Bengali                |
|         | ឈូមបញ្ជាក់ក្នុងប្រអប់នេះ បើអ្នកអាន ឬនិយាយភាសា ខ្មែ ។   | 4. Cambodian              |
|         | Motka i kahhon ya yangin ûntûngnu' manaitai pat ûntûngnu' kumentos Chamorro.                 | 5. Chamorro               |
|         | 如果你能读中文或讲中文,请选择此框。   | 6. Simplified<br>Chinese  |
|         | 如果你能讀中文或講中文,請選擇此框。   | 7. Traditional<br>Chinese |
|         | Označite ovaj kvadratić ako čitate ili govorite hrvatski jezik.                              | 8.Croatian                |
|         | Zaškrtněte tuto kolonku, pokud čtete a hovoříte česky.                                       | 9. Czech                  |
|         | Kruis dit vakje aan als u Nederlands kunt lezen of spreken.                                  | 10. Dutch                 |
|         | Mark this box if you read or speak English.  | 11. English               |
|         | اگر خواندن و نوشتن فارسي بلد هستيد، اين مربع را علامت بزنيد.                                 | 12. Farsi                 |
| DB-3309 | U.S. DEPARTMENT OF COMMERCE<br>Economics and Statistics Administration<br>U.S. CENSUS BUREAL | 1 <sup>-</sup>            |

| Cocher ici si vous lisez ou parlez le français.  | 13. French            |
|--|-----------------------|
| Kreuzen Sie dieses Kästchen an, wenn Sie Deutsch lesen oder sprechen.                                | 14. German            |
| Σημειώστε αυτό το πλαίσιο αν διαβάζετε ή μιλάτε Ελληνικά.  | 15. Greek             |
| Make kazye sa a si ou li oswa ou pale kreyòl ayisyen.  | 16. Haitian<br>Creole |
| अगर आप हिन्दी बोलते या पढ़ सकते हों तो इस बक्स पर चिह्न लगाएँ।                                       | 17. Hindi             |
| Kos lub voj no yog koj paub twm thiab hais lus Hmoob.  | 18. Hmong             |
| Jelölje meg ezt a kockát, ha megérti vagy beszéli a magyar nyelvet.                                  | 19. Hungarian         |
| Markaam daytoy nga kahon no makabasa wenno makasaoka iti Ilocano.                                    | 20. llocano           |
| Marchi questa casella se legge o parla italiano.   | 21. Italian           |
| 日本語を読んだり、話せる場合はここに印を付けてください。   | 22. Japanese          |
| □ 한국어를 읽거나 말할 수 있으면 이 칸에 표시하십시오.   | 23. Korean            |
| ໃຫ້ຫນາຍໃສ່ຊ່ອງນີ້ ຖ້າທ່ານອ່ານຫຼືປາກພາສາລາວ.  | 24. Laotian           |
| Prosimy o zaznaczenie tego kwadratu, jeżeli posługuje się Pan/Pani językiem polskim.                 | 25. Polish            |
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| <i></i> |   |                |
|---------|---|----------------|
|         | Assinale este quadrado se você lê ou fala português.  | 26. Portuguese |
|         | Însemnați această căsuță dacă citiți sau vorbiți românește.   | 27. Romanian   |
|         | Пометьте этот квадратик, если вы читаете или говорите по-русски.                                    | 28. Russian    |
|         | Обележите овај квадратић уколико читате или говорите српски језик.                                  | 29. Serbian    |
|         | Označte tento štvorček, ak viete čítať alebo hovoriť po slovensky.                                  | 30. Slovak     |
|         | Marque esta casilla si lee o habla español.   | 31. Spanish    |
|         | Markahan itong kuwadrado kung kayo ay marunong magbasa o magsalita ng Tagalog.                      | 32. Tagalog    |
|         | ให้กาเครื่องหมายลงในข่องถ้าท่านอ่านหรือพูคภาษาไทย.  | 33. Thai       |
|         | Maaka 'i he puha ni kapau 'oku ke lau pe lea fakatonga.   | 34. Tongan     |
|         | Відмітьте цю клітинку, якщо ви читаєте або говорите українською мовою.                              | 35. Ukranian   |
|         | اگرآپ اردو پڑھتے یا بولتے ہیں تواس خانے میں نشان لگائیں۔  | 36. Urdu       |
| ·       | Xin đánh dấu vào ô này nếu quý vị biết đọc và nói được Việt Ngữ.                                    | 37. Vietnamese |
|         | באצייכנט דעם קעסטל אויב איר לייענט אדער רעדט אידיש.   | 38. Yiddish    |
|         | DB-3309 U.S. DEPARTMENT OF COMMERCI<br>Economics and Statistics Administratio<br>U.S. CENSUS BUREAL | n <sup>°</sup> |